

MISCELLANEOUS

ALL STATE DEPARTMENTS AND AGENCIES

These reappropriations continue the spending authority for the expenditure of Community Projects Fund lump sum appropriations originally enacted in fiscal years 2003-04 through 2006-07. The 2010-11 Executive Budget does not advance any new appropriations for the Community Projects Fund.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	0	0	0
Aid To Localities	83,750,000	0	(83,750,000)	199,000,000
Capital Projects	0	0	0	0
Total	83,750,000	0	(83,750,000)	199,000,000

ALL STATE DEPARTMENTS AND AGENCIES SERVICES, EXPENSES OR GRANTS

These reappropriations continue the spending authority for the expenditure of Community Projects Fund lump sum appropriations. The 2010-11 Executive Budget does not advance any new appropriations for the Community Projects Fund.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	0	0	0
Aid To Localities	0	0	0	31,275,000
Capital Projects	0	0	0	0
Total	0	0	0	31,275,000

COLLECTIVE BARGAINING AGREEMENTS

The Executive Budget recommends **\$39.2 million All Funds** (\$38.7 million General Fund; \$500,000 Other Funds) for Collective Bargaining Agreements. This is a decrease of **\$4 million** from the 2009-10 budget. This decrease reflects the timing and amounts of funding required by finalized agreements. Unspent funds from existing agreements have been reappropriated. Costs of new collective bargaining agreements will be incorporated within separate legislation.

The Executive Budget recommends a staffing level of **93 FTEs** in 2010-11 for Collective Bargaining Agreements, which is unchanged from 2009-10

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ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	43,174,000	39,219,000	(3,955,000)	43,436,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	43,174,000	39,219,000	(3,955,000)	43,436,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Labor Management Programs			
General Fund	93	93	0
Total	93	93	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	42,674,000	38,719,000	(3,955,000)
Special Revenue Funds - Other	500,000	500,000	0
Total	43,174,000	39,219,000	(3,955,000)

Adjustments:	
Transfer(s) From	
Special Pay Bill	
General Fund	(4,674,000)
Appropriated 2009-10	38,500,000

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total Amount	Change	Maintenance Undistributed Amount	Change
Labor Management Programs	38,719,000	(3,955,000)	38,719,000	(3,955,000)
Total	38,719,000	(3,955,000)	38,719,000	(3,955,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total Amount	Change	Maintenance Undistributed Amount	Change
Labor Management Programs	500,000	0	500,000	0
Total	500,000	0	500,000	0

COMMUNITY SERVICE PROVIDER ASSISTANCE PROGRAM

These reappropriations continue the spending authority for the expenditure of Community Projects Fund lump sum appropriations originally enacted in the 2001-02 budget. The 2010-11 Executive Budget does not advance any new appropriations for the Community Projects Fund.

ALL FUNDS APPROPRIATIONS (dollars)				
Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	0	0	0
Aid To Localities	0	0	0	4,000,000
Capital Projects	0	0	0	0
Total	0	0	0	4,000,000

DEFERRED COMPENSATION BOARD

MISSION

The Deferred Compensation Board, in accordance with Section 5 of the State Finance Law, administers the New York State Deferred Compensation State Plan, which serves over 125,000 State employees and 62,000 employees of local governments that participate in the State Plan. There are approximately 250 local governments that sponsor and administer their own deferred compensation plans in compliance with the Board's rules.

ORGANIZATION AND STAFFING

The Board consists of three members, one each appointed by the Governor, the Temporary President of the Senate and the Speaker of the Assembly. The Board maintains a staff of four professionals who are responsible for management of the State Plan as well as for regulatory duties for locally administered plans. Management of the State Plan includes oversight of administrative services delivered by contracted service providers, monitoring the Plan's investment managers, coordination with professional services firms and direct service delivery through its main office.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$931,000 All Funds** (\$114,000 General Fund; \$817,000 Other Funds) for the Deferred Compensation Board. This is a decrease of **\$30,000** from the 2009-10 Budget, reflecting administrative savings initiatives.

The Executive Budget recommends a staffing level of four for the Board, which is unchanged from 2009-10.

