



***PUBLIC PROTECTION AND
GENERAL GOVERNMENT***

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

MISSION

The Division of Alcoholic Beverage Control operates under the direction of the State Liquor Authority, a three-member board appointed by the Governor with the advice and consent of the Senate. The Division regulates and controls the manufacture, sale and distribution of alcoholic beverages within the State; issues licenses and permits to manufacturers, distributors, wholesalers and retailers; works with local law enforcement agencies and localities across the State to ensure compliance with the Alcoholic Beverage Control Law; and regulates trade and credit practices for the sale and distribution of alcoholic beverages.

ORGANIZATION AND STAFFING

The Division's organization includes three functional areas: Administration, Licensing and Compliance. Administration includes the Offices of the Chairman of the Authority, the Chief Operating Officer, Government Affairs, Communications and other traditional administrative functions. Licensing includes the Licensing Bureau which is responsible for processing new and renewal license applications, in addition to the Wholesale Bureau which administers price schedules and trade practices. Compliance includes the Office of Counsel, as well as the Enforcement and Hearing Bureaus.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$22.3 million** in funding for the Division. This is an increase of **\$3.8 million** in funds from the 2009-10 budget. Up to \$3.6 million of these resources will support implementation of legislation authorizing the sale of wine in grocery stores, and will also be used over the next two years to improve internal licensing processes and address technology deficiencies.

The Division is entirely self-funded by revenue generated from fees and fines it imposes. The Executive Budget projects a staffing level of **175**, which is an increase of **20** from 2009-10.

PROGRAM HIGHLIGHTS

The agency is moving forward with plans to modernize and upgrade its technology. These upgrades will increase operational efficiency throughout the agency, including the processing of license applications. They will also provide a more user-friendly interface for licensees, while supporting the Division's efforts in enforcing the Alcoholic Beverage Control Law, Rules and Regulations. Additionally, changes are being made to the procedures used by agency staff when processing license applications with the objective of eliminating the current licensing backlog.

The State Liquor Authority and Alcoholic Beverage Control are also enhancing compliance activities through the Rapid Enforcement Unit (REU) program while developing partnerships with community boards and local law enforcement agencies, particularly in the downstate area. The Division is also taking steps to increase the investigation of complaints as well as expedite hearings to protect public health, safety and welfare.

ALCOHOLIC BEVERAGE CONTROL

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	18,480,000	22,335,000	3,855,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	18,480,000	22,335,000	3,855,000	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration			
Special Revenue Funds - Other	16	16	0
Compliance			
Special Revenue Funds - Other	74	74	0
Licensing and Wholesaler Services			
Special Revenue Funds - Other	65	85	20
Total	155	175	20

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
Special Revenue Funds - Other	18,480,000	22,335,000	3,855,000
Total	18,480,000	22,335,000	3,855,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Administration			
Special Revenue Funds - Other	4,939,000	4,911,000	(28,000)
Compliance			
Special Revenue Funds - Other	8,048,000	8,151,000	103,000
Licensing and Wholesaler Services			
Special Revenue Funds - Other	5,493,000	9,273,000	3,780,000
Total	18,480,000	22,335,000	3,855,000

ALCOHOLIC BEVERAGE CONTROL

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	4,911,000	(28,000)	1,345,000	(44,000)
Compliance	8,151,000	103,000	4,728,000	(71,000)
Licensing and Wholesaler Services	9,273,000	3,780,000	3,243,000	(48,000)
Total	<u>22,335,000</u>	<u>3,855,000</u>	<u>9,316,000</u>	<u>(163,000)</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	3,566,000	16,000	0	0
Compliance	3,423,000	174,000	0	0
Licensing and Wholesaler Services	2,430,000	228,000	3,600,000	3,600,000
Total	<u>9,419,000</u>	<u>418,000</u>	<u>3,600,000</u>	<u>3,600,000</u>

DEPARTMENT OF AUDIT AND CONTROL

MISSION

The Department of Audit and Control was created in 1926 and is headed by the State Comptroller, who is elected by the people. The Department is responsible for paying the State's bills and payrolls; verifying all financial transactions of the State; reviewing the financial and management practices of State agencies; supervising the fiscal affairs of local governments; investing State funds and issuing bonds and notes; and administering the retirement program for State and most local government employees.

ORGANIZATION AND STAFFING

The operations of the Department of Audit and Control are organized into eleven programs, with its main office in Albany and regional offices in New York City, Buffalo, Rochester, Syracuse, Binghamton, Glens Falls, Newburgh and Hauppauge. These regional offices function primarily as decentralized audit centers, providing financial review of the accounting of revenues collected and expenses incurred by counties, cities, towns and villages, school and fire districts and quasi-governmental entities.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$290.1 million All Funds** (\$173.5 million General Fund; \$101.4 million Fiduciary Fund; \$4.2 million Internal Service Fund; \$11 million Other Funds), unchanged from 2009-10. The Department of Audit and Control will have a workforce limit of **2,643**. The Division of the Budget projects that with attrition, staffing levels could fall as low as **2,552**.

PROGRAM HIGHLIGHTS

- The Executive Direction, Legal Services, Administration and the Chief Information Office programs are responsible for the public information, internal audit, fiscal research, IT operations, financial administration, legal, office services, management analysis and human resource functions of the Department.
- The Office of Operations provides accounting and financial reporting services, audits State contracts, expenditures and payrolls prior to payment, carries out the mandates of the Abandoned Property Law by safeguarding lost assets belonging to residents, maintains the State's current Central Accounting System, and is working with the Executive to consolidate the FOCAS and NYFMS projects into a single statewide financial system. The Office is also responsible for the VendRep system, which was established to promote State agency and Office of the State Comptroller due diligence in assessing the responsibility of vendors with whom the State contracts.
- The State and Local Government Accountability program provides oversight through audits of all State and local government agencies, authorities and special purpose entities. It collects and reviews local government financial data, provides training programs and support services for local financial officials, and publishes research and analysis on a variety of local government policy issues. The Office is comprised of the Divisions of State Government Accountability and Local Government and School Accountability.

AUDIT AND CONTROL

- The Office of the State Deputy Comptroller for the City of New York assists the New York State Financial Control Board in carrying out and exercising the responsibilities assigned, and powers granted, to the Board by the Financial Emergency Act for the City of New York. The Retirement Services program administers the State Retirement Systems, consisting of the Employees' Retirement System, the Police and Fire Retirement System and the Public Employees' Group Life Insurance Plan. Currently, there are about 3,000 participating government employers, 677,000 active and vested members and approximately 358,000 pensioners and their beneficiaries.
- The Pension Investment and Public Finance program, in addition to overseeing the assets of the Retirement Systems, issues general obligation debt, invests short-term moneys for the State and local governments and selects financial institutions to provide banking services to the State.
- Under Chapter 845 of the Laws of 1977, the Comptroller administers the New York State Environmental Protection and Oil Spill Compensation Fund. Costs associated with cleaning up oil spills are paid from the fund upon certification of the Commissioner of Environmental Conservation. Moneys in this fund consist of receipts from a fee levied on each barrel of petroleum shipped into the State.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	258,113,000	258,113,000	0	0
Aid To Localities	32,025,000	32,025,000	0	0
Capital Projects	0	0	0	0
Total	290,138,000	290,138,000	0	0

AUDIT AND CONTROL

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration Program			
General Fund	115	115	0
Chief Information Office			
General Fund	168	168	0
Environmental Protection and Spill			
Compensation			
Special Revenue Funds - Other	6	6	0
Executive Direction			
General Fund	98	98	0
Internal Service Funds	13	13	0
Pension Investment and Public Finance			
Program			
General Fund	5	5	0
Fiduciary Funds	54	54	0
Legal Services			
General Fund	58	58	0
State Services Program			
Special Revenue Funds - Federal	8	8	0
Internal Service Funds	11	11	0
Retirement Services Program			
Fiduciary Funds	850	850	0
Office of the Special Deputy Comptroller for			
New York City			
Special Revenue Funds - Other	28	28	0
State and Local Accountability			
General Fund	538	538	0
State Operations			
General Fund	600	600	0
Total	2,552	2,552	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	141,457,000	141,457,000	0
Special Revenue Funds - Other	11,008,000	11,008,000	0
Internal Service Funds	4,258,000	4,258,000	0
Fiduciary Funds	101,390,000	101,390,000	0
Total	258,113,000	258,113,000	0

AUDIT AND CONTROL

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Administration Program			
General Fund	12,572,000	12,572,000	0
Chief Information Office			
General Fund	19,453,000	19,453,000	0
Environmental Protection and Spill			
Compensation			
Special Revenue Funds - Other	1,018,000	1,018,000	0
Executive Direction			
General Fund	8,759,000	8,759,000	0
Internal Service Funds	1,868,000	1,868,000	0
Pension Investment and Public Finance			
Program			
General Fund	756,000	756,000	0
Internal Service Funds	2,240,000	2,240,000	0
Fiduciary Funds	11,288,000	11,288,000	0
Legal Services			
General Fund	6,308,000	6,308,000	0
Retirement Services Program			
Fiduciary Funds	90,102,000	90,102,000	0
Office of the Special Deputy Comptroller for			
New York City			
Special Revenue Funds - Other	4,397,000	4,397,000	0
State and Local Accountability			
General Fund	43,993,000	43,993,000	0
Special Revenue Funds - Other	491,000	491,000	0
State Operations			
General Fund	49,616,000	49,616,000	0
Special Revenue Funds - Other	5,102,000	5,102,000	0
Internal Service Funds	150,000	150,000	0
Total	258,113,000	258,113,000	0

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration Program	7,274,000	0	7,124,000	0
Chief Information Office	14,323,000	0	13,823,000	0
Executive Direction	7,882,000	0	7,792,000	0
Pension Investment and Public Finance				
Program	561,000	0	558,000	0
Legal Services	5,932,000	0	5,862,000	0
State and Local Accountability	39,076,000	0	38,601,000	0
State Operations	38,726,000	0	37,309,000	0
Total	113,774,000	0	111,069,000	0

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration Program	50,000	0	100,000	0
Chief Information Office	300,000	0	200,000	0
Executive Direction	75,000	0	15,000	0
Pension Investment and Public Finance				
Program	3,000	0	0	0
Legal Services	50,000	0	20,000	0
State and Local Accountability	275,000	0	200,000	0
State Operations	475,000	0	942,000	0
Total	1,228,000	0	1,477,000	0

AUDIT AND CONTROL

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration Program	5,298,000	0	346,000	0
Chief Information Office	5,130,000	0	550,000	0
Executive Direction	877,000	0	74,000	0
Pension Investment and Public Finance Program	195,000	0	12,000	0
Legal Services	376,000	0	67,000	0
State and Local Accountability	4,917,000	0	206,000	0
State Operations	10,890,000	0	458,000	0
Total	27,683,000	0	1,713,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration Program	201,000	0	4,603,000	0
Chief Information Office	125,000	0	3,065,000	0
Executive Direction	91,000	0	682,000	0
Pension Investment and Public Finance Program	21,000	0	129,000	0
Legal Services	73,000	0	216,000	0
State and Local Accountability	2,961,000	0	1,441,000	0
State Operations	400,000	0	6,661,000	0
Total	3,872,000	0	16,797,000	0

Program	Equipment	
	Amount	Change
Administration Program	148,000	0
Chief Information Office	1,390,000	0
Executive Direction	30,000	0
Pension Investment and Public Finance Program	33,000	0
Legal Services	20,000	0
State and Local Accountability	309,000	0
State Operations	3,371,000	0
Total	5,301,000	0

AUDIT AND CONTROL

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Environmental Protection and Spill				
Compensation	1,018,000	0	523,000	0
Executive Direction	1,868,000	0	1,201,000	0
Pension Investment and Public Finance				
Program	13,528,000	0	6,696,000	0
Retirement Services Program	90,102,000	0	44,449,000	0
Office of the Special Deputy Comptroller for				
New York City	4,397,000	0	2,759,000	0
State and Local Accountability	491,000	0	270,000	0
State Operations	5,252,000	0	68,000	0
Total	<u>116,656,000</u>	<u>0</u>	<u>55,966,000</u>	<u>0</u>

Program	Nonpersonal Service	
	Amount	Change
Environmental Protection and Spill		
Compensation	495,000	0
Executive Direction	667,000	0
Pension Investment and Public Finance		
Program	6,832,000	0
Retirement Services Program	45,653,000	0
Office of the Special Deputy Comptroller for		
New York City	1,638,000	0
State and Local Accountability	221,000	0
State Operations	5,184,000	0
Total	<u>60,690,000</u>	<u>0</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	32,025,000	32,025,000	0
Total	<u>32,025,000</u>	<u>32,025,000</u>	<u>0</u>

Adjustments:	
Transfer(s) To	
Criminal Justice Services, Division of	
Special Revenue Funds - Other	78,000,000
Appropriated 2009-10	<u>110,025,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
State Operations			
General Fund	32,025,000	32,025,000	0
Total	<u>32,025,000</u>	<u>32,025,000</u>	<u>0</u>

DIVISION OF THE BUDGET

MISSION

The Division of the Budget is responsible for assisting the Governor in the development of the Executive Budget and executes the budget as adopted by the Legislature. The Division also serves as the Governor's primary advisor on such fiscal matters as local government and public authority finances.

ORGANIZATION AND STAFFING

Located in Albany, the Division of the Budget operates under the direction of the Budget Director.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$59 million All Funds** (\$34 million General Fund; \$25 million Other Funds) for the Division of the Budget. This is an overall decrease of **\$2.3 million**, due to reductions in personal and nonpersonal service. The Executive Budget also recommends a staffing level of **331 FTEs** for the Division of the Budget, a decrease of **10** from the 2009-10 budget.

PROGRAM HIGHLIGHTS

The Division's activities include:

- Establishing budget policy and agency direction;
- Providing fiscal policy advice in revenue and expenditure forecasting, budget process management and intergovernmental relations; and
- Coordinating the development and execution of State agency programs and budgets.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	61,345,000	59,036,000	(2,309,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	61,345,000	59,036,000	(2,309,000)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
	Budget Division		
General Fund	288	280	(8)
Special Revenue Funds - Other	53	51	(2)
Total	341	331	(10)

BUDGET

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2009-10</u>	<u>Recommended 2010-11</u>	<u>Change</u>
General Fund	34,932,000	33,955,000	(977,000)
Special Revenue Funds - Other	24,763,000	23,431,000	(1,332,000)
Internal Service Funds	1,650,000	1,650,000	0
Total	<u>61,345,000</u>	<u>59,036,000</u>	<u>(2,309,000)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2009-10</u>	<u>Recommended 2010-11</u>	<u>Change</u>
Budget Division			
General Fund	30,932,000	29,955,000	(977,000)
Special Revenue Funds - Other	22,763,000	21,431,000	(1,332,000)
Internal Service Funds	1,650,000	1,650,000	0
Cash Management Improvement Act			
General Fund	4,000,000	4,000,000	0
Special Revenue Funds - Other	2,000,000	2,000,000	0
Total	<u>61,345,000</u>	<u>59,036,000</u>	<u>(2,309,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division	24,702,000	(413,000)	24,002,000	(413,000)
Total	<u>24,702,000</u>	<u>(413,000)</u>	<u>24,002,000</u>	<u>(413,000)</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division	500,000	0	200,000	0
Total	<u>500,000</u>	<u>0</u>	<u>200,000</u>	<u>0</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division	5,253,000	(564,000)	200,000	0
Cash Management Improvement Act	4,000,000	0	0	0
Total	<u>9,253,000</u>	<u>(564,000)</u>	<u>200,000</u>	<u>0</u>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division	186,000	(14,000)	4,251,000	0
Cash Management Improvement Act	0	0	4,000,000	0
Total	<u>186,000</u>	<u>(14,000)</u>	<u>8,251,000</u>	<u>0</u>

<u>Program</u>	<u>Equipment</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division	300,000	0	316,000	(550,000)
Cash Management Improvement Act	0	0	0	0
Total	<u>300,000</u>	<u>0</u>	<u>316,000</u>	<u>(550,000)</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Budget Division	23,081,000	(1,332,000)	6,710,000	(84,000)
Cash Management Improvement Act	2,000,000	0	0	0
Total	<u>25,081,000</u>	<u>(1,332,000)</u>	<u>6,710,000</u>	<u>(84,000)</u>

Program	Nonpersonal Service		Maintenance	Undistributed
	Amount	Change	Amount	Change
Budget Division	16,221,000	(1,248,000)	150,000	0
Cash Management Improvement Act	0	0	2,000,000	0
Total	<u>16,221,000</u>	<u>(1,248,000)</u>	<u>2,150,000</u>	<u>0</u>

DEPARTMENT OF CIVIL SERVICE

MISSION

In accordance with the Civil Service Law, the Department of Civil Service is charged with providing human resource management services to State and local governments.

ORGANIZATION AND STAFFING

Based in Albany, the Department of Civil Service operates under the direction of a Commissioner who is appointed by the Governor. The Civil Service Commission consists of the Commissioner, who serves as its President, and two Commissioners appointed by the Governor. The Commission acts as an appellate body responsible for reviewing determinations of the Department and the Director of Classification and Compensation.

The responsibilities of the Department are carried out through eight program areas:

- The Staffing Services Division provides State agencies with personnel recruitment and placement services. The Division coordinates the Department's response to agency personnel operations and develops and administers a variety of tests for State positions, including oral, training and experience and performance assessments;
- The Testing Services Division develops, administers and validates State and local written tests;
- The Division of Classification and Compensation determines appropriate job titles for agency functions and salary levels for new and existing positions;
- The Employee Benefits Division administers health, dental, life, vision, disability and accident benefit programs for State employees and participating local governments. Responsibilities include contracting with insurance companies and other vendors to deliver services, financial management of these programs, communicating plan provisions to subscribers, assisting enrollees in resolving disputed claims, maintaining enrollment information for over 1.2 million covered individuals and financial accounting for approximately \$6.8 billion in annual premiums through the New York Benefits Eligibility and Accounting System;
- The Employee Health Service, working from nursing stations throughout the State, is responsible for conducting and administering medical examinations and evaluations, workplace nursing activities, and occupational health screenings and immunizations for NYS employees;
- The Office of Commission Operations and Municipal Assistance assists 97 local civil service agencies in classifying positions, interpreting laws and rules and, together with the Testing Division, providing selection devices and examinations, and supports Civil Service Commission activities;
- The Division of Information Resource Management provides the Department's basic data, information and systems and has primary responsibility for implementation of the Department's technology projects; and
- The Division of Administration provides leadership, management direction and support for the operating divisions of the Department, and is composed of units responsible for human resources, finance, legal, internal audit, diversity management, and public information.

CIVIL SERVICE

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$61.6 million All Funds** (\$18.6 million General Fund; \$40.7 million Internal Service Fund; \$2.3 million Other Funds) for the Department of Civil Service. This is a decrease of **\$2.8 million** from the 2009-10 budget. This decrease primarily reflects attrition, greater use of technology to reduce costs, elimination of non-essential travel and other administrative savings initiatives.

The Executive Budget recommends a staffing level of an estimated 498 for the Department of Civil Service, a decrease of five from the 2009-10 budget.

PROGRAM HIGHLIGHTS

In 2010-11, the Department will continue targeted investments in technology to improve services to State agencies, employees and retirees, building on recent technology successes such as the Eligible List Management System (ELMS) and MyNYSHIP. Work continues on the Integrated Testing System (ITS), a web-based system that will enhance the quality and timeliness of test development, administration and scoring. The Department is in the design phase of the project and working with the contractor to develop a system that will meet the Department's business needs.

As part of the health insurance premium setting process each year, the Department, assisted by its actuarial consultant, reviews carrier premiums demands and negotiates reductions in premiums to more accurately reflect expected claim costs and allowable administrative expenses in the coming plan year. The Department has achieved significant plan savings through this process in the past and will diligently continue to identify future plan savings and operational improvements. Working closely with the Division of the Budget, the Department achieved a 2010 Empire Plan premium increase of only 3.3 percent, well below industry standards.

Given the size of the New York State Health Insurance Program (NYSHIP), the Department recognized the risk that additional costs were being incurred for ineligible dependents enrolled in the program. As a result, the Department awarded a contract to conduct an eligibility audit of NYSHIP's dependent population, which included a provision guaranteeing a return on investment of 3-to-1. More than 18,000 dependents were removed from coverage during the special Amnesty period. Based on the actual use of benefits by these ineligible persons over the past twelve months, the Department estimates that this alone will result in more than \$12.5 million in annual savings to the plan. The audit phase of the project, which entails the review of documentation submitted for dependents of State and participating local government enrollees, will conclude in mid-January 2010 and is expected to result in the termination of over 60,000 ineligible dependents. Primarily based on the projected reduction in program claim costs of the terminated dependents, the Department expects to realize additional savings in excess of \$30 million.

