

OFFICE OF HOMELAND SECURITY

MISSION

The Office of Homeland Security is responsible for the development and coordination of a comprehensive strategy for counter-terrorism and hazard prevention, preparedness and response, to protect the citizens of the State of New York. Established as a permanent office by anti-terror legislation enacted in July 2004, the Office maintains strong partnerships with relevant State and local agencies, as well as Federal security-related agencies including the Department of Homeland Security. Among its responsibilities are assessments of vulnerability of critical assets, systems and material; policy development; the allocation of Federal homeland security and related funds; cyber readiness and the prevention and detection of cyber events. Additionally, the Office is required to coordinate the collection and dissemination of counter-terrorism information, as well as the development and implementation of a statewide strategy for disaster preparedness training.

ORGANIZATION AND STAFFING

The Office of Homeland Security has its central office in Albany with a satellite office in New York City, and a State Preparedness Training Center in Oriskany, New York. The Director, appointed by the Governor, provides counsel on counter-terrorism affairs and coordinates a myriad of activities with Federal, State, and local organizations to better prepare the State to respond to threats and terrorist-related incidents. The Office has two programs, Administration and Cyber Security.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$ 524 million All Funds** (\$18 million General Fund; \$506 million Other Funds) for the Office of Homeland Security. This is a net increase of **\$150 million** which primarily reflects an anticipated increase in Federal Homeland Security Grant funding. The General Fund increase of \$6.6 million is due to a change in funding sources supporting the Oneida Training Center and the Office of Cyber Security. The Office will continue to take a leadership role in maximizing Federal funding and ensuring distribution of that funding in an effective manner which supports the State's comprehensive security strategy. Federal funds anticipated from the State Homeland Security Grant and Urban Area Security Initiative programs will continue to be appropriated under the auspices of the Office.

The Executive Budget recommends a staffing level of **186 FTEs** for the Office of Homeland Security, a **decrease of 6** from the 2008-09 Budget.

Major budget actions include:

- **New Federal Grant Programs:** Beginning in 2009-10, the Office of Homeland Security will award over \$10.5 million in new Federal Homeland Security Grant Programs including \$6.9 million under the Interoperable Communications Grant Program (IECGP) and \$3.6 million under the Regional Catastrophic Planning Grant Program (RCPGP). In addition, New York State will compete for grant awards under the Emergency Operations Grant Program (EOCGP) and Operation Stonegarden Grant Program (OPSG).
- **Increase in Federal Award:** The 2009-10 Executive Budget recommends an increase in appropriation authority for the Homeland Security Program. Through

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this program, New York State will receive approximately \$500 million during the next fiscal year; an increase of about \$150 million.

- **Cyber Security Partnerships:** The Budget supports the creation of a not-for-profit (NFP) corporation to provide improved and expanded cyber security and critical infrastructure coordination services. The NFP structure will facilitate the development of essential partnerships with and among Federal, State, and local governments and private industry. Such partnerships will increase opportunities to promote innovative and improved approaches that will enhance the State's capacity to prepare for and respond to rapidly evolving cyber security threats and to coordinate critical infrastructure information.

PROGRAM HIGHLIGHTS

Since its creation, the Office has pursued a comprehensive, coordinated strategy for domestic counter-terrorism preparedness in the State. Agencies and organizations from each jurisdictional level, and representing various disciplines, have been brought together to formulate and implement a comprehensive approach to public security for New York. Highlights of these efforts include:

- **Federal Homeland Security Grant Programs:** Since 2001, OHS has allocated over \$2 billion in Federal homeland security grants including over \$507 million in 2008. This includes the development and execution of over 1,000 contracts with State, local and other entities that comply with State and Federal contracting, reporting and oversight requirements. In addition, OHS works with State agencies and the Urban Area Work Groups to submit a comprehensive application for Federal homeland security funds not later than 90 days after the release of federal guidance.
- **Intelligence Collection, Analysis and Dissemination:** OHS will sustain the analysis and dissemination of relevant terrorism information to law enforcement agencies at the New York State Intelligence Center's (NYSIC) Counter-Terrorism Center (CTC). The CTC provides "one-stop shopping" for law enforcement in counter-terrorism matters. In addition OHS will continue to work closely with numerous Federal, State, local, tribal and Canadian authorities to facilitate a secure border. Federal agencies that OHS works closely with include the FBI, Immigration and Customs Enforcement (ICE), Customs and Border Protection (CBP), the Transportation Security Administration (TSA) and the U.S. Coast Guard. Finally, OHS will sustain information sharing efforts with the Canadian Provinces of Quebec and Ontario.
- **Critical Infrastructure/Key Resources:** The Office's Critical Infrastructure Assessment Teams continue to conduct security assessments of critical infrastructure in New York State to determine gaps and develop potential solutions. OHS will continue its effort, begun in 2008, to integrate threat analysis and critical infrastructure intelligence into the planning for critical infrastructure protection in conjunction with the OHS Critical Infrastructure Program, OHS Intelligence Division, the NYSIC and the DHS Homeland Infrastructure Threat and Risk Analysis Center (HITRAC).

