

OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

MISSION

The Office of Alcoholism and Substance Abuse Services is responsible for licensing and evaluating service providers, and for implementing and advocating policies and programs for the prevention, early intervention and treatment of alcoholism and substance abuse. In conjunction with local governments, providers and communities, the Office works to ensure that a full range of necessary and cost-effective services are provided for addicted persons and those at risk of addiction.

ORGANIZATION AND STAFFING

The Office of Alcoholism and Substance Abuse Services is headed by a Commissioner, who is nominated by the Governor and confirmed by the Senate. The agency will have a workforce of 1,027 employees by the end of 1999-2000, approximately half of which provide clinical treatment services within a statewide system of 13 Addiction Treatment Centers (ATCs).

The 1999-2000 Budget reflects a reduction of 51 positions from prior authorized levels. The majority of this reduction reflects the transfer of 44 positions to the State University of New York, in connection with the transfer of the Research Institute on Addictions to SUNY Buffalo. The remaining reduction reflects agency efficiencies accomplished through the further consolidation and streamlining of both agency operations and the community-based system at large.

FISCAL BACKGROUND

A total of \$481 million in State funding for the treatment and prevention of chemical dependence is appropriated in the Office of Alcoholism and Substance Abuse Services budget. In addition, the Office plays a role in overseeing drug and alcohol programming supported by other State agency budgets, including the welfare, criminal justice, and Medicaid budgets. Accordingly, OASAS has an integral part in the State's welfare reform, criminal justice and Medicaid managed care agendas.

The Office of Alcoholism and Substance Abuse Services budget receives 64 percent of its funding from State tax dollars, with Federal funds and other revenues comprising the rest. Overall, 8 percent supports administrative functions, such as licensing, quality assurance, and the provision of expertise to county governments and providers. The remaining 92 percent supports prevention and treatment services. In 1999-2000, a total of \$318.5 million will fund the delivery of treatment, while another \$98.4 million will be dedicated to prevention programs.

BUDGET HIGHLIGHTS

A total of \$17 million in new initiatives will be undertaken as part of the 1999-2000 Budget. These initiatives are recommended to be funded with a mix of resources, including asset forfeiture revenues, and Federal Substance Abuse Prevention and Treatment (SAPT) grants. The initiatives are intended to strengthen the existing system and increase the effectiveness of prevention and treatment services. Highlights of these initiatives include:

- \$4 million to intensify outpatient services for women with children, persons needing HIV services, and other special populations;

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- \$3 million to enhance vocational services;
- \$3 million in targeted prevention grants to strengthen school-, college-, and community-based programs;
- \$2.5 million for training of providers in the care of special populations;
- \$2.5 million to maintain appropriate staffing in the community treatment system; and
- \$2 million to support the transition of newly employed public assistance recipients to full self-sufficiency.

These recommendations also incorporate a 2.5 percent salary-related cost-of-living increase enacted by the Legislature in 1998-99 for certain non-profit programs. In addition, the budget provides the operating and capital funding necessary to implement the 1999-2000 phase of the 700-bed expansion enacted in the 1997-98 budget.

Finally, as discussed above, the 1999-2000 Executive Budget recommends the transfer of the Research Institute on Addictions (RIA) to the State University of New York. This transfer is expected to strengthen RIA's competitive position as a national research facility and assist in the widespread incorporation of research findings into actual treatment practice. As increasing attention is focused nationwide on researching the causes and treatment of addiction, this transfer readies the State to take advantage of scientific breakthroughs in this field.

PROGRAM HIGHLIGHTS

As the largest drug and alcohol system in the nation, OASAS administers a comprehensive array of prevention and treatment services for New Yorkers suffering from addiction. The Office works with a network of State, local government and voluntary agencies, as well as school districts, to provide prevention and treatment services. In accordance with Federal and State statutes, the agency licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

On any given day, approximately 120,000 patients are enrolled in New York State's licensed alcoholism and substance abuse treatment and rehabilitation system. Services within this system are provided by more than 1,300 community-based agencies — approximately 600 licensed alcoholism programs and 700 licensed substance abuse programs. OASAS itself directly provides inpatient rehabilitation services to approximately 7,000 patients annually through its 13 State-operated Addiction Treatment Centers.

Moreover, OASAS currently provides financial assistance for substance and alcohol abuse prevention and intervention services to approximately 420 programs in about 2,400 settings across the State including hospitals, the workplace, and school and community-based settings.

The 1999-2000 Executive Budget continues the movement toward a single, consolidated treatment system. Legislation submitted with the Executive Budget will combine the current separate alcohol and drug treatment licenses into a single chemical dependence license. This further consolidation of the treatment system will break down unnecessary barriers to the delivery of care for addictions, and eliminate duplication and inefficiencies in the current system.

Efforts also continue to purchase the best treatment outcomes for the lowest cost. OASAS has initiated twelve demonstration projects, in cooperation with local governments, under which counties case manage their most frequent and costly consumers of treatment services. During 1998-99, OASAS invested \$1.9 million in start-up funds for this initiative, which will become supportable through savings within two years.

Building on this strong relationship with county governments, the State will introduce a fundamental change in the way certain chemical detoxification services are provided, yielding Medicaid savings to both the State and local governments. In 1999-2000, OASAS licensed providers will begin to perform detoxification services in their community-based

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programs, minimizing the repeated use of detoxification services in high-cost inpatient settings and linking consumers with needed on-going treatment services. The result will be both less costly and more coordinated care than is now provided to Medicaid patients in more expensive inpatient hospital settings.

