

# ***EXECUTIVE BUDGET***

## ***FOUR-YEAR FINANCIAL PLAN***

### ***CONTENTS***

<b>INTRODUCTION</b> .....	3
<b>EXECUTIVE SUMMARY</b> .....	7
Overview .....	7
2005-06 Surplus Update .....	7
Out-year Gap Summary .....	8
2006-07 Gap-Closing Summary .....	9
Size of the 2006-07 Budget .....	11
Summary Economic Outlook .....	12
<b>HIGHLIGHTS</b> .....	17
2006-07 Through 2008-09 Gap .....	17
Detailed Multi-Year Gap Closing Plan .....	19
Spending Restraint .....	21
Fees/Fines .....	23
One-Time Resources .....	24
Spending Additions .....	25
Tax Cuts .....	26
2006-07 Annual Spending Change Summary .....	27
<b>2005-06 FINANCIAL PLAN UPDATE</b> .....	31
Current Year Revisions .....	32
Operating Results for First Nine Months of 2005-06 .....	34
<b>2006-07 FINANCIAL PLAN</b> .....	39
Introduction .....	39
2006-07 Receipts Forecast .....	39
2006-07 Disbursements Forecast .....	44
Public Health .....	47
K-12 Education .....	56
Higher Education .....	62
Social Services .....	64
Children and Family Services .....	68
Mental Hygiene .....	70
Transportation .....	72

General State Charges .....	74
Debt Service .....	75
All Other .....	77
<b>GENERAL FUND FINANCIAL PLAN OUT-YEAR PROJECTIONS .....</b>	<b>81</b>
Revisions to Gap Forecast Since Mid-Year .....	81
Executive Budget Impact on Gaps .....	82
Out-year Receipts Projections .....	83
Out-year Disbursement Projections .....	86
<b>2006-07 GOVERNMENTAL FUNDS FINANCIAL</b>	
<b>PLAN (FUND TYPE) .....</b>	<b>93</b>
General Fund .....	93
Special Revenue Funds .....	96
Capital Projects Funds .....	99
Debt Service Funds .....	102
<b>FINANCIAL PLAN RESERVES AND RISKS .....</b>	<b>107</b>
<b>CASH-FLOW .....</b>	<b>113</b>
<b>GAAP-BASIS FINANCIAL PLANS .....</b>	<b>117</b>
<b>HEALTH CARE REFORM ACT FINANCIAL PLAN .....</b>	<b>121</b>
Overview .....	121
HCRA Receipts .....	123
HCRA Disbursements .....	124
<b>HISTORICAL FINANCIAL PLAN DATA .....</b>	<b>129</b>
<b>FINANCIAL PLAN TABLES .....</b>	<b>145</b>
<b>SUPPLEMENTAL FINANCIAL PLAN INFORMATION .....</b>	<b>195</b>

***FOUR-YEAR  
FINANCIAL PLAN***



# ***FINANCIAL PLAN***

## ***INTRODUCTION***

This Financial Plan updates the State's budgetary projections for the 2005-06 through 2008-09 fiscal years, based on the recommendations in the Governor's Executive Budget for 2006-07 and revisions to the current-services forecast for receipts and disbursements.

The Financial Plan is intended to assist the Legislature and public in understanding the current operating forecast and the impact of the Governor's Budget on State finances over a multi-year period.

The Financial Plan is organized in six general sections:

- **Executive Summary:** A review of the impact of the 2006-07 budget on key fiscal performance measures, including current and projected operating results before and after the Executive Budget recommendations, the size of the budget, the causes of the initial 2006-07 gap, the solutions to close the gap, the impact on reserve levels, and an assessment of budgetary risks.
- **Highlights:** An in-depth review of the multi-year fiscal impact of the Governor's Budget recommendations.
- **Current and Budget Year Financial Plans:** A summary of the Division of the Budget's (DOB's) revised economic forecast, updated estimates for the 2005-06 fiscal year, and in-depth explanations of the 2006-07 receipts and disbursements projections by major tax category and function.
- **General Fund Out-year Projections:** Description of the General Fund Financial Plan projections for 2007-08 and 2008-09, including current-services estimates and the projected impact of 2006-07 recommendations.
- **Miscellaneous Reporting:** A range of Financial Plan information, including an analysis of potential risks to DOB's current estimates, and summaries of (a) the 2006-07 Financial Plan by fund type, (b) monthly General Fund cash flow projections for the remainder of 2005-06 and for 2006-07, (c) Financial Plan projections prepared in accordance with Generally Accepted Accounting Principles (GAAP), (d) receipts and disbursements estimates under the Health Care Reform Act (HCRA), and (e) historical and comparative Financial Plan information.
- **Financial Plan Tables:** The General Fund, State Funds, and All Governmental Funds cash-basis Financial Plans, the monthly General Fund cash flow, the General Fund Financial Plan on a GAAP basis, the quarterly HCRA cash flow, and General Fund, State Funds, and All Governmental Funds spending and workforce information presented by agency or function.

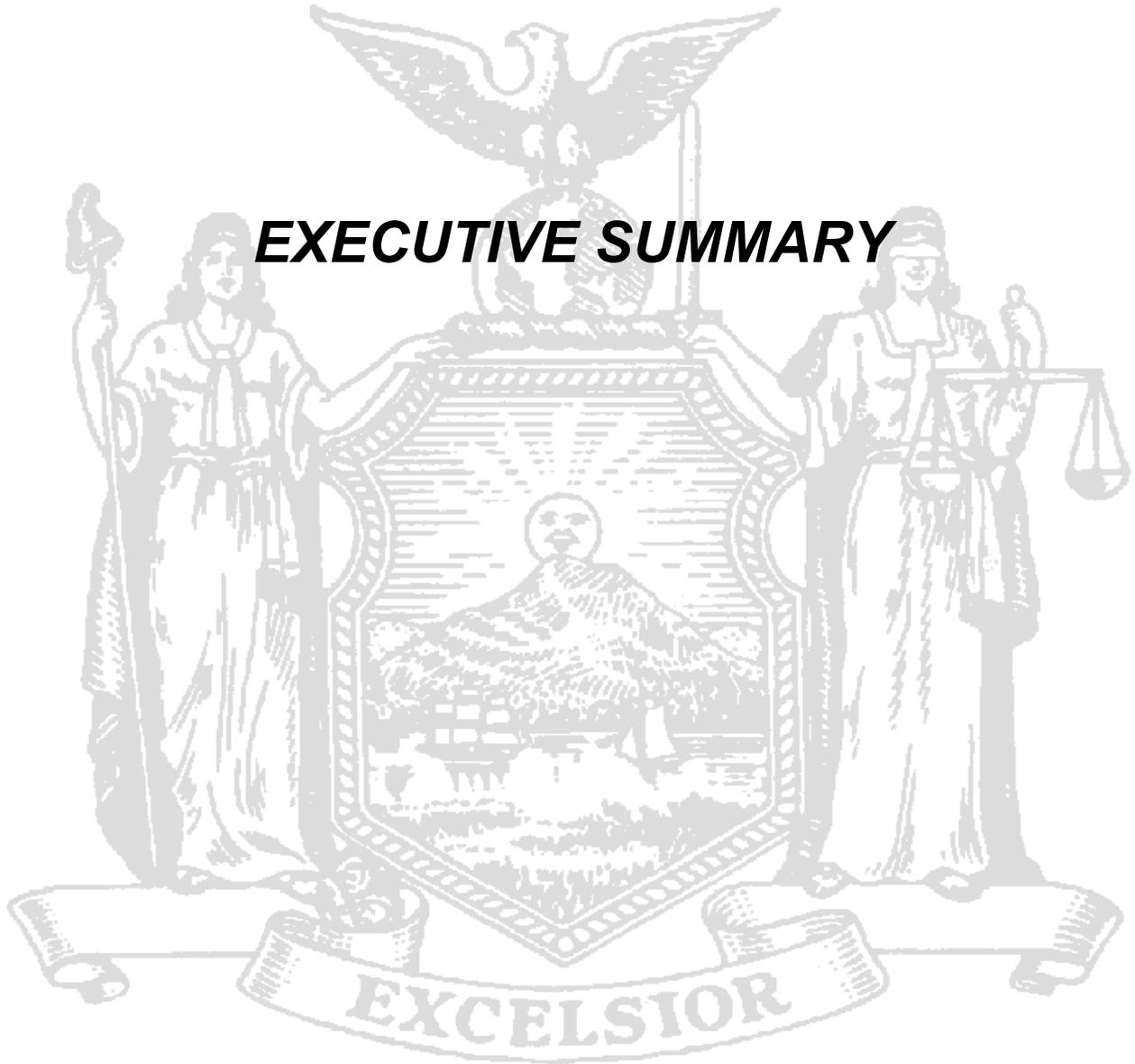
In addition, the appendix to this Financial Plan contains detailed financial tables and supporting material that in prior years were provided to the Legislature separately (in the "one-day package" and "ten-day package").

## ***FINANCIAL PLAN***

---

The entire 2006-07 Executive Budget, including this Financial Plan, is available on-line at [www.budget.state.ny.us](http://www.budget.state.ny.us) or by contacting the Division of the Budget, State Capitol, Albany, NY 12224, (518) 473-8705.

***EXECUTIVE SUMMARY***





**EXECUTIVE SUMMARY****BUDGET ENVIRONMENT HAS IMPROVED**

New York's economy has been growing since September 2003, a trend DOB expects will continue, albeit more modestly, over the four-year period of the State's Financial Plan (2005-06 through 2008-09). DOB now estimates underlying revenue growth of 11 percent in the current year (the second year in a row in which revenues have grown at this rate), and still-strong 8 percent growth in 2006-07. The State's multi-year budget outlook has shown a commensurate improvement. DOB projects a net General Fund operating surplus of \$2.0 billion in 2005-06 (the third consecutive year in which a surplus will have been recorded on both a budgetary and GAAP-basis of accounting) and has lowered its estimate of the budget gaps for the 2006-07 through 2008-09 period.

The Executive Budget recommendations fully balance the budget for the 2006-07 fiscal year, increase the level of fiscal reserves, and further reduce the out-year gaps. DOB believes the overall Financial Plan estimates are reasonable, but subject to a number of risks, including the performance of the State economy in general and the financial services sector in particular.

**2005-06 NET SURPLUS IS EXPECTED TO BE HIGHER**

The net General Fund surplus estimate of \$2.0 billion is approximately \$1.0 billion higher than projected in the Mid-Year Financial Plan. Strong growth in tax collections, particularly in business taxes and the personal income tax (PIT), has led DOB to raise its General Fund receipts forecast for the current year by \$1.4 billion. At the same time, projected General Fund disbursements have been revised upward by over \$300 million, mainly for Medicaid and transit aid payments now planned for 2005-06 rather than 2006-07. Aside from these transactions, spending trends for the State's major programs remain generally consistent with the Mid-Year forecast. More detailed information is provided in the section entitled "2005-06 Financial Plan Update" later in this Financial Plan.

<b>General Fund Operating Forecast For 2005-06 Has Improved Since Mid-Year Update (millions of dollars)</b>	
<b>Mid-Year Surplus Estimate</b>	<b>1,039</b>
<b>Increase/(Decrease) Since Mid-Year</b>	<b>952</b>
Increased Revenue Estimate	1,362
Increased Spending Estimate	(337)
Deposit to Rainy Day Reserve	(73)
<b>Current Surplus Estimate (Net)</b>	<b>1,991</b>

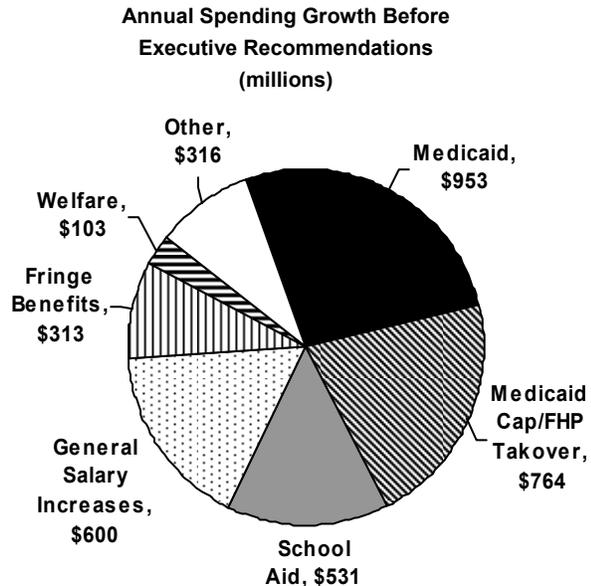
The Financial Plan includes a \$73 million deposit to the Tax Stabilization Reserve Fund (the "Rainy Day Reserve"), maintaining the balance at the statutory maximum level of 2 percent of spending (\$945 million). DOB projects the State will end the 2005-06 fiscal year with \$3.2 billion in General Fund reserves, consisting of \$945 million in the Rainy Day Reserve, \$21 million in the Contingency Reserve for litigation, \$2.0 billion in a spending

## FINANCIAL PLAN

stabilization reserve that will be funded with the 2005-06 surplus, and \$286 million set aside primarily for existing legislative initiatives. The spending stabilization reserve is recommended to be kept in reserve in 2006-07 and used in equal installments to help balance the 2007-08 and 2008-09 budgets.

### **BUDGET GAPS STILL REMAIN FOR 2006-07 THROUGH 2008-09 BUT AT LOWER LEVELS (PRIOR TO EXECUTIVE BUDGET RECOMMENDATIONS)**

Prior to the Executive Budget recommendations, DOB projected a potential imbalance of \$751 million in 2006-07 and gaps in the range of \$3 billion to \$4 billion in future years. While current-year results are favorable, the State's structural imbalance persists, although at levels greatly reduced from recent years. Left unchecked, spending would grow by \$3.6 billion in 2006-07, driven in part by the State cap on local Medicaid costs and the takeover of the local share of the Family Health Plus (FHP) program, both of which help relieve pressure on local property taxes. Health care inflation, school aid increases, State employee salaries and benefits, and the loss of one-time resources used to balance the 2005-06 budget also contribute to spending growth in 2006-07. Strong revenue growth is expected to more than compensate for the phase-out, effective January 1, 2006, of the temporary PIT surcharge imposed in the aftermath of September 11<sup>th</sup>, but is not enough to eliminate the gap.



<b>State Pick-up of Local Health Care Costs and Expiration of Tax Surcharge Contribute to Initial Budget Gaps*</b>			
	<b>GENERAL FUND (\$ Millions)</b>		
	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
<b>Initial Receipts</b>	<b>51,081</b>	<b>51,521</b>	<b>54,119</b>
<b>Initial Disbursements</b>	<b>49,647</b>	<b>52,917</b>	<b>55,597</b>
<b>Initial Budget Surplus/(Gaps)</b>	<b>1,434</b>	<b>(1,396)</b>	<b>(1,478)</b>
Medicaid Cap/Family Health Plus Takeover	(1,166)	(1,759)	(2,417)
Phase-out of PIT Surcharge	(1,019)	-	-
<b>Budget Gaps (Before Recommendations)</b>	<b>(751)</b>	<b>(3,155)</b>	<b>(3,895)</b>

\* Prior to Executive Budget recommendations. Initial receipts exclude the loss of PIT surcharge, and initial disbursements exclude cost of Medicaid cap/FHP takeover.

## **FINANCIAL PLAN**

As illustrated above, the Financial Plan estimates include the Medicaid cap and the takeover of FHP by the State, which provide \$1.2 billion in savings for local governments in 2006-07, and the PIT surcharge expiration, which will save taxpayers an estimated \$1.0 billion.

### **2006-07 GAP-CLOSING PLAN STRESSES SPENDING RESTRAINT**

The Executive Budget eliminates the entire potential imbalance in 2006-07 and reduces the budget gap projected for 2007-08 to less than \$1.9 billion. The Budget proposals address the structural imbalance by (a) restraining spending in the fastest-growing programs in the State Budget, particularly Medicaid, (b) setting aside the entire \$2.0 billion from the expected 2005-06 surplus to help reduce the potential gaps in 2007-08 and 2008-09, and (c) financing \$250 million in reserves that will be used in the future to lower State debt. The proposals also include significant new tax reductions and spending initiatives. The table below summarizes the multi-year fiscal impact of the 2006-07 Executive Budget recommendations.

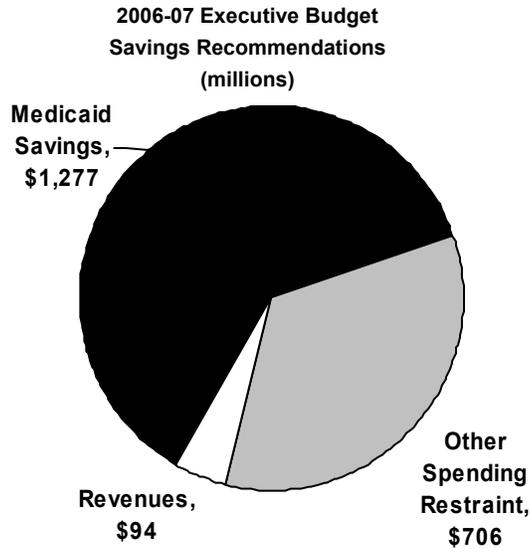
<b>General Fund Budget-Balancing Plan</b>				
(millions of dollars)				
	<b>GENERAL FUND (\$ Millions)</b>			
	<b>2005-06</b>	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
<b>Revised Surplus/(Gaps)</b>	<b>1,991</b>	<b>(751)</b>	<b>(3,155)</b>	<b>(3,895)</b>
Spending Restraint		1,983	3,136	3,338
Fines/Fees/Other		94	155	154
Spending Additions		(406)	(834)	(1,194)
Tax Policy Changes		(844)	(2,210)	(3,268)
Debt Reduction Reserve Deposit (One-Time)		(250)	0	0
Finance Debt Reduction Deposit (One-Time)		227	0	0
Spending Stabilization (Deposit)/Use	(1,991)	(53)	1,022	1,022
<b>Executive Budget Surplus/(Gaps)</b>	<b>0</b>	<b>0</b>	<b>(1,886)</b>	<b>(3,843)</b>

## **FINANCIAL PLAN**

---

Spending restraint constitutes the vast majority of the gap-closing plan of \$2.1 billion, before recommended spending additions, tax policy changes, and new reserves for debt reduction. Proposals to slow Medicaid growth account for over 60 percent of the total savings. Other initiatives to restrain spending include performance incentives for tuition assistance grants, flexibility for the State's public universities to raise tuition absent sufficient administrative cost-savings, and the imposition of stricter benefit limits for public

assistance families that fail to meet work participation requirements. The budget also includes proposals to maximize Federal aid and achieve savings in State agency operations. The Budget dedicates more than \$227 million in one-time resources (the entire amount of one-time actions proposed), consisting mainly of routine transfers of available cash balances from other funds, to help finance \$250 million to reduce debt. Fee increases are minimal. Absent the recommended tax reductions and spending initiatives described below, the gap-closing plan would produce a surplus in 2006-07 and a structurally-balanced budgets in 2007-08 and 2008-09 without the use of reserves.



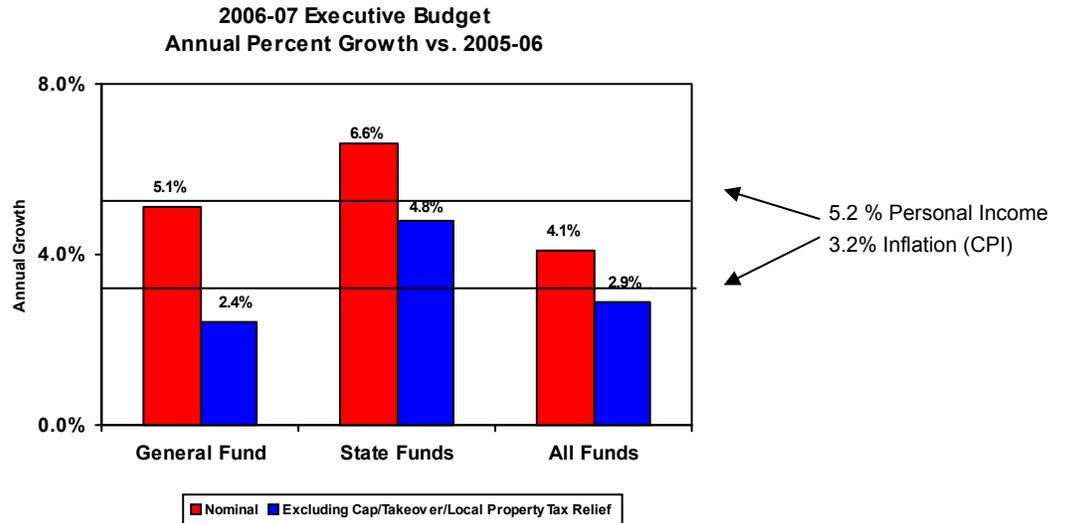
### **PROPOSED TAX REDUCTIONS AND SPENDING INITIATIVES**

To help address the combined State and local tax burden, the Executive Budget proposes a tax reduction program valued at over \$3.6 billion when it becomes fully effective. The package includes eliminating the “marriage penalty” for personal income taxpayers, increasing the tax relief provided to seniors through the School Tax Relief (STAR) program, a new STAR Plus program, reducing the top PIT rate to 6.75 percent, eliminating the estate and gift tax, authorizing an education tax credit for families with students enrolled in under-performing schools, and establishing an energy tax credit for senior citizens. The Executive Budget also recommends two sales-tax-free weeks on clothing purchases of up to \$250, rather than reverting to a full-year \$110 exemption as scheduled.

The Budget recommends increased spending for several programmatic initiatives. These include cost-of-living increases for mental hygiene service providers, funding to expand crime-fighting programs, incentive-based aid increases for local governments, and increased funding for the Environmental Protection Fund.

**IMPACT OF EXECUTIVE BUDGET RECOMMENDATIONS ON SPENDING**

The Executive Budget recommendations hold annual spending growth in the General Fund and All Governmental Funds (hereafter “All Funds”) to below the projected rate of inflation, after excluding the incremental cost of the State Medicaid cap, FHP takeover, and the new STAR Plus program, all of which provide local property tax and mandate relief. Total spending also grows more slowly than projected growth in personal income.



Total Disbursements (millions of dollars)					
	2005-06 Revised	2006-07 Proposed	Annual \$ Change	Annual % Change	Adjusted % Change*
General Fund	47,233	49,638	2,405	5.1%	2.4%
State Funds	70,353	74,998	4,645	6.6%	4.8%
All Funds	106,374	110,744	4,370	4.1%	2.9%

\* Excludes \$764 million for the MA Cap/FHP Takeover and \$530 million for the STAR Plus program.

**PROJECTED 2006-07 YEAR-END BALANCES**

DOB projects the State would end the 2006-07 fiscal year with a General Fund balance of \$3.8 billion (7.6 percent of spending) if the Legislature enacts the Executive Budget recommendations in their entirety. The balance consists of \$1.0 billion in undesignated reserves and \$2.8 billion in reserves designated to finance existing or planned commitments. The projected closing balance is \$528 million above the level estimated for 2005-06.

The undesignated reserves consist of \$945 million in the State’s Rainy Day Reserve, which has a balance that is at the statutory maximum of 2 percent, and \$21 million in the Contingency Reserve for litigation risks. The designated reserves include \$275 million for potential labor settlements with unions that have not yet reached agreements in the current

## ***FINANCIAL PLAN***

---

round of contracts, \$236 million in the Community Projects Fund to finance existing legislative and gubernatorial initiatives, \$2.0 billion from the 2005-06 surplus that is planned to be used in equal amounts to lower the projected 2007-08 and 2008-09 budget gaps, and \$250 million for debt reduction.

### ***RISKS TO THE FINANCIAL PLAN***

In any year, the Financial Plan is subject to risks that, if they were to materialize, could affect operating results. A full discussion of risks to the State budget appears in the section entitled “Reserves and Risks,” later in this Financial Plan.

In response to the Campaign for Fiscal Equity (CFE) litigation concerning the State’s system for financing public schools, the Executive Budget recommends a \$634 million school aid increase for the 2006-07 school year. Of this amount, \$375 million will be allocated pursuant to a plan to promote the provision of sound basic education (SBE) statewide, bringing total SBE aid to \$700 million in 2006-07. In 2006-07, the Governor is again proposing a plan that would provide SBE grants to school districts statewide that would be financed primarily with revenues generated from existing and future video lottery terminals (VLTs). Under the Governor’s plan, New York City would receive approximately 60 percent of the annual SBE aid. A ruling from the State Appellate Court is expected by June 2006 concerning the State’s appeal of the Trial Court’s order that directed the State to increase operating aid to New York City schools by \$5.6 billion over four years and provide \$9.2 billion in capital improvements.

The State is waiting for Federal approval to reestablish certain payments to public hospitals throughout the State, including those operated by the New York City Health and Hospital Corporation, the State University of New York (SUNY), and the counties. If these payments are not approved in 2005-06 and future years, the State’s health care financing system could be adversely affected.

### ***ECONOMIC OUTLOOK***

The national economic expansion is expected to continue through the forecast horizon, but with growth slowing modestly in 2006 and further still in the out years. DOB’s forecast expects the successful engineering of a “soft landing” by the Federal Reserve. Consistent with more moderate growth at the national level, the New York State economy is also expected to see continued, albeit slightly slower, growth in 2006 and beyond.

Although crude oil, gasoline, and natural gas prices have receded from their peak levels in the fall of 2005, higher energy prices have begun to filter through the rest of the economy, effectively behaving as a “tax” on household spending, and are thus expected to put downward pressure on growth during the fourth quarter of 2005 and beyond.

The nation’s housing sector, which has accounted for a substantial portion of economic growth in recent years, is finally revealing signs of a slowdown. The most recent data indicate slowing price growth, stalling new home sales, and a growing inventory of unsold homes. Nevertheless, underlying national economic activity remains sound, and the rebuilding of the areas most affected by the storms is likely to have a net positive effect on growth going forward. In addition, solid growth is projected for the global economy, and

following several years of strong profit growth, business sector conditions are favorable for continued expansion. Thus, the risks to consumption spending and the broader housing market are expected to be partially offset by export and business investment growth, as well as private and Federal government spending to rebuild the areas that were devastated by the summer's storms.

Given the recent increases in capacity utilization and a strengthening labor market — both signs of the economy's underlying strength — the extent to which inflationary expectations may be ratcheting upward remains a source of uncertainty going forward. Although consumer prices outside of the energy and food sectors have thus far shown only a modest boost from higher energy costs, there is evidence that some firms have begun to successfully pass higher costs on to their customers. As labor markets tighten, this pricing power could translate into added wage pressure, which in turn could motivate the Federal Reserve to raise interest rates higher than currently anticipated. At the same time, more subdued household sector spending will be a much weaker contributor to economic growth than it has been in recent years. Thus, we expect to be entering a regime of slower growth than experienced in 2004 and 2005. DOB projects real Gross Domestic Product growth of 3.3 percent in 2006, following growth of 3.6 percent in 2005.

Rising interest rates and a weakening housing market are expected to have strong implications for the New York State economy as well. A strong housing market and solid securities industry performance have been key drivers of economic activity in New York. The New York City tourism industry is operating at full capacity. In addition, strong corporate profits growth nationwide has not only fueled demand within the State's business services industries, but also spawned a build-up of cash reserves contributing to a wave of merger and acquisition activity that has spurred demand for finance industry services. Consequently, the State is estimated to have had above-average growth in private sector employment of 1.1 percent for 2005.

Much of the recent strength in the drivers of the New York State economy has stemmed from an extended period of low long-term interest rates, both here and abroad. All things being equal, rising interest rates imply both a reduction in the affordability of housing and lower future corporate earnings. There is already anecdotal evidence that the extraordinary growth in home prices seen in recent years, particularly in the downstate regions, is coming to an end. These developments portend lower rates of State job growth for the coming year. For 2006, DOB projects total employment growth of 0.8 percent and private sector growth of 0.9 percent.



**HIGHLIGHTS**





**FINANCIAL PLAN HIGHLIGHTS**

**REVISIONS FROM THE MID-YEAR FORECAST REDUCE THE GAPS**

Since the Mid-Year Update, DOB has revised its receipts and disbursements forecasts for 2006-07 and 2007-08 and calculated an estimate of the 2008-09 gap. The change in the gaps reflect stronger revenue performance, updates to current services estimates, and timing-related adjustments.

<b>Revisions to Operating Gaps Since the Mid-Year Update (Prior to Executive Budget Recommendations)</b>			
	<b>GENERAL FUND (\$ Millions)</b>		
	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09 *</b>
<b>Mid-Year Receipts</b>	<b>48,771</b>	<b>50,657</b>	
<b>Mid-Year Disbursements</b>	<b>51,859</b>	<b>55,608</b>	
<b>Use of Fund Balances</b>	<b>542</b>	<b>543</b>	
<b>Mid-Year Budget Gaps</b>	<b>(2,546)</b>	<b>(4,408)</b>	
Revenue Increases	1,544	1,000	
Spending Decreases	771	772	
Exclusion of Spending Stabilization Reserve **	(520)	(519)	
<b>Budget Gaps (Before Recommendations)</b>	<b>(751)</b>	<b>(3,155)</b>	<b>(3,895)</b>

\* 2008-09 gap estimate is published for the first time in this Financial Plan.

\*\* The Mid-Year Update assumed the 2005-06 surplus would be used to lower the 2006-07 and 2007-08 gaps. To more clearly reflect the use of these resources, such amounts have been excluded for purposes of calculating the gaps prior to Executive Budget recommendations.

In the Mid-Year Financial Plan, DOB noted that there was a strong likelihood that revenues would exceed the levels forecasted at that time. With an additional two-and-one-half months of collections experience, DOB has increased its estimate of General Fund revenues for both 2006-07 and 2007-08, based on actual results to date and the strong underlying economy, the boost from financial services sector activity, and the strength in the real estate market.

The revised receipts projection assumes continued rapid growth in base receipts of 8 percent. The upward revision to General Fund receipts (including changes in fund balances) is \$1.4 billion. Tax revisions account for most of this revision, with the remainder of the growth split between miscellaneous receipts and Federal grants. A portion of this expected gain in receipts is due to events that have already occurred. The strong economy in 2005 will continue to drive large gains in receipts in early fiscal year 2006-07 as personal income taxpayers finalize payments on their 2005 liability. Receipts growth for the second half of 2006-07 is expected to slow reflecting the sunset of the temporary income tax surcharge, a modest cooling off of the economy, and a moderate decline in tax receipts associated with

## ***FINANCIAL PLAN***

---

the large gains in real estate prices in 2004 and 2005, as the housing market hits a plateau. Out-year growth in tax receipts, before the impact of recommended tax actions, is expected to grow at rates consistent with the mature stage of economic expansion, in the range of 5 percent to 6 percent.

General Fund spending has been lowered from the Mid-Year estimate by roughly \$770 million in each year. In both years, DOB has lowered its spending forecast for public assistance based on caseload activity (\$94 million growing to \$110 million), pension costs due in part to updated information from the Retirement Systems (\$80 million growing to \$93 million), public health spending based on experience to date (\$102 million to \$85 million), and the impact of the Federal “clawback” under the Medicare Part D prescription drug program, after discussions with Federal officials (\$140 million growing to \$167 million). It has also raised the estimate of lottery receipts expected to be available to finance general school aid (\$97 million in 2006-07 and \$74 million in 2007-08) and adjusted the school aid forecast (\$30 million growing to \$172 million). Higher spending for the Judiciary based on its budget request, including judicial pay raises, partially offsets the reductions (roughly \$135 million annually). In addition, 2006-07 costs are reduced from earlier projections for the payment of certain Medicaid and transit expenses in 2005-06 rather than 2006-07 (\$295 million), and a one-year delay in the receipt of hospital assessment revenues available to support General Fund spending (\$106 million).

### ***CAUSES OF THE 2006-07 GAP (“ZERO-BASED” PERSPECTIVE)***

The 2006-07 gap results mainly from anticipated spending increases to support current service levels and the loss of non-recurring resources used to help balance the budget in 2005-06, which together exceed annual growth in underlying revenues.

The following chart provides a “zero-based” look at the sources of the 2006-07 gap. It is followed by a brief summary of the assumptions behind the projections. For a detailed explanation of the specific assumptions supporting the revenue and spending projections, see the sections entitled “2006-07 Financial Plan” and “General Fund Financial Plan Financial Plan Projections” for 2007-08 and 2008-09 later in this Financial Plan.

<b>2006-07 Causes of Budget Gaps -- Before 2006-07 Recommended Savings</b> (millions of dollars)		
<b>Current Services Revenue Growth</b>		<b>2,357</b>
Revenue Growth	4,462	
PIT Surcharge Phase-out	(1,019)	
Loss of One-time Actions	(531)	
Transfer for Higher Debt Service Costs	(263)	
STAR Fund Transfer	(163)	
Temporary Sales Tax	(129)	
<b>Current Services Spending Growth</b>		<b>(3,580)</b>
Medicaid	(953)	
State Takeover of Local Medicaid and FHP	(764)	
School Aid	(531)	
General Salary Increases	(600)	
Loss of One-time Actions	(358)	
Pensions	(116)	
Employee/Retiree Health Insurance	(197)	
All Other Spending Growth	(61)	
<b>Change in Fund Balances</b>		<b>472</b>
<b>2006-07 Budget Gap Before Recommendations</b>		<b>(751)</b>

Based on the revised DOB forecast that predicts a sustained economic recovery (described later in this Financial Plan and in the volume entitled “Economic and Revenue Outlook”), underlying revenues are expected to grow by over \$4.4 billion in 2006-07, or 8 percent, following projected base growth of 11 percent in 2005-06. However, this growth is offset by the phase-out of the PIT surcharge (\$1.0 billion), the loss of non-recurring revenues (\$531 million), and increasing costs for debt service and the STAR Program.

Prior to the Executive Budget recommendations, General Fund disbursements are projected to increase by \$3.6 billion in 2006-07. The main factors driving growth include higher spending to maintain current service levels in Medicaid, school aid, and other programs (\$1.6 billion); finance salary, pensions, and health insurance costs (\$913 million); the increased cost of the local Medicaid cap and State takeover of FHP costs (\$764 million); and the loss of non-recurring resources (\$358 million).

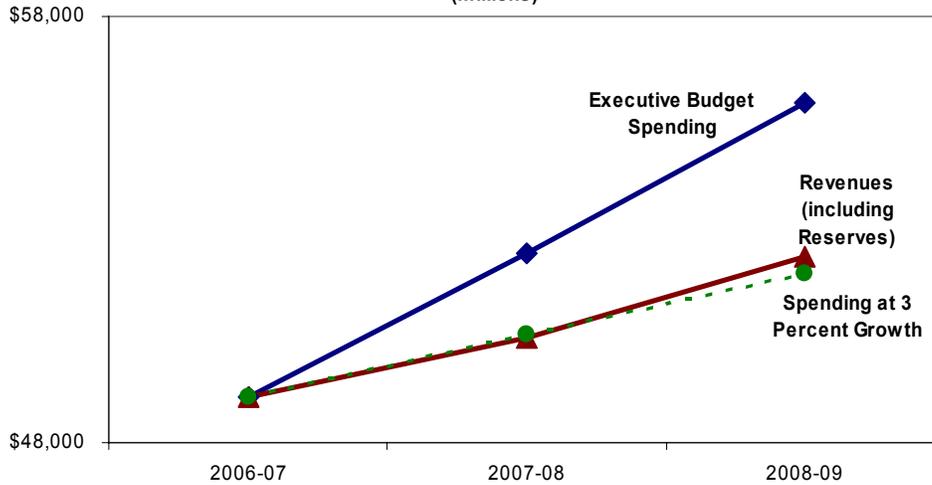
A full description of the one-time actions budgeted in 2005-06 appears in both the “2005-06 Enacted Budget Report for the State of New York” and the “2005-06 Annual Information Statement of the State of New York”. For a detailed analysis of current services spending growth, see the section entitled “2006-07 Financial Plan” herein.

**2006-07 GAP-CLOSING PLAN (DETAILED SUMMARY)**

The 2006-07 Executive Budget Financial Plan is balanced on a cash basis in the General Fund. In 2007-08, the recommendations, including the use of reserves, generate \$1.3 billion in net savings, leaving a gap of \$1.9 billion. In 2008-09, the annualized growth of proposed tax reductions and spending additions increases substantially, resulting in a net savings of less than \$100 million and a gap of \$3.8 billion.

# FINANCIAL PLAN

General Fund Projections -- 2006-07 through 2008-09  
After Recommendations  
(millions)



Before the Executive Budget recommendations, spending is projected to grow in the range of 7 percent to 8 percent annually over the next three fiscal years. The 2006-07 budget recommendations moderate the nominal rate of growth to 5 percent in 2006-07, and under 7 percent in 2007-08 and beyond from that lower base. If future budgets were to hold spending growth to roughly 3 percent, the structural budget gaps would be essentially eliminated. A more detailed analysis of all the major proposed spending changes is provided later in this Financial Plan.

General Fund Budget-Balancing Plan (millions of dollars)				
	GENERAL FUND (\$ Millions)			
	2005-06	2006-07	2007-08	2008-09
<b>Revised Surplus/(Gaps)</b>	<b>1,991</b>	<b>(751)</b>	<b>(3,155)</b>	<b>(3,895)</b>
Spending Restraint		1,983	3,136	3,338
Fines/Fees/Other		94	155	154
Spending Additions		(406)	(834)	(1,194)
Tax Policy Changes		(844)	(2,210)	(3,268)
Debt Reduction Reserve Deposit (One-Time)		(250)	0	0
Finance Debt Reduction Deposit (One-Time)		227	0	0
Spending Stabilization (Deposit)/Use	(1,991)	(53)	1,022	1,022
<b>Executive Budget Surplus/(Gaps)</b>	<b>0</b>	<b>0</b>	<b>(1,886)</b>	<b>(3,843)</b>

**Recommended Spending Restraint**

Recommendations to restrain spending focus heavily on the State’s Medicaid program, but also address a range of other programs and activities. The highlights are summarized below, with details provided later in this Financial Plan.

<b>General Fund Spending Restraint</b>			
(millions of dollars)			
	<u>2006-07</u>	<u>2007-08</u>	<u>2008-09</u>
Medicaid	1,277	2,322	2,365
Medicare Part D	246	405	442
Other Financing	176	403	322
Hospital Cost Containment	163	217	210
Nursing Home Cost Containment	233	340	278
Nursing Home Assessments at 6%	0	258	258
Pharmacy Cost Containment	172	213	227
Anti-Fraud Initiatives	151	240	370
Long-Term Care Cost Containment	41	105	114
Managed Care Cost Containment	29	52	55
All Other Medicaid Savings	66	89	89
Public Universities: Flexible Cost Reductions	111	131	131
Tuition Assistance: Performance/Eligibility Incentives	136	201	207
Mental Hygiene: Patient Income Account; Audit Recoveries	180	196	204
Welfare: "Full-family" Sanctions; Work Participation Incentives	39	102	102
Public Safety: Facility closure; State Operations Savings; Fund Shifts	75	54	55
Economic Development Reductions	22	22	22
Public Health	15	18	24
GSCs: Market Rate on Judgments; Taxes on Public Lands/Interest	21	24	24
DOT (Increase Dedicated Highway Fund Bonding)	0	0	140
All Other Spending Restraint (Including Federal Maximization)	107	66	64
<b>Spending Restraint</b>	<b>1,983</b>	<b>3,136</b>	<b>3,338</b>

**Medicaid:**

- Medicare Part D: The State’s “wrap-around” prescription drug coverage for people eligible for both Medicaid and Medicare would be continued until June 30, 2006. After July 1, 2006, “wrap around” coverage would be provided only for certain critical categories of drugs, including anti-retrovirals, anti-psychotics, and drugs for organ transplants.
- Other Financing: Savings from cost containment, a cigarette-tax increase that permits HCRA to finance costs that would otherwise be paid from the General Fund, and program reestimates help eliminate the need for a General Fund subsidy in 2006-07 and in future years.

## ***FINANCIAL PLAN***

---

- Hospitals: Rates would not be trended for inflation, payments for Graduate Medical Education would be calculated on actual cost experience, uncomplicated detoxification services would be reimbursed more appropriately, and special rates that encourage unnecessarily long stays would be eliminated.
- Nursing Homes: Rates would not be adjusted or include special increases for large facilities, and would be based on an actual Medicaid-only case mix, not a blended rate that includes higher-cost Medicare cases. The Budget also extends the reimbursable 6 percent assessment on nursing home revenues and imposes a number of smaller, technical adjustments to rates.
- Pharmacy: The reimbursement rate for pharmacies would be based on average wholesale prices less 30 percent for generic drugs and less 15 percent for brand name drugs, consistent with private sector plans; a panel of physicians and clinicians in the Department of Health (DOH) would be granted the right of final determination in cases where a physician prescribes a non-preferred drug for a patient that does not meet appropriate clinical criteria, consistent with the practice in other states with preferred drug programs. In addition, the drug review process for the Preferred Drug program would be strengthened, prior authorization would be required for early refills of most prescriptions, and payments eliminated for erectile-dysfunction drugs.
- Anti-Fraud: Stepped-up reviews of claims, clinical reviews, and credentials verification would be coupled with improved computerized detection.
- Long-Term Care: Tougher rules would be imposed on asset transfers, and a spouse with adequate assets would no longer be able to refuse to pay for care.
- Managed Care: Supplemental Security Income recipients would be enrolled in managed care and the guaranteed six-month eligibility for managed care and FHP would be eliminated.
- All Other: Savings are generated by, among other things, rate revisions and anti-fraud initiatives by mental hygiene agencies that draw on Medicaid funding and eliminating payment for certain services provided to “unqualified aliens.”

**Public Universities:** The State’s public universities would be authorized to increase tuition if administrative savings are insufficient to finance increased operating expenses.

**Tuition Assistance Program (TAP):** Full TAP awards would be provided to students carrying a course load of 15 credits or more, and first-time TAP recipients would be expected to meet more rigorous academic standards. In addition, academic standards would be strengthened to ensure TAP funds are invested in students who demonstrate the ability to complete college-level courses.

**Mental Hygiene:** Steps would be taken to increase Patient Income Account revenues that can be used to finance General Fund spending (\$126 million). Cost containment, including audit recoveries, overtime controls, and local program reductions, also generates savings (\$54 million).

**Welfare:** If a head of household repeatedly refuses to meet mandatory work requirements, his or her family’s entire public assistance benefit would be reduced. Also, it is proposed that Supplemental Security Income benefits be factored into the calculation of public assistance benefits.

**Public Safety:** The closure of Camp Pharsalia and its transfer to the Office of Mental Health to develop a facility for sexually violent predators, as well as continued workforce management actions, generate savings.

**Economic Development Reductions:** Estimated disbursements for programs would be reduced, certain legislative additions to the 2005-06 budget would be eliminated, and money available for the redevelopment and retention of military bases would be decreased.

**Public Health:** Certain non-essential programs would be modified or eliminated and spending controls on overtime and temporary services would be put in place.

**General State Charges (GSCs):** The interest rate on judgments against the State would be calculated using market rates, not a pre-defined, above-market rate of 9 percent (\$7 million). In addition, use of interest earnings to lower health insurance costs (\$8 million) and adjustments to the calculation of taxes on public lands (\$3 million) generate savings.

**All other spending actions:** Local assistance programs for criminal justice services would be financed from a Special Revenue Fund (\$49 million), funding for teacher centers would be reduced (\$20 million), State Operations savings would be expanded including enhanced “shared services” and a range of legislative additions from the 2005-06 budget would not be continued (\$30 million).

**Recommended Fees/Fines/Other**

<b>General Fund Fines/Fees/Other (millions of dollars)</b>			
	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
Automated Speed Enforcement Enhancement	42	84	84
Quick Draw	38	57	57
All Other Revenue	14	14	13
<b>Fines/Fees/Other</b>	<b>94</b>	<b>155</b>	<b>154</b>

The Budget includes measures that would raise an additional \$94 million in General Fund revenues from fines and fees. Measures include new speed enforcement fees from the cameras in work zones and dangerous stretches of highway across the State, and loosening certain restrictions concerning the Quick Draw lottery game. The revenue total excludes certain actions, such as the proposed cigarette tax increase and continued assessments on nursing homes, that benefit the General Fund Financial Plan by reducing spending (these are counted in the “spending restraint” total).

## ***FINANCIAL PLAN***

### ***Recommended One-Time Resources to Finance Debt Reduction***

<b>General Fund Non-Recurring Resources</b> (millions of dollars)			
	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
<b>Non-Recurring Resources</b>	<b>227</b>	<b>0</b>	<b>0</b>
Medicaid: Drug Rebate Revenue	72	0	0
Welfare: TANF Surplus; TANF Performance Bonus	69	0	0
Public Health: Maximize Federal Reimbursement	30	0	0
Federal World Trade Center Reimbursement	12	0	0
Higher Education Services: Fund Balance	20	0	0
Sale of Surplus Property	20	0	0
All Other Non-Recurring Resources	4	0	0
<b>Debt Reduction</b>	<b>(250)</b>	<b>0</b>	<b>0</b>
<b>Net Non-Recurring Actions</b>	<b>(23)</b>	<b>0</b>	<b>0</b>

The State typically uses some non-recurring resources each year to support its operations. In 2006-07, the Budget recommends using all the non-recurring resources to finance a \$250 million deposit into the Debt Reduction Reserve Fund to reduce debt levels. The one-time resources consist mostly of fund balances and unanticipated receipts, including:

- Available drug rebate revenues to offset Medicaid costs that would otherwise be paid by the General Fund;
- Available resources from Federal Temporary Assistance for Needy Families (TANF) block grants to finance a portion of the Earned Income Tax Credit that would otherwise be paid by the General Fund;
- Federal reimbursement for various Medicaid-related activities including anti-fraud activities and monitoring care in hospitals and nursing homes (\$30 million); and
- Routine sweeps of fund balances, increasing Federal aid, and projected asset sales account for the balance of the non-recurring actions.

### ***Recommended Spending Stabilization Reserve***

The Executive Budget recommends reserving the entire \$2.0 billion 2005-06 surplus and the planned \$53 million operating surplus from 2006-07. The Budget recommends that the reserve be set aside through the end of 2006-07, and then used in equal installments to help balance the 2007-08 and 2008-09 budgets.

<b>Spending Stabilization Reserve</b> (millions of dollars)				
	<b>2005-06</b>	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
Spending Stabilization Reserve (Deposit)/Use	(1,991)	(53)	1,022	1,022

**Recommended Spending Additions**

<b>General Fund Spending Additions</b>			
<b>(millions of dollars)</b>			
	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
Local Government Assistance: AIM; SMSI; Erie County Authority	72	122	167
Public Health: Grants; Special Projects	46	79	93
Mental Hygiene: "NY-NY III"; Suicide Prevention; Autism; COLA	60	148	206
Public Universities: Community College Aid; PACT	26	50	69
Medicaid: Community-based initiative; Rebase NH rates; Staffing	30	111	192
Public Safety: New Troopers; DNA testing; Operation IMPACT	47	43	60
Increase Environmental Protection Fund	30	30	30
Welfare: Increase Local Administration Fund; Strengthen Families/Fathers	17	56	60
Foster Care and Adoption COLA; Program Enhancements	14	35	48
Education: STEP/CSTEP; Capital	10	13	10
School Aid: Flex Aid 2 Percent Bonus	0	56	136
Debt Service on New Capital Spending	2	16	36
All Other Spending Additions	52	75	87
<b>Spending Additions</b>	<b>406</b>	<b>834</b>	<b>1,194</b>

The Executive Budget proposes new spending initiatives, the largest of which would increase aid to local governments and finance a cost-of-living increase for several classes of social service providers. Specific proposals include:

- Enhanced aid for municipalities and planning grants to improve local management (\$62 million in 2006-07 growing to \$167 million by 2008-09) and accelerated aid for the Erie and Buffalo control boards (an additional \$10 million in both 2006-07 and 2007-08).
- Funding for childhood obesity prevention, health laboratories, a regional perinatal monitoring data system, and higher local public health reimbursement.
- New spending for mental hygiene programs include bed development for the homeless under NY/NY III, suicide prevention/awareness, children’s mental health, geriatrics, and autism. In addition, spending is recommended for increased staffing and a cost-of-living increase based on the Consumer Price Index, estimated at 2.5 percent, for not-for-profit providers.
- Increased aid for community colleges and new funding for the Empire Innovation Program.
- Community-based initiatives to promote cost-effective and quality long-term care services, updating nursing home rates based on 2003 experience, and additional staffing to implement cost containment recommendations.
- School aid recommendations provide a 2 percent State flex-aid bonus to schools that control increases in spending growth.
- More resources to fight crime through the use of DNA technology and new investigators to combat gun trafficking.

Other spending additions include cost-of-living adjustments for not-for-profit human service providers, an increase in state reimbursement for local welfare administrative costs, spending for Empire State Plaza reconstruction and repair, funding for education-related programs, and debt service costs from new bond-financed capital projects.

