

State, Department of

Mission

Established in 1788, the Department of State (DOS) is the State’s oldest agency. Historically serving as the State’s general recording officer and custodian of the State’s “Great Seal”, the Department’s mission encompasses a broad range of activities that coordinate programs with, and provide services to, local governments, individuals, and businesses. The Department supports public safety through the administration of building and energy code programs; administers programs for community development and local government service activities; and supports businesses through various licensing and registration activities.

Organization and Staffing

The Department is headed by the Secretary of State, who is appointed by the Governor and confirmed by the Senate.

Budget Highlights

The FY 2020 Executive Budget recommends \$252.2 million for the Department, an decrease of \$1.4 million from the FY 2019 budget. This change results from the addition of capital funding for a fourth round of the Downtown Revitalization Initiative (\$100 million) coupled with adjustments for general salary increases offset by a one-time FY 2019 appropriation for the Liberty Defense Program. The 2019 Liberty Defense Program moneys will be reappropriated in 2020.

The Executive Budget recommends a workforce of 565 FTEs for the Department, an increase of 40 FTE’s from FY 2019 FTE levels, due to the migration of certain call center operations from the Department of Tax and Finance to DOS.

Program Highlights

The responsibilities of the Department of State are carried out through three programs:

Local Government And Community Services Program: Manages New York’s building and energy codes; offers planning and management services to local governments; supports land use planning activities in the New York City/Catskill watershed; coordinates New York’s coastal resources and waterfront revitalization activities; administers the Department’s Federal grant programs, including the Appalachian Regional Commission; and provides a means for achieving economic self-sufficiency through programs designed to improve opportunities for low-income participants.

Business and Licensing Services Program: Maintains all certificates on file for businesses and corporations; administers qualifying examinations and licensing for various occupations; and prepares the State Register and other publications.

Administration: Provides the basic executive direction, fiscal, personnel, legal and electronic data processing activities that support the Department’s operations.

Additionally, the Department’s appropriations contain funds for the Lake George Park Commission, the Commission on Uniform State Laws, the State Athletic Commission, and the Authorities Budget Office.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available FY 2019	Appropriations Recommended FY 2020	Change From FY 2019	Reappropriations Recommended FY 2020
State Operations	70,564,000	73,927,000	3,363,000	20,721,000
Aid To Localities	83,129,000	76,340,000	(6,789,000)	112,768,000
Capital Projects	100,000,000	102,000,000	2,000,000	203,981,000
Total	253,693,000	252,267,000	(1,426,000)	337,470,000

NYS DOB | FY 2020 Executive Budget | Agency Appropriations
ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS

Program	FY 2019 Estimated FTEs 03/31/19	FY 2020 Estimated FTEs 03/31/20	FTE Change
Administration			
General Fund	60	60	0
Authorities Budget Office			
Special Revenue Funds - Other	12	12	0
Consumer Protection Program			
Special Revenue Funds - Other	15	15	0
Lake George Park Commission			
Special Revenue Funds - Other	10	10	0
Business and Licensing Services			
Special Revenue Funds - Other	252	292	40
Local Government and Community Services			
General Fund	55	55	0
Special Revenue Funds - Federal	17	17	0
Special Revenue Funds - Other	81	81	0
Office for New Americans			
General Fund	8	8	0
Tug Hill Commission			
General Fund	15	15	0
Total	525	565	40

STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2019	Recommended FY 2020	Change
General Fund	10,956,000	10,776,000	(180,000)
Special Revenue Funds - Federal	9,101,000	9,101,000	0
Special Revenue Funds - Other	50,507,000	54,050,000	3,543,000
Total	70,564,000	73,927,000	3,363,000
Adjustments:			
Transfer(s) To			
Employee Relations, Office of			
General Fund	102,000		
Appropriated FY 2019	70,666,000		

