

NEW YORK STATE

**FIRST QUARTERLY UPDATE TO THE
FINANCIAL PLAN FOR FISCAL YEAR 2013**

PROJECTIONS FOR FY 2013 THROUGH FY 2016

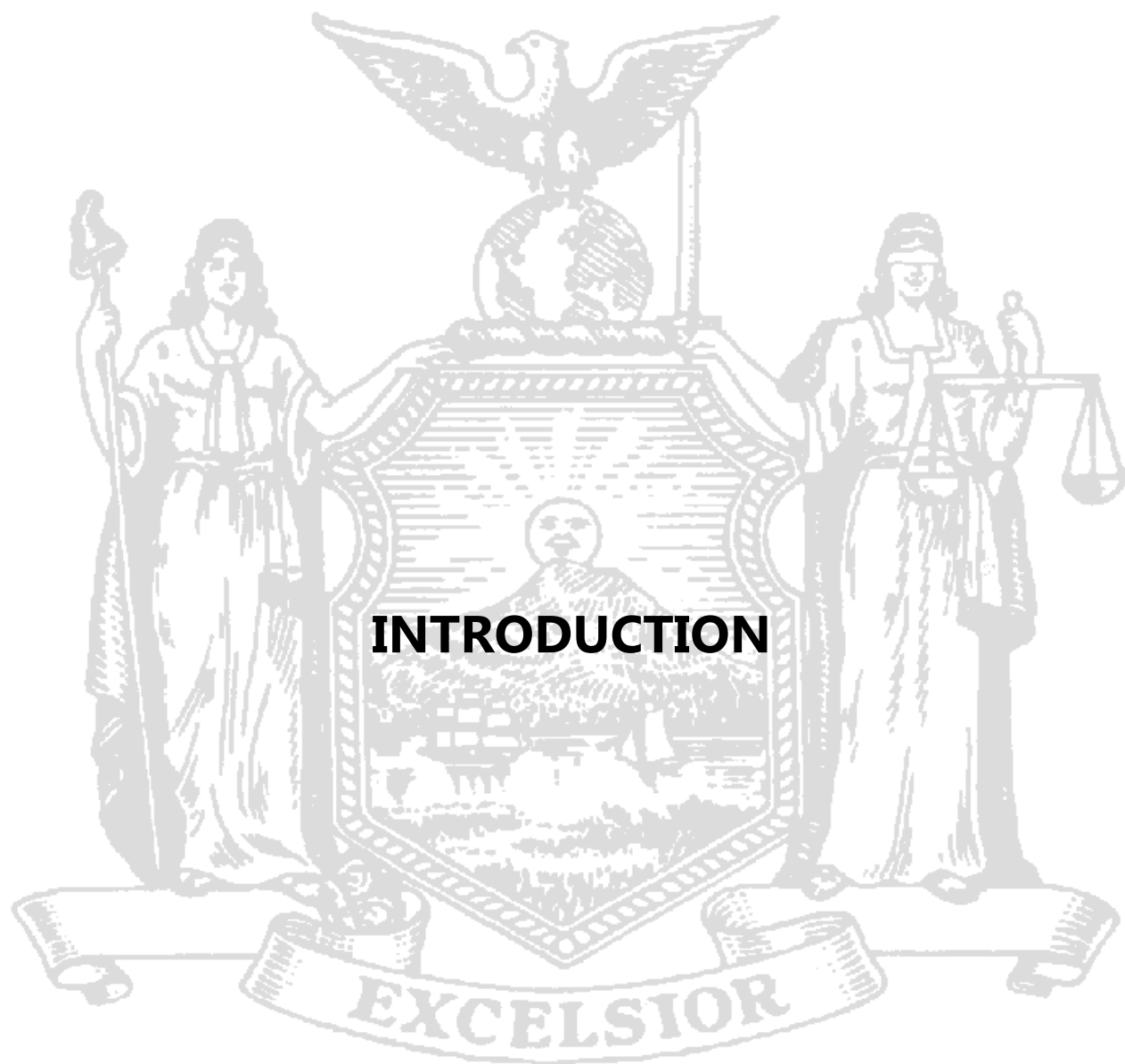


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JULY 2012

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INTRODUCTION

INTRODUCTION

This is the First Quarterly Update to the Enacted Budget Financial Plan (the “Updated Financial Plan”) for FY 2013. Except for the specific revisions described herein, the projections (and the assumptions upon which they are based) in the Updated Financial Plan are consistent with the projections set forth in the Enacted Budget Financial Plan. Readers should refer to the Enacted Budget Financial Plan for a complete explanation of the receipts and disbursements projections for FYs 2013 through 2016. The State’s FY 2013 began on April 1, 2012 and ends on March 31, 2013. DOB¹ expects to next update the Financial Plan projections in October 2012.

The State’s General Fund — the fund that receives the majority of State taxes and all income not earmarked for a particular program or activity — is required to be balanced on a cash basis of accounting. The State Constitution and State Finance Law do not define budget balance. In practice, the General Fund is considered balanced on a cash basis of accounting if sufficient resources are expected to be available during the fiscal year for the State to (a) make all required payments, including PIT refunds, without the issuance of deficit notes or bonds, and (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began.

The General Fund is typically the financing source of last resort for the State’s other major funds, including HCRA funds, the DHBTF, the STAR Fund, and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required to be balanced, the focus of the State’s budget discussion is often weighted toward the General Fund.

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables sort State projections and results by fund and category. The State also reports disbursements and receipts activity by two other broad measures: State Operating Funds, which includes the General Fund and funds specified for dedicated purposes, but excludes Federal Funds and Capital Projects Funds; and All Governmental Funds (“All Funds”), which includes both State and Federal Funds and provides the most comprehensive view of the financial operations of the State.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; Capital Projects Funds, which account for costs incurred in the construction, rehabilitation, and related expenses of infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and its public authorities.

¹ Please see “Glossary of Acronyms” at the end of this document for the definitions of commonly used acronyms and abbreviations that appear in the text.

INTRODUCTION

The factors affecting the State's financial condition are complex. This Updated Financial Plan contains forecasts, projections, and estimates that are based on expectations and assumptions which existed at the time they were prepared. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Updated Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects,” “forecasts,” “projects,” “intends,” “anticipates,” “estimates,” and analogous expressions are intended to identify forward-looking statements in the Updated Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions, changes in political, social and economic conditions, political, legal, or administrative impediments to the implementation of gap-closing actions, regulatory initiatives and compliance with governmental regulations, litigation and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date they were prepared.



FINANCIAL PLAN OVERVIEW

FINANCIAL PLAN OVERVIEW

FY 2013 UPDATE

In this Updated Financial Plan, DOB estimates that the General Fund will remain in balance in FY 2013, consistent with the Enacted Budget Financial Plan.

General Fund receipts, including transfers from other funds, are now expected to total \$58.9 billion in FY 2013, a decrease of \$5 million from the Enacted Budget forecast. General Fund disbursements, including transfers to other funds, are expected to total \$59.2 billion, an increase of \$340 million. The increase in estimated disbursements is due almost exclusively to retroactive payments pursuant to labor settlements reached with two public employee unions since the enactment of the FY 2013 budget. The payments, which are expected to be made in the second and third quarters of the current fiscal year, are expected to total \$345 million and will be financed from General Fund balances designated for this purpose.

General Fund disbursements, including transfers to other funds, totaled \$14.3 billion through June 2012, or approximately \$700 million below the Enacted forecast. The lower spending compared to the forecast was due mainly to the timing of several large payments originally anticipated to be made in June 2012 but now expected to occur by the end of the second quarter of FY 2013. After adjusting for these timing-related variances, disbursements to date appear to be generally consistent with the Enacted Budget forecast through the first quarter, except for the specific revisions described in the section titled “Multi-Year Financial Plan Revisions”.

Operating results through the first quarter of FY 2013 were positive in comparison to the estimate in the Enacted Budget Financial Plan. (See “FY 2013 Year-to-Date Operating Results” herein.) General Fund receipts, including transfers from other funds, totaled \$15.4 billion through June 2012, \$115 million above the Enacted forecast. The positive receipts results observed in April 2012 were offset in part by weaker June 2012 results. The downside risks to the national economy from slowing global growth emphasized in the Enacted Budget Financial Plan appear to have intensified, and DOB will continue to monitor the uncertainties and risks regarding the economic and receipts forecast. (See “Multi-Year Financial Projections – Economic Backdrop” herein.)

The Financial Plan is subject to a number of risks, including the strength and duration of the economic recovery and the execution of specific transactions. (See “Other Matters Affecting the Financial Plan” herein.)

DOB estimates that the General Fund will end FY 2013 with a balance of \$1.5 billion in FY 2013, a decrease of \$345 million from the estimate included in the Enacted Budget Financial Plan. This decline reflects the use of the fund balance designated for the payment of retroactive labor settlements. Since enactment of the FY 2013 budget, the State has reached agreements with NYSCOPBA and Council 82.

FINANCIAL PLAN OVERVIEW

MULTI-YEAR FINANCIAL PLAN REVISIONS

The following table summarizes the revisions to the Enacted Budget Financial Plan that affect General Fund operating projections.² Descriptions of the changes follow the table.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS SUMMARY OF MAJOR CHANGES FROM ENACTED BUDGET (millions of dollars)				
	FY 2013	FY 2014	FY 2015	FY 2016
ENACTED BUDGET SURPLUS/(GAP) FORECAST	0	(950)	(3,415)	(4,130)
Collective Bargaining (Net Impact)	0	0	(59)	(95)
Litigation: Gyrodyne Judgment	(16)	4	4	4
Receipts Forecast	49	2	(75)	(102)
Spending Forecast	(33)	(38)	(45)	(47)
UPDATED BUDGET SURPLUS/(GAP) FORECAST	0	(982)	(3,590)	(4,370)

- Collective Bargaining:** Since enactment of the FY 2013 Budget, the State has reached labor settlements with the members of NYSCOPBA (representing correction officers and facility security employees) and Council 82 (representing lieutenants and facility security supervisors). Similar to the settlements agreed to between the State and its other public employee unions, the new settlements provide for no general salary increases for three years (FY 2012 through FY 2014), followed by a 2 percent increase in each of FY 2015 and FY 2016, and a \$1,000 lump sum payment (\$775 paid in FY 2014 and \$225 paid in FY 2015). In addition, NYSCOPBA and Council 82 employees will receive retroactive wage increases of 3 percent and 4 percent for FY 2010 and FY 2011, respectively, consistent with the terms agreed to by the State's largest unions for that period. Employee compensation will be temporarily reduced in conjunction with a deficit reduction program that is similar to those in effect for other employee unions. A portion of these reductions will be repaid in installments beginning in FY 2016.

The General Fund costs of these labor settlements, which includes the retroactive wage increases, are estimated at \$345 million in FY 2013; \$144 million in FY 2014; \$167 million in FY 2015; and \$199 million in FY 2016. The personal service estimates for agencies affected by the new settlements have been increased by these amounts, and the General Fund balances designated to finance the retroactive portion of the settlements have been decreased. The reserves, which were previously set aside for this purpose in each year of the Financial Plan (i.e., already counted in the projected General Fund

² Certain revisions displayed on the financial plan tables for reclassifications and reallocations of receipts and disbursements projections are excluded from the discussion of revisions since they have no net Financial Plan impact. These adjustments include changes in planned transfers from other funds offset by a commensurate change in planned transfers to other funds, and revisions related to reallocation of reductions in State agency operations included in the Enacted Budget that affect both receipts and disbursements, including transfers.

budget gaps) are expected to be sufficient to cover these labor settlement costs in FY 2013 and FY 2014, consistent with other settlements. Starting in FY 2015, the new settlements and the maintenance of a reserve for certain unsettled (prior to FY 2012) unions will require modest additional General Fund resources.

- **Litigation:** The Court of Appeals upheld a decision by the Court of Claims ordering the State to compensate the Gyrodyne Company of America, Inc. in connection with a taking of certain real property by the State University of New York at Stony Brook in 2005. The State paid the judgment, which totaled \$167 million, on July 5, 2012. The State funded the capital portion (and eligible expenses) of the judgment through the issuance of bonds (\$135 million) and the remaining costs through the State's General State Charges appropriation for Court of Claims settlements (\$32 million). The capital costs related to the judgment will be accommodated within SUNY's existing capital appropriations and the State's planned debt issuances for FY 2013. No increase in SUNY capital program was required. SUNY is expected to reimburse the State for the remaining costs over a multi-year period through transfers of money from SUNY special revenue funds to the General Fund. The FY 2013 transfer from SUNY to reimburse the General Fund is expected to total \$16 million, which after the \$32 million Court of Claims settlement payment, results in a \$16 million net cost to the General Fund in the current year.
- **Receipts Forecast:** Estimated tax collections have been revised down modestly over the multi-year Financial Plan, mainly reflecting lower sales tax collections based on a decline in the sales tax base and experience to date. The receipts forecast has been revised upward to reflect the receipt of an additional \$75 million from civil recoveries by district attorneys in FY 2013 and additional miscellaneous reimbursements (\$50 million in FY 2013 and FY 2014).
- **Spending Forecast:** DOB has revised its spending projections slightly upward to account for recent trends and experience, as well as other known factors. The significant changes include an upward revision to estimated General State Charges reflecting increases in workers' compensation expenses; increased funding for reimbursements made in June 2012 to school districts for higher than planned MTA payroll tax costs and revised assumptions for spending from education grants. These increases are partly offset by an increase to estimated lottery receipts in the current year based on actual results to date, which lowers the transfer from the General Fund to the Lottery Fund.

FINANCIAL PLAN OVERVIEW

OTHER MATTERS AFFECTING THE FINANCIAL PLAN

GENERAL

The Updated Financial Plan is subject to many complex economic, social, financial, and political risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Enacted Budget are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In certain fiscal years, actual receipts collections have fallen substantially below the levels forecast in the Financial Plan.

The Updated Financial Plan is based on numerous assumptions, including the condition of the State and national economies and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include the impact of: national and international events, such as the Euro-zone financial crisis, consumer confidence, oil supplies, and oil prices; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments on bonus income and capital gains realizations; and household debt reduction on consumer spending and State tax collections.

Among other factors, the Updated Financial Plan is subject to various other uncertainties and contingencies relating to: the extent, if any, to which wage increases for State employees exceed the annual wage costs assumed; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid contemplated by the Updated Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these specific issues are described in more detail herein. The projections and assumptions contained in the Updated Financial Plan are subject to revisions which may involve substantial change. No assurance can be given that these estimates and projections, which include actions the State expects to be taken but which are not within the State's control, will be realized.

BUDGET RISKS AND UNCERTAINTIES

There can be no assurance that the General Fund will end the current fiscal year in balance on a budgetary (cash) basis of accounting, or that the budget gaps will not increase materially from current projections. If such events were to occur, the State would be required to take additional gap-closing actions. These may include, but are not limited to, additional reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by the action of the Governor.

State law grants the Executive certain powers to achieve the Medicaid savings assumed in the Financial Plan. However, there can be no assurance that these powers will be sufficient to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated in the Enacted Budget Financial Plan. In addition, savings are dependent upon timely Federal approvals, appropriate amendments to existing systems and processes, revenue performance in the State's HCRA fund, which provides support for approximately one-third of the DOH State-share of Medicaid costs, and the participation of health care industry stakeholders. In particular, funding resources that are expected to be generated through health care surcharges and other provider assessments may decline as a result of Medicaid Redesign initiatives which reduce expenditures and unnecessary utilization, as well as from the continued shift of fee-for-service delivery models to managed care. An inability to achieve these planned savings would reduce the available funding support for Medicaid from HCRA, and could potentially require a commensurate level of additional General Fund support in order to meet program needs.

The forecast contains specific transaction risks and other uncertainties including, but not limited to, the receipt of certain payments from public authorities; the receipt of miscellaneous receipts at the levels expected in the Financial Plan, including payments pursuant to the Tribal-State Compact that have failed to materialize in prior years; and the achievement of cost-saving measures including, but not limited to, the transfer of available fund balances to the General Fund at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Financial Plan in the current year or future years.

HEALTH INSURANCE COMPANY CONVERSIONS

State law permits a health insurance company to convert its organizational status from a not-for-profit to a for-profit corporation (a "health care conversion"), subject to a number of terms, conditions, and approvals. Under State law, the State is entitled to proceeds from a health care conversion, and such must be used for health-care-related expenses. The Updated Financial Plan counts on proceeds of \$250 million in FY 2013 and \$300 million annually in FYs 2014, 2015, and 2016, which would be deposited into the HCRA account. If a conversion does not occur on the timetable or at the levels assumed in the Financial Plan, the State would be required to take other actions to increase available resources or to reduce planned spending in HCRA.

FINANCIAL PLAN OVERVIEW

STATUS OF CURRENT LABOR NEGOTIATIONS

The State has multi-year labor contracts with its two largest employee unions, CSEA and PEF, as well as NYSPBA (representing the APSU bargaining unit, formerly ALES), NYSCOPBA, and Council 82. The contracts provide for no general salary increases for FY 2012 through FY 2014, substantial increases to employee health insurance contributions, and a temporary reduction in employee compensation through a deficit reduction program. Employees will receive a \$1,000 lump sum payment (\$775 paid in FY 2014 and \$225 paid in FY 2015); a 2 percent salary increase in each of FY 2015 and FY 2016; and be repaid the value of FY 2013 deficit reduction adjustments at the end of their contract term. The PEF contract generally mirrors the provisions for the other unions, but the contract covers a four-year period. PEF employees will receive a 2 percent salary increase in FY 2015.

Employees in the unions that have reached settlements with the State have contingent layoff protection for FY 2013 and continuing protection for the full term of the agreements. Reductions in force due to management decisions to close or restructure facilities authorized by legislation, SAGE Commission recommendations, or material or unanticipated changes in the State's fiscal circumstances are not covered by this protection.

The State is in negotiations with its other unions, the largest of which is UUP, which represents faculty and non-teaching professional staff within the State University system.

LABOR SETTLEMENTS FOR PRIOR CONTRACT PERIODS

The Updated Financial Plan continues to include a planned reserve to cover the costs of a pattern settlement with unions that have not agreed to contracts for prior contract. The amount of the reserve is based on the general salary increases agreed to by the State's largest unions for the same period. There can be no assurance that actual settlements for prior periods will not exceed the amounts reserved. In addition, the State's ability to fund the amounts reserved in FY 2014 and beyond depends on the achievement of balanced budgets in those years.

In August 2011, a statutorily authorized judicial compensation commission authorized a multi-year plan to provide salary increases for judges beginning in FY 2013, which will automatically take effect barring action by the Legislature and the Governor to obviate the increases. The Updated Financial Plan assumes salary increases in the Judiciary's current budget projections.

CASH-FLOW PROJECTIONS

The State authorizes the General Fund to borrow resources temporarily from available funds in STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other moneys belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All

FINANCIAL PLAN OVERVIEW

Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

In FY 2012, the General Fund used STIP to meet certain payment obligations during April 2011, and repaid such amounts by the end of the same month.

Based on current information, DOB expects that the State will have sufficient liquidity to make payments as they become due throughout FY 2013, but that the General Fund may, from time to time, need to borrow resources temporarily from other funds in STIP. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds, continues to be set aside as required by law and bond covenants. Consistent with prior years, DOB estimates that General Fund balances will reach relatively low levels in August and December 2012.

The following table provides actual month-end balances through June 2012 and estimated balances for the remaining months in FY 2013.

ALL FUNDS MONTH-END CASH BALANCES FY 2013 (millions of dollars)			
	General Fund	Other Funds	All Funds
April (Results)	5,637	2,349	7,986
May (Results)	2,018	2,831	4,849
June (Results)	2,935	2,070	5,005
July (Est.)	2,575	2,346	4,921
August (Est.)	1,272	3,405	4,677
September (Est.)	4,098	971	5,069
October (Est.)	3,056	1,383	4,439
November (Est.)	1,935	2,337	4,272
December (Est.)	1,327	780	2,107
January (Est.)	5,342	2,149	7,491
February (Est.)	5,721	2,818	8,539
March (Est.)	1,474	1,743	3,217

FEDERAL ACTIONS

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes. Any reduction in Federal funding levels could have a materially adverse impact on the State's Updated Financial Plan.

The Federal Budget Control Act ("BCA") of 2011 imposed annual caps on Federal discretionary spending over a ten-year period. The specific spending reductions necessary for Congress to live within the caps will be decided through the annual Federal budget process, so

FINANCIAL PLAN OVERVIEW

the magnitude of impact on Federal funds for the State has yet to be determined. Further, if additional deficit reduction is not enacted, the BCA directs that savings be achieved through sequestration of FY 2013 funding, with across-the-board cuts to Federal discretionary programs scheduled for January 2013, and lower discretionary caps in the following eight years. It is estimated that Federal non-defense discretionary programs would face an across-the-board reduction of approximately 7.8 percent in January 2013.

The State is analyzing the potential impact of the BCA on the State Financial Plan and State economy. If the sequester is implemented, DOB estimates that New York State and local governments could lose approximately \$5 billion in Federal funding over nine years, beginning in FY 2013, from these additional Federal deficit reduction measures. DOB expects to make adjustments to the Financial Plan as more definitive information becomes available.

In addition, the Financial Plan may be adversely affected by other actions taken by the Federal government, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For example, all Medicaid claims are subject to audit and review by the Federal government, and, although no official audit has commenced, questions have been raised with respect to the reimbursement methodology used for New York State OPWDD-delivered developmental center services. The rates paid for these services are established in full accordance with the methodology set forth in New York's federally-approved State Plan. While New York State continues to work collaboratively with its Federal partners to resolve these concerns, adverse action by the Federal government relative to these claims could jeopardize a significant amount of Federal financial participation in the State Medicaid program.

LITIGATION

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the State's Financial Plan.

OTHER POST-EMPLOYMENT BENEFITS

State employees become eligible for post-employment benefits (health insurance) if they reach retirement while working for the State with at least ten years of service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a PAYGO basis as required by law.

In accordance with GASB 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2012, the ARC represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated with interest as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2012, an actuarial valuation of OPEB liabilities was performed as of April 1, 2010. The valuation calculated the present value of the actuarial accrued total liability for benefits as of April 1, 2010 at \$72.1 billion (\$59.7 billion for the State and \$12.4 billion for SUNY), determined using the Frozen Entry Age actuarial cost method, and is amortized over an open period of 30 years using the level percentage of projected payroll amortization method.

The net OPEB liability for FY 2012 totaled \$3.9 billion (\$3.1 billion for the State and \$0.8 billion for SUNY) under the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. This was \$2.5 billion (\$1.9 billion for the State and \$0.6 billion for SUNY) above the payments for retiree costs made by the State in FY 2012. This difference between the State's PAYGO costs and the actuarially determined required annual contribution under GASB 45 reduced the State's currently positive net asset condition at the end of FY 2012 by \$2.5 billion.

GASB does not require the additional costs to be funded on the State's budgetary (cash) basis, and no funding is assumed for this purpose in the Financial Plan. The State continues to finance these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the current Financial Plan to pre-fund OPEB liabilities. If such liabilities were pre-funded, the additional cost above the PAYGO amounts would be lowered. The State's Health Insurance Council, which consists of GOER, Civil Service, and DOB, will continue to review this matter and seek input from the State Comptroller, the legislative fiscal committees and other outside parties. However, it is not expected that the State will alter its planned funding practices in light of existing fiscal conditions.

FINANCIAL PLAN OVERVIEW

BOND MARKET

Implementation of the Enacted Budget Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be affected adversely. The success of projected public sales is subject to prevailing market conditions. Future developments in the financial markets generally, as well as future developments concerning the State, may affect the market for outstanding State-supported and State-related debt.

DEBT REFORM ACT LIMIT

The Debt Reform Act of 2000 restricts the issuance of State-supported debt to capital purposes only and limits such debt to a maximum term of 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued on and after April 1, 2000. The cap on new State-supported debt outstanding began at 0.75 percent of personal income in FY 2001 and was fully phased in at 4 percent of personal income during FY 2011, while the cap on new State-supported debt service costs began at 0.75 percent of All Funds receipts in FY 2001 and will increase until it is fully phased in at 5 percent during FY 2014. The State was found to be in compliance with the statutory caps for the most recent calculation period (October 2011).

Current projections estimate that debt outstanding and debt service will continue to remain below the limits imposed by the Act. However, the State is continuing through a period of relatively limited debt capacity. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$3.6 billion in FY 2012 to \$752 million in FY 2014. The State is continuing to implement measures to address capital spending priorities and debt financing practices.

FINANCIAL PLAN OVERVIEW

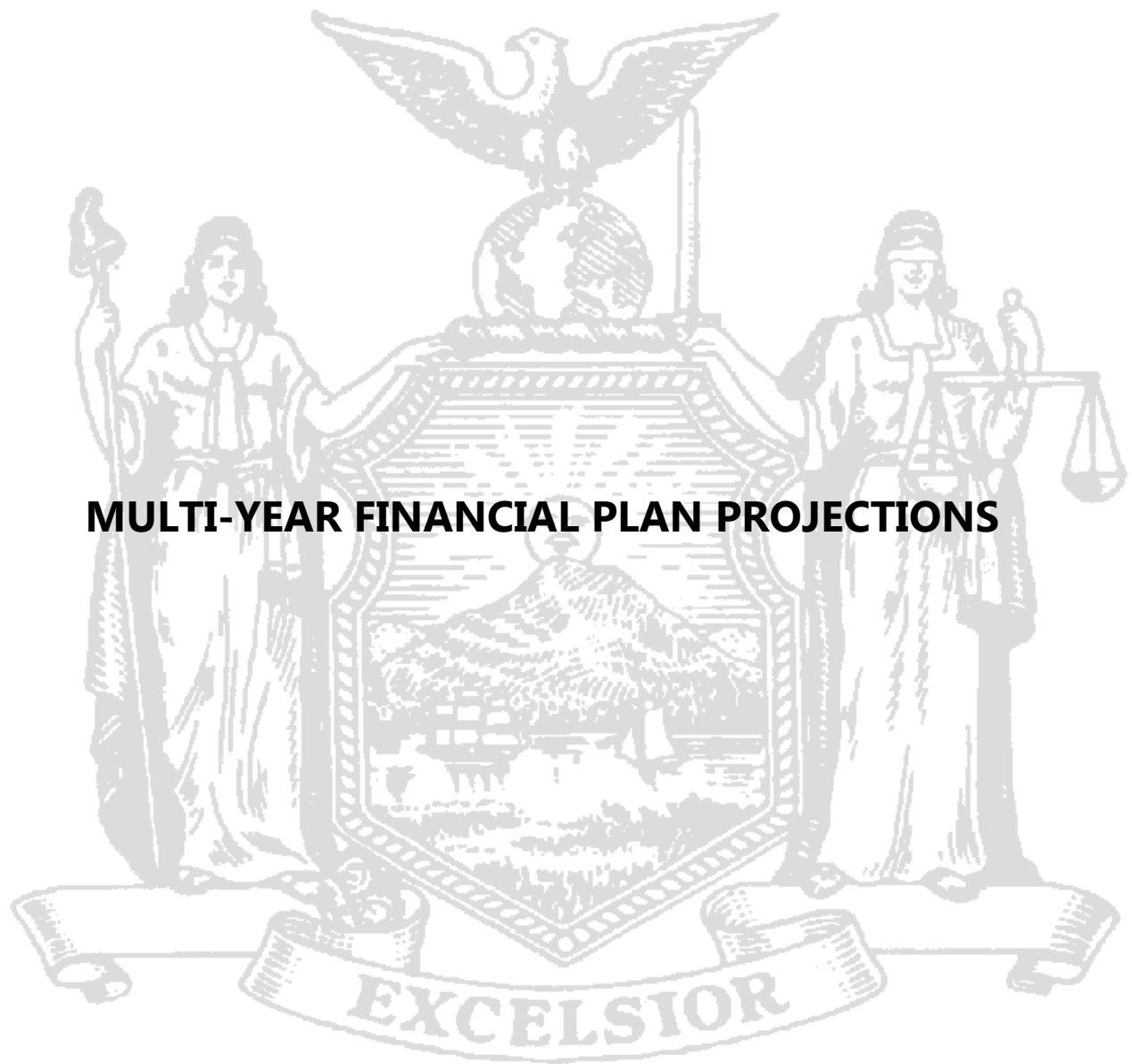
NEW DEBT OUTSTANDING - CAPPED AT 4 PERCENT OF PERSONAL INCOME (millions of dollars)					
<u>Year</u>	<u>Personal Income</u>	<u>Cap %</u>	<u>Actual/ Recommended %</u>	<u>\$ (Above)/Below Cap</u>	<u>% (Above)/Below Cap</u>
FY 2012	983,868	4.00%	3.64%	3,552	0.36%
FY 2013	1,018,904	4.00%	3.87%	1,366	0.13%
FY 2014	1,068,876	4.00%	3.93%	752	0.07%
FY 2015	1,129,704	4.00%	3.91%	1,064	0.09%
FY 2016	1,187,324	4.00%	3.87%	1,524	0.13%
FY 2017	1,245,033	4.00%	3.79%	2,610	0.21%

NEW DEBT SERVICE COSTS - CAPPED AT 5 PERCENT OF ALL FUNDS RECEIPTS (millions of dollars)					
<u>Year</u>	<u>All Funds Receipts</u>	<u>Cap %</u>	<u>Actual/ Recommended %</u>	<u>\$ (Above)/Below Cap</u>	<u>% (Above)/Below Cap</u>
FY 2012	132,745	4.65%	2.65%	2,652	2.00%
FY 2013	133,444	4.98%	2.85%	2,848	2.13%
FY 2014	138,732	5.00%	2.96%	2,830	2.04%
FY 2015	142,887	5.00%	3.05%	2,793	1.95%
FY 2016	147,712	5.00%	3.12%	2,782	1.88%
FY 2017	153,922	5.00%	3.16%	2,834	1.84%

SECURED HOSPITAL PROGRAM

Under the Secured Hospital program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to issue debt. The contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by the New York State MCFFA and by DASNY through the Secured Hospital program. In the event there are shortfalls in revenues from other sources, which include hospital payments made under loan agreements between DASNY and the hospitals, and certain reserve funds held by the applicable trustees for the bonds, the State is liable for the debt service. As of March 31, 2012, there was about \$503 million of outstanding bonds for the program.

The financial condition of most hospitals in the State's Secured Hospital Program continues to deteriorate. Of the nine hospitals in the program, several are experiencing significant operating losses that are likely to impair their ability to remain current on their loan agreements with DASNY. In relation to the Secured Hospital Program, the Financial Plan projections continue to reflect the assumption of additional costs of \$3 million in FY 2013, \$32 million in FY 2014, and \$39 million annually thereafter. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for four hospitals that currently are not meeting the terms of their agreement with DASNY. The State has additional exposure of up to a maximum of \$39 million annually, if all additional hospitals in the program failed to meet the terms of their agreement with DASNY and if available reserve funds were depleted.



MULTI-YEAR FINANCIAL PLAN PROJECTIONS

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

INTRODUCTION

This section presents the State's updated multi-year Financial Plan and the projections for receipts and disbursements, reflecting the impact of the revisions to the Enacted Budget Financial Plan described in this Updated Financial Plan. This section includes FY 2012 results and projections for 2013 through 2016, with an emphasis on the FY 2013 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes, complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The latter perspective reflects overall estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Over 40 percent of projected State-financed spending for operating purposes is accounted for outside of the General Fund and is primarily concentrated in the areas of health care, School Aid, higher education, transportation and mental hygiene. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish the further removed such estimates and projections are from the date of this Updated Financial Plan. Accordingly, in terms of out-year projections (FY 2014 through FY 2016), FY 2014 is the most relevant from a planning perspective.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

MULTI-YEAR SUMMARY

The following tables present the multi-year projections and growth rates for the General Fund and State Operating Funds, as well as a reconciliation between the State Operating Funds projections and the General Fund budget gaps³. The tables are followed by an updated economic forecast and a summary of the multi-year receipts and disbursements forecasts.

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Receipts					
Taxes (After Debt Service)	52,634	54,442	57,720	58,747	61,045
Miscellaneous Receipts/Federal Grants	3,222	3,414	2,881	2,297	2,389
Other Transfers	1,044	1,039	866	774	764
Total Receipts	56,900	58,895	61,467	61,818	64,198
Disbursements					
Local Assistance Grants	38,419	39,668	41,871	43,225	45,489
School Aid	16,778	17,003	17,832	18,641	19,585
Medicaid	10,301	10,604	11,158	11,454	12,332
All Other	11,340	12,061	12,881	13,130	13,572
State Operations	7,494	8,005	7,117	7,403	7,736
Personal Service	5,781	6,170	5,487	5,632	5,915
Non-Personal Service	1,713	1,835	1,630	1,771	1,821
General State Charges	4,720	4,499	4,889	5,235	5,527
Gross State Pension Contribution	1,697	1,600	2,012	2,257	2,467
Gross State Employee Health Insurance	3,275	3,202	3,411	3,670	3,951
Fringe Benefit Escrow Offset/Fixed Costs	(252)	(303)	(534)	(692)	(891)
Transfers to Other Funds	5,856	7,036	8,655	9,535	9,802
Debt Service	1,516	1,564	1,617	1,514	1,488
Capital Projects	798	1,055	1,287	1,403	1,299
State Share Medicaid	2,722	2,975	2,767	2,621	2,521
Mental Hygiene	0	0	824	1,756	2,347
SUNY - Disproportionate Share Payments	225	228	228	228	228
School Aid - Lottery/VLT Aid Guarantee	55	19	0	0	0
SUNY - University Operations Subsidy	0	340	983	1,002	1,022
SUNY - Hospital Operations Subsidy	60	81	88	88	88
Department of Transportation (MTA Tax)	22	280	332	334	334
Judiciary Funds	123	115	116	117	118
All Other	335	379	413	472	357
Total Disbursements	56,489	59,208	62,532	65,398	68,554
Change in Reserves	411	(313)	(83)	10	14
Prior-Year Labor Agreements (2007-11)	285	(206)	(26)	10	14
Community Projects Fund	51	(45)	(57)	0	0
Undesignated Fund Balance	75	(62)	0	0	0
Budget Surplus/(Gap) Projections	0	0	(982)	(3,590)	(4,370)

³ The annual imbalances projected for the General Fund and State Operating Funds in future years are similar because the General Fund is the financing source of last resort for many State programs. Imbalances in other funds are typically financed by the General Fund.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS PROJECTIONS (millions of dollars)					
	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Receipts					
Taxes	62,960	64,906	68,644	70,173	72,918
Miscellaneous Receipts/Federal Grants	19,656	20,223	20,356	20,124	20,287
Total Receipts	82,616	85,129	89,000	90,297	93,205
Disbursements					
Local Assistance Grants	57,267	58,807	61,884	63,903	66,157
School Aid	19,662	20,056	20,911	21,725	22,671
STAR	3,233	3,276	3,459	3,642	3,744
Other Education Aid	1,698	1,972	1,999	2,065	2,141
Higher Education	2,608	2,618	2,812	2,888	2,967
Medicaid (DOH incl. administration)	15,297	15,860	16,513	17,049	17,895
Public Health/Aging	2,104	2,041	2,219	2,315	2,072
Mental Hygiene	3,756	3,644	4,051	4,312	4,504
Social Services	3,017	3,031	3,434	3,431	3,563
Transportation	4,230	4,378	4,556	4,634	4,730
Local Government Assistance	754	777	789	801	803
All Other ¹	908	1,154	1,141	1,041	1,067
State Operations	17,451	17,965	18,060	18,557	19,241
Personal Service	12,047	12,470	12,494	12,832	13,364
Non-Personal Service	5,404	5,495	5,566	5,725	5,877
General State Charges	6,593	6,531	7,062	7,563	8,040
Pension Contribution	1,697	1,600	2,012	2,257	2,467
Health Insurance (Active Employees)	2,052	1,987	2,132	2,294	2,469
Health Insurance (Retired Employees)	1,223	1,215	1,279	1,376	1,482
All Other	1,621	1,729	1,639	1,636	1,622
Debt Service	5,864	6,100	6,415	6,484	6,645
Capital Projects	6	5	5	5	5
Total Disbursements	87,181	89,408	93,426	96,512	100,088
Net Other Financing Sources/(Uses)	4,443	4,127	3,682	3,180	2,979
Net Operating Surplus/(Deficit)	(122)	(152)	(744)	(3,035)	(3,904)
Reconciliation to General Fund Gap:					
Designated Fund Balances	122	152	(238)	(555)	(466)
General Fund	(411)	313	83	(10)	(14)
Special Revenue Funds	507	(57)	(208)	(409)	(446)
Debt Service Funds	26	(104)	(113)	(136)	(6)
General Fund Budget Gap	0	0	(982)	(3,590)	(4,370)

¹ All Other includes spending in a number of other programs, including parks and the environment, economic development, and public safety.

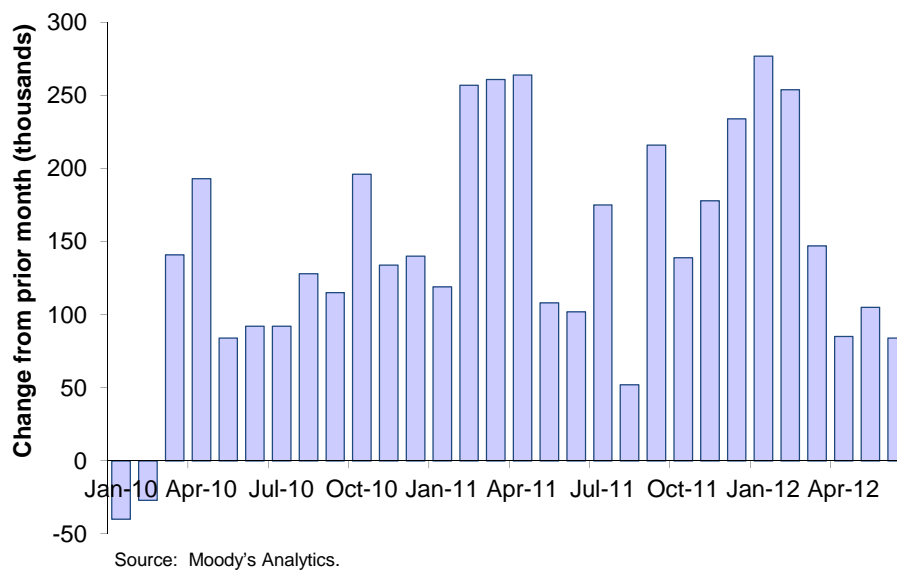
MULTI-YEAR FINANCIAL PLAN PROJECTIONS

ECONOMIC BACKDROP

THE NATIONAL ECONOMY

The downside risks to the national economy from slowing global growth emphasized in the Enacted Budget report have intensified. Both the national labor market and equity markets have been weaker than projected in April, which has in turn negatively affected household spending. Consumer spending only grew 1.5 percent during the second quarter, following growth of 2.5 percent in the first. This slowdown appears to have occurred despite continued robust auto sales and a stronger upturn in the housing market than projected in April. Although some payback for the unusually warm winter weather in the first quarter was expected, the weakness appears to be sustained. These developments translate into a weaker First Quarter Update forecast for both the nation and the State relative to April. Growth of 1.9 percent in real U.S. GDP is now projected for 2012, with the economy projected to grow 2.5 percent for 2013.

U.S. Private Sector Job Gains Decelerate ... Again



The U.S. labor market decelerated during the second quarter. Monthly private sector job gains slowed from an average monthly gain of 226 in the first quarter of 2012 to 91 in the second. Moreover, initial claims for unemployment insurance benefits have stayed remarkably stable, while the public sector has continued to shed jobs. On an annual average basis, DOB now projects downwardly revised employment growth of 1.4 percent for 2012. A less favorable outlook for employment, combined with a substantial downward revision to wages for the fourth quarter of last year, have led to downward revisions to both wages and total personal income as well. Personal income is now projected to rise 3.2 percent in 2012, with its largest component, wages, expected to rise only 3.1 percent. These growth rates are substantially below historical averages.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

With Middle East tensions bubbling to the surface intermittently, energy prices have remained volatile. After hitting their lowest point since October 2011, oil prices have been on the rise. However, as supply fears subside, the impact of slower global growth on energy demand is expected to dominate movements in energy prices through the remainder of the year. In the meantime, gasoline prices have fallen almost 50 cents below their most recent early April peaks. As a result, DOB has lowered projected inflation to 1.8 percent for 2012.

Demand from emerging markets was an important growth engine during the early phase of the nation's economic recovery. However, that engine sputtered as the European sovereign debt crisis led one of the world's largest markets into recession. Weaker demand from Europe has been a drag on emerging markets as well, creating further downward momentum in the global economy. As a result, real U.S. export growth has fallen from 11.3 percent in 2010 to 6.7 percent in 2011, and is projected to fall to 3.3 percent in 2012. U.S. corporate profits from current production fell 0.3 percent in the first quarter, led by an 11.8 percent decline in "rest of world" profits. Investor flight to safety drove equity market prices down 4.0 percent over the course of the second quarter and long-term Treasury yields down to historic lows. Slowing global growth is projected to continue to put downward pressure on both profits and equity markets through the end of 2012.

U.S. ECONOMIC INDICATORS (Percent change from prior calendar year)			
	2011 (Estimated)	2012 (Forecast)	2013 (Forecast)
Real U.S. Gross Domestic Product	1.7	1.9	2.5
Consumer Price Index (CPI)	3.1	1.8	1.7
Personal Income	5.0	3.2	4.4
Nonagricultural Employment	1.2	1.4	1.5
Source: Moody's Analytics; DOB staff estimates.			

Consistent with a weaker outlook for both employment and output, DOB has altered its projected path for both monetary and fiscal policy. The 10-year Treasury yield is now expected to remain below 2 percent for much of the remainder of 2012, with the Federal Reserve not expected to raise its short-term interest rate target before the beginning of 2014. The forecast is also predicated on the assumption that the U.S. Congress will extend both the Bush tax cuts and the payroll tax holiday through the end of 2013, though it is also expected that the tax provisions of the Affordable Care Act will be implemented as scheduled.

DOB's economic outlook continues to call for tepid but improving growth for the second half of this year, with growth accelerating to just above 3 percent by the latter half of 2013. However, there are significant risks to this forecast. Efforts to contain the European sovereign debt crisis remain ongoing, and coordinated government efforts to avert a more severe global slowdown appear underway. Nevertheless, momentum remains downward. A longer and deeper European recession or significantly slower growth in emerging markets could have a more negative impact on U.S. exports, corporate profits, and equity markets. Although the current

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

forecast assumes U.S. fiscal policy will remain noncontractionary, the economic uncertainty could negatively impact private sector behavior as the end of the calendar year approaches. Higher than expected energy prices could have a similar effect. Alternatively, a stronger than expected pickup in the labor market could result in greater household spending than projected, while a milder recession in Europe and stronger global growth could result in a faster upturn in the demand for U.S. exports. Moreover, if gasoline prices fall significantly further, household spending growth could be stronger than anticipated, since energy price growth acts as a virtual tax on household spending.

THE NEW YORK STATE ECONOMY

The most recent data indicate that the pace of New York employment growth remains strong, with the State labor market entering 2012 with particularly strong momentum in construction, professional and business services, private educational services, and tourism-related leisure and hospitality services. As a result, strong private sector employment growth of 1.8 percent is now projected for 2012, representing a significant upward revision from the Enacted Budget forecast. Total employment growth of 1.2 percent is projected for this year, with the public sector expected to remain a drag on the State labor market through the end of the calendar year. Thus, estimated State wage growth for 2012 remains virtually unchanged at 3.1 percent, with projected growth in total personal income revised down slightly to 3.2 percent due to downward revisions to some of the nonwage components of income.

NEW YORK STATE ECONOMIC INDICATORS (Percent change from prior calendar year)			
	2011 (Estimated)	2012 (Forecast)	2013 (Forecast)
Personal Income	4.3	3.2	4.9
Wages	3.8	3.1	4.7
Nonagricultural Employment	1.2	1.2	0.8
Source: Moody's Analytics; New York State Department of Labor and DOB staff estimates.			

All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, the volume of financial market activity and equity market volatility pose a particularly large degree of uncertainty for New York. In addition, with Wall Street still adjusting its compensation practices in the wake of the passage of financial reform, both the bonus and nonbonus components of employee pay are becoming increasingly difficult to estimate. A weaker labor market than projected could also result in lower wages, which in turn could result in weaker household consumption. Similarly, should financial and real estate markets be weaker than anticipated, taxable capital gains realizations could be negatively affected. Projected capital gains for 2012 could also be negatively affected if the tax rate provisions of the Affordable Care Act are not implemented as scheduled. These effects could ripple through the State economy, depressing both employment and wage growth. In contrast, stronger national and world economic growth, or a stronger upturn in stock prices, along with even stronger activity in mergers and acquisitions and other Wall Street activities, could result in higher wage and bonus growth than projected.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

ALL FUNDS RECEIPTS PROJECTIONS

The receipts forecast describes estimates for the State's principal taxes, miscellaneous receipts, and transfers from other funds.

TOTAL RECEIPTS (millions of dollars)				
	FY 2012 Results	FY 2013 Estimated	Annual \$ Change	Annual % Change
General Fund	56,900	58,895	1,995	3.5%
State Funds	88,111	90,769	2,658	3.0%
All Funds	132,745	133,443	698	0.5%

Financial Plan receipts comprise a variety of taxes, fees, and charges for State-provided services, Federal grants, and other miscellaneous receipts. The receipts estimates and projections have been prepared by DOB with the assistance of the Department of Taxation and Finance and other agencies responsible for the collection of State receipts.

TOTAL RECEIPTS (millions of dollars)							
	FY 2012 Results	FY 2013 Estimated	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
General Fund	56,900	58,895	1,995	3.5%	61,467	2,572	4.4%
Taxes	41,754	43,293	1,539	3.7%	45,837	2,544	5.9%
Miscellaneous Receipts	3,162	3,354	192	6.1%	2,879	(475)	-14.2%
Federal Grants	60	60	0	0.0%	2	(58)	-96.7%
Transfers	11,924	12,188	264	2.2%	12,749	561	4.6%
State Funds	88,111	90,769	2,658	3.0%	94,448	3,679	4.1%
Taxes	64,297	66,307	2,010	3.1%	70,065	3,758	5.7%
Miscellaneous Receipts	23,669	24,317	648	2.7%	24,296	(21)	-0.1%
Federal Grants	145	145	0	0.0%	87	(58)	-40.0%
All Funds	132,745	133,443	698	0.5%	138,733	5,290	4.0%
Taxes	64,297	66,307	2,010	3.1%	70,065	3,758	5.7%
Miscellaneous Receipts	23,837	24,503	666	2.8%	24,482	(21)	-0.1%
Federal Grants	44,611	42,633	(1,978)	-4.4%	44,186	1,553	3.6%

After controlling for the impact of tax law changes, base tax revenue is estimated to increase by 6.1 percent for FY 2013 and 5.6 percent for FY 2014.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

CHANGE FROM ENACTED BUDGET

CHANGE FROM ENACTED BUDGET FORECAST (millions of dollars)								
	FY 2013		\$	%	FY 2014		\$	%
	Enacted Budget	First Quarter			Enacted Budget	First Quarter		
General Fund¹	46,658	46,707	49	0.1%	48,722	48,718	(4)	0.0%
Taxes	43,369	43,293	(76)	-0.2%	45,891	45,837	(54)	-0.1%
Miscellaneous Receipts	3,229	3,354	125	3.9%	2,829	2,879	50	1.8%
Federal Grants	60	60	0	0.0%	2	2	0	0.0%
State Funds	90,598	90,769	171	0.2%	94,509	94,448	(61)	-0.1%
Taxes	66,370	66,307	(63)	-0.1%	70,138	70,065	(73)	-0.1%
Miscellaneous Receipts	24,083	24,317	234	1.0%	24,284	24,296	12	0.0%
Federal Grants	145	145	0	0.0%	87	87	0	0.0%
All Funds	133,272	133,443	171	0.1%	138,794	138,733	(61)	0.0%
Taxes	66,370	66,307	(63)	-0.1%	70,138	70,065	(73)	-0.1%
Miscellaneous Receipts	24,269	24,503	234	1.0%	24,470	24,482	12	0.0%
Federal Grants	42,633	42,633	0	0.0%	44,186	44,186	0	0.0%

¹ Excludes Transfers.

Current year All Funds tax receipt estimates have been lowered by \$63 million since the Enacted Budget due to reductions in user taxes partially offset by an increase in other taxes. Miscellaneous receipts have been revised up by \$234 million due to the receipt of additional legal recoveries, transportation receipts, certain reimbursements, and VLT receipts.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

MULTI-YEAR RECEIPTS

TOTAL RECEIPTS (millions of dollars)							
	FY 2013 Estimated	FY 2014 Projected	Annual \$ Change	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
General Fund	58,895	61,467	2,572	61,818	351	64,198	2,380
Taxes	43,293	45,837	2,544	46,509	672	48,426	1,917
State Funds	90,769	94,448	3,679	95,533	1,085	98,487	2,954
Taxes	66,307	70,065	3,758	71,604	1,539	74,366	2,762
All Funds	133,443	138,733	5,290	142,889	4,156	147,713	4,824
Taxes	66,307	70,065	3,758	71,604	1,539	74,366	2,762

The economic forecast calls for a continuation of the ongoing recovery in employment and wages. This increases the economic base on which the outyear revenue forecast is built. Overall, receipts in the two fiscal years following FY 2014 are expected to grow consistently with the projected moderate growth in both the U.S. and New York economies.

REVENUE RISKS

- DOB's forecast assumes that the combined impact of Federal spending reductions and tax increases, at the end of 2012, can be averted. If both occur, New York revenues could grow more slowly than anticipated.
- A return to high gasoline prices could divert disposable consumer income to fuel, decreasing consumption of taxable goods and services.
- A continuation of the European debt crisis could drive exports lower, causing corporate profits and tax receipts to grow more slowly than expected.
- Personal income tax liability could be reduced if taxpayers do not realize the capital gains assumed in the forecast in anticipation of the Affordable Care Act tax provisions.
- The FY 2013 Financial Plan contains significant savings generated as a result of 2010 tax credit deferral legislation. Same-year confirmation of these savings is unavailable given tax filing and processing delays, and actual savings could fall below estimates.
- Bank and corporate franchise tax revenue streams are contingent on the timing and size of anticipated audit proceeds. Negotiations between the State and taxpayers are subject to unexpected delays, which may force audit proceeds into a subsequent fiscal year.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

PERSONAL INCOME TAX

PERSONAL INCOME TAX (millions of dollars)							
	FY 2012 Results	FY 2013 Estimated	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
General Fund¹	25,843	26,916	1,073	4.2%	28,920	2,004	7.4%
Gross Collections	46,030	47,252	1,222	2.7%	50,354	3,102	6.6%
Refunds/Offsets	(7,263)	(6,996)	267	-3.7%	(7,182)	(186)	2.7%
STAR	(3,233)	(3,276)	(43)	1.3%	(3,459)	(183)	5.6%
RBTF	(9,691)	(10,064)	(373)	3.8%	(10,793)	(729)	7.2%
State/All Funds	38,767	40,256	1,489	3.8%	43,172	2,916	7.2%
Gross Collections	46,030	47,252	1,222	2.7%	50,354	3,102	6.6%
Refunds	(7,263)	(6,996)	267	-3.7%	(7,182)	(186)	2.7%

¹ Excludes Transfers.

All Funds PIT receipts for FY 2013 are projected to be \$40.3 billion, an increase of \$1.5 billion (3.8 percent) from FY 2012. This primarily reflects modest increases in withholding and current estimated payments for tax year 2012, a decrease in total refunds, and higher delinquent collections partially offset by a decrease in extension (i.e. prior year estimated) payments for tax year 2011.

Withholding in FY 2013 is estimated to be \$974 million (3.1 percent) higher compared to the prior year. This reflects the net impact of modest wage growth and additional withholding generated by the December 2011 reform, offset by lower withholding due to the expiration of the temporary high income surcharge in place for 2009 to 2011. Total estimated payments are expected to increase \$124 million (1 percent). Estimated payments for tax year 2012 (i.e. current year estimated) are projected to be \$463 million (5.7 percent) higher. However, as noted above, extension payments for tax year 2011 (i.e. prior year estimated) are projected to fall 9.6 percent (\$338 million) compared to the somewhat inflated base of extensions for tax year 2010, which reflected the one-time realization of capital gains caused by uncertainty surrounding the late extension of the lower Federal tax rates on capital gains and high-income taxpayers in December 2010. Delinquent collections and final return payments are projected to be \$87 million (8.1 percent) and \$36 million (1.7 percent) higher, respectively.

The decrease in total refunds of \$267 million almost entirely reflects a \$168 million (45.9 percent) decrease in the State-city offset and a \$93 million (2 percent) decrease in prior year refunds related to tax year 2011.

General Fund income tax receipts for FY 2013 of \$26.9 billion are expected to increase by \$1.1 billion (4.2 percent) from the prior year, mainly reflecting the increase in All Funds receipts noted above. However, a \$373 million increase in the RBTF deposit and a \$43 million increase in the STAR deposit partially offset the All Funds increase.

All Funds income tax receipts for FY 2014 of \$43.2 billion are projected to increase \$2.9 billion (7.2 percent) from the prior year. This increase primarily reflects increases of \$2.2 billion (6.7 percent) in withholding, \$811 million (6.9 percent) in total estimated payments,

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

partially offset by a \$186 million (2.7 percent) increase in total refunds. The increase in total estimated payments includes a \$538 million (6.3 percent) increase in estimated payments related to tax year 2013 (i.e. current year estimated) and \$273 million (8.5 percent) in extension payments related to tax year 2012 (i.e. prior year estimated). The strong projection for extension payments for tax year 2012 reflects some acceleration in realizations of capital gains in anticipation of the scheduled increase in the Federal tax rate on investment income starting with tax year 2013.

Payments from final returns are expected to increase \$113 million (5.3 percent), while delinquencies are projected to rise slightly (0.8 percent) compared to the prior year. The increase in total refunds of \$186 million primarily reflects a \$183 million (4.2 percent) increase in prior year refunds related to tax year 2012.

General Fund income tax receipts for FY 2014 of \$28.9 billion are projected to increase by \$2 billion (7.4 percent). The RBTF and STAR deposits are projected to increase by \$729 million and \$183 million, respectively.

The following table summarizes, by component, actual receipts for FY 2012 and forecast amounts through FY 2016.

PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
ALL FUNDS					
(millions of dollars)					
	FY 2012 (Actual)	FY 2013 (Estimated)	FY 2014 (Projected)	FY 2015 (Projected)	FY 2016 (Projected)
Withholding	31,199	32,173	34,342	35,557	37,647
Estimated Payments	<u>11,628</u>	<u>11,752</u>	<u>12,563</u>	<u>13,477</u>	<u>13,360</u>
Current Year	8,096	8,559	9,097	10,143	9,823
Prior Year ¹	3,532	3,193	3,466	3,334	3,537
Final Returns	<u>2,117</u>	<u>2,153</u>	<u>2,266</u>	<u>2,151</u>	<u>2,251</u>
Current Year	224	227	241	242	242
Prior Year ¹	1,893	1,926	2,025	1,909	2,009
Delinquent Collections	<u>1,087</u>	<u>1,174</u>	<u>1,183</u>	<u>1,236</u>	<u>1,286</u>
Gross Receipts	46,030	47,252	50,354	52,421	54,544
Refunds					
Prior Year ¹	4,693	4,600	4,783	5,614	6,282
Previous Years	455	448	451	569	553
Current Year ¹	1,750	1,750	1,750	1,750	1,750
State-City Offset ¹	<u>366</u>	<u>198</u>	<u>198</u>	<u>148</u>	<u>148</u>
Total Refunds	7,263	6,996	7,182	8,081	8,733
Net Receipts	<u>38,767</u>	<u>40,257</u>	<u>43,172</u>	<u>44,340</u>	<u>45,811</u>

¹ These components, collectively, are known as the "settlement" on the prior year's tax liability.
Totals may not equal sum of components due to rounding.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

PERSONAL INCOME TAX CHANGE FROM ENACTED BUDGET FORECAST (millions of dollars)								
	FY 2013		\$	%	FY 2014		\$	%
	Enacted Budget	First Quarter			Enacted Budget	First Quarter		
General Fund¹	26,916	26,916	0	0.0%	28,920	28,920	0	0.0%
Gross Collections	47,702	47,252	(450)	-0.9%	50,930	50,354	(576)	-1.1%
Refunds/Offsets	(7,446)	(6,996)	450	-6.0%	(7,757)	(7,182)	575	-7.4%
STAR	(3,276)	(3,276)	0	0.0%	(3,460)	(3,459)	1	0.0%
RBTF	(10,064)	(10,064)	0	0.0%	(10,793)	(10,793)	0	0.0%
State/All Funds²	40,256	40,256	0	0.0%	43,173	43,172	(1)	0.0%
Gross Collections	47,702	47,252	(450)	-0.9%	50,930	50,354	(576)	-1.1%
Refunds	(7,446)	(6,996)	450	-6.0%	(7,757)	(7,182)	575	-7.4%

¹ Excludes Transfers.
² Change of \$1 million due to rounding.

Compared to the Enacted Budget, FY 2013 All Funds income tax receipts are unchanged. However, withholding is projected to decrease by \$575 million, and final returns by \$50 million, both mainly reflecting actual results to date. These decreases are expected to be offset by a \$450 million reduction in total refund payments, an additional \$150 million in total estimated payments, and an increase of \$25 million in delinquent collections.

The decrease in withholding mostly reflects consistently unfavorable receipt variances through the first quarter of FY 2013. This was due to a combination of weaker than projected wages and potential unfavorable timing of payments associated with the December 2011 PIT reform. The refund reduction includes \$300 million in lower refunds associated with tax year 2011 (mostly reflecting lower actuals to date); a \$100 million State-city offset reduction, and a \$50 million reduction in refunds associated with years prior to 2011 (i.e. previous year refunds). The increase in total estimated payments includes acknowledgement of \$470 million in higher than expected April extension payments for tax year 2011 (i.e. prior year estimated) partially offset by a reduction of \$320 million in estimated payments for tax year 2012 (i.e. current year estimated), which in turn reflects weaker estimated non-wage income.

Compared to the Enacted Budget, FY 2014 All Funds income tax receipts are also unchanged. Projected withholding has been lowered by \$475 million and likewise, extension payments on tax year 2013 liability have been lowered by \$100 million. These decreases are projected to be offset by \$450 million in lower refund payments for tax year 2012 and \$125 million in lower refunds for tax years prior to 2012 (i.e. previous year refunds).

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

PERSONAL INCOME TAX (millions of dollars)					
	FY 2014 Projected	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
General Fund¹	28,920	29,613	693	30,614	1,001
Gross Collections	50,354	52,421	2,067	54,544	2,123
Refunds/Offsets	(7,182)	(8,081)	(899)	(8,733)	(652)
STAR	(3,459)	(3,642)	(183)	(3,744)	(102)
RBTF	(10,793)	(11,085)	(292)	(11,453)	(368)
State/All Funds	43,172	44,340	1,168	45,811	1,471
Gross Collections	50,354	52,421	2,067	54,544	2,123
Refunds	(7,182)	(8,081)	(899)	(8,733)	(652)
¹ Excludes Transfers.					

All Funds income tax receipts for FY 2015 of \$44.3 billion are projected to increase \$1.2 billion (2.7 percent) from the prior year. This change primarily reflects increases of \$1.2 billion (3.5 percent) in withholding; \$1 billion (11.5 percent) in estimated payments related to tax year 2014 (i.e. current year estimated), partially offset by a \$899 million (12.5 percent) increase in total refunds (partly due to the commencement of tax credit deferral paybacks); a \$132 million decrease in extension payments for tax year 2013 (i.e. prior year estimated); and a \$115 million decrease in final returns payments for tax year 2013 (i.e. current year). Delinquencies are projected to increase \$51 million (4.4 percent) from the prior year.

General Fund income tax receipts for FY 2015 of \$29.6 billion are projected to increase by \$693 million (2.4 percent). RBTF deposits are projected to increase by \$292 million and STAR payments by \$183 million.

All Funds income tax receipts are projected to increase by nearly \$1.5 billion (3.3 percent) in FY 2016 to reach \$45.8 billion, while General Fund receipts are projected to be \$30.6 billion.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

USER TAXES AND FEES

USER TAXES AND FEES (millions of dollars)							
	FY 2012 Results	FY 2013 Estimated	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
General Fund¹	9,055	9,196	141	1.6%	9,570	374	4.1%
Sales Tax	8,346	8,483	137	1.6%	8,863	380	4.5%
Cigarette and Tobacco Taxes	471	469	(2)	-0.4%	462	(7)	-1.5%
Alcoholic Beverage Taxes	238	244	6	2.5%	245	1	0.4%
State/All Funds	14,571	14,814	243	1.7%	15,334	520	3.5%
Sales Tax	11,876	12,095	219	1.8%	12,635	540	4.5%
Cigarette and Tobacco Taxes	1,633	1,615	(18)	-1.1%	1,585	(30)	-1.9%
Motor Fuel	501	515	14	2.8%	516	1	0.2%
Highway Use Tax	132	147	15	11.4%	142	(5)	-3.4%
Alcoholic Beverage Taxes	238	244	6	2.5%	245	1	0.4%
Taxicab Surcharge	87	89	2	2.3%	96	7	7.9%
Auto Rental Tax	104	109	5	4.8%	115	6	5.5%
¹ Excludes Transfers.							

All Funds user taxes and fees receipts for FY 2013 are estimated to be \$14.8 billion, an increase of \$243 million (1.7 percent) from FY 2012. All Funds sales tax receipts are estimated to be \$12.1 billion, an increase of \$219 million (1.8 percent) from FY 2012. This includes the loss of \$210 million in receipts from the partial taxation of clothing in FY 2012. The underlying sales tax base measured before the impact of law changes is estimated to increase by 3.8 percent. Non-sales tax user taxes and fees are estimated to increase by \$24 million from FY 2012 due primarily to tax law changes pertaining to alcoholic beverage taxes, and to the issuance of highway use tax decals.

General Fund user taxes and fees receipts are expected to total \$9.2 billion in FY 2013, an increase of \$141 million (1.6 percent) from FY 2012.

All Funds user taxes and fees receipts for FY 2014 are projected to be \$15.3 billion, an increase of \$520 million (3.5 percent) from FY 2013. General Fund user taxes and fees receipts are projected to total \$9.6 billion in FY 2014, an increase of \$374 million (4.1 percent) from FY 2013. These changes are consistent with a trend increase in taxable consumption and a trend decline in cigarette consumption.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

USER TAXES AND FEES CHANGE FROM ENACTED BUDGET FORECAST (millions of dollars)								
	FY 2013		\$ Change	% Change	FY 2014		\$ Change	% Change
	Enacted Budget	First Quarter			Enacted Budget	First Quarter		
General Fund¹	9,271	9,196	(75)	-0.8%	9,626	9,570	(56)	-0.6%
Sales Tax	8,561	8,483	(78)	-0.9%	8,922	8,863	(59)	-0.7%
Cigarette and Tobacco Taxes	469	469	0	0.0%	462	462	0	0.0%
Alcoholic Beverage Taxes	241	244	3	1.2%	242	245	3	1.2%
State/All Funds	14,921	14,814	(107)	-0.7%	15,413	15,334	(79)	-0.5%
Sales Tax	12,205	12,095	(110)	-0.9%	12,717	12,635	(82)	-0.6%
Cigarette and Tobacco Taxes	1,615	1,615	0	0.0%	1,585	1,585	0	0.0%
Motor Fuel	515	515	0	0.0%	517	516	(1)	-0.2%
Highway Use Tax	147	147	0	0.0%	142	142	0	0.0%
Alcoholic Beverage Taxes	241	244	3	1.2%	242	245	3	1.2%
Taxicab Surcharge	89	89	0	0.0%	96	96	0	0.0%
Auto Rental Tax	109	109	0	0.0%	114	115	1	0.9%

¹ Excludes Transfers.

All Funds user taxes and fees for FY 2013 are estimated to be \$14.8 billion, a decrease of \$107 million (0.7 percent) from the Enacted Budget Update. The sales tax estimate was revised downward by \$110 million to reflect weaker than estimated FY 2013 first quarter results. This was primarily the result of weakness in consumer electronics, utilities, and other general merchandise sales and a year-to-year accounting adjustment. This is partially offset by a \$2.7 million increase in alcoholic beverage tax receipts resulting from the elimination of an exemption for small brewers, which was replaced by a \$2.7 million personal income and business tax credit for certain beer production in the State. General Fund user taxes and fees receipts are projected to total nearly \$9.2 billion in FY 2013, a decrease of \$75 million (0.8 percent) from the Enacted Budget Update. The reduction is consistent with All Funds discussion above.

All Funds user taxes and fees for FY 2014 are projected to be more than \$15.3 billion, a decrease of \$79 million (0.5 percent) from the Enacted Budget Update as a result of both lower projected sales tax base growth and the flow through of lower FY 2013 to-date actuals. General Fund user taxes and fees receipts are projected to total nearly \$9.6 billion in FY 2014, a decrease of \$56 million (0.6 percent) from the Enacted Budget Update. These reductions reflect the All Funds discussion above.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

USER TAXES AND FEES (millions of dollars)					
	FY 2014 Projected	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
General Fund¹	9,570	9,986	416	10,351	365
Sales Tax	8,863	9,281	418	9,654	373
Cigarette and Tobacco Taxes	462	455	(7)	447	(8)
Alcoholic Beverage Taxes	245	250	5	250	0
State/All Funds	15,334	15,909	575	16,424	515
Sales Tax	12,635	13,220	585	13,748	528
Cigarette and Tobacco Taxes	1,585	1,556	(29)	1,526	(30)
Motor Fuel	516	520	4	523	3
Highway Use Tax	142	144	2	152	8
Alcoholic Beverage Taxes	245	250	5	250	0
Taxicab Surcharge	96	100	4	101	1
Auto Rental Tax	115	119	4	124	5
¹ Excludes Transfers.					

All Funds user taxes and fees are projected to reach \$15.9 billion in FY 2015 and \$16.4 billion in FY 2016. This largely reflects continued growth in the sales tax base. General Fund user taxes and fees are projected to be nearly \$10 billion in FY 2015 and \$10.4 billion in FY 2016.

BUSINESS TAXES

BUSINESS TAXES (millions of dollars)							
	FY 2012 Results	FY 2013 Estimated	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
General Fund	5,760	6,035	275	4.8%	6,208	173	2.9%
Corporate Franchise Tax	2,724	2,737	13	0.5%	2,931	194	7.1%
Corporation & Utilities Tax	617	684	67	10.9%	662	(22)	-3.2%
Insurance Tax	1,257	1,322	65	5.2%	1,373	51	3.9%
Bank Tax	1,161	1,292	131	11.3%	1,242	(50)	-3.9%
Petroleum Business Tax	1	0	(1)	-100.0%	0	0	0.0%
State/All Funds	7,877	8,226	349	4.4%	8,463	237	2.9%
Corporate Franchise Tax	3,176	3,172	(4)	-0.1%	3,413	241	7.6%
Corporation & Utilities Tax	797	879	82	10.3%	860	(19)	-2.2%
Insurance Tax	1,413	1,479	66	4.7%	1,539	60	4.1%
Bank Tax	1,391	1,534	143	10.3%	1,451	(83)	-5.4%
Petroleum Business Tax	1,100	1,162	62	5.6%	1,200	38	3.3%

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

All Funds business tax receipts for FY 2013 are estimated at \$8.2 billion, an increase of \$349 million (4.4 percent) from the prior year. The estimates reflect growth across all taxes except the corporate franchise tax. The estimate includes an incremental increase of \$71 million from the deferral of certain tax credits that was part of the FY 2011 Enacted Budget. Adjusted for this deferral, All Funds growth is 3.5 percent.

All Funds corporate franchise tax receipts are estimated to decline \$4 million (0.1 percent) from FY 2012. This decrease is mainly attributable to weaker than expected June 2012 calendar year payments. Calendar year filer payments are expected to decline 3 percent from the prior year as taxpayers react to the current economic uncertainty by lowering their estimated payments. Corporate profit growth for calendar year 2012 is now estimated to grow only 2 percent compared to growth of 7.9 percent in 2011. Corporate franchise tax receipts are expected to decline 2.3 percent for FY 2013 adjusted for the credit deferral.

The corporation and utilities tax is expected to grow \$82 million (10.3 percent) in FY 2013. Adjusted for a timing issue related to a prior year telecommunications refund, growth in FY 2013 would be 6.5 percent. Receipts from the telecommunications sector are expected to grow (by 4 percent) for the first time since FY 2010. Also contributing to the growth in FY 2013 is a large telecommunications audit. This audit was received in April 2012.

Growth in insurance tax receipts is expected to return in FY 2013 after several years of weakness related to the economic downturn. The bank tax is estimated to grow \$143 million (10.3 percent) in FY 2013. Through June, calendar filer payment growth is 9.4 percent. Also contributing to the strong growth in bank tax receipts are higher audit collections. A large audit is expected to be settled during the second half of the fiscal year.

All Funds business tax receipts for FY 2014 of \$8.5 billion are projected to increase \$237 million (2.9 percent) from the prior year reflecting growth in the corporate franchise, insurance and petroleum business taxes. A decline is expected in the corporation and utilities tax due to the expected payment of a large prior year telecommunications refund. Bank tax receipts are expected to decline as a result of lower audit receipts in FY 2014.

General Fund business tax receipts for FY 2013 of \$6 billion are estimated to increase by \$275 million (4.8 percent) from FY 2012 results. Business tax receipts deposited to the General Fund reflect the All Funds trends discussed above.

General Fund business tax receipts for FY 2014 of \$6.2 billion are projected to increase \$173 million (2.9 percent) from the prior year.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

ALL FUNDS BUSINESS TAX AUDIT AND NON-AUDIT RECEIPTS (millions of dollars)					
	FY 2009 Actual	FY 2010 Actual	FY 2011 Actual	FY 2012 Actual	FY 2013 Updated
Corporate Franchise Tax	3,220	2,511	2,846	3,176	3,172
Audit	905	698	810	1,080	857
Non-Audit	2,315	1,813	2,036	2,096	2,315
Corporation and Utilities Taxes	863	954	814	797	879
Audit	47	52	14	29	54
Non-Audit	816	902	800	768	825
Insurance Taxes	1,181	1,491	1,351	1,413	1,479
Audit	41	35	38	21	21
Non-Audit	1,140	1,456	1,313	1,392	1,458
Bank Taxes	1,233	1,399	1,178	1,391	1,534
Audit	455	290	239	125	287
Non-Audit	778	1,109	939	1,266	1,247
Petroleum Business Taxes	1,107	1,104	1,091	1,100	1,162
Audit	16	10	7	6	6
Non-Audit	1,091	1,094	1,084	1,094	1,156
Total Business Taxes	7,604	7,459	7,280	7,877	8,226
Audit	1,464	1,085	1,108	1,261	1,225
Non-Audit	6,140	6,374	6,172	6,616	7,001

BUSINESS TAXES CHANGE FROM ENACTED BUDGET FORECAST (millions of dollars)								
	FY 2013		\$	%	FY 2014		\$	%
	Enacted Budget	First Quarter			Enacted Budget	First Quarter		
General Fund	6,038	6,035	(3)	0.0%	6,208	6,208	0	0.0%
Corporate Franchise Tax	2,905	2,737	(168)	-5.8%	3,009	2,931	(78)	-2.6%
Corporation & Utilities Tax	652	684	32	4.9%	696	662	(34)	-4.9%
Insurance Tax	1,322	1,322	0	0.0%	1,373	1,373	0	0.0%
Bank Tax	1,159	1,292	133	11.5%	1,130	1,242	112	9.9%
State/All Funds	8,229	8,226	(3)	0.0%	8,463	8,463	0	0.0%
Corporate Franchise Tax	3,360	3,172	(188)	-5.6%	3,511	3,413	(98)	-2.8%
Corporation & Utilities Tax	847	879	32	3.8%	894	860	(34)	-3.8%
Insurance Tax	1,479	1,479	0	0.0%	1,539	1,539	0	0.0%
Bank Tax	1,381	1,534	153	11.1%	1,319	1,451	132	10.0%
Petroleum Business Tax	1,162	1,162	0	0.0%	1,200	1,200	0	0.0%

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Compared to the Enacted Budget, FY 2013 All Funds business tax receipts are lower by \$3 million. This change is the result of the end of session legislation that created a corporate franchise tax credit for beer produced in New York. The reduction in corporate franchise tax receipts and the increase in bank tax receipts are driven by trends in year-to-date receipts. The increase in the corporation and utilities tax receipts reflects the movement of the settlement of the large prior year telecommunication refund from FY 2013 to FY 2014. Both the insurance tax and the petroleum business tax are unchanged from the Enacted Budget.

Compared to the Enacted Budget, FY 2014 All Funds business tax receipts are unchanged. The corporation franchise tax and the bank tax reflect the changes made to FY 2013. The corporation and utilities tax reflect the settlement of the large prior year telecommunication refund. The insurance tax and the petroleum business tax are unchanged from the Enacted Budget.

BUSINESS TAXES (millions of dollars)					
	FY 2014 Projected	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
General Fund	6,208	5,686	(522)	6,237	551
Corporate Franchise Tax	2,931	2,255	(676)	2,628	373
Corporation & Utilities Tax	662	712	50	732	20
Insurance Tax	1,373	1,416	43	1,498	82
Bank Tax	1,242	1,303	61	1,379	76
Petroleum Business Tax	0	0	0	0	0
State/All Funds	8,463	7,997	(466)	8,609	612
Corporate Franchise Tax	3,413	2,770	(643)	3,171	401
Corporation & Utilities Tax	860	912	52	938	26
Insurance Tax	1,539	1,587	48	1,676	89
Bank Tax	1,451	1,522	71	1,612	90
Petroleum Business Tax	1,200	1,206	6	1,212	6

All Funds business tax receipts for FY 2015 and FY 2016 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of telecommunications services, and automobile fuel consumption and fuel prices. Business tax receipts are projected to decline to \$8 billion (5.5 percent) in FY 2015 and increase to \$8.6 billion (7.7 percent) in FY 2016. The decline in FY 2015 reflects the first year of the repayment of deferred tax credits to taxpayers. General Fund business tax receipts over this period are expected to decline to \$5.7 billion (8.4 percent) in FY 2015 and increase to \$6.2 billion (9.7 percent) in FY 2016.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OTHER TAXES

OTHER TAXES (millions of dollars)							
	FY 2012 Results	FY 2013 Estimated	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
General Fund¹	1,096	1,146	50	4.6%	1,139	(7)	-0.6%
Estate Tax	1,078	1,127	49	4.5%	1,120	(7)	-0.6%
Gift Tax	0	0	0	NA	0	0	0.0%
Real Property Gains Tax	0	0	0	NA	0	0	0.0%
Pari-Mutuel Taxes	17	18	1	5.9%	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%
State/All Funds	1,706	1,831	125	7.3%	1,874	43	2.3%
Estate Tax	1,078	1,127	49	4.5%	1,120	(7)	-0.6%
Gift Tax	0	0	0	NA	0	0	0.0%
Real Property Gains Tax	0	0	0	NA	0	0	0.0%
Real Estate Transfer Tax	610	685	75	12.3%	735	50	7.3%
Pari-Mutuel Taxes	17	18	1	5.9%	18	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%

¹ Excludes Transfers.

All Funds other tax receipts for FY 2013 are estimated to be approximately \$1.8 billion, an increase of \$125 million (7.3 percent) from FY 2012 receipts, primarily reflecting increases in estate tax receipts of \$49 million (4.5 percent) and real estate transfer tax collections of \$75 million (12.3 percent) as a result of improving conditions in the New York real estate market. General Fund other tax receipts are expected to total \$1,146 million in FY 2013, an increase of \$50 million (4.6 percent) due primarily to the increase in estate tax collections mentioned above.

All Funds other tax receipts for FY 2014 are projected to be nearly \$1.9 billion, up \$43 million (2.3 percent) from FY 2013 reflecting stability in the estate tax and modest growth in the real estate transfer tax. General Fund other tax receipts are expected to total \$1,139 million, a marginal change from the FY 2013 total.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OTHER TAXES CHANGE FROM ENACTED BUDGET FORECAST (millions of dollars)								
	FY 2013		\$ Change	% Change	FY 2014		\$ Change	% Change
	Enacted Budget	First Quarter			Enacted Budget	First Quarter		
General Fund¹	1,144	1,146	2	0.2%	1,137	1,139	2	0.2%
Estate Tax	1,127	1,127	0	0.0%	1,120	1,120	0	0.0%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Pari-Mutuel Taxes	16	18	2	12.5%	16	18	2	12.5%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%
State/All Funds	1,804	1,831	27	1.5%	1,847	1,874	27	1.5%
Estate Tax	1,127	1,127	0	0.0%	1,120	1,120	0	0.0%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Real Estate Transfer Tax	660	685	25	3.8%	710	735	25	3.5%
Pari-Mutuel Taxes	16	18	2	12.5%	16	18	2	12.5%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%

¹ Excludes Transfers.

General Fund other tax receipts for FY 2013 have been revised upward by \$2 million from the Enacted Financial Plan. Pari-mutuel taxes are expected to grow as a result of increased NYRA on-track handle, due to the NYC OTB closures more than previously anticipated.

Projections for FY 2013 and FY 2014 for the estate tax, gift tax and real property gains tax and boxing/wrestling tax are unchanged from the Enacted Budget. The gift tax and real property gains tax have been repealed, but small amounts of revenue are at times generated through audits.

Real estate transfer tax collections for FY 2013 and FY 2014 are now estimated to be \$25 million higher than the Enacted Budget as a result of stronger than anticipated year-to-date collections. However, even as commercial markets in Manhattan remain vibrant and certain residential markets, especially in Brooklyn, are demonstrating strength in both pricing and transaction volume, the potential for continuing declines in existing home values, and weakness in the luxury residential market, are continuing risks to the forecast.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OTHER TAXES (millions of dollars)					
	FY 2014 Projected	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
General Fund¹	1,139	1,224	85	1,224	0
Estate Tax	1,120	1,205	85	1,205	0
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	18	0	18	0
All Other Taxes	1	1	0	1	0
State/All Funds	1,874	2,029	155	2,104	75
Estate Tax	1,120	1,205	85	1,205	0
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Real Estate Transfer Tax	735	805	70	880	75
Pari-Mutuel Taxes	18	18	0	18	0
All Other Taxes	1	1	0	1	0
¹ Excludes Transfers.					

The FY 2015 All Funds receipts projection for other taxes is over \$2 billion, an increase of \$155 million (8.3 percent) from FY 2014 receipts. Growth in the estate tax from expected increases in household net worth is complemented by projected receipts growth from the real estate transfer tax due to the continued stabilization in the residential and commercial markets.

The FY 2016 All Funds receipts projection for other taxes is \$2.1 billion, an increase of \$75 million (3.7 percent) from FY 2015 receipts. The forecast for FY 2016 reflects growth in household net worth as well as in the value of real property transfers.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS (millions of dollars)							
	FY 2012 Results	FY 2013 Estimated	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
General Fund	3,222	3,414	192	6.0%	2,881	(533)	-15.6%
Miscellaneous Receipts	3,162	3,354	192	6.1%	2,879	(475)	-14.2%
Federal Grants	60	60	0	0.0%	2	(58)	-96.7%
State Funds	23,814	24,462	648	2.7%	24,383	(79)	-0.3%
Miscellaneous Receipts	23,669	24,317	648	2.7%	24,296	(21)	-0.1%
Federal Grants	145	145	0	0.0%	87	(58)	-40.0%
All Funds	68,448	67,136	(1,312)	-1.9%	68,668	1,532	2.3%
Miscellaneous Receipts	23,837	24,503	666	2.8%	24,482	(21)	-0.1%
Federal Grants	44,611	42,633	(1,978)	-4.4%	44,186	1,553	3.6%

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, and a variety of fees and licenses. All Funds miscellaneous receipts are projected to total \$24.5 billion in FY 2013, an annual increase of \$666 million from FY 2012 results, which is mainly due to projected growth in HCRA fund receipts and the receipt of civil recoveries made by district attorneys.

Federal grants help pay for State spending on Medicaid, temporary and disability assistance, mental hygiene, school aid, public health, and other activities. Annual changes to Federal grants generally correspond to changes in Federally-reimbursed spending and Federal allocations. While the timing of Federal receipts can sometimes be difficult to predict, DOB typically plans that Federal reimbursement will be received in the State fiscal year in which spending occurs. All Funds Federal grants are projected to total \$42.6 billion in FY 2013, a decrease of \$2 billion from FY 2012, which largely reflects the expiration of certain Federal ARRA moneys.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS: CHANGE FROM ENACTED BUDGET FORECAST (millions of dollars)								
	FY 2013		\$ Change	% Change	FY 2014		\$ Change	% Change
	Enacted Budget	First Quarter			Enacted Budget	First Quarter		
General Fund¹	3,289	3,414	125	3.8%	2,831	2,881	50	1.8%
Miscellaneous Receipts	3,229	3,354	125	3.9%	2,829	2,879	50	1.8%
Federal Grants	60	60	0	0.0%	2	2	0	0.0%
State Funds	24,228	24,462	234	1.0%	24,371	24,383	12	0.0%
Miscellaneous Receipts	24,083	24,317	234	1.0%	24,284	24,296	12	0.0%
Federal Grants	145	145	0	0.0%	87	87	0	0.0%
All Funds	66,902	67,136	234	0.3%	68,656	68,668	12	0.0%
Miscellaneous Receipts	24,269	24,503	234	1.0%	24,470	24,482	12	0.0%
Federal Grants	42,633	42,633	0	0.0%	44,186	44,186	0	0.0%

¹ Excludes Transfers.

All Funds miscellaneous receipts have been revised upward by \$234 million in FY 2013, which reflects expectations of additional one-time resources from settlement proceeds of district attorneys (\$75 million), additional resources dedicated to the Highway and Bridge Trust Fund (\$83 million), additional resources from general reimbursements (\$50 million), and additional VLT receipts (\$26 million). Receipts increase by \$12 million in FY 2014 from the Enacted Budget, which reflects expectations of additional resources from general reimbursements (\$50 million), to be partly offset by a decline in resources dedicated to the Highway and Bridge Trust Fund (\$38 million).

Federal grants projections for FY 2013 and FY 2014 have not been revised since the FY 2013 Enacted Budget.

General Fund miscellaneous receipts in FY 2013 have been revised upward by \$125 million, and in FY 2014 have been revised upward by \$50 million, mainly reflecting year-to-date receipts activity.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS (millions of dollars)					
	FY 2014 Projected	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
General Fund	2,881	2,297	(584)	2,389	92
Miscellaneous Receipts	2,879	2,297	(582)	2,389	92
Federal Grants	2	0	(2)	0	0
State Funds	24,383	23,929	(454)	24,121	192
Miscellaneous Receipts	24,296	23,844	(452)	24,036	192
Federal Grants	87	85	(2)	85	0
All Funds	68,668	71,285	2,617	73,347	2,062
Miscellaneous Receipts	24,482	24,030	(452)	24,222	192
Federal Grants	44,186	47,255	3,069	49,125	1,870

All Funds miscellaneous receipts are projected to decline by \$452 million in FY 2015, driven by the expiration of the temporary increase to 18-a utility assessments. Miscellaneous receipts increase by \$192 million in FY 2016.

Annual Federal grants growth of \$3.1 billion in FY 2015, and \$1.9 billion in FY 2016, is primarily due to growth in Federal Medicaid spending.

General Fund miscellaneous receipts and Federal grants collections for FY 2015 and FY 2016 are projected to be \$2.3 billion and nearly \$2.4 billion respectively.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

DISBURSEMENTS

General Fund disbursements in FY 2013 are estimated to total \$59.2 billion, an increase of \$2.7 billion (4.8 percent) over FY 2012 results. State Operating Funds disbursements for FY 2013 are estimated to total \$89.4 billion, an increase of \$2.2 billion over FY 2012. The growth in disbursements from the Enacted Budget Financial Plan is largely due to retroactive payments under recent labor settlements and litigation expenses. Excluding these extraordinary expenses for costs incurred in prior periods, State Operating Funds spending is expected to increase by approximately 2.1% from FY 2012 results.

The multi-year disbursements projections take into account agency staffing levels, program caseloads, funding formulas contained in State and Federal law, inflation and other factors. The factors that affect spending estimates vary by program. For example, welfare spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections account for the timing of payments, since not all of the amounts appropriated in the Budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in special revenue funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time.

Over the multi-year Financial Plan, State Operating Funds spending is expected to increase by an average annual rate of 3.5 percent. The projections reflect spending at the capped growth rates for Medicaid and School Aid, and contemplate the effect of national health care reform on State health care costs. The projections do not reflect any potential impact of automatic spending reductions that will be triggered if the Federal government does not enact an alternative deficit reduction plan.

Medicaid, education, pension costs, employee and retiree health benefits, social services programs and debt service are significant drivers of spending growth over the Plan period.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

LOCAL ASSISTANCE GRANTS

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. State-funded local assistance spending is estimated at \$58.8 billion in FY 2013 and accounts for over 65 percent of total State Operating Funds spending. Education and health care spending account for three-quarters of local assistance spending.

Selected assumptions used in preparing the spending projections for the State's major local aid programs and activities are summarized in the following table.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES					
	Forecast				
	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Medicaid					
Medicaid Caseload ¹	4,535,463	4,628,505	4,856,565	5,324,544	5,395,005
Family Health Plus Caseload	427,066	453,355	479,644	505,932	532,221
Child Health Plus Caseload	418,241	436,241	454,241	472,241	490,241
State Takeover of County/NYC Costs (\$000)	<u>\$1,544</u>	<u>\$1,467</u>	<u>\$1,846</u>	<u>\$2,458</u>	<u>\$3,201</u>
- Family Health Plus	\$428	\$515	\$597	\$682	\$775
- Medicaid	\$1,116	\$952	\$1,249	\$1,776	\$2,426
Education					
School Aid (School Year) (\$000)	\$19,542	\$20,347	\$21,059	\$21,901	\$22,908
Education Personal Income Growth Index	N/A	4.1	3.5	4.0	4.6
Higher Education					
Public Higher Education Enrollment (FTEs)	576,300	577,664	578,242	578,820	579,399
Tuition Assistance Program Recipients	309,334	310,633	310,633	310,633	310,633
Welfare					
Family Assistance Caseload	385,180	374,822	363,077	352,880	343,935
Single Adult/No Children Caseload	180,338	178,207	176,780	175,786	175,622
Mental Hygiene					
Total Mental Hygiene Community Beds	<u>87,984</u>	<u>91,793</u>	<u>96,330</u>	<u>100,588</u>	<u>101,393</u>
- OMH Community Beds	36,179	39,431	43,097	46,616	47,366
- OPWDD Community Beds	39,101	39,621	40,404	41,077	41,077
- OASAS Community Beds	12,704	12,741	12,829	12,895	12,950
Prison Population (Corrections)	55,944	55,900	55,900	55,900	55,900

¹ Does not reflect final FY 2012 results.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

EDUCATION

SCHOOL AID

School Aid helps support elementary and secondary education for New York pupils enrolled in 676 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 — June 30)

The FY 2013 Enacted Budget includes a two-year appropriation and continues the change to tie future increases in School Aid to the rate of growth in New York State personal income. School Aid funding will increase by \$805 million (4.1 percent) in the 2012-13 school year, and by an estimated \$712 million (3.5 percent) in the 2013-14 school year.

