

**FY 2018 NEW YORK STATE EXECUTIVE BUDGET**

**GOOD GOVERNMENT AND ETHICS REFORM  
ARTICLE VII LEGISLATION**

**FY 2018 NEW YORK STATE EXECUTIVE BUDGET**

**GOOD GOVERNMENT AND ETHICS REFORM  
ARTICLE VII LEGISLATION**

**CONTENTS**

<b>PART</b>	<b>DESCRIPTION</b>	<b>STARTING PAGE NUMBER</b>
A	Requires an advisory opinion for outside income for the Legislature	4
B	Close the “LLC loophole” by defining Limited Liability Companies as corporations for the purpose of political donations	6
C	Financial Disclosure requirements for Local elected officials	8
D	Implement campaign finance reform and public financing of campaigns	12
E	Comprehensive FOIL Reform	47
F	Expand State Inspector General Jurisdiction to Include Affiliate Organizations of SUNY and CUNY	72
G	Clarify State Inspector General's Authority over State Procurements	73
H	Establish SUNY and CUNY Foundation Financial Controls	73
I	Creates an Inspector General for the New York Port Authority	76
J	Create State Education Department Inspector General	81
K	Appointment of a Chief Procurement Officer	86
L	Amendment of government vendor contributions	91
M	Report on the feasibility of single identifying codes or numbers	94
N	Early Voting and Automatic Voter Registration	96

Legislative Bill Drafting Commission  
12575-01-7

S. -----  
Senate  
-----

IN SENATE--Introduced by Sen

--read twice and ordered printed,  
and when printed to be committed  
to the Committee on

----- A.  
Assembly  
-----

IN ASSEMBLY--Introduced by M. of A.

with M. of A. as co-sponsors

--read once and referred to the  
Committee on

**\*BUDGBI\***

(Enacts into law major components of  
legislation necessary to implement  
good government and ethics reform  
budget for 2017-2018)

-----

A7; GGER 1; good govt ethics refo

AN ACT

to amend the public officers law and  
the legislative law, in relation to  
outside employment and income (Part  
A); to amend the election law, in  
relation to political contributions  
by limited liability companies or  
other corporate entities (Part B);  
to amend the executive law and the  
general municipal law, in relation  
to requiring the financial disclo-  
sures of certain local officials  
(Part C); to amend the election law,

IN SENATE

Senate introducer's signature

The senators whose names are circled below wish to join me in the sponsorship  
of this proposal:

s15 Addabbo	s50 DeFrancisco	s27 Hoylman	s25 Montgomery	s10 Sanders
s52 Akshar	s32 Diaz	s60 Jacobs	s40 Murphy	s23 Savino
s31 Alcantara	s18 Dilan	s09 Kaminsky	s58 O'Mara	s41 Serino
s46 Amedore	s17 Felder	s63 Kennedy	s62 Ortt	s29 Serrano
s11 Avella	s02 Flanagan	s34 Klein	s21 Parker	s51 Seward
s36 Bailey	s55 Funke	s28 Krueger	s13 Peralta	s26 Squadron
s42 Bonacic	s59 Gallivan	s24 Lanza	s30 Perkins	s16 Stavisky
s04 Boyle	s12 Gianaris	s39 Larkin	s19 Persaud	s35 Stewart- Cousins
s44 Breslin	s22 Golden	s37 Latimer	s07 Phillips	
s08 Brooks	s47 Griffo	s01 LaValle	s61 Ranzenhofer	s49 Tedisco
s38 Carlucci	s20 Hamilton	s45 Little	s48 Ritchie	s53 Valesky
s14 Comrie	s06 Hannon	s05 Marcellino	s33 Rivera	s57 Young
s03 Croci	s54 Helming	s43 Marchione	s56 Robach	

IN ASSEMBLY

Assembly introducer's signature

The Members of the Assembly whose names are circled below wish to join me in the  
multi-sponsorship of this proposal:

a049 Abbate	a034 DenDekker	a097 Jaffee	a145 Morinello	a009 Saladino
a092 Abinanti	a070 Dickens	a011 Jean-Pierre	a057 Mosley	a111 Santabarbara
a084 Arroyo	a054 Dilan	a116 Jenne	a039 Moya	a140 Schimminger
a035 Aubry	a081 Dinowitz	a135 Johns	a003 Murray	a076 Seawright
a120 Barclay	a147 DiPietro	a115 Jones	a065 Niou	a087 Sepulveda
a030 Barnwell	a016 D'Urso	a077 Joyner	a037 Nolan	a027 Simanowitz
a106 Barrett	a004 Englebright	a074 Kavanagh	a144 Norris	a052 Simon
a060 Barron	a133 Errigo	a142 Kearns	a130 Oaks	a036 Simotas
a082 Benedetto	a109 Fahy	a040 Kim	a069 O'Donnell	a104 Skartados
a042 Bichotte	a071 Farrell	a131 Kolb	a051 Ortiz	a099 Skoufis
a079 Blake	a126 Finch	a105 Lalor	a091 Otis	a022 Solages
a117 Blankenbush	a008 Fitzpatrick	a013 Lavine	a132 Palmesano	a114 Stec
a098 Brabenc	a124 Friend	a134 Lawrence	a002 Palumbo	a110 Steck
a026 Braunstein	a095 Galef	a050 Lentol	a088 Paulin	a127 Stirpe
a119 Brindisi	a137 Gantt	a125 Lifton	a141 Peoples- Stokes	a001 Thiele
a138 Bronson	a007 Garbarino	a102 Lopez		a061 Titone
a093 Buchwald	a148 Giglio	a123 Lupardo	a058 Perry	a031 Titus
a118 Butler	a080 Gjonaj	a010 Lupinacci	a023 Pheffer	a033 Vanel
a094 Byrne	a066 Glick	a121 Magee	Amato	a055 Walker
a103 Cahill	a150 Goodell	a129 Magnarelli	a086 Pichardo	a143 Wallace
a044 Carroll	a075 Gottfried	a064 Malliotakis	a089 Pretlow	a112 Walsh
a062 Castorina	a005 Graf	a090 Mayer	a073 Quart	a146 Walter
a047 Colton	a100 Gunther	a108 McDonald	a019 Ra	a041 Weinstein
a032 Cook	a046 Harris	a014 McDonough	a012 Raia	a024 Weprin
a085 Crespo	a139 Hawley	a017 McKevitt	a006 Ramos	a059 Williams
a122 Crouch	a083 Heastie	a107 McLaughlin	a043 Richardson	a113 Woerner
a021 Curran	a028 Hevesi	a101 Miller, B.	a078 Rivera	a056 Wright
a063 Cusick	a048 Hikind	a038 Miller, M.G.	a068 Rodriguez	a096 Zebrowski
a045 Cymbrowitz	a018 Hooper	a020 Miller, M.L.	a067 Rosenthal	
a053 Davila	a128 Hunter	a015 Montesano	a025 Rozic	
a072 De La Rosa	a029 Hyndman	a136 Morelle	a149 Ryan	

1) Single House Bill (introduced and printed separately in either or  
both houses). Uni-Bill (introduced simultaneously in both houses and printed  
as one bill. Senate and Assembly introducer sign the same copy of the bill).

2) Circle names of co-sponsors and return to introduction clerk with 2  
signed copies of bill and 4 copies of memorandum in support (single house);  
or 4 signed copies of bill and 8 copies of memorandum  
in support (uni-bill).

in relation to establishing contribution limits and a public campaign financing system; to amend the state finance law, in relation to establishing the New York state campaign finance fund; and to amend the tax law, in relation to establishing a New York state campaign finance fund check-off (Part D); to amend the public officers law, the civil practice law and rules, and the executive law, in relation to the freedom of information law; and to repeal section 88 of the public officers law, section 70-0113 of the environmental conservation law and subdivision 4 of section 308 of the county law relating thereto (Part E); to amend the executive law, in relation to including certain not-for-profit organizations and foundations within the jurisdiction of the state inspector general (Part F); to amend the executive law, in relation to giving the inspector general jurisdiction over state procurement (Part G); to amend the education law, in relation to the implementation and enforcement of SUNY and CUNY financial control policies, including the policies of affiliated nonprofit organizations and foundations (Part H); to amend the public officers law and the criminal procedure law, in relation to the establishment of the New York port authority inspector general (Part I); to amend the education law, in relation to the establishment of a state education department inspector general (Part J); to amend the executive law, the state finance law and the public authorities law, in relation to the appointment of a chief procurement officer (Part K); to amend the election law, in relation to government vendor contributions (Part L); to direct the preparation of a report on the feasibility of single identifying codes or numbers (Part M); and to amend the election law, in relation to motor vehicle registration and to establish early voting; and to repeal section 5-212 of the election law relating thereto (Part N)

The People of the State of New  
York, represented in Senate and  
Assembly, do enact as follows:

1 Section 1. This act enacts into law major components of legislation  
2 relating to Good Government and Ethics Reform. Each component is wholly  
3 contained within a Part identified as Parts A through N. The effective  
4 date for each particular provision contained within such Part is set  
5 forth in the last section of such Part. Any provision in any section  
6 contained within a Part, including the effective date of the Part, which  
7 makes reference to a section "of this act", when used in connection with  
8 that particular component, shall be deemed to mean and refer to the  
9 corresponding section of the Part in which it is found. Section three of  
10 this act sets forth the general effective date of this act.

11 PART A

12 Section 1. The public officers law is amended by adding a new section  
13 74-b to read as follows:

14 § 74-b. Duty of members of the legislature regarding outside employ-  
15 ment. A member of the legislature, who obtains outside employment as  
16 defined in this section, shall request a formal advisory opinion from  
17 the legislative ethics commission pursuant to the provisions of section  
18 eighty of the legislative law on whether the outside employment violates  
19 the provisions of section seventy-four of this article and the commis-  
20 sion shall provide an opinion. For purposes of this section, "outside  
21 employment" means compensation in excess of five thousand dollars per  
22 year, other than compensation provided pursuant to sections five and  
23 five-a of the legislative law, from employment for services rendered or  
24 goods sold during the member's term.

1 § 2. Subdivision 1 of section 80 of the legislative law, as amended by  
2 section 9 of part A of chapter 399 of the laws of 2011, is amended to  
3 read as follows:

4 1. There is established a legislative ethics commission which shall  
5 consist of nine members. Four members shall be members of the legisla-  
6 ture and shall be appointed as follows: one by the temporary president  
7 of the senate, one by the speaker of the assembly, one by the minority  
8 leader of the senate and one by the minority leader of the assembly.  
9 One of the five remaining members must be appointed by the office of  
10 court administration, and shall be the chief administrative law judge or  
11 his or her designee and shall be appointed only for the purpose of  
12 reviewing and responding to requests for formal advisory opinions on  
13 outside income. The remaining five members shall not be present or  
14 former members of the legislature, candidates for member of the legisla-  
15 ture, employees of the legislature, political party chairmen as defined  
16 in paragraph (k) of subdivision one of section seventy-three of the  
17 public officers law, or lobbyists, as defined in section one-c of this  
18 chapter, or persons who have been employees of the legislature, poli-  
19 tical party chairmen as defined in paragraph (k) of subdivision one of  
20 section seventy-three of the public officers law, or lobbyists, as  
21 defined in section one-c of this chapter in the previous five years, and  
22 shall be appointed as follows: one by the temporary president of the  
23 senate, one by the speaker of the assembly, one by the minority leader  
24 of the senate, one by the minority leader of the assembly, and one  
25 jointly by the speaker of the assembly and majority leader of the  
26 senate. The commission shall serve as described in this section and have  
27 and exercise the powers and duties set forth in this section only with  
28 respect to members of the legislature, legislative employees as defined

1 in section seventy-three of the public officers law, candidates for  
2 member of the legislature and individuals who have formerly held such  
3 positions or who have formerly been such candidates.

4 § 3. This act shall take effect immediately.

5 PART B

6 Section 1. Section 14-116 of the election law, subdivision 1 as redes-  
7 igned by chapter 9 of the laws of 1978 and subdivision 2 as amended by  
8 chapter 260 of the laws of 1981, is amended to read as follows:

9 § 14-116. Political contributions by certain organizations. 1. No  
10 corporation [or], limited liability company, joint-stock association or  
11 other corporate entity doing business in this state, except a corpo-  
12 ration or association organized or maintained for political purposes  
13 only, shall directly or indirectly pay or use or offer, consent or agree  
14 to pay or use any money or property for or in aid of any political  
15 party, committee or organization, or for, or in aid of, any corporation,  
16 limited liability company, joint-stock [or], other association, or other  
17 corporate entity organized or maintained for political purposes, or for,  
18 or in aid of, any candidate for political office or for nomination for  
19 such office, or for any political purpose whatever, or for the  
20 reimbursement or indemnification of any person for moneys or property so  
21 used. Any officer, director, stock-holder, member, owner, attorney or  
22 agent of any corporation [or], limited liability company, joint-stock  
23 association or other corporate entity which violates any of the  
24 provisions of this section, who participates in, aids, abets or advises  
25 or consents to any such violations, and any person who solicits or know-



1 ingly receives any money or property in violation of this section, shall  
2 be guilty of a misdemeanor.

3 2. Notwithstanding the provisions of subdivision one of this section,  
4 any corporation or an organization financially supported in whole or in  
5 part, by such corporation, any limited liability company or other corpo-  
6 rate entity may make expenditures, including contributions, not other-  
7 wise prohibited by law, for political purposes, in an amount not to  
8 exceed five thousand dollars in the aggregate in any calendar year;  
9 provided that no public utility shall use revenues received from the  
10 rendition of public service within the state for contributions for poli-  
11 tical purposes unless such cost is charged to the shareholders of such a  
12 public service corporation.

13 3. Each limited liability company that makes an expenditure for poli-  
14 tical purposes shall file with the state board of elections, by December  
15 thirty-first of the year in which the expenditure is made, on the form  
16 prescribed by the state board of elections, the identity of all direct  
17 and indirect owners of the membership interests in the limited liability  
18 company and the proportion of each direct or indirect member's ownership  
19 interest in the limited liability company.

20 § 2. Section 14-120 of the election law is amended by adding a new  
21 subdivision 3 to read as follows:

22 3. (a) Notwithstanding any law to the contrary, all contributions made  
23 to a campaign or political committee by a limited liability company  
24 shall be attributed to each member of the limited liability company in  
25 proportion to the member's ownership interest in the limited liability  
26 company.

27 (b) If, by application of paragraph (a) of this subdivision, a  
28 campaign contribution is attributed to a limited liability company, the

1 contributions shall be further attributed to each member of the limited  
2 liability company in proportion to the member's ownership interest in  
3 the limited liability company.

4 (c) The state board of elections shall enact regulations that prevent  
5 the avoidance of the rules set forth in paragraphs (a) and (b) of this  
6 subdivision.

7 § 3. This act shall take effect immediately.

8 PART C

9 Section 1. Subdivision 1 of section 94 of the executive law, as  
10 amended by section 6 of part A of chapter 399 of the laws of 2011, is  
11 amended to read as follows:

12 1. There is established within the department of state a joint commis-  
13 sion on public ethics which shall consist of fourteen members and shall  
14 have and exercise the powers and duties set forth in this section with  
15 respect to statewide elected officials, members of the legislature and  
16 employees of the legislature, and state officers and employees, as  
17 defined in sections seventy-three and seventy-three-a of the public  
18 officers law, candidates for statewide elected office and for the senate  
19 or assembly, and the political party chairman as that term is defined in  
20 section seventy-three-a of the public officers law, lobbyists and the  
21 clients of lobbyists as such terms are defined in article one-A of the  
22 legislative law, and individuals who have formerly held such positions,  
23 were lobbyists or clients of lobbyists, as such terms are defined in  
24 article one-A of the legislative law, or who have formerly been such  
25 candidates. The commission shall also have and exercise the powers set  
26 forth in this section with respect to covered municipal officers as such

1 term is defined in section eight hundred ten of the general municipal  
2 law, provided, however, that the jurisdiction of the joint commission on  
3 public ethics with respect to such covered municipal officers shall be  
4 limited to the provisions of this section relating to the filing of  
5 accurate annual statements of financial disclosure, and provided,  
6 further, if the commission has a reasonable basis to believe that there  
7 are ethical or legal issues outside its jurisdiction, but related to the  
8 annual statement of financial disclosure, such issues shall be referred  
9 to the appropriate body as defined in section eight hundred ten of the  
10 general municipal law or the district attorney from the county where the  
11 municipal corporation is located. This section shall not be deemed to  
12 have revoked or rescinded any regulations or advisory opinions issued by  
13 the legislative ethics commission, the commission on public integrity,  
14 the state ethics commission and the temporary lobbying commission in  
15 effect upon the effective date of chapter fourteen of the laws of two  
16 thousand seven which amended this section to the extent that such regu-  
17 lations or opinions are not inconsistent with any law of the state of  
18 New York, but such regulations and opinions shall apply only to matters  
19 over which such commissions had jurisdiction at the time such regu-  
20 lations and opinions were promulgated or issued. The commission shall  
21 undertake a comprehensive review of all such regulations and opinions,  
22 which will address the consistency of such regulations and opinions  
23 among each other and with the new statutory language, and of the effec-  
24 tiveness of the existing laws, regulations, guidance and ethics enforce-  
25 ment structure to address the ethics of covered public officials and  
26 related parties. Such review shall be conducted with the legislative  
27 ethics commission and, to the extent possible, the report's findings  
28 shall reflect the full input and deliberations of both commissions after

1 joint consultation. The commission shall, before February first, two  
2 thousand fifteen, report to the governor and legislature regarding such  
3 review and shall propose any regulatory or statutory changes and issue  
4 any advisory opinions necessitated by such review.

5 § 2. Subparagraph 1 of paragraph (a) of subdivision 19 of section 94  
6 of the executive law, as amended by section 6 of part A of chapter 399  
7 of the laws of 2011, is amended to read as follows:

8 (1) the information set forth in an annual statement of financial  
9 disclosure filed pursuant to section seventy-three-a of the public offi-  
10 cers law and pursuant to subdivision three of section eight hundred  
11 eleven and subdivision one of section eight hundred twelve of the gener-  
12 al municipal law, except information deleted pursuant to paragraph (h)  
13 of subdivision nine of this section;

14 § 3. Section 810 of the general municipal law is amended by adding a  
15 new subdivision 13 to read as follows:

16 (13) "Covered municipal officer" means (a) any individual elected to  
17 serve the government of any municipal corporation who receives compen-  
18 sation of fifty thousand dollars or more annually from such municipal  
19 corporation as well as (b) any individual who is either elected or  
20 appointed to serve as county executive, county manager, or chair of the  
21 county board of supervisors.

22 § 4. Section 811 of the general municipal law is amended by adding a  
23 new subdivision 3 to read as follows:

24 3. (a) Notwithstanding any local law, ordinance, or resolution provid-  
25 ing for the annual filing of an annual statement of financial disclo-  
26 sure, a covered municipal officer shall be required to file the annual  
27 statement of financial disclosure set forth in section seventy-three-a  
28 of the public officers law with the joint commission on public ethics,

1 provided, however a covered municipal officer may satisfy the filing  
2 requirements of this subdivision by filing a copy of the statement of  
3 financial disclosure filed pursuant to paragraph (a) or (a-1) of subdivi-  
4 vision one of this section with the joint commission on public ethics on  
5 or before the filing deadline provided in section seventy-three-a of the  
6 public officers law, if such statement of financial disclosure filed  
7 pursuant to paragraph (a) or (a-1) of subdivision one of this section  
8 has been authorized by the joint commission on public ethics pursuant to  
9 paragraph (b) of this subdivision.

10 (b) The governing body of each municipal corporation may adopt a  
11 resolution to request authorization from the joint commission on public  
12 ethics for its covered municipal officers to file with the joint commis-  
13 sion on public ethics a copy of the annual statement of financial  
14 disclosure filed pursuant to paragraph (a) or (a-1) of subdivision one  
15 of this section to satisfy the filing requirements of a covered municipi-  
16 pal officer of paragraph (a) of this subdivision. The joint commission  
17 on public ethics shall promptly make a determination in response to each  
18 request, which shall include an explanation for its determination. If  
19 authorization is denied, the municipal corporation may amend its request  
20 and resubmit.

21 (c) The governing body of each municipal corporation may adopt a local  
22 law, ordinance, or resolution authorizing its covered municipal officers  
23 to satisfy the filing requirements of paragraph (a) or (a-1) of subdivi-  
24 sion one of this section by filing a copy of the annual statement of  
25 financial disclosure as set forth in section seventy-three-a of the  
26 public officers law filed pursuant to paragraph (a) of this subdivision  
27 with the appropriate body.

