

DEPARTMENT OF CORRECTIONAL SERVICES

MISSION

The Department of Correctional Services is responsible for the safe and secure confinement of convicted felons, and the preparation of these individuals for successful reintegration into the community upon release.

ORGANIZATION AND STAFFING

The Department oversees the nation's fourth largest state prison system, currently operating 70 institutions, grouped within nine regional hubs. Each of the 69 correctional facilities are managed by a Superintendent, who reports to the Commissioner. More than 21,300 — or 67 percent — of the Department's staff are security personnel, with remaining staff primarily dedicated to the delivery of inmate programs, health services or facility operations. The Department also operates the Willard Drug Treatment Campus in Seneca County, in cooperation with the Division of Parole and the Office of Alcoholism and Substance Abuse Services.

BUDGET HIGHLIGHTS

The Executive Budget recommends **\$3.0 billion All Funds (\$2.5 billion General Fund; \$38 million Federal Funds; \$20 million Other Funds; \$74 million Internal Service Funds; \$44 million Enterprise Funds; and \$320 million in Capital Projects Funds)** for the Department of Correctional Services. This is an increase of **\$67 million (\$33 million General Fund, \$14 million Other Funds, \$20 million Capital Projects Funds)** from the 2007-08 budget. This net change primarily reflects continued growth in re-entry and mental health programs for inmates, higher health care costs including pharmaceuticals and billings from outside medical providers, as well as investments in the Department's technological infrastructure. Offsetting savings are achieved by closing three Camps and one Correctional Facility, and implementing operational efficiencies, including: initiatives to reduce energy usage, improving the delivery of inmate health care, and constraining overtime.

The Executive Budget recommends a staffing level of **31,603 FTEs** for the Department of Correctional Services, a **decrease of 153** from the 2007-08 budget. This primarily reflects the anticipated facility closures offset by an expansion of mental health and re-entry programs.

Major budget actions include:

- **Reflecting Savings from Prison Closures:** Since 1999 the Department's under-custody population has fallen by over 9,000 inmates, particularly in minimum security facilities, and has resulted in significant areas of unused prison capacity. Under New York State law, the Commissioner is required to issue notification one-year prior to closing a prison and to explore the potential for reuse. The Department will follow the provisions of the law, and anticipates a January 2009 closure date for Camp Pharsalia, Camp Gabriels, the Camp at the Mt. McGregor Correctional Facility and the Hudson Correctional Facility. Staff at these facilities will be offered positions at other correctional facilities or can accept openings in other State agencies if they prefer.

CORRECTIONAL SERVICES

- **Expanding Re-entry Programs:** The Department recently opened its first Re-entry Unit at the Orleans Correctional Facility which connects inmates nearing release with community support programs. This 60-bed Unit houses inmates who will be released to nearby Erie County and allows parole officers, social workers, employment counselors, community organization volunteers and others to meet with inmates prior to their release. The Department will replicate this unit at three additional facilities during 2008-09. The Department will also expand its Family Reunion Program which provides select inmates the opportunity to meet in private with their families for an extended period of time. Investments in the Department's technological infrastructure will also permit the development of applications to enhance the effectiveness of existing rehabilitative programs and improve on the sharing of data with other criminal justice agencies.
- **Establishing a Parole Violator Facility:** The Edgecombe Correctional Facility will be used to hold technical parole violators for up to 30 days. The facility will have the capacity to hold 100 parolees and resources will be provided to expand this program by up to 130 additional parolees. The Department will contract with an Office of Alcoholism and Substance Abuse Services licensed provider to deliver the treatment program at this facility. Parolees entering the facility will receive an assessment for placement in a drug intervention, cognitive behavior, or drug prevention program. Upon their release they will receive case management community follow-up.
- **Additional Mental Health Treatment Programs:** The Department, in cooperation with the Office of Mental Health, will continue to expand its mental health treatment programs. During the 2008-09 fiscal year, additional residential treatment units, which provide intensive treatment and programs to inmates with serious mental illness, will be opened at the Albion, Bedford Hills, Green Haven, Fishkill and Great Meadow Correctional Facilities. A new 100 bed Residential Mental Health Unit will open at the Marcy Correctional Facility to provide inmate-patients, who were identified with a serious mental illness while in disciplinary confinement, with specialized treatment. Other mental health initiatives starting in 2008-09 include opening 215 beds for inmates to transition out of mental health units and back into general confinement, and expansion of mental health assessments at Reception Centers. More than \$36 million in capital investments will be committed to implement these initiatives.
- **Implementing Operational Efficiencies:** Savings will be achieved by focusing on capital improvement projects that reduce energy utilization, exploring new ways to deliver health services in a more cost-effective manner (including the expanded utilization of telemedicine), and from tightening overtime controls. Health services costs will also be reduced by expediting the parole medical release procedures for terminally ill inmates and inmates with severe incapacitating conditions.
- **Offering Cook/Chill Products to Local Jails:** The Food Production Center at the Oneida Correctional Facility provides low cost and nutritious meals to inmates at all of the Department's 70 correctional institutions. At a cost reflecting only the production and transportation of the food product, local jails will have the option to purchase bulk quantities of chilled food that are easily reheated, or cook/chill products, resulting in savings for the participating jails while providing their inmates with the same nutritious meals that are served in State prisons.

