

***PUBLIC PROTECTION,
HEALTH AND
MENTAL HYGIENE***

OFFICE OF ADVOCATE FOR PERSONS WITH DISABILITIES

MISSION

The Office of Advocate for Persons with Disabilities assists persons with disabilities in seeking opportunities to be productive, active citizens and provides access to emerging technology and current information on the legal rights, services and programs available to them.

ORGANIZATION AND STAFFING

Headed by the State Advocate who is appointed by the Governor, the agency is administered from Albany.

The Advocate will have a workforce of 21 positions for 1999-2000. Approximately 62 percent of these positions are paid by State tax dollars from the General Fund and 38 percent are financed by Federal grants and other funding sources.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 1999-2000 All Funds Executive Budget recommendation of \$1,687,000 — while maintaining the Advocate's ability to disseminate comprehensive information on services and programs available to persons with disabilities and to collaborate with other agencies to increase the effectiveness of public and private sector services — reflects a \$95,000 reduction from 1998-99 funding levels, due to a 25 percent (\$229,000) reduction in the Federal Technology-Related Assistance for Individuals with Disabilities (TRAID) grant for Federal Fiscal Year 1999. To partially offset this reduction, a General Fund increase of \$115,000 to support the TRAID program is included in the overall recommendations. However, an additional 25 percent Federal reduction is anticipated in FFY 2000. Recent Federal legislation has extended the life of the TRAID grant through September 2003, with Federal funding continued at the FFY 2000 level.

PROGRAM HIGHLIGHTS

The State Advocate operates a statewide clearinghouse through which individuals can access information and obtain referrals to a variety of disability-related services. Key components of the system are a statewide telephone hotline (800-522-4369, voice/TTY/Spanish) and an electronic bulletin board service (BBS). It is anticipated that more than 25,000 individuals will seek information through the hotline in 1999-2000, and that the BBS will receive more than 10,000 inquiries. A worldwide website, launched by the agency in 1997, is expected to be accessed 10,000 times in 1999-2000. Both the hotline and the BBS are supported by a number of information and technical assistance databases, including SATIRN III, developed by the Advocate's Office, which contains more than 8,500 Federal, State, and local referral resources. Overall, the State Advocate has experienced a 36 percent increase in information and referral activity since 1995-96 and has met this demand without requiring additional resources.

The TRAID Project supports a statewide system to help New Yorkers with disabilities access technology devices and services to strengthen their ability to participate in the mainstream workplace and community. Since 1995-96, the program has been enhanced and coordinated through an Interagency Partnership on Assistive Technology and twelve regional TRAID centers, which are funded collaboratively with the Department of Health.

ADVOCATE FOR PERSONS WITH DISABILITIES

The Advocate's programs of comprehensive education, public awareness and technical assistance promote increased voluntary compliance with the Americans with Disabilities Act (ADA). During 1999-2000, more than 4,800 individuals are expected to participate in ADA-related activities conducted by the State Advocate, which will include public presentations, conferences, seminars and workshops statewide. During 1999-2000, the Advocate will provide training and technical assistance to community advocates, small businesses and local governments to aid them in improving access for persons with disabilities to public and private sector programs and services.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$1,782,000	\$1,687,000	-\$95,000	\$800,000
Aid To Localities
Capital Projects
Total	\$1,782,000	\$1,687,000	-\$95,000	\$800,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Advocate for the Disabled					
General Fund	14	13	13	-1
Special Revenue Funds — Federal	5	5	5
Fiduciary Funds	1	1	1
Subtotal, Direct Funded Programs	20	19	19	-1
Suballocations:					
Special Revenue Funds — Federal	1			2	+ 1
Total	21			21

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$890,000	\$1,015,000	+ \$125,000
Special Revenue Funds — Federal	800,000	580,000	-220,000
Enterprise Funds	15,000	15,000
Fiduciary Funds	77,000	77,000
Total	\$1,782,000	\$1,687,000	-\$95,000

ADVOCATE FOR PERSONS WITH DISABILITIES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Advocate for the Disabled			
General Fund	\$890,000	\$1,015,000	+ \$125,000
Special Revenue Funds — Federal	800,000	580,000	-220,000
Enterprise Funds	15,000	15,000
Fiduciary Funds	77,000	77,000
Total	\$1,782,000	\$1,687,000	-\$95,000

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Advocate for the Disabled	\$895,000	+ \$135,000	\$889,000	+ \$135,000	\$6,000
Total	\$895,000	+ \$135,000	\$889,000	+ \$135,000	\$6,000

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Advocate for the Disabled	\$120,000	-\$10,000	\$18,000	+ \$1,000
Total	\$120,000	-\$10,000	\$18,000	+ \$1,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Advocate for the Disabled	\$23,000	+ \$1,000	\$76,900	-\$12,100
Total	\$23,000	+ \$1,000	\$76,900	-\$12,100

Program	Equipment	
	Amount	Change
Advocate for the Disabled	\$2,100	+ \$100
Total	\$2,100	+ \$100

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Advocate for the Disabled	\$672,000	-\$220,000	\$672,000	-\$220,000
Total	\$672,000	-\$220,000	\$672,000	-\$220,000

STATE OFFICE FOR THE AGING

MISSION

The New York State Office for the Aging is responsible for stimulating, promoting, coordinating and administering State, Federal and local programs and services for 3.2 million New Yorkers aged 60 or older. The Office provides leadership and direction to 59 Area Agencies on Aging, as well as to numerous other local programs and providers that comprise the network of services to the aged.

ORGANIZATION AND STAFFING

The State Office for the Aging is headed by a Director and is composed of four divisions: Executive, Finance and Administration, Local Program Operations, and Policy and Program Development. The Office will have a workforce of 159 employees during SFY 1999-2000, 142 funded within the agency, and an additional 17 positions funded by other State agencies. Approximately 35 percent of agency operations are funded by the General Fund, and the remaining 65 percent is financed by Federal grants and grants from private organizations.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

State funding is used to match Older Americans Act and other Federal funding and to establish a service-delivery network that attracts other sources of private and public funding. Thus, the investment of almost \$60 million in State tax dollars results in total network spending of over \$320 million. This does not include the value of volunteer labor, which is estimated at \$35 million.

The 1999-2000 recommendation of \$170 million supports the continued operation of all of the agency's major community-based programs for elderly New Yorkers. Of this amount, \$70 million supports nutrition programs, with the remaining amount used to fund in-home care and other community services. Two programs, SSI Outreach and Elderly Abuse Education and Outreach, which provided limited period grants, are not included in the recommended State funding. Outreach activities carried out under these programs have been, and continue to be, allowable under the Community Services for the Elderly Program. The other change recommended for 1999-2000 is the consolidation of all components of the Community Services Program and the Expanded In-home Services for the Elderly Program under a unified 75 percent reimbursement formula.

PROGRAM HIGHLIGHTS

Programs of the Office for the Aging are aimed at keeping seniors independent as long as possible by utilizing family and other informal caregivers, as well as through the limited use of formal support services. These community-based services help keep people healthy and in their homes and out of hospitals and nursing homes.

NUTRITION SERVICES

Through a combination of State tax dollars and Federal grants, the State annually provides nearly 25 million congregate and home-delivered meals or other nutritional services to non-institutionalized frail elderly clients. These people are at risk of malnutrition because they are no longer able to obtain an adequate diet without assistance. The Office receives \$29 million in appropriation authority for Federal grants in support of congregate meals, \$8 million in support of home-delivered meals, and \$17 million to support the

purchase of food. The State counterpart to these Federal programs — the Supplemental Nutrition Assistance Program (SNAP) — provides another \$16 million. The SNAP program serves approximately 3.9 million meals annually, at an average cost of only \$4.56 per meal.

STATEWIDE COMMUNITY-BASED ASSISTANCE

In addition to the nutrition programs, the State supports other statewide aging programs administered through Area Agencies on Aging from a combination of State and Federal funding.

The Community Services for the Elderly (CSE) Program fills gaps in services and provides funding to support the needs of the elderly, their families and informal caregivers. Unlike the State Office for the Aging's other major programs that deliver prescribed, targeted services, CSE provides the most flexible funding stream and program structure available to local Area Agencies on Aging. Locally determined services available under the Community Services for the Elderly Program include: transportation, shopping assistance and escort services; homemaking/personal care and housekeeping/chore services; home health aide services; adult day care, counseling and senior center services; and other services necessary to maximize an older person's independence. Over 86,500 persons are served by this program.

The Expanded In-home Services for the Elderly Program (EISEP) provides non-medical in-home services, case management, respite and ancillary services to the most frail elderly who are largely low income but are not eligible for Medicaid. Recipients are required to cost-share, based on their annual income level, for EISEP program services received. Over 28,000 persons are served under this program.

The Congregate Services Initiative (CSI) provides services in community settings where people come together for services and activities, including information and assistance; counseling; transportation; support services for families/caregivers; volunteer opportunities and employment information; and health promotion and disease prevention services.

PARTNERSHIPS AND TARGETED PROGRAMS

The Office participates in a number of partnerships with businesses, foundations and not-for-profit organizations, and also coordinates programs targeted for special populations.

The term "Naturally Occurring Retirement Community" (NORC) describes a phenomenon experienced by certain housing complexes, cooperatives and apartment buildings where the population has "aged in place". The NORC Supportive Service Program was created to deliver on-site services, thereby allowing residents to continue to remain independent. Fourteen such programs exist, with the State's public funds matched dollar for dollar by private contributions from the retirement communities themselves. Now in its fifth year, this public/private partnership cares for an estimated 20,000 clients.

Under the Caregiver Assistance Program, 17 Caregiver Resource Centers provide a single focal point of assistance for family members and other informal providers of long-term care to homebound elderly. The primary responsibilities of the Centers are to assist caregivers through training programs, support groups and counseling; and to link them with Area Agency on Aging services, as well as other community services. Over 7,500 people are served by this program.

Through its network of Area Agencies on Aging and local not-for-profit agencies, the Office also provides State grant funding for long-term respite care, the Retired and Senior Volunteer Program and the Foster Grandparent Program.

**ALL FUNDS
APPROPRIATIONS**

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$14,003,600	\$13,971,000	-\$32,600	\$5,453,000
Aid To Localities	162,254,883	155,457,000	-6,797,883	90,712,000
Capital Projects
Total	\$176,258,483	\$169,428,000	-\$6,830,483	\$96,165,000

**ALL FUND TYPES
LEVELS OF EMPLOYMENT BY PROGRAM
ANNUAL SALARIED POSITIONS**

1999-00 Recommended Average Fill Level

Program	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	Change
Administration					
General Fund	22	21	21	-1
Special Revenue Funds — Federal	45	45	45
Community Services					
General Fund	25	25	25
Special Revenue Funds — Federal	51	51	51
Subtotal, Direct Funded Programs	143	142	142	-1
Suballocations:					
General Fund	5			5
Special Revenue Funds — Federal	12			12
Total	160			159	-1

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$2,652,000	\$2,619,000	-\$33,000
Special Revenue Funds — Federal	10,198,600	10,199,000	+ 400
Enterprise Funds	200,000	200,000
Fiduciary Funds	953,000	953,000
Total	\$14,003,600	\$13,971,000	-\$32,600

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

Program	Available 1998-99	Recommended 1999-00	Change
Administration			
General Fund	\$1,202,000	\$1,165,000	-\$37,000
Special Revenue Funds — Federal	2,615,000	2,615,000
Enterprise Funds	200,000	200,000
Community Services			
General Fund	1,450,000	1,454,000	+ 4,000
Special Revenue Funds — Federal	7,583,600	7,584,000	+ 400
Fiduciary Funds	953,000	953,000
Total	\$14,003,600	\$13,971,000	-\$32,600

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$988,000	-\$48,000	\$986,000	-\$47,000
Community Services	1,266,000	+ 2,000	1,257,000	+ 1,000	\$3,000	+ \$500
Total	<u>\$2,254,000</u>	<u>-\$46,000</u>	<u>\$2,243,000</u>	<u>-\$46,000</u>	<u>\$3,000</u>	<u>+ \$500</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$2,000	-\$1,000
Community Services	6,000	+ 500
Total	<u>\$8,000</u>	<u>-\$500</u>

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$177,000	+ \$11,000	\$17,000	+ \$1,000
Community Services	188,000	+ 2,000	22,000
Total	<u>\$365,000</u>	<u>+ \$13,000</u>	<u>\$39,000</u>	<u>+ \$1,000</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$32,000	+ \$2,000	\$122,000	+ \$7,000
Community Services	52,000	111,000	+ 2,000
Total	<u>\$84,000</u>	<u>+ \$2,000</u>	<u>\$233,000</u>	<u>+ \$9,000</u>

Program	Equipment	
	Amount	Change
Administration	\$6,000	+ \$1,000
Community Services	3,000
Total	<u>\$9,000</u>	<u>+ \$1,000</u>

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$2,815,000	\$2,369,000
Community Services	8,537,000	+ \$400	5,749,000	-\$700
Total	<u>\$11,352,000</u>	<u>+ \$400</u>	<u>\$8,118,000</u>	<u>-\$700</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$246,000	\$200,000
Community Services	1,835,000	+ \$1,100	953,000
Total	<u>\$2,081,000</u>	<u>+ \$1,100</u>	<u>\$1,153,000</u>	<u>. . . .</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$63,554,883	\$56,757,000	-\$6,797,883
Special Revenue Funds — Federal	95,600,000	95,600,000
Fiduciary Funds	3,100,000	3,100,000
Total	<u>\$162,254,883</u>	<u>\$155,457,000</u>	<u>-\$6,797,883</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

Program	Available 1998-99	Recommended 1999-00	Change
Community Services			
General Fund	\$58,913,350	\$56,757,000	-\$2,156,350
Special Revenue Funds — Federal	95,600,000	95,600,000
Fiduciary Funds	3,100,000	3,100,000
Legislative Initiatives			
General Fund	4,641,533	-4,641,533
Total	<u>\$162,254,883</u>	<u>\$155,457,000</u>	<u>-\$6,797,883</u>

CAPITAL DEFENDER OFFICE

MISSION

The Capital Defender Office, which has been in operation since September 1, 1995, is authorized to defend any indigent person charged with a capital crime. With the restoration of the death penalty, persons convicted of first-degree murder may be sentenced to death by lethal injection, life imprisonment without parole, or 20 to 25 years in prison. To be sentenced to death a person must be found guilty of first-degree murder which includes the killing of a police officer, killing for hire, and certain other heinous murders.

ORGANIZATION AND STAFFING

A three-member board oversees the work of the Capital Defender Office. The Board members are appointed, one each by the Temporary President of the Senate, the Speaker of the Assembly and the Chief Judge of the Court of Appeals. The Office has a staff of 65 located in a central office in New York City and regional offices in Albany and Rochester.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

A total of \$14.8 million in State tax dollars is recommended to fund death penalty defense costs in 1999-2000. This will support the agency's staff attorneys, investigators and experts as well as legal aid societies and private attorneys appointed to represent indigent defendants in capital cases.

PROGRAM HIGHLIGHTS

The purpose of the Capital Defender Office is to ensure that offenders who face the death penalty receive the full legal protection to which they are entitled under law. The Capital Defender Office is required to provide legal, investigative and expert services to indigent defendants charged with crimes eligible for the death penalty. Since not all defendants in capital cases will be represented by the Office, the law also requires the agency to set minimum standards for lawyers appointed to defend such cases, provide training and assistance to these attorneys and provide judges with lists of qualified lawyers.

Category	ALL FUNDS APPROPRIATIONS			
	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$13,582,600	\$14,756,500	+ \$1,173,900
Aid To Localities
Capital Projects
Total	<u>\$13,582,600</u>	<u>\$14,756,500</u>	<u>+ \$1,173,900</u>	<u>. . . .</u>

CAPITAL DEFENDER

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Capital Defense					
General Fund	65	65	65
Total	65	65	65

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$13,582,600	\$14,756,500	+ \$1,173,900
Total	\$13,582,600	\$14,756,500	+ \$1,173,900

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Capital Defense			
General Fund	\$13,582,600	\$14,756,500	+ \$1,173,900
Total	\$13,582,600	\$14,756,500	+ \$1,173,900

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Capital Defense	\$4,000,700	+ \$155,700	\$4,000,700	+ \$155,700
Total	\$4,000,700	+ \$155,700	\$4,000,700	+ \$155,700

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Amount	Total		Supplies and Materials	
		Amount	Change	Amount	Change
Capital Defense	\$10,755,800		+ \$1,018,200	\$175,000
Total	\$10,755,800		+ \$1,018,200	\$175,000

Program	Amount	Travel		Contractual Services	
		Amount	Change	Amount	Change
Capital Defense	\$295,000		\$1,528,000	-\$334,600
Total	\$295,000		\$1,528,000	-\$334,600

Program	Amount	Equipment		Maintenance Undistributed	
		Amount	Change	Amount	Change
Capital Defense	\$150,000		\$8,607,800	+ \$1,352,800
Total	\$150,000		\$8,607,800	+ \$1,352,800

STATE COMMISSION OF CORRECTION

MISSION

The State Commission of Correction regulates and oversees the operation and management of State and local correctional facilities. The agency's role is to promote a safe, secure and stable correctional system and to provide for the accountability of corrections officials. As a result of legislation enacted in 1996, the Commission's role has expanded to include the oversight of secure youth facilities operated by the Office of Children and Family Services.

ORGANIZATION AND STAFFING

The Commission is made up of three members appointed by the Governor, one of whom is designated Chair. The other Commissioners respectively chair the Citizens Policy and Complaint Review Council, which reviews grievances and complaints against correctional facilities, and the Medical Review Board, which investigates inmate deaths and oversees health care services.

Regional teams of review specialists are responsible for visiting and inspecting local and State correctional facilities. They investigate unusual events at facilities, provide technical assistance to improve facility management and monitor facilities for compliance with standards and regulations.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Commission of Correction is supported by approximately \$2.2 million in State tax dollars in 1999-2000. Funding is continued for the multi-agency Jail Management Assistance Team, — operated in conjunction with the Division of Criminal Justice Services and the Division of Probation and Correctional Alternatives — as an initiative to assist localities in analyzing operational issues in local correctional facilities.

PROGRAM HIGHLIGHTS

The Commission monitors 70 State correctional facilities, 63 county jails, 18 New York City correctional facilities, 182 police lockups (outside New York City) and more than 800 cells operated by the New York City Police Department and Port Authority Police.

Category	ALL FUNDS APPROPRIATIONS			
	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$2,204,800	\$2,204,200	-\$600
Aid To Localities
Capital Projects
Total	<u>\$2,204,800</u>	<u>\$2,204,200</u>	<u>-\$600</u>	<u>.</u>

CORRECTION

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Improvement of Correctional Facilities					
General Fund	36	36	36
Subtotal, Direct Funded Programs	36	36	36
Suballocations:					
Special Revenue Funds — Federal	3			3
Total	39			39

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$2,204,800	\$2,204,200	-\$600
Total	\$2,204,800	\$2,204,200	-\$600

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Improvement of Correctional Facilities			
General Fund	\$2,204,800	\$2,204,200	-\$600
Total	\$2,204,800	\$2,204,200	-\$600

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Improvement of Correctional Facilities	\$1,795,300	-\$18,700	\$1,795,300	-\$18,700
Total	\$1,795,300	-\$18,700	\$1,795,300	-\$18,700

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Amount</u>	<u>Total</u>		<u>Supplies and Materials</u>	
		<u>Change</u>	<u>Amount</u>	<u>Change</u>	
Improvement of Correctional Facilities	\$408,900	+ \$18,100	\$16,100	+ \$500	
Total	<u>\$408,900</u>	<u>+ \$18,100</u>	<u>\$16,100</u>	<u>+ \$500</u>	

<u>Program</u>	<u>Amount</u>	<u>Travel</u>		<u>Contractual Services</u>	
		<u>Change</u>	<u>Amount</u>	<u>Change</u>	
Improvement of Correctional Facilities	\$153,100	+ \$4,600	\$215,500	+ \$12,300	
Total	<u>\$153,100</u>	<u>+ \$4,600</u>	<u>\$215,500</u>	<u>+ \$12,300</u>	

<u>Program</u>	<u>Amount</u>	<u>Equipment</u>	
		<u>Change</u>	
Improvement of Correctional Facilities	\$24,200	+ \$700	
Total	<u>\$24,200</u>	<u>+ \$700</u>	

CORRECTION

DEPARTMENT OF CORRECTIONAL SERVICES

MISSION

The Department of Correctional Services (DOCS) is responsible for the secure confinement of convicted felons and the preparation of these individuals for successful reintegration into the community upon release.

ORGANIZATION AND STAFFING

The Department, headed by a Commissioner, has more than 31,000 employees to operate 70 facilities housing approximately 72,000 inmates. Each correctional facility is headed by a Superintendent and executive staff to oversee the daily operation of the nation's third largest state prison system.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Over the past four years, penalties for violent crime have increased significantly in New York State. Jenna's Law, enacted in August 1998, together with Truth-in-Sentencing legislation enacted in 1995, ensure that violent offenders will serve 85 percent of their sentence in prison. In addition, violent offenders have been barred from participation in work release programs, and sentences lengthened for assault crimes. These initiatives, ensuring that violent offenders remain in prison for longer periods commensurate with their crimes, are coupled with opportunities for treatment and rehabilitation in an effort to reduce recidivism once inmates are released back to the community.

The passage of legislation to assure that violent offenders serve longer prison terms has required expansion of secure housing. The Executive Budget recommendations for 1999-2000 provide funding for full annual operation of eight 100 cell modular units opened in 1998, and for the 750 cell Upstate Correctional Facility scheduled to open in July 1999 in Franklin County. In addition, the Budget continues funding originally provided in the 1998-99 budget for construction of a second 750 cell maximum security prison scheduled to open in 2000, and includes new funding to build a third such prison to be ready for use in 2001.

Funding increases related to new capacity are partially offset by increased efficiencies and program restructuring. Expansion of the Department's food production center will result in lower food costs in 1999-2000. Excess capacity in the work release program will be used to house inmates who otherwise would be sent to residential drug treatment programs. Housing, as well as treatment, will be provided by the Department. Parkside Correctional Facility, a New York City work release facility, will be closed and its residents transferred to an under-used facility also in New York City. Infirmaries in proximate facilities will be consolidated, allowing the Department to more efficiently deliver its quality health services. Medium security inmates will no longer be routinely deployed on work crews outside prison boundaries, saving the costs associated with escorting the crews. Legislation is also recommended that will expand eligibility of non-violent inmates for the Shock Incarceration Program by raising the maximum age of eligibility from 34 to 39.

- State tax dollars finance 88 percent of the Department's State Operations Budget.
- State tax dollars support 100 percent of the Department's Aid to Localities Budget. The \$55.9 million appropriation allows the State to reimburse counties for housing inmates awaiting transfer to State prison. In addition, the 1999-2000 Budget funds contracts with localities to house non-violent offenders on a short term basis. This measure helps ease overcrowding until planned new prison capacity is constructed.

DEPARTMENT OF CORRECTIONAL SERVICES

- Effective April 1, 1999, reimbursement to localities for housing low level felons sentenced to local jails will be eliminated.
- Federal funds support 5.2 percent of the Department's State Operations Budget, including an anticipated \$86 million to offset costs of housing illegal alien felons.
 - Correctional Facilities Capital Improvement Fund appropriations of \$443 million ensure that all housing, medical buildings and support space remain functional, safe and secure, and include funds to construct a new 750 cell maximum security prison by Spring 2001.
 - The DOCS Internal Service Fund appropriates \$70.3 million related to operation of the Correctional Industries program (Corcraft). This inmate vocational program gives individuals an opportunity to learn employment skills to improve their chance of successful reintegration to the community, while contributing to the production of commodities, and prison maintenance and rehabilitation projects.

PROGRAM HIGHLIGHTS

The Department operates correctional facilities with a wide range of security measures. Violent inmates who exhibit behavior problems while in custody can be housed in maximum security cells for nearly 23 hours a day. Other inmates are housed in less restrictive settings with available programming to afford offenders an opportunity for rehabilitation aimed at reducing recidivism.

Additionally, the Department, in cooperation with the Division of Parole, began to operate the Willard Drug Treatment Center in Seneca County in 1995. Through this program, courts have the option to remand low level, nonviolent offenders to treatment — an option expected to stop the cycle of drug-related criminal activity at far less cost to the taxpayers than traditional incarceration.

ADMINISTRATION

Administrative staff formulate and oversee agency policy and provide operational support to correctional facilities. The responsibilities of this program include the direction of inmate classification and movement, labor relations, personnel and financial transactions, and the Department's legal affairs.