1 § 5. Subdivision 1 of section 812 of the general municipal law is  
2 amended by adding a new paragraph (j) to read as follows:

3 (j) A covered municipal officer shall be required to file the annual  
4 statement of financial disclosure set forth in section seventy-three-a  
5 of the public officers law with the joint commission on public ethics. A  
6 covered municipal officer may satisfy the filing requirements of para-  
7 graph (a) of this subdivision by filing a copy of the annual statement  
8 of financial disclosure filed pursuant to this paragraph with the appro-  
9 priate body.

10 § 6. This act shall take effect January 1, 2018.

11 PART D

12 Section 1. Section 14-100 of the election law is amended by adding  
13 two new subdivisions 17 and 18 to read as follows:

14 17. "intermediary" means an individual, corporation, partnership,  
15 political committee, labor organization, or other entity which, other  
16 than in the regular course of business as a postal, delivery, or messen-  
17 ger service, delivers any contribution from another person or entity to  
18 a candidate or an authorized committee.

19 "Intermediary" shall not include spouses, parents, children, or  
20 siblings of the person making such contribution.

21 18. "authorized committee" means the single political committee desig-  
22 nated by a candidate to receive all contributions authorized by this  
23 title.

24 § 2. Subdivision 1 of section 14-102 of the election law, as amended  
25 by chapter 8 and as redesignated by chapter 9 of the laws of 1978, is  
26 amended to read as follows:

1 1. The treasurer of every political committee which, or any officer,  
2 member or agent of any such committee who, in connection with any  
3 election, receives or expends any money or other valuable thing or  
4 incurs any liability to pay money or its equivalent shall file state-  
5 ments sworn, or subscribed and bearing a form notice that false state-  
6 ments made therein are punishable as a class A misdemeanor pursuant to  
7 section 210.45 of the penal law, at the times prescribed by this [arti-  
8 cle] title setting forth all the receipts, contributions to and the  
9 expenditures by and liabilities of the committee, and of its officers,  
10 members and agents in its behalf. Such statements shall include the  
11 dollar amount of any receipt, contribution or transfer, or the fair  
12 market value of any receipt, contribution or transfer, which is other  
13 than of money, the name and address of the transferor, contributor,  
14 intermediary, or person from whom received, and if the transferor,  
15 contributor, intermediary, or person is a political committee; the name  
16 of and the political unit represented by the committee, the date of its  
17 receipt, the dollar amount of every expenditure, the name and address of  
18 the person to whom it was made or the name of and the political unit  
19 represented by the committee to which it was made and the date thereof,  
20 and shall state clearly the purpose of such expenditure. An intermediary  
21 need not be reported for a contribution that was collected from a  
22 contributor in connection with a party or other candidate-related event  
23 held at the residence of the person delivering the contribution, unless  
24 the expenses of such event at such residence for such candidate exceed  
25 five hundred dollars or the aggregate contributions received from that  
26 contributor at such event exceed five hundred dollars. Any statement  
27 reporting a loan shall have attached to it a copy of the evidence of  
28 indebtedness. Expenditures in sums under fifty dollars need not be

1 specifically accounted for by separate items in said statements, and  
2 receipts and contributions aggregating not more than ninety-nine  
3 dollars, from any one contributor need not be specifically accounted for  
4 by separate items in said statements, provided however, that such  
5 expenditures, receipts and contributions shall be subject to the other  
6 provisions of section 14-118 of this [article] title.

7 § 3. Subdivision 3 of section 14-124 of the election law, as amended  
8 by section 1 of part B of chapter 286 of the laws of 2016, is amended to  
9 read as follows:

10 3. The contribution and receipt limits of this article shall not apply  
11 to monies received and expenditures made by a party committee or consti-  
12 tuted committee to maintain a permanent headquarters and staff and carry  
13 on ordinary activities which are not for the express purpose of promot-  
14 ing the candidacy of specific candidates, except that contributions made  
15 for such activities to a party committee or constituted committee shall  
16 be limited to twenty-five thousand dollars in the aggregate from each  
17 contributor in each year; provided that such monies described in this  
18 subdivision shall be deposited in a segregated account.

19 § 4. Subdivision 2 of section 14-108 of the election law, as amended  
20 by chapter 109 of the laws of 1997, is amended to read as follows:

21 2. Each statement shall cover the period up to and including the  
22 fourth day next preceding the day specified for the filing thereof[;  
23 provided, however, that]. The receipt of any contribution or loan in  
24 excess of one thousand dollars shall be disclosed within sixty days of  
25 receipt. Such submissions shall be reported in the same manner as any  
26 other contribution or loan on the next applicable statement. However,  
27 any contribution or loan in excess of one thousand dollars, if received  
28 after the close of the period to be covered in the last statement filed



1 before any primary, general or special election but before such  
2 election, shall be reported, in the same manner as other contributions,  
3 within twenty-four hours after receipt.

4 § 5. The article heading of article 14 of the election law is amended  
5 to read as follows:

6 [Campaign Receipts and Expenditures] CAMPAIGN RECEIPTS AND EXPENDI-  
7 TURES; PUBLIC FINANCING

8 § 6. Subdivisions 1 and 10 of section 14-114 of the election law,  
9 subdivision 1 as amended and subdivision 10 as added by chapter 79 of  
10 the laws of 1992 and paragraphs a and b of subdivision 1 as amended by  
11 chapter 659 of the laws of 1994, are amended to read as follows:

12 1. The following limitations apply to all contributions to candidates  
13 for election to any public office or for nomination for any such office,  
14 or for election to any party positions, and to all contributions to  
15 political committees working directly or indirectly with any candidate  
16 to aid or participate in such candidate's nomination or election, other  
17 than any contributions to any party committee or constituted committee:

18 a. In any election for a public office to be voted on by the voters of  
19 the entire state, or for nomination to any such office, no contributor  
20 may make a contribution to any candidate or political committee partic-  
21 ipating in the state's public campaign financing system as defined in  
22 title two of this article, and no such candidate or political committee  
23 may accept any contribution from any contributor, which is in the aggre-  
24 gate amount greater than: (i) in the case of any nomination to public  
25 office, the product of the total number of enrolled voters in the candi-  
26 date's party in the state, excluding voters in inactive status, multi-  
27 plied by \$.005, but such amount shall be not [less than four thousand  
28 dollars nor] more than [twelve] six thousand dollars [as increased or

1 decreased by the cost of living adjustment described in paragraph c of  
2 this subdivision,] and (ii) in the case of any election to [a] such  
3 public office, [twenty-five] six thousand dollars [as increased or  
4 decreased by the cost of living adjustment described in paragraph c of  
5 this subdivision]; provided however, that the maximum amount which may  
6 be so contributed or accepted, in the aggregate, from any candidate's  
7 child, parent, grandparent, brother and sister, and the spouse of any  
8 such persons, shall not exceed in the case of any nomination to public  
9 office an amount equivalent to the product of the number of enrolled  
10 voters in the candidate's party in the state, excluding voters in inac-  
11 tive status, multiplied by \$.025, and in the case of any election for a  
12 public office, an amount equivalent to the product of the number of  
13 registered voters in the state excluding voters in inactive status,  
14 multiplied by \$.025.

15 b. In any other election for party position or for election to a  
16 public office or for nomination for any such office, no contributor may  
17 make a contribution to any candidate or political committee participat-  
18 ing in the state's public campaign financing system defined in title two  
19 of this article (for those offices or positions covered by that system)  
20 and no such candidate or political committee may accept any contribution  
21 from any contributor, which is in the aggregate amount greater than: (i)  
22 in the case of any election for party position, or for nomination to  
23 public office, the product of the total number of enrolled voters in the  
24 candidate's party in the district in which he is a candidate, excluding  
25 voters in inactive status, multiplied by \$.05, and (ii) in the case of  
26 any election for a public office, the product of the total number of  
27 registered voters in the district, excluding voters in inactive status,  
28 multiplied by \$.05, however in the case of a nomination within the city

1 of New York for the office of mayor, public advocate or comptroller,  
2 such amount shall be not less than four thousand dollars nor more than  
3 twelve thousand dollars as increased or decreased by the cost of living  
4 adjustment described in paragraph [c] e of this subdivision; in the case  
5 of an election within the city of New York for the office of mayor,  
6 public advocate or comptroller, twenty-five thousand dollars as  
7 increased or decreased by the cost of living adjustment described in  
8 paragraph [c] e of this subdivision; in the case of a nomination or  
9 election for state senator, four thousand dollars [as increased or  
10 decreased by the cost of living adjustment described in paragraph c of  
11 this subdivision; in the case of an election for state senator, six  
12 thousand two hundred fifty dollars as increased or decreased by the cost  
13 of living adjustment described in paragraph c of this subdivision]; in  
14 the case of an election or nomination for a member of the assembly,  
15 delegate-at-large to a convention to revise and amend the state consti-  
16 tution, or district delegate to a convention to revise and amend the  
17 state constitution, [twenty-five hundred] two thousand dollars [as  
18 increased or decreased by the cost of living adjustment described in  
19 paragraph c of this subdivision; but in no event shall any such maximum  
20 exceed fifty thousand dollars or be less than one thousand dollars];  
21 provided however, that the maximum amount which may be so contributed or  
22 accepted, in the aggregate, from any candidate's child, parent, grand-  
23 parent, brother and sister, and the spouse of any such persons, shall  
24 not exceed in the case of any election for party position or nomination  
25 for public office an amount equivalent to the number of enrolled voters  
26 in the candidate's party in the district in which he is a candidate,  
27 excluding voters in inactive status, multiplied by \$.25 and in the case  
28 of any election to public office, an amount equivalent to the number of

1 registered voters in the district, excluding voters in inactive status,  
2 multiplied by \$.25; or twelve hundred fifty dollars, whichever is great-  
3 er, or in the case of a nomination or election of a state senator, twen-  
4 ty thousand dollars, whichever is greater, or in the case of a nomi-  
5 nation or election of a member of the assembly, delegate-at-large to a  
6 convention to revise and amend the state constitution, or district dele-  
7 gate to a convention to revise and amend the state constitution, twelve  
8 thousand five hundred dollars, whichever is greater, but in no event  
9 shall any such maximum exceed one hundred thousand dollars.

10 c. In any election for a public office to be voted on by the voters  
11 of the entire state, or for nomination to any such office, no contribu-  
12 tor may make a contribution to any candidate or political committee in  
13 connection with a candidate who is not a participating candidate as  
14 defined in subdivision fourteen of section 14-200-a of this article, and  
15 no such candidate or political committee may accept any contribution  
16 from any contributor, which is in the aggregate amount greater than:  
17 (i) in the case of any nomination to public office, the product of the  
18 total number of enrolled voters in the candidate's party in the state,  
19 excluding voters in inactive status, multiplied by \$.005, but such  
20 amount shall be not less than four thousand dollars nor more than ten  
21 thousand dollars, and (ii) in the case of any election to a public  
22 office, fifteen thousand dollars; provided however, that the maximum  
23 amount which may be so contributed or accepted, in the aggregate, from  
24 any candidate's child, parent, grandparent, brother and sister, and the  
25 spouse of any such persons, shall not exceed in the case of any nomi-  
26 nation to public office an amount equivalent to the product of the  
27 number of enrolled voters in the candidate's party in the state, exclud-  
28 ing voters in inactive status, multiplied by \$.025, and in the case of

1 any election for a public office, an amount equivalent to the product of  
2 the number of registered voters in the state excluding voters in inac-  
3 tive status, multiplied by \$.025.

4 d. In any other election for party position or for election to a  
5 public office or for nomination for any such office, no contributor may  
6 make a contribution to any candidate or political committee in  
7 connection with a candidate who is not a participating candidate as  
8 defined in subdivision fourteen of section 14-200-a of this article and  
9 no such candidate or political committee may accept any contribution  
10 from any contributor, which is in the aggregate amount greater than: (i)  
11 in the case of any election for party position, or for nomination to  
12 public office, the product of the total number of enrolled voters in the  
13 candidate's party in the district in which he is a candidate, excluding  
14 voters in inactive status, multiplied by \$.05, and (ii) in the case of  
15 any election for a public office, the product of the total number of  
16 registered voters in the district, excluding voters in inactive status,  
17 multiplied by \$.05, however in the case of a nomination within the city  
18 of New York for the office of mayor, public advocate or comptroller,  
19 such amount shall be not less than four thousand dollars nor more than  
20 twelve thousand dollars as increased or decreased by the cost of living  
21 adjustment described in paragraph e of this subdivision; in the case of  
22 an election within the city of New York for the office of mayor, public  
23 advocate or comptroller, twenty-five thousand dollars as increased or  
24 decreased by the cost of living adjustment described in paragraph e of  
25 this subdivision; in the case of a nomination or election for state  
26 senator, five thousand dollars; in the case of an election or nomination  
27 for a member of the assembly, delegate-at-large to a convention to  
28 revise and amend the state constitution, or district delegate to a

1 convention to revise and amend the state constitution, three thousand  
2 dollars; provided however, that the maximum amount which may be so  
3 contributed or accepted, in the aggregate, from any candidate's child,  
4 parent, grandparent, brother and sister, and the spouse of any such  
5 persons, shall not exceed in the case of any election for party position  
6 or nomination for public office an amount equivalent to the number of  
7 enrolled voters in the candidate's party in the district in which he is  
8 a candidate, excluding voters in inactive status, multiplied by \$.25 and  
9 in the case of any election to public office, an amount equivalent to  
10 the number of registered voters in the district, excluding voters in  
11 inactive status, multiplied by \$.25; or twelve hundred fifty dollars,  
12 whichever is greater, or in the case of a nomination or election of a  
13 state senator, twenty thousand dollars, whichever is greater, or in the  
14 case of a nomination or election of a member of the assembly, delegat-  
15 at-large to a convention to revise and amend the state constitution, or  
16 district delegate to a convention to revise and amend the state consti-  
17 tution, twelve thousand five hundred dollars, whichever is greater, but  
18 in no event shall any such maximum exceed one hundred thousand dollars.

19 e. At the beginning of each fourth calendar year, commencing in [nine-  
20 teen hundred ninety-five] two thousand twenty-two, the state board shall  
21 determine the percentage of the difference between the most recent  
22 available monthly consumer price index for all urban consumers published  
23 by the United States bureau of labor statistics and such consumer price  
24 index published for the same month four years previously. The amount of  
25 each contribution limit fixed and expressly identified for adjustment in  
26 this subdivision shall be adjusted by the amount of such percentage  
27 difference to the closest one hundred dollars by the state board which,  
28 not later than the first day of February in each such year, shall issue

1 a regulation publishing the amount of each such contribution limit. Each  
2 contribution limit as so adjusted shall be the contribution limit in  
3 effect for any election held before the next such adjustment.

4 f. Each party or constituted committee may transfer to, or spend to  
5 elect or oppose a candidate, or transfer to another party or constituted  
6 committee, no more than five thousand dollars per election, except that  
7 such committee may in addition to such transfers or expenditures:

8 (i) in a general or special election transfer to, or spend to elect or  
9 oppose a candidate, no more than five hundred dollars received from each  
10 contributor; and

11 (ii) in any election spend without limitation for non-candidate  
12 expenditures not designed or intended to elect a particular candidate or  
13 candidates.

14 g. Notwithstanding any other contribution limit in this section,  
15 participating candidates as defined in subdivision fourteen of section  
16 14-200-a of this article may contribute, out of their own money, three  
17 times the applicable contribution limit to their own authorized commit-  
18 tee.

19 10. [a.] No contributor may make a contribution to a party or consti-  
20 tuted committee and no such committee may accept a contribution from any  
21 contributor which, in the aggregate, is greater than [sixty-two thousand  
22 five hundred] twenty-five thousand dollars per annum.

23 [b. At the beginning of each fourth calendar year, commencing in nine-  
24 teen hundred ninety-five, the state board shall determine the percentage  
25 of the difference between the most recent available monthly consumer  
26 price index for all urban consumers published by the United States  
27 bureau of labor statistics and such consumer price index published for  
28 the same month four years previously. The amount of such contribution

1 limit fixed in paragraph a of this subdivision shall be adjusted by the  
2 amount of such percentage difference to the closest one hundred dollars  
3 by the state board which, not later than the first day of February in  
4 each such year, shall issue a regulation publishing the amount of such  
5 contribution limit. Such contribution limit as so adjusted shall be the  
6 contribution limit in effect for any election held before the next such  
7 adjustment.]

8 § 7. Sections 14-100 through 14-132 of article 14 of the election law  
9 are designated title I and a new title heading is added to read as  
10 follows:

11 CAMPAIGN RECEIPTS AND EXPENDITURES

12 § 8. Article 14 of the election law is amended by adding a new title  
13 II to read as follows:

14 TITLE II

15 PUBLIC FINANCING

16 Section 14-200. Legislative findings and intent.

17 14-200-a. Definitions.

18 14-201. Reporting requirements.

19 14-202. Contributions.

20 14-203. Proof of compliance.

21 14-204. Eligibility.

22 14-205. Limits on public financing.

23 14-206. Payment of public matching funds.

24 14-207. Use of public matching funds; qualified campaign  
25 expenditures.

26 14-208. Powers and duties of the board.



1       14-209. Audits and repayments.

2       14-210. Enforcement and penalties for violations and other  
3               proceedings.

4       14-211. Reports.

5       14-212. Debates for candidates for statewide office.

6       14-213. Severability.

7       § 14-200. Legislative findings and intent. The legislature finds that  
8 reform of New York state's campaign finance system is crucial to improv-  
9 ing public confidence in the state's democratic processes and continuing  
10 to ensure a government that is accountable to all of the voters of the  
11 state regardless of wealth or position. The legislature finds that New  
12 York's current system of campaign finance, with its large contributions  
13 to candidates for office and party committees, has created the potential  
14 for and the appearance of corruption. The legislature further finds  
15 that, whether or not this system creates actual corruption, the appear-  
16 ance of such corruption can give rise to a distrust in government and  
17 citizen apathy that undermine the democratic operation of the political  
18 process.

19       The legislature also finds that the high cost of running for office in  
20 New York discourages qualified candidates from running for office and  
21 creates an electoral system that encourages candidates to spend too much  
22 time raising money rather than attending to the duties of their office,  
23 representing the needs of their constituents, and communicating with  
24 voters.

25       The legislature amends this chapter creating a new title two to arti-  
26 cle fourteen of this chapter to reduce the possibility and appearance  
27 that special interests exercise undue influence over state officials; to  
28 increase the actual and apparent responsiveness of elected officials to

1 all voters; to encourage qualified candidates to run for office; and to  
2 reduce the pressure on candidates to spend large amounts of time raising  
3 large contributions for their campaigns.

4 The legislature finds that this article's limitations on contributions  
5 further the government's interest in reducing real and apparent  
6 corruption and in building trust in government. The legislature finds  
7 that the contribution levels are sufficiently high to allow candidates  
8 and political parties to raise enough money to run effective campaigns.  
9 In addition, the legislature finds that graduated contribution limita-  
10 tions reflect the campaign needs of candidates for different offices.

11 The legislature also finds that the system of voluntary public financ-  
12 ing furthers the government's interest in encouraging qualified candi-  
13 dates to run for office. The legislature finds that the voluntary public  
14 funding program will enlarge the public debate and increase partic-  
15 ipation in the democratic process. In addition, the legislature finds  
16 that the voluntary expenditure limitations and matching fund program  
17 reduce the burden on candidates and officeholders to spend time raising  
18 money for their campaigns.

19 Therefore, the legislature declares that these amendments further the  
20 important and valid government interests of reducing voter apathy,  
21 building confidence in government, reducing the reality and appearance  
22 of corruption, and encouraging qualified candidates to run for office,  
23 while reducing candidates' and officeholders' fundraising burdens.

24 § 14-200-a. Definitions. For the purposes of this title, the follow-  
25 ing terms shall have the following meanings:

26 1. The term "authorized committee" shall mean the single committee  
27 designated by a candidate pursuant to section 14-201 of this title to

1 receive contributions and make expenditures in support of the candi-  
2 date's campaign.

3 2. The term "board" shall mean the state board of elections.

4 3. The term "contribution" shall have the same meaning as appears in  
5 subdivision nine of section 14-100 of this article.

6 4. The term "contributor" shall mean any person or entity that makes a  
7 contribution.

8 5. The term "covered election" shall mean any primary, general, or  
9 special election for nomination for election, or election, to the office  
10 of governor, lieutenant governor, attorney general, state comptroller,  
11 state senator, member of the assembly, delegate-at-large to a convention  
12 to revise and amend the state constitution, or district delegate to a  
13 convention to revise and amend the state constitution.