## ***FINANCIAL PLAN***

---

### ***Proposed Tax Reductions***

<b>Recommended Tax Policy Changes - General Fund (millions of dollars)</b>			
	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
STAR Plus \$400 Rebate	(530)	(580)	(625)
STAR Seniors	(72)	(91)	(112)
Eliminate Marriage Penalty	(125)	(475)	(400)
Reduce Top PIT Rate from 6.85 % to 6.75%	0	(325)	(475)
Stretch PIT Tax Brackets and Rate Recapture	0	(325)	(475)
Primary and Secondary Education Credit	0	(400)	(400)
Eliminate Estate and Gift Tax	0	(152)	(329)
Business Taxes	(86)	(247)	(786)
Energy Assistance	0	(185)	(60)
All Other	(10)	(35)	(211)
Sales Tax on Clothing (2 weeks at \$250 vs. Permanent \$110)	(21)	605	605
<b>Total Tax Policy Changes</b>	<b>(844)</b>	<b>(2,210)</b>	<b>(3,268)</b>

The 2006-07 Budget recommends a comprehensive program to reduce State and local tax burdens. The major components of the program include:

#### ***Local Property Tax***

- Providing STAR Plus rebates of \$400 for qualified taxpayers in school districts that control increases in school budgets; and,
- Providing a cost of living adjustment to the enhanced STAR exemption for seniors.

#### ***Personal Income Tax***

- Eliminating the remaining marriage penalty by increasing the standard deduction for married taxpayers and doubling the threshold where the rate table recapture applies;
- Reducing the top tax rate to 6.75 percent from 6.85 percent;
- Increasing the income threshold where the top income tax rate applies from \$40,000 to \$60,000 for married taxpayers and from \$20,000 to \$30,000 for single taxpayers;
- Providing a primary and secondary education credit for qualified expenses for students residing in under-performing school districts;
- Providing a refundable credit to seniors for increased energy bills;
- Allowing a credit for the purchase or upgrade to a more energy-efficient home heating system; and,
- Providing a credit for the rehabilitation of historic homes.

#### ***Business Taxes***

- Simplifying and reforming the corporate and bank tax by eliminating the alternative minimum and capital bases;
- Reducing the top corporate tax rate to 6.75 percent (consistent with the proposed top income tax rate);
- Allowing the immediate expensing of capital investments in New York;

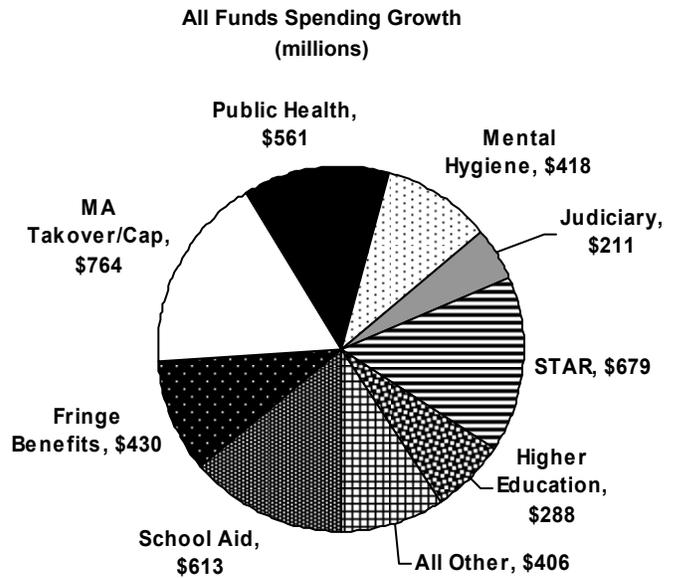
- Eliminating the S-corporation differential tax rate;
- Authorizing new economic development zones tied to the Centers for Excellence;
- Making permanent and increasing the annual allocation for the film credit program; and,
- Reducing taxes imposed on certain life insurance companies.

**Other Actions**

- Eliminating the estate tax by gradually increasing the exemption threshold and then eliminating the tax;
- Providing an increased vendor allowance for businesses remitting the sales tax to compensate them for the cost of compliance; and,
- Providing a two-week sales tax exemption for clothing purchases up to \$250 instead of reverting to a permanent \$110 exemption.

**BUDGET IMPACT ON SPENDING GROWTH FOR MAJOR PROGRAMS**

General Fund spending, including transfers to other funds, is projected to total \$49.6 billion in 2006-07, an increase of \$2.4 billion over the current year forecast (5.1 percent; 2.4 percent excluding Medicaid takeover and the STAR Plus program). State Funds spending, which includes both the General Fund and spending from other funds supported by State revenues, is projected to increase by \$4.6 billion (6.6 percent; 4.8 percent without MA takeover and STAR Plus) and total \$75 billion in 2006-07. All Funds spending, the broadest measure of spending, is projected to total \$110.7 billion in 2006-07, an increase of \$4.4 billion (4.1 percent; 2.9 percent without Medicaid takeover and STAR-Plus).



The 2006-07 Financial Plan includes \$764 million in spending growth related to the State cap on local Medicaid costs and the takeover of the entire FHP program, and \$530 million for a recommended \$400 STAR Plus rebate. To provide a comparable basis for calculating annual growth, the 2006-07 estimates in this Financial Plan are presented on both an “adjusted” (i.e., excluding the incremental growth in State spending for these items) and an unadjusted basis.

## FINANCIAL PLAN

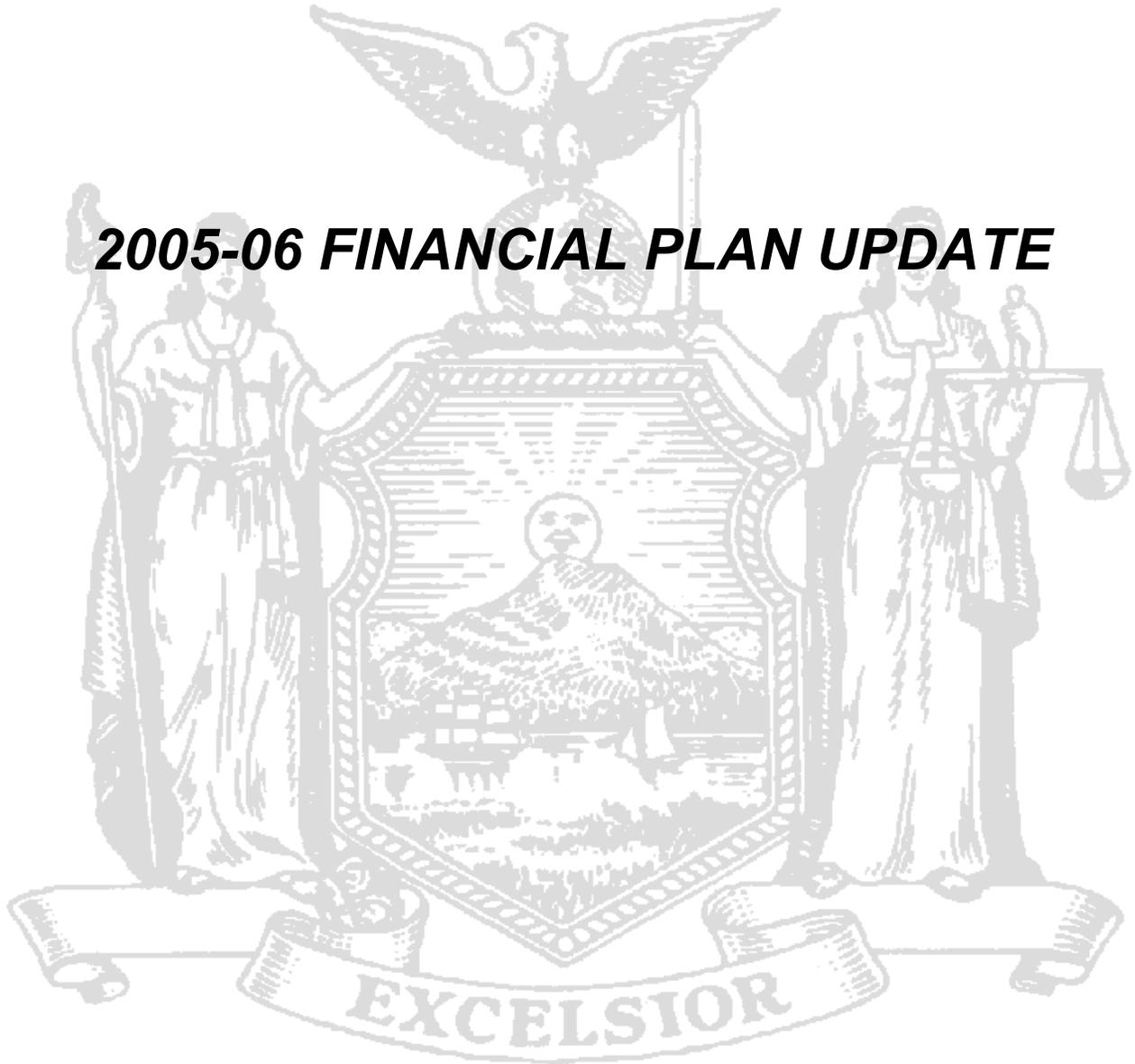
Total Disbursements (millions of dollars)					
	2005-06 Revised	2006-07 Proposed	Annual \$ Change	Annual % Change	Adjusted % Change*
General Fund	47,233	49,638	2,405	5.1%	2.4%
State Funds	70,353	74,998	4,645	6.6%	4.8%
All Funds	106,374	110,744	4,370	4.1%	2.9%

\* Excludes \$764 million for the MA Cap/FHP Takeover and \$530 million for the STAR Plus program.

The major sources of annual spending from 2005-06 to 2006-07 are presented in the table below, and are explained in more detail later in the “2006-07 Financial Plan” section. The estimates assume the Executive Budget recommendations are approved in their entirety.

Disbursement Projections -- After Recommended Savings Major Sources of Annual Change (millions of dollars)			
	General	State	All
<b>2005-06 Revised Estimate</b>	<b>47,233</b>	<b>70,353</b>	<b>106,374</b>
Medicaid Takeover	764	764	764
STAR/STAR Plus	530	679	679
School Aid	494	577	613
General State Charges	341	405	430
Mental Hygiene	319	445	418
Judiciary	206	211	211
Corrections	105	116	90
Public Health	31	513	561
Debt Service	4	395	395
Transportation	(90)	210	185
Higher Education	(109)	281	288
All Other	(190)	49	(264)
<b>2006-07 Executive Budget Estimate</b>	<b>49,638</b>	<b>74,998</b>	<b>110,744</b>
<i>Dollar Change</i>	<i>2,405</i>	<i>4,645</i>	<i>4,370</i>
<i>Percent Change</i>	<i>5.1%</i>	<i>6.6%</i>	<i>4.1%</i>
<i>Percent Change Excluding Cap/Takeover/STAR Plus</i>	<i>2.4%</i>	<i>4.8%</i>	<i>2.9%</i>

**2005-06 FINANCIAL PLAN UPDATE**





**2005-06 FINANCIAL PLAN UPDATE**

The Executive Budget Financial Plan is the third quarterly update to the Enacted Budget Financial Plan. The following describes the substantive revisions to Financial Plan estimates for the current year since the issuance of the last update to the Financial Plan in October 2005. Please refer to the quarterly Financial Plans issued in July 2005 and October 2005 for detailed explanations of the earlier revisions.

DOB projects the State will end the 2005-06 fiscal year with a net \$2 billion surplus in the General Fund, after paying certain Medicaid (\$500 million) and mass transit (\$45 million) expenses in 2005-06 rather than 2006-07, and making the maximum annual contribution of \$73 million to the State's Rainy Day Reserve. As described below, the continued strength in revenues from 2004-05 levels is mainly responsible for the net surplus.

General Fund receipts, including transfers from other funds, are now projected at \$47.9 billion. DOB has revised the revenue estimate upward based on revenue collections to date and the strength of key economic indicators, both of which have exceeded expectations. Both personal income taxes and business taxes have shown robust growth, similar to the experience in prior economic expansions. Real estate-related tax collections have also exceeded projected levels, reflecting strength in home sales and mortgage refinancings.

General Fund disbursements, including transfers to other funds, are expected to total \$47.2 billion in 2005-06, an increase of \$337 million above the Mid-Year forecast. The most substantive revisions are due to timing, with the higher spending from the acceleration of Medicaid and mass transit payments noted above, offset in part by lower spending for labor settlements that were expected to occur in 2005-06 but are now budgeted for 2006-07.

## ***FINANCIAL PLAN***

### ***CURRENT-YEAR REVISIONS***

The following provides a summary of the substantive revisions to the current-year forecast since the Mid-Year Financial Plan.

<b>2005-06 General Fund</b>				
<b>Quarterly Financial Plan Revisions From Enacted Budget</b>				
<b>(millions of dollars)</b>				
	<b>First</b>	<b>Mid-Year</b>	<b>Executive</b>	<b>Total</b>
<b>Revenue Revisions</b>	<b>375</b>	<b>752</b>	<b>1,362</b>	<b>2,489</b>
<b>New Costs</b>	<b>(296)</b>	<b>(393)</b>	<b>(337)</b>	<b>(1,026)</b>
Medicaid Prepayment of 2006-07 Costs		(250)	(250)	(500)
Medicaid	(68)	(189)	(88)	(345)
Debt Service	(33)	(13)	16	(30)
Timing of Collective Bargaining			197	197
Welfare		118		118
MTA Prepayment of 2006-07 costs			(45)	(45)
Energy Costs		(86)		(86)
State Police Collective Bargaining	(95)			(95)
All Other Changes	(100)	27	(167)	(240)
<b>Deposit to Rainy Day Reserve</b>			<b>(73)</b>	<b>(73)</b>
<b>Net Change: Favorable/(Unfavorable)</b>	<b>79</b>	<b>359</b>	<b>952</b>	<b>1,390</b>
<b>Spending Stabilization Reserve *</b>	<b>680</b>	<b>1,039</b>	<b>1,991</b>	<b>1,991</b>

\* Includes \$601 million on deposit at the time of the Enacted Budget.

**Revenue Revisions:** The gross upward revision to General Fund receipts is \$1.4 billion, a combination of \$1.1 billion in tax receipts, \$144 million in miscellaneous receipts including \$130 million in unclaimed funds, offset by the payment of \$552 million in additional tax refunds from a reserve set aside for that purpose. Business tax estimates have been revised upward by nearly \$500 million, and the PIT by nearly \$400 million.

Base growth, adjusted for law changes, in tax receipts for fiscal year 2005-06 is estimated at 11 percent, the second consecutive year of double-digit growth in base receipts. Growth in the tax receipts base has benefited from several factors including: improvements in overall economic activity; the continued profitability and compensation gains of financial services companies; the continued rapid escalation in real estate market values; and the residual impact of temporary tax actions taken in 2003, especially with respect to high income taxpayers.

**Medicaid Prepayment of 2006-07 Costs:** In 2005-06, the General Fund will finance \$500 million in health-care costs (an increase of \$250 million since mid-year) that were originally expected to be paid from resources in the HCRA Financial Plan. In 2006-07, the HCRA Financial Plan will finance an equal amount of costs that would otherwise have been paid from the General Fund. The transaction has no net impact on HCRA or the General Fund over the two-year period.

**Medicaid:** Hospital assessment revenues (\$106 million) that were budgeted to lower General Fund spending in 2005-06 are now expected in 2006-07. In addition, DOB has lowered the estimated cost of the Medicare Part D "clawback" payment in the current year based on updated information from the Federal government (\$23 million), and added \$5 million to the Department of Health estimate for education and benefits coordination related to Part D. These combined changes result in a net increase of \$88 million.

**Debt Service:** A revised interest rate forecast and modest adjustments in the bond sale schedule account for the updated savings estimate.

**Metropolitan Transportation Authority (MTA) Prepayment of 2006-07 Costs:** The State's school fare subsidy (\$45 million) to the MTA is expected to be made in March rather than June 2006. The timing adjustment has no impact on aid levels to the MTA since it will still occur in the Authority's calendar 2006 fiscal year.

**Timing of Collective Bargaining:** Money set aside in 2005-06 for potential labor settlements with unions that have not yet reached agreements has been reallocated to 2006-07 as a reserve.

**All Other Changes:** The main revisions include a transfer of one-time resources to the VLT education account (\$130 million) to help finance the 2006-07 school-year SBE reserves and additional funding for the Low Income Home Energy Assistance Program (\$25 million).

**Fund Balances:** DOB projects the State will end the 2005-06 fiscal year with a General Fund balance of \$3.2 billion. The balance consists of \$1.0 billion in undesignated reserves and \$2.2 billion in designated reserves. The undesignated reserves consist of \$945 million in the Rainy Day Fund, after a maximum deposit of \$73 million in 2005-06, and \$21 million in the Contingency Reserve Fund. The designated reserves consist of \$2.0 billion in a spending stabilization reserve, which is planned for use in equal installments to help reduce the 2007-08 and 2008-09 budget gaps, and \$286 million in the Community Projects Fund that finances discretionary spending initiatives by the Legislature and Governor. The projected year-end balance is affected by the planned payment of an additional \$552 million in tax refunds before the end of the 2005-06 fiscal year, from a reserve established for that purpose, based on enhancements in tax processing.

**State Funds and All Funds Changes:** All Funds receipts estimates have been revised upward by \$634 million for fiscal year 2005-06. Tax receipts growth for fiscal year 2005-06 has significantly exceeded expectations and, as a result, All Funds tax estimates for fiscal year 2005-06 have been increased by \$759 million from the Mid-Year Update. The growth in tax receipts is offset by a downward revision in Federal grants of \$256 million.

State Funds spending is now projected to total \$70.4 billion in the current year, a decrease of \$115 million from the Mid-Year Financial Plan. Besides the timing adjustments for collective bargaining and the school fare payment described above, State Funds spending has been revised for the payment of \$200 million in transit aid in 2005-06 instead of 2006-07 for downstate systems, and downward revisions in other programs based on experience to date.

## **FINANCIAL PLAN**

All Funds spending in 2005-06 is now projected to total \$106.4 billion, a decrease of \$301 million from the Mid-Year Financial Plan. In addition to the State Funds revisions described above, DOB has lowered its estimate of Federal aid for education (\$185 million) based on claiming experience to date.

### **2005-06 CASH OPERATING RESULTS THROUGH DECEMBER 2005**

The following table summarizes actual results for the period from April 1, 2005 through December 31, 2005 compared to the estimates in the 2005-06 Enacted Budget, the 2005-06 Mid-Year Update, and actual results for the same nine-month period in 2004.

<b>General Fund (April 1, 2005 Through December 31, 2005)</b>					
<b>Actual Results vs. Projections</b>					
<b>(millions of dollars)</b>					
	<b>Enacted Budget*</b>	<b>Mid-Year</b>	<b>Actual Results</b>	<b>Actuals vs. Projections</b>	
				<b>Enacted</b>	<b>Mid-Year</b>
Receipts	32,442	33,186	32,778	336	(408)
Disbursements	32,242	32,349	31,777	465	572
Closing Fund Balance	2,746	3,383	3,547	801	164

*\*The Enacted Budget receipts and closing fund have been adjusted to reflect the inclusion of the Personal Income Tax Refund Reserve in the General Fund.*

### **Variance vs. Mid-Year Projections**

Actual General Fund receipts through December 2005 were \$408 million below Mid-Year projections, due primarily to the timing of personal income tax estimated payments.

Total General Fund disbursements through December 31, 2005 were \$572 million below the Mid-Year forecast due primarily to changes in timing for certain payments within the fiscal year. Lower spending for Grants to Local Governments (\$408 million) and General State Charges (\$115 million) comprise the majority of the variance. In local assistance, slower-than-anticipated payments for categorical grants to schools and other education programs (\$200 million), public health (\$50 million) and the tuition assistance program (\$39 million) account for a majority of the variance. The remaining variance is comprised of lower than expected spending in various agencies and programs. In General State Charges, earlier than expected payments by non-General Fund agencies which are used to offset General Fund fringe benefit costs resulted in lower General Fund costs.

The closing balance on December 31, 2005 was \$3.5 billion, an increase of \$164 million from the Mid-Year projection.

### **Variance vs. Enacted Budget Projections**

General Fund receipts through December 31, 2005 were \$336 million higher than the Enacted Budget projections due primarily to better than expected income tax results, unanticipated audit and compliance collections under the corporate franchise tax, and unanticipated increases in estate tax and real estate transfer tax collections.

Total General Fund disbursements through December 2005 were \$465 million below the Enacted Budget forecast, which is primarily attributable to lower spending for Grants to Local Governments (\$570 million) offset by higher transfers to other funds (\$117 million). Lower local assistance spending is largely due to a sharper-than-expected decline in welfare caseload (\$220 million), as well as slower-than-projected payments in various agencies and programs including public health (\$202 million) and the tuition assistance program (\$82 million). General Fund cash transfers to support the Court Facilities Incentive Aid Fund (\$46 million) and SUNY operations (\$32 million) occurred earlier than projected, accounting for the majority of the increase.

The closing balance on December 31, 2005 was \$3.5 billion, an increase of \$801 million from the projection published in the Enacted Budget.

**2005-06 Annual Change**

<b>Actual Results</b>			
<b>April 1 Through December 31</b>			
<b>(millions of dollars)</b>			
	<u>2004*</u>	<u>2005</u>	<u>Increase</u>
Receipts	30,342	32,778	2,436
Disbursements	30,127	31,777	1,650

*\*Receipts through December 31, 2004 have been adjusted to reflect the inclusion of the Personal Income Tax Refund Reserve in the General Fund.*

General Fund receipts from April 1, 2005 through December 31, 2005 totaled nearly \$33 billion, an increase of \$2.4 billion from the same period in 2004. This increase is largely attributable to continued economic improvement in 2005, strong payments on 2004 and 2005 PIT liability and the continued strength in the real estate market. Taxes grew by nearly 10.2 percent over this period, recovering most of the loss of one-time resources discussed earlier.

General Fund disbursements through December 31, 2005 were \$31.8 billion, an increase of \$1.7 billion from the same period in 2004. Increases in aid payments for schools (\$703 million); fringe benefits, including health benefits and pensions (\$389 million); and operating expenses for State agencies, including energy (\$362 million) are responsible for the year-to-year growth in spending over the first nine months of 2005-06.



**2006-07 FINANCIAL PLAN**





**2006-07 FINANCIAL PLAN****INTRODUCTION**

This section describes the State's Financial Plan projections for receipts and disbursements based on the 2006-07 Executive Budget recommendations. The receipts forecast describes estimates for the State's principal taxes, miscellaneous receipts, and transfers from other funds. The spending projections summarize the annual growth in current services spending and the impact of Executive Budget recommendations on each of the State's major areas of spending (e.g., Medicaid, school aid, mental hygiene).

The 2006-07 Financial Plan projections are presented on an All Funds basis, which encompasses activity in the General Fund, other State-supported Funds, and Federal Funds, thus providing the most comprehensive view of the financial operations of the State.

**2006-07 RECEIPTS FORECAST**

Financial Plan receipts comprise a variety of taxes, fees, charges for State-provided services, Federal grants, and other miscellaneous receipts. The receipts estimates and projections have been prepared by DOB with the assistance of the Department of Taxation and Finance and other agencies concerned with the collection of State receipts. To the extent they are material, sources of receipts not referenced in this volume are discussed in the presentations of the agencies primarily responsible for executing the programs financed by such receipts. See the volume entitled, "Economic and Revenue Outlook" for detailed information on the economic and receipt projections underlying the Executive Budget.

<b>Total Receipts (millions of dollars)</b>				
	<b>2005-06</b>	<b>2006-07</b>	<b>Annual</b>	<b>Percent</b>
General Fund	47,930	50,166	2,236	4.7%
State Funds	71,748	73,618	1,870	2.6%
All Funds	107,973	109,724	1,751	1.6%

All Funds receipts are projected to total \$109.7 billion, an increase of \$1.8 billion over 2005-06 projections. The total comprises tax receipts (\$56.9 billion), Federal grants (\$36.1 billion) and miscellaneous receipts (\$16.8 billion). The following table summarizes the receipts projections for 2005-06 and 2006-07.

## **FINANCIAL PLAN**

<b>Receipt Projections -- After Recommended Savings</b>			
<b>Major Sources of Annual Change</b>			
<b>(millions of dollars)</b>			
	<b>General Fund</b>	<b>State Funds</b>	<b>All Funds</b>
<b>2005-06 Revised Estimate</b>	<b>47,930</b>	<b>71,748</b>	<b>107,973</b>
Personal Income Tax	1,827	2,586	2,586
User Taxes & Fees	173	831	831
Miscellaneous Receipts	117	(1,468)	(1,468)
All Other	119	(79)	(198)
<b>2006-07 Executive Budget Estimate</b>	<b>50,166</b>	<b>73,618</b>	<b>109,724</b>
<i>Dollar Change</i>	2,236	1,870	1,751
<i>Percent Change</i>	4.7%	2.6%	1.6%

Total General Fund receipts, including transfer from other funds, are projected at nearly \$50.2 billion, an increase of \$2.2 billion, or 4.7 percent from 2005-06 estimates. General Fund tax receipts growth is projected at 5.8 percent. General Fund miscellaneous receipts are projected to increase by 4.5 percent.

Total State Funds receipts are projected to be nearly \$74 billion, an increase of \$1.9 billion, or 2.6 percent, from 2005-06 estimated receipts.

Total All Funds receipts are expected to reach \$109.7 billion, an increase of \$1.8 billion, or 1.6 percent, from 2005-06 estimates. Tax receipts are projected to increase by \$3.4 billion or 6.2 percent. The majority of this increase is attributable to the expectation of continued economic expansion offset by the sunset of the personal income tax surcharge and the proposed tax reductions included with this Budget (net of receipt enhancements). Federal grants are expected to decrease by \$118 million, or less than 1 percent. Miscellaneous receipts are projected to decrease by nearly \$1.5 billion, or 8.1 percent due primarily to the loss of one-time receipts received in fiscal year 2005-06.

### **Personal Income Tax**

General Fund personal income tax receipts for 2005-06 are expected to total \$20.8 billion, a 10.9 percent increase over the prior year. Personal income tax receipts directly deposited to the General Fund are projected to reach \$22.7 billion in 2006-07, an increase of 8.8 percent.

All Funds income tax receipts for 2005-06 are estimated to reach nearly \$31 billion, an increase of \$2.9 billion, or 10.3 percent, from 2004-05. The increase is due primarily to continued strong growth in income, offset by the part-year impact of the elimination of the temporary surcharge on January 1, 2006. The All Funds income tax estimate is \$327 million higher than the Mid-Year Financial Plan, reflecting higher than anticipated withholding receipts. However, the \$552 million increase in the amount of 2005 income tax refunds set to be paid between January and March 2006 will result in an All Funds estimate that is \$235 million lower than the Mid-Year estimate.

All Funds PIT receipts for 2006-07 are projected to total \$33.6 billion, an increase of \$2.6 billion, or 8.3 percent, over 2005-06. This reflects continued strong growth in incomes for 2006 and the residual benefit of large tax year 2005 liabilities. These positive factors are offset in part by the expiration of the temporary income tax surcharge beginning in tax year 2006, and the partial impact of income tax reductions recommended with this Budget. The estimate is \$714 million above the Mid-Year estimate (holding law changes constant), due largely to better than anticipated results with respect to 2005 liability.

All Funds PIT receipts for 2007-08 are projected at \$33.6 billion, virtually unchanged from 2006-07 due to the nearly full impact of proposed income tax reductions. All Funds net receipts for 2008-09 are estimated at \$35.8 billion, \$2.2 billion, or 6.5 percent, above 2007-08, reflecting relatively strong liability growth offset somewhat by proposed law changes.

### ***User Taxes and Fees***

General Fund receipts for user taxes and fees expected to total \$8.6 billion in fiscal year 2005-06, a decrease of 1.1 percent from the prior year. The decrease largely reflects the sunset of the temporary sales tax surcharge offset by growth in the sales tax base. General Fund user taxes and fees receipts for 2006-07 are projected to reach \$8.8 billion, an increase of \$173 million, or 2 percent, from 2005-06. The sales tax is projected to increase \$170 million, or 2.1 percent. The low growth is due in part to the expiration of the one-quarter percent sales tax surcharge offset by modest base growth. Other user taxes and fees in the General Fund are projected to be virtually unchanged from 2005-06.

User taxes and fees collections for 2005-06 are estimated to be \$13.8 billion in All Funds, or 5.7 percent, above the 2004-05 total. This growth is primarily due to the inclusion of cigarette tax receipts which were not part of the All Funds budget prior to 2005-06. All Funds user taxes are projected to be approximately \$104 million greater in 2005-06 than was estimated in the Mid-Year Update, largely as the result of collection experience to date.

Receipts for 2006-07 are projected to reach \$14.6 billion, an increase of \$831 million, or 6 percent, from 2005-06. The sales and use tax is projected to reach \$11.5 billion, an increase of \$357 million, or 3.2 percent, from 2005-06. The sales tax base is expected to increase by 4.2 percent due largely to increases in employment, income and overall consumption. The increased revenue from the expanding base will be slightly offset by changing the two-week sales tax exemption on clothing costing less than \$110 and replacing it with an exemption for two specified tax-free weeks for clothing and footwear costing less than \$250. These gains will be modestly offset by providing new exemptions for specified "Energy Star" products and alternative fuel purchases as well as a proposed increase in the vendor credit. User taxes for 2006-07 are now projected to be \$111 million more than in the Mid-Year estimate (holding law changes constant).

Other user taxes and fees are projected to increase \$474 million (18.2 percent) from 2005-06. Changes are due to a recommended increase in the cigarette tax and new exemptions for purchases of alternative fuels.

## ***FINANCIAL PLAN***

---

All Funds user taxes and fees in 2007-08 are projected to increase by \$468 million, or 3.2 percent, with further growth of \$409 million or 2.7 percent in 2008-09. Growth is primarily related to positive economic trends and proposed changes in the sales tax clothing exemption.

### ***Business Taxes***

General Fund business taxes are expected to reach nearly \$5 billion in 2005-06, an increase of 22.2 percent. Business tax receipts deposited directly to the General Fund are projected to remain virtually unchanged in 2006-07, reflecting the factors described above.

Business tax receipts for 2005-06 are estimated to reach \$6.9 billion in All Funds, an increase of \$1.1 billion, or 19.2 percent, over the prior year. The increase is due primarily to significant growth in the corporate franchise tax (42 percent) and in the bank tax (28 percent). A decline in corporate utility taxes (6.7 percent) due to the final phase-in of tax cuts implemented in 2000 offsets the growth. Petroleum business and insurance taxes are expected to grow at rates of 3.8 percent and 5.2 percent, respectively. The 2005-06 estimates are \$561 million higher than estimated in the Mid-Year Financial Plan and reflect continued growth in underlying liability and unexpected gains in audit and compliance receipts from the corporate franchise and bank taxes.

All Funds receipts for 2006-07 are projected to increase by less than 1 percent, or \$44 million, over the prior year. The almost flat growth in taxes is attributable to the phase-in and implementation of recommended tax reductions included with this Budget, which will reduce 2006-07 receipts by \$176 million. The proposed reductions include restructuring the corporation and bank taxes by eliminating the alternative minimum taxes and capital/asset bases imposed on banks and corporations; eliminating the additional subsidiary tax and S corporation differential tax imposed on businesses; and reducing taxes imposed on certain life insurance companies. The 2006-07 projections for business taxes are \$213 million, or 3 percent, higher than projected in the Mid-Year Financial Plan. The net increase reflects continued growth in the corporate franchise tax, declines in certain insurance taxes, a moderation of growth in the bank tax, and the impact of the recommended reductions discussed above.

In 2007-08, All Funds business tax receipts are projected to increase \$161 million or 2.3 percent over the prior year. The increase reflects trend growth in business tax receipts, offset by the continued phase-in of tax reductions, including the recommended reduction in the tax rate imposed under the entire net income base from 7.5 percent to 6.75 percent.

All Funds business tax receipts for 2008-09 are projected to decline \$359 million, or by about 5 percent, over the prior year. The decrease reflects trend growth in business tax receipts, offset by the impact of the full implementation of tax reductions including the impact of allowing businesses to immediately deduct certain depreciable assets that, in combination, will reduce business tax receipts by \$926 million.

**Other Taxes**

All Funds receipts for other taxes are projected at \$1.8 billion in 2005-06, up \$168 million, or 10.1 percent, from 2004-05 receipts. Strong growth in real estate transfer tax receipts partially offset by decreases in estimates for most of the remaining taxes in this category account for the change. Other tax estimates are up \$306 million from the Mid-Year estimate. Receipts estimates for the estate tax and the real estate transfer tax have increased significantly, reflecting stronger-than-anticipated growth in collections from small estates and the strength of the downstate residential and commercial real estate markets.

In 2006-07, All Funds receipts for other taxes are projected at \$1.7 billion, down \$124 million, or 6.8 percent, from 2005-06 receipts, largely reflecting a \$130 million decrease in real estate transfer tax receipts due to the expected “cooling” of the downstate real estate market. Compared to the Mid-Year estimate, other taxes receipts projection is up \$123 million. The receipts projections for the estate tax and the real estate transfer tax have increased moderately, reflecting continued strength in receipts from small estates and higher real estate sales.

The All Funds receipts projection for other taxes is \$1.6 billion in 2007-08, down \$83 million (4.9 percent) from 2006-07 receipts. The recommended phase-out of the estate tax is partially offset by underlying growth in estate tax receipts. In 2008-09, other taxes are estimated at \$1.5 billion, down \$114 million or 7.1 percent from 2007-08 receipts, a change also due to estate tax changes.

**Miscellaneous Receipts**

In the General Fund, miscellaneous receipts include income derived annually from abandoned property, investment earnings, fees, licenses, fines, surcharges, patient income, and reimbursement income. In addition, miscellaneous receipts typically include certain non-recurring transactions. General Fund miscellaneous receipts are projected to total \$2.7 billion in 2006-07, an increase of more than \$100 million from the current year.

In State Funds, miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, and a variety of fees and licenses. Miscellaneous receipts in State Funds are projected to total \$16.8 billion in 2006-07, a decrease of \$1.5 billion from the current year. Proceeds from health care conversions, which the State uses to finance Medicaid and public health programs, are projected to decline from \$2.7 billion in 2005-06 to \$500 million in 2006-07. Annual growth in lottery revenues, including from VLTs (\$292 million) and in SUNY tuition increase and other revenue (\$311 million) partially offset this decline. Miscellaneous receipts in Federal funds are expected to remain stable.

**Federal Grants**

Federal grants help pay for State spending on Medicaid (\$19.3 billion), school aid (\$2.6 billion), welfare (\$2.7 billion), mental hygiene (\$2.7 billion), transportation (\$1.5 billion), other public health (\$1.2 billion), and other activities (\$6.1 billion). Annual changes to

## ***FINANCIAL PLAN***

Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically plans that Federal reimbursement will be received in the State fiscal year in which spending occurs, but timing sometimes varies.

Federal grants are projected to total \$36.1 billion in 2006-07, a decrease of \$118 million from the current year. Federal aid is expected to decline for Medicaid (\$552 million), Children and Family Services (\$329 million) and Criminal Justice Services (\$182 million). These decreases are partially offset by increased funding for homeland security (\$300 million), modernization of voting machines (\$208 million), and welfare (\$200 million). In most cases, the grant levels reflect projected changes in State spending levels and a corresponding change in estimated Federal reimbursement, not changes in aid levels for New York authorized by Congress.

### ***2006-07 DISBURSEMENTS FORECAST***

<b>Total Disbursements (millions of dollars)</b>					
	<b>2005-06 Revised</b>	<b>2006-07 Proposed</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>	<b>Adjusted % Change*</b>
General Fund	47,233	49,638	2,405	5.1%	2.4%
State Funds	70,353	74,998	4,645	6.6%	4.8%
All Funds	106,374	110,744	4,370	4.1%	2.9%

\* Excludes \$764 million for the MA Cap/FHP Takeover and \$530 million for the STAR Plus program.

In 2006-07, General Fund spending, including transfers to other funds, is projected to total \$49.6 billion. State Funds spending, which includes both the General Fund and spending from other funds supported by assessments, tuition, HCRA resources and other non-Federal revenues, is projected to total \$75.0 billion in 2006-07. All Funds spending, the broadest measure which includes Federal aid, is projected to total \$110.7 billion in 2006-07. The Financial Plan projections assume that the 2006-07 Executive Budget is enacted in its entirety. The adjusted annual percentage change for spending excludes the growth in State costs related to capping local Medicaid costs, taking over the entire cost of the Family Health Plus Program, and providing a recommended local property tax rebate called STAR Plus, all of which provide local tax and mandate relief.

The major sources of annual spending change between 2005-06 and 2006-07 (after Executive Budget recommendations) are summarized in the following table.

## FINANCIAL PLAN

Executive Budget Spending Projections -- After Executive Budget Recommendations					
Major Sources of Annual Change					
(millions of dollars)					
	General Fund	Other State Funds	State Funds	Federal Funds	All Funds
<b>2005-06 Revised Estimate</b>	<b>47,233</b>	<b>23,120</b>	<b>70,353</b>	<b>36,021</b>	<b>106,374</b>
<b>Major Functions</b>					
<i>Public Health:</i>					
Medicaid Cap/FHP Takeover	764	0	764	0	764
Medicaid	(214)	196	(18)	(552)	(570)
Public Health	31	482	513	48	561
<i>K-12 Education:</i>					
School Aid	494	83	577	36	613
All Other Education Aid	(9)	29	20	14	34
STAR	0	77	77	0	77
Enhanced STAR for Seniors	0	72	72	0	72
STAR Plus	530	0	530	0	530
Higher Education	(109)	390	281	7	288
<i>Social Services:</i>					
Welfare	12	0	12	200	212
Children and Family Services	107	5	112	(329)	(217)
Mental Hygiene	319	126	445	(27)	418
Transportation	(90)	300	210	(25)	185
General State Charges	341	64	405	25	430
Debt Service	4	391	395	0	395
<b>All Other Changes</b>					
Empire State Development Corp	(27)	147	120	0	120
Correctional Services	105	11	116	(26)	90
Judiciary	206	5	211	0	211
Local Government Aid	74	0	74	0	74
Elections	1	0	1	208	209
Criminal Justice Services	(22)	27	5	(183)	(178)
Homeland Security	(55)	0	(55)	300	245
All Other	(57)	(165)	(222)	29	(193)
<b>2006-07 Executive Budget Estimate</b>	<b>49,638</b>	<b>25,360</b>	<b>74,998</b>	<b>35,746</b>	<b>110,744</b>
<i>Annual Dollar Change</i>	2,405	2,240	4,645	(275)	4,370
<i>Annual Percent Change</i>	5.1%	9.7%	6.6%	-0.8%	4.1%
<i>Adjusted Annual Change excluding MA Cap/Takeover and STAR Plus</i>					
<i>Dollar Change</i>	1,111	2,240	3,351	(275)	3,076
<i>Percent Change</i>	2.4%	9.7%	4.8%	-0.8%	2.9%

The spending forecast for each of the State's major programs and activities follows. In general, the forecasts are described in two parts: the first describes the current services estimate for each functional area or activity; the second summarizes Executive Budget recommendations and the resulting annual change in spending.

Projected current services disbursements are based on agency staffing levels, program caseloads, formulas contained in State and Federal law, inflation and other factors. The factors that affect spending estimates vary by program. For example, welfare spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends,

## ***FINANCIAL PLAN***

projected economic conditions, and changes in Federal law. In correctional services, spending estimates are based heavily on estimates of the State's prison population, which in turn depends on forecasts of incarceration rates, release rates, and conviction rates. All projections account for the timing of payments, since not all the amounts appropriated in the budget are disbursed in the same fiscal year.

Major assumptions used in preparing the spending projections for the State's major programs and activities are summarized in the table below.

<b>Selected Fiscal Measures That Affect Spending Projections</b>					
	<b>Actual</b>	<b>Forecast</b>			
	<b>2004-05</b>	<b>2005-06</b>	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
<b>Economic Indicators</b>					
Base Revenue Growth	12.0%	11.2%	8.2%	5.3%	5.3%
Inflation Rate	3.0%	3.6%	2.8%	2.6%	2.6%
<b>Health</b>					
Medicaid Coverage (excl. FHP)	3,531,938	3,744,808	3,893,762	4,013,762	4,137,460
Medicaid Inflation	4.1%	3.9%	3.8%	3.7%	3.7%
Medicaid Utilization	3.2%	3.7%	3.8%	3.9%	4.0%
State Takeover of County/NYC Costs					
- Family Health Plus	\$60	\$289	\$528	\$573	\$573
- Medicaid Cap	N/A	\$113	\$638	\$1,186	\$1,844
<b>Education</b>					
K-12 Enrollment	2,850,124	2,836,099	2,805,871	2,805,871	2,805,871
Public Higher Education Enrollment (FTEs)	490,916	496,189	500,070	500,070	500,070
State Tuition Assistance Recipients	336,700	343,881	343,881	343,881	343,881
<b>Social Services</b>					
Family Assistance Caseload	485,500	455,700	441,400	419,200	414,800
Single Adult/No Children Caseload	140,200	144,200	141,700	133,100	132,800
<b>Mental Hygiene Community Beds</b>	<b>81,446</b>	<b>82,948</b>	<b>84,445</b>	<b>86,105</b>	<b>86,943</b>
<b>Prison Population</b>	<b>63,307</b>	<b>63,100</b>	<b>63,100</b>	<b>63,100</b>	<b>63,100</b>
<b>State Operations</b>					
Negotiated Salary Increases <sup>(1)</sup>	2.5%	2.75%	3.00%	0.0%	0.0%
Personal Service Inflation	0.8%	0.80%	0.80%	0.80%	0.80%
State Workforce	188,931	191,561	191,140	191,800	191,800
<b>General State Charges</b>					
Pension Contribution Rate	7.0%	8.8%	10.2%	9.3%	9.3%
Employee/Retiree Health Insurance Rate	13.5%	8.2%	8.5%	11.4%	11.1%
<b>State Debt</b>					
Interest on Variable Rate Debt	1.50%	2.65%	3.25%	3.25%	3.30%
Interest on 30-Year Bonds	5.00%	5.00%	5.65%	6.00%	6.15%

(1) Negotiated salary increases also include a recurring \$800 base salary adjustment effective April 1, 2007.

Current contracts are generally set to expire on March 31, 2007.

**PUBLIC HEALTH**

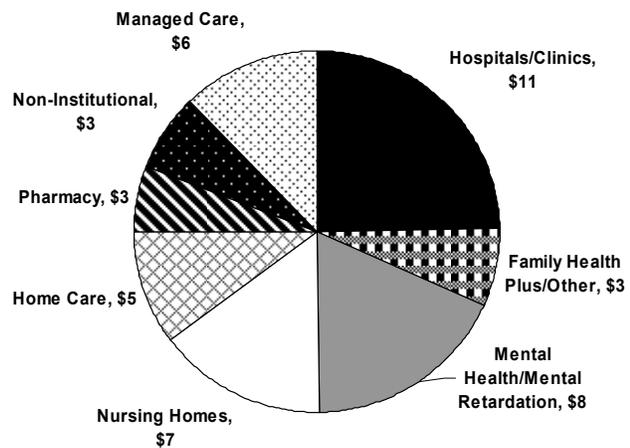
The New York State Department of Health (DOH) is responsible for statewide public health programs, including Medicaid. The DOH works closely with the local health departments, including the City of New York, to coordinate statewide health activities. DOH directly operates one hospital, four nursing homes for veterans, and three laboratories.

**Medicaid**

Medicaid, the largest program in the All Funds Budget, finances health care services for low-income individuals, long-term care for the elderly, and services for disabled individuals, primarily through payments to health care providers. New York's Medicaid spending, both in total and on a per capita basis, is the highest in the nation.

The Medicaid program is financed jointly by the State, the Federal government, and county governments (including New York City). The Medicaid program in New York is projected to total roughly \$46 billion in 2006-07, including the local contribution. The State contribution is \$17 billion. The Federal contribution, including the local match, is \$22 billion. The Federal match rate on State Medicaid expenditures is 50 percent, the lowest match rate possible. The local government contribution, which is \$7 billion, and certain Medicaid-related costs reimbursable by the Federal government are not included in the State spending totals.

**Spending by Category**  
**2006-07 Medicaid - \$46 Billion (All Sources)**  
(billions)



The 2005-06 Enacted Budget included a new "cap" on local Medicaid spending, effective January 1, 2006, that shifts the responsibility for financing annual cost increases above a fixed level from the counties to New York State. Under this program, local expenditures for 2006 are capped at no greater than calendar 2005 levels plus 3.5 percent. Local Medicaid expenditures will continue to be capped in the future, with the annual percentage being lowered to 3.25 percent for 2007, and 3 percent annually thereafter. The State will finance all local Medicaid spending above the capped amount. In addition, effective January 1, 2006, the State took full financial responsibility for the Family Health Plus program (FHP). County and New York City savings from these two "takeover" actions will total more than \$1.1 billion during the upcoming State fiscal year, an annual increase in local savings of \$764 million over 2005-06 levels.

## FINANCIAL PLAN

Department of Health -- Medicaid Spending Projections (millions of dollars)					
	2005-06 Revised	2006-07 Proposed	Annual Change	Percent Change	Adjusted % Change (Excl. Takeovers)
<b>General Fund</b>	<b>8,291</b>	<b>8,841</b>	<b>550</b>	<b>6.6%</b>	<b>-2.4%</b>
Other State Support	3,412	3,608	196	5.7%	5.7%
<b>State Funds</b>	<b>11,703</b>	<b>12,449</b>	<b>746</b>	<b>6.4%</b>	<b>0.0%</b>
Federal Funds	19,888	19,336	(552)	-2.8%	-2.8%
<b>All Funds</b>	<b>31,591</b>	<b>31,785</b>	<b>194</b>	<b>0.6%</b>	<b>-1.8%</b>

Department of Health Medicaid spending in 2006-07 includes long-term care, inpatient hospital care, prescription drugs, managed care, outpatient hospital and clinics, and FHP. The State and Federal share of Medicaid spending is principally budgeted and expended through DOH (\$31.8 billion in 2006-07), but spending also appears in the Office of Mental Health (\$293 million), the Office of Mental Retardation and Developmental Disabilities (\$1.1 billion), the Office of Alcoholism and Substance Abuse Services (\$33 million), the Office of Children and Family Services (\$33 million) and the State Education Department (\$9 million).

In the General Fund, Medicaid is projected to increase by \$550 million, or 6.6 percent, in 2006-07. The largest share of the growth is related to the local Medicaid cap and the FHP takeover (\$764 million). The remaining current services increase, before cost containment, is attributable mainly to projected growth in the cost of services and prescription drugs, increases in utilization, and the rising number of Medicaid recipients.

The 2006-07 Executive Budget proposes \$1.3 billion in savings and other cost avoidance recommendations for the State. The Budget includes a statutory change to prevent New York from becoming the only state in the country to provide full Medicaid coverage for prescription drugs that would otherwise not be available to dual-eligible recipients (i.e., individuals eligible for both Medicaid and Medicare) through the new Federal Medicare Part D drug program. This legislation is necessary to prevent commercial plans from shifting costs to the State Medicaid program, ultimately resulting in New York taxpayers paying twice for the cost of these drugs.<sup>1</sup>

State Funds spending for Medicaid is projected to total \$12.4 billion in 2006-07, including \$3.6 billion financed outside the General Fund by Health Care Reform Act (HCRA) resources, taxes on health care providers, and revenues collected to cover the cost of uncompensated care. HCRA resources, including cigarette tax revenues, finance roughly \$2 billion in Medicaid spending, including Medicaid pharmacy costs, a portion of the FHP Program, and other Medicaid-related programs including the Workforce Recruitment and Retention Program. The Provider Assessments Fund, supported by a partially-reimbursable 6 percent assessment on nursing home revenues and a 0.35 percent assessment on hospital revenues, finances \$760 million. The Indigent Care Account, supported by assessments and surcharges on hospital revenues and third-party payers, finances \$841 million to compensate providers and municipalities for the cost of providing services to uninsured people.

<sup>1</sup> The Federal Medicare prescription drug law requires people dually eligible for prescription drug coverage under both Medicare and Medicaid to access benefits through Medicare Part D. Under current State law, dual-eligible individuals must receive benefits comparable to Medicaid, which would require the State to provide additional benefits beyond those covered under the Federal Medicare prescription drug law.

