

# **STATE UNIVERSITY OF NEW YORK**

## **MISSION**

Created in 1948, the State University of New York (SUNY) is the largest public university system in the nation with 64 campuses offering a complete range of academic, professional and vocational programs. The State University system is uniquely positioned to provide New Yorkers with access to quality postsecondary educational programs in all regions of the State.

## **ORGANIZATION AND STAFFING**

The three major components of the State University's mission — teaching, public service and research — are carried out by:

- Eight campuses (including four health science centers) offering academic programs through the doctoral level and serving as research centers;
- Fourteen comprehensive colleges providing undergraduate education in a variety of academic fields;
- Seven colleges of technology focusing on the development of a workforce with the advanced skills required by an increasingly technology-dependent economy;
- Five statutory and contract colleges at Alfred and Cornell universities that offer nationally acclaimed programs in ceramics, veterinary medicine, agriculture and life sciences, industrial and labor relations, and human ecology; and
- Thirty community colleges offering access to a wide array of higher education opportunities that prepare students for transfer to senior colleges or train them for a variety of technical or paraprofessional occupations.

The University is governed by a Board of Trustees consisting of 16 members, with 15 appointed by the Governor to staggered seven-year terms and approved by the Senate, and one ex-officio trustee representing the student assembly of the State University. The Board oversees the operations of the University's State-operated campuses and also exercises general supervisory authority over the community colleges, which are sponsored by local governments and governed by local boards of trustees.

The chief executive officer of the University is the Chancellor who is appointed by, and serves at the pleasure of, the Board of Trustees. Individual college presidents are also appointed by, and serve at the pleasure of, the Board.

The State University's operating budget supports an estimated 39,834 full-time equivalent positions consisting of 23,654 positions that are supported through a combination of State tax dollars and tuition revenues and 16,180 positions supported by other funds. Community college staff are not included in these totals as they are not employees of the State.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

### **STATE-OPERATED COLLEGES**

The 2007-08 Executive Budget recommends \$7.1 billion to support the operations of the State University's campuses, central administration and University-wide programs. In addition to \$2.3 billion in support from the General Fund, the University's operating budget

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includes \$4.1 billion in activities funded by other revenues. Revenue-generating entities and programs include: three teaching hospitals at Brooklyn, Stony Brook and Syracuse; the Long Island Veterans' Home administered by the Stony Brook Health Science Center; dormitory operations; food services; and tuition-supported activities, including summer session and overseas academic studies.

Pending receipt of the tuition policy recommendation of the Governor's Commission on Public Higher Education, no tuition increase is recommended for SUNY State-Operated campuses in 2007-08.

SUNY's 2007-08 gross operating budget will total \$3.36 billion, an increase of \$148.1 million or 4.6 percent. Within this amount, taxpayer support will total nearly \$2.3 billion, an increase of \$143.2 million or 6.6 percent. SUNY's General Revenue Offset Account will total \$1.05 billion, which reflects a net increase of \$4.9 million.

SUNY's special revenue funding, exclusive of the General Revenue Offset Account, will total \$3.0 billion, an increase of \$161.4 million, including:

- an increase of \$78.6 million for the SUNY hospitals reflecting collectively bargained salary increases and support for their revenue-generating initiatives;
- an increase of \$40.4 million for the State University Tuition Reimbursable Account (SUTRA) to accommodate revenue from potential enrollment growth;
- an inflationary adjustment of \$29.5 million for the General Income Fund Reimbursable (IFR) Account;
- an inflationary adjustment of \$10 million for the Dormitory IFR account; and
- an inflationary adjustment of \$2.9 million for the Long Island Veterans Home.

For 2007-08, Federal appropriations totaling \$250.5 million will support the Pell grant program and various other student financial aid programs.

### ***EMPIRE INNOVATION PROGRAM***

The Empire Innovation Program at the SUNY State-Operated campuses continues to attract and retain faculty. The 2007-08 Executive Budget provides \$12.0 million for this program, doubling the \$6.0 million investment made in 2006-07. This funding will help SUNY compete for research grants, generate additional revenue through the growth in patents and indirect recoveries and contribute to the State's economic growth.

### ***EDUCATIONAL OPPORTUNITY CENTERS***

The 2007-08 Executive Budget provides \$50.6 million, a \$2.3 million increase, for the Educational Opportunity Centers. These Centers provide tuition-free educational and vocational training opportunities to disadvantaged students throughout the State.

