

MISCELLANEOUS

COLLECTIVE BARGAINING AGREEMENTS

The Executive Budget recommends **\$5.8 million** All Funds (\$5.3 million General Fund; \$500,000 Other Funds) for Collective Bargaining Agreements. This is a decrease of **\$33.8 million** from the 2010-11 budget. These appropriations are limited to the amounts necessary to continue required benefits and programs in the absence of new collective bargaining agreements. Unspent funds from existing agreements have been reappropriated. Costs of new collective bargaining agreements will be incorporated within separate legislation.

Executive Budget appropriations support a staffing level of up to **91** in 2011-12 for Collective Bargaining Agreements.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	39,593,000	5,800,000	(33,793,000)	45,080,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>39,593,000</u>	<u>5,800,000</u>	<u>(33,793,000)</u>	<u>45,080,000</u>

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2010-11 Estimated FTEs 03/31/11	2011-12 Estimated FTEs 03/31/12	FTE Change
Labor Management Programs			
General Fund	91	91	0
Total	<u>91</u>	<u>91</u>	<u>0</u>

The above table does not reflect layoffs that may be necessary in the absence of negotiated workforce savings.

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	39,093,000	5,300,000	(33,793,000)
Special Revenue Funds - Other	500,000	500,000	0
Total	<u>39,593,000</u>	<u>5,800,000</u>	<u>(33,793,000)</u>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(374,000)		
Appropriated 2010-11	<u>39,219,000</u>		

MISCELLANEOUS

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Labor Management Programs			
General Fund	39,093,000	5,300,000	(33,793,000)
Special Revenue Funds - Other	500,000	500,000	0
Total	<u>39,593,000</u>	<u>5,800,000</u>	<u>(33,793,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Labor Management Programs	5,300,000	(33,793,000)	0	(374,000)
Total	<u>5,300,000</u>	<u>(33,793,000)</u>	<u>0</u>	<u>(374,000)</u>

Program	Maintenance Undistributed	
	Amount	Change
Labor Management Programs	5,300,000	(33,419,000)
Total	<u>5,300,000</u>	<u>(33,419,000)</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Labor Management Programs	500,000	0	500,000	0
Total	<u>500,000</u>	<u>0</u>	<u>500,000</u>	<u>0</u>

COMMUNITY PROJECTS

There are no Community Projects Fund appropriations or reappropriations in the 2011-12 Executive Budget. The Executive Budget would repeal the Community Projects Fund and sweep fund balances to the General Fund effective September 15, 2011.

COMMUNITY SERVICE PROVIDER ASSISTANCE PROGRAM

These reappropriations continue the spending authority for the expenditure of Community Projects Fund lump sum appropriations originally enacted in the 2001-02 budget. The 2011-12 Executive Budget does not advance any new appropriations for the Community Projects Fund.

MISCELLANEOUS

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	0	0	0	4,000,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	0	0	0	4,000,000

DEFERRED COMPENSATION BOARD

MISSION

The Deferred Compensation Board, in accordance with Section 5 of the State Finance Law, administers the New York State Deferred Compensation State Plan, which serves over 126,000 State employees and 63,000 employees of local governments that participate in the State Plan. There are approximately 250 local governments that sponsor and administer their own deferred compensation plans in compliance with the Board's rules.

ORGANIZATION AND STAFFING

The Board consists of three members, one each appointed by the Governor, the Temporary President of the Senate and the Speaker of the Assembly. The Board maintains a staff of four professionals who are responsible for management of the State Plan as well as for regulatory duties for locally administered plans. Management of the State Plan includes oversight of administrative services delivered by contracted service providers, monitoring the Plan's investment managers, coordination with professional services firms and direct service delivery through its main office.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$931,000** All Funds (\$114,000 General Fund; \$817,000 Other Funds) for the Deferred Compensation Board.

PROGRAM HIGHLIGHTS

The Board continues to pursue opportunities to provide a well balanced supplemental retirement savings program, improve services to State Plan participants, and further State Plan participants' ability to meet their retirement savings goals. The Board initiates outreach efforts to State agencies and local participating employers to encourage even greater participation at both the State and local government levels. During the past fiscal year, the Plan enrolled almost 8,000 new participants, and voluntary employee salary deferrals to the Plan exceeded \$920 million (an increase of nearly 5.2 percent). More than 1,200 local governments now participate in the State Plan.

MISCELLANEOUS

The Board provides local governments that sponsor and administer their own deferred compensation plans with updates on the Board's rules and responds to questions on the administration of deferred compensation plans, and provides guidance on issues related to Federal statutory changes and the State's Model Deferred Compensation Plan. During 2010-11, the Board examined and amended the Model Plan Documents to assure compliance with all recent changes to Federal law and regulations pertaining to deferred compensation plans.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	931,000	931,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>931,000</u>	<u>931,000</u>	<u>0</u>	<u>0</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2010-11 Estimated FTEs 03/31/11	2011-12 Estimated FTEs 03/31/12	FTE Change
Operations			
Special Revenue Funds - Other	4	4	0
Total	<u>4</u>	<u>4</u>	<u>0</u>

The above table does not reflect layoffs that may be necessary in the absence of negotiated workforce savings.