<b>Department of Health -- Medicaid</b> <b>Sources of Annual Spending Increase/(Decrease)</b> <b>from 2005-06 to 2006-07</b> <b>(millions of dollars)</b>				
	<u>General</u> <u>Fund</u>	<u>Other State</u> <u>Funds</u>	<u>Federal</u> <u>Funds</u>	<u>All</u> <u>Funds</u>
<b>Current Services:</b>				
Program Growth	802	0	1,258	2,060
Local Medicaid Cap	525	0	0	525
Family Health Plus Takeover/Growth	239	87	168	494
HCRA Financing	317	(267)	(11)	39
Medicare Part D	198	(15)	(831)	(648)
Hospital Assesment	(212)	212	0	0
All Other	0	(10)	(474)	(484)
<b>Recommended Savings:</b>				
Medicare Part D Cost Avoidance	(246)	30	0	(216)
Anti-Fraud Initiatives	(151)	0	(151)	(302)
Hospital Cost Containment	(163)	0	(163)	(326)
Nursing Home Cost Containment	(233)	95	(138)	(276)
Pharmacy Cost Containment	(172)	42	(130)	(260)
Managed Care Savings	(29)	0	(29)	(58)
Long-Term Care	(41)	(13)	(41)	(95)
Family Health Plus Reforms	(22)	0	(22)	(44)
Other Financing	(248)	25	0	(223)
All Other Actions (Net)	(44)	10	(18)	(52)
<b>Recommended Additions:</b>	<b>30</b>	<b>0</b>	<b>30</b>	<b>60</b>
<b>Annual Change</b>	<b>550</b>	<b>196</b>	<b>(552)</b>	<b>194</b>

**Current Services**

**Program Growth:** General Fund Medicaid spending is growing due to several factors, including the increasing cost of providing health care services, the rising number of recipients, and increases in medical service utilization in hospitals, nursing homes, and managed care programs. Other changes affecting growth include increases in managed care premiums and escalating prescription drug costs. The comparable Federal match increase is projected at \$1.3 billion. The number of Medicaid recipients is projected to reach almost 3.9 million in 2006-07, an increase of 3.8 percent over the current fiscal year.

**Local Medicaid "Cap" Annual Growth:** As of January 1, 2006, the State began capping Local Medicaid expenditures. For calendar year 2006, the State will finance all local costs in excess of 2005 expenditures plus 3.5 percent. Following calendar year 2006, local Medicaid contributions will increase by no more than 3.25 percent for 2007 and 3 percent annually in 2008 and beyond. The State projects the cost of the cap will total \$638 million in 2006-07, an increase of \$525 million over 2005-06.

## ***FINANCIAL PLAN***

---

**FHP Takeover/Growth:** The State pays for FHP from the General Fund and the HCRA Resources Fund. The State began paying 50 percent of the local share of FHP in January 2005, and, effective January 1, 2006, began paying for the entire non-Federal share of the program. The FHP takeover is expected to cost \$528 million in 2006-07, an increase of \$239 million from 2005-06. FHP enrollees are estimated at 658,000 in 2006-07, an increase of 16 percent from the current year.

**HCRA Financing:** Prior to Executive recommendations, General Fund support for HCRA had been projected to increase to meet the current services level of HCRA program operations. The current services approach assumes that any HCRA imbalance will be funded by the General Fund (either directly through the tobacco guarantee fund or indirectly through changes in program funding between HCRA and the General Fund).

**Medicare Part D:** The Medicare Part D prescription drug program began on January 1, 2006. Under this new program, the Federal government no longer provides Medicaid funding for prescription drug coverage for the dually-eligible population (i.e., eligible for both Medicare and Medicaid benefits) and the states are required to remit 90 percent of their Medicaid savings related to Part D to the Federal government. Absent legislation, the Part D program would result in a significant cost for New York because State law entitles dually-eligible individuals to the same prescription drug access as all other Medicaid recipients, resulting in both a Part D payment to the Federal government and a Medicaid benefit paid exclusively by the State.

**Other Changes:** Federal funds available in 2005-06 through the Community Health Care Conversion Demonstration Project, which provide hospitals with funding to expand primary care services, managed care transactions, and worker retraining, are not expected to be available in 2006-07. The State expects comparable Federal funding will be available through the Federal-State Health Reform Partnership Program over the next few years.

### ***Recommended Savings***

**Medicare Part D:** The lower costs result from limiting supplemental drug coverage under Medicaid. However, certain medications to treat HIV/AIDS, mental illness, and organ transplants will continue to be covered under Medicaid in the event that they are not available through the Medicare Part D benefit. Furthermore, comprehensive Medicaid coverage will be available for all drugs not covered by Part D until July 2006 to allow a seamless transition to Part D for the dually-eligible population. In addition, recommendations would preserve existing savings by requiring mandatory enrollment in the Part D program for low income individuals currently covered by the Elderly Pharmaceutical Insurance Program (EPIC).

**Anti-Fraud Initiatives:** The Budget recommends several proposals, including the establishment of an Office of Medicaid Inspector General, aimed at reducing Medicaid fraud by providers and recipients. These proposals are intended to improve detection and strengthen the State's legal authority to combat fraud. In the area of detection, proposals include maximizing third-party recoveries by improving the prepayment identification and verification process, conducting Credential Verification Reviews, expanding the capabilities

of the eMedNY fraud detection system, and enhancing clinical reviews by contracting with SUNY Stony Brook in order to help identify patterns associated with fraud, abuse or waste. Strengthened legal sanctions include permitting prosecution for the possession of diverted prescription drugs and increasing fines for false claims.

**Hospital Cost Containment:** Includes rate adjustments for "uncomplicated" substance abuse and/or chemical dependence in inpatient settings (\$24 million), reimbursing Graduate Medical Education expenses based on actual costs (\$36 million), modifying the volume adjustment calculation to eliminate length-of-stay relief for volume increases (\$23 million), and eliminating automatic increases in hospital rates (\$80 million).

**Nursing Home Cost Containment:** Includes strengthening efforts to recoup delinquent nursing home assessments (\$95 million), continuing the reimbursable assessment on nursing home revenues at 6 percent (2007-08 and beyond), eliminating an automatic increase in reimbursement rates (\$73 million), eliminating unnecessary rate add-ons (\$33 million), reimbursing adult-care providers based on actual costs (\$25 million), and instituting changes in reimbursement methodologies to eliminate inappropriate incentives (\$7 million).

**Pharmacy Cost Containment:** Proposals to control the rising costs of prescription drugs include strengthening the components of the Preferred Drug and Clinical Drug Review Programs (\$56 million), lowering pharmacy reimbursement rates in Medicaid and EPIC (\$90 million), and eliminating coverage for erectile dysfunction drugs (\$15 million). Additional savings are realized through administrative actions, including requiring prior authorization for early refills and maximizing revenue from drug manufacturers (\$11 million).

**Managed Care Savings:** Proposals would expand Medicaid managed care by mandating enrollment by Supplemental Security Income (SSI) individuals (\$17 million), requiring certain counties to implement mandatory enrollment (\$2 million), and eliminating six-month guaranteed eligibility for Medicaid managed care and FHP enrollees (\$10 million).

**Long-Term Care:** Proposals include requiring spouses to contribute available assets to pay for the cost of health care services (\$34 million), extending the "look-back" period for asset transfers from 36 to 60 months for both nursing home and home care services (\$7 million), and closing additional loopholes relating to non-probate estates.

**Family Health Plus:** Recommendations would prohibit FHP coverage for people employed by businesses with more than 100 employees (\$21 million). In addition, co-payments charged for emergency room visits would be increased from \$3 to \$25.

**Other Financing/Actions (net):** Include additional drug rebate revenues (\$72 million), eliminating payments to providers for certain non-emergency services for illegal immigrants (\$15 million), reclassifying transportation to an administrative service (\$4 million), transferring funding for family planning services to the Temporary Assistance for Needy Families (TANF) block grant (\$10 million), and realizing Medicaid savings from other agencies (\$15 million). In addition, a potential payment from the General Fund to HCRA is not expected to be needed in 2006-07 or in future years, due to revenue enhancements and cost containment in HCRA. See the "HCRA Financial Plan" herein for more information on the HCRA estimates.

## **FINANCIAL PLAN**

### **Recommended Additions**

The Governor's Budget advances a five-year plan to restructure nursing home reimbursements (\$13 million), which will provide more than \$340 million in new resources once fully implemented, as well as community-based initiatives to promote efficiencies and quality improvements (\$10 million) and funding to support criminal-history background checks on health care workers (\$7 million).

### **OTHER PUBLIC HEALTH PROGRAMS**

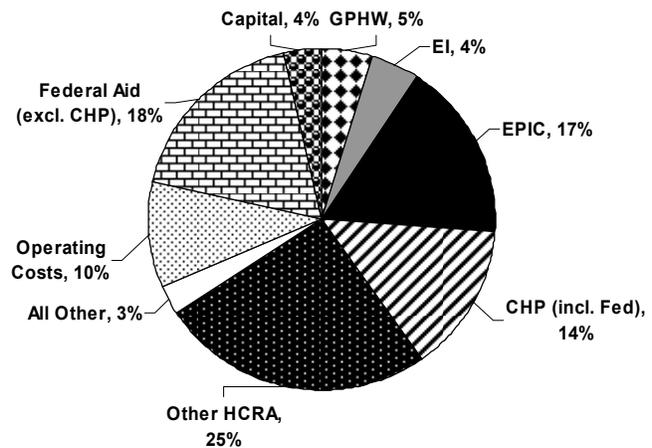
Public health spending in New York is financed by the Federal government, the State, and local governments. Several public health programs, such as Early Intervention and General Public Health Works, are run by county health departments and reimbursed by the State for a share of program costs. The State spending projections do not include the local government (county/New York City) share of public health funding, but do include Federal resources.

Consistent with the 2005-06 Executive and Enacted Budgets, the 2006-07 Executive Budget continues to include all programs financed by HCRA "on budget" in the State Financial Plan. For more information on HCRA projections, see the section entitled "HCRA Financial Plan."

All Funds spending for public health includes the Elderly Pharmaceutical Insurance Coverage (EPIC) Program that provides prescription drug insurance to low-income seniors (\$856 million), the Child Health Plus (CHP) program that finances health insurance coverage for children of low-income families up to the age of 19 (\$705 million), the General Public Health Works (GPHW) program that reimburses local health departments for the cost of providing certain public health services (\$232 million), the Early Childhood Intervention (EI) Program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays

(\$221 million), and other HCRA programs (\$1.3 billion) including Graduate Medical Education, Healthy New York, and programs for people suffering from AIDS/HIV. Other spending includes Federal aid (\$887 million) for programs including the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC); operating costs including support for administrative functions, personnel, and five health care facilities (\$511 million); capital spending (\$183 million); and various other local aid programs.

**2006-07 Health Disbursements - \$5.0 Billion**



<b>Public Health Spending Projections</b> (millions of dollars)				
	<b>2005-06 Revised</b>	<b>2006-07 Proposed</b>	<b>Annual Change</b>	<b>Percent Change</b>
<b>General Fund</b>	<b>728</b>	<b>759</b>	<b>31</b>	<b>4.3%</b>
Other State Support	2,539	3,021	482	19.0%
<b>State Funds</b>	<b>3,267</b>	<b>3,780</b>	<b>513</b>	<b>15.7%</b>
Federal Funds	1,187	1,235	48	4.0%
<b>All Funds</b>	<b>4,454</b>	<b>5,015</b>	<b>561</b>	<b>12.6%</b>

All Funds spending in 2006-07 for public health is projected to total \$5.0 billion, an increase of \$561 million (12.6 percent) over 2005-06, consisting of an increase in State costs of \$513 million and higher Federal aid of \$48 million. State spending increases finance current services for the EPIC and HEAL programs (\$254 million) and various other public health programs. Federal aid is provided through the WIC program, disease prevention, health screening, and other public health programs.

All Funds spending will reimburse providers and localities for a share of the costs of operating public health programs (\$4.1 billion), and pay for DOH costs, including personal service costs (\$333 million), operational expenses (\$401 million), and capital projects to maintain DOH facilities (\$155 million). DOH has 6,335 employees, including an estimated 500 for the proposed Medicaid Inspector General.

General Fund support is expected to increase 4.3 percent in 2006-07 reflecting proposed investments in public health, administrative cost-saving measures, proposed savings initiatives in EI, and slower GPHW spending by counties. In addition, a portion of DOH's costs are financed by patient care revenues, and fees related to regulations, registration, testing, and certification.

## **FINANCIAL PLAN**

<b>Public Health</b>				
<b>Sources of Annual Spending Increase/(Decrease)</b>				
<b>(millions of dollars)</b>				
	<b>General Fund</b>	<b>Other State Funds</b>	<b>Federal Funds</b>	<b>All Funds</b>
<b>Current Services:</b>				
HCRA	0	115	0	115
EPIC	0	131	0	131
HEAL NY	0	123	0	123
Child Health Plus	0	21	42	63
Early Intervention	7	0	0	7
General Public Health Works	4	0	0	4
All Other	19	55	36	110
<b>Recommended Savings:</b>				
EPIC	0	(73)	0	(73)
HCRA	0	(42)	0	(42)
All Other	(45)	0	(30)	(75)
<b>Recommended Additions:</b>				
Early Intervention	4	0	0	4
General Public Health Works	11	0	0	11
Human Services COLA	7	0	0	7
HCRA	0	149	0	149
All Other	24	3	0	27
<b>Total Annual Change</b>	<b>31</b>	<b>482</b>	<b>48</b>	<b>561</b>

### **Current Services**

**Health Care Reform Act:** Spending increases for various HCRA financed programs, including Healthy New York (\$74 million), AIDS Drug Assistance Program (\$25 million), and the implementation of new program initiatives (i.e., pay for performance demonstration).

**Elderly Pharmaceutical Insurance Coverage:** Growth reflects the rising cost of prescription drugs and increasing enrollment, which is projected to reach 372,000 in the upcoming fiscal year, an increase of 4 percent over current year projections.

**Health Care System Improvement Capital Grant Program:** Reflects annualized increases and accelerated capital spending from the initial five-year, \$1 billion plan enacted in 2005-06, including \$25 million for the Roswell Park Cancer Institute.

**Child Health Plus:** Higher costs are related to increases in the cost of providing services and a projected enrollment increase in 2006-07 due mainly to advertising.

**Early Childhood Intervention:** The increase in spending reflects enrollment of 76,000 in 2006-07, an increase of roughly 374 children from the current year forecast.

**General Public Health Works:** State reimbursement to local governments for the cost of provided local public health services is projected to increase.

**All Other:** All other State Funds increases include higher operating and personal service costs, increased capital spending, and program growth in various other public health programs.

### ***Recommended Savings***

**Elderly Pharmaceutical Insurance Coverage:** Year-to-year spending growth in the EPIC program is proposed to be reduced, in part, through mandating the automatic enrollment of low income EPIC enrollees in Medicare Part D, generating an additional savings of approximately \$30 million in 2006-07 beyond the \$83 million anticipated from voluntary enrollment of EPIC eligibles into Medicare Part D. In addition, pharmacy reimbursement levels will be lowered and funding for erectile dysfunction drugs will be eliminated, consistent with Medicaid proposals for 2006-07 (\$42 million).

**Health Care Reform Act:** HCRA savings are generated by financing the family planning program from TANF (\$10 million) and funding reductions for worker retraining funding (\$32 million).

**All Other:** Additional savings result from the modification or elimination of non-essential programs (e.g., Individual Subsidy, Registration of Durable Medical Equipment Providers, Prescription Drug List on the Department of Health website). In addition, savings are generated through the use of non-General Fund resources to fund certain programs and by administrative improvements.

### ***Recommended Additions***

**Early Childhood Intervention:** The 2006-07 recommendations include strengthening statutory requirements to achieve greater health insurance reimbursement for services, and mandating the use of a “fiscal intermediary” for the EI program to enhance fiscal management and oversight. In addition, General Fund support will be provided for respite services for children within the EI program.

**General Public Health Works:** The Budget recommends a \$100,000 increase to the county base grant; establishing a \$20 million reserve to address emerging public health issues and emergencies; and increasing the reimbursement rate for optional services from 30 percent to 36 percent provide identified counties meet performance requirements.

**Human Services COLA:** The budget proposes a 2.5 percent cost-of-living adjustment for various public health and AIDS programs.

**Health Care Reform Act:** Proposed HCRA financing increases include targeted investments for anti-tobacco programs, funding dedicated for cancer research at Roswell Park Cancer Institute (\$55 million), Physicians Excess Medical Malpractice (\$65 million), and stockpiling medications and supplies for Avian Flu (\$29 million).

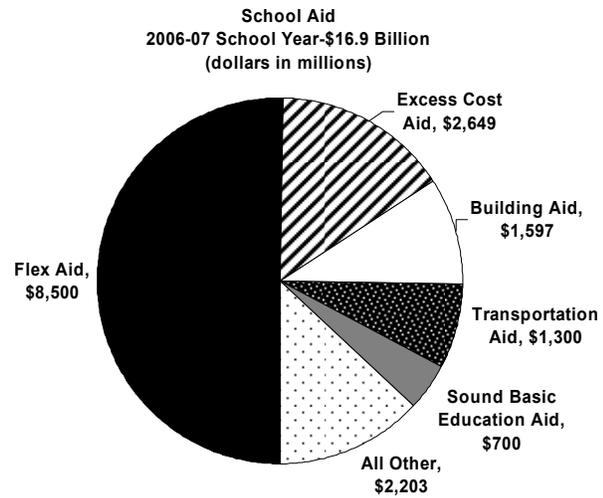
**All Other:** Other Executive Budget recommendations include funding for childhood obesity prevention, premarital screening for genetic disorders, enhancement to the regional perinatal data system, and operating and equipment expenses.

# FINANCIAL PLAN

## K-12 EDUCATION

### School Aid

School aid, the single largest program in the General Fund, helps finance elementary and secondary education for New York pupils enrolled in nearly 680 school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement for various categorical programs. In New York, approximately 46 percent of spending on education by local school districts is funded by the State, 47 percent by local revenues, and 7 percent by Federal aid.



State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for approximately 3 million students statewide.

In 2003, the State Court of Appeals found that the State’s school financing system failed to provide students in New York City with an opportunity for a “sound basic education,” in violation of the State Constitution. The State’s SBE aid program that began in 2005-06 is part of an effort to comply with the State Court of Appeals ruling. In 2006-07, the Budget recommends \$700 million in total funding for SBE Aid in the 2006-07 school year, with continued funding of \$325 million for SBE aid in the 2006-07 school year and the creation of an SBE reserve of \$375 million. The Campaign for Fiscal Equity (CFE) litigation is described in more detail in the section entitled “Reserves and Risks” in this Financial Plan.

Federal funding supplements school aid, representing approximately 14 percent of projected All Funds cash disbursements. Federal aid supports a range of services for disadvantaged children, including free and reduced-price meals.

School Aid Spending Projections: State Fiscal Year (millions of dollars)				
	2005-06 Revised	2006-07 Proposed	Annual Change	Percent Change
<b>General Fund</b>	<b>13,494</b>	<b>13,988</b>	<b>494</b>	<b>3.7%</b>
Other State Support	2,314	2,397	83	3.6%
<b>State Funds</b>	<b>15,808</b>	<b>16,385</b>	<b>577</b>	<b>3.7%</b>
Federal Funds	2,544	2,580	36	1.4%
<b>All Funds</b>	<b>18,352</b>	<b>18,965</b>	<b>613</b>	<b>3.3%</b>

School aid spending is reported on both a school year basis (July 1 through June 30) and a State fiscal year basis (April 1 through March 31). The State fiscal year amount includes funding for the final three months of the current school year and the first nine months of the upcoming school year.

In State fiscal year 2006-07, All Funds spending for school aid is projected to total \$19.0 billion. General Fund spending is projected at \$14.0 billion, with the remaining aid paid by other State funds, primarily the Lottery Fund (\$2.4 billion), and by the Federal government (\$2.6 billion). In addition to this budgeted spending, the Financial Plan includes a reserve for SBE in the VLT education account (\$375 million on a school year basis and \$263 million on a fiscal year basis, bringing total support to \$700 million). The reserve will be allocated pursuant to a plan to promote the provision of sound basic education statewide.

On a school year basis, State support for school aid, including the CFE reserve, is recommended at \$16.9 billion in 2006-07 (excluding Federal aid), an increase of \$634 million (3.9 percent) over the current school year. Major components of the \$16.9 billion school year recommendation include Flex Aid (\$8.5 billion), Excess Cost Aid (\$2.6 billion), Building Aid (\$1.6 billion), Transportation Aid (\$1.3 billion), and SBE Aid (\$700 million).

<b>School Aid</b>				
<b>Sources of Annual Spending Increase/(Decrease)</b>				
<b>(millions of dollars)</b>				
	<b>General Fund</b>	<b>Other State Funds</b>	<b>Federal Funds</b>	<b>All Funds</b>
<b>Current Services:</b>				
Balance of 2005-06 School Year	207	0	0	207
2006-07 School Aid (\$259M SY)	260	77	0	337
Reclassification of Certain Education Aid	65	0	0	65
Federal Funds	0	0	36	36
RESCUE Program	0	(32)	0	(32)
<b>Recommendations:</b>				
Eliminate Quick Draw Restrictions	(38)	38	0	0
Sound Basic Education Aid Reserve (\$375M SY)*	0	0	0	0
<b>Annual Change*</b>	<b>494</b>	<b>83</b>	<b>36</b>	<b>613</b>

\*Spending does not yet include SBE reserve (\$375 million school year basis/\$263 million fiscal year basis) pending allocation.

**Current Services**

**Balance of 2005-06 School Year Increase:** This represents the “tail” of payments related to the 2005-06 school year increase of \$918 million, but payable in the State’s 2006-07 fiscal year.

**2006-07 School Aid:** The increase includes higher funding for Operating Aid (\$166 million), Transportation Aid (\$90 million), Tax Limitation Aid for districts with low fiscal capacity and high tax burdens (\$48 million), and Public Excess Cost Aid (\$33 million). The aid estimate includes savings from proposed reforms to school aid funding, including conforming Private Excess Cost Aid to Public Excess Cost Aid and instituting controls intended to ensure State reimbursement for BOCES is cost-effective, as described below.

## ***FINANCIAL PLAN***

---

**Reclassification of Certain Education Aid:** Fiscal stabilization grants and education costs for Native American children residing on reservations will be reflected in the School Aid estimates rather than accounted for elsewhere.

**Federal Funds:** Federal aid is projected to grow in 2006-07, reflecting increased grants to high-poverty school districts around the State (\$20 million) and for the School Lunch and Breakfast Program (\$16 million).

**Rebuilding Schools to Uphold Education (RESCUE) Program:** Spending on school-related capital projects is expected to decrease following the completion of RESCUE program spending in 2005-06.

### ***Executive Budget Recommendations***

**Eliminate Quick Draw Restrictions:** The Executive Budget recommends lifting restrictions imposed on Quick Draw in its enabling statute including hours of operation, food sales and the minimum size of premises that can operate Quick Draw games.

**Sound Basic Education Aid Program (SBE):** The Executive Budget recommends \$700 million for SBE aid in the 2006-07 school year, an increase of \$375 million from 2005-06. In 2006-07, SBE aid is expected to be funded by revenues generated by VLTs at State racetracks (\$437 million) supplemented by other one-time resources (\$263 million). Of the \$700 million, \$325 million will be disbursed to school districts for SBE consistent with enacted 2005-06 allocations, and \$375 million is reserved in the VLT education account pursuant to a plan to promote sound basic education statewide.

The Budget proposes a number of reforms, including the modification of selected aid formulas. Building Aid reforms include creation of a simplified formula based on realistic student-based allowances, and a statewide reform of the Wicks Law. To eliminate the existing fiscal incentives for placing children in restrictive private special education placements, the aid formula would be changed to conform with the formula used to pay for these same services in public schools (\$68 million). BOCES Aid will also be reformed to ensure that costs do not exceed those of comparable services provided under State contract or by individual school districts (\$10 million).

Beginning in the 2007-08 school year, proposed Flex Aid reforms include provision of additional funds to school districts that constrain their spending to amounts permitted under the proposed school spending cap.

### ***STAR PROGRAM***

The STAR program is intended to reduce school taxes for New York's taxpayers. The three components of STAR, and their approximate shares, include the basic school property tax exemption for homeowners (58 percent), the enhanced school property tax exemption for eligible senior citizen homeowners (22 percent), and a flat refundable credit and rate reduction for New York City resident personal-income taxpayers (20 percent).

<b>STAR Spending Projections</b> (millions of dollars)				
	<b>2005-06</b> <b>Revised</b>	<b>2006-07</b> <b>Proposed</b>	<b>Annual</b> <b>Change</b>	<b>Percent</b> <b>Change</b>
<b>General Fund</b>	<b>0</b>	<b>530</b>	<b>530</b>	<b>0.0%</b>
Other State Support	3,219	3,368	149	4.6%
<b>State Funds</b>	<b>3,219</b>	<b>3,898</b>	<b>679</b>	<b>21.1%</b>
Federal Funds	0	0	0	0.0%
<b>All Funds</b>	<b>3,219</b>	<b>3,898</b>	<b>679</b>	<b>21.1%</b>

Spending for STAR is expected to total \$3.9 billion in 2006-07, and is comprised of \$1.9 billion for the basic property tax exemption, \$754 million for the enhanced property tax exemption, \$668 million for the New York City PIT component, and \$530 million recommended for a new STAR Plus rebate program. The annual changes are described in more detail below.

<b>STAR/STAR Plus</b> <b>Sources of Annual Spending Increase/(Decrease)</b> (millions of dollars)				
	<b>General</b> <b>Fund</b>	<b>Other State</b> <b>Funds</b>	<b>Federal</b> <b>Funds</b>	<b>All</b> <b>Funds</b>
<b>Current Services:</b>				
Basic Property Tax Exemption		102	0	102
Enhanced Property Tax Exemption		(1)	0	(1)
New York City Personal Income Tax Relief		(24)	0	(24)
<b>Recommendations:</b>				
STAR Plus Program	530	0	0	530
Enhanced STAR for Seniors		72		72
<b>Annual Change</b>	<b>530</b>	<b>149</b>	<b>0</b>	<b>679</b>

Current services spending for STAR in 2006-07 is projected to grow over the current fiscal year based on higher participation rates, property tax rates, and property values. This growth is partially offset by an expected decline in the New York City PIT component due to an upward adjustment in estimates of incomes and income tax liabilities for the current, and two prior, fiscal years. The proposed 2006-07 New York City PIT estimate continues to reflect growth in incomes and liabilities.

**Executive Budget Recommendations**

**STAR Plus Program:** A new program would provide increased tax relief for homeowners in school districts that keep their spending growth within the proposed spending cap. STAR recipients in these districts would receive a \$400 rebate check in addition to their typical STAR benefit.

## ***FINANCIAL PLAN***

**Enhanced STAR for Seniors:** The Executive Budget proposes to adjust the enhanced STAR exemption for seniors to reflect increases in the cost of living since the full implementation of STAR. Similar cost-of-living adjustments would be made automatically in future years. This proposal would cost \$72 million in 2006-07, growing to \$91 million in 2007-08.

### ***OTHER EDUCATION AID***

In addition to school aid, education aid is provided for special education services and other programs. Other education categories include elementary, middle, secondary and continuing education; cultural education; higher and professional education programs; and Vocational Education Services for Individuals with Disabilities (VESID). Major programs under elementary, middle, secondary and continuing education address specialized student needs or reimburse school districts for education-related services, including the School Lunch and Breakfast Program, the Pre-School Special Education Program, and non-public school aid. In special education, New York provides a full spectrum of services to over 400,000 students from ages 3 to 21. Higher and professional education programs monitor the quality and availability of post-secondary education programs and regulate the licensing and oversight for 44 State professions.

<b>Other Education Aid Spending Projections (millions of dollars)</b>				
	<b>2005-06 Revised</b>	<b>2006-07 Proposed</b>	<b>Annual Change</b>	<b>Percent Change</b>
<b>General Fund</b>	<b>1,576</b>	<b>1,567</b>	<b>(9)</b>	<b>-0.6%</b>
Other State Support	102	131	29	28.4%
<b>State Funds</b>	<b>1,678</b>	<b>1,698</b>	<b>20</b>	<b>1.2%</b>
Federal Funds	857	871	14	1.6%
<b>All Funds</b>	<b>2,535</b>	<b>2,569</b>	<b>34</b>	<b>1.3%</b>

All Funds spending includes funding for pre-school special education services (\$1.3 billion), other education programs (\$1 billion), and State operating costs (\$336 million). The annual changes are described in more detail below.

Other Education Aid				
Sources of Annual Spending Increase/(Decrease)				
(millions of dollars)				
	General Fund	Other State Funds	Federal Funds	All Funds
<b>Current Services:</b>				
Special Education Program Costs	75	0	0	75
Increased Federal Aid	0	0	14	14
Higher Education Capital Matching Grant	0	10	0	10
Reclassification of Certain Education Aid	(65)	0	0	(65)
Other Changes	(22)	6	0	(16)
<b>Recommended Savings:</b>				
Tenured Teacher Hearings	(3)	3	0	0
<b>Recommended Additions:</b>				
Science and Technology Entry Programs	6	0	0	6
Cultural Education	0	10	0	10
<b>Annual Change</b>	<b>(9)</b>	<b>29</b>	<b>14</b>	<b>34</b>

***Current Services***

**Special Education Program:** The projected increase reflects growth in Summer School Special Education (\$48 million), program costs and enrollment in the Pre-School Special Education Program (\$25 million), and operating costs at private schools which serve the blind and deaf (\$2 million).

**Increased Federal Aid:** Federal support for the Individuals with Disabilities Education Act program is expected to increase in 2006-07.

**Higher Education Capital Matching Grant:** Reflects anticipated disbursements in 2006-07 for this capital program enacted in 2005-06 intended to finance infrastructure improvements for private colleges and universities.

**Reclassification of Certain Education Aid:** Fiscal stabilization grants and the funding for education of Native American children on reservations are now classified as school aid.

**Other Changes:** The changes are attributable primarily to the timing of spending for legislative member items.

***Recommended Savings***

**Tenured Teacher Hearings:** Local school districts that initiate Tenured Teacher Hearings will be responsible for the hearing costs, which will be paid for from a special revenue fund.

## **FINANCIAL PLAN**

---

### **Recommended Additions**

**Science and Technology Entry Programs:** Funding is provided to help increase the number of under-represented students who pursue college-level programs in math, science, and technology.

**Cultural Education:** Funding is proposed for renovation projects for public collections and exhibits of the State museum, library, and archives.

### **HIGHER EDUCATION**

Higher education includes administrative and programmatic costs for the State University of New York (SUNY), the City University of New York (CUNY), and the Higher Education Services Corporation (HESC). The higher education budget is nearly entirely supported by the General Fund, tuition revenues, and various fees.

The SUNY system is the largest public university system in the nation with 64 campuses offering a range of academic, professional and vocational programs. The SUNY system also includes 30 community colleges. Currently, there are over 413,000 SUNY students pursuing studies ranging from one-year certificates to doctoral degrees.

The CUNY system is the third largest public university system in the nation and provides higher education with a focus on the urban community of New York City. The State has full financial responsibility for CUNY's senior college operations, and works in conjunction with the City of New York to support CUNY's community colleges. Approximately 221,000 full-time and part-time students are currently enrolled in degree programs at CUNY.

HESC is responsible for administering the Tuition Assistance Program (TAP) grant awards to income-eligible students and for providing centralized processing of other student financial aid programs. The Corporation also provides prospective students with information and guidance on how to finance a college education. The financial aid programs that the Corporation administers are funded by the State and the Federal government.

<b>Higher Education Spending Projections</b> (millions of dollars)				
	<b>2005-06</b> <b>Revised</b>	<b>2006-07</b> <b>Proposed</b>	<b>Annual</b> <b>Change</b>	<b>Percent</b> <b>Change</b>
<b>General Fund</b>	<b>3,260</b>	<b>3,151</b>	<b>(109)</b>	<b>-3.3%</b>
Other State Support	4,116	4,506	390	9.5%
<b>State Funds</b>	<b>7,376</b>	<b>7,657</b>	<b>281</b>	<b>3.8%</b>
Federal Funds	189	196	7	3.7%
<b>All Funds</b>	<b>7,565</b>	<b>7,853</b>	<b>288</b>	<b>3.8%</b>

All Funds spending of \$7.9 billion in 2006-07 comprises \$5.4 billion for SUNY, \$1.6 billion for CUNY, and \$905 million for HESC. Another \$641 million for CUNY is paid from a State fiduciary fund (outside the All Governmental Funds Financial Plan) that consists primarily of senior college tuition revenues. The annual changes are described in more detail below.

Higher Education				
Sources of Annual Spending Increase/(Decrease)				
(millions of dollars)				
	General Fund	Other State Funds	Federal Funds	All Funds
<b>Current Services:</b>				
SUNY/CUNY Capital	0	181	0	181
SUNY/CUNY/HESC Inflation and Salaries	78	31	0	109
HESC TAP	51	(51)	0	0
SUNY/CUNY/HESC All Other Services	3	(4)	7	6
<b>Recommended Savings:</b>				
HESC TAP Reforms	(134)	0	0	(134)
SUNY/CUNY Savings	(111)	77	0	(34)
HESC Fund Balance	(20)	20	0	0
HESC Sunsetting of Scholarships	(2)	0	0	(2)
<b>Recommended Additions:</b>				
SUNY/CUNY Capital	0	136	0	136
SUNY/CUNY Community College Aid	17	0	0	17
SUNY/CUNY Empire Innovation	9	0	0	9
<b>Annual Change</b>	<b>(109)</b>	<b>390</b>	<b>7</b>	<b>288</b>

***Current Services***

**SUNY/CUNY Capital:** Annual growth in spending reflects continued implementation of the five-year capital programs. A proposal to supplement the current capital spending program for public universities is described in more detail below.

**SUNY/CUNY/HESC Inflation and Salaries:** Reflects increased funding for salaries and inflationary increases at SUNY, CUNY, and HESC. The total taxpayer-supported workforce for SUNY and CUNY is approximately 34,000 positions.

**HESC TAP:** Corporation fund balances were used in 2005-06 to help offset the cost of the TAP program.

**SUNY/CUNY/HESC All Other Services:** The increase primarily reflects enrollment growth as well as other cash adjustments and annualizations.

***Recommended Savings***

**HESC/TAP Reforms:** Reflects a series of reforms to strengthen eligibility criteria and to address audit issues identified at certain proprietary schools. The reforms include redefining full-time study as 15 credits per semester rather than the current 12 credits for receipt of a full TAP award (\$50 million); providing TAP awards for accelerated study only to students who have earned 30 credit hours in the two preceding semesters (\$40 million); requiring institutions to pre-finance awards provided to first-time TAP applicants in 2006-07 who are admitted without having a high school diploma (\$30 million); enhancing academic progress and good academic standing criteria required for continued TAP eligibility (\$9 million);

## ***FINANCIAL PLAN***

---

conforming TAP eligibility for all students in default on Federal student loans regardless of the loan guarantor (\$3 million); and requiring students to be in full-time attendance at the time that institutions certify them as eligible for TAP awards (\$2 million).

**SUNY/CUNY Savings:** SUNY and CUNY trustees would be authorized to raise revenues, including tuition, to support additional spending.

**HESC Fund Balance:** HESC fund balances would be used to help fund the TAP program in 2006-07.

**HESC Sunsetting of Scholarships:** Savings reflect the statutory expiration of new scholarships in 2006-07 for the Regents Health Care Opportunity Scholarships and the Regents Professional Opportunity Scholarships.

### ***Recommended Additions***

**SUNY/CUNY Capital:** The Executive Budget recommends adding \$125 million to the current multi-year capital investment programs for SUNY and CUNY, bringing the five-year capital plan to \$3.9 billion for SUNY and \$1.6 billion for CUNY.

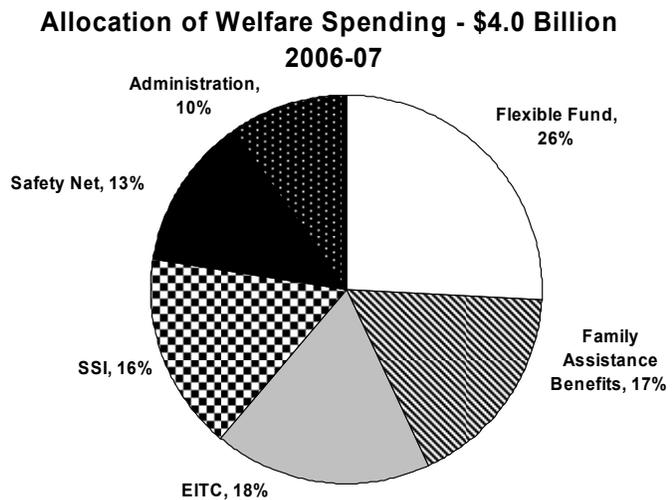
**SUNY/CUNY Community College Aid:** The Executive Budget recommends a \$100 per full-time student increase to community college base aid at SUNY (\$12 million) and CUNY (\$5 million), increasing base aid from \$2,350 to \$2,450 per full-time student. \

**SUNY/CUNY Empire Innovation:** Additional funding for the new Empire Innovation Program is proposed, including \$6 million for SUNY and \$5 million for CUNY for the 2006-07 academic year.

## ***SOCIAL SERVICES***

### ***Welfare***

Welfare programs provide benefits to poor families in the form of cash grants, child welfare services, tax credits for eligible low-income workers, and employment services. The State's three main programs include Family Assistance, Supplemental Security Income and Safety Net. The Family Assistance program, which is financed jointly by the State, the Federal government, and local districts, provides employment assessments, support services and time-limited cash assistance to



eligible families and children. The State adds a supplement to the Federal Supplemental Security Income benefit for the elderly, visually handicapped, and disabled. The Safety Net Assistance program provides cash assistance and employment services for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law and is financed jointly by the State and local districts. Funding is also provided for local administration of welfare programs.

Federal funding for welfare programs is provided through the TANF block grant. TANF funding also helps finance the State’s Earned Income Tax Credit (EITC) for low-income workers and child care services, including child care subsidies for low-income working families. All TANF funding not needed for benefit payments, State operations or the EITC is incorporated into a Flexible Fund for Family Services (Flexible Fund). Local districts are able to use the Flexible Fund for any federally-allowable TANF purpose, and are solely responsible for determining the distribution of their allocation for all non-benefit programs, including child welfare, child care, and local district administration.

<b>Welfare/Welfare Administration Spending Projections</b>				
<b>(millions of dollars)</b>				
	<b>2005-06</b>	<b>2006-07</b>	<b>Annual</b>	<b>Percent</b>
	<b>Revised</b>	<b>Proposed</b>	<b>Change</b>	<b>Change</b>
<b>General Fund</b>	<b>1,265</b>	<b>1,277</b>	<b>12</b>	<b>0.9%</b>
<b>State Funds</b>	<b>1,265</b>	<b>1,277</b>	<b>12</b>	<b>0.9%</b>
Federal Funds	2,500	2,700	200	8.0%
<b>All Funds</b>	<b>3,765</b>	<b>3,977</b>	<b>212</b>	<b>5.6%</b>

Major welfare programs include the Flexible Fund (\$1.0 billion), Family Assistance benefits (\$686 million), the State’s EITC (\$718 million), Supplemental Security Income (\$655 million), Safety Net (\$510 million), and administration (\$383 million).

The public assistance caseload is projected to total 583,100 recipients in 2006-07, a decrease of 16,800 from 2005-06. Approximately 295,100 families are expected to receive benefits through the Family Assistance program, a decrease of 8,700 from the current year. In the Safety Net program, an average of 146,300 families are expected to be helped in 2006-07, a decrease of 5,600. The caseload for single adults/couples supported through the Safety Net program is projected at 141,700, decrease of 2,500.

## FINANCIAL PLAN

<b>Welfare/Administration</b>			
<b>Sources of Annual Spending Increase/(Decrease)</b>			
<b>(millions of dollars)</b>			
	<b>General Fund</b>	<b>Federal Funds</b>	<b>All Funds</b>
<b>Current Services:</b>			
Caseload Decline	(22)	(18)	(40)
TANF	102	(87)	15
Welfare Consolidation	0	305	305
SSI Adult Home Reclassification	23	0	23
<b>Recommended Savings:</b>			
TANF Performance Bonus	(44)	0	(44)
Eligibility/Benefit Restrictions	(26)	0	(26)
TANF Reprogramming	(25)	0	(25)
Public Assistance Work Rate Incentive	(11)	0	(11)
Safety Net Personal Needs Allowance	(2)	0	(2)
<b>Recommended Additions:</b>			
Local Administration Fund	11	0	11
Employment Initiative	3	0	3
All Other	3	0	3
<b>Annual Change</b>	<b>12</b>	<b>200</b>	<b>212</b>

### **Current Services**

**Caseload Decline:** Reflects a projected decline of 14,300 in the combined family caseload (i.e., Family Assistance and Safety Net families) and 2,500 in the “non-family” Safety Net caseload. The family caseload supported by the TANF block grant is also expected to drop, resulting in a reduction in Federal spending.

**TANF:** The amount of TANF funding available to finance General Fund public assistance spending is expected to decline in 2006-07, following the use of non-recurring TANF money in 2005-06.

**Welfare Consolidation:** The 2005-06 Enacted Budget consolidated all TANF spending in the Office of Temporary and Disability Assistance (OTDA), including TANF spending for both the Child Care and the Title XX Social Services Block Grants. The 2006-07 increase reflects the full annualization of this action, which began partly through the 2005-06 fiscal year. Spending for the Office for Children and Family Services (OCFS), which previously provided this funding, shows a corresponding reduction.

**Supplemental Security Income Adult Home Reclassification:** The State supplement for Supplemental Security Income recipients in adult care facilities, which was enacted mid-way through 2005-06, is reaching its full annual value.

### ***Recommended Savings***

**TANF Performance Bonus:** The State received a TANF "bonus" from the Federal government for a decrease in the State's rate of teenage out-of-wedlock pregnancies, an increase in job entries, and an increase in "family formation." The bonus is recommended to be used to finance State welfare costs.

**Eligibility/Benefit Restrictions:** Proposed benefit changes would add the value of Supplemental Security Income payments when determining eligibility for benefits (\$14 million), and eliminate the entire public assistance benefit for families in which an adult repeatedly fails to comply with mandated employment obligations (\$12 million).

**TANF Reprogramming:** The unspent prior-year TANF surplus, including money earmarked for the Health Care Worker program, is recommended to support EITC costs.

**Public Assistance Work Rate Incentive:** State reimbursement for administrative costs would be reduced for local districts that fail to achieve State-mandated work participation rates for their public assistance population. The savings would be reinvested in the Local Administration Fund (see below).

**Safety Net Personal Needs Allowance:** This proposal would reduce the personal needs allowance given to Safety Net recipients residing in drug treatment facilities to correspond to the allowance given to those residing in homeless or domestic violence shelters.

### ***Recommended Additions***

**Local Administration Fund:** Savings generated from the recommended work rate incentive would be reinvested in the Local Administration Fund to provide local districts with funding to pursue initiatives that would address the needs of public assistance social services recipients with multiple barriers to employment or self-sufficiency, such as drug addiction or mental instability.

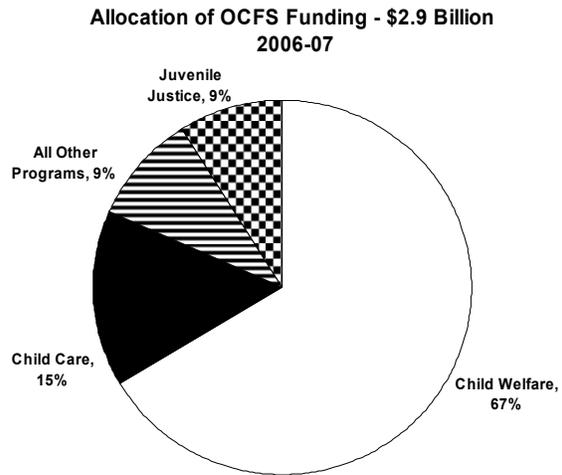
**Employment Initiative:** This proposal would result in a five-county demonstration program to assist unemployed and under-employed, non-custodial parents in obtaining and retaining employment. It is intended to strengthen families through incentives to encourage enhanced participation by fathers.

**All Other Budget Actions:** Supplemental Security Income and Safety Net costs are projected to increase as a result of the NY/NY III supportive housing agreement (\$2 million) and additional funding is proposed for the home visiting program (\$1 million).

# FINANCIAL PLAN

## OFFICE OF CHILDREN AND FAMILY SERVICES (OCFS)

OCFS provides child welfare services for foster care, adoption, child protective services, delinquency prevention, and child care. OCFS oversees the State's system of family support and child welfare services administered by local departments of social services and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services to reduce out-of-home placement of children. In addition, the State Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families. The youth facilities program serves youth directed by family or criminal courts to be placed in residential facilities. Federal funding for OCFS programs is provided through the Flexible Fund for Family Services, Federal Title IV-E Foster Care and Adoption Assistance payments, the Federal Child Care and Development Fund, and the Title XX Social Services Block Grant.



<b>Children and Family Services Spending Projections (millions of dollars)</b>				
	<b>2005-06 Revised</b>	<b>2006-07 Proposed</b>	<b>Annual Change</b>	<b>Percent Change</b>
<b>General Fund</b>	1,433	1,540	107	7.5%
Other State Support	28	33	5	17.9%
<b>State Funds</b>	<b>1,461</b>	<b>1,573</b>	<b>112</b>	<b>7.7%</b>
Federal Funds	1,620	1,291	(329)	-20.3%
<b>All Funds</b>	<b>3,081</b>	<b>2,864</b>	<b>(217)</b>	<b>-7.0%</b>

All Funds spending for OCFS is primarily for child welfare (\$1.9 billion), child care (\$442 million) and juvenile justice services including delinquency prevention, youth facilities and local detention facilities (\$255 million). The annual changes are described below.

<b>Children and Family Services</b>				
<b>Sources of Annual Spending Increase/(Decrease)</b>				
<b>(millions of dollars)</b>				
	<b>General Fund</b>	<b>Other State Funds</b>	<b>Federal Funds</b>	<b>All Funds</b>
<b>Current Services:</b>				
TANF Welfare Consolidation	0	0	(305)	(305)
Increased Program Costs	60	5	14	79
Federal Reimbursement for State Operations	38	0	(38)	0
<b>Recommended Savings:</b>				
State Operations	(5)	0	0	(5)
CR Closures/Community Based Investment	0	0	0	0
<b>Recommended Additions:</b>				
Human Services COLA	7	0	0	7
Child Welfare Collaboration	5	0	0	5
Mental Health Program Expansion	2	0	0	2
<b>Total Annual Change</b>	<b>107</b>	<b>5</b>	<b>(329)</b>	<b>(217)</b>

***Current Services***

**TANF Welfare Consolidation:** As described in the welfare section, the 2005-06 Enacted Budget consolidated all TANF spending in OTDA, including TANF spending for both the Child Care and the Title XX Social Services Block Grants. The decrease in OCFS reflects the full annualization of this action.

**Increased Program Costs:** Spending increases in the General Fund are due to upward revisions in local assistance payments, primarily for expected State reimbursements to local districts for child welfare, adoption, and committees on special education spending (\$39 million) and increases in State operations spending, mostly for salary increases and operational costs (\$21 million). Additionally, increased Federal funds for adoption assistance and other purposes result in modest growth in Federal spending.

**Federal Reimbursement for State Operations:** The amount of Federal aid available to finance agency operations is expected to decline in 2006-07.

***Recommended Savings***

**State Operations:** The increased use of State staff to provide information technology functions for OCFS programs, and the replacement of temporary staff with permanent staff for the child abuse hotline and other services, generates net savings of \$4 million. Additionally, measures will be taken to increase the level of Federal funds to support agency operations, resulting in General Fund savings (\$1 million).

**Community Residential Closures/Community-Based Investment:** The proposed closure of three underutilized community residential homes would generate savings that, in part, will be used to enhance the Evidence-based Community Initiative program (\$0.4 million).

## ***FINANCIAL PLAN***

### ***Recommended Additions***

**Human Services Cost-of-Living Adjustment:** A cost-of-living adjustment is recommended for workers in foster care and adoption programs (\$5 million and \$2 million, respectively).

**Child Welfare Collaboration:** This initiative proposes a local demonstration program to coordinate the identification and treatment of substance abuse issues in families within the child welfare system.

**Mental Health Program Expansion:** This recommendation would expand access to mental health services for mentally ill children in foster care or those at risk of institutional placement.

### ***MENTAL HYGIENE***

The Department of Mental Hygiene comprises three independent agencies — OMH, OMRDD, and OASAS. Services are administered to individuals with mental illness, developmental disabilities, and chemical dependence through institutional or community-based settings. Specifically, OMH plans and operates an integrated system of mental health care that serves adults with serious and persistent mental illness and children with serious emotional disturbances. OMRDD serves individuals with developmental disabilities and their families. OASAS licenses and evaluates service providers and implements programs for the prevention, early intervention, treatment, and recovery from chemical dependence and compulsive gambling.

All three agencies provide services directly to their patients through State-operated facilities and indirectly through community service providers, receiving reimbursement from Medicaid, Medicare and third-party insurance. Patient revenues are pledged first to the payment of debt service on outstanding Mental Hygiene bonds, with the remaining revenue deposited to the Patient Income Account (PIA) which supports General Fund costs of providing services.