Over the multi-year financial plan, School Aid funding is expected to be a function of both a personal income growth index used to determine allowable growth and future legislation to allocate the allowable increases. Current law prescribes allowable growth to include spending for new competitive performance grant programs which reward school districts that demonstrate significant student performance improvements or those that undertake long-term structural changes to reduce costs and improve efficiency. Allowable growth also includes increases in expense-based aid programs (e.g., Building Aid, Transportation Aid) and certain other aid categories under existing statutory provisions. Any remaining amount of allowable growth can be allocated pursuant to a chapter of law for purposes including, but not limited to, additional spending for competitive grants, phase-in increases in Foundation Aid or restoration of the GEA.

For the 2012-13 school year, this \$805 million allowable increase includes \$243 million in growth in expense-based aids and other miscellaneous aid categories under current law, a \$400 million GEA restoration, a \$112 million increase in Foundation Aid, and \$50 million to support the first of three annual payments for the first round of performance grants. A second, expanded round of performance grants will be awarded in the 2012-13 school year from \$75 million in annual funding outside the school aid growth limit.

As of the Updated Financial Plan, School Aid is projected to increase by \$712 million in the 2013-14 school year and \$842 million in 2014-15. School Aid is projected to reach an annual total of \$22.9 billion in the 2015-16 school year.

TOTAL SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30) (millions of dollars)								
<u>SY 2012</u>	<u>SY 2013</u>	<u>Change</u>	<u>SY 2014</u>	<u>Change</u>	<u>SY 2015</u>	<u>Change</u>	<u>SY 2016</u>	<u>Change</u>
\$19,542	\$20,347	\$805	\$21,059	\$712	\$21,901	\$842	\$22,908	\$1,007
		4.1%		3.5%		4.0%		4.6%

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

State Fiscal Year

The State finances School Aid from General Fund revenues and from Lottery Fund receipts, including VLTs, which are accounted for and disbursed from a dedicated revenue account. Because the State fiscal year begins on April 1, the State pays approximately 70 percent of the annual school year commitment during the State fiscal year in which it is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the multi-year projected funding levels for School Aid on a State fiscal year basis.

TOTAL SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2012 Results	FY 2013 Updated	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
Total State Operating Funds	19,662	20,056	2.0%	20,911	4.3%	21,725	3.9%	22,671	4.4%
General Fund Local Assistance	16,778	17,003	1.3%	17,832	4.9%	18,641	4.5%	19,585	5.1%
Core Lottery Aid	2,147	2,187	1.9%	2,200	0.6%	2,195	-0.2%	2,197	0.1%
VLT Lottery Aid	682	847	24.2%	879	3.8%	889	1.1%	889	0.0%
General Fund Lottery/VLT Guarantee	55	19	-65.5%	0	-100.0%	0	0.0%	0	0.0%

State spending for School Aid is projected to total \$20 billion in FY 2013. In future years, receipts available to finance School Aid from core lottery sales is projected to remain relatively flat while VLT receipts are anticipated to increase through FY 2015 as a result of the new VLT facility at the Aqueduct Racetrack. In addition to State aid, school districts receive over \$3 billion annually in Federal categorical aid.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

SCHOOL TAX RELIEF PROGRAM

The STAR program provides school tax relief to taxpayers. The three components of STAR and their approximate shares in FY 2013 are: the basic school property tax exemption for homeowners with income under \$500,000 (57 percent), the enhanced school property tax exemption for senior citizen homeowners with income under \$79,050 (25 percent), and a flat refundable credit and rate reduction for New York City resident personal-income taxpayers (18 percent).

SCHOOL TAX RELIEF (STAR) (millions of dollars)									
	FY 2012 Results	FY 2013 Updated	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
Total State Operating Funds	3,233	3,276	1.3%	3,459	5.6%	3,642	5.3%	3,744	2.8%
Basic Exemption	1,856	1,859	0.2%	1,986	6.8%	2,125	7.0%	2,188	3.0%
Enhanced (Seniors)	807	829	2.7%	862	4.0%	898	4.2%	925	3.0%
New York City PIT	570	588	3.2%	611	3.9%	619	1.3%	631	1.9%

The STAR program exempts the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$62,200 exemption. Spending for the STAR property tax exemption reflects reimbursements made to school districts to offset the reduction in property tax revenues.

The annual increase in a qualifying homeowner's STAR exemption benefit is limited to 2 percent. Homeowners who earn more than \$500,000 a year are not eligible for the STAR property tax exemption. New York City personal income taxpayers with annual income over \$500,000 have a reduced benefit.

The multi-year Financial Plan includes a new policy whereby the Department of Taxation and Finance will instruct local assessors to withhold the STAR exemption benefit from taxpayers who have a State-imposed and State-administered tax liability of \$4,500 or more, and own a home that is STAR-eligible. New York City residents who are similarly in arrears would lose their City PIT rate-reduction benefit, as well as the State School Tax Reduction Credit.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OTHER EDUCATION AID

In addition to School Aid, the State provides funding and support for various other education-related initiatives. These include: special education services; prekindergarten through grade 12 education programs; cultural education; higher and professional education programs; and adult career and continuing education services.

Major programs under the Office of Prekindergarten through Grade 12 Education address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, non-public school aid, and various special education programs. In special education, New York provides a full spectrum of services to over 400,000 students from ages 3 to 21. Higher and professional education programs monitor the quality and availability of postsecondary education programs and regulate the licensing and oversight of 49 professions. FY 2013 has been updated to reflect the timing of payments previously allocated in FY 2012.

Spending for special education is expected to increase as program costs and enrollment rise. In FY 2012, school districts financed the costs associated with schools for the blind and deaf in the first instance and will be reimbursed by the State in FY 2013, which drives a significant annual increase in FY 2013 spending. Other education spending is affected by the phase-out of Federal ARRA Stabilization Funds.

OTHER EDUCATION (millions of dollars)									
	<u>FY 2012</u> <u>Results</u>	<u>FY 2013</u> <u>Updated</u>	<u>Change</u>	<u>FY 2014</u> <u>Projected</u>	<u>Change</u>	<u>FY 2015</u> <u>Projected</u>	<u>Change</u>	<u>FY 2016</u> <u>Projected</u>	<u>Change</u>
Total State Operating Funds	1,698	1,972	16.1%	1,999	1.4%	2,065	3.3%	2,141	3.7%
Special Education	1,176	1,358	15.5%	1,456	7.2%	1,529	5.0%	1,604	4.9%
All Other Education	522	614	17.6%	543	-11.6%	536	-1.3%	537	0.2%

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

HIGHER EDUCATION

Local assistance for higher education spending includes funding for CUNY, SUNY and HESC. The State provides reimbursements to New York City for costs associated with CUNY's senior college operations, and works in conjunction with the City of New York to support CUNY's community colleges. The CUNY system is the largest urban public university system in the nation. Funding for SUNY supports 30 community colleges across multiple campuses. HESC administers the TAP program that provides awards to income-eligible students and provides centralized processing for other student financial aid programs. The financial aid programs that the Corporation administers are funded by the State and the Federal government.

The State also provides a sizeable benefit to SUNY and CUNY through the debt service it pays on bond-financed capital projects at the universities. This is not reflected in the annual spending totals for the universities. State debt service payments for higher education are expected to total over \$1.2 billion in FY 2013.

HIGHER EDUCATION									
(millions of dollars)									
	FY 2012 Results	FY 2013 Updated	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
Total State Operating Funds	2,608	2,618	0.4%	2,812	7.4%	2,888	2.7%	2,967	2.7%
City University	1,203	1,220	1.4%	1,342	10.0%	1,405	4.7%	1,472	4.8%
Operating Aid to NYC (Senior Colleges)	1,024	1,025	0.1%	1,149	12.1%	1,212	5.5%	1,279	5.5%
Community College Aid	178	194	9.0%	193	-0.5%	193	0.0%	193	0.0%
Community Projects	1	1	0.0%	0	-100.0%	0	0.0%	0	0.0%
Higher Education Services	924	936	1.3%	1,001	6.9%	1,014	1.3%	1,026	1.2%
Tuition Assistance Program	873	877	0.5%	935	6.6%	940	0.5%	946	0.6%
Aid for Part Time Study	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
Scholarships/Awards	39	47	20.5%	54	14.9%	62	14.8%	68	9.7%
State University	481	462	-4.0%	469	1.5%	469	0.0%	469	0.0%
Community College Aid ¹	444	457	2.9%	465	1.8%	465	0.0%	465	0.0%
Hospital Subsidy ²	32	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
Other	5	5	0.0%	4	-20.0%	4	0.0%	4	0.0%

¹ State support for SUNY 4-year institutions is funded through State operations rather than local assistance.

² Beginning in academic year 2011-12, the SUNY hospital subsidy will be funded as a transfer from General Fund State operations rather than local assistance.

Growth in spending for higher education over the plan period largely reflects aid to New York City for reimbursement of CUNY senior college operating expenses associated with the rising contribution rates for fringe benefits, and increased support for the TAP program, which reflects the impact of upward trends in student enrollment at institutions of higher education.

HEALTH CARE

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The DOH works with the local health departments and social services departments, including New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but many programs are supported through multi-agency efforts. The Medicaid program finances inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care, FHP, and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services, foster care services and inpatient hospital services provided to inmates on medical leave from State correctional facilities). The State share of Medicaid spending is budgeted and expended principally through DOH, but State share Medicaid spending also appears in the mental hygiene agencies, child welfare programs, School Aid and DOCCS. Medicaid spending is reported separately in the Financial Plan tables for each of the agencies.

MEDICAID

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, the Federal government, and local governments (including New York City). New York's Medicaid spending is projected to total approximately \$54.0 billion in FY 2013, including the local contribution.⁴

The Enacted Budget reflects continuation of the Medicaid spending cap enacted in FY 2012, and authorizes funding consistent with its provisions. The cap is based on the ten-year average change in the medical component of the CPI. Statutory changes approved with the FY 2012 Budget grant the Executive certain administrative powers to help hold Medicaid spending to the capped level. The statutory changes, which were set to expire at the end of FY 2013, have been extended through FY 2014, pursuant to authorization included in the FY 2013 Enacted Budget. The cap itself remains in place and the Financial Plan assumes that statutory authority will be extended in subsequent years.

⁴ The local contribution to the Medicaid program is not included in the State's Financial Plan. Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level for local social services districts. The FY 2013 Enacted Budget amends these statutory indexing provisions by implementing a three-year phased-takeover of the local share of growth above the previous year's enacted levels beginning in April 2013 for County Year 2013, with the State assuming all growth in County Year 2015. This initiative will save local governments nearly \$1.2 billion through the next five state fiscal years.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS ¹ (millions of dollars)					
	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Department of Health:					
State Share Without FMAP	16,000	15,606	16,513	17,049	17,895
Enhanced FMAP	(703)	254	0	0	0
DOH State Share With FMAP	15,297	15,860	16,513	17,049	17,895
Annual \$ Change - DOH Only		563	653	536	846
Annual % Change - DOH Only		3.7%	4.1%	3.2%	5.0%
Other State Agencies:					
Mental Hygiene	5,402	5,819	6,209	6,511	6,873
Education	64	0	0	0	0
Foster Care	113	93	117	122	127
Corrections	0	12	12	12	13
State Operations - Contractual Expenses ²	16	52	56	55	55
Total State Share (All Agencies)	20,892	21,836	22,907	23,749	24,963
Annual \$ Change - Total State Share		944	1,071	842	1,214
Annual % Change - Total State Share		4.5%	4.9%	3.7%	5.1%

¹ To conform the Financial Plan classification of State Operating Funds spending to the classification followed by the State Comptroller,
² Includes operational costs that support contracts related to the management of the Medicaid program and various activities to ensure appropriate utilization.

Factors affecting Medicaid spending over the Financial Plan period include Medicaid enrollment, costs of provider health care services (particularly in managed care), levels of service utilization and the expiration of enhanced Federal aid.⁵ The number of Medicaid recipients, including FHP, is expected to total approximately 5.1 million at the end of FY 2013, an increase of 2.4 percent from the FY 2012 caseload. The expiration of the enhanced FMAP contributes to an increase in State-share spending of nearly \$1 billion from FY 2012 to FY 2013, and includes costs associated with the Federal funding reconciliation between the State and counties. Pursuant to Federal Health Care Reform, the Federal government is expected to finance a greater share of Medicaid costs for individuals and couples without children, which is expected to lower growth in State-share Medicaid costs beginning in FY 2015.

The FY 2013 Enacted Budget includes authorization to establish a phased-takeover of local government administration of the Medicaid program, and to cap spending on local Medicaid administration at FY 2012 appropriation levels. The FY 2013 Enacted Budget also provides Medicaid spending relief for all counties and New York City by reducing the growth in local Medicaid payments. These changes are expected to further provide fiscal and administrative relief to local governments, consistent with other legislation the State has enacted in recent years to reduce the tax burden on its citizens.

⁵ In August 2010, the U.S. Congress approved a six-month extension through June 30, 2011 of the enhanced FMAP benefit, as provided through ARRA. Under enhanced FMAP (which covered the period from October 2008 through June 30, 2011), the Federal match rate increased from 50 percent to approximately 57 percent, which resulted in a concomitant decrease in the State and local share.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

The State share of DOH Medicaid spending is financed from both the General Fund, as well as special revenue funding primarily through HCRA. The chart below provides information on the financing sources for State Medicaid spending.

DEPARTMENT OF HEALTH MEDICAID (millions of dollars)									
	FY 2012 Results	FY 2013 Updated	FY 2014 Change Projected	FY 2014 Projected	FY 2015 Change Projected	FY 2015 Projected	FY 2016 Change Projected	FY 2016 Projected	FY 2016 Change
State Operating Funds (Before FMAP) ¹	16,000	15,606	-2.5%	16,513	5.8%	17,049	3.2%	17,895	5.0%
Enhanced FMAP -- State Share ²	(703)	254	-136.1%	0	-100.0%	0	0.0%	0	0.0%
State Operating Funds (After FMAP)	15,297	15,860	3.7%	16,513	4.1%	17,049	3.2%	17,895	5.0%
Total General Fund	10,301	10,604	2.9%	11,158	5.2%	11,454	2.7%	12,332	7.7%
Other State Funds Support	4,996	5,256	5.2%	5,355	1.9%	5,595	4.5%	5,563	-0.6%
HCRA Financing	3,392	3,672	8.3%	3,778	2.9%	4,018	6.4%	3,986	-0.8%
Indigent Care Support	777	792	1.9%	792	0.0%	792	0.0%	792	0.0%
Provider Assessment Revenue	827	792	-4.2%	785	-0.9%	785	0.0%	785	0.0%

¹ Does not include Medicaid spending in other State agencies, DOH State operations spending, or the local government share of total Medicaid program

² Excludes benefits realized in other State agencies. Costs in FY 2013 reflect the reconciliation of the local share benefit for FY 2012 that will occur in FY 2013.

PUBLIC HEALTH/AGING PROGRAMS

Public Health includes the EPIC program that provides prescription drug insurance to low-income seniors, the CHP program that finances health insurance coverage for children of low-income families up to the age of 19, the GPHW program that reimburses local health departments for the cost of providing certain public health services, the EI program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays, and other HCRA and State-supported programs.

The SOFA promotes and administers programs and services for New Yorkers 60 years of age and older. The Office primarily oversees community-based services, including but not limited to in-home services and nutrition assistance, provided through a network of county Area Agencies on Aging and local providers.

Many public health programs, such as the EI and GPHW programs, are run by county health departments and reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health funding. In addition, a significant portion of HCRA spending is included under the public health budget. For more information on HCRA projections, see the following section entitled "HCRA Financial Plan".

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

PUBLIC HEALTH AND AGING									
(millions of dollars)									
	FY 2012 Results	FY 2013 Updated	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
Total State Operating Funds	2,104	2,041	-3.0%	2,219	8.7%	2,315	4.3%	2,072	-10.5%
Public Health	1,992	1,925	-3.4%	2,101	9.1%	2,190	4.2%	1,940	-11.4%
Child Health Plus	344	334	-2.9%	369	10.5%	395	7.0%	312	-21.0%
General Public Health Work	247	247	0.0%	270	9.3%	283	4.8%	288	1.8%
EPIC	169	151	-10.7%	231	53.0%	255	10.4%	267	4.7%
Early Intervention	167	164	-1.8%	164	0.0%	167	1.8%	171	2.4%
HCRA Program Account	435	452	3.9%	476	5.3%	478	0.4%	478	0.0%
F-SHRP	234	175	-25.2%	205	17.1%	205	0.0%	0	-100.0%
All Other	396	402	1.5%	386	-4.0%	407	5.4%	424	4.2%
Aging	112	116	3.6%	118	1.7%	125	5.9%	132	5.6%

Increased State support for the EPIC program authorized in the FY 2013 Enacted Budget, reinstituting more expansive coverage of Medicare Part D co-payments and co-insurance for enrollees outside of the existing coverage gap, is expected to drive a substantial portion of growth in the outyears of the Financial Plan. The spending increases related to EPIC coverage is partly financed by additional revenue generated from rebates received from drug manufacturers. Other spending growth over the Financial Plan period largely reflects costs associated with increased enrollment in the CHP program, as well as increased spending for GPHW, consistent with patterns in claiming from counties.

The decline in FY 2013 spending is due in large part to decreased Federal aid. The F-SHRP program was provided to the State on a time-limited basis (expiring March 31, 2014) through a Federal waiver under certain terms and conditions aimed at improving the delivery and access of community health care services. EPIC spending is projected to temporarily decline in FY 2013, since the more expansive coverage authorized in the FY 2013 Enacted Budget does not take effect until January 1, 2013. After FY 2014, EPIC coverage is expected to stabilize, while spending is projected to increase due to the rising costs of prescription medication.

HCRA FINANCIAL PLAN

HCRA was established in 1996 to help finance a portion of State health care activities in various areas of the budget: Medicaid, Public Health, and the Department of Financial Services. Extensions and modifications to HCRA continue to finance new health care programs, including FHP, and provide additional funding for the expansion of existing programs such as CHP.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, a portion of cigarette tax revenues, and other revenues dedicated by statute, as well as potential future proceeds from insurance company conversions.

HCRA spending primarily finances Medicaid, EPIC, CHP, FHP and Indigent Care payments, which provide funds to hospitals that serve a disproportionate share of individuals without health insurance. HCRA also provides funding for Workforce Recruitment and Retention to health facilities, physician excess medical malpractice, and HEAL NY for capital improvements to health care facilities.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. These spending reductions could potentially affect core HCRA programs. The reauthorization of HCRA in prior years maintained HCRA’s balance without the need for automatic spending reductions.

Given the close relationship between the General Fund and HCRA, any balances in HCRA are typically eliminated by adjusting the level of Medicaid expenditures that HCRA finances. This reduces costs that otherwise would have been supported by the General Fund. Conversely, any shortfall in HCRA is expected to be financed by the General Fund.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

HCRA FINANCIAL PLAN FY 2012 THROUGH FY 2016					
(millions of dollars)					
	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Opening Balance	159	3	0	0	0
Total Receipts	<u>5,317</u>	<u>5,921</u>	<u>6,184</u>	<u>6,303</u>	<u>6,184</u>
Surcharges	2,711	3,016	3,171	3,263	3,142
Covered Lives Assessment	1,018	1,045	1,045	1,045	1,045
Cigarette Tax Revenue	1,162	1,146	1,123	1,101	1,079
Conversion Proceeds	0	250	300	300	300
Hospital Assessments	367	394	417	444	469
NYC Cigarette Tax Transfer/Other	59	70	128	150	149
Total Disbursements	<u>5,473</u>	<u>5,924</u>	<u>6,184</u>	<u>6,303</u>	<u>6,184</u>
Medicaid Assistance Account	<u>3,398</u>	<u>3,679</u>	<u>3,785</u>	<u>4,025</u>	<u>3,993</u>
<i>Medicaid Costs</i>	<i>2,178</i>	<i>2,290</i>	<i>2,464</i>	<i>2,703</i>	<i>2,672</i>
<i>Family Health Plus</i>	<i>602</i>	<i>690</i>	<i>657</i>	<i>657</i>	<i>657</i>
<i>Workforce Recruitment & Retention</i>	<i>184</i>	<i>211</i>	<i>197</i>	<i>197</i>	<i>197</i>
<i>All Other</i>	<i>434</i>	<i>488</i>	<i>467</i>	<i>468</i>	<i>467</i>
HCRA Program Account	461	476	499	501	501
Hospital Indigent Care	785	792	792	792	792
Elderly Pharmaceutical Insurance Coverage	102	162	246	270	282
Child Health Plus	350	342	377	403	320
Public Health Programs	137	129	129	129	129
All Other	240	344	356	183	167
Annual Operating Surplus/(Deficit)	(156)	(3)	0	0	0
Closing Balance	3	0	0	0	0

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

MENTAL HYGIENE

The Department of Mental Hygiene is comprised of four independent agencies, OMH, OPWDD, OASAS, and DDPC, which is funded entirely by Federal aid, as well as one oversight agency, the CQCAPD. Services are administered to adults with serious and persistent mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; and persons with chemical dependence. These agencies provide services directly to their patients through State-operated facilities and indirectly through community service providers. The costs associated with providing these services are funded by reimbursements from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which are issued to finance improvements to infrastructure at mental hygiene facilities throughout the State, with the remaining revenue used to support State operating costs.

MENTAL HYGIENE (millions of dollars)									
	FY 2012 Results	FY 2013 Updated	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
Total State Operating Funds	3,756	3,644	-3.0%	4,051	11.2%	4,312	6.4%	4,504	4.5%
People with Developmental Disabilities	2,324	2,235	-3.8%	2,461	10.1%	2,571	4.5%	2,642	2.8%
Residential Services	1,600	1,536	-4.0%	1,700	10.7%	1,779	4.6%	1,831	2.9%
Day Programs	613	588	-4.1%	650	10.5%	681	4.8%	700	2.8%
Clinic	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Other	86	86	0.0%	86	0.0%	86	0.0%	86	0.0%
Mental Health	1,124	1,094	-2.7%	1,251	14.4%	1,385	10.7%	1,490	7.6%
Adult Local Services	938	913	-2.7%	1,046	14.6%	1,158	10.7%	1,246	7.6%
Children Local Services	186	181	-2.7%	205	13.3%	227	10.7%	244	7.5%
Alcohol and Substance Abuse	307	314	2.3%	338	7.6%	355	5.0%	371	4.5%
Outpatient/Methadone	131	135	3.1%	142	5.2%	148	4.2%	155	4.7%
Residential	112	116	3.6%	125	7.8%	132	5.6%	139	5.3%
Prevention	32	33	3.1%	38	15.2%	40	5.3%	41	2.5%
Crisis	17	17	0.0%	19	11.8%	20	5.3%	21	5.0%
Program Support	8	8	0.0%	9	12.5%	10	11.1%	10	0.0%
LGU Administration	7	5	-28.6%	5	0.0%	5	0.0%	5	0.0%
CQCAPD	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%

Local assistance spending in mental hygiene accounts for nearly half of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 4.6 percent annually. This growth is attributable to increases in the projected State share of Medicaid costs and projected expansion of the mental hygiene service systems, including: increases primarily associated with the OPWDD NYS-CARES program; the New York/New York III Supportive Housing agreement and community beds that are currently under development in the OMH pipeline. Additional outyear spending is assumed in Financial Plan estimates for costs associated with efforts to move individuals in nursing homes and other settings to the least restrictive setting possible, as well as several chemical dependence treatment and prevention initiatives for individuals receiving services through OASAS.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

SOCIAL SERVICES

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, Safety Net Assistance and SSI. The Family Assistance program, which is financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE									
(millions of dollars)									
	FY 2012	FY 2013		FY 2014		FY 2015		FY 2016	
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
Total State Operating Funds	1,413	1,488	5.3%	1,543	3.7%	1,441	-6.6%	1,469	1.9%
SSI	730	741	1.5%	766	3.4%	664	-13.3%	691	4.1%
Public Assistance Benefits ¹	513	620	20.9%	657	6.0%	657	0.0%	657	0.0%
Welfare Initiatives	24	19	-20.8%	18	-5.3%	18	0.0%	18	0.0%
All Other	146	108	-26.0%	102	-5.6%	102	0.0%	103	1.0%

¹ Reflects additional spending in FY 2013 that is the result of FY 2012 payment delays.

The average public assistance caseload is projected to total 553,029 recipients in FY 2013, a decrease of 2.2 percent from FY 2012 levels. Approximately 255,031 families are expected to receive benefits through the Family Assistance program, a decrease of 2.6 percent from the FY 2012 level. In the Safety Net Families program, an average of 119,791 families are expected to receive aid in FY 2013, an annual decrease of 2.9 percent. The caseload for single adults/childless couples supported through the Safety Net Families program is projected at 178,207, an annual decrease of 1.2 percent.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State's system of family support and child welfare services administered by local departments of social services and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services to reduce out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families. The youth facilities program serves youth directed by family or criminal courts to be placed in residential facilities.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	<u>FY 2012</u> <u>Results</u>	<u>FY 2013</u> <u>Updated</u>	<u>Change</u>	<u>FY 2014</u> <u>Projected</u>	<u>Change</u>	<u>FY 2015</u> <u>Projected</u>	<u>Change</u>	<u>FY 2016</u> <u>Projected</u>	<u>Change</u>
Total State Operating Funds	1,604	1,543	-3.8%	1,891	22.6%	1,990	5.2%	2,094	5.2%
Child Welfare Service	446	330	-26.0%	463	40.3%	508	9.7%	556	9.4%
Foster Care Block Grant	436	436	0.0%	464	6.4%	492	6.0%	521	5.9%
Adoption	181	175	-3.3%	182	4.0%	190	4.4%	199	4.7%
Day Care	143	220	53.8%	355	61.4%	354	-0.3%	354	0.0%
Youth Programs	109	125	14.7%	150	20.0%	155	3.3%	156	0.6%
Medicaid	113	93	-17.7%	117	25.8%	122	4.3%	127	4.1%
Committees on Special Education	64	39	-39.1%	42	7.7%	46	9.5%	51	10.9%
Adult Protective/Domestic Violence	33	34	3.0%	39	14.7%	44	12.8%	51	15.9%
All Other	79	91	15.2%	79	-13.2%	79	0.0%	79	0.0%

OCFS spending reflects expected growth in claims-based programs and an increase in child care General Fund spending to offset a reduction in available TANF dollars.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

TRANSPORTATION

In FY 2013, the DOT will provide \$4.4 billion in local assistance to support statewide mass transit systems. This funding, financed through the collection of dedicated taxes and fees, is provided to mass transit operators throughout the State to support operating costs. The MTA, due to the size and scope of its transit system, receives the majority of the statewide mass transit operating aid. Additionally, the MTA receives operating support from the Mobility Tax and MTA Aid Trust Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District. The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA to support the transit system. Spending from this fund is projected to grow between 4 percent and 10 percent each year from FY 2014 to FY 2016. Legislation enacted in December 2011 eliminates the MTA payroll tax for all elementary and secondary schools as well as for certain small businesses. The State is expected to compensate the MTA for the decrease in receipts from the December 2011 tax reduction.

TRANSPORTATION (millions of dollars)									
	FY 2012 Results	FY 2013 Updated	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
Total State Operating Funds	4,230	4,378	3.5%	4,556	4.1%	4,634	1.7%	4,730	2.1%
Mass Transit Operating Aid:	<u>1,784</u>	<u>1,907</u>	<u>6.9%</u>	<u>1,907</u>	<u>0.0%</u>	<u>1,907</u>	<u>0.0%</u>	<u>1,907</u>	<u>0.0%</u>
Metro Mass Transit Aid	1,645	1,762	7.1%	1,762	0.0%	1,762	0.0%	1,762	0.0%
Public Transit Aid	87	93	6.9%	93	0.0%	93	0.0%	93	0.0%
18-B General Fund Aid	27	27	0.0%	27	0.0%	27	0.0%	27	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax and MTA Aid Trust	1,725	1,742	1.0%	1,915	9.9%	1,991	4.0%	2,085	4.7%
Dedicated Mass Transit	674	683	1.3%	689	0.9%	691	0.3%	693	0.3%
AMTAP	45	45	0.0%	45	0.0%	45	0.0%	45	0.0%
All Other	2	1	-50.0%	0	-100.0%	0	0.0%	0	0.0%

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

LOCAL GOVERNMENT ASSISTANCE

Direct aid to local governments primarily includes the AIM program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams. Along with AIM, the State provides incentive grants to local governments. Other direct aid to local governments includes VLT impact aid, Small Government Assistance and Miscellaneous Financial Assistance.

ALL OTHER LOCAL ASSISTANCE SPENDING

LOCAL GOVERNMENT ASSISTANCE									
(millions of dollars)									
	FY 2012 <u>Results</u>	FY 2013 <u>Updated</u>	Annual % <u>Change</u>	FY 2014 <u>Projected</u>	Annual % <u>Change</u>	FY 2015 <u>Projected</u>	Annual % <u>Change</u>	FY 2016 <u>Projected</u>	Annual % <u>Change</u>
Total State Operating Funds	754	777	3.1%	789	1.5%	801	1.5%	803	0.2%
AIM:									
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Efficiency Incentives	6	25	316.7%	42	68.0%	58	38.1%	60	3.4%
All Other Local Aid	33	37	12.1%	32	-13.5%	28	-12.5%	28	0.0%

Other local assistance programs and activities include criminal justice, economic development, housing, parks and recreation and environmental quality. Spending in these areas is not expected to change materially over the Financial Plan period.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

AGENCY OPERATIONS

Agency operating costs include personal service, non-personal service, and GSCs. Personal service includes salaries of State employees of the Executive, Legislative, and Judicial branches, as well as overtime payments and costs for temporary employees. Non-personal service generally accounts for the cost of operating State agencies, including real estate rental, utilities, contractual payments (i.e., consultants, information technology, and professional business services), supplies and materials, equipment, telephone service and employee travel. GSCs account for the costs of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State. In addition, certain agency operations of Transportation and Motor Vehicles are included in the capital projects fund type and not reflected in the State Operating Funds personal service or non-personal service totals.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which primarily represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which primarily represents professional and technical personnel (i.e., attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and non-teaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

Selected assumptions used in preparing the spending projections for agency operations are summarized in the following table.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	<u>FY 2012</u> <u>Results</u>	<u>FY 2013</u> <u>Updated</u>	<u>FY 2014</u> <u>Projected</u>	<u>FY 2015</u> <u>Projected</u>	<u>FY 2016</u> <u>Projected</u>
Negotiated Base Salary Increases ¹					
CSEA/NYSCOPBA/Council 82/NYSPBA	0	0	0	2%	2%
PEF	0	0	0	2%	TBD
State Workforce	119,579	121,841	122,142	122,142	122,142
ERS Pension Contribution Rate ²					
Before Amortization	16.5%	19.4%	21.6%	23.1%	20.6%
After Amortization	10.5%	11.5%	12.5%	13.5%	14.5%
PFRS Pension Contribution Rate					
Before Amortization	22.3%	26.9%	29.2%	31.3%	28.5%
After Amortization	18.5%	19.5%	20.5%	21.5%	22.5%
Employee/Retiree Health Insurance Growth Rates	6.0%	-2.7%	7.2%	8.3%	8.3%
PS/Fringe as % of Receipts (All Funds Basis)	14.4%	14.6%	14.7%	14.9%	14.2%
¹ Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated workforce agreements. ² As Percent of Salary.					

Growth in agency operating spending over the multi-year Financial Plan is concentrated in agencies that operate large facilities, such as the State University, the mental hygiene agencies, and Corrections and Community Supervision. The main causes of growth include inflationary increases in operating costs expected for food, medical care and prescription drugs, and energy costs in State facilities, offset by expected savings from enterprise procurement efforts.

Recently settled collective bargaining agreements with NYSCOPBA and Council 82 are reflected in the personal service costs below and include retroactive salary increases that will be paid in FY 2013 for prior years.

In most years, there are 26 bi-weekly pay periods. However, in FY 2016 there is one additional State institutional payroll, therefore an “extra” 27th pay period results in higher spending. In addition, in FY 2016 the State will begin repayment to State employees for portions of the Deficit Reduction program taken during FY 2012 and FY 2013 as part of workforce savings initiatives.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS - AGENCY OPERATIONS									
(millions of dollars)									
	FY 2012 Results	FY 2013 Updated	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
Subject to Direct Executive Control	9,696	9,723	0.3%	9,884	1.7%	10,138	2.6%	10,575	4.3%
Mental Hygiene	2,941	2,985	1.5%	3,088	3.5%	3,197	3.5%	3,350	4.8%
Corrections and Community Supervision	2,472	2,704	9.4%	2,578	-4.7%	2,649	2.8%	2,806	5.9%
State Police	611	644	5.4%	650	0.9%	655	0.8%	668	2.0%
Public Health	540	556	3.0%	607	9.2%	629	3.6%	635	1.0%
Tax and Finance	383	393	2.6%	395	0.5%	406	2.8%	413	1.7%
Children and Family Services	293	316	7.8%	315	-0.3%	301	-4.4%	298	-1.0%
Environmental Conservation	245	235	-4.1%	235	0.0%	236	0.4%	239	1.3%
Financial Services	210	202	-3.8%	207	2.5%	209	1.0%	212	1.4%
Temporary and Disability Assistance	150	203	35.3%	205	1.0%	216	5.4%	214	-0.9%
Parks, Recreation and Historic Preservation	183	166	-9.3%	167	0.6%	169	1.2%	171	1.2%
Workers' Compensation Board	158	151	-4.4%	150	-0.7%	153	2.0%	156	2.0%
Lottery	140	161	15.0%	155	-3.7%	159	2.6%	159	0.0%
General Services	120	154	28.3%	138	-10.4%	133	-3.6%	136	2.3%
Collective Bargaining Adjustment	102	(236)	-331.4%	0	-100.0%	0	0.0%	0	0.0%
All Other	1,148	1,089	-5.1%	994	-8.7%	1,026	3.2%	1,118	9.0%
University System	5,538	5,627	1.6%	5,736	1.9%	5,882	2.5%	6,023	2.4%
State University	5,430	5,524	1.7%	5,631	1.9%	5,774	2.5%	5,913	2.4%
City University	108	103	-4.6%	105	1.9%	108	2.9%	110	1.9%
Independent Agencies	295	304	3.1%	305	0.3%	313	2.6%	321	2.6%
Law	160	165	3.1%	163	-1.2%	167	2.5%	171	2.4%
Audit & Control	135	139	3.0%	142	2.2%	146	2.8%	150	2.7%
Total, excluding Legislature and Judiciary	15,529	15,654	0.8%	15,925	1.7%	16,333	2.6%	16,919	3.6%
Judiciary	1,827	1,856	1.6%	1,914	3.1%	2,000	4.5%	2,095	4.8%
Legislature	197	219	11.2%	221	0.9%	224	1.4%	227	1.3%
Statewide Total (Adjusted)	17,553	17,729	1.0%	18,060	1.9%	18,557	2.8%	19,241	3.7%
Personal Service	12,149	12,234	0.7%	12,494	2.1%	12,832	2.7%	13,364	4.1%
Non-Personal Service	5,404	5,495	1.7%	5,566	1.3%	5,725	2.9%	5,877	2.7%
Statewide Total	17,451	17,965	2.9%	18,060	0.5%	18,557	2.8%	19,241	3.7%
Personal Service	12,047	12,470	3.5%	12,494	0.2%	12,832	2.7%	13,364	4.1%
Non-Personal Service	5,404	5,495	1.7%	5,566	1.3%	5,725	2.9%	5,877	2.7%

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

GENERAL STATE CHARGES

Fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, Social Security, health insurance, workers' compensation, unemployment insurance, and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations. However, certain agencies, including the Judiciary and SUNY, directly pay all or a portion of their employee fringe benefit costs from their respective budgets. Employee fringe benefits paid through GSCs are paid from the General Fund in the first instance and then partially reimbursed by revenue collected from fringe benefit assessments on Federal funds and other special revenue accounts. The largest General Fund reimbursement comes from the mental hygiene agencies.

GSCs also include certain fixed costs such as State taxes paid to local governments for certain State-owned lands, and payments related to lawsuits against the State and its public officers.

GENERAL STATE CHARGES									
(millions of dollars)									
	FY 2012	FY 2013		FY 2014		FY 2015		FY 2016	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
Total State Operating Funds	6,593	6,531	-0.9%	7,062	8.1%	7,563	7.1%	8,040	6.3%
Fringe Benefits	6,217	6,146	-1.1%	6,707	9.1%	7,193	7.2%	7,671	6.6%
Health Insurance	3,275	3,202	-2.2%	3,411	6.5%	3,670	7.6%	3,951	7.7%
Employee Health Insurance	2,052	1,987	-3.2%	2,132	7.3%	2,294	7.6%	2,469	7.6%
Retiree Health Insurance	1,223	1,215	-0.7%	1,279	5.3%	1,376	7.6%	1,482	7.7%
Pensions	1,697	1,600	-5.7%	2,012	25.8%	2,257	12.2%	2,467	9.3%
Social Security	914	931	1.9%	944	1.4%	969	2.6%	1,000	3.2%
All Other Fringe	331	413	24.8%	340	-17.7%	297	-12.6%	253	-14.8%
Fixed Costs	376	385	2.4%	355	-7.8%	370	4.2%	369	-0.3%

GSCs are projected to increase at an average annual rate of 5.1 percent over the Financial Plan period. The annual decrease in FY 2013 is driven by the impact of collective bargaining agreements, workforce attrition and the prepayment of certain pension costs in FY 2012. These declines are partially offset by increased Workers' Compensation payments commensurate with 2007 legislative reforms and Court of Claims payments related to the Gyrodyne eminent domain lawsuit against the State. Increases in future years are driven by projected growth in health insurance and pension costs, offset by revenue collected from fringe benefit assessments, particularly from the mental hygiene agencies.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

TRANSFERS TO OTHER FUNDS (GENERAL FUND BASIS)

GENERAL FUND TRANSFERS TO OTHER FUNDS					
(millions of dollars)					
	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Total Transfers to Other Funds	5,856	7,036	8,655	9,535	9,802
Medicaid State Share	2,722	2,975	2,767	2,621	2,521
Debt Service	1,516	1,564	1,617	1,514	1,488
Capital Projects	798	1,055	1,287	1,403	1,299
Dedicated Highway and Bridge Trust Fund	449	499	594	619	622
All Other Capital	349	556	693	784	677
All Other Transfers	820	1,442	2,984	3,997	4,494
Mental Hygiene	0	0	824	1,756	2,347
SUNY - Disproportionate Share	225	228	228	228	228
Judiciary Funds	123	115	116	117	118
School Aid - Lottery/VLT Aid Guarantee	55	19	0	0	0
SUNY - University Operations	0	340	983	1,002	1,022
SUNY - Hospital Operations	60	81	88	88	88
Banking Services	71	58	66	66	66
Statewide Financial System	36	48	55	55	55
Indigent Legal Services	40	40	40	40	40
Department of Transportation (MTA Tax)	22	280	332	334	334
Mass Transportation Operating Assistance	47	19	19	19	19
Alcoholic Beverage Control	16	17	19	20	20
Public Transportation Systems	12	12	12	12	12
Correctional Industries	10	10	10	10	10
OFT Centralized Tech Services	0	22	52	20	10
All Other	103	153	140	230	125

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

General Fund transfers help finance certain capital activities, the State's share of Medicaid costs for State-operated mental hygiene facilities, debt service for bonds that do not have dedicated revenues, and a range of other activities.

A significant portion of the capital and operating expenses of DOT and DMV are funded from the DHBTF. The Fund receives dedicated tax and fee revenue from the Petroleum Business Tax, the Motor Fuel Tax, the Auto Rental Tax, highway use taxes, transmission taxes and motor vehicle fees administered by DMV. The Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF. The subsidy is required because the cumulative expenses of the fund – capital and operating expenses of DOT and DMV, debt service on DHBTF bonds and transfers for debt service on bonds that fund CHIPs and local transportation programs – exceed current and projected revenue deposits and bond proceeds.

Transfers to other funds are expected to total \$7.0 billion in FY 2013, an annual increase of \$1.2 billion, or 20 percent. This increase is mainly due to higher costs related to the Financial Plan re-categorization of SUNY operating support, supplementation to MTA for the recent payroll tax reduction, Medicaid State share, and capital projects.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

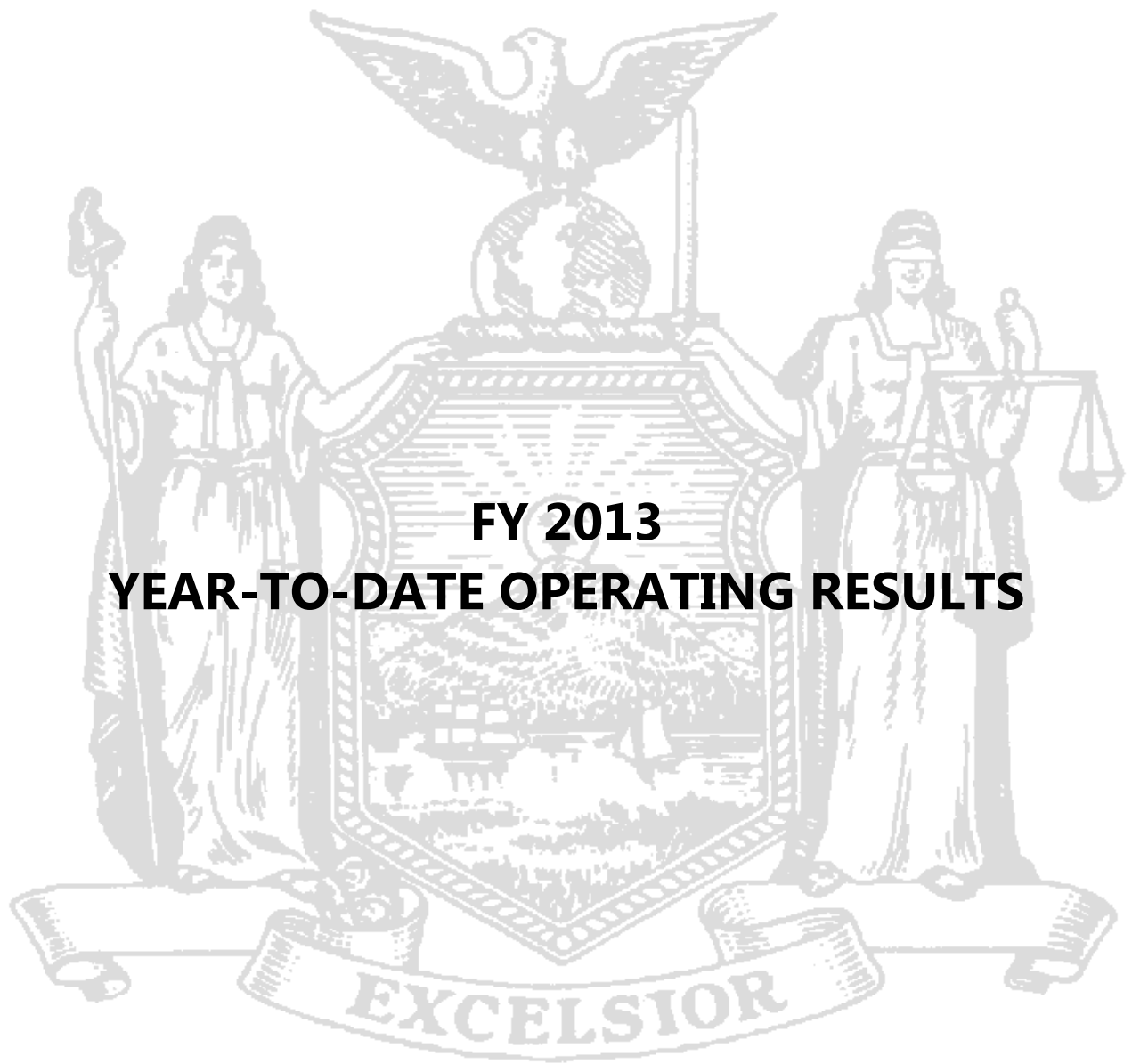
DEBT SERVICE

The State pays debt service on all outstanding State-supported bonds. These include general obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as bonds issued by State public authorities (i.e., ESDC, DASNY, and the TA, subject to an appropriation). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources, such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS				
(millions of dollars)				
	<u>FY 2012</u> <u>Results</u>	<u>FY 2013</u> <u>Updated</u>	<u>Annual</u> <u>Change</u>	<u>Percent</u> <u>Change</u>
General Fund	1,516	1,564	48	3.2%
Other State Support	4,348	4,536	188	4.3%
State Operating Funds	5,864	6,100	236	4.0%
Total All Funds	5,864	6,100	236	4.0%

Total debt service is projected at \$6.1 billion in FY 2013, of which \$1.6 billion is financed via a General Fund transfer, and \$4.5 billion from other State funds. The General Fund transfer primarily finances debt service payments on general obligation and service contract bonds. Debt service is paid directly from other State funds for the State's revenue bonds, including PIT bonds, DHBTF bonds, and mental health facilities bonds.

Projections for debt service spending have been updated to reflect actual bond sale results to date, higher assumed costs for mental health bonds, and anticipated increased 2012-13 bonding levels for SUNY and transportation purposes.



FY 2013

YEAR-TO-DATE OPERATING RESULTS

FY 2013 YEAR-TO-DATE OPERATING RESULTS

This section provides a summary of operating results for April through June 2012 compared to the (1) initial projections set forth in the FY 2013 Enacted Budget; and (2) results through June for the prior year (FY 2012).

The State ended the month of June 2012 with a closing balance of \$2.9 billion in the General Fund. The balance was \$814 million higher than projected in the Enacted Budget Financial Plan. The higher balance is due to lower than anticipated spending (\$699 million) and higher than anticipated receipts (\$115 million).

In April 2012, the State converted a enterprise-wide accounting and financial management system, the Statewide Financial System (SFS). As agencies, employees, and external parties doing business with the State adjust to the new system, delays in processing transactions in the new system have occurred. The lower than expected spending through June, particularly in the areas of local assistance and non-personal service, appear to be due largely to the effects of learning the new system. Accordingly, DOB anticipates that any delays in processing will correct themselves in subsequent months and not affect annual Financial Plan disbursement estimates.

GENERAL FUND OPERATING RESULTS THROUGH JUNE 2012 (millions of dollars)			
	Enacted Plan	Actual Results	Above/(Below) Variance
Opening Balance	1,787	1,787	0
Receipts	15,289	15,404	115
Personal Income Tax ¹	9,967	10,089	122
User Taxes and Fees ¹	3,014	2,877	(137)
Business Taxes	1,213	1,292	79
Other Taxes ¹	429	410	(19)
Non-Tax Receipts	666	736	70
Disbursements	14,955	14,256	(699)
Education	4,943	4,964	21
Health Care	2,814	2,714	(100)
Social Services	923	679	(244)
All Other Local	1,556	1,312	(244)
Personal Service	1,794	1,776	(18)
Non-Personal Service	481	340	(141)
General State Charges	865	945	80
Transfers to Other Funds	1,579	1,526	(53)
Change in Operations	334	1,148	814
Closing Balance	2,121	2,935	814

¹ Includes transfers from other funds after debt service.

FY 2013 YEAR-TO-DATE OPERATING RESULTS

Total taxes, including transfers from other funds after debt service, were \$45 million above the Enacted Budget projections, reflecting higher than expected collections from personal income tax receipts (\$122 million) and business taxes (\$79 million), partly offset by lower than expected collections from user taxes and fees (\$137 million) and other taxes (\$19 million). Non-tax revenue, which includes miscellaneous receipts and transfers from other funds, was \$70 million above Enacted projections, primarily reflecting greater than anticipated civil recoveries remitted to the State by district attorneys.

General Fund disbursements, including transfers to other funds, were \$699 million below projections due to timing of spending for social services programs (\$244 million); TAP payments to institutions of higher education (\$184 million); public health programs (\$111 million); and non-personal services mainly in the following agencies: DOCCS, OTDA, Judiciary, SUNY, OGS, and DOH. Higher spending for General State Charges is attributable to lower than projected reimbursements payments from non-General Fund Mental Hygiene accounts, which thereby imposed additional costs upon the General Fund, and is expected to correct over the rest of the year. In addition, GSCs spending was higher than anticipated for Workers' Compensation payments, a result of statutory increases in the maximum benefit provided to injured employees, which has been reflected in the updated Financial Plan.

GENERAL FUND ANNUAL CHANGE

GENERAL FUND OPERATING RESULTS YEAR-OVER-YEAR THROUGH JUNE (millions of dollars)				
	FY 2012 Results	FY 2013 Results	Increase/(Decrease)	
			\$	%
Opening Balance	1,376	1,787	411	
Receipts	15,705	15,404	(301)	-1.9%
Personal Income Tax ¹	10,432	10,089	(343)	-3.3%
User Taxes and Fees ¹	2,925	2,877	(48)	-1.6%
Business Taxes	1,363	1,292	(71)	-5.2%
Other Taxes ¹	370	410	40	10.8%
Non-Tax Revenue	615	736	121	19.7%
Disbursements	14,589	14,256	(333)	-2.3%
Education	4,973	4,964	(9)	-0.2%
Health Care	2,923	2,714	(209)	-7.2%
Social Services	806	679	(127)	-15.8%
All Other Local	1,491	1,312	(179)	-12.0%
Personal Service	1,725	1,776	51	3.0%
Non-Personal Service	414	340	(74)	-17.9%
General State Charges	845	945	100	11.8%
Transfers To Other Funds	1,412	1,526	114	8.1%
Change in Operations	1,116	1,148	32	
Closing Balance	2,492	2,935	443	

¹ Includes transfers from other funds after debt service.

FY 2013 YEAR-TO-DATE OPERATING RESULTS

The closing balance in the General Fund as of June 2012 was \$443 million higher than the closing balance in June 2011, primarily due to starting the current year with a larger opening balance.

Total receipts through June 2012 were \$301 billion (1.9 percent) lower than in the prior fiscal year. Tax receipts through June 2012 were \$422 million (2.8 percent) below levels in the prior fiscal year, which largely reflect the impact of tax law changes and a large non-recurring audit recovery in the prior year. Higher non-tax revenue is mainly due to receipts from a legal settlement received in the current year.

Through June 2012, spending was \$333 million (2.3 percent) below the prior year, reflecting the net impact of a significant year-over-year decrease in local assistance spending (\$524 million) and agency operations (\$23 million) due to timing of spending in FY 2013. The declines were partly offset by higher spending for General State Charges (\$100 million) due to higher Workers' Compensation payments and increased transfers to other State funds (\$114 million) to offset the MTA's expected loss of revenue as a result of the exemption granted to small business operators from being subject to the MTA's regional mobility tax, as included in the December 2011 tax reform legislation.

STATE OPERATING FUNDS RESULTS

STATE OPERATING FUNDS RESULTS THROUGH JUNE 2012			
(millions of dollars)			
	Enacted Plan	Actual Results	Above/(Below) Variance
Opening Balance	3,847	3,847	0
Receipts	20,773	20,575	(198)
Personal Income Tax	10,508	10,631	123
User Taxes and Fees	3,590	3,465	(125)
Business Taxes	1,549	1,620	71
Other Taxes	736	761	25
Non-Tax Receipts	4,390	4,098	(292)
Disbursements	20,473	19,054	(1,419)
Education	5,278	5,281	3
Health Care	4,286	3,927	(359)
Social Services	924	681	(243)
All Other Local	3,361	3,032	(329)
Personal Service	3,036	3,015	(21)
Non-Personal Service	1,251	863	(388)
General State Charges	1,317	1,271	(46)
Debt Service	1,020	982	(38)
Capital Projects	-	2	2
Other Financing Sources	892	991	99
Change in Operations	1,192	2,512	1,320
Closing Balance	5,039	6,359	1,320

FY 2013 YEAR-TO-DATE OPERATING RESULTS

The State ended June 2012 with a closing balance of \$6.4 billion in State Operating Funds, \$1.3 billion above the estimate in the Enacted Budget Financial Plan. Tax collections were higher than planned in the personal income tax due to stronger than anticipated April 2012 extension payments; business taxes due to the timing of audit recoveries and stronger than expected gross bank tax collections; and MTA mobility tax collections, mainly due to a lag in taxpayer response to the tax reduction that took effect in April 2012. User Taxes and Fees fell below plan due to weaker than expected sales tax collections as a result of a warm winter and weak consumer demand. Likewise, non-tax receipts fell below plan collections across multiple non-General Fund accounts.

State Operating Funds spending was \$1.4 billion below the estimate in the Enacted Budget Financial Plan. In addition to the General Fund variances described above, lower spending in other State funds appears to have been affected by the transition to SFS and is expected to correct itself in the coming months.

STATE OPERATING FUNDS ANNUAL CHANGE

APRIL THROUGH JUNE STATE OPERATING FUNDS RESULTS YEAR-OVER-YEAR (millions of dollars)				
	FY 2012 Results	FY 2013 Results	Increase/(Decrease)	
			\$	%
Opening Balance	3,969	3,847	(122)	
Receipts	20,971	20,575	(396)	-1.9%
Personal Income Tax	10,970	10,631	(339)	-3.1%
User Taxes and Fees	3,498	3,465	(33)	-0.9%
Business Taxes	1,719	1,620	(99)	-5.8%
Other Taxes	735	761	26	3.5%
Non-Tax Receipts	4,049	4,098	49	1.2%
Disbursements	20,183	19,054	(1,129)	-5.6%
Education	5,293	5,281	(12)	-0.2%
Health Care	4,399	3,927	(472)	-10.7%
Social Services	810	681	(129)	-15.9%
All Other Local	3,162	3,032	(130)	-4.1%
Personal Service	3,003	3,015	12	0.4%
Non-Personal Service	1,250	863	(387)	-31.0%
General State Charges	1,248	1,271	23	1.8%
Debt Service	1,017	982	(35)	-3.4%
Capital Projects	1	2	1	100.0%
Other Financing Sources	1,202	991	(211)	
Change in Operations	1,990	2,512	522	
Closing Balance	5,959	6,359	400	

Total receipts through June 2012 were \$396 million lower (1.9 percent) than in the prior fiscal year. Tax receipts through June 2012 were \$445 million below FY 2012 levels. Lower personal income tax collections (\$339 million) and user taxes (\$33 million) are primarily attributable to tax law changes. The decline in business tax receipts (\$99 million) is mainly attributable to a large audit collection in the first quarter of FY 2012 that did not recur in the current fiscal year. Increased year-to-date collections from other taxes (\$26 million) reflect the impact of timing associated with real estate transfer tax payments from New York City. Non-tax

FY 2013 YEAR-TO-DATE OPERATING RESULTS

receipts through June 2012 were \$49 million above results in the prior fiscal year due to improved collections in certain accounts.

Actual spending results through the first quarter of FY 2013 were \$1.1 billion (5.6 percent) below the prior year due to the timing of payments in the current year.

ALL FUNDS RESULTS

All Governmental Funds ended June 2012 with a closing balance of \$5.0 billion, \$521 million above the Enacted projection.

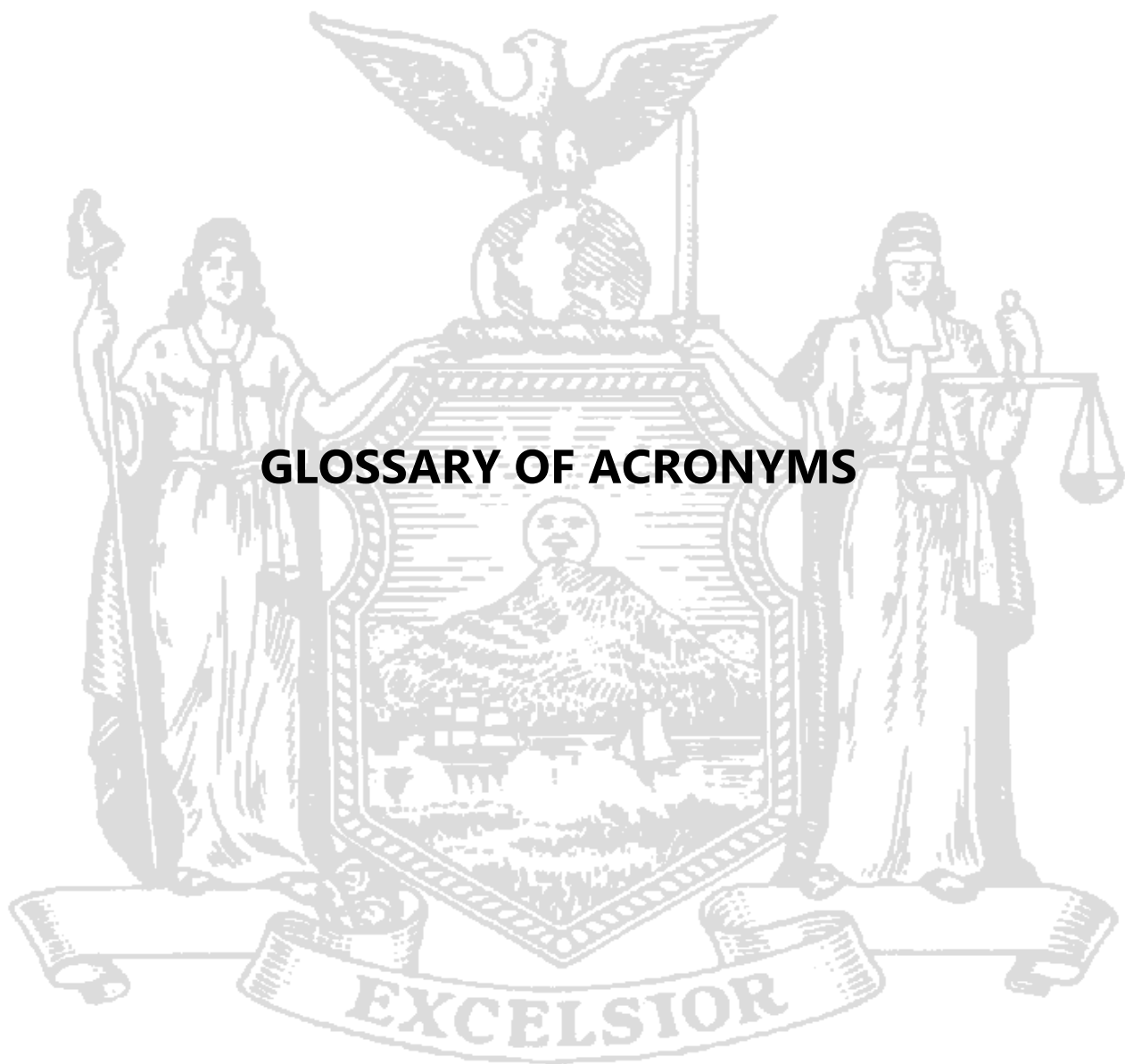
All GOVERNMENTAL FUNDS RESULTS THROUGH JUNE 2012 (millions of dollars)			
	Enacted Plan	Actual Results	Above/(Below) Variance
Opening Balance	3,360	3,360	n/a
Receipts	32,028	30,042	(1,986)
Taxes	16,695	16,793	98
Miscellaneous Receipts	5,283	4,809	(474)
Federal Grants	10,050	8,440	(1,610)
Disbursements	30,988	28,386	(2,602)
State Operating Funds	20,473	19,054	(1,419)
Capital Projects Funds	1,651	1,222	(429)
Federal Operating Funds	8,864	8,110	(754)
Other Financing Sources	85	(10)	(95)
Change in Operations	1,125	1,646	521
Closing Balance	4,485	5,006	521

FY 2013 YEAR-TO-DATE OPERATING RESULTS

ALL GOVERNMENTAL FUNDS ANNUAL CHANGE

All Governmental Funds receipts and spending through June 2012 was approximately \$3.4 billion lower than the previous fiscal year. The year-to-year decrease in Federal grants receipts and total spending is the result of timing-related delays, as well as the expiration of Federal Enhanced FMAP at the end of June 2011, which temporarily lowered State-share Medicaid costs by increasing the Federal share.

APRIL THROUGH JUNE ALL GOVERNMENTAL FUNDS RESULTS YEAR-OVER-YEAR (millions of dollars)				
	FY 2012 Results	FY 2013 Results	Increase/(Decrease)	
			\$	%
Opening Balance	3,812	3,360	(452)	
Receipts	33,376	30,042	(3,334)	-10.0%
Taxes	17,220	16,793	(427)	-2.5%
Miscellaneous Receipts	4,987	4,809	(178)	-3.6%
Federal Grants	11,169	8,440	(2,729)	-24.4%
Disbursements	31,751	28,386	(3,365)	-10.6%
State Operating Funds	20,183	19,054	(1,129)	-5.6%
Capital Projects Funds	1,827	1,222	(605)	-33.1%
Federal Operating Funds	9,741	8,110	(1,631)	-16.7%
Other Financing Sources	(11)	(10)	1	
Change in Operations	1,614	1,646	32	
Closing Balance	5,426	5,006	(420)	



GLOSSARY OF ACRONYMS

GLOSSARY OF ACRONYMS

AIM.....	Aid and Incentive for Municipalities
ALES	Agency Law Enforcement Services
APSU	Agency Police Services Unit
ARC	Annual Required Contribution
ARRA	American Recovery and Reinvestment Act of 2009
BANs	Bond Anticipation Notes
BCA	Budget Control Act
CHIPs.....	Consolidated Highway Improvement Programs
CHP.....	Child Health Plus
CMS	Centers for Medicare and Medicaid Services
CPI	Consumer Price Index
CQCAPD	Commission on Quality of Care and Advocacy for Persons with Disabilities
CSEA	Civil Service Employees Association
CUNY	City University of New York
CUNY DC-37	City University of New York District Council 37
DASNY.....	Dormitory Authority of the State of New York
DDPC.....	Developmental Disabilities Planning Council
DHBTf	Dedicated Highway and Bridge Trust Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH.....	Department of Health
DOT	Department of Transportation
EI	Early Intervention
EPIC.....	Elderly Pharmaceutical Insurance Coverage
ESDC	Empire State Development Corporation
FHP	Family Health Plus
FMAP.....	Federal Medical Assistance Percentage
F-SHRP	Federal-State Health Reform Partnership
FY	Fiscal Year
GAAP.....	Generally Accepted Accounting Principles
GASB.....	Governmental Accounting Standards Board
GASB 45.....	Governmental Accounting Standards Board Statement 45
GEA	Gap Elimination Adjustment
GDP	Gross Domestic Product
GOER.....	Governor's Office of Employee Relations
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HCRA	Health Care Reform Act
HEAL NY	Health Care Efficiency and Affordability Law for New Yorkers
HESC	Higher Education Services Corporation
LGU	Local Governmental Unit
MCFFA.....	Medical Care Facilities Financing Agency
MTA.....	Metropolitan Transportation Authority

GLOSSARY OF ACRONYMS

NYS-CARES	New York State - Creating Alternatives in Residential Environments and Services
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSPBA.....	New York State Police Benevolent Association
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OGS	Office of General Services
OMB	Office of Management and Budget
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD.....	Office for People with Developmental Disabilities
OSC.....	Office of the State Comptroller
OTB	Off Track Betting
OTDA	Office of Temporary and Disability Assistance
PAYGO.....	Pay-As-You-Go
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PIT	Personal Income Tax
RBTF	Revenue Bond Tax Fund
SAGE	Spending and Government Efficiency Commission
SFS	Statewide Financial System
SOFA	New York State Office for the Aging
SSI	Supplemental Security Income
STAR	School Tax Relief
STIP	Short-Term Investment Pool
SUNY.....	State University of New York
SY	School Year
TA	Thruway Authority
TANF.....	Temporary Assistance for Needy Families
TAP.....	Tuition Assistance Program
UPL.....	Ultra Petroleum Corp.
UUP	United University Professions
VLT.....	Video Lottery Terminal



**FINANCIAL PLAN TABLES AND ACCOMPANYING
NOTES**

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

NOTE 1 — BASIS OF ACCOUNTING

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

NOTE 2 — FUND TYPES AND PERSPECTIVES

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund during the fiscal year and transfers from other funds from current revenues.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for State University, Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Capital Projects - These funds finance a variety of capital construction costs including: (1) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (2) highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects, and buildings and other capital facilities required by various State departments and agencies; (3) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (4) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds including the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt. All capital spending, regardless of financing source, is included in the Capital budget.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to other State agencies or governmental units on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Accounts for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Accounts for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

NOTE 3 — DISBURSEMENT DESCRIPTIONS

The State's Financial Plan and reporting includes only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

Personal Service - Includes the payment of salaries and compensation for State employees.

Non-Personal Service - Includes payments for miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other operating costs.

General State Charges - Include costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defense(s) by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Include payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for State University, Health, and Mental Hygiene facilities.

Capital Projects - Include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Bond Proceeds - Includes the proceeds of general obligation bonds and short-term notes issued in the form of commercial paper or BANs and are stated net of notes redeemed from the proceeds of permanent bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

NOTE 4 — RESERVATIONS OF GENERAL FUND BALANCE

Fund balance may be legally segregated for specific future use or set aside informally for specified purposes. The following terms are used in the reporting of reservations of General Fund Balance:

Tax Stabilization Reserve Fund - Created pursuant to law in order to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending and the balance may not exceed 2.0 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no less than three annual installments.

Rainy Day Reserve Fund - Created pursuant to law, to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to three percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve Fund - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects Fund - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. The Enacted State Budget typically includes lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. During the fiscal year, each party may allocate their respective appropriations, by grant amount, to the intended recipient organizations.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase “reserved for.”

NOTE 5 — SPENDING ADJUSTMENTS

Certain Special Revenue accounts formerly reported in the Financial Plan as Federal Operating Funds have been reclassified to State Operating Funds. The State changed this reporting with the FY 2012 Executive Budget. This change has the effect of increasing the reported disbursements from State Operating Funds, and reducing reported disbursements from Federal Operating Funds by an equal amount. Accordingly, there is no impact on the State's reported All Governmental Funds spending totals.

For purposes of comparability, the impact of the reclassification on historical results is summarized in the following table.

STATE OPERATING FUNDS AS RESTATED (millions of dollars)			
	Before Restatement	Reporting Adjustment ¹	Restated
FY 2006	66,240	3,065	69,305
FY 2007	73,476	3,031	76,507
FY 2008	76,989	3,029	80,018
FY 2009	78,166	3,459	81,625
FY 2010	76,873	3,786	80,659
FY 2011	80,491	3,926	84,417
¹ DOB has reclassified certain special revenue accounts from Federal Operating Funds to State Operating Funds to be consistent with the methodology used by the Office of the State Comptroller.			

NOTE 6 — ITEMS AFFECTING FY 2011 TO FY 2012 COMPARABILITY

School Aid Payment - The end-of-year school aid payment (\$2.06 billion) scheduled for the last quarter of FY 2010 was paid in the first quarter of FY 2011, as authorized in statute. This was done to shift the FY 2010 budget shortfall into FY 2011.

American Recovery and Reinvestment Act of 2009 - On February 17, 2009, President Obama signed into law ARRA of 2009. This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures, and funds provided through the State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Pension Amortization - The Financial Plan projections assume that the State will amortize pension costs above a percentage of payroll, as authorized by legislation enacted in FY 2011.

The percentage of payroll above which costs may be amortized for ERS increases annually as follows: 9.5 percent in FY 2011; 10.5 percent in FY 2012; 11.5 percent in FY 2013; 12.5 percent in FY 2014; 13.5 percent in FY 2015, and 14.5 percent in FY 2016; and the amortization levels for PFRS are as follows: 17.5 percent in FY 2011; 18.5 percent in FY 2012; 19.5 percent in FY 2013; 20.5 percent in FY 2014; and 21.5 percent in FY 2016. The amortization reduces the State's annual pension contribution in the short term, but results in long-term costs. The amounts amortized totaled \$249 million in FY 2011, and \$575 million in FY 2012. The amounts expected to be amortized are \$782 million in FY 2013, \$771 million in FY 2014, \$916 million in FY 2015 and \$554 million in FY 2016.

Retroactive Labor Settlements - Several unions have not agreed to labor settlements for contract periods prior to FY 2012. In recent years, the State has included spending in the Financial Plan for potential settlements, which have not materialized. The Current Financial Plan costs sets aside a portion of the General Fund balance for this purpose. The amount of the reserve is calculated on the assumption that the unions that have not agreed to contracts will receive the same general salary increases as the unions with ratified contracts.

Mergers - Consistent with the FY 2012 Enacted Budget, State agency mergers have been reflected in the Financial Plan tables that follow. As such, spending for FY 2011 has been reflected in the former agencies, while projections for FY 2012 and forward reflect the impact of the agency merger. These mergers include:

- Division of Parole merged with the Department of Correctional Services to become the new Department of Corrections and Community Supervision;
- Consumer Protection Board merged into Department of State; and
- Foundation for Science, Innovation & Technology merged into the Department of Economic Development

The Banking and Insurance Departments have been merged to create a new agency known as the Department of Financial Services. For reporting purposes, DOB assigned FY 2011 spending from these departments to the Department of Financial Services. Reductions in spending for the Department of Financial Services reflect the projected impact of cost control and efficiency actions contemplated by the merger of the Insurance and Banking departments.

NOTE 7 — OFF-BUDGET TRANSACTIONS

Represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities, rather than from a short-term STIP or cash from the General Fund.

NOTE 8 — GENERAL FUND/HCRA COMBINED GAP

The current HCRA authorization expires on March 31, 2014. HCRA is projected to remain balanced through FY 2016. However, any unaddressed shortfall would need to be financed by the General Fund.

NOTE 9 — METROPOLITAN TRANSPORTATION AUTHORITY FINANCIAL ASSISTANCE FUND

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the metropolitan commuter transportation district. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing both receipts and disbursements by a \$1.7 billion in FY 2011, growing to an estimated \$2.0 billion in FY 2015.

NOTE 10 — CHANGES TO THE MEDICAID PROGRAM

Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level that would have otherwise been paid for by Local Social Services Districts. The FY 2013 Enacted Budget amends these statutory indexing provisions by implementing a phased-in plan to takeover over the Local Social Services Districts share of all growth above the previous year's enacted levels beginning in FY 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

NOTE 11 — STATEWIDE CASH FLOW ADMINISTRATION

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted with the Executive Budget. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

NOTE 12 — OUTSTANDING TEMPORARY LOANS SUMMARY

The total outstanding loan balance as of March 31, 2012 was \$1.8 billion, comprised of advances for bond-reimbursable capital spending pending the receipt of bond proceeds (\$931 million), activities that are financed initially by the State pending Federal receipt (\$342 million), State Special Revenue Funds (\$455 million), and Proprietary Funds (\$37 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

NOTE 13 — STATE FUNDING OF SUNY OPERATING SUPPORT

As part of the FY 2013 Budget, the State changed the process through which SUNY receives the State share of its operating support, from direct General Fund spending, to General Fund transfers. SUNY's special revenue tuition offset account, which has traditionally been used to offset the State's direct General Fund spending for SUNY operations, will reflect all spending for the university operations, starting with the new academic year that begins in July 2012. All General Fund support for SUNY operations will be transferred by the General Fund to this special revenue account, supplementing tuition revenue also being received by this account, and from which all university operations will be funded. The change to General Fund financial plan categories is illustrated in the multi-year table below.

SUNY STATE OPERATIONS - GENERAL FUND STATE SUPPORT RE-CATEGORIZATION (millions of dollars)						
	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Gross Personal Service	1,960	1,929	560	0	0	0
Offset Personal Service (From 345.12)	(1,200)	(1,226)	(13)	0	0	0
Net Personal Service	760	703	547	0	0	0
Gross Non-Personal Service	388	366	139	0	0	0
Offset Non-Personal Service (From 345.12)	(119)	(126)	(3)	0	0	0
Net Non-Personal Service	269	240	136	0	0	0
General Fund Transfers to SUNY						
Tuition Revenue Account (345.12)	0	0	340	982	1,001	1,021
Total General Fund Operating Support Including Transfers	1,029	943	1,023	982	1,001	1,021

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**CASH FINANCIAL PLAN
GENERAL FUND
FY 2013 through FY 2016
(millions of dollars)**

	FY 2013 Projected	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Receipts:				
Taxes:				
Personal Income Tax	26,916	28,920	29,613	30,614
User Taxes and Fees	9,196	9,570	9,986	10,351
Business Taxes	6,035	6,208	5,686	6,237
Other Taxes	1,146	1,139	1,224	1,224
Miscellaneous Receipts	3,354	2,879	2,297	2,389
Federal Receipts	60	2	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,250	8,798	8,948	9,127
Sales Tax in Excess of LGAC Debt Service	2,430	2,560	2,689	2,816
Real Estate Taxes in Excess of CW/CA Debt Service	469	525	601	676
All Other Transfers	1,039	866	774	764
Total Receipts	58,895	61,467	61,818	64,198
Disbursements:				
Local Assistance Grants	39,668	41,871	43,225	45,489
Departmental Operations:				
Personal Service	6,170	5,487	5,632	5,915
Non-personal Service	1,835	1,630	1,771	1,821
General State Charges	4,499	4,889	5,235	5,527
Transfers to Other Funds:				
Debt Service	1,564	1,617	1,514	1,488
Capital Projects	1,055	1,287	1,403	1,299
State Share Medicaid	2,975	2,767	2,621	2,521
SUNY Operations	340	983	1,002	1,022
Other Purposes	1,102	2,001	2,995	3,472
Total Disbursements	59,208	62,532	65,398	68,554
Reserves:				
Community Projects Fund	(45)	(57)	0	0
Rainy Day Reserve Fund	0	0	0	0
Undesignated Fund Balance	(62)	0	0	0
Prior-Year Labor Agreements (2007-2011)	(206)	(26)	10	14
Increase (Decrease) in Reserves	(313)	(83)	10	14
Excess (Deficiency) of Receipts Over Disbursements and Reserves	0	(982)	(3,590)	(4,370)

**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE
(millions of dollars)**

	FY 2012 Results	FY 2013 Projected	Annual \$ Change	Annual % Change
Opening Fund Balance	<u>1,376</u>	<u>1,787</u>	<u>411</u>	<u>29.9%</u>
Receipts:				
Taxes:				
Personal Income Tax	25,843	26,916	1,073	4.2%
User Taxes and Fees	9,055	9,196	141	1.6%
Business Taxes	5,760	6,035	275	4.8%
Other Taxes	1,096	1,146	50	4.6%
Miscellaneous Receipts	3,162	3,354	192	6.1%
Federal Receipts	60	60	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,097	8,250	153	1.9%
Sales Tax in Excess of LGAC Debt Service	2,396	2,430	34	1.4%
Real Estate Taxes in Excess of CW/CA Debt Service	387	469	82	21.2%
All Other Transfers	1,044	1,039	(5)	-0.5%
Total Receipts	<u>56,900</u>	<u>58,895</u>	<u>1,995</u>	<u>3.5%</u>
Disbursements:				
Local Assistance Grants	38,419	39,668	1,249	3.3%
Departmental Operations:				
Personal Service	5,781	6,170	389	6.7%
Non-Personal Service	1,713	1,835	122	7.1%
General State Charges	4,720	4,499	(221)	-4.7%
Transfers to Other Funds:				
Debt Service	1,516	1,564	48	3.2%
Capital Projects	798	1,055	257	32.2%
State Share Medicaid	2,722	2,975	253	9.3%
SUNY Operations	0	340	340	--
Other Purposes	820	1,102	282	34.4%
Total Disbursements	<u>56,489</u>	<u>59,208</u>	<u>2,719</u>	<u>4.8%</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>411</u>	<u>(313)</u>	<u>(724)</u>	<u>-176.2%</u>
Closing Fund Balance	<u>1,787</u>	<u>1,474</u>	<u>(313)</u>	<u>-17.5%</u>
Statutory Reserves				
Tax Stabilization Reserve Fund	1,131	1,131	0	
Rainy Day Reserve Fund	175	175	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	102	57	(45)	
Reserved For				
Prior-Year Labor Agreements (2007-2011)	283	77	(206)	
Undesignated Fund Balance	75	13	(62)	

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2013
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
Receipts:			
Taxes:			
Personal Income Tax	26,916	0	26,916
User Taxes and Fees	9,271	(75)	9,196
Business Taxes	6,038	(3)	6,035
Other Taxes	1,144	2	1,146
Miscellaneous Receipts	3,229	125	3,354
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,272	(22)	8,250
Sales Tax in Excess of LGAC Debt Service	2,456	(26)	2,430
Real Estate Taxes in Excess of CW/CA Debt Service	444	25	469
All Other	1,070	(31)	1,039
Total Receipts	<u>58,900</u>	<u>(5)</u>	<u>58,895</u>
Disbursements:			
Local Assistance Grants	39,645	23	39,668
Departmental Operations:			
Personal Service	5,892	278	6,170
Non-Personal Service	1,844	(9)	1,835
General State Charges	4,403	96	4,499
Transfers to Other Funds:			
Debt Service	1,580	(16)	1,564
Capital Projects	1,055	0	1,055
State Share Medicaid	2,978	(3)	2,975
SUNY Operations	340	0	340
Other Purposes	1,131	(29)	1,102
Total Disbursements	<u>58,868</u>	<u>340</u>	<u>59,208</u>
Reserves:			
Community Projects Fund	(45)	0	(45)
Rainy Day Reserve Fund	0	0	0
Undesignated Fund Balance	(62)	0	(62)
Prior-Year Labor Agreements (2007-2011)	139	(345)	(206)
Increase (Decrease) in Reserves	<u>32</u>	<u>(345)</u>	<u>(313)</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2014
(millions of dollars)**

	Enacted	Change	First Quarter
Receipts:			
Taxes:			
Personal Income Tax	28,920	0	28,920
User Taxes and Fees	9,626	(56)	9,570
Business Taxes	6,208	0	6,208
Other Taxes	1,137	2	1,139
Miscellaneous Receipts	2,829	50	2,879
Federal Receipts	2	0	2
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,842	(44)	8,798
Sales Tax in Excess of LGAC Debt Service	2,579	(19)	2,560
Real Estate Taxes in Excess of CW/CA Debt Service	500	25	525
All Other	862	4	866
Total Receipts	61,505	(38)	61,467
Disbursements:			
Local Assistance Grants	41,872	(1)	41,871
Departmental Operations:			
Personal Service	5,370	117	5,487
Non-Personal Service	1,637	(7)	1,630
General State Charges	4,834	55	4,889
Transfers to Other Funds:			
Debt Service	1,653	(36)	1,617
Capital Projects	1,293	(6)	1,287
State Share Medicaid	2,772	(5)	2,767
SUNY Operations	982	1	983
Other Purposes	1,980	21	2,001
Total Disbursements	62,393	139	62,532
Reserves:			
Community Projects Fund	(56)	(1)	(57)
Prior-Year Labor Agreements (2007-2011)	118	(144)	(26)
Increase (Decrease) in Reserves	62	(145)	(83)
Excess (Deficiency) of Receipts Over Disbursements and Reserves	(950)	(32)	(982)

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2015
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
Receipts:			
Taxes:			
Personal Income Tax	29,612	1	29,613
User Taxes and Fees	10,042	(56)	9,986
Business Taxes	5,713	(27)	5,686
Other Taxes	1,222	2	1,224
Miscellaneous Receipts	2,297	0	2,297
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,981	(33)	8,948
Sales Tax in Excess of LGAC Debt Service	2,706	(17)	2,689
Real Estate Taxes in Excess of CW/CA Debt Service	576	25	601
All Other	770	4	774
Total Receipts	<u>61,919</u>	<u>(101)</u>	<u>61,818</u>
Disbursements:			
Local Assistance Grants	43,227	(2)	43,225
Departmental Operations:			
Personal Service	5,496	136	5,632
Non-Personal Service	1,722	49	1,771
General State Charges	5,179	56	5,235
Transfers to Other Funds:			
Debt Service	1,585	(71)	1,514
Capital Projects	1,408	(5)	1,403
State Share Medicaid	2,626	(5)	2,621
SUNY Operations	1,001	1	1,002
Other Purposes	2,972	23	2,995
Total Disbursements	<u>65,216</u>	<u>182</u>	<u>65,398</u>
Reserves:			
Prior-Year Labor Agreements (2007-2011)	118	(108)	10
Increase (Decrease) in Reserves	<u>118</u>	<u>(108)</u>	<u>10</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>(3,415)</u>	<u>(175)</u>	<u>(3,590)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2016
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
Receipts:			
Taxes:			
Personal Income Tax	30,614	0	30,614
User Taxes and Fees	10,406	(55)	10,351
Business Taxes	6,291	(54)	6,237
Other Taxes	1,222	2	1,224
Miscellaneous Receipts	2,389	0	2,389
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	9,195	(68)	9,127
Sales Tax in Excess of LGAC Debt Service	2,832	(16)	2,816
Real Estate Taxes in Excess of CW/CA Debt Service	651	25	676
All Other	760	4	764
Total Receipts	<u>64,360</u>	<u>(162)</u>	<u>64,198</u>
Disbursements:			
Local Assistance Grants	45,490	(1)	45,489
Departmental Operations:			
Personal Service	5,753	162	5,915
Non-Personal Service	1,806	15	1,821
General State Charges	5,470	57	5,527
Transfers to Other Funds:			
Debt Service	1,559	(71)	1,488
Capital Projects	1,301	(2)	1,299
State Share Medicaid	2,526	(5)	2,521
SUNY Operations	1,021	1	1,022
Other Purposes	3,446	26	3,472
Total Disbursements	<u>68,372</u>	<u>182</u>	<u>68,554</u>
Reserves:			
Prior-Year Labor Agreements (2007-2011)	118	(104)	14
Increase (Decrease) in Reserves	<u>118</u>	<u>(104)</u>	<u>14</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>(4,130)</u>	<u>(240)</u>	<u>(4,370)</u>

**CASH RECEIPTS
CURRENT STATE RECEIPTS
GENERAL FUND
FY 2013 THROUGH FY 2016
(millions of dollars)**

	FY 2013 Projected	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Taxes:				
Withholdings	32,173	34,342	35,557	37,647
Estimated Payments	11,752	12,563	13,477	13,360
Final Payments	2,153	2,266	2,151	2,251
Other Payments	1,174	1,183	1,236	1,286
Gross Collections	47,252	50,354	52,421	54,544
State/City Offset	(198)	(198)	(148)	(148)
Refunds	(6,798)	(6,984)	(7,933)	(8,585)
Reported Tax Collections	40,256	43,172	44,340	45,811
STAR (Dedicated Deposits)	(3,276)	(3,459)	(3,642)	(3,744)
RBTF (Dedicated Transfers)	(10,064)	(10,793)	(11,085)	(11,453)
Personal Income Tax	26,916	28,920	29,613	30,614
Sales and Use Tax	11,310	11,816	12,374	12,871
Cigarette and Tobacco Taxes	469	462	455	447
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	244	245	250	250
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Utility Taxes and Fees	12,023	12,523	13,079	13,568
LGAC Sales Tax (Dedicated Transfers)	(2,827)	(2,953)	(3,093)	(3,217)
User Taxes and Fees	9,196	9,570	9,986	10,351
Corporation Franchise Tax	2,737	2,931	2,255	2,628
Corporation and Utilities Tax	684	662	712	732
Insurance Taxes	1,322	1,373	1,416	1,498
Bank Tax	1,292	1,242	1,303	1,379
Petroleum Business Tax	0	0	0	0
Business Taxes	6,035	6,208	5,686	6,237
Estate Tax	1,127	1,120	1,205	1,205
Real Estate Transfer Tax	685	735	805	880
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	18	18	18	18
Other Taxes	1	1	1	1
Gross Other Taxes	1,831	1,874	2,029	2,104
Real Estate Transfer Tax (Dedicated)	(685)	(735)	(805)	(880)
Other Taxes	1,146	1,139	1,224	1,224
Payroll Tax	0	0	0	0
Total Taxes	43,293	45,837	46,509	48,426
Licenses, Fees, Etc.	678	689	621	612
Abandoned Property	785	670	655	655
Motor Vehicle Fees	99	26	26	26
ABC License Fee	51	50	50	50
Reimbursements	312	312	262	262
Investment Income	10	30	30	30
Other Transactions	1,419	1,102	653	754
Miscellaneous Receipts	3,354	2,879	2,297	2,389
Federal Grants	60	2	0	0
Total	46,707	48,718	48,806	50,815

CURRENT STATE RECEIPTS
GENERAL FUND
FY 2012 and FY 2013
(millions of dollars)

	FY 2012 Results	FY 2013 First Quarter	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	31,199	32,173	974	3.1%
Estimated Payments	11,628	11,752	124	1.1%
Final Payments	2,116	2,153	37	1.7%
Other Payments	1,087	1,174	87	8.0%
Gross Collections	46,030	47,252	1,222	2.7%
State/City Offset	(366)	(198)	168	-45.9%
Refunds	(6,897)	(6,798)	99	-1.4%
Reported Tax Collections	38,767	40,256	1,489	3.8%
STAR (Dedicated Deposits)	(3,233)	(3,276)	(43)	1.3%
RBTF (Dedicated Transfers)	(9,691)	(10,064)	(373)	3.8%
Personal Income Tax	25,843	26,916	1,073	4.2%
Sales and Use Tax	11,126	11,310	184	1.7%
Cigarette and Tobacco Taxes	471	469	(2)	-0.4%
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	238	244	6	2.5%
Highway Use Tax	0	0	0	--
Auto Rental Tax	0	0	0	--
Taxicab Surcharge	0	0	0	--
Gross Utility Taxes and Fees	11,835	12,023	188	1.6%
LGAC Sales Tax (Dedicated Transfers)	(2,780)	(2,827)	(47)	1.7%
User Taxes and Fees	9,055	9,196	141	1.6%
Corporation Franchise Tax	2,724	2,737	13	0.5%
Corporation and Utilities Tax	617	684	67	10.9%
Insurance Taxes	1,257	1,322	65	5.2%
Bank Tax	1,161	1,292	131	11.3%
Petroleum Business Tax	1	0	(1)	-100.0%
Business Taxes	5,760	6,035	275	4.8%
Estate Tax	1,078	1,127	49	4.5%
Real Estate Transfer Tax	610	685	75	12.3%
Gift Tax	0	0	0	--
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	17	18	1	5.9%
Other Taxes	1	1	0	0.0%
Gross Other Taxes	1,706	1,831	125	7.3%
Real Estate Transfer Tax (Dedicated)	(610)	(685)	(75)	12.3%
Other Taxes	1,096	1,146	50	4.6%
Payroll Tax	0	0	0	--
Total Taxes	41,754	43,293	1,539	3.7%
Licenses, Fees, Etc.	654	678	24	3.7%
Abandoned Property	762	785	23	3.0%
Motor Vehicle Fees	111	99	(12)	-10.8%
ABC License Fee	59	51	(8)	-13.6%
Reimbursements	234	312	78	33.3%
Investment Income	5	10	5	100.0%
Other Transactions	1,337	1,419	82	6.1%
Miscellaneous Receipts	3,162	3,354	192	6.1%
Federal Grants	60	60	0	0.0%
Total	44,976	46,707	1,731	3.8%

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2013
(millions of dollars)**

	General Fund	Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	<u>1,787</u>	<u>1,632</u>	<u>428</u>	<u>3,847</u>
Receipts:				
Taxes	43,293	8,156	13,457	64,906
Miscellaneous Receipts	3,354	15,733	996	20,083
Federal Receipts	60	1	79	140
Total Receipts	<u>46,707</u>	<u>23,890</u>	<u>14,532</u>	<u>85,129</u>
Disbursements:				
Local Assistance Grants	39,668	19,139	0	58,807
Departmental Operations:				
Personal Service	6,170	6,300	0	12,470
Non-Personal Service	1,835	3,613	47	5,495
General State Charges	4,499	2,032	0	6,531
Debt Service	0	0	6,100	6,100
Capital Projects	0	5	0	5
Total Disbursements	<u>52,172</u>	<u>31,089</u>	<u>6,147</u>	<u>89,408</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	12,188	7,704	6,305	26,197
Transfers to Other Funds	(7,036)	(448)	(14,586)	(22,070)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>5,152</u>	<u>7,256</u>	<u>(8,281)</u>	<u>4,127</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(313)</u>	<u>57</u>	<u>104</u>	<u>(152)</u>
Closing Fund Balance	<u>1,474</u>	<u>1,689</u>	<u>532</u>	<u>3,695</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2014
(millions of dollars)