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PROGRAM HIGHLIGHTS

The Board continues to pursue opportunities to provide a well balanced supplemental retirement savings program, improve services to State Plan participants, and further State Plan participants' ability to meet their retirement savings goals. The Board initiates outreach efforts to State agencies and local participating employers to encourage even greater participation at both the State and local government levels. During the past fiscal year, the Plan enrolled over 9,500 new participants, voluntary employee salary deferrals to the Plan exceeded \$874 million (an increase of nearly 1.5 percent). More than 1,200 local governments now participate in the State Plan.

The Board provides local governments that sponsor and administer their own deferred compensation plans with updates on the Board's rules and responds to questions on the rules, the administration of deferred compensation plans, and provides guidance on issues related to Federal statutory changes and the State's Model Deferred Compensation Plan.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	961,000	931,000	(30,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	961,000	931,000	(30,000)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Operations			
Special Revenue Funds - Other	4	4	0
Total	4	4	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Operations			
General Fund	157,000	114,000	(43,000)
Special Revenue Funds - Other	804,000	817,000	13,000
Total	961,000	931,000	(30,000)

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Operations	114,000	(43,000)	0	(16,000)
Total	114,000	(43,000)	0	(16,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Operations	0	(10,000)	114,000	(17,000)
Total	0	(10,000)	114,000	(17,000)

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Operations	817,000	13,000	394,000	(7,000)
Total	817,000	13,000	394,000	(7,000)

Program	Nonpersonal Service	
	Amount	Change
Operations	423,000	20,000
Total	423,000	20,000

GENERAL STATE CHARGES

General State Charges are primarily the costs of providing fringe benefits to most State employees, which are authorized in collective bargaining agreements and various statutes. The General State Charges budget also includes miscellaneous fixed costs such as litigation settlements and taxes on State-owned lands.

BUDGET HIGHLIGHTS

Recommended 2010-11 appropriations for General State Charges total **\$2.5 billion All Funds** (\$2.4 billion General Fund; \$101 million in Fiduciary Funds), a net increase of approximately **\$144 million All Funds** (\$254 million increase in General Fund; \$110 million decrease in Fiduciary Funds) from 2009-10. This increase largely stems from planned increases in spending on employee fringe benefits, such as pensions and health insurance. This amount will be augmented by \$1 billion initially appropriated to the State University of New York for employee fringe benefits.

PROGRAM HIGHLIGHTS

FRINGE BENEFITS

The State provides a comprehensive fringe benefits package to its employees. These benefits are supported primarily by General Fund revenues and supplemented with revenue from fringe benefit assessments on Federal and other dedicated revenue programs. In 2010-11, revenue collected from fringe benefit assessments is expected to increase to **\$2.4 billion**.

Health Insurance: Through the New York State Health Insurance Program (NYSHIP), State employees and retirees have the option to participate in the Empire Plan, a health insurance program designed exclusively for New York's public employees, or one of 20 health maintenance organizations. Approximately 83 percent of State employees and retirees are enrolled in the Empire Plan.

The recommended actions include requiring Medicare Part B premium sharing for active and retired employees. Currently, the State pays 100 percent of the Medicare Part B Premium. New York is one of only a few states that pay any portion of the Medicare Part B premium associated with employees and retirees. This proposal would increase employee/retiree health insurance contributions by approximately \$30 a year for individual coverage and \$85 a year for family coverage. A proposal permitting NYSHIP to have the option to fully or partially self insure is also included.

The recommended 2010-11 General Fund appropriation for the State's employee health insurance is **\$1.7 billion**.

Pension Benefits: Most State employees are members of the New York State and Local Retirement System, which consists of the New York State and Local Employees' Retirement System (ERS) and the New York State and Local Police and Fire Retirement System (PFRS). With approximately \$126 billion in combined invested assets as of September 30, 2009, these systems administer retirement benefits to State and local government employees. Funding for the benefits is supported by employer contributions, bi-weekly contributions from certain employees with less than ten years of service, and pension fund investment earnings. However, Chapter 504 of the Laws of 2009 enacted a new tier of pension benefits (Tier V) which requires new employees to continue bi-weekly contributions for the duration of their employment with the State.

The recommended appropriation for the State's contribution to the retirement system in 2010-11 is **\$1.1 billion**. This appropriation reflects an estimated contribution rate of 9.5 percent of salary for ERS and 17.5 percent of salary for PFRS, and assumes a March 1 payment date. These rates assume a recommended action for 2010-11 to amortize the State's contribution costs that exceed 9.5 percent and 17.5 percent for ERS and PFRS, respectively, over a ten year period. Without the amortization proposal, the State's normal contribution rates would be significantly higher.