<b>Mental Hygiene Spending Projections</b> (millions of dollars)				
	<b>2005-06</b> <b>Revised</b>	<b>2006-07</b> <b>Proposed</b>	<b>Annual</b> <b>Change</b>	<b>Percent</b> <b>Change</b>
<b>General Fund</b>	<b>2,510</b>	<b>2,829</b>	<b>319</b>	<b>12.7%</b>
Other State Support	446	572	126	28.3%
<b>State Funds</b>	<b>2,956</b>	<b>3,401</b>	<b>445</b>	<b>15.1%</b>
Federal Funds	2,732	2,705	(27)	-1.0%
<b>All Funds</b>	<b>5,688</b>	<b>6,106</b>	<b>418</b>	<b>7.3%</b>

All Funds spending for Mental Hygiene consists of \$3.1 billion for OMRDD, \$2.4 billion for OMH and \$527 million for OASAS. The State share of Medicaid spending budgeted in the Department of Mental Hygiene is projected to total \$1.4 billion in 2006-07. The annual increase in Mental Hygiene programs is described in more detail below.

<b>Mental Hygiene</b>				
<b>Sources of Annual Spending Increase/(Decrease)</b>				
<b>(millions of dollars)</b>				
	<b>General Fund</b>	<b>Other State Funds</b>	<b>Federal Funds</b>	<b>All Funds</b>
<b>Current Services:</b>				
State Operations Program Growth	375	13	(196)	192
Local Services	64	35	0	99
All Other Changes	0	47	0	47
<b>Recommended Savings:</b>				
Patient Income Account Actions	(126)	20	169	63
Operational Efficiencies	(54)	0	0	(54)
<b>Recommended Additions:</b>				
OMH Initiatives	26	1	0	27
Human Service COLA	18	0	0	18
OASAS Initiatives	12	0	0	12
Capital Programs	0	10	0	10
OMRDD Initiatives	4	0	0	4
<b>Total Annual Change</b>	<b>319</b>	<b>126</b>	<b>(27)</b>	<b>418</b>

**Current Services**

**State Operations Program Growth:** Prior to recommendations, General Fund spending grows as a result of a projected decline in PIA revenues (\$196 million); funding for collective bargaining agreements, inflation, and staffing adjustments (\$160 million); and the annualization of operating costs of the Sexually Violent Predator Civil Commitment Initiative (\$19 million).

**Local Services:** Existing program commitments and mandates, including the NYS-CARES and NYS-OPTS programs in OMRDD and OMH’s community bed expansion, are projected to increase.

**All Other Changes:** Upward adjustments to OMRDD facilities capital improvement spending for the ongoing capital project at the Bernard Fineson Development Center (\$38 million) account for the majority of other State funds cost increases.

**Recommended Savings**

**PIA Actions:** State actions to generate higher Federal aid includes enhanced trend factors, Medicaid rate adjustments, additional revenues for inpatient billings and provider health care costs, and billing for pharmacy costs under Medicare Part D. These actions are expected to increase the amount of patient care revenues available to support what would otherwise be General Fund costs in 2006-07.

## ***FINANCIAL PLAN***

---

**Operational Efficiencies:** Provider audits and recoveries, managing community bed development, and other local program cash management actions are expected to generate \$42 million in savings. In addition, State Operations efficiencies achieved through continued vacancy and staffing controls aimed at reducing excessive overtime will reduce costs by a projected \$12 million.

### ***Recommended Additions***

**OMH Initiatives:** The Executive Budget recommends redirecting savings generated from budget actions to fund several Mental Health initiatives, including children's mental health programs (\$14 million), operating costs associated with the NY/NY III supportive housing agreement (\$8 million), establishment of a geriatric mental health grant program (\$2 million) and implementation of a statewide suicide prevention program (\$2 million).

**Human Services Cost-of-Living Adjustment:** The Budget proposes a 2.5 percent cost-of-living adjustment for OMH (\$11 million), OASAS (\$4 million) and OMRDD (\$3 million) service providers.

**OASAS Initiatives:** Savings generated from other budget actions would be redirected to fund initiatives, including enhancing chemical dependence treatment to reduce parolee recidivism rates (\$3 million), establishing outpatient detoxification clinics in homeless shelters (\$3 million), developing a chemical dependence treatment program for veterans (\$2 million), combating underage drinking with an education and prevention campaign (\$2 million), and piloting new adolescent outpatient treatment models (\$2 million).

**Capital Programs:** Additional capital program funding is proposed for the ongoing development of additional community beds.

**OMRDD Initiatives:** Enhancing the quality of care for individuals with dual diagnoses of mental illness and developmental disabilities (\$0.7 million) and expanding services to autistic children (\$3.2 million) will be financed with savings achieved from other budget actions.

## ***TRANSPORTATION***

New York's transportation network includes 239,000 lane miles of roads, 19,500 bridges, 4,000 railroad miles, 147 public-use airports, 12 major ports and over 70 mass transit systems. The State helps maintain and improve this extensive collection of assets through taxes, Federal grants, general obligation bonds, and bonds issued by public authorities pursuant to contractual agreements with the State. The Department of Transportation (DOT) directly maintains the more than 40,000 State highway lane miles and 7,500 bridges. In addition to State-owned transportation assets, the DOT assists in funding projects for highways, bridges, transit systems and other transportation facilities which are owned by local governments.

<b>Transportation Spending Projections</b> (millions of dollars)				
	<b>2005-06 Revised</b>	<b>2006-07 Proposed</b>	<b>Annual Change</b>	<b>Percent Change</b>
<b>General Fund</b>	<b>151</b>	<b>61</b>	<b>(90)</b>	<b>-59.6%</b>
Other State Support	4,083	4,383	300	7.3%
<b>State Funds</b>	<b>4,234</b>	<b>4,444</b>	<b>210</b>	<b>5.0%</b>
Federal Funds	1,555	1,530	(25)	-1.6%
<b>All Funds</b>	<b>5,789</b>	<b>5,974</b>	<b>185</b>	<b>3.2%</b>

Of the nearly \$6 billion in All Funds spending, \$2.3 billion is for capital projects, \$2.7 billion is for statewide mass transit operations and local highway and bridge capital aid, and over \$1 billion is for DOT agency staff and related costs. The latter consists primarily of engineering services and related capital program support, snow and ice removal, preventive maintenance activities, and regulatory activities.

General Fund spending is projected to decrease in 2006-07 due to the proposed payment of the State's subsidy for the Metropolitan Transportation Authority's School Fare Program in 2005-06 instead of 2006-07. The payout still occurs in the Authority's calendar 2006 fiscal year. Absent the advance, spending would be level over the two fiscal years.

Spending from other State Funds is projected to increase by \$300 million. The recommended payment of \$200 million in aid to transit systems in 2005-06 rather than in 2006-07 leaves overall transit aid essentially flat over the two years. Total disbursements from transportation-related special revenue funds are projected to be \$2.3 billion in 2006-07. Capital projects spending is projected to increase by \$320 million, reflecting implementation of the second year of the \$35.9 billion five-year transportation capital plan enacted in 2005-06. Spending will be financed with \$157 million in General Obligation bonds from the Rebuild and Renew New York Transportation Bond Act of 2005 passed by the voters in November 2005. In addition, \$163 million in Dedicated Highway and Bridge Trust Fund resources are projected to be used to support the capital plan.

<b>Transportation</b> <b>Sources of Annual Spending Increase/(Decrease)</b> (millions of dollars)				
	<b>General Fund</b>	<b>Other State Funds</b>	<b>Federal Funds</b>	<b>All Funds</b>
<b>Current Services:</b>				
Transit Aid/MTA Support/Other	(90)	(20)	0	(110)
2005 GO Bond Act	0	157	0	157
Federal Reestimates	0	0	(25)	(25)
Authority Bonds	0	108	0	108
Pay-as-you-go Capital Projects	0	55	0	55
<b>Annual Change</b>	<b>(90)</b>	<b>300</b>	<b>(25)</b>	<b>185</b>

## FINANCIAL PLAN

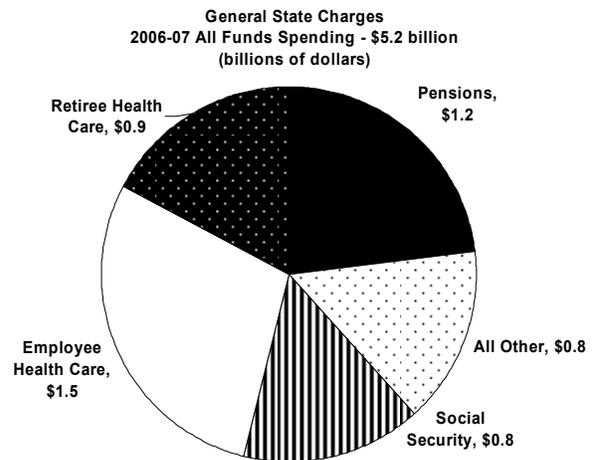
**Transit Aid/Support/Other SRO:** Transit aid includes the acceleration to 2005-06 of \$200 million in operating aid from the Mass Transportation Operating Aid Fund, and \$45 million for the School Fare Subsidy for the General Fund, both of which were originally scheduled to be paid in 2006-07. This aid still would be received by the MTA in 2006 and thus has no impact on Authority operations. Also, spending from DOT's other special revenue accounts increases slightly.

**2005 General Obligation Bond Act:** Consists of an increase of \$157 million for the first full year of spending for the Rebuild and Renew New York Transportation Bond Act. Spending in other general obligation bond programs for transportation is expected to decline slightly in 2006-07 as authorization levels are exhausted.

**Capital Reestimates:** Based on updated data, Federal grants for capital projects are expected to decline modestly in 2006-07, while projects financed from authority bond proceeds and on a pay-as-you-go basis are projected to increase.

### GENERAL STATE CHARGES

General State Charges account for the costs of fringe benefits provided to State employees and retirees of the Executive, Legislative and Judicial branches, tax payments to municipalities related to public lands, and certain litigation against the State. Fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, social security, health insurance, workers' compensation and unemployment insurance. Other costs include State payments-in-lieu-of-taxes to local governments for certain State-owned lands, and payments related to lawsuits against the State and its public officers.



<b>General State Charges Spending Projections</b> (millions of dollars)				
	<b>2005-06</b> <b>Revised</b>	<b>2006-07</b> <b>Proposed</b>	<b>Annual</b> <b>Change</b>	<b>Percent</b> <b>Change</b>
<b>General Fund</b>	4,003	4,344	341	8.5%
Other State Support	554	618	64	11.6%
<b>State Funds</b>	<b>4,557</b>	<b>4,962</b>	<b>405</b>	<b>8.9%</b>
Federal Funds	217	242	25	11.5%
<b>All Funds</b>	<b>4,774</b>	<b>5,204</b>	<b>430</b>	<b>9.0%</b>

Employee fringe benefits are paid mostly from the General Fund (83 percent), supplemented with revenue from fringe benefit assessments on Federal funds and other dedicated revenue programs (17 percent). Other General State Charges costs are paid in full by General Fund revenues.

All Funds spending on General State Charges is expected to total \$5.2 billion in 2006-07, and comprises health insurance spending for employees (\$1.5 billion) and retirees (\$942 million), pensions (\$1.2 billion), and social security (\$830 million). The annual changes are described in more detail below.

<b>General State Charges</b>				
<b>Sources of Annual Spending Increase/(Decrease)</b>				
<b>(millions of dollars)</b>				
	<b>General Fund</b>	<b>Other State Funds</b>	<b>Federal Funds</b>	<b>All Funds</b>
<b>Current Services:</b>				
Employee/Retiree Health Care	197	0	0	197
Pension Contribution	109	0	0	109
All Other	56	64	25	145
<b>Recommended Savings:</b>	(21)	0	0	(21)
<b>Annual Change</b>	<b>341</b>	<b>64</b>	<b>25</b>	<b>430</b>

**Current Services**

**Employee/Retiree Health Care:** Premiums for the State employee health plan are projected to increase by 8.9 percent in 2006-07.

**Pension Contribution:** Projected contributions to the New York State and Local Retirement Systems for fiscal year 2006-07 are based on estimated pension contribution rates provided by the State Comptroller. Baseline projections from the Comptroller show an employer pension contribution rate of 10.2 percent of payroll in 2006-07 compared to 10.6 percent in 2005-06, resulting in an annual State pension cost of \$1.2 billion. The increase of \$109 million (10 percent) in 2006-07 reflects higher salary costs and includes full payment of the State’s 2006 pension bill.

**Recommended Savings**

The recommendations include revising the interest rates payable on court judgments from the current above-market statutory fixed rate of 9 percent to the prevailing market rate (\$7 million in savings), and the use of interest earnings generated by the Health Insurance Fund to support program costs (\$8 million). Other recommendations include a proposal to limit assessment increases on certain State-owned land.

**DEBT SERVICE**

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as bonds issued by State public authorities (e.g., Empire State Development Corporation, Dormitory Authority of the State of New York, Thruway Authority, Local Government Assistance Corporation (LGAC) for which the State is contractually obligated

## ***FINANCIAL PLAN***

to pay debt service, subject to an appropriation. Depending on the credit, debt service is financed through transfers from the General Fund, dedicated taxes and fees, and other resources, such as patient income revenues.

<b>Debt Service Spending Projections</b> (millions of dollars)				
	<b>2005-06</b> <b>Revised</b>	<b>2006-07</b> <b>Proposed</b>	<b>Annual</b> <b>Change</b>	<b>Percent</b> <b>Change</b>
<b>General Fund</b>	<b>1,727</b>	<b>1,731</b>	<b>4</b>	<b>0.2%</b>
Other State Support	1,996	2,387	391	19.6%
<b>State Funds</b>	<b>3,723</b>	<b>4,118</b>	<b>395</b>	<b>10.6%</b>
Federal Funds	0	0	0	0.0%
<b>All Funds</b>	<b>3,723</b>	<b>4,118</b>	<b>395</b>	<b>10.6%</b>

All Funds debt service is projected at \$4.1 billion in 2006-07, of which \$1.7 billion is paid from the General Fund through transfers and \$2.4 billion from other State funds. Debt service is paid on revenue credits supported by dedicated taxes and fees and patient income, including PIT Revenue Bonds, Dedicated Highway and Bridge Trust Fund bonds and Mental Health facilities bonds, as well as service contract bonds that are secured mainly by the General Fund.

<b>Debt Service</b> <b>Sources of Annual Spending Increase/(Decrease)</b> (millions of dollars)				
	<b>General</b> <b>Fund</b>	<b>Other State</b> <b>Funds</b>	<b>Federal</b> <b>Funds</b>	<b>All</b> <b>Funds</b>
<b>Current Services:</b>	54	389	0	443
<b>Recommended Savings:</b>	(50)	0	0	(50)
<b>Recommended Additions:</b>	0	2	0	2
<b>Annual Change</b>	<b>4</b>	<b>391</b>	<b>0</b>	<b>395</b>

### ***Current Services***

**Underlying Growth:** The \$443 million in current underlying growth reflects planned increases in debt service costs to support ongoing bonded capital needs, higher variable rate costs, and reduced refunding savings compared to 2005-06. The increased spending is for Economic Development purposes (\$70 million), SUNY and CUNY (\$214 million), the Environment (\$26 million), LGAC (\$36 million), and State Facilities (\$37 million). Variable interest rates, which have been rising steadily, are assumed at 3.25 percent for 2006-07 compared to 2.65 percent in 2005-06, driving \$7 million in added debt service costs. Lower refunding savings are expected due to rising interest rates and limited refunding opportunities since \$19 billion of State-supported bonds have been refunded since 2002-03.

The State continues to implement measures to reduce underlying growth in debt service costs. Specifically, the State will continue to use highly rated personal income tax revenue bonds (in lieu of more costly service contract bonds) to finance a variety of capital programs.

It also plans to maximize savings opportunities by using available swaps capacity, employing less costly variable rate debt if market conditions are favorable, and increasing earnings on debt service funds deposited in advance of bondholder payments. For a more complete discussion on management initiatives pertaining to the State's debt portfolio, please refer to the volume entitled "Capital Program and Financing Plan" with this Executive Budget.

### ***Recommended Savings***

The 2006-07 Executive Budget includes projected savings of \$50 million for the debt service budget. A variety of debt management actions, including maximizing refunding savings, diversifying the State's debt portfolio with variable rate obligations and interest rate exchange agreements, using less costly PIT bonds, and increasing earnings on debt service funds, are projected to reduce the growth in costs.

### ***Recommended Additions***

The increase in debt service is for newly recommended bond-financed capital spending, primarily for higher education and economic development.

### ***ALL OTHER***

In addition to the programs described above, the Executive Budget includes funding for economic development, environmental protection, criminal justice, general government, the Judiciary, and various other programs. Significant sources of annual change in these areas include:

**Judiciary:** Based on the Judiciary's budget request, All Funds spending grows by \$211 million, mainly for salary increases for judges recommended by the Judiciary (\$70 million) and collective bargaining agreements and performance advances (\$84 million). In addition, non-personal service costs also increase, primarily for security (\$13 million) and contractual and fixed costs (\$13 million).

**Empire State Development Corporation:** New and continuing initiatives, primarily for high technology and regional economic development, account for most of the \$120 million increase in All Funds.

**Correctional Services:** Spending grows by \$90 million in All Funds for collective bargaining, performance advances, operational costs, inmate medical care, and inflation.

**Local Government Aid:** The 2006-07 budget provides additional local property tax relief by including annual performance-based increases in State aid and financial incentives for municipalities to reduce operating expenses under the Aid and Incentives for Municipalities (AIM) program. In 2006-07, AIM increases would range from 3.25 percent to 11 percent for cities outside of New York City and 3.25 percent for towns and villages. The increases in AIM funding are projected to cost \$50 million in 2006-07, with additional State support growing to \$150 million in 2008-09. The recommended AIM program also includes an expected cash disbursement of \$15 million for the expansion of the Shared Municipal Services Incentive (SMSI) grant program. New funding is recommended for the Efficiency

## ***FINANCIAL PLAN***

---

Incentive Grant program, to support cost saving initiatives in Erie County and the City of Buffalo. The \$50 million, five-year program authorized in the Erie County Fiscal Stability Act will be accelerated and paid over three years, beginning with \$20 million in 2006-07. In addition, Madison and Oneida counties will again receive a combined \$4 million as interim financial assistance related to Indian land claim settlements.

**Elections:** Implementation of the Help America Vote Act supported by Federal aid accounts for the \$209 million spending increase in All Funds.

**Homeland Security:** As a result of the centralization of all “homeland security” grants, Federal grants previously budgeted in Criminal Justice Services have been transferred to the Office of Homeland Security (\$221 million). The remaining increase is attributable primarily to projected growth in Federal Homeland Security grants.

**Criminal Justice Services:** The decline in Criminal Justice Services spending is largely attributable to centralization of all Federal homeland security spending described above (\$221 million).



***GENERAL FUND FINANCIAL PLAN  
OUT-YEAR PROJECTIONS***



**GENERAL FUND FINANCIAL PLAN PROJECTIONS  
FOR 2007-08 AND 2008-09**

**REVISIONS TO THE GAP FORECAST**

Before the 2006-07 Executive Budget recommendations, DOB projects potential budget gaps of \$751 million in 2006-07, \$3.2 billion in 2007-08, and \$3.9 billion in 2008-09. The operating gaps have been revised since the Mid-Year Financial Plan, as summarized in the table below.

<b>Revisions to Operating Gaps Since the Mid-Year Update (Prior to Executive Budget Recommendations)</b>			
	<b>GENERAL FUND (\$ Millions)</b>		
	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09 *</b>
<b>Mid-Year Receipts</b>	<b>48,771</b>	<b>50,657</b>	
<b>Mid-Year Disbursements</b>	<b>51,859</b>	<b>55,608</b>	
<b>Use of Fund Balances</b>	<b>542</b>	<b>543</b>	
<b>Mid-Year Budget Gaps</b>	<b>(2,546)</b>	<b>(4,408)</b>	
Revenue Increases	1,544	1,000	
Spending Decreases	771	772	
Exclusion of Spending Stabilization Reserve **	(520)	(519)	
<b>Budget Gaps (Before Recommendations)</b>	<b>(751)</b>	<b>(3,155)</b>	<b>(3,895)</b>

\* 2008-09 gap estimate is published for the first time in this Financial Plan.

\*\* The Mid-Year Update assumed the 2005-06 surplus would be used to lower the 2006-07 and 2007-08 gaps. To more clearly reflect the use of these resources, such amounts have been excluded for purposes of calculating the gaps prior to Executive Budget recommendations.

In the Mid-Year Financial Plan, DOB noted that there was a strong likelihood that revenues would exceed the levels forecasted at that time. With an additional two-and-one-half months of collections experience, DOB has increased its estimate of General Fund revenues since the Mid-Year Update for both 2006-07 and 2007-08, based on actual results to date and the strong underlying economy, the boost from financial services sector activity, and the strength in the real estate market.

The revised receipts, projection assumes continued rapid growth in base receipts of 8 percent. The upward revision to General Fund receipts (including changes in fund balances) is \$1.4 billion. Tax revisions account for most of this revision, with the remainder of the growth split between miscellaneous receipts and Federal grants. A portion of this expected gain in receipts is due to events that have already occurred. The strong economy in 2005 will continue to drive large gains in receipts in early fiscal year 2006-07 as personal income taxpayers finalize payments on their 2005 liability. Receipts growth for the second half of 2006-07 is expected to slow reflecting the sunset of the temporary income tax surcharge, a modest cooling off of the economy, and a moderate decline in tax receipts associated with the large gains in real estate prices in 2004 and 2005, as the housing market hits a plateau.

## FINANCIAL PLAN

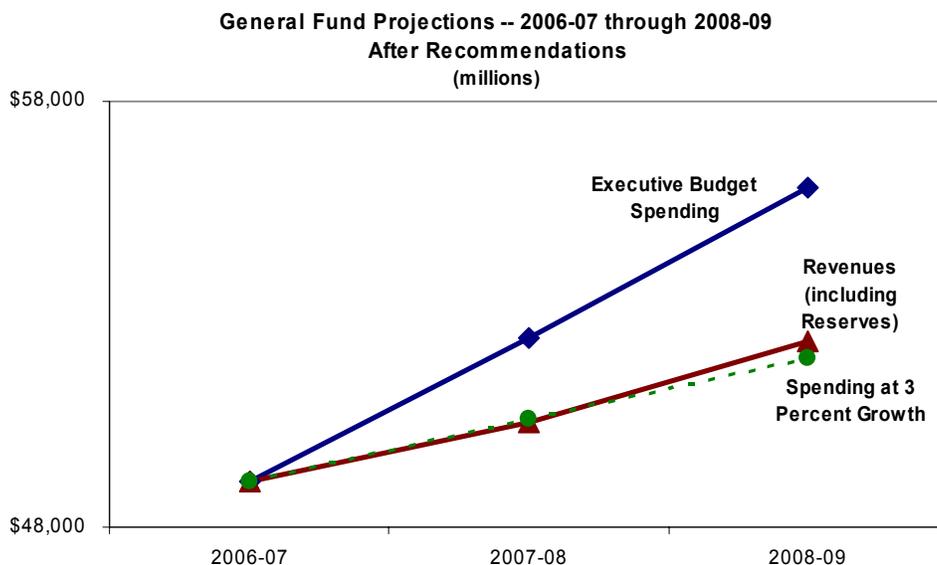
Out-year growth in tax receipts, before the impact of recommended tax actions, is expected to grow at rates consistent with the mature stage of economic expansion, in the range of 5 percent to 6 percent.

General Fund spending has been lowered from the Mid-Year estimate by roughly \$770 million in each year. In both years, DOB has lowered its spending forecast for public assistance based on caseload activity (\$94 million growing to \$110 million), pension costs due in part to updated information from the Retirement Systems (\$80 million growing to \$93 million), public health spending based on experience to date (\$102 million to \$85 million), and the impact of the Federal “clawback” under the Medicare Part D prescription drug program, after discussions with Federal officials (\$140 million growing to \$167 million). It has also raised the estimate of lottery receipts expected to be available to finance general school aid (\$97 million in 2006-07 and \$74 million in 2007-08) and adjusted the school aid forecast (\$30 million to \$172 million). Higher spending for the Judiciary, based on its budget request, including judicial pay raises, partially offsets the reductions (roughly \$135 million annually). In addition, 2006-07 costs are reduced from earlier projections for the payment of certain Medicaid and transit expenses in 2005-06 rather than 2006-07 (\$295 million), and a one-year delay in the receipt of hospital assessment revenues available to support General Fund spending (\$106 million).

### IMPACT OF EXECUTIVE BUDGET ON GAP FORECAST

The recommendations set forth in the Budget result in a balanced General Fund Financial Plan in 2006-07 and reduce the projected out-year budget gaps to \$1.9 billion in 2007-08 and \$3.8 billion in 2008-09. The projections assume the Legislature will enact the 2006-07 Executive Budget in its entirety, including spending additions and tax policy changes.

Before the Executive Budget recommendations, spending is projected to grow in the range of 7 percent to 8 percent annually over the next three fiscal years. The 2006-07 budget recommendations moderate the nominal rate of growth to 5 percent in 2006-07 and under 7 percent in 2007-08 and beyond. If future budgets were to hold spending growth to roughly 3 percent, the structural budget gaps would be effectively eliminated.



## FINANCIAL PLAN

The following tables summarize the impact of the 2006-07 Budget recommendations on the 2007-08 and 2008-09 budget gaps, as well as the annual changes in projected receipts, disbursements, and the use of reserves.

<b>2006-07 Executive Budget Recommendations Impact on General Fund Operating Balance (millions of dollars)</b>			
	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
<b>"Current Services" Surplus/(Gaps)</b>	<b>(751)</b>	<b>(3,155)</b>	<b>(3,895)</b>
<b>Spending Reductions</b>	1,983	3,136	3,338
<b>Fines/Fees/Other</b>	94	155	154
<b>Spending Additions</b>	(406)	(834)	(1,194)
<b>Tax Policy Changes</b>	(844)	(2,210)	(3,268)
<b>Debt Reduction Reserve Deposit (One-Time)</b>	(250)	0	0
<b>Finance Debt Reduction Deposit (One-Time)</b>	227	0	0
<b>Spending Stabilization (Deposit)/Use</b>	(53)	1,022	1,022
<b>Executive Budget Gaps</b>	<b>0</b>	<b>(1,886)</b>	<b>(3,843)</b>

Detailed explanations of the Executive Budget recommendations summarized in the above table are provided in the section entitled "Highlights" in this Financial Plan.

<b>General Fund Financial Plan Projections, 2006-07 through 2008-09 (millions of dollars)</b>					
	<b>2006-07</b>	<b>2007-08</b>	<b>Annual Change</b>	<b>2008-09</b>	<b>Annual Change</b>
<b>Receipts</b>	50,166	50,011	(155)	51,465	1,454
<b>Disbursements</b>	49,638	52,809	3,171	56,320	3,511
<b>Balances/Reserves (Deposit)/Use</b>	(528)	912	1,440	1,012	100
<b>Executive Budget Gaps</b>	<b>0</b>	<b>(1,886)</b>	<b>(1,886)</b>	<b>(3,843)</b>	<b>(1,957)</b>

### **OUT-YEAR RECEIPT PROJECTIONS**

General Fund receipts, including transfers from other funds, are projected to total \$50.2 billion in 2007-08, a decrease of \$155 million from 2006-07 projections. Receipts decline as recommended tax reductions are phased in. Receipts are projected to grow by \$1.4 billion in 2008-09 to total \$51.5 billion. The growth in underlying tax receipts for 2006 through 2008 is consistent with average growth during the mature stages of an economic expansion. A more detailed comparison of historical and projected growth rates for tax receipts is contained in the Executive Budget volume entitled "Economic and Revenue Outlook: Analysis and Methodology". In general, there is significant uncertainty associated with

## ***FINANCIAL PLAN***

forecasts of receipts more than 18 months into the future. Overall, the tax receipt projections for the out-years follow the path dictated by our forecast of economic growth. History suggests a large range of potential outcomes around these estimates.

<b>General Fund Receipts Forecast</b>					
<b>(millions of dollars)</b>					
	<b>2006-07</b>	<b>2007-08</b>	<b>Annual Change</b>	<b>2008-09</b>	<b>Annual Change</b>
Personal Income Tax	22,654	22,519	(135)	24,014	1,495
User Taxes and Fees	8,810	9,131	321	9,416	285
Business Taxes	4,999	5,083	84	4,696	(387)
Other Taxes	900	817	(83)	703	(114)
Miscellaneous Receipts	2,708	2,573	(135)	2,342	(231)
Federal Grants	9	9	0	9	0
Transfers from Other Funds					
Revenue Bond Fund	6,932	6,695	(237)	7,013	318
LGAC Fund	2,356	2,455	99	2,548	93
CW/CA Fund	532	515	(17)	507	(8)
All Other	266	214	(52)	217	3
<b>Total Receipts</b>	<b>50,166</b>	<b>50,011</b>	<b>(155)</b>	<b>51,465</b>	<b>1,454</b>
<i>Annual Percent Change</i>			<i>-0.3%</i>		<i>2.9%</i>

### ***Taxes***

In general, income tax growth for 2007-08 and 2008-09 is governed by projections of growth in taxable personal income and its major components, including wages, interest and dividend earnings, realized taxable capital gains, and business net income and income derived from partnerships and S Corporations.

General Fund income tax receipts are projected to decrease by \$135 million to \$22.5 billion in 2007-08. The change from 2006-07 reflects growth in underlying liability, offset by the phase-in of proposed tax reductions. PIT receipts for 2008-09 are projected to increase by \$1.5 billion to \$24 billion. Again, the increase reflects growth in liability consistent with an expanding personal income base during economic expansion offset by the final phase of proposed tax actions.

The 2007-08 and 2008-09 projections also assume increases in the other major components of income, consistent with continued growth in the overall economy. See the volume entitled "Economic and Revenue Outlook" for a more detailed discussion of these estimates.

There is significant uncertainty associated with the forecast of the out-year income components. In many cases, a reasonable degree of uncertainty around the predicted income components would include a significant range around out-year income tax estimates.

General Fund receipts from user taxes and fees are estimated to total \$9.1 billion in 2007-08, an increase of \$321 million from 2006-07. The increase is due almost exclusively to the projected growth in the sales tax base. The underlying growth in the sales tax base in 2007-08 is expected to remain in the range of 3 percent to 4 percent.

General Fund user taxes and fees are expected to grow to \$9.4 billion in 2008-09. The economy is expected to continue to grow at trend rates over this period, resulting in sales tax growth consistent with historical averages.

General Fund business tax receipts are expected to increase to \$5.1 billion in 2007-08 reflecting trend growth in business tax receipts, offset by the continued phase-in of the recommended tax reductions. Business tax receipts are projected to decline to \$4.7 billion in 2008-09 reflecting the full implementation of the tax reductions proposed with this Budget.

General Fund receipts from other taxes are expected to decline to \$817 million in 2007-08 and \$703 million in 2008-09, primarily reflecting the phase-in of the proposed elimination of the estate tax.

### ***Miscellaneous Receipts***

General Fund miscellaneous receipts in 2007-08 are projected to be nearly \$2.6 billion, down \$135 million from the current year. This decrease is primarily the result of the loss of certain receipts from the Power Authority. In 2008-09, General Fund miscellaneous receipts collections are projected to be nearly \$2.4 billion, down \$231 million from 2007-08. This decrease results from expected declines in licenses and fees, the loss of bond issuance charges from the base and a decrease in the value of the local government revenue and disbursement program.

### ***Transfer from Other Funds***

Transfers from other funds are estimated to decline to \$9.9 billion in 2007-08 and grow to \$10.3 billion in 2008-09. In 2007-08, the projected decline is due to increases in debt service related to PIT Revenue Bonds, LGAC Bonds and Clean Water/Clean Air General Obligation debt service (\$211 million), the decline in PIT and real estate tax receipts (\$56 million) and the loss of non-recurring fund sweeps (\$43 million) partially offset by projected increase in sales tax receipts (\$111 million). In 2008-09, transfers are projected to grow due primarily to the net increase of tax receipts (\$586 million) in excess of debt service payments on revenue bonds (\$181 million).

## ***FINANCIAL PLAN***

<b>General Fund Disbursement Projections</b>					
<b>(millions of dollars)</b>					
	<b>2006-07</b>	<b>2007-08</b>	<b>Annual Change</b>	<b>2008-09</b>	<b>Annual Change</b>
<b>Grants to Local Governments:</b>	<b>33,655</b>	<b>36,293</b>	<b>2,638</b>	<b>39,255</b>	<b>2,962</b>
School Aid	13,988	14,378	390	14,911	533
Medicaid	8,841	10,487	1,646	12,534	2,047
Welfare	1,277	1,419	142	1,413	(6)
Special Education	986	1,017	31	1,074	57
Mental Hygiene (OMR/OMH)	1,257	1,406	149	1,517	111
Children and Families Services	1,302	1,389	87	1,455	66
Local Government Assistance	1,111	1,157	46	1,202	45
All Other	4,893	5,040	147	5,149	109
<b>State Operations:</b>	<b>8,888</b>	<b>9,205</b>	<b>317</b>	<b>9,472</b>	<b>267</b>
Personal Service	6,413	6,569	156	6,668	99
Non-Personal Service	2,475	2,636	161	2,804	168
<b>General State Charges</b>	<b>4,344</b>	<b>4,634</b>	<b>290</b>	<b>4,916</b>	<b>282</b>
Pensions	1,221	1,192	(29)	1,167	(25)
Health Insurance	2,399	2,713	314	2,996	283
All Other	724	729	5	753	24
<b>Transfers to Other Funds:</b>	<b>2,751</b>	<b>2,677</b>	<b>(74)</b>	<b>2,677</b>	<b>0</b>
Debt Service	1,731	1,780	49	1,766	(14)
Capital Projects	255	242	(13)	240	(2)
All Other	765	655	(110)	671	16
<b>Total Disbursements</b>	<b>49,638</b>	<b>52,809</b>	<b>3,171</b>	<b>56,320</b>	<b>3,511</b>
<i>Annual Percent Change</i>			<i>6.4%</i>		<i>6.6%</i>

### ***2007-08 AND 2008-09 DISBURSEMENT PROJECTIONS***

DOB forecasts General Fund spending of \$52.8 billion in 2007-08, an increase of \$3.2 billion (6.4 percent) over recommended 2006-07 levels. 2008-09 growth is projected at \$3.5 billion (6.6 percent). The growth levels are based on current services projections, and do not incorporate any estimate of potential actions to control spending that may result from the constitutional requirement for the Governor to submit balanced budgets annually. The amounts do assume that the 2006-07 Executive Budget is enacted in its entirety. The main sources of annual spending growth for 2007-08 and 2008-09 are itemized in the above table.

### ***GRANTS TO LOCAL GOVERNMENTS***

Annual growth in local assistance is driven primarily by Medicaid, school aid and welfare. The following table summarizes some of the factors that affect the local assistance projections over the Financial Plan period.

## FINANCIAL PLAN

Forecast for Selected Program Measures Affecting Local Assistance					
	Actual		Forecast		
	2004-05	2005-06	2006-07	2007-08	2008-09
<b>Medicaid</b>					
Medicaid Coverage (excl. FHP)	3,531,938	3,744,808	3,893,762	4,013,762	4,137,460
Medicaid Inflation	4.1%	3.9%	3.8%	3.7%	3.7%
Medicaid Utilization	3.2%	3.7%	3.8%	3.9%	4.0%
State Takeover of County/NYC Costs					
- Family Health Plus	\$60	\$289	\$528	\$573	\$573
- Medicaid	N/A	\$113	\$638	\$1,186	\$1,844
<b>Education</b>					
K-12 Enrollment	2,850,124	2,836,099	2,805,871	2,805,871	2,805,871
Public Higher Education Enrollment (FTEs)	490,916	496,189	500,070	500,070	500,070
TAP Recipients	336,700	343,881	343,881	343,881	343,881
<b>Welfare</b>					
Family Assistance Caseload	485,500	455,700	441,400	419,200	414,800
Single Adult/No Children Caseload	140,200	144,200	141,700	133,100	132,800
<b>Mental Hygiene</b>					
Mental Hygiene Community Beds	81,446	82,948	84,445	86,105	86,943

On a school year basis, school aid (including funding for SBE aid) is projected at \$17.8 billion in 2007-08 and \$18.9 billion in 2008-09. Growth of \$880 million in 2007-08 and \$1.1 billion in 2008-09 reflects general aid increases (\$500 million in both years), growth in SBE aid (\$300 million in 2007-08 and \$500 million in 2008-09), and additional funds to school districts that maintain a spending cap (\$80 million in both years). The school aid projections assume growth in the Flex Aid program, savings from recommended reforms to building aid, special education, and BOCES aid, and maintenance of current aid levels for most other purposes. On a State fiscal year basis, General Fund school aid spending is projected to grow by approximately \$390 million in 2007-08 and \$533 million in 2008-09. Outside of the General Fund, revenues from the general lottery are projected to increase slightly (\$27 million in 2007-08 and \$4 million in 2008-09). In addition, VLT revenues are projected to increase by \$462 million in 2007-08 and another \$484 million in 2008-09. The VLT estimates assume the start of operations at two racetracks, Yonkers (in October 2006) and Aqueduct (in October 2007), as well as the expansion of VLT gaming starting in 2008-09. SBE aid is projected to total \$1.0 billion in 2007-08 and \$1.5 billion in 2008-09.

General Fund spending for Medicaid, after the impact of 2006-07 Executive recommendations, is expected to grow by roughly \$1.6 billion in 2007-08 and another \$2 billion in 2008-09. This growth results, in part, from the combination of more recipients, higher service utilization, and medical-care cost inflation, including prescription drug prices. These factors are projected to add approximately \$900 million in 2007-08 and \$1.2 billion in 2008-09. In addition, the State cap on local Medicaid costs and takeover of local FHP costs are estimated to rise by a combined \$593 million in 2007-08 and \$658 million in 2008-09.

DOB projects the average number of Medicaid recipients to grow to 4 million in 2007-08, an increase of 2.6 percent over the estimated 2006-07 caseload of 3.9 million. FHP enrollment is estimated to grow to approximately 748,000 in 2007-08, an increase of 14 percent over projected 2006-07 enrollment of 658,000.

## **FINANCIAL PLAN**

Welfare spending, including administration, is projected at \$1.4 billion in 2007-08, an increase of \$142 million (11 percent) from 2006-07. Although the caseloads for family assistance and single adult/childless couples are projected to decline (5 percent and 6.1 percent, respectively), growing costs reflect the loss of TANF funding from the closing of unspent prior year contracts in 2006-07.

All other local assistance programs total \$10 billion in 2007-08, an increase of \$460 million (4.8 percent) over 2006-07 recommended levels. This increase consists primarily of growth in pre-school special education programs (\$31 million), mental hygiene and mental retardation programs (\$149 million), children and families services (\$87 million), municipal assistance through the AIM program (\$50 million), and the STAR Plus program (\$50 million).

### **State Operations**

<b>Forecast of Selected Program Measures Affecting State Operations</b>					
	<b>2004-05</b>	<b>2005-06</b>	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
<b>State Operations</b>					
Prison Population (Corrections)	63,307	63,100	63,100	63,100	63,100
Negotiated Salary Increases <sup>(1)</sup>	2.5%	2.8%	3.00%	0.0%	0.0%
Personal Service Inflation	0.8%	0.8%	0.8%	0.8%	0.8%
State Workforce	188,931	191,561	191,140	191,800	191,800

(1) Negotiated salary increases include a recurring \$800 base salary adjustment effective April 1, 2007.

State Operations spending is expected to total \$9.2 billion in 2007-08, an annual increase of \$317 million (3.6 percent). In 2008-09, spending is projected to grow by another \$267 million to a total of \$9.5 billion (2.9 percent). In 2007-08, personal service costs increase for a \$800 base salary adjustment effective April 1, 2007. In both years, normal “salary creep” increases and inflationary increases for non-personal service costs result in higher spending. The projections for 2007-08 and 2008-09 do not include any reserve for labor settlements once the current round of contracts expire on April 1, 2007, and assume that any future collective bargaining increases will be financed with productivity improvements.

### **General State Charges (GSCs)**

<b>Forecast of Selected Program Measures Affecting General State Charges</b>					
	<b>2004-05</b>	<b>2005-06</b>	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
<b>General State Charges</b>					
Pension Contribution Rate	7.0%	8.8%	10.2%	9.3%	9.3%
Employee/Retiree Health Insurance Rate	13.5%	8.2%	8.5%	11.4%	11.1%

GSCs are projected to total \$4.6 billion in 2007-08 and \$4.9 billion in 2008-09. The annual increases are due mainly to anticipated cost increases in pensions and health insurance for State employees and retirees.

The State’s pension contribution rate to the New York State and Local Retirement Systems is expected to decline from 10.2 percent of salary in 2006-07 to 9.3 percent in 2007-08 and 2008-09. Pension spending in 2008-09 (\$1.2 billion) declines by \$23 million from 2007-08 levels as the 2002 retirement incentive program (that was paid over five years)

is completed. Spending for employee and retiree health care costs is expected to increase by \$314 million in 2007-08 and another \$283 million in 2008-09, and assumes average annual premium increases of 11 percent. Health insurance is projected at \$2.7 billion in 2007-08 (\$1.6 billion for active employees and \$1.1 billion for retired employees) and \$3.0 billion in 2008-09 (\$1.8 billion for active employees and \$1.2 billion for retired employees).

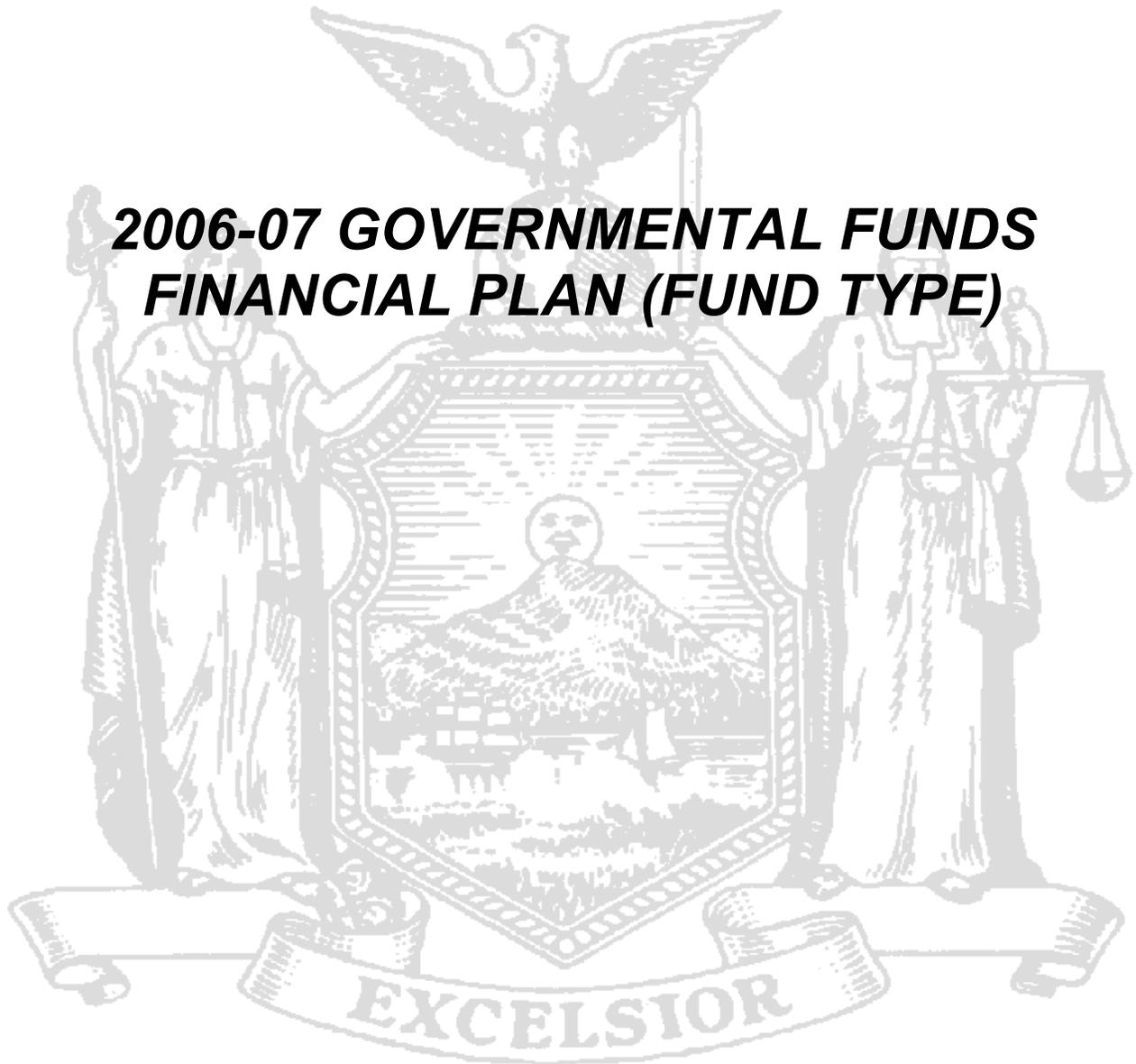
**Transfers to Other Funds**

<b>Forecast of Selected Program Measures Affecting Debt Service</b>					
	<b>2004-05</b>	<b>2005-06</b>	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
<b>State Debt</b>					
Interest on Variable Rate Debt	1.50%	2.65%	3.25%	3.25%	3.30%
Interest on 30-Year Bonds	5.15%	5.00%	5.65%	6.00%	6.15%

Transfers to other funds are estimated to total \$2.7 billion in both 2007-08 and 2008-09. Over the period of the Financial Plan, total transfers are projected to remain virtually unchanged. However, it should be noted that debt service transfers from the General Fund are projected to remain stable primarily because increases in debt service costs are reflected in State Funds due to the accounting treatment of the personal income tax revenue bond program.



**2006-07 GOVERNMENTAL FUNDS  
FINANCIAL PLAN (FUND TYPE)**





**2006-07 GOVERNMENTAL FUNDS FINANCIAL PLAN  
(FUND TYPE)**

This section summarizes the 2006-07 Financial Plan from the perspective of each of the four major fund types that comprise the All Funds budget: the General Fund, Special Revenue Funds, Capital Projects Funds, and Debt Service Funds.

**GENERAL FUND**

DOB projects General Fund receipts, including transfers from other funds, to total \$50.2 billion in 2006-07, an increase of \$2.2 billion (4.7 percent) from the current year. The increase in General Fund receipts is the result of increased collections from PIT and sales taxes, and miscellaneous receipts. General Fund tax receipt growth is projected at 5.8 percent. General Fund miscellaneous receipts are projected to increase by 4.5 percent.

More detail on the receipts outlook is provided earlier in this Financial Plan, as well as in the “Economic and Revenue Outlook” published as a companion volume to the Executive Budget.

<b>General Fund Receipts (millions of dollars)</b>				
	<b>2005-06 Revised</b>	<b>2006-07 Proposed</b>	<b>Annual Change</b>	<b>Percent Change</b>
Personal Income Tax	20,827	22,654	1,827	8.8%
User Taxes and Fees	8,637	8,810	173	2.0%
Business Taxes	4,973	4,999	26	0.5%
Other Taxes	894	900	6	0.7%
Miscellaneous Receipts	2,591	2,708	117	4.5%
Federal Grants	9	9	0	0.0%
Transfers From Other Funds:				
Revenue Bond Tax Fund (PIT)	6,541	6,932	391	6.0%
LGAC Fund (Sales Tax)	2,285	2,356	71	3.1%
CW/CA Fund (Real Estate Transfer Tax)	705	532	(173)	-24.5%
All Other	468	266	(202)	-43.2%
<b>Total Receipts</b>	<b>47,930</b>	<b>50,166</b>	<b>2,236</b>	<b>4.7%</b>

Personal income tax receipts directly deposited to the General Fund are projected to reach \$22.7 billion in 2006-07, a percentage increase of 8.8 percent. User taxes and fees receipts for 2006-07 are projected to reach \$8.8 billion, an increase of \$173 million, or 2 percent from 2005-06. The sales tax, the largest tax within user taxes, is projected to increase \$170 million, or 2.1 percent. The low growth in sales tax receipts is due in part to the expiration of the one-quarter percent sales tax surcharge offset by modest base growth. Business tax receipts are projected to total \$5 billion, following extraordinary growth in 2005-06 are projected to remain virtually unchanged in 2006-07, reflecting the factors described above.

## FINANCIAL PLAN

Miscellaneous receipts are projected to total \$2.7 billion in 2006-07, up \$117 million or 4.5 percent from 2005-06. Proposed fee and fine legislation, as well as revised assumptions with respect to investment income receipts, account for the change.