### ***OTHER RECOMMENDATIONS***

The Executive Budget also recommends:

- \$7.0 million reflecting a transfer in funding for agricultural and veterinary research services from the Department of Agriculture and Markets to SUNY. The research will be carried out through a partnership between SUNY and Cornell University;
- \$3.0 million for Priority Academic Programs to increase the number of students in academic programs like information science, engineering, nursing and allied health; and

- \$275,000 to continue the Just for the Kids - New York project. This program is part of the national Just for the Kids project and represents a partnership between the University at Albany's School of Education, the New York State Business Council and various private companies. It is designed to disseminate school achievement data and best practices so that low performing schools may identify opportunities for improvement by modeling the successful practices of high performing schools.

### **SUNY HOSPITALS**

SUNY's three teaching hospitals in Stony Brook, Brooklyn and Syracuse provide important graduate medical education training for SUNY students, as well as valuable health care services for the communities in which they are located. The Berger Commission on Health Care Facilities in the 21st Century recommended a number of actions with respect to SUNY hospitals including:

- Joining SUNY Upstate Medical Center and Crouse Hospital under a single unified governance structure to promote better strategic planning, service delivery and bed utilization.
- Studying the feasibility of privatizing SUNY's teaching hospitals at Stony Brook, Syracuse and Brooklyn, with such study conducted by the Commissioner of Health in consultation with other relevant groups.

In the coming months, SUNY will work with the Department of Health to move forward with the Berger Commission's recommendations for its teaching hospitals.

The 2007-08 Budget continues the appropriation structure enacted in 2001-02 for the SUNY hospitals. All hospital spending is appropriated in one account with the hospitals being responsible for direct payment of their fringe benefit and debt service costs. Under this appropriation structure, the SUNY hospitals will continue to be directly accountable for operating within their available revenues, including a fixed State subsidy amount.

The recommended State subsidy for the SUNY hospitals, which recognizes costs attributable to their State agency status, is increased by \$6.8 million to a total of \$146.3 million to reflect collectively bargained salary increases and costs attributable to employee fringe benefits.

### **COMMUNITY COLLEGES**

SUNY's 30 community colleges represent the primary point of access for more than 209,000 students across the State. For the 2007-08 academic year, base operating aid for SUNY community colleges will be raised to \$2,625/student -- an increase of \$100/student. For 2007-08, State aid for SUNY community colleges will total \$445.7 million, an increase of \$20.2 million, or 4.7 percent.

### **CORNELL COOPERATIVE EXTENSION**

County cooperative extension associations — funded by State, county and Federal contributions — provide specialized information and assistance in community development, agricultural technology, 4-H youth development and consumer and family education. The Executive Budget recommends nearly \$3.7 million in State support for this program.

### **CAPITAL PROJECTS**

The Governor will call upon SUNY to develop a new Five-Year Capital Plan for 2008-09. Adoption of a new Five-Year Plan will promote stability, predictability and greater discipline in the capital funding process and will ensure campus priorities are balanced with available resources.

Pending development of this new Five-Year Capital Plan, the 2007-08 Executive Budget provides transitional funding of \$379.7 million to support SUNY's high priority projects as follows: \$164.8 million for partially funded projects such as the Old Westbury academic complex and renovation of the Stony Brook Southampton Campus; \$114.9 million for other high priority projects such as the Plattsburgh Science Facility and the Empire State Regional Center and \$100.0 million for various university-wide critical maintenance projects.

To accommodate Executive Budget recommendations, SUNY's bond cap for educational facilities will be increased by \$379.7 million. This will provide total bonding authorization of more than \$7.3 billion to cover SUNY's planned bond sales for a multi-year period.

### **PROGRAM HIGHLIGHTS**

The State University of New York is the nation's largest comprehensive public university, offering 6,898 degree programs at the research universities, community colleges, medical schools and colleges of technology that comprise its 64 campuses. The State University enrolls over 40 percent of college-bound students graduating from New York State high schools. Around 417,000 full-time and part-time SUNY students — 208,000 at State-operated campuses and 209,000 in community colleges — are pursuing studies ranging from one-year certificate programs to doctorates.

The academic profile of incoming students continues to grow stronger at SUNY campuses. More than half of all students that enrolled at SUNY University Centers in Fall 2005 had SAT scores above 1200 and grade point averages above 90 — comparable to admission profiles found at top public flagship institutions in California, Michigan, Texas and North Carolina.