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	114,000	114,000	0
Special Revenue Funds - Other	817,000	817,000	0
Total	<u>931,000</u>	<u>931,000</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Operations			
General Fund	114,000	114,000	0
Special Revenue Funds - Other	817,000	817,000	0
Total	<u>931,000</u>	<u>931,000</u>	<u>0</u>

MISCELLANEOUS

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2011-12 RECOMMENDED
(dollars)**

Program	Total		Contractual Services	
	Amount	Change	Amount	Change
Operations	114,000	0	114,000	0
Total	114,000	0	114,000	0

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2011-12 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Operations	817,000	0	394,000	0
Total	817,000	0	394,000	0

Program	Nonpersonal Service	
	Amount	Change
Operations	423,000	0
Total	423,000	0

GENERAL STATE CHARGES

General State Charges are primarily the costs of providing fringe benefits to most State employees, which are authorized in collective bargaining agreements and various statutes. The General State Charges budget also includes miscellaneous fixed costs such as litigation settlements and taxes on State-owned lands.

BUDGET HIGHLIGHTS

Recommended 2011-12 appropriations for General State Charges total **\$3.0 billion All Funds** (\$2.9 billion General Fund; \$101 million in Fiduciary Funds), a net increase of approximately **\$511 million All Funds (20.6 percent)** (\$511 million increase in General Fund) from 2010-11. This increase largely stems from statutorily required increases in spending on employee fringe benefits, such as pensions and health insurance. This amount will be augmented by \$1 billion initially appropriated to the State University of New York for employee fringe benefits.

PROGRAM HIGHLIGHTS

FRINGE BENEFITS

The State provides a comprehensive fringe benefits package to its employees. These benefits are supported primarily by General Fund revenues and supplemented with revenue from fringe benefit assessments on Federal and other dedicated revenue programs. In 2011-12, revenue collected from fringe benefit assessments is expected to be **\$2.4 billion**.

MISCELLANEOUS

Employee and Retiree Health Benefits: Through the New York State Health Insurance Program (NYSHIP), State employees and retirees have the option to participate in the Empire Plan, a health insurance program designed exclusively for New York's public employees, or one of 20 health maintenance organization options. Approximately 84 percent of State employees and retirees are enrolled in the Empire Plan.

The recommended 2011-12 General Fund appropriation for the State's employee health insurance is **\$2.0 billion**.

Pension Benefits: Most State employees are members of the New York State and Local Retirement System, which consists of the New York State and Local Employees' Retirement System (ERS) and the New York State and Local Police and Fire Retirement System (PFRS). With approximately \$132.8 billion in combined invested assets as of September 30, 2010, these systems administer retirement benefits to State and local government employees. Funding for the benefits is supported by employer contributions, bi-weekly contributions from certain employees with less than ten years of service, and pension fund investment earnings. However, Chapter 504 of the Laws of 2009 enacted a new tier of pension benefits (Tier 5) which requires new employees to continue contributions for the duration of their employment with the State.

The recommended appropriation for the State's contribution to the retirement system in 2011-12 is **\$1.3 billion**. This appropriation reflects an estimated contribution rate of 10.5 percent of salary for ERS and 18.5 percent of salary for PFRS, and assumes a March 1 payment date. These rates assume the State will amortize its contribution costs that exceed 10.5 percent and 18.5 percent of salary for ERS and PFRS, respectively, over a 10-year period. If the State does not amortize its pension contribution costs, normal contribution rates would be significantly higher.

Social Security and Medicare Taxes: In addition to employer contributions made to the retirement system, the State makes weekly tax payments to the Federal government for the Social Security and Medicare benefit programs. The Social Security tax rate is 6.2 percent, applied on wages up to \$106,800 in 2011, and the Medicare tax rate is 1.45 percent on all wages.

The recommended General Fund appropriation for Social Security and Medicare taxes in 2011-12 is **\$505 million**.

Workers' Compensation: The State reimburses the State Insurance Fund for actual compensation and medical claims incurred by State employees for job-related injuries. The statutory workers' compensation benefit is two-thirds of the average weekly wage for the year in which it is reported. Most State employees are paid supplemental benefits pursuant to collective bargaining agreements.

The recommended General Fund appropriation for workers' compensation benefits in 2011-12 is **\$202 million**. Efforts to contain costs and improve program management will continue through funding the automated Accident Reporting System and a dispute resolution program.

Dental, Vision and Other Employee Benefits: State employees receive dental insurance and vision benefits either through State administered programs or benefit funds operated by public employee unions. Premiums for the State administered programs are covered in full by the State. The employee union benefit funds are supported by quarterly contributions from the State based on per capita rates authorized in collective bargaining agreements. In addition, the State funds an assortment of other benefit programs to cover losses due to death, disability and unemployment.

MISCELLANEOUS

A total of **\$149 million** in General Fund appropriations is recommended in 2011-12 to fund dental, vision, the metropolitan transportation authority mobility tax and other employee benefits.

Fringe Benefits for State University Employees: A **\$1 billion** General Fund appropriation to support fringe benefits for employees of the State University will be initially included in the State University budget. Upon enactment, this amount will be transferred to the General State Charges program to be centrally administered along with the benefit programs for all State employees.

