



Division of
the Budget

MENTAL HYGIENE

\$200 million

for OASAS to address the heroin & opioid crisis



to enhance prevention, treatment and recovery programs, residential service opportunities, and public awareness and education activities.

More than **360,000 individuals** Statewide are trained to administer naloxone, and over



7,000 lives



have been saved in the past 24 months with the overdose-reversing medication.

SUPPORT FOR FAMILIES

Since 2014, New York has provided respite services to families that support nearly **45,000 enrollments** – a **17 percent increase**.



Expanding **COMMUNITY BASED SERVICES**

Since 2015 > **50,700 new individuals** are receiving services
1,305 additional housing beds



Budget Highlights

Combat Addiction. The Budget includes an increase of nearly \$26 million (4.1 percent) in operating and capital support for OASAS to continue to enhance prevention, treatment and recovery programs, residential service opportunities, and public awareness and education activities. Over \$200 million in funding is being used to address the heroin and opioid crisis.

Invest in Services for Individuals with Developmental Disabilities. For the sixth year in a row, up to \$120 million in additional funding will be available to support increased respite services, provide more employment and day program opportunities, and fund additional independent and certified residential services.

Improve OMH Housing Services. For the fourth consecutive year, \$10 million in additional funding will benefit existing supportive housing residential programs. In addition, \$60 million in capital funding will preserve community-based housing that allows people to live in the most integrated setting possible.

Invest in Infrastructure. A \$100 million appropriation will support the replacement of the Mid-Hudson Forensic Psychiatric Center in Orange County.

The FY 2020 Executive Budget supports expansion of community-based care, strengthens the oversight of services for vulnerable persons, and makes investments to ensure that individuals are served safely in the most integrated and cost-effective setting possible. The Budget also combats the heroin epidemic through an expansion of prevention, treatment, and recovery programs.

Overview

The Mental Hygiene agencies and associated community-based programs provide services to individuals with mental illness, developmental disabilities, and addictions. These agencies are composed of the Office of Mental Health (OMH), the Office for People With Developmental Disabilities (OPWDD), the Office of Alcoholism and Substance Abuse Services (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs (Justice Center). The Mental Hygiene agencies support services for more than one million individuals, including more than 800,000 people with mental illness, 240,000 individuals with substance use disorders or gambling problems, and nearly 140,000 people with developmental disabilities.

Caring for New York's Vulnerable Citizens

The State's Mental Hygiene system provides care and services for individuals in institutional facilities, community residences and community settings. The State operates institutional and community-based services and research facilities. It also oversees residential, outpatient, employment, clinic, habilitative, and treatment programs operated by community-based provider agencies that help individuals live in the setting they desire and lead full and productive lives.

The Budget reflects the Governor's ongoing efforts to fundamentally improve the protection and care of vulnerable individuals. Strategic investments are funded by programmatic efficiencies and system-wide solutions to reduce State operations costs in service delivery, purchasing, business services, information technology, and other areas. To ensure individuals receive appropriate services tailored to individual needs and

circumstances in a cost-effective manner, the Budget increases funding for community-based programs, and redirects funding from high-cost institutional services to more effective lower-cost programs that provide expanded services to more people.

Proposed actions for the Mental Hygiene agencies include enhanced efforts to combat the opioid crisis, and continued improvements in community OPWDD and behavioral health services. These investments are supported in part by continued efficiencies in program operations, and reductions in unnecessary institutional capacity.

The Executive Budget proposals result in Mental Hygiene system spending of \$7.4 billion in FY 2020, reflecting annual spending growth of \$177 million (2.4 percent).