	General Fund	Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	45,837	8,445	14,362	68,644
Miscellaneous Receipts	2,879	16,352	1,043	20,274
Federal Receipts	2	1	79	82
Total Receipts	<u>48,718</u>	<u>24,798</u>	<u>15,484</u>	<u>89,000</u>
Disbursements:				
Local Assistance Grants	41,871	20,013	0	61,884
Departmental Operations:				
Personal Service	5,487	7,007	0	12,494
Non-Personal Service	1,630	3,889	47	5,566
General State Charges	4,889	2,173	0	7,062
Debt Service	0	0	6,415	6,415
Capital Projects	0	5	0	5
Total Disbursements	<u>53,877</u>	<u>33,087</u>	<u>6,462</u>	<u>93,426</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	12,749	8,747	6,160	27,656
Transfers to Other Funds	(8,655)	(250)	(15,069)	(23,974)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>4,094</u>	<u>8,497</u>	<u>(8,909)</u>	<u>3,682</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(1,065)</u>	<u>208</u>	<u>113</u>	<u>(744)</u>
Designated General Fund Reserves:				
Community Projects Fund	(57)			
Prior-Year Labor Agreements (2007-2011)	(26)			
Increase (Decrease) in Reserves	<u>(83)</u>			
Net General Fund Deficit	<u>(982)</u>			

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2015
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	46,509	8,800	14,864	70,173
Miscellaneous Receipts	2,297	16,653	1,094	20,044
Federal Receipts	0	1	79	80
Total Receipts	<u>48,806</u>	<u>25,454</u>	<u>16,037</u>	<u>90,297</u>
Disbursements:				
Local Assistance Grants	43,225	20,678	0	63,903
Departmental Operations:				
Personal Service	5,632	7,200	0	12,832
Non-Personal Service	1,771	3,907	47	5,725
General State Charges	5,235	2,328	0	7,563
Debt Service	0	0	6,484	6,484
Capital Projects	0	5	0	5
Total Disbursements	<u>55,863</u>	<u>34,118</u>	<u>6,531</u>	<u>96,512</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	13,012	9,122	5,623	27,757
Transfers to Other Funds	(9,535)	(49)	(14,993)	(24,577)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>3,477</u>	<u>9,073</u>	<u>(9,370)</u>	<u>3,180</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(3,580)</u>	<u>409</u>	<u>136</u>	<u>(3,035)</u>
Designated General Fund Reserves:				
Prior-Year Labor Agreements (2007-2011)	10			
Increase (Decrease) in Reserves	<u>10</u>			
Net General Fund Deficit	<u>(3,590)</u>			

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2016
(millions of dollars)

	General Fund	Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	48,426	9,061	15,431	72,918
Miscellaneous Receipts	2,389	16,726	1,092	20,207
Federal Receipts	0	1	79	80
Total Receipts	<u>50,815</u>	<u>25,788</u>	<u>16,602</u>	<u>93,205</u>
Disbursements:				
Local Assistance Grants	45,489	20,668	0	66,157
Departmental Operations:				
Personal Service	5,915	7,449	0	13,364
Non-Personal Service	1,821	4,009	47	5,877
General State Charges	5,527	2,513	0	8,040
Debt Service	0	0	6,645	6,645
Capital Projects	0	5	0	5
Total Disbursements	<u>58,752</u>	<u>34,644</u>	<u>6,692</u>	<u>100,088</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	13,383	9,522	5,369	28,274
Transfers to Other Funds	(9,802)	(220)	(15,273)	(25,295)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>3,581</u>	<u>9,302</u>	<u>(9,904)</u>	<u>2,979</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(4,356)</u>	<u>446</u>	<u>6</u>	<u>(3,904)</u>
Designated General Fund Reserves:				
Prior-Year Labor Agreements (2007-2011)	14			
Increase (Decrease) in Reserves	<u>14</u>			
Net General Fund Deficit	<u>(4,370)</u>			

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2012 and FY 2013
(millions of dollars)

	FY 2012 Results	FY 2013 First Quarter	Annual \$ Change	Annual % Change
Opening Fund Balance	<u>3,969</u>	<u>3,847</u>	<u>(122)</u>	
Receipts:				
Taxes	62,960	64,906	1,946	3.1%
Miscellaneous Receipts	19,516	20,083	567	2.9%
Federal Receipts	140	140	0	0.0%
Total Receipts	<u>82,616</u>	<u>85,129</u>	<u>2,513</u>	<u>3.0%</u>
Disbursements:				
Local Assistance Grants	57,267	58,807	1,540	2.7%
Departmental Operations:				
Personal Service	12,047	12,470	423	3.5%
Non-Personal Service	5,404	5,495	91	1.7%
General State Charges	6,593	6,531	(62)	-0.9%
Debt Service	5,864	6,100	236	4.0%
Capital Projects	6	5	(1)	-16.7%
Total Disbursements	<u>87,181</u>	<u>89,408</u>	<u>2,227</u>	<u>2.6%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	25,510	26,197	687	2.7%
Transfers to Other Funds	(21,067)	(22,070)	(1,003)	4.8%
Bond and Note Proceeds	0	0	0	--
Net Other Financing Sources (uses)	<u>4,443</u>	<u>4,127</u>	<u>(316)</u>	<u>-7.1%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(122)</u>	<u>(152)</u>	<u>(30)</u>	
Closing Fund Balance	<u>3,847</u>	<u>3,695</u>	<u>(152)</u>	

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2013
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	<u>1,787</u>	<u>1,594</u>	<u>(449)</u>	<u>428</u>	<u>3,360</u>
Receipts:					
Taxes	43,293	8,156	1,401	13,457	66,307
Miscellaneous Receipts	3,354	15,919	4,234	996	24,503
Federal Receipts	60	40,303	2,191	79	42,633
Total Receipts	<u>46,707</u>	<u>64,378</u>	<u>7,826</u>	<u>14,532</u>	<u>133,443</u>
Disbursements:					
Local Assistance Grants	39,668	53,792	2,104	0	95,564
Departmental Operations:					
Personal Service	6,170	6,945	0	0	13,115
Non-Personal Service	1,835	4,542	0	47	6,424
General State Charges	4,499	2,309	0	0	6,808
Debt Service	0	0	0	6,100	6,100
Capital Projects	0	5	5,963	0	5,968
Total Disbursements	<u>52,172</u>	<u>67,593</u>	<u>8,067</u>	<u>6,147</u>	<u>133,979</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,188	7,705	1,328	6,305	27,526
Transfers to Other Funds	(7,036)	(4,432)	(1,479)	(14,586)	(27,533)
Bond and Note Proceeds	0	0	400	0	400
Net Other Financing Sources (Uses)	<u>5,152</u>	<u>3,273</u>	<u>249</u>	<u>(8,281)</u>	<u>393</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(313)</u>	<u>58</u>	<u>8</u>	<u>104</u>	<u>(143)</u>
Closing Fund Balance	<u>1,474</u>	<u>1,652</u>	<u>(441)</u>	<u>532</u>	<u>3,217</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2014
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	45,837	8,445	1,421	14,362	70,065
Miscellaneous Receipts	2,879	16,538	4,022	1,043	24,482
Federal Receipts	2	41,893	2,212	79	44,186
Total Receipts	<u>48,718</u>	<u>66,876</u>	<u>7,655</u>	<u>15,484</u>	<u>138,733</u>
Disbursements:					
Local Assistance Grants	41,871	56,413	2,107	0	100,391
Departmental Operations:					
Personal Service	5,487	7,622	0	0	13,109
Non-Personal Service	1,630	4,911	0	47	6,588
General State Charges	4,889	2,455	0	0	7,344
Debt Service	0	0	0	6,415	6,415
Capital Projects	0	5	5,756	0	5,761
Total Disbursements	<u>53,877</u>	<u>71,406</u>	<u>7,863</u>	<u>6,462</u>	<u>139,608</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,749	8,748	1,539	6,160	29,196
Transfers to Other Funds	(8,655)	(4,009)	(1,540)	(15,069)	(29,273)
Bond and Note Proceeds	0	0	338	0	338
Net Other Financing Sources (Uses)	<u>4,094</u>	<u>4,739</u>	<u>337</u>	<u>(8,909)</u>	<u>261</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(1,065)</u>	<u>209</u>	<u>129</u>	<u>113</u>	<u>(614)</u>
Designated General Fund Reserves:					
Community Projects Fund	(57)				
Prior-Year Labor Agreements (2007-2011)	(26)				
Increase (Decrease) in Reserves	<u>(83)</u>				
Net General Fund Deficit	<u>(982)</u>				

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	46,509	8,800	1,431	14,864	71,604
Miscellaneous Receipts	2,297	16,839	3,800	1,094	24,030
Federal Receipts	0	45,205	1,971	79	47,255
Total Receipts	<u>48,806</u>	<u>70,844</u>	<u>7,202</u>	<u>16,037</u>	<u>142,889</u>
Disbursements:					
Local Assistance Grants	43,225	60,984	1,883	0	106,092
Departmental Operations:					
Personal Service	5,632	7,827	0	0	13,459
Non-Personal Service	1,771	4,888	0	47	6,706
General State Charges	5,235	2,623	0	0	7,858
Debt Service	0	0	0	6,484	6,484
Capital Projects	0	5	5,573	0	5,578
Total Disbursements	<u>55,863</u>	<u>76,327</u>	<u>7,456</u>	<u>6,531</u>	<u>146,177</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	13,012	9,123	1,467	5,623	29,225
Transfers to Other Funds	(9,535)	(3,229)	(1,522)	(14,993)	(29,279)
Bond and Note Proceeds	0	0	306	0	306
Net Other Financing Sources (Uses)	<u>3,477</u>	<u>5,894</u>	<u>251</u>	<u>(9,370)</u>	<u>252</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(3,580)</u>	<u>411</u>	<u>(3)</u>	<u>136</u>	<u>(3,036)</u>
Designated General Fund Reserves:					
Prior-Year Labor Agreements (2007-2011)	10				
Increase (Decrease) in Reserves	<u>10</u>				
Net General Fund Deficit	<u>(3,590)</u>				

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2016
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	48,426	9,061	1,448	15,431	74,366
Miscellaneous Receipts	2,389	16,912	3,829	1,092	24,222
Federal Receipts	0	47,390	1,656	79	49,125
Total Receipts	50,815	73,363	6,933	16,602	147,713
Disbursements:					
Local Assistance Grants	45,489	63,544	1,552	0	110,585
Departmental Operations:					
Personal Service	5,915	8,088	0	0	14,003
Non-Personal Service	1,821	4,885	0	47	6,753
General State Charges	5,527	2,821	0	0	8,348
Debt Service	0	0	0	6,645	6,645
Capital Projects	0	5	5,371	0	5,376
Total Disbursements	58,752	79,343	6,923	6,692	151,710
Other Financing Sources (Uses):					
Transfers from Other Funds	13,383	9,523	1,363	5,369	29,638
Transfers to Other Funds	(9,802)	(3,096)	(1,527)	(15,273)	(29,698)
Bond and Note Proceeds	0	0	121	0	121
Net Other Financing Sources (Uses)	3,581	6,427	(43)	(9,904)	61
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(4,356)	447	(33)	6	(3,936)
Designated General Fund Reserves:					
Prior-Year Labor Agreements (2007-2011)	14				
Increase (Decrease) in Reserves	14				
Net General Fund Deficit	(4,370)				

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2012 and FY 2013
(millions of dollars)**

	FY 2012 Results	FY 2013 First Quarter	Annual \$ Change	Annual % Change
Opening Fund Balance	<u>3,812</u>	<u>3,360</u>	<u>(452)</u>	
Receipts:				
Taxes	64,297	66,307	2,010	3.1%
Miscellaneous Receipts	23,837	24,503	666	2.8%
Federal Receipts	44,611	42,633	(1,978)	-4.4%
Total Receipts	<u>132,745</u>	<u>133,443</u>	<u>698</u>	<u>0.5%</u>
Disbursements:				
Local Assistance Grants	96,481	95,564	(917)	-1.0%
Departmental Operations:				
Personal Service	12,680	13,115	435	3.4%
Non-Personal Service	6,348	6,424	76	1.2%
General State Charges	6,855	6,808	(47)	-0.7%
Debt Service	5,864	6,100	236	4.0%
Capital Projects	5,276	5,968	692	13.1%
Total Disbursements	<u>133,504</u>	<u>133,979</u>	<u>475</u>	<u>0.4%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	26,541	27,526	985	3.7%
Transfers to Other Funds	(26,586)	(27,533)	(947)	3.6%
Bond and Note Proceeds	352	400	48	13.6%
Net Other Financing Sources (Uses)	<u>307</u>	<u>393</u>	<u>86</u>	<u>28.0%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(452)</u>	<u>(143)</u>	<u>309</u>	
Closing Fund Balance	<u>3,360</u>	<u>3,217</u>	<u>(143)</u>	

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2013
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	32,173	0	0	0	32,173
Estimated Payments	11,752	0	0	0	11,752
Final Payments	2,153	0	0	0	2,153
Other Payments	1,174	0	0	0	1,174
Gross Collections	47,252	0	0	0	47,252
State/City Offset	(198)	0	0	0	(198)
Refunds	(6,798)	0	0	0	(6,798)
Reported Tax Collections	40,256	0	0	0	40,256
STAR (Dedicated Deposits)	(3,276)	3,276	0	0	0
RBTF (Dedicated Transfers)	(10,064)	0	0	10,064	0
Personal Income Tax	26,916	3,276	0	10,064	40,256
Sales and Use Tax	11,310	785	0	0	12,095
Cigarette and Tobacco Taxes	469	1,146	0	0	1,615
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Tax	244	0	0	0	244
Highway Use Tax	0	0	147	0	147
Auto Rental Tax	0	41	68	0	109
Taxicab Surcharge	0	89	0	0	89
Gross Utility Taxes and Fees	12,023	2,169	622	0	14,814
LGAC Sales Tax (Dedicated Transfers)	(2,827)	0	0	2,827	0
User Taxes and Fees	9,196	2,169	622	2,827	14,814
Corporation Franchise Tax	2,737	435	0	0	3,172
Corporation and Utilities Tax	684	180	15	0	879
Insurance Taxes	1,322	157	0	0	1,479
Bank Tax	1,292	242	0	0	1,534
Petroleum Business Tax	0	517	645	0	1,162
Business Taxes	6,035	1,531	660	0	8,226
Estate Tax	1,127	0	0	0	1,127
Real Estate Transfer Tax	685	0	0	0	685
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
Gross Other Taxes	1,831	0	0	0	1,831
Real Estate Transfer Tax (Dedicated)	(685)	0	119	566	0
Other Taxes	1,146	0	119	566	1,831
Payroll Tax	0	1,180	0	0	1,180
Total Taxes	43,293	8,156	1,401	13,457	66,307
Licenses, Fees, Etc.	678	0	0	0	678
Abandoned Property	785	0	0	0	785
Motor Vehicle Fees	99	482	799	0	1,380
ABC License Fee	51	0	0	0	51
Reimbursements	312	0	0	0	312
Investment Income	10	0	0	0	10
Other Transactions	1,419	15,437	3,435	996	21,287
Miscellaneous Receipts	3,354	15,919	4,234	996	24,503
Federal Grants	60	40,303	2,191	79	42,633
Total	46,707	64,378	7,826	14,532	133,443

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2014
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	34,342	0	0	0	34,342
Estimated Payments	12,563	0	0	0	12,563
Final Payments	2,266	0	0	0	2,266
Other Payments	1,183	0	0	0	1,183
Gross Collections	50,354	0	0	0	50,354
State/City Offset	(198)	0	0	0	(198)
Refunds	(6,984)	0	0	0	(6,984)
Reported Tax Collections	43,172	0	0	0	43,172
STAR (Dedicated Deposits)	(3,459)	3,459	0	0	0
RBTF (Dedicated Transfers)	(10,793)	0	0	10,793	0
Personal Income Tax	28,920	3,459	0	10,793	43,172
 Sales and Use Tax	 11,816	 819	 0	 0	 12,635
Cigarette and Tobacco Taxes	462	1,123	0	0	1,585
Motor Fuel Tax	0	109	407	0	516
Alcoholic Beverage Taxes	245	0	0	0	245
Highway Use Tax	0	0	142	0	142
Auto Rental Tax	0	43	72	0	115
Taxicab Surcharge	0	96	0	0	96
Gross Utility Taxes and Fees	12,523	2,190	621	0	15,334
LGAC Sales Tax (Dedicated Transfers)	(2,953)	0	0	2,953	0
User Taxes and Fees	9,570	2,190	621	2,953	15,334
 Corporation Franchise Tax	 2,931	 482	 0	 0	 3,413
Corporation and Utilities Tax	662	183	15	0	860
Insurance Taxes	1,373	166	0	0	1,539
Bank Tax	1,242	209	0	0	1,451
Petroleum Business Tax	0	534	666	0	1,200
Business Taxes	6,208	1,574	681	0	8,463
 Estate Tax	 1,120	 0	 0	 0	 1,120
Real Estate Transfer Tax	735	0	0	0	735
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
Gross Other Taxes	1,874	0	0	0	1,874
Real Estate Transfer Tax (Dedicated)	(735)	0	119	616	0
Other Taxes	1,139	0	119	616	1,874
 Payroll Tax	 0	 1,222	 0	 0	 1,222
 Total Taxes	 45,837	 8,445	 1,421	 14,362	 70,065
 Licenses, Fees, Etc.	 689	 0	 0	 0	 689
Abandoned Property	670	0	0	0	670
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	50	0	0	0	50
Reimbursements	312	0	0	0	312
Investment Income	30	0	0	0	30
Other Transactions	1,102	16,057	3,211	1,043	21,413
Miscellaneous Receipts	2,879	16,538	4,022	1,043	24,482
 Federal Grants	 2	 41,893	 2,212	 79	 44,186
 Total	 48,718	 66,876	 7,655	 15,484	 138,733

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	35,557	0	0	0	35,557
Estimated Payments	13,477	0	0	0	13,477
Final Payments	2,151	0	0	0	2,151
Other Payments	1,236	0	0	0	1,236
Gross Collections	52,421	0	0	0	52,421
State/City Offset	(148)	0	0	0	(148)
Refunds	(7,933)	0	0	0	(7,933)
Reported Tax Collections	44,340	0	0	0	44,340
STAR (Dedicated Deposits)	(3,642)	3,642	0	0	0
RBTF (Dedicated Transfers)	(11,085)	0	0	11,085	0
Personal Income Tax	29,613	3,642	0	11,085	44,340
Sales and Use Tax	12,374	846	0	0	13,220
Cigarette and Tobacco Taxes	455	1,101	0	0	1,556
Motor Fuel Tax	0	109	411	0	520
Alcoholic Beverage Taxes	250	0	0	0	250
Highway Use Tax	0	0	144	0	144
Auto Rental Tax	0	45	74	0	119
Taxicab Surcharge	0	100	0	0	100
Gross Utility Taxes and Fees	13,079	2,201	629	0	15,909
LGAC Sales Tax (Dedicated Transfers)	(3,093)	0	0	3,093	0
User Taxes and Fees	9,986	2,201	629	3,093	15,909
Corporation Franchise Tax	2,255	515	0	0	2,770
Corporation and Utilities Tax	712	185	15	0	912
Insurance Taxes	1,416	171	0	0	1,587
Bank Tax	1,303	219	0	0	1,522
Petroleum Business Tax	0	538	668	0	1,206
Business Taxes	5,686	1,628	683	0	7,997
Estate Tax	1,205	0	0	0	1,205
Real Estate Transfer Tax	805	0	0	0	805
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
Gross Other Taxes	2,029	0	0	0	2,029
Real Estate Transfer Tax (Dedicated)	(805)	0	119	686	0
Other Taxes	1,224	0	119	686	2,029
Payroll Tax	0	1,329	0	0	1,329
Total Taxes	46,509	8,800	1,431	14,864	71,604
Licenses, Fees, Etc.	621	0	0	0	621
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	50	0	0	0	50
Reimbursements	262	0	0	0	262
Investment Income	30	0	0	0	30
Other Transactions	653	16,358	2,989	1,094	21,094
Miscellaneous Receipts	2,297	16,839	3,800	1,094	24,030
Federal Grants	0	45,205	1,971	79	47,255
Total	48,806	70,844	7,202	16,037	142,889

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2016
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	37,647	0	0	0	37,647
Estimated Payments	13,360	0	0	0	13,360
Final Payments	2,251	0	0	0	2,251
Other Payments	1,286	0	0	0	1,286
Gross Collections	54,544	0	0	0	54,544
State/City Offset	(148)	0	0	0	(148)
Refunds	(8,585)	0	0	0	(8,585)
Reported Tax Collections	45,811	0	0	0	45,811
STAR (Dedicated Deposits)	(3,744)	3,744	0	0	0
RBTF (Dedicated Transfers)	(11,453)	0	0	11,453	0
Personal Income Tax	30,614	3,744	0	11,453	45,811
Sales and Use Tax	12,871	877	0	0	13,748
Cigarette and Tobacco Taxes	447	1,079	0	0	1,526
Motor Fuel Tax	0	110	413	0	523
Alcoholic Beverage Taxes	250	0	0	0	250
Highway Use Tax	0	0	152	0	152
Auto Rental Tax	0	47	77	0	124
Taxicab Surcharge	0	101	0	0	101
Gross Utility Taxes and Fees	13,568	2,214	642	0	16,424
LGAC Sales Tax (Dedicated Transfers)	(3,217)	0	0	3,217	0
User Taxes and Fees	10,351	2,214	642	3,217	16,424
Corporation Franchise Tax	2,628	543	0	0	3,171
Corporation and Utilities Tax	732	191	15	0	938
Insurance Taxes	1,498	178	0	0	1,676
Bank Tax	1,379	233	0	0	1,612
Petroleum Business Tax	0	540	672	0	1,212
Business Taxes	6,237	1,685	687	0	8,609
Estate Tax	1,205	0	0	0	1,205
Real Estate Transfer Tax	880	0	0	0	880
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	1	0	0	0	1
Gross Other Taxes	2,104	0	0	0	2,104
Real Estate Transfer Tax (Dedicated)	(880)	0	119	761	0
Other Taxes	1,224	0	119	761	2,104
Payroll Tax	0	1,418	0	0	1,418
Total Taxes	48,426	9,061	1,448	15,431	74,366
Licenses, Fees, Etc.	612	0	0	0	612
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	50	0	0	0	50
Reimbursements	262	0	0	0	262
Investment Income	30	0	0	0	30
Other Transactions	754	16,431	3,018	1,092	21,295
Miscellaneous Receipts	2,389	16,912	3,829	1,092	24,222
Federal Grants	0	47,390	1,656	79	49,125
Total	50,815	73,363	6,933	16,602	147,713

CURRENT STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2012 and FY 2013
(millions of dollars)

	FY 2012 Results	FY 2013 First Quarter	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	31,199	32,173	974	3.1%
Estimated Payments	11,628	11,752	124	1.1%
Final Payments	2,116	2,153	37	1.7%
Other Payments	1,087	1,174	87	8.0%
Gross Collections	46,030	47,252	1,222	2.7%
State/City Offset	(366)	(198)	168	-45.9%
Refunds	(6,897)	(6,798)	99	-1.4%
Reported Tax Collections	38,767	40,256	1,489	3.8%
STAR (Dedicated Deposits)	0	0	0	--
RBTF (Dedicated Transfers)	0	0	0	--
Personal Income Tax	38,767	40,256	1,489	3.8%
Sales and Use Tax	11,876	12,095	219	1.8%
Cigarette and Tobacco Taxes	1,633	1,615	(18)	-1.1%
Motor Fuel Tax	501	515	14	2.8%
Alcoholic Beverage Taxes	238	244	6	2.5%
Highway Use Tax	132	147	15	11.4%
Auto Rental Tax	104	109	5	4.8%
Taxicab Surcharge	87	89	2	2.3%
Gross Utility Taxes and Fees	14,571	14,814	243	1.7%
LGAC Sales Tax (Dedicated Transfers)	0	0	0	--
User Taxes and Fees	14,571	14,814	243	1.7%
Corporation Franchise Tax	3,176	3,172	(4)	-0.1%
Corporation and Utilities Tax	797	879	82	10.3%
Insurance Taxes	1,413	1,479	66	4.7%
Bank Tax	1,391	1,534	143	10.3%
Petroleum Business Tax	1,100	1,162	62	5.6%
Business Taxes	7,877	8,226	349	4.4%
Estate Tax	1,078	1,127	49	4.5%
Real Estate Transfer Tax	610	685	75	12.3%
Gift Tax	0	0	0	--
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	17	18	1	5.9%
Other Taxes	1	1	0	0.0%
Gross Other Taxes	1,706	1,831	125	7.3%
Real Estate Transfer Tax (Dedicated)	0	0	0	--
Other Taxes	1,706	1,831	125	7.3%
Payroll Tax	1,376	1,180	(196)	-14.2%
Total Taxes	64,297	66,307	2,010	3.1%
Licenses, Fees, Etc.	654	678	24	3.7%
Abandoned Property	762	785	23	3.0%
Motor Vehicle Fees	1,420	1,380	(40)	-2.8%
ABC License Fee	59	51	(8)	-13.6%
Reimbursements	234	312	78	33.3%
Investment Income	5	10	5	100.0%
Other Transactions	20,703	21,287	584	2.8%
Miscellaneous Receipts	23,837	24,503	666	2.8%
Federal Grants	44,611	42,633	(1,978)	-4.4%
Total	132,745	133,443	698	0.5%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2013
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>1,632</u>	<u>(38)</u>	<u>1,594</u>
Receipts:			
Taxes	8,156	0	8,156
Miscellaneous Receipts	15,733	186	15,919
Federal Receipts	1	40,302	40,303
Total Receipts	<u>23,890</u>	<u>40,488</u>	<u>64,378</u>
Disbursements:			
Local Assistance Grants	19,139	34,653	53,792
Departmental Operations:			
Personal Service	6,300	645	6,945
Non-Personal Service	3,613	929	4,542
General State Charges	2,032	277	2,309
Capital Projects	5	0	5
Total Disbursements	<u>31,089</u>	<u>36,504</u>	<u>67,593</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,704	1	7,705
Transfers to Other Funds	(448)	(3,984)	(4,432)
Net Other Financing Sources (Uses)	<u>7,256</u>	<u>(3,983)</u>	<u>3,273</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>57</u>	<u>1</u>	<u>58</u>
Closing Fund Balance	<u>1,689</u>	<u>(37)</u>	<u>1,652</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>1,689</u>	<u>(37)</u>	<u>1,652</u>
Receipts:			
Taxes	8,445	0	8,445
Miscellaneous Receipts	16,352	186	16,538
Federal Receipts	<u>1</u>	<u>41,892</u>	<u>41,893</u>
Total Receipts	<u>24,798</u>	<u>42,078</u>	<u>66,876</u>
Disbursements:			
Local Assistance Grants	20,013	36,400	56,413
Departmental Operations:			
Personal Service	7,007	615	7,622
Non-Personal Service	3,889	1,022	4,911
General State Charges	2,173	282	2,455
Capital Projects	<u>5</u>	<u>0</u>	<u>5</u>
Total Disbursements	<u>33,087</u>	<u>38,319</u>	<u>71,406</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	8,747	1	8,748
Transfers to Other Funds	<u>(250)</u>	<u>(3,759)</u>	<u>(4,009)</u>
Net Other Financing Sources (Uses)	<u>8,497</u>	<u>(3,758)</u>	<u>4,739</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>208</u>	<u>1</u>	<u>209</u>
Closing Fund Balance	<u>1,897</u>	<u>(36)</u>	<u>1,861</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2015
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>1,897</u>	<u>(36)</u>	<u>1,861</u>
Receipts:			
Taxes	8,800	0	8,800
Miscellaneous Receipts	16,653	186	16,839
Federal Receipts	<u>1</u>	<u>45,204</u>	<u>45,205</u>
Total Receipts	<u>25,454</u>	<u>45,390</u>	<u>70,844</u>
Disbursements:			
Local Assistance Grants	20,678	40,306	60,984
Departmental Operations:			
Personal Service	7,200	627	7,827
Non-Personal Service	3,907	981	4,888
General State Charges	2,328	295	2,623
Capital Projects	<u>5</u>	<u>0</u>	<u>5</u>
Total Disbursements	<u>34,118</u>	<u>42,209</u>	<u>76,327</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	9,122	1	9,123
Transfers to Other Funds	<u>(49)</u>	<u>(3,180)</u>	<u>(3,229)</u>
Net Other Financing Sources (Uses)	<u>9,073</u>	<u>(3,179)</u>	<u>5,894</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>409</u>	<u>2</u>	<u>411</u>
Closing Fund Balance	<u>2,306</u>	<u>(34)</u>	<u>2,272</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2016
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>2,306</u>	<u>(34)</u>	<u>2,272</u>
Receipts:			
Taxes	9,061	0	9,061
Miscellaneous Receipts	16,726	186	16,912
Federal Receipts	<u>1</u>	<u>47,389</u>	<u>47,390</u>
Total Receipts	<u>25,788</u>	<u>47,575</u>	<u>73,363</u>
Disbursements:			
Local Assistance Grants	20,668	42,876	63,544
Departmental Operations:			
Personal Service	7,449	639	8,088
Non-Personal Service	4,009	876	4,885
General State Charges	2,513	308	2,821
Capital Projects	<u>5</u>	<u>0</u>	<u>5</u>
Total Disbursements	<u>34,644</u>	<u>44,699</u>	<u>79,343</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	9,522	1	9,523
Transfers to Other Funds	<u>(220)</u>	<u>(2,876)</u>	<u>(3,096)</u>
Net Other Financing Sources (Uses)	<u>9,302</u>	<u>(2,875)</u>	<u>6,427</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>446</u>	<u>1</u>	<u>447</u>
Closing Fund Balance	<u>2,752</u>	<u>(33)</u>	<u>2,719</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2012 and FY 2013
(millions of dollars)**

	FY 2012 Results	FY 2013 First Quarter	Annual \$ Change	Annual % Change
Opening Fund Balance	<u>2,149</u>	<u>1,594</u>	<u>(555)</u>	<u>-25.8%</u>
Receipts:				
Taxes	8,244	8,156	(88)	-1.1%
Miscellaneous Receipts	15,565	15,919	354	2.3%
Federal Receipts	<u>42,356</u>	<u>40,303</u>	<u>(2,053)</u>	<u>-4.8%</u>
Total receipts	<u>66,165</u>	<u>64,378</u>	<u>(1,787)</u>	<u>-2.7%</u>
Disbursements:				
Local Assistance Grants	55,496	53,792	(1,704)	-3.1%
Departmental Operations:				
Personal Service	6,899	6,945	46	0.7%
Non-Personal Service	4,590	4,542	(48)	-1.0%
General State Charges	2,135	2,309	174	8.1%
Debt Service	0	0	0	--
Capital Projects	<u>6</u>	<u>5</u>	<u>(1)</u>	<u>-16.7%</u>
Total Disbursements	<u>69,126</u>	<u>67,593</u>	<u>(1,533)</u>	<u>-2.2%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	7,096	7,705	609	8.6%
Transfers to Other Funds	<u>(4,690)</u>	<u>(4,432)</u>	<u>258</u>	<u>-5.5%</u>
Net Other Financing Sources (Uses)	<u>2,406</u>	<u>3,273</u>	<u>867</u>	<u>36.0%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(555)</u>	<u>58</u>	<u>613</u>	<u>-110.5%</u>
Closing Fund Balance	<u>1,594</u>	<u>1,652</u>	<u>58</u>	<u>3.6%</u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2013 THROUGH FY 2016
(millions of dollars)**

	FY 2013 Projected	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Personal Income Tax	<u>3,276</u>	<u>3,459</u>	<u>3,642</u>	<u>3,744</u>
User Taxes and Fees	<u>2,169</u>	<u>2,190</u>	<u>2,201</u>	<u>2,214</u>
Sales and Use Tax	785	819	846	877
Cigarette and Tobacco Taxes	1,146	1,123	1,101	1,079
Motor Fuel Tax	108	109	109	110
Auto Rental Tax	41	43	45	47
Taxicab Surcharge	89	96	100	101
Business Taxes	<u>1,531</u>	<u>1,574</u>	<u>1,628</u>	<u>1,685</u>
Corporation Franchise Tax	435	482	515	543
Corporation and Utilities Tax	180	183	185	191
Insurance Taxes	157	166	171	178
Bank Tax	242	209	219	233
Petroleum Business Tax	517	534	538	540
Payroll Tax	<u>1,180</u>	<u>1,222</u>	<u>1,329</u>	<u>1,418</u>
Total Taxes	<u>8,156</u>	<u>8,445</u>	<u>8,800</u>	<u>9,061</u>
Miscellaneous Receipts	<u>15,919</u>	<u>16,538</u>	<u>16,839</u>	<u>16,912</u>
HCRA	4,775	5,061	5,202	5,105
State University Income	4,020	4,252	4,414	4,574
Lottery	3,198	3,248	3,257	3,259
Medicaid	794	785	788	788
Industry Assessments	769	776	783	0
Motor Vehicle Fees	482	481	481	481
All Other	1,881	1,935	1,914	2,705
Federal Grants	<u>40,303</u>	<u>41,893</u>	<u>45,205</u>	<u>47,390</u>
Total	<u><u>64,378</u></u>	<u><u>66,876</u></u>	<u><u>70,844</u></u>	<u><u>73,363</u></u>

CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2012 and FY 2013
(millions of dollars)

	FY 2012 Results	FY 2013 First Quarter	Annual \$ Change	Annual % Change
Personal Income Tax	<u>3,233</u>	<u>3,276</u>	<u>43</u>	<u>1.3%</u>
User Taxes and Fees	<u>2,143</u>	<u>2,169</u>	<u>26</u>	<u>1.2%</u>
Sales and Use Tax	750	785	35	4.7%
Cigarette and Tobacco Taxes	1,162	1,146	(16)	-1.4%
Motor Fuel Tax	105	108	3	2.9%
Auto Rental Tax	39	41	2	5.1%
Taxicab Surcharge	87	89	2	2.3%
Business Taxes	<u>1,492</u>	<u>1,531</u>	<u>39</u>	<u>2.6%</u>
Corporation Franchise Tax	452	435	(17)	-3.8%
Corporation and Utilities Tax	167	180	13	7.8%
Insurance Taxes	156	157	1	0.6%
Bank Tax	230	242	12	5.2%
Petroleum Business Tax	487	517	30	6.2%
Payroll Tax	<u>1,376</u>	<u>1,180</u>	<u>(196)</u>	<u>-14.2%</u>
Total Taxes	<u>8,244</u>	<u>8,156</u>	<u>(88)</u>	<u>-1.1%</u>
Miscellaneous Receipts	<u>15,565</u>	<u>15,919</u>	<u>354</u>	<u>2.3%</u>
HCRA	4,155	4,775	620	14.9%
State University Income	3,828	4,020	192	5.0%
Lottery	2,975	3,198	223	7.5%
Medicaid	827	794	(33)	-4.0%
Industry Assessments	763	769	6	0.8%
Motor Vehicle Fees	496	482	(14)	-2.8%
All Other	2,521	1,881	(640)	-25.4%
Federal Grants	<u>42,356</u>	<u>40,303</u>	<u>(2,053)</u>	<u>-4.8%</u>
Total	<u><u>66,165</u></u>	<u><u>64,378</u></u>	<u><u>(1,787)</u></u>	<u><u>-2.7%</u></u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2013
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(288)</u>	<u>(161)</u>	<u>(449)</u>
Receipts:			
Taxes	1,401	0	1,401
Miscellaneous Receipts	4,234	0	4,234
Federal Receipts	5	2,186	2,191
Total Receipts	<u>5,640</u>	<u>2,186</u>	<u>7,826</u>
Disbursements:			
Local Assistance Grants	1,284	820	2,104
Capital Projects	4,932	1,031	5,963
Total Disbursements	<u>6,216</u>	<u>1,851</u>	<u>8,067</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,649	(321)	1,328
Transfers to Other Funds	(1,468)	(11)	(1,479)
Bond and Note Proceeds	400	0	400
Net Other Financing Sources (Uses)	<u>581</u>	<u>(332)</u>	<u>249</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>5</u>	<u>3</u>	<u>8</u>
Closing Fund Balance	<u>(283)</u>	<u>(158)</u>	<u>(441)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(283)</u>	<u>(158)</u>	<u>(441)</u>
Receipts:			
Taxes	1,421	0	1,421
Miscellaneous Receipts	4,022	0	4,022
Federal Receipts	5	2,207	2,212
Total Receipts	<u>5,448</u>	<u>2,207</u>	<u>7,655</u>
Disbursements:			
Local Assistance Grants	1,340	767	2,107
Capital Projects	4,673	1,083	5,756
Total Disbursements	<u>6,013</u>	<u>1,850</u>	<u>7,863</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,854	(315)	1,539
Transfers to Other Funds	(1,529)	(11)	(1,540)
Bond and Note Proceeds	338	0	338
Net Other Financing Sources (Uses)	<u>663</u>	<u>(326)</u>	<u>337</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>98</u>	<u>31</u>	<u>129</u>
Closing Fund Balance	<u>(185)</u>	<u>(127)</u>	<u>(312)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2015
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(185)</u>	<u>(127)</u>	<u>(312)</u>
Receipts:			
Taxes	1,431	0	1,431
Miscellaneous Receipts	3,800	0	3,800
Federal Receipts	5	1,966	1,971
Total Receipts	<u>5,236</u>	<u>1,966</u>	<u>7,202</u>
Disbursements:			
Local Assistance Grants	1,185	698	1,883
Capital Projects	4,669	904	5,573
Total Disbursements	<u>5,854</u>	<u>1,602</u>	<u>7,456</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,775	(308)	1,467
Transfers to Other Funds	(1,510)	(12)	(1,522)
Bond and Note Proceeds	306	0	306
Net Other Financing Sources (Uses)	<u>571</u>	<u>(320)</u>	<u>251</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(47)</u>	<u>44</u>	<u>(3)</u>
Closing Fund Balance	<u>(232)</u>	<u>(83)</u>	<u>(315)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2016
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(232)</u>	<u>(83)</u>	<u>(315)</u>
Receipts:			
Taxes	1,448	0	1,448
Miscellaneous Receipts	3,829	0	3,829
Federal Receipts	5	1,651	1,656
Total Receipts	<u>5,282</u>	<u>1,651</u>	<u>6,933</u>
Disbursements:			
Local Assistance Grants	896	656	1,552
Capital Projects	4,704	667	5,371
Total Disbursements	<u>5,600</u>	<u>1,323</u>	<u>6,923</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,666	(303)	1,363
Transfers to Other Funds	(1,515)	(12)	(1,527)
Bond and Note Proceeds	121	0	121
Net Other Financing Sources (Uses)	<u>272</u>	<u>(315)</u>	<u>(43)</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(46)</u>	<u>13</u>	<u>(33)</u>
Closing Fund Balance	<u>(278)</u>	<u>(70)</u>	<u>(348)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2012 and FY 2013
(millions of dollars)**

	FY 2012 Results	FY 2013 First Quarter	Annual \$ Change	Annual % Change
Opening Fund Balance	<u>(167)</u>	<u>(449)</u>	<u>(282)</u>	
Receipts:				
Taxes	1,337	1,401	64	4.8%
Miscellaneous Receipts	4,155	4,234	79	1.9%
Federal Receipts	2,115	2,191	76	3.6%
Total Receipts	<u>7,607</u>	<u>7,826</u>	<u>219</u>	<u>2.9%</u>
Disbursements:				
Local Assistance Grants	2,566	2,104	(462)	-18.0%
Capital Projects	5,270	5,963	693	13.1%
Total Disbursements	<u>7,836</u>	<u>8,067</u>	<u>231</u>	<u>2.9%</u>
Other Financing Sources (uses):				
Transfers From Other Funds	1,031	1,328	297	28.8%
Transfers to Other Funds	(1,436)	(1,479)	(43)	3.0%
Bond and Note Proceeds	352	400	48	13.6%
Net Other Financing Sources (Uses)	<u>(53)</u>	<u>249</u>	<u>302</u>	<u>-569.8%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(282)</u>	<u>8</u>	<u>290</u>	
Closing Fund Balance	<u>(449)</u>	<u>(441)</u>	<u>8</u>	

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2013 THROUGH FY 2016
(millions of dollars)**

	FY 2013 Projected	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
User Taxes and Fees	<u>622</u>	<u>621</u>	<u>629</u>	<u>642</u>
Motor Fuel Tax	407	407	411	413
Highway Use Tax	147	142	144	152
Auto Rental Tax	68	72	74	77
Business Taxes	<u>660</u>	<u>681</u>	<u>683</u>	<u>687</u>
Corporation and Utilities Tax	15	15	15	15
Petroleum Business Tax	645	666	668	672
Other Taxes	<u>119</u>	<u>119</u>	<u>119</u>	<u>119</u>
Real Estate Transfer Tax	119	119	119	119
Total Taxes	<u>1,401</u>	<u>1,421</u>	<u>1,431</u>	<u>1,448</u>
Miscellaneous Receipts	<u>4,234</u>	<u>4,022</u>	<u>3,800</u>	<u>3,829</u>
Authority Bond Proceeds	3,245	3,018	2,755	2,748
State Park Fees	87	60	47	33
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	799	811	811	811
All Other	26	56	110	160
Federal Grants	<u>2,191</u>	<u>2,212</u>	<u>1,971</u>	<u>1,656</u>
Total	<u><u>7,826</u></u>	<u><u>7,655</u></u>	<u><u>7,202</u></u>	<u><u>6,933</u></u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2012 and FY 2013
(millions of dollars)**

	FY 2012 Results	FY 2013 First Quarter	Annual \$ Change	Annual % Change
User Taxes and Fees	<u>593</u>	<u>622</u>	<u>29</u>	<u>4.9%</u>
Motor Fuel Tax	396	407	11	2.8%
Highway Use Tax	132	147	15	11.4%
Auto Rental Tax	65	68	3	4.6%
Business Taxes	<u>625</u>	<u>660</u>	<u>35</u>	<u>5.6%</u>
Corporation and Utilities Tax	13	15	2	15.4%
Petroleum Business Tax	612	645	33	5.4%
Other Taxes	<u>119</u>	<u>119</u>	<u>0</u>	<u>0.0%</u>
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	<u>1,337</u>	<u>1,401</u>	<u>64</u>	<u>4.8%</u>
Miscellaneous Receipts	<u>4,155</u>	<u>4,234</u>	<u>79</u>	<u>1.9%</u>
Authority Bond Proceeds	3,236	3,245	9	0.3%
State Park Fees	41	87	46	112.2%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	813	799	(14)	-1.7%
All Other	(12)	26	38	-316.7%
Federal Grants	<u>2,115</u>	<u>2,191</u>	<u>76</u>	<u>3.6%</u>
Total	<u><u>7,607</u></u>	<u><u>7,826</u></u>	<u><u>219</u></u>	<u><u>2.9%</u></u>

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	FY 2012 Results	FY 2013 First Quarter	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	24	38	38	21	21
Empire State Development Corporation	5	13	13	13	13
Functional Total	<u>29</u>	<u>51</u>	<u>51</u>	<u>34</u>	<u>34</u>
TRANSPORTATION					
Transportation, Department of	466	403	403	403	403
Functional Total	<u>466</u>	<u>403</u>	<u>403</u>	<u>403</u>	<u>403</u>
Health & Social Welfare					
Hlth All Other					
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
MENTAL HEALTH					
Mental Health, Office of	84	247	214	264	214
People with Developmental Disabilities, Office for	29	59	66	91	91
Alcoholism and Substance Abuse Services, Office of	2	5	5	5	5
Functional Total	<u>115</u>	<u>311</u>	<u>285</u>	<u>360</u>	<u>310</u>
HIGHER EDUCATION					
City University of New York	402	453	486	501	521
Education School Aid	127	100	100	92	0
State University of New York	249	364	179	99	94
Functional Total	<u>778</u>	<u>917</u>	<u>765</u>	<u>692</u>	<u>615</u>
ALL OTHER					
Judiciary	3	8	9	5	0
Functional Total	<u>3</u>	<u>8</u>	<u>9</u>	<u>5</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u>1,391</u>	<u>1,690</u>	<u>1,513</u>	<u>1,494</u>	<u>1,362</u>

Reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2013 THROUGH FY 2016
(millions of dollars)**

	FY 2013 Projected	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Personal Income Tax	<u>10,064</u>	<u>10,793</u>	<u>11,085</u>	<u>11,453</u>
User Taxes and Fees	<u>2,827</u>	<u>2,953</u>	<u>3,093</u>	<u>3,217</u>
Sales and Use Tax	2,827	2,953	3,093	3,217
Other Taxes	<u>566</u>	<u>616</u>	<u>686</u>	<u>761</u>
Real Estate Transfer Tax	566	616	686	761
Total Taxes	<u>13,457</u>	<u>14,362</u>	<u>14,864</u>	<u>15,431</u>
Miscellaneous Receipts	<u>996</u>	<u>1,043</u>	<u>1,094</u>	<u>1,092</u>
Mental Hygiene Patient Receipts	352	375	403	403
SUNY Dormitory Fees	505	529	554	554
Health Patient Receipts	128	128	128	128
All Other	11	11	9	7
Federal Grants	<u>79</u>	<u>79</u>	<u>79</u>	<u>79</u>
Total	<u><u>14,532</u></u>	<u><u>15,484</u></u>	<u><u>16,037</u></u>	<u><u>16,602</u></u>

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2012 and FY 2013
(millions of dollars)**

	FY 2012 Results	FY 2013 First Quarter	Annual \$ Change	Annual % Change
Personal Income Tax	9,691	10,064	373	3.8%
User Taxes and Fees	2,780	2,827	47	1.7%
Sales and Use Tax	2,780	2,827	47	1.7%
Other Taxes	491	566	75	15.3%
Real Estate Transfer Tax	491	566	75	15.3%
Total Taxes	12,962	13,457	495	3.8%
Miscellaneous Receipts	955	996	41	4.3%
Mental Hygiene Patient Receipts	334	352	18	5.4%
SUNY Dormitory Fees	490	505	15	3.1%
Health Patient Receipts	121	128	7	5.8%
All Other	10	11	1	10.0%
Federal Grants	80	79	(1)	-1.3%
Total	13,997	14,532	535	3.8%

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2013
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	<u>1,787</u>	<u>1,632</u>	<u>(288)</u>	<u>428</u>	<u>3,559</u>
Receipts:					
Taxes	43,293	8,156	1,401	13,457	66,307
Miscellaneous Receipts	3,354	15,733	4,234	996	24,317
Federal Receipts	60	1	5	79	145
Total Receipts	<u>46,707</u>	<u>23,890</u>	<u>5,640</u>	<u>14,532</u>	<u>90,769</u>
Disbursements:					
Local Assistance Grants	39,668	19,139	1,284	0	60,091
Departmental Operations:					
Personal Service	6,170	6,300	0	0	12,470
Non-Personal Service	1,835	3,613	0	47	5,495
General State Charges	4,499	2,032	0	0	6,531
Debt Service	0	0	0	6,100	6,100
Capital Projects	0	5	4,932	0	4,937
Total Disbursements	<u>52,172</u>	<u>31,089</u>	<u>6,216</u>	<u>6,147</u>	<u>95,624</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,188	7,704	1,649	6,305	27,846
Transfers to Other Funds	(7,036)	(448)	(1,468)	(14,586)	(23,538)
Reserve for Collective Bargaining	0	0	0	0	0
Reserve for Community Projects Fund	0	0	0	0	0
Bond and Note Proceeds	0	0	400	0	400
Net Other Financing Sources (Uses)	<u>5,152</u>	<u>7,256</u>	<u>581</u>	<u>(8,281)</u>	<u>4,708</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(313)</u>	<u>57</u>	<u>5</u>	<u>104</u>	<u>(147)</u>
Closing Fund Balance	<u>1,474</u>	<u>1,689</u>	<u>(283)</u>	<u>532</u>	<u>3,412</u>

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2014
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	45,837	8,445	1,421	14,362	70,065
Miscellaneous Receipts	2,879	16,352	4,022	1,043	24,296
Federal Receipts	2	1	5	79	87
Total Receipts	48,718	24,798	5,448	15,484	94,448
Disbursements:					
Local Assistance Grants	41,871	20,013	1,340	0	63,224
Departmental Operations:					
Personal Service	5,487	7,007	0	0	12,494
Non-Personal Service	1,630	3,889	0	47	5,566
General State Charges	4,889	2,173	0	0	7,062
Debt Service	0	0	0	6,415	6,415
Capital Projects	0	5	4,673	0	4,678
Total Disbursements	53,877	33,087	6,013	6,462	99,439
Other Financing Sources (Uses):					
Transfers from Other Funds	12,749	8,747	1,854	6,160	29,510
Transfers to Other Funds	(8,655)	(250)	(1,529)	(15,069)	(25,503)
Bond and Note Proceeds	0	0	338	0	338
Net Other Financing Sources (Uses)	4,094	8,497	663	(8,909)	4,345
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other	(1,065)	208	98	113	(646)
Designated General Fund Reserves:					
Community Projects Fund	(57)				
Prior-Year Labor Agreements (2007-2011)	(26)				
Increase (Decrease) in Reserves	(83)				
Net General Fund Deficit	(982)				

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2015
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	46,509	8,800	1,431	14,864	71,604
Miscellaneous Receipts	2,297	16,653	3,800	1,094	23,844
Federal Receipts	0	1	5	79	85
Total Receipts	48,806	25,454	5,236	16,037	95,533
Disbursements:					
Local Assistance Grants	43,225	20,678	1,185	0	65,088
Departmental Operations:					
Personal Service	5,632	7,200	0	0	12,832
Non-Personal Service	1,771	3,907	0	47	5,725
General State Charges	5,235	2,328	0	0	7,563
Debt Service	0	0	0	6,484	6,484
Capital Projects	0	5	4,669	0	4,674
Total Disbursements	55,863	34,118	5,854	6,531	102,366
Other Financing Sources (Uses):					
Transfers from Other Funds	13,012	9,122	1,775	5,623	29,532
Transfers to Other Funds	(9,535)	(49)	(1,510)	(14,993)	(26,087)
Bond and Note Proceeds	0	0	306	0	306
Net Other Financing Sources (Uses)	3,477	9,073	571	(9,370)	3,751
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(3,580)	409	(47)	136	(3,082)
Designated General Fund Reserves:					
Prior-Year Labor Agreements (2007-2011)	10				
Increase (Decrease) in Reserves	10				
Net General Fund Deficit	(3,590)				

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2016
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	48,426	9,061	1,448	15,431	74,366
Miscellaneous Receipts	2,389	16,726	3,829	1,092	24,036
Federal Receipts	0	1	5	79	85
Total Receipts	50,815	25,788	5,282	16,602	98,487
Disbursements:					
Local Assistance Grants	45,489	20,668	896	0	67,053
Departmental Operations:					
Personal Service	5,915	7,449	0	0	13,364
Non-Personal Service	1,821	4,009	0	47	5,877
General State Charges	5,527	2,513	0	0	8,040
Debt Service	0	0	0	6,645	6,645
Capital Projects	0	5	4,704	0	4,709
Total Disbursements	58,752	34,644	5,600	6,692	105,688
Other Financing Sources (Uses):					
Transfers from Other Funds	13,383	9,522	1,666	5,369	29,940
Transfers to Other Funds	(9,802)	(220)	(1,515)	(15,273)	(26,810)
Bond and Note Proceeds	0	0	121	0	121
Net Other Financing Sources (Uses)	3,581	9,302	272	(9,904)	3,251
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(4,356)	446	(46)	6	(3,950)
Designated General Fund Reserves:					
Prior-Year Labor Agreements (2007-2011)	14				
Increase (Decrease) in Reserves	14				
Net General Fund Deficit	(4,370)				

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2012 and FY 2013
(millions of dollars)**

	FY 2012 Enacted	FY 2013 First Quarter	Annual \$ Change	Annual % Change
Opening Fund Balance	<u>3,907</u>	<u>3,559</u>	<u>(348)</u>	
Receipts:				
Taxes	64,297	66,307	2,010	3.1%
Miscellaneous Receipts	23,669	24,317	648	2.7%
Federal Receipts	145	145	0	0.0%
Total Receipts	<u>88,111</u>	<u>90,769</u>	<u>2,658</u>	<u>3.0%</u>
Disbursements:				
Local Assistance Grants	59,015	60,091	1,076	1.8%
Departmental Operations:				
Personal Service	12,047	12,470	423	3.5%
Non-Personal Service	5,404	5,495	91	1.7%
General State Charges	6,593	6,531	(62)	-0.9%
Debt Service	5,864	6,100	236	4.0%
Capital Projects	4,270	4,937	667	15.6%
Total Disbursements	<u>93,193</u>	<u>95,624</u>	<u>2,431</u>	<u>2.6%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	26,877	27,846	969	3.6%
Transfers to Other Funds	(22,495)	(23,538)	(1,043)	4.6%
Bond and Note Proceeds	352	400	48	13.6%
Net Other Financing Sources (Uses)	<u>4,734</u>	<u>4,708</u>	<u>(26)</u>	<u>-0.5%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(348)</u>	<u>(147)</u>	<u>201</u>	
Closing Fund Balance	<u>3,559</u>	<u>3,412</u>	<u>(147)</u>	

**CASHFLOW
GENERAL FUND
FY 2013
(dollars in millions)**

	2012	2013	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
OPENING BALANCE	1,787	1,787	5,637	2,018	2,935	2,575	1,272	4,098	3,056	1,935	1,327	5,342	5,721	1,787
RECEIPTS:														
Personal Income Tax	3,851	1,236	2,486	2,486	1,750	1,863	2,758	1,919	1,457	310	5,202	2,254	1,830	26,916
User Taxes and Fees	652	681	899	899	690	698	1,072	705	696	1,008	745	630	889	9,196
Business Taxes	205	42	1,044	1,044	95	107	1,072	102	270	1,181	100	118	1,699	6,035
Other Taxes	114	84	77	77	97	98	98	97	97	96	96	96	96	1,146
Total Taxes	4,822	2,043	4,506	4,506	2,632	2,766	4,831	2,823	2,520	2,595	6,143	3,098	4,514	43,293
Licenses, Fees, etc.	40	3	61	61	50	60	72	68	59	72	60	63	70	678
Abandoned Property	39	19	21	21	32	18	77	23	250	12	5	24	265	785
ABC License Fee	6	6	5	5	4	3	4	3	4	4	4	4	4	51
Motor vehicle fees	6	0	(8)	(8)	0	0	0	0	17	17	17	17	33	99
Reimbursements	2	(9)	72	72	2	18	36	20	36	33	18	29	55	312
Investment Income	1	0	0	0	1	1	1	1	1	1	1	1	1	10
Other Transactions	22	74	265	265	32	21	334	65	31	115	21	46	393	1,419
Total Miscellaneous Receipts	116	93	416	416	121	121	524	180	398	254	126	184	821	3,354
Federal Grants	4	14	0	0	0	0	13	0	0	13	0	0	16	60
PIT in Excess of Revenue Bond Debt Service	1,283	225	1,007	1,007	502	217	1,098	393	183	1,000	956	398	988	8,250
Sales Tax in Excess of LGAC Debt Service	190	26	430	430	213	153	280	219	217	282	231	3	186	2,430
Real Estate Taxes in Excess of CW/CA Debt Service	57	45	33	33	48	73	41	39	27	31	28	32	15	469
All Other	0	113	(20)	(20)	2	15	18	82	14	27	34	83	671	1,039
Total Transfers from Other Funds	1,530	409	1,450	1,450	765	458	1,437	733	441	1,340	1,249	516	1,860	12,188
TOTAL RECEIPTS	6,472	2,559	6,372	6,372	3,518	3,345	6,805	3,736	3,359	4,202	7,518	3,798	7,211	58,895
DISBURSEMENTS:														
School Aid	133	2,730	1,705	1,705	110	540	1,297	710	1,055	1,560	265	448	6,450	17,003
Higher Education	16	18	379	379	268	298	51	471	22	238	33	304	488	2,586
All Other Education	3	61	332	332	88	425	99	57	280	181	81	188	166	1,961
Medicaid - DOH	931	1,029	712	712	994	1,291	534	1,036	1,230	584	863	806	594	10,604
Public Health	1	11	29	29	27	73	112	88	41	70	75	37	100	664
Mental Hygiene	1	0	379	379	0	0	394	147	0	380	108	150	386	1,945
Children and Families	3	14	130	130	108	212	223	75	75	221	112	78	291	1,542
Temporary & Disability Assistance	61	327	145	145	157	134	104	100	100	100	100	30	126	1,484
Transportation	0	24	0	0	0	24	0	0	24	15	0	10	1	98
Unrestricted Aid	0	12	294	294	1	1	95	9	1	206	6	8	144	777
All Other	2	(8)	195	195	57	63	75	(29)	46	46	179	179	199	1,004
Total Local Assistance Grants	1,151	4,218	4,300	4,300	1,810	3,061	2,984	2,664	2,874	3,601	1,822	2,238	8,945	39,668
Personal Service	596	631	549	549	470	557	422	660	463	434	522	433	433	6,170
Non-Personal Service	52	145	142	142	162	156	153	164	131	154	150	183	243	1,835
Total State Operations	648	776	691	691	632	713	575	824	594	588	672	616	676	8,005
General State Charges	413	442	90	90	269	445	221	366	359	143	264	148	1,339	4,499
Debt Service	506	0	(55)	(55)	418	(4)	(110)	507	0	(2)	468	(18)	(146)	1,564
Capital Projects	(116)	113	102	102	39	86	(65)	64	84	172	100	40	436	1,055
State Share Medicaid	8	441	248	248	474	252	341	191	283	246	149	371	(29)	2,975
SUNY Operations	0	0	0	0	180	0	0	0	160	0	0	0	0	340
Other Purposes	12	188	79	79	56	95	33	162	126	62	28	24	237	1,102
Total Transfers to Other Funds	410	742	374	374	1,167	429	199	924	653	478	745	417	498	7,036
TOTAL DISBURSEMENTS	2,822	6,178	5,455	5,455	3,878	4,648	3,979	4,778	4,480	4,810	3,503	3,419	11,458	59,208
Excess/(Deficiency) of Receipts over Disbursements	3,650	(3,619)	917	917	(360)	(1,303)	2,826	(1,042)	(1,121)	(608)	4,015	379	(4,247)	(313)
CLOSING BALANCE	5,637	2,018	2,935	2,935	2,575	1,272	4,098	3,056	1,935	1,327	5,342	5,721	1,474	1,474

**CASHFLOW
STATE OPERATING FUNDS
FY 2013
(dollars in millions)**

	2012 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2013 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,647	8,552	5,800	6,359	6,826	6,146	6,623	6,496	5,889	3,809	9,182	10,229		3,847
RECEIPTS:														
Taxes	6,764	3,055	6,658	3,822	4,033	6,823	4,085	3,672	7,030	8,529	4,417	6,018	0	64,906
Miscellaneous Receipts	1,002	1,374	1,698	1,423	1,548	2,236	1,674	1,701	1,610	1,621	1,717	2,479	0	20,083
Federal Grants	4	21	(1)	0	2	51	0	0	13	0	1	49	0	140
TOTAL RECEIPTS	7,770	4,450	8,355	5,245	5,583	9,110	5,759	5,373	8,653	10,150	6,135	8,546	0	85,129
DISBURSEMENTS:														
School Aid	133	2,730	2,022	110	540	3,281	835	1,180	1,685	390	574	6,576	0	20,056
Higher Education	16	18	379	268	298	51	471	22	238	33	304	520	0	2,618
All Other Education	3	61	331	89	426	101	58	281	182	82	189	169	0	1,972
STAR	0	0	400	0	0	188	10	46	2,623	0	0	9	0	3,276
Medicaid - DOH	1,199	1,538	1,043	1,394	1,711	938	1,452	1,646	995	1,398	1,298	1,249	0	15,861
Public Health	2	51	94	248	163	237	159	137	244	173	145	272	0	1,925
Mental Hygiene	78	82	554	156	93	567	330	70	602	278	254	579	0	3,643
Children and Families	3	15	130	108	212	223	75	75	221	112	78	291	0	1,543
Temporary & Disability Assistance	62	327	145	157	134	106	100	100	100	100	30	127	0	1,488
Transportation	119	546	291	330	450	388	321	521	787	189	214	242	0	4,378
Unrestricted Aid	0	12	294	1	1	95	9	1	206	6	8	144	0	777
All Other	5	28	210	259	99	102	1	67	67	101	108	223	0	1,270
Total Local Assistance Grants	1,620	5,408	5,883	3,120	4,127	6,277	3,821	4,146	7,950	2,842	3,202	10,401	0	58,807
Personal Service	980	1,072	962	934	1,221	945	1,226	1,019	961	1,220	968	962	0	12,470
Non-Personal Service	79	346	438	461	486	492	488	472	541	459	573	660	0	5,495
Total State Operations	1,059	1,418	1,400	1,395	1,707	1,437	1,714	1,491	1,502	1,679	1,541	1,622	0	17,965
General State Charges	439	469	363	499	513	439	596	421	408	490	240	1,654	0	6,531
Debt service	176	387	420	94	317	960	103	213	1,086	94	476	1,774	0	6,100
Capital Projects	1	0	0	0	0	0	0	0	0	0	0	4	0	5
TOTAL DISBURSEMENTS	3,295	7,682	8,076	5,108	6,864	9,113	6,234	6,271	10,946	5,105	5,459	15,455	0	89,408
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	2,221	1,935	2,375	2,559	1,692	2,453	2,258	1,653	2,449	2,663	1,604	2,954	(619)	26,197
Transfers to other funds	(1,991)	(1,455)	(2,095)	(2,229)	(1,291)	(1,973)	(1,910)	(1,362)	(2,236)	(2,335)	(1,233)	(2,579)	619	(22,070)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	230	480	280	330	401	480	348	291	213	328	371	375	0	4,127
Excess/(Deficiency) of Receipts over Disbursements	4,705	(2,752)	559	467	(680)	477	(127)	(607)	(2,080)	5,373	1,047	(6,534)	0	(152)
CLOSING BALANCE	8,552	5,800	6,359	6,826	6,146	6,623	6,496	5,889	3,809	9,182	10,229	3,695	0	3,695

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2013
(dollars in millions)**

	2012 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2013 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(449)	(494)	(461)	(480)	(613)	(577)	(664)	(769)	(732)	(819)	(809)	(810)	(449)
RECEIPTS:													
Taxes	90	98	128	121	115	141	112	107	147	111	103	128	1,401
Miscellaneous Receipts	241	205	231	325	395	437	218	213	213	313	224	1,091	4,234
Federal Grants	30	166	177	180	199	213	211	199	180	165	149	322	2,191
TOTAL RECEIPTS	361	469	536	626	709	791	541	647	540	589	476	1,541	7,826
DISBURSEMENTS:													
Local Assistance Grants	7	40	117	160	174	150	167	177	326	161	167	458	2,104
Total Local Assistance Grants	7	40	117	160	174	150	167	177	326	161	167	458	2,104
Economic Development	12	4	2	20	9	16	19	7	14	25	9	42	179
Parks & the Environment	4	23	20	27	29	27	29	27	27	27	29	223	492
Transportation	137	253	267	253	314	332	286	285	299	265	215	551	3,457
Health & Social Welfare	0	1	1	3	3	3	3	3	4	3	2	8	34
Mental Hygiene	4	5	8	13	9	12	7	9	12	20	13	21	133
Public Protection	3	29	26	27	28	28	26	26	28	24	34	55	334
Education	26	85	111	228	126	108	99	92	92	88	40	81	1,176
All Other	3	14	20	12	13	12	19	13	11	11	12	18	158
Total Capital Projects	189	414	455	583	531	538	488	462	487	463	354	999	5,963
TOTAL DISBURSEMENTS	196	454	572	743	705	688	655	639	813	624	521	1,457	8,067
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	(116)	113	118	44	92	9	69	89	246	105	45	514	1,328
Transfers to other funds	(94)	(95)	(101)	(93)	(93)	(232)	(93)	(93)	(93)	(93)	(34)	(365)	(1,479)
Bond and note proceeds	0	0	0	33	33	33	33	33	33	33	33	136	400
NET OTHER FINANCING SOURCES/(USES)	(210)	18	17	(16)	32	(190)	9	29	186	45	44	285	249
Excess/(Deficiency) of Receipts over Disbursements	(45)	33	(19)	(133)	36	(87)	(105)	37	(87)	10	(1)	369	8
CLOSING BALANCE	(494)	(461)	(480)	(613)	(577)	(664)	(769)	(732)	(819)	(809)	(810)	(441)	(441)

(dollars in millions)

CLOSING BALANCE

(dollars in millions)

Excess/(Deficiency) of Receipts over Disbursements

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2013
(dollars in millions)**

	2012 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2013 January Projected	February Projected	March Projected	Total
OPENING BALANCE	1,594	1,992	2,211	1,911	1,762	2,487	992	726	1,364	840	896	1,419	1,594
RECEIPTS:													
Personal Income Tax	0	0	400	0	0	188	10	46	2,623	0	0	9	3,276
User Taxes and Fees	196	167	189	196	177	202	188	170	193	193	139	159	2,189
Business Taxes	58	57	214	62	62	229	59	91	241	60	61	83	1,531
Other Taxes	140	116	67	81	110	60	96	92	77	131	127	37	1,180
Total Taxes	394	340	870	339	349	679	353	399	3,134	394	327	588	8,156
HORA	325	401	385	394	383	326	418	376	385	389	375	618	4,775
State University Income	64	170	367	247	345	629	340	282	276	388	546	366	4,020
Lottery	291	309	246	236	292	250	289	241	256	294	240	254	3,198
Medicaid	69	62	70	64	69	65	69	65	65	69	65	62	794
Motor vehicle fees	20	16	109	33	39	60	38	28	37	25	34	43	482
Other receipts	111	298	33	252	219	300	272	239	259	267	202	198	2,650
Total Miscellaneous Receipts	880	1,256	1,210	1,226	1,347	1,630	1,426	1,231	1,278	1,432	1,462	1,541	15,919
Federal Grants	1,969	3,034	3,046	2,970	3,721	3,445	3,016	3,451	3,237	3,670	3,450	5,294	40,303
TOTAL RECEIPTS	3,243	4,630	5,126	4,535	5,417	5,754	4,795	5,081	7,649	5,486	5,239	7,423	64,378
DISBURSEMENTS:													
School Aid	110	426	529	230	181	2,094	255	260	380	432	545	617	6,059
Higher Education	0	0	0	1	1	1	1	0	1	1	1	33	40
All Other Education	63	229	118	67	33	4	40	31	29	116	90	80	900
STAR	0	0	400	0	0	188	10	46	2,623	0	0	9	3,276
Medicaid - DOH	2,018	2,532	2,357	2,556	2,224	2,628	2,684	2,257	2,224	2,691	2,241	3,078	29,490
Public Health	(13)	142	151	337	205	253	166	205	291	213	217	295	2,462
Mental Hygiene	88	99	183	167	107	182	193	88	265	188	116	200	1,876
Children and Families	2	4	156	3	239	101	83	83	83	83	83	83	1,003
Temporary & Disability Assistance	19	20	320	349	349	351	349	349	349	349	349	372	3,525
Transportation	121	523	293	333	429	391	324	500	775	172	209	250	4,320
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	6	77	80	270	88	85	(8)	79	83	(26)	(19)	126	841
Total Local Assistance Grants	2,414	4,052	4,587	4,313	3,856	6,278	4,097	3,898	7,103	4,219	3,832	5,143	53,792
Personal Service	425	488	458	511	726	571	614	603	576	763	584	626	6,945
Non-Personal Service	34	259	358	353	394	452	427	411	415	383	523	533	4,542
Total State Operations	459	747	816	864	1,120	1,023	1,041	1,014	991	1,146	1,107	1,159	11,487
General State Charges	26	30	312	241	71	263	243	78	316	231	112	386	2,309
Capital Projects	1	0	0	0	0	0	0	0	0	0	0	4	5
TOTAL DISBURSEMENTS	2,900	4,829	5,715	5,418	5,047	7,564	5,381	4,990	8,410	5,596	5,051	6,692	67,593
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	67	920	582	991	737	623	594	821	646	508	725	491	7,705
Transfers to other funds	(12)	(502)	(293)	(257)	(382)	(308)	(274)	(274)	(409)	(342)	(390)	(989)	(4,432)
NET OTHER FINANCING SOURCES/(USES)	55	418	289	734	355	315	320	547	237	166	335	(499)	3,273
Excess/(Deficiency) of Receipts over Disbursements	398	219	(300)	(149)	725	(1,495)	(266)	638	(524)	56	523	233	58
CLOSING BALANCE	1,992	2,211	1,911	1,762	2,487	992	726	1,364	840	896	1,419	1,594	1,594

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2013**
(dollars in millions)

	2012 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2013 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	1,632	2,064	2,701	2,785	3,054	3,379	1,882	2,014	2,249	1,723	1,778	2,299		1,632
RECEIPTS:														
Personal Income Tax	0	0	400	0	0	188	10	46	2,623	0	0	9	0	3,276
User Taxes and Fees	196	167	189	196	177	202	188	170	193	193	139	159	0	2,169
Business Taxes	56	57	214	62	62	229	59	91	241	60	61	337	0	1,531
Other Taxes	140	116	67	81	110	110	96	92	77	131	127	83	0	1,180
Total Taxes	384	340	870	339	349	679	353	389	3,134	384	327	588	0	8,156
HORA	325	401	365	384	383	326	418	376	385	389	375	618	0	4,775
State University Income	64	170	367	247	345	629	340	282	276	388	546	366	0	4,020
Lottery	291	309	246	236	292	250	289	241	256	294	240	254	0	3,198
Medicaid	69	62	70	64	69	65	69	65	65	69	65	62	0	794
Motor vehicle fees	20	16	109	33	39	60	38	28	37	25	34	43	0	482
ABC License Fee	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other receipts	99	261	24	232	208	289	252	228	248	247	190	186	0	2,464
Total Miscellaneous Receipts	868	1,219	1,201	1,206	1,336	1,619	1,406	1,220	1,267	1,412	1,450	1,529	0	15,733
Federal Grants	0	7	(1)	0	0	0	0	0	0	0	0	(5)	0	1
TOTAL RECEIPTS	1,262	1,586	2,070	1,545	1,685	2,298	1,759	1,619	4,401	1,796	1,777	2,112	0	23,890
DISBURSEMENTS:														
School Aid	0	0	317	0	0	1,984	125	125	125	125	126	126	0	3,053
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	32
All Other Education	0	0	(1)	1	1	2	1	1	1	1	1	3	0	11
STAR	0	0	400	0	0	188	10	46	2,623	0	0	9	0	3,276
Medicaid - DOH	268	509	331	400	420	404	416	416	411	535	492	655	0	5,257
Public Health	1	40	65	221	90	125	174	96	174	98	108	172	0	1,261
Mental Hygiene	77	82	175	156	93	173	183	70	222	170	104	193	0	1,698
Children and Families	0	1	0	0	0	0	0	0	0	0	0	0	0	1
Temporary & Disability Assistance	1	0	0	0	0	2	0	0	0	0	0	1	0	4
Transportation	119	522	291	330	426	386	321	497	772	169	204	241	0	4,280
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	3	36	15	202	36	27	30	21	21	(78)	(71)	24	0	266
Total Local Assistance Grants	469	1,190	1,593	1,310	1,066	3,293	1,157	1,272	4,349	1,020	964	1,456	0	19,139
Personal Service	384	441	413	464	664	523	566	556	527	688	535	529	0	6,300
Non-Personal Service	26	199	292	299	322	334	323	339	373	309	365	412	0	3,613
Total State Operations	410	640	705	763	986	857	889	895	900	1,007	920	941	0	9,913
General State Charges	26	27	273	230	68	218	230	62	265	226	92	315	0	2,032
Capital Projects	1	0	0	0	0	0	0	0	0	0	0	4	0	5
TOTAL DISBURSEMENTS	906	1,857	2,571	2,303	2,120	4,368	2,276	2,229	5,514	2,263	1,976	2,716	0	31,089
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	76	966	622	1,029	776	669	731	859	684	546	763	603	(619)	7,705
Transfers to other funds	0	(38)	(37)	(2)	(16)	(96)	(82)	(14)	(97)	(34)	(43)	(608)	619	(448)
NET OTHER FINANCING SOURCES (USES)	76	928	585	1,027	760	573	649	845	587	512	720	(5)	0	7,257
Excess/(Deficiency) of Receipts over Disbursements	432	637	84	269	325	(1,497)	132	235	(526)	55	521	(609)	0	58
CLOSING BALANCE	2,064	2,701	2,785	3,054	3,379	1,882	2,014	2,249	1,723	1,778	2,299	1,690	0	1,690

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2013
(dollars in millions)

	2012 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2013 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(38)	(72)	(490)	(874)	(1,292)	(891)	(889)	(1,287)	(885)	(884)	(882)	(880)	(38)
RECEIPTS:													
Miscellaneous Receipts	12	37	9	20	11	11	20	11	11	20	12	12	186
Federal Grants	1,969	3,027	3,047	2,970	3,721	3,445	3,016	3,451	3,237	3,670	3,450	5,299	40,302
TOTAL RECEIPTS	1,981	3,064	3,056	2,990	3,732	3,456	3,036	3,462	3,248	3,690	3,462	5,311	40,488
DISBURSEMENTS:													
School Aid	110	426	212	230	181	110	130	135	255	307	419	491	3,006
Higher Education	0	0	0	1	1	1	1	0	1	1	1	1	8
All Other Education	63	229	119	66	32	2	39	30	28	115	89	77	889
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	1,750	2,023	2,026	2,156	1,804	2,224	2,268	1,841	1,813	2,156	1,749	2,423	24,233
Public Health	(14)	102	86	116	115	128	95	109	117	115	109	123	1,201
Mental Hygiene	11	17	8	11	14	9	10	18	43	18	12	7	178
Children and Families	2	3	156	3	239	101	83	83	83	83	83	83	1,002
Temporary & Disability Assistance	18	20	320	349	349	349	349	349	349	349	349	371	3,521
Transportation	2	1	2	3	3	3	3	3	3	3	5	9	40
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	3	41	65	68	52	58	(38)	58	62	52	52	102	575
Total Local Assistance Grants	1,945	2,862	2,994	3,003	2,790	2,965	2,940	2,626	2,754	3,199	2,868	3,687	34,653
Personal Service	41	47	45	47	62	48	48	47	49	65	49	97	645
Non-Personal Service	8	60	66	54	72	118	104	72	42	74	138	121	929
Total State Operations	49	107	111	101	134	166	152	119	91	139	187	218	1,574
General State Charges	0	3	39	11	3	45	13	16	51	5	20	71	277
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	1,994	2,972	3,144	3,115	2,927	3,196	3,105	2,761	2,896	3,343	3,075	3,976	36,504
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	1	1
Transfers to other funds	(21)	(510)	(296)	(293)	(404)	(258)	(329)	(299)	(351)	(345)	(385)	(493)	(3,984)
NET OTHER FINANCING SOURCES/(USES)	(21)	(510)	(296)	(293)	(404)	(258)	(329)	(299)	(351)	(345)	(385)	(492)	(3,983)
Excess/(Deficiency) of Receipts over Disbursements	(34)	(418)	(384)	(418)	401	2	(398)	402	1	2	2	843	1
CLOSING BALANCE	(72)	(490)	(874)	(1,292)	(891)	(889)	(1,287)	(885)	(884)	(882)	(880)	(37)	(37)

CASHFLOW
DEBT SERVICE FUNDS
FY 2013
(dollars in millions)

	2012			2013									
	April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
OPENING BALANCE	428	851	1,081	639	1,197	1,495	643	1,426	1,705	759	2,062	2,209	428
RECEIPTS:													
Taxes	1,548	672	1,282	851	918	1,313	909	753	1,301	2,002	992	916	13,457
Miscellaneous Receipts	18	62	81	96	91	93	88	83	89	83	83	129	996
Federal Grants	0	0	0	0	2	38	0	0	0	0	1	38	79
TOTAL RECEIPTS	1,566	734	1,363	947	1,011	1,444	997	836	1,390	2,085	1,076	1,084	14,532
DISBURSEMENTS:													
State Operations	1	2	4	0	8	5	1	2	14	0	5	5	47
Debt Service	176	387	420	94	317	960	103	213	1,086	94	476	1,774	6,100
TOTAL DISBURSEMENTS	177	389	424	94	325	965	104	215	1,100	94	481	1,779	6,147
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	615	560	303	765	458	347	794	353	425	868	325	492	6,305
Transfers to other funds	(1,581)	(675)	(1,684)	(1,060)	(846)	(1,678)	(904)	(695)	(1,661)	(1,556)	(773)	(1,473)	(14,586)
NET OTHER FINANCING SOURCES/(USES)	(966)	(115)	(1,381)	(295)	(388)	(1,331)	(110)	(342)	(1,236)	(688)	(448)	(981)	(8,281)
Excess/(Deficiency) of Receipts over Disbursements	423	230	(442)	558	298	(852)	783	279	(946)	1,303	147	(1,676)	104
CLOSING BALANCE	851	1,081	639	1,197	1,495	643	1,426	1,705	759	2,062	2,209	533	532

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2013
(dollars in millions)

	2012	May	June	July	August	September	October	November	December	2013	February	March	Total
	April	Results	Results	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	
OPENING BALANCE													
	3,360	7,986	4,849	5,005	4,921	4,677	5,069	4,439	4,272	2,107	7,491	8,539	3,360
RECEIPTS:													
Taxes	6,854	3,153	6,786	3,943	4,148	6,964	4,197	3,779	7,177	8,840	4,520	6,146	66,307
Miscellaneous Receipts	1,255	1,616	1,938	1,768	1,954	2,684	1,912	2,053	1,834	1,954	1,953	3,582	24,503
Federal Grants	2,003	3,214	3,223	3,150	3,922	3,709	3,227	3,650	3,430	3,835	3,600	5,670	42,633
TOTAL RECEIPTS	10,112	7,983	11,947	8,861	10,024	13,357	9,336	9,482	12,441	14,429	10,073	15,398	133,443
DISBURSEMENTS:													
School Aid	243	3,156	2,234	340	721	3,391	965	1,315	1,940	697	993	7,067	23,062
Higher Education	16	18	379	269	299	52	472	22	239	34	305	521	2,626
All Other Education	66	290	450	155	458	103	97	311	210	197	278	246	2,861
STAR	0	0	400	0	0	188	10	46	2,623	0	0	9	3,276
Medicaid - DOH	2,949	3,561	3,069	3,550	3,515	3,162	3,720	3,487	2,808	3,554	3,047	3,672	40,094
Public Health	(12)	153	180	364	278	365	254	246	361	288	254	395	3,126
Mental Hygiene	89	99	562	167	107	576	340	88	645	296	266	586	3,821
Children and Families	5	18	286	111	451	324	158	158	304	195	161	374	2,545
Temporary & Disability Assistance	80	347	465	506	483	455	449	449	449	449	379	498	5,009
Transportation	121	547	293	333	453	391	324	524	790	172	219	251	4,418
Unrestricted Aid	0	12	294	1	1	95	9	1	206	6	8	144	777
All Other	15	109	392	487	325	310	130	302	455	314	327	783	3,949
Total Local Assistance Grants	3,572	8,310	9,004	6,283	7,091	9,412	6,928	6,949	11,030	6,202	6,237	14,546	95,564
Personal Service	1,021	1,119	1,007	981	1,283	993	1,274	1,066	1,010	1,285	1,017	1,059	13,115
Non-Personal Service	87	406	504	515	558	610	592	544	583	533	711	781	6,424
Total State Operations	1,108	1,525	1,511	1,496	1,841	1,603	1,866	1,610	1,593	1,818	1,728	1,840	19,539
General State Charges	439	472	402	510	516	484	609	437	459	495	260	1,725	6,808
Debt service	176	387	420	94	317	960	103	213	1,086	94	476	1,774	6,100
Capital Projects	190	414	455	583	531	538	488	462	487	463	354	1,003	5,968
TOTAL DISBURSEMENTS	5,485	11,108	11,792	8,966	10,296	12,997	9,994	9,671	14,655	9,072	9,055	20,888	133,979
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	2,096	2,002	2,453	2,565	1,745	2,416	2,190	1,704	2,657	2,730	1,611	3,357	27,526
Transfers to other funds	(2,097)	(2,014)	(2,452)	(2,577)	(1,750)	(2,417)	(2,195)	(1,715)	(2,641)	(2,736)	(1,614)	(3,325)	(27,533)
Bond and note proceeds	0	0	0	33	33	33	33	33	33	33	33	136	400
NET OTHER FINANCING SOURCES/(USES)	(1)	(12)	1	21	28	32	28	22	49	27	30	168	393
Excess/(Deficiency) of Receipts over Disbursements	4,626	(3,137)	156	(84)	(244)	392	(630)	(167)	(2,165)	5,384	1,048	(5,322)	(143)
CLOSING BALANCE	7,986	4,849	5,005	4,921	4,677	5,069	4,439	4,272	2,107	7,491	8,539	3,217	

**CASHFLOW
STATE FUNDS
FY 2013
(dollars in millions)**

	2012 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2013 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,559	8,235	5,483	5,997	6,307	5,651	6,101	5,847	5,265	3,151	8,512	9,536		3,559
RECEIPTS:														
Taxes	6,854	3,153	6,786	3,943	4,148	6,964	4,197	3,779	7,177	8,640	4,520	6,146	0	66,307
Miscellaneous Receipts	1,243	1,579	1,929	1,748	1,943	2,673	1,892	2,042	1,823	1,934	1,941	3,570	0	24,317
Federal Grants	4	21	(1)	0	2	54	0	0	13	0	1	51	0	145
TOTAL RECEIPTS	8,101	4,753	8,714	5,691	6,093	9,691	6,089	5,821	9,013	10,574	6,462	9,767	0	90,769
DISBURSEMENTS:														
School Aid	133	2,730	2,022	110	540	3,281	835	1,180	1,685	390	574	6,576	0	20,056
Higher Education	16	18	379	268	298	51	471	22	238	33	304	520	0	2,618
All Other Education	3	61	331	89	426	101	58	281	182	82	189	169	0	1,972
STAR	0	0	400	0	0	188	10	46	2,623	0	0	9	0	3,276
Medicaid - DOH	1,199	1,538	1,043	1,394	1,711	938	1,452	1,646	995	1,398	1,298	1,249	0	15,861
Public Health	2	51	94	248	163	237	159	137	244	173	145	272	0	1,925
Mental Hygiene	78	82	554	156	93	567	330	70	602	278	254	579	0	3,643
Children and Families	3	15	130	108	212	223	75	75	221	112	78	291	0	1,543
Temporary & Disability Assistance	62	327	145	157	134	106	100	100	100	100	30	127	0	1,488
Transportation	119	546	291	330	450	388	321	521	787	169	214	242	0	4,378
Unrestricted Aid	0	12	294	1	1	95	9	1	206	6	8	144	0	777
All Other	12	68	295	356	199	178	93	170	329	197	218	439	0	2,554
Total Local Assistance Grants	1,627	5,448	5,978	3,217	4,227	6,353	3,913	4,249	8,212	2,938	3,312	10,617	0	60,091
Personal Service	980	1,072	962	934	1,221	945	1,226	1,019	961	1,220	968	962	0	12,470
Non-Personal Service	79	346	438	461	486	492	488	472	541	459	573	660	0	5,495
Total State Operations	1,059	1,418	1,400	1,395	1,707	1,437	1,714	1,491	1,502	1,679	1,541	1,622	0	17,965
General State Charges	439	469	363	499	513	439	596	421	408	490	240	1,654	0	6,531
Debt service	176	387	420	94	317	960	103	213	1,086	94	476	1,774	0	6,100
Capital Projects	144	281	336	490	418	424	374	349	395	385	284	1,057	0	4,937
TOTAL DISBURSEMENTS	3,445	8,003	8,497	5,695	7,182	9,613	6,700	6,723	11,603	5,586	5,853	16,724	0	95,624
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	2,105	2,048	2,493	2,603	1,784	2,539	2,327	1,742	2,772	2,768	1,649	3,635	(619)	27,846
Transfers to other funds	(2,085)	(1,550)	(2,196)	(2,322)	(1,384)	(2,200)	(2,003)	(1,455)	(2,329)	(2,428)	(1,267)	(2,938)	619	(23,538)
Bond and note proceeds	0	0	0	33	33	33	33	33	33	33	33	136	0	400
NET OTHER FINANCING SOURCES/(USES)	20	498	297	314	433	372	357	320	476	373	415	833	0	4,708
Excess/(Deficiency) of Receipts over Disbursements	4,676	(2,752)	514	310	(656)	450	(254)	(582)	(2,114)	5,361	1,024	(6,124)	0	(147)
CLOSING BALANCE	8,235	5,483	5,997	6,307	5,651	6,101	5,847	5,265	3,151	8,512	9,536	3,412	0	3,412

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2013 THROUGH FY 2016
(millions of dollars)

	FY 2013	FY 2014	FY 2015	FY 2016
	First Quarter	Projected	Projected	Projected
Opening fund balance	<u>3</u>	<u>0</u>	<u>0</u>	<u>0</u>
Receipts:				
Taxes	1,146	1,123	1,101	1,079
Miscellaneous receipts	<u>4,775</u>	<u>5,061</u>	<u>5,202</u>	<u>5,105</u>
Total receipts	<u>5,921</u>	<u>6,184</u>	<u>6,303</u>	<u>6,184</u>
Disbursements:				
Medical Assistance Account	3,679	3,785	4,025	3,993
HCRA Program Account	476	499	501	501
Hospital Indigent Care Fund	792	792	792	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	162	246	270	282
Child Health Plus (CHP)	342	377	403	319
Public Health	129	129	129	129
All Other	<u>344</u>	<u>356</u>	<u>183</u>	<u>168</u>
Total disbursements	<u>5,924</u>	<u>6,184</u>	<u>6,303</u>	<u>6,184</u>
Change in fund balance	<u>(3)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Closing fund balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

Note: Statutory authorization for HCRA expires on March 31, 2014.

