

Transportation, Department of

Mission

It is the mission of the New York State Department of Transportation (DOT) to ensure its customers – those who live, work and travel in New York State – have a safe, efficient, balanced, and environmentally sound transportation system.

The DOT directly maintains and improves the State's approximately 44,500 State highway lane miles and nearly 7,900 bridges. In addition, the Department partially funds locally-operated transit systems, local government highway and bridge construction, and rail, airport, and canal programs.

Organization and Staffing

The Department is headed by a Commissioner appointed by the Governor, subject to Senate confirmation. The Department's main office is in Albany, with 11 regional offices in Schenectady, Utica, Syracuse, Rochester, Buffalo, Hornell, Watertown, Poughkeepsie, Binghamton, Hauppauge, and New York City. The Department also maintains highway maintenance and equipment repair facilities across the State.

Employees of the Department perform such activities as highway maintenance, snow and ice removal, highway and bridge design, and construction inspection. In addition, the Department's staff inspects school and charter buses, regulates commercial transportation, oversees public transportation systems and State-owned airports, and provides administrative support for the Department.

Budget Highlights

The FY 2021 Executive Budget recommends \$11.8 billion for the Department, an increase of \$2.2 billion from the FY 2020 budget. This year-to-year change primarily reflects the increase of funding for the DOT Capital Plan and operating support to statewide transit systems from existing revenue sources.

The FY 2021 Executive Budget includes a workforce of 8,520 FTEs for the Department, unchanged from the amount funded in FY 2020.

Major budget actions include:

- **DOT Capital Plan:** The Executive Budget provides funding for a new, 2-Year \$11.9 billion DOT Capital Plan beginning in FY 2021. Compared to the final two years of the last DOT Capital Plan, this is an increase of \$3.0 billion, or 33 percent. This historic, record level of funding is proposed over a shorter, two year period due to Federal funding uncertainty. These investments will support needed improvements to New York State's highways, bridges, rail, aviation, and non-MTA transit infrastructure.
- **Transit Operating Support:** The Executive Budget provides operating support totaling \$6.8 billion to statewide transit systems. The MTA will receive \$6.2 billion (through a combination of appropriated and non-appropriated resources), an increase of \$725 million from FY 2020, and other transit systems both Upstate and Downstate will receive a record-high \$630 million of annual operating assistance. The Budget once again includes an \$11 million appropriation for transit service over the Mario M. Cuomo Bridge. The Budget also includes the first year (\$20 million) of a \$100 million, five year program to electrify buses throughout the State, with a goal of 25% electric buses used by five major transit systems by 2025.
- **Highway and Bridges:** Capital Aid to Local Governments for highway and bridge projects is preserved at FY 2020 levels, with \$438.1 million provided for the Consolidated Highway Improvement Program (CHIPS) and \$39.7 million for the Marchiselli program. The Executive Budget also continues the State's commitment to providing \$100 million for local bridge projects through the BRIDGE NY program, and \$100 million for local highway improvements through the PAVE NY program.
- **Airports:** The Executive Budget provides up to \$100 million for a second round of funding for the Upstate Airport Economic Development and Revitalization. This funding supports enhancements to safety and economic development, improves airport operations and access, reduces environmental impact, and creates better passenger experiences.

For more information on this agency's budget recommendations located in the Executive Budget Briefing Book, click on the following link:

Program Highlights

Highway Construction and Maintenance: Improving the State's vital transportation infrastructure remains the agency's highest priority. During FY 2019, DOT replaced or rehabilitated more than 200 State bridges and

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completed almost 6,000 corrective and preventive bridge treatments to slow deterioration. In addition, approximately 2,100 lane miles of State highway were resurfaced or reconstructed.

Engineering: State staff and private-sector consultants perform the planning, property acquisition, design engineering, environmental reviews, surveying, materials and soils testing, and construction inspection necessary to deliver the Department’s capital program.

Local Highway Aid: The State makes a significant investment in helping localities maintain safe roads and bridges through the CHIPS, Marchiselli, BRIDGE NY and PAVE NY programs. The CHIPS program provides aid to cities, counties, towns and villages on a formula basis, while the Marchiselli program helps local governments meet required matching requirements on Federally funded projects. The BRIDGE NY and PAVE NY programs provide additional State aid for local bridge and highway projects.