FIXED COSTS

In addition to employee fringe benefits, the State pays for a variety of fixed costs from the General State Charges budget. These costs are supported in full by General Fund revenues and include:

Taxes and Other Property Assessments on State-Owned Lands: Real Property Tax Law authorizes local governments to tax certain parcels of State-owned land. The State provides financial support to local governments by paying local and/or school property taxes on approximately 22,000 parcels covering four million acres of land throughout the State as well as transition assessments on tax-exempt State-owned lands. Other property expenses include assessments for improvements on State-owned lands and payments in lieu of taxes on certain property in the City of Albany, Cattaraugus County and other localities. The 2011-12 Executive Budget also includes a new \$600,000 appropriation for payments in lieu of taxes for lands in Livingston County. A total of **\$218 million** in appropriations is recommended in 2011-12 for payment of taxes and various other property assessments on State lands. That represents a decrease of **\$4 million (2.0 percent)** from 2010-11.

Court of Claims Judgments and Other Litigation Costs: Annual appropriations are authorized to pay for expenses related to the settlement of lawsuits and court judgments against the State. Most litigation against the State is adjudicated in the Court of Claims and involves contract disputes and tort liabilities. Other appropriations in this category support the defense and indemnification of State employees for actions that arise in the course of their official duties, litigation expenses related to Indian land claims and payments to the Property Casualty Insurance Security Fund in accordance with the terms of a multi-year settlement with the insurance industry. A total of **\$142 million** in appropriations is recommended in 2011-12 for these litigation expenses. This represents a **\$31 million (28.2 percent)** increase from 2010-11, largely due to liabilities from the recently settled Simpson case. This case originated from allegations that the State's battery exams were biased and discriminatory to certain minority groups.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	2,478,665,000	2,990,150,000	511,485,000	3,216,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>2,478,665,000</u>	<u>2,990,150,000</u>	<u>511,485,000</u>	<u>3,216,000</u>

MISCELLANEOUS

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	2,377,665,000	2,889,150,000	511,485,000
Fiduciary Funds	101,000,000	101,000,000	0
Total	2,478,665,000	2,990,150,000	511,485,000

Adjustments:	
Transfer(s) From	
Special Pay Bill	
General Fund	(2,051,000)
Appropriated 2010-11	2,476,614,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
General State Charges			
General Fund	2,377,665,000	2,889,150,000	511,485,000
Fiduciary Funds	101,000,000	101,000,000	0
Total	2,478,665,000	2,990,150,000	511,485,000

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
General State Charges	2,889,150,000	511,485,000	0	(44,000)
Total	2,889,150,000	511,485,000	0	(44,000)

Program	General State Charges		Maintenance Undistributed	
	Amount	Change	Amount	Change
General State Charges	2,888,150,000	513,529,000	1,000,000	(2,000,000)
Total	2,888,150,000	513,529,000	1,000,000	(2,000,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Nonpersonal Service	
	Amount	Change	Amount	Change
General State Charges	101,000,000	0	101,000,000	0
Total	101,000,000	0	101,000,000	0

GREEN THUMB PROGRAM

The Green Thumb Program provides income-eligible elderly citizens with part-time employment in State agencies through the not-for-profit organization Green Thumb Environmental Beautification, Inc. The 2011-12 Executive Budget recommends a General Fund appropriation of \$2.83 million, the same level as 2010-11.

MISCELLANEOUS

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	2,831,000	2,831,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>2,831,000</u>	<u>2,831,000</u>	<u>0</u>	<u>0</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Contractual Services	
	Amount	Change	Amount	Change
Green Thumb Program	2,831,000	0	2,831,000	0
Total	<u>2,831,000</u>	<u>0</u>	<u>2,831,000</u>	<u>0</u>

THE GREENWAY HERITAGE CONSERVANCY OF THE HUDSON RIVER VALLEY

The Greenway Heritage Conservancy of the Hudson River Valley was established in the Hudson River Valley Greenway Act of 1991 to promote the preservation of natural and cultural resources in the Valley, serve as a land trust in the acquisition of lands important to the Greenway, and designate and develop the Hudson River Valley Greenway Trail. The 2011-12 Executive Budget recommends a General Fund appropriation of \$166,000, a decrease of \$18,000 (-10 percent) from the funding level provided in 2010-11. The Conservancy is funded entirely from the General Fund.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	184,000	166,000	(18,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>184,000</u>	<u>166,000</u>	<u>(18,000)</u>	<u>0</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Operations	132,000	(15,000)	132,000	(15,000)
Total	<u>132,000</u>	<u>(15,000)</u>	<u>132,000</u>	<u>(15,000)</u>

MISCELLANEOUS

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		General State Charges	
	Amount	Change	Amount	Change
Operations	34,000	(3,000)	34,000	(3,000)
Total	34,000	(3,000)	34,000	(3,000)

HEALTH INSURANCE CONTINGENCY RESERVE

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	651,240,000	605,057,000	(46,183,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	651,240,000	605,057,000	(46,183,000)	0

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Health Insurance Contingency Reserve	605,057,000	(46,183,000)	605,057,000	(46,183,000)
Total	605,057,000	(46,183,000)	605,057,000	(46,183,000)

HEALTH INSURANCE RESERVE RECEIPTS FUND

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	192,400,000	192,400,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	192,400,000	192,400,000	0	0

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Health Insurance Reserve Receipts Fund	192,400,000	0	192,400,000	0
Total	192,400,000	0	192,400,000	0