SUPPORT SERVICES

The Support Services Program provides all resources necessary for the operation of the 70 facilities housing individuals remanded to State custody. This includes the employment of all facility managers and centrally assigned staff essential to operate and maintain the agency's physical plant. Through this program, the agency also provides inmate food and transportation services, and enters into cooperative agreements with local governments for sewer/water systems. The agency has been increasingly successful in implementing initiatives to improve energy use efficiency, including inmate work crew weatherization, heating system rehabilitation projects, and energy performance contracts.

Transportation services related to inmate reception, changes in assigned housing and emergency medical services have become increasingly complex as the prison system has expanded over the past decade. The Department has designed an inmate movement system to address the requirements of the vast correctional network spread across the State. Chief among the improvements are system-wide transportation scheduling and a contractually provided inmate transport fleet guaranteeing vehicles on an as needed basis.

DEPARTMENT OF CORRECTIONAL SERVICES

SUPERVISION OF INMATES

The Department employs over 21,000 correctional officers to ensure a secure environment for employees and inmates within the correctional setting and to protect the safety of surrounding communities. The Department continues to maintain one of the best officer-to-inmate ratios in the United States.

PROGRAM SERVICES

The majority of inmates entering State prison have histories of substance abuse and severe educational deficits — two factors highly predictive of criminal behavior. To counter this problem, the agency's rehabilitation efforts focus on basic education and simple vocational skill achievement to ready inmates for employment upon release. The agency's Comprehensive Alcohol and Substance Abuse Treatment program (CASAT) will continue to provide over 5,100 offenders each year with 6 months of residential treatment and follow-up care. Resources to coordinate the agency's sex offender treatment programs and enhanced treatment for mentally ill inmates will enable the Department to better treat and stabilize these growing prison populations.

HEALTH SERVICES

Offenders entering prison present a significant need for health care because of high rates of opportunistic disease related to AIDS, tuberculosis and other infectious conditions. The recommended budget ensures that the Department has the resources to meet the full need for AIDS screening and interventions, and all other requirements to prevent the spread of disease and treat critical illness.

The Department's approach to health care has kept pace with the national trend toward cost efficient managed care programs. A statewide system for contracted health services has allowed the Department to contain escalating costs of appropriate health care for the inmate population. In addition to the existing regional medical units on the grounds of Mohawk, Coxsackie and Wende correctional facilities, units at Fishkill and Bedford Hills correctional facilities will be opened in 1999.

The 1999-2000 budget recommendations fully support the provision of pharmaceutical treatment for HIV-positive inmates according to established standards of care. It is expected that comprehensive early treatment results in a healthier HIV/AIDS population, reducing illness and the need for hospitalization. Notably, the number of inmates dying annually from HIV related disease plummeted by 77 percent since 1995.

CAPITAL PROJECTS

The Department of Correctional Services operates an institutional network of 70 correctional facilities, a number of which were converted during prison expansion in the 1980's from very old facilities initially built for other uses. The Capital Projects Fund supports the completion of construction of previously authorized maximum security capacity and provides resources to address critical physical plant maintenance and rehabilitation projects and improve medical units. New appropriation authority is recommended to fund the construction of a 750 cell maximum security prison for use by Spring 2001.

DEPARTMENT OF CORRECTIONAL SERVICES

DEPARTMENT OF CORRECTIONAL SERVICES MALE FACILITIES

Maximum Security

Attica Correctional Facility	(Wyoming County)
Auburn Correctional Facility	(Cayuga County)
Clinton Correctional Facility	(Clinton County)
Coxsackie Correctional Facility	(Greene County)
Downstate Correctional Facility	(Dutchess County)
Eastern Correctional Facility	(Ulster County)
Elmira Correctional Facility	(Chemung County)
Green Haven Correctional Facility	(Dutchess County)
Great Meadow Correctional Facility	(Washington County)
Shawangunk Correctional Facility	(Ulster County)
Sing Sing Correctional Facility	(Westchester County)
Southport Correctional Facility	(Chemung County)
Sullivan Correctional Facility	(Sullivan County)
Upstate Correctional Facility	(Franklin County)
Wende Correctional Facility	(Erie County)

Medium Security

Adirondack Correctional Facility	(Clinton County)
Altona Correctional Facility	(Clinton County)
Arthurkill Correctional Facility & CASAT**	(Richmond County)
Bare Hill Correctional Facility	(Franklin County)
Butler CASAT	(Wayne County)
Cape Vincent Correctional Facility & CASAT	(Jefferson County)
Cayuga Correctional Facility	(Cayuga County)
Chateaugay CASAT	(Franklin County)
Collins Correctional Facility	(Erie County)
Fishkill Correctional Facility	(Dutchess County)
Franklin Correctional Facility	(Franklin County)
Gouverneur Correctional Facility	(St. Lawrence County)
Gowanda Correctional Facility	(Erie County)
Greene Correctional Facility	(Greene County)
Groveland Correctional Facility	(Livingston County)
Hale Creek CASAT	(Fulton County)
Hudson Correctional Facility	(Columbia County)
Livingston Correctional Facility	(Livingston County)
Marcy Correctional Facility & CASAT Annex	(Oneida County)
Mid-Orange Correctional Facility	(Orange County)
Mid-State Correctional Facility	(Oneida County)
Mohawk Correctional Facility	(Oneida County)
Mt. McGregor Correctional Facility	(Saratoga County)
Ogdensburg Correctional Facility	(St. Lawrence County)
Oneida Correctional Facility	(Oneida County)
Orleans Correctional Facility	(Orleans County)
Otisville Correctional Facility	(Orange County)
Riverview Correctional Facility	(St. Lawrence County)
Ulster Correctional Facility	(Ulster County)
Walkkill Correctional Facility	(Ulster County)
Washington Correctional Facility	(Washington County)
Watertown Correctional Facility	(Jefferson County)

DEPARTMENT OF CORRECTIONAL SERVICES

Woodbourne Correctional Facility (Sullivan County)
Wyoming Correctional Facility (Wyoming County)

Minimum Security

Butler Correctional Facility (Wayne County)
Lyon Mountain Correctional Facility (Clinton County)

Minimum Work Release

Buffalo Correctional Facility (Erie County)
Edgecombe Correctional Facility (New York County)
Fishkill Correctional Facility* (Dutchess County)
Fulton Correctional Facility (Bronx County)
Hudson Correctional Facility* (Columbia County)
Lincoln Correctional Facility (New York County)
Queensboro Correctional Facility (Queens County)
Rochester Correctional Facility (Monroe County)

Camps

Camp Gabriels (Franklin County)
Camp Georgetown (Madison County)
Camp Mt. McGregor* (Saratoga County)
Camp Pharsalia (Chenango County)
Camp Fallsburg* (Sullivan County)

Shock Incarceration

Lakeview Shock Incarceration Facility (Chautauqua County)
Monterey Shock Incarceration Facility (Schuyler County)
Moriah Shock Incarceration Facility (Essex County)
Summit Shock Incarceration Facility (Schoharie County)

Drug Treatment Campus

Willard Drug Treatment Campus (Seneca County)

DEPARTMENT OF CORRECTIONAL SERVICES FEMALE FACILITIES

Maximum Security

Bedford Hills Correctional Facility (Westchester County)

Medium Security

Albion Correctional Facility (Orleans County)
Bayview Correctional Facility (New York County)
Taconic Correctional Facility & CASAT (Westchester County)

DEPARTMENT OF CORRECTIONAL SERVICES

Minimum Security

Beacon Correctional Facility (Dutchess County)

Minimum Work Release

Albion Correctional Facility* (Orleans County)
Bayview Correctional Facility* (New York County)
Parkside Correctional Facility (New York County)

Shock Incarceration

Lakeview Shock Incarceration Facility (Chautauqua County)

Drug Treatment Campus

Willard Drug Treatment Campus (Seneca County)

* Indicates programs are operating as part of a larger correctional facility listed under the same name.

** CASAT is an acronym for Comprehensive Alcohol and Substance Abuse Treatment.

DEPARTMENT OF CORRECTIONAL SERVICES

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$1,761,289,900	\$1,831,570,000	+ \$70,280,100	\$52,500,000
Aid To Localities	60,216,000	55,902,800	-4,313,200
Capital Projects	429,062,000	443,000,000	+ 13,938,000	983,871,000
Total	\$2,250,567,900	\$2,330,472,800	+ \$79,904,900	\$1,036,371,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
General Fund	266	266	266
Special Revenue Funds — Federal	814	833	833	+ 19
Support Services					
General Fund	3,413	3,435	3,435	+ 22
Supervision of Inmates					
General Fund	21,041	21,094	21,094	+ 53
Program Services					
General Fund	3,434	3,444	3,444	+ 10
Enterprise Funds	204	204	204
Capacity Expansion					
General Fund	482	482	+ 482
Health Services					
General Fund	1,447	1,520	1,520	+ 73
Enterprise Funds	31	31	31
Correctional Industries					
Internal Service Funds	522	522	522
Facilities Planning and Development					
Capital Project Funds	35	35	35
Subtotal, Direct Funded Programs	31,207	31,866	31,866	+ 659
Suballocations:					
General Fund	3			3
Special Revenue Funds — Federal	41			41
Total	31,251			31,910	+ 659

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$1,537,494,900	\$1,611,760,000	+ \$74,265,100
Special Revenue Funds — Federal	96,947,000	95,387,000	-1,560,000
Enterprise Funds	56,485,000	54,060,000	-2,425,000
Internal Service Funds	70,345,000	70,345,000
Fiduciary Funds	18,000	18,000
Total	\$1,761,289,900	\$1,831,570,000	+ \$70,280,100
Adjustments:			
Prior Year Deficiency			
General Fund	+ 53,620,000		
Transfer(s) From			
Debt Service			
Debt Service Funds	-2,900,000		
Appropriated 1998-99	\$1,812,009,900		

DEPARTMENT OF CORRECTIONAL SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Administration			
General Fund	\$19,079,400	\$18,714,000	-\$365,400
Special Revenue Funds — Federal	96,947,000	95,387,000	-1,560,000
Enterprise Funds	1,029,000	1,800,000	+ 771,000
Support Services			
General Fund	290,188,000	288,338,000	-1,850,000
Supervision of Inmates			
General Fund	868,651,600	912,754,000	+ 44,102,400
Program Services			
General Fund	192,357,400	186,650,000	-5,707,400
Enterprise Funds	37,546,700	36,830,000	-716,700
Fiduciary Funds	18,000	18,000
Capacity Expansion			
General Fund	31,376,000	+ 31,376,000
Health Services			
General Fund	167,218,500	173,928,000	+ 6,709,500
Enterprise Funds	17,909,300	15,430,000	-2,479,300
Correctional Industries			
Internal Service Funds	70,345,000	70,345,000
Total	<u>\$1,761,289,900</u>	<u>\$1,831,570,000</u>	<u>+ \$70,280,100</u>

DEPARTMENT OF CORRECTIONAL SERVICES

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$13,871,000	-\$364,900	\$13,695,000	-\$364,500	\$10,000	-\$300
Support Services	123,523,000	-274,400	118,198,000	+ 1,203,400	159,000	-1,716,500
Supervision of Inmates	894,666,000	+ 44,239,700	859,388,000	+ 43,315,000	3,961,000	+ 924,700
Program Services	144,091,000	+ 975,000	132,547,000	+ 729,900	8,793,000	+ 151,300
Capacity Expansion	24,401,000	+ 24,401,000	24,401,000	+ 24,401,000
Health Services	73,870,000	+ 906,200	67,935,000	+ 905,600	3,570,000	+ 100
Total	\$1,274,422,000	+ \$69,882,600	\$1,216,164,000	+ \$70,190,400	\$16,493,000	-\$640,700

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$166,000	-\$100
Support Services	5,166,000	+ 238,700
Supervision of Inmates	31,317,000
Program Services	2,751,000	+ 93,800
Capacity Expansion
Health Services	2,365,000	+ 500
Total	\$41,765,000	+ \$332,900

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$4,843,000	-\$500	\$137,000	-\$400
Support Services	164,815,000	-1,575,600	89,958,000	-5,288,200
Supervision of Inmates	18,088,000	-137,300	5,448,000	-137,820
Program Services	42,559,000	-6,682,400	14,103,000	+ 400
Capacity Expansion	6,975,000	+ 6,975,000	6,975,000	+ 6,975,000
Health Services	100,058,000	+ 5,803,300	32,671,000	+ 6,028,000
Total	\$337,338,000	+ \$4,382,500	\$149,292,000	+ \$7,576,980

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$562,000	+ \$100	\$2,807,000	-\$567,800
Support Services	2,742,000	66,218,000	+ 2,962,200
Supervision of Inmates	2,550,000	+ 53,600	9,364,000	-53,280
Program Services	358,000	-400	27,583,000	-6,682,900
Capacity Expansion
Health Services	154,000	-200	66,869,000	-224,400
Total	\$6,366,000	+ \$53,100	\$172,841,000	-\$4,566,180

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$769,000	-\$400	\$568,000	+ \$568,000
Support Services	5,897,000	+ 750,400
Supervision of Inmates	726,000	+ 200
Program Services	515,000	+ 500
Capacity Expansion
Health Services	364,000	-100
Total	\$8,271,000	+ \$750,600	\$568,000	+ \$568,000

DEPARTMENT OF CORRECTIONAL SERVICES

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$97,187,000	-\$789,000	\$69,268,300	+ \$10,643,300
Program Services	36,848,000	-716,700	4,052,000	+ 2,000,100
Health Services	15,430,000	-2,479,300	1,940,000	-400
Correctional Industries	70,345,000	21,633,000	+ 374,000
Total	\$219,810,000	-\$3,985,000	\$96,893,300	+ \$13,017,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$23,578,700	-\$15,772,300	\$4,340,000	+ \$4,340,000
Program Services	32,796,000	-2,716,800
Health Services	13,490,000	-2,478,900
Correctional Industries	48,712,000	-374,000
Total	\$118,576,700	-\$21,342,000	\$4,340,000	+ \$4,340,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$60,216,000	\$55,902,800	-\$4,313,200
Total	\$60,216,000	\$55,902,800	-\$4,313,200

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Support Services			
General Fund	\$59,504,000	\$55,902,800	-\$3,601,200
Program Services			
General Fund	712,000	-712,000
Total	\$60,216,000	\$55,902,800	-\$4,313,200

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Programs	Available 1998-99	Recommended 1999-00	Change	Reappropriations 1999-00
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	\$3,000,000	+ \$3,000,000
Federal Capital Projects Fund	\$64,062,000	80,000,000	+ 15,938,000	\$68,233,000
Correctional Facilities Capital Improvement Fund - 399	365,000,000	360,000,000	-5,000,000	667,815,000
UDC Financed and Other New Facility Capacity Expansion				
Correctional Facilities Capital Improvement Fund - 399	247,823,000
Total	\$429,062,000	\$443,000,000	+ \$13,938,000	\$983,871,000

CRIME VICTIMS BOARD

MISSION

The Crime Victims Board serves as the lead State agency in assisting persons who have been the victims of crime, particularly crimes of a violent nature.

The agency's principal mission is to provide financial assistance to victims for financial losses they suffer as a result of crime. The Board also provides grants to local agencies which assist witnesses and victims, and it serves as the State's advocate for crime victims' rights, needs and interests.

ORGANIZATION AND STAFFING

The Board consists of five members, appointed by the Governor to seven-year terms, who work full-time to administer the agency and to make final decisions on victim compensation awards. The Governor designates one member of the Board to be the Chair. The agency has primary offices in Albany and New York City and has a satellite office in Buffalo. Each office processes victim claims and provides grant program aid and advocacy services on a regional basis in support of the Board's mission. In addition to the five Board members, the agency will have 80 staff positions.

In an effort to further consolidate the administrative functions of criminal justice agencies, it is recommended that the personnel and fiscal functions of the agency be transferred to the Division of Criminal Justice Services (DCJS). This is consistent with the successful Division of Probation and Correctional Alternatives and State Commission of Correction administrative mergers with DCJS in 1997. This will reduce the agency's workforce by four positions, one of which will be transferred to DCJS to assist with the increased workload of that agency as a result of the organizational change.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

During 1999-2000, \$2.9 million in State tax dollars will be spent to operate the agency. Approximately \$37.4 million in Federal aid and fine revenue will support compensation payments to victims and local grants to programs assisting victims with treatment and other services.

PROGRAM HIGHLIGHTS

The Crime Victims Board operates with three programs. The Payment to Victims Program compensates individual crime victims for crime-related losses. The Victim and Witness Assistance Program administers grants to local agencies. The Administration Program provides executive direction and administrative support to the compensation program, as well as advocacy services for crime victims.

PAYMENTS TO VICTIMS

The agency annually reviews more than 20,000 cases of persons who may have suffered financial losses as the result of violent crime or, in the case of the elderly and disabled, any crime. Payments are made to crime victims who have suffered out-of-pocket financial losses for which no other source of compensation is available. Categories in which payments are made include medical expenses, lost wages due to work missed

CRIME VICTIMS

because of an injury, stolen or damaged essential personal property and the costs of counseling to relieve the traumatic effects of victimization. Survivors of a victim also may be eligible for these services including reimbursement for funeral expenses for a crime victim.

VICTIM AND WITNESS ASSISTANCE

The Crime Victims Board currently administers more than 130 contracts with local governments and not-for-profit agencies which provide direct services to crime victims and witnesses. Services provided by these local agencies include crisis intervention, counseling and assistance in filing victim compensation claims.

ADVOCACY

The agency is responsible by law to "coordinate state programs and activities relating to crime victims" and "to advise and assist the Governor in developing policies designed to recognize the legitimate rights, needs and interests of crime victims." To those ends, the agency provides legal and technical assistance to other State agencies and to local organizations involved with crime victims. In addition, the Crime Victims Board sponsors an annual statewide conference on crime victim issues.

The State's "Son of Sam Law" prevents convicted persons from profiting from their crimes, including the sale of publishing or film rights to their stories. Any such profits can, by law, be payable to the persons who were victims of the crimes. The agency is charged with notifying victims of a convicted person that a "Son of Sam" situation exists, and may also act on the victims' behalf to prevent the profits from being spent or otherwise put beyond the reach of the victims while a recovery suit is pending.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$4,452,700	\$4,593,350	+ \$140,650
Aid To Localities	37,937,200	37,357,600	-579,600	\$4,500,000
Capital Projects
Total	<u>\$42,389,900</u>	<u>\$41,950,950</u>	<u>-\$438,950</u>	<u>\$4,500,000</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
General Fund	73	69	69	-4
Special Revenue Funds — Federal	14	14	14
Special Revenue Funds — Other	2	2	2
Total	<u>89</u>	<u>85</u>	<u>.</u>	<u>85</u>	<u>-4</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	\$3,003,900	\$2,953,450	-\$50,450
Special Revenue Funds — Federal	813,600	991,700	+ 178,100
Special Revenue Funds — Other	635,200	648,200	+ 13,000
Total	<u>\$4,452,700</u>	<u>\$4,593,350</u>	<u>+ \$140,650</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Administration			
General Fund	\$3,003,900	\$2,953,450	-\$50,450
Special Revenue Funds — Federal	813,600	991,700	+ 178,100
Special Revenue Funds — Other	635,200	648,200	+ 13,000
Total	<u>\$4,452,700</u>	<u>\$4,593,350</u>	<u>+ \$140,650</u>

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	Total Personal Service		Personal Service Regular (Annual Salaried)	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	\$2,716,900	-\$45,200	\$2,716,900	-\$45,200
Total	<u>\$2,716,900</u>	<u>-\$45,200</u>	<u>\$2,716,900</u>	<u>-\$45,200</u>

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	Total		Supplies and Materials	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	\$236,550	-\$5,250	\$25,875	-\$4,125
Total	<u>\$236,550</u>	<u>-\$5,250</u>	<u>\$25,875</u>	<u>-\$4,125</u>

<u>Program</u>	Travel		Contractual Services	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	\$32,600	\$173,075	-\$1,125
Total	<u>\$32,600</u>	<u>. . . .</u>	<u>\$173,075</u>	<u>-\$1,125</u>

<u>Program</u>	Equipment	
	<u>Amount</u>	<u>Change</u>
Administration	\$5,000
Total	<u>\$5,000</u>	<u>. . . .</u>

CRIME VICTIMS

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$1,639,900	+ \$191,100	\$710,600	+ \$172,400
Total	<u>\$1,639,900</u>	<u>+ \$191,100</u>	<u>\$710,600</u>	<u>+ \$172,400</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$922,300	+ \$11,700	\$7,000	+ \$7,000
Total	<u>\$922,300</u>	<u>+ \$11,700</u>	<u>\$7,000</u>	<u>+ \$7,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
Special Revenue Funds — Federal	\$19,897,900	\$19,318,300	-\$579,600
Special Revenue Funds — Other	17,999,300	17,999,300
Fiduciary Funds	40,000	40,000
Total	<u>\$37,937,200</u>	<u>\$37,357,600</u>	<u>-\$579,600</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Payment to Victims			
Special Revenue Funds — Federal	\$3,780,300	\$3,200,700	-\$579,600
Special Revenue Funds — Other	13,550,000	13,550,000
Victim and Witness Assistance			
Special Revenue Funds — Federal	16,117,600	16,117,600
Special Revenue Funds — Other	4,449,300	4,449,300
Fiduciary Funds	40,000	40,000
Total	<u>\$37,937,200</u>	<u>\$37,357,600</u>	<u>-\$579,600</u>

DIVISION OF CRIMINAL JUSTICE SERVICES

MISSION

The Division of Criminal Justice Services (DCJS) maintains computerized criminal history and statistical data for Federal, State and local law enforcement agencies, identifies criminals through fingerprints, provides training and management services to local police departments, conducts criminal justice research and analysis, and administers and distributes State and Federal funding to various entities within the criminal justice system.

ORGANIZATION AND STAFFING

The Division, located in Albany, is headed by a Commissioner who is appointed by the Governor. The Commissioner also serves as the Governor's Director of Criminal Justice, overseeing policy development and operations for all State criminal justice agencies and programs.

A workforce of 746 positions is recommended for 1999-2000. Approximately 85 percent of these positions will be supported by State tax dollars, with the remaining financed by Federal grants, conference fees and seized assets.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

To assist the Division in processing fingerprints, new funding is recommended to replace outdated technology vital to the State Automated Fingerprint System. Improved digital technology will expedite access to information for local police departments, and bring the State into compliance with Federal Bureau of Investigation standards, thereby creating expanded links to national databases and those of other states across the country. The system will also enable the agency to process the increased number of requests for civil fingerprints expected to result from the enactment of Kieran's Law and other recently introduced legislation designed to open access to the criminal history of persons in contact with certain vulnerable populations.

The budget recommendation consolidates units of the Division of Probation and Correctional Alternatives and the Division of Parole that are charged with reviewing requests for interstate transfer of probationers and parolees. These units will be transferred to the Division of Criminal Justice Services to address an expanding workload without increasing costs, as well as to encourage uniformity in interstate transfer decisions.

The transfer of personnel and fiscal responsibilities from the Crime Victims Board to DCJS, along with one associated position, is also recommended. In 1997, a similar consolidation of the Division of Probation and Correctional Alternatives, the State Commission on Correction and DCJS support functions proved to be successful and cost effective.

The recommendation reflects the transfer of positions and functions previously funded through the Department of State Business and Licensing Services Account to the General Fund to better reflect the current functions and responsibilities of this unit. This action will have no impact on current employees. A transfer of revenue from the Licensing Account to the General Fund will offset this cost.

CRIMINAL JUSTICE SERVICES

PROGRAM HIGHLIGHTS

The Division serves as a cost-effective platform for a variety of programs and initiatives, including the Federal "Brady Bill" and Violence Against Women Act, and Juvenile Justice and Delinquency Prevention funding.

IDENTIFICATION SERVICES

The Division identifies individuals through fingerprint comparison and provides prior criminal history records to authorized representatives of Federal, State and local criminal justice agencies and processes over 90 percent of New York City criminal fingerprints in under 3 hours. Modern technology provides speed and accuracy in fingerprint identification and allows police to solve more crimes more quickly. Criminal activity is tracked by computer beginning with the arrest and ending with the decision by a judge and/or jury. Additionally, the Division operates CRIMNET which is the highest capacity, lowest cost government telecommunications network functioning in New York today. The Division also processes noncriminal fingerprint and name searches for certain employment, license and permit applications.

CRIMINAL JUSTICE SUPPORT

The Division provides technical support, training and funding to localities to support criminal justice functions such as law enforcement, prosecution, defense, and crime laboratories. The agency provides financial aid to District Attorneys prosecuting death penalty cases, assures the implementation of requirements for accreditation of public forensic service laboratories and maintains a DNA Identification Index.

The Division also conducts extensive criminal justice statistical research and policy analysis, including the production of New York's Uniform Crime Reports.