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2013
(millions of dollars)

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
Opening fund balance	<u>3</u>	<u>0</u>	<u>3</u>
Receipts:			
Taxes	1,146	0	1,146
Miscellaneous receipts	<u>4,775</u>	<u>0</u>	<u>4,775</u>
Total receipts	<u>5,921</u>	<u>0</u>	<u>5,921</u>
Disbursements:			
Medical Assistance Account	3,679	0	3,679
HCRA Program Account	476	0	476
Hospital Indigent Care Fund	792	0	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	162	0	162
Child Health Plus (CHP)	342	0	342
Public Health	129	0	129
All Other	<u>344</u>	<u>0</u>	<u>344</u>
Total disbursements	<u>5,924</u>	<u>0</u>	<u>5,924</u>
Change in fund balance	<u>(3)</u>	<u>0</u>	<u>(3)</u>
Closing fund balance	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2012 and FY 2013
(millions of dollars)

	FY 2012 Results	FY 2013 First Quarter	Annual Change
Opening fund balance	<u>159</u>	<u>3</u>	<u>(156)</u>
Receipts:			
Taxes	1,162	1,146	(16)
Miscellaneous receipts	4,155	4,775	620
Total receipts	<u>5,317</u>	<u>5,921</u>	<u>604</u>
Disbursements:			
Medical Assistance Account	3,398	3,679	281
HCRA Program Account	461	476	15
Hospital Indigent Care Fund	785	792	7
Elderly Pharmaceutical Insurance Coverage (EPIC)	102	162	60
Child Health Plus (CHP)	350	342	(8)
Public Health	137	129	(8)
All Other	240	344	104
Total disbursements	<u>5,473</u>	<u>5,924</u>	<u>451</u>
Change in fund balance	<u>(156)</u>	<u>(3)</u>	<u>153</u>
Closing fund balance	<u>3</u>	<u>0</u>	<u>(3)</u>

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2013
(dollars in millions)

	April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening fund balance	3	152	234	390	339	397	293	385	417	319	248	162	3
Receipts:													
Taxes	96	99	97	105	109	107	95	95	100	94	72	77	1,146
Miscellaneous receipts	325	401	385	394	383	326	418	376	385	389	375	618	4,775
Total receipts	421	500	482	499	492	433	513	471	485	483	447	695	5,921
Disbursements:													
Medical Assistance Account	202	315	198	269	286	274	282	286	281	400	361	525	3,679
HCRA Program Account	0	5	9	156	15	39	7	16	99	19	24	87	476
Hospital Indigent Care Fund	69	65	64	66	66	66	66	66	66	66	66	66	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	0	5	6	11	10	11	21	21	21	21	21	14	162
Child Health Plus (CHP)	0	19	17	33	34	48	20	33	33	34	33	38	342
Public Health	0	9	15	8	18	14	8	14	9	10	15	9	129
All Other	1	0	17	7	5	85	17	3	74	4	13	118	344
Total disbursements	272	418	326	550	434	537	421	439	583	554	533	857	5,924
Change in fund balance	149	82	156	(51)	58	(104)	92	32	(98)	(71)	(86)	(162)	(3)
Closing fund balance	152	234	390	339	397	293	385	417	319	248	162	0	0

**CASH FINANCIAL PLAN
PROPRIETARY AND FIDUCIARY FUNDS**
(millions of dollars)

	FY 2013			FY 2014			FY 2015			FY 2016		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
Opening Fund Balance	42	97	(86)	(40)	98	(85)	(138)	98	(84)	(227)	98	(83)
Receipts:												
Unemployment Taxes	0	2,356	0	0	2,481	0	0	2,472	0	0	2,472	0
Miscellaneous Receipts	1,152	170	1	1,119	159	1	1,130	152	1	1,095	139	1
Federal Receipts	0	3,300	0	0	1,000	0	0	1,000	0	0	1,000	0
Total receipts	1,152	5,826	1	1,119	3,640	1	1,130	3,624	1	1,095	3,611	1
Disbursements:												
Departmental Operations:												
Personal Service	123	6	0	125	7	0	127	7	0	130	7	0
Non-Personal Service	1,054	160	0	1,098	149	0	1,071	142	0	1,064	129	0
Unemployment Benefits	0	5,656	0	0	3,481	0	0	3,472	0	0	3,472	0
General State Charges	65	3	0	71	3	0	75	3	0	78	3	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	1,242	5,825	0	1,294	3,640	0	1,273	3,624	0	1,272	3,611	0
Other Financing Sources (Uses):												
Transfers from Other Funds	97	0	0	135	0	0	103	0	0	93	0	0
Transfers to Other Funds	(89)	0	0	(58)	0	0	(49)	0	0	(31)	0	0
	8	0	0	77	0	0	54	0	0	62	0	0
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(82)	1	1	(98)	0	1	(89)	0	1	(115)	0	1
Closing Fund Balance	(40)	98	(85)	(138)	98	(84)	(227)	98	(83)	(342)	98	(82)

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	42,751	50,711	56,295	56,692	57,899	58,726
Local Assistance Grants	15,014	24,603	25,652	24,216	24,216	24,216
State Operations	27,737	26,108	30,643	32,476	33,683	34,510
Personal Service	21,529	18,509	24,538	25,643	26,537	27,104
Non-Personal Service/Indirect Costs	6,208	7,599	6,105	6,833	7,146	7,406
<i>Development Authority of the North Country</i>	10	0	70	0	0	0
Local Assistance Grants	10	0	70	0	0	0
<i>Economic Development, Department of</i>	45,618	84,421	86,774	68,086	76,052	76,307
Local Assistance Grants	25,790	51,314	65,998	47,409	54,772	54,772
State Operations	19,828	33,107	20,776	20,677	21,280	21,535
Personal Service	13,863	11,354	12,601	12,984	13,321	13,576
Non-Personal Service/Indirect Costs	5,965	21,753	8,175	7,693	7,959	7,959
<i>Empire State Development Corporation</i>	35,741	76,487	84,088	71,280	27,800	17,800
Local Assistance Grants	35,741	76,487	84,088	71,280	27,800	17,800
<i>Financial Services, Department of</i>	11,283	95	0	0	0	0
Local Assistance Grants	11,145	95	0	0	0	0
State Operations	138	0	0	0	0	0
Personal Service	138	0	0	0	0	0
<i>Olympic Regional Development Authority</i>	3,471	3,543	2,929	3,056	3,138	3,138
State Operations	3,471	3,543	2,929	3,056	3,138	3,138
Personal Service	2,890	2,849	2,485	2,522	2,548	2,548
Non-Personal Service/Indirect Costs	581	694	444	534	590	590
Functional Total	138,874	215,257	230,156	199,114	164,889	155,971
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,637	4,299	4,146	4,175	4,251	4,337
State Operations	4,637	4,299	4,146	4,175	4,251	4,337
Personal Service	4,234	3,779	3,791	3,820	3,896	3,982
Non-Personal Service/Indirect Costs	403	520	355	355	355	355
<i>Environmental Conservation, Department of</i>	105,995	115,047	96,981	98,510	100,076	101,419
Local Assistance Grants	2,425	4,760	6,325	4,802	4,802	4,802
State Operations	103,570	110,287	90,656	93,708	95,274	96,617
Personal Service	88,184	96,204	80,702	83,754	85,320	86,663
Non-Personal Service/Indirect Costs	15,386	14,083	9,954	9,954	9,954	9,954
<i>Parks, Recreation and Historic Preservation, Office of</i>	131,990	128,296	120,006	111,124	112,472	114,009
Local Assistance Grants	11,025	6,656	11,262	2,750	2,750	2,750
State Operations	120,965	121,640	108,744	108,374	109,722	111,259
Personal Service	109,167	110,931	100,742	100,502	101,850	103,387
Non-Personal Service/Indirect Costs	11,798	10,709	8,002	7,872	7,872	7,872
Functional Total	242,622	247,642	221,133	213,809	216,799	219,765
TRANSPORTATION						
<i>Transportation, Department of</i>	98,892	99,132	100,958	100,206	100,206	100,206
Local Assistance Grants	97,038	98,110	98,303	97,551	97,551	97,551
State Operations	1,854	1,022	2,655	2,655	2,655	2,655
Personal Service	0	0	500	500	500	500
Non-Personal Service/Indirect Costs	1,854	1,022	2,155	2,155	2,155	2,155
Functional Total	98,892	99,132	100,958	100,206	100,206	100,206
HEALTH						
<i>Aging, Office for the</i>	118,710	113,753	117,433	120,062	126,858	133,870
Local Assistance Grants	117,034	111,616	115,697	118,252	124,956	131,901
State Operations	1,676	2,137	1,736	1,810	1,902	1,969
Personal Service	1,641	1,931	1,555	1,619	1,701	1,768
Non-Personal Service/Indirect Costs	35	206	181	191	201	201
<i>Health, Department of</i>	8,316,084	11,118,360	11,476,681	12,071,191	12,418,088	13,324,765
Medical Assistance	6,963,485	9,782,693	10,086,137	10,661,971	11,007,244	11,944,538
Local Assistance Grants	6,940,238	9,767,471	10,034,782	10,605,616	10,951,389	11,888,683
State Operations	23,247	15,222	51,355	56,355	55,855	55,855
Personal Service	500	1,438	500	500	500	500
Non-Personal Service/Indirect Costs	22,747	13,784	50,855	55,855	55,355	55,355
Medicaid Administration	538,370	533,293	568,750	552,250	502,750	443,250

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Local Assistance Grants	538,370	533,293	568,750	552,250	502,750	443,250
Public Health	814,229	802,374	821,794	856,970	908,094	936,977
Local Assistance Grants	647,875	649,730	663,907	671,834	709,438	735,328
State Operations	166,354	152,644	157,887	185,136	198,656	201,649
Personal Service	60,522	60,955	57,236	69,985	76,505	79,498
Non-Personal Service/Indirect Costs	105,832	91,689	100,651	115,151	122,151	122,151
Medicaid Inspector General, Office of the	24,095	21,548	21,095	21,896	23,121	23,592
State Operations	24,095	21,548	21,095	21,896	23,121	23,592
Personal Service	16,930	16,030	14,679	15,150	16,025	16,496
Non-Personal Service/Indirect Costs	7,165	5,518	6,416	6,746	7,096	7,096
Functional Total	8,458,889	11,253,661	11,615,209	12,213,149	12,568,067	13,482,227
SOCIAL WELFARE						
Children and Family Services, Office of	1,928,797	1,824,164	1,826,214	2,173,353	2,258,188	2,358,277
OCFS	1,859,442	1,710,996	1,732,763	2,055,985	2,136,215	2,231,554
Local Assistance Grants	1,594,168	1,486,807	1,448,810	1,773,072	1,867,364	1,965,999
State Operations	265,274	224,189	283,953	282,913	268,851	265,555
Personal Service	163,689	143,835	182,960	171,207	160,048	158,198
Non-Personal Service/Indirect Costs	101,585	80,354	100,993	111,706	108,803	107,357
OCFS - Other	69,355	113,168	93,451	117,368	121,973	126,723
Local Assistance Grants	69,355	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	62,719	60,205	64,164	58,413	58,727	59,212
Local Assistance Grants	43,306	44,110	49,117	43,375	43,375	43,375
State Operations	19,413	16,095	15,047	15,038	15,352	15,837
Personal Service	11,572	8,692	9,479	9,464	9,762	10,182
Non-Personal Service/Indirect Costs	7,841	7,403	5,568	5,574	5,590	5,655
Human Rights, Division of	14,165	12,000	10,755	10,958	11,269	11,614
State Operations	14,165	12,000	10,755	10,958	11,269	11,614
Personal Service	12,932	10,679	9,841	9,927	10,207	10,522
Non-Personal Service/Indirect Costs	1,233	1,321	914	1,031	1,062	1,092
Labor, Department of	11,516	3,512	29,837	2,725	0	0
Local Assistance Grants	11,114	3,512	29,837	2,725	0	0
State Operations	402	0	0	0	0	0
Personal Service	309	0	0	0	0	0
Non-Personal Service/Indirect Costs	93	0	0	0	0	0
National and Community Service	381	332	601	683	687	687
Local Assistance Grants	0	38	350	350	350	350
State Operations	381	294	251	333	337	337
Personal Service	304	292	210	292	295	295
Non-Personal Service/Indirect Costs	77	2	41	41	42	42
Prevention of Domestic Violence, Office for	1,928	1,797	2,092	2,109	2,148	2,192
Local Assistance Grants	666	541	685	685	685	685
State Operations	1,262	1,256	1,407	1,424	1,463	1,507
Personal Service	1,098	1,040	1,313	1,324	1,356	1,389
Non-Personal Service/Indirect Costs	164	216	94	100	107	118
Temporary and Disability Assistance, Office of	1,254,805	1,443,874	1,686,265	1,747,850	1,657,364	1,683,647
Welfare Assistance	1,043,865	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
Local Assistance Grants	1,043,865	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
All Other	210,940	177,008	305,992	306,851	318,016	317,586
Local Assistance Grants	152,334	137,649	103,493	101,893	101,893	103,293
State Operations	58,606	39,359	202,499	204,958	216,123	214,293
Personal Service	14,094	(3,913)	83,922	85,979	90,656	93,056
Non-Personal Service/Indirect Costs	44,512	43,272	118,577	118,979	125,467	121,237
Welfare Inspector General, Office of	326	287	293	307	318	325
State Operations	326	287	293	307	318	325
Personal Service	326	287	293	307	318	325
Functional Total	3,274,637	3,346,171	3,620,221	3,996,398	3,988,701	4,115,954
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	142,096	39,742	37,739	37,408	37,408	37,408
OASAS	93,007	7,591	5,059	4,728	4,728	4,728
Local Assistance Grants	93,007	7,591	5,059	4,728	4,728	4,728
OASAS - Other	49,089	32,151	32,680	32,680	32,680	32,680
Local Assistance Grants	49,089	32,151	32,680	32,680	32,680	32,680

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Mental Health, Office of	539,404	427,441	383,781	411,865	438,687	471,000
OMH	115,992	19,293	1,132	800	800	800
Local Assistance Grants	115,741	19,185	332	0	0	0
State Operations	251	108	800	800	800	800
Personal Service	167	0	0	0	0	0
Non-Personal Service/Indirect Costs	84	108	800	800	800	800
OMH - Other	423,412	408,148	382,649	411,065	437,887	470,200
Local Assistance Grants	423,412	408,148	382,649	411,065	437,887	470,200
People with Developmental Disabilities, Office for	1,557,858	1,594,653	1,524,553	1,691,689	1,784,357	1,845,723
OPWDD	119,052	10,256	950	0	0	0
Local Assistance Grants	119,052	10,256	950	0	0	0
OPWDD - Other	1,438,806	1,584,397	1,523,603	1,691,689	1,784,357	1,845,723
Local Assistance Grants	1,438,806	1,584,397	1,523,603	1,691,689	1,784,357	1,845,723
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,434	3,695	5,320	5,921	6,027	6,142
Local Assistance Grants	229	170	170	170	170	170
State Operations	4,205	3,525	5,150	5,751	5,857	5,972
Personal Service	3,228	2,650	4,183	4,728	4,811	4,901
Non-Personal Service/Indirect Costs	977	875	967	1,023	1,046	1,071
Functional Total	2,243,792	2,065,531	1,951,393	2,146,883	2,266,479	2,360,273
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
State Operations	2,419	2,251	2,672	2,711	2,787	2,864
Personal Service	2,082	1,890	2,398	2,414	2,481	2,548
Non-Personal Service/Indirect Costs	337	361	274	297	306	316
Correctional Services, Department of	2,586,638	2,475,776	2,708,312	2,582,036	2,653,629	2,810,513
Local Assistance Grants	10,386	5,594	6,051	6,000	6,000	6,000
State Operations	2,574,252	2,470,182	2,702,261	2,576,036	2,647,629	2,804,513
Personal Service	2,043,633	1,959,956	2,231,446	2,085,665	2,136,783	2,272,227
Non-Personal Service/Indirect Costs	530,619	510,226	470,815	490,371	510,846	532,286
General State Charges	2,000	0	0	0	0	0
Corrections and Community Supervision Medicaid, Department of	0	0	11,500	11,500	11,500	12,500
Local Assistance Grants	0	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	183,551	170,156	178,587	175,562	173,460	174,877
Local Assistance Grants	127,375	119,311	126,756	118,696	118,856	118,856
State Operations	56,176	50,845	51,831	56,866	54,604	56,021
Personal Service	34,492	32,650	33,540	34,141	34,974	35,930
Non-Personal Service/Indirect Costs	21,684	18,195	18,291	22,725	19,630	20,091
Disaster Assistance	0	20,811	42,700	30,000	34,500	0
State Operations	0	20,811	42,700	30,000	34,500	0
Personal Service	0	9,685	0	0	0	0
Non-Personal Service/Indirect Costs	0	11,126	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	30,067	27,006	39,838	38,248	22,037	10,588
Local Assistance Grants	17,552	19,575	34,088	32,438	15,963	4,222
State Operations	12,515	7,431	5,750	5,810	6,074	6,366
Personal Service	6,197	6,637	5,750	5,810	6,074	6,366
Non-Personal Service/Indirect Costs	6,318	794	0	0	0	0
Judicial Commissions	4,944	4,979	5,452	5,577	5,795	5,990
State Operations	4,944	4,979	5,452	5,577	5,795	5,990
Personal Service	3,723	3,794	4,093	4,124	4,237	4,357
Non-Personal Service/Indirect Costs	1,221	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	21,953	21,669	22,398	22,654	23,007	23,376
Local Assistance Grants	725	761	867	850	850	850
State Operations	21,228	20,908	21,531	21,804	22,157	22,526
Personal Service	17,690	15,798	15,744	15,872	16,077	16,294
Non-Personal Service/Indirect Costs	3,538	5,110	5,787	5,932	6,080	6,232
Public Security and Emergency Response	0	0	600	600	600	600
State Operations	0	0	600	600	600	600
Personal Service	0	0	600	600	600	600
State Police, Division of	463,968	432,573	554,450	567,435	572,633	585,637
State Operations	463,968	432,573	554,450	567,435	572,633	585,637
Personal Service	421,015	400,214	514,900	516,883	520,644	528,648
Non-Personal Service/Indirect Costs	42,953	32,359	39,550	50,552	51,989	56,989
Functional Total	3,293,540	3,155,221	3,566,509	3,436,323	3,499,948	3,626,945

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
HIGHER EDUCATION						
City University of New York	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Local Assistance Grants	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Services Corporation, New York State	789,025	910,001	903,933	985,349	1,014,412	1,025,891
Local Assistance Grants	791,507	907,514	903,933	985,349	1,014,412	1,025,891
State Operations	(2,482)	2,487	0	0	0	0
Non-Personal Service/Indirect Costs	(2,482)	2,487	0	0	0	0
State University of New York	1,710,963	1,627,533	1,356,218	678,106	678,106	678,106
Local Assistance Grants	472,818	481,591	462,404	468,051	468,051	468,051
State Operations	1,029,227	942,702	683,759	0	0	0
Personal Service	760,404	703,450	548,343	0	0	0
Non-Personal Service/Indirect Costs	268,823	239,252	135,416	0	0	0
General State Charges	208,918	203,240	210,055	210,055	210,055	210,055
Functional Total	3,682,801	3,739,944	3,479,335	3,005,822	3,097,646	3,177,269
EDUCATION						
Arts, Council on the	45,173	33,659	39,955	35,957	36,003	36,053
Local Assistance Grants	40,479	29,571	35,835	31,835	31,835	31,835
State Operations	4,694	4,088	4,120	4,122	4,168	4,218
Personal Service	3,098	2,266	2,298	2,300	2,346	2,396
Non-Personal Service/Indirect Costs	1,596	1,822	1,822	1,822	1,822	1,822
Education, Department of	20,206,427	18,507,638	19,006,748	19,867,798	20,743,381	21,764,086
School Aid	18,705,305	16,777,944	17,003,331	17,831,835	18,640,727	19,585,362
Local Assistance Grants	18,705,305	16,777,944	17,003,331	17,831,835	18,640,727	19,585,362
Special Education Categorical Programs	924,218	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
Local Assistance Grants	924,218	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
All Other	576,904	553,704	645,781	580,347	573,438	574,608
Local Assistance Grants	534,933	508,706	603,447	536,468	529,227	530,012
State Operations	40,446	43,412	42,334	43,879	44,211	44,596
Personal Service	24,420	24,983	24,498	24,543	24,875	25,260
Non-Personal Service/Indirect Costs	16,026	18,429	17,836	19,336	19,336	19,336
General State Charges	1,525	1,586	0	0	0	0
Functional Total	20,251,600	18,541,297	19,046,703	19,903,755	20,779,384	21,800,139
GENERAL GOVERNMENT						
Budget, Division of the	22,928	20,635	22,029	22,409	23,948	24,720
State Operations	22,928	20,635	22,029	22,409	23,948	24,720
Personal Service	20,633	19,252	20,177	20,841	22,212	22,939
Non-Personal Service/Indirect Costs	2,295	1,383	1,852	1,568	1,736	1,781
Civil Service, Department of	16,392	13,755	13,575	14,682	15,035	15,428
State Operations	16,392	13,755	13,575	14,682	15,035	15,428
Personal Service	15,599	12,935	12,897	14,009	14,357	14,745
Non-Personal Service/Indirect Costs	793	820	678	673	678	683
Deferred Compensation Board	113	46	53	54	56	57
State Operations	113	46	53	54	56	57
Personal Service	30	30	29	29	30	31
Non-Personal Service/Indirect Costs	83	16	24	25	26	26
Elections, State Board of	6,096	5,566	7,649	5,179	35,316	5,462
Local Assistance Grants	582	415	2,700	0	30,000	0
State Operations	5,514	5,151	4,949	5,179	5,316	5,462
Personal Service	4,205	4,065	4,144	4,254	4,370	4,495
Non-Personal Service/Indirect Costs	1,309	1,086	805	925	946	967
Employee Relations, Office of	3,000	2,604	2,632	2,652	2,728	2,811
State Operations	3,000	2,604	2,632	2,652	2,728	2,811
Personal Service	2,909	2,529	2,551	2,570	2,646	2,728
Non-Personal Service/Indirect Costs	91	75	81	82	82	83
General Services, Office of	119,460	109,503	146,188	130,031	124,633	127,347
Local Assistance Grants	28	0	19	0	0	0
State Operations	119,432	109,503	146,169	130,031	124,633	127,347
Personal Service	52,715	45,756	47,230	48,020	49,082	50,397
Non-Personal Service/Indirect Costs	66,717	63,747	98,939	82,011	75,551	76,950
Inspector General, Office of the	5,633	5,392	6,523	6,630	6,883	7,109
State Operations	5,633	5,392	6,523	6,630	6,883	7,109
Personal Service	5,519	5,067	6,083	6,129	6,301	6,485

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Non-Personal Service/Indirect Costs	114	325	440	501	582	624
Labor Management Committees	32,243	23,192	33,538	32,792	34,944	40,109
State Operations	32,243	23,192	33,538	32,792	34,944	40,109
Personal Service	9,872	8,359	5,500	5,504	6,119	6,366
Non-Personal Service/Indirect Costs	22,371	14,833	28,038	27,288	28,825	33,743
Public Employment Relations Board	3,660	3,309	3,340	3,655	3,761	3,853
State Operations	3,660	3,309	3,340	3,655	3,761	3,853
Personal Service	3,211	2,907	2,938	2,960	3,046	3,138
Non-Personal Service/Indirect Costs	449	402	402	695	715	715
Public Integrity, Commission on	3,794	3,217	4,016	4,486	4,600	4,720
State Operations	3,794	3,217	4,016	4,486	4,600	4,720
Personal Service	3,017	2,492	3,166	3,190	3,282	3,380
Non-Personal Service/Indirect Costs	777	725	850	1,296	1,318	1,340
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State Operations	1,653	83	0	0	0	0
Personal Service	1,537	80	0	0	0	0
Non-Personal Service/Indirect Costs	116	3	0	0	0	0
State, Department of	36,483	23,909	30,912	19,187	19,706	19,969
Local Assistance Grants	19,682	9,417	15,579	3,338	3,338	3,338
State Operations	16,801	14,492	15,333	15,849	16,368	16,631
Personal Service	12,295	10,688	12,721	13,091	13,468	13,731
Non-Personal Service/Indirect Costs	4,506	3,804	2,612	2,758	2,900	2,900
Tax Appeals, Division of	3,134	2,850	3,101	2,813	2,894	2,948
State Operations	3,134	2,850	3,101	2,813	2,894	2,948
Personal Service	2,776	2,483	2,900	2,601	2,673	2,727
Non-Personal Service/Indirect Costs	358	367	201	212	221	221
Taxation and Finance, Department of	366,317	314,780	324,461	325,015	335,037	341,752
Local Assistance Grants	5,270	6,487	926	926	926	926
State Operations	361,047	308,293	323,535	324,089	334,111	340,826
Personal Service	296,271	249,825	260,866	259,558	267,933	274,648
Non-Personal Service/Indirect Costs	64,776	58,468	62,669	64,531	66,178	66,178
Technology, Office for	22,902	19,129	21,994	21,305	23,877	23,485
Local Assistance Grants	884	171	0	0	0	0
State Operations	22,018	18,958	21,994	21,305	23,877	23,485
Personal Service	11,208	10,456	13,586	13,595	13,907	14,246
Non-Personal Service/Indirect Costs	10,810	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	14,069	12,884	14,106	12,949	13,155	13,383
Local Assistance Grants	8,044	7,572	8,117	7,397	7,457	7,517
State Operations	6,025	5,312	5,989	5,552	5,698	5,866
Personal Service	5,570	4,868	5,050	5,123	5,259	5,416
Non-Personal Service/Indirect Costs	455	444	939	429	439	450
Functional Total	657,877	560,854	634,117	603,839	646,573	633,153
ELECTED OFFICIALS						
Audit and Control, Department of	167,190	152,602	155,642	157,172	160,521	164,148
Local Assistance Grants	31,598	32,005	32,024	32,024	32,024	32,024
State Operations	135,592	120,597	123,618	125,148	128,497	132,124
Personal Service	107,384	93,084	97,964	98,828	101,494	104,421
Non-Personal Service/Indirect Costs	28,208	27,513	25,654	26,320	27,003	27,703
Executive Chamber	12,880	13,256	13,578	13,836	14,773	15,185
State Operations	12,880	13,256	13,578	13,836	14,773	15,185
Personal Service	10,963	10,210	11,338	11,495	12,238	12,589
Non-Personal Service/Indirect Costs	1,917	3,046	2,240	2,341	2,535	2,596
Judiciary	2,339,911	2,306,525	2,312,000	2,444,446	2,569,206	2,696,602
Local Assistance Grants	4,884	2,502	2,500	17,500	17,500	17,500
State Operations	1,792,790	1,731,513	1,749,600	1,807,614	1,892,952	1,986,894
Personal Service	1,467,042	1,408,958	1,410,600	1,456,134	1,503,142	1,559,092
Non-Personal Service/Indirect Costs	325,748	322,555	339,000	351,480	389,810	427,802
General State Charges	542,237	572,510	559,900	619,332	658,754	692,208
Law, Department of	110,613	98,360	98,914	96,220	98,947	101,937
State Operations	110,613	98,360	98,914	96,220	98,947	101,937
Personal Service	96,314	83,740	83,937	83,944	86,364	89,040
Non-Personal Service/Indirect Costs	14,299	14,620	14,977	12,276	12,583	12,897
Legislature	221,740	196,024	217,845	220,399	222,995	225,633
State Operations	221,740	196,024	217,845	220,399	222,995	225,633
Personal Service	174,096	151,882	165,284	166,524	167,773	169,031
Non-Personal Service/Indirect Costs	47,644	44,142	52,561	53,875	55,222	56,602

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Lieutenant Governor, Office of the	304	408	614	614	665	680
State Operations	304	408	614	614	665	680
Personal Service	281	289	480	494	515	543
Non-Personal Service/Indirect Costs	23	119	134	120	150	137
Functional Total	2,852,638	2,767,175	2,798,593	2,932,687	3,067,107	3,204,185
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	738,940	721,034	739,655	757,414	772,589	775,357
Local Assistance Grants	738,940	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,604	4,714	9,636	3,805	0	0
Local Assistance Grants	4,604	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Local Assistance Grants	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Local Assistance Grants	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
Local Assistance Grants	2,066	217	218	218	218	218
Functional Total	775,330	753,792	777,376	789,264	800,634	803,402
ALL OTHER CATEGORIES						
General State Charges	3,432,021	3,941,782	3,724,334	4,055,224	4,361,309	4,619,948
State Operations	5,561	3,676	0	0	0	0
Personal Service	3,835	2,091	0	0	0	0
Non-Personal Service/Indirect Costs	1,726	1,585	0	0	0	0
General State Charges	3,426,460	3,938,106	3,724,334	4,055,224	4,361,309	4,619,948
Long-Term Debt Service	0	551	0	0	0	0
State Operations	0	551	0	0	0	0
Non-Personal Service/Indirect Costs	0	551	0	0	0	0
Miscellaneous	(37,343)	(55,015)	404,513	279,824	304,356	451,071
Local Assistance Grants	(44,723)	(60,566)	336,957	382,677	325,882	401,790
State Operations	1,549	1,447	63,136	(107,273)	(25,946)	44,861
Personal Service	25	51	25,052	(24,948)	(9,947)	45,054
Non-Personal Service/Indirect Costs	1,524	1,396	38,084	(82,325)	(15,999)	(193)
General State Charges	5,831	4,104	4,420	4,420	4,420	4,420
Functional Total	3,394,678	3,887,318	4,128,847	4,335,048	4,665,665	5,071,019
TOTAL GENERAL FUND SPENDING	49,366,170	50,632,995	52,170,550	53,876,297	55,862,098	58,750,508

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	42,751	50,711	56,295	56,692	57,899	58,726
Development Authority of the North Country	10	0	70	0	0	0
Economic Development, Department of	45,618	84,421	86,774	68,086	76,052	76,307
Empire State Development Corporation	35,741	76,487	84,088	71,280	27,800	17,800
Financial Services, Department of	11,283	95	0	0	0	0
Olympic Regional Development Authority	3,471	3,543	2,929	3,056	3,138	3,138
Functional Total	138,874	215,257	230,156	199,114	164,889	155,971
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,637	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	105,995	115,047	96,981	98,510	100,076	101,419
Parks, Recreation and Historic Preservation, Office of	131,990	128,296	120,006	111,124	112,472	114,009
Functional Total	242,622	247,642	221,133	213,809	216,799	219,765
TRANSPORTATION						
Transportation, Department of	98,892	99,132	100,958	100,206	100,206	100,206
Functional Total	98,892	99,132	100,958	100,206	100,206	100,206
HEALTH						
Aging, Office for the	118,710	113,753	117,433	120,062	126,858	133,870
Health, Department of	8,316,084	11,118,360	11,476,681	12,071,191	12,418,088	13,324,765
<i>Medical Assistance</i>	6,963,485	9,782,693	10,086,137	10,661,971	11,007,244	11,944,538
<i>Medicaid Administration</i>	538,370	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	814,229	802,374	821,794	856,970	908,094	936,977
Medicaid Inspector General, Office of the	24,095	21,548	21,095	21,896	23,121	23,592
Functional Total	8,458,889	11,253,661	11,615,209	12,213,149	12,568,067	13,482,227
SOCIAL WELFARE						
Children and Family Services, Office of	1,928,797	1,824,164	1,826,214	2,173,353	2,258,188	2,358,277
<i>OCFS</i>	1,859,442	1,710,996	1,732,763	2,055,985	2,136,215	2,231,554
<i>OCFS - Other</i>	69,355	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	62,719	60,205	64,164	58,413	58,727	59,212
Human Rights, Division of	14,165	12,000	10,755	10,958	11,269	11,614
Labor, Department of	11,516	3,512	29,837	2,725	0	0
National and Community Service	381	332	601	683	687	687
Prevention of Domestic Violence, Office for	1,928	1,797	2,092	2,109	2,148	2,192
Temporary and Disability Assistance, Office of	1,254,805	1,443,874	1,686,265	1,747,850	1,657,364	1,683,647
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	210,940	177,008	305,992	306,851	318,016	317,586
Welfare Inspector General, Office of	326	287	293	307	318	325
Functional Total	3,274,637	3,346,171	3,620,221	3,996,398	3,988,701	4,115,954
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	142,096	39,742	37,739	37,408	37,408	37,408
<i>OASAS</i>	93,007	7,591	5,059	4,728	4,728	4,728
<i>OASAS - Other</i>	49,089	32,151	32,680	32,680	32,680	32,680
Mental Health, Office of	539,404	427,441	383,781	411,865	438,687	471,000
<i>OMH</i>	115,992	19,293	1,132	800	800	800
<i>OMH - Other</i>	423,412	408,148	382,649	411,065	437,887	470,200
People with Developmental Disabilities, Office for	1,557,858	1,594,653	1,524,553	1,691,689	1,784,357	1,845,723
<i>OPWDD</i>	119,052	10,256	950	0	0	0
<i>OPWDD - Other</i>	1,438,806	1,584,397	1,523,603	1,691,689	1,784,357	1,845,723
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,434	3,695	5,320	5,921	6,027	6,142
Functional Total	2,243,792	2,065,531	1,951,393	2,146,883	2,266,479	2,360,273
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,586,638	2,475,776	2,708,312	2,582,036	2,653,629	2,810,513
Corrections and Community Supervision Medicaid, Department of	0	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	183,551	170,156	178,587	175,562	173,460	174,877
Disaster Assistance	0	20,811	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	30,067	27,006	39,838	38,248	22,037	10,588
Judicial Commissions	4,944	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	21,953	21,669	22,398	22,654	23,007	23,376
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	463,968	432,573	554,450	567,435	572,633	585,637
Functional Total	3,293,540	3,155,221	3,566,509	3,436,323	3,499,948	3,626,945
HIGHER EDUCATION						
City University of New York	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Services Corporation, New York State	789,025	910,001	903,933	985,349	1,014,412	1,025,891
State University of New York	1,710,963	1,627,533	1,356,218	678,106	678,106	678,106

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Functional Total	3,682,801	3,739,944	3,479,335	3,005,822	3,097,646	3,177,269
EDUCATION						
Arts, Council on the	45,173	33,659	39,955	35,957	36,003	36,053
Education, Department of	20,206,427	18,507,638	19,006,748	19,867,798	20,743,381	21,764,086
<i>School Aid</i>	18,705,305	16,777,944	17,003,331	17,831,835	18,640,727	19,585,362
<i>Special Education Categorical Programs</i>	924,218	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<i>All Other</i>	576,904	553,704	645,781	580,347	573,438	574,608
Functional Total	20,251,600	18,541,297	19,046,703	19,903,755	20,779,384	21,800,139
GENERAL GOVERNMENT						
Budget, Division of the	22,928	20,635	22,029	22,409	23,948	24,720
Civil Service, Department of	16,392	13,755	13,575	14,682	15,035	15,428
Deferred Compensation Board	113	46	53	54	56	57
Elections, State Board of	6,096	5,566	7,649	5,179	35,316	5,462
Employee Relations, Office of	3,000	2,604	2,632	2,652	2,728	2,811
General Services, Office of	119,460	109,503	146,188	130,031	124,633	127,347
Inspector General, Office of the	5,633	5,392	6,523	6,630	6,883	7,109
Labor Management Committees	32,243	23,192	33,538	32,792	34,944	40,109
Public Employment Relations Board	3,660	3,309	3,340	3,655	3,761	3,853
Public Integrity, Commission on	3,794	3,217	4,016	4,486	4,600	4,720
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	36,483	23,909	30,912	19,187	19,706	19,969
Tax Appeals, Division of	3,134	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	366,317	314,780	324,461	325,015	335,037	341,752
Technology, Office for	22,902	19,129	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	14,069	12,884	14,106	12,949	13,155	13,383
Functional Total	657,877	560,854	634,117	603,839	646,573	633,153
ELECTED OFFICIALS						
Audit and Control, Department of	167,190	152,602	155,642	157,172	160,521	164,148
Executive Chamber	12,880	13,256	13,578	13,836	14,773	15,185
Judiciary	2,339,911	2,306,525	2,312,000	2,444,446	2,569,206	2,696,602
Law, Department of	110,613	98,360	98,914	96,220	98,947	101,937
Legislature	221,740	196,024	217,845	220,399	222,995	225,633
Lieutenant Governor, Office of the	304	408	614	614	665	680
Functional Total	2,852,638	2,767,175	2,798,593	2,932,687	3,067,107	3,204,185
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	738,940	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,604	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
Functional Total	775,330	753,792	777,376	789,264	800,634	803,402
ALL OTHER CATEGORIES						
General State Charges	3,432,021	3,941,782	3,724,334	4,055,224	4,361,309	4,619,948
Long-Term Debt Service	0	551	0	0	0	0
Miscellaneous	(37,343)	(55,015)	404,513	279,824	304,356	451,071
Functional Total	3,394,678	3,887,318	4,128,847	4,335,048	4,665,665	5,071,019
TOTAL GENERAL FUND SPENDING	49,366,170	50,632,995	52,170,550	53,876,297	55,862,098	58,750,508

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	15,014	24,603	25,652	24,216	24,216	24,216
Development Authority of the North Country	10	0	70	0	0	0
Economic Development, Department of	25,790	51,314	65,998	47,409	54,772	54,772
Empire State Development Corporation	35,741	76,487	84,088	71,280	27,800	17,800
Financial Services, Department of	11,145	95	0	0	0	0
Functional Total	87,700	152,499	175,808	142,905	106,788	96,788
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,425	4,760	6,325	4,802	4,802	4,802
Parks, Recreation and Historic Preservation, Office of	11,025	6,656	11,262	2,750	2,750	2,750
Functional Total	13,450	11,416	17,587	7,552	7,552	7,552
TRANSPORTATION						
Transportation, Department of	97,038	98,110	98,303	97,551	97,551	97,551
Functional Total	97,038	98,110	98,303	97,551	97,551	97,551
HEALTH						
Aging, Office for the	117,034	111,616	115,697	118,252	124,956	131,901
Health, Department of	8,126,483	10,950,494	11,267,439	11,829,700	12,163,577	13,067,261
<i>Medical Assistance</i>	6,940,238	9,767,471	10,034,782	10,605,616	10,951,389	11,888,683
<i>Medicaid Administration</i>	538,370	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	647,875	649,730	663,907	671,834	709,438	735,328
Functional Total	8,243,517	11,062,110	11,383,136	11,947,952	12,288,533	13,199,162
SOCIAL WELFARE						
Children and Family Services, Office of	1,663,523	1,599,975	1,542,261	1,890,440	1,989,337	2,092,722
<i>OCFS</i>	1,594,168	1,486,807	1,448,810	1,773,072	1,867,364	1,965,999
<i>OCFS - Other</i>	69,355	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	43,306	44,110	49,117	43,375	43,375	43,375
Labor, Department of	11,114	3,512	29,837	2,725	0	0
National and Community Service	0	38	350	350	350	350
Prevention of Domestic Violence, Office for	666	541	685	685	685	685
Temporary and Disability Assistance, Office of	1,196,199	1,404,515	1,483,766	1,542,892	1,441,241	1,469,354
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	152,334	137,649	103,493	101,893	101,893	103,293
Functional Total	2,914,808	3,052,691	3,106,016	3,480,467	3,474,988	3,606,486
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	142,096	39,742	37,739	37,408	37,408	37,408
<i>OASAS</i>	93,007	7,591	5,059	4,728	4,728	4,728
<i>OASAS - Other</i>	49,089	32,151	32,680	32,680	32,680	32,680
Mental Health, Office of	539,153	427,333	382,981	411,065	437,887	470,200
<i>OMH</i>	115,741	19,185	332	0	0	0
<i>OMH - Other</i>	423,412	408,148	382,649	411,065	437,887	470,200
People with Developmental Disabilities, Office for	1,557,858	1,594,653	1,524,553	1,691,689	1,784,357	1,845,723
<i>OPWDD</i>	119,052	10,256	950	0	0	0
<i>OPWDD - Other</i>	1,438,806	1,584,397	1,523,603	1,691,689	1,784,357	1,845,723
Quality of Care and Advocacy for Persons With Disabilities, Commission on	229	170	170	170	170	170
Functional Total	2,239,336	2,061,898	1,945,443	2,140,332	2,259,822	2,353,501
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	10,386	5,594	6,051	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	127,375	119,311	126,756	118,696	118,856	118,856
Homeland Security and Emergency Services, Division of	17,552	19,575	34,088	32,438	15,963	4,222
Military and Naval Affairs, Division of	725	761	867	850	850	850
Functional Total	156,038	145,241	179,262	169,484	153,169	142,428
HIGHER EDUCATION						
City University of New York	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Services Corporation, New York State	791,507	907,514	903,933	985,349	1,014,412	1,025,891
State University of New York	472,818	481,591	462,404	468,051	468,051	468,051
Functional Total	2,447,138	2,591,515	2,585,521	2,795,767	2,887,591	2,967,214
EDUCATION						
Arts, Council on the	40,479	29,571	35,835	31,835	31,835	31,835
Education, Department of	20,164,456	18,462,640	18,964,414	19,823,919	20,699,170	21,719,490
<i>School Aid</i>	18,705,305	16,777,944	17,003,331	17,831,835	18,640,727	19,585,362
<i>Special Education Categorical Programs</i>	924,218	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<i>All Other</i>	534,933	508,706	603,447	536,468	529,227	530,012
Functional Total	20,204,935	18,492,211	19,000,249	19,855,754	20,731,005	21,751,325

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
GENERAL GOVERNMENT						
Elections, State Board of	582	415	2,700	0	30,000	0
General Services, Office of	28	0	19	0	0	0
State, Department of	19,682	9,417	15,579	3,338	3,338	3,338
Taxation and Finance, Department of	5,270	6,487	926	926	926	926
Technology, Office for	884	171	0	0	0	0
Veterans' Affairs, Division of	8,044	7,572	8,117	7,397	7,457	7,517
Functional Total	34,490	24,062	27,341	11,661	41,721	11,781
ELECTED OFFICIALS						
Audit and Control, Department of	31,598	32,005	32,024	32,024	32,024	32,024
Judiciary	4,884	2,502	2,500	17,500	17,500	17,500
Functional Total	36,482	34,507	34,524	49,524	49,524	49,524
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	738,940	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,604	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
Functional Total	775,330	753,792	777,376	789,264	800,634	803,402
ALL OTHER CATEGORIES						
Miscellaneous	(44,723)	(60,566)	336,957	382,677	325,882	401,790
Functional Total	(44,723)	(60,566)	336,957	382,677	325,882	401,790
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	37,205,539	38,419,486	39,667,523	41,870,890	43,224,760	45,488,504

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	27,737	26,108	30,643	32,476	33,683	34,510
Economic Development, Department of	19,828	33,107	20,776	20,677	21,280	21,535
Financial Services, Department of	138	0	0	0	0	0
Olympic Regional Development Authority	3,471	3,543	2,929	3,056	3,138	3,138
Functional Total	51,174	62,758	54,348	56,209	58,101	59,183
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,637	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	103,570	110,287	90,656	93,708	95,274	96,617
Parks, Recreation and Historic Preservation, Office of	120,965	121,640	108,744	108,374	109,722	111,259
Functional Total	229,172	236,226	203,546	206,257	209,247	212,213
TRANSPORTATION						
Transportation, Department of	1,854	1,022	2,655	2,655	2,655	2,655
Functional Total	1,854	1,022	2,655	2,655	2,655	2,655
HEALTH						
Aging, Office for the	1,676	2,137	1,736	1,810	1,902	1,969
Health, Department of	189,601	167,866	209,242	241,491	254,511	257,504
<i>Medical Assistance</i>	23,247	15,222	51,355	56,355	55,855	55,855
<i>Public Health</i>	166,354	152,644	157,887	185,136	198,656	201,649
Medicaid Inspector General, Office of the	24,095	21,548	21,095	21,896	23,121	23,592
Functional Total	215,372	191,551	232,073	265,197	279,534	283,065
SOCIAL WELFARE						
Children and Family Services, Office of	265,274	224,189	283,953	282,913	268,851	265,555
<i>OCFS</i>	265,274	224,189	283,953	282,913	268,851	265,555
Housing and Community Renewal, Division of	19,413	16,095	15,047	15,038	15,352	15,837
Human Rights, Division of	14,165	12,000	10,755	10,958	11,269	11,614
Labor, Department of	402	0	0	0	0	0
National and Community Service	381	294	251	333	337	337
Prevention of Domestic Violence, Office for	1,262	1,256	1,407	1,424	1,463	1,507
Temporary and Disability Assistance, Office of	58,606	39,359	202,499	204,958	216,123	214,293
<i>All Other</i>	58,606	39,359	202,499	204,958	216,123	214,293
Welfare Inspector General, Office of	326	287	293	307	318	325
Functional Total	359,829	293,480	514,205	515,931	513,713	509,468
MENTAL HYGIENE						
Mental Health, Office of	251	108	800	800	800	800
<i>OMH</i>	251	108	800	800	800	800
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,205	3,525	5,150	5,751	5,857	5,972
Functional Total	4,456	3,633	5,950	6,551	6,657	6,772
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,574,252	2,470,182	2,702,261	2,576,036	2,647,629	2,804,513
Criminal Justice Services, Division of	56,176	50,845	51,831	56,866	54,604	56,021
Disaster Assistance	0	20,811	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	12,515	7,431	5,750	5,810	6,074	6,366
Judicial Commissions	4,944	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	21,228	20,908	21,531	21,804	22,157	22,526
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	463,968	432,573	554,450	567,435	572,633	585,637
Functional Total	3,135,502	3,009,980	3,387,247	3,266,839	3,346,779	3,484,517
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	(2,482)	2,487	0	0	0	0
State University of New York	1,029,227	942,702	683,759	0	0	0
Functional Total	1,026,745	945,189	683,759	0	0	0
EDUCATION						
Arts, Council on the	4,694	4,088	4,120	4,122	4,168	4,218
Education, Department of	40,446	43,412	42,334	43,879	44,211	44,596
<i>All Other</i>	40,446	43,412	42,334	43,879	44,211	44,596
Functional Total	45,140	47,500	46,454	48,001	48,379	48,814
GENERAL GOVERNMENT						
Budget, Division of the	22,928	20,635	22,029	22,409	23,948	24,720
Civil Service, Department of	16,392	13,755	13,575	14,682	15,035	15,428
Deferred Compensation Board	113	46	53	54	56	57
Elections, State Board of	5,514	5,151	4,949	5,179	5,316	5,462
Employee Relations, Office of	3,000	2,604	2,632	2,652	2,728	2,811
General Services, Office of	119,432	109,503	146,169	130,031	124,633	127,347
Inspector General, Office of the	5,633	5,392	6,523	6,630	6,883	7,109

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Labor Management Committees	32,243	23,192	33,538	32,792	34,944	40,109
Public Employment Relations Board	3,660	3,309	3,340	3,655	3,761	3,853
Public Integrity, Commission on	3,794	3,217	4,016	4,486	4,600	4,720
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	16,801	14,492	15,333	15,849	16,368	16,631
Tax Appeals, Division of	3,134	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	361,047	308,293	323,535	324,089	334,111	340,826
Technology, Office for	22,018	18,958	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	6,025	5,312	5,989	5,552	5,698	5,866
Functional Total	623,387	536,792	606,776	592,178	604,852	621,372
ELECTED OFFICIALS						
Audit and Control, Department of	135,592	120,597	123,618	125,148	128,497	132,124
Executive Chamber	12,880	13,256	13,578	13,836	14,773	15,185
Judiciary	1,792,790	1,731,513	1,749,600	1,807,614	1,892,952	1,986,894
Law, Department of	110,613	98,360	98,914	96,220	98,947	101,937
Legislature	221,740	196,024	217,845	220,399	222,995	225,633
Lieutenant Governor, Office of the	304	408	614	614	665	680
Functional Total	2,273,919	2,160,158	2,204,169	2,263,831	2,358,829	2,462,453
ALL OTHER CATEGORIES						
General State Charges	5,561	3,676	0	0	0	0
Long-Term Debt Service	0	551	0	0	0	0
Miscellaneous	1,549	1,447	63,136	(107,273)	(25,946)	44,861
Functional Total	7,110	5,674	63,136	(107,273)	(25,946)	44,861
TOTAL STATE OPERATIONS SPENDING	7,973,660	7,493,963	8,004,318	7,116,376	7,402,800	7,735,373

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	21,529	18,509	24,538	25,643	26,537	27,104
Economic Development, Department of	13,863	11,354	12,601	12,984	13,321	13,576
Financial Services, Department of	138	0	0	0	0	0
Olympic Regional Development Authority	2,890	2,849	2,485	2,522	2,548	2,548
Functional Total	38,420	32,712	39,624	41,149	42,406	43,228
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,234	3,779	3,791	3,820	3,896	3,982
Environmental Conservation, Department of	88,184	96,204	80,702	83,754	85,320	86,663
Parks, Recreation and Historic Preservation, Office of	109,167	110,931	100,742	100,502	101,850	103,387
Functional Total	201,585	210,914	185,235	188,076	191,066	194,032
TRANSPORTATION						
Transportation, Department of	0	0	500	500	500	500
Functional Total	0	0	500	500	500	500
HEALTH						
Aging, Office for the	1,641	1,931	1,555	1,619	1,701	1,768
Health, Department of	61,022	62,393	57,736	70,485	77,005	79,998
<i>Medical Assistance</i>	500	1,438	500	500	500	500
<i>Public Health</i>	60,522	60,955	57,236	69,985	76,505	79,498
Medicaid Inspector General, Office of the	16,930	16,030	14,679	15,150	16,025	16,496
Functional Total	79,593	80,354	73,970	87,254	94,731	98,262
SOCIAL WELFARE						
Children and Family Services, Office of	163,689	143,835	182,960	171,207	160,048	158,198
<i>OCFS</i>	163,689	143,835	182,960	171,207	160,048	158,198
Housing and Community Renewal, Division of	11,572	8,692	9,479	9,464	9,762	10,182
Human Rights, Division of	12,932	10,679	9,841	9,927	10,207	10,522
Labor, Department of	309	0	0	0	0	0
National and Community Service	304	292	210	292	295	295
Prevention of Domestic Violence, Office for	1,098	1,040	1,313	1,324	1,356	1,389
Temporary and Disability Assistance, Office of	14,094	(3,913)	83,922	85,979	90,656	93,056
<i>All Other</i>	14,094	(3,913)	83,922	85,979	90,656	93,056
Welfare Inspector General, Office of	326	287	293	307	318	325
Functional Total	204,324	160,912	288,018	278,500	272,642	273,967
MENTAL HYGIENE						
Mental Health, Office of	167	0	0	0	0	0
<i>OMH</i>	167	0	0	0	0	0
Quality of Care and Advocacy for Persons With Disabilities, Commission on	3,228	2,650	4,183	4,728	4,811	4,901
Functional Total	3,395	2,650	4,183	4,728	4,811	4,901
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,082	1,890	2,398	2,414	2,481	2,548
Correctional Services, Department of	2,043,633	1,959,956	2,231,446	2,085,665	2,136,783	2,272,227
Criminal Justice Services, Division of	34,492	32,650	33,540	34,141	34,974	35,930
Disaster Assistance	0	9,685	0	0	0	0
Homeland Security and Emergency Services, Division of	6,197	6,637	5,750	5,810	6,074	6,366
Judicial Commissions	3,723	3,794	4,093	4,124	4,237	4,357
Military and Naval Affairs, Division of	17,690	15,798	15,744	15,872	16,077	16,294
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	421,015	400,214	514,900	516,883	520,644	528,648
Functional Total	2,528,832	2,430,624	2,808,471	2,665,509	2,721,870	2,866,970
HIGHER EDUCATION						
State University of New York	760,404	703,450	548,343	0	0	0
Functional Total	760,404	703,450	548,343	0	0	0
EDUCATION						
Arts, Council on the	3,098	2,266	2,298	2,300	2,346	2,396
Education, Department of	24,420	24,983	24,498	24,543	24,875	25,260
<i>All Other</i>	24,420	24,983	24,498	24,543	24,875	25,260
Functional Total	27,518	27,249	26,796	26,843	27,221	27,656
GENERAL GOVERNMENT						
Budget, Division of the	20,633	19,252	20,177	20,841	22,212	22,939
Civil Service, Department of	15,599	12,935	12,897	14,009	14,357	14,745
Deferred Compensation Board	30	30	29	29	30	31
Elections, State Board of	4,205	4,065	4,144	4,254	4,370	4,495
Employee Relations, Office of	2,909	2,529	2,551	2,570	2,646	2,728

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
General Services, Office of	52,715	45,756	47,230	48,020	49,082	50,397
Inspector General, Office of the	5,519	5,067	6,083	6,129	6,301	6,485
Labor Management Committees	9,872	8,359	5,500	5,504	6,119	6,366
Public Employment Relations Board	3,211	2,907	2,938	2,960	3,046	3,138
Public Integrity, Commission on	3,017	2,492	3,166	3,190	3,282	3,380
Regulatory Reform, Governor's Office of	1,537	80	0	0	0	0
State, Department of	12,295	10,688	12,721	13,091	13,468	13,731
Tax Appeals, Division of	2,776	2,483	2,900	2,601	2,673	2,727
Taxation and Finance, Department of	296,271	249,825	260,866	259,558	267,933	274,648
Technology, Office for	11,208	10,456	13,586	13,595	13,907	14,246
Veterans' Affairs, Division of	5,570	4,868	5,050	5,123	5,259	5,416
Functional Total	447,367	381,792	399,838	401,474	414,685	425,472
ELECTED OFFICIALS						
Audit and Control, Department of	107,384	93,084	97,964	98,828	101,494	104,421
Executive Chamber	10,963	10,210	11,338	11,495	12,238	12,589
Judiciary	1,467,042	1,408,958	1,410,600	1,456,134	1,503,142	1,559,092
Law, Department of	96,314	83,740	83,937	83,944	86,364	89,040
Legislature	174,096	151,882	165,284	166,524	167,773	169,031
Lieutenant Governor, Office of the	281	289	480	494	515	543
Functional Total	1,856,080	1,748,163	1,769,603	1,817,419	1,871,526	1,934,716
ALL OTHER CATEGORIES						
General State Charges	3,835	2,091	0	0	0	0
Miscellaneous	25	51	25,052	(24,948)	(9,947)	45,054
Functional Total	3,860	2,142	25,052	(24,948)	(9,947)	45,054
TOTAL PERSONAL SERVICE SPENDING	6,151,378	5,780,962	6,169,633	5,486,504	5,631,511	5,914,758

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	6,208	7,599	6,105	6,833	7,146	7,406
Economic Development, Department of	5,965	21,753	8,175	7,693	7,959	7,959
Olympic Regional Development Authority	581	694	444	534	590	590
Functional Total	12,754	30,046	14,724	15,060	15,695	15,955
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	403	520	355	355	355	355
Environmental Conservation, Department of	15,386	14,083	9,954	9,954	9,954	9,954
Parks, Recreation and Historic Preservation, Office of	11,798	10,709	8,002	7,872	7,872	7,872
Functional Total	27,587	25,312	18,311	18,181	18,181	18,181
TRANSPORTATION						
Transportation, Department of	1,854	1,022	2,155	2,155	2,155	2,155
Functional Total	1,854	1,022	2,155	2,155	2,155	2,155
HEALTH						
Aging, Office for the	35	206	181	191	201	201
Health, Department of	128,579	105,473	151,506	171,006	177,506	177,506
<i>Medical Assistance</i>	22,747	13,784	50,855	55,855	55,355	55,355
<i>Public Health</i>	105,832	91,689	100,651	115,151	122,151	122,151
Medicaid Inspector General, Office of the	7,165	5,518	6,416	6,746	7,096	7,096
Functional Total	135,779	111,197	158,103	177,943	184,803	184,803
SOCIAL WELFARE						
Children and Family Services, Office of	101,585	80,354	100,993	111,706	108,803	107,357
<i>OCFS</i>	101,585	80,354	100,993	111,706	108,803	107,357
Housing and Community Renewal, Division of	7,841	7,403	5,568	5,574	5,590	5,655
Human Rights, Division of	1,233	1,321	914	1,031	1,062	1,092
Labor, Department of	93	0	0	0	0	0
National and Community Service	77	2	41	41	42	42
Prevention of Domestic Violence, Office for	164	216	94	100	107	118
Temporary and Disability Assistance, Office of	44,512	43,272	118,577	118,979	125,467	121,237
<i>All Other</i>	44,512	43,272	118,577	118,979	125,467	121,237
Functional Total	155,505	132,568	226,187	237,431	241,071	235,501
MENTAL HYGIENE						
Mental Health, Office of	84	108	800	800	800	800
<i>OMH</i>	84	108	800	800	800	800
Quality of Care and Advocacy for Persons With Disabilities, Commission on	977	875	967	1,023	1,046	1,071
Functional Total	1,061	983	1,767	1,823	1,846	1,871
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	337	361	274	297	306	316
Correctional Services, Department of	530,619	510,226	470,815	490,371	510,846	532,286
Criminal Justice Services, Division of	21,684	18,195	18,291	22,725	19,630	20,091
Disaster Assistance	0	11,126	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	6,318	794	0	0	0	0
Judicial Commissions	1,221	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	3,538	5,110	5,787	5,932	6,080	6,232
State Police, Division of	42,953	32,359	39,550	50,552	51,989	56,989
Functional Total	606,670	579,356	578,776	601,330	624,909	617,547
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	(2,482)	2,487	0	0	0	0
State University of New York	268,823	239,252	135,416	0	0	0
Functional Total	266,341	241,739	135,416	0	0	0
EDUCATION						
Arts, Council on the	1,596	1,822	1,822	1,822	1,822	1,822
Education, Department of	16,026	18,429	17,836	19,336	19,336	19,336
<i>All Other</i>	16,026	18,429	17,836	19,336	19,336	19,336
Functional Total	17,622	20,251	19,658	21,158	21,158	21,158
GENERAL GOVERNMENT						
Budget, Division of the	2,295	1,383	1,852	1,568	1,736	1,781
Civil Service, Department of	793	820	678	673	678	683
Deferred Compensation Board	83	16	24	25	26	26
Elections, State Board of	1,309	1,086	805	925	946	967
Employee Relations, Office of	91	75	81	82	82	83
General Services, Office of	66,717	63,747	98,939	82,011	75,551	76,950
Inspector General, Office of the	114	325	440	501	582	624
Labor Management Committees	22,371	14,833	28,038	27,288	28,825	33,743

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Public Employment Relations Board	449	402	402	695	715	715
Public Integrity, Commission on	777	725	850	1,296	1,318	1,340
Regulatory Reform, Governor's Office of	116	3	0	0	0	0
State, Department of	4,506	3,804	2,612	2,758	2,900	2,900
Tax Appeals, Division of	358	367	201	212	221	221
Taxation and Finance, Department of	64,776	58,468	62,669	64,531	66,178	66,178
Technology, Office for	10,810	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	455	444	939	429	439	450
Functional Total	176,020	155,000	206,938	190,704	190,167	195,900
ELECTED OFFICIALS						
Audit and Control, Department of	28,208	27,513	25,654	26,320	27,003	27,703
Executive Chamber	1,917	3,046	2,240	2,341	2,535	2,596
Judiciary	325,748	322,555	339,000	351,480	389,810	427,802
Law, Department of	14,299	14,620	14,977	12,276	12,583	12,897
Legislature	47,644	44,142	52,561	53,875	55,222	56,602
Lieutenant Governor, Office of the	23	119	134	120	150	137
Functional Total	417,839	411,995	434,566	446,412	487,303	527,737
ALL OTHER CATEGORIES						
General State Charges	1,726	1,585	0	0	0	0
Long-Term Debt Service	0	551	0	0	0	0
Miscellaneous	1,524	1,396	38,084	(82,325)	(15,999)	(193)
Functional Total	3,250	3,532	38,084	(82,325)	(15,999)	(193)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	1,822,282	1,713,001	1,834,685	1,629,872	1,771,289	1,820,615

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	2,000	0	0	0	0	0
Functional Total	<u>2,000</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
HIGHER EDUCATION						
State University of New York	208,918	203,240	210,055	210,055	210,055	210,055
Functional Total	<u>208,918</u>	<u>203,240</u>	<u>210,055</u>	<u>210,055</u>	<u>210,055</u>	<u>210,055</u>
EDUCATION						
Education, Department of	1,525	1,586	0	0	0	0
<i>All Other</i>	1,525	1,586	0	0	0	0
Functional Total	<u>1,525</u>	<u>1,586</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ELECTED OFFICIALS						
Judiciary	542,237	572,510	559,900	619,332	658,754	692,208
Functional Total	<u>542,237</u>	<u>572,510</u>	<u>559,900</u>	<u>619,332</u>	<u>658,754</u>	<u>692,208</u>
ALL OTHER CATEGORIES						
General State Charges	3,426,460	3,938,106	3,724,334	4,055,224	4,361,309	4,619,948
Miscellaneous	5,831	4,104	4,420	4,420	4,420	4,420
Functional Total	<u>3,432,291</u>	<u>3,942,210</u>	<u>3,728,754</u>	<u>4,059,644</u>	<u>4,365,729</u>	<u>4,624,368</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>4,186,971</u>	<u>4,719,546</u>	<u>4,498,709</u>	<u>4,889,031</u>	<u>5,234,538</u>	<u>5,526,631</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	77,623	78,458	78,814	79,448	81,328	82,383
Local Assistance Grants	15,014	24,603	25,652	24,216	24,216	24,216
State Operations	57,860	52,515	51,572	53,512	55,230	56,168
Personal Service	31,416	27,412	27,993	29,168	30,180	30,855
Non-Personal Service/Indirect Costs	26,444	25,103	23,579	24,344	25,050	25,313
General State Charges	4,749	1,340	1,590	1,720	1,882	1,999
<i>Alcoholic Beverage Control, Division of</i>	16,706	16,616	17,859	18,314	18,781	19,225
State Operations	12,581	13,044	13,629	13,823	14,020	14,221
Personal Service	8,512	7,720	8,185	8,246	8,308	8,370
Non-Personal Service/Indirect Costs	4,069	5,324	5,444	5,577	5,712	5,851
General State Charges	4,125	3,572	4,230	4,491	4,761	5,004
<i>Development Authority of the North Country</i>	10	0	70	0	0	0
Local Assistance Grants	10	0	70	0	0	0
<i>Economic Development, Department of</i>	46,255	103,309	107,018	88,884	78,030	78,285
Local Assistance Grants	25,790	69,580	84,264	66,229	54,772	54,772
State Operations	20,444	33,729	22,726	22,627	23,230	23,485
Personal Service	13,906	11,354	12,704	13,087	13,424	13,679
Non-Personal Service/Indirect Costs	6,538	22,375	10,022	9,540	9,806	9,806
General State Charges	21	0	28	28	28	28
<i>Empire State Development Corporation</i>	35,741	76,487	84,088	71,280	27,800	17,800
Local Assistance Grants	35,741	76,487	84,088	71,280	27,800	17,800
<i>Energy Research and Development Authority</i>	15,307	16,938	16,158	16,388	16,388	16,388
Local Assistance Grants	9,157	8,140	9,234	9,234	9,234	9,234
State Operations	4,744	6,456	5,286	5,396	5,396	5,396
Personal Service	2,894	4,776	3,432	3,501	3,501	3,501
Non-Personal Service/Indirect Costs	1,850	1,680	1,854	1,895	1,895	1,895
General State Charges	1,406	2,342	1,638	1,758	1,758	1,758
<i>Financial Services, Department of</i>	507,291	506,105	486,283	490,712	494,195	498,348
Local Assistance Grants	228,152	217,470	216,952	216,952	216,952	216,952
State Operations	212,310	210,028	202,401	206,774	209,007	211,596
Personal Service	152,126	142,332	133,847	136,495	138,669	141,191
Non-Personal Service/Indirect Costs	60,184	67,696	68,554	70,279	70,338	70,405
General State Charges	66,829	78,607	66,930	66,986	68,236	69,800
<i>Olympic Regional Development Authority</i>	3,534	3,596	3,079	3,206	3,288	3,288
State Operations	3,534	3,596	3,079	3,206	3,288	3,288
Personal Service	2,890	2,849	2,485	2,522	2,548	2,548
Non-Personal Service/Indirect Costs	644	747	594	684	740	740
<i>Public Service Department</i>	73,076	66,124	70,324	79,203	83,331	87,373
Local Assistance Grants	0	0	500	500	500	500
State Operations	52,777	47,386	48,889	54,419	56,343	58,195
Personal Service	41,801	38,749	40,507	44,591	46,220	47,964
Non-Personal Service/Indirect Costs	10,976	8,637	8,382	9,828	10,123	10,231
General State Charges	20,299	18,738	20,935	24,284	26,488	28,678
<i>Racing and Wagering Board, State</i>	21,573	19,553	18,248	18,513	18,845	19,080
State Operations	18,056	17,270	15,194	15,226	15,333	15,568
Personal Service	12,062	10,940	9,059	9,058	9,133	9,368
Non-Personal Service/Indirect Costs	5,994	6,330	6,135	6,168	6,200	6,200
General State Charges	3,517	2,283	3,054	3,287	3,512	3,512
Functional Total	797,116	887,186	881,941	865,948	821,986	822,170
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,637	4,299	4,146	4,175	4,251	4,337
State Operations	4,637	4,299	4,146	4,175	4,251	4,337
Personal Service	4,234	3,779	3,791	3,820	3,896	3,982
Non-Personal Service/Indirect Costs	403	520	355	355	355	355
<i>Environmental Conservation, Department of</i>	296,195	284,724	277,964	275,583	277,970	281,639
Local Assistance Grants	2,835	4,760	6,325	4,802	4,802	4,802
State Operations	255,522	245,162	234,743	234,902	236,463	239,266
Personal Service	186,181	188,153	174,892	176,274	179,774	182,690
Non-Personal Service/Indirect Costs	69,341	57,009	59,851	58,628	56,689	56,576
General State Charges	37,838	34,802	36,896	35,879	36,705	37,571
<i>Environmental Facilities Corporation</i>	9,390	8,893	0	0	0	0
State Operations	7,122	6,504	0	0	0	0
Personal Service	6,060	5,454	0	0	0	0
Non-Personal Service/Indirect Costs	1,062	1,050	0	0	0	0
General State Charges	2,268	2,389	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<i>Parks, Recreation and Historic Preservation, Office of</i>	214,344	201,969	190,739	182,993	185,549	187,564
Local Assistance Grants	16,124	12,019	16,112	7,600	7,600	7,600
State Operations	177,022	183,216	165,936	166,563	169,056	171,026
Personal Service	135,297	138,928	128,763	129,520	131,378	133,348
Non-Personal Service/Indirect Costs	41,725	44,288	37,173	37,043	37,678	37,678
General State Charges	2,627	655	3,691	3,830	3,893	3,938
Capital Projects	18,571	6,079	5,000	5,000	5,000	5,000
Functional Total	524,566	499,885	472,849	462,751	467,770	473,540
TRANSPORTATION						
<i>Motor Vehicles, Department of</i>	95,962	92,162	95,012	101,994	105,772	109,758
State Operations	68,522	67,400	70,013	73,915	75,576	77,460
Personal Service	51,578	48,313	50,028	51,560	52,689	54,020
Non-Personal Service/Indirect Costs	16,944	19,087	19,985	22,355	22,887	23,440
General State Charges	27,440	24,762	24,999	28,079	30,196	32,298
<i>Transportation, Department of</i>	4,287,685	4,276,720	4,407,842	4,587,354	4,666,313	4,762,530
Local Assistance Grants	4,253,828	4,229,733	4,377,939	4,556,412	4,634,412	4,729,612
State Operations	33,454	35,857	24,952	25,548	26,111	26,722
Personal Service	10,853	9,818	10,206	10,457	10,699	10,969
Non-Personal Service/Indirect Costs	22,601	26,039	14,746	15,091	15,412	15,753
General State Charges	403	10,781	4,951	5,394	5,790	6,196
Capital Projects	0	349	0	0	0	0
Functional Total	4,383,647	4,368,882	4,502,854	4,689,348	4,772,085	4,872,288
HEALTH						
<i>Aging, Office for the</i>	118,718	113,753	117,434	120,063	126,859	133,871
Local Assistance Grants	117,041	111,616	115,697	118,252	124,956	131,901
State Operations	1,677	2,137	1,737	1,811	1,903	1,970
Personal Service	1,641	1,931	1,555	1,619	1,701	1,768
Non-Personal Service/Indirect Costs	36	206	182	192	202	202
<i>Health, Department of</i>	14,418,217	17,876,268	18,434,393	19,323,613	19,971,469	20,575,058
Medical Assistance	11,400,560	14,778,525	15,342,913	16,017,013	16,601,713	17,507,579
Local Assistance Grants	11,377,313	14,763,303	15,291,558	15,960,658	16,545,858	17,451,724
State Operations	23,247	15,222	51,355	56,355	55,855	55,855
Personal Service	500	1,438	500	500	500	500
Non-Personal Service/Indirect Costs	22,747	13,784	50,855	55,855	55,355	55,355
Medicaid Administration	538,370	533,293	568,750	552,250	502,750	443,250
Local Assistance Grants	538,370	533,293	568,750	552,250	502,750	443,250
Public Health	2,479,287	2,564,450	2,522,730	2,754,350	2,867,006	2,624,229
Local Assistance Grants	1,897,616	1,992,440	1,924,931	2,100,814	2,190,267	1,940,215
State Operations	548,077	540,034	555,580	607,176	629,054	634,716
Personal Service	289,360	266,560	285,452	309,657	321,978	327,693
Non-Personal Service/Indirect Costs	258,717	273,474	270,128	297,519	307,076	307,023
General State Charges	33,594	31,976	42,219	46,360	47,685	49,298
<i>Medicaid Inspector General, Office of the</i>	28,084	25,284	24,795	25,596	26,821	27,292
State Operations	27,990	25,258	24,795	25,596	26,821	27,292
Personal Service	20,818	19,738	18,379	18,850	19,725	20,196
Non-Personal Service/Indirect Costs	7,172	5,520	6,416	6,746	7,096	7,096
General State Charges	94	26	0	0	0	0
<i>Stem Cell and Innovation</i>	37,289	43,702	43,500	63,673	61,373	56,500
State Operations	36,971	43,470	43,500	63,673	61,373	56,500
Personal Service	534	441	0	0	0	0
Non-Personal Service/Indirect Costs	36,437	43,029	43,500	63,673	61,373	56,500
General State Charges	318	232	0	0	0	0
Functional Total	14,602,308	18,059,007	18,620,122	19,532,945	20,186,522	20,792,721
SOCIAL WELFARE						
<i>Children and Family Services, Office of</i>	1,991,084	1,898,337	1,861,376	2,208,484	2,294,293	2,394,489
OCFS	1,921,729	1,785,169	1,767,925	2,091,116	2,172,320	2,267,766
Local Assistance Grants	1,598,134	1,490,719	1,449,660	1,773,922	1,868,214	1,966,849
State Operations	321,270	292,769	315,749	314,574	301,454	298,224
Personal Service	192,793	177,205	186,486	174,500	163,438	161,653
Non-Personal Service/Indirect Costs	128,477	115,564	129,263	140,074	138,016	136,571
General State Charges	2,325	1,681	2,516	2,620	2,652	2,693
OCFS - Other	69,355	113,168	93,451	117,368	121,973	126,723
Local Assistance Grants	69,355	113,168	93,451	117,368	121,973	126,723

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<i>Housing and Community Renewal, Division of</i>	121,230	115,024	117,862	113,538	115,653	118,093
Local Assistance Grants	44,018	43,984	49,969	44,227	44,227	44,227
State Operations	61,015	55,910	51,392	51,781	52,756	54,285
Personal Service	46,367	40,611	40,890	41,148	41,986	43,395
Non-Personal Service/Indirect Costs	14,648	15,299	10,502	10,633	10,770	10,890
General State Charges	16,197	15,130	16,501	17,530	18,670	19,581
<i>Human Rights, Division of</i>	14,165	12,000	10,755	10,958	11,269	11,614
State Operations	14,165	12,000	10,755	10,958	11,269	11,614
Personal Service	12,932	10,679	9,841	9,927	10,207	10,522
Non-Personal Service/Indirect Costs	1,233	1,321	914	1,031	1,062	1,092
<i>Labor, Department of</i>	71,832	60,962	94,792	61,472	61,048	63,271
Local Assistance Grants	11,237	3,665	29,987	2,875	150	150
State Operations	44,994	42,274	50,358	43,269	44,363	45,456
Personal Service	32,161	29,954	27,955	28,145	28,851	29,545
Non-Personal Service/Indirect Costs	12,833	12,320	22,403	15,124	15,512	15,911
General State Charges	15,601	15,023	14,447	15,328	16,535	17,665
<i>National and Community Service</i>	381	332	601	683	687	687
Local Assistance Grants	0	38	350	350	350	350
State Operations	381	294	251	333	337	337
Personal Service	304	292	210	292	295	295
Non-Personal Service/Indirect Costs	77	2	41	41	42	42
<i>Prevention of Domestic Violence, Office for</i>	1,956	1,802	2,094	2,111	2,150	2,194
Local Assistance Grants	666	541	685	685	685	685
State Operations	1,290	1,261	1,409	1,426	1,465	1,509
Personal Service	1,098	1,040	1,313	1,324	1,356	1,389
Non-Personal Service/Indirect Costs	192	221	96	102	109	120
<i>Temporary and Disability Assistance, Office of</i>	1,370,873	1,564,788	1,690,465	1,748,050	1,657,564	1,683,847
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
Local Assistance Grants	1,043,865	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	327,008	297,922	310,192	307,051	318,216	317,786
Local Assistance Grants	158,474	146,587	107,493	101,893	101,893	103,293
State Operations	167,085	150,451	202,699	205,158	216,323	214,493
Personal Service	62,305	51,870	83,922	85,979	90,656	93,056
Non-Personal Service/Indirect Costs	104,780	98,581	118,777	119,179	125,667	121,437
General State Charges	1,449	884	0	0	0	0
<i>Welfare Inspector General, Office of</i>	1,150	441	1,407	1,427	1,456	1,468
State Operations	1,094	395	1,186	1,206	1,234	1,244
Personal Service	434	364	701	721	738	748
Non-Personal Service/Indirect Costs	660	31	485	485	496	496
General State Charges	56	46	221	221	222	224
<i>Workers' Compensation Board</i>	194,002	199,035	196,210	198,928	205,530	212,490
State Operations	150,850	157,884	150,979	149,735	152,632	155,909
Personal Service	89,052	85,890	87,805	90,328	92,301	94,633
Non-Personal Service/Indirect Costs	61,798	71,994	63,174	59,407	60,331	61,276
General State Charges	43,152	41,151	45,231	49,193	52,898	56,581
Functional Total	3,766,673	3,852,721	3,975,562	4,345,651	4,349,650	4,488,153
MENTAL HYGIENE						
<i>Alcoholism and Substance Abuse Services, Office of</i>	404,678	410,589	416,223	443,762	466,041	490,469
<i>OASAS</i>	292,990	318,700	327,068	352,443	371,574	391,971
Local Assistance Grants	246,366	274,694	282,009	305,826	322,446	339,648
State Operations	34,454	31,920	32,662	33,320	34,549	36,224
Personal Service	25,103	22,801	24,466	24,854	25,830	27,263
Non-Personal Service/Indirect Costs	9,351	9,119	8,196	8,466	8,719	8,961
General State Charges	12,170	12,086	12,397	13,297	14,579	16,099
<i>OASAS - Other</i>	111,688	91,889	89,155	91,319	94,467	98,498
Local Assistance Grants	49,089	32,151	32,680	32,680	32,680	32,680
State Operations	46,364	43,751	40,731	41,752	43,270	45,366
Personal Service	34,493	32,119	30,465	31,553	32,794	34,616
Non-Personal Service/Indirect Costs	11,871	11,632	10,266	10,199	10,476	10,750
General State Charges	16,235	15,987	15,744	16,887	18,517	20,452
<i>Mental Health, Office of</i>	3,121,444	3,027,241	3,084,437	3,326,751	3,587,093	3,823,017
<i>OMH</i>	1,363,390	1,143,914	1,237,024	1,382,203	1,525,235	1,620,919
Local Assistance Grants	682,773	716,057	711,037	840,376	947,520	1,019,484
State Operations	482,556	306,563	387,066	381,483	400,417	408,853
Personal Service	405,776	253,135	307,407	298,781	318,073	326,199

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Non-Personal Service/Indirect Costs	76,780	53,428	79,659	82,702	82,344	82,654
General State Charges	198,061	121,294	138,921	160,344	177,298	192,582
OMH - Other	1,758,054	1,883,327	1,847,413	1,944,548	2,061,858	2,202,098
Local Assistance Grants	423,412	408,148	382,649	411,065	437,887	470,200
State Operations	973,298	1,066,389	1,028,629	1,079,626	1,129,237	1,183,608
Personal Service	739,482	827,902	820,695	847,744	877,961	930,867
Non-Personal Service/Indirect Costs	233,816	238,487	207,934	231,882	251,276	252,741
General State Charges	361,344	408,790	436,135	453,857	494,734	548,290
Mental Hygiene, Department of	345	411	0	0	0	0
State Operations	345	411	0	0	0	0
Non-Personal Service/Indirect Costs	345	411	0	0	0	0
People with Developmental Disabilities, Office for	4,297,676	4,331,480	4,318,111	4,652,324	4,850,933	5,077,298
OPWDD	491,009	903,732	435,429	479,595	495,695	505,147
Local Assistance Grants	497,629	692,788	435,253	479,414	495,514	504,966
State Operations	(6,620)	106,952	176	181	181	181
Personal Service	0	72,227	0	0	0	0
Non-Personal Service/Indirect Costs	(6,620)	34,725	176	181	181	181
General State Charges	0	103,992	0	0	0	0
OPWDD - Other	3,806,667	3,427,748	3,882,682	4,172,729	4,355,238	4,572,151
Local Assistance Grants	1,677,965	1,631,638	1,799,409	1,981,399	2,075,382	2,136,748
State Operations	1,548,712	1,378,983	1,487,746	1,543,476	1,580,603	1,666,643
Personal Service	1,168,196	1,052,886	1,124,943	1,167,242	1,193,675	1,269,575
Non-Personal Service/Indirect Costs	380,516	326,097	362,803	376,234	386,928	397,068
General State Charges	579,990	417,127	595,527	647,854	699,253	768,760
Quality of Care and Advocacy for Persons With Disabilities, Commission on	8,213	7,584	9,505	10,258	10,543	10,844
Local Assistance Grants	623	560	620	620	620	620
State Operations	6,573	5,948	7,698	8,368	8,548	8,743
Personal Service	5,430	4,856	6,480	7,090	7,241	7,404
Non-Personal Service/Indirect Costs	1,143	1,092	1,218	1,278	1,307	1,339
General State Charges	1,017	1,076	1,187	1,270	1,375	1,481
Functional Total	7,832,356	7,777,305	7,828,276	8,433,095	8,914,610	9,401,628
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
State Operations	2,419	2,251	2,672	2,711	2,787	2,864
Personal Service	2,082	1,890	2,398	2,414	2,481	2,548
Non-Personal Service/Indirect Costs	337	361	274	297	306	316
Correctional Services, Department of	2,587,693	2,477,126	2,709,781	2,583,605	2,655,198	2,812,082
Local Assistance Grants	10,386	5,594	6,051	6,000	6,000	6,000
State Operations	2,575,307	2,471,532	2,703,688	2,577,560	2,649,150	2,806,031
Personal Service	2,043,633	1,959,956	2,231,528	2,085,747	2,136,867	2,272,313
Non-Personal Service/Indirect Costs	531,674	511,576	472,160	491,813	512,283	533,718
General State Charges	2,000	0	42	45	48	51
Corrections and Community Supervision Medicaid, Department of	0	0	11,500	11,500	11,500	12,500
Local Assistance Grants	0	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	213,480	215,019	230,926	227,421	225,347	226,792
Local Assistance Grants	150,003	151,076	159,811	154,751	154,911	154,911
State Operations	63,391	63,882	70,920	72,462	70,210	71,639
Personal Service	34,806	32,972	33,919	34,524	35,363	36,326
Non-Personal Service/Indirect Costs	28,585	30,910	37,001	37,938	34,847	35,313
General State Charges	86	61	195	208	226	242
Disaster Assistance	0	20,811	42,700	30,000	34,500	0
State Operations	0	20,811	42,700	30,000	34,500	0
Personal Service	0	9,685	0	0	0	0
Non-Personal Service/Indirect Costs	0	11,126	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	33,298	28,229	147,310	151,418	131,531	120,961
Local Assistance Grants	17,552	19,575	97,923	113,739	97,264	85,523
State Operations	15,550	8,654	48,678	36,972	33,560	34,731
Personal Service	6,531	6,837	15,494	15,635	16,151	16,733
Non-Personal Service/Indirect Costs	9,019	1,817	33,184	21,337	17,409	17,998
General State Charges	196	0	709	707	707	707
Indigent Legal Services, Office of	90,793	62,701	75,144	78,588	78,631	78,672
Local Assistance Grants	65,769	62,292	74,000	77,000	77,000	77,000
State Operations	25,024	326	859	1,151	1,167	1,183
Personal Service	8	259	569	802	810	818
Non-Personal Service/Indirect Costs	25,016	67	290	349	357	365
General State Charges	0	83	285	437	464	489

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Judicial Commissions	4,944	4,979	5,452	5,577	5,795	5,990
State Operations	4,944	4,979	5,452	5,577	5,795	5,990
Personal Service	3,723	3,794	4,093	4,124	4,237	4,357
Non-Personal Service/Indirect Costs	1,221	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	32,640	31,747	28,061	28,189	28,543	28,914
Local Assistance Grants	3,659	3,761	867	850	850	850
State Operations	28,398	27,440	26,944	27,089	27,443	27,814
Personal Service	19,260	17,152	16,525	16,655	16,861	17,080
Non-Personal Service/Indirect Costs	9,138	10,288	10,419	10,434	10,582	10,734
General State Charges	583	546	250	250	250	250
Public Security and Emergency Response	0	0	600	600	600	600
State Operations	0	0	600	600	600	600
Personal Service	0	0	600	600	600	600
State Police, Division of	677,826	630,378	664,806	673,749	680,348	694,373
State Operations	657,091	611,384	643,524	649,676	655,118	668,143
Personal Service	582,393	557,865	563,304	568,753	572,693	580,708
Non-Personal Service/Indirect Costs	74,698	53,519	80,220	80,923	82,425	87,435
General State Charges	20,735	18,994	21,282	24,073	25,230	26,230
Statewide Financial System	9,070	17,596	52,601	51,525	52,826	54,164
State Operations	9,069	17,596	52,601	51,525	52,826	54,164
Personal Service	3,977	7,554	10,814	10,895	10,977	11,060
Non-Personal Service/Indirect Costs	5,092	10,042	41,787	40,630	41,849	43,104
General State Charges	1	0	0	0	0	0
Statewide Wireless Network	28,253	87,696	0	0	0	0
Local Assistance Grants	0	18,369	0	0	0	0
State Operations	27,655	69,207	0	0	0	0
Personal Service	8,076	7,006	0	0	0	0
Non-Personal Service/Indirect Costs	19,579	62,201	0	0	0	0
General State Charges	598	120	0	0	0	0
Victim Services, Office of	34,594	35,194	32,741	34,931	35,156	35,397
Local Assistance Grants	29,185	29,239	26,182	28,182	28,182	28,182
State Operations	4,521	4,138	4,782	4,862	4,974	5,113
Personal Service	3,761	3,109	3,866	3,931	4,026	4,148
Non-Personal Service/Indirect Costs	760	1,029	916	931	948	965
General State Charges	888	1,817	1,777	1,887	2,000	2,102
Functional Total	3,715,010	3,613,727	4,004,294	3,879,814	3,942,762	4,073,309
HIGHER EDUCATION						
City University of New York	1,306,312	1,316,602	1,328,567	1,454,137	1,519,338	1,589,978
Local Assistance Grants	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
State Operations	117,099	107,837	103,231	105,433	107,683	109,983
Personal Service	85,732	74,043	75,289	76,792	78,326	79,892
Non-Personal Service/Indirect Costs	31,367	33,794	27,942	28,641	29,357	30,091
General State Charges	6,400	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	370	449	1,300	1,300	1,300	1,300
State Operations	255	376	1,201	1,201	1,201	1,201
Personal Service	221	207	198	198	198	198
Non-Personal Service/Indirect Costs	34	169	1,003	1,003	1,003	1,003
General State Charges	115	73	99	99	99	99
Higher Education Services Corporation, New York State	889,588	1,000,527	1,020,044	1,088,021	1,103,725	1,117,935
Local Assistance Grants	813,707	923,514	935,933	1,001,349	1,014,412	1,025,891
State Operations	61,052	64,233	68,980	70,309	71,976	73,821
Personal Service	32,756	28,789	30,166	30,554	31,247	32,058
Non-Personal Service/Indirect Costs	28,296	35,444	38,814	39,755	40,729	41,763
General State Charges	14,829	12,780	15,131	16,363	17,337	18,223
State University Construction Fund	18,915	22,625	0	0	0	0
State Operations	14,438	16,809	0	0	0	0
Personal Service	12,562	13,612	0	0	0	0
Non-Personal Service/Indirect Costs	1,876	3,197	0	0	0	0
General State Charges	4,477	5,816	0	0	0	0
State University of New York	5,880,864	6,471,148	6,574,114	6,705,257	6,867,696	7,027,369
Local Assistance Grants	472,818	481,591	462,404	468,051	468,051	468,051
State Operations	4,880,006	5,429,621	5,523,988	5,631,128	5,773,706	5,912,561
Personal Service	3,239,364	3,324,528	3,379,755	3,429,503	3,520,264	3,607,190
Non-Personal Service/Indirect Costs	1,640,642	2,105,093	2,144,233	2,201,625	2,253,442	2,305,371
General State Charges	528,040	559,936	587,722	606,078	625,939	646,757
Functional Total	8,096,049	8,811,351	8,924,025	9,248,715	9,492,059	9,736,582

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
EDUCATION						
Arts, Council on the	45,173	33,659	40,053	36,055	36,101	36,151
Local Assistance Grants	40,479	29,571	35,933	31,933	31,933	31,933
State Operations	4,694	4,088	4,120	4,122	4,168	4,218
Personal Service	3,098	2,266	2,298	2,300	2,346	2,396
Non-Personal Service/Indirect Costs	1,596	1,822	1,822	1,822	1,822	1,822
Education, Department of	26,717,031	24,749,120	25,459,207	26,530,604	27,597,691	28,725,936
School Aid	21,848,300	19,661,924	20,056,331	20,910,635	21,724,727	22,671,362
Local Assistance Grants	21,848,300	19,661,924	20,056,331	20,910,635	21,724,727	22,671,362
STAR Property Tax Relief	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
Local Assistance Grants	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
Special Education Categorical Programs	924,218	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
Local Assistance Grants	924,218	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
All Other	710,499	678,323	769,173	704,978	702,022	706,890
Local Assistance Grants	549,521	521,878	613,963	543,526	536,119	536,904
State Operations	131,572	126,159	125,348	129,133	131,140	133,142
Personal Service	90,078	80,475	81,821	84,326	85,873	87,539
Non-Personal Service/Indirect Costs	41,494	45,684	43,527	44,807	45,267	45,603
General State Charges	29,406	30,286	29,862	32,319	34,763	36,844
Functional Total	26,762,204	24,782,779	25,499,260	26,566,659	27,633,792	28,762,087
GENERAL GOVERNMENT						
Budget, Division of the	33,825	32,762	33,550	39,246	41,937	43,143
State Operations	32,081	30,681	31,253	36,596	39,063	40,049
Personal Service	24,430	23,829	24,961	25,768	27,287	28,166
Non-Personal Service/Indirect Costs	7,651	6,852	6,292	10,828	11,776	11,883
General State Charges	1,744	2,081	2,297	2,650	2,874	3,094
Civil Service, Department of	17,896	14,836	14,904	16,311	16,775	17,179
State Operations	17,677	14,595	14,736	16,143	16,603	17,003
Personal Service	16,153	13,284	13,247	14,359	14,714	15,109
Non-Personal Service/Indirect Costs	1,524	1,311	1,489	1,784	1,889	1,894
General State Charges	219	241	168	168	172	176
Deferred Compensation Board	681	621	796	823	858	867
State Operations	519	457	605	615	633	642
Personal Service	374	378	392	396	408	417
Non-Personal Service/Indirect Costs	145	79	213	219	225	225
General State Charges	162	164	191	208	225	225
Elections, State Board of	6,186	9,815	7,814	5,179	35,316	5,462
Local Assistance Grants	582	415	2,700	0	30,000	0
State Operations	5,604	9,400	5,114	5,179	5,316	5,462
Personal Service	4,205	4,065	4,144	4,254	4,370	4,495
Non-Personal Service/Indirect Costs	1,399	5,335	970	925	946	967
Employee Relations, Office of	3,000	2,604	2,688	2,710	2,786	2,869
State Operations	3,000	2,604	2,688	2,710	2,786	2,869
Personal Service	2,909	2,529	2,551	2,570	2,646	2,728
Non-Personal Service/Indirect Costs	91	75	137	140	140	141
General Services, Office of	128,633	121,489	156,148	140,392	135,357	138,385
Local Assistance Grants	28	0	19	0	0	0
State Operations	127,315	119,994	154,228	138,390	133,213	136,101
Personal Service	56,079	48,873	50,908	51,870	52,997	54,392
Non-Personal Service/Indirect Costs	71,236	71,121	103,320	86,520	80,216	81,709
General State Charges	1,290	1,495	1,901	2,002	2,144	2,284
Inspector General, Office of the	5,703	5,409	6,610	6,717	6,970	7,196
State Operations	5,703	5,409	6,610	6,717	6,970	7,196
Personal Service	5,519	5,067	6,083	6,129	6,301	6,485
Non-Personal Service/Indirect Costs	184	342	527	588	669	711
Labor Management Committees	32,335	23,215	33,838	33,092	35,244	40,409
State Operations	32,335	23,215	33,838	33,092	35,244	40,409
Personal Service	9,872	8,359	5,500	5,504	6,119	6,366
Non-Personal Service/Indirect Costs	22,463	14,856	28,338	27,588	29,125	34,043
Lottery, Division of the	162,910	150,306	172,990	169,076	173,058	173,456
State Operations	152,955	140,484	161,247	155,197	159,080	159,478
Personal Service	21,057	20,276	24,676	25,029	25,495	25,893
Non-Personal Service/Indirect Costs	131,898	120,208	136,571	130,168	133,585	133,585
General State Charges	9,955	9,822	11,743	13,879	13,978	13,978

