

***GENERAL
GOVERNMENT***

ADIRONDACK PARK AGENCY

MISSION

The Adirondack Park Agency (APA) was established in 1971 to "insure the optimum overall conservation, protection, development and use of the unique scenic, aesthetic, wildlife, recreational, open space, ecological and natural resources of the Adirondack Park." The Park itself was created in 1892, and contains six million acres of publicly- and privately-owned lands.

ORGANIZATION AND STAFFING

The APA is governed by an 11-member board, including the commissioners of Environmental Conservation and Economic Development and the Secretary of State. The other members, five of whom must be Park residents, are nominated by the Governor with Senate confirmation. The principal functions of the APA are:

- reviewing and issuing permits for private and State land-use projects, consistent with the Adirondack Park Master Plan and the Adirondack Park Private Land Use and Development Plan, and for certain activities on or near fresh water wetlands, pursuant to the 1975 Freshwater Wetlands Act;
- analyzing and developing policy to improve and protect the Park;
- helping local governments develop land use plans and providing technical expertise;
- administering the State's Wild, Scenic and Recreational River System; and
- operating two Visitor Interpretive Centers: one near Paul Smith's College, Franklin County, and one in Newcomb, Essex County, at which visitors can better understand and appreciate the Park's resources.

APA's responsibilities are carried out by the following divisions: Planning, which handles local and regional land use policy issues; Counsel's Office, which provides legal advice to all Agency functions and oversees jurisdictional determinations and enforcement functions; Regulatory, which performs the Agency's permitting function; Interpretive, which operates the Visitor Centers; Resource Analysis, which conducts scientific research of the ecology of the Adirondacks; Economic Services, which assists project sponsors in the review process; and Local Government Services, which provides technical expertise and assistance to communities. For 1999-00, APA will have a workforce of 60 positions.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Agency's 1999-00 operating costs will be funded with State tax dollars and Federal grant moneys. The Executive Budget recommends funding of \$3.6 million in General Fund support for the Agency's operations. This recommendation will support the Agency's core functions of regulating development within the Adirondack Park and operating the Visitor Interpretive Centers at Newcomb and Paul Smith's.

In addition, the Executive Budget recommends General Fund support of \$50,000 for the Adirondack Park Local Government Review Board. The Board advises and assists the APA in carrying out its responsibilities and monitors the implementation of the Adirondack Park Land Use and Development Plan.

PROGRAM HIGHLIGHTS

Since 1995-96, the Agency has worked to achieve a balance between strong environmental protection and the needs of people who live in the Adirondack Park. The Agency's priorities for the future include continued updating of the Agency's rules and

ADIRONDACK PARK AGENCY

regulations, facilitating the development of land use plans by local governments, providing local governments with technical expertise and training, and improving resource data base information to better protect the resources of the Adirondack Park.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$3,642,800	\$3,556,800	-\$86,000	\$952,000
Aid To Localities	50,000	50,000
Capital Projects
Total	\$3,692,800	\$3,606,800	-\$86,000	\$952,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration General Fund	60	60	60
Total	60	60	60

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$3,492,800	\$3,556,800	+ \$64,000
Special Revenue Funds — Federal	150,000	-150,000
Total	\$3,642,800	\$3,556,800	-\$86,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Administration General Fund	\$3,492,800	\$3,556,800	+ \$64,000
Special Revenue Funds — Federal	150,000	-150,000
Total	\$3,642,800	\$3,556,800	-\$86,000

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STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$3,014,800	+ \$64,000	\$2,871,600	+ \$58,900	\$139,500	+ \$5,000
Total	\$3,014,800	+ \$64,000	\$2,871,600	+ \$58,900	\$139,500	+ \$5,000

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$3,700	+ \$100
Total	\$3,700	+ \$100

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$542,000	\$83,500
Total	\$542,000	\$83,500

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$44,500	\$389,900
Total	\$44,500	\$389,900

Program	Equipment	
	Amount	Change
Administration	\$24,100
Total	\$24,100

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Nonpersonal Service	
	Amount	Change	Amount	Change
Administration	-\$150,000	-\$150,000
Total	-\$150,000	-\$150,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$50,000	\$50,000
Total	\$50,000	\$50,000

ADIRONDACK PARK AGENCY

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Administration			
General Fund	\$50,000	\$50,000
Total	<u>\$50,000</u>	<u>\$50,000</u>	<u>.....</u>

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

MISSION

The Division of Alcoholic Beverage Control (ABC) regulates and controls the manufacture, sale and distribution of alcoholic beverages within the State. The Division issues and renews licenses and permits to manufacturers, distributors, wholesalers and retailers; works with local law enforcement agencies to ensure compliance with the ABC Law; regulates trade and credit practices for the sale and distribution of alcoholic beverages by such actions as registering brand labels and controlling wholesale and retail prices; and certifies alcohol awareness training programs and individuals who participate in these programs.

ORGANIZATION AND STAFFING

The Division operates under the direction of a three member State Liquor Authority, which is appointed by the Governor with the advice and consent of the Senate. It has offices in New York City, Albany, Syracuse and Buffalo. The Division will have a workforce of 216 positions for 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 1999-2000, a total of \$13.7 million in special revenue funding will support the Division. The Budget also includes funding to implement Chapter 383 of the Laws of 1998, which authorizes voluntary alcohol awareness training programs.

PROGRAM HIGHLIGHTS

Staff assigned to the Licensing Program are responsible for the timely processing of the permits and licenses required by the Alcoholic Beverage Control Law. Reflecting the recommendations of private consultants, the Division has installed modern computer and imaging systems to re-engineer and automate the licensing process. These improvements have reduced processing time by one-third, contained operating costs and accelerated revenue collections.

In cooperation with local law enforcement agencies, staff of the Compliance Program ensure that regulated parties comply with the Law. The Division investigates and holds administrative hearings on complaints against permit and license holders. Penalties, ranging from warnings to license suspension and revocation, are imposed for violations.

ALL FUNDS APPROPRIATIONS

<u>Category</u>	<u>Available 1998-99</u>	<u>Appropriations Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations Recommended 1999-00</u>
State Operations	\$11,640,200	\$13,702,400	+ \$2,062,200
Aid To Localities
Capital Projects
<u>Total</u>	<u>\$11,640,200</u>	<u>\$13,702,400</u>	<u>+ \$2,062,200</u>	<u>. . . .</u>

ALCOHOLIC BEVERAGE CONTROL

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
General Fund	27	-27
Special Revenue Funds — Other	27	27	+ 27
Licensing and Wholesaler Services					
General Fund	81	-81
Special Revenue Funds — Other	24	101	101	+ 77
Compliance					
General Fund	55	-55
Special Revenue Funds — Other	28	88	88	+ 60
Total	215	216	216	+ 1

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund
Special Revenue Funds — Other	\$11,640,200	\$13,702,400	+ \$2,062,200
Total	\$11,640,200	\$13,702,400	+ \$2,062,200

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Administration			
Special Revenue Funds — Other	\$2,725,700	\$3,082,100	+ \$356,400
Licensing and Wholesaler Services			
Special Revenue Funds — Other	4,333,400	5,147,200	+ 813,800
Compliance			
Special Revenue Funds — Other	4,581,100	5,473,100	+ 892,000
Total	\$11,640,200	\$13,702,400	+ \$2,062,200

ALCOHOLIC BEVERAGE CONTROL

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$3,082,100	+ \$356,400	\$1,236,100	-\$62,500
Licensing and Wholesaler Services	5,147,200	+ 813,800	3,488,300	-91,500
Compliance	5,473,100	+ 892,000	3,650,600	+ 2,400
Total	\$13,702,400	+ \$2,062,200	\$8,375,000	-\$151,600

Program	Nonpersonal Service	
	Amount	Change
Administration	\$1,846,000	+ \$418,900
Licensing and Wholesaler Services	1,658,900	+ 905,300
Compliance	1,822,500	+ 889,600
Total	\$5,327,400	+ \$2,213,800

DEPARTMENT OF AUDIT AND CONTROL

MISSION

The Department of Audit and Control was created in 1926 and is headed by the State Comptroller, who is elected by the people. The Department is responsible for paying the State's bills and payrolls; verifying all financial transactions of the State; reviewing the financial and management practices of State agencies; supervising the fiscal affairs of local governments; investing State funds and issuing bonds and notes; and administering the retirement program for State and most local government employees.

ORGANIZATION AND STAFFING

The Department of Audit and Control is organized into 12 programs, with its main office in Albany and regional offices in New York City, Buffalo, Rochester, Syracuse, Binghamton, Glens Falls and Hauppauge. These regional offices function primarily as decentralized audit centers, providing financial review of the accounting of revenues collected and expenses incurred by counties, cities, towns and villages, school and fire districts, and quasi-governmental entities. The Department will have a workforce of 2,091 positions for 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The operating expenses of the Department are funded primarily with State tax dollars from the General Fund and with revenues from the Employee Retirement Systems. The Executive Budget recommends \$150.1 million for the Department's State Operations budget, including \$92.3 million, or 61 percent, in General Fund support. This portion of the Department's budget includes funding for payments on certificates of participation issued for the new payroll system, \$4.5 million for ongoing operational costs of the payroll system, and \$3.8 million to improve claims management and enhance customer service in the Unclaimed Property program.

Another 35 percent of the Department's State Operations budget will be supported in 1999-2000 with the recommended \$52.2 million in funding from the Retirement Systems.

The remaining 4 percent of this budget will be funded with:

- \$4.2 million in payments made by the City of New York and certain businesses, which support the Department's costs associated with overseeing City finances and with administering an account related to oil spill cleanups; and
- \$1.3 million in charges to the Retirement Systems for the Department's staff and other costs related to administering an internal auditing program.

The General Municipal Law provides special accidental death benefits for the survivors of police and paid firefighters who have died from accidents sustained in the performance of duty. The Executive Budget recommendation includes \$18.7 million to fund the State's share of these benefits, an increase of \$1.2 million over 1998-99.

PROGRAM HIGHLIGHTS

- The Executive Direction, Legal Services, and Administrative and Electronic Data Processing Services programs are responsible for the public information, internal audit, fiscal research, data processing, financial administration, legal, office services, management analysis and human resource functions of the Department.

AUDIT AND CONTROL

- The Pre-Audit program is the Department's largest program funded from State tax dollars. Staff assigned to this program conduct a pre-audit of all State expenditures, including vouchers and payrolls. In this capacity, the Department acts as the State's bookkeeper, recording all collected revenues in their appropriate accounts and posting all payments.
- The Management Audit program audits all State agencies and public authority programs to evaluate their effectiveness and efficiency. The Higher Education Services Corporation, and the departments of Labor and Civil Service, fund on-site auditors who monitor selected activities of these agencies. All other activities of this program are funded with State tax dollars.
- The Municipal Affairs program examines and standardizes fiscal reports and accounts of all governmental and quasi-governmental entities within the State, and monitors and makes recommendations on the fiscal condition of municipalities. Staff also audit school districts and boards of cooperative education, with a portion of these costs being funded by the State Education Department.
- The Office of the State Deputy Comptroller for the City of New York assists the New York State Financial Control Board in carrying out and exercising the responsibilities assigned, and powers granted, to the Board by the Financial Emergency Act for the City of New York.
- The State Retirement program administers the State Retirement Systems, consisting of the Employees' Retirement System, the Police and Fire Retirement System, and the Public Employees' Group Life Insurance Plan. Currently, there are about 2,800 participating government employers, 582,000 active members and approximately 284,500 pensioners.
- The Investments and Cash Management program, in addition to overseeing the assets of the Retirement Systems, issues general obligation debt, invests short-term moneys for the State and local governments and selects financial institutions to provide banking services to the State.
- The Unclaimed Property program administers the Unclaimed Property Law. This involves the Department ensuring the timely transfer of all abandoned property to the State from banks, insurance and utility companies, notifying the legal owners of abandoned property and paying all valid claims where legal ownership has been established.
- The Justice Court program processes revenues generated by the local courts and by the sale of licenses for bingo and games of chance. These revenues are deposited in the Justice Court Fund and subsequently distributed to the State and localities to which the funds are owed.
- Under Chapter 845 of the Laws of 1977, the Comptroller administers the New York State Environmental Protection and Oil Spill Compensation Fund. Costs associated with cleaning up oil spills are paid from the fund upon certification of the Commissioner of Environmental Conservation. Moneys in this fund are comprised of receipts from a fee levied on each barrel of petroleum shipped into the State.

AUDIT AND CONTROL

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$135,742,100	\$150,067,200	+ \$14,325,100
Aid To Localities	17,482,000	18,705,000	+ 1,223,000
Capital Projects
Total	\$153,224,100	\$168,772,200	+ \$15,548,100

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Executive Direction					
General Fund	38	36	36	-2
Internal Service Funds	15	15	15
Administrative and Electronic Data Processing Services					
General Fund	273	266	266	-7
Legal Services					
General Fund	37	35	35	-2
Investments and Cash Management					
General Fund	11	11	11
Fiduciary Funds	45	45	45
Pre-Audit					
General Fund	350	345	345	-5
Management Audit					
General Fund	257	253	253	-4
Municipal Affairs					
General Fund	214	206	206	-8
Justice Court					
General Fund	20	20	20
Unclaimed Property Administration					
General Fund	117	129	26	155	+ 38
Environmental Protection and Spill Compensation					
Special Revenue Funds — Other	6	6	6
Office of the Special Deputy Comptroller for New York City					
General Fund	32	31	31	-1
Special Revenue Funds — Other	33	33	33
State Retirement					
Fiduciary Funds	598	598	598
Subtotal, Direct Funded Programs	2,046	2,029	26	2,055	+ 9
Suballocations:					
General Fund	20			20
Internal Service Funds	16			16
Total	2,082			2,091	+ 9

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$79,043,400	\$92,339,000	+ \$13,295,600
Special Revenue Funds — Other	3,851,800	4,215,000	+ 363,200
Internal Service Funds	1,248,800	1,266,000	+ 17,200
Fiduciary Funds	51,598,100	52,247,200	+ 649,100
Total	\$135,742,100	\$150,067,200	+ \$14,325,100

AUDIT AND CONTROL

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Executive Direction			
General Fund	\$2,850,700	\$2,778,600	-\$72,100
Internal Service Funds	1,248,800	1,266,000	+ 17,200
Administrative and Electronic Data Processing Services			
General Fund	25,352,900	30,961,700	+ 5,608,800
Legal Services			
General Fund	2,457,800	2,451,000	-6,800
Investments and Cash Management			
General Fund	1,120,200	1,141,300	+ 21,100
Fiduciary Funds	4,969,800	4,954,200	-15,600
Pre-Audit			
General Fund	13,792,500	18,380,000	+ 4,587,500
Management Audit			
General Fund	13,799,200	13,726,100	-73,100
Municipal Affairs			
General Fund	12,091,500	11,526,500	-565,000
Justice Court			
General Fund	645,800	689,400	+ 43,600
Unclaimed Property Administration			
General Fund	4,919,200	8,763,200	+ 3,844,000
Environmental Protection and Spill Compensation Special Revenue Funds — Other	588,000	603,000	+ 15,000
Office of the Special Deputy Comptroller for New York City			
General Fund	2,013,600	1,921,200	-92,400
Special Revenue Funds — Other	3,263,800	3,612,000	+ 348,200
State Retirement			
Fiduciary Funds	46,628,300	47,293,000	+ 664,700
Total	<u>\$135,742,100</u>	<u>\$150,067,200</u>	<u>+ \$14,325,100</u>

AUDIT AND CONTROL

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Executive Direction	\$2,085,000	-\$72,100	\$2,068,700	-\$72,500	\$16,300	+ \$400
Administrative and Electronic Data						
Processing Services	11,605,400	+ 2,141,800	11,553,600	+ 2,140,500	11,600	+ 300
Legal Services	2,433,800	-6,800	2,421,400	-7,100
Investments and Cash Management	629,900	+ 21,100	629,900	+ 21,100
Pre-Audit	13,444,500	+ 6,300	13,130,900	-1,300	99,800	+ 2,400
Management Audit	13,023,500	-503,100	13,001,400	-503,600
Municipal Affairs	10,907,500	-565,000	10,888,500	-565,500
Justice Court	689,400	+ 43,600	682,000	+ 43,400	7,400	+ 200
Unclaimed Property Administration	4,978,700	+ 642,700	4,943,700	+ 607,700
Office of the Special Deputy Comptroller for New York City	1,843,500	-92,400	1,812,800	-93,200	14,500	+ 400
Total	\$61,641,200	+ \$1,616,100	\$61,132,900	+ \$1,569,500	\$149,600	+ \$3,700

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Executive Direction
Administrative and Electronic Data		
Processing Services	\$40,200	+ \$1,000
Legal Services	12,400	+ 300
Investments and Cash Management
Pre-Audit	213,800	+ 5,200
Management Audit	22,100	+ 500
Municipal Affairs	19,000	+ 500
Justice Court
Unclaimed Property Administration	35,000	+ 35,000
Office of the Special Deputy Comptroller for New York City	16,200	+ 400
Total	\$358,700	+ \$42,900

