

# **NEW YORK STATE**



## **FY 2014 ENACTED BUDGET FINANCIAL PLAN**

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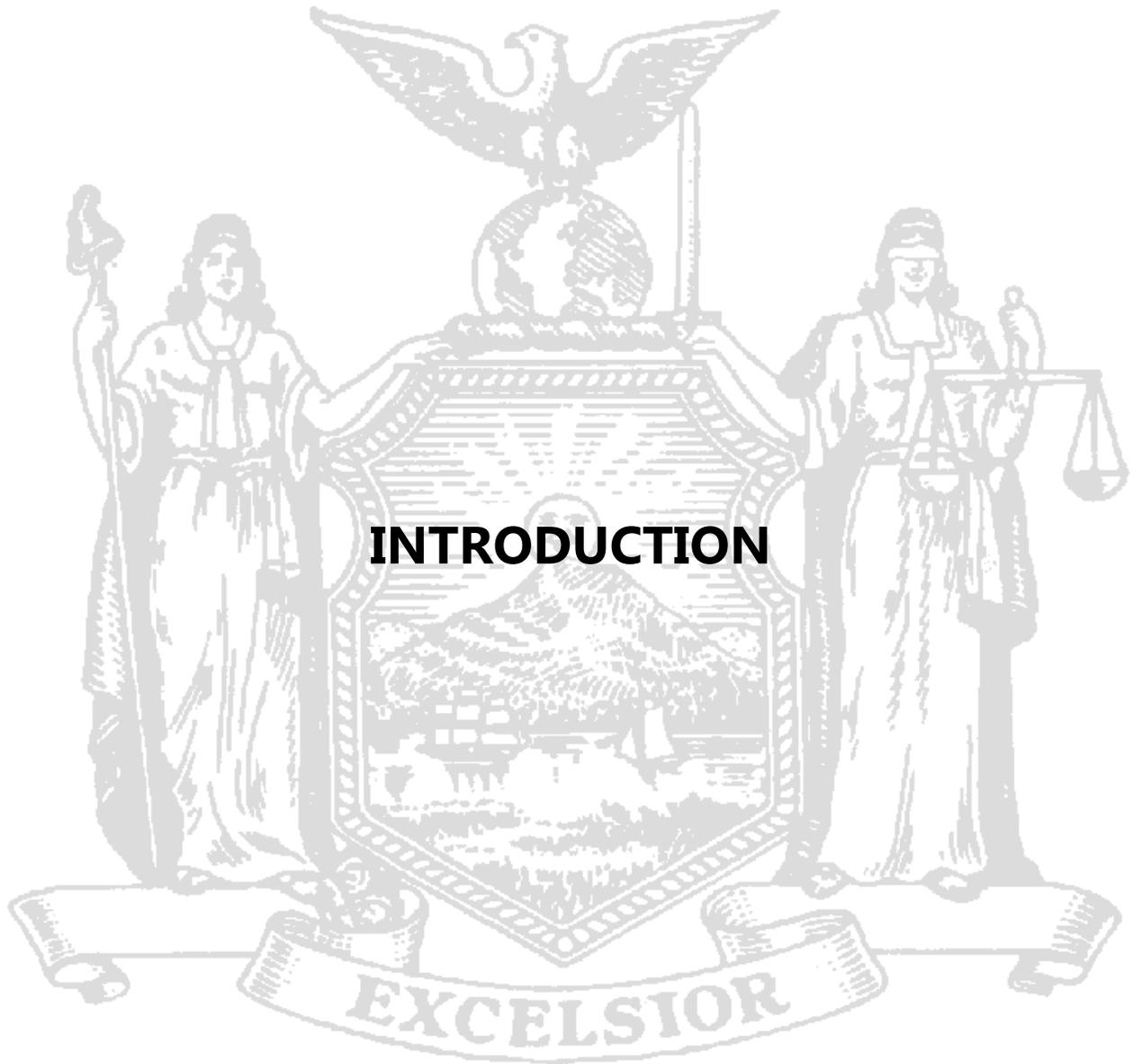
**MAY 2013**



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# **INTRODUCTION**



# INTRODUCTION

This Enacted Budget Financial Plan for FY 2014 (the “Enacted Budget Financial Plan” or “Current Financial Plan”) summarizes the State of New York’s official Financial Plan projections for FY 2014 through FY 2017. The State’s FY 2014 began on April 1, 2013 and ends on March 31, 2014.

The State’s General Fund — the fund that receives the majority of State taxes and all income not earmarked for a particular program or activity — is required to be balanced on a cash basis of accounting. The State Constitution and State Finance Law do not define budget balance. In practice, the General Fund is considered balanced on a cash basis of accounting if sufficient resources are expected to be available during the fiscal year for the State to (a) make all required payments, including PIT<sup>1</sup> refunds, without the issuance of deficit notes or bonds, and (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began.

The General Fund is the sole financing source for the STAR Fund. In addition, it is typically the financing source of last resort for the State’s other major funds, including HCRA funds, the DHBTF, and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required to be balanced, the focus of the State’s budget discussion is often weighted toward the General Fund. Unless specifically noted, all financial information is presented on the cash basis of accounting.

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables sort State projections and results by fund and category. The State also reports disbursements and receipts activity by two other broad measures: State Operating Funds, which includes the General Fund and funds specified for dedicated purposes, but excludes Federal Funds and Capital Projects Funds; and All Governmental Funds (“All Funds”), which includes State Operating Funds, Capital Projects Funds, and Federal Funds, and provides the most comprehensive view of the financial operations of the State.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; Capital Projects Funds, which account for costs incurred in the construction and rehabilitation of roads, bridges, prisons, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued directly by the State, or on its behalf by State public authorities.

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<sup>1</sup> Please see “Glossary of Acronyms” at the end of this document for the definitions of commonly used acronyms and abbreviations that appear in the text.

## INTRODUCTION

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The factors affecting the State's financial condition are complex. This Enacted Budget Financial Plan contains forecasts, projections, and estimates that are based on expectations and assumptions which existed at the time they were prepared. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Enacted Budget Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. Forecasts, projections and estimates are not intended as representations of fact or guarantees of results. The words “expects,” “forecasts,” “projects,” “intends,” “anticipates,” “estimates,” and analogous expressions are intended to identify forward-looking statements in the Enacted Budget Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; action by the Federal government to reduce or disallow expected aid; and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date they were prepared.



**FINANCIAL PLAN OVERVIEW**



# FINANCIAL PLAN OVERVIEW

## FINANCIAL PLAN AT-A-GLANCE

ENACTED BUDGET FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)						
	FY 2013			FY 2014		
	Mid-Year	Revised <sup>1</sup>	Results	Before Actions <sup>2</sup>	Executive Amended <sup>3</sup>	Enacted
<b>State Operating Funds Disbursements</b>						
Size of Budget	\$89,420	\$89,621	\$88,844	\$91,926	\$89,823	\$90,225
Annual Growth	2.6%	2.8%	1.9%	3.5%	1.10%	1.55%
<b>Other Disbursement Measures</b>						
General Fund (with Transfers)	\$59,382	\$59,375	\$58,960	\$61,684	\$60,888	\$61,157
	5.1%	5.1%	4.4%	4.6%	3.27%	3.73%
State Funds (Including Capital)	\$95,630	\$95,791	\$94,523	\$97,908	\$96,225	\$96,381
	2.6%	2.8%	1.4%	3.6%	1.80%	1.97%
Capital Budget (Federal and State)	\$8,061	\$8,025	\$7,539	\$7,834	\$8,242	\$7,996
	2.9%	2.4%	-3.8%	3.9%	9.32%	6.06%
Federal Operating Aid (Excluding Extraordinary Federal Aid)*	\$36,376	\$36,496	\$36,137	\$37,334	\$36,854	\$36,618
	-5.5%	-5.2%	-6.1%	3.3%	1.98%	1.33%
All Funds (Excluding Extraordinary Federal Aid)*	\$133,857	\$134,142	\$132,520	\$137,094	\$134,919	\$134,839
	0.3%	0.5%	-0.7%	3.5%	0.6%	1.75%
Capital Budget (Including "Off-Budget")	\$9,719	\$9,683	\$8,904	\$9,398	\$9,806	\$9,448
	5.3%	4.9%	-3.5%	5.5%	10.13%	6.11%
All Funds (Including "Off-Budget" Capital)*	\$135,515	\$135,800	\$133,885	\$138,658	\$136,483	\$136,291
	0.5%	0.7%	-0.7%	3.6%	0.5%	1.80%
<b>Inflation (CPI)</b>	1.3%	1.8%	1.8%	2.1%	2.1%	2.0%
<b>All Funds Receipts</b>						
Taxes	\$66,142	\$65,922	\$66,302	\$69,095	\$69,105	\$69,351
	2.9%	2.5%	3.1%	4.2%	4.23%	4.60%
Miscellaneous Receipts	\$24,708	\$24,985	\$24,036	\$23,233	\$23,889	\$23,621
	3.7%	4.8%	0.8%	-3.3%	-0.61%	-1.73%
Federal Grants*	\$42,502	\$42,631	\$42,262	\$43,217	\$41,806	\$41,733
	-4.7%	-4.4%	-5.3%	2.3%	-1.08%	-1.25%
Total Receipts*	\$133,353	\$133,538	\$132,600	\$135,545	\$134,800	\$134,705
	0.5%	0.6%	-0.1%	2.2%	1.66%	1.59%
<b>Budget Gaps</b>						
FY 2014				(\$1,352)	\$0	\$0
FY 2015				(\$3,979)	(\$2,093)	(\$2,014)
FY 2016				(\$5,201)	(\$3,563)	(\$2,856)
FY 2017				(\$5,663)	(\$4,161)	(\$2,920)
<b>General Fund Reserves</b>						
Stabilization/ Rainy Day Reserve Funds	\$1,306	\$1,306	\$1,306	\$1,306	\$1,306	\$1,306
All Other Reserves/Balances	\$168	\$168	\$304	\$85	\$335	\$403
Total	\$1,474	\$1,474	\$1,610	\$1,391	\$1,641	\$1,709
<b>State Workforce FTEs (Subject to Direct Executive Control)</b>	121,841	119,728	119,756	n/a	119,601	120,468
<b>Debt</b>						
Debt Service as % All Funds Receipts	5.0%	4.9%	5.0%	5.0%	4.5%	4.5%
State-Related Debt Outstanding	\$57,680	\$56,622	\$55,692	\$58,280	\$57,609	\$56,846
Debt Outstanding as % Personal Income	5.6%	5.5%	5.4%	5.5%	5.5%	5.4%

<sup>1</sup> Updated as part of the FY 2014 Executive Budget, as amended.

<sup>2</sup> Before proposals/actions to eliminate the projected budget gap. The annual percentage change calculations in the FY 2014 "Before Actions" column have been updated for FY 2013 year-end results.

<sup>3</sup> The annual percentage change calculations in the FY 2014 "Executive Amended" column have been updated for FY 2013 year-end results.

\* All Funds and Federal Operating receipts and disbursements exclude Federal disaster aid for Superstorm Sandy, which has been estimated at \$577 million in FY 2013 and \$5.1 billion in FY 2014, and additional Federal aid under the Affordable Care Act, which is estimated at approximately \$600 million in FY 2014.

# FINANCIAL PLAN OVERVIEW

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## SUMMARY

### FISCAL YEAR 2013 (ENDED MARCH 31, 2013)

The State ended FY 2013 in balance on a cash basis in the General Fund, based on preliminary, unaudited results. General Fund receipts, including transfers from other funds, totaled \$58.78 billion. General Fund disbursements, including transfers to other funds, totaled \$58.96 billion. The General Fund ended FY 2013 with a balance of \$1.6 billion, a decrease of \$177 million from FY 2012 results. The annual decline in the General Fund balance reflects the planned use of reserves for (a) the costs of labor settlements reached in FY 2013 that covered prior contract periods, and (b) disbursements from Community Projects Fund reappropriations, offset by an increase in undesignated fund balance.

General Fund receipts, including transfers from other funds, were \$281 million lower than the prior update to the Financial Plan.<sup>2</sup> Tax receipts<sup>3</sup> exceeded planned levels by \$251 million, with stronger than anticipated collections for business taxes (\$214 million) and personal income taxes (\$82 million) offset in part by lower receipts from sales and use taxes (\$15 million) and other taxes (\$30 million). Miscellaneous receipts and non-tax transfers were \$532 million below planned levels. This was due in part to the timing of transfers from other funds to the General Fund, and to lower than expected miscellaneous receipts collections for certain fines and fees. All planned tax refunds were made according to schedule.

General Fund disbursements, including transfers to other funds, were \$417 million lower than estimated in the prior update. Disbursements for disaster assistance were approximately \$100 million below estimated levels in FY 2013. These costs are now expected to be charged to the General Fund in FY 2014. In addition, disbursements fell below planned levels in a number of areas, including, but not limited to, Mental Hygiene (\$98 million), OCFS (\$57 million), and the Judiciary (\$36 million). The last public plan assumed that the State would prepay in FY 2013 certain debt service due in FY 2014. These prepayments, which totaled approximately \$203 million, were made as planned.

The General Fund closing balance of \$1.61 billion was \$136 million higher than estimated in the last public plan. The Financial Plan assumes that the undesignated balance of \$100 million at the close of FY 2013 will be used in FY 2014 to cover the timing of certain costs related to disaster assistance that were budgeted in FY 2013, but are now expected to be charged to the General Fund in FY 2014. See “Fiscal Year 2013 Preliminary Year-End Results” herein for more information.

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<sup>2</sup> “FY 2014 Executive Budget Financial Plan Updated for Governor’s Amendments,” dated February 25, 2013.

<sup>3</sup> Estimated General Fund tax receipts are affected by changes in the estimated level of debt service needed for PIT Revenue Bonds, Local Government Assistance Corporation and Sales Tax Revenue Bonds, and Clean Air/Clean Water bonds, and by disbursements for the STAR program. The discussion of the variance in tax receipts excludes the impact of debt service and STAR changes, since they are unrelated to tax liability.

## **FISCAL YEAR 2014 (ENDING MARCH 31, 2014)**

### **BUDGET GAPS BEFORE BUDGET ADOPTION (“BASE” OR “CURRENT SERVICES” GAPS)**

In developing the Executive Budget proposal for FY 2014, DOB estimated that the State faced a projected budget gap of \$1.35 billion for FY 2014. The budget gaps in future years were projected at \$4.0 billion in FY 2015, \$5.2 billion in FY 2016, and \$5.7 billion in FY 2017.<sup>4</sup> Budget gaps represent the difference between (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain anticipated service levels and specific commitments, and (b) the expected level of resources to pay for them.<sup>5</sup> The gap estimates are based on a number of assumptions and projections developed by the DOB in consultation with other State agencies.

### **EXECUTIVE BUDGET PROPOSAL**

The Governor submitted his Executive Budget proposal for FY 2014 on January 22, 2013, and amendments through February 21, 2013, as permitted by law. On February 25, 2013, the DOB issued the Executive Budget Financial Plan, as amended, which included projections for FYs 2013 through 2017 that reflected the estimated impact of the Governor's Executive Budget proposal.

The Governor's Executive Budget proposed measures (the “gap-closing plan”) to eliminate the General Fund budget gap of \$1.35 billion in FY 2014, and to reduce the future projected budget gaps to \$2.1 billion in FY 2015, \$3.6 billion in FY 2016, and \$4.2 billion in FY 2017.

### **ENACTED BUDGET FOR FISCAL YEAR 2014**

On March 28, 2013, the Legislature completed final action on the budget for FY 2014. Consistent with past practice, the Legislature enacted the annual debt service appropriations without amendment in advance of the other appropriations (the debt service appropriations were passed on March 20, 2013). On April 10, 2013, the Governor completed his review of all budget bills, including the veto of certain line-item appropriations, none of which had a material impact on the Financial Plan.

DOB estimates that the Enacted Budget for FY 2014 is balanced in the General Fund on a cash basis of accounting. The General Fund budget gaps for future years are now projected at approximately \$2.0 billion in FY 2015 and \$2.9 billion in both FY 2016 and FY 2017. The following table summarizes the multi-year impact of the Enacted Budget gap-closing plan.

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<sup>4</sup> All forecast revisions made after the release of the initial Executive Budget Financial Plan in January 2013 are reflected as part of the FY 2014 gap-closing plan.

<sup>5</sup> Typically referred to as the “current services” or “base” gaps.

# FINANCIAL PLAN OVERVIEW

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS SUMMARY OF ENACTED BUDGET GAP-CLOSING PLAN (millions of dollars)				
	FY 2014	FY 2015	FY 2016	FY 2017
<b>CURRENT SERVICES GAP ESTIMATES*</b>	<b>(1,352)</b>	<b>(3,979)</b>	<b>(5,201)</b>	<b>(5,663)</b>
<b>SPENDING CONTROL</b>	<b>1,351</b>	<b>838</b>	<b>730</b>	<b>931</b>
Agency Operations	325	313	317	313
Local Assistance	676	442	445	594
Debt Management	350	83	(32)	24
<b>INITIATIVES/INVESTMENTS</b>	<b>(699)</b>	<b>(757)</b>	<b>(886)</b>	<b>(1,122)</b>
Joint Legislative Additions**	(376)	(440)	(522)	(553)
Executive Budget Investments	(178)	(159)	(98)	(98)
Thruway Authority	(84)	(86)	(87)	(89)
Capital Commitment Plan	(5)	(25)	(87)	(277)
All Other	(56)	(47)	(92)	(105)
<b>FEDERAL REVENUE REDUCTION PLAN (NET IMPACT)</b>	<b>0</b>	<b>(65)</b>	<b>(43)</b>	<b>282</b>
<b>REVENUES/EXTENDERS</b>	<b>389</b>	<b>723</b>	<b>2,080</b>	<b>2,139</b>
18-A Utility Assessment	255	472	396	358
Limit on High Income Charitable Contribution	70	140	140	70
PIT Extension	0	500	1,993	2,445
Middle Class Family Tax Credit	0	(410)	(410)	(410)
Extend and Reform Film Credit	0	0	0	(165)
Job Growth Package	0	(65)	(115)	(196)
All Other	64	86	76	37
<b>OTHER CHANGES</b>	<b>311</b>	<b>1,226</b>	<b>464</b>	<b>513</b>
Workers' Compensation Reform/SIF Reserve Release	250	1,000	250	250
Debt Reduction Set-Aside	(250)	0	0	0
Receipts Forecast Revisions (since Jan. 2013)	130	242	226	270
Undesignated Fund Balance (Timing for Disaster Assistance)	100	0	0	0
All Other	81	(16)	(12)	(7)
<b>ENACTED BUDGET SURPLUS/(GAPS)</b>	<b>0</b>	<b>(2,014)</b>	<b>(2,856)</b>	<b>(2,920)</b>

\* All forecast revisions made since the release of the original Executive Budget proposal in January 2013 are accounted for in the appropriate categories of the gap-closing plan (e.g., spending reestimates in the category entitled "Spending Control").

\*\* Restorations to Executive proposals are reflected in the "Spending Control" and "Revenues/Extenders" categories.

### HIGHLIGHTS

The Enacted Budget gap-closing plan provides recurring savings over the Financial Plan period, reducing the budget gaps by \$2.0 billion in FY 2015, \$2.3 billion in FY 2016, and \$2.8 billion in FY 2017. The FY 2015 budget gap equals approximately 3.2 percent of projected General Fund receipts for FY 2015. In total, the combined budget gap for FY 2014 through FY 2017 is approximately \$7.8 billion. By comparison, the budget gap closed in FY 2012 alone was estimated at \$10 billion.

- The Enacted Budget gap-closing plan for FY 2014 includes \$1.4 billion in savings by controlling State spending. State Operating Funds spending in FY 2014 is expected to increase by 1.6 percent, to \$90.2 billion.
- Ongoing State agency redesign and cost-control efforts account for an estimated \$325 million of the General Fund gap-closing savings from spending control. These efforts include reductions in State agency operations through closures and consolidations of facilities to reduce excess capacity; strict controls on attrition and hiring; enterprise-wide consolidation of procurement, information technology, and workforce management functions; and a range of operational measures to improve efficiency. Funding for State agency operations, which covers personal service, non-personal service, and fringe benefit expenses, is estimated to total \$24.9 billion in 2014. By comparison, when the Governor took office in January 2011, State Operating Funds disbursements for State agencies was expected to total \$26.6 billion by FY 2014, or \$1.7 billion higher than the FY 2014 Enacted Budget.
- General Fund gap-closing actions in the category of local assistance are expected to total \$676 million.<sup>6</sup> Savings are expected from, among other things, the elimination of FY 2014 automatic “cost of living” increases, and trend factors for all health and human service providers; ongoing programmatic and financial reviews; program consolidations; and other measures. State Operating Funds disbursements in the category of local assistance are projected to total \$59.6 billion in FY 2014, an annual increase of 1.7 percent. By comparison, when the Governor took office, spending for local assistance was expected to total \$74.9 billion by FY 2014, or over \$15.3 billion higher than the FY 2014 Enacted Budget.
- On a school year basis, School Aid is expected to increase by \$992 million (4.9 percent), plus an additional \$75 million of related *New NY Education Reform Initiatives*. The Enacted Budget also funds Medicaid at the long-term average growth in the medical component of the CPI and funds future School Aid increases at levels based on growth in NYS personal income, continuing reforms made in 2011. School Aid and Medicaid, the State’s two largest local assistance programs, together total almost \$37 billion and represent over 40 percent of total State Operating Funds spending.

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<sup>6</sup> Local assistance includes payments for School Aid, Medicaid, public assistance, child welfare, and a range of other purposes.

## FINANCIAL PLAN OVERVIEW

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- The Enacted Budget extends and modifies a number of expiring laws that affect the Financial Plan. Extenders have been authorized for, among other things, existing personal income tax brackets and rates, existing limits on charitable deductions for taxpayers with incomes above \$10 million; the 18-a utility assessment, with a phase-out planned over the plan period; the Medicaid nursing home provider assessment; the waste tire fee; the Historic Commercial and Residential Rehabilitation Properties credits; and the New York Film Production credit. Extenders alone are expected to provide a Financial Plan benefit of \$337 million in FY 2014, and a larger benefit in future years.
- Tax reductions in the Enacted Budget include a refundable \$350 credit in each of tax years 2014-2016 to taxpayers with dependents under the age of 17, zero or positive tax liability, and income between \$40,000 and \$300,000; a refundable tax credit for tax years 2014-2018 for a portion of the minimum wage paid to students age 16-19; a phased in manufacturing tax rate reduction of 9.2 percent in tax year 2014, 12.3 percent in 2015, 15.4 percent in 2016 and 2017, and 25 percent in tax year 2018; a refundable tax credit for hiring veterans; and a refundable tax credit capped at \$6 million per year for tax years 2014 through 2017 for hiring unemployed, low-income, or at-risk youth in qualifying areas.
- Legislation approved with the Enacted Budget reforms the State's complex and inefficient Workers' Compensation System. As a by-product of the reform legislation, SIF, a State agency that provides Workers' Compensation insurance, will release reserves totaling approximately \$2 billion that are no longer required to fund future liabilities. The Enacted Budget authorizes using the released reserves over a period of four years, including \$250 million for debt management in FY 2014 and \$1 billion for gap-closing purposes in FY 2015.
- The Enacted Budget holds annual spending growth in State Operating Funds to 1.6 percent. All Funds spending, which includes spending from capital funds and Federal funds, is expected to increase by 1.75 percent from 2013 results, excluding extraordinary Federal aid related to Superstorm Sandy and the implementation of the ACA.
- DOB estimates the State will end FY 2014 with a General Fund balance of \$1.7 billion, including \$250 million, financed from the SIF reserve release, that is set aside for debt management. (See "Projected Closing Balances" herein.)

During negotiations, the Executive and Legislature agreed to approximately \$553 million in gross spending restorations and additions to the Executive Budget proposal. Restorations, which are costs from the rejection of certain savings proposals contained in the Executive Budget, totaled approximately \$177 million. The largest restorations were in the areas of mental hygiene, health care, and education. Additions, which represent distinct new spending added to the Executive Budget proposal by the Legislature, totaled approximately \$376 million. The most significant additions were for School Aid and other education programs. The Executive and Legislature also reached agreement on the reprogramming of certain spending initiatives proposed in the Executive Budget.

## FINANCIAL PLAN OVERVIEW

Resources have been identified to finance the restorations and additions, and to provide for a balanced budget in FY 2014. These include forecast revisions to receipts and disbursements, based on updated economic data, receipts collections, and year-end operating results for FY 2013. In addition, \$500 million from the SIF reserve release related to Workers' Compensation reform has been redirected from PAYGO capital in FY 2014, as had been proposed in the Executive Budget, to reducing the budget gap in FY 2015.

### NEGOTIATED CHANGES TO EXECUTIVE BUDGET

Negotiations on the FY 2014 budget resulted in a number of actions that affect receipts in the future years of the Financial Plan. These include a phase-out of the 18-a utility assessment, tax reductions for businesses and middle-income families, and the extension of all existing PIT rates and indexing provisions through calendar year 2017. The table below summarizes all the changes to the Executive Budget, as amended.

<b>CHANGES TO THE EXECUTIVE BUDGET FINANCIAL PLAN</b>				
<b>GENERAL FUND</b>				
(millions of dollars)				
	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>
<b>EXECUTIVE BUDGET SURPLUS/(GAPS)</b>	<b>0</b>	<b>(2,093)</b>	<b>(3,563)</b>	<b>(4,161)</b>
<b>Restorations/Additions</b>	<b>(553)</b>	<b>(925)</b>	<b>(1,011)</b>	<b>(1,052)</b>
School Aid*	(186)	(610)	(689)	(715)
Other Education Aid/Special Education	(86)	(36)	(25)	(25)
Higher Education	(39)	(48)	(48)	(48)
Mental Hygiene	(54)	(77)	(78)	(78)
Health*	(67)	(52)	(55)	(49)
Human Services/Labor	(45)	(39)	(44)	(58)
Housing/Agriculture/Transportation/Environment	(14)	(17)	(24)	(30)
General Government/Local Government	(7)	(12)	(13)	(15)
Economic Development	(10)	(4)	(4)	(4)
Public Protection/Criminal Justice/Judiciary	(14)	(5)	(5)	(5)
Other	(31)	(25)	(26)	(25)
<b>Tax Reductions/Revenue Extenders</b>	<b>0</b>	<b>(12)</b>	<b>1,355</b>	<b>1,688</b>
PIT Extension	0	500	1,993	2,445
Middle-Class Family Tax Credit	0	(410)	(410)	(410)
Job Growth	0	(65)	(115)	(196)
18-A Utility Package Assessment	0	(37)	(113)	(151)
<b>Available Resources</b>	<b>553</b>	<b>1,046</b>	<b>393</b>	<b>635</b>
Consensus Revenue (Forecast Revision)	200	200	200	200
Other Forecast Revisions	139	270	126	378
Undesignated Fund Balance (Timing for Disaster Assistance)	100	0	0	0
Fund Sweeps	50	50	50	50
Workers' Compensation Reform/SIF Reserve Release	0	500	0	0
Other	64	26	17	7
<b>Federal Revenue Reduction Plan</b>	<b>0</b>	<b>(30)</b>	<b>(30)</b>	<b>(30)</b>
<b>ENACTED BUDGET SURPLUS/(GAPS)</b>	<b><u>0</u></b>	<b><u>(2,014)</u></b>	<b><u>(2,856)</u></b>	<b><u>(2,920)</u></b>

\* Does not include Executive Budget spending proposals that were reprogrammed by the Legislature.

## FINANCIAL PLAN OVERVIEW

### PROJECTED CLOSING BALANCES

The General Fund ended FY 2013 with a balance of \$1.6 billion, a decrease of \$177 million from FY 2012 results. The annual decline in the General Fund balance reflects the planned use of reserves for (a) the costs of labor settlements reached in FY 2013 that covered prior contract periods, and (b) disbursements from Community Projects Fund reappropriations, offset by an increase in undesignated fund balance.

DOB estimates the State will end FY 2014 with a General Fund balance of \$1.7 billion, based on Enacted Budget projections. The balance is expected to increase by \$99 million from estimated FY 2013 levels, as described below. The table summarizes the annual changes in balances within the General Fund.

GENERAL FUND CLOSING BALANCES (millions of dollars)					
	FY 2012 Results	Annual Change	FY 2013 Results	Annual Change	FY 2014 Enacted*
<b>GENERAL FUND BALANCE</b>	<b>1,787</b>	<b>(177)</b>	<b>1,610</b>	<b>99</b>	<b>1,709</b>
<b>Statutory Reserves:</b>					
Tax Stabilization Reserve Fund	1,131	0	1,131	0	1,131
Rainy Day Reserve Fund	175	0	175	0	175
Contingency Reserve Fund	21	0	21	0	21
Community Projects Fund	102	(9)	93	(25)	68
<b>Reserved for:</b>					
Prior-Year Labor Agreements (2007-2011)	283	(206)	77	(26)	51
Debt Reduction	13	0	13	250	263
Undesignated Fund Balance	62	38	100	(100)	0

\*Estimated

Balances in the State's principal "rainy day" reserve funds, the Tax Stabilization Reserve Fund and the Rainy Day Reserve Fund, are expected to remain unchanged in FY 2014. The combined balance of the two funds is equal to approximately 2.1 percent of estimated General Fund disbursements in FY 2014. The Community Projects Fund, which finances discretionary grants allocated by the Legislature and Governor, is expected to decrease by \$25 million in FY 2014, reflecting disbursements from existing reappropriations.

The Financial Plan continues to reserve money in the General Fund balance to cover the costs of potential retroactive labor settlements with unions that have not agreed to terms for prior contract periods. The reserve is calculated based on the pattern settlement for the FY 2008 through FY 2011 period that was agreed to by the State's largest unions. In FY 2014, DOB estimates the reserve will be reduced by \$26 million to fund the FY 2014 costs of the labor settlement reached with NYSCOPBA in FY 2013 for the prior contract period. The remaining reserve will be reduced as labor agreements for prior periods are reached with other unions.

## FINANCIAL PLAN OVERVIEW

The Enacted Budget Financial Plan reserves \$263 million for debt management purposes in FY 2014, an increase of \$250 million from FY 2013 results. The increase in the amount reserved for debt management purposes is expected to be financed with a portion of the SIF reserve release.

The Financial Plan assumes that the undesignated balance of \$100 million at the close of FY 2013 will be used in FY 2014 to cover the timing of certain costs related to disaster assistance that were expected in FY 2013, but are now expected to be charged to the General Fund in FY 2014.

### ANNUAL SPENDING GROWTH

TOTAL DISBURSEMENTS (millions of dollars)							
	FY 2013 Results	FY 2014 Current Services	Before Actions		FY 2014 Enacted	After Actions	
			Annual \$ Change	Annual % Change		Annual \$ Change	Annual % Change
<b>State Operating Funds</b>	<b>88,844</b>	<b>91,926</b>	<b>3,082</b>	<b>3.5%</b>	<b>90,225</b>	<b>1,381</b>	<b>1.6%</b>
General Fund (excluding other transfers)	52,166	53,878	1,712	3.3%	52,775	609	1.2%
Other State Funds	30,496	31,788	1,292	4.2%	31,667	1,171	3.8%
Debt Service Funds	6,182	6,260	78	1.3%	5,783	(399)	-6.5%
<b>All Governmental Funds</b>	<b>132,520</b>	<b>137,094</b>	<b>4,574</b>	<b>3.5%</b>	<b>134,839</b>	<b>2,319</b>	<b>1.7%</b>
State Operating Funds	88,844	91,926	3,082	3.5%	90,225	1,381	1.6%
Capital Projects Funds	7,539	7,834	295	3.9%	7,996	457	6.1%
Federal Operating Funds	36,137	37,334	1,197	3.3%	36,618	481	1.3%
<b>All Governmental Funds (Including Extraordinary Federal Aid)</b>	<b>133,097</b>	<b>143,236</b>	<b>10,139</b>	<b>7.6%</b>	<b>140,539</b>	<b>7,442</b>	<b>5.6%</b>
Federal Disaster Aid for Superstorm Sandy	577	5,140	4,563	790.8%	5,100	4,523	783.9%
Federal Affordable Care Act	0	1,002	1,002	N/A	600	600	N/A
<b>General Fund (including transfers)</b>	<b>58,960</b>	<b>61,684</b>	<b>2,724</b>	<b>4.6%</b>	<b>61,157</b>	<b>2,197</b>	<b>3.7%</b>
<b>State Funds</b>	<b>94,523</b>	<b>97,908</b>	<b>3,385</b>	<b>3.6%</b>	<b>96,381</b>	<b>1,858</b>	<b>2.0%</b>

DOB estimates that State Operating Funds spending will total \$90.2 billion in FY 2014, an increase of \$1.4 billion (1.6 percent) from FY 2013 results. All Governmental Funds spending (excluding extraordinary Federal aid for Superstorm Sandy disaster assistance and the Affordable Care Act), which includes capital projects and Federal operating funds, would total \$134.8 billion, an increase of \$2.3 billion (1.75 percent) from FY 2013 results.

# FINANCIAL PLAN OVERVIEW

The following table summarizes the major sources of annual change in State spending by major program, purpose, and fund perspective.

STATE SPENDING: YEAR-OVER-YEAR (millions of dollars)				
	FY 2013 Results	FY 2014 Enacted	Annual Change After Actions	
			\$	%
<b>Local Assistance</b>	<b>58,578</b>	<b>59,564</b>	<b>986</b>	<b>1.7%</b>
School Aid	20,163	20,471	308	1.5%
DOH Medicaid (Incl Operational Costs) <sup>1</sup>	15,900	16,421	521	3.3%
Transportation	4,303	4,745	442	10.3%
Mental Hygiene	3,602	2,833	(769)	-21.3%
STAR	3,286	3,419	133	4.0%
Social Services	3,031	2,996	(35)	-1.2%
Higher Education	2,629	2,825	196	7.5%
Public Health/Aging	2,040	2,222	182	8.9%
Special/Other Education	1,927	2,032	105	5.4%
Local Government Assistance	754	764	10	1.3%
All Other <sup>2</sup>	943	836	(107)	-11.3%
<b>State Operations/Fringe Benefits</b>	<b>24,120</b>	<b>24,913</b>	<b>793</b>	<b>3.3%</b>
<b>State Operations</b>	<b>17,683</b>	<b>17,824</b>	<b>141</b>	<b>0.8%</b>
Personal Service:	12,403	12,357	(46)	-0.4%
Executive Agencies	7,112	7,001	(111)	-1.6%
University System	3,468	3,500	32	0.9%
Elected Officials	1,823	1,856	33	1.8%
Non-Personal Service:	5,280	5,467	187	3.5%
Executive Agencies	2,707	2,753	46	1.7%
University System	2,083	2,169	86	4.1%
Elected Officials	490	545	55	11.2%
<b>Fringe Benefits/Fixed Costs</b>	<b>6,437</b>	<b>7,089</b>	<b>652</b>	<b>10.1%</b>
Pension Contribution	1,601	2,013	412	25.7%
Employee Health Insurance	3,129	3,315	186	5.9%
Other Fringe Benefits/Fixed Costs	1,707	1,761	54	3.2%
<b>Debt Service</b>	<b>6,138</b>	<b>5,743</b>	<b>(395)</b>	<b>-6.4%</b>
<b>Capital Projects</b>	<b>8</b>	<b>5</b>	<b>(3)</b>	<b>-37.5%</b>
<b>TOTAL STATE OPERATING FUNDS</b>	<b>88,844</b>	<b>90,225</b>	<b>1,381</b>	<b>1.6%</b>
<b>Capital Projects (State Funds)</b>	<b>5,679</b>	<b>6,156</b>	<b>477</b>	<b>8.4%</b>
<b>TOTAL STATE FUNDS</b>	<b>94,523</b>	<b>96,381</b>	<b>1,858</b>	<b>2.0%</b>
<b>Federal Aid (Including Capital Grants) <sup>3</sup></b>	<b>37,997</b>	<b>38,458</b>	<b>461</b>	<b>1.2%</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS <sup>4</sup></b>	<b>132,520</b>	<b>134,839</b>	<b>2,319</b>	<b>1.7%</b>

<sup>1</sup> Department of Health Medicaid spending only (excludes other State agency spending and transfers). For display purposes, includes Medicaid operational spending that supports contracts related to the management of Medicaid and the costs of administrative takeover.

<sup>2</sup> "All Other" includes an adjustment for Medicaid operational costs to avoid distorting Financial Plan category totals, as well as local aid spending in a number of other programs, including education, parks and the environment, economic development, public safety, and disaster assistance.

<sup>3,4</sup> All Funds and Federal Operating Aid spending excludes Federal disaster aid (Sandy) estimated at \$577 million in FY 2013 and \$5.1 billion in FY 2014, and additional Federal aid under the Affordable Care Act estimated at \$600 million in FY 2014.

Local assistance spending in FY 2014 is expected to increase by \$986 million, or 1.7 percent, over FY 2013 results. On a school year basis, School Aid is expected to increase by 4.9 percent in FY 2014, reflecting the impact of legislative additions to the FY 2014 budget. School Aid in the future years of the Financial Plan is assumed to increase at levels based on the growth in NYS personal income, based on current law. State-funded DOH Medicaid spending increases by 3.9 percent (not shown on table), excluding the impact of the State's takeover of Medicaid administration, consistent with caps enacted in FY 2013. In addition, the ACA continues to provide additional Federal resources to finance Medicaid spending. Transportation spending growth is the result of increased dedicated tax receipts and a State subsidy that are passed on to the MTA. Growth in other local assistance includes actions that temporarily reduced special education spending in FY 2013, as well as increases across several programs and activities. In addition, results in FY 2013 fell below planned levels in many areas, which, absent other changes, has the effect of increasing the annual growth rate in FY 2014 in those areas.

Agency spending on personal and non-personal service is expected to remain nearly flat on an annual basis. This reflects ongoing efforts to redesign State agency operations initiated in FY 2013. Spending on fringe benefits is projected to increase by \$652 million. This includes an increase of \$412 million in the State's annual pension contribution, including repayment of amounts amortized in prior years. The Financial Plan assumes the State will continue to amortize a percentage of its annual pension costs, consistent with legislation approved in 2010.

Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in special revenue funds have been adjusted downward based on typical spending patterns and the observed variance over time between estimated and actual results.

# FINANCIAL PLAN OVERVIEW

## EXPLANATION OF FY 2014 ENACTED BUDGET GAP-CLOSING PLAN

The table below provides a more detailed itemization of the Enacted Budget gap-closing plan.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS SUMMARY OF ENACTED BUDGET GAP-CLOSING PLAN (millions of dollars)				
	FY 2014	FY 2015	FY 2016	FY 2017
<b>CURRENT SERVICES GAP ESTIMATES (BEFORE ACTIONS)*</b>	<b>(1,352)</b>	<b>(3,979)</b>	<b>(5,201)</b>	<b>(5,663)</b>
<b>SPENDING CONTROL</b>	<b>1,351</b>	<b>838</b>	<b>730</b>	<b>931</b>
<b>Agency Operations</b>	<b>325</b>	<b>313</b>	<b>317</b>	<b>313</b>
Executive Agencies	95	158	165	177
Independent Officials/University System	62	29	28	14
Fringe Benefits/Fixed Costs	168	126	124	122
<b>Local Assistance</b>	<b>676</b>	<b>442</b>	<b>445</b>	<b>594</b>
Health Care	110	98	92	114
Social Services/Housing	92	203	182	196
Human Services COLA/Trends (All Agencies)	71	85	88	95
Education	429	20	79	218
<i>School Aid</i>	402	7	51	190
<i>All Other</i>	27	13	28	28
All Other Local Assistance	(26)	36	4	(29)
<b>Debt Management</b>	<b>350</b>	<b>83</b>	<b>(32)</b>	<b>24</b>
<b>INITIATIVES/INVESTMENTS</b>	<b>(699)</b>	<b>(757)</b>	<b>(886)</b>	<b>(1,122)</b>
Joint Legislative Additions **	(376)	(440)	(522)	(553)
Executive Budget Investments	(178)	(159)	(98)	(98)
Thruway Authority	(84)	(86)	(87)	(89)
Capital Commitment Plan (Debt Service Impact)	(5)	(25)	(87)	(277)
All Other	(56)	(47)	(92)	(105)
<b>FEDERAL REVENUE REDUCTION PLAN</b>	<b>0</b>	<b>(65)</b>	<b>(43)</b>	<b>282</b>
OPWDD Federal Rate Change***	(1,100)	(1,000)	(650)	(325)
State Savings Plan	500	480	272	272
Federal Resources	600	455	335	335
<b>REVENUES/EXTENDERS</b>	<b>389</b>	<b>723</b>	<b>2,080</b>	<b>2,139</b>
18-A Utility Assessment	255	472	396	358
Limit on High-Income Charitable Contributions	70	140	140	70
Wage Garnishment/Driver License Suspension Program	35	15	5	5
Tax Modernization	6	22	22	17
Middle-Class Family Tax Credit	0	(410)	(410)	(410)
Job Growth Package	0	(65)	(115)	(196)
PIT Extension	0	500	1,993	2,445
Film Credit	0	0	0	(165)
Historic Properties Rehabilitation Credit	0	0	0	(42)
All Other	23	49	49	57
<b>OTHER CHANGES</b>	<b>311</b>	<b>1,226</b>	<b>464</b>	<b>513</b>
Workers' Compensation Reform/SIF Reserve Release	250	1,000	250	250
Debt Reduction Set-Aside	(250)	0	0	0
Tax Receipt Changes (Since Jan. 2013)	130	242	226	270
Undesignated Fund Balance (Timing for Disaster Assistance)	100	0	0	0
All Other	81	(16)	(12)	(7)
<b>ENACTED BUDGET SURPLUS/(GAP) ESTIMATES</b>	<b>0</b>	<b>(2,014)</b>	<b>(2,856)</b>	<b>(2,920)</b>

\* All forecast revisions made since the release of the original Executive Budget proposal in January 2013 are accounted for in the appropriate categories of the gap-closing plan (e.g., spending reestimates in the category entitled "Spending Control").

\*\* Restorations to Executive proposals are reflected in the "Spending Control" and "Revenues/Extenders" categories.

\*\*\* The current services gap estimates had assumed the phase-down of Federal rates for State-Operated disability services beginning in FY 2016.

The sections below provide details on the actions and reestimates in each category. For more information on the Budget activity for major programs and activities, see the section entitled “Multi-Year Financial Plan Projections — Disbursements” herein. For more information on the tax law changes and forecast revisions, see the section entitled “Multi-Year Financial Plan Projections — Receipts” herein.

### SPENDING CONTROL

Before the spending controls and management actions proposed in the Executive Budget, State Operating Funds spending was projected to total \$91.9 billion, an increase of \$3.1 billion, or 3.5 percent, over FY 2013 results. The Enacted Budget reduces State Operating Funds spending to an estimated \$90.2 billion in FY 2014, an annual increase of 1.6 percent over FY 2013 results.

### AGENCY OPERATIONS

Agency Operations include salaries, wages, fringe benefits, and non-personal service costs (i.e., supplies, utilities). State Operating Funds spending for agency operations is estimated at \$24.9 billion in FY 2014. Reductions from the FY 2014 current-services forecast for agency operating costs contribute \$325 million to the General Fund gap-closing plan.

- **Executive Agencies:** Continued workforce management, annualization of savings from closures of facilities, elimination of excess capacity, and efforts to right-size State government are expected to result in lower personal service and fringe benefits costs. The size of the Executive State workforce is projected to remain relatively constant at approximately 120,468 FTEs. Additional savings are expected through operational efficiencies as agencies continue to redesign operations to improve service delivery, reduce costs, and eliminate duplicative functions.
- **Independent Officials/University Systems:** The budgets for the Legislature, Judiciary, State Comptroller, and the Department of Law do not increase in FY 2014 (compared to the levels estimated at the time of the FY 2013 Executive Budget).
- **Fringe Benefits/Fixed Costs:** Savings for employee/retiree health care have been achieved through a lower than anticipated 2013 rate renewal increase for the Empire Plan. In addition, savings are expected by making monthly payments (rather than a single payment in March 2014) to the State’s pension system to realize annual interest savings. The earlier payments during the year are expected to be possible due to the State’s improved liquidity position.

## FINANCIAL PLAN OVERVIEW

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### LOCAL ASSISTANCE

Local assistance spending includes financial aid to local governments and non-profit organizations, as well as entitlement payments to individuals. State Operating Funds spending for local assistance is estimated at \$59.6 billion in FY 2014, an increase of \$986 million (1.7 percent) from FY 2013. Reductions from the FY 2014 current-services forecast for local assistance include both targeted actions and additional savings from the continuation of prior-year cost containment actions, which together contribute \$676 million to the General Fund gap-closing plan. The most significant gap-closing actions in local assistance include:

- **Healthcare:** The Enacted Budget reduces disbursements for various public health programs by 5 percent, transfers funding for other public health programs between HCRA and the General Fund, reduces costs of the Excess Medical Malpractice program, and improves program administration. In addition, savings are realized from re-estimates to FY 2014 disbursement levels.
- **Social Services/Housing:** The Enacted Budget authorizes the use of supplemental Federal TANF funding for child care, which will provide General Fund savings in FY 2014. In addition, resources from SONYMA's excess MIF reserves will be used to support the Neighborhood and Rural Preservation Programs and the Rural Rental Assistance program in FY 2014 and FY 2015.
- **Human Services Cost-of-Living Increases/Trends:** The Enacted Budget eliminates the automatic 1.4 percent human services “cost-of-living” increase for FY 2014, and maintains existing rates for mental hygiene programs, including various residential and day programs for individuals with developmental disabilities, and other health and human services programs. In addition, savings are realized from re-estimates to FY 2014 disbursement levels based on FY 2013 operating results.
- **Education:** Savings in FY 2014 are realized by the prepayment in March 2013 of School Aid otherwise payable in the first quarter of FY 2014 (the timing has no impact on a school year basis). Financial Plan savings are also realized by the recalculation of future School Aid growth under the statutory cap to reflect DOB’s updated personal income forecast, among other things. In addition, certain school districts were not in compliance with the Annual Professional Performance Review by the January 17, 2013 deadline, thereby forfeiting planned aid increases in FY 2014.
- **Other Local Programs:** Savings are expected to be achieved across multiple functions and program areas. Actions include delays to certain plans associated with mental health bed development, and the establishment of fraud protection mechanisms related to the STAR program. In addition, projected disbursements for several programs have been revised downward based on FY 2013 results.
- **Debt Management:** Debt management: Savings have been realized by the pre-payment, in FY 2013, of debt service due in FY 2014. In addition, savings are expected from, among other things, refunding existing debt at lower interest rates.

### PROPOSED INVESTMENTS/INITIATIVES

- **Joint Legislative Adds:** During negotiations, the Executive and Legislature agreed to approximately \$553 million in gross spending restorations and additions to the Executive Budget proposal for FY 2014. Restorations, which are costs from the rejection of certain Executive Budget savings proposals totaled approximately \$177 million. The largest restorations were in the areas of mental hygiene, health care, and education. Additions, which represent distinct new spending added to the Executive Budget proposal by the Legislature, totaled approximately \$376 million. The most significant additions were for School Aid and other education programs.
- **Executive Budget Investments:** As part of budget negotiations, the Executive and Legislature reached agreement on the reprogramming of certain spending initiatives proposed in the Executive Budget. The largest of these were in the areas of education and health care.
- **Thruway Authority:** The personnel and fringe benefit costs for a unit of the New York State Police that handles traffic enforcement for the Thruway Authority, as well as certain operating costs of the Authority, will be financed from general revenues of the State. The State's assumption of these costs, which were previously financed by revenues generated from Thruway toll collections, will help the Thruway Authority maintain fiscal stability without an immediate toll increase.
- **Capital Commitment Plan:** Consistent with the long-term planning goals of New York Works, the DOB has for the first time formulated 10-year capital commitment and disbursement projections for State agencies. The total commitment and disbursement levels permissible over the 10-year capital planning horizon reflect, among other things, projected capacity under the State's debt limit, anticipated levels of Federal aid, and the timing of capital activity based on known needs and historical patterns. The Financial Plan reflects the estimated debt service costs from the increased capital plan.
- **All Other:**
  - The Mitchell-Lama program provides affordable rental and cooperative housing to moderate- and middle-income families. The portfolio will be restructured and transferred from ESD to DHCR in order to improve the housing stock. Once transferred, ESD will lose the HUD subsidy associated with the portfolio. The Financial Plan includes additional support for ESD, beginning in FY 2016, to cover the loss of the subsidy.
  - The FY 2014 PILOT to be made by the State to the City of Albany was scheduled to decrease by \$8 million under the statutory schedule that was amended in the Laws of 2012. The Enacted Budget accelerates a PILOT from a future year.

## FINANCIAL PLAN OVERVIEW

- New York and New Jersey will host Super Bowl XLVIII in February 2014. The Enacted Budget includes \$5 million to support activities in New York State to promote tourism and stimulate economic development opportunities associated with this premier event.
- The City of Salamanca has not received payments from the Seneca Nation pursuant to the Tribal State Compact for nearly four years. These annual payments represent nearly 40 percent of the City's budget. Accordingly, this lack of payment has left the City unable to meet its expenses. The Enacted Budget will provide \$5 million from the General Fund to the Tribal State Compact account, representing the amount required by Salamanca for CFY 2013 and CFY 2014.

### FEDERAL REVENUE REDUCTION PLAN

The Enacted Budget Financial Plan addresses reductions in Federal Medicaid revenue related to reimbursement for State-operated developmental disability services. (See "Revisions to the Enacted Budget Financial Plan" and "Other Matters Affecting the Financial Plan" herein.) Savings in the future years of the Financial Plan depend on approval by the Federal government of specific aid. The following table (a) summarizes the specific actions that are reflected in the Financial Plan for FY 2014 to address the decline in Federal funding and (b) illustrates the impact of those actions on State Operating Funds.

<b>FEDERAL REVENUE REDUCTION PLAN SUMMARY</b>	
<b>SUMMARY OF ACTIONS</b>	
(millions of dollars)	
	<b>FY 2014</b>
<b>OPWDD Federal Rate Reduction</b>	<b>(1,100)</b>
<b>State Actions</b>	<b>500</b>
OPWDD Savings Actions	90
Other State Actions	30
Global Cap Underspending in FY 2013	200
MRT Investment Delays/Accelerated Reforms	180
<b>Other Resources</b>	<b>600</b>
Federal Aid for Emergency Medicaid/Other Costs	250
ACA Resources	100
Other Federal Aid	250
<b>Impact of Actions on State Operating Funds Spending</b>	
Change in Available SOF Resources Due to Aid Reduction	(820)
Change in SOF Disbursements	(820)
<i>OPWDD Savings Actions</i>	(90)
<i>Transfer of Costs to DOH Medicaid</i>	(730)
Global Cap Underspending	(200)
MRT Investment Delays/Reform Acceleration	(180)
State MA Costs Financed with Eligible Federal Resources	(350)

### REVENUES/EXTENDERS

- **18-a Utility Assessment:** The Temporary Utility Assessment on electric, gas, water and steam utilities will be lowered and phased out over three and one-half years beginning in FY 2015. Compared to the Executive Budget, this action will result in total utility bill savings totaling more than \$300 million through FY 2017 and over \$500 million annually thereafter. The proposed rate extension of 2 percent for public utilities will instead be lowered to 1.75 percent in FY 2016, 1.5 percent in FY 2017 and 0 percent in FY 2018. The proposed rate of 1 percent for LIPA will decrease to 0.75 percent, 0.5 percent and 0 percent over the same timeframe.
- **High Income Charitable Contribution Deduction Limitation:** The Budget extends for three years, starting with tax year 2013, the existing limitation on charitable contribution deductions for New York State and New York City taxpayers with adjusted gross income over \$10 million.
- **Warrantless Wage Garnishment:** The Budget allows DTF to garnish wages of delinquent taxpayers without filing a warrant with the Department of State (DOS) or County Clerks. The warrant requirement is replaced with a faster public notification requirement. Warrants offer no additional protection for delinquent taxpayers and requiring counties to receive the warrants from DTF represents an unfunded mandate. Wages will only be garnished if a taxpayer fails to negotiate a repayment agreement with DTF.
- **Delinquent Taxpayers' Driver's Licenses:** The Budget creates a new program to aid in the enforcement of past-due tax liabilities by suspending, with certain exceptions, the New York State driver's licenses of taxpayers who owe taxes in excess of \$10,000. A "past-due tax liability" refers to any tax liability that has become fixed and final such that the taxpayer no longer has any right to administrative or judicial review. The program will be modeled after the State's successful use of license suspensions to compel legally owed child support payments.
- **New York Film Production Tax Credit:** The Budget extends the Empire State film production tax credit of \$420 million per year for an additional five years. Restrictions on the post-production portion of the credit will be reduced and additional reporting will be required to document the effectiveness of the credit in creating jobs. In 2015 through 2019, film and post production projects are eligible for an additional 10 percent credit for wages and salaries (excluding writers, directors, music directors, producers and performers) paid as part of projects undertaken in certain upstate New York counties.

## FINANCIAL PLAN OVERVIEW

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- **Tax Modernization Provisions:** The tax modernization provisions enacted in 2011, and extended last year, are extended again until December 31, 2016. These provisions, including mandatory e-filing and e-payment for preparers and taxpayers, sales tax payment requirements, and segregated accounts for non-complying vendors, would have otherwise expired at the outset of the tax year 2013 filing season on December 31, 2013.
- **Tax Reductions:** The Budget includes several new tax reductions. These include a refundable \$350 credit in each of tax years 2014-2016 to taxpayers with dependents under the age of 17, zero or positive tax liability, and income between \$40,000 and \$300,000; a refundable tax credit for tax years 2014-2018 for a portion of the minimum wage paid to students age 16-19; a phased in manufacturing tax rate reduction of 9.2 percent in tax year 2014, 12.3 percent in 2015, 15.4 percent in 2016 and 2017, and 25 percent effective tax year 2018; a refundable tax credit for hiring veterans; and a four year refundable tax credit capped at \$6 million per year for tax years 2014 through 2017 for hiring unemployed, low-income, or at-risk youth in qualifying areas.
- **PIT Brackets and Rates with Indexing:** The Budget extends the December 2011 PIT reform program for three additional tax years, 2015-2017.
- **Historic Commercial Properties Rehabilitation Tax Credit:** This law change provides assurance to developers who are rehabilitating historic commercial property, or are considering doing so, by extending the existing \$5 million per project tax credit for five years (2015-2019) and makes the credit refundable beginning in tax year 2015.
- **Historic Homes Rehabilitation Credit:** The Budget extends for five years the maximum credit amount of \$50,000 (scheduled to revert to \$25,000), and the refundability of the credit for persons with incomes under \$60,000 (scheduled to revert to nonrefundable).

### OTHER

- **Workers' Compensation Reform:** The Enacted Budget includes legislation to reform the State's complex and inefficient Workers' Compensation system. As a by-product of the reform legislation, SIF, a State agency that provides Workers' Compensation insurance, would release reserves that would no longer be required to fund future liabilities. The Budget releases the reserves over a period of four years to maintain Workers' Compensation rates at stable levels, cover new spending initiatives, and meet other Financial Plan needs.
- **Debt Reduction Set-Aside:** The Budget sets aside \$250 million for debt management purposes, which will be financed with \$250 million from the release of SIF reserves.
- **Tax Receipts Forecast Revisions:** This reflects the net impact of changes to the tax receipts forecast since the release of the original Executive Budget submission in January 2013. It includes adjustments made in the amended Executive Budget Financial Plan and as part of the consensus revenue forecasting process undertaken with the Legislature in March 2013.
- **Undesignated Fund Balance:** The Financial Plan assumes that the undesignated balance of \$100 million at the close of FY 2013 will be used in FY 2014 to cover the timing of certain costs related to disaster assistance that were budgeted in FY 2013, but are now expected to be charged to the General Fund in FY 2014.

# **FINANCIAL PLAN OVERVIEW**

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## **OTHER MATTERS AFFECTING THE FINANCIAL PLAN**

### **GENERAL**

The Enacted Budget is subject to many complex economic, social, financial, environmental and political risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Enacted Budget are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In certain fiscal years, actual receipts collections have fallen substantially below the levels forecast in the Financial Plan.

The Enacted Budget is based on numerous assumptions, including the condition of the State and national economies and the concomitant receipt of economically-sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include the consequences of: national and international events, such as ongoing instability in the Euro Zone; changes in consumer confidence, oil supplies and oil prices; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments on bonus income and capital gains realizations; and the levels of household debt on consumer spending and State tax collections.

Among other factors, the current Financial Plan is subject to uncertainties and contingencies relating to: the extent, if any, to which wage increases for State employees exceed the annual wage costs assumed; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid contemplated by the Enacted Budget; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the current Financial Plan are subject to revisions which may involve substantial change. No assurance can be given that these estimates and projections, which include actions the State expects to be taken but which are not within the State's control, will be realized.

### **BUDGET RISKS AND UNCERTAINTIES**

There can be no assurance that the General Fund budget gaps will not increase materially from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to, additional reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by action of the Governor.

State law grants the Executive certain powers to achieve the Medicaid savings assumed in the current Financial Plan. However, there can be no assurance that these powers will be sufficient to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated in the Enacted Budget. In addition, savings are dependent upon timely Federal approvals, revenue performance in the State's HCRA fund (which finances approximately one-third of the DOH State-share costs of Medicaid), and the participation of health care industry stakeholders.

The forecast contains specific transaction risks and other uncertainties including, but not limited to, the receipt of certain payments from public authorities; the receipt of miscellaneous receipts at the levels expected in the Financial Plan, including payments pursuant to the Tribal-State Compact that have failed to materialize in prior years; and the achievement of cost-saving measures including, but not limited to, the transfer of available fund balances to the General Fund at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Financial Plan in the current or future years.

### **UPDATE ON STORM RECOVERY**

In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various New York State counties, and was soon followed by the September 2011 Tropical Storm Lee which caused flooding in additional New York State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. Little more than one year later, on October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms presents economic and financial risks to the State. Major disaster response and recovery activities have been ongoing. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding and mitigation activity nationwide, of which New York anticipates receiving \$30 billion. The State expects to receive \$5.1 billion in extraordinary Federal assistance during FY 2014 specifically for Superstorm Sandy expenses.

## **FINANCIAL PLAN OVERVIEW**

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### **FEDERAL ACTIONS**

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes. Any reduction in Federal funding levels could have a materially adverse impact on the State's Financial Plan. In addition, the Enacted Financial Plan may be adversely affected by other actions taken by the Federal government, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules.

#### FEDERAL REIMBURSEMENT FOR STATE MENTAL HYGIENE SERVICES

The Federal government lowered Medicaid developmental disability center payment rates for New York City, effective April 1, 2013 which will reduce Federal funding to New York by approximately \$1.1 billion beginning in FY 2014. The Enacted Budget Financial Plan includes a plan to address the loss in Federal aid, including \$90 million in OPWDD savings associated with reduced administrative costs, enhanced audit recoveries and improved program efficiencies. (See “Explanation of FY 2014 Enacted Budget Gap-Closing Plan — Federal Revenue Reduction Plan.”) The plan is subject to implementation risks and is dependent, in part, on the approval of the Federal government. As described below, CMS may seek to retroactively recover Federal funds regarding this matter.

#### AUDIT DISALLOWANCE

On February 8, 2013 the U.S. Department of Health & Human Services Office of the Inspector General, at the direction of the Federal Centers for Medicare and Medicaid Services (CMS), began a review to determine the allowability of Medicaid costs for services provided to the Medicaid population in New York State-Operated Intermediate Care Facilities for the Developmentally Disabled (ICF/DD). The initial review period includes claims for services provided during the period April 1, 2010 through March 31, 2011. As a result of this review, CMS may seek to recover Federal funds for any payments that it determines to be in excess of Federal requirements. New York State has prospectively resolved CMS concerns regarding its payments to ICF/DDs with a state plan change effective April 1, 2013, and continues to work collaboratively with its Federal partners to resolve these concerns. However, adverse action by the Federal government relative to claims in years prior to 2013-14 could jeopardize a significant amount of Federal aid. The prospective resolution of this matter resulted in a reduction in Federal aid of \$1.1 billion annually. A comparable amount of Federal aid is at risk for any period of retroactivity that may be pursued by CMS. Matters of this type are often resolved with a prospective solution (as already commenced by New York State), and the State is not aware of any similar attempts by the Federal government to retroactively recover Federal aid of this magnitude that was paid pursuant to an approved State plan.

### BUDGET CONTROL ACT

The Federal Budget Control Act (BCA) of 2011 imposed annual caps on Federal discretionary spending over a ten-year period and mandated an additional \$1.2 trillion in deficit reduction, which, if not enacted, would be achieved through the sequestration of funds in FFY 2013 and lowered discretionary spending caps in the following years. As the required deficit reduction was not achieved by the March 1, 2013 deadline, an across-the-board 5 percent reduction in FFY 2013 funding for Federal nondefense discretionary programs was implemented. If Congress does not act to otherwise achieve the BCA deficit reduction requirements, DOB estimates that New York State and local governments could lose approximately \$5 billion in Federal funding over nine years, mostly in reduction in “pass-through” aid to individuals, school districts, not-for-profit providers, and other beneficiaries.

### CLIMATE CHANGE ADAPTATION

Climate change is expected to cause long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State’s infrastructure, including mass transit systems, power transmission and distribution systems, and other critical lifelines, to extreme weather events, including coastal flooding caused by storm surges. Significant long-term planning and investment by the Federal government, State, and municipalities is expected to be needed to adapt existing infrastructure to the risks posed by climate change.

### HEALTH INSURANCE COMPANY CONVERSIONS

State law permits a health insurance company to convert its organizational status from a not-for-profit to a for-profit corporation (a “health care conversion”), subject to a number of terms, conditions, and approvals. Under State law, the State is entitled to proceeds from a health care conversion. The Enacted Budget assumes health care conversions of \$175 million in FY 2014 and \$300 million in proceeds annually in FY 2015, FY 2016, and FY 2017. The proceeds are expected to be deposited into the HCRA account. If a conversion does not occur on the timetable or at the levels assumed in the Financial Plan, the State may be required to take other actions, such as reduce planned spending in HCRA, or finance additional health care expenses in the General Fund, or both.

## **FINANCIAL PLAN OVERVIEW**

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### **STATUS OF CURRENT LABOR NEGOTIATIONS**

The State has labor contracts with its two largest employee unions, CSEA and PEF, as well as NYSPBA (representing the APSU bargaining unit, formerly ALES), NYSCOPBA, and Council 82. Most of the contracts provide for no general salary increases for FY 2012 through FY 2014, increases to employee health insurance contributions, and a temporary reduction in employee compensation through a deficit reduction program (DRP). Employees will receive a 2 percent salary increase in both FY 2015 and FY 2016; and, at the end of their contract term, the value of FY 2013 deficit reduction adjustments. The PEF and NYSPBA contracts generally mirror the provisions for the other unions, but cover a four-year period, whereas the others cover a five-year period. PEF and NYSPBA-represented employees will receive a 2 percent salary increase in FY 2015. PEF-represented employees will be repaid all DRP adjustments at the end of their contract in lieu of the \$1,000 lump sum payment. Employees in the unions that have reached settlements with the State received contingent layoff protection through FY 2013 and continued protection for the remaining term of the agreements. Reductions in force due to management decisions to close or restructure facilities authorized by legislation, SAGE Commission determinations, or material or unanticipated changes in the State's fiscal circumstances are not covered by this protection.

The State is in negotiations with its other unsettled unions and recently reached a tentative agreement with the United University Professions (UUP), which represents faculty and non-teaching professional staff within the State University system. The contract is expected to be voted on by union members in spring 2013, leaving the State with only 10 percent of the workforce with unsettled union contracts.

### **LABOR SETTLEMENTS FOR PRIOR CONTRACT PERIODS**

The Enacted Budget Financial Plan continues to include a planned reserve to cover the costs of a pattern settlement with unions that have not agreed to contracts for prior contract periods. The amount of the reserve is calculated based on the general salary increases agreed to by the State's largest unions for the same period. There can be no assurance that actual settlements related to prior periods will not exceed the amounts reserved. In addition, the State's ability to fund the amounts reserved in FY 2014 and beyond depends on the achievement of balanced budgets in those years. The Enacted Budget does not include reserves for settlements covering the current contract period (i.e., starting in FY 2012).

**CASH-FLOW PROJECTIONS**

The State authorizes the General Fund to borrow resources temporarily from available funds in STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other moneys belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity to make payments as they become due throughout FY 2014, but that the General Fund may, from time to time, need to borrow resources temporarily from other funds in STIP. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds, continues to be set aside as required by law and bond covenants. The table below provides an estimate of month-end balances for FY 2014.

<b>PROJECTED ALL FUNDS MONTH-END CASH BALANCES</b>			
<b>FY 2014</b>			
<b>(millions of dollars)</b>			
	<b>General Fund</b>	<b>Other Funds</b>	<b>All Funds</b>
<b>April</b>	6,450	2,779	9,229
<b>May</b>	3,456	3,542	6,998
<b>June</b>	3,597	2,833	6,430
<b>July</b>	3,599	3,116	6,715
<b>August</b>	2,972	3,985	6,957
<b>September</b>	4,684	1,792	6,476
<b>October</b>	3,898	2,540	6,438
<b>November</b>	2,496	2,474	4,970
<b>December</b>	3,845	955	4,800
<b>January</b>	5,740	2,967	8,707
<b>February</b>	5,804	2,933	8,737
<b>March</b>	1,709	2,307	4,016

## **FINANCIAL PLAN OVERVIEW**

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### **OTHER POST-EMPLOYMENT BENEFITS**

State employees become eligible for post-employment benefits (health insurance) if they reach retirement while working for the State and have a minimum of ten years of service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a PAYGO basis as required by law.

In accordance with GASB Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2012, the ARC represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2012, an actuarial valuation of OPEB liabilities was performed as of April 1, 2010. The valuation calculated the unfunded actuarial accrued liability as of April 1, 2010 at \$72.1 billion (\$59.7 billion for the State and \$12.4 billion for SUNY), determined using the Frozen Entry Age actuarial cost method, and is amortized over an open period of 30 years using the level percentage of projected payroll amortization method.

The annual OPEB cost for FY 2012 totaled \$3.9 billion (\$3.1 billion for the State and \$0.8 billion for SUNY) under the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. This was \$2.5 billion (\$1.9 billion for the State and \$0.6 billion for SUNY) above the payments for retiree costs made by the State in FY 2012. This difference between the State's PAYGO costs and the actuarially determined required annual contribution under GASB Statement 45 reduced the State's net asset condition at the end of FY 2012 by \$2.5 billion.

GASB does not require the additional costs to be funded on the State's budgetary (cash) basis, and no funding is assumed for this purpose in the Financial Plan. The State continues to finance these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the current Financial Plan to pre-fund OPEB liabilities. If such liabilities were pre-funded, the additional cost above the PAYGO amounts would be lowered. The State's Health Insurance Council, which consists of GOER, Civil Service, and DOB, will continue to review this matter and seek input from the State Comptroller, the legislative fiscal committees and other outside parties. However, it is not expected that the State will alter its planned funding practices in light of existing fiscal conditions.

### **BOND MARKET**

Implementation of the Enacted Budget Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, it can adversely affect the State's overall cash position and capital funding plan. The success of projected public sales will be subject to prevailing market conditions. Future developments in the financial markets generally, as well as future developments concerning the State and public discussion of such developments, may affect the market for outstanding State-supported and State-related debt.

### **CAPITAL COMMITMENT PLAN AND DEBT REFORM ACT LIMIT**

The New York Works Task Force was formed in FY 2013 to assist in the coordination of long-term capital planning among State agencies and public authorities. Consistent with the long-term planning goals of New York Works, the DOB has for the first time formulated 10-year capital commitment and disbursement projections for State agencies. The total commitment and disbursement levels permissible over the 10-year capital planning horizon reflect, among other things, projected capacity under the State's debt limit, anticipated levels of Federal aid, and the timing of capital activity based on known needs and historical patterns.

The Debt Reform Act of 2000 restricts the issuance of State-supported debt to capital purposes only and limits such debt to a maximum term of 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued since April 1, 2000. The cap on new State-supported debt outstanding began at 0.75 percent of personal income in FY 2001 and was fully phased-in at 4 percent of personal income during FY 2011, while the cap on new State-supported debt service costs began at 0.75 percent of All Funds receipts in FY 2001 and will increase until it is fully phased in at 5 percent during FY 2014. The State was found to be in compliance with the statutory caps for the most recent calculation period (FY 2012).

Current projections estimate that debt outstanding and debt service will continue to remain below the limits imposed by the Act. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$3.6 billion in FY 2013 to \$560 million in FY 2016. This includes the estimated impact of the bond-financed portion of increased capital commitment levels included in the 10-year capital planning projections. Capital spending priorities and debt financing practices may be adjusted from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

## FINANCIAL PLAN OVERVIEW

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Since April 1, 2000	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
FY 2013	1,029,227	4.00%	41,169	37,523	3,647	3.65%	0.35%	15,011	52,534
FY 2014	1,053,199	4.00%	42,128	40,432	1,696	3.84%	0.16%	13,661	54,093
FY 2015	1,113,934	4.00%	44,557	43,639	919	3.92%	0.08%	11,940	55,579
FY 2016	1,176,932	4.00%	47,077	46,517	560	3.95%	0.05%	10,560	57,077
FY 2017	1,241,836	4.00%	49,673	48,902	772	3.94%	0.06%	9,019	57,921
FY 2018	1,308,517	4.00%	52,341	50,657	1,683	3.87%	0.13%	7,503	58,161

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of	% Remaining Capacity	Debt Service Prior to April 1, 2000	Total State-Supported Debt Service
FY 2013	133,174	4.98%	6,637	3,678	2,959	2.76%	2.22%	2,460	6,138
FY 2014	140,405	5.00%	7,020	3,925	3,095	2.80%	2.20%	1,804	5,729
FY 2015	143,404	5.00%	7,170	4,211	2,960	2.94%	2.06%	1,881	6,091
FY 2016	148,146	5.00%	7,407	4,594	2,813	3.10%	1.90%	1,859	6,453
FY 2017	154,031	5.00%	7,702	4,988	2,713	3.24%	1.76%	1,766	6,754
FY 2018	156,302	5.00%	7,815	5,328	2,487	3.41%	1.59%	1,711	7,039

### SECURED HOSPITAL PROGRAM

Under the Secured Hospital program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to issue debt. The contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by the New York State MCFFA and by DASNY through the Secured Hospital program. In the event there are shortfalls in revenues from other sources, which include hospital payments made under loan agreements between DASNY and the hospitals, and certain reserve funds held by the applicable trustees for the bonds, the State is liable for the debt service. As of March 31, 2013, there was approximately \$421 million of bonds outstanding for this program.

The financial condition of hospitals in the State's Secured Hospital Program continues to deteriorate. Of the six remaining hospitals in the program, two are experiencing significant operating losses that have impaired their ability to remain current on their loan agreements with DASNY. In relation to the Secured Hospital Program, the Enacted Budget Financial Plan projections continue to reflect the assumption of additional costs of \$13 million in FY 2014, approximately \$30 million annually for FY 2015 through FY 2017, and \$17 million in FY 2018 as the bonds begin to be paid off. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for three hospitals that currently are not meeting the terms of their local agreements with DASNY. The State has additional exposure of up to \$44 million annually, if all additional hospitals in the program failed to meet the terms of their agreement with DASNY and if available reserve funds were depleted.

**MULTI-YEAR FINANCIAL PLAN PROJECTIONS**





# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## INTRODUCTION

This section presents the State's multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of the FY 2014 Enacted Budget. This section includes FY 2013 results and projections for FY 2014 through FY 2017, with an emphasis on the FY 2014 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursement projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The latter perspective reflects overall estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Over 40 percent of projected State-financed spending for operating purposes is accounted for outside of the General Fund and is concentrated primarily in the areas of health care, School Aid, higher education, transportation and mental hygiene. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish the further removed such estimates and projections are from the date of this Enacted Budget. Accordingly, in terms of the FY 2014 Enacted Budget outyear projections (FY 2015 through FY 2017), FY 2015 is the most relevant from a planning perspective.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## SUMMARY

DOB estimates that the Enacted Budget provides for a balanced General Fund Financial Plan in FY 2014, and leaves projected gaps that total approximately \$2.0 billion in FY 2015, \$2.9 billion in FY 2016, and \$2.9 billion in FY 2017. The net operating shortfall in State Operating Funds is projected at \$2.0 billion in FY 2015, \$2.7 billion in FY 2016 and \$2.6 billion in FY 2017.

The imbalances projected for the General Fund and State Operating Funds in future years are similar because the General Fund is the financing source of last resort for many State programs. Imbalances in other funds are typically financed by the General Fund.

The following tables present the multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.

## GENERAL FUND PROJECTIONS

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>Receipts</b>					
Taxes (After Debt Service)	54,568	57,292	58,569	62,182	65,328
Miscellaneous Receipts/Federal Grants	3,566	3,098	3,551	2,682	2,653
Other Transfers	649	866	764	719	722
<b>Total Receipts</b>	<b>58,783</b>	<b>61,256</b>	<b>62,884</b>	<b>65,583</b>	<b>68,703</b>
<b>Disbursements</b>					
Local Assistance Grants	39,760	40,258	42,598	45,056	47,276
School Aid	17,110	17,290	18,573	19,390	20,519
Medicaid	11,109	11,225	11,391	12,136	12,631
All Other	11,541	11,743	12,634	13,530	14,126
State Operations	7,856	7,564	7,818	8,116	8,213
Personal Service	6,130	5,681	5,850	6,111	6,127
Non-Personal Service	1,726	1,883	1,968	2,005	2,086
General State Charges	4,550	4,953	5,328	5,604	5,873
Transfers to Other Funds	6,794	8,382	9,179	9,682	10,247
Debt Service	1,647	1,328	1,483	1,452	1,345
Capital Projects	916	1,227	1,384	1,400	1,799
Mental Hygiene State Share Medicaid	2,846	1,813	1,338	1,311	1,279
SUNY Operations	340	971	971	971	971
All Other	1,045	3,043	4,003	4,548	4,853
<b>Total Disbursements</b>	<b>58,960</b>	<b>61,157</b>	<b>64,923</b>	<b>68,458</b>	<b>71,609</b>
<b>Change in Reserves</b>					
Prior-Year Labor Agreements (2007-11)	(177)	99	(25)	(19)	14
Community Projects Fund	(206)	(26)	10	14	14
Debt Reduction	(9)	(25)	(35)	(33)	0
Undesignated Fund Balance	0	250	0	0	0
	38	(100)	0	0	0
<b>Budget Surplus/(Gap) Projections</b>	<b>0</b>	<b>0</b>	<b>(2,014)</b>	<b>(2,856)</b>	<b>(2,920)</b>

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## STATE OPERATING FUNDS PROJECTIONS

FY 2014 ENACTED BUDGET - STATE OPERATING FUNDS PROJECTIONS (millions of dollars)					
	<u>FY 2013</u> <u>Results</u>	<u>FY 2014</u> <u>Enacted</u>	<u>FY 2015</u> <u>Projected</u>	<u>FY 2016</u> <u>Projected</u>	<u>FY 2017</u> <u>Projected</u>
<b>Receipts</b>					
Taxes	64,932	67,951	69,765	74,014	77,722
Miscellaneous Receipts/Federal Grants	20,142	19,299	20,329	19,437	19,576
<b>Total Receipts</b>	<b>85,074</b>	<b>87,250</b>	<b>90,094</b>	<b>93,451</b>	<b>97,298</b>
<b>Disbursements</b>					
Local Assistance Grants	58,578	59,564	62,218	64,871	67,288
School Aid	20,163	20,471	21,692	22,514	23,641
STAR	3,286	3,419	3,602	3,704	3,805
Other Education Aid	1,927	2,032	2,091	2,197	2,328
Higher Education	2,629	2,825	2,911	2,994	3,066
Medicaid <sup>1</sup>	15,879	16,230	16,780	17,591	18,248
Public Health/Aging	2,040	2,222	1,997	1,989	1,960
Mental Hygiene	3,602	2,833	3,450	3,967	4,173
Social Services	3,031	2,996	3,050	3,146	3,242
Transportation	4,303	4,745	4,831	4,910	4,995
Local Government Assistance	754	764	769	782	794
All Other	964	1,027	1,045	1,077	1,036
State Operations	17,683	17,824	18,246	18,846	19,155
Personal Service	12,403	12,357	12,637	13,071	13,204
Non-Personal Service	5,280	5,467	5,609	5,775	5,951
General State Charges	6,437	7,089	7,531	7,952	8,285
Pension Contribution	1,601	2,013	2,256	2,418	2,446
Health Insurance (Active Employees)	1,720	1,824	1,945	2,060	2,232
Health Insurance (Retired Employees)	1,409	1,491	1,531	1,651	1,788
All Other	1,707	1,761	1,799	1,823	1,819
Debt Service	6,138	5,743	6,123	6,482	6,783
Capital Projects	8	5	5	5	5
<b>Total Disbursements</b>	<b>88,844</b>	<b>90,225</b>	<b>94,123</b>	<b>98,156</b>	<b>101,516</b>
Net Other Financing Sources/(Uses)	4,283	2,885	2,080	2,028	1,601
<b>Net Operating Surplus/(Deficit)</b>	<b>513</b>	<b>(90)</b>	<b>(1,949)</b>	<b>(2,677)</b>	<b>(2,617)</b>
<b>Reconciliation to General Fund Gap:</b>					
Designated Fund Balances:	(513)	90	(65)	(179)	(303)
General Fund	177	(99)	25	19	(14)
Special Revenue Funds	(737)	248	(96)	(205)	(268)
Debt Service Funds	47	(59)	6	7	(21)
<b>General Fund Budget Gap</b>	<b>0</b>	<b>0</b>	<b>(2,014)</b>	<b>(2,856)</b>	<b>(2,920)</b>

<sup>1</sup> This value does not include HCRA Indigent Care payments to SUNY institutions, which have been reclassified as transfers for reporting purposes.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

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## ECONOMIC BACKDROP

### THE NATIONAL ECONOMY

The national economy accelerated more briskly during the first quarter of 2013 than anticipated in the February forecast, following a dismal fourth quarter. Real U.S. GDP grew 2.5 percent in the first quarter of 2013, only 0.1 percent above the February forecast, following fourth quarter growth of only 0.4 percent. The acceleration in the first quarter was largely due to stronger household spending and a smaller decline in government spending than the 15 percent drop observed in the last quarter of 2012. Housing and pent-up demand for autos are bright spots, while the recent strength in equities and home prices likely contributed by boosting household balance sheets and helping to offset the adverse impact of tax increases on consumer spending. First quarter growth may also have been buttressed by the acceleration into late 2012 of several components of taxable income – including wages, dividends, and capital gains realizations – in advance of a tax increase on high-income taxpayers that passed as part of the fiscal cliff compromise. The estimate for real U.S. GDP growth has been revised upward to 2.1 percent for 2013, following growth of 2.2 percent for 2012.

Real household spending grew 3.2 percent in the first quarter, well above the February forecast, in part due to the reasons cited above. Although real consumer spending growth has been revised up to 2.4 percent for 2013, the outlook for the remainder of the year is virtually unchanged. Steady household spending growth is expected to be supported by a gradually improving labor market and rising wealth, but higher taxes are still expected to take their toll and keep real spending growth below 3 percent until 2014. Nonresidential investment grew only 1.6 percent in the first quarter, following 13.2 percent growth in the fourth, as a result of the expiration of preferential tax treatment for some types of energy-related investment. An additional investment tax incentive that was originally scheduled to sunset at the end of 2012, but survived into 2013 as a result of the tax compromise, also likely resulted in a shift of investment spending from 2013Q1 into 2012Q4. The overall outlook for private investment in 2014 remains tepid.

With the Federal spending sequester gradually kicking in, the U.S. economy is expected to exhibit weak second-quarter growth of 1.4 percent, virtually unchanged from February. Real government spending is expected to fall by a downwardly revised 2.9 percent for 2013. Although cold weather may have been a factor in depressing March job growth, the anticipation of government spending cuts may have combined with weak global demand to reduce private sector job gains to a mere 95,000 in March, compared to monthly average growth of 187,200 jobs during the final six months of 2012, and 209,000 jobs in the first two months of 2013. As a result, small downward revisions have been made to both employment and wage growth for 2013. Employment growth of 1.5 percent is projected for all of 2013, accompanied by wage growth of 3.8 percent. Overall personal income growth of 3.1 percent is projected for 2013, virtually unchanged from February, with stronger growth in the non-wage components of income offsetting the downward revision to wages.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

<b>U.S. ECONOMIC INDICATORS</b> (Percent change from prior calendar year)			
	<b>2012</b> <b>(Estimated)</b>	<b>2013</b> <b>(Forecast)</b>	<b>2014</b> <b>(Forecast)</b>
Real U.S. Gross Domestic Product	2.2	2.1	3.1
Consumer Price Index (CPI)	2.1	1.9	2.2
Personal Income	3.6	3.1	6.2
Nonagricultural Employment	1.7	1.5	2.0
Source: Moody's Analytics; DOB staff estimates.			

DOB's economic outlook remains largely unchanged since February, as do the risks. In the coming months, the sequester could result in a much larger decline in government spending than currently anticipated, resulting in a greater loss of government jobs or private jobs related to government procurement. It appears that the full impact of the payroll tax hike may have either been delayed or was mitigated by the effect of the rise in equity markets and the acceleration in income for tax purposes. However, slower equity price growth could have the reverse impact on household spending. The global economy is expected to improve, but the Euro-area and Japanese economies remain extremely weak, while emerging market growth remains slower than in the earlier phase of the recovery. Slower export growth than expected could negatively affect growth in U.S. corporate profits, investment, and jobs. The Bank of Japan has shifted to a more activist monetary policy than has been tried in the recent past. If that policy succeeds, global economic growth could exceed expectations, resulting in a faster upturn in the demand for U.S. exports. Energy prices continue to be volatile and a risk to the household consumption forecast, while stronger than anticipated home and equity price growth present upside risks to household spending. Finally, the impasse over deficit reduction could impact overall economic activity well beyond those sectors directly affected by spending cuts.

### THE NEW YORK STATE ECONOMY

The pace of New York private sector job growth has remained strong, bouncing back from Superstorm Sandy's devastating impact with impressive vigor. The State has continued to exhibit robust growth in professional and business services, private educational services, and tourism-related leisure and hospitality services. Private sector employment growth of 1.6 percent is projected for both 2013 and 2014, respectively, representing modest upward revisions to the February forecast. In contrast, public sector employment is expected to continue to decline well into 2014. With the ongoing downsizing of the State's finance and government sectors, the first three quarters of 2012 exhibited unusually weak income growth. However, that trend appears to have reversed in the fourth quarter by the acceleration of wages, dividends, and capital gains in advance of the Federal tax increase. Wage growth of 3.2 percent is projected for 2013, followed by growth of 5.0 percent growth for 2014. These projections are virtually unchanged from the Executive Budget forecast. Personal income growth for 2013 has been revised up to 2.5 percent for 2013, but down to 5.4 percent for 2014, due to slightly lower projected growth in the non-wage components of income.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

<b>NEW YORK STATE ECONOMIC INDICATORS</b> (Percent change from prior calendar year)			
	<b>2012</b> <b>(Estimated)</b>	<b>2013</b> <b>(Forecast)</b>	<b>2014</b> <b>(Forecast)</b>
Personal Income	3.2	2.5	5.4
Wages	2.9	3.2	5.0
Nonagricultural Employment	1.5	1.3	1.3
Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.			

All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, the volume of financial market activity and equity market volatility pose a particularly large degree of uncertainty for New York. In addition, with Wall Street still adjusting its compensation practices in the wake of the passage of financial reform, both the bonus and non-bonus components of employee pay are becoming increasingly difficult to estimate. Securities industry revenues have in the past been a useful predictor of bonus payouts, but that relationship has become a much less reliable indicator in recent years. Moreover, with Federal fiscal policy in flux, potential changes in the taxpayer behavior in response to changes in possible tax law create an additional layer of uncertainty. A weaker labor market than projected could also result in lower wages, which in turn could result in weaker household consumption. Similarly, should financial and real estate markets be weaker than anticipated, taxable capital gains realizations could be negatively affected. These effects could ripple through the State economy, depressing employment, wage, and household spending growth. In contrast, stronger national and world economic growth, or a stronger upturn in stock prices, along with even stronger activity in mergers, acquisitions, and other Wall Street activities, could result in higher wage and bonuses growth than projected.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

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## FISCAL YEAR 2014 RECEIPTS FORECAST

Financial Plan receipts comprise a variety of taxes, fees, and charges for State-provided services, Federal grants, and other miscellaneous receipts. The receipts estimates and projections have been prepared by DOB on a multi-year basis with the assistance of DTF and other agencies responsible for the collection of State receipts.

### OVERVIEW OF THE REVENUE SITUATION

- New York's economic recovery continued in FY 2013, with all major tax groups registering gains on an All Funds basis compared with the prior year. Personal income tax payments grew stronger during the final quarter of the fiscal year, mainly as the result of anticipated Federal tax law changes. Sales tax receipts increased by one percent (despite the full return of the clothing exemption), business tax receipts registered another large annual gain, and other tax receipts benefitted from real estate gains taken in advance of Federal tax law changes which took effect in 2013. Continuing economic growth is expected to yield a fourth consecutive year of receipts growth in FY 2014.
- After climbing 7.7 percent in FY 2012, base receipts adjusted for tax law changes grew by 5.8 percent in FY 2013 and are expected to increase by 4.6 percent in FY 2014.
- Corporate profits are expected to record a fifth consecutive year of growth in calendar year 2013, albeit at a slower rate when compared to the growth rates of recent years.
- After accounting for law changes, consumer and business spending on taxable goods and services rose for the third consecutive year in FY 2013, growing 3.2 percent, and is estimated to rise 3.1 percent in FY 2014.
- Personal income tax liability growth is expected to slow in tax year 2013. Capital gains, dividend payouts, and wage shifting taken in advance of Federal tax rate hikes artificially inflated tax year 2012 liability growth. These liability components are expected to grow more slowly, or even decline in tax year 2013.
- Significant risks, such as budget disputes at the Federal level or an energy price shock, could impact economic growth, and therefore receipts growth, in FY 2014.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

All Funds receipts are projected to total \$140.8 billion, an increase of \$7.6 billion (5.7 percent) from FY 2013 results. The table below summarizes the receipts projections for FY 2014 and FY 2015.

TOTAL RECEIPTS (millions of dollars)							
	FY 2013 Results	FY 2014 Enacted	Annual \$ Change	Annual % Change	FY 2015 Projected	Annual \$ Change	Annual % Change
<b>General Fund</b>	<b>58,783</b>	<b>61,256</b>	<b>2,473</b>	<b>4.2%</b>	<b>62,884</b>	<b>1,628</b>	<b>2.7%</b>
Taxes	43,283	42,480	(803)	-1.9%	43,235	755	1.8%
Miscellaneous Receipts	3,504	3,096	(408)	-11.6%	3,551	455	14.7%
Federal Grants	62	2	(60)	-96.8%	0	(2)	-100.0%
Transfers	11,934	15,678	3,744	31.4%	16,098	420	2.7%
<b>State Funds</b>	<b>90,304</b>	<b>92,866</b>	<b>2,562</b>	<b>2.8%</b>	<b>96,035</b>	<b>3,169</b>	<b>3.4%</b>
Taxes	66,302	69,351	3,049	4.6%	71,194	1,843	2.7%
Miscellaneous Receipts	23,838	23,435	(403)	-1.7%	24,763	1,328	5.7%
Federal Grants	164	80	(84)	-51.2%	78	(2)	-2.5%
<b>All Funds</b>	<b>133,177</b>	<b>140,405</b>	<b>7,228</b>	<b>5.4%</b>	<b>143,405</b>	<b>3,000</b>	<b>2.1%</b>
Taxes	66,302	69,351	3,049	4.6%	71,194	1,843	2.7%
Miscellaneous Receipts	24,036	23,621	(415)	-1.7%	24,949	1,328	5.6%
Federal Grants	42,839	47,433	4,594	10.7%	47,262	(171)	-0.4%

This change in taxes and transfers reflects an Enacted Budget accounting change that will first deposit 25 percent of sales tax receipts that were formerly directed to the General Fund into the new Sales Tax Bond Fund. The balance will be transferred to the General Fund after the payment of debt service.

Base growth of 4.6 percent in tax receipts is estimated for FY 2014, after adjusting for law changes, and is projected to be 4.8 percent in FY 2015. These projected increases in overall base growth in tax receipts are dependent on many factors:

- Continued growth in a broad range of economic activities;
- Improving profitability and moderate wage growth;
- Recovery in the real estate market, particularly the residential market; and
- Increases in consumer spending as a result of wage and employment gains.

## PERSONAL INCOME TAX

PERSONAL INCOME TAX (millions of dollars)							
	FY 2013 Results	FY 2014 Enacted	Annual \$ Change	Annual % Change	FY 2015 Projected	Annual \$ Change	Annual % Change
<b>General Fund<sup>1</sup></b>	<b>26,884</b>	<b>28,488</b>	<b>1,604</b>	<b>6.0%</b>	<b>29,423</b>	<b>935</b>	<b>3.3%</b>
Gross Collections	47,443	50,421	2,978	6.3%	52,637	2,216	4.4%
Refunds	(7,216)	(7,878)	(662)	9.2%	(8,603)	(725)	9.2%
STAR	(3,286)	(3,419)	(133)	4.0%	(3,602)	(183)	5.4%
RBTF	(10,057)	(10,636)	(579)	5.8%	(11,009)	(373)	3.5%
<b>State/All Funds</b>	<b>40,227</b>	<b>42,543</b>	<b>2,316</b>	<b>5.8%</b>	<b>44,034</b>	<b>1,491</b>	<b>3.5%</b>
Gross Collections	47,443	50,421	2,978	6.3%	52,637	2,216	4.4%
Refunds	(7,216)	(7,878)	(662)	9.2%	(8,603)	(725)	9.2%

<sup>1</sup>Excludes Transfers

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

All Funds receipts for FY 2014 are projected to be \$42.5 billion, an increase of \$2.3 billion (5.8 percent) from FY 2013. This primarily reflects increases in withholding and extension payments attributable to the 2012 tax year, partially offset by an increase in total refunds and a decline in 2013 estimated payments.

Withholding is projected to be \$1.2 billion (3.8 percent) higher compared to FY 2013, due mainly to modest growth in the tax-law-adjusted withholding base, partially offset by the influence of inflation-adjusted tax brackets. Extension payments are estimated to increase by \$1.9 billion (60.4 percent), resulting from a significant acceleration of capital gains realizations and other non-wage income into tax year 2012 in anticipation of increased Federal income tax rates beginning in tax year 2013. Estimated payments for tax year 2013 are projected to be \$284 million (3.2 percent) lower. Final return payments and delinquencies are projected to be \$38 million (1.8 percent) and \$88 million (7.7 percent) higher, respectively.

The increase in total refunds of \$662 million (9.2 percent) reflects a \$486 million (10.6 percent) increase in current refunds, a \$63 million (10.6 percent) increase in prior refunds, and a \$114 million (36.9 percent) increase in the State-City offset.

General Fund income tax receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State personal income tax revenue bonds. General Fund income tax receipts for FY 2014 of \$28.5 billion are expected to increase by \$1.6 billion (6 percent) from the prior year, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$10.6 billion and the STAR transfer is projected to be \$3.4 billion.

The following table summarizes, by component, actual receipts for FY 2013 and forecast amounts through FY 2017.

<b>PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS</b>					
<b>ALL FUNDS</b>					
(millions of dollars)					
	<b>FY 2013</b>	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2016</b>	<b>FY 2017</b>
	<b>Results</b>	<b>Enacted</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
<b>Receipts</b>					
Withholding	31,958	33,166	35,511	37,844	40,098
Estimated Payments	12,193	13,838	13,707	14,805	15,752
Current Year	9,001	8,718	10,148	10,968	11,720
Prior Year*	3,192	5,120	3,559	3,837	4,032
Final Returns	2,148	2,186	2,151	2,251	2,350
Current Year	203	241	242	242	241
Prior Year*	1,945	1,945	1,909	2,009	2,109
Delinquent	1,144	1,231	1,268	1,308	1,353
Gross Receipts	<u>47,443</u>	<u>50,421</u>	<u>52,637</u>	<u>56,208</u>	<u>59,553</u>
<b>Refunds</b>					
Prior Year*	4,568	5,054	5,627	6,304	6,783
Previous Years	588	651	569	553	563
Current Year*	1,751	1,750	1,750	1,750	1,750
Family Tax Credit Rebate	N/A	N/A	410	410	410
State-City Offset*	309	423	248	198	148
Total Refunds	<u>7,216</u>	<u>7,878</u>	<u>8,603</u>	<u>9,215</u>	<u>9,654</u>
<b>Net Receipts</b>	<b><u>40,227</u></b>	<b><u>42,543</u></b>	<b><u>44,034</u></b>	<b><u>46,993</u></b>	<b><u>49,899</u></b>

\*These components, collectively, are known as the "settlement" on the prior year's tax liability.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

All Funds income tax receipts for FY 2015 of \$44 billion are projected to increase \$1.5 billion (3.5 percent) from the prior year. This primarily reflects increases of \$2.3 billion (7.1 percent) in withholding and \$1.4 billion (16.4 percent) in estimated payments related to tax year 2014, partially offset by a decline of \$1.6 billion (30.5 percent) in extension payments related to tax year 2013 and a \$725 million (9.2 percent) increase in total refunds. The strong growth in withholding is related to projected wage growth of 5.1 percent. The decline in extension payments is a function of an inflated base in the prior year, related to accelerated capital gains realizations, while the increase in tax year 2014 estimated payments reflect a rebound from a year of decline. The growth in total refunds is attributable in part to the middle class family rebate approved in the FY 2014 Enacted Budget. Payments from final returns are expected to decline \$35 million (1.6 percent), while delinquencies are projected to increase \$37 million (3.0 percent) from the prior year.

General Fund income tax receipts for FY 2015 of \$29.4 billion are projected to increase by \$935 million (3.3 percent). RBTF deposits are projected to be \$11 billion, and the STAR transfer is projected to be \$3.6 billion.

PERSONAL INCOME TAX: CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	FY 2014				FY 2015			
	Executive Amendments	Enacted Budget	\$ Change	% Change	Executive Amendments	Enacted Budget	\$ Change	% Change
<b>General Fund<sup>1</sup></b>	<b>28,396</b>	<b>28,488</b>	<b>92</b>	<b>0.3%</b>	<b>29,290</b>	<b>29,423</b>	<b>133</b>	<b>0.5%</b>
Gross Collections	49,898	50,421	523	1.0%	51,949	52,637	688	1.3%
Refunds	(7,478)	(7,878)	(400)	5.3%	(8,093)	(8,603)	(510)	6.3%
STAR	(3,419)	(3,419)	0	0.0%	(3,602)	(3,602)	0	0.0%
RBTF	(10,605)	(10,636)	(31)	0.3%	(10,964)	(11,009)	(45)	0.4%
<b>State/All Funds</b>	<b>42,420</b>	<b>42,543</b>	<b>123</b>	<b>0.3%</b>	<b>43,856</b>	<b>44,034</b>	<b>178</b>	<b>0.4%</b>
Gross Collections	49,898	50,421	523	1.0%	51,949	52,637	688	1.3%
Refunds	(7,478)	(7,878)	(400)	5.3%	(8,093)	(8,603)	(510)	6.3%

<sup>1</sup>Excludes Transfers

Compared to the Executive Budget, FY 2014 All Funds income tax receipts have been revised upward by \$123 million, based on the tax year 2012 settlement and experience to date. The increase reflects larger-than-expected tax year 2012 extension payments (\$1.1 billion) and delinquencies (\$23 million), offset by a combination of reduced withholding (\$300 million), a decline in expected tax year 2013 estimated payments (\$200 million), a lower estimate of tax year 2012 final payments (\$80 million), and an increase in total refunds (\$400 million). The increase in extension payments is driven by higher-than-expected acceleration of capital gains realizations in 2012. The reduced expectation for withholding collections is related to weaker-than-expected growth during the first two months of the fiscal year.

Half of the adjustment in total refunds (\$200 million) reflects projections based on the spike in the April 2013 offset transfer to New York City from the State following the reduction in New York State personal income tax rates in the PIT reform enacted in December 2011. The projection for refunds related to years before tax year 2012 has been increased by \$100 million in anticipation of expedited refund payments, and prior year refunds related to tax year 2012 have also been increased by \$100 million due to the likelihood of higher claims on extended final returns.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Compared to the Executive Budget, FY 2015 All Funds income tax receipts are revised upward by \$178 million. This change reflects a downward re-estimate of \$100 million in withholding, a \$300 million upward re-estimate in total estimated payments (comprised of a \$400 million upward re-estimate in tax year 2013 extension payments and a \$100 million downward re-estimate in tax year 2014 estimated payments), and \$23 million in additional delinquency collections. The remaining \$55 million upward revision consists of total net revenue from new legislation. Legislative changes are comprised of an additional \$500 million in withholding related to the extension of the income tax reform program, partially offset by a \$35 million reduction in estimated payments due to the new small business exemption, and \$410 million in additional refunds for the new middle class family rebate.

<b>PERSONAL INCOME TAX</b> (millions of dollars)					
	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>Annual \$ Change</b>	<b>FY 2017 Projected</b>	<b>Annual \$ Change</b>
<b>General Fund<sup>1</sup></b>	<b>29,423</b>	<b>31,541</b>	<b>2,118</b>	<b>33,619</b>	<b>2,078</b>
Gross Collections	52,637	56,208	3,571	59,553	3,345
Refunds	(8,603)	(9,215)	(612)	(9,654)	(439)
STAR	(3,602)	(3,704)	(102)	(3,805)	(101)
RBTF	(11,009)	(11,748)	(739)	(12,475)	(727)
<b>State/All Funds</b>	<b>44,034</b>	<b>46,993</b>	<b>2,959</b>	<b>49,899</b>	<b>2,906</b>
Gross Collections	52,637	56,208	3,571	59,553	3,345
Refunds	(8,603)	(9,215)	(612)	(9,654)	(439)

<sup>1</sup>Excludes Transfers

All Funds income tax receipts of \$47 billion in FY 2016 are projected to increase \$3 billion (6.7 percent) from the prior year. Gross receipts are projected to increase 6.8 percent and reflect withholding that is projected to grow by \$2.3 billion (6.6 percent) and estimated payments related to tax year 2015 that are projected to grow by \$820 million (8.1 percent). The aforementioned three-year extension of the December 2011 income tax reform contributes \$893 million to the projected withholding increase and \$1.1 billion to the projected increase in estimated payments. Payments from extensions for tax year 2014 are projected to increase by \$278 million (7.8 percent) and final returns are expected to increase \$100 million (4.6 percent). Delinquencies are projected to increase \$40 million (3.2 percent) from the prior year. Total refunds are projected to increase by \$612 million (7.1 percent) from the prior year.

General Fund income tax receipts for FY 2016 of \$31.5 billion are projected to increase by \$2.1 billion (7.2 percent).

All Funds income tax receipts are projected to increase by \$2.9 billion (6.2 percent) in FY 2017 to reach \$49.9 billion, while General Fund receipts are projected to be \$33.6 billion.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## USER TAXES AND FEES

USER TAXES AND FEES (millions of dollars)							
	FY 2013 Results	FY 2014 Enacted	Annual \$ Change	Annual % Change	FY 2015 Projected	Annual \$ Change	Annual % Change
<b>General Fund<sup>1</sup></b>	<b>9,112</b>	<b>6,548</b>	<b>(2,564)</b>	<b>-28.1%</b>	<b>6,814</b>	<b>266</b>	<b>4.1%</b>
Sales Tax	8,423	5,866	(2,557)	-30.4%	6,133	267	4.6%
Cigarette and Tobacco Taxes	443	431	(12)	-2.7%	425	(6)	-1.4%
Alcoholic Beverage Taxes	246	251	5	2.0%	256	5	2.0%
<b>State/All Funds</b>	<b>14,615</b>	<b>15,116</b>	<b>501</b>	<b>3.4%</b>	<b>15,689</b>	<b>573</b>	<b>3.8%</b>
Sales Tax	11,989	12,530	541	4.5%	13,101	571	4.6%
Cigarette and Tobacco Taxes	1,551	1,491	(60)	-3.9%	1,466	(25)	-1.7%
Motor Fuel Tax	492	500	8	1.6%	504	4	0.8%
Highway Use Tax	145	140	(5)	-3.4%	143	3	2.1%
Alcoholic Beverage Taxes	246	251	5	2.0%	256	5	2.0%
Taxicab Surcharge	83	90	7	8.4%	100	10	11.1%
Auto Rental Tax	109	114	5	4.6%	119	5	4.4%

<sup>1</sup>Excludes Transfers

All Funds user taxes and fees receipts for FY 2014 are estimated to be \$15.1 billion, an increase of \$501 million (3.4 percent) from FY 2013. Sales tax receipts are expected to increase by \$541 million (4.5 percent) from the prior year as the result of base growth (i.e., absent law changes) of 3.1 percent (due in part to strong vehicle sales) and the impact of new enforcement initiatives. Cigarette and tobacco collections are estimated to decline by \$60 million (3.9 percent), primarily reflecting trend declines and a continuation of atypical reductions in tax stamp sales, particularly in NYC.

General Fund user taxes and fees receipts for FY 2014 are estimated to total \$6.5 billion in FY 2014, a decrease of nearly \$2.6 billion (28.1 percent) from FY 2013. This decrease reflects an Enacted Budget accounting change that will first deposit 25 percent of sales tax receipts that were formerly directed to the General Fund into the new Sales Tax Bond Fund. The balance will be transferred to the General Fund after the payment of debt service. Absent this redistribution, General Fund sales tax receipts are estimated to increase by \$378 million (4.5 percent) from the prior year. Also, there is an estimated decline in cigarette and tobacco collections of \$12 million (2.7 percent) from FY 2013.

All Funds user taxes and fees receipts for FY 2015 are projected to be \$15.7 billion, an increase of \$573 million (3.8 percent) from FY 2014. The increase in sales tax receipts of \$571 million (4.6 percent) reflects sales tax base growth of 4.4 percent due to strong projected disposable income growth. Cigarette and tobacco tax receipts are projected to decline by \$25 million (1.7 percent).

General Fund user taxes and fees receipts are projected to total \$6.8 billion in FY 2015, an increase of \$266 million (4.1 percent). This increase largely reflects the projected increase in All Funds sales tax receipts discussed above.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

USER TAXES AND FEES: CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	FY 2014				FY 2015			
	Executive Amendments	Enacted Budget	\$ Change	% Change	Executive Amendments	Enacted Budget	\$ Change	% Change
<b>General Fund<sup>1</sup></b>	<b>9,492</b>	<b>6,548</b>	<b>(2,944)</b>	<b>-31.0%</b>	<b>9,890</b>	<b>6,814</b>	<b>(3,076)</b>	<b>-31.1%</b>
Sales Tax	8,802	5,866	(2,936)	-33.4%	9,202	6,133	(3,069)	-33.4%
Cigarette and Tobacco Taxes	441	431	(10)	-2.3%	435	425	(10)	-2.3%
Alcoholic Beverage Taxes	249	251	2	0.8%	253	256	3	1.2%
<b>State/All Funds</b>	<b>15,167</b>	<b>15,116</b>	<b>(51)</b>	<b>-0.3%</b>	<b>15,730</b>	<b>15,689</b>	<b>(41)</b>	<b>-0.3%</b>
Sales Tax	12,533	12,530	(3)	0.0%	13,104	13,101	(3)	0.0%
Cigarette and Tobacco Taxes	1,535	1,491	(44)	-2.9%	1,508	1,466	(42)	-2.8%
Motor Fuel Tax	500	500	0	0.0%	503	504	1	0.2%
Highway Use Tax	140	140	0	0.0%	143	143	0	0.0%
Alcoholic Beverage Taxes	249	251	2	0.8%	253	256	3	1.2%
Taxicab Surcharge	96	90	(6)	-6.3%	100	100	0	0.0%
Auto Rental Tax	114	114	0	0.0%	119	119	0	0.0%

<sup>1</sup>Excludes Transfers

All Funds user taxes and fees in FY 2014 are revised down by \$51 million and All Funds user taxes and fees in FY 2015 are revised down by \$41 million from the Executive Budget. The estimate for FY 2014 and FY 2015 All Funds cigarette and tobacco taxes is reduced by \$44 million and \$42 million, respectively, as declines in stamp sales continue to be sharper than expected (i.e. greater than suggested by the long-run trend decline). Due to the delay in the issuance of new taxicab medallions, taxicab receipts are reduced by \$6 million in FY 2014.

As discussed above, General Fund receipts were lowered due to an Enacted Budget accounting change.

USER TAXES AND FEES (millions of dollars)					
	FY 2015 Projected	FY 2016 Projected	Annual \$ Change	FY 2017 Projected	Annual \$ Change
<b>General Fund<sup>1</sup></b>	<b>6,814</b>	<b>7,094</b>	<b>280</b>	<b>7,275</b>	<b>181</b>
Sales Tax	6,133	6,415	282	6,599	184
Cigarette and Tobacco Taxes	425	418	(7)	410	(8)
Alcoholic Beverage Taxes	256	261	5	266	5
<b>State/All Funds</b>	<b>15,689</b>	<b>16,275</b>	<b>586</b>	<b>16,640</b>	<b>365</b>
Sales Tax	13,101	13,695	594	14,085	390
Cigarette and Tobacco Taxes	1,466	1,436	(30)	1,405	(31)
Motor Fuel Tax	504	507	3	510	3
Highway Use Tax	143	151	8	149	(2)
Alcoholic Beverage Taxes	256	261	5	266	5
Taxicab Surcharge	100	101	1	101	0
Auto Rental Tax	119	124	5	124	0

<sup>1</sup>Excludes Transfers

All Funds user taxes and fees are projected to be \$16.3 billion in FY 2016 and \$16.6 billion in FY 2017, representing increases of \$586 million (3.7 percent) and \$365 million (2.2 percent) respectively. These increases represent base growth in sales tax receipts, offset slightly by trend declines in cigarette tax collections.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## BUSINESS TAXES

BUSINESS TAXES (millions of dollars)							
	FY 2013 Results	FY 2014 Enacted	Annual \$ Change	Annual % Change	FY 2015 Projected	Annual \$ Change	Annual % Change
<b>General Fund</b>	<b>6,253</b>	<b>6,375</b>	<b>122</b>	<b>2.0%</b>	<b>5,854</b>	<b>(521)</b>	<b>-8.2%</b>
Corporate Franchise Tax	2,624	2,934	310	11.8%	2,237	(697)	-23.8%
Corporation and Utilities Tax	686	603	(83)	-12.1%	646	43	7.1%
Insurance Tax	1,346	1,418	72	5.3%	1,468	50	3.5%
Bank Tax	1,597	1,420	(177)	-11.1%	1,503	83	5.8%
Petroleum Business Tax	0	0	0	0.0%	0	0	0.0%
<b>State/All Funds</b>	<b>8,465</b>	<b>8,638</b>	<b>173</b>	<b>2.0%</b>	<b>8,195</b>	<b>(443)</b>	<b>-5.1%</b>
Corporate Franchise Tax	3,009	3,379	370	12.3%	2,704	(675)	-20.0%
Corporation and Utilities Tax	895	788	(107)	-12.0%	833	45	5.7%
Insurance Tax	1,509	1,587	78	5.2%	1,644	57	3.6%
Bank Tax	1,912	1,694	(218)	-11.4%	1,789	95	5.6%
Petroleum Business Tax	1,140	1,190	50	4.4%	1,225	35	2.9%

All Funds business tax receipts for FY 2014 are estimated at \$8.6 billion, an increase of \$173 million (2 percent) from the prior year. The estimate reflects growth across all taxes except the corporate utilities tax and bank tax. Growth in corporate franchise, insurance, and petroleum business tax receipts reflect a continuation of growth seen in FY 2013. Corporation and utilities tax receipts are expected to decline in FY 2014, and the extraordinary FY 2013 growth in bank tax receipts is not expected to continue in FY 2014.

The annual increase in corporate franchise tax receipts of \$370 million (12.3 percent) is attributable to higher gross receipts and additional audit recoveries. Gross receipts are estimated to increase 5 percent in FY 2014 based on corporate profits growth of 5.7 percent. Audit receipts are expected to be higher in FY 2014 as several large cases are projected. Refunds are expected to increase in FY 2014 compared to FY 2013 as the number of refundable tax credits claimed by taxpayers continues to increase.

Corporation and utilities tax receipts are expected to decline \$107 million (12 percent) in FY 2014. Both gross receipts and audits are expected to be lower than the prior year. The decline in gross receipts is attributable to weakness in the telecommunications sector. The widespread use of smart phones and social networks to communicate continues to erode the taxable base for the telecommunications sector. Audit receipts are expected to decline \$46 million from FY 2013. In FY 2013, receipts from two large cases were received. No large cases are projected for FY 2014. Additionally, refunds are expected to be higher than FY 2013 due to an atypically large refund expected to be paid in FY 2014.

Insurance tax receipts are expected to increase \$78 million (5.2 percent) in FY 2014. After several years of decline, the insurance tax grew 7.1 percent in FY 2013. Growth in insurance tax receipts is expected throughout the Financial Plan period.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Bank tax receipts are estimated to decline \$218 million (11.4 percent) in FY 2014. Gross receipts in FY 2013 grew 19.7 percent, driven by strength in receipts from commercial calendar year and fiscal year filers. Gross receipts are expected to show no growth in FY 2014 as the FY 2013 strength is not expected to be repeated. Additionally, audit receipts are expected to decline 52.3 percent (\$211 million) as fewer large cases are projected. Several large cases were received in FY 2013.

Petroleum business tax receipts are expected to increase \$50 million (4.4 percent) in FY 2014, primarily due to the 5 percent increase in PBT tax rates effective January 2013 and the estimated 3 percent increase in PBT tax rates effective January 2014.

General Fund business tax receipts for FY 2014 of \$6.4 billion are estimated to increase by \$122 million (2 percent) from FY 2013 results. Business tax receipts deposited to the General Fund reflect the All Funds trends discussed above.

All Funds business tax receipts for FY 2015 of \$8.2 billion are projected to decrease \$443 million (5.1 percent) from the prior year. This decrease primarily reflects the first year of the repayment of deferred tax credits to taxpayers. Excluding this payback, FY 2015 receipts would show virtually no change from FY 2014.

General Fund business tax receipts for FY 2015 of \$5.9 billion are projected to decrease \$521 million (8.2 percent) from the prior year for the reasons cited above.

<b>ALL FUNDS BUSINESS TAX AUDIT AND NON-AUDIT RECEIPTS</b>					
(millions of dollars)					
	FY 2010 (Actual)	FY 2011 (Actual)	FY 2012 (Actual)	FY 2013 (Actual)	FY 2014 (Enacted)
<b>Corporate Franchise Tax</b>	<b>2,511</b>	<b>2,846</b>	<b>3,176</b>	<b>3,009</b>	<b>3,379</b>
Audit	698	810	1,080	752	1,025
Non-Audit	1,813	2,036	2,096	2,257	2,354
<b>Corporation and Utilities Taxes</b>	<b>954</b>	<b>813</b>	<b>797</b>	<b>895</b>	<b>788</b>
Audit	52	13	30	100	54
Non-Audit	902	800	767	795	734
<b>Insurance Taxes</b>	<b>1,491</b>	<b>1,351</b>	<b>1,413</b>	<b>1,509</b>	<b>1,587</b>
Audit	35	38	21	35	21
Non-Audit	1,456	1,313	1,392	1,474	1,566
<b>Bank Taxes</b>	<b>1,399</b>	<b>1,179</b>	<b>1,392</b>	<b>1,912</b>	<b>1,694</b>
Audit	290	239	125	404	193
Non-Audit	1,109	940	1,267	1,508	1,501
<b>Petroleum Business Taxes</b>	<b>1,104</b>	<b>1,090</b>	<b>1,100</b>	<b>1,140</b>	<b>1,190</b>
Audit	10	7	6	5	6
Non-Audit	1,094	1,083	1,094	1,135	1,184
<b>Total Business Taxes</b>	<b>7,459</b>	<b>7,279</b>	<b>7,878</b>	<b>8,465</b>	<b>8,638</b>
Audit	1,085	1,107	1,262	1,296	1,299
Non-Audit	6,374	6,172	6,616	7,169	7,339

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

BUSINESS TAXES: CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	FY 2014				FY 2015			
	Executive Amendments	Enacted Budget	\$ Change	% Change	Executive Amendments	Enacted Budget	\$ Change	% Change
<b>General Fund</b>	<b>6,244</b>	<b>6,375</b>	<b>131</b>	<b>2.1%</b>	<b>5,736</b>	<b>5,854</b>	<b>118</b>	<b>2.1%</b>
Corporate Franchise Tax	2,881	2,934	53	1.8%	2,225	2,237	12	0.5%
Corporation and Utilities Tax	633	603	(30)	-4.7%	660	646	(14)	-2.1%
Insurance Tax	1,364	1,418	54	4.0%	1,408	1,468	60	4.3%
Bank Tax	1,366	1,420	54	4.0%	1,443	1,503	60	4.2%
Petroleum Business Tax	0	0	0	0.0%	0	0	0	0.0%
<b>State/All Funds</b>	<b>8,460</b>	<b>8,638</b>	<b>178</b>	<b>2.1%</b>	<b>8,039</b>	<b>8,195</b>	<b>156</b>	<b>1.9%</b>
Corporate Franchise Tax	3,310	3,379	69	2.1%	2,690	2,704	14	0.5%
Corporation and Utilities Tax	811	788	(23)	-2.8%	838	833	(5)	-0.6%
Insurance Tax	1,531	1,587	56	3.7%	1,580	1,644	64	4.1%
Bank Tax	1,618	1,694	76	4.7%	1,706	1,789	83	4.9%
Petroleum Business Tax	1,190	1,190	0	0.0%	1,225	1,225	0	0.0%

Compared to the Executive Budget, FY 2014 All Funds business tax receipts are revised up \$178 million and the General Fund is increased \$131 million. The corporate franchise tax is revised up \$69 million. Additional audit recoveries and stronger gross receipts account for the increase. The insurance tax (\$56 million) and the bank tax (\$76 million) are also revised up from the Executive Budget to reflect an adjustment for FY 2013 actual receipts. The corporation and utilities tax is revised down \$23 million to reflect actual FY 2013 results for the telecommunications sector and lower audit receipts. The petroleum business tax is unchanged from the Executive Budget.

Compared to the Executive Budget, FY 2015 All Funds business tax receipts are revised up by \$156 million and the General Fund is increased \$118 million as the result of changes to all business taxes (with the exception of the PBT). The increase is primarily driven by changes to the insurance tax and the bank tax to reflect FY 2013 actual results.

BUSINESS TAXES (millions of dollars)					
	FY 2015 Projected	FY 2016 Projected	Annual \$ Change	FY 2017 Projected	Annual \$ Change
<b>General Fund</b>	<b>5,854</b>	<b>6,349</b>	<b>495</b>	<b>6,579</b>	<b>230</b>
Corporate Franchise Tax	2,237	2,595	358	2,721	126
Corporation and Utilities Tax	646	662	16	678	16
Insurance Tax	1,468	1,523	55	1,540	17
Bank Tax	1,503	1,569	66	1,640	71
Petroleum Business Tax	0	0	0	0	0
<b>State/All Funds</b>	<b>8,195</b>	<b>8,750</b>	<b>555</b>	<b>9,046</b>	<b>296</b>
Corporate Franchise Tax	2,704	3,085	381	3,234	149
Corporation and Utilities Tax	833	854	21	881	27
Insurance Tax	1,644	1,706	62	1,730	24
Bank Tax	1,789	1,870	81	1,956	86
Petroleum Business Tax	1,225	1,235	10	1,245	10

All Funds business tax receipts for FY 2016 and FY 2017 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. All Funds business tax receipts are projected to increase to \$8.8 billion (6.8 percent) in FY 2016, and increase to \$9 billion (3.4 percent) in FY 2017. General Fund business tax receipts are expected to increase to \$6.3 billion (8.5 percent) in FY 2016 and increase to \$6.6 billion (3.6 percent) in FY 2017.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### OTHER TAXES

OTHER TAXES (millions of dollars)							
	FY 2013 Results	FY 2014 Enacted	Annual \$ Change	Annual % Change	FY 2015 Projected	Annual \$ Change	Annual % Change
<b>General Fund<sup>1</sup></b>	<b>1,034</b>	<b>1,069</b>	<b>35</b>	<b>3.4%</b>	<b>1,144</b>	<b>75</b>	<b>7.0%</b>
Estate Tax	1,014	1,050	36	3.6%	1,125	75	7.1%
Gift Tax	1	0	(1)	-100.0%	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0.0%
Pari-Mutuel Taxes	18	18	0	0.0%	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%
<b>State/All Funds</b>	<b>1,790</b>	<b>1,809</b>	<b>19</b>	<b>1.1%</b>	<b>1,954</b>	<b>145</b>	<b>8.0%</b>
Estate Tax	1,014	1,050	36	3.6%	1,125	75	7.1%
Gift Tax	1	0	(1)	-100.0%	0	0	2.9%
Real Property Gains Tax	0	0	0	0.0%	0	0	0.0%
Real Estate Transfer Tax	756	740	(16)	-2.1%	810	70	9.5%
Pari-Mutuel Taxes	18	18	0	0.0%	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%

<sup>1</sup>Excludes Transfers

All Funds other tax receipts for FY 2014 are estimated to be \$1.8 billion, an increase of \$19 million (1.1 percent) from FY 2013. This mainly reflects an increase of \$36 million (3.6 percent) in estate tax receipts, partially offset by a decline of \$16 million (2.1 percent) in real estate transfer tax receipts. The estate tax increase is the result of an expected return in FY 2014 to a number of super-large estate payments (payments of over \$25 million) consistent with long-term trends. The FY 2014 real estate transfer tax estimate reflects the spin-up of money from FY 2014 into FY 2013 caused by the uncertainty surrounding the fiscal cliff negotiations, which more than offsets improvements in FY 2014 market pricing.