In addition, the agency acts as the State Planning Agency for the receipt and processing of Federal Juvenile Justice and Delinquency Prevention funding. These funds support programs addressing youth who are at risk of criminal and/or delinquent behavior. The Division also administers other Federal programs including Anti-Drug Abuse funds which support a statewide anti-drug strategy of prosecution and preventive enforcement efforts, Violence Against Women funds which focus on prosecution, law enforcement and victim services related to domestic violence, and Law Enforcement funds which provide assistance to local police departments.

ALL FUNDS APPROPRIATIONS

<u>Category</u>	<u>Available 1998-99</u>	<u>Appropriations Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations Recommended 1999-00</u>
State Operations	\$56,821,900	\$75,657,650	+ \$18,835,750	\$41,489,000
Aid To Localities	117,198,604	109,495,900	-7,702,704	119,591,500
Capital Projects
Total	\$174,020,504	\$185,153,550	+ \$11,133,046	\$161,080,500

CRIMINAL JUSTICE SERVICES

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	1999-00 Recommended Average Fill Level Total Recommended 1999-00	Change
Administration					
General Fund	73	76	76	+ 3
Special Revenue Funds — Other	1	-1
Operation and Systems					
General Fund	407	431	431	+ 24
Special Revenue Funds — Other	30	-30
Funding and Program Assistance					
Special Revenue Funds — Federal	114	115	115	+ 1
Justice Systems					
General Fund	41	41	41
Public Safety					
General Fund	55	75	75	+ 20
Special Revenue Funds — Other	5	-5
Subtotal, Direct Funded Programs	726	738	738	+ 12
Suballocations:					
Special Revenue Funds — Federal	8			8
Total	734			746	+ 12

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$34,537,200	\$39,370,750	+ \$4,833,550
Special Revenue Funds — Federal	19,682,100	34,836,900	+ 15,154,800
Special Revenue Funds — Other	2,302,600	300,000	-2,002,600
Fiduciary Funds	300,000	1,150,000	+ 850,000
Total	\$56,821,900	\$75,657,650	+ \$18,835,750

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Administration			
General Fund	\$11,147,900	\$11,973,150	+ \$825,250
Special Revenue Funds — Other	251,500	-251,500
Operation and Systems			
General Fund	18,470,700	21,390,200	+ 2,919,500
Special Revenue Funds — Other	1,355,800	-1,355,800
Funding and Program Assistance			
Special Revenue Funds — Federal	19,682,100	34,836,900	+ 15,154,800
Special Revenue Funds — Other	300,000	300,000
Fiduciary Funds	200,000	200,000
Justice Systems			
General Fund	2,123,900	2,233,000	+ 109,100
Public Safety			
General Fund	2,794,700	3,774,400	+ 979,700
Special Revenue Funds — Other	395,300	-395,300
Fiduciary Funds	100,000	950,000	+ 850,000
Total	\$56,821,900	\$75,657,650	+ \$18,835,750

CRIMINAL JUSTICE SERVICES

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$3,433,000	+ \$161,400	\$3,386,400	+ \$159,300	\$9,500
Operation and Systems	15,424,800	+ 1,465,500	14,815,700	+ 1,091,200
Justice Systems	2,055,200	+ 105,700	2,048,800	+ 105,300	6,400	+ \$400
Public Safety	2,995,400	+ 716,400	2,988,800	+ 716,000
Total	\$23,908,400	+ \$2,449,000	\$23,239,700	+ \$2,071,800	\$15,900	+ \$400

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$37,100	+ \$2,100
Operation and Systems	609,100	+ 374,300
Justice Systems
Public Safety	6,600	+ 400
Total	\$652,800	+ \$376,800

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$8,540,150	+ \$663,850	\$287,263	+ \$4,063
Operation and Systems	5,965,400	+ 1,454,000	313,700	+ 4,000
Justice Systems	177,800	+ 3,400	51,400
Public Safety	779,000	+ 263,300	93,700	+ 37,600
Total	\$15,462,350	+ \$2,384,550	\$746,063	+ \$45,663

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$136,900	\$4,987,487	-\$669,613
Operation and Systems	5,800	5,622,600	+ 1,441,400
Justice Systems	10,100	98,500	+ 2,900
Public Safety	175,800	+ \$48,700	404,600	+ 148,400
Total	\$328,600	+ \$48,700	\$11,113,187	+ \$923,087

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$1,328,500	+ \$1,084,300	\$1,800,000	+ \$245,100
Operation and Systems	23,300	+ 8,600
Justice Systems	17,800	+ 500
Public Safety	104,900	+ 28,600
Total	\$1,474,500	+ \$1,122,000	\$1,800,000	+ \$245,100

CRIMINAL JUSTICE SERVICES

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	-\$251,500	-\$46,300
Operation and Systems	-1,355,800	-771,700
Funding and Program Assistance	\$35,336,900	+ 15,154,800
Public Safety	950,000	+ 454,700	-178,400
Total	\$36,286,900	+ \$14,002,200	-\$996,400

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	-\$205,200
Operation and Systems	-584,100
Funding and Program Assistance	\$500,000	\$34,836,900	+ \$15,154,800
Public Safety	-216,900	950,000	+ 850,000
Total	\$500,000	-\$1,006,200	\$35,786,900	+ \$16,004,800

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$71,447,004	\$61,449,300	-\$9,997,704
Special Revenue Funds — Federal	43,551,600	45,846,600	+ 2,295,000
Special Revenue Funds — Other	2,200,000	2,200,000
Total	\$117,198,604	\$109,495,900	-\$7,702,704

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Funding and Program Assistance			
General Fund	\$68,371,900	\$61,449,300	-\$6,922,600
Special Revenue Funds — Federal	43,551,600	45,846,600	+ 2,295,000
Special Revenue Funds — Other	2,200,000	2,200,000
Legislative Initiatives			
General Fund	3,075,104	-3,075,104
Total	\$117,198,604	\$109,495,900	-\$7,702,704

DEVELOPMENTAL DISABILITIES PLANNING COUNCIL

MISSION

The New York State Developmental Disabilities Planning Council is fully funded under the Federal Disabilities Assistance and Bill of Rights Act of 1975 to prepare, implement and monitor a plan for improving the quality of life for people with developmental disabilities.

ORGANIZATION AND STAFFING

Located in Albany, the Council is composed of 38 members, who have been appointed by the Governor to three-year staggered terms. Federal law requires that at least 50 percent of the Council membership be composed of persons with developmental disabilities, parents and guardians of children with developmental disabilities, and immediate relatives and guardians of adults with mentally impairing disabilities who cannot advocate for themselves. Other required members represent State agencies, educational and training providers, and local public and private services agencies.

The Chairperson of the Council is appointed by the Governor from the membership. In addition, a full-time staff of 18, under the leadership of an Executive Director, will assist the Council in carrying out its duties in 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Council's operations are completely federally funded. These Federal funds support both State staff and contracts with non-profit agencies to develop new services and service delivery methods. The contracts are monitored by the Council to ensure that Federal funds provided to the State are used to augment — rather than duplicate or replace — existing services for people with developmental disabilities.

The 1999-2000 recommendation of \$4,250,000 in Federal funds represents no change from the prior year, based on a projection of level Federal funding. The 1999-2000 recommendation supports the Council's role in coordinating information about persons with developmental disabilities and the services available to them, and in overseeing grant funds.

PROGRAM HIGHLIGHTS

Through its Council membership of State agency heads, including the Commissioner of the Office of Mental Retardation and Developmental Disabilities, the Council employs an interagency approach to advocate improved and enhanced services, supports and assistance for the developmentally disabled. Its activities include quarterly meetings, task forces, white papers, conferences, training, technical assistance and the development of a three-year State Plan. The Council also works closely with public and private entities that provide services for individuals with developmental disabilities and their families to jointly design new service delivery methods and share insights and approaches that may have statewide application.

DEVELOPMENTAL DISABILITIES PLANNING COUNCIL

ALL FUNDS APPROPRIATIONS

<u>Category</u>	<u>Available 1998-99</u>	<u>Appropriations Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations Recommended 1999-00</u>
State Operations	\$4,250,000	\$4,250,000	\$5,750,000
Aid To Localities
Capital Projects
Total	<u><u>\$4,250,000</u></u>	<u><u>\$4,250,000</u></u>	<u><u>. . . .</u></u>	<u><u>\$5,750,000</u></u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

<u>Program</u>	<u>Available 1998-99</u>	<u>Personal Service (Regular)</u>	<u>Maintenance Undistributed</u>	<u>Total Recommended 1999-00</u>	<u>Change</u>
Developmental Disabilities Planning Special Revenue Funds — Federal	17	18	18	+ 1
Total	<u><u>17</u></u>	<u><u>18</u></u>	<u><u>. . . .</u></u>	<u><u>18</u></u>	<u><u>+ 1</u></u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Special Revenue Funds — Federal	\$4,250,000	\$4,250,000
Total	<u><u>\$4,250,000</u></u>	<u><u>\$4,250,000</u></u>	<u><u>. . . .</u></u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Developmental Disabilities Planning Special Revenue Funds — Federal	\$4,250,000	\$4,250,000
Total	<u><u>\$4,250,000</u></u>	<u><u>\$4,250,000</u></u>	<u><u>. . . .</u></u>

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

<u>Program</u>	<u>Total Amount</u>	<u>Change</u>	<u>Maintenance Undistributed Amount</u>	<u>Change</u>
Developmental Disabilities Planning	\$4,250,000	\$4,250,000
Total	<u><u>\$4,250,000</u></u>	<u><u>. . . .</u></u>	<u><u>\$4,250,000</u></u>	<u><u>. . . .</u></u>

DEPARTMENT OF HEALTH

MISSION

The Department of Health strives to ensure that high quality, appropriate health services are available to all New York State residents at a reasonable cost. Department functions and responsibilities include:

- Promoting and supervising public health activities throughout the State;
- Ensuring sound and cost-effective quality medical care for all residents; and,
- Reducing infectious diseases such as tuberculosis, measles, mumps and rubella and chronic disabling illnesses such as heart disease, cancer, stroke and respiratory diseases.

The Department of Health is also the principal State agency that interacts with the Federal and local governments, health care providers and program participants for the State's Medicaid program.

ORGANIZATION AND STAFFING

Under the direction of the Commissioner, the Department of Health meets its responsibilities through the Office of Medicaid Management, the Office of Managed Care, the Office of Continuing Care, the centers located in the Office of Public Health, and the Office of Health Systems Management. These entities provide policy and management direction to the Department's system of regional offices. Department staff located in regional offices conduct health facility surveillance, public health monitoring and direct services, and oversee county health department activities.

Additionally, the Department is responsible for five health care facilities engaged in advanced medical research and patient care including the Roswell Park Cancer Institute in Buffalo, the Helen Hayes Hospital in West Haverstraw, and three nursing homes for the care of veterans and their dependents in Oxford, New York City and Batavia. Construction will begin in 1999 on a fourth veterans' nursing home which will be located in Montrose. In early 1999, responsibility for the operations of the Roswell Park Cancer Institute was transferred to a public benefit corporation, the Roswell Park Cancer Institute Corporation, pursuant to an operating agreement between the Corporation and the Department. This will provide Roswell with the flexibility needed to compete more effectively in a changing health care environment.

The Department of Health will have a workforce of approximately 7,200 positions in 1999-2000, with almost twenty percent of those positions employed in the Department's health care facilities. Since 1995-96, the number of positions has increased by approximately 850, reflecting the transfer to the Department of more than 950 positions for Medicaid program and audit activities, and new program initiatives such as the safe drinking water program, offset by the impact of attrition and early retirements.

- Approximately 14.5 percent of these positions are paid exclusively by the General Fund;
- 11 percent are directly supported by fees;
- 60 percent are supported by third party, private patient care and Federal reimbursement; and,
- The remaining 14.5 percent are directly funded by Federal grants.

MEDICAID

Absent any new cost savings measures, total Medicaid spending in New York will exceed \$29 billion next year. While the State's efforts to control the growth of Medicaid spending over the last four years have been successful, with growth rates now held to

a level comparable to other states, New York still leads the nation by far in Medicaid spending. New York continues to be number one in total spending, spending per capita, program cost per recipient, rates paid to providers, and in almost every Medicaid service spending category.

The 1999-2000 Medicaid budget reflects a continued commitment to an effective and affordable delivery system that promotes quality health care, protects patients, assures access to appropriate services, maintains a wide array of optional services and seeks to restructure the program to support the following key principles:

- Consistent with the program's original purpose, Medicaid funds will be used to meet the health care needs of the State's neediest residents.
- Access to quality health care services will be improved through continued expansion of managed care.

These key principles will not only improve the health care system, but together with the budget's proposed restructuring of Medicaid rates, make New York's expenditures more comparable to other states.

Acute Care

Medicaid spending for hospitals and clinics for the Federal fiscal year ending September 1997 totaled approximately \$8.6 billion — more than any other state in the nation. Hospitals will continue to rely on the landmark Health Care Reform Act of 1996 as the basis for their funding. This Act encourages competition in the health care industry by allowing most non-Medicaid payors to negotiate rates with hospitals.

In addition, the State's Medicaid managed care waiver will continue to help hospitals adapt to changes in the acute care delivery system through the Community Health Care Conversion Demonstration Project. This project distributes Federal funding of \$250 million each year for five years to assist hospitals and their affiliated providers in making the transition to this new managed care environment.

The 1999-2000 Executive Budget builds on these attributes by transitioning Medicaid into the market-oriented hospital system that most other payors have operated under since 1997. Medicaid hospital reimbursement rates will be restructured to make New York's spending more affordable, while maintaining high quality service delivery. This restructuring includes providing comprehensive alcohol and substance abuse treatment in outpatient and community-based settings, eliminating rate enhancements that are no longer appropriate in the current health care system environment, and reforming Graduate Medical Education funding.

Long-Term Care

Spending on long-term care services continues to be one of the fastest growing components of the Medicaid budget. For the Federal fiscal year ending September 1997, New York's Medicaid spending on nursing homes and other long-term care services reached \$8.8 billion — \$5.3 billion on nursing homes and \$3.5 billion on community-based care. The spending level for these services is the highest in the nation. New York now spends more than three times as much as California on long term care.

New York State's long-term care system is primarily publicly-funded. For example, Medicaid provides approximately 75 percent of the funding for New York's nursing homes — as opposed to the rest of the nation, where less than half of all long-term care funding is public. As a result, increasing the proportion of less expensive private funding is an important goal.

Progress has been made in meeting this goal by implementing the provisions of the Long-Term Care Integration and Finance Act of 1997. Continuing Care Retirement Communities are providing the elderly with more affordable and accessible options for comprehensive, independent living arrangements that include a wide array of home care,

nursing care and other medical services. The Managed Long Term Care program is developing a broader and more integrated continuum of long term care service options.

In addition, the Partnership for Long Term Care is providing long term care insurance that protects individuals from having to spend down their assets to qualify for Medicaid in the event of a lengthy illness. This innovative Partnership, used in three other states, now represents a significant portion of the New York's long term care insurance market.

The 1999-2000 budget will build on the significant progress made in delivering a broader range of long-term care services, while achieving the efficiencies and cost savings necessary to make New York's spending for these services more comparable with other states. Rates paid to long term care providers will be restructured to control costs by transitioning to a more market-oriented reimbursement system. This will include the move to a simpler, more regionally-based rate setting system for nursing homes and the reduction of home health care and Long Term Home Health Care Program rates so that they are more comparable to those in other states.

Managed Care

The 1999-2000 budget reflects the continued mandatory enrollment of Medicaid recipients in managed care. Federal approval of New York's 1115 managed care waiver in July 1997 set the stage for mandatory enrollment to commence in October 1997. Mandatory enrollment has already begun in eleven counties — Albany, Broome, Columbia, Erie, Greene, Monroe, Niagara, Onondaga, Ontario, Rensselaer and Saratoga. New York City enrollment is anticipated to begin in early 1999 in Staten Island, and in parts of Manhattan and Brooklyn. The remainder of the State will be phased in at four-month intervals subject to Federal approval. Each phase will include groups of counties and parts of New York City.

During the first half of 1998-99, voluntary enrollment remained nearly level. Enrollment is projected to reach approximately 725,000 by the end of 1998-99 and 1.3 million by the end of 1999-2000. When fully implemented, approximately three-quarters of all Medicaid recipients are expected to be enrolled in mandatory managed care. As a result of existing managed care enrollment, New York State has already seen increased primary care use, lower emergency room use, and fewer inpatient days.

The State's Medicaid Managed Care program ensures that the neediest people receive high quality, accessible health care. Legislation passed in 1996 authorizes the State to certify special managed care plans to provide comprehensive services to individuals infected with the HIV virus or who have a serious mental illness. These Special Needs Plans will begin operation in 1999-2000. Start-up grant funding will be provided to facilitate implementation of these plans. Medicaid Managed Care also incorporates a comprehensive set of consumer protections to ensure that recipients obtain enrollment assistance and quality care, and understand their rights and responsibilities under managed care plans.

Medicaid Administration

The Department of Health is responsible for overall management of the Medicaid program and the State's interaction with Federal and local governments, health care providers and Medicaid recipients. Counties will continue their role in making Medicaid eligibility determinations. Payments to health care providers also continue to be made through the State's computerized Medicaid Management Information System (MMIS) which is operated by a private agency with oversight by State personnel. The Department is now in the final stage of re-procuring management and development services for a Replacement Medicaid System (RMS) to replace both MMIS and the Electronic Medicaid Eligibility Verification System (EMEVS). The RMS will replace out-of-date technology

and bring New York State into compliance with new Federal reporting requirements. In addition, the new system will substantially enhance front-end detection of Medicaid fraud.

CHILD HEALTH PLUS

New York's Child Health Plus program continues to set a national standard for children's health insurance coverage. The Child Health Plus program was significantly expanded with passage of legislation under Governor Pataki's leadership in both 1996 and 1998. Funding levels have increased dramatically and, since 1996, enrollment has increased significantly and benefits have been expanded to include hospitalization and dental, vision, speech, hearing, mental health and substance abuse services for adolescents up to age 19.

New York is eligible for approximately \$256 million in Federal funds in 1999-2000. These funds, when combined with State Health Care Reform Act (HCRA) moneys, will facilitate access to comprehensive Child Health Plus coverage to virtually all eligible children in our State.

OTHER PUBLIC HEALTH PROGRAMS

Excluding Medicaid program costs, General Fund appropriations finance 40 percent of the Department of Health's budget in 1999-2000, down from a high of 62 percent in the mid-1980's.

Other revenue, including 1) reimbursement for patient care provided at the Department's health care facilities; 2) regulatory fees and audit recoveries; 3) management fees for hospital and nursing home construction projects financed through bond proceeds; and, 4) registration, testing and certification fees for various public health services, support 19 percent of the Department of Health's budget, including the Professional Medical Conduct Program, clinical and environmental laboratory certification activities, and health care facilities' operating costs. The remaining 41 percent is provided by Federal grants and Fiduciary and Enterprise funds.

Capital Projects appropriations preserve and maintain the Department's hospitals, nursing homes and the three separate laboratory facilities in Albany County which constitute the Wadsworth Center for Laboratories and Research. The cost of projects at the health care facilities are funded from facility revenues, the General Fund, or the proceeds from the sale of bonds issued by the New York State Dormitory Authority. The debt service costs for the bonds are financed by patient care revenue.

As the health care delivery system changes, this recommendation ensures public health priorities are preserved. As such, the 1999-2000 budget:

- Expands the program for diabetes prevention and control in New York State initiated in 1997-98. In 1999-2000 the program will give more emphasis to the problems of childhood diabetes. Over 600,000 individuals are diagnosed with diabetes, and another 600,000 are unaware of their condition. This program increases public awareness and practitioner management of the condition;
- Provides \$200,000 in new funding to support pilot projects to provide asthmatic children with care and to conduct a statewide education campaign to increase awareness of the dangers of asthma;
- Maintains State funding at current levels of over \$13.8 million for critical public health needs including school health, lead poisoning prevention, breast cancer detection and prenatal care;
- Sustains the State's commitment to fighting the AIDS epidemic by continuing statewide spending at about \$1.98 billion, including almost \$106 million to be spent by the AIDS Institute. Emphasis will continue to be placed on prevention and specialized services which target resources to populations with the greatest risk of infection;

- Includes approximately \$147.9 million in General Fund spending to reimburse counties and New York City for providing public health services such as childhood immunizations, primary health care, and control of communicable diseases such as tuberculosis and sexually-transmitted diseases;
- Supports the optional State supplementation of the Federal nutrition assistance program for women, infants and children (WIC) with \$28 million. New York continues to be one of only thirteen states to augment Federal nutrition funds;
- Continues the Elderly Pharmaceutical Insurance Coverage program, which will help approximately 105,000 elderly persons next year with their out-of-pocket prescription expenses;
- Provides Early Intervention services such as speech and physical therapy to infants and toddlers under the age of three who have developmental delays; and,
- Continues funding of \$2.6 million for programs to promote sexual abstinence among adolescents.

PROGRAM HIGHLIGHTS

MEDICAID

Originally established in 1965 by the Federal government as a health insurance program for the poor, New York's Medicaid program grew to \$28 billion in 1998-99, providing coverage to approximately 2.8 million New Yorkers. In addition to the federally-mandated services — inpatient and outpatient hospital care, nursing facility care, physician services, home health care, family planning, laboratory and x-ray services — New York also provides almost all federally permissible optional services.

Traditionally, the Federal government has paid for 50 percent of the State's Medicaid program. The State pays about 35 percent of the remaining costs and counties pay about 15 percent. The Federal government has matched, on an unlimited basis, each State dollar expended on Medicaid.

ACCESS TO QUALITY HEALTH CARE

The Department develops and implements programs to ensure that residents of New York have access to high quality health care. Over the last three years, significant legislation has been enacted that will enhance the availability of appropriate care to all New Yorkers:

- The landmark Health Care Reform Act of 1996 deregulated inpatient hospital reimbursement and introduced a market-based system which allows commercial insurers, managed care entities and self-insured plans to establish rates of payment directly through negotiations with hospitals and without State intervention. While this Act expires on December 31, 1999, successor legislation will retain the State's commitment to ensuring that the hospital system adapts to the changing health care environment;
- Pioneering Consumer Managed Care legislation enacted in 1996 — the Managed Care Bill of Rights — ensures that consumers will be appropriately informed about managed care choices, benefits and guarantees that providers can discuss all appropriate health care options;
- Enhanced consumer protections were added in External Review legislation enacted in 1998 which provide health plan enrollees the opportunity to request an external appeal when coverage of health care services is denied on the grounds that the service is not medically necessary, or that it is experimental or investigational; and

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- The Long-Term Care Integration and Finance Act of 1997 authorizes various managed long-term care models for evaluation, expands service options, increases available financing streams for long-term care, and ensures the tax deductibility of long-term care insurance.

PUBLIC HEALTH

Efforts such as education, research and prevention of injuries and disease are aimed at improving the health of all New Yorkers. Particular focus is placed on nutrition, prenatal and perinatal care, child health, treatment and control of sexually transmitted diseases and tuberculosis, childhood immunization, the health risks of environmental contaminants, drinking water purity, and follow-up investigations of disease outbreak. In addition, the Department serves as primary liaison with local and county health departments to ensure the quality of public health services throughout New York State.

The Wadsworth Center for Laboratories and Research assists the Department in accomplishing its public health mission. Screening programs conducted in the Labs annually report nearly three million test results to providers. These programs encompass such public health concerns as HIV, tuberculosis, and genetic disorders in newborns. Ongoing research, largely funded by external grants, is carried out for public health problems such as AIDS, Lyme disease, cancer and the toxic effects of chemical substances and radiation. In addition, the Wadsworth Center regulates over 800 environmental laboratories and over 1,800 clinical laboratories and blood banks to ensure testing quality, and the public's health and safety.

RESPONSE TO THE AIDS EPIDEMIC

The Department develops and funds HIV prevention and health care programs, educates the public and health care providers, formulates policy and directs regional and statewide HIV/AIDS planning. Since 1983, cases of AIDS in New York State total more than 124,000, one-fifth of the U.S. total. New York remains a leader in combating this complex epidemic by responding to changes in incidence with even greater attention to population-based programming, long term care and policies designed to reduce discrimination and guarantee basic medical care and treatment for the uninsured. New York State will spend \$1.98 billion to combat HIV/AIDS next year.

HEALTH SYSTEMS MANAGEMENT

The Department assures that quality health care is available to all New York residents by overseeing the services provided by hospitals, nursing homes, diagnostic and treatment centers and home care providers. The Department strives to ensure that limited health care dollars are prudently spent through its reimbursement rate methodologies, rate setting, and review and monitoring of health facility plans for construction and expansion. Federal reimbursement for surveillance and certification, and fees for overseeing facilities to assure that bonded debt is repaid, help to offset program costs.