AUDIT AND CONTROL

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Amount	Total		Supplies and Materials	
		Amount	Change	Amount	Change
Executive Direction	\$693,600			\$15,600	
Administrative and Electronic					
Data Processing Services	19,356,300	+ \$3,467,000		663,100	
Legal Services	17,200			5,000	
Investments and Cash					
Management	511,400			5,700	
Pre-Audit	4,935,500	+ 4,581,200		4,000	
Management Audit	702,600	+ 430,000		10,200	
Municipal Affairs	619,000			33,500	
Unclaimed Property					
Administration	3,784,500	+ 3,201,300		16,600	
Office of the Special Deputy Comptroller for New York City	77,700			8,800	
Total	\$30,697,800	+ \$11,679,500		\$762,500	

Program	Amount	Travel		Contractual Services	
		Amount	Change	Amount	Change
Executive Direction	\$21,900			\$599,300	
Administrative and Electronic					
Data Processing Services	20,600			16,440,300	+ \$3,414,000
Legal Services	2,200			5,000	
Investments and Cash					
Management	6,400			498,500	
Pre-Audit	2,200			80,400	+ 73,000
Management Audit	207,600			49,400	
Municipal Affairs	404,800			176,500	
Unclaimed Property					
Administration	23,300			2,119,200	+ 1,585,300
Office of the Special Deputy Comptroller for New York City	31,600			37,300	
Total	\$720,600			\$20,005,900	+ \$5,072,300

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	\$2,800		\$54,000	
Administrative and Electronic				
Data Processing Services	58,700		2,173,600	+ \$53,000
Legal Services	5,000			
Investments and Cash				
Management	800			
Pre-Audit	12,700		4,836,200	+ 4,508,200
Management Audit	5,400		430,000	+ 430,000
Municipal Affairs	4,200			
Unclaimed Property				
Administration	9,400		1,616,000	+ 1,616,000
Office of the Special Deputy Comptroller for New York City				
Total	\$99,000		\$9,109,800	+ \$6,607,200

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	\$1,266,000	+ \$17,200	\$901,000	+ \$10,000
Investments and Cash Management Environmental Protection and Spill Compensation	4,954,200	-15,600	2,826,800	-10,700
Office of the Special Deputy Comptroller for New York City	603,000	+ 15,000	294,000	+ 4,000
State Retirement	3,612,000	+ 348,200	2,590,000	+ 251,400
	47,293,000	+ 664,700	23,898,100	+ 270,900
Total	<u>\$57,728,200</u>	<u>+ \$1,029,500</u>	<u>\$30,509,900</u>	<u>+ \$525,600</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	\$365,000	+ \$7,200
Investments and Cash Management Environmental Protection and Spill Compensation	2,127,400	-4,900
Office of the Special Deputy Comptroller for New York City	309,000	+ 11,000
State Retirement	1,022,000	+ 96,800
	21,967,400	+ 66,300	\$1,427,500	+ \$327,500
Total	<u>\$25,790,800</u>	<u>+ \$176,400</u>	<u>\$1,427,500</u>	<u>+ \$327,500</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$17,482,000	\$18,705,000	+ \$1,223,000
Total	<u>\$17,482,000</u>	<u>\$18,705,000</u>	<u>+ \$1,223,000</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

Program	Available 1998-99	Recommended 1999-00	Change
Pre-Audit General Fund	\$17,482,000	\$18,705,000	+ \$1,223,000
Total	<u>\$17,482,000</u>	<u>\$18,705,000</u>	<u>+ \$1,223,000</u>

BANKING DEPARTMENT

MISSION

The Banking Department is charged with protecting the public interest and promoting a desirable business climate by regulating and supervising approximately 4,000 State-chartered banking institutions with total assets of approximately \$1.8 trillion. In carrying out this responsibility, the Department approves acquisitions, branch expansions, mergers and other forms of consolidation. It also levies fines, orders cessation of unsound financial practices and replaces management as needed. In addition, the Department is authorized to take possession of failing institutions and to operate or liquidate them for the benefit of depositors and creditors. In exercising this oversight function, the Banking Department maintains the highest standards to assure the continued safety and soundness of, and the full public confidence in, the institutions supervised. By dealing decisively with institutions that are not in compliance with banking laws and regulations, or cases of malfeasance, the Department promotes a desirable business climate for all financial institutions operating in New York State.

ORGANIZATION AND STAFFING

The Superintendent of Banks, appointed by the Governor, directs the Department. The Superintendent is also the Chair of the 17-member Banking Board, a quasi-legislative body which regulates the conduct of banking in the State. Members of the Board are appointed by the Governor with the consent of the Senate. They receive travel reimbursement but are not otherwise compensated. The Department maintains offices in Albany, New York City, Syracuse, London, and Tokyo. The Banking Department will have a workforce of 640 positions for 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Banking Department is entirely supported by fees charged to regulated financial institutions and organizations. The Budget recommends \$61.8 million for the Department, including \$59 million supported by these banking industry fees.

The Budget includes funds for the State's Holocaust Claims Processing Office. The Holocaust Office, established in 1997, assists Holocaust survivors and their heirs in their search for assets rightfully belonging to them that have been withheld by banks and insurance companies.

PROGRAM HIGHLIGHTS

To ensure the safety and soundness of banking institutions, the Department monitors these institutions to identify problems and works with management to promptly solve them. This responsibility is carried out through annual on-site examinations of most State-chartered institutions, the regular review of institutional financial reports and periodic site visits.

To ensure that State-chartered banking institutions are complying with State laws and regulations and that no individuals are unfairly denied credit, Department employees conduct consumer compliance examinations and resolve consumer complaints. Other staff monitor whether institutions are helping to meet the credit needs of, and providing banking services to, local communities as required by the State Community Reinvestment Act.

The Banking Department continues to respond to the changing environment of the financial services industry. The passage of legislation providing parity between New York

BANKING

chartered banks and national banks reinforces the banking community's view that the New York State charter and the State's regulatory environment is competitive, efficient, cost effective and user-friendly. Additionally, the Department's fair but firm approach to criminal banking activities protects the public and provides support to institutions that do abide by applicable laws and regulations. Lastly, the Department continues to review its internal programs, policies, and procedures in order to eliminate any inefficiencies and promote a strong and health financial services industry.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$59,945,700	\$61,845,900	+ \$1,900,200
Aid To Localities
Capital Projects
Total	<u>\$59,945,700</u>	<u>\$61,845,900</u>	<u>+ \$1,900,200</u>	<u>.</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
Special Revenue Funds — Other	64	64	64
Regulation					
Special Revenue Funds — Other	553	533	533	-20
Analysis and Compliance					
Special Revenue Funds — Other	38	38	38
Subtotal, Direct Funded Programs	655	<u>635</u>	<u>.</u>	635	-20
Suballocations:					
Special Revenue Funds — Other	5			5
Total	<u>660</u>			<u>640</u>	<u>-20</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund
Special Revenue Funds — Other	\$57,245,700	\$59,145,900	+ \$1,900,200
Fiduciary Funds	2,700,000	2,700,000
Total	<u>\$59,945,700</u>	<u>\$61,845,900</u>	<u>+ \$1,900,200</u>
Adjustments:			
Transfer(s) From			
Banking Department			
General Fund	-340,000		
Transfer(s) To			
Banking Department			
Special Revenue Funds — Other	+ 340,000		
Insurance Department			
Special Revenue Funds — Other	+ 210,000		
Appropriated 1998-99	<u>\$60,155,700</u>		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Administration			
Special Revenue Funds — Other	\$4,958,800	\$5,116,400	+ \$157,600
Fiduciary Funds	2,700,000	2,700,000
Regulation			
Special Revenue Funds — Other	49,620,900	51,154,500	+ 1,533,600
Analysis and Compliance			
Special Revenue Funds — Other	2,666,000	2,875,000	+ 209,000
Total	<u>\$59,945,700</u>	<u>\$61,845,900</u>	<u>+ \$1,900,200</u>

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	\$7,816,400	+ \$157,600	\$3,432,900	+ \$135,100
Regulation	51,154,500	+ 1,533,600	31,795,700	+ 1,093,700
Analysis and Compliance	2,875,000	+ 209,000	2,007,200	+ 158,900
Total	<u>\$61,845,900</u>	<u>+ \$1,900,200</u>	<u>\$37,235,800</u>	<u>+ \$1,387,700</u>

<u>Program</u>	<u>Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	\$4,383,500	+ \$22,500
Regulation	18,380,400	+ 439,900	\$978,400
Analysis and Compliance	867,800	+ 50,100
Total	<u>\$23,631,700</u>	<u>+ \$512,500</u>	<u>\$978,400</u>	<u>. . . .</u>

DIVISION OF THE BUDGET

MISSION

The Governor is responsible under the State Constitution for the preparation and execution of the State's expenditure and revenue plans. The Division of the Budget prepares a proposed budget under the Governor's direction and executes the budget as adopted by the Legislature. The Division also serves as the Governor's primary advisor on such fiscal matters as local government and public authority finances. In carrying out these responsibilities, the Budget Division assisted the Governor in eliminating a 1995-96 deficit of \$5 billion, implementing historic tax reductions and ending each year since 1994-95 with budget surpluses.

ORGANIZATION AND STAFFING

Headed by the Director of the Budget, the Division is located in Albany. The Division will have a workforce of 360 positions for 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The recommended General Fund appropriation for 1999-2000 of \$29.6 million will fund the Budget Division's basic operations, reflecting tightened nonpersonal service controls and other efficiencies.

PROGRAM HIGHLIGHTS

The Division's activities include:

- Establishing budget policy and agency direction;
- Providing fiscal policy advice in revenue and expenditure forecasting, budget process management and intergovernmental relations; and
- Coordinating the development and execution of State agency programs and budgets.

Category	ALL FUNDS APPROPRIATIONS			
	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$37,012,300	\$37,281,900	+ \$269,600
Aid To Localities
Capital Projects
Total	<u>\$37,012,300</u>	<u>\$37,281,900</u>	<u>+ \$269,600</u>	<u>. . . .</u>

BUDGET

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Budget Division					
General Fund	350	350	350
Special Revenue Funds — Other	10	10	10
Total	360	350	10	360

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$29,162,300	\$29,631,900	+ \$469,600
Special Revenue Funds — Other	6,200,000	6,200,000
Internal Service Funds	1,500,000	1,300,000	-200,000
Fiduciary Funds	150,000	150,000
Total	\$37,012,300	\$37,281,900	+ \$269,600
Adjustments:			
Transfer(s) To			
State, Department of			
General Fund	+ 80,600		
Appropriated 1998-99	\$37,092,900		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Budget Division			
General Fund	\$29,121,200	\$29,631,900	+ \$510,700
Special Revenue Funds — Other	6,200,000	6,200,000
Internal Service Funds	1,500,000	1,300,000	-200,000
Fiduciary Funds	150,000	150,000
Other Community Projects			
General Fund	41,100	-41,100
Total	\$37,012,300	\$37,281,900	+ \$269,600

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Budget Division	\$19,286,600	+ \$505,300	\$18,951,400	+ \$505,300	\$175,100
Total	\$19,286,600	+ \$505,300	\$18,951,400	+ \$505,300	\$175,100

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Budget Division	\$160,100
Total	\$160,100

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division	\$10,345,300	+ \$5,400	\$141,500
Total	<u>\$10,345,300</u>	<u>+ \$5,400</u>	<u>\$141,500</u>	<u>. . . .</u>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division	\$185,500	\$2,606,800
Total	<u>\$185,500</u>	<u>. . . .</u>	<u>\$2,606,800</u>	<u>. . . .</u>

<u>Program</u>	<u>Equipment</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division	\$23,200	\$7,388,300	+ \$5,400
Total	<u>\$23,200</u>	<u>. . . .</u>	<u>\$7,388,300</u>	<u>+ \$5,400</u>

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division	\$7,650,000	-\$200,000	\$7,650,000	-\$200,000
Total	<u>\$7,650,000</u>	<u>-\$200,000</u>	<u>\$7,650,000</u>	<u>-\$200,000</u>

BUDGET

DEPARTMENT OF CIVIL SERVICE

MISSION

In accordance with the Civil Service Law, the Department of Civil Service is charged with providing human resource management services to State and local governments.

ORGANIZATION AND STAFFING

Under the direction of a Commissioner nominated by the Governor, the Department is based in Albany. The Civil Service Commission, consisting of the Commissioner, who serves as its President, and two Commissioners appointed by the Governor, is an appellate body responsible for reviewing the Department's classification and staffing decisions. The Department will have a workforce of 665 positions for 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Department is funded in part with tax dollars from the General Fund that support 53 percent of the agency's operations. The remaining 47 percent of its operations are funded with payments from other agencies and governmental entities, most of which are made by employers participating in the New York State Health Insurance Program who pay premiums to offset the Department's cost of administering the program. Similarly, the Department is reimbursed for testing and other services provided to such State agencies as the Banking Department, whose operations are funded by special industry assessments.

The Executive Budget recommends funding of \$54 million for the Department, which includes \$28.4 million in General Fund support and \$25.6 million in payments from other State agencies and public entities. This recommendation continues funding to refine the New York State Electronic Personnel System (NYSTEP) in 1999-2000. The Executive Budget also recommends funding to implement 1998 legislation establishing a long-term health care insurance program for State and participating local employees and retirees. A recommended decrease of \$3.9 million reflects the partial completion of the redesigned Benefits Eligibility and Accounting System which supports the New York State Health Insurance Program.

PROGRAM HIGHLIGHTS

The Department of Civil Service has expanded its use of technology to provide improved services to State and local agencies. In July 1998, the Department completed its NYSTEP System, which automates the payroll certification and routine personnel management functions. Other important technological improvements include automation of reemployment roster activities through the on-line Reemployment Certification System, completion of the first phase of the Accident Reporting System (ARS) which provides on-line electronic reporting of all on-the-job injuries and illnesses and implementation of the ONECARD Rx Program which automates the filling of drug prescriptions.

The Department was also active in a statewide effort to recruit the computer professionals needed to reprogram the State's high priority computer systems so that they will accommodate the Year 2000 date change. Among other actions, the Department created a more simple selection process and strengthened its recruiting efforts, resulting in over 300 computer professionals being hired in a highly competitive job market.

The Department continues to make substantial progress in meeting many of the Governor's Civil Service Reform agenda's objectives, including a reduction in the number of long-term provisional employees from 3,501 to 967, the administration of statewide

CIVIL SERVICE

"battery" promotional examinations that were taken by more than 13,000 State employees in 1997 and a reduction in the number of position titles from 5,900 to approximately 5,000. The Department has also maintained the goal of reporting the results of certain written examinations within an average of 60 days.