The State University is also working to expand the volume of scholarly research conducted by its faculty, and is more aggressively seeking Federal and other external support for research. As a result, funding for SUNY sponsored research is expected to reach nearly \$900 million in 2006. Research grants at SUNY now underwrite over 10,200 projects, which directly support more than 18,600 full and part-time jobs across New York State. In addition, SUNY continues to be among the top recipients of patents in New York State, joining IBM, Corning and GE.

The University's initiatives in the area of technology have placed it at the forefront of higher educational institutions across the nation, earning it the Award for Excellence in Institution-Wide Asynchronous Learning Networks Programming by the Alfred P. Sloan Foundation. The Sloan Foundation recognized the SUNY Learning Network, citing its primary goal of bringing SUNY's diverse, high-quality instructional programs within the reach of learners everywhere.

SUNY campuses are key partners in the State's five University-based Centers of Excellence, a high-technology initiative that will enable New York to become a worldwide leader in university-based research, business creation and job development, including:

- Albany Center of Excellence in Nanoelectronics, a semiconductor research center at SUNY-Albany;

- Buffalo Center of Excellence in Bioinformatics which includes the Life Sciences Complex used for super-computing, pharmaceutical research and workforce development; and
- Long Island Center of Excellence in Wireless Internet and Information Technology which, in tandem with several other high-tech research projects such as the Bioinformatics Research Center at Cold Spring Harbor Laboratory, will create thousands of new high-skilled and high-wage jobs across Long Island.

The State University's 30 locally sponsored community colleges serve as an essential source of skilled employees and play a critical role in the State's efforts to attract and retain businesses seeking an able workforce. Through their policy of open admissions, the community colleges also ensure access to postsecondary education.

From the curriculum and instruction in its classrooms, research in its laboratories, support from its alumni and its appeal to a growing number of college-bound students, the State University continues to elevate its ranking among the nation's finest public universities.

**ALL FUNDS  
APPROPRIATIONS  
(dollars)**

<b>Category</b>	<b>Available 2006-07</b>	<b>Appropriations Recommended 2007-08</b>	<b>Change</b>	<b>Reappropriations Recommended 2007-08</b>
State Operations	6,372,629,600	6,622,101,300	249,471,700	466,090,000
Aid To Localities	423,498,500	440,833,000	17,334,500	0
Capital Projects	918,862,000	379,700,000	(539,162,000)	3,940,045,000
Total	<u>7,714,990,100</u>	<u>7,442,634,300</u>	<u>(272,355,800)</u>	<u>4,406,135,000</u>

**ALL FUND TYPES  
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM  
FILLED ANNUAL SALARIED POSITIONS**

<b>Program</b>	<b>Full-Time Equivalent Positions (FTE)</b>		
	<b>2006-07 Estimated FTEs 03/31/07</b>	<b>2007-08 Estimated FTEs 03/31/08</b>	<b>FTE Change</b>
	All State University Colleges and Schools		
General Fund	23,454	23,654	200
Special Revenue Funds - Federal	4	4	0
Special Revenue Funds - Other	16,176	16,176	0
Total	<u>39,634</u>	<u>39,834</u>	<u>200</u>

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## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>
General Fund	2,167,042,000	2,310,253,700	143,211,700
Special Revenue Funds - Federal	230,500,000	250,500,000	20,000,000
Special Revenue Funds - Other	3,963,087,600	4,049,347,600	86,260,000
Internal Service Funds	12,000,000	12,000,000	0
Total	6,372,629,600	6,622,101,300	249,471,700

### Adjustments:

Prior Year Deficiency			
State University of New York			
General Fund	29,100,000		
Recommended Deficiency			
State University of New York			
General Fund	(11,000,000)		
Special Revenue Funds - Other	11,000,000		
Transfer(s) From			
Energy Costs Program			
General Fund	(30,000,000)		
Special Pay Bill			
General Fund	(12,435,000)		
Special Revenue Funds - Other	(9,742,000)		
State University of New York			
General Fund	(6,690,000)		
Special Revenue Funds - Other	(8,967,400)		
Transfer(s) To			
State University of New York			
General Fund	8,967,400		
Special Revenue Funds - Other	6,690,000		
Appropriated 2006-07	6,349,552,600		

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>
All State University Colleges and Schools			
General Fund	1,268,152,000	1,329,907,700	61,755,700
Special Revenue Funds - Federal	230,500,000	250,500,000	20,000,000
Special Revenue Funds - Other	3,963,087,600	4,049,347,600	86,260,000
Internal Service Funds	12,000,000	12,000,000	0
Employee Fringe Benefits			
General Fund	898,890,000	980,346,000	81,456,000
Total	6,372,629,600	6,622,101,300	249,471,700

## STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2007-08 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
All State University Colleges and Schools	1,721,608,100	(25,631,950)	1,592,881,800	(154,325,050)
Total	1,721,608,100	(25,631,950)	1,592,881,800	(154,325,050)
<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
All State University Colleges and Schools	117,678,000	117,676,500	11,048,300	11,016,600
Total	117,678,000	117,676,500	11,048,300	11,016,600

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**STATE OPERATIONS - GENERAL AND OFFSET FUNDS  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2007-08 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Supplies and Materials</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
All State University Colleges and Schools	658,322,600	92,297,650	34,973,400	31,516,900
Employee Fringe Benefits	980,346,000	81,456,000	0	0
Total	<u>1,638,668,600</u>	<u>173,753,650</u>	<u>34,973,400</u>	<u>31,516,900</u>

<b>Program</b>	<b>Travel</b>		<b>Contractual Services</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
All State University Colleges and Schools	12,683,400	12,671,400	542,402,100	(20,146,850)
Employee Fringe Benefits	0	0	0	0
Total	<u>12,683,400</u>	<u>12,671,400</u>	<u>542,402,100</u>	<u>(20,146,850)</u>

<b>Program</b>	<b>Equipment</b>		<b>General State Charges</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
All State University Colleges and Schools	68,263,700	68,256,200	0	0
Employee Fringe Benefits	0	0	980,346,000	81,456,000
Total	<u>68,263,700</u>	<u>68,256,200</u>	<u>980,346,000</u>	<u>81,456,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2007-08 RECOMMENDED  
(dollars)**

<b>Program</b>	<b>Total</b>		<b>Personal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
All State University Colleges and Schools	3,261,824,600	101,350,000	1,222,576,400	(1,262,098,100)
Total	<u>3,261,824,600</u>	<u>101,350,000</u>	<u>1,222,576,400</u>	<u>(1,262,098,100)</u>

<b>Program</b>	<b>Nonpersonal Service</b>		<b>Maintenance Undistributed</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
All State University Colleges and Schools	1,999,937,200	1,392,005,700	39,311,000	(28,557,600)
Total	<u>1,999,937,200</u>	<u>1,392,005,700</u>	<u>39,311,000</u>	<u>(28,557,600)</u>

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS  
(dollars)**

<b>Fund Type</b>	<b>Available</b>	<b>Recommended</b>	<b>Change</b>
	<b>2006-07</b>	<b>2007-08</b>	
General Fund	423,498,500	440,833,000	17,334,500
Total	<u>423,498,500</u>	<u>440,833,000</u>	<u>17,334,500</u>

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS  
(dollars)**

<b>Program</b>	<b>Available</b>	<b>Recommended</b>	<b>Change</b>
	<b>2006-07</b>	<b>2007-08</b>	
Community Colleges			
General Fund	419,828,500	437,163,000	17,334,500
County Cooperative Extension Program -			
Cornell University			
General Fund	3,670,000	3,670,000	0
Total	<u>423,498,500</u>	<u>440,833,000</u>	<u>17,334,500</u>

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## CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>	<u>Reappropriations 2007-08</u>
Senior Universities				
General Maintenance and Improvements				
Capital Projects Fund	0	0	0	21,605,000
Capital Projects Fund - Advances	486,226,000	379,700,000	(106,526,000)	2,963,732,000
State University Capital Projects Fund				
State University Capital Projects Fund	0	0	0	168,949,000
State University Residence Hall Rehabilitation Fund				
State University Residence Hall Rehabilitation Fund	0	0	0	78,008,000
SUNY Dorms (Direct Auth Bonds)	350,000,000	0	(350,000,000)	350,000,000
Subtotal	<u>836,226,000</u>	<u>379,700,000</u>	<u>(456,526,000)</u>	<u>3,582,294,000</u>
Community Colleges				
General Maintenance and Improvements				
Capital Projects Fund	0	0	0	31,845,000
Capital Projects Fund - Advances	0	0	0	53,270,000
Cap Proj Fund - SUNY CC (Direct Auth Bonds)	82,636,000	0	(82,636,000)	272,636,000
Subtotal	<u>82,636,000</u>	<u>0</u>	<u>(82,636,000)</u>	<u>357,751,000</u>
 Total	 <u>918,862,000</u>	 <u>379,700,000</u>	 <u>(539,162,000)</u>	 <u>3,940,045,000</u>