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<i>Public Employment Relations Board</i>	3,988	3,675	3,731	3,857	3,804	3,896
State Operations	3,988	3,675	3,731	3,857	3,804	3,896
Personal Service	3,456	3,197	3,290	3,119	3,046	3,138
Non-Personal Service/Indirect Costs	532	478	441	738	758	758
<i>Public Integrity, Commission on</i>	3,794	3,217	4,016	4,486	4,600	4,720
State Operations	3,794	3,217	4,016	4,486	4,600	4,720
Personal Service	3,017	2,492	3,166	3,190	3,282	3,380
Non-Personal Service/Indirect Costs	777	725	850	1,296	1,318	1,340
<i>Regulatory Reform, Governor's Office of</i>	1,653	83	0	0	0	0
State Operations	1,653	83	0	0	0	0
Personal Service	1,537	80	0	0	0	0
Non-Personal Service/Indirect Costs	116	3	0	0	0	0
<i>State, Department of</i>	75,478	61,000	70,663	60,147	62,133	63,054
Local Assistance Grants	24,495	12,916	16,118	3,877	3,877	3,877
State Operations	41,797	40,716	45,662	46,581	47,676	48,348
Personal Service	31,270	28,357	31,340	32,307	33,039	33,700
Non-Personal Service/Indirect Costs	10,527	12,359	14,322	14,274	14,637	14,648
General State Charges	9,186	7,368	8,898	9,704	10,595	10,844
Capital Projects	0	0	(15)	(15)	(15)	(15)
<i>Tax Appeals, Division of</i>	3,134	2,850	3,101	2,813	2,894	2,948
State Operations	3,134	2,850	3,101	2,813	2,894	2,948
Personal Service	2,776	2,483	2,900	2,601	2,673	2,727
Non-Personal Service/Indirect Costs	358	367	201	212	221	221
<i>Taxation and Finance, Department of</i>	441,466	401,033	415,599	418,545	429,888	436,603
Local Assistance Grants	5,270	6,487	926	926	926	926
State Operations	412,940	382,913	393,151	394,799	405,915	412,630
Personal Service	323,767	300,408	301,881	300,966	309,755	316,470
Non-Personal Service/Indirect Costs	89,173	82,505	91,270	93,833	96,160	96,160
General State Charges	23,256	11,633	21,522	22,820	23,047	23,047
<i>Technology, Office for</i>	22,902	19,129	21,994	21,305	23,877	23,485
Local Assistance Grants	884	171	0	0	0	0
State Operations	22,018	18,958	21,994	21,305	23,877	23,485
Personal Service	11,208	10,456	13,586	13,595	13,907	14,246
Non-Personal Service/Indirect Costs	10,810	8,502	8,408	7,710	9,970	9,239
<i>Veterans' Affairs, Division of</i>	14,069	12,884	14,106	12,949	13,155	13,383
Local Assistance Grants	8,044	7,572	8,117	7,397	7,457	7,517
State Operations	6,025	5,312	5,989	5,552	5,698	5,866
Personal Service	5,570	4,868	5,050	5,123	5,259	5,416
Non-Personal Service/Indirect Costs	455	444	939	429	439	450
Functional Total	957,653	864,928	962,548	937,648	988,652	977,055
ELECTED OFFICIALS						
<i>Audit and Control, Department of</i>	171,821	169,817	173,479	175,736	179,315	183,489
Local Assistance Grants	31,598	32,005	32,024	32,024	32,024	32,024
State Operations	138,841	135,123	139,394	142,190	145,658	149,721
Personal Service	110,320	103,298	108,671	109,385	112,012	115,214
Non-Personal Service/Indirect Costs	28,521	31,825	30,723	32,805	33,646	34,507
General State Charges	1,382	2,689	2,061	1,522	1,633	1,744
<i>Executive Chamber</i>	12,880	13,256	13,578	13,836	14,773	15,185
State Operations	12,880	13,256	13,578	13,836	14,773	15,185
Personal Service	10,963	10,210	11,338	11,495	12,238	12,589
Non-Personal Service/Indirect Costs	1,917	3,046	2,240	2,341	2,535	2,596
<i>Judiciary</i>	2,540,268	2,543,183	2,546,998	2,679,944	2,805,004	2,934,500
Local Assistance Grants	119,798	114,108	106,700	121,700	121,700	121,700
State Operations	1,868,890	1,827,308	1,855,900	1,914,414	1,999,952	2,095,494
Personal Service	1,525,120	1,464,351	1,468,250	1,514,284	1,561,492	1,618,742
Non-Personal Service/Indirect Costs	343,770	362,957	387,650	400,130	438,460	476,752
General State Charges	551,580	601,767	584,398	643,830	683,352	717,306
<i>Law, Department of</i>	169,761	168,624	179,139	177,667	183,089	188,749
State Operations	161,877	160,461	165,109	162,564	166,796	171,368
Personal Service	111,750	106,462	110,920	110,913	113,920	117,232
Non-Personal Service/Indirect Costs	50,127	53,999	54,189	51,651	52,876	54,136
General State Charges	7,884	8,163	14,030	15,103	16,293	17,381
<i>Legislature</i>	222,536	197,163	218,795	221,349	223,945	226,583
State Operations	222,536	197,163	218,795	221,349	223,945	226,583
Personal Service	174,096	151,882	165,284	166,524	167,773	169,031
Non-Personal Service/Indirect Costs	48,440	45,281	53,511	54,825	56,172	57,552

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Lieutenant Governor, Office of the	304	408	614	614	665	680
State Operations	304	408	614	614	665	680
Personal Service	281	289	480	494	515	543
Non-Personal Service/Indirect Costs	23	119	134	120	150	137
Functional Total	3,117,570	3,092,451	3,132,603	3,269,146	3,406,791	3,549,186
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	738,940	721,034	739,655	757,414	772,589	775,357
Local Assistance Grants	738,940	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,604	4,714	9,636	3,805	0	0
Local Assistance Grants	4,604	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Local Assistance Grants	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Local Assistance Grants	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
Local Assistance Grants	2,066	217	218	218	218	218
Functional Total	775,330	753,792	777,376	789,264	800,634	803,402
ALL OTHER CATEGORIES						
General State Charges	3,432,021	3,941,782	3,724,334	4,055,224	4,361,309	4,619,948
State Operations	5,561	3,676	0	0	0	0
Personal Service	3,835	2,091	0	0	0	0
Non-Personal Service/Indirect Costs	1,726	1,585	0	0	0	0
General State Charges	3,426,460	3,938,106	3,724,334	4,055,224	4,361,309	4,619,948
Long-Term Debt Service	5,677,515	5,909,754	6,146,701	6,462,370	6,530,775	6,691,841
State Operations	62,846	45,732	46,925	46,925	46,925	46,925
Non-Personal Service/Indirect Costs	62,846	45,732	46,925	46,925	46,925	46,925
Debt Service	5,614,669	5,864,022	6,099,776	6,415,445	6,483,850	6,644,916
Miscellaneous	(22,618)	(34,927)	(47,029)	(112,209)	(158,924)	23,186
Local Assistance Grants	(34,402)	(45,178)	51,276	100,966	46,171	122,079
State Operations	4,886	5,007	(104,114)	(219,122)	(211,175)	(105,096)
Personal Service	2,217	2,356	(7,318)	(42,275)	(27,179)	27,919
Non-Personal Service/Indirect Costs	2,669	2,651	(96,796)	(176,847)	(183,996)	(133,015)
General State Charges	6,898	5,244	5,809	5,947	6,080	6,203
Functional Total	9,086,918	9,816,609	9,824,006	10,405,385	10,733,160	11,334,975
TOTAL STATE OPERATING FUNDS SPENDING	84,417,400	87,180,623	89,405,716	93,426,369	96,510,473	100,087,096

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	77,623	78,458	78,814	79,448	81,328	82,383
Alcoholic Beverage Control, Division of	16,706	16,616	17,859	18,314	18,781	19,225
Development Authority of the North Country	10	0	70	0	0	0
Economic Development, Department of	46,255	103,309	107,018	88,884	78,030	78,285
Empire State Development Corporation	35,741	76,487	84,088	71,280	27,800	17,800
Energy Research and Development Authority	15,307	16,938	16,158	16,388	16,388	16,388
Financial Services, Department of	507,291	506,105	486,283	490,712	494,195	498,348
Olympic Regional Development Authority	3,534	3,596	3,079	3,206	3,288	3,288
Public Service Department	73,076	66,124	70,324	79,203	83,331	87,373
Racing and Wagering Board, State	21,573	19,553	18,248	18,513	18,845	19,080
Functional Total	797,116	887,186	881,941	865,948	821,986	822,170
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,637	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	296,195	284,724	277,964	275,583	277,970	281,639
Environmental Facilities Corporation	9,390	8,893	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	214,344	201,969	190,739	182,993	185,549	187,564
Functional Total	524,566	499,885	472,849	462,751	467,770	473,540
TRANSPORTATION						
Motor Vehicles, Department of	95,962	92,162	95,012	101,994	105,772	109,758
Transportation, Department of	4,287,685	4,276,720	4,407,842	4,587,354	4,666,313	4,762,530
Functional Total	4,383,647	4,368,882	4,502,854	4,689,348	4,772,085	4,872,288
HEALTH						
Aging, Office for the	118,718	113,753	117,434	120,063	126,859	133,871
Health, Department of	14,418,217	17,876,268	18,434,393	19,323,613	19,971,469	20,575,058
<i>Medical Assistance</i>	11,400,560	14,778,525	15,342,913	16,017,013	16,601,713	17,507,579
<i>Medicaid Administration</i>	538,370	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	2,479,287	2,564,450	2,522,730	2,754,350	2,867,006	2,624,229
Medicaid Inspector General, Office of the	28,084	25,284	24,795	25,596	26,821	27,292
Stem Cell and Innovation	37,289	43,702	43,500	63,673	61,373	56,500
Functional Total	14,602,308	18,059,007	18,620,122	19,532,945	20,186,522	20,792,721
SOCIAL WELFARE						
Children and Family Services, Office of	1,991,084	1,898,337	1,861,376	2,208,484	2,294,293	2,394,489
<i>OCFS</i>	1,921,729	1,785,169	1,767,925	2,091,116	2,172,320	2,267,766
<i>OCFS - Other</i>	69,355	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	121,230	115,024	117,862	113,538	115,653	118,093
Human Rights, Division of	14,165	12,000	10,755	10,958	11,269	11,614
Labor, Department of	71,832	60,962	94,792	61,472	61,048	63,271
National and Community Service	381	332	601	683	687	687
Prevention of Domestic Violence, Office for	1,956	1,802	2,094	2,111	2,150	2,194
Temporary and Disability Assistance, Office of	1,370,873	1,564,788	1,690,465	1,748,050	1,657,564	1,683,847
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	327,008	297,922	310,192	307,051	318,216	317,786
Welfare Inspector General, Office of	1,150	441	1,407	1,427	1,456	1,468
Workers' Compensation Board	194,002	199,035	196,210	198,928	205,530	212,490
Functional Total	3,766,673	3,852,721	3,975,562	4,345,651	4,349,650	4,488,153
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	404,678	410,589	416,223	443,762	466,041	490,469
<i>OASAS</i>	292,990	318,700	327,068	352,443	371,574	391,971
<i>OASAS - Other</i>	111,688	91,889	89,155	91,319	94,467	98,498
Mental Health, Office of	3,121,444	3,027,241	3,084,437	3,326,751	3,587,093	3,823,017
<i>OMH</i>	1,363,390	1,143,914	1,237,024	1,382,203	1,525,235	1,620,919
<i>OMH - Other</i>	1,758,054	1,883,327	1,847,413	1,944,548	2,061,858	2,202,098
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	4,297,676	4,331,480	4,318,111	4,652,324	4,850,933	5,077,298
<i>OPWDD</i>	491,009	903,732	435,429	479,595	495,695	505,147
<i>OPWDD - Other</i>	3,806,667	3,427,748	3,882,682	4,172,729	4,355,238	4,572,151
Quality of Care and Advocacy for Persons With Disabilities, Commission on	8,213	7,584	9,505	10,258	10,543	10,844
Functional Total	7,832,356	7,777,305	7,828,276	8,433,095	8,914,610	9,401,628
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,587,693	2,477,126	2,709,781	2,583,605	2,655,198	2,812,082
Corrections and Community Supervision Medicaid, Department of	0	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	213,480	215,019	230,926	227,421	225,347	226,792
Disaster Assistance	0	20,811	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	33,298	28,229	147,310	151,418	131,531	120,961
Indigent Legal Services, Office of	90,793	62,701	75,144	78,588	78,631	78,672
Judicial Commissions	4,944	4,979	5,452	5,577	5,795	5,990

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Military and Naval Affairs, Division of	32,640	31,747	28,061	28,189	28,543	28,914
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	677,826	630,378	664,806	673,749	680,348	694,373
Statewide Financial System	9,070	17,596	52,601	51,525	52,826	54,164
Statewide Wireless Network	28,253	87,696	0	0	0	0
Victim Services, Office of	34,594	35,194	32,741	34,931	35,156	35,397
Functional Total	3,715,010	3,613,727	4,004,294	3,879,814	3,942,762	4,073,309
HIGHER EDUCATION						
City University of New York	1,306,312	1,316,602	1,328,567	1,454,137	1,519,338	1,589,978
Higher Education - Miscellaneous	370	449	1,300	1,300	1,300	1,300
Higher Education Services Corporation, New York State	889,588	1,000,527	1,020,044	1,088,021	1,103,725	1,117,935
State University Construction Fund	18,915	22,625	0	0	0	0
State University of New York	5,880,864	6,471,148	6,574,114	6,705,257	6,867,696	7,027,369
Functional Total	8,096,049	8,811,351	8,924,025	9,248,715	9,492,059	9,736,582
EDUCATION						
Arts, Council on the	45,173	33,659	40,053	36,055	36,101	36,151
Education, Department of	26,717,031	24,749,120	25,459,207	26,530,604	27,597,691	28,725,936
<i>School Aid</i>	21,848,300	19,661,924	20,056,331	20,910,635	21,724,727	22,671,362
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	924,218	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<i>All Other</i>	710,499	678,323	769,173	704,978	702,022	706,890
Functional Total	26,762,204	24,782,779	25,499,260	26,566,659	27,633,792	28,762,087
GENERAL GOVERNMENT						
Budget, Division of the	33,825	32,762	33,550	39,246	41,937	43,143
Civil Service, Department of	17,896	14,836	14,904	16,311	16,775	17,179
Deferred Compensation Board	681	621	796	823	858	867
Elections, State Board of	6,186	9,815	7,814	5,179	35,316	5,462
Employee Relations, Office of	3,000	2,604	2,688	2,710	2,786	2,869
General Services, Office of	128,633	121,489	156,148	140,392	135,357	138,385
Inspector General, Office of the	5,703	5,409	6,610	6,717	6,970	7,196
Labor Management Committees	32,335	23,215	33,838	33,092	35,244	40,409
Lottery, Division of the	162,910	150,306	172,990	169,076	173,058	173,456
Public Employment Relations Board	3,988	3,675	3,731	3,857	3,804	3,896
Public Integrity, Commission on	3,794	3,217	4,016	4,486	4,600	4,720
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	75,478	61,000	70,663	60,147	62,133	63,054
Tax Appeals, Division of	3,134	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	441,466	401,033	415,599	418,545	429,888	436,603
Technology, Office for	22,902	19,129	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	14,069	12,884	14,106	12,949	13,155	13,383
Functional Total	957,653	864,928	962,548	937,648	988,652	977,055
ELECTED OFFICIALS						
Audit and Control, Department of	171,821	169,817	173,479	175,736	179,315	183,489
Executive Chamber	12,880	13,256	13,578	13,836	14,773	15,185
Judiciary	2,540,268	2,543,183	2,546,998	2,679,944	2,805,004	2,934,500
Law, Department of	169,761	168,624	179,139	177,667	183,089	188,749
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	304	408	614	614	665	680
Functional Total	3,117,570	3,092,451	3,132,603	3,269,146	3,406,791	3,549,186
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	738,940	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,604	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
Functional Total	775,330	753,792	777,376	789,264	800,634	803,402
ALL OTHER CATEGORIES						
General State Charges	3,432,021	3,941,782	3,724,334	4,055,224	4,361,309	4,619,948
Long-Term Debt Service	5,677,515	5,909,754	6,146,701	6,462,370	6,530,775	6,691,841
Miscellaneous	(22,618)	(34,927)	(47,029)	(112,209)	(158,924)	23,186
Functional Total	9,086,918	9,816,609	9,824,006	10,405,385	10,733,160	11,334,975
TOTAL STATE OPERATING FUNDS SPENDING	84,417,400	87,180,623	89,405,716	93,426,369	96,510,473	100,087,096

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	15,014	24,603	25,652	24,216	24,216	24,216
Development Authority of the North Country	10	0	70	0	0	0
Economic Development, Department of	25,790	69,580	84,264	66,229	54,772	54,772
Empire State Development Corporation	35,741	76,487	84,088	71,280	27,800	17,800
Energy Research and Development Authority	9,157	8,140	9,234	9,234	9,234	9,234
Financial Services, Department of	228,152	217,470	216,952	216,952	216,952	216,952
Public Service Department	0	0	500	500	500	500
Functional Total	313,864	396,280	420,760	388,411	333,474	323,474
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,835	4,760	6,325	4,802	4,802	4,802
Parks, Recreation and Historic Preservation, Office of	16,124	12,019	16,112	7,600	7,600	7,600
Functional Total	18,959	16,779	22,437	12,402	12,402	12,402
TRANSPORTATION						
Transportation, Department of	4,253,828	4,229,733	4,377,939	4,556,412	4,634,412	4,729,612
Functional Total	4,253,828	4,229,733	4,377,939	4,556,412	4,634,412	4,729,612
HEALTH						
Aging, Office for the	117,041	111,616	115,697	118,252	124,956	131,901
Health, Department of	13,813,299	17,289,036	17,785,239	18,613,722	19,238,875	19,835,189
<i>Medical Assistance</i>	11,377,313	14,763,303	15,291,558	15,960,658	16,545,858	17,451,724
<i>Medicaid Administration</i>	538,370	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	1,897,616	1,992,440	1,924,931	2,100,814	2,190,267	1,940,215
Functional Total	13,930,340	17,400,652	17,900,936	18,731,974	19,363,831	19,967,090
SOCIAL WELFARE						
Children and Family Services, Office of	1,667,489	1,603,887	1,543,111	1,891,290	1,990,187	2,093,572
<i>OCFS</i>	1,598,134	1,490,719	1,449,660	1,773,922	1,868,214	1,966,849
<i>OCFS - Other</i>	69,355	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	44,018	43,984	49,969	44,227	44,227	44,227
Labor, Department of	11,237	3,665	29,987	2,875	150	150
National and Community Service	0	38	350	350	350	350
Prevention of Domestic Violence, Office for	666	541	685	685	685	685
Temporary and Disability Assistance, Office of	1,202,339	1,413,453	1,487,766	1,542,892	1,441,241	1,469,354
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	158,474	146,587	107,493	101,893	101,893	103,293
Functional Total	2,925,749	3,065,568	3,111,868	3,482,319	3,476,840	3,608,338
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	295,455	306,845	314,689	338,506	355,126	372,328
<i>OASAS</i>	246,366	274,694	282,009	305,826	322,446	339,648
<i>OASAS - Other</i>	49,089	32,151	32,680	32,680	32,680	32,680
Mental Health, Office of	1,106,185	1,124,205	1,093,686	1,251,441	1,385,407	1,489,684
<i>OMH</i>	682,773	716,057	711,037	840,376	947,520	1,019,484
<i>OMH - Other</i>	423,412	408,148	382,649	411,065	437,887	470,200
People with Developmental Disabilities, Office for	2,175,594	2,324,426	2,234,662	2,460,813	2,570,896	2,641,714
<i>OPWDD</i>	497,629	692,788	435,253	479,414	495,514	504,966
<i>OPWDD - Other</i>	1,677,965	1,631,638	1,799,409	1,981,399	2,075,382	2,136,748
Quality of Care and Advocacy for Persons With Disabilities, Commission on	623	560	620	620	620	620
Functional Total	3,577,857	3,756,036	3,643,657	4,051,380	4,312,049	4,504,346
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	10,386	5,594	6,051	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	150,003	151,076	159,811	154,751	154,911	154,911
Homeland Security and Emergency Services, Division of	17,552	19,575	97,923	113,739	97,264	85,523
Indigent Legal Services, Office of	65,769	62,292	74,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	3,659	3,761	867	850	850	850
Statewide Wireless Network	0	18,369	0	0	0	0
Victim Services, Office of	29,185	29,239	26,182	28,182	28,182	28,182
Functional Total	276,554	289,906	376,334	392,022	375,707	364,966
HIGHER EDUCATION						
City University of New York	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Services Corporation, New York State	813,707	923,514	935,933	1,001,349	1,014,412	1,025,891
State University of New York	472,818	481,591	462,404	468,051	468,051	468,051
Functional Total	2,469,338	2,607,515	2,617,521	2,811,767	2,887,591	2,967,214
EDUCATION						
Arts, Council on the	40,479	29,571	35,933	31,933	31,933	31,933

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Education, Department of	26,556,053	24,592,675	25,303,997	26,369,152	27,431,788	28,555,950
<i>School Aid</i>	21,848,300	19,661,924	20,056,331	20,910,635	21,724,727	22,671,362
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	924,218	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<i>All Other</i>	549,521	521,878	613,963	543,526	536,119	536,904
Functional Total	<u>26,596,532</u>	<u>24,622,246</u>	<u>25,339,930</u>	<u>26,401,085</u>	<u>27,463,721</u>	<u>28,587,883</u>
GENERAL GOVERNMENT						
Elections, State Board of	582	415	2,700	0	30,000	0
General Services, Office of	28	0	19	0	0	0
State, Department of	24,495	12,916	16,118	3,877	3,877	3,877
Taxation and Finance, Department of	5,270	6,487	926	926	926	926
Technology, Office for	884	171	0	0	0	0
Veterans' Affairs, Division of	8,044	7,572	8,117	7,397	7,457	7,517
Functional Total	<u>39,303</u>	<u>27,561</u>	<u>27,880</u>	<u>12,200</u>	<u>42,260</u>	<u>12,320</u>
ELECTED OFFICIALS						
Audit and Control, Department of	31,598	32,005	32,024	32,024	32,024	32,024
Judiciary	119,798	114,108	106,700	121,700	121,700	121,700
Functional Total	<u>151,396</u>	<u>146,113</u>	<u>138,724</u>	<u>153,724</u>	<u>153,724</u>	<u>153,724</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	738,940	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,604	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
Functional Total	<u>775,330</u>	<u>753,792</u>	<u>777,376</u>	<u>789,264</u>	<u>800,634</u>	<u>803,402</u>
ALL OTHER CATEGORIES						
Miscellaneous	(34,402)	(45,178)	51,276	100,966	46,171	122,079
Functional Total	<u>(34,402)</u>	<u>(45,178)</u>	<u>51,276</u>	<u>100,966</u>	<u>46,171</u>	<u>122,079</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u>55,294,648</u>	<u>57,267,003</u>	<u>58,806,638</u>	<u>61,883,926</u>	<u>63,902,816</u>	<u>66,156,850</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	57,860	52,515	51,572	53,512	55,230	56,168
Alcoholic Beverage Control, Division of	12,581	13,044	13,629	13,823	14,020	14,221
Economic Development, Department of	20,444	33,729	22,726	22,627	23,230	23,485
Energy Research and Development Authority	4,744	6,456	5,286	5,396	5,396	5,396
Financial Services, Department of	212,310	210,028	202,401	206,774	209,007	211,596
Olympic Regional Development Authority	3,534	3,596	3,079	3,206	3,288	3,288
Public Service Department	52,777	47,386	48,889	54,419	56,343	58,195
Racing and Wagering Board, State	18,056	17,270	15,194	15,226	15,333	15,568
Functional Total	382,306	384,024	362,776	374,983	381,847	387,917
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,637	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	255,522	245,162	234,743	234,902	236,463	239,266
Environmental Facilities Corporation	7,122	6,504	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	177,022	183,216	165,936	166,563	169,056	171,026
Functional Total	444,303	439,181	404,825	405,640	409,770	414,629
TRANSPORTATION						
Motor Vehicles, Department of	68,522	67,400	70,013	73,915	75,576	77,460
Transportation, Department of	33,454	35,857	24,952	25,548	26,111	26,722
Functional Total	101,976	103,257	94,965	99,463	101,687	104,182
HEALTH						
Aging, Office for the	1,677	2,137	1,737	1,811	1,903	1,970
Health, Department of	571,324	555,256	606,935	663,531	684,909	690,571
<i>Medical Assistance</i>	23,247	15,222	51,355	56,355	55,855	55,855
<i>Public Health</i>	548,077	540,034	555,580	607,176	629,054	634,716
Medicaid Inspector General, Office of the	27,990	25,258	24,795	25,596	26,821	27,292
Stem Cell and Innovation	36,971	43,470	43,500	63,673	61,373	56,500
Functional Total	637,962	626,121	676,967	754,611	775,006	776,333
SOCIAL WELFARE						
Children and Family Services, Office of	321,270	292,769	315,749	314,574	301,454	298,224
<i>OCFS</i>	321,270	292,769	315,749	314,574	301,454	298,224
Housing and Community Renewal, Division of	61,015	55,910	51,392	51,781	52,756	54,285
Human Rights, Division of	14,165	12,000	10,755	10,958	11,269	11,614
Labor, Department of	44,994	42,274	50,358	43,269	44,363	45,456
National and Community Service	381	294	251	333	337	337
Prevention of Domestic Violence, Office for	1,290	1,261	1,409	1,426	1,465	1,509
Temporary and Disability Assistance, Office of	167,085	150,451	202,699	205,158	216,323	214,493
<i>All Other</i>	167,085	150,451	202,699	205,158	216,323	214,493
Welfare Inspector General, Office of	1,094	395	1,186	1,206	1,234	1,244
Workers' Compensation Board	150,850	157,884	150,979	149,735	152,632	155,909
Functional Total	762,144	713,238	784,778	778,440	781,833	783,071
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	80,818	75,671	73,393	75,072	77,819	81,590
<i>OASAS</i>	34,454	31,920	32,662	33,320	34,549	36,224
<i>OASAS - Other</i>	46,364	43,751	40,731	41,752	43,270	45,366
Mental Health, Office of	1,455,854	1,372,952	1,415,695	1,461,109	1,529,654	1,592,461
<i>OMH</i>	482,556	306,563	387,066	381,483	400,417	408,853
<i>OMH - Other</i>	973,298	1,066,389	1,028,629	1,079,626	1,129,237	1,183,608
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	1,542,092	1,485,935	1,487,922	1,543,657	1,580,784	1,666,824
<i>OPWDD</i>	(6,620)	106,952	176	181	181	181
<i>OPWDD - Other</i>	1,548,712	1,378,983	1,487,746	1,543,476	1,580,603	1,666,643
Quality of Care and Advocacy for Persons With Disabilities, Commission on	6,573	5,948	7,698	8,368	8,548	8,743
Functional Total	3,085,682	2,940,917	2,984,708	3,088,206	3,196,805	3,349,618
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,575,307	2,471,532	2,703,688	2,577,560	2,649,150	2,806,031
Criminal Justice Services, Division of	63,391	63,882	70,920	72,462	70,210	71,639
Disaster Assistance	0	20,811	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	15,550	8,654	48,678	36,972	33,560	34,731
Indigent Legal Services, Office of	25,024	326	859	1,151	1,167	1,183
Judicial Commissions	4,944	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	28,398	27,440	26,944	27,089	27,443	27,814
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	657,091	611,384	643,524	649,676	655,118	668,143
Statewide Financial System	9,069	17,596	52,601	51,525	52,826	54,164
Statewide Wireless Network	27,655	69,207	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Victim Services, Office of	4,521	4,138	4,782	4,862	4,974	5,113
Functional Total	3,413,369	3,302,200	3,603,420	3,460,185	3,538,130	3,678,272
HIGHER EDUCATION						
City University of New York	117,099	107,837	103,231	105,433	107,683	109,983
Higher Education - Miscellaneous	255	376	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	61,052	64,233	68,980	70,309	71,976	73,821
State University Construction Fund	14,438	16,809	0	0	0	0
State University of New York	4,880,006	5,429,621	5,523,988	5,631,128	5,773,706	5,912,561
Functional Total	5,072,850	5,618,876	5,697,400	5,808,071	5,954,566	6,097,566
EDUCATION						
Arts, Council on the	4,694	4,088	4,120	4,122	4,168	4,218
Education, Department of	131,572	126,159	125,348	129,133	131,140	133,142
<i>All Other</i>	131,572	126,159	125,348	129,133	131,140	133,142
Functional Total	136,266	130,247	129,468	133,255	135,308	137,360
GENERAL GOVERNMENT						
Budget, Division of the	32,081	30,681	31,253	36,596	39,063	40,049
Civil Service, Department of	17,677	14,595	14,736	16,143	16,603	17,003
Deferred Compensation Board	519	457	605	615	633	642
Elections, State Board of	5,604	9,400	5,114	5,179	5,316	5,462
Employee Relations, Office of	3,000	2,604	2,688	2,710	2,786	2,869
General Services, Office of	127,315	119,994	154,228	138,390	133,213	136,101
Inspector General, Office of the	5,703	5,409	6,610	6,717	6,970	7,196
Labor Management Committees	32,335	23,215	33,838	33,092	35,244	40,409
Lottery, Division of the	152,955	140,484	161,247	155,197	159,080	159,478
Public Employment Relations Board	3,988	3,675	3,731	3,857	3,804	3,896
Public Integrity, Commission on	3,794	3,217	4,016	4,486	4,600	4,720
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	41,797	40,716	45,662	46,581	47,676	48,348
Tax Appeals, Division of	3,134	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	412,940	382,913	393,151	394,799	405,915	412,630
Technology, Office for	22,018	18,958	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	6,025	5,312	5,989	5,552	5,698	5,866
Functional Total	872,538	804,563	887,963	874,032	893,372	911,102
ELECTED OFFICIALS						
Audit and Control, Department of	138,841	135,123	139,394	142,190	145,658	149,721
Executive Chamber	12,880	13,256	13,578	13,836	14,773	15,185
Judiciary	1,868,890	1,827,308	1,855,900	1,914,414	1,999,952	2,095,494
Law, Department of	161,877	160,461	165,109	162,564	166,796	171,368
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	304	408	614	614	665	680
Functional Total	2,405,328	2,333,719	2,393,390	2,454,967	2,551,789	2,659,031
ALL OTHER CATEGORIES						
General State Charges	5,561	3,676	0	0	0	0
Long-Term Debt Service	62,846	45,732	46,925	46,925	46,925	46,925
Miscellaneous	4,886	5,007	(104,114)	(219,122)	(211,175)	(105,096)
Functional Total	73,293	54,415	(57,189)	(172,197)	(164,250)	(58,171)
TOTAL STATE OPERATIONS SPENDING	17,388,017	17,450,758	17,963,471	18,059,656	18,555,863	19,240,910

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	31,416	27,412	27,993	29,168	30,180	30,855
Alcoholic Beverage Control, Division of	8,512	7,720	8,185	8,246	8,308	8,370
Economic Development, Department of	13,906	11,354	12,704	13,087	13,424	13,679
Energy Research and Development Authority	2,894	4,776	3,432	3,501	3,501	3,501
Financial Services, Department of	152,126	142,332	133,847	136,495	138,669	141,191
Olympic Regional Development Authority	2,890	2,849	2,485	2,522	2,548	2,548
Public Service Department	41,801	38,749	40,507	44,591	46,220	47,964
Racing and Wagering Board, State	12,062	10,940	9,059	9,058	9,133	9,368
Functional Total	265,607	246,132	238,212	246,668	251,983	257,476
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,234	3,779	3,791	3,820	3,896	3,982
Environmental Conservation, Department of	186,181	188,153	174,892	176,274	179,774	182,690
Environmental Facilities Corporation	6,060	5,454	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	135,297	138,928	128,763	129,520	131,378	133,348
Functional Total	331,772	336,314	307,446	309,614	315,048	320,020
TRANSPORTATION						
Motor Vehicles, Department of	51,578	48,313	50,028	51,560	52,689	54,020
Transportation, Department of	10,853	9,818	10,206	10,457	10,699	10,969
Functional Total	62,431	58,131	60,234	62,017	63,388	64,989
HEALTH						
Aging, Office for the	1,641	1,931	1,555	1,619	1,701	1,768
Health, Department of	289,860	267,998	285,952	310,157	322,478	328,193
<i>Medical Assistance</i>	500	1,438	500	500	500	500
<i>Public Health</i>	289,360	266,560	285,452	309,657	321,978	327,693
Medicaid Inspector General, Office of the	20,818	19,738	18,379	18,850	19,725	20,196
Stem Cell and Innovation	534	441	0	0	0	0
Functional Total	312,853	290,108	305,886	330,626	343,904	350,157
SOCIAL WELFARE						
Children and Family Services, Office of	192,793	177,205	186,486	174,500	163,438	161,653
<i>OCFS</i>	192,793	177,205	186,486	174,500	163,438	161,653
Housing and Community Renewal, Division of	46,367	40,611	40,890	41,148	41,986	43,395
Human Rights, Division of	12,932	10,679	9,841	9,927	10,207	10,522
Labor, Department of	32,161	29,954	27,955	28,145	28,851	29,545
National and Community Service	304	292	210	292	295	295
Prevention of Domestic Violence, Office for	1,098	1,040	1,313	1,324	1,356	1,389
Temporary and Disability Assistance, Office of	62,305	51,870	83,922	85,979	90,656	93,056
<i>All Other</i>	62,305	51,870	83,922	85,979	90,656	93,056
Welfare Inspector General, Office of	434	364	701	721	738	748
Workers' Compensation Board	89,052	85,890	87,805	90,328	92,301	94,633
Functional Total	437,446	397,905	439,123	432,364	429,828	435,236
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	59,596	54,920	54,931	56,407	58,624	61,879
<i>OASAS</i>	25,103	22,801	24,466	24,854	25,830	27,263
<i>OASAS - Other</i>	34,493	32,119	30,465	31,553	32,794	34,616
Mental Health, Office of	1,145,258	1,081,037	1,128,102	1,146,525	1,196,034	1,257,066
<i>OMH</i>	405,776	253,135	307,407	298,781	318,073	326,199
<i>OMH - Other</i>	739,482	827,902	820,695	847,744	877,961	930,867
People with Developmental Disabilities, Office for	1,168,196	1,125,113	1,124,943	1,167,242	1,193,675	1,269,575
<i>OPWDD</i>	0	72,227	0	0	0	0
<i>OPWDD - Other</i>	1,168,196	1,052,886	1,124,943	1,167,242	1,193,675	1,269,575
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,430	4,856	6,480	7,090	7,241	7,404
Functional Total	2,378,480	2,265,926	2,314,456	2,377,264	2,455,574	2,595,924
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,082	1,890	2,398	2,414	2,481	2,548
Correctional Services, Department of	2,043,633	1,959,956	2,231,528	2,085,747	2,136,867	2,272,313
Criminal Justice Services, Division of	34,806	32,972	33,919	34,524	35,363	36,326
Disaster Assistance	0	9,685	0	0	0	0
Homeland Security and Emergency Services, Division of	6,531	6,837	15,494	15,635	16,151	16,733
Indigent Legal Services, Office of	8	259	569	802	810	818
Judicial Commissions	3,723	3,794	4,093	4,124	4,237	4,357
Military and Naval Affairs, Division of	19,260	17,152	16,525	16,655	16,861	17,080
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	582,393	557,865	563,304	568,753	572,693	580,708
Statewide Financial System	3,977	7,554	10,814	10,895	10,977	11,060
Statewide Wireless Network	8,076	7,006	0	0	0	0
Victim Services, Office of	3,761	3,109	3,866	3,931	4,026	4,148

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Functional Total	<u>2,708,250</u>	<u>2,608,079</u>	<u>2,883,110</u>	<u>2,744,080</u>	<u>2,801,066</u>	<u>2,946,691</u>
HIGHER EDUCATION						
City University of New York	85,732	74,043	75,289	76,792	78,326	79,892
Higher Education - Miscellaneous	221	207	198	198	198	198
Higher Education Services Corporation, New York State	32,756	28,789	30,166	30,554	31,247	32,058
State University Construction Fund	12,562	13,612	0	0	0	0
State University of New York	<u>3,239,364</u>	<u>3,324,528</u>	<u>3,379,755</u>	<u>3,429,503</u>	<u>3,520,264</u>	<u>3,607,190</u>
Functional Total	<u>3,370,635</u>	<u>3,441,179</u>	<u>3,485,408</u>	<u>3,537,047</u>	<u>3,630,035</u>	<u>3,719,338</u>
EDUCATION						
Arts, Council on the	3,098	2,266	2,298	2,300	2,346	2,396
Education, Department of	<u>90,078</u>	<u>80,475</u>	<u>81,821</u>	<u>84,326</u>	<u>85,873</u>	<u>87,539</u>
<i>All Other</i>	<u>90,078</u>	<u>80,475</u>	<u>81,821</u>	<u>84,326</u>	<u>85,873</u>	<u>87,539</u>
Functional Total	<u>93,176</u>	<u>82,741</u>	<u>84,119</u>	<u>86,626</u>	<u>88,219</u>	<u>89,935</u>
GENERAL GOVERNMENT						
Budget, Division of the	24,430	23,829	24,961	25,768	27,287	28,166
Civil Service, Department of	16,153	13,284	13,247	14,359	14,714	15,109
Deferred Compensation Board	374	378	392	396	408	417
Elections, State Board of	4,205	4,065	4,144	4,254	4,370	4,495
Employee Relations, Office of	2,909	2,529	2,551	2,570	2,646	2,728
General Services, Office of	56,079	48,873	50,908	51,870	52,997	54,392
Inspector General, Office of the	5,519	5,067	6,083	6,129	6,301	6,485
Labor Management Committees	9,872	8,359	5,500	5,504	6,119	6,366
Lottery, Division of the	21,057	20,276	24,676	25,029	25,495	25,893
Public Employment Relations Board	3,456	3,197	3,290	3,119	3,046	3,138
Public Integrity, Commission on	3,017	2,492	3,166	3,190	3,282	3,380
Regulatory Reform, Governor's Office of	1,537	80	0	0	0	0
State, Department of	31,270	28,357	31,340	32,307	33,039	33,700
Tax Appeals, Division of	2,776	2,483	2,900	2,601	2,673	2,727
Taxation and Finance, Department of	<u>323,767</u>	<u>300,408</u>	<u>301,881</u>	<u>300,966</u>	<u>309,755</u>	<u>316,470</u>
Technology, Office for	11,208	10,456	13,586	13,595	13,907	14,246
Veterans' Affairs, Division of	<u>5,570</u>	<u>4,868</u>	<u>5,050</u>	<u>5,123</u>	<u>5,259</u>	<u>5,416</u>
Functional Total	<u>523,199</u>	<u>479,001</u>	<u>493,675</u>	<u>496,780</u>	<u>511,298</u>	<u>523,128</u>
ELECTED OFFICIALS						
Audit and Control, Department of	110,320	103,298	108,671	109,385	112,012	115,214
Executive Chamber	10,963	10,210	11,338	11,495	12,238	12,589
Judiciary	1,525,120	1,464,351	1,468,250	1,514,284	1,561,492	1,618,742
Law, Department of	111,750	106,462	110,920	110,913	113,920	117,232
Legislature	174,096	151,882	165,284	166,524	167,773	169,031
Lieutenant Governor, Office of the	<u>281</u>	<u>289</u>	<u>480</u>	<u>494</u>	<u>515</u>	<u>543</u>
Functional Total	<u>1,932,530</u>	<u>1,836,492</u>	<u>1,864,943</u>	<u>1,913,095</u>	<u>1,967,950</u>	<u>2,033,351</u>
ALL OTHER CATEGORIES						
General State Charges	3,835	2,091	0	0	0	0
Miscellaneous	<u>2,217</u>	<u>2,356</u>	<u>(7,318)</u>	<u>(42,275)</u>	<u>(27,179)</u>	<u>27,919</u>
Functional Total	<u>6,052</u>	<u>4,447</u>	<u>(7,318)</u>	<u>(42,275)</u>	<u>(27,179)</u>	<u>27,919</u>
TOTAL PERSONAL SERVICE SPENDING	<u>12,422,431</u>	<u>12,046,455</u>	<u>12,469,294</u>	<u>12,493,906</u>	<u>12,831,114</u>	<u>13,364,164</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	26,444	25,103	23,579	24,344	25,050	25,313
Alcoholic Beverage Control, Division of	4,069	5,324	5,444	5,577	5,712	5,851
Economic Development, Department of	6,538	22,375	10,022	9,540	9,806	9,806
Energy Research and Development Authority	1,850	1,680	1,854	1,895	1,895	1,895
Financial Services, Department of	60,184	67,696	68,554	70,279	70,338	70,405
Olympic Regional Development Authority	644	747	594	684	740	740
Public Service Department	10,976	8,637	8,382	9,828	10,123	10,231
Racing and Wagering Board, State	5,994	6,330	6,135	6,168	6,200	6,200
Functional Total	116,699	137,892	124,564	128,315	129,864	130,441
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	403	520	355	355	355	355
Environmental Conservation, Department of	69,341	57,009	59,851	58,628	56,689	56,576
Environmental Facilities Corporation	1,062	1,050	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	41,725	44,288	37,173	37,043	37,678	37,678
Functional Total	112,531	102,867	97,379	96,026	94,722	94,609
TRANSPORTATION						
Motor Vehicles, Department of	16,944	19,087	19,985	22,355	22,887	23,440
Transportation, Department of	22,601	26,039	14,746	15,091	15,412	15,753
Functional Total	39,545	45,126	34,731	37,446	38,299	39,193
HEALTH						
Aging, Office for the	36	206	182	192	202	202
Health, Department of	281,464	287,258	320,983	353,374	362,431	362,378
<i>Medical Assistance</i>	22,747	13,784	50,855	55,855	55,355	55,355
<i>Public Health</i>	258,717	273,474	270,128	297,519	307,076	307,023
Medicaid Inspector General, Office of the	7,172	5,520	6,416	6,746	7,096	7,096
Stem Cell and Innovation	36,437	43,029	43,500	63,673	61,373	56,500
Functional Total	325,109	336,013	371,081	423,985	431,102	426,176
SOCIAL WELFARE						
Children and Family Services, Office of	128,477	115,564	129,263	140,074	138,016	136,571
<i>OCFS</i>	128,477	115,564	129,263	140,074	138,016	136,571
Housing and Community Renewal, Division of	14,648	15,299	10,502	10,633	10,770	10,890
Human Rights, Division of	1,233	1,321	914	1,031	1,062	1,092
Labor, Department of	12,833	12,320	22,403	15,124	15,512	15,911
National and Community Service	77	2	41	41	42	42
Prevention of Domestic Violence, Office for	192	221	96	102	109	120
Temporary and Disability Assistance, Office of	104,780	98,581	118,777	119,179	125,667	121,437
<i>All Other</i>	104,780	98,581	118,777	119,179	125,667	121,437
Welfare Inspector General, Office of	660	31	485	485	496	496
Workers' Compensation Board	61,798	71,994	63,174	59,407	60,331	61,276
Functional Total	324,698	315,333	345,655	346,076	352,005	347,835
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	21,222	20,751	18,462	18,665	19,195	19,711
<i>OASAS</i>	9,351	9,119	8,196	8,466	8,719	8,961
<i>OASAS - Other</i>	11,871	11,632	10,266	10,199	10,476	10,750
Mental Health, Office of	310,596	291,915	287,593	314,584	333,620	335,395
<i>OMH</i>	76,780	53,428	79,659	82,702	82,344	82,654
<i>OMH - Other</i>	233,816	238,487	207,934	231,882	251,276	252,741
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	373,896	360,822	362,979	376,415	387,109	397,249
<i>OPWDD</i>	(6,620)	34,725	176	181	181	181
<i>OPWDD - Other</i>	380,516	326,097	362,803	376,234	386,928	397,068
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,143	1,092	1,218	1,278	1,307	1,339
Functional Total	707,202	674,991	670,252	710,942	741,231	753,694
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	337	361	274	297	306	316
Correctional Services, Department of	531,674	511,576	472,160	491,813	512,283	533,718
Criminal Justice Services, Division of	28,585	30,910	37,001	37,938	34,847	35,313
Disaster Assistance	0	11,126	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	9,019	1,817	33,184	21,337	17,409	17,998
Indigent Legal Services, Office of	25,016	67	290	349	357	365
Judicial Commissions	1,221	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	9,138	10,288	10,419	10,434	10,582	10,734
State Police, Division of	74,698	53,519	80,220	80,923	82,425	87,435
Statewide Financial System	5,092	10,042	41,787	40,630	41,849	43,104
Statewide Wireless Network	19,579	62,201	0	0	0	0
Victim Services, Office of	760	1,029	916	931	948	965

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Functional Total	705,119	694,121	720,310	716,105	737,064	731,581
HIGHER EDUCATION						
City University of New York	31,367	33,794	27,942	28,641	29,357	30,091
Higher Education - Miscellaneous	34	169	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	28,296	35,444	38,814	39,755	40,729	41,763
State University Construction Fund	1,876	3,197	0	0	0	0
State University of New York	1,640,642	2,105,093	2,144,233	2,201,625	2,253,442	2,305,371
Functional Total	1,702,215	2,177,697	2,211,992	2,271,024	2,324,531	2,378,228
EDUCATION						
Arts, Council on the	1,596	1,822	1,822	1,822	1,822	1,822
Education, Department of	41,494	45,684	43,527	44,807	45,267	45,603
<i>All Other</i>	41,494	45,684	43,527	44,807	45,267	45,603
Functional Total	43,090	47,506	45,349	46,629	47,089	47,425
GENERAL GOVERNMENT						
Budget, Division of the	7,651	6,852	6,292	10,828	11,776	11,883
Civil Service, Department of	1,524	1,311	1,489	1,784	1,889	1,894
Deferred Compensation Board	145	79	213	219	225	225
Elections, State Board of	1,399	5,335	970	925	946	967
Employee Relations, Office of	91	75	137	140	140	141
General Services, Office of	71,236	71,121	103,320	86,520	80,216	81,709
Inspector General, Office of the	184	342	527	588	669	711
Labor Management Committees	22,463	14,856	28,338	27,588	29,125	34,043
Lottery, Division of the	131,898	120,208	136,571	130,168	133,585	133,585
Public Employment Relations Board	532	478	441	738	758	758
Public Integrity, Commission on	777	725	850	1,296	1,318	1,340
Regulatory Reform, Governor's Office of	116	3	0	0	0	0
State, Department of	10,527	12,359	14,322	14,274	14,637	14,648
Tax Appeals, Division of	358	367	201	212	221	221
Taxation and Finance, Department of	89,173	82,505	91,270	93,833	96,160	96,160
Technology, Office for	10,810	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	455	444	939	429	439	450
Functional Total	349,339	325,562	394,288	377,252	382,074	387,974
ELECTED OFFICIALS						
Audit and Control, Department of	28,521	31,825	30,723	32,805	33,646	34,507
Executive Chamber	1,917	3,046	2,240	2,341	2,535	2,596
Judiciary	343,770	362,957	387,650	400,130	438,460	476,752
Law, Department of	50,127	53,999	54,189	51,651	52,876	54,136
Legislature	48,440	45,281	53,511	54,825	56,172	57,552
Lieutenant Governor, Office of the	23	119	134	120	150	137
Functional Total	472,798	497,227	528,447	541,872	583,839	625,680
ALL OTHER CATEGORIES						
General State Charges	1,726	1,585	0	0	0	0
Long-Term Debt Service	62,846	45,732	46,925	46,925	46,925	46,925
Miscellaneous	2,669	2,651	(96,796)	(176,847)	(183,996)	(133,015)
Functional Total	67,241	49,968	(49,871)	(129,922)	(137,071)	(86,090)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	4,965,586	5,404,303	5,494,177	5,565,750	5,724,749	5,876,746

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,749	1,340	1,590	1,720	1,882	1,999
Alcoholic Beverage Control, Division of	4,125	3,572	4,230	4,491	4,761	5,004
Economic Development, Department of	21	0	28	28	28	28
Energy Research and Development Authority	1,406	2,342	1,638	1,758	1,758	1,758
Financial Services, Department of	66,829	78,607	66,930	66,986	68,236	69,800
Public Service Department	20,299	18,738	20,935	24,284	26,488	28,678
Racing and Wagering Board, State	3,517	2,283	3,054	3,287	3,512	3,512
Functional Total	100,946	106,882	98,405	102,554	106,665	110,779
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	37,838	34,802	36,896	35,879	36,705	37,571
Environmental Facilities Corporation	2,268	2,389	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,627	655	3,691	3,830	3,893	3,938
Functional Total	42,733	37,846	40,587	39,709	40,598	41,509
TRANSPORTATION						
Motor Vehicles, Department of	27,440	24,762	24,999	28,079	30,196	32,298
Transportation, Department of	403	10,781	4,951	5,394	5,790	6,196
Functional Total	27,843	35,543	29,950	33,473	35,986	38,494
HEALTH						
Health, Department of	33,594	31,976	42,219	46,360	47,685	49,298
<i>Public Health</i>	33,594	31,976	42,219	46,360	47,685	49,298
Medicaid Inspector General, Office of the	94	26	0	0	0	0
Stem Cell and Innovation	318	232	0	0	0	0
Functional Total	34,006	32,234	42,219	46,360	47,685	49,298
SOCIAL WELFARE						
Children and Family Services, Office of	2,325	1,681	2,516	2,620	2,652	2,693
<i>OCFS</i>	2,325	1,681	2,516	2,620	2,652	2,693
Housing and Community Renewal, Division of	16,197	15,130	16,501	17,530	18,670	19,581
Labor, Department of	15,601	15,023	14,447	15,328	16,535	17,665
Temporary and Disability Assistance, Office of	1,449	884	0	0	0	0
<i>All Other</i>	1,449	884	0	0	0	0
Welfare Inspector General, Office of	56	46	221	221	222	224
Workers' Compensation Board	43,152	41,151	45,231	49,193	52,898	56,581
Functional Total	78,780	73,915	78,916	84,892	90,977	96,744
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	28,405	28,073	28,141	30,184	33,096	36,551
<i>OASAS</i>	12,170	12,086	12,397	13,297	14,579	16,099
<i>OASAS - Other</i>	16,235	15,987	15,744	16,887	18,517	20,452
Mental Health, Office of	559,405	530,084	575,056	614,201	672,032	740,872
<i>OMH</i>	198,061	121,294	138,921	160,344	177,298	192,582
<i>OMH - Other</i>	361,344	408,790	436,135	453,857	494,734	548,290
People with Developmental Disabilities, Office for	579,990	521,119	595,527	647,854	699,253	768,760
<i>OPWDD</i>	0	103,992	0	0	0	0
<i>OPWDD - Other</i>	579,990	417,127	595,527	647,854	699,253	768,760
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,017	1,076	1,187	1,270	1,375	1,481
Functional Total	1,168,817	1,080,352	1,199,911	1,293,509	1,405,756	1,547,664
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	2,000	0	42	45	48	51
Criminal Justice Services, Division of	86	61	195	208	226	242
Homeland Security and Emergency Services, Division of	196	0	709	707	707	707
Indigent Legal Services, Office of	0	83	285	437	464	489
Military and Naval Affairs, Division of	583	546	250	250	250	250
State Police, Division of	20,735	18,994	21,282	24,073	25,230	26,230
Statewide Financial System	1	0	0	0	0	0
Statewide Wireless Network	598	120	0	0	0	0
Victim Services, Office of	888	1,817	1,777	1,887	2,000	2,102
Functional Total	25,087	21,621	24,540	27,607	28,925	30,071
HIGHER EDUCATION						
City University of New York	6,400	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	115	73	99	99	99	99
Higher Education Services Corporation, New York State	14,829	12,780	15,131	16,363	17,337	18,223
State University Construction Fund	4,477	5,816	0	0	0	0
State University of New York	528,040	559,936	587,722	606,078	625,939	646,757
Functional Total	553,861	584,960	609,104	628,877	649,902	671,802

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
EDUCATION						
Education, Department of	29,406	30,286	29,862	32,319	34,763	36,844
<i>All Other</i>	29,406	30,286	29,862	32,319	34,763	36,844
Functional Total	<u>29,406</u>	<u>30,286</u>	<u>29,862</u>	<u>32,319</u>	<u>34,763</u>	<u>36,844</u>
GENERAL GOVERNMENT						
Budget, Division of the	1,744	2,081	2,297	2,650	2,874	3,094
Civil Service, Department of	219	241	168	168	172	176
Deferred Compensation Board	162	164	191	208	225	225
General Services, Office of	1,290	1,495	1,901	2,002	2,144	2,284
Lottery, Division of the	9,955	9,822	11,743	13,879	13,978	13,978
State, Department of	9,186	7,368	8,898	9,704	10,595	10,844
Taxation and Finance, Department of	23,256	11,633	21,522	22,820	23,047	23,047
Functional Total	<u>45,812</u>	<u>32,804</u>	<u>46,720</u>	<u>51,431</u>	<u>53,035</u>	<u>53,648</u>
ELECTED OFFICIALS						
Audit and Control, Department of	1,382	2,689	2,061	1,522	1,633	1,744
Judiciary	551,580	601,767	584,398	643,830	683,352	717,306
Law, Department of	7,884	8,163	14,030	15,103	16,293	17,381
Functional Total	<u>560,846</u>	<u>612,619</u>	<u>600,489</u>	<u>660,455</u>	<u>701,278</u>	<u>736,431</u>
ALL OTHER CATEGORIES						
General State Charges	3,426,460	3,938,106	3,724,334	4,055,224	4,361,309	4,619,948
Miscellaneous	6,898	5,244	5,809	5,947	6,080	6,203
Functional Total	<u>3,433,358</u>	<u>3,943,350</u>	<u>3,730,143</u>	<u>4,061,171</u>	<u>4,367,389</u>	<u>4,626,151</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>6,101,495</u></u>	<u><u>6,592,412</u></u>	<u><u>6,530,846</u></u>	<u><u>7,062,357</u></u>	<u><u>7,562,959</u></u>	<u><u>8,039,435</u></u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
CAPITAL PROJECTS
(thousands of dollars)

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	18,571	6,079	5,000	5,000	5,000	5,000
Functional Total	<u>18,571</u>	<u>6,079</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
TRANSPORTATION						
Transportation, Department of	0	349	0	0	0	0
Functional Total	<u>0</u>	<u>349</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
GENERAL GOVERNMENT						
State, Department of	0	0	(15)	(15)	(15)	(15)
Functional Total	<u>0</u>	<u>0</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>18,571</u>	<u>6,428</u>	<u>4,985</u>	<u>4,985</u>	<u>4,985</u>	<u>4,985</u>

CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	5,205	17,956	15,506	9,277	7,330	3,500
Economic Development Capital	30,190	17,655	12,500	12,500	5,000	5,000
Economic Development, Department of	57,695	41,323	29,381	32,645	19,859	15,000
Empire State Development Corporation	895,913	877,318	437,065	587,602	607,103	439,492
Energy Research and Development Authority	16,403	14,629	13,200	14,790	14,790	14,790
Regional Economic Development Program	5,248	6,058	2,500	2,500	1,500	1,500
Strategic Investment Program	3,561	3,718	5,000	5,000	5,000	5,000
Functional Total	1,014,215	978,657	515,152	664,314	660,582	484,282
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	671,782	656,742	649,692	568,081	480,559	446,102
Environmental Facilities Corporation	356	1,454	0	0	0	0
Hudson River Park Trust	15,216	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	40,592	26,118	90,341	63,627	50,940	37,228
Functional Total	727,946	684,530	743,849	631,708	531,499	483,330
TRANSPORTATION						
Metropolitan Transportation Authority	216,912	194,500	333,600	183,600	183,600	328,571
Motor Vehicles, Department of	204,814	186,910	184,484	194,948	202,058	209,772
Thruway Authority, New York State	1,478	2,163	1,800	1,800	1,800	1,800
Transportation, Department of	3,680,311	3,513,459	3,721,702	3,727,823	3,491,622	3,160,379
Functional Total	4,103,515	3,897,032	4,241,586	4,108,171	3,879,080	3,700,522
HEALTH						
Health, Department of	286,445	330,927	462,118	419,343	88,907	88,333
<i>Public Health</i>	286,445	330,927	462,118	419,343	88,907	88,333
Functional Total	286,445	330,927	462,118	419,343	88,907	88,333
SOCIAL WELFARE						
Children and Family Services, Office of	21,023	20,511	20,900	20,900	20,914	20,931
<i>OCFS</i>	21,023	20,511	20,900	20,900	20,914	20,931
Housing and Community Renewal, Division of	96,570	82,360	83,635	83,577	85,229	90,231
Temporary and Disability Assistance, Office of	45,000	45,000	40,000	30,000	30,000	30,000
<i>All Other</i>	45,000	45,000	40,000	30,000	30,000	30,000
Functional Total	162,593	147,871	144,535	134,477	136,143	141,162
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	43,218	52,984	57,819	57,819	126,773	126,773
<i>OASAS</i>	43,218	52,984	57,819	57,819	126,773	126,773
Mental Health, Office of	129,125	94,524	134,090	142,109	217,191	217,294
<i>OMH</i>	129,125	94,524	134,090	142,109	217,191	217,294
People with Developmental Disabilities, Office for	24,369	41,719	49,099	43,099	43,099	43,099
<i>OPWDD</i>	24,369	41,719	49,099	43,099	43,099	43,099
Functional Total	196,712	189,227	241,008	243,027	387,063	387,166
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	216,232	239,799	253,265	259,677	265,710	271,860
Disaster Assistance	0	17,754	0	0	0	0
Homeland Security and Emergency Services, Division of	2,416	4,476	16,000	13,100	5,500	400
Military and Naval Affairs, Division of	23,746	16,092	27,041	26,996	43,507	41,607
Public Security and Emergency Response	535	125	0	0	0	0
State Police, Division of	14,597	30,466	37,800	17,874	13,865	13,865
Functional Total	257,526	308,712	334,106	317,647	328,582	327,732
HIGHER EDUCATION						
City University of New York	9,601	25,182	52,127	53,066	46,725	41,958
Higher Education Facilities Capital Matching Grants Program	33,834	26,836	36,492	10,000	0	0
State University of New York	852,555	1,020,432	1,091,604	1,070,945	1,198,663	1,122,584
Functional Total	895,990	1,072,450	1,180,223	1,134,011	1,245,388	1,164,542
EDUCATION						
Education, Department of	13,398	22,384	46,637	47,118	32,400	37,400
<i>All Other</i>	13,398	22,384	46,637	47,118	32,400	37,400
Functional Total	13,398	22,384	46,637	47,118	32,400	37,400
GENERAL GOVERNMENT						
General Services, Office of	61,188	74,801	66,578	59,309	69,883	69,883
State, Department of	1,373	0	0	0	0	0
Technology, Office for	4,085	511	0	0	0	0
Functional Total	66,646	75,312	66,578	59,309	69,883	69,883

CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
ELECTED OFFICIALS						
Judiciary	9,640	2,311	0	0	0	0
Functional Total	<u>9,640</u>	<u>2,311</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Miscellaneous	109,953	126,774	91,000	104,000	96,000	40,000
Functional Total	<u>109,953</u>	<u>126,774</u>	<u>91,000</u>	<u>104,000</u>	<u>96,000</u>	<u>40,000</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u>7,844,579</u>	<u>7,836,187</u>	<u>8,066,792</u>	<u>7,863,125</u>	<u>7,455,527</u>	<u>6,924,352</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	96,013	109,312	107,124	101,679	101,990	99,292
Local Assistance Grants	15,014	24,603	25,652	24,216	24,216	24,216
State Operations	70,045	64,331	63,274	65,259	67,261	68,212
Personal Service	33,711	30,360	30,191	31,410	32,478	33,166
Non-Personal Service/Indirect Costs	36,334	33,971	33,083	33,849	34,783	35,046
General State Charges	5,749	2,422	2,692	2,927	3,183	3,364
Capital Projects	5,205	17,956	15,506	9,277	7,330	3,500
<i>Alcoholic Beverage Control, Division of</i>	16,706	16,616	17,859	18,314	18,781	19,225
State Operations	12,581	13,044	13,629	13,823	14,020	14,221
Personal Service	8,512	7,720	8,185	8,246	8,308	8,370
Non-Personal Service/Indirect Costs	4,069	5,324	5,444	5,577	5,712	5,851
General State Charges	4,125	3,572	4,230	4,491	4,761	5,004
<i>Development Authority of the North Country</i>	10	0	70	0	0	0
Local Assistance Grants	10	0	70	0	0	0
<i>Economic Development Capital</i>	30,190	17,655	12,500	12,500	5,000	5,000
Local Assistance Grants	30,617	17,655	0	0	0	0
Capital Projects	(427)	0	12,500	12,500	5,000	5,000
<i>Economic Development, Department of</i>	103,950	147,540	142,744	127,874	98,234	93,630
Local Assistance Grants	75,494	112,990	90,364	72,329	54,872	54,872
State Operations	20,444	33,729	22,971	22,872	23,475	23,730
Personal Service	13,906	11,354	12,704	13,087	13,424	13,679
Non-Personal Service/Indirect Costs	6,538	22,375	10,267	9,785	10,051	10,051
General State Charges	21	0	28	28	28	28
Capital Projects	7,991	821	29,381	32,645	19,859	15,000
<i>Empire State Development Corporation</i>	931,654	953,805	521,153	658,882	634,903	457,292
Local Assistance Grants	926,844	945,359	423,588	526,280	499,900	371,460
Capital Projects	4,810	8,446	97,565	132,602	135,003	85,832
<i>Energy Research and Development Authority</i>	31,710	31,567	29,358	31,178	31,178	31,178
Local Assistance Grants	9,157	8,140	9,234	9,234	9,234	9,234
State Operations	4,744	6,456	5,286	5,396	5,396	5,396
Personal Service	2,894	4,776	3,432	3,501	3,501	3,501
Non-Personal Service/Indirect Costs	1,850	1,680	1,854	1,895	1,895	1,895
General State Charges	1,406	2,342	1,638	1,758	1,758	1,758
Capital Projects	16,403	14,629	13,200	14,790	14,790	14,790
<i>Financial Services, Department of</i>	507,393	507,240	486,283	490,712	494,195	498,348
Local Assistance Grants	228,152	217,470	216,952	216,952	216,952	216,952
State Operations	212,412	211,029	202,401	206,774	209,007	211,596
Personal Service	152,126	142,603	133,847	136,495	138,669	141,191
Non-Personal Service/Indirect Costs	60,286	68,426	68,554	70,279	70,338	70,405
General State Charges	66,829	78,741	66,930	66,986	68,236	69,800
<i>Olympic Regional Development Authority</i>	3,534	3,596	3,079	3,206	3,288	3,288
State Operations	3,534	3,596	3,079	3,206	3,288	3,288
Personal Service	2,890	2,849	2,485	2,522	2,548	2,548
Non-Personal Service/Indirect Costs	644	747	594	684	740	740
<i>Public Service Department</i>	75,288	68,432	72,884	81,863	85,866	89,955
Local Assistance Grants	0	0	500	500	500	500
State Operations	54,403	48,882	50,681	56,250	58,064	59,945
Personal Service	43,289	40,067	42,037	46,131	47,658	49,431
Non-Personal Service/Indirect Costs	11,114	8,815	8,644	10,119	10,406	10,514
General State Charges	20,885	19,550	21,703	25,113	27,302	29,510
<i>Racing and Wagering Board, State</i>	21,573	19,553	18,248	18,513	18,845	19,080
State Operations	18,056	17,270	15,194	15,226	15,333	15,568
Personal Service	12,062	10,940	9,059	9,058	9,133	9,368
Non-Personal Service/Indirect Costs	5,994	6,330	6,135	6,168	6,200	6,200
General State Charges	3,517	2,283	3,054	3,287	3,512	3,512
<i>Regional Economic Development Program</i>	5,248	6,058	2,500	2,500	1,500	1,500
Local Assistance Grants	5,248	6,058	0	0	0	0
Capital Projects	0	0	2,500	2,500	1,500	1,500
<i>Strategic Investment Program</i>	3,561	3,718	5,000	5,000	5,000	5,000
Capital Projects	3,561	3,718	5,000	5,000	5,000	5,000
Functional Total	1,826,830	1,885,092	1,418,802	1,552,221	1,498,780	1,322,788
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,718	4,354	4,496	4,525	4,601	4,687
State Operations	4,718	4,354	4,496	4,525	4,601	4,687

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Personal Service	4,234	3,779	3,791	3,820	3,896	3,982
Non-Personal Service/Indirect Costs	484	575	705	705	705	705
Environmental Conservation, Department of	1,024,255	1,002,764	979,595	895,762	811,319	781,255
Local Assistance Grants	369,290	358,448	257,775	210,252	149,802	149,802
State Operations	302,256	294,072	276,603	276,859	278,861	282,109
Personal Service	210,874	213,248	199,996	201,475	205,416	208,777
Non-Personal Service/Indirect Costs	91,382	80,824	76,607	75,384	73,445	73,332
General State Charges	47,382	47,190	46,975	46,020	47,097	48,242
Capital Projects	305,327	303,054	398,242	362,631	335,559	301,102
Environmental Facilities Corporation	9,746	10,347	0	0	0	0
Local Assistance Grants	0	1,180	0	0	0	0
State Operations	7,122	6,504	0	0	0	0
Personal Service	6,060	5,454	0	0	0	0
Non-Personal Service/Indirect Costs	1,062	1,050	0	0	0	0
General State Charges	2,268	2,389	0	0	0	0
Capital Projects	356	274	0	0	0	0
Hudson River Park Trust	15,216	216	3,816	0	0	0
Capital Projects	15,216	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	260,277	235,452	284,953	250,501	240,527	228,855
Local Assistance Grants	18,960	15,759	17,382	8,870	8,870	8,870
State Operations	180,237	186,943	168,031	168,663	171,203	173,189
Personal Service	136,484	139,955	129,773	130,535	132,415	134,401
Non-Personal Service/Indirect Costs	43,753	46,988	38,258	38,128	38,788	38,788
General State Charges	2,719	655	4,199	4,341	4,514	4,568
Capital Projects	58,361	32,095	95,341	68,627	55,940	42,228
Functional Total	1,314,212	1,253,133	1,272,860	1,150,788	1,056,447	1,014,797
TRANSPORTATION						
Metropolitan Transportation Authority	216,912	194,500	333,600	183,600	183,600	328,571
Local Assistance Grants	216,912	194,500	183,600	183,600	183,600	18,571
Capital Projects	0	0	150,000	0	0	310,000
Motor Vehicles, Department of	320,322	301,370	298,719	316,288	327,324	339,175
Local Assistance Grants	15,734	18,475	14,800	14,800	14,800	14,800
State Operations	71,816	70,746	73,813	77,782	79,538	81,521
Personal Service	52,897	49,513	51,271	52,820	53,981	55,348
Non-Personal Service/Indirect Costs	18,919	21,233	22,542	24,962	25,557	26,173
General State Charges	27,958	25,239	25,622	28,758	30,928	33,082
Capital Projects	204,814	186,910	184,484	194,948	202,058	209,772
Thruway Authority, New York State	1,478	2,163	1,800	1,800	1,800	1,800
Local Assistance Grants	1,478	2,163	0	0	0	0
Capital Projects	0	0	1,800	1,800	1,800	1,800
Transportation, Department of	8,017,474	7,830,434	8,179,764	8,365,815	8,208,947	7,974,313
Local Assistance Grants	4,892,214	4,757,704	5,019,024	5,206,264	5,297,709	5,341,763
State Operations	40,374	42,373	32,540	33,310	34,050	34,854
Personal Service	15,310	13,730	15,248	15,609	15,963	16,356
Non-Personal Service/Indirect Costs	25,064	28,643	17,292	17,701	18,087	18,498
General State Charges	2,519	13,072	7,480	8,167	8,760	9,365
Capital Projects	3,082,367	3,017,285	3,120,720	3,118,074	2,868,428	2,588,331
Functional Total	8,556,186	8,328,467	8,813,883	8,867,503	8,721,671	8,643,859
HEALTH						
Aging, Office for the	232,453	227,242	218,487	221,126	228,051	235,209
Local Assistance Grants	222,625	217,808	208,466	211,021	217,725	224,670
State Operations	9,828	9,434	9,786	9,870	10,091	10,304
Personal Service	8,323	7,978	8,586	8,660	8,871	9,084
Non-Personal Service/Indirect Costs	1,505	1,456	1,200	1,210	1,220	1,220
General State Charges	0	0	235	235	235	235
Health, Department of	43,795,710	44,663,159	44,574,901	47,412,831	51,734,493	54,960,077
Medical Assistance	38,624,934	39,256,878	38,984,461	41,611,055	46,188,911	49,659,517
Local Assistance Grants	38,601,687	39,241,656	38,933,106	41,554,700	46,133,056	49,603,662
State Operations	23,247	15,222	51,355	56,355	55,855	55,855
Personal Service	500	1,438	500	500	500	500
Non-Personal Service/Indirect Costs	22,747	13,784	50,855	55,855	55,355	55,355
Medicaid Administration	956,269	976,377	1,160,500	1,151,400	1,077,200	958,200
Local Assistance Grants	956,269	976,377	1,160,500	1,151,400	1,077,200	958,200
Public Health	4,214,507	4,429,904	4,429,940	4,650,376	4,468,382	4,342,360
Local Assistance Grants	3,396,015	3,593,923	3,574,440	3,738,988	3,532,182	3,396,992

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
State Operations	750,575	772,802	776,775	828,472	851,395	858,254
Personal Service	346,059	318,107	339,826	364,125	377,435	384,286
Non-Personal Service/Indirect Costs	404,516	454,695	436,949	464,347	473,960	473,968
General State Charges	60,449	55,907	65,225	69,416	71,305	73,614
Capital Projects	7,468	7,272	13,500	13,500	13,500	13,500
Medicaid Inspector General, Office of the	66,843	60,837	66,241	67,525	70,221	71,464
State Operations	57,844	52,040	55,503	56,776	59,241	60,200
Personal Service	41,629	39,477	36,758	37,700	39,442	40,375
Non-Personal Service/Indirect Costs	16,215	12,563	18,745	19,076	19,799	19,825
General State Charges	8,999	8,797	10,738	10,749	10,980	11,264
Stem Cell and Innovation	37,289	43,702	43,500	63,673	61,373	56,500
State Operations	36,971	43,470	43,500	63,673	61,373	56,500
Personal Service	534	441	0	0	0	0
Non-Personal Service/Indirect Costs	36,437	43,029	43,500	63,673	61,373	56,500
General State Charges	318	232	0	0	0	0
Functional Total	44,132,295	44,994,940	44,903,129	47,765,155	52,094,138	55,323,250
SOCIAL WELFARE						
Children and Family Services, Office of	3,144,866	3,183,934	2,984,297	3,328,906	3,417,384	3,518,588
OCFS	3,075,511	3,070,766	2,890,846	3,211,538	3,295,411	3,391,865
Local Assistance Grants	2,640,673	2,657,157	2,451,460	2,775,722	2,870,014	2,968,649
State Operations	404,378	380,630	406,057	403,365	392,604	390,004
Personal Service	220,446	203,272	215,568	202,065	191,823	190,656
Non-Personal Service/Indirect Costs	183,932	177,358	190,489	201,300	200,781	199,348
General State Charges	12,215	12,485	12,429	11,551	11,893	12,312
Capital Projects	18,245	20,494	20,900	20,900	20,900	20,900
OCFS - Other	69,355	113,168	93,451	117,368	121,973	126,723
Local Assistance Grants	69,355	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	403,244	482,652	262,781	258,792	263,099	270,819
Local Assistance Grants	308,912	396,383	181,941	176,139	177,791	182,791
State Operations	71,483	65,256	58,650	59,184	60,437	62,297
Personal Service	55,025	48,144	45,921	46,246	47,286	48,987
Non-Personal Service/Indirect Costs	16,458	17,112	12,729	12,938	13,151	13,310
General State Charges	19,849	18,713	19,190	20,467	21,869	22,727
Capital Projects	3,000	2,300	3,000	3,002	3,002	3,004
Human Rights, Division of	19,139	17,311	16,966	17,285	17,858	18,471
State Operations	18,092	17,262	14,997	15,210	15,655	16,141
Personal Service	14,686	13,420	12,571	12,666	13,038	13,451
Non-Personal Service/Indirect Costs	3,406	3,842	2,426	2,544	2,617	2,690
General State Charges	1,047	49	1,969	2,075	2,203	2,330
Labor, Department of	710,215	641,800	698,178	641,846	653,006	667,610
Local Assistance Grants	195,795	164,683	218,507	181,922	179,197	179,197
State Operations	407,013	368,493	366,775	344,312	349,524	355,504
Personal Service	249,256	241,039	224,230	214,420	219,168	224,658
Non-Personal Service/Indirect Costs	157,757	127,454	142,545	129,892	130,356	130,846
General State Charges	107,407	108,624	112,896	115,612	124,285	132,909
National and Community Service	24,731	21,606	14,601	14,687	14,909	14,909
Local Assistance Grants	0	38	350	350	350	350
State Operations	24,731	21,568	14,251	14,337	14,559	14,559
Personal Service	661	674	564	650	657	657
Non-Personal Service/Indirect Costs	24,070	20,894	13,687	13,687	13,902	13,902
Prevention of Domestic Violence, Office for	1,946	1,825	2,094	2,111	2,153	2,202
Local Assistance Grants	666	541	685	685	685	685
State Operations	1,280	1,284	1,409	1,426	1,468	1,517
Personal Service	1,088	1,063	1,313	1,324	1,359	1,397
Non-Personal Service/Indirect Costs	192	221	96	102	109	120
Temporary and Disability Assistance, Office of	5,278,082	5,317,739	5,444,086	5,270,239	5,182,778	5,213,431
Welfare Assistance	3,717,714	3,787,292	4,016,043	3,853,929	3,752,278	3,778,991
Local Assistance Grants	3,717,714	3,787,292	4,016,043	3,853,929	3,752,278	3,778,991
All Other	1,560,368	1,530,447	1,428,043	1,416,310	1,430,500	1,434,440
Local Assistance Grants	1,183,687	1,167,432	1,032,593	1,016,993	1,016,993	1,018,393
State Operations	329,230	319,774	351,327	354,854	368,534	371,074
Personal Service	166,482	154,615	167,368	170,424	177,551	182,643
Non-Personal Service/Indirect Costs	162,748	165,159	183,959	184,430	190,983	188,431
General State Charges	47,451	43,241	44,123	44,463	44,973	44,973
Welfare Inspector General, Office of	1,150	441	1,407	1,427	1,456	1,468
State Operations	1,094	395	1,186	1,206	1,234	1,244

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Personal Service	434	364	701	721	738	748
Non-Personal Service/Indirect Costs	660	31	485	485	496	496
General State Charges	56	46	221	221	222	224
Workers' Compensation Board	198,709	203,545	199,742	202,552	209,154	216,114
State Operations	155,557	162,394	154,511	153,359	156,256	159,533
Personal Service	89,052	85,890	87,805	90,328	92,301	94,633
Non-Personal Service/Indirect Costs	66,505	76,504	66,706	63,031	63,955	64,900
General State Charges	43,152	41,151	45,231	49,193	52,898	56,581
Functional Total	9,782,082	9,870,853	9,624,152	9,737,845	9,761,797	9,923,612
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	600,042	592,713	610,793	638,490	729,206	753,881
OASAS	488,354	500,824	521,638	547,171	634,739	655,383
Local Assistance Grants	434,709	450,222	456,790	477,291	562,978	580,180
State Operations	39,783	38,370	39,817	40,465	41,267	43,031
Personal Service	29,054	27,687	29,943	30,379	30,906	32,390
Non-Personal Service/Indirect Costs	10,729	10,683	9,874	10,086	10,361	10,641
General State Charges	12,184	12,086	15,151	16,219	17,411	19,089
Capital Projects	1,678	146	9,880	13,196	13,083	13,083
OASAS - Other	111,688	91,889	89,155	91,319	94,467	98,498
Local Assistance Grants	49,089	32,151	32,680	32,680	32,680	32,680
State Operations	46,364	43,751	40,731	41,752	43,270	45,366
Personal Service	34,493	32,119	30,465	31,553	32,794	34,616
Non-Personal Service/Indirect Costs	11,871	11,632	10,266	10,199	10,476	10,750
General State Charges	16,235	15,987	15,744	16,887	18,517	20,452
Developmental Disabilities Planning Council	2,894	3,908	4,200	4,200	4,200	4,199
State Operations	2,894	2,573	3,665	3,551	3,489	3,441
Personal Service	1,190	980	1,197	1,211	1,230	1,253
Non-Personal Service/Indirect Costs	1,704	1,593	2,468	2,340	2,259	2,188
General State Charges	0	1,335	535	649	711	758
Mental Health, Office of	3,332,889	3,171,087	3,270,474	3,520,842	3,856,282	4,092,323
OMH	1,574,835	1,287,760	1,423,061	1,576,294	1,794,424	1,890,225
Local Assistance Grants	823,456	795,093	818,487	955,817	1,137,961	1,209,925
State Operations	483,127	308,056	387,793	382,220	401,154	409,590
Personal Service	405,883	253,990	307,985	299,365	318,657	326,783
Non-Personal Service/Indirect Costs	77,244	54,066	79,808	82,855	82,497	82,807
General State Charges	198,566	121,600	139,211	160,659	177,629	192,927
Capital Projects	69,686	63,011	77,570	77,598	77,680	77,783
OMH - Other	1,758,054	1,883,327	1,847,413	1,944,548	2,061,858	2,202,098
Local Assistance Grants	423,412	408,148	382,649	411,065	437,887	470,200
State Operations	973,298	1,066,389	1,028,629	1,079,626	1,129,237	1,183,608
Personal Service	739,482	827,902	820,695	847,744	877,961	930,867
Non-Personal Service/Indirect Costs	233,816	238,487	207,934	231,882	251,276	252,741
General State Charges	361,344	408,790	436,135	453,857	494,734	548,290
Mental Hygiene, Department of	345	411	0	0	0	0
State Operations	345	411	0	0	0	0
Non-Personal Service/Indirect Costs	345	411	0	0	0	0
People with Developmental Disabilities, Office for	4,334,649	4,370,972	4,400,886	4,729,974	4,928,583	5,154,948
OPWDD	527,982	943,224	518,204	557,245	573,345	582,797
Local Assistance Grants	502,659	691,809	439,132	483,293	499,393	508,845
State Operations	1,686	108,957	33,782	34,658	34,658	34,658
Personal Service	42	72,350	116	116	116	116
Non-Personal Service/Indirect Costs	1,644	36,607	33,666	34,542	34,542	34,542
General State Charges	19	104,039	70	74	74	74
Capital Projects	23,618	38,419	45,220	39,220	39,220	39,220
OPWDD - Other	3,806,667	3,427,748	3,882,682	4,172,729	4,355,238	4,572,151
Local Assistance Grants	1,677,965	1,631,638	1,799,409	1,981,399	2,075,382	2,136,748
State Operations	1,548,712	1,378,983	1,487,746	1,543,476	1,580,603	1,666,643
Personal Service	1,168,196	1,052,886	1,124,943	1,167,242	1,193,675	1,269,575
Non-Personal Service/Indirect Costs	380,516	326,097	362,803	376,234	386,928	397,068
General State Charges	579,990	417,127	595,527	647,854	699,253	768,760
Quality of Care and Advocacy for Persons With Disabilities, Commission on	14,708	13,903	17,074	17,580	18,097	18,646
Local Assistance Grants	623	560	620	620	620	620
State Operations	12,393	11,635	14,479	14,784	15,120	15,490
Personal Service	7,012	6,184	8,070	8,801	8,994	9,204
Non-Personal Service/Indirect Costs	5,381	5,451	6,409	5,983	6,126	6,286
General State Charges	1,692	1,708	1,975	2,176	2,357	2,536

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Functional Total	8,285,527	8,152,994	8,303,427	8,911,086	9,536,368	10,023,997
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
State Operations	2,419	2,251	2,672	2,711	2,787	2,864
Personal Service	2,082	1,890	2,398	2,414	2,481	2,548
Non-Personal Service/Indirect Costs	337	361	274	297	306	316
Correctional Services, Department of	2,834,565	2,721,925	3,010,548	2,872,667	2,950,622	3,113,715
Local Assistance Grants	10,624	6,141	6,051	6,000	6,000	6,000
State Operations	2,604,952	2,474,865	2,749,742	2,605,514	2,677,431	2,834,371
Personal Service	2,072,488	1,962,381	2,276,340	2,112,424	2,163,837	2,299,342
Non-Personal Service/Indirect Costs	532,464	512,484	473,402	493,090	513,594	535,029
General State Charges	2,757	1,120	1,490	1,476	1,481	1,484
Capital Projects	216,232	239,799	253,265	259,677	265,710	271,860
Corrections and Community Supervision Medicaid, Department of	0	0	11,500	11,500	11,500	12,500
Local Assistance Grants	0	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	269,469	270,905	286,451	258,177	256,266	257,896
Local Assistance Grants	186,974	188,439	197,750	173,551	173,711	173,711
State Operations	82,243	82,091	88,306	84,217	82,110	83,703
Personal Service	45,227	42,682	42,553	39,777	40,760	41,886
Non-Personal Service/Indirect Costs	37,016	39,409	45,753	44,440	41,350	41,817
General State Charges	252	375	395	409	445	482
Disaster Assistance	0	38,565	42,700	30,000	34,500	0
State Operations	0	20,811	42,700	30,000	34,500	0
Personal Service	0	9,685	0	0	0	0
Non-Personal Service/Indirect Costs	0	11,126	42,700	30,000	34,500	0
Capital Projects	0	17,754	0	0	0	0
Homeland Security and Emergency Services, Division of	360,463	466,966	554,060	559,288	531,901	516,350
Local Assistance Grants	326,323	428,194	472,694	492,510	476,035	464,294
State Operations	28,612	30,400	61,741	50,055	46,743	48,033
Personal Service	12,781	14,835	21,745	21,906	22,522	23,223
Non-Personal Service/Indirect Costs	15,831	15,565	39,996	28,149	24,221	24,810
General State Charges	3,112	3,896	3,625	3,623	3,623	3,623
Capital Projects	2,416	4,476	16,000	13,100	5,500	400
Indigent Legal Services, Office of	90,793	62,701	75,144	78,588	78,631	78,672
Local Assistance Grants	65,769	62,292	74,000	77,000	77,000	77,000
State Operations	25,024	326	859	1,151	1,167	1,183
Personal Service	8	259	569	802	810	818
Non-Personal Service/Indirect Costs	25,016	67	290	349	357	365
General State Charges	0	83	285	437	464	489
Judicial Commissions	4,944	4,979	5,452	5,577	5,795	5,990
State Operations	4,944	4,979	5,452	5,577	5,795	5,990
Personal Service	3,723	3,794	4,093	4,124	4,237	4,357
Non-Personal Service/Indirect Costs	1,221	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	99,704	86,751	96,001	96,273	113,258	111,940
Local Assistance Grants	3,825	3,569	867	850	850	850
State Operations	63,905	61,069	61,344	61,678	62,152	62,734
Personal Service	41,684	39,164	38,671	38,990	39,316	39,746
Non-Personal Service/Indirect Costs	22,221	21,905	22,673	22,688	22,836	22,988
General State Charges	8,228	6,021	6,749	6,749	6,749	6,749
Capital Projects	23,746	16,092	27,041	26,996	43,507	41,607
Public Security and Emergency Response	535	125	600	600	600	600
State Operations	0	0	600	600	600	600
Personal Service	0	0	600	600	600	600
Capital Projects	535	125	0	0	0	0
State Police, Division of	715,440	681,902	710,206	699,273	701,913	715,938
State Operations	679,514	632,064	651,124	657,326	662,818	675,843
Personal Service	590,458	567,477	568,404	573,903	577,893	585,908
Non-Personal Service/Indirect Costs	89,056	64,587	82,720	83,423	84,925	89,935
General State Charges	21,329	19,372	21,282	24,073	25,230	26,230
Capital Projects	14,597	30,466	37,800	17,874	13,865	13,865
Statewide Financial System	9,070	17,596	52,601	51,525	52,826	54,164
State Operations	9,069	17,596	52,601	51,525	52,826	54,164
Personal Service	3,977	7,554	10,814	10,895	10,977	11,060
Non-Personal Service/Indirect Costs	5,092	10,042	41,787	40,630	41,849	43,104
General State Charges	1	0	0	0	0	0
Statewide Wireless Network	28,253	87,696	0	0	0	0
Local Assistance Grants	0	18,369	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
State Operations	27,655	69,207	0	0	0	0
Personal Service	8,076	7,006	0	0	0	0
Non-Personal Service/Indirect Costs	19,579	62,201	0	0	0	0
General State Charges	598	120	0	0	0	0
Victim Services, Office of	69,522	76,444	65,197	67,402	67,690	68,010
Local Assistance Grants	62,560	68,834	56,310	58,310	58,310	58,310
State Operations	6,074	5,793	6,784	6,879	7,054	7,272
Personal Service	4,950	4,398	5,366	5,446	5,604	5,805
Non-Personal Service/Indirect Costs	1,124	1,395	1,418	1,433	1,450	1,467
General State Charges	888	1,817	2,103	2,213	2,326	2,428
Functional Total	4,485,177	4,518,806	4,913,132	4,733,581	4,808,289	4,938,639