14 6. The term "election cycle" shall mean the two year period starting  
15 the day after the last general election for candidates for the state  
16 legislature and shall mean the four year period starting after the day  
17 after the last general election for candidates for statewide office.

18 7. The term "expenditure" shall mean any gift, subscription, advance,  
19 payment, or deposit of money or anything of value, or a contract to make  
20 any gift, subscription, payment, or deposit of money or anything of  
21 value, made in connection with the nomination for election, or election,  
22 of any candidate. Expenditures made by contract are deemed made when  
23 such funds are obligated.

24 8. The term "fund" shall mean the New York state campaign finance  
25 fund.

26 9. The term "immediate family" shall mean a spouse, child, sibling or  
27 parent.

1 10. The term "intermediary" shall mean an individual, corporation,  
2 partnership, political committee, employee organization or other entity  
3 which bundles, causes to be delivered or otherwise delivers any contrib-  
4 ution from another person or entity to a candidate or authorized commit-  
5 tee, other than in the regular course of business as a postal, delivery  
6 or messenger service. Provided, however, that an "intermediary" shall  
7 not include spouses, domestic partners, parents, children or siblings of  
8 the person making such contribution or a staff member or volunteer of  
9 the campaign identified in writing to the state board of elections. Here  
10 "causes to be delivered" shall include providing postage, envelopes or  
11 other shipping materials for the use of delivering the contribution to  
12 the ultimate recipient.

13 11. The term "item with significant intrinsic and enduring value"  
14 shall mean any item, including tickets to an event, that are valued at  
15 twenty-five dollars or more.

16 12. (a) The term "matchable contribution" shall mean a contribution,  
17 contributions or a portion of a contribution or contributions for any  
18 covered elections held in the same election cycle, made by a natural  
19 person who is a United States citizen and resident in the state of New  
20 York to a participating candidate, that has been reported in full to the  
21 board in accordance with sections 14-102 and 14-104 of this article by  
22 the candidate's authorized committee and has been contributed on or  
23 before the day of the applicable primary, general, runoff or special  
24 election. Any contribution, contributions, or a portion of a contrib-  
25 ution determined to be invalid for matching funds by the board may not  
26 be treated as a matchable contribution for any purpose.

27 (b) The following contributions are not matchable:

28 (i) loans;

- 1 (ii) in-kind contributions of property, goods, or services;  
2 (iii) contributions in the form of the purchase price paid for an item  
3 with significant intrinsic and enduring value;  
4 (iv) transfers from a party or constituted committee;  
5 (v) anonymous contributions or contributions whose source is not item-  
6 ized as required by section 14-201 of this title;  
7 (vi) contributions gathered during a previous election cycle;  
8 (vii) illegal contributions;  
9 (viii) contributions from minors;  
10 (ix) contributions from vendors for campaigns; and  
11 (x) contributions from lobbyists registered pursuant to subdivision  
12 (a) of section one-c of the legislative law.

13 13. The term "nonparticipating candidate" shall mean a candidate for a  
14 covered election who fails to file a written certification in the form  
15 of an affidavit under section 14-204 of this title by the applicable  
16 deadline.

17 14. The term "participating candidate" shall mean any candidate for  
18 nomination for election, or election, to the office of governor, lieu-  
19 tenant governor, attorney general, state comptroller, state senator,  
20 member of the assembly, delegate-at-large to a convention to revise and  
21 amend the state constitution, or district delegate to a convention to  
22 revise and amend the state constitution, who files a written certif-  
23 ication in the form of an affidavit pursuant to section 14-204 of this  
24 title.

25 15. The term "post-election period" shall mean the five years follow-  
26 ing an election when a candidate is subject to an audit.

27 16. The term "qualified campaign expenditure" shall mean an expendi-  
28 ture for which public matching funds may be used.

1 17. The term "threshold for eligibility" shall mean the amount of  
2 matchable contributions that a candidate's authorized committee must  
3 receive in total in order for such candidate to qualify for voluntary  
4 public financing under this title.

5 18. The term "transfer" shall mean any exchange of funds between a  
6 party or constituted committee and a candidate or any of his or her  
7 authorized committees.

8 § 14-201. Reporting requirements. 1. Political committee registra-  
9 tion. Political committees as defined pursuant to subdivision one of  
10 section 14-100 of this article shall register with the board before  
11 making any contribution or expenditure. The board shall publish a cumu-  
12 lative list of political committees that have registered, including on  
13 its webpage, and regularly update it.

14 2. Only one authorized committee per candidate per elective office  
15 sought. Before receiving any contribution or making any expenditure for  
16 a covered election, each candidate shall notify the board as to the  
17 existence of his or her authorized committee that has been approved by  
18 such candidate. Each candidate shall have one and only one authorized  
19 committee per elective office sought. Each authorized committee shall  
20 have a treasurer and is subject to the restrictions found in section  
21 14-112 of this article.

22 3. (a) Detailed reporting. In addition to each authorized and poli-  
23 tical committee reporting to the board every contribution and loan  
24 received and every expenditure made in the time and manner prescribed by  
25 sections 14-102, 14-104 and 14-108 of this article, each authorized and  
26 political committee shall also submit disclosure reports on March  
27 fifteenth and May fifteenth of each election year reporting to the board  
28 every contribution and loan received and every expenditure made. For

1 contributors who make contributions of five hundred dollars or more,  
2 each authorized and political committee shall report to the board the  
3 occupation, and business address of each contributor, lender, and inter-  
4 mediary. The board shall revise, prepare and post forms on its webpage  
5 that facilitate compliance with the requirements of this section.

6 (b) Board review. The board shall review each disclosure report filed  
7 and shall inform authorized and political committees of relevant ques-  
8 tions it has concerning: (i) compliance with requirements of this title  
9 and of the rules issued by the board; and (ii) qualification for receiv-  
10 ing public matching funds pursuant to this title. In the course of this  
11 review, it shall give authorized and political committees an opportunity  
12 to respond to and correct potential violations and give candidates an  
13 opportunity to address questions it has concerning their matchable  
14 contribution claims or other issues concerning eligibility for receiving  
15 public matching funds pursuant to this title. Nothing in this paragraph  
16 shall preclude the chief enforcement counsel from subsequently reviewing  
17 such disclosure reports and taking any action otherwise authorized under  
18 this title.

19 (c) Itemization. Contributions that are not itemized in reports filed  
20 with the board shall not be matchable.

21 (d) Option to file more frequently. Participating candidates may file  
22 reports of contributions as frequently as once a week on Monday so that  
23 their matching funds may be paid at the earliest allowable date.

24 § 14-202. Contributions. Recipients of funds pursuant to this title  
25 shall be subject to the applicable contribution limits set forth in  
26 section 14-114 of this article.

27 § 14-203. Proof of compliance. Authorized and political committees  
28 shall maintain such records of receipts and expenditures for a covered

1 election as required by the board. Authorized and political committees  
2 shall obtain and furnish to the board any information it may request  
3 relating to financial transactions or contributions and furnish such  
4 documentation and other proof of compliance with this title as may be  
5 requested. In compliance with section 14-108 of this article, authorized  
6 and political committees shall maintain copies of such records for a  
7 period of five years.

8 § 14-204. Eligibility. 1. Terms and conditions. To be eligible for  
9 voluntary public financing under this title, a candidate must:

10 (a) be a candidate in a covered election;

11 (b) meet all the requirements of law to have his or her name on the  
12 ballot;

13 (c) in the case of a covered general or special election, be opposed  
14 by another candidate on the ballot who is not a write-in candidate;

15 (d) submit a certification in the form of an affidavit, in such form  
16 as may be prescribed by the board, that sets forth his or her acceptance  
17 of and agreement to comply with the terms and conditions for the  
18 provision of such funds in each covered election and such certification  
19 shall be submitted at least four months before the election pursuant to  
20 a schedule promulgated by the board;

21 (e) be certified as a participating candidate by the board;

22 (f) not make, and not have made, expenditures from or use his or her  
23 personal funds or property or the personal funds or property jointly  
24 held with his or her spouse, or unemancipated children in connection  
25 with his or her nomination election or election to a covered office, but  
26 may make a contribution to his or her authorized committee in an amount  
27 that does not exceed three times the applicable contribution limit from



1 an individual contributor to candidates for the office that he or she is  
2 seeking;

3 (g) meet the threshold for eligibility set forth in subdivision two of  
4 this section;

5 (h) continue to abide by all requirements during the post-election  
6 period;

7 (i) agree not to expend for campaign purposes any portion of any pre-  
8 existing funds raised for any public office or party position prior to  
9 the first day of the election cycle for which the candidate seeks  
10 certification. Nothing in this paragraph shall be construed to limit, in  
11 any way, any candidate or public official from expending any portion of  
12 pre-existing campaign funds for any lawful purpose other than those  
13 related to his or her campaign; and

14 (j) not have accepted contributions in amounts exceeding the contrib-  
15 ution limits set forth for participating candidates in paragraphs a and  
16 b of subdivision one of section 14-114 of this article during the  
17 election cycle for which the candidate seeks certification;

18 (i) Provided however, that, if a candidate accepted contributions  
19 exceeding such limits before certification, such acceptance shall not  
20 prevent the candidate from being certified by the board if the candidate  
21 immediately pays to the fund or returns to the contributor the portion  
22 of any contribution that exceeded the applicable contribution limit.

23 (ii) If the candidate is unable to return such funds immediately  
24 because they have already been spent, acceptance of contributions  
25 exceeding the limits shall not prevent the candidate from being certi-  
26 fied by the board if the candidate submits an affidavit agreeing to pay  
27 to the fund all portions of any contributions that exceeded the limit no  
28 later than thirty days before the general election. If a candidate

1 provides the board with such an affidavit, any disbursement of public  
2 funds to the candidate made under section 14-206 of this title shall be  
3 reduced by no more than twenty-five percent until the total amount owed  
4 by the candidate is repaid.

5 (iii) Nothing in this section shall be interpreted to require a candi-  
6 date who retains funds raised during a previous election cycle to  
7 forfeit such funds. Funds raised during a previous election cycle may be  
8 retained, but only if the candidate places the funds in escrow.

9 (iv) Contributions received and expenditures made by the candidate or  
10 an authorized committee of the candidate prior to the effective date of  
11 this title shall not constitute a violation of this title. Unexpended  
12 contributions shall be treated the same as campaign surpluses under  
13 subparagraph (iii) of this paragraph.

14 2. Threshold for eligibility. (a) The threshold for eligibility for  
15 public funding for participating candidates shall be in the case of:

16 (i) Governor, not less than six hundred fifty thousand dollars in  
17 matchable contributions including at least six thousand five hundred  
18 matchable contributions comprised of sums between ten and one hundred  
19 seventy-five dollars per contributor, from residents of New York state;

20 (ii) Lieutenant governor, attorney general, and comptroller, not less  
21 than two hundred thousand dollars in matchable contributions including  
22 at least two thousand matchable contributions comprised of sums between  
23 ten and one hundred seventy-five dollars per contributor, from residents  
24 of New York state;

25 (iii) State senator, not less than twenty thousand dollars in matcha-  
26 ble contributions including at least two hundred matchable contributions  
27 comprised of sums between ten and one hundred seventy-five dollars per

1 contributor, from residents of the district in which the seat is to be  
2 filled; and

3 (iv) Member of the assembly, delegate-at-large to a convention to  
4 revise and amend the state constitution, or district delegate to a  
5 convention to revise and amend the state constitution, not less than ten  
6 thousand dollars in matchable contributions including at least one  
7 hundred matchable contributions comprised of sums between ten and one  
8 hundred seventy-five dollars per contributor, from residents of the  
9 district in which the seat is to be filled.

10 (b) Any participating candidate meeting the threshold for eligibility  
11 in a primary election for one of the foregoing offices shall be deemed  
12 to have met the threshold for eligibility for such office in any other  
13 subsequent election held in the same calendar year.

14 § 14-205. Limits on public financing. The following limitations apply  
15 to the total amounts of public funds that may be provided to a partic-  
16 ipating candidate's authorized committee for an election cycle:

17 1. In any primary election, receipt of public funds by participating  
18 candidates and by their participating committees shall not exceed:

19 (i) for governor, the sum of eight million dollars;

20 (ii) for lieutenant governor, comptroller or attorney general, the sum  
21 of four million dollars;

22 (iii) for senator, the sum of three hundred seventy-five thousand  
23 dollars;

24 (iv) for member of the assembly, delegate-at-large to a convention to  
25 revise and amend the state constitution, or district delegate to a  
26 convention to revise and amend the state constitution, the sum of one  
27 hundred seventy-five thousand dollars.

1 2. In any general or special election, receipt of public funds by a  
2 participating candidate's authorized committees shall not exceed the  
3 following amounts:

4 Candidates for election to the office of:

5 Governor and lieutenant governor (combined) \$10,000,000

6 Attorney general \$4,000,000

7 Comptroller \$4,000,000

8 Member of senate \$375,000

9 Member of assembly, delegate-at-large to a \$175,000

10 convention to revise and amend the state

11 constitution, or district delegate to a

12 convention to revise and amend the state

13 constitution

14 3. No participating candidate for nomination for an office who is not  
15 opposed by a candidate on the ballot in a primary election shall be  
16 entitled to payment of public matching funds, except that, where there  
17 is a contest in such primary election for the nomination of at least one  
18 of the two political parties with the highest and second highest number  
19 of enrolled members for such office, a participating candidate who is  
20 unopposed in the primary election may receive public funds before the  
21 primary election, for expenses incurred on or before the date of such  
22 primary election, in an amount equal to up to half the sum set forth in  
23 paragraph one of this section.

24 § 14-206. Payment of public matching funds. 1. Determination of eligi-  
25 bility. No public matching funds shall be paid to an authorized commit-  
26 tee unless the board determines that the participating candidate has met  
27 the eligibility requirements of this title. Payment shall not exceed the  
28 amounts specified in subdivision two of this section, and shall be made

1 only in accordance with the provisions of this title. Such payment may  
2 be made only to the participating candidate's authorized committee. No  
3 public matching funds shall be used except as reimbursement or payment  
4 for qualified campaign expenditures actually and lawfully incurred or to  
5 repay loans used to pay qualified campaign expenditures.

6 2. Calculation of payment. If the threshold for eligibility is met,  
7 the participating candidate's authorized committee shall receive payment  
8 for qualified campaign expenditures of six dollars of public matching  
9 funds for each one dollar of matchable contributions, for the first one  
10 hundred seventy-five dollars of eligible private funds per contributor,  
11 obtained and reported to the board in accordance with the provisions of  
12 this title. The maximum payment of public matching funds shall be limit-  
13 ed to the amounts set forth in section 14-205 of this title for the  
14 covered election.

15 3. Timing of payment. The board shall make any payment of public  
16 matching funds to participating candidates as soon as is practicable.  
17 But in all cases, it shall verify eligibility for public matching funds  
18 within four days, excluding weekends and holidays, of receiving a  
19 campaign contribution report filed in compliance with section 14-104 of  
20 this article. Within two days of determining that a candidate for a  
21 covered office is eligible for public matching funds, it shall authorize  
22 payment of the applicable matching funds owed to the candidate. However,  
23 it shall not make any payments of public money earlier than the earliest  
24 dates for making such payments as provided by this title. If any of  
25 such payments would require payment on a weekend or federal holiday,  
26 payment shall be made on the next business day.

27 4. Electronic funds transfer. The board shall, in consultation with  
28 the office of the comptroller, promulgate rules to facilitate electronic

1 funds transfers directly from the campaign finance fund into an author-  
2 ized committee's bank account.

3 5. Irregularly scheduled elections. Notwithstanding any other  
4 provision of this title, the board shall promulgate rules to provide for  
5 the prompt issuance of public matching funds to eligible participating  
6 candidates for qualified campaign expenditures in the case of any other  
7 covered election held on a day different from that than originally sche-  
8 duled including special elections. But in all cases, the board shall (a)  
9 within four days, excluding weekends and holidays, of receiving a report  
10 of contributions from a candidate for a covered office claiming eligi-  
11 bility for public matching funds verify that candidate's eligibility for  
12 public matching funds; and (b) within two days of determining that the  
13 candidate for a covered office is eligible for public matching funds, it  
14 shall authorize payment of the applicable matching funds owed to the  
15 candidate.

16 § 14-207. Use of public matching funds; qualified campaign expendi-  
17 tures. 1. Public matching funds provided under the provisions of this  
18 title may be used only by an authorized committee for expenditures to  
19 further the participating candidate's nomination for election or  
20 election, including paying for debts incurred within one year prior to  
21 an election to further the participating candidate's nomination for  
22 election or election.

23 2. Such public matching funds may not be used for:

24 (a) an expenditure in violation of any law;

25 (b) an expenditure in excess of the fair market value of services,  
26 materials, facilities or other things of value received in exchange;

27 (c) an expenditure made after the candidate has been finally disquali-  
28 fied from the ballot;

1 (d) an expenditure made after the only remaining opponent of the  
2 candidate has been finally disqualified from the general or special  
3 election ballot;

4 (e) an expenditure made by cash payment;

5 (f) a contribution or loan or transfer made to or expenditure to  
6 support another candidate or political committee or party, committee or  
7 constituted committee;

8 (g) an expenditure to support or oppose a candidate for an office  
9 other than that which the participating candidate seeks;

10 (h) gifts, except brochures, buttons, signs and other printed campaign  
11 material;

12 (i) legal fees to defend against a criminal charge;

13 (j) payments to immediate family members of the participating candi-  
14 date; or

15 (k) any expenditure made to challenge the validity of any petition of  
16 designation or nomination or any certificate of nomination, acceptance,  
17 authorization, declination or substitution.

18 § 14-208. Powers and duties of the board. 1. Advisory opinions. The  
19 board shall render advisory opinions with respect to questions arising  
20 under this title upon the written request of a candidate, an officer of  
21 a political committee or member of the public, or upon its own initi-  
22 ative. The board shall promulgate rules regarding reasonable times to  
23 respond to such requests. The board shall make public the questions of  
24 interpretation for which advisory opinions will be considered by the  
25 board and its advisory opinions, including by publication on its webpage  
26 with identifying information redacted as the board determines to be  
27 appropriate.

1 2. Public information and candidate education. The board shall develop  
2 a program for informing candidates and the public as to the purpose and  
3 effect of the provisions of this title, including by means of a webpage.  
4 The board shall prepare in plain language and make available educational  
5 materials, including compliance manuals and summaries and explanations  
6 of the purposes and provisions of this title. The board shall prepare or  
7 have prepared and make available materials, including, to the extent  
8 feasible, computer software, to facilitate the task of compliance with  
9 the disclosure and record-keeping requirements of this title.

10 3. Rules and regulations. The board shall have the authority to  
11 promulgate such rules and regulations and provide such forms as it deems  
12 necessary for the administration of this title.

13 4. Database. The board shall develop an interactive, searchable  
14 computer database that shall contain all information necessary for the  
15 proper administration of this title including information on contrib-  
16 utions to and expenditures by candidates and their authorized committee,  
17 independent expenditures in support or opposition of candidates for  
18 covered offices, and distributions of moneys from the fund. Such data-  
19 base shall be accessible to the public on the board's webpage.

20 5. The board shall work with the chief enforcement counsel to enforce  
21 this section.

22 § 14-209. Audits and repayments. 1. Audits. The board shall audit and  
23 examine all matters relating to the proper administration of this title  
24 and shall complete such audit no later than two years after the election  
25 in question. Every candidate who receives public funds under this title  
26 shall be audited by the board. The cost of complying with a post-elec-  
27 tion audit shall be borne by the candidate's authorized committee using  
28 public funds, private funds or any combination of such funds. Candi-



1 dates who run in any primary or general election must maintain a reserve  
2 of three percent of the public funds received to comply with the post-e-  
3 lection audit. The board shall issue to each campaign audited a final  
4 audit report that details its findings.

5 2. Repayments. (a) If the board determines that any portion of the  
6 payment made to a candidate's authorized committee from the fund was in  
7 excess of the aggregate amount of payments that such candidate was  
8 eligible to receive pursuant to this title, it shall notify such commit-  
9 tee and such committee shall pay to the board an amount equal to the  
10 amount of excess payments. Provided, however, that if the erroneous  
11 payment was the result of an error by the board, then the erroneous  
12 payment will be deducted from any future payment, if any, and if no  
13 payment is to be made then neither the candidate nor the committee shall  
14 be liable to repay the excess amount to the board. The candidate, the  
15 treasurer and the candidate's authorized committee are jointly and  
16 severally liable for any repayments to the board.

