

DIVISION OF HOMELAND SECURITY AND EMERGENCY SERVICES

MISSION

The Division of Homeland Security and Emergency Services (DHSES) was established in July 2010 through the merger of four existing entities: the Office of Homeland Security (now the Office of Counter Terrorism), the State Emergency Management Office (now the Office of Emergency Management), the Office of Fire Prevention and Control (OFPC), and the Office of Cyber Security and Critical Infrastructure Coordination (now the Office of Cyber Security). Additionally, as part of the merger, a new Office of Interoperable and Emergency Communications was established within DHSES.

The Division provides leadership, coordination and support for efforts to prevent, protect against, prepare for, respond to, and recover from terrorism and other man-made and natural disasters, threats, fires and other emergencies.

ORGANIZATION AND STAFFING

The establishment of the Division centralized the oversight of a broad range of related activities that were previously managed by several different State agencies, including: assessing the vulnerability of critical IT assets and systems; supporting the development of interoperable communications systems in New York State; providing training and support to State and local first responders related to disaster preparedness and mitigation; incident management and arson investigation; coordinating the collection and dissemination of counter-terrorism information among law enforcement agencies and other stakeholders; and administering a broad range of State and Federal grants to support various public safety initiatives.

The Division's central office is in Albany. It also has a satellite office in New York City and has regional emergency management and OFPC field offices throughout the State. In addition, the State Preparedness Training Center located outside Oriskany, Oneida County, and the Academy of Fire Science in Montour Falls, Schuyler County serve as key training facilities for first responders across the State.

BUDGET HIGHLIGHTS

The 2011-12 Executive Budget recommends **\$818.3 million** in All Funds spending (\$14.1 million General Fund; and \$804.2 million Other Funds) to support ongoing agency activities. This is a decrease of **\$18.2 million (-2.2 percent)** All Funds (a decrease of \$1.2 million General Fund and \$42 million Capital Projects; as offset by a \$25 million increase in Other Funds) from the 2010-11 Enacted Budget. The Executive Budget reduces each agency's General Fund State Operations budget by 10 percent. These savings are intended to be achieved through administrative efficiencies in non-personal service and negotiated workforce savings that minimize layoffs to the extent possible.

Major budget actions include:

- **Local Interoperable Communications Grants Program:** The Executive Budget continues support for a new program that will award grants to county consortiums to assist them in the development of county-driven interoperable communications networks for use by both State and local first-responder agencies. These grants will be funded from a portion of the cellular surcharge revenues.

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- **Enhanced Training Facilities for First-Responders:** The Executive Budget includes re-appropriated funds to support the second year of a five-year, \$42 million capital plan to expand the existing State Preparedness Training Center (SPTC) in Oneida County, outside of Oriskany. This investment will advance the vision of a state-of-the-art training facility for State, local and Federal first-responders, and allow the SPTC to become the central location for preparedness training in the State of New York. By coordinating programs at the SPTC, the Academy of Fire Science in Montour Falls, and other applicable state agencies and training centers, the Division will offer high-quality, integrated multi-disciplinary training to first responders in a much more efficient and coordinated manner.
- **Communications Equipment for State Agencies:** Similar to last year, the Executive Budget includes \$30 million for the purchase of emergency communications equipment for State agencies.

PROGRAM HIGHLIGHTS

The merger of functions related to homeland security and emergency services that began in 2010-11 created a more effective partner for both local and Federal stakeholders, and one uniquely focused on the support of first responders. While the primary missions of the previously separate offices have been preserved as specialized offices within DHSES, the merger has allowed the State to leverage its existing resources as part of a more comprehensive strategy. Although the merger implementation process is still underway, the State expects to offer improved training for first-responders and a wide array of State and Federal grants programs, including new grants to support the development of regional interoperable communications networks in New York State. Other programs and functions which continue to represent State priorities within the new Division structure are highlighted below.