Table 14: Summary of Mental Hygiene Spending (All Funds)

Category	FY 2019 (millions)	FY 2020 (millions)	Change	
			Dollar (millions)	Percent
OPWDD	3,601.8	3,699.7	97.9	2.7
OMH	2,937.7	2,990.0	52.3	1.8
OASAS	627.1	652.8	25.7	4.1
JUSTICE CENTER	43.8	44.4	0.6	1.4
DDPC	4.2	4.2	0.0	0.0
Subtotal	7,214.6	7,391.1	176.5	2.4
Adjustments - OPWDD ¹	(1,653.4)	(1,814.0)	(160.6)	N/A
Adjustments - OMH ¹	0.0	(220.0)	(220.0)	N/A
Total	5,561.2	5,357.1	(204.1)	(3.7)

¹Adjustments reflect OPWDD and OMH programmatic spending that is paid for with available resources under the Medicaid Global Cap. There are no budgetary reductions or impacts to mental hygiene program spending as a result of these interactions. In addition to these amounts, Federal Medicaid spending for these agencies is reflected in the DOH budget.

Proposed FY 2020 Budget Actions

The Executive Budget combats the heroin epidemic through an expansion of prevention, treatment, and recovery programs, it expands community-based care and strengthens the oversight of services for vulnerable persons, and it makes investments to ensure that individuals receiving mental hygiene services are in the most integrated and cost-effective setting possible.

Performance Profile

Addressing the Opioid Crisis. More than 360,000 individuals Statewide are trained to administer naloxone, and over 7,000 lives have been saved in the past 24 months by this overdose-reversing medication. Since 2011, OASAS has added more than 4,500 new Opioid Treatment Program slots in underserved communities.

OMH Expanding Community-Based Services. Since FY 2015, roughly 50,700 new individuals are receiving services, including 1,690 305 additional supported housing beds. These investments have resulted in the reduction of over 650 unnecessary and expensive inpatient beds.

Growing Respite Services Help More People with Developmental Disabilities Live at Home. Since 2014, the State has provided respite services to families that support the enrollment of nearly 45,000 individuals—an increase of over 17 percent.

OPWDD Building Support for More Independent Living. OPWDD has helped 5,900 individuals with developmental disabilities to live independently since 2013, an increase of 140 percent.

The Budget recommends significant investments in the OPWDD, OMH and OASAS not-for-profit workforce. These investments include resources to leverage \$214 million (an annual increase of \$107 million) to support provider costs for minimum wage and related fringe benefit cost increases associated with the movement to a \$15 an hour living wage.

Addressing the Opioid Crisis

Over the past eight years, Governor Cuomo has taken substantial steps through the Office of Alcoholism and Substance Abuse Services to combat the heroin and opiate crisis plaguing our communities by adding services, removing barriers and improving access to care. In FY 2019, statutory and regulatory changes were enacted to prohibit prior authorization and concurrent review restrictions for outpatient addiction treatment services for the first two weeks of continuous treatment; to include Credentialed Alcoholism and Substance Abuse Services Counselors (CASACs) as telehealth and early intervention providers; and to make permanent the highly successful Certified Peer Recovery Advocate Program. The Executive Budget further expands access to care by including provisions to enforce Federal behavioral health parity laws, prohibiting insurance companies from creating barriers to necessary services.

The Executive Budget also provides an increase of nearly \$26 million (4.1 percent) in operating and capital support for OASAS to continue to enhance prevention, treatment and recovery programs targeted toward chemical dependency, residential service opportunities, and public awareness and education activities. The Budget supports the following:

- **Residential Treatment Beds.** In FY 2019, the State will complete its efforts to add nearly 200 new residential beds. These new beds are integral for ensuring widespread service availability for those in need of residential care. An additional 260 beds are in capital development and are expected to open over three fiscal years.
- **Opioid Treatment Program (OTP) Slots.** The Budget fully funds over 1,200 new OTP slots added in FY 2019. These programs will provide individuals with the medications they need, including buprenorphine, to help with the State's whole-patient approach to services and care.
- **Prison and Jail Based Substance Use Disorder Services.** The Budget continues \$3.75 million in funding to support medication-assisted treatment (MAT) in local jails and leverages federal funds to expand MAT to three additional DOCCS facilities. These effective programs will support inmates in recovery and link them to community-based services prior to their release, increasing their chances of success and reducing recidivism.
- **Substance Use Disorder and Mental Health Ombudsman.** The Budget makes permanent \$1.5 million in funding to support the newly created ombudsman, a joint program between OASAS and OMH, which helps individuals and their families navigate the behavioral health care system to ensure people have access to necessary care and services. The ombudsman program also helps resolve issues when care has been delayed or denied.