General Fund other tax receipts are expected to be nearly \$1.1 billion in FY 2014, an increase of \$35 million (3.4 percent) from FY 2013. This reflects the change in estate tax receipts mentioned above.

All Funds other tax receipts for FY 2015 are projected to be just under \$2 billion, an increase of \$145 million (8 percent) from FY 2014. This reflects strong projected growth in both the real estate transfer and estate taxes.

General Fund other tax receipts are expected to total more than \$1.1 billion in FY 2015. This reflects an increase of \$75 million (7.1 percent) in estate tax receipts due to a projected increase in household net worth.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OTHER TAXES: CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	FY 2014				FY 2015			
	Executive Amendments	Enacted Budget	\$ Change	% Change	Executive Amendments	Enacted Budget	\$ Change	% Change
<b>General Fund<sup>1</sup></b>	<b>1,154</b>	<b>1,069</b>	<b>(85)</b>	<b>-7.4%</b>	<b>1,224</b>	<b>1,144</b>	<b>(80)</b>	<b>-6.5%</b>
Estate Tax	1,135	1,050	(85)	-7.5%	1,205	1,125	(80)	-6.6%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Pari-Mutuel Taxes	18	18	0	0.0%	18	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%
<b>State/All Funds</b>	<b>1,839</b>	<b>1,809</b>	<b>(30)</b>	<b>-1.6%</b>	<b>1,984</b>	<b>1,954</b>	<b>(30)</b>	<b>-1.5%</b>
Estate Tax	1,135	1,050	(85)	-7.5%	1,205	1,125	(80)	-6.6%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Real Estate Transfer Tax	685	740	55	8.0%	760	810	50	6.6%
Pari-Mutuel Taxes	18	18	0	0.0%	18	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%

<sup>1</sup>Excludes Transfers

All Funds other tax receipts in FY 2014 are revised down by \$30 million from the Executive Budget primarily due to a decrease in the estate tax of \$85 million resulting from lower-than-expected FY 2013 collections, partially offset by an increase in the real estate transfer tax of \$55 million. All Funds other taxes are revised down by \$30 million for FY 2015, representing an extension of the revisions to the FY 2014 estimates.

OTHER TAXES (millions of dollars)					
	FY 2015 Projected	FY 2016 Projected	Annual \$ Change	FY 2017 Projected	Annual \$ Change
<b>General Fund<sup>1</sup></b>	<b>1,144</b>	<b>1,159</b>	<b>15</b>	<b>1,169</b>	<b>10</b>
Estate Tax	1,125	1,140	15	1,150	10
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	18	0	18	0
All Other Taxes	1	1	0	1	0
<b>State/All Funds</b>	<b>1,954</b>	<b>2,044</b>	<b>90</b>	<b>2,109</b>	<b>65</b>
Estate Tax	1,125	1,140	15	1,150	10
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Real Estate Transfer Tax	810	885	75	940	55
Pari-Mutuel Taxes	18	18	0	18	0
All Other Taxes	1	1	0	1	0

<sup>1</sup>Excludes Transfers

The FY 2016 All Funds receipts projection for other taxes is over \$2 billion, an increase of \$90 million (4.6 percent) from FY 2015. Growth in the estate tax is projected to follow forecast increases in household net worth. Receipts from the real estate transfer tax are also projected to increase, reflecting continuing growth in the residential and commercial real estate markets.

The FY 2017 All Funds receipts projection for other taxes is \$2.1 billion, an increase of \$65 million (3.2 percent) from FY 2016. Moderate growth is projected in estate tax collections, following forecast increases in household net worth. Real estate transfer tax collections are projected to grow as a result of increases in the value of real property transfers.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

General Fund other tax receipts for FY 2016 are projected to grow by \$15 million (1.3 percent) entirely due to the modest growth in the estate tax noted above. General Fund other tax receipts for FY 2017 are projected to increase by \$10 million (0.9 percent), also due to the small estate tax growth noted above.

### MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS (millions of dollars)							
	FY 2013 Results	FY 2014 Enacted	Annual \$ Change	Annual % Change	FY 2015 Projected	Annual \$ Change	Annual % Change
<b>General Fund</b>	<b>3,566</b>	<b>3,098</b>	<b>(468)</b>	<b>-13.1%</b>	<b>3,551</b>	<b>453</b>	<b>14.6%</b>
Miscellaneous Receipts	3,504	3,096	(408)	-11.6%	3,551	455	14.7%
Federal Grants	62	2	(60)	-96.8%	0	(2)	-100.0%
<b>State Funds</b>	<b>24,002</b>	<b>23,515</b>	<b>(487)</b>	<b>-2.0%</b>	<b>24,841</b>	<b>1,326</b>	<b>5.6%</b>
Miscellaneous Receipts	23,838	23,435	(403)	-1.7%	24,763	1,328	5.7%
Federal Grants	164	80	(84)	-51.2%	78	(2)	-2.5%
<b>All Funds</b>	<b>66,875</b>	<b>71,054</b>	<b>4,179</b>	<b>6.2%</b>	<b>72,211</b>	<b>1,157</b>	<b>1.6%</b>
Miscellaneous Receipts	24,036	23,621	(415)	-1.7%	24,949	1,328	5.6%
Federal Grants	42,839	47,433	4,594	10.7%	47,262	(171)	-0.4%

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, and a variety of fees and licenses. All Funds miscellaneous receipts are projected to total \$23.6 billion in FY 2014, a decrease of \$415 million from FY 2013. The General Fund component of the All Funds miscellaneous receipts represent \$3.1 billion in FY 2014, a decrease of \$408 million from FY 2013 results, largely due to the one-time receipt during FY 2013 of \$340 million as part of a settlement between DFS and Standard Chartered Bank, and lower motor vehicle fee receipts due to the cyclical nature of eight-year license renewals. Significant changes outside of the General Fund include projected increases in HCRA, SUNY income, and growth in bond proceeds funding for several capital improvement projects, offset by a decline in debt service receipts that is largely associated with the restructuring of the SUNY Dormitory bonding program which moves associated receipts and spending from the State's All Governmental Funds budget.

Federal grants help pay for State spending on Medicaid, temporary and disability assistance, mental hygiene, School Aid, public health, and other activities. Annual changes to Federal grants generally correspond to changes in Federally-reimbursed spending. Accordingly, DOB typically plans for Federal reimbursement to be received in the State fiscal year in which spending occurs, but timing sometimes varies. All Funds Federal grants are projected to total \$47.4 billion in FY 2014, an increase of \$4.6 billion from FY 2013, driven primarily by additional Federal funding for disaster assistance costs, as well as the annual impact of increased Federal spending associated with the ACA.

All Funds miscellaneous receipts are projected to increase by \$1.3 billion in FY 2015, the General Fund component of which is \$455 million and primarily reflects new resources from the planned transfer of \$500 million from the SIF release related to Workers' Compensation reform. Other projected State fund increases to miscellaneous receipts include bond proceeds for capital projects, HCRA, debt service, and SUNY income from tuition revenues.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

All Funds Federal grants are projected to decrease by \$171 million in FY 2015, driven primarily by the timing of Federal disaster assistance costs, the majority of which is expected to be disbursed during FY 2014.

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS: CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	FY 2014				FY 2015			
	Executive Amendments	Enacted Budget	\$ Change	% Change	Executive Amendments	Enacted Budget	\$ Change	% Change
<b>General Fund</b>	<b>3,103</b>	<b>3,098</b>	<b>(5)</b>	<b>-0.2%</b>	<b>3,030</b>	<b>3,551</b>	<b>521</b>	<b>17.2%</b>
Miscellaneous Receipts	3,101	3,096	(5)	-0.2%	3,030	3,551	521	17.2%
Federal Grants	2	2	0	0.0%	0	0	0	0.0%
<b>State Funds</b>	<b>23,790</b>	<b>23,515</b>	<b>(275)</b>	<b>-1.2%</b>	<b>24,266</b>	<b>24,841</b>	<b>575</b>	<b>2.4%</b>
Miscellaneous Receipts	23,703	23,435	(268)	-1.1%	24,181	24,763	582	2.4%
Federal Grants	87	80	(7)	-8.0%	85	78	(7)	-8.2%
<b>All Funds</b>	<b>71,837</b>	<b>71,054</b>	<b>(783)</b>	<b>-1.1%</b>	<b>72,110</b>	<b>72,211</b>	<b>101</b>	<b>0.1%</b>
Miscellaneous Receipts	23,889	23,621	(268)	-1.1%	24,367	24,949	582	2.4%
Federal Grants	47,948	47,433	(515)	-1.1%	47,743	47,262	(481)	-1.0%

Projected All Funds miscellaneous receipts for FY 2014 and FY 2015 changed between the Executive Budget to the Enacted Budget by \$268 million downward and \$582 million upward, respectively. The downward adjustment to FY 2014 receipts is primarily attributable to revised estimates for the level of bond proceeds that will become available to fund capital improvement projects and a downward adjustment in receipts from youth facilities. The upward adjustment to projected FY 2015 miscellaneous receipts largely reflects \$500 million from the SIF reserve release related to Workers' Compensation reform which had been proposed to be directed to FY 2014 PAYGO capital before reprogrammed toward reducing the budget shortfall in FY 2015.

The decrease in Federal grants for FY 2014 and FY 2015 from the Executive Budget estimates (\$515 million and \$481 million, respectively) is driven by revised spending assumptions associated with Federal disaster assistance, Medicaid and Federal education aid.

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS (millions of dollars)					
	FY 2015 Projected	FY 2016 Projected	Annual \$ Change	FY 2017 Projected	Annual \$ Change
<b>General Fund</b>	<b>3,551</b>	<b>2,682</b>	<b>(869)</b>	<b>2,653</b>	<b>(29)</b>
Miscellaneous Receipts	3,551	2,682	(869)	2,653	(29)
Federal Grants	0	0	0	0	0
<b>State Funds</b>	<b>24,841</b>	<b>24,332</b>	<b>(509)</b>	<b>23,780</b>	<b>(552)</b>
Miscellaneous Receipts	24,763	24,254	(509)	23,702	(552)
Federal Grants	78	78	0	78	0
<b>All Funds</b>	<b>72,211</b>	<b>72,682</b>	<b>471</b>	<b>74,853</b>	<b>2,171</b>
Miscellaneous Receipts	24,949	24,440	(509)	23,888	(552)
Federal Grants	47,262	48,242	980	50,965	2,723

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

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All Funds miscellaneous receipts decrease by \$509 million in FY 2016, driven by the decline in General Fund resources transferred from SIF, partially offset by a projected increase in miscellaneous receipts from bond proceeds available to fund capital improvement projects. All Funds miscellaneous receipts decrease by \$552 million in FY 2017, driven by a projected decrease in miscellaneous receipts for capital projects, partially offset by increases in SUNY income and HCRA revenue collections.

Annual Federal grants growth of \$980 million in FY 2016 and \$2.7 billion in FY 2017 is primarily due to growth in Medicaid spending, reflecting the continued impact of spending associated with the ACA.

### ENACTED BUDGET REVENUE ACTIONS

The Enacted Budget includes Tax Law changes which cut taxes, extend provisions that would have otherwise expired, improve collections of past due taxes, and make technical corrections.

On an All Funds basis, actions in the Enacted Budget will increase revenue by an estimated \$408 million in FY 2014.

#### REVENUE ACTIONS

- **Tax Credits and Exemptions.** The Enacted Budget contains ten tax credits and exemptions that are expected to produce \$476 million in tax relief on an All Funds basis in FY 2015.
- **Revenue Extenders.** The Enacted Budget contains nine revenue extenders. These actions are expected to produce \$337 million in additional tax revenue annually on an All Funds basis in FY 2014.
- **Loophole Closing Actions.** The Enacted Budget contains two loophole closing actions that are expected to produce \$7 million in additional tax revenue on an All Funds basis in FY 2014.
- **Tax Enforcement Actions.** The Enacted Budget contains three actions that will improve tax audit and compliance activities. These actions are expected to produce \$45 million in additional tax revenue on an All Funds basis in FY 2014.
- **Other Revenue Actions.** The Enacted Budget contains other revenue-related actions. The racing industry is required to pay for safety reforms; State revenue lost through traffic ticket plea bargaining is recovered; and a Statewide STAR anti-fraud protection program is established. These three actions are expected to produce \$19 million on an All Funds basis in FY 2014.
- **Technical Corrections.** The Enacted Budget contains three technical corrections that will amend previously enacted items.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## EXPANDED TAX CREDITS AND EXEMPTIONS

EXPANDED TAX CREDITS AND EXEMPTIONS (millions of dollars)				
	FY 2014		FY 2015	
	General Fund	All Funds	General Fund	All Funds
Family Tax Credit	-	-	(410)	(410)
Enhance, Extend and Improve Transparency for the New York Film Production Tax Credit	-	-	-	-
Enhance and Extend the Historic Commercial Properties Rehabilitation Tax Credit	-	-	-	-
Small Business Tax Exemption	-	-	(35)	(35)
Minimum Wage Tax Credit	-	-	-	-
Veterans Tax Credit	-	-	-	-
Manufacturer Rate Reduction	-	-	(30)	(30)
Youth Works Tax Credit	-	-	-	-
Establish the New York Innovation Hot Spots Program	-	-	-	-
Establish the Charge NY Electric Vehicle Recharging Equipment Credit	-	-	(1)	(1)
<b>TOTAL EXPANDED TAX CREDITS AND EXEMPTIONS</b>	-	-	<b>(476)</b>	<b>(476)</b>

- **Family Tax Credit.** Provides a refundable \$350 credit in each of tax years 2014-2016 to taxpayers with dependents under the age of 17, zero or positive tax liability, and income between \$40,000 and \$300,000.
- **New York Film Production Tax Credit.** Extends the Empire State film production tax credit of \$420 million per year for an additional five years. Restrictions on the post-production portion of the credit will be reduced and additional reporting will be required to document the effectiveness of the credit in creating jobs. In 2015 through 2019, film and post production projects are eligible for an additional 10 percent credit for wages and salaries (excluding writers, directors, music directors, producers and performers), paid as part of projects undertaken in certain upstate New York counties.
- **Historic Commercial Properties Rehabilitation Tax Credit.** Provides assurance to developers who are rehabilitating historic commercial property, or are considering doing so, by extending the existing \$5 million per project tax credit for five years (2015-2019) and making the credit refundable beginning in tax year 2015.
- **Small Business Tax Exemption.** Provide a credit of 5 percent of business or farm income for taxpayers with business or farm income not exceeding \$250,000, phased in over tax years 2014-2016.
- **Minimum Wage Tax Credit.** Creates a refundable tax credit for tax years 2014-2018 for a portion of the minimum wage paid to students age 16-19. The credit is 75 cents per hour in 2014, \$1.31 in 2015 and \$1.35 in 2016-2018. The credit is reduced or repealed with an increase in the Federal minimum wage.
- **Veterans Tax Credit.** Provides a refundable tax credit available for tax years 2015 and 2016. The credit equals 10 percent of wages paid to a qualified veteran, (capped at \$5,000) and 15 percent of wages paid to a disabled veteran (capped at \$15,000).
- **Manufacturer Rate Reduction.** Provides a phased in manufacturing tax rate reduction of 9.2 percent in tax year 2014, 12.3 percent in 2015, 15.4 percent in 2016 and 2017, and 25 percent effective tax year 2018.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

- **Youth Works Tax Credit.** Provides a four year refundable tax credit capped at \$6 million per year for tax years 2014 through 2017 for hiring unemployed, low-income or at-risk youth ages 16-24 in cities with populations greater than 55,000 or towns with populations greater than 480,000.
- **New York Innovation Hot Spots Program.** Creates a new high tech incubator program in which start-up businesses will be free of property, sales and business income taxes for the first five years. ESD is authorized to designate five hot spots in 2013-14 and an additional five in 2014-15. Hot spots must demonstrate an affiliation with, and the support of, at least one college, university or independent research institution and offer programs consistent with regional economic development strategies.
- **Charge NY Electric Vehicle Recharging Equipment Credit.** To encourage greater use of electric and other alternative fuel vehicles, creates a credit equal to the lesser of 50 percent or \$5,000 per station for the cost of electric vehicle recharging or alternative fuel vehicle refueling equipment. Equipment needed to recharge electric vehicles, dispense natural gas, liquefied natural gas, liquefied petroleum or hydrogen qualifies for the credit.

### REVENUE EXTENDERS

REVENUE EXTENDERS (millions of dollars)				
	FY 2014		FY 2015	
	General Fund	All Funds	General Fund	All Funds
Extend Current PIT Brackets and Rates with Indexing	-	-	500	500
Extend the High Income Charitable Contribution Deduction Limitation	70	70	140	140
Lower and Phase Out the 18-a Utility Assessment	255	255	472	472
Extend Tax Modernization Provisions	6	6	22	22
Extend Monticello VLT Rates	-	(3)	-	-
Extend Certain Tax Rates and Authorizations for Account Wagering	-	-	-	-
Extend the MTA Business Tax Surcharge	-	-	-	-
Extend Historic Homes Rehabilitation Credit	-	-	-	-
Extend Waste Tire Fee	-	9	-	24
<b>TOTAL REVENUE EXTENDERS</b>	<b>331</b>	<b>337</b>	<b>1,134</b>	<b>1,158</b>

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

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- **Current PIT Brackets and Rates with Indexing.** Extends the December 2011 PIT reform program for three additional tax years, 2015-2017.
- **High Income Charitable Contribution Deduction Limitation.** Extends for three years, starting with tax year 2013, the existing limitation on charitable contribution deductions for New York State and New York City taxpayers with adjusted gross income over \$10 million.
- **18-a Utility Assessment.** The Temporary Utility Assessment on electric, gas, water and steam utilities will be lowered and phased out over three and one-half years beginning in FY 2015. Compared to the Executive Budget, this action will result in total utility bill savings totaling more than \$300 million through FY 2017 and over \$500 million annually thereafter. The proposed rate extension of 2 percent for public utilities will instead be lowered to 1.75 percent in FY 2016, 1.5 percent in FY 2017 and 0 percent in FY 2018. The proposed rate of 1 percent for LIPA will decrease to .75 percent, .5 percent and 0 percent over the same timeframe.
- **Tax Modernization Provisions.** Extends the tax modernization provisions enacted in 2011, and extended last year, until December 31, 2016. These provisions, including mandatory e-filing and e-payment for preparers and taxpayers, sales tax payment requirements, and segregated accounts for non-complying vendors, would have otherwise expired at the outset of the tax year 2013 filing season on December 31, 2013.
- **Monticello VLT Rates.** Extends by one-year the current distribution percentages for net machine income earned at the Monticello VLT facility.
- **Certain Tax Rates and Authorizations for Account Wagering.** Extends for one year the pari-mutuel tax rate and other racing-related provisions that have been extended numerous times on an annual basis.
- **MTA Business Tax Surcharge.** Extends the MTA business tax surcharge for an additional five years through tax year 2017. The MTA business tax surcharge has been in effect since 1982 and generates roughly \$950 million annually, which goes directly to support the cost of the nation's largest transit system.
- **Historic Homes Rehabilitation Credit.** Extends for five years the maximum credit amount of \$50,000 (scheduled to revert to \$25,000), and the refundability of the credit for persons with incomes under \$60,000 (scheduled to revert to nonrefundable).
- **Waste Tire Fee.** Extends for three years the \$2.50 per new tire fee for waste management. This fee, which generates \$24 million annually, supports important environmental efforts undertaken by the Department of Environmental Conservation.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### LOOPHOLE CLOSING ACTIONS

LOOPHOLE CLOSING ACTIONS (millions of dollars)				
	FY 2014		FY 2015	
	General Fund	All Funds	General Fund	All Funds
Close Royalty Income Loophole	-	-	25	28
Reform the IDA State Sales Tax Exemption	7	7	13	13
<b>TOTAL LOOPHOLE CLOSING ACTIONS</b>	<b>7</b>	<b>7</b>	<b>38</b>	<b>41</b>

- **Royalty Income Loophole.** Closes a loophole that allows New York companies that earn royalty income to avoid paying taxes on that income. Under the proposal, New York taxpayers would have to show on their tax return that the taxpayer’s non-New York parent company included the royalty income in its tax liability. The demonstration would absolve taxpayers of the obligation to pay taxes on their royalty income.
  
- **IDA State Sales Tax Exemption.** Prevents certain retail projects from receiving any incentives otherwise provided by IDAs, with exceptions for “tourism destinations” and economically distressed areas. Requires project agreements to include claw-back provisions and IDAs to enforce such provisions. Also requires additional reports to be filed by IDAs detailing project benefits and terms and conditions of project agreements. These amendments apply to prospective projects and to modifications made to existing project agreements after the effective date.

### TAX ENFORCEMENT ACTIONS

TAX ENFORCEMENT ACTIONS (millions of dollars)				
	FY 2014		FY 2015	
	General Fund	All Funds	General Fund	All Funds
Increase the Civil Penalty for Possessing Unstamped Cigarettes	2	9	3	12
Suspend Delinquent Taxpayers’ Driver’s Licenses	25	26	5	6
Allow Warrantless Wage Garnishment	10	10	10	10
<b>TOTAL TAX ENFORCEMENT ACTIONS</b>	<b>37</b>	<b>45</b>	<b>18</b>	<b>28</b>

- **Civil Penalty for Possessing Unstamped Cigarettes.** Increases the penalty for possessing unstamped or illegally stamped cigarettes from \$150 to \$600 per carton to reflect the increased value of bootlegged cigarettes resulting from increases to the tax rate since 2000.
  
- **Delinquent Taxpayers’ Driver’s Licenses.** Creates a new program to aid in the enforcement of past-due tax liabilities by suspending, with certain exceptions, the New York State driver’s licenses of taxpayers who owe taxes in excess of \$10,000. A “past-due tax liability” refers to any tax liability that has become fixed and final such that the taxpayer no longer has any right to administrative or judicial review. The program will be modeled after the State’s successful use of license suspensions to compel legally owed child support payments.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

- **Warrantless Wage Garnishment.** Allows DTF to garnish wages of delinquent taxpayers without filing a warrant with the Department of State (DOS) or County Clerks. The warrant requirement is replaced with a faster public notification requirement. Warrants offer no additional protection for delinquent taxpayers and requiring counties to receive the warrants from DTF represents an unfunded mandate. Wages will only be garnished if a taxpayer rebuffs DTF's efforts to negotiate a repayment agreement.

### OTHER REVENUE ACTIONS

OTHER REVENUE ACTIONS (millions of dollars)				
	FY 2014		FY 2015	
	General Fund	All Funds	General Fund	All Funds
Require the Racing Industry to Pay for Safety Reforms	-	2	-	2
Replace State Revenue Lost through Traffic Ticket Plea Bargaining	16	16	25	25
Establish a Statewide STAR Anti-fraud Protection Program	-	1	-	1
<b>TOTAL OTHER REVENUE ACTIONS</b>	<b>16</b>	<b>19</b>	<b>25</b>	<b>28</b>

- **Racing Industry Safety Reforms.** The Enacted Budget supports implementation of regulatory changes recommended by Governor Cuomo's Task Force on Racehorse Health and Safety, following a spate of horse fatalities at New York thoroughbred tracks. The enhanced oversight will be funded by diverting 1 percent of total purse money generated from VLT revenues.
- **Traffic Ticket Plea Bargaining.** Establishes a new \$25 State surcharge that will be applied to the series of stopping/standing/parking violations, which are commonly used when speeding tickets are pled down to lesser charges, and increase the State surcharge on most other Vehicle and Traffic Law offenses by \$8.
- **Statewide STAR Anti-fraud Protection Program.** Creates an anti-fraud protection program to combat STAR fraud and waste by empowering DTF to confirm eligibility. Currently, there is no existing statewide mechanism for assessors to determine whether a homeowner is receiving illegally a STAR exemption on two homes, or on a home that is not the homeowner's primary residence, both of which are prohibited. To maximize the effectiveness of the agency's fraud detection efforts, DTF will simplify and redesign the STAR application form and ask all basic beneficiaries to reregister for the program.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## TECHNICAL CORRECTIONS

TECHNICAL CORRECTIONS (millions of dollars)				
	FY 2014		FY 2015	
	General Fund	All Funds	General Fund	All Funds
Made Technical Amendments to the Tax Classification of Uncompressed Natural Gas	-	-	-	-
Diesel Motor Fuel Technical Amendments	-	-	-	-
Highway Use Tax Farmers' Exemption Technical Change	-	-	-	-
<b>TOTAL TECHNICAL CORRECTIONS</b>	-	-	-	-

- **Technical Amendments to the Tax Classification of Uncompressed Natural Gas.** Clarifies that the sales and use tax exemption for natural gas used in motor vehicles also applies to natural gas purchased with the intention to compress it for use in vehicles.
  
- **Diesel Motor Fuel Technical Amendments.** Changes the incidence of taxation of diesel motor fuel by making removal from a terminal a tax incidence trigger. This “rack tax” methodology for diesel motor fuel conforms to Federal policy, as well as the tax structure used by 25 other states.
  
- **Highway Use Tax Farmers’ Exemption Technical Change.** Clarifies that a farm vehicle owned by a person or entity related to the farmer is eligible for the HUT exemption for farming vehicles, so long as vehicles are used for farming purposes.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

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### DISBURSEMENTS

The multi-year disbursements projections take into account agency staffing levels, program caseloads, funding formulas contained in State and Federal law, inflation and other factors. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections account for the timing of payments, since not all of the amounts appropriated in the Budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in Special Revenue Funds have been adjusted downward in all fiscal years based on typical spending patterns and the observed variance between estimated and actual results over time.

Total disbursements in FY 2014 are estimated at \$61.2 billion in the General Fund and \$90.2 billion in State Operating Funds. Over the multi-year Financial Plan, State Operating Funds spending projections assume Medicaid and School Aid will grow at their statutorily-indexed rates. The projections do not reflect any potential impact of automatic Federal spending reductions that were triggered on March 1, 2013.

Medicaid, education, pension costs, employee and retiree health benefits, and debt service are significant drivers of annual spending growth.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## LOCAL ASSISTANCE GRANTS

Local Assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. State-funded local assistance spending is estimated at \$59.6 billion in FY 2014 and accounts for 66 percent of total State Operating Funds spending. Education and health care spending account for approximately two-thirds of local assistance spending.

Selected assumptions used in preparing the spending projections for the State's major local aid programs and activities are summarized in the following table.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES					
	FY 2013 Results	Forecast			
		FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>Medicaid</b>					
Medicaid Coverage	4,812,715	5,176,084	6,110,639	6,169,418	6,198,080
- Family Health Plus Caseload	446,259	467,246	0	0	0
- Child Health Plus Caseload	344,000	356,000	368,000	380,000	392,000
State Takeover of County/NYC Costs	<u>\$1,613</u>	<u>\$1,690</u>	<u>\$1,665</u>	<u>\$1,800</u>	<u>\$2,168</u>
- Family Health Plus	\$477	\$528	\$219	\$0	\$0
- Medicaid	\$1,136	\$1,162	\$1,446	\$1,800	\$2,168
<b>Education</b>					
School Aid (School Year)	\$20,236	\$21,228	\$21,950	\$22,784	\$24,037
Education Personal Income Growth Index	3.0	4.9	3.4	3.8	5.5
<b>Higher Education</b>					
Public Higher Education Enrollment (FTEs)	567,473	566,707	565,941	565,078	564,880
Tuition Assistance Program Recipients	309,921	310,065	310,065	310,065	310,065
<b>Public Assistance</b>					
Family Assistance Program	256,566	249,528	243,345	238,262	233,706
Safety Net Program - Families	122,368	118,706	115,450	112,747	110,279
Safety Net Program - Singles	187,254	185,777	184,815	184,361	184,385
<b>Mental Hygiene</b>					
Total Mental Hygiene Community Beds	<u>90,394</u>	<u>92,977</u>	<u>97,514</u>	<u>100,245</u>	<u>102,239</u>
- OMH Community Beds	38,778	40,707	44,644	46,778	48,222
- OPWDD Community Beds	39,536	40,091	40,621	41,121	41,621
- OASAS Community Beds	12,080	12,179	12,249	12,346	12,396
<b>Prison Population (Corrections)</b>					
	54,617	54,300	54,000	53,800	53,700

Note: Dollar amounts in table are in millions. FY 2013 results are preliminary and are subject to revision.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## EDUCATION

### SCHOOL AID

School Aid helps support elementary and secondary education for New York pupils enrolled in 676 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

#### School Year (July 1 — June 30)

School Aid will increase by \$992 million in School Year (SY) 2014, a 4.9 percent increase from SY 2013.<sup>7</sup> In addition, \$75 million of competitive grant funding is provided for several key initiatives recommended by the *New NY Education Reform Commission* in its Preliminary Report to the Governor, including pre-kindergarten and extended learning, bringing the total annual education aid increase to \$1.067 billion. The Enacted Budget also includes a new two-year appropriation that continues Education Law provisions to tie future School Aid increases to the rate of growth in New York State personal income.

Projected School Aid funding is a function of both a personal income growth index used to determine allowable growth, and future legislation to allocate the allowable increases. Current law prescribes allowable growth to include spending for new competitive grant programs to reward school districts that demonstrate significant student performance improvements or undertake long-term structural changes to reduce costs and improve efficiency. Allowable growth also includes increases in expense-based aid programs (e.g., Building Aid, Transportation Aid) under existing statutory provisions. Any remaining allowable growth is allocated pursuant to a chapter of law for purposes including, but not limited to, additional spending for competitive grants, increases in Foundation Aid, or restoration of the Gap Elimination Adjustment.

Based on updated estimates of personal income growth, School Aid is projected to increase by an additional \$722 million in SY 2015 and \$834 million in SY 2016. School Aid is projected to reach an annual total of \$24.0 billion in SY 2017.

SCHOOL AID AND NEW NY EDUCATION REFORM INITIATIVES - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	<u>SY 2013</u>	<u>SY 2014</u>	<u>Change</u>	<u>SY 2015</u>	<u>Change</u>	<u>SY 2016</u>	<u>Change</u>	<u>SY 2017</u>	<u>Change</u>
School Aid	\$20,236	\$21,228	\$992	\$21,950	\$722	\$22,784	\$834	\$24,037	\$1,253
			4.9%		3.4%		3.8%		5.5%
New NY Education Reform Initiatives	\$0	\$75	\$75	\$75	\$0	\$75	\$0	\$75	\$0
<b>Total</b>	<b>\$20,236</b>	<b>\$21,303</b>	<b>\$1,067</b>	<b>\$22,025</b>	<b>\$722</b>	<b>\$22,859</b>	<b>\$834</b>	<b>\$24,112</b>	<b>\$1,253</b>
			5.3%		3.4%		3.8%		5.5%

<sup>7</sup> This amount reflects the annual increase in formula-based aids in the computer runs produced by the Department of Education in support of the Enacted Budget ("SA131-4"), plus the annual increase in categorical and other aids, including competitive Performance Improvement and Management Efficiency grants.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### STATE FISCAL YEAR

The State finances School Aid and *New NY Education Reform Initiatives* from General Fund receipts and from Lottery Fund receipts, including VLTs, which are accounted for and disbursed from a dedicated account. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which it is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the multi-year projected funding levels on a State fiscal year basis.

SCHOOL AID AND EDUCATION REFORM AID - STATE FISCAL YEAR BASIS									
(millions of dollars)									
	FY 2013	FY 2014		FY 2015		FY 2016		FY 2017	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
<b>Total State Operating Funds</b>	<b>20,163</b>	<b>20,471</b>	<b>1.5%</b>	<b>21,692</b>	<b>6.0%</b>	<b>22,514</b>	<b>3.8%</b>	<b>23,641</b>	<b>5.0%</b>
General Fund Local Assistance	17,110	17,289	1.0%	18,573	7.4%	19,390	4.4%	20,519	5.8%
General Fund Lottery Aid Guarantee	0	10	N/A	0	N/A	0	0.0%	0	0.0%
Core Lottery Aid	2,217	2,230	0.6%	2,225	-0.2%	2,227	0.1%	2,225	-0.1%
VLT Lottery Aid	857	881	2.8%	894	1.5%	897	0.3%	897	0.0%
VLT Aid Balance Roll	(21)	21	N/A	0	N/A	0	0.0%	0	0.0%
Other Lottery Fund Resources	0	40	N/A	0	N/A	0	0.0%	0	0.0%

State spending for School Aid and *New NY Education Reform Initiatives* is projected to total \$20.5 billion in FY 2014. In future years, receipts available to finance this category of aid from core lottery sales are projected to remain stable, while VLT receipts are anticipated to increase through FY 2015 as a result of the recent implementation of the VLT facility at the Aqueduct Racetrack. In addition to State aid, school districts receive approximately \$3 billion annually in Federal categorical aid.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### OTHER EDUCATION AID

In addition to School Aid, the State provides funding and support for various other education-related initiatives. These include: special education services; pre-kindergarten through grade 12 education programs; cultural education; higher and professional education programs; and adult career and continuing education services.

Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, non-public school aid, and various special education programs. In special education, New York provides a full spectrum of services to over 400,000 students from ages 3 to 21. Higher and professional education programs monitor the quality and availability of postsecondary education programs and regulate the licensing and oversight of 50 professions.

OTHER EDUCATION (millions of dollars)									
	FY 2013 Results	FY 2014 Enacted	Change	FY 2015 Projected	Change	FY 2016 Projected	Change	FY 2017 Projected	Change
<b>Total State Operating Funds</b>	<b>1,927</b>	<b>2,032</b>	<b>5.4%</b>	<b>2,091</b>	<b>2.9%</b>	<b>2,197</b>	<b>5.1%</b>	<b>2,328</b>	<b>6.0%</b>
Special Education	1,352	1,418	4.9%	1,522	7.3%	1,626	6.8%	1,751	7.7%
All Other Education	575	614	6.8%	569	-7.3%	571	0.4%	577	1.1%

Special education growth is primarily driven by an increase in program costs and enrollment for preschool special education and the summer school special education programs. The increase in other education spending for FY 2014 over FY 2013 is driven primarily by one-time costs associated with targeted aid and grants, which are not projected to continue beyond FY 2014.

In order to enhance oversight of the preschool special education program, the FY 2014 Enacted Budget also supports the expansion of State and county audit capabilities and the development of data systems to enhance analysis of available program data.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### SCHOOL TAX RELIEF PROGRAM

The STAR program provides school tax relief to taxpayers. The three components of STAR and their approximate shares in FY 2014 are: the basic school property tax exemption for homeowners with income under \$500,000 (55 percent), the enhanced school property tax exemption for senior citizen homeowners with income under \$79,050 (27 percent), and a flat refundable credit and rate reduction for income-eligible New York City resident personal income taxpayers (18 percent).

SCHOOL TAX RELIEF (STAR) (millions of dollars)									
	FY 2013 Results	FY 2014 Enacted	Change	FY 2015 Projected	Change	FY 2016 Projected	Change	FY 2017 Projected	Change
<b>Total State Operating Funds</b>	3,286	3,419	4.0%	3,602	5.4%	3,704	2.8%	3,805	2.7%
Basic Exemption	1,857	1,896	2.1%	1,997	5.3%	2,052	2.8%	2,106	2.6%
Enhanced (Seniors)	841	912	8.4%	986	8.1%	1,014	2.8%	1,040	2.6%
New York City PIT	588	611	3.9%	619	1.3%	638	3.1%	659	3.3%

The STAR program exempts the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens will receive a \$63,300 exemption in FY 2014. Spending for the STAR property tax exemption reflects reimbursements made to school districts to offset the reduction in property tax revenues.

The annual increase in a qualifying homeowner's STAR exemption benefit is limited to 2 percent. Homeowners who earn more than \$500,000 a year are not eligible for the STAR property tax exemption. New York City personal income taxpayers with annual income over \$500,000 have a reduced benefit.

The FY 2014 Enacted Budget establishes a STAR re-registration and anti-fraud program. This program is expected to eliminate waste, fraud and abuse in the STAR exemption by (1) authorizing DTF to require all recipients of a Basic STAR exemption to be registered with the Department, and (2) strengthening the penalties for fraud while tightening the standards and procedures for determining eligibility.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## HIGHER EDUCATION

Local assistance for higher education spending includes funding for CUNY, SUNY and HESC. The State provides assistance for CUNY's senior college operations, and works in conjunction with the City of New York to support CUNY's community colleges. The CUNY system is the largest urban public university system in the nation. Funding for SUNY supports 30 community colleges across multiple campuses.

The State also provides a sizeable benefit to SUNY and CUNY through the debt service it pays on bond-financed capital projects at the universities. This is not reflected in the annual spending totals for the universities. State debt service payments for capital projects at SUNY and CUNY are expected to total about \$1.4 billion in FY 2014. In addition, the State provides for a \$14.25 million transfer to SUNY in FY 2017 to support costs related to a salary reduction plan implemented pursuant to a collective bargaining agreement. The Financial Plan assumes \$27.8 million in General Fund support during FY 2017 and FY 2018 when salary reductions implemented in FY 2014 are repaid to affected employees.

HESC administers the TAP program that provides awards to income-eligible students. It also provides centralized processing for other student financial aid programs, and offers prospective students information and guidance on how to finance a college education. The financial aid programs that the Corporation administers are funded by the State and the Federal government.

Annual growth by CUNY in FY 2014 reflects the net impact of enrollment changes at community colleges, additional fringe benefit costs, and the timing of aid payments across State fiscal years. Growth in HESC reflects the rising cost of higher education tuition and the consequent demand for increased tuition assistance. SUNY local assistance reflects an increase in community college aid, which fully annualizes in the outyears.

HIGHER EDUCATION (millions of dollars)									
	FY 2013 Results	FY 2014 Enacted	Change	FY 2015 Projected	Change	FY 2016 Projected	Change	FY 2017 Projected	Change
<b>Total State Operating Funds</b>	<b>2,629</b>	<b>2,825</b>	<b>7.5%</b>	<b>2,911</b>	<b>3.0%</b>	<b>2,994</b>	<b>2.9%</b>	<b>3,066</b>	<b>2.4%</b>
<b>City University</b>	<b>1,220</b>	<b>1,345</b>	<b>10.2%</b>	<b>1,401</b>	<b>4.2%</b>	<b>1,470</b>	<b>4.9%</b>	<b>1,548</b>	<b>5.3%</b>
City University	1,026	1,130	10.1%	1,185	4.9%	1,254	5.8%	1,332	6.2%
Community College	194	215	10.8%	216	0.5%	216	0.0%	216	0.0%
<b>Higher Education Services</b>	<b>947</b>	<b>1,004</b>	<b>6.0%</b>	<b>1,018</b>	<b>1.4%</b>	<b>1,032</b>	<b>1.4%</b>	<b>1,026</b>	<b>-0.6%</b>
Tuition Assistance Program	893	948	6.2%	959	1.2%	972	1.4%	966	-0.6%
Aid for Part Time Study	14	12	-14.3%	12	0.0%	12	0.0%	12	0.0%
Scholarships/Awards	40	44	10.0%	47	6.8%	48	2.1%	48	0.0%
<b>State University</b>	<b>462</b>	<b>476</b>	<b>3.0%</b>	<b>492</b>	<b>3.4%</b>	<b>492</b>	<b>0.0%</b>	<b>492</b>	<b>0.0%</b>
State University	457	472	3.3%	485	2.8%	485	0.0%	485	0.0%
Other/Cornell	5	4	-20.0%	7	75.0%	7	0.0%	7	0.0%

Note: State support for SUNY four-year institutions is funded through State operations rather than local assistance.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

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### HEALTH CARE

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The DOH works with the local health departments and social services departments, including New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but many programs are supported through multi-agency efforts. The Medicaid program finances inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care, FHP (a State-administered program to provide comprehensive health insurance for low-income families which do not meet certain Medicaid-eligibility thresholds), and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services). The State share of Medicaid spending is budgeted and expended principally through DOH, but State share Medicaid spending also appears in the Financial Plan estimates for mental hygiene agencies, child welfare programs and DOCCS.

### MEDICAID

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, the Federal government, and local governments (including New York City). New York State's Medicaid spending is projected to total approximately \$55.7 billion in FY 2014, including the local contribution.<sup>8</sup>

The Enacted Budget reflects continuation of the Medicaid spending cap enacted in FY 2012, and recommends funding consistent with its provisions. The cap is based on the ten-year average change in the medical component of the CPI. Statutory changes approved with the FY 2012 Enacted Budget to grant the Executive certain administrative powers to help hold Medicaid spending to the capped level were amended through legislation included in the FY 2014 Enacted Budget to provide flexibility to adjust Medicaid projections to meet unanticipated costs resulting from the event of a natural or other type of disaster. The statutory provisions of the Medicaid spending cap have been extended through FY 2015, pursuant to authorization included in the FY 2014 Enacted Budget. The cap itself remains in place, and the Financial Plan assumes that statutory authority will be extended in subsequent years.

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<sup>8</sup> The local contribution to the Medicaid program is not included in the State's Financial Plan. Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level for local social services districts. The FY 2013 Enacted Budget amended these statutory indexing provisions by implementing a three-year phased-takeover of the local share of growth above the previous year's enacted levels beginning in April 2013 for County (i.e., calendar) Year 2013, with the State assuming all growth in County Year 2015. This initiative is expected to save local governments nearly \$1.2 billion between FY 2013 and FY 2017, as compared to levels assumed under previous statute.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Based on updated data, the allowable growth under the cap is 3.9 percent. The FY 2014 Enacted Budget also eliminates the FHP program effective January 1, 2015. The majority of the population receiving health care benefits through FHP will begin receiving more robust health care benefits through the Medicaid program, pursuant to new Medicaid eligibility thresholds and increased Federal payments pursuant to the ACA. The remaining FHP population, those above Medicaid levels, will be eligible for Federal tax credits in the Health Insurance Exchange and the State will pay all additional out-of-pocket costs for these individuals. The proposed transition to the Exchange is expected to provide savings to the State of \$59.0 million in FY 2015, and \$67.5 million thereafter.

<b>TOTAL STATE-SHARE MEDICAID DISBURSEMENTS<sup>1</sup></b>					
(millions of dollars)					
	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
<b>Department of Health</b>					
<b>DOH State Share</b>	15,900	16,421	16,977	17,805	18,474
Local Assistance	15,879	16,230	16,780	17,591	18,248
State Operations <sup>2</sup>	21	191	197	214	226
Annual \$ Change - DOH Only		521	556	828	669
Annual % Change - DOH Only		3.3%	3.4%	4.9%	3.8%
<b>Other State Agencies</b>					
Mental Hygiene	4,758	4,903	5,430	6,019	6,141
Foster Care	89	87	90	94	98
Education	17	0	0	0	0
Corrections	0	12	12	13	13
<b>Total State Share (All Agencies)</b>	<b>20,764</b>	<b>21,423</b>	<b>22,509</b>	<b>23,931</b>	<b>24,726</b>
Annual \$ Change - Total State Share		659	1,086	1,422	795
Annual % Change - Total State Share		3.2%	5.1%	6.3%	3.3%
<p><sup>1</sup> Medicaid services growth is indexed to the 10-year average of CPI Medical, currently 3.9 percent. Financial Plan spending is adjusted for the inclusion of Medicaid State Operations spending (formerly outside the Medicaid Cap), which is supporting expanded functions pursuant to the phased-in takeover of local administrative responsibilities, and the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option. Finally, the State Share of Medicaid is adjusted for increased Federal Financial Participation beginning in January 2014.</p> <p><sup>2</sup> Increased State Operations costs in FY 2014 reflects the transfer of the Office of Health Insurance Programs to Medicaid from Public Health without new spending.</p>					

Factors affecting the level of Medicaid spending growth that must be managed within the cap include Medicaid enrollment, costs of provider health care services (particularly in managed care) and levels of utilization. The number of Medicaid recipients, including FHP, is expected to exceed 5.6 million at the end of FY 2014, an increase of 7.3 percent from the FY 2013 caseload of 5.3 million, a result mainly attributable to expanded eligibility pursuant to the ACA. Under the provisions of the ACA, the Federal government is expected to finance a greater share of Medicaid costs, the impact of which is expected to lower future growth in the State share of Medicaid costs beginning in FY 2014.

Total “state share” Medicaid, which includes Medicaid costs of State agencies in addition to DOH, reflects downward spending adjustments of \$820 million in FY 2014, \$535 million in FY 2015, and \$357 million thereafter. This is attributable to the impact of reduced Federal revenue

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

associated with the reimbursement of Medicaid costs at State-operated facilities providing developmental disability services. To compensate for the reduced Federal reimbursement for services provided, the State is undertaking various actions to reduce overall costs while minimizing any impact on service delivery. These actions include shifting a portion of OPWDD Medicaid costs to DOH, the impact of which is expected to be managed on a neutral Financial Plan basis through the implementation of several actions, including comprehensive program reforms consistent with other states to generate Federal reimbursement for services already being provided, and the management of certain MRT investment initiatives. These savings are valued at \$730 million in FY 2014, \$445 million in FY 2015, and \$267 million in each of FYs 2016 and 2017, and are part of the Mental Hygiene Stabilization Fund within the DOH global spending cap.

The FY 2013 Enacted Budget included authorization for the State to take over administration of the Medicaid program, and to cap spending on local Medicaid administration at FY 2012 appropriation levels. The FY 2013 Enacted Budget also provided Medicaid spending relief for all counties and New York City by reducing growth in local Medicaid payments. These changes are expected to provide fiscal and administrative relief to local governments.

As allowed under the FY 2013 Enacted Budget legislation, Monroe County, which had previously authorized a State intercept of sales tax in lieu of payment for its portion of the local share of Medicaid, chose to enter the Medicaid program effective February 1, 2013. Monroe County is expected to benefit in the long-term from entering the local cap program, as future costs associated with its Medicaid growth will be paid for by the State under the phased-in takeover initiative.

The State share of DOH Medicaid spending is comprised of the General Fund, HCRA, provider assessment revenue, and indigent care payments. The chart below provides information on the financing sources for State Medicaid spending (more information on HCRA can be found in the section entitled "HCRA Financial Plan").

DEPARTMENT OF HEALTH MEDICAID <sup>1</sup>									
(millions of dollars)									
	FY 2013	FY 2014		FY 2015		FY 2016		FY 2017	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
<b>State Operating Funds</b>	<b>15,900</b>	<b>16,421</b>	<b>3.3%</b>	<b>16,977</b>	<b>3.4%</b>	<b>17,805</b>	<b>4.9%</b>	<b>18,474</b>	<b>3.8%</b>
Total General Fund - Local	11,109	11,225	1.0%	11,391	1.5%	12,136	6.5%	12,631	4.1%
Total General Fund - State Operations	21	191	809.5%	197	3.1%	214	8.6%	226	5.6%
Other State Funds Support	4,770	5,005	4.9%	5,389	7.7%	5,455	1.2%	5,617	3.0%
HCRA Financing	3,214	3,444	7.2%	3,812	10.7%	3,878	1.7%	4,040	4.2%
Indigent Care Support	767	776	1.2%	792	2.1%	792	0.0%	792	0.0%
Provider Assessment Revenue	783	785	0.3%	785	0.0%	785	0.0%	785	0.0%
Other	6	0	-100.0%	0	0.0%	0	0.0%	0	0.0%

<sup>1</sup> Does not include Medicaid spending in other State agencies, transfers, or the local government share of total Medicaid program spending.

The FY 2014 Enacted Budget transfers all administrative costs, including those State resources associated with the local Medicaid takeover program, from the Public Health budget to the Medicaid budget. This change will align operational resources with programmatic responsibilities, and provide the necessary flexibility for meeting emerging needs during the course of the year. Using additional efficiencies gained from the local Medicaid takeover, this

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

change is expected to avoid State General Fund costs of approximately \$32 million in FY 2014, \$50 million in FY 2015, and \$67 million annually thereafter, without placing additional fiscal pressure on the Medicaid Global Cap.

Ongoing MRT efforts have identified a variety of other programmatic efficiencies and re-investments which are expected to improve overall service delivery within the health care industry, but which are not expected to have a significant net financial impact on the State's Medicaid program.

### PUBLIC HEALTH/AGING PROGRAMS

Public Health includes the EPIC program that provides prescription drug insurance to low-income seniors, the CHP program that finances health insurance coverage for children of low-income families up to the age of 19, the GPHW program that reimburses local health departments for the cost of providing certain public health services, the EI program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays, and other HCRA and State-supported programs.

SOFA promotes and administers programs and services for New Yorkers 60 years of age and older. The Office primarily oversees community-based services, including but not limited to in-home services and nutrition assistance, provided through a network of county Area Agencies on Aging and local providers.

Many public health programs, such as the EI and GPHW programs, are run by county health departments and reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health funding. In addition, a significant portion of HCRA spending is included under the public health budget.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2013 Results	FY 2014 Enacted	Change	FY 2015 Projected	Change	FY 2016 Projected	Change	FY 2017 Projected	Change
<b>Total State Operating Funds</b>	<b>2,040</b>	<b>2,222</b>	<b>8.9%</b>	<b>1,997</b>	<b>-10.1%</b>	<b>1,989</b>	<b>-0.4%</b>	<b>1,960</b>	<b>-1.5%</b>
<b>Public Health</b>	<b>1,927</b>	<b>2,108</b>	<b>9.4%</b>	<b>1,877</b>	<b>-11.0%</b>	<b>1,862</b>	<b>-0.8%</b>	<b>1,828</b>	<b>-1.8%</b>
Child Health Plus	364	380	4.4%	446	17.4%	378	-15.2%	304	-19.6%
General Public Health Work	247	215	-13.0%	237	10.2%	233	-1.7%	238	2.1%
EPIC	98	170	73.5%	207	21.8%	237	14.5%	258	8.9%
Early Intervention	144	151	4.9%	167	10.6%	171	2.4%	171	0.0%
HCRA Program Account	442	424	-4.1%	429	1.2%	441	2.8%	441	0.0%
F-SHRP	249	384	54.2%	0	-100.0%	0	0.0%	0	0.0%
All Other	383	384	0.3%	391	1.8%	402	2.8%	416	3.5%
<b>Aging</b>	<b>113</b>	<b>114</b>	<b>0.9%</b>	<b>120</b>	<b>5.3%</b>	<b>127</b>	<b>5.8%</b>	<b>132</b>	<b>3.9%</b>

## **MULTI-YEAR FINANCIAL PLAN PROJECTIONS**

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Spending growth in the CHP program through FY 2015 largely reflects costs associated with the expectation of additional caseload growth under the ACA. As CHP enrollment increases, initial costs to the State are expected; however, these costs are expected to decrease beginning in FY 2016 when enhanced Federal participation rates become effective.

Increased State support for the EPIC program, which was authorized in the FY 2013 Enacted Budget to provide coverage of Medicare Part D co-payments and co-insurance for enrollees outside of the existing coverage gap, is also driving a substantial portion of spending growth, as this change took effect on January 1, 2013. Increased spending for expanded EPIC coverage, as well as growth due to the rising costs of prescription drug medication, is expected to be partly financed by additional revenue generated from rebates received from drug manufacturers.

The F-SHRP program, which is Federal funding provided to the State on a time-limited basis through a Federal waiver under terms and conditions aimed at improving the delivery of health care services. Spending growth in FY 2014 reflects the anticipation of peak utilization prior to the expiration of funding. The program is expected to terminate at the end of FY 2014.

The year-over-year decrease for GPHW in FY 2014 reflects a reestimate of anticipated spending. Other public health programs are being reduced, which is expected to provide savings to the General Fund of approximately \$22 million in each year of the Financial Plan.

## **MULTI-YEAR FINANCIAL PLAN PROJECTIONS**

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### **HCRA FINANCIAL PLAN**

HCRA was established in 1996 to help finance a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including FHP, and provided additional funding for the expansion of existing programs such as CHP. HCRA has also provided additional financing for the health care industry, including investments in worker recruitment and retention, and the HEAL NY program for capital improvements to health care facilities.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, a portion of cigarette tax revenues, and other revenues dedicated by statute, as well as potential future proceeds from insurance company conversions. Total HCRA revenues are estimated to grow by approximately 3.3 percent on an annual basis during the Financial Plan period.

In addition to FHP, CHP, and HEAL NY, HCRA helps fund Medicaid, EPIC, physician excess medical malpractice insurance, and Indigent Care payments, which provide funding to hospitals serving a disproportionate share of individuals without health insurance.

The FY 2014 Enacted Budget reduces various public health programs and shifts funding for certain programs between HCRA and the General Fund. The shifts are expected to lower spending in HCRA by approximately \$145 million in FY 2014 and \$175 million thereafter and increase the General Fund spending by the same amount.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. These spending reductions could potentially affect core HCRA programs. The reauthorizations of HCRA in prior years maintained HCRA’s balance without the need for automatic spending reductions.

Given the inter-relationship between the General Fund and HCRA, any balances in HCRA are typically eliminated by adjusting the level of Medicaid disbursements that HCRA finances. This reduces costs that otherwise would have been paid for by the General Fund. Conversely, any shortfall in HCRA is expected to be financed by the General Fund.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

HCRA FINANCIAL PLAN FY 2013 THROUGH FY 2017					
(millions of dollars)					
	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>
	<u>Results</u>	<u>Enacted</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
<b>Opening Balance</b>	<b>3</b>	<b>18</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Receipts</b>	<b><u>5,336</u></b>	<b><u>5,610</u></b>	<b><u>5,854</u></b>	<b><u>5,949</u></b>	<b><u>6,049</u></b>
Surcharges	2,723	2,818	2,871	2,957	3,046
Covered Lives Assessment	1,045	1,045	1,045	1,045	1,045
Cigarette Tax Revenue	1,108	1,060	1,041	1,018	995
Conversion Proceeds	0	175	300	300	300
Hospital Assessments	330	345	444	469	495
NYC Cigarette Tax Transfer/Other	130	167	153	160	168
<b>Total Disbursements</b>	<b><u>5,321</u></b>	<b><u>5,628</u></b>	<b><u>5,854</u></b>	<b><u>5,949</u></b>	<b><u>6,049</u></b>
Medicaid Assistance Account	<u>3,219</u>	<u>3,444</u>	<u>3,812</u>	<u>3,878</u>	<u>4,040</u>
<i>Medicaid Costs</i>	1,840	2,145	2,724	3,229	3,391
<i>Family Health Plus</i>	682	650	439	0	0
<i>Workforce Recruitment &amp; Retention</i>	157	197	197	197	197
<i>All Other</i>	540	452	452	452	452
HCRA Program Account	459	438	444	460	460
Hospital Indigent Care	777	776	792	792	792
Elderly Pharmaceutical Insurance Coverage	105	183	220	250	271
Child Health Plus	372	386	453	385	312
Public Health Programs	128	29	0	0	0
All Other	261	372	133	184	174
<b>Annual Operating Surplus/(Deficit)</b>	<b>15</b>	<b>(18)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Closing Balance</b>	<b>18</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## MENTAL HYGIENE

MENTAL HYGIENE (millions of dollars)									
	FY 2013 Results	FY 2014 Enacted	Change	FY 2015 Projected	Change	FY 2016 Projected	Change	FY 2017 Projected	Change
<b>Total State Operating Funds</b>	<b>3,602</b>	<b>2,833</b>	<b>-21.3%</b>	<b>3,450</b>	<b>21.8%</b>	<b>3,967</b>	<b>15.0%</b>	<b>4,173</b>	<b>5.2%</b>
<b>People with Developmental Disabilities</b>	<b>2,196</b>	<b>1,420</b>	<b>-35.3%</b>	<b>1,862</b>	<b>31.1%</b>	<b>2,156</b>	<b>15.8%</b>	<b>2,220</b>	<b>3.0%</b>
Residential Services	1,551	1,519	-2.1%	1,630	7.3%	1,712	5.0%	1,756	2.6%
Day Programs	560	548	-2.1%	588	7.3%	618	5.1%	635	2.8%
Clinic	22	22	0.0%	23	4.5%	24	4.3%	25	4.2%
Other Local	63	61	-3.2%	66	8.2%	69	4.5%	71	2.9%
Mental Hygiene Stabilization Fund	0	(730)	0.0%	(445)	-39.0%	(267)	-40.0%	(267)	0.0%
<b>Mental Health</b>	<b>1,094</b>	<b>1,097</b>	<b>0.3%</b>	<b>1,256</b>	<b>14.5%</b>	<b>1,462</b>	<b>16.4%</b>	<b>1,590</b>	<b>8.8%</b>
Adult Local Services	913	917	0.4%	1,048	14.3%	1,240	18.3%	1,364	10.0%
Children Local Services	181	180	-0.6%	208	15.6%	222	6.7%	226	1.8%
<b>Alcohol and Substance Abuse</b>	<b>311</b>	<b>315</b>	<b>1.3%</b>	<b>331</b>	<b>5.1%</b>	<b>348</b>	<b>5.1%</b>	<b>362</b>	<b>4.0%</b>
Outpatient/Methadone	134	135	0.7%	142	5.2%	149	4.9%	155	4.0%
Residential	105	106	1.0%	112	5.7%	118	5.4%	123	4.2%
Prevention and Program Support	55	57	3.6%	60	5.3%	63	5.0%	65	3.2%
Crisis	17	17	0.0%	17	0.0%	18	5.9%	19	5.6%
<b>CQCAPD/Justice Center</b>	<b>1</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>

The Department of Mental Hygiene is comprised of three independent agencies: OPWDD, OMH, and OASAS. Services are administered to adults with serious and persistent mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; and persons with chemical dependence. These agencies provide services directly to their patients through State-operated facilities and indirectly through community service providers. The costs associated with providing these services are funded by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which are issued to finance improvements to infrastructure at mental hygiene facilities throughout the State, with the remaining revenue used to support State operating costs.

Legislation enacted in FY 2013 established the Justice Center for the Protection of People with Special Needs, which will have the primary responsibility for tracking, investigating and pursuing serious abuse/neglect complaints at facilities and provider settings operated, certified, or licensed by six State agencies. The activities of CQCAPD will be subsumed by the Justice Center when it becomes operational on June 30, 2013.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

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Local assistance spending in mental hygiene accounts for nearly half of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 3.7 percent annually. This growth is attributable to increases in the projected State share of Medicaid costs and projected expansion of the various mental hygiene service systems including: increases primarily associated with developing new OPWDD residential and non-residential services and supports; the New York/New York III Supportive Housing agreement; and community beds that are currently under development in the OMH pipeline. Additional outyear spending is assumed in Financial Plan estimates for costs associated with efforts to move individuals in nursing homes and other settings to the least restrictive setting possible, as well as several chemical dependence treatment and prevention initiatives for individuals receiving services through OASAS.

The FY 2014 Enacted Budget achieves lower spending growth by authorizing the elimination of automatic inflationary factors in FY 2014, including the 1.4 percent Human Services COLA and Medicaid trend adjustment, which provides rate reimbursement adjustments for eligible providers of services to the developmentally disabled, improved program efficiencies, enhanced audit recoveries, reduced administrative costs reimbursed to OPWDD providers, and revised estimates for mental health community bed funding.

OPWDD's Medicaid-related spending estimates have been revised downward in the Enacted Budget Financial Plan by \$820 million in FY 2014, \$535 million in FY 2015, and \$357 million thereafter. These revisions are attributable to the impact of reduced Federal revenue from Medicaid reimbursement at State-operated facilities providing developmental disability services. To compensate for the reduced Federal reimbursement for services provided, the State is undertaking various actions to reduce overall costs in the least disruptive manner possible for service delivery. These actions include shifting a portion of OPWDD Medicaid costs to DOH, the impact of which is expected to be managed on a neutral Financial Plan basis through the implementation of several actions, including comprehensive program reforms consistent with other states to generate Federal reimbursement for services already being provided, and the management of certain MRT investment initiatives. These savings are valued at \$730 million in FY 2014, \$445 million in FY 2015, and \$267 million in each of FY 2016 and FY 2017 and are part of the Mental Hygiene Stabilization Fund within the DOH global spending cap. In addition, \$90 million of savings will be achieved by OPWDD through a combination of actions identified in consultation with all relevant parties. These include \$50 million in savings from reduced administrative costs, improved efficiencies, and collaborative efforts to utilize lower cost community based supports and services as opposed to more costly settings such as institutions and residential schools. In addition, \$40 million in savings will be generated from increased audit recoveries generated by enhanced audit activity by the OMIG related to OPWDD services provided by nonprofit agencies.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## SOCIAL SERVICES

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2013	FY 2014		FY 2015		FY 2016		FY 2017	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
<b>Total State Operating Funds</b>	<b>1,540</b>	<b>1,392</b>	<b>-9.6%</b>	<b>1,290</b>	<b>-7.3%</b>	<b>1,318</b>	<b>2.2%</b>	<b>1,328</b>	<b>0.8%</b>
SSI	745	766	2.8%	664	-13.3%	691	4.1%	700	1.3%
Public Assistance Benefits	636	502	-21.1%	502	0.0%	502	0.0%	502	0.0%
Welfare Initiatives	36	19	-47.2%	19	0.0%	19	0.0%	19	0.0%
All Other	123	105	-14.6%	105	0.0%	106	1.0%	107	0.9%

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, Safety Net Assistance and SSI. The Family Assistance program, which is financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

The decline in OTDA spending from FY 2013 is driven primarily by the State's projected costs for public assistance caseload and the fact that there are no longer timing delays for payments. The average public assistance caseload is projected to total 554,011 recipients in FY 2014, a decrease of 2.2 percent from FY 2013 levels. Approximately 249,528 families are expected to receive benefits through the Family Assistance program, a decrease of 2.7 percent from the current year. In the Safety Net program an average of 118,706 families are expected to be helped in FY 2014, a decrease of 3.0 percent. The caseload for single adults/childless couples supported through the Safety Net program is projected at 185,777, a decrease of 0.8 percent.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State’s system of family support and child welfare services administered by social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services intended to reduce out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families. The youth facilities program serves youth directed by family or criminal courts to be placed in residential facilities.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2013 Results	FY 2014 Enacted	Change	FY 2015 Projected	Change	FY 2016 Projected	Change	FY 2017 Projected	Change
<b>Total State Operating Funds</b>	<b>1,492</b>	<b>1,604</b>	<b>7.5%</b>	<b>1,760</b>	<b>9.7%</b>	<b>1,828</b>	<b>3.9%</b>	<b>1,914</b>	<b>4.7%</b>
Child Welfare Service	336	462	37.5%	493	6.7%	526	6.7%	560	6.5%
Foster Care Block Grant	436	436	0.0%	456	4.6%	473	3.7%	491	3.8%
Adoption	152	162	6.6%	164	1.2%	167	1.8%	171	2.4%
Day Care	217	165	-24.0%	249	50.9%	249	0.0%	249	0.0%
Youth Programs	114	152	33.3%	161	5.9%	163	1.2%	163	0.0%
Medicaid	89	87	-2.2%	90	3.4%	94	4.4%	98	4.3%
Committees on Special Education	39	30	-23.1%	33	10.0%	38	15.2%	43	13.2%
Adult Protective/Domestic Violence	34	31	-8.8%	35	12.9%	41	17.1%	48	17.1%
All Other	75	79	5.3%	79	0.0%	77	-2.5%	91	18.2%

Financial Plan growth is driven by increases in claims-based programs; an increase in General Fund spending on Day Care beginning in FY 2015, in order to keep spending on this program constant after a projected decrease in Federal funding; and the continued implementation of the NYC Close to Home Initiative. Growth in Child Welfare Services and Adult Protective/Domestic Violence reflects anticipated growth in local claims and flat Federal funding.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### TRANSPORTATION

In FY 2014, the DOT will provide \$4.7 billion in local assistance to support statewide mass transit systems. This funding, financed through the collection of dedicated taxes and fees, is provided to mass transit operators throughout the State to support operating costs. The MTA, due to the size and scope of its transit system, receives the majority of the statewide mass transit operating aid. Additionally, the MTA receives operating support from the Mobility Tax and MTA Aid Trust Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District. The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA to support the transit system. Legislation enacted in December 2011 eliminates the MTA payroll tax for all elementary and secondary schools as well as for certain small businesses. The State is expected to compensate the MTA for the decrease in receipts from this tax reduction.

Operating aid to the MTA and other transit systems is expected to increase in FY 2014 by 10.3 percent, reflecting spending adjustments made in conjunction with upward revisions in the receipts forecast.

TRANSPORTATION (millions of dollars)									
	FY 2013 Results	FY 2014 Enacted	Change	FY 2015 Projected	Change	FY 2016 Projected	Change	FY 2017 Projected	Change
<b>Total State Operating Funds</b>	<b>4,303</b>	<b>4,745</b>	<b>10.3%</b>	<b>4,831</b>	<b>1.8%</b>	<b>4,910</b>	<b>1.6%</b>	<b>4,995</b>	<b>1.7%</b>
Mass Transit Operating Aid:	<u>1,906</u>	<u>2,105</u>	<u>10.4%</u>	<u>2,101</u>	<u>-0.2%</u>	<u>2,101</u>	<u>0.0%</u>	<u>2,101</u>	<u>0.0%</u>
Metro Mass Transit Aid	1,761	1,964	11.5%	1,960	-0.2%	1,960	0.0%	1,960	0.0%
Public Transit Aid	93	89	-4.3%	89	0.0%	89	0.0%	89	0.0%
18-B General Fund Aid	27	27	0.0%	27	0.0%	27	0.0%	27	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax and MTA Aid Trust	1,705	1,909	12.0%	1,986	4.0%	2,061	3.8%	2,143	4.0%
Dedicated Mass Transit	647	686	6.0%	698	1.7%	702	0.6%	706	0.6%
AMTAP	45	45	0.0%	45	0.0%	45	0.0%	45	0.0%
All Other	0	0	--	1	--	1	0.0%	0	0.0%

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### LOCAL GOVERNMENT ASSISTANCE

Direct aid to local governments includes the AIM program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; VLT impact aid; and Small Government Assistance and Miscellaneous Financial Assistance. In addition, the State provides incentive grants to local governments. Spending for AIM efficiency incentive grants increases over the multi-year period reflecting the implementation of the Local Government Performance and Efficiency Program enacted in FY 2012 to reward municipal efficiencies and to encourage less duplication among local governments in the delivery of services.

LOCAL GOVERNMENT ASSISTANCE (millions of dollars)									
	FY 2013	FY 2014	Annual %	FY 2015	Annual %	FY 2016	Annual %	FY 2017	Annual %
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
<b>Total State Operating Funds</b>	<b>754</b>	<b>764</b>	<b>1.3%</b>	<b>769</b>	<b>0.7%</b>	<b>782</b>	<b>1.7%</b>	<b>794</b>	<b>1.5%</b>
AIM:									
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Efficiency Incentives	6	11	83.3%	20	81.8%	35	75.0%	47	34.3%
All Other Local Aid	33	38	15.2%	34	-10.5%	32	-5.9%	32	0.0%

### ALL OTHER LOCAL ASSISTANCE SPENDING

Other local assistance programs and activities include criminal justice, economic development, aging, and housing. Spending in these areas is not expected to change materially over the Financial Plan period.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## AGENCY OPERATIONS

Agency operating costs include personal service, non-personal service, and GSCs. Personal service includes salaries of State employees of the Executive, Legislative, and Judicial branches, as well as overtime payments and costs for temporary employees. Non-personal service generally accounts for the cost of operating State agencies, including real estate rental, utilities, contractual payments (i.e., consultants, information technology, and professional business services), supplies and materials, equipment, and telephone service. GSCs account for the costs of fringe benefits (e.g., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State. In addition, certain agency operations of Transportation and Motor Vehicles are included in the capital projects fund type and not reflected in the State Operating Funds personal service or non-personal service totals.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (e.g., attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and non-teaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

Selected assumptions used in preparing the spending projections for the State's major programs and activities are summarized in the following table.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2013 Results	Forecast			
		FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Negotiated Base Salary Increases <sup>1</sup>					
CSEA/NYSCOPBA/Council 82	0	0	2%	2%	TBD
PEF / NYSPBA	0	0	2%	TBD	TBD
State Workforce <sup>2</sup>	119,756	120,468	120,634	120,634	120,634
ERS Pension Contribution Rate <sup>3</sup>					
Before Amortization (Normal/Admin/GLIP)	19.4%	21.7%	21.5%	18.0%	16.3%
After Amortization	11.5%	12.5%	13.5%	14.5%	15.5%
PFRS Pension Contribution Rate					
Before Amortization (Normal/Admin/GLIP)	26.6%	30.1%	30.1%	26.2%	24.2%
After Amortization	19.5%	20.5%	21.5%	22.5%	23.5%
Employee/Retiree Health Insurance Growth Rates	3.1%	5.4%	8.5%	8.5%	8.5%
PS/Fringe as % of Receipts (All Funds Basis)	14.5%	14.3%	14.5%	14.6%	14.4%

<sup>1</sup> Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated workforce agreements.

<sup>2</sup> Reflects workforce that is Subject to Direct Executive Control.

<sup>3</sup> As Percent of Salary.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Growth in agency operating spending is concentrated in agencies that operate large facilities, such as the State University, the mental hygiene agencies, and Corrections and Community Supervision. The main causes of growth include inflationary increases in payroll and operating costs expected for food, medical care and prescription drugs, and energy costs in State facilities, offset by expected savings from enterprise procurement efforts. In most years, there are 26 bi-weekly pay periods. In FY 2016, there is one additional State institutional payroll, which results in higher spending mainly in mental hygiene and corrections. In addition, the State will begin repayment to State employees of certain amounts withheld pursuant to the DRP in FY 2012 and FY 2013 beginning in the last pay period in FY 2015.

Prior-year collective bargaining agreements with NYSCOPBA and Council 82 are reflected in the personal service costs below and include retroactive salary increases already paid in FY 2013 for prior years.

STATE OPERATING FUNDS - AGENCY OPERATIONS <sup>1</sup>					
(millions of dollars)					
	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>Subject to Direct Executive Control</b>	<b>9,819</b>	<b>9,754</b>	<b>9,935</b>	<b>10,289</b>	<b>10,436</b>
Mental Hygiene	2,914	2,857	2,876	2,991	2,945
Corrections and Community Supervision	2,741	2,558	2,616	2,752	2,707
State Police	601	653	650	664	670
Public Health	526	424	431	418	419
Tax and Finance	372	359	366	372	381
Children and Family Services	302	279	263	260	264
Environmental Conservation	231	232	232	234	237
Financial Services	193	203	205	208	208
Temporary and Disability Assistance	187	186	195	193	197
Parks, Recreation and Historic Preservation	180	174	177	179	180
Disaster Assistance	52	(85)	0	0	0
Workers' Compensation Board	150	153	153	156	158
Lottery/Gaming	124	162	166	167	167
General Services	145	168	141	143	145
Information Technology Services	60	143	156	160	160
All Other	1,041	1,288	1,308	1,392	1,598
<b>University System</b>	<b>5,552</b>	<b>5,669</b>	<b>5,777</b>	<b>5,916</b>	<b>6,054</b>
State University	5,451	5,581	5,687	5,824	5,960
City University	101	88	90	92	94
<b>Independent Agencies</b>	<b>297</b>	<b>304</b>	<b>310</b>	<b>319</b>	<b>323</b>
Law	160	165	167	171	173
Audit & Control	137	139	143	148	150
<b>Total, excluding Legislature and Judiciary</b>	<b>15,668</b>	<b>15,727</b>	<b>16,022</b>	<b>16,524</b>	<b>16,813</b>
Judiciary	1,812	1,878	2,000	2,095	2,111
Legislature	203	219	224	227	231
<b>Statewide Total</b>	<b>17,683</b>	<b>17,824</b>	<b>18,246</b>	<b>18,846</b>	<b>19,155</b>
Personal Service	12,403	12,357	12,637	13,071	13,204
	3.0%	-0.4%	2.3%	3.4%	1.0%
Non-Personal Service	5,280	5,467	5,609	5,775	5,951
	-2.3%	3.5%	2.6%	3.0%	3.0%
<sup>1</sup> Beginning in FY 2013, the Financial Plan reflects the shift of information technology staff from agencies across the State to ITS as well as the transfer of business services staff to OGS.					

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### GENERAL STATE CHARGES

Fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, Social Security, health insurance, workers' compensation, unemployment insurance, and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations. However, certain agencies, including the Judiciary and SUNY, directly pay all or a portion of their employees' fringe benefit costs from their respective budgets. Employee fringe benefits paid through GSCs are paid from the General Fund in the first instance, and then partially reimbursed by revenue collected from fringe benefit assessments on Federal funds and other special revenue accounts. The largest General Fund reimbursement comes from the mental hygiene agencies.

GSCs also include certain fixed costs such as State taxes paid to local governments for certain State-owned lands, and payments related to lawsuits against the State and its public officers.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2013	FY 2014		FY 2015		FY 2016		FY 2017	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
<b>Total State Operating Funds</b>	<b>6,437</b>	<b>7,089</b>	<b>10.1%</b>	<b>7,531</b>	<b>6.2%</b>	<b>7,952</b>	<b>5.6%</b>	<b>8,285</b>	<b>4.2%</b>
<b>Fringe Benefits</b>	<b>6,046</b>	<b>6,700</b>	<b>10.8%</b>	<b>7,130</b>	<b>6.4%</b>	<b>7,552</b>	<b>5.9%</b>	<b>7,885</b>	<b>4.4%</b>
Health Insurance	3,129	3,315	5.9%	3,476	4.9%	3,711	6.8%	4,020	8.3%
Employee Health Insurance	1,720	1,824	6.0%	1,945	6.6%	2,060	5.9%	2,232	8.3%
Retiree Health Insurance	1,409	1,491	5.8%	1,531	2.7%	1,651	7.8%	1,788	8.3%
Pensions	1,601	2,013	25.7%	2,256	12.1%	2,418	7.2%	2,446	1.2%
Social Security	942	959	1.8%	978	2.0%	997	1.9%	1,015	1.8%
All Other Fringe	374	413	10.4%	420	1.7%	426	1.4%	404	-5.2%
<b>Fixed Costs</b>	<b>391</b>	<b>389</b>	<b>-0.5%</b>	<b>401</b>	<b>3.1%</b>	<b>400</b>	<b>-0.2%</b>	<b>400</b>	<b>0.0%</b>

GSCs are projected to increase at an average annual rate of 6.5 percent over the Financial Plan period. This is due to projected growth in health insurance and pension costs, offset by revenue collected from fringe benefit assessments, particularly from the mental hygiene agencies.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### TRANSFERS TO OTHER FUNDS (GENERAL FUND BASIS)

General Fund transfers help finance certain capital activities, the State's share of Medicaid costs for State-operated mental hygiene facilities, debt service for bonds that do not have dedicated revenues, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS					
(millions of dollars)					
	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>Total Transfers to Other Funds</b>	<b>6,794</b>	<b>8,382</b>	<b>9,179</b>	<b>9,682</b>	<b>10,247</b>
<b>Mental Hygiene Medicaid State Share</b>	<b>2,846</b>	<b>1,813</b>	<b>1,338</b>	<b>1,311</b>	<b>1,279</b>
<b>Debt Service</b>	<b>1,647</b>	<b>1,328</b>	<b>1,483</b>	<b>1,452</b>	<b>1,345</b>
<b>SUNY University Operations</b>	<b>340</b>	<b>971</b>	<b>971</b>	<b>971</b>	<b>971</b>
<b>Capital Projects</b>	<b>916</b>	<b>1,227</b>	<b>1,384</b>	<b>1,400</b>	<b>1,799</b>
Dedicated Highway and Bridge Trust Fund	519	551	592	606	720
All Other Capital	397	676	792	794	1,079
<b>All Other Transfers</b>	<b>1,045</b>	<b>3,043</b>	<b>4,003</b>	<b>4,548</b>	<b>4,853</b>
Mental Hygiene	0	1,839	2,838	3,400	3,688
Department of Transportation (MTA Tax)	277	332	334	334	334
SUNY - Disproportionate Share	209	228	228	228	228
Judiciary Funds	112	107	108	109	109
SUNY - Hospital Operations	81	67	60	60	60
Banking Services	61	65	65	65	65
Statewide Financial System	48	53	55	55	55
Indigent Legal Services	34	40	40	40	40
Mass Transportation Operating Assistance	38	37	37	37	37
Alcoholic Beverage Control	17	18	20	20	20
Information Technology Services	14	40	14	6	10
Public Transportation Systems	12	12	12	12	12
Correctional Industries	10	10	10	10	10
All Other	132	195	182	172	185

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

A significant portion of the capital and operating expenses of DOT and DMV are funded from the DHBTF. The Fund receives various dedicated tax and fee revenues, including the petroleum business tax, motor fuel tax, and highway use taxes. The Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF. The subsidy is required because the cumulative expenses of the fund – capital and operating expenses of DOT and DMV, debt service on certain transportation bonds – exceed current and projected revenue deposits and bond proceeds.

General Fund transfers to other funds are expected to total \$8.4 billion in FY 2014 — a \$1.6 billion increase from FY 2013. This increase is predominantly a function of the re-categorization of SUNY operating support, and the higher costs associated with operating mental hygiene facilities in lieu of reduced Federal revenue.

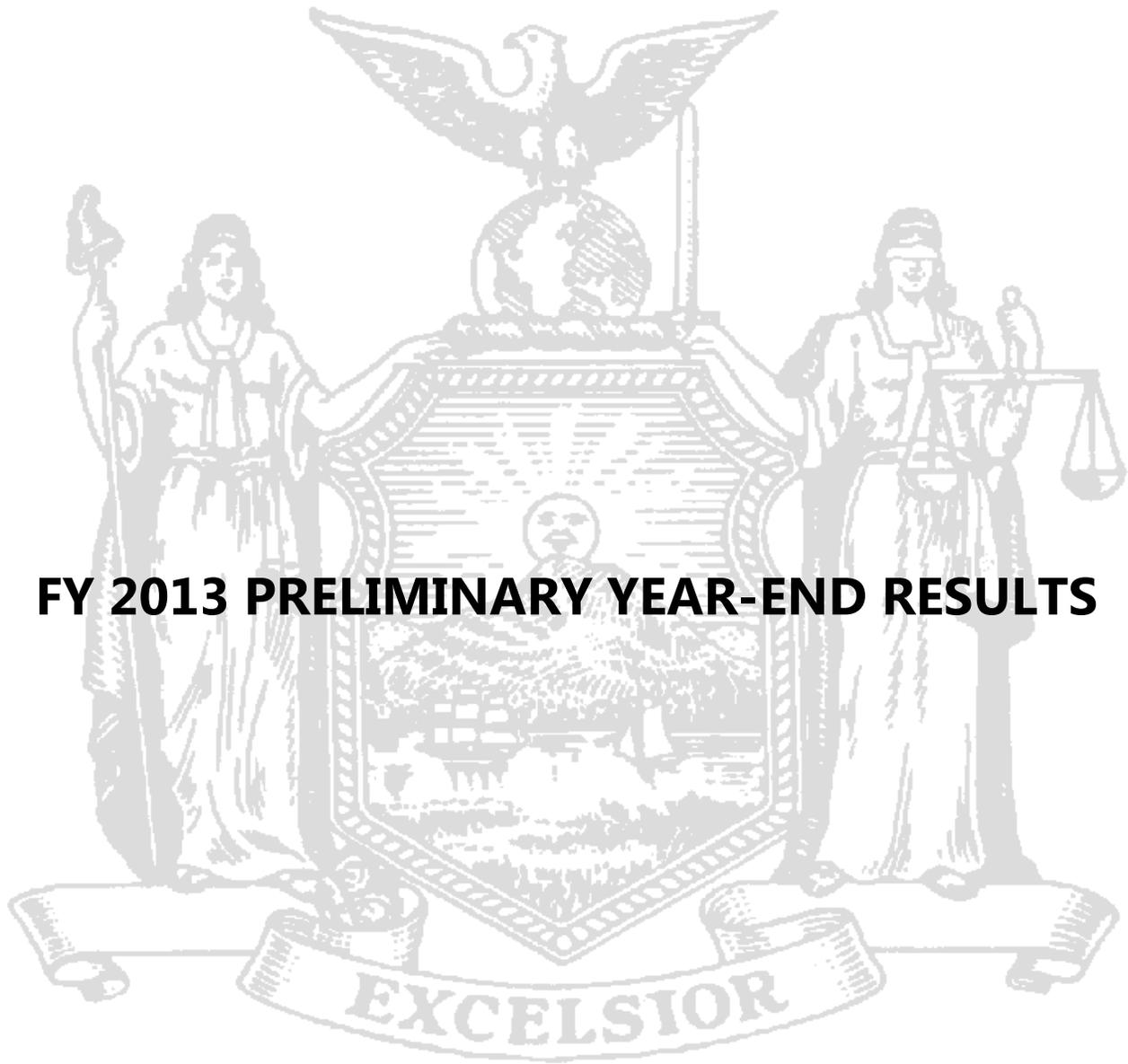
### DEBT SERVICE

The State pays debt service on all outstanding State-supported bonds. These include general obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as bonds issued by State public authorities (i.e., ESD, DASNY, and the TA, subject to an appropriation). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources, such as patient income revenues.

<b>DEBT SERVICE SPENDING PROJECTIONS</b>				
<i>(millions of dollars)</i>				
	<b>FY 2013</b>	<b>FY 2014</b>	<b>Annual</b>	<b>Percent</b>
	<b>Results</b>	<b>Enacted</b>	<b>Change</b>	<b>Change</b>
<b>General Fund</b>	<b>1,647</b>	<b>1,328</b>	<b>(319)</b>	<b>-19.4%</b>
Other State Support	4,491	4,415	(76)	-1.7%
<b>State Operating Funds</b>	<b>6,138</b>	<b>5,743</b>	<b>(395)</b>	<b>-6.4%</b>
<b>Total All Funds</b>	<b>6,138</b>	<b>5,743</b>	<b>(395)</b>	<b>-6.4%</b>

Total debt service is projected at \$5.7 billion in FY 2014, of which \$1.3 billion is paid from the General Fund through transfers, and \$4.4 billion from other State funds. The General Fund transfer finances debt service payments on general obligation and service contract bonds. Debt service is paid directly from other State funds for the State's revenue bonds, including PIT bonds, DHBTF bonds, and mental health facilities bonds.

Enacted Budget projections for debt service spending have been revised to reflect a number of factors, including planned bond sales, the prepayment of \$203 million of debt service otherwise due during FY 2014, and legislative actions taken in the budget, including enactment of the new sales tax revenue bond credit.



**FY 2013 PRELIMINARY YEAR-END RESULTS**



# FY 2013 PRELIMINARY YEAR-END RESULTS

This section provides a summary of operating results for April 2012 through March 2013 compared to (1) the initial projections set forth in the FY 2013 Enacted Budget; (2) the revised projections set forth in the amended FY 2014 Executive Budget Financial Plan; and (3) results through March 2012.

## GENERAL FUND RESULTS

The State ended March 2013 with a closing balance of \$1.6 billion in the General Fund, \$209 million lower than initially estimated in the FY 2013 Enacted Budget. The lower balance reflects the combined impact of lower than planned receipts (\$119 million) and higher than planned spending (\$90 million). Compared to the revised projections of the Executive Amendment (released February 2013), the General Fund balance was \$136 million higher.

GENERAL FUND OPERATING RESULTS FY 2013 (millions of dollars)					
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance	
				Enacted Plan	Revised Plan
<b>Opening Balance</b>	<b>1,787</b>	<b>1,787</b>	<b>1,787</b>	<b>0</b>	<b>0</b>
<b>Total Receipts</b>	<b>58,900</b>	<b>59,062</b>	<b>58,781</b>	<b>(119)</b>	<b>(281)</b>
Taxes:	<u>54,541</u>	<u>54,315</u>	<u>54,566</u>	<u>25</u>	<u>251</u>
<i>Personal Income Tax</i> <sup>1</sup>	35,188	35,130	35,212	24	82
<i>User Taxes and Fees</i> <sup>1</sup>	11,727	11,543	11,528	(199)	(15)
<i>Business Taxes</i>	6,038	6,038	6,252	214	214
<i>Other Taxes</i> <sup>1</sup>	1,588	1,604	1,574	(14)	(30)
Receipts and Grants	3,289	3,784	3,566	277	(218)
Transfers From Other Funds	1,070	963	649	(421)	(314)
<b>Total Spending</b>	<b>58,868</b>	<b>59,375</b>	<b>58,958</b>	<b>90</b>	<b>(417)</b>
Education	18,942	18,964	19,029	87	65
Health Care	11,268	11,712	11,736	468	24
Social Services	3,026	3,051	3,023	(3)	(28)
Higher Education	2,586	2,596	2,597	11	1
All Other Local Assistance	3,823	3,453	3,375	(448)	(78)
Personal Service	5,892	6,190	6,130	238	(60)
Non-Personal Service	1,844	1,904	1,726	(118)	(178)
General State Charges	4,403	4,589	4,549	146	(40)
Debt Service Transfer	1,580	1,644	1,647	67	3
Capital Projects Transfer	1,055	868	916	(139)	48
State Share Medicaid Transfer	2,978	2,975	2,846	(132)	(129)
SUNY Operations Transfer	340	340	340	-	-
All Other Transfers	1,131	1,089	1,044	(87)	(45)
<b>Change in Operations</b>	<b>32</b>	<b>(313)</b>	<b>(177)</b>	<b>(209)</b>	<b>136</b>
<b>Closing Balance</b> <sup>2</sup>	<b>1,819</b>	<b>1,474</b>	<b>1,610</b>	<b>(209)</b>	<b>136</b>

<sup>1</sup> Includes transfers from other funds after debt service.

<sup>2</sup> Change in fund balance between enacted and revised plan reflects use of reserves for labor settlement payment.

## **FY 2013 PRELIMINARY YEAR-END RESULTS**

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### RECEIPTS

Total receipts through March 2013 were \$119 million below initial projections, reflecting the net impact of higher tax collections (\$25 million); higher non-tax receipts and grants (\$277 million); and lower than projected transfer support from other State funds (\$421 million).

The variance in tax collections is a function of higher than anticipated business tax collections (\$214 million) due to higher gross receipts and audit collections offset by lower than planned user taxes (\$199 million) from slower than expected growth in taxable consumption. The variance in non-tax receipts represents a \$340 million settlement payment to the State from Standard Chartered Bank based on claims that the bank did not comply with financial regulations; \$75 million from the Manhattan DA due to increased settlement activity; and lower than anticipated collections for abandoned property (\$71 million) and licensing and fees (\$54 million).

The lower than initially estimated transfers to the General Fund is mainly attributable to the ongoing revenue arbitration between the State and the Seneca Nation regarding the Tribe's exclusivity zone (\$104 million), and the delayed collection of receipts from localities for their share of youth facilities costs due to the timing of approval of the rate packages (\$77 million). In addition, transfers were lower than initially projected due to the timing of certain mental hygiene transfers.

Since enactment of the FY 2013 budget, total receipts were revised upward by \$162 million, with downward adjustments in taxes (\$226 million) and transfers (\$107 million) offset by \$495 million in upward adjustments in miscellaneous receipts. The forecast changes for tax collections were driven by the anticipated economic impact of Superstorm Sandy. The transfer modifications reflect the revised availability of funds to support the General Fund. Miscellaneous receipts were revised to account for the collections from the Standard Chartered Bank and the Manhattan DA.

In comparison to these revised estimates, year-end tax collections were \$251 million higher, most notably due to higher business tax collections (\$214 million) and higher withholdings of personal income taxes (\$82 million). Miscellaneous receipts were \$218 million lower due to factors such as lower licenses and fee collections (\$180 million), including significantly reduced revenues from Justice Court fees. As noted above, the transfers to the General Fund were \$314 million below revised estimates primarily due to a lack of available resources, and the decision to delay certain transfers until FY 2014.

## FY 2013 PRELIMINARY YEAR-END RESULTS

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### SPENDING

General Fund spending through March 2013 was \$90 million above initial projections due to higher than anticipated spending in local assistance (\$115 million), personal service (\$238 million), and GSCs (\$146 million), offset by lower than anticipated spending in non-personal service costs (\$118 million) and lower than projected General Fund transfers to other State funds (\$291 million).

The local assistance spending variance includes \$468 million in higher than projected spending for health care due almost entirely to revenue shortfalls in the HCRA fund that would otherwise offset Medicaid spending, and \$124 million in higher-than-projected School Aid disbursements. These increased costs were largely offset by lower disbursements across a range of programs and activities.

Personal service spending exceeded initial estimates due almost exclusively to retroactive payments related to labor settlements reached between the State and two public employee unions after enactment of the FY 2013 budget. These payments were financed from General Fund balances designated for this purpose. Non-personal service under-spending is reflected across a number of State agencies and programs, including: DOH Medicaid (\$30 million) due to lower than planned contractual costs; the Labor Management Committees (\$16 million) due to lower than anticipated costs for negotiated employee benefits; and disaster-related costs (\$16 million) due to lower than assumed State outlays associated with Hurricane Irene and Tropical Storm Lee.

GSCs were higher than initially projected due mainly to the impact of higher than anticipated Workers' Compensation payments, large one-time litigation payments and lower reimbursement payments from non-General Fund financing sources.

General Fund transfers to other funds were below initial estimates because of lower than projected operational costs for mental hygiene facilities (\$132 million) and lower transfers needed to support the financing costs of Capital Projects (\$139 million). The reduced transfers to the Capital Projects Fund reflect several factors that occurred throughout FY 2013, including savings from refunding, the timing and size of bond sales, and the financing mix for capital projects, most of which were recognized and accounted for through subsequent updates to the FY 2013 Financial Plan. The overall lower transfers were partially offset through higher debt service transfers (\$67 million) — a reflection of a decision to pre-pay a portion of FY 2014 debt service costs during FY 2013 in order to achieve future savings.

Since enactment of the FY 2013 budget, General Fund spending was increased by \$507 million. The most notable spending increases included upward revisions to Medicaid spending to reflect the loss of HCRA revenue (\$444 million); increases to personal service costs to reflect the payment of retroactive labor agreements (\$298 million); and increases to GSC costs to account for workers' compensation payments and litigation costs (\$186 million). These increased costs were largely mitigated through the downward revisions of transfers in support of Capital Projects (\$187 million) and under-spending across a range of programs and activities.

## FY 2013 PRELIMINARY YEAR-END RESULTS

General Fund spending was \$417 million below these revised estimates, most notably due to lower than anticipated spending for disaster assistance costs for recent storms (\$97 million). The shortfall in spending for State disaster assistance costs was mainly attributable to the cautious approach used to formulate expected storm-recovery costs in the weeks that followed Superstorm Sandy, the exact timing of which could not be ascertained. While the full magnitude of these costs still appears to be nearly as substantial as initially projected, DOB now expects that these costs will materialize over a greater length of time. In addition to the disaster-related under-spending, results fell short of the revised estimates due to lower costs associated with the operation of mental hygiene facilities (\$129 million) and to lower spending across various agencies, including OCFS (\$58 million) and the Judiciary (\$42 million).

### STATE OPERATING FUNDS RESULTS

The State ended March 2013 with a closing balance of \$4.4 billion in State Operating Funds, or \$264 million above the initial estimate. This reflected higher total receipts (\$31 million), lower spending (\$76 million), and net higher financing from other sources (\$157 million). Compared to revised projections, the State Operating Funds balance was \$743 million higher than planned.

STATE OPERATING FUNDS RESULTS THROUGH MARCH 2013					
(millions of dollars)					
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance	
				Enacted Plan	Revised Plan
<b>Opening Balance</b>	<b>3,847</b>	<b>3,847</b>	<b>3,847</b>	<b>0</b>	<b>0</b>
<b>Total Receipts</b>	<b>85,041</b>	<b>85,142</b>	<b>85,072</b>	<b>31</b>	<b>(70)</b>
Taxes:	<u>64,969</u>	<u>64,569</u>	<u>64,931</u>	<u>(38)</u>	<u>362</u>
<i>Personal Income Tax</i>	40,256	40,126	40,227	(29)	101
<i>User Taxes and Fees</i>	14,299	14,033	14,013	(286)	(20)
<i>Business Taxes</i>	7,569	7,543	7,815	246	272
<i>Other Taxes</i>	2,845	2,867	2,876	31	9
Miscellaneous/Federal Receipts	20,072	20,573	20,141	69	(432)
<b>Total Spending</b>	<b>88,919</b>	<b>89,621</b>	<b>88,843</b>	<b>(76)</b>	<b>(778)</b>
Education	22,000	22,031	22,090	90	59
Health Care	17,786	17,766	17,806	20	40
Social Services	3,031	3,056	3,032	1	(24)
Transportation	4,378	4,344	4,303	(75)	(41)
Higher Education	2,618	2,628	2,629	11	1
All Other Local Assistance	8,960	8,869	8,718	(242)	(151)
Personal Service	12,165	12,568	12,402	237	(166)
Non-Personal Service	5,490	5,642	5,281	(209)	(361)
General State Charges	6,422	6,580	6,436	14	(144)
Debt Service	6,064	6,132	6,138	74	6
Capital Projects	5	5	8	3	3
<b>Other Financing Sources</b>	<b>4,127</b>	<b>4,249</b>	<b>4,284</b>	<b>157</b>	<b>35</b>
<b>Change in Operations</b>	<b>249</b>	<b>(230)</b>	<b>513</b>	<b>264</b>	<b>743</b>
<b>Closing Balance</b>	<b>4,096</b>	<b>3,617</b>	<b>4,360</b>	<b>264</b>	<b>743</b>

### RECEIPTS

Total receipts in State Operating Funds were \$31 million higher than initial projections, reflecting the combined impact of \$38 million in lower than estimated tax receipts and \$69 million in higher non-tax collections.

The tax receipts variance is largely a function of lower cigarette tax collections in the HCRA fund (\$38 million) and lower tax collections for transit operating aid (\$32 million). The lower than assumed tax receipts from other State funds were offset by the higher tax collections noted in the General Fund operating results. The variance in non-tax collections is a function of \$277 million in higher General Fund collections and \$200 million in lower miscellaneous receipts from other State funds, particularly the HCRA fund which had a \$547 million revenue shortfall. The HCRA variance is due to two main factors: the continued effectiveness of the MRT efficiency initiatives which, through cost containment practices, have reduced the amount of revenue available to be generated through industry surcharges; and the loss of \$250 million in conversion proceeds due to the postponement until FY 2014 of the Emblem, Inc. conversion to a for-profit entity.

Projected State Operating Fund receipts were increased by \$101 million between enactment of the FY 2013 budget and the release of the amended FY 2014 Executive Budget. In addition to the revisions described in the General Fund results, the most notable revenue adjustments were made in relation to HCRA funding, specifically the downward adjustments for both cigarette taxes (\$33 million) and miscellaneous receipts (\$450 million). The cigarette tax adjustments were made to account for collection patterns experienced throughout the year; and, as described earlier, the HCRA adjustments were a factor of MRT efficiencies and uncollected health care conversion proceeds.

In comparison to these revised projections, State Operating Funds receipts were \$70 million lower than anticipated (\$362 million in higher tax collections and \$432 million in lower non-tax collections). Consistent with General Fund results, the tax collection variance is primarily attributable to higher business taxes, specifically those that pertain to the transit operating aid (\$95 million). In addition to the lower than anticipated licensing and fee collections described in the General Fund results (\$218 million), the variance in miscellaneous receipts is due to the shortfall in HCRA surcharge revenues (\$97 million) and ongoing arbitration between the State and the Seneca Nation (\$129 million).

## FY 2013 PRELIMINARY YEAR-END RESULTS

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### SPENDING

Compared to initial projections, total State Operating Funds spending was \$76 million lower than planned due to lower than anticipated spending in local assistance (\$195 million) and nonpersonal service (\$209 million), and higher than anticipated spending in personal service (\$237 million).

The local assistance spending variance is a combination of the higher than anticipated General Fund spending noted earlier and lower than anticipated spending across several other State funds, including the transit operating aid (\$75 million) and the Tribal State Compact Fund (\$32 million).

As with the General Fund operating results, spending was lower than estimated for non-personal service costs across a number of other State Funds, most notably in SUNY (\$118 million) where the recent change in financing mechanism, whereby agency operating costs will now disburse directly from the SUNY operating fund instead of the General Fund, has altered the manner in which SUNY manages their resources over the course of their fiscal year. Future projections will be adjusted to reflect this change. The spending in personal service costs is consistent with General Fund operating results, in that it was attributable to the payment of retroactive labor settlement agreements.

Changes that were made to Financial Plan estimates in other State funds since enactment of the FY 2013 Budget were generally consistent with General Fund changes, including the upward adjustments that were made to account for increased agency operating costs associated with the settlement of collective bargaining agreements.

State Operating Funds spending was \$778 million below these revised estimates. In addition to the variances provided for in the General Fund operating results (\$294 million), this variance is a function of lower than estimated local assistance spending from HCRA for indigent care services, EPIC and other public health programs (\$52 million); lower than estimated local assistance spending from the transit operating funds (\$40 million); and lower than estimated agency operating costs from the other State funds that support SUNY facilities (\$143 million), State-operated mental hygiene facilities (\$47 million), the Lottery (\$40 million), and the State Police (\$40 million).

### FINANCING SOURCES

Other financing sources, which represent the difference between transfers to and from other funds within the fund types that comprise State Operating Funds, were \$157 million higher than initial projections. This variance was driven primarily by lower than anticipated transfers from the General Fund to the Capital Projects Funds as noted in the General Fund results.

## FY 2013 PRELIMINARY YEAR-END RESULTS

### ALL GOVERNMENTAL FUNDS RESULTS

All Governmental Funds ended March 2013 with a closing balance of \$3.9 billion, \$244 million above the initial projection, reflecting lower than projected receipts (\$98 million); lower spending (\$296 million); and higher financing from other sources (\$46 million). Compared to the most recent estimates, the March 2013 closing balance was \$738 million higher than planned.

ALL GOVERNMENTAL FUNDS RESULTS FY 2013					
(millions of dollars)					
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance	
				Enacted Plan	Revised Plan
<b>Opening Balance</b>	<b>3,360</b>	<b>3,360</b>	<b>3,360</b>	<b>0</b>	<b>0</b>
<b>Total Receipts</b>	<b>133,272</b>	<b>135,038</b>	<b>133,174</b>	<b>(98)</b>	<b>(1,864)</b>
Taxes:	<u>66,370</u>	<u>65,922</u>	<u>66,300</u>	<u>(70)</u>	<u>379</u>
<i>Personal Income Tax</i>	40,256	40,126	40,227	(29)	101
<i>User Taxes and Fees</i>	14,921	14,628	14,616	(305)	(12)
<i>Business Taxes</i>	8,229	8,181	8,463	234	282
<i>Other Taxes</i>	2,964	2,987	2,994	30	7
Miscellaneous Receipts	24,269	24,985	24,036	(233)	(949)
Federal Grants	42,633	44,131	42,838	205	(1,293)
<b>Total Spending</b>	<b>133,393</b>	<b>135,642</b>	<b>133,097</b>	<b>(296)</b>	<b>(2,545)</b>
State Operating Funds:	<u>88,919</u>	<u>89,621</u>	<u>88,843</u>	<u>(76)</u>	<u>(778)</u>
<i>Education</i>	22,000	22,031	22,090	90	59
<i>Health Care</i>	17,786	17,766	17,806	20	40
<i>Social Services</i>	3,031	3,056	3,032	1	(24)
<i>Transportation</i>	4,378	4,344	4,303	(75)	(41)
<i>Higher Education</i>	2,618	2,628	2,629	11	1
<i>All Other Local Assistance</i>	8,960	8,869	8,718	(242)	(151)
<i>Personal Service</i>	12,165	12,568	12,402	237	(166)
<i>Non-Personal Service</i>	5,490	5,642	5,281	(209)	(361)
<i>General State Charges</i>	6,422	6,580	6,436	14	(144)
<i>Debt Service</i>	6,064	6,132	6,138	74	6
<i>Capital Projects</i>	5	5	8	3	3
Capital Projects Funds	7,971	8,025	7,540	(431)	(485)
Federal Operating Funds	36,503	37,996	36,714	211	(1,282)
<b>Other Financing Sources</b>	<b>393</b>	<b>382</b>	<b>439</b>	<b>46</b>	<b>57</b>
<b>Change in Operations</b>	<b>272</b>	<b>(222)</b>	<b>516</b>	<b>244</b>	<b>738</b>
<b>Closing Balance</b>	<b>3,632</b>	<b>3,138</b>	<b>3,876</b>	<b>244</b>	<b>738</b>

## **FY 2013 PRELIMINARY YEAR-END RESULTS**

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### RECEIPTS

The variances in tax collections and miscellaneous receipts, as compared to both the enacted and revised estimates, are largely due to the same factors noted previously in the General Fund and State Operating Funds results. The variance in Federal grants is roughly proportionate to the spending pattern of federally-funded programs across the State, as described in more detail below.

### SPENDING

In addition to the General Fund and State Operating Fund spending variances described earlier, for both the enacted and revised estimates, the most notable variances on an All Governmental Funds basis are attributable to factors associated with capital projects, Federal disaster assistance costs, and Federal education funding.

The Capital Projects Funds variance is primarily due to lower than anticipated expenditures in transportation-related projects associated with New York Works; slower than expected spending for new initiatives in Economic Development programs, including Regional Councils, Buffalo Regional Innovation Cluster and New York Works Economic Development Fund; and timing-related spending for DOCCS due to delays in the design process and cost accounting system issues.

Approximately \$1.7 billion in additional Federal spending was added to the Financial Plan after enactment of the FY 2013 budget to account for disaster assistance. These estimated costs were expected to support a wide range of purposes, including costs associated with the response to and recovery from recent storms; and mitigation efforts to improve State readiness for future events. As a result of several factors, including a Federal congressional disaster relief package which was not passed until January 2013, approximately \$700 million of the anticipated FY 2013 disbursements did not materialize. However, the expectation is that the State will fully utilize all the available Federal funds, and adjustments have been made to future budgets to reflect this expectation.

Spending associated with Federal education funding was approximately \$600 million below projections, reflecting the timing associated with the submission of claims by schools for the reimbursement of eligible costs. However, these funds should be fully expanded and adjustments have been made to outyear projections to reflect this expectation.

## FY 2013 PRELIMINARY YEAR-END RESULTS

### ALL GOVERNMENTAL FUNDS ANNUAL CHANGE

APRIL THROUGH MARCH ALL GOVERNMENTAL FUNDS RESULTS YEAR-OVER-YEAR				
(millions of dollars)				
	FY 2012	FY 2013	Increase/(Decrease)	
	Results	Results	\$	%
<b>Opening Balance</b>	<b>3,812</b>	<b>3,360</b>	<b>(452)</b>	
<b>Total Receipts</b>	<b>132,745</b>	<b>133,174</b>	<b>429</b>	<b>0.3%</b>
Taxes:	64,299	66,300	2,001	3.1%
<i>Personal Income Tax</i>	38,768	40,227	1,459	3.8%
<i>User Taxes and Fees</i>	14,571	14,616	45	0.3%
<i>Business Taxes</i>	7,878	8,463	585	7.4%
<i>Other Taxes</i>	3,082	2,994	(88)	-2.9%
Miscellaneous Receipts	23,836	24,036	200	0.8%
Federal Grants	44,610	42,838	(1,772)	-4.0%
<b>Total Spending</b>	<b>133,504</b>	<b>133,097</b>	<b>(407)</b>	<b>-0.3%</b>
State Operating Funds:	87,181	88,843	1,662	1.9%
<i>Education</i>	21,360	22,090	730	3.4%
<i>Health Care</i>	17,289	17,806	517	3.0%
<i>Social Services</i>	3,017	3,032	15	0.5%
<i>Transportation</i>	4,230	4,303	73	1.7%
<i>Higher Education</i>	2,608	2,629	21	0.8%
<i>All Other Local Assistance</i>	8,764	8,718	(46)	-0.5%
<i>Personal Service</i>	12,040	12,402	362	3.0%
<i>Non-Personal Service</i>	5,411	5,281	(130)	-2.4%
<i>General State Charges</i>	6,592	6,436	(156)	-2.4%
<i>Debt Service</i>	5,864	6,138	274	4.7%
<i>Capital Projects</i>	6	8	2	33.3%
Capital Projects Funds	7,836	7,540	(296)	-3.8%
Federal Operating Funds	38,486	36,714	(1,772)	-4.6%
<b>Other Financing Sources</b>	<b>307</b>	<b>439</b>	<b>132</b>	
<b>Change in Operations</b>	<b>(452)</b>	<b>516</b>	<b>968</b>	
<b>Closing Balance</b>	<b>3,360</b>	<b>3,876</b>	<b>516</b>	

### RECEIPTS

The \$429 million year-over-year increase in tax receipts through March 2013 is mainly attributable to increases in personal income tax (\$1.5 billion) and business tax (\$585 million) collections. The personal income tax receipts increase is a function of a strong bonus season as well as the early realization of capital gains and dividends in anticipation of higher Federal marginal tax rates starting in tax year 2013. The business tax increase reflects stronger payments in calendar year 2012 relative to the prior year, as well as higher audit receipts and lower refunds for all business taxes combined.

## **FY 2013 PRELIMINARY YEAR-END RESULTS**

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The higher miscellaneous receipts primarily reflects the Standard Chartered Bank settlement, and the decrease in Federal grants is roughly commensurate with the year-over-year decrease in overall Federal spending, due mainly to the phase-out of approximately \$2.7 billion in spending from Federal ARRA funds.

### SPENDING

The \$407 million year-over-year decrease in spending through March 2013 is mainly attributable to lower spending from Federal Operating (\$1.8 billion) and Capital Projects (\$296 million) funds, and increased spending in State Operating Funds (\$1.7 billion) and Debt Service (\$274 million).

The annual decrease in Federal Operating Funds spending is due to the phasing-out of approximately \$2.7 billion in funding available from the Federal ARRA between fiscal years 2012 and 2013, partially offset by nearly \$1 billion in Federal disaster assistance spending in FY 2013.

Capital projects spending declined mainly due to the spend-out of large capital projects in the Economic Development area, including SUNY College for Nanoscale and Science Engineering, GlobalFoundries and Aqueduct Video Lottery Facility.

State Operating Funds increased by \$1.7 billion due mainly to expected growth in various local assistance programs, including education (\$730 million) and Medicaid (\$516 million), both of which are subject to an annual cap; personal service costs (\$355 million) associated with retroactive labor settlements; and debt service (\$274 million) payments which reflect both anticipated costs as well as the prepayment of certain FY 2014 debt obligations.



**GAAP-BASIS FINANCIAL PLAN**



# **GAAP-BASIS FINANCIAL PLAN**

## **GAAP-BASIS FINANCIAL PLAN**

The State Budget is required to be balanced on a cash basis, which is DOB's primary focus in preparing and implementing the State Financial Plan. State Finance Law also requires the Financial Plan be presented for informational purposes on a GAAP basis. The GAAP-basis plans model, to the extent practicable, the accounting principles applied by OSC in preparation of the annual Financial Statements. Differences between GAAP methodologies and the cash-basis methodologies utilized may result in GAAP projections differing from projections elsewhere in this document. Tables comparing the cash-basis and GAAP-basis General Fund Financial Plans are provided at the end of this Financial Plan.

In FY 2014, the General Fund GAAP Financial Plan reflects projected total revenues of \$46.2 billion, total expenditures of \$60.8 billion, and net other financing sources of \$12.4 billion, resulting in an operating deficit of \$2.2 billion. These estimates reflect the net impact of the Enacted Budget gap-closing actions.

DOB's detailed GAAP Financial Plans for FY 2014 through FY 2017 are provided in the Financial Plan Tables.





**FISCAL IMPACT ON  
LOCAL GOVERNMENTS**



## FISCAL IMPACT ON LOCAL GOVERNMENTS

This section presents the estimated fiscal impact of the FY 2014 Enacted Budget on New York's municipalities as required by State Finance Law. To supplement this narrative, charts detailing the local government impact are provided in the "Financial Plan Tables" section of this report.

### MAJOR ACTIONS AFFECTING LOCAL GOVERNMENTS

- **Stable Rate Pension Contribution Option.** The Enacted Budget includes a new option for a more stable pension contribution rate, helping local government employers to access the long-term savings from Tier VI. The Budget includes a plan that provides greater predictability and immediate fiscal relief, while maintaining adequate pension funding. For the Employee Retirement System and the Police and Fire Retirement System, pension contributions will be set at a rate significantly below the normal rate for two years, after which growth will be limited to a half percent per year. For the Teachers' Retirement System, pension contribution rates will also be set at a rate significantly below the normal rate for two years, with optional two percentage point rate increases in years three and five. In the eighth year, school districts will revert to the normal rate for their TRS costs. The difference between the new stable rate and the historically high normal contribution rates will provide immediate and significant mandate relief savings for local governments and schools.
- **Consolidated Local Street and Highway Improvement Program (CHIPs).** The Enacted Budget includes \$438 million in funding for CHIPs - an increase of \$75 million - that will go to counties, cities, towns and villages to support local highway, road, and bridge repair projects. This is the first CHIPs increase since FY 2009.
- **Preschool Special Education Reforms.** Counties and New York City will have access to \$1 million in targeted grants to strengthen their audit capabilities. In addition, they will also be allowed to keep 100 percent of all recoveries from local audits, which will increase the incentive for local governments to find and recover fraudulent and inappropriate spending by providers.
- **Enhance General Public Health Work Program.** The General Public Health Work program provides State aid reimbursement to local health departments for a core set of public health services. Reforms associated with the first major overhaul of this program since its enactment will promote State health priorities, incentivize performance, and provide administrative relief to counties. The Budget will achieve \$3.5 million in savings in 2014-15 and provide mandate relief for local governments of more than \$16 million over five years.

## FISCAL IMPACT ON LOCAL GOVERNMENTS

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### LOCAL YEAR 2014 FISCAL SUMMARY

Enacted Budget actions, in total, will result in a positive local impact of nearly \$1.2 billion for local fiscal years ending in 2014 — the first full-annual local fiscal year affected by the recently enacted State Budget. Overall, this total net impact is \$256 million greater than the impact of the Executive Budget (\$944 million), with most significant increases occurring in School Aid. The fiscal summary of the impact on local governments for local fiscal year 2014 is as follows:

- **School Districts:** School districts outside of New York City will realize a \$579 million positive impact for the FY 2014 school year, almost exclusively from a \$578 million school aid increase, exclusive of potential funding from *New NY Education Reform Initiatives and Performance Grants*, to be distributed at a later point.
- **New York City:** A \$404 million positive impact is estimated for New York City primarily due to \$364 million in additional aid for New York City schools, exclusive of potential funding from *New NY Education Reform Initiatives and Performance Grants* to be distributed at a later point. Revenue proposals total \$19.6 million, including \$8.3 million additional revenue through the enforcement of past-due tax liabilities by suspending driver's licenses of taxpayers who owe taxes in excess of \$10,000, \$7.5 million in additional revenue by extending the charitable itemized deduction limitation, and \$3.8 million from other various sales and personal income tax initiatives. New York City will also benefit from an additional \$10.5 million in CHIPs funding and \$9.4 million in increased transit assistance for NYCDOT and Staten Island Ferry.
- **Counties:** County governments will realize an estimated \$43 million positive impact in 2014, including \$22.5 million in increased CHIPs assistance, \$12 million in increased transit assistance for downstate county transit systems, \$5 million from various sales tax initiatives, \$1 million in General Public Health Work funding, and \$1 million in increased funding for youth services programs.
- **Other Municipalities:** The impact to other cities, towns and villages is a positive \$49 million in local fiscal years ending in 2014 attributed primarily to a \$42 million increase in CHIPs funding. Other increases include a \$2.5 million advance of Tribal State Compact revenues for the City of Salamanca, a new \$1.5 million Per Capita Aid Program for villages, and an additional \$1 million in Miscellaneous Financial Assistance for Madison and Oneida Counties.

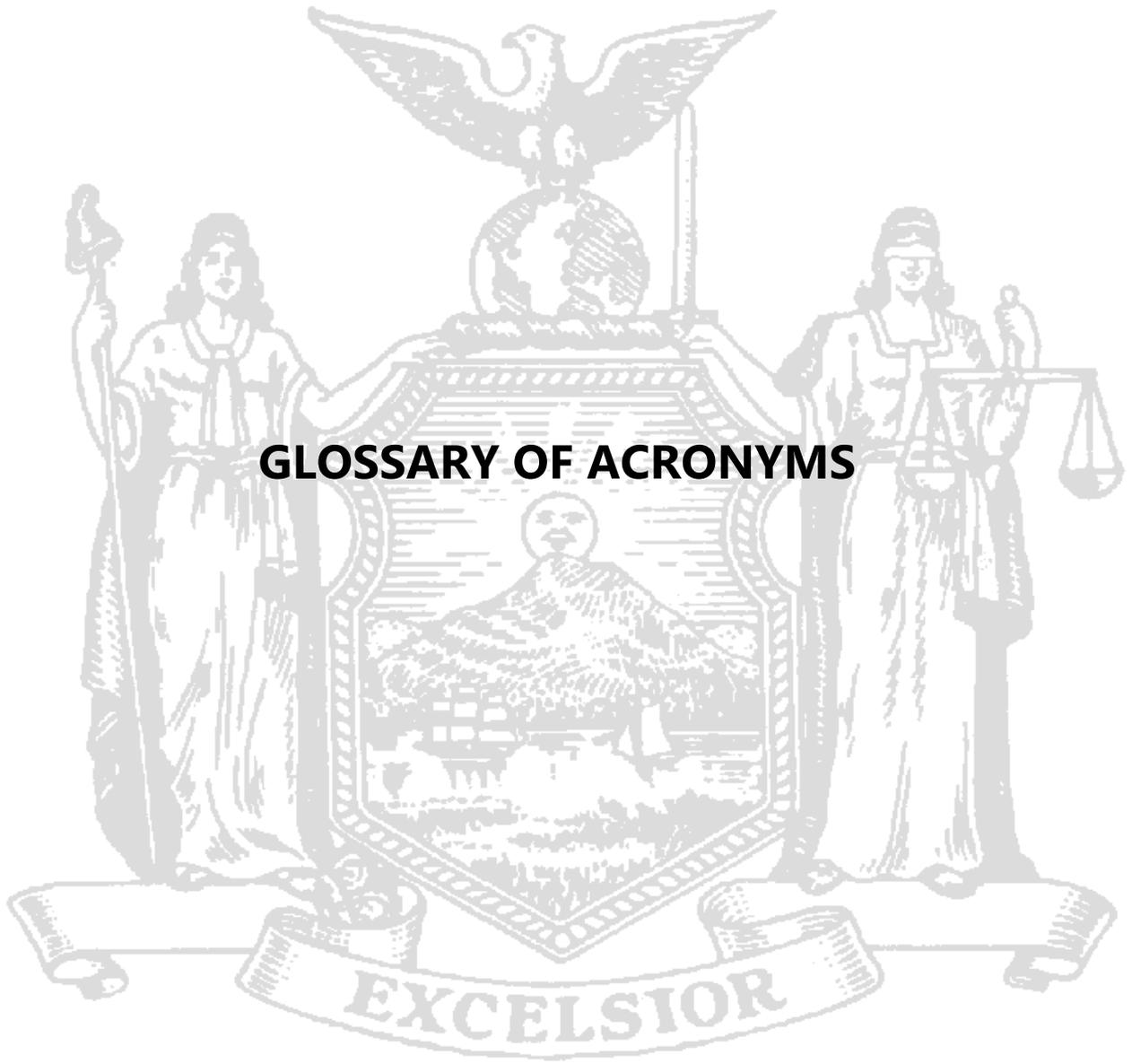
## FISCAL IMPACT ON LOCAL GOVERNMENTS

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### MAJOR CHANGES FROM THE EXECUTIVE BUDGET

- **Education:** The Enacted Budget provides an additional \$382 million in allocated School Aid, funded in part through the reprogramming of \$203 million in Fiscal Stabilization Funds.
- **Medicaid/Health:** Beginning in April 2013, the Enacted Budget began using State funds to lower County Medicaid Cap contributions by \$86 million through an advancement of enhanced FMAP for the period January 1, 2014 through March 31, 2014 associated with childless adults under the Affordable Care Act. Alternatively, the Executive Budget Early Intervention Reform package, which would have resulted in \$4.3 million in savings, was rejected by the Legislature.
- **Transportation:** The Enacted Budget provides an additional \$75 million in CHIPs funding that will go to counties, cities, towns and villages to support local highway, road, and bridge repair projects.
- **Municipal Aid:** In addition to the \$2.5 million advance of Tribal State Compact revenues to the City of Salamanca in the Executive Budget, the Enacted Budget provides for an additional \$2.5 million for the CFY 2014 (\$5 million total). The Enacted Budget also includes a new Village Per Capita Aid Program (\$1.5 million); an increase in VLT aid to eligible municipalities (\$1.4 million); an increase in Miscellaneous Financial Assistance to Madison and Oneida Counties (\$1.0 million); \$250,000 to the City of Syracuse for a shared services project with Onondaga County; and, assistance to four newly created villages not included in the AIM Program (\$123,000).