**ALL FUNDS
APPROPRIATIONS**

Category	Available	Appropriations	Change	Reappropriations
	1998-99	Recommended 1999-00		Recommended 1999-00
State Operations	\$3,134,062,950	\$3,320,591,700	+ \$186,528,750	\$2,910,187,226
Aid To Localities	22,774,151,781	23,160,954,500	+ 386,802,719	16,702,274,137
Capital Projects	156,906,000	98,877,000	-58,029,000	225,167,000
Total	\$26,065,120,731	\$26,580,423,200	+ \$515,302,469	\$19,837,628,363

**ALL FUND TYPES
LEVELS OF EMPLOYMENT BY PROGRAM
ANNUAL SALARIED POSITIONS**

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration and Executive Direction					
General Fund	201	188	188	-13
Special Revenue Funds — Federal	159	159	159
Special Revenue Funds — Other	213	200	200	-13
Environmental Health					
General Fund	137	120	120	-17
Special Revenue Funds — Federal	143	134	134	-9
Special Revenue Funds — Other	65	90	90	+ 25
Community Health					
General Fund	78	76	76	-2
Special Revenue Funds — Federal	619	639	639	+ 20
Special Revenue Funds — Other	1	1	+ 1
AIDS Institute					
General Fund	186	186	186
Special Revenue Funds — Other	20	20	20
Laboratories and Research					
General Fund	422	407	407	-15
Special Revenue Funds — Federal	91	86	86	-5
Special Revenue Funds — Other	164	164	164
Institution Management					
Special Revenue Funds — Other	2,709	2,709	2,709
Health Care Financing					
General Fund	95	87	87	-8
Special Revenue Funds — Other	73	74	74	+ 1
Health Care Standards and Surveillance					
General Fund	281	276	276	-5
Special Revenue Funds — Other	235	235	235
Elderly Pharmaceutical Insurance Coverage					
General Fund	20	20	20
Child Health Insurance Program					
Special Revenue Funds — Other	46	46	46
Medicaid Assistance					
General Fund	422	422	422
Special Revenue Funds — Other	5	5	5
Office of Medicaid Audit and Fraud Prevention					
General Fund	228	228	228
Special Revenue Funds — Federal	244	244	244
Special Revenue Funds — Other	27	27	27
Office of Continuing Care					
General Fund	369	369	369
Special Revenue Funds — Other	2	2	2
Managed Care					
General Fund	152	152	152
Special Revenue Funds — Other	3	3	3
Subtotal, Direct Funded Programs	7,409	7,369	7,369	-40
Suballocations:					
Special Revenue Funds — Federal	22			22
Special Revenue Funds — Other	106			106
Enterprise Funds	16			16
Total	7,553			7,513	-40

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	\$136,798,500	\$151,516,000	+ \$14,717,500
Special Revenue Funds — Federal	2,541,162,250	2,747,447,600	+ 206,285,350
Special Revenue Funds — Other	453,367,200	418,893,100	-34,474,100
Enterprise Funds	10,000	10,000
Fiduciary Funds	2,725,000	2,725,000
Total	\$3,134,062,950	\$3,320,591,700	+ \$186,528,750
Adjustments:			
Recommended Deficiency			
Special Revenue Funds — Other	-2,000,000		
Transfer(s) From			
Health, Department of			
General Fund	-937,200		
Transfer(s) To			
Health, Department of			
Special Revenue Funds — Other	+ 937,200		
Appropriated 1998-99	\$3,132,062,950		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

Program	Available 1998-99	Recommended 1999-00	Change
Administration and Executive Direction			
General Fund	\$15,720,000	\$15,809,900	+ \$89,900
Special Revenue Funds — Federal	13,774,600	15,666,400	+ 1,891,800
Special Revenue Funds — Other	20,093,200	19,609,000	-484,200
Environmental Health			
General Fund	8,991,100	8,335,600	-655,500
Special Revenue Funds — Federal	12,232,000	12,755,500	+ 523,500
Special Revenue Funds — Other	12,123,100	13,247,600	+ 1,124,500
Community Health			
General Fund	7,454,100	7,475,900	+ 21,800
Special Revenue Funds — Federal	74,633,550	87,366,100	+ 12,732,550
Special Revenue Funds — Other	622,900	593,800	-29,100
AIDS Institute			
General Fund	14,533,500	15,509,900	+ 976,400
Special Revenue Funds — Federal	356,700	200,000	-156,700
Special Revenue Funds — Other	1,858,000	1,967,600	+ 109,600
Laboratories and Research			
General Fund	27,542,400	27,420,100	-122,300
Special Revenue Funds — Federal	7,599,600	7,599,600
Special Revenue Funds — Other	19,068,100	27,556,500	+ 8,488,400
Fiduciary Funds	2,000,000	2,000,000
Institution Management			
Special Revenue Funds — Other	244,101,900	195,460,800	-48,641,100
Enterprise Funds	10,000	10,000
Fiduciary Funds	325,000	325,000
Health Care Financing			
General Fund	5,726,000	5,681,600	-44,400
Special Revenue Funds — Other	6,783,400	6,951,500	+ 168,100
Health Care Standards and Surveillance			
General Fund	22,985,100	22,668,100	-317,000
Special Revenue Funds — Federal	14,600,000	13,400,000	-1,200,000
Special Revenue Funds — Other	37,055,400	37,231,300	+ 175,900
Elderly Pharmaceutical Insurance Coverage			
General Fund	5,844,100	5,864,500	+ 20,400
Child Health Insurance			
Special Revenue Funds — Federal	14,601,000	13,000,000	-1,601,000
Special Revenue Funds — Other	6,620,000	7,000,000	+ 380,000
Maintenance Undistributed			
General Fund	-94,924,900	-89,148,900	+ 5,776,000
Special Revenue Funds — Other	94,924,900	89,148,900	-5,776,000
Medicaid Assistance			
General Fund	39,893,800	41,111,000	+ 1,217,200
Special Revenue Funds — Federal	2,340,861,800	2,528,131,000	+ 187,269,200
Special Revenue Funds — Other	1,350,000	1,350,000
Fiduciary Funds	400,000	-400,000
Medicaid Management Information System			
General Fund	35,457,000	42,880,000	+ 7,423,000
Special Revenue Funds — Federal	28,491,000	42,153,000	+ 13,662,000
Office of Medicaid Audit and Fraud Prevention			
General Fund	13,892,100	14,809,000	+ 916,900
Special Revenue Funds — Federal	34,012,000	27,176,000	-6,836,000
Special Revenue Funds — Other	4,500,000	14,500,000	+ 10,000,000
Office of Continuing Care			
General Fund	20,074,700	20,041,300	-33,400
Special Revenue Funds — Other	3,567,200	3,567,100	-100
Fiduciary Funds	400,000	+ 400,000
Managed Care			
General Fund	13,609,500	13,058,000	-551,500
Special Revenue Funds — Other	699,100	709,000	+ 9,900
<u>Total</u>	<u>\$3,134,062,950</u>	<u>\$3,320,591,700</u>	<u>+ \$186,528,750</u>

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STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration and Executive						
Direction	\$9,644,700	+ \$89,900	\$9,416,700	+ \$89,900	\$125,000
Environmental Health	6,399,400	-144,500	6,202,600	-144,500	186,300
Community Health	3,500,000	+ 21,800	3,421,200	+ 21,800	60,000
AIDS Institute	8,815,800	+ 386,400	8,800,800	+ 386,400
Laboratories and Research	18,800,000	+ 38,700	18,478,500	+ 38,700	64,200
Health Care Financing	4,813,300	-44,400	4,781,300	-44,400
Health Care Standards and Surveillance	14,521,400	-223,000	14,422,300	-223,000	20,000
Elderly Pharmaceutical Insurance						
Coverage	1,039,300	+ 20,400	1,038,300	+ 20,400
Medicaid Assistance	21,168,000	+ 152,100	20,948,000	+ 152,100	70,000
Office of Medicaid Audit and Fraud						
Prevention	12,273,000	+ 648,100	12,192,200	+ 648,100	48,500
Office of Continuing Care	18,742,700	-33,400	18,742,700	-33,400
Managed Care	8,382,000	-551,600	8,382,000	-551,600
Total	<u>\$128,099,600</u>	<u>+ \$360,500</u>	<u>\$126,826,600</u>	<u>+ \$360,500</u>	<u>\$574,000</u>	<u>. . . .</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration and Executive		
Direction	\$103,000
Environmental Health	10,500
Community Health	18,800
AIDS Institute	15,000
Laboratories and Research	257,300
Health Care Financing	32,000
Health Care Standards and Surveillance	79,100
Elderly Pharmaceutical Insurance		
Coverage	1,000
Medicaid Assistance	150,000
Office of Medicaid Audit and Fraud		
Prevention	32,300
Office of Continuing Care
Managed Care
Total	<u>\$699,000</u>	<u>. . . .</u>

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Amount	Total		Supplies and Materials	
		Amount	Change	Amount	Change
Administration and Executive					
Direction	\$6,165,200		\$740,000
Environmental Health	1,936,200		-\$511,000	133,600
Community Health	3,975,900		319,800
AIDS Institute	6,694,100		+ 590,000	1,474,000
Laboratories and Research	8,620,100		-161,000	3,117,700
Health Care Financing	868,300		12,200
Health Care Standards and					
Surveillance	8,146,700		-94,000	41,400
Elderly Pharmaceutical					
Insurance Coverage	4,825,200		18,600	-\$1,600
Medicaid Assistance	19,943,000		+ 1,065,100	91,320	+ 100
Medicaid Management					
Information System	42,880,000		+ 7,423,000
Office of Medicaid Audit and					
Fraud Prevention	2,536,000		+ 268,800	85,300
Office of Continuing Care	1,298,600		106,800
Managed Care	4,676,000		+ 100	122,100	+ 100
Total	<u>\$112,565,300</u>		<u>+ \$8,581,000</u>	<u>\$6,262,820</u>	<u>-\$1,400</u>

Program	Amount	Travel		Contractual Services	
		Amount	Change	Amount	Change
Administration and Executive					
Direction	\$262,200		\$5,112,000
Environmental Health	374,400		1,322,600	-\$511,000
Community Health	139,500		3,478,200
AIDS Institute	256,600		3,709,500
Laboratories and Research	76,000		5,071,900	-161,000
Health Care Financing	38,400		804,000
Health Care Standards and					
Surveillance	380,400		6,765,800
Elderly Pharmaceutical					
Insurance Coverage	9,700		4,723,000	+ 1,600
Medicaid Assistance	320,800		3,597,080
Medicaid Management					
Information System	42,880,000	+ 7,423,000
Office of Medicaid Audit and					
Fraud Prevention	180,000		1,095,200	+ 268,500
Office of Continuing Care	766,900		377,400
Managed Care	292,000		3,861,400
Total	<u>\$3,096,900</u>		<u>.....</u>	<u>\$82,798,080</u>	<u>+ \$7,021,100</u>

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Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration and Executive				
Direction	\$51,000
Environmental Health	105,600
Community Health	38,400
AIDS Institute	214,000
Laboratories and Research	354,500
Health Care Financing	13,700
Health Care Standards and Surveillance	147,400	\$811,700	-\$94,000
Elderly Pharmaceutical Insurance Coverage	3,500
Medicaid Assistance	68,800
Medicaid Management Information System
Office of Medicaid Audit and Fraud Prevention	26,500
Office of Continuing Care	47,500
Managed Care	400,500
Total	\$1,471,400	\$811,700	-\$94,000

Program	Maintenance Undistributed	
	Amount	Change
Administration and Executive		
Direction
Environmental Health
Community Health
AIDS Institute	\$1,040,000	+ \$590,000
Laboratories and Research
Health Care Financing
Health Care Standards and Surveillance
Elderly Pharmaceutical Insurance Coverage	70,400
Medicaid Assistance	15,865,000	+ 1,065,000
Medicaid Management Information System
Office of Medicaid Audit and Fraud Prevention	1,149,000	+ 300
Office of Continuing Care
Managed Care
Total	\$18,124,400	+ \$1,655,300

**STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Executive				
Direction	\$35,275,400	+ \$1,407,600	\$10,505,200	-\$535,500
Environmental Health	26,003,100	+ 1,648,000	3,200,200	+ 551,400
Community Health	87,959,900	+ 12,703,450	25,000	-14,800
AIDS Institute	2,167,600	-47,100	764,300
Laboratories and Research	37,156,100	+ 8,488,400	8,288,500	-5,100
Institution Management	195,795,800	-48,641,100
Health Care Financing	6,951,500	+ 168,100	4,131,300	-151,900
Health Care Standards and				
Surveillance	50,631,300	-1,024,100	11,702,300	+ 458,800
Child Health Insurance	20,000,000	-1,221,000	2,174,000	-1,837,400
Office of Medicaid Management	2,529,481,000	+ 186,869,200
Medicaid Management				
Information System	42,153,000	+ 13,662,000
Office of Medicaid Audit and				
Fraud Prevention	41,676,000	+ 3,164,000
Office of Continuing Care	3,967,100	+ 399,900	121,800
Managed Care	709,000	+ 9,900	120,000	+ 6,100
Total	\$3,079,926,800	+ \$177,587,250	\$41,032,600	-\$1,528,400

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Executive				
Direction	\$9,103,800	+ \$51,300	\$15,666,400	+ \$1,891,800
Environmental Health	8,022,400	-364,700	14,780,500	+ 1,461,300
Community Health	115,400	-14,300	87,819,500	+ 12,732,550
AIDS Institute	1,203,300	+ 109,600	200,000	-156,700
Laboratories and Research	10,768,000	-6,500	18,099,600	+ 8,500,000
Institution Management	195,795,800	-48,641,100
Health Care Financing	2,820,200	+ 320,000
Health Care Standards and				
Surveillance	10,216,500	-282,900	28,712,500	-1,200,000
Child Health Insurance	17,826,000	+ 4,526,100	-3,909,700
Office of Medicaid Management	2,529,481,000	+ 186,869,200
Medicaid Management				
Information System	42,153,000	+ 13,662,000
Office of Medicaid Audit and				
Fraud Prevention	10,000,000	+ 10,000,000	31,676,000	-6,836,000
Office of Continuing Care	3,445,300	-100	400,000	+ 400,000
Managed Care	89,000	+ 3,800	500,000
Total	\$73,609,900	+ \$14,342,300	\$2,965,284,300	+ \$164,773,350

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

Fund Type	Available	Recommended	Change
	1998-99	1999-00	
General Fund	\$6,157,602,331	\$6,104,789,500	-\$52,812,831
Special Revenue Funds — Federal	15,148,400,950	15,631,996,500	+ 483,595,550
Special Revenue Funds — Other	1,093,148,500	924,168,500	-168,980,000
Fiduciary Funds	375,000,000	500,000,000	+ 125,000,000
Total	\$22,774,151,781	\$23,160,954,500	+ \$386,802,719
Adjustments:			
Recommended Deficiency			
General Fund		-97,973,000	
Appropriated 1998-99	\$22,676,178,781		

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

Program	Available 1998-99	Recommended 1999-00	Change
Administration and Executive Direction			
General Fund	\$869,300	\$869,300
Environmental Health			
Special Revenue Funds — Federal	2,487,400	2,487,400
Special Revenue Funds — Other	200,000	200,000
Community Health			
General Fund	317,101,100	339,316,100	+ \$22,215,000
Special Revenue Funds — Federal	473,204,750	561,603,300	+ 88,398,550
Special Revenue Funds — Other	18,647,000	18,647,000
AIDS Institute			
General Fund	74,393,200	77,217,200	+ 2,824,000
Special Revenue Funds — Other	10,933,500	10,933,500
Laboratories and Research			
General Fund	1,171,000	1,071,000	-100,000
Special Revenue Funds — Federal	2,059,800	2,059,800
Health Care Standards and Surveillance			
General Fund	9,513,900	9,184,900	-329,000
Elderly Pharmaceutical Insurance Coverage			
General Fund	120,500,000	138,000,000	+ 17,500,000
Child Health Insurance			
Special Revenue Funds — Federal	369,399,000	243,000,000	-126,399,000
Special Revenue Funds — Other	157,380,000	200,000,000	+ 42,620,000
Maintenance Undistributed			
General Fund	-41,988,000	-44,388,000	-2,400,000
Special Revenue Funds — Other	41,988,000	44,388,000	+ 2,400,000
Medicaid Assistance			
General Fund	5,553,182,000	5,497,600,000	-55,582,000
Special Revenue Funds — Federal	14,059,550,000	14,500,000,000	+ 440,450,000
Special Revenue Funds — Other	864,000,000	650,000,000	-214,000,000
Fiduciary Funds	375,000,000	500,000,000	+ 125,000,000
Medical Assistance Administration			
General Fund	111,150,000	79,650,000	-31,500,000
Special Revenue Funds — Federal	241,700,000	322,846,000	+ 81,146,000
Office of Continuing Care			
General Fund	6,269,000	6,269,000
Legislative Initiatives			
General Fund	5,440,831	-5,440,831
Total	<u>\$22,774,151,781</u>	<u>\$23,160,954,500</u>	<u>+ \$386,802,719</u>

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Comprehensive Construction Programs</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations 1999-00</u>
Rehabilitation And Improvements				
Capital Projects Fund	\$3,750,000	-\$3,750,000	\$5,177,000
St. Albans Rehabilitation & Improvement	\$200,000	+ 200,000
Oxford Rehabilitation & Improvement	1,000,000	+ 1,000,000
Helen Hayes Rehabilitation & Improvement	9,700,000	+ 9,700,000
Batavia Rehabilitation & Improvement	500,000	+ 500,000
Laboratories and Research				
Capital Projects Fund	1,250,000	3,700,000	+ 2,450,000	3,697,000
Maintenance and Improvements of Existing Institutions				
Capital Projects Fund	750,000	-750,000	13,815,000
New Institution Construction				
Capital Projects Fund - Advances	26,500,000
Department of Health Facilities Capital Improvement Fund	28,724,000
Water Resources				
Federal Capital Projects Fund	101,156,000	33,777,000	-67,379,000	144,590,000
Safe Drinking Water - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	50,000,000	50,000,000
Design and Construction Supervision				
Capital Projects Fund	2,664,000
Total	<u>\$156,906,000</u>	<u>\$98,877,000</u>	<u>-\$58,029,000</u>	<u>\$225,167,000</u>

INTEREST ON LAWYER ACCOUNT

MISSION

The Interest on Lawyer Account (IOLA) was established in 1983 to finance civil legal services for the poor. Revenues are derived from the interest earned on small trust accounts which attorneys hold for their clients. Banks transfer the interest earned on these accounts to IOLA to fund grants to not-for-profit, tax-exempt entities providing civil legal services to the poor, elderly and disabled. Legislation enacted in 1988 made participation in IOLA mandatory for attorneys in private practice who hold nominal short-term escrow accounts for clients.

ORGANIZATION AND STAFFING

A 15-member board of trustees appointed by the Governor administers IOLA. Board members serve without compensation. Day-to-day operations are handled by a workforce of eight located in New York City.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Budget recommendations enable a grant level of \$15 million in each of calendar years 1999 and 2000 dependent upon revenue generating efforts. Grant levels continue to rise as a result of ongoing revenue maximization efforts including initiatives intended to reduce administrative costs at participating banks. Approximately \$4 million of the anticipated annual grants are contingent upon these initiatives to increase interest earnings.

PROGRAM HIGHLIGHTS

At least 75 percent of the grant funds distributed by IOLA must be used to deliver civil legal services to the poor. The balance must be allocated to purposes related to the improvement of the administration of justice, including the provision of civil legal services to under served groups such as the elderly and disabled. In 1999, IOLA will award grants to an estimated 120 organizations.

Category	ALL FUNDS APPROPRIATIONS			
	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$1,016,000	\$999,900	-\$16,100
Aid To Localities	14,950,000	14,950,000
Capital Projects
Total	<u>\$15,966,000</u>	<u>\$15,949,900</u>	<u>-\$16,100</u>	<u>. . . .</u>

INTEREST ON LAWYER ACCOUNT

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
New York Interest on Lawyer Account					
Fiduciary Funds	9	8	8	-1
Total	9	8	8	-1

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
Fiduciary Funds	\$1,016,000	\$999,900	-\$16,100
Total	\$1,016,000	\$999,900	-\$16,100

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
New York Interest on Lawyer Account			
Fiduciary Funds	\$1,016,000	\$999,900	-\$16,100
Total	\$1,016,000	\$999,900	-\$16,100

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
New York Interest on Lawyer Account				
Account	\$999,900	-\$16,100	\$473,900	-\$16,200
Total	\$999,900	-\$16,100	\$473,900	-\$16,200

Program	Nonpersonal Service Amount	Change
New York Interest on Lawyer Account		
Account	\$526,000	+ \$100
Total	\$526,000	+ \$100

INTEREST ON LAWYER ACCOUNT

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Fiduciary Funds	\$14,950,000	\$14,950,000
Total	<u>\$14,950,000</u>	<u>\$14,950,000</u>	<u>. . . .</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
New York Interest on Lawyer Account Fiduciary Funds	\$14,950,000	\$14,950,000
Total	<u>\$14,950,000</u>	<u>\$14,950,000</u>	<u>. . . .</u>

INTEREST ON LAWYER ACCOUNT

TEMPORARY STATE COMMISSION OF INVESTIGATION

MISSION

The Temporary State Commission of Investigation was established in 1958 to serve as a bipartisan fact-finding agency investigating and reporting on organized crime and racketeering, the conduct of public officers, and other matters affecting public peace, safety and justice.

ORGANIZATION AND STAFFING

The Commission has six salaried members, two each appointed by the Governor, the Temporary President of the Senate and the Speaker of the Assembly. In addition to the 6 commissioners, there will be 28 staff positions at the Commission, which has its main office in New York City.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 1999-00 a total of \$2.3 million in State tax dollars and \$200,000 in anticipated asset forfeitures will support the expenses of the Commission.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$2,481,800	\$2,549,500	+ \$67,700
Aid To Localities
Capital Projects
Total	<u>\$2,481,800</u>	<u>\$2,549,500</u>	<u>+ \$67,700</u>	<u>. . . .</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Investigation General Fund	34	34	34
Total	<u>34</u>	<u>34</u>	<u>. . . .</u>	<u>34</u>	<u>. . . .</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$2,281,800	\$2,349,500	+ \$67,700
Special Revenue Funds — Other	200,000	200,000
Total	<u>\$2,481,800</u>	<u>\$2,549,500</u>	<u>+ \$67,700</u>

INVESTIGATION

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Investigation			
General Fund	\$2,281,800	\$2,349,500	+ \$67,700
Special Revenue Funds — Other	200,000	200,000
Total	<u>\$2,481,800</u>	<u>\$2,549,500</u>	<u>+ \$67,700</u>

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Investigation	\$2,126,600	+ \$67,700	\$2,095,200	+ \$67,700	\$31,400
Total	<u>\$2,126,600</u>	<u>+ \$67,700</u>	<u>\$2,095,200</u>	<u>+ \$67,700</u>	<u>\$31,400</u>	<u>.</u>

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Investigation	\$222,900	\$36,300
Total	<u>\$222,900</u>	<u>.</u>	<u>\$36,300</u>	<u>.</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Investigation	\$55,800	\$100,800
Total	<u>\$55,800</u>	<u>.</u>	<u>\$100,800</u>	<u>.</u>

Program	Equipment	
	Amount	Change
Investigation	\$30,000
Total	<u>\$30,000</u>	<u>.</u>

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Nonpersonal Service	
	Amount	Change	Amount	Change
Investigation	\$200,000	\$200,000
Total	<u>\$200,000</u>	<u>.</u>	<u>\$200,000</u>	<u>.</u>

JUDICIAL COMMISSIONS

MISSION

New York State's three Judicial Commissions play important roles in maintaining the integrity of the court system. The Commission on Judicial Nomination and the Judicial Screening Committees screen potential nominees for high-level judicial appointments by the Governor. The Commission on Judicial Conduct investigates and acts upon allegations of judicial misconduct.

COMMISSION ON JUDICIAL CONDUCT

The State Constitution established the Commission on Judicial Conduct, which is responsible for investigating complaints of misconduct against State judges and local justices. The Commission has disciplinary powers which include the authority to remove judges and justices from office for serious misconduct, subject to review by the Court of Appeals.

The Commission on Judicial Conduct has 11 members, four of whom are appointed by the Governor, three by the Chief Judge of the Court of Appeals and the remaining four by the Legislature. Commission members serve without pay and meet periodically to consider complaints, hear testimony and determine the disposition of cases. The Commission will have 26 staff in 1999-2000. Its main office is in New York City, with branches in Albany and Rochester. Its budget of \$1.9 million is supported entirely by State tax dollars from the General Fund.

COMMISSION ON JUDICIAL NOMINATION

The State Constitution established the Commission on Judicial Nomination to evaluate candidates and make recommendations to the Governor for appointment to the Court of Appeals, the State's highest court.

