

## Corrections and Community Supervision, Department of

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### Mission

The Department of Corrections and Community Supervision (DOCCS) is responsible for providing services that incarcerated individuals need in safe and secure facilities, preparing incarcerated individuals for release, and ensuring they receive appropriate monitoring and support while under community supervision. The goal of the Department is to enhance public safety by ensuring the successful completion of an incarcerated individual's sentence to lower the risk of reoffending.

### Organization and Staffing

The Department of Corrections and Community Supervision operates 52 correctional facilities across the State. Each facility is managed by a Superintendent, who reports to the Commissioner. More than 66 percent of the Department's staff are security personnel with the remaining staff primarily dedicated to the delivery of incarcerated programs, health services, community supervision, and facility operations.

The Parole Board operates as an independent body housed within DOCCS. The members of the Board are appointed by the Governor and confirmed by the Senate. One member is designated by the Governor to serve as the Board's Chair. Board members review the cases of incarcerated individuals eligible for parole and determine if the incarcerated individual should be released to parole supervision.

### Budget Highlights

The FY 2022 Executive Budget recommends All Funds appropriations of \$3.38 billion for the Department, a decrease of \$8.3 million from FY 2021. This includes a State Operations reduction of \$30 million as a result of rightsizing the Department by eliminating excess capacity in the system. These reductions are offset by an increase of \$10 million in COVID-related costs and \$12 million in capital. The Executive Budget recommends a workforce of 26,847, a decrease of 800 FTEs from FY 2021.

The FY 2022 Executive Budget extends the provision which allows the State to close correctional facilities with 90-day notice. This allows the State to act expeditiously to ensure effective and efficient operation of the correctional system.

### Program Highlights

#### *Correctional Services*

The Department of Corrections and Community Supervision's (DOCCS) incarcerated population continues to decline and is currently at approximately 35,000 incarcerated, down from a high over 72,000 in 1999. Since last year, the State incarcerated population has decreased by 9,338, or 21%. This decline can be largely attributed to the dramatic drop in the State's crime rate over the last decade, and the administrative COVID-19 response actions taken by DOCCS to release low level technical parole violators from DOCCS custody.

As soon as an incarcerated individual enters prison, an assessment is conducted to determine the need for rehabilitative programs. The Department offers a variety of programs designed to improve the prospects of an incarcerated individual's successful re-entry into the community. These include educational programming, with the minimum goal of assisting incarcerated individuals who do not have high school diplomas to receive New York State High School Equivalency Diplomas; apprenticeships; substance abuse treatment services; anger management therapy; and sex offender treatment programs.

Meeting the critical need of providing appropriate levels of medical services is important to the safety of the prison system and to the general public when an incarcerated individual is released. The Department acts quickly to diagnose and begin treatment, if necessary, when an incarcerated individual enters prison, and provides the greatest degree of services possible to seek a cure. The Department also offers mental health services at several facilities and operates state-of-the-art Residential Mental Health Units to treat incarcerated individuals with serious mental illness and disciplinary sanctions.

#### *Community Supervision*

The focus of the Community Supervision Program is to provide for the successful reentry of incarcerated individuals into the community and to supervise parolees released from prison. Immediately upon being released from prison, parolees are assigned to a Parole Officer. Parole Officers supervise parolees by monitoring behavior, reviewing employment, referring to appropriate treatment programs, evaluating treatment progress, and administering drug tests. In addition to closely monitoring offenders in the community, the Department helps parolees avoid reverting to a life of crime by contracting for various services to support their return to society, such as supportive housing and employment training.

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

Category	Available FY 2021	Appropriations Recommended FY 2022	Change From FY 2021	Reappropriations Recommended FY 2022
State Operations	2,935,248,000	2,909,937,000	(25,311,000)	162,579,000
Aid To Localities	29,493,000	34,493,000	5,000,000	88,605,000
Capital Projects	425,000,000	437,000,000	12,000,000	845,374,000
<b>Total</b>	<b>3,389,741,000</b>	<b>3,381,430,000</b>	<b>(8,311,000)</b>	<b>1,096,558,000</b>