Supporting People with Developmental Disabilities and their Families

Governor Cuomo's commitment, demonstrated by budget investments within the Office for People With Developmental Disabilities (OPWDD) and important policy reforms, has ensured the continued health and safety of individuals with developmental disabilities and improved the overall quality, availability and cost-effectiveness of community-based, person-centered services. This Budget continues the State's pledge to support individuals with developmental disabilities in the most appropriate community-based settings and reflects a 2.7 percent increase in annual spending. Specifically, the Executive Budget will:

- **Make \$120 Million Available for Program Priorities, Including New Service Opportunities.** For the sixth consecutive year, the Executive Budget includes State resources that could leverage up to a total of \$120 million in new funding on an annualized basis. This investment supports program reforms and will continue to meet the needs of individuals with intellectual and developmental disabilities and their families who require OPWDD supports and services, as well as individuals whose needs have changed. OPWDD has leveraged new funding and other resources to:
 - **Expand the Availability of Certified Housing Supports in the Community.** OPWDD oversees and operates one of the largest community-based residential programs in the nation, ensuring some of New York's most vulnerable residents have safe and affordable places to live. Both the State and its network of not-for-profit provider agencies offer residential opportunities that provide 24/7 supervision as well as homes that offer less intensive staffing supports. In total, OPWDD currently supports more than 37,000 individuals in certified community-based residential programs that use \$5.1 billion in public resources annually.
 - **Support More Independent Living.** OPWDD also supports people who reside on their own by providing rental subsidies to individuals who wish to live in an apartment in their community. Since FY 2013, OPWDD has more than doubled the number of people who are authorized to receive rental subsidies, bringing the total to more than 5,900 individuals.
 - **Provide More Day Program and Employment Options.** Day and employment services are a crucial aspect of offering participants the personal, social, and vocational supports needed to live in their community. OPWDD has invested significantly in these services, steadily increased community-integrated supported employment opportunities and enhanced the array of available employment readiness programs over the past five years. There are currently almost 77,000 enrollments in day and employment supports.
 - **Increase Respite Availability.** Respite services provide temporary relief to family caregivers, helping people with developmental disabilities live at home with their families for longer periods. Over the past five years, the State has increased the number of enrollments in respite services by over 17 percent to nearly 45,000 current enrollments.

- **Commit an Additional \$15 Million to Develop Housing.** Building on prior investments, the Budget provides another \$15 million in capital funding to expand independent living opportunities. Cumulatively, the State has invested \$65 million to support OPWDD's effort to develop safe and accessible residential opportunities for individuals with intellectual and developmental disabilities to live independently. These funds are in addition to resources that are available from the five-year, \$20 billion affordable and supportive housing plan, which is also helping support the development of residential opportunities for people with intellectual and developmental disabilities.
- **Support OPWDD's Transition to Managed Care.** With the successful transition in July 2018 to an enhanced care coordination model through the development of regional Care Coordination Organizations (CCOs), the multi-phase transition of the OPWDD service delivery system to managed care is underway. Enrollment on a voluntary basis will begin in FY 2020. The Budget applies ongoing Department of Health (DOH) Global Cap resources to support the initial costs of transitioning the OPWDD service delivery system to managed care, with long-term savings expected through improved efficiency in the coordination and delivery of services. In addition, the FY 2020 Budget includes a targeted investment of \$5 million in new resources to improve provider readiness for managed care through the development of best practices and performance measurement and outcome monitoring tools.
- **Promote More Efficient Use of State Resources.** In FY 2020, OPWDD will seek to leverage Medicaid funding, and other supplemental aid where available, to more cost-effectively support the provision of person-centered programs.

