

DEPARTMENT OF CORRECTIONAL SERVICES

MISSION

The Department of Correctional Services (DOCS) is responsible for the secure confinement of convicted felons and the preparation of these individuals for successful reintegration into the community upon release.

ORGANIZATION AND STAFFING

The Department, headed by a Commissioner, has more than 31,000 employees to operate 70 facilities housing approximately 72,000 inmates. Each correctional facility is headed by a Superintendent and executive staff to oversee the daily operation of the nation's third largest state prison system.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Over the past four years, penalties for violent crime have increased significantly in New York State. Jenna's Law, enacted in August 1998, together with Truth-in-Sentencing legislation enacted in 1995, ensure that violent offenders will serve 85 percent of their sentence in prison. In addition, violent offenders have been barred from participation in work release programs, and sentences lengthened for assault crimes. These initiatives, ensuring that violent offenders remain in prison for longer periods commensurate with their crimes, are coupled with opportunities for treatment and rehabilitation in an effort to reduce recidivism once inmates are released back to the community.

The passage of legislation to assure that violent offenders serve longer prison terms has required expansion of secure housing. The Executive Budget recommendations for 1999-2000 provide funding for full annual operation of eight 100 cell modular units opened in 1998, and for the 750 cell Upstate Correctional Facility scheduled to open in July 1999 in Franklin County. In addition, the Budget continues funding originally provided in the 1998-99 budget for construction of a second 750 cell maximum security prison scheduled to open in 2000, and includes new funding to build a third such prison to be ready for use in 2001.

Funding increases related to new capacity are partially offset by increased efficiencies and program restructuring. Expansion of the Department's food production center will result in lower food costs in 1999-2000. Excess capacity in the work release program will be used to house inmates who otherwise would be sent to residential drug treatment programs. Housing, as well as treatment, will be provided by the Department. Parkside Correctional Facility, a New York City work release facility, will be closed and its residents transferred to an under-used facility also in New York City. Infirmaries in proximate facilities will be consolidated, allowing the Department to more efficiently deliver its quality health services. Medium security inmates will no longer be routinely deployed on work crews outside prison boundaries, saving the costs associated with escorting the crews. Legislation is also recommended that will expand eligibility of non-violent inmates for the Shock Incarceration Program by raising the maximum age of eligibility from 34 to 39.

- State tax dollars finance 88 percent of the Department's State Operations Budget.
- State tax dollars support 100 percent of the Department's Aid to Localities Budget. The \$55.9 million appropriation allows the State to reimburse counties for housing inmates awaiting transfer to State prison. In addition, the 1999-2000 Budget funds contracts with localities to house non-violent offenders on a short term basis. This measure helps ease overcrowding until planned new prison capacity is constructed.

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- Effective April 1, 1999, reimbursement to localities for housing low level felons sentenced to local jails will be eliminated.
- Federal funds support 5.2 percent of the Department's State Operations Budget, including an anticipated \$86 million to offset costs of housing illegal alien felons.
 - Correctional Facilities Capital Improvement Fund appropriations of \$443 million ensure that all housing, medical buildings and support space remain functional, safe and secure, and include funds to construct a new 750 cell maximum security prison by Spring 2001.
 - The DOCS Internal Service Fund appropriates \$70.3 million related to operation of the Correctional Industries program (Corcraft). This inmate vocational program gives individuals an opportunity to learn employment skills to improve their chance of successful reintegration to the community, while contributing to the production of commodities, and prison maintenance and rehabilitation projects.

PROGRAM HIGHLIGHTS

The Department operates correctional facilities with a wide range of security measures. Violent inmates who exhibit behavior problems while in custody can be housed in maximum security cells for nearly 23 hours a day. Other inmates are housed in less restrictive settings with available programming to afford offenders an opportunity for rehabilitation aimed at reducing recidivism.

Additionally, the Department, in cooperation with the Division of Parole, began to operate the Willard Drug Treatment Center in Seneca County in 1995. Through this program, courts have the option to remand low level, nonviolent offenders to treatment — an option expected to stop the cycle of drug-related criminal activity at far less cost to the taxpayers than traditional incarceration.