- **Federal Homeland Security Grant Programs:** Since 2001, over \$2 billion in Federal homeland security grants have been allocated, including over \$450 million in 2010. This requires the development and execution of over 1,300 contracts with State, local and other entities that comply with State and Federal contracting, reporting and oversight requirements. In addition, DHSES works with State agencies and the Urban Area Work Groups to submit a comprehensive application for Federal homeland security funds not later than 90 days after the release of federal guidance.
- **Intelligence Analysis and Dissemination:** Working in concert with the New York State Police and other stakeholders, DHSES will continue to sustain the analysis and dissemination of relevant terrorism information to law enforcement agencies and other stakeholders through the New York State Intelligence Center's Counter-Terrorism Center (CTC).
- **Fire Prevention and Control:** The Office of Fire Prevention and Control will continue to provide training and technical support for fire fighters, specialized arson investigation training, and fire safety inspections at colleges and State buildings. Further, low interest loans to fire and ambulance companies will continue through the Emergency Revolving Loan Program.
- **Enhancing First Responder Capabilities Through Training and Exercises:** In 2011, DHSES will sustain first responder capabilities by training or directly supporting the training of first responders across the State, including providing a

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variety of high-level courses at the SPTC. In 2008, the SPTC received accreditation from the Commission on Accreditation for Law Enforcement Agencies (CALEA), only the second training center in New York State to receive this prestigious recognition. Expansion plans for the SPTC include a Weapons Training Complex and a Simulations Complex to include a converted 200'x200' hanger complete with interior and exterior streets, business facades, apartments and offices. This simulated "Cityscape" will provide first responders with a state-of-the-art, real-life training environment. In addition to the SPTC, the State Fire Academy in Montour Falls will continue to be a key training resource for the fire community.

- **Cyber Security:** The Office of Cyber Security (OCS) provides statewide leadership and vision in the cyber security arena. OCS works to protect the State's cyber security infrastructure by identifying and mitigating vulnerabilities, deterring and responding to cyber events, developing statewide cyber security policies and standards, and promoting cyber security awareness within the State.
- **NY Alert Emergency Notification System.** Beginning in 2007-08, a statewide solution to emergency notifications benefiting schools, State University of New York campuses, and citizens across the State was established by the State Emergency Management Office. This function is preserved in DHSES, with enhancements allowing for increased enrollments, greater use of GIS, and expanded communications mediums that allow NY Alert to reach more citizens in times of emergency.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2010-11	Appropriations Recommended 2011-12	Change	Reappropriations Recommended 2011-12
State Operations	136,733,000	135,530,000	(1,203,000)	105,685,000
Aid To Localities	657,717,700	682,751,000	25,033,300	2,905,738,400
Capital Projects	42,000,000	0	(42,000,000)	37,000,000
Total	836,450,700	818,281,000	(18,169,700)	3,048,423,400

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ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2010-11 Estimated FTEs 03/31/11	2011-12 Estimated FTEs 03/31/12	FTE Change
Administration			
General Fund	56	56	0
Special Revenue Funds - Other	20	20	0
Cyber Security and Critical Infrastructure			
Coordination Program			
General Fund	44	44	0
Special Revenue Funds - Federal	4	5	1
Emergency Management			
General Fund	25	25	0
Special Revenue Funds - Federal	62	77	15
Special Revenue Funds - Other	12	15	3
Homeland Security Program			
Special Revenue Funds - Federal	40	50	10
Interop Program			
Special Revenue Funds - Other	8	8	0
Fire Prevention and Control			
Special Revenue Funds - Other	133	133	0
Total	404	433	29

The above table does not reflect layoffs that may be necessary in the absence of negotiated workforce savings.

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	11,957,000	10,754,000	(1,203,000)
Special Revenue Funds - Federal	9,111,000	9,111,000	0
Special Revenue Funds - Other	63,665,000	63,665,000	0
Enterprise Funds	50,000,000	50,000,000	0
Internal Service Funds	2,000,000	2,000,000	0
Total	136,733,000	135,530,000	(1,203,000)

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(8,000)
Appropriated 2010-11	136,725,000