Social Security and Medicare Taxes: In addition to employer contributions made to the retirement system, the State makes weekly tax payments to the Federal government for the Social Security and Medicare benefit programs. The Social Security tax rate is 6.2 percent, applied on wages up to \$106,800 in 2010, and the Medicare tax rate is 1.45 percent on all wages.

The recommended General Fund appropriation for Social Security and Medicare taxes in 2010-11 is **\$530 million**.

Workers' Compensation: The State reimburses the State Insurance Fund for actual compensation and medical claims incurred by State employees for job-related injuries. The statutory workers' compensation benefit is two-thirds of the average weekly wage for the year in which it is reported. Most State employees are paid supplemental benefits pursuant to collective bargaining agreements.

The recommended General Fund appropriation for workers' compensation benefits in 2010-11 is **\$192 million**. Efforts to contain costs and improve program management will continue through funding the automated Accident Reporting System and a dispute resolution program.

Dental, Vision and Other Employee Benefits: State employees receive dental insurance and vision benefits either through State administered programs or benefit funds operated by public employee unions. Premiums for the State administered programs are covered in full by the State. The employee union benefit funds are supported by quarterly contributions from the State based on per capita rates authorized in collective bargaining agreements. In addition, the State funds an assortment of other benefit programs to cover losses due to death, disability and unemployment.

A total of **\$120 million** in General Fund appropriations is recommended in 2010-11 to fund dental, vision, the metropolitan transportation authority mobility tax and other employee benefits.

Fringe Benefits for State University Employees: A **\$1 billion** General Fund appropriation to support fringe benefits for employees of the State University will be initially included in the State University budget. Upon enactment, this amount will be transferred to the General State Charges program to be centrally administered along with the benefit programs for all State employees.

FIXED COSTS

In addition to employee fringe benefits, the State pays for a variety of fixed costs from the General State Charges budget. These costs are supported in full by General Fund revenues and include:

Taxes and Other Property Assessments on State-Owned Lands: Real Property Tax Law authorizes local governments to tax certain parcels of State-owned land. The State provides financial support to local governments by paying local and/or school property taxes on approximately 22,000 parcels covering four million acres of land throughout the State as well as transition assessments on tax-exempt State-owned lands. Other property expenses include assessments for improvements on State-owned lands and payments in lieu of taxes on certain property in the City of Albany, Cattaraugus County and other localities.

Recommended actions for 2010-11 include using \$5 million from the State's Environmental Protection Fund (EPF) to pay for a portion of the taxes on State owned lands during 2010-11 for properties acquired by the EPF. After reflecting this adjustment, a total of **\$218 million** in appropriations is recommended in 2010-11 for payment of taxes and various other property assessments on State lands.

Court of Claims Judgments and Other Litigation Costs: Annual appropriations are authorized to pay for expenses related to the settlement of lawsuits and court judgments against the State. Most litigation against the State is adjudicated in the Court of Claims and involves contract disputes and tort liabilities. Other appropriations in this category support the defense and indemnification of State employees for actions that arise in the course of their official duties, litigation expenses related to Indian land claims and

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payments to the Property Casualty Insurance Security Fund in accordance with the terms of a multi-year settlement with the insurance industry. A total of **\$109 million** in appropriations is recommended in 2010-11 for these litigation expenses. The 2010-11 Executive Budget includes a proposal to reduce the rate of interest paid on judgments against the State in the Court of Claims.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	2,325,042,000	2,469,014,000	143,972,000	3,337,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	2,325,042,000	2,469,014,000	143,972,000	3,337,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	2,114,042,000	2,368,014,000	253,972,000
Fiduciary Funds	211,000,000	101,000,000	(110,000,000)
Total	2,325,042,000	2,469,014,000	143,972,000

Adjustments:

Transfer(s) From Special Pay Bill General Fund	(35,177,000)
Appropriated 2009-10	2,289,865,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
General State Charges			
General Fund	2,114,042,000	2,368,014,000	253,972,000
Fiduciary Funds	211,000,000	101,000,000	(110,000,000)
Total	2,325,042,000	2,469,014,000	143,972,000