MISCELLANEOUS

HIGHER EDUCATION

This appropriation provides funds for the Higher Education Services Corporation and the Office of the State Comptroller to jointly administer the College Choice Tuition Savings Program. This program helps families save for their children's education at accredited public and private colleges anywhere in the country with accompanying tax benefits on contributions made to, and interest earned on, college savings accounts.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	829,800	829,800	0	600,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>829,800</u>	<u>829,800</u>	<u>0</u>	<u>600,000</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2010-11	Recommended 2011-12	Change
Special Revenue Funds - Other	829,800	829,800	0
Total	<u>829,800</u>	<u>829,800</u>	<u>0</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2010-11	Recommended 2011-12	Change
Higher Education			
Special Revenue Funds - Other	829,800	829,800	0
Total	<u>829,800</u>	<u>829,800</u>	<u>0</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2011-12 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Higher Education	829,800	0	307,000	(109,100)
Total	<u>829,800</u>	<u>0</u>	<u>307,000</u>	<u>(109,100)</u>

Program	Nonpersonal Service	
	Amount	Change
Higher Education	522,800	109,100
Total	<u>522,800</u>	<u>109,100</u>

MISCELLANEOUS

**HIGHER EDUCATION FACILITIES
CAPITAL MATCHING GRANTS PROGRAM**

The 2005-06 budget included a new \$150 million higher education facilities capital matching grant program for private colleges in New York. Under this program, \$150 million was to be awarded to private colleges based on enrollment and relative student financial need as measured by total awards provided through the Tuition Assistance Program. This program requires a three to one (non-State to State) match by institutions.

Under this program, grants are awarded by the Higher Education Capital Matching Grant Board, which consists of three members (one appointed directly by the Governor with the remaining members appointed upon the recommendation of the Temporary President of the Senate and the Speaker of the Assembly) serving one year terms. Grants may be used for the design, construction or acquisition of new facilities, rehabilitation and repair of existing facilities or for any projects for targeted priorities including economic development/high technology, critical academic facilities, and urban renewal/historic preservation.

The 2011-12 Executive Budget continues \$150 million in reappropriation authority. To date, 123 capital projects committing \$126 million in capital matching grants from the State have been approved. As a result, most of the \$150 million in reappropriation authority is provided to honor existing grants. When fully implemented, this program will leverage over \$450 million in external funds to match the State's \$150 million investment, thereby providing for a combined capital program totaling \$600 million. The State's share of the program will be financed through the issuance of bonds. Furthermore, the 2011-12 Executive Budget extends the Higher Education Capital Matching Grants Program past the original statutory date of March 31, 2011. This extension is necessary for the program to achieve full implementation.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	0	0	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	93,000,000
Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>93,000,000</u>

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2010-11	Recommended 2011-12	Change	Reappropriations 2011-12
Higher Education Capital Matching Grants				
Capital Projects Fund - Authority Bonds	0	0	0	93,000,000
Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>93,000,000</u>

MISCELLANEOUS

HOMELAND SECURITY

Since Fall 2001, State agencies have deployed numerous resources to detect and protect against domestic security threats. The 2011-12 Executive Budget provides appropriations of \$169 million to support a continuation of homeland security activities throughout New York State, including a \$65 million contingency appropriation for costs of security measures implemented during periods of heightened threat alerts.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	168,000,000	169,000,000	1,000,000	140,700,000
Aid To Localities	0	0	0	200,000,000
Capital Projects	0	0	0	0
Total	<u>168,000,000</u>	<u>169,000,000</u>	<u>1,000,000</u>	<u>340,700,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
Special Revenue Funds - Federal	50,000,000	50,000,000	0
Special Revenue Funds - Other	3,000,000	9,000,000	6,000,000
Unspecified Funds	115,000,000	110,000,000	(5,000,000)
Total	<u>168,000,000</u>	<u>169,000,000</u>	<u>1,000,000</u>

Adjustments:

Transfer(s) From	
Homeland Security - Miscellaneous	
Special Revenue Funds - Federal (Aid To Localities)	(50,000,000)
Appropriated 2010-11	<u>118,000,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Domestic Anti-Terrorism Program			
Special Revenue Funds - Federal	50,000,000	50,000,000	0
Special Revenue Funds - Other	3,000,000	9,000,000	6,000,000
Unspecified Funds	115,000,000	110,000,000	(5,000,000)
Total	<u>168,000,000</u>	<u>169,000,000</u>	<u>1,000,000</u>

MISCELLANEOUS

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Nonpersonal Service	
	Amount	Change	Amount	Change
Domestic Anti-Terrorism Program	169,000,000	1,000,000	50,000,000	0
Total	169,000,000	1,000,000	50,000,000	0

Program	Maintenance Undistributed	
	Amount	Change
Domestic Anti-Terrorism Program	119,000,000	1,000,000
Total	119,000,000	1,000,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
Total	0	0	0

Adjustments:

Transfer(s) To	
Homeland Security - Miscellaneous	
Special Revenue Funds - Federal (State Operations)	50,000,000
Appropriated 2010-11	50,000,000

HUDSON RIVER VALLEY GREENWAY COMMUNITIES COUNCIL

The Hudson River Valley Greenway Communities Council is a 25-member advisory board, created in 1991, which is responsible for promoting the preservation of natural and cultural resources in the Hudson River Valley. The Council's operations are funded with State tax dollars from the General Fund. The Executive Budget recommends total appropriations of \$321,000 in 2011-12, a decrease of \$18,000 (-5 percent) from 2010-11, to support the Council's administration, technical assistance, and local planning grants programs. This change reflects a 10 percent year-to-year reduction in State operations spending from the General Fund.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	203,000	185,000	(18,000)	0
Aid To Localities	136,000	136,000	0	758,000
Capital Projects	0	0	0	0
Total	339,000	321,000	(18,000)	758,000

MISCELLANEOUS

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2010-11 Estimated FTEs 03/31/11	2011-12 Estimated FTEs 03/31/12	FTE Change
Operations			
General Fund	1	1	0
Total	1	1	0

The above table does not reflect layoffs that may be necessary in the absence of negotiated workforce savings.