The responsibilities of the Department are carried out through six divisions:

- The Division of Information Resource Management provides the Department's basic data, information and systems. During 1999-2000, the Division will continue to refine several automation projects, including the NYSTEP and ARS systems.
- The Staffing Services Division provides State agencies with personnel selection and placement services. The Division coordinates the Department's response to agency personnel operations and develops and administers a variety of tests for State positions, including oral, performance, training and experience and performance assessment tests.
- The Testing Services Division develops, administers and validates all State and local written tests.
- The Division of Classification and Compensation determines appropriate job titles for agency functions and salary levels for existing and new positions.
- The Division of Personnel Services encompasses the Employee Benefits Division and the Employee Health Service program. The Employee Benefits Division administers health, dental, life, vision, disability and accident insurance programs for State employees and participating local governments. Responsibilities include contracting with insurance companies and other vendors to deliver services, financial management of these programs, communicating plan provisions to enrollees, assisting enrollees to resolve disputed claims and maintaining enrollment information and accounting for nearly \$2.1 billion in annual premiums.
- The Municipal Service Division assists 105 local civil service agencies in classifying positions, interpreting laws and rules and, together with the Testing Division, providing selection devices and examinations.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$54,672,500	\$54,032,200	-\$640,300
Aid To Localities
Capital Projects
Total	<u>\$54,672,500</u>	<u>\$54,032,200</u>	<u>-\$640,300</u>	<u>. . . .</u>

**ALL FUND TYPES
LEVELS OF EMPLOYMENT BY PROGRAM
ANNUAL SALARIED POSITIONS**

1999-00 Recommended Average Fill Level

<u>Program</u>	<u>Available 1998-99</u>	<u>Personal Service (Regular)</u>	<u>Maintenance Undistributed</u>	<u>Total Recommended 1999-00</u>	<u>Change</u>
Administration and Information Management					
General Fund	109	94	15	109
Internal Service Funds	23	23	23
Personnel Benefit Services					
General Fund	39	39	39
Internal Service Funds	172	177	6	183	+ 11
Personnel Management Services					
General Fund	259	252	252	-7
Internal Service Funds	21	21	21
Local Civil Service					
General Fund	17	17	17
Subtotal, Direct Funded Programs	640	623	21	644	+ 4
Suballocations:					
General Fund	21			21
Internal Service Funds	3			-3
Total	664			665	+ 1

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	\$27,449,500	\$28,404,200	+ \$954,700
Special Revenue Funds — Other	496,000	1,000,000	+ 504,000
Internal Service Funds	26,292,000	24,193,000	-2,099,000
Fiduciary Funds	435,000	435,000
Total	\$54,672,500	\$54,032,200	-\$640,300

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Administration and Information Management			
General Fund	\$10,030,500	\$10,648,900	+ \$618,400
Internal Service Funds	2,095,000	2,145,000	+ 50,000
Personnel Benefit Services			
General Fund	1,899,300	1,971,400	+ 72,100
Special Revenue Funds — Other	496,000	500,000	+ 4,000
Internal Service Funds	21,696,000	19,563,000	-2,133,000
Fiduciary Funds	435,000	435,000
Personnel Management Services			
General Fund	14,616,300	14,866,800	+ 250,500
Special Revenue Funds — Other	500,000	+ 500,000
Internal Service Funds	2,501,000	2,485,000	-16,000
Local Civil Service			
General Fund	903,400	917,100	+ 13,700
Total	\$54,672,500	\$54,032,200	-\$640,300

CIVIL SERVICE

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration and Information Management	\$4,659,300	+ \$319,200	\$4,656,500	+ \$319,200
Personnel Benefit Services	1,881,200	+ 72,100	1,851,400	+ 72,100	\$28,300
Personnel Management Services	12,907,500	+ 250,500	12,072,300	+ 250,500	819,400
Local Civil Service	881,000	+ 13,700	880,300	+ 13,700
Total	\$20,329,000	+ \$655,500	\$19,460,500	+ \$655,500	\$847,700

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration and Information Management	\$2,800
Personnel Benefit Services	1,500
Personnel Management Services	15,800
Local Civil Service	700
Total	\$20,800

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Information Management	\$5,989,600	+ \$299,200	\$44,500
Personnel Benefit Services	90,200	38,300
Personnel Management Services	1,959,300	108,200
Local Civil Service	36,100	6,400
Total	\$8,075,200	+ \$299,200	\$197,400

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Information Management	\$32,100	\$4,360,700	+ \$299,200
Personnel Benefit Services	9,600	27,500
Personnel Management Services	116,100	1,719,000
Local Civil Service	11,000	18,700
Total	\$168,800	\$6,125,900	+ \$299,200

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Information Management	\$1,552,300
Personnel Benefit Services	\$14,800
Personnel Management Services	16,000
Local Civil Service
Total	\$30,800	\$1,552,300

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Information				
Management	\$2,145,000	+ \$50,000	\$1,178,000	+ \$36,000
Personnel Benefit Services	20,498,000	-2,129,000	7,200,000	+ 434,000
Personnel Management Services	2,985,000	+ 484,000
Total	<u>\$25,628,000</u>	<u>-\$1,595,000</u>	<u>\$8,378,000</u>	<u>+ \$470,000</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Information				
Management	\$967,000	+ \$14,000
Personnel Benefit Services	4,442,000	+ 1,322,000	\$8,856,000	-\$3,885,000
Personnel Management Services	2,985,000	+ 484,000
Total	<u>\$5,409,000</u>	<u>+ \$1,336,000</u>	<u>\$11,841,000</u>	<u>-\$3,401,000</u>

CONSUMER PROTECTION BOARD

MISSION

The Consumer Protection Board (CPB) was created to protect the rights of the State's consumers. The CPB represents consumers in utility rate cases and related proceedings; advises the Governor on consumer issues; helps draft legislation that protects consumers; handles consumer complaints and promotes consumer education.

ORGANIZATION AND STAFFING

The Consumer Protection Board consists of two units: Utility Intervention and Consumer Advocacy. The Utility Intervention Unit is staffed by attorneys and experts in the field of economics, engineering, finance, and accounting. The Advocacy Unit employs specialists in the field of consumer law, complaint handling, consumer education, and research. The CPB is located in Albany, with satellite offices in Rochester and New York City. For 1999-2000 the Consumer Protection Board will have a workforce of 32.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Consumer Protection Board is financed primarily from an assessment on utility companies operating within the State. The assessment provides 76 percent of CPB's funding and State tax dollars from the General Fund provides 16 percent. The balance of the Consumer Protection Board's funding is derived from the Long Island Power Authority (LIPA), which will reimburse CPB for its activities related to LIPA's customers.

The Executive Budget recommends approximately \$2.8 million for the Consumer Protection Board for 1999-2000 including over \$442,000 in General Fund support and \$2.3 million in funding provided from utilities.

PROGRAM HIGHLIGHTS

The Utility Intervention Unit is responsible for representing residential and commercial customers in rate proceedings before the Public Service Commission (PSC). The Board participates in proceedings involving telephone, electric, gas and water utilities as well as generic proceedings to formulate regulation to protect the interests of consumers as the various industries work toward deregulation. These are on-going proceedings to shape the future of regulation. The Board's participation in these proceedings offers the consumers' perspective and assists regulators in developing policies that balance the interests of all parties. A sample of the on-going proceedings include telephone as local service opens to competition, and metering and other issues for gas and electric as incumbent utilities are no longer the sole service provider. Proceedings dealing with the divestiture of assets are conducted on an individual utility basis as the need arises.

In addition, during 1999 many of the State's utilities are required to greatly expand the amount of electricity subject to competition. Many of these issues will require efforts to educate the public so that they may make informed decisions. The Board will undertake outreach activities relative to natural gas and telephone in conjunction with PSC activities in those areas, which will be similar to our efforts in electricity.

The Consumer Advocacy Unit mediates disputes between consumers and businesses, analyzes legislation affecting consumers, investigates consumer-related issues, and conducts education programs. The Consumer Advocacy Unit received over 9,100 complaints and inquiries during 1998, a 38 percent increase over 1997.

CONSUMER PROTECTION

ALL FUNDS APPROPRIATIONS

Category	Available	Appropriations	Change	Reappropriations
	1998-99	Recommended 1999-00		Recommended 1999-00
State Operations	\$2,813,100	\$2,791,400	-\$21,700
Aid To Localities
Capital Projects
Total	\$2,813,100	\$2,791,400	-\$21,700

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Consumer Protection					
General Fund	7	7	7
Utility Intervention					
Special Revenue Funds — Other	25	25	25
Total	32	32	32

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$413,500	\$442,500	+ \$29,000
Special Revenue Funds — Other	2,399,600	2,348,900	-50,700
Total	\$2,813,100	\$2,791,400	-\$21,700

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Consumer Protection			
General Fund	\$413,500	\$442,500	+ \$29,000
Utility Intervention			
Special Revenue Funds — Other	2,399,600	2,348,900	-50,700
Total	\$2,813,100	\$2,791,400	-\$21,700

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Consumer Protection	\$299,600	+ \$29,000	\$299,600	+ \$29,000
Total	\$299,600	+ \$29,000	\$299,600	+ \$29,000

CONSUMER PROTECTION

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Amount</u>	<u>Total</u>	<u>Change</u>	<u>Supplies and Materials</u>	<u>Amount</u>	<u>Change</u>
Consumer Protection	\$142,900		\$13,000	
Total	<u>\$142,900</u>		<u>. . . .</u>	<u>\$13,000</u>		<u>. . . .</u>

<u>Program</u>	<u>Amount</u>	<u>Travel</u>	<u>Change</u>	<u>Contractual Services</u>	<u>Amount</u>	<u>Change</u>
Consumer Protection	\$24,500		\$97,400	
Total	<u>\$24,500</u>		<u>. . . .</u>	<u>\$97,400</u>		<u>. . . .</u>

<u>Program</u>	<u>Amount</u>	<u>Equipment</u>	<u>Change</u>
Consumer Protection	\$8,000	
Total	<u>\$8,000</u>		<u>. . . .</u>

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Amount</u>	<u>Total</u>	<u>Change</u>	<u>Personal Service</u>	<u>Amount</u>	<u>Change</u>
Utility Intervention	\$2,348,900		-\$50,700	\$1,547,400		-\$36,800
Total	<u>\$2,348,900</u>		<u>-\$50,700</u>	<u>\$1,547,400</u>		<u>-\$36,800</u>

<u>Program</u>	<u>Amount</u>	<u>Nonpersonal Service</u>	<u>Change</u>	<u>Maintenance Undistributed</u>	<u>Amount</u>	<u>Change</u>
Utility Intervention	\$791,500		-\$13,900	\$10,000	
Total	<u>\$791,500</u>		<u>-\$13,900</u>	<u>\$10,000</u>		<u>. . . .</u>

STATE BOARD OF ELECTIONS

MISSION

The New York State Board of Elections executes and enforces all laws relating to the elective franchise and oversees the disclosure of campaign financing and practices.

ORGANIZATION AND STAFFING

The State Board of Elections is comprised of four commissioners, two chosen by each major political party, and is supported by a workforce of 42. The Board administers provisions of the Election Law regarding campaign financial disclosure, including civil judgments levied for failure to file disclosure documents; oversees the petitioning process and certification of ballots; investigates allegations of criminal violations of the Election Law and recommends prosecution where warranted; and certifies electronic voting machines purchased by local Boards of Elections and tests each machine upon delivery. The Board also assists County Boards of Elections by completing administrative reviews, assisting in resolving complaints, and producing reports and recommendations.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Board receives more than 99 percent of its funding from the General Fund and less than 1 percent from a fee imposed upon prospective vendors of electronic voting machines and ballot-counting devices.

The Executive Budget recommends \$3.1 million in General Fund support for the Board of Elections. This recommended funding will permit the Board to continue to carry out its various responsibilities related to the electoral process, including the Board's voter outreach activities and its interaction with local Boards of Elections.

PROGRAM HIGHLIGHTS

Over the past 24 years, the scope of the Board's services has grown to include providing legal counsel to 62 County Boards of Election, administering registration efforts, providing technical assistance to administrators of elections, and investigating violations of the Election Law.

A priority of the Board is expanding the use of modern technology in both its own operations and those of local boards. In 1997, the agency began a two year initiative to develop an automated system for the electronic filing of campaign financial disclosure statements which will become operational in January 1999. This effort will promote timely disclosure of campaign information to the public by making the data available on the Internet.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$3,107,300	\$3,107,000	-\$300
Aid To Localities
Capital Projects
Total	\$3,107,300	\$3,107,000	-\$300

ELECTIONS

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Regulation of Elections General Fund	46	46	46
Total	46	46	46

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$3,067,300	\$3,087,000	+ \$19,700
Special Revenue Funds — Other	40,000	20,000	-20,000
Total	\$3,107,300	\$3,107,000	-\$300

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Regulation of Elections General Fund	\$3,067,300	\$3,087,000	+ \$19,700
Special Revenue Funds — Other	40,000	20,000	-20,000
Total	\$3,107,300	\$3,107,000	-\$300

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Regulation of Elections	\$2,087,000	+ \$51,700	\$2,087,000	+ \$51,700
Total	\$2,087,000	+ \$51,700	\$2,087,000	+ \$51,700

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Amount</u>	<u>Total</u>		<u>Contractual Services</u>	
		<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Regulation of Elections	\$1,000,000		-\$32,000	\$733,000	-\$32,000
Total	<u>\$1,000,000</u>		<u>-\$32,000</u>	<u>\$733,000</u>	<u>-\$32,000</u>

<u>Program</u>	<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>
Regulation of Elections	\$267,000
Total	<u>\$267,000</u>	<u>. . . .</u>

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Regulation of Elections	\$20,000	-\$20,000	\$20,000	-\$20,000
Total	<u>\$20,000</u>	<u>-\$20,000</u>	<u>\$20,000</u>	<u>-\$20,000</u>

OFFICE OF EMPLOYEE RELATIONS

MISSION

The Office of Employee Relations (OER) serves as the Governor's agent in carrying out the State's labor relations responsibilities as an employer in accordance with the Public Employees' Fair Employment Act (the Taylor Law).

ORGANIZATION AND STAFFING

Under the administration of a Director appointed by the Governor, the agency is located in Albany. OER will have a workforce of 95 positions for 1999-2000, 46 of which are funded by State tax dollars from the General Fund and are involved in negotiating the collective bargaining agreements. State tax dollars also support another 49 positions involved in implementing collectively bargained programs.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends about \$6.3 million for the Office of Employee Relations, which includes \$3.8 million in General Fund moneys. This funding will provide continued support for the Office's primary mission of negotiating and administering collective bargaining agreements.

Funding from the Office's other sources include:

- \$2,047,400 in charges to the Collective Bargaining Agreements to support statewide employee training and other developmental programs and the cost of administering these bargaining agreements; and
- Revenues of \$450,000 received from non-General Fund agencies to reimburse the Office for providing training programs and collective bargaining services provided by the Office. These revenues also include payments from the National Association of State Directors of Employee Relations to support the operations of that organization.

PROGRAM HIGHLIGHTS

The Office of Employee Relations, which is commemorating its 30th year as an agency, represents the Governor in Executive Branch collective bargaining negotiations with eight public employee unions, assists State agencies to interpret and administer the negotiated agreements, and represents the State in hearings and arbitrations before the Public Employment Relations Board. The agency's focus for the remainder of this fiscal year and into next fiscal year will be to negotiate new collective bargaining agreements.

The Office of Employee Relations also is the Executive Branch's in-house consulting agency for advancing total quality concepts and improving the productivity of State government. Currently, the agency is working with more than 25 agencies on various organizational development and improvement initiatives. The Office is further charged with designing and administering statewide training programs, developing policy and providing oversight for several employee benefit programs.

In its capacity as the Governor's labor relations agent, the Office continues to be instrumental in providing direction for workforce management and, through labor management partnerships, working with the unions to mitigate the impact of structural changes aimed at improving the efficiency of State government. The Office is also making

EMPLOYEE RELATIONS

a concerted effort to provide and enhance a consistent labor relations philosophy in all departments and agencies. This is being accomplished by promoting labor-management cooperation in resolving issues and workplace problems and by increasing the use of technology for better communication of common issues and concerns.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$6,162,000	\$6,281,800	+ \$119,800
Aid To Localities
Capital Projects
Total	<u>\$6,162,000</u>	<u>\$6,281,800</u>	<u>+ \$119,800</u>	<u>. . . .</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	Personal Service (Regular)	1999-00 Recommended Average Fill Level		Change
			Maintenance Undistributed	Total Recommended 1999-00	
Contract Negotiation and Administration					
General Fund	40	40	40
Internal Service Funds	49	49	49
Management Confidential Affairs					
General Fund	6	6	6
Total	<u>95</u>	<u>95</u>	<u>. . . .</u>	<u>95</u>	<u>. . . .</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$3,732,400	\$3,784,400	+ \$52,000
Special Revenue Funds — Other	400,000	450,000	+ 50,000
Internal Service Funds	2,029,600	2,047,400	+ 17,800
Total	<u>\$6,162,000</u>	<u>\$6,281,800</u>	<u>+ \$119,800</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Contract Negotiation and Administration			
General Fund	\$2,819,400	\$2,855,400	+ \$36,000
Special Revenue Funds — Other	400,000	450,000	+ 50,000
Internal Service Funds	2,029,600	2,047,400	+ 17,800
Management Confidential Affairs			
General Fund	913,000	929,000	+ 16,000
Total	<u>\$6,162,000</u>	<u>\$6,281,800</u>	<u>+ \$119,800</u>

EMPLOYEE RELATIONS

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Contract Negotiation and Administration	\$2,603,500	+ \$36,000	\$2,589,200	+ \$36,000	\$11,700
Management Confidential Affairs	323,300	+ 16,000	322,800	+ 16,000
Total	\$2,926,800	+ \$52,000	\$2,912,000	+ \$52,000	\$11,700

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Contract Negotiation and Administration	\$2,600
Management Confidential Affairs	500
Total	\$3,100

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Amount	Total		Supplies and Materials	
		Change	Amount	Change	
Contract Negotiation and Administration	\$251,900	\$33,900	
Management Confidential Affairs	605,700	18,300	
Total	\$857,600	\$52,200	

Program	Amount	Travel		Contractual Services	
		Change	Amount	Change	
Contract Negotiation and Administration	\$30,400	\$187,600	
Management Confidential Affairs	13,500	27,900	
Total	\$43,900	\$215,500	

Program	Maintenance Undistributed	
	Amount	Change
Contract Negotiation and Administration
Management Confidential Affairs	\$546,000
Total	\$546,000

EMPLOYEE RELATIONS

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	\$2,497,400	+ \$67,800	\$1,728,700	+ \$17,800
Total	<u>\$2,497,400</u>	<u>+ \$67,800</u>	<u>\$1,728,700</u>	<u>+ \$17,800</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	\$318,700	\$450,000	+ \$50,000
Total	<u>\$318,700</u>	<u>. . . .</u>	<u>\$450,000</u>	<u>+ \$50,000</u>

EXECUTIVE CHAMBER

MISSION

The Executive Chamber is the Office of the Governor and includes the immediate staff that assists in managing State government.