HIGHER EDUCATION

City University of New York	1,348,692	1,341,784	1,380,694	1,507,203	1,566,063	1,631,936
Local Assistance Grants	1,215,592	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
State Operations	117,099	107,837	103,231	105,433	107,683	109,983
Personal Service	85,732	74,043	75,289	76,792	78,326	79,892
Non-Personal Service/Indirect Costs	31,367	33,794	27,942	28,641	29,357	30,091
General State Charges	6,400	6,355	6,152	6,337	6,527	6,723
Capital Projects	9,601	25,182	52,127	53,066	46,725	41,958
Higher Education - Miscellaneous	370	449	1,300	1,300	1,300	1,300
State Operations	255	376	1,201	1,201	1,201	1,201
Personal Service	221	207	198	198	198	198
Non-Personal Service/Indirect Costs	34	169	1,003	1,003	1,003	1,003
General State Charges	115	73	99	99	99	99
Higher Education Facilities Capital Matching Grants Program	33,834	26,836	36,492	10,000	0	0
Local Assistance Grants	34,266	26,430	36,492	10,000	0	0
Capital Projects	(432)	406	0	0	0	0
Higher Education Services Corporation, New York State	956,654	1,005,423	1,027,096	1,095,073	1,110,777	1,124,987
Local Assistance Grants	872,756	924,035	935,933	1,001,349	1,014,412	1,025,891
State Operations	69,013	68,594	75,613	76,942	78,609	80,454
Personal Service	32,916	28,928	31,002	31,390	32,083	32,894
Non-Personal Service/Indirect Costs	36,097	39,666	44,611	45,552	46,526	47,560
General State Charges	14,885	12,794	15,550	16,782	17,756	18,642
State University Construction Fund	18,915	22,625	0	0	0	0
State Operations	14,438	16,809	0	0	0	0
Personal Service	12,562	13,612	0	0	0	0
Non-Personal Service/Indirect Costs	1,876	3,197	0	0	0	0
General State Charges	4,477	5,816	0	0	0	0
State University of New York	7,142,170	7,803,680	7,908,570	8,019,054	8,309,211	8,392,805
Local Assistance Grants	562,529	498,156	470,345	475,992	475,992	475,992
State Operations	5,199,205	5,731,626	5,758,848	5,865,988	6,008,566	6,147,421
Personal Service	3,248,683	3,332,878	3,386,984	3,436,732	3,527,493	3,614,419
Non-Personal Service/Indirect Costs	1,950,522	2,398,748	2,371,864	2,429,256	2,481,073	2,533,002
General State Charges	528,116	559,990	587,773	606,129	625,990	646,808
Capital Projects	852,320	1,013,908	1,091,604	1,070,945	1,198,663	1,122,584
Functional Total	9,500,635	10,200,797	10,354,152	10,632,630	10,987,351	11,151,028

EDUCATION

Arts, Council on the	46,018	35,370	40,573	36,575	36,621	36,671
Local Assistance Grants	41,324	31,282	36,353	32,353	32,353	32,353
State Operations	4,694	4,088	4,220	4,222	4,268	4,318
Personal Service	3,098	2,266	2,298	2,300	2,346	2,396
Non-Personal Service/Indirect Costs	1,596	1,822	1,922	1,922	1,922	1,922
Education, Department of	32,821,070	29,680,252	29,632,300	30,798,777	31,742,425	32,719,513
School Aid	26,487,723	23,221,100	23,062,312	23,765,835	24,523,827	25,540,462
Local Assistance Grants	26,487,723	23,221,100	23,062,312	23,765,835	24,523,827	25,540,462
STAR Property Tax Relief	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
Local Assistance Grants	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
Special Education Categorical Programs	2,159,415	2,287,338	2,131,236	2,244,916	2,334,516	2,425,816
Local Assistance Grants	2,159,415	2,287,338	2,131,236	2,244,916	2,334,516	2,425,816
All Other	939,918	938,931	1,162,685	1,328,651	1,242,356	1,009,667
Local Assistance Grants	588,174	591,804	743,450	813,224	755,860	619,244
State Operations	282,877	269,680	314,493	404,966	385,670	280,262
Personal Service	175,944	158,373	165,214	168,033	170,363	172,042
Non-Personal Service/Indirect Costs	106,933	111,307	149,279	236,933	215,307	108,220
General State Charges	64,236	69,217	72,105	77,343	82,426	86,761

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Capital Projects	4,631	8,230	32,637	33,118	18,400	23,400
Functional Total	32,867,088	29,715,622	29,672,873	30,835,352	31,779,046	32,756,184
GENERAL GOVERNMENT						
Budget, Division of the	33,825	32,762	33,550	39,246	41,937	43,143
State Operations	32,081	30,681	31,253	36,596	39,063	40,049
Personal Service	24,430	23,829	24,961	25,768	27,287	28,166
Non-Personal Service/Indirect Costs	7,651	6,852	6,292	10,828	11,776	11,883
General State Charges	1,744	2,081	2,297	2,650	2,874	3,094
Civil Service, Department of	17,896	14,836	14,904	16,311	16,775	17,179
State Operations	17,677	14,595	14,736	16,143	16,603	17,003
Personal Service	16,153	13,284	13,247	14,359	14,714	15,109
Non-Personal Service/Indirect Costs	1,524	1,311	1,489	1,784	1,889	1,894
General State Charges	219	241	168	168	172	176
Deferred Compensation Board	681	621	796	823	858	867
State Operations	519	457	605	615	633	642
Personal Service	374	378	392	396	408	417
Non-Personal Service/Indirect Costs	145	79	213	219	225	225
General State Charges	162	164	191	208	225	225
Elections, State Board of	93,673	17,743	18,414	27,179	35,316	5,462
Local Assistance Grants	25,813	9,325	5,300	0	30,000	0
State Operations	67,860	8,292	13,114	27,179	5,316	5,462
Personal Service	4,374	4,105	4,144	4,254	4,370	4,495
Non-Personal Service/Indirect Costs	63,486	4,187	8,970	22,925	946	967
General State Charges	0	126	0	0	0	0
Employee Relations, Office of	3,000	2,604	2,688	2,710	2,786	2,869
State Operations	3,000	2,604	2,688	2,710	2,786	2,869
Personal Service	2,909	2,529	2,551	2,570	2,646	2,728
Non-Personal Service/Indirect Costs	91	75	137	140	140	141
General Services, Office of	197,098	202,539	227,963	204,938	210,477	213,505
Local Assistance Grants	28	0	269	250	250	250
State Operations	134,592	126,243	159,215	143,377	138,200	141,088
Personal Service	56,079	48,873	50,908	51,870	52,997	54,392
Non-Personal Service/Indirect Costs	78,513	77,370	108,307	91,507	85,203	86,696
General State Charges	1,290	1,495	1,901	2,002	2,144	2,284
Capital Projects	61,188	74,801	66,578	59,309	69,883	69,883
Inspector General, Office of the	5,703	5,409	6,610	6,717	6,970	7,196
State Operations	5,703	5,409	6,610	6,717	6,970	7,196
Personal Service	5,519	5,067	6,083	6,129	6,301	6,485
Non-Personal Service/Indirect Costs	184	342	527	588	669	711
Labor Management Committees	32,335	23,215	33,838	33,092	35,244	40,409
State Operations	32,335	23,215	33,838	33,092	35,244	40,409
Personal Service	9,872	8,359	5,500	5,504	6,119	6,366
Non-Personal Service/Indirect Costs	22,463	14,856	28,338	27,588	29,125	34,043
Lottery, Division of the	162,910	150,306	172,990	169,076	173,058	173,456
State Operations	152,955	140,484	161,247	155,197	159,080	159,478
Personal Service	21,057	20,276	24,676	25,029	25,495	25,893
Non-Personal Service/Indirect Costs	131,898	120,208	136,571	130,168	133,585	133,585
General State Charges	9,955	9,822	11,743	13,879	13,978	13,978
Public Employment Relations Board	3,988	3,675	3,731	3,857	3,804	3,896
State Operations	3,988	3,675	3,731	3,857	3,804	3,896
Personal Service	3,456	3,197	3,290	3,119	3,046	3,138
Non-Personal Service/Indirect Costs	532	478	441	738	758	758
Public Integrity, Commission on	3,794	3,217	4,016	4,486	4,600	4,720
State Operations	3,794	3,217	4,016	4,486	4,600	4,720
Personal Service	3,017	2,492	3,166	3,190	3,282	3,380
Non-Personal Service/Indirect Costs	777	725	850	1,296	1,318	1,340
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State Operations	1,653	83	0	0	0	0
Personal Service	1,537	80	0	0	0	0
Non-Personal Service/Indirect Costs	116	3	0	0	0	0
State, Department of	187,929	130,222	135,839	125,639	128,026	129,056
Local Assistance Grants	130,029	76,614	71,575	59,334	59,334	59,334
State Operations	46,241	44,627	53,068	54,130	55,419	56,159
Personal Service	34,671	31,461	34,848	35,868	36,702	37,431
Non-Personal Service/Indirect Costs	11,570	13,166	18,220	18,262	18,717	18,728
General State Charges	10,286	8,981	11,211	12,190	13,288	13,578

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Capital Projects	1,373	0	(15)	(15)	(15)	(15)
Tax Appeals, Division of	3,134	2,850	3,101	2,813	2,894	2,948
State Operations	3,134	2,850	3,101	2,813	2,894	2,948
Personal Service	2,776	2,483	2,900	2,601	2,673	2,727
Non-Personal Service/Indirect Costs	358	367	201	212	221	221
Taxation and Finance, Department of	441,608	401,710	415,809	418,760	430,108	436,823
Local Assistance Grants	5,270	6,487	926	926	926	926
State Operations	413,082	383,589	393,361	395,014	406,135	412,850
Personal Service	323,769	300,408	301,881	300,966	309,755	316,470
Non-Personal Service/Indirect Costs	89,313	83,181	91,480	94,048	96,380	96,380
General State Charges	23,256	11,634	21,522	22,820	23,047	23,047
Technology, Office for	31,341	19,640	21,994	21,305	23,877	23,485
Local Assistance Grants	2,086	682	0	0	0	0
State Operations	26,372	18,958	21,994	21,305	23,877	23,485
Personal Service	11,208	10,456	13,586	13,595	13,907	14,246
Non-Personal Service/Indirect Costs	15,164	8,502	8,408	7,710	9,970	9,239
Capital Projects	2,883	0	0	0	0	0
Veterans' Affairs, Division of	15,368	13,680	15,766	14,631	14,865	15,121
Local Assistance Grants	8,044	7,572	8,117	7,397	7,457	7,517
State Operations	6,893	5,875	7,299	6,884	7,058	7,254
Personal Service	6,232	5,289	5,807	5,890	6,041	6,212
Non-Personal Service/Indirect Costs	661	586	1,492	994	1,017	1,042
General State Charges	431	233	350	350	350	350
Functional Total	1,235,936	1,025,112	1,112,009	1,091,583	1,131,595	1,120,135
ELECTED OFFICIALS						
Audit and Control, Department of	171,821	169,817	173,479	175,736	179,315	183,489
Local Assistance Grants	31,598	32,005	32,024	32,024	32,024	32,024
State Operations	138,841	135,123	139,394	142,190	145,658	149,721
Personal Service	110,320	103,298	108,671	109,385	112,012	115,214
Non-Personal Service/Indirect Costs	28,521	31,825	30,723	32,805	33,646	34,507
General State Charges	1,382	2,689	2,061	1,522	1,633	1,744
Executive Chamber	12,880	13,256	13,578	13,836	14,773	15,185
State Operations	12,880	13,256	13,578	13,836	14,773	15,185
Personal Service	10,963	10,210	11,338	11,495	12,238	12,589
Non-Personal Service/Indirect Costs	1,917	3,046	2,240	2,341	2,535	2,596
Judiciary	2,555,700	2,552,278	2,554,498	2,687,444	2,812,504	2,942,000
Local Assistance Grants	119,798	114,108	106,700	121,700	121,700	121,700
State Operations	1,874,663	1,834,013	1,863,400	1,921,914	2,007,452	2,102,994
Personal Service	1,526,975	1,466,250	1,468,250	1,514,284	1,561,492	1,618,742
Non-Personal Service/Indirect Costs	347,688	367,763	395,150	407,630	445,960	484,252
General State Charges	551,599	601,846	584,398	643,830	683,352	717,306
Capital Projects	9,640	2,311	0	0	0	0
Law, Department of	200,712	200,999	215,348	214,252	220,287	226,829
State Operations	185,050	185,671	192,710	190,541	195,195	200,423
Personal Service	129,276	123,150	130,394	130,602	133,947	137,628
Non-Personal Service/Indirect Costs	55,774	62,521	62,316	59,939	61,248	62,795
General State Charges	15,662	15,328	22,638	23,711	25,092	26,406
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
State Operations	222,536	197,163	218,795	221,349	223,945	226,583
Personal Service	174,096	151,882	165,284	166,524	167,773	169,031
Non-Personal Service/Indirect Costs	48,440	45,281	53,511	54,825	56,172	57,552
Lieutenant Governor, Office of the	304	408	614	614	665	680
State Operations	304	408	614	614	665	680
Personal Service	281	289	480	494	515	543
Non-Personal Service/Indirect Costs	23	119	134	120	150	137
Functional Total	3,163,953	3,133,921	3,176,312	3,313,231	3,451,489	3,594,766
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	738,940	721,034	739,655	757,414	772,589	775,357
Local Assistance Grants	738,940	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,604	4,714	9,636	3,805	0	0
Local Assistance Grants	4,604	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Local Assistance Grants	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Local Assistance Grants	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
Local Assistance Grants	2,066	217	218	218	218	218
Functional Total	775,330	753,792	777,376	789,264	800,634	803,402
ALL OTHER CATEGORIES						
General State Charges	3,432,021	3,941,782	3,724,334	4,055,224	4,361,309	4,619,948
State Operations	5,561	3,676	0	0	0	0
Personal Service	3,835	2,091	0	0	0	0
Non-Personal Service/Indirect Costs	1,726	1,585	0	0	0	0
General State Charges	3,426,460	3,938,106	3,724,334	4,055,224	4,361,309	4,619,948
Long-Term Debt Service	5,677,515	5,909,754	6,146,701	6,462,370	6,530,775	6,691,841
State Operations	62,846	45,732	46,925	46,925	46,925	46,925
Non-Personal Service/Indirect Costs	62,846	45,732	46,925	46,925	46,925	46,925
Debt Service	5,614,669	5,864,022	6,099,776	6,415,445	6,483,850	6,644,916
Miscellaneous	(209,841)	(181,217)	(237,029)	(289,209)	(343,924)	(217,814)
Local Assistance Grants	(326,844)	(317,408)	(229,724)	(180,034)	(234,829)	(158,921)
State Operations	4,886	5,007	(104,114)	(219,122)	(211,175)	(105,096)
Personal Service	2,217	2,356	(7,318)	(42,275)	(27,179)	27,919
Non-Personal Service/Indirect Costs	2,669	2,651	(96,796)	(176,847)	(183,996)	(133,015)
General State Charges	6,898	5,244	5,809	5,947	6,080	6,203
Capital Projects	105,219	125,940	91,000	104,000	96,000	40,000
Functional Total	8,899,695	9,670,319	9,634,006	10,228,385	10,548,160	11,093,975
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	134,824,946	133,503,848	133,976,113	139,608,624	146,175,765	151,710,432

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	96,013	109,312	107,124	101,679	101,990	99,292
Alcoholic Beverage Control, Division of	16,706	16,616	17,859	18,314	18,781	19,225
Development Authority of the North Country	10	0	70	0	0	0
Economic Development Capital	30,190	17,655	12,500	12,500	5,000	5,000
Economic Development, Department of	103,950	147,540	142,744	127,874	98,234	93,630
Empire State Development Corporation	931,654	953,805	521,153	658,882	634,903	457,292
Energy Research and Development Authority	31,710	31,567	29,358	31,178	31,178	31,178
Financial Services, Department of	507,393	507,240	486,283	490,712	494,195	498,348
Olympic Regional Development Authority	3,534	3,596	3,079	3,206	3,288	3,288
Public Service Department	75,288	68,432	72,884	81,863	85,866	89,955
Racing and Wagering Board, State	21,573	19,553	18,248	18,513	18,845	19,080
Regional Economic Development Program	5,248	6,058	2,500	2,500	1,500	1,500
Strategic Investment Program	3,561	3,718	5,000	5,000	5,000	5,000
Functional Total	1,826,830	1,885,092	1,418,802	1,552,221	1,498,780	1,322,788
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,718	4,354	4,496	4,525	4,601	4,687
Environmental Conservation, Department of	1,024,255	1,002,764	979,595	895,762	811,319	781,255
Environmental Facilities Corporation	9,746	10,347	0	0	0	0
Hudson River Park Trust	15,216	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	260,277	235,452	284,953	250,501	240,527	228,855
Functional Total	1,314,212	1,253,133	1,272,860	1,150,788	1,056,447	1,014,797
TRANSPORTATION						
Metropolitan Transportation Authority	216,912	194,500	333,600	183,600	183,600	328,571
Motor Vehicles, Department of	320,322	301,370	298,719	316,288	327,324	339,175
Thruway Authority, New York State	1,478	2,163	1,800	1,800	1,800	1,800
Transportation, Department of	8,017,474	7,830,434	8,179,764	8,365,815	8,208,947	7,974,313
Functional Total	8,556,186	8,328,467	8,813,883	8,867,503	8,721,671	8,643,859
HEALTH						
Aging, Office for the	232,453	227,242	218,487	221,126	228,051	235,209
Health, Department of	43,795,710	44,663,159	44,574,901	47,412,831	51,734,493	54,960,077
<i>Medical Assistance</i>	38,624,934	39,256,878	38,984,461	41,611,055	46,188,911	49,659,517
<i>Medicaid Administration</i>	956,269	976,377	1,160,500	1,151,400	1,077,200	958,200
<i>Public Health</i>	4,214,507	4,429,904	4,429,940	4,650,376	4,468,382	4,342,360
Medicaid Inspector General, Office of the	66,843	60,837	66,241	67,525	70,221	71,464
Stem Cell and Innovation	37,289	43,702	43,500	63,673	61,373	56,500
Functional Total	44,132,295	44,994,940	44,903,129	47,765,155	52,094,138	55,323,250
SOCIAL WELFARE						
Children and Family Services, Office of	3,144,866	3,183,934	2,984,297	3,328,906	3,417,384	3,518,588
<i>OCFS</i>	3,075,511	3,070,766	2,890,846	3,211,538	3,295,411	3,391,865
<i>OCFS - Other</i>	69,355	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	403,244	482,652	262,781	258,792	263,099	270,819
Human Rights, Division of	19,139	17,311	16,966	17,285	17,858	18,471
Labor, Department of	710,215	641,800	698,178	641,846	653,006	667,610
National and Community Service	24,731	21,606	14,601	14,687	14,909	14,909
Prevention of Domestic Violence, Office for	1,946	1,825	2,094	2,111	2,153	2,202
Temporary and Disability Assistance, Office of	5,278,082	5,317,739	5,444,086	5,270,239	5,182,778	5,213,431
<i>Welfare Assistance</i>	3,717,714	3,787,292	4,016,043	3,853,929	3,752,278	3,778,991
<i>All Other</i>	1,560,368	1,530,447	1,428,043	1,416,310	1,430,500	1,434,440
Welfare Inspector General, Office of	1,150	441	1,407	1,427	1,456	1,468
Workers' Compensation Board	198,709	203,545	199,742	202,552	209,154	216,114
Functional Total	9,782,082	9,870,853	9,624,152	9,737,845	9,761,797	9,923,612
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	600,042	592,713	610,793	638,490	729,206	753,881
<i>OASAS</i>	488,354	500,824	521,638	547,171	634,739	655,383
<i>OASAS - Other</i>	111,688	91,889	89,155	91,319	94,467	98,498
Developmental Disabilities Planning Council	2,894	3,908	4,200	4,200	4,200	4,199
Mental Health, Office of	3,332,889	3,171,087	3,270,474	3,520,842	3,856,282	4,092,323
<i>OMH</i>	1,574,835	1,287,760	1,423,061	1,576,294	1,794,424	1,890,225
<i>OMH - Other</i>	1,758,054	1,883,327	1,847,413	1,944,548	2,061,858	2,202,098
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	4,334,649	4,370,972	4,400,886	4,729,974	4,928,583	5,154,948
<i>OPWDD</i>	527,982	943,224	518,204	557,245	573,345	582,797
<i>OPWDD - Other</i>	3,806,667	3,427,748	3,882,682	4,172,729	4,355,238	4,572,151
Quality of Care and Advocacy for Persons With Disabilities, Commission on	14,708	13,903	17,074	17,580	18,097	18,646
Functional Total	8,285,527	8,152,994	8,303,427	8,911,086	9,536,368	10,023,997
PUBLIC PROTECTION/CRIMINAL JUSTICE						

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,834,565	2,721,925	3,010,548	2,872,667	2,950,622	3,113,715
Corrections and Community Supervision Medicaid, Department of	0	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	269,469	270,905	286,451	258,177	256,266	257,896
Disaster Assistance	0	38,565	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	360,463	466,966	554,060	559,288	531,901	516,350
Indigent Legal Services, Office of	90,793	62,701	75,144	78,588	78,631	78,672
Judicial Commissions	4,944	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	99,704	86,751	96,001	96,273	113,258	111,940
Public Security and Emergency Response	535	125	600	600	600	600
State Police, Division of	715,440	681,902	710,206	699,273	701,913	715,938
Statewide Financial System	9,070	17,596	52,601	51,525	52,826	54,164
Statewide Wireless Network	28,253	87,696	0	0	0	0
Victim Services, Office of	69,522	76,444	65,197	67,402	67,690	68,010
Functional Total	4,485,177	4,518,806	4,913,132	4,733,581	4,808,289	4,938,639
HIGHER EDUCATION						
City University of New York	1,348,692	1,341,784	1,380,694	1,507,203	1,566,063	1,631,936
Higher Education - Miscellaneous	370	449	1,300	1,300	1,300	1,300
Higher Education Facilities Capital Matching Grants Program	33,834	26,836	36,492	10,000	0	0
Higher Education Services Corporation, New York State	956,654	1,005,423	1,027,096	1,095,073	1,110,777	1,124,987
State University Construction Fund	18,915	22,625	0	0	0	0
State University of New York	7,142,170	7,803,680	7,908,570	8,019,054	8,309,211	8,392,805
Functional Total	9,500,635	10,200,797	10,354,152	10,632,630	10,987,351	11,151,028
EDUCATION						
Arts, Council on the	46,018	35,370	40,573	36,575	36,621	36,671
Education, Department of	32,821,070	29,680,252	29,632,300	30,798,777	31,742,425	32,719,513
<i>School Aid</i>	26,487,723	23,221,100	23,062,312	23,765,835	24,523,827	25,540,462
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	2,159,415	2,287,338	2,131,236	2,244,916	2,334,516	2,425,816
<i>All Other</i>	939,918	938,931	1,162,685	1,328,651	1,242,356	1,009,667
Functional Total	32,867,088	29,715,622	29,672,873	30,835,352	31,779,046	32,756,184
GENERAL GOVERNMENT						
Budget, Division of the	33,825	32,762	33,550	39,246	41,937	43,143
Civil Service, Department of	17,896	14,836	14,904	16,311	16,775	17,179
Deferred Compensation Board	681	621	796	823	858	867
Elections, State Board of	93,673	17,743	18,414	27,179	35,316	5,462
Employee Relations, Office of	3,000	2,604	2,688	2,710	2,786	2,869
General Services, Office of	197,098	202,539	227,963	204,938	210,477	213,505
Inspector General, Office of the	5,703	5,409	6,610	6,717	6,970	7,196
Labor Management Committees	32,335	23,215	33,838	33,092	35,244	40,409
Lottery, Division of the	162,910	150,306	172,990	169,076	173,058	173,456
Public Employment Relations Board	3,988	3,675	3,731	3,857	3,804	3,896
Public Integrity, Commission on	3,794	3,217	4,016	4,486	4,600	4,720
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	187,929	130,222	135,839	125,639	128,026	129,056
Tax Appeals, Division of	3,134	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	441,608	401,710	415,809	418,760	430,108	436,823
Technology, Office for	31,341	19,640	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	15,368	13,680	15,766	14,631	14,865	15,121
Functional Total	1,235,936	1,025,112	1,112,009	1,091,583	1,131,595	1,120,135
ELECTED OFFICIALS						
Audit and Control, Department of	171,821	169,817	173,479	175,736	179,315	183,489
Executive Chamber	12,880	13,256	13,578	13,836	14,773	15,185
Judiciary	2,555,700	2,552,278	2,554,498	2,687,444	2,812,504	2,942,000
Law, Department of	200,712	200,999	215,348	214,252	220,287	226,829
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	304	408	614	614	665	680
Functional Total	3,163,953	3,133,921	3,176,312	3,313,231	3,451,489	3,594,766
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	738,940	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,604	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
Functional Total	775,330	753,792	777,376	789,264	800,634	803,402
ALL OTHER CATEGORIES						
General State Charges	3,432,021	3,941,782	3,724,334	4,055,224	4,361,309	4,619,948
Long-Term Debt Service	5,677,515	5,909,754	6,146,701	6,462,370	6,530,775	6,691,841
Miscellaneous	(209,841)	(181,217)	(237,029)	(289,209)	(343,924)	(217,814)

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Functional Total	<u>8,899,695</u>	<u>9,670,319</u>	<u>9,634,006</u>	<u>10,228,385</u>	<u>10,548,160</u>	<u>11,093,975</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u><u>134,824,946</u></u>	<u><u>133,503,848</u></u>	<u><u>133,976,113</u></u>	<u><u>139,608,624</u></u>	<u><u>146,175,765</u></u>	<u><u>151,710,432</u></u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	15,014	24,603	25,652	24,216	24,216	24,216
Development Authority of the North Country	10	0	70	0	0	0
Economic Development Capital	30,617	17,655	0	0	0	0
Economic Development, Department of	75,494	112,990	90,364	72,329	54,872	54,872
Empire State Development Corporation	926,844	945,359	423,588	526,280	499,900	371,460
Energy Research and Development Authority	9,157	8,140	9,234	9,234	9,234	9,234
Financial Services, Department of	228,152	217,470	216,952	216,952	216,952	216,952
Public Service Department	0	0	500	500	500	500
Regional Economic Development Program	5,248	6,058	0	0	0	0
Functional Total	1,290,536	1,332,275	766,360	849,511	805,674	677,234
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	369,290	358,448	257,775	210,252	149,802	149,802
Environmental Facilities Corporation	0	1,180	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	18,960	15,759	17,382	8,870	8,870	8,870
Functional Total	388,250	375,387	275,157	219,122	158,672	158,672
TRANSPORTATION						
Metropolitan Transportation Authority	216,912	194,500	183,600	183,600	183,600	18,571
Motor Vehicles, Department of	15,734	18,475	14,800	14,800	14,800	14,800
Thruway Authority, New York State	1,478	2,163	0	0	0	0
Transportation, Department of	4,892,214	4,757,704	5,019,024	5,206,264	5,297,709	5,341,763
Functional Total	5,126,338	4,972,842	5,217,424	5,404,664	5,496,109	5,375,134
HEALTH						
Aging, Office for the	222,625	217,808	208,466	211,021	217,725	224,670
Health, Department of	42,953,971	43,811,956	43,668,046	46,445,088	50,742,438	53,958,854
<i>Medical Assistance</i>	38,601,687	39,241,656	38,933,106	41,554,700	46,133,056	49,603,662
<i>Medicaid Administration</i>	956,269	976,377	1,160,500	1,151,400	1,077,200	958,200
<i>Public Health</i>	3,396,015	3,593,923	3,574,440	3,738,988	3,532,182	3,396,992
Functional Total	43,176,596	44,029,764	43,876,512	46,656,109	50,960,163	54,183,524
SOCIAL WELFARE						
Children and Family Services, Office of	2,710,028	2,770,325	2,544,911	2,893,090	2,991,987	3,095,372
<i>OCFS</i>	2,640,673	2,657,157	2,451,460	2,775,722	2,870,014	2,968,649
<i>OCFS - Other</i>	69,355	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	308,912	396,383	181,941	176,139	177,791	182,791
Labor, Department of	195,795	164,683	218,507	181,922	179,197	179,197
National and Community Service	0	38	350	350	350	350
Prevention of Domestic Violence, Office for	666	541	685	685	685	685
Temporary and Disability Assistance, Office of	4,901,401	4,954,724	5,048,636	4,870,922	4,769,271	4,797,384
<i>Welfare Assistance</i>	3,717,714	3,787,292	4,016,043	3,853,929	3,752,278	3,778,991
<i>All Other</i>	1,183,687	1,167,432	1,032,593	1,016,993	1,016,993	1,018,393
Functional Total	8,116,802	8,286,694	7,995,030	8,123,108	8,119,281	8,255,779
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	483,798	482,373	489,470	509,971	595,658	612,860
<i>OASAS</i>	434,709	450,222	456,790	477,291	562,978	580,180
<i>OASAS - Other</i>	49,089	32,151	32,680	32,680	32,680	32,680
Mental Health, Office of	1,246,868	1,203,241	1,201,136	1,366,882	1,575,848	1,680,125
<i>OMH</i>	823,456	795,093	818,487	955,817	1,137,961	1,209,925
<i>OMH - Other</i>	423,412	408,148	382,649	411,065	437,887	470,200
People with Developmental Disabilities, Office for	2,180,624	2,323,447	2,238,541	2,464,692	2,574,775	2,645,593
<i>OPWDD</i>	502,659	691,809	439,132	483,293	499,393	508,845
<i>OPWDD - Other</i>	1,677,965	1,631,638	1,799,409	1,981,399	2,075,382	2,136,748
Quality of Care and Advocacy for Persons With Disabilities, Commission on	623	560	620	620	620	620
Functional Total	3,911,913	4,009,621	3,929,767	4,342,165	4,746,901	4,939,198
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	10,624	6,141	6,051	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	186,974	188,439	197,750	173,551	173,711	173,711
Homeland Security and Emergency Services, Division of	326,323	428,194	472,694	492,510	476,035	464,294
Indigent Legal Services, Office of	65,769	62,292	74,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	3,825	3,569	867	850	850	850
Statewide Wireless Network	0	18,369	0	0	0	0
Victim Services, Office of	62,560	68,834	56,310	58,310	58,310	58,310
Functional Total	656,075	775,838	819,172	819,721	803,406	792,665
HIGHER EDUCATION						
City University of New York	1,215,592	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Facilities Capital Matching Grants Program	34,266	26,430	36,492	10,000	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Higher Education Services Corporation, New York State	872,756	924,035	935,933	1,001,349	1,014,412	1,025,891
State University of New York	562,529	498,156	470,345	475,992	475,992	475,992
Functional Total	2,685,143	2,651,031	2,661,954	2,829,708	2,895,532	2,975,155
EDUCATION						
Arts, Council on the	41,324	31,282	36,353	32,353	32,353	32,353
Education, Department of	32,469,326	29,333,125	29,213,065	30,283,350	31,255,929	32,329,090
<i>School Aid</i>	26,487,723	23,221,100	23,062,312	23,765,835	24,523,827	25,540,462
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	2,159,415	2,287,338	2,131,236	2,244,916	2,334,516	2,425,816
<i>All Other</i>	588,174	591,804	743,450	813,224	755,860	619,244
Functional Total	32,510,650	29,364,407	29,249,418	30,315,703	31,288,282	32,361,443
GENERAL GOVERNMENT						
Elections, State Board of	25,813	9,325	5,300	0	30,000	0
General Services, Office of	28	0	269	250	250	250
State, Department of	130,029	76,614	71,575	59,334	59,334	59,334
Taxation and Finance, Department of	5,270	6,487	926	926	926	926
Technology, Office for	2,086	682	0	0	0	0
Veterans' Affairs, Division of	8,044	7,572	8,117	7,397	7,457	7,517
Functional Total	171,270	100,680	86,187	67,907	97,967	68,027
ELECTED OFFICIALS						
Audit and Control, Department of	31,598	32,005	32,024	32,024	32,024	32,024
Judiciary	119,798	114,108	106,700	121,700	121,700	121,700
Functional Total	151,396	146,113	138,724	153,724	153,724	153,724
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	738,940	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,604	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
Functional Total	775,330	753,792	777,376	789,264	800,634	803,402
ALL OTHER CATEGORIES						
Miscellaneous	(326,844)	(317,408)	(229,724)	(180,034)	(234,829)	(158,921)
Functional Total	(326,844)	(317,408)	(229,724)	(180,034)	(234,829)	(158,921)
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	98,633,455	96,481,036	95,563,357	100,390,672	106,091,516	110,585,036

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	70,045	64,331	63,274	65,259	67,261	68,212
Alcoholic Beverage Control, Division of	12,581	13,044	13,629	13,823	14,020	14,221
Economic Development, Department of	20,444	33,729	22,971	22,872	23,475	23,730
Energy Research and Development Authority	4,744	6,456	5,286	5,396	5,396	5,396
Financial Services, Department of	212,412	211,029	202,401	206,774	209,007	211,596
Olympic Regional Development Authority	3,534	3,596	3,079	3,206	3,288	3,288
Public Service Department	54,403	48,882	50,681	56,250	58,064	59,945
Racing and Wagering Board, State	18,056	17,270	15,194	15,226	15,333	15,568
Functional Total	396,219	398,337	376,515	388,806	395,844	401,956
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,718	4,354	4,496	4,525	4,601	4,687
Environmental Conservation, Department of	302,256	294,072	276,603	276,859	278,861	282,109
Environmental Facilities Corporation	7,122	6,504	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	180,237	186,943	168,031	168,663	171,203	173,189
Functional Total	494,333	491,873	449,130	450,047	454,665	459,985
TRANSPORTATION						
Motor Vehicles, Department of	71,816	70,746	73,813	77,782	79,538	81,521
Transportation, Department of	40,374	42,373	32,540	33,310	34,050	34,854
Functional Total	112,190	113,119	106,353	111,092	113,588	116,375
HEALTH						
Aging, Office for the	9,828	9,434	9,786	9,870	10,091	10,304
Health, Department of	773,822	788,024	828,130	884,827	907,250	914,109
<i>Medical Assistance</i>	23,247	15,222	51,355	56,355	55,855	55,855
<i>Public Health</i>	750,575	772,802	776,775	828,472	851,395	858,254
Medicaid Inspector General, Office of the	57,844	52,040	55,503	56,776	59,241	60,200
Stem Cell and Innovation	36,971	43,470	43,500	63,673	61,373	56,500
Functional Total	878,465	892,968	936,919	1,015,146	1,037,955	1,041,113
SOCIAL WELFARE						
Children and Family Services, Office of	404,378	380,630	406,057	403,365	392,604	390,004
<i>OCFS</i>	404,378	380,630	406,057	403,365	392,604	390,004
Housing and Community Renewal, Division of	71,483	65,256	58,650	59,184	60,437	62,297
Human Rights, Division of	18,092	17,262	14,997	15,210	15,655	16,141
Labor, Department of	407,013	368,493	366,775	344,312	349,524	355,504
National and Community Service	24,731	21,568	14,251	14,337	14,559	14,559
Prevention of Domestic Violence, Office for	1,280	1,284	1,409	1,426	1,468	1,517
Temporary and Disability Assistance, Office of	329,230	319,774	351,327	354,854	368,534	371,074
<i>All Other</i>	329,230	319,774	351,327	354,854	368,534	371,074
Welfare Inspector General, Office of	1,094	395	1,186	1,206	1,234	1,244
Workers' Compensation Board	155,557	162,394	154,511	153,359	156,256	159,533
Functional Total	1,412,858	1,337,056	1,369,163	1,347,253	1,360,271	1,371,873
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	86,147	82,121	80,548	82,217	84,537	88,397
<i>OASAS</i>	39,783	38,370	39,817	40,465	41,267	43,031
<i>OASAS - Other</i>	46,364	43,751	40,731	41,752	43,270	45,366
Developmental Disabilities Planning Council	2,894	2,573	3,665	3,551	3,489	3,441
Mental Health, Office of	1,456,425	1,374,445	1,416,422	1,461,846	1,530,391	1,593,198
<i>OMH</i>	483,127	308,056	387,793	382,220	401,154	409,590
<i>OMH - Other</i>	973,298	1,066,389	1,028,629	1,079,626	1,129,237	1,183,608
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	1,550,398	1,487,940	1,521,528	1,578,134	1,615,261	1,701,301
<i>OPWDD</i>	1,686	108,957	33,782	34,658	34,658	34,658
<i>OPWDD - Other</i>	1,548,712	1,378,983	1,487,746	1,543,476	1,580,603	1,666,643
Quality of Care and Advocacy for Persons With Disabilities, Commission on	12,393	11,635	14,479	14,784	15,120	15,490
Functional Total	3,108,602	2,959,125	3,036,642	3,140,532	3,248,798	3,401,827
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,604,952	2,474,865	2,749,742	2,605,514	2,677,431	2,834,371
Criminal Justice Services, Division of	82,243	82,091	88,306	84,217	82,110	83,703
Disaster Assistance	0	20,811	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	28,612	30,400	61,741	50,055	46,743	48,033
Indigent Legal Services, Office of	25,024	326	859	1,151	1,167	1,183
Judicial Commissions	4,944	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	63,905	61,069	61,344	61,678	62,152	62,734
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	679,514	632,064	651,124	657,326	662,818	675,843
Statewide Financial System	9,069	17,596	52,601	51,525	52,826	54,164

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Statewide Wireless Network	27,655	69,207	0	0	0	0
Victim Services, Office of	6,074	5,793	6,784	6,879	7,054	7,272
Functional Total	3,534,411	3,401,452	3,723,925	3,557,233	3,635,983	3,776,757
HIGHER EDUCATION						
City University of New York	117,099	107,837	103,231	105,433	107,683	109,983
Higher Education - Miscellaneous	255	376	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	69,013	68,594	75,613	76,942	78,609	80,454
State University Construction Fund	14,438	16,809	0	0	0	0
State University of New York	5,199,205	5,731,626	5,758,848	5,865,988	6,008,566	6,147,421
Functional Total	5,400,010	5,925,242	5,938,893	6,049,564	6,196,059	6,339,059
EDUCATION						
Arts, Council on the	4,694	4,088	4,220	4,222	4,268	4,318
Education, Department of	282,877	269,680	314,493	404,966	385,670	280,262
<i>All Other</i>	282,877	269,680	314,493	404,966	385,670	280,262
Functional Total	287,571	273,768	318,713	409,188	389,938	284,580
GENERAL GOVERNMENT						
Budget, Division of the	32,081	30,681	31,253	36,596	39,063	40,049
Civil Service, Department of	17,677	14,595	14,736	16,143	16,603	17,003
Deferred Compensation Board	519	457	605	615	633	642
Elections, State Board of	67,860	8,292	13,114	27,179	5,316	5,462
Employee Relations, Office of	3,000	2,604	2,688	2,710	2,786	2,869
General Services, Office of	134,592	126,243	159,215	143,377	138,200	141,088
Inspector General, Office of the	5,703	5,409	6,610	6,717	6,970	7,196
Labor Management Committees	32,335	23,215	33,838	33,092	35,244	40,409
Lottery, Division of the	152,955	140,484	161,247	155,197	159,080	159,478
Public Employment Relations Board	3,988	3,675	3,731	3,857	3,804	3,896
Public Integrity, Commission on	3,794	3,217	4,016	4,486	4,600	4,720
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	46,241	44,627	53,068	54,130	55,419	56,159
Tax Appeals, Division of	3,134	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	413,082	383,589	393,361	395,014	406,135	412,850
Technology, Office for	26,372	18,958	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	6,893	5,875	7,299	6,884	7,058	7,254
Functional Total	951,879	814,854	909,876	910,115	907,682	925,508
ELECTED OFFICIALS						
Audit and Control, Department of	138,841	135,123	139,394	142,190	145,658	149,721
Executive Chamber	12,880	13,256	13,578	13,836	14,773	15,185
Judiciary	1,874,663	1,834,013	1,863,400	1,921,914	2,007,452	2,102,994
Law, Department of	185,050	185,671	192,710	190,541	195,195	200,423
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	304	408	614	614	665	680
Functional Total	2,434,274	2,365,634	2,428,491	2,490,444	2,587,688	2,695,586
ALL OTHER CATEGORIES						
General State Charges	5,561	3,676	0	0	0	0
Long-Term Debt Service	62,846	45,732	46,925	46,925	46,925	46,925
Miscellaneous	4,886	5,007	(104,114)	(219,122)	(211,175)	(105,096)
Functional Total	73,293	54,415	(57,189)	(172,197)	(164,250)	(58,171)
TOTAL STATE OPERATIONS SPENDING	19,084,105	19,027,843	19,537,431	19,697,223	20,164,221	20,756,448

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,711	30,360	30,191	31,410	32,478	33,166
Alcoholic Beverage Control, Division of	8,512	7,720	8,185	8,246	8,308	8,370
Economic Development, Department of	13,906	11,354	12,704	13,087	13,424	13,679
Energy Research and Development Authority	2,894	4,776	3,432	3,501	3,501	3,501
Financial Services, Department of	152,126	142,603	133,847	136,495	138,669	141,191
Olympic Regional Development Authority	2,890	2,849	2,485	2,522	2,548	2,548
Public Service Department	43,289	40,067	42,037	46,131	47,658	49,431
Racing and Wagering Board, State	12,062	10,940	9,059	9,058	9,133	9,368
Functional Total	269,390	250,669	241,940	250,450	255,719	261,254
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,234	3,779	3,791	3,820	3,896	3,982
Environmental Conservation, Department of	210,874	213,248	199,996	201,475	205,416	208,777
Environmental Facilities Corporation	6,060	5,454	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	136,484	139,955	129,773	130,535	132,415	134,401
Functional Total	357,652	362,436	333,560	335,830	341,727	347,160
TRANSPORTATION						
Motor Vehicles, Department of	52,897	49,513	51,271	52,820	53,981	55,348
Transportation, Department of	15,310	13,730	15,248	15,609	15,963	16,356
Functional Total	68,207	63,243	66,519	68,429	69,944	71,704
HEALTH						
Aging, Office for the	8,323	7,978	8,586	8,660	8,871	9,084
Health, Department of	346,559	319,545	340,326	364,625	377,935	384,786
<i>Medical Assistance</i>	500	1,438	500	500	500	500
<i>Public Health</i>	346,059	318,107	339,826	364,125	377,435	384,286
Medicaid Inspector General, Office of the	41,629	39,477	36,758	37,700	39,442	40,375
Stem Cell and Innovation	534	441	0	0	0	0
Functional Total	397,045	367,441	385,670	410,985	426,248	434,245
SOCIAL WELFARE						
Children and Family Services, Office of	220,446	203,272	215,568	202,065	191,823	190,656
<i>OCFS</i>	220,446	203,272	215,568	202,065	191,823	190,656
Housing and Community Renewal, Division of	55,025	48,144	45,921	46,246	47,286	48,987
Human Rights, Division of	14,686	13,420	12,571	12,666	13,038	13,451
Labor, Department of	249,256	241,039	224,230	214,420	219,168	224,658
National and Community Service	661	674	564	650	657	657
Prevention of Domestic Violence, Office for	1,088	1,063	1,313	1,324	1,359	1,397
Temporary and Disability Assistance, Office of	166,482	154,615	167,368	170,424	177,551	182,643
<i>All Other</i>	166,482	154,615	167,368	170,424	177,551	182,643
Welfare Inspector General, Office of	434	364	701	721	738	748
Workers' Compensation Board	89,052	85,890	87,805	90,328	92,301	94,633
Functional Total	797,130	748,481	756,041	738,844	743,921	757,830
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	63,547	59,806	60,408	61,932	63,700	67,006
<i>OASAS</i>	29,054	27,687	29,943	30,379	30,906	32,390
<i>OASAS - Other</i>	34,493	32,119	30,465	31,553	32,794	34,616
Developmental Disabilities Planning Council	1,190	980	1,197	1,211	1,230	1,253
Mental Health, Office of	1,145,365	1,081,892	1,128,680	1,147,109	1,196,618	1,257,650
<i>OMH</i>	405,883	253,990	307,985	299,365	318,657	326,783
<i>OMH - Other</i>	739,482	827,902	820,695	847,744	877,961	930,867
People with Developmental Disabilities, Office for	1,168,238	1,125,236	1,125,059	1,167,358	1,193,791	1,269,691
<i>OPWDD</i>	42	72,350	116	116	116	116
<i>OPWDD - Other</i>	1,168,196	1,052,886	1,124,943	1,167,242	1,193,675	1,269,575
Quality of Care and Advocacy for Persons With Disabilities, Commission on	7,012	6,184	8,070	8,801	8,994	9,204
Functional Total	2,385,352	2,274,098	2,323,414	2,386,411	2,464,333	2,604,804
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,082	1,890	2,398	2,414	2,481	2,548
Correctional Services, Department of	2,072,488	1,962,381	2,276,340	2,112,424	2,163,837	2,299,342
Criminal Justice Services, Division of	45,227	42,682	42,553	39,777	40,760	41,886
Disaster Assistance	0	9,685	0	0	0	0
Homeland Security and Emergency Services, Division of	12,781	14,835	21,745	21,906	22,522	23,223
Indigent Legal Services, Office of	8	259	569	802	810	818
Judicial Commissions	3,723	3,794	4,093	4,124	4,237	4,357
Military and Naval Affairs, Division of	41,684	39,164	38,671	38,990	39,316	39,746
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	590,458	567,477	568,404	573,903	577,893	585,908
Statewide Financial System	3,977	7,554	10,814	10,895	10,977	11,060
Statewide Wireless Network	8,076	7,006	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Victim Services, Office of	4,950	4,398	5,366	5,446	5,604	5,805
Functional Total	2,785,454	2,661,125	2,971,553	2,811,281	2,869,037	3,015,293
HIGHER EDUCATION						
City University of New York	85,732	74,043	75,289	76,792	78,326	79,892
Higher Education - Miscellaneous	221	207	198	198	198	198
Higher Education Services Corporation, New York State	32,916	28,928	31,002	31,390	32,083	32,894
State University Construction Fund	12,562	13,612	0	0	0	0
State University of New York	3,248,683	3,332,878	3,386,984	3,436,732	3,527,493	3,614,419
Functional Total	3,380,114	3,449,668	3,493,473	3,545,112	3,638,100	3,727,403
EDUCATION						
Arts, Council on the	3,098	2,266	2,298	2,300	2,346	2,396
Education, Department of	175,944	158,373	165,214	168,033	170,363	172,042
<i>All Other</i>	175,944	158,373	165,214	168,033	170,363	172,042
Functional Total	179,042	160,639	167,512	170,333	172,709	174,438
GENERAL GOVERNMENT						
Budget, Division of the	24,430	23,829	24,961	25,768	27,287	28,166
Civil Service, Department of	16,153	13,284	13,247	14,359	14,714	15,109
Deferred Compensation Board	374	378	392	396	408	417
Elections, State Board of	4,374	4,105	4,144	4,254	4,370	4,495
Employee Relations, Office of	2,909	2,529	2,551	2,570	2,646	2,728
General Services, Office of	56,079	48,873	50,908	51,870	52,997	54,392
Inspector General, Office of the	5,519	5,067	6,083	6,129	6,301	6,485
Labor Management Committees	9,872	8,359	5,500	5,504	6,119	6,366
Lottery, Division of the	21,057	20,276	24,676	25,029	25,495	25,893
Public Employment Relations Board	3,456	3,197	3,290	3,119	3,046	3,138
Public Integrity, Commission on	3,017	2,492	3,166	3,190	3,282	3,380
Regulatory Reform, Governor's Office of	1,537	80	0	0	0	0
State, Department of	34,671	31,461	34,848	35,868	36,702	37,431
Tax Appeals, Division of	2,776	2,483	2,900	2,601	2,673	2,727
Taxation and Finance, Department of	323,769	300,408	301,881	300,966	309,755	316,470
Technology, Office for	11,208	10,456	13,586	13,595	13,907	14,246
Veterans' Affairs, Division of	6,232	5,289	5,807	5,890	6,041	6,212
Functional Total	527,433	482,566	497,940	501,108	515,743	527,655
ELECTED OFFICIALS						
Audit and Control, Department of	110,320	103,298	108,671	109,385	112,012	115,214
Executive Chamber	10,963	10,210	11,338	11,495	12,238	12,589
Judiciary	1,526,975	1,466,250	1,468,250	1,514,284	1,561,492	1,618,742
Law, Department of	129,276	123,150	130,394	130,602	133,947	137,628
Legislature	174,096	151,882	165,284	166,524	167,773	169,031
Lieutenant Governor, Office of the	281	289	480	494	515	543
Functional Total	1,951,911	1,855,079	1,884,417	1,932,784	1,987,977	2,053,747
ALL OTHER CATEGORIES						
General State Charges	3,835	2,091	0	0	0	0
Miscellaneous	2,217	2,356	(7,318)	(42,275)	(27,179)	27,919
Functional Total	6,052	4,447	(7,318)	(42,275)	(27,179)	27,919
TOTAL PERSONAL SERVICE SPENDING	13,104,782	12,679,892	13,114,721	13,109,292	13,458,279	14,003,452

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	36,334	33,971	33,083	33,849	34,783	35,046
Alcoholic Beverage Control, Division of	4,069	5,324	5,444	5,577	5,712	5,851
Economic Development, Department of	6,538	22,375	10,267	9,785	10,051	10,051
Energy Research and Development Authority	1,850	1,680	1,854	1,895	1,895	1,895
Financial Services, Department of	60,286	68,426	68,554	70,279	70,338	70,405
Olympic Regional Development Authority	644	747	594	684	740	740
Public Service Department	11,114	8,815	8,644	10,119	10,406	10,514
Racing and Wagering Board, State	5,994	6,330	6,135	6,168	6,200	6,200
Functional Total	126,829	147,668	134,575	138,356	140,125	140,702
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	484	575	705	705	705	705
Environmental Conservation, Department of	91,382	80,824	76,607	75,384	73,445	73,332
Environmental Facilities Corporation	1,062	1,050	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	43,753	46,988	38,258	38,128	38,788	38,788
Functional Total	136,681	129,437	115,570	114,217	112,938	112,825
TRANSPORTATION						
Motor Vehicles, Department of	18,919	21,233	22,542	24,962	25,557	26,173
Transportation, Department of	25,064	28,643	17,292	17,701	18,087	18,498
Functional Total	43,983	49,876	39,834	42,663	43,644	44,671
HEALTH						
Aging, Office for the	1,505	1,456	1,200	1,210	1,220	1,220
Health, Department of	427,263	468,479	487,804	520,202	529,315	529,323
<i>Medical Assistance</i>	22,747	13,784	50,855	55,855	55,355	55,355
<i>Public Health</i>	404,516	454,695	436,949	464,347	473,960	473,968
Medicaid Inspector General, Office of the	16,215	12,563	18,745	19,076	19,799	19,825
Stem Cell and Innovation	36,437	43,029	43,500	63,673	61,373	56,500
Functional Total	481,420	525,527	551,249	604,161	611,707	606,868
SOCIAL WELFARE						
Children and Family Services, Office of	183,932	177,358	190,489	201,300	200,781	199,348
<i>OCFS</i>	183,932	177,358	190,489	201,300	200,781	199,348
Housing and Community Renewal, Division of	16,458	17,112	12,729	12,938	13,151	13,310
Human Rights, Division of	3,406	3,842	2,426	2,544	2,617	2,690
Labor, Department of	157,757	127,454	142,545	129,892	130,356	130,846
National and Community Service	24,070	20,894	13,687	13,687	13,902	13,902
Prevention of Domestic Violence, Office for	192	221	96	102	109	120
Temporary and Disability Assistance, Office of	162,748	165,159	183,959	184,430	190,983	188,431
<i>All Other</i>	162,748	165,159	183,959	184,430	190,983	188,431
Welfare Inspector General, Office of	660	31	485	485	496	496
Workers' Compensation Board	66,505	76,504	66,706	63,031	63,955	64,900
Functional Total	615,728	588,575	613,122	608,409	616,350	614,043
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	22,600	22,315	20,140	20,285	20,837	21,391
<i>OASAS</i>	10,729	10,683	9,874	10,086	10,361	10,641
<i>OASAS - Other</i>	11,871	11,632	10,266	10,199	10,476	10,750
Developmental Disabilities Planning Council	1,704	1,593	2,468	2,340	2,259	2,188
Mental Health, Office of	311,060	292,553	287,742	314,737	333,773	335,548
<i>OMH</i>	77,244	54,066	79,808	82,855	82,497	82,807
<i>OMH - Other</i>	233,816	238,487	207,934	231,882	251,276	252,741
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	382,160	362,704	396,469	410,776	421,470	431,610
<i>OPWDD</i>	1,644	36,607	33,666	34,542	34,542	34,542
<i>OPWDD - Other</i>	380,516	326,097	362,803	376,234	386,928	397,068
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,381	5,451	6,409	5,983	6,126	6,286
Functional Total	723,250	685,027	713,228	754,121	784,465	797,023
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	337	361	274	297	306	316
Correctional Services, Department of	532,464	512,484	473,402	493,090	513,594	535,029
Criminal Justice Services, Division of	37,016	39,409	45,753	44,440	41,350	41,817
Disaster Assistance	0	11,126	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	15,831	15,565	39,996	28,149	24,221	24,810
Indigent Legal Services, Office of	25,016	67	290	349	357	365
Judicial Commissions	1,221	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	22,221	21,905	22,673	22,688	22,836	22,988
State Police, Division of	89,056	64,587	82,720	83,423	84,925	89,935
Statewide Financial System	5,092	10,042	41,787	40,630	41,849	43,104
Statewide Wireless Network	19,579	62,201	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Victim Services, Office of	1,124	1,395	1,418	1,433	1,450	1,467
Functional Total	<u>748,957</u>	<u>740,327</u>	<u>752,372</u>	<u>745,952</u>	<u>766,946</u>	<u>761,464</u>
HIGHER EDUCATION						
City University of New York	31,367	33,794	27,942	28,641	29,357	30,091
Higher Education - Miscellaneous	34	169	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	36,097	39,666	44,611	45,552	46,526	47,560
State University Construction Fund	1,876	3,197	0	0	0	0
State University of New York	1,950,522	2,398,748	2,371,864	2,429,256	2,481,073	2,533,002
Functional Total	<u>2,019,896</u>	<u>2,475,574</u>	<u>2,445,420</u>	<u>2,504,452</u>	<u>2,557,959</u>	<u>2,611,656</u>
EDUCATION						
Arts, Council on the	1,596	1,822	1,922	1,922	1,922	1,922
Education, Department of	106,933	111,307	149,279	236,933	215,307	108,220
<i>All Other</i>	106,933	111,307	149,279	236,933	215,307	108,220
Functional Total	<u>108,529</u>	<u>113,129</u>	<u>151,201</u>	<u>238,855</u>	<u>217,229</u>	<u>110,142</u>
GENERAL GOVERNMENT						
Budget, Division of the	7,651	6,852	6,292	10,828	11,776	11,883
Civil Service, Department of	1,524	1,311	1,489	1,784	1,889	1,894
Deferred Compensation Board	145	79	213	219	225	225
Elections, State Board of	63,486	4,187	8,970	22,925	946	967
Employee Relations, Office of	91	75	137	140	140	141
General Services, Office of	78,513	77,370	108,307	91,507	85,203	86,696
Inspector General, Office of the	184	342	527	588	669	711
Labor Management Committees	22,463	14,856	28,338	27,588	29,125	34,043
Lottery, Division of the	131,898	120,208	136,571	130,168	133,585	133,585
Public Employment Relations Board	532	478	441	738	758	758
Public Integrity, Commission on	777	725	850	1,296	1,318	1,340
Regulatory Reform, Governor's Office of	116	3	0	0	0	0
State, Department of	11,570	13,166	18,220	18,262	18,717	18,728
Tax Appeals, Division of	358	367	201	212	221	221
Taxation and Finance, Department of	89,313	83,181	91,480	94,048	96,380	96,380
Technology, Office for	15,164	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	661	586	1,492	994	1,017	1,042
Functional Total	<u>424,446</u>	<u>332,288</u>	<u>411,936</u>	<u>409,007</u>	<u>391,939</u>	<u>397,853</u>
ELECTED OFFICIALS						
Audit and Control, Department of	28,521	31,825	30,723	32,805	33,646	34,507
Executive Chamber	1,917	3,046	2,240	2,341	2,535	2,596
Judiciary	347,688	367,763	395,150	407,630	445,960	484,252
Law, Department of	55,774	62,521	62,316	59,939	61,248	62,795
Legislature	48,440	45,281	53,511	54,825	56,172	57,552
Lieutenant Governor, Office of the	23	119	134	120	150	137
Functional Total	<u>482,363</u>	<u>510,555</u>	<u>544,074</u>	<u>557,660</u>	<u>599,711</u>	<u>641,839</u>
ALL OTHER CATEGORIES						
General State Charges	1,726	1,585	0	0	0	0
Long-Term Debt Service	62,846	45,732	46,925	46,925	46,925	46,925
Miscellaneous	2,669	2,651	(96,796)	(176,847)	(183,996)	(133,015)
Functional Total	<u>67,241</u>	<u>49,968</u>	<u>(49,871)</u>	<u>(129,922)</u>	<u>(137,071)</u>	<u>(86,090)</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>5,979,323</u>	<u>6,347,951</u>	<u>6,422,710</u>	<u>6,587,931</u>	<u>6,705,942</u>	<u>6,752,996</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	5,749	2,422	2,692	2,927	3,183	3,364
Alcoholic Beverage Control, Division of	4,125	3,572	4,230	4,491	4,761	5,004
Economic Development, Department of	21	0	28	28	28	28
Energy Research and Development Authority	1,406	2,342	1,638	1,758	1,758	1,758
Financial Services, Department of	66,829	78,741	66,930	66,986	68,236	69,800
Public Service Department	20,885	19,550	21,703	25,113	27,302	29,510
Racing and Wagering Board, State	3,517	2,283	3,054	3,287	3,512	3,512
Functional Total	102,532	108,910	100,275	104,590	108,780	112,976
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	47,382	47,190	46,975	46,020	47,097	48,242
Environmental Facilities Corporation	2,268	2,389	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,719	655	4,199	4,341	4,514	4,568
Functional Total	52,369	50,234	51,174	50,361	51,611	52,810
TRANSPORTATION						
Motor Vehicles, Department of	27,958	25,239	25,622	28,758	30,928	33,082
Transportation, Department of	2,519	13,072	7,480	8,167	8,760	9,365
Functional Total	30,477	38,311	33,102	36,925	39,688	42,447
HEALTH						
Aging, Office for the	0	0	235	235	235	235
Health, Department of	60,449	55,907	65,225	69,416	71,305	73,614
<i>Public Health</i>	60,449	55,907	65,225	69,416	71,305	73,614
Medicaid Inspector General, Office of the	8,999	8,797	10,738	10,749	10,980	11,264
Stem Cell and Innovation	318	232	0	0	0	0
Functional Total	69,766	64,936	76,198	80,400	82,520	85,113
SOCIAL WELFARE						
Children and Family Services, Office of	12,215	12,485	12,429	11,551	11,893	12,312
<i>OCFS</i>	12,215	12,485	12,429	11,551	11,893	12,312
Housing and Community Renewal, Division of	19,849	18,713	19,190	20,467	21,869	22,727
Human Rights, Division of	1,047	49	1,969	2,075	2,203	2,330
Labor, Department of	107,407	108,624	112,896	115,612	124,285	132,909
Temporary and Disability Assistance, Office of	47,451	43,241	44,123	44,463	44,973	44,973
<i>All Other</i>	47,451	43,241	44,123	44,463	44,973	44,973
Welfare Inspector General, Office of	56	46	221	221	222	224
Workers' Compensation Board	43,152	41,151	45,231	49,193	52,898	56,581
Functional Total	231,177	224,309	236,059	243,582	258,343	272,056
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	28,419	28,073	30,895	33,106	35,928	39,541
<i>OASAS</i>	12,184	12,086	15,151	16,219	17,411	19,089
<i>OASAS - Other</i>	16,235	15,987	15,744	16,887	18,517	20,452
Developmental Disabilities Planning Council	0	1,335	535	649	711	758
Mental Health, Office of	559,910	530,390	575,346	614,516	672,363	741,217
<i>OMH</i>	198,566	121,600	139,211	160,659	177,629	192,927
<i>OMH - Other</i>	361,344	408,790	436,135	453,857	494,734	548,290
People with Developmental Disabilities, Office for	580,009	521,166	595,597	647,928	699,327	768,834
<i>OPWDD</i>	19	104,039	70	74	74	74
<i>OPWDD - Other</i>	579,990	417,127	595,527	647,854	699,253	768,760
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,692	1,708	1,975	2,176	2,357	2,536
Functional Total	1,170,030	1,082,672	1,204,348	1,298,375	1,410,686	1,552,886
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	2,757	1,120	1,490	1,476	1,481	1,484
Criminal Justice Services, Division of	252	375	395	409	445	482
Homeland Security and Emergency Services, Division of	3,112	3,896	3,625	3,623	3,623	3,623
Indigent Legal Services, Office of	0	83	285	437	464	489
Military and Naval Affairs, Division of	8,228	6,021	6,749	6,749	6,749	6,749
State Police, Division of	21,329	19,372	21,282	24,073	25,230	26,230
Statewide Financial System	1	0	0	0	0	0
Statewide Wireless Network	598	120	0	0	0	0
Victim Services, Office of	888	1,817	2,103	2,213	2,326	2,428
Functional Total	37,165	32,804	35,929	38,980	40,318	41,485
HIGHER EDUCATION						
City University of New York	6,400	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	115	73	99	99	99	99
Higher Education Services Corporation, New York State	14,885	12,794	15,550	16,782	17,756	18,642
State University Construction Fund	4,477	5,816	0	0	0	0
State University of New York	528,116	559,990	587,773	606,129	625,990	646,808

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Functional Total	<u>553,993</u>	<u>585,028</u>	<u>609,574</u>	<u>629,347</u>	<u>650,372</u>	<u>672,272</u>
EDUCATION						
Education, Department of	64,236	69,217	72,105	77,343	82,426	86,761
<i>All Other</i>	64,236	69,217	72,105	77,343	82,426	86,761
Functional Total	<u>64,236</u>	<u>69,217</u>	<u>72,105</u>	<u>77,343</u>	<u>82,426</u>	<u>86,761</u>
GENERAL GOVERNMENT						
Budget, Division of the	1,744	2,081	2,297	2,650	2,874	3,094
Civil Service, Department of	219	241	168	168	172	176
Deferred Compensation Board	162	164	191	208	225	225
Elections, State Board of	0	126	0	0	0	0
General Services, Office of	1,290	1,495	1,901	2,002	2,144	2,284
Lottery, Division of the	9,955	9,822	11,743	13,879	13,978	13,978
State, Department of	10,286	8,981	11,211	12,190	13,288	13,578
Taxation and Finance, Department of	23,256	11,634	21,522	22,820	23,047	23,047
Veterans' Affairs, Division of	431	233	350	350	350	350
Functional Total	<u>47,343</u>	<u>34,777</u>	<u>49,383</u>	<u>54,267</u>	<u>56,078</u>	<u>56,732</u>
ELECTED OFFICIALS						
Audit and Control, Department of	1,382	2,689	2,061	1,522	1,633	1,744
Judiciary	551,599	601,846	584,398	643,830	683,352	717,306
Law, Department of	15,662	15,328	22,638	23,711	25,092	26,406
Functional Total	<u>568,643</u>	<u>619,863</u>	<u>609,097</u>	<u>669,063</u>	<u>710,077</u>	<u>745,456</u>
ALL OTHER CATEGORIES						
General State Charges	3,426,460	3,938,106	3,724,334	4,055,224	4,361,309	4,619,948
Miscellaneous	6,898	5,244	5,809	5,947	6,080	6,203
Functional Total	<u>3,433,358</u>	<u>3,943,350</u>	<u>3,730,143</u>	<u>4,061,171</u>	<u>4,367,389</u>	<u>4,626,151</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>6,361,089</u>	<u>6,854,411</u>	<u>6,807,387</u>	<u>7,344,404</u>	<u>7,858,288</u>	<u>8,347,145</u>

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	5,205	17,956	15,506	9,277	7,330	3,500
Economic Development Capital	(427)	0	12,500	12,500	5,000	5,000
Economic Development, Department of	7,991	821	29,381	32,645	19,859	15,000
Empire State Development Corporation	4,810	8,446	97,565	132,602	135,003	85,832
Energy Research and Development Authority	16,403	14,629	13,200	14,790	14,790	14,790
Regional Economic Development Program	0	0	2,500	2,500	1,500	1,500
Strategic Investment Program	3,561	3,718	5,000	5,000	5,000	5,000
Functional Total	37,543	45,570	175,652	209,314	188,482	130,622
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	305,327	303,054	398,242	362,631	335,559	301,102
Environmental Facilities Corporation	356	274	0	0	0	0
Hudson River Park Trust	15,216	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	58,361	32,095	95,341	68,627	55,940	42,228
Functional Total	379,260	335,639	497,399	431,258	391,499	343,330
TRANSPORTATION						
Metropolitan Transportation Authority	0	0	150,000	0	0	310,000
Motor Vehicles, Department of	204,814	186,910	184,484	194,948	202,058	209,772
Thruway Authority, New York State	0	0	1,800	1,800	1,800	1,800
Transportation, Department of	3,082,367	3,017,285	3,120,720	3,118,074	2,868,428	2,588,331
Functional Total	3,287,181	3,204,195	3,457,004	3,314,822	3,072,286	3,109,903
HEALTH						
Health, Department of	7,468	7,272	13,500	13,500	13,500	13,500
<i>Public Health</i>	7,468	7,272	13,500	13,500	13,500	13,500
Functional Total	7,468	7,272	13,500	13,500	13,500	13,500
SOCIAL WELFARE						
Children and Family Services, Office of	18,245	20,494	20,900	20,900	20,900	20,900
<i>OCFS</i>	18,245	20,494	20,900	20,900	20,900	20,900
Housing and Community Renewal, Division of	3,000	2,300	3,000	3,002	3,002	3,004
Functional Total	21,245	22,794	23,900	23,902	23,902	23,904
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,678	146	9,880	13,196	13,083	13,083
<i>OASAS</i>	1,678	146	9,880	13,196	13,083	13,083
Mental Health, Office of	69,686	63,011	77,570	77,598	77,680	77,783
<i>OMH</i>	69,686	63,011	77,570	77,598	77,680	77,783
People with Developmental Disabilities, Office for	23,618	38,419	45,220	39,220	39,220	39,220
<i>OPWDD</i>	23,618	38,419	45,220	39,220	39,220	39,220
Functional Total	94,982	101,576	132,670	130,014	129,983	130,086
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	216,232	239,799	253,265	259,677	265,710	271,860
Disaster Assistance	0	17,754	0	0	0	0
Homeland Security and Emergency Services, Division of	2,416	4,476	16,000	13,100	5,500	400
Military and Naval Affairs, Division of	23,746	16,092	27,041	26,996	43,507	41,607
Public Security and Emergency Response	535	125	0	0	0	0
State Police, Division of	14,597	30,466	37,800	17,874	13,865	13,865
Functional Total	257,526	308,712	334,106	317,647	328,582	327,732
HIGHER EDUCATION						
City University of New York	9,601	25,182	52,127	53,066	46,725	41,958
Higher Education Facilities Capital Matching Grants Program	(432)	406	0	0	0	0
State University of New York	852,320	1,013,908	1,091,604	1,070,945	1,198,663	1,122,584
Functional Total	861,489	1,039,496	1,143,731	1,124,011	1,245,388	1,164,542
EDUCATION						
Education, Department of	4,631	8,230	32,637	33,118	18,400	23,400
<i>All Other</i>	4,631	8,230	32,637	33,118	18,400	23,400
Functional Total	4,631	8,230	32,637	33,118	18,400	23,400
GENERAL GOVERNMENT						
General Services, Office of	61,188	74,801	66,578	59,309	69,883	69,883
State, Department of	1,373	0	(15)	(15)	(15)	(15)
Technology, Office for	2,883	0	0	0	0	0
Functional Total	65,444	74,801	66,563	59,294	69,868	69,868

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
ELECTED OFFICIALS						
Judiciary	9,640	2,311	0	0	0	0
Functional Total	<u>9,640</u>	<u>2,311</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Miscellaneous	105,219	125,940	91,000	104,000	96,000	40,000
Functional Total	<u>105,219</u>	<u>125,940</u>	<u>91,000</u>	<u>104,000</u>	<u>96,000</u>	<u>40,000</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>5,131,628</u>	<u>5,276,536</u>	<u>5,968,162</u>	<u>5,760,880</u>	<u>5,577,890</u>	<u>5,376,887</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	82,828	96,414	94,320	88,725	88,658	85,883
Alcoholic Beverage Control, Division of	16,706	16,616	17,859	18,314	18,781	19,225
Development Authority of the North Country	10	0	70	0	0	0
Economic Development Capital	30,190	17,655	12,500	12,500	5,000	5,000
Economic Development, Department of	103,950	144,632	136,399	121,529	97,889	93,285
Empire State Development Corporation	931,654	953,805	521,153	658,882	634,903	457,292
Energy Research and Development Authority	31,710	31,567	29,358	31,178	31,178	31,178
Financial Services, Department of	507,291	506,105	486,283	490,712	494,195	498,348
Olympic Regional Development Authority	3,534	3,596	3,079	3,206	3,288	3,288
Public Service Department	73,076	66,124	70,324	79,203	83,331	87,373
Racing and Wagering Board, State	21,573	19,553	18,248	18,513	18,845	19,080
Regional Economic Development Program	5,248	6,058	2,500	2,500	1,500	1,500
Strategic Investment Program	3,561	3,718	5,000	5,000	5,000	5,000
Functional Total	1,811,331	1,865,843	1,397,093	1,530,262	1,482,568	1,306,452
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,637	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	662,504	651,642	718,706	680,712	655,987	625,154
Environmental Facilities Corporation	9,746	10,347	0	0	0	0
Hudson River Park Trust	15,216	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	253,619	226,698	278,280	243,820	233,689	221,992
Functional Total	945,722	893,202	1,004,948	928,707	893,927	851,483
TRANSPORTATION						
Metropolitan Transportation Authority	216,912	194,500	333,600	183,600	183,600	328,571
Motor Vehicles, Department of	300,776	279,072	279,496	296,942	307,830	319,530
Thruway Authority, New York State	1,478	2,163	1,800	1,800	1,800	1,800
Transportation, Department of	6,287,184	6,353,525	6,625,113	6,740,338	6,769,328	6,811,820
Functional Total	6,806,350	6,829,260	7,240,009	7,222,680	7,262,558	7,461,721
HEALTH						
Aging, Office for the	118,718	113,753	117,434	120,063	126,859	133,871
Health, Department of	14,607,760	18,156,155	18,807,393	19,653,838	19,984,969	20,588,558
<i>Medical Assistance</i>	11,400,560	14,778,525	15,342,913	16,017,013	16,601,713	17,507,579
<i>Medicaid Administration</i>	538,370	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	2,668,830	2,844,337	2,895,730	3,084,575	2,880,506	2,637,729
Medicaid Inspector General, Office of the	28,084	25,284	24,795	25,596	26,821	27,292
Stem Cell and Innovation	37,289	43,702	43,500	63,673	61,373	56,500
Functional Total	14,791,851	18,338,894	18,993,122	19,863,170	20,200,022	20,806,221
SOCIAL WELFARE						
Children and Family Services, Office of	2,012,107	1,918,848	1,882,276	2,229,384	2,315,207	2,415,420
<i>OCFS</i>	1,942,752	1,805,680	1,788,825	2,112,016	2,193,234	2,288,697
<i>OCFS - Other</i>	69,355	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	214,800	195,084	198,497	194,113	197,880	205,320
Human Rights, Division of	14,165	12,000	10,755	10,958	11,269	11,614
Labor, Department of	71,832	60,962	94,792	61,472	61,048	63,271
National and Community Service	381	332	601	683	687	687
Prevention of Domestic Violence, Office for	1,956	1,802	2,094	2,111	2,150	2,194
Temporary and Disability Assistance, Office of	1,415,873	1,609,788	1,730,465	1,778,050	1,687,564	1,713,847
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	372,008	342,922	350,192	337,051	348,216	347,786
Welfare Inspector General, Office of	1,150	441	1,407	1,427	1,456	1,468
Workers' Compensation Board	194,002	199,035	196,210	198,928	205,530	212,490
Functional Total	3,926,266	3,998,292	4,117,097	4,477,126	4,482,791	4,626,311
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	447,896	463,573	474,042	501,581	592,814	617,242
<i>OASAS</i>	336,208	371,684	384,887	410,262	498,347	518,744
<i>OASAS - Other</i>	111,688	91,889	89,155	91,319	94,467	98,498
Mental Health, Office of	3,250,569	3,121,765	3,218,527	3,468,860	3,804,284	4,040,311
<i>OMH</i>	1,492,515	1,238,438	1,371,114	1,524,312	1,742,426	1,838,213
<i>OMH - Other</i>	1,758,054	1,883,327	1,847,413	1,944,548	2,061,858	2,202,098
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	4,322,045	4,373,199	4,367,210	4,695,423	4,894,032	5,120,397
<i>OPWDD</i>	515,378	945,451	484,528	522,694	538,794	548,246
<i>OPWDD - Other</i>	3,806,667	3,427,748	3,882,682	4,172,729	4,355,238	4,572,151
Quality of Care and Advocacy for Persons With Disabilities, Commission on	8,213	7,584	9,505	10,258	10,543	10,844
Functional Total	8,029,068	7,966,532	8,069,284	8,676,122	9,301,673	9,788,794
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,803,925	2,716,925	2,963,046	2,843,282	2,920,908	3,083,942
Corrections and Community Supervision Medicaid, Department of	0	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	213,480	215,019	230,926	227,421	225,347	226,792

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Disaster Assistance	0	38,565	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	35,714	32,705	163,310	164,518	137,031	121,361
Indigent Legal Services, Office of	90,793	62,701	75,144	78,588	78,631	78,672
Judicial Commissions	4,944	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	39,023	39,755	37,740	37,823	42,688	41,159
Public Security and Emergency Response	496	125	600	600	600	600
State Police, Division of	692,423	660,844	702,606	691,623	694,213	708,238
Statewide Financial System	9,070	17,596	52,601	51,525	52,826	54,164
Statewide Wireless Network	28,253	87,696	0	0	0	0
Victim Services, Office of	34,594	35,194	32,741	34,931	35,156	35,397
Functional Total	3,955,134	3,914,355	4,321,038	4,180,099	4,241,982	4,371,679
HIGHER EDUCATION						
City University of New York	1,315,913	1,341,784	1,380,694	1,507,203	1,566,063	1,631,936
Higher Education - Miscellaneous	370	449	1,300	1,300	1,300	1,300
Higher Education Facilities Capital Matching Grants Program	33,834	26,836	36,492	10,000	0	0
Higher Education Services Corporation, New York State	889,588	1,000,527	1,020,044	1,088,021	1,103,725	1,117,935
State University Construction Fund	18,915	22,625	0	0	0	0
State University of New York	6,733,419	7,491,580	7,665,718	7,776,202	8,066,359	8,149,953
Functional Total	8,992,039	9,883,801	10,104,248	10,382,726	10,737,447	10,901,124
EDUCATION						
Arts, Council on the	45,173	33,659	40,053	36,055	36,101	36,151
Education, Department of	26,730,429	24,771,504	25,505,844	26,577,722	27,630,091	28,763,336
<i>School Aid</i>	21,848,300	19,661,924	20,056,331	20,910,635	21,724,727	22,671,362
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	924,218	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<i>All Other</i>	723,897	700,707	815,810	752,096	734,422	744,290
Functional Total	26,775,602	24,805,163	25,545,897	26,613,777	27,666,192	28,799,487
GENERAL GOVERNMENT						
Budget, Division of the	33,825	32,762	33,550	39,246	41,937	43,143
Civil Service, Department of	17,896	14,836	14,904	16,311	16,775	17,179
Deferred Compensation Board	681	621	796	823	858	867
Elections, State Board of	6,186	9,815	7,814	5,179	35,316	5,462
Employee Relations, Office of	3,000	2,604	2,688	2,710	2,786	2,869
General Services, Office of	189,821	196,290	222,726	199,701	205,240	208,268
Inspector General, Office of the	5,703	5,409	6,610	6,717	6,970	7,196
Labor Management Committees	32,335	23,215	33,838	33,092	35,244	40,409
Lottery, Division of the	162,910	150,306	172,990	169,076	173,058	173,456
Public Employment Relations Board	3,988	3,675	3,731	3,857	3,804	3,896
Public Integrity, Commission on	3,794	3,217	4,016	4,486	4,600	4,720
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	76,851	61,000	70,663	60,147	62,133	63,054
Tax Appeals, Division of	3,134	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	441,466	401,033	415,599	418,545	429,888	436,603
Technology, Office for	26,987	19,640	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	14,069	12,884	14,106	12,949	13,155	13,383
Functional Total	1,024,299	940,240	1,029,126	996,957	1,058,535	1,046,938
ELECTED OFFICIALS						
Audit and Control, Department of	171,821	169,817	173,479	175,736	179,315	183,489
Executive Chamber	12,880	13,256	13,578	13,836	14,773	15,185
Judiciary	2,549,908	2,545,494	2,546,998	2,679,944	2,805,004	2,934,500
Law, Department of	169,761	168,624	179,139	177,667	183,089	188,749
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	304	408	614	614	665	680
Functional Total	3,127,210	3,094,762	3,132,603	3,269,146	3,406,791	3,549,186
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	738,940	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,604	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
Functional Total	775,330	753,792	777,376	789,264	800,634	803,402
ALL OTHER CATEGORIES						
General State Charges	3,432,021	3,941,782	3,724,334	4,055,224	4,361,309	4,619,948
Long-Term Debt Service	5,677,515	5,909,754	6,146,701	6,462,370	6,530,775	6,691,841
Miscellaneous	49,061	57,204	18,971	(8,209)	(62,924)	63,186
Functional Total	9,158,597	9,908,740	9,890,006	10,509,385	10,829,160	11,374,975
TOTAL STATE FUNDS SPENDING	90,118,799	93,192,876	95,621,847	99,439,421	102,364,280	105,687,773

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	15,014	24,603	25,652	24,216	24,216	24,216
Development Authority of the North Country	10	0	70	0	0	0
Economic Development Capital	30,617	17,655	0	0	0	0
Economic Development, Department of	75,494	110,082	84,264	66,229	54,772	54,772
Empire State Development Corporation	926,844	945,359	423,588	526,280	499,900	371,460
Energy Research and Development Authority	9,157	8,140	9,234	9,234	9,234	9,234
Financial Services, Department of	228,152	217,470	216,952	216,952	216,952	216,952
Public Service Department	0	0	500	500	500	500
Regional Economic Development Program	5,248	6,058	0	0	0	0
Functional Total	1,290,536	1,329,367	760,260	843,411	805,574	677,134
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	70,523	74,946	61,325	59,802	59,802	59,802
Environmental Facilities Corporation	0	1,180	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	16,933	12,121	16,112	7,600	7,600	7,600
Functional Total	87,456	88,247	77,437	67,402	67,402	67,402
TRANSPORTATION						
Metropolitan Transportation Authority	216,912	194,500	183,600	183,600	183,600	18,571
Thruway Authority, New York State	1,478	2,163	0	0	0	0
Transportation, Department of	4,266,828	4,243,459	4,444,675	4,638,374	4,725,238	4,810,299
Functional Total	4,485,218	4,440,122	4,628,275	4,821,974	4,908,838	4,828,870
HEALTH						
Aging, Office for the	117,041	111,616	115,697	118,252	124,956	131,901
Health, Department of	13,995,374	17,561,651	18,144,739	18,930,447	19,238,875	19,835,189
<i>Medical Assistance</i>	11,377,313	14,763,303	15,291,558	15,960,658	16,545,858	17,451,724
<i>Medicaid Administration</i>	538,370	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	2,079,691	2,265,055	2,284,431	2,417,539	2,190,267	1,940,215
Functional Total	14,112,415	17,673,267	18,260,436	19,048,699	19,363,831	19,967,090
SOCIAL WELFARE						
Children and Family Services, Office of	1,670,267	1,603,904	1,543,111	1,891,290	1,990,187	2,093,572
<i>OCFS</i>	1,600,912	1,490,736	1,449,660	1,773,922	1,868,214	1,966,849
<i>OCFS - Other</i>	69,355	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	137,588	124,044	130,604	124,802	126,454	131,454
Labor, Department of	11,237	3,665	29,987	2,875	150	150
National and Community Service	0	38	350	350	350	350
Prevention of Domestic Violence, Office for	666	541	685	685	685	685
Temporary and Disability Assistance, Office of	1,247,339	1,458,453	1,527,766	1,572,892	1,471,241	1,499,354
<i>Welfare Assistance</i>	1,043,865	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	203,474	191,587	147,493	131,893	131,893	133,293
Functional Total	3,067,097	3,190,645	3,232,503	3,592,894	3,589,067	3,725,565
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	336,995	359,683	362,628	383,129	468,816	486,018
<i>OASAS</i>	287,906	327,532	329,948	350,449	436,136	453,338
<i>OASAS - Other</i>	49,089	32,151	32,680	32,680	32,680	32,680
Mental Health, Office of	1,165,624	1,155,718	1,150,206	1,315,952	1,524,918	1,629,195
<i>OMH</i>	742,212	747,570	767,557	904,887	1,087,031	1,158,995
<i>OMH - Other</i>	423,412	408,148	382,649	411,065	437,887	470,200
People with Developmental Disabilities, Office for	2,176,345	2,327,726	2,238,541	2,464,692	2,574,775	2,645,593
<i>OPWDD</i>	498,380	696,088	439,132	483,293	499,393	508,845
<i>OPWDD - Other</i>	1,677,965	1,631,638	1,799,409	1,981,399	2,075,382	2,136,748
Quality of Care and Advocacy for Persons With Disabilities, Commission on	623	560	620	620	620	620
Functional Total	3,679,587	3,843,687	3,751,995	4,164,393	4,569,129	4,761,426
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	10,386	5,594	6,051	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	150,003	151,076	159,811	154,751	154,911	154,911
Homeland Security and Emergency Services, Division of	17,552	19,575	97,923	113,739	97,264	85,523
Indigent Legal Services, Division of	65,769	62,292	74,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	3,659	3,761	867	850	850	850
Statewide Wireless Network	0	18,369	0	0	0	0
Victim Services, Office of	29,185	29,239	26,182	28,182	28,182	28,182
Functional Total	276,554	289,906	376,334	392,022	375,707	364,966
HIGHER EDUCATION						
City University of New York	1,182,813	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Facilities Capital Matching Grants Program	34,266	26,430	36,492	10,000	0	0
Higher Education Services Corporation, New York State	813,707	923,514	935,933	1,001,349	1,014,412	1,025,891
State University of New York	473,053	488,115	462,404	468,051	468,051	468,051

