

Corrections and Community Supervision, Department of

Mission

The Department of Corrections and Community Supervision is responsible for providing services that offenders need in safe and secure facilities, preparing offenders for release, and ensuring they receive appropriate monitoring and support while under community supervision. The goal of the Department is to enhance public safety by ensuring the successful completion of an offender's sentence to lower the risk of reoffending.

Organization and Staffing

The Department of Corrections and Community Supervision operates 54 correctional facilities across the State. Each facility is managed by a superintendent, who reports to the Commissioner. More than 66 percent of the Department's staff are security personnel with the remaining staff primarily dedicated to the delivery of inmate programs, health services, community supervision, and facility operations.

The Parole Board operates as an independent body housed within DOCCS. The members of the board are appointed by the Governor and confirmed by the Senate. One member is designated by the Governor to serve as the Board's Chair. Board members review the cases of offenders eligible for parole and determine if the offender should be released to parole supervision.

Budget Highlights

The FY 2020 Executive Budget recommends \$3.38 billion for the Department, an increase of \$84.2 million from FY 2019. This increase includes \$70 million in the capital program and an increase of \$14.2 million in State Operations funding. Increases in State Operations funding are largely attributable to the addition of staffing, which is necessary to meet the terms of the NYCLU SHU settlement. The capital appropriation increase will be used to fund projects related to solitary confinement reform.

The Executive Budget recommends a workforce of 29,328 FTEs for the Department, an increase of 153 FTEs from FY 2019. This workforce level reflects an increase in program services and supervision of inmates staff related to the NYCLU SHU agreement and the DOCCS solitary confinement reform bill.

Program Highlights

Correctional Services

Since peaking at nearly 72,600 in 1999, the under-custody prison population is projected to decline by over 25,000 inmates to approximately 47,400 inmates by the end of the current fiscal year. This decline can be largely attributed to the dramatic drop in the State's crime rate over the last decade, and the success of legislatively-enacted programs that allow certain non-violent offenders to earn time off from their sentences for good behavior and program achievements.

As soon as an inmate enters prison, an assessment is conducted to determine the need for rehabilitative programs. The Department offers a variety of programs designed to improve the prospects of an offender's successful re-entry into the community. These include educational programming, with the minimum goal of assisting inmates who do not have high school diplomas to receive New York State High School Equivalency Diplomas; apprenticeships; substance abuse treatment services; anger management therapy; and sex offender treatment programs.

Meeting the critical need of providing appropriate levels of medical services is important to the safety of the prison system and to the general public when an inmate is released. The Department acts quickly to diagnose and begin treatment, if necessary, when an inmate enters prison, and provides the greatest degree of services possible to seek a cure. The Department also offers mental health services at several facilities and operates state-of-the-art Residential Mental Health Units to treat inmates with serious mental illness and disciplinary sanctions.

Community Supervision

The focus of the Community Supervision program is to prepare inmates for reentry into the community and to supervise parolees released from prison while supporting their successful reintegration into the community. Immediately upon being released from prison, parolees are assigned to a Parole Officer. Parole Officers supervise parolees by monitoring behavior, reviewing employment, evaluating treatment progress, and administering drug tests. In addition to closely monitoring offenders in the community, the Department helps parolees avoid reverting to a life of crime by contracting for various services to support their return to society, such as supportive housing and employment training.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available FY 2019	Appropriations Recommended FY 2020	Change From FY 2019	Reappropriations Recommended FY 2020
State Operations	2,839,732,000	2,853,977,000	14,245,000	86,347,000
Aid To Localities	29,493,000	29,493,000	0	67,283,000
Capital Projects	427,000,000	497,000,000	70,000,000	704,528,000
Total	3,296,225,000	3,380,470,000	84,245,000	858,158,000

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Program	FY 2019 Estimated FTEs 03/31/19	FY 2020 Estimated FTEs 03/31/20	FTE Change
Administration			
General Fund	158	158	0
Special Revenue Funds - Federal	646	646	0
Enterprise Funds	7	7	0
Community Supervision			
General Fund	1,335	1,335	0
Correctional Industries			
Enterprise Funds	3	3	0
Internal Service Funds	280	280	0
Facilities Planning and Development			
Capital Projects Funds - Other	1,009	1,009	0
Health Services			
General Fund	1,651	1,651	0
Parole Board			
General Fund	74	74	0
Program Services			
General Fund	2,970	3,007	37
Supervision of Inmates			
General Fund	19,330	19,446	116
Support Services			
General Fund	1,708	1,708	0
Special Revenue Funds - Other	4	4	0
Total	29,175	29,328	153

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STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2019	Recommended FY 2020	Change
Enterprise Funds	43,343,000	48,443,000	5,100,000
General Fund	2,647,139,000	2,656,284,000	9,145,000
Internal Service Funds	74,895,000	74,895,000	0
Special Revenue Funds - Federal	40,500,000	40,500,000	0
Special Revenue Funds - Other	33,855,000	33,855,000	0
Total	2,839,732,000	2,853,977,000	14,245,000
Adjustments:			
Transfer(s) To			
Civil Service, Department of			
General Fund	162,000		
Employee Relations, Office of			
General Fund	584,000		
Appropriated FY 2019	2,840,478,000		