17 (b) If the board determines that any portion of the payment made to a  
18 candidate's authorized committee from the fund was used for purposes  
19 other than qualified campaign expenditures and such expenditures were  
20 not approved by the board, it shall notify such committee of the amount  
21 so disqualified and such committee shall pay to the board an amount  
22 equal to such disqualified amount. The candidate, the treasurer and the  
23 candidate's authorized committee are jointly and severally liable for  
24 any repayments to the board.

25 (c) If the total of payments from the fund received by a participating  
26 candidate and his or her authorized committee exceed the total campaign  
27 expenditures of such candidate and authorized committee for all covered  
28 elections held in the same calendar year or for a special election to

1 fill a vacancy, such candidate and committee shall use such excess funds  
2 to reimburse the fund for payments received by such authorized committee  
3 from the fund during such calendar year or for such special election.  
4 Participating candidates shall pay to the board unspent public campaign  
5 funds from an election not later than twenty-seven days after all  
6 liabilities for the election have been paid and in any event, not later  
7 than the day on which the board issues its final audit report for the  
8 participating candidate's authorized committee; provided, however, that  
9 all unspent public campaign funds for a participating candidate shall be  
10 immediately due and payable to the board upon a determination by the  
11 board that the participant has delayed the post-election audit. A  
12 participating candidate may make post-election expenditures with public  
13 funds only for routine activities involving nominal cost associated with  
14 winding up a campaign and responding to the post-election audit. Noth-  
15 ing in this title shall be construed to prevent a candidate or his or  
16 her authorized committee from using campaign contributions received from  
17 private contributors for otherwise lawful expenditures.

18 3. Rules and regulations. The board shall promulgate regulations for  
19 the certification of the amount of funds payable by the comptroller,  
20 from the fund established pursuant to section ninety-two-t of the state  
21 finance law, to a participating candidate that has qualified to receive  
22 such payment. These regulations shall include the promulgation and  
23 distribution of forms on which contributions and expenditures are to be  
24 reported, the periods during which such reports must be filed and the  
25 verification required. The board shall institute procedures which will  
26 make possible payment by the fund within four business days after  
27 receipt of the required forms and verifications.

1 § 14-210. Enforcement and penalties for violations and other  
2 proceedings. 1. Civil penalties. Violations of any provision of this  
3 title or rule promulgated pursuant to this title shall be subject to a  
4 civil penalty in an amount not in excess of fifteen thousand dollars.

5 2. Notice of violation and opportunity to contest. The board shall:

6 (a) determine whether a violation of any provision of this title or  
7 rule promulgated hereunder has been committed;

8 (b) give written notice and the opportunity to contest before an inde-  
9 pendent hearing officer to each person or entity it has reason to  
10 believe has committed a violation; and

11 (c) if appropriate, assess penalties for violations, following such  
12 notice and opportunity to contest.

13 3. Criminal conduct. Any person who knowingly and willfully furnishes  
14 or submits false statements or information to the board in connection  
15 with its administration of this title, shall be guilty of a misdemeanor  
16 in addition to any other penalty as may be imposed under this chapter or  
17 pursuant to any other law. The chief enforcement counsel shall seek to  
18 recover any public matching funds obtained as a result of such criminal  
19 conduct.

20 4. Proceedings as to public financing. (a) The determination of eligi-  
21 bility pursuant to this title and any question or issue relating to  
22 payments for campaign expenditures pursuant to this title may be  
23 contested in a proceeding instituted in the Supreme court, Albany coun-  
24 ty, by any aggrieved candidate.

25 (b) A proceeding with respect to such a determination of eligibility  
26 or payment for qualified campaign expenditures pursuant to this chapter  
27 shall be instituted within fourteen days after such determination was  
28 made. The board shall be made a party to any such proceeding.

1 (c) Upon the board's failure to receive the amount due from a partic-  
2 ipating candidate or such candidate's authorized committee after the  
3 issuance of written notice of such amount due, as required by this  
4 title, the chief enforcement counsel is authorized to institute a  
5 special proceeding or civil action in Supreme Court, Albany county, to  
6 obtain a judgment for any amounts determined to be payable to the board  
7 as a result of an examination and audit made pursuant to this title or  
8 to obtain such amounts directly from the candidate or authorized commit-  
9 tee after a hearing at the board.

10 (d) The chief enforcement counsel is authorized to institute a special  
11 proceeding or civil action in Supreme Court, Albany county, to obtain a  
12 judgment for civil penalties determined to be payable to the board  
13 pursuant to this title or to impose such penalty directly after a hear-  
14 ing at the board.

15 § 14-211. Reports. The board shall review and evaluate the effect of  
16 this title upon the conduct of election campaigns and shall submit a  
17 report to the legislature on or before January first, two thousand twen-  
18 ty-one, and every third year thereafter, and at any other time upon the  
19 request of the governor and at such other times as the board deems  
20 appropriate. These reports shall include:

21 1. a list of the participating and nonparticipating candidates in  
22 covered elections and the votes received by each candidate in those  
23 elections;

24 2. the amount of contributions and loans received, and expenditures  
25 made, on behalf of these candidates;

26 3. the amount of public matching funds each participating candidate  
27 received, spent, and repaid pursuant to this title;

1 4. analysis of the effect of this title on political campaigns,  
2 including its effect on the sources and amounts of private financing,  
3 the level of campaign expenditures, voter participation, the number of  
4 candidates, the candidates' ability to campaign effectively for public  
5 office, and the diversity of candidates seeking and elected to office;  
6 and

7 5. recommendations for amendments to this title, including changes in  
8 contribution limits, thresholds for eligibility, and any other features  
9 of the system.

10 § 14-212. Debates for candidates for statewide office. The board  
11 shall promulgate regulations to facilitate debates among participating  
12 candidates who seek election to statewide office. Participating candi-  
13 dates are required to participate in one debate before each election for  
14 which the candidate receives public funds, unless the participating  
15 candidate is running unopposed. Nonparticipating candidates may partic-  
16 ipate in such debates.

17 § 14-213. Severability. If any clause, sentence, subdivision, para-  
18 graph, section or part of this title be adjudged by any court of compe-  
19 tent jurisdiction to be invalid, such judgment shall not affect, impair  
20 or invalidate the remainder thereof, but shall be confined in its opera-  
21 tion to the clause, sentence, subdivision, paragraph, section or part  
22 thereof directly involved in the controversy in which such judgment  
23 shall have been rendered.

24 § 9. The state finance law is amended by adding a new section 92-t to  
25 read as follows:

26 § 92-t. New York state campaign finance fund. 1. There is hereby  
27 established in the joint custody of the state comptroller and the

1 commissioner of taxation and finance a fund to be known as the New York  
2 state campaign finance fund.

3 2. Such fund shall consist of all revenues received from the New York  
4 state campaign finance fund check-off pursuant to subsection (h) of  
5 section six hundred fifty-eight of the tax law, from the abandoned prop-  
6 erty fund pursuant to section ninety-five of this article, from the  
7 general fund, and from all other moneys credited or transferred thereto  
8 from any other fund or source pursuant to law. Such fund shall also  
9 receive contributions from private individuals, organizations, or other  
10 persons to fulfill the purposes of the public financing system.

11 3. Moneys of the fund, following appropriation by the legislature, may  
12 be expended for the purposes of making payments to candidates pursuant  
13 to title II of article fourteen of the election law and for administra-  
14 tive expenses related to the implementation of article fourteen of the  
15 election law. Moneys shall be paid out of the fund by the state comp-  
16 troller on vouchers certified or approved by the state board of  
17 elections, or its duly designated representative, in the manner  
18 prescribed by law, not more than five working days after such voucher is  
19 received by the state comptroller.

20 4. Notwithstanding any provision of law to the contrary, if, in any  
21 state fiscal year, the state campaign finance fund lacks the amount of  
22 money to pay all claims vouchered by eligible candidates and certified  
23 or approved by the state board of elections, any such deficiency shall  
24 be paid by the state comptroller, from funds deposited in the general  
25 fund of the state not more than four working days after such voucher is  
26 received by the state comptroller.

27 5. Commencing in two thousand twenty, if the surplus in the fund on  
28 April first of the year after a year in which a governor is elected

1 exceeds twenty-five percent of the disbursements from the fund over the  
2 previous four years, the excess shall revert to the general fund of the  
3 state.

4 6. No public funds shall be paid to any participating candidates in a  
5 primary election any earlier than thirty days after designating  
6 petitions or certificates of nomination have been filed and not later  
7 than thirty days after such primary election.

8 7. No public funds shall be paid to any participating candidates in a  
9 general election any earlier than the day after the day of the primary  
10 election held to nominate candidates for such election.

11 8. No public funds shall be paid to any participating candidates in a  
12 special election any earlier than the day after the last day to file  
13 certificates of party nomination for such special election.

14 9. No public funds shall be paid to any participating candidate who  
15 has been disqualified or whose designating petitions have been declared  
16 invalid by the appropriate board of elections or a court of competent  
17 jurisdiction until and unless such finding is reversed by a higher court  
18 in a final judgment. No payment from the fund in the possession of such  
19 a candidate or such candidate's participating committee on the date of  
20 such disqualification or invalidation may thereafter be expended for any  
21 purpose except the payment of liabilities incurred before such date.  
22 All such moneys shall be repaid to the fund.

23 § 10. Section 95 of the state finance law is amended by adding a new  
24 subdivision 5 to read as follows:

25 5. (a) As often as necessary, the co-chairs of the state board of  
26 elections shall certify the amount such co-chairs have determined neces-  
27 sary to fund estimated payments from the fund established by section

1 ninety-two-t of this article for the primary, general or special  
2 election.

3 (b) Notwithstanding any provision of this section authorizing the  
4 transfer of any moneys in the abandoned property fund to the general  
5 fund, the comptroller, after receiving amounts sufficient to pay claims  
6 against the abandoned property fund, shall, based upon a certification  
7 of the state board of elections pursuant to paragraph (a) of this subdi-  
8 vision, and at the direction of the director of the budget, transfer the  
9 requested amount from remaining available monies in the abandoned prop-  
10 erty fund to the campaign finance fund established by section ninety-  
11 two-t of this article.

12 § 11. Section 658 of the tax law is amended by adding a new subsection  
13 (h) to read as follows:

14 (h) New York state campaign finance fund check-off. (1) For each taxa-  
15 ble year beginning on and after January first, two thousand seventeen,  
16 every resident taxpayer whose New York state income tax liability for  
17 the taxable year for which the return is filed is forty dollars or more  
18 may designate on such return that forty dollars be paid into the New  
19 York state campaign finance fund established by section ninety-two-t of  
20 the state finance law. Where a husband and wife file a joint return and  
21 have a New York state income tax liability for the taxable year for  
22 which the return is filed is eighty dollars or more, or file separate  
23 returns on a single form, each such taxpayer may make separate desig-  
24 nations on such return of forty dollars to be paid into the New York  
25 state campaign finance fund.

26 (2) The commissioner shall transfer to the New York state campaign  
27 finance fund, established pursuant to section ninety-two-t of the state



1 finance law, an amount equal to forty dollars multiplied by the number  
2 of designations.

3 (3) For purposes of this subsection, the income tax liability of an  
4 individual for any taxable year is the amount of tax imposed under this  
5 article reduced by the sum of the credits (as shown in his or her  
6 return) allowable under this article.

7 (4) The department shall include a place on every personal income tax  
8 return form to be filed by an individual for a tax year beginning on or  
9 after January first, two thousand seventeen, for such taxpayer to make  
10 the designations described in paragraph one of this subsection. Such  
11 return form shall contain a concise explanation of the purpose of such  
12 optional designations.

13 § 12. Severability. If any clause, sentence, subdivision, paragraph,  
14 section or part of title II of article 14 of the election law, as added  
15 by section three of this act be adjudged by any court of competent  
16 jurisdiction to be invalid, such judgment shall not affect, impair or  
17 invalidate the remainder thereof, but shall be confined in its operation  
18 to the clause, sentence, subdivision, paragraph, section or part thereof  
19 directly involved in the controversy in which such judgment shall have  
20 been rendered.

21 § 13. This act shall take effect immediately; provided, however, all  
22 affected candidates will be eligible to participate in voluntary public  
23 financing beginning with the 2019 primary election.

1 Section 1. Subdivisions 2 and 3 of section 86 of the public officers  
2 law, as added by chapter 933 of the laws of 1977, are amended and a new  
3 subdivision 6 is added to read as follows:

4 2. "State legislature" means the [legislature of the state of New  
5 York, including] New York state senate, New York state assembly, any  
6 committee, subcommittee, joint committee, select committee, or commis-  
7 sion thereof, and any members, officers, representatives and employees  
8 thereof.

9 3. "Agency" means any state or municipal department, board, bureau,  
10 division, commission, committee, public authority, public corporation,  
11 council, office, or other governmental entity performing a governmental  
12 or proprietary function for the state or any one or more municipalities  
13 thereof, except the judiciary [or the state legislature].

14 6. "Respective house of the state legislature" means the New York  
15 state senate, New York state assembly, and any corresponding committee,  
16 subcommittee, joint committee, select committee, or commission thereof,  
17 and any members, officers, representatives and employees thereof.

18 § 2. Section 87 of the public officers law, as added by chapter 933 of  
19 the laws of 1977, paragraph (a) and the opening paragraph of paragraph  
20 (b) of subdivision 1 as amended by chapter 80 of the laws of 1983,  
21 subparagraph iii of paragraph (b) of subdivision 1 as amended and para-  
22 graph (c) of subdivision 1 and subdivision 5 as added by chapter 223 of  
23 the laws of 2008, paragraph (d) of subdivision 2 as amended by chapter  
24 289 of the laws of 1990, paragraph (f) of subdivision 2 as amended by  
25 chapter 403 of the laws of 2003, paragraph (g) of subdivision 2 as  
26 amended by chapter 510 of the laws of 1999, paragraph (i) of subdivision  
27 2 as amended by chapter 154 of the laws of 2010, paragraph (j) of subdi-  
28 vision 2 as added by chapter 746 of the laws of 1988, paragraph (k) of

1 subdivision 2 as separately added by chapters 19, 20, 21, 22, 23 and 383  
2 of the laws of 2009, paragraph (1) of subdivision 2 as added by section  
3 12 of part II of chapter 59 of the laws of 2010, paragraph (m) of subdivi-  
4 vision 2 as added by chapter 189 of the laws of 2013, paragraph (n) of  
5 subdivision 2 as added by chapter 43 of the laws of 2014, paragraph (n)  
6 of subdivision 2 as separately added by chapters 99, 101, and 123 of the  
7 laws of 2014, paragraph (o) of subdivision 2 as added by chapter 222 of  
8 the laws of 2015, paragraph (c) of subdivision 3 as amended by chapter  
9 499 of the laws of 2008, subdivision 4 as added by chapter 890 of the  
10 laws of 1981, and paragraph (c) of subdivision 4 as added by chapter 102  
11 of the laws of 2007, is amended to read as follows:

12 § 87. Access to agency or state legislature records. 1. (a) Within  
13 sixty days after the effective date of this article, the governing body  
14 of each public corporation shall promulgate uniform rules and regu-  
15 lations for all agencies in such public corporation pursuant to such  
16 general rules and regulations as may be promulgated by the committee on  
17 open government in conformity with the provisions of this article,  
18 pertaining to the administration of this article.

19 (b) Each agency and each house of the state legislature shall promul-  
20 gate rules and regulations, in conformity with this article and applica-  
21 ble rules and regulations promulgated pursuant to the provisions of  
22 paragraph (a) of this subdivision, and pursuant to such general rules  
23 and regulations as may be promulgated by the committee on open govern-  
24 ment in conformity with the provisions of this article, pertaining to  
25 the availability of records and procedures to be followed, including,  
26 but not limited to:

- 27 i. the times and places such records are available;
- 28 ii. the persons from whom such records may be obtained[,]; and

1     iii. the fees for copies of records which shall not exceed twenty-five  
2 cents per photocopy not in excess of nine inches by fourteen inches, or  
3 the actual cost of reproducing any other record in accordance with the  
4 provisions of paragraph (c) of this subdivision, except when a different  
5 fee is otherwise prescribed by statute.

6     (c) In determining the actual cost of reproducing a record, an agency  
7 and the state legislature may include only:

8     i. an amount equal to the hourly salary attributed to the lowest paid  
9 employee of an agency or [employee] respective house of the state legis-  
10 lature who has the necessary skill required to prepare a copy of the  
11 requested record;

12     ii. the actual cost of the storage devices or media provided to the  
13 person making the request in complying with such request;

14     iii. the actual cost to the agency or to the respective house of the  
15 state legislature of engaging an outside professional service to prepare  
16 a copy of a record, but only when an agency's or respective house of the  
17 state legislature's information technology equipment is inadequate to  
18 prepare a copy, if such service is used to prepare the copy; and

19     iv. preparing a copy shall not include search time or administrative  
20 costs, and no fee shall be charged unless at least two hours of agency  
21 or respective house of the state legislature employee time is needed to  
22 prepare a copy of the record requested. A person requesting a record  
23 shall be informed of the estimated cost of preparing a copy of the  
24 record if more than two hours of an agency or respective house of the  
25 state legislature employee's time is needed, or if an outside profes-  
26 sional service would be retained to prepare a copy of the record.

27     2. Each agency and the respective house of the state legislature  
28 shall, in accordance with its published rules, make available for public

1 inspection and copying all records, except that such agency and the  
2 respective house of the state legislature may deny access to records or  
3 portions thereof that:

4 (a) are specifically exempted from disclosure by state or federal  
5 statute;

6 (b) if disclosed would constitute an unwarranted invasion of personal  
7 privacy under the provisions of subdivision two of section eighty-nine  
8 of this article;

9 (c) if disclosed would impair present or imminent contract awards or  
10 collective bargaining negotiations provided, however, that the proposed  
11 terms of an agreement between a public employer and an employee organ-  
12 ization, as those terms are defined in article fourteen of the civil  
13 service law, that require ratification by members of the employee organ-  
14 ization or by the public employer, where applicable, or approval of such  
15 provisions by the appropriate legislative body as required by section  
16 two hundred four-a of the civil service law, shall be made available to  
17 the public no later than when such proposed terms are sent to members of  
18 the employee organization for ratification, when such terms are  
19 presented to the employer for ratification, where applicable, or when  
20 the provisions of such agreement requiring approval by the appropriate  
21 legislative body pursuant to section two hundred four-a of the civil  
22 service law are submitted to such body, whichever date is earliest.  
23 Additionally, a copy of the proposed terms of such agreement shall be  
24 placed on the website of the applicable public employer, if such  
25 websites exist, and within the local public libraries and offices of  
26 such public employer, or in the case of collective bargaining agreements  
27 negotiated by the state, on the website of the office of employee  
28 relations on such date;

1 (d) are trade secrets or are submitted to an agency or to the respec-  
2 tive house of the state legislature by a commercial enterprise or  
3 derived from information obtained from a commercial enterprise and which  
4 if disclosed would cause substantial injury to the competitive position  
5 of the subject enterprise;

6 (e) are compiled for law enforcement purposes and which, if disclosed,  
7 would:

8 i. interfere with law enforcement investigations or judicial  
9 proceedings;

10 ii. deprive a person of a right to a fair trial or impartial adjudi-  
11 cation;

12 iii. identify a confidential source or disclose confidential informa-  
13 tion relating to a criminal investigation; or

14 iv. reveal criminal investigative techniques or procedures, except  
15 routine techniques and procedures;

16 (f) if disclosed could endanger critical infrastructure or the life or  
17 safety of any person;

18 (g) are inter-agency or intra-agency materials which are not:

19 i. statistical or factual tabulations or data;

20 ii. instructions to staff that affect the public;

21 iii. final agency policy or determinations;

22 iv. external audits, including but not limited to audits performed by  
23 the comptroller and the federal government; [or]

24 (g-1) are materials exchanged within the state legislature which are  
25 not:

26 i. statistical or factual tabulations or data;

27 ii. instructions to staff that affect the public;

1 iii. final policy or determinations of the respective house of the  
2 state legislature;

3 iv. external audits, including but not limited to audits performed by  
4 the comptroller and the federal government; or

5 (h) are examination questions or answers which are requested prior to  
6 the final administration of such questions.

7 (i) if disclosed, would jeopardize the capacity of an agency, the  
8 state legislature, or an entity that has shared information with an  
9 agency or the state legislature to guarantee the security of its infor-  
10 mation technology assets, such assets encompassing both electronic  
11 information systems and infrastructures; or

12 (j) are photographs, microphotographs, videotape or other recorded  
13 images prepared under authority of section eleven hundred eleven-a of  
14 the vehicle and traffic law.