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		General State Charges	
	Amount	Change	Amount	Change
General State Charges	2,368,014,000	253,972,000	2,365,014,000	309,072,000
Total	2,368,014,000	253,972,000	2,365,014,000	309,072,000

Program	Maintenance Undistributed	
	Amount	Change
General State Charges	3,000,000	(55,100,000)
Total	3,000,000	(55,100,000)

GREEN THUMB PROGRAM

The Green Thumb Program provides income-eligible elderly citizens with part-time employment in State agencies through the not-for-profit organization, Green Thumb Environmental Beautification, Inc. The 2010-11 Executive Budget recommends a General Fund appropriation of \$2.78 million, a decrease of \$49,000 from the funding level provided in 2009-10. This reflects a decrease in the level of participation in the program by the Department of Environmental Conservation.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	2,831,000	2,782,000	(49,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	2,831,000	2,782,000	(49,000)	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Green Thumb Program			
General Fund	2,831,000	2,782,000	(49,000)
Total	2,831,000	2,782,000	(49,000)

THE GREENWAY HERITAGE CONSERVANCY OF THE HUDSON RIVER VALLEY

The Greenway Heritage Conservancy of the Hudson River Valley was established in the Hudson River Valley Greenway Act of 1991 to promote the preservation of natural and cultural resources in the Valley, serve as a land trust in the acquisition of lands important to the Greenway, and designate and develop the Hudson River Valley Greenway Trail. The 2010-11 Executive Budget recommends a General Fund appropriation of \$184,000 for operational support of the Conservancy.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	184,000	184,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	184,000	184,000	0	0

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Operations			
General Fund	184,000	184,000	0
Total	184,000	184,000	0

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Operations	147,000	(200)	147,000	(200)
Total	147,000	(200)	147,000	(200)

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		General State Charges	
	Amount	Change	Amount	Change
Operations	37,000	200	37,000	200
Total	37,000	200	37,000	200

HEALTH INSURANCE CONTINGENCY RESERVE

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	655,394,062	651,240,000	(4,154,062)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	655,394,062	651,240,000	(4,154,062)	0

HEALTH INSURANCE RESERVE RECEIPTS FUND

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	192,400,000	192,400,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	192,400,000	192,400,000	0	0

HIGHER EDUCATION

This appropriation provides funds for the Higher Education Services Corporation and the Office of the State Comptroller to jointly administer the College Choice Tuition Savings Program. This program helps families save for their children's education at accredited public and private colleges anywhere in the country with accompanying tax benefits on contributions made to, and interest earned on, college savings accounts.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	829,800	829,800	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	829,800	829,800	0	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Higher Education			
Special Revenue Funds - Other	829,800	829,800	0
Total	829,800	829,800	0

HIGHER EDUCATION FACILITIES CAPITAL MATCHING GRANTS PROGRAM

The 2005-06 budget included a new \$150 million higher education facilities capital matching grant program for private colleges in New York. Under this program, \$150 million will be awarded to private colleges based on enrollment and relative student financial need as measured by total awards provided through the Tuition Assistance Program. This program requires a three to one (non-State to State) match by institutions.

Under this program, grants are awarded by the Higher Education Capital Matching Grant Board consisting of three members (one appointed directly by the Governor with the remaining members appointed upon the recommendation of the President of the Senate and the Speaker of the Assembly) serving one year terms. Grants may be used for the design, construction or acquisition of new facilities, rehabilitation and repair of existing facilities or for any projects for targeted priorities including economic development/high technology (including wet labs), critical academic facilities, and urban renewal/historic preservation.

The 2010-11 Executive Budget continues \$150 million in reappropriation authority for grants to be determined by the Board. To date, 123 capital projects have been approved, representing \$126 million in capital matching grants from the State. When fully implemented, this program will leverage over \$450 million in external funds to match the State's \$150 million investment, thereby providing for a combined capital program totaling \$600 million. The State's share of the program will be financed through

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the issuance of bonds. Furthermore, the 2010-11 Executive Budget extends the Higher Education Capital Matching Grants Program past the original statutory date of March 31, 2010. This extension is necessary for the program to achieve full implementation.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	0	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	121,270,000
Total	0	0	0	121,270,000

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2009-10	Recommended 2010-11	Change	Reappropriations 2010-11
Higher Education Capital Matching Grants				
Capital Projects Fund - Authority Bonds	0	0	0	121,270,000
Total	0	0	0	121,270,000