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	203,000	185,000	(18,000)
Total	203,000	185,000	(18,000)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Operations			
General Fund	203,000	185,000	(18,000)
Total	203,000	185,000	(18,000)

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Operations	152,000	(16,000)	152,000	(16,000)
Total	152,000	(16,000)	152,000	(16,000)

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Operations	33,000	(2,000)	9,000	(1,000)
Total	33,000	(2,000)	9,000	(1,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Operations	6,000	0	14,000	(1,000)
Total	6,000	0	14,000	(1,000)

Program	Equipment	
	Amount	Change
Operations	4,000	0
Total	4,000	0

MISCELLANEOUS

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	136,000	136,000	0
Total	136,000	136,000	0

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Operations			
General Fund	136,000	136,000	0
Total	136,000	136,000	0

INSURANCE AND SECURITIES FUNDS RESERVE GUARANTEE

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	1,605,000,000	1,605,000,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	1,605,000,000	1,605,000,000	0	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	1,605,000,000	1,605,000,000	0
Total	1,605,000,000	1,605,000,000	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Aggregate Trust Fund			
General Fund	220,000,000	220,000,000	0
Property/Casualty Insurance Security Fund			
General Fund	90,000,000	90,000,000	0
State Insurance Fund			
General Fund	1,295,000,000	1,295,000,000	0
Total	1,605,000,000	1,605,000,000	0

MISCELLANEOUS

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2011-12 RECOMMENDED
(dollars)**

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Aggregate Trust Fund	220,000,000	0	220,000,000	0
Property/Casualty Insurance Security Fund	90,000,000	0	90,000,000	0
State Insurance Fund	1,295,000,000	0	1,295,000,000	0
Total	1,605,000,000	0	1,605,000,000	0

LOCAL GOVERNMENT ASSISTANCE

Local Government Assistance programs support a range of State aid and assistance for all classes of local governments. General Fund-supported programs include Aid and Incentives for Municipalities (AIM), which allocates direct State aid to cities, towns and villages, and the Local Government Efficiency Grant (LGEG) program, which provides grants to all classes of local governments intended to encourage municipal shared services and consolidation.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$847 million** in All Funds appropriations to fund miscellaneous State aid and assistance programs, including \$814 million in General Fund support to local governments under several aid programs and \$30 million in Fiduciary Funds to cover contingency appropriation requirements. This is an increase of **\$41 million** (5.1 percent) in All Funds appropriations over the 2010-11 budget, consisting primarily of a General Fund increase for new programs to incentivize local re-organization and efficiency.

PROGRAM HIGHLIGHTS

AID AND INCENTIVES FOR MUNICIPALITIES

The Executive Budget recommends \$794 million for the overall AIM program in 2011-12, an increase of \$53.2 million (7.2 percent). Major program recommendations include:

- \$715 million in AIM funding to municipalities outside the City of New York. This allocation reduces funding to municipalities by \$14.6 million (-2 percent). Even after these reductions, municipalities outside the City of New York will receive \$249.3 million (53.6 percent) more than they did in 2004-05.
- Maintain existing AIM policy for New York City.
- \$75 million in appropriations for new programs designed to encourage and reward local government re-organization and efficiency, including \$35 million for Citizen Empowerment Tax Credits and Citizens Re-Organization Empowerment Grants and \$40 million for Local Government Performance Incentive awards.

MISCELLANEOUS

- **Citizen Empowerment Tax Credits.** These funds would be available to incentivize local government consolidation or dissolution, providing a bonus equal to 15 percent of the newly combined local government's tax levy. At least 50 percent of such amount must be used for direct relief to property taxpayers.
 - **Citizens Re-Organization Empowerment Grants.** Funding for grants up to \$100,000 would be available for local governments to cover costs associated with studies, plans and implementation efforts related to local government re-organization activities.
 - **Local Government Performance and Efficiency Program.** Funding would be available for competitive one-time awards of up to \$25 per capita, capped at \$5 million, to municipalities that have achieved significant performance improvements and operational efficiencies.
- \$4 million for a streamlined Local Government Efficiency Grant (LGEG) program. This allocation is \$1 million less than 2009-10 and 2010-11, reflecting a partial shift of funding for local re-organization studies and planning grants from LGEG to the new Citizens Re-Organization Empowerment Grants.

OTHER LOCAL GOVERNMENT ASSISTANCE PROGRAMS

In addition to AIM and the various efficiency and performance based programs, the Executive Budget recommends the following:

- Providing \$19.6 million of aid to the City of Yonkers as the host of a video lottery gaming facility. This allocation preserves such aid to Yonkers, but eliminates the \$6.2 million (24 percent) of aid for all other eligible municipalities hosting such facilities.
- Eliminating \$3.92 million for Miscellaneous Financial Assistance. Oneida and Madison counties will no longer receive \$1.96 million each for interim financial assistance related to land claim settlements.
- Eliminating \$2.09 million for the Small Government Assistance program, which provided funding to certain counties and school districts.
- Providing \$18.7 million in reappropriations for Efficiency Incentive Grants, which support cost-saving investments in Erie County and the City of Buffalo.