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2010-11	Recommended 2011-12	Change
Administration			
General Fund	6,415,000	5,766,000	(649,000)
Special Revenue Funds - Other	13,470,000	13,470,000	0
Cyber Security and Critical Infrastructure			
Coordination Program			
General Fund	3,421,000	3,079,000	(342,000)
Special Revenue Funds - Other	12,800,000	12,800,000	0
Internal Service Funds	2,000,000	2,000,000	0
Disaster Assistance			
Special Revenue Funds - Federal	4,786,000	4,786,000	0
Emergency Management			
General Fund	2,121,000	1,909,000	(212,000)
Special Revenue Funds - Federal	1,025,000	1,025,000	0
Special Revenue Funds - Other	3,703,000	3,703,000	0
Enterprise Funds	50,000,000	50,000,000	0
Interop Program			
Special Revenue Funds - Other	32,000,000	32,000,000	0
Fire Prevention and Control			
Special Revenue Funds - Federal	3,300,000	3,300,000	0
Special Revenue Funds - Other	1,692,000	1,692,000	0
Total	<u>136,733,000</u>	<u>135,530,000</u>	<u>(1,203,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	5,496,000	(619,000)	5,245,000	(591,000)
Cyber Security and Critical Infrastructure				
Coordination Program	2,179,000	(242,000)	2,171,000	(241,000)
Emergency Management	1,909,000	(212,000)	1,840,000	(204,000)
Total	<u>9,584,000</u>	<u>(1,073,000)</u>	<u>9,256,000</u>	<u>(1,036,000)</u>
Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	236,000	(26,000)	15,000	(2,000)
Cyber Security and Critical Infrastructure				
Coordination Program	0	0	8,000	(1,000)
Emergency Management	36,000	(4,000)	33,000	(4,000)
Total	<u>272,000</u>	<u>(30,000)</u>	<u>56,000</u>	<u>(7,000)</u>

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STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	270,000	(30,000)	18,000	(2,000)
Cyber Security and Critical Infrastructure Coordination Program	900,000	(100,000)	27,000	(3,000)
Total	1,170,000	(130,000)	45,000	(5,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	0	0	234,000	(26,000)
Cyber Security and Critical Infrastructure Coordination Program	13,000	(2,000)	765,000	(85,000)
Total	13,000	(2,000)	999,000	(111,000)

Program	Equipment	
	Amount	Change
Administration	18,000	(2,000)
Cyber Security and Critical Infrastructure Coordination Program	95,000	(10,000)
Total	113,000	(12,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2011-12 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	13,470,000	0	2,370,000	1,370,000
Cyber Security and Critical Infrastructure Coordination Program	14,800,000	0	1,321,000	0
Disaster Assistance	4,786,000	0	2,200,000	0
Emergency Management	54,728,000	0	1,139,000	0
Interop Program	32,000,000	0	1,000,000	0
Fire Prevention and Control	4,992,000	0	545,000	0
Total	124,776,000	0	8,575,000	1,370,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	11,100,000	6,600,000	0	(7,970,000)
Cyber Security and Critical Infrastructure Coordination Program	13,479,000	0	0	0
Disaster Assistance	2,586,000	0	0	0
Emergency Management	2,564,000	0	51,025,000	0
Interop Program	0	0	31,000,000	0
Fire Prevention and Control	4,447,000	0	0	0
Total	34,176,000	6,600,000	82,025,000	(7,970,000)

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2010-11	Recommended 2011-12	Change
General Fund	3,300,000	3,300,000	0
Special Revenue Funds - Federal	618,363,000	618,363,000	0
Special Revenue Funds - Other	36,054,700	61,088,000	25,033,300
Total	657,717,700	682,751,000	25,033,300

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AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2010-11</u>	<u>Recommended 2011-12</u>	<u>Change</u>
Emergency Management			
General Fund	3,300,000	3,300,000	0
Special Revenue Funds - Federal	18,363,000	18,363,000	0
Special Revenue Funds - Other	2,967,000	3,000,000	33,000
Homeland Security Program			
Special Revenue Funds - Federal	600,000,000	600,000,000	0
Interop Program			
Special Revenue Funds - Other	20,000,000	54,300,000	34,300,000
Fire Prevention and Control			
Special Revenue Funds - Other	13,087,700	3,788,000	(9,299,700)
Total	<u>657,717,700</u>	<u>682,751,000</u>	<u>25,033,300</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2010-11</u>	<u>Recommended 2011-12</u>	<u>Change</u>	<u>Reappropriations 2011-12</u>
Design and Construction Supervision				
Capital Projects Fund	42,000,000	0	(42,000,000)	37,000,000
Total	<u>42,000,000</u>	<u>0</u>	<u>(42,000,000)</u>	<u>37,000,000</u>