ADMINISTRATION

Administrative staff formulate and oversee agency policy and provide operational support to correctional facilities. The responsibilities of this program include the direction of inmate classification and movement, labor relations, personnel and financial transactions, and the Department's legal affairs.

SUPPORT SERVICES

The Support Services Program provides all resources necessary for the operation of the 70 facilities housing individuals remanded to State custody. This includes the employment of all facility managers and centrally assigned staff essential to operate and maintain the agency's physical plant. Through this program, the agency also provides inmate food and transportation services, and enters into cooperative agreements with local governments for sewer/water systems. The agency has been increasingly successful in implementing initiatives to improve energy use efficiency, including inmate work crew weatherization, heating system rehabilitation projects, and energy performance contracts.

Transportation services related to inmate reception, changes in assigned housing and emergency medical services have become increasingly complex as the prison system has expanded over the past decade. The Department has designed an inmate movement system to address the requirements of the vast correctional network spread across the State. Chief among the improvements are system-wide transportation scheduling and a contractually provided inmate transport fleet guaranteeing vehicles on an as needed basis.

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SUPERVISION OF INMATES

The Department employs over 21,000 correctional officers to ensure a secure environment for employees and inmates within the correctional setting and to protect the safety of surrounding communities. The Department continues to maintain one of the best officer-to-inmate ratios in the United States.

PROGRAM SERVICES

The majority of inmates entering State prison have histories of substance abuse and severe educational deficits — two factors highly predictive of criminal behavior. To counter this problem, the agency's rehabilitation efforts focus on basic education and simple vocational skill achievement to ready inmates for employment upon release. The agency's Comprehensive Alcohol and Substance Abuse Treatment program (CASAT) will continue to provide over 5,100 offenders each year with 6 months of residential treatment and follow-up care. Resources to coordinate the agency's sex offender treatment programs and enhanced treatment for mentally ill inmates will enable the Department to better treat and stabilize these growing prison populations.

HEALTH SERVICES

Offenders entering prison present a significant need for health care because of high rates of opportunistic disease related to AIDS, tuberculosis and other infectious conditions. The recommended budget ensures that the Department has the resources to meet the full need for AIDS screening and interventions, and all other requirements to prevent the spread of disease and treat critical illness.

The Department's approach to health care has kept pace with the national trend toward cost efficient managed care programs. A statewide system for contracted health services has allowed the Department to contain escalating costs of appropriate health care for the inmate population. In addition to the existing regional medical units on the grounds of Mohawk, Coxsackie and Wende correctional facilities, units at Fishkill and Bedford Hills correctional facilities will be opened in 1999.

The 1999-2000 budget recommendations fully support the provision of pharmaceutical treatment for HIV-positive inmates according to established standards of care. It is expected that comprehensive early treatment results in a healthier HIV/AIDS population, reducing illness and the need for hospitalization. Notably, the number of inmates dying annually from HIV related disease plummeted by 77 percent since 1995.

CAPITAL PROJECTS

The Department of Correctional Services operates an institutional network of 70 correctional facilities, a number of which were converted during prison expansion in the 1980's from very old facilities initially built for other uses. The Capital Projects Fund supports the completion of construction of previously authorized maximum security capacity and provides resources to address critical physical plant maintenance and rehabilitation projects and improve medical units. New appropriation authority is recommended to fund the construction of a 750 cell maximum security prison for use by Spring 2001.