15 (k) are photographs, microphotographs, videotape or other recorded  
16 images prepared under authority of section eleven hundred eleven-b of  
17 the vehicle and traffic law.

18 (l) are photographs, microphotographs, videotape or other recorded  
19 images produced by a bus lane photo device prepared under authority of  
20 section eleven hundred eleven-c of the vehicle and traffic law.

21 (m) are photographs, microphotographs, videotape or other recorded  
22 images prepared under the authority of section eleven hundred eighty-b  
23 of the vehicle and traffic law.

24 (n) are photographs, microphotographs, videotape or other recorded  
25 images prepared under the authority of section eleven hundred eighty-c  
26 of the vehicle and traffic law.

1 (n) are photographs, microphotographs, videotape or other recorded  
2 images prepared under authority of section eleven hundred eleven-d of  
3 the vehicle and traffic law.

4 (o) are photographs, microphotographs, videotape or other recorded  
5 images prepared under authority of section eleven hundred eleven-e of  
6 the vehicle and traffic law.

7 3. Each agency and the respective house of the state legislature shall  
8 maintain:

9 (a) a record of the final vote of each member in every agency or state  
10 legislature proceeding in which the member votes;

11 (b) a record of votes of each member in every session and every  
12 committee and subcommittee meeting in which the member of the senate or  
13 assembly votes;

14 [(b)] (c) a record setting forth the name, public office address,  
15 title and salary of every officer or employee of the agency or the state  
16 legislature; and

17 [(c)] (d) a reasonably detailed current list by subject matter of all  
18 records in the possession of the agency or state legislature, whether or  
19 not available under this article. Each agency and each respective house  
20 of the state legislature shall update its subject matter list annually,  
21 and the date of the most recent update shall be conspicuously indicated  
22 on the list. [Each] The state legislature and each state agency as  
23 defined in subdivision four of this section that maintains a website  
24 shall post its current list on its website and such posting shall be  
25 linked to the website of the committee on open government. Any such  
26 agency or part of the state legislature that does not maintain a website  
27 shall arrange to have its list posted on the website of the committee on  
28 open government.



1 4. (a) Each state agency or respective house of the state legislature  
2 which maintains records containing trade secrets, to which access may be  
3 denied pursuant to paragraph (d) of subdivision two of this section,  
4 shall promulgate regulations in conformity with the provisions of subdivi-  
5 sion five of section eighty-nine of this article pertaining to such  
6 records, including, but not limited to the following:

7 (1) the manner of identifying the records or parts;

8 (2) the manner of identifying persons within the agency or respective  
9 house of the state legislature to whose custody the records or parts  
10 will be charged and for whose inspection and study the records will be  
11 made available;

12 (3) the manner of safeguarding against any unauthorized access to the  
13 records.

14 (b) As used in this subdivision the term "agency" or "state agency"  
15 means only a state department, board, bureau, division, council [or],  
16 office and any public corporation the majority of whose members are  
17 appointed by the governor.

18 (c) As used in this subdivision the term "state legislature" means the  
19 legislature as defined in subdivision two of section eighty-six of this  
20 article.

21 (d) Each state agency and respective house of the state legislature  
22 that maintains a website shall post information related to this article  
23 and article six-A of this chapter on its website. Such information shall  
24 include, at a minimum, contact information for the persons from whom  
25 records of the agency or respective house of the state legislature may  
26 be obtained, the times and places such records are available for  
27 inspection and copying, and information on how to request records in  
28 person, by mail, and, if the agency or respective house of the state

1 legislature accepts requests for records electronically, by e-mail. This  
2 posting shall be linked to the website of the committee on open govern-  
3 ment.

4 5. (a) An agency and the respective house of the state legislature  
5 shall provide records on the medium requested by a person, if the agency  
6 or the respective house of the state legislature can reasonably make  
7 such copy or have such copy made by engaging an outside professional  
8 service. Records provided in a computer format shall not be encrypted.

9 (b) No agency nor the state legislature shall enter into or renew a  
10 contract for the creation or maintenance of records if such contract  
11 impairs the right of the public to inspect or copy the agency's or the  
12 state legislature's records.

13 6. (a) Each agency and house of the state legislature shall publish,  
14 on its internet website, to the extent practicable, records or portions  
15 of records that are available to the public pursuant to the provisions  
16 of this article, or which, in consideration of their nature, content or  
17 subject matter, are determined by the agency or house of the state  
18 legislature to be of substantial interest to the public. Any such  
19 records may be removed from the internet website when the agency or  
20 house of the state legislature determines that they are no longer of  
21 substantial interest to the public. Any such records may be removed from  
22 the internet website when they have reached the end of their legal  
23 retention period. Guidance on creating records in accessible formats and  
24 ensuring their continuing accessibility shall be available from the  
25 office for technology and the state archives.

26 (b) The provisions of paragraph (a) of this subdivision shall not  
27 apply to records or portions of records the disclosure of which would

1 constitute an unwarranted invasion of personal privacy in accordance  
2 with subdivision two of section eighty-nine of this article.

3 (c) The committee on open government shall promulgate guidelines to  
4 effectuate this subdivision.

5 (d) Nothing in this subdivision shall be construed as to limit or  
6 abridge the power of an agency or house of the state legislature to  
7 publish records on its internet website that are subject to the  
8 provisions of this article prior to a written request or prior to a  
9 frequent request.

10 § 3. Section 88 of the public officers law is REPEALED.

11 § 4. Section 89 of the public officers law, as added by chapter 933 of  
12 the laws of 1977, paragraph (a) of subdivision 1 as amended by chapter  
13 33 of the laws of 1984, paragraph (b) of subdivision 1 as amended by  
14 chapter 182 of the laws of 2006, subdivision 2 as amended by section 11  
15 of part U of chapter 61 of the laws of 2011, subdivision 2-a as added by  
16 chapter 652 of the laws of 1983, subdivision 3 as amended by chapter 223  
17 of the laws of 2008, subdivision 4 as amended by chapter 22 of the laws  
18 of 2005, paragraph (c) of subdivision 4 as amended by chapter 492 of the  
19 laws of 2006, paragraph (d) of subdivision 4 as added by chapter 487 of  
20 the laws of 2016, subdivision 5 as added and subdivision 6 as renumbered  
21 by chapter 890 of the laws of 1981, paragraph (a) of subdivision 5 as  
22 amended by chapter 403 of the laws of 2003, paragraph (d) of subdivision  
23 5 as amended by chapter 339 of the laws of 2004, subdivision 7 as added  
24 by chapter 783 of the laws of 1983, subdivision 8 as added by chapter  
25 705 of the laws of 1989, and subdivision 9 as added by chapter 351 of  
26 the laws of 2008, is amended to read as follows:

1 § 89. General provisions relating to access to records; certain cases.  
2 The provisions of this section apply to access to all records, except as  
3 hereinafter specified:

4 1. (a) The committee on open government is continued and shall consist  
5 of the lieutenant governor or the delegate of such officer, the secre-  
6 tary of state or the delegate of such officer, whose office shall act as  
7 secretariat for the committee, the commissioner of the office of general  
8 services or the delegate of such officer, the director of the budget or  
9 the delegate of such officer, and seven other persons, none of whom  
10 shall hold any other state or local public office except the represen-  
11 tative of local governments as set forth herein, to be appointed as  
12 follows: five by the governor, at least two of whom are or have been  
13 representatives of the news media, one of whom shall be a representative  
14 of local government who, at the time of appointment, is serving as a  
15 duly elected officer of a local government, one by the temporary presi-  
16 dent of the senate, and one by the speaker of the assembly. The persons  
17 appointed by the temporary president of the senate and the speaker of  
18 the assembly shall be appointed to serve, respectively, until the expi-  
19 ration of the terms of office of the temporary president and the speaker  
20 to which the temporary president and speaker were elected. The four  
21 persons presently serving by appointment of the governor for fixed terms  
22 shall continue to serve until the expiration of their respective terms.  
23 Thereafter, their respective successors shall be appointed for terms of  
24 four years. The member representing local government shall be appointed  
25 for a term of four years, so long as such member shall remain a duly  
26 elected officer of a local government. The committee shall hold no less  
27 than two meetings annually, but may meet at any time. The members of the

1 committee shall be entitled to reimbursement for actual expenses  
2 incurred in the discharge of their duties.

3 (b) The committee shall:

4 i. furnish to any agency and to each house of the state legislature  
5 advisory guidelines, opinions or other appropriate information regarding  
6 this article;

7 ii. furnish to any person advisory opinions or other appropriate  
8 information regarding this article;

9 iii. promulgate rules and regulations with respect to the implementa-  
10 tion of subdivision one and paragraph (c) of subdivision three of  
11 section eighty-seven of this article;

12 iv. request from any agency and from either house of the state legis-  
13 lature such assistance, services and information as will enable the  
14 committee to effectively carry out its powers and duties;

15 v. develop a form, which shall be made available on the internet, that  
16 may be used by the public to request a record; and

17 vi. report on its activities and findings regarding this article and  
18 article seven of this chapter, including recommendations for changes in  
19 the law, to the governor and the legislature annually, on or before  
20 December fifteenth.

21 2. (a) The committee on public access to records may promulgate guide-  
22 lines regarding deletion of identifying details or withholding of  
23 records otherwise available under this article to prevent unwarranted  
24 invasions of personal privacy. In the absence of such guidelines, an  
25 agency and the respective house of state legislature may delete identi-  
26 fying details when it makes records available.

27 (b) An unwarranted invasion of personal privacy includes, but shall  
28 not be limited to:

- 1 i. disclosure of employment, medical or credit histories or personal  
2 references of applicants for employment;
- 3 ii. disclosure of items involving the medical or personal records of a  
4 client or patient in a medical facility;
- 5 iii. sale or release of lists of names and addresses if such lists  
6 would be used for solicitation or fund-raising purposes;
- 7 iv. disclosure of information of a personal nature when disclosure  
8 would result in economic or personal hardship to the subject party and  
9 such information is not relevant to the work of the agency or respective  
10 house of the state legislature requesting or maintaining it;
- 11 v. disclosure of information of a personal nature reported in confi-  
12 dence to an agency or to the state legislature and not relevant to the  
13 ordinary work of such agency or the state legislature;
- 14 vi. information of a personal nature contained in a workers' compen-  
15 sation record, except as provided by section one hundred ten-a of the  
16 workers' compensation law; [or]
- 17 vii. disclosure of electronic contact information, such as an e-mail  
18 address or a social network username, that has been collected from a  
19 taxpayer under section one hundred four of the real property tax law[.];  
20 or
- 21 viii. disclosure of communications of a personal nature between legis-  
22 lators and their constituents.
- 23 (c) Unless otherwise provided by this article, disclosure shall not be  
24 construed to constitute an unwarranted invasion of personal privacy  
25 pursuant to paragraphs (a) and (b) of this subdivision:
- 26 i. when identifying details are deleted;
- 27 ii. when the person to whom a record pertains consents in writing to  
28 disclosure;

1     iii. when upon presenting reasonable proof of identity, a person seeks  
2 access to records pertaining to him or her; or

3     iv. when a record or group of records relates to the right, title or  
4 interest in real property, or relates to the inventory, status or char-  
5 acteristics of real property, in which case disclosure and providing  
6 copies of such record or group of records shall not be deemed an unwar-  
7 ranted invasion of personal privacy, provided that nothing herein shall  
8 be construed to authorize the disclosure of electronic contact informa-  
9 tion, such as an e-mail address or a social network username, that has  
10 been collected from a taxpayer under section one hundred four of the  
11 real property tax law.

12     2-a. Nothing in this article shall permit disclosure which constitutes  
13 an unwarranted invasion of personal privacy as defined in subdivision  
14 two of this section if such disclosure is prohibited under section nine-  
15 ty-six of this chapter.

16     3. (a) Each entity subject to the provisions of this article, within  
17 five business days of the receipt of a written request for a record  
18 reasonably described, shall make such record available to the person  
19 requesting it, deny such request in writing or furnish a written  
20 acknowledgement of the receipt of such request and a statement of the  
21 approximate date, which shall be reasonable under the circumstances of  
22 the request, when such request will be granted or denied, including,  
23 where appropriate, a statement that access to the record will be deter-  
24 mined in accordance with subdivision five of this section. [An] Neither  
25 an agency nor the state legislature shall [not] deny a request on the  
26 basis that the request is voluminous or that locating or reviewing the  
27 requested records or providing the requested copies is burdensome  
28 because the agency or respective house of the state legislature lacks

1 sufficient staffing or on any other basis if the agency or respective  
2 house of the state legislature may engage an outside professional  
3 service to provide copying, programming or other services required to  
4 provide the copy, the costs of which the agency may recover pursuant to  
5 paragraph (c) of subdivision one of section eighty-seven of this arti-  
6 cle. An agency or respective house of the state legislature may require  
7 a person requesting lists of names and addresses to provide a written  
8 certification that such person will not use such lists of names and  
9 addresses for solicitation or fund-raising purposes and will not sell,  
10 give or otherwise make available such lists of names and addresses to  
11 any other person for the purpose of allowing that person to use such  
12 lists of names and addresses for solicitation or fund-raising purposes.  
13 If an agency or respective house of the state legislature determines to  
14 grant a request in whole or in part, and if circumstances prevent  
15 disclosure to the person requesting the record or records within twenty  
16 business days from the date of the acknowledgement of the receipt of the  
17 request, the agency or respective house of the state legislature shall  
18 state, in writing, both the reason for the inability to grant the  
19 request within twenty business days and a date certain within a reason-  
20 able period, depending on the circumstances, when the request will be  
21 granted in whole or in part. Upon payment of, or offer to pay, the fee  
22 prescribed therefor, the entity shall provide a copy of such record and  
23 certify to the correctness of such copy if so requested, or as the case  
24 may be, shall certify that it does not have possession of such record or  
25 that such record cannot be found after diligent search. Nothing in this  
26 article shall be construed to require any entity to prepare any record  
27 not possessed or maintained by such entity except the records specified  
28 in subdivision three of section eighty-seven [and subdivision three of



1 section eighty-eight] of this article. When an agency or the respective  
2 house of the state legislature has the ability to retrieve or extract a  
3 record or data maintained in a computer storage system with reasonable  
4 effort, it shall be required to do so. When doing so requires less  
5 employee time than engaging in manual retrieval or redactions from non-  
6 electronic records, the agency and respective house of the state legis-  
7 lature shall be required to retrieve or extract such record or data  
8 electronically. Any programming necessary to retrieve a record main-  
9 tained in a computer storage system and to transfer that record to the  
10 medium requested by a person or to allow the transferred record to be  
11 read or printed shall not be deemed to be the preparation or creation of  
12 a new record.

13 (b) All entities shall, provided such entity has reasonable means  
14 available, accept requests for records submitted in the form of elec-  
15 tronic mail and shall respond to such requests by electronic mail, using  
16 forms, to the extent practicable, consistent with the form or forms  
17 developed by the committee on open government pursuant to subdivision  
18 one of this section and provided that the written requests do not seek a  
19 response in some other form.

20 4. (a) Except as provided in subdivision five of this section, any  
21 person denied access to a record may within thirty days appeal in writ-  
22 ing such denial to the head, chief executive or governing body of the  
23 entity, or the person therefor designated by such head, chief executive,  
24 or governing body, who shall within ten business days of the receipt of  
25 such appeal fully explain in writing to the person requesting the record  
26 the reasons for further denial, or provide access to the record sought.  
27 In addition, each agency or the respective house of the state legisla-  
28 ture shall immediately forward to the committee on open government a

1 copy of such appeal when received by the agency or such house and the  
2 ensuing determination thereon. Failure by an agency or respective house  
3 of the state legislature to conform to the provisions of subdivision  
4 three of this section shall constitute a denial.

5 (b) Except as provided in subdivision five of this section, a person  
6 denied access to a record in an appeal determination under the  
7 provisions of paragraph (a) of this subdivision may bring a proceeding  
8 for review of such denial pursuant to article seventy-eight of the civil  
9 practice law and rules. In the event that access to any record is denied  
10 pursuant to the provisions of subdivision two of section eighty-seven of  
11 this article, the agency or respective house of the state legislature  
12 involved shall have the burden of proving that such record falls within  
13 the provisions of such subdivision two. Failure by an agency or respec-  
14 tive house of the state legislature to conform to the provisions of  
15 paragraph (a) of this subdivision shall constitute a denial.

16 (c) (i) The court in such a proceeding may assess, against such agency  
17 or the respective house of the state legislature involved, reasonable  
18 [attorney's] attorneys' fees and other litigation costs reasonably  
19 incurred by such person, in any case under the provisions of this  
20 section in which such person has substantially prevailed[, when:

21 i. the agency had no reasonable basis for denying access; or  
22 ii.] and the agency failed to respond to a request or appeal within  
23 the statutory time.

24 (ii) The court in such proceeding shall assess, against such agency or  
25 the respective house of the state legislature involved, reasonable  
26 attorneys' fees and other litigation costs reasonably incurred by such  
27 person, in any case under the provisions of this section in which such  
28 person has substantially prevailed and the court finds that the agency

1 denied access in clear disregard of the exceptions to rights of access  
2 in section eighty-seven of this article and had no reasonable basis for  
3 denying access.

4 (d) (i) Appeal to the appellate division of the supreme court must be  
5 made in accordance with subdivision (a) of section fifty-five hundred  
6 thirteen of the civil practice law and rules.

7 (ii) An appeal from an agency or respective house of the state legis-  
8 lature taken from an order of the court requiring disclosure of any of  
9 all records sought:

10 (A) shall be given preference;

11 (B) shall be brought on for argument on such terms and conditions as  
12 the presiding justice may direct, upon application of any party to the  
13 proceedings; and

14 (C) shall be deemed abandoned if the agency or respective house of the  
15 state legislature fails to serve and file a record and brief within  
16 sixty days after the date of service upon the petitioner of the notice  
17 of appeal, unless consent to further extension is given by all parties,  
18 or unless further extension is granted by the court upon such terms as  
19 may be just and upon good cause shown.

20 5. (a) (1) A person acting pursuant to law or regulation who, subse-  
21 quent to the effective date of this subdivision, submits any information  
22 to any state agency or to the respective house of the state legislature  
23 may, at the time of submission, request that the agency or such house  
24 provisionally except such information from disclosure under paragraph  
25 (d) of subdivision two of section eighty-seven of this article. Where  
26 the request itself contains information which if disclosed would defeat  
27 the purpose for which the exception is sought, such information shall  
28 also be provisionally excepted from disclosure.

1 (1-a) A person or entity who submits or otherwise makes available any  
2 records to any agency or a house of the state legislature, may, at any  
3 time, identify those records or portions thereof that may contain crit-  
4 ical infrastructure information, and request that the agency or house of  
5 the state legislature that maintains such records except such informa-  
6 tion from disclosure under subdivision two of section eighty-seven of  
7 this article. Where the request itself contains information which if  
8 disclosed would defeat the purpose for which the exception is sought,  
9 such information shall also be provisionally excepted from disclosure.

10 (2) The request for an exception shall be in writing, shall specif-  
11 ically identify which portions of the record are the subject of the  
12 request for exception and shall state the reasons why the information  
13 should be provisionally excepted from disclosure. Any such request for  
14 an exception shall be effective for a five-year period from the agency's  
15 or respective house of the state legislature's receipt thereof.  
16 Provided, however, that not less than sixty days prior to the expiration  
17 of the then current term of the exception request, the submitter may  
18 apply to the agency or respective house of the state legislature for a  
19 two-year extension of its exception request. Upon timely receipt of a  
20 request for an extension of an exception request, an agency or respec-  
21 tive house of the state legislature may either (A) perform a cursory  
22 review of the application and grant the extension should it find any  
23 justification for such determination, or (B) commence the procedure set  
24 forth in paragraph (b) of this subdivision to make a final determination  
25 granting or terminating such exception.

26 (3) Information submitted as provided in subparagraphs one and one-a  
27 of this paragraph shall be provisionally excepted from disclosure and be  
28 maintained apart by the agency and the respective house of the state

1 legislature from all other records until the expiration of the submit-  
2 ter's exception request or fifteen days after the entitlement to such  
3 exception has been finally determined or such further time as ordered by  
4 a court of competent jurisdiction.