NYS DOB | FY 2020 Executive Budget | Agency Appropriations
STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2019	Recommended FY 2020	Change
Administration			
General Fund	2,156,000	1,956,000	(200,000)
Authorities Budget Office			
Special Revenue Funds - Other	1,936,000	2,059,000	123,000
Business and Licensing Services			
Special Revenue Funds - Other	43,205,000	46,605,000	3,400,000
Consumer Protection Program			
General Fund	1,586,000	1,586,000	0
Special Revenue Funds - Federal	51,000	51,000	0
Special Revenue Funds - Other	3,130,000	3,130,000	0
Lake George Park Commission			
Special Revenue Funds - Other	2,032,000	2,052,000	20,000
Local Government and Community Services			
General Fund	5,560,000	5,560,000	0
Special Revenue Funds - Federal	9,050,000	9,050,000	0
Special Revenue Funds - Other	154,000	154,000	0
Office for New Americans			
General Fund	442,000	442,000	0
State of NY Commission on Uniform State Laws			
General Fund	135,000	135,000	0
Tug Hill Commission			
General Fund	1,077,000	1,097,000	20,000
Special Revenue Funds - Other	50,000	50,000	0
Total	70,564,000	73,927,000	3,363,000

STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
FY 2020 RECOMMENDED
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	1,956,000	(50,000)	1,915,000	(50,000)
Consumer Protection Program	1,586,000	0	1,586,000	0
Local Government and Community Services	5,560,000	0	5,526,000	0
Office for New Americans	442,000	0	442,000	0
Tug Hill Commission	989,000	20,000	989,000	20,000
Total	10,533,000	(30,000)	10,458,000	(30,000)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	36,000	0	5,000	0
Local Government and Community Services	30,000	0	4,000	0
Total	66,000	0	9,000	0

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
FY 2020 RECOMMENDED
(dollars)**

Program	Amount	Total Change	Supplies and Materials	
			Amount	Change
Administration	0	(150,000)	0	0
State of NY Commission on Uniform State Laws	135,000	0	0	0
Tug Hill Commission	108,000	0	13,000	0
Total	243,000	(150,000)	13,000	0

Program	Amount	Travel Change	Contractual Services	
			Amount	Change
Administration	0	0	0	(150,000)
State of NY Commission on Uniform State Laws	0	0	135,000	0
Tug Hill Commission	8,000	0	85,000	0
Total	8,000	0	220,000	(150,000)

Program	Amount	Equipment	
		Amount	Change
Tug Hill Commission		2,000	0
Total		2,000	0

NYS DOB | FY 2020 Executive Budget | Agency Appropriations
STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
FY 2020 RECOMMENDED
(dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Authorities Budget Office	2,059,000	123,000	1,115,000	22,000
Business and Licensing Services	46,605,000	3,400,000	21,261,000	2,932,000
Consumer Protection Program	3,181,000	0	1,177,000	0
Lake George Park Commission	2,052,000	20,000	723,000	11,000
Local Government and Community Services	9,204,000	0	5,584,000	0
Tug Hill Commission	50,000	0	0	0
Total	63,151,000	3,543,000	29,860,000	2,965,000

Program	Nonpersonal Service	
	Amount	Change
Authorities Budget Office	944,000	101,000
Business and Licensing Services	25,344,000	468,000
Consumer Protection Program	2,004,000	0
Lake George Park Commission	1,329,000	9,000
Local Government and Community Services	3,620,000	0
Tug Hill Commission	50,000	0
Total	33,291,000	578,000

AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2019	Recommended FY 2020	Change
General Fund	12,290,000	6,440,000	(5,850,000)
Special Revenue Funds - Federal	69,900,000	69,900,000	0
Special Revenue Funds - Other	939,000	0	(939,000)
Total	83,129,000	76,340,000	(6,789,000)

NYS DOB | FY 2020 Executive Budget | Agency Appropriations
AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2019	Recommended FY 2020	Change
Business and Licensing Services			
Special Revenue Funds - Other	939,000	0	(939,000)
Local Government and Community Services			
General Fund	850,000	0	(850,000)
Special Revenue Funds - Federal	69,900,000	69,900,000	0
Office for New Americans			
General Fund	11,440,000	6,440,000	(5,000,000)
Total	83,129,000	76,340,000	(6,789,000)

CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Comprehensive Construction Program	Available FY 2019	Recommended FY 2020	Change	Reappropriations FY 2020
Downtown Revitalization				
Capital Projects Fund - Authority Bonds	100,000,000	100,000,000	0	100,000,000
Infrastructure Investment Account	0	0	0	97,000,000
Maintenance and Repair				
Capital Projects Fund	0	2,000,000	2,000,000	0
Solid and Hazardous Waste Management				
Haz Waste Remedial Fund - Oversight & Assessment	0	0	0	6,981,000
Total	100,000,000	102,000,000	2,000,000	203,981,000

Note: Most recent estimates as of 1/15/2019