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

Program	FY 2021 Estimated FTEs 03/31/21	FY 2022 Estimated FTEs 03/31/22	FTE Change
Administration			
General Fund	158	158	0
Special Revenue Funds - Federal	646	646	0
Enterprise Funds	7	7	0
Community Supervision			
General Fund	1,335	1,335	0
Correctional Industries			
Enterprise Funds	3	3	0
Internal Service Funds	280	280	0
Facilities Planning and Development			
Capital Projects Funds - Other	1,009	1,009	0
Health Services			
General Fund	1,594	1,544	(50)
Parole Board			
General Fund	74	74	0
Program Services			
General Fund	2,795	2,745	(50)
Supervision of Inmates			
General Fund	18,204	17,554	(650)
Support Services			
General Fund	1,538	1,488	(50)
Special Revenue Funds - Other	4	4	0
<b>Total</b>	<b>27,647</b>	<b>26,847</b>	<b>(800)</b>

NYS DOB | FY2022 Executive Budget | Agency Appropriations  
**STATE OPERATIONS**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE**  
**APPROPRIATIONS**  
**(dollars)**

Fund Type	Available FY 2021	Recommended FY 2022	Change
Enterprise Funds	53,443,000	58,443,000	5,000,000
General Fund	2,732,555,000	2,702,244,000	(30,311,000)
Internal Service Funds	74,895,000	74,895,000	0
Special Revenue Funds - Federal	40,500,000	40,500,000	0
Special Revenue Funds - Other	33,855,000	33,855,000	0
<b>Total</b>	<b>2,935,248,000</b>	<b>2,909,937,000</b>	<b>(25,311,000)</b>

**STATE OPERATIONS**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM**  
**APPROPRIATIONS**  
**(dollars)**

Program	Available FY 2021	Recommended FY 2022	Change
<b>Administration</b>			
Enterprise Funds	2,701,000	2,701,000	0
General Fund	13,564,000	13,564,000	0
Special Revenue Funds - Federal	40,500,000	40,500,000	0
Special Revenue Funds - Other	25,700,000	25,700,000	0
<b>Community Supervision</b>			
General Fund	134,614,000	134,614,000	0
Special Revenue Funds - Other	1,425,000	1,425,000	0
<b>Correctional Industries</b>			
Enterprise Funds	742,000	742,000	0
Internal Service Funds	74,895,000	74,895,000	0
<b>Health Services</b>			
General Fund	396,500,000	394,260,000	(2,240,000)
<b>Parole Board</b>			
General Fund	7,100,000	7,100,000	0
<b>Program Services</b>			
Enterprise Funds	50,000,000	55,000,000	5,000,000
General Fund	222,675,000	219,505,000	(3,170,000)
Special Revenue Funds - Other	3,000,000	3,000,000	0
<b>Supervision of Inmates</b>			
General Fund	1,611,993,000	1,592,291,000	(19,702,000)
<b>Support Services</b>			
General Fund	346,109,000	340,910,000	(5,199,000)
Special Revenue Funds - Other	3,730,000	3,730,000	0
<b>Total</b>	<b>2,935,248,000</b>	<b>2,909,937,000</b>	<b>(25,311,000)</b>

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**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES**  
**FY 2022 RECOMMENDED**  
**(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	11,881,000	0	11,779,000	0
Community Supervision	109,339,000	0	101,939,000	0
Health Services	142,246,000	(867,000)	124,793,000	(867,000)
Parole Board	6,567,000	0	6,507,000	0
Program Services	191,550,000	(3,028,000)	185,796,000	(3,028,000)
Supervision of Inmates	1,572,492,000	(19,644,000)	1,332,847,000	(19,644,000)
Support Services	99,464,000	(3,878,000)	93,267,000	(3,878,000)
<b>Total</b>	<b>2,133,539,000</b>	<b>(27,417,000)</b>	<b>1,856,928,000</b>	<b>(27,417,000)</b>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	0	0	102,000	0
Community Supervision	0	0	7,400,000	0
Health Services	7,053,000	0	10,400,000	0
Parole Board	0	0	60,000	0
Program Services	4,413,000	0	1,341,000	0
Supervision of Inmates	13,890,000	0	225,755,000	0
Support Services	0	0	6,197,000	0
<b>Total</b>	<b>25,356,000</b>	<b>0</b>	<b>251,255,000</b>	<b>0</b>