**GLOSSARY OF ACRONYMS**



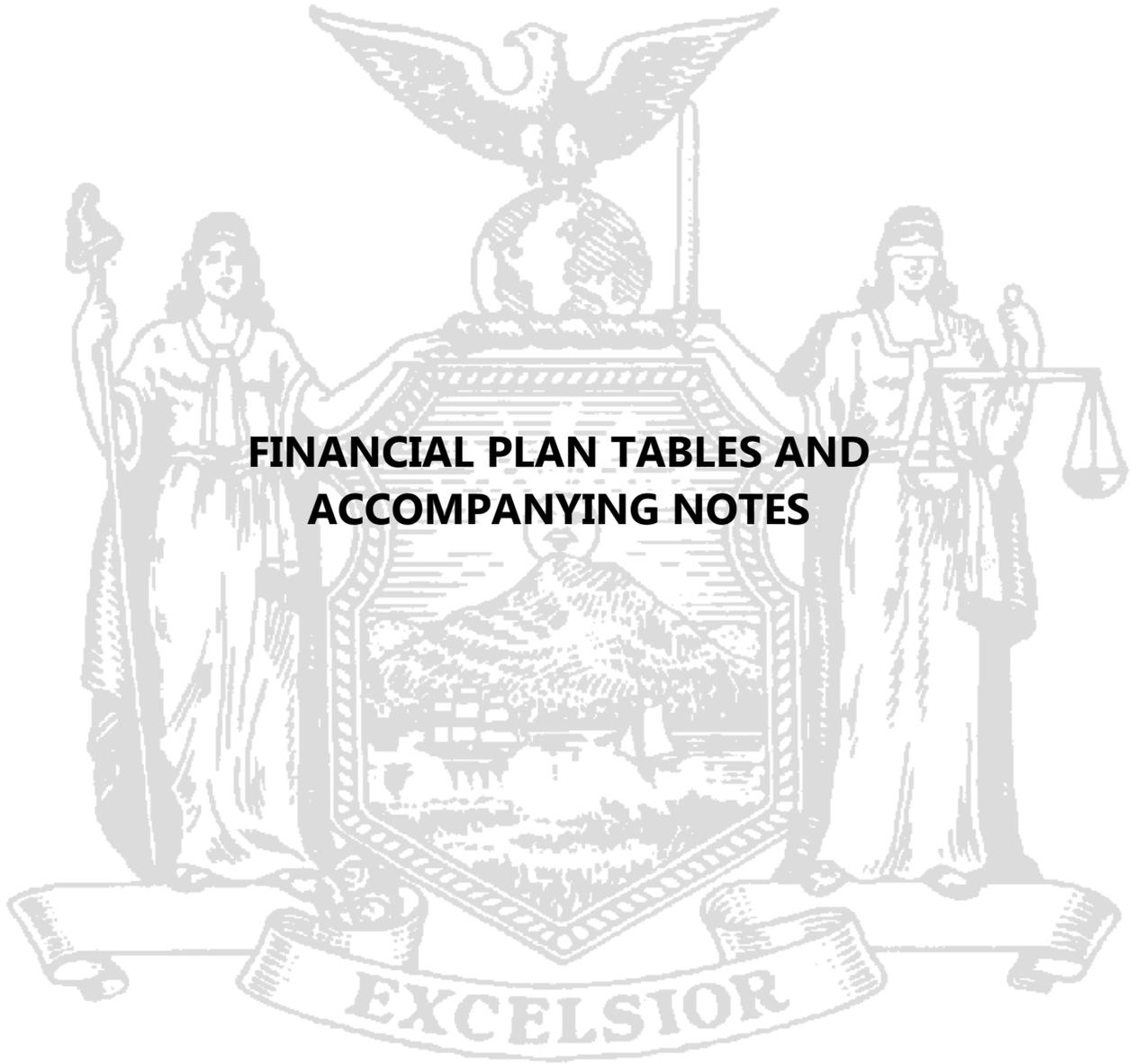
## GLOSSARY OF ACRONYMS

ABC	Alcoholic Beverage Control, Division of
ACA	Affordable Care Act
AIM	Aid and Incentive for Municipalities
ALES	Agency Law Enforcement Services
AMTAP	Additional Mass Transportation Operations Assistance Program
APSU	Agency Police Services Unit
ARC	Annual Required Contribution
ARRA	American Recovery and Reinvestment Act of 2009
BANs	Bond Anticipation Notes
BCA	Budget Control Act
CFY	City Fiscal Year
CHIPs	Consolidated Highway Improvement Programs
CHP	Child Health Plus
CMS	Centers for Medicare and Medicaid Services
COLA	Cost of Living Adjustment
CPI	Consumer Price Index
QCCAPD	Commission on Quality of Care and Advocacy for Persons with Disabilities
CSEA	Civil Service Employees Association
CUNY	City University of New York
CUNY DC-37	City University of New York District Council 37
CW/CA	Clean Water/Clean Air
DA	District Attorney
DASNY	Dormitory Authority of the State of New York
DHCR	Division of Homes and Community Renewal
DDPC	Developmental Disabilities Planning Council
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DRL	Deficit Reduction Leave
DRP	Deficit Reduction Program
DRRF	Debt Reduction Reserve Fund
DTF	Department of Taxation and Finance
EI	Early Intervention
EPF	Environmental Projection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESD	Empire State Development
FHP	Family Health Plus
FMAP	Federal Medical Assistance Percentage
F-SHRP	Federal-State Health Reform Partnership
FTE	Full-Time Equivalent
FFY	Federal Fiscal Year
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GEA	Gap Elimination Adjustment
GDP	Gross Domestic Product
GOER	Governor's Office of Employee Relations
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HCRA	Health Care Reform Act
HEAL NY	Health Care Efficiency and Affordability Law for New Yorkers
HESC	Higher Education Services Corporation
HUD	Housing and Urban Development
HUT	Highway Use Tax

## GLOSSARY OF ACRONYMS

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ICF/DD	Intermediate Care Facilities for the Developmentally Disabled
IDA	Industrial Development Agencies
ITS	Information Technology Services, Office of
LGAC	Local Government Assistance Corporation
LGU	Local Government Unit
LIPA	Long Island Power Authority
MA	Medicaid
MCFFA	Medical Care Facilities Financing Agency
MIF	Mortgage Insurance Fund
MMIS	Medicaid Management Information System
MRT	Medicaid Redesign Team
MTA	Metropolitan Transportation Authority
NYC	New York City
NYS	New York State
NYS-CARES	New York State - Creating Alternatives in Residential Environments and Services
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NY Helps	New York Higher Education Loan Program
NYSPBA	New York State Police Benevolent Association
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OGS	Office of General Services
OMB	Office of Management and Budget
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
OSC	Office of the State Comptroller
OTB	Off Track Betting
OTDA	Office of Temporary and Disability Assistance
PAYGO	Pay-As-You-Go
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PILOT	Payment in Lieu of Taxes
PIT	Personal Income Tax
PS	Personal Service
RBTF	Revenue Bond Tax Fund
RGGI	Regional Greenhouse Gas Initiative
SAGE	Spending and Government Efficiency Commission
SFS	Statewide Financial System
SIF	State Insurance Fund
SOF	State Operating Funds
SOFA	State Office for the Aging
SONYMA	State of New York Mortgage Agency
SRO	State Special Revenue
SSI	Supplemental Security Income
STAR	School Tax Relief
STBF	Sales Tax Bond Fund
STIP	Short-Term Investment Pool
SUNY	State University of New York
SY	School Year
TA	Thruway Authority
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TRS	Teachers' Retirement System
UPL	Ultra Petroleum Corp.
UUP	United University Professions
VLT	Video Lottery Terminal



**FINANCIAL PLAN TABLES AND  
ACCOMPANYING NOTES**



# FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

## NOTE 1 — BASIS OF ACCOUNTING

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

## NOTE 2 — FUND TYPES AND PERSPECTIVES

The State records its transactions in the following fund types:

### Governmental Funds

**General Fund** - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund during the fiscal year and transfers from other funds from current revenues.

**Special Revenue** - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

**Debt Service** - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

## **FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES**

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**Capital Projects** - These funds finance a variety of capital construction costs including: (1) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (2) highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects, and buildings and other capital facilities required by various State departments and agencies; (3) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (4) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds including the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

### **State Operating Funds**

The State Funds operating budget comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt. All capital spending, regardless of financing source, is included in the Capital budget.

### **Proprietary Funds**

**Internal Service Funds** - Account for the financing of goods or services supplied by one State agency to other State agencies or governmental units on a cost-reimbursement basis.

**Enterprise Funds** - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

### **Fiduciary Funds**

**Private-Purpose Trust Funds** - Accounts for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

**Pension Trust Fund** - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

**Agency Funds** - Accounts for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

### NOTE 3 — DISBURSEMENT DESCRIPTIONS

The State's Financial Plan and reporting includes only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

**Local Assistance Grants** - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

**Personal Service** - Includes the payment of salaries and compensation for State employees.

**Non-Personal Service** - Includes payments for miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other operating costs.

**General State Charges** - Include costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

**Debt Service** - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

**Capital Projects** - Include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

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**Bond Proceeds** - Includes the proceeds of general obligation bonds and short-term notes issued in the form of commercial paper or BANs and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

**Operating Transfers** - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

### NOTE 4 — RESERVATIONS OF GENERAL FUND BALANCE

Fund balance may be legally segregated for specific future use or set aside informally for specified purposes. The following terms are used in the reporting of reservations of General Fund Balance:

**Tax Stabilization Reserve Fund** - Created pursuant to law in order to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending and the balance may not exceed 2.0 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no less than three annual installments.

**Rainy Day Reserve Fund** - Created pursuant to law, to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 3 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

**Contingency Reserve Fund** - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

**Community Projects Fund** - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. The Enacted State Budget has typically included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2014 Enacted Budget does not include any new appropriations for the Community Projects fund, only spend-down of prior appropriation is included.

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

**Debt Reduction Reserve Fund** - Created pursuant to law to reduce the State's debt burden. DRRF receives moneys credited or transferred to it from the General Fund or any other designated sources. Subject to appropriation, DRRF's authorized purposes include i) the payment of principal, interest, or related expenses, ii) retiring or defeasing existing State-supported debt obligations, including accrued interest, and iii) the funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

**Undesignated Fund Balance** - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase "reserved for."

**Prior-Year Labor Agreements** - The State now has labor contracts with its two largest employee unions, CSEA and PEF, as well as NYSPBA (representing the APSU bargaining unit, formerly ALES), NYSCOPBA, and Council 82. The State has also reached a tentative agreement with UUP, which is expected to be ratified by union members in spring 2013. Previously, the State had carried a reserve of \$77 million to cover contract settlements for the period 2007 through 2011. With agreements now in place with many of the State's unions, this reserve will be reduced to \$51 million for FY 2014.

### NOTE 5 — SPENDING ADJUSTMENTS

Certain Special Revenue accounts formerly reported in the Financial Plan as Federal Operating Funds have been reclassified to State Operating Funds. The State changed this reporting with the FY 2012 Executive Budget. This change has the effect of increasing the reported disbursements from State Operating Funds, and reducing reported disbursements from Federal Operating Funds by an equal amount. Accordingly, there is no impact on the State's reported All Governmental Funds spending totals.

For purposes of comparability, the impact of the reclassification on historical results is summarized in the following table.

<b>STATE OPERATING FUNDS AS RESTATED</b> (millions of dollars)			
	<u>Before Restatement</u>	<u>Reporting Adjustment<sup>1</sup></u>	<u>Restated</u>
FY 2006	66,240	3,065	69,305
FY 2007	73,476	3,031	76,507
FY 2008	76,989	3,029	80,018
FY 2009	78,166	3,459	81,625
FY 2010	76,873	3,786	80,659
FY 2011	80,491	3,926	84,417

<sup>1</sup> DOB has reclassified certain special revenue accounts from Federal Operating Funds to State Operating Funds to be consistent with the methodology used by the Office of the State Comptroller.

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

### NOTE 6 — ITEMS AFFECTING FY 2011 TO FY 2013 COMPARABILITY

**School Aid Payment** - The end-of-year school aid payment (\$2.06 billion) scheduled for the last quarter of FY 2010 was paid in the first quarter of FY 2011, as authorized in statute. This was done to shift the FY 2010 budget shortfall into FY 2011.

**American Recovery and Reinvestment Act of 2009** - On February 17, 2009, President Obama signed into law the ARRA of 2009. This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures, and funds provided through the State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Primary ARRA-related support ended in FY 2012.

**Pension Amortization** -The Financial Plan projections assume that the State and OCA will amortize pension costs above a certain percentage of payroll, as authorized by legislation enacted in FY 2011. Amortization (deferment of payment) temporarily reduces the pension costs that must be paid by the State in a given fiscal year, but results in higher costs overall when repaid with interest. Consistent with the authorizing legislation, the interest cost is calculated by OSC. In FY 2013, the interest rate charged on the deferred amounts was 3 percent. Outyear repayments of amortizations in the table below assume that 3 percent interest will continue to be charged.

STATE PENSION COSTS AND AMORTIZATION SAVINGS							
(millions of dollars)							
Amortization Thresholds	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
ERS (%)	9.5	10.5	11.5	12.5	13.5	14.5	15.5
PFRS (%)	17.5	18.5	19.5	20.5	21.5	22.5	23.5
<b>Pensions (Net Cost)</b>	<b>1,470</b>	<b>1,697</b>	<b>1,601</b>	<b>2,013</b>	<b>2,256</b>	<b>2,352</b>	<b>2,359</b>
Gross Pension Costs	1,633	2,141	2,192	2,592	2,620	2,214	1,993
Amortization Savings	(250)	(563)	(779)	(858)	(744)	(263)	(45)
Repayment of Amortization (inc. FY 05 and FY 06)	87	119	188	279	380	401	411

**Mergers** - State agency mergers are reflected in the Financial Plan tables that follow.

Consistent with the FY 2013 Enacted Budget, the New York State Gaming Commission was created and made effective on February 1, 2013. The Commission merged the functions and responsibilities of the former Division of the Lottery and the former Racing and Wagering Board. As such, spending for FYs 2012 and 2013 has been reflected in the former agencies, while projections for FY 2014 and forward reflect the impact of the agency merger.

The Commission on Quality of Care and Advocacy for Persons with Disabilities will merge into the New York Center for Juvenile Justice effective June 30, 2013.

## **FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES**

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Consistent with FYs 2011 and 2012 Enacted Budgets, the Financial Plan tables also reflect the following mergers:

- Division of Parole merged with the Department of Correctional Services to become the new Department of Corrections and Community Supervision;
- Consumer Protection Board merged into Department of State;
- Foundation for Science, Technology, and Innovation merged into the Department of Economic Development, and;
- Banking and Insurance Departments merged into a new agency known as the Department of Financial Services.

### **NOTE 7 — OFF-BUDGET TRANSACTIONS**

Represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities, rather than from a loan from STIP or the General Fund.

### **NOTE 8 — GENERAL FUND/HCRA COMBINED GAP**

The current HCRA authorization expires on March 31, 2014. HCRA is projected to remain balanced through FY 2017. However, any unaddressed shortfall would need to be financed by the General Fund or reduction in HCRA programs.

### **NOTE 9 — METROPOLITAN TRANSPORTATION AUTHORITY FINANCIAL ASSISTANCE FUND**

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the metropolitan commuter transportation district. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing receipts in FY 2014 by an estimated \$1.5 billion, and increasing estimated disbursements in FY 2014 by \$1.9 billion.

Additional funding to support the total aid provided to the MTA is provided through a transfer from the General Fund, pursuant to December 2011 tax reform legislation which exempts school districts and small businesses operating within the Metropolitan Commuter Transportation District from the provisions of the Mobility Tax.

## **FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES**

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### **NOTE 10 — CHANGES TO THE MEDICAID PROGRAM**

Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level that would have otherwise been paid for by Local Social Services Districts. These statutory indexing provisions were amended through legislation included in the FY 2013 Enacted Budget to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

### **NOTE 11 — STATEWIDE CASH FLOW ADMINISTRATION**

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted with the Executive Budget. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

### **NOTE 12 — OUTSTANDING TEMPORARY LOANS SUMMARY**

The total outstanding loan balance as of March 31, 2012 was \$1.8 billion, comprised of advances for bond-reimbursable capital spending pending the receipt of bond proceeds (\$972 million), activities that are financed initially by the State pending Federal receipt (\$323 million), State Special Revenue Funds (\$419 million), and Proprietary Funds (\$79 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

### NOTE 13 — STATE FUNDING OF SUNY OPERATING SUPPORT

As part of the FY 2013 Budget, the State changed the process through which SUNY receives the State share of its operating support, from direct General Fund spending to General Fund transfers. SUNY's special revenue tuition offset account, which was traditionally used to offset the State's direct General Fund spending for SUNY operations, now reflects all spending for the university operations, effective with the academic year that began in July 2012. All General Fund support for SUNY operations is now transferred by the General Fund to this special revenue account, supplementing tuition revenue also being received by this account, and from which all university operations are funded. The impact to General Fund financial plan categories, as revised to reflect current Financial Plan estimates, is illustrated in the multi-year table below.

SUNY STATE OPERATIONS - GENERAL FUND STATE SUPPORT RE-CATEGORIZATION							
(millions of dollars)							
	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017
	<u>Results</u>	<u>Results</u>	<u>Results</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
Gross Personal Service	1,960	1,929	544	0	0	0	0
Offset Personal Service (From 22655)	<u>(1,200)</u>	<u>(1,226)</u>	<u>(26)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Net Personal Service</b>	<b>760</b>	<b>703</b>	<b>518</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Gross Non-Personal Service	388	366	232	0	0	0	0
Offset Non-Personal Service (From 22655)	<u>(119)</u>	<u>(126)</u>	<u>(71)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Net Non-Personal Service</b>	<b>269</b>	<b>240</b>	<b>161</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>General Fund Transfers to SUNY</b>							
<b>Tuition Revenue Account (22655)</b>	<b>0</b>	<b>0</b>	<b>340</b>	<b>971</b>	<b>971</b>	<b>971</b>	<b>971</b>
<b>Total General Fund Operating Support Including Transfers</b>	<b>1,029</b>	<b>943</b>	<b>1,019</b>	<b>971</b>	<b>971</b>	<b>971</b>	<b>971</b>

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

### NOTE 14 — AGENCY TRANSFERS TO ITS AND OGS

Beginning in FY 2013, the Financial Plan reflects the shift of information technology staff from agencies across the State to ITS as well as the transfer of business services staff to OGS. The consolidation of information technology and business service professionals within ITS/OGS is intended to eliminate the duplicated effort and investments inherent in the previous agency "silo" system. ITS/OGS can now assign staff according to program need and can support Enterprise Level solutions based on statewide standards and technologies. The table below reflects the change in Personal Service Spending across the affected agencies in FY 2013 and FY 2014.

SOF Personal Service Spending with ITS and OGS Transfers								
(thousands of dollars)								
	FY 2013				FY 2014			
	Original	ITS	OGS	Revised	Original	ITS	OGS	Revised
<b>Agencies Affected by Shift</b>	<b>4,288,534</b>	<b>0</b>	<b>0</b>	<b>4,288,534</b>	<b>4,068,331</b>	<b>0</b>	<b>0</b>	<b>4,068,331</b>
Adirondack Park	3,791	(20)	(8)	3,763	3,820	(64)	(53)	3,703
Aging	1,555	(19)	(33)	1,503	1,555	(61)	(67)	1,427
Agriculture & Markets	27,500	(334)	0	27,166	28,094	(1,084)	0	27,010
Budget	24,085	0	(35)	24,050	25,449	0	(265)	25,184
Children & Family Services	186,486	(2,843)	0	183,643	165,828	(9,272)	0	156,556
Civil Service	12,901	(316)	(14)	12,571	13,247	(1,032)	(93)	12,122
Corrections	2,273,615	(2,727)	0	2,270,888	2,068,045	(8,999)	0	2,059,046
CQCAPD	6,480	(34)	(16)	6,430	1,779	(110)	(102)	1,567
Criminal Justice Services	31,629	(2,717)	0	28,912	34,381	(8,848)	0	25,533
Economic Development	12,629	(200)	(37)	12,392	13,767	(651)	(192)	12,924
Employee Relations	2,551	(26)	0	2,525	2,606	(83)	0	2,523
Environmental Conservation	173,639	(754)	0	172,885	173,132	(2,457)	0	170,675
General Service	51,616	(1,280)	627	50,963	59,264	(4,161)	2,169	57,272
Public Health	271,787	(2,824)	0	268,963	237,670	(9,316)	0	228,354
Homeland Security	17,551	(257)	0	17,294	17,632	(835)	0	16,797
Housing & Community Renewal	42,345	(1,446)	(33)	40,866	43,979	(4,709)	(220)	39,050
Human Rights	10,283	(226)	0	10,057	10,050	(734)	0	9,316
Labor Management Committee	4,600	(42)	(13)	4,545	5,537	(137)	(87)	5,313
Medicaid Inspector General	18,373	(333)	0	18,040	18,566	(1,096)	0	17,470
Parks, Rec & Historic Preservation	128,763	(322)	0	128,441	129,318	(1,051)	0	128,267
State	31,186	(471)	0	30,715	31,937	(1,530)	0	30,407
State Police	562,014	(1,730)	0	560,284	565,057	(5,621)	0	559,436
Temporary & Disability Assistance	77,688	(5,201)	0	72,487	83,337	(16,987)	0	66,350
Tax & Finance	301,881	(13,647)	0	288,234	320,686	(44,390)	0	276,296
Technology	13,586	37,769	(438)	50,917	13,595	123,228	(1,090)	135,733
All Other Executive Control	2,913,006	0	0	2,913,006	2,920,339	0	0	2,920,339
All Other Non-Executive Control	5,365,575	0	0	5,365,575	5,359,721	0	0	5,359,721
<b>Statewide Total</b>	<b>12,567,115</b>	<b>0</b>	<b>0</b>	<b>12,567,115</b>	<b>12,348,391</b>	<b>0</b>	<b>0</b>	<b>12,348,391</b>

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**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2014 through FY 2017  
(millions of dollars)**

	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	28,488	29,423	31,541	33,619
User Taxes and Fees	6,548	6,814	7,094	7,275
Business Taxes	6,375	5,854	6,349	6,579
Other Taxes	1,069	1,144	1,159	1,169
Miscellaneous Receipts	3,096	3,551	2,682	2,653
Federal Receipts	2	0	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,840	9,124	9,572	10,066
Tax in Excess of LGAC/Sales Tax Debt Service	5,440	5,602	5,784	5,881
Real Estate Taxes in Excess of CW/CA Debt Service	532	608	683	739
All Other Transfers	866	764	719	722
<b>Total Receipts</b>	<u><u>61,256</u></u>	<u><u>62,884</u></u>	<u><u>65,583</u></u>	<u><u>68,703</u></u>
<b>Disbursements:</b>				
Local Assistance Grants	40,258	42,598	45,056	47,276
Departmental Operations:				
Personal Service	5,681	5,850	6,111	6,127
Non-personal Service	1,883	1,968	2,005	2,086
General State Charges	4,953	5,328	5,604	5,873
Transfers to Other Funds:				
Debt Service	1,328	1,483	1,452	1,345
Capital Projects	1,227	1,384	1,400	1,799
State Share Medicaid	1,813	1,338	1,311	1,279
SUNY Operations	971	971	971	971
Other Purposes	3,043	4,003	4,548	4,853
<b>Total Disbursements</b>	<u><u>61,157</u></u>	<u><u>64,923</u></u>	<u><u>68,458</u></u>	<u><u>71,609</u></u>
<b>Reserves:</b>				
Community Projects Fund	(25)	(35)	(33)	0
Prior-Year Labor Agreements (2007-2011)	(26)	10	14	14
Debt Reduction Reserve	250	0	0	0
Undesignated Fund Balance	(100)	0	0	0
<b>Increase (Decrease) in Reserves</b>	<u><u>99</u></u>	<u><u>(25)</u></u>	<u><u>(19)</u></u>	<u><u>14</u></u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>				
	<u><u>0</u></u>	<u><u>(2,014)</u></u>	<u><u>(2,856)</u></u>	<u><u>(2,920)</u></u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
ANNUAL CHANGE  
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>1,787</u>	<u>1,610</u>	<u>(177)</u>	<u>-9.9%</u>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	26,884	28,488	1,604	6.0%
User Taxes and Fees	9,112	6,548	(2,564)	-28.1%
Business Taxes	6,253	6,375	122	2.0%
Other Taxes	1,034	1,069	35	3.4%
Miscellaneous Receipts	3,504	3,096	(408)	-11.6%
Federal Receipts	62	2	(60)	-96.8%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,328	8,840	512	6.1%
Tax in Excess of LGAC/Sales Tax Debt Service	2,416	5,440	3,024	125.2%
Real Estate Taxes in Excess of CW/CA Debt Service	541	532	(9)	-1.7%
All Other Transfers	649	866	217	33.4%
<b>Total Receipts</b>	<u>58,783</u>	<u>61,256</u>	<u>2,473</u>	<u>4.2%</u>
<b>Disbursements:</b>				
Local Assistance Grants	39,760	40,258	498	1.3%
Departmental Operations:				
Personal Service	6,130	5,681	(449)	-7.3%
Non-Personal Service	1,726	1,883	157	9.1%
General State Charges	4,550	4,953	403	8.9%
Transfers to Other Funds:				
Debt Service	1,647	1,328	(319)	-19.4%
Capital Projects	916	1,227	311	34.0%
State Share Medicaid	2,846	1,813	(1,033)	-36.3%
SUNY Operations	340	971	631	185.6%
Other Purposes	1,045	3,043	1,998	191.2%
<b>Total Disbursements</b>	<u>58,960</u>	<u>61,157</u>	<u>2,197</u>	<u>3.7%</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>(177)</u>	<u>99</u>	<u>276</u>	<u>155.9%</u>
<b>Closing Fund Balance</b>	<u>1,610</u>	<u>1,709</u>	<u>99</u>	<u>6.1%</u>
<b>Statutory Reserves</b>				
Tax Stabilization Reserve Fund	1,131	1,131	0	
Rainy Day Reserve Fund	175	175	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	93	68	(25)	
<b>Reserved For</b>				
Prior-Year Labor Agreements (2007-2011)	77	51	(26)	
Debt Reduction	13	263	250	
Undesignated Fund Balance	100	0	(100)	

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2014  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	28,396	92	28,488
User Taxes and Fees	9,492	(2,944)	6,548
Business Taxes	6,244	131	6,375
Other Taxes	1,154	(85)	1,069
Miscellaneous Receipts	3,101	(5)	3,096
Federal Receipts	2	0	2
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,739	101	8,840
Tax in Excess of LGAC/Sales Tax Debt Service	2,546	2,894	5,440
Real Estate Taxes in Excess of CW/CA Debt Service	476	56	532
All Other	905	(39)	866
<b>Total Receipts</b>	<u><u>61,055</u></u>	<u><u>201</u></u>	<u><u>61,256</u></u>
<b>Disbursements:</b>			
Local Assistance Grants	40,035	223	40,258
Departmental Operations:			
Personal Service	5,672	9	5,681
Non-Personal Service	1,783	100	1,883
General State Charges	4,964	(11)	4,953
Transfers to Other Funds:			
Debt Service	1,334	(6)	1,328
Capital Projects	1,256	(29)	1,227
State Share Medicaid	1,730	83	1,813
SUNY Operations	969	2	971
Other Purposes	3,145	(102)	3,043
<b>Total Disbursements</b>	<u><u>60,888</u></u>	<u><u>269</u></u>	<u><u>61,157</u></u>
<b>Reserves:</b>			
Community Projects Fund	(57)	32	(25)
Prior-Year Labor Agreements (2007-2011)	(26)	0	(26)
Debt Reduction	250	0	250
Undesignated Fund Balance	0	(100)	(100)
<b>Increase (Decrease) in Reserves</b>	<u><u>167</u></u>	<u><u>(68)</u></u>	<u><u>99</u></u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u><u>0</u></u>	<u><u>0</u></u>	<u><u>0</u></u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2015  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	29,290	133	29,423
User Taxes and Fees	9,890	(3,076)	6,814
Business Taxes	5,736	118	5,854
Other Taxes	1,224	(80)	1,144
Miscellaneous Receipts	3,030	521	3,551
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,929	195	9,124
Tax in Excess of LGAC/Sales Tax Debt Service	2,665	2,937	5,602
Real Estate Taxes in Excess of CW/CA Debt Service	556	52	608
All Other	781	(17)	764
<b>Total Receipts</b>	<u>62,101</u>	<u>783</u>	<u>62,884</u>
<b>Disbursements:</b>			
Local Assistance Grants	41,946	652	42,598
Departmental Operations:			
Personal Service	5,839	11	5,850
Non-Personal Service	1,953	15	1,968
General State Charges	5,341	(13)	5,328
Transfers to Other Funds:			
Debt Service	1,476	7	1,483
Capital Projects	1,369	15	1,384
State Share Medicaid	1,288	50	1,338
SUNY Operations	969	2	971
Other Purposes	4,003	0	4,003
<b>Total Disbursements</b>	<u>64,184</u>	<u>739</u>	<u>64,923</u>
<b>Reserves:</b>			
Community Projects Fund	0	(35)	(35)
Prior-Year Labor Agreements (2007-2011)	10	0	10
<b>Increase (Decrease) in Reserves</b>	<u>10</u>	<u>(35)</u>	<u>(25)</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>(2,093)</u>	<u>79</u>	<u>(2,014)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2016  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	30,303	1,238	31,541
User Taxes and Fees	10,309	(3,215)	7,094
Business Taxes	6,294	55	6,349
Other Taxes	1,234	(75)	1,159
Miscellaneous Receipts	2,836	(154)	2,682
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,960	612	9,572
Tax in Excess of LGAC/Sales Tax Debt Service	2,809	2,975	5,784
Real Estate Taxes in Excess of CW/CA Debt Service	631	52	683
All Other	733	(14)	719
<b>Total Receipts</b>	<u>64,109</u>	<u>1,474</u>	<u>65,583</u>
<b>Disbursements:</b>			
Local Assistance Grants	44,358	698	45,056
Departmental Operations:			
Personal Service	6,100	11	6,111
Non-Personal Service	1,995	10	2,005
General State Charges	5,600	4	5,604
Transfers to Other Funds:			
Debt Service	1,450	2	1,452
Capital Projects	1,381	19	1,400
State Share Medicaid	1,261	50	1,311
SUNY Operations	969	2	971
Other Purposes	4,544	4	4,548
<b>Total Disbursements</b>	<u>67,658</u>	<u>800</u>	<u>68,458</u>
<b>Reserves:</b>			
Community Projects Fund	0	(33)	(33)
Prior-Year Labor Agreements (2007-2011)	14	0	14
<b>Increase (Decrease) in Reserves</b>	<u>14</u>	<u>(33)</u>	<u>(19)</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>(3,563)</u>	<u>707</u>	<u>(2,856)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2017  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	32,057	1,562	33,619
User Taxes and Fees	10,585	(3,310)	7,275
Business Taxes	6,544	35	6,579
Other Taxes	1,244	(75)	1,169
Miscellaneous Receipts	2,844	(191)	2,653
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	9,184	882	10,066
Tax in Excess of LGAC/Sales Tax Debt Service	2,922	2,959	5,881
Real Estate Taxes in Excess of CW/CA Debt Service	689	50	739
All Other	736	(14)	722
<b>Total Receipts</b>	<u>66,805</u>	<u>1,898</u>	<u>68,703</u>
<b>Disbursements:</b>			
Local Assistance Grants	46,750	526	47,276
Departmental Operations:			
Personal Service	6,116	11	6,127
Non-Personal Service	2,068	18	2,086
General State Charges	5,867	6	5,873
Transfers to Other Funds:			
Debt Service	1,347	(2)	1,345
Capital Projects	1,772	27	1,799
State Share Medicaid	1,228	51	1,279
SUNY Operations	969	2	971
Other Purposes	4,835	18	4,853
<b>Total Disbursements</b>	<u>70,952</u>	<u>657</u>	<u>71,609</u>
<b>Reserves:</b>			
Community Projects Fund	0	0	0
Prior-Year Labor Agreements (2007-2011)	14	0	14
<b>Increase (Decrease) in Reserves</b>	<u>14</u>	<u>0</u>	<u>14</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>(4,161)</u>	<u>1,241</u>	<u>(2,920)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2013  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	<u>1,787</u>	<u>0</u>	<u>1,787</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	26,818	66	26,884
User Taxes and Fees	9,127	(15)	9,112
Business Taxes	6,038	215	6,253
Other Taxes	1,064	(30)	1,034
Miscellaneous Receipts	3,724	(220)	3,504
Federal Receipts	60	2	62
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,312	16	8,328
Tax in Excess of LGAC/Sales Tax Debt Service	2,416	0	2,416
Real Estate Taxes in Excess of CW/CA Debt Service	540	1	541
All Other Transfers	963	(314)	649
<b>Total Receipts</b>	<u>59,062</u>	<u>(279)</u>	<u>58,783</u>
<b>Disbursements:</b>			
Local Assistance Grants	39,776	(16)	39,760
Departmental Operations:			
Personal Service	6,190	(60)	6,130
Non-Personal Service	1,904	(178)	1,726
General State Charges	4,589	(39)	4,550
Transfers to Other Funds:			
Debt Service	1,644	3	1,647
Capital Projects	868	48	916
State Share Medicaid	2,975	(129)	2,846
SUNY Operations	340	0	340
Other Purposes	1,089	(44)	1,045
<b>Total Disbursements</b>	<u>59,375</u>	<u>(415)</u>	<u>58,960</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>(313)</u>	<u>136</u>	<u>(177)</u>
<b>Closing Fund Balance</b>	<u>1,474</u>	<u>136</u>	<u>1,610</u>
<b>Statutory Reserves</b>			
Tax Stabilization Reserve Fund	1,131	0	1,131
Rainy Day Reserve Fund	175	0	175
Contingency Reserve Fund	21	0	21
Community Projects Fund	57	36	93
<b>Reserved For</b>			
Prior-Year Labor Agreements (2007-2011)	77	0	77
Debt Reduction	13	0	13
Undesignated Fund Balance	0	100	100

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2013  
(millions of dollars)**

	<u>FY 2013 Enacted</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	<u>1,787</u>	<u>0</u>	<u>1,787</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	26,916	(32)	26,884
User Taxes and Fees	9,271	(159)	9,112
Business Taxes	6,038	215	6,253
Other Taxes	1,144	(110)	1,034
Miscellaneous Receipts	3,229	275	3,504
Federal Receipts	60	2	62
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,272	56	8,328
Tax in Excess of LGAC/Sales Tax Debt Service	2,456	(40)	2,416
Real Estate Taxes in Excess of CW/CA Debt Service	444	97	541
All Other Transfers	1,070	(421)	649
<b>Total Receipts</b>	<u>58,900</u>	<u>(117)</u>	<u>58,783</u>
<b>Disbursements:</b>			
Local Assistance Grants	39,645	115	39,760
Departmental Operations:			
Personal Service	5,892	238	6,130
Non-Personal Service	1,844	(118)	1,726
General State Charges	4,403	147	4,550
Transfers to Other Funds:			
Debt Service	1,580	67	1,647
Capital Projects	1,055	(139)	916
State Share Medicaid	2,978	(132)	2,846
SUNY Operations	340	0	340
Other Purposes	1,131	(86)	1,045
<b>Total Disbursements</b>	<u>58,868</u>	<u>92</u>	<u>58,960</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>32</u>	<u>(209)</u>	<u>(177)</u>
<b>Closing Fund Balance</b>	<u>1,819</u>	<u>(209)</u>	<u>1,610</u>
<b>Statutory Reserves</b>			
Tax Stabilization Reserve Fund	1,131	0	1,131
Rainy Day Reserve Fund	175	0	175
Contingency Reserve Fund	21	0	21
Community Projects Fund	57	36	93
<b>Reserved For</b>			
Prior-Year Labor Agreements (2007-2011)	422	(345)	77
Debt Reduction	0	13	13
Undesignated Fund Balance	13	87	100

**CASH FINANCIAL PLAN  
GENERAL FUND  
ANNUAL CHANGE  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Results</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>1,376</u>	<u>1,787</u>	<u>411</u>	<u>29.9%</u>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	25,843	26,884	1,041	4.0%
User Taxes and Fees	9,055	9,112	57	0.6%
Business Taxes	5,760	6,253	493	8.6%
Other Taxes	1,096	1,034	(62)	-5.7%
Miscellaneous Receipts	3,162	3,504	342	10.8%
Federal Receipts	60	62	2	3.3%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,097	8,328	231	2.9%
Tax in Excess of LGAC/Sales Tax Debt Service	2,396	2,416	20	0.8%
Real Estate Taxes in Excess of CW/CA Debt Service	387	541	154	39.8%
All Other Transfers	1,044	649	(395)	-37.8%
<b>Total Receipts</b>	<u>56,900</u>	<u>58,783</u>	<u>1,883</u>	<u>3.3%</u>
<b>Disbursements:</b>				
Local Assistance Grants	38,419	39,760	1,341	3.5%
Departmental Operations:				
Personal Service	5,781	6,130	349	6.0%
Non-Personal Service	1,713	1,726	13	0.8%
General State Charges	4,720	4,550	(170)	-3.6%
Transfers to Other Funds:				
Debt Service	1,516	1,647	131	8.6%
Capital Projects	798	916	118	14.8%
State Share Medicaid	2,722	2,846	124	4.6%
SUNY Operations	0	340	340	--
Other Purposes	820	1,045	225	27.4%
<b>Total Disbursements</b>	<u>56,489</u>	<u>58,960</u>	<u>2,471</u>	<u>4.4%</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>411</u>	<u>(177)</u>	<u>(588)</u>	<u>-143.1%</u>
<b>Closing Fund Balance</b>	<u>1,787</u>	<u>1,610</u>	<u>(177)</u>	<u>-9.9%</u>
<b>Statutory Reserves</b>				
Tax Stabilization Reserve Fund	1,131	1,131	0	
Rainy Day Reserve Fund	175	175	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	102	93	(9)	
<b>Reserved For</b>				
Prior-Year Labor Agreements (2007-2011)	283	77	(206)	
Debt Reduction	13	13	0	
Undesignated Fund Balance	62	100	38	

**CASH RECEIPTS  
CURRENT STATE RECEIPTS  
GENERAL FUND  
FY 2014 THROUGH FY 2017  
(millions of dollars)**

	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
<b>Taxes:</b>				
Withholdings	33,166	35,511	37,844	40,098
Estimated Payments	13,838	13,707	14,805	15,752
Final Payments	2,186	2,151	2,251	2,350
Other Payments	1,231	1,268	1,308	1,353
<b>Gross Collections</b>	<b>50,421</b>	<b>52,637</b>	<b>56,208</b>	<b>59,553</b>
State/City Offset	(423)	(248)	(198)	(148)
Refunds	(7,455)	(8,355)	(9,017)	(9,506)
<b>Reported Tax Collections</b>	<b>42,543</b>	<b>44,034</b>	<b>46,993</b>	<b>49,899</b>
STAR (Dedicated Deposits)	(3,419)	(3,602)	(3,704)	(3,805)
RBTF (Dedicated Transfers)	(10,636)	(11,009)	(11,748)	(12,475)
<b>Personal Income Tax</b>	<b>28,488</b>	<b>29,423</b>	<b>31,541</b>	<b>33,619</b>
Sales and Use Tax	11,733	12,266	12,831	13,198
Cigarette and Tobacco Taxes	431	425	418	410
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	251	256	261	266
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
<b>Gross Utility Taxes and Fees</b>	<b>12,415</b>	<b>12,947</b>	<b>13,510</b>	<b>13,874</b>
LGAC/STBF (Dedicated Transfers)	(5,867)	(6,133)	(6,416)	(6,599)
<b>User Taxes and Fees</b>	<b>6,548</b>	<b>6,814</b>	<b>7,094</b>	<b>7,275</b>
Corporation Franchise Tax	2,934	2,237	2,595	2,721
Corporation and Utilities Tax	603	646	662	678
Insurance Taxes	1,418	1,468	1,523	1,540
Bank Tax	1,420	1,503	1,569	1,640
Petroleum Business Tax	0	0	0	0
<b>Business Taxes</b>	<b>6,375</b>	<b>5,854</b>	<b>6,349</b>	<b>6,579</b>
Estate Tax	1,050	1,125	1,140	1,150
Real Estate Transfer Tax	740	810	885	940
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	18	18	18	18
Other Taxes	1	1	1	1
<b>Gross Other Taxes</b>	<b>1,809</b>	<b>1,954</b>	<b>2,044</b>	<b>2,109</b>
Real Estate Transfer Tax (Dedicated)	(740)	(810)	(885)	(940)
<b>Other Taxes</b>	<b>1,069</b>	<b>1,144</b>	<b>1,159</b>	<b>1,169</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>42,480</b>	<b>43,235</b>	<b>46,143</b>	<b>48,642</b>
Licenses, Fees, Etc.	681	747	638	644
Abandoned Property	650	655	655	655
Motor Vehicle Fees	26	26	26	26
ABC License Fee	56	52	58	54
Reimbursements	232	232	231	232
Investment Income	5	30	30	30
Other Transactions	1,446	1,809	1,044	1,012
<b>Miscellaneous Receipts</b>	<b>3,096</b>	<b>3,551</b>	<b>2,682</b>	<b>2,653</b>
<b>Federal Grants</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total</b>	<b>45,578</b>	<b>46,786</b>	<b>48,825</b>	<b>51,295</b>

**CURRENT STATE RECEIPTS  
GENERAL FUND  
FY 2013 and FY 2014  
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Taxes:</b>				
Withholdings	31,958	33,166	1,208	3.8%
Estimated Payments	12,193	13,838	1,645	13.5%
Final Payments	2,148	2,186	38	1.8%
Other Payments	1,144	1,231	87	7.6%
<b>Gross Collections</b>	<u>47,443</u>	<u>50,421</u>	<u>2,978</u>	<u>6.3%</u>
State/City Offset	(309)	(423)	(114)	36.9%
Refunds	<u>(6,907)</u>	<u>(7,455)</u>	<u>(548)</u>	<u>7.9%</u>
<b>Reported Tax Collections</b>	<u>40,227</u>	<u>42,543</u>	<u>2,316</u>	<u>5.8%</u>
STAR (Dedicated Deposits)	(3,286)	(3,419)	(133)	4.0%
RBTF (Dedicated Transfers)	<u>(10,057)</u>	<u>(10,636)</u>	<u>(579)</u>	<u>5.8%</u>
<b>Personal Income Tax</b>	<u>26,884</u>	<u>28,488</u>	<u>1,604</u>	<u>6.0%</u>
Sales and Use Tax	11,232	11,733	501	4.5%
Cigarette and Tobacco Taxes	443	431	(12)	-2.7%
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	246	251	5	2.0%
Highway Use Tax	0	0	0	--
Auto Rental Tax	0	0	0	--
Taxicab Surcharge	<u>0</u>	<u>0</u>	<u>0</u>	<u>--</u>
<b>Gross Utility Taxes and Fees</b>	<u>11,921</u>	<u>12,415</u>	<u>494</u>	<u>4.1%</u>
LGAC/STBF (Dedicated Transfers)	<u>(2,809)</u>	<u>(5,867)</u>	<u>(3,058)</u>	<u>108.9%</u>
<b>User Taxes and Fees</b>	<u>9,112</u>	<u>6,548</u>	<u>(2,564)</u>	<u>-28.1%</u>
Corporation Franchise Tax	2,624	2,934	310	11.8%
Corporation and Utilities Tax	686	603	(83)	-12.1%
Insurance Taxes	1,346	1,418	72	5.3%
Bank Tax	1,597	1,420	(177)	-11.1%
Petroleum Business Tax	0	0	0	--
<b>Business Taxes</b>	<u>6,253</u>	<u>6,375</u>	<u>122</u>	<u>2.0%</u>
Estate Tax	1,014	1,050	36	3.6%
Real Estate Transfer Tax	756	740	(16)	-2.1%
Gift Tax	1	0	(1)	-100.0%
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	18	18	0	0.0%
Other Taxes	<u>1</u>	<u>1</u>	<u>0</u>	<u>0.0%</u>
<b>Gross Other Taxes</b>	<u>1,790</u>	<u>1,809</u>	<u>19</u>	<u>1.1%</u>
Real Estate Transfer Tax (Dedicated)	<u>(756)</u>	<u>(740)</u>	<u>16</u>	<u>-2.1%</u>
<b>Other Taxes</b>	<u>1,034</u>	<u>1,069</u>	<u>35</u>	<u>3.4%</u>
<b>Payroll Tax</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>--</u>
<b>Total Taxes</b>	<u>43,283</u>	<u>42,480</u>	<u>(803)</u>	<u>-1.9%</u>
Licenses, Fees, Etc.	584	681	97	16.6%
Abandoned Property	714	650	(64)	-9.0%
Motor Vehicle Fees	129	26	(103)	-79.8%
ABC License Fee	61	56	(5)	-8.2%
Reimbursements	233	232	(1)	-0.4%
Investment Income	2	5	3	150.0%
Other Transactions	<u>1,781</u>	<u>1,446</u>	<u>(335)</u>	<u>-18.8%</u>
<b>Miscellaneous Receipts</b>	<u>3,504</u>	<u>3,096</u>	<u>(408)</u>	<u>-11.6%</u>
<b>Federal Grants</b>	<u>62</u>	<u>2</u>	<u>(60)</u>	<u>-96.8%</u>
<b>Total</b>	<u>46,849</u>	<u>45,578</u>	<u>(1,271)</u>	<u>-2.7%</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2013  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Opening Fund Balance</b>	1,787	1,632	428	3,847
<b>Receipts:</b>				
Taxes	43,283	8,146	13,503	64,932
Miscellaneous Receipts	3,504	15,566	913	19,983
Federal Receipts	62	18	79	159
<b>Total Receipts</b>	<u>46,849</u>	<u>23,730</u>	<u>14,495</u>	<u>85,074</u>
<b>Disbursements:</b>				
Local Assistance Grants	39,760	18,818	0	58,578
Departmental Operations:				
Personal Service	6,130	6,273	0	12,403
Non-Personal Service	1,726	3,510	44	5,280
General State Charges	4,550	1,887	0	6,437
Debt Service	0	0	6,138	6,138
Capital Projects	0	8	0	8
<b>Total Disbursements</b>	<u>52,166</u>	<u>30,496</u>	<u>6,182</u>	<u>88,844</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	11,934	7,478	6,320	25,732
Transfers to Other Funds	(6,794)	25	(14,680)	(21,449)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>5,140</u>	<u>7,503</u>	<u>(8,360)</u>	<u>4,283</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(177)</u>	<u>737</u>	<u>(47)</u>	<u>513</u>
<b>Closing Fund Balance</b>	<u>1,610</u>	<u>2,369</u>	<u>381</u>	<u>4,360</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2014  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	42,480	8,347	17,124	67,951
Miscellaneous Receipts	3,096	15,656	472	19,224
Federal Receipts	<u>2</u>	<u>1</u>	<u>72</u>	<u>75</u>
<b>Total Receipts</b>	<u><u>45,578</u></u>	<u><u>24,004</u></u>	<u><u>17,668</u></u>	<u><u>87,250</u></u>
<b>Disbursements:</b>				
Local Assistance Grants	40,258	19,306	0	59,564
Departmental Operations:				
Personal Service	5,681	6,676	0	12,357
Non-Personal Service	1,883	3,544	40	5,467
General State Charges	4,953	2,136	0	7,089
Debt Service	0	0	5,743	5,743
Capital Projects	<u>0</u>	<u>5</u>	<u>0</u>	<u>5</u>
<b>Total Disbursements</b>	<u><u>52,775</u></u>	<u><u>31,667</u></u>	<u><u>5,783</u></u>	<u><u>90,225</u></u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	15,678	7,601	4,890	28,169
Transfers to Other Funds	(8,382)	(186)	(16,716)	(25,284)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Net Other Financing Sources (Uses)</b>	<u><u>7,296</u></u>	<u><u>7,415</u></u>	<u><u>(11,826)</u></u>	<u><u>2,885</u></u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>				
	<u><u>99</u></u>	<u><u>(248)</u></u>	<u><u>59</u></u>	<u><u>(90)</u></u>
<b>Designated General Fund Reserves:</b>				
Community Projects Fund	(25)			
Prior-Year Labor Agreements (2007-2011)	(26)			
Debt Reduction	250			
Undesignated Fund Balance	<u>(100)</u>			
<b>Increase (Decrease) in Reserves</b>	<u><u>99</u></u>			
<b>Net General Fund Deficit</b>	<u><u>0</u></u>			

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	43,235	8,697	17,833	69,765
Miscellaneous Receipts	3,551	16,061	644	20,256
Federal Receipts	0	1	72	73
<b>Total Receipts</b>	<u>46,786</u>	<u>24,759</u>	<u>18,549</u>	<u>90,094</u>
<b>Disbursements:</b>				
Local Assistance Grants	42,598	19,620	0	62,218
Departmental Operations:				
Personal Service	5,850	6,787	0	12,637
Non-Personal Service	1,968	3,601	40	5,609
General State Charges	5,328	2,203	0	7,531
Debt Service	0	0	6,123	6,123
Capital Projects	0	5	0	5
<b>Total Disbursements</b>	<u>55,744</u>	<u>32,216</u>	<u>6,163</u>	<u>94,123</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	16,098	7,874	4,605	28,577
Transfers to Other Funds	(9,179)	(321)	(16,997)	(26,497)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,919</u>	<u>7,553</u>	<u>(12,392)</u>	<u>2,080</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>				
	<u>(2,039)</u>	<u>96</u>	<u>(6)</u>	<u>(1,949)</u>
<b>Designated General Fund Reserves:</b>				
Community Projects Fund	(35)			
Prior-Year Labor Agreements (2007-2011)	10			
<b>Increase (Decrease) in Reserves</b>	<u>(25)</u>			
<b>Net General Fund Deficit</b>	<u>(2,014)</u>			

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	46,143	8,941	18,930	74,014
Miscellaneous Receipts	2,682	16,196	486	19,364
Federal Receipts	0	1	72	73
<b>Total Receipts</b>	<u>48,825</u>	<u>25,138</u>	<u>19,488</u>	<u>93,451</u>
<b>Disbursements:</b>				
Local Assistance Grants	45,056	19,815	0	64,871
Departmental Operations:				
Personal Service	6,111	6,960	0	13,071
Non-Personal Service	2,005	3,730	40	5,775
General State Charges	5,604	2,348	0	7,952
Debt Service	0	0	6,482	6,482
Capital Projects	0	5	0	5
<b>Total Disbursements</b>	<u>58,776</u>	<u>32,858</u>	<u>6,522</u>	<u>98,156</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	16,758	8,203	4,534	29,495
Transfers to Other Funds	(9,682)	(278)	(17,507)	(27,467)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>7,076</u>	<u>7,925</u>	<u>(12,973)</u>	<u>2,028</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>				
	<u>(2,875)</u>	<u>205</u>	<u>(7)</u>	<u>(2,677)</u>
<b>Designated General Fund Reserves:</b>				
Community Projects Fund	(33)			
Prior-Year Labor Agreements (2007-2011)	14			
<b>Increase (Decrease) in Reserves</b>	<u>(19)</u>			
<b>Net General Fund Deficit</b>	<u>(2,856)</u>			

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2017  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	48,642	9,185	19,895	77,722
Miscellaneous Receipts	2,653	16,401	449	19,503
Federal Receipts	0	1	72	73
<b>Total Receipts</b>	<u>51,295</u>	<u>25,587</u>	<u>20,416</u>	<u>97,298</u>
<b>Disbursements:</b>				
Local Assistance Grants	47,276	20,012	0	67,288
Departmental Operations:				
Personal Service	6,127	7,077	0	13,204
Non-Personal Service	2,086	3,825	40	5,951
General State Charges	5,873	2,412	0	8,285
Debt Service	0	0	6,783	6,783
Capital Projects	0	5	0	5
<b>Total Disbursements</b>	<u>61,362</u>	<u>33,331</u>	<u>6,823</u>	<u>101,516</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	17,408	8,294	4,403	30,105
Transfers to Other Funds	(10,247)	(282)	(17,975)	(28,504)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>7,161</u>	<u>8,012</u>	<u>(13,572)</u>	<u>1,601</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>				
	<u>(2,906)</u>	<u>268</u>	<u>21</u>	<u>(2,617)</u>
<b>Designated General Fund Reserves:</b>				
Prior-Year Labor Agreements (2007-2011)	14			
<b>Increase (Decrease) in Reserves</b>	<u>14</u>			
<b>Net General Fund Deficit</b>	<u>(2,920)</u>			

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2013 and FY 2014  
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>3,847</u>	<u>4,360</u>	<u>513</u>	
<b>Receipts:</b>				
Taxes	64,932	67,951	3,019	4.6%
Miscellaneous Receipts	19,983	19,224	(759)	-3.8%
Federal Receipts	159	75	(84)	-52.8%
<b>Total Receipts</b>	<u>85,074</u>	<u>87,250</u>	<u>2,176</u>	<u>2.6%</u>
<b>Disbursements:</b>				
Local Assistance Grants	58,578	59,564	986	1.7%
Departmental Operations:				
Personal Service	12,403	12,357	(46)	-0.4%
Non-Personal Service	5,280	5,467	187	3.5%
General State Charges	6,437	7,089	652	10.1%
Debt Service	6,138	5,743	(395)	-6.4%
Capital Projects	8	5	(3)	-37.5%
<b>Total Disbursements</b>	<u>88,844</u>	<u>90,225</u>	<u>1,381</u>	<u>1.6%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	25,732	28,169	2,437	9.5%
Transfers to Other Funds	(21,449)	(25,284)	(3,835)	17.9%
Bond and Note Proceeds	0	0	0	--
<b>Net Other Financing Sources (uses)</b>	<u>4,283</u>	<u>2,885</u>	<u>(1,398)</u>	<u>-32.6%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>513</u>	<u>(90)</u>	<u>(603)</u>	
<b>Closing Fund Balance</b>	<u>4,360</u>	<u>4,270</u>	<u>(90)</u>	

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2013  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	<u>3,847</u>	<u>0</u>	<u>3,847</u>
<b>Receipts:</b>			
Taxes	64,569	363	64,932
Miscellaneous Receipts	20,433	(450)	19,983
Federal Receipts	140	19	159
<b>Total Receipts</b>	<u>85,142</u>	<u>(68)</u>	<u>85,074</u>
<b>Disbursements:</b>			
Local Assistance Grants	58,694	(116)	58,578
Departmental Operations:			
Personal Service	12,568	(165)	12,403
Non-Personal Service	5,642	(362)	5,280
General State Charges	6,580	(143)	6,437
Debt Service	6,132	6	6,138
Capital Projects	5	3	8
<b>Total Disbursements</b>	<u>89,621</u>	<u>(777)</u>	<u>88,844</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	26,300	(568)	25,732
Transfers to Other Funds	(22,051)	602	(21,449)
Bond and Note Proceeds	0	0	0
<b>Net Other Financing Sources (uses)</b>	<u>4,249</u>	<u>34</u>	<u>4,283</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(230)</u>	<u>743</u>	<u>513</u>
<b>Closing Fund Balance</b>	<u>3,617</u>	<u>743</u>	<u>4,360</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2013  
(millions of dollars)**

	<b>FY 2013 Enacted</b>	<b>Change</b>	<b>Results</b>
<b>Opening Fund Balance</b>	<u>3,847</u>	<u>0</u>	<u>3,847</u>
<b>Receipts:</b>			
Taxes	64,969	(37)	64,932
Miscellaneous Receipts	19,932	51	19,983
Federal Receipts	140	19	159
<b>Total Receipts</b>	<u>85,041</u>	<u>33</u>	<u>85,074</u>
<b>Disbursements:</b>			
Local Assistance Grants	58,773	(195)	58,578
Departmental Operations:			
Personal Service	12,165	238	12,403
Non-Personal Service	5,490	(210)	5,280
General State Charges	6,422	15	6,437
Debt Service	6,064	74	6,138
Capital Projects	5	3	8
<b>Total Disbursements</b>	<u>88,919</u>	<u>(75)</u>	<u>88,844</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	26,300	(568)	25,732
Transfers to Other Funds	(22,173)	724	(21,449)
Bond and Note Proceeds	0	0	0
<b>Net Other Financing Sources (uses)</b>	<u>4,127</u>	<u>156</u>	<u>4,283</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>249</u>	<u>264</u>	<u>513</u>
<b>Closing Fund Balance</b>	<u>4,096</u>	<u>264</u>	<u>4,360</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2012  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Opening Fund Balance</b>	<u>1,376</u>	<u>2,139</u>	<u>454</u>	<u>3,969</u>
<b>Receipts:</b>				
Taxes	41,754	8,244	12,962	62,960
Miscellaneous Receipts	3,162	15,399	955	19,516
Federal Receipts	60	0	80	140
<b>Total Receipts</b>	<u>44,976</u>	<u>23,643</u>	<u>13,997</u>	<u>82,616</u>
<b>Disbursements:</b>				
Local Assistance Grants	38,419	18,848	0	57,267
Departmental Operations:				
Personal Service	5,781	6,266	0	12,047
Non-Personal Service	1,713	3,646	45	5,404
General State Charges	4,720	1,873	0	6,593
Debt Service	0	0	5,864	5,864
Capital Projects	0	6	0	6
<b>Total Disbursements</b>	<u>50,633</u>	<u>30,639</u>	<u>5,909</u>	<u>87,181</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	11,924	7,096	6,490	25,510
Transfers to Other Funds	(5,856)	(607)	(14,604)	(21,067)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,068</u>	<u>6,489</u>	<u>(8,114)</u>	<u>4,443</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>411</u>	<u>(507)</u>	<u>(26)</u>	<u>(122)</u>
<b>Closing Fund Balance</b>	<u>1,787</u>	<u>1,632</u>	<u>428</u>	<u>3,847</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2013  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Opening Fund Balance</b>	1,787	1,594	(449)	428	3,360
<b>Receipts:</b>					
Taxes	43,283	8,146	1,370	13,503	66,302
Miscellaneous Receipts	3,504	15,762	3,857	913	24,036
Federal Receipts	62	40,572	2,126	79	42,839
<b>Total Receipts</b>	<u>46,849</u>	<u>64,480</u>	<u>7,353</u>	<u>14,495</u>	<u>133,177</u>
<b>Disbursements:</b>					
Local Assistance Grants	39,760	53,794	1,875	0	95,429
Departmental Operations:					
Personal Service	6,130	6,882	0	0	13,012
Non-Personal Service	1,726	4,400	0	44	6,170
General State Charges	4,550	2,126	0	0	6,676
Debt Service	0	0	0	6,138	6,138
Capital Projects	0	8	5,664	0	5,672
<b>Total Disbursements</b>	<u>52,166</u>	<u>67,210</u>	<u>7,539</u>	<u>6,182</u>	<u>133,097</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	11,934	7,478	1,172	6,320	26,904
Transfers to Other Funds	(6,794)	(3,969)	(1,456)	(14,680)	(26,899)
Bond and Note Proceeds	0	0	434	0	434
<b>Net Other Financing Sources (Uses)</b>	<u>5,140</u>	<u>3,509</u>	<u>150</u>	<u>(8,360)</u>	<u>439</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(177)</u>	<u>779</u>	<u>(36)</u>	<u>(47)</u>	<u>519</u>
<b>Closing Fund Balance</b>	<u>1,610</u>	<u>2,373</u>	<u>(485)</u>	<u>381</u>	<u>3,879</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2014  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	42,480	8,347	1,400	17,124	69,351
Miscellaneous Receipts	3,096	15,842	4,211	472	23,621
Federal Receipts	2	45,138	2,221	72	47,433
<b>Total Receipts</b>	<u>45,578</u>	<u>69,327</u>	<u>7,832</u>	<u>17,668</u>	<u>140,405</u>
<b>Disbursements:</b>					
Local Assistance Grants	40,258	59,582	2,104	0	101,944
Departmental Operations:					
Personal Service	5,681	7,329	0	0	13,010
Non-Personal Service	1,883	4,615	0	40	6,538
General State Charges	4,953	2,454	0	0	7,407
Debt Service	0	0	0	5,743	5,743
Capital Projects	0	5	5,892	0	5,897
<b>Total Disbursements</b>	<u>52,775</u>	<u>73,985</u>	<u>7,996</u>	<u>5,783</u>	<u>140,539</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	15,678	7,602	1,557	4,890	29,727
Transfers to Other Funds	(8,382)	(3,191)	(1,505)	(16,716)	(29,794)
Bond and Note Proceeds	0	0	338	0	338
<b>Net Other Financing Sources (Uses)</b>	<u>7,296</u>	<u>4,411</u>	<u>390</u>	<u>(11,826)</u>	<u>271</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>99</u>	<u>(247)</u>	<u>226</u>	<u>59</u>	<u>137</u>
<b>Designated General Fund Reserves:</b>					
Community Projects Fund	(25)				
Prior-Year Labor Agreements (2007-2011)	(26)				
Debt Reduction	250				
Undesignated Fund Balance	(100)				
<b>Increase (Decrease) in Reserves</b>	<u>99</u>				
<b>Net General Fund Deficit</b>	<u>0</u>				

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	43,235	8,697	1,429	17,833	71,194
Miscellaneous Receipts	3,551	16,247	4,507	644	24,949
Federal Receipts	0	45,162	2,028	72	47,262
<b>Total Receipts</b>	<u>46,786</u>	<u>70,106</u>	<u>7,964</u>	<u>18,549</u>	<u>143,405</u>
<b>Disbursements:</b>					
Local Assistance Grants	42,598	60,969	1,716	0	105,283
Departmental Operations:					
Personal Service	5,850	7,433	0	0	13,283
Non-Personal Service	1,968	4,570	0	40	6,578
General State Charges	5,328	2,521	0	0	7,849
Debt Service	0	0	0	6,123	6,123
Capital Projects	0	5	6,429	0	6,434
<b>Total Disbursements</b>	<u>55,744</u>	<u>75,498</u>	<u>8,145</u>	<u>6,163</u>	<u>145,550</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	16,098	7,875	1,447	4,605	30,025
Transfers to Other Funds	(9,179)	(2,387)	(1,509)	(16,997)	(30,072)
Bond and Note Proceeds	0	0	306	0	306
<b>Net Other Financing Sources (Uses)</b>	<u>6,919</u>	<u>5,488</u>	<u>244</u>	<u>(12,392)</u>	<u>259</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(2,039)</u>	<u>96</u>	<u>63</u>	<u>(6)</u>	<u>(1,886)</u>
<b>Designated General Fund Reserves:</b>					
Community Projects Fund	(35)				
Prior-Year Labor Agreements (2007-2011)	10				
<b>Increase (Decrease) in Reserves</b>	<u>(25)</u>				
<b>Net General Fund Deficit</b>	<u>(2,014)</u>				

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	46,143	8,941	1,449	18,930	75,463
Miscellaneous Receipts	2,682	16,382	4,890	486	24,440
Federal Receipts	0	46,510	1,660	72	48,242
<b>Total Receipts</b>	<u>48,825</u>	<u>71,833</u>	<u>7,999</u>	<u>19,488</u>	<u>148,145</u>
<b>Disbursements:</b>					
Local Assistance Grants	45,056	62,517	1,405	0	108,978
Departmental Operations:					
Personal Service	6,111	7,638	0	0	13,749
Non-Personal Service	2,005	4,675	0	40	6,720
General State Charges	5,604	2,681	0	0	8,285
Debt Service	0	0	0	6,482	6,482
Capital Projects	0	5	6,626	0	6,631
<b>Total Disbursements</b>	<u>58,776</u>	<u>77,516</u>	<u>8,031</u>	<u>6,522</u>	<u>150,845</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	16,758	8,204	1,463	4,534	30,959
Transfers to Other Funds	(9,682)	(2,316)	(1,513)	(17,507)	(31,018)
Bond and Note Proceeds	0	0	120	0	120
<b>Net Other Financing Sources (Uses)</b>	<u>7,076</u>	<u>5,888</u>	<u>70</u>	<u>(12,973)</u>	<u>61</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(2,875)</u>	<u>205</u>	<u>38</u>	<u>(7)</u>	<u>(2,639)</u>
<b>Designated General Fund Reserves:</b>					
Community Projects Fund	(33)				
Prior-Year Labor Agreements (2007-2011)	14				
<b>Increase (Decrease) in Reserves</b>	<u>(19)</u>				
<b>Net General Fund Deficit</b>	<u>(2,856)</u>				

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2017  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	48,642	9,185	1,455	19,895	79,177
Miscellaneous Receipts	2,653	16,587	4,199	449	23,888
Federal Receipts	0	49,276	1,617	72	50,965
<b>Total Receipts</b>	<u>51,295</u>	<u>75,048</u>	<u>7,271</u>	<u>20,416</u>	<u>154,030</u>
<b>Disbursements:</b>					
Local Assistance Grants	47,276	65,654	1,137	0	114,067
Departmental Operations:					
Personal Service	6,127	7,764	0	0	13,891
Non-Personal Service	2,086	4,685	0	40	6,811
General State Charges	5,873	2,750	0	0	8,623
Debt Service	0	0	0	6,783	6,783
Capital Projects	0	5	6,593	0	6,598
<b>Total Disbursements</b>	<u>61,362</u>	<u>80,858</u>	<u>7,730</u>	<u>6,823</u>	<u>156,773</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	17,408	8,295	1,862	4,403	31,968
Transfers to Other Funds	(10,247)	(2,216)	(1,595)	(17,975)	(32,033)
Bond and Note Proceeds	0	0	65	0	65
<b>Net Other Financing Sources (Uses)</b>	<u>7,161</u>	<u>6,079</u>	<u>332</u>	<u>(13,572)</u>	<u>0</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(2,906)</u>	<u>269</u>	<u>(127)</u>	<u>21</u>	<u>(2,743)</u>
<b>Designated General Fund Reserves:</b>					
Prior-Year Labor Agreements (2007-2011)	14				
<b>Increase (Decrease) in Reserves</b>	<u>14</u>				
<b>Net General Fund Deficit</b>	<u>(2,920)</u>				

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2013 and FY 2014  
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>3,360</u>	<u>3,879</u>	<u>519</u>	
<b>Receipts:</b>				
Taxes	66,302	69,351	3,049	4.6%
Miscellaneous Receipts	24,036	23,621	(415)	-1.7%
Federal Receipts	42,839	47,433	4,594	10.7%
<b>Total Receipts</b>	<u>133,177</u>	<u>140,405</u>	<u>7,228</u>	<u>5.4%</u>
<b>Disbursements:</b>				
Local Assistance Grants	95,429	101,944	6,515	6.8%
Departmental Operations:				
Personal Service	13,012	13,010	(2)	0.0%
Non-Personal Service	6,170	6,538	368	6.0%
General State Charges	6,676	7,407	731	10.9%
Debt Service	6,138	5,743	(395)	-6.4%
Capital Projects	5,672	5,897	225	4.0%
<b>Total Disbursements</b>	<u>133,097</u>	<u>140,539</u>	<u>7,442</u>	<u>5.6%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	26,904	29,727	2,823	10.5%
Transfers to Other Funds	(26,899)	(29,794)	(2,895)	10.8%
Bond and Note Proceeds	434	338	(96)	-22.1%
<b>Net Other Financing Sources (Uses)</b>	<u>439</u>	<u>271</u>	<u>(168)</u>	<u>-38.3%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>519</u>	<u>137</u>	<u>(382)</u>	
<b>Carry-Forward Potential FY 2013 Shortfall</b>				
<b>Closing Fund Balance</b>	<u>3,879</u>	<u>4,016</u>	<u>137</u>	

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2013  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	<u>3,360</u>	<u>0</u>	<u>3,360</u>
<b>Receipts:</b>			
Taxes	65,922	380	66,302
Miscellaneous Receipts	24,985	(949)	24,036
Federal Receipts	44,131	(1,292)	42,839
<b>Total Receipts</b>	<u>135,038</u>	<u>(1,861)</u>	<u>133,177</u>
<b>Disbursements:</b>			
Local Assistance Grants	96,904	(1,475)	95,429
Departmental Operations:			
Personal Service	13,220	(208)	13,012
Non-Personal Service	6,605	(435)	6,170
General State Charges	6,866	(190)	6,676
Debt Service	6,132	6	6,138
Capital Projects	5,915	(243)	5,672
<b>Total Disbursements</b>	<u>135,642</u>	<u>(2,545)</u>	<u>133,097</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	27,486	(582)	26,904
Transfers to Other Funds	(27,504)	605	(26,899)
Bond and Note Proceeds	400	34	434
<b>Net Other Financing Sources (Uses)</b>	<u>382</u>	<u>57</u>	<u>439</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(222)</u>	<u>741</u>	<u>519</u>
<b>Closing Fund Balance</b>	<u>3,138</u>	<u>741</u>	<u>3,879</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2013  
(millions of dollars)**

	<u>FY 2013 Enacted</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	<u>3,360</u>	<u>0</u>	<u>3,360</u>
<b>Receipts:</b>			
Taxes	66,370	(68)	66,302
Miscellaneous Receipts	24,269	(233)	24,036
Federal Receipts	<u>42,633</u>	<u>206</u>	<u>42,839</u>
<b>Total Receipts</b>	<u>133,272</u>	<u>(95)</u>	<u>133,177</u>
<b>Disbursements:</b>			
Local Assistance Grants	95,530	(101)	95,429
Departmental Operations:			
Personal Service	12,810	202	13,012
Non-Personal Service	6,419	(249)	6,170
General State Charges	6,698	(22)	6,676
Debt Service	6,064	74	6,138
Capital Projects	<u>5,872</u>	<u>(200)</u>	<u>5,672</u>
<b>Total Disbursements</b>	<u>133,393</u>	<u>(296)</u>	<u>133,097</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	27,629	(725)	26,904
Transfers to Other Funds	(27,636)	737	(26,899)
Bond and Note Proceeds	<u>400</u>	<u>34</u>	<u>434</u>
<b>Net Other Financing Sources (Uses)</b>	<u>393</u>	<u>46</u>	<u>439</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>272</u>	<u>247</u>	<u>519</u>
<b>Closing Fund Balance</b>	<u>3,632</u>	<u>247</u>	<u>3,879</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2012  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Opening Fund Balance</b>	1,376	2,149	(167)	454	3,812
<b>Receipts:</b>					
Taxes	41,754	8,244	1,337	12,962	64,297
Miscellaneous Receipts	3,162	15,565	4,155	955	23,837
Federal Receipts	60	42,356	2,115	80	44,611
<b>Total Receipts</b>	<u>44,976</u>	<u>66,165</u>	<u>7,607</u>	<u>13,997</u>	<u>132,745</u>
<b>Disbursements:</b>					
Local Assistance Grants	38,419	55,496	2,566	0	96,481
Departmental Operations:					
Personal Service	5,781	6,899	0	0	12,680
Non-Personal Service	1,713	4,590	0	45	6,348
General State Charges	4,720	2,135	0	0	6,855
Debt Service	0	0	0	5,864	5,864
Capital Projects	0	6	5,270	0	5,276
<b>Total Disbursements</b>	<u>50,633</u>	<u>69,126</u>	<u>7,836</u>	<u>5,909</u>	<u>133,504</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	11,924	7,096	1,031	6,490	26,541
Transfers to Other Funds	(5,856)	(4,690)	(1,436)	(14,604)	(26,586)
Bond and Note Proceeds	0	0	352	0	352
<b>Net Other Financing Sources (Uses)</b>	<u>6,068</u>	<u>2,406</u>	<u>(53)</u>	<u>(8,114)</u>	<u>307</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>411</u>	<u>(555)</u>	<u>(282)</u>	<u>(26)</u>	<u>(452)</u>
<b>Closing Fund Balance</b>	<u>1,787</u>	<u>1,594</u>	<u>(449)</u>	<u>428</u>	<u>3,360</u>

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2013  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	31,958	0	0	0	31,958
Estimated Payments	12,193	0	0	0	12,193
Final Payments	2,148	0	0	0	2,148
Other Payments	1,144	0	0	0	1,144
<b>Gross Collections</b>	<u>47,443</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>47,443</u>
State/City Offset	(309)	0	0	0	(309)
Refunds	(6,907)	0	0	0	(6,907)
<b>Reported Tax Collections</b>	<u>40,227</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>40,227</u>
STAR (Dedicated Deposits)	(3,286)	3,286	0	0	0
RBTF (Dedicated Transfers)	(10,057)	0	0	10,057	0
<b>Personal Income Tax</b>	<u>26,884</u>	<u>3,286</u>	<u>0</u>	<u>10,057</u>	<u>40,227</u>
Sales and Use Tax	11,232	757	0	0	11,989
Cigarette and Tobacco Taxes	443	1,108	0	0	1,551
Motor Fuel Tax	0	103	389	0	492
Alcoholic Beverage Tax	246	0	0	0	246
Highway Use Tax	0	0	145	0	145
Auto Rental Tax	0	41	68	0	109
Taxicab Surcharge	0	83	0	0	83
<b>Gross Utility Taxes and Fees</b>	<u>11,921</u>	<u>2,092</u>	<u>602</u>	<u>0</u>	<u>14,615</u>
LGAC/STBF (Dedicated Transfers)	(2,809)	0	0	2,809	0
<b>User Taxes and Fees</b>	<u>9,112</u>	<u>2,092</u>	<u>602</u>	<u>2,809</u>	<u>14,615</u>
Corporation Franchise Tax	2,624	385	0	0	3,009
Corporation and Utilities Tax	686	194	15	0	895
Insurance Taxes	1,346	163	0	0	1,509
Bank Tax	1,597	315	0	0	1,912
Petroleum Business Tax	0	506	634	0	1,140
<b>Business Taxes</b>	<u>6,253</u>	<u>1,563</u>	<u>649</u>	<u>0</u>	<u>8,465</u>
Estate Tax	1,014	0	0	0	1,014
Real Estate Transfer Tax	756	0	0	0	756
Gift Tax	1	0	0	0	1
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>1,790</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,790</u>
Real Estate Transfer Tax (Dedicated)	(756)	0	119	637	0
<b>Other Taxes</b>	<u>1,034</u>	<u>0</u>	<u>119</u>	<u>637</u>	<u>1,790</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,205</u>	<u>0</u>	<u>0</u>	<u>1,205</u>
<b>Total Taxes</b>	<u>43,283</u>	<u>8,146</u>	<u>1,370</u>	<u>13,503</u>	<u>66,302</u>
Licenses, Fees, Etc.	584	0	0	0	584
Abandoned Property	714	0	0	0	714
Motor Vehicle Fees	129	453	796	0	1,378
ABC License Fee	61	0	0	0	61
Reimbursements	233	0	0	0	233
Investment Income	2	0	0	0	2
Other Transactions	1,781	15,309	3,061	913	21,064
<b>Miscellaneous Receipts</b>	<u>3,504</u>	<u>15,762</u>	<u>3,857</u>	<u>913</u>	<u>24,036</u>
<b>Federal Grants</b>	<u>62</u>	<u>40,572</u>	<u>2,126</u>	<u>79</u>	<u>42,839</u>
<b>Total</b>	<u>46,849</u>	<u>64,480</u>	<u>7,353</u>	<u>14,495</u>	<u>133,177</u>

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2014  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	33,166	0	0	0	33,166
Estimated Payments	13,838	0	0	0	13,838
Final Payments	2,186	0	0	0	2,186
Other Payments	1,231	0	0	0	1,231
<b>Gross Collections</b>	<u>50,421</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>50,421</u>
State/City Offset	(423)	0	0	0	(423)
Refunds	(7,455)	0	0	0	(7,455)
<b>Reported Tax Collections</b>	<u>42,543</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>42,543</u>
STAR (Dedicated Deposits)	(3,419)	3,419	0	0	0
RBTF (Dedicated Transfers)	(10,636)	0	0	10,636	0
<b>Personal Income Tax</b>	<u>28,488</u>	<u>3,419</u>	<u>0</u>	<u>10,636</u>	<u>42,543</u>
Sales and Use Tax	11,733	797	0	0	12,530
Cigarette and Tobacco Taxes	431	1,060	0	0	1,491
Motor Fuel Tax	0	105	395	0	500
Alcoholic Beverage Taxes	251	0	0	0	251
Highway Use Tax	0	0	140	0	140
Auto Rental Tax	0	43	71	0	114
Taxicab Surcharge	0	90	0	0	90
<b>Gross Utility Taxes and Fees</b>	<u>12,415</u>	<u>2,095</u>	<u>606</u>	<u>0</u>	<u>15,116</u>
LGAC/STBF (Dedicated Transfers)	(5,867)	0	0	5,867	0
<b>User Taxes and Fees</b>	<u>6,548</u>	<u>2,095</u>	<u>606</u>	<u>5,867</u>	<u>15,116</u>
Corporation Franchise Tax	2,934	445	0	0	3,379
Corporation and Utilities Tax	603	170	15	0	788
Insurance Taxes	1,418	169	0	0	1,587
Bank Tax	1,420	274	0	0	1,694
Petroleum Business Tax	0	530	660	0	1,190
<b>Business Taxes</b>	<u>6,375</u>	<u>1,588</u>	<u>675</u>	<u>0</u>	<u>8,638</u>
Estate Tax	1,050	0	0	0	1,050
Real Estate Transfer Tax	740	0	0	0	740
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>1,809</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,809</u>
Real Estate Transfer Tax (Dedicated)	(740)	0	119	621	0
<b>Other Taxes</b>	<u>1,069</u>	<u>0</u>	<u>119</u>	<u>621</u>	<u>1,809</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,245</u>	<u>0</u>	<u>0</u>	<u>1,245</u>
<b>Total Taxes</b>	<u>42,480</u>	<u>8,347</u>	<u>1,400</u>	<u>17,124</u>	<u>69,351</u>
Licenses, Fees, Etc.	681	0	0	0	681
Abandoned Property	650	0	0	0	650
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	56	0	0	0	56
Reimbursements	232	0	0	0	232
Investment Income	5	0	0	0	5
Other Transactions	1,446	15,361	3,400	472	20,679
<b>Miscellaneous Receipts</b>	<u>3,096</u>	<u>15,842</u>	<u>4,211</u>	<u>472</u>	<u>23,621</u>
<b>Federal Grants</b>	<u>2</u>	<u>45,138</u>	<u>2,221</u>	<u>72</u>	<u>47,433</u>
<b>Total</b>	<u>45,578</u>	<u>69,327</u>	<u>7,832</u>	<u>17,668</u>	<u>140,405</u>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2015**  
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	35,511	0	0	0	35,511
Estimated Payments	13,707	0	0	0	13,707
Final Payments	2,151	0	0	0	2,151
Other Payments	1,268	0	0	0	1,268
<b>Gross Collections</b>	<u>52,637</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>52,637</u>
State/City Offset	(248)	0	0	0	(248)
Refunds	(8,355)	0	0	0	(8,355)
<b>Reported Tax Collections</b>	<u>44,034</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>44,034</u>
STAR (Dedicated Deposits)	(3,602)	3,602	0	0	0
RBTF (Dedicated Transfers)	(11,009)	0	0	11,009	0
<b>Personal Income Tax</b>	<u>29,423</u>	<u>3,602</u>	<u>0</u>	<u>11,009</u>	<u>44,034</u>
Sales and Use Tax	12,266	835	0	0	13,101
Cigarette and Tobacco Taxes	425	1,041	0	0	1,466
Motor Fuel Tax	0	106	398	0	504
Alcoholic Beverage Taxes	256	0	0	0	256
Highway Use Tax	0	0	143	0	143
Auto Rental Tax	0	45	74	0	119
Taxicab Surcharge	0	100	0	0	100
<b>Gross Utility Taxes and Fees</b>	<u>12,947</u>	<u>2,127</u>	<u>615</u>	<u>0</u>	<u>15,689</u>
LGAC/STBF (Dedicated Transfers)	(6,133)	0	0	6,133	0
<b>User Taxes and Fees</b>	<u>6,814</u>	<u>2,127</u>	<u>615</u>	<u>6,133</u>	<u>15,689</u>
Corporation Franchise Tax	2,237	467	0	0	2,704
Corporation and Utilities Tax	646	172	15	0	833
Insurance Taxes	1,468	176	0	0	1,644
Bank Tax	1,503	286	0	0	1,789
Petroleum Business Tax	0	545	680	0	1,225
<b>Business Taxes</b>	<u>5,854</u>	<u>1,646</u>	<u>695</u>	<u>0</u>	<u>8,195</u>
Estate Tax	1,125	0	0	0	1,125
Real Estate Transfer Tax	810	0	0	0	810
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>1,954</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,954</u>
Real Estate Transfer Tax (Dedicated)	(810)	0	119	691	0
<b>Other Taxes</b>	<u>1,144</u>	<u>0</u>	<u>119</u>	<u>691</u>	<u>1,954</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,322</u>	<u>0</u>	<u>0</u>	<u>1,322</u>
<b>Total Taxes</b>	<u>43,235</u>	<u>8,697</u>	<u>1,429</u>	<u>17,833</u>	<u>71,194</u>
Licenses, Fees, Etc.	747	0	0	0	747
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	52	0	0	0	52
Reimbursements	232	0	0	0	232
Investment Income	30	0	0	0	30
Other Transactions	1,809	15,766	3,696	644	21,915
<b>Miscellaneous Receipts</b>	<u>3,551</u>	<u>16,247</u>	<u>4,507</u>	<u>644</u>	<u>24,949</u>
<b>Federal Grants</b>	<u>0</u>	<u>45,162</u>	<u>2,028</u>	<u>72</u>	<u>47,262</u>
<b>Total</b>	<u>46,786</u>	<u>70,106</u>	<u>7,964</u>	<u>18,549</u>	<u>143,405</u>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2016**  
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	37,844	0	0	0	37,844
Estimated Payments	14,805	0	0	0	14,805
Final Payments	2,251	0	0	0	2,251
Other Payments	1,308	0	0	0	1,308
<b>Gross Collections</b>	<u>56,208</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>56,208</u>
State/City Offset	(198)	0	0	0	(198)
Refunds	(9,017)	0	0	0	(9,017)
<b>Reported Tax Collections</b>	<u>46,993</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>46,993</u>
STAR (Dedicated Deposits)	(3,704)	3,704	0	0	0
RBTF (Dedicated Transfers)	(11,748)	0	0	11,748	0
<b>Personal Income Tax</b>	<u>31,541</u>	<u>3,704</u>	<u>0</u>	<u>11,748</u>	<u>46,993</u>
Sales and Use Tax	12,831	864	0	0	13,695
Cigarette and Tobacco Taxes	418	1,018	0	0	1,436
Motor Fuel Tax	0	106	401	0	507
Alcoholic Beverage Taxes	261	0	0	0	261
Highway Use Tax	0	0	151	0	151
Auto Rental Tax	0	47	77	0	124
Taxicab Surcharge	0	101	0	0	101
<b>Gross Utility Taxes and Fees</b>	<u>13,510</u>	<u>2,136</u>	<u>629</u>	<u>0</u>	<u>16,275</u>
LGAC/STBF (Dedicated Transfers)	(6,416)	0	0	6,416	0
<b>User Taxes and Fees</b>	<u>7,094</u>	<u>2,136</u>	<u>629</u>	<u>6,416</u>	<u>16,275</u>
Corporation Franchise Tax	2,595	490	0	0	3,085
Corporation and Utilities Tax	662	177	15	0	854
Insurance Taxes	1,523	183	0	0	1,706
Bank Tax	1,569	301	0	0	1,870
Petroleum Business Tax	0	549	686	0	1,235
<b>Business Taxes</b>	<u>6,349</u>	<u>1,700</u>	<u>701</u>	<u>0</u>	<u>8,750</u>
Estate Tax	1,140	0	0	0	1,140
Real Estate Transfer Tax	885	0	0	0	885
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>2,044</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,044</u>
Real Estate Transfer Tax (Dedicated)	(885)	0	119	766	0
<b>Other Taxes</b>	<u>1,159</u>	<u>0</u>	<u>119</u>	<u>766</u>	<u>2,044</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,401</u>	<u>0</u>	<u>0</u>	<u>1,401</u>
<b>Total Taxes</b>	<u>46,143</u>	<u>8,941</u>	<u>1,449</u>	<u>18,930</u>	<u>75,463</u>
Licenses, Fees, Etc.	638	0	0	0	638
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	58	0	0	0	58
Reimbursements	231	0	0	0	231
Investment Income	30	0	0	0	30
Other Transactions	1,044	15,901	4,079	486	21,510
<b>Miscellaneous Receipts</b>	<u>2,682</u>	<u>16,382</u>	<u>4,890</u>	<u>486</u>	<u>24,440</u>
<b>Federal Grants</b>	<u>0</u>	<u>46,510</u>	<u>1,660</u>	<u>72</u>	<u>48,242</u>
<b>Total</b>	<u>48,825</u>	<u>71,833</u>	<u>7,999</u>	<u>19,488</u>	<u>148,145</u>

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2017  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	40,098	0	0	0	40,098
Estimated Payments	15,752	0	0	0	15,752
Final Payments	2,350	0	0	0	2,350
Other Payments	1,353	0	0	0	1,353
<b>Gross Collections</b>	<u>59,553</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>59,553</u>
State/City Offset	(148)	0	0	0	(148)
Refunds	(9,506)	0	0	0	(9,506)
<b>Reported Tax Collections</b>	<u>49,899</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>49,899</u>
STAR (Dedicated Deposits)	(3,805)	3,805	0	0	0
RBTF (Dedicated Transfers)	(12,475)	0	0	12,475	0
<b>Personal Income Tax</b>	<u>33,619</u>	<u>3,805</u>	<u>0</u>	<u>12,475</u>	<u>49,899</u>
Sales and Use Tax	13,198	887	0	0	14,085
Cigarette and Tobacco Taxes	410	995	0	0	1,405
Motor Fuel Tax	0	106	404	0	510
Alcoholic Beverage Taxes	266	0	0	0	266
Highway Use Tax	0	0	149	0	149
Auto Rental Tax	0	47	77	0	124
Taxicab Surcharge	0	101	0	0	101
<b>Gross Utility Taxes and Fees</b>	<u>13,874</u>	<u>2,136</u>	<u>630</u>	<u>0</u>	<u>16,640</u>
LGAC/STBF (Dedicated Transfers)	(6,599)	0	0	6,599	0
<b>User Taxes and Fees</b>	<u>7,275</u>	<u>2,136</u>	<u>630</u>	<u>6,599</u>	<u>16,640</u>
Corporation Franchise Tax	2,721	513	0	0	3,234
Corporation and Utilities Tax	678	188	15	0	881
Insurance Taxes	1,540	190	0	0	1,730
Bank Tax	1,640	316	0	0	1,956
Petroleum Business Tax	0	554	691	0	1,245
<b>Business Taxes</b>	<u>6,579</u>	<u>1,761</u>	<u>706</u>	<u>0</u>	<u>9,046</u>
Estate Tax	1,150	0	0	0	1,150
Real Estate Transfer Tax	940	0	0	0	940
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>2,109</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,109</u>
Real Estate Transfer Tax (Dedicated)	(940)	0	119	821	0
<b>Other Taxes</b>	<u>1,169</u>	<u>0</u>	<u>119</u>	<u>821</u>	<u>2,109</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,483</u>	<u>0</u>	<u>0</u>	<u>1,483</u>
<b>Total Taxes</b>	<u>48,642</u>	<u>9,185</u>	<u>1,455</u>	<u>19,895</u>	<u>79,177</u>
Licenses, Fees, Etc.	644	0	0	0	644
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	54	0	0	0	54
Reimbursements	232	0	0	0	232
Investment Income	30	0	0	0	30
Other Transactions	1,012	16,106	3,388	449	20,955
<b>Miscellaneous Receipts</b>	<u>2,653</u>	<u>16,587</u>	<u>4,199</u>	<u>449</u>	<u>23,888</u>
<b>Federal Grants</b>	<u>0</u>	<u>49,276</u>	<u>1,617</u>	<u>72</u>	<u>50,965</u>
<b>Total</b>	<u>51,295</u>	<u>75,048</u>	<u>7,271</u>	<u>20,416</u>	<u>154,030</u>

**CURRENT STATE RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2013 and FY 2014  
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Taxes:</b>				
Withholdings	31,958	33,166	1,208	3.8%
Estimated Payments	12,193	13,838	1,645	13.5%
Final Payments	2,148	2,186	38	1.8%
Other Payments	1,144	1,231	87	7.6%
<b>Gross Collections</b>	<u>47,443</u>	<u>50,421</u>	<u>2,978</u>	<u>6.3%</u>
State/City Offset	(309)	(423)	(114)	36.9%
Refunds	<u>(6,907)</u>	<u>(7,455)</u>	<u>(548)</u>	<u>7.9%</u>
<b>Reported Tax Collections</b>	<u>40,227</u>	<u>42,543</u>	<u>2,316</u>	<u>5.8%</u>
STAR (Dedicated Deposits)	0	0	0	--
RBTF (Dedicated Transfers)	0	0	0	--
<b>Personal Income Tax</b>	<u>40,227</u>	<u>42,543</u>	<u>2,316</u>	<u>5.8%</u>
Sales and Use Tax	11,989	12,530	541	4.5%
Cigarette and Tobacco Taxes	1,551	1,491	(60)	-3.9%
Motor Fuel Tax	492	500	8	1.6%
Alcoholic Beverage Taxes	246	251	5	2.0%
Highway Use Tax	145	140	(5)	-3.4%
Auto Rental Tax	109	114	5	4.6%
Taxicab Surcharge	83	90	7	8.4%
<b>Gross Utility Taxes and Fees</b>	<u>14,615</u>	<u>15,116</u>	<u>501</u>	<u>3.4%</u>
LGAC/STBF (Dedicated Transfers)	0	0	0	--
<b>User Taxes and Fees</b>	<u>14,615</u>	<u>15,116</u>	<u>501</u>	<u>3.4%</u>
Corporation Franchise Tax	3,009	3,379	370	12.3%
Corporation and Utilities Tax	895	788	(107)	-12.0%
Insurance Taxes	1,509	1,587	78	5.2%
Bank Tax	1,912	1,694	(218)	-11.4%
Petroleum Business Tax	1,140	1,190	50	4.4%
<b>Business Taxes</b>	<u>8,465</u>	<u>8,638</u>	<u>173</u>	<u>2.0%</u>
Estate Tax	1,014	1,050	36	3.6%
Real Estate Transfer Tax	756	740	(16)	-2.1%
Gift Tax	1	0	(1)	-100.0%
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	18	18	0	0.0%
Other Taxes	1	1	0	0.0%
<b>Gross Other Taxes</b>	<u>1,790</u>	<u>1,809</u>	<u>19</u>	<u>1.1%</u>
Real Estate Transfer Tax (Dedicated)	0	0	0	--
<b>Other Taxes</b>	<u>1,790</u>	<u>1,809</u>	<u>19</u>	<u>1.1%</u>
<b>Payroll Tax</b>	<u>1,205</u>	<u>1,245</u>	<u>40</u>	<u>3.3%</u>
<b>Total Taxes</b>	<u>66,302</u>	<u>69,351</u>	<u>3,049</u>	<u>4.6%</u>
Licenses, Fees, Etc.	584	681	97	16.6%
Abandoned Property	714	650	(64)	-9.0%
Motor Vehicle Fees	1,378	1,318	(60)	-4.4%
ABC License Fee	61	56	(5)	-8.2%
Reimbursements	233	232	(1)	-0.4%
Investment Income	2	5	3	150.0%
Other Transactions	21,064	20,679	(385)	-1.8%
<b>Miscellaneous Receipts</b>	<u>24,036</u>	<u>23,621</u>	<u>(415)</u>	<u>-1.7%</u>
<b>Federal Grants</b>	<u>42,839</u>	<u>47,433</u>	<u>4,594</u>	<u>10.7%</u>
<b>Total</b>	<u>133,177</u>	<u>140,405</u>	<u>7,228</u>	<u>5.4%</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2013  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>1,632</u>	<u>(38)</u>	<u>1,594</u>
<b>Receipts:</b>			
Taxes	8,146	0	8,146
Miscellaneous Receipts	15,566	196	15,762
Federal Receipts	18	40,554	40,572
<b>Total Receipts</b>	<u>23,730</u>	<u>40,750</u>	<u>64,480</u>
<b>Disbursements:</b>			
Local Assistance Grants	18,818	34,976	53,794
Departmental Operations:			
Personal Service	6,273	609	6,882
Non-Personal Service	3,510	890	4,400
General State Charges	1,887	239	2,126
Capital Projects	8	0	8
<b>Total Disbursements</b>	<u>30,496</u>	<u>36,714</u>	<u>67,210</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	7,478	0	7,478
Transfers to Other Funds	25	(3,994)	(3,969)
<b>Net Other Financing Sources (Uses)</b>	<u>7,503</u>	<u>(3,994)</u>	<u>3,509</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>737</u>	<u>42</u>	<u>779</u>
<b>Closing Fund Balance</b>	<u>2,369</u>	<u>4</u>	<u>2,373</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2014  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>2,369</u>	<u>4</u>	<u>2,373</u>
<b>Receipts:</b>			
Taxes	8,347	0	8,347
Miscellaneous Receipts	15,656	186	15,842
Federal Receipts	1	45,137	45,138
<b>Total Receipts</b>	<u>24,004</u>	<u>45,323</u>	<u>69,327</u>
<b>Disbursements:</b>			
Local Assistance Grants	19,306	40,276	59,582
Departmental Operations:			
Personal Service	6,676	653	7,329
Non-Personal Service	3,544	1,071	4,615
General State Charges	2,136	318	2,454
Capital Projects	5	0	5
<b>Total Disbursements</b>	<u>31,667</u>	<u>42,318</u>	<u>73,985</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	7,601	1	7,602
Transfers to Other Funds	(186)	(3,005)	(3,191)
<b>Net Other Financing Sources (Uses)</b>	<u>7,415</u>	<u>(3,004)</u>	<u>4,411</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(248)</u>	<u>1</u>	<u>(247)</u>
<b>Closing Fund Balance</b>	<u>2,121</u>	<u>5</u>	<u>2,126</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2015  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>2,121</u>	<u>5</u>	<u>2,126</u>
<b>Receipts:</b>			
Taxes	8,697	0	8,697
Miscellaneous Receipts	16,061	186	16,247
Federal Receipts	1	45,161	45,162
<b>Total Receipts</b>	<u>24,759</u>	<u>45,347</u>	<u>70,106</u>
<b>Disbursements:</b>			
Local Assistance Grants	19,620	41,349	60,969
Departmental Operations:			
Personal Service	6,787	646	7,433
Non-Personal Service	3,601	969	4,570
General State Charges	2,203	318	2,521
Capital Projects	5	0	5
<b>Total Disbursements</b>	<u>32,216</u>	<u>43,282</u>	<u>75,498</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	7,874	1	7,875
Transfers to Other Funds	(321)	(2,066)	(2,387)
<b>Net Other Financing Sources (Uses)</b>	<u>7,553</u>	<u>(2,065)</u>	<u>5,488</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>96</u>	<u>0</u>	<u>96</u>
<b>Closing Fund Balance</b>	<u>2,217</u>	<u>5</u>	<u>2,222</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2016  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>2,217</u>	<u>5</u>	<u>2,222</u>
<b>Receipts:</b>			
Taxes	8,941	0	8,941
Miscellaneous Receipts	16,196	186	16,382
Federal Receipts	1	46,509	46,510
<b>Total Receipts</b>	<u>25,138</u>	<u>46,695</u>	<u>71,833</u>
<b>Disbursements:</b>			
Local Assistance Grants	19,815	42,702	62,517
Departmental Operations:			
Personal Service	6,960	678	7,638
Non-Personal Service	3,730	945	4,675
General State Charges	2,348	333	2,681
Capital Projects	5	0	5
<b>Total Disbursements</b>	<u>32,858</u>	<u>44,658</u>	<u>77,516</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,203	1	8,204
Transfers to Other Funds	(278)	(2,038)	(2,316)
<b>Net Other Financing Sources (Uses)</b>	<u>7,925</u>	<u>(2,037)</u>	<u>5,888</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>205</u>	<u>0</u>	<u>205</u>
<b>Closing Fund Balance</b>	<u>2,422</u>	<u>5</u>	<u>2,427</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2017  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>2,422</u>	<u>5</u>	<u>2,427</u>
<b>Receipts:</b>			
Taxes	9,185	0	9,185
Miscellaneous Receipts	16,401	186	16,587
Federal Receipts	1	49,275	49,276
<b>Total Receipts</b>	<u>25,587</u>	<u>49,461</u>	<u>75,048</u>
<b>Disbursements:</b>			
Local Assistance Grants	20,012	45,642	65,654
Departmental Operations:			
Personal Service	7,077	687	7,764
Non-Personal Service	3,825	860	4,685
General State Charges	2,412	338	2,750
Capital Projects	5	0	5
<b>Total Disbursements</b>	<u>33,331</u>	<u>47,527</u>	<u>80,858</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,294	1	8,295
Transfers to Other Funds	(282)	(1,934)	(2,216)
<b>Net Other Financing Sources (Uses)</b>	<u>8,012</u>	<u>(1,933)</u>	<u>6,079</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>268</u>	<u>1</u>	<u>269</u>
<b>Closing Fund Balance</b>	<u>2,690</u>	<u>6</u>	<u>2,696</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2013 and FY 2014  
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>1,594</u>	<u>2,373</u>	<u>779</u>	<u>48.9%</u>
<b>Receipts:</b>				
Taxes	8,146	8,347	201	2.5%
Miscellaneous Receipts	15,762	15,842	80	0.5%
Federal Receipts	<u>40,572</u>	<u>45,138</u>	<u>4,566</u>	<u>11.3%</u>
<b>Total receipts</b>	<u>64,480</u>	<u>69,327</u>	<u>4,847</u>	<u>7.5%</u>
<b>Disbursements:</b>				
Local Assistance Grants	53,794	59,582	5,788	10.8%
Departmental Operations:				
Personal Service	6,882	7,329	447	6.5%
Non-Personal Service	4,400	4,615	215	4.9%
General State Charges	2,126	2,454	328	15.4%
Debt Service	0	0	0	--
Capital Projects	<u>8</u>	<u>5</u>	<u>(3)</u>	<u>-37.5%</u>
<b>Total Disbursements</b>	<u>67,210</u>	<u>73,985</u>	<u>6,775</u>	<u>10.1%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	7,478	7,602	124	1.7%
Transfers to Other Funds	<u>(3,969)</u>	<u>(3,191)</u>	<u>778</u>	<u>-19.6%</u>
<b>Net Other Financing Sources (Uses)</b>	<u>3,509</u>	<u>4,411</u>	<u>902</u>	<u>25.7%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>779</u>	<u>(247)</u>	<u>(1,026)</u>	<u>-131.7%</u>
<b>Closing Fund Balance</b>	<u>2,373</u>	<u>2,126</u>	<u>(247)</u>	<u>-10.4%</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2012  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>2,139</u>	<u>10</u>	<u>2,149</u>
<b>Receipts:</b>			
Taxes	8,244	0	8,244
Miscellaneous Receipts	15,399	166	15,565
Federal Receipts	<u>0</u>	<u>42,356</u>	<u>42,356</u>
<b>Total Receipts</b>	<u>23,643</u>	<u>42,522</u>	<u>66,165</u>
<b>Disbursements:</b>			
Local Assistance Grants	18,848	36,648	55,496
Departmental Operations:			
Personal Service	6,266	633	6,899
Non-Personal Service	3,646	944	4,590
General State Charges	1,873	262	2,135
Capital Projects	<u>6</u>	<u>0</u>	<u>6</u>
<b>Total Disbursements</b>	<u>30,639</u>	<u>38,487</u>	<u>69,126</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	7,096	0	7,096
Transfers to Other Funds	<u>(607)</u>	<u>(4,083)</u>	<u>(4,690)</u>
<b>Net Other Financing Sources (Uses)</b>	<u>6,489</u>	<u>(4,083)</u>	<u>2,406</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(507)</u>	<u>(48)</u>	<u>(555)</u>
<b>Closing Fund Balance</b>	<u>1,632</u>	<u>(38)</u>	<u>1,594</u>

**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
FY 2014 THROUGH FY 2017  
(millions of dollars)**

	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
<b>Personal Income Tax</b>	3,419	3,602	3,704	3,805
<b>User Taxes and Fees</b>	2,095	2,127	2,136	2,136
Sales and Use Tax	797	835	864	887
Cigarette and Tobacco Taxes	1,060	1,041	1,018	995
Motor Fuel Tax	105	106	106	106
Auto Rental Tax	43	45	47	47
Taxicab Surcharge	90	100	101	101
<b>Business Taxes</b>	1,588	1,646	1,700	1,761
Corporation Franchise Tax	445	467	490	513
Corporation and Utilities Tax	170	172	177	188
Insurance Taxes	169	176	183	190
Bank Tax	274	286	301	316
Petroleum Business Tax	530	545	549	554
<b>Payroll Tax</b>	1,245	1,322	1,401	1,483
<b>Total Taxes</b>	8,347	8,697	8,941	9,185
<b>Miscellaneous Receipts</b>	15,842	16,247	16,382	16,587
HCRA	4,550	4,813	4,813	4,931
State University Income	4,267	4,383	4,383	4,546
Lottery	3,280	3,292	3,292	3,297
Medicaid	785	788	788	788
Industry Assessments	780	790	803	811
Motor Vehicle Fees	481	481	481	481
All Other	1,699	1,700	1,822	1,733
<b>Federal Grants</b>	45,138	45,162	46,510	49,276
<b>Total</b>	69,327	70,106	71,833	75,048

**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
FY 2013 and FY 2014  
(millions of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Personal Income Tax</b>	3,286	3,419	133	4.0%
<b>User Taxes and Fees</b>	2,092	2,095	3	0.1%
Sales and Use Tax	757	797	40	5.3%
Cigarette and Tobacco Taxes	1,108	1,060	(48)	-4.3%
Motor Fuel Tax	103	105	2	1.9%
Auto Rental Tax	41	43	2	4.9%
Taxicab Surcharge	83	90	7	8.4%
<b>Business Taxes</b>	1,563	1,588	25	1.6%
Corporation Franchise Tax	385	445	60	15.6%
Corporation and Utilities Tax	194	170	(24)	-12.4%
Insurance Taxes	163	169	6	3.7%
Bank Tax	315	274	(41)	-13.0%
Petroleum Business Tax	506	530	24	4.7%
<b>Payroll Tax</b>	1,205	1,245	40	3.3%
<b>Total Taxes</b>	8,146	8,347	201	2.5%
<b>Miscellaneous Receipts</b>	15,762	15,842	80	0.5%
HCRA	4,228	4,550	322	7.6%
State University Income	4,143	4,267	124	3.0%
Lottery	3,214	3,280	66	2.1%
Medicaid	783	785	2	0.3%
Industry Assessments	723	780	57	7.9%
Motor Vehicle Fees	453	481	28	6.2%
All Other	2,218	1,699	(519)	-23.4%
<b>Federal Grants</b>	40,572	45,138	4,566	11.3%
<b>Total</b>	64,480	69,327	4,847	7.5%

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2013  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(288)</u>	<u>(161)</u>	<u>(449)</u>
<b>Receipts:</b>			
Taxes	1,370	0	1,370
Miscellaneous Receipts	3,855	2	3,857
Federal Receipts	<u>5</u>	<u>2,121</u>	<u>2,126</u>
<b>Total Receipts</b>	<u>5,230</u>	<u>2,123</u>	<u>7,353</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,063	812	1,875
Capital Projects	<u>4,616</u>	<u>1,048</u>	<u>5,664</u>
<b>Total Disbursements</b>	<u>5,679</u>	<u>1,860</u>	<u>7,539</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,462	(290)	1,172
Transfers to Other Funds	(1,452)	(4)	(1,456)
Bond and Note Proceeds	<u>434</u>	<u>0</u>	<u>434</u>
<b>Net Other Financing Sources (Uses)</b>	<u>444</u>	<u>(294)</u>	<u>150</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(5)</u>	<u>(31)</u>	<u>(36)</u>
<b>Closing Fund Balance</b>	<u>(293)</u>	<u>(192)</u>	<u>(485)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2014  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(293)</u>	<u>(192)</u>	<u>(485)</u>
<b>Receipts:</b>			
Taxes	1,400	0	1,400
Miscellaneous Receipts	4,211	0	4,211
Federal Receipts	5	2,216	2,221
<b>Total Receipts</b>	<u>5,616</u>	<u>2,216</u>	<u>7,832</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,382	722	2,104
Capital Projects	4,774	1,118	5,892
<b>Total Disbursements</b>	<u>6,156</u>	<u>1,840</u>	<u>7,996</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,871	(314)	1,557
Transfers to Other Funds	(1,493)	(12)	(1,505)
Bond and Note Proceeds	338	0	338
<b>Net Other Financing Sources (Uses)</b>	<u>716</u>	<u>(326)</u>	<u>390</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>176</u>	<u>50</u>	<u>226</u>
<b>Closing Fund Balance</b>	<u>(117)</u>	<u>(142)</u>	<u>(259)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2015  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(117)</u>	<u>(142)</u>	<u>(259)</u>
<b>Receipts:</b>			
Taxes	1,429	0	1,429
Miscellaneous Receipts	4,507	0	4,507
Federal Receipts	<u>5</u>	<u>2,023</u>	<u>2,028</u>
<b>Total Receipts</b>	<u>5,941</u>	<u>2,023</u>	<u>7,964</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,024	692	1,716
Capital Projects	<u>5,468</u>	<u>961</u>	<u>6,429</u>
<b>Total Disbursements</b>	<u>6,492</u>	<u>1,653</u>	<u>8,145</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,755	(308)	1,447
Transfers to Other Funds	(1,497)	(12)	(1,509)
Bond and Note Proceeds	<u>306</u>	<u>0</u>	<u>306</u>
<b>Net Other Financing Sources (Uses)</b>	<u>564</u>	<u>(320)</u>	<u>244</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>13</u>	<u>50</u>	<u>63</u>
<b>Closing Fund Balance</b>	<u>(104)</u>	<u>(92)</u>	<u>(196)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2016  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(104)</u>	<u>(92)</u>	<u>(196)</u>
<b>Receipts:</b>			
Taxes	1,449	0	1,449
Miscellaneous Receipts	4,890	0	4,890
Federal Receipts	5	1,655	1,660
<b>Total Receipts</b>	<u>6,344</u>	<u>1,655</u>	<u>7,999</u>
<b>Disbursements:</b>			
Local Assistance Grants	754	651	1,405
Capital Projects	5,954	672	6,626
<b>Total Disbursements</b>	<u>6,708</u>	<u>1,323</u>	<u>8,031</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,767	(304)	1,463
Transfers to Other Funds	(1,501)	(12)	(1,513)
Bond and Note Proceeds	120	0	120
<b>Net Other Financing Sources (Uses)</b>	<u>386</u>	<u>(316)</u>	<u>70</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>22</u>	<u>16</u>	<u>38</u>
<b>Closing Fund Balance</b>	<u>(82)</u>	<u>(76)</u>	<u>(158)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2017  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(82)</u>	<u>(76)</u>	<u>(158)</u>
<b>Receipts:</b>			
Taxes	1,455	0	1,455
Miscellaneous Receipts	4,199	0	4,199
Federal Receipts	5	1,612	1,617
<b>Total Receipts</b>	<u>5,659</u>	<u>1,612</u>	<u>7,271</u>
<b>Disbursements:</b>			
Local Assistance Grants	496	641	1,137
Capital Projects	5,960	633	6,593
<b>Total Disbursements</b>	<u>6,456</u>	<u>1,274</u>	<u>7,730</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	2,172	(310)	1,862
Transfers to Other Funds	(1,583)	(12)	(1,595)
Bond and Note Proceeds	65	0	65
<b>Net Other Financing Sources (Uses)</b>	<u>654</u>	<u>(322)</u>	<u>332</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(143)</u>	<u>16</u>	<u>(127)</u>
<b>Closing Fund Balance</b>	<u>(225)</u>	<u>(60)</u>	<u>(285)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2013 and FY 2014  
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>(449)</u>	<u>(485)</u>	<u>(36)</u>	
<b>Receipts:</b>				
Taxes	1,370	1,400	30	2.2%
Miscellaneous Receipts	3,857	4,211	354	9.2%
Federal Receipts	2,126	2,221	95	4.5%
<b>Total Receipts</b>	<u>7,353</u>	<u>7,832</u>	<u>479</u>	<u>6.5%</u>
<b>Disbursements:</b>				
Local Assistance Grants	1,875	2,104	229	12.2%
Capital Projects	5,664	5,892	228	4.0%
<b>Total Disbursements</b>	<u>7,539</u>	<u>7,996</u>	<u>457</u>	<u>6.1%</u>
<b>Other Financing Sources (uses):</b>				
Transfers From Other Funds	1,172	1,557	385	32.8%
Transfers to Other Funds	(1,456)	(1,505)	(49)	3.4%
Bond and Note Proceeds	434	338	(96)	-22.1%
<b>Net Other Financing Sources (Uses)</b>	<u>150</u>	<u>390</u>	<u>240</u>	<u>160.0%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(36)</u>	<u>226</u>	<u>262</u>	
<b>Closing Fund Balance</b>	<u>(485)</u>	<u>(259)</u>	<u>226</u>	

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2012  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(62)</u>	<u>(105)</u>	<u>(167)</u>
<b>Receipts:</b>			
Taxes	1,337	0	1,337
Miscellaneous Receipts	4,153	2	4,155
Federal Receipts	5	2,110	2,115
<b>Total Receipts</b>	<u>5,495</u>	<u>2,112</u>	<u>7,607</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,748	818	2,566
Capital Projects	4,264	1,006	5,270
<b>Total Disbursements</b>	<u>6,012</u>	<u>1,824</u>	<u>7,836</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,367	(336)	1,031
Transfers to Other Funds	(1,428)	(8)	(1,436)
Bond and Note Proceeds	352	0	352
<b>Net Other Financing Sources (Uses)</b>	<u>291</u>	<u>(344)</u>	<u>(53)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(226)</u>	<u>(56)</u>	<u>(282)</u>
<b>Closing Fund Balance</b>	<u>(288)</u>	<u>(161)</u>	<u>(449)</u>

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
FY 2014 THROUGH FY 2017  
(millions of dollars)**

	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>User Taxes and Fees</b>	606	615	629	630
Motor Fuel Tax	395	398	401	404
Highway Use Tax	140	143	151	149
Auto Rental Tax	71	74	77	77
<b>Business Taxes</b>	675	695	701	706
Corporation and Utilities Tax	15	15	15	15
Petroleum Business Tax	660	680	686	691
<b>Other Taxes</b>	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
<b>Total Taxes</b>	<u>1,400</u>	<u>1,429</u>	<u>1,449</u>	<u>1,455</u>
<b>Miscellaneous Receipts</b>	4,211	4,507	4,890	4,199
Authority Bond Proceeds	3,576	3,888	4,235	3,848
State Park Fees	76	91	105	112
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	811	811	811	811
All Other	(329)	(360)	(338)	(649)
<b>Federal Grants</b>	<u>2,221</u>	<u>2,028</u>	<u>1,660</u>	<u>1,617</u>
<b>Total</b>	<u><u>7,832</u></u>	<u><u>7,964</u></u>	<u><u>7,999</u></u>	<u><u>7,271</u></u>

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
FY 2013 and FY 2014  
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>User Taxes and Fees</b>	602	606	4	0.7%
Motor Fuel Tax	389	395	6	1.5%
Highway Use Tax	145	140	(5)	-3.4%
Auto Rental Tax	68	71	3	4.4%
<b>Business Taxes</b>	649	675	26	4.0%
Corporation and Utilities Tax	15	15	0	0.0%
Petroleum Business Tax	634	660	26	4.1%
<b>Other Taxes</b>	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
<b>Total Taxes</b>	<u>1,370</u>	<u>1,400</u>	<u>30</u>	<u>2.2%</u>
<b>Miscellaneous Receipts</b>	3,857	4,211	354	9.2%
Authority Bond Proceeds	2,912	3,576	664	22.8%
State Park Fees	39	76	37	94.9%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	796	811	15	1.9%
All Other	33	(329)	(362)	-1097.0%
<b>Federal Grants</b>	<u>2,126</u>	<u>2,221</u>	<u>95</u>	<u>4.5%</u>
<b>Total</b>	<u><u>7,353</u></u>	<u><u>7,832</u></u>	<u><u>479</u></u>	<u><u>6.5%</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL OFF-BUDGET SPENDING  
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development	9	38	21	21	20
Empire State Development Corporation	6	13	13	13	13
<b>Functional Total</b>	<u>15</u>	<u>51</u>	<u>34</u>	<u>34</u>	<u>33</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	457	463	478	478	478
<b>Functional Total</b>	<u>457</u>	<u>463</u>	<u>478</u>	<u>478</u>	<u>478</u>
<b>MENTAL HEALTH</b>					
Mental Health, Office of	52	90	115	110	110
People with Developmental Disabilities, Office for	21	35	40	40	40
Alcoholism and Substance Abuse Services, Office of	2	4	5	5	5
<b>Functional Total</b>	<u>75</u>	<u>129</u>	<u>160</u>	<u>155</u>	<u>155</u>
<b>HIGHER EDUCATION</b>					
City University of New York	420	488	521	535	543
Education School Aid	77	75	75	65	0
State University of New York	318	237	149	125	129
<b>Functional Total</b>	<u>815</u>	<u>800</u>	<u>745</u>	<u>725</u>	<u>672</u>
<b>ALL OTHER</b>					
Judiciary	3	9	5	0	0
<b>Functional Total</b>	<u>3</u>	<u>9</u>	<u>5</u>	<u>0</u>	<u>0</u>
<b>TOTAL CAPITAL OFF-BUDGET SPENDING</b>	<u>1,365</u>	<u>1,452</u>	<u>1,422</u>	<u>1,392</u>	<u>1,338</u>

Reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
FY 2014 THROUGH FY 2017  
(millions of dollars)**

	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>Personal Income Tax</b>	10,636	11,009	11,748	12,475
<b>User Taxes and Fees</b>	5,867	6,133	6,416	6,599
Sales and Use Tax	5,867	6,133	6,416	6,599
<b>Other Taxes</b>	621	691	766	821
Real Estate Transfer Tax	621	691	766	821
<b>Total Taxes</b>	<u>17,124</u>	<u>17,833</u>	<u>18,930</u>	<u>19,895</u>
<b>Miscellaneous Receipts</b>	472	644	486	449
Mental Hygiene Patient Receipts	334	506	351	345
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	128	128	128	98
All Other	10	10	7	6
Federal Grants	<u>72</u>	<u>72</u>	<u>72</u>	<u>72</u>
<b>Total</b>	<u><u>17,668</u></u>	<u><u>18,549</u></u>	<u><u>19,488</u></u>	<u><u>20,416</u></u>