5 (b) [On the] During the effective period of an exception request under  
6 this subdivision, on the initiative of the agency or either house of the  
7 state legislature at any time, or upon the request of any person for a  
8 record excepted from disclosure pursuant to this subdivision, the agency  
9 or respective house of the state legislature shall:

10 (1) inform the person who requested the exception of the agency's or  
11 such house's intention to determine whether such exception should be  
12 granted or continued;

13 (2) permit the person who requested the exception, within ten business  
14 days of receipt of notification from the agency or respective house of  
15 the state legislature, to submit a written statement of the necessity  
16 for the granting or continuation of such exception;

17 (3) within seven business days of receipt of such written statement,  
18 or within seven business days of the expiration of the period prescribed  
19 for submission of such statement, issue a written determination grant-  
20 ing, continuing or terminating such exception and stating the reasons  
21 therefor; copies of such determination shall be served upon the person,  
22 if any, requesting the record, the person who requested the exception,  
23 and the committee on [public access to records] open government.

24 (c) A denial of an exception from disclosure under paragraph (b) of  
25 this subdivision may be appealed by the person submitting the informa-  
26 tion and a denial of access to the record may be appealed by the person  
27 requesting the record in accordance with this subdivision:

1 (1) Within seven business days of receipt of written notice denying  
2 the request, the person may file a written appeal from the determination  
3 of the agency or the respective house of the state legislature with the  
4 head of the agency or respective house of the state legislature, the  
5 chief executive officer or governing body or their designated represen-  
6 tatives.

7 (2) The appeal shall be determined within ten business days of the  
8 receipt of the appeal. Written notice of the determination shall be  
9 served upon the person, if any, requesting the record, the person who  
10 requested the exception and the committee on [public access to records]  
11 open government. The notice shall contain a statement of the reasons for  
12 the determination.

13 (d) A proceeding to review an adverse determination pursuant to para-  
14 graph (c) of this subdivision may be commenced pursuant to article  
15 seventy-eight of the civil practice law and rules. Such proceeding, when  
16 brought by a person seeking an exception from disclosure pursuant to  
17 this subdivision, must be commenced within fifteen days of the service  
18 of the written notice containing the adverse determination provided for  
19 in subparagraph two of paragraph (c) of this subdivision. The proceeding  
20 shall be given preference and shall be brought on for argument on such  
21 terms and conditions as the presiding justice may direct, not to exceed  
22 forty-five days. Appeal to the appellate division of the supreme court  
23 must be made in accordance with law, and must be filed within fifteen  
24 days after service by a party upon the appellant of a copy of the judg-  
25 ment or order appealed from and written notice of its entry. An appeal  
26 taken from an order of the court requiring disclosure shall be given  
27 preference and shall be brought on for argument on such terms and condi-  
28 tions as the presiding justice may direct, not to exceed sixty days.

1 This action shall be deemed abandoned when the party requesting an  
2 exclusion from disclosure fails to serve and file a record and brief  
3 within thirty days after the date of the notice of appeal. Failure by  
4 the party requesting an exclusion from disclosure to serve and file a  
5 record and brief within the allotted time shall result in the dismissal  
6 of the appeal.

7 (e) The person requesting an exception from disclosure pursuant to  
8 this subdivision shall in all proceedings have the burden of proving  
9 entitlement to the exception.

10 (f) Where the agency or the respective house of the state legislature  
11 denies access to a record pursuant to paragraph [(d) of] (b) of this  
12 subdivision in conjunction with subdivision two of section eighty-seven  
13 of this article, the agency or respective house of the state legislature  
14 shall have the burden of proving that the record falls within the  
15 provisions of such exception.

16 (g) Nothing in this subdivision shall be construed to deny any person  
17 access, pursuant to the remaining provisions of this article, to any  
18 record or part excepted from disclosure upon the express written consent  
19 of the person who had requested the exception.

20 (h) As used in this subdivision the term "agency" or "state agency"  
21 means only a state department, board, bureau, division, council or  
22 office and any public corporation the majority of whose members are  
23 appointed by the governor.

24 (i) As used in this subdivision the term "state legislature" means the  
25 legislature as defined in subdivision two of section eighty-six of this  
26 article.

1 6. Nothing in this article shall be construed to limit or abridge any  
2 otherwise available right of access at law or in equity of any party to  
3 records.

4 7. Nothing in this article shall require the disclosure of the home  
5 address of an officer or employee, former officer or employee, or of a  
6 retiree of a public employees' retirement system; nor shall anything in  
7 this article require the disclosure of the name or home address of a  
8 beneficiary of a public employees' retirement system or of an applicant  
9 for appointment to public employment; provided however, that nothing in  
10 this subdivision shall limit or abridge the right of an employee organ-  
11 ization, certified or recognized for any collective negotiating unit of  
12 an employer pursuant to article fourteen of the civil service law, to  
13 obtain the name or home address of any officer, employee or retiree of  
14 such employer, if such name or home address is otherwise available under  
15 this article.

16 8. Any person who, with intent to prevent the public inspection of a  
17 record pursuant to this article, willfully conceals or destroys any such  
18 record shall be guilty of a violation.

19 9. When records maintained electronically include items of information  
20 that would be available under this article, as well as items of informa-  
21 tion that may be withheld, an agency or respective house of the state  
22 legislature in designing its information retrieval methods, whenever  
23 practicable and reasonable, shall do so in a manner that permits the  
24 segregation and retrieval of available items in order to provide maximum  
25 public access.

26 § 5. Subdivisions (t) and (u) of section 105 of the civil practice law  
27 and rules, subdivision (u) as relettered by chapter 100 of the laws of



1 1994, are relettered subdivisions (u) and (v) and a new subdivision (t)  
2 is added to read as follows:

3 (t) "State legislature" means the New York state senate, New York  
4 state assembly, any committee, subcommittee, joint committee, select  
5 committee, or commission thereof, and any members, officers, represen-  
6 tatives and employees thereof.

7 § 6. Subdivision (a) of section 7802 of the civil practice law and  
8 rules is amended to read as follows:

9 (a) Definition of "body or officer". The expression "body or officer"  
10 includes every court, tribunal, board, corporation, officer, state  
11 legislature, or other person, or aggregation of persons, whose action  
12 may be affected by a proceeding under this article.

13 § 7. Subdivision 3 of section 713 of the executive law, as amended by  
14 section 16 of part B of chapter 56 of the laws of 2010, is amended to  
15 read as follows:

16 3. Any reports prepared pursuant to this article shall not be subject  
17 to disclosure pursuant to [section eighty-eight] article six of the  
18 public officers law.

19 § 8. Section 70-0113 of the environmental conservation law is  
20 REPEALED.

21 § 9. Subdivision 4 of section 308 of the county law is REPEALED.

22 § 10. This act shall take effect immediately; provided however that  
23 the amendments to paragraphs (j), (k), (l), (m), (n), and (o) of subdivi-  
24 sion 2 of section 87 of the public officers law made by section two of  
25 this act shall not affect the repeal of such paragraphs and shall be  
26 deemed repealed therewith; provided, further, however that the amend-  
27 ments to paragraph (d) of subdivision 4 of section 89 of the public  
28 officers law made by section four of this act shall take effect on the

1 same date and in the same manner as chapter 487 of the laws of 2016,  
2 takes effect.

3 PART F

4 Section 1. Section 51 of the executive law, as added by chapter 766 of  
5 the laws of 2005, is amended to read as follows:

6 § 51. Jurisdiction. This article shall, subject to the limitations  
7 contained herein, confer upon the office of the state inspector general,  
8 jurisdiction over all covered agencies. For the purposes of this article  
9 "covered agency" shall include:

10 1. all executive branch agencies, departments, divisions, officers,  
11 boards and commissions, public authorities (other than multi-state or  
12 multi-national authorities), and public benefit corporations, the heads  
13 of which are appointed by the governor and which do not have their own  
14 inspector general by statute. Wherever a covered agency is a board,  
15 commission, a public authority or public benefit corporation, the head  
16 of the agency is the chairperson thereof; or

17 2. an organization or foundation formed under the not-for-profit  
18 corporation law or any other entity formed for the benefit of or  
19 controlled by the state university of New York or the city university of  
20 New York or their respective universities, colleges, community colleges,  
21 campuses or subdivisions, including the research foundation of the state  
22 university of New York and the research foundation of the city universi-  
23 ty of New York, to assist in meeting the specific needs of, or providing  
24 a direct benefit to, the respective university, college, community  
25 college, campus or subdivision or the university as a whole, that has  
26 control of, manages or receives fifty thousand dollars or more annually,

1 including alumni associations. For the purposes of this article, this  
2 term does not include a student-run organization comprised solely of  
3 enrolled students and formed for the purpose of advancing a student  
4 objective.

5 § 2. This act shall take effect on the sixtieth day after it shall  
6 have become a law.

7 PART G

8 Section 1. The executive law is amended by adding a new section 53-a  
9 to read as follows:

10 § 53-a. State procurement oversight defined. 1. For purposes of this  
11 article, "state procurement" shall mean any loan, contract or grant  
12 awarded or entered into by a covered agency.

13 2. Consistent with the general investigatory authority of the state  
14 inspector general as established in this article, the state inspector  
15 general is expressly empowered to investigate alleged corruption, fraud,  
16 criminal activity, conflicts of interest or abuse, by officers, employ-  
17 ees and contracted parties related to any state procurement.

18 § 2. This act shall take effect on the sixtieth day after it shall  
19 have become a law.

20 PART H

21 Section 1. The education law is amended by adding a new section 6235  
22 to read as follows:

23 § 6235. Public university foundation oversight. 1. It is hereby estab-  
24 lished that the office of the state inspector general shall independent-

1 ly oversee implementation and enforcement of financial control policies  
2 at the state university of New York and the city university of New York  
3 and affiliated nonprofit organizations and foundations pursuant to this  
4 section.

5 2. (a) As used within this section "office of the state inspector  
6 general" means the office of the state inspector general as established  
7 in article four-a of the executive law and "state inspector general"  
8 means the state inspector general who is the head of the office of the  
9 state inspector general as established in article four-a of the execu-  
10 tive law.

11 (b) As used within this section, "affiliated nonprofit organization or  
12 foundation" means an organization or foundation formed under the not-  
13 for-profit corporation law or any other entity formed for the benefit of  
14 or controlled by the state university of New York or the city university  
15 of New York or their respective universities, colleges, community  
16 colleges, campuses or subdivisions, including the research foundation of  
17 the state university of New York and the research foundation of the city  
18 university of New York, to assist in meeting the specific needs of, or  
19 providing a direct benefit to, the respective university, college,  
20 community college, campus or subdivision or the university as a whole,  
21 that has control of, manages or receives fifty thousand dollars or more  
22 annually, including alumni associations. For the purposes of this  
23 section, this term does not include a student-run organization comprised  
24 solely of enrolled students and formed for the purpose of advancing a  
25 student objective.

26 3. (a) For the purposes of this section, the state inspector general  
27 shall have the same powers enumerated in article four-A of the executive  
28 law.

1 (b) The state inspector general shall require that each affiliated  
2 nonprofit organization or foundation adopt written policies including  
3 by-laws consistent with the requirements of this paragraph. Each affil-  
4 iated nonprofit organization or foundation shall, in consultation with  
5 the state inspector general, adopt written policies designed to prevent  
6 corruption, fraud, criminal activity, conflicts of interest or abuse.

7 (c) The state inspector general shall have the authority to appoint,  
8 in consultation with the state university of New York and the city  
9 university of New York and any respective campus of the state university  
10 of New York and the city university of New York, compliance officers  
11 from within the staff of the state university of New York and the city  
12 university of New York and any campus of the state university of New  
13 York and the city university of New York to provide assistance in over-  
14 sight and monitoring of policies established by affiliated nonprofit  
15 organizations and foundations.

16 4. Failure by an affiliated nonprofit organization or foundation to  
17 comply with any provision of this section shall render the affiliated  
18 nonprofit organization or foundation ineligible to receive state aid or  
19 assistance or any aid or assistance from the state university of New  
20 York, the city university of New York or the respective campuses until  
21 the state inspector general has certified that such entity is in compli-  
22 ance with the provisions of this section. Upon a determination of  
23 noncompliance and ineligibility, the state inspector general shall  
24 provide the organization with written notice of such final determi-  
25 nation, including the basis thereof, which shall be subject to review  
26 pursuant to article seventy-eight of the civil practice law and rules.

27 § 2. This act shall take effect on the one hundred eightieth day after  
28 it shall have become a law.

1

## PART I

2 Section 1. The public officers law is amended by adding a new article  
3 5-A to read as follows:

4

ARTICLE 5-A

5

OFFICE OF THE NEW YORK PORT AUTHORITY INSPECTOR GENERAL6 Section 81. Jurisdiction.

7

82. Establishment and organization.

8

83. Functions and duties.

9 § 81. Jurisdiction. 1. This article shall, subject to the limitations  
10 contained herein, confer upon the office of the New York port authority  
11 inspector general, jurisdiction over New York-related port authority  
12 conduct. Nothing contained in this section shall replace or diminish  
13 the jurisdiction of the attorney general or local district attorney.

14 2. For purposes of this article: (a) "New York-related port authority  
15 conduct" shall mean any port authority action with a nexus to the state  
16 of New York or its residents and involves an action engaged in by a New  
17 York commissioner or managerial appointee or managerial employee of the  
18 port authority.

19 (b) "Port authority" shall mean the port authority of New York and New  
20 Jersey as created by chapter one hundred fifty-four of the laws of nine-  
21 teen hundred twenty-one.

22 (c) "New York commissioner" shall mean commissioners appointed by the  
23 governor of New York to the port authority of New York and New Jersey  
24 pursuant to article four of section one of chapter one hundred fifty-  
25 four of the laws of nineteen hundred twenty-one, as amended by chapter  
26 four hundred nineteen of the laws of nineteen hundred thirty.

1 (d) "Managerial appointee or managerial employee" shall mean any indi-  
2 vidual who: (i) formulates policy; (ii) may reasonably be required to  
3 assist directly in the preparation for and conduct of negotiations  
4 concerning major fiscal matters or expenditures provided that such role  
5 is not of a routine or clerical nature and requires the exercise of  
6 independent judgment; or (iii) has a major role in the administration of  
7 personnel agreements or in personnel administration, provided that such  
8 role is not of a routine or clerical nature and requires the exercise of  
9 independent judgment.

10 3. Every New York commissioner and managerial appointee or managerial  
11 employee shall report promptly to the office of the New York port  
12 authority inspector general or other appropriate law enforcement offi-  
13 cial any New York-related port authority conduct concerning corruption,  
14 fraud, criminal activity, conflicts of interest or abuse, by another  
15 relating to his or her office or employment, or by a person having busi-  
16 ness dealings with the port authority of New York and New Jersey relat-  
17 ing to those dealings. The knowing failure of any New York commissioner  
18 or managerial appointee or managerial employee to so report shall be  
19 cause for removal from office or other appropriate penalty provided  
20 however, that notice and an opportunity to be heard shall be afforded  
21 officers and employees in accordance with provisions included within an  
22 existing collective bargaining agreement. The office of the New York  
23 port authority inspector general shall notify, upon hiring and annually,  
24 every New York commissioner, and every managerial appointee or manageri-  
25 al employee in writing of their rights and responsibilities under this  
26 article.

27 § 82. Establishment and organization. 1. There is hereby established  
28 the office of the New York port authority inspector general in the exec-

1 utive department. The head of the office shall be the New York port  
2 authority inspector general who shall be appointed by the governor.

3 2. The New York port authority inspector general shall hold office  
4 until the end of the term of the governor by whom he or she was  
5 appointed and until his or her successor is appointed and has qualified.

6 3. The New York port authority inspector general may appoint one or  
7 more deputy officers to serve at his or her pleasure, who shall be  
8 responsible for conducting investigations.

9 4. The salary of the New York port authority inspector general shall  
10 be established by the governor within the limit of funds available  
11 therefor.

12 § 83. Functions and duties. The New York port authority inspector  
13 general shall have the following duties and responsibilities:

14 1. receive and investigate complaints from any source, or upon his or  
15 her own initiative, concerning allegations of corruption, fraud, crimi-  
16 nal activity, conflicts of interest or abuse in any New York-related  
17 port authority conduct committed by a New York commissioner, managerial  
18 appointee or managerial employee;

19 2. inform the New York commissioners of such allegations and the  
20 progress of investigations related thereto, unless special circumstances  
21 require confidentiality, provided that the inspector general shall main-  
22 tain a written record that specifies the reason confidentiality is  
23 necessary under this subdivision;

24 3. determine with respect to such allegations whether civil or crimi-  
25 nal prosecution, or further investigation by an appropriate federal,  
26 state or local agency is warranted, and to assist in such investi-  
27 gations;



1 4. prepare and release to the public written reports of such investi-  
2 gations, as appropriate and to the extent permitted by law, subject to  
3 redaction to protect the confidentiality of witnesses. The release of  
4 all or portions of such reports may be deferred to protect the confiden-  
5 tiality of ongoing investigations, provided that the inspector general  
6 shall maintain a written record that specifies the reason confidentiali-  
7 ty is necessary under this subdivision;

8 5. review and examine periodically the policies and procedures of New  
9 York commissioners and any managerial appointees or managerial employees  
10 with regard to the prevention and detection of corruption, fraud, crimi-  
11 nal activity, conflicts of interest or abuse in New York-related port  
12 authority conduct;

13 6. recommend remedial action to prevent or eliminate corruption,  
14 fraud, criminal activity, conflicts of interest or abuse in New York-re-  
15 lated port authority conduct; and

16 7. establish programs for training New York commissioners and any  
17 managerial appointees or managerial employees regarding the prevention  
18 and elimination of corruption, fraud, criminal activity, conflicts of  
19 interest or abuse in New York-related port authority conduct.

20 § 2. Subdivision 32 of section 1.20 of the criminal procedure law, as  
21 amended by section 4 of part A of chapter 501 of the laws of 2012, is  
22 amended to read as follows:

23 32. "District attorney" means a district attorney, an assistant  
24 district attorney or a special district attorney, and, where appropri-  
25 ate, the attorney general, an assistant attorney general, a deputy  
26 attorney general, a special deputy attorney general, [or] the special  
27 prosecutor and inspector general for the protection of people with  
28 special needs or his or her assistants when acting pursuant to their

1 duties in matters arising under article twenty of the executive law, or  
2 the port authority inspector general or his or her assistants when  
3 acting pursuant to article five-A of the public officers law.

4 § 3. Section 39 of the public officers law, as amended by chapter 122  
5 of the laws of 1947, is amended to read as follows:

6 § 39. Filling vacancies in office of officer appointed by governor and  
7 senate. 1. A vacancy which shall occur during the session of the senate,  
8 in the office of an officer appointed by the governor by and with the  
9 advice and consent of the senate, shall be filled in the same manner as  
10 an original appointment. Such a vacancy occurring or existing while the  
11 senate is not in session, including offices in which officers are hold-  
12 ing over pursuant to the provisions of section five of this chapter or  
13 any other law, and offices vacant during the session of the senate,  
14 shall be filled by the governor for a term which shall expire upon the  
15 appointment and qualification of a successor but in any event such term  
16 shall expire at the end of twenty days from the commencement of the next  
17 meeting of the senate.

18 2. Notwithstanding subdivision one of this section, or any other law  
19 to the contrary, the governor may fill a vacancy in a position at the  
20 port authority of New York and New Jersey, as created pursuant to chap-  
21 ter one hundred fifty-four of the laws of nineteen hundred twenty-one,  
22 at any time the senate is adjourned and scheduled to reconvene at the  
23 call of the temporary president, or, if after June thirtieth, the senate  
24 is scheduled to reconvene more than seven days after adjournment. Any  
25 appointment made pursuant to this subdivision shall be subject to the  
26 confirmation of the senate once the senate reconvenes. Any appointment  
27 made pursuant to this subdivision shall continue until the senate has  
28 acted on such appointment. For purposes of this subdivision, the length

1 of an adjournment shall be calculated from the date the senate is first  
2 adjourned until the date the entire senate actually reconvenes.

3 § 4. This act shall take effect immediately; provided however that  
4 section one of this act shall take effect on the one hundred twentieth  
5 day after it shall have become a law.

6 PART J

7 Section 1. The education law is amended by adding a new article 4 to  
8 read as follows:

9 ARTICLE 4

10 OFFICE OF THE STATE EDUCATION DEPARTMENT INSPECTOR GENERAL

11 Section 190. Jurisdiction.

12 191. Establishment and organization.

13 192. Functions and duties.

14 193. Powers.

15 194. Responsibilities of department officers and employees.

16 § 190. Jurisdiction. This article shall, subject to the limitations  
17 contained herein, confer certain jurisdiction upon the office of the  
18 state education department inspector general over officers and employees  
19 of the department.