The cost of providing health insurance benefits continues to grow despite the State's best efforts to contain such costs. Although self-evaluation and responsiveness to enrollees and participating public employers have fostered continuous improvements of the program, the Department determined it would be beneficial to have an external evaluation of the Department's administration of NYSHIP and its benefit structure. This

review could identify program and cost efficiencies that could result in both short-term and long-term NYSHIP savings. The Department has selected an independent outside firm to conduct this audit.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	64,312,000	61,554,000	(2,758,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	64,312,000	61,554,000	(2,758,000)	0

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration and Information			
Management			
General Fund	55	55	0
Internal Service Funds	21	21	0
Commission Operations and Municipal			
Assistance			
General Fund	12	12	0
Labor Management Programs			
General Fund	16	16	0
Personnel Benefit Services			
General Fund	27	27	0
Internal Service Funds	152	152	0
Personnel Management Services			
General Fund	166	161	(5)
Special Revenue Funds - Other	5	5	0
Internal Service Funds	49	49	0
Total	503	498	(5)

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	22,211,000	18,593,000	(3,618,000)
Special Revenue Funds - Other	2,246,000	2,257,000	11,000
Internal Service Funds	39,855,000	40,704,000	849,000
Total	64,312,000	61,554,000	(2,758,000)

CIVIL SERVICE

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2009-10</u>	<u>Recommended 2010-11</u>	<u>Change</u>
Administration and Information			
Management			
General Fund	5,906,000	4,073,000	(1,833,000)
Internal Service Funds	3,556,000	3,549,000	(7,000)
Commission Operations and Municipal			
Assistance			
General Fund	753,000	887,000	134,000
Personnel Benefit Services			
General Fund	2,087,000	1,850,000	(237,000)
Special Revenue Funds - Other	300,000	300,000	0
Internal Service Funds	28,894,000	29,799,000	905,000
Personnel Management Services			
General Fund	13,465,000	11,783,000	(1,682,000)
Special Revenue Funds - Other	1,946,000	1,957,000	11,000
Internal Service Funds	7,405,000	7,356,000	(49,000)
Total	<u>64,312,000</u>	<u>61,554,000</u>	<u>(2,758,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration and Information				
Management	3,909,000	(1,478,000)	3,908,000	(1,478,000)
Commission Operations and Municipal				
Assistance	837,000	142,000	836,000	142,000
Personnel Benefit Services	1,717,000	(193,000)	1,678,000	(193,000)
Personnel Management Services	11,446,000	(1,058,000)	10,595,000	(1,058,000)
Total	<u>17,909,000</u>	<u>(2,587,000)</u>	<u>17,017,000</u>	<u>(2,587,000)</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration and Information				
Management	0	0	1,000	0
Commission Operations and Municipal				
Assistance	0	0	1,000	0
Personnel Benefit Services	28,000	0	11,000	0
Personnel Management Services	750,000	0	101,000	0
Total	<u>778,000</u>	<u>0</u>	<u>114,000</u>	<u>0</u>

CIVIL SERVICE

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Information Management	164,000	(355,000)	9,000	(6,000)
Commission Operations and Municipal Assistance	50,000	(8,000)	3,000	0
Personnel Benefit Services	133,000	(44,000)	41,000	(1,000)
Personnel Management Services	337,000	(624,000)	50,000	(64,000)
Total	684,000	(1,031,000)	103,000	(71,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Information Management	35,000	(2,000)	110,000	(325,000)
Commission Operations and Municipal Assistance	17,000	0	30,000	(8,000)
Personnel Benefit Services	0	(1,000)	87,000	(42,000)
Personnel Management Services	46,000	(4,000)	232,000	(556,000)
Total	98,000	(7,000)	459,000	(931,000)

Program	Equipment	
	Amount	Change
Administration and Information Management	10,000	(22,000)
Commission Operations and Municipal Assistance	0	0
Personnel Benefit Services	5,000	0
Personnel Management Services	9,000	0
Total	24,000	(22,000)

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Information Management	3,549,000	(7,000)	1,858,000	(63,000)
Personnel Benefit Services	30,099,000	905,000	11,915,000	230,000
Personnel Management Services	9,313,000	(38,000)	3,922,000	(69,000)
Total	42,961,000	860,000	17,695,000	98,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Information Management	1,691,000	56,000	0	0
Personnel Benefit Services	10,686,000	673,000	7,498,000	2,000
Personnel Management Services	5,391,000	31,000	0	0
Total	17,768,000	760,000	7,498,000	2,000

CONSUMER PROTECTION BOARD

MISSION

The Consumer Protection Board protects, educates and represents New York State's consumers in multiple forums. The Board mediates consumer marketplace complaints, promotes financial life skills and fraud prevention, enforces the State's Do Not Call Law, publicizes product recalls, advances information privacy and advocates on behalf of consumers in utility rate cases and before various regulatory agencies. The Board also advises the Governor on consumer issues and recommends legislation.

ORGANIZATION AND STAFFING

The Consumer Protection Board consists of three main bureaus: Outreach and Program Development, Utility Intervention and New Technologies, and Counsel, Policy Research and Investigations. The Board is located in Albany and New York City.

BUDGET HIGHLIGHTS

The 2010-11 Executive Budget recommends **\$3.2 million All Funds** (\$2.5 million General Fund, \$670,000 Other Funds). This is a decrease of **\$316,000** from the 2009-10 budget. The Consumer Protection Board will have a projected staffing level of **27** in 2010-11.

PROGRAM HIGHLIGHTS

In 2009-10, the Consumer Protection Board, leveraging its partnerships, promoted financial literacy and security with the development of various electronic and multi-media resources including its Banking on Our Children program. To inspire fair practices in the marketplace, the Board expanded its "Ask the Expert" project featuring home improvement professionals responding to questions from consumers. To raise identify theft and data security awareness, the Board held free "Shred the Word" events and developed nationally recognized business guidelines and programs. The Board successfully advocated for reductions in rate increases and greater protections for low-income customers of several utilities. The Board also advanced new rules at the New York Independent System Operator in response to the manipulation of the wholesale electricity market by suppliers. Continuing programs and efforts include the enhancement of product recall ensuring that New Yorkers are better informed of potentially dangerous products, and identity theft mitigation to reduce identify theft risk.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	3,494,000	3,178,000	(316,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	3,494,000	3,178,000	(316,000)	0

CONSUMER PROTECTION

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Program	Full-Time Equivalent Positions (FTE)		
	2009-10	2010-11	FTE Change
	Estimated FTEs 03/31/10	Estimated FTEs 03/31/11	
Consumer Protection			
General Fund	26	26	0
Special Revenue Funds - Other	1	1	0
Total	<u>27</u>	<u>27</u>	<u>0</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available	Recommended	Change
	2009-10	2010-11	
General Fund	3,094,000	2,508,000	(586,000)
Special Revenue Funds - Other	400,000	670,000	270,000
Total	<u>3,494,000</u>	<u>3,178,000</u>	<u>(316,000)</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available	Recommended	Change
	2009-10	2010-11	
Consumer Protection			
General Fund	3,094,000	2,508,000	(586,000)
Special Revenue Funds - Other	400,000	670,000	270,000
Total	<u>3,494,000</u>	<u>3,178,000</u>	<u>(316,000)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Consumer Protection	2,213,000	(290,000)	2,213,000	(290,000)
Total	<u>2,213,000</u>	<u>(290,000)</u>	<u>2,213,000</u>	<u>(290,000)</u>

CONSUMER PROTECTION

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Consumer Protection	295,000	(296,000)	70,000	(33,000)
Total	295,000	(296,000)	70,000	(33,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Consumer Protection	20,000	(34,000)	155,000	(203,000)
Total	20,000	(34,000)	155,000	(203,000)

Program	Equipment	
	Amount	Change
Consumer Protection	50,000	(26,000)
Total	50,000	(26,000)

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Consumer Protection	670,000	270,000	107,000	0
Total	670,000	270,000	107,000	0

Program	Nonpersonal Service	
	Amount	Change
Consumer Protection	563,000	270,000
Total	563,000	270,000

STATE COMMISSION OF CORRECTION

MISSION

The State Commission of Correction (SCOC) regulates and oversees the operation and management of State and local correctional facilities. The Commission's role is to promote a safe, secure and stable correctional system and to provide for the accountability of corrections officials. As a result of legislation enacted in 1996, the Commission's role includes the oversight of secure youth facilities operated by the Office of Children and Family Services.

ORGANIZATION AND STAFFING

The Commission is comprised of three members appointed by the Governor, one of whom is designated Chair. The other Commissioners respectively chair the Citizens Policy and Complaint Review Council, which reviews grievances and complaints against correctional facilities, and the Medical Review Board, which investigates inmate deaths and oversees inmate health care services.

Regional teams of review specialists are responsible for visiting and inspecting local and State correctional facilities, and juvenile detention facilities. They investigate unusual events at facilities, provide technical assistance to improve facility management and monitor facilities for compliance with standards and regulations.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$3 million (General Fund)** for the Commission of Correction, a decrease of **\$36,000** from the 2009-10 budget. The Executive Budget projects a staffing level of **32**, unchanged from 2009-10.

PROGRAM HIGHLIGHTS

The Commission monitors 68 State correctional facilities, 60 county jails, 16 New York City correctional facilities, 428 locally-operated police department detention facilities throughout the State and five secure facilities operated by the Office of Children and Family Services. The Commission also participates in the multi-agency Criminal Justice Systems Analysis Team (CJSAT) – operated in conjunction with the Division of Criminal Justice Services and the Division of Probation and Correctional Alternatives – to assist localities in analyzing operational issues in local correctional facilities.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	3,011,000	2,975,000	(36,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	3,011,000	2,975,000	(36,000)	0

CORRECTION

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Improvement of Correctional Facilities			
General Fund	32	32	0
Total	<u>32</u>	<u>32</u>	<u>0</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	3,011,000	2,975,000	(36,000)
Total	<u>3,011,000</u>	<u>2,975,000</u>	<u>(36,000)</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Improvement of Correctional Facilities			
General Fund	3,011,000	2,975,000	(36,000)
Total	<u>3,011,000</u>	<u>2,975,000</u>	<u>(36,000)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Improvement of Correctional Facilities	2,453,000	6,000	2,433,000	6,000
Total	<u>2,453,000</u>	<u>6,000</u>	<u>2,433,000</u>	<u>6,000</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Improvement of Correctional Facilities	20,000	0
Total	<u>20,000</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Improvement of Correctional Facilities	522,000	(42,000)	15,000	(1,000)
Total	522,000	(42,000)	15,000	(1,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Improvement of Correctional Facilities	176,000	(19,000)	323,000	(22,000)
Total	176,000	(19,000)	323,000	(22,000)

Program	Equipment	
	Amount	Change
Improvement of Correctional Facilities	8,000	0
Total	8,000	0

DEPARTMENT OF CORRECTIONAL SERVICES

MISSION

The Department of Correctional Services is responsible for the safe and secure confinement of convicted felons, and the preparation of these individuals for successful reintegration into the community upon release.

ORGANIZATION AND STAFFING

The Department oversees the nation's fourth largest state prison system, currently operating 68 institutions, grouped within nine regional hubs. Each of the 67 correctional facilities, as well as the Willard Drug Treatment Campus in Seneca County, operated by the Department in cooperation with the Division of Parole and the Office of Alcoholism and Substance Abuse Services, is managed by a Superintendent, who reports to the Commissioner. More than 20,200 – or 67 percent – of the Department's staff are security personnel, with remaining staff primarily dedicated to the delivery of inmate programs, health services or facility operations.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$3.0 billion All Funds** (\$2.5 billion General Fund; \$38 million Federal Funds; \$30 million Other Funds; \$74 million Internal Service Funds; \$43 million Enterprise Funds; \$320 million in Capital Projects Funds) for the Department of Correctional Services. A decrease of \$273 million in General Fund payments primarily reflects the closure of one minimum security facility and the minimum security portion of another facility in January 2011, the full fiscal-year effect of the closures of three correctional camps on July 1, 2009 and six correctional annexes on October 1, 2009, dorm consolidations and a reduction in required salary payments to members of the New York State Correctional Officers and Police Benevolent Association union resulting from a one-time, lump sum payment of back pay to union members in 2009 to satisfy a binding arbitration award. A decrease of \$6 million in Other Funds payments primarily reflects a re-estimate of needs for the Food Production Center. A decrease of \$4 million in Internal Services Fund payments reflects the Executive decision not to move forward with the license plate reissuance initiative.

Major budget actions include:

- **Close Three Prisons and a Portion of a Fourth, and Consolidate Dorms:** The prison population continues to decline, and is projected to drop by 1,100 in the current fiscal year, followed by another 1,000 within the following year – to a total of 57,600 inmates. As a result, the Department of Correctional Services will continue to consolidate facilities and eliminate excess capacity in its male correctional facilities. The minimum security Lyon Mountain Correctional Facility (Clinton County) and the minimum security portion of Butler Correctional Facility (Wayne County) will close in January 2011. Another two prisons will close in April 2011; the minimum security Moriah Shock Incarceration Correctional Facility (Essex County) and the medium security Ogdensburg Correctional Facility (St. Lawrence County). The planned closures will be undertaken in compliance with the current statutory provisions requiring one-year notification. The consolidation of various dorms will also be factored into the overall plan, providing flexibility to adjust in the event there are

CORRECTIONAL SERVICES

unexpected changes in the size and movement of the inmate population. Once the closures are completed, the workforce will have been reduced by 637, including 17 managerial staff at the closed facilities.

- **Medicaid Reimbursement for Inmates:** The Department of Correctional Services and the Department of Health will implement a new program to capture Federal Medicaid reimbursement for the cost of treating inmates in hospital settings outside the prison.

PROGRAM HIGHLIGHTS

Since peaking at nearly 71,600 in 1999, the under-custody prison population is projected to decline by over 13,000 inmates by the end of the current fiscal year. This decline can be attributed to the dramatic drop in the State's crime rate over the last decade, the success of legislatively enacted programs that allow certain non-violent offenders to earn time off their sentences for good behavior and program achievements, an increased number of scheduled releases of offenders who received determinate sentences under the Truth-In-Sentencing Law of 1995 and Jenna's Law of 1998, as well as the release of low level drug offenders, who are completing determinate sentences under the Felony Drug Reform Act of 2004 and the Rockefeller Drug Law Reform of 2009. As soon as an inmate enters prison, an assessment is conducted to determine their need for rehabilitative programs. The Department offers educational programming, with the minimum goal of assisting inmates who do not have high school diplomas to receive General Equivalency Diplomas. Inmates can receive identified job skills through vocational training. In some trades, they can continue on to an apprenticeship program where inmates receive certification from the Department of Labor. Inmates can also be placed in one of the Department's substance abuse treatment programs or an Aggression Replacement Training Program which focuses on anger management therapy. The Department's Program Services component also operates the sex offender treatment program which was expanded under the Sex Offender Management and Treatment Act of 2007.

Meeting the critical need of providing appropriate levels of medical services is important to the safety of the prison system and to the general public when an inmate is released. Often, inmates enter prison with significant health care needs which can include tuberculosis, hepatitis, or many other infectious conditions. The Department acts quickly to diagnose and begin treatment, if necessary, when an inmate enters prison and provides the greatest degree of services possible to seek a cure. The Health Services Program ensures that inmates receive a community level of care during their term of incarceration.

The Department continues to expand and enhance its services for inmates with mental illness. It recently opened a state-of-the-art Residential Mental Health Unit (RMHU) at Marcy Correctional Facility in Oneida County for up to 100 inmates with serious mental illness and disciplinary sanctions. The Department also plans a second, 60-bed RMHU at Five Points Correctional Facility in Seneca County in 2011-12. The Marcy RMHU is believed to be the first of its kind in the United States. Additionally, the Department recently expanded its Intermediate Care Program at Green Haven Correctional Facility in Dutchess County by adding a 99-bed unit. These are among ongoing programs aimed at recognizing the role that mental illness plays in the behavior of inmates and appropriately responding to and treating mental illness in the correctional setting.

CORRECTIONAL SERVICES

The safety and security of the Department's correctional institutions and the surrounding communities is maintained by the Supervision of Inmates Program while the Support Services Program provides all resources necessary for the operation of a correctional institution. This includes inmate food and transportation services, maintenance of the physical plant of the prisons and operating the business offices that provide administrative support for the facilities.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	2,980,277,000	2,697,191,000	(283,086,000)	40,190,000
Aid To Localities	577,000	200,000	(377,000)	5,945,000
Capital Projects	320,000,000	320,000,000	0	587,734,000
Total	<u>3,300,854,000</u>	<u>3,017,391,000</u>	<u>(283,463,000)</u>	<u>633,869,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration			
General Fund	236	236	0
Special Revenue Funds - Federal	653	653	0
Enterprise Funds	11	11	0
Correctional Industries			
Internal Service Funds	315	315	0
Facilities Planning and Development			
Capital Projects Funds - Other	31	31	0
Health Services			
General Fund	1,856	1,918	62
Program Services			
General Fund	3,050	3,168	118
Supervision of Inmates			
General Fund	20,653	20,355	(298)
Support Services			
General Fund	3,222	3,280	58
Total	<u>30,027</u>	<u>29,967</u>	<u>(60)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	2,785,908,000	2,512,706,000	(273,202,000)
Special Revenue Funds - Federal	37,300,000	38,300,000	1,000,000
Special Revenue Funds - Other	35,750,000	29,480,000	(6,270,000)
Enterprise Funds	43,343,000	43,013,000	(330,000)
Internal Service Funds	77,976,000	73,692,000	(4,284,000)
Total	<u>2,980,277,000</u>	<u>2,697,191,000</u>	<u>(283,086,000)</u>

Adjustments:	
Transfer(s) From	
Special Pay Bill	
General Fund	(308,918,000)
Appropriated 2009-10	<u>2,671,359,000</u>

CORRECTIONAL SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2009-10</u>	<u>Recommended 2010-11</u>	<u>Change</u>
Administration			
General Fund	27,106,000	26,646,000	(460,000)
Special Revenue Funds - Federal	37,300,000	38,300,000	1,000,000
Special Revenue Funds - Other	25,250,000	25,250,000	0
Enterprise Funds	2,701,000	2,701,000	0
Correctional Industries			
Internal Service Funds	77,976,000	73,692,000	(4,284,000)
Health Services			
General Fund	366,838,000	360,523,000	(6,315,000)
Program Services			
General Fund	245,554,000	234,257,000	(11,297,000)
Special Revenue Funds - Other	100,000	100,000	0
Enterprise Funds	39,900,000	39,900,000	0
Supervision of Inmates			
General Fund	1,658,458,000	1,445,089,000	(213,369,000)
Support Services			
General Fund	487,952,000	446,191,000	(41,761,000)
Special Revenue Funds - Other	10,400,000	4,130,000	(6,270,000)
Enterprise Funds	742,000	412,000	(330,000)
Total	<u>2,980,277,000</u>	<u>2,697,191,000</u>	<u>(283,086,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	17,301,000	(154,000)	17,185,000	(151,000)
Health Services	137,776,000	3,638,000	124,577,000	3,543,667
Program Services	193,810,000	(5,377,000)	187,459,000	4,181,000
Supervision of Inmates	1,421,155,000	(211,148,000)	1,343,381,000	(205,823,000)
Support Services	178,000,000	(3,692,000)	167,186,000	(3,754,000)
Total	<u>1,948,042,000</u>	<u>(216,733,000)</u>	<u>1,839,788,000</u>	<u>(202,003,333)</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	0	0	116,000	(3,000)
Health Services	5,869,000	956,877	7,330,000	(862,544)
Program Services	5,571,000	(5,856,500)	780,000	(3,701,500)
Supervision of Inmates	12,698,000	(2,428,000)	65,076,000	(2,897,000)
Support Services	219,000	(240,000)	10,595,000	302,000
Total	<u>24,357,000</u>	<u>(7,567,623)</u>	<u>83,897,000</u>	<u>(7,162,044)</u>

CORRECTIONAL SERVICES

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	9,345,000	(306,000)	383,000	(16,000)
Health Services	222,747,000	(9,953,000)	87,050,000	(2,661,000)
Program Services	40,447,000	(5,920,000)	7,798,000	(4,670,000)
Supervision of Inmates	23,934,000	(2,221,000)	11,260,000	(2,452,000)
Support Services	268,191,000	(38,069,000)	131,832,000	(13,687,000)
Total	564,664,000	(56,469,000)	238,323,000	(23,486,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	340,000	(14,000)	5,969,000	(249,000)
Health Services	423,000	(276,000)	134,405,000	(5,565,000)
Program Services	461,000	(587,000)	29,963,000	605,000
Supervision of Inmates	3,020,000	(946,000)	6,583,000	(96,000)
Support Services	327,000	(435,000)	125,537,000	(14,837,000)
Total	4,571,000	(2,258,000)	302,457,000	(20,142,000)

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	653,000	(27,000)	2,000,000	0
Health Services	869,000	(1,451,000)	0	0
Program Services	2,225,000	(1,268,000)	0	0
Supervision of Inmates	3,071,000	1,273,000	0	0
Support Services	10,495,000	(9,110,000)	0	0
Total	17,313,000	(10,583,000)	2,000,000	0

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	66,251,000	1,000,000	34,840,000	0
Correctional Industries	73,692,000	(4,284,000)	20,239,000	(1,097,000)
Program Services	40,000,000	0	0	0
Support Services	4,542,000	(6,600,000)	0	(1,700,000)
Total	184,485,000	(9,884,000)	55,079,000	(2,797,000)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	2,111,000	0	29,300,000	1,000,000
Correctional Industries	53,453,000	(3,187,000)	0	0
Program Services	40,000,000	0	0	0
Support Services	4,542,000	(4,900,000)	0	0
Total	100,106,000	(8,087,000)	29,300,000	1,000,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	577,000	200,000	(377,000)
Total	577,000	200,000	(377,000)

CORRECTIONAL SERVICES

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Support Services			
General Fund	200,000	200,000	0
Community Projects			
General Fund	377,000	0	(377,000)
Total	<u>577,000</u>	<u>200,000</u>	<u>(377,000)</u>

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2009-10	Recommended 2010-11	Change	Reappropriations 2010-11
Maintenance and Improvement of Existing Facilities				
Correctional Facilities Capital Improvement Fund	320,000,000	320,000,000	0	587,734,000
Total	<u>320,000,000</u>	<u>320,000,000</u>	<u>0</u>	<u>587,734,000</u>

DIVISION OF CRIMINAL JUSTICE SERVICES

MISSION

The mission of the Division of Criminal Justice Services (DCJS) is to enhance public safety and improve criminal justice. The Division measures progress toward the overall goal of reducing crime, and tracks the effectiveness of both agency and system-wide criminal justice strategies designed to increase public safety.