HOMELAND SECURITY

Since fall 2001, State agencies have deployed numerous resources to detect and protect against domestic security threats. The 2010-11 Executive Budget provides appropriations of \$168 million to support a continuation of homeland security activities throughout New York State, including a \$65 million contingency appropriation for costs of security measures implemented during periods of heightened threat alerts.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	129,347,000	118,000,000	(11,347,000)	19,587,000
Aid To Localities	50,000,000	50,000,000	0	200,000,000
Capital Projects	0	0	0	0
Total	179,347,000	168,000,000	(11,347,000)	219,587,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Domestic Anti-Terrorism Program			
Special Revenue Funds - Other	3,000,000	3,000,000	0
All Funds	126,347,000	115,000,000	(11,347,000)
Total	129,347,000	118,000,000	(11,347,000)

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Domestic Anti-Terrorism Program			
Special Revenue Funds - Federal	50,000,000	50,000,000	0
Total	50,000,000	50,000,000	0

**HUDSON RIVER VALLEY GREENWAY
COMMUNITIES COUNCIL**

The Hudson River Valley Greenway Communities Council is a 25-member advisory board, created in 1991, which is responsible for promoting the preservation of natural and cultural resources in the Hudson River Valley. The Council's operations are funded with State tax dollars from the General Fund. The Executive Budget recommends total appropriations of \$339,000 in 2010-11, a decrease of \$119,000 from 2009-10, to support the Council's administration, technical assistance, and local planning grants programs. This change reflects a reduction of \$24,000 in local assistance grant funding, and a \$95,000 reduction in personal service and non-personal service funding.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	298,000	203,000	(95,000)	0
Aid To Localities	160,000	136,000	(24,000)	680,000
Capital Projects	0	0	0	0
Total	458,000	339,000	(119,000)	680,000

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Operations			
General Fund	3	3	0
Total	3	3	0

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Operations			
General Fund	298,000	203,000	(95,000)
Total	298,000	203,000	(95,000)

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STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Operations	168,000	(76,000)	168,000	(76,000)
Total	168,000	(76,000)	168,000	(76,000)

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Operations	35,000	(19,000)	10,000	(8,000)
Total	35,000	(19,000)	10,000	(8,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Operations	6,000	(9,000)	15,000	1,000
Total	6,000	(9,000)	15,000	1,000

Program	Equipment	
	Amount	Change
Operations	4,000	(3,000)
Total	4,000	(3,000)

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2009-10	Recommended 2010-11	Change
Operations			
General Fund	160,000	136,000	(24,000)
Total	160,000	136,000	(24,000)

INSURANCE AND SECURITIES FUNDS RESERVE GUARANTEE

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	1,605,000,000	1,605,000,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	1,605,000,000	1,605,000,000	0	0

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Aggregate Trust Fund			
General Fund	220,000,000	220,000,000	0
Property/Casualty Insurance Security Fund			
General Fund	90,000,000	90,000,000	0
State Insurance Fund			
General Fund	1,295,000,000	1,295,000,000	0
Total	<u>1,605,000,000</u>	<u>1,605,000,000</u>	<u>0</u>

LOCAL GOVERNMENT ASSISTANCE

Local Government Assistance programs support a range of State aid and assistance for all classes of local governments. Key General Fund-supported programs include Aid and Incentives for Municipalities (AIM), which allocates direct State aid to cities, towns and villages, and the Local Government Efficiency Grant (LGEG) program, which provides grants to all classes of local governments intended to encourage municipal shared services and consolidation.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$809 million in All Funds** appropriations to fund miscellaneous State aid and assistance programs including \$776 million in General Fund support to local governments under several aid programs and \$30 million in Fiduciary Funds to cover contingency appropriation requirements. This is a decrease of **\$327 million** in All Funds appropriations below the 2009-10 budget, consisting almost entirely of a General Fund decrease for AIM assistance.