Transportation Safety and Environmental Compliance: Department staff perform safety inspections of school buses throughout New York State. In addition, the Department administers safety and emissions inspections of commercial trucking on State highways, as well as a comprehensive program to ensure public safety along the State’s commercial rail corridors.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available FY 2020	Appropriations Recommended FY 2021	Change From FY 2020	Reappropriations Recommended FY 2021
State Operations	429,211,000	428,331,000	(880,000)	410,158,000
Aid To Localities	3,656,028,300	4,192,290,600	536,262,300	585,083,500
Capital Projects	5,487,873,000	7,156,943,000	1,669,070,000	18,846,312,000
Total	9,573,112,300	11,777,564,600	2,204,452,300	19,841,553,500

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ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS

Program	FY 2020 Estimated FTEs 03/31/20	FY 2021 Estimated FTEs 03/31/21	FTE Change
Bus Safety			
General Fund	95	95	0
Design and Construction			
Capital Projects Funds - Other	2,558	2,558	0
Motor Carrier Safety			
General Fund	54	54	0
New York Metropolitan Transportation Council			
Special Revenue Funds - Federal	53	53	0
Non-Federally Aided Highway Capital Projects - Administration			
Capital Projects Funds - Other	422	422	0
Operations			
General Fund	2,385	2,385	0
Office of Passenger and Freight Transportation Program			
Special Revenue Funds - Federal	73	73	0
Special Revenue Funds - Other	46	46	0
Planning and Program Management			
Capital Projects Funds - Other	545	545	0
Preventive Maintenance			
Capital Projects Funds - Other	2,113	2,113	0
Rail Safety			
General Fund	11	11	0
Real Estate			
Capital Projects Funds - Other	165	165	0
Total	8,520	8,520	0

STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2020	Recommended FY 2021	Change
General Fund	380,772,000	380,772,000	0
Special Revenue Funds - Federal	31,189,000	30,767,000	(422,000)
Special Revenue Funds - Other	17,250,000	16,792,000	(458,000)
Total	429,211,000	428,331,000	(880,000)

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STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2020	Recommended FY 2021	Change
Bus Safety			
General Fund	8,680,000	8,680,000	0
Motor Carrier Safety			
General Fund	7,492,000	7,492,000	0
Office of Passenger and Freight Transportation Program			
Special Revenue Funds - Federal	31,189,000	30,767,000	(422,000)
Special Revenue Funds - Other	14,040,000	13,582,000	(458,000)
Operations			
General Fund	363,648,000	363,648,000	0
Special Revenue Funds - Other	3,210,000	3,210,000	0
Rail Safety			
General Fund	952,000	952,000	0
Total	429,211,000	428,331,000	(880,000)

STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
FY 2021 RECOMMENDED
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Bus Safety	7,966,000	0	7,032,000	0
Motor Carrier Safety	4,245,000	0	4,053,000	0
Operations	163,648,000	0	124,781,000	0
Rail Safety	847,000	0	797,000	0
Total	176,706,000	0	136,663,000	0

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Bus Safety	0	0	934,000	431,000
Motor Carrier Safety	0	0	192,000	110,000
Operations	4,102,000	0	34,765,000	30,675,000
Rail Safety	0	0	50,000	33,000
Total	4,102,000	0	35,941,000	31,249,000

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STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
FY 2021 RECOMMENDED
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Bus Safety	714,000	0	30,000	0
Motor Carrier Safety	3,247,000	0	94,000	0
Operations	200,000,000	0	137,951,000	0
Rail Safety	105,000	0	18,000	0
Total	204,066,000	0	138,093,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Bus Safety	498,000	0	78,000	0
Motor Carrier Safety	120,000	0	3,015,000	0
Operations	102,000	0	61,400,000	0
Rail Safety	74,000	0	6,000	0
Total	794,000	0	64,499,000	0

Program	Equipment	
	Amount	Change
Bus Safety	108,000	0
Motor Carrier Safety	18,000	0
Operations	547,000	0
Rail Safety	7,000	0
Total	680,000	0

STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
FY 2021 RECOMMENDED
(dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Office of Passenger and Freight Transportation Program	44,349,000	(880,000)	17,907,000	0
Operations	3,210,000	0	0	0
Total	47,559,000	(880,000)	17,907,000	0

Program	Nonpersonal Service	
	Amount	Change
Office of Passenger and Freight Transportation Program	26,442,000	(880,000)
Operations	3,210,000	0
Total	29,652,000	(880,000)