### Transfers From Other Funds

Transfers from other funds are expected to total \$10.1 billion in 2006-07, an increase of \$87 million from 2005-06. Portions of PIT, sales tax, and the real estate transfer tax support debt service due on State PIT bonds, LGAC bonds, and General Obligation bonds. The excess of tax receipts over debt service is transferred back to the General Fund. Annual growth in these dedicated tax receipts (\$551 million) is partially offset by an increase in debt service costs (\$262 million).

All other transfers are projected to total \$266 million in 2006-07, decrease of \$202 million. The change is a result of lower fund sweeps (\$87 million) and in transfers expected from the Tribal State Compact Account (\$49 million).

### Disbursements

The State projects General Fund disbursements, including transfers to other funds, of \$49.6 billion in 2006-07, an increase of \$2.4 billion (5.1 percent) from the current year. Increases in Grants to Local Governments (\$1.6 billion), State Operations (\$642 million), and General State Charges (\$341 million) are partially offset by a decrease in transfers to other funds (\$148 million). The major components of these disbursement changes are summarized below and are explained in more detail in the section entitled "2006-07 Financial Plan".

General Fund Disbursements (millions of dollars)				
	2005-06 Revised	2006-07 Proposed	Annual Change	Percent Change
<b>Grants to Local Governments</b>	<b>32,085</b>	<b>33,655</b>	<b>1,570</b>	<b>4.9%</b>
School Aid	13,494	13,988	494	3.7%
Medicaid	8,291	8,841	550	6.6%
Higher Education	2,220	2,105	(115)	-5.2%
All Other Education	1,534	1,527	(7)	-0.5%
Mental Hygiene	1,405	1,539	134	9.5%
OCFS	1,249	1,302	53	4.2%
Welfare	1,265	1,277	12	0.9%
All Other	2,627	3,076	449	17.1%
<b>State Operations</b>	<b>8,246</b>	<b>8,888</b>	<b>642</b>	<b>7.8%</b>
Personal Service	5,789	6,413	624	10.8%
Non-Personal Service	2,457	2,475	18	0.7%
<b>General State Charges</b>	<b>4,003</b>	<b>4,344</b>	<b>341</b>	<b>8.5%</b>
Health Insurance	2,204	2,399	195	8.8%
Pensions	1,101	1,221	120	10.9%
All Other	698	724	26	3.7%
<b>Transfers to Other Funds</b>	<b>2,899</b>	<b>2,751</b>	<b>(148)</b>	<b>-5.1%</b>
Debt Service	1,727	1,731	4	0.2%
Capital Projects	210	255	45	21.4%
All Other	962	765	(197)	-20.5%
<b>Total Disbursements</b>	<b>47,233</b>	<b>49,638</b>	<b>2,405</b>	<b>5.1%</b>

### *Grants to Local Governments*

Grants to local Governments include financial aid to local governments and non-profit organizations, as well as entitlement payments to individuals. After the impact of Executive Budget recommendations, local assistance spending is projected at \$33.7 billion in 2006-07, an increase of \$1.6 billion from the current year. The largest increases are for the Medicaid cap and FHP takeover (\$764 million), the new STAR Plus rebate program (\$530 million), and school aid (\$494 million).

### *State Operations*

State Operations accounts for the cost of running the Executive, Legislative, and Judicial branches of government and is projected to total \$8.9 billion in 2006-07, an increase of \$642 million (7.8 percent) from the current year. Personal service costs (e.g., State employee payroll) comprise 72 percent of State Operations spending. The remaining 28 percent represents non-personal service costs for contracts, rent, supplies, and other operating expenses.

Personal service spending, after Executive Budget actions, totals \$6.4 billion in 2006-07, an increase of \$624 million or 10.8 percent. Growth is affected by the use of \$150 million in patient income revenues in 2006-07 to offset General Fund non-personal service spending instead of personal service spending, as was done in 2005-06. The change results in a \$150 million annual increase in personal service spending and a comparable decrease in non-personal spending. Adjusting for this reclassification, personal service is projected to increase by \$474 million from 2005-06. The growth is primarily due to salary increases (\$309 million) and pay raises for judges proposed by the Judiciary (\$70 million). Non-personal service spending totals \$2.4 billion, an increase of \$18 million from the current year. Adjusting for the \$150 million patient income revenue reclassification, growth is \$168 million (6.8 percent). Growth in inflation (\$88 million) and energy costs (\$35 million) account for the change.

The amounts above reflect \$385 million of savings from budget actions, including the sharing or consolidation of services, maximizing patient income revenues, and utilizing other revenue sources to support costs.

DOB projects the Executive branch workforce will total 191,100 in 2006-07, a decrease of 400 from the current-year estimate. Tables that summarize the historical, current, and projected workforce levels by All Funds, General Fund and All Other Funds appear in the section entitled "Financial Plan Tables."

### *General State Charges*

General State Charges account for the costs of providing fringe benefits to State employees and retirees of the Executive, Legislative, and Judicial branches, as well as fixed costs for taxes on public lands and litigation costs.

General Fund spending for General State Charges is projected to be \$4.3 billion in 2006-07, an increase of \$341 million (8.5 percent) over the current year. This annual increase is due mostly to rising costs of employee health benefits (an increase of \$195

## ***FINANCIAL PLAN***

---

million to \$2.4 billion) and higher costs related to employer pension contributions (an increase of \$119 million to \$1.2 billion). General State Charges are explained in more detail earlier in this Financial Plan.

### ***Transfers to Other Funds***

Transfers to Other Funds are projected to total \$2.8 billion in 2006-07 and include General Fund transfers for debt service (\$1.7 billion), capital projects (\$255 million), and other funds (\$765 million).

General Fund transfers for debt service increased by \$4 million (0.2 percent) from 2005-06. Transfers to support capital projects increase by \$45 million, mainly for recommended maintenance (\$10 million) and revisions across all programs based on updated information. The decline in other funds transfers is largely due to a non-recurring transfer from the General Fund to the Lottery Fund to support a shortfall in receipts (\$183 million). In addition, all other transfers reflect \$130 million to the VLT account in 2005-06 and another \$100 million in 2006-07 to supplement receipts until VLTs become fully operational at Yonkers and Aqueduct racetracks. Those amounts are held in reserve for SBE.

### ***SPECIAL REVENUE FUNDS***

Special Revenue Funds receive dedicated State and Federal revenues used to finance specific activities. They are intended to be self-supporting, with receipts equaling or exceeding disbursements. However, when statutorily authorized, specified funds and accounts may borrow from the State's Short-Term Investment Pool to cover temporary cash shortfalls resulting from the timing of receipts and disbursements (i.e., disbursements occurring prior to receipts being received).

In 2006-07, the Special Revenue Funds Cash Financial Plan projects total receipts of \$52.5 billion, total disbursements of \$54.2 billion, net other financing sources of \$379 million, and use of fund balances of \$1.4 billion, resulting in a closing fund balance of \$1.8 billion. The use of fund balances consists primarily of health care conversion proceeds available in 2005-06 that will be used to support HCRA programs in 2006-07.

### ***Receipts***

Total 2006-07 Special Revenue Fund receipts are projected to decrease by \$1.2 billion (2.2 percent) from the current year forecast. This change is comprised of a decline in miscellaneous receipts (\$1.7 billion) and Federal grants (\$90 million) offset by an increase in taxes (\$646 million). The major components of these receipt changes are summarized below.

<b>Special Revenue Funds Receipts</b> (millions of dollars)				
	<b>2005-06 Revised</b>	<b>2006-07 Proposed</b>	<b>Annual Change</b>	<b>Percent Change</b>
Taxes	5,963	6,609	646	10.8%
Miscellaneous Receipts	13,249	11,509	(1,740)	-13.1%
Federal Grants	34,428	34,338	(90)	-0.3%
<b>Total Receipts</b>	<b>53,640</b>	<b>52,456</b>	<b>(1,184)</b>	<b>-2.2%</b>

**Taxes**

Tax receipts in the Special Revenue Fund are projected to be \$6.6 billion in 2006-07, an increase of \$646 million (10.8 percent) over the current year forecast. This growth primarily includes the proposed per-pack cigarette tax increase from \$1.50 to \$2.50 (\$413 million), growth in tax receipts dedicated to Mass Transit (\$54 million) and the Dedicated Mass Transportation Trust Fund (\$29 million), and additional taxes dedicated to support the STAR program resulting from a proposed cost of living adjustment for seniors, and increased participation by taxpayers and local tax levy growth (\$149 million).

**Miscellaneous Receipts**

Miscellaneous receipts are projected to be \$11.5 billion, an annual decrease of \$1.7 billion (13.1 percent) from the current year forecast. The annual decline is primarily due to the receipt of \$2.7 billion health care conversion proceeds in 2005-06 that will be used to support State Medicaid and other public health care costs, partially offset by higher lottery receipts, including VLT revenues, due to expected development of new VLT facilities (\$324 million) and higher SUNY receipts (\$129 million).

**Federal Grants**

Federal grants are projected to be \$34.3 billion, a decrease of \$90 million (0.3 percent) from the current year. Changes to Federal grants generally correspond to changes in federally-reimbursed spending. However, since Federal reimbursement is assumed to be received in the State fiscal year in which spending occurs, timing-related variances may result. Spending for homeland security (\$289 million), elections (\$208 million), welfare (\$200 million), Mental Hygiene (\$97 million), and Public Health (\$84 million) are expected to increase from 2005-06 levels. These increases are more than offset by declines in Medicaid (\$551 million), Children and Families (\$293 million), and Criminal Justice Services (\$183 million).

## **FINANCIAL PLAN**

### **Disbursements**

<b>Special Revenue Funds Disbursements</b> (millions of dollars)				
	<b>2005-06 Revised</b>	<b>2006-07 Proposed</b>	<b>Annual Change</b>	<b>Percent Change</b>
<b>Grants to Local Governments</b>	<b>44,392</b>	<b>44,926</b>	<b>534</b>	<b>1.2%</b>
Medicaid	23,769	23,427	(342)	-1.4%
School Aid	4,826	4,978	152	3.1%
STAR	3,219	3,368	149	4.6%
Transportation	2,287	2,275	(12)	-0.5%
Social Services	4,540	4,445	(96)	-2.1%
Public Health	3,154	3,482	329	10.4%
Mental Hygiene	1,369	1,425	56	4.1%
All Other	1,228	1,526	298	24.2%
<b>State Operations</b>	<b>8,100</b>	<b>8,398</b>	<b>298</b>	<b>3.7%</b>
Personal Service	4,901	4,926	25	0.5%
Non-Personal Service	3,199	3,472	273	8.5%
<b>General State Charges</b>	<b>771</b>	<b>860</b>	<b>89</b>	<b>11.5%</b>
<b>Capital Projects</b>	<b>2</b>	<b>3</b>	<b>1</b>	<b>50.0%</b>
<b>Total Disbursements</b>	<b>53,265</b>	<b>54,187</b>	<b>922</b>	<b>1.7%</b>

Total Special Revenue Fund disbursements are projected to be \$54.1 billion, an increase of \$922 million (1.7 percent) from the current forecast. The annual growth is due primarily to increases in Grants to Local Governments (\$534 million) and State Operations (\$298 million). The major changes in disbursements are summarized below and are explained in more detail in the Section entitled “2006-07 Financial Plan.”

#### **Grants to Local Governments**

Grants to Local Governments are projected at \$44.9 billion, an annual increase of \$534 million (1.2 percent) from the 2005-06 projection. Sources of growth in major programs include higher spending in public health primarily from Special Revenue Funds financed by HCRA resources (\$257 million) and Federal Funds (\$59 million); aid for education for instructional support (\$152 million), and STAR due to a proposed cost of living adjusted for seniors, and increased participation by taxpayers and local tax levy growth (\$149 million). All other changes consist of growth in Federal spending for implementation of the Help America Vote Act (\$190 million). An expected decline in Federal Medicaid reimbursement due to State cost containment (\$342 million), a decrease in spending financed by TANF, and a declining public assistance caseload partially offset this growth (\$96 million).

#### **State Operations**

State Operations disbursements are projected to be \$8.4 billion, an increase of \$298 million (3.7 percent) from 2005-06. Annual growth in personal service and non-personal service amount to \$174 million and \$124 million, respectively, after adjusting for the

reclassification of spending from personal service to non-personal service. Spending increases are the result of collective bargaining agreements and performance advances (\$184 million) and inflation (\$101 million).

**General State Charges**

Disbursements for General State Charges are projected to be \$860 million in 2006-07, an increase of \$89 million (11.5 percent) from the current year. Growing employer pension contributions and higher health insurance costs account for most of the annual growth in General State Charges.

**OTHER FINANCING SOURCES/(USES)**

<b>Special Revenue Funds Other Financing Sources/(Uses)</b>				
<b>(millions of dollars)</b>				
	<b>2005-06 Revised</b>	<b>2006-07 Proposed</b>	<b>Annual Change</b>	<b>Percent Change</b>
Transfers From Other Funds	3,780	3,575	(205)	-5.4%
Transfers To Other Funds	(3,005)	(3,196)	(191)	6.4%
<b>Net Other Financing Sources (Uses)</b>	<b>775</b>	<b>379</b>	<b>(396)</b>	<b>-51.1%</b>

Transfers from other funds are projected to total \$3.6 billion, a decrease of \$205 million (5.4 percent) from the current year. Non-recurring transfers from the General Fund to the Lottery Fund to support a shortfall in receipts (\$183 million) and HCRA to finance a prior year excess medical malpractice loan (\$45 million) accounts for the majority of the change.

Transfers to other funds are estimated to be \$3.2 billion, an increase of \$191 million (6.4 percent) from 2005-06. The annual growth is due to increased capital spending for HEAL-NY supported by HCRA (\$139 million), an increase in Federal Medicaid reimbursement for Mental Hygiene services before payment of debt service (\$97 million), and a transfer from the Revenue Arrearage Account to support costs associated with the Financial Management System (\$55 million), partially offset by a net decrease in fund sweeps (\$60 million) and decline in transfers expected from the Tribal State Compact Account (\$49 million).

**CAPITAL PROJECTS FUNDS**

The following section summarizes activity in the Capital Projects Fund type. For a complete explanation of the State’s capital and debt programs, see the volume entitled “Capital Program and Financing Plan” with this Executive Budget.

The Capital Projects Fund group accounts for spending from the Capital Projects Fund (Fund 002), which is supported by a transfer from the General Fund, and spending from other funds for specific purposes, including transportation, mental health, housing, public protection, education and the environment.

The following tables for capital projects reflect accounting adjustments for capital projects activity for anticipated spending delays and certain capital spending that is not reported by the State Comptroller in actual cash spending results, but is reported in the

## ***FINANCIAL PLAN***

State's GAAP Financial Statements. The unreported spending is related to programs which are financed directly from bond proceeds which are on deposit at various public authorities, rather than from a short-term loan from Short-Term Investment Pool or cash from the General Fund. Such capital spending is projected at \$1.2 billion in 2005-06 and \$1.4 billion in 2006-07.

### ***Receipts***

<b>Capital Projects Fund Receipts</b> (millions of dollars)				
	<b>2005-06</b>	<b>2006-07</b>	<b>Annual</b>	<b>Percent</b>
	<b>Revised</b>	<b>Proposed</b>	<b>Change</b>	<b>Change</b>
Taxes	1,851	1,960	109	5.9%
Miscellaneous Receipts	3,311	4,054	743	22.4%
Federal Grants	1,782	1,754	(28)	-1.6%
<b>Total Receipts</b>	<b>6,944</b>	<b>7,768</b>	<b>824</b>	<b>11.9%</b>
GAAP Adjustment	(1,166)	(1,414)	(248)	21.3%
Spending Delays	(430)	(750)	(320)	74.4%
<b>Financial Plan Total</b>	<b>5,348</b>	<b>5,604</b>	<b>256</b>	<b>4.8%</b>

Total Capital Projects Fund receipts include dedicated tax receipts from highway-related taxes deposited into the Dedicated Highway and Bridge Trust Fund, and real estate transfer taxes deposited into the Environmental Protection Fund. Miscellaneous receipts include bond proceeds that finance capital projects across all functional areas, as well as other fees, including State park fees, industry-specific environmental fees, and receipts from the sale of surplus land. Federal grants reflect reimbursements for State spending advanced pursuant to agreements with various Federal agencies.

### ***Taxes***

Taxes deposited to the Capital Projects Fund are projected to be almost \$2.0 billion in 2006-07, an increase of \$109 million (5.9 percent) from 2005-06. The projected growth reflects increases in highway-related taxes deposited to the Dedicated Highway and Bridge Trust Fund and a statutory dedication of \$147 million in 2006-07 from the real estate transfer tax to the Environmental Protection Fund.

### ***Miscellaneous Receipts***

Miscellaneous receipts are projected to total over \$4.0 billion in 2006-07, an increase of \$743 million from the current year. The projected increases in education (\$287 million), economic development and government oversight (\$162 million), transportation (\$108 million), and mental hygiene (\$66 million) programs financed with public authority bond proceeds are responsible for the growth.

### ***Federal Grants***

Federal grants are estimated at \$1.8 billion in 2006-07, virtually unchanged from 2005-06. While total grants are projected to decrease slightly by \$28 million, grants to finance projects for the Division of Military and Naval Affairs and World Trade Center are

## FINANCIAL PLAN

projected to increase by \$18 million. These increases are offset by decreases in transportation (\$42 million) and for grants to reimburse spending levels for various environmental protection programs (\$5 million).

### Disbursements

<b>Capital Projects Fund Disbursements</b> (millions of dollars)				
	<b>2005-06</b>	<b>2006-07</b>	<b>Annual</b>	<b>Percent</b>
	<b>Revised</b>	<b>Proposed</b>	<b>Change</b>	<b>Change</b>
Transportation	3,518	3,827	309	8.8%
Parks and Environment	677	656	(21)	-3.1%
Economic Development and Gov't Oversight	524	686	162	30.9%
Health and Social Welfare	141	274	133	94.3%
Education	906	1,213	307	33.9%
Public Protection	265	301	36	13.6%
Mental Health	297	365	68	22.9%
General Government	119	163	44	37.0%
Other	94	166	72	76.6%
<b>Total Disbursements</b>	<b>6,541</b>	<b>7,651</b>	<b>1,110</b>	<b>17.0%</b>
GAAP Adjustment	(1,166)	(1,414)	(248)	21.3%
Spending Delays	(393)	(750)	(357)	90.8%
<b>Financial Plan Total</b>	<b>4,982</b>	<b>5,487</b>	<b>505</b>	<b>10.1%</b>

Spending from the Capital Projects Fund (prior to adjustments) is projected to total over \$7.7 billion in 2006-07, an increase of \$1.1 billion. The majority of the increase is for programs in transportation (\$309 million) and education (\$307 million). The balance of the increase is distributed among economic development programs (\$162 million), health and social welfare (\$133 million), public protection and general government (\$80 million), mental hygiene (\$68 million), and all other areas (\$50 million).

### Other Financing Sources/(Uses)

<b>Capital Projects Funds Other Financing Sources (Uses)</b> (millions of dollars)				
	<b>2005-06</b>	<b>2006-07</b>	<b>Annual</b>	<b>Percent</b>
	<b>Revised</b>	<b>Proposed</b>	<b>Change</b>	<b>Change</b>
Transfers From Other Funds	239	453	214	89.5%
Transfers To Other Funds	(916)	(860)	56	-6.1%
Bond Proceeds	167	351	184	110.2%
<b>Net Other Financing Sources (Uses)</b>	<b>(510)</b>	<b>(56)</b>	<b>454</b>	<b>-89.0%</b>

Transfers from other funds are estimated at \$453 million in 2006-07, an increase of \$214 million from 2005-06. The change is attributable to an increase in the transfer from the General Fund to the Capital Projects Fund (\$45 million), which supports pay-as-you-go capital spending in a variety of agencies. Also included is the transfer of \$139 million from HCRA directly to the Capital Projects Fund to finance anticipated spending for HEAL New York. In 2005-06 the transfer from HCRA for HEAL New York was made to the General Fund, which then financed the spending from the Capital Projects Fund.

## **FINANCIAL PLAN**

Capital Projects Fund transfers to other funds declines from 2005-06 (\$916 million) to 2006-07 (\$860 million) and reflects primarily the elimination of the major portion of cash transfers from the Environmental Protection Fund to the General Fund.

On this table, bond proceeds reflect General Obligation bonds which are issued pursuant to voter approval as opposed to bonds issued for the State by public authorities pursuant to contractual agreements. The \$351 million estimate for 2006-07 is \$184 million higher than the estimate for 2005-06 and primarily reflects the first full year of spending activity for the recently approved Rebuild and Renew New York 2005 General Obligation bonds.

### **DEBT SERVICE FUNDS**

The following section briefly summarizes activity in the Debt Service Funds type. For a complete explanation of the State's debt perspective, see the volume entitled "Capital Program and Financing Plan" with the Executive Budget.

Debt Service Funds are used to account for all receipts, transfers and debt service payments made on State-supported bonds. State-supported bonds include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as bonds issued by State public authorities (e.g., Empire State Development Corporation, Dormitory Authority of the State of New York, Thruway Authority, LGAC) for which the State is contractually obligated to pay debt service, subject to an appropriation. Debt service is paid through transfers from the General Fund, dedicated taxes and fees, and other resources, such as patient income revenues.

Total receipts in the debt service funds are projected at \$11.6 billion in 2006-07. Total debt service disbursements are expected to total \$4.2 billion. Receipts in excess of those required to satisfy the State's debt service obligations are transferred to the General Fund or to other funds to support capital or operating disbursements.

### **Receipts**

<b>Debt Service Funds Receipts</b> (millions of dollars)				
	<b>2005-06</b> <b>Revised</b>	<b>2006-07</b> <b>Proposed</b>	<b>Annual</b> <b>Change</b>	<b>Percent</b> <b>Change</b>
Taxes	10,368	10,919	551	5.3%
Miscellaneous Receipts	686	665	(21)	-3.1%
<b>Total Receipts</b>	<b>11,054</b>	<b>11,584</b>	<b>530</b>	<b>4.8%</b>

Total Debt Service Funds receipts are projected to be \$11.6 billion, an increase of \$530 million (4.8 percent) from 2005-06. The annual growth is due to increases in dedicated taxes (\$551 million) as well as a modest decrease in miscellaneous receipts (\$21 million). These changes are described in more detail below.

**Taxes**

The \$551 million (5.3 percent) increase in taxes deposited to the Debt Service Funds is attributable to growth in dedicated personal income tax receipts deposited to the Revenue Bond Tax Fund (\$610 million), growth in sales and use taxes deposited to the Local Government Assistance Tax Fund (\$106 million), and reduced real estate transfer taxes deposited to the Clean Water/Clean Air Fund (\$165 million). Starting in 2006-07, an additional portion of the real estate transfer tax deposits will be redirected to the Environmental Protection Fund to further support environmental programs.

**Miscellaneous Receipts**

The projected \$21 million decrease from 2005-06 is due to higher receipts from SUNY dormitory fees (\$9 million) offset by less patient income for mental hygiene facilities (\$31 million). Patient income for health facilities, as well as receipts supporting general obligation housing bonds, are essentially unchanged.

**Disbursements**

<b>Debt Service Funds Disbursements</b> (millions of dollars)				
	<b>2005-06</b>	<b>2006-07</b>	<b>Annual</b>	<b>Percent</b>
	<b>Revised</b>	<b>Proposed</b>	<b>Change</b>	<b>Change</b>
General Debt Service Fund	3,076	3,375	299	9.7%
LGAC	324	358	34	10.5%
Mental Health	290	333	43	14.8%
All Other	103	117	14	13.6%
<b>Total Disbursements</b>	<b>3,793</b>	<b>4,183</b>	<b>390</b>	<b>10.3%</b>

Total disbursements from the Debt Service Funds are projected to increase from \$3.8 billion in 2005-06 to \$4.2 billion in 2006-07. The increase primarily reflects planned increases in debt service costs to support ongoing bonded capital needs. Offsetting these increases are several savings initiatives being implemented with the 2006-07 Executive Budget. Specifically, the State will continue to use highly rated PIT revenue bonds (in lieu of more costly service contract bonds) to finance a variety of capital programs; maximize savings opportunities using available swaps capacity; employ less costly variable rate debt if market conditions are favorable; and optimize interest earnings on debt service funds, which are deposited in advance of bondholder payments. Together, these management initiatives are expected to save the State \$50 million in 2006-07.

**General Debt Service Fund**

Spending from the General Debt Service Fund is projected to increase by \$299 million (9.7 percent). As the State continues to transition from State appropriation backed bonds to PIT revenue bonds to finance its capital needs, spending in the General Debt Service Fund will decline, while spending in the Revenue Bond Tax Fund will increase proportionally.

## **FINANCIAL PLAN**

### **LGAC**

The LGAC Tax Fund is projected to receive \$2.7 billion in 2006-07 from the dedicated one-cent statewide sales tax. Debt service and related costs on LGAC bonds are projected at \$358 million in 2006-07, an increase of \$34 million (10.5 percent) from the current year. Local aid payments due to the State Tax Asset Receivable Corporation are paid from the Local Government Assistance Tax Fund after all LGAC debt and related obligations are met, and are reflected in the local assistance portion of the Financial Plan.

### **Mental Health**

Patient income revenues of \$2.9 billion, deposited and transferred to the Mental Health Services Fund, will satisfy debt service obligations of \$333 million in 2006-07. Debt service and related costs for this program are projected to increase by \$43 million (14.8 percent) from 2005-06 levels. This increase is driven by new issuances to support the Mental Health program coupled with lower recurring refunding savings.

### **All Other**

This category includes debt service spending from the Health Income Fund, Housing Debt Fund, and the SUNY Dormitory Income Fund. The \$14 million increase in spending from 2005-06 is largely attributable to increased debt service for SUNY dormitory bonds (\$18 million).

### **Other Financing Sources/(Uses)**

<b>Debt Service Funds Other Financing Sources (Uses)</b>				
<b>(millions of dollars)</b>				
	<b>2005-06 Revised</b>	<b>2006-07 Proposed</b>	<b>Annual Change</b>	<b>Percent Change</b>
Transfers From Other Funds	5,182	5,350	168	3.2%
Transfers To Other Funds	(12,437)	(12,744)	(307)	2.5%
<b>Net Other Financing Sources (Uses)</b>	<b>(7,255)</b>	<b>(7,394)</b>	<b>(139)</b>	<b>1.9%</b>

The increase in transfers from other funds compared to 2005-06 (\$168 million) reflects increased transfers from the Federal Health and Human Services Special Revenue Funds to the Mental Health Services Fund (\$97 million) and the Dedicated Highway and Bridge Trust Fund (\$19 million), along with higher transfers from the General Fund (\$4 million) and SUNY Operations (\$11 million) to the General Debt Service Fund, the Centralized Service Fund (\$17 million) to the Revenue Bond Tax Fund, and modest increases in other transfers (\$20 million).

The \$307 million (2.5 percent) increase in Transfers to other funds from 2005-06 reflects the excess beyond the debt service due on State PIT Revenue Bonds from the Revenue Bond Tax Fund (\$390 million) and the Local Government Assistance Tax Fund (\$72 million), offset by reduced transfers to the General Fund from the Clean Water Debt Service Fund (\$173 million), and modest increases in other transfers (\$18 million).



***FINANCIAL PLAN RESERVES AND RISKS***



---

**FINANCIAL PLAN RESERVES AND RISKS****RESERVES**

The State projects that General Fund reserves will total \$3.8 billion at the end of 2006-07 with \$1 billion in undesignated reserves available to deal with unforeseen contingencies and \$2.8 billion designated for subsequent use.

The \$1 billion of undesignated reserves includes \$945 million in the Rainy Day Reserve, after a maximum deposit of \$73 million in 2005-06, and \$21 million in the Contingency Reserve Fund for litigation risks. To permanently improve the State's reserve levels, the Executive again will submit legislation in 2006-07 to increase the maximum size of the State's Rainy Day Reserve from 2 percent to a minimum of 5 percent of General Fund spending. The Rainy Day Reserve is at its statutory maximum balance of 2 percent.

The Executive Budget reserves another \$2.8 billion designated for future use, including \$2 billion in a spending stabilization reserve (the Financial Plan projects that the reserve will be used in equal installments in 2007-08 and 2008-09). In addition, \$275 million is set aside for potential collective bargaining settlements that are expected to be resolved in 2006-07, and \$250 million for debt reduction. In addition to the General Fund reserves, \$375 million is reserved on a school year basis (\$263 million in fiscal year 2006-07) for additional SBE aid in the VLT education account.

Aside from the amounts noted above, the 2006-07 Financial Plan does not set aside specific reserves to cover potential costs that could materialize as a result of Federal disallowances or other Federal actions that could adversely affect the State's projections of receipts and disbursements.

**RISKS**

Many complex political, social, and economic forces influence the State's economy and finances. Such forces may affect the State Financial Plan unpredictably from fiscal year to fiscal year. For example, the Financial Plan is necessarily based on forecasts of national and State economic activity. Economic forecasts have frequently failed to accurately predict the timing and magnitude of specific and cyclical changes to the national and State economies. The Financial Plan also relies on estimates and assumptions concerning Federal aid, law changes, and audit activity.

***Risks to the U.S. Forecast***

Although DOB believes that the U.S. economy will grow at approximately its long-term trend growth rate through the end of the forecast horizon, many risks attend this forecast. In general, the forecast is contingent upon the absence of severe shocks to the economy. Unpredictable events such as a terrorist attack remain the biggest risk to continued economic expansion. Such a shock could impair economic growth in many ways, such as causing a plunge in consumer confidence, the stock market, investment spending by firms, or impairing the transportation of economic goods and services, or causing a large spike in oil prices. A severe and extended downturn could easily materialize from such shocks.

## ***FINANCIAL PLAN***

---

There are other significant factors that could lead to noticeably lower economic growth. An extended period of energy prices that are higher than projected could well reduce the ability of consumers and businesses to spend on non-energy related items. Colder weather than normal in the Northeast could raise natural gas prices even further, and consumers might respond by reducing spending by more than is currently anticipated over the coming months. Such cutbacks could make firms behave even more cautiously and reduce business capital spending. Persistently high energy prices also raise the possibility that inflation expectations could ratchet higher, causing the Federal Reserve Board to tighten more than anticipated, and raising the likelihood of a major economic slowdown or even a recession.

A sharp reduction in the inflow of foreign funds might also add to inflationary pressures by weakening the U.S. dollar, which might also cause the Federal Reserve to increase rates more than currently anticipated. Such a development might also produce an imbalance in the market for U.S. Treasury securities, causing long-term rates to rise in order to fund the Federal budget deficit. Higher interest rates could, in turn, induce households to increase the personal saving rate, resulting in even further cutbacks in consumer spending. This risk would only be exacerbated by lower than expected equity or housing prices, particularly if the anticipated easing of home prices happens suddenly rather than gradually as expected. Again, lower consumption growth could weaken expected future corporate profits and, in turn, lower employment and investment growth.

On the other hand, lower inflation than expected, perhaps as a result of an even greater drop in the price of oil or more modest growth in unit labor costs, possibly due to slower growth in wages or stronger productivity growth, could induce the Federal Reserve to keep monetary policy much less restrictive than expected, resulting in stronger consumption and investment growth than projected. A more rapid increase in export growth due to either a weakened dollar or faster global growth could generate a somewhat stronger increase in total output than expected. Moreover, stronger employment growth could result in higher real wages, supporting faster growth in consumer spending than currently anticipated.

### ***Risks to the New York Forecast***

In addition to the risks described above for the national forecast, there are risks specific to New York. Another attack targeted at New York City would once again disproportionately affect the State economy, resulting in lower income and employment growth than reflected in the current forecast. Higher energy prices and the potential for greater pass-through to core inflation, combined with a growing rate of capacity utilization and a tightening labor market, raise the probability that the Federal Reserve will over-tighten. Such an outcome could negatively affect the financial markets, which would also disproportionately affect the New York State economy. In addition, the State's real estate market could decline more than anticipated, which would negatively affect household consumption and taxable capital gains realizations. These effects could ripple through the economy, affecting both employment and wages.

In contrast, should the national and world economies grow faster than expected, a stronger upturn in stock prices, along with even stronger activity in mergers and acquisitions and other Wall Street activities is possible, resulting in higher wage and bonuses growth than projected. It is important to recall that the financial markets, which are so pivotal to the

direction of the downstate economy, are notoriously difficult to forecast. With the economy becoming increasingly globalized, and the pace of both technological and regulatory change accelerating, projecting finance industry revenues and profits has never been more challenging.

### ***Litigation***

The State is a defendant in several court cases that could ultimately result in costs to the State Financial Plan. The most significant is Campaign for Fiscal Equity v. State of New York, in which the State Court of Appeals directed the State to implement a remedy by July 30, 2004 that would guarantee that all children in New York City have the opportunity to receive a sound basic education (SBE). In August 2004, the State Supreme Court directed a panel of three Special Masters to report and make recommendations on the measures the State had taken to bring its school financing system into constitutional compliance with respect to New York City schools. The Special Masters submitted their report to the Court on November 30, 2004. The report recommended (a) an annual increase of \$5.6 billion in education aid to New York City to be phased in over four years and (b) \$9.2 billion for school construction and renovation to be phased in over five years. In February 2005, the State Supreme Court adopted the recommendations of the Special Masters, requiring the State to comply with those recommendations within 90 days. The State filed an appeal in April 2005 and the Appellate Court is expected to issue a ruling by June 2006.

The 2006-07 Executive Budget proposes a combination of traditional school aid and continued funding for the SBE aid program as part of a comprehensive plan to comply with the Court's order. Under a plan proposed by the Governor, revenues from VLTs will be used for SBE. So far, VLTs have been implemented at five of the State's racetracks. Four other racetracks have received authorization to operate VLTs, and are in various stages of implementation. Two major facilities located at Yonkers and Aqueduct Raceways are expected to begin operations in October 2006 and October 2007, respectively. These two facilities are expected to produce the majority of the growth of VLT receipts under current law. The 2006-07 Executive Budget recommends \$700 million of funding for SBE, growing to \$1.5 billion by school year 2008-09.

Other litigation includes ongoing claims by several Indian Nations alleging wrongful possession of lands by the State and several counties, as well as claims involving the adequacy of shelter allowances for families on public assistance. The State has implemented a court-ordered increase in the shelter allowance schedule for public assistance families, effective November 1, 2003. However, the plaintiffs are challenging the adequacy of the increase and thus further Court proceedings are pending.

For a complete summary of significant litigation affecting the State, please refer to the Annual Information Statement of the State of New York, as updated.

### ***Federal***

The Office of the Inspector General (OIG) of the United States Department of Health and Human Services is conducting six audits of aspects of New York State's School Supportive Health Services program with regard to Medicaid reimbursement that cover \$1.5 billion in claims submitted between 1990 and 2001. To date, OIG has issued three final audit reports,

## ***FINANCIAL PLAN***

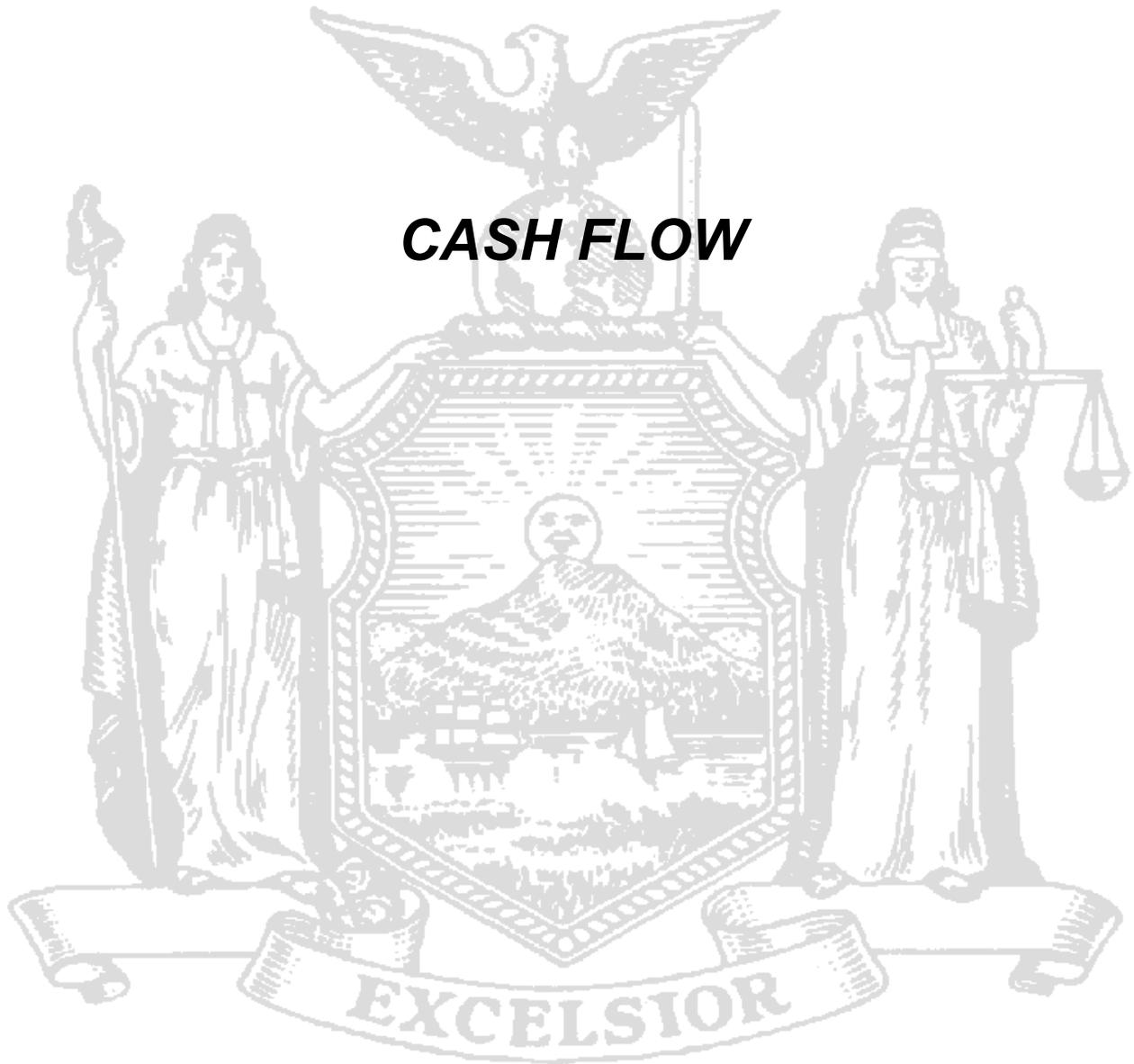
---

which cover claims submitted by upstate and New York City school districts for speech pathology and transportation services. The final audits recommend that the Centers for Medicare and Medicaid Services (CMS) disallow \$173 million of the \$362 million in claims for upstate speech pathology services, \$17 million of \$72 million for upstate transportation services, and \$436 million of the \$551 million in claims submitted for New York City speech pathology services. New York State disagrees with the audit findings on several grounds and has requested that these be withdrawn. Federal regulations include an appeals process that could postpone repayment of any disallowances.

While CMS has not taken any action with regard to the disallowances recommended by OIG, CMS is deferring 25 percent of New York City claims and 9.7 percent of claims submitted by the rest of the State, pending completion of the audits. Since the State has continued to reimburse school districts for these costs, these Federal deferrals have resulted in higher costs, as reflected in the State's latest Financial Plan.

At the request of CMS, the State has discontinued intergovernmental transfers payments as of March 31, 2005 pending the approval of a State Plan Amendment. These payments are related to disproportionate share hospital payments to public hospitals throughout the State, including those operated by the New York City Health and Hospital Corporation, SUNY and the counties. If these payments are not approved in 2005-06 and beyond, the State's health care financing system could be adversely affected.

**CASH FLOW**





**CASH FLOW FORECAST**

In 2006-07, the General Fund is projected to have quarterly-ending balances of \$4.7 billion in June 2006, \$5.0 billion in September 2006, \$3.4 billion by the end of December 2006, and \$3.8 billion at the end of March 2007. The lowest projected month-end cash flow balance is \$2.6 billion in November. The 2006-07 General Fund cash flow estimates assume enactment of all Executive Budget recommendations, and that the budget is enacted on time. DOB's detailed monthly cash flow projections for 2006-07 are set forth in the sections entitled "Financial Plan Tables" and "Supplemental Financial Plan Information".

The Office of the State Comptroller (OSC) invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through the Short-Term Investment Pool (STIP), which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted with the Executive Budget. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).



***GAAP-BASIS FINANCIAL PLANS***





**GAAP-BASIS FINANCIAL PLANS**

In addition to the cash-basis Financial Plans, the General Fund and All Funds Financial Plans are prepared on a basis of GAAP in accordance with Governmental Accounting Standards Board (GASB) regulations. Tables comparing the cash basis and GAAP basis General Fund Financial Plans are provided at the end of this Financial Plan. The GAAP projections for both years are based on the accounting principles applied by the State Comptroller in the financial statements issued for 2004-05.

In 2005-06, the General Fund GAAP Financial Plan shows total revenues of \$40.9 billion, total expenditures of \$49.4 billion, and net other financing sources of \$9.9 billion, resulting in an operating surplus of \$1.4 billion and a projected accumulated surplus of \$1.9 billion. The operating results primarily reflect the moneys set aside in a spending stabilization reserve, and reflect an increase of \$1.4 billion from the 2004-05 accumulated surplus of \$546 million.

In 2006-07, the General Fund GAAP Financial Plan shows total revenues of \$40.5 billion, total expenditures of \$51.2 billion, and net other financing sources of \$9.6 billion, resulting in an operating deficit of \$1.2 billion and a projected accumulated surplus of \$764 million. These changes are due primarily to the impact of proposed tax reductions on revenue accruals. The projected accumulated surplus of \$764 million is roughly \$200 million higher than 2004-05 actuals.

The GAAP basis results for 2004-05 showed the State in a net positive overall financing condition of \$43.8 billion. The net positive financial condition of \$43.8 billion is before the State reflects the liability associated with retiree health care that will be recognized in the 2007-08 fiscal year. The State has hired an independent actuarial consulting firm to complete the analysis of retiree health care liabilities, and expects to have results available by next year.

DOB's detailed GAAP Financial Plans for 2005-06 through 2008-09 are provided in the sections entitled "Financial Plan Tables" and "Supplemental Financial Plan Information".



**HEALTH CARE REFORM ACT  
FINANCIAL PLAN**





**HCRA FINANCIAL PLAN**

This 2006-07 Financial Plan for the HCRA program explains the receipts and disbursements projection for the 2005-06 through 2008-09 period. The estimates of receipts and disbursements for the current and upcoming fiscal years are also detailed on a quarterly basis in the Financial Plan tables.

The 2005-06 Enacted Budget extended the HCRA authorization through June 30, 2007, and created a new HCRA Resources Fund in the budget. As a result, all of HCRA is now “on budget” (i.e., accounted for in the All Governmental Funds Financial Plan). The following chart summaries the annual receipt and disbursement estimates for the HCRA Financial Plan.

**OVERVIEW**

HCRA was established in 1996 to improve the financing system for hospitals and consolidate a range of health care expansion programs. HCRA spending can be found in the following areas of the budget: Medicaid, Health, Mental Hygiene, and the State Office for the Aging. Subsequent extensions and modifications of HCRA enabling legislation have initiated new health care programs including the Family Health Plus Program (FHP) and Healthy New York, and provided additional funding for the expansion of existing programs such as the Child Health Plus (CHP). HCRA has also provided financing for the health care industry, including investments in worker recruitment and retention and in the Health Care Equity and Affordability Law for New Yorkers (HEAL NY).

## **FINANCIAL PLAN**

<b>HCRA Financial Plan 2005-06 through 2008-09 (millions of dollars)</b>				
	<b>2005-06</b>	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>
<b>Opening Balance</b>	<b>412</b>	<b>1,494</b>	<b>310</b>	<b>155</b>
<u>Total Receipts</u>	<u>6,141</u>	<u>4,195</u>	<u>4,972</u>	<u>5,041</u>
Conversion Proceeds	2,743	500	500	550
New Health Insurance Conversion Proceeds	0	0	750	800
Surcharges	1,637	1,686	1,692	1,674
Covered Lives Assessment	737	775	775	775
Hospital Assessment (1 percent)	240	199	200	200
Cigarette Tax Revenue	677	1,000	1,006	993
All Other	107	35	49	49
<u>Total Disbursements</u>	<u>5,059</u>	<u>5,379</u>	<u>5,127</u>	<u>5,167</u>
Medicaid Assistance Account	2,096	2,012	1,768	1,757
HCRA Program Account (incl. GME)	953	1,128	1,052	1,059
Hospital Indigent Care	856	841	841	841
EPIC	538	582	626	626
Child Health Plus	344	365	384	384
Public Health Programs	121	163	135	135
Mental Health Programs	91	92	92	92
All Other	60	196	229	273
<b>Excess/(deficiency) receipts over disbursements</b>	<b>1,082</b>	<b>(1,184)</b>	<b>(155)</b>	<b>(126)</b>
<b>Closing Balance</b>	<b>1,494</b>	<b>310</b>	<b>155</b>	<b>29</b>

Over time, spending levels in the major entitlement programs, such as CHP, FHP, and EPIC, have increased, placing added pressure on recurring revenues to keep pace with rising demands. The 2006-07 Executive Budget seeks to ensure the long-term fiscal stability of the HCRA program by increasing revenues and moderating costs. These actions result in a balanced plan for HCRA through its current authorization period (June 30, 2007) and through the four-year Financial Plan period ending March 31, 2009.

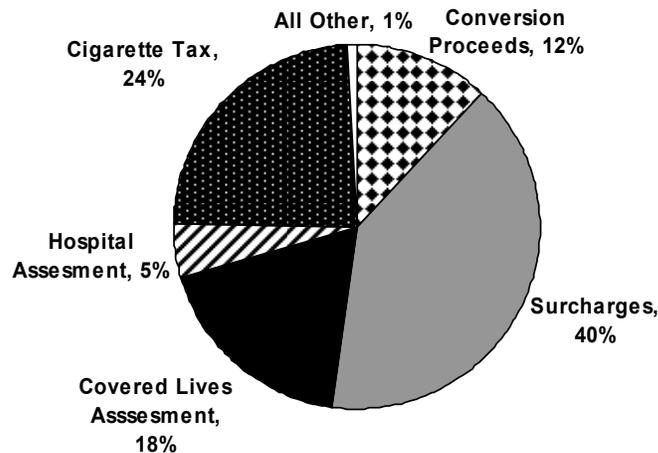
The most significant revenue enhancement for HCRA in the upcoming fiscal year is a recommended \$1 tax increase on the per-pack price of cigarettes (from \$1.50 to \$2.50). In addition, the Budget recommends requiring delinquency billings for HCRA revenue payers. The 2006-07 Budget also provides targeted investments for anti-tobacco programs, Physicians Excess Medical Malpractice, and capital spending within the HEAL NY program. Additional information on these proposed initiatives can be found in the following discussions of HCRA receipts and disbursements.

Prior to the 2006-07 Executive Budget proposals, DOB's current services projections for HCRA assumed that General Fund support would be provided to cover any potential imbalances. General Fund support for HCRA under the current services concept was estimated at \$152 million in 2006-07, \$391 million in 2007-08, and \$325 million in 2008-09. Given the value of the proposed Executive Budget actions, such General Fund support is no longer required.

Empire Conversion proceeds of approximately \$2.7 billion are expected to be available in the current fiscal year, consistent with the Mid-Year Financial Plan. The amount includes \$754 million in proceeds already received plus another \$2.0 billion from the merger of WellChoice and WellPoint. The use of proceeds in future years reflects the divestiture of a portion of remaining WellPoint stock received as part of the merger.

**HCRA RECEIPTS**

**2006-07 HCRA Receipts - \$4.2 Billion**



HCRA receipts include recurring surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, a portion of cigarette tax revenues, and other revenues dedicated by statute, as well as proceeds from insurance company conversions.