PROGRAM HIGHLIGHTS

AID AND INCENTIVES FOR MUNICIPALITIES

The Executive Budget recommends \$745.8 million for the overall AIM program in 2010-11, a decrease of \$319.1 million. Major program recommendations include:

- \$734.8 million in AIM funding to municipalities outside the City of New York. This allocation reduces funding to individual municipalities based on local reliance on this revenue source. Municipalities that are dependent on AIM funding for more than 10 percent of their total revenue will receive a 2 percent reduction, while those municipalities with AIM reliance below 10 percent will face a 5 percent reduction. Even after these reductions, municipalities will receive \$269.2 million (57.8 percent) more than they did in 2004-05.
- Elimination of AIM funding for New York City and Erie County beginning in 2010-11. Under current law, in 2010-11 New York City would receive \$301.7 million and Erie County would receive \$668,332. For both localities, AIM represents significantly less than 1 percent of their total revenues..

MISCELLANEOUS

- \$11 million for the Local Government Efficiency Grant (LGEG) program, including \$10 million for grants and \$1 million for merger incentives. This allocation represents a \$1 million decline below the \$11 million available for grants in 2009-10.

OTHER LOCAL GOVERNMENT ASSISTANCE PROGRAMS

In addition to AIM and LGEG, Local Government Assistance appropriations fund several programs targeted to specific municipalities for a variety of purposes. In 2010-11, recommendations affecting these programs include:

- \$23.8 million to support aid for municipalities with video lottery gaming facilities. This reflects a 10 percent reduction in aid for eligible municipalities.
- \$3.92 million for Miscellaneous Financial Assistance. A \$5 million reduction from 2009-10 funding levels reflects nonrecurring aid to the City of Yonkers. Oneida and Madison counties will continue to receive \$1.96 million each for interim financial assistance related to land claim settlements.
- \$2.09 million for the Small Government Assistance program, which provides funding to certain counties and school districts.
- \$25 million in reappropriations for Efficiency Incentive Grants, which support cost-saving investments in Erie County and the City of Buffalo, includes \$13 million to honor all existing commitments but halves the amount available for new initiatives leaving \$12 million for additional grants.

FIDUCIARY FUNDS

Fiduciary appropriations totaling \$30 million are recommended for the Municipal Assistance State Aid Fund and the Municipal Assistance Tax Fund to comply with the City of Troy Municipal Assistance Corporation's debt service coverage requirements. This amount matches the total contingency appropriations made in 2009-10.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	3,243,000	3,212,000	(31,000)	0
Aid To Localities	1,132,529,710	805,670,698	(326,859,012)	61,490,000
Capital Projects	0	0	0	0
Total	1,135,772,710	808,882,698	(326,890,012)	61,490,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Financial Control Board, New York State			
Special Revenue Funds - Other	15	15	0
Total	15	15	0

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
Special Revenue Funds - Other	3,243,000	3,212,000	(31,000)
Total	3,243,000	3,212,000	(31,000)

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Financial Control Board, New York State			
Special Revenue Funds - Other	3,243,000	3,212,000	(31,000)
Total	3,243,000	3,212,000	(31,000)

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Financial Control Board, New York State	3,212,000	(31,000)	1,608,000	(26,000)
Total	3,212,000	(31,000)	1,608,000	(26,000)

Program	Nonpersonal Service	
	Amount	Change
Financial Control Board, New York State	1,604,000	(5,000)
Total	1,604,000	(5,000)

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	1,102,529,710	775,670,698	(326,859,012)
Fiduciary Funds	30,000,000	30,000,000	0
Total	1,132,529,710	805,670,698	(326,859,012)

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Aid and Incentives for Municipalities			
General Fund	1,064,970,012	745,821,000	(319,149,012)
Miscellaneous Financial Assistance			
General Fund	8,920,000	3,920,000	(5,000,000)
Municipal Assistance State Aid Fund			
Fiduciary Funds	15,000,000	15,000,000	0
Municipal Assistance Tax Fund			
Fiduciary Funds	15,000,000	15,000,000	0
Municipalities with VLT Facilities			
General Fund	26,551,000	23,841,000	(2,710,000)
Small Government Assistance			
General Fund	2,088,698	2,088,698	0
Total	1,132,529,710	805,670,698	(326,859,012)

MISCELLANEOUS

NATIONAL AND COMMUNITY SERVICE

MISSION

The Office of National and Community Service provides staff support to the New York State Commission on National and Community Service. The Commission qualifies the State for Federal community service grants for local not-for-profit agencies. The community service grants support programs providing youth education, assistance to individuals with disabilities, public health services and disaster preparedness.