FIDUCIARY FUNDS

Fiduciary appropriations totaling \$30 million are recommended for the Municipal Assistance State Aid Fund and the Municipal Assistance Tax Fund to comply with the City of Troy Municipal Assistance Corporation's debt service coverage requirements. This amount matches the total contingency appropriations made in 2010-11.

MISCELLANEOUS

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	3,212,000	3,212,000	0	0
Aid To Localities	802,630,698	843,600,000	40,969,302	45,957,000
Capital Projects	0	0	0	0
Total	<u>805,842,698</u>	<u>846,812,000</u>	<u>40,969,302</u>	<u>45,957,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2010-11 Estimated FTEs 03/31/11	2011-12 Estimated FTEs 03/31/12	FTE Change
Financial Control Board, New York State			
Special Revenue Funds - Other	15	15	0
Total	<u>15</u>	<u>15</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
Special Revenue Funds - Other	3,212,000	3,212,000	0
Total	<u>3,212,000</u>	<u>3,212,000</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Financial Control Board, New York State			
Special Revenue Funds - Other	3,212,000	3,212,000	0
Total	<u>3,212,000</u>	<u>3,212,000</u>	<u>0</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Financial Control Board, New York State	3,212,000	0	1,608,000	0
Total	<u>3,212,000</u>	<u>0</u>	<u>1,608,000</u>	<u>0</u>

Program	Nonpersonal Service	
	Amount	Change
Financial Control Board, New York State	1,604,000	0
Total	<u>1,604,000</u>	<u>0</u>

MISCELLANEOUS

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	772,630,698	813,600,000	40,969,302
Fiduciary Funds	30,000,000	30,000,000	0
Total	<u>802,630,698</u>	<u>843,600,000</u>	<u>40,969,302</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Aid and Incentives for Municipalities			
General Fund	740,821,000	794,000,000	53,179,000
Miscellaneous Financial Assistance			
General Fund	3,920,000	0	(3,920,000)
Municipal Assistance State Aid Fund			
Fiduciary Funds	15,000,000	15,000,000	0
Municipal Assistance Tax Fund			
Fiduciary Funds	15,000,000	15,000,000	0
Municipalities with VLT Facilities			
General Fund	25,801,000	19,600,000	(6,201,000)
Small Government Assistance			
General Fund	2,088,698	0	(2,088,698)
Total	<u>802,630,698</u>	<u>843,600,000</u>	<u>40,969,302</u>

NATIONAL AND COMMUNITY SERVICE

MISSION

The Office of National and Community Service provides staff support to the New York State Commission on National and Community Service. The Commission qualifies the State for Federal community service grants for local not-for-profit agencies. The community service grants support programs providing youth education, assistance to individuals with disabilities, public health services and disaster preparedness.

ORGANIZATION AND STAFFING

The Office of National and Community Service is housed and staffed within the Office of Children and Family Services.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$30.7 million** All Funds (\$692,000 General Fund; \$30 million Other Funds) for the Office of National and Community Service. This reflects a **\$6 million (-17 percent)** reduction from 2010-11, primarily due to a loss of Federal American Reinvestment and Recovery Act (ARRA) Funds of \$6 million and a 10 percent reduction (-\$38,000) in General Fund State Operations appropriations. General Fund support of \$342,000 allows the State to meet its match requirements. The remaining \$350,000 will fund the regional volunteer centers initiative that was created in 2009-10.

MISCELLANEOUS

The Executive Budget reduces each agency's General Fund State Operations budget by 10 percent. These savings are intended to be achieved through administrative efficiencies in non-personal service and negotiated workforce savings that minimize layoffs to the extent possible.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	36,380,000	30,342,000	(6,038,000)	110,253,000
Aid To Localities	350,000	350,000	0	850,000
Capital Projects	0	0	0	0
Total	<u>36,730,000</u>	<u>30,692,000</u>	<u>(6,038,000)</u>	<u>111,103,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2010-11 Estimated FTEs 03/31/11	2011-12 Estimated FTEs 03/31/12	FTE Change
Operations			
General Fund	4	4	0
Special Revenue Funds - Federal	6	6	0
Total	<u>10</u>	<u>10</u>	<u>0</u>

The above table does not reflect layoffs that may be necessary in the absence of negotiated workforce savings.

