

## Public Ethics, Joint Commission on

### Mission

The mission of the Joint Commission on Public Ethics (JCOPE) is to promote public trust in government by ensuring compliance with the State's ethics and lobbying laws. Established in 2011 by the Public Integrity Reform Act, JCOPE was granted broad oversight over the executive and legislative branches of government.

### Organization and Staffing

The Commission consists of 14 members. Appointing authority and terms for the commissioners vary according to the law. A Chairperson is selected and appointed by the Governor. JCOPE maintains a statewide presence with offices in Albany, New York City and Buffalo. The commissioners appoint the Executive Director, responsible for overseeing JCOPE's day-to-day operation and core functions. The Executive Director is also charged with establishing an organization plan to streamline operations and improve efficiency and effectiveness. JCOPE's mission is carried out by five divisions: Ethics, Lobbying, Investigations/Enforcement, Communications/Public Information, and Administration.

### Budget Highlights

The FY 2021 Executive Budget recommends \$5.6 million All Funds appropriation and a workforce of 52 FTEs, unchanged from the previous fiscal year.

### Program Highlights

JCOPE has jurisdiction over executive and legislative officials and employees, who file approximately 35,000 financial disclosure statements annually, as well as approximately 7,800 lobbyists and their 5,000 clients who reported spending \$262 million for their lobbying efforts during 2018. JCOPE provides information, education and guidance regarding current ethics and lobbying laws, and ensures compliance with these laws through audits, investigations and enforcement proceedings. JCOPE, upon request, provides the public with required disclosures filed by those under its jurisdiction.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available FY 2020	Appropriations Recommended FY 2021	Change From FY 2020	Reappropriations Recommended FY 2021
State Operations	5,582,000	5,582,000	0	0
<b>Total</b>	<b>5,582,000</b>	<b>5,582,000</b>	<b>0</b>	<b>0</b>

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	FY 2020 Estimated FTEs 03/31/20	FY 2021 Estimated FTEs 03/31/21	FTE Change
Public Ethics			
General Fund	52	52	0
<b>Total</b>	<b>52</b>	<b>52</b>	<b>0</b>

NYS DOB | FY2021 Executive Budget | Agency Appropriations  
**STATE OPERATIONS**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE**  
**APPROPRIATIONS**  
(dollars)

Fund Type	Available FY 2020	Recommended FY 2021	Change
General Fund	5,582,000	5,582,000	0
<b>Total</b>	<b>5,582,000</b>	<b>5,582,000</b>	<b>0</b>

**STATE OPERATIONS**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM**  
**APPROPRIATIONS**  
(dollars)

Program	Available FY 2020	Recommended FY 2021	Change
Public Ethics			
General Fund	5,582,000	5,582,000	0
<b>Total</b>	<b>5,582,000</b>	<b>5,582,000</b>	<b>0</b>

**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES**  
**FY 2021 RECOMMENDED**  
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Public Ethics	4,682,000	0	4,637,000	0
<b>Total</b>	<b>4,682,000</b>	<b>0</b>	<b>4,637,000</b>	<b>0</b>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Public Ethics	0	0	45,000	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>45,000</b>	<b>0</b>

**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED**  
**APPROPRIATIONS AND CHANGES**  
**FY 2021 RECOMMENDED**  
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Public Ethics	900,000	0	80,000	0
<b>Total</b>	<b>900,000</b>	<b>0</b>	<b>80,000</b>	<b>0</b>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Public Ethics	40,000	0	730,000	0

NYS DOB | FY2021 Executive Budget | Agency Appropriations

<b>Total</b>	<b>40,000</b>	<b>0</b>	<b>730,000</b>	<b>0</b>
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<b>Program</b>	<b>Amount</b>	<b>Equipment</b>	<b>Change</b>
Public Ethics		50,000	0
<b>Total</b>		<b>50,000</b>	<b>0</b>

Note: Most recent estimates as of 01/29/2020