ORGANIZATION AND STAFFING

The Office of National and Community Service is housed and staffed within the Office of Children and Family Services. In 2008, Governor Paterson created a cabinet position to address issues related to national and community service and elevated the chair of the National and Community Service Commission to this cabinet post.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$36.7 million All Funds** (\$730,000 General Fund; \$36 million Other Funds) for the Office of National and Community Service, a \$148,000 General Fund decrease from the 2009-10 budget which reflects the net impact of inflation and funding to support regional volunteer centers. General Fund support of \$380,000 allows the State to meet its match requirements. The remaining \$350,000 will fund the regional volunteer centers initiative that was created in 2009-10. The Executive Budget recommends a staffing level of **10 FTEs** for the Office of National and Community Service.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	36,378,000	36,380,000	2,000	111,650,000
Aid To Localities	500,000	350,000	(150,000)	500,000
Capital Projects	0	0	0	0
Total	36,878,000	36,730,000	(148,000)	112,150,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Operations			
General Fund	4	4	0
Special Revenue Funds - Federal	6	6	0
Total	10	10	0

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Operations			
General Fund	378,000	380,000	2,000
Special Revenue Funds - Federal	36,000,000	36,000,000	0
Total	<u>36,378,000</u>	<u>36,380,000</u>	<u>2,000</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Operations	371,000	2,000	366,000	1,000
Total	<u>371,000</u>	<u>2,000</u>	<u>366,000</u>	<u>1,000</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Operations	5,000	1,000
Total	<u>5,000</u>	<u>1,000</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Operations	9,000	0	2,000	0
Total	<u>9,000</u>	<u>0</u>	<u>2,000</u>	<u>0</u>

Program	Contractual Services	
	Amount	Change
Operations	7,000	0
Total	<u>7,000</u>	<u>0</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2010-11 RECOMMENDED
(dollars)**

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Operations	36,000,000	0	36,000,000	0
Total	<u>36,000,000</u>	<u>0</u>	<u>36,000,000</u>	<u>0</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Operations			
General Fund	500,000	350,000	(150,000)
Total	<u>500,000</u>	<u>350,000</u>	<u>(150,000)</u>

NEW YORK POWER AUTHORITY ASSET TRANSFER PROGRAM

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	318,000,000	318,000,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	318,000,000	318,000,000	0	0

PAYMENT TO THE CITY OF NEW YORK

This local assistance appropriation is required pursuant to Section 3238-a of the Public Authorities Law and is related to the refinancing of prior New York City Municipal Assistance Corporation debt by the Sales Tax Asset Receivable Corporation (STARC), a local development corporation established by the City of New York.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	0	0	0
Aid To Localities	170,000,000	170,000,000	0	0
Capital Projects	0	0	0	0
Total	170,000,000	170,000,000	0	0

RACING REFORM PROGRAM

The Racing Reform Program's appropriations were originally established to facilitate and finance activities associated with the implementation of Chapter 354 of the Laws of 2005. Subsequently, the Legislature enacted further reforms to the New York Racing Association in Chapters 18 and 140 of the Laws of 2008. The 2010-11 Executive Budget recommends reappropriations of \$2 million, which are available to finance any State costs resulting from this legislation.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	0	0	2,000,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	0	0	0	2,000,000

RESERVE FOR FEDERAL AUDIT DISALLOWANCES

The Reserve for Federal Audit Disallowances provides authority to the General Fund in the event of a reduction in available Federal grant awards resulting from disallowances. The 2010-11 Executive Budget recommends an appropriation consistent with the prior year.

ALL FUNDS APPROPRIATIONS (dollars)				
Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	200,000,000	200,000,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	200,000,000	200,000,000	0	0

ROOSEVELT ISLAND OPERATING CORPORATION

The Roosevelt Island Operating Corporation of the State of New York (RIOC) was created by the New York State Legislature in 1984. Its mandate is to manage, develop and operate the 147 acre Roosevelt Island, located in New York City's East River, in the borough of Manhattan.

RIOC has promoted the development of a mixed-use, residential and commercial community that is home to more than 12,000 residents. The island has six historic landmarks, several parks, sports facilities, an aerial tramway, playgrounds and a waterfront promenade.