- **Enhancing First Responder Capabilities Through Training and Exercises:** In 2009, OHS will sustain first responder capabilities by training or directly supporting the training of more than 1,200 students at the State Preparedness Training Center (SPTC) in Oriskany. In 2008, the SPTC received accreditation from the Commission on Accreditation for Law Enforcement Agencies (CALEA), only the second training center in New York State to receive this prestigious recognition. In addition, OHS will deliver or facilitate more than 200 courses to train over 6,000 first responders at locations other than the SPTC. OHS expects to coordinate over 25 separate exercises in 15 Counties in New York in 2009. Expansion plans for the SPTC include an Emergency Vehicle Operators Course and a Weapons Training Complex.
- **Threat Based Asset Management System:** The Office continues development of the Threat Based Asset Management System (TBAMS) and the Critical Infrastructure Suspicious Activity Reporting (CI-SAR) system. CI-SAR is a tool for Intelligence Analysts and the resulting Suspicious Activity Reporting data is being integrated with several other states' data under the Federal Office of the Director for National Intelligence. OHS will sustain broader information sharing applications using the Homeland Security Information Network – New York (HSIN-NY).

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2008-09	Appropriations Recommended 2009-10	Change	Reappropriations Recommended 2009-10
State Operations	24,101,000	24,303,000	202,000	114,405,000
Aid To Localities	350,000,000	500,000,000	150,000,000	1,343,880,000
Capital Projects	0	0	0	0
Total	374,101,000	524,303,000	150,202,000	1,458,285,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2008-09 Estimated FTEs 03/31/09	2009-10 Estimated FTEs 03/31/10	FTE Change
Administration			
General Fund	110	99	(11)
Cyber Security and Critical Infrastructure			
Coordination Program			
Special Revenue Funds - Federal	4	4	0
Special Revenue Funds - Other	42	42	0
Homeland Security Program			
Special Revenue Funds - Federal	36	41	5
Total	192	186	(6)

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2008-09	Recommended 2009-10	Change
General Fund	11,441,000	18,102,000	6,661,000
Special Revenue Funds - Federal	216,000	0	(216,000)
Special Revenue Funds - Other	10,944,000	4,701,000	(6,243,000)
Internal Service Funds	1,500,000	1,500,000	0
Total	24,101,000	24,303,000	202,000

Adjustments:

Transfer(s) From

Special Pay Bill

General Fund

(400,000)

Special Revenue Funds - Federal

(216,000)

Special Revenue Funds - Other

(401,000)

Appropriated 2008-09

23,084,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2008-09	Recommended 2009-10	Change
Administration			
General Fund	11,441,000	12,981,000	1,540,000
Special Revenue Funds - Federal	216,000	0	(216,000)
Cyber Security and Critical Infrastructure Coordination Program			
General Fund	0	5,121,000	5,121,000
Special Revenue Funds - Other	10,944,000	4,701,000	(6,243,000)
Internal Service Funds	1,500,000	1,500,000	0
Total	24,101,000	24,303,000	202,000

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2009-10 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	7,927,000	3,014,000	7,648,000	3,014,000
Cyber Security and Critical Infrastructure Coordination Program	2,243,000	2,243,000	2,234,000	2,234,000
Total	10,170,000	5,257,000	9,882,000	5,248,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Administration	262,000	0	17,000	0
Cyber Security and Critical Infrastructure Coordination Program	0	0	9,000	9,000
Total	262,000	0	26,000	9,000

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STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2009-10 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	5,054,000	(1,474,000)	931,000	(200,000)
Cyber Security and Critical Infrastructure Coordination Program	2,878,000	2,878,000	30,000	30,000
Total	7,932,000	1,404,000	961,000	(170,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	0	0	3,275,000	(1,074,000)
Cyber Security and Critical Infrastructure Coordination Program	15,000	15,000	2,728,000	2,728,000
Total	15,000	15,000	6,003,000	1,654,000

Program	Equipment	
	Amount	Change
Administration	848,000	(200,000)
Cyber Security and Critical Infrastructure Coordination Program	105,000	105,000
Total	953,000	(95,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2009-10 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	0	(216,000)	0	(145,000)
Cyber Security and Critical Infrastructure Coordination Program	6,201,000	(6,243,000)	568,000	(2,243,000)
Total	6,201,000	(6,459,000)	568,000	(2,388,000)

Program	Nonpersonal Service	
	Amount	Change
Administration	0	(71,000)
Cyber Security and Critical Infrastructure Coordination Program	5,633,000	(4,000,000)
Total	5,633,000	(4,071,000)

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2008-09	Recommended 2009-10	Change
Special Revenue Funds - Federal	350,000,000	500,000,000	150,000,000
Total	350,000,000	500,000,000	150,000,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2008-09	Recommended 2009-10	Change
Homeland Security Program			
Special Revenue Funds - Federal	350,000,000	500,000,000	150,000,000
Total	350,000,000	500,000,000	150,000,000