<b>HCRA Receipts (millions of dollars)</b>				
	<b>2005-06 Revised</b>	<b>2006-07 Proposed</b>	<b>Annual Change</b>	<b>Percent Change</b>
Conversion Proceeds	2,743	500	(2,243)	-81.8%
Surcharges	1,637	1,686	49	3.0%
Covered Lives Assessment	737	775	38	5.2%
Hospital Assessment (1 percent)	240	199	(41)	-17.1%
Cigarette Tax Revenue	677	1,000	323	47.7%
All Other	107	35	(72)	-67.3%
<b>Total Receipts</b>	<b>6,141</b>	<b>4,195</b>	<b>(1,946)</b>	<b>-31.7%</b>

## ***FINANCIAL PLAN***

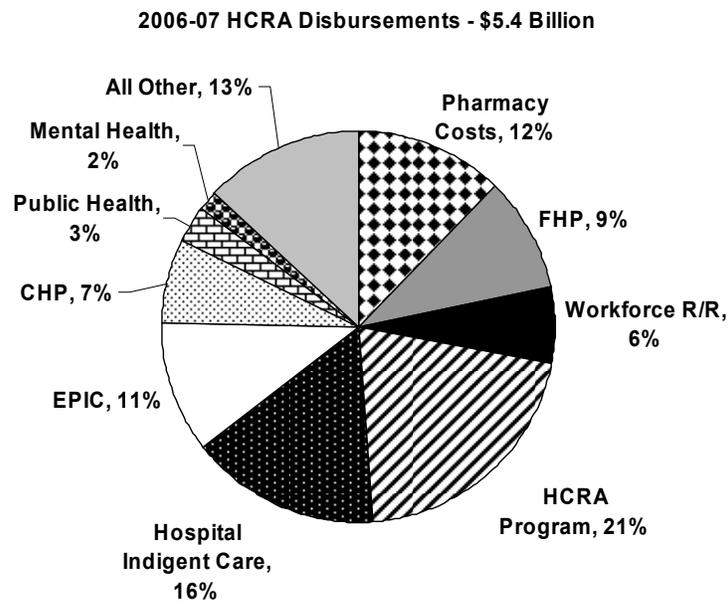
---

Total 2006-07 receipts are comprised primarily of surcharges, cigarette taxes, a covered lives assessment, a hospital assessments of 1 percent, and proceeds related to health care conversions.

The \$2.2 billion annual decline in conversion proceeds is mainly responsible for the overall reduction in receipts. The primary revenue-raising action for HCRA in the 2006-07 Executive Budget comes from the proposed \$1.00 increase to the State's cigarette tax. New York City's additional tax on cigarettes would be lowered from \$1.50 per pack to \$0.50, which would result in the same statewide tax rate of \$2.50 for purchases. This proposal would provide approximately \$308 million in additional receipts for HCRA in 2006-07.

As described earlier, the merger of WellChoice and WellPoint provides the New York Public Asset Fund, the State's agent in this proceeding, with a blend of cash and WellPoint stock. In addition, the Fund will own 27 million shares of WellPoint stock, part of which may be sold over the plan period.

### ***HCRA DISBURSEMENTS***



HCRA provides support for various Medicaid, public health care and mental health programs such as pharmacy costs, FHP, workforce recruitment and retention, Graduate Medical Education, hospital indigent care, EPIC, and CHP.

## FINANCIAL PLAN

<b>HCRA Disbursements</b> (millions of dollars)				
	<u>2005-06</u> <u>Adjusted</u>	<u>2006-07</u> <u>Proposed</u>	<u>Annual</u> <u>Change</u>	<u>Percent</u> <u>Change</u>
Medicaid Assistance Account:	<u>2,096</u>	<u>2,012</u>	<u>(84)</u>	<u>-4.0%</u>
<i>Pharmacy Costs</i>	498	651	153	30.7%
<i>Family Health Plus</i>	432	508	76	17.6%
<i>Workforce Recruitment &amp; Retraining</i>	343	343	0	0.0%
<i>All Other</i>	823	510	(313)	-38.0%
HCRA Program Account	953	1,128	175	18.4%
Hospital Indigent Care	856	841	(15)	-1.8%
EPIC	538	582	44	8.2%
Child Health Plus	344	365	21	6.1%
Public Health Programs	121	163	42	34.7%
Mental Health Progrms	91	92	1	1.1%
All Other	60	196	136	226.7%
<b>Total Disbursements</b>	<b>5,059</b>	<b>5,379</b>	<b>320</b>	<b>6.3%</b>

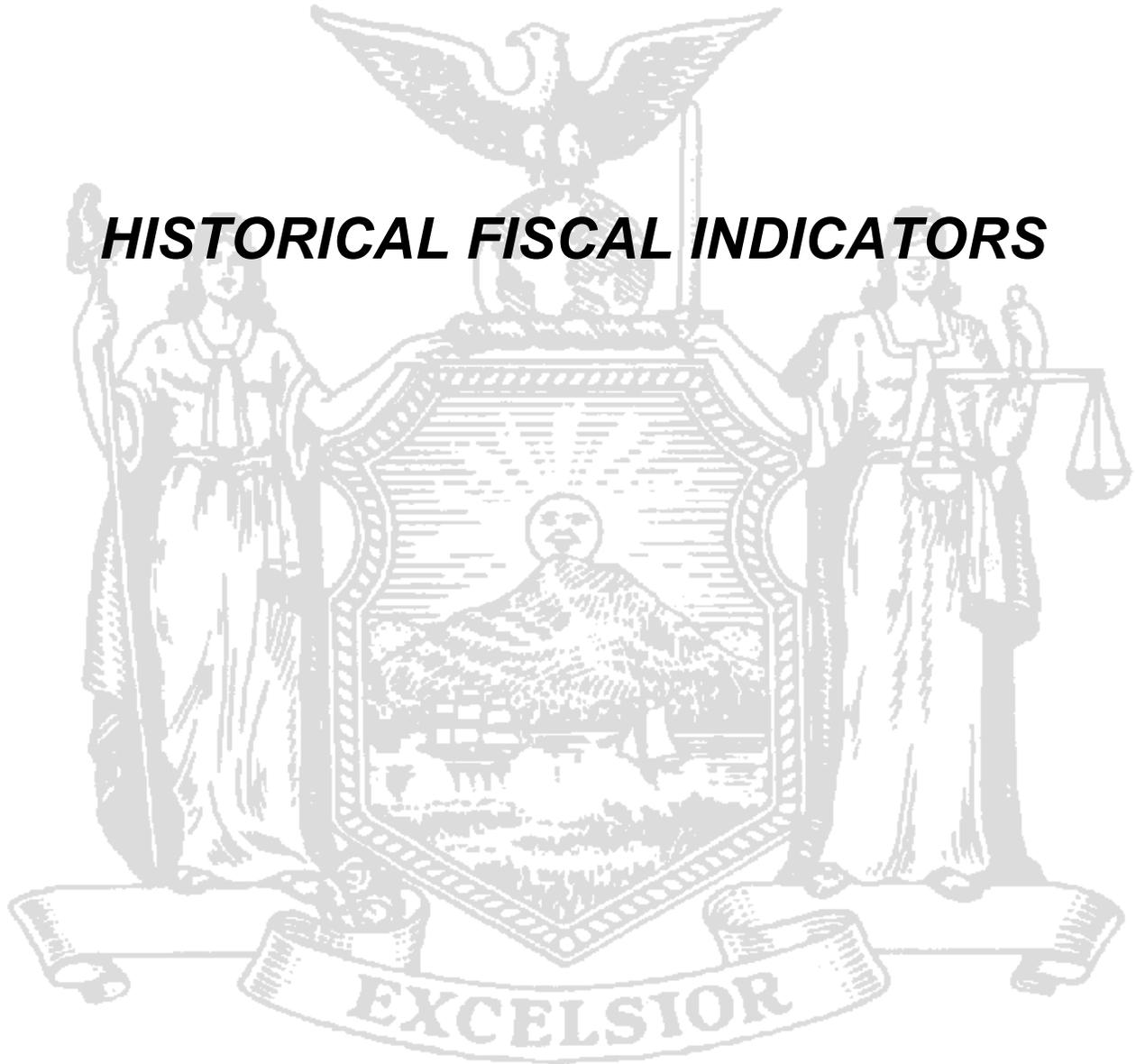
Total disbursements of \$5.4 billion are projected in 2006-07, an increase of \$320 million (6.3 percent) over the current year estimate. HCRA spending for 2005-06 and 2006-07 has been impacted by \$500 million due to the timing of Medicaid payments which were originally planned for 2005-06 but which will now occurring in 2006-07. This transaction results in a more stable level of spending by HCRA out of the Medicaid Assistance Account.

In addition to planned spending for the major programs cited above, the Executive Budget is advancing the second \$250 million appropriation for the HEAL NY capital program, including an increase of \$100 million in 2006-07 disbursements, of which \$25 million is dedicated for Roswell Park Cancer Institute capital projects. Other targeted investments include additional funding for Physicians Excess Medical Malpractice and for stockpiling medications and supplies for Avian Flu. In addition, revenues available from the proposed cigarette tax increase will support a \$55 million increase in anti-tobacco funding including \$15 million for Roswell Park Cancer Institute to support cancer research, bringing total funding to \$95 million, the amount recommended by the Federal Centers for Disease Control and Prevention.

Based on Executive Budget recommendations, DOB projects a \$310 million cash balance at the end of 2006-07 declining to approximately \$86 million on June 30, 2007, when current HCRA statutory authorizations expire. Additional detail on quarterly receipts and disbursements is contained in the "Financial Plan Tables" section of this document.



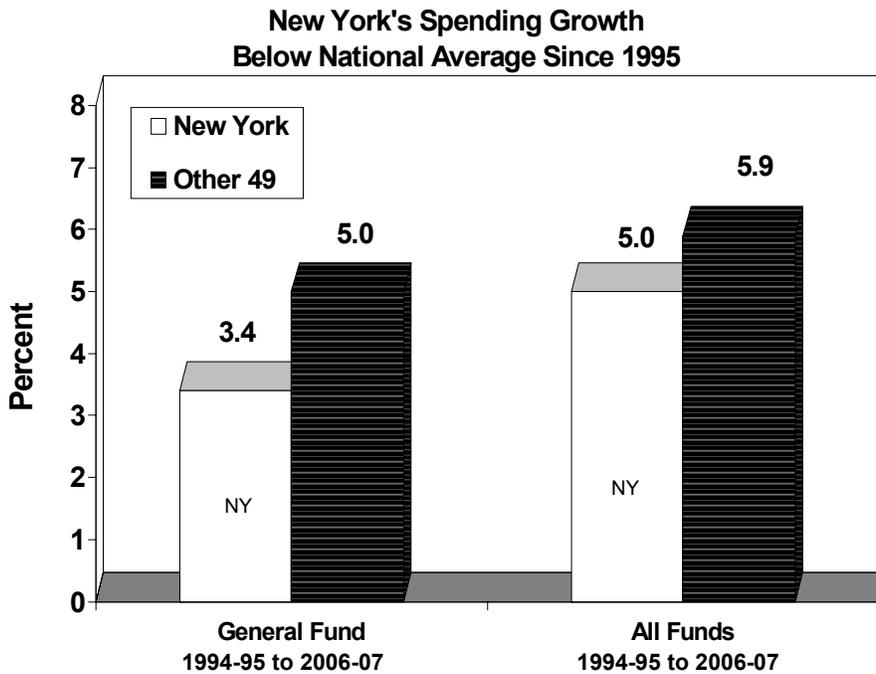
***HISTORICAL FISCAL INDICATORS***





**HISTORICAL FISCAL INDICATORS**

This section provides frequently requested information in seven areas: spending, reserves, workforce, credit ratings, debt, tax collections, and social characteristics. The Indicators illustrate trends in state budgeting, the economy, and the society that affect spending decisions and other government policies. The Indicators compare New York to the nation in general, and in many cases, to a group of peer states. New York’s peer states include other states in the northeast, and other large states from around the nation: California, Connecticut, Florida, Illinois, Massachusetts, Michigan, New Jersey, Ohio, Pennsylvania, and Texas.



Notes: Annual growth for other states measured on a weighted average, and reflects data through fiscal year 2004-05 for All Funds and fiscal year 2005-06 for the General Fund. Sources: NYS Division of the Budget and National Association of State Budget Officers.

Since 1995, New York’s average annual spending growth in both the General Fund and All Funds has been lower than the average for the other 49 states. In the General Fund, spending restraint exercised by other states during the recent recession moderated national growth trends.

In All Funds, New York’s average annual spending growth is slightly less than the national average. New York’s All Funds growth in recent years has been affected the State takeover of certain local Medicaid costs, higher spending for State-financed programs outside the General Fund (particularly health care), and the inclusion of all HCRA spending “on budget”.

# FINANCIAL PLAN

## Sources of General Fund Spending Growth

(millions of dollars)

Full Term (1995 Through 2006-07) and Five-Year Growth Trends

	Twelve-Year Growth	
	General Fund	Share of Growth
<b>1994-95 Spending</b>	<b>33,399</b>	
School Aid	5,873	36.2%
Medicaid	3,053	18.8%
Gen State Charges	2,263	13.9%
Judiciary	712	4.4%
Corrections	672	4.1%
Mental Health	550	3.4%
STAR Rebate	530	3.3%
Pre-K Special Education	505	3.1%
Local Government Aid	413	2.5%
Children and Families	401	2.5%
Debt Service	305	1.9%
Housing	(42)	-0.3%
Motor Vehicles	(112)	-0.7%
Transportation	(521)	-3.2%
Welfare	(1,251)	-7.7%
All Other (Net)	2,888	17.8%
<b>2006-07 Spending</b>	<b>49,638</b>	
<i>Dollar Change</i>	16,239	
<i>Percent Change</i>	48.6%	
<i>Avg. Annual Percent Change</i>	3.4%	

	Five-Year Growth	
	General Fund	Share of Growth
<b>2001-02 Spending</b>	<b>41,222</b>	
Medicaid	2,636	31.3%
School Aid	1,809	21.5%
General State Charges	1,694	20.1%
STAR Rebate	530	6.3%
Children and Families	349	4.1%
Judiciary	337	4.0%
Pre-K Special Education	296	3.5%
Corrections	296	3.5%
Local Government Aid	266	3.2%
Mental Health	177	2.1%
City University	155	1.8%
Transportation	(95)	-1.1%
Motor Vehicles	(124)	-1.5%
Welfare	(166)	-2.0%
Debt Service	(356)	-4.2%
All Other (Net)	612	7.3%
<b>2006-07 Spending</b>	<b>49,638</b>	
<i>Dollar Change</i>	8,416	
<i>Percent Change</i>	20.4%	
<i>Avg. Annual Percent Change</i>	3.8%	

Source: NYS Division of the Budget

**Sources of State Funds Spending Growth**  
**(millions of dollars)**  
**Full Term (1995 Through 2006-07) and Five-Year Growth Trends**

	<u>Twelve-Year Growth</u>	
	<u>State Funds</u>	<u>Share of Growth</u>
<b>1994-95 Spending</b>	<b>42,560</b>	
School Aid	7,109	21.9%
Medicaid	6,077	18.7%
STAR (Including Rebate)	3,898	12.0%
Public Health	3,042	9.4%
General State Charges	2,743	8.5%
State University	2,218	6.8%
Debt Service	1,911	5.9%
Transportation	1,227	3.8%
Judiciary	881	2.7%
Corrections	704	2.2%
City University	679	2.1%
Mental Health	618	1.9%
Welfare	(1,251)	-3.9%
All Other (Net)	2,582	8.0%
<b>2006-07 Spending</b>	<b>74,998</b>	
<i>Dollar Change</i>	32,438	
<i>Percent Change</i>	76.2%	
<i>Avg. Annual Percent Change</i>	4.8%	

	<u>Five-Year Growth</u>	
	<u>State Funds</u>	<u>Share of Growth</u>
<b>2001-02 Spending</b>	<b>56,978</b>	
Medicaid	5,088	28.2%
School Aid	2,645	14.7%
Public Health	1,967	10.9%
General State Charges	2,054	11.4%
STAR (Including Rebate)	1,388	7.7%
State University	1,311	7.3%
Transportation	1,194	6.6%
City University	762	4.2%
Judiciary	423	2.3%
Children and Families	350	1.9%
Mental Health	334	1.9%
Corrections	320	1.8%
Welfare	(166)	-0.9%
All Other (Net)	350	1.9%
<b>2006-07 Spending</b>	<b>74,998</b>	
<i>Dollar Change</i>	18,020	
<i>Percent Change</i>	31.6%	
<i>Avg. Annual Percent Change</i>	5.6%	

Source: NYS Division of the Budget

## FINANCIAL PLAN

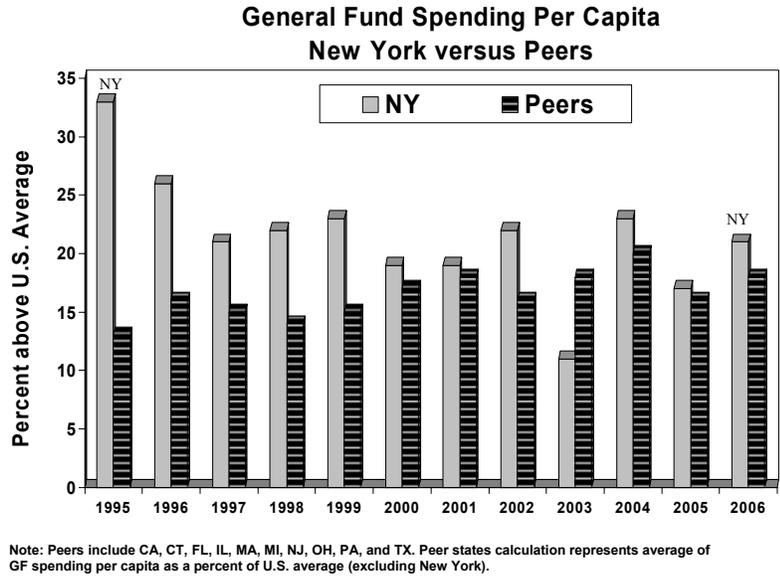
### Sources of All Governmental Funds Spending Growth (millions of dollars)

Full Term (1995 Through 2006-07) and Five-Year Growth Trends

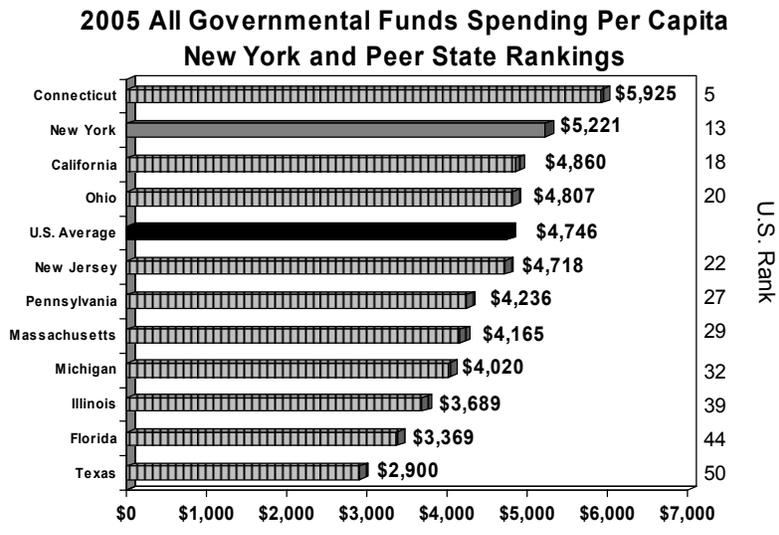
	Twelve-Year Growth	
	All Funds	Share of Growth
<b>1994-95 Spending</b>	<b>61,898</b>	
Medicaid	15,956	32.7%
School Aid	8,492	17.4%
STAR (Includes Rebate)	3,898	8.0%
Public Health	3,775	7.7%
State University	2,312	4.7%
General State Charges	2,138	4.4%
Debt Service	1,911	3.9%
Transportation	1,881	3.9%
Mental Retardation	1,288	2.6%
Pre-K Special Education	990	2.0%
Judiciary	889	1.8%
Corrections	730	1.5%
Welfare	(834)	-1.7%
All Other (Net)	5,420	11.1%
<b>2006-07 Spending</b>	<b>110,744</b>	
<i>Dollar Change</i>	48,846	
<i>Percent Change</i>	78.9%	
<i>Avg. Annual Percent Change</i>	5.0%	

	Five-Year Growth	
	All Funds	Share of Growth
<b>2001-02 Spending</b>	<b>85,044</b>	
Medicaid	9,919	38.6%
School Aid	3,654	14.2%
General State Charges	2,138	8.3%
Public Health	2,024	7.9%
Transportation	1,590	6.2%
STAR (Includes Rebate)	1,388	5.4%
State University	1,351	5.3%
Mental Retardation	815	3.2%
Pre-K Special Education	550	2.1%
Mental Health	442	1.7%
Judiciary	428	1.7%
Welfare	346	1.3%
World Trade Center	(524)	-2.0%
All Other (Net)	1,055	4.1%
<b>2006-07 Spending</b>	<b>110,744</b>	
<i>Dollar Change</i>	25,700	
<i>Percent Change</i>	30.2%	
<i>Avg. Annual Percent Change</i>	5.4%	

Source: NYS Division of the Budget



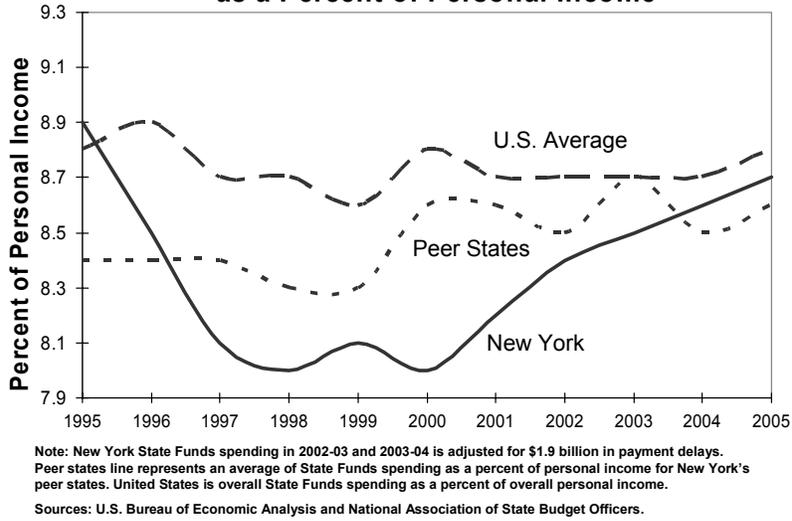
Since 1995, New York’s General Fund per capita spending decreased from 133 to 121 percent of the U.S. average. During the same period, the average General Fund spending per capita for its peer states increased from 113 to 118 percent of the U.S. average. At 121 percent of the U.S. average, New York’s General Fund per capita spending is in line with the average of its peers. New York’s per capita spending of \$2,453 ranks eleventh among all states in 2005-06.



Sources: U.S. Census Bureau, National Association of State Budget Officers.

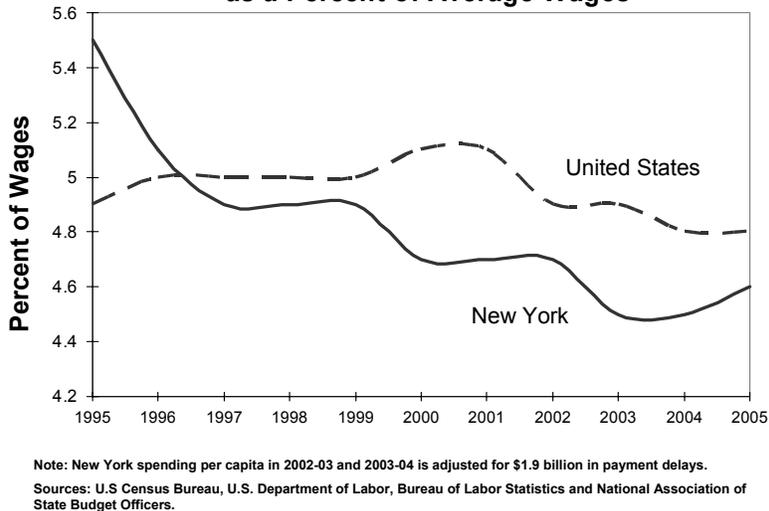
Among New York's peers, there exists a wide range in spending per capita from All Funds, indicating great differences in how individual states respond to the needs and circumstances of their citizens. In recent years, an inflow of Federal funds to New York, especially for the World Trade Center and health care, has increased All Funds spending per capita. New York’s 2005 All Funds per capita spending of \$5,221 ranks thirteenth among the states.

**State Funds Spending  
as a Percent of Personal Income**

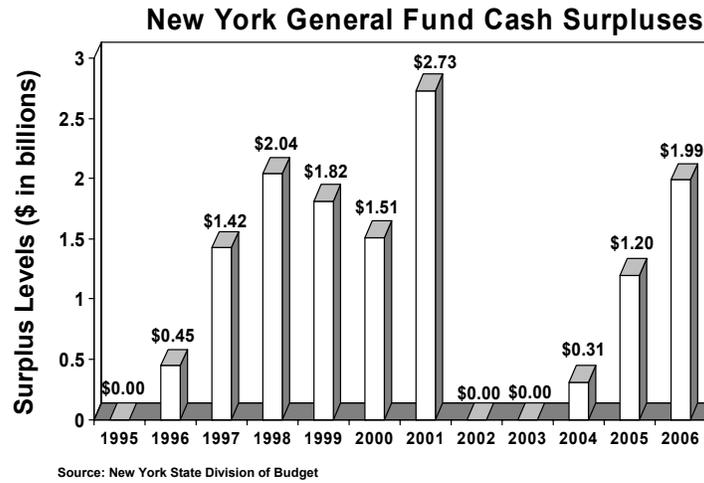


New York's State Funds spending as a percent of State personal income has been lower than the United States overall average and, except for the last two years, lower than the average of its peer states. Compared to the 1994-95 level, State Funds spending as a percent of personal income has declined by 0.2 percent in New York, increased by 0.2 percent in New York's peer states, and remained relatively stable in the United States overall. Unlike its peer states, New York's average State Funds spending growth (4.2 percent annually) was lower than its average personal income growth (4.4 percent annually) during this time period.

**General Fund Spending Per Capita  
as a Percent of Average Wages**



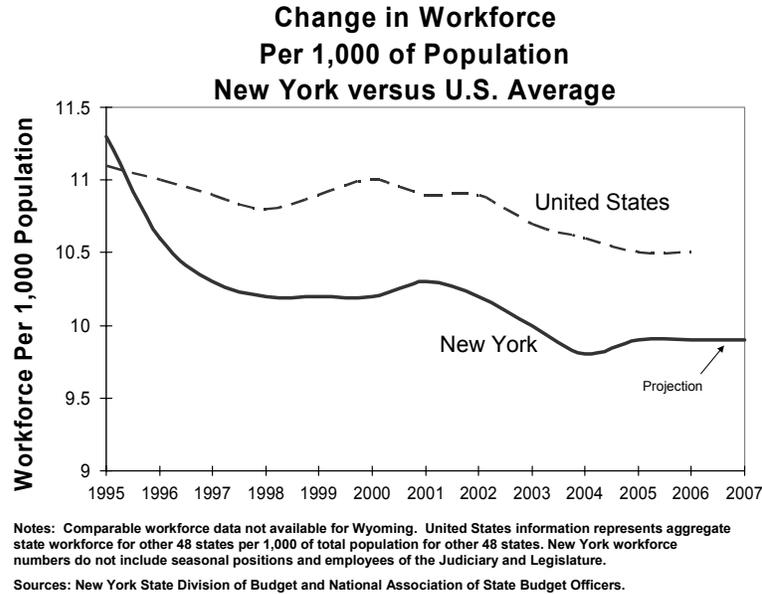
Since 1995, New York's General Fund per capita spending, as a percent of average annual wages, declined from 5.5 percent to 4.6 percent and since 1997 has been lower than the rest of the nation. While New York is higher than the U.S. average on both General Fund per capita spending and average annual wages, its spending to wages ratio is lower than the U.S. average.



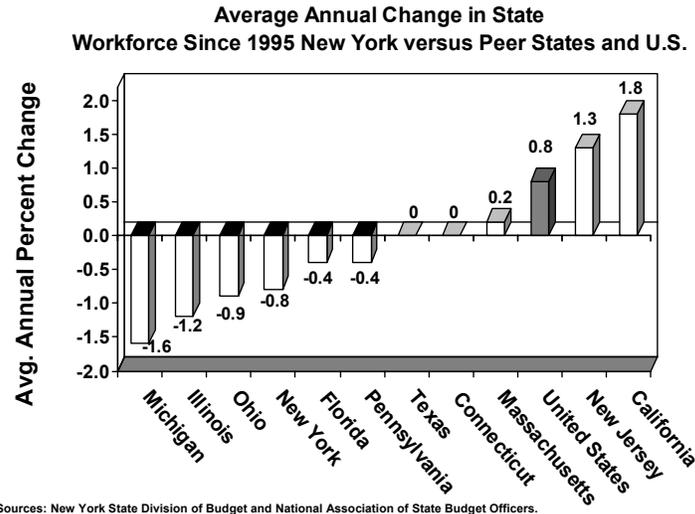
During the economic expansion of the 1990s, New York achieved six consecutive cash basis surpluses (1995-96 through 2000-01), reaching a high of \$2.7 billion in 2000-01. For two years, 2001-02 and 2002-03, the State maintained budget balance but did not have a surplus as it confronted the twin shocks of September 11th and the national recession. With revenues rebounding, the State is projecting a surplus of \$2.0 billion in 2005-06, in line with surplus levels achieved in the expansionary years prior to September 11th.



New York's reserves grew in the late 1990s and remained relatively strong through 2001-02. However, the State drew down over \$3 billion in reserves to maintain budget balance in the aftermath of September 11th and the national recession. In 2006-07, the State will make deposits to bring reserves back up to the level of \$4 billion and above the average of other states. New York has made ten deposits in eleven years to its principal reserve, the Rainy Day Fund. The balance equals 2 percent of spending (\$945 million), the maximum level permitted by State law.

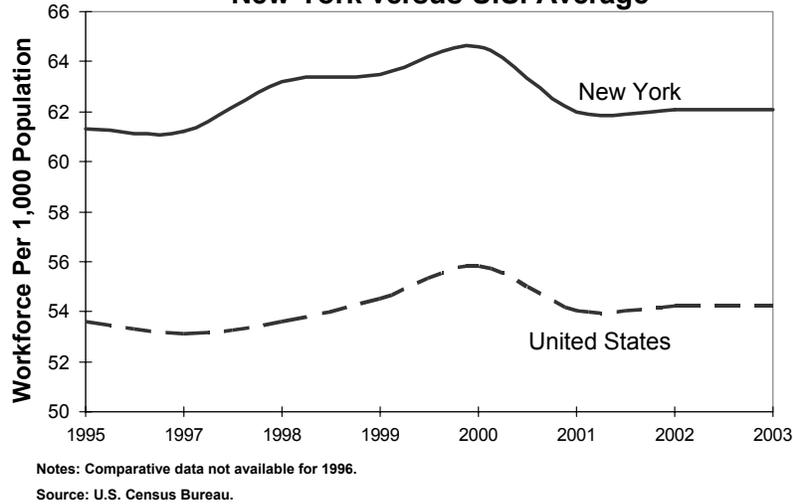


While the national trend shows that state workforces per capita have been on the decline, that trend is even more pronounced in New York. Faced with continued fiscal pressures since 2001-02, New York and many other states have curtailed spending growth, producing the first workforce reductions per capita since 1997. Since 1995, a State hiring freeze and targeted refilling of critical positions has reduced the New York State workforce by nearly 20,000 filled positions. Today, there are 9.9 State employees for every 1,000 New Yorkers, compared to a workforce of 10.5 per 1,000 of population for other states.



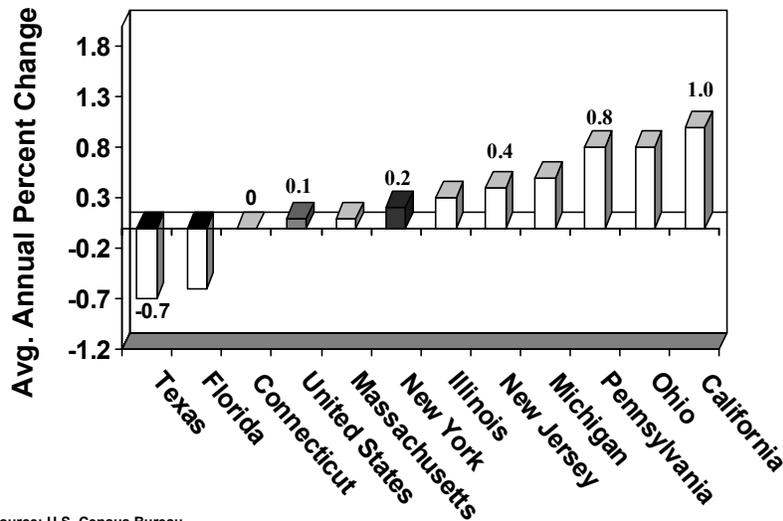
Since 1995 New York has reduced its State workforce by an average of 0.8 percent annually while the total of other state workforces has increased by an average 0.8 percent annually (despite the average annual increase of 0.8 percent, the U.S. workforce per 1,000 of population still shows a decline because total population was increasing by an average 1.1 percent annually during this time period). Nationally, only seven states had a workforce reduction during this time period that was greater than New York.

**Combined State and Local Workforce  
Per 1,000 of Population  
New York versus U.S. Average**



New York had 62 State and local government employees per 1,000 residents as of March 2003, the latest period for which comparative data are available. New York's combined government workforce is approximately 15 percent higher than the national average.

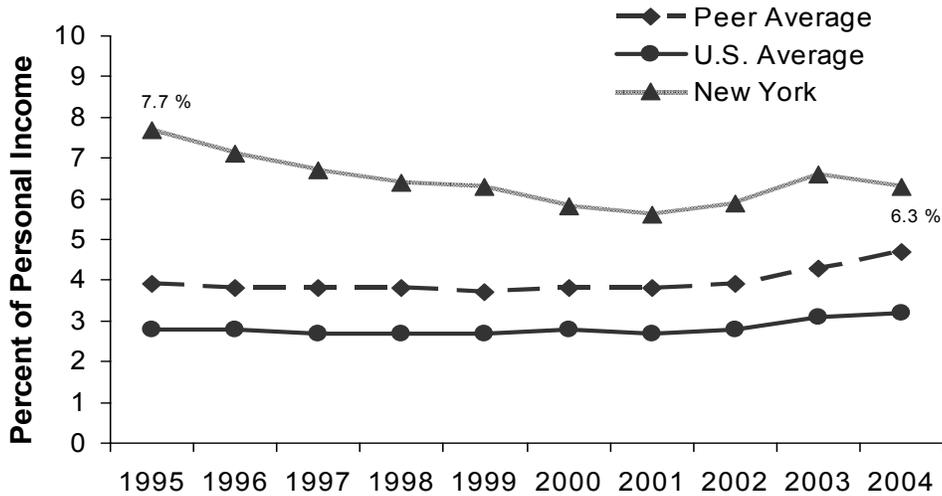
**Average Annual Change in Combined  
State and Local Workforce: 1995-2003**



Source: U.S. Census Bureau.

Since 1995, New York's combined State and local workforce has increased by an average of 0.2 percent annually, compared to a U.S. average of 0.1 percent annually. The peer states of California, Ohio, Pennsylvania, Michigan, New Jersey, and Illinois have shown greater increases during this time period.

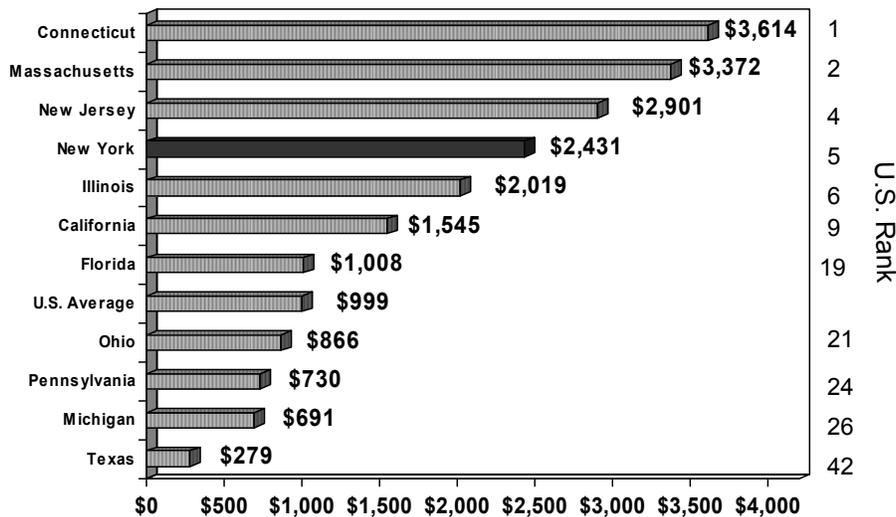
**Debt as a Percent of Personal Income**



Note: Data points represent debt at the calendar year-end as a percent of the previous year's personal income.  
 Source: Moody's Investors Service, Inc. for other states, State Comptroller reports for New York State.

Between 1995 and 2004, New York's debt as a percent of personal income decreased from 7.7 percent to 6.3 percent. In the last three years, debt levels have risen slightly in New York and in most states, reflecting a national trend toward increased debt in response to fiscal stress following September 11<sup>th</sup>. See the volume entitled "Capital Program and Financing Plan" for more information.

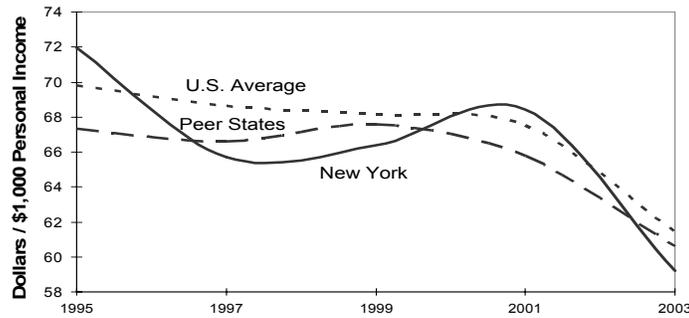
**2004 Total Per Capita State Debt  
 New York and Peer State Rankings**



Source: Moody's Investors Service, Inc. for peer states; NYS Comptroller Annual Report for NYS.

New York's debt per capita of \$2,431 ranks fifth highest in the nation. Connecticut, Massachusetts, Hawaii, and New Jersey have higher debt per capita ratios than New York.

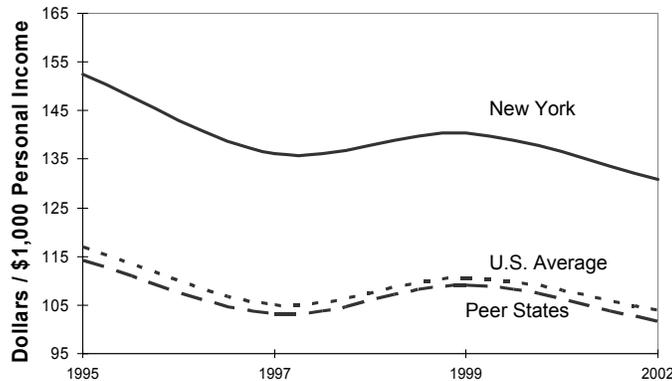
**State Tax Collections  
per \$1,000 Personal Income**



Note: Fiscal year 2003 is the most recent year for which comparative data are available.  
Source: State of New York, Department of Tax and Finance, *New York State Tax Source Book*.

Since 1995, the New York State tax burden, as measured by tax collections per \$1,000 of personal income, decreased from \$72 to \$59, slightly lower than the U.S. average and the average of its peer states. However, New York’s moderate standing in state level taxes is counteracted by relatively high local government taxes as illustrated below. When comparing New York to other states on State and local tax collections per \$1,000 of personal income it is important to note that the structure of the State’s economy permits it to collect more in taxes from non-residents, which has the effect of overstating tax collections as a share of personal income since non-resident income is not counted in the calculation. Equally important, national capital gains, of which New York receives a substantial fraction, are not included in the definition of personal income, even though New York State residents pay tax on capital gains realizations. These factors, when coupled with a strong national economy, add further upward bias in the tax-to-income ratio for New York. When the national economy weakened in 2002, state tax collections dropped accordingly.

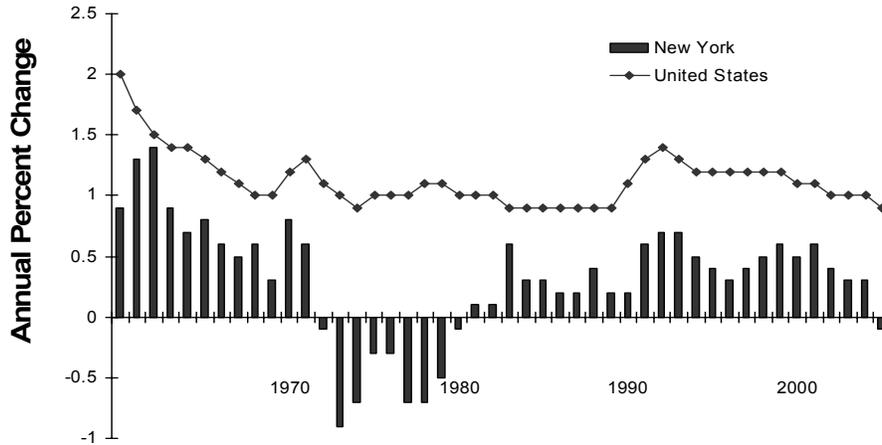
**State and Local Tax Collections  
per \$1,000 Personal Income**



Note: Fiscal year 2002 is the most recent year for which comparative data are available.  
Source: State of New York, Department of Tax and Finance, *New York State Tax Source Book*.

Since 1995, the New York State and local tax burden decreased from 15.3 percent to 13.1 percent of personal income. Although the combined State and local tax burden has been reduced, it is still considerably higher than the national average. Some of this disparity is due to factors described above under the table “State Tax Collections per \$1,000 personal income.” Since data are available only through 2002, some New York State tax changes of recent years are not yet fully reflected in these data.

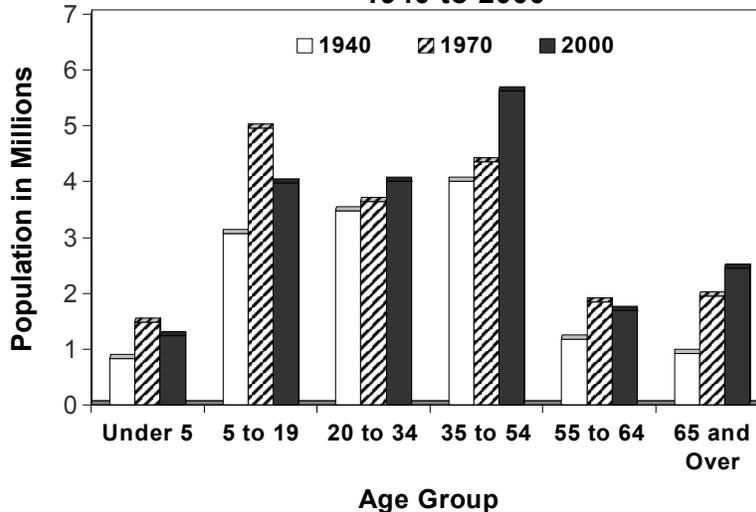
**Annual Population Change Since 1960**



Source: U.S. Census Bureau.

Since the 1950s, New York's population has grown more slowly than the national population. In 2005, New York experienced its first annual decline in population since 1980. The national population, for most of this time period, has grown by approximately 1.0 percent annually. New York, apart from experiencing some population decline during the 1970s, has grown at approximately 0.5 percent annually, sustained by immigration. After California, New York has the largest foreign-born population of any state, with one in five New Yorkers born abroad. Although New York is growing more slowly than the nation, it is still the third most populous state with approximately 19.3 million residents.

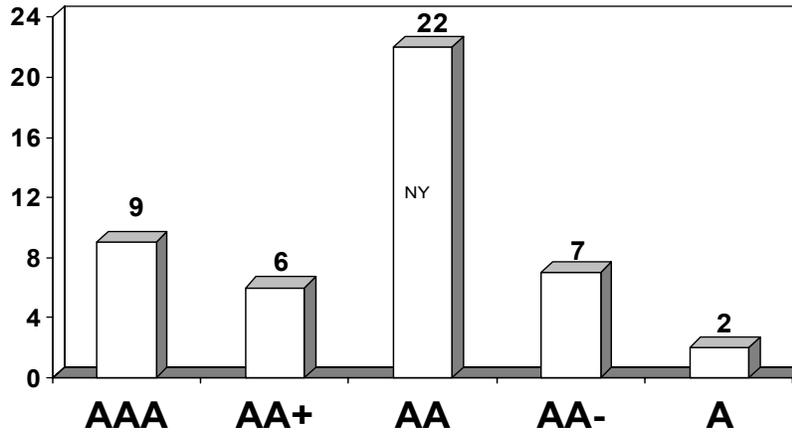
**New York State Population by Age  
1940 to 2000**



Source: U.S. Census Bureau

Between 1940 and 2000, the population of New York increased by 41 percent from 13.5 million to 19 million. New York's populations in each of the six age groups increased in size since 1940, although not at a similar pace. During this time period, the 65 years and over population increased by 166 percent while the 20 to 34 population increased by 15 percent.

**Standard & Poor's General Obligation Bond Ratings  
Distribution of State Ratings**



Source: Standard & Poor's.

New York is currently one of among 22 states that are rated AA by Standard & Poor's. The average state rating is AA and reflects the general creditworthiness of the state sector. Relative to New York, Standard & Poor's currently assigns a lower credit rating to nine states (California, Wisconsin, Oregon, Kentucky, Hawaii, Maine, Montana, West Virginia, and Louisiana). In September 2004, New York's AA rating outlook was revised from negative to stable.

**NEW YORK STATE GENERAL OBLIGATION RATING HISTORY**

Year	S&P	Fitch	Moody's
1995	A-	A+	A2
1996	A-	A+	A2
1997	Upgrade A- to A	A+	A2
1998	A	A+	A2
1999	Upgrade A to A+	A+	A2
2000	Upgrade A+ to AA	Upgrade A+ to AA	A2
2001	AA	AA	A2
2002	AA	AA	A2
2003	AA	Downgrade AA to AA-	A2
2004	AA	AA-	Upgrade A2 to A1
2005	AA	AA-	Upgrade A1 to Aa3
2006	AA	AA-	Aa3

Standard & Poor's has upgraded New York three times since 1995. The most recent upgrade was in 2000 when it raised the rating two notches from A+ to AA. Fitch currently assigns New York the AA- rating after downgrading the State in May 2003. Moody's has upgraded New York's credit rating two notches since 2004, from A2 to Aa3, making the current rating the highest by Moody's in 30 years.



***FINANCIAL PLAN TABLES***





## **INDEX OF FINANCIAL PLAN TABLES**

	<b>Page</b>
<b>GENERAL FUND</b>	
Update of 2005-2006 (Change from Mid-Year Update) .....	147
Annual Change from 2005-2006 to 2006-2007 .....	148
Projections 2006-2007 through 2008-2009 .....	149
<b>STATE FUNDS</b>	
2004-2005 Financial Plan (actuals) .....	150
2005-2006 Financial Plan .....	151
2006-2007 Financial Plan .....	152
2007-2008 Financial Plan .....	153
2008-2009 Financial Plan .....	154
<b>ALL GOVERNMENTAL FUNDS</b>	
2004-2005 Financial Plan (actuals) .....	155
2005-2006 Financial Plan .....	156
2006-2007 Financial Plan .....	157
2007-2008 Financial Plan .....	158
2008-2009 Financial Plan .....	159
<b>CASH DISBURSEMENTS BY FUNCTION</b>	
General Fund, 2004-2005 through 2006-2007 .....	160
State Funds, 2004-2005 through 2006-2007 .....	163
All Governmental Funds, 2004-2005 through 2006-2007 .....	166
<b>CASH FLOW — GENERAL FUND</b>	
2004-2005 Monthly Projections (actuals) .....	169
2005-2006 Monthly Projections .....	170
2006-2007 Monthly Projections .....	171
2006-2007 Miscellaneous Receipt Monthly Projections .....	172
Actual Results vs. Mid-Year Projections (April through December) .....	173
Actual Results vs. Enacted Budget Projections (April through December) ..	174
Actual Results through December (Comparison of 2004 to 2005) .....	175
<b>GAAP — ALL GENERAL FUND</b>	
Update of 2005-2006 (Change from Mid-Year Update) .....	176
Annual Change from 2005-2006 to 2006-2007 .....	177
Projections 2006-2007 through 2008-2009 .....	178
<b>GAAP — ALL GOVERNMENTAL FUNDS</b>	
2005-2006 Financial Plan .....	179
2006-2007 Financial Plan .....	180
2005-2006 Major Funds Financial Plan .....	181
2006-2007 Major Funds Financial Plan .....	182

**FINANCIAL PLAN**

---

**HEALTH CARE REFORM ACT RESOURCES FUND**

Annual Change from 2005-2006 to 2006-2007 .....	183
Projections 2006-2007 through 2008-2009 .....	184
2005-2006 Quarterly Cash Flow Projections .....	185
2006-2007 Quarterly Cash Flow Projections .....	186

**WORKFORCE IMPACT SUMMARY REPORT**

General Fund, 2004-2005 through 2006-2007 .....	187
Other Funds (excluding General Fund), 2004-2005 through 2006-2007 .....	189
All Funds, 2004-2005 through 2006-2007 .....	191

**CASH FINANCIAL PLAN  
GENERAL FUND  
2005-2006  
(millions of dollars)**

	<u>Mid-Year</u>	<u>Change</u>	<u>January</u>
<b>Opening fund balance</b>	<u>2,546</u>	<u>0</u>	<u>2,546</u>
<b>Receipts:</b>			
Taxes:			
Personal income tax	21,000	(173)	20,827
User taxes and fees	8,533	104	8,637
Business taxes	4,483	490	4,973
Other taxes	779	115	894
Miscellaneous receipts	2,447	144	2,591
Federal grants	9	0	9
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	6,575	(34)	6,541
Sales tax in excess of LGAC debt service	2,259	26	2,285
Real estate taxes in excess of CW/CA debt service	514	191	705
All other transfers	522	(54)	468
<b>Total receipts</b>	<u>47,121</u>	<u>809</u>	<u>47,930</u>
<b>Disbursements:</b>			
Grants to local governments	31,701	384	32,085
State operations	8,361	(115)	8,246
General State charges	4,045	(42)	4,003
Transfers to other funds:			
Debt service	1,713	14	1,727
Capital projects	214	(4)	210
Other purposes	862	100	962
<b>Total disbursements</b>	<u>46,896</u>	<u>337</u>	<u>47,233</u>
<b>Change in fund balance</b>	<u>225</u>	<u>472</u>	<u>697</u>
<b>Closing fund balance</b>	<u>2,771</u>	<u>472</u>	<u>3,243</u>
<b>General Reserves</b>			
Statutory Rainy Day Reserve	872	73	945
Contingency Reserve Fund	21	0	21
<b>Designated Reserves</b>			
Spending Stabilization Reserve	1,039	952	1,991
Community Projects Fund	287	(1)	286
Payment of Tax Refunds <sup>(1)</sup>	552	(552)	0

<sup>(1)</sup> Utilized in 2005-2006 to increase the amount of refunds paid prior to the end of the fiscal year.