ORGANIZATION AND STAFFING

The Division of Criminal Justice Services is located in Albany and is headed by a Commissioner who also serves as the Governor's Deputy Secretary of Public Safety, overseeing policy development and operations for all public safety agencies and programs.

BUDGET HIGHLIGHTS

The Executive Budget recommends that the Crime Victims Board, Office for the Prevention of Domestic Violence, and the Division of Probation and Correctional Alternatives merge with the Division of Criminal Justice Services. These agencies will be established as specialized offices within the Division to continue their important missions. The Division already provides administrative support to these smaller agencies, and a full merger offers a more efficient and cost-effective environment for the delivery of programs and services for which these agencies are responsible. The merger will also foster improved coordination of policies and programs.

In 2010-11, the overall budget for this expanded Division will total **\$493 million All Funds**. Operations of the new Division will be supported by \$137 million (\$61 million General Fund; \$76 million Other Funds). The Division's combined Aid to Localities programs will distribute \$260 million in State funds and \$96 million in Federal funds to support various local criminal justice initiatives.

Major budget actions include:

- **DNA Databank:** Legislation will be advanced to increase the number of persons required to submit a DNA sample at the time of conviction. The current requirement, which requires less than one-half of individuals convicted of a penal law offense to submit a DNA sample, will expand to include all penal law offenses for which fingerprints are currently collected.
- **Expansion of Crimes Against Revenue Program (CARP):** An additional \$10 million in resources will be provided to district attorneys to prosecute tax and other revenue fraud identified by the Department of Taxation and Finance.
- **Indigent Defense:** A new office will provide oversight of the indigent defense system, governed by an independent board of key stakeholders from the Judiciary, the Executive Branch, and other representatives, including the New York State Association of Counties and New York Bar Association. Current aid formulas and county maintenance of effort requirements will be replaced with a new grant program to be designed by the new office and board, driven by performance standards and supplemented with \$7 million in new funding. Including the \$3 million cost of the office, a total new investment of \$10 million will support improvements to indigent legal services.

CRIMINAL JUSTICE SERVICES

- **Local Criminal Justice and Probation Programs:** Grants to communities for crime fighting, prevention activities, alternatives to incarceration, and legal services are reduced by 10 percent for a savings of \$7.2 million. Support for local probation departments is also reduced by 10 percent for a savings \$5.2 million, with the impact on counties partially mitigated by the positive impact of regulatory and statutory changes to relieve workload for county probation departments.
- **Operation IMPACT:** The Division will provide nearly \$16 million in funding for the continued support of Operation IMPACT (Integrated Municipal Police Anti-Crime Teams). This multi-agency program supports crime-fighting strategies in the 17 highest crime jurisdictions outside New York City.
- **Offender Re-Entry:** In addition to coordinating New York State's multi-agency re-entry effort, the Division will provide \$3.3 million to promote the successful re-entry of offenders into their communities through Local Re-Entry Task Forces that work with local governments, not-for-profit organizations and the criminal justice community.
- **Sex Offender Management:** \$1 million is provided for the continued operation of the Office of Sex Offender Management. Additionally, funding is provided for the continued maintenance and operation of the Sex Offender Registry.
- **Transfer of the Rape Crisis Program from the Department of Health:** DCJS assumes responsibility for the rape crisis program, which provides counseling as well as information to victims of sexual assault. As DCJS administers the Federal Violence Against Women Act grant funds, and these funds support many of the rape crisis centers currently receiving funds through DOH, this initiative will help to streamline funding to these grantees and improve the management of the programs.
- **Transfer of Support for Medical Examiners from the Department of Health:** DCJS also assumed responsibility for funding for medical examiners, as this function is more directly related to the provision of forensic laboratory services, rather than to programs which protect public health. This transfer allows the State to coordinate the support of forensic science comprehensively through DCJS.

PROGRAM HIGHLIGHTS

The Division of Criminal Justice Services' vision is to make New York the safest state in the nation. Through strategic planning, the Division is meeting the challenge to continue lowering the crime rate in New York by: improving coordination among Federal, State, and local law enforcement agencies; making vital information more readily available to help fight crime; expanding the use of technology to combat crime; and, fostering inter-agency initiatives concerning sex offender management.

The Division directly supports inter-agency law enforcement, in part through programs such as Operation IMPACT. This program targets 17 counties that account for 80 percent of crime outside New York City. The focus of IMPACT is to bring together the resources and strategies of Federal, State and local law enforcement in order to fight crime more effectively. Additionally, the Division provides local assistance funding to support an array of criminal justice functions such as: law enforcement operations, prosecution, defense, and crime laboratories.

CRIMINAL JUSTICE SERVICES

As the central repository for criminal history records, the Division identifies individuals through fingerprint comparison and provides criminal history records to law enforcement through the Statewide Automated Fingerprint Identification System (SAFIS). A comparable service is offered for civil fingerprints to check the backgrounds of prospective employees for sensitive positions in government and the private sector.

The Division also maintains a DNA Identification Index, conducts extensive criminal justice statistical research and policy analysis, and supports New York's Uniform Crime Reporting program. Further, the Division coordinates and provides information technology services for the criminal justice community. A statewide criminal justice information system, eJusticeNY, is utilized by nearly 2,000 organizations, and includes: the ability to obtain rap-sheets; perform name searches; access NYS criminal history data; wanted/missing person data; and the complete NYS Sex Offender Registry.

In addition, the Division acts as the State planning agency for the receipt and processing of Federal Juvenile Justice and Delinquency Prevention funding. These funds support programs addressing youth who are at risk of criminal and/or delinquent behavior. The Division also administers other Federal programs, including funds which support a statewide anti-drug strategy of prosecution and preventive enforcement efforts, and which focus on prosecution, law enforcement and victim services related to domestic violence.

A key new activity was added in 2009-10, following enactment of the 2009 Drug Law Reform. In conjunction with the Office of Alcoholism and Substance Abuse Services and the Office of Court Administration, the Division is undertaking a comprehensive analysis of the impacts of this major legislation, and will provide ongoing data on the implementation and effectiveness of the reforms. The Division is overseeing the allocation of \$67 million in Federal funds through the American Reinvestment and Recovery Act to support drug law reform through investments in drug treatment, drug courts, alternatives to incarceration, probation, probation violation centers, and computer training and transitional employment for former offenders.

In 2010-11, three existing agencies will join the Division of Criminal Justice Services as new offices, broadening the agency's mission and promoting greater coordination of the State's criminal justice agenda.

OFFICE OF VICTIM SERVICES

The Office of Victim Services, formerly the Crime Victims Board, is the lead State source of assistance to persons who have been the victims of crime, particularly crimes of a violent nature. Its principal mission will continue to be the provision of financial assistance to victims for losses they suffer as a result of crime; making grants to local agencies, which assist witnesses and victims; and, serving as the State's advocate for crime victims' rights, needs and interests.

OFFICE FOR THE PREVENTION OF DOMESTIC VIOLENCE

The Office for the Prevention of Domestic Violence (OPDV) will continue to lead New York State's efforts to respond to and prevent domestic violence, advising the Governor and Legislature, developing statewide policies, conducting domestic violence training for judges, prosecutors, police, attorneys, probation and parole personnel, social services and health care providers, and serving as a clearinghouse of information and guidance on domestic violence for the entire State.

CRIMINAL JUSTICE SERVICES

In cooperation with other agencies, the Office develops domestic violence policies and provides training and information about domestic violence. These programs clarify professional and legal responsibility to promote the safety of victims of domestic violence and to hold offenders accountable for their actions.

OFFICE OF PROBATION AND CORRECTIONAL ALTERNATIVES

The Office of Probation and Correctional Alternatives, formerly the Division of Probation and Correctional Alternatives, will continue to oversee county probation departments and community correction programs. It establishes standards, provides training and technical assistance, and monitors outcomes related to the supervision and treatment of offenders. The Office of Probation and Correctional Alternatives will continue to focus on evidence-based practices, performance measurement, enhanced training and education for local providers, and improved technology.

For 2010-11, all aid to local probation departments is consolidated into a single program, which is expected to ease the burden on counties when applying for and reporting on their use of State funds.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	149,028,000	137,009,000	(12,019,000)	113,117,000
Aid To Localities	364,318,449	356,490,000	(7,828,449)	248,562,997
Capital Projects	0	0	0	0
Total	513,346,449	493,499,000	(19,847,449)	361,679,997

CRIMINAL JUSTICE SERVICES

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration			
General Fund	103	94	(9)
Assistance to Crime Victims			
Special Revenue Funds - Federal	0	24	24
Special Revenue Funds - Other	0	64	64
Funding and Program Assistance			
General Fund	47	47	0
Special Revenue Funds - Federal	77	77	0
Special Revenue Funds - Other	4	4	0
Indigent Defense			
Special Revenue Funds - Other	0	20	20
Operation and Systems			
General Fund	359	355	(4)
Special Revenue Funds - Federal	23	23	0
Prevention of Domestic Violence			
General Fund	0	18	18
Special Revenue Funds - Federal	0	2	2
Internal Service Funds	0	12	12
Probation and Correctional Alternatives			
General Fund	0	32	32
Public Safety			
General Fund	46	46	0
Special Revenue Funds - Other	3	3	0
Total	<u>662</u>	<u>821</u>	<u>159</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	64,964,000	61,406,000	(3,558,000)
Special Revenue Funds - Federal	49,611,000	40,886,000	(8,725,000)
Special Revenue Funds - Other	33,563,000	33,827,000	264,000
Internal Service Funds	890,000	890,000	0
Total	<u>149,028,000</u>	<u>137,009,000</u>	<u>(12,019,000)</u>

Adjustments:

Transfer(s) From	
Crime Victims Board	
Special Revenue Funds - Federal	(3,061,000)
Special Revenue Funds - Other	(7,614,000)
Prevention of Domestic Violence, Office for	
General Fund	(1,658,000)
Special Revenue Funds - Federal	(200,000)
Special Revenue Funds - Other	(140,000)
Internal Service Funds	(1,780,000)
Probation and Correctional Alternatives, Division of	
General Fund	(3,006,000)
Transfer(s) To	
Prevention of Domestic Violence, Office for	
Special Revenue Funds - Federal	100,000
Special Revenue Funds - Other	70,000
Internal Service Funds	890,000
Appropriated 2009-10	<u>132,629,000</u>

CRIMINAL JUSTICE SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Administration			
General Fund	15,429,000	14,674,000	(755,000)
Assistance to Crime Victims			
Special Revenue Funds - Federal	3,061,000	3,061,000	0
Special Revenue Funds - Other	7,614,000	7,178,000	(436,000)
Funding and Program Assistance			
General Fund	4,015,000	3,800,000	(215,000)
Special Revenue Funds - Federal	40,900,000	33,725,000	(7,175,000)
Special Revenue Funds - Other	629,000	829,000	200,000
Indigent Defense			
Special Revenue Funds - Other	0	3,000,000	3,000,000
Operation and Systems			
General Fund	37,294,000	35,035,000	(2,259,000)
Special Revenue Funds - Federal	5,550,000	3,000,000	(2,550,000)
Special Revenue Funds - Other	24,000,000	21,500,000	(2,500,000)
Prevention of Domestic Violence			
General Fund	1,658,000	1,611,000	(47,000)
Special Revenue Funds - Federal	100,000	1,100,000	1,000,000
Special Revenue Funds - Other	70,000	70,000	0
Internal Service Funds	890,000	890,000	0
Probation and Correctional Alternatives			
General Fund	3,006,000	2,709,000	(297,000)
Public Safety			
General Fund	3,562,000	3,577,000	15,000
Special Revenue Funds - Other	1,250,000	1,250,000	0
Total	<u>149,028,000</u>	<u>137,009,000</u>	<u>(12,019,000)</u>

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	6,449,000	(312,000)	6,444,000	(312,000)
Funding and Program Assistance	3,533,000	(198,000)	3,533,000	(198,000)
Operation and Systems	20,003,000	(1,549,000)	19,928,000	(1,549,000)
Prevention of Domestic Violence	1,231,000	(36,000)	1,231,000	(36,000)
Probation and Correctional Alternatives	2,284,000	(218,000)	2,265,000	(218,000)
Public Safety	3,151,000	40,000	3,151,000	40,000
Total	<u>36,651,000</u>	<u>(2,273,000)</u>	<u>36,552,000</u>	<u>(2,273,000)</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	0	0	5,000	0
Funding and Program Assistance	0	0	0	0
Operation and Systems	0	0	75,000	0
Prevention of Domestic Violence	0	0	0	0
Probation and Correctional Alternatives	17,000	0	2,000	0
Public Safety	0	0	0	0
Total	<u>17,000</u>	<u>0</u>	<u>82,000</u>	<u>0</u>

CRIMINAL JUSTICE SERVICES

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	8,225,000	(443,000)	978,000	(60,000)
Funding and Program Assistance	267,000	(17,000)	104,000	(6,000)
Operation and Systems	15,032,000	(710,000)	392,000	(24,000)
Prevention of Domestic Violence	380,000	(11,000)	50,000	0
Probation and Correctional Alternatives	425,000	(79,000)	33,000	0
Public Safety	426,000	(25,000)	137,000	(8,000)
Total	24,755,000	(1,285,000)	1,694,000	(98,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	34,000	(2,000)	5,512,000	(338,000)
Funding and Program Assistance	87,000	(6,000)	42,000	(3,000)
Operation and Systems	138,000	(8,000)	12,037,000	(951,000)
Prevention of Domestic Violence	42,000	0	270,000	(11,000)
Probation and Correctional Alternatives	39,000	(27,000)	343,000	(52,000)
Public Safety	221,000	(14,000)	39,000	(2,000)
Total	561,000	(57,000)	18,243,000	(1,357,000)

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	701,000	(43,000)	1,000,000	0
Funding and Program Assistance	34,000	(2,000)	0	0
Operation and Systems	2,065,000	(127,000)	400,000	400,000
Prevention of Domestic Violence	18,000	0	0	0
Probation and Correctional Alternatives	10,000	0	0	0
Public Safety	29,000	(1,000)	0	0
Total	2,857,000	(173,000)	1,400,000	400,000

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Assistance to Crime Victims	10,239,000	(436,000)	5,999,000	(399,000)
Funding and Program Assistance	34,554,000	(6,975,000)	5,725,000	(1,475,000)
Indigent Defense	3,000,000	3,000,000	1,500,000	1,500,000
Operation and Systems	24,500,000	(5,050,000)	0	0
Prevention of Domestic Violence	960,000	0	770,000	0
Public Safety	1,250,000	0	0	0
Total	74,503,000	(9,461,000)	13,994,000	(374,000)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Assistance to Crime Victims	4,240,000	(37,000)	0	0
Funding and Program Assistance	629,000	200,000	28,200,000	(5,700,000)
Indigent Defense	1,500,000	1,500,000	0	0
Operation and Systems	0	0	24,500,000	(5,050,000)
Prevention of Domestic Violence	190,000	0	0	0
Public Safety	0	0	1,250,000	0
Total	6,559,000	1,663,000	53,950,000	(10,750,000)

CRIMINAL JUSTICE SERVICES

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2009-10</u>	<u>Recommended 2010-11</u>	<u>Change</u>
General Fund	149,881,949	124,386,000	(25,495,949)
Special Revenue Funds - Federal	83,193,000	96,268,000	13,075,000
Special Revenue Funds - Other	131,243,500	135,836,000	4,592,500
Total	364,318,449	356,490,000	(7,828,449)

Adjustments:

Transfer(s) From

Audit and Control, Department of Special Revenue Funds - Other	(78,000,000)		
Crime Victims Board			
Special Revenue Funds - Federal	(37,843,000)		
Special Revenue Funds - Other	(30,627,000)		
Prevention of Domestic Violence, Office for			
General Fund	(685,000)		
Probation and Correctional Alternatives, Division of			
General Fund	(67,759,500)		
Appropriated 2009-10	149,403,949		

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2009-10</u>	<u>Recommended 2010-11</u>	<u>Change</u>
Assistance to Crime Victims			
Special Revenue Funds - Federal	37,843,000	37,843,000	0
Special Revenue Funds - Other	30,627,000	30,627,000	0
Funding and Program Assistance			
General Fund	66,562,000	55,331,000	(11,231,000)
Special Revenue Funds - Federal	45,350,000	57,925,000	12,575,000
Special Revenue Funds - Other	19,416,500	25,329,000	5,912,500
Indigent Defense			
General Fund	7,858,000	7,166,000	(692,000)
Special Revenue Funds - Other	81,200,000	79,880,000	(1,320,000)
Prevention of Domestic Violence			
General Fund	685,000	475,000	(210,000)
Special Revenue Funds - Federal	0	500,000	500,000
Probation and Correctional Alternatives			
General Fund	67,759,500	61,414,000	(6,345,500)
Community Projects			
General Fund	7,017,449	0	(7,017,449)
Total	364,318,449	356,490,000	(7,828,449)

STATE BOARD OF ELECTIONS

MISSION

The New York State Board of Elections executes and enforces all laws relating to the elective franchise and oversees the disclosure of campaign financing and practices.

ORGANIZATION AND STAFFING

The State Board of Elections is comprised of four commissioners, two chosen by each major political party. The Board administers provisions of the Election Law regarding campaign financial disclosure, including civil judgments levied for failure to file disclosure documents; oversees the petitioning process and certification of ballots; investigates allegations of criminal violations of the Election Law and recommends prosecution where warranted; and certifies electronic voting machines purchased by local Boards of Elections. The Board also assists County Boards of Elections by completing administrative reviews, assisting in resolving complaints and producing reports and recommendations.

