

## Judicial Conduct, Commission on

### Mission

The State Constitution established the Commission on Judicial Conduct to evaluate and investigate complaints of misconduct against State judges and local justices. The Commission has disciplinary powers and may render determinations to admonish, censure or remove judges and justices from office for misconduct, or to retire judges for disability, subject to review by the Court of Appeals pursuant to Article 6, Section 22, of the Constitution.

### Organization and Staffing

There are 11 members of the Commission, who serve part-time and without compensation. Each serves a renewable four-year term and is appointed by the Governor, the Chief Judge and the Legislature.

The Commission elects a Chair and a Vice Chair from among its members for renewable two-year terms. The Commission appoints an Administrator, who in turn appoints and directs Agency staff. The Commission also designates a Clerk to assist the Commission in its consideration of formal disciplinary charges, adjudication of such charges and preparation of determinations and related matters.

The Commission's principal office is in New York City with satellite offices in Albany and Rochester. Each office is responsible for investigating complaints that concern judges in their respective regions of the state.

### Budget Highlights

The FY 2021 Executive Budget recommends \$6 million All Funds appropriation with a workforce of 43 FTEs. The budget and workforce remain unchanged from the previous fiscal year.

### Program Highlights

The Commission's core constitutional mission is to enforce ethics standards for approximately 3,350 judges and justices of the New York State Unified Court System, and to impose discipline on those judges or justices who materially violate those standards. The Commission does so by evaluating and taking appropriate action on the roughly 1,900 complaints it receives every year. All agency staff – lawyers, investigators and administrative personnel – are deployed to fulfill the Agency's core mission: examine, investigate, prosecute and adjudicate complaints that judges have engaged in misconduct. Ancillary activities, such as participating in judicial or legal education and training seminars, are also in furtherance of the agency's efforts to enforce judicial ethics.

### ALL FUNDS APPROPRIATIONS (dollars)

Category	Available FY 2020	Appropriations Recommended FY 2021	Change From FY 2020	Reappropriations Recommended FY 2021
State Operations	6,026,000	6,026,000	0	0
<b>Total</b>	<b>6,026,000</b>	<b>6,026,000</b>	<b>0</b>	<b>0</b>

### ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	FY 2020 Estimated FTEs 03/31/20	FY 2021 Estimated FTEs 03/31/21	FTE Change
Judicial Conduct			
General Fund	43	43	0
<b>Total</b>	<b>43</b>	<b>43</b>	<b>0</b>

NYS DOB | FY2021 Executive Budget | Agency Appropriations  
**STATE OPERATIONS**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE**  
**APPROPRIATIONS**  
(dollars)

Fund Type	Available FY 2020	Recommended FY 2021	Change
General Fund	6,026,000	6,026,000	0
<b>Total</b>	<b>6,026,000</b>	<b>6,026,000</b>	<b>0</b>

**STATE OPERATIONS**  
**ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM**  
**APPROPRIATIONS**  
(dollars)

Program	Available FY 2020	Recommended FY 2021	Change
Judicial Conduct			
General Fund	6,026,000	6,026,000	0
<b>Total</b>	<b>6,026,000</b>	<b>6,026,000</b>	<b>0</b>

**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES**  
**FY 2021 RECOMMENDED**  
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Judicial Conduct	4,642,000	0	4,605,000	0
<b>Total</b>	<b>4,642,000</b>	<b>0</b>	<b>4,605,000</b>	<b>0</b>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Judicial Conduct	37,000	0	0	0
<b>Total</b>	<b>37,000</b>	<b>0</b>	<b>0</b>	<b>0</b>

**STATE OPERATIONS - GENERAL FUND**  
**SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED**  
**APPROPRIATIONS AND CHANGES**  
**FY 2021 RECOMMENDED**  
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Judicial Conduct	1,384,000	0	43,000	0
<b>Total</b>	<b>1,384,000</b>	<b>0</b>	<b>43,000</b>	<b>0</b>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Judicial Conduct	40,000	(60,000)	1,275,000	60,000

NYS DOB | FY2021 Executive Budget | Agency Appropriations

<b>Total</b>	<b>40,000</b>	<b>(60,000)</b>	<b>1,275,000</b>	<b>60,000</b>
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<b>Program</b>	<b>Amount</b>	<b>Equipment</b>	<b>Change</b>
Judicial Conduct		26,000	0
<b>Total</b>		<b>26,000</b>	<b>0</b>

Note: Most recent estimates as of 01/29/2020