The Commission on Judicial Nomination has 12 members appointed by the Governor, the Chief Judge of the Court of Appeals and the Legislature. These members serve without pay and conduct the search for qualified candidates upon formal notification of a vacancy on the Court of Appeals. They are supported by volunteer legal staff also serving without pay.

GOVERNOR'S JUDICIAL SCREENING COMMITTEES

The Governor's Judicial Screening Committees are established by Executive Order to evaluate the qualifications of candidates and make recommendations to the Governor for appointment to judgeships other than those on the Court of Appeals. The Committees are located throughout the State. In 1999-2000, a total of \$150,000 in State tax dollars will support the Committees.

JUDICIAL COMMISSIONS

ALL FUNDS APPROPRIATIONS

Category	Available	Appropriations	Change	Reappropriations
	1998-99	Recommended 1999-00		Recommended 1999-00
State Operations	\$2,055,900	\$2,107,500	+ \$51,600
Aid To Localities
Capital Projects
Total	\$2,055,900	\$2,107,500	+ \$51,600

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Judicial Conduct General Fund	26	26	26
Total	26	26	26

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$2,055,900	\$2,107,500	+ \$51,600
Total	\$2,055,900	\$2,107,500	+ \$51,600

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Judicial Conduct General Fund	\$1,875,900	\$1,947,500	+ \$71,600
Judicial Nomination, Commission on General Fund	30,000	10,000	-20,000
Judicial Screening Committees General Fund	150,000	150,000
Total	\$2,055,900	\$2,107,500	+ \$51,600

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Judicial Conduct	\$1,558,100	+ \$63,400	\$1,540,400	+ \$63,400	\$17,700
Total	\$1,558,100	+ \$63,400	\$1,540,400	+ \$63,400	\$17,700

JUDICIAL COMMISSIONS

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Amount</u>	<u>Total</u>	<u>Supplies and Materials</u>
		<u>Change</u>	<u>Amount</u>
			<u>Change</u>
Judicial Conduct	\$389,400	+ \$8,200	\$29,600
Judicial Nomination, Commission on	10,000	-20,000
Judicial Screening Committees . . .	150,000
Total	\$549,400	-\$11,800	\$29,600

<u>Program</u>	<u>Amount</u>	<u>Travel</u>	<u>Contractual Services</u>
		<u>Change</u>	<u>Amount</u>
			<u>Change</u>
Judicial Conduct	\$29,600	\$317,300
Judicial Nomination, Commission on	+ \$8,200
Judicial Screening Committees
Total	\$29,600	\$317,300

<u>Program</u>	<u>Amount</u>	<u>Equipment</u>	<u>Maintenance Undistributed</u>
		<u>Change</u>	<u>Amount</u>
			<u>Change</u>
Judicial Conduct	\$12,900
Judicial Nomination, Commission on	\$10,000
Judicial Screening Committees	- \$20,000
Total	\$12,900	\$160,000

DEPARTMENT OF MENTAL HYGIENE

The Department of Mental Hygiene operates through three independent agencies: the Office of Mental Health, the Office of Mental Retardation and Developmental Disabilities, and the Office of Alcoholism and Substance Abuse Services. These agencies oversee and provide services for the treatment and rehabilitation of mental illness, developmental disabilities and alcoholism and substance abuse. The Department retains certain capital appropriations that support the activities of the agencies.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$17,736,000	-\$17,736,000
Aid To Localities
Capital Projects	\$19,347,000
Total	<u>\$17,736,000</u>	<u>. . . .</u>	<u>-\$17,736,000</u>	<u>\$19,347,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
Special Revenue Funds — Other	\$17,736,000	-\$17,736,000
Total	<u>\$17,736,000</u>	<u>. . . .</u>	<u>-\$17,736,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Programs	Available 1998-99	Recommended 1999-00	Change	Reappropriations 1999-00
Community Facilities				
Mental Hygiene Capital Improvement Fund - 389	\$19,347,000
Total	<u>. . . .</u>	<u>. . . .</u>	<u>. . . .</u>	<u>\$19,347,000</u>

OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

MISSION

The Office of Alcoholism and Substance Abuse Services is responsible for licensing and evaluating service providers, and for implementing and advocating policies and programs for the prevention, early intervention and treatment of alcoholism and substance abuse. In conjunction with local governments, providers and communities, the Office works to ensure that a full range of necessary and cost-effective services are provided for addicted persons and those at risk of addiction.

ORGANIZATION AND STAFFING

The Office of Alcoholism and Substance Abuse Services is headed by a Commissioner, who is nominated by the Governor and confirmed by the Senate. The agency will have a workforce of 1,027 employees by the end of 1999-2000, approximately half of which provide clinical treatment services within a statewide system of 13 Addiction Treatment Centers (ATCs).

The 1999-2000 Budget reflects a reduction of 51 positions from prior authorized levels. The majority of this reduction reflects the transfer of 44 positions to the State University of New York, in connection with the transfer of the Research Institute on Addictions to SUNY Buffalo. The remaining reduction reflects agency efficiencies accomplished through the further consolidation and streamlining of both agency operations and the community-based system at large.

FISCAL BACKGROUND

A total of \$481 million in State funding for the treatment and prevention of chemical dependence is appropriated in the Office of Alcoholism and Substance Abuse Services budget. In addition, the Office plays a role in overseeing drug and alcohol programming supported by other State agency budgets, including the welfare, criminal justice, and Medicaid budgets. Accordingly, OASAS has an integral part in the State's welfare reform, criminal justice and Medicaid managed care agendas.

The Office of Alcoholism and Substance Abuse Services budget receives 64 percent of its funding from State tax dollars, with Federal funds and other revenues comprising the rest. Overall, 8 percent supports administrative functions, such as licensing, quality assurance, and the provision of expertise to county governments and providers. The remaining 92 percent supports prevention and treatment services. In 1999-2000, a total of \$318.5 million will fund the delivery of treatment, while another \$98.4 million will be dedicated to prevention programs.

BUDGET HIGHLIGHTS

A total of \$17 million in new initiatives will be undertaken as part of the 1999-2000 Budget. These initiatives are recommended to be funded with a mix of resources, including asset forfeiture revenues, and Federal Substance Abuse Prevention and Treatment (SAPT) grants. The initiatives are intended to strengthen the existing system and increase the effectiveness of prevention and treatment services. Highlights of these initiatives include:

- \$4 million to intensify outpatient services for women with children, persons needing HIV services, and other special populations;

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

- \$3 million to enhance vocational services;
- \$3 million in targeted prevention grants to strengthen school-, college-, and community-based programs;
- \$2.5 million for training of providers in the care of special populations;
- \$2.5 million to maintain appropriate staffing in the community treatment system; and
- \$2 million to support the transition of newly employed public assistance recipients to full self-sufficiency.

These recommendations also incorporate a 2.5 percent salary-related cost-of-living increase enacted by the Legislature in 1998-99 for certain non-profit programs. In addition, the budget provides the operating and capital funding necessary to implement the 1999-2000 phase of the 700-bed expansion enacted in the 1997-98 budget.

Finally, as discussed above, the 1999-2000 Executive Budget recommends the transfer of the Research Institute on Addictions (RIA) to the State University of New York. This transfer is expected to strengthen RIA's competitive position as a national research facility and assist in the widespread incorporation of research findings into actual treatment practice. As increasing attention is focused nationwide on researching the causes and treatment of addiction, this transfer readies the State to take advantage of scientific breakthroughs in this field.

PROGRAM HIGHLIGHTS

As the largest drug and alcohol system in the nation, OASAS administers a comprehensive array of prevention and treatment services for New Yorkers suffering from addiction. The Office works with a network of State, local government and voluntary agencies, as well as school districts, to provide prevention and treatment services. In accordance with Federal and State statutes, the agency licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

On any given day, approximately 120,000 patients are enrolled in New York State's licensed alcoholism and substance abuse treatment and rehabilitation system. Services within this system are provided by more than 1,300 community-based agencies — approximately 600 licensed alcoholism programs and 700 licensed substance abuse programs. OASAS itself directly provides inpatient rehabilitation services to approximately 7,000 patients annually through its 13 State-operated Addiction Treatment Centers.

Moreover, OASAS currently provides financial assistance for substance and alcohol abuse prevention and intervention services to approximately 420 programs in about 2,400 settings across the State including hospitals, the workplace, and school and community-based settings.

The 1999-2000 Executive Budget continues the movement toward a single, consolidated treatment system. Legislation submitted with the Executive Budget will combine the current separate alcohol and drug treatment licenses into a single chemical dependence license. This further consolidation of the treatment system will break down unnecessary barriers to the delivery of care for addictions, and eliminate duplication and inefficiencies in the current system.

Efforts also continue to purchase the best treatment outcomes for the lowest cost. OASAS has initiated twelve demonstration projects, in cooperation with local governments, under which counties case manage their most frequent and costly consumers of treatment services. During 1998-99, OASAS invested \$1.9 million in start-up funds for this initiative, which will become supportable through savings within two years.

Building on this strong relationship with county governments, the State will introduce a fundamental change in the way certain chemical detoxification services are provided, yielding Medicaid savings to both the State and local governments. In 1999-2000, OASAS licensed providers will begin to perform detoxification services in their community-based

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

programs, minimizing the repeated use of detoxification services in high-cost inpatient settings and linking consumers with needed on-going treatment services. The result will be both less costly and more coordinated care than is now provided to Medicaid patients in more expensive inpatient hospital settings.

In order to ensure quality services, OASAS will also move forward in 1999-2000 with further implementation of its performance monitoring program. Contracts with community-based providers already contain mandatory performance objectives, and providers regularly report their progress against these goals. However, beginning with contracts for 1999, the Office will now allocate funding based on provider performance. As a result of this policy, the State is further assured that its resources are well invested.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$63,610,700	\$68,966,700	+ \$5,356,000
Aid To Localities	369,381,191	383,608,400	+ 14,227,209	\$44,407,000
Capital Projects	15,300,000	28,761,000	+ 13,461,000	151,459,000
Total	<u>\$448,291,891</u>	<u>\$481,336,100</u>	<u>+ \$33,044,209</u>	<u>\$195,866,000</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Executive Direction					
General Fund	382	379	379	-3
Special Revenue Funds — Federal	83	85	85	+ 2
Special Revenue Funds — Other	5	5	5
Capital Project Funds	8	8	8
Institutional Services					
General Fund	562	562	562
Total	<u>1,040</u>	<u>1,034</u>	<u>5</u>	<u>1,039</u>	<u>-1</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$45,141,500	\$45,862,500	+ \$721,000
Special Revenue Funds — Federal	4,371,700	4,371,700
Special Revenue Funds — Other	14,097,500	18,732,500	+ 4,635,000
Total	<u>\$63,610,700</u>	<u>\$68,966,700</u>	<u>+ \$5,356,000</u>
Adjustments:			
Transfer(s) To			
State University of New York			
General Fund	+ 2,413,500		
Appropriated 1998-99	<u>\$66,024,200</u>		

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Executive Direction			
General Fund	\$22,800,200	\$21,931,600	-\$868,600
Special Revenue Funds — Federal	4,371,700	4,371,700
Special Revenue Funds — Other	5,047,500	9,382,500	+ 4,335,000
Institutional Services			
General Fund	22,341,300	23,930,900	+ 1,589,600
Special Revenue Funds — Other	9,050,000	9,350,000	+ 300,000
Total	\$63,610,700	\$68,966,700	+ \$5,356,000

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Executive Direction	\$20,901,600	+ \$426,400	\$20,721,100	+ \$398,300	\$112,300	+ \$26,100
Institutional Services	26,272,800	+ 1,111,000	25,517,600	+ 1,089,000	404,600	+ 11,400
Total	\$47,174,400	+ \$1,537,400	\$46,238,700	+ \$1,487,300	\$516,900	+ \$37,500

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Executive Direction	\$68,200	+ \$2,000
Institutional Services	350,600	+ 10,600
Total	\$418,800	+ \$12,600

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	\$9,584,000	+ \$3,040,000	\$343,700
Institutional Services	7,008,100	+ 778,600	2,936,500
Total	\$16,592,100	+ \$3,818,600	\$3,280,200

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	\$825,500	\$8,389,800	+ \$3,040,000
Institutional Services	255,000	3,722,100	+ 778,600
Total	\$1,080,500	\$12,111,900	+ \$3,818,600

Program	Equipment	
	Amount	Change
Executive Direction	\$25,000
Institutional Services	94,500
Total	\$119,500

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	\$5,200,200	\$2,555,000
Total	<u>\$5,200,200</u>	<u>. . . .</u>	<u>\$2,555,000</u>	<u>. . . .</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	\$1,626,700	\$1,018,500
Total	<u>\$1,626,700</u>	<u>. . . .</u>	<u>\$1,018,500</u>	<u>. . . .</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$243,882,791	\$242,131,000	-\$1,751,791
Special Revenue Funds — Federal	119,998,400	133,477,400	+ 13,479,000
Special Revenue Funds — Other	5,500,000	8,000,000	+ 2,500,000
Total	<u>\$369,381,191</u>	<u>\$383,608,400</u>	<u>+ \$14,227,209</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Community Treatment Services Program			
General Fund	\$195,555,000	\$194,683,000	-\$872,000
Special Revenue Funds — Federal	82,491,000	90,539,400	+ 8,048,400
Prevention and Program Support			
General Fund	47,773,000	47,448,000	-325,000
Special Revenue Funds — Federal	37,507,400	42,938,000	+ 5,430,600
Special Revenue Funds — Other	5,500,000	8,000,000	+ 2,500,000
Legislative Initiatives			
General Fund	554,791	-554,791
Total	<u>\$369,381,191</u>	<u>\$383,608,400</u>	<u>+ \$14,227,209</u>

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Comprehensive Construction Programs</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations 1999-00</u>
Administration				
Capital Projects Fund	\$200,000	\$500,000	+ \$300,000
Mental Hygiene Capital Improvement Fund - 389	549,000	273,000	-276,000
Non-Bondable Projects				
Capital Projects Fund	3,078,000	100,000	-2,978,000
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	4,422,000	3,288,000	-1,134,000	\$28,688,000
Mental Hygiene Capital Improvement Fund - 389	15,000,000	+ 15,000,000	105,385,000
Institutional Services Program				
Capital Projects Fund	1,000,000	1,000,000	4,333,000
Mental Hygiene Capital Improvement Fund - 389	3,051,000	3,600,000	+ 549,000	9,273,000
Design and Construction Supervision				
Capital Projects Fund	1,000,000	2,000,000	+ 1,000,000	1,100,000
Mental Hygiene Capital Improvement Fund - 389	2,000,000	3,000,000	+ 1,000,000	2,680,000
<u>Total</u>	<u>\$15,300,000</u>	<u>\$28,761,000</u>	<u>+ \$13,461,000</u>	<u>\$151,459,000</u>

OFFICE OF MENTAL HEALTH

MISSION

The Office of Mental Health (OMH) is responsible for planning and operating an integrated system of mental health care serving adults with serious and persistent mental illness and children with serious emotional disturbances.

ORGANIZATION AND STAFFING

The Office of Mental Health is headed by a Commissioner who is appointed by the Governor. New York's public mental health system consists of programs that are operated by the Office of Mental Health, as well as those community programs certified and funded by the State but operated in local communities by local governments and not-for-profit and profit-making providers. OMH regulates and licenses approximately 2,500 programs across the State and, in conjunction with the Department of Health, is responsible for implementation of Medicaid managed care through Special Needs Plans (SNPs). The combined system serves adults who have serious and persistent mental illness and have experienced substantial problems in functioning. OMH also serves children with serious emotional disturbances.

OMH currently operates twenty-eight facilities across the State, including seventeen Adult Psychiatric Centers and six Children's Psychiatric Centers. In addition, OMH operates three Forensic Psychiatric Centers — which serve individuals with histories in the criminal justice system — and two Research Institutes.

OMH will have a workforce of 18,208 during Fiscal Year 1999-2000, a net reduction of approximately 984 positions or 5 percent from prior year levels. This reduction results from the long-standing policy of closing unneeded beds in State institutions. Savings associated with the closure of adult beds during 1999-2000 are "reinvested" into State aid increases in community-based care under the Community Mental Health Reinvestment Act.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 1999-2000 Executive Budget provides \$1.81 billion in total authorized spending for the Office of Mental Health from General Fund, Federal and other third-party resources, a decrease of \$27.4 million, compared to 1998-99. General Fund support to the agency remains the same from the prior year.

Budget recommendations for the Office of Mental Health reflect the following guiding principles: continued commitment to reducing unneeded institutional care and transitioning the existing community mental health system to Medicaid managed care under Special Needs Plans (SNPs) for the seriously mentally ill.

Over the past four years, significant efficiencies have been achieved in institutional operations by matching clients to more appropriate community services and settings. These actions include closing unnecessary State-operated inpatient beds, closing two psychiatric centers and consolidating adult inpatient care from three campuses onto one campus on Long Island, restructuring outpatient services under a managed care model of service, and achieving significant Central Administration and facility administration streamlining.

For 1999-2000, the expansion of Community Services funding in recent years permits the discontinuation of 215 State positions provided some time ago when community services were largely undeveloped and lacked necessary expertise in providing services. In the Research Program, twenty-seven research positions will be supported by outside grant and indirect cost recovery funds, rather than the General Fund. Psychiatric

MENTAL HEALTH

Residencies at OMH facilities also are to be reduced by 50 percent over the next four years (18 positions in 1999-2000), to more closely reflect the program's actual success rate in attracting psychiatrists to careers in public mental health. The 125 bed STAIR unit, designed to address the needs of patients resistive to treatment and who have had a history of violent or aggressive behavior, is to be reduced to a 100 bed capacity, a size consistent with the continued inpatient census decline.

The Governor's Budget also incorporates a 2.5 percent cost-of-living (COLA) increase enacted by the Legislature in 1998-99 for certain non-profit programs.

Continued funding under the Community Mental Health Reinvestment Act — extended by the Governor in 1998-99 — is recommended to expand each community's capacity to serve mentally ill persons. Consistent with formula levels, the budget includes \$14.7 million in new funds for Reinvestment (\$29.4 million full year) for the anticipated adult non-geriatric census decline of 470 beds during 1999-2000. This new annual funding commitment brings the total amount of Reinvestment funding to nearly \$194 million. Together these resources represent a sizable investment in community mental health services and support, at local discretion, a wide variety of new and expanded programs, including outpatient, community support, self help and residential beds.

In addition to new resources, the Community Mental Health Reinvestment Act is amended to eliminate the requirement whereby a portion of Reinvestment funds are allocated to State Operations. Curtailing this restriction will expand local flexibility and provide the equivalent of \$6 million annually in additional discretionary funding to respond to locally identified needs.

Similarly, the budget continues support for the operation of residential beds now in various stages of authorization. Over the next year, it is anticipated that approximately 800 beds will become operational and thereby provide additional discharge options and free up placements along the continuum of residential services.

The Executive Budget also provides \$880,000 for 400 additional supported employment slots, expanding dedicated funding for work programs to nearly \$11 million and continuing the State's commitment to providing job based training and supports to enable persons with mental illness to obtain competitive employment.

Spending for State Operations capital projects is expected to be \$155.4 million in 1999-2000 including funding for rehabilitation of space in a secure facility to house and treat patients determined to be sexually violent predators under proposed legislation to be introduced this session. Capital Projects spending for not-for-profit community projects is estimated to be \$44.9 million. It will support the continued maintenance of the existing system of community beds; development of remaining pipeline beds; new, additional community residential beds recommended in 1998-99; and various other community projects.

PROGRAM HIGHLIGHTS

New York State will continue to rank first among all states in total spending and per capital spending on State and local programs for the mentally ill.

- State-operated facilities provide inpatient, outpatient, and community support programs throughout the State to adults, children, and forensic populations. State-operated psychiatric centers provide inpatient services to approximately 9,700 adult and children admissions annually, while over 37,000 individuals are served through various outpatient and support programs.
- Institutional patients vary greatly in age, level of disability and length of hospitalization. A range of treatment and rehabilitative services is offered as patients progress from admission to discharge. In addition, OMH provides specialized services for physically disabled, aggressive and assaultive, and multi-diagnosed patients, as well as patients who have criminal histories.

- The Office operates an array of community-based programs located throughout the State. These programs, staffed with State employees, provide supportive services to enable individuals with mental illness to avoid long-term inpatient stays. State-operated community-based services currently include: community residential facilities and residential care centers for adults and children with a combined capacity of 880 beds; approximately 140 licensed outpatient programs and 125 other outpatient programs; 290 Intensive Case Managers; 6 Supportive Employment programs; 21 work-for-pay, crisis and other community support programs.
- OMH operates two research facilities supported by State tax dollars and Federal and other grants. The New York Psychiatric Institute in Manhattan is the oldest mental health research institute in the nation and has been designated as one of five National HIV Centers for AIDS research and as a Clinical Research Center for Child Psychiatric Disorders. The Nathan S. Kline Institute, located on the grounds of Rockland Psychiatric Center, conducts research in clinical treatment, basic biomedical and neurosciences, and health services for the mentally ill.
- OMH also funds a wide range of community services provided by local governments and private organizations. These include emergency services such as Comprehensive Psychiatric Emergency Programs; general hospital inpatient services; outpatient services such as mental health clinics and day treatment programs and community support programs such as Intensive and Supportive Case Management, residential programs and consumer-run self-help and self-operated programs. Overall, State aid and Medicaid (budgeted largely in the Department of Health) provide over \$1.6 billion for not-for-profit and county-operated community mental health programs through a network of approximately 2,500 programs serving over 500,000 persons a year.

OMH supports a physical plant of more than 1,000 buildings and over 5,000 acres of space on its 23 active psychiatric center campuses, and assists in the development and maintenance of over 20,000 community residential beds. OMH's capital appropriations primarily support projects to improve the health and safety of patients and staff, such as improved security and modernization of those psychiatric centers designated for long-term use.

