

Mental Health, Office of

Mission

The Office of Mental Health's (OMH) mission is to promote the mental health of all New Yorkers, with a particular focus on facilitating hope and recovery for adults and children with serious mental illness or emotional disturbances.

Organization and Staffing

The Office of Mental Health (OMH) has a Central Office in Albany, which oversees State Operated and Local Programs, and the State directly operates 24 psychiatric facilities. The Local Programs are delivered by a combination of local government and community-based service providers; oversight is provided by OMH's Central Office program and fiscal staff, and by five regional Field Office Directors, who work with local Directors of Community Services, regional program and fiscal staff, and report to the Commissioner. The overall workforce is projected to decrease by 105 FTEs to 13,652.

Budget Highlights

The Executive Budget provides \$4.5 billion All Funds appropriations, a net increase of \$50 million (1.1%), reflecting the investment in community-based services, targeted salary increases for direct care and clinical staff, and residential stipend enhancements.

The Executive Budget builds on Governor Cuomo's efforts to expand OMH community services and transition individuals to more appropriate and cost-effective community settings. OMH has continued to enhance its service offerings in recent years, by expanding supported housing units throughout the State, providing additional peer support services, and developing new services, such as mobile crisis teams. Since FY 2015, the expansion in community-based services has resulted in 52,500 previously unserved individuals receiving services and funded over 1,970 additional supported housing beds. The success of these community investments has resulted in the reduction of over 700 unnecessary, vacant inpatient beds over the same time period. Specifically, the Budget will:

- **Enhance Support for Existing Residential Programs.** The Budget provides an additional \$20 million for existing community-based residential programs. This investment will help preserve access to housing, a critical component of recovery. Since FY 2015, annual funding to enhance support for these existing housing programs has increased by \$70 million.
- **Transform Kingsboro Psychiatric Center into a Recovery Hub.** The FY 2021 Executive Budget includes a plan to develop a voluntary-operated, step-down transition to community residence program on the Kingsboro PC campus and transform the campus into a "Recovery Hub Facility", focused on shortening lengths of stay and providing centralized community support services. This new service delivery model will be supported by new revenue generated through a federal Institutions for Mental Disease (IMD) Medicaid waiver. This transition is consistent with OMH's patient-centered approach to care with an emphasis on recovery.
- **Support High-Need Individuals.** The Budget provides an additional \$12.5 million for certain individuals living in transitional adult homes in New York City who wish to transition to more integrated settings in the community.
- **Invest in Infrastructure.** The FY 2020 Budget included \$100 million in appropriation authority to support the replacement of the Mid-Hudson Forensic Psychiatric Center in Orange County, which includes buildings over 100 years old that are not designed for current standards of care. The Executive Budget provides a second \$100 million appropriation for this purpose. The Budget also includes \$60 million to maintain and preserve community-based residential facilities that allow people with mental illness to live in the most integrated setting possible.
- **Establish Jail-Based Restoration Programs for Certain Defendants.** The FY 2021 Executive Budget establishes the authority to provide mental health restoration services to inmates in jail awaiting trial. Currently, defendants who are deemed incompetent to stand trial are treated at an OMH inpatient psychiatric hospital until they are restored to competency and returned to jail to await trial. This cycle often repeats itself with multiple inpatient stays at a psychiatric hospital, extending the time individuals are detained prior to trial. OMH will work with counties on a voluntary basis to develop specialized residential treatment units within their jails, ultimately reducing the time individuals with mental illness spend in jail awaiting justice. This program has been identified as a best practice by the National Judicial College and has been implemented successfully in nine other states including California, Colorado, and Virginia.

For more information on this agency's budget recommendations located in the Executive Budget Briefing Book,

click on the following link:

Program Highlights

New York State has a large, multi-faceted, public mental health system that serves more than 800,000 individuals each year. OMH operates psychiatric centers across the State, and regulates, certifies and oversees more than 4,500 programs, which are operated by local governments and nonprofit agencies. These programs fall into four major categories:

- State Operated Services:** OMH operates two world-renowned Research Institutes and 22 psychiatric centers that provide over 3,800 inpatient beds for Adult, Children and Youth, Forensic, and Sex Offender populations. The agency also runs over 80 outpatient clinics, roughly 1,300 residential beds, and a range of community programs in State prison settings. As part of their ongoing transformation efforts, OMH continues to transition individuals with mental illness to more integrated community-based settings. These efforts have allowed OMH to decrease State operated census and workforce over the last five years without compromising clinical care.
- Adult Residential Programs:** For individuals with mental illness, safe and affordable housing is an essential element of recovery. OMH oversees a large array of adult housing resources and residential habilitation programs in New York State, including congregate treatment, licensed apartments, single room residences, and supported housing. Each residential setting is designed to provide the supports and services necessary for individuals to live in the least restrictive setting possible.
- Adult Non-Residential Programs:** OMH contracts with local governments and not-for-profit agencies to provide community-based services and supports for individuals with mental illness. The agency supports outpatient programs, including clinic treatment, crisis intervention, emergency services, advocacy and support, and other community services that promote recovery.
- Children and Youth Programs:** OMH oversees a variety of programs to support children and youth, and their families, including inpatient programs, residential treatment facilities, and community-based services and supports.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available FY 2020	Appropriations Recommended FY 2021	Change From FY 2020	Reappropriations Recommended FY 2021
State Operations	2,286,733,000	2,274,533,000	(12,200,000)	3,648,000
Aid To Localities	1,582,571,500	1,645,317,000	62,745,500	59,926,500
Capital Projects	534,472,000	534,472,000	0	3,127,979,000
Total	4,403,776,500	4,454,322,000	50,545,500	3,191,553,500

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ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS

Program	FY 2020 Estimated FTEs 03/31/20	FY 2021 Estimated FTEs 03/31/21	FTE Change
Administration and Finance			
General Fund	275	265	(10)
Special Revenue Funds - Federal	11	11	0
Internal Service Funds	10	10	0
Adult Services			
General Fund	8,269	7,587	(682)
Capital Planning			
Capital Projects Funds - Other	683	683	0
Children and Youth Services			
General Fund	1,393	1,393	0
Forensic Services			
General Fund	2,709	2,669	(40)
Research in Mental Illness			
General Fund	407	399	(8)
Secure Treatment			
General Fund	0	635	635
Total	13,757	13,652	(105)

STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2020	Recommended FY 2021	Change
Enterprise Funds	8,606,000	8,606,000	0
General Fund	2,255,535,000	2,243,335,000	(12,200,000)
Internal Service Funds	2,597,000	2,597,000	0
Special Revenue Funds - Federal	2,513,000	2,513,000	0
Special Revenue Funds - Other	17,482,000	17,482,000	0
Total	2,286,733,000	2,274,533,000	(12,200,000)

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STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2020	Recommended FY 2021	Change
Administration and Finance			
Enterprise Funds	8,606,000	8,606,000	0
General Fund	92,567,000	89,067,000	(3,500,000)
Internal Service Funds	2,597,000	2,597,000	0
Special Revenue Funds - Federal	2,513,000	2,513,000	0
Special Revenue Funds - Other	4,402,000	4,402,000	0
Adult Services			
General Fund	1,492,506,000	1,401,105,000	(91,401,000)
Special Revenue Funds - Other	5,850,000	5,850,000	0
Children and Youth Services			
General Fund	248,263,000	248,263,000	0
Forensic Services			
General Fund	331,957,000	330,257,000	(1,700,000)
Research in Mental Illness			
General Fund	90,242,000	89,742,000	(500,000)
Special Revenue Funds - Other	7,230,000	7,230,000	0
Secure Treatment			
General Fund	0	84,901,000	84,901,000
Total	2,286,733,000	2,274,533,000	(12,200,000)

STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
FY 2021 RECOMMENDED
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration and Finance	38,960,000	(500,000)	37,876,000	(486,000)
Adult Services	720,045,000	(49,300,000)	669,524,000	(41,699,000)
Children and Youth Services	137,499,000	0	125,452,000	0
Forensic Services	196,255,000	(1,500,000)	164,618,000	(1,258,000)
Research in Mental Illness	48,416,000	(500,000)	47,475,000	(490,000)
Secure Treatment	46,800,000	46,800,000	39,388,000	39,388,000
Total	1,187,975,000	(5,000,000)	1,084,333,000	(4,545,000)

