

DEPARTMENT OF CORRECTIONAL SERVICES

MISSION

The Department of Correctional Services is responsible for the safe and secure confinement of convicted felons, and the preparation of these individuals for successful reintegration into the community upon release.

ORGANIZATION AND STAFFING

The Department oversees the nation's fourth largest state prison system. With a staff of approximately 31,500 employees, the Department currently operates 70 institutions, grouped within nine regional hubs. Each correctional facility is managed by a Superintendent, who reports to the Commissioner. More than 21,000, or 68 percent, of the Department's staff are security personnel, with remaining personnel primarily dedicated to the delivery of inmate programs, health services or facility operations. The Department also operates the Willard Drug Treatment Campus in Seneca County, in cooperation with the Division of Parole and the Office of Alcoholism and Substance Abuse Services.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

For 2007-08 the Department will be supported by appropriations of \$2.9 billion. Recommendations account for the current and projected prison population and support the safe and efficient operation of the state prison system.

The Department's \$2.6 billion in operating expenses will be supported primarily by State tax dollars, which comprise 94 percent of the Department's operating budget. Nearly \$37 million in Federal funds offset the State cost of housing illegal alien felons, inmate education and substance abuse treatment. Another \$79.7 million is authorized for the operation of the Correctional Industries program, an inmate vocational program which produces commodities for sale to government agencies. Finally, \$300 million will support the Department's capital program.

As in the past, the recommended budget assumes the Department will continue to undertake actions to generate operating efficiencies. This year, the Department expects to focus on pursuing energy efficiency improvement projects which reduce energy utilization, streamlining business offices and other administrative practices, and exploring new ways to deliver health services in a more cost-effective manner.

PROGRAM HIGHLIGHTS

Since peaking at 71,472 inmates in December 1999, the under-custody population has declined by over 8,000 inmates to a projection of approximately 63,400 under-custody inmates. Yet, the system continues to operate the same number of facilities.

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Therefore, the Governor recommends the creation of a commission to examine how much prison capacity is needed. The Governor seeks an open, transparent process in which to evaluate current prison capacity and consider recommendations for closures, while allowing the opportunity for stakeholder input. No closures are expected in 2007-08, as the commission requires time to deliberate.

The Governor also seeks to begin a debate regarding the criminal sanctions and sentencing structure in New York. A second commission will consider sentencing reform, under a timeline that could allow recommendations concerning sentencing structure to impact recommendations by the first commission concerning changes to prison capacity and potential prison closures.

While these long-term reforms get underway, the Department will take immediate action to improve their programs aimed at preparing inmates for release from prison. The Department will transform existing facilities into transition centers, aimed at giving inmates the tools they need to succeed when released. The work release program will be a key component of this effort, providing inmates with the opportunity, prior to release, to work in a job in the community.

The Department will also strengthen its partnership with the Division of Parole. The two agencies will closely coordinate their efforts to prepare inmates for their transition to the community. A thorough assessment will be made of the roles and responsibilities of the two agencies, to ensure that resources are invested in programs that result in the best outcomes for both inmates and the community. Further, the Department will work with the Division of Parole to relieve local jails of alleged parole violators awaiting a hearing, placing them either in State prison or under increased community supervision.

In concert with the Office of Mental Health, the Department will proceed with targeted investments to expand and enhance services to prisoners with mental illness, featuring the development of a specialized residential mental health program – as an alternative to a Special Housing Unit placement – specifically for those with persistent mental illness.

The Department will also continue to offer a wide range of programs for inmates, aimed at reducing recidivism, including vocational training, sex offender and substance abuse treatment, and aggression management.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2006-07	Appropriations Recommended 2007-08	Change	Reappropriations Recommended 2007-08
State Operations	2,730,181,000	2,609,712,000	(120,469,000)	36,800,000
Aid To Localities	6,000,000	6,000,000	0	6,000,000
Capital Projects	249,300,000	300,000,000	50,700,000	427,417,000
Total	<u>2,985,481,000</u>	<u>2,915,712,000</u>	<u>(69,769,000)</u>	<u>470,217,000</u>

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ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2006-07 Estimated FTEs 03/31/07	2007-08 Estimated FTEs 03/31/08	FTE Change
Administration			
General Fund	235	235	0
Special Revenue Funds - Federal	671	671	0
Enterprise Funds	11	11	0
Correctional Industries			
Internal Service Funds	474	474	0
Facilities Planning and Development			
Capital Projects Funds - Other	32	32	0
Health Services			
General Fund	1,946	1,972	26
Enterprise Funds	26	0	(26)
Program Services			
General Fund	3,272	3,314	42
Enterprise Funds	42	0	(42)
Supervision of Inmates			
General Fund	21,284	21,284	0
Support Services			
General Fund	3,574	3,521	(53)
Total	<u>31,567</u>	<u>31,514</u>	<u>(53)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2006-07	Recommended 2007-08	Change
General Fund	2,560,201,000	2,447,404,000	(112,797,000)
Special Revenue Funds - Federal	35,700,000	36,800,000	1,100,000
Special Revenue Funds - Other	850,000	1,450,000	600,000
Enterprise Funds	59,322,000	44,347,000	(14,975,000)
Internal Service Funds	74,108,000	79,711,000	5,603,000
Total	<u>2,730,181,000</u>	<u>2,609,712,000</u>	<u>(120,469,000)</u>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(375,518,000)		
Enterprise Funds	(276,000)		
Internal Service Funds	(2,863,000)		
Transfer(s) To			
Executive Chamber			
General Fund	40,000		
Appropriated 2006-07	<u>2,351,564,000</u>		