**ALL FUNDS
APPROPRIATIONS**

<u>Category</u>	<u>Available 1998-99</u>	<u>Appropriations Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations Recommended 1999-00</u>
State Operations	\$1,044,613,000	\$1,040,548,000	-\$4,065,000	\$2,239,500
Aid To Localities	642,535,000	656,181,000	+ 13,646,000	19,872,000
Capital Projects	151,432,000	114,432,000	-37,000,000	509,097,000
Total	<u>\$1,838,580,000</u>	<u>\$1,811,161,000</u>	<u>-\$27,419,000</u>	<u>\$531,208,500</u>

MENTAL HEALTH

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration and Finance					
General Fund	565	565	565
Special Revenue Funds — Federal	11	9	9	-2
Enterprise Funds	33	20	20	-13
Internal Service Funds	32	25	25	-7
Adult Services					
General Fund	14,038	13,099	13,099	-939
Children and Youth Services					
General Fund	1,937	1,920	1,920	-17
Forensic Services					
General Fund	1,972	1,966	1,966	-6
Research					
General Fund	555	528	528	-27
Special Revenue Funds — Other	2	29	29	+27
Capital Planning					
Capital Project Funds	41	41	41
Subtotal, Direct Funded Programs	19,186	18,202	18,202	-984
Suballocations:					
General Fund	6			6
Total	19,192			18,208	-984

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$492,920,000	\$498,839,000	+\$5,919,000
Special Revenue Funds — Federal	883,000	913,000	+ 30,000
Special Revenue Funds — Other	541,090,000	531,046,000	-10,044,000
Enterprise Funds	7,200,000	7,213,000	+ 13,000
Internal Service Funds	1,950,000	1,967,000	+ 17,000
Fiduciary Funds	570,000	570,000
Total	\$1,044,613,000	\$1,040,548,000	-\$4,065,000
Adjustments:			
Transfer(s) From			
Mental Health, Office of			
General Fund (Aid To Localities)	-2,698,000		
Appropriated 1998-99	\$1,041,915,000		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

Program	Available 1998-99	Recommended 1999-00	Change
Administration and Finance			
General Fund	\$47,753,000	\$50,538,000	+ \$2,785,000
Special Revenue Funds — Federal	883,000	913,000	+ 30,000
Enterprise Funds	7,200,000	7,213,000	+ 13,000
Internal Service Funds	1,950,000	1,967,000	+ 17,000
Fiduciary Funds	570,000	570,000
Adult Services			
General Fund	739,910,000	726,350,000	-13,560,000
Children and Youth Services			
General Fund	103,359,000	104,652,000	+ 1,293,000
Forensic Services			
General Fund	100,047,000	103,660,000	+ 3,613,000
Research			
General Fund	40,003,000	39,074,000	-929,000
Special Revenue Funds — Other	2,938,000	5,611,000	+ 2,673,000
Maintenance Undistributed			
General Fund	-538,152,000	-525,435,000	+ 12,717,000
Special Revenue Funds — Other	538,152,000	525,435,000	-12,717,000
Total	<u>\$1,044,613,000</u>	<u>\$1,040,548,000</u>	<u>-\$4,065,000</u>

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration and Finance	\$31,810,000	+ \$798,000	\$29,922,000	+ \$798,000	\$1,630,000
Adult Services	600,542,000	-25,246,000	554,832,000	-25,246,000	14,283,000
Children and Youth Services	91,600,000	+ 914,000	85,718,000	+ 914,000	2,791,000
Forensic Services	94,917,000	+ 3,296,000	88,952,000	+ 2,852,000	2,710,000	+ \$388,000
Research	33,359,000	-679,000	32,835,000	-657,000	85,000
Total	<u>\$852,228,000</u>	<u>-\$20,917,000</u>	<u>\$792,259,000</u>	<u>-\$21,339,000</u>	<u>\$21,499,000</u>	<u>+ \$388,000</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration and Finance	\$258,000
Adult Services	31,427,000
Children and Youth Services	3,091,000
Forensic Services	3,255,000	+ \$56,000
Research	439,000	-22,000
Total	<u>\$38,470,000</u>	<u>+ \$34,000</u>

MENTAL HEALTH

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Finance	\$18,728,000	-\$13,000	\$1,388,000	+\$26,000
Adult Services	125,808,000	+ 11,686,000	61,840,000	+ 11,612,000
Children and Youth Services	13,052,000	+ 379,000	4,684,000	+ 136,000
Forensic Services	8,743,000	+ 317,000	4,612,000	+ 197,000
Research	5,715,000	-250,000	1,894,000	+ 55,000
Total	\$172,046,000	+ \$12,119,000	\$74,418,000	+ \$12,026,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Finance	\$808,000	+ \$9,000	\$16,319,000	-\$54,000
Adult Services	3,992,000	+ 3,000	54,584,000	+ 67,000
Children and Youth Services	424,000	+ 12,000	7,344,000	+ 214,000
Forensic Services	414,000	+ 12,000	3,498,000	+ 102,000
Research	34,000	+ 1,000	3,670,000	-184,000
Total	\$5,672,000	+ \$37,000	\$85,415,000	+ \$145,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Finance	\$213,000	+ \$6,000
Adult Services	2,227,000	+ 4,000	\$3,165,000
Children and Youth Services	600,000	+ 17,000
Forensic Services	219,000	+ 6,000
Research	117,000	+ 3,000	-\$125,000
Total	\$3,376,000	+ \$36,000	\$3,165,000	-\$125,000

STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Finance	\$10,663,000	+ \$60,000	\$3,537,000	+ \$43,000
Research	5,611,000	+ 2,673,000	2,385,000	+ 2,285,000
Total	\$16,274,000	+ \$2,733,000	\$5,922,000	+ \$2,328,000

Program	Nonpersonal Service	
	Amount	Change
Administration and Finance	\$7,126,000	+ \$17,000
Research	3,226,000	+ 388,000
Total	\$10,352,000	+ \$405,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	\$620,644,000	\$633,256,000	+ \$12,612,000
Special Revenue Funds — Federal	21,891,000	22,925,000	+ 1,034,000
Total	\$642,535,000	\$656,181,000	+ \$13,646,000
Adjustments:			
Transfer(s) To			
Mental Health, Office of			
General Fund (State Operations)	+ 2,698,000		
Appropriated 1998-99	<u>\$645,233,000</u>		

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Adult Services			
General Fund	\$407,079,500	\$395,437,000	-\$11,642,500
Special Revenue Funds — Federal	17,589,000	18,216,000	+ 627,000
Children and Youth Services			
General Fund	85,730,000	86,524,000	+ 794,000
Special Revenue Funds — Federal	4,302,000	4,709,000	+ 407,000
Community Mental Health Reinvestment			
General Fund	127,545,000	151,295,000	+ 23,750,000
Legislative Initiatives			
General Fund	289,500	-289,500
Total	\$642,535,000	\$656,181,000	+ \$13,646,000

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Comprehensive Construction Programs</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations 1999-00</u>
Executive Direction				
Capital Projects Fund				\$4,000,000
Mental Hygiene Capital Improvement Fund - 389	\$3,000,000	\$3,300,000	+ \$300,000
Community Mental Health Facilities				
Capital Projects Fund	18,500,000	-18,500,000	31,304,000
Mental Hygiene Capital Improvement Fund - 389	40,375,000	375,000	-40,000,000	131,085,000
Non-Bondable Projects				
Capital Projects Fund	12,000,000	6,000,000	-6,000,000
Maintenance and Improvements of Existing Facilities				
Capital Projects Fund	13,777,000	19,807,000	+ 6,030,000	17,914,000
Mental Hygiene Capital Improvement Fund - 389	51,500,000	74,512,000	+ 23,012,000	305,443,000
Design and Construction Supervision				
Capital Projects Fund	2,280,000	2,250,000	-30,000
Mental Hygiene Capital Improvement Fund - 389	10,000,000	8,188,000	-1,812,000	19,351,000
Total	\$151,432,000	\$114,432,000	-\$37,000,000	\$509,097,000

OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

MISSION

The Office of Mental Retardation and Developmental Disabilities (OMRDD) serves and supports individuals and families of individuals with developmental disabilities. OMRDD works with local governments and non-profit providers to oversee a comprehensive system for delivery of services to people who are developmentally disabled. Both institutional and community-based services are delivered through a network of non-profit providers, eight State Developmental Centers and numerous State-operated programs based in the community.

ORGANIZATION AND STAFFING

Under the direction of a Commissioner appointed by the Governor, OMRDD operates through 13 district offices, called Developmental Disabilities Services Offices. Its Central Office oversees and supports operations of the district offices.

OMRDD will have 21,714 Full Time Equivalent positions (FTEs) for 1999-2000, most of whom work directly with consumers of services. The remainder of the workforce are supervisors of direct care workers, clinicians, administrative support and management personnel.

By the end of 1999-2000, OMRDD will operate some 2,020 developmental center beds. From 1995 to the end of the 1999-2000 fiscal year, the State will have moved nearly 2,100 people into more appropriate community care and will have closed 1,730 unneeded institutional beds.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The recommended funding level for OMRDD totals \$2.0 billion in General Fund and other third-party resources for operating purposes for 1999-2000. This represents a \$119.9 million increase over the five year period of the Administration, reflecting its ongoing commitment to serving the needs of those with developmental disabilities.

- OMRDD's Institutional Services Program will receive total funding of \$332.6 million to support residential care and habilitative services for people in the eight developmental centers who are awaiting placement in the community. In addition, another \$67.2 million funds OMRDD's Central Coordination and Support and Research in Mental Retardation Programs, which provide policy direction and technical support to the entire service system.
- OMRDD's Community Services Program will receive \$1.6 billion to fund hundreds of State-operated residential and day programs and ancillary services such as clinics and transportation networks, as well as to support local governments and a network of more than 600 non-profit agencies. These funds provide community-based residential and day services to more than 100,000 persons with developmental disabilities.
- OMRDD's new Capital appropriation of \$61.4 million supports the maintenance of existing institutions, group homes and program sites as well as the development and renovation of residential and day programming sites and other expanded bed capacity.

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

1999-2000 RECOMMENDATIONS

A total of \$2.0 billion is recommended in State Operations and Aid to Localities for 1999-2000 to support New York State's comprehensive system of care for over 100,000 persons with developmental disabilities and their families.

The 1999-2000 Executive Budget includes \$24.6 million for the first year of the Governor's landmark initiative known as New York State Creating Alternatives in Residential Environments and Services (NYS-CARES). This five year program will eliminate the adult waiting list for beds for persons with developmental disabilities in New York State. NYS-CARES will not only provide placement opportunities for those who are currently seeking a home in the community, but for those who are expected to apply for residential placement in the future. Over five years, NYS-CARES calls for a \$129 million State investment — and an overall one quarter billion dollar commitment with anticipated Federal funds — to develop 4,900 beds and thousands of case management slots, family supports

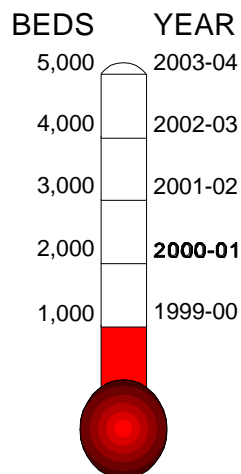
and day services, to serve a total of some 8,100 individuals in need of an out-of-home residential placement. Funding in the 1999-2000 Budget will support development of the first year commitment of 980 beds, 600 case management slots, 190 day service opportunities as well as provide \$1.3 million for new family support services for persons waiting for placement.

The Governor's Budget also recommends development of 1,400 day habilitation services for persons aging out from special education day school programs. New funding of \$2.5 million in 1999-2000, growing to \$5.0 million in 2000-2001, is recommended for this purpose. The Budget also responds to the residential needs of legally mandated populations such as those aging out from either the special education or foster care systems, or those referred to OMRDD by the New York City Administration for Children's Services. A total of 164 new beds are planned for this population. This Budget also expands OMRDD's Care at Home Waiver program by 100 slots, reflecting a cost effective approach to delivering medically intensive services to consumers in non-institutional settings. Providing services at home or in the community to families caring for their developmentally disabled loved ones is also an important component of OMRDD's service system. Similarly important is the opportunity for persons with developmental disabilities to work and lead productive lives, and the Governor's Budget creates 1,200 new supported work slots to support this effort.

The Governor's Budget also incorporates a 2.5 percent cost-of-living (COLA) increase enacted by the Legislature in 1998-99 for certain non-profit programs.

The overall Budget recommendation for program operations reflects a \$49.0 million increase over 1998-99 funding. These recommendations anticipate that OMRDD will continue to aggressively pursue Federal financial support for its programs as well as take other targeted administrative actions to achieve management efficiencies in both the State and non-profit sectors.

NYS-CARES New Bed Commitment (4,900)



MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

Accordingly, the Executive Budget preserves essential direct care and maintains the quality of services for consumers in both non-profit and OMRDD-operated programs while achieving management efficiencies through administrative streamlining and restructuring the delivery of services with innovative new program designs.

For example, in the coming year, OMRDD and several providers affiliated with the United Cerebral Palsy Associations of New York will fully implement the Personal Outcomes Project, a pilot program to develop incentives for providers to change the delivery of services to severely disabled individuals. Using the flexible framework for reimbursement under the Home and Community Based Services Medicaid waiver, these providers will restructure traditional Medicaid-funded services such as Intermediate Care Facilities for the Mentally Retarded and day treatment to more appropriately address individual needs and preferences. In turn, because waiver services are generally less expensive than traditional services, service dollars will be freed-up for redirection to address unmet needs without new resources. Over the five-year pilot program, providers are expected to develop some 300 new opportunities for persons waiting for services other than out-of-home placement, at no new cost to the taxpayer.

The 1999-2000 Executive Budget also includes a new initiative to unify OMRDD's two disparate Medicaid-funded case management systems — Comprehensive Medicaid Case Management and Waiver Case Management — into a single new service known as Medicaid Service Coordination. Although both current case management systems provide similar services, they are significantly different in documentation and billing requirements and rates of reimbursement and are premised on the "one size fits all" approach to service delivery.

Medicaid Service Coordination, on the other hand, will establish a single set of billing, service and documentation requirements, reimburse on the basis of consumer need and strengthen the role of case managers in the OMRDD system. Moreover, if a consumer chooses to change service providers, funding will follow the person rather than remaining with the provider. The resulting streamlined service coordination is also cost effective: through a combination of operating efficiencies and enhanced revenue generation, the initiative achieves \$2.5 million in General Fund savings in 1999-2000, annualizing to \$5.0 million in 2000-2001.

Other efficiencies in Aid to Localities funding include updating the rate methodology for non-profit Intermediate Care Facilities as well as maximizing other-than-State reimbursement for eligible work-related and certain costs incurred in the operation of Individualized Residential Alternatives under the Home and Community-Based Services Waiver program, achieving some \$13.5 million in savings on a full annual basis.

The 1999-2000 Executive Budget includes new special revenue-other funding of \$3.4 million to support the reconfiguration and expansion of OMRDD's Joint Venture Clinic program, including the conversion of four currently non-profit-operated clinics. In a Joint Venture Clinic, the State holds the operating license pursuant to Article 16 of the Mental Hygiene Law and contracts with non-profit agencies to provide services. Using the Joint Venture model, the State will target services where the need is greatest, improve access and reporting of services billed through the State's Tracking and Billing System (TABS), and improve non-profit provider fiscal stability. This new funding will also support clinic expansion to address significant unmet need for clinic services in rural areas, which typically have under-developed healthcare infrastructures. Services will be expanded in four existing clinics, and four new clinic programs will be developed in Broome, Cortland, Allegany and Orleans counties.

The agency's institutional operations will also be strengthened. In 1999-2000, OMRDD will continue implementing a series of management initiatives designed to control costs in overtime, leased space, purchasing, inventory control and energy conservation. Additionally, retooled workload standards will permit OMRDD to reduce administrative and support positions by approximately 90, as well as standardize State physician-delivered services throughout the State. OMRDD will also fully privatize its

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

pharmacy and medical care attendant services in 1999-2000. Utilizing private pharmacies and contracting out for attendant services to accompany consumers on medical leave have already proven to be cost-effective ways to deliver services in certain areas of the State, and their expansion Statewide is a natural step in fully integrating services to the developmentally disabled within their communities. Other State Operations streamlining efforts include the elimination of six funded vacancies at OMRDD's Institute for Basic Research. Additionally, the Youth Opportunity Program is eliminated, effective July 1, 1999, since this program no longer fulfills its primary mission to attract young people to direct care and clinical treatment career opportunities in the OMRDD and Office of Mental Health workforce.

During 1999-2000, the effort to place individuals residing in the developmental centers into more appropriate community settings will continue. An additional 186 individuals will leave institutions for care in their own communities during 1999-2000, and new admissions will be limited to emergency situations and to persons entering special treatment units. Projections are that by the end of next fiscal year, there will be only 2,020 people living in developmental centers, of whom more than one-half will live in specialized settings. Furthermore, the 1999-2000 Executive Budget maintains direct care staffing ratios, including enhanced resources for those individuals in special treatment units.

Capital and partial operational funding is also recommended to support the development of 159 new secure treatment beds for individuals who require specialized, intensive treatment — including a 60 bed Secure Treatment Facility in Norwich, New York. When fully operationalized, well over 100 individuals will be diverted from the criminal justice system — either at the point of sentencing or as a condition of parole from the correctional system. The remaining admissions will be diverted from current OMRDD settings to better meet their services needs. Overall, this initiative will provide these individuals with state-of-the-art treatment in secure settings and will be fully operational in the 2000-2001 fiscal year.

In 1999-2000, OMRDD will also serve 40 new consumers dually diagnosed with both developmental disabilities and with mental illness who will transfer from the Office of Mental Health's care. Because their primary diagnosis is developmental disabilities, these persons will receive more appropriate care in the OMRDD service system.

New capital appropriations are provided to maintain both the State and non-profit service system infrastructure. Capital spending for institutional projects focuses on the need to invest resources into long-term facilities for essentially a core population of behaviorally involved consumers. Capital spending for community projects reflects a considerable investment to maintain both State and non-profit community based sites. Capital funding is also continued for the rehabilitation of existing space to house and treat individuals determined to be sexually violent predators under proposed legislation to be re-introduced this session. Non-profit capital development needs for NYS-CARES and other program expansion initiatives will continue to be met through the use of non-State revenues such as Federal Housing and Urban Development (HUD) grants, Fannie Mae and private financing.

The combination of new and currently operating programs will support an estimated 6,590 new service opportunities in community programs during 1999-2000, including community placements for people in developmental centers and for mandated populations, and approximately 4,570 service opportunities for people on community waiting lists. Services to individuals and families have also been preserved within family support services.

PROGRAM HIGHLIGHTS

OMRDD serves over 100,000 New Yorkers with developmental disabilities, which include mental retardation, epilepsy, cerebral palsy, neurological impairments and autism.

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

The agency, both directly and through non-profit organizations, provides over 38,000 persons with residential services and over 50,000 with community day services. In addition, the agency will assist families to care for more than 51,000 persons with developmental disabilities who are living at home.

RESIDENTIAL SERVICES

OMRDD's residential services vary in intensity. Developmental centers operated by OMRDD are institutional, campus environments. OMRDD will have 2,020 people living in these institutions by the end of 1999-2000. The most intensive non-institutional residential alternative in the community is the Intermediate Care Facility for the Developmentally Disabled (ICF/DD). These facilities, some of which are operated by the State and others by non-profit providers, offer a community-based residential setting for individuals with disabilities who require 24-hour care. There are nearly 8,600 Intermediate Care beds statewide. Other less-intensive community living arrangements include Community Residences and Family Care homes. Nearly 13,000 people are served in these settings.

A Federal Medicaid waiver, approved by the Federal government in 1991, allows OMRDD to adjust services based upon specific individual needs. Individuals with developmental disabilities have the opportunity to choose the services they require with the help of trained service coordinators. Services may include residential placement in Individual Residential Alternatives (IRAs), which are community-based residential facilities providing individualized services and supports in a less-restrictive setting than the ICF/DD. The Budget supports nearly 10,000 IRA beds and accompanying service coordination.

DAY SERVICES

OMRDD supports day programs for people with developmental disabilities. Day Treatment, the most intensive day program, combines diagnostic, treatment, and rehabilitative services and is received by approximately 20,000 individuals. Day Training programs help almost 3,000 developmentally disabled people acquire knowledge and skills that will enable them to improve their personal, social, and vocational skills and their ability to function independently. Sheltered Workshops provide basic, non-competitive work opportunities for approximately 16,000 people with developmental disabilities, whereas more independent supported work opportunities in integrated work settings provide some 9,000 individuals with frequent daily social interaction with people who are not disabled and who are not paid caregivers.

Under the Federal Medicaid waiver for home and community-based services, OMRDD provides more than 10,000 day habilitation opportunities, plus services to individuals with traumatic brain injury.

Recent Federal amendments governing Medicaid waivers for home and community-based services extend Federal funding eligibility for employment-related services, such as Supported Employment, which had previously been State-funded. OMRDD will expand Supported Employment opportunities to an estimated 1,200 additional consumers graduating from the State Education Department's Office of Vocational and Educational Services for Individuals with Disabilities' (VESID) programs, and \$2.2 million is provided for this purpose in 1999-2000, growing to \$4.3 million in 2000-2001.

SUPPORT FOR CONSUMERS LIVING IN THEIR OWN HOMES

OMRDD services support families and individuals in their own homes. The Family Support Services program assists families in caring for more than 51,000 persons with developmental disabilities. This assistance and support enables these individuals to

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remain at home. Over 800 family support services programs are operated through non-profit agencies in the community. Services offered include respite, crisis intervention, case management, recreation, information and referral, and home care.

The Individualized Support Services program funds services to help more than 1,400 disabled individuals live independently, most of whom reside in their own apartments and are employed. In addition, over 4,500 individuals living in their own homes receive residential habilitation under the Federal Medicaid waiver.

A third, specialized program is the Care at Home program, an initiative designed to preserve the family unit while serving persons under 18 years of age who are developmentally disabled and medically frail. The program allows parents of young persons with severe disabilities to maintain the child at home regardless of family income level. This program — which currently supports nearly 400 children — preserves the family unit while preventing more costly out-of-home placements, and will be expanded to cover another 100 children in 1999-2000.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$1,031,810,000	\$1,024,745,000	-\$7,065,000	\$135,000
Aid To Localities	924,030,700	980,118,000	+ 56,087,300	500,000
Capital Projects	69,554,000	61,381,000	-8,173,000	91,782,000
Total	\$2,025,394,700	\$2,066,244,000	+ \$40,849,300	\$92,417,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Institutional Services					
General Fund	6,322	5,832	5,832	-490
Enterprise Funds	10	10	10
Community Services					
General Fund	14,729	14,933	14,933	+ 204
Research in Mental Retardation					
General Fund	226	220	220	-6
Central Coordination and Support					
General Fund	653	658	658	+ 5
Special Revenue Funds — Other	18	18	18
Total	21,958	21,671	21,671	-287

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$146,874,000	\$149,574,000	+ \$2,700,000
Special Revenue Funds — Federal	177,000	177,000
Special Revenue Funds — Other	882,269,000	872,504,000	-9,765,000
Enterprise Funds	1,950,000	1,950,000
Fiduciary Funds	540,000	540,000
Total	\$1,031,810,000	\$1,024,745,000	-\$7,065,000

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Institutional Services			
General Fund	\$346,696,000	\$330,132,000	-\$16,564,000
Enterprise Funds	1,950,000	1,950,000
Fiduciary Funds	505,000	505,000
Community Services			
General Fund	617,405,000	624,826,000	+ 7,421,000
Special Revenue Funds — Federal	156,000	156,000
Research in Mental Retardation			
General Fund	15,770,000	15,470,000	-300,000
Fiduciary Funds	35,000	35,000
Central Coordination and Support			
General Fund	47,703,000	50,046,000	+ 2,343,000
Special Revenue Funds — Federal	21,000	21,000
Special Revenue Funds — Other	1,569,000	1,604,000	+ 35,000
Maintenance Undistributed			
General Fund	-880,700,000	-870,900,000	+ 9,800,000
Special Revenue Funds — Other	880,700,000	870,900,000	-9,800,000
Total	<u>\$1,031,810,000</u>	<u>\$1,024,745,000</u>	<u>-\$7,065,000</u>

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Institutional Services	\$207,298,000	-\$19,545,000	\$200,284,000	-\$19,747,000	\$967,000	+ \$84,000
Community Services	526,948,000	+ 8,967,000	512,492,000	+ 9,147,000	4,262,000	-378,000
Research in Mental Retardation	13,380,000	-190,000	12,972,000	-466,000	260,000	+ 260,000
Central Coordination and Support	35,154,000	+ 671,000	32,938,000	+ 479,000	1,991,000	+ 202,000
Total	<u>\$782,780,000</u>	<u>-\$10,097,000</u>	<u>\$758,686,000</u>	<u>-\$10,587,000</u>	<u>\$7,480,000</u>	<u>+ \$168,000</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Institutional Services	\$6,047,000	+ \$118,000
Community Services	10,194,000	+ 198,000
Research in Mental Retardation	148,000	+ 16,000
Central Coordination and Support	225,000	-10,000
Total	<u>\$16,614,000</u>	<u>+ \$322,000</u>

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Amount	Total		Supplies and Materials	
		Amount	Change	Amount	Change
Institutional Services	\$122,834,000		+ \$981,000	\$17,782,000	-\$109,000
Community Services	97,878,000		-1,546,000	33,001,000	+ 146,000
Research in Mental Retardation . . .	2,090,000		-110,000	777,000	-42,000
Central Coordination and Support	14,892,000		-328,000	456,000	+ 12,000
Total	\$237,694,000		-\$1,003,000	\$52,016,000	+ \$7,000

Program	Amount	Travel		Contractual Services	
		Amount	Change	Amount	Change
Institutional Services	\$944,000		-\$98,000	\$26,191,000	+ \$354,000
Community Services	5,597,000		+ 115,000	48,599,000	-1,659,000
Research in Mental Retardation . . .	62,000		-3,000	956,000	-49,000
Central Coordination and Support	1,113,000		+ 33,000	12,071,000	-410,000
Total	\$7,716,000		+\$47,000	\$87,817,000	-\$1,764,000

Program	Amount	Equipment		Maintenance Undistributed	
		Amount	Change	Amount	Change
Institutional Services	\$1,817,000		-\$66,000	\$76,100,000	+ \$900,000
Community Services	4,881,000		-148,000	5,800,000
Research in Mental Retardation . . .	295,000		-16,000
Central Coordination and Support	1,252,000		+ 37,000
Total	\$8,245,000		-\$193,000	\$81,900,000	+ \$900,000

STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Amount	Total		Personal Service	
		Amount	Change	Amount	Change
Institutional Services	\$2,455,000		\$274,000	+ \$10,000
Community Services	156,000	
Research in Mental Retardation . . .	35,000	
Central Coordination and Support	1,625,000		+ \$35,000	1,034,000	+ 35,000
Total	\$4,271,000		+\$35,000	\$1,308,000	+ \$45,000

Program	Amount	Nonpersonal Service		Maintenance Undistributed	
		Amount	Change	Amount	Change
Institutional Services	\$2,181,000		-\$10,000
Community Services	\$156,000
Research in Mental Retardation . . .	35,000	
Central Coordination and Support	570,000		21,000
Total	\$2,786,000		-\$10,000	\$177,000

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AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	\$583,159,700	\$600,233,000	+ \$17,073,300
Special Revenue Funds — Other	338,871,000	377,885,000	+ 39,014,000
Fiduciary Funds	2,000,000	2,000,000
Total	<u>\$924,030,700</u>	<u>\$980,118,000</u>	<u>+ \$56,087,300</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Community Services			
General Fund	\$581,803,000	\$600,233,000	+ \$18,430,000
Special Revenue Funds — Other	338,871,000	377,885,000	+ 39,014,000
Fiduciary Funds	2,000,000	2,000,000
Legislative Initiatives			
General Fund	1,356,700	-1,356,700
Total	<u>\$924,030,700</u>	<u>\$980,118,000</u>	<u>+ \$56,087,300</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Comprehensive Construction Programs</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations 1999-00</u>
Non-Bondable Projects				
Capital Projects Fund	\$4,000,000	\$2,000,000	-\$2,000,000
Voluntary-Operated Community Facilities				
Capital Projects Fund	11,775,000	8,000,000	-3,775,000	\$2,602,000
Mental Hygiene Capital Improvement Fund - 389	9,229,000	2,600,000	-6,629,000
State-Operated Community Services Program				
Capital Projects Fund	13,675,000	10,200,000	-3,475,000	16,075,000
Mental Hygiene Capital Improvement Fund - 389	8,875,000	2,100,000	-6,775,000	22,140,000
Institutional Services Program				
Capital Projects Fund	9,000,000	15,895,000	+ 6,895,000	23,700,000
Mental Hygiene Capital Improvement Fund - 389	10,000,000	17,986,000	+ 7,986,000	27,265,000
Design and Construction Supervision				
Capital Projects Fund	1,000,000	600,000	-400,000
Mental Hygiene Capital Improvement Fund - 389	2,000,000	2,000,000
Total	<u>\$69,554,000</u>	<u>\$61,381,000</u>	<u>-\$8,173,000</u>	<u>\$91,782,000</u>

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

DIVISION OF MILITARY AND NAVAL AFFAIRS

MISSION

The mission of the Division of Military and Naval Affairs (DMNA) is twofold:

- Through the State's militia, to support a well-trained military force ready to respond to civil emergencies, natural disasters, and, for federally recognized units, threats to the nation's security.
- Through the State Emergency Management Office (SEMO), to coordinate the State's response to natural and man-made disasters and to prepare the State, its local governments and its people to deal effectively with any such potential disasters.

