

DEPARTMENT OF CORRECTIONAL SERVICES

MISSION

The Department of Correctional Services is responsible for the safe and secure confinement of convicted felons, and the preparation of these individuals for successful reintegration into the community upon release.

ORGANIZATION AND STAFFING

The Department oversees the nation's fourth largest state prison system, currently operating 68 institutions, grouped within nine regional hubs. Each of the 67 correctional facilities, as well as the Willard Drug Treatment Campus in Seneca County, operated by the Department in cooperation with the Division of Parole and the Office of Alcoholism and Substance Abuse Services, is managed by a Superintendent, who reports to the Commissioner. More than 20,200 – or 67 percent – of the Department's staff are security personnel, with remaining staff primarily dedicated to the delivery of inmate programs, health services or facility operations.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$3.0 billion All Funds** (\$2.5 billion General Fund; \$38 million Federal Funds; \$30 million Other Funds; \$74 million Internal Service Funds; \$43 million Enterprise Funds; \$320 million in Capital Projects Funds) for the Department of Correctional Services. A decrease of \$273 million in General Fund payments primarily reflects the closure of one minimum security facility and the minimum security portion of another facility in January 2011, the full fiscal-year effect of the closures of three correctional camps on July 1, 2009 and six correctional annexes on October 1, 2009, dorm consolidations and a reduction in required salary payments to members of the New York State Correctional Officers and Police Benevolent Association union resulting from a one-time, lump sum payment of back pay to union members in 2009 to satisfy a binding arbitration award. A decrease of \$6 million in Other Funds payments primarily reflects a re-estimate of needs for the Food Production Center. A decrease of \$4 million in Internal Services Fund payments reflects the Executive decision not to move forward with the license plate reissuance initiative.

Major budget actions include:

- **Close Three Prisons and a Portion of a Fourth, and Consolidate Dorms:** The prison population continues to decline, and is projected to drop by 1,100 in the current fiscal year, followed by another 1,000 within the following year – to a total of 57,600 inmates. As a result, the Department of Correctional Services will continue to consolidate facilities and eliminate excess capacity in its male correctional facilities. The minimum security Lyon Mountain Correctional Facility (Clinton County) and the minimum security portion of Butler Correctional Facility (Wayne County) will close in January 2011. Another two prisons will close in April 2011; the minimum security Moriah Shock Incarceration Correctional Facility (Essex County) and the medium security Ogdensburg Correctional Facility (St. Lawrence County). The planned closures will be undertaken in compliance with the current statutory provisions requiring one-year notification. The consolidation of various dorms will also be factored into the overall plan, providing flexibility to adjust in the event there are

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unexpected changes in the size and movement of the inmate population. Once the closures are completed, the workforce will have been reduced by 637, including 17 managerial staff at the closed facilities.

- **Medicaid Reimbursement for Inmates:** The Department of Correctional Services and the Department of Health will implement a new program to capture Federal Medicaid reimbursement for the cost of treating inmates in hospital settings outside the prison.

PROGRAM HIGHLIGHTS

Since peaking at nearly 71,600 in 1999, the under-custody prison population is projected to decline by over 13,000 inmates by the end of the current fiscal year. This decline can be attributed to the dramatic drop in the State's crime rate over the last decade, the success of legislatively enacted programs that allow certain non-violent offenders to earn time off their sentences for good behavior and program achievements, an increased number of scheduled releases of offenders who received determinate sentences under the Truth-In-Sentencing Law of 1995 and Jenna's Law of 1998, as well as the release of low level drug offenders, who are completing determinate sentences under the Felony Drug Reform Act of 2004 and the Rockefeller Drug Law Reform of 2009. As soon as an inmate enters prison, an assessment is conducted to determine their need for rehabilitative programs. The Department offers educational programming, with the minimum goal of assisting inmates who do not have high school diplomas to receive General Equivalency Diplomas. Inmates can receive identified job skills through vocational training. In some trades, they can continue on to an apprenticeship program where inmates receive certification from the Department of Labor. Inmates can also be placed in one of the Department's substance abuse treatment programs or an Aggression Replacement Training Program which focuses on anger management therapy. The Department's Program Services component also operates the sex offender treatment program which was expanded under the Sex Offender Management and Treatment Act of 2007.