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
GENERAL FUND  
2005-2006 AND 2006-2007  
(millions of dollars)**

	<u>2005-2006 January</u>	<u>2006-2007 Recommended</u>	<u>Annual Change</u>
<b>Opening fund balance</b>	<u>2,546</u>	<u>3,243</u>	<u>697</u>
<b>Receipts:</b>			
Taxes:			
Personal income tax	20,827	22,654	1,827
User taxes and fees	8,637	8,810	173
Business taxes	4,973	4,999	26
Other taxes	894	900	6
Miscellaneous receipts	2,591	2,708	117
Federal grants	9	9	0
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	6,541	6,932	391
Sales tax in excess of LGAC debt service	2,285	2,356	71
Real estate taxes in excess of CW/CA debt service	705	532	(173)
All other	468	266	(202)
<b>Total receipts</b>	<u>47,930</u>	<u>50,166</u>	<u>2,236</u>
<b>Disbursements:</b>			
Grants to local governments	32,085	33,655	1,570
State operations	8,246	8,888	642
General State charges	4,003	4,344	341
Transfers to other funds:			
Debt service	1,727	1,731	4
Capital projects	210	255	45
Other purposes	962	765	(197)
<b>Total disbursements</b>	<u>47,233</u>	<u>49,638</u>	<u>2,405</u>
<b>Change in fund balance</b>	<u>697</u>	<u>528</u>	<u>(169)</u>
<b>Closing fund balance</b>	<u>3,243</u>	<u>3,771</u>	<u>528</u>
<b>General Reserves</b>			
Statutory Rainy Day Reserve	945	945	0
Contingency Reserve Fund	21	21	0
<b>Designated Reserves</b>			
Spending Stabilization Reserve	1,991	2,044	53
Community Project Fund	286	236	(50)
Collective Bargaining Reserve	0	275	275
Debt Reduction Reserve	0	250	250

**CASH FINANCIAL PLAN  
GENERAL FUND  
2006-2007 THROUGH 2008-2009  
(millions of dollars)**

	<b>2006-2007 Recommended</b>	<b>2007-2008 Projected</b>	<b>2008-2009 Projected</b>
<b>Receipts:</b>			
Taxes:			
Personal income tax	22,654	22,519	24,014
User taxes and fees	8,810	9,131	9,416
Business taxes	4,999	5,083	4,696
Other taxes	900	817	703
Miscellaneous receipts	2,708	2,573	2,342
Federal grants	9	9	9
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	6,932	6,695	7,013
Sales tax in excess of LGAC debt service	2,356	2,455	2,548
Real estate taxes in excess of CW/CA debt service	532	515	507
All other	266	214	217
<b>Total receipts</b>	<b>50,166</b>	<b>50,011</b>	<b>51,465</b>
<b>Disbursements:</b>			
Grants to local governments	33,655	36,293	39,255
State operations	8,888	9,205	9,472
General State charges	4,344	4,634	4,916
Transfers to other funds:			
Debt service	1,731	1,780	1,766
Capital projects	255	242	240
Other purposes	765	655	671
<b>Total disbursements</b>	<b>49,638</b>	<b>52,809</b>	<b>56,320</b>
<b>Deposit to/(use of) Community Projects Fund</b>	<b>(50)</b>	<b>(50)</b>	<b>(150)</b>
<b>Deposit to/(use of) Collective Bargaining Reserve</b>	<b>275</b>	<b>160</b>	<b>160</b>
<b>Deposit to/(use of) Debt Reduction Reserve</b>	<b>250</b>	<b>0</b>	<b>0</b>
<b>Deposit to/(use of) Spending Stabilization Reserve</b>	<b>53</b>	<b>(1,022)</b>	<b>(1,022)</b>
<b>Margin</b>	<b>0</b>	<b>(1,886)</b>	<b>(3,843)</b>

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
STATE FUNDS  
2004-2005  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>(MEMO) Total</b>
<b>Opening fund balance</b>	2,302	1,703	(336)	175	3,844
<b>Receipts:</b>					
Taxes	32,507	4,858	1,862	9,371	48,598
Miscellaneous receipts	2,217	10,972	1,753	768	15,710
Federal grants	9	0	0	0	9
<b>Total receipts</b>	<u>34,733</u>	<u>15,830</u>	<u>3,615</u>	<u>10,139</u>	<u>64,317</u>
<b>Disbursements:</b>					
Grants to local governments	29,493	11,131	337	0	40,961
State operations	7,565	4,917	0	12	12,494
General State charges	3,653	524	0	0	4,177
Debt service	0	0	0	3,788	3,788
Capital projects	0	11	2,541	0	2,552
<b>Total disbursements</b>	<u>40,711</u>	<u>16,583</u>	<u>2,878</u>	<u>3,800</u>	<u>63,972</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	9,130	1,174	217	5,134	15,655
Transfers to other funds	(2,908)	(120)	(1,002)	(11,464)	(15,494)
Bond and note proceeds	0	0	178	0	178
<b>Net other financing sources (uses)</b>	<u>6,222</u>	<u>1,054</u>	<u>(607)</u>	<u>(6,330)</u>	<u>339</u>
<b>Change in fund balance</b>	<u>244</u>	<u>301</u>	<u>130</u>	<u>9</u>	<u>684</u>
<b>Closing fund balance</b>	<u>2,546</u>	<u>2,004</u>	<u>(206)</u>	<u>184</u>	<u>4,528</u>

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
STATE FUNDS  
2005-2006  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>(MEMO) Total</b>
<b>Opening fund balance</b>	2,546	2,001	(201)	184	4,530
<b>Receipts:</b>					
Taxes	35,331	5,963	1,851	10,368	53,513
Miscellaneous receipts	2,591	13,233	1,715	686	18,225
Federal grants	9	1	0	0	10
<b>Total receipts</b>	<u>37,931</u>	<u>19,197</u>	<u>3,566</u>	<u>11,054</u>	<u>71,748</u>
<b>Disbursements:</b>					
Grants to local governments	32,085	13,449	885	0	46,419
State operations	8,246	5,035	0	70	13,351
General State charges	4,003	554	0	0	4,557
Debt service	0	0	0	3,723	3,723
Capital projects	0	1	2,302	0	2,303
<b>Total disbursements</b>	<u>44,334</u>	<u>19,039</u>	<u>3,187</u>	<u>3,793</u>	<u>70,353</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	9,999	1,389	239	5,182	16,809
Transfers to other funds	(2,899)	(335)	(904)	(12,437)	(16,575)
Bond and note proceeds	0	0	167	0	167
<b>Net other financing sources (uses)</b>	<u>7,100</u>	<u>1,054</u>	<u>(498)</u>	<u>(7,255)</u>	<u>401</u>
<b>Change in fund balance</b>	<u>697</u>	<u>1,212</u>	<u>(119)</u>	<u>6</u>	<u>1,796</u>
<b>Closing fund balance</b>	<u>3,243</u>	<u>3,213</u>	<u>(320)</u>	<u>190</u>	<u>6,326</u>

*The opening fund balance has been changed to reflect the reclassification of the Hazardous Waste Remedial Fund from the Special Revenue Funds to the Capital Projects Funds.*

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
STATE FUNDS  
2006-2007  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>(MEMO) Total</b>
<b>Opening fund balance</b>	3,243	3,213	(320)	190	6,326
<b>Receipts:</b>					
Taxes	37,363	6,609	1,960	10,919	56,851
Miscellaneous receipts	2,708	11,494	1,890	665	16,757
Federal grants	9	1	0	0	10
<b>Total receipts</b>	<u>40,080</u>	<u>18,104</u>	<u>3,850</u>	<u>11,584</u>	<u>73,618</u>
<b>Disbursements:</b>					
Grants to local governments	33,655	14,247	906	0	48,808
State operations	8,888	5,311	0	65	14,264
General State charges	4,344	618	0	0	4,962
Debt service	0	0	0	4,118	4,118
Capital projects	0	2	2,844	0	2,846
<b>Total disbursements</b>	<u>46,887</u>	<u>20,178</u>	<u>3,750</u>	<u>4,183</u>	<u>74,998</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	10,086	1,207	453	5,350	17,096
Transfers to other funds	(2,751)	(480)	(847)	(12,744)	(16,822)
Bond and note proceeds	0	0	351	0	351
<b>Net other financing sources (uses)</b>	<u>7,335</u>	<u>727</u>	<u>(43)</u>	<u>(7,394)</u>	<u>625</u>
<b>Change in fund balance</b>	<u>528</u>	<u>(1,347)</u>	<u>57</u>	<u>7</u>	<u>(755)</u>
<b>Closing fund balance</b>	<u>3,771</u>	<u>1,866</u>	<u>(263)</u>	<u>197</u>	<u>5,571</u>

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
STATE FUNDS  
2007-2008  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	0	1,866	(263)	197	1,800
<b>Receipts:</b>					
Taxes	37,550	6,868	2,003	10,975	57,396
Miscellaneous receipts	2,573	12,695	2,243	671	18,182
Federal grants	9	1	0	0	10
<b>Total receipts</b>	<u>40,132</u>	<u>19,564</u>	<u>4,246</u>	<u>11,646</u>	<u>75,588</u>
<b>Disbursements:</b>					
Grants to local governments	36,293	14,964	818	0	52,075
State operations	9,205	5,390	0	66	14,661
General State charges	4,634	652	0	0	5,286
Debt service	0	0	0	4,539	4,539
Capital projects	0	2	3,438	0	3,440
<b>Total disbursements</b>	<u>50,132</u>	<u>21,008</u>	<u>4,256</u>	<u>4,605</u>	<u>80,001</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	9,879	1,086	455	5,582	17,002
Transfers to other funds	(2,677)	(452)	(931)	(12,610)	(16,670)
Bond and note proceeds	0	0	477	0	477
<b>Net other financing sources (uses)</b>	<u>7,202</u>	<u>634</u>	<u>1</u>	<u>(7,028)</u>	<u>809</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(50)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(50)</u>
<b>Deposit to/(use of) Collective Bargaining Reserve</b>	<u>160</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>160</u>
<b>Deposit to/(use of) Spending Stabilization Reserve</b>	<u>(1,022)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(1,022)</u>
<b>Change in fund balance</b>	<u>(1,886)</u>	<u>(810)</u>	<u>(9)</u>	<u>13</u>	<u>(2,692)</u>
<b>Closing fund balance</b>	<u>(1,886)</u>	<u>1,056</u>	<u>(272)</u>	<u>210</u>	<u>(892)</u>

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
STATE FUNDS  
2008-2009  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	0	1,056	(272)	210	994
<b>Receipts:</b>					
Taxes	38,829	7,095	2,030	11,561	59,515
Miscellaneous receipts	2,342	13,353	2,239	679	18,613
Federal grants	9	1	0	0	10
<b>Total receipts</b>	<u>41,180</u>	<u>20,449</u>	<u>4,269</u>	<u>12,240</u>	<u>78,138</u>
<b>Disbursements:</b>					
Grants to local governments	39,255	15,302	776	0	55,333
State operations	9,472	5,430	0	66	14,968
General State charges	4,916	668	0	0	5,584
Debt service	0	0	0	4,858	4,858
Capital projects	0	2	3,471	0	3,473
<b>Total disbursements</b>	<u>53,643</u>	<u>21,402</u>	<u>4,247</u>	<u>4,924</u>	<u>84,216</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	10,285	1,106	478	5,760	17,629
Transfers to other funds	(2,677)	(457)	(1,047)	(13,065)	(17,246)
Bond and note proceeds	0	0	540	0	540
<b>Net other financing sources (uses)</b>	<u>7,608</u>	<u>649</u>	<u>(29)</u>	<u>(7,305)</u>	<u>923</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(150)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(150)</u>
<b>Deposit to/(use of) Collective Bargaining Reserve</b>	<u>160</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>160</u>
<b>Deposit to/(use of) Spending Stabilization Reserve</b>	<u>(1,022)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(1,022)</u>
<b>Change in fund balance</b>	<u>(3,843)</u>	<u>(304)</u>	<u>(7)</u>	<u>11</u>	<u>(4,143)</u>
<b>Closing fund balance</b>	<u>(3,843)</u>	<u>752</u>	<u>(279)</u>	<u>221</u>	<u>(3,149)</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2004-2005  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	2,302	2,183	(489)	175	4,171
<b>Receipts:</b>					
Taxes	32,507	4,858	1,862	9,371	48,598
Miscellaneous receipts	2,217	11,115	1,759	768	15,859
Federal grants	9	34,492	1,721	0	36,222
<b>Total receipts</b>	<u>34,733</u>	<u>50,465</u>	<u>5,342</u>	<u>10,139</u>	<u>100,679</u>
<b>Disbursements:</b>					
Grants to local governments	29,493	42,643	852	0	72,988
State operations	7,565	8,095	0	12	15,672
General State charges	3,653	712	0	0	4,365
Debt service	0	0	0	3,788	3,788
Capital projects	0	11	3,844	0	3,855
<b>Total disbursements</b>	<u>40,711</u>	<u>51,461</u>	<u>4,696</u>	<u>3,800</u>	<u>100,668</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	9,130	3,614	217	5,134	18,095
Transfers to other funds	(2,908)	(2,796)	(1,006)	(11,464)	(18,174)
Bond and note proceeds	0	0	178	0	178
<b>Net other financing sources (uses)</b>	<u>6,222</u>	<u>818</u>	<u>(611)</u>	<u>(6,330)</u>	<u>99</u>
<b>Change in fund balance</b>	<u>244</u>	<u>(178)</u>	<u>35</u>	<u>9</u>	<u>110</u>
<b>Closing fund balance</b>	<u>2,546</u>	<u>2,005</u>	<u>(454)</u>	<u>184</u>	<u>4,281</u>

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2005-2006  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	2,546	2,000	(449)	184	4,281
<b>Receipts:</b>					
Taxes	35,331	5,963	1,851	10,368	53,513
Miscellaneous receipts	2,591	13,249	1,715	686	18,241
Federal grants	9	34,428	1,782	0	36,219
<b>Total receipts</b>	<u>37,931</u>	<u>53,640</u>	<u>5,348</u>	<u>11,054</u>	<u>107,973</u>
<b>Disbursements:</b>					
Grants to local governments	32,085	44,392	1,096	0	77,573
State operations	8,246	8,100	0	70	16,416
General State charges	4,003	771	0	0	4,774
Debt service	0	0	0	3,723	3,723
Capital projects	0	2	3,886	0	3,888
<b>Total disbursements</b>	<u>44,334</u>	<u>53,265</u>	<u>4,982</u>	<u>3,793</u>	<u>106,374</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	9,999	3,780	239	5,182	19,200
Transfers to other funds	(2,899)	(3,005)	(916)	(12,437)	(19,257)
Bond and note proceeds	0	0	167	0	167
<b>Net other financing sources (uses)</b>	<u>7,100</u>	<u>775</u>	<u>(510)</u>	<u>(7,255)</u>	<u>110</u>
<b>Change in fund balance</b>	<u>697</u>	<u>1,150</u>	<u>(144)</u>	<u>6</u>	<u>1,709</u>
<b>Closing fund balance</b>	<u>3,243</u>	<u>3,150</u>	<u>(593)</u>	<u>190</u>	<u>5,990</u>

*The opening fund balance has been changed to reflect the reclassification of the Hazardous Waste Remedial Fund from the Special Revenue Funds to the Capital Projects Funds.*

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2006-2007  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	<u>3,243</u>	<u>3,150</u>	<u>(593)</u>	<u>190</u>	<u>5,990</u>
<b>Receipts:</b>					
Taxes	37,363	6,609	1,960	10,919	56,851
Miscellaneous receipts	2,708	11,509	1,890	665	16,772
Federal grants	9	34,338	1,754	0	36,101
<b>Total receipts</b>	<u>40,080</u>	<u>52,456</u>	<u>5,604</u>	<u>11,584</u>	<u>109,724</u>
<b>Disbursements:</b>					
Grants to local governments	33,655	44,926	1,112	0	79,693
State operations	8,888	8,398	0	65	17,351
General State charges	4,344	860	0	0	5,204
Debt service	0	0	0	4,118	4,118
Capital projects	0	3	4,375	0	4,378
<b>Total disbursements</b>	<u>46,887</u>	<u>54,187</u>	<u>5,487</u>	<u>4,183</u>	<u>110,744</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	10,086	3,575	453	5,350	19,464
Transfers to other funds	(2,751)	(3,196)	(860)	(12,744)	(19,551)
Bond and note proceeds	0	0	351	0	351
<b>Net other financing sources (uses)</b>	<u>7,335</u>	<u>379</u>	<u>(56)</u>	<u>(7,394)</u>	<u>264</u>
<b>Change in fund balance</b>	<u>528</u>	<u>(1,352)</u>	<u>61</u>	<u>7</u>	<u>(756)</u>
<b>Closing fund balance</b>	<u>3,771</u>	<u>1,798</u>	<u>(532)</u>	<u>197</u>	<u>5,234</u>

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2007-2008  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	0	1,798	(532)	197	1,463
<b>Receipts:</b>					
Taxes	37,550	6,868	2,003	10,975	57,396
Miscellaneous receipts	2,573	12,753	2,243	671	18,240
Federal grants	9	35,322	1,879	0	37,210
<b>Total receipts</b>	<u>40,132</u>	<u>54,943</u>	<u>6,125</u>	<u>11,646</u>	<u>112,846</u>
<b>Disbursements:</b>					
Grants to local governments	36,293	46,576	1,024	0	83,893
State operations	9,205	8,568	0	66	17,839
General State charges	4,634	899	0	0	5,533
Debt service	0	0	0	4,539	4,539
Capital projects	0	3	5,089	0	5,092
<b>Total disbursements</b>	<u>50,132</u>	<u>56,046</u>	<u>6,113</u>	<u>4,605</u>	<u>116,896</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	9,879	3,459	455	5,582	19,375
Transfers to other funds	(2,677)	(3,179)	(945)	(12,610)	(19,411)
Bond and note proceeds	0	0	477	0	477
<b>Net other financing sources (uses)</b>	<u>7,202</u>	<u>280</u>	<u>(13)</u>	<u>(7,028)</u>	<u>441</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(50)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(50)</u>
<b>Deposit to/(use of) Collective Bargaining Reserve</b>	<u>160</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>160</u>
<b>Deposit to/(use of) Spending Stabilization Reserve</b>	<u>(1,022)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(1,022)</u>
<b>Change in fund balance</b>	<u>(1,886)</u>	<u>(823)</u>	<u>(1)</u>	<u>13</u>	<u>(2,697)</u>
<b>Closing fund balance</b>	<u>(1,886)</u>	<u>975</u>	<u>(533)</u>	<u>210</u>	<u>(1,234)</u>

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2008-2009  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>(MEMO) Total</u>
<b>Opening fund balance</b>	0	975	(533)	210	652
<b>Receipts:</b>					
Taxes	38,829	7,095	2,030	11,561	59,515
Miscellaneous receipts	2,342	13,411	2,239	679	18,671
Federal grants	9	37,278	1,792	0	39,079
<b>Total receipts</b>	<u>41,180</u>	<u>57,784</u>	<u>6,061</u>	<u>12,240</u>	<u>117,265</u>
<b>Disbursements:</b>					
Grants to local governments	39,255	48,758	982	0	88,995
State operations	9,472	8,689	0	66	18,227
General State charges	4,916	920	0	0	5,836
Debt service	0	0	0	4,858	4,858
Capital projects	0	3	5,035	0	5,038
<b>Total disbursements</b>	<u>53,643</u>	<u>58,370</u>	<u>6,017</u>	<u>4,924</u>	<u>122,954</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	10,285	3,529	478	5,760	20,052
Transfers to other funds	(2,677)	(3,276)	(1,060)	(13,065)	(20,078)
Bond and note proceeds	0	0	540	0	540
<b>Net other financing sources (uses)</b>	<u>7,608</u>	<u>253</u>	<u>(42)</u>	<u>(7,305)</u>	<u>514</u>
<b>Deposit to/(use of) Community Projects Fund</b>	<u>(150)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(150)</u>
<b>Deposit to/(use of) Collective Bargaining Reserve</b>	<u>160</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>160</u>
<b>Deposit to/(use of) Spending Stabilization Reserve</b>	<u>(1,022)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(1,022)</u>
<b>Change in fund balance</b>	<u>(3,843)</u>	<u>(333)</u>	<u>2</u>	<u>11</u>	<u>(4,163)</u>
<b>Closing fund balance</b>	<u>(3,843)</u>	<u>642</u>	<u>(531)</u>	<u>221</u>	<u>(3,511)</u>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION GENERAL FUND (thousands of dollars)

	2004-2005 Actual	2005-2006 Estimated	2006-2007 Recommended
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>			
Agriculture and Markets, Department of	42,384	48,835	47,134
Alcoholic Beverage Control	0	0	0
Banking Department	0	250	0
Consumer Protection Board	315	0	0
Economic Development, Department of	38,683	43,199	41,130
Empire State Development Corporation	3,682	64,598	40,000
Energy Research and Development Authority	0	0	0
Housing Finance Agency	0	0	0
Housing and Community Renewal, Division of	67,895	76,276	70,612
Insurance Department	0	0	0
Olympic Regional Development Authority	9,050	8,350	7,986
Public Service, Department of	0	0	0
Science, Technology and Academic Research, Office of	44,950	50,834	46,057
<b>Functional Total</b>	<b>206,959</b>	<b>292,342</b>	<b>252,919</b>
<b>PARKS AND THE ENVIRONMENT</b>			
Adirondack Park Agency	4,271	4,408	4,555
Environmental Conservation, Department of	111,359	119,191	117,439
Environmental Facilities Corporation	0	0	0
Parks, Recreation and Historic Preservation, Office of	106,659	110,448	119,570
<b>Functional Total</b>	<b>222,289</b>	<b>234,047</b>	<b>241,564</b>
<b>TRANSPORTATION</b>			
Metropolitan Transportation Authority	0	0	0
Motor Vehicles, Department of	4,244	0	0
Thruway Authority	0	0	0
Transportation, Department of	113,408	150,640	60,516
<b>Functional Total</b>	<b>117,652</b>	<b>150,640</b>	<b>60,516</b>
<b>HEALTH AND SOCIAL WELFARE</b>			
Advocate for Persons with Disabilities, Office of	717	0	0
Aging, Office for the	66,237	73,949	96,423
Children and Family Services, Office of	1,319,397	1,433,107	1,540,242
Health, Department of	7,756,088	9,139,079	9,719,295
<i>Medical Assistance</i>	6,953,096	8,290,997	8,840,526
<i>Medicaid Administration</i>	114,892	119,950	119,950
<i>All Other</i>	688,100	728,132	758,819
Human Rights, Division of	13,492	12,813	13,178
Labor, Department of	9,182	16,072	3,720
Medicaid Inspector General, Office of	0	0	15,475
Prevention of Domestic Violence, Office of	2,697	2,415	2,509

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
(thousands of dollars)**

	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
	<b>Actual</b>	<b>Estimated</b>	<b>Recommended</b>
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>			
Temporary and Disability Assistance, Office of	1,516,787	1,369,954	1,367,522
<i>Welfare Assistance</i>	1,135,568	883,166	893,882
<i>Welfare Administration</i>	304,281	381,550	382,897
<i>All Other</i>	76,938	105,238	90,743
Welfare Inspector General, Office of	674	714	778
Workers' Compensation Board	0	0	0
<b>Functional Total</b>	<b>10,685,271</b>	<b>12,048,103</b>	<b>12,759,142</b>
<b>MENTAL HEALTH</b>			
Mental Health, Office of	1,334,032	1,359,137	1,579,503
Mental Hygiene, Department of	0	0	0
Mental Retardation and Developmental Disabilities, Office of	860,922	853,421	915,330
Alcohol and Substance Abuse Services, Office of	298,961	297,584	333,571
Developmental Disabilities Planning Council	0	0	0
Quality of Care for the Mentally Disabled, Commission on	2,861	4,363	4,632
<b>Functional Total</b>	<b>2,496,776</b>	<b>2,514,505</b>	<b>2,833,036</b>
<b>PUBLIC PROTECTION</b>			
Capital Defenders Office	11,335	4,500	700
Correction, Commission of	2,511	2,510	2,607
Correctional Services, Department of	2,057,270	2,006,562	2,112,393
Crime Victims Board	2,794	3,314	4,274
Criminal Justice Services, Division of	88,398	105,131	82,511
Homeland Security	6,968	81,883	26,522
Investigation, Temporary State Commission of	3,151	3,376	3,467
Judicial Commissions	2,647	2,703	2,888
Military and Naval Affairs, Division of	28,892	62,980	29,626
Parole, Division of	175,770	181,840	199,172
Probation and Correctional Alternatives, Division of	68,903	74,832	76,412
Public Security, Office of	0	0	0
State Police, Division of	331,811	442,013	448,837
<b>Functional Total</b>	<b>2,780,450</b>	<b>2,971,644</b>	<b>2,989,409</b>
<b>EDUCATION</b>			
Arts, Council on the	44,758	42,700	45,656
City University of New York	753,615	942,396	911,652
Education, Department of	14,170,210	15,070,475	16,084,999
<i>School Aid</i>	12,759,113	13,494,028	13,987,501
<i>STAR Property Tax Relief</i>	0	0	530,000
<i>Handicapped</i>	835,884	911,073	986,058
<i>All Other</i>	575,213	665,374	581,440
Higher Education Services Corporation	928,159	889,478	783,100
State University Construction Fund	0	0	0
State University of New York	1,273,698	1,428,969	1,456,475
<b>Functional Total</b>	<b>17,170,440</b>	<b>18,374,018</b>	<b>19,281,882</b>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION GENERAL FUND (thousands of dollars)

	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
	<b>Actual</b>	<b>Estimated</b>	<b>Recommended</b>
<b>GENERAL GOVERNMENT</b>			
Audit and Control, Department of	156,540	165,514	169,069
Budget, Division of the	24,689	31,630	31,630
Civil Service, Department of	21,658	23,599	23,359
Elections, State Board of	3,374	4,094	4,688
Employee Relations, Office of	3,215	3,580	3,768
Executive Chamber	13,521	15,480	15,480
General Services, Office of	121,141	143,515	166,393
Inspector General, Office of	4,136	4,465	4,783
Law, Department of	114,458	123,321	124,678
Lieutenant Governor, Office of the	378	485	485
Lottery, Division of	0	0	0
Racing and Wagering Board, State	0	0	0
Real Property Services, Office of	20,837	21,197	20,713
Regulatory Reform, Governor's Office of	3,458	3,554	3,744
State Labor Relations Board	3,394	3,357	3,464
State, Department of	16,375	20,955	18,562
Tax Appeals, Division of	2,781	2,994	3,098
Taxation and Finance, Department of	309,622	307,176	323,352
Technology, Office for	20,212	20,699	22,818
TSC Lobbying	1,034	1,409	2,244
Veterans Affairs, Division of	10,235	11,879	11,684
<b>Functional Total</b>	<b>851,058</b>	<b>908,903</b>	<b>954,012</b>
<b>ALL OTHER CATEGORIES</b>			
Legislature	206,711	206,730	212,913
Judiciary (excluding fringe benefits)	1,356,498	1,392,500	1,598,300
World Trade Center	0	0	0
Local Government Assistance	972,652	1,036,400	1,111,150
Long-Term Debt Service	1,730,861	1,727,425	1,730,629
Capital Projects	212,293	209,696	254,904
All Other Cash Transfers	965,391	961,750	766,003
General State Charges/Miscellaneous	3,643,548	4,204,138	4,591,999
<b>Functional Total</b>	<b>9,087,954</b>	<b>9,738,639</b>	<b>10,265,898</b>
<b>TOTAL GENERAL FUND SPENDING</b>	<b>43,618,849</b>	<b>47,232,841</b>	<b>49,638,378</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	<b>2004-2005</b>	<b>2005-2006</b>	<b>2006-2007</b>
	<b>Actual</b>	<b>Estimated</b>	<b>Recommended</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>			
Agriculture and Markets, Department of	67,055	77,831	82,231
Alcoholic Beverage Control	9,992	10,870	15,554
Banking Department	57,375	66,774	67,084
Consumer Protection Board	2,193	2,553	3,007
Economic Development, Department of	320,719	432,968	482,211
Empire State Development Corporation	33,820	94,798	164,500
Energy Research and Development Authority	26,135	26,006	28,656
Housing Finance Agency	0	0	0
Housing and Community Renewal, Division of	183,935	188,090	198,481
Insurance Department	121,655	149,329	163,389
Olympic Regional Development Authority	9,282	8,750	8,386
Public Service, Department of	49,268	54,995	54,792
Science, Technology and Academic Research, Office of	50,547	67,834	56,623
<b>Functional Total</b>	<b>931,976</b>	<b>1,180,798</b>	<b>1,324,914</b>
<b>PARKS AND THE ENVIRONMENT</b>			
Adirondack Park Agency	4,271	4,408	4,555
Environmental Conservation, Department of	555,167	743,865	723,700
Environmental Facilities Corporation	14,653	7,664	9,258
Parks, Recreation and Historic Preservation, Office of	201,134	222,795	250,130
<b>Functional Total</b>	<b>775,225</b>	<b>978,732</b>	<b>987,643</b>
<b>TRANSPORTATION</b>			
Metropolitan Transportation Authority	0	11,600	38,050
Motor Vehicles, Department of	199,227	249,642	264,043
Thruway Authority	1,473	4,000	4,000
Transportation, Department of	3,568,277	4,233,527	4,444,457
<b>Functional Total</b>	<b>3,768,977</b>	<b>4,498,769</b>	<b>4,750,550</b>
<b>HEALTH AND SOCIAL WELFARE</b>			
Advocate for Persons with Disabilities, Office of	859	0	0
Aging, Office for the	66,238	75,950	99,474
Children and Family Services, Office of	1,335,412	1,460,601	1,573,029
Health, Department of	11,871,458	15,089,667	16,349,398
<i>Medical Assistance</i>	9,577,068	11,703,047	12,449,226
<i>Medicaid Administration</i>	114,892	119,950	119,950
<i>All Other</i>	2,179,498	3,266,670	3,780,222
Human Rights, Division of	13,492	12,819	13,184
Labor, Department of	91,191	89,856	70,546
Medicaid Inspector General, Office of	0	0	15,475
Prevention of Domestic Violence, Office of	2,697	2,445	2,539

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION STATE FUNDS (thousands of dollars)

	2004-2005 Actual	2005-2006 Estimated	2006-2007 Recommended
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>			
Temporary and Disability Assistance, Office of	1,577,445	1,466,086	1,446,245
<i>Welfare Assistance</i>	1,135,568	883,166	893,882
<i>Welfare Administration</i>	304,281	381,550	382,897
<i>All Other</i>	137,596	201,370	169,466
Welfare Inspector General, Office of	674	714	778
Workers' Compensation Board	130,193	144,188	149,034
<b>Functional Total</b>	<b>15,089,659</b>	<b>18,342,326</b>	<b>19,719,702</b>
<b>MENTAL HEALTH</b>			
Mental Health, Office of	1,637,391	1,672,484	1,912,747
Mental Hygiene, Department of	0	8,600	8,645
Mental Retardation and Developmental Disabilities, Office of	940,546	944,593	1,100,045
Alcohol and Substance Abuse Services, Office of	336,692	330,124	378,681
Developmental Disabilities Planning Council	0	0	0
Quality of Care for the Mentally Disabled, Commission on	2,861	4,665	4,981
<b>Functional Total</b>	<b>2,917,490</b>	<b>2,960,466</b>	<b>3,405,099</b>
<b>PUBLIC PROTECTION</b>			
Capital Defenders Office	11,335	4,500	700
Correction, Commission of	2,511	2,510	2,607
Correctional Services, Department of	2,237,651	2,227,398	2,343,475
Crime Victims Board	23,718	27,694	66,948
Criminal Justice Services, Division of	97,934	124,153	128,647
Homeland Security	17,880	93,295	37,749
Investigation, Temporary State Commission of	3,288	3,565	3,750
Judicial Commissions	2,647	2,703	2,888
Military and Naval Affairs, Division of	44,016	82,323	48,227
Parole, Division of	175,773	181,983	199,205
Probation and Correctional Alternatives, Division of	68,903	74,832	76,412
Public Security, Office of	0	0	0
State Police, Division of	472,177	610,349	615,460
<b>Functional Total</b>	<b>3,157,833</b>	<b>3,435,305</b>	<b>3,526,068</b>
<b>EDUCATION</b>			
Arts, Council on the	44,758	43,400	46,356
City University of New York	1,048,790	1,464,888	1,565,071
Education, Department of	19,605,955	20,705,121	21,980,851
<i>School Aid</i>	15,049,065	15,807,772	16,385,001
<i>STAR Property Tax Relief</i>	3,058,940	3,219,000	3,898,000
<i>Handicapped</i>	835,884	911,073	986,058
<i>All Other</i>	662,066	767,276	711,792
Higher Education Services Corporation	998,350	1,027,980	894,472
State University Construction Fund	9,139	10,480	12,077
State University of New York	4,574,033	4,883,318	5,198,058
<b>Functional Total</b>	<b>26,281,025</b>	<b>28,135,187</b>	<b>29,696,885</b>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION STATE FUNDS (thousands of dollars)

	2004-2005 <u>Actual</u>	2005-2006 <u>Estimated</u>	2006-2007 <u>Recommended</u>
<b>GENERAL GOVERNMENT</b>			
Audit and Control, Department of	211,261	225,005	229,617
Budget, Division of the	32,214	50,605	52,105
Civil Service, Department of	22,408	24,599	24,958
Elections, State Board of	3,374	4,094	4,868
Employee Relations, Office of	3,231	3,768	3,956
Executive Chamber	13,521	15,480	15,480
General Services, Office of	211,609	245,355	263,552
Inspector General, Office of	5,082	5,524	6,369
Law, Department of	153,069	162,160	166,424
Lieutenant Governor, Office of the	378	485	485
Lottery, Division of	175,823	177,264	182,365
Racing and Wagering Board, State	14,656	18,388	18,983
Real Property Services, Office of	47,492	52,007	52,200
Regulatory Reform, Governor's Office of	3,458	3,554	3,744
State Labor Relations Board	3,394	3,614	3,926
State, Department of	61,523	93,128	135,555
Tax Appeals, Division of	2,781	2,994	3,098
Taxation and Finance, Department of	343,692	341,406	358,703
Technology, Office for	20,212	20,699	27,818
TSC Lobbying	1,273	1,876	2,799
Veterans Affairs, Division of	10,235	11,879	11,684
<b>Functional Total</b>	<u>1,340,686</u>	<u>1,463,884</u>	<u>1,568,689</u>
<b>ALL OTHER CATEGORIES</b>			
Legislature	206,711	207,680	213,863
Judiciary (excluding fringe benefits)	1,503,555	1,603,669	1,814,469
World Trade Center	0	0	0
Local Government Assistance	972,652	1,036,400	1,111,150
Long-Term Debt Service	3,787,726	3,722,834	4,118,266
General State Charges/Miscellaneous	4,175,561	4,553,309	4,774,393
GAAP/Other Adjustments	(937,460)	(1,765,895)	(2,013,633)
<b>Functional Total</b>	<u>9,708,745</u>	<u>9,357,997</u>	<u>10,018,508</u>
<b>TOTAL STATE FUNDS SPENDING</b>	<u>63,971,616</u>	<u>70,353,464</u>	<u>74,998,058</u>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION ALL GOVERNMENTAL FUNDS (thousands of dollars)

	2004-2005 Actual	2005-2006 Estimated	2006-2007 Recommended
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>			
Agriculture and Markets, Department of	77,489	93,371	97,799
Alcoholic Beverage Control	10,016	10,870	15,554
Banking Department	57,375	66,774	67,084
Consumer Protection Board	2,193	2,553	3,007
Economic Development, Department of	320,795	433,313	482,556
Empire State Development Corporation	33,820	94,798	164,500
Energy Research and Development Authority	26,135	26,006	28,656
Housing Finance Agency	0	0	0
Housing and Community Renewal, Division of	246,400	223,365	233,756
Insurance Department	121,655	149,329	163,389
Olympic Regional Development Authority	9,282	8,750	8,386
Public Service, Department of	50,374	56,400	56,093
Science, Technology and Academic Research, Office of	54,675	73,459	62,248
<b>Functional Total</b>	<b>1,010,209</b>	<b>1,238,988</b>	<b>1,383,028</b>
<b>PARKS AND THE ENVIRONMENT</b>			
Adirondack Park Agency	4,847	4,758	4,905
Environmental Conservation, Department of	743,115	931,659	907,591
Environmental Facilities Corporation	14,653	7,664	9,258
Parks, Recreation and Historic Preservation, Office of	207,308	228,067	255,428
<b>Functional Total</b>	<b>969,923</b>	<b>1,172,148</b>	<b>1,177,182</b>
<b>TRANSPORTATION</b>			
Metropolitan Transportation Authority	0	11,600	38,050
Motor Vehicles, Department of	213,422	275,347	290,430
Thruway Authority	1,473	4,000	4,000
Transportation, Department of	5,176,638	5,788,883	5,974,210
<b>Functional Total</b>	<b>5,391,533</b>	<b>6,079,830</b>	<b>6,306,690</b>
<b>HEALTH AND SOCIAL WELFARE</b>			
Advocate for Persons with Disabilities, Office of	1,124	0	0
Aging, Office for the	174,414	179,480	203,007
Children and Family Services, Office of	3,126,575	3,081,497	2,864,012
Health, Department of	33,306,253	36,633,770	37,402,985
<i>Medical Assistance</i>	29,354,681	31,590,554	31,785,333
<i>Medicaid Administration</i>	564,226	589,500	602,600
<i>All Other</i>	3,387,346	4,453,716	5,015,052
Human Rights, Division of	14,692	14,790	15,127
Labor, Department of	620,872	625,594	611,537
Medicaid Inspector General, Office of	0	0	30,950
Prevention of Domestic Violence, Office of	2,805	2,445	2,539

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	2004-2005 <u>Actual</u>	2005-2006 <u>Estimated</u>	2006-2007 <u>Recommended</u>
<b>HEALTH AND SOCIAL WELFARE (Continued)</b>			
Temporary and Disability Assistance, Office of	4,359,329	4,790,783	4,982,697
<i>Welfare Assistance</i>	3,133,225	3,383,166	3,593,882
<i>Welfare Administration</i>	304,281	381,550	382,897
<i>All Other</i>	921,823	1,026,067	1,005,918
Welfare Inspector General, Office of	1,031	1,114	1,178
Workers' Compensation Board	132,521	144,954	149,816
<b>Functional Total</b>	<u>41,739,616</u>	<u>45,474,427</u>	<u>46,263,848</u>
<b>MENTAL HEALTH</b>			
Mental Health, Office of	2,221,924	2,284,228	2,436,432
Mental Hygiene, Department of	1,739	8,600	8,645
Mental Retardation and Developmental Disabilities, Office of	2,809,677	2,914,838	3,133,960
Alcohol and Substance Abuse Services, Office of	486,137	480,459	526,668
Developmental Disabilities Planning Council	3,444	3,679	3,648
Quality of Care for the Mentally Disabled, Commission on	10,628	13,592	14,215
<b>Functional Total</b>	<u>5,533,549</u>	<u>5,705,396</u>	<u>6,123,568</u>
<b>PUBLIC PROTECTION</b>			
Capital Defenders Office	11,335	4,500	700
Correction, Commission of	2,527	2,510	2,607
Correctional Services, Department of	2,252,305	2,288,703	2,378,546
Crime Victims Board	61,521	59,282	98,566
Criminal Justice Services, Division of	345,932	462,314	284,198
Homeland Security	19,123	97,895	342,482
Investigation, Temporary State Commission of	3,288	3,565	3,750
Judicial Commissions	2,647	2,703	2,888
Military and Naval Affairs, Division of	1,449,393	176,330	155,455
Parole, Division of	180,063	183,183	199,205
Probation and Correctional Alternatives, Division of	68,908	74,832	76,412
Public Security, Office of	0	0	0
State Police, Division of	485,987	616,818	619,436
<b>Functional Total</b>	<u>4,883,029</u>	<u>3,972,635</u>	<u>4,164,245</u>
<b>EDUCATION</b>			
Arts, Council on the	45,466	44,669	47,625
City University of New York	1,048,790	1,464,888	1,565,071
Education, Department of	22,898,301	24,106,011	25,432,012
<i>School Aid</i>	17,592,818	18,351,772	18,965,001
<i>STAR Property Tax Relief</i>	3,058,940	3,219,000	3,898,000
<i>Handicapped</i>	1,413,453	1,521,073	1,614,058
<i>All Other</i>	833,090	1,014,166	954,953
Higher Education Services Corporation	1,016,771	1,038,474	904,969
State University Construction Fund	9,139	10,480	12,077
State University of New York	4,740,555	5,062,333	5,382,521
<b>Functional Total</b>	<u>29,759,022</u>	<u>31,726,855</u>	<u>33,344,275</u>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION ALL GOVERNMENTAL FUNDS (thousands of dollars)

	2004-2005 <u>Actual</u>	2005-2006 <u>Estimated</u>	2006-2007 <u>Recommended</u>
<b>GENERAL GOVERNMENT</b>			
Audit and Control, Department of	211,261	225,005	229,617
Budget, Division of the	32,214	50,605	52,105
Civil Service, Department of	22,408	24,599	24,958
Elections, State Board of	3,374	5,229	214,119
Employee Relations, Office of	3,231	3,768	3,956
Executive Chamber	13,521	15,480	15,480
General Services, Office of	217,696	249,821	268,018
Inspector General, Office of	5,082	5,524	6,369
Law, Department of	174,635	182,445	190,906
Lieutenant Governor, Office of the	378	485	485
Lottery, Division of	175,823	177,264	182,365
State Labor Relations Board	14,656	18,388	18,983
Racing and Wagering Board, State	47,492	52,007	52,200
Real Property Services, Office of	3,458	3,554	3,744
Regulatory Reform, Governor's Office of	3,394	3,614	3,926
State, Department of	116,456	173,163	222,127
Tax Appeals, Division of	2,781	2,994	3,098
Taxation and Finance, Department of	343,791	341,888	358,981
Technology, Office for	20,212	20,699	27,818
TSC Lobbying	1,273	1,876	2,799
Veterans Affairs, Division of	11,198	12,913	12,697
<b>Functional Total</b>	<u>1,424,334</u>	<u>1,571,321</u>	<u>1,894,751</u>
<b>ALL OTHER CATEGORIES</b>			
Legislature	206,711	207,680	213,863
Judiciary (excluding fringe benefits)	1,507,117	1,611,169	1,821,969
World Trade Center	39,677	133,700	94,550
Local Government Assistance	972,652	1,036,400	1,111,150
Long-Term Debt Service	3,787,726	3,722,834	4,118,266
General State Charges/Miscellaneous	4,379,889	4,786,029	5,040,408
GAAP/Other Adjustments	(937,460)	(2,065,895)	(2,313,633)
<b>Functional Total</b>	<u>9,956,312</u>	<u>9,431,917</u>	<u>10,086,573</u>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<u><u>100,667,527</u></u>	<u><u>106,373,517</u></u>	<u><u>110,744,160</u></u>

**CASH FLOW  
GENERAL FUND  
2004-2005  
(dollars in millions)**

	2004	2005	2005											
	April	May	June	July	August	September	October	November	December	January	February	March	Total	
<b>Opening fund balance</b>	2,302	3,842	1,227	1,865	1,740	2,314	2,412	2,193	1,911	2,517	6,182	6,963	2,302	
<b>Receipts:</b>														
Taxes														
Personal income tax	2,626	526	1,945	1,215	1,344	1,895	625	560	1,620	3,287	1,991	1,146	18,780	
User taxes and fees	705	617	909	684	651	872	628	650	916	693	546	860	8,731	
Business taxes	148	(9)	727	91	56	805	33	10	840	102	102	1,236	4,069	
Other taxes	68	50	79	60	52	57	53	65	63	197	133	49	926	
Miscellaneous receipts	69	67	318	245	124	141	93	450	172	108	69	361	2,217	
Federal Grants	1	1	1	0	2	1	1	1	0	1	0	0	9	
Transfers from other funds														
PIT in excess of Revenue Bond debt service	875	158	648	405	381	649	208	68	541	1,093	505	450	5,981	
Sales tax in excess of LGAC debt service	181	33	0	0	617	339	179	177	266	197	9	184	2,182	
Real estate taxes in excess of CW/CA debt service	52	26	77	23	67	49	51	17	62	51	43	11	516	
All Other	4	0	0	6	1	179	60	0	20	4	1	177	452	
<b>Total receipts</b>	<b>4,729</b>	<b>1,469</b>	<b>4,704</b>	<b>2,729</b>	<b>3,295</b>	<b>4,987</b>	<b>1,931</b>	<b>1,998</b>	<b>4,500</b>	<b>5,720</b>	<b>3,327</b>	<b>4,474</b>	<b>43,863</b>	
<b>Disbursements:</b>														
Grants to local governments														
School Aid	162	1,877	1,054	27	386	1,148	296	335	957	292	595	5,630	12,759	
Medicaid	951	784	1,114	705	604	732	293	339	395	410	573	699	7,599	
Welfare	133	51	110	159	155	242	120	117	(27)	146	58	177	1,441	
All Other	375	377	527	778	532	714	709	478	1,004	336	609	1,255	7,694	
State operations														
Personal Service	657	553	605	614	430	632	201	416	727	421	250	47	5,553	
Non-Personal Service	180	155	184	181	171	123	134	133	175	170	165	241	2,012	
General State Charges	423	179	214	268	306	910	193	200	256	228	129	347	3,653	
Transfers to Other Funds														
Debt service	211	45	220	50	35	319	52	172	346	17	41	223	1,731	
Capital projects	1	46	23	56	32	34	30	43	29	23	64	(184)	197	
Other purposes	96	17	15	16	70	35	122	47	32	12	62	456	980	
<b>Total disbursements</b>	<b>3,189</b>	<b>4,084</b>	<b>4,066</b>	<b>2,854</b>	<b>2,721</b>	<b>4,839</b>	<b>2,150</b>	<b>2,280</b>	<b>3,894</b>	<b>2,055</b>	<b>2,546</b>	<b>8,891</b>	<b>43,619</b>	
<b>Change in fund balance</b>	<b>1,540</b>	<b>(2,615)</b>	<b>638</b>	<b>(125)</b>	<b>574</b>	<b>98</b>	<b>(219)</b>	<b>(282)</b>	<b>606</b>	<b>3,665</b>	<b>781</b>	<b>(4,417)</b>	<b>244</b>	
<b>Closing fund balance</b>	<b>3,842</b>	<b>1,227</b>	<b>1,865</b>	<b>1,740</b>	<b>2,314</b>	<b>2,412</b>	<b>2,193</b>	<b>1,911</b>	<b>2,517</b>	<b>6,182</b>	<b>6,963</b>	<b>2,546</b>	<b>2,546</b>	

Personal Income Tax Receipts and fund balances through December 31, 2004 have been adjusted to reflect the inclusion of the Personal Income Tax Refund Reserve in the General Fund.