Over the last four years, the Division has refined its mission to include joint partnerships with local communities. The broadened mission includes aid to law enforcement agencies in their counterdrug actions, non-emergency National Guard assistance to communities, and military-style programs designed to assist at-risk youths.

ORGANIZATION AND STAFFING

Under the direction of the Adjutant General, who is appointed by the Governor, the Division consists of the New York Army National Guard, the New York Air National Guard, the Naval Militia, the New York Guard, and the SEMO. Coordinated through the main office in Albany, the Division operates over 60 armories as well as 28 maintenance shops, 6 Air Guard bases, and 3 Army Aviation Support facilities. In addition, DMNA provides administrative support for some 17,000 New York-based National Guard troops who are paid directly by the Federal government.

SEMO serves as the operational component of the Disaster Preparedness Commission, which is responsible for State disaster preparedness plans and the coordination of State disaster operations.

The Division will have a workforce of 654 in 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 1999-2000 Budget recommendations reflect a long-range approach to restructuring DMNA that began in 1995. This restructuring is consistent with the restructuring and downsizing of the Federal military. As a result, DMNA's mission has evolved to include increased assistance to local communities for such projects as tearing down abandoned buildings used by drug dealers and providing necessary support to disaster ridden communities.

The 1999-2000 budget recommendations include:

- Federal funds of approximately \$30.8 million supporting 58 percent of the Division's 1999-2000 State Operations Budget.
- Approximately \$12.8 million in State tax dollars, which represents 24 percent of its operations budget in 1999-2000.
- Special accounts funded with revenues from the nuclear power industry, armory rentals, and seized assets representing 18 percent of the operations budget.
- Special revenue and Federal grants supporting DMNA's Aid to Localities budget. Assessments paid by the operators of nuclear power generating facilities provide over \$1.6 million in radiological emergency preparedness aid to the counties in which the facilities are located.

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- \$2.75 million General Fund support for the Recruitment Incentive and Retention Program.

The Capital Plan recommendations of \$8.9 million reflect the Division's priority to continue its efforts to effectively maintain armories and, where feasible, restore them to preserve their historic and future value. Efficiencies which will decrease operating costs for these facilities will mitigate funding requirements.

PROGRAM HIGHLIGHTS

RECRUITMENT INCENTIVE AND RETENTION PROGRAM

Established in 1996, the Recruitment Incentive and Retention Program provides qualified individuals and active National Guard members with a tuition benefit for undergraduate study. The enormous success of the program has dramatically reversed the Guard's ten year decline in troop strength and made New York number one in the nation in its ability to maintain guard strength. In 1995, the Guard's troop strength was only 70.9 percent of the federally authorized level. The Recruitment Incentive and Retention Program has been responsible for increasing troop strength to 95 percent of the federally authorized level.

MILITARY READINESS

The Military Readiness programs are the core of the Division's operations. These programs include the New York Army National Guard, the New York Air National Guard, the New York Guard, and the New York Naval Militia. The Division has been involved in a variety of military endeavors, including sending troops to Bosnia, providing air support to the National Antarctica mission, and commanding the North East air defense. In addition, the State's militia has responded to fires, blizzards, floods, and ice storms.

SPECIAL SERVICES

The Division's portion of proceeds from assets seized from illegal drug traffickers support military counter narcotics activities and youth drug education programs. During the past four years the New York National Guard has assisted in the seizure of over \$3 billion worth of illegal drugs and over \$102 million in cash and securities. The cornerstone of DMNA's special services is "guardHELP", an innovative program to link guard resources with current community needs. These include volunteerism, anti-crime programs, youth activities, and environmental objectives. The Division also conducts federally funded youth programs such as the Challenge Youth Program, a 22 week residential program at Camp Smith under which young adults earn a High School Equivalency Diploma. Additionally, the Special Services program includes the maintenance activities associated with armory rentals.

EMERGENCY MANAGEMENT

SEMO coordinates the State's response to natural disasters and other emergencies. Recent emergencies have included the ice storm of 1998, the fires on Long Island, the blizzards in Buffalo and the southern portion of the State, and the floods of January 1996. SEMO is also responsible for the development, testing and revision of radiological emergency plans at the State and county level to deal with possible radiological accidents

MILITARY AND NAVAL AFFAIRS

at nuclear powered electric generating facilities. This Office also trains personnel to mitigate the risks of future disasters and administers the Disaster Assistance Program, which provides State and federally supported financial relief to localities and individuals affected by disasters.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$49,899,400	\$53,157,500	+ \$3,258,100	\$12,191,000
Aid To Localities	267,439,000	7,150,000	-260,289,000	353,549,000
Capital Projects	8,425,000	8,925,000	+ 500,000	24,235,000
Total	\$325,763,400	\$69,232,500	-\$256,530,900	\$389,975,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
General Fund	66	62	62	-4
Special Services					
Special Revenue Funds — Federal	46	46	46
Special Revenue Funds — Other	6	6	6
Military Readiness					
General Fund	187	181	181	-6
Special Revenue Funds — Federal	264	264	264
Emergency Management					
General Fund	15	15	15
Special Revenue Funds — Federal	40	40	40
Special Revenue Funds — Other	23	23	23
Disaster Assistance					
Special Revenue Funds — Federal	17	17	17
Total	664	654	654	-10

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$12,892,100	\$12,791,300	-\$100,800
Special Revenue Funds — Federal	28,735,700	30,771,500	+ 2,035,800
Special Revenue Funds — Other	8,219,600	9,542,700	+ 1,323,100
Fiduciary Funds	52,000	52,000
Total	\$49,899,400	\$53,157,500	+ \$3,258,100

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Administration			
General Fund	\$3,078,500	\$2,829,300	-\$249,200
Special Services			
Special Revenue Funds — Federal	3,493,000	3,646,800	+ 153,800
Special Revenue Funds — Other	6,299,200	7,729,500	+ 1,430,300
Fiduciary Funds	52,000	52,000
Military Readiness			
General Fund	8,809,900	8,914,400	+ 104,500
Special Revenue Funds — Federal	17,769,700	18,810,800	+ 1,041,100
Emergency Management			
General Fund	1,003,700	1,047,600	+ 43,900
Special Revenue Funds — Federal	6,387,000	7,070,600	+ 683,600
Special Revenue Funds — Other	1,920,400	1,813,200	-107,200
Disaster Assistance			
Special Revenue Funds — Federal	1,086,000	1,243,300	+ 157,300
Total	<u>\$49,899,400</u>	<u>\$53,157,500</u>	<u>+ \$3,258,100</u>

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$2,461,700	-\$247,800	\$2,431,700	-\$247,800	\$20,000
Military Readiness	6,812,400	+ 395,500	6,382,500	+ 390,600	251,900	-\$100
Emergency Management	892,900	+ 93,200	882,900	+ 143,200
Total	<u>\$10,167,000</u>	<u>+ \$240,900</u>	<u>\$9,697,100</u>	<u>+ \$286,000</u>	<u>\$271,900</u>	<u>-\$100</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$10,000
Military Readiness	178,000	+ \$5,000
Emergency Management	10,000	-50,000
Total	<u>\$198,000</u>	<u>-\$45,000</u>

MILITARY AND NAVAL AFFAIRS

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$367,600	-\$1,400	\$99,600	-\$400
Military Readiness	2,102,000	-291,000	379,400	-170,600
Emergency Management	154,700	-49,300	74,100	-300
Total	\$2,624,300	-\$341,700	\$553,100	-\$171,300

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$14,900	-\$100	\$253,100	-\$900
Military Readiness	55,800	-200	1,430,900	-120,100
Emergency Management	17,900	-100	62,700	-48,900
Total	\$88,600	-\$400	\$1,746,700	-\$169,900

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration
Military Readiness	\$35,900	-\$100	\$200,000
Emergency Management
Total	\$35,900	-\$100	\$200,000

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Special Services	\$11,428,300	+ \$1,584,100	\$2,690,000	+ \$61,500
Military Readiness	18,810,800	+ 1,041,100	9,374,200	+ 404,200
Emergency Management	8,883,800	+ 576,400	3,598,300	+ 312,500
Disaster Assistance	1,243,300	+ 157,300	645,300	+ 65,800
Total	\$40,366,200	+ \$3,358,900	\$16,307,800	+ \$844,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Special Services	\$5,310,300	+ \$372,600	\$3,428,000	+ \$1,150,000
Military Readiness	9,436,600	+ 636,900
Emergency Management	5,285,500	+ 263,900
Disaster Assistance	598,000	+ 91,500
Total	\$20,630,400	+ \$1,364,900	\$3,428,000	+ \$1,150,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available	Recommended	Change
	1998-99	1999-00	
General Fund	\$60,689,000	-\$60,689,000
Special Revenue Funds — Federal	205,100,000	\$5,500,000	-199,600,000
Special Revenue Funds — Other	1,650,000	1,650,000
Total	\$267,439,000	\$7,150,000	-\$260,289,000

MILITARY AND NAVAL AFFAIRS

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Military Readiness			
General Fund	\$189,000	-\$189,000
Emergency Management			
General Fund	500,000	-500,000
Special Revenue Funds — Federal	5,100,000	\$5,500,000	+ 400,000
Special Revenue Funds — Other	1,650,000	1,650,000
Disaster Assistance			
General Fund	60,000,000	-60,000,000
Special Revenue Funds — Federal	200,000,000	-200,000,000
Total	\$267,439,000	\$7,150,000	-\$260,289,000

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Programs	Available 1998-99	Recommended 1999-00	Change	Reappropriations 1999-00
Administration - Federal Capital Projects Fund				
Federal Capital Projects Fund	\$7,762,000
Army National Guard				
Capital Projects Fund	4,321,000
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	\$3,950,000	\$2,750,000	-\$1,200,000	3,950,000
Federal Capital Projects Fund	3,150,000	3,150,000	5,857,000
Design and Construction Supervision				
Capital Projects Fund	1,050,000	2,750,000	+ 1,700,000	1,550,000
Federal Capital Projects Fund	275,000	275,000	795,000
Total	\$8,425,000	\$8,925,000	+ \$500,000	\$24,235,000

DEPARTMENT OF MOTOR VEHICLES

MISSION

The New York State Department of Motor Vehicles (DMV) promotes safety on the State's highways by licensing drivers, registering motor vehicles, protecting the consumer rights of the driving public and providing other related services.

ORGANIZATION AND STAFFING

Under the direction of the Commissioner, the Department operates from its main office in Albany (Empire State Plaza) and from three regional headquarters in Albany, Long Island and New York City. The Department also operates 31 District and Branch Offices which issue licenses and registrations and process traffic tickets. In addition, 93 County Clerk offices act as DMV agents in many areas of the State.

The Department of Motor Vehicles will have a workforce of 3,405 for 1999-2000, most of whom license drivers and register motor vehicles. Approximately 75 percent of these positions are supported by State tax dollars and 25 percent are financed by fees, including a portion of traffic violation fines in certain localities. The remaining one percent are paid with Federal grants.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends approximately \$124 million in State tax dollars in 1999-2000 to support 57 percent of the Department's budget. The balance is financed by Federal funds and various fees and fines. These recommendations continue efforts to enhance customer service, driver safety and support a variety of technology initiatives.

Customers in all areas of the State will mail vehicle and drivers' license renewals to a central processing center run by the DMV, thereby saving \$2.9 million. The percentage of gross receipts reimbursement for County Clerks will be increased from 9.3 to 12.7 percent to more appropriately compensate the County Clerks for their services.

In addition, a new debt recovery project will result in the payment of unpaid traffic tickets dating back to 1993. This is anticipated to result in over \$6 million in additional revenues for local governments and \$2.5 million for the State.

The Department is also implementing various technology initiatives designed to benefit the public, the courts, the insurance industry and its customers and law enforcement agencies. These initiatives will assist in the identification of uninsured motorists, improve the timeliness of accident reporting, and streamline the processing of data from traffic tickets. Finally, the Department is nearing completion of efforts to ensure that key computing programs are compliant with Year 2000 requirements.

PROGRAM HIGHLIGHTS

CUSTOMER SERVICE

DMV issues driver's licenses and vehicle registrations, collects approximately \$900 million in revenue for the State and localities, monitors driver training and enforces the directives of local magistrates and departmental referees. State offices are also responsible for conducting road tests and maintaining enforcement sections that issue conditional and restricted use licenses and handle other suspension and revocation activities. The Department of Motor Vehicles served more than 20 million customers last year.

MOTOR VEHICLES

The Department also adjudicates traffic violations in New York City, western Suffolk County, Rochester and Buffalo. This allows local judges and criminal courts to dedicate their activities to criminal matters. This program is funded entirely by fines collected from violators. After State operating expenses are deducted, remaining funds are returned to the jurisdictions where the violations occurred.

During the past year the Department significantly reduced waiting times in the metropolitan New York area offices through the addition of new staff, creative work scheduling and quality management techniques.

This year the Department will offer its customers throughout the State the ability to schedule road test appointments via a toll free telephone number and will also upgrade its Internet website to enable customers to perform routine motor vehicle transactions for the first time and to use credit cards for all payments.

VEHICLE AND DRIVER SAFETY

DMV vehicle safety activities include: licensing and monitoring safety and emissions inspection stations; registering auto repair shops, dealers, transporters and dismantlers; and certifying vehicle inspectors, junk and salvage businesses and automotive body damage estimators.

Driver safety initiatives include implementation and oversight of educational or rehabilitative programs for motorists convicted of alcohol or drug related driving offenses, the point insurance reduction program and pre-licensing courses. DMV also licenses and monitors driving schools and instructors.

FIELD INVESTIGATION AND AUDIT

One of the Department's major functions is to issue credentials which establish the identity and license status of drivers, the ownership of vehicles and boats, and the authenticity of auto-related businesses. External investigation activities concern stolen automobiles, fraudulent identity and motor vehicle documents and complaints regarding unlicensed and suspended drivers.

ALL FUNDS APPROPRIATIONS				
<u>Category</u>	<u>Available 1998-99</u>	<u>Appropriations Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations Recommended 1999-00</u>
State Operations	\$197,784,700	\$210,032,800	+ \$12,248,100	\$10,026,600
Aid To Localities	3,978,300	4,978,300	+ 1,000,000	4,478,400
Capital Projects
Total	<u>\$201,763,000</u>	<u>\$215,011,100</u>	<u>+ \$13,248,100</u>	<u>\$14,505,000</u>

MOTOR VEHICLES

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

<u>Program</u>	<u>Available 1998-99</u>	<u>Personal Service (Regular)</u>	<u>Maintenance Undistributed</u>	<u>Total Recommended 1999-00</u>	<u>Change</u>
1999-00 Recommended Average Fill Level					
Administration					
General Fund	315	314	314	-1
Operations					
General Fund	1,580	1,585	1,585	+ 5
Transportation Safety					
General Fund	671	670	670	-1
Administrative Adjudication					
Special Revenue Funds — Other	460	460	460
Compulsory Insurance					
Special Revenue Funds — Other	190	190	190
Governor's Traffic Safety Committee					
Special Revenue Funds — Federal	27	9	18	27
Clean Air					
Special Revenue Funds — Other	157	159	159	+ 2
Total	3,400	3,387	18	3,405	+ 5

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	\$120,960,900	\$123,864,900	+ \$2,904,000
Special Revenue Funds — Federal	5,863,400	8,263,400	+ 2,400,000
Special Revenue Funds — Other	70,560,400	76,404,500	+ 5,844,100
Internal Service Funds	400,000	1,500,000	+ 1,100,000
Total	\$197,784,700	\$210,032,800	+ \$12,248,100

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Administration			
General Fund	\$29,098,000	\$29,185,100	+ \$87,100
Special Revenue Funds — Other	953,000	1,158,200	+ 205,200
Operations			
General Fund	82,323,100	85,158,300	+ 2,835,200
Special Revenue Funds — Other	150,000	-150,000
Internal Service Funds	400,000	1,500,000	+ 1,100,000
Transportation Safety			
General Fund	9,539,800	9,521,500	-18,300
Special Revenue Funds — Other	22,801,200	22,502,900	-298,300
Administrative Adjudication			
Special Revenue Funds — Other	25,978,600	28,760,600	+ 2,782,000
Compulsory Insurance			
Special Revenue Funds — Other	11,477,300	14,271,100	+ 2,793,800
Governor's Traffic Safety Committee			
Special Revenue Funds — Federal	5,738,400	8,263,400	+ 2,525,000
Safety Research and Development			
Special Revenue Funds — Federal	125,000	-125,000
Clean Air			
Special Revenue Funds — Other	9,200,300	9,711,700	+ 511,400
Total	\$197,784,700	\$210,032,800	+ \$12,248,100

MOTOR VEHICLES

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$13,836,700	-\$42,200	\$12,822,800	-\$42,200	\$113,500
Operations	49,046,200	+ 1,421,600	48,870,100	+ 1,421,600	176,100
Transportation Safety	23,426,700	-42,100	23,090,300	-42,100	36,400
Total	<u>\$86,309,600</u>	<u>+ \$1,337,300</u>	<u>\$84,783,200</u>	<u>+ \$1,337,300</u>	<u>\$326,000</u>	<u>. . . .</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$900,400
Operations
Transportation Safety	300,000
Total	<u>\$1,200,400</u>	<u>. . . .</u>

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$15,348,400	+ \$129,300	\$306,400	+ \$22,600
Operations	36,112,100	+ 1,413,600	10,113,200	+ 363,100
Transportation Safety	-13,905,200	+ 23,800	910,500	+ 23,300
Total	<u>\$37,555,300</u>	<u>+ \$1,566,700</u>	<u>\$11,330,100</u>	<u>+ \$409,000</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$119,200	\$14,695,700	+ \$106,700
Operations	25,400	25,567,900	+ 930,500
Transportation Safety	1,041,600	5,303,200	+ 500
Total	<u>\$1,186,200</u>	<u>. . . .</u>	<u>\$45,566,800</u>	<u>+ \$1,037,700</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$227,100
Operations	405,600	+ \$120,000
Transportation Safety	39,500	-\$21,200,000
Total	<u>\$672,200</u>	<u>+ \$120,000</u>	<u>-\$21,200,000</u>	<u>. . . .</u>

MOTOR VEHICLES

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$1,158,200	+ \$205,200
Operations	1,500,000	+ 950,000
Transportation Safety	22,502,900	-298,300	-\$65,000
Administrative Adjudication	28,760,600	+ 2,782,000	\$15,802,100	+ 672,000
Compulsory Insurance	14,271,100	+ 2,793,800	5,726,200	+ 131,100
Governor's Traffic Safety Committee	8,263,400	+ 2,525,000	411,000
Safety Research and Development	-125,000
Clean Air	9,711,700	+ 511,400	5,720,500	+ 213,200
Total	\$86,167,900	+ \$9,344,100	\$27,659,800	+ \$951,300

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$1,158,200	+ \$205,200
Operations	-150,000	\$1,500,000	+ \$1,100,000
Transportation Safety	1,302,900	-233,300	21,200,000
Administrative Adjudication	12,958,500	+ 2,110,000
Compulsory Insurance	8,544,900	+ 2,662,700
Governor's Traffic Safety Committee	202,400	7,650,000	+ 2,525,000
Safety Research and Development	-125,000
Clean Air	3,991,200	+ 298,200
Total	\$28,158,100	+ \$4,892,800	\$30,350,000	+ \$3,500,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
Special Revenue Funds — Federal	\$3,978,300	\$4,978,300	+ \$1,000,000
Total	\$3,978,300	\$4,978,300	+ \$1,000,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Governor's Traffic Safety Committee Special Revenue Funds — Federal	\$3,978,300	\$4,978,300	+ \$1,000,000
Total	\$3,978,300	\$4,978,300	+ \$1,000,000

MOTOR VEHICLES

DIVISION OF PAROLE

MISSION

The Division of Parole, which consists of the Board of Parole and Division staff, provides offenders who have been released from prison the opportunity to become productive, law-abiding members of the community while limiting public risk.

The Parole Board determines when an inmate should be released from prison through a hearing process. The Division also supervises parolees in the community, investigates alleged violations, revokes parole when warranted and arranges for services to help the parolees remain productive and law-abiding members of the community.

ORGANIZATION AND STAFFING

The 19 members of the Board of Parole are appointed by the Governor and confirmed by the Senate for six-year terms. One member is designated by the Governor to serve as the Board's Chair and chief executive officer of the Division. The Board members review the cases of inmates eligible for parole and determine if and when the offender should be released to parole supervision. The Board Members establish the conditions the parolee must abide by in the community, and revoke parole in cases where parolees fail to maintain the conditions of their release.

The Division's administrative staff are located in its central office in Albany. Parole operations staff are distributed across the state in 70 correctional facilities and approximately 35 community-based supervision offices.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 1999-2000, approximately \$133 million in State tax dollars and \$2.3 million in Federal funds will enable the Division of Parole to promote public safety by preparing inmates for release, supervising offenders in the community, and arranging for treatment services for parolees. The State Operations recommendations build on prior year initiatives designed to supervise offenders in the community by providing reduced parole officer caseloads for violent felony offenders, sex offenders and for those parolees who complete the drug treatment program at the Willard Drug Treatment Campus.

The budget recommendation consolidates units within the Division of Parole and the Division of Probation and Correctional Alternatives charged with reviewing requests for interstate transfer of probationers/parolees. These units will be transferred to the Division of Criminal Justice Services to address an expanding workload without increasing costs, as well as to ensure that all requests are handled in a uniform manner.

This budget continues to fund improvements in technology to accommodate Year 2000 modifications and allow the Division to use technology to better supervise and track parolees in the community. Additionally, the Division continues to pilot teleconferencing for parole board hearings at Ogdensburg, Riverview, Franklin and Bare Hill Correctional Facilities from parole offices in Albany and New York City.

Approximately \$52 million in State tax dollars and \$2.8 million in Federal funds are recommended to support the Aid to Localities portion of the budget. This funding finances vocational development and relapse prevention programs, and supports an expanded High Impact Incarceration Program (HIIP) in New York City.

PAROLE

PROGRAM HIGHLIGHTS

In addition to closely monitoring offenders in the community, the Division helps prevent parolees from reverting to a life of crime by contracting for various rehabilitative services such as substance abuse counseling, residential treatment, and employment training and programming.

PAROLE OPERATIONS

The Parole Operations program, the core of the State parole system, comprises three major areas: preparing inmates for parole, assisting the Board in making parole release determinations, and supervising parolees upon release. As a result of changes to the Penal Law by the Sentencing Reform Act of 1995 and Jenna's Law, violent felony offenders will be subject to determinate sentences and not eligible for parole release. Those offenders will, however, be subject to fixed periods of post-release supervision upon their release from prison, and monitored by Parole Officers. An inmate must have housing and employment prospects before being released from a State prison. Parole Officers attempt to obtain housing, employment and other services before an inmate appears before the Board. At the same time, parole staff assigned to prisons assess an inmate's readiness for release by reviewing his or her case history. The staff also summarizes an inmate's rehabilitation progress, family background, and housing and employment prospects for the Board's consideration.