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
FY 2013 and FY 2014  
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Personal Income Tax</b>	10,057	10,636	579	5.8%
<b>User Taxes and Fees</b>	2,809	5,867	3,058	108.9%
Sales and Use Tax	2,809	5,867	3,058	108.9%
<b>Other Taxes</b>	637	621	(16)	-2.5%
Real Estate Transfer Tax	637	621	(16)	-2.5%
<b>Total Taxes</b>	13,503	17,124	3,621	26.8%
<b>Miscellaneous Receipts</b>	913	472	(441)	-48.3%
Mental Hygiene Patient Receipts	311	334	23	7.4%
SUNY Dormitory Fees	450	0	(450)	-100.0%
Health Patient Receipts	143	128	(15)	-10.5%
All Other	9	10	1	11.1%
Federal Grants	79	72	(7)	-8.9%
<b>Total</b>	<u>14,495</u>	<u>17,668</u>	<u>3,173</u>	<u>21.9%</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2013  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>State Funds Total</b>
<b>Opening Fund Balance</b>	1,787	1,632	(288)	428	3,559
<b>Receipts:</b>					
Taxes	43,283	8,146	1,370	13,503	66,302
Miscellaneous Receipts	3,504	15,566	3,855	913	23,838
Federal Receipts	62	18	5	79	164
<b>Total Receipts</b>	<u>46,849</u>	<u>23,730</u>	<u>5,230</u>	<u>14,495</u>	<u>90,304</u>
<b>Disbursements:</b>					
Local Assistance Grants	39,760	18,818	1,063	0	59,641
Departmental Operations:					
Personal Service	6,130	6,273	0	0	12,403
Non-Personal Service	1,726	3,510	0	44	5,280
General State Charges	4,550	1,887	0	0	6,437
Debt Service	0	0	0	6,138	6,138
Capital Projects	0	8	4,616	0	4,624
<b>Total Disbursements</b>	<u>52,166</u>	<u>30,496</u>	<u>5,679</u>	<u>6,182</u>	<u>94,523</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	11,934	7,478	1,462	6,320	27,194
Transfers to Other Funds	(6,794)	25	(1,452)	(14,680)	(22,901)
Bond and Note Proceeds	0	0	434	0	434
<b>Net Other Financing Sources (Uses)</b>	<u>5,140</u>	<u>7,503</u>	<u>444</u>	<u>(8,360)</u>	<u>4,727</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(177)</u>	<u>737</u>	<u>(5)</u>	<u>(47)</u>	<u>508</u>
<b>Closing Fund Balance</b>	<u>1,610</u>	<u>2,369</u>	<u>(293)</u>	<u>381</u>	<u>4,067</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2014  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	42,480	8,347	1,400	17,124	69,351
Miscellaneous Receipts	3,096	15,656	4,211	472	23,435
Federal Receipts	2	1	5	72	80
<b>Total Receipts</b>	<u>45,578</u>	<u>24,004</u>	<u>5,616</u>	<u>17,668</u>	<u>92,866</u>
<b>Disbursements:</b>					
Local Assistance Grants	40,258	19,306	1,382	0	60,946
Departmental Operations:					
Personal Service	5,681	6,676	0	0	12,357
Non-Personal Service	1,883	3,544	0	40	5,467
General State Charges	4,953	2,136	0	0	7,089
Debt Service	0	0	0	5,743	5,743
Capital Projects	0	5	4,774	0	4,779
<b>Total Disbursements</b>	<u>52,775</u>	<u>31,667</u>	<u>6,156</u>	<u>5,783</u>	<u>96,381</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	15,678	7,601	1,871	4,890	30,040
Transfers to Other Funds	(8,382)	(186)	(1,493)	(16,716)	(26,777)
Bond and Note Proceeds	0	0	338	0	338
<b>Net Other Financing Sources (Uses)</b>	<u>7,296</u>	<u>7,415</u>	<u>716</u>	<u>(11,826)</u>	<u>3,601</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other</b>					
	<u>99</u>	<u>(248)</u>	<u>176</u>	<u>59</u>	<u>86</u>
<b>Designated General Fund Reserves:</b>					
Community Projects Fund	(25)				
Prior-Year Labor Agreements (2007-2011)	(26)				
Debt Reduction	250				
Undesignated Fund Balance	(100)				
<b>Increase (Decrease) in Reserves</b>	<u>99</u>				
<b>Net General Fund Deficit</b>	<u>0</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	43,235	8,697	1,429	17,833	71,194
Miscellaneous Receipts	3,551	16,061	4,507	644	24,763
Federal Receipts	0	1	5	72	78
<b>Total Receipts</b>	<u>46,786</u>	<u>24,759</u>	<u>5,941</u>	<u>18,549</u>	<u>96,035</u>
<b>Disbursements:</b>					
Local Assistance Grants	42,598	19,620	1,024	0	63,242
Departmental Operations:					
Personal Service	5,850	6,787	0	0	12,637
Non-Personal Service	1,968	3,601	0	40	5,609
General State Charges	5,328	2,203	0	0	7,531
Debt Service	0	0	0	6,123	6,123
Capital Projects	0	5	5,468	0	5,473
<b>Total Disbursements</b>	<u>55,744</u>	<u>32,216</u>	<u>6,492</u>	<u>6,163</u>	<u>100,615</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	16,098	7,874	1,755	4,605	30,332
Transfers to Other Funds	(9,179)	(321)	(1,497)	(16,997)	(27,994)
Bond and Note Proceeds	0	0	306	0	306
<b>Net Other Financing Sources (Uses)</b>	<u>6,919</u>	<u>7,553</u>	<u>564</u>	<u>(12,392)</u>	<u>2,644</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(2,039)</u>	<u>96</u>	<u>13</u>	<u>(6)</u>	<u>(1,936)</u>
<b>Designated General Fund Reserves:</b>					
Community Projects Fund	(35)				
Prior-Year Labor Agreements (2007-2011)	10				
<b>Increase (Decrease) in Reserves</b>	<u>(25)</u>				
<b>Net General Fund Deficit</b>	<u>(2,014)</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	46,143	8,941	1,449	18,930	75,463
Miscellaneous Receipts	2,682	16,196	4,890	486	24,254
Federal Receipts	0	1	5	72	78
<b>Total Receipts</b>	<u>48,825</u>	<u>25,138</u>	<u>6,344</u>	<u>19,488</u>	<u>99,795</u>
<b>Disbursements:</b>					
Local Assistance Grants	45,056	19,815	754	0	65,625
Departmental Operations:					
Personal Service	6,111	6,960	0	0	13,071
Non-Personal Service	2,005	3,730	0	40	5,775
General State Charges	5,604	2,348	0	0	7,952
Debt Service	0	0	0	6,482	6,482
Capital Projects	0	5	5,954	0	5,959
<b>Total Disbursements</b>	<u>58,776</u>	<u>32,858</u>	<u>6,708</u>	<u>6,522</u>	<u>104,864</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	16,758	8,203	1,767	4,534	31,262
Transfers to Other Funds	(9,682)	(278)	(1,501)	(17,507)	(28,968)
Bond and Note Proceeds	0	0	120	0	120
<b>Net Other Financing Sources (Uses)</b>	<u>7,076</u>	<u>7,925</u>	<u>386</u>	<u>(12,973)</u>	<u>2,414</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(2,875)</u>	<u>205</u>	<u>22</u>	<u>(7)</u>	<u>(2,655)</u>
<b>Designated General Fund Reserves:</b>					
Community Projects Fund	(33)				
Prior-Year Labor Agreements (2007-2011)	14				
<b>Increase (Decrease) in Reserves</b>	<u>(19)</u>				
<b>Net General Fund Deficit</b>	<u>(2,856)</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2017  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	48,642	9,185	1,455	19,895	79,177
Miscellaneous Receipts	2,653	16,401	4,199	449	23,702
Federal Receipts	0	1	5	72	78
<b>Total Receipts</b>	<u>51,295</u>	<u>25,587</u>	<u>5,659</u>	<u>20,416</u>	<u>102,957</u>
<b>Disbursements:</b>					
Local Assistance Grants	47,276	20,012	496	0	67,784
Departmental Operations:					
Personal Service	6,127	7,077	0	0	13,204
Non-Personal Service	2,086	3,825	0	40	5,951
General State Charges	5,873	2,412	0	0	8,285
Debt Service	0	0	0	6,783	6,783
Capital Projects	0	5	5,960	0	5,965
<b>Total Disbursements</b>	<u>61,362</u>	<u>33,331</u>	<u>6,456</u>	<u>6,823</u>	<u>107,972</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	17,408	8,294	2,172	4,403	32,277
Transfers to Other Funds	(10,247)	(282)	(1,583)	(17,975)	(30,087)
Bond and Note Proceeds	0	0	65	0	65
<b>Net Other Financing Sources (Uses)</b>	<u>7,161</u>	<u>8,012</u>	<u>654</u>	<u>(13,572)</u>	<u>2,255</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(2,906)</u>	<u>268</u>	<u>(143)</u>	<u>21</u>	<u>(2,760)</u>
<b>Designated General Fund Reserves:</b>					
Prior-Year Labor Agreements (2007-2011)	14				
<b>Increase (Decrease) in Reserves</b>	<u>14</u>				
<b>Net General Fund Deficit</b>	<u>(2,920)</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2013 and FY 2014  
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>3,559</u>	<u>4,067</u>	<u>508</u>	
<b>Receipts:</b>				
Taxes	66,302	69,351	3,049	4.6%
Miscellaneous Receipts	23,838	23,435	(403)	-1.7%
Federal Receipts	164	80	(84)	-51.2%
<b>Total Receipts</b>	<u>90,304</u>	<u>92,866</u>	<u>2,562</u>	<u>2.8%</u>
<b>Disbursements:</b>				
Local Assistance Grants	59,641	60,946	1,305	2.2%
Departmental Operations:				
Personal Service	12,403	12,357	(46)	-0.4%
Non-Personal Service	5,280	5,467	187	3.5%
General State Charges	6,437	7,089	652	10.1%
Debt Service	6,138	5,743	(395)	-6.4%
Capital Projects	4,624	4,779	155	3.4%
<b>Total Disbursements</b>	<u>94,523</u>	<u>96,381</u>	<u>1,858</u>	<u>2.0%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	27,194	30,040	2,846	10.5%
Transfers to Other Funds	(22,901)	(26,777)	(3,876)	16.9%
Bond and Note Proceeds	434	338	(96)	-22.1%
<b>Net Other Financing Sources (Uses)</b>	<u>4,727</u>	<u>3,601</u>	<u>(1,126)</u>	<u>-23.8%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>508</u>	<u>86</u>	<u>(422)</u>	

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2012  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>State Funds Total</b>
<b>Opening Fund Balance</b>	1,376	2,139	(62)	454	3,907
<b>Receipts:</b>					
Taxes	41,754	8,244	1,337	12,962	64,297
Miscellaneous Receipts	3,162	15,399	4,153	955	23,669
Federal Receipts	60	0	5	80	145
<b>Total Receipts</b>	<u>44,976</u>	<u>23,643</u>	<u>5,495</u>	<u>13,997</u>	<u>88,111</u>
<b>Disbursements:</b>					
Local Assistance Grants	38,419	18,848	1,748	0	59,015
Departmental Operations:					
Personal Service	5,781	6,266	0	0	12,047
Non-Personal Service	1,713	3,646	0	45	5,404
General State Charges	4,720	1,873	0	0	6,593
Debt Service	0	0	0	5,864	5,864
Capital Projects	0	6	4,264	0	4,270
<b>Total Disbursements</b>	<u>50,633</u>	<u>30,639</u>	<u>6,012</u>	<u>5,909</u>	<u>93,193</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	11,924	7,096	1,367	6,490	26,877
Transfers to Other Funds	(5,856)	(607)	(1,428)	(14,604)	(22,495)
Bond and Note Proceeds	0	0	352	0	352
<b>Net Other Financing Sources (Uses)</b>	<u>6,068</u>	<u>6,489</u>	<u>291</u>	<u>(8,114)</u>	<u>4,734</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>411</u>	<u>(507)</u>	<u>(226)</u>	<u>(26)</u>	<u>(348)</u>
<b>Closing Fund Balance</b>	<u>1,787</u>	<u>1,632</u>	<u>(288)</u>	<u>428</u>	<u>3,559</u>







**CASHFLOW**  
**CAPITAL PROJECTS STATE FUNDS**  
**FY 2013**  
(dollars in millions)

	2012	April	May	June	July	August	September	October	November	December	2013	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Results	Results						
<b>OPENING BALANCE</b>	(288)	(317)	(317)	(317)	(361)	(445)	(590)	(629)	(566)	(648)	(766)	(931)	(931)	(1,468)	(288)
<b>RECEIPTS:</b>															
User Taxes and Fees	43	45	59	59	47	44	64	46	45	66	47	41	41	55	602
Business Taxes	47	53	57	57	54	53	58	49	53	62	53	52	52	56	647
Other Taxes	0	0	0	0	11	13	11	12	12	12	12	12	12	14	121
Total Taxes	90	98	128	128	112	110	133	107	110	140	112	105	105	125	1,370
Miscellaneous Receipts	241	205	231	231	307	416	346	370	483	208	218	235	235	595	3,855
Federal Grants	0	0	0	0	0	0	3	0	0	0	0	0	0	2	5
<b>TOTAL RECEIPTS</b>	331	303	359	359	419	526	482	477	593	348	330	340	340	722	5,230
<b>DISBURSEMENTS:</b>															
Local Assistance Grants	7	40	85	85	121	68	75	92	142	72	66	121	121	174	1,063
Total Local Assistance Grants	7	40	85	85	121	68	75	92	142	72	66	121	121	174	1,063
Economic Development	3	4	2	2	5	1	1	4	3	1	2	4	4	17	47
Parks & the Environment	4	23	22	22	13	21	16	27	33	18	32	22	22	58	289
Transportation	92	122	151	151	174	203	174	215	164	208	355	285	285	391	2,534
Health & Social Welfare	10	1	2	2	12	1	1	1	11	1	(28)	2	2	5	19
Mental Hygiene	4	5	8	8	6	17	6	(1)	8	16	7	10	10	18	104
Public Protection	3	29	25	25	16	17	15	21	20	17	22	13	13	37	235
Education	26	85	112	112	266	156	65	125	93	96	72	85	85	91	1,272
All Other	1	12	13	13	15	13	9	4	(23)	10	36	7	7	19	116
Total Capital Projects	143	281	335	335	507	429	287	396	309	367	498	428	428	636	4,616
<b>TOTAL DISBURSEMENTS</b>	150	321	420	420	628	497	362	488	451	439	564	549	549	810	5,679
<b>OTHER FINANCING SOURCES (uses):</b>															
Transfers from other funds	(116)	113	118	118	221	(14)	1	171	(131)	67	166	36	36	830	1,462
Transfers to other funds	(94)	(95)	(101)	(101)	(96)	(160)	(160)	(97)	(93)	(94)	(97)	(364)	(364)	(1)	(1,452)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	434	434
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(210)	18	17	17	125	(174)	(159)	74	(224)	(27)	69	(328)	(328)	1,263	444
Excess/(Deficiency) of Receipts over Disbursements	(29)	0	(44)	(44)	(84)	(145)	(39)	63	(82)	(118)	(165)	(537)	(537)	1,175	(5)
<b>CLOSING BALANCE</b>	(317)	(317)	(361)	(361)	(445)	(590)	(629)	(566)	(648)	(766)	(931)	(931)	(931)	(1,468)	(293)

**CASHFLOW  
CAPITAL PROJECTS FUNDS FEDERAL FUNDS  
FY 2013  
(dollars in millions)**

	2012	April	May	June	July	August	September	October	November	December	2013	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Results	Results						
<b>OPENING BALANCE</b>	(161)	(177)	(144)	(119)	(92)	(100)	(146)	(25)	(11)	(23)	16	35	(161)		
<b>RECEIPTS:</b>															
User Taxes and Fees	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	1	(1)	1	1	0	0	0	0	0	0	(2)	0
Total Taxes	0	0	0	1	(1)	1	1	0	0	0	0	0	0	(2)	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Grants	30	166	176	180	147	196	300	158	197	159	275	137	275	137	2,121
<b>TOTAL RECEIPTS</b>	30	166	176	181	146	197	301	158	197	160	275	136	275	136	2,123
<b>DISBURSEMENTS:</b>															
Local Assistance Grants	10	43	56	39	35	121	39	29	115	54	206	65	206	65	812
Total Local Assistance Grants	10	43	56	39	35	121	39	29	115	54	206	65	206	65	812
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
Parks & the Environment	0	0	1	1	0	0	1	1	2	0	1	2	1	(2)	5
Transportation	34	88	92	112	119	120	134	112	91	49	47	14	47	14	1,012
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	0	0	0	1	1	0	0	1	1	1	1	2	1	2	9
Education	0	1	0	0	0	0	0	0	0	0	0	0	0	(1)	0
All Other	2	1	1	1	(1)	2	5	1	1	1	1	6	1	1	21
Total Capital Projects	36	90	95	115	119	122	140	115	95	52	50	19	50	19	1,048
<b>TOTAL DISBURSEMENTS</b>	46	133	151	154	154	243	179	144	210	106	256	84	256	84	1,860
<b>OTHER FINANCING SOURCES (uses):</b>															
Transfers from other funds	0	0	0	0	0	0	0	0	0	(15)	0	(275)	0	(275)	(290)
Transfers to other funds	0	0	0	0	0	0	(1)	0	1	0	0	(4)	0	(4)	(4)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	0	0	0	0	0	0	(1)	0	1	(15)	0	(279)	0	(279)	(294)
Excess/(Deficiency) of Receipts over Disbursements	(16)	33	25	27	(8)	(46)	121	14	(12)	39	19	(227)	19	(227)	(31)
<b>CLOSING BALANCE</b>	(177)	(144)	(119)	(92)	(100)	(146)	(25)	(11)	(23)	16	35	(192)	35	(192)	(192)

**CASHFLOW  
SPECIAL REVENUE FUNDS  
FY 2013  
(dollars in millions)**

	2012 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2013 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	1,594	1,992	2,211	1,911	2,953	3,547	2,114	1,385	2,291	1,937	1,724	1,635	1,594
<b>RECEIPTS:</b>													
Personal Income Tax	0	0	400	0	0	188	6	36	159	2,471	0	26	3,286
User Taxes and Fees	196	167	189	194	172	183	189	155	186	191	129	142	2,093
Business Taxes	58	57	214	60	214	227	60	72	238	83	71	361	1,563
Other Taxes	140	116	67	90	81	65	96	83	88	162	118	98	1,204
Total Taxes	394	340	870	344	315	663	351	346	671	2,907	318	627	8,146
HCR	325	401	385	365	358	342	385	302	317	345	336	367	4,228
State University Income	64	170	388	260	441	554	363	431	230	431	612	386	4,143
Lottery	291	309	246	251	258	282	249	269	249	296	247	267	3,214
Medicaid	69	62	70	62	63	69	62	59	69	66	67	65	783
Motor vehicle fees	20	16	109	30	42	35	29	25	52	22	16	57	453
Other Transactions	110	297	11	301	360	309	332	202	192	333	160	434	2,941
Total Miscellaneous Receipts	879	1,255	1,209	1,269	1,522	1,591	1,420	1,101	1,109	1,393	1,438	1,576	15,762
Federal Grants	1,969	3,034	3,047	3,509	3,718	3,015	3,425	4,038	3,465	3,338	3,287	4,727	40,572
<b>TOTAL RECEIPTS</b>	3,242	4,629	5,126	5,122	5,555	5,269	5,196	5,485	5,245	7,638	5,043	6,930	64,480
<b>DISBURSEMENTS:</b>													
School Aid	124	509	567	126	157	2,118	407	279	369	222	264	366	5,508
Higher Education	0	0	0	0	1	1	0	0	0	0	0	32	34
All Other Education	49	148	80	30	43	36	64	118	65	46	54	52	785
STAR	0	0	400	0	0	188	6	36	142	2,488	0	26	3,286
Medicaid - DOH	1,940	2,492	2,331	2,039	2,500	2,177	2,958	1,991	2,465	2,784	2,399	2,543	28,709
Public Health	65	183	177	371	274	172	235	237	199	238	192	601	2,944
Mental Hygiene	88	99	186	198	92	171	197	84	282	88	84	281	1,824
Children and Families	2	4	156	5	141	172	9	53	21	176	34	219	992
Temporary & Disability Assistance	19	20	320	285	551	268	514	362	179	339	359	203	3,419
Transportation	121	523	293	336	406	390	301	509	763	187	254	157	4,240
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	6	74	80	346	143	211	(20)	119	329	231	294	240	2,053
Total Local Assistance Grants	2,414	4,052	4,587	3,736	4,388	5,904	4,671	3,788	4,814	6,799	3,911	4,720	53,794
Personal Service	425	488	458	553	697	538	581	680	574	750	556	582	6,882
Non-Personal Service	34	259	358	323	406	406	481	370	372	376	432	583	4,400
Total State Operations	459	747	816	876	1,103	944	1,062	1,050	946	1,126	988	1,165	11,282
General State Charges	25	30	312	40	20	92	334	95	357	163	492	166	2,126
Capital Projects	1	0	0	0	1	0	0	0	2	1	2	1	8
<b>TOTAL DISBURSEMENTS</b>	2,899	4,829	5,715	4,652	5,522	6,940	6,067	4,933	6,119	8,089	5,393	6,052	67,210
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	67	921	582	813	930	474	459	901	782	339	547	663	7,478
Transfers to other funds	(12)	(502)	(293)	(241)	(369)	(236)	(317)	(547)	(262)	(101)	(286)	(803)	(3,969)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	55	419	289	572	561	238	142	354	520	238	261	(140)	3,509
Excess/(Deficiency) of Receipts over Disbursements	398	219	(300)	1,042	594	(1,433)	(729)	906	(354)	(213)	(89)	738	779
<b>CLOSING BALANCE</b>	1,992	2,211	1,911	2,953	3,547	2,114	1,385	2,291	1,937	1,724	1,635	2,373	2,373

**CASHFLOW  
SPECIAL REVENUE STATE FUNDS  
FY 2013**  
(dollars in millions)

	2012 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2013 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	1,632	2,065	2,701	2,784	3,132	3,758	2,520	2,383	2,462	2,091	1,972	2,111		1,632
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	400	0	0	188	6	36	159	2,471	0	26	0	3,286
User Taxes and Fees	196	167	189	194	172	183	189	155	186	191	129	142	0	2,093
Business Taxes	58	57	214	60	62	227	60	72	238	83	71	361	0	1,563
Other Taxes	140	116	67	90	81	65	96	83	88	162	118	98	0	1,204
Total Taxes	394	340	870	344	315	663	351	346	671	2,907	318	627	0	8,146
HCRAs	325	401	385	365	358	342	385	302	317	345	336	367	0	4,228
State University Income	64	170	388	280	441	554	363	244	431	431	612	386	0	4,143
Lottery	291	309	246	251	258	282	249	269	249	296	247	267	0	3,214
Medicaid	69	62	70	63	63	69	62	59	69	66	67	65	0	783
Motor vehicle fees	20	16	109	30	42	35	29	25	16	22	16	57	0	453
Other Transactions	98	260	2	289	332	297	321	186	160	222	147	421	0	2,745
Total Miscellaneous Receipts	867	1,218	1,200	1,267	1,494	1,579	1,409	1,085	1,077	1,382	1,425	1,563	0	15,966
Federal Grants	1	7	0	2	(1)	3	1	2	2	2	0	(1)	0	18
TOTAL RECEIPTS	1,262	1,565	2,070	1,613	1,808	2,245	1,761	1,433	1,750	4,291	1,743	2,189	0	23,730
<b>DISBURSEMENTS:</b>														
School Aid	0	0	316	(1)	(1)	1,984	125	125	125	125	126	129	0	3,063
Higher Education	0	0	0	0	0	0	0	0	0	0	0	32	0	32
All Other Education	0	2	0	1	2	1	1	1	1	2	1	(5)	0	8
STAR	0	0	400	0	0	188	6	36	142	2,488	0	26	0	3,286
Medicaid - DOH	268	509	331	332	472	335	476	348	374	629	318	377	0	4,769
Public Health	1	40	65	208	123	69	77	122	82	103	85	326	0	1,301
Mental Hygiene	77	82	175	186	79	163	176	74	267	73	50	270	0	1,672
Children and Families	0	1	0	0	0	0	0	0	1	1	1	0	0	4
Temporary & Disability Assistance	1	0	0	1	2	1	0	0	0	0	0	(1)	0	4
Transportation	119	522	291	330	404	388	300	507	760	184	248	153	0	4,206
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	3	34	15	213	31	27	18	24	7	9	14	88	0	483
Total Local Assistance Grants	469	1,190	1,593	1,270	1,112	3,156	1,179	1,237	1,760	3,614	843	1,395	0	18,618
Personal Service	384	441	413	511	630	492	526	633	524	684	512	523	0	6,273
Non-Personal Service	26	199	292	279	329	281	378	316	326	310	336	443	0	3,510
Total State Operations	410	640	705	790	959	773	904	949	850	989	848	966	0	9,783
General State Charges	25	27	273	34	20	55	320	93	306	160	433	141	0	1,887
Capital Projects	1	0	0	0	1	0	0	0	2	1	2	1	0	8
TOTAL DISBURSEMENTS	905	1,857	2,571	2,084	2,092	3,984	2,403	2,279	2,918	4,764	2,126	2,503	0	30,496
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	76	966	621	834	948	516	572	944	826	401	574	874	(674)	7,478
Transfers to other funds	0	(38)	(37)	(5)	(38)	(15)	(67)	(19)	(29)	(47)	(52)	(302)	674	25
NET OTHER FINANCING SOURCES/(USES)	76	928	584	829	910	501	505	925	797	354	522	572	0	7,503
Excess/(Deficiency) of Receipts over Disbursements	433	636	83	348	626	(1,238)	(137)	79	(371)	(119)	139	258	0	737
<b>CLOSING BALANCE</b>	2,065	2,701	2,784	3,132	3,758	2,520	2,383	2,462	2,091	1,972	2,111	2,189	0	2,369

**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**FY 2013**  
(dollars in millions)

	2012 April Results	2012 May Results	2012 June Results	2012 July Results	2012 August Results	2012 September Results	2012 October Results	2012 November Results	2012 December Results	2013 January Results	2013 February Results	2013 March Results	2013 Total
<b>OPENING BALANCE</b>	(38)	(73)	(491)	(875)	(181)	(211)	(406)	(998)	(171)	(154)	(249)	(477)	(38)
<b>RECEIPTS:</b>													
Miscellaneous Receipts	12	37	9	2	28	12	11	16	32	11	13	13	196
Federal Grants	1,968	3,027	3,047	3,507	3,719	3,012	3,424	4,036	3,463	3,336	3,287	4,728	40,554
<b>TOTAL RECEIPTS</b>	1,980	3,064	3,056	3,509	3,747	3,024	3,435	4,052	3,495	3,347	3,300	4,741	40,750
<b>DISBURSEMENTS:</b>													
School Aid	124	509	251	127	158	134	282	154	244	97	138	237	2,455
Higher Education	0	0	0	0	1	1	0	0	0	0	0	0	2
All Other Education	49	146	80	29	41	35	63	117	63	44	53	57	777
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	1,672	1,983	2,000	1,707	2,118	1,842	2,482	1,643	2,091	2,155	2,081	2,166	23,940
Public Health	64	143	112	163	151	103	158	115	117	135	107	275	1,643
Mental Hygiene	11	17	8	12	13	8	21	10	15	15	11	11	152
Children and Families	2	3	156	5	141	172	9	53	20	175	33	219	988
Temporary & Disability Assistance	18	20	320	284	549	267	514	362	179	339	359	204	3,415
Transportation	2	1	2	6	2	2	1	2	3	3	6	4	34
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	3	40	65	133	112	184	(38)	95	322	222	280	152	1,570
<b>Total Local Assistance Grants</b>	1,945	2,862	2,994	2,466	3,286	2,748	3,432	2,551	3,054	3,185	3,068	3,325	34,976
Personal Service	41	47	45	42	67	46	55	47	50	66	44	59	609
Non-Personal Service	8	60	66	44	77	125	103	54	46	71	96	140	890
<b>Total State Operations</b>	49	107	111	86	144	171	158	101	96	137	140	199	1,499
General State Charges	0	3	39	6	0	37	14	2	51	3	59	25	239
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	1,994	2,972	3,144	2,558	3,430	2,956	3,664	2,654	3,201	3,325	3,267	3,549	36,714
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to other funds	(21)	(510)	(296)	(257)	(347)	(263)	(363)	(571)	(277)	(117)	(261)	(711)	(3,994)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(21)	(510)	(296)	(257)	(347)	(263)	(363)	(571)	(277)	(117)	(261)	(711)	(3,994)
Excess/(Deficiency) of Receipts over Disbursements	(35)	(418)	(384)	(694)	(30)	(195)	(592)	(827)	17	(85)	(228)	481	42
<b>CLOSING BALANCE</b>	(73)	(491)	(875)	(1,811)	(211)	(406)	(998)	(1,711)	(154)	(249)	(477)	4	4

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2013**  
(dollars in millions)

	2012	2013												
	April	May	June	July	August	September	October	November	December	January	February	March	Total	
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	
<b>OPENING BALANCE</b>	428	851	1,081	639	1,126	1,057	388	1,405	1,942	714	1,780	1,879	428	
<b>RECEIPTS:</b>														
Personal Income Tax	1,284	412	962	589	608	987	638	458	1,010	1,892	613	604	10,057	
User Taxes and Fees	195	208	279	212	211	287	212	207	287	232	200	277	2,807	
Other Taxes	69	52	41	57	55	46	51	42	59	89	48	30	639	
Total Taxes	1,548	672	1,282	858	874	1,320	901	707	1,356	2,213	861	911	13,503	
Miscellaneous Receipts	18	62	81	20	97	119	73	53	55	88	126	121	913	
Federal Grants	0	0	0	2	38	0	0	0	0	2	36	1	79	
TOTAL RECEIPTS	1,566	734	1,363	880	1,009	1,439	974	760	1,411	2,303	1,023	1,033	14,495	
<b>DISBURSEMENTS:</b>														
State Operations	1	2	4	5	2	3	1	1	14	0	3	8	44	
Debt Service	176	387	420	195	374	769	102	206	1,070	93	792	1,554	6,138	
TOTAL DISBURSEMENTS	177	389	424	200	376	772	103	207	1,084	93	795	1,562	6,182	
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	615	560	303	790	451	272	852	604	324	536	601	412	6,320	
Transfers to other funds	(1,581)	(675)	(1,684)	(983)	(1,153)	(1,608)	(706)	(620)	(1,879)	(1,680)	(730)	(1,381)	(14,680)	
NET OTHER FINANCING SOURCES/(USES)	(966)	(115)	(1,381)	(193)	(702)	(1,336)	146	(16)	(1,555)	(1,144)	(129)	(969)	(8,360)	
Excess/(Deficiency) of Receipts over Disbursements	423	230	(442)	487	(69)	(669)	1,017	537	(1,228)	1,066	99	(1,498)	(47)	
<b>CLOSING BALANCE</b>	851	1,081	639	1,126	1,057	388	1,405	1,942	714	1,780	1,879	381	381	





**CASHFLOW  
GENERAL FUND  
FY 2014  
(dollars in millions)**

	2013		2014										
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	1,610	6,450	3,456	3,597	3,599	2,972	4,684	3,898	2,496	3,845	5,740	5,804	1,610
<b>RECEIPTS:</b>													
Personal Income Tax	4,940	1,598	2,477	1,961	1,731	2,796	1,869	1,248	2,919	3,065	2,006	1,878	28,488
User Taxes and Fees	380	484	628	506	492	657	482	483	662	582	460	618	6,548
Business Taxes	337	89	1,001	1,499	55	1,158	132	113	1,198	142	89	1,912	6,375
Other Taxes	95	89	90	89	89	90	89	89	89	89	89	81	1,069
Total Taxes	5,852	2,260	4,196	2,705	2,372	4,701	2,582	1,933	4,868	3,878	2,644	4,489	42,480
Abandoned Property	0	15	45	15	15	70	5	130	25	25	70	235	650
ABC License Fee	6	6	4	4	5	5	4	4	5	4	5	4	56
Investment Income	0	0	1	1	1	0	0	1	0	0	0	1	5
Licenses, Fees, etc.	43	40	75	45	40	85	45	40	80	55	45	88	681
Motor vehicle fees	0	0	0	0	0	0	0	0	0	0	0	13	26
Reimbursements	8	5	35	5	5	45	15	35	35	15	20	29	232
Other Transactions	32	14	164	43	271	313	39	14	45	35	15	461	1,446
Total Miscellaneous Receipts	89	80	324	113	337	518	108	204	190	134	168	831	3,086
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	2	2
PIT in Excess of Revenue Bond Debt Service	1,647	356	967	443	221	1,116	393	183	1,047	1,069	368	1,030	8,840
Tax in Excess of LCAC/Sales Tax Debt Service	425	258	738	438	382	594	425	424	595	509	204	448	5,440
Real Estate Taxes in Excess of CWCA Debt Service	50	56	49	47	50	53	51	36	38	39	37	26	532
All Other	35	23	26	10	46	46	34	0	29	40	71	552	866
Total Transfers from Other Funds	2,157	693	1,780	938	663	1,809	903	643	1,709	1,657	680	2,056	15,678
<b>TOTAL RECEIPTS</b>	8,098	3,033	6,300	3,756	3,362	7,028	3,593	2,780	6,767	5,669	3,492	7,378	61,256
<b>DISBURSEMENTS:</b>													
School Aid	187	2,560	1,950	70	550	1,470	685	1,200	1,620	280	495	6,223	17,290
Higher Education	18	9	727	9	364	181	355	33	221	55	347	473	2,792
All Other Education	24	303	65	159	111	278	72	33	230	106	180	458	2,019
Medicaid - DOH	996	1,073	914	1,080	1,157	874	882	1,041	893	806	813	696	11,225
Public Health	23	40	72	32	107	82	42	46	61	46	42	127	720
Mental Hygiene	2	0	233	1	1	245	147	1	235	101	121	254	1,341
Children and Families	62	72	229	72	72	231	78	78	125	189	73	319	1,600
Temporary & Disability Assistance	152	105	105	137	105	137	105	105	105	105	41	190	1,392
Transportation	0	24	0	0	24	0	0	24	15	0	10	1	98
Unrestricted Aid	0	12	388	5	0	96	7	1	188	0	0	67	764
All Other	7	(20)	219	57	36	46	(6)	27	40	197	210	204	1,017
Total Local Assistance Grants	1,471	4,178	4,902	1,622	2,527	3,640	2,367	2,589	3,733	1,885	2,332	9,012	40,258
Personal Service	443	506	427	575	433	430	504	427	553	431	427	525	5,681
Non-Personal Service	122	177	175	144	153	151	145	153	117	127	120	299	1,883
Total State Operations	565	683	602	719	586	581	649	580	670	558	547	824	7,564
General State Charges	440	569	133	571	540	195	512	538	49	662	286	458	4,953
Debt Service	358	0	(11)	392	(4)	(102)	476	0	(22)	385	(18)	(126)	1,328
Capital Projects	74	87	84	77	84	123	45	55	84	84	84	346	1,227
State Share Medicaid	40	156	141	167	159	168	159	172	165	164	176	146	1,813
SUNY Operations	210	210	210	182	0	0	0	160	0	0	0	0	971
Other Purposes	100	144	98	24	97	711	171	88	739	36	21	814	3,043
Total Transfers to Other Funds	782	597	522	842	336	900	851	475	966	669	263	1,179	8,382
<b>TOTAL DISBURSEMENTS</b>	3,258	6,027	6,159	3,754	3,989	5,316	4,379	4,182	5,418	3,774	3,428	11,473	61,157
Excess/(Deficiency) of Receipts over Disbursements	4,840	(2,994)	141	2	(627)	1,712	(786)	(1,402)	1,349	1,895	64	(4,095)	99
<b>CLOSING BALANCE</b>	6,450	3,456	3,597	3,599	2,972	4,684	3,898	2,496	3,845	5,740	5,804	1,709	1,610





**CASHFLOW**  
**CAPITAL PROJECTS STATE FUNDS**  
**FY 2014**  
(dollars in millions)

	2013	2014	2013	2014	2013	2014	2013	2014	2013	2014	2013	2014	2013	2014	2013	2014	2013	2014									
	April	May	June	July	August	September	October	November	December	January	February	March	Total	April	May	June	July	August	September	October	November	December	January	February	March	Total	
	Projected																										
<b>OPENING BALANCE</b>	(293)	(442)	(471)	(561)	(592)	(607)	(722)	(749)	(850)	(870)	(870)	(852)	(293)	(293)	(442)	(471)	(561)	(592)	(607)	(722)	(749)	(850)	(870)	(870)	(852)	(293)	
<b>RECEIPTS:</b>																											
User Taxes and Fees	48	46	61	48	45	66	46	44	64	46	41	52	607	48	46	61	48	45	66	46	44	64	46	41	52	607	
Business Taxes	47	55	61	57	56	62	51	56	66	54	53	57	675	47	55	61	57	56	62	51	56	66	54	53	57	675	
Other Taxes	0	0	0	12	12	12	12	12	12	12	12	10	118	0	0	0	12	12	12	12	12	12	12	10	10	118	
Total Taxes	95	101	134	117	113	140	109	112	142	112	106	119	1,400	95	101	134	117	113	140	109	112	142	112	106	119	1,400	
Miscellaneous Receipts	168	269	279	387	363	352	321	340	324	308	281	819	4,211	168	269	279	387	363	352	321	340	324	308	281	819	4,211	
Federal Grants	0	0	0	0	0	2	0	0	0	0	0	3	5	0	0	0	0	0	2	0	0	0	0	0	3	5	
<b>TOTAL RECEIPTS</b>	263	370	413	504	476	494	430	452	466	420	387	941	5,616	263	370	413	504	476	494	430	452	466	420	387	941	5,616	
<b>DISBURSEMENTS:</b>																											
Local Assistance Grants	62	87	88	95	89	93	90	149	93	85	89	362	1,382	62	87	88	95	89	93	90	149	93	85	89	362	1,382	
Total Local Assistance Grants	62	87	88	95	89	93	90	149	93	85	89	362	1,382	62	87	88	95	89	93	90	149	93	85	89	362	1,382	
Economic Development	12	5	8	7	5	8	4	17	12	4	11	0	93	12	5	8	7	5	8	4	17	12	4	11	0	93	
Parks & the Environment	24	46	41	38	38	35	48	34	33	31	35	69	472	24	46	41	38	38	35	48	34	33	31	35	69	472	
Transportation	239	146	211	259	181	234	181	181	250	158	146	185	2,371	239	146	211	259	181	234	181	181	250	158	146	185	2,371	
Health & Social Welfare	2	2	3	3	3	3	3	3	3	3	2	4	34	2	2	3	3	3	3	3	3	3	3	2	4	34	
Mental Hygiene	6	11	10	8	10	11	7	7	9	11	10	25	125	6	11	10	8	10	11	7	7	9	11	10	25	125	
Public Protection	25	27	27	27	27	27	27	27	27	27	27	21	316	25	27	27	27	27	27	27	27	27	27	27	21	316	
Education	66	72	108	133	128	88	100	95	94	81	91	139	1,195	66	72	108	133	128	88	100	95	94	81	91	139	1,195	
All Other	8	9	10	13	13	14	17	14	15	23	20	12	168	8	9	10	13	13	14	17	14	15	23	20	12	168	
Total Capital Projects	382	318	418	488	405	420	387	378	443	338	342	455	4,774	382	318	418	488	405	420	387	378	443	338	342	455	4,774	
<b>TOTAL DISBURSEMENTS</b>	444	405	506	583	494	513	477	527	536	423	431	817	6,156	444	405	506	583	494	513	477	527	536	423	431	817	6,156	
<b>OTHER FINANCING SOURCES (uses):</b>																											
Transfers from other funds	123	92	89	134	89	128	106	60	136	89	89	736	1,871	123	92	89	134	89	128	106	60	136	89	89	736	1,871	
Transfers to other funds	(95)	(90)	(90)	(90)	(90)	(228)	(90)	(90)	(90)	(90)	(31)	(419)	(1,493)	(95)	(90)	(90)	(90)	(90)	(228)	(90)	(90)	(90)	(90)	(31)	(419)	(1,493)	
Bond and note proceeds	4	4	4	4	4	4	4	4	4	4	4	294	338	4	4	4	4	4	4	4	4	4	4	4	294	338	
<b>NET OTHER FINANCING SOURCES/(USES)</b>	32	6	3	48	3	(96)	20	(26)	50	3	62	611	716	32	6	3	48	3	(96)	20	(26)	50	3	62	611	716	
Excess/(Deficiency) of Receipts over Disbursements	(149)	(29)	(90)	(31)	(15)	(115)	(27)	(101)	(20)	0	18	735	176	(149)	(29)	(90)	(31)	(15)	(115)	(27)	(101)	(20)	0	18	735	176	
<b>CLOSING BALANCE</b>	(442)	(471)	(561)	(592)	(607)	(722)	(749)	(850)	(870)	(870)	(852)	(117)	(117)	(442)	(471)	(561)	(592)	(607)	(722)	(749)	(850)	(870)	(870)	(852)	(117)	(117)	

**CASHFLOW  
CAPITAL PROJECTS FUNDS FEDERAL FUNDS  
FY 2014  
(dollars in millions)**

	2013	2014	2013	2014	2013	2014	2013	2014	2013	2014	2013	2014	2013	2014	2013	2014	2013	2014	2013	2014
	Projected																			
<b>OPENING BALANCE</b>	(192)	(147)	(101)	(78)	(32)	4	9	55	101	116	159	202	(192)							
<b>RECEIPTS:</b>																				
User Taxes and Fees	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Grants	151	151	182	182	213	213	213	213	182	182	151	183	2,216							
<b>TOTAL RECEIPTS</b>	151	151	182	182	213	213	213	213	182	182	151	183	2,216							
<b>DISBURSEMENTS:</b>																				
Local Assistance Grants	33	33	67	44	65	96	55	55	73	44	33	124	722							
Total Local Assistance Grants	33	33	67	44	65	96	55	55	73	44	33	124	722							
Economic Development	0	0	1	1	0	0	1	0	1	1	1	(3)	3							
Parks & the Environment	0	0	0	0	0	1	1	0	2	4	4	3	15							
Transportation	70	70	90	91	110	110	110	110	90	90	70	71	1,082							
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0							
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0							
Public Protection	1	1	1	1	1	1	1	1	1	1	1	6	17							
Education	0	0	0	0	0	0	0	0	0	0	0	0	0							
All Other	2	1	0	(1)	1	0	(1)	1	0	(1)	(1)	0	0							
Total Capital Projects	73	72	92	92	112	112	112	112	94	95	75	77	1,118							
<b>TOTAL DISBURSEMENTS</b>	106	105	159	136	177	208	167	167	167	139	108	201	1,840							
<b>OTHER FINANCING SOURCES (uses):</b>																				
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	(314)	(314)							
Transfers to other funds	0	0	0	0	0	0	0	0	0	0	0	(12)	(12)							
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0							
<b>NET OTHER FINANCING SOURCES/(USES)</b>	0	0	0	0	0	0	0	0	0	0	0	(326)	(326)							
Excess/(Deficiency) of Receipts over Disbursements	45	46	23	46	36	5	46	46	15	43	43	(344)	50							
<b>CLOSING BALANCE</b>	(147)	(101)	(78)	(32)	4	9	55	101	116	159	202	(142)	(142)							

**CASHFLOW  
SPECIAL REVENUE FUNDS  
FY 2014**  
(dollars in millions)

	2013 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2014 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	2,373	2,731	3,201	3,090	2,736	3,300	2,117	2,164	1,966	1,235	1,940	1,684	2,373
<b>RECEIPTS:</b>													
Personal Income Tax	0	0	422	0	0	189	8	42	159	2,588	0	11	3,419
User Taxes and Fees	191	161	184	193	170	183	192	159	188	198	132	144	2,095
Business Taxes	100	58	209	70	49	241	63	63	252	67	57	359	1,588
Other Taxes	122	124	70	95	85	68	101	87	101	160	129	103	1,245
Total Taxes	413	343	885	358	304	681	364	351	700	3,013	318	617	8,347
HCRA	342	423	412	386	378	345	395	310	327	364	386	502	4,550
State University Income	339	185	393	310	439	332	322	217	235	425	502	337	4,267
Lottery	260	314	251	316	253	253	316	251	251	314	251	250	3,280
Medicaid	0	136	60	76	60	60	76	60	60	76	60	61	785
Motor vehicle fees	40	40	43	43	43	43	43	46	36	37	29	38	481
Other Transactions	309	255	267	287	263	277	249	199	308	37	(69)	97	2,479
Total Miscellaneous Receipts	1,290	1,353	1,426	1,418	1,436	1,541	1,401	1,083	1,217	1,253	1,139	1,285	15,842
Federal Grants	2,381	4,357	3,388	3,395	4,057	3,564	4,084	3,774	3,522	4,543	3,881	4,192	45,138
<b>TOTAL RECEIPTS</b>	4,084	6,053	5,699	5,171	5,797	5,786	5,849	5,208	5,439	8,809	5,338	6,094	69,327
<b>DISBURSEMENTS:</b>													
School Aid	151	344	558	134	189	2,104	437	337	427	407	427	535	6,050
Higher Education	0	0	0	0	1	0	0	0	0	1	1	37	40
All Other Education	106	107	74	38	59	48	69	123	54	56	100	123	957
STAR	0	0	422	0	0	189	8	42	159	2,588	0	11	3,419
Medicaid - DOH	2,199	3,035	2,315	2,901	2,388	2,352	2,904	2,332	2,312	2,917	2,379	2,458	30,492
Public Health	110	235	340	206	250	239	209	239	218	234	225	324	2,823
Mental Hygiene	78	69	157	174	73	175	263	63	77	109	81	224	1,639
Children and Families	6	50	100	60	120	59	75	77	103	89	89	143	971
Temporary & Disability Assistance	195	283	283	283	283	293	293	303	303	303	303	387	3,512
Transportation	182	572	438	407	469	277	345	610	732	173	298	185	4,688
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	86	331	283	438	537	637	372	705	637	290	414	261	4,991
Total Local Assistance Grants	3,113	5,026	4,970	4,641	4,369	6,367	4,885	4,831	5,208	7,167	4,317	4,688	59,582
Personal Service	609	638	561	774	580	575	646	556	771	590	570	459	7,329
Non-Personal Service	292	311	380	375	356	427	469	370	439	388	408	400	4,615
Total State Operations	901	949	941	1,149	936	1,002	1,115	926	1,210	978	978	859	11,944
General State Charges	58	59	380	66	57	441	94	63	485	95	329	347	2,454
Capital Projects	0	0	0	0	0	1	1	0	0	0	0	3	5
<b>TOTAL DISBURSEMENTS</b>	4,072	6,034	6,271	5,856	5,362	7,811	6,095	5,820	6,903	8,240	5,624	5,897	73,985
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	464	673	651	534	418	1,026	490	584	1,057	360	290	1,055	7,602
Transfers to other funds	(118)	(222)	(190)	(203)	(289)	(184)	(197)	(170)	(324)	(224)	(260)	(810)	(3,191)
<b>NET OTHER FINANCING SOURCES((USES))</b>	346	451	461	331	129	842	293	414	733	136	30	245	4,411
Excess/(Deficiency) of Receipts over Disbursements	358	470	(111)	(354)	564	(1,183)	47	(198)	(731)	705	(256)	442	(247)
<b>CLOSING BALANCE</b>	2,731	3,201	3,090	2,736	3,300	2,117	2,164	1,966	1,235	1,940	1,684	2,126	2,126

**CASHFLOW  
SPECIAL REVENUE STATE FUNDS  
FY 2014**  
(dollars in millions)

	2013 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2014 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	2,369	3,140	3,210	3,098	3,145	3,308	2,125	2,172	1,974	1,644	1,949	1,694		2,369
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	422	0	0	189	8	42	159	2,588	0	11	0	3,419
User Taxes and Fees	191	161	184	183	170	183	192	159	188	198	132	144	0	2,095
Business Taxes	100	58	209	63	49	241	63	63	252	67	57	359	0	1,588
Other Taxes	122	124	70	95	85	68	101	87	101	160	129	103	0	1,245
Total Taxes	413	343	885	358	304	681	364	351	700	3,013	318	617	0	8,347
HCRAs	342	423	412	386	378	345	385	310	327	364	366	502	0	4,550
State University Income	339	185	393	310	439	563	322	217	322	425	502	337	0	4,267
Lottery	260	314	251	316	253	253	316	251	251	314	251	250	0	3,280
Medicaid	0	136	60	76	60	60	76	60	60	60	60	61	0	785
Motor vehicle fees	40	40	43	43	43	43	43	46	36	37	37	38	0	481
Other Transactions	295	214	253	273	242	263	235	185	294	23	(73)	89	0	2,293
Total Miscellaneous Receipts	1,276	1,312	1,412	1,404	1,415	1,527	1,387	1,069	1,203	1,239	1,135	1,277	0	15,656
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	1	0	1
<b>TOTAL RECEIPTS</b>	1,689	1,655	2,297	1,762	1,719	2,208	1,751	1,420	1,903	4,252	1,453	1,895	0	24,004
<b>DISBURSEMENTS:</b>														
School Aid	0	0	314	0	0	2,012	143	143	143	143	143	141	0	3,182
Higher Education	0	0	0	0	0	0	0	0	0	0	0	32	0	32
All Other Education	3	1	1	1	1	1	1	1	1	0	1	1	0	13
STAR	0	0	422	0	0	189	8	42	159	2,588	0	11	0	3,419
Medicaid - DOH	238	584	354	450	427	391	453	371	391	466	418	501	0	5,004
Public Health	17	119	234	93	135	116	92	123	103	98	103	158	0	1,387
Mental Hygiene	60	53	146	162	58	164	154	48	249	91	66	242	0	1,493
Children and Families	0	0	0	0	0	1	1	1	0	0	0	(1)	0	3
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	180	569	435	404	466	274	342	605	729	170	295	179	0	4,646
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	10	64	32	39	63	44	42	47	38	(130)	(127)	3	0	125
Total Local Assistance Grants	508	1,390	1,938	1,149	1,150	3,192	1,236	1,381	1,773	3,426	896	1,267	0	19,306
Personal Service	558	591	511	711	529	528	600	509	696	531	521	391	0	6,676
Non-Personal Service	253	246	316	313	286	302	344	297	348	288	305	246	0	3,544
Total State Operations	811	837	827	1,024	815	830	944	806	1,044	819	826	637	0	10,220
General State Charges	52	47	309	63	47	379	83	54	417	91	313	281	0	2,136
Capital Projects	0	0	0	0	0	1	1	0	0	0	0	3	0	5
<b>TOTAL DISBURSEMENTS</b>	1,371	2,274	3,074	2,236	2,012	4,402	2,264	2,241	3,234	4,336	2,035	2,188	0	31,667
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	532	717	695	578	462	1,070	655	628	1,102	434	363	1,180	(815)	7,601
Transfers to other funds	(79)	(28)	(30)	(57)	(6)	(59)	(95)	(5)	(101)	(45)	(36)	(460)	815	(186)
NET OTHER FINANCING SOURCES/(USES)	453	689	665	521	456	1,011	560	623	1,001	389	327	720	0	7,415
Excess/(Deficiency) of Receipts over Disbursements	771	70	(112)	47	163	(1,183)	47	(198)	(330)	305	(255)	427	0	(248)
<b>CLOSING BALANCE</b>	3,140	3,210	3,098	3,145	3,308	2,125	2,172	1,974	1,644	1,949	1,694	2,121	0	2,121

**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**FY 2014**  
(dollars in millions)

	2013	2014												Total
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected		
<b>OPENING BALANCE</b>	4	(409)	(9)	(8)	(410)	(9)	(9)	(10)	(10)	(410)	(10)	(11)	4	
<b>RECEIPTS:</b>														
Miscellaneous Receipts	14	41	14	14	21	14	14	14	14	14	4	8	186	
Federal Grants	2,381	4,357	3,388	3,395	4,057	3,564	4,084	3,774	3,522	4,543	3,881	4,191	45,137	
<b>TOTAL RECEIPTS</b>	2,395	4,398	3,402	3,409	4,078	3,578	4,098	3,788	3,536	4,557	3,885	4,199	45,323	
<b>DISBURSEMENTS:</b>														
School Aid	151	344	244	134	189	92	294	194	284	264	284	394	2,868	
Higher Education	0	0	0	0	1	0	0	0	0	0	0	1	8	
All Other Education	103	106	73	37	58	47	68	122	53	56	99	122	944	
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0	
Medicaid - DOH	1,961	2,451	1,961	2,451	1,961	1,961	2,451	1,961	1,961	2,451	1,961	1,957	25,488	
Public Health	93	116	106	113	115	117	117	116	115	136	126	166	1,436	
Mental Hygiene	18	16	11	12	15	11	19	15	14	18	15	15	146	
Children and Families	6	50	100	60	120	58	74	76	103	89	88	144	968	
Temporary & Disability Assistance	195	283	283	283	283	293	293	303	303	303	303	387	3,512	
Transportation	2	3	3	3	3	3	3	5	3	3	3	6	40	
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	
All Other	76	267	251	399	474	593	330	658	599	420	541	258	4,866	
<b>Total Local Assistance Grants</b>	2,605	3,636	3,032	3,492	3,219	3,175	3,649	3,450	3,435	3,741	3,421	3,421	40,276	
Personal Service	51	47	50	63	51	47	46	47	75	59	49	68	653	
Non-Personal Service	39	65	64	62	70	125	125	73	91	100	103	154	1,071	
<b>Total State Operations</b>	90	112	114	125	121	172	171	120	166	159	152	222	1,724	
General State Charges	6	12	51	3	10	62	11	9	68	4	16	66	318	
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	
<b>TOTAL DISBURSEMENTS</b>	2,701	3,760	3,197	3,620	3,350	3,409	3,831	3,579	3,669	3,904	3,589	3,709	42,318	
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	1	1	
Transfers to other funds	(107)	(238)	(204)	(191)	(327)	(169)	(268)	(209)	(267)	(253)	(297)	(475)	(3,005)	
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(107)	(238)	(204)	(191)	(327)	(169)	(268)	(209)	(267)	(253)	(297)	(474)	(3,004)	
Excess/(Deficiency) of Receipts over Disbursements	(413)	400	1	(402)	401	0	(1)	0	(400)	400	(1)	16	1	
<b>CLOSING BALANCE</b>	(409)	(9)	(8)	(410)	(9)	(9)	(10)	(10)	(410)	(10)	(11)	5	5	

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2014**  
(dollars in millions)

	2013		2014		Total								
	April Projected	May Projected	June Projected	July Projected		August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected
<b>OPENING BALANCE</b>	381	637	913	382	1,004	1,288	388	1,070	1,257	474	1,738	1,899	381
<b>RECEIPTS:</b>													
Personal Income Tax	1,647	533	966	654	577	995	626	430	1,026	1,884	669	629	10,636
User Taxes and Fees	428	429	570	439	440	595	433	432	604	517	418	562	5,867
Other Taxes	57	63	56	54	57	61	58	43	45	46	44	37	621
Total Taxes	2,132	1,025	1,592	1,147	1,074	1,651	1,117	905	1,675	2,447	1,131	1,228	17,124
Miscellaneous Receipts	38	41	52	44	39	30	32	23	44	38	42	49	472
Federal Grants	0	0	0	0	2	34	0	0	0	0	2	34	72
<b>TOTAL RECEIPTS</b>	2,170	1,066	1,644	1,191	1,115	1,715	1,149	928	1,719	2,485	1,175	1,311	17,668
<b>DISBURSEMENTS:</b>													
State Operations	1	5	2	0	3	3	0	6	8	0	4	8	40
Debt Service	162	231	441	91	378	950	100	179	971	91	500	1,649	5,743
<b>TOTAL DISBURSEMENTS</b>	163	236	443	91	381	953	100	185	979	91	504	1,657	5,783
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	489	285	241	619	372	270	670	255	325	655	197	512	4,890
Transfers to other funds	(2,240)	(839)	(1,973)	(1,097)	(822)	(1,932)	(1,037)	(811)	(1,848)	(1,785)	(707)	(1,625)	(16,716)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(1,751)	(554)	(1,732)	(478)	(450)	(1,662)	(367)	(556)	(1,523)	(1,130)	(510)	(1,113)	(11,826)
Excess/(Deficiency) of Receipts over Disbursements	256	276	(531)	622	284	(900)	682	187	(783)	1,264	161	(1,459)	59
<b>CLOSING BALANCE</b>	637	913	382	1,004	1,288	388	1,070	1,257	474	1,738	1,899	440	440

**CASHFLOW**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2014**  
(dollars in millions)

	2013		2014											
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total	
<b>OPENING BALANCE</b>	3,879	9,229	6,998	6,430	6,715	6,957	6,476	6,438	4,970	4,800	8,707	8,737	3,879	
<b>RECEIPTS:</b>														
Personal Income Tax	6,587	2,131	3,865	2,615	2,308	3,980	2,503	1,720	4,104	7,537	2,675	2,518	42,543	
User Taxes and Fees	1,147	1,120	1,443	1,186	1,151	1,501	1,163	1,118	1,518	1,343	1,051	1,376	15,117	
Business Taxes	484	202	1,271	1,461	1,461	202	246	232	1,516	263	199	2,328	8,638	
Other Taxes	274	276	228	250	244	231	260	231	247	307	274	231	3,053	
Total Taxes	8,492	3,729	6,807	4,327	3,863	7,173	4,172	3,301	7,385	9,450	4,199	6,453	69,351	
Abandoned Property	0	15	45	15	15	70	5	130	25	25	70	235	650	
ABC License Fee	6	6	4	4	5	5	4	4	5	4	5	4	56	
HCR	342	423	412	386	378	345	395	310	327	364	366	502	4,550	
Investment Income	0	0	1	1	1	0	0	1	0	0	0	1	5	
Licenses, Fees, etc.	43	40	75	45	40	85	45	40	80	55	45	88	681	
Lottery	260	314	251	316	253	253	316	251	251	314	251	250	3,280	
Medicaid	0	136	60	76	60	60	76	60	60	76	60	61	785	
Motor vehicle fees	40	40	43	43	43	43	43	46	36	37	42	51	507	
Reimbursements	8	5	35	5	5	45	15	15	35	15	20	29	232	
State University Income	339	185	393	310	439	563	322	217	235	425	502	337	4,267	
Other Transactions	547	579	762	761	936	972	641	576	721	418	269	1,426	8,608	
Total Miscellaneous Receipts	1,585	1,743	2,081	1,962	2,175	2,441	1,862	1,650	1,775	1,733	1,630	2,984	23,621	
Federal Grants	2,532	4,508	3,570	3,577	4,272	3,813	4,297	3,987	3,704	4,725	4,034	4,414	47,433	
<b>TOTAL RECEIPTS</b>	12,609	9,980	12,458	9,866	10,310	13,427	10,331	9,838	12,864	15,908	9,863	13,851	140,405	
<b>DISBURSEMENTS:</b>														
School Aid	338	2,904	2,508	204	739	3,574	1,122	1,537	2,047	687	922	6,758	23,340	
Higher Education	18	9	727	9	365	181	355	33	221	56	348	510	2,832	
All Other Education	130	410	139	197	170	326	141	156	284	162	280	581	2,976	
STAR	0	0	422	0	0	189	0	42	159	2,588	0	11	3,419	
Medicaid - DOH	3,195	4,108	3,229	3,881	3,545	3,226	3,786	3,373	3,205	3,223	3,192	3,154	41,717	
Public Health	133	275	412	238	357	315	279	285	279	280	267	451	3,543	
Mental Hygiene	80	69	390	175	74	420	320	64	498	210	202	478	2,980	
Children and Families	68	122	329	132	192	290	153	155	228	278	162	462	2,571	
Temporary & Disability Assistance	347	388	388	420	388	430	398	408	408	408	344	577	4,904	
Transportation	182	596	438	407	493	277	345	634	747	173	308	186	4,786	
Unrestricted Aid	0	12	388	5	0	96	7	1	188	0	0	67	764	
All Other	188	431	657	634	727	872	511	936	843	616	746	951	8,112	
Total Local Assistance Grants	4,679	9,324	10,027	6,402	7,050	10,196	7,397	7,624	9,107	9,181	6,771	14,186	101,944	
Personal Service	1,052	1,144	988	1,349	1,013	1,005	1,150	983	1,324	1,021	987	984	13,010	
Non-Personal Service	415	493	557	519	512	581	614	529	564	515	532	707	6,538	
Total State Operations	1,467	1,637	1,545	1,868	1,525	1,586	1,764	1,512	1,888	1,536	1,529	1,691	19,548	
General State Charges	498	628	493	637	597	636	606	601	534	757	615	805	7,407	
Debt Service	162	231	441	91	378	950	100	179	971	91	500	1,649	5,743	
Capital Projects	455	390	510	580	517	533	500	490	537	433	417	535	5,897	
<b>TOTAL DISBURSEMENTS</b>	7,261	12,210	13,016	9,578	10,067	13,901	10,367	10,406	13,037	11,998	9,832	18,866	140,539	
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	3,233	1,743	2,761	2,225	1,532	3,233	2,169	1,542	3,227	2,761	1,256	4,045	29,727	
Transfers to other funds	(3,235)	(1,748)	(2,775)	(2,232)	(1,537)	(3,244)	(2,175)	(1,546)	(3,228)	(2,768)	(1,261)	(4,045)	(29,794)	
Bond and note proceeds	4	4	4	4	4	4	4	4	4	4	4	4	338	
NET OTHER FINANCING SOURCES/(USES)	2	(1)	(10)	(3)	(1)	(7)	(2)	0	3	(3)	(1)	294	271	
Excess/(Deficiency) of Receipts over Disbursements	5,350	(2,231)	(668)	285	242	(481)	(38)	(1,468)	(170)	3,907	30	(4,721)	137	
<b>CLOSING BALANCE</b>	9,229	6,998	6,430	6,715	6,957	6,476	6,438	4,970	4,800	8,707	8,737	4,016	4,016	

**CASHFLOW**  
**STATE FUNDS**  
**FY 2014**  
(dollars in millions)

	2013		2014		2014		2014		2014		2014		Total
	April	May	June	July	August	September	October	November	December	January	February	March	
<b>OPENING BALANCE</b>	4,067	9,785	7,108	6,516	7,156	6,961	6,475	6,391	4,877	5,093	8,557	8,545	4,067
<b>RECEIPTS:</b>													
Personal Income Tax	6,867	2,131	3,865	2,615	2,308	3,980	2,503	1,720	4,104	7,537	2,675	2,518	0
User Taxes and Fees	1,147	1,120	1,443	1,186	1,151	1,501	1,163	1,118	1,518	1,343	1,051	1,376	0
Business Taxes	484	202	1,271	276	1,461	246	246	232	1,516	263	199	2,328	0
Other Taxes	274	276	228	250	244	231	280	231	247	307	274	231	0
Total Taxes	8,492	3,729	6,807	4,327	3,863	7,173	4,172	3,301	7,385	9,450	4,199	6,453	0
Abandoned Property	0	15	45	15	15	70	5	130	25	25	70	235	0
ABC License Fee	6	6	4	4	5	5	4	4	5	4	5	4	0
HCRA	342	423	412	386	378	345	395	310	327	364	366	502	0
Investment Income	0	0	1	0	0	0	0	0	0	0	0	1	0
Licenses, Fees, etc.	43	40	75	45	40	85	45	40	80	55	45	88	0
Lottery	260	314	251	316	253	253	316	251	251	314	251	250	0
Medicaid	0	136	60	76	60	60	76	60	60	76	60	61	0
Motor vehicle fees	40	40	43	43	43	43	43	46	36	37	42	51	0
Reimbursements	8	5	35	5	5	45	15	15	35	15	20	29	0
State University Income	339	185	393	310	439	563	322	217	235	425	217	337	0
Other Transactions	533	538	748	747	915	958	848	562	707	404	265	1,418	0
Total Miscellaneous Receipts	1,571	1,702	2,067	1,948	2,154	2,427	1,848	1,636	1,761	1,719	1,626	2,976	0
Federal Grants	0	0	0	0	2	36	0	0	0	0	2	40	0
<b>TOTAL RECEIPTS</b>	10,063	5,431	8,874	6,275	6,019	9,636	6,020	4,937	9,146	11,169	5,827	9,469	0
<b>DISBURSEMENTS:</b>													
School Aid	187	2,560	2,264	70	550	3,482	828	1,343	1,763	423	638	6,364	0
Higher Education	18	9	727	9	364	181	355	33	221	55	347	505	0
All Other Education	27	304	66	160	112	279	73	34	231	106	181	459	0
STAR	0	0	422	0	0	189	8	42	159	2,588	0	11	0
Medicaid - DOH	1,234	1,657	1,268	1,530	1,584	1,265	1,335	1,412	1,244	1,272	1,231	1,187	0
Public Health	60	159	306	125	242	198	134	169	164	144	141	285	0
Mental Hygiene	62	53	379	163	59	409	301	49	484	192	187	486	0
Children and Families	62	72	229	72	72	232	79	79	125	189	74	318	0
Temporary & Disability Assistance	152	105	105	137	105	137	105	105	105	105	41	190	0
Transportation	180	593	404	404	490	274	342	629	744	170	305	180	0
Unrestricted Aid	0	12	388	5	0	96	7	1	188	0	0	67	0
All Other	79	131	339	191	188	183	126	223	171	152	172	569	0
Total Local Assistance Grants	2,041	5,655	6,928	2,866	3,766	6,925	3,693	4,119	5,599	5,396	3,317	10,641	0
Personal Service	1,001	1,097	938	1,286	962	958	1,104	936	1,249	962	948	916	0
Non-Personal Service	376	428	493	457	442	456	489	456	473	415	429	553	0
Total State Operations	1,377	1,525	1,431	1,743	1,404	1,414	1,593	1,392	1,722	1,377	1,377	1,469	0
General State Charges	492	616	442	634	587	574	595	592	466	753	599	739	0
Debt Service	162	231	441	91	378	950	100	179	971	91	500	1,649	0
Capital Projects	382	318	418	488	405	421	388	378	443	338	342	458	0
<b>TOTAL DISBURSEMENTS</b>	4,454	8,345	9,660	5,822	6,540	10,284	6,369	6,660	9,201	7,955	6,135	14,956	0
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	3,301	1,787	2,805	2,269	1,576	3,277	2,334	1,586	3,272	2,835	1,329	4,484	(815)
Transfers to other funds	(3,196)	(1,554)	(2,615)	(2,086)	(1,254)	(3,119)	(2,073)	(1,381)	(3,006)	(2,589)	(1,037)	(3,683)	815
Bond and note proceeds	4	4	4	4	4	4	4	4	4	4	4	284	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	109	237	194	187	326	162	265	209	271	250	296	1,095	0
Excess/(Deficiency) of Receipts over Disbursements	5,718	(2,677)	(592)	640	(195)	(486)	(84)	(1,514)	216	3,464	(12)	(4,392)	0
<b>CLOSING BALANCE</b>	9,785	7,108	6,516	7,156	6,961	6,475	6,391	4,877	5,093	8,557	8,545	4,153	4,153

**CASH FINANCIAL PLAN  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2014  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Opening fund balance</b>	<u>3</u>	<u>15</u>	<u>18</u>
<b>Receipts:</b>			
Taxes	1,094	(34)	1,060
Miscellaneous receipts	4,550	0	4,550
<b>Total receipts</b>	<u>5,644</u>	<u>(34)</u>	<u>5,610</u>
<b>Disbursements:</b>			
Medical Assistance Account	3,529	(85)	3,444
HCRA Program Account	392	46	438
Hospital Indigent Care Fund	817	(41)	776
Elderly Pharmaceutical Insurance Coverage (EPIC)	209	(26)	183
Child Health Plus (CHP)	381	5	386
Public Health	0	29	29
All Other	316	56	372
<b>Total disbursements</b>	<u>5,644</u>	<u>(16)</u>	<u>5,628</u>
<b>Change in fund balance</b>	<u>(3)</u>	<u>(15)</u>	<u>(18)</u>
<b>Closing fund balance</b>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2014 THROUGH FY 2017  
(millions of dollars)**

	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>Opening fund balance</b>	<u>18</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Receipts:</b>				
Taxes	1,060	1,041	1,018	995
Miscellaneous receipts	<u>4,550</u>	<u>4,813</u>	<u>4,931</u>	<u>5,054</u>
<b>Total receipts</b>	<u>5,610</u>	<u>5,854</u>	<u>5,949</u>	<u>6,049</u>
<b>Disbursements:</b>				
Medical Assistance Account	3,444	3,812	3,878	4,040
HCRA Program Account	438	444	460	460
Hospital Indigent Care Fund	776	792	792	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	183	220	250	271
Child Health Plus (CHP)	386	453	385	312
Public Health	29	0	0	0
All Other	<u>372</u>	<u>133</u>	<u>184</u>	<u>174</u>
<b>Total disbursements</b>	<u>5,628</u>	<u>5,854</u>	<u>5,949</u>	<u>6,049</u>
<b>Change in fund balance</b>	<u>(18)</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Closing fund balance</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

*Note: Statutory authorization for HCRA expires on March 31, 2014.*

**CASH FINANCIAL PLAN  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2013 and FY 2014  
(millions of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>Annual Change</u>
<b>Opening fund balance</b>	<u>3</u>	<u>18</u>	<u>15</u>
<b>Receipts:</b>			
Taxes	1,108	1,060	(48)
Miscellaneous receipts	<u>4,228</u>	<u>4,550</u>	<u>322</u>
	<u>5,336</u>	<u>5,610</u>	<u>274</u>
<b>Disbursements:</b>			
Medical Assistance Account	3,219	3,444	225
HCRA Program Account	459	438	(21)
Hospital Indigent Care Fund	777	776	(1)
Elderly Pharmaceutical Insurance Coverage (EPIC)	105	183	78
Child Health Plus (CHP)	372	386	14
Public Health	128	29	(99)
All Other	<u>261</u>	<u>372</u>	<u>111</u>
<b>Total disbursements</b>	<u>5,321</u>	<u>5,628</u>	<u>307</u>
<b>Change in fund balance</b>	<u>15</u>	<u>(18)</u>	<u>(33)</u>
<b>Closing fund balance</b>	<u>18</u>	<u>0</u>	<u>(18)</u>

**CASH FLOW**  
**HEALTH CARE REFORM/ACT RESOURCES FUND**  
**FY 2013**  
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
<b>Opening fund balance</b>	3	152	234	390	322	302	333	373	301	315	161	215	3
<b>Receipts:</b>													
Taxes	96	99	97	106	103	86	102	87	92	97	63	80	1,108
Miscellaneous receipts	325	401	385	365	358	342	385	302	317	345	336	367	4,228
<b>Total receipts</b>	421	500	482	471	461	428	487	389	409	442	399	447	5,336
<b>Disbursements:</b>													
Medical Assistance Account	202	315	198	269	286	273	282	285	281	400	184	244	3,219
HCRA Program Account	0	5	9	134	47	28	8	50	6	40	40	92	459
Hospital Indigent Care Fund	69	65	64	64	62	63	63	63	35	94	65	70	777
Elderly Pharmaceutical Insurance Coverage (EPIC)	0	5	6	10	11	3	14	8	6	6	12	24	105
Child Health Plus (CHP)	0	19	17	49	33	9	5	29	26	23	5	127	372
Public Health	0	9	15	11	15	10	14	9	9	11	7	18	128
All Other	1	0	17	2	27	11	31	17	32	22	32	69	261
<b>Total disbursements</b>	272	418	326	539	481	397	447	461	395	596	345	644	5,321
<b>Change in fund balance</b>	149	82	156	(68)	(20)	31	40	(72)	14	(154)	54	(197)	15
<b>Closing fund balance</b>	152	234	390	322	302	333	373	301	315	161	215	18	18

**CASH FLOW**  
**HEALTH CARE REFORM/ACT RESOURCES FUND**  
**FY 2014**  
(dollars in millions)

	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
<b>Opening fund balance</b>	18	123	102	102	102	102	103	104	104	104	105	104	18
<b>Receipts:</b>													
Taxes	90	92	90	103	100	81	98	84	88	95	62	77	1,060
Miscellaneous receipts	342	423	412	386	378	345	395	310	327	364	366	502	4,550
<b>Total receipts</b>	374	515	502	489	478	426	493	394	415	459	428	579	5,610
<b>Disbursements:</b>													
Medical Assistance Account	174	384	229	310	302	266	313	246	226	326	293	375	3,444
HCRA Program Account	2	30	151	4	50	26	2	33	22	11	30	77	438
Hospital Indigent Care Fund	65	65	65	65	65	65	65	65	65	65	65	61	776
Elderly Pharmaceutical Insurance Coverage (EPIC)	7	13	14	16	17	17	20	19	18	18	8	16	183
Child Health Plus (CHP)	21	37	30	37	30	38	29	30	30	37	30	37	386
Public Health	7	6	6	3	5	2	0	0	0	0	0	0	29
All Other	51	1	7	54	9	11	63	1	54	1	3	117	372
<b>Total disbursements</b>	327	536	502	489	478	425	492	394	415	458	429	683	5,628
<b>Change in fund balance</b>	105	(21)	0	0	0	1	1	0	0	1	(1)	(104)	(18)
<b>Closing fund balance</b>	123	102	102	102	102	103	104	104	104	105	104	0	0

**CASH FINANCIAL PLAN  
PROPRIETARY AND FIDUCIARY FUNDS**  
(millions of dollars)

	FY 2013			FY 2014			FY 2015			FY 2016			FY 2017		
	Internal Service	Enterprise	Fiduciary												
<b>Opening Fund Balance</b>	42	97	(86)	(6)	84	(86)	(120)	87	(85)	(225)	88	(84)	(356)	88	(83)
<b>Receipts:</b>															
Unemployment Taxes	0	3,369	0	0	2,481	0	0	2,472	0	2,472	0	2,472	0	2,472	0
Miscellaneous Receipts	474	145	0	1,127	160	1	1,133	153	1	1,098	140	1	1,099	140	1
Federal Receipts	0	3,144	0	0	1,000	0	0	1,000	0	0	1,000	0	0	1,000	0
<b>Total receipts</b>	<b>474</b>	<b>6,658</b>	<b>0</b>	<b>1,127</b>	<b>3,641</b>	<b>1</b>	<b>1,133</b>	<b>3,625</b>	<b>1</b>	<b>1,098</b>	<b>3,612</b>	<b>1</b>	<b>1,099</b>	<b>3,612</b>	<b>1</b>
<b>Disbursements:</b>															
Departmental Operations:															
Personal Service	101	6	0	139	6	0	142	6	0	145	7	0	146	7	0
Non-Personal Service	375	152	0	1,092	149	0	1,065	143	0	1,058	130	0	1,068	130	0
Unemployment Benefits	0	6,512	0	0	3,481	0	0	3,472	0	0	3,472	0	0	3,472	0
General State Charges	50	1	0	76	2	0	79	3	0	85	3	0	88	3	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>526</b>	<b>6,671</b>	<b>0</b>	<b>1,307</b>	<b>3,638</b>	<b>0</b>	<b>1,286</b>	<b>3,624</b>	<b>0</b>	<b>1,288</b>	<b>3,612</b>	<b>0</b>	<b>1,302</b>	<b>3,612</b>	<b>0</b>
<b>Other Financing Sources (Uses):</b>															
Transfers from Other Funds	93	0	0	123	0	0	97	0	0	89	0	0	93	0	0
Transfers to Other Funds	(89)	0	0	(57)	0	0	(49)	0	0	(30)	0	0	(29)	0	0
<b>Other Financing Sources (Uses)</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>66</b>	<b>0</b>	<b>0</b>	<b>48</b>	<b>0</b>	<b>0</b>	<b>59</b>	<b>0</b>	<b>0</b>	<b>64</b>	<b>0</b>	<b>0</b>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<b>(48)</b>	<b>(13)</b>	<b>0</b>	<b>(114)</b>	<b>3</b>	<b>1</b>	<b>(105)</b>	<b>1</b>	<b>1</b>	<b>(131)</b>	<b>0</b>	<b>1</b>	<b>(139)</b>	<b>0</b>	<b>1</b>
<b>Closing Fund Balance</b>	<b>(6)</b>	<b>84</b>	<b>(86)</b>	<b>(120)</b>	<b>87</b>	<b>(85)</b>	<b>(225)</b>	<b>88</b>	<b>(84)</b>	<b>(356)</b>	<b>88</b>	<b>(83)</b>	<b>(495)</b>	<b>88</b>	<b>(82)</b>

# Workforce Impact Summary

## General Fund 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,642	2,641	2,422
Corrections and Community Supervision, Department of	29,041	29,117	28,516
Education Department, State	271	265	279
Environmental Conservation, Department of	1,119	1,069	1,064
General Services, Office of	776	782	843
Health - MA Administration	0	0	420
Health, Department of	1,678	1,603	1,110
Information Technology Services, Office of	131	1,744	1,860
Labor, Department of	0	0	1
Parks, Recreation and Historic Preservation, Office of	1,461	1,420	1,419
State Police, Division of	4,822	5,142	5,319
Taxation and Finance, Department of	4,855	4,291	3,678
Temporary and Disability Assistance, Office of	909	900	909
<b>Subtotal - Major Agencies</b>	<b>47,705</b>	<b>48,974</b>	<b>47,840</b>
<b>Minor Agencies</b>	<b>3,037</b>	<b>2,870</b>	<b>3,192</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>50,742</b>	<b>51,844</b>	<b>51,032</b>
<b>University Systems</b>			
State University of New York	23,720	0	0
<b>Subtotal - University Systems</b>	<b>23,720</b>	<b>0</b>	<b>0</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,281	1,316	1,384
Law, Department of	1,118	1,023	1,066
<b>Subtotal - Independently Elected Agencies</b>	<b>2,399</b>	<b>2,339</b>	<b>2,450</b>
<b>Grand Total</b>	<b>76,861</b>	<b>54,183</b>	<b>53,482</b>

# Workforce Impact Summary

General Fund  
2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Minor Agencies</b>			
Adirondack Park Agency	55	54	54
Aging, Office for the	25	14	19
Agriculture and Markets, Department of	284	363	364
Alcoholism and Substance Abuse Services, Office of	2	2	0
Arts, Council on the	27	25	28
Budget, Division of the	241	245	266
Civil Service, Department of	185	158	169
Correction, Commission of	28	26	29
Criminal Justice Services, Division of	477	380	404
Economic Development, Department of	138	139	149
Elections, State Board of	56	56	58
Employee Relations, Office of	29	26	26
Executive Chamber	127	111	136
Homeland Security and Emergency Services, Division of	26	71	88
Housing and Community Renewal, Division of	194	78	54
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	148	167	124
Inspector General, Office of the	60	62	65
Judicial Commissions	48	0	0
Judicial Conduct, Commission on	0	46	50
Justice Center for the Protection of People with Special Needs	0	0	253
Labor Management Committees	75	63	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	281	237	243
Military and Naval Affairs, Division of	160	149	162
Prevention of Domestic Violence, Office for	13	15	17
Public Employment Relations Board	32	30	33
Public Ethics, Joint Commission on	33	43	48
Quality of Care and Advocacy for Persons With Disabilities, Commission on	33	51	0
State, Department of	144	145	147
Tax Appeals, Division of	25	25	27
Veterans' Affairs, Division of	82	82	90
Welfare Inspector General, Office of	3	1	4
<b>Subtotal - Minor Agencies</b>	<b>3,037</b>	<b>2,870</b>	<b>3,192</b>

# Workforce Impact Summary

## State Operating Funds 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,690	2,681	2,466
Corrections and Community Supervision, Department of	29,041	29,119	28,516
Education Department, State	1,239	1,272	1,318
Environmental Conservation, Department of	2,295	2,224	2,236
Financial Services, Department of	1,335	1,238	1,334
General Services, Office of	836	836	907
Health - MA Administration	0	0	420
Health, Department of	4,000	3,831	3,353
Information Technology Services, Office of	133	2,718	2,845
Labor, Department of	334	412	393
Mental Health, Office of	14,774	14,489	15,169
Motor Vehicles, Department of	774	726	711
Parks, Recreation and Historic Preservation, Office of	1,614	1,601	1,592
People with Developmental Disabilities, Office for	20,286	20,104	18,567
State Police, Division of	5,187	5,222	5,408
Taxation and Finance, Department of	4,910	4,352	4,379
Temporary and Disability Assistance, Office of	955	900	909
Transportation, Department of	156	137	147
Workers' Compensation Board	1,306	1,167	1,220
<b>Subtotal - Major Agencies</b>	<b>91,865</b>	<b>93,029</b>	<b>91,890</b>
<b>Minor Agencies</b>	<b>6,908</b>	<b>6,349</b>	<b>6,856</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>98,773</b>	<b>99,378</b>	<b>98,746</b>
<b>University Systems</b>			
City University of New York	272	273	275
State University Construction Fund	151	0	0
State University of New York	42,792	43,239	43,247
<b>Subtotal - University Systems</b>	<b>43,215</b>	<b>43,512</b>	<b>43,522</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,465	1,491	1,553
Law, Department of	1,473	1,501	1,543
<b>Subtotal - Independently Elected Agencies</b>	<b>2,938</b>	<b>2,992</b>	<b>3,096</b>
<b>Grand Total</b>	<b>144,926</b>	<b>145,882</b>	<b>145,364</b>

# Workforce Impact Summary

## State Operating Funds 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Minor Agencies</b>			
Adirondack Park Agency	55	54	54
Aging, Office for the	26	14	20
Agriculture and Markets, Department of	432	416	417
Alcoholic Beverage Control, Division of	116	115	127
Alcoholism and Substance Abuse Services, Office of	816	765	764
Arts, Council on the	27	25	28
Budget, Division of the	300	268	290
Civil Service, Department of	190	162	174
Correction, Commission of	28	26	29
Criminal Justice Services, Division of	482	383	409
Deferred Compensation Board	4	4	4
Economic Development, Department of	140	141	151
Elections, State Board of	56	56	58
Employee Relations, Office of	29	26	26
Environmental Facilities Corporation	78	0	0
Executive Chamber	127	111	136
Financial Control Board, New York State	14	14	14
Gaming Commission, New York State	318	365	434
Higher Education Services Corporation, New York State	483	422	447
Homeland Security and Emergency Services, Division of	310	263	281
Housing and Community Renewal, Division of	608	556	550
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	148	167	124
Indigent Legal Services, Office of	4	10	10
Inspector General, Office of the	60	62	65
Interest on Lawyer Account	8	8	8
Judicial Commissions	48	0	0
Judicial Conduct, Commission on	0	46	50
Justice Center for the Protection of People with Special Needs	0	0	278
Labor Management Committees	75	63	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	281	237	243
Military and Naval Affairs, Division of	166	154	168
Prevention of Domestic Violence, Office for	13	15	17
Public Employment Relations Board	32	30	33
Public Ethics, Joint Commission on	33	43	48
Public Service Department	445	477	481
Quality of Care and Advocacy for Persons With Disabilities, Commission on	64	74	0
Racing and Wagering Board, State	102	0	0
State, Department of	516	495	517
Statewide Financial System	109	118	145
Tax Appeals, Division of	25	25	27
Veterans' Affairs, Division of	82	82	90
Victim Services, Office of	48	49	50
Welfare Inspector General, Office of	4	2	4
<b>Subtotal - Minor Agencies</b>	<b>6,908</b>	<b>6,349</b>	<b>6,856</b>

# Workforce Impact Summary

State Funds  
2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,697	2,687	2,472
Corrections and Community Supervision, Department of	29,338	29,413	28,845
Education Department, State	1,389	1,413	1,463
Environmental Conservation, Department of	2,674	2,591	2,610
Financial Services, Department of	1,335	1,238	1,334
General Services, Office of	1,298	1,306	1,439
Health - MA Administration	0	0	420
Health, Department of	4,058	3,884	3,409
Information Technology Services, Office of	537	3,383	3,533
Labor, Department of	334	412	393
Mental Health, Office of	14,822	14,538	15,237
Motor Vehicles, Department of	2,360	2,225	2,197
Parks, Recreation and Historic Preservation, Office of	1,724	1,716	1,704
People with Developmental Disabilities, Office for	20,286	20,104	18,567
State Police, Division of	5,187	5,222	5,408
Taxation and Finance, Department of	4,910	4,352	4,379
Temporary and Disability Assistance, Office of	959	900	909
Transportation, Department of	8,909	8,619	8,264
Workers' Compensation Board	1,306	1,167	1,220
<b>Subtotal - Major Agencies</b>	<b>104,123</b>	<b>105,170</b>	<b>103,803</b>
<b>Minor Agencies</b>	<b>7,169</b>	<b>6,553</b>	<b>7,114</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>111,292</b>	<b>111,723</b>	<b>110,917</b>
<b>University Systems</b>			
City University of New York	12,961	13,437	13,024
State University Construction Fund	151	150	152
State University of New York	42,799	43,242	43,247
<b>Subtotal - University Systems</b>	<b>55,911</b>	<b>56,829</b>	<b>56,423</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	2,405	2,471	2,614
Law, Department of	1,479	1,507	1,550
<b>Subtotal - Independently Elected Agencies</b>	<b>3,884</b>	<b>3,978</b>	<b>4,164</b>
<b>Grand Total</b>	<b>171,087</b>	<b>172,530</b>	<b>171,504</b>

# Workforce Impact Summary

State Funds  
2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Minor Agencies</b>			
Adirondack Park Agency	55	54	54
Aging, Office for the	26	14	20
Agriculture and Markets, Department of	478	463	466
Alcoholic Beverage Control, Division of	116	115	127
Alcoholism and Substance Abuse Services, Office of	816	765	764
Arts, Council on the	27	25	28
Budget, Division of the	300	268	290
Civil Service, Department of	386	299	350
Correction, Commission of	28	26	29
Criminal Justice Services, Division of	482	383	409
Deferred Compensation Board	4	4	4
Economic Development, Department of	140	141	151
Elections, State Board of	56	56	58
Employee Relations, Office of	39	38	50
Environmental Facilities Corporation	78	0	0
Executive Chamber	127	111	136
Financial Control Board, New York State	14	14	14
Gaming Commission, New York State	318	365	434
Higher Education Services Corporation, New York State	483	422	447
Homeland Security and Emergency Services, Division of	310	263	281
Housing and Community Renewal, Division of	608	556	550
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	148	167	124
Indigent Legal Services, Office of	4	10	10
Inspector General, Office of the	60	62	65
Interest on Lawyer Account	8	8	8
Judicial Commissions	48	0	0
Judicial Conduct, Commission on	0	46	50
Justice Center for the Protection of People with Special Needs	0	0	278
Labor Management Committees	75	63	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	281	237	243
Military and Naval Affairs, Division of	166	154	168
Prevention of Domestic Violence, Office for	22	23	26
Public Employment Relations Board	32	30	33
Public Ethics, Joint Commission on	33	43	48
Public Service Department	445	477	481
Quality of Care and Advocacy for Persons With Disabilities, Commission on	64	74	0
Racing and Wagering Board, State	102	0	0
State, Department of	516	495	517
Statewide Financial System	109	118	145
Tax Appeals, Division of	25	25	27
Veterans' Affairs, Division of	82	82	90
Victim Services, Office of	48	49	50
Welfare Inspector General, Office of	4	2	4
<b>Subtotal - Minor Agencies</b>	<b>7,169</b>	<b>6,553</b>	<b>7,114</b>

# Workforce Impact Summary

All Funds

2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Major Agencies</b>			
Children and Family Services, Office of	3,093	3,068	2,855
Corrections and Community Supervision, Department of	29,387	29,443	29,491
Education Department, State	2,590	2,618	2,765
Environmental Conservation, Department of	2,981	2,901	2,916
Financial Services, Department of	1,337	1,242	1,337
General Services, Office of	1,298	1,306	1,439
Health - MA Administration	0	0	840
Health, Department of	4,761	4,546	4,180
Information Technology Services, Office of	537	3,726	3,890
Labor, Department of	3,717	3,615	3,612
Mental Health, Office of	14,822	14,538	15,248
Motor Vehicles, Department of	2,378	2,243	2,215
Parks, Recreation and Historic Preservation, Office of	1,735	1,731	1,719
People with Developmental Disabilities, Office for	20,299	20,116	18,585
State Police, Division of	5,187	5,222	5,408
Taxation and Finance, Department of	4,910	4,352	4,379
Temporary and Disability Assistance, Office of	2,039	1,834	1,899
Transportation, Department of	8,974	8,687	8,337
Workers' Compensation Board	1,306	1,167	1,220
<b>Subtotal - Major Agencies</b>	<b>111,351</b>	<b>112,355</b>	<b>112,335</b>
<b>Minor Agencies</b>	<b>8,228</b>	<b>7,401</b>	<b>8,133</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>119,579</b>	<b>119,756</b>	<b>120,468</b>
<b>University Systems</b>			
City University of New York	12,961	13,437	13,024
State University Construction Fund	151	150	152
State University of New York	42,800	43,243	43,249
<b>Subtotal - University Systems</b>	<b>55,912</b>	<b>56,830</b>	<b>56,425</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	2,410	2,476	2,614
Law, Department of	1,697	1,740	1,798
<b>Subtotal - Independently Elected Agencies</b>	<b>4,107</b>	<b>4,216</b>	<b>4,412</b>
<b>Grand Total</b>	<b>179,598</b>	<b>180,802</b>	<b>181,305</b>

# Workforce Impact Summary

All Funds

2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Minor Agencies</b>			
Adirondack Park Agency	55	54	54
Aging, Office for the	105	89	100
Agriculture and Markets, Department of	482	467	491
Alcoholic Beverage Control, Division of	116	115	127
Alcoholism and Substance Abuse Services, Office of	816	765	764
Arts, Council on the	27	25	28
Budget, Division of the	300	268	290
Civil Service, Department of	386	299	350
Correction, Commission of	28	26	29
Criminal Justice Services, Division of	583	416	443
Deferred Compensation Board	4	4	4
Economic Development, Department of	140	141	151
Elections, State Board of	56	56	58
Employee Relations, Office of	39	38	50
Environmental Facilities Corporation	78	0	0
Executive Chamber	127	111	136
Financial Control Board, New York State	14	14	14
Gaming Commission, New York State	318	365	434
Higher Education Services Corporation, New York State	483	422	447
Homeland Security and Emergency Services, Division of	409	368	387
Housing and Community Renewal, Division of	723	660	683
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	187	167	164
Indigent Legal Services, Office of	4	10	10
Inspector General, Office of the	60	62	65
Interest on Lawyer Account	8	8	8
Judicial Commissions	48	0	0
Judicial Conduct, Commission on	0	46	50
Justice Center for the Protection of People with Special Needs	0	0	280
Labor Management Committees	75	63	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	562	474	486
Military and Naval Affairs, Division of	397	367	426
Prevention of Domestic Violence, Office for	23	23	27
Public Employment Relations Board	32	30	33
Public Ethics, Joint Commission on	33	43	48
Public Service Department	461	477	496
Quality of Care and Advocacy for Persons With Disabilities, Commission on	80	76	0
Racing and Wagering Board, State	102	0	0
State, Department of	568	544	567
Statewide Financial System	109	118	145
Tax Appeals, Division of	25	25	27
Veterans' Affairs, Division of	88	88	98
Victim Services, Office of	67	69	74
Welfare Inspector General, Office of	4	2	4
<b>Subtotal - Minor Agencies</b>	<b>8,228</b>	<b>7,401</b>	<b>8,133</b>

# Workforce Impact Summary

## Special Revenue Funds - Other 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Major Agencies</b>			
Children and Family Services, Office of	48	40	44
Corrections and Community Supervision, Department of	0	2	0
Education Department, State	968	1,007	1,039
Environmental Conservation, Department of	1,176	1,155	1,172
Financial Services, Department of	1,335	1,238	1,334
General Services, Office of	60	54	64
Health, Department of	2,322	2,228	2,243
Information Technology Services, Office of	2	974	985
Labor, Department of	334	412	392
Mental Health, Office of	14,774	14,489	15,169
Motor Vehicles, Department of	774	726	711
Parks, Recreation and Historic Preservation, Office of	153	181	173
People with Developmental Disabilities, Office for	20,286	20,104	18,567
State Police, Division of	365	80	89
Taxation and Finance, Department of	55	61	701
Temporary and Disability Assistance, Office of	46	0	0
Transportation, Department of	156	137	147
Workers' Compensation Board	1,306	1,167	1,220
<b>Subtotal - Major Agencies</b>	<b>44,160</b>	<b>44,055</b>	<b>44,050</b>
<b>Minor Agencies</b>	<b>3,871</b>	<b>3,479</b>	<b>3,664</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>48,031</b>	<b>47,534</b>	<b>47,714</b>
<b>University Systems</b>			
City University of New York	272	273	275
State University Construction Fund	151	0	0
State University of New York	19,072	43,239	43,247
<b>Subtotal - University Systems</b>	<b>19,495</b>	<b>43,512</b>	<b>43,522</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	184	175	169
Law, Department of	355	478	477
<b>Subtotal - Independently Elected Agencies</b>	<b>539</b>	<b>653</b>	<b>646</b>
<b>Grand Total</b>	<b>68,065</b>	<b>91,699</b>	<b>91,882</b>

# Workforce Impact Summary

## Special Revenue Funds - Other 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Minor Agencies</b>			
Aging, Office for the	1	0	1
Agriculture and Markets, Department of	148	53	53
Alcoholic Beverage Control, Division of	116	115	127
Alcoholism and Substance Abuse Services, Office of	814	763	764
Budget, Division of the	59	23	24
Civil Service, Department of	5	4	5
Criminal Justice Services, Division of	5	3	5
Deferred Compensation Board	4	4	4
Economic Development, Department of	2	2	2
Environmental Facilities Corporation	78	0	0
Financial Control Board, New York State	14	14	14
Gaming Commission, New York State	318	365	434
Higher Education Services Corporation, New York State	483	422	447
Homeland Security and Emergency Services, Division of	284	192	193
Housing and Community Renewal, Division of	414	478	496
Indigent Legal Services, Office of	4	10	10
Interest on Lawyer Account	8	8	8
Justice Center for the Protection of People with Special Needs	0	0	25
Military and Naval Affairs, Division of	6	5	6
Public Service Department	445	477	481
Quality of Care and Advocacy for Persons With Disabilities, Commission on	31	23	0
Racing and Wagering Board, State	102	0	0
State, Department of	372	350	370
Statewide Financial System	109	118	145
Victim Services, Office of	48	49	50
Welfare Inspector General, Office of	1	1	0
<b>Subtotal - Minor Agencies</b>	<b>3,871</b>	<b>3,479</b>	<b>3,664</b>

# Workforce Impact Summary

## Special Revenue Funds - Federal 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Major Agencies</b>			
Children and Family Services, Office of	396	381	383
Corrections and Community Supervision, Department of	49	30	646
Education Department, State	1,201	1,205	1,302
Environmental Conservation, Department of	301	304	300
Financial Services, Department of	2	4	3
Health - MA Administration	0	0	420
Health, Department of	703	662	771
Information Technology Services, Office of	0	343	357
Labor, Department of	3,383	3,203	3,219
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	18	18	18
Parks, Recreation and Historic Preservation, Office of	11	15	15
People with Developmental Disabilities, Office for	13	12	18
Temporary and Disability Assistance, Office of	1,080	934	990
Transportation, Department of	65	68	73
<b>Subtotal - Major Agencies</b>	<b>7,222</b>	<b>7,179</b>	<b>8,526</b>
<b>Minor Agencies</b>			
Aging, Office for the	79	75	80
Agriculture and Markets, Department of	4	4	25
Criminal Justice Services, Division of	101	33	34
Homeland Security and Emergency Services, Division of	99	105	106
Housing and Community Renewal, Division of	115	104	113
Human Rights, Division of	39	0	40
Justice Center for the Protection of People with Special Needs	0	0	2
Medicaid Inspector General, Office of the	281	237	243
Military and Naval Affairs, Division of	231	213	258
Prevention of Domestic Violence, Office for	1	0	1
Public Service Department	16	0	15
Quality of Care and Advocacy for Persons With Disabilities, Commission on	16	2	0
State, Department of	52	49	50
Veterans' Affairs, Division of	6	6	8
Victim Services, Office of	19	20	24
<b>Subtotal - Minor Agencies</b>	<b>1,059</b>	<b>848</b>	<b>999</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>8,281</b>	<b>8,027</b>	<b>9,525</b>
<b>University Systems</b>			
State University of New York	1	1	2
<b>Subtotal - University Systems</b>	<b>1</b>	<b>1</b>	<b>2</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	5	5	0
Law, Department of	218	233	248
<b>Subtotal - Independently Elected Agencies</b>	<b>223</b>	<b>238</b>	<b>248</b>
<b>Grand Total</b>	<b>8,505</b>	<b>8,266</b>	<b>9,775</b>

# Workforce Impact Summary

## Capital Projects Funds - Other 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Major Agencies</b>			
Children and Family Services, Office of	7	6	6
Corrections and Community Supervision, Department of	25	26	30
Environmental Conservation, Department of	379	367	374
Health, Department of	58	53	56
Information Technology Services, Office of	0	234	247
Mental Health, Office of	34	36	41
Motor Vehicles, Department of	1,586	1,499	1,486
Parks, Recreation and Historic Preservation, Office of	110	115	112
Transportation, Department of	8,753	8,482	8,117
<b>Subtotal - Major Agencies</b>	<b>10,952</b>	<b>10,818</b>	<b>10,469</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>10,952</b>	<b>10,818</b>	<b>10,469</b>
<b>University Systems</b>			
State University Construction Fund	0	150	152
State University of New York	7	3	0
<b>Subtotal - University Systems</b>	<b>7</b>	<b>153</b>	<b>152</b>
<b>Independently Elected Agencies</b>			
Law, Department of	6	6	7
<b>Subtotal - Independently Elected Agencies</b>	<b>6</b>	<b>6</b>	<b>7</b>
<b>Grand Total</b>	<b>10,965</b>	<b>10,977</b>	<b>10,628</b>

# Workforce Impact Summary

## Capital Projects Funds - Federal 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Major Agencies</b>			
Environmental Conservation, Department of	6	6	6
<b>Subtotal - Major Agencies</b>	<b>6</b>	<b>6</b>	<b>6</b>
<b>Minor Agencies</b>			
Housing and Community Renewal, Division of	0	0	20
<b>Subtotal - Minor Agencies</b>	<b>0</b>	<b>0</b>	<b>20</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>6</b>	<b>6</b>	<b>26</b>
<b>Grand Total</b>	<b>6</b>	<b>6</b>	<b>26</b>

# Workforce Impact Summary

## Enterprise Funds 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Major Agencies</b>			
Corrections and Community Supervision, Department of	4	5	14
General Services, Office of	8	6	10
Information Technology Services, Office of	0	1	1
Mental Health, Office of	0	0	10
<b>Subtotal - Major Agencies</b>	<b>12</b>	<b>12</b>	<b>35</b>
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	44	44	46
<b>Subtotal - Minor Agencies</b>	<b>44</b>	<b>44</b>	<b>46</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>56</b>	<b>56</b>	<b>81</b>
<b>Grand Total</b>	<b>56</b>	<b>56</b>	<b>81</b>

# Workforce Impact Summary

## Internal Service Funds 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Major Agencies</b>			
Corrections and Community Supervision, Department of	268	263	285
Education Department, State	150	141	145
General Services, Office of	454	464	522
Information Technology Services, Office of	404	430	440
Mental Health, Office of	14	13	17
Temporary and Disability Assistance, Office of	4	0	0
<b>Subtotal - Major Agencies</b>	<b>1,294</b>	<b>1,311</b>	<b>1,409</b>
<b>Minor Agencies</b>			
Civil Service, Department of	196	137	176
Employee Relations, Office of	10	12	24
Prevention of Domestic Violence, Office for	9	8	9
<b>Subtotal - Minor Agencies</b>	<b>215</b>	<b>157</b>	<b>209</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>1,509</b>	<b>1,468</b>	<b>1,618</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	33	75	47
<b>Subtotal - Independently Elected Agencies</b>	<b>33</b>	<b>75</b>	<b>47</b>
<b>Grand Total</b>	<b>1,542</b>	<b>1,543</b>	<b>1,665</b>

# Workforce Impact Summary

## Agency Trust Funds 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>University Systems</b>			
City University of New York	12,689	13,164	12,749
<b>Subtotal - University Systems</b>	<b>12,689</b>	<b>13,164</b>	<b>12,749</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	0	2	0
<b>Subtotal - Independently Elected Agencies</b>	<b>0</b>	<b>2</b>	<b>0</b>
<b>Grand Total</b>	<b>12,689</b>	<b>13,166</b>	<b>12,749</b>

# Workforce Impact Summary

## Pension Trust Funds 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	907	903	1,014
<b>Subtotal - Independently Elected Agencies</b>	<b>907</b>	<b>903</b>	<b>1,014</b>
<b>Grand Total</b>	<b>907</b>	<b>903</b>	<b>1,014</b>

# Workforce Impact Summary

## Private Purpose Trust Funds 2011-12 Through 2013-14

	2011-12 Actuals (02/29/12)	2012-13 Actuals (03/31/13)	2013-14 Estimate (03/31/14)
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	2	3	3
<b>Subtotal - Minor Agencies</b>	<b>2</b>	<b>3</b>	<b>3</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>2</b>	<b>3</b>	<b>3</b>
<b>Grand Total</b>	<b>2</b>	<b>3</b>	<b>3</b>

Impact of 2013-14 Enacted Budget Recommendations on Local Governments Local Fiscal Years Ending in 2013 (\$ in Millions)						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
<b>Revenue Actions</b>	<b>3.9</b>	<b>2.3</b>	<b>0.5</b>	<b>1.0</b>	<b>0.1</b>	<b>0.0</b>
- Extend Charitable Deduction Limit	1.5	1.5	0.0	0.0	0.0	0.0
- Extend Tax Modernization Provisions	0.9	0.3	0.0	0.6	0.0	0.0
- Allow for Warrantless Wage Garnishment	0.5	0.5	0.0	0.0	0.0	0.0
- Reform Industrial Development Agencies	1.0	0.0	0.5	0.4	0.1	0.0
<b>Human Services</b>	<b>0.8</b>	<b>0.1</b>	<b>0.0</b>	<b>0.7</b>	<b>0.0</b>	<b>0.0</b>
- Increase Funding for YDDP/SDPP	0.7	0.1	0.0	0.6	0.0	0.0
- Increase Funding for Runaway Homeless Youth	0.1	0.0	0.0	0.1	0.0	0.0
<b>Transportation</b>	<b>56.5</b>	<b>4.9</b>	<b>0.0</b>	<b>25.7</b>	<b>3.5</b>	<b>22.4</b>
- Increase CHIPS Assistance	45.4	2.6	0.0	16.9	3.5	22.4
- Increase Transit Assistance (NYC, Suffolk, Nassau, Rockland, Westchester)	11.1	2.3	0.0	8.8	0.0	0.0
<b>Municipal Aid</b>	<b>12.6</b>	<b>0.0</b>	<b>0.0</b>	<b>1.4</b>	<b>10.7</b>	<b>0.5</b>
- Accelerate City of Albany 19-A Payment	7.9	0.0	0.0	0.0	7.9	0.0
- Advance Tribal State Compact Revenues to City of Salamanca	2.5	0.0	0.0	0.0	2.5	0.0
- Increase VLT Aid to Eligible Municipalities	1.2	0.0	0.0	0.4	0.3	0.5
- Increase Assistance to Madison & Oneida Counties	1.0	0.0	0.0	1.0	0.0	0.0
<b>Total 2013-14 Enacted Budget Actions</b>	<b>73.8</b>	<b>7.3</b>	<b>0.5</b>	<b>28.8</b>	<b>14.3</b>	<b>22.9</b>

Impact of 2013-14 Enacted Budget Recommendations on Local Governments Local Fiscal Years Ending in 2014 (\$ in Millions)						
	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
<b>School Aid/Education</b>	<b>942.0</b>	<b>364.0</b>	<b>578.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
- Allocated School Aid	942.0	364.0	578.0	0.0	0.0	0.0
<b>Revenue Actions</b>	<b>27.1</b>	<b>19.6</b>	<b>1.2</b>	<b>5.1</b>	<b>0.8</b>	<b>0.4</b>
- Suspend Delinquent Taxpayers' Drivers Licenses	11.0	8.3	0.1	2.0	0.3	0.3
- Extend Charitable Deduction Limit	7.5	7.5	0.0	0.0	0.0	0.0
- Extend Tax Modernization Provisions	3.8	1.5	0.0	2.0	0.2	0.1
- Reform Industrial Development Agencies	2.5	0.0	1.1	1.1	0.3	0.0
- Allow for Warrantless Wage Garnishment	2.0	2.0	0.0	0.0	0.0	0.0
- Close Royalty Income Loophole	1.3	1.3	0.0	0.0	0.0	0.0
- Small Business Exemption	(1.0)	(1.0)	0.0	0.0	0.0	0.0
<b>Human Services</b>	<b>1.5</b>	<b>0.5</b>	<b>0.0</b>	<b>1.0</b>	<b>0.0</b>	<b>0.0</b>
- Increase Funding for YDDP/SDPP	1.2	0.4	0.0	0.8	0.0	0.0
- Increase Funding for Runaway Homeless Youth	0.3	0.1	0.0	0.2	0.0	0.0
<b>Health / Medicaid</b>	<b>1.6</b>	<b>0.2</b>	<b>0.0</b>	<b>1.4</b>	<b>0.0</b>	<b>0.0</b>
- Increase GPHW Base Grant	1.3	0.1	0.0	1.2	0.0	0.0
- Provide GPHW Incentive Payments	0.3	0.1	0.0	0.2	0.0	0.0
<b>Transportation</b>	<b>96.1</b>	<b>19.9</b>	<b>0.0</b>	<b>34.2</b>	<b>7.0</b>	<b>35.0</b>
- Increase CHIPS Assistance	75.0	10.5	0.0	22.5	7.0	35.0
- Increase Transit Assistance (NYC, Suffolk, Nassau, Rockland, Westchester)	21.1	9.4	0.0	11.7	0.0	0.0
<b>Municipal Aid</b>	<b>6.8</b>	<b>0.0</b>	<b>0.0</b>	<b>1.4</b>	<b>3.2</b>	<b>2.2</b>
- Advance Tribal State Compact Revenues to City of Salamanca	2.5	0.0	0.0	0.0	2.5	0.0
- Establish Village Per Capita Aid Program	1.5	0.0	0.0	0.0	1.5	0.0
- Increase VLT Aid to Eligible Municipalities	1.4	0.0	0.0	0.4	0.4	0.6
- Increase Assistance to Madison & Oneida Counties	1.0	0.0	0.0	1.0	0.0	0.0
- Provide Aid to Syracuse for a Shared Services Project	0.3	0.0	0.0	0.0	0.3	0.0
- Provide Aid to Newly Created Villages	0.1	0.0	0.0	0.0	0.0	0.1
<b>Subtotal</b>	<b>1,075.1</b>	<b>404.2</b>	<b>579.2</b>	<b>43.1</b>	<b>11.0</b>	<b>37.6</b>
- School Aid - State of the State Initiatives	75.0	TBD	TBD	0.0	0.0	0.0
- School Aid - Performance Grants	50.0	TBD	TBD	0.0	0.0	0.0
<b>Total 2013-14 Enacted Budget Actions</b>	<b>1,200.1</b>	<b>404.2</b>	<b>579.2</b>	<b>43.1</b>	<b>11.0</b>	<b>37.6</b>

**Impact of 2013-14 Enacted Budget Recommendations on Local Governments**  
**Local Fiscal Year**  
(\$ in Millions)

	LFY 2013	LFY 2014	LFY 2015	LFY 2016
<b>NYC</b>	7.3	404.2	297.1	317.0
<b>School Districts</b>	0.5	579.2	404.7	471.7
<b>Counties</b>	28.8	43.1	44.9	45.3
<b>Other Cities</b>	14.3	11.0	8.2	8.2
<b>Towns &amp; Villages</b>	22.9	37.6	37.7	37.7
<b>Subtotal</b>	<b>73.8</b>	<b>1,075.1</b>	<b>792.6</b>	<b>879.9</b>
<b>School Aid - State of the State Initiatives</b>	0.0	75.0	0.0	0.0
<b>School Aid - Performance Grants</b>	0.0	50.0	50.0	50.0
<b>Total 2013-14 Enacted Budget Actions</b>	<b>73.8</b>	<b>1,200.1</b>	<b>842.6</b>	<b>929.9</b>

Impact of 2013-14 Enacted Budget Recommendations on NYC				
	City Fiscal Year			
	CFY 2012-13	CFY 2013-14	CFY 2014-15	CFY 2015-16
<b>School Aid/Education</b>	<b>0.0</b>	<b>364.0</b>	<b>269.0</b>	<b>314.0</b>
- Allocated School Aid	0.0	364.0	260.0	383.0
<b>Revenue Actions</b>	<b>2.3</b>	<b>19.6</b>	<b>7.0</b>	<b>(18.5)</b>
- Suspend Delinquent Taxpayers' Driver's Licenses	0.0	8.3	3.0	3.0
- Extend Charitable Deduction Limit	1.5	7.5	12.0	10.5
- Allow for Warrantless Wage Garnishment	0.5	2.0	2.0	2.0
- Extend Tax Modernization Provisions	0.3	1.5	3.0	3.0
- Close Royalty Income Loophole	0.0	1.3	5.0	5.0
- Small Business Exemption	0.0	(1.0)	(6.0)	(10.0)
- Index PIT Standard Deduction	0.0	0.0	(12.0)	(32.0)
<b>Human Services</b>	<b>0.1</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>
- Increase Funding for YDDP/SDPP	0.1	0.4	0.4	0.4
- Increase Funding for Runaway Homeless Youth	0.0	0.1	0.1	0.1
<b>Health / Medicaid</b>	<b>0.0</b>	<b>0.2</b>	<b>0.7</b>	<b>1.1</b>
- Increase GPHW Base Grant	0.0	0.1	0.3	0.5
- Provide GPHW Incentive Payments	0.0	0.1	0.4	0.6
<b>Transportation</b>	<b>4.9</b>	<b>19.9</b>	<b>19.9</b>	<b>19.9</b>
- Increase CHIPS Assistance	2.6	10.5	10.5	10.5
- Increase Transit Assistance (NYCDOT & Staten Island Ferry)	2.3	9.4	9.4	9.4
<b>Subtotal</b>	<b>7.3</b>	<b>404.2</b>	<b>297.1</b>	<b>317.0</b>
- School District Performance Grants	TBD	TBD	TBD	TBD
- State of the State Initiatives	TBD	TBD	TBD	TBD
<b>Total 2013-14 Enacted Budget Actions</b>	<b>7.3</b>	<b>404.2</b>	<b>297.1</b>	<b>317.0</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
<b><i>Agriculture and Markets, Department of</i></b>	<b>49,685</b>	<b>57,503</b>	<b>58,608</b>	<b>58,243</b>	<b>59,473</b>
Local Assistance Grants	19,476	26,137	25,719	24,946	25,486
State Operations	30,209	31,366	32,889	33,297	33,987
Personal Service	23,878	24,430	25,803	26,332	26,595
Non-Personal Service/Indirect Costs	6,331	6,936	7,086	6,965	7,392
<b><i>Economic Development, Department of</i></b>	<b>85,393</b>	<b>77,140</b>	<b>86,667</b>	<b>88,152</b>	<b>85,958</b>
Local Assistance Grants	66,116	56,892	66,022	67,272	66,272
State Operations	19,277	20,248	20,645	20,880	19,686
Personal Service	11,642	12,821	12,352	12,587	12,593
Non-Personal Service/Indirect Costs	7,635	7,427	8,293	8,293	7,093
<b><i>Empire State Development Corporation</i></b>	<b>83,275</b>	<b>76,339</b>	<b>78,994</b>	<b>111,722</b>	<b>135,994</b>
Local Assistance Grants	82,275	75,339	77,994	110,722	134,994
State Operations	1,000	1,000	1,000	1,000	1,000
Personal Service	500	500	500	500	500
Non-Personal Service/Indirect Costs	500	500	500	500	500
<b><i>Financial Services, Department of</i></b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	0	0	0	0	0
<b><i>Olympic Regional Development Authority</i></b>	<b>2,929</b>	<b>2,929</b>	<b>3,011</b>	<b>3,011</b>	<b>3,011</b>
State Operations	2,929	2,929	3,011	3,011	3,011
Personal Service	2,500	2,522	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	429	407	463	463	463
<b>Functional Total</b>	<b>221,282</b>	<b>213,911</b>	<b>227,280</b>	<b>261,128</b>	<b>284,436</b>
<b>PARKS AND THE ENVIRONMENT</b>					
<b><i>Adirondack Park Agency</i></b>	<b>4,090</b>	<b>4,029</b>	<b>4,100</b>	<b>4,184</b>	<b>4,185</b>
State Operations	4,090	4,029	4,100	4,184	4,185
Personal Service	3,730	3,703	3,774	3,858	3,859
Non-Personal Service/Indirect Costs	360	326	326	326	326
<b><i>Environmental Conservation, Department of</i></b>	<b>90,966</b>	<b>93,437</b>	<b>94,398</b>	<b>95,659</b>	<b>102,396</b>
Local Assistance Grants	1,338	4,970	4,542	4,542	4,542
State Operations	89,628	88,467	89,856	91,117	97,854
Personal Service	81,156	79,029	80,418	81,679	83,706
Non-Personal Service/Indirect Costs	8,472	9,438	9,438	9,438	14,148
<b><i>Parks, Recreation and Historic Preservation, Office of</i></b>	<b>112,590</b>	<b>110,296</b>	<b>111,383</b>	<b>112,884</b>	<b>113,841</b>
Local Assistance Grants	3,110	2,910	2,750	2,750	2,750
State Operations	109,480	107,386	108,633	110,134	111,091
Personal Service	102,121	99,249	100,496	101,997	102,954
Non-Personal Service/Indirect Costs	7,359	8,137	8,137	8,137	8,137
<b>Functional Total</b>	<b>207,646</b>	<b>207,762</b>	<b>209,881</b>	<b>212,727</b>	<b>220,422</b>
<b>TRANSPORTATION</b>					
<b><i>Thruway Authority, New York State</i></b>	<b>0</b>	<b>24,000</b>	<b>24,000</b>	<b>24,000</b>	<b>24,000</b>
State Operations	0	24,000	24,000	24,000	24,000
Non-Personal Service/Indirect Costs	0	24,000	24,000	24,000	24,000
<b><i>Transportation, Department of</i></b>	<b>98,653</b>	<b>99,206</b>	<b>99,206</b>	<b>99,206</b>	<b>99,206</b>
Local Assistance Grants	97,630	97,551	97,551	97,551	97,551
State Operations	1,023	1,655	1,655	1,655	1,655
Non-Personal Service/Indirect Costs	1,023	1,655	1,655	1,655	1,655
<b>Functional Total</b>	<b>98,653</b>	<b>123,206</b>	<b>123,206</b>	<b>123,206</b>	<b>123,206</b>
<b>HEALTH</b>					
<b><i>Aging, Office for the</i></b>	<b>114,480</b>	<b>115,615</b>	<b>121,915</b>	<b>128,656</b>	<b>133,321</b>
Local Assistance Grants	112,942	114,007	120,307	127,011	131,641
State Operations	1,538	1,608	1,608	1,645	1,680
Personal Service	1,357	1,427	1,427	1,457	1,488
Non-Personal Service/Indirect Costs	181	181	181	188	192

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
<b>Health, Department of</b>	<b>11,910,756</b>	<b>12,305,713</b>	<b>12,553,171</b>	<b>13,307,865</b>	<b>13,834,311</b>
<b>Medical Assistance</b>	<b>10,601,529</b>	<b>10,707,234</b>	<b>10,938,584</b>	<b>11,759,293</b>	<b>12,266,876</b>
Local Assistance Grants	10,580,501	10,707,234	10,938,584	11,759,293	12,266,876
State Operations	21,028	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	21,028	0	0	0	0
<b>Medicaid Administration</b>	<b>528,985</b>	<b>709,397</b>	<b>649,897</b>	<b>590,397</b>	<b>590,397</b>
Local Assistance Grants	528,985	518,069	452,558	376,431	364,411
State Operations	0	191,328	197,339	213,966	225,986
Personal Service	0	29,803	39,303	55,903	67,903
Non-Personal Service/Indirect Costs	0	161,525	158,036	158,063	158,083
<b>Public Health</b>	<b>780,242</b>	<b>889,082</b>	<b>964,690</b>	<b>958,175</b>	<b>977,038</b>
Local Assistance Grants	627,064	719,722	793,897	807,410	825,805
State Operations	153,178	169,360	170,793	150,765	151,233
Personal Service	52,012	91,796	95,579	98,301	98,769
Non-Personal Service/Indirect Costs	101,166	77,564	75,214	52,464	52,464
<b>Medicaid Inspector General, Office of the</b>	<b>18,272</b>	<b>22,776</b>	<b>23,141</b>	<b>23,156</b>	<b>23,170</b>
State Operations	18,272	22,776	23,141	23,156	23,170
Personal Service	14,167	17,470	17,485	17,500	17,514
Non-Personal Service/Indirect Costs	4,105	5,306	5,656	5,656	5,656
<b>Functional Total</b>	<b>12,043,508</b>	<b>12,444,104</b>	<b>12,698,227</b>	<b>13,459,677</b>	<b>13,990,802</b>
<b>SOCIAL WELFARE</b>					
<b>Children and Family Services, Office of</b>	<b>1,766,733</b>	<b>1,847,483</b>	<b>1,986,699</b>	<b>2,051,800</b>	<b>2,142,059</b>
<b>OCFS</b>	<b>1,677,701</b>	<b>1,760,496</b>	<b>1,896,320</b>	<b>1,957,749</b>	<b>2,044,038</b>
Local Assistance Grants	1,398,823	1,513,346	1,665,586	1,730,677	1,812,631
State Operations	278,878	247,150	230,734	227,072	231,407
Personal Service	174,390	155,644	138,831	136,615	138,266
Non-Personal Service/Indirect Costs	104,488	91,506	91,903	90,457	93,141
<b>OCFS - Other</b>	<b>89,032</b>	<b>86,987</b>	<b>90,379</b>	<b>94,051</b>	<b>98,021</b>
Local Assistance Grants	89,032	86,987	90,379	94,051	98,021
<b>Housing and Community Renewal, Division of</b>	<b>63,292</b>	<b>21,831</b>	<b>21,664</b>	<b>54,401</b>	<b>54,676</b>
Local Assistance Grants	50,001	13,554	13,554	45,972	45,972
State Operations	13,291	8,277	8,110	8,429	8,704
Personal Service	8,917	3,868	3,685	3,939	4,073
Non-Personal Service/Indirect Costs	4,374	4,409	4,425	4,490	4,631
<b>Human Rights, Division of</b>	<b>12,326</b>	<b>10,021</b>	<b>10,277</b>	<b>10,598</b>	<b>10,597</b>
State Operations	12,326	10,021	10,277	10,598	10,597
Personal Service	10,199	9,316	9,541	9,832	9,831
Non-Personal Service/Indirect Costs	2,127	705	736	766	766
<b>Labor, Department of</b>	<b>5,388</b>	<b>11,639</b>	<b>285</b>	<b>285</b>	<b>285</b>
Local Assistance Grants	5,388	11,354	0	0	0
State Operations	0	285	285	285	285
Personal Service	0	85	85	85	85
Non-Personal Service/Indirect Costs	0	200	200	200	200
<b>National and Community Service</b>	<b>670</b>	<b>683</b>	<b>687</b>	<b>687</b>	<b>687</b>
Local Assistance Grants	396	350	350	350	350
State Operations	274	333	337	337	337
Personal Service	264	325	328	328	328
Non-Personal Service/Indirect Costs	10	8	9	9	9
<b>Prevention of Domestic Violence, Office for</b>	<b>1,565</b>	<b>2,293</b>	<b>2,245</b>	<b>2,290</b>	<b>2,294</b>
Local Assistance Grants	424	685	685	685	685
State Operations	1,141	1,608	1,560	1,605	1,609
Personal Service	1,037	1,388	1,443	1,477	1,479
Non-Personal Service/Indirect Costs	104	220	117	128	130
<b>Temporary and Disability Assistance, Office of</b>	<b>1,718,088</b>	<b>1,577,381</b>	<b>1,485,164</b>	<b>1,510,789</b>	<b>1,524,668</b>
<b>Welfare Assistance</b>	<b>1,416,672</b>	<b>1,287,173</b>	<b>1,185,272</b>	<b>1,211,985</b>	<b>1,220,523</b>
Local Assistance Grants	1,416,672	1,287,173	1,185,272	1,211,985	1,220,523

