Public Ethics, Joint Commission on

Mission

The mission of the Joint Commission on Public Ethics (JCOPE) is to promote public trust in government by ensuring compliance with the State's ethics and lobbying laws. Established in 2011 by the Public Integrity Reform Act, JCOPE was granted broad oversight over the executive and legislative branches of government.

Organization and Staffing

The Commission consists of 14 members. Appointing authority and terms for the commissioners vary according to the law. A Chairperson is selected and appointed by the Governor. JCOPE maintains a statewide presence with offices in Albany, New York City and Buffalo. The commissioners appoint the Executive Director, responsible for overseeing JCOPE's day-to-day operation and core functions. The Executive Director is also charged with establishing an organization plan to streamline operations and improve efficiency and effectiveness. JCOPE's mission is carried out by five divisions: Ethics, Lobbying, Investigations/Enforcement, Communications/Public Information, and Administration.

Budget Highlights

The FY 2020 Executive Budget recommends \$5.6 million All Funds appropriation and a workforce of 52 FTEs, unchanged from the previous fiscal year.

Program Highlights

JCOPE has jurisdiction over 250,000 executive and legislative officials and employees, who file approximately 32,000 financial disclosure statements annually, as well as approximately 7,300 lobbyists and their 4,900 clients who reported spending \$240 million for their lobbying efforts during 2017. JCOPE provides information, education and guidance regarding current ethics and lobbying laws, and ensures compliance with these laws through audits, investigations and enforcement proceedings. JCOPE, upon request, provides the public with required disclosures filed by those under its jurisdiction.

ALL FUNDS APPROPRIATIONS (dollars)

| Category | Available FY 2019 | Appropriations Recommended FY 2020 | Change From FY 2019 | Reappropriations Recommended FY 2020 |
|------------------|----------------------|--|------------------------|--|
| State Operations | 5,582,000 | 5,582,000 | 0 | 0 |
| Total | 5,582,000 | 5,582,000 | 0 | 0 |

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

| Program | FY 2019 Estimated FTEs 03/31/19 | FY 2020 Estimated FTEs 03/31/20 | FTE Change |
|---------------|---------------------------------------|---------------------------------------|------------|
| Public Ethics | | | |
| General Fund | 52 | 52 | 0 |
| Total | 52 | 52 | 0 |

NYS DOB | FY 2020 Executive Budget | Agency Appropriations STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

| Fund Type | Available FY 2019 | Recommended FY 2020 | Change |
|--------------|----------------------|------------------------|--------|
| General Fund | 5,582,000 | 5,582,000 | 0 |
| Total | 5,582,000 | 5,582,000 | 0 |

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

| Program | Available FY 2019 | Recommended FY 2020 | Change |
|---------------|----------------------|------------------------|--------|
| Public Ethics | | | |
| General Fund | 5,582,000 | 5,582,000 | 0 |
| Total | 5,582,000 | 5,582,000 | C |

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES FY 2020 RECOMMENDED (dollars)

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| | Tot | tal | Personal Serv (Annual S | • |
|---------------|-----------|--------|----------------------------|--------|
| Program | Amount | Change | Amount | Change |
| Public Ethics | 4,682,000 | 0 | 4,637,000 | |
| Total | 4,682,000 | 0 | 4,637,000 | |

| Temporary Service (Nonannual Salaried) | | Holiday/Ov | ertime Pay | |
|---|--------|------------|------------|--------|
| Program | Amount | Change | Amount | Change |
| Public Ethics | 0 | 0 | 45,000 | 0 |
| Total | 0 | 0 | 45,000 | 0 |

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES FY 2020 RECOMMENDED (dollars)

| | Total | | Supplies an | d Materials |
|---------------|---------|--------|-------------|-------------|
| Program | Amount | Change | Amount | Change |
| Public Ethics | 900,000 | 0 | 80,000 | 0 |
| Total | 900,000 | 0 | 80,000 | 0 |

| | Trave | el | Contractua | al Services |
|---------------|--------|------------|------------|-------------|
| Program | Amount | Change | Amount | Change |
| Public Ethics | 40,000 | 0 | 730,000 | 0 |
| | | D 0 | (a | |

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| | Equipment | | |
|---------------|-----------|--------|--|
| Program | Amount | Change | |
| Public Ethics | 50,000 | 0 | |
| Total | 50,000 | 0 | |

Note: Most recent estimates as of 1/15/2019