When offenders are released from prison, they are assigned to a Parole Officer for the balance of their sentence. The supervising Parole Officer monitors behavior and helps the parolee locate and maintain employment.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$138,140,600	\$136,215,000	-\$1,925,600	\$5,115,200
Aid To Localities	61,806,600	60,163,700	-1,642,900	7,185,000
Capital Projects
Total	<u>\$199,947,200</u>	<u>\$196,378,700</u>	<u>-\$3,568,500</u>	<u>\$12,300,200</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
General Fund	120	118	118	-2
Parole Operations					
General Fund	2,360	2,302	2,302	-58
Special Revenue Funds — Federal	54	54	54
Total	<u>2,534</u>	<u>2,474</u>	<u>.....</u>	<u>2,474</u>	<u>-60</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	\$135,033,000	\$133,107,400	-\$1,925,600
Special Revenue Funds — Federal	2,307,600	2,307,600
Special Revenue Funds — Other	400,000	400,000
Fiduciary Funds	400,000	400,000
Total	<u>\$138,140,600</u>	<u>\$136,215,000</u>	<u>-\$1,925,600</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Administration			
General Fund	\$7,216,200	\$7,164,100	-\$52,100
Parole Operations			
General Fund	127,816,800	125,943,300	-1,873,500
Special Revenue Funds — Federal	2,307,600	2,307,600
Special Revenue Funds — Other	400,000	400,000
Fiduciary Funds	400,000	400,000
Total	<u>\$138,140,600</u>	<u>\$136,215,000</u>	<u>-\$1,925,600</u>

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	\$5,750,000	+ \$29,600	\$5,750,000	+ \$29,600
Parole Operations	104,158,200	-2,886,300	101,095,600	-2,989,900	\$3,062,600	+ \$103,600
Total	<u>\$109,908,200</u>	<u>-\$2,856,700</u>	<u>\$106,845,600</u>	<u>-\$2,960,300</u>	<u>\$3,062,600</u>	<u>+ \$103,600</u>

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Amount	Total		Supplies and Materials	
		Amount	Change	Amount	Change
Administration	\$1,414,100		-\$81,700	\$34,300
Parole Operations	21,785,100		+ 1,012,800	836,200
Total	<u>\$23,199,200</u>		<u>+ \$931,100</u>	<u>\$870,500</u>	<u>. . . .</u>

Program	Amount	Travel		Contractual Services	
		Amount	Change	Amount	Change
Administration	\$241,700		-\$103,600	\$1,124,000	+ \$21,500
Parole Operations	4,513,700		+ 56,400	15,355,900	+ 930,900
Total	<u>\$4,755,400</u>		<u>-\$47,200</u>	<u>\$16,479,900</u>	<u>+ \$952,400</u>

Program	Amount	Equipment	
		Amount	Change
Administration	\$14,100		+ \$400
Parole Operations	1,079,300		+ 25,500
Total	<u>\$1,093,400</u>		<u>+ \$25,900</u>

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Amount	Total		Nonpersonal Service	
		Amount	Change	Amount	Change
Parole Operations	\$3,107,600		\$400,000
Total	<u>\$3,107,600</u>		<u>. . . .</u>	<u>\$400,000</u>	<u>. . . .</u>

Program	Maintenance Undistributed	
	Amount	Change
Parole Operations	\$2,707,600
Total	<u>\$2,707,600</u>	<u>. . . .</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

Fund Type	Available	Recommended	Change
	1998-99	1999-00	
General Fund	\$53,714,100	\$52,071,200	-\$1,642,900
Special Revenue Funds — Federal	2,842,500	2,842,500
Internal Service Funds	5,250,000	5,250,000
Total	<u>\$61,806,600</u>	<u>\$60,163,700</u>	<u>-\$1,642,900</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Parole Operations			
General Fund	\$53,714,100	\$52,071,200	-\$1,642,900
Special Revenue Funds — Federal	2,842,500	2,842,500
Internal Service Funds	5,250,000	5,250,000
<u>Total</u>	<u>\$61,806,600</u>	<u>\$60,163,700</u>	<u>-\$1,642,900</u>

DIVISION OF PROBATION AND CORRECTIONAL ALTERNATIVES

MISSION

The Division of Probation and Correctional Alternatives oversees county probation departments, provides them with training and technical assistance, and reimburses a portion of their expenses. The agency also provides localities with grants to fund Alternatives to Incarceration programs that are designed to divert appropriate offenders from the State prison system with appropriate community based sanctions.

ORGANIZATION AND STAFFING

Headed by a State Director appointed by the Governor, the Division is located in Albany. The budget recommendation consolidates units of the Division of Probation and Correctional Alternatives and the Division of Parole that are charged with reviewing requests for interstate transfer of probationers and parolees. These units will be transferred to the Division of Criminal Justice Services (DCJS) to address an expanding workload without increasing costs, as well as to encourage uniformity in interstate transfer decisions for parolees and probationers. This initiative will transfer four positions to DCJS, leaving the Division with a workforce of 29 positions in 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 1999-2000, approximately \$2.0 million in State tax dollars will support the Division's State Operations budget. The largest portion of the Division's Aid to Localities appropriation of approximately \$88.6 million is dedicated to assisting localities with their probation service costs, and includes a \$2.8 million increase in this categorical aid program. Funding for a number of alternatives to incarceration programs added by the Legislature is not recommended for 1999-2000, as this is inconsistent with the State's categorical aid to localities approach.

PROGRAM HIGHLIGHTS

The 1999-2000 recommendations build on prior year initiatives designed to assist localities and administer funding to support programs which divert offenders from State prison. The following programs continue to create an effective network of supervision, sanctions and treatment options to ensure public safety:

- The Intensive Supervision Program funds local probation department costs associated with establishing specialized caseloads, limited to 21 felony offenders per probation officer;
- The Juvenile Intensive Supervision Program funds local probation department efforts to reduce the number of out-of-home youth placements, and for the last four years has provided treatment services to youth with substance abuse problems; and
- The Alternatives to Incarceration and Drug and Alcohol programs are used by local judges to supplement sentences of probation.

Funding is continued for the Jail Management Assistance Team, an initiative intended to assist localities with alternative approaches to ease jail overcrowding.

PROBATION AND CORRECTIONAL ALTERNATIVES

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$2,025,700	\$1,952,300	-\$73,400
Aid To Localities	91,647,370	88,586,400	-3,060,970	\$33,432,750
Capital Projects
Total	\$93,673,070	\$90,538,700	-\$3,134,370	\$33,432,750

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level		Total Recommended 1999-00	Change
		Personal Service (Regular)	Maintenance Undistributed		
Community Corrections					
General Fund	31	27	27	-4
Subtotal, Direct Funded Programs	31	27	27	-4
Suballocations:					
Special Revenue Funds — Federal	2			2
Total	33			29	-4

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$2,025,700	\$1,952,300	-\$73,400
Total	\$2,025,700	\$1,952,300	-\$73,400

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Community Corrections			
General Fund	\$2,025,700	\$1,952,300	-\$73,400
Total	\$2,025,700	\$1,952,300	-\$73,400

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Community Corrections	\$1,510,100	-\$106,900	\$1,510,100	-\$106,900
Total	\$1,510,100	-\$106,900	\$1,510,100	-\$106,900

PROBATION AND CORRECTIONAL ALTERNATIVES

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

<u>Program</u>	<u>Amount</u>	Total	<u>Change</u>	Supplies and Materials	<u>Amount</u>	<u>Change</u>
Community Corrections	\$442,200		+ \$33,500	\$16,000		-\$3,900
Total	<u>\$442,200</u>		<u>+ \$33,500</u>	<u>\$16,000</u>		<u>-\$3,900</u>

<u>Program</u>	<u>Amount</u>	Travel	<u>Change</u>	Contractual Services	<u>Amount</u>	<u>Change</u>
Community Corrections	\$51,400		+ \$1,400	\$338,600		+ \$39,800
Total	<u>\$51,400</u>		<u>+ \$1,400</u>	<u>\$338,600</u>		<u>+ \$39,800</u>

<u>Program</u>	<u>Amount</u>	Equipment	<u>Change</u>
Community Corrections	\$36,200		-\$3,800
Total	<u>\$36,200</u>		<u>-\$3,800</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	\$91,647,370	\$88,586,400	-\$3,060,970
Total	<u>\$91,647,370</u>	<u>\$88,586,400</u>	<u>-\$3,060,970</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Community Corrections General Fund	\$91,647,370	\$88,586,400	-\$3,060,970
Total	<u>\$91,647,370</u>	<u>\$88,586,400</u>	<u>-\$3,060,970</u>

COMMISSION ON QUALITY OF CARE FOR THE MENTALLY DISABLED

MISSION

The Commission on Quality of Care for the Mentally Disabled provides oversight of the State and local mental hygiene systems that collectively spend more than \$5 billion in public funds annually. The Commission monitors conditions of care in State institutions for the mentally ill and mentally retarded, licensed residential facilities, and outpatient programs; reports to the Governor and Legislature on how the laws and policies established to protect the rights of mentally disabled persons are being implemented; and makes recommendations to improve quality of care.

ORGANIZATION AND STAFFING

Soon to be headquartered in Schenectady, the Commission consists of a full-time chairman and two unsalaried members, each appointed by the Governor and confirmed by the Senate to serve for staggered five-year terms. During 1999-2000, the agency will have a workforce of 93 positions funded by the General Fund, Federal Grants and other Federal revenues related to oversight of Medicaid programs.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Commission's State Operations appropriation, which comprises 95 percent of the total agency budget, consists of 31 percent General Fund, 44 percent Federal, and 25 percent from other sources.

Federal funding provides statewide protection and advocacy services for individuals with disabilities, using State staff and contracts with non-profit agencies, through the following Federal programs: Protection and Advocacy for the Developmentally Disabled; Client Assistance; Protection and Advocacy for Individuals with Mental Illness (PAIMI); and Protection and Advocacy of Individual Rights programs. The 1999-2000 Executive recommendation includes a new Federal appropriation of \$165,000 in anticipation of a new direct Federal grant for the Technology Related Protection and Advocacy (TRPA) program, as well as an increase of \$47,300 for the PAIMI program. The new TRPA funds replace moneys previously suballocated from the Office of the Advocate for Persons with Disabilities. These new Federal funds will assist the Commission in strengthening the non-profit contract network which provides individuals with severe disabilities with protection and advocacy services under Federal law.

Other funds include the Federal Salary Sharing account which is funded by Federal revenue generated by agency staff activities related to Medicaid services. A combination of State tax dollars from the General Fund and Federal Salary Sharing accounts support staff investigations of deaths and allegations of abuse and neglect in mental hygiene facilities and other agency oversight functions.

The Aid to Localities appropriation, which comprises 5 percent of the total agency budget, funds contracts with private, non-profit service provider agencies. Taxpayer dollars from the General Fund support contracts with non-profit agencies that provide advocacy services to residents of adult homes and adult care facilities. Contracts with non-profit Community Dispute Resolution Centers, which provide support services for the Surrogate Decision Making Committee (SDMC) program, are supported by Federal Salary Sharing account funds.

QUALITY OF CARE FOR THE MENTALLY DISABLED

The 1999-2000 Executive Budget recommendation fully annualizes the Governor's 1998-99 initiative to expand the SDMC program statewide. The SDMC program provides a more responsive and lower cost alternative to the court system for securing non-emergency medical treatment for individuals in Department of Mental Hygiene residential facilities who cannot consent to treatment and do not have a surrogate to make these decisions.

The Executive Budget recommendation of \$9.8 million reflects a General Fund decrease of \$254,000 from 1998-99 levels principally as a result of one-time moving costs that were included in 1998-99, and the shift of personal service costs to available Federal Salary Sharing revenues. The recommendation fully supports existing program and service levels while annualizing the SDMC program expansion from 1998-99.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$9,190,000	\$9,283,600	+ \$93,600	\$5,261,800
Aid To Localities	468,000	468,000
Capital Projects
Total	\$9,658,000	\$9,751,600	+ \$93,600	\$5,261,800

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
General Fund	41	41	41
Special Revenue Funds — Other	27	28	28	+ 1
Protection and Advocacy for the Developmentally Disabled					
Special Revenue Funds — Federal	11	11	11
Protection and Advocacy for the Mentally Ill					
Special Revenue Funds — Federal	10	10	10
Client Assistance					
Special Revenue Funds — Federal	2	2	2
Protection and Advocacy IR					
Special Revenue Funds — Federal	1	1	1
Total	92	93	93	+ 1

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$3,140,000	\$2,886,000	-\$254,000
Special Revenue Funds — Federal	3,835,000	4,062,600	+ 227,600
Special Revenue Funds — Other	2,195,000	2,315,000	+ 120,000
Enterprise Funds	20,000	20,000
Total	\$9,190,000	\$9,283,600	+ \$93,600

QUALITY OF CARE FOR THE MENTALLY DISABLED

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Administration			
General Fund	\$3,140,000	\$2,886,000	-\$254,000
Special Revenue Funds — Other	2,105,000	2,225,000	+ 120,000
Enterprise Funds	20,000	20,000
Protection and Advocacy for the Developmentally Disabled			
Special Revenue Funds — Federal	1,482,000	1,492,800	+ 10,800
Protection and Advocacy for the Mentally Ill			
Special Revenue Funds — Federal	1,123,500	1,170,800	+ 47,300
Client Assistance			
Special Revenue Funds — Federal	629,500	629,000	-500
Protection and Advocacy IR			
Special Revenue Funds — Federal	600,000	605,000	+ 5,000
Technology Related Protection and Advocacy			
Special Revenue Funds — Federal	165,000	+ 165,000
Conference Fee Account			
Special Revenue Funds — Other	90,000	90,000
<u>Total</u>	<u>\$9,190,000</u>	<u>\$9,283,600</u>	<u>+ \$93,600</u>

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$2,022,000	-\$108,000	\$2,015,000	-\$108,000	\$7,000
<u>Total</u>	<u>\$2,022,000</u>	<u>-\$108,000</u>	<u>\$2,015,000</u>	<u>-\$108,000</u>	<u>\$7,000</u>	<u>. . . .</u>

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$864,000	-\$146,000	\$33,100
<u>Total</u>	<u>\$864,000</u>	<u>-\$146,000</u>	<u>\$33,100</u>	<u>. . . .</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$92,200	\$415,600	-\$16,000
<u>Total</u>	<u>\$92,200</u>	<u>. . . .</u>	<u>\$415,600</u>	<u>-\$16,000</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$23,100	\$300,000	-\$130,000
<u>Total</u>	<u>\$23,100</u>	<u>. . . .</u>	<u>\$300,000</u>	<u>-\$130,000</u>

QUALITY OF CARE FOR THE MENTALLY DISABLED

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$2,245,000	+ \$120,000	\$1,467,000	+ \$86,000
Protection and Advocacy for the Developmentally Disabled	1,492,800	+ 10,800	606,200	+ 30,400
Protection and Advocacy for the Mentally Ill	1,170,800	+ 47,300	437,000	-15,000
Client Assistance	629,000	-500	94,200	-6,000
Protection and Advocacy IR	605,000	+ 5,000	66,400	-43,800
Technology Related Protection and Advocacy	165,000	+ 165,000
Conference Fee Account	90,000
Total	\$6,397,600	+ \$347,600	\$2,670,800	+ \$51,600

Program	Nonpersonal Service	
	Amount	Change
Administration	\$778,000	+ \$34,000
Protection and Advocacy for the Developmentally Disabled	886,600	-19,600
Protection and Advocacy for the Mentally Ill	733,800	+ 62,300
Client Assistance	534,800	+ 5,500
Protection and Advocacy IR	538,600	+ 48,800
Technology Related Protection and Advocacy	165,000	+ 165,000
Conference Fee Account	90,000
Total	\$3,726,800	+ \$296,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$95,000	\$95,000
Special Revenue Funds — Other	373,000	373,000
Total	\$468,000	\$468,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Surrogate Decision Making Special Revenue Funds — Other	\$373,000	\$373,000
Adult Homes General Fund	95,000	95,000
Total	\$468,000	\$468,000

DIVISION OF STATE POLICE

MISSION

The Division of State Police promotes highway safety and protects our citizens from crime. It is responsible for patrolling roads and highways outside major urban centers, and providing specialty and investigative police services throughout the State. The work of the State Police ranges from the traditional patrol duties to that of specially-trained investigators who conduct sophisticated operations against drug traffickers and other criminals.

ORGANIZATION AND STAFFING

The Division, headed by a Superintendent who is appointed by the Governor, is organized into a Division Headquarters located in Albany and 11 Troops. Each Troop encompasses a geographic area of the State, with the exception of Troop "T" which is dedicated to providing police services on the New York State Thruway. Troop "NYC" provides specialized investigative and support services in the five boroughs of New York City. The remaining nine Troops provide patrol coverage and criminal investigation services appropriate to local conditions. Factors which affect services include population, highway mileage and availability of county and local law enforcement agencies.

Approximately 89 percent of the Division's total staffing of 4,945 for 1999-2000 are paid for with State tax dollars, 8 percent are supported with various revenue sources, and the remaining 3 percent are financed by Federal funds. Total sworn member strength of the Division will be 4,111.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Approximately \$277 million in State tax dollars support 74 percent of the Division's operations budget. The balance is financed by Federal funds and various State revenue sources, including seized assets, a special monthly surcharge on cellular telephone bills, fees for accident reports and reimbursement from the New York State Thruway Authority for services rendered on the State Thruway. New Capital appropriations totaling \$2 million will enable the State Police to maintain Troop facilities and advance various projects related to health and safety.

The 1999-2000 recommendations support several technology improvements and enhancements including the continuation of a major ten year effort to provide a Statewide Public Safety Communications System. In addition, a computer modernization project will provide state-of-the-art equipment for members and civilians. Funding is also included to begin the phased replacement of State Police's aging aviation fleet. Lastly, a technology improvement project is continued to enhance the emergency "911" response system to track telephone numbers.

PROGRAM HIGHLIGHTS

The Division is divided into two principal branches, the Uniform Force and the Bureau of Criminal Investigation. Each of these branches fulfills specific law enforcement assignments and cooperates with local and county law enforcement agencies.

STATE POLICE

UNIFORM FORCE

The Uniform Force has two primary responsibilities: protecting life and property and promoting highway safety. In many areas of the state, Uniform Troopers are the primary law enforcement agency. In these areas, Troopers respond to all types of calls including burglaries, missing children, assaults, robberies and homicides. State Troopers also provide essential support to local police departments.

BUREAU OF CRIMINAL INVESTIGATION

The Bureau of Criminal Investigation (BCI) is the plain clothes investigative branch of the Division. BCI Investigators concentrate on cases related to narcotics, child abuse, auto theft, consumer product tampering, organized crime, and violent crimes. In addition to conducting their own investigations, investigators regularly assist local and county law enforcement agencies that lack the resources or expertise needed for major crime investigations.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$370,831,500	\$393,378,500	+ \$22,547,000	\$2,145,200
Aid To Localities
Capital Projects	4,550,000	2,000,000	-2,550,000	7,969,000
Total	<u>\$375,381,500</u>	<u>\$395,378,500</u>	<u>+ \$19,997,000</u>	<u>\$10,114,200</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
General Fund	147	147	147
Patrol Activities					
General Fund	2,536	2,536	2,536
Special Revenue Funds — Federal	55	55	55
Special Revenue Funds — Other	34	34	34
Criminal Investigation Activities					
General Fund	1,039	1,039	1,039
Special Revenue Funds — Federal	80	80	80
Indian Gaming					
Special Revenue Funds — Other	39	39	39
Technical Police Services					
General Fund	623	623	623
Policing the Thruway					
Special Revenue Funds — Other	340	340	340
Subtotal, Direct Funded Programs	<u>4,893</u>	<u>4,893</u>	<u>....</u>	<u>4,893</u>	<u>....</u>
Suballocations:					
Special Revenue Funds — Federal	4			4
Total	<u>4,897</u>			<u>4,897</u>	<u>....</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	\$276,458,100	\$294,743,600	+ \$18,285,500
Special Revenue Funds — Federal	9,190,200	17,256,800	+ 8,066,600
Special Revenue Funds — Other	85,175,700	81,370,600	-3,805,100
Fiduciary Funds	7,500	7,500
Total	\$370,831,500	\$393,378,500	+ \$22,547,000
Adjustments:			
Recommended Deficiency			
Special Revenue Funds — Federal	-1,000,000		
Transfer(s) From			
Miscellaneous - All State Departments and			
Agencies			
General Fund	-21,568,000		
Special Revenue Funds — Other	-551,000		
Appropriated 1998-99	\$347,712,500		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Administration			
General Fund	\$8,986,400	\$8,855,000	-\$131,400
Special Revenue Funds — Other	200,000	+ 200,000
Fiduciary Funds	7,500	7,500
Patrol Activities			
General Fund	153,629,400	155,358,300	+ 1,728,900
Special Revenue Funds — Federal	2,697,900	7,535,900	+ 4,838,000
Special Revenue Funds — Other	12,394,900	11,911,600	-483,300
Criminal Investigation Activities			
General Fund	82,665,000	80,413,100	-2,251,900
Special Revenue Funds — Federal	6,492,300	6,492,300
Special Revenue Funds — Other	2,846,200	2,546,200	-300,000
Indian Gaming			
Special Revenue Funds — Other	5,632,900	3,878,500	-1,754,400
Technical Police Services			
General Fund	31,177,300	50,117,200	+ 18,939,900
Special Revenue Funds — Federal	3,228,600	+ 3,228,600
Special Revenue Funds — Other	32,193,700	34,293,700	+ 2,100,000
Policing the Thruway			
Special Revenue Funds — Other	32,108,000	28,540,600	-3,567,400
Total	\$370,831,500	\$393,378,500	+ \$22,547,000

STATE POLICE

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$8,285,700	-\$131,400	\$8,285,700	-\$131,400
Patrol Activities	152,620,100	+ 5,111,900	135,519,000	+ 7,231,100	\$40,400	+ \$40,400
Criminal Investigation Activities	75,308,000	-2,001,900	69,771,700	-1,815,700
Technical Police Services	26,529,800	+ 730,900	24,113,500	-627,000	1,032,300	+ 322,400
Total	\$262,743,600	+ \$3,709,500	\$237,689,900	+ \$4,657,000	\$1,072,700	+ \$362,800

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration
Patrol Activities	\$17,060,700	-\$2,159,600
Criminal Investigation Activities	5,536,300	-186,200
Technical Police Services	1,384,000	+ 1,035,500
Total	\$23,981,000	-\$1,310,300

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$569,300	\$101,700
Patrol Activities	2,738,200	-\$2,483,000
Criminal Investigation Activities	5,105,100	-250,000	82,500
Technical Police Services	32,687,400	+ 18,209,000	466,300
Total	\$41,100,000	+ \$15,476,000	\$650,500

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$76,800	\$383,400
Patrol Activities	532,900	157,700
Criminal Investigation Activities	68,400	475,700
Technical Police Services	3,653,800	10,578,700	+ \$809,000
Total	\$4,331,900	\$11,595,500	+ \$809,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$7,400
Patrol Activities	1,677,600	-\$1,850,000	\$370,000	-\$633,000
Criminal Investigation Activities	4,105,800	372,700	-250,000
Technical Police Services	17,988,600	+ 17,400,000
Total	\$23,779,400	+ \$15,550,000	\$742,700	-\$883,000

**STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$207,500	+ \$200,000
Patrol Activities	19,447,500	+ 4,354,700	\$7,720,400	+ \$3,426,100
Criminal Investigation				
Activities	9,038,500	-300,000	4,803,300	-107,000
Indian Gaming	3,878,500	-1,754,400	2,417,600	-1,136,400
Technical Police Services	28,422,300	+ 5,328,600
Policing the Thruway	28,540,600	-3,567,400	21,270,400	-2,075,500
Total	\$89,534,900	+ \$4,261,500	\$36,211,700	+ \$107,200

Program	Nonpersonal Service	
	Amount	Change
Administration	\$207,500	+ \$200,000
Patrol Activities	11,727,100	+ 928,600
Criminal Investigation		
Activities	4,235,200	-193,000
Indian Gaming	1,460,900	-618,000
Technical Police Services	28,422,300	+ 5,328,600
Policing the Thruway	7,270,200	-1,491,900
Total	\$53,323,200	+ \$4,154,300

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

Comprehensive Construction Programs	Available 1998-99	Recommended 1999-00	Change	Reappropriations 1999-00
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	\$1,850,000	\$2,000,000	+ \$150,000	\$4,648,000
New Facilities				
Capital Projects Fund	2,700,000	-2,700,000	3,321,000
Total	\$4,550,000	\$2,000,000	-\$2,550,000	\$7,969,000