

OFFICE OF HOMELAND SECURITY

MISSION

The Office of Homeland Security is responsible for the development and implementation of a comprehensive statewide strategy to detect, protect against and respond to terrorist threats and events. Established as a permanent office by anti-terror legislation enacted in July 2004, the Office maintains strong partnerships with relevant State and local agencies, as well as Federal security-related agencies including the Department of Homeland Security. Among its responsibilities are assessments of vulnerability of critical assets, systems and material; policy development; the allocation of Federal homeland security and related funds; cyber readiness and the prevention and detection of cyber events. Additionally, the Office is required to coordinate the collection and dissemination of counter-terrorism information, as well as the development and implementation of a statewide strategy for disaster preparedness training.

ORGANIZATION AND STAFFING

The Office of Homeland Security has its permanent office in Albany with a satellite office in New York City. The Director, appointed by the Governor, provides counsel on counter-terrorism affairs and coordinates a myriad of activities with Federal, state, and local organizations to better prepare the state to respond to threats and terrorist-related incidents. The Office has two programs, Administration and Cyber Security, and will have a workforce of 184 in 2007-08.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2007-08 Executive Budget provides \$22.4 million for the operation of the Office. In addition, Federal funds anticipated from the State Homeland Security Grant and Urban Area Security Initiative programs will continue to be appropriated under the auspices of the Office. This reflects the Office's leadership role in maximizing Federal funding and ensuring distribution of that funding in an effective manner which supports the State's comprehensive security strategy.

PROGRAM HIGHLIGHTS

Since its creation, the Office has pursued a comprehensive, coordinated strategy for domestic counter-terrorism preparedness in the State. Agencies and organizations from each jurisdictional level, and representing various disciplines, have been brought together to formulate and implement a comprehensive approach to public security for New York.

The Office has implemented a Counter-Terrorism Network, the first of its kind in the nation. The network is used to notify local law enforcement and other government agencies of terrorism and security-related alerts. The Office's Critical Infrastructure Assessment Teams are also conducting security assessments of critical infrastructure in New York State, including large scale reviews of security measures at energy generation and transmission plants, chemical, and general aviation facilities.

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The Office of Cyber Security has developed a comprehensive cyber security strategy that includes the private sector along with local and Federal jurisdictions. The Office chairs the Public/Private Sector Cyber Security Workgroup, and continues to play a leadership role in coordinating the Multi-State Information Sharing and Analysis Center. This Center facilitates communication among 11 northeastern states regarding cyber readiness and response efforts, and is the primary point of contact between those states and the Federal government on cyber security issues. These efforts have been lauded by the Federal Department of Homeland Security as exemplary.

Further, State Information Security policy is being implemented, with the Office of Cyber Security providing technical assistance and monitoring critical segments of the State's information technology infrastructure. Cyber Security is also completing a four-year project to provide digital imagery of the entire state, which will assist State and local government in emergency response, local planning, E911 and watershed and coastal management. Similarly, Cyber Security provides State agencies with access to cyber-intrusion detection services and contracts.

ALL FUNDS APPROPRIATIONS (dollars)

| Category | Available 2006-07 | Appropriations Recommended 2007-08 | Change | Reappropriations Recommended 2007-08 |
|-------------------|------------------------------|---|--------------------|---|
| State Operations | 17,591,000 | 22,425,000 | 4,834,000 | 210,388,000 |
| Aid To Localities | 360,000,000 | 350,000,000 | (10,000,000) | 700,000,000 |
| Capital Projects | 0 | 0 | 0 | 0 |
| Total | 377,591,000 | 372,425,000 | (5,166,000) | 910,388,000 |

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

| Program | Full-Time Equivalent Positions (FTE) | | |
|--|--|--|-------------------|
| | 2006-07 Estimated FTEs 03/31/07 | 2007-08 Estimated FTEs 03/31/08 | FTE Change |
| | Administration | | |
| General Fund | 79 | 108 | 29 |
| Cyber Security and Critical Infrastructure Coordination Program | | | |
| Special Revenue Funds - Federal | 4 | 4 | 0 |
| Special Revenue Funds - Other | 40 | 42 | 2 |
| Homeland Security Program | | | |
| Special Revenue Funds - Federal | 30 | 30 | 0 |
| Total | 153 | 184 | 31 |