ORGANIZATION AND STAFFING

The Office of the Governor is located in the State Capitol in Albany. This budget represents programs directly related to the Governor's Office and is supported by General Fund revenues.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 1999-2000 Executive Budget recommends a General Fund appropriation of \$16.1 million, an increase of \$3.7 million from 1998-99. This recommendation includes funding for the continuing improvement of the Chamber's computers and related technology and for the ongoing costs of the Moreland Act Commission. A fiduciary fund appropriation of \$100,000 is also requested to permit the use of private grants within the Office of Community Affairs.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$12,376,000	\$16,089,400	+ \$3,713,400
Aid To Localities
Capital Projects
Total	<u>\$12,376,000</u>	<u>\$16,089,400</u>	<u>+ \$3,713,400</u>	<u>. . . .</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Executive Chamber General Fund	177	177	177
Total	<u>177</u>	<u>177</u>	<u>. . . .</u>	<u>177</u>	<u>. . . .</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$12,276,000	\$15,989,400	+ \$3,713,400
Fiduciary Funds	100,000	100,000
Total	<u>\$12,376,000</u>	<u>\$16,089,400</u>	<u>+ \$3,713,400</u>

EXECUTIVE CHAMBER

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Executive Chamber			
General Fund	\$12,276,000	\$15,989,400	+ \$3,713,400
Fiduciary Funds	100,000	100,000
Total	<u>\$12,376,000</u>	<u>\$16,089,400</u>	<u>+ \$3,713,400</u>

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Executive Chamber	\$8,667,400	+ \$211,400	\$8,481,900	+ \$211,400	\$74,000
Total	<u>\$8,667,400</u>	<u>+ \$211,400</u>	<u>\$8,481,900</u>	<u>+ \$211,400</u>	<u>\$74,000</u>	<u>.....</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Executive Chamber	\$111,500
Total	<u>\$111,500</u>	<u>.....</u>

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Chamber	\$7,322,000	+ \$3,502,000	\$172,700
Total	<u>\$7,322,000</u>	<u>+ \$3,502,000</u>	<u>\$172,700</u>	<u>.....</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Chamber	\$217,100	\$2,178,500
Total	<u>\$217,100</u>	<u>.....</u>	<u>\$2,178,500</u>	<u>.....</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Chamber	\$31,200	\$4,722,500	+ \$3,502,000
Total	<u>\$31,200</u>	<u>.....</u>	<u>\$4,722,500</u>	<u>+ \$3,502,000</u>

EXECUTIVE CHAMBER

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Total		Maintenance Undistributed	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Executive Chamber	<u>\$100,000</u>	<u>. . . .</u>	<u>\$100,000</u>	<u>. . . .</u>
Total	<u>\$100,000</u>	<u>. . . .</u>	<u>\$100,000</u>	<u>. . . .</u>

EXECUTIVE CHAMBER

LIEUTENANT GOVERNOR, OFFICE OF

**ALL FUNDS
APPROPRIATIONS**

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$353,600	\$457,200	+ \$103,600
Aid To Localities
Capital Projects
Total	\$353,600	\$457,200	+ \$103,600

**ALL FUND TYPES
LEVELS OF EMPLOYMENT BY PROGRAM
ANNUAL SALARIED POSITIONS**

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Office of the Lieutenant Governor					
General Fund	8	8	8
Total	8	8	8

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$353,600	\$457,200	+ \$103,600
Total	\$353,600	\$457,200	+ \$103,600

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

Program	Available 1998-99	Recommended 1999-00	Change
Office of the Lieutenant Governor			
General Fund	\$353,600	\$457,200	+ \$103,600
Total	\$353,600	\$457,200	+ \$103,600

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Amount	Total Change	Maintenance Undistributed Amount	Change
Office of the Lieutenant Governor	\$457,200	+ \$103,600	\$457,200	+ \$103,600
Total	\$457,200	+ \$103,600	\$457,200	+ \$103,600

OFFICE OF GENERAL SERVICES

MISSION

The Office of General Services (OGS) was established in 1960 to consolidate into a single agency state-of-the-art, cost efficient support services required to operate a changing and increasingly complex State government. These included telecommunications and computer systems, building design and construction, leasing, facility maintenance, purchasing of goods and services, and other basic support services, including mail, parking, printing and surplus property.

In recent years, these activities have continued and evolved to meet State government's changing needs. Many additional responsibilities have been added to make the Office the central "housekeeping" agency for the State. Among these more recent responsibilities are the purchasing of new technologies, participating in the statewide financing of various types of equipment, and overseeing the distribution of surplus Federal food to public and private organizations.

The Office supports the operations of State agencies by providing voice and data communication; centralized information processing; space planning and leasing; real property management and maintenance; centralized contracting for commodities, services and printing; employee and visitor parking management; and interagency mail and courier assistance. The Office also helps local governments reduce their operating costs by distributing federally donated foods and surplus equipment to them, and by offering them the use of its centralized commodity and service contracts.

ORGANIZATION AND STAFFING

The Office is headed by a Commissioner, who is appointed by the Governor, with a central, executive staff and is structured around customer-focused, business enterprises: Real Property Management and Development; Information Technology and Procurement; Design and Construction; and Interagency Services. The Office will have a workforce of 2,172 positions for 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends \$446.3 million for the Office, which includes support of \$207.8 million in State tax dollars from the General Fund. This recommendation also includes \$232.5 million in user fees charged to State agencies and other governmental entities that utilize OGS central and other support services, and \$6 million in Federal funding. Most of this funding supports the operation of State office buildings, rehabilitation and other projects aimed at preserving or extending the useful life of office buildings, and the provision of centralized services to State agencies. The change in General Fund support reflects normal salary adjustments offset by reduced requirements for contractual services. The additional Internal Services funding is attributable to increased usage of the EmpireNet by several agencies.

Recommended funding for the Capital Projects Budget includes support for critical projects at the State Capitol and for the modernization of State office buildings in the Capital District. Most of the increase in the Capital Projects Budget results from the Office completing its program for replacing petroleum storage tanks at State facilities. To meet the Federal requirement for New York and other states to replace their storage tanks by December 22, 1998, the Office moved appropriations in 1998-99 from its office building program to the petroleum storage tank program. Recommended funding for 1999-2000 will ensure that the Office maintains its current spending for the upkeep and preservation of office buildings.

GENERAL SERVICES

PROGRAM HIGHLIGHTS

EXECUTIVE DIRECTION

Employees in this group provide the day-to-day leadership of the agency, and assure that Administration policies are reflected in the Office's programs and services. In addition, this group helps State agencies acquire vehicle insurance, administers financing the State equipment purchasing program and coordinates the centralized purchasing of electricity.

REAL PROPERTY MANAGEMENT AND DEVELOPMENT

This program is responsible for providing for the safe and efficient operation of approximately 50 major and 70 ancillary State-owned and operated buildings across the State. Services provided by this program include building management, operation, maintenance, cleaning and security for facilities encompassing 17.7 million interior gross square feet with a replacement value estimated at \$4.4 billion. Employees assigned to this program also manage building renovation projects, administer service contracts for the maintenance and operation of certain heating, ventilation and air conditioning systems, and negotiate agreements as needed for leased space. The Office stepped up its effort in 1996-97 to improve the upkeep of the State Capitol and, in cooperation with the Commission on the Restoration of the Capitol, undertook a study of critical rehabilitation needs that is guiding ongoing improvements.

The Office is also making a major capital investment in office facilities in the Albany area. The need for this effort results from years of under-investment, and the related need for State offices to accommodate modern technological and other requirements. This program includes new office buildings for the Department of Environmental Conservation and the Office of the State Comptroller, a new parking garage in downtown Albany, and the modernization of the Alfred E. Smith Office Building and selected buildings at the Harriman State Office Campus.

TECHNOLOGY AND PROCUREMENT

This group provides State agencies with state-of-the-art voice and data communications services, and manages the statewide procurement of goods, services and commodities having an annual value of \$1.5 billion. In carrying out these responsibilities, this group provides centralized information processing services and establishes standards for each commodity purchased by the Office by developing detailed specifications, evaluating bids, and monitoring vendor performance and quality control. Eligible local governments, schools and not-for-profit organizations may use these centralized contracts, which generally offer the best prices because of the State's purchasing power, to reduce their own operating costs.

DESIGN AND CONSTRUCTION

This group provides architectural, engineering, planning, design and construction management services to State agencies operating State-owned facilities. This group provides these services to over 20 agencies, and has projects valued at approximately \$300 million underway in design and \$500 million underway in construction.

GENERAL SERVICES

INTERAGENCY SERVICES

Employees in this group provide and manage the delivery of interagency mail, employee and visitor parking, and the State's fleet of vehicles, and transfer of Federal and State surplus property. These employees also warehouse federally donated food and distribute it to school districts and food pantries.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$330,499,000	\$350,450,300	+ \$19,951,300	\$3,250,000
Aid To Localities
Capital Projects	53,500,000	95,800,000	+ 42,300,000	95,728,000
Total	\$383,999,000	\$446,250,300	+ \$62,251,300	\$98,978,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Executive Direction					
General Fund	130	129	129	-1
Internal Service Funds	18	18	18
Real Property Management and Development					
General Fund	976	974	974	-2
Special Revenue Funds — Other	49	49	49
Enterprise Funds	14	14	14
Internal Service Funds	106	106	106
Design and Construction					
Internal Service Funds	491	491	491
Information Technology and Procurement					
General Fund	133	132	132	-1
Special Revenue Funds — Other	15	15	15
Internal Service Funds	243	243	243
Capital Planning					
Capital Project Funds	1	1	1
Total	2,176	2,172	2,172	-4

GENERAL SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$111,942,000	\$111,987,300	+ \$45,300
Special Revenue Funds — Federal	6,050,000	6,050,000
Special Revenue Funds — Other	11,183,000	16,648,000	+ 5,465,000
Enterprise Funds	1,054,000	1,153,000	+ 99,000
Internal Service Funds	198,916,000	213,658,000	+ 14,742,000
Fiduciary Funds	1,354,000	954,000	-400,000
Total	<u>\$330,499,000</u>	<u>\$350,450,300</u>	<u>+ \$19,951,300</u>
Adjustments:			
Transfer(s) From			
Debt Service			
Debt Service Funds	<u>-3,000,000</u>		
Appropriated 1998-99	<u>\$327,499,000</u>		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Executive Direction			
General Fund	\$11,252,100	\$12,609,200	+ \$1,357,100
Enterprise Funds	54,000	56,000	+ 2,000
Internal Service Funds	42,523,000	40,186,000	-2,337,000
Fiduciary Funds	504,000	704,000	+ 200,000
Real Property Management and Development			
General Fund	93,870,700	92,778,400	-1,092,300
Special Revenue Funds — Other	5,193,000	13,380,000	+ 8,187,000
Enterprise Funds	1,000,000	1,097,000	+ 97,000
Internal Service Funds	9,110,000	8,784,000	-326,000
Fiduciary Funds	850,000	250,000	-600,000
Design and Construction			
General Fund	325,000	-325,000
Internal Service Funds	43,137,000	43,261,000	+ 124,000
Information Technology and Procurement			
General Fund	6,494,200	6,599,700	+ 105,500
Special Revenue Funds — Federal	6,050,000	6,050,000
Special Revenue Funds — Other	5,990,000	3,268,000	-2,722,000
Internal Service Funds	<u>104,146,000</u>	<u>121,427,000</u>	<u>+ 17,281,000</u>
Total	<u>\$330,499,000</u>	<u>\$350,450,300</u>	<u>+ \$19,951,300</u>

GENERAL SERVICES

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Executive Direction	\$6,026,900	+ \$182,100	\$5,891,700	+ \$179,800	\$116,000	+ \$2,000
Real Property Management and Development	33,069,300	+ 807,700	32,369,300	+ 807,700
Information Technology and Procurement	6,086,700	+ 105,500	6,048,500	+ 104,900	23,900	+ 400
Total	\$45,182,900	+ \$1,095,300	\$44,309,500	+ \$1,092,400	\$139,900	+ \$2,400

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Executive Direction	\$19,200	+ \$300
Real Property Management and Development	700,000
Information Technology and Procurement	14,300	+ 200
Total	\$733,500	+ \$500

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	\$6,582,300	+ \$1,175,000	\$212,700
Real Property Management and Development	59,709,100	-1,200,000	6,663,100
Information Technology and Procurement	513,000	43,500
Total	\$66,804,400	-\$25,000	\$6,919,300

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	\$87,900	\$2,058,900
Real Property Management and Development	262,200	51,355,400	-\$1,200,000
Information Technology and Procurement	23,300	439,500
Total	\$373,400	\$53,853,800	-\$1,200,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	\$47,800	\$4,175,000	+ \$1,175,000
Real Property Management and Development	1,428,400
Information Technology and Procurement	6,700
Total	\$1,482,900	\$4,175,000	+ \$1,175,000

GENERAL SERVICES

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	\$40,946,000	-\$2,135,000	\$935,000	+ \$48,000
Real Property Management and Development	23,511,000	+ 7,358,000	5,182,000	-1,101,000
Design and Construction	43,261,000	+ 124,000	24,678,000	-128,000
Information Technology and Procurement	130,745,000	+ 14,559,000	11,358,000	-702,000
Total	\$238,463,000	+ \$19,906,000	\$42,153,000	-\$1,883,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	\$40,011,000	-\$2,183,000
Real Property Management and Development	18,079,000	+ 9,059,000	\$250,000	-\$600,000
Design and Construction	18,583,000	+ 252,000
Information Technology and Procurement	119,387,000	+ 15,261,000
Total	\$196,060,000	+ \$22,389,000	\$250,000	-\$600,000

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Programs	Available 1998-99	Recommended 1999-00	Change	Reappropriations 1999-00
Flood Disaster Restoration Program Capital Projects Fund	\$3,000,000
Maintenance and Improvement of Real Property Facilities Capital Projects Fund	\$31,500,000	\$73,800,000	+ \$42,300,000	42,027,000
Capital Projects Fund - Advances	5,902,000
Design and Construction Supervision Capital Projects Fund	10,000,000	21,500,000	+ 11,500,000	17,398,000
Petroleum Storage Tank Capital Projects Fund	12,000,000	500,000	-11,500,000	27,104,000
Federal Capital Projects Fund	297,000
Total	\$53,500,000	\$95,800,000	+ \$42,300,000	\$95,728,000

OFFICE OF THE STATE INSPECTOR GENERAL

MISSION

The Office of the State Inspector General is responsible for detecting, investigating, eliminating and deterring fraud, corruption, criminal activity, conflicts of interest and abuse in State government. Its mission is designed to ensure that State government maintains the highest standards of integrity and accountability.

ORGANIZATION AND STAFFING

The Office of the State Inspector General was fundamentally restructured pursuant to Executive Order 39 issued by the Governor in June, 1996. The Executive Order provides for the consolidation of most of the State's inspector general activities in a single Office that replaces what were formerly separate, semi-independent deputy inspectors general based in the agencies they served. Headed by the Inspector General who is appointed by the Governor, the Office is headquartered in Albany and has offices in New York City and Buffalo. It will have a workforce of 95 in 1999-2000.

FISCAL BACKGROUND AND HIGHLIGHTS

The 1999-2000 Executive Budget recommends a General Fund appropriation of \$4.7 million and \$1.3 million in support coming primarily from certain public authorities.

PROGRAM HIGHLIGHTS

In 1997, the Office acted on more than 1,000 complaints of fraud, abuse and criminal activity and opened approximately 400 investigations. The Office has focused its investigative efforts on major cases of contract irregularities, bribery and other serious allegations of corruption that have led to over 70 arrests and the identification of millions of dollars in State funds obtained through fraud. In addition, the Office has established an investigative presence in the areas of corrections and parole which has yielded significant results.