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	380,000	342,000	(38,000)
Special Revenue Funds - Federal	36,000,000	30,000,000	(6,000,000)
Total	<u>36,380,000</u>	<u>30,342,000</u>	<u>(6,038,000)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Operations			
General Fund	380,000	342,000	(38,000)
Special Revenue Funds - Federal	36,000,000	30,000,000	(6,000,000)
Total	<u>36,380,000</u>	<u>30,342,000</u>	<u>(6,038,000)</u>

MISCELLANEOUS

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Operations	333,900	(37,100)	329,400	(36,600)
Total	333,900	(37,100)	329,400	(36,600)

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Operations	4,500	(500)
Total	4,500	(500)

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Operations	8,100	(900)	1,800	(200)
Total	8,100	(900)	1,800	(200)

Program	Contractual Services	
	Amount	Change
Operations	6,300	(700)
Total	6,300	(700)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Operations	30,000,000	(6,000,000)	1,000,000	0
Total	30,000,000	(6,000,000)	1,000,000	0

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Operations	29,000,000	0	0	(6,000,000)
Total	29,000,000	0	0	(6,000,000)

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	350,000	350,000	0
Total	350,000	350,000	0

MISCELLANEOUS

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Operations			
General Fund	350,000	350,000	0
Total	350,000	350,000	0

NEW YORK POWER AUTHORITY ASSET TRANSFER PROGRAM

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	318,000,000	318,000,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	318,000,000	318,000,000	0	0

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total Amount	Change	Maintenance Undistributed Amount	Change
New York Power Authority Asset Transfer Program	318,000,000	0	318,000,000	0
Total	318,000,000	0	318,000,000	0

PAYMENT TO THE CITY OF NEW YORK

This local assistance appropriation is required pursuant to Section 3238-a of the Public Authorities Law and is related to the refinancing of prior New York City Municipal Assistance Corporation debt by the Sales Tax Asset Receivable Corporation (STARC), a local development corporation established by the City of New York.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	0	0	0	0
Aid To Localities	170,000,000	170,000,000	0	0
Capital Projects	0	0	0	0
Total	170,000,000	170,000,000	0	0

MISCELLANEOUS

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	170,000,000	170,000,000	0
Total	170,000,000	170,000,000	0

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
STARC Payment			
General Fund	170,000,000	170,000,000	0
Total	170,000,000	170,000,000	0

RACING REFORM PROGRAM

The Racing Reform Program's appropriations were originally established to facilitate and finance activities associated with the implementation of Chapter 354 of the Laws of 2005. Subsequently, the Legislature enacted further reforms to the New York Racing Association in Chapters 18 and 140 of the Laws of 2008. The 2011-12 Executive Budget recommends reappropriations of \$2 million, which are available to finance any State costs resulting from this legislation.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	0	0	0	2,000,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	0	0	0	2,000,000

RESERVE FOR FEDERAL AUDIT DISALLOWANCES

The Reserve for Federal Audit Disallowances provides authority to the General Fund in the event of a reduction in available Federal grant awards resulting from disallowances. This reserve is available to provide State funding to cover unusual instances in which legitimate expenditures are determined to be ineligible for federal reimbursement. The 2011-12 Executive Budget recommends an appropriation consistent with the prior year.

MISCELLANEOUS

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	200,000,000	200,000,000	0
Total	<u>200,000,000</u>	<u>200,000,000</u>	<u>0</u>

ROOSEVELT ISLAND OPERATING CORPORATION

The Roosevelt Island Operating Corporation of the State of New York (RIOC) was created by the New York State Legislature in 1984. Its mandate is to manage, develop and operate the 147-acre Roosevelt Island, located in New York City's East River, in the borough of Manhattan.

RIOC has promoted the development of a mixed-use, residential and commercial community that is home to more than 12,000 residents. The island has six historic landmarks, several parks, sports facilities, an aerial tramway, playgrounds and a waterfront promenade.

RIOC continues to develop and maintain utilities, public promenades and commercial opportunities on the island to support private development. The RIOC Board of Directors recently approved the planning and design of the Franklin Delano Roosevelt Four Freedoms Park to be located at the southernmost tip of Roosevelt Island and to be supported by funding from New York State, New York City and the Franklin and Eleanor Roosevelt Institute. The 2011-12 Executive Budget recommends reappropriation of \$1 million appropriated in 2009-10 for the park.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	0	0	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	1,157,000
Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,157,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2010-11	Recommended 2011-12	Change	Reappropriations 2011-12
Design and Construction Supervision				
Capital Projects Fund	0	0	0	1,157,000
Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,157,000</u>

MISCELLANEOUS

SPECIAL EMERGENCY APPROPRIATION

The Special Emergency Appropriation provides authority for the disbursement of additional State Funds in the event of an unanticipated emergency affecting the State of New York, such as a natural disaster. The 2011-12 Executive Budget recommends an appropriation consistent with the prior year.

ALL FUNDS APPROPRIATIONS (dollars)

<u>Category</u>	<u>Available 2010-11</u>	<u>Appropriations Recommended 2011-12</u>	<u>Change</u>	<u>Reappropriations Recommended 2011-12</u>
State Operations	100,000,000	100,000,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	100,000,000	100,000,000	0	0

SPECIAL FEDERAL EMERGENCY APPROPRIATION

The Special Federal Emergency Appropriation provides authority for the disbursement of funds received by the Federal Government in the event of an unanticipated emergency affecting the State of New York, such as a natural disaster. The 2011-12 Executive Budget recommends an appropriation consistent with the prior year.

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2010-11</u>	<u>Recommended 2011-12</u>	<u>Change</u>
Special Revenue Funds - Federal	1,000,000,000	1,000,000,000	0
Total	1,000,000,000	1,000,000,000	0

SPECIAL PAY BILL

These appropriations were enacted in pay bills which implemented the two-year collective bargaining agreements (2007-2009) for the Security Supervisors Unit, represented by the New York State Law Enforcement Officers' Union, District Council 82, AFSCME, AFL-CIO, and the Security Services Unit (arbitration ineligible), represented by the New York State Correctional Officers and Police Benevolent Association, Inc..