HOMELAND SECURITY

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

| Fund Type | Available 2006-07 | Recommended 2007-08 | Change |
|-------------------------------|----------------------|------------------------|------------------|
| General Fund | 8,673,000 | 11,382,000 | 2,709,000 |
| Special Revenue Funds - Other | 7,418,000 | 9,543,000 | 2,125,000 |
| Internal Service Funds | 1,500,000 | 1,500,000 | 0 |
| Total | <u>17,591,000</u> | <u>22,425,000</u> | <u>4,834,000</u> |
| Adjustments: | | | |
| Transfer(s) To | | | |
| Executive Chamber | | | |
| General Fund | 176,000 | | |
| Appropriated 2006-07 | <u>17,767,000</u> | | |

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

| Program | Available 2006-07 | Recommended 2007-08 | Change |
|--|----------------------|------------------------|------------------|
| Administration | | | |
| General Fund | 8,673,000 | 11,382,000 | 2,709,000 |
| Cyber Security and Critical Infrastructure Coordination Program | | | |
| Special Revenue Funds - Other | 7,418,000 | 9,543,000 | 2,125,000 |
| Internal Service Funds | 1,500,000 | 1,500,000 | 0 |
| Total | <u>17,591,000</u> | <u>22,425,000</u> | <u>4,834,000</u> |

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2007-08 RECOMMENDED (dollars)

| Program | Total | | Personal Service Regular (Annual Salaried) | |
|----------------|------------------|------------------|---|----------------|
| | Amount | Change | Amount | Change |
| Administration | 4,223,000 | 1,099,000 | 3,877,000 | 937,000 |
| Total | <u>4,223,000</u> | <u>1,099,000</u> | <u>3,877,000</u> | <u>937,000</u> |

| Program | Temporary Service (Nonannual Salaried) | | Holiday/Overtime Pay (Annual Salaried) | |
|----------------|---|----------------|---|---------------|
| | Amount | Change | Amount | Change |
| Administration | 280,000 | 131,000 | 66,000 | 31,000 |
| Total | <u>280,000</u> | <u>131,000</u> | <u>66,000</u> | <u>31,000</u> |

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2007-08 RECOMMENDED (dollars)

| Program | Total | | Supplies and Materials | |
|----------------|------------------|------------------|------------------------|----------------|
| | Amount | Change | Amount | Change |
| Administration | 7,159,000 | 1,610,000 | 1,197,000 | 700,000 |
| Total | <u>7,159,000</u> | <u>1,610,000</u> | <u>1,197,000</u> | <u>700,000</u> |

| Program | Contractual Services | | Equipment | |
|----------------|----------------------|----------------|------------------|----------|
| | Amount | Change | Amount | Change |
| Administration | 4,914,000 | 910,000 | 1,048,000 | 0 |
| Total | <u>4,914,000</u> | <u>910,000</u> | <u>1,048,000</u> | <u>0</u> |

HOMELAND SECURITY

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2007-08 RECOMMENDED
(dollars)**

| <u>Program</u> | <u>Total</u> | | <u>Personal Service</u> | |
|--|-------------------|------------------|-------------------------|---------------|
| | <u>Amount</u> | <u>Change</u> | <u>Amount</u> | <u>Change</u> |
| Cyber Security and Critical Infrastructure Coordination Program | 11,043,000 | 2,125,000 | 2,839,000 | 50,000 |
| Total | <u>11,043,000</u> | <u>2,125,000</u> | <u>2,839,000</u> | <u>50,000</u> |

| <u>Program</u> | <u>Nonpersonal Service</u> | |
|--|----------------------------|------------------|
| | <u>Amount</u> | <u>Change</u> |
| Cyber Security and Critical Infrastructure Coordination Program | 8,204,000 | 2,075,000 |
| Total | <u>8,204,000</u> | <u>2,075,000</u> |

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

| <u>Fund Type</u> | <u>Available</u> | <u>Recommended</u> | <u>Change</u> |
|---------------------------------|--------------------|--------------------|---------------------|
| | <u>2006-07</u> | <u>2007-08</u> | |
| Special Revenue Funds - Federal | 360,000,000 | 350,000,000 | (10,000,000) |
| Total | <u>360,000,000</u> | <u>350,000,000</u> | <u>(10,000,000)</u> |

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

| <u>Program</u> | <u>Available</u> | <u>Recommended</u> | <u>Change</u> |
|--|--------------------|--------------------|---------------------|
| | <u>2006-07</u> | <u>2007-08</u> | |
| Homeland Security Program Special Revenue Funds - Federal | 360,000,000 | 350,000,000 | (10,000,000) |
| Total | <u>360,000,000</u> | <u>350,000,000</u> | <u>(10,000,000)</u> |