Category	ALL FUNDS APPROPRIATIONS			
	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$6,123,100	\$5,975,000	-\$148,100
Aid To Localities
Capital Projects
Total	<u>\$6,123,100</u>	<u>\$5,975,000</u>	<u>-\$148,100</u>	<u>. . . .</u>

STATE INSPECTOR GENERAL

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Inspector General					
General Fund	76	76	76
Special Revenue Funds — Other	19	19	19
Total	95	95	95

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$4,823,100	\$4,675,000	-\$148,100
Special Revenue Funds — Other	1,300,000	1,300,000
Total	\$6,123,100	\$5,975,000	-\$148,100

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Inspector General			
General Fund	\$4,823,100	\$4,675,000	-\$148,100
Special Revenue Funds — Other	1,300,000	1,300,000
Total	\$6,123,100	\$5,975,000	-\$148,100

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Inspector General	\$4,091,000	+ \$33,900	\$4,091,000	+ \$33,900
Total	\$4,091,000	+ \$33,900	\$4,091,000	+ \$33,900

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Contractual Services	
	Amount	Change	Amount	Change
Inspector General	\$584,000	-\$182,000	\$584,000	-\$182,000
Total	\$584,000	-\$182,000	\$584,000	-\$182,000

STATE INSPECTOR GENERAL

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Inspector General	\$1,300,000	\$968,000
Total	<u>\$1,300,000</u>	<u>. . . .</u>	<u>\$968,000</u>	<u>. . . .</u>

<u>Program</u>	<u>Nonpersonal Service</u>	
	<u>Amount</u>	<u>Change</u>
Inspector General	\$332,000
Total	<u>\$332,000</u>	<u>. . . .</u>

INSURANCE DEPARTMENT

MISSION

The Insurance Department, which was established January 1, 1860, is charged with regulating the insurance industry and with ensuring that the interests of insurance consumers, companies, and producers are balanced. Specific statutory responsibilities include approving the formation, consolidation or merger of insurance organizations, monitoring the financial stability of insurers, overseeing the testing and licensing of agents, adjusters, consultants and insurance intermediaries, and disciplining licensees who violate the Insurance Law or regulations.

ORGANIZATION AND STAFFING

The Insurance Department is headed by a Superintendent, who is appointed by the Governor. The Department maintains offices in Albany and New York City and local offices in Mineola, Rochester, Syracuse and Buffalo. The Department's activities are carried out through three programs: Administration, Regulation, and Consumer Services. The Department will have a workforce of 946 positions for 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Insurance Department is funded by assessments on domestic insurance carriers and by examination fees. These moneys fully support the operations of the Department as well as insurance-specific operations in other agencies.

The Executive Budget recommends \$98.5 million for the Insurance Department, which will fund the Department's current level of activity and provide \$12.2 million to the Department of State for costs associated with fire prevention efforts and enforcement of state building code regulations.

The Budget will enable the Department to further its regulatory efforts in the area of managed care and to continue to detect instances of fraud and abuse in the health insurance industry.

PROGRAM HIGHLIGHTS

The Department ensures that insurance companies meet statutory requirements regarding their financial condition and corporate conduct by monitoring the financial condition of companies and conducting periodic field examinations of insurers. It strives for the fair treatment of policy holders, claimants, and the public through the regulation of company claims and sales practices, and its timely responses to consumer complaints.

The Department promotes high standards of ethical conduct and technical knowledge through oversight of testing, pre-licensing and continuing education of insurers and agents. It maintains a registry of all licensees, collects fees and imposes fines related to the revocation of licenses and irregular activities.

Reflecting the dynamic and changing environment confronting the insurance industry, the Department has reformed and rescinded over half its regulations and eased the process by which companies are licensed and new insurance products approved. Additionally, the Department will continue to implement several new laws in the areas of health insurance coverage, consumer protection of policy holders and insurance for residents of coastal areas. Finally, the Department continues to work closely with the Banking Department to assist Holocaust victims and their families to identify and recover assets from foreign financial institutions.

INSURANCE

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$98,612,800	\$98,521,000	-\$91,800	\$500,000
Aid To Localities
Capital Projects
Total	<u>\$98,612,800</u>	<u>\$98,521,000</u>	<u>-\$91,800</u>	<u>\$500,000</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
Special Revenue Funds — Other	133	133	133
Regulation					
Special Revenue Funds — Other	727	706	706	-21
Consumer Services					
Special Revenue Funds — Other	107	107	107
Total	<u>967</u>	<u>946</u>	<u>.....</u>	<u>946</u>	<u>-21</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
Special Revenue Funds — Other	\$98,612,800	\$98,521,000	-\$91,800
Total	\$98,612,800	<u>\$98,521,000</u>	<u>-\$91,800</u>
Adjustments:			
Transfer(s) From			
Banking Department			
General Fund	-210,000		
State, Department of			
Special Revenue Funds — Other	-1,575,800		
Appropriated 1998-99	<u>\$96,827,000</u>		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Administration			
Special Revenue Funds — Other	\$9,617,600	\$10,080,800	+\$463,200
Regulation			
Special Revenue Funds — Other	82,608,900	81,838,400	-770,500
Consumer Services			
Special Revenue Funds — Other	6,386,300	6,601,800	+ 215,500
Total	<u>\$98,612,800</u>	<u>\$98,521,000</u>	<u>-\$91,800</u>

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$10,080,800	+ \$463,200	\$7,010,100	+ \$349,600
Regulation	81,838,400	-770,500	38,181,400	+ 410,800
Consumer Services	6,601,800	+ 215,500	4,638,900	+ 197,400
Total	<u>\$98,521,000</u>	<u>-\$91,800</u>	<u>\$49,830,400</u>	<u>+ \$957,800</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$3,070,700	+ \$113,600
Regulation	29,211,100	-772,000	\$14,445,900	-\$409,300
Consumer Services	1,962,900	+ 18,100
Total	<u>\$34,244,700</u>	<u>-\$640,300</u>	<u>\$14,445,900</u>	<u>-\$409,300</u>

DEPARTMENT OF LAW

MISSION

The Department of Law was created in 1926 and is headed by the State Attorney General, who is elected by the people. The Department is responsible for protecting the legal rights of New York State and its citizens by representing the State in litigation and in other legal affairs.

In implementing its constitutional mandate, the Department performs a wide range of responsibilities. Major activities of the Department include prosecuting or defending all actions and proceedings for or against the State and its departments; prosecuting certain criminal violations of the Labor, Workers' Compensation, and Unemployment Insurance laws; investigating and prosecuting other criminal cases at the request of the Governor or the commissioners of State departments; investigating the activities of organized crime; bringing civil and/or criminal actions against polluters, violators of antitrust laws, and those who defraud consumers or investors; mediating consumer complaints; investigating Medicaid fraud; and defending the legal and civil rights of New Yorkers.

ORGANIZATION AND STAFFING

The legal functions of the Department of Law are divided into major divisions which comprise bureaus dealing with specific legal issues and cases. These are the Divisions of Appeals and Opinions, Criminal, State Counsel, Public Advocacy, and Regional Offices.

The Department's main office is located in Albany. The Department also has a major office in the City of New York and regional offices in Binghamton, Poughkeepsie, Syracuse, Buffalo, Plattsburgh, Rochester, Watertown, and Mineola and in Suffolk and Westchester counties. The Department will have a workforce of 1,700 positions for 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends \$137.6 million for the Department. This recommendation includes \$87.9 million in tax dollars from the General Fund, which will finance 64 percent of these expenses for 1999-2000. Additional support for 1999-2000 will be provided by:

- Federal funding of \$25.7 million that finance Medicaid fraud efforts and that fund 19 percent of the Department's budget;
- Revenues of \$18.5 million related to the collection of non-tax debt and litigation settlements comprise 13 percent of the Department's budget; and
- The remaining 4 percent of the Department's expenses are funded with \$5.5 million consisting of assets seized as part of criminal prosecution activities, anti-trust cases and Medicaid fraud recoveries.

The General Fund recommendation includes about \$1.1 million for payments on certificates of participation issued mainly for computer equipment. This recommendation also includes \$917,000 for the annualized cost of staff approved in 1998-99 for increased litigation workload and the Department's technology operations.

PROGRAM HIGHLIGHTS

Agency divisions perform the following functions:

- The Administration Division serves as the Support Service and Business Management arm of the Attorney General by providing budget, personnel, operations, and technology management activities for the agency.

- The Division of Appeals and Opinions handles appellate litigation in both State and Federal courts, determines which cases warrant appeal and the arguments to be advanced in these cases on behalf of the State of New York. The Division also prepares opinions, both formal and informal, interpreting State laws for State agencies and municipalities.
- The Criminal Division investigates and prosecutes criminal cases, including those involving medicaid fraud dealing with health care crime and organized crime involving multi-county, multi-state and even multi-national criminal activities occurring within New York State.
- The Division of State Counsel provides State agencies, the Governor, other State officials and the Legislature with counsel and representation in legal proceedings. It recoups non-tax revenue on behalf of State taxpayers and provides legal assistance to State agencies in connection with the acquisition and disposition of public land. It also enforces State Labor and Workers Compensation Laws and defends and prosecutes actions in defense of the State.
- The Division of Public Advocacy defends and protects the public interest in the courts. It enforces laws to prevent trade restraint and promotes competition, protects charitable donors and beneficiaries from unscrupulous practices in charitable asset management, and enforces laws prohibiting discrimination. It also protects consumers from fraudulent, misleading, deceptive and illegal trade practices, enforces laws enacted to prevent environmental damage and protects the public by regulating sales of investment securities.
- The Regional Offices program provides mini-satellite offices of the main agency offices in all regions of the State to afford State residents enhanced service delivery.

**ALL FUNDS
APPROPRIATIONS**

<u>Category</u>	<u>Available 1998-99</u>	<u>Appropriations Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations Recommended 1999-00</u>
State Operations	\$137,616,200	\$137,124,400	-\$491,800	\$47,074,100
Aid To Localities	405,500	437,800	+ 32,300
Capital Projects
Total	<u>\$138,021,700</u>	<u>\$137,562,200</u>	<u>-\$459,500</u>	<u>\$47,074,100</u>

**ALL FUND TYPES
LEVELS OF EMPLOYMENT BY PROGRAM
ANNUAL SALARIED POSITIONS**

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
General Fund	137	146	146	+ 9
Counsel for the State					
General Fund	271	280	280	+ 9
Special Revenue Funds — Other	95	95	95
Internal Service Funds	109	109	109
Appeals and Opinions					
General Fund	68	66	66	-2
Public Advocacy					
General Fund	270	265	265	-5
Criminal Prosecutions					
General Fund	169	164	164	-5
Regional Offices					
General Fund	159	154	154	-5
Medicaid Fraud Control					
General Fund	73	73	73
Special Revenue Funds — Federal	244	269	269	+ 25
Subtotal, Direct Funded Programs	1,595	1,512	109	1,621	+ 26
Suballocations:					
General Fund	27			27
Special Revenue Funds — Federal	7			7
Special Revenue Funds — Other	52			45	-7
Total	1,681			1,700	+ 19

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$83,842,600	\$87,485,400	+ \$3,642,800
Special Revenue Funds — Federal	24,683,000	25,660,000	+ 977,000
Special Revenue Funds — Other	22,595,700	17,374,000	-5,221,700
Internal Service Funds	6,494,900	6,605,000	+ 110,100
Total	\$137,616,200	\$137,124,400	-\$491,800

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

Program	Available 1998-99	Recommended 1999-00	Change
Administration			
General Fund	\$16,150,200	\$18,251,900	+ \$2,101,700
Special Revenue Funds — Other	4,800,000	-4,800,000
Counsel for the State			
General Fund	22,009,400	23,391,000	+ 1,381,600
Special Revenue Funds — Other	11,575,100	11,874,000	+ 298,900
Internal Service Funds	6,494,900	6,605,000	+ 110,100
Appeals and Opinions			
General Fund	4,355,700	4,286,700	-69,000
Public Advocacy			
General Fund	15,734,000	16,150,700	+ 416,700
Special Revenue Funds — Other	500,000	500,000
Criminal Prosecutions			
General Fund	11,570,300	11,753,500	+ 183,200
Special Revenue Funds — Other	2,500,000	2,500,000
Regional Offices			
General Fund	10,041,900	9,749,300	-292,600
Medicaid Fraud Control			
General Fund	3,981,100	3,902,300	-78,800
Special Revenue Funds — Federal	24,683,000	25,660,000	+ 977,000
Special Revenue Funds — Other	3,220,600	2,500,000	-720,600
Total	<u>\$137,616,200</u>	<u>\$137,124,400</u>	<u>-\$491,800</u>

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$6,506,300	+ \$429,700	\$6,488,900	+ \$429,300
Counsel for the State	16,838,000	+ 448,600	15,697,000	+ 429,000	\$1,141,000	+ \$19,600
Appeals and Opinions	3,634,600	-69,000	3,634,600	-69,000
Public Advocacy	14,561,800	+ 416,700	14,485,000	+ 415,400	76,800	+ 1,300
Criminal Prosecutions	9,512,600	+ 183,200	9,429,600	+ 181,800	83,000	+ 1,400
Regional Offices	7,963,900	-292,600	7,963,900	-292,600
Medicaid Fraud Control	3,913,100	-78,800	3,859,400	-78,800	53,700
Total	<u>\$62,930,300</u>	<u>+ \$1,037,800</u>	<u>\$61,558,400</u>	<u>+ \$1,015,100</u>	<u>\$1,354,500</u>	<u>+ \$22,300</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$17,400	+ \$400
Counsel for the State
Appeals and Opinions
Public Advocacy
Criminal Prosecutions
Regional Offices
Medicaid Fraud Control
Total	<u>\$17,400</u>	<u>+ \$400</u>

STATE OPERATIONS — GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$11,745,600	+ \$1,672,000	\$91,600
Counsel for the State	6,553,000	+ 933,000	159,500
Appeals and Opinions	652,100	344,200
Public Advocacy	1,588,900	78,300
Criminal Prosecutions	2,240,900	69,200
Regional Offices	1,785,400	18,900
Medicaid Fraud Control	2,489,200	34,600
Total	\$27,055,100	+ \$2,605,000	\$796,300

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$65,900	\$11,328,800	+ \$1,672,000
Counsel for the State	369,500	5,872,500	+ 933,000
Appeals and Opinions	28,500	277,100
Public Advocacy	75,800	1,299,300
Criminal Prosecutions	297,600	1,849,300
Regional Offices	212,700	1,517,800
Medicaid Fraud Control	38,900	960,100
Total	\$1,088,900	\$23,104,900	+ \$2,605,000

Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration	\$259,300
Counsel for the State	151,500
Appeals and Opinions	2,300
Public Advocacy	135,500
Criminal Prosecutions	24,800
Regional Offices	36,000
Medicaid Fraud Control	4,900	\$1,450,700
Total	\$614,300	\$1,450,700

STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	-\$4,800,000
Counsel for the State	\$18,479,000	+ 409,000	\$6,611,000	+ \$161,500
Public Advocacy	500,000
Criminal Prosecutions	2,500,000
Medicaid Fraud Control	28,160,000	+ 256,400	14,614,000	+ 355,800
Total	\$49,639,000	-\$4,134,600	\$21,225,000	+ \$517,300

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	-\$4,800,000
Counsel for the State	\$5,263,000	+ \$137,400	\$6,605,000	+ 110,100
Public Advocacy	500,000
Criminal Prosecutions	2,500,000
Medicaid Fraud Control	11,046,000	+ 701,200	2,500,000	-800,600
Total	\$16,809,000	+ \$838,600	\$11,605,000	-\$5,490,500

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	<u>\$405,500</u>	<u>\$437,800</u>	<u>+ \$32,300</u>
Total	<u>\$405,500</u>	<u>\$437,800</u>	<u>+ \$32,300</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Criminal Prosecutions General Fund	<u>\$405,500</u>	<u>\$437,800</u>	<u>+ \$32,300</u>
Total	<u>\$405,500</u>	<u>\$437,800</u>	<u>+ \$32,300</u>

TEMPORARY STATE COMMISSION ON LOBBYING

MISSION

The Temporary State Commission on Lobbying is responsible for monitoring, maintaining and making public the identities, activities, and expenditures of lobbyists, clients and public corporations attempting to influence the legislative, regulatory and rule- and rate-making actions of elected State officials and agency decision makers. The Commission is also authorized to hold hearings and impose civil penalties. A final responsibility of the Commission is to collect, maintain, and make public the records of appearances before regulatory State agencies.

ORGANIZATION AND STAFFING

The Lobbying Act sets forth the administrative and enforcement responsibilities of the Commission. Under the guidance of a six-member bipartisan board appointed by the Governor, with advice from the legislative leaders, these responsibilities are carried out by a workforce of nine.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Commission receives 94 percent of its support from State tax dollars from the General Fund. The remaining 6 percent of its operations are funded by civil penalty fines assessed on lobbyists for violations of the Lobbying Law.

The Executive Budget recommends \$579,000 in General Fund support for the Commission. This recommended funding will allow the Commission to continue its present level of oversight activity.

PROGRAM HIGHLIGHTS

In 1997, the Commission's oversight responsibilities included 2,100 registered lobbyists, 1,250 clients of lobbyists, and 50 public corporations which reported lobbying expenses of \$51.4 million. The Commission's monitoring responsibilities encompassed both registered and unregistered special interest groups. These groups were active on over 18,000 bills before the Legislature and 2,150 rules, regulations and rate applications involving State agencies.

In 1996, the Commission established a web site on the Internet to further increase the public's access to lobbying information. The web site has been accessed more than 830,000 times through the end of September 1998. A scanning and imaging system allows for almost same day processing of incoming registrations and reports.