BUDGET HIGHLIGHTS

The 2010-11 Executive Budget recommends **\$14.1 million All Funds** (\$6.1 million General Fund; \$8.0 million Other Funds) for the State Board of Elections. This is a net decrease of **\$14.8 million All Funds** (\$1.3 million General Fund decrease; \$8.0 million Federal Funds net decrease; \$5.5 million Other Funds decrease), the majority of which reflect non-recurring Help America Vote Act (HAVA) costs. The Board will have a projected staffing level of **63**.

Major budget actions include:

- **Help America Vote Act.** The budget recommends reappropriations of over \$176 million in unspent Federal funds for the HAVA.
- **Military and Overseas Voter Empowerment Act.** The Executive Budget recommends \$6.5 million in Federal funding to support the implementation of a federally-mandated statewide process ensuring absent uniform services members and overseas voters their right to vote.

PROGRAM HIGHLIGHTS

Over the past 30 years, the scope of the Board's services has grown to include providing legal counsel to 62 County Boards of Election, administering registration efforts, providing technical assistance to administrators of elections, investigating violations of the Election Law and coordinating the State's responses to new Federal election requirements.

New York continues to work toward full compliance with HAVA and achieved a major milestone with the successful deployment in 2007 of the Statewide Voter Registration Database. In response to a Federal Court order, New York is moving towards full compliance with HAVA's voting machine requirements by the 2010 elections.

ELECTIONS

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	13,395,000	13,135,000	(260,000)	16,000,000
Aid To Localities	15,500,000	1,000,000	(14,500,000)	176,900,000
Capital Projects	0	0	0	0
Total	28,895,000	14,135,000	(14,760,000)	192,900,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Regulation of Elections			
General Fund	60	60	0
Special Revenue Funds - Federal	3	3	0
Total	63	63	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	7,395,000	6,135,000	(1,260,000)
Special Revenue Funds - Federal	0	6,500,000	6,500,000
Special Revenue Funds - Other	6,000,000	500,000	(5,500,000)
Total	13,395,000	13,135,000	(260,000)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Regulation of Elections			
General Fund	7,395,000	6,135,000	(1,260,000)
Special Revenue Funds - Federal	0	6,500,000	6,500,000
Special Revenue Funds - Other	6,000,000	500,000	(5,500,000)
Total	13,395,000	13,135,000	(260,000)

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Regulation of Elections	4,162,000	241,000	4,093,000	196,000
Total	4,162,000	241,000	4,093,000	196,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Regulation of Elections	61,000	45,000	8,000	0
Total	61,000	45,000	8,000	0

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Regulation of Elections	1,973,000	(1,501,000)	80,000	(96,000)
Total	1,973,000	(1,501,000)	80,000	(96,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Regulation of Elections	30,000	(98,000)	1,763,000	(1,161,000)
Total	30,000	(98,000)	1,763,000	(1,161,000)

Program	Equipment	
	Amount	Change
Regulation of Elections	100,000	(146,000)
Total	100,000	(146,000)

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Nonpersonal Service	
	Amount	Change	Amount	Change
Regulation of Elections	7,000,000	1,000,000	500,000	(5,500,000)
Total	7,000,000	1,000,000	500,000	(5,500,000)

Program	Maintenance Undistributed	
	Amount	Change
Regulation of Elections	6,500,000	6,500,000
Total	6,500,000	6,500,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
Special Revenue Funds - Federal	15,500,000	1,000,000	(14,500,000)
Total	15,500,000	1,000,000	(14,500,000)

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Regulation of Elections			
Special Revenue Funds - Federal	15,500,000	1,000,000	(14,500,000)
Total	15,500,000	1,000,000	(14,500,000)

OFFICE OF EMPLOYEE RELATIONS

MISSION

Under the Public Employees' Fair Employment Act (the Taylor Law), the Office of Employee Relations (OER) assists the Governor in regard to relations between the State and its employees, including representing the Executive Branch in collective negotiations with nine public employee unions. OER's mission is to advance the performance of State government through collaborative labor relations, workforce training, education and benefits.

ORGANIZATION AND STAFFING

OER is organized into six divisions: Executive; Contract Negotiations and Administration; Labor-Management Initiatives; Counsel's Office; Information Technology; and, Administration. OER is located in Albany and administered by a Director appointed by the Governor.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$6.9 million All Funds** (\$3.1 million General Fund; \$3.7 million Internal Service Funds; \$121,000 Other Funds) for the Office of Employee Relations. This is an overall increase of **\$1.3 million** (\$0.6 million decrease General Fund; \$1.9 million increase Internal Service Funds) from the 2009-10 budget. The Internal Service Funds change reflects the implementation of a new, statewide Learning Management System.

The Executive Budget projects a staffing level of **49** for the Office of Employee Relations, which is unchanged from 2009-10.

PROGRAM HIGHLIGHTS

The Office of Employee Relations' major focus during 2010-11 will be to continue collective negotiations or interest arbitrations for those bargaining units without current contracts, to continue to implement the terms of the current contracts and arbitration awards and to begin negotiations for contracts to succeed those set to expire in 2011.

In 2010-11 the agency will also begin developing and implementing a statewide Learning Management System. This initiative will enable employees to register for and receive training, and State agencies to track employees' progress – seamlessly across the State's entire enterprise.

The joint labor-management committees, with OER as the State's representative, will continue to provide skills training for represented and Management/Confidential employees that increase the efficiency and quality of State operations. Joint committee activities, such as the Employee Assistance Program, NYS-Balance, a resource and referral service, as well as pre-tax savings plans and the NYS-Ride transportation benefit help improve the quality of State employees' lives on the job and at home.

EMPLOYEE RELATIONS

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	5,625,000	6,931,000	1,306,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	5,625,000	6,931,000	1,306,000	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Contract Negotiation and Administration			
General Fund	31	31	0
Internal Service Funds	14	14	0
Management Confidential Affairs			
General Fund	4	4	0
Total	49	49	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	3,715,000	3,100,000	(615,000)
Special Revenue Funds - Other	121,000	121,000	0
Internal Service Funds	1,789,000	3,710,000	1,921,000
Total	5,625,000	6,931,000	1,306,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Contract Negotiation and Administration			
General Fund	3,360,000	2,758,000	(602,000)
Special Revenue Funds - Other	121,000	121,000	0
Internal Service Funds	1,789,000	3,710,000	1,921,000
Management Confidential Affairs			
General Fund	355,000	342,000	(13,000)
Total	5,625,000	6,931,000	1,306,000

EMPLOYEE RELATIONS

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	2,656,000	(454,000)	2,646,000	(454,000)
Management Confidential Affairs	315,000	28,000	314,000	28,000
Total	<u>2,971,000</u>	<u>(426,000)</u>	<u>2,960,000</u>	<u>(426,000)</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	10,000	0	0	0
Management Confidential Affairs	0	0	1,000	0
Total	<u>10,000</u>	<u>0</u>	<u>1,000</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	102,000	(148,000)	20,000	(23,000)
Management Confidential Affairs	27,000	(41,000)	1,000	(1,000)
Total	<u>129,000</u>	<u>(189,000)</u>	<u>21,000</u>	<u>(24,000)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	10,000	(15,000)	72,000	(110,000)
Management Confidential Affairs	1,000	(2,000)	25,000	(38,000)
Total	<u>11,000</u>	<u>(17,000)</u>	<u>97,000</u>	<u>(148,000)</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	3,831,000	1,921,000	986,000	15,000
Total	<u>3,831,000</u>	<u>1,921,000</u>	<u>986,000</u>	<u>15,000</u>

Program	Nonpersonal Service	
	Amount	Change
Contract Negotiation and Administration	2,845,000	1,906,000
Total	<u>2,845,000</u>	<u>1,906,000</u>

EXECUTIVE CHAMBER

MISSION

The Executive Chamber is the Office of the Governor and includes the immediate staff that assists in managing State government.

ORGANIZATION AND STAFFING

The Office of the Governor is located in the State Capitol in Albany and also has offices in New York City and Washington, D.C. This budget represents programs directly related to the Governor's Office and is supported by General Fund revenues.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$19.9 million All Funds** (\$19.8 million General Fund; \$100,000 Other Funds) for the Executive Chamber. Appropriations have decreased by **\$559,000** with reductions in both personal and nonpersonal service. The Executive Budget also recommends a staffing level of **164 FTEs**, a decrease of **5** from the 2009-10 budget.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	20,497,000	19,938,000	(559,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	20,497,000	19,938,000	(559,000)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Executive Chamber			
General Fund	169	164	(5)
Total	169	164	(5)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	20,397,000	19,838,000	(559,000)
Special Revenue Funds - Other	100,000	100,000	0
Total	20,497,000	19,938,000	(559,000)

EXECUTIVE CHAMBER

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Executive Chamber			
General Fund	20,397,000	19,838,000	(559,000)
Special Revenue Funds - Other	100,000	100,000	0
Total	<u>20,497,000</u>	<u>19,938,000</u>	<u>(559,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Chamber	14,856,000	(248,000)	14,456,000	(248,000)
Total	<u>14,856,000</u>	<u>(248,000)</u>	<u>14,456,000</u>	<u>(248,000)</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Executive Chamber	200,000	0	200,000	0
Total	<u>200,000</u>	<u>0</u>	<u>200,000</u>	<u>0</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Chamber	4,982,000	(311,000)	200,000	(100,000)
Total	<u>4,982,000</u>	<u>(311,000)</u>	<u>200,000</u>	<u>(100,000)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Chamber	500,000	0	3,782,000	(61,000)
Total	<u>500,000</u>	<u>0</u>	<u>3,782,000</u>	<u>(61,000)</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Chamber	200,000	(150,000)	300,000	0
Total	<u>200,000</u>	<u>(150,000)</u>	<u>300,000</u>	<u>0</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Chamber	100,000	0	100,000	0
Total	<u>100,000</u>	<u>0</u>	<u>100,000</u>	<u>0</u>

OFFICE OF THE LIEUTENANT GOVERNOR

The Office of the Lieutenant Governor is responsible for assisting the Governor in developing a long-term fiscal recovery plan and providing recommendations on structural reform of the State's finances, as well as other projects.

In 2009-10, all appropriations and FTEs were eliminated given the vacancy in the position of the Lieutenant Governor. Now that this vacancy has been filled, the 2010-11 Executive Budget recommends **\$700,000** in General Fund appropriations and a staffing level of **7 FTEs**.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	700,000	700,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>0</u>	<u>700,000</u>	<u>700,000</u>	<u>0</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration			
General Fund	0	7	7
Total	<u>0</u>	<u>7</u>	<u>7</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	0	700,000	700,000
Total	<u>0</u>	<u>700,000</u>	<u>700,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Administration			
General Fund	0	700,000	700,000
Total	<u>0</u>	<u>700,000</u>	<u>700,000</u>

EXECUTIVE CHAMBER

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	550,000	550,000	542,000	542,000
Total	550,000	550,000	542,000	542,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	4,700	4,700	3,300	3,300
Total	4,700	4,700	3,300	3,300

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	150,000	150,000	10,000	10,000
Total	150,000	150,000	10,000	10,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	30,000	30,000	90,000	90,000
Total	30,000	30,000	90,000	90,000

Program	Equipment	
	Amount	Change
Administration	20,000	20,000
Total	20,000	20,000

OFFICE OF GENERAL SERVICES

MISSION

The mission of the Office of General Services (OGS) is to manage and lease real property, design and build facilities, contract for goods and services, and deliver a wide array of essential support services. In its effort to support cost-effective operations and responsible public stewardship, the Office seeks to provide State agencies, local governments and nonprofit organizations with innovative solutions, integrated service, and best values. As OGS influences the spending of approximately \$7 billion in public funds, the Office continually strives to increase efficiencies and improve service.

ORGANIZATION AND STAFFING

OGS is organized into four major business units: Real Property Management and Development; Design and Construction; Procurement Services; and Administration. These units are under the direction of an Executive group which includes the Commissioner's Office, Public Information, Legal Services, Organizational Effectiveness, and Internal Audit.

BUDGET HIGHLIGHTS

The 2010-11 Executive Budget recommends **\$577.5 million All Funds** (\$143.1 million General Fund; \$300.7 million Internal Service Funds; and \$35.7 million Other Funds) to support ongoing agency activities. This is a net decrease of **\$329 million All Funds** (a decrease of \$12.9 million General Fund; a decrease of \$309.3 million Internal Service Funds; and a decrease of \$6.8 million Other Funds) from the 2009-10 budget. The decrease in the General Fund reflects reduced energy costs and consumption, as well as reductions in lower priority services. The decrease in Internal Service Funds reflects the elimination of a \$400 million appropriation intended to facilitate centralized lease management, which proved to be unnecessary as part of the agency's effort to achieve \$10 million in leased cost savings. In addition, \$90 million is added to enable OGS to purchase electricity directly, rather than through a power company, pursuant to legislation passed last year.

The Executive Budget projects a staffing level of **1,500** for the Office of General Services in 2010-11, a decrease of **48** from the prior year.

PROGRAM HIGHLIGHTS

EXECUTIVE DIRECTION

This program provides the day-to-day management of the Office, helps State agencies to acquire vehicle insurance, administers financing for the State equipment purchasing program, coordinates the centralized purchase of electricity from the Power Authority of the State of New York, manages a donated foods program for local school districts and food pantries, and provides administrative services to several other State agencies.

GENERAL SERVICES

REAL PROPERTY MANAGEMENT AND DEVELOPMENT

This program is responsible for providing for the safe and efficient operation of approximately 53 major and 79 ancillary State-owned and operated buildings. Services provided by this program include building management, operation, maintenance, cleaning, security, and renovation projects for facilities encompassing approximately 20 million interior gross square feet with a replacement value estimated at \$6.2 billion. This program also manages food services, parking lots and garages, and cultural events at various State facilities.

Real Estate Planning and Development is responsible for negotiating leased space and determining space requirements for State agencies, the disposition of real property, and other real estate services and analysis.

PROCUREMENT SERVICES

The Procurement Services program maintains more than 2,600 centralized contracts with an estimated annual value of more than \$5 billion. These competitive contracts are available to State agencies as well as other public and nonprofit entities. Other programmatic responsibilities include administering New York State Preferred Source contracts, providing technical assistance in public procurement mechanisms and best values.

DESIGN AND CONSTRUCTION

This group provides architectural, engineering, planning, and design and construction management services to State agencies (including OGS) that operate State-owned facilities, and has projects valued at approximately \$1.2 billion in design and \$841 million under construction. The group has turned its focus to enhancing green technology practices, including energy efficiency, the use of renewable energy sources wherever feasible, and the utilization of environmentally friendly material in all the new construction and rehabilitation projects it undertakes for its State agency clients.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	808,508,000	479,516,000	(328,992,000)	16,447,000
Aid To Localities	0	0	0	0
Capital Projects	98,000,000	98,000,000	0	313,479,000
Total	<u>906,508,000</u>	<u>577,516,000</u>	<u>(328,992,000)</u>	<u>329,926,000</u>

GENERAL SERVICES

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Design and Construction			
Internal Service Funds	410	399	(11)
Executive Direction			
General Fund	102	98	(4)
Internal Service Funds	21	21	0
Procurement Program			
General Fund	146	141	(5)
Special Revenue Funds - Other	17	15	(2)
Internal Service Funds	49	49	0
Real Property Management and Development			
General Fund	708	684	(24)
Special Revenue Funds - Other	50	49	(1)
Enterprise Funds	11	10	(1)
Internal Service Funds	34	34	0
Total	1,548	1,500	(48)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	156,021,000	143,106,000	(12,915,000)
Special Revenue Funds - Federal	17,540,000	11,340,000	(6,200,000)
Special Revenue Funds - Other	22,127,000	21,591,000	(536,000)
Enterprise Funds	2,014,000	2,009,000	(5,000)
Internal Service Funds	610,056,000	300,720,000	(309,336,000)
Fiduciary Funds	750,000	750,000	0
Total	808,508,000	479,516,000	(328,992,000)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Curatorial Services Program			
Fiduciary Funds	750,000	750,000	0
Design and Construction			
Internal Service Funds	64,158,000	64,548,000	390,000
Executive Direction			
General Fund	13,567,000	13,065,000	(502,000)
Special Revenue Funds - Other	849,000	818,000	(31,000)
Enterprise Funds	89,000	89,000	0
Internal Service Funds	98,382,000	188,440,000	90,058,000
Procurement Program			
General Fund	11,375,000	11,447,000	72,000
Special Revenue Funds - Federal	17,540,000	11,340,000	(6,200,000)
Special Revenue Funds - Other	4,903,000	4,903,000	0
Internal Service Funds	24,555,000	24,669,000	114,000
Real Property Management and Development			
General Fund	131,079,000	118,594,000	(12,485,000)
Special Revenue Funds - Other	16,375,000	15,870,000	(505,000)
Enterprise Funds	1,925,000	1,920,000	(5,000)
Internal Service Funds	422,961,000	23,063,000	(399,898,000)
Total	808,508,000	479,516,000	(328,992,000)

GENERAL SERVICES

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	6,262,000	174,000	6,231,000	174,000
Procurement Program	9,909,000	276,000	9,879,000	276,000
Real Property Management and Development	39,768,000	1,002,000	35,834,000	1,002,000
Total	55,939,000	1,452,000	51,944,000	1,452,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Executive Direction	0	0	31,000	0
Procurement Program	0	0	30,000	0
Real Property Management and Development	2,468,000	0	1,466,000	0
Total	2,468,000	0	1,527,000	0

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	6,803,000	(676,000)	94,000	(9,000)
Procurement Program	1,538,000	(204,000)	32,000	(3,000)
Real Property Management and Development	78,826,000	(13,487,000)	7,307,000	(659,000)
Total	87,167,000	(14,367,000)	7,433,000	(671,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	43,000	(29,000)	5,425,000	(627,000)
Procurement Program	43,000	(29,000)	1,397,000	(161,000)
Real Property Management and Development	122,000	(82,000)	70,853,000	(12,652,000)
Total	208,000	(140,000)	77,675,000	(13,440,000)

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	66,000	(11,000)	1,175,000	0
Procurement Program	66,000	(11,000)	0	0
Real Property Management and Development	544,000	(94,000)	0	0
Total	676,000	(116,000)	1,175,000	0

GENERAL SERVICES

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Curatorial Services Program	750,000	0	0	0
Design and Construction	64,548,000	390,000	28,628,000	(792,000)
Executive Direction	189,347,000	90,027,000	2,201,000	(20,000)
Procurement Program	40,912,000	(6,086,000)	4,499,000	(27,000)
Real Property Management and Development	40,853,000	(400,408,000)	6,596,000	14,000
Total	<u>336,410,000</u>	<u>(316,077,000)</u>	<u>41,924,000</u>	<u>(825,000)</u>

Program	Nonpersonal Service		Maintenance	Undistributed
	Amount	Change	Amount	Change
Curatorial Services Program	750,000	0	0	0
Design and Construction	35,920,000	1,182,000	0	0
Executive Direction	97,146,000	47,000	90,000,000	90,000,000
Procurement Program	33,303,000	141,000	3,110,000	(6,200,000)
Real Property Management and Development	34,257,000	(422,000)	0	(400,000,000)
Total	<u>201,376,000</u>	<u>948,000</u>	<u>93,110,000</u>	<u>(316,200,000)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

	Available 2009-10	Recommended 2010-11	Change	Reappropriations 2010-11
Comprehensive Construction Program				
Design and Construction Supervision				
Capital Projects Fund	13,000,000	12,766,000	(234,000)	26,032,000
Maintenance and Improvement of Real Property Facilities				
Capital Projects Fund	61,700,000	58,284,000	(3,416,000)	239,750,000
Capital Projects Fund - Advances	0	0	0	3,465,000
Capital Projects Fund - Authority Bonds	10,000,000	16,000,000	6,000,000	30,965,000
Sustainability				
Capital Projects Fund - Authority Bonds	13,300,000	10,950,000	(2,350,000)	13,267,000
Total	<u>98,000,000</u>	<u>98,000,000</u>	<u>0</u>	<u>313,479,000</u>

DIVISION OF HOMELAND SECURITY AND EMERGENCY SERVICES

MISSION

The new Division of Homeland Security and Emergency Services (HSES) is responsible for developing and coordinating a comprehensive all hazards strategy for preparedness and response to acts of terrorism and natural disasters. By consolidating functions that focus on disaster prevention, protection, preparedness response and recovery, HSES more closely aligns State resources to conform to the Federal Department of Homeland Security (DHS)/Federal Emergency Management Agency (FEMA) structure. In addition, the new structure provides greater coordination in the delivery of emergency services that meet the needs of local first responders.