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DEPARTMENT OF CORRECTIONAL SERVICES MALE FACILITIES

Maximum Security

Attica Correctional Facility	(Wyoming County)
Auburn Correctional Facility	(Cayuga County)
Clinton Correctional Facility	(Clinton County)
Coxsackie Correctional Facility	(Greene County)
Downstate Correctional Facility	(Dutchess County)
Eastern Correctional Facility	(Ulster County)
Elmira Correctional Facility	(Chemung County)
Green Haven Correctional Facility	(Dutchess County)
Great Meadow Correctional Facility	(Washington County)
Shawangunk Correctional Facility	(Ulster County)
Sing Sing Correctional Facility	(Westchester County)
Southport Correctional Facility	(Chemung County)
Sullivan Correctional Facility	(Sullivan County)
Upstate Correctional Facility	(Franklin County)
Wende Correctional Facility	(Erie County)

Medium Security

Adirondack Correctional Facility	(Clinton County)
Altona Correctional Facility	(Clinton County)
Arthurkill Correctional Facility & CASAT**	(Richmond County)
Bare Hill Correctional Facility	(Franklin County)
Butler CASAT	(Wayne County)
Cape Vincent Correctional Facility & CASAT	(Jefferson County)
Cayuga Correctional Facility	(Cayuga County)
Chateaugay CASAT	(Franklin County)
Collins Correctional Facility	(Erie County)
Fishkill Correctional Facility	(Dutchess County)
Franklin Correctional Facility	(Franklin County)
Gouverneur Correctional Facility	(St. Lawrence County)
Gowanda Correctional Facility	(Erie County)
Greene Correctional Facility	(Greene County)
Groveland Correctional Facility	(Livingston County)
Hale Creek CASAT	(Fulton County)
Hudson Correctional Facility	(Columbia County)
Livingston Correctional Facility	(Livingston County)
Marcy Correctional Facility & CASAT Annex	(Oneida County)
Mid-Orange Correctional Facility	(Orange County)
Mid-State Correctional Facility	(Oneida County)
Mohawk Correctional Facility	(Oneida County)
Mt. McGregor Correctional Facility	(Saratoga County)
Ogdensburg Correctional Facility	(St. Lawrence County)
Oneida Correctional Facility	(Oneida County)
Orleans Correctional Facility	(Orleans County)
Otisville Correctional Facility	(Orange County)
Riverview Correctional Facility	(St. Lawrence County)
Ulster Correctional Facility	(Ulster County)
Walkkill Correctional Facility	(Ulster County)
Washington Correctional Facility	(Washington County)
Watertown Correctional Facility	(Jefferson County)

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Woodbourne Correctional Facility (Sullivan County)
Wyoming Correctional Facility (Wyoming County)

Minimum Security

Butler Correctional Facility (Wayne County)
Lyon Mountain Correctional Facility (Clinton County)

Minimum Work Release

Buffalo Correctional Facility (Erie County)
Edgecombe Correctional Facility (New York County)
Fishkill Correctional Facility* (Dutchess County)
Fulton Correctional Facility (Bronx County)
Hudson Correctional Facility* (Columbia County)
Lincoln Correctional Facility (New York County)
Queensboro Correctional Facility (Queens County)
Rochester Correctional Facility (Monroe County)

Camps

Camp Gabriels (Franklin County)
Camp Georgetown (Madison County)
Camp Mt. McGregor* (Saratoga County)
Camp Pharsalia (Chenango County)
Camp Fallsburg* (Sullivan County)

Shock Incarceration

Lakeview Shock Incarceration Facility (Chautauqua County)
Monterey Shock Incarceration Facility (Schuyler County)
Moriah Shock Incarceration Facility (Essex County)
Summit Shock Incarceration Facility (Schoharie County)

Drug Treatment Campus

Willard Drug Treatment Campus (Seneca County)

DEPARTMENT OF CORRECTIONAL SERVICES FEMALE FACILITIES

Maximum Security

Bedford Hills Correctional Facility (Westchester County)

Medium Security

Albion Correctional Facility (Orleans County)
Bayview Correctional Facility (New York County)
Taconic Correctional Facility & CASAT (Westchester County)

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Minimum Security

Beacon Correctional Facility (Dutchess County)

Minimum Work Release

Albion Correctional Facility* (Orleans County)

Bayview Correctional Facility* (New York County)

Parkside Correctional Facility (New York County)

Shock Incarceration

Lakeview Shock Incarceration Facility (Chautauqua County)

Drug Treatment Campus

Willard Drug Treatment Campus (Seneca County)

* Indicates programs are operating as part of a larger correctional facility listed under the same name.