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Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration and Finance	830,000	(11,000)	254,000	(3,000)
Adult Services	3,761,000	(1,016,000)	46,760,000	(6,585,000)
Children and Youth Services	2,464,000	0	9,583,000	0
Forensic Services	2,378,000	(18,000)	29,259,000	(224,000)
Research in Mental Illness	77,000	(1,000)	864,000	(9,000)
Secure Treatment	1,000,000	1,000,000	6,412,000	6,412,000
Total	10,510,000	(46,000)	93,132,000	(409,000)

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
FY 2021 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Finance	50,107,000	(3,000,000)	1,003,000	(115,000)
Adult Services	681,060,000	(42,101,000)	88,291,000	(6,209,000)
Children and Youth Services	110,764,000	0	12,973,000	0
Forensic Services	134,002,000	(200,000)	11,464,000	(115,000)
Research in Mental Illness	41,326,000	0	3,787,000	0
Secure Treatment	38,101,000	38,101,000	4,498,000	4,498,000
Total	1,055,360,000	(7,200,000)	122,016,000	(1,941,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Finance	878,000	(101,000)	23,598,000	(2,702,000)
Adult Services	2,382,000	(114,000)	117,411,000	(3,816,000)
Children and Youth Services	680,000	0	14,215,000	0
Forensic Services	594,000	(6,000)	6,831,000	(69,000)
Research in Mental Illness	30,000	0	8,025,000	0
Secure Treatment	69,000	69,000	1,620,000	1,620,000
Total	4,633,000	(152,000)	171,700,000	(4,967,000)

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Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration and Finance	718,000	(82,000)	22,788,000	0
Adult Services	2,184,000	(469,000)	447,671,000	(29,887,000)
Children and Youth Services	864,000	0	78,182,000	0
Forensic Services	990,000	(10,000)	108,767,000	0
Research in Mental Illness	300,000	0	27,814,000	0
Secure Treatment	421,000	421,000	29,887,000	29,887,000
Total	5,477,000	(140,000)	715,109,000	0

Program	Special Departmental Charges	
	Amount	Change
Administration and Finance	1,122,000	0
Adult Services	23,121,000	(1,606,000)
Children and Youth Services	3,850,000	0
Forensic Services	5,356,000	0
Research in Mental Illness	1,370,000	0
Secure Treatment	1,606,000	1,606,000
Total	36,425,000	0

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
FY 2021 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Finance	18,118,000	0	3,044,000	0
Adult Services	5,850,000	0	0	0
Research in Mental Illness	7,230,000	0	1,915,000	0
Total	31,198,000	0	4,959,000	0

Program	Nonpersonal Service	
	Amount	Change
Administration and Finance	15,074,000	0
Adult Services	5,850,000	0
Research in Mental Illness	5,315,000	0
Total	26,239,000	0

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AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2020	Recommended FY 2021	Change
General Fund	1,518,370,500	1,581,116,000	62,745,500
Special Revenue Funds - Federal	56,421,000	56,421,000	0
Special Revenue Funds - Other	7,780,000	7,780,000	0
Total	1,582,571,500	1,645,317,000	62,745,500

AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2020	Recommended FY 2021	Change
Adult Services			
General Fund	1,271,053,500	1,333,799,000	62,745,500
Special Revenue Funds - Federal	48,905,000	48,905,000	0
Special Revenue Funds - Other	7,780,000	7,780,000	0
Children and Youth Services			
General Fund	247,317,000	247,317,000	0
Special Revenue Funds - Federal	7,516,000	7,516,000	0
Total	1,582,571,500	1,645,317,000	62,745,500

CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Comprehensive Construction Program	Available FY 2020	Recommended FY 2021	Change	Reappropriations FY 2021
Community Mental Health Facilities				
Capital Projects Fund	6,000,000	6,000,000	0	46,751,000
MH Capital Improvements - Authority Bonds	65,722,000	65,722,000	0	555,323,000
Executive Direction				
Capital Projects Fund	0	0	0	2,445,000
Maintenance and Improvements of Existing Facilities				
Capital Projects Fund	0	0	0	3,223,000
MH Capital Improvements - Authority Bonds	0	0	0	936,556,000
Non-Bondable Projects				
Capital Projects Fund	1,000,000	1,000,000	0	6,045,000
State Mental Health Facilities				
Capital Projects Fund	86,750,000	86,750,000	0	146,956,000
MH Capital Improvements - Authority Bonds	375,000,000	375,000,000	0	1,430,680,000
Total	534,472,000	534,472,000	0	3,127,979,000