RIOC continues to develop and maintain utilities, public promenades and commercial opportunities on the island to support private development. The RIOC Board of Directors recently approved the planning and design of the Franklin Delano Roosevelt Four Freedoms Park to be located at the southernmost tip of Roosevelt Island and to be supported by funding from New York State, New York City and the Franklin and Eleanor Roosevelt Institute. The 2010-11 Executive Budget recommends reappropriation of \$4 million appropriated in 2009-10 for the park.

ALL FUNDS APPROPRIATIONS (dollars)				
Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	0	0	0
Aid To Localities	0	0	0	0
Capital Projects	4,000,000	0	(4,000,000)	4,000,000
Total	4,000,000	0	(4,000,000)	4,000,000

MISCELLANEOUS

SPECIAL EMERGENCY APPROPRIATION

The Special Emergency Appropriation provides authority for the disbursement of additional State Funds in the event of an unanticipated emergency affecting the State of New York, such as a natural disaster. The 2010-11 Executive Budget recommends an appropriation consistent with the prior year.

ALL FUNDS APPROPRIATIONS (dollars)				
Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	100,000,000	100,000,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	100,000,000	100,000,000	0	0

SPECIAL FEDERAL EMERGENCY APPROPRIATION

The Special Federal Emergency Appropriation provides authority for the disbursement of funds received by the Federal Government in the event of an unanticipated emergency affecting the State of New York, such as a natural disaster. The 2010-11 Executive Budget recommends an appropriation consistent with the prior year.

ALL FUNDS APPROPRIATIONS (dollars)				
Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	0	0	0
Aid To Localities	1,000,000,000	1,000,000,000	0	0
Capital Projects	0	0	0	0
Total	1,000,000,000	1,000,000,000	0	0

SPECIAL PAY BILL

These appropriations were enacted in pay bills which implemented the four-year collective bargaining agreements for the Police Benevolent Association of New York State Troopers, Inc. (PBA), and the New York State Police Investigators Association, Local 4, I.U.P.A. (BCI), as well as the two-year binding arbitration agreements for the Security Supervisors unit of Council 82 and the New York State Correctional Officers and Police Benevolent Association, Inc. (NYSCOPBA).

All monies authorized by these appropriations have been allocated to the employing agencies in 2009-10 and no funding for this special pay bill agency is necessary in 2010-11.

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2009-10	Recommended 2010-11	Change
Total	0	0	0
Adjustments:			
Transfer(s) To			
Correctional Services, Department of			
General Fund	308,918,000		
General State Charges			
General Fund	35,177,000		
Labor Management Committees			
General Fund	4,674,000		
Mental Health, Office of			
General Fund	800,000		
State Police, Division of			
General Fund	118,559,000		
Special Revenue Funds - Other	18,314,000		
Appropriated 2009-10	486,442,000		

STATE EQUIPMENT FINANCING PROGRAM

Statewide equipment represents spending for major equipment purchases and information systems research, development, and upgrades across all State agencies.

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	0	0	0
Aid To Localities	0	0	0	0
Capital Projects	129,800,000	187,285,000	57,485,000	231,451,000
Total	129,800,000	187,285,000	57,485,000	231,451,000

TRIBAL STATE COMPACT REVENUE

State Finance Law requires that, in instances where the State receives Native American casino revenues pursuant to a compact with the respective Tribe, the State must provide local host governments with up to 25 percent of the State's share of compact revenues. Accordingly, the Executive Budget recommends \$39.1 million, which represents 25 percent of the State's estimated revenues from Native American casinos located in Buffalo, Niagara Falls, Salamanca and Hogansburg.

MISCELLANEOUS

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	0	0	0
Aid To Localities	47,600,000	39,100,000	(8,500,000)	63,594,000
Capital Projects	0	0	0	0
Total	47,600,000	39,100,000	(8,500,000)	63,594,000

WORKERS' COMPENSATION RESERVE

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	19,800,000	18,030,000	(1,770,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	19,800,000	18,030,000	(1,770,000)	0

WORLD TRADE CENTER REBUILDING AND REVITALIZATION

The recommended reappropriations will facilitate the continuation of New York State's efforts to revitalize the World Trade Center property and lower Manhattan. Recommendations will provide ongoing authorization for payments associated with the engineering and construction of State capital projects in lower Manhattan, including the reconstruction and improvement of Route 9A, and September 11th related expenses.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	0	0	0	0
Aid To Localities	0	0	0	42,000,000
Capital Projects	0	0	0	350,039,000
Total	0	0	0	392,039,000