20 § 191. Establishment and organization. 1. There is hereby established,  
21 the office of the state education department inspector general, an inde-  
22 pendent office within the department. The head of the office shall be  
23 the state education department inspector general and he or she shall  
24 hold office for a term of five years at which time a successor shall be  
25 appointed. The initial term of the state education department inspector  
26 general shall first commence on June first, two thousand seventeen.

1     2. Appointment. The state education department inspector general shall  
2 be appointed by the legislature by concurrent resolution and any vacancy  
3 may be filled for the remainder of an unexpired term caused by such  
4 vacancy in the same manner.

5     3. The state education department inspector general shall have not  
6 less than ten years professional experience in law, investigation, or  
7 auditing. The state education department inspector general shall report  
8 to the board of regents. The state education department inspector gener-  
9 al may be removed during his or her term by concurrent resolution of the  
10 legislature provided that such removal shall only be for substantial  
11 neglect of duty, gross misconduct in office, or the inability to  
12 discharge the powers or duties of office. Provided further such concur-  
13 rent resolution shall only be made upon recommendation of the board of  
14 regents, or upon findings of such neglect of duty, gross misconduct or  
15 inability to discharge the powers or duties of office.

16     § 192. Functions and duties. The state education department inspector  
17 general shall have the following duties and responsibilities:

18     1. receive and investigate complaints from any source, or upon his or  
19 her own initiative, concerning allegations of corruption, fraud, crimi-  
20 nal activity, conflicts of interest or abuse in the department, provided  
21 that the state education department inspector general shall consult with  
22 the board of regents ethics committee and the department's ethics offi-  
23 cer regarding investigations related to conduct under the public offi-  
24 cers law;

25     2. inform the board of regents and commissioner of such allegations  
26 and the progress of investigations related thereto, unless special  
27 circumstances relating to a specific allegation or investigation require  
28 confidentiality, provided, however, the state education department

1 inspector general shall regularly review any decision to keep such  
2 information confidential and maintain contemporary written records that  
3 specify the reason confidentiality is necessary;

4 3. determine with respect to such allegations whether disciplinary  
5 action, civil or criminal prosecution, or further investigation by an  
6 appropriate federal, state or local agency is warranted, and to assist  
7 in such investigations;

8 4. prepare and release to the public written reports of such investi-  
9 gations, as appropriate and to the extent permitted by law, subject to  
10 redaction to protect the confidentiality of witnesses. The release of  
11 all or portions of such reports may be deferred to protect the confiden-  
12 tiality of one or more specific ongoing investigations, provided, howev-  
13 er, the state education department inspector general shall regularly  
14 review any decision to keep such information confidential and maintain  
15 contemporary written records that specify the reason confidentiality is  
16 necessary;

17 5. review and examine periodically the policies and procedures of the  
18 department with regard to the prevention and detection of corruption,  
19 fraud, criminal activity, conflicts of interest or abuse;

20 6. recommend remedial action designed to prevent or eliminate  
21 corruption, fraud, criminal activity, conflicts of interest or abuse in  
22 the department; and

23 7. establish programs for training state education department offi-  
24 cers and employees designed for the prevention and elimination of  
25 corruption, fraud, criminal activity, conflicts of interest or abuse in  
26 the department. The department shall annually notify all officers and  
27 employees in writing of their rights and responsibilities under this  
28 section and shall provide training to all new officers and employees

1 and, on an annual basis, all existing officers and employees, regarding  
2 this article of law and such rights and responsibilities, including but  
3 not limited to the responsibilities under section one hundred ninety-  
4 four of this article. The department shall use multiple methods to  
5 educate officers and employees about this section and may include such  
6 training in existing professional development and staff training  
7 programs for officers and employees.

8 § 193. Powers. The state education department inspector general shall  
9 have the power to:

- 10 1. subpoena and enforce the attendance of witnesses;
- 11 2. administer oaths or affirmations and examine witnesses under oath;
- 12 3. require the production of any books and papers that are material to  
13 any investigation, examination or review provided that the production of  
14 such materials shall be subject to applicable federal laws related to  
15 confidentiality and disclosure, including but not limited to those  
16 related to education records and student information;
- 17 4. examine and copy or remove documents or records of any kind  
18 prepared, maintained or held by the department provided that the exam-  
19 ination, copy, or removal of such materials shall be subject to applica-  
20 ble federal laws related to confidentiality and disclosure, including  
21 but not limited to those related to education records and student infor-  
22 mation;
- 23 5. require any officer or employee of the department to answer ques-  
24 tions concerning corruption, fraud, criminal activity, conflicts of  
25 interest or abuse in the department related to the performance of his or  
26 her official duties. No statement or other evidence derived therefrom  
27 may be used against such officer or employee in any subsequent criminal  
28 prosecution other than for perjury or contempt arising from such testi-

1 mony. The refusal of any officer or employee to answer questions shall  
2 be cause for removal from office or employment or other applicable  
3 penalty, provided, however, that notice and opportunity to be heard  
4 shall be afforded to any such officer or employee, and provided,  
5 further, that any such officer or employee shall have a right to repre-  
6 sentation by his or her certified or recognized officer or employee  
7 organization pursuant to article fourteen of the civil service law and  
8 shall be notified, in advance of such opportunity to be heard, in writ-  
9 ing, of such right. Any officer or employee without a certified or  
10 recognized officer or employee organization pursuant to article fourteen  
11 of the civil service law shall also have the opportunity to represen-  
12 tation of his or her choice and shall be notified, in advance of such  
13 opportunity to be heard, in writing, of such right;

14 6. monitor the implementation by the department of any recommendations  
15 made by the state education department inspector general; and

16 7. refer to the state inspector general any information concerning  
17 matters within the state inspector general's jurisdiction.

18 § 194. Responsibilities of department officers and employees. 1. Every  
19 officer and employee of the department shall report promptly to the  
20 state education department inspector general or other appropriate law  
21 enforcement officer or department official any information concerning  
22 corruption, fraud, criminal activity, conflicts of interest or abuse by  
23 another officer or employee relating to his or her office or employment,  
24 or by a person having business dealings with the department relating to  
25 those dealings. The knowing failure of any officer or employee to so  
26 report shall be cause for removal from employment or applicable penalty,  
27 provided, however, that notice and opportunity to be heard shall be  
28 afforded to any such officer or employee and provided, further, that any

1 such officer or employee shall have a right to representation by his or  
2 her certified or recognized officer or employee organization pursuant to  
3 article fourteen of the civil service law and shall be notified, in  
4 advance of such opportunity to be heard, in writing, of such right. Any  
5 officer or employee without a certified or recognized officer or employ-  
6 ee organization pursuant to article fourteen of the civil service law  
7 shall also have the opportunity to representation of his or her choice  
8 and shall be notified, in advance of such opportunity to be heard, in  
9 writing, of such right. Any officer or employee who acts pursuant to  
10 this subdivision by reporting to the state education department inspec-  
11 tor general, or other appropriate officer or official, corruption,  
12 fraud, criminal activity, conflicts of interest or abuse in the depart-  
13 ment, or improper governmental action as defined in section seventy-  
14 five-b of the civil service law shall not be subject to dismissal,  
15 discipline or other adverse personnel action.

16 2. The board of regents and the commissioner shall advise the governor  
17 and the legislature within ninety days of the issuance of a report by  
18 the state education department inspector general as to the remedial  
19 action that the department has taken in response to any recommendation  
20 for such action contained in such report.

21 § 2. This act shall take effect immediately; provided, however, that  
22 subdivision 1 of section 194 of the education law as added by section  
23 one of this act shall take effect on the one hundred eightieth day after  
24 it shall have become a law.



1 Section 1. The executive law is amended by adding a new section 204 to  
2 read as follows:

3 § 204. Chief procurement officer. There shall be a chief procurement  
4 officer, appointed by the governor, for the state of New York who shall  
5 serve as the principal officer tasked with oversight of all state  
6 procurements, under the direction of the commissioner of general  
7 services, whose duties shall include, but not be limited to, ensuring  
8 the wise and prudent use of public money in the best interest of the  
9 taxpayers of the state and guarding against favoritism, improvidence,  
10 extravagance, fraud and corruption in connection with all procurements  
11 of state agencies as such term is defined in section one hundred sixty  
12 of the state finance law and public authorities as such term is defined  
13 in section two of the public authorities law. The chief procurement  
14 officer shall have the authorization to review any procurement and  
15 report promptly any suspicion or allegation of corruption, fraud, crimi-  
16 nal activity, conflicts of interest or abuse in any agency or authori-  
17 ty's procurement to the office of the state inspector general for appro-  
18 priate action. Prior to making such a report, the chief procurement  
19 officer shall inform the commissioner and the heads of the relevant  
20 agencies and authorities of such suspicion or allegation and the  
21 progress of investigations related thereto, unless special circumstances  
22 require confidentiality.

23 § 2. Paragraph a of subdivision 1 of section 161 of the state finance  
24 law, as amended by chapter 452 of the laws of 2012, is amended to read  
25 as follows:

26 a. The state procurement council shall continuously strive to improve  
27 the state's procurement process. Such council shall consist of [twen-  
28 ty-one] twenty-two members, including the chief procurement officer as

1 established pursuant to section two hundred four of the executive law,  
2 the commissioner, the state comptroller, the director of the budget, the  
3 chief diversity officer and the commissioner of economic development, or  
4 their respective designees; seven members who shall be the heads of  
5 other large and small state agencies chosen by the governor, or their  
6 respective designees; one member, appointed by the governor, represent-  
7 ing a not-for-profit New York-based organization engaged in the market-  
8 ing and/or promotion of New York grown farm and agricultural products or  
9 a not-for-profit New York-based organization engaged solely in the advo-  
10 cacy, marketing and/or promotion of organic New York grown farm and  
11 agricultural products to be limited to a two year term; and eight at  
12 large members appointed as follows: three appointed by the temporary  
13 president of the senate, one of whom shall be a representative of local  
14 government and one of whom shall be a representative of private busi-  
15 ness; three appointed by the speaker of the assembly, one of whom shall  
16 be a representative of local government and one of whom shall be a  
17 representative of private business; one appointed by the minority leader  
18 of the senate; and, one appointed by the minority leader of the assem-  
19 bly; and two non-voting observers appointed as follows: one appointed by  
20 the temporary president of the senate and one appointed by the speaker  
21 of the assembly. The non-voting observers shall be provided, contempora-  
22 neously, all documentation and materials distributed to members. The  
23 council shall be chaired by the chief procurement officer, or, if there  
24 is no chief procurement officer, then by the commissioner and shall meet  
25 at least quarterly.

26 § 3. Subdivision 1 of section 55 of the executive law, as added by  
27 chapter 766 of the laws of 2005, is amended to read as follows:

1 1. Every state officer or employee in a covered agency, and the chief  
2 procurement officer appointed by the governor as established by section  
3 two hundred four of the executive law, shall report promptly to the  
4 state inspector general any information concerning corruption, fraud,  
5 criminal activity, conflicts of interest or abuse by another state offi-  
6 cer or employee relating to his or her office or employment, or by a  
7 person having business dealings with a covered agency relating to those  
8 dealings. The knowing failure of any officer or employee to so report  
9 shall be cause for removal from office or employment or other appropri-  
10 ate penalty. Any officer or employee who acts pursuant to this subdivi-  
11 sion by reporting to the state inspector general improper governmental  
12 action as defined in section seventy-five-b of the civil service law  
13 shall not be subject to dismissal, discipline or other adverse personnel  
14 action.

15 § 4. Paragraphs (a), (c), and (d) of subdivision 5 and paragraph (a)  
16 of subdivision 8 of section 2879 of the public authorities law, para-  
17 graph (a) of subdivision 5 as amended by chapter 531 of the laws of  
18 1993, paragraphs (c) and (d) of subdivision 5 as amended by chapter 383  
19 of the laws of 2000, and paragraph (a) of subdivision 8 as amended by  
20 chapter 844 of the laws of 1992, are amended to read as follows:

21 (a) Each corporation shall notify the commissioner of economic devel-  
22 opment and the chief procurement officer as defined in section two  
23 hundred four of the executive law, of the award of a procurement  
24 contract for the purchase of goods or services from a foreign business  
25 enterprise in an amount equal to or greater than one million dollars  
26 simultaneously with notifying the successful bidder therefor. No corpo-  
27 ration shall thereafter enter into a procurement contract for said goods  
28 or services until at least fifteen days has elapsed, except for procure-

1 ment contracts awarded on an emergency or critical basis, or where the  
2 commissioner of economic development waives the provisions of this  
3 sentence. The notification to the commissioner of economic development  
4 and the chief procurement officer shall include the name, address and  
5 telephone and facsimile number of the foreign business enterprise, a  
6 brief description of the goods or services to be obtained pursuant to  
7 the proposed procurement contract, the amount of the proposed procure-  
8 ment contract, the term of the proposed procurement contract, and the  
9 name of the individual at the foreign business enterprise or acting on  
10 behalf of the same who is principally responsible for the proposed  
11 procurement contract. Such notification shall be used by the commission-  
12 er of economic development solely to provide notification to New York  
13 state business enterprises of opportunities to participate as subcon-  
14 tractors and suppliers on such procurement contracts, to promote and  
15 encourage the location and development of new business in the state, to  
16 assist New York state business enterprises in obtaining offset credits  
17 from foreign countries, and to otherwise investigate, study and under-  
18 take means of promoting and encouraging the prosperous development and  
19 protection of the legitimate interest and welfare of New York state  
20 business enterprises, industry and commerce.

21 (c) In including any additional business enterprises on invitations to  
22 bid for the procurement of goods or services, the chief executive offi-  
23 cer of the corporation shall not include any foreign business enterprise  
24 which has its principal place of business located in a discriminatory  
25 jurisdiction contained on the list prepared by the commissioner of  
26 economic development pursuant to subdivision six of section one hundred  
27 sixty-five of the state finance law, except, however, business enter-  
28 prises which are New York state business enterprises as defined by this

1 section. The corporation may waive the application of the provisions of  
2 this section whenever the chief executive officer of the corporation  
3 determines in writing that it is in the best interests of the state to  
4 do so. The chief executive officer of the corporation shall deliver each  
5 such waiver to the commissioner of economic development and the chief  
6 procurement officer.

7 (d) A corporation shall not enter into a contract with a foreign busi-  
8 ness enterprise which has its principal place of business located in a  
9 discriminatory jurisdiction contained on the list prepared by the  
10 commissioner of economic development pursuant to subdivision six of  
11 section one hundred sixty-five of the state finance law. The provisions  
12 of this section may be waived by the chief executive officer of the  
13 corporation if the chief executive officer of the corporation determines  
14 in writing that it is in the best interests of the state to do so. The  
15 chief executive officer of the corporation shall deliver each such waiv-  
16 er to the commissioner of economic development and the chief procurement  
17 officer.

18 (a) Each corporation shall annually submit its report on procurement  
19 contracts to the division of the budget and copies thereof to the  
20 department of audit and control, the department of economic development,  
21 the senate finance committee and the assembly ways and means committee  
22 and the chief procurement officer.

23 § 5. This act shall take effect on the sixtieth day after it shall  
24 have become a law.

1 Section 1. The election law is amended by adding a new section 14-131  
2 to read as follows:

3 § 14-131. Government vendor contributions. 1. (a) It shall be unlawful  
4 during the restricted vendor contribution period for any person, organ-  
5 ization, group of persons, or business entity that submits a bid, quota-  
6 tion, offer or response to a state governmental entity posting or solici-  
7 itation for procurement to make a contribution to any officeholder of  
8 the state governmental entity or entities issuing such posting or solici-  
9 itation, evaluating such response or approving or awarding the final  
10 procurement contract, or to any candidate for an office of such govern-  
11 mental entity, including to such officeholder's or candidate's author-  
12 ized political committees.

13 (b) For purposes of this section the assembly and senate shall be  
14 separate and distinct governmental entities when a particular posting or  
15 solicitation for procurement is issued by only one respective house.

16 (c) The state governmental entity directly responsible for issuing  
17 such posting or solicitation for procurement shall include a notice of  
18 the prohibition established by this section and the state governmental  
19 entity responsible for evaluating responses to such posting or sollicita-  
20 tion shall provide to any person, organization, group of persons, or  
21 business entity that submits a proposal in response to such posting or  
22 solicitation a notice of the prohibition established by this section and  
23 the restricted vendor contribution period commencement date.

24 2. As used in this section "business entity" means a business corpo-  
25 ration, professional services corporation, limited liability company,  
26 partnership, limited partnership, business trust, association or any  
27 other legal commercial entity organized under the laws of this state or  
28 any other state or foreign jurisdiction, including any subsidiary

1 directly or indirectly controlled by the business entity, and any poli-  
2 tical organization, including but not limited to any political organiza-  
3 tion organized under section 527 of the Internal Revenue Code, that is  
4 directly or indirectly controlled by the business entity.

5 3. The restricted vendor contribution period described in this section  
6 shall commence, with respect to a specific person, organization, group  
7 of persons, or business entity that submits a bid, quotation, offer or  
8 response to the state governmental entity posting or solicitation, at  
9 the earliest posting, on a state governmental entity's website, in a  
10 newspaper of general circulation or in the procurement opportunities  
11 newsletter in accordance with article four-C of the economic development  
12 law of written notice, advertisement or solicitation of a request for  
13 proposal, invitation for bids, or solicitations of proposals, or any  
14 other method provided for by law or regulation for soliciting a response  
15 from offerers intending to result in a procurement contract with a state  
16 governmental entity. The restricted vendor contribution period does not  
17 apply to a person, organization, group of persons or business entity  
18 that is responding to a state governmental entity's request for informa-  
19 tion or other informational exchanges occurring prior to such govern-  
20 mental entity's posting or solicitation for procurement.

21 4. The restricted vendor contribution period described in this section  
22 shall end with respect to a specific person, organization, group of  
23 persons, or business entity as follows:

24 (a) If the person, organization, group of persons, or business entity  
25 is the recipient of the final contract award, the restricted vendor  
26 contribution period shall end six months after the final contract award  
27 and approval by the state governmental entity and, where applicable, the  
28 state comptroller.

1 (b) If the person, organization, group of persons, or business entity  
2 is not the recipient of the final contract award, the restricted vendor  
3 contribution period shall end with the final contract award and approval  
4 by the state governmental entity and, where applicable, the state comp-  
5 troller.

6 § 2. Section 14-126 of the election law is amended by adding a new  
7 subdivision 7 to read as follows:

8 7. (a) Any person, organization, group of persons, or business entity  
9 as that term is used in section 14-131 of this article, who, under  
10 circumstances evincing an intent to violate such law, makes a contrib-  
11 ution in contravention of section 14-131 of this article shall be  
12 subject to a civil penalty not to exceed the greater of ten thousand  
13 dollars or an amount equal to two hundred percent of the contribution,  
14 to be recoverable in a special proceeding or civil action to be brought  
15 by the state board of elections chief enforcement counsel.

16 (b) Any person who, acting as or on behalf of an officeholder, candi-  
17 date, or political committee, accepts a contribution in contravention of  
18 section 14-131 of this article shall be required to refund such contrib-  
19 ution.

20 § 3. This act shall take effect on the one hundred eightieth day after  
21 it shall have become a law.

22 PART M

23 Section 1. 1. The New York state comptroller, or his or her designee,  
24 the attorney general of the state of New York, or his or her designee,  
25 the chief information officer of the office of information technology  
26 services, or his or her designee, and the commissioner of general



1 services, or his or her designee, are hereby directed to collectively  
2 prepare a report regarding the feasibility of developing a system which  
3 would require the assignment of single identifying vendor codes or  
4 numbers to all contractors, vendors and grantees directly receiving  
5 payments of state funds to facilitate the tracking of such entities and  
6 facilitate the tracking of final audit determinations of such entities  
7 issued by the attorney general and the state comptroller. This system  
8 must consider and serve to supplement existing coding systems and shall  
9 be made publicly available. Such feasibility report shall include, but  
10 not be limited to, the group's assessment, analysis and findings on the  
11 information gathered after taking into consideration input from all  
12 group members, alternatives considered, the fiscal impact, and the  
13 effect the proposed system would have on the state and existing systems.  
14 The report shall be provided to the governor, temporary president of the  
15 senate and the speaker of the assembly on or before September 1, 2017.