Category	ALL FUNDS APPROPRIATIONS			
	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$606,200	\$619,000	+ \$12,800
Aid To Localities
Capital Projects
Total	\$606,200	\$619,000	+ \$12,800

LOBBYING

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Operations					
General Fund	9	9	9
Total	9	9	9

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$566,200	\$579,000	+ \$12,800
Special Revenue Funds — Other	40,000	40,000
Total	\$606,200	\$619,000	+ \$12,800

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Operations			
General Fund	\$566,200	\$579,000	+ \$12,800
Special Revenue Funds — Other	40,000	40,000
Total	\$606,200	\$619,000	+ \$12,800

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Operations	\$503,000	+ \$12,800	\$503,000	+ \$12,800
Total	\$503,000	+ \$12,800	\$503,000	+ \$12,800

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Amount	Total		Contractual Services	
		Change	Amount	Change	
Operations	\$76,000	\$76,000	
Total	\$76,000	\$76,000	

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Operations	<u>\$40,000</u>	<u>. . . .</u>	<u>\$40,000</u>	<u>. . . .</u>
Total	<u>\$40,000</u>	<u>. . . .</u>	<u>\$40,000</u>	<u>. . . .</u>

PUBLIC EMPLOYMENT RELATIONS BOARD

MISSION

The Public Employment Relations Board resolves labor disputes between public employers and employees. The Board provides mediation, fact-finding and arbitration in contract disputes for approximately 4,000 negotiating units in New York. In addition, the Board settles questions of union representation, conducts hearings on charges of improper practices, designates Management/Confidential positions, and acts as a clearinghouse for information on wages, benefits and employment practices.

ORGANIZATION AND STAFFING

The Board consists of a full-time Chair and two part-time members nominated by the Governor for six-year terms. The Board's jurisdiction includes State, county, and local governments, certain special service districts, school districts and public authorities. Central offices are in Albany, with additional staff in Buffalo and New York City. The Board will have a workforce of 41 positions for 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Board receives 94 percent of its funding from the General Fund, which supports its mediation, fact-finding, and arbitration services and administrative costs.

The Executive Budget recommends \$3.3 million in General Fund support for the Board, and the Board's remaining expenses of \$192,500 are financed by fees paid by public employers and unions for filings and publications.

PROGRAM HIGHLIGHTS

The Board provides three principal services: conciliation, settlement of petitions involving representation, and rulings on charges of improper practices.

CONCILIATION

The Board provided assistance in approximately 435 of the 2,700 State and local contracts negotiated in 1997-98. The Board has followed many of these cases through the full range of impasse resolution steps: mediation, followed by either fact-finding or arbitration and conciliation.

REPRESENTATION

Through its Office of Public Employment Practices and Representation, the Board reviews all petitions from public employee unions and employers requesting the creation of new negotiating units or the transfer of members between units. It also reviews requests to remove positions from negotiating units and may designate them management or confidential. In 1998-99, the Board expects to receive approximately 150 petitions raising questions about representation and to conduct 20 elections for representation.

PUBLIC EMPLOYMENT RELATIONS

EMPLOYMENT PRACTICES

The Board conducts hearings and renders decisions on improper practice charges, and expects to receive petitions on approximately 1,400 charges of improper employment and negotiating practices in the coming year. In 1997-98, the agency wrote over 300 decisions and closed, either by decision or settlement, over 1,100 improper practice cases. Each case must be addressed in a pre-hearing conference attended by the affected parties. If a case cannot be resolved, a Board administrative law judge must rule on the charge after conducting a formal hearing.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$3,419,600	\$3,499,900	+ \$80,300
Aid To Localities
Capital Projects
Total	<u>\$3,419,600</u>	<u>\$3,499,900</u>	<u>+ \$80,300</u>	<u>. . . .</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level		Total Recommended 1999-00	Change
		Personal Service (Regular)	Maintenance Undistributed		
Administration					
General Fund	39	39	39
Special Revenue Funds — Other	2	2	2
Total	<u>41</u>	<u>41</u>	<u>. . . .</u>	<u>41</u>	<u>. . . .</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$3,227,100	\$3,307,400	+ \$80,300
Special Revenue Funds — Other	192,500	192,500
Total	<u>\$3,419,600</u>	<u>\$3,499,900</u>	<u>+ \$80,300</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Administration			
General Fund	\$3,227,100	\$3,307,400	+ \$80,300
Special Revenue Funds — Other	192,500	192,500
Total	<u>\$3,419,600</u>	<u>\$3,499,900</u>	<u>+ \$80,300</u>

PUBLIC EMPLOYMENT RELATIONS

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

<u>Program</u>	<u>Total Personal Service Amount</u>	<u>Change</u>	<u>Personal Service Regular (Annual Salaried) Amount</u>	<u>Change</u>	<u>Temporary Service (Nonannual Salaried) Amount</u>	<u>Change</u>
Administration	\$2,910,800	+ \$68,700	\$2,543,800	+ \$57,900	\$363,900	+ \$10,700
Total	<u>\$2,910,800</u>	<u>+ \$68,700</u>	<u>\$2,543,800</u>	<u>+ \$57,900</u>	<u>\$363,900</u>	<u>+ \$10,700</u>

<u>Program</u>	<u>Holiday/Overtime Pay (Annual Salaried) Amount</u>	<u>Change</u>
Administration	\$3,100	+ \$100
Total	<u>\$3,100</u>	<u>+ \$100</u>

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

<u>Program</u>	<u>Amount</u>	<u>Total Change</u>	<u>Supplies and Materials Amount</u>	<u>Change</u>
Administration	\$396,600	+ \$11,600	\$30,900	+ \$900
Total	<u>\$396,600</u>	<u>+ \$11,600</u>	<u>\$30,900</u>	<u>+ \$900</u>

<u>Program</u>	<u>Amount</u>	<u>Travel Change</u>	<u>Contractual Services Amount</u>	<u>Change</u>
Administration	\$51,500	+ \$1,500	\$114,200	+ \$9,200
Total	<u>\$51,500</u>	<u>+ \$1,500</u>	<u>\$114,200</u>	<u>+ \$9,200</u>

<u>Program</u>	<u>Maintenance Undistributed Amount</u>	<u>Change</u>
Administration	\$200,000
Total	<u>\$200,000</u>	<u>. . . .</u>

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

<u>Program</u>	<u>Amount</u>	<u>Total Change</u>	<u>Personal Service Amount</u>	<u>Change</u>
Administration	\$192,500	\$50,000
Total	<u>\$192,500</u>	<u>. . . .</u>	<u>\$50,000</u>	<u>. . . .</u>

<u>Program</u>	<u>Nonpersonal Service Amount</u>	<u>Change</u>
Administration	\$142,500
Total	<u>\$142,500</u>	<u>. . . .</u>

OFFICE OF REAL PROPERTY SERVICES

MISSION

The Office of Real Property Services is charged with the general supervision of local property tax administration in New York. The Office plays a major role in developing the State's property tax policy and helps local governments with property tax administration pursuant to constitutional provisions for the supervision, review and equalization of local assessments for purposes of taxation.

ORGANIZATION AND STAFFING

The Office of Real Property Services is headed by the State Board of Real Property Services, a five-member Board appointed by the Governor, and its day-to-day administration is conducted by a Board-appointed Executive Director. The agency has a central office in Albany and five regional offices in Batavia, Syracuse, Newburgh, Melville and Saranac Lake. Recent initiatives have successfully streamlined the agency's operations and management structure.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 1999-2000 Executive Budget will support a staffing level of 476 positions for the Office of Real Property Services. Approximately 78 percent of these positions will be supported by State tax dollars from the General Fund and the remaining 22 percent will be supported by various fees.

General Fund appropriations of \$22.7 million will finance 62 percent of the Office's \$37 million operating budget in 1999-2000. Three revenue accounts support the remaining 38 percent: the Improvement of Real Property Tax Administration Account; the Industrial and Utility Services Account; and the Local Services Account. These accounts are funded from the State's share of the real property transfer recording fee, chargebacks for assessments made on industrial, utility and railroad property and charges for miscellaneous consulting and computing services and products.

Budget recommendations for the Office of Real Property Services for 1999-2000 include:

- Funds for administrative, technical and financial assistance to local governments for the administration of the School Tax Relief (STAR) initiative;
- Resources necessary to redesign the property tax system to ensure that taxpayers receive clear, complete and timely information about assessments and taxes on their properties; and
- Financial aid to local governments to improve assessment administration and to reimburse local assessors for the cost of attending required training courses.

PROGRAM HIGHLIGHTS

The Office of Real Property Services is involved with all State-level responsibilities relating to the real property tax, which represents the largest revenue source for counties, municipalities, school districts and special districts. Accordingly, the Office has programs to determine the full market value of taxable real property in each municipality, to provide policy guidance as well as technical and financial assistance to local assessing units and to assist other State agencies with information and administrative support in areas that relate to property tax policy or administration.

REAL PROPERTY SERVICES

DETERMINATION OF MUNICIPAL FULL VALUE

State laws permit New York's local governments to select the percentage of full value they use in assessing properties. However, the Office of Real Property Services establishes equalization rates and ratios to estimate a uniform measure of full value for approximately 1,000 assessing units every year. As a part of recent streamlining efforts, the agency has implemented a new, simplified approach to the preparation of equalization rates by increasing its reliance on accurate and up-to-date data from local governments. The agency's shift in emphasis from labor-intensive property appraisals to cooperative efforts with local governments, which began in 1996-97, has produced annual savings of approximately \$2.5 million.

ASSISTANCE AND SERVICES TO LOCAL GOVERNMENTS

Since many municipal assessing units are very small-scale operations, local property tax administrators are dependent on the Office of Real Property Services. Centralized services to assessors and taxing jurisdictions include administrative, legal, and technical assistance. In helping municipalities, the Office works closely with county offices of real property tax services, many of which have developed programs for coordinating reassessment projects on a county-wide basis.

In furthering the State-local partnership in property tax administration, the Office also administers State aid programs to help municipalities defray the cost of creating and maintaining equitable assessment rolls. "Maintenance aid" provides partial State reimbursement to local governments for costs associated with revaluation of properties and to encourage local governments to maintain quality assessment data. "Consolidation aid" provides State funding as an incentive to promote increased cost efficiency through the consolidation of smaller assessing units. Since 1994, over 430 local assessing units have implemented revaluations or updates to their assessment rolls; and 383 of them received financial and technical assistance from the Office of Real Property Services. In this same period, 44 towns in 12 separate counties have formed coordinated assessment programs.

Through the Office of Real Property Services, the State also provides advisory appraisals for certain complex properties such as utilities and large manufacturing plants. Advisory appraisals not only help localities conduct such specialized assessments, they also promote uniformity in appraisal procedures.

As the State-level coordinating agency for implementation of the STAR initiative, the Office works with local assessing units to ensure that the full benefits of this statewide tax exemption program reach all eligible taxpayers. To assist in these efforts, the Office administers a grant program which defrays the cost of processing applications for STAR exemptions by local assessing units.

OTHER SERVICES AND RESPONSIBILITIES

The Office of Real Property Services maintains inventory and valuation data on approximately 3.5 million acres of State-owned land that are subject to local taxation. Local assessments on taxable State-owned properties are scrutinized by the agency and either certified for payment or brought to administrative and judicial review, as appropriate.

In conjunction with the State Education Department and the Department of Taxation and Finance, the Office is responsible for administering the School District Income Verification program. This program verifies and corrects the school district codes reported on personal income tax returns to accurately assign personal income to school districts. These data are then used as a measure of local fiscal capacity in school aid formulas.

REAL PROPERTY SERVICES

Special assessments are statutorily authorized for agricultural properties, transportation properties owned by railroad companies and oil and gas wells. The Office establishes values of agricultural land, ceilings on assessments of railroad properties and values of oil- and gas-producing facilities.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$39,501,000	\$37,037,200	-\$2,463,800
Aid To Localities	21,929,000	18,911,000	-3,018,000
Capital Projects
Total	<u>\$61,430,000</u>	<u>\$55,948,200</u>	<u>-\$5,481,800</u>	<u>. . . .</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Policy and Organizational Support Services					
General Fund	233	189	35	224	-9
Special Revenue Funds — Other	36	32	32	-4
Regional Operations					
General Fund	146	140	140	-6
Special Revenue Funds — Other	75	75	75
Income Verification					
General Fund	5	5	5
Total	<u>495</u>	<u>441</u>	<u>35</u>	<u>476</u>	<u>-19</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$26,145,300	\$22,686,100	-\$3,459,200
Special Revenue Funds — Other	13,355,700	14,351,100	+ 995,400
Total	<u>\$39,501,000</u>	<u>\$37,037,200</u>	<u>-\$2,463,800</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Policy and Organizational Support Services			
General Fund	\$19,330,800	\$16,061,100	-\$3,269,700
Special Revenue Funds — Other	4,391,500	4,389,100	-2,400
Regional Operations			
General Fund	6,566,500	6,381,500	-185,000
Special Revenue Funds — Other	8,964,200	9,962,000	+ 997,800
Income Verification			
General Fund	248,000	243,500	-4,500
Total	<u>\$39,501,000</u>	<u>\$37,037,200</u>	<u>-\$2,463,800</u>

REAL PROPERTY SERVICES

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Policy and Organizational Support Services	\$9,234,300	-\$269,700	\$9,234,300	-\$258,100	-\$11,600
Regional Operations	6,295,000	-185,000	6,295,000	-176,000	-9,000
Income Verification	193,500	-4,500	193,500	-4,500
Total	\$15,722,800	-\$459,200	\$15,722,800	-\$438,600	-\$20,600

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Policy and Organizational Support Services	\$6,826,800	-\$3,000,000	\$252,700
Regional Operations	86,500	68,400
Income Verification	50,000
Total	\$6,963,300	-\$3,000,000	\$321,100

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Policy and Organizational Support Services	\$368,200	\$2,010,800
Regional Operations	18,100
Income Verification
Total	\$386,300	\$2,010,800

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Policy and Organizational Support Services	\$695,100	\$3,500,000	-\$3,000,000
Regional Operations
Income Verification	50,000
Total	\$745,100	\$3,500,000	-\$3,000,000

REAL PROPERTY SERVICES

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Policy and Organizational				
Support Services	\$4,389,100	-\$2,400	\$2,882,000
Regional Operations	9,962,000	+ 997,800	3,450,000
Total	\$14,351,100	+ \$995,400	\$6,332,000
Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Policy and Organizational				
Support Services	\$1,507,100	-\$2,400
Regional Operations	5,512,000	-2,200	\$1,000,000	+ \$1,000,000
Total	\$7,019,100	-\$4,600	\$1,000,000	+ \$1,000,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$21,929,000	\$18,911,000	-\$3,018,000
Total	\$21,929,000	\$18,911,000	-\$3,018,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Policy and Organizational Support Services			
General Fund	\$21,861,000	\$18,911,000	-\$2,950,000
Other Community Projects			
General Fund	68,000	-68,000
Total	\$21,929,000	\$18,911,000	-\$3,018,000

GOVERNOR'S OFFICE OF REGULATORY REFORM

MISSION

The Governor's Office of Regulatory Reform was restructured in 1995 and charged with the promotion of private sector job growth in New York through the review and reform of State regulations. The Office creates a positive climate for job growth by reviewing and streamlining regulations, expediting regulatory approval and improving attitudes of regulators toward those affected by State regulations. Since 1994-95, the Office has substantively reviewed and/or eliminated nearly 1,300 rules which, together with other regulatory, statutory and permitting reforms, has saved the State's businesses \$1.7 billion in operating and other expenses.

ORGANIZATION AND STAFFING

Led by a Director appointed by the Governor, the Office is located in Albany. Agency objectives are achieved through its Business Permits Assistance and Regulatory Review programs. The Office will have a workforce of 38 positions for 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Office's operations are supported by State tax dollars from the General Fund. The Executive Budget recommends \$2.5 million in support for the Office to maintain its current effort to streamline the State's regulatory process. The recommended funding will also support a sophisticated database, which provides businesses with comprehensive information on the permits related to starting or expanding a business.

PROGRAM HIGHLIGHTS

REGULATORY REVIEW

The Office reviews both the need for proposed new and revised regulations and their economic impact. Staff are continuing efforts begun in 1994-95 to review all existing State agency regulations to identify those that hamper the growth of business and jobs in New York State. The Office requires regulating agencies to analyze the costs and benefits of any proposed new or amended rule and to consider the effect of the regulatory change on job creation and retention, public health, safety and welfare. Recommendations are also made to agencies to help them simplify their regulatory processes.