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>All Other</b>	<b>301,416</b>	<b>290,208</b>	<b>299,892</b>	<b>298,804</b>	<b>304,145</b>
Local Assistance Grants	118,432	104,696	104,696	106,096	107,496
State Operations	182,984	185,512	195,196	192,708	196,649
Personal Service	71,949	66,935	70,131	71,873	72,793
Non-Personal Service/Indirect Costs	111,035	118,577	125,065	120,835	123,856
<b>Welfare Inspector General, Office of</b>	<b>245</b>	<b>1,179</b>	<b>1,207</b>	<b>1,217</b>	<b>1,217</b>
State Operations	245	1,179	1,207	1,217	1,217
Personal Service	245	721	738	748	748
Non-Personal Service/Indirect Costs	0	458	469	469	469
<b>Functional Total</b>	<b>3,568,307</b>	<b>3,472,510</b>	<b>3,508,228</b>	<b>3,632,067</b>	<b>3,736,483</b>
<b>MENTAL HYGIENE</b>					
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>36,187</b>	<b>26,053</b>	<b>26,053</b>	<b>26,053</b>	<b>26,053</b>
<b>OASAS</b>	<b>3,507</b>	<b>4,728</b>	<b>4,728</b>	<b>4,728</b>	<b>4,728</b>
Local Assistance Grants	3,507	4,728	4,728	4,728	4,728
<b>OASAS - Other</b>	<b>32,680</b>	<b>21,325</b>	<b>21,325</b>	<b>21,325</b>	<b>21,325</b>
Local Assistance Grants	32,680	21,325	21,325	21,325	21,325
<b>Justice Center</b>	<b>0</b>	<b>33,831</b>	<b>35,713</b>	<b>36,364</b>	<b>36,946</b>
Local Assistance Grants	0	128	170	170	170
State Operations	0	33,703	35,543	36,194	36,776
Personal Service	0	15,778	16,944	17,126	17,224
Non-Personal Service/Indirect Costs	0	17,925	18,599	19,068	19,552
<b>Mental Health, Office of</b>	<b>392,770</b>	<b>356,279</b>	<b>378,379</b>	<b>455,979</b>	<b>532,544</b>
<b>OMH</b>	<b>1,733</b>	<b>800</b>	<b>800</b>	<b>800</b>	<b>800</b>
Local Assistance Grants	1,613	0	0	0	0
State Operations	120	800	800	800	800
Non-Personal Service/Indirect Costs	120	800	800	800	800
<b>OMH - Other</b>	<b>391,037</b>	<b>355,479</b>	<b>377,579</b>	<b>455,179</b>	<b>531,744</b>
Local Assistance Grants	391,037	355,479	377,579	455,179	531,744
<b>People with Developmental Disabilities, Office for</b>	<b>1,500,228</b>	<b>957,877</b>	<b>1,446,541</b>	<b>1,780,917</b>	<b>1,838,861</b>
<b>OPWDD</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	1	0	0	0	0
<b>OPWDD - Other</b>	<b>1,500,227</b>	<b>957,877</b>	<b>1,446,541</b>	<b>1,780,917</b>	<b>1,838,861</b>
Local Assistance Grants	1,500,227	957,877	1,446,541	1,780,917	1,838,861
<b>Quality of Care and Advocacy for Persons With Disabilities, Commission on</b>	<b>5,092</b>	<b>1,277</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	128	42	0	0	0
State Operations	4,964	1,235	0	0	0
Personal Service	4,133	993	0	0	0
Non-Personal Service/Indirect Costs	831	242	0	0	0
<b>Functional Total</b>	<b>1,934,277</b>	<b>1,375,317</b>	<b>1,886,686</b>	<b>2,299,313</b>	<b>2,434,404</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
<b>Correction, Commission of</b>	<b>2,055</b>	<b>2,672</b>	<b>2,748</b>	<b>2,825</b>	<b>2,833</b>
State Operations	2,055	2,672	2,748	2,825	2,833
Personal Service	1,857	2,414	2,481	2,548	2,550
Non-Personal Service/Indirect Costs	198	258	267	277	283
<b>Correctional Services, Department of</b>	<b>2,744,763</b>	<b>2,561,447</b>	<b>2,618,825</b>	<b>2,755,525</b>	<b>2,710,324</b>
Local Assistance Grants	4,651	6,000	6,000	6,000	6,000
State Operations	2,740,112	2,555,447	2,612,825	2,749,525	2,704,324
Personal Service	2,270,171	2,059,964	2,105,453	2,230,102	2,171,341
Non-Personal Service/Indirect Costs	469,941	495,483	507,372	519,423	532,983
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>11,500</b>	<b>11,500</b>	<b>12,500</b>	<b>12,500</b>
Local Assistance Grants	0	11,500	11,500	12,500	12,500
<b>Criminal Justice Services, Division of</b>	<b>170,636</b>	<b>177,829</b>	<b>166,394</b>	<b>167,510</b>	<b>168,240</b>
Local Assistance Grants	120,160	135,046	123,147	123,147	123,147
State Operations	50,476	42,783	43,247	44,363	45,093

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Personal Service	29,804	25,150	24,182	24,837	25,166
Non-Personal Service/Indirect Costs	20,672	17,633	19,065	19,526	19,927
<b>Disaster Assistance</b>	<b>71,759</b>	<b>(82,725)</b>	<b>(400)</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	19,990	2,276	(400)	0	0
State Operations	51,769	(85,001)	0	0	0
Personal Service	24,884	(24,884)	0	0	0
Non-Personal Service/Indirect Costs	26,885	(60,117)	0	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>90,406</b>	<b>24,072</b>	<b>11,722</b>	<b>10,243</b>	<b>10,297</b>
Local Assistance Grants	81,684	17,100	5,963	4,222	4,222
State Operations	8,722	6,972	5,759	6,021	6,075
Personal Service	6,404	6,972	5,759	6,021	6,075
Non-Personal Service/Indirect Costs	2,318	0	0	0	0
<b>Judicial Commissions</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
<b>Judicial Conduct, Commission on</b>	<b>5,209</b>	<b>5,384</b>	<b>5,642</b>	<b>5,837</b>	<b>5,903</b>
State Operations	5,209	5,384	5,642	5,837	5,903
Personal Service	3,953	4,093	4,246	4,366	4,399
Non-Personal Service/Indirect Costs	1,256	1,291	1,396	1,471	1,504
<b>Judicial Nomination, Commission on</b>	<b>0</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	0	30	30	30	30
Non-Personal Service/Indirect Costs	0	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>2</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	2	38	38	38	38
Personal Service	0	13	13	13	13
Non-Personal Service/Indirect Costs	2	25	25	25	25
<b>Military and Naval Affairs, Division of</b>	<b>20,571</b>	<b>22,406</b>	<b>22,808</b>	<b>23,177</b>	<b>23,438</b>
Local Assistance Grants	755	867	850	850	850
State Operations	19,816	21,539	21,958	22,327	22,588
Personal Service	15,040	15,884	16,077	16,294	16,416
Non-Personal Service/Indirect Costs	4,776	5,655	5,881	6,033	6,172
<b>State Police, Division of</b>	<b>547,120</b>	<b>592,781</b>	<b>606,969</b>	<b>620,182</b>	<b>626,077</b>
State Operations	547,120	592,781	606,969	620,182	626,077
Personal Service	508,240	545,755	551,891	560,004	564,361
Non-Personal Service/Indirect Costs	38,880	47,026	55,078	60,178	61,716
<b>Functional Total</b>	<b>3,652,521</b>	<b>3,315,434</b>	<b>3,446,276</b>	<b>3,597,867</b>	<b>3,559,680</b>
<b>HIGHER EDUCATION</b>					
<b>City University of New York</b>	<b>1,219,949</b>	<b>1,344,879</b>	<b>1,401,423</b>	<b>1,470,355</b>	<b>1,548,217</b>
Local Assistance Grants	1,219,949	1,344,879	1,401,423	1,470,355	1,548,217
<b>Higher Education Services Corporation, New York State</b>	<b>914,919</b>	<b>971,003</b>	<b>1,001,651</b>	<b>1,031,608</b>	<b>1,025,891</b>
Local Assistance Grants	914,906	971,003	1,001,651	1,031,608	1,025,891
State Operations	13	0	0	0	0
Non-Personal Service/Indirect Costs	13	0	0	0	0
<b>State University of New York</b>	<b>1,350,859</b>	<b>686,384</b>	<b>701,786</b>	<b>701,786</b>	<b>701,786</b>
Local Assistance Grants	462,422	476,329	491,731	491,731	491,731
State Operations	679,014	0	0	0	0
Personal Service	517,995	0	0	0	0
Non-Personal Service/Indirect Costs	161,019	0	0	0	0
General State Charges	209,423	210,055	210,055	210,055	210,055
<b>Functional Total</b>	<b>3,485,727</b>	<b>3,002,266</b>	<b>3,104,860</b>	<b>3,203,749</b>	<b>3,275,894</b>
<b>EDUCATION</b>					
<b>Arts, Council on the</b>	<b>22,631</b>	<b>59,955</b>	<b>39,955</b>	<b>39,955</b>	<b>39,955</b>
Local Assistance Grants	19,095	55,835	35,835	35,835	35,835
State Operations	3,536	4,120	4,120	4,120	4,120
Personal Service	2,059	2,298	2,298	2,298	2,298
Non-Personal Service/Indirect Costs	1,477	1,822	1,822	1,822	1,822

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>Education, Department of</b>	<b>19,070,157</b>	<b>19,356,576</b>	<b>20,699,898</b>	<b>21,623,560</b>	<b>22,883,756</b>
<b>School Aid</b>	<b>17,110,104</b>	<b>17,289,694</b>	<b>18,572,932</b>	<b>19,389,737</b>	<b>20,518,850</b>
Local Assistance Grants	17,110,104	17,289,694	18,572,932	19,389,737	20,518,850
<b>Special Education Categorical Programs</b>	<b>1,351,788</b>	<b>1,418,116</b>	<b>1,522,216</b>	<b>1,626,216</b>	<b>1,751,116</b>
Local Assistance Grants	1,351,788	1,418,116	1,522,216	1,626,216	1,751,116
<b>All Other</b>	<b>608,265</b>	<b>648,766</b>	<b>604,750</b>	<b>607,607</b>	<b>613,790</b>
Local Assistance Grants	567,257	601,260	555,467	557,939	564,122
State Operations	41,008	47,506	49,283	49,668	49,668
Personal Service	25,227	24,498	24,875	25,260	25,260
Non-Personal Service/Indirect Costs	15,781	23,008	24,408	24,408	24,408
General State Charges	0	0	0	0	0
<b>Functional Total</b>	<b>19,092,788</b>	<b>19,416,531</b>	<b>20,739,853</b>	<b>21,663,515</b>	<b>22,923,711</b>
<b>GENERAL GOVERNMENT</b>					
<b>Budget, Division of the</b>	<b>20,196</b>	<b>22,476</b>	<b>23,382</b>	<b>24,338</b>	<b>23,867</b>
State Operations	20,196	22,476	23,382	24,338	23,867
Personal Service	18,148	21,060	21,938	22,865	22,365
Non-Personal Service/Indirect Costs	2,048	1,416	1,444	1,473	1,502
<b>Civil Service, Department of</b>	<b>12,618</b>	<b>12,450</b>	<b>13,179</b>	<b>13,547</b>	<b>13,552</b>
State Operations	12,618	12,450	13,179	13,547	13,552
Personal Service	11,788	11,772	12,501	12,864	12,869
Non-Personal Service/Indirect Costs	830	678	678	683	683
<b>Deferred Compensation Board</b>	<b>45</b>	<b>57</b>	<b>56</b>	<b>57</b>	<b>59</b>
State Operations	45	57	56	57	59
Personal Service	30	32	30	31	32
Non-Personal Service/Indirect Costs	15	25	26	26	27
<b>Elections, State Board of</b>	<b>5,158</b>	<b>7,314</b>	<b>35,296</b>	<b>5,442</b>	<b>5,497</b>
Local Assistance Grants	272	2,200	30,000	0	0
State Operations	4,886	5,114	5,296	5,442	5,497
Personal Service	4,056	4,250	4,370	4,495	4,530
Non-Personal Service/Indirect Costs	830	864	926	947	967
<b>Employee Relations, Office of</b>	<b>2,512</b>	<b>2,605</b>	<b>2,610</b>	<b>2,692</b>	<b>2,693</b>
State Operations	2,512	2,605	2,610	2,692	2,693
Personal Service	2,445	2,523	2,528	2,609	2,610
Non-Personal Service/Indirect Costs	67	82	82	83	83
<b>General Services, Office of</b>	<b>137,803</b>	<b>158,150</b>	<b>132,210</b>	<b>133,877</b>	<b>136,240</b>
State Operations	137,803	158,150	132,210	133,877	136,240
Personal Service	47,147	54,045	54,259	54,527	54,966
Non-Personal Service/Indirect Costs	90,656	104,105	77,951	79,350	81,274
<b>Inspector General, Office of the</b>	<b>5,793</b>	<b>6,623</b>	<b>6,876</b>	<b>7,102</b>	<b>7,164</b>
State Operations	5,793	6,623	6,876	7,102	7,164
Personal Service	5,269	6,022	6,294	6,478	6,528
Non-Personal Service/Indirect Costs	524	601	582	624	636
<b>Labor Management Committees</b>	<b>17,666</b>	<b>35,513</b>	<b>39,687</b>	<b>45,700</b>	<b>42,977</b>
State Operations	17,666	35,513	39,687	45,700	42,977
Personal Service	6,038	5,313	5,862	6,869	6,584
Non-Personal Service/Indirect Costs	11,628	30,200	33,825	38,831	36,393
<b>Public Employment Relations Board</b>	<b>3,273</b>	<b>3,529</b>	<b>3,452</b>	<b>3,544</b>	<b>3,586</b>
State Operations	3,273	3,529	3,452	3,544	3,586
Personal Service	2,882	3,336	3,046	3,138	3,162
Non-Personal Service/Indirect Costs	391	193	406	406	424
<b>Public Integrity, Commission on</b>	<b>3,887</b>	<b>4,505</b>	<b>5,078</b>	<b>5,473</b>	<b>5,525</b>
State Operations	3,887	4,505	5,078	5,473	5,525
Personal Service	2,666	3,634	4,035	4,133	4,158
Non-Personal Service/Indirect Costs	1,221	871	1,043	1,340	1,367
<b>Regulatory Reform, Governor's Office of</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
<b>State, Department of</b>	<b>19,507</b>	<b>23,210</b>	<b>16,653</b>	<b>16,873</b>	<b>16,885</b>
Local Assistance Grants	5,666	10,388	3,440	3,440	3,440
State Operations	13,841	12,822	13,213	13,433	13,445
Personal Service	11,398	11,051	11,300	11,520	11,532
Non-Personal Service/Indirect Costs	2,443	1,771	1,913	1,913	1,913
<b>Tax Appeals, Division of</b>	<b>2,700</b>	<b>3,174</b>	<b>3,255</b>	<b>3,309</b>	<b>3,361</b>
State Operations	2,700	3,174	3,255	3,309	3,361
Personal Service	2,535	2,962	3,034	3,088	3,140
Non-Personal Service/Indirect Costs	165	212	221	221	221
<b>Taxation and Finance, Department of</b>	<b>313,759</b>	<b>289,171</b>	<b>296,177</b>	<b>302,624</b>	<b>311,315</b>
Local Assistance Grants	115	926	926	926	926
State Operations	313,644	288,245	295,251	301,698	310,389
Personal Service	255,238	235,257	241,422	247,282	253,464
Non-Personal Service/Indirect Costs	58,406	52,988	53,829	54,416	56,925
<b>Technology, Office for</b>	<b>59,514</b>	<b>143,443</b>	<b>156,023</b>	<b>159,974</b>	<b>159,770</b>
Local Assistance Grants	0	0	0	0	0
State Operations	59,514	143,443	156,023	159,974	159,770
Personal Service	46,893	135,733	146,053	150,735	150,347
Non-Personal Service/Indirect Costs	12,621	7,710	9,970	9,239	9,423
<b>Veterans' Affairs, Division of</b>	<b>12,473</b>	<b>13,756</b>	<b>13,339</b>	<b>13,567</b>	<b>13,567</b>
Local Assistance Grants	7,175	7,767	7,577	7,637	7,637
State Operations	5,298	5,989	5,762	5,930	5,930
Personal Service	4,893	5,545	5,323	5,480	5,480
Non-Personal Service/Indirect Costs	405	444	439	450	450
<b>Functional Total</b>	<b>616,904</b>	<b>725,976</b>	<b>747,273</b>	<b>738,119</b>	<b>746,058</b>
<b>ELECTED OFFICIALS</b>					
<b>Audit and Control, Department of</b>	<b>154,948</b>	<b>155,642</b>	<b>158,991</b>	<b>164,148</b>	<b>165,485</b>
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	122,923	123,618	126,967	132,124	133,461
Personal Service	93,304	97,298	99,964	104,421	105,204
Non-Personal Service/Indirect Costs	29,619	26,320	27,003	27,703	28,257
<b>Executive Chamber</b>	<b>13,014</b>	<b>13,578</b>	<b>13,985</b>	<b>14,404</b>	<b>14,836</b>
State Operations	13,014	13,578	13,985	14,404	14,836
Personal Service	10,260	11,100	11,439	11,788	12,148
Non-Personal Service/Indirect Costs	2,754	2,478	2,546	2,616	2,688
<b>Judiciary</b>	<b>2,271,148</b>	<b>2,401,500</b>	<b>2,569,206</b>	<b>2,696,602</b>	<b>2,712,161</b>
Local Assistance Grants	2,443	2,500	17,500	17,500	17,500
State Operations	1,722,664	1,764,600	1,892,952	1,986,894	2,002,453
Personal Service	1,402,602	1,414,500	1,503,142	1,559,092	1,574,651
Non-Personal Service/Indirect Costs	320,062	350,100	389,810	427,802	427,802
General State Charges	546,041	634,400	658,754	692,208	692,208
<b>Law, Department of</b>	<b>94,930</b>	<b>98,220</b>	<b>98,947</b>	<b>101,937</b>	<b>102,862</b>
State Operations	94,930	98,220	98,947	101,937	102,862
Personal Service	78,734	83,944	86,364	89,040	89,708
Non-Personal Service/Indirect Costs	16,196	14,276	12,583	12,897	13,154
<b>Legislature</b>	<b>201,960</b>	<b>217,845</b>	<b>222,995</b>	<b>225,633</b>	<b>230,463</b>
State Operations	201,960	217,845	222,995	225,633	230,463
Personal Service	153,155	166,331	167,773	169,031	171,575
Non-Personal Service/Indirect Costs	48,805	51,514	55,222	56,602	58,888
<b>Lieutenant Governor, Office of the</b>	<b>433</b>	<b>614</b>	<b>665</b>	<b>680</b>	<b>680</b>
State Operations	433	614	665	680	680
Personal Service	345	480	515	543	543
Non-Personal Service/Indirect Costs	88	134	150	137	137
<b>Functional Total</b>	<b>2,736,433</b>	<b>2,887,399</b>	<b>3,064,789</b>	<b>3,203,404</b>	<b>3,226,487</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
<b>Aid and Incentives for Municipalities</b>	<b>721,159</b>	<b>725,700</b>	<b>734,523</b>	<b>749,901</b>	<b>761,789</b>
Local Assistance Grants	721,159	725,700	734,523	749,901	761,789
<b>Efficiency Incentive Grants Program</b>	<b>5,225</b>	<b>5,539</b>	<b>2,678</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
Local Assistance Grants	5,225	5,539	2,678	0	0
<b>Miscellaneous Financial Assistance</b>	<b>2,000</b>	<b>4,873</b>	<b>4,623</b>	<b>4,623</b>	<b>4,623</b>
Local Assistance Grants	2,000	4,873	4,623	4,623	4,623
<b>Municipalities with VLT Facilities</b>	<b>25,867</b>	<b>27,246</b>	<b>27,246</b>	<b>27,246</b>	<b>27,246</b>
Local Assistance Grants	25,867	27,246	27,246	27,246	27,246
<b>Small Government Assistance</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	217	218	218	218	218
<b>Functional Total</b>	<b><u>754,468</u></b>	<b><u>763,576</u></b>	<b><u>769,288</u></b>	<b><u>781,988</u></b>	<b><u>793,876</u></b>
<b>ALL OTHER CATEGORIES</b>					
<b>General State Charges</b>	<b><u>3,777,684</u></b>	<b><u>4,104,088</u></b>	<b><u>4,454,794</u></b>	<b><u>4,697,339</u></b>	<b><u>4,965,967</u></b>
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	3,777,684	4,104,088	4,454,794	4,697,339	4,965,967
<b>Long-Term Debt Service</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
<b>Miscellaneous</b>	<b><u>(25,410)</u></b>	<b><u>722,550</u></b>	<b><u>762,564</u></b>	<b><u>901,510</u></b>	<b><u>1,080,056</u></b>
Local Assistance Grants	(43,134)	427,952	517,879	632,253	735,370
State Operations	1,347	290,178	240,265	264,837	340,266
Personal Service	51	124,966	100,053	124,625	150,054
Non-Personal Service/Indirect Costs	1,296	165,212	140,212	140,212	190,212
General State Charges	16,377	4,420	4,420	4,420	4,420
<b>Functional Total</b>	<b><u>3,752,274</u></b>	<b><u>4,826,638</u></b>	<b><u>5,217,358</u></b>	<b><u>5,598,849</u></b>	<b><u>6,046,023</u></b>
<b>TOTAL GENERAL FUND SPENDING</b>	<b><u>52,164,788</u></b>	<b><u>52,774,630</u></b>	<b><u>55,743,205</u></b>	<b><u>58,775,609</u></b>	<b><u>61,361,482</u></b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	49,685	57,503	58,608	58,243	59,473
Economic Development, Department of	85,393	77,140	86,667	88,152	85,958
Empire State Development Corporation	83,275	76,339	78,994	111,722	135,994
Financial Services, Department of	0	0	0	0	0
Olympic Regional Development Authority	2,929	2,929	3,011	3,011	3,011
<b>Functional Total</b>	<b>221,282</b>	<b>213,911</b>	<b>227,280</b>	<b>261,128</b>	<b>284,436</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,090	4,029	4,100	4,184	4,185
Environmental Conservation, Department of	90,966	93,437	94,398	95,659	102,396
Parks, Recreation and Historic Preservation, Office of	112,590	110,296	111,383	112,884	113,841
<b>Functional Total</b>	<b>207,646</b>	<b>207,762</b>	<b>209,881</b>	<b>212,727</b>	<b>220,422</b>
<b>TRANSPORTATION</b>					
Thruway Authority, New York State	0	24,000	24,000	24,000	24,000
Transportation, Department of	98,653	99,206	99,206	99,206	99,206
<b>Functional Total</b>	<b>98,653</b>	<b>123,206</b>	<b>123,206</b>	<b>123,206</b>	<b>123,206</b>
<b>HEALTH</b>					
Aging, Office for the	114,480	115,615	121,915	128,656	133,321
Health, Department of	11,910,756	12,305,713	12,553,171	13,307,865	13,834,311
<i>Medical Assistance</i>	10,601,529	10,707,234	10,938,584	11,759,293	12,266,876
<i>Medicaid Administration</i>	528,985	709,397	649,897	590,397	590,397
<i>Public Health</i>	780,242	889,082	964,690	958,175	977,038
Medicaid Inspector General, Office of the	18,272	22,776	23,141	23,156	23,170
<b>Functional Total</b>	<b>12,043,508</b>	<b>12,444,104</b>	<b>12,698,227</b>	<b>13,459,677</b>	<b>13,990,802</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,766,733	1,847,483	1,986,699	2,051,800	2,142,059
<i>OCFS</i>	1,677,701	1,760,496	1,896,320	1,957,749	2,044,038
<i>OCFS - Other</i>	89,032	86,987	90,379	94,051	98,021
Housing and Community Renewal, Division of	63,292	21,831	21,664	54,401	54,676
Human Rights, Division of	12,326	10,021	10,277	10,598	10,597
Labor, Department of	5,388	11,639	285	285	285
National and Community Service	670	683	687	687	687
Prevention of Domestic Violence, Office for	1,565	2,293	2,245	2,290	2,294
Temporary and Disability Assistance, Office of	1,718,088	1,577,381	1,485,164	1,510,789	1,524,668
<i>Welfare Assistance</i>	1,416,672	1,287,173	1,185,272	1,211,985	1,220,523
<i>All Other</i>	301,416	290,208	299,892	298,804	304,145
Welfare Inspector General, Office of	245	1,179	1,207	1,217	1,217
<b>Functional Total</b>	<b>3,568,307</b>	<b>3,472,510</b>	<b>3,508,228</b>	<b>3,632,067</b>	<b>3,736,483</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	36,187	26,053	26,053	26,053	26,053
<i>OASAS</i>	3,507	4,728	4,728	4,728	4,728
<i>OASAS - Other</i>	32,680	21,325	21,325	21,325	21,325
Justice Center	0	33,831	35,713	36,364	36,946
Mental Health, Office of	392,770	356,279	378,379	455,979	532,544
<i>OMH</i>	1,733	800	800	800	800
<i>OMH - Other</i>	391,037	355,479	377,579	455,179	531,744
People with Developmental Disabilities, Office for	1,500,228	957,877	1,446,541	1,780,917	1,838,861
<i>OPWDD</i>	1	0	0	0	0
<i>OPWDD - Other</i>	1,500,227	957,877	1,446,541	1,780,917	1,838,861
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,092	1,277	0	0	0
<b>Functional Total</b>	<b>1,934,277</b>	<b>1,375,317</b>	<b>1,886,686</b>	<b>2,299,313</b>	<b>2,434,404</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,055	2,672	2,748	2,825	2,833
Correctional Services, Department of	2,744,763	2,561,447	2,618,825	2,755,525	2,710,324
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	12,500	12,500
Criminal Justice Services, Division of	170,636	177,829	166,394	167,510	168,240
Disaster Assistance	71,759	(82,725)	(400)	0	0
Homeland Security and Emergency Services, Division of	90,406	24,072	11,722	10,243	10,297
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	5,209	5,384	5,642	5,837	5,903

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	2	38	38	38	38
Military and Naval Affairs, Division of	20,571	22,406	22,808	23,177	23,438
State Police, Division of	547,120	592,781	606,969	620,182	626,077
<b>Functional Total</b>	<u>3,652,521</u>	<u>3,315,434</u>	<u>3,446,276</u>	<u>3,597,867</u>	<u>3,559,680</u>
<b>HIGHER EDUCATION</b>					
City University of New York	1,219,949	1,344,879	1,401,423	1,470,355	1,548,217
Higher Education Services Corporation, New York State	914,919	971,003	1,001,651	1,031,608	1,025,891
State University of New York	1,350,859	686,384	701,786	701,786	701,786
<b>Functional Total</b>	<u>3,485,727</u>	<u>3,002,266</u>	<u>3,104,860</u>	<u>3,203,749</u>	<u>3,275,894</u>
<b>EDUCATION</b>					
Arts, Council on the	22,631	59,955	39,955	39,955	39,955
Education, Department of	19,070,157	19,356,576	20,699,898	21,623,560	22,883,756
<i>School Aid</i>	17,110,104	17,289,694	18,572,932	19,389,737	20,518,850
<i>Special Education Categorical Programs</i>	1,351,788	1,418,116	1,522,216	1,626,216	1,751,116
<i>All Other</i>	608,265	648,766	604,750	607,607	613,790
<b>Functional Total</b>	<u>19,092,788</u>	<u>19,416,531</u>	<u>20,739,853</u>	<u>21,663,515</u>	<u>22,923,711</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	20,196	22,476	23,382	24,338	23,867
Civil Service, Department of	12,618	12,450	13,179	13,547	13,552
Deferred Compensation Board	45	57	56	57	59
Elections, State Board of	5,158	7,314	35,296	5,442	5,497
Employee Relations, Office of	2,512	2,605	2,610	2,692	2,693
General Services, Office of	137,803	158,150	132,210	133,877	136,240
Inspector General, Office of the	5,793	6,623	6,876	7,102	7,164
Labor Management Committees	17,666	35,513	39,687	45,700	42,977
Public Employment Relations Board	3,273	3,529	3,452	3,544	3,586
Public Integrity, Commission on	3,887	4,505	5,078	5,473	5,525
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	19,507	23,210	16,653	16,873	16,885
Tax Appeals, Division of	2,700	3,174	3,255	3,309	3,361
Taxation and Finance, Department of	313,759	289,171	296,177	302,624	311,315
Technology, Office for	59,514	143,443	156,023	159,974	159,770
Veterans' Affairs, Division of	12,473	13,756	13,339	13,567	13,567
<b>Functional Total</b>	<u>616,904</u>	<u>725,976</u>	<u>747,273</u>	<u>738,119</u>	<u>746,058</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	154,948	155,642	158,991	164,148	165,485
Executive Chamber	13,014	13,578	13,985	14,404	14,836
Judiciary	2,271,148	2,401,500	2,569,206	2,696,602	2,712,161
Law, Department of	94,930	98,220	98,947	101,937	102,862
Legislature	201,960	217,845	222,995	225,633	230,463
Lieutenant Governor, Office of the	433	614	665	680	680
<b>Functional Total</b>	<u>2,736,433</u>	<u>2,887,399</u>	<u>3,064,789</u>	<u>3,203,404</u>	<u>3,226,487</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,159	725,700	734,523	749,901	761,789
Efficiency Incentive Grants Program	5,225	5,539	2,678	0	0
Miscellaneous Financial Assistance	2,000	4,873	4,623	4,623	4,623
Municipalities with VLT Facilities	25,867	27,246	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>754,468</u>	<u>763,576</u>	<u>769,288</u>	<u>781,988</u>	<u>793,876</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,777,684	4,104,088	4,454,794	4,697,339	4,965,967
Long-Term Debt Service	0	0	0	0	0
Miscellaneous	(25,410)	722,550	762,564	901,510	1,080,056
<b>Functional Total</b>	<u>3,752,274</u>	<u>4,826,638</u>	<u>5,217,358</u>	<u>5,598,849</u>	<u>6,046,023</u>
<b>TOTAL GENERAL FUND SPENDING</b>	<u><u>52,164,788</u></u>	<u><u>52,774,630</u></u>	<u><u>55,743,205</u></u>	<u><u>58,775,609</u></u>	<u><u>61,361,482</u></u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	19,476	26,137	25,719	24,946	25,486
Economic Development, Department of	66,116	56,892	66,022	67,272	66,272
Empire State Development Corporation	82,275	75,339	77,994	110,722	134,994
Financial Services, Department of	0	0	0	0	0
<b>Functional Total</b>	<u>167,867</u>	<u>158,368</u>	<u>169,735</u>	<u>202,940</u>	<u>226,752</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	1,338	4,970	4,542	4,542	4,542
Parks, Recreation and Historic Preservation, Office of	3,110	2,910	2,750	2,750	2,750
<b>Functional Total</b>	<u>4,448</u>	<u>7,880</u>	<u>7,292</u>	<u>7,292</u>	<u>7,292</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	97,630	97,551	97,551	97,551	97,551
<b>Functional Total</b>	<u>97,630</u>	<u>97,551</u>	<u>97,551</u>	<u>97,551</u>	<u>97,551</u>
<b>HEALTH</b>					
Aging, Office for the	112,942	114,007	120,307	127,011	131,641
Health, Department of	<u>11,736,550</u>	<u>11,945,025</u>	<u>12,185,039</u>	<u>12,943,134</u>	<u>13,457,092</u>
<i>Medical Assistance</i>	10,580,501	10,707,234	10,938,584	11,759,293	12,266,876
<i>Medicaid Administration</i>	528,985	518,069	452,558	376,431	364,411
<i>Public Health</i>	627,064	719,722	793,897	807,410	825,805
<b>Functional Total</b>	<u>11,849,492</u>	<u>12,059,032</u>	<u>12,305,346</u>	<u>13,070,145</u>	<u>13,588,733</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,487,855	1,600,333	1,755,965	1,824,728	1,910,652
<i>OCFS</i>	1,398,823	1,513,346	1,665,586	1,730,677	1,812,631
<i>OCFS - Other</i>	89,032	86,987	90,379	94,051	98,021
Housing and Community Renewal, Division of	50,001	13,554	13,554	45,972	45,972
Labor, Department of	5,388	11,354	0	0	0
National and Community Service	396	350	350	350	350
Prevention of Domestic Violence, Office for	424	685	685	685	685
Temporary and Disability Assistance, Office of	1,535,104	1,391,869	1,289,968	1,318,081	1,328,019
<i>Welfare Assistance</i>	1,416,672	1,287,173	1,185,272	1,211,985	1,220,523
<i>All Other</i>	118,432	104,696	104,696	106,096	107,496
<b>Functional Total</b>	<u>3,079,168</u>	<u>3,018,145</u>	<u>3,060,522</u>	<u>3,189,816</u>	<u>3,285,678</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	36,187	26,053	26,053	26,053	26,053
<i>OASAS</i>	3,507	4,728	4,728	4,728	4,728
<i>OASAS - Other</i>	32,680	21,325	21,325	21,325	21,325
Justice Center	0	128	170	170	170
Mental Health, Office of	392,650	355,479	377,579	455,179	531,744
<i>OMH</i>	1,613	0	0	0	0
<i>OMH - Other</i>	391,037	355,479	377,579	455,179	531,744
People with Developmental Disabilities, Office for	1,500,228	957,877	1,446,541	1,780,917	1,838,861
<i>OPWDD</i>	1	0	0	0	0
<i>OPWDD - Other</i>	1,500,227	957,877	1,446,541	1,780,917	1,838,861
Quality of Care and Advocacy for Persons With Disabilities, Commission on	128	42	0	0	0
<b>Functional Total</b>	<u>1,929,193</u>	<u>1,339,579</u>	<u>1,850,343</u>	<u>2,262,319</u>	<u>2,396,828</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	4,651	6,000	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	12,500	12,500
Criminal Justice Services, Division of	120,160	135,046	123,147	123,147	123,147
Disaster Assistance	19,990	2,276	(400)	0	0
Homeland Security and Emergency Services, Division of	81,684	17,100	5,963	4,222	4,222
Military and Naval Affairs, Division of	755	867	850	850	850
<b>Functional Total</b>	<u>227,240</u>	<u>172,789</u>	<u>147,060</u>	<u>146,719</u>	<u>146,719</u>
<b>HIGHER EDUCATION</b>					
City University of New York	1,219,949	1,344,879	1,401,423	1,470,355	1,548,217
Higher Education Services Corporation, New York State	914,906	971,003	1,001,651	1,031,608	1,025,891
State University of New York	462,422	476,329	491,731	491,731	491,731
<b>Functional Total</b>	<u>2,597,277</u>	<u>2,792,211</u>	<u>2,894,805</u>	<u>2,993,694</u>	<u>3,065,839</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>EDUCATION</b>					
Arts, Council on the	19,095	55,835	35,835	35,835	35,835
Education, Department of	<u>19,029,149</u>	<u>19,309,070</u>	<u>20,650,615</u>	<u>21,573,892</u>	<u>22,834,088</u>
<i>School Aid</i>	17,110,104	17,289,694	18,572,932	19,389,737	20,518,850
<i>Special Education Categorical Programs</i>	1,351,788	1,418,116	1,522,216	1,626,216	1,751,116
<i>All Other</i>	567,257	601,260	555,467	557,939	564,122
<b>Functional Total</b>	<u>19,048,244</u>	<u>19,364,905</u>	<u>20,686,450</u>	<u>21,609,727</u>	<u>22,869,923</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	272	2,200	30,000	0	0
State, Department of	5,666	10,388	3,440	3,440	3,440
Taxation and Finance, Department of	115	926	926	926	926
Technology, Office for	0	0	0	0	0
Veterans' Affairs, Division of	7,175	7,767	7,577	7,637	7,637
<b>Functional Total</b>	<u>13,228</u>	<u>21,281</u>	<u>41,943</u>	<u>12,003</u>	<u>12,003</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	2,443	2,500	17,500	17,500	17,500
<b>Functional Total</b>	<u>34,468</u>	<u>34,524</u>	<u>49,524</u>	<u>49,524</u>	<u>49,524</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,159	725,700	734,523	749,901	761,789
Efficiency Incentive Grants Program	5,225	5,539	2,678	0	0
Miscellaneous Financial Assistance	2,000	4,873	4,623	4,623	4,623
Municipalities with VLT Facilities	25,867	27,246	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>754,468</u>	<u>763,576</u>	<u>769,288</u>	<u>781,988</u>	<u>793,876</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(43,134)	427,952	517,879	632,253	735,370
<b>Functional Total</b>	<u>(43,134)</u>	<u>427,952</u>	<u>517,879</u>	<u>632,253</u>	<u>735,370</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u>39,759,589</u>	<u>40,257,793</u>	<u>42,597,738</u>	<u>45,055,971</u>	<u>47,276,088</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	30,209	31,366	32,889	33,297	33,987
Economic Development, Department of	19,277	20,248	20,645	20,880	19,686
Empire State Development Corporation	1,000	1,000	1,000	1,000	1,000
Olympic Regional Development Authority	2,929	2,929	3,011	3,011	3,011
<b>Functional Total</b>	<u>53,415</u>	<u>55,543</u>	<u>57,545</u>	<u>58,188</u>	<u>57,684</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,090	4,029	4,100	4,184	4,185
Environmental Conservation, Department of	89,628	88,467	89,856	91,117	97,854
Parks, Recreation and Historic Preservation, Office of	109,480	107,386	108,633	110,134	111,091
<b>Functional Total</b>	<u>203,198</u>	<u>199,882</u>	<u>202,589</u>	<u>205,435</u>	<u>213,130</u>
<b>TRANSPORTATION</b>					
Thruway Authority, New York State	0	24,000	24,000	24,000	24,000
Transportation, Department of	1,023	1,655	1,655	1,655	1,655
<b>Functional Total</b>	<u>1,023</u>	<u>25,655</u>	<u>25,655</u>	<u>25,655</u>	<u>25,655</u>
<b>HEALTH</b>					
Aging, Office for the	1,538	1,608	1,608	1,645	1,680
Health, Department of	174,206	360,688	368,132	364,731	377,219
<i>Medical Assistance</i>	21,028	0	0	0	0
<i>Medicaid Administration</i>	0	191,328	197,339	213,966	225,986
<i>Public Health</i>	153,178	169,360	170,793	150,765	151,233
Medicaid Inspector General, Office of the	18,272	22,776	23,141	23,156	23,170
<b>Functional Total</b>	<u>194,016</u>	<u>385,072</u>	<u>392,881</u>	<u>389,532</u>	<u>402,069</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	278,878	247,150	230,734	227,072	231,407
<i>OCFS</i>	278,878	247,150	230,734	227,072	231,407
Housing and Community Renewal, Division of	13,291	8,277	8,110	8,429	8,704
Human Rights, Division of	12,326	10,021	10,277	10,598	10,597
Labor, Department of	0	285	285	285	285
National and Community Service	274	333	337	337	337
Prevention of Domestic Violence, Office for	1,141	1,608	1,560	1,605	1,609
Temporary and Disability Assistance, Office of	182,984	185,512	195,196	192,708	196,649
<i>All Other</i>	182,984	185,512	195,196	192,708	196,649
Welfare Inspector General, Office of	245	1,179	1,207	1,217	1,217
<b>Functional Total</b>	<u>489,139</u>	<u>454,365</u>	<u>447,706</u>	<u>442,251</u>	<u>450,805</u>
<b>MENTAL HYGIENE</b>					
Justice Center	0	33,703	35,543	36,194	36,776
Mental Health, Office of	120	800	800	800	800
<i>OMH</i>	120	800	800	800	800
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,964	1,235	0	0	0
<b>Functional Total</b>	<u>5,084</u>	<u>35,738</u>	<u>36,343</u>	<u>36,994</u>	<u>37,576</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,055	2,672	2,748	2,825	2,833
Correctional Services, Department of	2,740,112	2,555,447	2,612,825	2,749,525	2,704,324
Criminal Justice Services, Division of	50,476	42,783	43,247	44,363	45,093
Disaster Assistance	51,769	(85,001)	0	0	0
Homeland Security and Emergency Services, Division of	8,722	6,972	5,759	6,021	6,075
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	5,209	5,384	5,642	5,837	5,903
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	2	38	38	38	38
Military and Naval Affairs, Division of	19,816	21,539	21,958	22,327	22,588
State Police, Division of	547,120	592,781	606,969	620,182	626,077
<b>Functional Total</b>	<u>3,425,281</u>	<u>3,142,645</u>	<u>3,299,216</u>	<u>3,451,148</u>	<u>3,412,961</u>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	13	0	0	0	0
State University of New York	679,014	0	0	0	0
<b>Functional Total</b>	<u>679,027</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>EDUCATION</b>					
Arts, Council on the	3,536	4,120	4,120	4,120	4,120
Education, Department of	41,008	47,506	49,283	49,668	49,668
<i>All Other</i>	41,008	47,506	49,283	49,668	49,668
<b>Functional Total</b>	<u>44,544</u>	<u>51,626</u>	<u>53,403</u>	<u>53,788</u>	<u>53,788</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	20,196	22,476	23,382	24,338	23,867
Civil Service, Department of	12,618	12,450	13,179	13,547	13,552
Deferred Compensation Board	45	57	56	57	59
Elections, State Board of	4,886	5,114	5,296	5,442	5,497
Employee Relations, Office of	2,512	2,605	2,610	2,692	2,693
General Services, Office of	137,803	158,150	132,210	133,877	136,240
Inspector General, Office of the	5,793	6,623	6,876	7,102	7,164
Labor Management Committees	17,666	35,513	39,687	45,700	42,977
Public Employment Relations Board	3,273	3,529	3,452	3,544	3,586
Public Integrity, Commission on	3,887	4,505	5,078	5,473	5,525
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	13,841	12,822	13,213	13,433	13,445
Tax Appeals, Division of	2,700	3,174	3,255	3,309	3,361
Taxation and Finance, Department of	313,644	288,245	295,251	301,698	310,389
Technology, Office for	59,514	143,443	156,023	159,974	159,770
Veterans' Affairs, Division of	5,298	5,989	5,762	5,930	5,930
<b>Functional Total</b>	<u>603,676</u>	<u>704,695</u>	<u>705,330</u>	<u>726,116</u>	<u>734,055</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	122,923	123,618	126,967	132,124	133,461
Executive Chamber	13,014	13,578	13,985	14,404	14,836
Judiciary	1,722,664	1,764,600	1,892,952	1,986,894	2,002,453
Law, Department of	94,930	98,220	98,947	101,937	102,862
Legislature	201,960	217,845	222,995	225,633	230,463
Lieutenant Governor, Office of the	433	614	665	680	680
<b>Functional Total</b>	<u>2,155,924</u>	<u>2,218,475</u>	<u>2,356,511</u>	<u>2,461,672</u>	<u>2,484,755</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
Miscellaneous	1,347	290,178	240,265	264,837	340,266
<b>Functional Total</b>	<u>1,347</u>	<u>290,178</u>	<u>240,265</u>	<u>264,837</u>	<u>340,266</u>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<u><u>7,855,674</u></u>	<u><u>7,563,874</u></u>	<u><u>7,817,444</u></u>	<u><u>8,115,616</u></u>	<u><u>8,212,744</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	23,878	24,430	25,803	26,332	26,595
Economic Development, Department of	11,642	12,821	12,352	12,587	12,593
Empire State Development Corporation	500	500	500	500	500
Olympic Regional Development Authority	2,500	2,522	2,548	2,548	2,548
<b>Functional Total</b>	<u>38,520</u>	<u>40,273</u>	<u>41,203</u>	<u>41,967</u>	<u>42,236</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	3,730	3,703	3,774	3,858	3,859
Environmental Conservation, Department of	81,156	79,029	80,418	81,679	83,706
Parks, Recreation and Historic Preservation, Office of	102,121	99,249	100,496	101,997	102,954
<b>Functional Total</b>	<u>187,007</u>	<u>181,981</u>	<u>184,688</u>	<u>187,534</u>	<u>190,519</u>
<b>HEALTH</b>					
Aging, Office for the	1,357	1,427	1,427	1,457	1,488
Health, Department of	52,012	121,599	134,882	154,204	166,672
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	29,803	39,303	55,903	67,903
<i>Public Health</i>	52,012	91,796	95,579	98,301	98,769
Medicaid Inspector General, Office of the	14,167	17,470	17,485	17,500	17,514
<b>Functional Total</b>	<u>67,536</u>	<u>140,496</u>	<u>153,794</u>	<u>173,161</u>	<u>185,674</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	174,390	155,644	138,831	136,615	138,266
<i>OCFS</i>	174,390	155,644	138,831	136,615	138,266
Housing and Community Renewal, Division of	8,917	3,868	3,685	3,939	4,073
Human Rights, Division of	10,199	9,316	9,541	9,832	9,831
Labor, Department of	0	85	85	85	85
National and Community Service	264	325	328	328	328
Prevention of Domestic Violence, Office for	1,037	1,388	1,443	1,477	1,479
Temporary and Disability Assistance, Office of	71,949	66,935	70,131	71,873	72,793
<i>All Other</i>	71,949	66,935	70,131	71,873	72,793
Welfare Inspector General, Office of	245	721	738	748	748
<b>Functional Total</b>	<u>267,001</u>	<u>238,282</u>	<u>224,782</u>	<u>224,897</u>	<u>227,603</u>
<b>MENTAL HYGIENE</b>					
Justice Center	0	15,778	16,944	17,126	17,224
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,133	993	0	0	0
<b>Functional Total</b>	<u>4,133</u>	<u>16,771</u>	<u>16,944</u>	<u>17,126</u>	<u>17,224</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	1,857	2,414	2,481	2,548	2,550
Correctional Services, Department of	2,270,171	2,059,964	2,105,453	2,230,102	2,171,341
Criminal Justice Services, Division of	29,804	25,150	24,182	24,837	25,166
Disaster Assistance	24,884	(24,884)	0	0	0
Homeland Security and Emergency Services, Division of	6,404	6,972	5,759	6,021	6,075
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	3,953	4,093	4,246	4,366	4,399
Judicial Screening Committees, New York State	0	13	13	13	13
Military and Naval Affairs, Division of	15,040	15,884	16,077	16,294	16,416
State Police, Division of	508,240	545,755	551,891	560,004	564,361
<b>Functional Total</b>	<u>2,860,353</u>	<u>2,635,361</u>	<u>2,710,102</u>	<u>2,844,185</u>	<u>2,790,321</u>
<b>HIGHER EDUCATION</b>					
State University of New York	517,995	0	0	0	0
<b>Functional Total</b>	<u>517,995</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>EDUCATION</b>					
Arts, Council on the	2,059	2,298	2,298	2,298	2,298
Education, Department of	25,227	24,498	24,875	25,260	25,260
<i>All Other</i>	25,227	24,498	24,875	25,260	25,260
<b>Functional Total</b>	<u>27,286</u>	<u>26,796</u>	<u>27,173</u>	<u>27,558</u>	<u>27,558</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	18,148	21,060	21,938	22,865	22,365

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
Civil Service, Department of	11,788	11,772	12,501	12,864	12,869
Deferred Compensation Board	30	32	30	31	32
Elections, State Board of	4,056	4,250	4,370	4,495	4,530
Employee Relations, Office of	2,445	2,523	2,528	2,609	2,610
General Services, Office of	47,147	54,045	54,259	54,527	54,966
Inspector General, Office of the	5,269	6,022	6,294	6,478	6,528
Labor Management Committees	6,038	5,313	5,862	6,869	6,584
Public Employment Relations Board	2,882	3,336	3,046	3,138	3,162
Public Integrity, Commission on	2,666	3,634	4,035	4,133	4,158
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	11,398	11,051	11,300	11,520	11,532
Tax Appeals, Division of	2,535	2,962	3,034	3,088	3,140
Taxation and Finance, Department of	255,238	235,257	241,422	247,282	253,464
Technology, Office for	46,893	135,733	146,053	150,735	150,347
Veterans' Affairs, Division of	4,893	5,545	5,323	5,480	5,480
<b>Functional Total</b>	<u>421,426</u>	<u>502,535</u>	<u>521,995</u>	<u>536,114</u>	<u>541,767</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	93,304	97,298	99,964	104,421	105,204
Executive Chamber	10,260	11,100	11,439	11,788	12,148
Judiciary	1,402,602	1,414,500	1,503,142	1,559,092	1,574,651
Law, Department of	78,734	83,944	86,364	89,040	89,708
Legislature	153,155	166,331	167,773	169,031	171,575
Lieutenant Governor, Office of the	345	480	515	543	543
<b>Functional Total</b>	<u>1,738,400</u>	<u>1,773,653</u>	<u>1,869,197</u>	<u>1,933,915</u>	<u>1,953,829</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	0	0	0	0	0
Miscellaneous	51	124,966	100,053	124,625	150,054
<b>Functional Total</b>	<u>51</u>	<u>124,966</u>	<u>100,053</u>	<u>124,625</u>	<u>150,054</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>6,129,708</u></u>	<u><u>5,681,114</u></u>	<u><u>5,849,931</u></u>	<u><u>6,111,082</u></u>	<u><u>6,126,785</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	6,331	6,936	7,086	6,965	7,392
Economic Development, Department of	7,635	7,427	8,293	8,293	7,093
Empire State Development Corporation	500	500	500	500	500
Olympic Regional Development Authority	429	407	463	463	463
<b>Functional Total</b>	<u>14,895</u>	<u>15,270</u>	<u>16,342</u>	<u>16,221</u>	<u>15,448</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	360	326	326	326	326
Environmental Conservation, Department of	8,472	9,438	9,438	9,438	14,148
Parks, Recreation and Historic Preservation, Office of	7,359	8,137	8,137	8,137	8,137
<b>Functional Total</b>	<u>16,191</u>	<u>17,901</u>	<u>17,901</u>	<u>17,901</u>	<u>22,611</u>
<b>TRANSPORTATION</b>					
Thruway Authority, New York State	0	24,000	24,000	24,000	24,000
Transportation, Department of	1,023	1,655	1,655	1,655	1,655
<b>Functional Total</b>	<u>1,023</u>	<u>25,655</u>	<u>25,655</u>	<u>25,655</u>	<u>25,655</u>
<b>HEALTH</b>					
Aging, Office for the	181	181	181	188	192
Health, Department of	122,194	239,089	233,250	210,527	210,547
<i>Medical Assistance</i>	21,028	0	0	0	0
<i>Medicaid Administration</i>	0	161,525	158,036	158,063	158,083
<i>Public Health</i>	101,166	77,564	75,214	52,464	52,464
Medicaid Inspector General, Office of the	4,105	5,306	5,656	5,656	5,656
<b>Functional Total</b>	<u>126,480</u>	<u>244,576</u>	<u>239,087</u>	<u>216,371</u>	<u>216,395</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	104,488	91,506	91,903	90,457	93,141
<i>OCFS</i>	104,488	91,506	91,903	90,457	93,141
Housing and Community Renewal, Division of	4,374	4,409	4,425	4,490	4,631
Human Rights, Division of	2,127	705	736	766	766
Labor, Department of	0	200	200	200	200
National and Community Service	10	8	9	9	9
Prevention of Domestic Violence, Office for	104	220	117	128	130
Temporary and Disability Assistance, Office of	111,035	118,577	125,065	120,835	123,856
<i>All Other</i>	111,035	118,577	125,065	120,835	123,856
Welfare Inspector General, Office of	0	458	469	469	469
<b>Functional Total</b>	<u>222,138</u>	<u>216,083</u>	<u>222,924</u>	<u>217,354</u>	<u>223,202</u>
<b>MENTAL HYGIENE</b>					
Justice Center	0	17,925	18,599	19,068	19,552
Mental Health, Office of	120	800	800	800	800
<i>OMH</i>	120	800	800	800	800
Quality of Care and Advocacy for Persons With Disabilities, Commission on	831	242	0	0	0
<b>Functional Total</b>	<u>951</u>	<u>18,967</u>	<u>19,399</u>	<u>19,868</u>	<u>20,352</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	198	258	267	277	283
Correctional Services, Department of	469,941	495,483	507,372	519,423	532,983
Criminal Justice Services, Division of	20,672	17,633	19,065	19,526	19,927
Disaster Assistance	26,885	(60,117)	0	0	0
Homeland Security and Emergency Services, Division of	2,318	0	0	0	0
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	1,256	1,291	1,396	1,471	1,504
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	2	25	25	25	25
Military and Naval Affairs, Division of	4,776	5,655	5,881	6,033	6,172
State Police, Division of	38,880	47,026	55,078	60,178	61,716
<b>Functional Total</b>	<u>564,928</u>	<u>507,284</u>	<u>589,114</u>	<u>606,963</u>	<u>622,640</u>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	13	0	0	0	0
State University of New York	161,019	0	0	0	0
<b>Functional Total</b>	<u>161,032</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>EDUCATION</b>					
Arts, Council on the	1,477	1,822	1,822	1,822	1,822
Education, Department of	15,781	23,008	24,408	24,408	24,408
<i>All Other</i>	15,781	23,008	24,408	24,408	24,408
<b>Functional Total</b>	<u>17,258</u>	<u>24,830</u>	<u>26,230</u>	<u>26,230</u>	<u>26,230</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,048	1,416	1,444	1,473	1,502
Civil Service, Department of	830	678	678	683	683
Deferred Compensation Board	15	25	26	26	27
Elections, State Board of	830	864	926	947	967
Employee Relations, Office of	67	82	82	83	83
General Services, Office of	90,656	104,105	77,951	79,350	81,274
Inspector General, Office of the	524	601	582	624	636
Labor Management Committees	11,628	30,200	33,825	38,831	36,393
Public Employment Relations Board	391	193	406	406	424
Public Integrity, Commission on	1,221	871	1,043	1,340	1,367
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	2,443	1,771	1,913	1,913	1,913
Tax Appeals, Division of	165	212	221	221	221
Taxation and Finance, Department of	58,406	52,988	53,829	54,416	56,925
Technology, Office for	12,621	7,710	9,970	9,239	9,423
Veterans' Affairs, Division of	405	444	439	450	450
<b>Functional Total</b>	<u>182,250</u>	<u>202,160</u>	<u>183,335</u>	<u>190,002</u>	<u>192,288</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	29,619	26,320	27,003	27,703	28,257
Executive Chamber	2,754	2,478	2,546	2,616	2,688
Judiciary	320,062	350,100	389,810	427,802	427,802
Law, Department of	16,196	14,276	12,583	12,897	13,154
Legislature	48,805	51,514	55,222	56,602	58,888
Lieutenant Governor, Office of the	88	134	150	137	137
<b>Functional Total</b>	<u>417,524</u>	<u>444,822</u>	<u>487,314</u>	<u>527,757</u>	<u>530,926</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	0	0	0	0	0
Long-Term Debt Service	0	0	0	0	0
Miscellaneous	1,296	165,212	140,212	140,212	190,212
<b>Functional Total</b>	<u>1,296</u>	<u>165,212</u>	<u>140,212</u>	<u>140,212</u>	<u>190,212</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u><u>1,725,966</u></u>	<u><u>1,882,760</u></u>	<u><u>1,967,513</u></u>	<u><u>2,004,534</u></u>	<u><u>2,085,959</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>HIGHER EDUCATION</b>					
State University of New York	209,423	210,055	210,055	210,055	210,055
<b>Functional Total</b>	<u>209,423</u>	<u>210,055</u>	<u>210,055</u>	<u>210,055</u>	<u>210,055</u>
<b>EDUCATION</b>					
Education, Department of	0	0	0	0	0
<i>All Other</i>	0	0	0	0	0
<b>Functional Total</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	546,041	634,400	658,754	692,208	692,208
<b>Functional Total</b>	<u>546,041</u>	<u>634,400</u>	<u>658,754</u>	<u>692,208</u>	<u>692,208</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,777,684	4,104,088	4,454,794	4,697,339	4,965,967
Miscellaneous	16,377	4,420	4,420	4,420	4,420
<b>Functional Total</b>	<u>3,794,061</u>	<u>4,108,508</u>	<u>4,459,214</u>	<u>4,701,759</u>	<u>4,970,387</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>4,549,525</u></u>	<u><u>4,952,963</u></u>	<u><u>5,328,023</u></u>	<u><u>5,604,022</u></u>	<u><u>5,872,650</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
<b><i>Agriculture and Markets, Department of</i></b>	<b>70,404</b>	<b>80,765</b>	<b>83,345</b>	<b>83,550</b>	<b>85,252</b>
Local Assistance Grants	19,476	26,137	25,719	24,946	25,486
State Operations	49,174	53,079	56,015	56,875	57,963
Personal Service	26,859	27,674	29,123	29,747	30,045
Non-Personal Service/Indirect Costs	22,315	25,405	26,892	27,128	27,918
General State Charges	1,754	1,549	1,611	1,729	1,803
<b><i>Alcoholic Beverage Control, Division of</i></b>	<b>15,395</b>	<b>18,175</b>	<b>18,742</b>	<b>19,188</b>	<b>19,995</b>
State Operations	12,474	13,629	14,057	14,256	14,874
Personal Service	7,511	8,195	8,308	8,370	8,433
Non-Personal Service/Indirect Costs	4,963	5,434	5,749	5,886	6,441
General State Charges	2,921	4,546	4,685	4,932	5,121
<b><i>Economic Development, Department of</i></b>	<b>86,141</b>	<b>84,072</b>	<b>102,645</b>	<b>102,130</b>	<b>90,936</b>
Local Assistance Grants	66,116	61,846	80,022	79,272	69,272
State Operations	20,025	22,198	22,595	22,830	21,636
Personal Service	11,642	12,924	12,455	12,690	12,696
Non-Personal Service/Indirect Costs	8,383	9,274	10,140	10,140	8,940
General State Charges	0	28	28	28	28
<b><i>Empire State Development Corporation</i></b>	<b>83,275</b>	<b>76,339</b>	<b>78,994</b>	<b>111,722</b>	<b>135,994</b>
Local Assistance Grants	82,275	75,339	77,994	110,722	134,994
State Operations	1,000	1,000	1,000	1,000	1,000
Personal Service	500	500	500	500	500
Non-Personal Service/Indirect Costs	500	500	500	500	500
<b><i>Energy Research and Development Authority</i></b>	<b>13,903</b>	<b>16,158</b>	<b>16,477</b>	<b>16,808</b>	<b>17,215</b>
Local Assistance Grants	6,108	9,234	9,418	9,607	9,799
State Operations	5,606	5,286	5,389	5,497	5,678
Personal Service	4,027	3,432	3,500	3,570	3,711
Non-Personal Service/Indirect Costs	1,579	1,854	1,889	1,927	1,967
General State Charges	2,189	1,638	1,670	1,704	1,738
<b><i>Financial Services, Department of</i></b>	<b>487,036</b>	<b>505,837</b>	<b>510,733</b>	<b>518,788</b>	<b>521,669</b>
Local Assistance Grants	221,513	216,952	216,952	216,952	216,952
State Operations	193,410	202,715	204,957	207,557	207,557
Personal Service	136,494	144,757	146,931	149,453	149,453
Non-Personal Service/Indirect Costs	56,916	57,958	58,026	58,104	58,104
General State Charges	72,113	86,170	88,824	94,279	97,160
<b><i>Olympic Regional Development Authority</i></b>	<b>2,931</b>	<b>4,259</b>	<b>3,161</b>	<b>3,161</b>	<b>3,161</b>
State Operations	2,931	4,259	3,161	3,161	3,161
Personal Service	2,500	2,522	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	431	1,737	613	613	613
<b><i>Public Service Department</i></b>	<b>63,299</b>	<b>69,997</b>	<b>74,768</b>	<b>78,809</b>	<b>81,231</b>
Local Assistance Grants	0	200	200	200	200
State Operations	46,659	49,066	50,882	52,837	53,925
Personal Service	37,725	40,387	41,997	43,741	44,642
Non-Personal Service/Indirect Costs	8,934	8,679	8,885	9,096	9,283
General State Charges	16,640	20,731	23,686	25,772	27,106
<b><i>Racing and Wagering Board, State</i></b>	<b>17,760</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	14,974	0	0	0	0
Personal Service	9,716	0	0	0	0
Non-Personal Service/Indirect Costs	5,258	0	0	0	0
General State Charges	2,786	0	0	0	0
<b>Functional Total</b>	<b>840,144</b>	<b>855,602</b>	<b>888,865</b>	<b>934,156</b>	<b>955,453</b>
<b>PARKS AND THE ENVIRONMENT</b>					
<b><i>Adirondack Park Agency</i></b>	<b>4,090</b>	<b>4,029</b>	<b>4,100</b>	<b>4,184</b>	<b>4,185</b>
State Operations	4,090	4,029	4,100	4,184	4,185
Personal Service	3,730	3,703	3,774	3,858	3,859
Non-Personal Service/Indirect Costs	360	326	326	326	326
<b><i>Environmental Conservation, Department of</i></b>	<b>271,457</b>	<b>277,062</b>	<b>275,297</b>	<b>279,918</b>	<b>284,436</b>
Local Assistance Grants	2,335	4,970	4,542	4,542	4,542
State Operations	230,616	232,329	231,569	234,434	237,123
Personal Service	172,343	171,431	175,268	177,990	180,420

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
Non-Personal Service/Indirect Costs	58,273	60,898	56,301	56,444	56,703
General State Charges	38,506	39,763	39,186	40,942	42,771
<b>Environmental Facilities Corporation</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>190,574</b>	<b>190,935</b>	<b>193,230</b>	<b>195,208</b>	<b>196,877</b>
Local Assistance Grants	6,583	7,760	7,600	7,600	7,600
State Operations	179,867	174,345	176,737	178,670	180,343
Personal Service	130,938	128,377	130,134	132,067	133,740
Non-Personal Service/Indirect Costs	48,929	45,968	46,603	46,603	46,603
General State Charges	245	3,830	3,893	3,938	3,934
Capital Projects	3,879	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>466,121</b>	<b>472,026</b>	<b>472,627</b>	<b>479,310</b>	<b>485,498</b>
<b>TRANSPORTATION</b>					
<b>Motor Vehicles, Department of</b>	<b>89,842</b>	<b>97,598</b>	<b>101,165</b>	<b>106,796</b>	<b>109,661</b>
State Operations	65,717	69,705	72,379	75,288	76,635
Personal Service	49,216	50,274	51,038	53,476	54,391
Non-Personal Service/Indirect Costs	16,501	19,431	21,341	21,812	22,244
General State Charges	24,125	27,893	28,786	31,508	33,026
<b>Thruway Authority, New York State</b>	<b>0</b>	<b>24,000</b>	<b>24,000</b>	<b>24,000</b>	<b>24,000</b>
State Operations	0	24,000	24,000	24,000	24,000
Non-Personal Service/Indirect Costs	0	24,000	24,000	24,000	24,000
<b>Transportation, Department of</b>	<b>4,331,374</b>	<b>4,776,408</b>	<b>4,862,219</b>	<b>4,941,994</b>	<b>5,027,719</b>
Local Assistance Grants	4,303,243	4,745,296	4,830,863	4,909,602	4,995,282
State Operations	20,224	25,447	25,402	26,019	26,047
Personal Service	9,022	10,251	10,499	10,775	10,781
Non-Personal Service/Indirect Costs	11,202	15,196	14,903	15,244	15,266
General State Charges	4,180	5,665	5,954	6,373	6,390
Capital Projects	3,727	0	0	0	0
<b>Functional Total</b>	<b>4,421,216</b>	<b>4,898,006</b>	<b>4,987,384</b>	<b>5,072,790</b>	<b>5,161,380</b>
<b>HEALTH</b>					
<b>Aging, Office for the</b>	<b>114,480</b>	<b>115,616</b>	<b>121,916</b>	<b>128,657</b>	<b>133,322</b>
Local Assistance Grants	112,942	114,007	120,307	127,011	131,641
State Operations	1,538	1,609	1,609	1,646	1,681
Personal Service	1,357	1,427	1,427	1,457	1,488
Non-Personal Service/Indirect Costs	181	182	182	189	193
<b>Health, Department of</b>	<b>18,382,781</b>	<b>18,984,978</b>	<b>19,317,343</b>	<b>20,119,301</b>	<b>20,757,062</b>
<b>Medical Assistance</b>	<b>15,370,559</b>	<b>15,711,940</b>	<b>16,327,685</b>	<b>17,214,191</b>	<b>17,883,728</b>
Local Assistance Grants	15,349,531	15,711,940	16,327,685	17,214,191	17,883,728
State Operations	21,028	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	21,028	0	0	0	0
<b>Medicaid Administration</b>	<b>528,985</b>	<b>709,397</b>	<b>649,897</b>	<b>590,397</b>	<b>590,397</b>
Local Assistance Grants	528,985	518,069	452,558	376,431	364,411
State Operations	0	191,328	197,339	213,966	225,986
Personal Service	0	29,803	39,303	55,903	67,903
Non-Personal Service/Indirect Costs	0	161,525	158,036	158,063	158,083
<b>Public Health</b>	<b>2,483,237</b>	<b>2,563,641</b>	<b>2,339,761</b>	<b>2,314,713</b>	<b>2,282,937</b>
Local Assistance Grants	1,927,300	2,108,247	1,876,419	1,862,090	1,828,451
State Operations	526,470	424,192	430,952	417,989	418,955
Personal Service	267,361	228,607	234,894	240,096	241,056
Non-Personal Service/Indirect Costs	259,109	195,585	196,058	177,893	177,899
General State Charges	29,467	31,202	32,390	34,634	35,531
<b>Medicaid Inspector General, Office of the</b>	<b>21,972</b>	<b>22,776</b>	<b>23,141</b>	<b>23,156</b>	<b>23,170</b>
State Operations	21,972	22,776	23,141	23,156	23,170
Personal Service	17,867	17,470	17,485	17,500	17,514
Non-Personal Service/Indirect Costs	4,105	5,306	5,656	5,656	5,656

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
General State Charges	0	0	0	0	0
<b>Stem Cell and Innovation</b>	<b>41,589</b>	<b>37,900</b>	<b>39,500</b>	<b>80,546</b>	<b>64,000</b>
State Operations	41,363	37,900	39,500	80,546	64,000
Personal Service	456	472	472	472	472
Non-Personal Service/Indirect Costs	40,907	37,428	39,028	80,074	63,528
General State Charges	226	0	0	0	0
<b>Functional Total</b>	<b>18,560,822</b>	<b>19,161,270</b>	<b>19,501,900</b>	<b>20,351,660</b>	<b>20,977,554</b>
<b>SOCIAL WELFARE</b>					
<b>Children and Family Services, Office of</b>	<b>1,795,138</b>	<b>1,885,346</b>	<b>2,025,536</b>	<b>2,090,744</b>	<b>2,181,003</b>
<b>OCFS</b>	<b>1,706,106</b>	<b>1,798,359</b>	<b>1,935,157</b>	<b>1,996,693</b>	<b>2,082,982</b>
Local Assistance Grants	1,402,804	1,516,928	1,669,168	1,734,259	1,816,213
State Operations	301,851	278,811	263,337	259,741	264,076
Personal Service	176,244	158,937	142,221	140,070	141,721
Non-Personal Service/Indirect Costs	125,607	119,874	121,116	119,671	122,355
General State Charges	1,451	2,620	2,652	2,693	2,693
<b>OCFS - Other</b>	<b>89,032</b>	<b>86,987</b>	<b>90,379</b>	<b>94,051</b>	<b>98,021</b>
Local Assistance Grants	89,032	86,987	90,379	94,051	98,021
<b>Housing and Community Renewal, Division of</b>	<b>118,475</b>	<b>83,210</b>	<b>84,844</b>	<b>119,536</b>	<b>120,346</b>
Local Assistance Grants	50,710	14,406	14,406	46,824	46,824
State Operations	53,471	49,446	49,940	51,303	52,113
Personal Service	40,507	38,465	38,822	40,065	40,563
Non-Personal Service/Indirect Costs	12,964	10,981	11,118	11,238	11,550
General State Charges	14,294	19,358	20,498	21,409	21,409
<b>Human Rights, Division of</b>	<b>12,326</b>	<b>10,021</b>	<b>10,277</b>	<b>10,598</b>	<b>10,597</b>
State Operations	12,326	10,021	10,277	10,598	10,597
Personal Service	10,199	9,316	9,541	9,832	9,831
Non-Personal Service/Indirect Costs	2,127	705	736	766	766
<b>Labor, Department of</b>	<b>63,909</b>	<b>77,187</b>	<b>64,134</b>	<b>66,357</b>	<b>66,113</b>
Local Assistance Grants	5,543	11,504	150	150	150
State Operations	44,078	48,623	45,717	46,810	46,657
Personal Service	29,780	30,770	31,476	32,170	32,017
Non-Personal Service/Indirect Costs	14,298	17,853	14,241	14,640	14,640
General State Charges	14,288	17,060	18,267	19,397	19,306
<b>National and Community Service</b>	<b>670</b>	<b>683</b>	<b>687</b>	<b>687</b>	<b>687</b>
Local Assistance Grants	396	350	350	350	350
State Operations	274	333	337	337	337
Personal Service	264	325	328	328	328
Non-Personal Service/Indirect Costs	10	8	9	9	9
<b>Prevention of Domestic Violence, Office for</b>	<b>1,568</b>	<b>2,298</b>	<b>2,250</b>	<b>2,295</b>	<b>2,299</b>
Local Assistance Grants	424	685	685	685	685
State Operations	1,144	1,613	1,565	1,610	1,614
Personal Service	1,037	1,388	1,443	1,477	1,479
Non-Personal Service/Indirect Costs	107	225	122	133	135
<b>Temporary and Disability Assistance, Office of</b>	<b>1,726,062</b>	<b>1,577,581</b>	<b>1,485,364</b>	<b>1,510,989</b>	<b>1,524,868</b>
<b>Welfare Assistance</b>	<b>1,416,672</b>	<b>1,287,173</b>	<b>1,185,272</b>	<b>1,211,985</b>	<b>1,220,523</b>
Local Assistance Grants	1,416,672	1,287,173	1,185,272	1,211,985	1,220,523
<b>All Other</b>	<b>309,390</b>	<b>290,408</b>	<b>300,092</b>	<b>299,004</b>	<b>304,345</b>
Local Assistance Grants	122,831	104,696	104,696	106,096	107,496
State Operations	186,559	185,712	195,396	192,908	196,849
Personal Service	72,270	66,935	70,131	71,873	72,793
Non-Personal Service/Indirect Costs	114,289	118,777	125,265	121,035	124,056
General State Charges	0	0	0	0	0
<b>Welfare Inspector General, Office of</b>	<b>386</b>	<b>1,179</b>	<b>1,207</b>	<b>1,217</b>	<b>1,217</b>
State Operations	364	1,179	1,207	1,217	1,217
Personal Service	288	721	738	748	748
Non-Personal Service/Indirect Costs	76	458	469	469	469
General State Charges	22	0	0	0	0
<b>Workers' Compensation Board</b>	<b>190,491</b>	<b>203,291</b>	<b>204,577</b>	<b>211,564</b>	<b>215,898</b>
State Operations	149,802	152,968	153,008	156,295	158,495

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Personal Service	84,833	90,706	91,420	93,752	94,462
Non-Personal Service/Indirect Costs	64,969	62,262	61,588	62,543	64,033
General State Charges	40,689	50,323	51,569	55,269	57,403
<b>Functional Total</b>	<b>3,909,025</b>	<b>3,840,796</b>	<b>3,878,876</b>	<b>4,013,987</b>	<b>4,123,028</b>
<b>MENTAL HYGIENE</b>					
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>413,721</b>	<b>419,479</b>	<b>440,157</b>	<b>465,544</b>	<b>483,310</b>
<b>OASAS</b>	<b>322,705</b>	<b>340,589</b>	<b>358,599</b>	<b>378,741</b>	<b>394,689</b>
Local Assistance Grants	279,189	293,564	309,986	326,802	341,302
State Operations	30,073	32,959	34,183	36,192	36,729
Personal Service	21,965	24,565	25,541	27,263	27,536
Non-Personal Service/Indirect Costs	8,108	8,394	8,642	8,929	9,193
General State Charges	13,443	14,066	14,430	15,747	16,658
<b>OASAS - Other</b>	<b>91,016</b>	<b>78,890</b>	<b>81,558</b>	<b>86,803</b>	<b>88,621</b>
Local Assistance Grants	32,680	21,325	21,325	21,325	21,325
State Operations	41,900	40,434	41,984	45,557	46,223
Personal Service	31,919	30,685	31,926	34,616	34,962
Non-Personal Service/Indirect Costs	9,981	9,749	10,058	10,941	11,261
General State Charges	16,436	17,131	18,249	19,921	21,073
<b>Justice Center</b>	<b>0</b>	<b>38,779</b>	<b>40,164</b>	<b>41,001</b>	<b>41,583</b>
Local Assistance Grants	0	466	620	620	620
State Operations	0	37,170	38,169	38,900	39,482
Personal Service	0	18,981	19,309	19,564	19,662
Non-Personal Service/Indirect Costs	0	18,189	18,860	19,336	19,820
General State Charges	0	1,143	1,375	1,481	1,481
<b>Mental Health, Office of</b>	<b>3,015,068</b>	<b>3,143,636</b>	<b>3,381,684</b>	<b>3,706,451</b>	<b>3,845,624</b>
<b>OMH</b>	<b>1,234,724</b>	<b>1,245,712</b>	<b>1,420,180</b>	<b>1,576,255</b>	<b>1,622,104</b>
Local Assistance Grants	703,134	742,017	878,316	1,006,370	1,057,866
State Operations	325,835	341,507	365,898	378,687	371,358
Personal Service	271,502	280,985	301,178	309,304	300,610
Non-Personal Service/Indirect Costs	54,333	60,522	64,720	69,383	70,748
General State Charges	205,755	162,188	175,966	191,198	192,880
<b>OMH - Other</b>	<b>1,780,344</b>	<b>1,897,924</b>	<b>1,961,504</b>	<b>2,130,196</b>	<b>2,223,520</b>
Local Assistance Grants	391,037	355,479	377,579	455,179	531,744
State Operations	1,030,052	1,078,950	1,106,384	1,154,226	1,155,444
Personal Service	799,753	848,236	863,165	899,137	896,557
Non-Personal Service/Indirect Costs	230,299	230,714	243,219	255,089	258,887
General State Charges	359,255	463,495	477,541	520,791	536,332
<b>Mental Hygiene, Department of</b>	<b>293</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	293	0	0	0	0
Non-Personal Service/Indirect Costs	293	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>4,248,227</b>	<b>3,388,013</b>	<b>3,801,166</b>	<b>4,179,403</b>	<b>4,208,946</b>
<b>OPWDD</b>	<b>1,361,275</b>	<b>462,496</b>	<b>415,363</b>	<b>376,187</b>	<b>381,000</b>
Local Assistance Grants	694,290	462,315	415,182	376,006	380,819
State Operations	467,938	181	181	181	181
Personal Service	343,349	0	0	0	0
Non-Personal Service/Indirect Costs	124,589	181	181	181	181
General State Charges	199,047	0	0	0	0
<b>OPWDD - Other</b>	<b>2,886,952</b>	<b>2,925,517</b>	<b>3,385,803</b>	<b>3,803,216</b>	<b>3,827,946</b>
Local Assistance Grants	1,501,508	957,877	1,446,541	1,780,917	1,838,861
State Operations	1,018,391	1,363,122	1,327,860	1,376,305	1,335,170
Personal Service	782,182	1,109,095	1,077,695	1,122,275	1,080,573
Non-Personal Service/Indirect Costs	236,209	254,027	250,165	254,030	254,597
General State Charges	367,052	604,518	611,402	645,994	653,915
Capital Projects	1	0	0	0	0
<b>Quality of Care and Advocacy for Persons With Disabilities, Commission on</b>	<b>7,439</b>	<b>2,343</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	537	154	0	0	0
State Operations	5,905	1,872	0	0	0
Personal Service	4,959	1,567	0	0	0
Non-Personal Service/Indirect Costs	946	305	0	0	0
General State Charges	997	317	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>Functional Total</b>	<b>7,684,748</b>	<b>6,992,250</b>	<b>7,663,171</b>	<b>8,392,399</b>	<b>8,579,463</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
<b>Correction, Commission of</b>	<b>2,055</b>	<b>2,672</b>	<b>2,748</b>	<b>2,825</b>	<b>2,833</b>
State Operations	2,055	2,672	2,748	2,825	2,833
Personal Service	1,857	2,414	2,481	2,548	2,550
Non-Personal Service/Indirect Costs	198	258	267	277	283
<b>Correctional Services, Department of</b>	<b>2,745,944</b>	<b>2,564,316</b>	<b>2,621,694</b>	<b>2,758,394</b>	<b>2,713,216</b>
Local Assistance Grants	4,651	6,000	6,000	6,000	6,000
State Operations	2,741,293	2,558,271	2,615,646	2,752,343	2,707,163
Personal Service	2,270,189	2,060,046	2,105,537	2,230,188	2,171,430
Non-Personal Service/Indirect Costs	471,104	498,225	510,109	522,155	535,733
General State Charges	0	45	48	51	53
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>11,500</b>	<b>11,500</b>	<b>12,500</b>	<b>12,500</b>
Local Assistance Grants	0	11,500	11,500	12,500	12,500
<b>Criminal Justice Services, Division of</b>	<b>210,807</b>	<b>230,343</b>	<b>218,640</b>	<b>219,773</b>	<b>220,513</b>
Local Assistance Grants	150,047	169,831	159,714	159,714	159,714
State Operations	60,696	60,434	58,846	59,974	60,711
Personal Service	29,987	25,533	24,571	25,233	25,565
Non-Personal Service/Indirect Costs	30,709	34,901	34,275	34,741	35,146
General State Charges	64	78	80	85	88
<b>Disaster Assistance</b>	<b>71,759</b>	<b>(82,725)</b>	<b>(400)</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	19,990	2,276	(400)	0	0
State Operations	51,769	(85,001)	0	0	0
Personal Service	24,884	(24,884)	0	0	0
Non-Personal Service/Indirect Costs	26,885	(60,117)	0	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>168,711</b>	<b>129,987</b>	<b>122,632</b>	<b>121,727</b>	<b>115,127</b>
Local Assistance Grants	98,242	89,590	87,089	84,973	78,273
State Operations	70,035	39,433	34,545	35,688	35,750
Personal Service	15,777	16,797	15,836	16,388	16,450
Non-Personal Service/Indirect Costs	54,258	22,636	18,709	19,300	19,300
General State Charges	434	964	998	1,066	1,104
<b>Indigent Legal Services, Office of</b>	<b>57,492</b>	<b>67,200</b>	<b>82,800</b>	<b>82,800</b>	<b>82,800</b>
Local Assistance Grants	56,557	65,400	81,000	81,000	81,000
State Operations	688	1,422	1,422	1,422	1,422
Personal Service	606	770	770	770	770
Non-Personal Service/Indirect Costs	82	652	652	652	652
General State Charges	247	378	378	378	378
<b>Judicial Commissions</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
<b>Judicial Conduct, Commission on</b>	<b>5,209</b>	<b>5,384</b>	<b>5,642</b>	<b>5,837</b>	<b>5,903</b>
State Operations	5,209	5,384	5,642	5,837	5,903
Personal Service	3,953	4,093	4,246	4,366	4,399
Non-Personal Service/Indirect Costs	1,256	1,291	1,396	1,471	1,504
<b>Judicial Nomination, Commission on</b>	<b>0</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	0	30	30	30	30
Non-Personal Service/Indirect Costs	0	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>2</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	2	38	38	38	38
Personal Service	0	13	13	13	13
Non-Personal Service/Indirect Costs	2	25	25	25	25
<b>Military and Naval Affairs, Division of</b>	<b>23,500</b>	<b>28,264</b>	<b>28,344</b>	<b>28,715</b>	<b>28,976</b>
Local Assistance Grants	755	867	850	850	850
State Operations	22,745	27,147	27,244	27,615	27,876
Personal Service	15,802	16,667	16,861	17,080	17,202
Non-Personal Service/Indirect Costs	6,943	10,480	10,383	10,535	10,674
General State Charges	0	250	250	250	250
<b>State Police, Division of</b>	<b>615,390</b>	<b>662,764</b>	<b>654,075</b>	<b>667,694</b>	<b>673,947</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
State Operations	600,509	653,082	650,162	663,524	669,564
Personal Service	553,390	559,436	565,783	574,041	578,539
Non-Personal Service/Indirect Costs	47,119	93,646	84,379	89,483	91,025
General State Charges	14,881	9,682	3,913	4,170	4,383
<b>Statewide Financial System</b>	<b>51,349</b>	<b>52,601</b>	<b>52,826</b>	<b>54,164</b>	<b>55,109</b>
State Operations	51,349	52,601	52,826	54,164	55,109
Personal Service	8,650	11,287	10,977	11,060	11,143
Non-Personal Service/Indirect Costs	42,699	41,314	41,849	43,104	43,966
<b>Statewide Wireless Network</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Victim Services, Office of</b>	<b>31,876</b>	<b>34,907</b>	<b>35,106</b>	<b>35,411</b>	<b>35,429</b>
Local Assistance Grants	26,493	28,182	28,182	28,182	28,182
State Operations	3,673	4,782	4,896	5,038	5,057
Personal Service	2,928	3,840	3,935	4,057	4,060
Non-Personal Service/Indirect Costs	745	942	961	981	997
General State Charges	1,710	1,943	2,028	2,191	2,190
<b>Functional Total</b>	<b>3,984,094</b>	<b>3,707,281</b>	<b>3,835,675</b>	<b>3,989,908</b>	<b>3,946,421</b>

**HIGHER EDUCATION**

<b>City University of New York</b>	<b>1,321,156</b>	<b>1,439,612</b>	<b>1,498,108</b>	<b>1,569,032</b>	<b>1,648,910</b>
Local Assistance Grants	1,219,949	1,344,879	1,401,423	1,470,355	1,548,217
State Operations	100,795	88,396	90,158	91,954	93,768
Personal Service	69,199	59,755	60,801	61,863	62,925
Non-Personal Service/Indirect Costs	31,596	28,641	29,357	30,091	30,843
General State Charges	412	6,337	6,527	6,723	6,925
<b>Higher Education - Miscellaneous</b>	<b>719</b>	<b>1,300</b>	<b>1,300</b>	<b>1,300</b>	<b>1,300</b>
State Operations	627	1,201	1,201	1,201	1,201
Personal Service	107	198	198	198	198
Non-Personal Service/Indirect Costs	520	1,003	1,003	1,003	1,003
General State Charges	92	99	99	99	99
<b>Higher Education Services Corporation, New York State</b>	<b>1,022,812</b>	<b>1,084,352</b>	<b>1,100,973</b>	<b>1,117,206</b>	<b>1,117,935</b>
Local Assistance Grants	946,906	1,003,419	1,017,757	1,031,608	1,025,891
State Operations	62,393	65,240	66,872	68,268	73,821
Personal Service	26,896	28,291	28,978	29,413	32,058
Non-Personal Service/Indirect Costs	35,497	36,949	37,894	38,855	41,763
General State Charges	13,513	15,693	16,344	17,330	18,223
<b>State University Construction Fund</b>	<b>1,346</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	1,426	0	0	0	0
Personal Service	670	0	0	0	0
Non-Personal Service/Indirect Costs	756	0	0	0	0
General State Charges	(80)	0	0	0	0
<b>State University of New York</b>	<b>6,444,092</b>	<b>6,662,818</b>	<b>6,804,167</b>	<b>6,961,850</b>	<b>7,118,785</b>
Local Assistance Grants	462,422	476,329	491,731	491,731	491,731
State Operations	5,450,823	5,581,323	5,687,486	5,824,383	5,959,508
Personal Service	3,398,972	3,440,534	3,501,377	3,584,774	3,669,916
Non-Personal Service/Indirect Costs	2,051,851	2,140,789	2,186,109	2,239,609	2,289,592
General State Charges	530,847	605,166	624,950	645,736	667,546
<b>Functional Total</b>	<b>8,790,125</b>	<b>9,188,082</b>	<b>9,404,548</b>	<b>9,649,388</b>	<b>9,886,930</b>

**EDUCATION**

<b>Arts, Council on the</b>	<b>22,631</b>	<b>60,053</b>	<b>40,053</b>	<b>40,053</b>	<b>40,053</b>
Local Assistance Grants	19,095	55,933	35,933	35,933	35,933
State Operations	3,536	4,120	4,120	4,120	4,120
Personal Service	2,059	2,298	2,298	2,298	2,298
Non-Personal Service/Indirect Costs	1,477	1,822	1,822	1,822	1,822

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>Education, Department of</b>	<b>25,520,880</b>	<b>26,083,872</b>	<b>27,548,142</b>	<b>28,580,657</b>	<b>29,941,468</b>
<b>School Aid</b>	<b>20,163,084</b>	<b>20,471,494</b>	<b>21,691,932</b>	<b>22,513,737</b>	<b>23,640,850</b>
Local Assistance Grants	20,163,084	20,471,494	21,691,932	22,513,737	23,640,850
<b>STAR Property Tax Relief</b>	<b>3,286,160</b>	<b>3,419,375</b>	<b>3,601,726</b>	<b>3,703,568</b>	<b>3,805,368</b>
Local Assistance Grants	3,286,160	3,419,375	3,601,726	3,703,568	3,805,368
<b>Special Education Categorical Programs</b>	<b>1,351,788</b>	<b>1,418,116</b>	<b>1,522,216</b>	<b>1,626,216</b>	<b>1,751,116</b>
Local Assistance Grants	1,351,788	1,418,116	1,522,216	1,626,216	1,751,116
<b>All Other</b>	<b>719,848</b>	<b>774,887</b>	<b>732,268</b>	<b>737,136</b>	<b>744,134</b>
Local Assistance Grants	574,827	614,173	568,380	570,852	577,035
State Operations	117,543	129,020	131,404	132,154	132,128
Personal Service	79,912	80,727	82,200	82,927	82,927
Non-Personal Service/Indirect Costs	37,631	48,293	49,204	49,227	49,201
General State Charges	27,478	31,694	32,484	34,130	34,971
<b>Functional Total</b>	<b>25,543,511</b>	<b>26,143,925</b>	<b>27,588,195</b>	<b>28,620,710</b>	<b>29,981,521</b>
<b>GENERAL GOVERNMENT</b>					
<b>Budget, Division of the</b>	<b>29,954</b>	<b>33,285</b>	<b>34,806</b>	<b>36,237</b>	<b>35,784</b>
State Operations	27,681	30,988	32,404	33,638	33,159
Personal Service	22,197	25,184	26,234	27,342	26,742
Non-Personal Service/Indirect Costs	5,484	5,804	6,170	6,296	6,417
General State Charges	2,273	2,297	2,402	2,599	2,625
<b>Civil Service, Department of</b>	<b>13,479</b>	<b>13,783</b>	<b>14,919</b>	<b>15,298</b>	<b>15,303</b>
State Operations	13,324	13,615	14,747	15,122	15,127
Personal Service	12,090	12,122	12,858	13,228	13,233
Non-Personal Service/Indirect Costs	1,234	1,493	1,889	1,894	1,894
General State Charges	155	168	172	176	176
<b>Deferred Compensation Board</b>	<b>620</b>	<b>826</b>	<b>858</b>	<b>867</b>	<b>888</b>
State Operations	442	618	633	642	663
Personal Service	377	399	408	417	421
Non-Personal Service/Indirect Costs	65	219	225	225	242
General State Charges	178	208	225	225	225
<b>Elections, State Board of</b>	<b>5,576</b>	<b>7,314</b>	<b>35,296</b>	<b>5,442</b>	<b>5,497</b>
Local Assistance Grants	272	2,200	30,000	0	0
State Operations	5,304	5,114	5,296	5,442	5,497
Personal Service	4,056	4,250	4,370	4,495	4,530
Non-Personal Service/Indirect Costs	1,248	864	926	947	967
<b>Employee Relations, Office of</b>	<b>2,512</b>	<b>2,605</b>	<b>2,610</b>	<b>2,692</b>	<b>2,693</b>
State Operations	2,512	2,605	2,610	2,692	2,693
Personal Service	2,445	2,523	2,528	2,609	2,610
Non-Personal Service/Indirect Costs	67	82	82	83	83
<b>Gaming Commission, New York State</b>	<b>1,306</b>	<b>181,436</b>	<b>185,750</b>	<b>186,383</b>	<b>186,383</b>
State Operations	1,306	162,278	166,268	166,901	166,901
Personal Service	1,196	34,874	35,415	36,048	36,048
Non-Personal Service/Indirect Costs	110	127,404	130,853	130,853	130,853
General State Charges	0	19,158	19,482	19,482	19,482
<b>General Services, Office of</b>	<b>146,957</b>	<b>170,048</b>	<b>143,075</b>	<b>145,061</b>	<b>147,662</b>
State Operations	145,423	167,912	140,867	142,707	145,218
Personal Service	50,191	57,371	58,174	58,522	58,991
Non-Personal Service/Indirect Costs	95,232	110,541	82,693	84,185	86,227
General State Charges	1,534	2,136	2,208	2,354	2,444
<b>Inspector General, Office of the</b>	<b>5,810</b>	<b>6,710</b>	<b>6,963</b>	<b>7,189</b>	<b>7,251</b>
State Operations	5,810	6,710	6,963	7,189	7,251
Personal Service	5,269	6,022	6,294	6,478	6,528
Non-Personal Service/Indirect Costs	541	688	669	711	723
<b>Labor Management Committees</b>	<b>17,666</b>	<b>35,813</b>	<b>39,987</b>	<b>46,000</b>	<b>43,277</b>
State Operations	17,666	35,813	39,987	46,000	43,277
Personal Service	6,038	5,313	5,862	6,869	6,584
Non-Personal Service/Indirect Costs	11,628	30,500	34,125	39,131	36,693
<b>Lottery, Division of the</b>	<b>133,125</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	122,798	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Personal Service	20,420	0	0	0	0
Non-Personal Service/Indirect Costs	102,378	0	0	0	0
General State Charges	10,327	0	0	0	0
<b>Public Employment Relations Board</b>	<b>3,392</b>	<b>3,731</b>	<b>3,495</b>	<b>3,587</b>	<b>3,629</b>
State Operations	3,392	3,731	3,495	3,587	3,629
Personal Service	2,960	3,495	3,046	3,138	3,162
Non-Personal Service/Indirect Costs	432	236	449	449	467
<b>Public Integrity, Commission on</b>	<b>3,887</b>	<b>4,505</b>	<b>5,078</b>	<b>5,473</b>	<b>5,525</b>
State Operations	3,887	4,505	5,078	5,473	5,525
Personal Service	2,666	3,634	4,035	4,133	4,158
Non-Personal Service/Indirect Costs	1,221	871	1,043	1,340	1,367
<b>Regulatory Reform, Governor's Office of</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
<b>State, Department of</b>	<b>57,914</b>	<b>68,313</b>	<b>63,015</b>	<b>64,383</b>	<b>65,154</b>
Local Assistance Grants	6,435	10,927	3,979	3,979	3,979
State Operations	42,561	46,492	47,747	48,376	48,781
Personal Service	28,645	30,407	31,041	31,659	31,671
Non-Personal Service/Indirect Costs	13,916	16,085	16,706	16,717	17,110
General State Charges	8,918	10,909	11,304	12,043	12,409
Capital Projects	0	(15)	(15)	(15)	(15)
<b>Tax Appeals, Division of</b>	<b>2,700</b>	<b>3,174</b>	<b>3,255</b>	<b>3,309</b>	<b>3,361</b>
State Operations	2,700	3,174	3,255	3,309	3,361
Personal Service	2,535	2,962	3,034	3,088	3,140
Non-Personal Service/Indirect Costs	165	212	221	221	221
<b>Taxation and Finance, Department of</b>	<b>392,394</b>	<b>379,073</b>	<b>389,935</b>	<b>397,432</b>	<b>406,909</b>
Local Assistance Grants	115	926	926	926	926
State Operations	372,024	358,934	365,770	372,314	381,102
Personal Service	287,878	276,296	282,503	288,406	294,631
Non-Personal Service/Indirect Costs	84,146	82,638	83,267	83,908	86,471
General State Charges	20,255	19,213	23,239	24,192	24,881
<b>Technology, Office for</b>	<b>59,514</b>	<b>143,443</b>	<b>156,023</b>	<b>159,974</b>	<b>159,770</b>
Local Assistance Grants	0	0	0	0	0
State Operations	59,514	143,443	156,023	159,974	159,770
Personal Service	46,893	135,733	146,053	150,735	150,347
Non-Personal Service/Indirect Costs	12,621	7,710	9,970	9,239	9,423
<b>Veterans' Affairs, Division of</b>	<b>12,473</b>	<b>13,756</b>	<b>13,339</b>	<b>13,567</b>	<b>13,567</b>
Local Assistance Grants	7,175	7,767	7,577	7,637	7,637
State Operations	5,298	5,989	5,762	5,930	5,930
Personal Service	4,893	5,545	5,323	5,480	5,480
Non-Personal Service/Indirect Costs	405	444	439	450	450
<b>Functional Total</b>	<b>889,279</b>	<b>1,067,815</b>	<b>1,098,404</b>	<b>1,092,894</b>	<b>1,102,653</b>
<b>ELECTED OFFICIALS</b>					
<b>Audit and Control, Department of</b>	<b>170,979</b>	<b>173,000</b>	<b>176,544</b>	<b>182,263</b>	<b>183,880</b>
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	137,467	139,394	142,867	148,461	150,011
Personal Service	103,639	107,855	110,482	115,214	116,077
Non-Personal Service/Indirect Costs	33,828	31,539	32,385	33,247	33,934
General State Charges	1,487	1,582	1,653	1,778	1,845
<b>Executive Chamber</b>	<b>13,014</b>	<b>13,578</b>	<b>13,985</b>	<b>14,404</b>	<b>14,836</b>
State Operations	13,014	13,578	13,985	14,404	14,836
Personal Service	10,260	11,100	11,439	11,788	12,148
Non-Personal Service/Indirect Costs	2,754	2,478	2,546	2,616	2,688
<b>Judiciary</b>	<b>2,495,805</b>	<b>2,645,255</b>	<b>2,805,106</b>	<b>2,934,602</b>	<b>2,950,161</b>
Local Assistance Grants	114,822	106,700	121,700	121,700	121,700
State Operations	1,812,199	1,877,855	1,999,952	2,095,494	2,111,053
Personal Service	1,457,870	1,470,800	1,561,492	1,618,742	1,634,301
Non-Personal Service/Indirect Costs	354,329	407,055	438,460	476,752	476,752
General State Charges	568,784	660,700	683,454	717,408	717,408

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>Law, Department of</b>	<b>169,427</b>	<b>179,983</b>	<b>182,448</b>	<b>187,990</b>	<b>190,674</b>
State Operations	159,850	165,021	166,756	171,379	173,429
Personal Service	107,969	110,763	113,770	117,132	118,110
Non-Personal Service/Indirect Costs	51,881	54,258	52,986	54,247	55,319
General State Charges	9,577	14,962	15,692	16,611	17,245
<b>Legislature</b>	<b>202,994</b>	<b>218,795</b>	<b>223,945</b>	<b>226,583</b>	<b>231,413</b>
State Operations	202,994	218,795	223,945	226,583	231,413
Personal Service	153,155	166,331	167,773	169,031	171,575
Non-Personal Service/Indirect Costs	49,839	52,464	56,172	57,552	59,838
<b>Lieutenant Governor, Office of the</b>	<b>433</b>	<b>614</b>	<b>665</b>	<b>680</b>	<b>680</b>
State Operations	433	614	665	680	680
Personal Service	345	480	515	543	543
Non-Personal Service/Indirect Costs	88	134	150	137	137
<b>Functional Total</b>	<b><u>3,052,652</u></b>	<b><u>3,231,225</u></b>	<b><u>3,402,693</u></b>	<b><u>3,546,522</u></b>	<b><u>3,571,644</u></b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
<b>Aid and Incentives for Municipalities</b>	<b>721,159</b>	<b>725,700</b>	<b>734,523</b>	<b>749,901</b>	<b>761,789</b>
Local Assistance Grants	721,159	725,700	734,523	749,901	761,789
<b>Efficiency Incentive Grants Program</b>	<b>5,225</b>	<b>5,539</b>	<b>2,678</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	5,225	5,539	2,678	0	0
<b>Miscellaneous Financial Assistance</b>	<b>2,000</b>	<b>4,873</b>	<b>4,623</b>	<b>4,623</b>	<b>4,623</b>
Local Assistance Grants	2,000	4,873	4,623	4,623	4,623
<b>Municipalities with VLT Facilities</b>	<b>25,867</b>	<b>27,246</b>	<b>27,246</b>	<b>27,246</b>	<b>27,246</b>
Local Assistance Grants	25,867	27,246	27,246	27,246	27,246
<b>Small Government Assistance</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	217	218	218	218	218
<b>Functional Total</b>	<b><u>754,468</u></b>	<b><u>763,576</u></b>	<b><u>769,288</u></b>	<b><u>781,988</u></b>	<b><u>793,876</u></b>
<b>ALL OTHER CATEGORIES</b>					
<b>General State Charges</b>	<b>3,777,684</b>	<b>4,104,088</b>	<b>4,454,794</b>	<b>4,697,339</b>	<b>4,965,967</b>
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	3,777,684	4,104,088	4,454,794	4,697,339	4,965,967
<b>Long-Term Debt Service</b>	<b>6,182,129</b>	<b>5,782,669</b>	<b>6,162,978</b>	<b>6,521,612</b>	<b>6,823,198</b>
State Operations	44,199	39,973	40,082	40,082	40,082
Non-Personal Service/Indirect Costs	44,199	39,973	40,082	40,082	40,082
Debt Service	6,137,930	5,742,696	6,122,896	6,481,530	6,783,116
<b>Miscellaneous</b>	<b>(13,380)</b>	<b>16,248</b>	<b>12,454</b>	<b>11,364</b>	<b>160,549</b>
Local Assistance Grants	(35,445)	6,171	2,171	923	(50,013)
State Operations	4,618	4,253	4,376	4,455	204,529
Personal Service	2,303	2,434	2,514	2,549	102,586
Non-Personal Service/Indirect Costs	2,315	1,819	1,862	1,906	101,943
General State Charges	17,447	5,824	5,907	5,986	6,033
<b>Functional Total</b>	<b><u>9,946,433</u></b>	<b><u>9,903,005</u></b>	<b><u>10,630,226</u></b>	<b><u>11,230,315</u></b>	<b><u>11,949,714</u></b>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<b><u>88,842,638</u></b>	<b><u>90,224,859</u></b>	<b><u>94,121,852</u></b>	<b><u>98,156,027</u></b>	<b><u>101,515,135</u></b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	70,404	80,765	83,345	83,550	85,252
Alcoholic Beverage Control, Division of	15,395	18,175	18,742	19,188	19,995
Economic Development, Department of	86,141	84,072	102,645	102,130	90,936
Empire State Development Corporation	83,275	76,339	78,994	111,722	135,994
Energy Research and Development Authority	13,903	16,158	16,477	16,808	17,215
Financial Services, Department of	487,036	505,837	510,733	518,788	521,669
Olympic Regional Development Authority	2,931	4,259	3,161	3,161	3,161
Public Service Department	63,299	69,997	74,768	78,809	81,231
Racing and Wagering Board, State	17,760	0	0	0	0
<b>Functional Total</b>	<b>840,144</b>	<b>855,602</b>	<b>888,865</b>	<b>934,156</b>	<b>955,453</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,090	4,029	4,100	4,184	4,185
Environmental Conservation, Department of	271,457	277,062	275,297	279,918	284,436
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	190,574	190,935	193,230	195,208	196,877
<b>Functional Total</b>	<b>466,121</b>	<b>472,026</b>	<b>472,627</b>	<b>479,310</b>	<b>485,498</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	89,842	97,598	101,165	106,796	109,661
Thruway Authority, New York State	0	24,000	24,000	24,000	24,000
Transportation, Department of	4,331,374	4,776,408	4,862,219	4,941,994	5,027,719
<b>Functional Total</b>	<b>4,421,216</b>	<b>4,898,006</b>	<b>4,987,384</b>	<b>5,072,790</b>	<b>5,161,380</b>
<b>HEALTH</b>					
Aging, Office for the	114,480	115,616	121,916	128,657	133,322
Health, Department of	18,382,781	18,984,978	19,317,343	20,119,301	20,757,062
<i>Medical Assistance</i>	15,370,559	15,711,940	16,327,685	17,214,191	17,883,728
<i>Medicaid Administration</i>	528,985	709,397	649,897	590,397	590,397
<i>Public Health</i>	2,483,237	2,563,641	2,339,761	2,314,713	2,282,937
Medicaid Inspector General, Office of the	21,972	22,776	23,141	23,156	23,170
Stem Cell and Innovation	41,589	37,900	39,500	80,546	64,000
<b>Functional Total</b>	<b>18,560,822</b>	<b>19,161,270</b>	<b>19,501,900</b>	<b>20,351,660</b>	<b>20,977,554</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,795,138	1,885,346	2,025,536	2,090,744	2,181,003
<i>OCFS</i>	1,706,106	1,798,359	1,935,157	1,996,693	2,082,982
<i>OCFS - Other</i>	89,032	86,987	90,379	94,051	98,021
Housing and Community Renewal, Division of	118,475	83,210	84,844	119,536	120,346
Human Rights, Division of	12,326	10,021	10,277	10,598	10,597
Labor, Department of	63,909	77,187	64,134	66,357	66,113
National and Community Service	670	683	687	687	687
Prevention of Domestic Violence, Office for	1,568	2,298	2,250	2,295	2,299
Temporary and Disability Assistance, Office of	1,726,062	1,577,581	1,485,364	1,510,989	1,524,868
<i>Welfare Assistance</i>	1,416,672	1,287,173	1,185,272	1,211,985	1,220,523
<i>All Other</i>	309,390	290,408	300,092	299,004	304,345
Welfare Inspector General, Office of	386	1,179	1,207	1,217	1,217
Workers' Compensation Board	190,491	203,291	204,577	211,564	215,898
<b>Functional Total</b>	<b>3,909,025</b>	<b>3,840,796</b>	<b>3,878,876</b>	<b>4,013,987</b>	<b>4,123,028</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	413,721	419,479	440,157	465,544	483,310
<i>OASAS</i>	322,705	340,589	358,599	378,741	394,689
<i>OASAS - Other</i>	91,016	78,890	81,558	86,803	88,621
Justice Center	0	38,779	40,164	41,001	41,583
Mental Health, Office of	3,015,068	3,143,636	3,381,684	3,706,451	3,845,624
<i>OMH</i>	1,234,724	1,245,712	1,420,180	1,576,255	1,622,104
<i>OMH - Other</i>	1,780,344	1,897,924	1,961,504	2,130,196	2,223,520
Mental Hygiene, Department of	293	0	0	0	0
People with Developmental Disabilities, Office for	4,248,227	3,388,013	3,801,166	4,179,403	4,208,946
<i>OPWDD</i>	1,361,275	462,496	415,363	376,187	381,000
<i>OPWDD - Other</i>	2,886,952	2,925,517	3,385,803	3,803,216	3,827,946
Quality of Care and Advocacy for Persons With Disabilities, Commission on	7,439	2,343	0	0	0
<b>Functional Total</b>	<b>7,684,748</b>	<b>6,992,250</b>	<b>7,663,171</b>	<b>8,392,399</b>	<b>8,579,463</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
Correction, Commission of	2,055	2,672	2,748	2,825	2,833
Correctional Services, Department of	2,745,944	2,564,316	2,621,694	2,758,394	2,713,216
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	12,500	12,500
Criminal Justice Services, Division of	210,807	230,343	218,640	219,773	220,513
Disaster Assistance	71,759	(82,725)	(400)	0	0
Homeland Security and Emergency Services, Division of	168,711	129,987	122,632	121,727	115,127
Indigent Legal Services, Office of	57,492	67,200	82,800	82,800	82,800
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	5,209	5,384	5,642	5,837	5,903
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	2	38	38	38	38
Military and Naval Affairs, Division of	23,500	28,264	28,344	28,715	28,976
State Police, Division of	615,390	662,764	654,075	667,694	673,947
Statewide Financial System	51,349	52,601	52,826	54,164	55,109
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	31,876	34,907	35,106	35,411	35,429
<b>Functional Total</b>	<b>3,984,094</b>	<b>3,707,281</b>	<b>3,835,675</b>	<b>3,989,908</b>	<b>3,946,421</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,321,156	1,439,612	1,498,108	1,569,032	1,648,910
Higher Education - Miscellaneous	719	1,300	1,300	1,300	1,300
Higher Education Services Corporation, New York State	1,022,812	1,084,352	1,100,973	1,117,206	1,117,935
State University Construction Fund	1,346	0	0	0	0
State University of New York	6,444,092	6,662,818	6,804,167	6,961,850	7,118,785
<b>Functional Total</b>	<b>8,790,125</b>	<b>9,188,082</b>	<b>9,404,548</b>	<b>9,649,388</b>	<b>9,886,930</b>
<b>EDUCATION</b>					
Arts, Council on the	22,631	60,053	40,053	40,053	40,053
Education, Department of	25,520,880	26,083,872	27,548,142	28,580,657	29,941,468
<i>School Aid</i>	20,163,084	20,471,494	21,691,932	22,513,737	23,640,850
<i>STAR Property Tax Relief</i>	3,286,160	3,419,375	3,601,726	3,703,568	3,805,368
<i>Special Education Categorical Programs</i>	1,351,788	1,418,116	1,522,216	1,626,216	1,751,116
<i>All Other</i>	719,848	774,887	732,268	737,136	744,134
<b>Functional Total</b>	<b>25,543,511</b>	<b>26,143,925</b>	<b>27,588,195</b>	<b>28,620,710</b>	<b>29,981,521</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	29,954	33,285	34,806	36,237	35,784
Civil Service, Department of	13,479	13,783	14,919	15,298	15,303
Deferred Compensation Board	620	826	858	867	888
Elections, State Board of	5,576	7,314	35,296	5,442	5,497
Employee Relations, Office of	2,512	2,605	2,610	2,692	2,693
Gaming Commission, New York State	1,306	181,436	185,750	186,383	186,383
General Services, Office of	146,957	170,048	143,075	145,061	147,662
Inspector General, Office of the	5,810	6,710	6,963	7,189	7,251
Labor Management Committees	17,666	35,813	39,987	46,000	43,277
Lottery, Division of the	133,125	0	0	0	0
Public Employment Relations Board	3,392	3,731	3,495	3,587	3,629
Public Integrity, Commission on	3,887	4,505	5,078	5,473	5,525
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	57,914	68,313	63,015	64,383	65,154
Tax Appeals, Division of	2,700	3,174	3,255	3,309	3,361
Taxation and Finance, Department of	392,394	379,073	389,935	397,432	406,909
Technology, Office for	59,514	143,443	156,023	159,974	159,770
Veterans' Affairs, Division of	12,473	13,756	13,339	13,567	13,567
<b>Functional Total</b>	<b>889,279</b>	<b>1,067,815</b>	<b>1,098,404</b>	<b>1,092,894</b>	<b>1,102,653</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	170,979	173,000	176,544	182,263	183,880
Executive Chamber	13,014	13,578	13,985	14,404	14,836
Judiciary	2,495,805	2,645,255	2,805,106	2,934,602	2,950,161
Law, Department of	169,427	179,983	182,448	187,990	190,674
Legislature	202,994	218,795	223,945	226,583	231,413
Lieutenant Governor, Office of the	433	614	665	680	680
<b>Functional Total</b>	<b>3,052,652</b>	<b>3,231,225</b>	<b>3,402,693</b>	<b>3,546,522</b>	<b>3,571,644</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,159	725,700	734,523	749,901	761,789
Efficiency Incentive Grants Program	5,225	5,539	2,678	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
Miscellaneous Financial Assistance	2,000	4,873	4,623	4,623	4,623
Municipalities with VLT Facilities	25,867	27,246	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>754,468</u>	<u>763,576</u>	<u>769,288</u>	<u>781,988</u>	<u>793,876</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,777,684	4,104,088	4,454,794	4,697,339	4,965,967
Long-Term Debt Service	6,182,129	5,782,669	6,162,978	6,521,612	6,823,198
Miscellaneous	(13,380)	16,248	12,454	11,364	160,549
<b>Functional Total</b>	<u>9,946,433</u>	<u>9,903,005</u>	<u>10,630,226</u>	<u>11,230,315</u>	<u>11,949,714</u>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<u>88,842,638</u>	<u>90,224,859</u>	<u>94,121,852</u>	<u>98,156,027</u>	<u>101,515,135</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	19,476	26,137	25,719	24,946	25,486
Economic Development, Department of	66,116	61,846	80,022	79,272	69,272
Empire State Development Corporation	82,275	75,339	77,994	110,722	134,994
Energy Research and Development Authority	6,108	9,234	9,418	9,607	9,799
Financial Services, Department of	221,513	216,952	216,952	216,952	216,952
Public Service Department	0	200	200	200	200
<b>Functional Total</b>	<u>395,488</u>	<u>389,708</u>	<u>410,305</u>	<u>441,699</u>	<u>456,703</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	2,335	4,970	4,542	4,542	4,542
Parks, Recreation and Historic Preservation, Office of	6,583	7,760	7,600	7,600	7,600
<b>Functional Total</b>	<u>8,918</u>	<u>12,730</u>	<u>12,142</u>	<u>12,142</u>	<u>12,142</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	4,303,243	4,745,296	4,830,863	4,909,602	4,995,282
<b>Functional Total</b>	<u>4,303,243</u>	<u>4,745,296</u>	<u>4,830,863</u>	<u>4,909,602</u>	<u>4,995,282</u>
<b>HEALTH</b>					
Aging, Office for the	112,942	114,007	120,307	127,011	131,641
Health, Department of	17,805,816	18,338,256	18,656,662	19,452,712	20,076,590
<i>Medical Assistance</i>	15,349,531	15,711,940	16,327,685	17,214,191	17,883,728
<i>Medicaid Administration</i>	528,985	518,069	452,558	376,431	364,411
<i>Public Health</i>	1,927,300	2,108,247	1,876,419	1,862,090	1,828,451
<b>Functional Total</b>	<u>17,918,758</u>	<u>18,452,263</u>	<u>18,776,969</u>	<u>19,579,723</u>	<u>20,208,231</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,491,836	1,603,915	1,759,547	1,828,310	1,914,234
<i>OCFS</i>	1,402,804	1,516,928	1,669,168	1,734,259	1,816,213
<i>OCFS - Other</i>	89,032	86,987	90,379	94,051	98,021
Housing and Community Renewal, Division of	50,710	14,406	14,406	46,824	46,824
Labor, Department of	5,543	11,504	150	150	150
National and Community Service	396	350	350	350	350
Prevention of Domestic Violence, Office for	424	685	685	685	685
Temporary and Disability Assistance, Office of	1,539,503	1,391,869	1,289,968	1,318,081	1,328,019
<i>Welfare Assistance</i>	1,416,672	1,287,173	1,185,272	1,211,985	1,220,523
<i>All Other</i>	122,831	104,696	104,696	106,096	107,496
<b>Functional Total</b>	<u>3,088,412</u>	<u>3,022,729</u>	<u>3,065,106</u>	<u>3,194,400</u>	<u>3,290,262</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	311,869	314,889	331,311	348,127	362,627
<i>OASAS</i>	279,189	293,564	309,986	326,802	341,302
<i>OASAS - Other</i>	32,680	21,325	21,325	21,325	21,325
Justice Center	0	466	620	620	620
Mental Health, Office of	1,094,171	1,097,496	1,255,895	1,461,549	1,589,610
<i>OMH</i>	703,134	742,017	878,316	1,006,370	1,057,866
<i>OMH - Other</i>	391,037	355,479	377,579	455,179	531,744
People with Developmental Disabilities, Office for	2,195,798	1,420,192	1,861,723	2,156,923	2,219,680
<i>OPWDD</i>	694,290	462,315	415,182	376,006	380,819
<i>OPWDD - Other</i>	1,501,508	957,877	1,446,541	1,780,917	1,838,861
Quality of Care and Advocacy for Persons With Disabilities, Commission on	537	154	0	0	0
<b>Functional Total</b>	<u>3,602,375</u>	<u>2,833,197</u>	<u>3,449,549</u>	<u>3,967,219</u>	<u>4,172,537</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	4,651	6,000	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	12,500	12,500
Criminal Justice Services, Division of	150,047	169,831	159,714	159,714	159,714
Disaster Assistance	19,990	2,276	(400)	0	0
Homeland Security and Emergency Services, Division of	98,242	89,590	87,089	84,973	78,273
Indigent Legal Services, Office of	56,557	65,400	81,000	81,000	81,000
Military and Naval Affairs, Division of	755	867	850	850	850
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	26,493	28,182	28,182	28,182	28,182
<b>Functional Total</b>	<u>356,735</u>	<u>373,646</u>	<u>373,935</u>	<u>373,219</u>	<u>366,519</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>HIGHER EDUCATION</b>					
City University of New York	1,219,949	1,344,879	1,401,423	1,470,355	1,548,217
Higher Education Services Corporation, New York State	946,906	1,003,419	1,017,757	1,031,608	1,025,891
State University of New York	462,422	476,329	491,731	491,731	491,731
<b>Functional Total</b>	<u>2,629,277</u>	<u>2,824,627</u>	<u>2,910,911</u>	<u>2,993,694</u>	<u>3,065,839</u>
<b>EDUCATION</b>					
Arts, Council on the	19,095	55,933	35,933	35,933	35,933
Education, Department of	<u>25,375,859</u>	<u>25,923,158</u>	<u>27,384,254</u>	<u>28,414,373</u>	<u>29,774,369</u>
<i>School Aid</i>	20,163,084	20,471,494	21,691,932	22,513,737	23,640,850
<i>STAR Property Tax Relief</i>	3,286,160	3,419,375	3,601,726	3,703,568	3,805,368
<i>Special Education Categorical Programs</i>	1,351,788	1,418,116	1,522,216	1,626,216	1,751,116
<i>All Other</i>	574,827	614,173	568,380	570,852	577,035
<b>Functional Total</b>	<u>25,394,954</u>	<u>25,979,091</u>	<u>27,420,187</u>	<u>28,450,306</u>	<u>29,810,302</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	272	2,200	30,000	0	0
State, Department of	6,435	10,927	3,979	3,979	3,979
Taxation and Finance, Department of	115	926	926	926	926
Technology, Office for	0	0	0	0	0
Veterans' Affairs, Division of	7,175	7,767	7,577	7,637	7,637
<b>Functional Total</b>	<u>13,997</u>	<u>21,820</u>	<u>42,482</u>	<u>12,542</u>	<u>12,542</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	<u>114,822</u>	<u>106,700</u>	<u>121,700</u>	<u>121,700</u>	<u>121,700</u>
<b>Functional Total</b>	<u>146,847</u>	<u>138,724</u>	<u>153,724</u>	<u>153,724</u>	<u>153,724</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,159	725,700	734,523	749,901	761,789
Efficiency Incentive Grants Program	5,225	5,539	2,678	0	0
Miscellaneous Financial Assistance	2,000	4,873	4,623	4,623	4,623
Municipalities with VLT Facilities	25,867	27,246	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>754,468</u>	<u>763,576</u>	<u>769,288</u>	<u>781,988</u>	<u>793,876</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(35,445)	6,171	2,171	923	(50,013)
<b>Functional Total</b>	<u>(35,445)</u>	<u>6,171</u>	<u>2,171</u>	<u>923</u>	<u>(50,013)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u>58,578,027</u>	<u>59,563,578</u>	<u>62,217,632</u>	<u>64,871,181</u>	<u>67,287,946</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	49,174	53,079	56,015	56,875	57,963
Alcoholic Beverage Control, Division of	12,474	13,629	14,057	14,256	14,874
Economic Development, Department of	20,025	22,198	22,595	22,830	21,636
Empire State Development Corporation	1,000	1,000	1,000	1,000	1,000
Energy Research and Development Authority	5,606	5,286	5,389	5,497	5,678
Financial Services, Department of	193,410	202,715	204,957	207,557	207,557
Olympic Regional Development Authority	2,931	4,259	3,161	3,161	3,161
Public Service Department	46,659	49,066	50,882	52,837	53,925
Racing and Wagering Board, State	14,974	0	0	0	0
<b>Functional Total</b>	<u>346,253</u>	<u>351,232</u>	<u>358,056</u>	<u>364,013</u>	<u>365,794</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,090	4,029	4,100	4,184	4,185
Environmental Conservation, Department of	230,616	232,329	231,569	234,434	237,123
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	179,867	174,345	176,737	178,670	180,343
<b>Functional Total</b>	<u>414,573</u>	<u>410,703</u>	<u>412,406</u>	<u>417,288</u>	<u>421,651</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	65,717	69,705	72,379	75,288	76,635
Thruway Authority, New York State	0	24,000	24,000	24,000	24,000
Transportation, Department of	20,224	25,447	25,402	26,019	26,047
<b>Functional Total</b>	<u>85,941</u>	<u>119,152</u>	<u>121,781</u>	<u>125,307</u>	<u>126,682</u>
<b>HEALTH</b>					
Aging, Office for the	1,538	1,609	1,609	1,646	1,681
Health, Department of	547,498	615,520	628,291	631,955	644,941
<i>Medical Assistance</i>	21,028	0	0	0	0
<i>Medicaid Administration</i>	0	191,328	197,339	213,966	225,986
<i>Public Health</i>	526,470	424,192	430,952	417,989	418,955
Medicaid Inspector General, Office of the	21,972	22,776	23,141	23,156	23,170
Stem Cell and Innovation	41,363	37,900	39,500	80,546	64,000
<b>Functional Total</b>	<u>612,371</u>	<u>677,805</u>	<u>692,541</u>	<u>737,303</u>	<u>733,792</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	301,851	278,811	263,337	259,741	264,076
<i>OCFS</i>	301,851	278,811	263,337	259,741	264,076
Housing and Community Renewal, Division of	53,471	49,446	49,940	51,303	52,113
Human Rights, Division of	12,326	10,021	10,277	10,598	10,597
Labor, Department of	44,078	48,623	45,717	46,810	46,657
National and Community Service	274	333	337	337	337
Prevention of Domestic Violence, Office for	1,144	1,613	1,565	1,610	1,614
Temporary and Disability Assistance, Office of	186,559	185,712	195,396	192,908	196,849
<i>All Other</i>	186,559	185,712	195,396	192,908	196,849
Welfare Inspector General, Office of	364	1,179	1,207	1,217	1,217
Workers' Compensation Board	149,802	152,968	153,008	156,295	158,495
<b>Functional Total</b>	<u>749,869</u>	<u>728,706</u>	<u>720,784</u>	<u>720,819</u>	<u>731,955</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	71,973	73,393	76,167	81,749	82,952
<i>OASAS</i>	30,073	32,959	34,183	36,192	36,729
<i>OASAS - Other</i>	41,900	40,434	41,984	45,557	46,223
Justice Center	0	37,170	38,169	38,900	39,482
Mental Health, Office of	1,355,887	1,420,457	1,472,282	1,532,913	1,526,802
<i>OMH</i>	325,835	341,507	365,898	378,687	371,358
<i>OMH - Other</i>	1,030,052	1,078,950	1,106,384	1,154,226	1,155,444
Mental Hygiene, Department of	293	0	0	0	0
People with Developmental Disabilities, Office for	1,486,329	1,363,303	1,328,041	1,376,486	1,335,351
<i>OPWDD</i>	467,938	181	181	181	181
<i>OPWDD - Other</i>	1,018,391	1,363,122	1,327,860	1,376,305	1,335,170
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,905	1,872	0	0	0
<b>Functional Total</b>	<u>2,920,387</u>	<u>2,896,195</u>	<u>2,914,659</u>	<u>3,030,048</u>	<u>2,984,587</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,055	2,672	2,748	2,825	2,833