Meeting the critical need of providing appropriate levels of medical services is important to the safety of the prison system and to the general public when an inmate is released. Often, inmates enter prison with significant health care needs which can include tuberculosis, hepatitis, or many other infectious conditions. The Department acts quickly to diagnose and begin treatment, if necessary, when an inmate enters prison and provides the greatest degree of services possible to seek a cure. The Health Services Program ensures that inmates receive a community level of care during their term of incarceration.

The Department continues to expand and enhance its services for inmates with mental illness. It recently opened a state-of-the-art Residential Mental Health Unit (RMHU) at Marcy Correctional Facility in Oneida County for up to 100 inmates with serious mental illness and disciplinary sanctions. The Department also plans a second, 60-bed RMHU at Five Points Correctional Facility in Seneca County in 2011-12. The Marcy RMHU is believed to be the first of its kind in the United States. Additionally, the Department recently expanded its Intermediate Care Program at Green Haven Correctional Facility in Dutchess County by adding a 99-bed unit. These are among ongoing programs aimed at recognizing the role that mental illness plays in the behavior of inmates and appropriately responding to and treating mental illness in the correctional setting.

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The safety and security of the Department's correctional institutions and the surrounding communities is maintained by the Supervision of Inmates Program while the Support Services Program provides all resources necessary for the operation of a correctional institution. This includes inmate food and transportation services, maintenance of the physical plant of the prisons and operating the business offices that provide administrative support for the facilities.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2009-10	Appropriations Recommended 2010-11	Change	Reappropriations Recommended 2010-11
State Operations	2,980,277,000	2,697,191,000	(283,086,000)	40,190,000
Aid To Localities	577,000	200,000	(377,000)	5,945,000
Capital Projects	320,000,000	320,000,000	0	587,734,000
Total	<u>3,300,854,000</u>	<u>3,017,391,000</u>	<u>(283,463,000)</u>	<u>633,869,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2009-10 Estimated FTEs 03/31/10	2010-11 Estimated FTEs 03/31/11	FTE Change
Administration			
General Fund	236	236	0
Special Revenue Funds - Federal	653	653	0
Enterprise Funds	11	11	0
Correctional Industries			
Internal Service Funds	315	315	0
Facilities Planning and Development			
Capital Projects Funds - Other	31	31	0
Health Services			
General Fund	1,856	1,918	62
Program Services			
General Fund	3,050	3,168	118
Supervision of Inmates			
General Fund	20,653	20,355	(298)
Support Services			
General Fund	3,222	3,280	58
Total	<u>30,027</u>	<u>29,967</u>	<u>(60)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	2,785,908,000	2,512,706,000	(273,202,000)
Special Revenue Funds - Federal	37,300,000	38,300,000	1,000,000
Special Revenue Funds - Other	35,750,000	29,480,000	(6,270,000)
Enterprise Funds	43,343,000	43,013,000	(330,000)
Internal Service Funds	77,976,000	73,692,000	(4,284,000)
Total	<u>2,980,277,000</u>	<u>2,697,191,000</u>	<u>(283,086,000)</u>

Adjustments:	
Transfer(s) From	
Special Pay Bill	
General Fund	(308,918,000)
Appropriated 2009-10	<u>2,671,359,000</u>