BUSINESS PERMITS ASSISTANCE

The Business Permits Assistance program provides comprehensive information about permits required to operate businesses in New York State, in addition to consulting with local governments to better coordinate local permit applications. Program staff also assist agencies in streamlining and simplifying permit procedures required for business and local government undertakings. This program has provided assistance since 1994 to almost 200,000 individuals or firms interested in starting or growing a business in New York. This effort was facilitated by the development in 1995 of a master application form for complex business ventures and a comprehensive permit reform program in 1997 which already is expected to generate over \$50 million in savings to New York businesses.

REGULATORY REFORM

ALL FUNDS APPROPRIATIONS

Category	Available	Appropriations	Change	Reappropriations
	1998-99	Recommended 1999-00		Recommended 1999-00
State Operations	\$2,743,300	\$2,468,800	-\$274,500
Aid To Localities
Capital Projects
Total	\$2,743,300	\$2,468,800	-\$274,500

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration General Fund	38	38	38
Total	38	38	38

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$2,743,300	\$2,468,800	-\$274,500
Total	\$2,743,300	\$2,468,800	-\$274,500

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Administration General Fund	\$2,743,300	\$2,468,800	-\$274,500
Total	\$2,743,300	\$2,468,800	-\$274,500

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$2,074,100	+ \$114,000	\$2,069,400	+ \$113,900	\$4,700	+ \$100
Total	\$2,074,100	+ \$114,000	\$2,069,400	+ \$113,900	\$4,700	+ \$100

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Amount</u>	Total <u>Change</u>	Supplies and Materials <u>Amount</u>	<u>Change</u>
Administration	\$394,700	-\$388,500	\$51,500	+ \$1,500
Total	<u>\$394,700</u>	<u>-\$388,500</u>	<u>\$51,500</u>	<u>+ \$1,500</u>

<u>Program</u>	<u>Amount</u>	Travel <u>Change</u>	Contractual Services <u>Amount</u>	<u>Change</u>
Administration	\$51,500	+ \$1,500	\$190,550	-\$394,450
Total	<u>\$51,500</u>	<u>+ \$1,500</u>	<u>\$190,550</u>	<u>-\$394,450</u>

<u>Program</u>	<u>Amount</u>	Equipment <u>Change</u>
Administration	\$101,150	+ \$2,950
Total	<u>\$101,150</u>	<u>+ \$2,950</u>

DEPARTMENT OF STATE

MISSION

The Department of State's mission is to coordinate programs with and provide services to local governments and businesses. The Department protects the public's safety by managing arson, fire prevention, building and energy code programs; assists the public by providing ombudsman services; administers programs for community development and local government service activities; and supports businesses through various licensing and registration activities.

ORGANIZATION AND STAFFING

The Department is headed by the Secretary of State, who is appointed by the Governor and confirmed by the Senate. The Department's central office is located in Albany. It also has 18 regional offices across the State and operates the Academy of Fire Science in Montour Falls, Schuyler County. The Department of State will have a workforce of 813 positions for 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Approximately 32 percent of the Department's operations and local aid programs are funded from fees and other income, 52 percent are funded with Federal grants, and State tax dollars from the General Fund support the remaining 16 percent. The Executive Budget recommends funding of \$98.2 million for the Department of State; \$81.6 million in Federal funding and fee revenues, and \$16.6 million in General Fund support.

Major recommendations include:

- Expedited filing and retrieval services in the Bureau of Corporations to assure New York's business community rapid access to and dissemination of financial information.
- Funding of \$1.4 million to implement legislation authorizing the adoption of model fire prevention, building and energy codes.
- Implementing the 1998 Hearing Aid Dispenser Act requiring the licensing of audiologists.
- Funding of \$5 million for a Revitalization Projects and Infrastructure Renewal (RePAIR) program to assist cities with demolishing and clearing abandoned buildings and vacated industrial and commercial structures.

PROGRAM HIGHLIGHTS

The responsibilities of the Department of State are carried out through three programs:

- The Local Government and Community Service Program includes the Office of Fire Prevention and Control which works with paid and volunteer fire companies on arson investigations and the handling of hazardous material, and the Division of Code Enforcement and Administration which administers New York's building and energy code. In addition to these activities, staff provide ombudsman services to citizens, offer planning and management services to local governments through its Office for Local Government, support land use planning activities in the New York City/Catskill Watershed, coordinate New York's coastal resources and waterfront revitalization activities, provide low interest loans to fire and ambulance companies through the Emergency Services Revolving Loan Program and administer the Department's federal grant programs, including the Appalachian Regional Commission and Community Services Block Grants.

STATE

- The Business and Licensing Services Program provides information on credit and debt for individuals, businesses and corporations; tracks all certificates of incorporation; administers qualifying examinations and license of 13 occupations; and oversees the operation of almost 1,900 cemeteries.
- The Administration Program provides the basic executive direction, fiscal, personnel and electronic data processing activities that support the Department's operations.

Additionally, the Department's budget contains funds for the Lake George Park Commission, the State Ethics Commission, the State Athletic Commission, the Commission on Uniform State Laws, and the Tug Hill Commission.

ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$41,701,600	\$43,487,800	+ \$1,786,200	\$8,040,800
Aid To Localities	62,405,808	53,400,000	-9,005,808	50,067,100
Capital Projects	1,055,000	1,317,000	+ 262,000	1,008,000
Total	<u>\$105,162,408</u>	<u>\$98,204,800</u>	<u>-\$6,957,608</u>	<u>\$59,115,900</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1998-99	1999-00 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
General Fund	46	46	46
Local Government and Community Services					
General Fund	44	44	44
Special Revenue Funds — Federal	75	75	75
Special Revenue Funds — Other	28	16	16	-12
Fiduciary Funds	2	2	2
State Ethics Commission					
General Fund	21	21	21
Licensing Services					
General Fund	17	17	17
Special Revenue Funds — Other	418	412	412	-6
Lake George Park Commission					
Special Revenue Funds — Other	10	10	10
Tug Hill Commission					
General Fund	16	16	16
Clean Water/Clean Air Administration Program					
Capital Project Funds	6	6	6
Subtotal, Direct Funded Programs	<u>683</u>	<u>665</u>	<u>. . . .</u>	<u>665</u>	<u>-18</u>
Suballocations:					
General Fund	4			4
Special Revenue Funds — Federal	3			3
Special Revenue Funds — Other	118			137	+ 19
Capital Project Funds	4			4
Total	<u>812</u>			<u>813</u>	<u>+ 1</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	\$10,000,800	\$9,923,500	-\$77,300
Special Revenue Funds — Federal	6,100,000	6,215,000	+ 115,000
Special Revenue Funds — Other	25,600,800	27,349,300	+ 1,748,500
Total	\$41,701,600	<u>\$43,487,800</u>	<u>+ \$1,786,200</u>
Adjustments:			
Transfer(s) From			
Budget, Division of the			
General Fund	-80,600		
Transfer(s) To			
Insurance Department			
Special Revenue Funds — Other	+ 1,575,800		
Appropriated 1998-99	<u>\$43,196,800</u>		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Administration			
General Fund	\$4,316,000	\$4,298,000	-\$18,000
Local Government and Community Services			
General Fund	2,812,300	2,717,900	-94,400
Special Revenue Funds — Federal	6,100,000	6,215,000	+ 115,000
Special Revenue Funds — Other	1,131,800	2,606,800	+ 1,475,000
State Ethics Commission			
General Fund	1,434,300	1,460,000	+ 25,700
Licensing Services			
General Fund	673,400	641,300	-32,100
Special Revenue Funds — Other	23,483,100	23,775,900	+ 292,800
Lake George Park Commission			
Special Revenue Funds — Other	973,300	954,000	-19,300
Tug Hill Commission			
General Fund	764,800	806,300	+ 41,500
Special Revenue Funds — Other	12,600	12,600
Total	<u>\$41,701,600</u>	<u>\$43,487,800</u>	<u>+ \$1,786,200</u>

STATE

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$2,766,600	-\$26,600	\$2,757,400	-\$26,600	\$2,900
Local Government and Community Services	2,173,300	-94,400	2,153,100	-94,400	20,200
State Ethics Commission	1,167,600	+ 25,700	1,135,700	+ 25,700	21,000
Licensing Services	547,600	-32,100	547,600	-32,100
Tug Hill Commission	699,200	+ 41,500	699,200	+ 41,500
Total	\$7,354,300	-\$85,900	\$7,293,000	-\$85,900	\$44,100

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$6,300
Local Government and Community Services
State Ethics Commission	10,900
Licensing Services
Tug Hill Commission
Total	\$17,200

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$1,531,400	+ \$8,600	\$171,000
Local Government and Community Services	544,600	14,700
State Ethics Commission	292,400	26,100
Licensing Services	93,700	10,400
Tug Hill Commission	107,100	7,700
Total	\$2,569,200	+ \$8,600	\$229,900

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$37,600	\$1,229,900
Local Government and Community Services	160,300	368,600
State Ethics Commission	44,000	186,500
Licensing Services	1,100	78,700
Tug Hill Commission	17,900	79,400
Total	\$260,900	\$1,943,100

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$3,700	\$89,200	+ \$8,600
Local Government and Community Services	1,000
State Ethics Commission	35,800
Licensing Services	3,500
Tug Hill Commission	2,100
Total	\$46,100	\$89,200	+ \$8,600

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Local Government and Community				
Services	\$8,821,800	+ \$1,590,000	\$3,915,700	+ \$79,500
Licensing Services	23,775,900	+ 292,800	13,927,900	-113,200
Lake George Park Commission	954,000	-19,300	483,500	-14,400
Tug Hill Commission	12,600
Total	<u>\$33,564,300</u>	<u>+ \$1,863,500</u>	<u>\$18,327,100</u>	<u>-\$48,100</u>

Program	Nonpersonal Service		Maintenance	Undistributed
	Amount	Change		
Local Government and Community				
Services	\$3,315,500	+ \$69,900	\$1,590,600	+ \$1,440,600
Licensing Services	9,848,000	+ 406,000
Lake George Park Commission	470,500	-4,900
Tug Hill Commission	12,600
Total	<u>\$13,646,600</u>	<u>+ \$471,000</u>	<u>\$1,590,600</u>	<u>+ \$1,440,600</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$14,065,808	\$5,350,000	-\$8,715,808
Special Revenue Funds — Federal	43,040,000	45,150,000	+ 2,110,000
Special Revenue Funds — Other	300,000	300,000
Fiduciary Funds	5,000,000	2,600,000	-2,400,000
Total	\$62,405,808	<u>\$53,400,000</u>	<u>-\$9,005,808</u>
Adjustments:			
Transfer(s) From			
Local Government Assistance			
General Fund	-350,000		
Appropriated 1998-99	<u>\$62,055,808</u>		

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

Program	Available 1998-99	Recommended 1999-00	Change
Local Government and Community Services			
General Fund	\$3,713,420	\$5,350,000	+ \$1,636,580
Special Revenue Funds — Federal	43,040,000	45,150,000	+ 2,110,000
Fiduciary Funds	5,000,000	2,600,000	-2,400,000
Licensing Services			
Special Revenue Funds — Other	300,000	300,000
Legislative Initiatives			
General Fund	10,352,388	-10,352,388
Total	<u>\$62,405,808</u>	<u>\$53,400,000</u>	<u>-\$9,005,808</u>

STATE

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Comprehensive Construction Programs</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations 1999-00</u>
Office of Fire Prevention and Control Capital Projects Fund	\$549,000	\$729,000	+ \$180,000	\$750,000
Clean Water, Clean Air Implementation Clean Water Clean Air Implementation Fund	348,000	348,000
Design and Construction Supervision Capital Projects Fund	158,000	240,000	+ 82,000	258,000
Total	<u>\$1,055,000</u>	<u>\$1,317,000</u>	<u>+ \$262,000</u>	<u>\$1,008,000</u>

DEPARTMENT OF TAXATION AND FINANCE

MISSION

The Department of Taxation and Finance administers the State's taxes and related local taxes and manages the State Treasury. In fulfilling its responsibilities under the State's Tax Laws, the Department collects approximately \$33.9 billion in State revenue and approximately \$19 billion in local taxes, including New York City and the City of Yonkers income taxes, on behalf of municipalities.

ORGANIZATION AND STAFFING

The Department is headed by a Commissioner who is appointed by the Governor and confirmed by the Senate. It fulfills its mission through 11 programs: Administration, Revenue Support, Office of the Counsel, Tax Policy and Analysis, Tax Enforcement, Tax Compliance, Treasury Management, Audit, Revenue and Information Management, Taxpayer Services and the Office of Conciliation and Mediation. The Department of Taxation and Finance will have a workforce of 5,515 positions in 1999-2000, of which 5,074 will be funded by State tax dollars in the General Fund.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 1999-2000, the Department will be financed primarily with State tax dollars from the General Fund, which support its revenue generation, collection, and administration programs. The Executive Budget recommends \$368 million to support the Department's operating budget. This amount includes \$271.3 million in General Fund support, which will finance 73.7 percent of the Department's operations, and which will be supplemented by fee income of \$32.8 million and Federal funding of \$300,000. These fees and Federal moneys will support costs associated with the collection of personal income taxes for New York City; financial and investment services for certain State agencies and public benefit corporations; and implementation of the International Fuel Tax Agreement. The Budget further recommends \$63.7 million for the Banking Services Fund. The Fund pays banking institutions for certain services related to administering personal income and other taxes.

PROGRAM HIGHLIGHTS

Tax and Finance Department employees are responsible for providing equitable and efficient service to taxpayers. The Department is organized along functional lines to support a high level of taxpayer service:

- **Administration:** This program includes the Department's central policy direction and oversight functions. Activities include fiscal management, human resources/payroll, internal audit, management services and public information.
- **Revenue Support:** This program provides essential support services for the Department, including managing office and warehouse space, developing and printing tax forms and instructions, and mailing tax liability notices, refunds and other tax forms.
- **Office of the Counsel:** This Office prepares regulations, interprets statutes, manages litigation, and drafts and reviews proposed legislation. The Office is involved in resolving taxpayer protests and litigation, and maintains coordination between the Department, the Department of Law and the Division of Tax Appeals.

TAXATION AND FINANCE

- **Tax Policy and Analysis:** This program estimates the revenues expected to be produced by each tax, assesses the impact of different tax structures and tax proposals on the State's economy, reviews tax policies and legislation, and prepares descriptive and analytical studies.
- **Tax Enforcement:** This program identifies and investigates alleged evasion of the State tax code. Staff assigned to this program work with Federal, State and local law enforcement officials in the prosecution of tax fraud and tax evasion cases.
- **Tax Compliance:** This is the State's largest accounts receivable program, collecting delinquent State and local taxes. Computer-generated billings and an automated telephone collection system are used in collection activities.
- **Audit:** The Audit Division ensures that voluntarily remitted taxes are accurate and complete. The Division plans, conducts and evaluates desk and field audits, increasingly with the aid of technology.
- **Revenue and Information Management:** This program contains the Department's information management, tax processing and tax accounting functions. It supports the collection of an estimated \$52.9 billion in State and local voluntary and non-voluntary tax collections.
- **Taxpayer Services:** This program assists taxpayers in fulfilling their tax obligations by developing and distributing tax information, advice and instructions.
- **Office of Conciliation and Mediation:** This program offers taxpayers the option of informally resolving disputes with the Department.