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
Correctional Services, Department of	2,741,293	2,558,271	2,615,646	2,752,343	2,707,163
Criminal Justice Services, Division of	60,696	60,434	58,846	59,974	60,711
Disaster Assistance	51,769	(85,001)	0	0	0
Homeland Security and Emergency Services, Division of	70,035	39,433	34,545	35,688	35,750
Indigent Legal Services, Office of	688	1,422	1,422	1,422	1,422
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	5,209	5,384	5,642	5,837	5,903
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	2	38	38	38	38
Military and Naval Affairs, Division of	22,745	27,147	27,244	27,615	27,876
State Police, Division of	600,509	653,082	650,162	663,524	669,564
Statewide Financial System	51,349	52,601	52,826	54,164	55,109
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	3,673	4,782	4,896	5,038	5,057
<b>Functional Total</b>	<b>3,610,023</b>	<b>3,320,295</b>	<b>3,454,045</b>	<b>3,608,498</b>	<b>3,571,456</b>
<b>HIGHER EDUCATION</b>					
City University of New York	100,795	88,396	90,158	91,954	93,768
Higher Education - Miscellaneous	627	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	62,393	65,240	66,872	68,268	73,821
State University Construction Fund	1,426	0	0	0	0
State University of New York	5,450,823	5,581,323	5,687,486	5,824,383	5,959,508
<b>Functional Total</b>	<b>5,616,064</b>	<b>5,736,160</b>	<b>5,845,717</b>	<b>5,985,806</b>	<b>6,128,298</b>
<b>EDUCATION</b>					
Arts, Council on the	3,536	4,120	4,120	4,120	4,120
Education, Department of	117,543	129,020	131,404	132,154	132,128
<i>All Other</i>	117,543	129,020	131,404	132,154	132,128
<b>Functional Total</b>	<b>121,079</b>	<b>133,140</b>	<b>135,524</b>	<b>136,274</b>	<b>136,248</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	27,681	30,988	32,404	33,638	33,159
Civil Service, Department of	13,324	13,615	14,747	15,122	15,127
Deferred Compensation Board	442	618	633	642	663
Elections, State Board of	5,304	5,114	5,296	5,442	5,497
Employee Relations, Office of	2,512	2,605	2,610	2,692	2,693
Gaming Commission, New York State	1,306	162,278	166,268	166,901	166,901
General Services, Office of	145,423	167,912	140,867	142,707	145,218
Inspector General, Office of the	5,810	6,710	6,963	7,189	7,251
Labor Management Committees	17,666	35,813	39,987	46,000	43,277
Lottery, Division of the	122,798	0	0	0	0
Public Employment Relations Board	3,392	3,731	3,495	3,587	3,629
Public Integrity, Commission on	3,887	4,505	5,078	5,473	5,525
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	42,561	46,492	47,747	48,376	48,781
Tax Appeals, Division of	2,700	3,174	3,255	3,309	3,361
Taxation and Finance, Department of	372,024	358,934	365,770	372,314	381,102
Technology, Office for	59,514	143,443	156,023	159,974	159,770
Veterans' Affairs, Division of	5,298	5,989	5,762	5,930	5,930
<b>Functional Total</b>	<b>831,642</b>	<b>991,921</b>	<b>996,905</b>	<b>1,019,296</b>	<b>1,027,884</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	137,467	139,394	142,867	148,461	150,011
Executive Chamber	13,014	13,578	13,985	14,404	14,836
Judiciary	1,812,199	1,877,855	1,999,952	2,095,494	2,111,053
Law, Department of	159,850	165,021	166,756	171,379	173,429
Legislature	202,994	218,795	223,945	226,583	231,413
Lieutenant Governor, Office of the	433	614	665	680	680
<b>Functional Total</b>	<b>2,325,957</b>	<b>2,415,257</b>	<b>2,548,170</b>	<b>2,657,001</b>	<b>2,681,422</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	0	0	0	0	0
Long-Term Debt Service	44,199	39,973	40,082	40,082	40,082
Miscellaneous	4,618	4,253	4,376	4,455	204,529
<b>Functional Total</b>	<b>48,817</b>	<b>44,226</b>	<b>44,458</b>	<b>44,537</b>	<b>244,611</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>17,682,976</b>	<b>17,824,792</b>	<b>18,245,046</b>	<b>18,846,190</b>	<b>19,154,380</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	26,859	27,674	29,123	29,747	30,045
Alcoholic Beverage Control, Division of	7,511	8,195	8,308	8,370	8,433
Economic Development, Department of	11,642	12,924	12,455	12,690	12,696
Empire State Development Corporation	500	500	500	500	500
Energy Research and Development Authority	4,027	3,432	3,500	3,570	3,711
Financial Services, Department of	136,494	144,757	146,931	149,453	149,453
Olympic Regional Development Authority	2,500	2,522	2,548	2,548	2,548
Public Service Department	37,725	40,387	41,997	43,741	44,642
Racing and Wagering Board, State	9,716	0	0	0	0
<b>Functional Total</b>	<u>236,974</u>	<u>240,391</u>	<u>245,362</u>	<u>250,619</u>	<u>252,028</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	3,730	3,703	3,774	3,858	3,859
Environmental Conservation, Department of	172,343	171,431	175,268	177,990	180,420
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	130,938	128,377	130,134	132,067	133,740
<b>Functional Total</b>	<u>307,011</u>	<u>303,511</u>	<u>309,176</u>	<u>313,915</u>	<u>318,019</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	49,216	50,274	51,038	53,476	54,391
Transportation, Department of	9,022	10,251	10,499	10,775	10,781
<b>Functional Total</b>	<u>58,238</u>	<u>60,525</u>	<u>61,537</u>	<u>64,251</u>	<u>65,172</u>
<b>HEALTH</b>					
Aging, Office for the	1,357	1,427	1,427	1,457	1,488
Health, Department of	267,361	258,410	274,197	295,999	308,959
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	29,803	39,303	55,903	67,903
<i>Public Health</i>	267,361	228,607	234,894	240,096	241,056
Medicaid Inspector General, Office of the	17,867	17,470	17,485	17,500	17,514
Stem Cell and Innovation	456	472	472	472	472
<b>Functional Total</b>	<u>287,041</u>	<u>277,779</u>	<u>293,581</u>	<u>315,428</u>	<u>328,433</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	176,244	158,937	142,221	140,070	141,721
<i>OCFS</i>	176,244	158,937	142,221	140,070	141,721
Housing and Community Renewal, Division of	40,507	38,465	38,822	40,065	40,563
Human Rights, Division of	10,199	9,316	9,541	9,832	9,831
Labor, Department of	29,780	30,770	31,476	32,170	32,017
National and Community Service	264	325	328	328	328
Prevention of Domestic Violence, Office for	1,037	1,388	1,443	1,477	1,479
Temporary and Disability Assistance, Office of	72,270	66,935	70,131	71,873	72,793
<i>All Other</i>	72,270	66,935	70,131	71,873	72,793
Welfare Inspector General, Office of	288	721	738	748	748
Workers' Compensation Board	84,833	90,706	91,420	93,752	94,462
<b>Functional Total</b>	<u>415,422</u>	<u>397,563</u>	<u>386,120</u>	<u>390,315</u>	<u>393,942</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	53,884	55,250	57,467	61,879	62,498
<i>OASAS</i>	21,965	24,565	25,541	27,263	27,536
<i>OASAS - Other</i>	31,919	30,685	31,926	34,616	34,962
Justice Center	0	18,981	19,309	19,564	19,662
Mental Health, Office of	1,071,255	1,129,221	1,164,343	1,208,441	1,197,167
<i>OMH</i>	271,502	280,985	301,178	309,304	300,610
<i>OMH - Other</i>	799,753	848,236	863,165	899,137	896,557
People with Developmental Disabilities, Office for	1,125,531	1,109,095	1,077,695	1,122,275	1,080,573
<i>OPWDD</i>	343,349	0	0	0	0
<i>OPWDD - Other</i>	782,182	1,109,095	1,077,695	1,122,275	1,080,573
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,959	1,567	0	0	0
<b>Functional Total</b>	<u>2,255,629</u>	<u>2,314,114</u>	<u>2,318,814</u>	<u>2,412,159</u>	<u>2,359,900</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	1,857	2,414	2,481	2,548	2,550
Correctional Services, Department of	2,270,189	2,060,046	2,105,537	2,230,188	2,171,430

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Criminal Justice Services, Division of	29,987	25,533	24,571	25,233	25,565
Disaster Assistance	24,884	(24,884)	0	0	0
Homeland Security and Emergency Services, Division of	15,777	16,797	15,836	16,388	16,450
Indigent Legal Services, Office of	606	770	770	770	770
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	3,953	4,093	4,246	4,366	4,399
Judicial Screening Committees, New York State	0	13	13	13	13
Military and Naval Affairs, Division of	15,802	16,667	16,861	17,080	17,202
State Police, Division of	553,390	559,436	565,783	574,041	578,539
Statewide Financial System	8,650	11,287	10,977	11,060	11,143
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	2,928	3,840	3,935	4,057	4,060
<b>Functional Total</b>	<b>2,928,023</b>	<b>2,676,012</b>	<b>2,751,010</b>	<b>2,885,744</b>	<b>2,832,121</b>
<b>HIGHER EDUCATION</b>					
City University of New York	69,199	59,755	60,801	61,863	62,925
Higher Education - Miscellaneous	107	198	198	198	198
Higher Education Services Corporation, New York State	26,896	28,291	28,978	29,413	32,058
State University Construction Fund	670	0	0	0	0
State University of New York	3,398,972	3,440,534	3,501,377	3,584,774	3,669,916
<b>Functional Total</b>	<b>3,495,844</b>	<b>3,528,778</b>	<b>3,591,354</b>	<b>3,676,248</b>	<b>3,765,097</b>
<b>EDUCATION</b>					
Arts, Council on the	2,059	2,298	2,298	2,298	2,298
Education, Department of	79,912	80,727	82,200	82,927	82,927
<i>All Other</i>	79,912	80,727	82,200	82,927	82,927
<b>Functional Total</b>	<b>161,883</b>	<b>163,752</b>	<b>166,698</b>	<b>168,152</b>	<b>168,152</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	22,197	25,184	26,234	27,342	26,742
Civil Service, Department of	12,090	12,122	12,858	13,228	13,233
Deferred Compensation Board	377	399	408	417	421
Elections, State Board of	4,056	4,250	4,370	4,495	4,530
Employee Relations, Office of	2,445	2,523	2,528	2,609	2,610
Gaming Commission, New York State	1,196	34,874	35,415	36,048	36,048
General Services, Office of	50,191	57,371	58,174	58,522	58,991
Inspector General, Office of the	5,269	6,022	6,294	6,478	6,528
Labor Management Committees	6,038	5,313	5,862	6,869	6,584
Lottery, Division of the	20,420	0	0	0	0
Public Employment Relations Board	2,960	3,495	3,046	3,138	3,162
Public Integrity, Commission on	2,666	3,634	4,035	4,133	4,158
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	28,645	30,407	31,041	31,659	31,671
Tax Appeals, Division of	2,535	2,962	3,034	3,088	3,140
Taxation and Finance, Department of	287,878	276,296	282,503	288,406	294,631
Technology, Office for	46,893	135,733	146,053	150,735	150,347
Veterans' Affairs, Division of	4,893	5,545	5,323	5,480	5,480
<b>Functional Total</b>	<b>500,749</b>	<b>606,130</b>	<b>627,178</b>	<b>642,647</b>	<b>648,276</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	103,639	107,855	110,482	115,214	116,077
Executive Chamber	10,260	11,100	11,439	11,788	12,148
Judiciary	1,457,870	1,470,800	1,561,492	1,618,742	1,634,301
Law, Department of	107,969	110,763	113,770	117,132	118,110
Legislature	153,155	166,331	167,773	169,031	171,575
Lieutenant Governor, Office of the	345	480	515	543	543
<b>Functional Total</b>	<b>1,833,238</b>	<b>1,867,329</b>	<b>1,965,471</b>	<b>2,032,450</b>	<b>2,052,754</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	0	0	0	0	0
Miscellaneous	2,303	2,434	2,514	2,549	102,586
<b>Functional Total</b>	<b>2,303</b>	<b>2,434</b>	<b>2,514</b>	<b>2,549</b>	<b>102,586</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>12,402,443</b>	<b>12,357,591</b>	<b>12,636,615</b>	<b>13,071,550</b>	<b>13,203,553</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	22,315	25,405	26,892	27,128	27,918
Alcoholic Beverage Control, Division of	4,963	5,434	5,749	5,886	6,441
Economic Development, Department of	8,383	9,274	10,140	10,140	8,940
Empire State Development Corporation	500	500	500	500	500
Energy Research and Development Authority	1,579	1,854	1,889	1,927	1,967
Financial Services, Department of	56,916	57,958	58,026	58,104	58,104
Olympic Regional Development Authority	431	1,737	613	613	613
Public Service Department	8,934	8,679	8,885	9,096	9,283
Racing and Wagering Board, State	5,258	0	0	0	0
<b>Functional Total</b>	<u>109,279</u>	<u>110,841</u>	<u>112,694</u>	<u>113,394</u>	<u>113,766</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	360	326	326	326	326
Environmental Conservation, Department of	58,273	60,898	56,301	56,444	56,703
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	48,929	45,968	46,603	46,603	46,603
<b>Functional Total</b>	<u>107,562</u>	<u>107,192</u>	<u>103,230</u>	<u>103,373</u>	<u>103,632</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	16,501	19,431	21,341	21,812	22,244
Thruway Authority, New York State	0	24,000	24,000	24,000	24,000
Transportation, Department of	11,202	15,196	14,903	15,244	15,266
<b>Functional Total</b>	<u>27,703</u>	<u>58,627</u>	<u>60,244</u>	<u>61,056</u>	<u>61,510</u>
<b>HEALTH</b>					
Aging, Office for the	181	182	182	189	193
Health, Department of	280,137	357,110	354,094	335,956	335,982
<i>Medical Assistance</i>	21,028	0	0	0	0
<i>Medicaid Administration</i>	0	161,525	158,036	158,063	158,083
<i>Public Health</i>	259,109	195,585	196,058	177,893	177,899
Medicaid Inspector General, Office of the	4,105	5,306	5,656	5,656	5,656
Stem Cell and Innovation	40,907	37,428	39,028	80,074	63,528
<b>Functional Total</b>	<u>325,330</u>	<u>400,026</u>	<u>398,960</u>	<u>421,875</u>	<u>405,359</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	125,607	119,874	121,116	119,671	122,355
<i>OCFS</i>	125,607	119,874	121,116	119,671	122,355
Housing and Community Renewal, Division of	12,964	10,981	11,118	11,238	11,550
Human Rights, Division of	2,127	705	736	766	766
Labor, Department of	14,298	17,853	14,241	14,640	14,640
National and Community Service	10	8	9	9	9
Prevention of Domestic Violence, Office for	107	225	122	133	135
Temporary and Disability Assistance, Office of	114,289	118,777	125,265	121,035	124,056
<i>All Other</i>	114,289	118,777	125,265	121,035	124,056
Welfare Inspector General, Office of	76	458	469	469	469
Workers' Compensation Board	64,969	62,262	61,588	62,543	64,033
<b>Functional Total</b>	<u>334,447</u>	<u>331,143</u>	<u>334,664</u>	<u>330,504</u>	<u>338,013</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	18,089	18,143	18,700	19,870	20,454
<i>OASAS</i>	8,108	8,394	8,642	8,929	9,193
<i>OASAS - Other</i>	9,981	9,749	10,058	10,941	11,261
Justice Center	0	18,189	18,860	19,336	19,820
Mental Health, Office of	284,632	291,236	307,939	324,472	329,635
<i>OMH</i>	54,333	60,522	64,720	69,383	70,748
<i>OMH - Other</i>	230,299	230,714	243,219	255,089	258,887
Mental Hygiene, Department of	293	0	0	0	0
People with Developmental Disabilities, Office for	360,798	254,208	250,346	254,211	254,778
<i>OPWDD</i>	124,589	181	181	181	181
<i>OPWDD - Other</i>	236,209	254,027	250,165	254,030	254,597
Quality of Care and Advocacy for Persons With Disabilities, Commission on	946	305	0	0	0
<b>Functional Total</b>	<u>664,758</u>	<u>582,081</u>	<u>595,845</u>	<u>617,889</u>	<u>624,687</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Correction, Commission of	198	258	267	277	283
Correctional Services, Department of	471,104	498,225	510,109	522,155	535,733
Criminal Justice Services, Division of	30,709	34,901	34,275	34,741	35,146
Disaster Assistance	26,885	(60,117)	0	0	0
Homeland Security and Emergency Services, Division of	54,258	22,636	18,709	19,300	19,300
Indigent Legal Services, Office of	82	652	652	652	652
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	1,256	1,291	1,396	1,471	1,504
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	2	25	25	25	25
Military and Naval Affairs, Division of	6,943	10,480	10,383	10,535	10,674
State Police, Division of	47,119	93,646	84,379	89,483	91,025
Statewide Financial System	42,699	41,314	41,849	43,104	43,966
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	745	942	961	981	997
<b>Functional Total</b>	<b>682,000</b>	<b>644,283</b>	<b>703,035</b>	<b>722,754</b>	<b>739,335</b>
<b>HIGHER EDUCATION</b>					
City University of New York	31,596	28,641	29,357	30,091	30,843
Higher Education - Miscellaneous	520	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	35,497	36,949	37,894	38,855	41,763
State University Construction Fund	756	0	0	0	0
State University of New York	2,051,851	2,140,789	2,186,109	2,239,609	2,289,592
<b>Functional Total</b>	<b>2,120,220</b>	<b>2,207,382</b>	<b>2,254,363</b>	<b>2,309,558</b>	<b>2,363,201</b>
<b>EDUCATION</b>					
Arts, Council on the	1,477	1,822	1,822	1,822	1,822
Education, Department of	37,631	48,293	49,204	49,227	49,201
<i>All Other</i>	37,631	48,293	49,204	49,227	49,201
<b>Functional Total</b>	<b>39,108</b>	<b>50,115</b>	<b>51,026</b>	<b>51,049</b>	<b>51,023</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	5,484	5,804	6,170	6,296	6,417
Civil Service, Department of	1,234	1,493	1,889	1,894	1,894
Deferred Compensation Board	65	219	225	225	242
Elections, State Board of	1,248	864	926	947	967
Employee Relations, Office of	67	82	82	83	83
Gaming Commission, New York State	110	127,404	130,853	130,853	130,853
General Services, Office of	95,232	110,541	82,693	84,185	86,227
Inspector General, Office of the	541	688	669	711	723
Labor Management Committees	11,628	30,500	34,125	39,131	36,693
Lottery, Division of the	102,378	0	0	0	0
Public Employment Relations Board	432	236	449	449	467
Public Integrity, Commission on	1,221	871	1,043	1,340	1,367
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	13,916	16,085	16,706	16,717	17,110
Tax Appeals, Division of	165	212	221	221	221
Taxation and Finance, Department of	84,146	82,638	83,267	83,908	86,471
Technology, Office for	12,621	7,710	9,970	9,239	9,423
Veterans' Affairs, Division of	405	444	439	450	450
<b>Functional Total</b>	<b>330,893</b>	<b>385,791</b>	<b>369,727</b>	<b>376,649</b>	<b>379,608</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	33,828	31,539	32,385	33,247	33,934
Executive Chamber	2,754	2,478	2,546	2,616	2,688
Judiciary	354,329	407,055	438,460	476,752	476,752
Law, Department of	51,881	54,258	52,986	54,247	55,319
Legislature	49,839	52,464	56,172	57,552	59,838
Lieutenant Governor, Office of the	88	134	150	137	137
<b>Functional Total</b>	<b>492,719</b>	<b>547,928</b>	<b>582,699</b>	<b>624,551</b>	<b>628,668</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	0	0	0	0	0
Long-Term Debt Service	44,199	39,973	40,082	40,082	40,082
Miscellaneous	2,315	1,819	1,862	1,906	101,943
<b>Functional Total</b>	<b>46,514</b>	<b>41,792</b>	<b>41,944</b>	<b>41,988</b>	<b>142,025</b>

CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>5,280,533</u>	<u>5,467,201</u>	<u>5,608,431</u>	<u>5,774,640</u>	<u>5,950,827</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	1,754	1,549	1,611	1,729	1,803
Alcoholic Beverage Control, Division of	2,921	4,546	4,685	4,932	5,121
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	2,189	1,638	1,670	1,704	1,738
Financial Services, Department of	72,113	86,170	88,824	94,279	97,160
Public Service Department	16,640	20,731	23,686	25,772	27,106
Racing and Wagering Board, State	2,786	0	0	0	0
<b>Functional Total</b>	<u>98,403</u>	<u>114,662</u>	<u>120,504</u>	<u>128,444</u>	<u>132,956</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	38,506	39,763	39,186	40,942	42,771
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	245	3,830	3,893	3,938	3,934
<b>Functional Total</b>	<u>38,751</u>	<u>43,593</u>	<u>43,079</u>	<u>44,880</u>	<u>46,705</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	24,125	27,893	28,786	31,508	33,026
Transportation, Department of	4,180	5,665	5,954	6,373	6,390
<b>Functional Total</b>	<u>28,305</u>	<u>33,558</u>	<u>34,740</u>	<u>37,881</u>	<u>39,416</u>
<b>HEALTH</b>					
Health, Department of	29,467	31,202	32,390	34,634	35,531
<i>Public Health</i>	29,467	31,202	32,390	34,634	35,531
Medicaid Inspector General, Office of the	0	0	0	0	0
Stem Cell and Innovation	226	0	0	0	0
<b>Functional Total</b>	<u>29,693</u>	<u>31,202</u>	<u>32,390</u>	<u>34,634</u>	<u>35,531</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,451	2,620	2,652	2,693	2,693
<i>OCFS</i>	1,451	2,620	2,652	2,693	2,693
Housing and Community Renewal, Division of	14,294	19,358	20,498	21,409	21,409
Labor, Department of	14,288	17,060	18,267	19,397	19,306
Temporary and Disability Assistance, Office of	0	0	0	0	0
<i>All Other</i>	0	0	0	0	0
Welfare Inspector General, Office of	22	0	0	0	0
Workers' Compensation Board	40,689	50,323	51,569	55,269	57,403
<b>Functional Total</b>	<u>70,744</u>	<u>89,361</u>	<u>92,986</u>	<u>98,768</u>	<u>100,811</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	29,879	31,197	32,679	35,668	37,731
<i>OASAS</i>	13,443	14,066	14,430	15,747	16,658
<i>OASAS - Other</i>	16,436	17,131	18,249	19,921	21,073
Justice Center	0	1,143	1,375	1,481	1,481
Mental Health, Office of	565,010	625,683	653,507	711,989	729,212
<i>OMH</i>	205,755	162,188	175,966	191,198	192,880
<i>OMH - Other</i>	359,255	463,495	477,541	520,791	536,332
People with Developmental Disabilities, Office for	566,099	604,518	611,402	645,994	653,915
<i>OPWDD</i>	199,047	0	0	0	0
<i>OPWDD - Other</i>	367,052	604,518	611,402	645,994	653,915
Quality of Care and Advocacy for Persons With Disabilities, Commission on	997	317	0	0	0
<b>Functional Total</b>	<u>1,161,985</u>	<u>1,262,858</u>	<u>1,298,963</u>	<u>1,395,132</u>	<u>1,422,339</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	0	45	48	51	53
Criminal Justice Services, Division of	64	78	80	85	88
Homeland Security and Emergency Services, Division of	434	964	998	1,066	1,104
Indigent Legal Services, Office of	247	378	378	378	378
Military and Naval Affairs, Division of	0	250	250	250	250
State Police, Division of	14,881	9,682	3,913	4,170	4,383
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	1,710	1,943	2,028	2,191	2,190
<b>Functional Total</b>	<u>17,336</u>	<u>13,340</u>	<u>7,695</u>	<u>8,191</u>	<u>8,446</u>
<b>HIGHER EDUCATION</b>					

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
City University of New York	412	6,337	6,527	6,723	6,925
Higher Education - Miscellaneous	92	99	99	99	99
Higher Education Services Corporation, New York State	13,513	15,693	16,344	17,330	18,223
State University Construction Fund	(80)	0	0	0	0
State University of New York	530,847	605,166	624,950	645,736	667,546
<b>Functional Total</b>	<u>544,784</u>	<u>627,295</u>	<u>647,920</u>	<u>669,888</u>	<u>692,793</u>
 <b>EDUCATION</b>					
Education, Department of	27,478	31,694	32,484	34,130	34,971
<i>All Other</i>	27,478	31,694	32,484	34,130	34,971
<b>Functional Total</b>	<u>27,478</u>	<u>31,694</u>	<u>32,484</u>	<u>34,130</u>	<u>34,971</u>
 <b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,273	2,297	2,402	2,599	2,625
Civil Service, Department of	155	168	172	176	176
Deferred Compensation Board	178	208	225	225	225
Gaming Commission, New York State	0	19,158	19,482	19,482	19,482
General Services, Office of	1,534	2,136	2,208	2,354	2,444
Lottery, Division of the	10,327	0	0	0	0
State, Department of	8,918	10,909	11,304	12,043	12,409
Taxation and Finance, Department of	20,255	19,213	23,239	24,192	24,881
<b>Functional Total</b>	<u>43,640</u>	<u>54,089</u>	<u>59,032</u>	<u>61,071</u>	<u>62,242</u>
 <b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	1,487	1,582	1,653	1,778	1,845
Judiciary	568,784	660,700	683,454	717,408	717,408
Law, Department of	9,577	14,962	15,692	16,611	17,245
<b>Functional Total</b>	<u>579,848</u>	<u>677,244</u>	<u>700,799</u>	<u>735,797</u>	<u>736,498</u>
 <b>ALL OTHER CATEGORIES</b>					
General State Charges	3,777,684	4,104,088	4,454,794	4,697,339	4,965,967
Miscellaneous	17,447	5,824	5,907	5,986	6,033
<b>Functional Total</b>	<u>3,795,131</u>	<u>4,109,912</u>	<u>4,460,701</u>	<u>4,703,325</u>	<u>4,972,000</u>
 <b>TOTAL GENERAL STATE CHARGES SPENDING</b>	 <u>6,436,098</u>	 <u>7,088,808</u>	 <u>7,531,293</u>	 <u>7,952,141</u>	 <u>8,284,708</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Parks, Recreation and Historic Preservation, Office of	3,879	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<u>3,879</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	3,727	0	0	0	0
<b>Functional Total</b>	<u>3,727</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>MENTAL HYGIENE</b>					
People with Developmental Disabilities, Office for	1	0	0	0	0
OPWDD - Other	1	0	0	0	0
<b>Functional Total</b>	<u>1</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>GENERAL GOVERNMENT</b>					
State, Department of	0	(15)	(15)	(15)	(15)
<b>Functional Total</b>	<u>0</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u>7,607</u>	<u>4,985</u>	<u>4,985</u>	<u>4,985</u>	<u>4,985</u>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	16,758	13,446	4,700	4,750	5,500
Economic Development Capital	9,885	(17,500)	5,000	5,000	15,000
Economic Development, Department of	28,150	32,645	19,859	15,000	0
Empire State Development Corporation	335,077	500,008	617,306	718,965	723,343
Energy Research and Development Authority	11,543	12,000	25,500	25,500	13,000
Regional Economic Development Program	2,921	2,500	1,500	1,500	1,500
Strategic Investment Program	6,583	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<u>410,917</u>	<u>548,099</u>	<u>678,865</u>	<u>775,715</u>	<u>763,343</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	668,739	586,535	541,459	522,302	500,084
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	3,405	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	65,987	79,377	94,690	109,478	116,228
<b>Functional Total</b>	<u>738,131</u>	<u>665,912</u>	<u>636,149</u>	<u>631,780</u>	<u>616,312</u>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	271,081	246,119	183,229	328,571	310,000
Motor Vehicles, Department of	182,756	200,740	201,238	210,792	216,519
Thruway Authority, New York State	2,567	1,800	1,800	1,800	1,800
Transportation, Department of	3,518,866	3,788,072	3,613,653	3,279,613	3,278,192
<b>Functional Total</b>	<u>3,975,270</u>	<u>4,236,731</u>	<u>3,999,920</u>	<u>3,820,776</u>	<u>3,806,511</u>
<b>HEALTH</b>					
Health, Department of	430,104	479,051	83,500	83,500	83,500
<i>Public Health</i>	430,104	479,051	83,500	83,500	83,500
<b>Functional Total</b>	<u>430,104</u>	<u>479,051</u>	<u>83,500</u>	<u>83,500</u>	<u>83,500</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	8,841	20,900	20,914	20,931	20,931
<i>OCFS</i>	8,841	20,900	20,914	20,931	20,931
Housing and Community Renewal, Division of	81,703	83,577	85,229	97,231	97,227
Temporary and Disability Assistance, Office of	40,000	30,000	30,000	33,000	33,000
<i>All Other</i>	40,000	30,000	30,000	33,000	33,000
<b>Functional Total</b>	<u>130,544</u>	<u>134,477</u>	<u>136,143</u>	<u>151,162</u>	<u>151,158</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	28,328	44,310	52,523	58,523	64,523
<i>OASAS</i>	28,328	44,310	52,523	58,523	64,523
Mental Health, Office of	95,125	123,598	125,680	128,783	130,623
<i>OMH</i>	95,125	123,598	125,680	128,783	130,623
People with Developmental Disabilities, Office for	38,710	43,099	43,099	43,099	43,099
<i>OPWDD</i>	38,710	43,099	43,099	43,099	43,099
<b>Functional Total</b>	<u>162,163</u>	<u>211,007</u>	<u>221,302</u>	<u>230,405</u>	<u>238,245</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	191,540	234,677	240,710	246,860	246,764
Disaster Assistance	1,776	23,369	0	0	0
Homeland Security and Emergency Services, Division of	5,139	16,000	10,500	1,000	0
Military and Naval Affairs, Division of	18,627	26,996	43,507	41,607	35,607
Public Security and Emergency Response	0	0	0	0	0
State Police, Division of	26,960	32,374	13,865	13,865	13,065
<b>Functional Total</b>	<u>244,042</u>	<u>333,416</u>	<u>308,582</u>	<u>303,332</u>	<u>295,436</u>
<b>HIGHER EDUCATION</b>					
City University of New York	40,688	52,067	45,211	39,893	39,399
Higher Education Facilities Capital Matching Grants Program	22,781	10,000	0	0	0
State University of New York	1,227,466	1,115,129	1,088,722	1,130,812	984,855
<b>Functional Total</b>	<u>1,290,935</u>	<u>1,177,196</u>	<u>1,133,933</u>	<u>1,170,705</u>	<u>1,024,254</u>
<b>EDUCATION</b>					
Education, Department of	19,629	41,416	45,099	41,998	37,400
<i>All Other</i>	19,629	41,416	45,099	41,998	37,400

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>Functional Total</b>	19,629	41,416	45,099	41,998	37,400
<b>GENERAL GOVERNMENT</b>					
General Services, Office of	66,195	66,809	77,383	69,883	69,883
State, Department of	0	0	2,200	1,455	10,000
Technology, Office for	0	40,523	41,547	4,409	1,261
<b>Functional Total</b>	<u>66,195</u>	<u>107,332</u>	<u>121,130</u>	<u>75,747</u>	<u>81,144</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	128	0	0	0	0
<b>Functional Total</b>	<u>128</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	71,604	61,000	780,325	747,125	632,629
<b>Functional Total</b>	<u>71,604</u>	<u>61,000</u>	<u>780,325</u>	<u>747,125</u>	<u>632,629</u>
<b>TOTAL CAPITAL PROJECTS FUNDS SPENDING</b>	<u>7,539,662</u>	<u>7,995,637</u>	<u>8,144,948</u>	<u>8,032,245</u>	<u>7,729,932</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
<b><i>Agriculture and Markets, Department of</i></b>	<b>100,091</b>	<b>108,839</b>	<b>102,815</b>	<b>103,397</b>	<b>106,159</b>
Local Assistance Grants	19,476	26,137	25,719	24,946	25,486
State Operations	60,948	65,943	69,095	70,189	71,514
Personal Service	29,854	30,678	32,157	32,811	33,139
Non-Personal Service/Indirect Costs	31,094	35,265	36,938	37,378	38,375
General State Charges	2,909	3,313	3,301	3,512	3,659
Capital Projects	16,758	13,446	4,700	4,750	5,500
<b><i>Alcoholic Beverage Control, Division of</i></b>	<b>15,395</b>	<b>18,175</b>	<b>18,742</b>	<b>19,188</b>	<b>19,995</b>
State Operations	12,474	13,629	14,057	14,256	14,874
Personal Service	7,511	8,195	8,308	8,370	8,433
Non-Personal Service/Indirect Costs	4,963	5,434	5,749	5,886	6,441
General State Charges	2,921	4,546	4,685	4,932	5,121
<b><i>Economic Development Capital</i></b>	<b>9,885</b>	<b>(17,500)</b>	<b>5,000</b>	<b>5,000</b>	<b>15,000</b>
Local Assistance Grants	9,885	0	0	0	0
Capital Projects	0	(17,500)	5,000	5,000	15,000
<b><i>Economic Development, Department of</i></b>	<b>119,820</b>	<b>123,062</b>	<b>122,849</b>	<b>117,475</b>	<b>91,281</b>
Local Assistance Grants	99,498	67,946	80,122	79,372	69,372
State Operations	20,308	22,443	22,840	23,075	21,881
Personal Service	11,642	12,924	12,455	12,690	12,696
Non-Personal Service/Indirect Costs	8,666	9,519	10,385	10,385	9,185
General State Charges	0	28	28	28	28
Capital Projects	14	32,645	19,859	15,000	0
<b><i>Empire State Development Corporation</i></b>	<b>418,352</b>	<b>576,347</b>	<b>696,300</b>	<b>830,687</b>	<b>859,337</b>
Local Assistance Grants	398,257	530,339	550,094	464,382	249,628
State Operations	1,000	1,000	1,000	1,000	1,000
Personal Service	500	500	500	500	500
Non-Personal Service/Indirect Costs	500	500	500	500	500
Capital Projects	19,095	45,008	145,206	365,305	608,709
<b><i>Energy Research and Development Authority</i></b>	<b>25,446</b>	<b>28,158</b>	<b>41,977</b>	<b>42,308</b>	<b>30,215</b>
Local Assistance Grants	6,108	9,234	9,418	9,607	9,799
State Operations	5,606	5,286	5,389	5,497	5,678
Personal Service	4,027	3,432	3,500	3,570	3,711
Non-Personal Service/Indirect Costs	1,579	1,854	1,889	1,927	1,967
General State Charges	2,189	1,638	1,670	1,704	1,738
Capital Projects	11,543	12,000	25,500	25,500	13,000
<b><i>Financial Services, Department of</i></b>	<b>487,932</b>	<b>505,837</b>	<b>510,733</b>	<b>518,788</b>	<b>521,669</b>
Local Assistance Grants	221,513	216,952	216,952	216,952	216,952
State Operations	194,223	202,715	204,957	207,557	207,557
Personal Service	136,771	144,757	146,931	149,453	149,453
Non-Personal Service/Indirect Costs	57,452	57,958	58,026	58,104	58,104
General State Charges	72,196	86,170	88,824	94,279	97,160
<b><i>Olympic Regional Development Authority</i></b>	<b>2,931</b>	<b>4,259</b>	<b>3,161</b>	<b>3,161</b>	<b>3,161</b>
State Operations	2,931	4,259	3,161	3,161	3,161
Personal Service	2,500	2,522	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	431	1,737	613	613	613
<b><i>Public Service Department</i></b>	<b>65,702</b>	<b>72,351</b>	<b>76,876</b>	<b>80,948</b>	<b>83,458</b>
Local Assistance Grants	0	200	200	200	200
State Operations	48,420	50,791	52,305	54,260	55,414
Personal Service	39,435	42,047	43,227	44,971	45,872
Non-Personal Service/Indirect Costs	8,985	8,744	9,078	9,289	9,542
General State Charges	17,282	21,360	24,371	26,488	27,844
<b><i>Racing and Wagering Board, State</i></b>	<b>17,760</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	14,974	0	0	0	0
Personal Service	9,716	0	0	0	0
Non-Personal Service/Indirect Costs	5,258	0	0	0	0
General State Charges	2,786	0	0	0	0
<b><i>Regional Economic Development Program</i></b>	<b>2,921</b>	<b>2,500</b>	<b>1,500</b>	<b>1,500</b>	<b>1,500</b>
Local Assistance Grants	2,921	0	0	0	0
Capital Projects	0	2,500	1,500	1,500	1,500
<b><i>Strategic Investment Program</i></b>	<b>6,583</b>	<b>5,000</b>	<b>5,000</b>	<b>5,000</b>	<b>5,000</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Local Assistance Grants	6,583	0	0	0	0
Capital Projects	0	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>1,272,818</b>	<b>1,427,028</b>	<b>1,584,953</b>	<b>1,727,452</b>	<b>1,736,775</b>
<b>PARKS AND THE ENVIRONMENT</b>					
<b>Adirondack Park Agency</b>	<b>4,190</b>	<b>4,379</b>	<b>4,450</b>	<b>4,534</b>	<b>4,535</b>
State Operations	4,190	4,379	4,450	4,534	4,535
Personal Service	3,730	3,703	3,774	3,858	3,859
Non-Personal Service/Indirect Costs	460	676	676	676	676
<b>Environmental Conservation, Department of</b>	<b>994,556</b>	<b>916,726</b>	<b>870,577</b>	<b>856,765</b>	<b>839,057</b>
Local Assistance Grants	446,201	183,974	149,542	149,542	147,124
State Operations	274,469	275,317	274,998	278,308	280,992
Personal Service	198,798	197,663	201,941	205,108	207,533
Non-Personal Service/Indirect Costs	75,671	77,654	73,057	73,200	73,459
General State Charges	49,013	49,904	49,578	51,613	53,439
Capital Projects	224,873	407,531	396,459	377,302	357,502
<b>Environmental Facilities Corporation</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	0	0	0	0	0
Capital Projects	0	0	0	0	0
<b>Hudson River Park Trust</b>	<b>3,405</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Projects	3,405	0	0	0	0
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>261,360</b>	<b>274,193</b>	<b>291,958</b>	<b>308,749</b>	<b>317,168</b>
Local Assistance Grants	7,892	9,030	8,870	8,870	8,870
State Operations	183,421	176,445	178,884	180,833	182,506
Personal Service	132,175	129,392	131,171	133,120	134,793
Non-Personal Service/Indirect Costs	51,246	47,053	47,713	47,713	47,713
General State Charges	245	4,341	4,514	4,568	4,564
Capital Projects	69,802	84,377	99,690	114,478	121,228
<b>Functional Total</b>	<b>1,263,511</b>	<b>1,195,298</b>	<b>1,166,985</b>	<b>1,170,048</b>	<b>1,160,760</b>
<b>TRANSPORTATION</b>					
<b>Metropolitan Transportation Authority</b>	<b>271,081</b>	<b>246,119</b>	<b>183,229</b>	<b>328,571</b>	<b>310,000</b>
Local Assistance Grants	0	183,600	183,229	18,571	0
Capital Projects	271,081	62,519	0	310,000	310,000
<b>Motor Vehicles, Department of</b>	<b>291,764</b>	<b>321,173</b>	<b>325,335</b>	<b>340,819</b>	<b>349,585</b>
Local Assistance Grants	14,243	16,000	16,000	16,000	16,000
State Operations	70,470	75,300	78,103	81,195	82,653
Personal Service	50,262	52,384	53,206	55,751	56,705
Non-Personal Service/Indirect Costs	20,208	22,916	24,897	25,444	25,948
General State Charges	24,295	29,133	29,994	32,832	34,413
Capital Projects	182,756	200,740	201,238	210,792	216,519
<b>Thruway Authority, New York State</b>	<b>2,567</b>	<b>25,800</b>	<b>25,800</b>	<b>25,800</b>	<b>25,800</b>
Local Assistance Grants	2,567	0	0	0	0
State Operations	0	24,000	24,000	24,000	24,000
Non-Personal Service/Indirect Costs	0	24,000	24,000	24,000	24,000
Capital Projects	0	1,800	1,800	1,800	1,800
<b>Transportation, Department of</b>	<b>7,894,732</b>	<b>8,615,391</b>	<b>8,526,884</b>	<b>8,273,011</b>	<b>8,357,315</b>
Local Assistance Grants	4,764,031	5,385,460	5,494,160	5,521,753	5,590,728
State Operations	28,827	33,222	33,341	34,151	34,179
Personal Service	13,210	15,403	15,763	16,162	16,168
Non-Personal Service/Indirect Costs	15,617	17,819	17,578	17,989	18,011
General State Charges	6,156	8,698	8,924	9,542	9,559
Capital Projects	3,095,718	3,188,011	2,990,459	2,707,565	2,722,849
<b>Functional Total</b>	<b>8,460,144</b>	<b>9,208,483</b>	<b>9,061,248</b>	<b>8,968,201</b>	<b>9,042,700</b>

**HEALTH**

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
<b><i>Aging, Office for the</i></b>	<b>215,130</b>	<b>216,699</b>	<b>223,258</b>	<b>230,181</b>	<b>234,814</b>
Local Assistance Grants	206,855	206,776	213,076	219,780	224,410
State Operations	8,275	9,688	9,947	10,166	10,169
Personal Service	7,104	8,488	8,747	8,959	8,958
Non-Personal Service/Indirect Costs	1,171	1,200	1,200	1,207	1,211
General State Charges	0	235	235	235	235
<b><i>Health, Department of</i></b>	<b>44,612,591</b>	<b>46,641,819</b>	<b>50,201,919</b>	<b>53,789,719</b>	<b>57,810,349</b>
<b>Medical Assistance</b>	<b>38,792,000</b>	<b>40,669,429</b>	<b>44,922,833</b>	<b>48,561,479</b>	<b>52,538,116</b>
Local Assistance Grants	38,770,972	40,669,429	44,922,833	48,561,479	52,538,116
State Operations	21,028	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	21,028	0	0	0	0
<b>Medicaid Administration</b>	<b>1,047,234</b>	<b>1,365,347</b>	<b>1,281,147</b>	<b>1,162,147</b>	<b>1,162,147</b>
Local Assistance Grants	1,047,234	1,048,219	958,008	822,381	810,361
State Operations	0	317,128	323,139	339,766	351,786
Personal Service	0	29,803	39,303	55,903	67,903
Non-Personal Service/Indirect Costs	0	287,325	283,836	283,863	283,883
<b>Public Health</b>	<b>4,773,357</b>	<b>4,607,043</b>	<b>3,997,939</b>	<b>4,066,093</b>	<b>4,110,086</b>
Local Assistance Grants	3,990,966	4,008,414	3,381,212	3,441,219	3,471,344
State Operations	735,141	527,753	544,095	548,924	561,360
Personal Service	315,182	293,294	309,132	332,094	344,522
Non-Personal Service/Indirect Costs	419,959	234,459	234,963	216,830	216,838
General State Charges	37,324	57,376	59,132	62,450	63,882
Capital Projects	9,926	13,500	13,500	13,500	13,500
<b><i>Medicaid Inspector General, Office of the</i></b>	<b>54,262</b>	<b>63,366</b>	<b>65,230</b>	<b>66,033</b>	<b>66,059</b>
State Operations	45,351	52,303	53,953	54,458	54,474
Personal Service	36,007	36,074	37,001	37,480	37,496
Non-Personal Service/Indirect Costs	9,344	16,229	16,952	16,978	16,978
General State Charges	8,911	11,063	11,277	11,575	11,585
<b><i>Stem Cell and Innovation</i></b>	<b>41,589</b>	<b>37,900</b>	<b>39,500</b>	<b>80,546</b>	<b>64,000</b>
State Operations	41,363	37,900	39,500	80,546	64,000
Personal Service	456	472	472	472	472
Non-Personal Service/Indirect Costs	40,907	37,428	39,028	80,074	63,528
General State Charges	226	0	0	0	0
<b>Functional Total</b>	<b>44,923,572</b>	<b>46,959,784</b>	<b>50,529,907</b>	<b>54,166,479</b>	<b>58,175,222</b>
<b>SOCIAL WELFARE</b>					
<b><i>Children and Family Services, Office of</i></b>	<b>2,888,794</b>	<b>2,971,768</b>	<b>3,114,627</b>	<b>3,180,843</b>	<b>3,271,102</b>
<b>OCFS</b>	<b>2,799,762</b>	<b>2,884,781</b>	<b>3,024,248</b>	<b>3,086,792</b>	<b>3,173,081</b>
Local Assistance Grants	2,391,197	2,484,728	2,636,968	2,702,059	2,784,013
State Operations	387,011	367,602	354,487	351,521	355,856
Personal Service	201,435	186,502	170,606	169,073	170,724
Non-Personal Service/Indirect Costs	185,576	181,100	183,881	182,448	185,132
General State Charges	12,713	11,551	11,893	12,312	12,312
Capital Projects	8,841	20,900	20,900	20,900	20,900
<b>OCFS - Other</b>	<b>89,032</b>	<b>86,987</b>	<b>90,379</b>	<b>94,051</b>	<b>98,021</b>
Local Assistance Grants	89,032	86,987	90,379	94,051	98,021
<b><i>Housing and Community Renewal, Division of</i></b>	<b>316,062</b>	<b>270,845</b>	<b>274,671</b>	<b>321,643</b>	<b>322,587</b>
Local Assistance Grants	234,967	184,415	186,067	230,485	230,485
State Operations	62,522	59,459	60,231	61,925	62,873
Personal Service	48,039	46,179	46,738	48,273	48,853
Non-Personal Service/Indirect Costs	14,483	13,280	13,493	13,652	14,020
General State Charges	17,630	23,969	25,371	26,229	26,229
Capital Projects	943	3,002	3,002	3,004	3,000
<b><i>Human Rights, Division of</i></b>	<b>16,497</b>	<b>16,529</b>	<b>17,047</b>	<b>17,636</b>	<b>17,635</b>
State Operations	16,497	14,454	14,844	15,306	15,305
Personal Service	12,689	12,236	12,553	12,942	12,941
Non-Personal Service/Indirect Costs	3,808	2,218	2,291	2,364	2,364
General State Charges	0	2,075	2,203	2,330	2,330
<b><i>Labor, Department of</i></b>	<b>646,122</b>	<b>670,069</b>	<b>657,299</b>	<b>672,757</b>	<b>676,147</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Local Assistance Grants	164,268	161,634	150,280	150,280	150,280
State Operations	361,352	366,941	368,144	374,113	373,811
Personal Service	248,072	243,606	248,354	253,844	253,544
Non-Personal Service/Indirect Costs	113,280	123,335	119,790	120,269	120,267
General State Charges	120,502	141,494	138,875	148,364	152,056
<b>National and Community Service</b>	<b>18,174</b>	<b>14,687</b>	<b>14,909</b>	<b>14,909</b>	<b>14,909</b>
Local Assistance Grants	396	350	350	350	350
State Operations	17,778	14,337	14,559	14,559	14,559
Personal Service	605	683	690	690	690
Non-Personal Service/Indirect Costs	17,173	13,654	13,869	13,869	13,869
<b>Prevention of Domestic Violence, Office for</b>	<b>1,616</b>	<b>2,298</b>	<b>2,253</b>	<b>2,303</b>	<b>2,307</b>
Local Assistance Grants	424	685	685	685	685
State Operations	1,192	1,613	1,568	1,618	1,622
Personal Service	1,085	1,388	1,446	1,485	1,487
Non-Personal Service/Indirect Costs	107	225	122	133	135
<b>Temporary and Disability Assistance, Office of</b>	<b>5,352,470</b>	<b>5,303,848</b>	<b>5,214,656</b>	<b>5,247,651</b>	<b>5,264,000</b>
<b>Welfare Assistance</b>	<b>3,999,303</b>	<b>3,913,749</b>	<b>3,811,848</b>	<b>3,838,561</b>	<b>3,847,099</b>
Local Assistance Grants	3,999,303	3,913,749	3,811,848	3,838,561	3,847,099
<b>All Other</b>	<b>1,353,167</b>	<b>1,390,099</b>	<b>1,402,808</b>	<b>1,409,090</b>	<b>1,416,901</b>
Local Assistance Grants	995,210	1,019,796	1,019,796	1,024,196	1,025,596
State Operations	317,459	325,840	338,039	339,921	346,332
Personal Service	145,744	141,812	147,458	151,892	153,613
Non-Personal Service/Indirect Costs	171,715	184,028	190,581	188,029	192,719
General State Charges	40,498	44,463	44,973	44,973	44,973
<b>Welfare Inspector General, Office of</b>	<b>386</b>	<b>1,179</b>	<b>1,207</b>	<b>1,217</b>	<b>1,217</b>
State Operations	364	1,179	1,207	1,217	1,217
Personal Service	288	721	738	748	748
Non-Personal Service/Indirect Costs	76	458	469	469	469
General State Charges	22	0	0	0	0
<b>Workers' Compensation Board</b>	<b>195,862</b>	<b>206,915</b>	<b>208,201</b>	<b>215,188</b>	<b>219,522</b>
State Operations	155,173	156,592	156,632	159,919	162,119
Personal Service	84,833	90,706	91,420	93,752	94,462
Non-Personal Service/Indirect Costs	70,340	65,886	65,212	66,167	67,657
General State Charges	40,689	50,323	51,569	55,269	57,403
<b>Functional Total</b>	<b>9,435,983</b>	<b>9,458,138</b>	<b>9,504,870</b>	<b>9,674,147</b>	<b>9,789,426</b>
<b>MENTAL HYGIENE</b>					
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>553,941</b>	<b>577,828</b>	<b>606,806</b>	<b>635,551</b>	<b>659,552</b>
<b>OASAS</b>	<b>462,925</b>	<b>498,938</b>	<b>525,248</b>	<b>548,748</b>	<b>570,931</b>
Local Assistance Grants	414,196	429,257	454,005	474,084	494,584
State Operations	35,399	40,052	40,886	42,980	43,618
Personal Service	26,602	30,090	30,617	32,390	32,715
Non-Personal Service/Indirect Costs	8,797	9,962	10,269	10,590	10,903
General State Charges	13,443	16,433	17,274	18,601	19,646
Capital Projects	(113)	13,196	13,083	13,083	13,083
<b>OASAS - Other</b>	<b>91,016</b>	<b>78,890</b>	<b>81,558</b>	<b>86,803</b>	<b>88,621</b>
Local Assistance Grants	32,680	21,325	21,325	21,325	21,325
State Operations	41,900	40,434	41,984	45,557	46,223
Personal Service	31,919	30,685	31,926	34,616	34,962
Non-Personal Service/Indirect Costs	9,981	9,749	10,058	10,941	11,261
General State Charges	16,436	17,131	18,249	19,921	21,073
<b>Developmental Disabilities Planning Council</b>	<b>3,081</b>	<b>4,201</b>	<b>4,200</b>	<b>4,200</b>	<b>4,200</b>
State Operations	2,690	3,597	3,508	3,482	3,454
Personal Service	818	1,211	1,230	1,253	1,253
Non-Personal Service/Indirect Costs	1,872	2,386	2,278	2,229	2,201
General State Charges	391	604	692	718	746
<b>Justice Center</b>	<b>0</b>	<b>39,263</b>	<b>40,648</b>	<b>41,485</b>	<b>42,067</b>
Local Assistance Grants	0	466	620	620	620
State Operations	0	37,572	38,571	39,302	39,884
Personal Service	0	19,126	19,454	19,709	19,807
Non-Personal Service/Indirect Costs	0	18,446	19,117	19,593	20,077

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
General State Charges	0	1,225	1,457	1,563	1,563
<b>Mental Health, Office of</b>	<b>3,148,406</b>	<b>3,309,244</b>	<b>3,549,357</b>	<b>3,877,241</b>	<b>4,018,263</b>
<b>OMH</b>	<b>1,368,062</b>	<b>1,411,320</b>	<b>1,587,853</b>	<b>1,747,045</b>	<b>1,794,743</b>
Local Assistance Grants	765,972	833,947	972,246	1,103,300	1,156,796
State Operations	327,181	342,244	366,635	379,424	372,095
Personal Service	272,381	281,569	301,762	309,888	301,194
Non-Personal Service/Indirect Costs	54,800	60,675	64,873	69,536	70,901
General State Charges	206,107	162,531	176,292	191,538	193,229
Capital Projects	68,802	72,598	72,680	72,783	72,623
<b>OMH - Other</b>	<b>1,780,344</b>	<b>1,897,924</b>	<b>1,961,504</b>	<b>2,130,196</b>	<b>2,223,520</b>
Local Assistance Grants	391,037	355,479	377,579	455,179	531,744
State Operations	1,030,052	1,078,950	1,106,384	1,154,226	1,155,444
Personal Service	799,753	848,236	863,165	899,137	896,557
Non-Personal Service/Indirect Costs	230,299	230,714	243,219	255,089	258,887
General State Charges	359,255	463,495	477,541	520,791	536,332
<b>Mental Hygiene, Department of</b>	<b>293</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	293	0	0	0	0
Non-Personal Service/Indirect Costs	293	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>4,295,680</b>	<b>3,445,663</b>	<b>3,858,816</b>	<b>4,237,053</b>	<b>4,266,596</b>
<b>OPWDD</b>	<b>1,408,728</b>	<b>520,146</b>	<b>473,013</b>	<b>433,837</b>	<b>438,650</b>
Local Assistance Grants	706,733	466,194	419,061	379,885	384,698
State Operations	468,064	14,658	14,658	14,658	14,658
Personal Service	343,349	116	116	116	116
Non-Personal Service/Indirect Costs	124,715	14,542	14,542	14,542	14,542
General State Charges	199,047	74	74	74	74
Capital Projects	34,884	39,220	39,220	39,220	39,220
<b>OPWDD - Other</b>	<b>2,886,952</b>	<b>2,925,517</b>	<b>3,385,803</b>	<b>3,803,216</b>	<b>3,827,946</b>
Local Assistance Grants	1,501,508	957,877	1,446,541	1,780,917	1,838,861
State Operations	1,018,391	1,363,122	1,327,860	1,376,305	1,335,170
Personal Service	782,182	1,109,095	1,077,695	1,122,275	1,080,573
Non-Personal Service/Indirect Costs	236,209	254,027	250,165	254,030	254,597
General State Charges	367,052	604,518	611,402	645,994	653,915
Capital Projects	1	0	0	0	0
<b>Quality of Care and Advocacy for Persons With Disabilities, Commission on</b>	<b>13,565</b>	<b>9,181</b>	<b>7,070</b>	<b>7,318</b>	<b>7,318</b>
Local Assistance Grants	537	154	0	0	0
State Operations	11,609	8,683	7,036	7,277	7,268
Personal Service	5,579	1,615	61	69	77
Non-Personal Service/Indirect Costs	6,030	7,068	6,975	7,208	7,191
General State Charges	1,419	344	34	41	50
<b>Functional Total</b>	<b>8,014,966</b>	<b>7,385,380</b>	<b>8,066,897</b>	<b>8,802,848</b>	<b>8,997,996</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
<b>Correction, Commission of</b>	<b>2,055</b>	<b>2,672</b>	<b>2,748</b>	<b>2,825</b>	<b>2,833</b>
State Operations	2,055	2,672	2,748	2,825	2,833
Personal Service	1,857	2,414	2,481	2,548	2,550
Non-Personal Service/Indirect Costs	198	258	267	277	283
<b>Correctional Services, Department of</b>	<b>2,964,003</b>	<b>2,823,899</b>	<b>2,882,191</b>	<b>3,024,710</b>	<b>2,979,418</b>
Local Assistance Grants	4,651	6,000	6,000	6,000	6,000
State Operations	2,767,151	2,581,746	2,634,000	2,770,366	2,725,186
Personal Service	2,276,953	2,082,244	2,122,580	2,246,900	2,188,142
Non-Personal Service/Indirect Costs	490,198	499,502	511,420	523,466	537,044
General State Charges	661	1,476	1,481	1,484	1,468
Capital Projects	191,540	234,677	240,710	246,860	246,764
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>11,500</b>	<b>11,500</b>	<b>12,500</b>	<b>12,500</b>
Local Assistance Grants	0	11,500	11,500	12,500	12,500
<b>Criminal Justice Services, Division of</b>	<b>260,892</b>	<b>264,093</b>	<b>251,074</b>	<b>253,986</b>	<b>255,024</b>
Local Assistance Grants	179,803	188,631	178,514	178,514	178,514
State Operations	80,653	72,298	70,858	72,152	73,062
Personal Service	39,995	30,786	29,968	30,793	31,167
Non-Personal Service/Indirect Costs	40,658	41,512	40,890	41,359	41,895
General State Charges	436	3,164	1,702	3,320	3,448

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
<b>Disaster Assistance</b>	<b>73,535</b>	<b>5,185,791</b>	<b>2,480,600</b>	<b>1,015,000</b>	<b>500,000</b>
Local Assistance Grants	19,990	5,137,276	2,480,600	1,015,000	500,000
State Operations	51,769	25,146	0	0	0
Personal Service	24,884	(2,488)	0	0	0
Non-Personal Service/Indirect Costs	26,885	27,634	0	0	0
Capital Projects	1,776	23,369	0	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>1,550,222</b>	<b>420,757</b>	<b>408,002</b>	<b>397,716</b>	<b>510,116</b>
Local Assistance Grants	1,452,114	348,361	345,860	343,744	457,044
State Operations	90,337	52,516	47,728	48,990	49,052
Personal Service	26,785	23,068	22,207	22,878	22,940
Non-Personal Service/Indirect Costs	63,552	29,448	25,521	26,112	26,112
General State Charges	2,632	3,880	3,914	3,982	4,020
Capital Projects	5,139	16,000	10,500	1,000	0
<b>Indigent Legal Services, Office of</b>	<b>57,492</b>	<b>67,200</b>	<b>82,800</b>	<b>82,800</b>	<b>82,800</b>
Local Assistance Grants	56,557	65,400	81,000	81,000	81,000
State Operations	688	1,422	1,422	1,422	1,422
Personal Service	606	770	770	770	770
Non-Personal Service/Indirect Costs	82	652	652	652	652
General State Charges	247	378	378	378	378
<b>Judicial Commissions</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
<b>Judicial Conduct, Commission on</b>	<b>5,209</b>	<b>5,384</b>	<b>5,642</b>	<b>5,837</b>	<b>5,903</b>
State Operations	5,209	5,384	5,642	5,837	5,903
Personal Service	3,953	4,093	4,246	4,366	4,399
Non-Personal Service/Indirect Costs	1,256	1,291	1,396	1,471	1,504
<b>Judicial Nomination, Commission on</b>	<b>0</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	0	30	30	30	30
Non-Personal Service/Indirect Costs	0	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>2</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	2	38	38	38	38
Personal Service	0	13	13	13	13
Non-Personal Service/Indirect Costs	2	25	25	25	25
<b>Military and Naval Affairs, Division of</b>	<b>75,461</b>	<b>96,348</b>	<b>113,059</b>	<b>111,741</b>	<b>106,002</b>
Local Assistance Grants	755	867	850	850	850
State Operations	56,077	61,210	61,792	62,075	62,123
Personal Service	37,258	28,624	28,818	29,037	29,159
Non-Personal Service/Indirect Costs	18,819	32,586	32,974	33,038	32,964
General State Charges	2	7,275	6,910	7,209	7,422
Capital Projects	18,627	26,996	43,507	41,607	35,607
<b>Public Security and Emergency Response</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Projects	0	0	0	0	0
<b>State Police, Division of</b>	<b>659,602</b>	<b>702,788</b>	<b>675,640</b>	<b>689,259</b>	<b>694,712</b>
State Operations	617,359	660,732	657,862	671,224	677,264
Personal Service	560,047	564,586	570,983	579,241	583,739
Non-Personal Service/Indirect Costs	57,312	96,146	86,879	91,983	93,525
General State Charges	15,283	9,682	3,913	4,170	4,383
Capital Projects	26,960	32,374	13,865	13,865	13,065
<b>Statewide Financial System</b>	<b>51,349</b>	<b>52,601</b>	<b>52,826</b>	<b>54,164</b>	<b>55,109</b>
State Operations	51,349	52,601	52,826	54,164	55,109
Personal Service	8,650	11,287	10,977	11,060	11,143
Non-Personal Service/Indirect Costs	42,699	41,314	41,849	43,104	43,966
<b>Statewide Wireless Network</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	0	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Victim Services, Office of</b>	<b>65,350</b>	<b>67,406</b>	<b>67,668</b>	<b>68,070</b>	<b>68,099</b>
Local Assistance Grants	58,498	58,310	58,310	58,310	58,310

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
State Operations	5,142	6,799	6,976	7,197	7,227
Personal Service	3,951	5,355	5,513	5,714	5,718
Non-Personal Service/Indirect Costs	1,191	1,444	1,463	1,483	1,509
General State Charges	1,710	2,297	2,382	2,563	2,562
<b>Functional Total</b>	<b>5,765,172</b>	<b>9,700,507</b>	<b>7,033,818</b>	<b>5,718,676</b>	<b>5,272,584</b>
<b>HIGHER EDUCATION</b>					
<b>City University of New York</b>	<b>1,362,122</b>	<b>1,491,679</b>	<b>1,543,319</b>	<b>1,608,925</b>	<b>1,688,309</b>
Local Assistance Grants	1,219,949	1,344,879	1,401,423	1,470,355	1,548,217
State Operations	101,073	88,396	90,158	91,954	93,768
Personal Service	69,199	59,755	60,801	61,863	62,925
Non-Personal Service/Indirect Costs	31,874	28,641	29,357	30,091	30,843
General State Charges	412	6,337	6,527	6,723	6,925
Capital Projects	40,688	52,067	45,211	39,893	39,399
<b>Higher Education - Miscellaneous</b>	<b>719</b>	<b>1,300</b>	<b>1,300</b>	<b>1,300</b>	<b>1,300</b>
State Operations	627	1,201	1,201	1,201	1,201
Personal Service	107	198	198	198	198
Non-Personal Service/Indirect Costs	520	1,003	1,003	1,003	1,003
General State Charges	92	99	99	99	99
<b>Higher Education Facilities Capital Matching Grants Program</b>	<b>22,781</b>	<b>10,000</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	22,781	10,000	0	0	0
Capital Projects	0	0	0	0	0
<b>Higher Education Services Corporation, New York State</b>	<b>1,028,875</b>	<b>1,091,404</b>	<b>1,108,025</b>	<b>1,124,258</b>	<b>1,124,987</b>
Local Assistance Grants	947,122	1,003,419	1,017,757	1,031,608	1,025,891
State Operations	68,180	71,873	73,505	74,901	80,454
Personal Service	26,980	29,127	29,814	30,249	32,894
Non-Personal Service/Indirect Costs	41,200	42,746	43,691	44,652	47,560
General State Charges	13,573	16,112	16,763	17,749	18,642
<b>State University Construction Fund</b>	<b>1,346</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	1,426	0	0	0	0
Personal Service	670	0	0	0	0
Non-Personal Service/Indirect Costs	756	0	0	0	0
General State Charges	(80)	0	0	0	0
<b>State University of New York</b>	<b>7,963,052</b>	<b>8,020,799</b>	<b>8,135,741</b>	<b>8,335,514</b>	<b>8,346,492</b>
Local Assistance Grants	465,738	484,270	499,672	499,672	499,672
State Operations	5,740,703	5,816,183	5,922,346	6,059,243	6,194,368
Personal Service	3,407,655	3,447,763	3,508,606	3,592,003	3,677,145
Non-Personal Service/Indirect Costs	2,333,048	2,368,420	2,413,740	2,467,240	2,517,223
General State Charges	530,995	605,217	625,001	645,787	667,597
Capital Projects	1,225,616	1,115,129	1,088,722	1,130,812	984,855
<b>Functional Total</b>	<b>10,378,895</b>	<b>10,615,182</b>	<b>10,788,385</b>	<b>11,069,997</b>	<b>11,161,088</b>
<b>EDUCATION</b>					
<b>Arts, Council on the</b>	<b>22,631</b>	<b>60,573</b>	<b>40,573</b>	<b>40,573</b>	<b>40,573</b>
Local Assistance Grants	19,095	56,353	36,353	36,353	36,353
State Operations	3,536	4,220	4,220	4,220	4,220
Personal Service	2,059	2,298	2,298	2,298	2,298
Non-Personal Service/Indirect Costs	1,477	1,922	1,922	1,922	1,922
<b>Education, Department of</b>	<b>28,947,664</b>	<b>30,238,740</b>	<b>31,812,745</b>	<b>32,892,532</b>	<b>34,121,778</b>
<b>School Aid</b>	<b>22,618,114</b>	<b>23,339,294</b>	<b>24,640,232</b>	<b>25,534,937</b>	<b>26,683,750</b>
Local Assistance Grants	22,618,114	23,339,294	24,640,232	25,534,937	26,683,750
<b>STAR Property Tax Relief</b>	<b>3,286,160</b>	<b>3,419,375</b>	<b>3,601,726</b>	<b>3,703,568</b>	<b>3,805,368</b>
Local Assistance Grants	3,286,160	3,419,375	3,601,726	3,703,568	3,805,368
<b>Special Education Categorical Programs</b>	<b>2,025,716</b>	<b>2,207,416</b>	<b>2,327,516</b>	<b>2,447,916</b>	<b>2,581,036</b>
Local Assistance Grants	2,008,776	2,207,416	2,327,516	2,447,916	2,581,036
State Operations	12,425	0	0	0	0
Personal Service	9,672	0	0	0	0
Non-Personal Service/Indirect Costs	2,753	0	0	0	0
General State Charges	4,515	0	0	0	0
<b>All Other</b>	<b>1,017,674</b>	<b>1,272,655</b>	<b>1,243,271</b>	<b>1,206,111</b>	<b>1,051,624</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Local Assistance Grants	709,023	782,978	741,385	723,592	664,115
State Operations	247,570	384,959	389,382	369,974	279,231
Personal Service	148,053	163,602	166,797	170,630	167,413
Non-Personal Service/Indirect Costs	99,517	221,357	222,585	199,344	111,818
General State Charges	55,448	77,302	81,405	84,547	84,878
Capital Projects	5,633	27,416	31,099	27,998	23,400
<b>Functional Total</b>	<b>28,970,295</b>	<b>30,299,313</b>	<b>31,853,318</b>	<b>32,933,105</b>	<b>34,162,351</b>
<b>GENERAL GOVERNMENT</b>					
<b>Budget, Division of the</b>	<b>29,954</b>	<b>33,285</b>	<b>34,806</b>	<b>36,237</b>	<b>35,784</b>
State Operations	27,681	30,988	32,404	33,638	33,159
Personal Service	22,197	25,184	26,234	27,342	26,742
Non-Personal Service/Indirect Costs	5,484	5,804	6,170	6,296	6,417
General State Charges	2,273	2,297	2,402	2,599	2,625
<b>Civil Service, Department of</b>	<b>13,479</b>	<b>13,783</b>	<b>14,919</b>	<b>15,298</b>	<b>15,303</b>
State Operations	13,324	13,615	14,747	15,122	15,127
Personal Service	12,090	12,122	12,858	13,228	13,233
Non-Personal Service/Indirect Costs	1,234	1,493	1,889	1,894	1,894
General State Charges	155	168	172	176	176
<b>Deferred Compensation Board</b>	<b>620</b>	<b>826</b>	<b>858</b>	<b>867</b>	<b>888</b>
State Operations	442	618	633	642	663
Personal Service	377	399	408	417	421
Non-Personal Service/Indirect Costs	65	219	225	225	242
General State Charges	178	208	225	225	225
<b>Elections, State Board of</b>	<b>11,762</b>	<b>30,814</b>	<b>38,396</b>	<b>5,442</b>	<b>5,497</b>
Local Assistance Grants	1,696	3,700	30,100	0	0
State Operations	10,066	27,114	8,296	5,442	5,497
Personal Service	4,056	4,250	4,370	4,495	4,530
Non-Personal Service/Indirect Costs	6,010	22,864	3,926	947	967
General State Charges	0	0	0	0	0
<b>Employee Relations, Office of</b>	<b>2,512</b>	<b>2,605</b>	<b>2,610</b>	<b>2,692</b>	<b>2,693</b>
State Operations	2,512	2,605	2,610	2,692	2,693
Personal Service	2,445	2,523	2,528	2,609	2,610
Non-Personal Service/Indirect Costs	67	82	82	83	83
<b>Gaming Commission, New York State</b>	<b>1,306</b>	<b>181,436</b>	<b>185,750</b>	<b>186,383</b>	<b>186,383</b>
State Operations	1,306	162,278	166,268	166,901	166,901
Personal Service	1,196	34,874	35,415	36,048	36,048
Non-Personal Service/Indirect Costs	110	127,404	130,853	130,853	130,853
General State Charges	0	19,158	19,482	19,482	19,482
<b>General Services, Office of</b>	<b>218,209</b>	<b>242,094</b>	<b>225,695</b>	<b>220,181</b>	<b>222,782</b>
Local Assistance Grants	0	250	250	250	250
State Operations	150,480	172,899	145,854	147,694	150,205
Personal Service	50,191	57,371	58,174	58,522	58,991
Non-Personal Service/Indirect Costs	100,289	115,528	87,680	89,172	91,214
General State Charges	1,534	2,136	2,208	2,354	2,444
Capital Projects	66,195	66,809	77,383	69,883	69,883
<b>Inspector General, Office of the</b>	<b>5,810</b>	<b>6,710</b>	<b>6,963</b>	<b>7,189</b>	<b>7,251</b>
State Operations	5,810	6,710	6,963	7,189	7,251
Personal Service	5,269	6,022	6,294	6,478	6,528
Non-Personal Service/Indirect Costs	541	688	669	711	723
<b>Labor Management Committees</b>	<b>17,666</b>	<b>35,813</b>	<b>39,987</b>	<b>46,000</b>	<b>43,277</b>
State Operations	17,666	35,813	39,987	46,000	43,277
Personal Service	6,038	5,313	5,862	6,869	6,584
Non-Personal Service/Indirect Costs	11,628	30,500	34,125	39,131	36,693
<b>Lottery, Division of the</b>	<b>133,125</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	122,798	0	0	0	0
Personal Service	20,420	0	0	0	0
Non-Personal Service/Indirect Costs	102,378	0	0	0	0
General State Charges	10,327	0	0	0	0
<b>Public Employment Relations Board</b>	<b>3,392</b>	<b>3,731</b>	<b>3,495</b>	<b>3,587</b>	<b>3,629</b>
State Operations	3,392	3,731	3,495	3,587	3,629

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Personal Service	2,960	3,495	3,046	3,138	3,162
Non-Personal Service/Indirect Costs	432	236	449	449	467
<b>Public Integrity, Commission on</b>	<b>3,887</b>	<b>4,505</b>	<b>5,078</b>	<b>5,473</b>	<b>5,525</b>
State Operations	3,887	4,505	5,078	5,473	5,525
Personal Service	2,666	3,634	4,035	4,133	4,158
Non-Personal Service/Indirect Costs	1,221	871	1,043	1,340	1,367
<b>Regulatory Reform, Governor's Office of</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
<b>State, Department of</b>	<b>95,268</b>	<b>120,058</b>	<b>144,906</b>	<b>131,833</b>	<b>141,232</b>
Local Assistance Grants	38,737	52,473	73,347	59,436	59,436
State Operations	46,180	54,039	55,493	56,205	56,610
Personal Service	31,590	33,968	34,704	35,390	35,402
Non-Personal Service/Indirect Costs	14,590	20,071	20,789	20,815	21,208
General State Charges	10,351	13,561	13,881	14,752	15,201
Capital Projects	0	(15)	2,185	1,440	9,985
<b>Tax Appeals, Division of</b>	<b>2,700</b>	<b>3,174</b>	<b>3,255</b>	<b>3,309</b>	<b>3,361</b>
State Operations	2,700	3,174	3,255	3,309	3,361
Personal Service	2,535	2,962	3,034	3,088	3,140
Non-Personal Service/Indirect Costs	165	212	221	221	221
<b>Taxation and Finance, Department of</b>	<b>392,820</b>	<b>380,288</b>	<b>391,155</b>	<b>398,652</b>	<b>408,129</b>
Local Assistance Grants	115	926	926	926	926
State Operations	372,450	360,149	366,990	373,534	382,322
Personal Service	287,878	276,296	282,503	288,406	294,631
Non-Personal Service/Indirect Costs	84,572	83,853	84,487	85,128	87,691
General State Charges	20,255	19,213	23,239	24,192	24,881
<b>Technology, Office for</b>	<b>59,514</b>	<b>183,966</b>	<b>197,570</b>	<b>164,383</b>	<b>161,031</b>
Local Assistance Grants	0	0	0	0	0
State Operations	59,514	143,443	156,023	159,974	159,770
Personal Service	46,893	135,733	146,053	150,735	150,347
Non-Personal Service/Indirect Costs	12,621	7,710	9,970	9,239	9,423
Capital Projects	0	40,523	41,547	4,409	1,261
<b>Veterans' Affairs, Division of</b>	<b>13,076</b>	<b>15,438</b>	<b>15,049</b>	<b>15,305</b>	<b>15,305</b>
Local Assistance Grants	7,175	7,767	7,577	7,637	7,637
State Operations	5,748	7,321	7,122	7,318	7,318
Personal Service	5,223	6,312	6,105	6,276	6,276
Non-Personal Service/Indirect Costs	525	1,009	1,017	1,042	1,042
General State Charges	153	350	350	350	350
<b>Functional Total</b>	<b>1,005,100</b>	<b>1,258,526</b>	<b>1,310,492</b>	<b>1,242,831</b>	<b>1,258,070</b>
<b>ELECTED OFFICIALS</b>					
<b>Audit and Control, Department of</b>	<b>170,979</b>	<b>173,000</b>	<b>176,544</b>	<b>182,263</b>	<b>183,880</b>
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	137,467	139,394	142,867	148,461	150,011
Personal Service	103,639	107,855	110,482	115,214	116,077
Non-Personal Service/Indirect Costs	33,828	31,539	32,385	33,247	33,934
General State Charges	1,487	1,582	1,653	1,778	1,845
<b>Executive Chamber</b>	<b>13,014</b>	<b>13,578</b>	<b>13,985</b>	<b>14,404</b>	<b>14,836</b>
State Operations	13,014	13,578	13,985	14,404	14,836
Personal Service	10,260	11,100	11,439	11,788	12,148
Non-Personal Service/Indirect Costs	2,754	2,478	2,546	2,616	2,688
<b>Judiciary</b>	<b>2,501,800</b>	<b>2,651,755</b>	<b>2,811,606</b>	<b>2,941,102</b>	<b>2,956,661</b>
Local Assistance Grants	114,822	106,700	121,700	121,700	121,700
State Operations	1,818,020	1,884,355	2,006,452	2,101,994	2,117,553
Personal Service	1,458,964	1,470,800	1,561,492	1,618,742	1,634,301
Non-Personal Service/Indirect Costs	359,056	413,555	444,960	483,252	483,252
General State Charges	568,830	660,700	683,454	717,408	717,408
Capital Projects	128	0	0	0	0
<b>Law, Department of</b>	<b>201,117</b>	<b>219,702</b>	<b>222,178</b>	<b>229,092</b>	<b>232,551</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
State Operations	183,934	193,173	195,332	200,611	202,981
Personal Service	125,272	130,452	133,797	137,528	138,659
Non-Personal Service/Indirect Costs	58,662	62,721	61,535	63,083	64,322
General State Charges	17,183	26,529	26,846	28,481	29,570
<b>Legislature</b>	<b>202,994</b>	<b>218,795</b>	<b>223,945</b>	<b>226,583</b>	<b>231,413</b>
State Operations	202,994	218,795	223,945	226,583	231,413
Personal Service	153,155	166,331	167,773	169,031	171,575
Non-Personal Service/Indirect Costs	49,839	52,464	56,172	57,552	59,838
<b>Lieutenant Governor, Office of the</b>	<b>433</b>	<b>614</b>	<b>665</b>	<b>680</b>	<b>680</b>
State Operations	433	614	665	680	680
Personal Service	345	480	515	543	543
Non-Personal Service/Indirect Costs	88	134	150	137	137
<b>Functional Total</b>	<b>3,090,337</b>	<b>3,277,444</b>	<b>3,448,923</b>	<b>3,594,124</b>	<b>3,620,021</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
<b>Aid and Incentives for Municipalities</b>	<b>721,159</b>	<b>725,700</b>	<b>734,523</b>	<b>749,901</b>	<b>761,789</b>
Local Assistance Grants	721,159	725,700	734,523	749,901	761,789
<b>Efficiency Incentive Grants Program</b>	<b>5,225</b>	<b>5,539</b>	<b>2,678</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	5,225	5,539	2,678	0	0
<b>Miscellaneous Financial Assistance</b>	<b>2,000</b>	<b>4,873</b>	<b>4,623</b>	<b>4,623</b>	<b>4,623</b>
Local Assistance Grants	2,000	4,873	4,623	4,623	4,623
<b>Municipalities with VLT Facilities</b>	<b>25,867</b>	<b>27,246</b>	<b>27,246</b>	<b>27,246</b>	<b>27,246</b>
Local Assistance Grants	25,867	27,246	27,246	27,246	27,246
<b>Small Government Assistance</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	217	218	218	218	218
<b>Functional Total</b>	<b>754,468</b>	<b>763,576</b>	<b>769,288</b>	<b>781,988</b>	<b>793,876</b>
<b>ALL OTHER CATEGORIES</b>					
<b>General State Charges</b>	<b>3,777,684</b>	<b>4,104,088</b>	<b>4,454,794</b>	<b>4,697,339</b>	<b>4,965,967</b>
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	3,777,684	4,104,088	4,454,794	4,697,339	4,965,967
<b>Long-Term Debt Service</b>	<b>6,182,129</b>	<b>5,782,669</b>	<b>6,162,978</b>	<b>6,521,612</b>	<b>6,823,198</b>
State Operations	44,199	39,973	40,082	40,082	40,082
Non-Personal Service/Indirect Costs	44,199	39,973	40,082	40,082	40,082
Debt Service	6,137,930	5,742,696	6,122,896	6,481,530	6,783,116
<b>Miscellaneous</b>	<b>(198,243)</b>	<b>(895,752)</b>	<b>(188,221)</b>	<b>(222,511)</b>	<b>(187,822)</b>
Local Assistance Grants	(291,912)	(966,829)	(978,829)	(980,077)	(1,031,013)
State Operations	4,618	4,253	4,376	4,455	204,529
Personal Service	2,303	2,434	2,514	2,549	102,586
Non-Personal Service/Indirect Costs	2,315	1,819	1,862	1,906	101,943
General State Charges	17,447	5,824	5,907	5,986	6,033
Capital Projects	71,604	61,000	780,325	747,125	632,629
<b>Functional Total</b>	<b>9,761,570</b>	<b>8,991,005</b>	<b>10,429,551</b>	<b>10,996,440</b>	<b>11,601,343</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<b>133,096,831</b>	<b>140,539,664</b>	<b>145,548,635</b>	<b>150,846,336</b>	<b>156,772,212</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	100,091	108,839	102,815	103,397	106,159
Alcoholic Beverage Control, Division of	15,395	18,175	18,742	19,188	19,995
Economic Development Capital	9,885	(17,500)	5,000	5,000	15,000
Economic Development, Department of	119,820	123,062	122,849	117,475	91,281
Empire State Development Corporation	418,352	576,347	696,300	830,687	859,337
Energy Research and Development Authority	25,446	28,158	41,977	42,308	30,215
Financial Services, Department of	487,932	505,837	510,733	518,788	521,669
Olympic Regional Development Authority	2,931	4,259	3,161	3,161	3,161
Public Service Department	65,702	72,351	76,876	80,948	83,458
Racing and Wagering Board, State	17,760	0	0	0	0
Regional Economic Development Program	2,921	2,500	1,500	1,500	1,500
Strategic Investment Program	6,583	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>1,272,818</b>	<b>1,427,028</b>	<b>1,584,953</b>	<b>1,727,452</b>	<b>1,736,775</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,190	4,379	4,450	4,534	4,535
Environmental Conservation, Department of	994,556	916,726	870,577	856,765	839,057
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	3,405	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	261,360	274,193	291,958	308,749	317,168
<b>Functional Total</b>	<b>1,263,511</b>	<b>1,195,298</b>	<b>1,166,985</b>	<b>1,170,048</b>	<b>1,160,760</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	271,081	246,119	183,229	328,571	310,000
Motor Vehicles, Department of	291,764	321,173	325,335	340,819	349,585
Thruway Authority, New York State	2,567	25,800	25,800	25,800	25,800
Transportation, Department of	7,894,732	8,615,391	8,526,884	8,273,011	8,357,315
<b>Functional Total</b>	<b>8,460,144</b>	<b>9,208,483</b>	<b>9,061,248</b>	<b>8,968,201</b>	<b>9,042,700</b>
<b>HEALTH</b>					
Aging, Office for the	215,130	216,699	223,258	230,181	234,814
Health, Department of	44,612,591	46,641,819	50,201,919	53,789,719	57,810,349
<i>Medical Assistance</i>	38,792,000	40,669,429	44,922,833	48,561,479	52,538,116
<i>Medicaid Administration</i>	1,047,234	1,365,347	1,281,147	1,162,147	1,162,147
<i>Public Health</i>	4,773,357	4,607,043	3,997,939	4,066,093	4,110,086
Medicaid Inspector General, Office of the	54,262	63,366	65,230	66,033	66,059
Stem Cell and Innovation	41,589	37,900	39,500	80,546	64,000
<b>Functional Total</b>	<b>44,923,572</b>	<b>46,959,784</b>	<b>50,529,907</b>	<b>54,166,479</b>	<b>58,175,222</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	2,888,794	2,971,768	3,114,627	3,180,843	3,271,102
<i>OCFS</i>	2,799,762	2,884,781	3,024,248	3,086,792	3,173,081
<i>OCFS - Other</i>	89,032	86,987	90,379	94,051	98,021
Housing and Community Renewal, Division of	316,062	270,845	274,671	321,643	322,587
Human Rights, Division of	16,497	16,529	17,047	17,636	17,635
Labor, Department of	646,122	670,069	657,299	672,757	676,147
National and Community Service	18,174	14,687	14,909	14,909	14,909
Prevention of Domestic Violence, Office for	1,616	2,298	2,253	2,303	2,307
Temporary and Disability Assistance, Office of	5,352,470	5,303,848	5,214,656	5,247,651	5,264,000
<i>Welfare Assistance</i>	3,999,303	3,913,749	3,811,848	3,838,561	3,847,099
<i>All Other</i>	1,353,167	1,390,099	1,402,808	1,409,090	1,416,901
Welfare Inspector General, Office of	386	1,179	1,207	1,217	1,217
Workers' Compensation Board	195,862	206,915	208,201	215,188	219,522
<b>Functional Total</b>	<b>9,435,983</b>	<b>9,458,138</b>	<b>9,504,870</b>	<b>9,674,147</b>	<b>9,789,426</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	553,941	577,828	606,806	635,551	659,552
<i>OASAS</i>	462,925	498,938	525,248	548,748	570,931
<i>OASAS - Other</i>	91,016	78,890	81,558	86,803	88,621
Developmental Disabilities Planning Council	3,081	4,201	4,200	4,200	4,200
Justice Center	0	39,263	40,648	41,485	42,067
Mental Health, Office of	3,148,406	3,309,244	3,549,357	3,877,241	4,018,263
<i>OMH</i>	1,368,062	1,411,320	1,587,853	1,747,045	1,794,743
<i>OMH - Other</i>	1,780,344	1,897,924	1,961,504	2,130,196	2,223,520
Mental Hygiene, Department of	293	0	0	0	0
People with Developmental Disabilities, Office for	4,295,680	3,445,663	3,858,816	4,237,053	4,266,596
<i>OPWDD</i>	1,408,728	520,146	473,013	433,837	438,650
<i>OPWDD - Other</i>	2,886,952	2,925,517	3,385,803	3,803,216	3,827,946

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
Quality of Care and Advocacy for Persons With Disabilities, Commission on	13,565	9,181	7,070	7,318	7,318
<b>Functional Total</b>	<b>8,014,966</b>	<b>7,385,380</b>	<b>8,066,897</b>	<b>8,802,848</b>	<b>8,997,996</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,055	2,672	2,748	2,825	2,833
Correctional Services, Department of	2,964,003	2,823,899	2,882,191	3,024,710	2,979,418
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	12,500	12,500
Criminal Justice Services, Division of	260,892	264,093	251,074	253,986	255,024
Disaster Assistance	73,535	5,185,791	2,480,600	1,015,000	500,000
Homeland Security and Emergency Services, Division of	1,550,222	420,757	408,002	397,716	510,116
Indigent Legal Services, Office of	57,492	67,200	82,800	82,800	82,800
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	5,209	5,384	5,642	5,837	5,903
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	2	38	38	38	38
Military and Naval Affairs, Division of	75,461	96,348	113,059	111,741	106,002
Public Security and Emergency Response	0	0	0	0	0
State Police, Division of	659,602	702,788	675,640	689,259	694,712
Statewide Financial System	51,349	52,601	52,826	54,164	55,109
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	65,350	67,406	67,668	68,070	68,099
<b>Functional Total</b>	<b>5,765,172</b>	<b>9,700,507</b>	<b>7,033,818</b>	<b>5,718,676</b>	<b>5,272,584</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,362,122	1,491,679	1,543,319	1,608,925	1,688,309
Higher Education - Miscellaneous	719	1,300	1,300	1,300	1,300
Higher Education Facilities Capital Matching Grants Program	22,781	10,000	0	0	0
Higher Education Services Corporation, New York State	1,028,875	1,091,404	1,108,025	1,124,258	1,124,987
State University Construction Fund	1,346	0	0	0	0
State University of New York	7,963,052	8,020,799	8,135,741	8,335,514	8,346,492
<b>Functional Total</b>	<b>10,378,895</b>	<b>10,615,182</b>	<b>10,788,385</b>	<b>11,069,997</b>	<b>11,161,088</b>
<b>EDUCATION</b>					
Arts, Council on the	22,631	60,573	40,573	40,573	40,573
Education, Department of	28,947,664	30,238,740	31,812,745	32,892,532	34,121,778
<i>School Aid</i>	22,618,114	23,339,294	24,640,232	25,534,937	26,683,750
<i>STAR Property Tax Relief</i>	3,286,160	3,419,375	3,601,726	3,703,568	3,805,368
<i>Special Education Categorical Programs</i>	2,025,716	2,207,416	2,327,516	2,447,916	2,581,036
<i>All Other</i>	1,017,674	1,272,655	1,243,271	1,206,111	1,051,624
<b>Functional Total</b>	<b>28,970,295</b>	<b>30,299,313</b>	<b>31,853,318</b>	<b>32,933,105</b>	<b>34,162,351</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	29,954	33,285	34,806	36,237	35,784
Civil Service, Department of	13,479	13,783	14,919	15,298	15,303
Deferred Compensation Board	620	826	858	867	888
Elections, State Board of	11,762	30,814	38,396	5,442	5,497
Employee Relations, Office of	2,512	2,605	2,610	2,692	2,693
Gaming Commission, New York State	1,306	181,436	185,750	186,383	186,383
General Services, Office of	218,209	242,094	225,695	220,181	222,782
Inspector General, Office of the	5,810	6,710	6,963	7,189	7,251
Labor Management Committees	17,666	35,813	39,987	46,000	43,277
Lottery, Division of the	133,125	0	0	0	0
Public Employment Relations Board	3,392	3,731	3,495	3,587	3,629
Public Integrity, Commission on	3,887	4,505	5,078	5,473	5,525
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	95,268	120,058	144,906	131,833	141,232
Tax Appeals, Division of	2,700	3,174	3,255	3,309	3,361
Taxation and Finance, Department of	392,820	380,288	391,155	398,652	408,129
Technology, Office for	59,514	183,966	197,570	164,383	161,031
Veterans' Affairs, Division of	13,076	15,438	15,049	15,305	15,305
<b>Functional Total</b>	<b>1,005,100</b>	<b>1,258,526</b>	<b>1,310,492</b>	<b>1,242,831</b>	<b>1,258,070</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	170,979	173,000	176,544	182,263	183,880
Executive Chamber	13,014	13,578	13,985	14,404	14,836
Judiciary	2,501,800	2,651,755	2,811,606	2,941,102	2,956,661
Law, Department of	201,117	219,702	222,178	229,092	232,551
Legislature	202,994	218,795	223,945	226,583	231,413
Lieutenant Governor, Office of the	433	614	665	680	680
<b>Functional Total</b>	<b>3,090,337</b>	<b>3,277,444</b>	<b>3,448,923</b>	<b>3,594,124</b>	<b>3,620,021</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,159	725,700	734,523	749,901	761,789
Efficiency Incentive Grants Program	5,225	5,539	2,678	0	0
Miscellaneous Financial Assistance	2,000	4,873	4,623	4,623	4,623
Municipalities with VLT Facilities	25,867	27,246	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>754,468</u>	<u>763,576</u>	<u>769,288</u>	<u>781,988</u>	<u>793,876</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,777,684	4,104,088	4,454,794	4,697,339	4,965,967
Long-Term Debt Service	6,182,129	5,782,669	6,162,978	6,521,612	6,823,198
Miscellaneous	(198,243)	(895,752)	(188,221)	(222,511)	(187,822)
<b>Functional Total</b>	<u>9,761,570</u>	<u>8,991,005</u>	<u>10,429,551</u>	<u>10,996,440</u>	<u>11,601,343</u>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<u>133,096,831</u>	<u>140,539,664</u>	<u>145,548,635</u>	<u>150,846,336</u>	<u>156,772,212</u>

*GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.*

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	19,476	26,137	25,719	24,946	25,486
Economic Development Capital	9,885	0	0	0	0
Economic Development, Department of	99,498	67,946	80,122	79,372	69,372
Empire State Development Corporation	398,257	530,339	550,094	464,382	249,628
Energy Research and Development Authority	6,108	9,234	9,418	9,607	9,799
Financial Services, Department of	221,513	216,952	216,952	216,952	216,952
Public Service Department	0	200	200	200	200
Regional Economic Development Program	2,921	0	0	0	0
Strategic Investment Program	6,583	0	0	0	0
<b>Functional Total</b>	<b>764,241</b>	<b>850,808</b>	<b>882,505</b>	<b>795,459</b>	<b>571,437</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	446,201	183,974	149,542	149,542	147,124
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	7,892	9,030	8,870	8,870	8,870
<b>Functional Total</b>	<b>454,093</b>	<b>193,004</b>	<b>158,412</b>	<b>158,412</b>	<b>155,994</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	0	183,600	183,229	18,571	0
Motor Vehicles, Department of	14,243	16,000	16,000	16,000	16,000
Thruway Authority, New York State	2,567	0	0	0	0
Transportation, Department of	4,764,031	5,385,460	5,494,160	5,521,753	5,590,728
<b>Functional Total</b>	<b>4,780,841</b>	<b>5,585,060</b>	<b>5,693,389</b>	<b>5,556,324</b>	<b>5,606,728</b>
<b>HEALTH</b>					
Aging, Office for the	206,855	206,776	213,076	219,780	224,410
Health, Department of	43,809,172	45,726,062	49,262,053	52,825,079	56,819,821
<i>Medical Assistance</i>	38,770,972	40,669,429	44,922,833	48,561,479	52,538,116
<i>Medicaid Administration</i>	1,047,234	1,048,219	958,008	822,381	810,361
<i>Public Health</i>	3,990,966	4,008,414	3,381,212	3,441,219	3,471,344
<b>Functional Total</b>	<b>44,016,027</b>	<b>45,932,838</b>	<b>49,475,129</b>	<b>53,044,859</b>	<b>57,044,231</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	2,480,229	2,571,715	2,727,347	2,796,110	2,882,034
<i>OCFS</i>	2,391,197	2,484,728	2,636,968	2,702,059	2,784,013
<i>OCFS - Other</i>	89,032	86,987	90,379	94,051	98,021
Housing and Community Renewal, Division of	234,967	184,415	186,067	230,485	230,485
Labor, Department of	164,268	161,634	150,280	150,280	150,280
National and Community Service	396	350	350	350	350
Prevention of Domestic Violence, Office for	424	685	685	685	685
Temporary and Disability Assistance, Office of	4,994,513	4,933,545	4,831,644	4,862,757	4,872,695
<i>Welfare Assistance</i>	3,999,303	3,913,749	3,811,848	3,838,561	3,847,099
<i>All Other</i>	995,210	1,019,796	1,019,796	1,024,196	1,025,596
<b>Functional Total</b>	<b>7,874,797</b>	<b>7,852,344</b>	<b>7,896,373</b>	<b>8,040,667</b>	<b>8,136,529</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	446,876	450,582	475,330	495,409	515,909
<i>OASAS</i>	414,196	429,257	454,005	474,084	494,584
<i>OASAS - Other</i>	32,680	21,325	21,325	21,325	21,325
Justice Center	0	466	620	620	620
Mental Health, Office of	1,157,009	1,189,426	1,349,825	1,558,479	1,688,540
<i>OMH</i>	765,972	833,947	972,246	1,103,300	1,156,796
<i>OMH - Other</i>	391,037	355,479	377,579	455,179	531,744
People with Developmental Disabilities, Office for	2,208,241	1,424,071	1,865,602	2,160,802	2,223,559
<i>OPWDD</i>	706,733	466,194	419,061	379,885	384,698
<i>OPWDD - Other</i>	1,501,508	957,877	1,446,541	1,780,917	1,838,861
Quality of Care and Advocacy for Persons With Disabilities, Commission on	537	154	0	0	0
<b>Functional Total</b>	<b>3,812,663</b>	<b>3,064,699</b>	<b>3,691,377</b>	<b>4,215,310</b>	<b>4,428,628</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	4,651	6,000	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	12,500	12,500
Criminal Justice Services, Division of	179,803	188,631	178,514	178,514	178,514
Disaster Assistance	19,990	5,137,276	2,480,600	1,015,000	500,000
Homeland Security and Emergency Services, Division of	1,452,114	348,361	345,860	343,744	457,044

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
Indigent Legal Services, Office of	56,557	65,400	81,000	81,000	81,000
Military and Naval Affairs, Division of	755	867	850	850	850
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	58,498	58,310	58,310	58,310	58,310
<b>Functional Total</b>	<b>1,772,368</b>	<b>5,816,345</b>	<b>3,162,634</b>	<b>1,695,918</b>	<b>1,294,218</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,219,949	1,344,879	1,401,423	1,470,355	1,548,217
Higher Education Facilities Capital Matching Grants Program	22,781	10,000	0	0	0
Higher Education Services Corporation, New York State	947,122	1,003,419	1,017,757	1,031,608	1,025,891
State University of New York	465,738	484,270	499,672	499,672	499,672
<b>Functional Total</b>	<b>2,655,590</b>	<b>2,842,568</b>	<b>2,918,852</b>	<b>3,001,635</b>	<b>3,073,780</b>
<b>EDUCATION</b>					
Arts, Council on the	19,095	56,353	36,353	36,353	36,353
Education, Department of	28,622,073	29,749,063	31,310,859	32,410,013	33,734,269
<i>School Aid</i>	22,618,114	23,339,294	24,640,232	25,534,937	26,683,750
<i>STAR Property Tax Relief</i>	3,286,160	3,419,375	3,601,726	3,703,568	3,805,368
<i>Special Education Categorical Programs</i>	2,008,776	2,207,416	2,327,516	2,447,916	2,581,036
<i>All Other</i>	709,023	782,978	741,385	723,592	664,115
<b>Functional Total</b>	<b>28,641,168</b>	<b>29,805,416</b>	<b>31,347,212</b>	<b>32,446,366</b>	<b>33,770,622</b>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	1,696	3,700	30,100	0	0
General Services, Office of	0	250	250	250	250
State, Department of	38,737	52,473	73,347	59,436	59,436
Taxation and Finance, Department of	115	926	926	926	926
Technology, Office for	0	0	0	0	0
Veterans' Affairs, Division of	7,175	7,767	7,577	7,637	7,637
<b>Functional Total</b>	<b>47,723</b>	<b>65,116</b>	<b>112,200</b>	<b>68,249</b>	<b>68,249</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	114,822	106,700	121,700	121,700	121,700
<b>Functional Total</b>	<b>146,847</b>	<b>138,724</b>	<b>153,724</b>	<b>153,724</b>	<b>153,724</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,159	725,700	734,523	749,901	761,789
Efficiency Incentive Grants Program	5,225	5,539	2,678	0	0
Miscellaneous Financial Assistance	2,000	4,873	4,623	4,623	4,623
Municipalities with VLT Facilities	25,867	27,246	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<b>754,468</b>	<b>763,576</b>	<b>769,288</b>	<b>781,988</b>	<b>793,876</b>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(291,912)	(966,829)	(978,829)	(980,077)	(1,031,013)
<b>Functional Total</b>	<b>(291,912)</b>	<b>(966,829)</b>	<b>(978,829)</b>	<b>(980,077)</b>	<b>(1,031,013)</b>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<b>95,428,914</b>	<b>101,943,669</b>	<b>105,282,266</b>	<b>108,978,834</b>	<b>114,067,003</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	60,948	65,943	69,095	70,189	71,514
Alcoholic Beverage Control, Division of	12,474	13,629	14,057	14,256	14,874
Economic Development, Department of	20,308	22,443	22,840	23,075	21,881
Empire State Development Corporation	1,000	1,000	1,000	1,000	1,000
Energy Research and Development Authority	5,606	5,286	5,389	5,497	5,678
Financial Services, Department of	194,223	202,715	204,957	207,557	207,557
Olympic Regional Development Authority	2,931	4,259	3,161	3,161	3,161
Public Service Department	48,420	50,791	52,305	54,260	55,414
Racing and Wagering Board, State	14,974	0	0	0	0
<b>Functional Total</b>	<u>360,884</u>	<u>366,066</u>	<u>372,804</u>	<u>378,995</u>	<u>381,079</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,190	4,379	4,450	4,534	4,535
Environmental Conservation, Department of	274,469	275,317	274,998	278,308	280,992
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	183,421	176,445	178,884	180,833	182,506
<b>Functional Total</b>	<u>462,080</u>	<u>456,141</u>	<u>458,332</u>	<u>463,675</u>	<u>468,033</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	70,470	75,300	78,103	81,195	82,653
Thruway Authority, New York State	0	24,000	24,000	24,000	24,000
Transportation, Department of	28,827	33,222	33,341	34,151	34,179
<b>Functional Total</b>	<u>99,297</u>	<u>132,522</u>	<u>135,444</u>	<u>139,346</u>	<u>140,832</u>
<b>HEALTH</b>					
Aging, Office for the	8,275	9,688	9,947	10,166	10,169
Health, Department of	756,169	844,881	867,234	888,690	913,146
<i>Medical Assistance</i>	21,028	0	0	0	0
<i>Medicaid Administration</i>	0	317,128	323,139	339,766	351,786
<i>Public Health</i>	735,141	527,753	544,095	548,924	561,360
Medicaid Inspector General, Office of the	45,351	52,303	53,953	54,458	54,474
Stem Cell and Innovation	41,363	37,900	39,500	80,546	64,000
<b>Functional Total</b>	<u>851,158</u>	<u>944,772</u>	<u>970,634</u>	<u>1,033,860</u>	<u>1,041,789</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	387,011	367,602	354,487	351,521	355,856
<i>OCFS</i>	387,011	367,602	354,487	351,521	355,856
Housing and Community Renewal, Division of	62,522	59,459	60,231	61,925	62,873
Human Rights, Division of	16,497	14,454	14,844	15,306	15,305
Labor, Department of	361,352	366,941	368,144	374,113	373,811
National and Community Service	17,778	14,337	14,559	14,559	14,559
Prevention of Domestic Violence, Office for	1,192	1,613	1,568	1,618	1,622
Temporary and Disability Assistance, Office of	317,459	325,840	338,039	339,921	346,332
<i>All Other</i>	317,459	325,840	338,039	339,921	346,332
Welfare Inspector General, Office of	364	1,179	1,207	1,217	1,217
Workers' Compensation Board	155,173	156,592	156,632	159,919	162,119
<b>Functional Total</b>	<u>1,319,348</u>	<u>1,308,017</u>	<u>1,309,711</u>	<u>1,320,099</u>	<u>1,333,694</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	77,299	80,486	82,870	88,537	89,841
<i>OASAS</i>	35,399	40,052	40,886	42,980	43,618
<i>OASAS - Other</i>	41,900	40,434	41,984	45,557	46,223
Developmental Disabilities Planning Council	2,690	3,597	3,508	3,482	3,454
Justice Center	0	37,572	38,571	39,302	39,884
Mental Health, Office of	1,357,233	1,421,194	1,473,019	1,533,650	1,527,539
<i>OMH</i>	327,181	342,244	366,635	379,424	372,095
<i>OMH - Other</i>	1,030,052	1,078,950	1,106,384	1,154,226	1,155,444
Mental Hygiene, Department of	293	0	0	0	0
People with Developmental Disabilities, Office for	1,486,455	1,377,780	1,342,518	1,390,963	1,349,828
<i>OPWDD</i>	468,064	14,658	14,658	14,658	14,658
<i>OPWDD - Other</i>	1,018,391	1,363,122	1,327,860	1,376,305	1,335,170
Quality of Care and Advocacy for Persons With Disabilities, Commission on	11,609	8,683	7,036	7,277	7,268
<b>Functional Total</b>	<u>2,935,579</u>	<u>2,929,312</u>	<u>2,947,522</u>	<u>3,063,211</u>	<u>3,017,814</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,055	2,672	2,748	2,825	2,833
Correctional Services, Department of	2,767,151	2,581,746	2,634,000	2,770,366	2,725,186
Criminal Justice Services, Division of	80,653	72,298	70,858	72,152	73,062
Disaster Assistance	51,769	25,146	0	0	0
Homeland Security and Emergency Services, Division of	90,337	52,516	47,728	48,990	49,052
Indigent Legal Services, Office of	688	1,422	1,422	1,422	1,422
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	5,209	5,384	5,642	5,837	5,903
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	2	38	38	38	38
Military and Naval Affairs, Division of	56,077	61,210	61,792	62,075	62,123
State Police, Division of	617,359	660,732	657,862	671,224	677,264
Statewide Financial System	51,349	52,601	52,826	54,164	55,109
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	5,142	6,799	6,976	7,197	7,227
<b>Functional Total</b>	<u>3,727,791</u>	<u>3,522,594</u>	<u>3,541,922</u>	<u>3,696,320</u>	<u>3,659,249</u>
<b>HIGHER EDUCATION</b>					
City University of New York	101,073	88,396	90,158	91,954	93,768
Higher Education - Miscellaneous	627	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	68,180	71,873	73,505	74,901	80,454
State University Construction Fund	1,426	0	0	0	0
State University of New York	5,740,703	5,816,183	5,922,346	6,059,243	6,194,368
<b>Functional Total</b>	<u>5,912,009</u>	<u>5,977,653</u>	<u>6,087,210</u>	<u>6,227,299</u>	<u>6,369,791</u>
<b>EDUCATION</b>					
Arts, Council on the	3,536	4,220	4,220	4,220	4,220
Education, Department of	259,995	384,959	389,382	369,974	279,231
<i>Special Education Categorical Programs</i>	12,425	0	0	0	0
<i>All Other</i>	247,570	384,959	389,382	369,974	279,231
<b>Functional Total</b>	<u>263,531</u>	<u>389,179</u>	<u>393,602</u>	<u>374,194</u>	<u>283,451</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	27,681	30,988	32,404	33,638	33,159
Civil Service, Department of	13,324	13,615	14,747	15,122	15,127
Deferred Compensation Board	442	618	633	642	663
Elections, State Board of	10,066	27,114	8,296	5,442	5,497
Employee Relations, Office of	2,512	2,605	2,610	2,692	2,693
Gaming Commission, New York State	1,306	162,278	166,268	166,901	166,901
General Services, Office of	150,480	172,899	145,854	147,694	150,205
Inspector General, Office of the	5,810	6,710	6,963	7,189	7,251
Labor Management Committees	17,666	35,813	39,987	46,000	43,277
Lottery, Division of the	122,798	0	0	0	0
Public Employment Relations Board	3,392	3,731	3,495	3,587	3,629
Public Integrity, Commission on	3,887	4,505	5,078	5,473	5,525
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	46,180	54,039	55,493	56,205	56,610
Tax Appeals, Division of	2,700	3,174	3,255	3,309	3,361
Taxation and Finance, Department of	372,450	360,149	366,990	373,534	382,322
Technology, Office for	59,514	143,443	156,023	159,974	159,770
Veterans' Affairs, Division of	5,748	7,321	7,122	7,318	7,318
<b>Functional Total</b>	<u>845,956</u>	<u>1,029,002</u>	<u>1,015,218</u>	<u>1,034,720</u>	<u>1,043,308</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	137,467	139,394	142,867	148,461	150,011
Executive Chamber	13,014	13,578	13,985	14,404	14,836
Judiciary	1,818,020	1,884,355	2,006,452	2,101,994	2,117,553
Law, Department of	183,934	193,173	195,332	200,611	202,981
Legislature	202,994	218,795	223,945	226,583	231,413
Lieutenant Governor, Office of the	433	614	665	680	680
<b>Functional Total</b>	<u>2,355,862</u>	<u>2,449,909</u>	<u>2,583,246</u>	<u>2,692,733</u>	<u>2,717,474</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	0	0	0	0	0
Long-Term Debt Service	44,199	39,973	40,082	40,082	40,082

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
Miscellaneous	4,618	4,253	4,376	4,455	204,529
<b>Functional Total</b>	<u>48,817</u>	<u>44,226</u>	<u>44,458</u>	<u>44,537</u>	<u>244,611</u>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<u>19,182,312</u>	<u>19,549,393</u>	<u>19,860,103</u>	<u>20,468,989</u>	<u>20,701,125</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	29,854	30,678	32,157	32,811	33,139
Alcoholic Beverage Control, Division of	7,511	8,195	8,308	8,370	8,433
Economic Development, Department of	11,642	12,924	12,455	12,690	12,696
Empire State Development Corporation	500	500	500	500	500
Energy Research and Development Authority	4,027	3,432	3,500	3,570	3,711
Financial Services, Department of	136,771	144,757	146,931	149,453	149,453
Olympic Regional Development Authority	2,500	2,522	2,548	2,548	2,548
Public Service Department	39,435	42,047	43,227	44,971	45,872
Racing and Wagering Board, State	9,716	0	0	0	0
<b>Functional Total</b>	<b>241,956</b>	<b>245,055</b>	<b>249,626</b>	<b>254,913</b>	<b>256,352</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	3,730	3,703	3,774	3,858	3,859
Environmental Conservation, Department of	198,798	197,663	201,941	205,108	207,533
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	132,175	129,392	131,171	133,120	134,793
<b>Functional Total</b>	<b>334,703</b>	<b>330,758</b>	<b>336,886</b>	<b>342,086</b>	<b>346,185</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	50,262	52,384	53,206	55,751	56,705
Transportation, Department of	13,210	15,403	15,763	16,162	16,168
<b>Functional Total</b>	<b>63,472</b>	<b>67,787</b>	<b>68,969</b>	<b>71,913</b>	<b>72,873</b>
<b>HEALTH</b>					
Aging, Office for the	7,104	8,488	8,747	8,959	8,958
Health, Department of	315,182	323,097	348,435	387,997	412,425
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	29,803	39,303	55,903	67,903
<i>Public Health</i>	315,182	293,294	309,132	332,094	344,522
Medicaid Inspector General, Office of the	36,007	36,074	37,001	37,480	37,496
Stem Cell and Innovation	456	472	472	472	472
<b>Functional Total</b>	<b>358,749</b>	<b>368,131</b>	<b>394,655</b>	<b>434,908</b>	<b>459,351</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	201,435	186,502	170,606	169,073	170,724
<i>OCFS</i>	201,435	186,502	170,606	169,073	170,724
Housing and Community Renewal, Division of	48,039	46,179	46,738	48,273	48,853
Human Rights, Division of	12,689	12,236	12,553	12,942	12,941
Labor, Department of	248,072	243,606	248,354	253,844	253,544
National and Community Service	605	683	690	690	690
Prevention of Domestic Violence, Office for	1,085	1,388	1,446	1,485	1,487
Temporary and Disability Assistance, Office of	145,744	141,812	147,458	151,892	153,613
<i>All Other</i>	145,744	141,812	147,458	151,892	153,613
Welfare Inspector General, Office of	288	721	738	748	748
Workers' Compensation Board	84,833	90,706	91,420	93,752	94,462
<b>Functional Total</b>	<b>742,790</b>	<b>723,833</b>	<b>720,003</b>	<b>732,699</b>	<b>737,062</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	58,521	60,775	62,543	67,006	67,677
<i>OASAS</i>	26,602	30,090	30,617	32,390	32,715
<i>OASAS - Other</i>	31,919	30,685	31,926	34,616	34,962
Developmental Disabilities Planning Council	818	1,211	1,230	1,253	1,253
Justice Center	0	19,126	19,454	19,709	19,807
Mental Health, Office of	1,072,134	1,129,805	1,164,927	1,209,025	1,197,751
<i>OMH</i>	272,381	281,569	301,762	309,888	301,194
<i>OMH - Other</i>	799,753	848,236	863,165	899,137	896,557
People with Developmental Disabilities, Office for	1,125,531	1,109,211	1,077,811	1,122,391	1,080,689
<i>OPWDD</i>	343,349	116	116	116	116
<i>OPWDD - Other</i>	782,182	1,109,095	1,077,695	1,122,275	1,080,573
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,579	1,615	61	69	77
<b>Functional Total</b>	<b>2,262,583</b>	<b>2,321,743</b>	<b>2,326,026</b>	<b>2,419,453</b>	<b>2,367,254</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	1,857	2,414	2,481	2,548	2,550
Correctional Services, Department of	2,276,953	2,082,244	2,122,580	2,246,900	2,188,142