** CASAT is an acronym for Comprehensive Alcohol and Substance Abuse Treatment.

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ALL FUNDS APPROPRIATIONS

Category	Available 1998-99	Appropriations Recommended 1999-00	Change	Reappropriations Recommended 1999-00
State Operations	\$1,761,289,900	\$1,831,570,000	+ \$70,280,100	\$52,500,000
Aid To Localities	60,216,000	55,902,800	-4,313,200
Capital Projects	429,062,000	443,000,000	+ 13,938,000	983,871,000
Total	\$2,250,567,900	\$2,330,472,800	+ \$79,904,900	\$1,036,371,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	1999-00 Recommended Average Fill Level				Change
	Available 1998-99	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 1999-00	
Administration					
General Fund	266	266	266
Special Revenue Funds — Federal	814	833	833	+ 19
Support Services					
General Fund	3,413	3,435	3,435	+ 22
Supervision of Inmates					
General Fund	21,041	21,094	21,094	+ 53
Program Services					
General Fund	3,434	3,444	3,444	+ 10
Enterprise Funds	204	204	204
Capacity Expansion					
General Fund	482	482	+ 482
Health Services					
General Fund	1,447	1,520	1,520	+ 73
Enterprise Funds	31	31	31
Correctional Industries					
Internal Service Funds	522	522	522
Facilities Planning and Development					
Capital Project Funds	35	35	35
Subtotal, Direct Funded Programs	31,207	31,866	31,866	+ 659
Suballocations:					
General Fund	3			3
Special Revenue Funds — Federal	41			41
Total	31,251			31,910	+ 659

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
General Fund	\$1,537,494,900	\$1,611,760,000	+ \$74,265,100
Special Revenue Funds — Federal	96,947,000	95,387,000	-1,560,000
Enterprise Funds	56,485,000	54,060,000	-2,425,000
Internal Service Funds	70,345,000	70,345,000
Fiduciary Funds	18,000	18,000
Total	\$1,761,289,900	\$1,831,570,000	+ \$70,280,100
Adjustments:			
Prior Year Deficiency			
General Fund	+ 53,620,000		
Transfer(s) From			
Debt Service			
Debt Service Funds	-2,900,000		
Appropriated 1998-99	\$1,812,009,900		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1998-99</u>	<u>Recommended 1999-00</u>	<u>Change</u>
Administration			
General Fund	\$19,079,400	\$18,714,000	-\$365,400
Special Revenue Funds — Federal	96,947,000	95,387,000	-1,560,000
Enterprise Funds	1,029,000	1,800,000	+ 771,000
Support Services			
General Fund	290,188,000	288,338,000	-1,850,000
Supervision of Inmates			
General Fund	868,651,600	912,754,000	+ 44,102,400
Program Services			
General Fund	192,357,400	186,650,000	-5,707,400
Enterprise Funds	37,546,700	36,830,000	-716,700
Fiduciary Funds	18,000	18,000
Capacity Expansion			
General Fund	31,376,000	+ 31,376,000
Health Services			
General Fund	167,218,500	173,928,000	+ 6,709,500
Enterprise Funds	17,909,300	15,430,000	-2,479,300
Correctional Industries			
Internal Service Funds	70,345,000	70,345,000
Total	\$1,761,289,900	\$1,831,570,000	+ \$70,280,100