The scope of this new agency's responsibility consolidates a broad range of related activities, which heretofore were separately managed by several State agencies, including: assessing the vulnerability of critical assets and systems, supporting the development of interoperable communications systems in New York State, and providing training and support to state and local first responders related to disaster preparedness and mitigation, incident management, and arson investigation. HSES will also coordinate the allocation of a broad range of State and Federal grants; leveraging multiple separate grant programs into a comprehensive whole that achieves a greater statewide impact. Finally, HSES will coordinate the collection and dissemination of counter-terrorism information, sharing that information for the benefit of all law enforcement agencies and other stakeholders in New York State.

ORGANIZATION AND STAFFING

The Division of Homeland Security and Emergency Services consolidates the Office of Homeland Security, the State Emergency Management Office, the Office of Cyber Security and Critical Infrastructure Coordination, the State 911 Board, the interoperable communications program of the Office for Technology, and the Office of Fire Prevention and Control. The new Division will have its central office in Albany, a satellite office in New York City, and five regional emergency management facilities throughout the State. In addition, the State Preparedness Training Center located outside Oriskany, New York, and the Academy of Fire Science in Montour Falls, Schuyler County will serve as key training facilities for first responders across the State. In 2010-11, the Division will have a combined workforce of 397.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$833 million All Funds** (\$12 million General Fund; \$628 million Federal Funds, \$42 million Capital Projects Funds, and \$151 million Other Funds) for the Division of Homeland Security and Emergency Services. An increase of \$291 million above 2009-10 funding levels reflects the transfer of nearly \$100 million into the new consolidated agency from other budgets. Another \$150 million in increased appropriations reflects new Federal and State grants expected to benefit local governments, as well as \$42 million in capital investments.

HOMELAND SECURITY AND EMERGENCY SERVICES

Major budget actions include:

- **New Local Interoperable Communications Grants Program:** The Division of Homeland Security and Emergency Services will award up to \$50 million in new grants to county consortiums to assist them in the development of county-driven interoperable communications networks for use by both State and local first-responder agencies. These grants will be funded from a portion of the cellular surcharge revenues formally dedicated to the build-out of the Statewide Wireless Network.
- **Enhanced Training Facilities for First-Responders:** Over the next five years, the State will invest \$42 million in bonded capital to expand the existing State Preparedness Training Center in Oneida County, outside of Oriskany. This investment will advance the vision of a state-of-the-art training facility for State, local and Federal first-responders, and allow the SPTC to become the central location for preparedness training in the State of New York. By coordinating programs at the SPTC, the Academy of Fire Science in Montour Falls, and other applicable state agencies and training centers, the Division will offer high-quality, integrated multi-disciplinary training to first responders in a much more efficient and coordinated manner.
- **Communications Equipment for State Agencies:** The Executive Budget also includes \$30 million for the purchase of emergency communications equipment for the Division of State Police, Office of Parks, Recreation and Historic Preservation, and the Department of Environmental Conservation. This funding will enable these state agencies to replace and upgrade outdated equipment that plays a critical role in ensuring public safety.
- **Increase in Federal Award:** This budget reflects an additional \$100 million for potential increases in Federal Homeland Security Grant awards to the State. Through this program, New York State will receive approximately \$600 million during the next fiscal year. HSES will take a leadership role in maximizing Federal funding and ensuring distribution and use of Federal grant funds maximizes the support of the State's homeland security strategy and comprehensive emergency management plans.

PROGRAM HIGHLIGHTS

The 2010-11 Executive Budget proposes a merger of functions related to homeland security and emergency services, creating a more effective partner for both local and Federal partners – one which is uniquely focused on the support of first responders. While the primary missions of the currently separate organizations will be preserved as specialized offices within HSES, the merger will allow the State to leverage its existing resources as part of a more comprehensive strategy. The State expects to offer improved training for first-responders and a wide array of State and Federal grants programs, including new grants to support the development of regional interoperable communications networks in New York State. Programs and functions which will continue as State priorities within the new consolidated agency are highlighted below.

- **Federal Homeland Security Grant Programs:** Since 2001, over \$2 billion in Federal homeland security grants have been allocated, including over \$500 million in 2009. This includes the development and execution of over 1,300 contracts with State, local and other entities that comply with State and Federal contracting, reporting and oversight requirements. In addition, HSES will work with State

HOMELAND SECURITY AND EMERGENCY SERVICES

agencies and the Urban Area Work Groups to submit a comprehensive application for Federal homeland security funds not later than 90 days after the release of federal guidance.

- **Intelligence Collection, Analysis and Dissemination:** HSES will continue to sustain the analysis and dissemination of relevant terrorism information to law enforcement agencies and other stakeholders through the New York State Intelligence Center's (NYSIC) Counter-Terrorism Center (CTC). The CTC provides all-source intelligence support for law enforcement in counter-terrorism matters. In addition, HSES will continue to work closely with numerous Federal, State, local, tribal and Canadian authorities to facilitate a secure border. Federal agencies that HSES works closely with include the FBI, Immigration and Customs Enforcement (ICE), Customs and Border Protection (CBP), the Transportation Security Administration (TSA) and the U.S. Coast Guard. Finally, HSES will sustain information sharing efforts with the Canadian Provinces of Quebec and Ontario.
- **Threat Based Asset Management System:** HSES will continue development of the Threat Based Asset Management System (TBAMS) and the Critical Infrastructure Suspicious Activity Reporting (CI-SAR) system. CI-SAR is a tool for Intelligence Analysts and the resulting Suspicious Activity Reporting data is being integrated with several other states' data under the Federal Office of the Director for National Intelligence. HSES will sustain broader information sharing applications using the Homeland Security Information Network – New York (HSIN-NY).
- **Critical Infrastructure/Key Resources:** The Office's Critical Infrastructure Protection staff continue to conduct security assessments of critical infrastructure in New York State to determine gaps and develop potential solutions. HSES will continue the effort, begun in 2008, to integrate threat and risk analysis and intelligence into the planning for critical infrastructure protection. In doing so, the HSES Critical Infrastructure Program, will work in conjunction with the HSES Intelligence Division, the NYSIC and the DHS Homeland Infrastructure Threat and Risk Analysis Center (HITRAC).
- **Fire Prevention and Control:** The Office of Fire Prevention and Control will continue to provide its core functions of training and technical support for fire fighters, specialized arson investigation training, and fire safety inspections at colleges and State buildings. Further, low interest loans to fire and ambulance companies will continue through the Emergency Revolving Loan Program.
- **Enhancing First Responder Capabilities Through Training and Exercises:** In 2010, HSES will sustain first responder capabilities by training or directly supporting the training of more than 4,500 students at the State Preparedness Training Center (SPTC) in Oriskany. In 2008, the SPTC received accreditation from the Commission on Accreditation for Law Enforcement Agencies (CALEA), only the second training center in New York State to receive this prestigious recognition. In addition, HSES will deliver or facilitate more than 200 courses to train over 6,000 first responders at the SPTC, the Academy of Fire Science, and other locations throughout the State. Expansion plans for the SPTC include a

HOMELAND SECURITY AND EMERGENCY SERVICES

Weapons Training Complex and a Simulations Complex to include a converted 200'x200' hanger complete with interior and exterior streets, business facades, apartments and offices. This simulated "Cityscape" will provide first responders with a state-of-the-art, real-life training environment.

- **NY Alert emergency notification system.** Beginning in 2007-08, a statewide solution to emergency notifications benefiting schools, State University of New York campuses, and citizens across the State was established by the State Emergency Management Office. This function is preserved in HSES, with enhancements allowing for increased enrollments, greater use of GIS in the programs, and expanded communications mediums that allow NY Alert to reach more citizen in times of emergency.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	29,131,000	106,428,000	77,297,000	31,145,000
Aid To Localities	512,362,700	684,417,700	172,055,000	2,801,369,700
Capital Projects	0	42,000,000	42,000,000	0
Total	<u>541,493,700</u>	<u>832,845,700</u>	<u>291,352,000</u>	<u>2,832,514,700</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration			
General Fund	92	80	(12)
Special Revenue Funds - Other	0	20	20
Cyber Security and Critical Infrastructure Coordination Program			
General Fund	40	44	4
Special Revenue Funds - Federal	4	4	0
Emergency Management			
General Fund	0	25	25
Special Revenue Funds - Federal	0	62	62
Special Revenue Funds - Other	0	10	10
Homeland Security Program			
Special Revenue Funds - Federal	40	40	0
Interop Program			
Special Revenue Funds - Other	0	8	8
Fire Prevention and Control			
Special Revenue Funds - Other	0	104	104
Total	<u>176</u>	<u>397</u>	<u>221</u>

HOMELAND SECURITY AND EMERGENCY SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	18,222,000	11,949,000	(6,273,000)
Special Revenue Funds - Federal	3,300,000	9,111,000	5,811,000
Special Revenue Funds - Other	6,109,000	33,368,000	27,259,000
Enterprise Funds	0	50,000,000	50,000,000
Internal Service Funds	1,500,000	2,000,000	500,000
Total	<u>29,131,000</u>	<u>106,428,000</u>	<u>77,297,000</u>

Adjustments:

Transfer(s) From	
State, Department of	
Special Revenue Funds - Federal	(3,300,000)
Special Revenue Funds - Other	(1,408,000)
Appropriated 2009-10	<u>24,423,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Administration			
General Fund	13,101,000	6,407,000	(6,694,000)
Special Revenue Funds - Other	0	13,470,000	13,470,000
Cyber Security and Critical Infrastructure Coordination Program			
General Fund	5,121,000	3,421,000	(1,700,000)
Special Revenue Funds - Other	4,701,000	12,800,000	8,099,000
Internal Service Funds	1,500,000	2,000,000	500,000
Disaster Assistance			
Special Revenue Funds - Federal	0	4,786,000	4,786,000
Emergency Management			
General Fund	0	2,121,000	2,121,000
Special Revenue Funds - Federal	0	1,025,000	1,025,000
Special Revenue Funds - Other	0	3,703,000	3,703,000
Enterprise Funds	0	50,000,000	50,000,000
Interop Program			
Special Revenue Funds - Other	0	2,000,000	2,000,000
Fire Prevention and Control			
Special Revenue Funds - Federal	3,300,000	3,300,000	0
Special Revenue Funds - Other	1,408,000	1,395,000	(13,000)
Total	<u>29,131,000</u>	<u>106,428,000</u>	<u>77,297,000</u>

HOMELAND SECURITY AND EMERGENCY SERVICES

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	6,107,000	(1,820,000)	5,828,000	(1,820,000)
Cyber Security and Critical Infrastructure Coordination Program	2,421,000	178,000	2,412,000	178,000
Emergency Management	2,121,000	2,121,000	2,044,000	2,044,000
Total	<u>10,649,000</u>	<u>479,000</u>	<u>10,284,000</u>	<u>402,000</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	262,000	0	17,000	0
Cyber Security and Critical Infrastructure Coordination Program	0	0	9,000	0
Emergency Management	40,000	40,000	37,000	37,000
Total	<u>302,000</u>	<u>40,000</u>	<u>63,000</u>	<u>37,000</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	300,000	(4,874,000)	20,000	(911,000)
Cyber Security and Critical Infrastructure Coordination Program	1,000,000	(1,878,000)	30,000	0
Total	<u>1,300,000</u>	<u>(6,752,000)</u>	<u>50,000</u>	<u>(911,000)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	0	0	260,000	(3,015,000)
Cyber Security and Critical Infrastructure Coordination Program	15,000	0	850,000	(1,878,000)
Total	<u>15,000</u>	<u>0</u>	<u>1,110,000</u>	<u>(4,893,000)</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	20,000	(828,000)	0	(120,000)
Cyber Security and Critical Infrastructure Coordination Program	105,000	0	0	0
Total	<u>125,000</u>	<u>(828,000)</u>	<u>0</u>	<u>(120,000)</u>

HOMELAND SECURITY AND EMERGENCY SERVICES

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	13,470,000	13,470,000	1,000,000	1,000,000
Cyber Security and Critical Infrastructure				
Coordination Program	14,800,000	8,599,000	1,321,000	753,000
Disaster Assistance	4,786,000	4,786,000	2,200,000	2,200,000
Emergency Management	54,728,000	54,728,000	1,139,000	1,139,000
Interop Program	2,000,000	2,000,000	1,000,000	1,000,000
Fire Prevention and Control	4,695,000	(13,000)	505,000	(11,000)
Total	<u>94,479,000</u>	<u>83,570,000</u>	<u>7,165,000</u>	<u>6,081,000</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	4,500,000	4,500,000	7,970,000	7,970,000
Cyber Security and Critical Infrastructure				
Coordination Program	13,479,000	7,846,000	0	0
Disaster Assistance	2,586,000	2,586,000	0	0
Emergency Management	2,564,000	2,564,000	51,025,000	51,025,000
Interop Program	0	0	1,000,000	1,000,000
Fire Prevention and Control	4,190,000	(2,000)	0	0
Total	<u>27,319,000</u>	<u>17,494,000</u>	<u>59,995,000</u>	<u>59,995,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
Special Revenue Funds - Federal	500,000,000	618,363,000	118,363,000
Special Revenue Funds - Other	12,362,700	66,054,700	53,692,000
Total	512,362,700	<u>684,417,700</u>	<u>172,055,000</u>

Adjustments:	
Transfer(s) From	
State, Department of	
Special Revenue Funds - Other	(12,362,700)
Appropriated 2009-10	<u>500,000,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Emergency Management			
Special Revenue Funds - Federal	0	18,363,000	18,363,000
Special Revenue Funds - Other	0	2,967,000	2,967,000
Homeland Security Program			
Special Revenue Funds - Federal	500,000,000	600,000,000	100,000,000
Interop Program			
Special Revenue Funds - Other	0	50,000,000	50,000,000
Fire Prevention and Control			
Special Revenue Funds - Other	12,362,700	13,087,700	725,000
Total	<u>512,362,700</u>	<u>684,417,700</u>	<u>172,055,000</u>

HOMELAND SECURITY AND EMERGENCY SERVICES

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2009-10	Recommended 2010-11	Change	Reappropriations 2010-11
Design and Construction Supervision				
Capital Projects Fund	0	42,000,000	42,000,000	0
Total	0	42,000,000	42,000,000	0

OFFICE OF THE STATE INSPECTOR GENERAL

MISSION

The Office of the State Inspector General is responsible for detecting, investigating, deterring and eliminating corruption, fraud, criminal activity, conflicts of interest, abuses, and waste in the State entities under its jurisdiction. The Office's jurisdiction includes Executive Branch agencies, departments, divisions, offices, boards, commissions, public authorities and public benefit corporations and any entity of State government headed by an appointee of the Governor that does not have its own statutory Inspector General. Its mission is designed to ensure that State government maintains the highest standards of integrity and accountability.

ORGANIZATION AND STAFFING

The Office of the State Inspector General consolidates most of the State's inspector general activities in a centralized office that replaced what were formerly separate, semi-independent deputy inspectors general located within the agencies they served. Led by the Inspector General who is appointed by the Governor, the Office is headquartered in Albany and has offices in New York City and Buffalo.

BUDGET HIGHLIGHTS

The 2010-11 Executive Budget recommendations provide over **\$6.2 million All Funds** (\$6.1 million General Fund; \$100,000 All Other Funds), a \$687,000 General Fund decrease from the prior year. The Executive Budget projects a staffing level of **62** in 2010-11.

PROGRAM HIGHLIGHTS

In the 2009 calendar year, the Office of the State Inspector General anticipates receiving approximately 2,300 complaints of fraud, criminal activity, waste and abuse involving State employees and resources. This is a dramatic increase of over 600 complaints in the 2008 calendar year. The Office focuses its investigative efforts on major cases involving theft, bribery, contract fraud, abuse of authority, and other serious allegations of corruption. The Office works jointly with local, State and Federal law enforcement personnel and prosecutors on significant matters of public concern. The Office's investigations have uncovered instances of theft, bid rigging, bribery, creation of fraudulent documents, misuse of computers, construction industry fraud, and systemic abuse and waste in various agencies that have resulted in the arrest of more than 560 individuals, and referrals for discipline in many other cases. In addition, numerous cases have resulted in recommendations for administrative or policy changes.

INSPECTOR GENERAL

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	6,925,000	6,238,000	(687,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	6,925,000	6,238,000	(687,000)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Inspector General			
General Fund	62	62	0
Total	62	62	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	6,825,000	6,138,000	(687,000)
Special Revenue Funds - Other	100,000	100,000	0
Total	6,925,000	6,238,000	(687,000)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Inspector General			
General Fund	6,825,000	6,138,000	(687,000)
Special Revenue Funds - Other	100,000	100,000	0
Total	6,925,000	6,238,000	(687,000)

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Inspector General	5,701,000	(231,000)	5,690,000	(231,000)
Total	5,701,000	(231,000)	5,690,000	(231,000)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Inspector General	8,000	0	3,000	0
Total	8,000	0	3,000	0

INSPECTOR GENERAL

STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Inspector General	437,000	(456,000)	40,000	(63,000)
Total	437,000	(456,000)	40,000	(63,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Inspector General	40,000	(86,000)	317,000	(244,000)
Total	40,000	(86,000)	317,000	(244,000)

Program	Equipment	
	Amount	Change
Inspector General	40,000	(63,000)
Total	40,000	(63,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)

Program	Total		Nonpersonal Service	
	Amount	Change	Amount	Change
Inspector General	100,000	0	100,000	0
Total	100,000	0	100,000	0

INTEREST ON LAWYER ACCOUNT

MISSION

The Interest on Lawyer Account (IOLA) Fund was established in 1983 to finance civil legal services for the indigent. Revenues are derived from the interest earned on small trust accounts in which attorneys hold certain funds for their clients. Banks transfer the interest earned on these IOLA escrow accounts to the IOLA Fund to finance grants to not-for-profit, tax-exempt entities providing civil legal services to the indigent, elderly, disabled and others. Legislation enacted in 1988 made participation in IOLA mandatory for attorneys in private practice who hold nominal short term escrow accounts for clients and new regulations initiated in 2007 ensure that banking institutions pay a fair interest rate on IOLA escrow accounts – one that is not less than that paid on similar types of bank accounts.

ORGANIZATION AND STAFFING

A 15 member board of trustees appointed by the Governor administers the Interest on Lawyer Account. Board members serve without compensation. Day-to-day operations are located in New York City. IOLA is completely supported by revenue generated by the interest earned on IOLA escrow accounts.

BUDGET HIGHLIGHTS

The 2010-11 Executive Budget recommends **\$46.9 million All Funds**, reflecting a \$1,177,000 million decrease in their operating funds from prior year. IOLA is projected to have a staff of eight.

PROGRAM HIGHLIGHTS

For the 2010 calendar year, the board administering the Interest on Lawyer Account is expected to award grants to approximately 71 organizations statewide. Grant amounts will be determined based on projected fund revenues for 2010.

ALL FUNDS APPROPRIATIONS (dollars)				
Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	2,050,000	1,873,000	(177,000)	0
Aid To Localities	46,000,000	45,000,000	(1,000,000)	1,000,000
Capital Projects	0	0	0	0
Total	48,050,000	46,873,000	(1,177,000)	1,000,000

INTEREST ON LAWYER

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
New York Interest on Lawyer Account			
Special Revenue Funds - Other	8	8	0
Total	<u>8</u>	<u>8</u>	<u>0</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
Special Revenue Funds - Other	2,050,000	1,873,000	(177,000)
Total	<u>2,050,000</u>	<u>1,873,000</u>	<u>(177,000)</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
New York Interest on Lawyer Account			
Special Revenue Funds - Other	2,050,000	1,873,000	(177,000)
Total	<u>2,050,000</u>	<u>1,873,000</u>	<u>(177,000)</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
New York Interest on Lawyer Account	1,873,000	(177,000)	723,000	(66,000)
Total	<u>1,873,000</u>	<u>(177,000)</u>	<u>723,000</u>	<u>(66,000)</u>

Program	Nonpersonal Service	
	Amount	Change
New York Interest on Lawyer Account	1,150,000	(111,000)
Total	<u>1,150,000</u>	<u>(111,000)</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
Special Revenue Funds - Other	46,000,000	45,000,000	(1,000,000)
Total	<u>46,000,000</u>	<u>45,000,000</u>	<u>(1,000,000)</u>

INTEREST ON LAWYER

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
New York Interest on Lawyer Account			
Special Revenue Funds - Other	46,000,000	45,000,000	(1,000,000)
Total	46,000,000	45,000,000	(1,000,000)

JUDICIAL COMMISSIONS

MISSION

New York State's three Judicial Commissions play important roles in maintaining the integrity of the court system. The Commission on Judicial Nomination and the Judicial Screening Committees screen potential nominees for high-level judicial appointments by the Governor. The Commission on Judicial Conduct investigates and acts upon allegations of judicial misconduct.