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Functional Total	<u>2,503,839</u>	<u>2,640,469</u>	<u>2,654,013</u>	<u>2,821,767</u>	<u>2,887,591</u>	<u>2,967,214</u>
EDUCATION						
Arts, Council on the	40,479	29,571	35,933	31,933	31,933	31,933
Education, Department of	<u>26,564,820</u>	<u>24,606,829</u>	<u>25,317,997</u>	<u>26,383,152</u>	<u>27,445,788</u>	<u>28,569,950</u>
<i>School Aid</i>	21,848,300	19,661,924	20,056,331	20,910,635	21,724,727	22,671,362
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	924,218	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<i>All Other</i>	558,288	536,032	627,963	557,526	550,119	550,904
Functional Total	<u>26,605,299</u>	<u>24,636,400</u>	<u>25,353,930</u>	<u>26,415,085</u>	<u>27,477,721</u>	<u>28,601,883</u>
GENERAL GOVERNMENT						
Elections, State Board of	582	415	2,700	0	30,000	0
General Services, Office of	28	0	19	0	0	0
State, Department of	24,495	12,916	16,118	3,877	3,877	3,877
Taxation and Finance, Department of	5,270	6,487	926	926	926	926
Technology, Office for	2,086	682	0	0	0	0
Veterans' Affairs, Division of	8,044	7,572	8,117	7,397	7,457	7,517
Functional Total	<u>40,505</u>	<u>28,072</u>	<u>27,880</u>	<u>12,200</u>	<u>42,260</u>	<u>12,320</u>
ELECTED OFFICIALS						
Audit and Control, Department of	31,598	32,005	32,024	32,024	32,024	32,024
Judiciary	<u>119,798</u>	<u>114,108</u>	<u>106,700</u>	<u>121,700</u>	<u>121,700</u>	<u>121,700</u>
Functional Total	<u>151,396</u>	<u>146,113</u>	<u>138,724</u>	<u>153,724</u>	<u>153,724</u>	<u>153,724</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	738,940	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,604	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	3,920	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	217	218	218	218	218
Functional Total	<u>775,330</u>	<u>753,792</u>	<u>777,376</u>	<u>789,264</u>	<u>800,634</u>	<u>803,402</u>
ALL OTHER CATEGORIES						
Miscellaneous	(33,259)	(44,673)	51,276	100,966	46,171	122,079
Functional Total	<u>(33,259)</u>	<u>(44,673)</u>	<u>51,276</u>	<u>100,966</u>	<u>46,171</u>	<u>122,079</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u>57,041,973</u>	<u>59,015,414</u>	<u>60,090,439</u>	<u>63,223,801</u>	<u>65,087,649</u>	<u>67,053,075</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	57,860	52,515	51,572	53,512	55,230	56,168
Alcoholic Beverage Control, Division of	12,581	13,044	13,629	13,823	14,020	14,221
Economic Development, Department of	20,444	33,729	22,726	22,627	23,230	23,485
Energy Research and Development Authority	4,744	6,456	5,286	5,396	5,396	5,396
Financial Services, Department of	212,310	210,028	202,401	206,774	209,007	211,596
Olympic Regional Development Authority	3,534	3,596	3,079	3,206	3,288	3,288
Public Service Department	52,777	47,386	48,889	54,419	56,343	58,195
Racing and Wagering Board, State	18,056	17,270	15,194	15,226	15,333	15,568
Functional Total	382,306	384,024	362,776	374,983	381,847	387,917
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,637	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	255,522	245,162	234,743	234,902	236,463	239,266
Environmental Facilities Corporation	7,122	6,504	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	177,022	183,216	165,936	166,563	169,056	171,026
Functional Total	444,303	439,181	404,825	405,640	409,770	414,629
TRANSPORTATION						
Motor Vehicles, Department of	68,522	67,400	70,013	73,915	75,576	77,460
Transportation, Department of	33,454	35,857	24,952	25,548	26,111	26,722
Functional Total	101,976	103,257	94,965	99,463	101,687	104,182
HEALTH						
Aging, Office for the	1,677	2,137	1,737	1,811	1,903	1,970
Health, Department of	571,324	555,256	606,935	663,531	684,909	690,571
<i>Medical Assistance</i>	23,247	15,222	51,355	56,355	55,855	55,855
<i>Public Health</i>	548,077	540,034	555,580	607,176	629,054	634,716
Medicaid Inspector General, Office of the	27,990	25,258	24,795	25,596	26,821	27,292
Stem Cell and Innovation	36,971	43,470	43,500	63,673	61,373	56,500
Functional Total	637,962	626,121	676,967	754,611	775,006	776,333
SOCIAL WELFARE						
Children and Family Services, Office of	321,270	292,769	315,749	314,574	301,463	298,244
<i>OCFS</i>	321,270	292,769	315,749	314,574	301,463	298,244
Housing and Community Renewal, Division of	61,015	55,910	51,392	51,781	52,756	54,285
Human Rights, Division of	14,165	12,000	10,755	10,958	11,269	11,614
Labor, Department of	44,994	42,274	50,358	43,269	44,363	45,456
National and Community Service	381	294	251	333	337	337
Prevention of Domestic Violence, Office for	1,290	1,261	1,409	1,426	1,465	1,509
Temporary and Disability Assistance, Office of	167,085	150,451	202,699	205,158	216,323	214,493
<i>All Other</i>	167,085	150,451	202,699	205,158	216,323	214,493
Welfare Inspector General, Office of	1,094	395	1,186	1,206	1,234	1,244
Workers' Compensation Board	150,850	157,884	150,979	149,735	152,632	155,909
Functional Total	762,144	713,238	784,778	778,440	781,842	783,091
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	80,818	75,671	73,393	75,072	77,819	81,590
<i>OASAS</i>	34,454	31,920	32,662	33,320	34,549	36,224
<i>OASAS - Other</i>	46,364	43,751	40,731	41,752	43,270	45,366
Mental Health, Office of	1,455,854	1,372,952	1,415,695	1,461,109	1,529,654	1,592,461
<i>OMH</i>	482,556	306,563	387,066	381,483	400,417	408,853
<i>OMH - Other</i>	973,298	1,066,389	1,028,629	1,079,626	1,129,237	1,183,608
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	1,542,092	1,485,935	1,487,922	1,543,657	1,580,784	1,666,824
<i>OPWDD</i>	(6,620)	106,952	176	181	181	181
<i>OPWDD - Other</i>	1,548,712	1,378,983	1,487,746	1,543,476	1,580,603	1,666,643
Quality of Care and Advocacy for Persons With Disabilities, Commission on	6,573	5,948	7,698	8,368	8,548	8,743
Functional Total	3,085,682	2,940,917	2,984,708	3,088,206	3,196,805	3,349,618
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,419	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,575,307	2,471,532	2,703,688	2,577,560	2,649,150	2,806,031
Criminal Justice Services, Division of	63,391	63,882	70,920	72,462	70,210	71,639
Disaster Assistance	0	20,811	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	15,550	8,654	48,678	36,972	33,560	34,731
Indigent Legal Services, Office of	25,024	326	859	1,151	1,167	1,183
Judicial Commissions	4,944	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	28,398	27,440	26,944	27,089	27,443	27,814
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	657,091	611,384	643,524	649,676	655,118	668,143
Statewide Financial System	9,069	17,596	52,601	51,525	52,826	54,164
Statewide Wireless Network	27,655	69,207	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Victim Services, Office of	4,521	4,138	4,782	4,862	4,974	5,113
Functional Total	3,413,369	3,302,200	3,603,420	3,460,185	3,538,130	3,678,272
HIGHER EDUCATION						
City University of New York	117,099	107,837	103,231	105,433	107,683	109,983
Higher Education - Miscellaneous	255	376	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	61,052	64,233	68,980	70,309	71,976	73,821
State University Construction Fund	14,438	16,809	0	0	0	0
State University of New York	4,880,006	5,429,621	5,523,988	5,631,128	5,773,706	5,912,561
Functional Total	5,072,850	5,618,876	5,697,400	5,808,071	5,954,566	6,097,566
EDUCATION						
Arts, Council on the	4,694	4,088	4,120	4,122	4,168	4,218
Education, Department of	131,572	126,159	125,348	129,133	131,140	133,142
<i>All Other</i>	131,572	126,159	125,348	129,133	131,140	133,142
Functional Total	136,266	130,247	129,468	133,255	135,308	137,360
GENERAL GOVERNMENT						
Budget, Division of the	32,081	30,681	31,253	36,596	39,063	40,049
Civil Service, Department of	17,677	14,595	14,736	16,143	16,603	17,003
Deferred Compensation Board	519	457	605	615	633	642
Elections, State Board of	5,604	9,400	5,114	5,179	5,316	5,462
Employee Relations, Office of	3,000	2,604	2,688	2,710	2,786	2,869
General Services, Office of	127,315	119,994	154,228	138,390	133,213	136,101
Inspector General, Office of the	5,703	5,409	6,610	6,717	6,970	7,196
Labor Management Committees	32,335	23,215	33,838	33,092	35,244	40,409
Lottery, Division of the	152,955	140,484	161,247	155,197	159,080	159,478
Public Employment Relations Board	3,988	3,675	3,731	3,857	3,804	3,896
Public Integrity, Commission on	3,794	3,217	4,016	4,486	4,600	4,720
Regulatory Reform, Governor's Office of	1,653	83	0	0	0	0
State, Department of	41,797	40,716	45,662	46,581	47,676	48,348
Tax Appeals, Division of	3,134	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	412,940	382,913	393,151	394,799	405,915	412,630
Technology, Office for	22,018	18,958	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	6,025	5,312	5,989	5,552	5,698	5,866
Functional Total	872,538	804,563	887,963	874,032	893,372	911,102
ELECTED OFFICIALS						
Audit and Control, Department of	138,841	135,123	139,394	142,190	145,658	149,721
Executive Chamber	12,880	13,256	13,578	13,836	14,773	15,185
Judiciary	1,868,890	1,827,308	1,855,900	1,914,414	1,999,952	2,095,494
Law, Department of	161,877	160,461	165,109	162,564	166,796	171,368
Legislature	222,536	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	304	408	614	614	665	680
Functional Total	2,405,328	2,333,719	2,393,390	2,454,967	2,551,789	2,659,031
ALL OTHER CATEGORIES						
General State Charges	5,561	3,676	0	0	0	0
Long-Term Debt Service	62,846	45,732	46,925	46,925	46,925	46,925
Miscellaneous	4,886	5,007	(104,114)	(219,122)	(211,175)	(105,096)
Functional Total	73,293	54,415	(57,189)	(172,197)	(164,250)	(58,171)
TOTAL STATE OPERATIONS SPENDING	17,388,017	17,450,758	17,963,471	18,059,656	18,555,872	19,240,930

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	31,416	27,412	27,993	29,168	30,180	30,855
Alcoholic Beverage Control, Division of	8,512	7,720	8,185	8,246	8,308	8,370
Economic Development, Department of	13,906	11,354	12,704	13,087	13,424	13,679
Energy Research and Development Authority	2,894	4,776	3,432	3,501	3,501	3,501
Financial Services, Department of	152,126	142,332	133,847	136,495	138,669	141,191
Olympic Regional Development Authority	2,890	2,849	2,485	2,522	2,548	2,548
Public Service Department	41,801	38,749	40,507	44,591	46,220	47,964
Racing and Wagering Board, State	12,062	10,940	9,059	9,058	9,133	9,368
Functional Total	265,607	246,132	238,212	246,668	251,983	257,476
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,234	3,779	3,791	3,820	3,896	3,982
Environmental Conservation, Department of	186,181	188,153	174,892	176,274	179,774	182,690
Environmental Facilities Corporation	6,060	5,454	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	135,297	138,928	128,763	129,520	131,378	133,348
Functional Total	331,772	336,314	307,446	309,614	315,048	320,020
TRANSPORTATION						
Motor Vehicles, Department of	51,578	48,313	50,028	51,560	52,689	54,020
Transportation, Department of	10,853	9,818	10,206	10,457	10,699	10,969
Functional Total	62,431	58,131	60,234	62,017	63,388	64,989
HEALTH						
Aging, Office for the	1,641	1,931	1,555	1,619	1,701	1,768
Health, Department of	289,860	267,998	285,952	310,157	322,478	328,193
<i>Medical Assistance</i>	500	1,438	500	500	500	500
<i>Public Health</i>	289,360	266,560	285,452	309,657	321,978	327,693
Medicaid Inspector General, Office of the	20,818	19,738	18,379	18,850	19,725	20,196
Stem Cell and Innovation	534	441	0	0	0	0
Functional Total	312,853	290,108	305,886	330,626	343,904	350,157
SOCIAL WELFARE						
Children and Family Services, Office of	192,793	177,205	186,486	174,500	163,447	161,672
<i>OCFS</i>	192,793	177,205	186,486	174,500	163,447	161,672
Housing and Community Renewal, Division of	46,367	40,611	40,890	41,148	41,986	43,395
Human Rights, Division of	12,932	10,679	9,841	9,927	10,207	10,522
Labor, Department of	32,161	29,954	27,955	28,145	28,851	29,545
National and Community Service	304	292	210	292	295	295
Prevention of Domestic Violence, Office for	1,098	1,040	1,313	1,324	1,356	1,389
Temporary and Disability Assistance, Office of	62,305	51,870	83,922	85,979	90,656	93,056
<i>All Other</i>	62,305	51,870	83,922	85,979	90,656	93,056
Welfare Inspector General, Office of	434	364	701	721	738	748
Workers' Compensation Board	89,052	85,890	87,805	90,328	92,301	94,633
Functional Total	437,446	397,905	439,123	432,364	429,837	435,255
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	59,596	54,920	54,931	56,407	58,624	61,879
<i>OASAS</i>	25,103	22,801	24,466	24,854	25,830	27,263
<i>OASAS - Other</i>	34,493	32,119	30,465	31,553	32,794	34,616
Mental Health, Office of	1,145,258	1,081,037	1,128,102	1,146,525	1,196,034	1,257,066
<i>OMH</i>	405,776	253,135	307,407	298,781	318,073	326,199
<i>OMH - Other</i>	739,482	827,902	820,695	847,744	877,961	930,867
People with Developmental Disabilities, Office for	1,168,196	1,125,113	1,124,943	1,167,242	1,193,675	1,269,575
<i>OPWDD</i>	0	72,227	0	0	0	0
<i>OPWDD - Other</i>	1,168,196	1,052,886	1,124,943	1,167,242	1,193,675	1,269,575
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,430	4,856	6,480	7,090	7,241	7,404
Functional Total	2,378,480	2,265,926	2,314,456	2,377,264	2,455,574	2,595,924
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,082	1,890	2,398	2,414	2,481	2,548
Correctional Services, Department of	2,043,633	1,959,956	2,231,528	2,085,747	2,136,867	2,272,313
Criminal Justice Services, Division of	34,806	32,972	33,919	34,524	35,363	36,326
Disaster Assistance	0	9,685	0	0	0	0
Homeland Security and Emergency Services, Division of	6,531	6,837	15,494	15,635	16,151	16,733
Indigent Legal Services, Office of	8	259	569	802	810	818
Judicial Commissions	3,723	3,794	4,093	4,124	4,237	4,357
Military and Naval Affairs, Division of	19,260	17,152	16,525	16,655	16,861	17,080
Public Security and Emergency Response	0	0	600	600	600	600
State Police, Division of	582,393	557,865	563,304	568,753	572,693	580,708
Statewide Financial System	3,977	7,554	10,814	10,895	10,977	11,060
Statewide Wireless Network	8,076	7,006	0	0	0	0
Victim Services, Office of	3,761	3,109	3,866	3,931	4,026	4,148

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Functional Total	<u>2,708,250</u>	<u>2,608,079</u>	<u>2,883,110</u>	<u>2,744,080</u>	<u>2,801,066</u>	<u>2,946,691</u>
HIGHER EDUCATION						
City University of New York	85,732	74,043	75,289	76,792	78,326	79,892
Higher Education - Miscellaneous	221	207	198	198	198	198
Higher Education Services Corporation, New York State	32,756	28,789	30,166	30,554	31,247	32,058
State University Construction Fund	12,562	13,612	0	0	0	0
State University of New York	<u>3,239,364</u>	<u>3,324,528</u>	<u>3,379,755</u>	<u>3,429,503</u>	<u>3,520,264</u>	<u>3,607,190</u>
Functional Total	<u>3,370,635</u>	<u>3,441,179</u>	<u>3,485,408</u>	<u>3,537,047</u>	<u>3,630,035</u>	<u>3,719,338</u>
EDUCATION						
Arts, Council on the	3,098	2,266	2,298	2,300	2,346	2,396
Education, Department of	<u>90,078</u>	<u>80,475</u>	<u>81,821</u>	<u>84,326</u>	<u>85,873</u>	<u>87,539</u>
<i>All Other</i>	<u>90,078</u>	<u>80,475</u>	<u>81,821</u>	<u>84,326</u>	<u>85,873</u>	<u>87,539</u>
Functional Total	<u>93,176</u>	<u>82,741</u>	<u>84,119</u>	<u>86,626</u>	<u>88,219</u>	<u>89,935</u>
GENERAL GOVERNMENT						
Budget, Division of the	24,430	23,829	24,961	25,768	27,287	28,166
Civil Service, Department of	16,153	13,284	13,247	14,359	14,714	15,109
Deferred Compensation Board	374	378	392	396	408	417
Elections, State Board of	4,205	4,065	4,144	4,254	4,370	4,495
Employee Relations, Office of	2,909	2,529	2,551	2,570	2,646	2,728
General Services, Office of	56,079	48,873	50,908	51,870	52,997	54,392
Inspector General, Office of the	5,519	5,067	6,083	6,129	6,301	6,485
Labor Management Committees	9,872	8,359	5,500	5,504	6,119	6,366
Lottery, Division of the	21,057	20,276	24,676	25,029	25,495	25,893
Public Employment Relations Board	3,456	3,197	3,290	3,119	3,046	3,138
Public Integrity, Commission on	3,017	2,492	3,166	3,190	3,282	3,380
Regulatory Reform, Governor's Office of	1,537	80	0	0	0	0
State, Department of	31,270	28,357	31,340	32,307	33,039	33,700
Tax Appeals, Division of	2,776	2,483	2,900	2,601	2,673	2,727
Taxation and Finance, Department of	323,767	300,408	301,881	300,966	309,755	316,470
Technology, Office for	11,208	10,456	13,586	13,595	13,907	14,246
Veterans' Affairs, Division of	5,570	4,868	5,050	5,123	5,259	5,416
Functional Total	<u>523,199</u>	<u>479,001</u>	<u>493,675</u>	<u>496,780</u>	<u>511,298</u>	<u>523,128</u>
ELECTED OFFICIALS						
Audit and Control, Department of	110,320	103,298	108,671	109,385	112,012	115,214
Executive Chamber	10,963	10,210	11,338	11,495	12,238	12,589
Judiciary	1,525,120	1,464,351	1,468,250	1,514,284	1,561,492	1,618,742
Law, Department of	111,750	106,462	110,920	110,913	113,920	117,232
Legislature	174,096	151,882	165,284	166,524	167,773	169,031
Lieutenant Governor, Office of the	281	289	480	494	515	543
Functional Total	<u>1,932,530</u>	<u>1,836,492</u>	<u>1,864,943</u>	<u>1,913,095</u>	<u>1,967,950</u>	<u>2,033,351</u>
ALL OTHER CATEGORIES						
General State Charges	3,835	2,091	0	0	0	0
Miscellaneous	<u>2,217</u>	<u>2,356</u>	<u>(7,318)</u>	<u>(42,275)</u>	<u>(27,179)</u>	<u>27,919</u>
Functional Total	<u>6,052</u>	<u>4,447</u>	<u>(7,318)</u>	<u>(42,275)</u>	<u>(27,179)</u>	<u>27,919</u>
TOTAL PERSONAL SERVICE SPENDING	<u>12,422,431</u>	<u>12,046,455</u>	<u>12,469,294</u>	<u>12,493,906</u>	<u>12,831,123</u>	<u>13,364,183</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	26,444	25,103	23,579	24,344	25,050	25,313
Alcoholic Beverage Control, Division of	4,069	5,324	5,444	5,577	5,712	5,851
Economic Development, Department of	6,538	22,375	10,022	9,540	9,806	9,806
Energy Research and Development Authority	1,850	1,680	1,854	1,895	1,895	1,895
Financial Services, Department of	60,184	67,696	68,554	70,279	70,338	70,405
Olympic Regional Development Authority	644	747	594	684	740	740
Public Service Department	10,976	8,637	8,382	9,828	10,123	10,231
Racing and Wagering Board, State	5,994	6,330	6,135	6,168	6,200	6,200
Functional Total	116,699	137,892	124,564	128,315	129,864	130,441
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	403	520	355	355	355	355
Environmental Conservation, Department of	69,341	57,009	59,851	58,628	56,689	56,576
Environmental Facilities Corporation	1,062	1,050	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	41,725	44,288	37,173	37,043	37,678	37,678
Functional Total	112,531	102,867	97,379	96,026	94,722	94,609
TRANSPORTATION						
Motor Vehicles, Department of	16,944	19,087	19,985	22,355	22,887	23,440
Transportation, Department of	22,601	26,039	14,746	15,091	15,412	15,753
Functional Total	39,545	45,126	34,731	37,446	38,299	39,193
HEALTH						
Aging, Office for the	36	206	182	192	202	202
Health, Department of	281,464	287,258	320,983	353,374	362,431	362,378
<i>Medical Assistance</i>	22,747	13,784	50,855	55,855	55,355	55,355
<i>Public Health</i>	258,717	273,474	270,128	297,519	307,076	307,023
Medicaid Inspector General, Office of the	7,172	5,520	6,416	6,746	7,096	7,096
Stem Cell and Innovation	36,437	43,029	43,500	63,673	61,373	56,500
Functional Total	325,109	336,013	371,081	423,985	431,102	426,176
SOCIAL WELFARE						
Children and Family Services, Office of	128,477	115,564	129,263	140,074	138,016	136,572
<i>OCFS</i>	128,477	115,564	129,263	140,074	138,016	136,572
Housing and Community Renewal, Division of	14,648	15,299	10,502	10,633	10,770	10,890
Human Rights, Division of	1,233	1,321	914	1,031	1,062	1,092
Labor, Department of	12,833	12,320	22,403	15,124	15,512	15,911
National and Community Service	77	2	41	41	42	42
Prevention of Domestic Violence, Office for	192	221	96	102	109	120
Temporary and Disability Assistance, Office of	104,780	98,581	118,777	119,179	125,667	121,437
<i>All Other</i>	104,780	98,581	118,777	119,179	125,667	121,437
Welfare Inspector General, Office of	660	31	485	485	496	496
Workers' Compensation Board	61,798	71,994	63,174	59,407	60,331	61,276
Functional Total	324,698	315,333	345,655	346,076	352,005	347,836
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	21,222	20,751	18,462	18,665	19,195	19,711
<i>OASAS</i>	9,351	9,119	8,196	8,466	8,719	8,961
<i>OASAS - Other</i>	11,871	11,632	10,266	10,199	10,476	10,750
Mental Health, Office of	310,596	291,915	287,593	314,584	333,620	335,395
<i>OMH</i>	76,780	53,428	79,659	82,702	82,344	82,654
<i>OMH - Other</i>	233,816	238,487	207,934	231,882	251,276	252,741
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	373,896	360,822	362,979	376,415	387,109	397,249
<i>OPWDD</i>	(6,620)	34,725	176	181	181	181
<i>OPWDD - Other</i>	380,516	326,097	362,803	376,234	386,928	397,068
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,143	1,092	1,218	1,278	1,307	1,339
Functional Total	707,202	674,991	670,252	710,942	741,231	753,694
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	337	361	274	297	306	316
Correctional Services, Department of	531,674	511,576	472,160	491,813	512,283	533,718
Criminal Justice Services, Division of	28,585	30,910	37,001	37,938	34,847	35,313
Disaster Assistance	0	11,126	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	9,019	1,817	33,184	21,337	17,409	17,998
Indigent Legal Services, Office of	25,016	67	290	349	357	365
Judicial Commissions	1,221	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	9,138	10,288	10,419	10,434	10,582	10,734
State Police, Division of	74,698	53,519	80,220	80,923	82,425	87,435
Statewide Financial System	5,092	10,042	41,787	40,630	41,849	43,104
Statewide Wireless Network	19,579	62,201	0	0	0	0
Victim Services, Office of	760	1,029	916	931	948	965

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Functional Total	705,119	694,121	720,310	716,105	737,064	731,581
HIGHER EDUCATION						
City University of New York	31,367	33,794	27,942	28,641	29,357	30,091
Higher Education - Miscellaneous	34	169	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	28,296	35,444	38,814	39,755	40,729	41,763
State University Construction Fund	1,876	3,197	0	0	0	0
State University of New York	1,640,642	2,105,093	2,144,233	2,201,625	2,253,442	2,305,371
Functional Total	1,702,215	2,177,697	2,211,992	2,271,024	2,324,531	2,378,228
EDUCATION						
Arts, Council on the	1,596	1,822	1,822	1,822	1,822	1,822
Education, Department of	41,494	45,684	43,527	44,807	45,267	45,603
<i>All Other</i>	41,494	45,684	43,527	44,807	45,267	45,603
Functional Total	43,090	47,506	45,349	46,629	47,089	47,425
GENERAL GOVERNMENT						
Budget, Division of the	7,651	6,852	6,292	10,828	11,776	11,883
Civil Service, Department of	1,524	1,311	1,489	1,784	1,889	1,894
Deferred Compensation Board	145	79	213	219	225	225
Elections, State Board of	1,399	5,335	970	925	946	967
Employee Relations, Office of	91	75	137	140	140	141
General Services, Office of	71,236	71,121	103,320	86,520	80,216	81,709
Inspector General, Office of the	184	342	527	588	669	711
Labor Management Committees	22,463	14,856	28,338	27,588	29,125	34,043
Lottery, Division of the	131,898	120,208	136,571	130,168	133,585	133,585
Public Employment Relations Board	532	478	441	738	758	758
Public Integrity, Commission on	777	725	850	1,296	1,318	1,340
Regulatory Reform, Governor's Office of	116	3	0	0	0	0
State, Department of	10,527	12,359	14,322	14,274	14,637	14,648
Tax Appeals, Division of	358	367	201	212	221	221
Taxation and Finance, Department of	89,173	82,505	91,270	93,833	96,160	96,160
Technology, Office for	10,810	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	455	444	939	429	439	450
Functional Total	349,339	325,562	394,288	377,252	382,074	387,974
ELECTED OFFICIALS						
Audit and Control, Department of	28,521	31,825	30,723	32,805	33,646	34,507
Executive Chamber	1,917	3,046	2,240	2,341	2,535	2,596
Judiciary	343,770	362,957	387,650	400,130	438,460	476,752
Law, Department of	50,127	53,999	54,189	51,651	52,876	54,136
Legislature	48,440	45,281	53,511	54,825	56,172	57,552
Lieutenant Governor, Office of the	23	119	134	120	150	137
Functional Total	472,798	497,227	528,447	541,872	583,839	625,680
ALL OTHER CATEGORIES						
General State Charges	1,726	1,585	0	0	0	0
Long-Term Debt Service	62,846	45,732	46,925	46,925	46,925	46,925
Miscellaneous	2,669	2,651	(96,796)	(176,847)	(183,996)	(133,015)
Functional Total	67,241	49,968	(49,871)	(129,922)	(137,071)	(86,090)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	4,965,586	5,404,303	5,494,177	5,565,750	5,724,749	5,876,747

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,749	1,340	1,590	1,720	1,882	1,999
Alcoholic Beverage Control, Division of	4,125	3,572	4,230	4,491	4,761	5,004
Economic Development, Department of	21	0	28	28	28	28
Energy Research and Development Authority	1,406	2,342	1,638	1,758	1,758	1,758
Financial Services, Department of	66,829	78,607	66,930	66,986	68,236	69,800
Public Service Department	20,299	18,738	20,935	24,284	26,488	28,678
Racing and Wagering Board, State	3,517	2,283	3,054	3,287	3,512	3,512
Functional Total	100,946	106,882	98,405	102,554	106,665	110,779
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	37,838	34,802	36,896	35,879	36,705	37,571
Environmental Facilities Corporation	2,268	2,389	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,627	655	3,691	3,830	3,893	3,938
Functional Total	42,733	37,846	40,587	39,709	40,598	41,509
TRANSPORTATION						
Motor Vehicles, Department of	27,440	24,762	24,999	28,079	30,196	32,298
Transportation, Department of	403	10,781	4,951	5,394	5,790	6,196
Functional Total	27,843	35,543	29,950	33,473	35,986	38,494
HEALTH						
Health, Department of	33,594	31,976	42,219	46,360	47,685	49,298
<i>Public Health</i>	33,594	31,976	42,219	46,360	47,685	49,298
Medicaid Inspector General, Office of the	94	26	0	0	0	0
Stem Cell and Innovation	318	232	0	0	0	0
Functional Total	34,006	32,234	42,219	46,360	47,685	49,298
SOCIAL WELFARE						
Children and Family Services, Office of	2,325	1,681	2,516	2,620	2,657	2,704
<i>OCFS</i>	2,325	1,681	2,516	2,620	2,657	2,704
Housing and Community Renewal, Division of	16,197	15,130	16,501	17,530	18,670	19,581
Labor, Department of	15,601	15,023	14,447	15,328	16,535	17,665
Temporary and Disability Assistance, Office of	1,449	884	0	0	0	0
<i>All Other</i>	1,449	884	0	0	0	0
Welfare Inspector General, Office of	56	46	221	221	222	224
Workers' Compensation Board	43,152	41,151	45,231	49,193	52,898	56,581
Functional Total	78,780	73,915	78,916	84,892	90,982	96,755
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	28,405	28,073	28,141	30,184	33,096	36,551
<i>OASAS</i>	12,170	12,086	12,397	13,297	14,579	16,099
<i>OASAS - Other</i>	16,235	15,987	15,744	16,887	18,517	20,452
Mental Health, Office of	559,405	530,084	575,056	614,201	672,032	740,872
<i>OMH</i>	198,061	121,294	138,921	160,344	177,298	192,582
<i>OMH - Other</i>	361,344	408,790	436,135	453,857	494,734	548,290
People with Developmental Disabilities, Office for	579,990	521,119	595,527	647,854	699,253	768,760
<i>OPWDD</i>	0	103,992	0	0	0	0
<i>OPWDD - Other</i>	579,990	417,127	595,527	647,854	699,253	768,760
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,017	1,076	1,187	1,270	1,375	1,481
Functional Total	1,168,817	1,080,352	1,199,911	1,293,509	1,405,756	1,547,664
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	2,000	0	42	45	48	51
Criminal Justice Services, Division of	86	61	195	208	226	242
Homeland Security and Emergency Services, Division of	196	0	709	707	707	707
Indigent Legal Services, Office of	0	83	285	437	464	489
Military and Naval Affairs, Division of	583	546	250	250	250	250
State Police, Division of	20,735	18,994	21,282	24,073	25,230	26,230
Statewide Financial System	1	0	0	0	0	0
Statewide Wireless Network	598	120	0	0	0	0
Victim Services, Office of	888	1,817	1,777	1,887	2,000	2,102
Functional Total	25,087	21,621	24,540	27,607	28,925	30,071
HIGHER EDUCATION						
City University of New York	6,400	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	115	73	99	99	99	99
Higher Education Services Corporation, New York State	14,829	12,780	15,131	16,363	17,337	18,223
State University Construction Fund	4,477	5,816	0	0	0	0
State University of New York	528,040	559,936	587,722	606,078	625,939	646,757
Functional Total	553,861	584,960	609,104	628,877	649,902	671,802

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
EDUCATION						
Education, Department of	29,406	30,286	29,862	32,319	34,763	36,844
<i>All Other</i>	29,406	30,286	29,862	32,319	34,763	36,844
Functional Total	<u>29,406</u>	<u>30,286</u>	<u>29,862</u>	<u>32,319</u>	<u>34,763</u>	<u>36,844</u>
GENERAL GOVERNMENT						
Budget, Division of the	1,744	2,081	2,297	2,650	2,874	3,094
Civil Service, Department of	219	241	168	168	172	176
Deferred Compensation Board	162	164	191	208	225	225
General Services, Office of	1,290	1,495	1,901	2,002	2,144	2,284
Lottery, Division of the	9,955	9,822	11,743	13,879	13,978	13,978
State, Department of	9,186	7,368	8,898	9,704	10,595	10,844
Taxation and Finance, Department of	23,256	11,633	21,522	22,820	23,047	23,047
Functional Total	<u>45,812</u>	<u>32,804</u>	<u>46,720</u>	<u>51,431</u>	<u>53,035</u>	<u>53,648</u>
ELECTED OFFICIALS						
Audit and Control, Department of	1,382	2,689	2,061	1,522	1,633	1,744
Judiciary	551,580	601,767	584,398	643,830	683,352	717,306
Law, Department of	7,884	8,163	14,030	15,103	16,293	17,381
Functional Total	<u>560,846</u>	<u>612,619</u>	<u>600,489</u>	<u>660,455</u>	<u>701,278</u>	<u>736,431</u>
ALL OTHER CATEGORIES						
General State Charges	3,426,460	3,938,106	3,724,334	4,055,224	4,361,309	4,619,948
Miscellaneous	6,898	5,244	5,809	5,947	6,080	6,203
Functional Total	<u>3,433,358</u>	<u>3,943,350</u>	<u>3,730,143</u>	<u>4,061,171</u>	<u>4,367,389</u>	<u>4,626,151</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>6,101,495</u></u>	<u><u>6,592,412</u></u>	<u><u>6,530,846</u></u>	<u><u>7,062,357</u></u>	<u><u>7,562,964</u></u>	<u><u>8,039,446</u></u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	5,205	17,956	15,506	9,277	7,330	3,500
Economic Development Capital	(427)	0	12,500	12,500	5,000	5,000
Economic Development, Department of	7,991	821	29,381	32,645	19,859	15,000
Empire State Development Corporation	4,810	8,446	97,565	132,602	135,003	85,832
Energy Research and Development Authority	16,403	14,629	13,200	14,790	14,790	14,790
Regional Economic Development Program	0	0	2,500	2,500	1,500	1,500
Strategic Investment Program	3,561	3,718	5,000	5,000	5,000	5,000
Functional Total	37,543	45,570	175,652	209,314	188,482	130,622
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	298,621	296,732	385,742	350,129	323,017	288,515
Environmental Facilities Corporation	356	274	0	0	0	0
Hudson River Park Trust	15,216	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	57,037	30,706	92,541	65,827	53,140	39,428
Functional Total	371,230	327,928	482,099	415,956	376,157	327,943
TRANSPORTATION						
Metropolitan Transportation Authority	0	0	150,000	0	0	310,000
Motor Vehicles, Department of	204,814	186,910	184,484	194,948	202,058	209,772
Thruway Authority, New York State	0	0	1,800	1,800	1,800	1,800
Transportation, Department of	1,986,499	2,063,428	2,150,535	2,071,022	2,012,189	1,968,603
Functional Total	2,191,313	2,250,338	2,486,819	2,267,770	2,216,047	2,490,175
HEALTH						
Health, Department of	7,468	7,272	13,500	13,500	13,500	13,500
Public Health	7,468	7,272	13,500	13,500	13,500	13,500
Functional Total	7,468	7,272	13,500	13,500	13,500	13,500
SOCIAL WELFARE						
Children and Family Services, Office of	18,245	20,494	20,900	20,900	20,900	20,900
OCFS	18,245	20,494	20,900	20,900	20,900	20,900
Functional Total	18,245	20,494	20,900	20,900	20,900	20,900
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,678	146	9,880	13,196	13,083	13,083
OASAS	1,678	146	9,880	13,196	13,083	13,083
Mental Health, Office of	69,686	63,011	77,570	77,598	77,680	77,783
OMH	69,686	63,011	77,570	77,598	77,680	77,783
People with Developmental Disabilities, Office for	23,618	38,419	45,220	39,220	39,220	39,220
OPWDD	23,618	38,419	45,220	39,220	39,220	39,220
Functional Total	94,982	101,576	132,670	130,014	129,983	130,086
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	216,232	239,799	253,265	259,677	265,710	271,860
Disaster Assistance	0	17,754	0	0	0	0
Homeland Security and Emergency Services, Division of	2,416	4,476	16,000	13,100	5,500	400
Military and Naval Affairs, Division of	6,383	8,008	9,679	9,634	14,145	12,245
Public Security and Emergency Response	496	125	0	0	0	0
State Police, Division of	14,597	30,466	37,800	17,874	13,865	13,865
Functional Total	240,124	300,628	316,744	300,285	299,220	298,370
HIGHER EDUCATION						
City University of New York	9,601	25,182	52,127	53,066	46,725	41,958
Higher Education Facilities Capital Matching Grants Program	(432)	406	0	0	0	0
State University of New York	852,320	1,013,908	1,091,604	1,070,945	1,198,663	1,122,584
Functional Total	861,489	1,039,496	1,143,731	1,124,011	1,245,388	1,164,542
EDUCATION						
Education, Department of	4,631	8,230	32,637	33,118	18,400	23,400
All Other	4,631	8,230	32,637	33,118	18,400	23,400
Functional Total	4,631	8,230	32,637	33,118	18,400	23,400
GENERAL GOVERNMENT						
General Services, Office of	61,188	74,801	66,578	59,309	69,883	69,883
State, Department of	1,373	0	(15)	(15)	(15)	(15)
Technology, Office for	2,883	0	0	0	0	0
Functional Total	65,444	74,801	66,563	59,294	69,868	69,868
ELECTED OFFICIALS						
Judiciary	9,640	2,311	0	0	0	0
Functional Total	9,640	2,311	0	0	0	0

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
ALL OTHER CATEGORIES						
Miscellaneous	<u>70,536</u>	<u>91,626</u>	<u>66,000</u>	<u>104,000</u>	<u>96,000</u>	<u>40,000</u>
Functional Total	<u>70,536</u>	<u>91,626</u>	<u>66,000</u>	<u>104,000</u>	<u>96,000</u>	<u>40,000</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>3,972,645</u></u>	<u><u>4,270,270</u></u>	<u><u>4,937,315</u></u>	<u><u>4,678,162</u></u>	<u><u>4,673,945</u></u>	<u><u>4,709,406</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	0	18,266	18,266	18,820	0	0
Energy Research and Development Authority	9,157	8,140	9,234	9,234	9,234	9,234
Financial Services, Department of	217,007	217,375	216,952	216,952	216,952	216,952
Public Service Department	0	0	500	500	500	500
Functional Total	226,164	243,781	244,952	245,506	226,686	226,686
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	410	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	5,099	5,363	4,850	4,850	4,850	4,850
Functional Total	5,509	5,363	4,850	4,850	4,850	4,850
TRANSPORTATION						
Transportation, Department of	4,156,790	4,131,623	4,279,636	4,458,861	4,536,861	4,632,061
Functional Total	4,156,790	4,131,623	4,279,636	4,458,861	4,536,861	4,632,061
HEALTH						
Aging, Office for the	7	0	0	0	0	0
Health, Department of	5,686,816	6,338,542	6,517,800	6,784,022	7,075,298	6,767,928
<i>Medical Assistance</i>	4,437,075	4,995,832	5,256,776	5,355,042	5,594,469	5,563,041
<i>Public Health</i>	1,249,741	1,342,710	1,261,024	1,428,980	1,480,829	1,204,887
Functional Total	5,686,823	6,338,542	6,517,800	6,784,022	7,075,298	6,767,928
SOCIAL WELFARE						
Children and Family Services, Office of	3,966	3,912	850	850	850	850
<i>OCFS</i>	3,966	3,912	850	850	850	850
Housing and Community Renewal, Division of	712	(126)	852	852	852	852
Labor, Department of	123	153	150	150	150	150
Temporary and Disability Assistance, Office of	6,140	8,938	4,000	0	0	0
<i>All Other</i>	6,140	8,938	4,000	0	0	0
Functional Total	10,941	12,877	5,852	1,852	1,852	1,852
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	153,359	267,103	276,950	301,098	317,718	334,920
<i>OASAS</i>	153,359	267,103	276,950	301,098	317,718	334,920
Mental Health, Office of	567,032	696,872	710,705	840,376	947,520	1,019,484
<i>OMH</i>	567,032	696,872	710,705	840,376	947,520	1,019,484
People with Developmental Disabilities, Office for	617,736	729,773	710,109	769,124	786,539	795,991
<i>OPWDD</i>	378,577	682,532	434,303	479,414	495,514	504,966
<i>OPWDD - Other</i>	239,159	47,241	275,806	289,710	291,025	291,025
Quality of Care and Advocacy for Persons With Disabilities, Commission on	394	390	450	450	450	450
Functional Total	1,338,521	1,694,138	1,698,214	1,911,048	2,052,227	2,150,845
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	22,628	31,765	33,055	36,055	36,055	36,055
Homeland Security and Emergency Services, Division of	0	0	63,835	81,301	81,301	81,301
Indigent Legal Services, Office of	65,769	62,292	74,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	2,934	3,000	0	0	0	0
Statewide Wireless Network	0	18,369	0	0	0	0
Victim Services, Office of	29,185	29,239	26,182	28,182	28,182	28,182
Functional Total	120,516	144,665	197,072	222,538	222,538	222,538
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	22,200	16,000	32,000	16,000	0	0
Functional Total	22,200	16,000	32,000	16,000	0	0
EDUCATION						
Arts, Council on the	0	0	98	98	98	98
Education, Department of	6,391,597	6,130,035	6,339,583	6,545,233	6,732,618	6,836,460
<i>School Aid</i>	3,142,995	2,883,980	3,053,000	3,078,800	3,084,000	3,086,000
<i>STAR Property Tax Relief</i>	3,234,014	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>All Other</i>	14,588	13,172	10,516	7,058	6,892	6,892
Functional Total	6,391,597	6,130,035	6,339,681	6,545,331	6,732,716	6,836,558
GENERAL GOVERNMENT						
State, Department of	4,813	3,499	539	539	539	539
Functional Total	4,813	3,499	539	539	539	539
ELECTED OFFICIALS						
Judiciary	114,914	111,606	104,200	104,200	104,200	104,200
Functional Total	114,914	111,606	104,200	104,200	104,200	104,200

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
ALL OTHER CATEGORIES						
Miscellaneous	<u>10,321</u>	<u>15,388</u>	<u>(285,681)</u>	<u>(281,711)</u>	<u>(279,711)</u>	<u>(279,711)</u>
Functional Total	<u>10,321</u>	<u>15,388</u>	<u>(285,681)</u>	<u>(281,711)</u>	<u>(279,711)</u>	<u>(279,711)</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u><u>18,089,109</u></u>	<u><u>18,847,517</u></u>	<u><u>19,139,115</u></u>	<u><u>20,013,036</u></u>	<u><u>20,678,056</u></u>	<u><u>20,668,346</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	9,887	8,903	3,455	3,525	3,643	3,751
Alcoholic Beverage Control, Division of	8,512	7,720	8,185	8,246	8,308	8,370
Economic Development, Department of	43	0	103	103	103	103
Energy Research and Development Authority	2,894	4,776	3,432	3,501	3,501	3,501
Financial Services, Department of	151,988	142,332	133,847	136,495	138,669	141,191
Public Service Department	41,801	38,749	40,507	44,591	46,220	47,964
Racing and Wagering Board, State	12,062	10,940	9,059	9,058	9,133	9,368
Functional Total	227,187	213,420	198,588	205,519	209,577	214,248
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	97,997	91,949	94,190	92,520	94,454	96,027
Environmental Facilities Corporation	6,060	5,454	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	26,130	27,997	28,021	29,018	29,528	29,961
Functional Total	130,187	125,400	122,211	121,538	123,982	125,988
TRANSPORTATION						
Motor Vehicles, Department of	51,578	48,313	50,028	51,560	52,689	54,020
Transportation, Department of	10,853	9,818	9,706	9,957	10,199	10,469
Functional Total	62,431	58,131	59,734	61,517	62,888	64,489
HEALTH						
Health, Department of	228,838	205,605	228,216	239,672	245,473	248,195
<i>Public Health</i>	228,838	205,605	228,216	239,672	245,473	248,195
Medicaid Inspector General, Office of the	3,888	3,708	3,700	3,700	3,700	3,700
Stem Cell and Innovation	534	441	0	0	0	0
Functional Total	233,260	209,754	231,916	243,372	249,173	251,895
SOCIAL WELFARE						
Children and Family Services, Office of	29,104	33,370	3,526	3,293	3,390	3,455
<i>OCFS</i>	29,104	33,370	3,526	3,293	3,390	3,455
Housing and Community Renewal, Division of	34,795	31,919	31,411	31,684	32,224	33,213
Labor, Department of	31,852	29,954	27,955	28,145	28,851	29,545
Temporary and Disability Assistance, Office of	48,211	55,783	0	0	0	0
<i>All Other</i>	48,211	55,783	0	0	0	0
Welfare Inspector General, Office of	108	77	408	414	420	423
Workers' Compensation Board	89,052	85,890	87,805	90,328	92,301	94,633
Functional Total	233,122	236,993	151,105	153,864	157,186	161,269
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	59,596	54,920	54,931	56,407	58,624	61,879
<i>OASAS</i>	25,103	22,801	24,466	24,854	25,830	27,263
<i>OASAS - Other</i>	34,493	32,119	30,465	31,553	32,794	34,616
Mental Health, Office of	1,145,091	1,081,037	1,128,102	1,146,525	1,196,034	1,257,066
<i>OMH</i>	405,609	253,135	307,407	298,781	318,073	326,199
<i>OMH - Other</i>	739,482	827,902	820,695	847,744	877,961	930,867
People with Developmental Disabilities, Office for	1,168,196	1,125,113	1,124,943	1,167,242	1,193,675	1,269,575
<i>OPWDD</i>	0	72,227	0	0	0	0
<i>OPWDD - Other</i>	1,168,196	1,052,886	1,124,943	1,167,242	1,193,675	1,269,575
Quality of Care and Advocacy for Persons With Disabilities, Commission on	2,202	2,206	2,297	2,362	2,430	2,503
Functional Total	2,375,085	2,263,276	2,310,273	2,372,536	2,450,763	2,591,023
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	0	0	82	82	84	86
Criminal Justice Services, Division of	314	322	379	383	389	396
Homeland Security and Emergency Services, Division of	334	200	9,744	9,825	10,077	10,367
Indigent Legal Services, Office of	8	259	569	802	810	818
Military and Naval Affairs, Division of	1,570	1,354	781	783	784	786
State Police, Division of	161,378	157,651	48,404	51,870	52,049	52,060
Statewide Financial System	3,977	7,554	10,814	10,895	10,977	11,060
Statewide Wireless Network	8,076	7,006	0	0	0	0
Victim Services, Office of	3,761	3,109	3,866	3,931	4,026	4,148
Functional Total	179,418	177,455	74,639	78,571	79,196	79,721
HIGHER EDUCATION						
City University of New York	85,732	74,043	75,289	76,792	78,326	79,892
Higher Education - Miscellaneous	221	207	198	198	198	198
Higher Education Services Corporation, New York State	32,756	28,789	30,166	30,554	31,247	32,058
State University Construction Fund	12,562	13,612	0	0	0	0
State University of New York	2,478,960	2,621,078	2,831,412	3,429,503	3,520,264	3,607,190
Functional Total	2,610,231	2,737,729	2,937,065	3,537,047	3,630,035	3,719,338

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
EDUCATION						
Education, Department of	65,658	55,492	57,323	59,783	60,998	62,279
<i>All Other</i>	65,658	55,492	57,323	59,783	60,998	62,279
Functional Total	65,658	55,492	57,323	59,783	60,998	62,279
GENERAL GOVERNMENT						
Budget, Division of the	3,797	4,577	4,784	4,927	5,075	5,227
Civil Service, Department of	554	349	350	350	357	364
Deferred Compensation Board	344	348	363	367	378	386
General Services, Office of	3,364	3,117	3,678	3,850	3,915	3,995
Lottery, Division of the	21,057	20,276	24,676	25,029	25,495	25,893
Public Employment Relations Board	245	290	352	159	0	0
State, Department of	18,975	17,669	18,619	19,216	19,571	19,969
Taxation and Finance, Department of	27,496	50,583	41,015	41,408	41,822	41,822
Functional Total	75,832	97,209	93,837	95,306	96,613	97,656
ELECTED OFFICIALS						
Audit and Control, Department of	2,936	10,214	10,707	10,557	10,518	10,793
Judiciary	58,078	55,393	57,650	58,150	58,350	59,650
Law, Department of	15,436	22,722	26,983	26,969	27,556	28,192
Functional Total	76,450	88,329	95,340	95,676	96,424	98,635
ALL OTHER CATEGORIES						
Miscellaneous	2,192	2,305	(32,370)	(17,327)	(17,232)	(17,135)
Functional Total	2,192	2,305	(32,370)	(17,327)	(17,232)	(17,135)
TOTAL PERSONAL SERVICE SPENDING	6,271,053	6,265,493	6,299,661	7,007,402	7,199,603	7,449,406

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	20,236	17,504	17,474	17,511	17,904	17,907
Alcoholic Beverage Control, Division of	4,069	5,324	5,444	5,577	5,712	5,851
Economic Development, Department of	573	622	1,847	1,847	1,847	1,847
Energy Research and Development Authority	1,850	1,680	1,854	1,895	1,895	1,895
Financial Services, Department of	60,184	67,696	68,554	70,279	70,338	70,405
Olympic Regional Development Authority	63	53	150	150	150	150
Public Service Department	10,976	8,637	8,382	9,828	10,123	10,231
Racing and Wagering Board, State	5,994	6,330	6,135	6,168	6,200	6,200
Functional Total	103,945	107,846	109,840	113,255	114,169	114,486
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	53,955	42,926	49,897	48,674	46,735	46,622
Environmental Facilities Corporation	1,062	1,050	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	29,927	33,579	29,171	29,171	29,806	29,806
Functional Total	84,944	77,555	79,068	77,845	76,541	76,428
TRANSPORTATION						
Motor Vehicles, Department of	16,944	19,087	19,985	22,355	22,887	23,440
Transportation, Department of	20,747	25,017	12,591	12,936	13,257	13,598
Functional Total	37,691	44,104	32,576	35,291	36,144	37,038
HEALTH						
Aging, Office for the	1	0	1	1	1	1
Health, Department of	152,885	181,785	169,477	182,368	184,925	184,872
<i>Public Health</i>	152,885	181,785	169,477	182,368	184,925	184,872
Medicaid Inspector General, Office of the	7	2	0	0	0	0
Stem Cell and Innovation	36,437	43,029	43,500	63,673	61,373	56,500
Functional Total	189,330	224,816	212,978	246,042	246,299	241,373
SOCIAL WELFARE						
Children and Family Services, Office of	26,892	35,210	28,270	28,368	29,213	29,214
<i>OCFS</i>	26,892	35,210	28,270	28,368	29,213	29,214
Housing and Community Renewal, Division of	6,807	7,896	4,934	5,059	5,180	5,235
Labor, Department of	12,740	12,320	22,403	15,124	15,512	15,911
Prevention of Domestic Violence, Office for	28	5	2	2	2	2
Temporary and Disability Assistance, Office of	60,268	55,309	200	200	200	200
<i>All Other</i>	60,268	55,309	200	200	200	200
Welfare Inspector General, Office of	660	31	485	485	496	496
Workers' Compensation Board	61,798	71,994	63,174	59,407	60,331	61,276
Functional Total	169,193	182,765	119,468	108,645	110,934	112,334
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	21,222	20,751	18,462	18,665	19,195	19,711
<i>OASAS</i>	9,351	9,119	8,196	8,466	8,719	8,961
<i>OASAS - Other</i>	11,871	11,632	10,266	10,199	10,476	10,750
Mental Health, Office of	310,512	291,807	286,793	313,784	332,820	334,595
<i>OMH</i>	76,696	53,320	78,859	81,902	81,544	81,854
<i>OMH - Other</i>	233,816	238,487	207,934	231,882	251,276	252,741
Mental Hygiene, Department of	345	411	0	0	0	0
People with Developmental Disabilities, Office for	373,896	360,822	362,979	376,415	387,109	397,249
<i>OPWDD</i>	(6,620)	34,725	176	181	181	181
<i>OPWDD - Other</i>	380,516	326,097	362,803	376,234	386,928	397,068
Quality of Care and Advocacy for Persons With Disabilities, Commission on	166	217	251	255	261	268
Functional Total	706,141	674,008	668,485	709,119	739,385	751,823
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,055	1,350	1,345	1,442	1,437	1,432
Criminal Justice Services, Division of	6,901	12,715	18,710	15,213	15,217	15,222
Homeland Security and Emergency Services, Division of	2,701	1,023	33,184	21,337	17,409	17,998
Indigent Legal Services, Office of	25,016	67	290	349	357	365
Military and Naval Affairs, Division of	5,600	5,178	4,632	4,502	4,502	4,502
State Police, Division of	31,745	21,160	40,670	30,371	30,436	30,446
Statewide Financial System	5,092	10,042	41,787	40,630	41,849	43,104
Statewide Wireless Network	19,579	62,201	0	0	0	0
Victim Services, Office of	760	1,029	916	931	948	965
Functional Total	98,449	114,765	141,534	114,775	112,155	114,034
HIGHER EDUCATION						
City University of New York	31,367	33,794	27,942	28,641	29,357	30,091
Higher Education - Miscellaneous	34	169	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	30,778	32,957	38,814	39,755	40,729	41,763
State University Construction Fund	1,876	3,197	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
State University of New York	1,371,819	1,865,841	2,008,817	2,201,625	2,253,442	2,305,371
Functional Total	<u>1,435,874</u>	<u>1,935,958</u>	<u>2,076,576</u>	<u>2,271,024</u>	<u>2,324,531</u>	<u>2,378,228</u>
EDUCATION						
Education, Department of	25,468	27,255	25,691	25,471	25,931	26,267
<i>All Other</i>	25,468	27,255	25,691	25,471	25,931	26,267
Functional Total	<u>25,468</u>	<u>27,255</u>	<u>25,691</u>	<u>25,471</u>	<u>25,931</u>	<u>26,267</u>
GENERAL GOVERNMENT						
Budget, Division of the	5,356	5,469	4,440	9,260	10,040	10,102
Civil Service, Department of	731	491	811	1,111	1,211	1,211
Deferred Compensation Board	62	63	189	194	199	199
Elections, State Board of	90	4,249	165	0	0	0
Employee Relations, Office of	0	0	56	58	58	58
General Services, Office of	4,519	7,374	4,381	4,509	4,665	4,759
Inspector General, Office of the	70	17	87	87	87	87
Labor Management Committees	92	23	300	300	300	300
Lottery, Division of the	131,898	120,208	136,571	130,168	133,585	133,585
Public Employment Relations Board	83	76	39	43	43	43
State, Department of	6,021	8,555	11,710	11,516	11,737	11,748
Taxation and Finance, Department of	24,397	24,037	28,601	29,302	29,982	29,982
Functional Total	<u>173,319</u>	<u>170,562</u>	<u>187,350</u>	<u>186,548</u>	<u>191,907</u>	<u>192,074</u>
ELECTED OFFICIALS						
Audit and Control, Department of	313	4,312	5,069	6,485	6,643	6,804
Judiciary	18,022	40,402	48,650	48,650	48,650	48,950
Law, Department of	35,828	39,379	39,212	39,375	40,293	41,239
Legislature	796	1,139	950	950	950	950
Functional Total	<u>54,959</u>	<u>85,232</u>	<u>93,881</u>	<u>95,460</u>	<u>96,536</u>	<u>97,943</u>
ALL OTHER CATEGORIES						
Miscellaneous	1,145	1,255	(134,880)	(94,522)	(167,997)	(132,822)
Functional Total	<u>1,145</u>	<u>1,255</u>	<u>(134,880)</u>	<u>(94,522)</u>	<u>(167,997)</u>	<u>(132,822)</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>3,080,458</u>	<u>3,646,121</u>	<u>3,612,567</u>	<u>3,888,953</u>	<u>3,906,535</u>	<u>4,009,206</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,749	1,340	1,590	1,720	1,882	1,999
Alcoholic Beverage Control, Division of	4,125	3,572	4,230	4,491	4,761	5,004
Economic Development, Department of	21	0	28	28	28	28
Energy Research and Development Authority	1,406	2,342	1,638	1,758	1,758	1,758
Financial Services, Department of	66,829	78,607	66,930	66,986	68,236	69,800
Public Service Department	20,299	18,738	20,935	24,284	26,488	28,678
Racing and Wagering Board, State	3,517	2,283	3,054	3,287	3,512	3,512
Functional Total	100,946	106,882	98,405	102,554	106,665	110,779
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	37,838	34,802	36,896	35,879	36,705	37,571
Environmental Facilities Corporation	2,268	2,389	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,627	655	3,691	3,830	3,893	3,938
Functional Total	42,733	37,846	40,587	39,709	40,598	41,509
TRANSPORTATION						
Motor Vehicles, Department of	27,440	24,762	24,999	28,079	30,196	32,298
Transportation, Department of	403	10,781	4,951	5,394	5,790	6,196
Functional Total	27,843	35,543	29,950	33,473	35,986	38,494
HEALTH						
Health, Department of	33,594	31,976	42,219	46,360	47,685	49,298
<i>Public Health</i>	33,594	31,976	42,219	46,360	47,685	49,298
Medicaid Inspector General, Office of the	94	26	0	0	0	0
Stem Cell and Innovation	318	232	0	0	0	0
Functional Total	34,006	32,234	42,219	46,360	47,685	49,298
SOCIAL WELFARE						
Children and Family Services, Office of	2,325	1,681	2,516	2,620	2,652	2,693
<i>OCFS</i>	2,325	1,681	2,516	2,620	2,652	2,693
Housing and Community Renewal, Division of	16,197	15,130	16,501	17,530	18,670	19,581
Labor, Department of	15,601	15,023	14,447	15,328	16,535	17,665
Temporary and Disability Assistance, Office of	1,449	884	0	0	0	0
<i>All Other</i>	1,449	884	0	0	0	0
Welfare Inspector General, Office of	56	46	221	221	222	224
Workers' Compensation Board	43,152	41,151	45,231	49,193	52,898	56,581
Functional Total	78,780	73,915	78,916	84,892	90,977	96,744
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	28,405	28,073	28,141	30,184	33,096	36,551
<i>OASAS</i>	12,170	12,086	12,397	13,297	14,579	16,099
<i>OASAS - Other</i>	16,235	15,987	15,744	16,887	18,517	20,452
Mental Health, Office of	559,405	530,084	575,056	614,201	672,032	740,872
<i>OMH</i>	198,061	121,294	138,921	160,344	177,298	192,582
<i>OMH - Other</i>	361,344	408,790	436,135	453,857	494,734	548,290
People with Developmental Disabilities, Office for	579,990	521,119	595,527	647,854	699,253	768,760
<i>OPWDD</i>	0	103,992	0	0	0	0
<i>OPWDD - Other</i>	579,990	417,127	595,527	647,854	699,253	768,760
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,017	1,076	1,187	1,270	1,375	1,481
Functional Total	1,168,817	1,080,352	1,199,911	1,293,509	1,405,756	1,547,664
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	0	0	42	45	48	51
Criminal Justice Services, Division of	86	61	195	208	226	242
Homeland Security and Emergency Services, Division of	196	0	709	707	707	707
Indigent Legal Services, Office of	0	83	285	437	464	489
Military and Naval Affairs, Division of	583	546	250	250	250	250
State Police, Division of	20,735	18,994	21,282	24,073	25,230	26,230
Statewide Financial System	1	0	0	0	0	0
Statewide Wireless Network	598	120	0	0	0	0
Victim Services, Office of	888	1,817	1,777	1,887	2,000	2,102
Functional Total	23,087	21,621	24,540	27,607	28,925	30,071
HIGHER EDUCATION						
City University of New York	6,400	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	115	73	99	99	99	99
Higher Education Services Corporation, New York State	14,829	12,780	15,131	16,363	17,337	18,223
State University Construction Fund	4,477	5,816	0	0	0	0
State University of New York	319,122	356,696	377,667	396,023	415,884	436,702
Functional Total	344,943	381,720	399,049	418,822	439,847	461,747

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
EDUCATION						
Education, Department of	27,881	28,700	29,862	32,319	34,763	36,844
<i>All Other</i>	27,881	28,700	29,862	32,319	34,763	36,844
Functional Total	27,881	28,700	29,862	32,319	34,763	36,844
GENERAL GOVERNMENT						
Budget, Division of the	1,744	2,081	2,297	2,650	2,874	3,094
Civil Service, Department of	219	241	168	168	172	176
Deferred Compensation Board	162	164	191	208	225	225
General Services, Office of	1,290	1,495	1,901	2,002	2,144	2,284
Lottery, Division of the	9,955	9,822	11,743	13,879	13,978	13,978
State, Department of	9,186	7,368	8,898	9,704	10,595	10,844
Taxation and Finance, Department of	23,256	11,633	21,522	22,820	23,047	23,047
Functional Total	45,812	32,804	46,720	51,431	53,035	53,648
ELECTED OFFICIALS						
Audit and Control, Department of	1,382	2,689	2,061	1,522	1,633	1,744
Judiciary	9,343	29,257	24,498	24,498	24,598	25,098
Law, Department of	7,884	8,163	14,030	15,103	16,293	17,381
Functional Total	18,609	40,109	40,589	41,123	42,524	44,223
ALL OTHER CATEGORIES						
Miscellaneous	1,067	1,140	1,389	1,527	1,660	1,783
Functional Total	1,067	1,140	1,389	1,527	1,660	1,783
TOTAL GENERAL STATE CHARGES SPENDING	1,914,524	1,872,866	2,032,137	2,173,326	2,328,421	2,512,804

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	0	2,908	6,100	6,100	100	100
Functional Total	0	2,908	6,100	6,100	100	100
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	2,034	3,638	1,270	1,270	1,270	1,270
Functional Total	2,034	3,638	1,270	1,270	1,270	1,270
TRANSPORTATION						
Motor Vehicles, Department of	15,734	18,475	14,800	14,800	14,800	14,800
Transportation, Department of	40,442	31,448	40,103	40,103	40,103	40,103
Functional Total	56,176	49,923	54,903	54,903	54,903	54,903
HEALTH						
Aging, Office for the	105,584	106,192	92,769	92,769	92,769	92,769
Health, Department of	28,861,695	26,199,265	25,434,189	27,425,523	31,428,156	34,048,832
<i>Medical Assistance</i>	27,224,374	24,478,353	23,641,548	25,594,042	29,587,198	32,151,938
<i>Medicaid Administration</i>	417,899	443,084	591,750	599,150	574,450	514,950
<i>Public Health</i>	1,219,422	1,277,828	1,200,891	1,232,331	1,266,508	1,381,944
Functional Total	28,967,279	26,305,457	25,526,958	27,518,292	31,520,925	34,141,601
SOCIAL WELFARE						
Children and Family Services, Office of	1,039,761	1,166,421	1,001,800	1,001,800	1,001,800	1,001,800
<i>OCFS</i>	1,039,761	1,166,421	1,001,800	1,001,800	1,001,800	1,001,800
Housing and Community Renewal, Division of	171,324	272,339	51,337	51,337	51,337	51,337
Labor, Department of	184,558	161,018	188,520	179,047	179,047	179,047
Temporary and Disability Assistance, Office of	3,654,062	3,496,271	3,520,870	3,298,030	3,298,030	3,298,030
<i>Welfare Assistance</i>	2,673,849	2,520,426	2,635,770	2,412,930	2,412,930	2,412,930
<i>All Other</i>	980,213	975,845	885,100	885,100	885,100	885,100
Functional Total	5,049,705	5,096,049	4,762,527	4,530,214	4,530,214	4,530,214
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	146,803	122,690	126,842	126,842	126,842	126,842
<i>OASAS</i>	146,803	122,690	126,842	126,842	126,842	126,842
Mental Health, Office of	81,244	47,523	50,930	50,930	50,930	50,930
<i>OMH</i>	81,244	47,523	50,930	50,930	50,930	50,930
People with Developmental Disabilities, Office for	4,279	(4,279)	0	0	0	0
<i>OPWDD</i>	4,279	(4,279)	0	0	0	0
Functional Total	232,326	165,934	177,772	177,772	177,772	177,772
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	238	547	0	0	0	0
Criminal Justice Services, Division of	36,971	37,363	37,939	18,800	18,800	18,800
Homeland Security and Emergency Services, Division of	308,771	408,619	374,771	378,771	378,771	378,771
Military and Naval Affairs, Division of	166	(192)	0	0	0	0
Victim Services, Office of	33,375	39,595	30,128	30,128	30,128	30,128
Functional Total	379,521	485,932	442,838	427,699	427,699	427,699
HIGHER EDUCATION						
City University of New York	32,779	0	0	0	0	0
Higher Education Services Corporation, New York State	59,049	521	0	0	0	0
State University of New York	89,476	10,041	7,941	7,941	7,941	7,941
Functional Total	181,304	10,562	7,941	7,941	7,941	7,941
EDUCATION						
Arts, Council on the	845	1,711	420	420	420	420
Education, Department of	5,904,506	4,726,296	3,895,068	3,900,198	3,810,141	3,759,140
<i>School Aid</i>	4,639,423	3,559,176	3,005,981	2,855,200	2,799,100	2,869,100
<i>Special Education Categorical Programs</i>	1,235,197	1,111,348	773,600	789,300	805,300	821,700
<i>All Other</i>	29,886	55,772	115,487	255,698	205,741	68,340
Functional Total	5,905,351	4,728,007	3,895,488	3,900,618	3,810,561	3,759,560
GENERAL GOVERNMENT						
Elections, State Board of	25,231	8,910	2,600	0	0	0
General Services, Office of	0	0	250	250	250	250
State, Department of	105,534	63,698	55,457	55,457	55,457	55,457
Functional Total	130,765	72,608	58,307	55,707	55,707	55,707
ALL OTHER CATEGORIES						
Miscellaneous	(297,176)	(273,064)	(281,000)	(281,000)	(281,000)	(281,000)
Functional Total	(297,176)	(273,064)	(281,000)	(281,000)	(281,000)	(281,000)
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	40,607,285	36,647,954	34,653,104	36,399,516	40,306,092	42,875,767

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,295	2,948	2,198	2,242	2,298	2,311
Financial Services, Department of	0	271	0	0	0	0
Public Service Department	1,488	1,318	1,530	1,540	1,438	1,467
Functional Total	3,783	4,537	3,728	3,782	3,736	3,778
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	24,693	25,095	25,104	25,201	25,642	26,087
Parks, Recreation and Historic Preservation, Office of	1,187	1,027	1,010	1,015	1,037	1,053
Functional Total	25,880	26,122	26,114	26,216	26,679	27,140
TRANSPORTATION						
Motor Vehicles, Department of	1,319	1,200	1,243	1,260	1,292	1,328
Transportation, Department of	4,457	3,912	5,042	5,152	5,264	5,387
Functional Total	5,776	5,112	6,285	6,412	6,556	6,715
HEALTH						
Aging, Office for the	6,682	6,047	7,031	7,041	7,170	7,316
Health, Department of	56,699	51,547	54,374	54,468	55,457	56,593
<i>Public Health</i>	56,699	51,547	54,374	54,468	55,457	56,593
Medicaid Inspector General, Office of the	20,811	19,739	18,379	18,850	19,717	20,179
Functional Total	84,192	77,333	79,784	80,359	82,344	84,088
SOCIAL WELFARE						
Children and Family Services, Office of	27,653	26,067	29,082	27,565	28,376	28,984
<i>OCFS</i>	27,653	26,067	29,082	27,565	28,376	28,984
Housing and Community Renewal, Division of	8,658	7,533	5,031	5,098	5,300	5,592
Human Rights, Division of	1,754	2,741	2,730	2,739	2,831	2,929
Labor, Department of	217,095	211,085	196,275	186,275	190,317	195,113
National and Community Service	357	382	354	358	362	362
Prevention of Domestic Violence, Office for	(10)	23	0	0	3	8
Temporary and Disability Assistance, Office of	104,177	102,745	83,446	84,445	86,895	89,587
<i>All Other</i>	104,177	102,745	83,446	84,445	86,895	89,587
Functional Total	359,684	350,576	316,918	306,480	314,084	322,575
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	3,951	4,886	5,477	5,525	5,076	5,127
<i>OASAS</i>	3,951	4,886	5,477	5,525	5,076	5,127
Developmental Disabilities Planning Council	1,190	980	1,197	1,211	1,230	1,253
Mental Health, Office of	107	855	578	584	584	584
<i>OMH</i>	107	855	578	584	584	584
People with Developmental Disabilities, Office for	42	123	116	116	116	116
<i>OPWDD</i>	42	123	116	116	116	116
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,582	1,328	1,590	1,711	1,753	1,800
Functional Total	6,872	8,172	8,958	9,147	8,759	8,880
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	28,855	2,425	44,812	26,677	26,970	27,029
Criminal Justice Services, Division of	10,421	9,710	8,634	5,253	5,397	5,560
Homeland Security and Emergency Services, Division of	6,250	7,998	6,251	6,271	6,371	6,490
Military and Naval Affairs, Division of	22,424	22,012	22,146	22,335	22,455	22,666
State Police, Division of	8,065	9,612	5,100	5,150	5,200	5,200
Victim Services, Office of	1,189	1,289	1,500	1,515	1,578	1,657
Functional Total	77,204	53,046	88,443	67,201	67,971	68,602
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	160	139	836	836	836	836
State University of New York	9,319	8,350	7,229	7,229	7,229	7,229
Functional Total	9,479	8,489	8,065	8,065	8,065	8,065
EDUCATION						
Education, Department of	85,866	77,898	83,393	83,707	84,490	84,503
<i>All Other</i>	85,866	77,898	83,393	83,707	84,490	84,503
Functional Total	85,866	77,898	83,393	83,707	84,490	84,503
GENERAL GOVERNMENT						
Elections, State Board of	169	40	0	0	0	0
State, Department of	3,401	3,104	3,508	3,561	3,663	3,731
Taxation and Finance, Department of	2	0	0	0	0	0
Veterans' Affairs, Division of	662	421	757	767	782	796
Functional Total	4,234	3,565	4,265	4,328	4,445	4,527

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
ELECTED OFFICIALS						
Judiciary	1,855	1,899	0	0	0	0
Law, Department of	17,526	16,688	19,474	19,689	20,027	20,396
Functional Total	<u>19,381</u>	<u>18,587</u>	<u>19,474</u>	<u>19,689</u>	<u>20,027</u>	<u>20,396</u>
 TOTAL PERSONAL SERVICE SPENDING	 <u>682,351</u>	 <u>633,437</u>	 <u>645,427</u>	 <u>615,386</u>	 <u>627,156</u>	 <u>639,269</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	9,890	8,868	9,504	9,505	9,733	9,733
Economic Development, Department of	0	0	245	245	245	245
Financial Services, Department of	102	730	0	0	0	0
Public Service Department	138	178	262	291	283	283
Functional Total	10,130	9,776	10,011	10,041	10,261	10,261
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	81	55	350	350	350	350
Environmental Conservation, Department of	22,041	23,815	16,756	16,756	16,756	16,756
Parks, Recreation and Historic Preservation, Office of	2,028	2,700	1,085	1,085	1,110	1,110
Functional Total	24,150	26,570	18,191	18,191	18,216	18,216
TRANSPORTATION						
Motor Vehicles, Department of	1,975	2,146	2,557	2,607	2,670	2,733
Transportation, Department of	2,463	2,604	2,546	2,610	2,675	2,745
Functional Total	4,438	4,750	5,103	5,217	5,345	5,478
HEALTH						
Aging, Office for the	1,469	1,250	1,018	1,018	1,018	1,018
Health, Department of	145,799	181,221	166,821	166,828	166,884	166,945
<i>Public Health</i>	145,799	181,221	166,821	166,828	166,884	166,945
Medicaid Inspector General, Office of the	9,043	7,043	12,329	12,330	12,703	12,729
Functional Total	156,311	189,514	180,168	180,176	180,605	180,692
SOCIAL WELFARE						
Children and Family Services, Office of	55,455	61,794	61,226	61,226	62,765	62,776
<i>OCFS</i>	55,455	61,794	61,226	61,226	62,765	62,776
Housing and Community Renewal, Division of	1,810	1,813	2,227	2,305	2,381	2,420
Human Rights, Division of	2,173	2,521	1,512	1,513	1,555	1,598
Labor, Department of	144,924	115,134	120,142	114,768	114,844	114,935
National and Community Service	23,993	20,892	13,646	13,646	13,860	13,860
Temporary and Disability Assistance, Office of	57,968	66,578	65,182	65,251	65,316	66,994
<i>All Other</i>	57,968	66,578	65,182	65,251	65,316	66,994
Workers' Compensation Board	4,707	4,510	3,532	3,624	3,624	3,624
Functional Total	291,030	273,242	267,467	262,333	264,345	266,207
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,378	1,564	1,678	1,620	1,642	1,680
<i>OASAS</i>	1,378	1,564	1,678	1,620	1,642	1,680
Developmental Disabilities Planning Council	1,704	1,593	2,468	2,340	2,259	2,188
Mental Health, Office of	464	638	149	153	153	153
<i>OMH</i>	464	638	149	153	153	153
People with Developmental Disabilities, Office for	8,264	1,882	33,490	34,361	34,361	34,361
<i>OPWDD</i>	8,264	1,882	33,490	34,361	34,361	34,361
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,238	4,359	5,191	4,705	4,819	4,947
Functional Total	16,048	10,036	42,976	43,179	43,234	43,329
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	790	908	1,242	1,277	1,311	1,311
Criminal Justice Services, Division of	8,431	8,499	8,752	6,502	6,503	6,504
Homeland Security and Emergency Services, Division of	6,812	13,748	6,812	6,812	6,812	6,812
Military and Naval Affairs, Division of	13,083	11,617	12,254	12,254	12,254	12,254
State Police, Division of	14,358	11,068	2,500	2,500	2,500	2,500
Victim Services, Office of	364	366	502	502	502	502
Functional Total	43,838	46,206	32,062	29,847	29,882	29,883
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	7,801	4,222	5,797	5,797	5,797	5,797
State University of New York	309,880	293,655	227,631	227,631	227,631	227,631
Functional Total	317,681	297,877	233,428	233,428	233,428	233,428
EDUCATION						
Arts, Council on the	0	0	100	100	100	100
Education, Department of	65,439	65,623	105,752	192,126	170,040	62,617
<i>All Other</i>	65,439	65,623	105,752	192,126	170,040	62,617
Functional Total	65,439	65,623	105,852	192,226	170,140	62,717
GENERAL GOVERNMENT						
Elections, State Board of	62,087	(1,148)	8,000	22,000	0	0
General Services, Office of	7,277	6,249	4,987	4,987	4,987	4,987
State, Department of	1,043	807	3,898	3,988	4,080	4,080

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Taxation and Finance, Department of	140	676	210	215	220	220
Technology, Office for	4,354	0	0	0	0	0
Veterans' Affairs, Division of	206	142	553	565	578	592
Functional Total	<u>75,107</u>	<u>6,726</u>	<u>17,648</u>	<u>31,755</u>	<u>9,865</u>	<u>9,879</u>
ELECTED OFFICIALS						
Judiciary	3,918	4,806	7,500	7,500	7,500	7,500
Law, Department of	5,647	8,522	8,127	8,288	8,372	8,659
Functional Total	<u>9,565</u>	<u>13,328</u>	<u>15,627</u>	<u>15,788</u>	<u>15,872</u>	<u>16,159</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u><u>1,013,737</u></u>	<u><u>943,648</u></u>	<u><u>928,533</u></u>	<u><u>1,022,181</u></u>	<u><u>981,193</u></u>	<u><u>876,249</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2011 Results	FY 2012 Results	FY 2013 Updated	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,000	1,082	1,102	1,207	1,301	1,365
Financial Services, Department of	0	134	0	0	0	0
Public Service Department	586	812	768	829	814	832
Functional Total	1,586	2,028	1,870	2,036	2,115	2,197
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	9,544	12,388	10,079	10,141	10,392	10,671
Parks, Recreation and Historic Preservation, Office of	92	0	508	511	621	630
Functional Total	9,636	12,388	10,587	10,652	11,013	11,301
TRANSPORTATION						
Motor Vehicles, Department of	518	477	623	679	732	784
Transportation, Department of	2,116	2,291	2,529	2,773	2,970	3,169
Functional Total	2,634	2,768	3,152	3,452	3,702	3,953
HEALTH						
Aging, Office for the	0	0	235	235	235	235
Health, Department of	26,855	23,931	23,006	23,056	23,620	24,316
<i>Public Health</i>	26,855	23,931	23,006	23,056	23,620	24,316
Medicaid Inspector General, Office of the	8,905	8,771	10,738	10,749	10,980	11,264
Functional Total	35,760	32,702	33,979	34,040	34,835	35,815
SOCIAL WELFARE						
Children and Family Services, Office of	9,890	10,804	9,913	8,931	9,236	9,608
<i>OCFS</i>	9,890	10,804	9,913	8,931	9,236	9,608
Housing and Community Renewal, Division of	3,652	3,583	2,689	2,937	3,199	3,146
Human Rights, Division of	1,047	49	1,969	2,075	2,203	2,330
Labor, Department of	91,806	93,601	98,449	100,284	107,750	115,244
Temporary and Disability Assistance, Office of	46,002	42,357	44,123	44,463	44,973	44,973
<i>All Other</i>	46,002	42,357	44,123	44,463	44,973	44,973
Functional Total	152,397	150,394	157,143	158,690	167,361	175,301
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	14	0	2,754	2,922	2,832	2,990
<i>OASAS</i>	14	0	2,754	2,922	2,832	2,990
Developmental Disabilities Planning Council	0	1,335	535	649	711	758
Mental Health, Office of	505	306	290	315	331	345
<i>OMH</i>	505	306	290	315	331	345
People with Developmental Disabilities, Office for	19	47	70	74	74	74
<i>OPWDD</i>	19	47	70	74	74	74
Quality of Care and Advocacy for Persons With Disabilities, Commission on	675	632	788	906	982	1,055
Functional Total	1,213	2,320	4,437	4,866	4,930	5,222
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	757	1,120	1,448	1,431	1,433	1,433
Criminal Justice Services, Division of	166	314	200	201	219	240
Homeland Security and Emergency Services, Division of	2,916	3,896	2,916	2,916	2,916	2,916
Military and Naval Affairs, Division of	7,645	5,475	6,499	6,499	6,499	6,499
State Police, Division of	594	378	0	0	0	0
Victim Services, Office of	0	0	326	326	326	326
Functional Total	12,078	11,183	11,389	11,373	11,393	11,414
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	56	14	419	419	419	419
State University of New York	76	54	51	51	51	51
Functional Total	132	68	470	470	470	470
EDUCATION						
Education, Department of	34,830	38,931	42,243	45,024	47,663	49,917
<i>All Other</i>	34,830	38,931	42,243	45,024	47,663	49,917
Functional Total	34,830	38,931	42,243	45,024	47,663	49,917
GENERAL GOVERNMENT						
Elections, State Board of	0	126	0	0	0	0
State, Department of	1,100	1,613	2,313	2,486	2,693	2,734
Taxation and Finance, Department of	0	1	0	0	0	0
Veterans' Affairs, Division of	431	233	350	350	350	350
Functional Total	1,531	1,973	2,663	2,836	3,043	3,084

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
ELECTED OFFICIALS						
Judiciary	19	79	0	0	0	0
Law, Department of	<u>7,778</u>	<u>7,165</u>	<u>8,608</u>	<u>8,608</u>	<u>8,799</u>	<u>9,025</u>
Functional Total	<u>7,797</u>	<u>7,244</u>	<u>8,608</u>	<u>8,608</u>	<u>8,799</u>	<u>9,025</u>
 TOTAL GENERAL STATE CHARGES SPENDING	 <u>259,594</u>	 <u>261,999</u>	 <u>276,541</u>	 <u>282,047</u>	 <u>295,324</u>	 <u>307,699</u>

General Fund Transfers From Other Funds
(thousands of dollars)

<u>Sending Agency</u>	<u>CAS Fund / Account</u>	<u>SFS Fund / Account</u>	<u>Account Name</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
RBTF - Dedicated PIT in excess of Debt Service				8,096,543	8,250,407	8,798,367	8,948,461	9,127,010
LGAC - Dedicated Sales Tax in excess of Debt Service				2,395,720	2,429,764	2,559,963	2,689,318	2,815,880
CWCA - Real Estate Transfer Tax in excess of Debt Service				387,197	469,073	524,518	600,587	675,630
Total All Other Transfers				1,043,948	1,038,595	865,525	773,810	763,850
CQCAPD	020.D1	020.20162	Disab Tech Asst	-	130	-	-	-
SED OTH	050.01	050.20451	Tuition Reimb	23	23	23	23	23
SED OTH	050.02	050.20452	Prop Vocational Sch	297	297	297	297	297
SED OTH	052.01	052.20501	Local Govt Record	782	782	782	782	782
DOCCS	059.01	059.20751	Alcohol&Substance	19	-	-	-	-
DOT	169.33	169.60617	Medicaid Recov	1	-	-	-	-
DOT	225.01	225.23651	Mobility Tax Trust	2,548	-	-	-	-
GSC	225.01	225.23651	Mobility Tax Trust	3,676	-	-	-	-
AGRIC & MARKETS	261.00	261.25000	Fed USDA/FNS	-	450	450	450	450
TADA OTH	261.00	261.25000	Fed USDA/FNS	-	30,000	30,000	30,000	30,000
AGRIC & MARKETS	265.00	265.25100	Federal HHS	-	50	50	50	50
TADA OTH	265.00	265.25100	Federal HHS	22,687	163,600	153,600	91,600	91,600
OCFS	265.00	265.25100	Federal HHS	2,500	28,670	28,670	28,670	28,670
OMH	265.00	265.25100	Federal HHS	-	171	13	20	6
OTDA	265.FS	265.251FS	Federal Stimulus	18,313	-	-	-	-
OCFS	267.00	267.25200	Fed Education	-	900	900	900	900
OMH	290.00	290.25300	Fed Oper Grant	853	-	-	-	-
ENCON	301.48	301.21053	Waste Tire Mgt/Recycle	5,946	5,946	5,946	-	-
ENCON	301.49	301.21054	Oil & Gas Acct	48	48	48	48	48
ENCON	301.BJ	301.21060	Indirect Charge	523	523	523	523	523
ENCON	301.S4	301.21080	Encon Magazine	131	131	131	131	131
ENCON	301.XB	301.21084	Mined Land Reclamation	1,617	1,700	1,700	1,700	1,700
ENCON	303.03	303.21203	Oil Spill - DEC	-	574	574	574	574
LABOR	305.01	305.21251	OSH Trng & Educ	2,366	866	866	866	866
LABOR	305.02	305.21252	OSHA Inspection	2,087	2,188	2,188	2,188	2,188
OCFS	307.01	307.21351	Equip Loan Fund	7	7	7	7	7
ENCON	312.00	312.31500	Hazardous Waste	34,297	28,750	28,750	28,750	28,750
DOT	313.02	313.21402	Metro Mass Tran	10,000	-	-	-	-
DOT	313.06	313.21404	Add Mass Trans	1	-	-	-	-
DMV	314.02	314.21452	Mobile Source	-	30	30	30	30
DOH	339.03	339.21902	S P A R C S	885	885	885	885	885
OPWDD	339.05	339.21903	OPWDD Provider	-	6,189	6,189	-	-
DOS	339.07	339.21904	Fire Prev/Code	14,084	14,810	14,810	14,810	14,810
MENTAL HYGIENE	339.10	339.21907	Mental Hygiene	371,639	2,307	-	-	-
MENTAL HYGIENE	339.13	339.21909	M H Patient Inc	-	42,597	-	-	-
DOH	339.26	339.21920	Cert of Need	7,417	1,086	1,086	1,086	1,086
DOH	339.28	339.21922	Retir Community	2	2	2	2	2
LABOR	339.30	339.21923	DOL Fee Penalty	12,372	8,372	8,372	8,372	8,372
PARKS	339.39	339.21930	I Love NY Water	64	64	64	64	64
DOT	339.42	339.21933	Transportation Surplus Property	2,503	803	803	803	803
DOH	339.44	339.21934	Hosp & Nurs Mgt	-	376	376	376	376
OTDA	339.48	339.21938	ODTA Training Contract	-	1,010	-	-	-
OTDA	339.50	339.21940	ODTA Training Mgmt	-	65	-	-	-
OASAS	339.51	339.21941	Methadone Registry Services	-	242	248	254	260
HSES	339.61	339.21944	Radiology	1,350	1,350	1,350	1,350	1,350
DCJS	339.62	339.21945	Criminal Justice Improvement	22,052	26,852	22,000	19,000	19,000
DOS	339.72	339.21953	NY Fire Academy	-	247	247	247	247
DOH	339.81	339.21959	Envir.Lab.Fee	183	183	183	183	183
OCFS	339.88	339.21961	Train Mgmt Evaluation	488	488	488	488	488
DOH	339.95	339.21965	Radio Hlth Protect	216	216	216	216	216
SED OTH	339.A4	339.21969	Teacher Certif	693	861	861	861	861
BANKING/DFR	339.A5	339.21970	Banking Dept	2,413	2,413	2,413	2,413	2,413
DED	339.A7	339.21972	Econ Devel Asst	92	-	-	-	-
DMV	339.AE	339.21976	Motorcycle Safety	5	6	6	6	6
DOS	339.AG	339.21977	Business Licens	40,546	38,160	35,910	35,910	35,910
DOH	339.AP	339.21982	Administration	5,000	-	-	-	-
OTDA	339.AX	339.21988	Child Support Revenue	-	75	-	-	-
DOH	339.B4	339.21993	Radon Detct Dev	2	2	2	2	2
DOS	339.B8	339.21996	Fire Protection	13	13	13	13	13
LABOR	339.BA	339.21998	Public Work Enforce	2,930	2,930	2,930	2,930	2,930
RACING	339.BJ	339.22003	Bell Jar Collection	123	202	202	202	202
TAX	339.BK	339.22004	Ind & Util Service	441	441	441	441	441
DOS	339.CM	339.22021	Reg Manufactured Housing	-	100	100	100	100

General Fund Transfers From Other Funds
(thousands of dollars)

<u>Sending Agency</u>	<u>CAS Fund / Account</u>	<u>SFS Fund / Account</u>	<u>Account Name</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
DOB	339.CR	339.22024	Reven Arrearage	28,554	22,554	22,554	22,554	22,554
DOCCS	339.CT	339.22026	Cell Phone Tower	900	-	-	-	-
DOCCS	339.CU	339.22027	Spec Conserv	109	-	-	-	-
OCFS	339.CY	339.22028	Central Registry	1,439	4,822	4,822	4,822	4,822
TAX	339.DC	339.22034	Investment Services	-	541	541	541	541
OPWDD	339.DH	339.22038	OMRDD Day Services	-	40,000	40,000	40,000	40,000
ECON DEV	339.DO	339.22042	DED Marketing	1,631	131	131	131	131
DOS	339.DQ	339.22044	Tug Hill Admin	10	10	10	10	10
SED OTH	339.E3	339.22051	Professional Education Serv	2,777	2,777	2,777	2,777	2,777
CQCAPD	339.EC	339.22056	Fedl Salary Sharing	62	62	62	62	62
OASAS	339.EJ	339.22060	Credential Services	-	935	959	987	1,013
CIV SVC	339.ER	339.22065	Exam & Misc Rev	1,566	1,566	1,566	1,566	1,566
DOB	339.FL	339.22073	Fed Liability	-	50	-	-	-
DOH	339.FP	339.22075	Funeral	8	8	8	8	8
TAX	339.G3	339.22078	Local Services	26	26	26	26	26
DOT	339.G7	339.22079	Accident Damage Recov	1,800	-	-	-	-
TADA	339.GA	339.22080	Adult Shelter	5,000	-	-	-	-
DMV	339.H7	339.22087	DMV-Compulsory	14,018	15,368	15,368	15,368	15,368
DOH	339.H9	339.22088	Professional Medical Conduct	3,000	-	-	-	-
DOH	339.HQ	339.22091	Adlt Hme Qlty Enhance	21	21	21	21	21
DMV	339.IC	339.22094	Accid Prevent Course	606	606	606	606	606
DOH	339.J1	339.22097	Local Public Hlth	1,005	5	5	5	5
DHCR	339.J5	339.22100	Housing Credit Agency	100	-	-	-	-
DOH	339.J6	339.22101	EPIC Premium Acct	102,625	54,000	-	-	-
DOH	339.JA	339.22103	Vital Records Mgmt	2,252	2,252	2,252	2,252	2,252
HLTH MEDICAL	339.JB	339.22104	CHCCDP Transfer	24,373	-	-	-	-
OCFS	339.K1	339.22108	Hwy Rev/Social Security Admin	50	50	50	50	50
DOH	339.L2	339.22110	Asst Living Res Quality	9	9	9	9	9
OTDA	339.L7	339.22112	OTDA Income	-	1,348	-	-	-
HSES	339.LZ	339.22123	Pub Safe Commun	20,000	20,000	-	-	-
DHCR	339.NG	339.22130	Low Inc Housing	100	-	-	-	-
ECON DEV	339.P4	339.22133	Procure Opportunity News	100	100	100	100	100
ABO	339.PO	339.22138	Auth Budget Office	39	39	39	39	39
DOH	339.PS	339.22139	Patient Safety	73	73	73	73	73
DOH	339.Q3	339.22141	NYC Veterans	1,074	-	-	-	-
DOH	339.Q4	339.22142	NYS Home-Vetera	763	-	-	-	-
DOH	339.Q5	339.22143	WNY Vets Home	432	-	-	-	-
DOH	339.Q6	339.22144	Montrose S V H	2,731	-	-	-	-
AG&MKTS	339.R4	339.22149	Motor Fuel Quality	249	200	200	200	200
AG&MKTS	339.R5	339.22150	Weights Measure	-	30	30	30	30
DOB	339.ST	339.22162	Systems & Technology	1,833	833	833	833	833
PARKS	339.T2	339.22163	Parks&Recreation Patron Services	5,402	-	-	709	903
SED OTH	339.TM	339.22166	Teacher Education Accreditation	14	21	21	21	21
TSCR	339.TS	339.22169	TSCR Account	42,975	104,011	104,011	104,011	104,011
PUB SVC	339.US	339.22172	Undrgrnd Safety Training	175	175	175	175	175
AG&MKTS	339.XX	339.219XX	A&M-Aggregated	89	139	139	139	139
OCFS	339.YF	339.22186	Yth Fac PerDiem	101,950	152,416	138,746	121,672	111,534
OGS	339.YL	339.219YL	OGS Bldg Admin	480	1,000	1,000	1,000	1,000
OGS	339.YN	339.219YN	OGS Standards & Purchase Acct	4,489	3,000	3,000	3,000	3,000
OMH	343.00	343.55100	Mental Hygiene	-	58	80	53	28
SUNY	345.10	345.22653	SUNY General IFR	22,000	38,000	26,000	26,000	26,000
SUNY	345.22	345.22656	SUNY Hospitals Debt Service	43,136	41,130	39,211	40,993	40,993
OASAS	346.00	346.22700	Subst Abuse Srv	-	200	-	-	-
DOS	349.01	349.22751	Lake George Park	197	197	197	197	197
OMH	353.00	353.50500	MH & MR Community	-	23	32	21	12
DCJS	354.01	354.22801	MVTIFA	2,700	-	-	-	-
DSP	354.02	354.22802	Motor Vehicle Enforcement	-	100,800	100,800	100,800	100,800
ENCON	355.01	355.22851	Great Lakes Protection	60	60	60	60	60
SED OTH	365.01	365.23051	Vocatl Rehabil	-	32	32	32	32
ORDA	385.01	385.23501	Lake Placid Train	-	23	23	23	23
OILS	390.01	390.23551	Indigent Legal	-	3,000	-	-	-
LABOR	482.01	482.23601	UI Special Interest & Penalty	6,711	6,711	3,211	3,211	3,211
				11,923,408	12,187,839	12,748,373	13,012,176	13,382,370