PROGRAM HIGHLIGHTS

The under-custody prison population is projected to decline to an estimated 62,200 inmates by the end of 2008-09 — a drop of 9,000 inmates since peaking at nearly 71,600 in 1999, and a drop of 600 inmates from the start of the 2008-09 fiscal year. The decline during 2008-09 can be attributed to the release of offenders, that received longer determinate sentences under the Truth-In-Sentencing Law of 1995 and Jenna's Law of 1998; and the release of low level drug offenders, who are completing determinant sentences under the Felony Drug Reform Act of 2004. Additionally, offenders who had been denied release by the Parole Board will reach two-thirds of their maximum sentence during 2008-09 and will be required to be conditionally released back to the community.

The continued decline in inmates has created growing levels of unneeded bed capacity. To ensure that taxpayer monies are used in the most effective manner, and are dedicated to the State's most critical needs, notification has been issued to close three of the Department's Camps and the Hudson Medium Security Correctional Facility. At the same time, the Department will invest 238 new staff in expanded treatment for inmates with mental illness and will add new transitional programs to prepare inmates for release.

A critical responsibility of the Department is the preparation of inmates for successful reintegration back into the community upon their release. Inmates released during 2008-09 will range from felony offenders who served long determinate sentences to low level drug offenders who received short sentences but continue to have a significant need for services. More than 24,500 persons are expected to be released to parole supervision next year, and to benefit from expanded services to assist inmates in returning to the community.

As soon as an inmate enters prison, an assessment is conducted to determine their need for rehabilitative programs. The Department offers educational programming, where the minimum goal is to assist inmates who do not have a high school diploma to receive a General Equivalency Diploma. Eligible inmates can also enroll in college programs and earn a degree. An inmate can receive vocational training where a certificate is awarded upon learning the basic skills of one of the several trades that is offered. In some trades, they can continue on to a four year apprenticeship program where the inmate receives certification from the Department of Labor. The inmate can be placed in one of the Department's substance abuse treatment programs or an Aggression Replacement Training Program which focuses on anger management therapy. The Department's Program Services component also operates the sex offender treatment program which was expanded under the Sex Offender Management and Treatment Act of 2007.

Meeting the critical need of providing appropriate levels of medical services is important to the safety of the prison system and to the general public when an inmate is released. Often inmates enter prison with significant health care needs which can include tuberculosis, hepatitis, or many other infectious conditions. The Department acts quickly to diagnose and begin treatment, if necessary, when an inmate enters prison and provides the greatest degree of services possible to seek a cure. The Health Services Program ensures that inmates receive a community level of care during their term of incarceration.

The safety and security of the Department's correctional institutions and the surrounding community is maintained by the Supervision of Inmates Program while the Support Services Program provides all resources necessary for the operation of a

CORRECTIONAL SERVICES

correctional institution. This includes inmate food and transportation services, maintenance of the physical plant of the prisons and operating the business offices that provide administrative support for the facilities.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2007-08	Appropriations Recommended 2008-09	Change	Reappropriations Recommended 2008-09
State Operations	2,635,236,000	2,682,756,000	47,520,000	37,500,000
Aid To Localities	6,409,000	6,000,000	(409,000)	6,409,000
Capital Projects	300,000,000	320,000,000	20,000,000	526,895,000
Total	2,941,645,000	3,008,756,000	67,111,000	570,804,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2007-08 Estimated FTEs 03/31/08	2008-09 Estimated FTEs 03/31/09	FTE Change
Administration			
General Fund	243	248	5
Special Revenue Funds - Federal	671	671	0
Enterprise Funds	11	11	0
Correctional Industries			
Internal Service Funds	474	357	(117)
Facilities Planning and Development			
Capital Projects Funds - Other	32	32	0
Health Services			
General Fund	1,972	1,967	(5)
Program Services			
General Fund	3,488	3,455	(33)
Supervision of Inmates			
General Fund	21,344	21,316	(28)
Support Services			
General Fund	3,521	3,506	(15)
Special Revenue Funds - Other	0	40	40
Total	31,756	31,603	(153)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2007-08	Recommended 2008-09	Change
General Fund	2,472,928,000	2,505,951,000	33,023,000
Special Revenue Funds - Federal	36,800,000	38,300,000	1,500,000
Special Revenue Funds - Other	1,450,000	19,950,000	18,500,000
Enterprise Funds	44,347,000	44,347,000	0
Internal Service Funds	79,711,000	74,208,000	(5,503,000)
Total	2,635,236,000	2,682,756,000	47,520,000

Adjustments:

Transfer(s) From	
Special Pay Bill	
General Fund	(16,024,000)
Appropriated 2007-08	2,619,212,000

CORRECTIONAL SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2007-08	Recommended 2008-09	Change
Administration			
General Fund	25,314,000	26,038,000	724,000
Special Revenue Funds - Federal	36,800,000	38,300,000	1,500,000
Special Revenue Funds - Other	250,000	250,000	0
Enterprise Funds	2,682,000	2,682,000	0
Correctional Industries			
Internal Service Funds	79,711,000	74,208,000	(5,503,000)
Health Services			
General Fund	349,078,000	355,717,000	6,639,000
Program Services			
General Fund	229,841,000	237,433,000	7,592,000
Special Revenue Funds - Other	100,000	100,000	0
Enterprise Funds	39,900,000	39,900,000	0
Supervision of Inmates			
General Fund	1,406,503,000	1,398,572,000	(7,931,000)
Support Services			
General Fund	462,192,000	488,191,000	25,999,000
Special Revenue Funds - Other	1,100,000	19,600,000	18,500,000
Enterprise Funds	1,765,000	1,765,000	0
Total	<u>2,635,236,000</u>	<u>2,682,756,000</u>	<u>47,520,000</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2008-09 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	16,387,000	724,000	16,268,000	719,000
Health Services	127,769,000	984,000	114,996,000	974,000
Program Services	185,938,000	3,127,000	168,178,000	1,870,000
Supervision of Inmates	1,372,585,000	(7,891,000)	1,274,317,000	1,895,000
Support Services	173,072,000	7,352,000	162,320,000	6,968,000
Total	<u>1,875,751,000</u>	<u>4,296,000</u>	<u>1,736,079,000</u>	<u>12,426,000</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	0	0	119,000	5,000
Health Services	5,184,000	760,000	7,589,000	(750,000)
Program Services	13,390,000	853,000	4,370,000	404,000
Supervision of Inmates	15,295,000	214,000	82,973,000	(10,000,000)
Support Services	459,000	17,000	10,293,000	367,000
Total	<u>34,328,000</u>	<u>1,844,000</u>	<u>105,344,000</u>	<u>(9,974,000)</u>

CORRECTIONAL SERVICES

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2008-09 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	9,651,000	0	399,000	0
Health Services	227,948,000	5,655,000	86,481,000	1,380,000
Program Services	51,495,000	4,465,000	11,894,000	596,000
Supervision of Inmates	25,987,000	(40,000)	12,191,000	(19,000)
Support Services	315,119,000	18,647,000	142,032,000	8,405,000
Total	630,200,000	28,727,000	252,997,000	10,362,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	354,000	0	6,218,000	0
Health Services	684,000	132,000	137,589,000	3,870,000
Program Services	1,034,000	331,000	34,937,000	3,306,000
Supervision of Inmates	4,051,000	(6,000)	7,990,000	(12,000)
Support Services	651,000	38,000	152,702,000	9,036,000
Total	6,774,000	495,000	339,436,000	16,200,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	680,000	0	2,000,000	0
Health Services	3,194,000	273,000	0	0
Program Services	3,630,000	232,000	0	0
Supervision of Inmates	1,755,000	(3,000)	0	0
Support Services	19,734,000	1,168,000	0	0
Total	28,993,000	1,670,000	2,000,000	0

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2008-09 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	41,232,000	1,500,000	34,827,000	1,500,000
Correctional Industries	74,208,000	(5,503,000)	21,192,000	(4,916,000)
Program Services	40,000,000	0	0	0
Support Services	21,365,000	18,500,000	1,700,000	1,700,000
Total	176,805,000	14,497,000	57,719,000	(1,716,000)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	2,105,000	0	4,300,000	0
Correctional Industries	53,016,000	(587,000)	0	0
Program Services	40,000,000	0	0	0
Support Services	19,665,000	16,800,000	0	0
Total	114,786,000	16,213,000	4,300,000	0

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2007-08	Recommended 2008-09	Change
General Fund	6,409,000	6,000,000	(409,000)
Total	6,409,000	6,000,000	(409,000)

CORRECTIONAL SERVICES

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2007-08</u>	<u>Recommended 2008-09</u>	<u>Change</u>
Program Services			
General Fund	409,000	0	(409,000)
Support Services			
General Fund	6,000,000	6,000,000	0
Total	<u>6,409,000</u>	<u>6,000,000</u>	<u>(409,000)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2007-08</u>	<u>Recommended 2008-09</u>	<u>Change</u>	<u>Reappropriations 2008-09</u>
Maintenance and Improvement of Existing Facilities				
Special Conservation Activities Account	0	0	0	3,000,000
Correctional Facilities Capital Improvement Fund	300,000,000	320,000,000	20,000,000	523,895,000
Total	<u>300,000,000</u>	<u>320,000,000</u>	<u>20,000,000</u>	<u>526,895,000</u>