Promoting Mental Health

The Executive Budget builds on Governor Cuomo's effort within the Office of Mental Health to expand community services and transition individuals to more appropriate and cost-effective community settings. With substantial investments in community-based services made in recent years, OMH developed new mobile crisis teams, established first episode psychosis programs, provided additional peer support services, and funded the expansion of supported housing units throughout the State. The expansion in community-based capacity since FY 2015 has resulted in 50,700 new individuals receiving services and funded over 1,305 additional supported housing beds. Since FY 2015, the success of these community investments has resulted in the reduction of over 650 unnecessary, vacant inpatient beds. The Budget continues efforts that improve quality and expand capacity of services in the community. Specifically, the Budget will:

- **Enhance Support for Existing Residential Programs.** The Budget provides an additional \$10 million for existing supported housing and single residence occupancy programs. This investment will help preserve access and maintain current housing capacity as the State brings new housing units online through the Empire State Supported Housing Initiative. Since FY 2014, annual funding to enhance support for these existing housing programs has increased by over \$50 million.

- **Expand Community-Based Services.** The Budget continues to support the expansion of community-based programs serving individuals in less restrictive settings that are closer to family and other natural supports by capturing efficiencies in operating inpatient programs. New investments offset by equivalent spending efficiencies in inpatient and other services will bring the full annual investment since FY 2015 to more than \$100 million.
- **Support High-Need Individuals.** The Budget provides an additional \$10 million for specialized supports, such as peer support and in-reach, to engage individuals with mental illness who require a higher level of care to transition and live successfully in the community. These resources will be utilized for individuals currently residing in impacted adult homes.
- **Invest in Infrastructure.** The Budget provides an additional appropriation of \$100 million to support the replacement of the Mid-Hudson Forensic Psychiatric Center in Orange County, which includes buildings over 100 years old that are not designed for current standards of care. The Budget also includes \$60 million to maintain and preserve community-based residential facilities that allow people with mental illness to live in the most integrated setting possible.
- **Establish Jail-Based Restoration Programs for Certain Defendants.** The Executive Budget establishes the authority to provide mental health restoration services to inmates in jail awaiting trial. Currently, defendants who are deemed incompetent to stand trial are treated at an OMH inpatient psychiatric hospital until they are restored to competency and returned to jail to await trial. This cycle often repeats itself with multiple inpatient stays at a psychiatric hospital, extending the time individuals are detained prior to trial. OMH will work with counties on a voluntary basis to develop specialized residential treatment units within their jails, ultimately reducing the time individuals with mental illness spend in jail awaiting justice. The Executive Budget invests \$850,000 to assist up to two county jails in making any necessary infrastructure improvements to provide these separate treatment units. This program has been identified as a best practice by the National Judicial College and has been implemented successfully in ten other states including California, Colorado, and Virginia.

Protecting Vulnerable People

To protect the health and safety of vulnerable people under the State's care or oversight, the Justice Center has primary responsibility for receiving, investigating and/or reviewing abuse and neglect allegations at certain facilities and programs that are operated, certified, or licensed by the following six agencies: OMH, OPWDD, OASAS, DOH, Office of Children and Family Services (OCFS), and the State Education Department (SED). The Justice Center is responsible for ensuring the safety and well-being of the approximately one million adults and children who, due to physical or intellectual disabilities, or the need for services or placement, are receiving care from one of the over 3,000 facilities or service providers overseen by the six State agencies. The FY 2020 Executive Budget includes legislation which will streamline agency operations and eliminate duplicative jurisdiction where other agencies already have significant oversight. To support the

Justice Center's efforts, the Budget includes an increase of more than \$1 million in funding, including centralized resources available to support agency responsibilities associated with the Raise the Age (RTA) initiative.

Multi-Agency Budget Actions

The Budget supports expanding Medicaid to cover Applied Behavioral Health Analysis treatment for over 4,000 children with autism, including those who have aged out of the Early Intervention program, to ensure they are able to continue receiving necessary services.