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2009-10</u>	<u>Recommended 2010-11</u>	<u>Change</u>
Administration			
General Fund	27,106,000	26,646,000	(460,000)
Special Revenue Funds - Federal	37,300,000	38,300,000	1,000,000
Special Revenue Funds - Other	25,250,000	25,250,000	0
Enterprise Funds	2,701,000	2,701,000	0
Correctional Industries			
Internal Service Funds	77,976,000	73,692,000	(4,284,000)
Health Services			
General Fund	366,838,000	360,523,000	(6,315,000)
Program Services			
General Fund	245,554,000	234,257,000	(11,297,000)
Special Revenue Funds - Other	100,000	100,000	0
Enterprise Funds	39,900,000	39,900,000	0
Supervision of Inmates			
General Fund	1,658,458,000	1,445,089,000	(213,369,000)
Support Services			
General Fund	487,952,000	446,191,000	(41,761,000)
Special Revenue Funds - Other	10,400,000	4,130,000	(6,270,000)
Enterprise Funds	742,000	412,000	(330,000)
Total	<u>2,980,277,000</u>	<u>2,697,191,000</u>	<u>(283,086,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	17,301,000	(154,000)	17,185,000	(151,000)
Health Services	137,776,000	3,638,000	124,577,000	3,543,667
Program Services	193,810,000	(5,377,000)	187,459,000	4,181,000
Supervision of Inmates	1,421,155,000	(211,148,000)	1,343,381,000	(205,823,000)
Support Services	178,000,000	(3,692,000)	167,186,000	(3,754,000)
Total	<u>1,948,042,000</u>	<u>(216,733,000)</u>	<u>1,839,788,000</u>	<u>(202,003,333)</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	0	0	116,000	(3,000)
Health Services	5,869,000	956,877	7,330,000	(862,544)
Program Services	5,571,000	(5,856,500)	780,000	(3,701,500)
Supervision of Inmates	12,698,000	(2,428,000)	65,076,000	(2,897,000)
Support Services	219,000	(240,000)	10,595,000	302,000
Total	<u>24,357,000</u>	<u>(7,567,623)</u>	<u>83,897,000</u>	<u>(7,162,044)</u>

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STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	9,345,000	(306,000)	383,000	(16,000)
Health Services	222,747,000	(9,953,000)	87,050,000	(2,661,000)
Program Services	40,447,000	(5,920,000)	7,798,000	(4,670,000)
Supervision of Inmates	23,934,000	(2,221,000)	11,260,000	(2,452,000)
Support Services	268,191,000	(38,069,000)	131,832,000	(13,687,000)
Total	564,664,000	(56,469,000)	238,323,000	(23,486,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	340,000	(14,000)	5,969,000	(249,000)
Health Services	423,000	(276,000)	134,405,000	(5,565,000)
Program Services	461,000	(587,000)	29,963,000	605,000
Supervision of Inmates	3,020,000	(946,000)	6,583,000	(96,000)
Support Services	327,000	(435,000)	125,537,000	(14,837,000)
Total	4,571,000	(2,258,000)	302,457,000	(20,142,000)

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	653,000	(27,000)	2,000,000	0
Health Services	869,000	(1,451,000)	0	0
Program Services	2,225,000	(1,268,000)	0	0
Supervision of Inmates	3,071,000	1,273,000	0	0
Support Services	10,495,000	(9,110,000)	0	0
Total	17,313,000	(10,583,000)	2,000,000	0

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2010-11 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	66,251,000	1,000,000	34,840,000	0
Correctional Industries	73,692,000	(4,284,000)	20,239,000	(1,097,000)
Program Services	40,000,000	0	0	0
Support Services	4,542,000	(6,600,000)	0	(1,700,000)
Total	184,485,000	(9,884,000)	55,079,000	(2,797,000)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	2,111,000	0	29,300,000	1,000,000
Correctional Industries	53,453,000	(3,187,000)	0	0
Program Services	40,000,000	0	0	0
Support Services	4,542,000	(4,900,000)	0	0
Total	100,106,000	(8,087,000)	29,300,000	1,000,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2009-10	Recommended 2010-11	Change
General Fund	577,000	200,000	(377,000)
Total	577,000	200,000	(377,000)

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**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2009-10	Recommended 2010-11	Change
Support Services			
General Fund	200,000	200,000	0
Community Projects			
General Fund	377,000	0	(377,000)
Total	<u>577,000</u>	<u>200,000</u>	<u>(377,000)</u>

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2009-10	Recommended 2010-11	Change	Reappropriations 2010-11
Maintenance and Improvement of Existing Facilities				
Correctional Facilities Capital Improvement Fund	320,000,000	320,000,000	0	587,734,000
Total	<u>320,000,000</u>	<u>320,000,000</u>	<u>0</u>	<u>587,734,000</u>