

Corrections and Community Supervision, Department of

Mission

The Department of Corrections and Community Supervision (DOCCS) is responsible for providing services that incarcerated individuals need in safe and secure facilities, preparing incarcerated individuals for release, and ensuring they receive appropriate monitoring and support while under community supervision. The goal of the Department is to enhance public safety by ensuring the successful completion of an incarcerated individual's sentence to lower the risk of reoffending.

Organization and Staffing

The Department of Corrections and Community Supervision operates 52 correctional facilities across the State. Each facility is managed by a Superintendent, who reports to the Commissioner. More than 66 percent of the Department's staff are security personnel with the remaining staff primarily dedicated to the delivery of inmate programs, health services, community supervision, and facility operations.

The Parole Board operates as an independent body housed within DOCCS. The members of the Board are appointed by the Governor and confirmed by the Senate. One member is designated by the Governor to serve as the Board's Chair. Board members review the cases of incarcerated individuals eligible for parole and determine if the incarcerated individual should be released to parole supervision.

Budget Highlights

The FY 2021 Executive Budget recommends \$3.4 billion for the Department, a decrease of \$233 million from FY 2020. This decrease includes \$72.0 million in the capital program and a decrease of \$161 million in State Operations funding. Decreases in State Operations funding are largely attributable to a one-time NYSCOPBA retro payment which was disbursed in FY 2020. Capital Reductions are a result of solitary confinement projects already being underway.

The Executive Budget recommends a workforce of 27,556 FTEs for the Department, a decrease of 1,247 FTEs from FY 2020. The decrease in workforce is a result of rightsizing the Department of Corrections and Community Supervision by closing excess capacity within the system.

Program Highlights

Correctional Services

Since peaking at nearly 72,600 in 1999, the under-custody prison population is projected to decline by over 28,000 inmates to approximately 44,500 inmates by the end of the current fiscal year. This decline can be largely attributed to the dramatic drop in the State's crime rate over the last decade, and the success of legislatively-enacted programs that allow certain non-violent offenders to earn time off from their sentences for good behavior and program achievements.

As soon as an inmate enters prison, an assessment is conducted to determine the need for rehabilitative programs. The Department offers a variety of programs designed to improve the prospects of an incarcerated individual's successful re-entry into the community. These include educational programming, with the minimum goal of assisting inmates who do not have high school diplomas to receive New York State High School Equivalency Diplomas; apprenticeships; substance abuse treatment services; anger management therapy; and sex offender treatment programs.

Meeting the critical need of providing appropriate levels of medical services is important to the safety of the prison system and to the general public when an inmate is released. The Department acts quickly to diagnose and begin treatment, if necessary, when an inmate enters prison, and provides the greatest degree of services possible to seek a cure. The Department also offers mental health services at several facilities and operates state-of-the-art Residential Mental Health Units to treat inmates with serious mental illness and disciplinary sanctions.

Community Supervision

The focus of the Community Supervision Program is to provide for the successful reentry of incarcerated individuals into the community and to supervise parolees released from prison. Immediately upon being released from prison, parolees are assigned to a Parole Officer. Parole Officers supervise parolees by monitoring behavior, reviewing employment, referring to appropriate treatment programs, evaluating treatment progress, and administering drug tests. In addition to closely monitoring offenders in the community, the Department helps parolees avoid reverting to a life of crime by contracting for various services to support their return to society, such as supportive housing and employment training.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available FY 2020	Appropriations Recommended FY 2021	Change From FY 2020	Reappropriations Recommended FY 2021
State Operations	3,096,389,000	2,935,248,000	(161,141,000)	123,216,000
Aid To Localities	29,673,000	29,493,000	(180,000)	67,878,000
Capital Projects	497,000,000	425,000,000	(72,000,000)	834,311,000
Total	3,623,062,000	3,389,741,000	(233,321,000)	1,025,405,000

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Program	FY 2020 Estimated FTEs 03/31/20	FY 2021 Estimated FTEs 03/31/21	FTE Change
Administration			
General Fund	158	158	0
Special Revenue Funds - Federal	646	646	0
Enterprise Funds	7	7	0
Community Supervision			
General Fund	1,335	1,335	0
Correctional Industries			
Enterprise Funds	3	3	0
Internal Service Funds	280	280	0
Facilities Planning and Development			
Capital Projects Funds - Other	1,009	1,009	0
Health Services			
General Fund	1,637	1,594	(43)
Parole Board			
General Fund	74	74	0
Program Services			
General Fund	2,951	2,795	(156)
Supervision of Inmates			
General Fund	19,061	18,113	(948)
Support Services			
General Fund	1,638	1,538	(100)
Special Revenue Funds - Other	4	4	0
Total	28,803	27,556	(1,247)

NYS DOB | FY2021 Executive Budget | Agency Appropriations
STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2020	Recommended FY 2021	Change
Enterprise Funds	48,443,000	53,443,000	5,000,000
General Fund	2,898,696,000	2,732,555,000	(166,141,000)
Internal Service Funds	74,895,000	74,895,000	0
Special Revenue Funds - Federal	40,500,000	40,500,000	0
Special Revenue Funds - Other	33,855,000	33,855,000	0
Total	3,096,389,000	2,935,248,000	(161,141,000)
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(263,894,000)		
Appropriated FY 2020	2,832,495,000		

STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2020	Recommended FY 2021	Change
Administration			
Enterprise Funds	2,701,000	2,701,000	0
General Fund	13,564,000	13,564,000	0
Special Revenue Funds - Federal	40,500,000	40,500,000	0
Special Revenue Funds - Other	25,700,000	25,700,000	0
Community Supervision			
General Fund	134,614,000	134,614,000	0
Special Revenue Funds - Other	1,425,000	1,425,000	0
Correctional Industries			
Enterprise Funds	742,000	742,000	0
Internal Service Funds	74,895,000	74,895,000	0
Health Services			
General Fund	398,275,000	396,500,000	(1,775,000)
Parole Board			
General Fund	7,100,000	7,100,000	0
Program Services			
Enterprise Funds	45,000,000	50,000,000	5,000,000
General Fund	228,391,000	222,675,000	(5,716,000)
Special Revenue Funds - Other	3,000,000	3,000,000	0
Supervision of Inmates			
General Fund	1,766,251,000	1,611,993,000	(154,258,000)
Support Services			
General Fund	350,501,000	346,109,000	(4,392,000)
Special Revenue Funds - Other	3,730,000	3,730,000	0
Total	3,096,389,000	2,935,248,000	(161,141,000)

NYS DOB | FY2021 Executive Budget | Agency Appropriations
STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
FY 2021 RECOMMENDED
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	11,881,000	0	11,779,000	0
Community Supervision	109,339,000	0	101,939,000	0
Health Services	143,113,000	(1,775,000)	125,660,000	(1,775,000)
Parole Board	6,567,000	0	6,507,000	0
Program Services	194,578,000	(5,716,000)	188,824,000	(5,716,000)
Supervision of Inmates	1,592,136,000	(154,258,000)	1,352,491,000	(154,258,000)
Support Services	103,342,000	(3,710,000)	97,145,000	(3,710,000)
Total	2,160,956,000	(165,459,000)	1,884,345,000	(165,459,000)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	0	0	102,000	0
Community Supervision	0	0	7,400,000	0
Health Services	7,053,000	0	10,400,000	0
Parole Board	0	0	60,000	0
Program Services	4,413,000	0	1,341,000	0
Supervision of Inmates	13,890,000	0	225,755,000	0
Support Services	0	0	6,197,000	0
Total	25,356,000	0	251,255,000	0

STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
FY 2021 RECOMMENDED
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,683,000	0	338,000	0
Community Supervision	25,275,000	0	1,600,000	0
Health Services	253,387,000	0	122,676,000	0
Parole Board	533,000	0	43,000	0
Program Services	28,097,000	0	6,140,000	0
Supervision of Inmates	19,857,000	0	10,242,000	0
Support Services	242,767,000	(682,000)	176,143,000	0
Total	571,599,000	(682,000)	317,182,000	0

NYS DOB | FY2021 Executive Budget | Agency Appropriations

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	214,000	0	1,018,000	0
Community Supervision	2,258,000	0	20,812,000	0
Health Services	271,000	0	125,578,000	0
Parole Board	390,000	0	87,000	0
Program Services	368,000	0	20,839,000	0
Supervision of Inmates	2,400,000	0	5,420,000	0
Support Services	2,050,000	0	52,498,000	(682,000)
Total	7,951,000	0	226,252,000	(682,000)

Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration	113,000	0	0	0
Community Supervision	605,000	0	0	0
Health Services	4,862,000	0	0	0
Parole Board	3,000	0	10,000	0
Program Services	750,000	0	0	0
Supervision of Inmates	1,795,000	0	0	0
Support Services	11,976,000	0	100,000	0
Total	20,104,000	0	110,000	0

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
FY 2021 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	68,901,000	0	49,900,000	0
Community Supervision	1,425,000	0	0	0
Correctional Industries	75,637,000	0	25,563,000	0
Program Services	53,000,000	5,000,000	0	0
Support Services	3,730,000	0	214,000	0
Total	202,693,000	5,000,000	75,677,000	0

Program	Nonpersonal Service	
	Amount	Change
Administration	19,001,000	0
Community Supervision	1,425,000	0
Correctional Industries	50,074,000	0
Program Services	53,000,000	5,000,000
Support Services	3,516,000	0
Total	127,016,000	5,000,000

NYS DOB | FY 2021 Executive Budget | Agency Appropriations
AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2020	Recommended FY 2021	Change
General Fund	20,673,000	20,493,000	(180,000)
Internal Service Funds	9,000,000	9,000,000	0
Total	29,673,000	29,493,000	(180,000)

AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2020	Recommended FY 2021	Change
Community Supervision			
General Fund	5,613,000	5,613,000	0
Internal Service Funds	9,000,000	9,000,000	0
Health Services			
General Fund	14,000,000	14,000,000	0
Program Services			
General Fund	860,000	680,000	(180,000)
Support Services			
General Fund	200,000	200,000	0
Total	29,673,000	29,493,000	(180,000)

CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Comprehensive Construction Program	Available FY 2020	Recommended FY 2021	Change	Reappropriations FY 2021
Facilities Maintenance and Operations				
Correctional Facilities Capital Improvement Fund	93,000,000	93,000,000	0	54,379,000
Maintenance and Improvement of Existing Facilities				
Correctional Facilities Capital Improvement Fund	404,000,000	332,000,000	(72,000,000)	779,932,000
Total	497,000,000	425,000,000	(72,000,000)	834,311,000

Note: Most recent estimates as of 01/29/2020