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STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2019	Recommended FY 2020	Change
Administration			
Enterprise Funds	2,701,000	2,701,000	0
General Fund	13,564,000	13,564,000	0
Special Revenue Funds - Federal	40,500,000	40,500,000	0
Special Revenue Funds - Other	25,700,000	25,700,000	0
Community Supervision			
General Fund	134,614,000	134,614,000	0
Special Revenue Funds - Other	2,325,000	2,325,000	0
Correctional Industries			
Enterprise Funds	742,000	742,000	0
Internal Service Funds	74,895,000	74,895,000	0
Health Services			
General Fund	399,842,000	398,848,000	(994,000)
Parole Board			
General Fund	7,100,000	7,100,000	0
Program Services			
Enterprise Funds	39,900,000	45,000,000	5,100,000
General Fund	228,067,000	230,681,000	2,614,000
Special Revenue Funds - Other	2,100,000	2,100,000	0
Supervision of Inmates			
General Fund	1,507,248,000	1,515,103,000	7,855,000
Support Services			
General Fund	356,704,000	356,374,000	(330,000)
Special Revenue Funds - Other	3,730,000	3,730,000	0
Total	2,839,732,000	2,853,977,000	14,245,000

STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
FY 2020 RECOMMENDED
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	11,881,000	0	11,779,000	0
Community Supervision	109,339,000	0	103,339,000	0
Health Services	145,461,000	0	128,008,000	0
Parole Board	6,577,000	0	6,517,000	0
Program Services	202,584,000	2,690,000	196,830,000	2,690,000
Supervision of Inmates	1,495,246,000	7,819,000	1,294,495,000	7,819,000
Support Services	112,915,000	0	103,718,000	0
Total	2,084,003,000	10,509,000	1,844,686,000	10,509,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	0	0	102,000	0
Community Supervision	0	0	6,000,000	0
Health Services	7,053,000	0	10,400,000	0
Parole Board	0	0	60,000	0
Program Services	4,413,000	0	1,341,000	0
Supervision of Inmates	11,788,000	0	188,963,000	0
Support Services	0	0	9,197,000	0
Total	23,254,000	0	216,063,000	0

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
FY 2020 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,683,000	0	338,000	0
Community Supervision	25,275,000	0	1,197,000	0
Health Services	253,387,000	(994,000)	126,676,000	(391,000)
Parole Board	523,000	0	33,000	0
Program Services	28,097,000	(76,000)	6,140,000	(2,000)
Supervision of Inmates	19,857,000	36,000	10,242,000	36,000
Support Services	243,459,000	(330,000)	176,143,000	(330,000)
Total	572,281,000	(1,364,000)	320,769,000	(687,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	214,000	0	918,000	0
Community Supervision	2,358,000	0	21,240,000	0
Health Services	271,000	0	125,578,000	(603,000)
Parole Board	390,000	0	97,000	0
Program Services	368,000	0	20,839,000	(74,000)
Supervision of Inmates	2,400,000	0	5,420,000	0
Support Services	2,050,000	0	53,290,000	750,000
Total	8,051,000	0	227,382,000	73,000

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Program	Amount	Equipment	
		Amount	Change
Administration		213,000	0
Community Supervision		480,000	0
Health Services		862,000	0
Parole Board		3,000	0
Program Services		750,000	0
Supervision of Inmates		1,795,000	0
Support Services		11,976,000	1,000,000
Total		16,079,000	1,000,000

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
FY 2020 RECOMMENDED
(dollars)**

Program	Amount	Total Change	Personal Service	
			Amount	Change
Administration	68,901,000	0	49,900,000	0
Community Supervision	2,325,000	0	0	0
Correctional Industries	75,637,000	0	25,563,000	0
Program Services	47,100,000	5,100,000	0	0
Support Services	3,730,000	0	214,000	0
Total	197,693,000	5,100,000	75,677,000	0

Program	Amount	Nonpersonal Service	
		Amount	Change
Administration	19,001,000		0
Community Supervision	2,325,000		0
Correctional Industries	50,074,000		0
Program Services	47,100,000		5,100,000
Support Services	3,516,000		0
Total	122,016,000		5,100,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available FY 2019	Recommended FY 2020	Change
General Fund	20,493,000	20,493,000	0
Internal Service Funds	9,000,000	9,000,000	0
Total	29,493,000	29,493,000	0

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AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2019	Recommended FY 2020	Change
Community Supervision			
General Fund	5,613,000	5,613,000	0
Internal Service Funds	9,000,000	9,000,000	0
Health Services			
General Fund	14,000,000	14,000,000	0
Program Services			
General Fund	680,000	680,000	0
Support Services			
General Fund	200,000	200,000	0
Total	29,493,000	29,493,000	0

CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Comprehensive Construction Program	Available FY 2019	Recommended FY 2020	Change	Reappropriations FY 2020
Facilities Maintenance and Operations				
Correctional Facilities Capital Improvement Fund	92,000,000	93,000,000	1,000,000	60,955,000
Maintenance and Improvement of Existing Facilities				
Correctional Facilities Capital Improvement Fund	335,000,000	404,000,000	69,000,000	643,573,000
Total	427,000,000	497,000,000	70,000,000	704,528,000

Note: Most recent estimates as of 1/15/2019