

OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

MISSION

The mission of the Office of Alcoholism and Substance Abuse Services (OASAS) is to improve the lives of New Yorkers by leading a premier system of addiction services through prevention, treatment, and recovery.

ORGANIZATION AND STAFFING

Headed by a Commissioner appointed by the Governor, OASAS is responsible for the development and management of the State's policy on chemical dependence and problem gambling. The Office exercises these responsibilities directly as a provider of treatment services through a statewide system of Addiction Treatment Centers (ATCs), through the regulation and oversight of over 1,500 chemical dependence and problem gambling prevention, treatment, and recovery services providers, one of the largest in the nation, and as a conduit for Federal and State financial assistance. The Office also furthers State policy goals in areas that include Public Safety, Public Health, Public Welfare, and Public Education by coordinating chemical dependence and problem gambling resources and strategies in collaboration with other State agencies.

In 2009-10, OASAS will have a **workforce of 944**, of which nearly 55 percent provide an array of clinical treatment services through the ATCs.

BUDGET HIGHLIGHTS

The 2009-10 Executive Budget recommends nearly **\$695 million All Funds** (\$142 million General Fund; \$553 million Other Funds) for OASAS to continue agency and community operations. This funding is supplemented by Federal and State resources budgeted in other State agencies and by the contributions of local governments, voluntary agencies, and other sources. Overall, the recommended budget decreases **\$77 million** from 2008-09, which is largely attributable to the annualization of prior year initiatives and savings associated with program efficiencies, including:

- **Downsizing State-Operated Institutional Capacity.** OASAS will reduce its workforce by 40 positions and save \$4.6 million annually by closing its 52-bed Manhattan ATC in New York City. Co-located on the Manhattan Psychiatric Center Campus on Wards Island, this ATC would otherwise require an investment of more than \$14 million in capital funds to relocate to a newer, more efficient treatment facility – the ATC occupies only 1 floor of an otherwise vacant 13-story building in which it is currently located. Aggressive efforts will be taken to assist the 800 individuals served annually by the Manhattan facility to find comparable services in 1 of the 4 other ATCs located in New York City or with existing community-based providers. The 2009-10 recommendation provides for the continuation of 600 State-operated beds at the 12 remaining ATCs.
- **Reducing and Deferring Cost-of-Living Adjustments.** The recommendation also achieves savings by reducing the 2008-09 human services cost-of-living adjustment (COLA) from 3.2 percent to 2.2 percent, effective January 1, 2009. Additionally, the Executive Budget provides for no COLA for 2009-10. To

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continue the State's long-term commitment, COLA adjustments are planned to resume April 1, 2010, and this budget recommends extending the COLA for a third year in 2012-13.

- **Restructuring School-Operated Prevention Services.** Currently, the New York City Department of Education (NYCDOE) receives a total of \$19 million in annual funding to deliver prevention services; however, only a portion of these services are predicated upon scientifically proven, evidence-based practices that improve outcomes. The recommendation reduces funding to the NYCDOE by a total of \$10 million and reinvests \$8 million of these funds to community-based provider organizations in the 5 boroughs that deliver prevention services using proven techniques.
- **Refocusing Programs and Services Based on Performance Metrics.** The Executive Budget supports OASAS efforts to reform high-priority programs and services, while achieving operating savings. Specifically, OASAS will generate net savings of \$15 million with efforts that include:
 - Reducing the scope of its Managed Addiction Treatment Services case management program by targeting funding only to the City of New York, where it has been successful in achieving savings from high cost Medicaid recipients (\$2.5 million).
 - Delaying by 1 year the conversion of 9 remaining provider programs to the new medically-oriented Residential Rehabilitation Services for Youth (Part 817) adolescent treatment model (\$3.4 million).
 - Deferring the development of about 25 permanent supported housing beds approved last year (\$0.1 million).
 - Ending contracts for separate HIV/AIDS services in chemical dependence treatment programs because OASAS regulations now require its treatment providers to employ health care coordinators who are responsible for the provision of a variety of health-related services, including HIV/AIDS (\$6.8 million).
 - Lowering funding for a variety of other services that fail to meet performance objectives, including vocational rehabilitation services, substance abuse screening and evaluation programs for persons charged with DWI or other drug-related offenses, and enhanced outpatient services for methadone maintenance programs (\$8.9 million).
 - Redirecting \$6 million of savings to support diversion programs (\$4 million) intended to direct criminal offenders into chemical dependence treatment and case management programs, instead of more costly prison sentences, as well as to partially support relapse prevention services for parolees (\$2 million).
- **Reducing Fraud, Waste and Abuse.** By collaborating with the Office of the State Medicaid Inspector General and the Department of Health (DOH) in the area of Medicaid regulatory compliance and enforcement, OASAS will save \$5.1 million in wasteful, inappropriate or unnecessary spending in DOH's budget.
- **Identifying State Operations Efficiencies.** OASAS will also achieve more than \$2.1 million in savings by continuing to limit new hires to only those vacant positions that are critical to client health and safety or essential to agency operations and reducing spending in a variety of areas, including communications and information technology.
- **Reforming Detoxification Services.** OASAS will continue to collaborate with DOH, other State agencies and service providers to redesign the delivery of

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detoxification services to promote recovery and better integrate reimbursement with outcomes. Accordingly, the Executive Budget recommends funding in DOH to strengthen the ability of community-based detoxification providers to deal with less complicated detoxification episodes by enhancing rates of reimbursement to incentivize program expansion in this area.