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AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2020	Recommended FY 2021	Change
General Fund	109,850,800	109,850,800	0
Special Revenue Funds - Federal	73,300,000	79,000,000	5,700,000
Special Revenue Funds - Other	3,472,877,500	4,003,439,800	530,562,300
Total	3,656,028,300	4,192,290,600	536,262,300

AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2020	Recommended FY 2021	Change
Additional Mass Transportation Assistance Program			
General Fund	65,720,000	65,720,000	0
Dedicated Mass Transportation Trust Fund Program			
Special Revenue Funds - Other	727,146,000	725,652,000	(1,494,000)
Local Transportation Planning Studies Program			
Special Revenue Funds - Federal	33,500,000	36,000,000	2,500,000
Mass Transportation Assistance Program			
General Fund	25,251,000	25,251,000	0
Mass Transportation Operating Assistance Fund Program			
Special Revenue Funds - Other	2,265,947,700	2,649,547,700	383,600,000
Mass Transportation Operating Assistance Program			
General Fund	18,879,800	18,879,800	0
Special Revenue Funds - Other	202,990,100	202,990,100	0
Metropolitan Transportation Authority Support Program			
Special Revenue Funds - Other	276,793,700	425,250,000	148,456,300
Office of Passenger and Freight Transportation Program			
Special Revenue Funds - Federal	17,900,000	18,000,000	100,000
Rural and Small Urban Transit Aid Program			
Special Revenue Funds - Federal	21,900,000	25,000,000	3,100,000
Total	3,656,028,300	4,192,290,600	536,262,300

CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Comprehensive Construction Program	Available FY 2020	Recommended FY 2021	Change	Reappropriations FY 2021
Aviation				
Airport or Aviation Program				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	358,000
Capital Projects Fund - Aviation (Bondable)	0	0	0	2,010,000

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Airport or Aviation Program -- Bondable				
Capital Projects Fund - Aviation (Bondable)	0	0	0	824,000
Airport or Aviation State Program				
Dedicated Highway and Bridge Trust Fund	4,000,000	4,000,000	0	39,116,000
Regional Aviation Fund	0	0	0	8,468,000
Aviation				
Transportation Capital Facilities Bond Fund	0	0	0	3,329,000
Federal Airport or Aviation				
Federal Capital Projects Fund	6,000,000	6,000,000	0	64,933,000
New York Works				
Capital Projects Fund - Authority Bonds	12,500,000	112,500,000	100,000,000	118,639,000
Non-Federal Aided Highway Capital Projects				
Dedicated Highway and Bridge Trust Fund	1,243,000	1,232,000	(11,000)	1,994,000
Transportation Bondable				
Cap Proj Fund - Rebuild Renew NY 2005 (Bondable)	0	0	0	9,758,000
Bond Proceeds				
Rebuild and Renew New York Transportation Bonds of 2005				
Rebuild and Renew New York Transp Bonds of 2005	0	0	0	453,352,000
Canals and Waterways				
Canals and Waterways - Bondable				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	484,000
Transportation Bondable				
Cap Proj Fund - Rebuild Renew NY 2005 (Bondable)	0	0	0	16,139,000
Economic Development				
New York Works				
Capital Projects Fund - Authority Bonds	0	0	0	68,274,000
Non-Federal Aided Highway Capital Projects				
Dedicated Highway and Bridge Trust Fund	0	0	0	164,275,000
Health and Safety				
Dedicated Highway and Bridge Trust Fund	0	0	0	10,995,000
Highway Facilities				
Accelerated Capacity and Transportation Improvements Fund				
Accelerated Capacity and Transport Improvements Fund	0	0	0	23,010,000
Airport or Aviation State Program				
Dedicated Highway and Bridge Trust Fund	0	0	0	24,000
American Recovery and Reinvestment Act				
Federal Stimulus	0	0	0	26,629,000
Engineering Services				
Engineering Services Fund	0	0	0	121,555,000
Federal Operating Grants Fund	19,241,000	19,090,000	(151,000)	98,660,000