General Fund Transfers To Other Funds
(thousands of dollars)

<u>Receiving Agency</u>	<u>CAS Fund/ Account</u>	<u>SFS Fund / Account</u>	<u>Account Name</u>	<u>FY 2012 Results</u>	<u>FY 2013 Updated</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Total Transfers to Debt Service Funds				1,515,793	1,564,121	1,616,995	1,514,261	1,487,854
Total Transfers to Capital Projects Funds				798,402	1,055,463	1,287,334	1,403,451	1,299,269
Total Transfers to State Share Medicaid				2,722,478	2,974,705	2,766,677	2,621,206	2,521,206
Total All Other Transfers				819,074	1,442,523	2,983,874	3,996,542	4,494,771
FPADJ	020.00	020.20100	Combined Expendable Trust	-	91,697	72,883	71,856	70,877
OCFS	020.78	020.20128	WB Hoyt Memoria	622	622	622	622	622
DOH	020.AA	020.20143	Alzheimers Disease Assist	284	250	250	250	250
DOH	020.BD	020.20155	Breast Cancer Res & Educ	504	650	650	650	650
DOH	020.PR	020.20183	Prostate Cancer	207	150	150	150	150
SED	054.01	054.20601	Charter School Stimulus	4,837	4,837	-	-	-
SED GSPS	160.03	160.20901	Lottery - Education	52,624	19,000	-	-	-
SED GSPS	160.06	160.20904	VLT - Education	2,258	-	-	-	-
DOT	225.01	225.23651	Mobility Tax Trust	22,401	279,440	331,690	333,750	333,750
MENTAL HYGIENE	304.00	304.40100	Mental Health	399	-	-	91,542	-
OMH	265.00	265.25100	Federal HHS	-	-	13	36	36
OMH	267.00	267.25200	Fed Education	-	1	-	-	-
ENCON	301.S5	301.21081	Environment Enf	5,590	261	-	-	-
ENCON	302.00	302.21150	Conservation	3,853	180	-	-	-
DOT	313.01	313.21401	Pub Tran Sysms	12,000	12,000	12,000	12,000	12,000
DOT	313.02	313.21402	Metro Mass Tran	46,959	19,100	19,100	19,100	19,100
DHCR	316.00	316.40250	Housing Debt	4,051	1,000	1,000	1,000	1,000
DOH	319.00	319.40300	DOH Income Fund	20,672	16,079	16,079	16,079	16,079
OGS	323.ZX	323.550ZX	OGS Exec Direct	25,068	-	-	-	-
TAX	334.12	334.55057	Banking Service	70,201	57,476	65,481	65,481	65,481
OFT	334.30	334.55069	Cent Tech Svcs.	-	22,000	52,000	20,000	10,000
OPWDD	339.05	339.21903	OPWDD Provider	-	-	-	1,476	1,476
MENTAL HYGIENE	339.10	339.21907	Mental Hygiene	-	-	491,983	867,666	1,185,358
MENTAL HYGIENE	339.13	339.21909	M H Patient Inc	-	-	331,964	887,860	1,161,315
DOH	339.AW	339.21987	Spinal Injury	1,575	-	-	-	-
DCJS	339.CA	339.22015	Crimes Against	6,000	16,000	16,000	16,000	16,000
SED OTH	339.D9	339.22032	Batavia School	810	900	900	900	900
ABC	339.DB	339.22033	Alcohol Beverag	15,672	17,373	18,951	19,851	19,851
SED OTH	339.E6	339.22053	Rome School	1,100	900	900	900	900
FMS	339.FM	339.22074	SFS Account	36,000	48,000	55,200	55,200	55,200
DMV	339.GE	339.22084	Federal-Seized	-	12	-	-	-
DOH	339.QC	339.22147	Quality of Care	1,500	2,500	-	-	-
SCI	339.SR	339.22161	ES Stem Cell Trust	-	-	13,673	11,373	-
DM & NA	339.U2	339.22171	Recruitment Inc	-	2,087	2,087	2,087	2,087
JUDICIAR	340.AA	340.22501	CFIA Undistrib	114,276	106,000	107,100	108,100	109,000
OMH	343.00	343.55100	Mental Hygiene	-	48	-	-	-
SUNY	345.11	345.22654	S U Inc Offset	8,318	8,318	8,318	8,318	8,318
SUNY	345.12	345.22655	SUNY - University Operations	-	340,350	982,566	1,002,081	1,022,057
SUNY	345.22	345.22656	SUNY - Disproportionate Share	224,720	228,175	228,175	228,175	228,175
SUNY	345.22	345.22656	SUNY - Hospital Operations	60,000	80,817	87,756	87,756	87,756
SUNY	345.31	345.22657	SUNY Stabilization	10,455	-	-	-	-
OMH	353.00	353.50500	MH & MR Community	-	17	-	-	-
JUDICIAR	368.01	368.23151	NYCCC Operat Offset	8,594	8,700	8,800	8,700	8,800
ILS	390.01	390.23551	Indigent Legal	40,276	40,000	40,000	40,000	40,000
CIV SVC	396.00	396.55300	Health Ins Intrnl Service	7,748	7,843	7,843	7,843	7,843
CIV SVC	396.01	396.55301	CS EBD Admin Reim	-	240	240	240	240
DOCCS	397.00	397.55350	Corr Industries	9,500	9,500	9,500	9,500	9,500
				5,855,747	7,036,812	8,654,880	9,535,460	9,803,100

CASH COMBINING STATEMENT
GENERAL FUND
FY 2013
(millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Refund Reserve	Debt Reduction Reserve Fund	Eliminations	Total
Opening fund balance	0	1,131	21	102	175	345	13	0	1,787	
Receipts:										
Taxes	43,293	0	0	0	0	0	0	0	0	43,293
Miscellaneous receipts	3,354	0	0	0	0	0	0	0	0	3,354
Federal grants	60	0	0	0	0	0	0	0	0	60
Total receipts	46,707	0	0	0	0	0	0	0	0	46,707
Disbursements:										
Grants to local governments	39,621	0	0	47	0	0	0	0	0	39,668
State operations	8,005	0	0	0	0	0	0	0	0	8,005
General State charges	4,499	0	0	0	0	0	0	0	0	4,499
Debt service	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0
Total disbursements	52,125	0	0	47	0	0	0	0	0	52,172
Other financing sources (uses):										
Transfers from other funds	42,771	0	0	2	0	77	0	(30,662)	12,188	
Transfers to other funds	(37,353)	0	0	0	0	(345)	0	30,662	(7,036)	
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	5,418	0	0	2	0	(268)	0	0	5,152	
Change in fund balance	0	0	0	(45)	0	(268)	0	0	(313)	
Closing fund balance	0	1,131	21	57	175	77	13	0	1,474	

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2013
(thousands of dollars)**

	<u>019</u>	<u>020</u>	<u>023</u>	<u>024</u>	<u>025</u>	<u>050</u>	<u>052</u>	<u>053</u>	<u>054</u>	<u>059</u>	<u>061</u>	<u>073</u>	<u>160</u>
Opening Fund Balance	2,173	59,864	7,613	121	57	5,365	2,062	0	4,252	1	2,806	78,727	31,116
Receipts:													
Taxes	0	0	0	0	0	0	0	3,276,067	0	0	1,146,000	486,600	0
Miscellaneous Receipts	140	(71,585)	7,500	290	65	3,375	9,500	0	0	0	4,775,351	191,167	3,198,490
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	140	(71,585)	7,500	290	65	3,375	9,500	3,276,067	0	0	5,921,351	677,767	3,198,490
Disbursements:													
Grants to Local Governments	0	5,007	7,000	0	0	0	4,569	3,276,067	3,104	0	5,541,977	683,249	3,053,000
State Operations	140	5,127	1,336	420	241	2,426	2,405	0	1,733	0	69,925	0	161,247
General State Charges	0	882	382	157	115	781	889	0	0	0	6,081	0	11,743
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	5,000	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	140	16,016	8,718	577	356	3,207	7,863	3,276,067	4,837	0	5,617,983	683,249	3,225,990
Other Financing Sources (Uses):													
Transfers from Other Funds	0	93,369	0	300	300	0	0	0	4,837	0	0	0	30,000
Transfers to Other Funds	0	(130)	0	(7)	0	(320)	(1,967)	0	0	0	(306,172)	0	(11,000)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	93,239	0	293	300	(320)	(1,967)	0	4,837	0	(306,172)	0	19,000
Change in Fund Balance	0	5,638	(1,218)	6	9	(152)	(330)	0	0	0	(2,804)	(5,482)	(8,500)
Closing Fund Balance	2,173	65,502	6,395	127	66	5,213	1,732	0	4,252	1	2	73,245	22,616

	<u>221</u>	<u>225</u>	<u>261</u>	<u>265</u>	<u>267</u>	<u>269</u>	<u>290</u>	<u>300</u>	<u>301</u>	<u>302</u>	<u>303</u>	<u>305</u>	<u>306</u>
Opening Fund Balance	18,767	53,758	(1,571)	(147,454)	562	0	70,798	1,107	(28,299)	87,074	13,412	4,913	4,208
Receipts:													
Taxes	0	1,310,000	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	22,666	190,690	98,900	49,463	2,846	0	(23,181)	3,700	81,084	52,977	55,821	47,489	8,000
Federal Grants	650	0	1,882,090	33,373,575	3,574,680	59,133	865,976	0	0	0	0	0	0
Total Receipts	23,316	1,500,690	1,980,990	33,423,038	3,577,526	59,133	842,795	3,700	81,084	52,977	55,821	47,489	8,000
Disbursements:													
Grants to Local Governments	0	1,741,575	1,876,150	28,921,417	3,053,708	55,607	555,798	0	0	0	0	0	0
State Operations	21,556	0	63,149	487,486	466,245	2,857	237,988	3,605	71,530	39,937	24,728	32,442	12,800
General State Charges	0	0	10,025	88,828	43,420	669	35,183	0	17,771	13,591	4,386	10,043	98
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	21,556	1,741,575	1,949,324	29,497,731	3,563,373	59,133	828,969	3,605	89,301	53,528	29,114	42,485	12,898
Other Financing Sources (Uses):													
Transfers from Other Funds	0	279,440	0	0	1	0	500	0	21,345	730	20,306	0	3,750
Transfers to Other Funds	0	0	(31,666)	(3,924,307)	(14,154)	0	(14,326)	(78)	(14,162)	(2,290)	(37,532)	(3,054)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	279,440	(31,666)	(3,924,307)	(14,153)	0	(13,826)	(78)	7,183	(1,560)	(17,226)	(3,054)	3,750
Change in Fund Balance	1,760	38,555	0	1,000	0	0	0	17	(1,034)	(2,111)	9,481	1,950	(1,148)
Closing Fund Balance	20,527	92,313	(1,571)	(146,454)	562	0	70,798	1,124	(29,333)	84,963	22,893	6,863	3,060

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2013
(thousands of dollars)**

	<u>307</u>	<u>313</u>	<u>314</u>	<u>318</u>	<u>321</u>	<u>332</u>	<u>333</u>	<u>338</u>	<u>339</u>	<u>340</u>	<u>341</u>	<u>345</u>	<u>346</u>
Opening Fund Balance	472	56,867	(16,794)	66	10,021	3,480	1,181	769	619,537	5,008	41	473,261	4,020
Receipts:													
Taxes	0	1,937,300	0	0	0	0	0	0	10	0	0	0	0
Miscellaneous Receipts	63	21,810	40,500	0	1,719	115	75	60	2,685,912	0	0	4,020,422	4,867
Federal Grants	0	0	0	0	0	0	0	0	89	0	0	0	0
Total Receipts	<u>63</u>	<u>1,959,110</u>	<u>40,500</u>	<u>0</u>	<u>1,719</u>	<u>115</u>	<u>75</u>	<u>60</u>	<u>2,686,011</u>	<u>0</u>	<u>0</u>	<u>4,020,422</u>	<u>4,867</u>
Disbursements:													
Grants to Local Governments	0	1,854,812	0	0	0	0	0	98	2,780,507	104,200	0	0	4,500
State Operations	79	3,513	32,086	0	950	58	75	0	4,666,366	2,100	0	4,557,185	367
General State Charges	0	1,457	11,900	0	0	0	0	0	1,546,862	600	0	376,587	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	(15)	0	0	0	0
Total Disbursements	<u>79</u>	<u>1,859,782</u>	<u>43,986</u>	<u>0</u>	<u>950</u>	<u>58</u>	<u>75</u>	<u>98</u>	<u>8,993,720</u>	<u>106,900</u>	<u>0</u>	<u>4,933,772</u>	<u>4,867</u>
Other Financing Sources (Uses):													
Transfers from Other Funds	0	31,100	0	0	0	0	0	0	10,110,022	106,000	0	1,086,554	0
Transfers to Other Funds	(7)	0	(30)	0	0	0	0	0	(3,868,542)	(374)	0	(223,455)	(200)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>(7)</u>	<u>31,100</u>	<u>(30)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>6,241,480</u>	<u>105,626</u>	<u>0</u>	<u>863,099</u>	<u>(200)</u>
Change in Fund Balance	<u>(23)</u>	<u>130,428</u>	<u>(3,516)</u>	<u>0</u>	<u>769</u>	<u>57</u>	<u>0</u>	<u>(36)</u>	<u>(66,229)</u>	<u>(1,274)</u>	<u>0</u>	<u>(50,251)</u>	<u>(200)</u>
Closing Fund Balance	<u>449</u>	<u>187,295</u>	<u>(20,310)</u>	<u>66</u>	<u>10,790</u>	<u>3,537</u>	<u>1,181</u>	<u>731</u>	<u>553,308</u>	<u>3,734</u>	<u>41</u>	<u>423,010</u>	<u>3,820</u>
Opening Fund Balance	1,172	(37,654)	450	23	8,903	(2,683)	109	(7,392)	(22,440)	5,225	140,611	12	33,862
Receipts:													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1,208	119,700	160	3,709	900	3,068	117	6,665	25,000	26,000	111,085	85	73,000
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	<u>1,208</u>	<u>119,700</u>	<u>160</u>	<u>3,709</u>	<u>900</u>	<u>3,068</u>	<u>117</u>	<u>6,665</u>	<u>25,000</u>	<u>26,000</u>	<u>111,085</u>	<u>85</u>	<u>73,000</u>
Disbursements:													
Grants to Local Governments	0	4,537	0	0	852	0	61	0	0	0	0	0	74,000
State Operations	862	9,248	158	0	0	3,437	47	4,998	23,400	16,800	103,231	75	25,859
General State Charges	259	72	43	0	0	0	0	2,667	9,600	7,900	6,152	0	285
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	<u>1,121</u>	<u>13,857</u>	<u>201</u>	<u>0</u>	<u>852</u>	<u>3,437</u>	<u>108</u>	<u>7,665</u>	<u>33,000</u>	<u>24,700</u>	<u>109,383</u>	<u>75</u>	<u>100,144</u>
Other Financing Sources (Uses):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	8,700	0	0	0	40,000
Transfers to Other Funds	(197)	(100,800)	(60)	0	0	0	(32)	0	0	0	0	(23)	(3,000)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>(197)</u>	<u>(100,800)</u>	<u>(60)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(32)</u>	<u>0</u>	<u>8,700</u>	<u>0</u>	<u>0</u>	<u>(23)</u>	<u>37,000</u>
Change in Fund Balance	<u>(110)</u>	<u>5,043</u>	<u>(101)</u>	<u>3,709</u>	<u>48</u>	<u>(369)</u>	<u>(23)</u>	<u>(1,000)</u>	<u>700</u>	<u>1,300</u>	<u>1,702</u>	<u>(13)</u>	<u>9,856</u>
Closing Fund Balance	<u>1,062</u>	<u>(32,611)</u>	<u>349</u>	<u>3,732</u>	<u>8,951</u>	<u>(3,052)</u>	<u>86</u>	<u>(8,392)</u>	<u>(21,740)</u>	<u>6,525</u>	<u>142,313</u>	<u>(1)</u>	<u>43,718</u>

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2013
(thousands of dollars)**

	<u>480</u>	<u>482</u>	<u>484</u>	<u>486</u>	<u>SRO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	42,502	5,536	545	(2,625)	0	1,593,518	0	1,593,518
Receipts:								
Taxes	0	0	0	0	0	8,155,977	0	8,155,977
Miscellaneous Receipts	58,000	9,600	0	0	0	15,918,588	0	15,918,588
Federal Grants	320,810	0	8,105	218,160	0	40,303,268	0	40,303,268
Total Receipts	<u>378,810</u>	<u>9,600</u>	<u>8,105</u>	<u>218,160</u>	<u>0</u>	<u>64,377,833</u>	<u>0</u>	<u>64,377,833</u>
Disbursements:								
Grants to Local Governments	10,000	0	0	180,424	0	53,792,219	0	53,792,219
State Operations	275,844	9,736	8,105	32,286	0	11,487,188	0	11,487,188
General State Charges	92,966	834	0	5,450	0	2,308,678	0	2,308,678
Debt Service	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	4,985	0	4,985
Total Disbursements	<u>378,810</u>	<u>10,570</u>	<u>8,105</u>	<u>218,160</u>	<u>0</u>	<u>67,593,070</u>	<u>0</u>	<u>67,593,070</u>
Other Financing Sources (Uses):								
Transfers from Other Funds	0	0	0	0	0	11,837,254	(4,132,457)	7,704,797
Transfers to Other Funds	0	(6,711)	0	0	0	(8,564,596)	4,132,457	(4,432,139)
Bond & Note Proceeds	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>0</u>	<u>(6,711)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>3,272,658</u>	<u>0</u>	<u>3,272,658</u>
Change in Fund Balance	<u>0</u>	<u>(7,681)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>58,421</u>	<u>0</u>	<u>58,421</u>
Closing Fund Balance	<u>42,502</u>	<u>(2,145)</u>	<u>545</u>	<u>(2,625)</u>	<u>0</u>	<u>1,651,939</u>	<u>0</u>	<u>1,651,939</u>

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2013

(thousands of dollars)

Balance	Trans	Receipts	Grants	Proceeds	From	Receipts	Local	PS	NPS	Costs	Debt	Capital	TO	Disb.	Balance
2,171	0	140	0	0	0	140	0	0	140	0	0	0	0	140	2,171
(35)	0	(91,697)	0	0	91,697	0	0	0	0	0	0	0	0	0	(35)
1,202	0	350	0	0	0	350	0	209	47	7	94	0	0	357	1,195
1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
51	0	51	0	0	0	51	0	0	46	0	0	0	0	46	56
65	0	5	0	0	0	5	0	0	5	0	0	0	0	5	65
68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
35	0	22	0	0	0	22	0	0	73	0	0	0	0	73	(16)
5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
54	0	5	0	0	0	5	0	0	8	0	0	0	0	8	51
11	0	10	0	0	0	10	0	0	19	0	0	0	0	19	2
117	0	0	0	0	0	0	0	0	0	0	0	0	0	0	117
60	0	105	0	0	0	105	0	0	105	0	0	0	0	105	60
23	0	50	0	0	0	50	0	0	50	0	0	0	0	50	23
486	0	357	0	0	0	357	0	0	204	0	0	0	0	204	639
52	0	1	0	0	0	1	0	0	1	0	0	0	0	1	52
14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
774	0	814	0	0	0	814	0	30	448	0	477	0	0	955	633
12	0	1	0	0	0	1	0	0	1	0	0	0	0	1	12
3,631	0	0	0	0	622	622	750	0	0	0	0	0	0	750	3,503
183	0	5	0	0	0	5	0	0	15	0	0	0	0	15	173
17,443	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	930	24,513
490	0	387	0	0	0	387	0	41	370	0	17	0	0	428	449
1,728	0	0	0	0	250	250	0	0	202	0	0	0	0	202	1,776
142	0	12	0	0	0	12	0	0	7	0	0	0	0	7	147
362	0	40	0	0	0	40	0	0	6	0	0	0	0	6	396
51	0	10	0	0	0	10	0	0	20	0	0	0	0	20	41
8,084	0	2,688	0	0	0	2,688	3,101	126	93	6	65	0	0	3,391	7,381
355	0	20	0	0	0	20	0	0	23	0	0	0	0	23	352
2	0	20	0	0	0	20	0	0	19	0	0	0	0	19	3
8,371	0	40	0	0	650	690	0	187	913	0	0	0	0	1,100	7,961
1	0	100	0	0	0	100	0	0	0	0	0	0	0	0	101
160	0	0	0	0	0	0	0	0	0	0	0	0	130	130	30
35	0	5	0	0	0	5	0	0	5	0	0	0	0	5	35
6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
11	0	1	0	0	0	1	0	0	1	0	0	0	0	1	11
527	0	200	0	0	0	200	0	0	0	0	0	0	0	0	727
8,892	0	4,000	0	0	0	4,000	0	0	0	0	0	5,000	0	5,000	7,892
19	0	0	0	0	0	0	0	0	20	0	0	0	0	20	(1)
43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
2,768	0	0	0	0	150	150	0	0	0	0	0	0	0	0	2,918
40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40
917	0	360	0	0	0	360	0	239	136	6	123	0	0	504	773
(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
118	0	107	0	0	0	107	0	13	79	1	7	0	0	100	125
932	0	20	0	0	0	20	0	0	20	0	0	0	0	20	932
1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
1	0	25	0	0	0	25	0	0	25	0	0	0	0	25	1
(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	1	(1)
(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
961	0	2,000	0	0	0	2,000	1,156	117	250	3	99	0	0	1,625	1,336

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2013

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	184	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	484
020.201ZZ-Donated Funds	257	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	257
023.20300-N Y Int Lawyers	7,613	0	7,500	0	0	0	7,500	7,000	704	582	50	0	382	0	0	0	8,718	6,395
024.20350-NYS Archvs Pline	122	0	290	0	0	300	590	0	292	119	9	0	157	0	0	7	584	128
025.20401-Child Performer	58	0	65	0	0	300	365	0	223	12	6	0	115	0	0	0	356	67
050.20451-Tuition Reimb	2,638	0	375	0	0	0	375	0	0	250	0	0	0	0	0	23	273	2,740
050.20452-Prop Voc Sch Stu	2,726	0	3,000	0	0	0	3,000	0	1,487	641	48	0	781	0	0	297	3,254	2,472
052.20501-Loc Govt Record	2,061	0	9,500	0	0	0	9,500	4,569	2,047	288	70	0	889	0	0	1,967	9,830	1,731
053.20550-Sch Tax Relief	(1)	3,276,067	0	0	0	0	3,276,067	3,276,067	0	0	0	0	0	0	0	0	3,276,067	(1)
054.20601-Chtr Sch Sti Ac	4,251	0	0	0	0	4,837	4,837	3,104	0	1,733	0	0	0	0	0	0	4,837	4,251
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	254	0	0	0	0	0	0	0	2,100	75	0	0	735	0	0	503	3,413	(3,159)
061.20802-Health Care Srv	13,930	0	0	0	0	0	0	129,311	0	0	0	0	64	0	0	0	129,375	(115,445)
061.20803-Medicaid Fraud	95	0	0	0	0	0	0	0	57	263	3	0	40	0	0	0	363	(268)
061.20804-Medical Assist.	750	0	0	0	0	0	0	3,671,776	1,532	4,254	49	0	887	0	0	0	3,678,508	(3,677,758)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	21,857	0	0	0	0	0	0	452,297	0	23,334	0	0	0	0	0	0	475,631	(453,774)
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20809-EWS Training	2,539	0	0	0	0	0	0	0	2,834	13,712	96	0	1,641	0	0	667	18,950	(16,411)
061.20810-Child Health In	(85,138)	0	0	0	0	0	0	0	1,298	5,415	32	0	818	0	0	394	342,401	(427,539)
061.20811-HCRA Undistrib	27,925	1,146,000	4,775,351	0	0	0	5,921,351	0	0	0	0	0	0	0	0	303,537	303,537	5,645,739
061.20812-Hospital Based	423	0	0	0	0	0	0	11,689	0	0	0	0	0	0	0	0	11,689	(11,266)
061.20813-Ad Home Res Co	24	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(36)
061.20814-Primary Care In	271	0	0	0	0	0	0	0	493	0	16	0	274	0	0	125	908	(637)
061.20815-Prov Coll Monit	478	0	0	0	0	0	0	0	1,411	159	67	0	826	0	0	492	2,955	(2,477)
061.20816-Pilot Health In	477	0	0	0	0	0	0	0	764	71	34	0	411	0	0	286	1,566	(1,089)
061.20817-Indigent Care	18,367	0	0	0	0	0	0	791,500	0	0	0	0	0	0	0	0	791,500	(773,133)
061.20818-EPIC Premium	(473)	0	0	0	0	0	0	150,900	2,250	8,700	0	0	0	0	0	0	161,850	(162,323)
061.20819-Health Occup De	808	0	0	0	0	0	0	0	528	57	27	0	232	0	0	129	973	(165)
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	221	0	0	0	0	0	0	0	268	17	9	0	143	0	0	39	476	(255)
068.300DS-Dispro Sh Med	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
073.20851-Transit Authori	42,094	379,725	148,394	0	0	0	528,119	530,000	0	0	0	0	0	0	0	0	530,000	40,213
073.20852-Railroad Account	7,433	67,550	26,158	0	0	0	93,708	93,500	0	0	0	0	0	0	0	0	93,500	7,641
073.20853-DMTF	29,197	39,325	16,615	0	0	0	55,940	59,749	0	0	0	0	0	0	0	0	59,749	25,388
160.20901-Education - New	0	0	2,187,000	0	0	30,000	2,217,000	2,217,000	0	0	0	0	0	0	0	0	2,217,000	0
160.20902-Slate Lottery	9,112	0	153,479	0	0	0	153,479	0	21,189	114,452	606	0	9,732	0	0	0	145,979	16,612
160.20903-VLT - Admin	22,002	0	11,011	0	0	0	11,011	0	3,487	21,403	110	0	2,011	0	0	0	27,011	6,002
160.20904-VLT - Education	4	0	847,000	0	0	0	847,000	836,000	0	0	0	0	0	0	0	11,000	847,000	4
221.20950-Comb Student Ln	18,768	0	22,666	650	0	0	23,316	0	0	21,556	0	0	0	0	0	0	21,556	20,528
225.23651-Mobility Tax Tr	16,920	1,180,000	9,600	0	0	279,440	1,469,040	1,431,575	0	0	0	0	0	0	0	0	1,431,575	54,385
225.23652-MTA Aid Trust	30,876	130,000	181,090	0	0	0	311,090	310,000	0	0	0	0	0	0	0	0	310,000	31,966
300.21001-E F C Admin Acc	(325)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(325)
300.21002-Encon Admin Acc	1,430	0	3,700	0	0	0	3,700	0	3,596	9	0	0	0	0	0	78	3,683	1,447
301.21051-EnCon Energy Ef	22	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	22
301.21052-EnCon-Seized AS	1	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	21
301.21053-Wst Tire Mgr/Re	6,557	0	24,000	0	0	0	24,000	0	10,150	4,054	228	0	4,726	0	0	5,946	25,104	5,453
301.21054-Oil & Gas Accou	149	0	108	0	0	0	108	0	0	98	0	0	0	0	0	48	146	111
301.21055-Marine/Coastal	95	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	108
301.21060-Indirect Charge	4,256	0	0	0	0	10,584	10,584	0	1,562	5,869	61	0	781	0	0	523	8,796	6,044
301.21061-Hazardous Sub B	26	0	350	0	0	0	350	0	179	33	8	0	111	0	0	0	331	45

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2013

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envir R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.21065-Fed Indirect R	(1,683)	0	40	0	0	10,500	10,540	0	8,161	302	0	0	0	0	0	0	8,463	394
301.21066-Low Level Radio	(3,999)	0	3,034	0	0	0	3,034	0	1,758	133	55	0	884	0	0	330	3,160	(4,125)
301.21067-Recreation Acco	(8,538)	0	15,200	0	0	0	15,200	0	8,850	2,958	193	0	1,136	0	0	255	13,392	(6,730)
301.21077-Public Safety R	34	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	34
301.21080-Ericon Magazine	440	0	705	0	0	0	705	0	0	0	0	0	0	0	0	131	445	700
301.21081-Environment Enfr	(20,951)	0	27,000	0	0	261	27,261	0	14,843	3,024	500	0	6,883	0	0	3,115	28,365	(22,055)
301.21082-Natural Resourc	(21,707)	0	4,000	0	0	0	4,000	0	1,943	397	130	0	953	0	0	400	3,823	(21,530)
301.21083-UST-Trust Recov	36	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	48
301.21084-Mined Land Recl	(2)	0	4,210	0	0	0	4,210	0	1,877	117	61	0	849	0	0	1,700	4,604	(396)
301.210R9-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.210S7-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.210TV-ATV DESF	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
301.210ZZ-Monitors-Aggre	16,964	0	2,391	0	0	0	2,391	0	3,097	493	81	0	1,448	0	0	1,714	6,833	12,522
302.21150-Conservation	35,718	0	48,042	0	0	730	48,772	0	23,867	13,022	900	0	12,930	0	0	1,740	52,459	32,031
302.21151-Marine Resource	3,013	0	2,300	0	0	0	2,300	0	1,198	716	72	0	641	0	0	0	2,627	2,686
302.21152-Migratory Bird	208	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	173
302.21153-License Guide	85	0	55	0	0	0	55	0	43	6	1	0	19	0	0	0	69	71
302.21154-Fish And Game T	47,459	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0	0	550	550	49,409
302.21155-Surf Clam/Quaho	286	0	0	0	0	0	0	0	14	29	0	0	1	0	0	0	44	242
302.21156-Habitat Account	290	0	45	0	0	0	45	0	0	24	0	0	0	0	0	0	24	311
302.21157-Venison Donatio	13	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	38
303.21201-Oil Spill - DAC	3	0	121	0	0	705	826	0	528	226	18	0	260	0	0	0	1,032	(203)
303.21202-Oil Sp Relocan	4	0	0	0	0	301	301	0	211	25	6	0	107	0	0	0	349	(44)
303.21203-Oil Spill - DEC	(1)	0	0	0	0	19,300	19,300	0	10,132	747	231	0	4,019	0	0	3,526	18,655	644
303.21204-Oil Spill - DAC	13,407	0	42,000	0	0	0	42,000	0	0	12,604	0	0	0	0	0	20,306	32,910	22,497
303.21205-License Fee Sur	(1)	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	(1)
305.21251-OSH Trng & Educ	4,753	0	24,049	0	0	0	24,049	0	8,941	7,431	241	0	4,621	0	0	866	22,100	6,702
305.21252-OSHA Inspection	157	0	23,440	0	0	0	23,440	0	10,492	5,055	282	0	5,422	0	0	2,188	23,439	158
306.21301-Client Protectn	4,210	0	8,000	0	0	3,750	11,750	0	550	12,250	0	0	98	0	0	0	12,898	3,062
307.21351-Equip Loan Fund	474	0	63	0	0	0	63	0	0	79	0	0	0	0	0	7	86	451
313.21401-Pub Tran Sysms	(671)	105,355	410	0	0	12,000	117,765	93,099	571	373	15	0	295	0	0	0	94,353	22,741
313.21402-Metro Mass Tran	53,908	1,831,945	21,400	0	0	19,100	1,872,445	1,761,713	2,248	246	60	0	1,162	0	0	0	1,765,429	160,924
313.21403-Urban Mass Tran	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(15,396)	0	8,500	0	0	0	8,500	0	3,464	2,207	205	0	1,825	0	0	0	7,701	(14,597)
314.21452-Mobile Source	(1,401)	0	32,000	0	0	0	32,000	0	19,715	5,995	500	0	10,075	0	0	30	36,315	(5,716)
318.21501-Housing Reserve	66	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
321.21551-Legisl Comp R&D	9,964	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	10,731
321.21552-Demographics/Re	58	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	60
332.21651-Brummer Award	37	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	37
332.21652-William Vorce F	228	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	228
332.21653-Rocky Pocanico	24	0	110	0	0	0	110	0	0	51	0	0	0	0	0	0	51	83
332.21654-OMR Nonexpend Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21655-Rockefeller Tru	3,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,000
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	1,181	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	1,181
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	769	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	731
340.22501-CFIA Undistrib	5,009	0	0	0	0	106,000	106,000	104,200	2,000	100	0	0	600	0	0	374	107,274	3,735
341.22552-DEFY-NYC Summer	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
345.22652-L I Vets Home	15,643	0	41,500	0	0	0	41,500	0	25,881	15,369	0	0	0	0	0	0	41,250	15,893
345.22653-S U Genl IFR	374,907	0	649,666	0	0	150	649,816	0	162,099	465,904	0	0	5,834	0	0	37,481	671,318	353,405

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2013

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
345.22654-S U Inc Offset	(44,160)	0	(2,900)	0	0	24,898	21,998	0	0	0	0	0	0	0	0	0	0	(22,162)
345.22655-Gen Rev Offset	5,660	0	1,569,939	0	0	343,250	1,913,189	0	1,436,017	359,115	0	0	0	0	0	128,264	1,923,396	(4,547)
345.22656-S U Hosp Ops	1,062	0	1,739,397	0	0	589,992	2,329,389	0	1,014,907	938,600	0	0	370,753	0	0	57,710	2,381,970	(51,519)
345.22657-SUNY Stabilizat	16,417	0	0	0	0	0	0	0	399	100	0	0	0	0	0	0	499	15,918
345.22658-S U Hosp Sponsd	30,278	0	43,200	0	0	0	43,200	0	36,329	6,726	0	0	0	0	0	0	0	30,423
345.22659-SUNY Tuition Re	73,452	0	(20,380)	0	0	128,264	107,884	0	37,680	58,059	0	0	0	0	0	0	95,739	85,597
345.2266P-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Subst Abuse Srv	4,018	0	4,867	0	0	0	4,867	4,500	0	367	0	0	0	0	0	200	5,067	3,818
349.22751-Lk George Park	1,172	0	1,208	0	0	0	1,208	0	592	250	20	0	259	0	0	197	1,318	1,062
354.22801-MWTIFA	2,844	0	4,700	0	0	0	4,700	4,537	140	4	4	0	72	0	0	0	4,757	2,787
354.22802-St Police MV/En	(40,497)	0	115,000	0	0	0	115,000	0	4,000	5,100	0	0	0	0	0	100,800	109,900	(35,397)
355.22851-Great Lakes Pro	448	0	160	0	0	0	160	0	85	70	3	0	43	0	0	60	261	347
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-DOH Fed Rev Max	(1,555)	0	3,709	0	0	0	3,709	0	0	0	0	0	0	0	0	0	0	2,154
360.22950-Housing Develop	8,903	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	8,951
362.23001-DOT Comm Veh Sa	(2,683)	0	3,068	0	0	0	3,068	0	2,954	483	0	0	0	0	0	0	3,437	(3,052)
365.23051-Vocatl Rehabil	111	0	117	0	0	0	117	61	0	47	0	0	0	0	0	32	140	88
366.23101-Drinking Water	(96)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(96)
366.23102-Drink Water DOH	(7,295)	0	6,665	0	0	0	6,665	0	4,345	503	150	0	2,667	0	0	0	7,665	(8,295)
368.23151-NYCCC Operat Of	(22,439)	0	25,000	0	0	8,700	33,700	0	19,700	3,700	0	0	9,600	0	0	0	33,000	(21,739)
369.23201-Jud Data Proc O	5,225	0	26,000	0	0	0	26,000	0	16,800	0	0	0	7,900	0	0	0	24,700	6,525
377.23267-CUNY Stabilizn	21,187	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21,187
377.2327X-CUNY Tuin Reim	54,057	0	38,585	0	0	0	38,585	0	37,306	141	0	0	0	0	0	0	37,447	55,195
377.2327Y-CUNY Inc Reimb	65,368	0	72,500	0	0	0	72,500	0	37,983	27,801	0	0	6,152	0	0	0	71,936	65,932
385.23501-Lk Placid Train	12	0	85	0	0	0	85	0	0	75	0	0	0	0	0	23	98	(1)
390.23551-Indigent Legal	33,861	0	73,000	0	0	40,000	113,000	74,000	569	25,269	21	0	285	0	0	3,000	103,144	43,717
482.23601-UI Sp Int & Pen	5,536	0	9,600	0	0	0	9,600	0	1,614	8,079	43	0	834	0	0	6,711	17,281	(2,145)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	1,191	0	500	0	0	0	500	200	0	0	0	0	0	0	0	0	1,491
339.21902-S P A R C S	1,088	0	6,609	0	0	1,464	8,073	0	3,648	966	128	0	2,324	0	0	885	1,210
339.21903-OPWDD Provider	158	0	0	0	0	334,097	334,097	327,908	0	0	0	0	0	0	0	6,189	158
339.21904-Fire Prev/Code	0	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	0
339.21905-NYS Twp Police	(2,303)	0	55,263	0	0	0	55,263	0	32,601	0	0	0	16,862	0	0	0	3,497
339.21906-DMV Seiz Assets	271	0	50	0	0	0	50	0	0	178	0	0	0	0	0	0	143
339.21907-Mental Hygiene	158	0	0	0	0	3,041,372	3,041,372	1,101,905	942,523	258,013	25,890	0	480,791	0	0	206,310	26,098
339.21909-M H Patient Inc	4,112	0	0	0	0	3,021,451	3,021,451	261,951	1,365,351	340,804	37,748	0	717,933	0	0	279,970	21,806
339.21911-Fin Cntrl Board	(757)	0	3,455	0	0	0	3,455	0	1,634	841	44	0	850	0	0	0	(671)
339.21912-Reg of Racing	(5,806)	0	9,400	0	0	0	9,400	0	4,361	4,528	200	0	500	0	0	0	(5,995)
339.21913-Tri St Reg Plan	(7,962)	0	0	0	0	13,810	13,810	0	4,207	7,338	154	0	2,110	0	0	0	(7,961)
339.21914-S U Constr Fund	1,253	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,253
339.21915-Quality Care	3,667	0	5,700	0	0	97,863	103,563	7,288	65,549	33,923	0	0	0	0	0	0	470
339.21916-Nurses Aide Reg	1,252	0	4,327	0	0	0	4,327	0	528	3,478	17	0	304	0	0	0	1,252
339.21917-Seized Assets	604	0	50	0	0	0	50	0	0	238	0	0	0	0	0	0	416
339.21918-Child Care & Pr	309	0	70	0	0	0	70	100	0	0	0	0	0	0	0	0	279
339.21919-Cyber Sec Upgr	1,694	0	1,500	0	0	0	1,500	0	0	1,548	0	0	0	0	0	0	1,646
339.21920-Cert of Need	9,919	0	6,516	0	0	0	6,516	0	2,653	987	89	0	1,619	0	0	1,086	10,001
339.21921-Lobbying Enforc	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21922-Retr Community	647	0	131	0	0	0	131	0	25	2	1	0	14	0	0	2	734
339.21923-DOL Fee Penalty	5,814	0	21,950	0	0	0	21,950	0	5,208	764	132	0	2,692	0	0	8,672	10,296
339.21924-Educ Museum	252	0	900	0	0	0	900	0	568	256	22	0	218	0	0	140	(52)
339.21925-Ns Hm Receivshp	2,811	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,836
339.21926-3rd Party Hlth	448	0	1,250	0	0	0	1,250	0	1,251	0	0	0	0	0	0	0	447
339.21927-Boating Noise L	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21928-I Love NY Water	70	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	991
339.21929-Summer Sch Arts	25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	25
339.21930-I Love NY Water	301	0	245	0	0	0	245	0	(12)	25	2	0	8	0	0	64	459
339.21932-Snowmobile	3,760	0	11,400	0	0	0	11,400	4,850	103	355	9	0	62	0	0	0	9,781
339.21933-Tr Surplus Prop	134	0	2,200	0	0	0	2,200	0	0	344	0	0	0	0	0	803	1,187
339.21934-Hosp & Nurs Mgt	(2,624)	0	19,197	0	0	0	19,197	0	15,166	786	190	0	2,513	0	0	376	(2,458)
339.21935-Watershed Ptnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(4)	0	0	0	0	266,523	266,523	0	118,100	143,388	0	0	1,080	0	0	2,900	1,051
339.21938-ODTA Train Cont	858	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,010	(152)
339.21939-ODTA State Matc	238	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	238
339.21940-ODTA Trng Mgmt	499	0	0	0	0	0	0	0	0	0	0	0	0	0	0	65	434
339.21941-Methadone Regis	1	0	242	0	0	0	242	0	0	0	0	0	0	0	0	242	1
339.21943-Energy Research	(1,561)	0	16,158	0	0	0	16,158	9,234	3,432	1,260	594	0	1,638	0	0	0	(1,561)
339.21944-Radiology	1,676	0	6,000	0	0	0	6,000	3,000	1,000	557	35	0	438	0	0	1,350	1,296
339.21945-Crim Jus Improv	12,911	0	53,000	0	0	0	53,000	27,400	3,439	611	93	0	1,777	0	0	26,852	5,739
339.21948-Farm Prod Insp-	237	0	1,750	0	0	0	1,750	0	1,311	170	35	0	482	0	0	0	(11)
339.21950-FgprntID&Tech	6,376	0	12,850	0	0	0	12,850	0	0	18,500	0	0	0	0	0	0	726
339.21953-NY Fire Academy	175	0	468	0	0	0	468	0	274	469	1	0	6	0	0	247	(354)
339.21958-OPDV Training	57	0	7	0	0	0	7	0	0	1	0	0	0	0	0	0	63
339.21959-Envir Lab.Fee A	131	0	3,700	0	0	0	3,700	0	1,999	506	61	0	1,122	0	0	183	(40)
339.21960-Ins St L Adm	646	0	107,670	0	0	0	107,670	32,000	30,166	36,069	2,745	0	15,131	0	0	0	(7,795)
339.21961-Train Mgmt Eval	772	0	2,634	0	0	0	2,634	0	1,584	210	134	0	937	0	0	488	53
339.21962-Clin Lab Refrnc	(20,498)	0	18,059	0	0	0	18,059	0	8,048	2,562	267	0	4,891	0	0	0	(18,207)
339.21964-Pub Emp Rel Bld	573	0	86	0	0	0	86	0	352	39	0	0	0	0	0	0	268
339.21965-Radio Hlth Prot	3,557	0	3,955	0	0	0	3,955	0	2,101	230	73	0	1,335	0	0	216	3,557

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21966-Cons Food Indus	2,351	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,351
339.21967-OHRD St Match	4,193	0	1,352	0	0	0	1,352	0	0	3,398	0	0	0	0	0	0	2,147
339.21968-Educam Library	123	0	65	0	0	0	65	0	0	68	0	0	0	0	0	0	120
339.21969-Teacher Certif	3,046	0	6,800	0	0	0	6,800	0	3,167	791	100	0	1,544	0	0	1,501	2,743
339.21970-Banking Deptmnt	16,013	0	83,578	0	0	0	83,578	0	43,544	13,769	1,353	0	21,684	0	0	2,413	16,828
339.21971-Cable TV Acct	10,756	0	3,475	0	0	0	3,475	0	1,724	102	46	0	891	0	0	0	11,468
339.21972-Econ Devel Asst	298	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	298
339.21973-Fin Svcs Seized	212	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	212
339.21975-ODD Earned Revn	283	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	283
339.21976-Motorcycle Sfty	3,365	0	2,000	0	0	0	2,000	0	85	1,508	1	0	36	0	0	6	3,729
339.21977-Business Licens	7,681	0	73,500	0	0	0	73,500	539	16,803	10,589	460	0	8,031	0	(15)	38,160	6,614
339.21978-Indir Cost Reco	64	0	0	0	0	21,539	21,539	0	9,253	3,850	0	0	5,299	0	0	0	3,201
339.21979-High School Equ	969	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	969
339.21981-Disas Prep Conf	664	0	1	0	0	0	1	0	0	200	0	0	0	0	0	0	464
339.21982-Administration	24	0	10,188	0	0	2,635	12,823	0	7,087	641	252	0	4,554	0	0	0	24
339.21984-Fedl Admin Reim	10,661	0	669	0	0	0	669	0	432	45	12	0	223	0	0	0	10,950
339.21983-Rail Safety Ins	1,142	0	130	0	0	992	1,122	0	0	0	0	0	0	0	0	0	1,099
339.21985-Abandon Prop Au	1	0	16,335	0	0	0	16,335	0	7,500	4,516	56	0	428	0	0	0	1,123
339.21986-Seized Assets	0	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	3,835
339.21987-Spinal Injury	12	0	0	0	0	0	0	0	0	1,642	8	0	143	0	0	0	14
339.21988-Child Supp Rev	4,301	0	0	0	0	0	0	0	244	1	0	0	0	0	0	0	2,264
339.21989-Mult Agen Train	2,410	0	0	0	0	0	0	0	0	0	0	0	0	0	0	75	2,335
339.21990-Dept Law-Seized	11,933	0	0	0	0	32,000	32,000	0	1,672	22,467	66	0	1,036	0	0	0	18,692
339.21991-DMNA-Seiz Asset	398	0	2,300	0	0	0	2,300	0	300	2,236	8	0	156	0	0	0	(2)
339.21992-Critical Infras	921	0	200	0	0	0	200	0	0	325	0	0	0	0	0	0	796
339.21993-Radon Detct Dev	1,021	0	1,998	0	0	0	1,998	0	330	1,161	13	0	196	0	0	0	1,319
339.21994-Insurance Dept	304	0	13	0	0	0	13	0	0	11	0	0	0	0	0	2	304
339.21995-Workers Comp Bd	182,258	1	407,503	0	0	0	407,504	216,102	90,303	49,239	3,163	0	45,246	0	0	0	185,709
339.21996-Fire Protection	36,120	0	197,963	0	0	0	197,963	0	87,805	60,820	2,354	0	45,231	0	0	0	37,873
339.21997-Conf Fee Acct	79	0	25	0	0	0	25	0	0	0	0	0	0	0	0	13	91
339.21998-Public Work Erif	6	0	5	0	0	0	5	0	0	31	0	0	0	0	0	0	(20)
339.21999-Asset Forfeitur	884	0	6,148	0	0	0	6,148	0	1,477	318	40	0	763	0	0	2,930	1,504
339.219A2-MMIA	486	0	550	0	0	0	550	0	0	550	0	0	0	0	0	0	486
339.219AC-Non-Ivd Wage Wl	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AF-Hosp Grants	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advis	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AR-Adopt Info Regi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AS-Quality Assuran	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219BO-Primary Care In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BU-Land Utilizatio	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219C2-Jones Bch Theat	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CB-FS Reinvestment	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CD-Daycare Earned	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.219CH-Child Hlth Ins	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219D1-Food Stp Rec Fr	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DM-EAD Metallurgl	(1)	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	(1)
339.219DN-Fines Penalties	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.219E7-Unif Commc Cdt	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Srvs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
339.219FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Presor F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Genl Opens	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Probim Solv Cou	65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	65
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF--Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219WE-Medicaid Train	0	0	(1,000)	0	0	0	(1,000)	0	0	0	0	0	0	0	0	0	(1,000)
339.219XX-A&M-Aggregated	3,889	0	15,044	0	0	0	15,044	0	748	14,825	23	0	387	0	0	139	2,811
339.219YL-OGS Bldg Admin	2,087	0	8,890	0	0	0	8,890	0	2,957	3,036	80	0	1,528	0	0	1,000	2,376
339.219YN-OGS Std & Purch	2,912	0	5,636	0	0	0	5,636	0	721	851	19	0	373	0	0	3,000	3,584
339.219Z3-MHPPIA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZT-FHPEP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	774	0	2,500	0	0	0	2,500	1,626	205	0	6	0	112	0	0	41	1,284
339.22002-Trn Mtls Regist	58	0	6	0	0	0	6	0	0	25	0	0	0	0	0	0	39
339.22003-Bell Jar Collec	1	0	2,100	0	0	0	2,100	0	649	348	20	0	358	0	0	202	524
339.22004-Ind & Util Serv	1,731	0	3,075	0	0	0	3,075	0	1,535	0	74	0	837	0	0	441	1,919
339.22009-Asbestos Trning	(121)	0	330	0	0	0	330	0	338	17	4	0	75	0	0	0	(225)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	40,430	0	73,887	0	0	0	73,887	0	38,783	7,159	1,075	0	20,044	0	0	0	47,256
339.22012-Atty Licensing	3,230	0	37,000	0	0	0	37,000	0	18,600	7,600	0	0	6,300	0	0	3,750	3,980
339.22014-DSS Prov Recovs	188	0	3,700	0	0	0	3,700	0	3,700	0	0	0	0	0	0	0	188
339.22015-Crimes Against	1,716	0	0	0	0	16,000	16,000	15,000	0	0	0	0	0	0	0	0	2,716
339.22017-Camp Smith Bill	46	0	197	0	0	0	197	0	131	9	4	0	47	0	0	0	52
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22021-Reg Manu Hsg	262	0	200	0	0	0	200	0	0	0	0	0	0	0	0	100	362
339.22022-College Savings	2,089	0	813	0	0	0	813	0	198	985	18	0	99	0	0	0	1,602
339.22023-Discover Queens	10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10
339.22024-Reven Arrearage	18,005	0	25,000	0	0	0	25,000	0	2,106	2,211	54	0	1,000	0	0	24,380	13,254
339.22025-Provider Assess	8,745	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,745
339.22026-Cell Phone Towe	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
339.22027-Spec Conserv Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-Central Registry	370	0	5,017	0	0	0	5,017	0	101	0	4	0	42	0	0	4,822	418

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.220209-Plant Industry	288	0	322	0	0	0	322	0	352	0	9	0	182	0	0	0	67
339.22030-Food Stp Rec Fr	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22032-Batavia School	(5,699)	0	9,600	0	0	900	10,500	0	5,581	655	152	0	2,885	0	0	0	(4,472)
339.22033-Alcohol Beverag	2,974	0	0	0	0	17,373	17,373	0	8,185	5,224	220	0	4,230	0	0	0	2,488
339.22034-Investment Serv	91	0	3,406	0	0	0	3,406	0	2,025	665	62	0	1,136	0	0	541	(932)
339.22035-Diabetes Resear	54	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	60
339.22037-Keep Kids Drug	10	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	19
339.22038-OMRDD Day Svs	(2,178)	0	40,000	0	0	0	40,000	0	0	0	0	0	0	0	0	40,000	(2,178)
339.22039-OSDC Finan Over	(1,028)	0	4,923	0	0	0	4,923	0	2,679	174	79	0	1,373	0	0	0	(410)
339.22040-Senate Recyclab	467	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	487
339.22041-Medicaid Fraud	14,041	0	13,085	0	0	0	13,085	0	5,970	2,456	162	0	3,104	0	0	0	15,434
339.22042-DED Marketing A	4,512	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	4,522
339.22044-Tug Hill Admin	64	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	60
339.22045-Settlement Enf	464	0	900	0	0	0	900	850	0	50	0	0	0	0	0	0	464
339.22046-Indian Gaming	(96,504)	0	7,742	0	0	0	7,742	0	12,898	1,456	429	0	6,616	0	0	0	(110,161)
339.22047-NYS FLEX Spend	1	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	1
339.22050-Crime Victims B	13	0	54	0	0	0	54	0	0	54	0	0	0	0	0	0	13
339.22051-Ofc of Professi	11,745	0	48,272	0	0	0	48,272	0	18,414	8,911	495	0	9,516	0	0	5,999	16,682
339.22052-Armory Rental A	1,113	0	1,825	0	0	0	1,825	0	650	973	27	0	203	0	0	0	1,085
339.22053-Rome School	(699)	0	9,600	0	0	900	10,500	0	4,436	590	119	0	2,293	0	0	0	2,363
339.22054-Seized Assets	(11,357)	0	8,725	0	0	43	8,768	0	0	8,725	0	0	0	0	0	0	(11,314)
339.22055-Traf Adjdicatn	(3,232)	0	41,500	0	0	0	41,500	0	20,661	9,164	451	0	10,086	0	0	0	(2,094)
339.22056-Fed Salary Shar	3,653	0	0	0	0	2,700	2,700	390	2,297	148	72	0	1,187	0	0	62	2,197
339.22057-Cook/Chill Acco	249	0	2,100	0	0	0	2,100	0	0	2,100	0	0	0	0	0	0	249
339.22058-Client Notices	63	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	63
339.22060-Credentia! Svs	82	0	948	0	0	0	948	0	0	0	0	0	0	0	0	935	95
339.22061-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43	0
339.22062-NYC Assessment	29,928	0	80,077	0	0	0	80,077	0	36,881	24,553	1,420	0	19,192	0	0	0	27,959
339.22063-Cultural Educat	(11,917)	0	28,750	0	0	0	28,750	0	12,048	5,609	320	0	6,226	0	0	2,081	(9,451)
339.22064-Distance Learn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22065-Exam & Misc Rev	2,421	0	3,150	0	0	0	3,150	0	350	800	11	0	168	0	0	1,566	2,676
339.22067-Trans Regul Acc	13,481	0	4,800	0	0	0	4,800	0	2,131	350	57	0	1,101	0	0	0	14,642
339.22068-Cons Prot Acct	1,220	0	91	0	0	0	91	0	236	95	8	0	106	0	0	0	866
339.22070-OER NASDER	117	0	24	0	0	0	24	0	0	31	0	0	0	0	0	0	110
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22073-Fed Liability	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50	0
339.22074-FMS Account	5,829	0	0	0	0	48,000	48,000	0	10,814	41,787	0	0	0	0	0	0	1,228
339.22075-Funeral	1,024	0	415	0	0	0	415	0	239	20	8	0	135	0	0	8	1,029
339.22076-FSHRP	(1)	0	0	0	0	175,000	175,000	175,000	0	0	0	0	0	0	0	0	(1)
339.22077-Educ Archives	139	0	15	0	0	0	15	0	0	75	0	0	0	0	0	0	79
339.22078-Local Services	509	0	1,100	0	0	0	1,100	0	574	0	32	0	357	0	0	26	620
339.22079-DOT-Accident Da	8,317	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,911	2,406
339.22080-Adult Shelter	2,160	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,160
339.22081-QAA Earned Rev	391	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	391
339.22082-Family Pres Svc	1,559	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	1,561
339.22083-Electronic Bene	4,399	0	0	0	0	0	0	4,000	0	0	0	0	0	0	0	0	399
339.22084-Federal-Seized	29	0	0	0	0	12	12	0	0	90	0	0	0	0	0	0	(49)
339.22085-DHCR Mortgage S	(1,514)	0	7,960	0	0	0	7,960	0	3,896	163	112	0	1,903	0	0	0	372
339.22086-OMH-Research OH	69	0	2,848	0	0	0	2,848	0	102	2,746	0	0	0	0	0	0	69
339.22087-DMV-Compulsory	1,423	0	27,600	0	0	0	27,600	0	9,416	1,512	184	0	4,724	0	0	15,368	(2,181)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22088-Prof Medic Cond	7,106	0	25,201	0	0	0	25,201	0	12,608	5,825	448	0	6,290	0	0	0	7,136
339.22089-Hwy Const & Ma	1,178	0	260	0	0	0	260	0	0	128	0	0	0	0	0	0	1,310
339.22090-Housing Indirec	(512)	0	3,050	0	0	0	3,050	0	2,086	302	62	0	1,019	0	0	0	(931)
339.22091-Adlt Hme Qlty E	731	0	657	0	0	0	657	0	0	293	0	0	0	0	0	21	1,074
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22094-Accid Prevent C	814	0	1,000	0	0	0	1,000	0	151	398	4	0	78	0	0	606	577
339.22095-IG Szd Assets	98	0	85	0	0	0	85	0	0	87	0	0	0	0	0	0	96
339.22096-Leg Svcs Assist	4,908	0	13,600	0	0	0	13,600	12,300	0	0	0	0	0	0	0	0	6,208
339.22097-Loc Pub Hlth	2,576	0	950	0	0	0	950	0	118	24	12	0	65	0	0	5	3,302
339.22098-Local Dist Trai	374	0	177	0	0	0	177	0	0	231	0	0	0	0	0	0	320
339.22099-Voling Mach Exa	298	0	0	0	0	0	0	0	0	165	0	0	0	0	0	0	133
339.220DZ-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22100-DHCR HCA Applic	1,150	0	2,395	0	0	0	2,395	0	1,183	320	36	0	578	0	0	0	1,428
339.22101-EPIC Premium Ac	54,589	0	0	0	0	0	0	0	0	0	0	0	0	0	0	54,000	589
339.22102-Drug Enforce Ta	88	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	88
339.22103-Vital Rec Mgmt	2,695	0	4,257	0	0	0	4,257	0	1,181	101	37	0	680	0	0	2,252	2,701
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
339.22108-Hwy Rev/Soc Sec	1,296	0	267	0	0	0	267	0	0	186	0	0	0	0	0	50	1,327
339.22109-Conference & Sp	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22110-Asst Living Res	196	9	95	0	0	0	104	95	0	0	0	0	0	0	0	9	196
339.22111-OCFS Program	2,175	0	9	0	0	0	9	0	85	582	0	0	0	0	0	0	1,517
339.22112-OTDA Income Acc	1,353	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,348	5
339.22114-Disabil Determs	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339.22115-OMRDD-Jt Clinic	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22117-Litigation Sett	21,498	0	66,214	0	0	0	66,214	0	19,964	32,527	538	0	10,380	0	0	0	24,303
339.22118-Animal Populati	187	0	1,050	0	0	0	1,050	0	0	1,000	0	0	0	0	0	0	237
339.22119-Love Your Libra	38	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	44
339.22122-Local Wireless	103	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	103
339.22123-Pub Safe Commun	53,995	0	115,388	0	0	0	115,388	57,634	8,014	54,800	0	0	4	0	0	20,000	28,931
339.22124-Cuba Lake Mgmt	178	0	200	0	0	0	200	0	0	191	0	0	0	0	0	0	187
339.22126-St Justice Inst	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22128-Med Reimb Acct	558	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	558
339.22130-Low Inc Housing	2,029	0	2,758	0	0	0	2,758	0	1,070	(40)	32	0	522	0	0	0	3,203
339.22131-Provider 900	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22132-New York Alert	91	0	3,084	0	0	0	3,084	0	0	2,100	0	0	0	0	0	0	1,075
339.22133-Procure Op News	980	0	275	0	0	0	275	0	40	135	0	0	0	0	0	100	980
339.22134-CVB Restitution	921	0	577	0	0	0	577	0	427	150	0	0	0	0	0	0	921
339.22135-EFC Corp Admin	(41)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(41)
339.22136-Food Prod Ctr	949	0	1,000	0	0	0	1,000	0	82	774	2	0	42	0	0	0	1,049
339.22137-Pet Dealer	28	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	60
339.22138-Auth Bdgt Office	22	0	1,989	0	0	1,826	3,815	0	959	250	28	0	502	0	0	39	2,059
339.22139-Patient Safety	174	0	477	0	0	0	477	0	0	403	0	0	0	0	0	73	175
339.22140-Helen Hayes Hos	2,023	0	115	0	0	52,381	52,496	0	33,399	19,323	133	0	0	0	0	0	1,664
339.22141-NYC Veterans	2,689	0	350	0	0	32,307	32,657	0	14,546	10,983	63	0	6,872	0	0	0	2,882
339.22142-NYS Home-Vetera	4,225	0	120	0	0	21,871	21,991	0	14,624	7,169	79	0	0	0	0	0	4,344
339.22143-WNY Vets Home	1,978	0	55	0	0	11,447	11,502	0	8,232	3,142	81	0	0	0	0	0	2,025
339.22144-Montrose S V H	2,956	0	30	0	0	21,832	21,862	0	15,291	6,509	111	0	0	0	0	0	2,907
339.22145-DOH Hospital Ho	1,397	0	0	0	0	107,841	107,841	0	0	0	0	0	0	0	0	107,531	1,707

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22146-Spec Energy Adm	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	4,009	0	1,342	0	0	2,500	3,842	0	0	1,609	0	0	0	0	0	0	6,242
339.22149-Motor Fuel Qual	610	0	2,800	0	0	0	2,800	0	925	1,305	25	0	478	0	0	200	477
339.22150-Weights Measure	424	0	350	0	0	0	350	0	119	32	4	0	61	0	0	30	528
339.22151-Defcr Comp Adm	(149)	0	820	0	0	0	820	0	363	178	11	0	191	0	0	0	(72)
339.22152-Hazard Abatement	64	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	114
339.22153-Education Stats	159	0	0	89	0	0	89	0	0	38	0	0	0	0	0	0	210
339.22154-Real Estate Fin	4,597	0	1,000	0	0	0	1,000	0	749	1,028	19	0	390	0	0	0	3,411
339.22156-NYC Rent Rev	3,082	0	38,844	0	0	0	38,844	0	22,668	3,228	703	0	12,205	0	0	0	3,122
339.22157-Medicaid Income	(882)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(882)
339.22158-Rent Revenue	(498)	0	850	0	0	0	850	0	508	0	16	0	274	0	0	0	(446)
339.22159-CSFP Salvage Ac	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22161-ES Stiem Cell Tr	6,519	0	0	0	0	43,500	43,500	0	0	43,500	0	0	0	0	0	0	6,519
339.22162-Systems & Tech	3,128	0	7,300	0	0	0	7,300	0	2,678	2,092	83	0	1,297	0	0	833	3,445
339.22163-OPR Patron Serv	8,993	0	59,520	0	0	0	59,520	0	27,721	28,675	0	0	3,527	0	0	0	8,590
339.22165-Trans Aviatn	2,677	0	3,660	0	0	0	3,660	0	117	3,466	3	0	60	0	0	0	2,691
339.22166-Teacher Ed Accr	86	0	86	0	0	0	86	0	0	37	0	0	0	0	0	21	114
339.22167-Training Academ	53	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	53
339.22168-Tax Rev Arrear	(2,138)	0	2,700	0	0	0	2,700	0	0	1,795	0	0	0	0	0	0	(1,233)
339.22169-TSCR Account	594	0	129,277	0	0	0	129,277	32,319	0	0	0	0	0	0	0	104,011	(6,459)
339.22170-Statewide Gamini	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	2,140	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	3,080
339.22172-Undgrnd Sfty T	196	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	131
339.22173-Vol Fire Rec&Re	280	0	200	0	0	0	200	100	0	0	0	0	0	0	0	0	380
339.22174-HAVA Match	1,622	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,622
339.22175-VRSS	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22177-Occ Hlth Clinic	6,824	0	9,000	0	0	0	9,000	0	434	7,732	50	0	75	0	0	0	7,533
339.22178-Crim Back Check	376	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	376
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22182-OWIG Adm Reimb	3,317	0	24	0	0	1,500	1,524	0	408	458	27	0	221	0	0	0	3,727
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	625	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	665
339.22186-Yth Fac PerDiem	1	0	152,416	0	0	0	152,416	0	0	0	0	0	0	0	0	152,416	1
339.22187-Provider Assess	1	0	793,500	0	0	0	793,500	793,500	0	0	0	0	0	0	0	0	1
339.22188-Fed Indirect Re	142	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142
339.22189-DOCS Asset Forf	188	0	14	0	0	0	14	0	0	14	0	0	0	0	0	0	188
339.22190-Conference&Sign	107	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	107
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Admin Cost Rec	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339.22193-Sales Tax Re Fe	264	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	264
339.22195-Equitable Shari	2,461	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,461
339.22196-C & F Qual Enhn	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-UL TVI RADIA DEV	110	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	110
339.22198-HEP	109	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(191)
339.22199-Airport Securit	158	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	158
339.22200-Greenwood Lake	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22202-SBCI Account	1	0	0	0	0	0	0	18,266	0	0	0	0	0	0	0	0	(18,265)
339.22203-Article X Inter	1	0	0	0	0	0	0	300	0	0	0	0	0	0	0	0	(299)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2013
(thousands of dollars)**

	<u>002</u>	<u>072</u>	<u>074</u>	<u>075</u>	<u>076</u>	<u>077</u>	<u>078</u>	<u>079</u>	<u>080</u>	<u>101</u>	<u>105</u>	<u>109</u>	<u>115</u>
Opening Fund Balance	0	(16,206)	130,311	3,410	(5,424)	14	38,824	0	88	164	713	3,391	1,310
Receipts:													
Taxes	0	1,281,900	0	0	0	0	119,100	0	0	0	0	0	0
Miscellaneous Receipts	1,799,785	1,548,457	0	1,800	86,542	0	14,400	0	0	0	0	0	0
Federal Grants	0	5,359	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	1,799,785	2,835,716	0	1,800	86,542	0	133,500	0	0	0	0	0	0
Disbursements:													
Grants to Local Governments	977,754	66,736	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,939,729	2,171,642	46,600	1,800	85,791	0	158,300	0	0	0	0	0	0
Total Disbursements	2,917,483	2,238,378	46,600	1,800	85,791	0	158,300	0	0	0	0	0	0
Other Financing Sources (Uses):													
Transfers from Other Funds	1,141,734	840,734	46,600	0	0	0	0	343	0	0	0	0	0
Transfers to Other Funds	(17,436)	(1,438,073)	0	0	(751)	0	0	0	0	(25)	(600)	(100)	(1,500)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	25	600	100	1,500
Net Other Financing Sources (Uses)	1,124,298	(597,339)	46,600	0	(751)	0	0	343	0	0	0	0	0
Change in Fund Balance	6,600	(1)	0	0	0	0	(24,800)	343	0	0	0	0	0
Closing Fund Balance	6,600	(16,207)	130,311	3,410	(5,424)	14	14,024	343	88	164	713	3,391	1,310
Opening Fund Balance	121	123	124	126	127	291	310	312	327	357	358	374	376
101,080	4,257	7,942	2,837	7,554	(136,870)	894	(186,179)	504	(4,114)	0	(20,395)	(121,426)	
Receipts:													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	10	112,250	0	19,000	0	0	120,060
Federal Grants	0	0	0	0	0	2,185,149	0	0	0	0	0	0	0
Total Receipts	0	0	0	0	0	2,185,149	10	112,250	0	19,000	0	0	120,060
Disbursements:													
Grants to Local Governments	0	0	0	0	0	819,814	0	0	0	0	0	0	120,635
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	1,030,847	10	112,567	0	19,000	0	0	0
Total Disbursements	0	0	0	0	0	1,850,661	10	112,567	0	19,000	0	0	120,635
Other Financing Sources (Uses):													
Transfers from Other Funds	0	0	0	0	0	0	0	13,700	0	0	0	0	575
Transfers to Other Funds	(340,749)	(1,000)	(4,000)	(2,000)	(50,343)	(332,060)	0	(28,750)	0	0	0	0	0
Bond & Note Proceeds	340,749	1,000	4,000	2,000	50,343	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	0	0	(332,060)	0	(15,050)	0	0	0	0	575
Change in Fund Balance	0	0	0	0	0	2,428	0	(15,367)	0	0	0	0	0
Closing Fund Balance	101,080	4,257	7,942	2,837	7,554	(134,442)	894	(201,546)	504	(4,114)	0	(20,395)	(121,426)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2013
(thousands of dollars)**

	<u>378</u>	<u>380</u>	<u>384</u>	<u>387</u>	<u>388</u>	<u>389</u>	<u>399</u>	<u>CPO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	17,933	(11,476)	149,400	21,789	(23)	(355,364)	(84,319)	0	(449,381)	0	(449,381)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	1,401,000	0	1,401,000
Miscellaneous Receipts	1,000	0	70,000	27,500	0	201,893	231,765	1	4,234,463	0	4,234,463
Federal Grants	0	0	0	0	0	0	0	0	2,190,508	0	2,190,508
Total Receipts	1,000	0	70,000	27,500	0	201,893	231,765	1	7,825,971	0	7,825,971
Disbursements:											
Grants to Local Governments	0	0	0	25,000	0	93,676	0	0	2,103,615	0	2,103,615
State Operations	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,000	0	70,000	4,900	0	67,726	253,265	0	5,963,177	0	5,963,177
Total Disbursements	1,000	0	70,000	29,900	0	161,402	253,265	0	8,066,792	0	8,066,792
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	(519)	0	0	1,750	21,500	0	2,066,417	(738,679)	1,327,738
Transfers to Other Funds	0	0	0	0	0	0	0	0	(2,217,387)	738,679	(1,478,708)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	400,317	0	400,317
Net Other Financing Sources (Uses)	0	0	(519)	0	0	1,750	21,500	0	249,347	0	249,347
Change in Fund Balance	0	0	(519)	(2,400)	0	42,241	0	1	8,526	0	8,526
Closing Fund Balance	17,933	(11,476)	148,881	19,389	(23)	(313,123)	(84,319)	1	(440,855)	0	(440,855)

**CASH COMBINING STATEMENT
DEBT SERVICE
FY 2013
(thousands of dollars)**

	<u>064</u>	<u>304</u>	<u>311</u>	<u>316</u>	<u>319</u>	<u>320</u>	<u>361</u>	<u>364</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	0	93,387	0	0	29,012	305,068	0	0	427,467	0	427,467
Receipts:											
Taxes	0	0	10,064,000	0	0	0	565,900	2,827,225	13,457,125	0	13,457,125
Miscellaneous Receipts	0	351,523	0	11,003	127,830	505,204	0	500	996,060	0	996,060
Federal Grants	0	0	78,803	0	0	0	0	0	78,803	0	78,803
Total Receipts	0	351,523	10,142,803	11,003	127,830	505,204	565,900	2,827,725	14,531,988	0	14,531,988
Disbursements:											
Grants to Local Governments	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	6,085	23,631	0	1,555	10,110	0	5,544	46,925	0	46,925
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	325,593	5,239,446	12,003	28,184	102,134	0	392,416	6,099,776	0	6,099,776
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	0	331,678	5,263,077	12,003	29,739	112,244	0	397,960	6,146,701	0	6,146,701
Other Financing Sources (Uses):											
Transfers from Other Funds	0	3,116,882	3,370,680	1,000	42,069	0	0	0	6,530,631	(225,245)	6,305,386
Transfers to Other Funds	0	(3,111,957)	(8,250,407)	0	(140,148)	(313,273)	(565,900)	(2,429,764)	(14,811,449)	225,245	(14,586,204)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	4,925	(4,879,727)	1,000	(98,079)	(313,273)	(565,900)	(2,429,764)	(8,280,818)	0	(8,280,818)
Change in Fund Balance	0	24,770	(1)	0	12	79,687	0	1	104,469	0	104,469
Closing Fund Balance	0	118,157	(1)	0	29,024	384,755	0	1	531,936	0	531,936

CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2013
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	41,645	0	60,288	0	0	0	60,288	0	26,797	16,134	721	0	13,849	0	0	0	57,501	44,432
323.55020-OGS Ent Contr	0	0	500,000	0	0	0	500,000	0	600	499,074	16	0	310	0	0	0	500,000	0
323.550ML-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centrl Sv	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
323.5502X-OGS Exec Direct	(48,720)	0	189,472	0	0	0	189,472	0	2,025	185,897	54	0	1,047	0	0	88,662	277,685	(136,933)
323.5502Y-OGS Bldg Admin	5,355	0	23,322	0	0	0	23,322	0	1,769	15,382	48	0	914	0	0	0	18,113	10,564
323.5502Z-OGS Std & Purch	(8,226)	0	18,720	0	0	0	18,720	0	2,333	12,645	63	0	1,206	0	0	0	16,247	(5,753)
334.55050-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
334.55052-Educ-Archives R	1,087	0	1,500	0	0	0	1,500	0	854	80	27	0	303	0	0	0	1,264	1,323
334.55053-Fedl Single Aud	2,290	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,290
334.55054-Quick Copy Cent	803	0	600	0	0	0	600	0	157	400	5	0	77	0	0	0	639	764
334.55055-CS Administrat	5,134	0	5,963	0	0	0	5,963	0	3,408	2,384	103	0	1,750	0	0	0	7,645	3,452
334.55056-EHS Occup Hlth	(57)	0	870	0	0	0	870	0	578	456	17	0	294	0	0	0	1,345	(532)
334.55057-Banking Service	(4)	0	3,974	0	0	0	61,450	0	0	61,450	0	0	0	0	0	0	61,450	(4)
334.55058-Cult Resources	(2,215)	0	7,329	0	0	0	7,329	0	1,380	3,137	44	0	754	0	0	278	5,593	(479)
334.55059-Neighbor Work P	(5,676)	0	7,300	0	0	0	7,300	0	0	7,200	0	0	0	0	0	0	7,200	(5,576)
334.55060-Auto/Print Chgb	2,526	0	16,500	0	0	0	16,500	0	8,085	4,150	0	0	4,178	0	0	0	16,413	2,613
334.55061-NYT Account	19,219	0	88,938	0	0	0	88,938	0	8,623	77,183	238	0	4,591	0	0	0	90,635	17,522
334.55062-State Data Ctr	37,203	0	118,199	0	0	0	118,199	0	21,256	83,028	636	0	11,482	0	0	0	116,402	39,000
334.55063-Human Svcs Tele	4,757	0	27,339	0	0	0	27,339	0	8,911	16,576	240	0	5,153	0	0	0	30,880	1,216
334.55065-OMRDD Copy Ctr	985	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	985
334.55066-Intrusion Detec	(553)	0	1,528	0	0	0	1,528	0	0	1,350	0	0	0	0	0	0	1,350	(375)
334.55067-Dom Violence Gr	(228)	0	750	0	0	0	750	0	650	97	3	0	0	0	0	0	750	(228)
334.55068-Statewide Train	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
334.55069-Cent Tech Svcs.	(3,006)	0	3,000	0	0	22,000	25,000	0	676	25,820	24	0	478	0	0	0	26,998	(5,004)
334.55070-Learning Mgmt S	1,735	0	2,060	0	0	0	2,060	0	1,051	944	26	0	519	0	0	0	2,540	1,255
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Financng	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Serv	(117)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(117)
343.55100-Mental Hygiene	332	0	1,967	0	0	48	2,015	0	956	1,144	31	0	550	0	0	58	2,739	(392)
347.55150-DFY Voc Educatn	55	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	80
394.55200-Joint Labor-Mgt	2,013	0	2,000	0	0	0	2,000	0	857	377	28	0	442	0	0	0	1,704	2,309
395.55251-Ex Dir Intl Aud	424	0	1,550	0	0	0	1,550	0	2,112	314	68	0	1,030	0	0	0	3,524	(1,550)
396.55300-Health Ins Intr	(12,135)	0	14,121	0	0	7,843	21,964	0	10,294	2,649	311	0	5,304	0	0	0	18,558	(8,729)
396.55301-CS EBD Adm Reim	(2,855)	0	4,500	0	0	240	4,740	0	1,882	677	48	0	972	0	0	0	3,579	(1,694)
397.55350-Corr Industries	39	0	49,000	0	0	9,500	58,500	0	17,609	30,289	913	0	9,689	0	0	0	58,500	39

CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2013
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	183	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	183
325.50050-State Fair Rece	3,977	0	17,500	0	0	0	17,500	0	4,900	10,483	132	0	1,801	0	0	0	17,316	4,161
326.50100-DOCS Commissary	1,887	0	37,347	0	0	0	37,347	0	0	37,267	0	0	0	0	0	0	37,267	1,967
331.50301-Publications	16	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	16
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matris	171	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	195
331.50311-Arts Oxford Vet	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	10	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	10
331.50318-Convention Ctr	264	0	1,172	0	0	0	1,172	0	574	95	15	0	297	0	0	0	981	455
331.50319-DOCS Empl Mess	(130)	0	1,256	0	0	0	1,256	0	268	757	10	0	144	0	0	0	1,179	(53)
331.50322-Asset Preservat	45	0	14	0	0	0	14	0	0	17	0	0	0	0	0	0	17	42
331.50323-Farm Program	1,033	0	422	0	0	0	422	0	0	422	0	0	0	0	0	0	422	1,033
331.503NY-NY-Alert	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	0
331.503ZZ-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
351.50400-OMH Shelt Wkshs	1,725	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	1,725
352.50450-MR Shel Wrkshop	1,122	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,022
353.50500-MH & MR Communi	2,945	0	2,200	0	0	17	2,217	0	381	1,172	12	0	217	0	0	23	1,805	3,357
353.50516-MR Community St	98	0	660	0	0	0	660	0	217	326	9	0	108	0	0	0	660	98
450.259SF-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U I Benefit Frnd	(130,584)	2,356,000	0	1,000,000	0	0	3,356,000	0	0	0	0	3,356,000	0	0	0	0	3,356,000	(130,584)
481.50651-Interest Assess	41,533	0	105,000	0	0	0	105,000	0	0	105,000	0	0	0	0	0	0	105,000	41,533
481.506FS-Federal Stimulu	171,769	0	0	2,300,000	0	0	2,300,000	0	0	0	0	2,300,000	0	0	0	0	2,300,000	171,769

CAS FUND NUMBER	SFS FUND RANGE	FUND NAME	FUND CLASSIFICATION
001	10000-10049	Local Assistance Account	General
002	30000-30049	State Capital Projects	Capital Projects
003	10050-10099	State Operations Account	General
004	10100-10149	Tax Stabilization Reserve	General
005	10150-10199	Contingency Reserve	General
006	10200-10249	Universal Pre-Kindergarten Reserve	General
007	10250-10299	Community Projects	General
008	10300-10349	Rainy Day Reserve Fund	General
013	10350-10399	Attica State Employee Victims'	General
014	10600-10649	Federal Medical Assistance Percentage Contingency Fund	General
017	10400-10449	Refund Reserve Account	General
019	20000-20099	Mental Health Gift and Donations	Special Revenue
020	20100-20299	Combined Expendable Trust	Special Revenue
021	66000-66049	Agriculture Producers' Security	Private Purpose Trust
022	66050-66099	Milk Producers' Security	Private Purpose Trust
023	20300-20349	New York Interest on Lawyer Account (IOLA)	Special Revenue
024	20350-20399	New York State Archives Partnership Trust	Special Revenue
025	20400-20449	Child Performer's Protection	Special Revenue
050	20450-20499	Tuition Reimbursement	Special Revenue
052	20500-20549	New York State Local Government Records Management Improvement	Special Revenue
053	20550-20599	School Tax Relief	Special Revenue
054	20600-20649	Charter Schools Stimulus	Special Revenue
055	20650-20699	Not-For-Profit Short-Term Revolving Loan	Special Revenue
056	20700-20749	Hudson River Valley Greenway	Special Revenue
059	20750-20799	Rehabilitative Alcohol and Substance Abuse Treatment	Special Revenue
061	20800-20849	Health Care Reform Act Resources	Special Revenue
064	40000-40049	Debt Reduction Reserve	Debt Service
065	40050-40099	State University Construction Fund Educational Facilities Payment	Debt Service
072	30050-30099	Dedicated Highway and Bridge Trust	Capital Projects
073	20850-20899	Dedicated Mass Transportation Trust	Special Revenue
074	30100-30299	SUNY Residence Halls Rehabilitation and Repair	Capital Projects
075	30300-30349	NYS Canal System Development	Capital Projects
076	30350-30399	State Park Infrastructure	Capital Projects
077	30400-30449	Passenger Facility Charge	Capital Projects
078	30450-30499	Environmental Protection	Capital Projects

CAS FUND NUMBER	SFS FUND RANGE	FUND NAME	FUND CLASSIFICATION
079	30500-30549	Clean Water/Clean Air Implementation	Capital Projects
080	30550-30599	Hudson River Park	Capital Projects
100	10450-10499	General Fund	General
101	30600-30609	Energy Conservation Thru Improved Transportation Bond	Capital Projects
103	30610-30619	Park and Recreation Land Acquisition Bond	Capital Projects
105	30620-30629	Pure Waters Bond	Capital Projects
106	30750-30799	Outdoor Recreation Development Bond	Capital Projects
109	30630-30639	Transportation Capital Facilities Bond	Capital Projects
115	30640-30649	Environmental Quality Protection	Capital Projects
118		Rail Preservation and Development Bond	Capital Projects
119	30700-30749	State Housing Bond	Capital Projects
121	30650-30659	Rebuild and Renew New York Transportation Bond	Capital Projects
123	30660-30669	Transportation Infrastructure Renewal Bond	Capital Projects
124	30670-30679	Environmental Quality Bond Act (1986)	Capital Projects
126	30680-30689	Accelerated Capacity and Transportation Improvements Bond	Capital Projects
127	30690-30699	Clean Water/Clean Air Bond	Capital Projects
129	60000-60049	Not-For-Profit School Capital Facilities Financing Reserve	Agency
130	60050-60149	School Capital Facilities Financing Reserve	Agency
135	60150-60199	Child Performer's Holding	Agency
136	60150-60199	Child Performer's Holding	Agency
137	60150-60199	Child Performer's Holding	Agency
152	60200-60249	Employees Health Insurance	Agency
153	60250-60299	Social Security Contribution	Agency
154	60300-60399	Payroll Deduction Escrow	Agency
160	20900-20949	State Lottery	Special Revenue
162	60400-60449	Employees Dental Insurance	Agency
163	60450-60499	Management Confidential Group Insurance	Agency
165	60500-60549	Lottery Prize	Agency
166	10500-10549	Fringe Benefit Escrow	General
167	60550-60599	Health Insurance Reserve Receipts	Agency
169	60600-60799	Miscellaneous New York State Agency	Agency
174	n/a	State Aid and Local Assistance Revenue Withhold Fund	Agency
175	60800-60849	EPIC Escrow	Agency
176	60850-60899	CUNY Senior College Operating	Agency

CAS FUND NUMBER	SFS FUND RANGE	FUND NAME	FUND CLASSIFICATION
179	60900-60949	MMIS Statewide Escrow	Agency
221	20950-20999	Combined Student Loan	Special Revenue
225	23650-23699	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
261	25000-25099	Federal USDA/Food and Nutrition Services	Special Revenue
265	25100-25199	Federal Health and Human Services	Special Revenue
267	25200-25249	Federal Education	Special Revenue
269	25250-25299	Federal Block Grants	Special Revenue
290	25300-25899	Federal Miscellaneous Operating Grants	Special Revenue
291	31350-31449	Federal Capital Projects	Capital Projects
300	21000-21049	Sewage Treatment Program Management and Administration	Special Revenue
301	21050-21149	ENCon Special Revenue	Special Revenue
302	21150-21199	Conservation	Special Revenue
303	21200-21249	Environmental Protection and Oil Spill Compensation	Special Revenue
304	40100-40149	Mental Health Services	Debt Service
305	21250-21299	Training and Education Program on Occupational Safety and Health	Special Revenue
306	21300-21349	Lawyers' Fund For Client Protection	Special Revenue
307	21350-21399	Equipment Loan Fund for the Disabled	Special Revenue
309	60950-60999	Special Education	Agency
310	31450-31499	Forest Preserve Expansion	Capital Projects
311	40150-40199	General Debt Service	Debt Service
312	31500-31549	Hazardous Waste Remedial	Capital Projects
313	21400-21449	Mass Transportation Operating Assistance	Special Revenue
314	21450-21499	Clean Air	Special Revenue
315	40200-40249	Grade Crossing Elimination Debt Service	Debt Service
316	40250-40299	Housing Debt	Debt Service
317	31550-31599	Pine Barrens	Capital Projects
318	21500-21549	New York State Infrastructure Trust	Special Revenue
319	40300-40349	Department of Health Income	Debt Service
321	21550-21599	Legislative Computer Services	Special Revenue
322	31600-31649	Lake Champlain Bridges	Capital Projects
323	55000-55049	Centralized Services	Internal Service
324	50000-50049	Youth Commissary	Enterprise
325	50050-50099	State Exposition Special	Enterprise
326	50100-50299	Correctional Services Commissary	Enterprise
327	31650-31699	Suburban Transportation	Capital Projects
328	21600-21649	Biodiversity Stewardship and Research	Special Revenue
330	40350-40399	State University Dormitory Income	Debt Service
331	50300-50399	Agency Enterprise	Enterprise

CAS FUND NUMBER	SFS FUND RANGE	FUND NAME	FUND CLASSIFICATION
332	21650-21699	Combined Non-Expendable Trust	Special Revenue
333	21700-21749	Winter Sports Education Trust	Special Revenue
334	55050-55099	Agency Internal Service	Internal Service
335	21750-21799	Musical Instrument Revolving	Special Revenue
337	21800-21849	Rural Housing Assistance	Special Revenue
338	21850-21899	Arts Capital Revolving	Special Revenue
339	21900-22499	Earmarked Revenue Account	Special Revenue
340	22500-22549	Court Facilities Incentive Aid	Special Revenue
341	22550-22599	Employment Training	Special Revenue
342	22600-22649	Homeless Housing and Assistance	Special Revenue
343	55100-55149	Mental Hygiene Revolving	Internal Service
344	61000-61099	State University Revenue Collection	Agency
345	22650-22699	State University Income	Special Revenue
346	22700-22749	Chemical Dependence Service	Special Revenue
347	55150-55199	Youth Vocational Education	Internal Service
348	10550-10599	Tobacco Revenue Guarantee	General
349	22750-22799	Lake George Park Trust	Special Revenue
351	50400-50449	OMH Sheltered Workshop	Enterprise
352	50450-50499	OPWDD Sheltered Workshop	Enterprise
353	50500-50599	Mental Hygiene Community Stores	Enterprise
354	22800-22849	State Police and Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	Special Revenue
355	22850-22899	New York Great Lakes Protection	Special Revenue
357	31700-31749	Division For Youth Facilities Improvement	Capital Projects
358	31750-31799	Youth Centers Facility	Capital Projects
359	22900-22949	Federal Revenue Maximization Contract	Special Revenue
360	22950-22999	Housing Development	Special Revenue
361	40400-40449	Clean Water/Clean Air	Debt Service
362	23000-23049	NYSDOT Highway Safety Program	Special Revenue
364	40450-40499	Local Government Assistance Tax	Debt Service
365	23050-23099	Vocational Rehabilitation	Special Revenue
366	23100-23149	Drinking Water Program Management and Administration	Special Revenue
368	23150-23199	New York City County Clerks' Operations Offset	Special Revenue
369	23200-23249	Judiciary Data Processing Offset	Special Revenue
374	31800-31849	Housing Assistance	Capital Projects
376	31850-31899	Housing Program	Capital Projects
377	23250-23449	IFR/City University Tuition	Special Revenue
378	31900-31949	Natural Resource Damages	Capital Projects
380	31950-31999	Department of Transportation Engineering Services	Capital Projects
382	61100-61199	State University Federal Direct Lending	Agency

CAS FUND NUMBER	SFS FUND RANGE	FUND NAME	FUND CLASSIFICATION
383	23450-23499	Supplemental Jury Facilities	Special Revenue
384	32400-32999	State University Capital Projects	Capital Projects
385	23500-23549	US Olympic Committee/Lake Placid Olympic Training	Special Revenue
387	32200-32249	Miscellaneous Capital Projects	Capital Projects
388	32250-32299	City University of New York Capital Projects	Capital Projects
389	32300-32349	Mental Hygiene Facilities Capital Improvement	Capital Projects
390	23550-23599	Indigent Legal Services	Special Revenue
394	55200-55249	Joint Labor and Management Administration	Internal Service
395	55250-55299	Audit and Control Revolving	Internal Service
396	55300-55349	Health Insurance Revolving	Internal Service
397	55350-55399	Correctional Industries Revolving	Internal Service
399	32350-32399	Correctional Facilities Capital Improvement	Capital Projects
400	65000-65049	Common Retirement	Pension Trust
480	25900-25949	Federal Unemployment Insurance Administration	Special Revenue
481	50650-50699	Unemployment Insurance Benefit	Enterprise
482	23600-23649	Unemployment Insurance Interest and Penalty	Special Revenue
484	25950-25999	Federal Unemployment Insurance Occupational Training	Special Revenue
486	26000-26049	Federal Employment and Training Grants	Special Revenue

STATE OF NEW YORK FUND STRUCTURE