In addition, the Executive Budget continues capital funding to support the development of some 415 pipeline community residential treatment opportunities over the next 5 years for high priority populations, including adolescents, women with children, and veterans, as well as beds to enhance community-based treatment opportunities on Long Island. The recommendation also supports new and on-going projects to either relocate or renovate existing chemical dependence programs, whose aging infrastructure results in the inefficient delivery of treatment services and jeopardizes the health and safety of clients and staff.

PROGRAM HIGHLIGHTS

OASAS administers a comprehensive array of prevention, treatment, and recovery services for New Yorkers. This is accomplished through a highly qualified network of State, local government and voluntary agencies, and school districts. In accordance with Federal and State statute, OASAS licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

Approximately 260,000 individuals receive services annually in New York State's licensed chemical dependence treatment and problem gambling system, which is provided by approximately 1,200 community-based programs. About 110,000 individuals are enrolled in New York's chemical dependence treatment programs on any given day. OASAS also provides funding to approximately 300 prevention providers who utilize science-based, outcome-oriented programs focusing on such risk factors as family conflict, permissive attitudes towards alcohol and substance abuse, and lack of commitment to school, which research shows are predictive of adolescent problem behaviors like alcohol and substance abuse, delinquency, teen pregnancy, school drop-out, and violence. While reducing these risk factors, prevention programs also focus on nurturing healthy beliefs and clear standards within community and family, since combining both activities is crucial to reducing the prevalence of problem behaviors like alcohol and substance abuse.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2008-09	Appropriations Recommended 2009-10	Change	Reappropriations Recommended 2009-10
State Operations	142,470,000	134,716,000	(7,754,000)	4,766,000
Aid To Localities	508,079,274	461,332,000	(46,747,274)	193,571,500
Capital Projects	121,336,000	98,883,000	(22,453,000)	328,454,000
Total	771,885,274	694,931,000	(76,954,274)	526,791,500

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ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2008-09	2009-10	FTE Change
	Estimated FTEs 03/31/09	Estimated FTEs 03/31/10	
Executive Direction			
Special Revenue Funds - Federal	79	79	0
Special Revenue Funds - Other	352	341	(11)
Capital-Administration			
Capital Projects Funds - Other	8	8	0
Institutional Services			
Special Revenue Funds - Federal	16	16	0
Special Revenue Funds - Other	536	500	(36)
Total	991	944	(47)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2008-09	2009-10	
Special Revenue Funds - Federal	7,196,000	6,445,000	(751,000)
Special Revenue Funds - Other	135,274,000	128,271,000	(7,003,000)
Total	142,470,000	134,716,000	(7,754,000)

Adjustments:	
Transfer(s) From	
Special Pay Bill	
Special Revenue Funds - Federal	(751,000)
Special Revenue Funds - Other	(6,440,000)
Appropriated 2008-09	135,279,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2008-09	2009-10	
Executive Direction			
Special Revenue Funds - Federal	5,466,000	5,245,000	(221,000)
Special Revenue Funds - Other	64,883,000	57,731,000	(7,152,000)
Institutional Services			
Special Revenue Funds - Federal	1,730,000	1,200,000	(530,000)
Special Revenue Funds - Other	70,391,000	70,540,000	149,000
Total	142,470,000	134,716,000	(7,754,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2009-10 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	62,976,000	(7,373,000)	30,209,000	(8,506,000)
Institutional Services	71,740,000	(381,000)	36,865,000	(1,610,000)
Total	134,716,000	(7,754,000)	67,074,000	(10,116,000)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	32,077,000	1,133,000	690,000	0
Institutional Services	34,875,000	1,229,000	0	0
Total	66,952,000	2,362,000	690,000	0

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AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2008-09</u>	<u>Recommended 2009-10</u>	<u>Change</u>
General Fund	144,886,774	141,780,000	(3,106,774)
Special Revenue Funds - Federal	135,473,000	135,473,000	0
Special Revenue Funds - Other	227,719,500	184,079,000	(43,640,500)
Total	<u>508,079,274</u>	<u>461,332,000</u>	<u>(46,747,274)</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2008-09</u>	<u>Recommended 2009-10</u>	<u>Change</u>
Community Treatment Services Program			
General Fund	143,422,524	141,780,000	(1,642,524)
Special Revenue Funds - Federal	102,418,000	102,418,000	0
Special Revenue Funds - Other	152,976,000	130,200,000	(22,776,000)
Prevention and Program Support			
Special Revenue Funds - Federal	33,055,000	33,055,000	0
Special Revenue Funds - Other	74,743,500	53,879,000	(20,864,500)
Community Projects			
General Fund	1,464,250	0	(1,464,250)
Total	<u>508,079,274</u>	<u>461,332,000</u>	<u>(46,747,274)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2008-09</u>	<u>Recommended 2009-10</u>	<u>Change</u>	<u>Reappropriations 2009-10</u>
Design and Construction Supervision				
Capital Projects Fund	2,000,000	3,000,000	1,000,000	4,460,000
MH Capital Improvements - Authority Bonds	500,000	500,000	0	500,000
Administration				
Capital Projects Fund	1,230,000	1,277,000	47,000	0
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	4,810,000	4,810,000	0	23,475,000
MH Capital Improvements - Authority Bonds	108,546,000	84,546,000	(24,000,000)	278,148,000
Institutional Services Program				
Capital Projects Fund	500,000	1,000,000	500,000	2,815,000
MH Capital Improvements - Authority Bonds	3,000,000	3,000,000	0	19,056,000
Non-Bondable				
Capital Projects Fund	750,000	750,000	0	0
Total	<u>121,336,000</u>	<u>98,883,000</u>	<u>(22,453,000)</u>	<u>328,454,000</u>