All monies authorized by these appropriations have been allocated to the employing agencies in 2010-11 and no funding for this special pay bill agency is necessary in 2011-12.

MISCELLANEOUS

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
Total	0	0	0
Adjustments:			
Transfer(s) To			
Correctional Services, Department of General Fund	10,279,000		
Education Department, State Special Revenue Funds - Federal	39,000		
Special Revenue Funds - Other	683,000		
Environmental Conservation, Department of General Fund	58,000		
Special Revenue Funds - Other	284,000		
General Services, Office of General Fund	66,000		
General State Charges General Fund	2,051,000		
Health, Department of General Fund	247,000		
Special Revenue Funds - Other	364,000		
Homeland Security and Emergency Services, Division of General Fund	8,000		
Labor Management Committees General Fund	374,000		
Labor, Department of Special Revenue Funds - Federal	333,000		
Mental Health, Office of Special Revenue Funds - Other	24,951,000		
Motor Vehicles, Department of Special Revenue Funds - Other	47,000		
Parks, Recreation and Historic Preservation, Office of General Fund	886,000		
Special Revenue Funds - Other	122,000		
Parole, Division of General Fund	431,000		
Paybill Transfer General Fund	4,552,000		
Special Revenue Funds - Other	15,000		
People with Developmental Disabilities, Office for Special Revenue Funds - Other	4,328,000		
State Police, Division of General Fund	1,022,000		
State University of New York General Fund	1,115,000		
Special Revenue Funds - Other	1,794,000		
State, Department of Special Revenue Funds - Other	34,000		
Workers' Compensation Board Special Revenue Funds - Other	375,000		
Appropriated 2010-11	<u>54,458,000</u>		

MISCELLANEOUS

STATE EQUIPMENT FINANCING PROGRAM

Statewide equipment represents spending for major equipment purchases and information systems research, development, and upgrades across all State agencies.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	0	0	0	0
Aid To Localities	0	0	0	0
Capital Projects	187,285,000	92,751,000	(94,534,000)	231,123,000
Total	<u>187,285,000</u>	<u>92,751,000</u>	<u>(94,534,000)</u>	<u>231,123,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2010-11	Recommended 2011-12	Change	Reappropriations 2011-12
Program Changes and Expansion				
Capital Projects Fund - Authority Bonds	187,285,000	92,751,000	(94,534,000)	231,123,000
Total	<u>187,285,000</u>	<u>92,751,000</u>	<u>(94,534,000)</u>	<u>231,123,000</u>

TRIBAL STATE COMPACT REVENUE

State Finance Law requires that, in instances where the State receives Native American casino revenues pursuant to a compact with the respective Tribe, the State must provide local host governments with up to 25 percent of the State's share of compact revenues. Accordingly, the Executive Budget recommends \$44.3 million, which represents 25 percent of the State's estimated revenues from Native American casinos located in Buffalo, Niagara Falls, Salamanca and Hogansburg.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	0	0	0	0
Aid To Localities	39,100,000	44,300,000	5,200,000	87,494,000
Capital Projects	0	0	0	0
Total	<u>39,100,000</u>	<u>44,300,000</u>	<u>5,200,000</u>	<u>87,494,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
Special Revenue Funds - Other	39,100,000	44,300,000	5,200,000
Total	<u>39,100,000</u>	<u>44,300,000</u>	<u>5,200,000</u>

MISCELLANEOUS

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Tribal-State Compact Revenue Program			
Special Revenue Funds - Other	39,100,000	44,300,000	5,200,000
Total	<u>39,100,000</u>	<u>44,300,000</u>	<u>5,200,000</u>

WORKERS' COMPENSATION RESERVE

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	18,030,000	16,130,000	(1,900,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>18,030,000</u>	<u>16,130,000</u>	<u>(1,900,000)</u>	<u>0</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Workers' Compensation Reserve	16,130,000	(1,900,000)	16,130,000	(1,900,000)
Total	<u>16,130,000</u>	<u>(1,900,000)</u>	<u>16,130,000</u>	<u>(1,900,000)</u>

WORLD TRADE CENTER REBUILDING AND REVITALIZATION

The recommended reappropriations will facilitate the continuation of New York State's efforts to revitalize the World Trade Center property and lower Manhattan. Recommendations will provide ongoing authorization for payments associated with the engineering and construction of State capital projects in lower Manhattan, including the reconstruction and improvement of Route 9A, and September 11th-related expenses.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	0	0	0	0
Aid To Localities	0	0	0	39,000,000
Capital Projects	0	0	0	295,132,000
Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>334,132,000</u>

MISCELLANEOUS

CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Comprehensive Construction Program	Available 2010-11	Recommended 2011-12	Change	Reappropriations 2011-12
World Trade Center				
Federal Capital Projects Fund	0	0	0	295,132,000
Total	0	0	0	295,132,000

USER'S GUIDE

Shortfall refers to a situation where actual revenues collected are less than those that had been projected.

A **sole-custody fund** is administered by an individual State agency official and is not under the joint custody of the State Comptroller and the Commissioner of Taxation and Finance. Sole-custody funds are usually fiduciary in nature. Examples include assets held for wards of the State.

A **surplus**, for purposes of the cash-basis Financial Plan, is an excess of receipts over disbursements at the end of a fiscal year. On a GAAP basis, a surplus is an excess of revenues over expenditures or expenses at the end of a fiscal year.