**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED**  
**APPROPRIATIONS AND CHANGES**  
**FY 2022 RECOMMENDED**  
**(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,683,000	0	338,000	0
Community Supervision	25,275,000	0	1,600,000	0
Health Services	252,014,000	(1,373,000)	122,011,000	(665,000)
Parole Board	533,000	0	43,000	0
Program Services	27,955,000	(142,000)	6,109,000	(31,000)
Supervision of Inmates	19,799,000	(58,000)	10,212,000	(30,000)
Support Services	241,446,000	(1,321,000)	175,184,000	(959,000)
<b>Total</b>	<b>568,705,000</b>	<b>(2,894,000)</b>	<b>315,497,000</b>	<b>(1,685,000)</b>

**NYS DOB | FY2022 Executive Budget | Agency Appropriations**

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	214,000	0	1,018,000	0
Community Supervision	2,258,000	0	20,812,000	0
Health Services	270,000	(1,000)	124,896,000	(682,000)
Parole Board	390,000	0	87,000	0
Program Services	366,000	(2,000)	20,734,000	(105,000)
Supervision of Inmates	2,393,000	(7,000)	5,404,000	(16,000)
Support Services	2,039,000	(11,000)	52,213,000	(285,000)
<b>Total</b>	<b>7,930,000</b>	<b>(21,000)</b>	<b>225,164,000</b>	<b>(1,088,000)</b>

Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration	113,000	0	0	0
Community Supervision	605,000	0	0	0
Health Services	4,837,000	(25,000)	0	0
Parole Board	3,000	0	10,000	0
Program Services	746,000	(4,000)	0	0
Supervision of Inmates	1,790,000	(5,000)	0	0
Support Services	11,911,000	(65,000)	99,000	(1,000)
<b>Total</b>	<b>20,005,000</b>	<b>(99,000)</b>	<b>109,000</b>	<b>(1,000)</b>

**STATE OPERATIONS - OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
FY 2022 RECOMMENDED  
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	68,901,000	0	49,900,000	0
Community Supervision	1,425,000	0	0	0
Correctional Industries	75,637,000	0	25,563,000	0
Program Services	58,000,000	5,000,000	0	0
Support Services	3,730,000	0	214,000	0
<b>Total</b>	<b>207,693,000</b>	<b>5,000,000</b>	<b>75,677,000</b>	<b>0</b>

Program	Nonpersonal Service	
	Amount	Change
Administration	19,001,000	0
Community Supervision	1,425,000	0
Correctional Industries	50,074,000	0
Program Services	58,000,000	5,000,000
Support Services	3,516,000	0
<b>Total</b>	<b>132,016,000</b>	<b>5,000,000</b>

NYS DOB | FY 2022 Executive Budget | Agency Appropriations  
**AID TO LOCALITIES**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE**  
**APPROPRIATIONS**  
(dollars)

Fund Type	Available FY 2021	Recommended FY 2022	Change
General Fund	20,493,000	25,493,000	5,000,000
Internal Service Funds	9,000,000	9,000,000	0
<b>Total</b>	<b>29,493,000</b>	<b>34,493,000</b>	<b>5,000,000</b>

**AID TO LOCALITIES**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM**  
**APPROPRIATIONS**  
(dollars)

Program	Available FY 2021	Recommended FY 2022	Change
Community Supervision			
General Fund	5,613,000	5,613,000	0
Internal Service Funds	9,000,000	9,000,000	0
Health Services			
General Fund	14,000,000	14,000,000	0
Program Services			
General Fund	680,000	680,000	0
Support Services			
General Fund	200,000	5,200,000	5,000,000
<b>Total</b>	<b>29,493,000</b>	<b>34,493,000</b>	<b>5,000,000</b>

**CAPITAL PROJECTS**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM**  
**APPROPRIATIONS**  
(dollars)

Comprehensive Construction Program	Available FY 2021	Recommended FY 2022	Change	Reappropriations FY 2022
Facilities Maintenance and Operations				
Correctional Facilities Capital Improvement Fund	93,000,000	105,000,000	12,000,000	49,673,000
Maintenance and Improvement of Existing Facilities				
Correctional Facilities Capital Improvement Fund	332,000,000	332,000,000	0	795,701,000
<b>Total</b>	<b>425,000,000</b>	<b>437,000,000</b>	<b>12,000,000</b>	<b>845,374,000</b>

Note: Most recent estimates as of 01/19/2021