In order to ensure quality services, OASAS will also move forward in 1999-2000 with further implementation of its performance monitoring program. Contracts with community-based providers already contain mandatory performance objectives, and providers regularly report their progress against these goals. However, beginning with contracts for 1999, the Office will now allocate funding based on provider performance. As a result of this policy, the State is further assured that its resources are well invested.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$63,610,700	\$68,966,700	+ \$5,356,000
Aid To Localities	369,381,191	383,608,400	+ 14,227,209	\$44,407,000
Capital Projects	15,300,000	28,761,000	+ 13,461,000	151,459,000
Total	<u>\$448,291,891</u>	<u>\$481,336,100</u>	<u>+ \$33,044,209</u>	<u>\$195,866,000</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Executive Direction					
General Fund	382	379	379	-3
Special Revenue Funds — Federal	83	85	85	+ 2
Special Revenue Funds — Other	5	5	5
Capital Project Funds	8	8	8
Institutional Services					
General Fund	562	562	562
Total	<u>1,040</u>	<u>1,034</u>	<u>5</u>	<u>1,039</u>	<u>-1</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$45,141,500	\$45,862,500	+ \$721,000
Special Revenue Funds — Federal	4,371,700	4,371,700
Special Revenue Funds — Other	14,097,500	18,732,500	+ 4,635,000
Total	<u>\$63,610,700</u>	<u>\$68,966,700</u>	<u>+ \$5,356,000</u>
Adjustments:			
Transfer(s) To			
State University of New York			
General Fund	+ 2,413,500		
Appropriated 1998-99	<u>\$66,024,200</u>		

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Executive Direction			
General Fund	\$22,800,200	\$21,931,600	-\$868,600
Special Revenue Funds — Federal	4,371,700	4,371,700
Special Revenue Funds — Other	5,047,500	9,382,500	+ 4,335,000
Institutional Services			
General Fund	22,341,300	23,930,900	+ 1,589,600
Special Revenue Funds — Other	9,050,000	9,350,000	+ 300,000
Total	\$63,610,700	\$68,966,700	+ \$5,356,000

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Executive Direction	\$20,901,600	+ \$426,400	\$20,721,100	+ \$398,300	\$112,300	+ \$26,100
Institutional Services	26,272,800	+ 1,111,000	25,517,600	+ 1,089,000	404,600	+ 11,400
Total	\$47,174,400	+ \$1,537,400	\$46,238,700	+ \$1,487,300	\$516,900	+ \$37,500

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Executive Direction	\$68,200	+ \$2,000
Institutional Services	350,600	+ 10,600
Total	\$418,800	+ \$12,600

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	\$9,584,000	+ \$3,040,000	\$343,700
Institutional Services	7,008,100	+ 778,600	2,936,500
Total	\$16,592,100	+ \$3,818,600	\$3,280,200

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	\$825,500	\$8,389,800	+ \$3,040,000
Institutional Services	255,000	3,722,100	+ 778,600
Total	\$1,080,500	\$12,111,900	+ \$3,818,600

Program	Equipment	
	Amount	Change
Executive Direction	\$25,000
Institutional Services	94,500
Total	\$119,500

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STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	\$5,200,200	\$2,555,000
Total	<u>\$5,200,200</u>	<u>. . . .</u>	<u>\$2,555,000</u>	<u>. . . .</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	\$1,626,700	\$1,018,500
Total	<u>\$1,626,700</u>	<u>. . . .</u>	<u>\$1,018,500</u>	<u>. . . .</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$243,882,791	\$242,131,000	-\$1,751,791
Special Revenue Funds — Federal	119,998,400	133,477,400	+ 13,479,000
Special Revenue Funds — Other	5,500,000	8,000,000	+ 2,500,000
Total	<u>\$369,381,191</u>	<u>\$383,608,400</u>	<u>+ \$14,227,209</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Community Treatment Services Program			
General Fund	\$195,555,000	\$194,683,000	-\$872,000
Special Revenue Funds — Federal	82,491,000	90,539,400	+ 8,048,400
Prevention and Program Support			
General Fund	47,773,000	47,448,000	-325,000
Special Revenue Funds — Federal	37,507,400	42,938,000	+ 5,430,600
Special Revenue Funds — Other	5,500,000	8,000,000	+ 2,500,000
Legislative Initiatives			
General Fund	554,791	-554,791
Total	<u>\$369,381,191</u>	<u>\$383,608,400</u>	<u>+ \$14,227,209</u>

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CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Comprehensive Construction Programs</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations 1999-00</u>
Administration				
Capital Projects Fund	\$200,000	\$500,000	+ \$300,000
Mental Hygiene Capital Improvement Fund - 389	549,000	273,000	-276,000
Non-Bondable Projects				
Capital Projects Fund	3,078,000	100,000	-2,978,000
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	4,422,000	3,288,000	-1,134,000	\$28,688,000
Mental Hygiene Capital Improvement Fund - 389	15,000,000	+ 15,000,000	105,385,000
Institutional Services Program				
Capital Projects Fund	1,000,000	1,000,000	4,333,000
Mental Hygiene Capital Improvement Fund - 389	3,051,000	3,600,000	+ 549,000	9,273,000
Design and Construction Supervision				
Capital Projects Fund	1,000,000	2,000,000	+ 1,000,000	1,100,000
Mental Hygiene Capital Improvement Fund - 389	2,000,000	3,000,000	+ 1,000,000	2,680,000
<u>Total</u>	<u>\$15,300,000</u>	<u>\$28,761,000</u>	<u>+ \$13,461,000</u>	<u>\$151,459,000</u>