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Federal Aid Highways - Bondable Purpose				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	6,080,000
Federal Aid Highways - Federal Purpose				
Federal Capital Projects Fund	2,520,000,000	2,520,000,000	0	9,259,472,000
Highway Facilities				
Dedicated Highway and Bridge Trust Fund	0	0	0	11,918,000
Infrastructure Bond Act Projects				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	7,251,000
Maintenance Facilities				
Dedicated Highway and Bridge Trust Fund	5,000,000	0	(5,000,000)	3,669,000
Multi-Modal				
Dedicated Highway and Bridge Trust Fund	0	0	0	31,973,000
Municipal Highway - Railroad Crossing Alterations				
Dedicated Highway and Bridge Trust Fund	0	0	0	1,567,000
New York State Agency Fund				
Miscellaneous New York State Agency Fund	50,000,000	50,000,000	0	562,726,000
New York Works				
Capital Projects Fund - Authority Bonds	77,214,000	0	(77,214,000)	959,348,000
Dedicated Highway and Bridge Trust Fund	0	0	0	13,529,000
Federal Capital Projects Fund	0	0	0	13,983,000
Non-Federal Aided Highway Capital Projects				
Dedicated Highway and Bridge Trust Fund	1,320,902,000	1,324,594,000	3,692,000	2,816,137,000
Other Highway Aid				
Dedicated Highway and Bridge Trust Fund	0	0	0	1,150,000
Other Transportation Aid				
Capital Projects Fund - Authority Bonds	542,797,000	477,797,000	(65,000,000)	850,367,000
Priority Bond Act Projects				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	7,056,000
Road and Bridge Improvements - Bondable				
Capital Projects Fund - AC and TI Fund (Bondable)	0	0	0	23,009,000
Small and Minority and Women-Owned Small Business Assistance				
Dedicated Highway and Bridge Trust Fund	0	0	0	3,500,000
Transportation Bondable				
Cap Proj Fund - Rebuild Renew NY 2005 (Bondable)	0	0	0	14,665,000
Transportation Infrastructure Renewal Bond Fund				
Transportation Infrastructure Renewal Bond Fund	0	0	0	24,753,000
Infrastructure				

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New York Works				
Capital Projects Fund - Authority Bonds	346,175,000	2,030,000,000	1,683,825,000	1,372,930,000
Maintenance Facilities				
Maintenance Facilities				
Dedicated Highway and Bridge Trust Fund	27,405,000	32,405,000	5,000,000	87,752,000
Mass Transportation and Rail Freight				
American Recovery and Reinvestment Act				
Federal Stimulus	0	0	0	117,988,000
Mass Transportation				
Capital Projects Fund	38,500,000	38,500,000	0	173,127,000
Mass Transportation and Rail Freight				
Capital Projects Fund	18,500,000	18,500,000	0	255,348,000
Capital Projects Fund - Authority Bonds	0	0	0	20,712,000
Capital Projects Fund - Energy Conservation (Bondable)	0	0	0	148,000
Capital Projects Fund – Settlement Funds	0	0	0	13,213,000
Dedicated Highway and Bridge Trust Fund	54,330,000	54,330,000	0	187,844,000
Federal Capital Projects Fund	0	0	0	19,573,000
Mass Transportation and Rail Freight Bondable				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	2,682,000
New York Works				
Capital Projects Fund - Authority Bonds	65,000,000	91,000,000	26,000,000	183,989,000
Other Transportation Aid				
Federal Capital Projects Fund	0	0	0	53,328,000
Rail Freight				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	120,000
Capital Projects Fund	0	0	0	1,291,000
Capital Projects Fund - Advances	0	0	0	21,360,000
Rail Preservation and Development Fund				
Rail Preservation Development Bond Fund	0	0	0	164,000
Small and Minority and Women-Owned Small Business Assistance				
Capital Projects Fund	0	0	0	5,000,000
Special Rail and Aviation Program				
Capital Projects Fund	0	0	0	4,651,000
Transportation Bondable				
Cap Proj Fund - Rebuild Renew NY 2005 (Bondable)	0	0	0	14,253,000
Port Development				
Port Development Bondable				
Cap Proj Fund - Infrastructure Renewal (Bondable)	0	0	0	55,000
Transportation Bondable				
Cap Proj Fund - Rebuild Renew NY 2005 (Bondable)	0	0	0	7,072,000

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Preservation of Facilities				
Non-Federal Aided Highway Capital Projects				
Capital Projects Fund	0	0	0	74,000
Dedicated Highway and Bridge Trust Fund	379,066,000	376,995,000	(2,071,000)	458,659,000
Total	5,487,873,000	7,156,943,000	1,669,070,000	18,846,312,000

Note: Most recent estimates as of 01/29/2020