COMMISSION ON JUDICIAL CONDUCT

The State Constitution established the Commission on Judicial Conduct, which is responsible for investigating complaints of misconduct against State judges and local justices. The Commission has disciplinary powers, which include the authority to remove judges and justices from office for serious misconduct, subject to review by the Court of Appeals.

The Commission on Judicial Conduct has 11 members, four of whom are appointed by the Governor, three by the Chief Judge of the Court of Appeals and the remaining four by the Legislature. Commission members serve without pay and meet periodically to consider complaints, hear testimony and determine the disposition of cases. The Commission is projected to have a staff of **49** in fiscal year 2010-11. Its main office is in New York City, with branches in Albany and Rochester. The recommended 2010-11 Executive Budget of more than **\$5.5 million General Fund** is an increase of **\$206,000**, reflecting the cost of continuing current programs.

COMMISSION ON JUDICIAL NOMINATION

The State Constitution established the Commission on Judicial Nomination to evaluate candidates and make recommendations to the Governor for appointment to the Court of Appeals, the State's highest court.

The Commission on Judicial Nomination has 12 members appointed by the Governor, the Chief Judge of the Court of Appeals and the Legislature. These members serve without pay and conduct the search for qualified candidates upon formal notification of a vacancy on the Court of Appeals.

GOVERNOR'S JUDICIAL SCREENING COMMITTEES

The Governor's Judicial Screening Committees are established by Executive Order to evaluate the qualifications of candidates and make recommendations to the Governor for appointment to judgeships other than those on the Court of Appeals. The Committees are located throughout the State.

JUDICIAL COMMISSIONS

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	5,268,000	5,474,000	206,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	5,268,000	5,474,000	206,000	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Judicial Conduct			
General Fund	49	49	0
Total	49	49	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	5,268,000	5,474,000	206,000
Total	5,268,000	5,474,000	206,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Judicial Conduct			
General Fund	5,200,000	5,406,000	206,000
Judicial Nomination, Commission on			
General Fund	30,000	30,000	0
Judicial Screening Committees			
General Fund	38,000	38,000	0
Total	5,268,000	5,474,000	206,000

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Judicial Conduct	4,093,000	206,000	4,093,000	206,000
Judicial Screening Committees	13,000	0	13,000	0
Total	4,106,000	206,000	4,106,000	206,000

JUDICIAL COMMISSIONS

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Judicial Conduct	1,313,000	0	55,000	0
Judicial Nomination, Commission on	30,000	0	0	0
Judicial Screening Committees	25,000	0	0	0
Total	<u>1,368,000</u>	<u>0</u>	<u>55,000</u>	<u>0</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Judicial Conduct	103,000	0	1,058,000	0
Judicial Nomination, Commission on	30,000	0	0	0
Judicial Screening Committees	10,000	0	15,000	0
Total	<u>143,000</u>	<u>0</u>	<u>1,073,000</u>	<u>0</u>

Program	Equipment	
	Amount	Change
Judicial Conduct	97,000	0
Judicial Nomination, Commission on	0	0
Judicial Screening Committees	0	0
Total	<u>97,000</u>	<u>0</u>

DEPARTMENT OF LAW

MISSION

The Department of Law was created in 1926 and is headed by the State Attorney General, who is elected by the people. The Department is responsible for protecting the legal rights of New York State and its citizens by representing the State in litigation and in other legal affairs.

In implementing its constitutional responsibilities, the Department performs a wide range of functions. Major activities of the Department include prosecuting or defending actions and proceedings for or against the State and its departments; prosecuting certain criminal violations of the Labor, Workers' Compensation and Unemployment Insurance laws; investigating and prosecuting other criminal cases at the request of the Governor or the commissioners of State departments; investigating the activities of organized crime; bringing civil and/or criminal actions against polluters, violators of antitrust laws and those who defraud consumers or investors; mediating consumer complaints; and investigating and prosecuting cases of Medicaid fraud.

ORGANIZATION AND STAFFING

The legal functions and administrative functions of the Department of Law are divided into eight major divisions: Administration, Appeals and Opinions, Counsel for the State, Criminal Justice, Economic Justice, Investigations, Social Justice and Regional Offices. Each division consists of smaller bureaus or specialized units dealing with specific issues.

The Department's main offices are located in Albany and New York City, with regional offices in Binghamton, Poughkeepsie, Syracuse, Buffalo, Plattsburgh, Rochester, Watertown, Mineola, Hauppauge, Harlem, Utica, Brooklyn, and White Plains.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$210 million All Funds** (\$114.2 million General Fund; \$35.8 million Federal Funds; \$60 million Other Funds) for the Department of Law. This is a decrease of **\$23.6 million** (\$16.2 million General Fund; \$7.4 million Other Funds). The Department of Law will maintain a workforce limit of **2,032 FTE**; however, workforce reduction plans will continue to lower actual staffing to fewer than **1,815 FTE** throughout 2010-11.

PROGRAM HIGHLIGHTS

Agency divisions perform the following functions:

- The Administration Division provides budget, personnel, operations and technology services for the Department.
- The Division of Appeals and Opinions handles appellate litigation in both State and Federal courts. The Division also prepares opinions, both formal and informal, interpreting State laws for State agencies and municipalities.
- The Criminal Justice Division investigates and prosecutes criminal cases, including those involving Medicaid fraud, auto insurance fraud, white collar and organized crime cases involving multi-county, multi-state and even multi-national criminal activities occurring within New York State.

LAW

- The Investigations Division provides investigative support for legal divisions throughout the Department, and works cooperatively with State, local and Federal law enforcement agencies on cases that cross jurisdictional lines.
- The Division of State Counsel provides State agencies, the Governor, other State officials and the Legislature with counsel and representation in legal proceedings. It recoups non tax revenue on behalf of State taxpayers and provides legal assistance to State agencies in connection with the acquisition and disposition of public land.
- The Division of Economic Justice defends and protects the public interest in the courts. It enforces laws to prevent restraint of trade, protects consumers from fraudulent and/or deceptive business practices, regulates the sale of securities, and addresses people's concerns about criminal online activities.
- The Division of Social Justice also defends and protects the public interest in the courts. It ensures compliance with the State's health care and environmental laws, enforces State Labor and Workers' Compensation Laws, protects charitable donors and beneficiaries, and enforces laws prohibiting discrimination.
- The Regional Offices' Division provides satellite offices across the State to ensure that all New York agencies have cost-effective representation in all local and Federal courts, and that citizens have full access to the programs and services of the Department.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	233,618,000	210,026,000	(23,592,000)	28,123,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	<u>233,618,000</u>	<u>210,026,000</u>	<u>(23,592,000)</u>	<u>28,123,000</u>

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)			
Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration			
General Fund	170	170	0
Appeals and Opinions			
General Fund	59	56	(3)
Counsel for the State			
General Fund	380	355	(25)
Special Revenue Funds - Other	233	211	(22)
Criminal Investigations			
General Fund	95	95	0
Economic Justice			
General Fund	126	126	0
Special Revenue Funds - Other	14	14	0
Social Justice			
General Fund	147	136	(11)
Capital Projects Funds - Other	7	7	0
Criminal Justice			
General Fund	93	82	(11)
Special Revenue Funds - Other	68	68	0
Medicaid Fraud Control			
Special Revenue Funds - Federal	235	222	(13)
Special Revenue Funds - Other	78	74	(4)
Regional Offices			
General Fund	142	131	(11)
Total	<u>1,847</u>	<u>1,747</u>	<u>(100)</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	130,466,000	114,219,000	(16,247,000)
Special Revenue Funds - Federal	37,559,000	35,820,000	(1,739,000)
Special Revenue Funds - Other	65,593,000	59,987,000	(5,606,000)
Total	<u>233,618,000</u>	<u>210,026,000</u>	<u>(23,592,000)</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Administration			
General Fund	29,675,000	16,464,000	(13,211,000)
Appeals and Opinions			
General Fund	5,963,000	5,786,000	(177,000)
Counsel for the State			
General Fund	41,047,000	35,960,000	(5,087,000)
Special Revenue Funds - Other	51,700,000	46,530,000	(5,170,000)
Criminal Investigations			
General Fund	9,638,000	9,743,000	105,000
Economic Justice			
General Fund	9,277,000	11,276,000	1,999,000
Special Revenue Funds - Other	649,000	827,000	178,000
Social Justice			
General Fund	13,752,000	13,075,000	(677,000)
Criminal Justice			
General Fund	8,079,000	7,324,000	(755,000)
Special Revenue Funds - Other	600,000	572,000	(28,000)
Medicaid Fraud Control			
Special Revenue Funds - Federal	37,559,000	35,820,000	(1,739,000)
Special Revenue Funds - Other	12,644,000	12,058,000	(586,000)
Regional Offices			
General Fund	13,035,000	14,591,000	1,556,000
Total	<u>233,618,000</u>	<u>210,026,000</u>	<u>(23,592,000)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	12,024,000	7,000	11,550,000	606,000
Appeals and Opinions	5,110,000	(144,000)	5,109,000	(143,000)
Counsel for the State	29,723,000	(851,000)	29,709,000	(615,000)
Criminal Investigations	9,325,000	126,000	9,102,000	10,000
Economic Justice	11,056,000	1,779,000	11,044,000	1,854,000
Social Justice	12,855,000	(897,000)	12,822,000	(844,000)
Criminal Justice	7,143,000	(749,000)	6,994,000	(767,000)
Regional Offices	11,274,000	(683,000)	11,258,000	(664,000)
Total	<u>98,510,000</u>	<u>(1,412,000)</u>	<u>97,588,000</u>	<u>(563,000)</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	447,000	(572,000)	27,000	(27,000)
Appeals and Opinions	0	0	1,000	(1,000)
Counsel for the State	0	(220,000)	14,000	(16,000)
Criminal Investigations	0	0	223,000	116,000
Economic Justice	0	0	12,000	(75,000)
Social Justice	0	0	33,000	(53,000)
Criminal Justice	54,000	(47,000)	95,000	65,000
Regional Offices	0	(12,000)	16,000	(7,000)
Total	<u>501,000</u>	<u>(851,000)</u>	<u>421,000</u>	<u>2,000</u>

STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	4,440,000	(13,218,000)	1,765,000	(1,279,000)
Appeals and Opinions	676,000	(33,000)	0	0
Counsel for the State	6,237,000	(4,236,000)	0	0
Criminal Investigations	418,000	(21,000)	0	0
Economic Justice	220,000	220,000	0	0
Social Justice	220,000	220,000	0	0
Criminal Justice	181,000	(6,000)	5,000	0
Regional Offices	3,317,000	2,239,000	0	0
Total	15,709,000	(14,835,000)	1,770,000	(1,279,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	113,000	(131,000)	2,401,000	(10,618,000)
Appeals and Opinions	0	0	676,000	(33,000)
Counsel for the State	148,000	(170,000)	6,089,000	(4,066,000)
Criminal Investigations	101,000	(116,000)	317,000	95,000
Economic Justice	0	0	220,000	220,000
Social Justice	0	0	220,000	220,000
Criminal Justice	85,000	(97,000)	91,000	91,000
Regional Offices	154,000	(178,000)	3,163,000	2,417,000
Total	601,000	(692,000)	13,177,000	(11,674,000)

Program	Equipment	
	Amount	Change
Administration	161,000	(1,190,000)
Appeals and Opinions	0	0
Counsel for the State	0	0
Criminal Investigations	0	0
Economic Justice	0	0
Social Justice	0	0
Criminal Justice	0	0
Regional Offices	0	0
Total	161,000	(1,190,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Counsel for the State	46,530,000	(5,170,000)	9,911,000	(5,711,000)
Economic Justice	827,000	178,000	490,000	50,000
Criminal Justice	572,000	(28,000)	0	0
Medicaid Fraud Control	47,878,000	(2,325,000)	25,632,000	(1,564,000)
Total	95,807,000	(7,345,000)	36,033,000	(7,225,000)

Program	Nonpersonal Service	
	Amount	Change
Counsel for the State	36,619,000	541,000
Economic Justice	337,000	128,000
Criminal Justice	572,000	(28,000)
Medicaid Fraud Control	22,246,000	(761,000)
Total	59,774,000	(120,000)

DIVISION OF MILITARY AND NAVAL AFFAIRS

MISSION

The Division of Military and Naval Affairs' (DMNA) primary mission is to maintain a well-trained military force ready to respond to civil emergencies, natural disasters, and threats to the nation's security. The State Emergency Management Office (SEMO), formerly part of DMNA, has been proposed to be consolidated as an office with the new Division of Homeland Security and Emergency Services.

ORGANIZATION AND STAFFING

The Division of Military and Naval Affairs operates under the direction of the Adjutant General, who is appointed by the Governor. The Division consists of the New York Army National Guard, the New York Air National Guard, the Naval Militia, the New York Guard and SEMO. Coordinated through the State headquarters in Latham, the Division operates 54 armories as well as 19 Field and Combined Support Maintenance facilities, three training sites, six Air National Guard facilities, three Aviation Support facilities and a Maneuver Area Training Equipment Site. In 2010-11, DMNA will have an estimated **workforce of 482**, which is 97 lower than the prior year and entirely attributable to the transfer of 97 SEMO positions to the new Division of Homeland Security and Emergency Services.

BUDGET HIGHLIGHTS

The Executive Budget recommends approximately **\$100 million All Funds** (\$18 million General Fund; \$51 million Other Funds and \$31 million in Capital Projects Funds) for DMNA. This is a decrease of **\$ 482 million** from the 2009-10 budget, which primarily reflects the movement of SEMO from DMNA to the newly created Division of Emergency Management and Homeland Security.

Support for Empire Shield: The 2010-11 Executive Budget includes approximately \$9.5 million from the General Fund, appropriated in the All State Agencies/All Funds Homeland Security Miscellaneous appropriation, and \$10 million from Federal Homeland Security Grants to support the National Guard for the Empire Shield mission in the New York City metro area. Empire Shield provides random, flexible threat-based, rapid response units that provide security and deterrence at major transportation hubs throughout the metropolitan New York area. Empire Shield has been headquartered at Fort Hamilton since 2008.

PROGRAM HIGHLIGHTS

MILITARY READINESS

The Military Readiness program is the core of the Division's operations. It includes the New York Army National Guard, the New York Air National Guard, the New York Naval Militia and the New York Guard. With a combined force of nearly 20,000 members, the Readiness program has mounted a sustained activation since the terrorist attacks of September 2001 to safeguard the State's citizenry from emerging security threats, while simultaneously meeting its obligations to support the Federal government. Other critical responses to civilian emergencies in recent years have included the

MILITARY AND NAVAL AFFAIRS

abatement and mitigation of the effects of floods, blizzards, tornados, and forest fires. The Joint Task Force Empire Shield mission, headquartered at Fort Hamilton in New York City continues to make efficient use of State resources in regards to National Guard security deployments in the metropolitan area.

SPECIAL SERVICES

Established in 1996, the Recruitment Incentive and Retention Program provides qualified individuals and active National Guard members with a tuition benefit for undergraduate study. The program has proven successful in helping to stabilize the Guard's troop strength and significantly improved member morale in recent years.

Additionally, the Special Services program includes the activities associated with armory rentals.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	139,379,000	68,626,000	(70,753,000)	51,018,000
Aid To Localities	411,899,000	650,000	(411,249,000)	125,000
Capital Projects	30,700,000	30,700,000	0	79,034,000
Total	581,978,000	99,976,000	(482,002,000)	130,177,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration			
General Fund	53	53	0
Emergency Management			
General Fund	25	0	(25)
Special Revenue Funds - Federal	62	0	(62)
Special Revenue Funds - Other	13	0	(13)
Military Readiness			
General Fund	147	147	0
Special Revenue Funds - Federal	268	268	0
Special Service			
Special Revenue Funds - Other	11	14	3
Total	579	482	(97)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	25,293,000	17,105,000	(8,188,000)
Special Revenue Funds - Federal	48,512,000	42,780,000	(5,732,000)
Special Revenue Funds - Other	15,574,000	8,741,000	(6,833,000)
Enterprise Funds	50,000,000	0	(50,000,000)
Total	139,379,000	68,626,000	(70,753,000)

MILITARY AND NAVAL AFFAIRS

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Administration			
General Fund	4,263,000	3,764,000	(499,000)
Disaster Assistance			
Special Revenue Funds - Federal	4,786,000	0	(4,786,000)
Emergency Management			
General Fund	4,321,000	0	(4,321,000)
Special Revenue Funds - Federal	575,000	0	(575,000)
Special Revenue Funds - Other	6,803,000	0	(6,803,000)
Enterprise Funds	50,000,000	0	(50,000,000)
Military Readiness			
General Fund	16,419,000	13,051,000	(3,368,000)
Special Revenue Funds - Federal	43,151,000	42,780,000	(371,000)
Special Service			
General Fund	290,000	290,000	0
Special Revenue Funds - Other	8,771,000	8,741,000	(30,000)
Total	<u>139,379,000</u>	<u>68,626,000</u>	<u>(70,753,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	3,416,000	(389,000)	3,401,000	(389,000)
Emergency Management	0	(2,279,000)	0	(2,242,000)
Military Readiness	7,062,000	(1,214,000)	6,338,000	(1,214,000)
Total	<u>10,478,000</u>	<u>(3,882,000)</u>	<u>9,739,000</u>	<u>(3,845,000)</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	0	0	15,000	0
Emergency Management	0	0	0	(37,000)
Military Readiness	633,000	0	91,000	0
Total	<u>633,000</u>	<u>0</u>	<u>106,000</u>	<u>(37,000)</u>

MILITARY AND NAVAL AFFAIRS

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	348,000	(110,000)	53,000	(38,000)
Emergency Management	0	(2,042,000)	0	(137,000)
Military Readiness	5,989,000	(2,154,000)	756,000	(294,000)
Special Service	290,000	0	66,000	0
Total	6,627,000	(4,306,000)	875,000	(469,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	19,000	0	170,000	(60,000)
Emergency Management	0	(94,000)	0	(1,367,000)
Military Readiness	70,000	(70,000)	4,955,000	(1,640,000)
Special Service	12,000	0	120,000	0
Total	101,000	(164,000)	5,245,000	(3,067,000)

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	106,000	(12,000)	0	0
Emergency Management	0	(404,000)	0	(40,000)
Military Readiness	108,000	(150,000)	100,000	0
Special Service	92,000	0	0	0
Total	306,000	(566,000)	100,000	(40,000)

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Disaster Assistance	0	(4,786,000)	0	(2,365,000)
Emergency Management	0	(57,378,000)	0	(1,528,000)
Military Readiness	42,780,000	(371,000)	14,160,000	(80,000)
Special Service	8,741,000	(30,000)	1,191,000	96,000
Total	51,521,000	(62,565,000)	15,351,000	(3,877,000)

Program	Nonpersonal Service	
	Amount	Change
Disaster Assistance	0	(2,421,000)
Emergency Management	0	(55,850,000)
Military Readiness	28,620,000	(291,000)
Special Service	7,550,000	(126,000)
Total	36,170,000	(58,688,000)

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	90,002,000	650,000	(89,352,000)
Special Revenue Funds - Federal	318,930,000	0	(318,930,000)
Special Revenue Funds - Other	2,967,000	0	(2,967,000)
Total	411,899,000	650,000	(411,249,000)

MILITARY AND NAVAL AFFAIRS

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Disaster Assistance			
General Fund	90,000,000	0	(90,000,000)
Special Revenue Funds - Federal	300,000,000	0	(300,000,000)
Emergency Management			
Special Revenue Funds - Federal	18,930,000	0	(18,930,000)
Special Revenue Funds - Other	2,967,000	0	(2,967,000)
Military Readiness			
General Fund	0	650,000	650,000
Community Projects			
General Fund	2,000	0	(2,000)
Total	<u>411,899,000</u>	<u>650,000</u>	<u>(411,249,000)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2009-10	Recommended 2010-11	Change	Reappropriations 2010-11
Design and Construction Supervision				
Capital Projects Fund	5,100,000	5,100,000	0	10,236,000
Federal Capital Projects Fund	3,000,000	5,000,000	2,000,000	10,704,000
Maintenance and Improvements				
Capital Projects Fund	8,000,000	8,000,000	0	18,431,000
Federal Capital Projects Fund	14,600,000	12,600,000	(2,000,000)	39,663,000
Total	<u>30,700,000</u>	<u>30,700,000</u>	<u>0</u>	<u>79,034,000</u>

DIVISION OF PAROLE

MISSION

The Division of Parole, which consists of the Board of Parole and Division staff, is responsible for preparing eligible offenders to be released from prison, allowing for their release and setting the conditions of release, supervising those offenders in the community, and providing parolees with assistance to help them successfully reintegrate into society.

