

OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

MISSION

The mission of the Office of Alcoholism and Substance Abuse Services (OASAS) is to improve the lives of New Yorkers by leading a premier system of addiction services through prevention, treatment, and recovery.

ORGANIZATION AND STAFFING

Headed by a Commissioner appointed by the Governor, subject to Senate confirmation, OASAS is responsible for the development and management of the State's policy on chemical dependence and problem gambling. The Office exercises these responsibilities directly as a provider of treatment services through a statewide system of Addiction Treatment Centers (ATCs), through the regulation and oversight of over 1,550 chemical dependence and problem gambling prevention, treatment, and recovery service providers, and as a conduit for Federal and State financial assistance. The Office also furthers State policy goals in areas that include Public Safety, Public Health, Public Welfare, and Public Education by coordinating chemical dependence and problem gambling resources and strategies in collaboration with other State agencies through the Addictions Collaborative to Improve Outcomes for New Yorkers (ACTION).

BUDGET HIGHLIGHTS

The 2011-12 Executive Budget recommends nearly **\$671 million** All Funds (\$432 million State Operating Funds; \$239 million Other Funds) for OASAS, which represents a decrease of **\$46 million (-6.4 percent)**, which is largely attributable to the actions summarized below.

The Executive Budget reduces each agency's General Fund State Operations budget by 10 percent. These savings are intended to be achieved through administrative efficiencies in non-personal service and negotiated workforce savings that minimize layoffs to the extent possible.

In addition to 10 percent reductions in State operations, major new actions to promote cost efficiency include:

- **Deferring Cost-of-Living Adjustment (COLA).** Defer the planned 1.2 percent human services COLA for one year. However, to continue the State's long-term commitment, a three-year human services COLA is planned to commence April 1, 2012 and continue through the 2014-15 fiscal year.
- **Refocusing and Redesigning Programs and Services.** Reduce funding for OASAS programs that fail to meet established performance indicators; reduce and restructure 41 existing gambling education, assessment and referral programs; delay the development of five gambling prevention programs and three Recovery Community Centers; and eliminate planned new funding for additional re-entry services. The 1.1 percent reduction to local payments implemented in 2010-11 is also continued.

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- **Providing Services under Drug Law Reform.** Continue the current year levels of funding for OASAS costs related to recent drug law reforms, including maintaining 250 residential beds added in 2010-11. As a result, services for this population will be supported within existing OASAS capacity by enhanced performance and the prioritization of services.

PROGRAM HIGHLIGHTS

OASAS administers a comprehensive array of prevention, treatment, and recovery services for New Yorkers. This is accomplished through a highly qualified network of State, local government, voluntary agency, and school district providers with a paid and volunteer workforce of 35,000. In accordance with Federal and State statute, OASAS licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

Approximately 260,000 individuals receive services annually in New York State's licensed chemical dependence treatment and problem gambling system, which is provided by approximately 1,100 community-based programs. About 107,000 individuals are enrolled in New York's chemical dependence treatment programs on any given day. OASAS also provides funding to approximately 300 prevention providers located in over 2,800 sites, combined with an additional 114 community coalitions across the State. They utilize evidence-based, outcome-oriented programs focusing on such risk factors as family conflict, permissive attitudes towards alcohol and substance abuse, and lack of commitment to school, which research shows are predictive of adolescent problem behaviors like alcohol and substance abuse, delinquency, teen pregnancy, school drop-out, and violence. While reducing these risk factors, prevention programs also focus on nurturing healthy beliefs and clear standards within community and family, since combining both activities is crucial to reducing the prevalence of problem behaviors like alcohol and substance abuse.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	130,710,000	119,013,400	(11,696,600)	4,288,000
Aid To Localities	477,234,000	454,121,000	(23,113,000)	191,600,000
Capital Projects	108,934,000	97,606,000	(11,328,000)	456,406,000
Total	716,878,000	670,740,400	(46,137,600)	652,294,000

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ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2010-11 Estimated FTEs 03/31/11	2011-12 Estimated FTEs 03/31/12	FTE Change
Executive Direction			
Special Revenue Funds - Federal	74	74	0
Special Revenue Funds - Other	290	281	(9)
Institutional Services			
Special Revenue Funds - Federal	16	16	0
Special Revenue Funds - Other	462	446	(16)
Total	842	817	(25)

The above table does not reflect layoffs that may be necessary in the absence of negotiated workforce savings.

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
Special Revenue Funds - Federal	6,805,000	6,530,000	(275,000)
Special Revenue Funds - Other	123,905,000	112,483,400	(11,421,600)
Total	130,710,000	119,013,400	(11,696,600)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Executive Direction			
Special Revenue Funds - Federal	5,605,000	5,320,000	(285,000)
Special Revenue Funds - Other	53,307,000	47,976,300	(5,330,700)
Institutional Services			
Special Revenue Funds - Federal	1,200,000	1,210,000	10,000
Special Revenue Funds - Other	70,598,000	64,507,100	(6,090,900)
Total	130,710,000	119,013,400	(11,696,600)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	53,296,300	(5,615,700)	27,973,100	(2,806,900)
Institutional Services	65,717,100	(6,080,900)	33,802,700	(2,941,300)
Total	119,013,400	(11,696,600)	61,775,800	(5,748,200)

Program	Nonpersonal Service	
	Amount	Change
Executive Direction	25,323,200	(2,808,800)
Institutional Services	31,914,400	(3,139,600)
Total	57,237,600	(5,948,400)

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AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2010-11</u>	<u>Recommended 2011-12</u>	<u>Change</u>
General Fund	39,000,000	36,878,500	(2,121,500)
Special Revenue Funds - Federal	139,755,000	135,000,000	(4,755,000)
Special Revenue Funds - Other	298,479,000	282,242,500	(16,236,500)
Total	477,234,000	454,121,000	(23,113,000)

Adjustments:

Transfer(s) From

Alcoholism and Substance Abuse
Services, Office of
General Fund

(110,400,000)

Transfer(s) To

Alcoholism and Substance Abuse
Services, Office of
Special Revenue Funds - Other

110,400,000

Appropriated 2010-11

477,234,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2010-11</u>	<u>Recommended 2011-12</u>	<u>Change</u>
Community Treatment Services Program			
General Fund	39,000,000	36,878,500	(2,121,500)
Special Revenue Funds - Federal	106,000,000	106,000,000	0
Special Revenue Funds - Other	245,600,000	232,240,000	(13,360,000)
Prevention and Program Support			
Special Revenue Funds - Federal	33,755,000	29,000,000	(4,755,000)
Special Revenue Funds - Other	52,879,000	50,002,500	(2,876,500)
Total	477,234,000	454,121,000	(23,113,000)

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2010-11</u>	<u>Recommended 2011-12</u>	<u>Change</u>	<u>Reappropriations 2011-12</u>
Design and Construction Supervision				
Capital Projects Fund	3,000,000	3,000,000	0	5,731,000
MH Capital Improvements - Authority Bonds	500,000	500,000	0	1,000,000
Administration				
Capital Projects Fund	1,328,000	0	(1,328,000)	0
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	4,810,000	4,810,000	0	25,115,000
MH Capital Improvements - Authority Bonds	94,546,000	80,546,000	(14,000,000)	402,090,000
Institutional Services Program				
Capital Projects Fund	1,000,000	1,000,000	0	3,160,000
MH Capital Improvements - Authority Bonds	3,000,000	7,000,000	4,000,000	19,310,000
Non-Bondable				
Capital Projects Fund	750,000	750,000	0	0
Total	108,934,000	97,606,000	(11,328,000)	456,406,000