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Criminal Justice Services, Division of	39,995	30,786	29,968	30,793	31,167
Disaster Assistance	24,884	(2,488)	0	0	0
Homeland Security and Emergency Services, Division of	26,785	23,068	22,207	22,878	22,940
Indigent Legal Services, Office of	606	770	770	770	770
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	3,953	4,093	4,246	4,366	4,399
Judicial Screening Committees, New York State	0	13	13	13	13
Military and Naval Affairs, Division of	37,258	28,624	28,818	29,037	29,159
State Police, Division of	560,047	564,586	570,983	579,241	583,739
Statewide Financial System	8,650	11,287	10,977	11,060	11,143
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	3,951	5,355	5,513	5,714	5,718
<b>Functional Total</b>	<u>2,984,939</u>	<u>2,750,752</u>	<u>2,798,556</u>	<u>2,933,320</u>	<u>2,879,740</u>
<b>HIGHER EDUCATION</b>					
City University of New York	69,199	59,755	60,801	61,863	62,925
Higher Education - Miscellaneous	107	198	198	198	198
Higher Education Services Corporation, New York State	26,980	29,127	29,814	30,249	32,894
State University Construction Fund	670	0	0	0	0
State University of New York	3,407,655	3,447,763	3,508,606	3,592,003	3,677,145
<b>Functional Total</b>	<u>3,504,611</u>	<u>3,536,843</u>	<u>3,599,419</u>	<u>3,684,313</u>	<u>3,773,162</u>
<b>EDUCATION</b>					
Arts, Council on the	2,059	2,298	2,298	2,298	2,298
Education, Department of	157,725	163,602	166,797	170,630	167,413
<i>Special Education Categorical Programs</i>	9,672	0	0	0	0
<i>All Other</i>	148,053	163,602	166,797	170,630	167,413
<b>Functional Total</b>	<u>159,784</u>	<u>165,900</u>	<u>169,095</u>	<u>172,928</u>	<u>169,711</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	22,197	25,184	26,234	27,342	26,742
Civil Service, Department of	12,090	12,122	12,858	13,228	13,233
Deferred Compensation Board	377	399	408	417	421
Elections, State Board of	4,056	4,250	4,370	4,495	4,530
Employee Relations, Office of	2,445	2,523	2,528	2,609	2,610
Gaming Commission, New York State	1,196	34,874	35,415	36,048	36,048
General Services, Office of	50,191	57,371	58,174	58,522	58,991
Inspector General, Office of the	5,269	6,022	6,294	6,478	6,528
Labor Management Committees	6,038	5,313	5,862	6,869	6,584
Lottery, Division of the	20,420	0	0	0	0
Public Employment Relations Board	2,960	3,495	3,046	3,138	3,162
Public Integrity, Commission on	2,666	3,634	4,035	4,133	4,158
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	31,590	33,968	34,704	35,390	35,402
Tax Appeals, Division of	2,535	2,962	3,034	3,088	3,140
Taxation and Finance, Department of	287,878	276,296	282,503	288,406	294,631
Technology, Office for	46,893	135,733	146,053	150,735	150,347
Veterans' Affairs, Division of	5,223	6,312	6,105	6,276	6,276
<b>Functional Total</b>	<u>504,024</u>	<u>610,458</u>	<u>631,623</u>	<u>647,174</u>	<u>652,803</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	103,639	107,855	110,482	115,214	116,077
Executive Chamber	10,260	11,100	11,439	11,788	12,148
Judiciary	1,458,964	1,470,800	1,561,492	1,618,742	1,634,301
Law, Department of	125,272	130,452	133,797	137,528	138,659
Legislature	153,155	166,331	167,773	169,031	171,575
Lieutenant Governor, Office of the	345	480	515	543	543
<b>Functional Total</b>	<u>1,851,635</u>	<u>1,887,018</u>	<u>1,985,498</u>	<u>2,052,846</u>	<u>2,073,303</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	0	0	0	0	0
Miscellaneous	2,303	2,434	2,514	2,549	102,586
<b>Functional Total</b>	<u>2,303</u>	<u>2,434</u>	<u>2,514</u>	<u>2,549</u>	<u>102,586</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>13,011,549</u></u>	<u><u>13,010,712</u></u>	<u><u>13,282,870</u></u>	<u><u>13,749,102</u></u>	<u><u>13,890,382</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	31,094	35,265	36,938	37,378	38,375
Alcoholic Beverage Control, Division of	4,963	5,434	5,749	5,886	6,441
Economic Development, Department of	8,666	9,519	10,385	10,385	9,185
Empire State Development Corporation	500	500	500	500	500
Energy Research and Development Authority	1,579	1,854	1,889	1,927	1,967
Financial Services, Department of	57,452	57,958	58,026	58,104	58,104
Olympic Regional Development Authority	431	1,737	613	613	613
Public Service Department	8,985	8,744	9,078	9,289	9,542
Racing and Wagering Board, State	5,258	0	0	0	0
<b>Functional Total</b>	<b>118,928</b>	<b>121,011</b>	<b>123,178</b>	<b>124,082</b>	<b>124,727</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	460	676	676	676	676
Environmental Conservation, Department of	75,671	77,654	73,057	73,200	73,459
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	51,246	47,053	47,713	47,713	47,713
<b>Functional Total</b>	<b>127,377</b>	<b>125,383</b>	<b>121,446</b>	<b>121,589</b>	<b>121,848</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	20,208	22,916	24,897	25,444	25,948
Thruway Authority, New York State	0	24,000	24,000	24,000	24,000
Transportation, Department of	15,617	17,819	17,578	17,989	18,011
<b>Functional Total</b>	<b>35,825</b>	<b>64,735</b>	<b>66,475</b>	<b>67,433</b>	<b>67,959</b>
<b>HEALTH</b>					
Aging, Office for the	1,171	1,200	1,200	1,207	1,211
Health, Department of	440,987	521,784	518,799	500,693	500,721
<i>Medical Assistance</i>	21,028	0	0	0	0
<i>Medicaid Administration</i>	0	287,325	283,836	283,863	283,883
<i>Public Health</i>	419,959	234,459	234,963	216,830	216,838
Medicaid Inspector General, Office of the	9,344	16,229	16,952	16,978	16,978
Stem Cell and Innovation	40,907	37,428	39,028	80,074	63,528
<b>Functional Total</b>	<b>492,409</b>	<b>576,641</b>	<b>575,979</b>	<b>598,952</b>	<b>582,438</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	185,576	181,100	183,881	182,448	185,132
<i>OCFS</i>	185,576	181,100	183,881	182,448	185,132
Housing and Community Renewal, Division of	14,483	13,280	13,493	13,652	14,020
Human Rights, Division of	3,808	2,218	2,291	2,364	2,364
Labor, Department of	113,280	123,335	119,790	120,269	120,267
National and Community Service	17,173	13,654	13,869	13,869	13,869
Prevention of Domestic Violence, Office for	107	225	122	133	135
Temporary and Disability Assistance, Office of	171,715	184,028	190,581	188,029	192,719
<i>All Other</i>	171,715	184,028	190,581	188,029	192,719
Welfare Inspector General, Office of	76	458	469	469	469
Workers' Compensation Board	70,340	65,886	65,212	66,167	67,657
<b>Functional Total</b>	<b>576,558</b>	<b>584,184</b>	<b>589,708</b>	<b>587,400</b>	<b>596,632</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	18,778	19,711	20,327	21,531	22,164
<i>OASAS</i>	8,797	9,962	10,269	10,590	10,903
<i>OASAS - Other</i>	9,981	9,749	10,058	10,941	11,261
Developmental Disabilities Planning Council	1,872	2,386	2,278	2,229	2,201
Justice Center	0	18,446	19,117	19,593	20,077
Mental Health, Office of	285,099	291,389	308,092	324,625	329,788
<i>OMH</i>	54,800	60,675	64,873	69,536	70,901
<i>OMH - Other</i>	230,299	230,714	243,219	255,089	258,887
Mental Hygiene, Department of	293	0	0	0	0
People with Developmental Disabilities, Office for	360,924	268,569	264,707	268,572	269,139
<i>OPWDD</i>	124,715	14,542	14,542	14,542	14,542
<i>OPWDD - Other</i>	236,209	254,027	250,165	254,030	254,597
Quality of Care and Advocacy for Persons With Disabilities, Commission on	6,030	7,068	6,975	7,208	7,191
<b>Functional Total</b>	<b>672,996</b>	<b>607,569</b>	<b>621,496</b>	<b>643,758</b>	<b>650,560</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Correction, Commission of	198	258	267	277	283
Correctional Services, Department of	490,198	499,502	511,420	523,466	537,044
Criminal Justice Services, Division of	40,658	41,512	40,890	41,359	41,895
Disaster Assistance	26,885	27,634	0	0	0
Homeland Security and Emergency Services, Division of	63,552	29,448	25,521	26,112	26,112
Indigent Legal Services, Office of	82	652	652	652	652
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	1,256	1,291	1,396	1,471	1,504
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	2	25	25	25	25
Military and Naval Affairs, Division of	18,819	32,586	32,974	33,038	32,964
State Police, Division of	57,312	96,146	86,879	91,983	93,525
Statewide Financial System	42,699	41,314	41,849	43,104	43,966
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	1,191	1,444	1,463	1,483	1,509
<b>Functional Total</b>	<b>742,852</b>	<b>771,842</b>	<b>743,366</b>	<b>763,000</b>	<b>779,509</b>
<b>HIGHER EDUCATION</b>					
City University of New York	31,874	28,641	29,357	30,091	30,843
Higher Education - Miscellaneous	520	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	41,200	42,746	43,691	44,652	47,560
State University Construction Fund	756	0	0	0	0
State University of New York	2,333,048	2,368,420	2,413,740	2,467,240	2,517,223
<b>Functional Total</b>	<b>2,407,398</b>	<b>2,440,810</b>	<b>2,487,791</b>	<b>2,542,986</b>	<b>2,596,629</b>
<b>EDUCATION</b>					
Arts, Council on the	1,477	1,922	1,922	1,922	1,922
Education, Department of	102,270	221,357	222,585	199,344	111,818
<i>Special Education Categorical Programs</i>	2,753	0	0	0	0
<i>All Other</i>	99,517	221,357	222,585	199,344	111,818
<b>Functional Total</b>	<b>103,747</b>	<b>223,279</b>	<b>224,507</b>	<b>201,266</b>	<b>113,740</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	5,484	5,804	6,170	6,296	6,417
Civil Service, Department of	1,234	1,493	1,889	1,894	1,894
Deferred Compensation Board	65	219	225	225	242
Elections, State Board of	6,010	22,864	3,926	947	967
Employee Relations, Office of	67	82	82	83	83
Gaming Commission, New York State	110	127,404	130,853	130,853	130,853
General Services, Office of	100,289	115,528	87,680	89,172	91,214
Inspector General, Office of the	541	688	669	711	723
Labor Management Committees	11,628	30,500	34,125	39,131	36,693
Lottery, Division of the	102,378	0	0	0	0
Public Employment Relations Board	432	236	449	449	467
Public Integrity, Commission on	1,221	871	1,043	1,340	1,367
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	14,590	20,071	20,789	20,815	21,208
Tax Appeals, Division of	165	212	221	221	221
Taxation and Finance, Department of	84,572	83,853	84,487	85,128	87,691
Technology, Office for	12,621	7,710	9,970	9,239	9,423
Veterans' Affairs, Division of	525	1,009	1,017	1,042	1,042
<b>Functional Total</b>	<b>341,932</b>	<b>418,544</b>	<b>383,595</b>	<b>387,546</b>	<b>390,505</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	33,828	31,539	32,385	33,247	33,934
Executive Chamber	2,754	2,478	2,546	2,616	2,688
Judiciary	359,056	413,555	444,960	483,252	483,252
Law, Department of	58,662	62,721	61,535	63,083	64,322
Legislature	49,839	52,464	56,172	57,552	59,838
Lieutenant Governor, Office of the	88	134	150	137	137
<b>Functional Total</b>	<b>504,227</b>	<b>562,891</b>	<b>597,748</b>	<b>639,887</b>	<b>644,171</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	0	0	0	0	0
Long-Term Debt Service	44,199	39,973	40,082	40,082	40,082
Miscellaneous	2,315	1,819	1,862	1,906	101,943
<b>Functional Total</b>	<b>46,514</b>	<b>41,792</b>	<b>41,944</b>	<b>41,988</b>	<b>142,025</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u>6,170,763</u>	<u>6,538,681</u>	<u>6,577,233</u>	<u>6,719,887</u>	<u>6,810,743</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	2,909	3,313	3,301	3,512	3,659
Alcoholic Beverage Control, Division of	2,921	4,546	4,685	4,932	5,121
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	2,189	1,638	1,670	1,704	1,738
Financial Services, Department of	72,196	86,170	88,824	94,279	97,160
Public Service Department	17,282	21,360	24,371	26,488	27,844
Racing and Wagering Board, State	2,786	0	0	0	0
<b>Functional Total</b>	<b>100,283</b>	<b>117,055</b>	<b>122,879</b>	<b>130,943</b>	<b>135,550</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	49,013	49,904	49,578	51,613	53,439
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	245	4,341	4,514	4,568	4,564
<b>Functional Total</b>	<b>49,258</b>	<b>54,245</b>	<b>54,092</b>	<b>56,181</b>	<b>58,003</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	24,295	29,133	29,994	32,832	34,413
Transportation, Department of	6,156	8,698	8,924	9,542	9,559
<b>Functional Total</b>	<b>30,451</b>	<b>37,831</b>	<b>38,918</b>	<b>42,374</b>	<b>43,972</b>
<b>HEALTH</b>					
Aging, Office for the	0	235	235	235	235
Health, Department of	37,324	57,376	59,132	62,450	63,882
<i>Public Health</i>	37,324	57,376	59,132	62,450	63,882
Medicaid Inspector General, Office of the	8,911	11,063	11,277	11,575	11,585
Stem Cell and Innovation	226	0	0	0	0
<b>Functional Total</b>	<b>46,461</b>	<b>68,674</b>	<b>70,644</b>	<b>74,260</b>	<b>75,702</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	12,713	11,551	11,893	12,312	12,312
<i>OCFS</i>	12,713	11,551	11,893	12,312	12,312
Housing and Community Renewal, Division of	17,630	23,969	25,371	26,229	26,229
Human Rights, Division of	0	2,075	2,203	2,330	2,330
Labor, Department of	120,502	141,494	138,875	148,364	152,056
Temporary and Disability Assistance, Office of	40,498	44,463	44,973	44,973	44,973
<i>All Other</i>	40,498	44,463	44,973	44,973	44,973
Welfare Inspector General, Office of	22	0	0	0	0
Workers' Compensation Board	40,689	50,323	51,569	55,269	57,403
<b>Functional Total</b>	<b>232,054</b>	<b>273,875</b>	<b>274,884</b>	<b>289,477</b>	<b>295,303</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	29,879	33,564	35,523	38,522	40,719
<i>OASAS</i>	13,443	16,433	17,274	18,601	19,646
<i>OASAS - Other</i>	16,436	17,131	18,249	19,921	21,073
Developmental Disabilities Planning Council	391	604	692	718	746
Justice Center	0	1,225	1,457	1,563	1,563
Mental Health, Office of	565,362	626,026	653,833	712,329	729,561
<i>OMH</i>	206,107	162,531	176,292	191,538	193,229
<i>OMH - Other</i>	359,255	463,495	477,541	520,791	536,332
People with Developmental Disabilities, Office for	566,099	604,592	611,476	646,068	653,989
<i>OPWDD</i>	199,047	74	74	74	74
<i>OPWDD - Other</i>	367,052	604,518	611,402	645,994	653,915
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,419	344	34	41	50
<b>Functional Total</b>	<b>1,163,150</b>	<b>1,266,355</b>	<b>1,303,015</b>	<b>1,399,241</b>	<b>1,426,628</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	661	1,476	1,481	1,484	1,468
Criminal Justice Services, Division of	436	3,164	1,702	3,320	3,448
Homeland Security and Emergency Services, Division of	2,632	3,880	3,914	3,982	4,020
Indigent Legal Services, Office of	247	378	378	378	378
Military and Naval Affairs, Division of	2	7,275	6,910	7,209	7,422
State Police, Division of	15,283	9,682	3,913	4,170	4,383
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	1,710	2,297	2,382	2,563	2,562
<b>Functional Total</b>	<b>20,971</b>	<b>28,152</b>	<b>20,680</b>	<b>23,106</b>	<b>23,681</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>HIGHER EDUCATION</b>					
City University of New York	412	6,337	6,527	6,723	6,925
Higher Education - Miscellaneous	92	99	99	99	99
Higher Education Services Corporation, New York State	13,573	16,112	16,763	17,749	18,642
State University Construction Fund	(80)	0	0	0	0
State University of New York	530,995	605,217	625,001	645,787	667,597
<b>Functional Total</b>	<u>544,992</u>	<u>627,765</u>	<u>648,390</u>	<u>670,358</u>	<u>693,263</u>
<b>EDUCATION</b>					
Education, Department of	59,963	77,302	81,405	84,547	84,878
<i>Special Education Categorical Programs</i>	4,515	0	0	0	0
<i>All Other</i>	55,448	77,302	81,405	84,547	84,878
<b>Functional Total</b>	<u>59,963</u>	<u>77,302</u>	<u>81,405</u>	<u>84,547</u>	<u>84,878</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,273	2,297	2,402	2,599	2,625
Civil Service, Department of	155	168	172	176	176
Deferred Compensation Board	178	208	225	225	225
Elections, State Board of	0	0	0	0	0
Gaming Commission, New York State	0	19,158	19,482	19,482	19,482
General Services, Office of	1,534	2,136	2,208	2,354	2,444
Lottery, Division of the	10,327	0	0	0	0
State, Department of	10,351	13,561	13,881	14,752	15,201
Taxation and Finance, Department of	20,255	19,213	23,239	24,192	24,881
Veterans' Affairs, Division of	153	350	350	350	350
<b>Functional Total</b>	<u>45,226</u>	<u>57,091</u>	<u>61,959</u>	<u>64,130</u>	<u>65,384</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	1,487	1,582	1,653	1,778	1,845
Judiciary	568,830	660,700	683,454	717,408	717,408
Law, Department of	17,183	26,529	26,846	28,481	29,570
<b>Functional Total</b>	<u>587,500</u>	<u>688,811</u>	<u>711,953</u>	<u>747,667</u>	<u>748,823</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,777,684	4,104,088	4,454,794	4,697,339	4,965,967
Miscellaneous	17,447	5,824	5,907	5,986	6,033
<b>Functional Total</b>	<u>3,795,131</u>	<u>4,109,912</u>	<u>4,460,701</u>	<u>4,703,325</u>	<u>4,972,000</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>6,675,440</u></u>	<u><u>7,407,068</u></u>	<u><u>7,849,520</u></u>	<u><u>8,285,609</u></u>	<u><u>8,623,187</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	16,758	13,446	4,700	4,750	5,500
Economic Development Capital	0	(17,500)	5,000	5,000	15,000
Economic Development, Department of	14	32,645	19,859	15,000	0
Empire State Development Corporation	19,095	45,008	145,206	365,305	608,709
Energy Research and Development Authority	11,543	12,000	25,500	25,500	13,000
Regional Economic Development Program	0	2,500	1,500	1,500	1,500
Strategic Investment Program	0	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<u>47,410</u>	<u>93,099</u>	<u>206,765</u>	<u>422,055</u>	<u>648,709</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	224,873	407,531	396,459	377,302	357,502
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	3,405	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	69,802	84,377	99,690	114,478	121,228
<b>Functional Total</b>	<u>298,080</u>	<u>491,908</u>	<u>496,149</u>	<u>491,780</u>	<u>478,730</u>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	271,081	62,519	0	310,000	310,000
Motor Vehicles, Department of	182,756	200,740	201,238	210,792	216,519
Thruway Authority, New York State	0	1,800	1,800	1,800	1,800
Transportation, Department of	3,095,718	3,188,011	2,990,459	2,707,565	2,722,849
<b>Functional Total</b>	<u>3,549,555</u>	<u>3,453,070</u>	<u>3,193,497</u>	<u>3,230,157</u>	<u>3,251,168</u>
<b>HEALTH</b>					
Health, Department of	9,926	13,500	13,500	13,500	13,500
<i>Public Health</i>	9,926	13,500	13,500	13,500	13,500
<b>Functional Total</b>	<u>9,926</u>	<u>13,500</u>	<u>13,500</u>	<u>13,500</u>	<u>13,500</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	8,841	20,900	20,900	20,900	20,900
<i>OCFS</i>	8,841	20,900	20,900	20,900	20,900
Housing and Community Renewal, Division of	943	3,002	3,002	3,004	3,000
<b>Functional Total</b>	<u>9,784</u>	<u>23,902</u>	<u>23,902</u>	<u>23,904</u>	<u>23,900</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	(113)	13,196	13,083	13,083	13,083
<i>OASAS</i>	(113)	13,196	13,083	13,083	13,083
Mental Health, Office of	68,802	72,598	72,680	72,783	72,623
<i>OMH</i>	68,802	72,598	72,680	72,783	72,623
People with Developmental Disabilities, Office for	34,885	39,220	39,220	39,220	39,220
<i>OPWDD</i>	34,884	39,220	39,220	39,220	39,220
<i>OPWDD - Other</i>	1	0	0	0	0
<b>Functional Total</b>	<u>103,574</u>	<u>125,014</u>	<u>124,983</u>	<u>125,086</u>	<u>124,926</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	191,540	234,677	240,710	246,860	246,764
Disaster Assistance	1,776	23,369	0	0	0
Homeland Security and Emergency Services, Division of	5,139	16,000	10,500	1,000	0
Military and Naval Affairs, Division of	18,627	26,996	43,507	41,607	35,607
Public Security and Emergency Response	0	0	0	0	0
State Police, Division of	26,960	32,374	13,865	13,865	13,065
<b>Functional Total</b>	<u>244,042</u>	<u>333,416</u>	<u>308,582</u>	<u>303,332</u>	<u>295,436</u>
<b>HIGHER EDUCATION</b>					
City University of New York	40,688	52,067	45,211	39,893	39,399
Higher Education Facilities Capital Matching Grants Program	0	0	0	0	0
State University of New York	1,225,616	1,115,129	1,088,722	1,130,812	984,855
<b>Functional Total</b>	<u>1,266,304</u>	<u>1,167,196</u>	<u>1,133,933</u>	<u>1,170,705</u>	<u>1,024,254</u>
<b>EDUCATION</b>					
Education, Department of	5,633	27,416	31,099	27,998	23,400
<i>All Other</i>	5,633	27,416	31,099	27,998	23,400
<b>Functional Total</b>	<u>5,633</u>	<u>27,416</u>	<u>31,099</u>	<u>27,998</u>	<u>23,400</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>GENERAL GOVERNMENT</b>					
General Services, Office of	66,195	66,809	77,383	69,883	69,883
State, Department of	0	(15)	2,185	1,440	9,985
Technology, Office for	0	40,523	41,547	4,409	1,261
<b>Functional Total</b>	<u>66,195</u>	<u>107,317</u>	<u>121,115</u>	<u>75,732</u>	<u>81,129</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	128	0	0	0	0
<b>Functional Total</b>	<u>128</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	71,604	61,000	780,325	747,125	632,629
<b>Functional Total</b>	<u>71,604</u>	<u>61,000</u>	<u>780,325</u>	<u>747,125</u>	<u>632,629</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u>5,672,235</u>	<u>5,896,838</u>	<u>6,433,850</u>	<u>6,631,374</u>	<u>6,597,781</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	87,162	94,211	88,045	88,300	90,752
Alcoholic Beverage Control, Division of	15,395	18,175	18,742	19,188	19,995
Economic Development Capital	9,885	(17,500)	5,000	5,000	15,000
Economic Development, Department of	114,291	116,717	122,504	117,130	90,936
Empire State Development Corporation	418,352	576,347	696,300	830,687	859,337
Energy Research and Development Authority	25,446	28,158	41,977	42,308	30,215
Financial Services, Department of	487,036	505,837	510,733	518,788	521,669
Olympic Regional Development Authority	2,931	4,259	3,161	3,161	3,161
Public Service Department	63,299	69,997	74,768	78,809	81,231
Racing and Wagering Board, State	17,760	0	0	0	0
Regional Economic Development Program	2,921	2,500	1,500	1,500	1,500
Strategic Investment Program	6,583	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>1,251,061</b>	<b>1,403,701</b>	<b>1,567,730</b>	<b>1,709,871</b>	<b>1,718,796</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,090	4,029	4,100	4,184	4,185
Environmental Conservation, Department of	664,926	727,091	714,214	699,633	681,933
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	3,405	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	253,674	267,512	285,120	301,886	310,305
<b>Functional Total</b>	<b>926,095</b>	<b>998,632</b>	<b>1,003,434</b>	<b>1,005,703</b>	<b>996,423</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	271,081	246,119	183,229	328,571	310,000
Motor Vehicles, Department of	272,598	298,338	302,403	317,588	326,180
Thruway Authority, New York State	2,567	25,800	25,800	25,800	25,800
Transportation, Department of	6,416,825	6,954,719	7,030,442	7,106,014	7,234,097
<b>Functional Total</b>	<b>6,963,071</b>	<b>7,524,976</b>	<b>7,541,874</b>	<b>7,777,973</b>	<b>7,896,077</b>
<b>HEALTH</b>					
Aging, Office for the	114,480	115,616	121,916	128,657	133,322
Health, Department of	18,695,766	19,394,029	19,330,843	20,132,801	20,770,562
<i>Medical Assistance</i>	15,370,559	15,711,940	16,327,685	17,214,191	17,883,728
<i>Medicaid Administration</i>	528,985	709,397	649,897	590,397	590,397
<i>Public Health</i>	2,796,222	2,972,692	2,353,261	2,328,213	2,296,437
Medicaid Inspector General, Office of the	21,972	22,776	23,141	23,156	23,170
Stem Cell and Innovation	41,589	37,900	39,500	80,546	64,000
<b>Functional Total</b>	<b>18,873,807</b>	<b>19,570,321</b>	<b>19,515,400</b>	<b>20,365,160</b>	<b>20,991,054</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,803,979	1,906,246	2,046,450	2,111,675	2,201,934
<i>OCFS</i>	1,714,947	1,819,259	1,956,071	2,017,624	2,103,913
<i>OCFS - Other</i>	89,032	86,987	90,379	94,051	98,021
Housing and Community Renewal, Division of	199,235	163,785	167,071	213,763	214,573
Human Rights, Division of	12,326	10,021	10,277	10,598	10,597
Labor, Department of	63,909	77,187	64,134	66,357	66,113
National and Community Service	670	683	687	687	687
Prevention of Domestic Violence, Office for	1,568	2,298	2,250	2,295	2,299
Temporary and Disability Assistance, Office of	1,766,062	1,607,581	1,515,364	1,543,989	1,557,868
<i>Welfare Assistance</i>	1,416,672	1,287,173	1,185,272	1,211,985	1,220,523
<i>All Other</i>	349,390	320,408	330,092	332,004	337,345
Welfare Inspector General, Office of	386	1,179	1,207	1,217	1,217
Workers' Compensation Board	190,491	203,291	204,577	211,564	215,898
<b>Functional Total</b>	<b>4,038,626</b>	<b>3,972,271</b>	<b>4,012,017</b>	<b>4,162,145</b>	<b>4,271,186</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	442,049	463,789	492,680	524,067	547,833
<i>OASAS</i>	351,033	384,899	411,122	437,264	459,212
<i>OASAS - Other</i>	91,016	78,890	81,558	86,803	88,621
Justice Center	0	38,779	40,164	41,001	41,583
Mental Health, Office of	3,110,193	3,267,234	3,507,364	3,835,234	3,976,247
<i>OMH</i>	1,329,849	1,369,310	1,545,860	1,705,038	1,752,727
<i>OMH - Other</i>	1,780,344	1,897,924	1,961,504	2,130,196	2,223,520
Mental Hygiene, Department of	293	0	0	0	0
People with Developmental Disabilities, Office for	4,286,937	3,431,112	3,844,265	4,222,502	4,252,045
<i>OPWDD</i>	1,399,985	505,595	458,462	419,286	424,099
<i>OPWDD - Other</i>	2,886,952	2,925,517	3,385,803	3,803,216	3,827,946

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Quality of Care and Advocacy for Persons With Disabilities, Commission on	7,439	2,343	0	0	0
<b>Functional Total</b>	<b>7,846,911</b>	<b>7,203,257</b>	<b>7,884,473</b>	<b>8,622,804</b>	<b>8,817,708</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,055	2,672	2,748	2,825	2,833
Correctional Services, Department of	2,937,484	2,798,993	2,862,404	3,005,254	2,959,980
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	12,500	12,500
Criminal Justice Services, Division of	210,807	230,343	218,640	219,773	220,513
Disaster Assistance	73,535	(59,356)	(400)	0	0
Homeland Security and Emergency Services, Division of	173,850	145,987	133,132	122,727	115,127
Indigent Legal Services, Office of	57,492	67,200	82,800	82,800	82,800
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	5,209	5,384	5,642	5,837	5,903
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	2	38	38	38	38
Military and Naval Affairs, Division of	33,138	37,898	42,489	40,960	41,221
Public Security and Emergency Response	0	0	0	0	0
State Police, Division of	642,350	695,138	667,940	681,559	687,012
Statewide Financial System	51,349	52,601	52,826	54,164	55,109
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	31,876	34,907	35,106	35,411	35,429
<b>Functional Total</b>	<b>4,219,147</b>	<b>4,023,335</b>	<b>4,114,895</b>	<b>4,263,878</b>	<b>4,218,495</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,361,844	1,491,679	1,543,319	1,608,925	1,688,309
Higher Education - Miscellaneous	719	1,300	1,300	1,300	1,300
Higher Education Facilities Capital Matching Grants Program	22,781	10,000	0	0	0
Higher Education Services Corporation, New York State	1,022,812	1,084,352	1,100,973	1,117,206	1,117,935
State University Construction Fund	1,346	0	0	0	0
State University of New York	7,671,558	7,777,947	7,892,889	8,092,662	8,103,640
<b>Functional Total</b>	<b>10,081,060</b>	<b>10,365,278</b>	<b>10,538,481</b>	<b>10,820,093</b>	<b>10,911,184</b>
<b>EDUCATION</b>					
Arts, Council on the	22,631	60,053	40,053	40,053	40,053
Education, Department of	25,540,509	26,125,288	27,593,241	28,622,655	29,978,868
<i>School Aid</i>	20,163,084	20,471,494	21,691,932	22,513,737	23,640,850
<i>STAR Property Tax Relief</i>	3,286,160	3,419,375	3,601,726	3,703,568	3,805,368
<i>Special Education Categorical Programs</i>	1,351,788	1,418,116	1,522,216	1,626,216	1,751,116
<i>All Other</i>	739,477	816,303	777,367	779,134	781,534
<b>Functional Total</b>	<b>25,563,140</b>	<b>26,185,341</b>	<b>27,633,294</b>	<b>28,662,708</b>	<b>30,018,921</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	29,954	33,285	34,806	36,237	35,784
Civil Service, Department of	13,479	13,783	14,919	15,298	15,303
Deferred Compensation Board	620	826	858	867	888
Elections, State Board of	5,576	7,314	35,296	5,442	5,497
Employee Relations, Office of	2,512	2,605	2,610	2,692	2,693
Gaming Commission, New York State	1,306	181,436	185,750	186,383	186,383
General Services, Office of	213,152	236,857	220,458	214,944	217,545
Inspector General, Office of the	5,810	6,710	6,963	7,189	7,251
Labor Management Committees	17,666	35,813	39,987	46,000	43,277
Lottery, Division of the	133,125	0	0	0	0
Public Employment Relations Board	3,392	3,731	3,495	3,587	3,629
Public Integrity, Commission on	3,887	4,505	5,078	5,473	5,525
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	57,914	68,313	65,215	65,838	75,154
Tax Appeals, Division of	2,700	3,174	3,255	3,309	3,361
Taxation and Finance, Department of	392,394	379,073	389,935	397,432	406,909
Technology, Office for	59,514	183,966	197,570	164,383	161,031
Veterans' Affairs, Division of	12,473	13,756	13,339	13,567	13,567
<b>Functional Total</b>	<b>955,474</b>	<b>1,175,147</b>	<b>1,219,534</b>	<b>1,168,641</b>	<b>1,183,797</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	170,979	173,000	176,544	182,263	183,880
Executive Chamber	13,014	13,578	13,985	14,404	14,836
Judiciary	2,495,933	2,645,255	2,805,106	2,934,602	2,950,161
Law, Department of	169,427	179,983	182,448	187,990	190,674
Legislature	202,994	218,795	223,945	226,583	231,413
Lieutenant Governor, Office of the	433	614	665	680	680

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>Functional Total</b>	<u>3,052,780</u>	<u>3,231,225</u>	<u>3,402,693</u>	<u>3,546,522</u>	<u>3,571,644</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,159	725,700	734,523	749,901	761,789
Efficiency Incentive Grants Program	5,225	5,539	2,678	0	0
Miscellaneous Financial Assistance	2,000	4,873	4,623	4,623	4,623
Municipalities with VLT Facilities	25,867	27,246	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>754,468</u>	<u>763,576</u>	<u>769,288</u>	<u>781,988</u>	<u>793,876</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,777,684	4,104,088	4,454,794	4,697,339	4,965,967
Long-Term Debt Service	6,182,129	5,782,669	6,162,978	6,521,612	6,823,198
Miscellaneous	36,660	77,248	792,779	758,489	793,178
<b>Functional Total</b>	<u>9,996,473</u>	<u>9,964,005</u>	<u>11,410,551</u>	<u>11,977,440</u>	<u>12,582,343</u>
<b>TOTAL STATE FUNDS SPENDING</b>	<u><u>94,522,113</u></u>	<u><u>96,381,065</u></u>	<u><u>100,613,664</u></u>	<u><u>104,864,926</u></u>	<u><u>107,971,504</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	19,476	26,137	25,719	24,946	25,486
Economic Development Capital	9,885	0	0	0	0
Economic Development, Department of	94,252	61,846	80,022	79,272	69,272
Empire State Development Corporation	398,257	530,339	550,094	464,382	249,628
Energy Research and Development Authority	6,108	9,234	9,418	9,607	9,799
Financial Services, Department of	221,513	216,952	216,952	216,952	216,952
Public Service Department	0	200	200	200	200
Regional Economic Development Program	2,921	0	0	0	0
Strategic Investment Program	6,583	0	0	0	0
<b>Functional Total</b>	<b>758,995</b>	<b>844,708</b>	<b>882,405</b>	<b>795,359</b>	<b>571,337</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	173,162	59,970	59,542	59,542	57,124
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	6,583	7,760	7,600	7,600	7,600
<b>Functional Total</b>	<b>179,745</b>	<b>67,730</b>	<b>67,142</b>	<b>67,142</b>	<b>64,724</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	0	183,600	183,229	18,571	0
Thruway Authority, New York State	2,567	0	0	0	0
Transportation, Department of	4,308,283	4,817,570	4,921,689	4,990,289	5,069,644
<b>Functional Total</b>	<b>4,310,850</b>	<b>5,001,170</b>	<b>5,104,918</b>	<b>5,008,860</b>	<b>5,069,644</b>
<b>HEALTH</b>					
Aging, Office for the	112,942	114,007	120,307	127,011	131,641
Health, Department of	18,108,875	18,733,807	18,656,662	19,452,712	20,076,590
<i>Medical Assistance</i>	15,349,531	15,711,940	16,327,685	17,214,191	17,883,728
<i>Medicaid Administration</i>	528,985	518,069	452,558	376,431	364,411
<i>Public Health</i>	2,230,359	2,503,798	1,876,419	1,862,090	1,828,451
<b>Functional Total</b>	<b>18,221,817</b>	<b>18,847,814</b>	<b>18,776,969</b>	<b>19,579,723</b>	<b>20,208,231</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,491,836	1,603,915	1,759,547	1,828,310	1,914,234
<i>OCFS</i>	1,402,804	1,516,928	1,669,168	1,734,259	1,816,213
<i>OCFS - Other</i>	89,032	86,987	90,379	94,051	98,021
Housing and Community Renewal, Division of	131,470	94,981	96,633	141,051	141,051
Labor, Department of	5,543	11,504	150	150	150
National and Community Service	396	350	350	350	350
Prevention of Domestic Violence, Office for	424	685	685	685	685
Temporary and Disability Assistance, Office of	1,579,503	1,421,869	1,319,968	1,351,081	1,361,019
<i>Welfare Assistance</i>	1,416,672	1,287,173	1,185,272	1,211,985	1,220,523
<i>All Other</i>	162,831	134,696	134,696	139,096	140,496
<b>Functional Total</b>	<b>3,209,172</b>	<b>3,133,304</b>	<b>3,177,333</b>	<b>3,321,627</b>	<b>3,417,489</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	340,310	346,003	370,751	393,567	414,067
<i>OASAS</i>	307,630	324,678	349,426	372,242	392,742
<i>OASAS - Other</i>	32,680	21,325	21,325	21,325	21,325
Justice Center	0	466	620	620	620
Mental Health, Office of	1,120,494	1,148,496	1,308,895	1,517,549	1,647,610
<i>OMH</i>	729,457	793,017	931,316	1,062,370	1,115,866
<i>OMH - Other</i>	391,037	355,479	377,579	455,179	531,744
People with Developmental Disabilities, Office for	2,199,624	1,424,071	1,865,602	2,160,802	2,223,559
<i>OPWDD</i>	698,116	466,194	419,061	379,885	384,698
<i>OPWDD - Other</i>	1,501,508	957,877	1,446,541	1,780,917	1,838,861
Quality of Care and Advocacy for Persons With Disabilities, Commission on	537	154	0	0	0
<b>Functional Total</b>	<b>3,660,965</b>	<b>2,919,190</b>	<b>3,545,868</b>	<b>4,072,538</b>	<b>4,285,856</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	4,651	6,000	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	12,500	12,500
Criminal Justice Services, Division of	150,047	169,831	159,714	159,714	159,714
Disaster Assistance	19,990	2,276	(400)	0	0
Homeland Security and Emergency Services, Division of	98,242	89,590	87,089	84,973	78,273
Indigent Legal Services, Office of	56,557	65,400	81,000	81,000	81,000

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**LOCAL ASSISTANCE GRANTS**  
(thousands of dollars)

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Military and Naval Affairs, Division of	755	867	850	850	850
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	26,493	28,182	28,182	28,182	28,182
<b>Functional Total</b>	<b>356,735</b>	<b>373,646</b>	<b>373,935</b>	<b>373,219</b>	<b>366,519</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,219,949	1,344,879	1,401,423	1,470,355	1,548,217
Higher Education Facilities Capital Matching Grants Program	22,781	10,000	0	0	0
Higher Education Services Corporation, New York State	946,906	1,003,419	1,017,757	1,031,608	1,025,891
State University of New York	464,272	476,329	491,731	491,731	491,731
<b>Functional Total</b>	<b>2,653,908</b>	<b>2,834,627</b>	<b>2,910,911</b>	<b>2,993,694</b>	<b>3,065,839</b>
<b>EDUCATION</b>					
Arts, Council on the	19,095	55,933	35,933	35,933	35,933
Education, Department of	25,389,855	25,937,158	27,398,254	28,428,373	29,788,369
<i>School Aid</i>	20,163,084	20,471,494	21,691,932	22,513,737	23,640,850
<i>STAR Property Tax Relief</i>	3,286,160	3,419,375	3,601,726	3,703,568	3,805,368
<i>Special Education Categorical Programs</i>	1,351,788	1,418,116	1,522,216	1,626,216	1,751,116
<i>All Other</i>	588,823	628,173	582,380	584,852	591,035
<b>Functional Total</b>	<b>25,408,950</b>	<b>25,993,091</b>	<b>27,434,187</b>	<b>28,464,306</b>	<b>29,824,302</b>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	272	2,200	30,000	0	0
State, Department of	6,435	10,927	3,979	3,979	3,979
Taxation and Finance, Department of	115	926	926	926	926
Technology, Office for	0	0	0	0	0
Veterans' Affairs, Division of	7,175	7,767	7,577	7,637	7,637
<b>Functional Total</b>	<b>13,997</b>	<b>21,820</b>	<b>42,482</b>	<b>12,542</b>	<b>12,542</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	114,822	106,700	121,700	121,700	121,700
<b>Functional Total</b>	<b>146,847</b>	<b>138,724</b>	<b>153,724</b>	<b>153,724</b>	<b>153,724</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,159	725,700	734,523	749,901	761,789
Efficiency Incentive Grants Program	5,225	5,539	2,678	0	0
Miscellaneous Financial Assistance	2,000	4,873	4,623	4,623	4,623
Municipalities with VLT Facilities	25,867	27,246	27,246	27,246	27,246
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<b>754,468</b>	<b>763,576</b>	<b>769,288</b>	<b>781,988</b>	<b>793,876</b>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(35,445)	6,171	2,171	923	(50,013)
<b>Functional Total</b>	<b>(35,445)</b>	<b>6,171</b>	<b>2,171</b>	<b>923</b>	<b>(50,013)</b>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<b>59,641,004</b>	<b>60,945,571</b>	<b>63,241,333</b>	<b>65,625,645</b>	<b>67,784,070</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	49,174	53,079	56,015	56,875	57,963
Alcoholic Beverage Control, Division of	12,474	13,629	14,057	14,256	14,874
Economic Development, Department of	20,025	22,198	22,595	22,830	21,636
Empire State Development Corporation	1,000	1,000	1,000	1,000	1,000
Energy Research and Development Authority	5,606	5,286	5,389	5,497	5,678
Financial Services, Department of	193,410	202,715	204,957	207,557	207,557
Olympic Regional Development Authority	2,931	4,259	3,161	3,161	3,161
Public Service Department	46,659	49,066	50,882	52,837	53,925
Racing and Wagering Board, State	14,974	0	0	0	0
<b>Functional Total</b>	<b>346,253</b>	<b>351,232</b>	<b>358,056</b>	<b>364,013</b>	<b>365,794</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,090	4,029	4,100	4,184	4,185
Environmental Conservation, Department of	230,616	232,329	231,569	234,434	237,123
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	179,867	174,345	176,737	178,670	180,343
<b>Functional Total</b>	<b>414,573</b>	<b>410,703</b>	<b>412,406</b>	<b>417,288</b>	<b>421,651</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	65,717	69,705	72,379	75,288	76,635
Thruway Authority, New York State	0	24,000	24,000	24,000	24,000
Transportation, Department of	20,224	25,447	25,402	26,019	26,047
<b>Functional Total</b>	<b>85,941</b>	<b>119,152</b>	<b>121,781</b>	<b>125,307</b>	<b>126,682</b>
<b>HEALTH</b>					
Aging, Office for the	1,538	1,609	1,609	1,646	1,681
Health, Department of	547,498	615,520	628,291	631,955	644,941
<i>Medical Assistance</i>	21,028	0	0	0	0
<i>Medicaid Administration</i>	0	191,328	197,339	213,966	225,986
<i>Public Health</i>	526,470	424,192	430,952	417,989	418,955
Medicaid Inspector General, Office of the	21,972	22,776	23,141	23,156	23,170
Stem Cell and Innovation	41,363	37,900	39,500	80,546	64,000
<b>Functional Total</b>	<b>612,371</b>	<b>677,805</b>	<b>692,541</b>	<b>737,303</b>	<b>733,792</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	301,851	278,811	263,346	259,761	264,096
<i>OCFS</i>	301,851	278,811	263,346	259,761	264,096
Housing and Community Renewal, Division of	53,471	49,446	49,940	51,303	52,113
Human Rights, Division of	12,326	10,021	10,277	10,598	10,597
Labor, Department of	44,078	48,623	45,717	46,810	46,657
National and Community Service	274	333	337	337	337
Prevention of Domestic Violence, Office for	1,144	1,613	1,565	1,610	1,614
Temporary and Disability Assistance, Office of	186,559	185,712	195,396	192,908	196,849
<i>All Other</i>	186,559	185,712	195,396	192,908	196,849
Welfare Inspector General, Office of	364	1,179	1,207	1,217	1,217
Workers' Compensation Board	149,802	152,968	153,008	156,295	158,495
<b>Functional Total</b>	<b>749,869</b>	<b>728,706</b>	<b>720,793</b>	<b>720,839</b>	<b>731,975</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	71,973	73,393	76,167	81,749	82,952
<i>OASAS</i>	30,073	32,959	34,183	36,192	36,729
<i>OASAS - Other</i>	41,900	40,434	41,984	45,557	46,223
Justice Center	0	37,170	38,169	38,900	39,482
Mental Health, Office of	1,355,887	1,420,457	1,472,282	1,532,913	1,526,802
<i>OMH</i>	325,835	341,507	365,898	378,687	371,358
<i>OMH - Other</i>	1,030,052	1,078,950	1,106,384	1,154,226	1,155,444
Mental Hygiene, Department of	293	0	0	0	0
People with Developmental Disabilities, Office for	1,486,329	1,363,303	1,328,041	1,376,486	1,335,351
<i>OPWDD</i>	467,938	181	181	181	181
<i>OPWDD - Other</i>	1,018,391	1,363,122	1,327,860	1,376,305	1,335,170
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,905	1,872	0	0	0
<b>Functional Total</b>	<b>2,920,387</b>	<b>2,896,195</b>	<b>2,914,659</b>	<b>3,030,048</b>	<b>2,984,587</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,055	2,672	2,748	2,825	2,833

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Correctional Services, Department of	2,741,293	2,558,271	2,615,646	2,752,343	2,707,163
Criminal Justice Services, Division of	60,696	60,434	58,846	59,974	60,711
Disaster Assistance	51,769	(85,001)	0	0	0
Homeland Security and Emergency Services, Division of	70,035	39,433	34,545	35,688	35,750
Indigent Legal Services, Office of	688	1,422	1,422	1,422	1,422
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	5,209	5,384	5,642	5,837	5,903
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	2	38	38	38	38
Military and Naval Affairs, Division of	22,745	27,147	27,244	27,615	27,876
State Police, Division of	600,509	653,082	650,162	663,524	669,564
Statewide Financial System	51,349	52,601	52,826	54,164	55,109
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	3,673	4,782	4,896	5,038	5,057
<b>Functional Total</b>	<b>3,610,023</b>	<b>3,320,295</b>	<b>3,454,045</b>	<b>3,608,498</b>	<b>3,571,456</b>
<b>HIGHER EDUCATION</b>					
City University of New York	100,795	88,396	90,158	91,954	93,768
Higher Education - Miscellaneous	627	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	62,393	65,240	66,872	68,268	73,821
State University Construction Fund	1,426	0	0	0	0
State University of New York	5,450,823	5,581,323	5,687,486	5,824,383	5,959,508
<b>Functional Total</b>	<b>5,616,064</b>	<b>5,736,160</b>	<b>5,845,717</b>	<b>5,985,806</b>	<b>6,128,298</b>
<b>EDUCATION</b>					
Arts, Council on the	3,536	4,120	4,120	4,120	4,120
Education, Department of	117,543	129,020	131,404	132,154	132,128
<i>All Other</i>	117,543	129,020	131,404	132,154	132,128
<b>Functional Total</b>	<b>121,079</b>	<b>133,140</b>	<b>135,524</b>	<b>136,274</b>	<b>136,248</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	27,681	30,988	32,404	33,638	33,159
Civil Service, Department of	13,324	13,615	14,747	15,122	15,127
Deferred Compensation Board	442	618	633	642	663
Elections, State Board of	5,304	5,114	5,296	5,442	5,497
Employee Relations, Office of	2,512	2,605	2,610	2,692	2,693
Gaming Commission, New York State	1,306	162,278	166,268	166,901	166,901
General Services, Office of	145,423	167,912	140,867	142,707	145,218
Inspector General, Office of the	5,810	6,710	6,963	7,189	7,251
Labor Management Committees	17,666	35,813	39,987	46,000	43,277
Lottery, Division of the	122,798	0	0	0	0
Public Employment Relations Board	3,392	3,731	3,495	3,587	3,629
Public Integrity, Commission on	3,887	4,505	5,078	5,473	5,525
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	42,561	46,492	47,747	48,376	48,781
Tax Appeals, Division of	2,700	3,174	3,255	3,309	3,361
Taxation and Finance, Department of	372,024	358,934	365,770	372,314	381,102
Technology, Office for	59,514	143,443	156,023	159,974	159,770
Veterans' Affairs, Division of	5,298	5,989	5,762	5,930	5,930
<b>Functional Total</b>	<b>831,642</b>	<b>991,921</b>	<b>996,905</b>	<b>1,019,296</b>	<b>1,027,884</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	137,467	139,394	142,867	148,461	150,011
Executive Chamber	13,014	13,578	13,985	14,404	14,836
Judiciary	1,812,199	1,877,855	1,999,952	2,095,494	2,111,053
Law, Department of	159,850	165,021	166,756	171,379	173,429
Legislature	202,994	218,795	223,945	226,583	231,413
Lieutenant Governor, Office of the	433	614	665	680	680
<b>Functional Total</b>	<b>2,325,957</b>	<b>2,415,257</b>	<b>2,548,170</b>	<b>2,657,001</b>	<b>2,681,422</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	0	0	0	0	0
Long-Term Debt Service	44,199	39,973	40,082	40,082	40,082
Miscellaneous	4,618	4,253	4,376	4,455	204,529
<b>Functional Total</b>	<b>48,817</b>	<b>44,226</b>	<b>44,458</b>	<b>44,537</b>	<b>244,611</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>17,682,976</b>	<b>17,824,792</b>	<b>18,245,055</b>	<b>18,846,210</b>	<b>19,154,400</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	26,859	27,674	29,123	29,747	30,045
Alcoholic Beverage Control, Division of	7,511	8,195	8,308	8,370	8,433
Economic Development, Department of	11,642	12,924	12,455	12,690	12,696
Empire State Development Corporation	500	500	500	500	500
Energy Research and Development Authority	4,027	3,432	3,500	3,570	3,711
Financial Services, Department of	136,494	144,757	146,931	149,453	149,453
Olympic Regional Development Authority	2,500	2,522	2,548	2,548	2,548
Public Service Department	37,725	40,387	41,997	43,741	44,642
Racing and Wagering Board, State	9,716	0	0	0	0
<b>Functional Total</b>	<u>236,974</u>	<u>240,391</u>	<u>245,362</u>	<u>250,619</u>	<u>252,028</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	3,730	3,703	3,774	3,858	3,859
Environmental Conservation, Department of	172,343	171,431	175,268	177,990	180,420
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	130,938	128,377	130,134	132,067	133,740
<b>Functional Total</b>	<u>307,011</u>	<u>303,511</u>	<u>309,176</u>	<u>313,915</u>	<u>318,019</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	49,216	50,274	51,038	53,476	54,391
Transportation, Department of	9,022	10,251	10,499	10,775	10,781
<b>Functional Total</b>	<u>58,238</u>	<u>60,525</u>	<u>61,537</u>	<u>64,251</u>	<u>65,172</u>
<b>HEALTH</b>					
Aging, Office for the	1,357	1,427	1,427	1,457	1,488
Health, Department of	267,361	258,410	274,197	295,999	308,959
<i>Medical Assistance</i>	0	0	0	0	0
<i>Medicaid Administration</i>	0	29,803	39,303	55,903	67,903
<i>Public Health</i>	267,361	228,607	234,894	240,096	241,056
Medicaid Inspector General, Office of the	17,867	17,470	17,485	17,500	17,514
Stem Cell and Innovation	456	472	472	472	472
<b>Functional Total</b>	<u>287,041</u>	<u>277,779</u>	<u>293,581</u>	<u>315,428</u>	<u>328,433</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	176,244	158,937	142,230	140,089	141,740
<i>OCFS</i>	176,244	158,937	142,230	140,089	141,740
Housing and Community Renewal, Division of	40,507	38,465	38,822	40,065	40,563
Human Rights, Division of	10,199	9,316	9,541	9,832	9,831
Labor, Department of	29,780	30,770	31,476	32,170	32,017
National and Community Service	264	325	328	328	328
Prevention of Domestic Violence, Office for	1,037	1,388	1,443	1,477	1,479
Temporary and Disability Assistance, Office of	72,270	66,935	70,131	71,873	72,793
<i>All Other</i>	72,270	66,935	70,131	71,873	72,793
Welfare Inspector General, Office of	288	721	738	748	748
Workers' Compensation Board	84,833	90,706	91,420	93,752	94,462
<b>Functional Total</b>	<u>415,422</u>	<u>397,563</u>	<u>386,129</u>	<u>390,334</u>	<u>393,961</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	53,884	55,250	57,467	61,879	62,498
<i>OASAS</i>	21,965	24,565	25,541	27,263	27,536
<i>OASAS - Other</i>	31,919	30,685	31,926	34,616	34,962
Justice Center	0	18,981	19,309	19,564	19,662
Mental Health, Office of	1,071,255	1,129,221	1,164,343	1,208,441	1,197,167
<i>OMH</i>	271,502	280,985	301,178	309,304	300,610
<i>OMH - Other</i>	799,753	848,236	863,165	899,137	896,557
People with Developmental Disabilities, Office for	1,125,531	1,109,095	1,077,695	1,122,275	1,080,573
<i>OPWDD</i>	343,349	0	0	0	0
<i>OPWDD - Other</i>	782,182	1,109,095	1,077,695	1,122,275	1,080,573
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,959	1,567	0	0	0
<b>Functional Total</b>	<u>2,255,629</u>	<u>2,314,114</u>	<u>2,318,814</u>	<u>2,412,159</u>	<u>2,359,900</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	1,857	2,414	2,481	2,548	2,550
Correctional Services, Department of	2,270,189	2,060,046	2,105,537	2,230,188	2,171,430

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Criminal Justice Services, Division of	29,987	25,533	24,571	25,233	25,565
Disaster Assistance	24,884	(24,884)	0	0	0
Homeland Security and Emergency Services, Division of	15,777	16,797	15,836	16,388	16,450
Indigent Legal Services, Office of	606	770	770	770	770
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	3,953	4,093	4,246	4,366	4,399
Judicial Screening Committees, New York State	0	13	13	13	13
Military and Naval Affairs, Division of	15,802	16,667	16,861	17,080	17,202
State Police, Division of	553,390	559,436	565,783	574,041	578,539
Statewide Financial System	8,650	11,287	10,977	11,060	11,143
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	2,928	3,840	3,935	4,057	4,060
<b>Functional Total</b>	<b>2,928,023</b>	<b>2,676,012</b>	<b>2,751,010</b>	<b>2,885,744</b>	<b>2,832,121</b>
<b>HIGHER EDUCATION</b>					
City University of New York	69,199	59,755	60,801	61,863	62,925
Higher Education - Miscellaneous	107	198	198	198	198
Higher Education Services Corporation, New York State	26,896	28,291	28,978	29,413	32,058
State University Construction Fund	670	0	0	0	0
State University of New York	3,398,972	3,440,534	3,501,377	3,584,774	3,669,916
<b>Functional Total</b>	<b>3,495,844</b>	<b>3,528,778</b>	<b>3,591,354</b>	<b>3,676,248</b>	<b>3,765,097</b>
<b>EDUCATION</b>					
Arts, Council on the	2,059	2,298	2,298	2,298	2,298
Education, Department of	79,912	80,727	82,200	82,927	82,927
<i>All Other</i>	79,912	80,727	82,200	82,927	82,927
<b>Functional Total</b>	<b>181,971</b>	<b>183,025</b>	<b>184,498</b>	<b>185,225</b>	<b>185,225</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	22,197	25,184	26,234	27,342	26,742
Civil Service, Department of	12,090	12,122	12,858	13,228	13,233
Deferred Compensation Board	377	399	408	417	421
Elections, State Board of	4,056	4,250	4,370	4,495	4,530
Employee Relations, Office of	2,445	2,523	2,528	2,609	2,610
Gaming Commission, New York State	1,196	34,874	35,415	36,048	36,048
General Services, Office of	50,191	57,371	58,174	58,522	58,991
Inspector General, Office of the	5,269	6,022	6,294	6,478	6,528
Labor Management Committees	6,038	5,313	5,862	6,869	6,584
Lottery, Division of the	20,420	0	0	0	0
Public Employment Relations Board	2,960	3,495	3,046	3,138	3,162
Public Integrity, Commission on	2,666	3,634	4,035	4,133	4,158
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	28,645	30,407	31,041	31,659	31,671
Tax Appeals, Division of	2,535	2,962	3,034	3,088	3,140
Taxation and Finance, Department of	287,878	276,296	282,503	288,406	294,631
Technology, Office for	46,893	135,733	146,053	150,735	150,347
Veterans' Affairs, Division of	4,893	5,545	5,323	5,480	5,480
<b>Functional Total</b>	<b>500,749</b>	<b>606,130</b>	<b>627,178</b>	<b>642,647</b>	<b>648,276</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	103,639	107,855	110,482	115,214	116,077
Executive Chamber	10,260	11,100	11,439	11,788	12,148
Judiciary	1,457,870	1,470,800	1,561,492	1,618,742	1,634,301
Law, Department of	107,969	110,763	113,770	117,132	118,110
Legislature	153,155	166,331	167,773	169,031	171,575
Lieutenant Governor, Office of the	345	480	515	543	543
<b>Functional Total</b>	<b>1,833,238</b>	<b>1,867,329</b>	<b>1,965,471</b>	<b>2,032,450</b>	<b>2,052,754</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	0	0	0	0	0
Miscellaneous	2,303	2,434	2,514	2,549	102,586
<b>Functional Total</b>	<b>2,303</b>	<b>2,434</b>	<b>2,514</b>	<b>2,549</b>	<b>102,586</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>12,402,443</b>	<b>12,357,591</b>	<b>12,636,624</b>	<b>13,071,569</b>	<b>13,203,572</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	22,315	25,405	26,892	27,128	27,918
Alcoholic Beverage Control, Division of	4,963	5,434	5,749	5,886	6,441
Economic Development, Department of	8,383	9,274	10,140	10,140	8,940
Empire State Development Corporation	500	500	500	500	500
Energy Research and Development Authority	1,579	1,854	1,889	1,927	1,967
Financial Services, Department of	56,916	57,958	58,026	58,104	58,104
Olympic Regional Development Authority	431	1,737	613	613	613
Public Service Department	8,934	8,679	8,885	9,096	9,283
Racing and Wagering Board, State	5,258	0	0	0	0
<b>Functional Total</b>	<u>109,279</u>	<u>110,841</u>	<u>112,694</u>	<u>113,394</u>	<u>113,766</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	360	326	326	326	326
Environmental Conservation, Department of	58,273	60,898	56,301	56,444	56,703
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	48,929	45,968	46,603	46,603	46,603
<b>Functional Total</b>	<u>107,562</u>	<u>107,192</u>	<u>103,230</u>	<u>103,373</u>	<u>103,632</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	16,501	19,431	21,341	21,812	22,244
Thruway Authority, New York State	0	24,000	24,000	24,000	24,000
Transportation, Department of	11,202	15,196	14,903	15,244	15,266
<b>Functional Total</b>	<u>27,703</u>	<u>58,627</u>	<u>60,244</u>	<u>61,056</u>	<u>61,510</u>
<b>HEALTH</b>					
Aging, Office for the	181	182	182	189	193
Health, Department of	280,137	357,110	354,094	335,956	335,982
<i>Medical Assistance</i>	21,028	0	0	0	0
<i>Medicaid Administration</i>	0	161,525	158,036	158,063	158,083
<i>Public Health</i>	259,109	195,585	196,058	177,893	177,899
Medicaid Inspector General, Office of the	4,105	5,306	5,656	5,656	5,656
Stem Cell and Innovation	40,907	37,428	39,028	80,074	63,528
<b>Functional Total</b>	<u>325,330</u>	<u>400,026</u>	<u>398,960</u>	<u>421,875</u>	<u>405,359</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	125,607	119,874	121,116	119,672	122,356
<i>OCFS</i>	125,607	119,874	121,116	119,672	122,356
Housing and Community Renewal, Division of	12,964	10,981	11,118	11,238	11,550
Human Rights, Division of	2,127	705	736	766	766
Labor, Department of	14,298	17,853	14,241	14,640	14,640
National and Community Service	10	8	9	9	9
Prevention of Domestic Violence, Office for	107	225	122	133	135
Temporary and Disability Assistance, Office of	114,289	118,777	125,265	121,035	124,056
<i>All Other</i>	114,289	118,777	125,265	121,035	124,056
Welfare Inspector General, Office of	76	458	469	469	469
Workers' Compensation Board	64,969	62,262	61,588	62,543	64,033
<b>Functional Total</b>	<u>334,447</u>	<u>331,143</u>	<u>334,664</u>	<u>330,505</u>	<u>338,014</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	18,089	18,143	18,700	19,870	20,454
<i>OASAS</i>	8,108	8,394	8,642	8,929	9,193
<i>OASAS - Other</i>	9,981	9,749	10,058	10,941	11,261
Justice Center	0	18,189	18,860	19,336	19,820
Mental Health, Office of	284,632	291,236	307,939	324,472	329,635
<i>OMH</i>	54,333	60,522	64,720	69,383	70,748
<i>OMH - Other</i>	230,299	230,714	243,219	255,089	258,887
Mental Hygiene, Department of	293	0	0	0	0
People with Developmental Disabilities, Office for	360,798	254,208	250,346	254,211	254,778
<i>OPWDD</i>	124,589	181	181	181	181
<i>OPWDD - Other</i>	236,209	254,027	250,165	254,030	254,597
Quality of Care and Advocacy for Persons With Disabilities, Commission on	946	305	0	0	0
<b>Functional Total</b>	<u>664,758</u>	<u>582,081</u>	<u>595,845</u>	<u>617,889</u>	<u>624,687</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
Correction, Commission of	198	258	267	277	283
Correctional Services, Department of	471,104	498,225	510,109	522,155	535,733
Criminal Justice Services, Division of	30,709	34,901	34,275	34,741	35,146
Disaster Assistance	26,885	(60,117)	0	0	0
Homeland Security and Emergency Services, Division of	54,258	22,636	18,709	19,300	19,300
Indigent Legal Services, Office of	82	652	652	652	652
Judicial Commissions	0	0	0	0	0
Judicial Conduct, Commission on	1,256	1,291	1,396	1,471	1,504
Judicial Nomination, Commission on	0	30	30	30	30
Judicial Screening Committees, New York State	2	25	25	25	25
Military and Naval Affairs, Division of	6,943	10,480	10,383	10,535	10,674
State Police, Division of	47,119	93,646	84,379	89,483	91,025
Statewide Financial System	42,699	41,314	41,849	43,104	43,966
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	745	942	961	981	997
<b>Functional Total</b>	<b>682,000</b>	<b>644,283</b>	<b>703,035</b>	<b>722,754</b>	<b>739,335</b>
<b>HIGHER EDUCATION</b>					
City University of New York	31,596	28,641	29,357	30,091	30,843
Higher Education - Miscellaneous	520	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	35,497	36,949	37,894	38,855	41,763
State University Construction Fund	756	0	0	0	0
State University of New York	2,051,851	2,140,789	2,186,109	2,239,609	2,289,592
<b>Functional Total</b>	<b>2,120,220</b>	<b>2,207,382</b>	<b>2,254,363</b>	<b>2,309,558</b>	<b>2,363,201</b>
<b>EDUCATION</b>					
Arts, Council on the	1,477	1,822	1,822	1,822	1,822
Education, Department of	37,631	48,293	49,204	49,227	49,201
<i>All Other</i>	37,631	48,293	49,204	49,227	49,201
<b>Functional Total</b>	<b>39,108</b>	<b>50,115</b>	<b>51,026</b>	<b>51,049</b>	<b>51,023</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	5,484	5,804	6,170	6,296	6,417
Civil Service, Department of	1,234	1,493	1,889	1,894	1,894
Deferred Compensation Board	65	219	225	225	242
Elections, State Board of	1,248	864	926	947	967
Employee Relations, Office of	67	82	82	83	83
Gaming Commission, New York State	110	127,404	130,853	130,853	130,853
General Services, Office of	95,232	110,541	82,693	84,185	86,227
Inspector General, Office of the	541	688	669	711	723
Labor Management Committees	11,628	30,500	34,125	39,131	36,693
Lottery, Division of the	102,378	0	0	0	0
Public Employment Relations Board	432	236	449	449	467
Public Integrity, Commission on	1,221	871	1,043	1,340	1,367
Regulatory Reform, Governor's Office of	0	0	0	0	0
State, Department of	13,916	16,085	16,706	16,717	17,110
Tax Appeals, Division of	165	212	221	221	221
Taxation and Finance, Department of	84,146	82,638	83,267	83,908	86,471
Technology, Office for	12,621	7,710	9,970	9,239	9,423
Veterans' Affairs, Division of	405	444	439	450	450
<b>Functional Total</b>	<b>330,893</b>	<b>385,791</b>	<b>369,727</b>	<b>376,649</b>	<b>379,608</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	33,828	31,539	32,385	33,247	33,934
Executive Chamber	2,754	2,478	2,546	2,616	2,688
Judiciary	354,329	407,055	438,460	476,752	476,752
Law, Department of	51,881	54,258	52,986	54,247	55,319
Legislature	49,839	52,464	56,172	57,552	59,838
Lieutenant Governor, Office of the	88	134	150	137	137
<b>Functional Total</b>	<b>492,719</b>	<b>547,928</b>	<b>582,699</b>	<b>624,551</b>	<b>628,668</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	0	0	0	0	0
Long-Term Debt Service	44,199	39,973	40,082	40,082	40,082
Miscellaneous	2,315	1,819	1,862	1,906	101,943
<b>Functional Total</b>	<b>46,514</b>	<b>41,792</b>	<b>41,944</b>	<b>41,988</b>	<b>142,025</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u>5,280,533</u>	<u>5,467,201</u>	<u>5,608,431</u>	<u>5,774,641</u>	<u>5,950,828</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	1,754	1,549	1,611	1,729	1,803
Alcoholic Beverage Control, Division of	2,921	4,546	4,685	4,932	5,121
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	2,189	1,638	1,670	1,704	1,738
Financial Services, Department of	72,113	86,170	88,824	94,279	97,160
Public Service Department	16,640	20,731	23,686	25,772	27,106
Racing and Wagering Board, State	2,786	0	0	0	0
<b>Functional Total</b>	<b>98,403</b>	<b>114,662</b>	<b>120,504</b>	<b>128,444</b>	<b>132,956</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	38,506	39,763	39,186	40,942	42,771
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	245	3,830	3,893	3,938	3,934
<b>Functional Total</b>	<b>38,751</b>	<b>43,593</b>	<b>43,079</b>	<b>44,880</b>	<b>46,705</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	24,125	27,893	28,786	31,508	33,026
Transportation, Department of	4,180	5,665	5,954	6,373	6,390
<b>Functional Total</b>	<b>28,305</b>	<b>33,558</b>	<b>34,740</b>	<b>37,881</b>	<b>39,416</b>
<b>HEALTH</b>					
Health, Department of	29,467	31,202	32,390	34,634	35,531
<i>Public Health</i>	29,467	31,202	32,390	34,634	35,531
Medicaid Inspector General, Office of the	0	0	0	0	0
Stem Cell and Innovation	226	0	0	0	0
<b>Functional Total</b>	<b>29,693</b>	<b>31,202</b>	<b>32,390</b>	<b>34,634</b>	<b>35,531</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,451	2,620	2,657	2,704	2,704
<i>OCFS</i>	1,451	2,620	2,657	2,704	2,704
Housing and Community Renewal, Division of	14,294	19,358	20,498	21,409	21,409
Labor, Department of	14,288	17,060	18,267	19,397	19,306
Temporary and Disability Assistance, Office of	0	0	0	0	0
<i>All Other</i>	0	0	0	0	0
Welfare Inspector General, Office of	22	0	0	0	0
Workers' Compensation Board	40,689	50,323	51,569	55,269	57,403
<b>Functional Total</b>	<b>70,744</b>	<b>89,361</b>	<b>92,991</b>	<b>98,779</b>	<b>100,822</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	29,879	31,197	32,679	35,668	37,731
<i>OASAS</i>	13,443	14,066	14,430	15,747	16,658
<i>OASAS - Other</i>	16,436	17,131	18,249	19,921	21,073
Justice Center	0	1,143	1,375	1,481	1,481
Mental Health, Office of	565,010	625,683	653,507	711,989	729,212
<i>OMH</i>	205,755	162,188	175,966	191,198	192,880
<i>OMH - Other</i>	359,255	463,495	477,541	520,791	536,332
People with Developmental Disabilities, Office for	566,099	604,518	611,402	645,994	653,915
<i>OPWDD</i>	199,047	0	0	0	0
<i>OPWDD - Other</i>	367,052	604,518	611,402	645,994	653,915
Quality of Care and Advocacy for Persons With Disabilities, Commission on	997	317	0	0	0
<b>Functional Total</b>	<b>1,161,985</b>	<b>1,262,858</b>	<b>1,298,963</b>	<b>1,395,132</b>	<b>1,422,339</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	0	45	48	51	53
Criminal Justice Services, Division of	64	78	80	85	88
Homeland Security and Emergency Services, Division of	434	964	998	1,066	1,104
Indigent Legal Services, Office of	247	378	378	378	378
Military and Naval Affairs, Division of	0	250	250	250	250
State Police, Division of	14,881	9,682	3,913	4,170	4,383
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	1,710	1,943	2,028	2,191	2,190
<b>Functional Total</b>	<b>17,336</b>	<b>13,340</b>	<b>7,695</b>	<b>8,191</b>	<b>8,446</b>
<b>HIGHER EDUCATION</b>					

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
City University of New York	412	6,337	6,527	6,723	6,925
Higher Education - Miscellaneous	92	99	99	99	99
Higher Education Services Corporation, New York State	13,513	15,693	16,344	17,330	18,223
State University Construction Fund	(80)	0	0	0	0
State University of New York	530,847	605,166	624,950	645,736	667,546
<b>Functional Total</b>	<b>544,784</b>	<b>627,295</b>	<b>647,920</b>	<b>669,888</b>	<b>692,793</b>
 <b>EDUCATION</b>					
Education, Department of	27,478	31,694	32,484	34,130	34,971
<i>All Other</i>	27,478	31,694	32,484	34,130	34,971
<b>Functional Total</b>	<b>27,478</b>	<b>31,694</b>	<b>32,484</b>	<b>34,130</b>	<b>34,971</b>
 <b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,273	2,297	2,402	2,599	2,625
Civil Service, Department of	155	168	172	176	176
Deferred Compensation Board	178	208	225	225	225
Gaming Commission, New York State	0	19,158	19,482	19,482	19,482
General Services, Office of	1,534	2,136	2,208	2,354	2,444
Lottery, Division of the	10,327	0	0	0	0
State, Department of	8,918	10,909	11,304	12,043	12,409
Taxation and Finance, Department of	20,255	19,213	23,239	24,192	24,881
<b>Functional Total</b>	<b>43,640</b>	<b>54,089</b>	<b>59,032</b>	<b>61,071</b>	<b>62,242</b>
 <b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	1,487	1,582	1,653	1,778	1,845
Judiciary	568,784	660,700	683,454	717,408	717,408
Law, Department of	9,577	14,962	15,692	16,611	17,245
<b>Functional Total</b>	<b>579,848</b>	<b>677,244</b>	<b>700,799</b>	<b>735,797</b>	<b>736,498</b>
 <b>ALL OTHER CATEGORIES</b>					
General State Charges	3,777,684	4,104,088	4,454,794	4,697,339	4,965,967
Miscellaneous	17,447	5,824	5,907	5,986	6,033
<b>Functional Total</b>	<b>3,795,131</b>	<b>4,109,912</b>	<b>4,460,701</b>	<b>4,703,325</b>	<b>4,972,000</b>
 <b>TOTAL GENERAL STATE CHARGES SPENDING</b>	 <b>6,436,098</b>	 <b>7,088,808</b>	 <b>7,531,298</b>	 <b>7,952,152</b>	 <b>8,284,719</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	16,758	13,446	4,700	4,750	5,500
Economic Development Capital	0	(17,500)	5,000	5,000	15,000
Economic Development, Department of	14	32,645	19,859	15,000	0
Empire State Development Corporation	19,095	45,008	145,206	365,305	608,709
Energy Research and Development Authority	11,543	12,000	25,500	25,500	13,000
Regional Economic Development Program	0	2,500	1,500	1,500	1,500
Strategic Investment Program	0	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<u>47,410</u>	<u>93,099</u>	<u>206,765</u>	<u>422,055</u>	<u>648,709</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	222,642	395,029	383,917	364,715	344,915
Environmental Facilities Corporation	0	0	0	0	0
Hudson River Park Trust	3,405	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	66,979	81,577	96,890	111,678	118,428
<b>Functional Total</b>	<u>293,026</u>	<u>476,606</u>	<u>480,807</u>	<u>476,393</u>	<u>463,343</u>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	271,081	62,519	0	310,000	310,000
Motor Vehicles, Department of	182,756	200,740	201,238	210,792	216,519
Thruway Authority, New York State	0	1,800	1,800	1,800	1,800
Transportation, Department of	2,084,138	2,106,037	2,077,397	2,083,333	2,132,016
<b>Functional Total</b>	<u>2,537,975</u>	<u>2,371,096</u>	<u>2,280,435</u>	<u>2,605,925</u>	<u>2,660,335</u>
<b>HEALTH</b>					
Health, Department of	9,926	13,500	13,500	13,500	13,500
<i>Public Health</i>	9,926	13,500	13,500	13,500	13,500
<b>Functional Total</b>	<u>9,926</u>	<u>13,500</u>	<u>13,500</u>	<u>13,500</u>	<u>13,500</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	8,841	20,900	20,900	20,900	20,900
<i>OCFS</i>	8,841	20,900	20,900	20,900	20,900
<b>Functional Total</b>	<u>8,841</u>	<u>20,900</u>	<u>20,900</u>	<u>20,900</u>	<u>20,900</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	(113)	13,196	13,083	13,083	13,083
<i>OASAS</i>	(113)	13,196	13,083	13,083	13,083
Mental Health, Office of	68,802	72,598	72,680	72,783	72,623
<i>OMH</i>	68,802	72,598	72,680	72,783	72,623
People with Developmental Disabilities, Office for	34,885	39,220	39,220	39,220	39,220
<i>OPWDD</i>	34,884	39,220	39,220	39,220	39,220
<i>OPWDD - Other</i>	1	0	0	0	0
<b>Functional Total</b>	<u>103,574</u>	<u>125,014</u>	<u>124,983</u>	<u>125,086</u>	<u>124,926</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	191,540	234,677	240,710	246,860	246,764
Disaster Assistance	1,776	23,369	0	0	0
Homeland Security and Emergency Services, Division of	5,139	16,000	10,500	1,000	0
Military and Naval Affairs, Division of	9,638	9,634	14,145	12,245	12,245
Public Security and Emergency Response	0	0	0	0	0
State Police, Division of	26,960	32,374	13,865	13,865	13,065
<b>Functional Total</b>	<u>235,053</u>	<u>316,054</u>	<u>279,220</u>	<u>273,970</u>	<u>272,074</u>
<b>HIGHER EDUCATION</b>					
City University of New York	40,688	52,067	45,211	39,893	39,399
Higher Education Facilities Capital Matching Grants Program	0	0	0	0	0
State University of New York	1,225,616	1,115,129	1,088,722	1,130,812	984,855
<b>Functional Total</b>	<u>1,266,304</u>	<u>1,167,196</u>	<u>1,133,933</u>	<u>1,170,705</u>	<u>1,024,254</u>
<b>EDUCATION</b>					
Education, Department of	5,633	27,416	31,099	27,998	23,400
<i>All Other</i>	5,633	27,416	31,099	27,998	23,400
<b>Functional Total</b>	<u>5,633</u>	<u>27,416</u>	<u>31,099</u>	<u>27,998</u>	<u>23,400</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>GENERAL GOVERNMENT</b>					
General Services, Office of	66,195	66,809	77,383	69,883	69,883
State, Department of	0	(15)	2,185	1,440	9,985
Technology, Office for	0	40,523	41,547	4,409	1,261
<b>Functional Total</b>	<u>66,195</u>	<u>107,317</u>	<u>121,115</u>	<u>75,732</u>	<u>81,129</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	128	0	0	0	0
<b>Functional Total</b>	<u>128</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	50,040	61,000	780,325	747,125	632,629
<b>Functional Total</b>	<u>50,040</u>	<u>61,000</u>	<u>780,325</u>	<u>747,125</u>	<u>632,629</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u>4,624,105</u>	<u>4,779,198</u>	<u>5,473,082</u>	<u>5,959,389</u>	<u>5,965,199</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development, Department of	0	4,954	14,000	12,000	3,000
Energy Research and Development Authority	6,108	9,234	9,418	9,607	9,799
Financial Services, Department of	221,513	216,952	216,952	216,952	216,952
Public Service Department	0	200	200	200	200
<b>Functional Total</b>	<u>227,621</u>	<u>231,340</u>	<u>240,570</u>	<u>238,759</u>	<u>229,951</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	997	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	3,473	4,850	4,850	4,850	4,850
<b>Functional Total</b>	<u>4,470</u>	<u>4,850</u>	<u>4,850</u>	<u>4,850</u>	<u>4,850</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	4,205,613	4,647,745	4,733,312	4,812,051	4,897,731
<b>Functional Total</b>	<u>4,205,613</u>	<u>4,647,745</u>	<u>4,733,312</u>	<u>4,812,051</u>	<u>4,897,731</u>
<b>HEALTH</b>					
Health, Department of	6,069,266	6,393,231	6,471,623	6,509,578	6,619,498
<i>Medical Assistance</i>	4,769,030	5,004,706	5,389,101	5,454,898	5,616,852
<i>Public Health</i>	1,300,236	1,388,525	1,082,522	1,054,680	1,002,646
<b>Functional Total</b>	<u>6,069,266</u>	<u>6,393,231</u>	<u>6,471,623</u>	<u>6,509,578</u>	<u>6,619,498</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	3,981	3,582	3,582	3,582	3,582
<i>OCFS</i>	3,981	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	709	852	852	852	852
Labor, Department of	155	150	150	150	150
Temporary and Disability Assistance, Office of	4,399	0	0	0	0
<i>All Other</i>	4,399	0	0	0	0
<b>Functional Total</b>	<u>9,244</u>	<u>4,584</u>	<u>4,584</u>	<u>4,584</u>	<u>4,584</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	275,682	288,836	305,258	322,074	336,574
<i>OASAS</i>	275,682	288,836	305,258	322,074	336,574
Justice Center	0	338	450	450	450
Mental Health, Office of	701,521	742,017	878,316	1,006,370	1,057,866
<i>OMH</i>	701,521	742,017	878,316	1,006,370	1,057,866
People with Developmental Disabilities, Office for	695,570	462,315	415,182	376,006	380,819
<i>OPWDD</i>	694,289	462,315	415,182	376,006	380,819
<i>OPWDD - Other</i>	1,281	0	0	0	0
Quality of Care and Advocacy for Persons With Disabilities, Commission on	409	112	0	0	0
<b>Functional Total</b>	<u>1,673,182</u>	<u>1,493,618</u>	<u>1,599,206</u>	<u>1,704,900</u>	<u>1,775,709</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Criminal Justice Services, Division of	29,887	34,785	36,567	36,567	36,567
Homeland Security and Emergency Services, Division of	16,558	72,490	81,126	80,751	74,051
Indigent Legal Services, Office of	56,557	65,400	81,000	81,000	81,000
Military and Naval Affairs, Division of	0	0	0	0	0
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	26,493	28,182	28,182	28,182	28,182
<b>Functional Total</b>	<u>129,495</u>	<u>200,857</u>	<u>226,875</u>	<u>226,500</u>	<u>219,800</u>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	32,000	32,416	16,106	0	0
<b>Functional Total</b>	<u>32,000</u>	<u>32,416</u>	<u>16,106</u>	<u>0</u>	<u>0</u>
<b>EDUCATION</b>					
Arts, Council on the	0	98	98	98	98
Education, Department of	6,346,710	6,614,088	6,733,639	6,840,481	6,940,281
<i>School Aid</i>	3,052,980	3,181,800	3,119,000	3,124,000	3,122,000
<i>STAR Property Tax Relief</i>	3,286,160	3,419,375	3,601,726	3,703,568	3,805,368
<i>All Other</i>	7,570	12,913	12,913	12,913	12,913
<b>Functional Total</b>	<u>6,346,710</u>	<u>6,614,186</u>	<u>6,733,737</u>	<u>6,840,579</u>	<u>6,940,379</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>GENERAL GOVERNMENT</b>					
State, Department of	769	539	539	539	539
<b>Functional Total</b>	<u>769</u>	<u>539</u>	<u>539</u>	<u>539</u>	<u>539</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	112,379	104,200	104,200	104,200	104,200
<b>Functional Total</b>	<u>112,379</u>	<u>104,200</u>	<u>104,200</u>	<u>104,200</u>	<u>104,200</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	7,689	(421,781)	(515,708)	(631,330)	(785,383)
<b>Functional Total</b>	<u>7,689</u>	<u>(421,781)</u>	<u>(515,708)</u>	<u>(631,330)</u>	<u>(785,383)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u><u>18,818,438</u></u>	<u><u>19,305,785</u></u>	<u><u>19,619,894</u></u>	<u><u>19,815,210</u></u>	<u><u>20,011,858</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	2,981	3,244	3,320	3,415	3,450
Alcoholic Beverage Control, Division of	7,511	8,195	8,308	8,370	8,433
Economic Development, Department of	0	103	103	103	103
Energy Research and Development Authority	4,027	3,432	3,500	3,570	3,711
Financial Services, Department of	136,494	144,757	146,931	149,453	149,453
Public Service Department	37,725	40,387	41,997	43,741	44,642
Racing and Wagering Board, State	9,716	0	0	0	0
<b>Functional Total</b>	<u>198,454</u>	<u>200,118</u>	<u>204,159</u>	<u>208,652</u>	<u>209,792</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	91,187	92,402	94,850	96,311	96,714
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	28,817	29,128	29,638	30,070	30,786
<b>Functional Total</b>	<u>120,004</u>	<u>121,530</u>	<u>124,488</u>	<u>126,381</u>	<u>127,500</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	49,216	50,274	51,038	53,476	54,391
Transportation, Department of	9,022	10,251	10,499	10,775	10,781
<b>Functional Total</b>	<u>58,238</u>	<u>60,525</u>	<u>61,537</u>	<u>64,251</u>	<u>65,172</u>
<b>HEALTH</b>					
Health, Department of	215,349	136,811	139,315	141,795	142,287
<i>Public Health</i>	215,349	136,811	139,315	141,795	142,287
Medicaid Inspector General, Office of the	3,700	0	0	0	0
Stem Cell and Innovation	456	472	472	472	472
<b>Functional Total</b>	<u>219,505</u>	<u>137,283</u>	<u>139,787</u>	<u>142,267</u>	<u>142,759</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,854	3,293	3,390	3,455	3,455
<i>OCFS</i>	1,854	3,293	3,390	3,455	3,455
Housing and Community Renewal, Division of	31,590	34,597	35,137	36,126	36,490
Labor, Department of	29,780	30,685	31,391	32,085	31,932
Temporary and Disability Assistance, Office of	321	0	0	0	0
<i>All Other</i>	321	0	0	0	0
Welfare Inspector General, Office of	43	0	0	0	0
Workers' Compensation Board	84,833	90,706	91,420	93,752	94,462
<b>Functional Total</b>	<u>148,421</u>	<u>159,281</u>	<u>161,338</u>	<u>165,418</u>	<u>166,339</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	53,884	55,250	57,467	61,879	62,498
<i>OASAS</i>	21,965	24,565	25,541	27,263	27,536
<i>OASAS - Other</i>	31,919	30,685	31,926	34,616	34,962
Justice Center	0	3,203	2,365	2,438	2,438
Mental Health, Office of	1,071,255	1,129,221	1,164,343	1,208,441	1,197,167
<i>OMH</i>	271,502	280,985	301,178	309,304	300,610
<i>OMH - Other</i>	799,753	848,236	863,165	899,137	896,557
People with Developmental Disabilities, Office for	1,125,531	1,109,095	1,077,695	1,122,275	1,080,573
<i>OPWDD</i>	343,349	0	0	0	0
<i>OPWDD - Other</i>	782,182	1,109,095	1,077,695	1,122,275	1,080,573
Quality of Care and Advocacy for Persons With Disabilities, Commission on	826	574	0	0	0
<b>Functional Total</b>	<u>2,251,496</u>	<u>2,297,343</u>	<u>2,301,870</u>	<u>2,395,033</u>	<u>2,342,676</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	18	82	84	86	89
Criminal Justice Services, Division of	183	383	389	396	399
Homeland Security and Emergency Services, Division of	9,373	9,825	10,077	10,367	10,375
Indigent Legal Services, Office of	606	770	770	770	770
Military and Naval Affairs, Division of	762	783	784	786	786
State Police, Division of	45,150	13,681	13,892	14,037	14,178
Statewide Financial System	8,650	11,287	10,977	11,060	11,143
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	2,928	3,840	3,935	4,057	4,060
<b>Functional Total</b>	<u>67,670</u>	<u>40,651</u>	<u>40,908</u>	<u>41,559</u>	<u>41,800</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>HIGHER EDUCATION</b>					
City University of New York	69,199	59,755	60,801	61,863	62,925
Higher Education - Miscellaneous	107	198	198	198	198
Higher Education Services Corporation, New York State	26,896	28,291	28,978	29,413	32,058
State University Construction Fund	670	0	0	0	0
State University of New York	2,880,977	3,440,534	3,501,377	3,584,774	3,669,916
<b>Functional Total</b>	<u>2,977,849</u>	<u>3,528,778</u>	<u>3,591,354</u>	<u>3,676,248</u>	<u>3,765,097</u>
<b>EDUCATION</b>					
Education, Department of	54,685	56,229	57,325	57,667	57,667
<i>All Other</i>	54,685	56,229	57,325	57,667	57,667
<b>Functional Total</b>	<u>54,685</u>	<u>56,229</u>	<u>57,325</u>	<u>57,667</u>	<u>57,667</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	4,049	4,124	4,296	4,477	4,377
Civil Service, Department of	302	350	357	364	364
Deferred Compensation Board	347	367	378	386	389
Gaming Commission, New York State	1,196	34,874	35,415	36,048	36,048
General Services, Office of	3,044	3,326	3,915	3,995	4,025
Lottery, Division of the	20,420	0	0	0	0
Public Employment Relations Board	78	159	0	0	0
State, Department of	17,247	19,356	19,741	20,139	20,139
Taxation and Finance, Department of	32,640	41,039	41,081	41,124	41,167
<b>Functional Total</b>	<u>79,323</u>	<u>103,595</u>	<u>105,183</u>	<u>106,533</u>	<u>106,509</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	10,335	10,557	10,518	10,793	10,873
Judiciary	55,268	56,300	58,350	59,650	59,650
Law, Department of	29,235	26,819	27,406	28,092	28,402
<b>Functional Total</b>	<u>94,838</u>	<u>93,676</u>	<u>96,274</u>	<u>98,535</u>	<u>98,925</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	2,252	(122,532)	(97,539)	(122,076)	(47,468)
<b>Functional Total</b>	<u>2,252</u>	<u>(122,532)</u>	<u>(97,539)</u>	<u>(122,076)</u>	<u>(47,468)</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>6,272,735</u>	<u>6,676,477</u>	<u>6,786,684</u>	<u>6,960,468</u>	<u>7,076,768</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	15,984	18,469	19,806	20,163	20,526
Alcoholic Beverage Control, Division of	4,963	5,434	5,749	5,886	6,441
Economic Development, Department of	748	1,847	1,847	1,847	1,847
Energy Research and Development Authority	1,579	1,854	1,889	1,927	1,967
Financial Services, Department of	56,916	57,958	58,026	58,104	58,104
Olympic Regional Development Authority	2	1,330	150	150	150
Public Service Department	8,934	8,679	8,885	9,096	9,283
Racing and Wagering Board, State	5,258	0	0	0	0
<b>Functional Total</b>	<u>94,384</u>	<u>95,571</u>	<u>96,352</u>	<u>97,173</u>	<u>98,318</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	49,801	51,460	46,863	47,006	42,555
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	41,570	37,831	38,466	38,466	38,466
<b>Functional Total</b>	<u>91,371</u>	<u>89,291</u>	<u>85,329</u>	<u>85,472</u>	<u>81,021</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	16,501	19,431	21,341	21,812	22,244
Transportation, Department of	10,179	13,541	13,248	13,589	13,611
<b>Functional Total</b>	<u>26,680</u>	<u>32,972</u>	<u>34,589</u>	<u>35,401</u>	<u>35,855</u>
<b>HEALTH</b>					
Aging, Office for the	0	1	1	1	1
Health, Department of	157,943	118,021	120,844	125,429	125,435
<i>Public Health</i>	157,943	118,021	120,844	125,429	125,435
Medicaid Inspector General, Office of the	0	0	0	0	0
Stem Cell and Innovation	40,907	37,428	39,028	80,074	63,528
<b>Functional Total</b>	<u>198,850</u>	<u>155,450</u>	<u>159,873</u>	<u>205,504</u>	<u>188,964</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	21,119	28,368	29,213	29,214	29,214
<i>OCFS</i>	21,119	28,368	29,213	29,214	29,214
Housing and Community Renewal, Division of	8,590	6,572	6,693	6,748	6,919
Labor, Department of	14,298	17,653	14,041	14,440	14,440
Prevention of Domestic Violence, Office for	3	5	5	5	5
Temporary and Disability Assistance, Office of	3,254	200	200	200	200
<i>All Other</i>	3,254	200	200	200	200
Welfare Inspector General, Office of	76	0	0	0	0
Workers' Compensation Board	64,969	62,262	61,588	62,543	64,033
<b>Functional Total</b>	<u>112,309</u>	<u>115,060</u>	<u>111,740</u>	<u>113,150</u>	<u>114,811</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	18,089	18,143	18,700	19,870	20,454
<i>OASAS</i>	8,108	8,394	8,642	8,929	9,193
<i>OASAS - Other</i>	9,981	9,749	10,058	10,941	11,261
Justice Center	0	264	261	268	268
Mental Health, Office of	284,512	290,436	307,139	323,672	328,835
<i>OMH</i>	54,213	59,722	63,920	68,583	69,948
<i>OMH - Other</i>	230,299	230,714	243,219	255,089	258,887
Mental Hygiene, Department of	293	0	0	0	0
People with Developmental Disabilities, Office for	360,798	254,208	250,346	254,211	254,778
<i>OPWDD</i>	124,589	181	181	181	181
<i>OPWDD - Other</i>	236,209	254,027	250,165	254,030	254,597
Quality of Care and Advocacy for Persons With Disabilities, Commission on	115	63	0	0	0
<b>Functional Total</b>	<u>663,807</u>	<u>563,114</u>	<u>576,446</u>	<u>598,021</u>	<u>604,335</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	1,163	2,742	2,737	2,732	2,750
Criminal Justice Services, Division of	10,037	17,268	15,210	15,215	15,219
Homeland Security and Emergency Services, Division of	51,940	22,636	18,709	19,300	19,300
Indigent Legal Services, Office of	82	652	652	652	652
Military and Naval Affairs, Division of	2,167	4,825	4,502	4,502	4,502
State Police, Division of	8,239	46,620	29,301	29,305	29,309
Statewide Financial System	42,699	41,314	41,849	43,104	43,966

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	745	942	961	981	997
<b>Functional Total</b>	<u>117,072</u>	<u>136,999</u>	<u>113,921</u>	<u>115,791</u>	<u>116,695</u>
<b>HIGHER EDUCATION</b>					
City University of New York	31,596	28,641	29,357	30,091	30,843
Higher Education - Miscellaneous	520	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	35,484	36,949	37,894	38,855	41,763
State University Construction Fund	756	0	0	0	0
State University of New York	1,890,832	2,140,789	2,186,109	2,239,609	2,289,592
<b>Functional Total</b>	<u>1,959,188</u>	<u>2,207,382</u>	<u>2,254,363</u>	<u>2,309,558</u>	<u>2,363,201</u>
<b>EDUCATION</b>					
Education, Department of	21,850	25,285	24,796	24,819	24,793
<i>All Other</i>	21,850	25,285	24,796	24,819	24,793
<b>Functional Total</b>	<u>21,850</u>	<u>25,285</u>	<u>24,796</u>	<u>24,819</u>	<u>24,793</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	3,436	4,388	4,726	4,823	4,915
Civil Service, Department of	404	815	1,211	1,211	1,211
Deferred Compensation Board	50	194	199	199	215
Elections, State Board of	418	0	0	0	0
Gaming Commission, New York State	110	127,404	130,853	130,853	130,853
General Services, Office of	4,576	6,436	4,742	4,835	4,953
Inspector General, Office of the	17	87	87	87	87
Labor Management Committees	0	300	300	300	300
Lottery, Division of the	102,378	0	0	0	0
Public Employment Relations Board	41	43	43	43	43
State, Department of	11,473	14,314	14,793	14,804	15,197
Taxation and Finance, Department of	25,740	29,650	29,438	29,492	29,546
<b>Functional Total</b>	<u>148,643</u>	<u>183,631</u>	<u>186,392</u>	<u>186,647</u>	<u>187,320</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	4,209	5,219	5,382	5,544	5,677
Judiciary	34,267	56,955	48,650	48,950	48,950
Law, Department of	35,685	39,982	40,403	41,350	42,165
Legislature	1,034	950	950	950	950
<b>Functional Total</b>	<u>75,195</u>	<u>103,106</u>	<u>95,385</u>	<u>96,794</u>	<u>97,742</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	1,019	(163,393)	(138,350)	(138,306)	(88,269)
<b>Functional Total</b>	<u>1,019</u>	<u>(163,393)</u>	<u>(138,350)</u>	<u>(138,306)</u>	<u>(88,269)</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u><u>3,510,368</u></u>	<u><u>3,544,468</u></u>	<u><u>3,600,836</u></u>	<u><u>3,730,024</u></u>	<u><u>3,824,786</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	1,754	1,549	1,611	1,729	1,803
Alcoholic Beverage Control, Division of	2,921	4,546	4,685	4,932	5,121
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	2,189	1,638	1,670	1,704	1,738
Financial Services, Department of	72,113	86,170	88,824	94,279	97,160
Public Service Department	16,640	20,731	23,686	25,772	27,106
Racing and Wagering Board, State	2,786	0	0	0	0
<b>Functional Total</b>	<u>98,403</u>	<u>114,662</u>	<u>120,504</u>	<u>128,444</u>	<u>132,956</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	38,506	39,763	39,186	40,942	42,771
Environmental Facilities Corporation	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	245	3,830	3,893	3,938	3,934
<b>Functional Total</b>	<u>38,751</u>	<u>43,593</u>	<u>43,079</u>	<u>44,880</u>	<u>46,705</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	24,125	27,893	28,786	31,508	33,026
Transportation, Department of	4,180	5,665	5,954	6,373	6,390
<b>Functional Total</b>	<u>28,305</u>	<u>33,558</u>	<u>34,740</u>	<u>37,881</u>	<u>39,416</u>
<b>HEALTH</b>					
Health, Department of	29,467	31,202	32,390	34,634	35,531
<i>Public Health</i>	29,467	31,202	32,390	34,634	35,531
Medicaid Inspector General, Office of the	0	0	0	0	0
Stem Cell and Innovation	226	0	0	0	0
<b>Functional Total</b>	<u>29,693</u>	<u>31,202</u>	<u>32,390</u>	<u>34,634</u>	<u>35,531</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,451	2,620	2,652	2,693	2,693
<i>OCFS</i>	1,451	2,620	2,652	2,693	2,693
Housing and Community Renewal, Division of	14,294	19,358	20,498	21,409	21,409
Labor, Department of	14,288	17,060	18,267	19,397	19,306
Temporary and Disability Assistance, Office of	0	0	0	0	0
<i>All Other</i>	0	0	0	0	0
Welfare Inspector General, Office of	22	0	0	0	0
Workers' Compensation Board	40,689	50,323	51,569	55,269	57,403
<b>Functional Total</b>	<u>70,744</u>	<u>89,361</u>	<u>92,986</u>	<u>98,768</u>	<u>100,811</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	29,879	31,197	32,679	35,668	37,731
<i>OASAS</i>	13,443	14,066	14,430	15,747	16,658
<i>OASAS - Other</i>	16,436	17,131	18,249	19,921	21,073
Justice Center	0	1,143	1,375	1,481	1,481
Mental Health, Office of	565,010	625,683	653,507	711,989	729,212
<i>OMH</i>	205,755	162,188	175,966	191,198	192,880
<i>OMH - Other</i>	359,255	463,495	477,541	520,791	536,332
People with Developmental Disabilities, Office for	566,099	604,518	611,402	645,994	653,915
<i>OPWDD</i>	199,047	0	0	0	0
<i>OPWDD - Other</i>	367,052	604,518	611,402	645,994	653,915
Quality of Care and Advocacy for Persons With Disabilities, Commission on	997	317	0	0	0
<b>Functional Total</b>	<u>1,161,985</u>	<u>1,262,858</u>	<u>1,298,963</u>	<u>1,395,132</u>	<u>1,422,339</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	0	45	48	51	53
Criminal Justice Services, Division of	64	78	80	85	88
Homeland Security and Emergency Services, Division of	434	964	998	1,066	1,104
Indigent Legal Services, Office of	247	378	378	378	378
Military and Naval Affairs, Division of	0	250	250	250	250
State Police, Division of	14,881	9,682	3,913	4,170	4,383
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	1,710	1,943	2,028	2,191	2,190
<b>Functional Total</b>	<u>17,336</u>	<u>13,340</u>	<u>7,695</u>	<u>8,191</u>	<u>8,446</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>HIGHER EDUCATION</b>					
City University of New York	412	6,337	6,527	6,723	6,925
Higher Education - Miscellaneous	92	99	99	99	99
Higher Education Services Corporation, New York State	13,513	15,693	16,344	17,330	18,223
State University Construction Fund	(80)	0	0	0	0
State University of New York	321,424	395,111	414,895	435,681	457,491
<b>Functional Total</b>	<u>335,361</u>	<u>417,240</u>	<u>437,865</u>	<u>459,833</u>	<u>482,738</u>
<b>EDUCATION</b>					
Education, Department of	27,478	31,694	32,484	34,130	34,971
<i>All Other</i>	27,478	31,694	32,484	34,130	34,971
<b>Functional Total</b>	<u>27,478</u>	<u>31,694</u>	<u>32,484</u>	<u>34,130</u>	<u>34,971</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,273	2,297	2,402	2,599	2,625
Civil Service, Department of	155	168	172	176	176
Deferred Compensation Board	178	208	225	225	225
Gaming Commission, New York State	0	19,158	19,482	19,482	19,482
General Services, Office of	1,534	2,136	2,208	2,354	2,444
Lottery, Division of the	10,327	0	0	0	0
State, Department of	8,918	10,909	11,304	12,043	12,409
Taxation and Finance, Department of	20,255	19,213	23,239	24,192	24,881
<b>Functional Total</b>	<u>43,640</u>	<u>54,089</u>	<u>59,032</u>	<u>61,071</u>	<u>62,242</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	1,487	1,582	1,653	1,778	1,845
Judiciary	22,743	26,300	24,700	25,200	25,200
Law, Department of	9,577	14,962	15,692	16,611	17,245
<b>Functional Total</b>	<u>33,807</u>	<u>42,844</u>	<u>42,045</u>	<u>43,589</u>	<u>44,290</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	1,070	1,404	1,487	1,566	1,613
<b>Functional Total</b>	<u>1,070</u>	<u>1,404</u>	<u>1,487</u>	<u>1,566</u>	<u>1,613</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>1,886,573</u>	<u>2,135,845</u>	<u>2,203,270</u>	<u>2,348,119</u>	<u>2,412,058</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development, Department of	5,246	6,100	100	100	100
<b>Functional Total</b>	<b>5,246</b>	<b>6,100</b>	<b>100</b>	<b>100</b>	<b>100</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Parks, Recreation and Historic Preservation, Office of	1,245	1,270	1,270	1,270	1,270
<b>Functional Total</b>	<b>1,245</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	14,243	16,000	16,000	16,000	16,000
Transportation, Department of	33,913	40,103	40,103	40,103	40,103
<b>Functional Total</b>	<b>48,156</b>	<b>56,103</b>	<b>56,103</b>	<b>56,103</b>	<b>56,103</b>
<b>HEALTH</b>					
Aging, Office for the	93,913	92,769	92,769	92,769	92,769
Health, Department of	25,583,178	26,922,255	30,535,391	33,302,367	36,673,231
<i>Medical Assistance</i>	23,421,441	24,957,489	28,595,148	31,347,288	34,654,388
<i>Medicaid Administration</i>	518,249	530,150	505,450	445,950	445,950
<i>Public Health</i>	1,643,488	1,434,616	1,434,793	1,509,129	1,572,893
<b>Functional Total</b>	<b>25,677,091</b>	<b>27,015,024</b>	<b>30,628,160</b>	<b>33,395,136</b>	<b>36,766,000</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	988,393	967,800	967,800	967,800	967,800
<i>OCFS</i>	988,393	967,800	967,800	967,800	967,800
Housing and Community Renewal, Division of	103,497	89,434	89,434	89,434	89,434
Labor, Department of	158,725	150,130	150,130	150,130	150,130
Temporary and Disability Assistance, Office of	3,415,010	3,511,676	3,511,676	3,511,676	3,511,676
<i>Welfare Assistance</i>	2,582,631	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	832,379	885,100	885,100	885,100	885,100
<b>Functional Total</b>	<b>4,665,625</b>	<b>4,719,040</b>	<b>4,719,040</b>	<b>4,719,040</b>	<b>4,719,040</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	106,566	104,579	104,579	101,842	101,842
<i>OASAS</i>	106,566	104,579	104,579	101,842	101,842
Mental Health, Office of	36,515	40,930	40,930	40,930	40,930
<i>OMH</i>	36,515	40,930	40,930	40,930	40,930
People with Developmental Disabilities, Office for	8,617	0	0	0	0
<i>OPWDD</i>	8,617	0	0	0	0
<b>Functional Total</b>	<b>151,698</b>	<b>145,509</b>	<b>145,509</b>	<b>142,772</b>	<b>142,772</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	0	0	0	0	0
Criminal Justice Services, Division of	29,756	18,800	18,800	18,800	18,800
Disaster Assistance	0	5,135,000	2,481,000	1,015,000	500,000
Homeland Security and Emergency Services, Division of	1,353,872	258,771	258,771	258,771	378,771
Military and Naval Affairs, Division of	0	0	0	0	0
Victim Services, Office of	32,005	30,128	30,128	30,128	30,128
<b>Functional Total</b>	<b>1,415,633</b>	<b>5,442,699</b>	<b>2,788,699</b>	<b>1,322,699</b>	<b>927,699</b>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	216	0	0	0	0
State University of New York	1,466	7,941	7,941	7,941	7,941
<b>Functional Total</b>	<b>1,682</b>	<b>7,941</b>	<b>7,941</b>	<b>7,941</b>	<b>7,941</b>
<b>EDUCATION</b>					
Arts, Council on the	0	420	420	420	420
Education, Department of	3,232,218	3,811,905	3,912,605	3,981,640	3,945,900
<i>School Aid</i>	2,455,030	2,867,800	2,948,300	3,021,200	3,042,900
<i>Special Education Categorical Programs</i>	656,988	789,300	805,300	821,700	829,920
<i>All Other</i>	120,200	154,805	159,005	138,740	73,080
<b>Functional Total</b>	<b>3,232,218</b>	<b>3,812,325</b>	<b>3,913,025</b>	<b>3,982,060</b>	<b>3,946,320</b>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	1,424	1,500	100	0	0
General Services, Office of	0	250	250	250	250

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
State, Department of	32,302	41,546	69,368	55,457	55,457
<b>Functional Total</b>	<u>33,726</u>	<u>43,296</u>	<u>69,718</u>	<u>55,707</u>	<u>55,707</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(256,467)	(973,000)	(981,000)	(981,000)	(981,000)
<b>Functional Total</b>	<u>(256,467)</u>	<u>(973,000)</u>	<u>(981,000)</u>	<u>(981,000)</u>	<u>(981,000)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u><u>34,975,853</u></u>	<u><u>40,276,307</u></u>	<u><u>41,348,565</u></u>	<u><u>42,701,828</u></u>	<u><u>45,641,952</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	2,995	3,004	3,034	3,064	3,094
Financial Services, Department of	277	0	0	0	0
Public Service Department	1,710	1,660	1,230	1,230	1,230
<b>Functional Total</b>	<u>4,982</u>	<u>4,664</u>	<u>4,264</u>	<u>4,294</u>	<u>4,324</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	26,455	26,232	26,673	27,118	27,113
Parks, Recreation and Historic Preservation, Office of	1,237	1,015	1,037	1,053	1,053
<b>Functional Total</b>	<u>27,692</u>	<u>27,247</u>	<u>27,710</u>	<u>28,171</u>	<u>28,166</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	1,046	2,110	2,168	2,275	2,314
Transportation, Department of	4,188	5,152	5,264	5,387	5,387
<b>Functional Total</b>	<u>5,234</u>	<u>7,262</u>	<u>7,432</u>	<u>7,662</u>	<u>7,701</u>
<b>HEALTH</b>					
Aging, Office for the	5,747	7,061	7,320	7,502	7,470
Health, Department of	47,821	64,687	74,238	91,998	103,466
<i>Public Health</i>	47,821	64,687	74,238	91,998	103,466
Medicaid Inspector General, Office of the	18,140	18,604	19,516	19,980	19,982
<b>Functional Total</b>	<u>71,708</u>	<u>90,352</u>	<u>101,074</u>	<u>119,480</u>	<u>130,918</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	25,191	27,565	28,376	28,984	28,984
<i>OCFS</i>	25,191	27,565	28,376	28,984	28,984
Housing and Community Renewal, Division of	7,532	7,714	7,916	8,208	8,290
Human Rights, Division of	2,490	2,920	3,012	3,110	3,110
Labor, Department of	218,292	212,836	216,878	221,674	221,527
National and Community Service	341	358	362	362	362
Prevention of Domestic Violence, Office for	48	0	3	8	8
Temporary and Disability Assistance, Office of	73,474	74,877	77,327	80,019	80,820
<i>All Other</i>	73,474	74,877	77,327	80,019	80,820
<b>Functional Total</b>	<u>327,368</u>	<u>326,270</u>	<u>333,874</u>	<u>342,365</u>	<u>343,101</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	4,637	5,525	5,076	5,127	5,179
<i>OASAS</i>	4,637	5,525	5,076	5,127	5,179
Developmental Disabilities Planning Council	818	1,211	1,230	1,253	1,253
Justice Center	0	145	145	145	145
Mental Health, Office of	879	584	584	584	584
<i>OMH</i>	879	584	584	584	584
People with Developmental Disabilities, Office for	0	116	116	116	116
<i>OPWDD</i>	0	116	116	116	116
Quality of Care and Advocacy for Persons With Disabilities, Commission on	620	48	61	69	77
<b>Functional Total</b>	<u>6,954</u>	<u>7,629</u>	<u>7,212</u>	<u>7,294</u>	<u>7,354</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	6,764	22,198	17,043	16,712	16,712
Criminal Justice Services, Division of	10,008	5,253	5,397	5,560	5,602
Disaster Assistance	0	22,396	0	0	0
Homeland Security and Emergency Services, Division of	11,008	6,271	6,371	6,490	6,490
Military and Naval Affairs, Division of	21,456	11,957	11,957	11,957	11,957
State Police, Division of	6,657	5,150	5,200	5,200	5,200
Victim Services, Office of	1,023	1,515	1,578	1,657	1,658
<b>Functional Total</b>	<u>56,916</u>	<u>74,740</u>	<u>47,546</u>	<u>47,576</u>	<u>47,619</u>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	84	836	836	836	836
State University of New York	8,683	7,229	7,229	7,229	7,229
<b>Functional Total</b>	<u>8,767</u>	<u>8,065</u>	<u>8,065</u>	<u>8,065</u>	<u>8,065</u>
<b>EDUCATION</b>					
Education, Department of	77,813	82,875	84,597	87,703	84,486
<i>Special Education Categorical Programs</i>	9,672	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<i>All Other</i>	68,141	82,875	84,597	87,703	84,486
<b>Functional Total</b>	<u>77,813</u>	<u>82,875</u>	<u>84,597</u>	<u>87,703</u>	<u>84,486</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	0	0	0	0	0
State, Department of	2,945	3,561	3,663	3,731	3,731
Veterans' Affairs, Division of	330	767	782	796	796
<b>Functional Total</b>	<u>3,275</u>	<u>4,328</u>	<u>4,445</u>	<u>4,527</u>	<u>4,527</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	1,094	0	0	0	0
Law, Department of	17,303	19,689	20,027	20,396	20,549
<b>Functional Total</b>	<u>18,397</u>	<u>19,689</u>	<u>20,027</u>	<u>20,396</u>	<u>20,549</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>609,106</u>	<u>653,121</u>	<u>646,246</u>	<u>677,533</u>	<u>686,810</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	8,779	9,860	10,046	10,250	10,457
Economic Development, Department of	283	245	245	245	245
Financial Services, Department of	536	0	0	0	0
Public Service Department	51	65	193	193	259
<b>Functional Total</b>	<u>9,649</u>	<u>10,170</u>	<u>10,484</u>	<u>10,688</u>	<u>10,961</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	100	350	350	350	350
Environmental Conservation, Department of	17,398	16,756	16,756	16,756	16,756
Parks, Recreation and Historic Preservation, Office of	2,317	1,085	1,110	1,110	1,110
<b>Functional Total</b>	<u>19,815</u>	<u>18,191</u>	<u>18,216</u>	<u>18,216</u>	<u>18,216</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	3,707	3,485	3,556	3,632	3,704
Transportation, Department of	4,415	2,623	2,675	2,745	2,745
<b>Functional Total</b>	<u>8,122</u>	<u>6,108</u>	<u>6,231</u>	<u>6,377</u>	<u>6,449</u>
<b>HEALTH</b>					
Aging, Office for the	990	1,018	1,018	1,018	1,018
Health, Department of	160,850	164,674	164,705	164,737	164,739
<i>Medicaid Administration</i>	0	125,800	125,800	125,800	125,800
<i>Public Health</i>	160,850	38,874	38,905	38,937	38,939
Medicaid Inspector General, Office of the	5,239	10,923	11,296	11,322	11,322
<b>Functional Total</b>	<u>167,079</u>	<u>176,615</u>	<u>177,019</u>	<u>177,077</u>	<u>177,079</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	59,969	61,226	62,765	62,776	62,776
<i>OCFS</i>	59,969	61,226	62,765	62,776	62,776
Housing and Community Renewal, Division of	1,519	2,299	2,375	2,414	2,470
Human Rights, Division of	1,681	1,513	1,555	1,598	1,598
Labor, Department of	98,982	105,482	105,549	105,629	105,627
National and Community Service	17,163	13,646	13,860	13,860	13,860
Temporary and Disability Assistance, Office of	57,426	65,251	65,316	66,994	68,663
<i>All Other</i>	57,426	65,251	65,316	66,994	68,663
Workers' Compensation Board	5,371	3,624	3,624	3,624	3,624
<b>Functional Total</b>	<u>242,111</u>	<u>253,041</u>	<u>255,044</u>	<u>256,895</u>	<u>258,618</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	689	1,568	1,627	1,661	1,710
<i>OASAS</i>	689	1,568	1,627	1,661	1,710
Developmental Disabilities Planning Council	1,872	2,386	2,278	2,229	2,201
Justice Center	0	257	257	257	257
Mental Health, Office of	467	153	153	153	153
<i>OMH</i>	467	153	153	153	153
People with Developmental Disabilities, Office for	126	14,361	14,361	14,361	14,361
<i>OPWDD</i>	126	14,361	14,361	14,361	14,361
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,084	6,763	6,975	7,208	7,191
<b>Functional Total</b>	<u>8,238</u>	<u>25,488</u>	<u>25,651</u>	<u>25,869</u>	<u>25,873</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	19,094	1,277	1,311	1,311	1,311
Criminal Justice Services, Division of	9,949	6,611	6,615	6,618	6,749
Disaster Assistance	0	87,751	0	0	0
Homeland Security and Emergency Services, Division of	9,294	6,812	6,812	6,812	6,812
Military and Naval Affairs, Division of	11,876	22,106	22,591	22,503	22,290
State Police, Division of	10,193	2,500	2,500	2,500	2,500
Victim Services, Office of	446	502	502	502	512
<b>Functional Total</b>	<u>60,852</u>	<u>127,559</u>	<u>40,331</u>	<u>40,246</u>	<u>40,174</u>
<b>HIGHER EDUCATION</b>					
City University of New York	278	0	0	0	0
Higher Education Services Corporation, New York State	5,703	5,797	5,797	5,797	5,797
State University of New York	281,197	227,631	227,631	227,631	227,631
<b>Functional Total</b>	<u>287,178</u>	<u>233,428</u>	<u>233,428</u>	<u>233,428</u>	<u>233,428</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2013 Results</u>	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>EDUCATION</b>					
Arts, Council on the	0	100	100	100	100
Education, Department of	64,639	173,064	173,381	150,117	62,617
<i>Special Education Categorical Programs</i>	2,753	0	0	0	0
<i>All Other</i>	61,886	173,064	173,381	150,117	62,617
<b>Functional Total</b>	<u>64,639</u>	<u>173,164</u>	<u>173,481</u>	<u>150,217</u>	<u>62,717</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	4,762	22,000	3,000	0	0
General Services, Office of	5,057	4,987	4,987	4,987	4,987
State, Department of	674	3,986	4,083	4,098	4,098
Taxation and Finance, Department of	426	1,215	1,220	1,220	1,220
Veterans' Affairs, Division of	120	565	578	592	592
<b>Functional Total</b>	<u>11,039</u>	<u>32,753</u>	<u>13,868</u>	<u>10,897</u>	<u>10,897</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	4,727	6,500	6,500	6,500	6,500
Law, Department of	6,781	8,463	8,549	8,836	9,003
<b>Functional Total</b>	<u>11,508</u>	<u>14,963</u>	<u>15,049</u>	<u>15,336</u>	<u>15,503</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u>890,230</u>	<u>1,071,480</u>	<u>968,802</u>	<u>945,246</u>	<u>859,915</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	1,155	1,764	1,690	1,783	1,856
Financial Services, Department of	83	0	0	0	0
Public Service Department	642	629	685	716	738
<b>Functional Total</b>	<b>1,880</b>	<b>2,393</b>	<b>2,375</b>	<b>2,499</b>	<b>2,594</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	10,507	10,141	10,392	10,671	10,668
Parks, Recreation and Historic Preservation, Office of	0	511	621	630	630
<b>Functional Total</b>	<b>10,507</b>	<b>10,652</b>	<b>11,013</b>	<b>11,301</b>	<b>11,298</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	170	1,240	1,208	1,324	1,387
Transportation, Department of	1,976	3,033	2,970	3,169	3,169
<b>Functional Total</b>	<b>2,146</b>	<b>4,273</b>	<b>4,178</b>	<b>4,493</b>	<b>4,556</b>
<b>HEALTH</b>					
Aging, Office for the	0	235	235	235	235
Health, Department of	7,857	26,174	26,742	27,816	28,351
<i>Public Health</i>	7,857	26,174	26,742	27,816	28,351
Medicaid Inspector General, Office of the	8,911	11,063	11,277	11,575	11,585
<b>Functional Total</b>	<b>16,768</b>	<b>37,472</b>	<b>38,254</b>	<b>39,626</b>	<b>40,171</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	11,262	8,931	9,236	9,608	9,608
<i>OCFS</i>	11,262	8,931	9,236	9,608	9,608
Housing and Community Renewal, Division of	3,336	4,611	4,873	4,820	4,820
Human Rights, Division of	0	2,075	2,203	2,330	2,330
Labor, Department of	106,214	124,434	120,608	128,967	132,750
Temporary and Disability Assistance, Office of	40,498	44,463	44,973	44,973	44,973
<i>All Other</i>	40,498	44,463	44,973	44,973	44,973
<b>Functional Total</b>	<b>161,310</b>	<b>184,514</b>	<b>181,893</b>	<b>190,698</b>	<b>194,481</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	0	2,367	2,844	2,854	2,988
<i>OASAS</i>	0	2,367	2,844	2,854	2,988
Developmental Disabilities Planning Council	391	604	692	718	746
Justice Center	0	82	82	82	82
Mental Health, Office of	352	343	326	340	349
<i>OMH</i>	352	343	326	340	349
People with Developmental Disabilities, Office for	0	74	74	74	74
<i>OPWDD</i>	0	74	74	74	74
Quality of Care and Advocacy for Persons With Disabilities, Commission on	422	27	34	41	50
<b>Functional Total</b>	<b>1,165</b>	<b>3,497</b>	<b>4,052</b>	<b>4,109</b>	<b>4,289</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	661	1,431	1,433	1,433	1,415
Criminal Justice Services, Division of	372	3,086	1,622	3,235	3,360
Homeland Security and Emergency Services, Division of	2,198	2,916	2,916	2,916	2,916
Military and Naval Affairs, Division of	2	7,025	6,660	6,959	7,172
State Police, Division of	402	0	0	0	0
Victim Services, Office of	0	354	354	372	372
<b>Functional Total</b>	<b>3,635</b>	<b>14,812</b>	<b>12,985</b>	<b>14,915</b>	<b>15,235</b>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	60	419	419	419	419
State University of New York	148	51	51	51	51
<b>Functional Total</b>	<b>208</b>	<b>470</b>	<b>470</b>	<b>470</b>	<b>470</b>
<b>EDUCATION</b>					
Education, Department of	32,485	45,608	48,921	50,417	49,907
<i>Special Education Categorical Programs</i>	4,515	0	0	0	0
<i>All Other</i>	27,970	45,608	48,921	50,417	49,907
<b>Functional Total</b>	<b>32,485</b>	<b>45,608</b>	<b>48,921</b>	<b>50,417</b>	<b>49,907</b>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
State, Department of	1,433	2,652	2,577	2,709	2,792
Taxation and Finance, Department of	0	0	0	0	0
Veterans' Affairs, Division of	153	350	350	350	350
<b>Functional Total</b>	<b>1,586</b>	<b>3,002</b>	<b>2,927</b>	<b>3,059</b>	<b>3,142</b>
<b>ELECTED OFFICIALS</b>					
Judiciary	46	0	0	0	0
Law, Department of	7,606	11,567	11,154	11,870	12,325
<b>Functional Total</b>	<b>7,652</b>	<b>11,567</b>	<b>11,154</b>	<b>11,870</b>	<b>12,325</b>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<b>239,342</b>	<b>318,260</b>	<b>318,222</b>	<b>333,457</b>	<b>338,468</b>

**General Fund Transfers From Other Funds**  
(thousands of dollars)

Sending Agency	SFS Fund	Account Name	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>RBTF - Dedicated PIT in excess of Debt Service</b>			<b>8,327,795</b>	<b>8,839,965</b>	<b>9,123,531</b>	<b>9,572,160</b>	<b>10,065,770</b>
<b>LGAC / STBF - Dedicated Sales Tax in excess of Debt Service</b>			<b>2,415,888</b>	<b>5,439,649</b>	<b>5,602,333</b>	<b>5,783,570</b>	<b>5,881,019</b>
<b>CWCA - Real Estate Transfer Tax in excess of Debt Service</b>			<b>541,014</b>	<b>531,650</b>	<b>607,674</b>	<b>683,477</b>	<b>738,900</b>
<b>Total All Other Transfers</b>			<b>648,562</b>	<b>866,225</b>	<b>763,973</b>	<b>718,981</b>	<b>722,235</b>
ABO	339.22138	Authority Budget Office	39	39	39	39	39
AG&MKTS	261.25000	Fed USDA/FNS	631	450	450	450	450
AG&MKTS	265.25100	Federal HHS	-	50	50	50	50
AG&MKTS	339.22020	Commercial Feed Lics	6	-	-	-	-
AG&MKTS	339.22149	Motor Fuel Quality	-	200	200	200	200
AG&MKTS	339.22150	Weights Measure	30	30	30	30	30
AG&MKTS	339.219XX	A&M-Aggregated	677	139	139	139	139
CIV SVC	339.22065	Examination & Miscellaneous Revenue	1,566	1,566	1,566	1,566	1,566
CQCAPD	020.20162	Disab Tech Asst	130	-	-	-	-
CQCAPD	339.22056	Federal Salary Sharing	62	62	62	62	62
DCJS	339.21945	Criminal Justice Improvement	20,235	8,377	8,179	7,874	7,859
DFS	339.21994	Insurance Dept	-	1,200	-	-	-
DMV	339.21976	Motorcycle Safety	6	6	6	6	6
DMV	339.22087	DMV-Compulsory Insurance Fund	4,500	15,368	15,368	15,368	15,368
DMV	339.22094	Accid Prevent Course	606	606	606	606	606
DOB	339.22024	Revenue Arrearage	22,554	22,554	22,554	22,554	22,554
DOB	339.22073	Federal Liability	50	-	-	-	-
DOB	339.22162	Systems & Technology	833	833	833	833	833
DOCCS	059.20751	Alcohol & Substance Abuse	2	-	-	-	-
DOH	265.25100	Federal HHS	-	-	-	-	-
DOH	339.21902	Statewide Planning & Research	885	885	885	885	885
DOH	339.21915	Quality Care	-	96,656	64,563	64,563	64,563
DOH	339.21920	Certificate of Need	1,086	1,086	1,086	1,086	1,086
DOH	339.21922	Retir Community	2	2	2	2	2
DOH	339.21965	Radiological Health Protection	216	216	216	216	216
DOH	339.21993	Radon Detct Dev	2	2	2	2	2
DOH	339.22075	Funeral Directing Program	8	8	8	8	8
DOH	339.22091	Adlt Hme Qlty Enhance	21	21	21	21	21
DOH	339.22097	Local Public Health	5	5	5	5	5
DOH	339.22101	EPIC Premium Ac	2,000	-	-	-	-
DOH	339.22103	Vital Records Mgmt	2,252	2,252	2,252	2,252	2,252
DOH	339.22110	Asst Living Res Quality	9	9	9	9	9
DOH	339.22131	Medicaid Inquiry	1	-	-	-	-
DOH	339.22139	Patient Safety	73	73	73	73	73
DOT	225.23651	Mobility Tax Tr	5,363	-	-	-	-
DOT	225.23652	MTA Aid Trust	223	-	-	-	-
DOT	339.21933	Transportation Surplus Property	803	803	803	803	803
DSP	354.22802	Motor Vehicle Enforcement	66,797	100,800	100,800	100,800	100,800
ECON DEV	339.22042	DED Marketing	131	131	131	131	131
ENCON	301.21053	Waste Tire Mgt/Recycle	5,946	5,946	-	-	-
ENCON	301.21054	Oil & Gas Account	-	48	48	48	48
ENCON	301.21060	Indirect Charge	523	523	523	523	523
ENCON	301.21080	Encon Magazine	131	131	131	131	131
ENCON	301.21084	Mined Land Reclamation	1,300	1,300	1,300	1,300	1,300
ENCON	303.21203	Oil Spill - DEC	-	574	574	574	574
ENCON	312.31500	Hazardous Waste	24,965	28,750	28,750	28,750	28,750
ENCON	355.22851	Great Lakes Protection	60	60	60	60	60
ENCON	078.304CC	Environmental Protection Fund	-	15,000	-	-	-
HSES	290.25300	Fed Oper Grant	-	10,000	2,000	-	-
HSES	339.21944	Radiology	1,350	1,350	1,350	1,350	1,350
HSES	339.22123	Public Safety Communications Fund	20,000	20,000	-	-	-
HUDRVRPK	080.30550	Hudson River Park Fund	88	-	-	-	-
ILS	390.23551	Indigent Legal	3,000	11,000	-	-	-
LABOR	339.21923	DOL Fee Penalty	10,572	8,372	8,372	8,372	8,372
LABOR	339.21998	Public Work Enforcement Fund	1,500	-	-	-	-
LABOR	482.23601	UI Special Interest & Penalty	6,500	3,211	3,211	3,211	3,211
OASAS	339.22060	Credentiailling Services	94	-	-	-	-
OASAS	346.22700	Substance Abuse Services	1,000	-	-	-	-
OCFS	265.25100	Federal HHS	28,073	12,670	12,670	12,670	12,670
OCFS	267.25200	Fed Education	427	900	900	900	900
OCFS	307.21351	Equipment Loan Fund	7	7	7	7	7
OCFS	339.21961	Training, Mgmt & Evaluation Fund	488	-	-	-	-
OCFS	339.22028	Central Registry	1,822	4,822	4,822	4,822	4,822
OCFS	339.22108	Hwy Rev/Soc Sec Admin	50	-	-	-	-
OCFS	339.22186	Youth Facility Per Diem Fund	75,479	87,452	121,672	111,534	111,534
OER	339.22002	Training Materials & Register Fees	-	58	-	-	-
OGS	339.219YL	OGS Bldg Admin	1,000	1,000	31,000	1,000	1,000
OGS	339.219YN	OGS Standards & Purchase Acct	3,000	3,000	3,000	3,000	3,000