ORGANIZATION AND STAFFING

The members of the Board of Parole are appointed by the Governor, and confirmed by the Senate. One member is designated by the Governor to serve as the Board's Chair and Chief Executive Officer of the Division. Board members review the cases of offenders eligible for parole release and determine if and under what conditions the offender should be released to parole supervision. Determinate sentencing of violent drug offenders has significantly reduced the workload of the Board of Parole, therefore the Executive Budget recommends reducing the Board membership from 19 to 13 members.

Parole Operations staff are located across the State in 38 community-based field supervision offices and 68 correctional institutions. The Division's administrative staff and executive team are located at its central office in Albany.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$189.1 million All Funds** (\$176.3 million General Funds; \$11.0 million Internal Services Funds; \$1.8 million Other Funds) for the Division of Parole. This is a **\$4.5 million** decrease over the prior year which primarily reflects the reduction in the parolee population, NYC rental space consolidations, and staffing controls for overtime costs. The Executive Budget projects that the Division of Parole will have **1,955** filled positions by the end of the 2010-11 fiscal year.

Major budget actions include:

- **Parolee Population Decline:** The parolee population is projected to decline by nearly 1,500; therefore, fewer parole officers are needed. This decline is largely attributed to Rockefeller Drug Law Reform which permitted drug offenders who were presumptively released from State prison to be released earlier from parole supervision, as well as continued decline in the inmate population resulting in fewer parole releases. Savings are estimated at \$3.7 million.
- **Staffing Controls:** The Division will continue implementing staffing controls while also improving its staff scheduling processes to reduce overtime costs. Savings are estimated at \$1.8 million.

PROGRAM HIGHLIGHTS

The State's parole system involves three major activities: preparing inmates for re-entry into the community; assisting the Board in making release determinations and setting conditions; and supervising parolees released from prison while supporting their successful reintegration into the community.

PAROLE

Institutional Parole staff, assigned to correctional facilities, assist the Board by preparing an assessment of an inmate's readiness for release based on his or her case history. Facility Parole Officers assess an inmate's rehabilitation progress, family background and community connections, as well as housing and employment prospects for the Board's consideration. Working in cooperation with Field Parole Officers, Facility Parole Officers attempt to obtain housing, employment and other services for inmates that are to be released into the community.

Immediately upon being released from prison, parolees are assigned to a Field Parole Officer. Field Parole Officers supervise parolees by monitoring behavior, reviewing employment, evaluating treatment progress, and administering drug tests. In addition to closely monitoring offenders in the community, the Division helps parolees avoid reverting to a life of crime by contracting for various services to support their return to society, such as supportive housing and employment training.

Intensive supervision is provided for sex offenders, certain violent felony offenders, offenders with severe mental health conditions, and for certain parolees who graduate from Shock Incarceration or Willard Drug Treatment Campus. The Division continues its efforts to ensure that sex offenders under parole supervision are strictly monitored and kept away from the most vulnerable members of the community. In addition to an intensive level of parole officer supervision, electronic monitoring and polygraphs are used to track these offenders and deter their predatory behavior.

As part of the effort to reduce recidivism through a focus on community re-entry, the Division of Parole will continue its cooperative efforts with the Department of Correctional Services to expand re-entry programs. The goal is to create a more seamless system for assessing the needs of offenders, ensuring access to services, maintaining accurate records of offender information, and addressing other factors essential to successful community re-entry.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	178,392,000	167,106,000	(11,286,000)	0
Aid To Localities	15,220,652	21,971,000	6,750,348	1,045,000
Capital Projects	0	0	0	0
Total	193,612,652	189,077,000	(4,535,652)	1,045,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration			
General Fund	88	88	0
Parole Operations			
General Fund	1,918	1,867	(51)
Total	2,006	1,955	(51)

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	177,067,000	165,331,000	(11,736,000)
Special Revenue Funds - Federal	500,000	500,000	0
Special Revenue Funds - Other	825,000	1,275,000	450,000
Total	178,392,000	167,106,000	(11,286,000)

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Administration			
General Fund	8,222,000	7,731,000	(491,000)
Parole Operations			
General Fund	168,845,000	157,600,000	(11,245,000)
Special Revenue Funds - Federal	500,000	500,000	0
Special Revenue Funds - Other	825,000	1,275,000	450,000
Total	178,392,000	167,106,000	(11,286,000)

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	6,475,000	(537,000)	6,454,000	(526,000)
Parole Operations	124,198,000	(7,419,000)	120,139,000	(5,836,000)
Total	130,673,000	(7,956,000)	126,593,000	(6,362,000)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	0	(4,000)	21,000	(7,000)
Parole Operations	70,000	(28,000)	3,989,000	(1,555,000)
Total	70,000	(32,000)	4,010,000	(1,562,000)

PAROLE

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,256,000	46,000	14,000	6,000
Parole Operations	33,402,000	(3,826,000)	1,053,000	(113,000)
Total	34,658,000	(3,780,000)	1,067,000	(107,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	223,000	(102,000)	999,000	161,000
Parole Operations	3,559,000	41,000	27,302,000	(3,020,000)
Total	3,782,000	(61,000)	28,301,000	(2,859,000)

Program	Equipment	
	Amount	Change
Administration	20,000	(19,000)
Parole Operations	1,488,000	(734,000)
Total	1,508,000	(753,000)

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Nonpersonal Service	
	Amount	Change	Amount	Change
Parole Operations	1,775,000	450,000	1,275,000	450,000
Total	1,775,000	450,000	1,275,000	450,000

Program	Maintenance Undistributed	
	Amount	Change
Parole Operations	500,000	0
Total	500,000	0

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	5,970,652	10,971,000	5,000,348
Internal Service Funds	9,250,000	11,000,000	1,750,000
Total	15,220,652	21,971,000	6,750,348

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Parole Operations			
General Fund	5,970,652	10,971,000	5,000,348
Internal Service Funds	9,250,000	11,000,000	1,750,000
Total	15,220,652	21,971,000	6,750,348

PUBLIC EMPLOYMENT RELATIONS BOARD

MISSION

The Public Employment Relations Board was created by the Public Employees' Fair Employment Act of 1967 and resolves labor disputes between public employers and employees. The Board provides mediation, fact-finding and arbitration in contract disputes for approximately 4,765 public sector negotiating units in New York State. In addition, the Board settles questions of union representation, conducts hearings on charges of improper practices, designates Management/Confidential positions, makes determinations regarding applications for injunctive relief and acts as a clearinghouse for information on wages, benefits and employment practices.

ORGANIZATION AND STAFFING

The Board consists of a full-time Chair and two part-time members nominated by the Governor for six-year terms. The Board's jurisdiction includes State, county and local governments, certain special service districts, school districts and public authorities. Central offices are in Albany, with additional staff in Buffalo and Brooklyn.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$4.5 million All Funds** (\$4.0 million General Fund; \$575,000 Other Funds) for the Public Employment Relations Board. This is a net decrease of \$141,000 from the 2009-10 budget. Within these amounts, the Public Employment Relations Board will fully absorb the responsibilities of the State Employment Relations Board, at a savings of \$1.3 million to the State.

The Executive Budget projects a staffing level of **38** for the Public Employment Relations Board, which is unchanged from 2009-10.

The Board has been diligent in identifying methods to control spending. To manage resources more efficiently, mediators are being assigned to cases where meetings are held close to the mediator's home or office, thereby reducing travel costs.

PROGRAM HIGHLIGHTS

The Board provides three principal services: conciliation, settlement of petitions involving representation and rulings on charges of improper employment practices.

CONCILIATION

The Board provided assistance in 344 of the 2,322 State and local contracts negotiated in 2008-09, which represents a nearly 10 percent increase from the previous year. During the first three quarters of 2009-10, 20 percent more impasses were received than during the same period in 2008-09, the most since 1998-99. In addition, beginning in 2010-11, the Board will also perform these functions for private employers and their unions.

PUBLIC EMPLOYMENT RELATIONS

REPRESENTATION

Through its Office of Public Employment Practices and Representation, the Board reviews all petitions from public employee unions and employers requesting the creation of new negotiating units or the transfer of members between units. It also reviews requests to remove positions from negotiating units and may designate them management or confidential. In 2010-11, the Board expects to receive approximately 95 petitions raising questions about representation and to conduct 15 elections for representation.

The Board also addresses matters of bargaining unit changes through unit clarification/placement and Management/Confidential applications. In 2008-09, 69 such applications were received by the Board and 60 cases were resolved: 35 by settlement after a conference was conducted and 25 by issuing a decision. Approximately 90 applications of this type are expected in 2010-11.

EMPLOYMENT PRACTICES

The Board conducts hearings and renders decisions on improper practice charges, and received 770 charges of improper employment and negotiating practices in 2008-09 and expects 875 such charges in the coming year. The number of cases filed to date in 2009-10 is 25 percent greater than last year. In 2008-09, the Board wrote 127 decisions and closed, either by decision or settlement, more than 785 improper practice cases. Beginning in 2010-11, the Board will also resolve improper labor practices within the private sector which do not fall within the jurisdiction of the National Labor Relations Board.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	4,684,000	4,543,000	(141,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	4,684,000	4,543,000	(141,000)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
	Administration		
General Fund	38	38	0
Total	38	38	0

PUBLIC EMPLOYMENT RELATIONS

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	4,116,000	3,968,000	(148,000)
Special Revenue Funds - Other	568,000	575,000	7,000
Total	<u>4,684,000</u>	<u>4,543,000</u>	<u>(141,000)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Administration			
General Fund	4,116,000	3,968,000	(148,000)
Special Revenue Funds - Other	568,000	575,000	7,000
Total	<u>4,684,000</u>	<u>4,543,000</u>	<u>(141,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	3,590,000	(58,000)	3,315,000	(58,000)
Total	<u>3,590,000</u>	<u>(58,000)</u>	<u>3,315,000</u>	<u>(58,000)</u>

Program	Temporary Service (Nonannual Salaried)	
	Amount	Change
Administration	275,000	0
Total	<u>275,000</u>	<u>0</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	378,000	(90,000)	37,000	(26,000)
Total	<u>378,000</u>	<u>(90,000)</u>	<u>37,000</u>	<u>(26,000)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	52,000	(10,000)	262,000	(39,000)
Total	<u>52,000</u>	<u>(10,000)</u>	<u>262,000</u>	<u>(39,000)</u>

Program	Equipment	
	Amount	Change
Administration	27,000	(15,000)
Total	<u>27,000</u>	<u>(15,000)</u>

PUBLIC EMPLOYMENT RELATIONS

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	575,000	7,000	240,000	100,000
Total	<u>575,000</u>	<u>7,000</u>	<u>240,000</u>	<u>100,000</u>
Program	Nonpersonal Service			
	Amount	Change		
Administration	335,000	(93,000)		
Total	<u>335,000</u>	<u>(93,000)</u>		

COMMISSION ON PUBLIC INTEGRITY

MISSION

The mission of the Commission on Public Integrity is to ensure compliance with the ethical standards imposed to foster public trust and confidence in government. Established in 2007 by the merger of the State Ethics Commission and the Temporary State Commission on Lobbying, the Commission on Public Integrity is charged with the responsibility of administering and enforcing the State's ethics and lobbying statutes along with anti-nepotism laws and the New York State "little Hatch Act." The Commission is also one of the State ethics organizations whose structure and mission would change as a result of ethics reform proposals advanced by the Governor.

ORGANIZATION AND STAFFING

The Commission has 13 members, and operates through eight units: Executive, Public Information, Administration, Technology, Legal, Investigations, Programs, and Training and Educational Services. The Commission's office is in Albany.

BUDGET HIGHLIGHTS

The Executive Budget recommends over **\$4.3 million General Fund** for the Commission on Public Integrity. This is a decrease of **\$854,000** from the 2009-10 budget, reflecting administrative and personnel efficiencies and savings achieved through the use of technology. For 2010-11, the Commission is projected to have **50** staff.

PROGRAM HIGHLIGHTS

The Commission has jurisdiction over 250,000 Executive Branch employees and officials filing over 26,000 financial disclosure statements annually, as well as approximately 6,600 lobbyists representing 4,100 clients that reported spending \$174 million in 2008 on their lobbying efforts. The Commission is responsible for issuing formal and informal advisory opinions to those under its jurisdiction, providing comprehensive outreach and education programs, administering a website that offers online filing for all lobbyists, State employees and officials, managing the disclosure of all information that is required to be made available to the public, and conducting investigations and holding hearings to enforce the laws under the Commission's mandate.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	5,162,000	4,308,000	(854,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	5,162,000	4,308,000	(854,000)	0

PUBLIC INTEGRITY

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Public Integrity, Commission on General Fund	50	50	0
Total	50	50	0

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	5,162,000	4,308,000	(854,000)
Total	5,162,000	4,308,000	(854,000)

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Public Integrity, Commission on General Fund	5,162,000	4,308,000	(854,000)
Total	5,162,000	4,308,000	(854,000)

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Public Integrity, Commission on	3,401,000	(489,000)	3,351,000	(489,000)
Total	3,401,000	(489,000)	3,351,000	(489,000)

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Public Integrity, Commission on	50,000	0
Total	50,000	0

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Public Integrity, Commission on	907,000	(365,000)	44,000	(7,000)
Total	907,000	(365,000)	44,000	(7,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Public Integrity, Commission on	20,000	(7,000)	793,000	(295,000)
Total	20,000	(7,000)	793,000	(295,000)

Program	Equipment	
	Amount	Change
Public Integrity, Commission on	50,000	(56,000)
Total	50,000	(56,000)

DIVISION OF STATE POLICE

MISSION

The mission of the Division of State Police is to promote highway safety and protect against crime. The Division is responsible for patrolling roads and highways outside major urban centers, and providing specialty and investigative police services throughout the State. Their responsibilities range from traditional patrol duties to sophisticated investigations of drug traffickers, internet predators and other criminals.

ORGANIZATION AND STAFFING

The Division, headed by a Superintendent who is appointed by the Governor, is organized into 11 Troops, which are overseen and supported by a Division Headquarters located in Albany. Each Troop encompasses a geographic area of the State, with the exception of Troop T which is dedicated to providing police services on the New York State Thruway. Troop NYC provides specialized investigative and support services in the five boroughs of New York City, while the remaining nine Troops provide patrol coverage and criminal investigation services appropriate to local conditions. Factors which affect the extent of State Police services include the area's population, highway mileage and availability of county and local law enforcement agencies.

BUDGET HIGHLIGHTS

The Executive Budget recommends approximately **\$730 million All Funds** (\$476 million General Fund; \$243 million Other Operating Funds; \$11.5 million Capital Funds) for the Division. This is a decrease of approximately **\$76 million All Funds** (\$65 million General Fund decrease; \$11 million Other Operating Funds increase) from the 2009-10 budget. The decrease in the General Fund reflects an adjustment for a retro-active collective bargaining payment of \$42 million made in 2009-10, savings of \$17 million associated with the delay of recruitment classes for a second year, and efforts by the Division to limit non-personal service costs. The increase in Other Funds primarily reflects an additional \$16 million in spending supported by Motor Vehicle Law Enforcement fee revenue, which directly offsets the General Fund. The Executive Budget estimates a staffing level of **5,530** for the Division.

Major budget actions include:

- **Delay State Police Training Classes/Redeploy School Resource Officers.** The Division of State Police has not held a training class during the current year, and will not hold any during the 2010-11 fiscal year in light of the State's fiscal crisis. As a result of the decision not to recruit new members to replace those who leave, the State Police force will be approximately 269 positions lower by April 2011, as compared to April 2009. This action requires the Superintendent to redeploy members to the highest priority assignments with the greatest impact on public safety. The redeployment plan being developed by the Superintendent will include the withdrawal of 90 school resources officers at the close of the school year in June 2010.
- **Speed Enforcement Cameras:** To improve highway safety, and in further support of the 2005 Work Zone Safety Act, the Executive Budget includes funding to deploy speed enforcement photo-monitoring equipment to combat speeding in work zones and on certain stretches of highway. The cost of the

STATE POLICE

equipment and administration of the program will be covered by revenue generated from civil fines incurred by the registered owners of vehicles identified by the speed enforcement cameras.

PROGRAM HIGHLIGHTS

The Division is divided into two principal branches, the Uniform Force and the Bureau of Criminal Investigation. Each of these branches fulfills specific law enforcement assignments and cooperates with local and county law enforcement agencies. The Division also plays a significant role in Homeland Security initiatives.

UNIFORM FORCE

The Uniform Force has two primary responsibilities: protecting life and property and promoting highway safety. In many areas of the State, Uniform Troopers are the primary law enforcement agency, and respond to all types of calls including burglaries, missing children, assaults, robberies and homicides. State Troopers also provide essential support to local police departments.