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STATE OPERATIONS — GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$13,871,000	-\$364,900	\$13,695,000	-\$364,500	\$10,000	-\$300
Support Services	123,523,000	-274,400	118,198,000	+ 1,203,400	159,000	-1,716,500
Supervision of Inmates	894,666,000	+ 44,239,700	859,388,000	+ 43,315,000	3,961,000	+ 924,700
Program Services	144,091,000	+ 975,000	132,547,000	+ 729,900	8,793,000	+ 151,300
Capacity Expansion	24,401,000	+ 24,401,000	24,401,000	+ 24,401,000
Health Services	73,870,000	+ 906,200	67,935,000	+ 905,600	3,570,000	+ 100
Total	<u>\$1,274,422,000</u>	<u>+ \$69,882,600</u>	<u>\$1,216,164,000</u>	<u>+ \$70,190,400</u>	<u>\$16,493,000</u>	<u>-\$640,700</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$166,000	-\$100
Support Services	5,166,000	+ 238,700
Supervision of Inmates	31,317,000
Program Services	2,751,000	+ 93,800
Capacity Expansion
Health Services	2,365,000	+ 500
Total	<u>\$41,765,000</u>	<u>+ \$332,900</u>

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$4,843,000	-\$500	\$137,000	-\$400
Support Services	164,815,000	-1,575,600	89,958,000	-5,288,200
Supervision of Inmates	18,088,000	-137,300	5,448,000	-137,820
Program Services	42,559,000	-6,682,400	14,103,000	+ 400
Capacity Expansion	6,975,000	+ 6,975,000	6,975,000	+ 6,975,000
Health Services	100,058,000	+ 5,803,300	32,671,000	+ 6,028,000
Total	<u>\$337,338,000</u>	<u>+ \$4,382,500</u>	<u>\$149,292,000</u>	<u>+ \$7,576,980</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$562,000	+ \$100	\$2,807,000	-\$567,800
Support Services	2,742,000	66,218,000	+ 2,962,200
Supervision of Inmates	2,550,000	+ 53,600	9,364,000	-53,280
Program Services	358,000	-400	27,583,000	-6,682,900
Capacity Expansion
Health Services	154,000	-200	66,869,000	-224,400
Total	<u>\$6,366,000</u>	<u>+ \$53,100</u>	<u>\$172,841,000</u>	<u>-\$4,566,180</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$769,000	-\$400	\$568,000	+ \$568,000
Support Services	5,897,000	+ 750,400
Supervision of Inmates	726,000	+ 200
Program Services	515,000	+ 500
Capacity Expansion
Health Services	364,000	-100
Total	<u>\$8,271,000</u>	<u>+ \$750,600</u>	<u>\$568,000</u>	<u>+ \$568,000</u>

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STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 1999-00 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$97,187,000	-\$789,000	\$69,268,300	+ \$10,643,300
Program Services	36,848,000	-716,700	4,052,000	+ 2,000,100
Health Services	15,430,000	-2,479,300	1,940,000	-400
Correctional Industries	70,345,000	21,633,000	+ 374,000
Total	\$219,810,000	-\$3,985,000	\$96,893,300	+ \$13,017,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$23,578,700	-\$15,772,300	\$4,340,000	+ \$4,340,000
Program Services	32,796,000	-2,716,800
Health Services	13,490,000	-2,478,900
Correctional Industries	48,712,000	-374,000
Total	\$118,576,700	-\$21,342,000	\$4,340,000	+ \$4,340,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1998-99	Recommended 1999-00	Change
General Fund	\$60,216,000	\$55,902,800	-\$4,313,200
Total	\$60,216,000	\$55,902,800	-\$4,313,200

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1998-99	Recommended 1999-00	Change
Support Services			
General Fund	\$59,504,000	\$55,902,800	-\$3,601,200
Program Services			
General Fund	712,000	-712,000
Total	\$60,216,000	\$55,902,800	-\$4,313,200

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Programs	Available 1998-99	Recommended 1999-00	Change	Reappropriations 1999-00
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	\$3,000,000	+ \$3,000,000
Federal Capital Projects Fund	\$64,062,000	80,000,000	+ 15,938,000	\$68,233,000
Correctional Facilities Capital Improvement Fund - 399	365,000,000	360,000,000	-5,000,000	667,815,000
UDC Financed and Other New Facility Capacity Expansion				
Correctional Facilities Capital Improvement Fund - 399	247,823,000
Total	\$429,062,000	\$443,000,000	+ \$13,938,000	\$983,871,000