**General Fund Transfers From Other Funds**  
(thousands of dollars)

<b>Sending Agency</b>	<b>SFS Fund</b>	<b>Account Name</b>	<b>FY 2013 Results</b>	<b>FY 2014 Enacted</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>	<b>FY 2017 Projected</b>
OMIG	265.25100	Federal HHS	-	3,700	3,700	3,700	3,700
OTDA	261.25000	Fed USDA/FNS	46,371	30,100	30,100	30,100	30,100
OTDA	265.25100	Federal HHS	142,230	164,800	102,800	102,800	102,800
OTDA	290.25300	Fed Oper Grant	8	-	-	-	-
PARKS	339.21930	I Love NY Water	64	64	64	64	64
PARKS	339.22163	Parks & Recreation Patron Services	-	-	709	903	903
PUB SVC	339.22093	Consumer Owned Coin Operated Telephone	3	-	-	-	-
PUB SVC	339.22172	Underground Facilities Safety Training	175	175	175	175	175
RACING	339.22003	Bell Jar Collection	-	202	202	202	202
SED OTH	050.20451	Tuition Reimbursement Fund	23	23	23	23	23
SED OTH	050.20452	Proprietary Vocational School Supervision Fund	297	297	297	297	297
SED OTH	052.20501	Local Government Records Mgmt Improvement	782	782	782	782	782
SED OTH	054.20601	Charter Schools Stimulus Fund	666	-	-	-	-
SED OTH	339.21969	Teacher Certification	861	861	861	861	861
SED OTH	339.22051	Professional Education Services	2,777	2,777	2,777	2,777	2,777
SED OTH	339.22166	Teacher Education Accreditation	21	-	-	-	-
SED OTH	365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
SPEC REV	339.21900	Reserve for Transaction Risks	-	(105,046)	(105,009)	(109,989)	(110,007)
SPEC REV	339.21900	Fund Sweeps	-	57,200	59,800	60,100	59,200
STATE	339.21904	Fire Prev/Code	14,360	14,810	14,810	14,810	14,810
STATE	339.21921	Lobbying Enforcement	2	-	-	-	-
STATE	339.21977	Business Licenses	40,574	32,612	29,437	31,045	33,259
STATE	339.21996	Fire Protection	13	13	13	13	13
STATE	339.22021	Reg Manufactured Housing	100	100	100	100	100
STATE	339.22044	Tug Hill Admin	10	10	10	10	10
SUNY	345.22653	SUNY - Income Fund Revenues	38,000	26,000	33,362	38,843	44,422
SUNY	345.22656	SUNY Hospitals Debt Service	41,546	60,832	47,322	42,170	38,564
TAX	339.22004	Ind & Util Serv	441	441	441	441	441
TAX	339.22078	Local Services	26	26	26	26	26
TSCR	339.22169	Tribal - State Compact	1	104,811	99,811	99,811	99,811
<b>TOTAL TRANSFERS FROM OTHER FUNDS</b>			<b>11,933,259</b>	<b>15,677,489</b>	<b>16,097,511</b>	<b>16,758,188</b>	<b>17,407,924</b>

**General Fund Transfers To Other Funds**  
(thousands of dollars)

Receiving Agency	SFS Fund	Account Name	FY 2013 Results	FY 2014 Enacted	FY 2015 Projected	FY 2016 Projected	FY 2017 Projected
<b>Transfers to State Share Medicaid</b>			<b>2,846,027</b>	<b>1,813,142</b>	<b>1,338,392</b>	<b>1,311,497</b>	<b>1,278,964</b>
<b>Transfers to Debt Service Funds</b>			<b>1,646,591</b>	<b>1,327,886</b>	<b>1,483,025</b>	<b>1,452,496</b>	<b>1,344,505</b>
<b>Transfers to Capital Projects Funds</b>			<b>916,019</b>	<b>1,227,115</b>	<b>1,383,813</b>	<b>1,400,013</b>	<b>1,798,554</b>
<b>Transfers to SUNY University Operations</b>			<b>340,350</b>	<b>970,708</b>	<b>971,259</b>	<b>971,259</b>	<b>971,259</b>
<b>Total All Other Transfers</b>			<b>1,043,749</b>	<b>3,042,137</b>	<b>4,003,311</b>	<b>4,547,551</b>	<b>4,853,775</b>
ABC	339.22033	Alcohol Beverage Control	16,873	18,151	19,851	19,851	19,851
CIV SVC	396.55300	Health Insurance Internal Service	7,561	7,843	7,843	7,843	7,843
CIV SVC	396.55301	Employee Benefit Division Administration	801	240	240	240	240
DCJS	339.22015	Financial Crimes Revenue Fund	16,000	16,000	16,000	16,000	16,000
DHCR	316.40250	Housing Debt	2,629	1,000	1,000	1,000	1,000
DMNA	339.22171	Recruitment Incentive & Retention	2,087	2,087	2,087	2,087	2,087
DOCCS	397.55350	Correctional Industries	9,500	9,500	9,500	9,500	9,500
DOH	020.20143	Alzheimers Disease Research & Assistance	280	250	250	250	250
DOH	020.20155	Breast Cancer Research & Education	528	650	650	650	650
DOH	020.20183	Prostate Cancer Research, Detection & Education	212	150	150	150	150
DOH	319.40300	DOH Income Fund	18,649	16,079	16,079	16,079	16,079
DOH	339.22147	Quality of Care	2,500	-	-	-	-
DOT	225.23651	Mobility Tax Trust Fund	277,136	331,690	333,750	333,750	333,750
DOT	313.21401	Public Transportation Systems	12,000	12,000	12,000	12,000	12,000
DOT	313.21402	Metropolitan Mass Transportation	38,048	36,500	36,500	36,500	36,500
ENCON	301.21081	Environment Enforcement	261	-	-	-	-
ENCON	302.21150	Conservation	180	-	-	-	-
FPADJ	020.20100	Combined Expendable Trust	-	100,000	100,000	100,000	100,000
ILS	390.23551	Indigent Legal Services	34,471	40,000	40,000	40,000	40,000
ITS	334.55069	Centralized Technology Services	14,000	40,000	14,000	6,000	10,000
JUDICIAR	340.22501	Court Facilities Incentive Aid Fund	111,559	107,000	108,100	109,000	109,000
JUDICIAR	368.23151	NYC County Clerk Operations Offset Fund	8,633	4,900	8,700	8,800	8,800
OCFS	020.20128	WB Hoyt Memorial	-	622	622	622	622
OPWDD	339.21907	Mental Hygiene Program Fund	-	1,191,462	1,855,114	2,104,002	2,286,745
OPWDD	339.21909	Mental Hygiene Patient Income Fund	-	647,790	982,691	1,296,416	1,401,646
OSC	339.22084	Federal Seized Assets	12	-	-	-	-
SCI	339.22161	Empire State Stem Cell Trust	-	12,073	11,373	-	-
SED	160.20901	Education Offsets - Lottery	40,000	10,000	-	-	-
SED OTH	054.20601	Charter Schools Stimulus Fund	4,837	4,837	4,837	4,837	4,837
SED OTH	339.22032	Batavia School for the Blind Fund	900	900	900	900	900
SED OTH	339.22053	Rome School for the Deaf Fund	900	900	900	900	900
SFS	339.22074	FMS Account	48,000	52,600	55,200	55,200	55,200
SUNY	345.22653	SUNY - Income Fund Revenues	-	-	-	-	14,251
SUNY	345.22654	SUNY - Income Offset Loan Repayment	8,318	8,318	8,318	8,318	8,318
SUNY	345.22656	SUNY - Disproportionate Share	209,361	228,175	228,175	228,175	228,175
SUNY	345.22656	SUNY - Hospital Operations	80,817	66,939	60,000	60,000	60,000
SUNY	345.22657	SUNY - Stabilization Fund	15,828	-	-	-	-
TAX	334.55057	Banking Service	60,868	65,481	65,481	65,481	65,481
TAX	339.22168	Tax Revenue Arrearage	-	3,000	3,000	3,000	3,000
TSCR	339.22169	Tribal - State Compact	-	5,000	-	-	-
<b>TOTAL TRANSFERS TO OTHER FUNDS</b>			<b>6,792,736</b>	<b>8,380,988</b>	<b>9,179,800</b>	<b>9,682,816</b>	<b>10,247,057</b>

**FY 2013 SPECIAL REVENUE FUND BALANCE SWEEPS**  
**PURSUANT TO \$500 MILLION AUTHORIZATION**  
(In dollars)

<u>Sending Fund</u>	<u>Receiving Fund</u>	<u>Transfer Amount</u>
345.22653	S U Genl IFR	16,000,000
301.21053	Waste Tire Management & Recycling	5,946,000
339.22019	Procurement Revenue Fund	3,000,000
339.22051	Office of the Professions	2,777,000
339.22103	Vital Records Mgmt	2,252,000
339.21084	Mined Land Recl	1,300,000
339.21923	DOL Fee Penalty	1,238,000
339.21920	Cert of Need	1,086,000
339.21902	S P A R C S	885,000
339.21969	Teacher Certif	861,000
339.22162	Systems & Tech	833,000
339.21933	Transportation Surplus Property	803,000
052.20501	Local Govt Records Management	782,000
301.21060	Indirect Charge	523,000
339.22004	Ind & Util Serv	441,000
050.20452	Proprietary Voc School Supervision	297,000
339.21965	Radio Hlth Prot	216,000
301.21080	Encon Magazine	131,000
339.22042	DED Marketing	131,000
339.22060	Credential Srvs	93,907
339.22139	Patient Safety	73,000
339.21930	I Love NY Water	64,000
339.22056	Fed Salary Shar	62,000
355.22851	Great Lakes Pro	60,000
339.22073	CMIA Federal Liability Acct	49,787
339.22138	Auth Bdgt Office	39,000
365.23051	Vocatl Rehabil	32,000
339.22150	Weights Measure	30,000
339.22078	Local Services	26,000
050.20451	Tuition Reimb	23,000
339.22091	Adlt Hme Qlty Enhance	21,000
339.22166	Teacher Education Accreditation	21,000
339.21996	Fire Protection	13,000
339.22044	Tug Hill Admin	10,000
339.22110	Asst Living Res	9,000
339.22075	Funeral	8,000
339.22097	Local Public Health Services	5,000
339.21922	Retir Community	2,000
339.21993	Radon Detct Dev	2,000
	<b>Total Transfers</b>	<b>40,145,694</b>

**CASH COMBINING STATEMENT**  
**GENERAL FUND**  
**FY 2014**  
(millions of dollars)

	General Fund	Tax Stabilization Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Refund Reserve	Eliminations	Total
<b>Opening fund balance</b>	1	1,131	21	93	175	189	0	1,610
<b>Receipts:</b>								
Taxes	42,480	0	0	0	0	0	0	42,480
Miscellaneous receipts	3,096	0	0	0	0	0	0	3,096
Federal grants	2	0	0	0	0	0	0	2
<b>Total receipts</b>	45,578	0	0	0	0	0	0	45,578
<b>Disbursements:</b>								
Grants to local governments	40,233	0	0	25	0	0	0	40,258
State operations	7,564	0	0	0	0	0	0	7,564
General State charges	4,953	0	0	0	0	0	0	4,953
Debt service	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	52,750	0	0	25	0	0	0	52,775
<b>Other financing sources (uses):</b>								
Transfers from other funds	46,091	0	0	0	0	298	(30,711)	15,678
Transfers to other funds	(38,920)	0	0	0	0	(173)	30,711	(8,382)
Bond and note proceeds	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	7,171	0	0	0	0	125	0	7,296
<b>Change in fund balance</b>	(1)	0	0	(25)	0	125	0	99
<b>Closing fund balance</b>	0	1,131	21	68	175	314	0	1,709

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2014  
(thousands of dollars)**

	019	020	023	024	025	050	052	053	054	059	061	073	160
<b>Opening Fund Balance</b>	2,197	64,130	6,997	115	43	6,017	3,542	0	5,253	(1)	17,993	72,066	98,853
<b>Receipts:</b>													
Taxes	0	0	0	0	0	0	0	3,419,375	0	0	1,060,000	493,100	0
Miscellaneous Receipts	142	(79,829)	9,000	290	65	3,757	9,233	0	0	0	4,549,600	190,167	3,279,876
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	142	(79,829)	9,000	290	65	3,757	9,233	3,419,375	0	0	5,609,600	683,267	3,279,876
<b>Disbursements:</b>													
Grants to Local Governments	0	5,267	7,000	0	0	0	5,056	3,419,375	4,837	0	5,223,805	685,939	3,181,800
State Operations	144	5,151	1,252	420	238	2,349	2,118	0	0	0	54,046	0	147,197
General State Charges	0	1,035	417	171	125	798	978	0	0	0	3,849	0	13,879
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	5,000	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	144	16,453	8,669	591	363	3,147	8,152	3,419,375	4,837	0	5,281,700	685,939	3,342,876
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	103,172	0	300	300	0	0	0	4,837	0	0	0	50,000
Transfers to Other Funds	0	0	0	(7)	0	(562)	(1,383)	0	0	0	(345,893)	0	(40,000)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	103,172	0	293	300	(562)	(1,383)	0	4,837	0	(345,893)	0	10,000
<b>Change in Fund Balance</b>	(2)	6,890	331	(8)	2	48	(302)	0	0	0	(17,993)	(2,672)	(53,000)
<b>Closing Fund Balance</b>	2,195	71,020	7,328	107	45	6,065	3,240	0	5,253	(1)	0	69,394	45,853
<b>Opening Fund Balance</b>	16,737	135,371	(3,121)	(44,207)	1,292	0	(3,102)	1,750	(32,029)	90,757	10,350	1,882	8,711
<b>Receipts:</b>													
Taxes	0	1,378,000	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	23,042	190,690	98,900	49,479	2,755	0	(23,281)	3,700	76,098	53,644	48,821	43,109	13,000
Federal Grants	650	0	2,015,744	33,867,390	3,428,822	1,517	5,889,434	0	0	0	0	0	0
<b>Total Receipts</b>	23,692	1,568,690	2,114,644	33,916,869	3,431,577	1,517	5,866,153	3,700	76,098	53,644	48,821	43,109	13,000
<b>Disbursements:</b>													
Grants to Local Governments	0	1,908,733	2,008,550	30,413,507	2,840,251	350	5,463,615	0	0	0	0	0	0
State Operations	22,095	0	63,998	470,771	533,270	1,079	337,347	3,607	71,289	39,295	24,784	29,971	12,700
General State Charges	0	0	10,655	96,477	46,504	88	40,135	0	20,199	13,827	4,407	11,188	200
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	22,095	1,908,733	2,083,203	30,980,755	3,420,025	1,517	5,841,097	3,607	91,488	53,122	29,191	41,159	12,900
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	331,690	0	0	0	0	500	0	21,107	75	20,306	0	0
Transfers to Other Funds	0	0	(31,441)	(2,936,114)	(11,552)	0	(25,556)	(78)	(13,762)	(1,838)	(37,532)	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	331,690	(31,441)	(2,936,114)	(11,552)	0	(25,056)	(78)	7,345	(1,763)	(17,226)	0	0
<b>Change in Fund Balance</b>	1,597	(8,353)	0	0	0	0	0	15	(8,045)	(1,241)	2,404	1,950	100
<b>Closing Fund Balance</b>	18,334	127,018	(3,121)	(44,207)	1,292	0	(3,102)	1,765	(40,074)	89,516	12,754	3,832	8,811

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2014  
(thousands of dollars)**

	<u>307</u>	<u>313</u>	<u>314</u>	<u>318</u>	<u>321</u>	<u>332</u>	<u>333</u>	<u>338</u>	<u>339</u>	<u>340</u>	<u>341</u>	<u>345</u>	<u>346</u>
<b>Opening Fund Balance</b>	524	212,813	(16,899)	76	10,338	3,488	1,181	800	876,793	1,603	49	622,388	5,049
<b>Receipts:</b>													
Taxes	0	1,997,000	0	0	0	0	0	0	9	0	0	0	0
Miscellaneous Receipts	63	21,400	40,500	0	1,719	115	75	60	2,511,717	0	0	4,266,556	7,565
Federal Grants	0	0	0	0	0	0	0	0	89	0	0	0	0
<b>Total Receipts</b>	63	2,018,400	40,500	0	1,719	115	75	60	2,511,815	0	0	4,266,556	7,565
<b>Disbursements:</b>													
Grants to Local Governments	0	2,053,073	0	0	0	0	0	98	2,628,823	104,200	0	0	6,970
State Operations	79	3,610	30,764	0	950	58	1,255	0	4,289,874	1,700	0	5,282,434	557
General State Charges	0	1,600	13,082	0	0	0	0	0	1,625,551	700	0	395,111	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	(15)	0	0	0	0
<b>Total Disbursements</b>	79	2,058,283	43,846	0	950	58	1,255	98	8,544,233	106,600	0	5,677,545	7,527
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	48,500	0	0	0	0	0	0	8,222,269	107,000	0	1,555,140	0
Transfers to Other Funds	(7)	(20,000)	0	0	0	0	0	0	(2,431,157)	(183)	0	(86,375)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	(7)	28,500	0	0	0	0	0	0	5,791,112	106,817	0	1,468,765	0
<b>Change in Fund Balance</b>	(23)	(11,383)	(3,346)	0	769	57	(1,180)	(38)	(241,306)	217	0	57,776	38
<b>Closing Fund Balance</b>	501	201,430	(20,245)	76	11,107	3,545	1	762	635,487	1,820	49	680,164	5,087
<b>Opening Fund Balance</b>	<u>349</u>	<u>354</u>	<u>355</u>	<u>359</u>	<u>360</u>	<u>362</u>	<u>365</u>	<u>366</u>	<u>368</u>	<u>369</u>	<u>377</u>	<u>385</u>	<u>390</u>
	367	3,727	151	23	9,459	(3,577)	147	(4,413)	(45,985)	4,747	116,759	51	57,569
<b>Receipts:</b>													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1,208	114,600	160	3,709	900	3,068	100	6,788	46,500	24,000	107,961	85	73,000
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	1,208	114,600	160	3,709	900	3,068	100	6,788	46,500	24,000	107,961	85	73,000
<b>Disbursements:</b>													
Grants to Local Governments	0	4,537	0	0	852	0	20	0	0	0	0	0	65,400
State Operations	871	9,250	157	0	0	3,449	48	4,971	23,300	20,700	88,396	75	31,177
General State Charges	334	78	44	0	0	0	0	2,295	10,400	7,500	6,337	0	378
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	1,205	13,865	201	0	852	3,449	68	7,266	33,700	28,200	94,733	75	96,955
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	0	4,900	0	0	0	40,000
Transfers to Other Funds	0	(100,800)	(60)	0	0	0	(32)	0	0	0	0	0	(11,000)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	(100,800)	(60)	0	0	0	(32)	0	4,900	0	0	0	29,000
<b>Change in Fund Balance</b>	3	(65)	(101)	3,709	48	(381)	0	(478)	17,700	(4,200)	13,228	10	5,045
<b>Closing Fund Balance</b>	370	3,662	50	3,732	9,507	(3,958)	147	(4,891)	(28,285)	547	129,987	61	62,614

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2014  
(thousands of dollars)**

	<b>480</b>	<b>482</b>	<b>484</b>	<b>486</b>	<b>SRQ</b>	<b>SRF</b>	<b>Sub Total</b>	<b>Eliminations</b>	<b>Financial Plan</b>
<b>Opening Fund Balance</b>	52,796	4,131	2,319	(2,649)	3	0	2,372,925	0	2,372,925
<b>Receipts:</b>									
Taxes	0	0	0	0	0	0	8,347,484	0	8,347,484
Miscellaneous Receipts	58,000	9,600	0	0	0	0	15,841,707	0	15,841,707
Federal Grants	357,694	0	7,987	170,890	0	(602,000)	45,138,217	0	45,138,217
<b>Total Receipts</b>	<b>415,694</b>	<b>9,600</b>	<b>7,987</b>	<b>170,890</b>	<b>0</b>	<b>(602,000)</b>	<b>69,327,408</b>	<b>0</b>	<b>69,327,408</b>
<b>Disbursements:</b>									
Grants to Local Governments	10,000	0	0	142,034	0	(602,000)	59,582,092	0	59,582,092
State Operations	287,320	8,614	7,987	22,829	0	0	11,943,546	0	11,943,546
General State Charges	118,374	1,362	0	6,027	0	0	2,454,105	0	2,454,105
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	4,985	0	4,985
<b>Total Disbursements</b>	<b>415,694</b>	<b>9,976</b>	<b>7,987</b>	<b>170,890</b>	<b>0</b>	<b>(602,000)</b>	<b>73,984,728</b>	<b>0</b>	<b>73,984,728</b>
<b>Other Financing Sources (Uses):</b>									
Transfers from Other Funds	0	0	0	0	0	0	10,510,096	(2,907,848)	7,602,248
Transfers to Other Funds	0	(3,211)	0	0	0	0	(6,098,543)	2,907,848	(3,190,695)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>0</b>	<b>(3,211)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,410,553</b>	<b>0</b>	<b>4,410,553</b>
<b>Change in Fund Balance</b>	<b>0</b>	<b>(3,587)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(246,767)</b>	<b>0</b>	<b>(246,767)</b>
<b>Closing Fund Balance</b>	<b>52,796</b>	<b>544</b>	<b>2,319</b>	<b>(2,649)</b>	<b>3</b>	<b>0</b>	<b>2,126,158</b>	<b>0</b>	<b>2,126,158</b>

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2014  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.20000-Ment Hyg Gifts	2,195	0	142	0	0	0	142	0	0	144	0	0	0	0	0	0	144	2,193
020.020Z-HESC Comb Gifts	0	0	416	0	0	0	416	416	0	0	0	0	0	0	0	0	416	0
020.20100-Combined Exp Tr	(35)	0	(100,000)	0	0	100,000	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	1,281	0	350	0	0	0	350	0	211	47	7	0	95	0	0	0	360	1,271
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	51	0	0	0	51	0	0	46	0	0	0	0	0	0	46	56
020.20107-DOCS Gift & Don	64	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	64
020.20109-Helen Hayes Hsp	68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
020.20110-Oxford Donation	158	0	166	0	0	0	166	0	0	73	0	0	0	0	0	0	73	251
020.20111-Donat-St.Albanis	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.20112-CVB Gifts & Beq	54	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	51
020.20113-Donations-Batav	18	0	19	0	0	0	19	0	0	19	0	0	0	0	0	0	19	18
020.20114-Montrose Donati	140	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	152
020.20116-IBR Genetic Cou	35	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	35
020.20118-Tech Transfer	37	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	50	37
020.20120-Spec Events	475	0	412	0	0	0	412	0	0	266	0	0	0	0	0	0	266	621
020.20123-L.M. Josephthal	52	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	52
020.20124-RPMI Grnt & Beq	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-CBHV Vend Stand	868	0	814	0	0	0	814	0	38	448	0	0	727	0	0	0	1,213	469
020.20127-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	2,276	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	2,148
020.20129-CBHV Gift & Beq	213	0	5	0	0	0	5	0	0	15	0	0	0	0	0	0	15	203
020.20130-St.Transm Money	17,443	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	0	0	930	24,513
020.20142-Youth Grants &	272	0	387	0	0	0	387	0	41	370	0	0	17	0	0	0	428	231
020.20143-Alzheimers Dis	2,140	0	(100)	0	0	250	150	0	0	202	0	0	0	0	0	0	202	2,088
020.20144-Local Gov Comm	142	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	147
020.20147-Prostate/Testic	342	0	40	0	0	0	40	0	0	6	0	0	0	0	0	0	6	376
020.20149-Autism Aware &	42	0	10	0	0	0	10	0	0	20	0	0	0	0	0	0	20	32
020.20151-Batavia-Charlot	8,977	0	2,688	0	0	1,500	4,188	3,101	127	93	4	0	70	0	0	0	3,395	9,770
020.20152-Rome-Gifts And	3	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	336
020.20155-Br Can Res & Ed	8,474	0	40	0	0	650	690	0	0	913	0	0	0	0	0	0	913	8,251
020.20159-Community Relat	1	0	100	0	0	0	100	0	0	0	0	0	0	0	0	0	0	101
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	37	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	37
020.20166-Erie Canal Muse	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	714	0	200	0	0	0	200	0	0	0	0	0	0	0	0	0	0	914
020.20176-Misc. Gifts Acc	12,460	0	4,000	0	0	0	4,000	0	0	0	0	0	0	0	5,000	0	5,000	11,460
020.20178-Multiple Sclero	12	0	0	0	0	0	0	0	0	20	0	0	0	0	0	0	20	(8)
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	3,155	0	0	0	0	150	150	0	0	0	0	0	0	0	0	0	0	3,305
020.20185-Percy T Phillip	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40
020.20192-Missing Children	1,111	0	380	0	0	0	380	0	241	139	0	0	0	0	0	0	380	1,111
020.20184-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.20188-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201GW-CCF Grts & Beqs	117	0	107	0	0	0	107	0	13	79	1	0	15	0	0	0	108	116
020.201HH-OMH Grant & Beq	928	0	20	0	0	0	20	0	0	21	0	0	0	0	0	0	21	927
020.201MI-RPMI Schoellkpf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201PG-DCJS - MUNY Pol	1	0	25	0	0	0	25	0	0	25	0	0	0	0	0	0	25	1
020.201RP-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2014

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.201XK-Grants Account	865	0	1,500	0	0	0	1,500	1,000	200	300	6	0	111	0	0	0	1,617	748
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	228	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	528
020.201ZZ-Donated Funds	257	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	257
023.20300-N Y Int Lawyers	6,997	0	9,000	0	0	0	9,000	7,000	620	582	50	0	417	0	0	0	8,669	7,328
024.20350-NYS Archvs Phne	116	0	290	0	0	300	590	0	292	119	9	0	171	0	0	7	598	108
025.20401-Child Performer	44	0	65	0	0	300	365	0	227	7	4	0	125	0	0	0	363	46
050.20451-Tuition Reimb	2,710	0	705	0	0	0	705	0	0	225	0	0	0	0	0	23	248	3,167
050.20452-Prop Voc Sch Su	3,306	0	3,052	0	0	0	3,052	0	1,438	641	45	0	798	0	0	539	3,461	2,897
052.20501-Loc Govt Record	3,541	0	9,233	0	0	0	9,233	5,056	1,763	300	55	0	978	0	0	1,383	9,535	3,239
053.20550-Sch Tax Relief	(1)	3,419,375	0	0	0	0	3,419,375	3,419,375	0	0	0	0	0	0	0	0	3,419,375	(1)
054.20601-Chtr Sch Sti Ac	5,252	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	5,252
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	439	0	0	0	0	0	0	0	1,824	17	57	0	1,012	0	0	503	3,413	(2,974)
061.20802-Health Care Srv	430	0	0	0	0	0	0	28,991	0	0	0	0	0	0	0	0	28,991	(28,561)
061.20803-Medicaid Fraud	46	0	0	0	0	0	0	3,443,806	52	263	2	0	29	0	0	0	346	(300)
061.20804-Medical Assist	59	0	0	0	0	0	0	423,548	0	14,500	0	0	0	0	0	0	3,443,806	(3,443,747)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	144	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(437,904)
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20809-EMS Training	224	0	0	0	0	0	0	0	2,253	13,712	70	0	1,314	0	0	667	18,016	(17,792)
061.20810-Child Health In	(6,913)	0	0	0	0	0	0	380,220	226	5,415	(25)	0	161	0	0	394	386,391	(383,304)
061.20811-HCRA Undistrib	(3,450)	1,060,000	4,549,600	0	0	0	5,609,600	0	0	0	0	0	0	0	0	343,258	343,258	5,262,892
061.20812-Hospital Based	193	0	0	0	0	0	0	1,775	0	0	0	0	0	0	0	0	1,775	(1,582)
061.20813-Ad Home Res Co	24	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(36)
061.20814-Primary Care In	71	0	0	0	0	0	0	302	0	0	9	0	168	0	0	125	604	(533)
061.20815-Prev Coll Monit	308	0	0	0	0	0	0	916	0	159	5	0	533	0	0	482	2,105	(1,797)
061.20816-Pilot Health In	188	0	0	0	0	0	0	442	0	71	4	0	256	0	0	286	1,059	(871)
061.20817-Indigent Care	18,370	0	0	0	0	0	0	775,500	0	0	0	0	0	0	0	0	775,500	(757,130)
061.20818-EPIC Premium	7,584	0	0	0	0	0	0	169,905	2,500	10,500	0	0	0	0	0	0	182,905	(175,321)
061.20819-Health Occup De	164	0	0	0	0	0	0	438	0	57	14	0	243	0	0	129	881	(717)
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	116	0	0	0	0	0	0	0	239	17	7	0	133	0	0	39	435	(319)
068.300DS-Dispro Sh Med	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
073.20851-Transit Authori	38,509	384,850	147,694	0	0	0	532,544	533,324	0	0	0	0	0	0	0	0	533,324	37,729
073.20852-Railroad Account	6,802	68,340	26,058	0	0	0	94,398	94,116	0	0	0	0	0	0	0	0	94,116	7,084
073.20853-DMTF	26,752	39,910	16,415	0	0	0	56,325	58,499	0	0	0	0	0	0	0	0	58,499	24,578
160.20901-Education - New	40,000	0	2,230,000	0	0	0	2,230,000	2,230,000	0	0	0	0	0	0	0	40,000	2,270,000	0
160.20902-State Lottery	24,162	0	157,339	0	0	0	157,339	0	21,500	111,009	612	0	11,728	0	0	0	144,849	36,652
160.20903-VLT - Admin	13,689	0	11,737	0	0	0	11,737	0	3,529	10,435	112	0	2,151	0	0	0	16,227	9,199
160.20904-VLT - Education	21,004	0	880,800	0	0	50,000	930,800	951,800	0	0	0	0	0	0	0	0	951,800	4
221.20950-Comb Student Ln	16,738	0	23,042	650	0	0	23,692	0	0	22,095	0	0	0	0	0	0	22,095	18,335
225.23651-Mobility Tax Tr	92,362	1,245,000	9,600	0	0	331,690	1,586,290	1,588,733	0	0	0	0	0	0	0	0	1,588,733	89,919
225.23652-MTA Aid Trust	37,047	133,000	181,090	0	0	0	314,090	320,000	0	0	0	0	0	0	0	0	320,000	31,137
300.21001-E F C Admin Acc	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
300.21002-Encon Admin Acc	1,748	0	3,700	0	0	0	3,700	0	3,598	9	0	0	0	0	0	78	3,685	1,763
301.21051-EnCon Energy Ef	199	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	199
301.21052-EnCon-Seized As	26	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	46
301.21053-Wst Tire Mgr/Re	7,554	0	24,000	0	0	0	24,000	0	11,300	6,700	407	0	6,269	0	0	5,946	30,622	932
301.21054-Oil & Gas Accou	94	0	108	0	0	0	108	0	0	98	0	0	0	0	0	48	146	56
301.21055-Marine/Coastal	95	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	108
301.21060-Indirect Charge	4,100	0	0	0	0	10,607	10,607	0	1,641	4,869	61	0	871	0	0	523	7,965	6,742

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2014

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
301.21061-Hazardous Site B	325	0	350	0	0	0	350	0	162	33	8	0	111	0	0	0	314	361
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envir R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.21065-Fed Indirect R	316	0	40	0	0	10,500	10,540	0	8,185	302	0	0	0	0	0	0	8,487	2,369
301.21066-Low Level Radio	(5,055)	0	2,748	0	0	0	2,748	0	1,551	133	51	0	796	0	0	330	2,861	(5,168)
301.21067-Recreation Acco	(11,102)	0	10,500	0	0	0	10,500	0	5,676	2,865	205	0	520	0	0	255	9,521	(10,123)
301.21077-Public Safety R	38	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	38
301.21080-Encon Magazine	538	0	705	0	0	0	705	0	0	314	0	0	0	0	0	131	445	798
301.21081-Environment Ent	(26,984)	0	27,000	0	0	0	27,000	0	14,505	3,024	500	0	8,261	0	0	3,115	29,405	(29,369)
301.21082-Natural Resourc	(21,621)	0	4,000	0	0	0	4,000	0	1,908	397	130	0	1,074	0	0	400	3,909	(21,530)
301.21083-UST-Trust Recov	60	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	72
301.21084-Mined Land Recl	27	0	4,210	0	0	0	4,210	0	1,738	117	61	0	849	0	0	1,300	4,065	172
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.2107-ATV DESF	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
301.2102Z-Monitors-Aggre	19,360	0	2,391	0	0	0	2,391	0	3,721	493	133	0	1,448	0	0	1,714	7,509	14,242
302.21150-Conservation	37,651	0	46,809	0	0	75	46,884	0	23,731	12,832	857	0	13,166	0	0	1,763	52,349	32,186
302.21151-Marine Resource	2,315	0	4,200	0	0	0	4,200	0	905	716	72	0	641	0	0	0	2,334	4,181
302.21152-Migratory Bird	208	0	10	0	0	0	10	0	45	45	0	0	0	0	0	0	45	173
302.21153-License Guide	62	0	55	0	0	0	55	0	51	6	1	0	19	0	0	0	77	40
302.21154-Fish And Game T	50,040	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0	0	75	75	52,465
302.21155-Surf Clam/Quaho	172	0	0	0	0	0	0	0	26	29	0	0	1	0	0	0	56	116
302.21156-Habitat Account	295	0	45	0	0	0	45	0	0	24	0	0	0	0	0	0	24	316
302.21157-Venison Donatio	12	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	37
303.21201-Oil Spill - DAC	4	0	121	0	0	705	826	0	534	228	16	0	296	0	0	0	1,074	(244)
303.21202-Oil Sp Relocan	3	0	0	0	0	301	301	0	151	25	5	0	84	0	0	0	265	39
303.21203-Oil Spill - DEC	(2)	0	0	0	0	19,300	19,300	0	10,243	747	231	0	4,027	0	0	3,526	18,774	524
303.21204-Oil Spill - DAC	10,346	0	35,000	0	0	0	35,000	0	0	12,604	0	0	0	0	0	20,306	32,910	12,436
303.21205-License Fee Sur	(1)	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	(1)
305.21251-OSH Trng & Educ	1,404	0	21,311	0	0	0	21,311	0	8,441	6,288	229	0	4,403	0	0	0	19,361	3,354
305.21252-OSHA Inspection	475	0	21,798	0	0	0	21,798	0	11,635	3,087	291	0	6,785	0	0	0	21,798	475
306.21301-Client Protec	8,713	0	13,000	0	0	0	13,000	0	600	12,100	0	0	200	0	0	0	12,900	8,813
307.21351-Equip Loan Fund	526	0	63	0	0	0	63	0	0	79	0	0	0	0	0	7	86	503
313.21401-Pub Tran Systems	(3,279)	83,030	21,400	0	0	12,000	95,030	89,304	585	383	19	0	325	0	0	0	90,616	1,135
313.21402-Metro Mass Tran	212,462	1,913,970	0	0	0	36,500	1,971,870	1,963,769	2,298	252	73	0	1,275	0	0	20,000	1,987,667	196,665
313.21403-Urban Mass Tran	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(15,184)	0	8,500	0	0	0	8,500	0	3,802	2,207	205	0	1,931	0	0	0	8,145	(14,829)
314.21452-Mobile Source	(1,718)	0	32,000	0	0	0	32,000	0	20,100	3,825	625	0	11,151	0	0	0	35,701	(5,419)
318.21501-Housing Reserve	76	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	76
321.21551-Legisl Comp R&D	10,281	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	11,048
321.21552-Demographics/Re	58	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	60
332.21651-Brummer Award	40	0	6	0	0	0	6	0	6	6	0	0	0	0	0	0	6	40
332.21652-William Vorce F	228	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	228
332.21653-Rocky Pocantico	29	0	110	0	0	0	110	0	0	51	0	0	0	0	0	0	51	88
332.21654-OMR Nonexpnd Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21655-Rockefeller Tru	3,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,000
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	1,181	0	75	0	0	0	75	0	0	1,255	0	0	0	0	0	0	1,255	1
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Ats Capital Re	800	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	762
340.22501-CFIA Undistrib	1,604	0	0	0	0	107,000	107,000	104,200	1,600	100	0	0	700	0	0	183	106,783	1,821
341.22552-DFY-NYC Summer	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50
345.22651-Interest Income	1,388	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,388

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2014

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
345.22652-L Vets Home	19,266	0	42,500	0	0	0	42,500	0	26,658	15,753	0	0	0	0	0	0	42,411	19,355
345.22653-S U Genl IFR	385,553	0	664,055	0	0	0	664,055	0	1,60,205	463,972	0	0	5,820	0	0	25,543	655,540	394,068
345.22654-S U Inc Offset	(35,877)	0	(2,900)	0	0	8,318	5,418	0	0	0	0	0	0	0	0	0	0	(30,459)
345.22655-Gen Rev Offset	22,791	0	1,529,085	0	0	970,708	2,499,793	0	2,008,285	466,504	0	0	0	0	0	0	2,474,789	47,795
345.22656-S U Hosp Ops	29,429	0	1,876,638	0	0	576,114	2,452,752	0	1,040,011	956,539	0	0	389,291	0	0	60,832	2,446,673	35,508
345.22657-SUNY Stabilizat	15,261	0	0	0	0	0	0	0	0	5,000	0	0	0	0	0	0	5,000	10,261
345.22658-S U Hosp Sponsd	81,057	0	43,900	0	0	0	43,900	0	36,874	6,894	0	0	0	0	0	0	43,768	81,189
345.22659-SUNY Tuition Re	103,518	0	113,278	0	0	0	113,278	0	38,680	57,059	0	0	0	0	0	0	95,739	121,057
345.22668-P-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Subst Abuse Srv	5,047	0	7,565	0	0	0	7,565	6,970	63	494	0	0	0	0	0	0	7,527	5,085
349.22751-Lk George Park	367	0	1,208	0	0	0	1,208	0	602	250	19	0	334	0	0	0	1,205	370
354.22801-MVTIFA	3,625	0	4,700	0	0	0	4,700	4,537	142	4	4	0	78	0	0	0	4,765	3,560
354.22802-St Police MV En	103	0	109,900	0	0	0	109,900	0	4,000	5,100	0	0	0	0	0	100,800	109,900	103
355.22851-Great Lakes Pro	149	0	160	0	0	0	160	0	84	70	3	0	44	0	0	60	261	48
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-DOH Fed Rev Max	(1,555)	0	3,709	0	0	0	3,709	0	0	0	0	0	0	0	0	0	0	2,154
360.22950-Housing Develop	9,459	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	9,507
362.23001-DOT Comm Veh Sa	(3,577)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(3,958)
365.23051-Vocatl Rehabil	149	0	100	0	0	0	100	20	0	48	0	0	0	0	0	32	100	149
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(4,413)	0	6,788	0	0	0	6,788	0	4,442	400	129	0	2,295	0	0	0	7,266	(4,891)
368.23151-NYCCC Operat Of	(45,984)	0	46,500	0	0	4,900	51,400	0	20,100	3,200	0	0	10,400	0	0	0	33,700	(28,284)
369.23201-Jud Data Proc O	4,747	0	24,000	0	0	0	24,000	0	16,700	4,000	0	0	7,500	0	0	0	28,200	547
377.23267-CUNY Stabilizn	15,261	0	18,000	0	0	0	18,000	0	5,000	0	0	0	0	0	0	0	5,000	28,261
377.2322X-CUNY Tuin Reim	51,339	0	16,561	0	0	0	16,561	0	16,202	145	0	0	0	0	0	0	16,347	51,553
377.232ZY-CUNY Inc Reimb	50,160	0	73,400	0	0	0	73,400	0	38,553	28,496	0	0	6,337	0	0	0	73,386	50,174
385.23501-Lk Placid Train	51	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	61
390.23551-Indigent Legal	57,568	0	73,000	0	0	40,000	113,000	65,400	770	30,382	25	0	378	0	0	11,000	107,955	62,613
482.23601-UI Sp Int & Pen	4,131	0	9,600	0	0	0	9,600	0	2,455	6,083	76	0	1,362	0	0	3,211	13,187	544

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2014  
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	1,192	0	500	0	0	0	500	100	0	0	0	0	0	0	0	0	1,592
339.21902-S P A R C S	1,547	0	3,623	0	0	1,464	5,087	0	3,376	1,105	98	0	1,740	0	0	885	(570)
339.21903-OPWDD Provider	(787)	0	0	0	0	190,508	190,508	190,508	0	0	0	0	0	0	0	0	(787)
339.21904-Fire Prev/Ccode	24	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	24
339.21905-NYS Twy Police	(6,003)	0	0	0	0	0	0	0	0	0	0	0	5,908	0	0	0	(11,911)
339.21906-DMV Seiz Assets	328	0	50	0	0	0	50	0	0	182	0	0	0	0	0	0	196
339.21907-Mental Hygiene	150,787	0	11,745	0	0	3,004,604	3,016,349	1,294,190	914,576	202,046	26,659	0	509,131	0	0	216,801	3,733
339.21909-M H Patient Inc	30,407	0	0	0	0	2,531,487	2,531,487	0	1,378,824	289,377	38,665	0	752,267	0	0	95,254	7,507
339.21911-Fin Cntrl Board	(701)	0	3,133	0	0	0	3,133	0	1,470	802	45	0	816	0	0	0	(701)
339.21912-Reg of Racing	(5,506)	0	11,247	0	0	0	11,247	0	6,573	4,148	170	0	3,498	0	0	0	(8,648)
339.21913-Trt St Reg Plan	(9,618)	0	0	0	0	14,325	14,325	0	4,317	7,526	137	0	2,397	0	0	0	(9,670)
339.21914-S U Constr Fund	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
339.21915-Quality Care	7,476	0	0	0	0	86,563	86,563	0	0	0	0	0	0	0	0	96,656	(2,617)
339.21916-Nurses Aide Reg	1,417	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,417
339.21917-Seized Assets	454	0	50	0	0	0	50	0	0	238	0	0	0	0	0	0	266
339.21918-Child Care & Pr	3,590	0	70	0	0	0	70	100	0	0	0	0	0	0	0	0	3,560
339.21919-Cyber Sec Upgr	955	0	1,500	0	0	0	1,500	0	0	1,548	0	0	0	0	0	0	907
339.21920-Cert of Need	17,834	0	2,959	0	0	0	2,959	0	2,123	987	63	0	1,132	0	0	1,086	15,402
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Reitr Community	732	0	131	0	0	0	131	0	28	2	2	0	15	0	0	2	814
339.21923-DOL Fee Penalty	5,882	0	20,525	0	0	0	20,525	0	5,980	1,194	139	0	3,308	0	0	8,672	7,114
339.21924-Educ Museum	4	0	986	0	0	0	986	0	360	441	11	0	200	0	0	62	(84)
339.21925-Ns Hm Receiptshp	2,816	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,841
339.21926-3rd Party Hlth	449	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	449
339.21927-Boating Noise L	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21928-1 Love NY Water	87	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	1,008
339.21929-Summer Sch Arts	39	0	655	0	0	0	655	0	104	491	3	0	58	0	0	0	38
339.21930-1 Love NY Water	231	0	245	0	0	0	245	0	100	25	2	0	9	0	0	64	276
339.21932-Snowmobile	4,760	0	11,400	0	0	0	11,400	4,850	104	355	9	0	62	0	0	0	10,780
339.21933-Tr Surplus Prop	2,913	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	803	3,336
339.21934-Hosp & Nurs Mgt	(5,751)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5,751)
339.21935-Watershed Ptntr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(22,319)	0	324,793	0	0	0	324,793	0	129,821	146,973	0	0	0	0	0	48,000	(22,320)
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21940-ODTA Trng Mgmt	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(4,038)	0	16,388	0	0	0	16,388	9,234	3,432	1,260	594	0	1,638	0	0	0	(3,808)
339.21944-Radiology	2,799	0	6,000	0	0	0	6,000	3,000	1,003	557	31	0	556	0	0	1,350	2,302
339.21945-Crim Jus Improv	1,798	0	42,644	0	0	0	42,644	29,400	3,412	621	109	0	1,943	0	0	8,377	580
339.21948-Farm Prod Insp-	472	0	1,750	0	0	0	1,750	0	1,321	120	41	0	483	0	0	0	257
339.21950-Fgprimid&Tech	8,399	0	12,850	0	0	0	12,850	0	0	17,061	0	0	0	0	0	0	4,188
339.21953-NY Fire Academy	(12)	0	468	0	0	0	468	0	274	469	9	0	152	0	0	0	(448)
339.21958-OPDV Training	65	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	69
339.21959-Envir Lab Fee A	(123)	0	3,700	0	0	0	3,700	0	1,662	506	40	0	903	0	0	0	466
339.21960-Ins St L Adm	2,238	0	98,528	0	0	0	98,528	32,000	28,291	36,069	880	0	15,693	0	0	0	(12,167)
339.21961-Train Mgmt Eval	574	0	2,634	0	0	0	2,634	0	1,464	210	134	0	862	0	0	0	538
339.21962-Clin Lab Refrnc	(18,352)	0	18,059	0	0	0	18,059	0	6,518	2,562	174	0	3,484	0	0	0	(13,031)
339.21964-Pub Emp Rel Brd	531	0	86	0	0	0	86	0	159	43	0	0	0	0	0	0	415
339.21965-Radio Hlth Prot	3,173	0	3,955	0	0	0	3,955	0	2,156	230	62	0	1,086	0	0	216	3,378
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2014

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21967-OHRD St Match	7,184	0	1,352	0	0	0	1,352	0	0	3,459	0	0	0	0	0	0	5,077
339.21968-Educatin Library	80	0	65	0	0	0	65	0	0	70	0	0	0	0	0	0	75
339.21969-Teacher Certif	2,870	0	6,800	0	0	0	6,800	0	3,724	827	116	0	2,066	0	0	1,459	1,478
339.21970-Banking Deptmnt	27,607	0	94,314	0	0	0	94,314	0	46,182	13,769	1,533	0	27,351	0	0	0	33,086
339.21971-Cable TV Acct	11,580	0	2,757	0	0	0	2,757	0	1,693	100	53	0	939	0	0	0	11,552
339.21972-Econ Devel Asst	299	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	299
339.21973-Fin Svcs Seized	559	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	559
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	4,244	0	2,000	0	0	0	2,000	0	84	1,555	3	0	47	0	0	6	4,549
339.21977-Business Licens	15,577	0	68,750	0	0	0	68,750	539	17,507	12,105	555	0	9,899	0	(15)	32,612	11,125
339.21978-Indir Cost Reco	1,018	0	0	0	0	18,400	18,400	0	8,811	3,650	0	0	4,888	0	0	0	2,069
339.21979-High School Equ	775	0	225	0	0	0	225	0	0	505	0	0	0	0	0	0	495
339.21980-OTDA Program	2,622	0	0	0	0	0	0	0	0	200	0	0	0	0	0	0	2,422
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	15,205	0	13	0	0	2,635	2,648	0	4,873	367	108	0	2,602	0	0	0	9,903
339.21983-Rail Safety Ins	1,470	0	669	0	0	0	669	0	443	46	14	0	245	0	0	0	1,391
339.21984-Fedl Admin Reim	1	0	412	0	0	992	1,404	0	0	0	0	0	0	0	0	0	1,405
339.21985-Abandon Prop Au	0	0	10,312	0	0	0	10,312	0	7,706	4,794	0	0	0	0	0	0	(2,188)
339.21986-Seized Assets	14	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	16
339.21987-Spinal Injury	1,254	0	0	0	0	0	0	0	290	500	5	0	84	0	0	0	375
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	15,993	0	0	0	0	32,000	32,000	0	1,550	22,467	66	0	957	0	0	0	22,953
339.21990-Dept Law-Seized	413	0	2,600	0	0	0	2,600	0	150	2,236	9	0	166	0	0	0	452
339.21991-DMNA-Seiz Asset	946	0	200	0	0	0	200	0	0	518	0	0	0	0	0	0	628
339.21992-Critical Infrns	821	0	1,598	0	0	0	1,598	0	331	1,161	10	0	184	0	0	0	733
339.21993-Radon Detct Dev	313	0	13	0	0	0	13	0	0	11	0	0	0	0	0	2	313
339.21994-Insurance Dept	176,386	0	415,122	0	0	0	415,122	216,102	96,575	38,329	3,297	0	58,819	0	0	1,200	175,186
339.21995-Workers Comp Bd	9,886	0	200,232	0	0	0	200,232	0	90,706	59,441	2,821	0	50,323	0	0	0	6,827
339.21996-Fire Protection	164	0	25	0	0	0	25	0	0	0	0	0	0	0	0	13	176
339.21997-Conf Fee Acct	6	0	5	0	0	0	5	0	0	32	0	0	0	0	0	0	(21)
339.21998-Public Work Enf	231	0	3,982	0	0	0	3,982	0	1,947	208	47	0	1,077	0	0	0	934
339.21999-Asset Forfeitur	110	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	110
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219A3-Non-Ivd Wage Wl	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CD-Daycare Earned	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.219CC-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgl	1	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	7
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2014

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	(55)	0	(4)	0	(27)	0	0	0	85
339.219F6-Lc On Solid Was	(1)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
339.219FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Genl Operns	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Problem Solv Cou	353	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	353
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF-Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219WE-Medicaid Train	0	0	(1,000)	0	0	0	(1,000)	0	0	0	0	0	0	0	0	0	(1,000)
339.219XX-A&M-Aggregated	4,616	0	16,033	0	0	0	16,033	0	372	15,814	12	0	206	0	0	139	4,106
339.219YL-OGS Bldg Admin	2,036	0	8,613	0	0	0	8,613	0	2,571	3,973	96	0	1,717	0	1,000	1,292	1,292
339.219YN-OGS Std & Purch	4,706	0	6,636	0	0	0	6,636	0	755	1,880	23	0	419	0	3,000	5,265	5,265
339.219Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZT-FHPEP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	3,596	0	2,500	0	0	0	2,500	2,000	205	0	6	0	168	0	52	3,665	3,665
339.22002-Trn Mills Regist	58	0	0	0	0	0	0	0	0	0	0	0	0	0	58	0	0
339.22003-Bell Jar Collec	(67)	0	2,100	0	0	0	2,100	0	672	357	20	0	394	0	202	388	388
339.22004-Ind & Util Serv	1,028	0	3,075	0	0	0	3,075	0	1,535	0	74	0	837	0	441	1,216	1,216
339.22009-Asbestos Trning	(60)	0	330	0	0	0	330	0	177	17	7	0	120	0	0	(51)	(51)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	45,769	0	70,188	0	0	0	70,188	0	38,694	7,323	1,203	0	19,792	0	0	48,945	48,945
339.22012-Atty Licensing	4,096	0	32,000	0	0	0	32,000	0	17,300	7,800	0	0	7,500	0	0	3,496	3,496
339.22014-DSS Prov Recovs	188	0	0	0	0	0	0	15,000	0	0	0	0	0	0	0	0	188
339.22015-Crimes Against	2,697	0	0	0	0	16,000	16,000	0	0	0	0	0	0	0	0	0	3,697
339.22017-Camp Smith Bill	68	0	197	0	0	0	197	0	133	9	4	0	47	0	0	72	72
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Reg Manu Hsg	233	0	200	0	0	0	200	0	0	0	0	0	0	0	100	333	333
339.22022-College Savings	3,310	0	813	0	0	0	813	0	198	985	18	0	99	0	0	2,823	2,823
339.22023-Discover Queens	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22024-Reven Arrearage	14,691	0	25,000	0	0	0	25,000	0	1,486	2,636	39	0	926	0	24,380	10,224	10,224
339.22025-Comm Svce Assis	8,613	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,613
339.22026-Cell Phone Towe	618	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	618
339.22027-Spec Conserv Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-Central Registry	352	0	5,017	0	0	0	5,017	0	102	0	4	0	42	0	4,822	399	399
339.22029-Plant Industry	59	0	529	0	0	0	529	0	377	0	12	0	209	0	0	(10)	(10)
339.22030-Food Stp Rec Fr	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.22032-Batavia School	(5,782)	0	9,600	0	0	900	10,500	0	5,419	653	169	0	3,146	0	0	0	(4,669)

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2014  
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22033-Alcohol Beverag	4,461	0	0	0	0	18,151	18,151	0	8,195	5,178	256	0	4,546	0	0	0	4,437
339.22034-Investment Serv	307	0	3,406	0	0	0	3,406	0	2,049	666	63	0	1,220	0	0	0	(285)
339.22035-Diabetes Resear	61	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	67
339.22037-Keep Kids Drug	18	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	27
339.22038-OMRDD Day Svcs	(2,181)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,181)
339.22039-OSDC Finan Over	(1,002)	0	4,252	0	0	0	4,252	0	2,317	113	68	0	1,286	0	0	0	(534)
339.22040-Senate Recyclab	482	0	20	0	0	0	20	0	0	0	0	0	3,300	0	0	0	502
339.22041-Medicaid Fraud	101,405	0	13,085	0	0	0	13,085	0	5,948	2,518	185	0	0	0	0	0	102,539
339.22042-DED Marketing A	5,665	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	5,675
339.22044-Tug Hill Admin	12	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	8
339.22045-Settlement Enf	465	0	900	0	0	0	900	850	0	50	0	0	0	0	0	0	465
339.22046-Indian Gaming	(105,733)	0	7,993	0	0	0	7,993	0	9,327	993	290	0	5,161	0	0	0	(113,511)
339.22047-NYS FLEX Spend	21	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	21
339.22050-Crime Victims B	13	0	54	0	0	0	54	0	0	54	0	0	0	0	0	0	13
339.22051-Ofc of Professi	20,791	0	47,265	0	0	0	47,265	0	18,688	9,795	581	0	10,609	0	0	6,032	22,351
339.22052-Army Rental A	1,615	0	1,825	0	0	0	1,825	0	650	973	27	0	203	0	0	0	1,587
339.22053-Rome School	(393)	0	9,600	0	0	900	10,500	0	4,361	578	136	0	2,483	0	0	0	2,549
339.22054-Seized Assets	(6,030)	0	8,725	0	0	0	8,725	0	0	14,767	0	0	0	0	0	0	(12,072)
339.22055-Traf Adjudicatn	(7,352)	0	41,500	0	0	0	41,500	0	20,326	10,187	632	0	11,277	0	0	0	(8,274)
339.22056-Fed Salary Shar	1,309	0	0	0	0	2,700	2,700	390	3,777	207	88	0	1,460	0	0	454	(2,367)
339.22057-Cook/Chill Acco	408	0	2,100	0	0	0	2,100	0	0	2,155	0	0	0	0	0	0	353
339.22058-Client Notices	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22062-NYC Assessment	38,942	0	80,077	0	0	0	80,077	0	36,881	24,553	1,420	0	16,799	0	0	0	39,366
339.22063-Cultural Educat	(7,295)	0	27,700	0	0	0	27,700	0	11,156	5,152	347	0	6,189	0	0	1,976	(4,415)
339.22064-Distance Learn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22065-Exam & Misc Rev	1,728	0	3,150	0	0	0	3,150	0	350	804	11	0	168	0	0	1,566	1,979
339.22067-Trans Regul Acc	14,201	0	4,800	0	0	0	4,800	0	2,488	359	68	0	1,356	0	0	0	14,730
339.22068-Cons Prof Acct	1,225	0	91	0	0	0	91	0	236	77	7	0	131	0	0	0	865
339.22070-OER NASDER	117	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	117
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22073-Fed Liability	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.22074-FMS Account	2,493	0	0	0	0	52,600	52,600	0	11,287	41,314	0	0	0	0	0	8	2,492
339.22075-Funeral	1,209	0	415	0	0	0	415	0	224	20	7	0	122	0	0	0	1,243
339.22076-FSHRP	2,278	0	0	0	0	384,086	384,086	384,086	0	0	0	0	0	0	0	0	2,278
339.22077-Educ Archives	90	0	15	0	0	0	15	0	0	54	0	0	0	0	0	0	51
339.22078-Local Services	839	0	1,100	0	0	0	1,100	0	574	0	32	0	357	0	0	26	950
339.22079-DOT-Accident Da	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22080-Adult Shelter	4,716	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,716
339.22081-QAA Earned Rev	392	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	392
339.22082-Family Pres Svc	1,602	0	2	0	0	0	2	2,732	0	0	0	0	0	0	0	0	(1,128)
339.22083-Electronic Bene	44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	44
339.22084-Federal-Seized	7	0	0	0	0	0	0	0	0	92	0	0	0	0	0	0	(85)
339.22085-DHCR Mortgage S	(3,465)	0	6,671	0	0	0	6,671	0	4,204	175	131	0	2,332	0	0	0	(3,636)
339.22086-OMH-Research OH	69	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	(3)
339.22087-DMV-Compulsory	6,676	0	27,600	0	0	0	27,600	0	9,614	1,620	299	0	5,334	0	0	15,368	2,041
339.22088-Prof Medic Cond	9,957	0	22,545	0	0	0	22,545	0	10,710	5,084	250	0	5,530	0	0	0	10,928
339.22089-Hwy Const & Ma	1,288	0	260	0	0	0	260	0	0	132	0	0	0	0	0	0	1,416
339.22090-Housing Indirec	(5,549)	0	0	0	0	5,739	5,739	0	2,886	350	90	0	1,601	0	0	0	(4,737)
339.22091-Adlt Hme Qlity E	883	0	193	0	0	0	193	0	0	270	0	0	0	0	0	21	785

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2014

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	1,545	0	1,000	0	0	0	1,000	0	150	406	5	0	84	0	0	606	1,294
339.22095-IG Szd Assets	98	0	85	0	0	0	85	0	0	87	0	0	0	0	0	0	96
339.22096-Leg Svcs Assist	10,003	0	13,600	0	0	0	13,600	14,030	0	0	0	0	0	0	0	0	9,573
339.22097-Loc Pub Hlth	3,247	0	84	0	0	0	84	0	171	3	3	0	60	0	0	5	3,089
339.22098-Local Dist Trai	685	0	177	0	0	0	177	0	0	254	0	0	0	0	0	0	608
339.22099-Voting Mach Exa	486	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	486
339.2200Z-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22100-DHCR HCA Applic	3,517	0	3,200	0	0	0	3,200	0	1,735	293	54	0	963	0	0	489	3,183
339.22101-EPIC Premium Ac	51,839	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51,839
339.22102-Drug Enforce Ta	88	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	88
339.22103-Vital Rec Mgmt	3,258	0	3,752	0	0	0	3,752	0	1,109	101	11	0	384	0	0	2,252	3,153
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
339.22108-Hwy Rev/Soc Sec	1,030	0	267	0	0	0	267	0	0	200	0	0	0	0	0	0	1,097
339.22109-Conference & Sp	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22110-Asst Living Res	414	9	86	0	0	0	95	0	0	0	0	0	0	0	0	9	500
339.22111-OCFS Program	1,795	0	9	0	0	0	9	0	85	582	0	0	0	0	0	0	1,137
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OMRDD-Jt Clinic	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	21,066	0	68,058	0	0	0	68,058	0	20,165	33,340	627	0	11,188	0	0	0	23,804
339.22118-Animal Populai	110	0	1,050	0	0	0	1,050	0	0	1,000	0	0	0	0	0	0	160
339.22119-Love Your Libra	44	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	50
339.22122-Local Wireless	111	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	111
339.22123-Pub Safe Commun	72,921	0	113,535	0	0	0	113,535	66,189	8,090	44,253	0	0	2	0	0	21,500	46,422
339.22124-Cuba Lake Mgmt	165	0	200	0	0	0	200	0	0	198	0	0	0	0	0	0	167
339.22128-Med Reimb Acct	206	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	206
339.22130-Low Inc Housing	3,073	0	3,000	0	0	0	3,000	0	2,137	0	66	0	1,205	0	0	150	2,515
339.22131-Provider 900	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	54	0	2,084	0	0	0	2,084	0	0	2,100	0	0	0	0	0	0	38
339.22133-Procure Op News	938	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	763
339.22134-CVB Resitution	911	0	578	0	0	0	578	0	428	150	0	0	0	0	0	0	911
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	1,603	0	1,300	0	0	0	1,300	0	82	1,171	2	0	45	0	0	0	1,603
339.22137-Pet Dealer	35	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	67
339.22138-Auth Bdgt Ofce	627	0	2,038	0	0	0	2,038	0	982	260	31	0	545	0	0	39	2,634
339.22139-Patient Safety	101	0	477	0	0	0	477	0	0	300	0	0	0	0	0	73	205
339.22140-Helen Hayes Hos	1,662	0	115	0	0	0	56,918	0	33,910	19,323	148	0	115	0	0	0	5,199
339.22141-NYC Veterans	4,833	0	350	0	0	0	31,938	0	15,669	10,983	72	0	6,965	0	0	0	3,432
339.22142-NYS Home-Vetera	3,526	0	120	0	0	0	22,787	0	15,612	7,169	88	0	103	0	0	0	3,461
339.22143-WNY Vets Home	1,386	0	55	0	0	0	11,499	0	7,675	3,142	87	0	56	0	0	0	1,980
339.22144-Monitrose S V H	2,242	0	30	0	0	0	23,440	0	16,104	6,509	119	0	95	0	0	0	2,885
339.22145-DOH Hospital Ho	3,154	0	0	0	0	0	107,127	0	0	0	0	0	0	0	0	113,570	(3,289)
339.22146-Spec Energy Adm	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	7,425	0	1,342	0	0	0	1,342	0	0	800	0	0	0	0	0	0	7,967
339.22149-Motor Fuel Qual	674	0	2,800	0	0	0	2,800	0	921	1,337	29	0	511	0	0	200	476
339.22150-Weights Measure	358	0	350	0	0	0	350	0	253	50	8	0	140	0	0	30	227
339.22151-Defer Comp Adm	(150)	0	820	0	0	0	820	0	367	183	11	0	208	0	0	0	(99)

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2014

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22152-Hazard Abatement	4	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	54
339.22153-Education Stats	137	0	0	89	0	0	89	0	0	38	0	0	0	0	0	0	188
339.22154-Real Estate Fin	4,521	0	1,000	0	0	0	1,000	0	556	812	17	0	308	0	0	0	3,828
339.22156-NYC Rent Rev	(15,729)	0	45,852	0	0	0	45,852	0	23,184	4,669	730	0	13,007	0	0	4,000	(15,467)
339.22157-Medicaid Income	(882)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(882)
339.22158-Rent Revenue	(637)	0	550	0	0	0	550	0	451	0	14	0	250	0	0	0	(802)
339.22159-CSFP Salvage Ac	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22161-ES Stem Cell Tr	4,569	0	0	0	0	37,900	37,900	0	472	37,428	0	0	0	0	0	0	4,569
339.22162-Systems & Tech	4,118	0	7,300	0	0	0	7,300	0	2,638	1,636	77	0	1,371	0	0	833	4,863
339.22163-OPR Patron Serv	10,288	0	68,520	0	0	0	68,520	0	28,713	37,335	0	0	3,664	0	0	0	9,096
339.22165-Trans Aviatn	2,595	0	3,660	0	0	0	3,660	0	120	3,554	4	0	67	0	0	0	2,510
339.22166-Teacher Ed Accr	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22167-Training Academ	53	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	53
339.22168-Tax Rev Arrear	(2,509)	0	0	0	0	3,000	3,000	0	0	1,842	0	0	0	0	0	0	(1,351)
339.22169-TSCR Account	260	0	133,155	0	0	5,000	138,155	33,289	0	0	0	0	0	0	0	104,811	315
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	2,813	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	3,753
339.22172-Undgrnd Sfty T	84	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	19
339.22173-Vol Fire Rec&Re	495	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	495
339.22174-HAVA Match	1,625	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,625
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	(1,241)	0	9,000	0	0	0	9,000	0	269	9,866	8	0	140	0	0	0	(2,524)
339.22178-Crim Back Check	377	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	377
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22182-OWIG Adim Reimb	3,176	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,176
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	641	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	681
339.22186-Yth Fac PerDiem	1	0	87,452	0	0	0	87,452	0	0	0	0	0	0	0	0	87,452	1
339.22187-Provider Assess	3	0	785,400	0	0	0	785,400	785,400	0	0	0	0	0	0	0	0	3
339.22188-Fed Indirect Re	142	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142
339.22189-DOCS Asset Forf	120	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	120
339.22190-Conference&Sign	107	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	107
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Admin Cost Rec	(164)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(164)
339.22193-Sales Tax Re Fe	(652)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(652)
339.22195-Equitable Shari	2,216	0	0	0	0	0	0	0	0	1,000	0	0	0	0	0	0	1,216
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI RADIA DEV	180	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	180
339.22198-HEP	108	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(192)
339.22199-Airport Securit	416	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	416
339.22200-Greenwood Lake	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22202-SBCI Account	1	0	0	0	0	0	0	4,954	0	0	0	0	0	0	0	0	(4,953)
339.22203-Article X Inter	1	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	(99)
339.339ZW-Wh Mkr Consumer	0	0	10,000	0	0	0	10,000	0	0	1,000	0	0	0	0	0	0	9,000
339.339ZX-Offender Prog	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2014  
(thousands of dollars)**

	<u>002</u>	<u>072</u>	<u>074</u>	<u>075</u>	<u>076</u>	<u>077</u>	<u>078</u>	<u>079</u>	<u>080</u>	<u>101</u>	<u>105</u>	<u>109</u>	<u>115</u>
<b>Opening Fund Balance</b>	650	(151,139)	124,786	1,594	(28,617)	14	23,045	0	0	164	1,480	3,391	2,075
<b>Receipts:</b>													
Taxes	0	1,281,000	0	0	0	0	119,100	0	0	0	0	0	0
Miscellaneous Receipts	1,673,002	1,675,970	0	1,800	75,511	0	48,400	0	0	0	0	0	0
Federal Grants	0	4,892	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>1,673,002</b>	<b>2,961,862</b>	<b>0</b>	<b>1,800</b>	<b>75,511</b>	<b>0</b>	<b>167,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Disbursements:</b>													
Grants to Local Governments	1,123,559	72,274	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,807,316	2,170,525	48,000	1,800	74,827	0	159,200	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>2,930,875</b>	<b>2,242,799</b>	<b>48,000</b>	<b>1,800</b>	<b>74,827</b>	<b>0</b>	<b>159,200</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	1,260,198	865,843	48,000	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(2,325)	(1,449,270)	0	0	0	0	(15,000)	0	0	(25)	(600)	(100)	(1,500)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	25	600	100	1,500
<b>Net Other Financing Sources (Uses)</b>	<b>1,257,873</b>	<b>(583,427)</b>	<b>48,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(15,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Change in Fund Balance</b>	<b>0</b>	<b>135,636</b>	<b>0</b>	<b>0</b>	<b>684</b>	<b>0</b>	<b>(6,700)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Closing Fund Balance</b>	<b>650</b>	<b>(15,503)</b>	<b>124,786</b>	<b>1,594</b>	<b>(27,933)</b>	<b>14</b>	<b>16,345</b>	<b>0</b>	<b>0</b>	<b>164</b>	<b>1,480</b>	<b>3,391</b>	<b>2,075</b>
<b>Opening Fund Balance</b>	<b>121</b>	<b>123</b>	<b>124</b>	<b>126</b>	<b>127</b>	<b>291</b>	<b>310</b>	<b>312</b>	<b>327</b>	<b>357</b>	<b>358</b>	<b>374</b>	<b>376</b>
152,058	4,257	14,949	2,837	8,300	(167,341)	895	(191,386)	505	(8,276)	0	(17,314)	(110,284)	
<b>Receipts:</b>													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	10	103,250	0	19,000	0	0	110,000
Federal Grants	0	0	0	0	0	2,215,672	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,215,672</b>	<b>10</b>	<b>103,250</b>	<b>0</b>	<b>19,000</b>	<b>0</b>	<b>0</b>	<b>110,000</b>
<b>Disbursements:</b>													
Grants to Local Governments	0	0	0	0	0	721,791	0	0	0	0	0	0	110,575
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	1,117,640	10	103,626	0	19,000	0	0	0
<b>Total Disbursements</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,839,431</b>	<b>10</b>	<b>103,626</b>	<b>0</b>	<b>19,000</b>	<b>0</b>	<b>0</b>	<b>110,575</b>
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	13,700	0	0	0	0	575
Transfers to Other Funds	(278,013)	(1,000)	(4,000)	(2,000)	(50,343)	(326,220)	0	(28,750)	0	0	0	0	0
Bond & Note Proceeds	278,013	1,000	4,000	2,000	50,343	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(326,220)</b>	<b>0</b>	<b>(15,050)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>575</b>
<b>Change in Fund Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>50,021</b>	<b>0</b>	<b>(15,426)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Closing Fund Balance</b>	<b>152,058</b>	<b>4,257</b>	<b>14,949</b>	<b>2,837</b>	<b>8,300</b>	<b>(117,320)</b>	<b>895</b>	<b>(206,612)</b>	<b>505</b>	<b>(8,276)</b>	<b>0</b>	<b>(17,314)</b>	<b>(110,284)</b>

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2014  
(thousands of dollars)**

	<b>378</b>	<b>380</b>	<b>384</b>	<b>387</b>	<b>388</b>	<b>389</b>	<b>399</b>	<b>CPO</b>	<b>F07</b>	<b>Sub Total</b>	<b>Eliminations</b>	<b>Financial Plan</b>
<b>Opening Fund Balance</b>	17,084	(12,137)	141,577	33,154	(23)	(316,920)	(14,929)	0	0	(485,551)	0	(485,551)
<b>Receipts:</b>												
Taxes	0	0	0	0	0	0	0	0	0	1,400,100	0	1,400,100
Miscellaneous Receipts	1,000	0	70,000	2,250	0	193,901	213,177	1	23,369	4,210,641	0	4,210,641
Federal Grants	0	0	0	0	0	0	0	0	0	2,220,564	0	2,220,564
<b>Total Receipts</b>	1,000	0	70,000	2,250	0	193,901	213,177	1	23,369	7,831,305	0	7,831,305
<b>Disbursements:</b>												
Grants to Local Governments	0	0	0	0	0	75,585	0	0	0	2,103,784	0	2,103,784
State Operations	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,000	0	70,000	4,150	0	56,713	234,677	0	23,369	5,891,853	0	5,891,853
<b>Total Disbursements</b>	1,000	0	70,000	4,150	0	132,298	234,677	0	23,369	7,995,637	0	7,995,637
<b>Other Financing Sources (Uses):</b>												
Transfers from Other Funds	0	0	(457)	0	0	1,750	21,500	0	0	2,211,109	(654,600)	1,556,509
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	(2,159,146)	654,600	(1,504,546)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	337,581	0	337,581
<b>Net Other Financing Sources (Uses)</b>	0	0	(457)	0	0	1,750	21,500	0	0	389,544	0	389,544
<b>Change in Fund Balance</b>	0	0	(457)	(1,900)	0	63,353	0	1	0	225,212	0	225,212
<b>Closing Fund Balance</b>	17,084	(12,137)	141,120	31,254	(23)	(253,567)	(14,929)	1	0	(259,539)	0	(259,539)

**CASH COMBINING STATEMENT  
DEBT SERVICE  
FY 2014  
(thousands of dollars)**

	064	304	311	316	319	330	361	364	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	0	89,024	0	0	45,036	245,022	0	0	379,082	0	379,082
<b>Receipts:</b>											
Taxes	0	0	13,569,375	0	0	0	620,900	2,933,560	17,123,835	0	17,123,835
Miscellaneous Receipts	0	333,615	0	9,950	127,830	0	0	500	471,895	0	471,895
Federal Grants	0	0	71,947	0	0	0	0	0	71,947	0	71,947
<b>Total Receipts</b>	0	333,615	13,641,322	9,950	127,830	0	620,900	2,934,060	17,667,677	0	17,667,677
<b>Disbursements:</b>											
Grants to Local Governments	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	6,084	28,038	0	1,441	0	0	4,410	39,973	0	39,973
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	294,870	5,024,353	10,951	28,208	0	0	384,314	5,742,696	0	5,742,696
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	0	300,954	5,052,391	10,951	29,649	0	0	388,724	5,782,669	0	5,782,669
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	1,943,373	3,145,348	1,000	42,069	0	0	0	5,131,790	(241,556)	4,890,234
Transfers to Other Funds	0	(1,916,764)	(11,734,278)	0	(140,139)	0	(620,900)	(2,545,336)	(16,957,417)	241,556	(16,715,861)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	26,609	(8,588,930)	1,000	(98,070)	0	(620,900)	(2,545,336)	(11,825,627)	0	(11,825,627)
<b>Change in Fund Balance</b>	0	59,270	1	(1)	111	0	0	0	59,381	0	59,381
<b>Closing Fund Balance</b>	0	148,294	1	(1)	45,147	245,022	0	0	438,463	0	438,463

**CASH COMBINING STATEMENT BY ACCOUNT**  
**INTERNAL SERVICE**  
**FY 2014**  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.323ZZ-Bus Svcs Center	0	0	0	0	0	0	0	0	11,900	0	370	0	6,602	0	0	0	18,872	(18,872)
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	24,862	0	60,288	0	0	0	60,288	0	27,175	16,647	844	0	15,061	0	0	0	59,727	25,423
323.55020-OGS Ent Contr	(3,113)	0	500,000	0	0	0	500,000	0	600	499,074	16	0	310	0	0	0	500,000	(3,113)
323.550ML-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centrl Srv	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
323.5502X-OGS Exec Direct	(81,168)	0	152,004	0	0	0	152,004	0	4,053	189,551	126	0	2,245	0	0	57,173	253,148	(182,312)
323.550ZY-OGS Bldg Admin	(2,012)	0	25,033	0	0	0	25,033	0	1,830	17,397	56	0	1,006	0	0	0	20,289	2,732
323.550ZZ-OGS Std & Purch	(10,318)	0	20,261	0	0	0	20,261	0	2,924	14,034	88	0	1,359	0	0	0	18,405	(8,462)
334.55050-Agencies Int Sv	(1,815)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,815)
334.55052-Educ-Archives R	791	0	1,500	0	0	0	1,500	0	868	96	27	0	344	0	0	0	1,335	956
334.55053-Fedl Single Aud	2,000	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,000
334.55054-Quick Copy Cent	87	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	87
334.55055-CS Administrat	3,239	0	5,963	0	0	0	5,963	0	3,445	2,448	104	0	1,908	0	0	0	7,905	1,297
334.55056-EHS Occup Hlth	(199)	0	870	0	0	0	870	0	590	468	18	0	323	0	0	0	1,399	(728)
334.55057-Banking Service	1,825	0	3,974	0	0	65,481	69,455	0	0	69,455	0	0	0	0	0	0	69,455	1,825
334.55058-Cult Resources	(2,598)	0	7,329	0	0	0	7,329	0	1,395	3,571	44	0	817	0	0	281	6,108	(1,377)
334.55059-Neighbor Work P	(9,713)	0	13,061	0	0	0	13,061	8,100	0	0	0	0	0	0	0	0	8,100	(4,752)
334.55060-Auto/Print Chgb	3,309	0	16,500	0	0	0	16,500	0	8,109	4,150	0	0	4,416	0	0	0	16,675	3,134
334.55061-NYTT Account	27,888	0	89,438	0	0	0	89,438	0	8,691	77,183	270	0	4,822	0	0	0	90,966	26,360
334.55062-State Data Ctr	35,826	0	119,699	0	0	0	119,699	0	21,623	83,528	672	0	11,996	0	0	0	117,819	37,706
334.55063-Human Svcs Tele	8,896	0	28,339	0	0	0	28,339	0	8,971	16,826	279	0	4,977	0	0	0	31,053	6,182
334.55065-OMRDD Copy Ctr	715	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	715
334.55066-Intrusion Detec	(1,332)	0	1,528	0	0	0	1,528	0	0	1,350	0	0	0	0	0	0	1,350	(1,154)
334.55067-Dom Violence Gr	(159)	0	750	0	0	0	750	0	650	97	3	0	0	0	0	0	750	(159)
334.55068-Statewide Train	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
334.55069-Cent Tech Svcs.	6,930	0	3,000	0	0	40,000	43,000	0	690	53,809	21	0	383	0	0	0	54,903	(4,973)
334.55070-Learning Mgmt S	1,907	0	3,100	0	0	0	3,100	0	1,203	1,131	37	0	667	0	0	0	3,038	1,969
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Financng	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Serv	(117)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(117)
343.55100-Mental Hygiene	318	0	1,967	0	0	0	1,967	0	964	1,144	26	0	524	0	0	0	2,658	(373)
347.55150-DFY Voc Educatn	61	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	86
394.55200-Joint Labor-Mgt	2,255	0	2,000	0	0	0	2,000	0	984	387	33	0	545	0	0	0	1,949	2,306
395.55251-Ex Dir Intl Aud	1,889	0	1,550	0	0	0	1,550	0	2,124	396	66	0	1,178	0	0	0	3,764	(325)
396.55300-Health Ins Inlr	(10,564)	0	14,121	0	0	7,843	21,964	0	10,400	2,718	316	0	5,778	0	0	0	19,212	(7,812)
396.55301-CS EBD Adm Reim	(2,072)	0	4,500	0	0	240	4,740	0	1,902	695	50	0	1,059	0	0	0	3,706	(1,038)
397.55350-Corr Industries	(4,149)	0	49,000	0	0	9,500	58,500	0	18,059	30,377	562	0	10,019	0	0	0	59,017	(4,666)

**CASH COMBINING STATEMENT BY ACCOUNT  
ENTERPRISE  
FY 2014  
(thousands of dollars)**

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	198	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	198
325.50050-State Fair Rece	2,934	0	18,000	0	0	0	18,000	0	4,804	10,588	149	0	1,563	0	0	0	17,104	3,830
326.50100-DOCS Commissary	3,458	0	38,341	0	0	0	38,341	0	0	38,261	0	0	0	0	0	0	38,261	3,538
331.50301-Publications	17	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matris	171	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	195
331.50311-Arts Oxford Vet	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	11	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	11
331.50318-Convention Ctr	(97)	0	1,222	0	0	0	1,222	0	579	156	18	0	326	0	0	0	1,079	46
331.50319-DOCS Empl Mess	(25)	0	1,256	0	0	0	1,256	0	274	779	10	0	156	0	0	0	1,219	12
331.50322-Asset Preservat	53	0	14	0	0	0	14	0	0	19	0	0	0	0	0	0	19	48
331.50323-Farm Program	1,170	0	618	0	0	0	618	0	123	433	2	0	60	0	0	0	618	1,170
331.503NY-NY-Alert	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	0
331.503ZZ-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
351.50400-OMH Shelt Wkshs	1,813	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	1,813
352.50450-MR Shel Wrkshop	1,340	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,240
353.50500-MH & MR Communi	3,299	0	2,200	0	0	0	2,200	0	382	1,172	10	0	208	0	0	0	1,772	3,727
353.50516-MR Community St	113	0	660	0	0	0	660	0	218	326	9	0	108	0	0	0	661	112
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U I Benefit Frnd	902,736	2,481,000	0	1,000,000	0	0	3,481,000	0	0	0	0	3,481,000	0	0	0	0	3,481,000	902,736
481.50651-Interest Assess	26,430	0	93,000	0	0	0	93,000	0	0	93,000	0	0	0	0	0	0	93,000	26,430
481.506FS-Federal Stimulu	(860,924)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,924)

**GENERAL FUND CASH TO APPROPRIATION TABLE**  
**NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS**  
**FY 2013 RESULTS**  
(thousands of dollars)

	<u>Local Assistance</u>		<u>State Operations</u>	
	<u>Cash</u>	<u>Appropriation</u>	<u>Cash</u>	<u>Appropriation</u>
<b>ECONOMIC DEVELOPMENT</b>				
Agriculture & Markets, Department of	19,329	42,640	30,209	51,253
Economic Development, Department of	64,023	220,248	19,277	41,989
Housing and Community Renewal, Division of	49,896	72,804	13,291	18,579
Empire State Development	78,407	400,068	1,000	0
Olympic Regional Development Authority	0	0	2,929	4,583
<b>FUNCTIONAL TOTAL</b>	<b>211,655</b>	<b>735,760</b>	<b>66,706</b>	<b>116,404</b>
<b>PARKS AND THE ENVIRONMENT</b>				
Adirondack Park Agency	0	0	4,090	4,502
Environmental Conservation, Department of	997	5,773	89,628	116,978
Parks, Recreation and Historic Preservation, Office of	2,268	6,757	109,480	116,494
<b>FUNCTIONAL TOTAL</b>	<b>3,265</b>	<b>12,530</b>	<b>203,198</b>	<b>237,974</b>
<b>TRANSPORTATION</b>				
Transportation, Department of	97,551	97,551	1,023	0
<b>FUNCTIONAL TOTAL</b>	<b>97,551</b>	<b>97,551</b>	<b>1,023</b>	<b>0</b>
<b>HEALTH &amp; SOCIAL WELFARE</b>				
Aging, Office for the	112,449	122,453	1,538	2,851
Children & Family Services, Office of	1,486,943	2,299,659	278,878	371,097
Health, Department of	11,736,166	45,250,276	174,206	260,599
Human Rights, Division of	0	0	12,326	12,744
Labor, Department of	5,010	39,212	0	0
Medicaid Inspector General	0	0	18,272	28,461
Prevention of Domestic Violence	424	1,074	1,141	1,525
Temporary and Disability Assistance, Office of	1,535,104	1,768,158	182,984	244,712
Welfare Inspector General	0	0	245	349
<b>FUNCTIONAL TOTAL</b>	<b>14,876,096</b>	<b>49,480,832</b>	<b>669,590</b>	<b>922,338</b>
<b>MENTAL HYGIENE</b>				
Alcohol and Substance Abuse Services, Office of	36,152	36,878	0	0
Mental Health, Office of	392,650	420,982	120	796
People with Developmental Disabilities, Office of	1,500,227	1,662,830	0	0
Quality of Care for People with Disabilities, Commission on	128	170	4,964	6,366
<b>FUNCTIONAL TOTAL</b>	<b>1,929,157</b>	<b>2,120,860</b>	<b>5,084</b>	<b>7,162</b>
<b>PUBLIC PROTECTION</b>				
Correctional Services, Department of	4,651	26,950	2,740,112	2,504,187
Correction, Commission of	0	0	2,055	2,915
Criminal Justice Services, Division of	120,019	216,563	50,476	55,277
Homeland Security	81,684	276,911	8,722	5,766
Disaster Assistance	19,990	0	51,769	0
Judicial Commissions	0	0	5,211	5,452
Military and Naval Affairs, Division of	755	1,051	19,816	23,382
State Police, Division of	0	0	547,120	557,932
<b>FUNCTIONAL TOTAL</b>	<b>227,099</b>	<b>521,475</b>	<b>3,425,281</b>	<b>3,154,911</b>

**GENERAL FUND CASH TO APPROPRIATION TABLE**  
**NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS**  
**FY 2013 RESULTS**  
(thousands of dollars)

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>EDUCATION</b>				
Arts, Council on the	19,095	64,522	3,536	4,119
City University of New York	1,219,949	1,306,383	0	0
Education, Department of	19,028,325	37,120,673	41,008	42,640
Higher Education Services Corporation	914,906	993,757	13	0
State University of New York	462,380	461,219	679,014	0
<b>FUNCTIONAL TOTAL</b>	<b>21,644,655</b>	<b>39,946,554</b>	<b>723,571</b>	<b>46,759</b>
<b>GENERAL GOVERNMENT</b>				
Audit and Control, Department of	32,025	32,025	122,923	125,345
Budget, Division of	0	0	20,196	29,041
Civil Service, Department of	0	0	12,618	15,710
Elections, State Board of	272	2,900	4,886	5,305
Employee Relations, Office of	0	0	2,512	2,961
Executive Chamber	0	0	13,014	17,854
General Services, Office of	0	0	137,803	149,632
Inspector General, Office of the	0	0	5,793	6,660
Law, Department of	0	0	94,930	98,846
Lieutenant Governor, Office of the	0	0	433	630
Public and Private Employee Relations Board	0	0	3,273	3,409
Public Integrity, Commission on	0	0	3,887	4,100
State, Department of	2,300	7,945	13,841	21,070
Taxation and Finance, Department of	115	926	313,644	330,536
Tax Appeals, Division of	0	0	2,700	3,121
Technology, Office for	0	0	59,514	27,502
Veteran Affairs, Division of	7,146	9,546	5,298	5,806
<b>FUNCTIONAL TOTAL</b>	<b>41,858</b>	<b>53,342</b>	<b>817,265</b>	<b>847,528</b>
<b>ALL OTHER CATEGORIES</b>				
Judiciary	2,443	17,446	1,722,664	1,780,128
Legislature	0	0	201,960	217,845
Local Government Assistance	924,468	1,069,429	0	0
<b>FUNCTIONAL TOTAL</b>	<b>926,911</b>	<b>1,086,875</b>	<b>1,924,624</b>	<b>1,997,973</b>

NOTE 1: Cash disbursements can vary from available appropriations for a particular financial plan category due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reappropriations, and other appropriation amendments, but does not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Not reflected in this table are the portions of Local Assistance appropriation authority extended for two years in Education and Health, which are related to the multi-year spending caps for School and Medicaid spending. Certain provisions are included in the enacted legislation to restrict spending for these programs. Other provisions authorize Medicaid spending to exceed projections in the event of certain unforeseen circumstances.

NOTE 3: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

**GENERAL FUND CASH TO APPROPRIATION TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
FY 2014 ENACTED  
(thousands of dollars)**

	<u>Local Assistance</u>		<u>State Operations</u>	
	<u>Cash</u>	<u>Appropriation</u>	<u>Cash</u>	<u>Appropriation</u>
<b>ECONOMIC DEVELOPMENT</b>				
Agriculture & Markets, Department of	26,137	47,543	31,366	53,095
Economic Development, Department of	56,892	231,420	20,248	28,131
Housing and Community Renewal, Division of	13,554	56,029	8,277	13,650
Empire State Development	75,339	410,624	1,000	0
Olympic Regional Development Authority	0	0	2,929	4,086
<b>FUNCTIONAL TOTAL</b>	<b>171,922</b>	<b>745,616</b>	<b>63,820</b>	<b>98,962</b>
<b>PARKS AND THE ENVIRONMENT</b>				
Adirondack Park Agency	0	0	4,029	4,385
Environmental Conservation, Department of	4,970	11,198	88,467	124,192
Parks, Recreation and Historic Preservation, Office of	2,910	9,856	107,386	129,656
<b>FUNCTIONAL TOTAL</b>	<b>7,880</b>	<b>21,054</b>	<b>199,882</b>	<b>258,233</b>
<b>TRANSPORTATION</b>				
Thruway Authority	0	0	24,000	24,000
Transportation, Department of	97,551	98,748	1,655	2,035
<b>FUNCTIONAL TOTAL</b>	<b>97,551</b>	<b>98,748</b>	<b>25,655</b>	<b>26,035</b>
<b>HEALTH &amp; SOCIAL WELFARE</b>				
Aging, Office for the	114,007	195,174	1,608	1,608
Children & Family Services, Office of	1,600,333	2,387,117	247,150	351,546
Health, Department of	11,945,025	49,038,985	360,688	654,397
Human Rights, Division of	0	0	10,021	12,010
Labor, Department of	11,354	47,969	285	285
Medicaid Inspector General	0	0	22,776	23,915
Prevention of Domestic Violence	685	1,346	1,608	1,745
Temporary and Disability Assistance, Office of	1,391,869	1,632,640	185,512	264,793
Welfare Inspector General	0	0	1,179	1,162
<b>FUNCTIONAL TOTAL</b>	<b>15,063,273</b>	<b>53,303,231</b>	<b>830,827</b>	<b>1,311,461</b>
<b>MENTAL HYGIENE</b>				
Alcohol and Substance Abuse Services, Office of	26,053	28,531	0	0
Mental Health, Office of	355,479	395,482	800	796
People with Developmental Disabilities, Office of	957,877	1,807,940	0	0
Justice Center for the Protection of People with Special Needs	128	128	33,703	35,306
Quality of Care for People with Disabilities, Commission on	42	128	1,235	1,379
<b>FUNCTIONAL TOTAL</b>	<b>1,339,579</b>	<b>2,232,209</b>	<b>35,738</b>	<b>37,481</b>
<b>PUBLIC PROTECTION</b>				
Correctional Services, Department of	17,500	31,314	2,555,447	2,638,996
Correction, Commission of	0	0	2,672	2,915
Criminal Justice Services, Division of	135,046	313,476	42,783	45,499
Homeland Security	17,100	634,729	6,972	7,108
Disaster Assistance	2,276	0	(85,001)	0
Judicial Commissions	0	0	5,452	5,452
Military and Naval Affairs, Division of	867	1,251	21,539	24,444
State Police, Division of	0	0	592,781	601,860
<b>FUNCTIONAL TOTAL</b>	<b>172,789</b>	<b>980,770</b>	<b>3,142,645</b>	<b>3,326,274</b>

**GENERAL FUND CASH TO APPROPRIATION TABLE**  
**NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS**  
**FY 2014 ENACTED**  
**(thousands of dollars)**

	<b>Local Assistance</b>		<b>State Operations</b>	
	<b>Cash</b>	<b>Appropriation</b>	<b>Cash</b>	<b>Appropriation</b>
<b>EDUCATION</b>				
Arts, Council on the	55,835	71,827	4,120	4,119
City University of New York	1,344,879	1,369,880	0	0
Education, Department of	19,309,070	20,372,099	47,506	48,170
Higher Education Services Corporation	971,003	1,012,018	0	0
State University of New York	476,329	476,328	0	0
<b>FUNCTIONAL TOTAL</b>	<b>22,157,116</b>	<b>23,302,152</b>	<b>51,626</b>	<b>52,289</b>
<b>GENERAL GOVERNMENT</b>				
Audit and Control, Department of	32,024	32,025	123,618	125,345
Budget, Division of	0	0	22,476	28,776
Civil Service, Department of	0	0	12,450	14,485
Elections, State Board of	2,200	2,900	5,114	5,200
Employee Relations, Office of	0	0	2,605	7,863
Executive Chamber	0	0	13,578	17,854
General Services, Office of	0	0	158,150	161,017
Inspector General, Office of the	0	0	6,623	6,794
Law, Department of	0	0	98,220	99,505
Lieutenant Governor, Office of the	0	0	614	630
Public and Private Employee Relations Board	0	0	3,529	3,600
Public Integrity, Commission on	0	0	4,505	4,556
State, Department of	10,388	23,290	12,822	18,045
Taxation and Finance, Department of	926	926	288,245	295,246
Tax Appeals, Division of	0	0	3,174	3,121
Technology, Office for	0	0	143,443	149,640
Veteran Affairs, Division of	7,767	10,226	5,989	6,406
<b>FUNCTIONAL TOTAL</b>	<b>53,305</b>	<b>69,367</b>	<b>905,155</b>	<b>948,083</b>
<b>ALL OTHER CATEGORIES</b>				
Judiciary	2,500	17,446	1,764,600	1,767,916
Legislature	0	0	217,845	217,845
Local Government Assistance	933,576	1,083,409	0	0
<b>FUNCTIONAL TOTAL</b>	<b>936,076</b>	<b>1,100,855</b>	<b>1,982,445</b>	<b>1,985,761</b>

NOTE 1: Cash disbursements can vary from available appropriations for a particular financial plan category due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reappropriations, and other appropriation amendments, but does not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Not reflected in this table are the portions of Local Assistance appropriation authority extended for two years in Education and Health, which are related to the multi-year spending caps for School and Medicaid spending. Certain provisions are included in the enacted legislation to restrict spending for these programs. Other provisions authorize Medicaid spending to exceed projections in the event of certain unforeseen circumstances.

NOTE 3: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

**GAAP FINANCIAL PLAN  
GENERAL FUND  
FY 2014  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Revenues:</b>			
Taxes:			
Personal income tax	27,174	(622)	26,552
User taxes and fees	9,512	(3,110)	6,402
Business taxes	6,151	115	6,266
Other taxes	1,203	(82)	1,121
Miscellaneous revenues	5,968	(105)	5,863
Federal grants	2	0	2
<b>Total revenues</b>	<u>50,010</u>	<u>(3,804)</u>	<u>46,206</u>
<b>Expenditures:</b>			
Grants to local governments	41,840	383	42,223
State operations	11,805	145	11,950
General State charges	6,609	7	6,616
Debt service	0	0	0
Capital projects	0	0	0
<b>Total expenditures</b>	<u>60,254</u>	<u>535</u>	<u>60,789</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	14,552	3,179	17,731
Transfers to other funds	(5,886)	1	(5,885)
Proceeds from financing arrangements/ advance refundings	403	101	504
<b>Net other financing sources (uses)</b>	<u>9,069</u>	<u>3,281</u>	<u>12,350</u>
<b>Operating Surplus/(Deficit)</b>	<u>(1,175)</u>	<u>(1,058)</u>	<u>(2,233)</u>

**GAAP FINANCIAL PLAN  
GENERAL FUND  
FY 2014 THROUGH FY 2017  
(millions of dollars)**

	<u>FY 2014 Enacted</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>	<u>FY 2017 Projected</u>
<b>Revenues:</b>				
Taxes:				
Personal income tax	26,552	29,104	31,165	33,258
User taxes and fees	6,402	6,829	7,110	7,285
Business taxes	6,266	6,099	6,223	6,535
Other taxes	1,121	1,155	1,166	1,176
Miscellaneous revenues	5,863	6,445	5,359	5,300
Federal grants	2	0	0	0
<b>Total revenues</b>	<u>46,206</u>	<u>49,632</u>	<u>51,023</u>	<u>53,554</u>
<b>Expenditures:</b>				
Grants to local governments	42,223	44,154	46,524	48,568
State operations	11,950	12,441	12,706	12,985
General State charges	6,616	7,005	7,326	7,667
Debt service	0	0	0	0
Capital projects	0	0	0	0
<b>Total expenditures</b>	<u>60,789</u>	<u>63,600</u>	<u>66,556</u>	<u>69,220</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	17,731	17,516	18,011	18,508
Transfers to other funds	(5,885)	(6,229)	(6,258)	(6,623)
Proceeds from financing arrangements/ advance refundings	504	400	400	400
<b>Net other financing sources (uses)</b>	<u>12,350</u>	<u>11,687</u>	<u>12,153</u>	<u>12,285</u>
<b>Operating Surplus/(Deficit)</b>	<u>(2,233)</u>	<u>(2,281)</u>	<u>(3,380)</u>	<u>(3,381)</u>

**GAAP FINANCIAL PLAN  
ALL FUNDS  
FY 2014  
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
<b>Revenues:</b>					
Taxes	40,341	8,346	1,400	17,296	67,383
Public Health/Patient fees	0	4,550	0	461	5,011
Miscellaneous revenues	5,863	1,126	662	10	7,661
Federal grants	2	50,543	2,221	72	52,838
<b>Total revenues</b>	<b>46,206</b>	<b>64,565</b>	<b>4,283</b>	<b>17,839</b>	<b>132,893</b>
<b>Expenditures:</b>					
Grants to local governments	42,223	62,668	2,112	0	107,003
State operations	11,950	1,761	0	40	13,751
General State charges	6,616	388	0	0	7,004
Debt service	0	0	0	4,742	4,742
Capital projects	0	5	5,907	0	5,912
<b>Total expenditures</b>	<b>60,789</b>	<b>64,822</b>	<b>8,019</b>	<b>4,782</b>	<b>138,412</b>
<b>Other financing sources (uses):</b>					
Transfers from other funds	17,731	2,962	1,509	4,890	27,092
Transfers to other funds	(5,885)	(2,689)	(1,505)	(17,717)	(27,796)
Proceeds of general obligation bonds	0	0	338	0	338
Proceeds from financing arrangements/ advance refundings	504	0	3,460	0	3,964
<b>Net other financing sources (uses)</b>	<b>12,350</b>	<b>273</b>	<b>3,802</b>	<b>(12,827)</b>	<b>3,598</b>
<b>Operating Surplus/(Deficit)</b>	<b>(2,233)</b>	<b>16</b>	<b>66</b>	<b>230</b>	<b>(1,921)</b>

**GAAP FINANCIAL PLAN  
ALL FUNDS  
FY 2014  
(millions of dollars)**

	Major Funds				Total
	General Fund	Federal Special Revenue	General Debt Service	Other Governmental Funds	
<b>Revenues:</b>					
Taxes:					
Personal income tax	26,552	0	10,636	3,419	40,607
User taxes and fees	6,402	0	2,934	5,805	15,141
Business taxes	6,266	0	0	2,263	8,529
Other taxes	1,121	0	0	1,985	3,106
Public Health/Patient fees	0	0	0	5,011	5,011
Miscellaneous receipts	5,863	186	0	1,612	7,661
Federal grants	2	50,543	72	2,221	52,838
<b>Total revenues</b>	<u>46,206</u>	<u>50,729</u>	<u>13,642</u>	<u>22,316</u>	<u>132,893</u>
<b>Expenditures:</b>					
Grants to local governments	42,223	46,081	0	18,699	107,003
State operations	11,950	1,363	28	410	13,751
General State charges	6,616	289	0	99	7,004
Debt service	0	0	5,025	(283)	4,742
Capital projects	0	0	0	5,912	5,912
<b>Total expenditures</b>	<u>60,789</u>	<u>47,733</u>	<u>5,053</u>	<u>24,837</u>	<u>138,412</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	17,731	0	3,145	6,216	5,553
Transfers to other funds	(5,885)	(2,996)	(11,734)	(7,181)	(6,257)
Proceeds of General obligation bonds	0	0	0	338	338
Proceeds from financing arrangements/advance refundings	504	0	0	3,460	3,964
<b>Net other financing sources (uses)</b>	<u>12,350</u>	<u>(2,996)</u>	<u>(8,589)</u>	<u>2,833</u>	<u>3,598</u>
<b>Operating Surplus/(Deficit)</b>	<u>(2,233)</u>	<u>0</u>	<u>0</u>	<u>312</u>	<u>(1,921)</u>

**GAAP COMBINING STATEMENT  
GENERAL FUND  
FY 2014  
(millions of dollars)**

	001	003	007	166	013	008	323	325	326	331	334
<b>Receipts:</b>											
Personal income tax	0	26,552	0	0	0	0	0	0	0	0	0
User taxes and fees	0	6,402	0	0	0	0	0	0	0	0	0
Business taxes	0	6,266	0	0	0	0	0	0	0	0	0
Other taxes	0	1,121	0	0	0	0	0	0	0	0	0
Miscellaneous receipts	0	3,011	0	700	0	0	758	18	38	4	297
Federal grants	0	2	0	0	0	0	0	0	0	0	0
<b>Total receipts</b>	<b>0</b>	<b>43,354</b>	<b>0</b>	<b>700</b>	<b>0</b>	<b>0</b>	<b>758</b>	<b>18</b>	<b>38</b>	<b>4</b>	<b>297</b>
<b>Disbursements:</b>											
Grants to local governments	39,561	0	25	0	0	0	0	0	0	0	8
State operations	0	7,821	0	50	0	0	787	15	38	3	373
General State charges	0	4,259	0	650	0	0	27	2	0	1	31
Debt service	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<b>39,561</b>	<b>12,080</b>	<b>25</b>	<b>700</b>	<b>0</b>	<b>0</b>	<b>814</b>	<b>17</b>	<b>38</b>	<b>4</b>	<b>412</b>
<b>Other financing sources (uses):</b>											
Transfers from other funds	0	15,618	0	0	0	0	0	0	0	0	105
Transfers to other funds	(3,293)	(6,419)	0	0	0	0	(57)	0	0	0	0
Proceeds from financing arrangements/advance refundings	504	0	0	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	<b>(2,789)</b>	<b>9,199</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(57)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>105</b>
<b>Operating Surplus/(Deficit)</b>	<b>(42,350)</b>	<b>40,473</b>	<b>(25)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(113)</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>(10)</b>
<b>Receipts:</b>											
Personal income tax	0	343	351	352	353	394	395	396	397	450	Total
User taxes and fees	0	0	0	0	0	0	0	0	0	0	26,552
Business taxes	0	0	0	0	0	0	0	0	0	0	6,402
Other taxes	0	0	0	0	0	0	0	0	0	0	6,266
Miscellaneous receipts	2,187	2	2	1	2	2	2	19	49	0	1,121
Federal grants	0	0	0	0	0	0	0	0	0	0	5,863
<b>Total receipts</b>	<b>2,187</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>19</b>	<b>49</b>	<b>0</b>	<b>46,206</b>
<b>Disbursements:</b>											
Grants to local governments	2,629	0	0	0	0	0	0	0	0	0	42,223
State operations	4,015	2	2	1	2	1	3	16	50	0	11,950
General State charges	1,626	1	0	0	0	1	1	7	10	0	6,616
Debt service	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<b>8,270</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>4</b>	<b>23</b>	<b>60</b>	<b>0</b>	<b>60,789</b>
<b>Other financing sources (uses):</b>											
Transfers from other funds	6,229	0	0	0	0	0	0	8	10	0	17,731
Transfers to other funds	(355)	0	0	0	0	0	0	0	0	0	(5,885)
Proceeds from financing arrangements/advance refundings	0	0	0	0	0	0	0	0	0	0	504
<b>Net other financing sources (uses)</b>	<b>5,874</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>8</b>	<b>10</b>	<b>0</b>	<b>12,350</b>
<b>Operating Surplus/(Deficit)</b>	<b>(209)</b>	<b>(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2)</b>	<b>4</b>	<b>(1)</b>	<b>0</b>	<b>(2,233)</b>

**CASH TO GAAP CONVERSION TABLE**  
**GENERAL FUND**  
**FY 2014**  
(millions of dollars)

	Cash Financial Plan	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Elimin- ations	Intrafund Eliminations	Reclass- ification	GAAP Financial Plan
		Cash Revenue Funds	Special Revenue Funds	Other Funds	Other Funds						
<b>Receipts/Revenues:</b>											
Taxes:											
Personal income tax	28,488	0	0	0	28,488	(1,936)	0	0	0	0	26,552
User taxes and fees	6,548	0	0	0	6,548	(146)	0	0	0	0	6,402
Business taxes	6,375	0	0	0	6,375	(109)	0	0	0	0	6,266
Other taxes	1,069	0	0	0	1,069	52	0	0	0	0	1,121
Miscellaneous receipts	3,096	2,512		1,194	6,802	0	555	(1,229)		(265)	5,863
Federal Grants	2	0		0	2	0	0	0		0	2
<b>Total receipts/revenues</b>	<b>45,578</b>	<b>2,512</b>		<b>1,194</b>	<b>49,284</b>	<b>(2,139)</b>	<b>555</b>	<b>(1,229)</b>		<b>(265)</b>	<b>46,206</b>
<b>Disbursements/expenditures:</b>											
Grants to local governments	40,258	2,629		8	42,895	398	0	0	0	(1,070)	42,223
State operations	7,564	4,292		1,294	13,150	(43)	(38)	(1,229)		110	11,950
General State charges	4,953	1,626		81	6,660	(31)	593	0	0	(606)	6,616
Debt service	0	0		0	0	0	0	0	0	0	0
Capital projects	0	0		0	0	0	0	0	0	0	0
<b>Total disbursements/expenditures</b>	<b>52,775</b>	<b>8,547</b>		<b>1,383</b>	<b>62,705</b>	<b>324</b>	<b>555</b>	<b>(1,229)</b>		<b>(1,566)</b>	<b>60,789</b>
<b>Other financing sources (uses):</b>											
Transfers from other funds	15,678	6,229		123	22,030	0	(4,239)	0	0	(60)	17,731
Transfers to other funds	(8,382)	(438)		(58)	(8,878)	(5)	4,239	0	0	(1,241)	(5,885)
Proceeds from financing arrangements/ advance refundings	0	0		0	0	504	0	0	0	0	504
<b>Net other financing sources (uses)</b>	<b>7,296</b>	<b>5,791</b>		<b>65</b>	<b>13,152</b>	<b>499</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(1,301)</b>	<b>12,350</b>
<b>Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses</b>	<b>99</b>	<b>(244)</b>		<b>(124)</b>	<b>(269)</b>	<b>(1,964)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,233)</b>
<b>(Increase)/decrease in reserves</b>	<b>(99)</b>	<b>0</b>		<b>0</b>	<b>(99)</b>	<b>99</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Operating Surplus/(Deficit)</b>	<b>0</b>	<b>(244)</b>		<b>(124)</b>	<b>(368)</b>	<b>(1,865)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,233)</b>

CASH TO GAAP CONVERSION TABLE  
SPECIAL REVENUE FUNDS  
FY 2014  
(millions of dollars)

Estimated Cash Disbursements	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
	0	0	0	0	0	0	0	0	(1)	8,346
Taxes	(108)	(4,266)	(2,512)	(3,280)	0	(4,550)	0	0	0	1,126
Miscellaneous receipts	0	0	0	0	0	4,550	0	0	0	4,550
Public Health	0	0	0	0	5,536	0	(308)	0	177	50,543
Federal Grants	(108)	(4,266)	(2,512)	(3,280)	5,536	0	(308)	0	176	64,565
<b>Total receipts/revenues</b>										
	59,582	0	(2,629)	(59)	5,536	0	(238)	0	238	62,668
Disbursements/expenditures:										
Grants to local governments	(88)	(5,282)	(4,292)	(147)	0	0	(298)	0	(75)	1,761
State operations	0	(395)	(1,625)	(14)	0	0	0	0	(32)	388
General State charges	5	0	0	0	0	0	0	0	0	5
Capital projects	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements/expenditures</b>	(88)	(5,677)	(6,546)	(220)	5,536	0	(298)	0	131	64,822
<b>Other financing sources (uses):</b>										
Transfers from other funds	7,602	(1,555)	(6,229)	3,113	0	0	0	31	0	2,962
Transfers to other funds	(3,191)	86	438	0	0	0	9	(31)	0	(2,689)
<b>Net other financing sources (uses)</b>	4,411	(1,469)	(5,791)	3,113	0	0	9	0	0	273
<b>Operating Surplus/(Deficit)</b>	(247)	(58)	243	53	0	0	0	0	45	16

CASH TO GAAP CONVERSION TABLE  
CAPITAL PROJECTS FUND  
FY 2014  
(millions of dollars)

Estimated Cash Disbursements	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	COPS	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
	1,400	0	0	0	0	0	0	0	1,400
Taxes	4,211	(70)	(968)	(7)	0	0	(2,578)	74	662
Miscellaneous receipts	2,221	0	0	0	0	0	0	0	2,221
Federal Grants	0	0	0	0	0	0	0	0	0
<b>Total receipts/revenues</b>	7,832	(70)	(968)	(7)	0	0	(2,578)	74	4,283
<b>Disbursements/expenditures:</b>									
Grants to local governments	2,104	0	0	0	0	0	0	8	2,112
Capital projects	5,892	(48)	(70)	(1,003)	(7)	980	0	163	5,907
<b>Total disbursements/expenditures</b>	7,996	(48)	(70)	(1,003)	(7)	980	0	171	8,019
<b>Other financing sources (uses):</b>									
Transfers from other funds	1,557	(48)	0	0	0	0	0	0	1,509
Transfers to other funds	(1,505)	0	0	0	0	0	0	0	(1,505)
Proceeds of GO Bonds	338	0	0	0	0	0	0	0	338
Proceeds from Financing Arrangements/Advance Refundings	0	0	0	0	0	882	2,578	0	3,460
<b>Net other financing sources (uses)</b>	390	(48)	0	0	0	882	2,578	0	3,802
<b>Operating Surplus/(Deficit)</b>	226	0	35	0	0	(98)	0	(97)	66

CASH TO GAAP CONVERSION TABLE  
DEBT SERVICE FUND  
FY 2014  
(millions of dollars)

Estimated Cash Disbursements	SUNY Dorms (Fund 330)	LGAC	Reclass Patient Fees	SUNY/ CUNY DS	System Accruals	Estimated GAAP Expenditures
	17,124	0	0	0	172	17,296
Taxes	0	0	0	0	0	461
Patient fees	0	0	461	0	0	72
Federal Grants	72	0	0	0	0	10
Miscellaneous receipts	472	(1)	(461)	0	0	0
<b>Total receipts/revenues</b>	17,668	0	(1)	0	172	17,839
<b>Disbursements/expenditures:</b>						
State operations	40	0	0	0	0	40
Debt Service	5,743	0	0	(1,001)	0	4,742
<b>Total disbursements/expenditures</b>	5,783	0	0	(1,001)	0	4,782
<b>Other financing sources (uses):</b>						
Transfers from other funds	4,890	0	0	0	0	4,890
Transfers to other funds	(16,716)	0	0	(1,001)	0	(17,717)
<b>Net other financing sources (uses)</b>	(11,826)	0	0	(1,001)	0	(12,827)
<b>Operating Surplus/(Deficit)</b>	59	0	(1)	0	172	230

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>CAS FUND NUMBER</b>	<b>SFS FUND RANGE</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
001	10000-10049	Local Assistance Account	General
002	30000-30049	State Capital Projects Fund	Capital Projects
003	10050-10099	State Operations Account	General
004	10100-10149	Tax Stabilization Reserve Account	General
005	10150-10199	Contingency Reserve Fund	General
006	10200-10249	Universal Pre-Kindergarten Reserve	General
007	10250-10299	Community Projects Fund	General
008	10300-10349	Rainy Day Reserve Fund	General
017	10400-10449	Refund Reserve Account	General
019	20000-20099	Mental Health Gift and Donations Fund	Special Revenue
020	20100-20299	Combined Expendable Trust	Special Revenue
021	66000-66049	Agriculture Producers' Security Fund	Private Purpose Trust
022	66050-66099	Milk Producers' Security Fund	Private Purpose Trust
023	20300-20349	New York Interest on Lawyer Account	Special Revenue
024	20350-20399	NYS Archives Partnership Trust Fund	Special Revenue
025	20400-20449	Child Performer's Protection	Special Revenue
050	20450-20499	Tuition Reimbursement Fund	Special Revenue
052	20500-20549	NYS Local Government Records Management Improvement Fund	Special Revenue
053	20550-20599	School Tax Relief Fund	Special Revenue
054	20600-20649	Charter Schools Stimulus Fund	Special Revenue
055	20650-20699	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
061	20800-20849	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
064	40000-40049	Debt Reduction Reserve Fund	Debt Service
072	30050-30099	Dedicated Highway and Bridge Trust Fund	Capital Projects
073	20850-20899	Dedicated Mass Transportation Trust Fund	Special Revenue
074	30100-30299	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
075	30300-30349	NYS Canal System Development Fund	Capital Projects
076	30350-30399	State Park Infrastructure Fund	Capital Projects
077	30400-30449	Passenger Facility Charge Fund	Capital Projects
078	30450-30499	Environmental Protection Fund	Capital Projects
079	30500-30549	Clean Water/Clean Air Implementation Fund	Capital Projects
100	10450-10499	General Fund	General
101	30600-30609	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
103	30610-30619	Park and Recreation Land Acquisition Bond Fund	Capital Projects
105	30620-30629	Pure Waters Bond Fund	Capital Projects

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>CAS FUND NUMBER</b>	<b>SFS FUND RANGE</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
106	30750-30799	Outdoor Recreation Development Bond Fund	Capital Projects
109	30630-30639	Transportation Capital Facilities Bond Fund	Capital Projects
115	30640-30649	Environmental Quality Protection Fund	Capital Projects
118		Rail Preservation and Development Bond Fund	Capital Projects
119	30700-30749	State Housing Bond Fund	Capital Projects
121	30650-30659	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
123	30660-30669	Transportation Infrastructure Renewal Bond Fund	Capital Projects
124	30670-30679	Environmental Quality Bond Act Fund	Capital Projects
126	30680-30689	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
127	30690-30699	Clean Water/Clean Air Bond Fund	Capital Projects
130	60050-60149	School Capital Facilities Financing Reserve Fund	Agency
135	60150-60199	Child Performer's Holding Fund	Agency
136	60150-60199	Child Performer's Holding Fund	Agency
137	60150-60199	Child Performer's Holding Fund	Agency
152	60200-60249	Employees Health Insurance Fund	Agency
153	60250-60299	Social Security Contribution Fund	Agency
154	60300-60399	Payroll Deduction Escrow Fund	Agency
160	20900-20949	State Lottery Fund	Special Revenue
162	60400-60449	Employees Dental Insurance	Agency
163	60450-60499	Management Confidential Group Insurance Fund	Agency
165	60500-60549	Lottery Prize Fund	Agency
166	10500-10549	Fringe Benefit Escrow Account	General
167	60550-60599	Health Insurance Reserve Receipts Fund	Agency
169	60600-60799	Miscellaneous NYS Agency Fund	Agency
175	60800-60849	EPIC Escrow Fund	Agency
176	60850-60899	CUNY Senior College Operating Fund	Agency
179	60900-60949	MMIS Statewide Escrow Fund	Agency
221	20950-20999	Combined Student Loan Fund	Special Revenue
225	23650-23699	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
261	25000-25099	Federal USDA/Food and Nutrition Services Fund	Special Revenue
265	25100-25199	Federal Health and Human Services Fund	Special Revenue
267	25200-25249	Federal Education Fund	Special Revenue
269	25250-25299	Federal Block Grants Fund	Special Revenue

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>CAS FUND NUMBER</b>	<b>SFS FUND RANGE</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
290	25300-25899	Federal Miscellaneous Operating Grants Fund	Special Revenue
291	31350-31449	Federal Capital Projects Fund	Capital Projects
300	21000-21049	Sewage Treatment Program Management and Administration Fund	Special Revenue
301	21050-21149	Environmental Conservation Special Revenue Fund	Special Revenue
302	21150-21199	Conservation Fund	Special Revenue
303	21200-21249	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
304	40100-40149	Mental Health Services Fund	Debt Service
305	21250-21299	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
306	21300-21349	Lawyers' Fund For Client Protection	Special Revenue
307	21350-21399	Equipment Loan Fund for the Disabled	Special Revenue
309	60950-60999	Special Education Fund	Agency
310	31450-31499	Forest Preserve Expansion Fund	Capital Projects
311	40150-40199	General Debt Service Fund	Debt Service
312	31500-31549	Hazardous Waste Remedial Fund	Capital Projects
313	21400-21449	Mass Transportation Operating Assistance Fund	Special Revenue
314	21450-21499	Clean Air Fund	Special Revenue
316	40250-40299	Housing Debt Fund	Debt Service
318	21500-21549	New York State Infrastructure Trust Fund	Special Revenue
319	40300-40349	Department of Health Income Fund	Debt Service
321	21550-21599	Legislative Computer Services Fund	Special Revenue
323	55000-55049	Centralized Services Account	Internal Service
324	50000-50049	Youth Commissary Fund	Enterprise
325	50050-50099	State Exposition Special Account	Enterprise
326	50100-50299	Correctional Services Commissary Fund	Enterprise
327	31650-31699	Suburban Transportation Fund	Capital Projects
328	21600-21649	Biodiversity Stewardship and Research Fund	Special Revenue
330	40350-40399	State University Dormitory Income Fund	Debt Service
331	50300-50399	Agency Enterprise Fund	Enterprise
332	21650-21699	Combined Non-Expendable Trust Fund	Special Revenue
333	21700-21749	Winter Sports Education Trust Fund	Special Revenue
334	55050-55099	Agency Internal Service Account	Internal Service
335	21750-21799	Musical Instrument Revolving Fund	Special Revenue
338	21850-21899	Arts Capital Revolving Fund	Special Revenue
339	21900-22499	Earmarked Revenue Account	Special Revenue
340	22500-22549	Court Facilities Incentive Aid Fund	Special Revenue
341	22550-22599	Employment Training Fund	Special Revenue
342	22600-22649	Homeless Housing and Assistance Fund	Special Revenue

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>CAS FUND NUMBER</b>	<b>SFS FUND RANGE</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
343	55100-55149	Mental Hygiene Revolving Account	Internal Service
344	61000-61099	State University New York Revenue Collection Fund	Agency
345	22650-22699	State University Income Fund	Special Revenue
346	22700-22749	Chemical Dependence Service Fund	Special Revenue
347	55150-55199	Youth Vocational Education Account	Internal Service
348	10550-10599	Tobacco Revenue Guarantee Fund	General
349	22750-22799	Lake George Park Trust Fund	Special Revenue
351	50400-50449	Office of Mental Health Sheltered Workshop Fund	Enterprise
352	50450-50499	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
353	50500-50599	Mental Hygiene Community Stores Account	Enterprise
354	22800-22849	State Police and Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
355	22850-22899	New York Great Lakes Protection Fund	Special Revenue
357	31700-31749	Division For Youth Facilities Improvement Fund	Capital Projects
359	22900-22949	Federal Revenue Maximization Contract Fund	Special Revenue
360	22950-22999	Housing Development Fund	Special Revenue
361	40400-40449	Clean Water/Clean Air Fund	Debt Service
362	23000-23049	NYS DOT Highway Safety Program Fund	Special Revenue
364	40450-40499	Local Government Assistance Tax Fund	Debt Service
365	23050-23099	Vocational Rehabilitation Fund	Special Revenue
366	23100-23149	Drinking Water Program Management and Administration Fund	Special Revenue
368	23150-23199	New York City County Clerks' Operations Offset Fund	Special Revenue
369	23200-23249	Judiciary Data Processing Offset Fund	Special Revenue
374	31800-31849	Housing Assistance Fund	Capital Projects
376	31850-31899	Housing Program Fund	Capital Projects
377	23250-23449	IFR/City University Tuition Fund	Special Revenue
378	31900-31949	Natural Resource Damages Fund	Capital Projects
380	31950-31999	Department of Transportation Engineering Services Fund	Capital Projects
382	61100-61199	State University Federal Direct Lending Program Fund	Agency
384	32400-32999	State University Capital Projects Fund	Capital Projects
385	23500-23549	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
387	32200-32249	Miscellaneous Capital Projects Fund	Capital Projects

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>CAS FUND NUMBER</b>	<b>SFS FUND RANGE</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
388	32250-32299	City University of New York Capital Projects Fund	Capital Projects
389	32300-32349	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
390	23550-23599	Indigent Legal Services Fund	Special Revenue
394	55200-55249	Joint Labor and Management Administration Account	Internal Service
395	55250-55299	Audit and Control Revolving Account	Internal Service
396	55300-55349	Health Insurance Revolving Account	Internal Service
397	55350-55399	Correctional Industries Revolving Account	Internal Service
399	32350-32399	Correctional Facilities Capital Improvement Fund	Capital Projects
400	65000-65049	Common Retirement Fund	Pension Trust
480	25900-25949	Federal Unemployment Insurance Administration Fund	Special Revenue
481	50650-50699	Unemployment Insurance Benefit Fund	Enterprise
482	23600-23649	Unemployment Insurance Interest and Penalty Fund	Special Revenue
484	25950-25999	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
486	26000-26049	Federal Emergency Employment Act Fund	Special Revenue

# STATE OF NEW YORK FUND STRUCTURE