16 2. If all members of the group determine that it is feasible to devel-  
17 op such a system, the system shall be implemented no later than Septem-  
18 ber 1, 2018. The director of the budget, or his or her designee, shall  
19 make the final determination regarding the codes or numbers that shall  
20 serve as the single identifier for such entities if, after the issuance  
21 of the report, the group is unable to reach agreement regarding which  
22 identifying codes or numbers should be used for the subject system. Such  
23 determination shall be based on the most cost effective manner of imple-  
24 menting such codes or numbers that would have the least fiscal impact to  
25 the state of New York.

26 3. If the group determines that it is not feasible to develop such a  
27 system pursuant to subdivision one of this section, the group shall  
28 submit an additional feasibility report to the governor, temporary pres-

1 ident of the senate and the speaker of the assembly on or before Decem-  
2 ber 1, 2017, which shall include, but not be limited to, the barriers to  
3 implementing such a system, the findings of the initial feasibility  
4 report and further recommendations.

5 § 2. This act shall take effect immediately.

6 PART N

7 Section 1. Section 5-212 of the election law is REPEALED and a new  
8 section 5-212 is added to read as follows:

9 § 5-212. Motor vehicle registration. 1. In addition to any other meth-  
10 od of voter registration provided for in this article, any qualified  
11 person shall be automatically applied for registration and enrollment  
12 simultaneously with and upon application for a motor vehicle driver's  
13 license, a driver's license renewal or an identification card if such a  
14 card is issued by the department of motor vehicles in its normal course  
15 of business unless such qualified person declines such application for  
16 registration and enrollment at the time of making an application for a  
17 motor vehicle driver's license, driver's license renewal or an identifi-  
18 cation card if such card is issued by the department of motor vehicles  
19 in its normal course of business.

20 2. The department of motor vehicles, with the approval of the state  
21 board of elections, shall design a form or forms which shall, in addi-  
22 tion to eliciting such information as may be required by the department  
23 of motor vehicles for a driver's license, a driver's license renewal, a  
24 change of address notification or an identification card, serve as an  
25 application for registration and enrollment, or a registration necessi-  
26 tated by a change of residence. Only one signature shall be required to

1 meet the certification and attestation needs of the portion of the form  
2 pertaining to the application for a driver's license, a driver's license  
3 renewal, a change of address notification or an identification card, and  
4 the portion of the form pertaining to voter registration and enrollment.  
5 The cost of such forms shall be borne by the department of motor vehi-  
6 cles.

7 3. The voter registration portion of such form shall:

8 (a) not require any information that duplicates the information  
9 required on the application for the driver license portion and shall  
10 require only such additional information as will enable election offi-  
11 cial to assess the applicant's eligibility to register to vote, prevent  
12 duplicate registration and to administer voter registration and other  
13 parts of the election process;

14 (b) include a statement of the eligibility requirements for voter  
15 registration and shall require the applicant to attest by his or her  
16 signature that he or she meets those requirements under penalty of  
17 perjury unless such applicant declines such registration;

18 (c) inform the applicant, in print identical to that used in the  
19 attestation section of the following:

20 (i) voter eligibility requirements;

21 (ii) penalties for submission of false registration application;

22 (iii) that the office where applicant registers shall remain confiden-  
23 tial and the information be used only for voter registration purposes;

24 (iv) if the applicant declines to register, such applicant's declina-  
25 tion shall remain confidential and be used only for voter registration  
26 purposes;

27 (d) include a box for the applicant to check to indicate whether the  
28 applicant would like to decline to register to vote along with the

1 statement in prominent type, "IF YOU DO NOT CHECK THIS BOX, YOU PROVIDE  
2 YOUR SIGNATURE ON THE SPACE PROVIDED BELOW, AND YOU ARE AT LEAST 18  
3 YEARS OF AGE OR OLDER, YOU WILL HAVE PERSONALLY APPLIED TO REGISTER TO  
4 VOTE AT THIS TIME.";

5 (e) include a space for the applicant to indicate his or her choice of  
6 party enrollment, with a clear alternative provided for the applicant to  
7 decline to affiliate with any party;

8 (f) include the statement, "If you would like help in filling out the  
9 voter registration application form, we will help you. The decision  
10 whether to seek or accept help is yours. You may fill out the applica-  
11 tion form in private.";

12 (g) include the statement, "If you believe that someone has interfered  
13 with your right to register or decline to register to vote, your right  
14 to privacy in deciding whether to register or in applying to register to  
15 vote, or your right to choose your own political party or other poli-  
16 tical preference, you may file a complaint with the state board of  
17 elections (address and toll free telephone number).";

18 (h) include a toll free number at the state board of elections that  
19 can be called for answers to registration questions; and

20 (i) include any other information that is necessary to comply with the  
21 requirements of the National Voter Registration Act.

22 4. The department of motor vehicles shall transmit that portion of the  
23 form which constitutes the completed application for registration or  
24 change of address form to the appropriate board of elections not later  
25 than ten days after receipt except that all such completed applications  
26 and forms received by the department between the thirtieth and twenty-  
27 fifth day before an election shall be transmitted in such manner and at  
28 such time as to assure their receipt by such board of elections not

1 later than the twentieth day before such election. All transmittals  
2 shall include original signatures.

3 5. Completed application forms received by the department of motor  
4 vehicles not later than the twenty-fifth day before the next ensuing  
5 primary, general or special election and transmitted by such department  
6 to the appropriate board of elections so that they are received not  
7 later than the twentieth day before such election shall entitle the  
8 applicant to vote in such election provided the board determines that  
9 the applicant is otherwise qualified.

10 6. Disclosure of voter registration information, including a declina-  
11 tion to register, by the department of motor vehicles, its agents or  
12 employees, for other than voter registration purposes, shall be deemed  
13 an unwarranted invasion of personal privacy pursuant to the provisions  
14 of subdivision two of section eighty-nine of the public officers law and  
15 shall constitute a violation of this chapter.

16 7. Application forms shall be processed by the board of elections in  
17 the manner prescribed by section 5-210 of this title or, if the appli-  
18 cant is already registered to vote from another address in such county  
19 or city, in the manner prescribed by section 5-208 of this title. The  
20 board shall send the appropriate notice of approval or rejection as  
21 required by either subdivision nine of such section 5-210 or subdivision  
22 five of such section 5-208.

23 8. Strict neutrality with respect to a person's party enrollment shall  
24 be maintained and all persons seeking voter registration forms and  
25 information shall be advised that government services are not condi-  
26 tioned on being registered to vote.

27 9. No statement shall be made nor any action taken to discourage the  
28 applicant from registering to vote.

1 10. The department of motor vehicles shall provide to each person who  
2 chooses to register to vote the same level of assistance provided to  
3 persons in connection with the completion of the agency's requisite  
4 information, unless such person refuses such assistance.

5 11. The state board shall adopt such rules and regulations as may be  
6 necessary to carry out the requirements of this section. The board shall  
7 also adopt such rules and regulations as may be necessary to require  
8 county boards and the department of motor vehicles to provide the state  
9 board with such information and data as the board deems necessary to  
10 assess compliance with this section and to compile such statistics as  
11 may be required by the federal elections commission.

12 12. The state board shall develop and distribute public information  
13 and promotional materials relating to the purposes and implementation of  
14 this program.

15 13. The state board shall prepare and distribute to the department of  
16 motor vehicles written instructions as to the implementation of the  
17 program and shall be responsible for establishing training programs for  
18 employees of the department of motor vehicles involved in such program.

19 14. The commissioner of motor vehicles shall take all actions which  
20 are necessary and proper for the implementation of this section. The  
21 commissioner of motor vehicles shall designate one person within the  
22 agency as the agency voter registration coordinator who will, under the  
23 direction of the state board of elections, be responsible for the voter  
24 registration program in such agency.

25 15. Notwithstanding subdivision six of section 5-210 of this title and  
26 any other law to the contrary, an applicant who is less than eighteen  
27 years of age who improperly fails to decline to vote in accordance with

1 the provisions of this section shall not be guilty of any crime as the  
2 result of the applicant's failure to make such declination.

3 § 2. Paragraph (a) of subdivision 2 of section 5-712 of the election  
4 law, as amended by chapter 200 of the laws of 1996, is amended to read  
5 as follows:

6 (a) The board of elections shall also send a confirmation notice to  
7 every registered voter for whom it receives a notice of change of  
8 address to an address not in such city or county which is not signed by  
9 the voter. Such change of address notices shall include, but not be  
10 limited to, notices of change of address received pursuant to subdivi-  
11 sion eleven of section 5-211 and subdivision [six] four of section 5-212  
12 of this article, notice of change of address from the United States  
13 Postal Service through the National Change of Address System or from any  
14 other agency of the federal government or any agency of any state or  
15 local government and notice of a forwarding address on mail sent to a  
16 voter by the board of elections and returned by the postal service. Such  
17 confirmation notices shall be sent to such new address.

18 § 3. Subdivision 5 of section 5-210 of the election law is amended by  
19 adding a new paragraph (n) to read as follows:

20 (n) The form of application required by section 5-212 of this title  
21 shall be deemed to meet the requirements of this section.

22 § 4. Subdivision 27 of section 1-104 of the election law is amended to  
23 read as follows:

24 27. The term "personal application" means a signed writing which may  
25 be delivered by mailing [or], in person, or electronically.

26 § 5. Section 3-400 of the election law is amended by adding a new  
27 subdivision 9 to read as follows:

1 9. Notwithstanding any inconsistent provisions of this article,  
2 election inspectors or poll clerks, if any, at polling places for early  
3 voting, shall consist of either board of elections employees who shall  
4 be appointed by the commissioners of such board or duly qualified indi-  
5 viduals, appointed in the manner set forth in this section. Appointments  
6 to the offices of election inspector or poll clerk in each polling place  
7 for early voting shall be equally divided between the major political  
8 parties. The board of elections shall assign staff and provide the  
9 resources they require to ensure wait times at early voting sites do not  
10 exceed thirty minutes.

11 § 6. Section 4-117 of the election law is amended by adding a new  
12 subdivision 1-a to read as follows:

13 1-a. The notice required by subdivision one of this section shall  
14 include the dates, hours and locations of early voting for the general  
15 and primary election. The board of elections may satisfy the notice  
16 requirement of this subdivision by providing in the notice instructions  
17 to obtain the required early voting information from a website of the  
18 board of elections and providing a phone number to call for such infor-  
19 mation.

20 § 7. Subdivision 2 of section 8-100 of the election law, as amended by  
21 chapter 335 of the laws of 2000, is amended to read as follows:

22 2. Polls shall be open for voting during the following hours: a prima-  
23 ry election from twelve o'clock noon until nine o'clock in the evening,  
24 except in the city of New York and the counties of Nassau, Suffolk,  
25 Westchester, Rockland, Orange, Putnam and Erie, and in such city or  
26 county from six o'clock in the morning until nine o'clock in the even-  
27 ing; the general election from six o'clock in the morning until nine  
28 o'clock in the evening; a special election called by the governor pursu-



1 ant to the public officers law, and, except as otherwise provided by  
2 law, every other election, from six o'clock in the morning until nine  
3 o'clock in the evening; early voting hours shall be as provided in  
4 section 8-600 of this article.

5 § 8. Subdivision 1 of section 8-102 of the election law is amended by  
6 adding a new paragraph (k) to read as follows:

7 (k) Voting at each polling place for early voting shall be conducted  
8 in a manner consistent with the provisions of this article, with the  
9 exception of the tabulation and proclamation of election results which  
10 shall be completed according to subdivisions eight and nine of section  
11 8-600 of this article.

12 § 9. Section 8-104 of the election law is amended by adding a new  
13 subdivision 7 to read as follows:

14 7. This section shall apply on all early voting days as provided for  
15 in section 8-600 of this article.

16 § 10. Paragraph (b) of subdivision 2 of section 8-508 of the election  
17 law, as amended by chapter 200 of the laws of 1996, is amended to read  
18 as follows:

19 (b) The second section of such report shall be reserved for the board  
20 of inspectors to enter the name, address and registration serial number  
21 of each person who is challenged on the day of election or on any day in  
22 which there is early voting pursuant to section 8-600 of this article,  
23 together with the reason for the challenge. If no voters are chal-  
24 lenged, the board of inspectors shall enter the words "No Challenges"  
25 across the space reserved for such names. In lieu of preparing section  
26 two of the challenge report, the board of elections may provide, next to  
27 the name of each voter on the computer generated registration list, a  
28 place for the inspectors of election to record the information required

1 to be entered in such section two, or provide at the end of such comput-  
2 er generated registration list, a place for the inspectors of election  
3 to enter such information.

4 § 11. Article 8 of the election law is amended by adding a new title 6  
5 to read as follows:

6 TITLE VI

7 EARLY VOTING

8 Section 8-600. Early voting.

9 8-602. State board of elections; powers and duties for early  
10 voting.

11 § 8-600. Early voting. 1. Beginning the thirteenth day prior to any  
12 general, primary or special election for any public or party office, and  
13 ending on and including the second day prior to such general, primary or  
14 special election for such public or party office, persons duly regis-  
15 tered and eligible to vote at such election shall be permitted to vote  
16 as provided in this title. The board of elections of each county and  
17 the city of New York shall establish procedures, subject to approval of  
18 the state board of elections, to ensure that persons who vote during the  
19 early voting period shall not be permitted to vote subsequently in the  
20 same election.

21 2. (a) The board of elections of each county or the city of New York  
22 shall designate polling places for early voting in each county, which  
23 may include the offices of the board of elections, for persons to vote  
24 early pursuant to this section. There shall be so designated at least  
25 one early voting polling place for every full increment of fifty thou-  
26 sand registered voters in each county; provided, however, the number of  
27 early voting polling places in a county shall not be required to be

1 greater than seven, and a county with fewer than fifty thousand voters  
2 shall have at least one early voting polling place.

3 (b) The board of elections of each county or the city of New York may  
4 establish additional polling places for early voting in excess of the  
5 minimum number required by this subdivision for the convenience of  
6 eligible voters wishing to vote during the early voting period.

7 (c) Notwithstanding the minimum number of early voting poll sites  
8 otherwise required by this subdivision, for any primary or special  
9 election, upon majority vote of the board of elections, the number of  
10 early voting sites may be reduced if the board of elections reasonably  
11 determines a lesser number of sites is sufficient to meet the needs of  
12 early voters.

13 (d) Polling places for early voting shall be located to ensure, to the  
14 extent practicable, that eligible voters have adequate equitable access,  
15 taking into consideration population density, travel time to the polling  
16 place, proximity to other locations or commonly used transportation  
17 routes and such other factors the board of elections of the county or  
18 the city of New York deems appropriate. The provisions of section 4-104  
19 of this chapter, except subdivisions four and five of such section,  
20 shall apply to the designation of polling places for early voting except  
21 to the extent such provisions are inconsistent with this section.

22 3. Any person permitted to vote early may do so at any polling place  
23 for early voting established pursuant to subdivision two of this section  
24 in the county where such voter is registered to vote. Provided, however,  
25 (i) if it is impractical to provide each polling place for early voting  
26 all appropriate ballots for each election to be voted on in the county,  
27 or (ii) if permitting such persons to vote early at any polling place  
28 established for early voting would make it impractical to ensure that

1 such voter has not previously voted early during such election, the  
2 board of elections may designate each polling place for early voting  
3 only for those voters registered to vote in a portion of the county to  
4 be served by such polling place for early voting, provided that all  
5 voters in each county shall have one or more polling places at which  
6 they are eligible to vote throughout the early voting period on a  
7 substantially equal basis.

8 4. (a) Polls shall be open for early voting for at least eight hours  
9 between seven o'clock in the morning and eight o'clock in the evening  
10 each week day during the early voting period.

11 (b) At least one polling place for early voting shall remain open  
12 until eight o'clock in the evening on at least two week days in each  
13 calendar week during the early voting period. If polling places for  
14 early voting are limited to voters from certain areas pursuant to subdi-  
15 vision three of this section, polling places that remain open until  
16 eight o'clock shall be designated such that any person entitled to vote  
17 early may vote until eight o'clock in the evening on at least two week  
18 days during the early voting period.

19 (c) Polls shall be open for early voting for at least five hours  
20 between nine o'clock in the morning and six o'clock in the evening on  
21 each Saturday, Sunday and legal holiday during the early voting period.

22 (d) Nothing in this section shall be construed to prohibit any board  
23 of elections from establishing a greater number of hours for voting  
24 during the early voting period beyond the number of hours required in  
25 this subdivision.

26 (e) Early voting polling places and their hours of operation for early  
27 voting at a general election shall be designated by May first of each  
28 year pursuant to subdivision one of section 4-104 of this chapter.

1 Notwithstanding the provisions of subdivision one of section 4-104 of  
2 this chapter requiring poll site designation by May first, early voting  
3 polling places and their hours of operation for early voting for a  
4 primary or special election shall be made not later than forty-five days  
5 before such primary or special election.

6 5. Each board of elections shall create a communication plan to inform  
7 eligible voters of the opportunity to vote early. Such plan may utilize  
8 any and all media outlets, including social media, and shall publicize:  
9 the location and dates and hours of operation of all polling places for  
10 early voting; an indication of whether each polling place is accessible  
11 to voters with physical disabilities; a clear and unambiguous notice to  
12 voters that if they cast a ballot during the early voting period they  
13 will not be allowed to vote election day; and if polling places for  
14 early voting are limited to voters from certain areas pursuant to subdi-  
15 vision three of this section, the location of the polling places for  
16 early voting serving the voters of each particular city, town or other  
17 political subdivision.

18 6. The form of paper ballots used in early voting shall comply with  
19 the provisions of article seven of this chapter that are applicable to  
20 voting by paper ballot on election day and such ballot shall be cast in  
21 the same manner as provided for in section 8-312 of this article,  
22 provided, however, that ballots cast during the early voting period  
23 shall be secured in the manner of voted ballots cast on election day and  
24 such ballots shall not be canvassed or examined until after the close of  
25 the polls on election day, and no unofficial tabulations of election  
26 results shall be printed or viewed in any manner until after the close  
27 of polls on election day.

1 7. Voters casting ballots pursuant to this title shall be subject to  
2 challenge as provided in sections 8-500, 8-502 and 8-504 of this arti-  
3 cle.

4 8. Notwithstanding any other provisions of this chapter, at the end of  
5 each day of early voting, any early voting ballots that have not been  
6 scanned because a ballot scanner was not available or because the ballot  
7 has been abandoned by the voter at the ballot scanner shall be cast in a  
8 manner consistent with section 9-110 of this chapter, except that any  
9 ballots that would otherwise be scanned at the close of the polls pursu-  
10 ant to such section shall be scanned at the close of each day's early  
11 voting.

12 9. The board of elections shall secure all ballots and scanners used  
13 for early voting from the beginning of the early voting period through  
14 the close of the polls of the election on election day. As soon as the  
15 polls of the election are closed on election day, and not before,  
16 inspectors or board of elections employees shall follow all relevant  
17 provisions of article nine of this chapter that are not inconsistent  
18 with this section, for canvassing, processing, recording, and announcing  
19 results of voting at polling places for early voting, and securing  
20 ballots, scanners, and other election materials.

21 § 8-602. State board of elections; powers and duties for early voting.  
22 Any rule or regulation necessary for the implementation of the  
23 provisions of this title shall be promulgated by the state board of  
24 elections provided that such rules and regulations shall include  
25 provisions to ensure that ballots cast early, by any method allowed  
26 under law, are counted and canvassed as if cast on election day. The  
27 state board of elections shall promulgate any other rules and regu-  
28 lations necessary to ensure an efficient and fair early voting process

1 that respects the privacy of the voter. Provided, further, that such  
2 rules and regulations shall require that the voting history record for  
3 each voter be continually updated to reflect each instance of early  
4 voting by such voter.

5 § 12. This act shall take effect on the first of January next succeed-  
6 ing the date on which it shall have become a law and shall apply to any  
7 election held 120 days or more after it shall have taken effect;  
8 provided, however that sections one, two, three and four of this act  
9 shall take effect on April 1, 2018.

10 § 2. Severability clause. If any clause, sentence, paragraph, subdivi-  
11 sion, section or part of this act shall be adjudged by any court of  
12 competent jurisdiction to be invalid, such judgment shall not affect,  
13 impair, or invalidate the remainder thereof, but shall be confined in  
14 its operation to the clause, sentence, paragraph, subdivision, section  
15 or part thereof directly involved in the controversy in which such judg-  
16 ment shall have been rendered. It is hereby declared to be the intent of  
17 the legislature that this act would have been enacted even if such  
18 invalid provisions had not been included herein.

19 § 3. This act shall take effect immediately provided, however, that  
20 the applicable effective date of Parts A through N of this act shall be  
21 as specifically set forth in the last section of such Parts.