ALL FUNDS APPROPRIATIONS

<u>Category</u>	<u>Available 1998-99</u>	<u>Appropriations Recommended 1999-00</u>	<u>Change</u>	<u>Reappropriations Recommended 1999-00</u>
State Operations	\$341,523,000	\$368,048,000	+ \$26,525,000	\$450,000
Aid To Localities	750,000	-750,000
Capital Projects
Total	<u>\$342,273,000</u>	<u>\$368,048,000</u>	<u>+ \$25,775,000</u>	<u>\$450,000</u>

TAXATION AND FINANCE

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
General Fund	95	95	95
Counsel					
General Fund	79	78	78	-1
Tax Policy and Analysis					
General Fund	37	36	36	-1
Tax Enforcement					
General Fund	124	123	123	-1
Tax Compliance					
General Fund	845	835	835	-10
Treasury Management					
Special Revenue Funds — Other	37	37	37
Audit					
General Fund	1,936	1,913	1,913	-23
Revenue and Information Management					
General Fund	1,774	1,753	1,753	-21
Special Revenue Funds — Other	392	392	392
Taxpayer Services					
General Fund	137	135	135	-2
Revenue Support Services					
General Fund	72	71	71	-1
Office of Conciliation and Mediation					
General Fund	36	35	35	-1
Subtotal, Direct Funded Programs	<u>5,564</u>	<u>5,503</u>	<u>. . . .</u>	5,503	-61
Suballocations:					
Special Revenue Funds — Federal	12			12
Total	<u>5,576</u>			<u>5,515</u>	<u>-61</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$269,732,000	\$271,282,000	+ \$1,550,000
Special Revenue Funds — Federal	300,000	300,000
Special Revenue Funds — Other	22,129,000	32,761,000	+ 10,632,000
Internal Service Funds	49,362,000	63,705,000	+ 14,343,000
Total	<u>\$341,523,000</u>	<u>\$368,048,000</u>	<u>+ \$26,525,000</u>

TAXATION AND FINANCE

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Administration			
General Fund	\$5,051,000	\$5,115,000	+ \$64,000
Counsel			
General Fund	4,682,000	4,695,000	+ 13,000
Tax Policy and Analysis			
General Fund	2,020,000	2,025,000	+ 5,000
Tax Enforcement			
General Fund	7,780,000	7,801,000	+ 21,000
Tax Compliance			
General Fund	30,787,000	30,872,000	+ 85,000
Treasury Management			
Special Revenue Funds — Other	1,944,000	2,003,000	+ 59,000
Audit			
General Fund	98,324,000	98,598,000	+ 274,000
Revenue and Information Management			
General Fund	80,499,000	80,723,000	+ 224,000
Special Revenue Funds — Federal	300,000	300,000
Special Revenue Funds — Other	20,185,000	30,758,000	+ 10,573,000
Taxpayer Services			
General Fund	9,094,000	9,120,000	+ 26,000
Revenue Support Services			
General Fund	29,383,000	30,215,000	+ 832,000
Office of Conciliation and Mediation			
General Fund	2,112,000	2,118,000	+ 6,000
Banking Services			
Internal Service Funds	49,362,000	63,705,000	+ 14,343,000
Total	\$341,523,000	\$368,048,000	+ \$26,525,000

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$4,709,000	+ \$63,000	\$4,671,000	+ \$63,000
Counsel	4,568,000	+ 13,000	4,350,000	+ 12,000	\$213,000	+ \$1,000
Tax Policy and Analysis	2,002,000	+ 5,000	1,919,000	+ 5,000	80,000
Tax Enforcement	6,207,000	+ 17,000	6,183,000	+ 17,000	16,000
Tax Compliance	29,359,000	+ 81,000	29,309,000	+ 81,000
Audit	83,045,000	+ 231,000	82,088,000	+ 228,000	835,000	+ 2,000
Revenue and Information Management	61,035,000	+ 169,000	58,002,000	+ 161,000	2,448,000	+ 6,000
Taxpayer Services	6,800,000	+ 19,000	5,835,000	+ 16,000	961,000	+ 3,000
Revenue Support Services	4,010,000	+ 11,000	3,515,000	+ 10,000	492,000	+ 1,000
Office of Conciliation and Mediation	2,024,000	+ 6,000	2,006,000	+ 6,000
Total	\$203,759,000	+ \$615,000	\$197,878,000	+ \$599,000	\$5,045,000	+ \$13,000

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$38,000
Counsel	5,000
Tax Policy and Analysis	3,000
Tax Enforcement	8,000
Tax Compliance	50,000
Audit	122,000	+ \$1,000
Revenue and Information Management	585,000	+ 2,000
Taxpayer Services	4,000
Revenue Support Services	3,000
Office of Conciliation and Mediation	18,000
Total	\$836,000	+ \$3,000

TAXATION AND FINANCE

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

Program	Amount	Total		Supplies and Materials	
		Amount	Change	Amount	Change
Administration	\$406,000		+ \$1,000	\$289,000	+ \$1,000
Counsel	127,000		8,000
Tax Policy and Analysis	23,000		5,000
Tax Enforcement	1,594,000		+ 4,000	11,000
Tax Compliance	1,513,000		+ 4,000	277,000	+ 1,000
Audit	15,553,000		+ 43,000	32,000
Revenue and Information					
Management	19,688,000		+ 55,000	1,348,000	-71,000
Taxpayer Services	2,320,000		+ 7,000	70,000
Revenue Support Services	26,205,000		+ 821,000	150,000
Office of Conciliation and Mediation	94,000		4,000
Total	\$67,523,000		+ \$935,000	\$2,194,000	-\$69,000

Program	Amount	Travel		Contractual Services	
		Amount	Change	Amount	Change
Administration	\$5,000		\$73,000
Counsel	30,000		87,000
Tax Policy and Analysis	6,000		10,000
Tax Enforcement	514,000		+ \$1,000	987,000	+ \$2,000
Tax Compliance	599,000		+ 1,000
Audit	3,319,000		+ 9,000	11,051,000	+ 31,000
Revenue and Information					
Management	88,000		-5,000	15,348,000	-804,000
Taxpayer Services	10,000		2,237,000	+ 7,000
Revenue Support Services	6,000		26,049,000	+ 821,000
Office of Conciliation and Mediation	69,000		21,000
Total	\$4,646,000		+ \$6,000	\$55,863,000	+ \$57,000

Program	Amount	Equipment		Maintenance Undistributed	
		Amount	Change	Amount	Change
Administration	\$39,000	
Counsel	2,000	
Tax Policy and Analysis	2,000	
Tax Enforcement	82,000		+ \$1,000
Tax Compliance	637,000		+ 2,000
Audit	1,151,000		+ 3,000
Revenue and Information					
Management	1,254,000		-65,000	\$1,650,000	+ \$1,000,000
Taxpayer Services	3,000	
Revenue Support Services
Office of Conciliation and Mediation
Total	\$3,170,000		-\$59,000	\$1,650,000	+ \$1,000,000

TAXATION AND FINANCE

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Treasury Management	\$2,003,000	+ \$59,000	\$1,334,000	+ \$40,000
Revenue and Information Management	31,058,000	+ 10,573,000	16,380,000	+ 430,000
Banking Services	63,705,000	+ 14,343,000
Total	<u>\$96,766,000</u>	<u>+ \$24,975,000</u>	<u>\$17,714,000</u>	<u>+ \$470,000</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Treasury Management	\$669,000	+ \$19,000
Revenue and Information Management	14,378,000	+ 10,143,000	\$300,000
Banking Services	63,705,000	+ \$14,343,000
Total	<u>\$15,047,000</u>	<u>+ \$10,162,000</u>	<u>\$64,005,000</u>	<u>+ \$14,343,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$750,000	-\$750,000
Total	<u>\$750,000</u>	<u>. . . .</u>	<u>-\$750,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Administration General Fund	\$750,000	-\$750,000
Total	<u>\$750,000</u>	<u>. . . .</u>	<u>-\$750,000</u>

DIVISION OF TAX APPEALS

MISSION

The Division of Tax Appeals provides taxpayers with a system of due process for resolving disputes with the Department of Taxation and Finance. The separation of tax administration from tax adjudication promotes the impartial and timely hearing of taxpayer disputes.

ORGANIZATION AND STAFFING

The Division of Tax Appeals is headed by the Tax Appeals Tribunal, which is comprised of three commissioners appointed by the Governor and confirmed by the Senate. Under the direction of the Tax Tribunal, dispute adjudication is provided through small claims hearings, formal hearings and the Tribunal appeals process. The Division holds formal hearings and Tax Tribunal oral arguments in Troy, New York City and Buffalo, while small claims hearings are conducted throughout the State. The Division will have a workforce of 37 positions for 1999-2000.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Division's activities are supported entirely with State tax dollars, which finance the Tribunal's staff and other expenses such as rent and supplies.

The Executive Budget recommends \$3.176 million in annual General Fund support for the Division. This recommendation will enable the Tribunal to continue to provide for the timely resolution of taxpayer disputes with the Department of Taxation and Finance.

PROGRAM HIGHLIGHTS

The Division's hearing process has been substantially improved in recent years to benefit taxpayers. These improvements have allowed taxpayers more flexibility in scheduling hearings, thus minimizing delays caused by sudden cancellations. In addition, there has been a 16.3 percent increase in the immediate disposition of cases, and a reduction from 33 to 19.8 months in the amount of time between the filing of a petition and the issuance of a determination. Since 1997-98, there has been an 11 percent reduction in case inventory.

Since January 1997, the Division has been conducting formal hearings in New York City to provide easier access for taxpayers in the New York City metropolitan area. Recently, the Division expanded this initiative to Buffalo. At both the New York City and Buffalo locations, the Division utilizes existing State office space, thus providing improved service at nominal additional cost.

Category	ALL FUNDS APPROPRIATIONS			
	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$3,316,000	\$3,176,000	-\$140,000
Aid To Localities
Capital Projects
Total	<u>\$3,316,000</u>	<u>\$3,176,000</u>	<u>-\$140,000</u>	<u>. . . .</u>

TAX APPEALS

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration General Fund	40	37	37	-3
Total	40	37	37	-3

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$3,316,000	\$3,176,000	-\$140,000
Total	\$3,316,000	\$3,176,000	-\$140,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Administration General Fund	\$3,316,000	\$3,176,000	-\$140,000
Total	\$3,316,000	\$3,176,000	-\$140,000

STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$2,681,000	-\$140,000	\$2,525,000	-\$140,000	\$156,000
Total	\$2,681,000	-\$140,000	\$2,525,000	-\$140,000	\$156,000

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Amount</u>	<u>Total</u>		<u>Supplies and Materials</u>	
		<u>Change</u>	<u>Amount</u>	<u>Change</u>	
Administration	\$495,000	\$38,000	
Total	<u>\$495,000</u>	<u>. . . .</u>	<u>\$38,000</u>	<u>. . . .</u>	

<u>Program</u>	<u>Amount</u>	<u>Travel</u>		<u>Contractual Services</u>	
		<u>Change</u>	<u>Amount</u>	<u>Change</u>	
Administration	\$55,000	\$344,000	
Total	<u>\$55,000</u>	<u>. . . .</u>	<u>\$344,000</u>	<u>. . . .</u>	

<u>Program</u>	<u>Amount</u>	<u>Equipment</u>	
		<u>Change</u>	
Administration	\$58,000	
Total	<u>\$58,000</u>	<u>. . . .</u>	

OFFICE FOR TECHNOLOGY

MISSION

The Office for Technology, formerly the Governor's Task Force on Information Resource Management, was statutorily created in 1997. The Office is responsible for planning and coordinating the State's investment in information technology in consultation with an Advisory Council for Technology consisting of representatives of State agencies and the Legislature. In carrying out this mission, the Office works to accomplish three objectives: achieving financial efficiencies; improving communication between State and local agencies; and making it easier for citizens and the private sector to do business with New York.

ORGANIZATION AND STAFFING

The Office is located in Albany and has a staff of 22 for 1999-2000. It is supported with State tax dollars from the General Fund.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 1999-2000 General Fund recommendation of \$101.4 million includes \$1.5 million to maintain current staffing levels and support ongoing agency efforts. A total of \$26 million is also recommended for payments on Certificates of Participation for the programming and other changes required to make selected computer systems Year 2000 compliant. Additional recommendations include:

- A new \$19 million General Fund appropriation to correct Year 2000 problems in the State's "embedded systems," the most critical of which are found in heating, cooling and other building operations systems. The Office, which is charged with providing overall guidance on Year 2000 projects, will allocate this funding among the highest priority projects.
- A \$20 million General Fund appropriation for any unanticipated Year 2000 compliance expenses.
- A \$12 million General Fund appropriation for operating the consolidated State data center. An additional \$22 million is proposed for the renovation of permanent space to house the center.
- A new \$20.1 million Internal Service Fund appropriation for the New York Intranet (NYT). This fund will be supported with fees charged to State and local users of the NYT.

A new \$10 million Internal Service Fund appropriation is recommended for the Office for Technology to coordinate the development of computer systems that will be used by various agencies. This effort will both facilitate the development of new systems and lower expenses by eliminating unnecessary duplication.

PROGRAM HIGHLIGHTS

Since its inception as a Task Force in 1996, the Office has been actively involved in designing and implementing statewide policies and practices to govern the management of information technologies. One of the early products of the Office was an agenda created to guide the State's technology activities over the next five years. The agenda, released in 1996, identified five priorities: the need for statewide policies and direction to guide

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the State's technology efforts; greater coordination and sharing of information among agencies involved in projects having multi-agency implications; reducing duplication of efforts by encouraging data sharing; coordinating technology purchases; and creating a statewide "intranet" to link State and local agencies.

A wide variety of activities are underway to implement this agenda. The Office has issued over 31 "Technical Policy Bulletins" that give State agencies policy guidance on such topics as data security and the electronic exchange of data. Under the auspices of the Office, several inter-agency work groups are also developing or have developed technology-related productivity initiatives that involve such topics as the use of office systems improvements and imaging technology to increase productivity and achieve savings. A related activity, which further reflects the role of the Office in encouraging the exchange of information, is the creation of the Geographic Information Systems (GIS) clearinghouse, where over 130 governmental and not-for-profit members are now sharing their GIS data.

The Office for Technology is also taking important steps to improve the State's management of technology services. One of the Office's top priorities is the consolidation of the State's data centers. A total of 24 separate data centers are being consolidated into a single operation, which will improve computing services for 21 agencies and produce significant future savings. In addition to these benefits, the consolidation has already resulted in stronger management of the State's data centers, as demonstrated by greater coordination of purchases of sophisticated equipment.

Another priority is the installation of a new statewide telecommunications network, known as the New York Intranet (NYT), that will provide State and local governments with more reliable and less costly data, voice and video communications. When fully operational, the NYT will improve service delivery, reduce costs, and increase reliability through use of a fiber optic cable network currently being installed along the Thruway Authority's right-of-way. This network will also have greater capacity to transmit data, provide local entities and citizens with easier access to State government and facilitate increased interagency cooperation and data-sharing.

The Office will also be responsible for overseeing the operation of the Human Services Application Service Center. This Center is part of the new Welfare Management System currently being developed by the Office of Temporary and Disability Assistance, the Department of Labor, the Office of Children and Family Services and the Department of Health.

ALL FUNDS APPROPRIATIONS

Category	Available	Appropriations	Change	Reappropriations
	1998-99	Recommended 1999-00		Recommended 1999-00
State Operations	\$42,606,000	\$131,483,000	+ \$88,877,000	\$50,100,000
Aid To Localities
Capital Projects
Total	<u>\$42,606,000</u>	<u>\$131,483,000</u>	<u>+ \$88,877,000</u>	<u>\$50,100,000</u>

**ALL FUND TYPES
LEVELS OF EMPLOYMENT BY PROGRAM
ANNUAL SALARIED POSITIONS**

<u>Program</u>	<u>Available 1998-99</u>	<u>Personal Service (Regular)</u>	<u>Maintenance Undistributed</u>	<u>Total Recommended 1999-00</u>	<u>Change</u>
Technology					
General Fund	5	22	22	+ 17
Total	<u>5</u>	<u>22</u>	<u>. . . .</u>	<u>22</u>	<u>+ 17</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	\$2,606,000	\$101,417,300	+ \$98,811,300
Special Revenue Funds — Other	40,000,000	-40,000,000
Internal Service Funds	30,065,700	+ 30,065,700
Total	<u>\$42,606,000</u>	<u>\$131,483,000</u>	<u>+ \$88,877,000</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Technology			
General Fund	\$2,606,000	\$101,417,300	+ \$98,811,300
Special Revenue Funds — Other	40,000,000	-40,000,000
Internal Service Funds	30,065,700	+ 30,065,700
Total	<u>\$42,606,000</u>	<u>\$131,483,000</u>	<u>+ \$88,877,000</u>

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Technology	\$1,286,300	+ \$1,065,300	\$1,281,100	+ \$1,065,300	\$5,200
Total	<u>\$1,286,300</u>	<u>+ \$1,065,300</u>	<u>\$1,281,100</u>	<u>+ \$1,065,300</u>	<u>\$5,200</u>	<u>. . . .</u>

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**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Amount</u>	Total	<u>Change</u>	Supplies and Materials	<u>Amount</u>	Change
Technology	\$100,131,000	+	\$97,746,000	\$20,000	
Total	<u>\$100,131,000</u>	+	<u>\$97,746,000</u>	<u>\$20,000</u>	<u>. . . .</u>	

<u>Program</u>	<u>Amount</u>	Travel	<u>Change</u>	Contractual Services	<u>Amount</u>	Change
Technology	\$25,000		\$26,178,000	+	\$23,884,000
Total	<u>\$25,000</u>	<u>. . . .</u>		<u>\$26,178,000</u>	+	<u>\$23,884,000</u>

<u>Program</u>	<u>Amount</u>	Equipment	<u>Change</u>	Maintenance Undistributed	<u>Amount</u>	Change
Technology	\$46,000		\$73,862,000	+	\$73,862,000
Total	<u>\$46,000</u>	<u>. . . .</u>		<u>\$73,862,000</u>	+	<u>\$73,862,000</u>

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
1999-00 RECOMMENDED**

<u>Program</u>	<u>Amount</u>	Total	<u>Change</u>	Maintenance Undistributed	<u>Amount</u>	Change
Technology	\$30,065,700	-	\$9,934,300	\$30,065,700	-	\$9,934,300
Total	<u>\$30,065,700</u>	<u>-</u>	<u>\$9,934,300</u>	<u>\$30,065,700</u>	<u>-</u>	<u>\$9,934,300</u>