BUREAU OF CRIMINAL INVESTIGATION

The Bureau of Criminal Investigation (BCI) is the plain clothes investigative branch of the Division. BCI Investigators concentrate on cases related to narcotics, child abuse, auto theft, consumer product tampering, organized crime, violent crimes and terrorism. In addition to conducting their own investigations, investigators regularly assist local and county law enforcement agencies that lack the resources or expertise needed for major crime investigations.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	794,384,000	718,617,000	(75,767,000)	33,957,000
Aid To Localities	0	0	0	0
Capital Projects	11,500,000	11,500,000	0	92,873,000
Total	805,884,000	730,117,000	(75,767,000)	126,830,000

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)			
Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration			
General Fund	137	120	(17)
Criminal Investigation Activities			
General Fund	1,282	1,281	(1)
Special Revenue Funds - Other	100	105	5
Patrol Activities			
General Fund	3,038	2,877	(161)
Special Revenue Funds - Federal	41	41	0
Special Revenue Funds - Other	25	25	0
Governor's Traffic Safety Committee			
Special Revenue Funds - Other	4	4	0
Policing the Thruway			
Special Revenue Funds - Other	317	312	(5)
Technical Police Services			
General Fund	758	765	7
Total	<u>5,702</u>	<u>5,530</u>	<u>(172)</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	540,841,000	475,957,000	(64,884,000)
Special Revenue Funds - Federal	8,745,000	6,310,000	(2,435,000)
Special Revenue Funds - Other	244,798,000	236,350,000	(8,448,000)
Total	<u>794,384,000</u>	<u>718,617,000</u>	<u>(75,767,000)</u>

Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(118,559,000)		
Special Revenue Funds - Other	(18,314,000)		
State Police, Division of			
General Fund	(2,280,000)		
Special Revenue Funds - Other	(386,000)		
Transfer(s) To			
State Police, Division of			
General Fund	386,000		
Special Revenue Funds - Other	2,280,000		
Appropriated 2009-10	<u>657,511,000</u>		

STATE POLICE

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Administration			
General Fund	20,383,000	18,276,000	(2,107,000)
Special Revenue Funds - Other	308,000	308,000	0
Criminal Investigation Activities			
General Fund	185,630,000	182,010,000	(3,620,000)
Special Revenue Funds - Federal	1,510,000	810,000	(700,000)
Special Revenue Funds - Other	20,768,000	18,450,000	(2,318,000)
Patrol Activities			
General Fund	257,634,000	214,907,000	(42,727,000)
Special Revenue Funds - Federal	5,500,000	5,500,000	0
Special Revenue Funds - Other	117,639,000	129,177,000	11,538,000
Policing the Thruway			
Special Revenue Funds - Other	65,985,000	53,815,000	(12,170,000)
Technical Police Services			
General Fund	77,194,000	60,764,000	(16,430,000)
Special Revenue Funds - Federal	1,735,000	0	(1,735,000)
Special Revenue Funds - Other	40,098,000	34,600,000	(5,498,000)
Total	<u>794,384,000</u>	<u>718,617,000</u>	<u>(75,767,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	18,047,000	(1,226,000)	17,170,000	(431,000)
Criminal Investigation Activities	174,122,000	(1,296,000)	166,600,000	(3,593,000)
Patrol Activities	205,494,000	(48,912,000)	194,030,000	(48,309,000)
Technical Police Services	39,002,000	(2,671,000)	34,518,000	(2,129,000)
Total	<u>436,665,000</u>	<u>(54,105,000)</u>	<u>412,318,000</u>	<u>(54,462,000)</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	382,000	(300,000)	495,000	(495,000)
Criminal Investigation Activities	0	0	7,522,000	2,297,000
Patrol Activities	500,000	205,000	10,964,000	(808,000)
Technical Police Services	2,300,000	(63,000)	2,184,000	(479,000)
Total	<u>3,182,000</u>	<u>(158,000)</u>	<u>21,165,000</u>	<u>515,000</u>

STATE POLICE

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	229,000	(881,000)	85,000	(810,000)
Criminal Investigation Activities	7,888,000	(2,324,000)	1,380,000	0
Patrol Activities	9,413,000	6,185,000	679,000	0
Technical Police Services	21,762,000	(13,759,000)	4,473,000	0
Total	39,292,000	(10,779,000)	6,617,000	(810,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	42,000	(38,000)	60,000	0
Criminal Investigation Activities	112,000	0	2,000,000	(576,000)
Patrol Activities	25,000	0	7,821,000	5,785,000
Technical Police Services	0	0	8,920,000	(7,171,000)
Total	179,000	(38,000)	18,801,000	(1,962,000)

Program	Equipment	
	Amount	Change
Administration	42,000	(33,000)
Criminal Investigation Activities	4,396,000	(1,748,000)
Patrol Activities	888,000	400,000
Technical Police Services	8,369,000	(6,588,000)
Total	13,695,000	(7,969,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	308,000	0	0	0
Criminal Investigation Activities	19,260,000	(3,018,000)	11,571,000	(1,162,000)
Patrol Activities	134,677,000	11,538,000	118,752,000	15,550,000
Policing the Thruway	53,815,000	(12,170,000)	37,542,000	(7,501,000)
Technical Police Services	34,600,000	(7,233,000)	4,000,000	0
Total	242,660,000	(10,883,000)	171,865,000	6,887,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	308,000	0	0	0
Criminal Investigation Activities	6,879,000	(1,156,000)	810,000	(700,000)
Patrol Activities	10,425,000	(4,012,000)	5,500,000	0
Policing the Thruway	16,273,000	(4,669,000)	0	0
Technical Police Services	30,600,000	(5,498,000)	0	(1,735,000)
Total	64,485,000	(15,335,000)	6,310,000	(2,435,000)

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2009-10	Recommended 2010-11	Change	Reappropriations 2010-11
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	5,500,000	5,500,000	0	19,026,000
Capital Projects Fund - Authority Bonds	6,000,000	0	(6,000,000)	12,000,000
New Facilities				
Capital Projects Fund - Authority Bonds	0	6,000,000	6,000,000	61,847,000
Total	11,500,000	11,500,000	0	92,873,000

STATEWIDE FINANCIAL SYSTEM

In cooperation with the Office of the State Comptroller (OSC), efforts have been underway to design and develop a statewide financial system. Prior to October of 2009, system development was structured around the implementation and integration of two separate financial applications – a new Central Accounting System to be implemented by OSC’s Future of the Central Accounting System (FOCAS) project, and the Executive’s centralized, statewide financial management system to be implemented by the New York Financial Management System (NYFMS) project. A comprehensive review of both projects was undertaken with the goal of maximizing benefits and reducing the program’s complexity, costs and implementation challenges. As a result, a restructuring of the joint program has occurred which will consolidate the current FOCAS and NYFMS projects into a single project and lead to the design and implementation of a single, statewide financial management system. A new governance structure has been adopted, with the Division of the Budget and OSC sharing joint oversight of the project, advised by a new Steering Committee including broad agency representation.

Reappropriations totaling \$129.2 million are included to continue the development of this new system, with the first phase of the system becoming operational on April 1, 2011.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	0	0	129,200,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	0	0	0	129,200,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Statewide Financial System Program			
Special Revenue Funds - Other	50	120	70
Total	50	120	70

OFFICE FOR TECHNOLOGY

MISSION

Since its inception in 1997, Office for Technology (OFT) has evolved from an organization that plans and coordinates the State's investments regarding information technology into an organization with significant operational responsibilities. The Office's mission today is to provide centralized technology services, shape technology policy, and coordinate statewide technology related initiatives to improve the efficiency of New York State government.

ORGANIZATION AND STAFFING

OFT is located in Albany and is overseen by the Chief Information Officer. The agency is supported with State tax dollars from the General Fund, payments from other State agencies, and Special Revenue Funds.

BUDGET HIGHLIGHTS

The 2010-11 Executive Budget recommends **\$437.2 million All Funds** (\$30.6 million General Fund; \$403.2 million Internal Service Funds; \$3.4 million Other Funds) to support ongoing agency activities. This is a decrease of **\$116.1 million** (from the 2009-10 budget). This change primarily reflects an adjustment for one-time Federal stimulus appropriations in 2009-10, the discontinuation of the Statewide Wireless Network (SWN) project, and the transfer of responsibility for implementation of interoperable communications to the Division of Homeland Security and Emergency Services. The Executive Budget projects a staffing level of **673**.

- **Leasing Disaster Recovery Space:** OFT plans to retro-fit a leased facility in Poughkeepsie to serve the State's disaster recovery and interim space needs, and is cultivating other interested customers.
- **Enter into a public-private partnership to develop a shared data center.** By pursuing a cooperative effort with institutions of higher education and perhaps the private sector, true economies of scale can be achieved, economic development can be advanced and combined resources can be leveraged to develop a new model for future facility projects.

PROGRAM HIGHLIGHTS

The Office for Technology identified the following priorities to improve the State's information technology (IT) infrastructure: leasing existing space outside of the Capital Region power grid to meet State agency disaster recovery needs and to provide disaster recovery capacity to other public sector entities in need of such services; establishing a new, consolidated data center to meet the growing needs for secure space with adequate power and cooling; and continuing consolidation of IT infrastructure and State enterprise technology solutions to drive down the cost of IT ownership for the State.

TECHNOLOGY

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	473,265,000	437,168,000	(36,097,000)	17,000,000
Aid To Localities	0	0	0	2,500,000
Capital Projects	80,000,000	0	(80,000,000)	109,060,000
Total	553,265,000	437,168,000	(116,097,000)	128,560,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Statewide Technology Program			
Special Revenue Funds - Other	11	5	(6)
Technology			
General Fund	156	156	0
Internal Service Funds	481	512	31
Total	648	673	25

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	28,858,000	30,558,000	1,700,000
Special Revenue Funds - Federal	17,750,000	0	(17,750,000)
Special Revenue Funds - Other	20,905,000	3,445,000	(17,460,000)
Internal Service Funds	405,752,000	403,165,000	(2,587,000)
Total	473,265,000	437,168,000	(36,097,000)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Statewide Technology Program			
Special Revenue Funds - Federal	17,750,000	0	(17,750,000)
Special Revenue Funds - Other	20,905,000	3,445,000	(17,460,000)
Technology			
General Fund	28,858,000	30,558,000	1,700,000
Internal Service Funds	405,752,000	403,165,000	(2,587,000)
Total	473,265,000	437,168,000	(36,097,000)

TECHNOLOGY

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Technology	12,065,000	660,000	11,855,000	660,000
Total	<u>12,065,000</u>	<u>660,000</u>	<u>11,855,000</u>	<u>660,000</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Technology	180,000	0	30,000	0
Total	<u>180,000</u>	<u>0</u>	<u>30,000</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Technology	18,493,000	1,040,000	290,000	0
Total	<u>18,493,000</u>	<u>1,040,000</u>	<u>290,000</u>	<u>0</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Technology	50,000	0	13,248,000	1,040,000
Total	<u>50,000</u>	<u>0</u>	<u>13,248,000</u>	<u>1,040,000</u>

Program	Equipment	
	Amount	Change
Technology	4,905,000	0
Total	<u>4,905,000</u>	<u>0</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Statewide Technology Program	3,445,000	(35,210,000)	951,000	(2,871,000)
Technology	403,165,000	(2,587,000)	37,267,000	(810,000)
Total	<u>406,610,000</u>	<u>(37,797,000)</u>	<u>38,218,000</u>	<u>(3,681,000)</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Statewide Technology Program	2,494,000	(14,589,000)	0	(17,750,000)
Technology	365,898,000	(1,777,000)	0	0
Total	<u>368,392,000</u>	<u>(16,366,000)</u>	<u>0</u>	<u>(17,750,000)</u>

TECHNOLOGY

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2009-10	Recommended 2010-11	Change	Reappropriations 2010-11
New Facilities				
Capital Projects Fund - Authority Bonds	0	0	0	99,060,000
Maintenance and Improvement of Facilities				
Capital Projects Fund	80,000,000	0	(80,000,000)	0
Economic Development				
Capital Projects Fund - Authority Bonds	0	0	0	10,000,000
Total	<u>80,000,000</u>	<u>0</u>	<u>(80,000,000)</u>	<u>109,060,000</u>

DIVISION OF VETERANS' AFFAIRS

MISSION

The core mission of the Division of Veterans' Affairs is to link veterans, members of the armed forces and their families and dependents to various economic, medical and social benefits and services available as the result of active duty military service. The Division's professional counseling staff prepares, presents and prosecutes claims including applications for monthly compensation and pension awards, education benefits, burial benefits, vocational rehabilitation training, health care, nursing home care, tax exemption and other services.

The Division will continue to offer accessible professional assistance to nearly 1 million veterans and their dependents, as well as to thousands of New Yorkers currently on active military duty.

ORGANIZATION AND STAFFING

The Division is headed by the Director who is appointed by the Governor. The Division's central office is in Albany, with regional and claims processing offices in New York City and Buffalo. The Division maintains a network of 72 local points of access, both full-time and itinerant, where the veterans community can obtain information and assistance from the Division's veterans counseling staff.

The Division maximizes its presence in the veterans community by establishing office locations that are readily accessible to veterans, such as United States Department of Veterans Affairs (VA) medical centers and community outpatient clinics. More than half of the Division's veterans counselors are assigned to multiple offices, and the Division has recently added mobile counseling services, further expanding outreach efforts to veterans and their families.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$16.9 million All Funds** (\$14.4 million General Fund; \$2.5 million Other Funds) in new appropriations for the Division of Veterans' Affairs. This is a net decrease of **\$ 1.1 million** from the 2009-10 budget. The Executive Budget projects a staffing level of **103** for the Division, a decrease of two positions from 2009-10.

PROGRAM HIGHLIGHTS

The largest of the Division of Veterans' Affairs three principal programs is the Veterans' Counseling Program. In State fiscal year 2009-10, new claims for Federal benefits that provide direct, economic, and generally recurring, assistance to veterans and their families, generated more than \$82 million in VA payments for compensation and pension for disabled and low-income veterans and dependents.

Claims filed and awards issued during the current 2009 calendar year are on a pace to match or exceed those of recent years. The Division of Veterans' Affairs' VA accredited counseling staff is responsible for securing and maintaining a substantial portion of the \$1.38 billion in Federal VA benefits paid annually to veterans and dependents in New York State.

VETERANS' AFFAIRS

The Division, in collaboration with other State, Federal, local and not-for-profit agencies, continues its efforts to assist returning active duty military personnel. The Division continues to collaborate with the Division of Military and Naval Affairs and the Office of Mental Health with regard to behavioral health screening for Post Traumatic Stress Disorder (PTSD) and Traumatic Brain Injury. This provides the Division an opportunity to disseminate information about benefits and familiarize veterans and their families with services offered by the agency's counseling staff.

On, November 11, 2008, the Governor signed Executive Order No. 12, establishing the New York State Council on Returning Veterans and Their Families. This Council was formed to develop strategies to improve services provided to returning veterans needing assistance with human, social and economic challenges. The Council has explored new approaches for helping returning veterans and their families address problems and concerns in such areas as finances and insurance, family relationships, health and mental health care, substance abuse issues, employment and complex medical injuries. The development of a comprehensive strategy to help returning veterans and their families is one the Division's highest priority.

The Division continues to partner with the New York State Department of Health and the State University of New York at Stony Brook to assist veterans and eligible dependents in gaining admission to the State Veterans Home Program that operates five long-term healthcare facilities around the State.

State Veterans Counselors, each of whom is a veteran, also assist veterans in accessing the VA's network of health care, state veterans homes and nursing homes, as well as local public assistance and private venues that offer programs or services to assist veterans and their families. Without these essential employees, veterans and their families could be required to seek other forms of public health care.

The Division is now fully hosted by the Office of General Services, administratively as well as continuing to upgrade the agency's information technology program with enhanced software and distribution of updated equipment.

VETERANS' EDUCATION PROGRAM

The Veterans' Education Program staff – located in Albany and New York City – investigates and certifies post-secondary educational and vocational institutions as providing quality education for veterans seeking to utilize their Federal education benefits to attend such facilities. The Veterans Education Bureau also is responsible for approving on-the-job training and apprenticeship programs.

BLIND ANNUITY PROGRAM

The Blind Annuity Program provides visually impaired veterans and eligible surviving spouses (who are not re-married) an annual annuity which is paid in monthly installments. The statute creating the annual adjustment provides for an increase of one to four percent each year. The Division of Veterans' Affairs processes applications and authorizes awards with administrative support provided by the Office of General Services. Currently there are more than 4,500 annuitants receiving benefits.

SUPPLEMENTAL BURIAL BENEFIT PROGRAM

Families of members of the armed forces killed in combat, or who die while serving in a hostile theater of operation are eligible for a Supplemental Burial Benefit. This benefit, established in 2003 via the Governor's Patriot Plan II, provides a maximum reimbursement of \$6,000 to help families offset the costs of funeral and burial expenses. The Division processes applications and authorizes payment of the benefit to qualified individuals responsible for burial costs.

GOLD STAR PARENT ANNUITY

The Division also awards annual annuities to "Gold Star Parents" in the amount of \$500 per parent, not to exceed \$1,000 per veteran. Any parent of a deceased veteran who is a resident of New York State and has an income at or below 200 percent of the Federal poverty level, may be identified as a "Gold Star Parent" and be eligible for the annuity.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	9,261,000	8,417,000	(844,000)	3,820,000
Aid To Localities	8,743,200	8,446,000	(297,200)	0
Capital Projects	0	0	0	0
Total	18,004,200	16,863,000	(1,141,200)	3,820,000

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration			
General Fund	3	(1)	(4)
Higher Education			
Special Revenue Funds - Federal	11	11	0
Veteran Counseling Services Program			
General Fund	91	93	2
Total	105	103	(2)

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	7,295,000	6,451,000	(844,000)
Special Revenue Funds - Federal	1,966,000	1,966,000	0
Total	9,261,000	8,417,000	(844,000)

VETERANS' AFFAIRS

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Administration			
General Fund	941,000	538,000	(403,000)
Higher Education			
Special Revenue Funds - Federal	1,966,000	1,966,000	0
Veteran Counseling Services Program			
General Fund	6,354,000	5,913,000	(441,000)
Total	9,261,000	8,417,000	(844,000)

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	408,000	(378,000)	408,000	(378,000)
Veteran Counseling Services Program	5,411,000	(168,000)	5,386,000	(168,000)
Total	5,819,000	(546,000)	5,794,000	(546,000)

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	0	0
Veteran Counseling Services Program	25,000	0
Total	25,000	0

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	130,000	(25,000)	11,000	0
Veteran Counseling Services Program	502,000	(273,000)	70,000	(50,000)
Total	632,000	(298,000)	81,000	(50,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	16,000	0	82,000	(15,000)
Veteran Counseling Services Program	116,000	(23,000)	216,000	(50,000)
Total	132,000	(23,000)	298,000	(65,000)

Program	Equipment	
	Amount	Change
Administration	21,000	(10,000)
Veteran Counseling Services Program	100,000	(150,000)
Total	121,000	(160,000)

VETERANS' AFFAIRS

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Higher Education	1,966,000	0	1,161,000	0
Total	1,966,000	0	1,161,000	0

Program	Nonpersonal Service	
	Amount	Change
Higher Education	805,000	0
Total	805,000	0

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	8,243,200	7,946,000	(297,200)
Special Revenue Funds - Federal	500,000	500,000	0
Total	8,743,200	8,446,000	(297,200)

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Administration			
General Fund	799,000	799,000	0
Blind Veteran Annuity Assistance			
General Fund	5,720,000	5,720,000	0
Veteran Counseling Services Program			
General Fund	1,427,000	1,427,000	0
Special Revenue Funds - Federal	500,000	500,000	0
Community Projects			
General Fund	297,200	0	(297,200)
Total	8,743,200	8,446,000	(297,200)

WORKERS' COMPENSATION BOARD

MISSION

To protect the well-being of New York's labor force, most private and public sector employers in New York are required to carry workers' compensation and disability benefits insurance for their employees or demonstrate their ability to self-insure. The Workers' Compensation Board reviews claims for workers' compensation payments and assists in resolving disputed claims. In performing these responsibilities, the Board administers provisions of the following laws: the Workers' Compensation Law; the Disability Benefits Law; the Civil Defense Volunteers' Law; the Volunteer Firefighters' Benefit Law; and the Volunteer Ambulance Workers' Benefit Law.

ORGANIZATION AND STAFFING

The Board is headed by a Chairperson selected by the Governor and includes 12 additional full-time members nominated by the Governor and confirmed by the Senate for seven-year terms. District offices are located in Albany, Binghamton, Brooklyn, Buffalo, Hauppauge, Hempstead, Manhattan, Peekskill, Queens, Rochester and Syracuse, with administrative offices in Albany. The Board has additional customer service centers located throughout the State.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$202.3 million All Funds** for the Workers' Compensation Board, all of which is funded from assessments on businesses. This is a decrease of **\$15.1 million** from the 2009-10 Budget. This net change primarily reflects an adjustment for a prior year non-recurring appropriation of \$20 million to improve the quality, timeliness and fairness of services performed by the Board. The Executive Budget projects a staffing level of **1,450** in 2010-11, which is an increase of **25** from 2009-10. This increase reflects positions added to protect injured workers' benefits in both defaulted and viable self-insured groups.

PROGRAM HIGHLIGHTS

Over the past several years, New York has seen sweeping statutory reform in the workers' compensation system. Since then, benefits have increased while costs have decreased; injured workers are getting benefits faster; and fraud is being rooted out. Most recently, the Board's efforts have been dedicated to implementing these reforms and an agenda of positive transformation within the Board. Both have dramatically sped up the resolution of disputed cases and significantly reduced the number of cases awaiting hearing. In the past, it took an average of over 200 days to resolve disputed claims.

WORKERS' COMPENSATION

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	217,405,000	202,265,000	(15,140,000)	20,000,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	217,405,000	202,265,000	(15,140,000)	20,000,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Disability Benefits			
Special Revenue Funds - Other	43	43	0
Systems Modernization			
Special Revenue Funds - Other	68	68	0
Workers Compensation			
Special Revenue Funds - Other	1,314	1,339	25
Total	1,425	1,450	25

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
Special Revenue Funds - Other	217,405,000	202,265,000	(15,140,000)
Total	217,405,000	202,265,000	(15,140,000)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Disability Benefits			
Special Revenue Funds - Other	7,339,000	7,279,000	(60,000)
Systems Modernization			
Special Revenue Funds - Other	34,116,000	34,135,000	19,000
Workers Compensation			
Special Revenue Funds - Other	175,950,000	160,851,000	(15,099,000)
Total	217,405,000	202,265,000	(15,140,000)

WORKERS' COMPENSATION

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Disability Benefits	7,279,000	(60,000)	3,734,000	(190,000)
Systems Modernization	34,135,000	19,000	4,707,000	(591,000)
Workers Compensation	160,851,000	(15,099,000)	82,399,000	41,000
Total	<u>202,265,000</u>	<u>(15,140,000)</u>	<u>90,840,000</u>	<u>(740,000)</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Disability Benefits	3,545,000	130,000	0	0
Systems Modernization	29,428,000	610,000	0	0
Workers Compensation	78,093,000	4,858,000	359,000	(19,998,000)
Total	<u>111,066,000</u>	<u>5,598,000</u>	<u>359,000</u>	<u>(19,998,000)</u>