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>
Administration			
General Fund	27,517,000	27,314,000	(203,000)
Special Revenue Funds - Federal	35,700,000	36,800,000	1,100,000
Special Revenue Funds - Other	250,000	250,000	0
Enterprise Funds	2,082,000	2,682,000	600,000
Correctional Industries			
Internal Service Funds	74,108,000	79,711,000	5,603,000
Health Services			
General Fund	323,694,000	349,078,000	25,384,000
Enterprise Funds	2,613,000	0	(2,613,000)
Program Services			
General Fund	205,101,000	222,341,000	17,240,000
Special Revenue Funds - Other	100,000	100,000	0
Enterprise Funds	53,262,000	39,900,000	(13,362,000)
Supervision of Inmates			
General Fund	1,551,568,000	1,386,479,000	(165,089,000)
Support Services			
General Fund	452,321,000	462,192,000	9,871,000
Special Revenue Funds - Other	500,000	1,100,000	600,000
Enterprise Funds	1,365,000	1,765,000	400,000
Total	<u>2,730,181,000</u>	<u>2,609,712,000</u>	<u>(120,469,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2007-08 RECOMMENDED (dollars)

<u>Program</u>	<u>Total</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	15,663,000	193,000	15,549,000	154,000
Health Services	126,785,000	5,384,000	114,022,000	4,054,000
Program Services	175,811,000	4,817,000	159,308,000	3,920,000
Supervision of Inmates	1,361,452,000	(166,613,000)	1,281,017,000	(178,591,000)
Support Services	165,720,000	3,400,000	155,352,000	2,834,000
Total	<u>1,845,431,000</u>	<u>(152,819,000)</u>	<u>1,725,248,000</u>	<u>(167,629,000)</u>

<u>Program</u>	<u>Temporary Service (Nonannual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	0	0	114,000	39,000
Health Services	4,424,000	505,000	8,339,000	825,000
Program Services	12,537,000	620,000	3,966,000	277,000
Supervision of Inmates	15,071,000	7,895,000	65,364,000	4,083,000
Support Services	442,000	0	9,926,000	566,000
Total	<u>32,474,000</u>	<u>9,020,000</u>	<u>87,709,000</u>	<u>5,790,000</u>

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STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2007-08 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	11,651,000	(396,000)	503,000	(20,000)
Health Services	222,293,000	20,000,000	85,101,000	3,993,000
Program Services	46,530,000	12,423,000	12,362,000	2,440,000
Supervision of Inmates	25,027,000	1,524,000	12,210,000	1,290,000
Support Services	296,472,000	6,471,000	133,627,000	1,814,000
Total	601,973,000	40,022,000	243,803,000	9,517,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	446,000	(8,000)	7,844,000	(336,000)
Health Services	552,000	257,000	133,719,000	15,502,000
Program Services	703,000	223,000	30,067,000	8,768,000
Supervision of Inmates	4,057,000	583,000	8,002,000	1,328,000
Support Services	613,000	124,000	143,666,000	3,430,000
Total	6,371,000	1,179,000	323,298,000	28,692,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	858,000	(32,000)	2,000,000	0
Health Services	2,921,000	248,000	0	0
Program Services	3,398,000	992,000	0	0
Supervision of Inmates	758,000	(1,677,000)	0	0
Support Services	18,566,000	1,228,000	0	(125,000)
Total	26,501,000	759,000	2,000,000	(125,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2007-08 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	39,732,000	1,700,000	33,327,000	1,000,000
Correctional Industries	79,711,000	5,603,000	26,108,000	384,000
Health Services	0	(2,613,000)	0	(2,364,000)
Program Services	40,000,000	(13,362,000)	0	(2,462,000)
Support Services	2,865,000	1,000,000	0	0
Total	162,308,000	(7,672,000)	59,435,000	(3,442,000)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	2,105,000	600,000	4,300,000	100,000
Correctional Industries	53,603,000	5,219,000	0	0
Health Services	0	(249,000)	0	0
Program Services	40,000,000	(10,900,000)	0	0
Support Services	2,865,000	1,000,000	0	0
Total	98,573,000	(4,330,000)	4,300,000	100,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2006-07	2007-08	
General Fund	6,000,000	6,000,000	0
Total	6,000,000	6,000,000	0

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**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>
Support Services			
General Fund	6,000,000	6,000,000	0
Total	<u>6,000,000</u>	<u>6,000,000</u>	<u>0</u>

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Comprehensive Construction Program</u>	<u>Available 2006-07</u>	<u>Recommended 2007-08</u>	<u>Change</u>	<u>Reappropriations 2007-08</u>
Maintenance and Improvement of Existing Facilities				
Special Conservation Activities Account	3,000,000	0	(3,000,000)	3,000,000
Correctional Facilities Capital Improvement Fund	246,300,000	300,000,000	53,700,000	424,417,000
Total	<u>249,300,000</u>	<u>300,000,000</u>	<u>50,700,000</u>	<u>427,417,000</u>