# FINANCIAL PLAN

**CASHFLOW  
GENERAL FUND  
2005-2006  
(dollars in millions)**

	2005	2006												
	April	May	June	July	August	September	October	November	December	January	February	March	Total	
	Actual	Actual	Actual	Actual	Actual	Actual	Actual	Actual	Actual	Projected	Projected	Projected		
<b>Opening fund balance</b>	2,546	5,584	3,188	4,007	3,603	3,670	4,923	4,355	3,011	3,547	8,009	8,312	2,546	
<b>Receipts:</b>														
Taxes														
Personal income tax	3,347	553	2,213	1,254	1,537	2,105	719	522	1,494	4,072	1,900	1,111	20,827	
User taxes and fees	662	667	894	680	628	898	626	629	907	693	531	822	8,637	
Business taxes	217	177	891	90	83	919	91	53	860	107	58	1,427	4,973	
Other taxes	49	64	131	118	63	68	71	77	67	62	61	63	894	
Miscellaneous receipts	160	81	175	95	107	108	178	223	299	230	266	669	2,591	
Federal Grants	1	0	1	0	2	0	1	1	1	0	1	1	9	
Transfers from other funds														
PIT in excess of Revenue Bond debt service	1,114	160	737	417	431	718	210	5	497	1,357	382	513	6,541	
Sales tax in excess of LGAC debt service	174	57	392	201	113	281	187	188	274	211	2	205	2,285	
Real estate taxes in excess of CW/CA debt service	108	60	15	66	99	66	65	50	46	50	44	36	705	
All Other	0	76	63	7	6	12	9	5	12	67	0	211	468	
<b>Total receipts</b>	<b>5,832</b>	<b>1,895</b>	<b>5,512</b>	<b>2,928</b>	<b>3,069</b>	<b>5,175</b>	<b>2,157</b>	<b>1,755</b>	<b>4,467</b>	<b>6,849</b>	<b>3,245</b>	<b>5,058</b>	<b>47,930</b>	
<b>Disbursements:</b>														
Grants to local governments														
School Aid	168	1,917	1,253	53	351	1,205	431	463	1,104	302	511	5,736	13,494	
Medicaid	798	633	1,155	466	864	433	439	875	283	696	942	1,059	8,643	
Welfare	114	134	74	80	150	10	156	149	(4)	150	134	118	1,265	
All Other	242	511	798	724	635	625	688	368	1,161	378	640	1,913	8,683	
State operations														
Personal Service	562	520	687	556	476	690	433	621	369	361	231	283	5,789	
Non-Personal Service	174	223	180	160	214	174	200	195	200	201	205	331	2,457	
General State charges	405	186	269	1,212	213	379	249	189	238	296	186	181	4,003	
Transfers to other funds														
Debt service	207	105	197	39	30	313	50	158	389	12	27	200	1,727	
Capital projects	15	30	44	16	42	33	46	54	17	(27)	47	(107)	210	
Other purposes	109	32	36	26	27	60	33	25	164	18	19	413	962	
<b>Total disbursements</b>	<b>2,794</b>	<b>4,291</b>	<b>4,693</b>	<b>3,332</b>	<b>3,002</b>	<b>3,922</b>	<b>2,725</b>	<b>3,097</b>	<b>3,921</b>	<b>2,387</b>	<b>2,942</b>	<b>10,127</b>	<b>47,233</b>	
<b>Change in fund balance</b>	<b>3,038</b>	<b>(2,396)</b>	<b>819</b>	<b>(404)</b>	<b>67</b>	<b>1,253</b>	<b>(568)</b>	<b>(1,344)</b>	<b>536</b>	<b>4,462</b>	<b>303</b>	<b>(5,069)</b>	<b>697</b>	
<b>Closing fund balance</b>	<b>5,584</b>	<b>3,188</b>	<b>4,007</b>	<b>3,603</b>	<b>3,670</b>	<b>4,923</b>	<b>4,355</b>	<b>3,011</b>	<b>3,547</b>	<b>8,009</b>	<b>8,312</b>	<b>3,243</b>	<b>3,243</b>	

**CASH FLOW  
GENERAL FUND  
2006-2007  
(dollars in millions)**

	2006		2007		2007		2007		2007		2007		2007		2007		2007		
	April	May	June	July	August	September	October	November	December	January	February	March	April	May	June	July	August	September	
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected
<b>Opening fund balance</b>	3,243	6,876	3,751	4,674	3,684	3,495	5,034	3,750	2,616	3,402	7,187	7,564	3,243						
<b>Receipts:</b>																			
Taxes																			
Personal income tax	4,281	742	2,335	1,295	1,523	2,138	735	555	1,881	3,904	2,061	1,204	22,654						
User taxes and fees	661	663	908	674	623	884	664	688	951	716	548	850	8,810						
Business taxes	112	17	1,078	76	54	1,122	61	2	1,075	69	26	1,307	4,999						
Other taxes	74	75	76	74	76	76	74	75	75	75	75	75	900						
Miscellaneous receipts	119	84	114	99	90	258	196	502	253	205	203	585	2,708						
Federal Grants	0	1	1	1	1	1	1	1	0	0	1	1	9						
Transfers from other funds																			
PIT in excess of Revenue Bond debt service	1,426	217	777	431	386	740	136	60	626	1,300	279	554	6,932						
Sales tax in excess of LCAC debt service	195	31	450	202	187	199	201	202	291	217	2	179	2,566						
Real estate taxes in excess of CW/CA debt service	52	51	48	49	46	52	51	45	38	32	28	40	532						
All Other	1	1	34	1		10		1	63			156	266						
<b>Total receipts</b>	<b>6,920</b>	<b>1,882</b>	<b>5,821</b>	<b>2,902</b>	<b>2,986</b>	<b>5,480</b>	<b>2,119</b>	<b>2,111</b>	<b>5,253</b>	<b>6,518</b>	<b>3,223</b>	<b>4,951</b>	<b>50,166</b>						
<b>Disbursements:</b>																			
Grants to local governments																			
School Aid	137	1,761	1,687	91	339	1,056	521	487	1,143	322	615	5,829	13,988						
Medical	1,071	1,138	923	615	880	530	328	818	605	815	752	680	9,155						
Welfare	121	121	(24)	121	121	(28)	121	121	(36)	120	120	16	894						
All Other	352	352	910	780	568	864	1,383	465	1,216	535	569	1,624	9,618						
State operations																			
Personal Service	635	955	664	616	738	493	471	625	453	439	297	27	6,413						
Non-Personal Service	196	208	226	241	191	198	215	182	200	214	216	188	2,475						
General State charges	449	277	231	1,347	216	379	246	267	279	293	150	210	4,344						
Transfers to other funds																			
Debt service	209	120	220	26	58	331	45	171	393	9	27	122	1,731						
Capital projects	20	38	23	29	34	95	2	45	166	(37)	78	(238)	255						
Other purposes	97	37	38	26	30	23	71	64	48	23	22	286	765						
<b>Total disbursements</b>	<b>3,287</b>	<b>5,007</b>	<b>4,898</b>	<b>3,892</b>	<b>3,175</b>	<b>3,941</b>	<b>3,403</b>	<b>3,245</b>	<b>4,467</b>	<b>2,733</b>	<b>2,846</b>	<b>8,744</b>	<b>49,638</b>						
<b>Change in fund balance</b>	<b>3,633</b>	<b>(3,125)</b>	<b>923</b>	<b>(900)</b>	<b>(189)</b>	<b>1,539</b>	<b>(1,284)</b>	<b>(1,134)</b>	<b>786</b>	<b>3,785</b>	<b>377</b>	<b>(3,793)</b>	<b>528</b>						
<b>Closing fund balance</b>	<b>6,876</b>	<b>3,751</b>	<b>4,674</b>	<b>3,684</b>	<b>3,495</b>	<b>5,034</b>	<b>3,750</b>	<b>2,616</b>	<b>3,402</b>	<b>7,187</b>	<b>7,564</b>	<b>3,771</b>	<b>3,771</b>						

# FINANCIAL PLAN

MISCELLANEOUS RECEIPTS  
GENERAL FUND  
2006-2007  
(dollars in millions)

	April	May	June	July	August	September	October	November	December	January	February	March	Total
Licenses, fees, etc.	50	42	43	50	51	59	44	129	45	41	54	79	687
Abandoned Property	0	0	0	0	0	29	28	250	53	39	29	301	729
Reimbursements	18	10	22	15	14	18	5	6	19	2	3	30	162
Investment income	30	11	13	14	4	6	6	3	6	9	5	18	125
Other transactions	21	21	36	21	21	147	113	114	129	114	113	156	1,006
<b>Total</b>	<b>119</b>	<b>84</b>	<b>114</b>	<b>100</b>	<b>90</b>	<b>259</b>	<b>196</b>	<b>502</b>	<b>252</b>	<b>205</b>	<b>204</b>	<b>584</b>	<b>2,709</b>

# FINANCIAL PLAN

**CASH FLOW  
GENERAL FUND  
APRIL 1, 2005 THROUGH DECEMBER 31, 2005 (MID-YEAR VS. ACTUALS)  
(millions of dollars)**

	<b>Mid-Year</b>	<b>Actuals</b>	<b>Change</b>
<b>Opening fund balance</b>	2,546	2,546	0
<b>Receipts:</b>			
Taxes:			
Personal income tax	14,110	13,744	(366)
User taxes and fees	6,550	6,591	41
Business taxes	3,357	3,381	24
Other taxes	645	708	63
Miscellaneous receipts	1,536	1,426	(110)
Federal grants	7	7	0
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	4,439	4,289	(150)
Sales tax in excess of LGAC debt service	1,866	1,867	1
Real estate taxes in excess of CW/CA debt service	492	575	83
All other	184	190	6
<b>Total receipts</b>	<b>33,186</b>	<b>32,778</b>	<b>(408)</b>
<b>Disbursements:</b>			
Grants to local governments :			
School Aid	7,092	6,945	(147)
Medicaid	6,013	5,946	(67)
Welfare	892	863	(29)
All Other	5,917	5,752	(165)
State operations:			
Personal Service	4,954	4,914	(40)
Non-Personal Service	1,703	1,720	17
General State charges	3,455	3,340	(115)
Transfers to other funds:			
Debt service	1,562	1,488	(74)
Capital projects	307	297	(10)
Other purposes	454	512	58
<b>Total disbursements</b>	<b>32,349</b>	<b>31,777</b>	<b>(572)</b>
<b>Change in fund balance</b>	<b>837</b>	<b>1,001</b>	<b>164</b>
<b>Closing fund balance</b>	<b>3,383</b>	<b>3,547</b>	<b>164</b>

# FINANCIAL PLAN

**CASH FLOW  
GENERAL FUND  
APRIL 1, 2005 THROUGH DECEMBER 31, 2005 (ENACTED VS. ACTUALS)  
(millions of dollars)**

	<u>Enacted</u>	<u>Actuals</u>	<u>Change</u>
<b>Opening fund balance</b>	<u>2,546</u>	<u>2,546</u>	<u>0</u>
<b>Receipts:</b>			
Taxes:			
Personal income tax	13,802	13,744	(58)
User taxes and fees	6,559	6,591	32
Business taxes	3,127	3,381	254
Other taxes	586	708	122
Miscellaneous receipts	1,457	1,426	(31)
Federal grants	0	7	7
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	4,333	4,289	(44)
Sales tax in excess of LGAC debt service	1,894	1,867	(27)
Real estate taxes in excess of CW/CA debt service	427	575	148
All other	257	190	(67)
<b>Total receipts</b>	<u>32,442</u>	<u>32,778</u>	<u>336</u>
<b>Disbursements:</b>			
Grants to local governments :			
School Aid	6,777	6,945	168
Medicaid	5,978	5,946	(32)
Welfare	1,083	863	(220)
All Other	6,238	5,752	(486)
State operations:			
Personal Service	5,076	4,914	(162)
Non-Personal Service	1,529	1,720	191
General State charges	3,315	3,340	25
Transfers to other funds:			
Debt service	1,550	1,488	(62)
Capital projects	301	297	(4)
Other purposes	395	512	117
<b>Total disbursements</b>	<u>32,242</u>	<u>31,777</u>	<u>(465)</u>
<b>Change in fund balance</b>	<u>200</u>	<u>1,001</u>	<u>801</u>
<b>Closing fund balance</b>	<u>2,746</u>	<u>3,547</u>	<u>801</u>

*The Enacted Budget Personal Income Tax receipts and fund balances have been adjusted to reflect the inclusion of the Personal Income Tax Refund Reserve in the General Fund.*

# FINANCIAL PLAN

**CASH FLOW  
GENERAL FUND  
APRIL 1 THROUGH DECEMBER 31 (ACTUALS)  
(millions of dollars)**

	<b>2004</b>	<b>2005</b>	<b>Change</b>
<b>Opening fund balance</b>	2,302	2,546	244
<b>Receipts:</b>			
Taxes:			
Personal income tax	12,356	13,744	1,388
User taxes and fees	6,631	6,591	(40)
Business taxes	2,703	3,381	678
Other taxes	546	708	162
Miscellaneous receipts	1,679	1,426	(253)
Federal grants	7	7	0
Transfers from other funds:			
PIT in excess of Revenue Bond debt service	3,934	4,289	355
Sales tax in excess of LGAC debt service	1,791	1,867	76
Real estate taxes in excess of CW/CA debt service	424	575	151
All other	271	190	(81)
<b>Total receipts</b>	<b>30,342</b>	<b>32,778</b>	<b>2,436</b>
<b>Disbursements:</b>			
Grants to local governments :			
School Aid	6,242	6,945	703
Medicaid	5,917	5,946	29
Welfare	1,060	863	(197)
All Other	5,493	5,752	259
State operations:			
Personal Service	4,836	4,914	78
Non-Personal Service	1,436	1,720	284
General State charges	2,951	3,340	389
Transfers to other funds:			
Debt service	1,449	1,488	39
Capital projects	292	297	5
Other purposes	451	512	61
<b>Total disbursements</b>	<b>30,127</b>	<b>31,777</b>	<b>1,650</b>
<b>Change in fund balance</b>	<b>215</b>	<b>1,001</b>	<b>786</b>
<b>Closing fund balance</b>	<b>2,517</b>	<b>3,547</b>	<b>1,030</b>

*Personal Income Tax Receipts and fund balances through December 31, 2004 have been adjusted to reflect the inclusion of the Personal Income Tax Refund Reserve in the General Fund.*

# FINANCIAL PLAN

**GAAP FINANCIAL PLAN  
GENERAL FUND  
2005-2006  
(millions of dollars)**

	<u>Mid-Year Update</u>	<u>Change</u>	<u>January</u>
<b>Revenues:</b>			
Taxes:			
Personal income tax	21,333	1,127	22,460
User taxes and fees	8,556	107	8,663
Business taxes	4,326	885	5,211
Other taxes	640	113	753
Miscellaneous revenues	3,945	(176)	3,769
Federal grants	9	0	9
<b>Total revenues</b>	<u>38,809</u>	<u>2,056</u>	<u>40,865</u>
<b>Expenditures:</b>			
Grants to local governments	34,332	882	35,214
State operations	10,685	87	10,772
General State charges	3,472	(65)	3,407
Debt service	25	0	25
Capital projects	0	0	0
<b>Total expenditures</b>	<u>48,514</u>	<u>904</u>	<u>49,418</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	13,500	553	14,053
Transfers to other funds	(4,972)	(91)	(5,063)
Proceeds from financing arrangements/ advance refundings	951	(17)	934
<b>Net other financing sources (uses)</b>	<u>9,479</u>	<u>445</u>	<u>9,924</u>
<b>Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses</b>	<u>(226)</u>	<u>1,597</u>	<u>1,371</u>
<b>Accumulated Surplus/(Deficit)</b>	<u>320</u>	<u>1,316</u>	<u>1,917</u>

# FINANCIAL PLAN

**GAAP FINANCIAL PLAN  
GENERAL FUND  
2005-2006 and 2006-2007  
(millions of dollars)**

	<b>2005-2006 Estimate</b>	<b>2006-2007 Recommended</b>	<b>Change</b>
<b>Revenues:</b>			
Taxes:			
Personal income tax	22,460	21,232	(1,228)
User taxes and fees	8,663	8,827	164
Business taxes	5,211	5,110	(101)
Other taxes	753	932	179
Miscellaneous revenues	3,769	4,362	593
Federal grants	9	14	5
<b>Total revenues</b>	<b>40,865</b>	<b>40,477</b>	<b>(388)</b>
<b>Expenditures:</b>			
Grants to local governments	35,214	36,337	1,123
State operations	10,772	11,129	357
General State charges	3,407	3,721	314
Debt service	25	26	1
Capital projects	0	1	1
<b>Total expenditures</b>	<b>49,418</b>	<b>51,214</b>	<b>1,796</b>
<b>Other financing sources (uses):</b>			
Transfers from other funds	14,053	13,619	(434)
Transfers to other funds	(5,063)	(5,180)	(117)
Proceeds from financing arrangements/ advance refundings	934	1,145	211
<b>Net other financing sources (uses)</b>	<b>9,924</b>	<b>9,584</b>	<b>(340)</b>
<b>(Excess) deficiency of revenues and other financing sources over expenditures and other financing uses</b>			
	<b>1,371</b>	<b>(1,153)</b>	<b>(2,524)</b>
<b>Accumulated Surplus/(Deficit)</b>	<b>1,917</b>	<b>764</b>	<b>(1,153)</b>

# FINANCIAL PLAN

**GAAP FINANCIAL PLAN  
GENERAL FUND  
2006-2007 THROUGH 2008-2009  
(millions of dollars)**

	<u>2006-2007 Recommended</u>	<u>2007-2008 Projected</u>	<u>2008-2009 Projected</u>
<b>Revenues:</b>			
Taxes:			
Personal income tax	21,232	22,531	24,373
User taxes and fees	8,827	9,151	9,433
Business taxes	5,110	5,071	4,685
Other taxes	932	817	703
Miscellaneous revenues	4,362	4,045	3,771
Federal grants	14	10	10
<b>Total revenues</b>	<u><u>40,477</u></u>	<u><u>41,625</u></u>	<u><u>42,975</u></u>
<b>Expenditures:</b>			
Grants to local governments	36,337	38,640	41,308
State operations	11,129	11,619	11,849
General State charges	3,721	3,966	4,186
Debt service	26	26	27
Capital projects	1	0	0
<b>Total expenditures</b>	<u><u>51,214</u></u>	<u><u>54,251</u></u>	<u><u>57,370</u></u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	13,619	13,484	13,919
Transfers to other funds	(5,180)	(5,284)	(5,363)
Proceeds from financing arrangements/ advance refundings	1,145	1,167	901
<b>Net other financing sources (uses)</b>	<u><u>9,584</u></u>	<u><u>9,367</u></u>	<u><u>9,457</u></u>
<b>(Excess) deficiency of revenues and other financing sources over expenditures and other financing uses</b>			
	<u><u>(1,153)</u></u>	<u><u>(3,259)</u></u>	<u><u>(4,938)</u></u>

# FINANCIAL PLAN

**GAAP FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2005-2006  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>(MEMO) Total</b>
<b>Revenues:</b>					
Taxes	37,087	5,960	1,850	10,328	55,225
Patient fees	0	0	0	356	356
Miscellaneous revenues	3,769	6,308	304	23	10,404
Federal grants	9	35,403	1,782	0	37,194
<b>Total revenues</b>	<b>40,865</b>	<b>47,671</b>	<b>3,936</b>	<b>10,707</b>	<b>103,179</b>
<b>Expenditures:</b>					
Grants to local governments	35,214	41,221	1,086	0	77,521
State operations	10,772	1,690	0	70	12,532
General State charges	3,407	246	0	0	3,653
Debt service	25	0	0	3,058	3,083
Capital projects	0	2	3,538	0	3,540
<b>Total expenditures</b>	<b>49,418</b>	<b>43,159</b>	<b>4,624</b>	<b>3,128</b>	<b>100,329</b>
<b>Other financing sources (uses):</b>					
Transfers from other funds	14,053	287	224	5,205	19,769
Transfers to other funds	(5,063)	(3,668)	(936)	(12,851)	(22,518)
Proceeds of general obligation bonds	0	0	167	0	167
Proceeds from financing arrangements/ advance refundings	934	0	1,114	0	2,048
<b>Net other financing sources (uses)</b>	<b>9,924</b>	<b>(3,381)</b>	<b>569</b>	<b>(7,646)</b>	<b>(534)</b>
<b>Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses</b>					
	<b>1,371</b>	<b>1,131</b>	<b>(119)</b>	<b>(67)</b>	<b>2,316</b>

# FINANCIAL PLAN

**GAAP FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2006-2007  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>(MEMO) Total</b>
<b>Revenues:</b>					
Taxes	36,101	6,611	1,961	10,922	55,595
Patient fees	0	0	0	325	325
Miscellaneous revenues	4,362	3,852	402	24	8,640
Federal grants	14	35,331	1,754	0	37,099
<b>Total revenues</b>	<b>40,477</b>	<b>45,794</b>	<b>4,117</b>	<b>11,271</b>	<b>101,659</b>
<b>Expenditures:</b>					
Grants to local governments	36,337	41,747	1,102	0	79,186
State operations	11,129	1,814	0	65	13,008
General State charges	3,721	300	0	0	4,021
Debt service	26	0	0	3,268	3,294
Capital projects	1	2	3,862	0	3,865
<b>Total expenditures</b>	<b>51,214</b>	<b>43,863</b>	<b>4,964</b>	<b>3,333</b>	<b>103,374</b>
<b>Other financing sources (uses):</b>					
Transfers from other funds	13,619	257	427	5,370	19,673
Transfers to other funds	(5,180)	(3,402)	(883)	(13,316)	(22,781)
Proceeds of general obligation bonds	0	0	351	0	351
Proceeds from financing arrangements/ advance refundings	1,145	0	1,107	0	2,252
<b>Net other financing sources (uses)</b>	<b>9,584</b>	<b>(3,145)</b>	<b>1,002</b>	<b>(7,946)</b>	<b>(505)</b>
<b>(Excess) deficiency of revenues and other financing sources over expenditures and other financing uses</b>					
	<b>(1,153)</b>	<b>(1,214)</b>	<b>155</b>	<b>(8)</b>	<b>(2,220)</b>

# FINANCIAL PLAN

**GAAP FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2005-2006  
(millions of dollars)**

	<u>Major Funds</u>			<u>Eliminations</u>	<u>Total</u>
	<u>General Fund</u>	<u>Federal Special Revenue</u>	<u>Other Governmental Funds</u>		
<b>Revenues:</b>					
Taxes:					
Personal income tax	22,460	0	10,165	0	32,625
User taxes and fees	8,663	0	5,113	0	13,776
Business taxes	5,211	0	1,942	0	7,153
Other taxes	753	0	918	0	1,671
Patient fees	0	0	356	0	356
Miscellaneous receipts	3,769	55	6,580	0	10,404
Federal grants	9	35,403	1,782	0	37,194
<b>Total revenues</b>	<u>40,865</u>	<u>35,458</u>	<u>26,856</u>	<u>0</u>	<u>103,179</u>
<b>Expenditures:</b>					
Grants to local governments	35,214	30,523	11,784	0	77,521
State operations	10,772	1,149	611	0	12,532
General State charges	3,407	178	68	0	3,653
Debt service	25	0	3,058	0	3,083
Capital projects	0	0	3,540	0	3,540
<b>Total expenditures</b>	<u>49,418</u>	<u>31,850</u>	<u>19,061</u>	<u>0</u>	<u>100,329</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	14,053	0	5,716	(18,918)	851
Transfers to other funds	(5,063)	(3,608)	(13,847)	18,918	(3,600)
Proceeds of General obligation bonds	0	0	167	0	167
Proceeds from financing arrangements/ advance refundings	934	0	1,114	0	2,048
<b>Net other financing sources (uses)</b>	<u>9,924</u>	<u>(3,608)</u>	<u>(6,850)</u>	<u>0</u>	<u>(534)</u>
<b>Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses</b>	<u>1,371</u>	<u>0</u>	<u>945</u>	<u>0</u>	<u>2,316</u>

# FINANCIAL PLAN

**GAAP FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
2006-2007  
(millions of dollars)**

	<u>Major Funds</u>		<u>Other Governmental Funds</u>	<u>Eliminations</u>	<u>Total</u>
	<u>General Fund</u>	<u>Federal Special Revenue</u>			
<b>Revenues:</b>					
Taxes:					
Personal income tax	21,232	0	10,924	0	32,156
User taxes and fees	8,827	0	5,804	0	14,631
Business taxes	5,110	0	1,966	0	7,076
Other taxes	932	0	800	0	1,732
<b>Patient fees</b>	<b>0</b>	<b>0</b>	<b>325</b>	<b>0</b>	<b>325</b>
Miscellaneous receipts	4,362	55	4,223	0	8,640
Federal grants	4	35,329	1,766	0	37,099
<b>Total revenues</b>	<b><u>40,467</u></b>	<b><u>35,384</u></b>	<b><u>25,808</u></b>	<b><u>0</u></b>	<b><u>101,659</u></b>
<b>Expenditures:</b>					
Grants to local governments	36,337	30,761	12,088	0	79,186
State operations	11,129	1,200	679	0	13,008
General State charges	3,721	201	99	0	4,021
Debt service	26	0	3,268	0	3,294
Capital projects	1	1	3,863	0	3,865
<b>Total expenditures</b>	<b><u>51,214</u></b>	<b><u>32,163</u></b>	<b><u>19,997</u></b>	<b><u>0</u></b>	<b><u>103,374</u></b>
<b>Other financing sources (uses):</b>					
Transfers from other funds	13,619	7	6,047	(18,976)	697
Transfers to other funds	(5,180)	(3,222)	(14,379)	18,976	(3,805)
Proceeds of General obligation bonds	0	0	351	0	351
Proceeds from financing arrangements/ advance refundings	1,145	0	1,107	0	2,252
<b>Net other financing sources (uses)</b>	<b><u>9,584</u></b>	<b><u>(3,215)</u></b>	<b><u>(6,874)</u></b>	<b><u>0</u></b>	<b><u>(505)</u></b>
<b>Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses</b>					
	<b><u>(1,163)</u></b>	<b><u>6</u></b>	<b><u>(1,063)</u></b>	<b><u>0</u></b>	<b><u>(2,220)</u></b>

# FINANCIAL PLAN

CASH FINANCIAL PLAN  
HEALTH CARE REFORM ACT RESOURCES FUND  
2005-2006 AND 2006-2007  
(millions of dollars)

	2005-2006 January	2006-2007 Recommended	Annual Change
<b>Opening fund balance</b>	<u>412</u>	<u>1,494</u>	<u>1,082</u>
<b>Receipts:</b>			
Cigarette taxes	677	1,000	323
Miscellaneous receipts	<u>5,464</u>	<u>3,195</u>	<u>(2,269)</u>
<b>Total receipts</b>	<u>6,141</u>	<u>4,195</u>	<u>(1,946)</u>
<b>Disbursements:</b>			
Medical Assistance Account	2,096	2,012	(84)
HCRA Program Account	953	1,128	175
Hospital Indigent Care Fund	856	841	(15)
Elderly Pharmaceutical Insurance Coverage (EPIC)	538	582	44
Child Health Plus (CHP)	344	365	21
Public Health	121	163	42
Mental Health	91	92	1
All Other	60	196	136
<b>Total disbursements</b>	<u>5,059</u>	<u>5,379</u>	<u>320</u>
<b>Change in fund balance</b>	<u>1,082</u>	<u>(1,184)</u>	<u>(2,266)</u>
<b>Closing fund balance</b>	<u>1,494</u>	<u>310</u>	<u>(1,184)</u>

# FINANCIAL PLAN

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**2006-2007 THROUGH 2008-2009**  
(millions of dollars)

	<u>2006-2007</u> <u>Recommended</u>	<u>2007-2008</u> <u>Projected</u>	<u>2008-2009</u> <u>Projected</u>
<b>Opening fund balance</b>	<u>1,494</u>	<u>310</u>	<u>155</u>
<b>Receipts:</b>			
Cigarette taxes	1,000	1,006	993
Miscellaneous receipts	<u>3,195</u>	<u>3,966</u>	<u>4,048</u>
<b>Total receipts</b>	<u>4,195</u>	<u>4,972</u>	<u>5,041</u>
<b>Disbursements:</b>			
Medical Assistance Account	2,012	1,768	1,757
HCRA Program Account	1,128	1,052	1,059
Hospital Indigent Care Fund	841	841	841
Elderly Pharmaceutical Insurance Coverage (EPIC)	582	626	626
Child Health Plus (CHP)	365	384	384
Public Health	163	135	135
Mental Health	92	92	92
All Other	<u>196</u>	<u>229</u>	<u>273</u>
<b>Total disbursements</b>	<u>5,379</u>	<u>5,127</u>	<u>5,167</u>
<b>Change in fund balance</b>	<u>(1,184)</u>	<u>(155)</u>	<u>(126)</u>
<b>Closing fund balance</b>	<u>310</u>	<u>155</u>	<u>29</u>

*Note: The current HCRA authorization expires on June 30, 2007.*

**CASH FLOW  
HEALTH CARE REFORM ACT RESOURCES FUND  
2005-2006  
(millions of dollars)**

	<b>First Quarter (Actual)</b>	<b>Second Quarter (Actual)</b>	<b>Third Quarter (Actual)</b>	<b>Fourth Quarter (Projected)</b>	<b>Total (Projected)</b>
<b>Opening fund balance</b>	<u>412</u>	<u>735</u>	<u>878</u>	<u>177</u>	<u>412</u>
<b>Receipts:</b>					
Cigarette Taxes	178	180	161	158	677
Miscellaneous Receipts	<u>489</u>	<u>1,445</u>	<u>696</u>	<u>2,834</u>	<u>5,464</u>
<b>Total receipts</b>	<u>667</u>	<u>1,625</u>	<u>857</u>	<u>2,992</u>	<u>6,141</u>
<b>Disbursements:</b>					
Medical Assistance Account	0	587	789	720	2,096
HCRA Program Account	139	208	232	374	953
Hospital Indigent Care Fund	105	244	285	222	856
Elderly Pharmaceutical Insurance Coverage (EPIC)	0	268	61	209	538
Child Health Plus (CHP)	59	94	126	65	344
Public Health	20	37	36	28	121
Mental Health	7	28	15	41	91
All Other	14	16	14	16	60
<b>Total disbursements</b>	<u>344</u>	<u>1,482</u>	<u>1,558</u>	<u>1,675</u>	<u>5,059</u>
<b>Change in fund balance</b>	<u>323</u>	<u>143</u>	<u>(701)</u>	<u>1,317</u>	<u>1,082</u>
<b>Closing fund balance</b>	<u>735</u>	<u>878</u>	<u>177</u>	<u>1,494</u>	<u>1,494</u>

# FINANCIAL PLAN

**CASH FLOW**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**2006-2007**  
(millions of dollars)

	<b>First Quarter (Projected)</b>	<b>Second Quarter (Projected)</b>	<b>Third Quarter (Projected)</b>	<b>Fourth Quarter (Projected)</b>	<b>Total (Projected)</b>
<b>Opening fund balance</b>	1,494	1,762	1,857	1,136	1,494
<b>Receipts:</b>					
Cigarette Taxes	229	257	257	257	1,000
Miscellaneous Receipts	436	1,264	769	726	3,195
<b>Total receipts</b>	<b>665</b>	<b>1,521</b>	<b>1,026</b>	<b>983</b>	<b>4,195</b>
<b>Disbursements:</b>					
Medical Assistance Account	60	456	919	577	2,012
HCRA Program Account	133	227	268	500	1,128
Hospital Indigent Care Fund	105	244	287	205	841
Elderly Pharmaceutical Insurance Coverage (EPIC)	0	304	101	177	582
Child Health Plus (CHP)	59	115	91	100	365
Public Health	21	38	52	52	163
Mental Health	8	29	15	40	92
All Other	11	13	14	158	196
<b>Total disbursements</b>	<b>397</b>	<b>1,426</b>	<b>1,747</b>	<b>1,809</b>	<b>5,379</b>
<b>Change in fund balance</b>	<b>268</b>	<b>95</b>	<b>(721)</b>	<b>(826)</b>	<b>(1,184)</b>
<b>Closing fund balance</b>	<b>1,762</b>	<b>1,857</b>	<b>1,136</b>	<b>310</b>	<b>310</b>

# FINANCIAL PLAN

## WORKFORCE IMPACT SUMMARY REPORT GENERAL FUND 2004-2005 THROUGH 2006-2007

Major Agencies	2004-05	2005-06	Abolitions	Attritions	New Fills	Fund Shifts	Mergers	Net Change	2006-07
	Actual (03/31/05)	Estimate (03/31/06)							Estimate (03/31/07)
Audit and Control	1,388	1,478	0	(45)	45	0	0	0	1,478
Children and Family Services	3,139	3,166	0	(65)	77	0	0	12	3,178
Correctional Services	30,428	30,232	0	(224)	120	0	0	(104)	30,128
Education	385	376	0	0	0	(3)	0	(3)	373
Environmental Conservation	1,154	1,197	0	0	0	0	0	0	1,197
General Service	1,044	1,071	0	0	21	0	1	22	1,093
Health	2,099	1,932	0	0	62	0	0	62	1,994
Labor	11	34	0	0	0	(18)	0	(18)	16
Law	1,171	1,123	0	0	0	0	0	0	1,123
Mental Health	16,038	16,348	0	0	534	0	0	534	16,882
Mental Health Memo (1)	16,038	16,524	0	0	459	0	0	459	16,983
Mental Retardation	21,520	21,819	0	0	248	0	0	248	22,067
Mental Retardation Memo (1)	21,520	23,019	0	0	248	0	0	248	23,267
Parks, Recreation and Historic Preservation	1,341	1,307	0	0	16	0	0	16	1,323
Parole	2,011	2,069	0	(128)	88	0	0	(40)	2,029
State Police	5,088	5,114	0	(16)	201	62	0	247	5,361
Temporary and Disability Assistance	958	463	0	(3)	0	0	0	(3)	460
Taxation and Finance	4,720	4,377	0	0	10	0	0	10	4,387
<b>SUBTOTAL - Major Agencies</b>	<b>92,495</b>	<b>92,106</b>	<b>0</b>	<b>(481)</b>	<b>1,422</b>	<b>41</b>	<b>1</b>	<b>983</b>	<b>93,089</b>
<b>Minor Agencies</b>	<b>4,708</b>	<b>5,011</b>	<b>0</b>	<b>(26)</b>	<b>192</b>	<b>1</b>	<b>(1)</b>	<b>166</b>	<b>5,177</b>
<b>Adjustments</b>									
Shared Services	0	0	0	(1,198)	0	0	0	(1,198)	(1,198)
<b>TOTAL</b>	<b>97,203</b>	<b>97,117</b>	<b>0</b>	<b>(1,705)</b>	<b>1,614</b>	<b>42</b>	<b>0</b>	<b>(49)</b>	<b>97,068</b>
<b>Universities and Off-Budget Agencies</b>									
State University	22,650	23,300	0	0	0	0	0	0	23,300
<b>GRAND TOTAL</b>	<b>119,853</b>	<b>120,417</b>	<b>0</b>	<b>(1,705)</b>	<b>1,614</b>	<b>42</b>	<b>0</b>	<b>(49)</b>	<b>120,368</b>

(1) Memo #'s are used to represent each agency's authorized fill, but are not counted in the total lines.

# FINANCIAL PLAN

## WORKFORCE IMPACT SUMMARY REPORT GENERAL FUND 2004-2005 THROUGH 2006-2007

Minor Agencies	2004-05	2005-06						2006-07	
	Actual (03/31/05)	Estimate (03/31/06)	Abolitions	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Estimate (03/31/07)
Adirondack Park	63	59	0	0	0	0	0	0	59
Advocate for Disabled	9	0	0	0	0	0	0	0	0
Aging	27	28	0	(2)	1	0	0	(1)	27
Agriculture and Markets	366	411	0	(21)	0	0	0	(21)	390
Alcoholism and Substance Abuse	821	848	0	0	7	0	0	7	855
Arts Council	41	48	0	0	1	0	0	1	49
Budget	317	297	0	0	0	0	0	0	297
Capital Defender	57	7	0	0	0	0	0	0	7
Civil Service	333	344	0	(3)	0	0	0	(3)	341
Consumer Protection	8	0	0	0	0	0	0	0	0
Correction Commission	32	35	0	0	0	0	0	0	35
Quality of Care and Advocacy for Disabled	32	46	0	0	0	0	0	0	46
Criminal Justice Service	556	539	0	0	14	0	0	14	553
Crime Victims	61	64	0	0	0	6	0	6	70
Economic Development	202	206	0	0	0	0	0	0	206
Elections	38	43	0	0	10	0	0	10	53
Employee Relations	40	39	0	0	6	0	0	6	45
Executive Chamber	145	153	0	0	0	0	0	0	153
Homeland Security	26	42	0	0	37	(2)	0	35	77
Housing and Community Renewal	377	373	0	0	0	0	0	0	373
Hudson River Greenway Park Trust	4	5	0	0	0	0	0	0	5
Human Rights	179	166	0	0	0	0	0	0	166
Inspector General	50	50	0	0	0	0	0	0	50
Judicial Commissions	28	28	0	0	0	0	0	0	28
Labor Management Committees	52	53	0	0	0	0	0	0	53
Lieutenant Governor	4	5	0	0	0	0	0	0	5
Medicaid Inspector General	0	199	0	0	81	0	0	81	280
Military and Naval Affairs	230	247	0	0	3	0	0	3	250
Northeastern Queens Nature and Historical	2	2	0	0	0	0	0	0	2
NYSTAR	29	30	0	0	0	0	0	0	30
Prevention of Domestic Violence	28	33	0	0	0	0	0	0	33
Probation and Correctional Alternatives	26	24	0	0	0	0	0	0	24
Public Employment Relations Board	32	37	0	0	0	0	0	0	37
Real Property Services	14	0	0	0	0	0	0	0	0
Regulatory Reform	36	36	0	0	0	0	0	0	36
Review of State Testing Administration	0	0	0	0	3	0	0	3	3
State	170	199	0	0	5	0	0	5	204
Tax Appeals	30	31	0	0	0	0	0	0	31
Technology	92	127	0	0	8	0	0	8	135
TSC Investigation	30	31	0	0	0	0	0	0	31
TSC Lobbying	18	15	0	0	16	(3)	0	13	28
Veteran Affairs	93	101	0	0	0	0	(1)	(1)	100
Welfare Inspector General	10	10	0	0	0	0	0	0	10
<b>SUBTOTAL - Minor Agencies</b>	<b>4,708</b>	<b>5,011</b>	<b>0</b>	<b>(26)</b>	<b>192</b>	<b>1</b>	<b>(1)</b>	<b>166</b>	<b>5,177</b>

# FINANCIAL PLAN

## WORKFORCE IMPACT SUMMARY REPORT OTHER FUNDS 2004-2005 THROUGH 2006-2007

Major Agencies	2004-05	2005-06						2006-07	
	Actual (03/31/05)	Estimate (03/31/06)	Abolitions	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Estimate (03/31/07)
Audit and Control	826	891	0	0	0	0	0	0	891
Children and Family Services	591	644	0	0	0	0	0	0	644
Correctional Services	673	1,240	0	0	0	0	0	0	1,240
Education	2,603	2,738	0	(37)	0	3	0	(34)	2,704
Environmental Conservation	2,107	2,138	0	0	43	0	0	43	2,181
General Service	590	648	0	0	10	0	0	10	658
Health	3,755	3,830	0	(23)	35	0	7	19	3,849
Labor	4,054	3,919	0	0	0	18	(7)	11	3,930
Law	568	629	0	0	0	0	0	0	629
Mental Health	62	99	0	0	0	0	0	0	99
Mental Health Memo (1)	62	523	0	0	0	0	0	0	523
Mental Retardation	17	18	0	0	0	0	0	0	18
Mental Retardation Memo (1)	17	18	0	0	0	0	0	0	18
Motor Vehicles	2,694	2,762	0	0	13	0	0	13	2,775
Parks, Recreation and Historic Preservation	271	284	0	0	0	0	0	0	284
State Police	554	615	0	0	63	(62)	0	1	616
Temporary and Disability Assistance	1,234	2,069	0	0	0	0	0	0	2,069
Taxation and Finance	37	389	0	0	0	0	0	0	389
Transportation	9,597	9,769	0	(290)	469	0	0	179	9,948
Workers' Compensation Board	1,523	1,539	0	0	0	0	0	0	1,539
<b>SUBTOTAL - Major Agencies</b>	<b>31,756</b>	<b>34,221</b>	<b>0</b>	<b>(350)</b>	<b>633</b>	<b>(41)</b>	<b>0</b>	<b>242</b>	<b>34,463</b>
<b>Minor Agencies</b>	<b>6,764</b>	<b>7,462</b>	<b>0</b>	<b>(7)</b>	<b>63</b>	<b>(1)</b>	<b>0</b>	<b>55</b>	<b>7,517</b>
<b>Adjustments</b>									
Hiring Freeze/Position Control Adjustment	0	(2,194)	0	0	0	0	0	0	(2,194)
Shared Services	0	0	0	(702)	0	0	0	(702)	(702)
<b>TOTAL</b>	<b>38,520</b>	<b>39,489</b>	<b>0</b>	<b>(1,059)</b>	<b>696</b>	<b>(42)</b>	<b>0</b>	<b>(405)</b>	<b>39,084</b>
<b>Universities and Off-Budget Agencies</b>									
City University	10,642	11,102	0	(32)	0	0	0	(32)	11,070
Industrial Exhibit Authority	0	44	0	0	0	0	0	0	44
Roswell Park Cancer Institute	1,565	1,627	0	0	65	0	0	65	1,692
State University Construction Fund	98	125	0	0	0	0	0	0	125
State Insurance Fund	2,656	2,657	0	0	0	0	0	0	2,657
State University	15,597	16,100	0	0	0	0	0	0	16,100
<b>GRAND TOTAL</b>	<b>69,078</b>	<b>71,144</b>	<b>0</b>	<b>(1,091)</b>	<b>761</b>	<b>(42)</b>	<b>0</b>	<b>(372)</b>	<b>70,772</b>

(1) Memo #'s are used to represent each agency's authorized fill, but are not counted in the total lines.

# FINANCIAL PLAN

## WORKFORCE IMPACT SUMMARY REPORT OTHER FUNDS 2004-2005 THROUGH 2006-2007

Minor Agencies	2004-05	2005-06						2006-07	
	Actual (03/31/05)	Estimate (03/31/06)	Abolitions	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Estimate (03/31/07)
Advocate for Disabled	4	0	0	0	0	0	0	0	0
Aging	92	107	0	0	0	0	0	0	107
Agriculture and Markets	223	156	0	0	0	0	0	0	156
Alcoholism and Substance Abuse	95	106	0	0	2	0	0	2	108
Alcoholic Beverage Control	145	156	0	0	28	0	0	28	184
Arts Council	8	7	0	(1)	0	0	0	(1)	6
Banking	550	575	0	0	0	0	0	0	575
Budget	19	68	0	0	0	0	0	0	68
Civil Service	230	231	0	0	0	0	0	0	231
Consumer Protection	22	32	0	0	0	0	0	0	32
Quality of Care and Advocacy for Disabled	52	59	0	0	0	0	0	0	59
Criminal Justice Service	130	153	0	0	0	0	0	0	153
Crime Victims	34	39	0	0	0	(6)	0	(6)	33
Deferred Compensation	4	4	0	0	0	0	0	0	4
Economic Development	10	9	0	0	0	0	0	0	9
Elections	0	4	0	0	5	0	0	5	9
Employee Relations	33	33	0	(6)	0	0	0	(6)	27
Environmental Facilities Corporation	98	92	0	0	0	0	0	0	92
Financial Control Board	16	17	0	0	0	0	0	0	17
Higher Education Services	678	700	0	0	0	0	0	0	700
Homeland Security	34	68	0	0	0	2	0	2	70
Housing and Community Renewal	538	567	0	0	0	0	0	0	567
Human Rights	0	37	0	0	0	0	0	0	37
Inspector General	10	20	0	0	0	0	0	0	20
Insurance	903	918	0	0	5	0	0	5	923
Interest on Lawyer Account	8	9	0	0	0	0	0	0	9
Lottery	323	341	0	0	9	0	0	9	350
Medicaid Inspector General	0	212	0	0	0	0	0	0	212
Military and Naval Affairs	296	337	0	0	14	0	0	14	351
Probation and Correctional Alternatives	6	4	0	0	0	0	0	0	4
Public Service	530	545	0	0	0	0	0	0	545
Racing and Wagering	123	135	0	0	0	0	0	0	135
Real Property Services	372	401	0	0	0	0	0	0	401
State	640	666	0	0	0	0	0	0	666
Technology	506	594	0	0	0	0	0	0	594
TSC Lobbying	0	3	0	0	0	3	0	3	6
Veteran Affairs	11	12	0	0	0	0	0	0	12
Wireless Network	21	45	0	0	0	0	0	0	45
<b>SUBTOTAL - Minor Agencies</b>	<b>6,764</b>	<b>7,462</b>	<b>0</b>	<b>(7)</b>	<b>63</b>	<b>(1)</b>	<b>0</b>	<b>55</b>	<b>7,517</b>

# FINANCIAL PLAN

## WORKFORCE IMPACT SUMMARY REPORT ALL FUNDS 2004-2005 THROUGH 2006-2007

Major Agencies	2004-05	2005-06						2006-07	
	Actual (03/31/05)	Estimate (03/31/06)	Abolitions	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Estimate (03/31/07)
Audit and Control	2,214	2,369	0	(45)	45	0	0	0	2,369
Children and Family Services	3,730	3,810	0	(65)	77	0	0	12	3,822
Correctional Services	31,101	31,472	0	(224)	120	0	0	(104)	31,368
Education	2,988	3,114	0	(37)	0	0	0	(37)	3,077
Environmental Conservation	3,261	3,335	0	0	43	0	0	43	3,378
General Service	1,634	1,719	0	0	31	0	1	32	1,751
Health	5,854	5,762	0	(23)	97	0	7	81	5,843
Labor	4,065	3,953	0	0	0	0	(7)	(7)	3,946
Law	1,739	1,752	0	0	0	0	0	0	1,752
Mental Health	16,100	16,447	0	0	534	0	0	534	16,981
Mental Health Memo (1)	16,100	17,047	0	0	459	0	0	459	17,506
Mental Retardation	21,537	21,837	0	0	248	0	0	248	22,085
Mental Retardation Memo (1)	21,537	23,037	0	0	248	0	0	248	23,285
Motor Vehicles	2,694	2,762	0	0	13	0	0	13	2,775
Parks, Recreation and Historic Preservation	1,612	1,591	0	0	16	0	0	16	1,607
Parole	2,011	2,069	0	(128)	88	0	0	(40)	2,029
State Police	5,642	5,729	0	(16)	264	0	0	248	5,977
Temporary and Disability Assistance	2,192	2,532	0	(3)	0	0	0	(3)	2,529
Taxation and Finance	4,757	4,766	0	0	10	0	0	10	4,776
Transportation	9,597	9,769	0	(290)	469	0	0	179	9,948
Workers' Compensation Board	1,523	1,539	0	0	0	0	0	0	1,539
<b>SUBTOTAL - Major Agencies</b>	<b>124,251</b>	<b>126,327</b>	<b>0</b>	<b>(831)</b>	<b>2,055</b>	<b>0</b>	<b>1</b>	<b>1,225</b>	<b>127,552</b>
<b>Minor Agencies</b>	<b>11,472</b>	<b>12,473</b>	<b>0</b>	<b>(33)</b>	<b>255</b>	<b>0</b>	<b>(1)</b>	<b>221</b>	<b>12,694</b>
<b>Adjustments</b>									
Hiring Freeze/Position Control Adjustment	0	(2,194)	0	0	0	0	0	0	(2,194)
Shared Services	0	0	0	(1,900)	0	0	0	(1,900)	(1,900)
<b>TOTAL</b>	<b>135,723</b>	<b>136,606</b>	<b>0</b>	<b>(2,764)</b>	<b>2,310</b>	<b>0</b>	<b>0</b>	<b>(454)</b>	<b>136,152</b>
<b>Universities and Off-Budget Agencies</b>									
City University	10,642	11,102	0	(32)	0	0	0	(32)	11,070
Industrial Exhibit Authority	0	44	0	0	0	0	0	0	44
Roswell Park Cancer Institute	1,565	1,627	0	0	65	0	0	65	1,692
State University Construction Fund	98	125	0	0	0	0	0	0	125
State Insurance Fund	2,656	2,657	0	0	0	0	0	0	2,657
State University	38,247	39,400	0	0	0	0	0	0	39,400
<b>GRAND TOTAL</b>	<b>188,931</b>	<b>191,561</b>	<b>0</b>	<b>(2,796)</b>	<b>2,375</b>	<b>0</b>	<b>0</b>	<b>(421)</b>	<b>191,140</b>

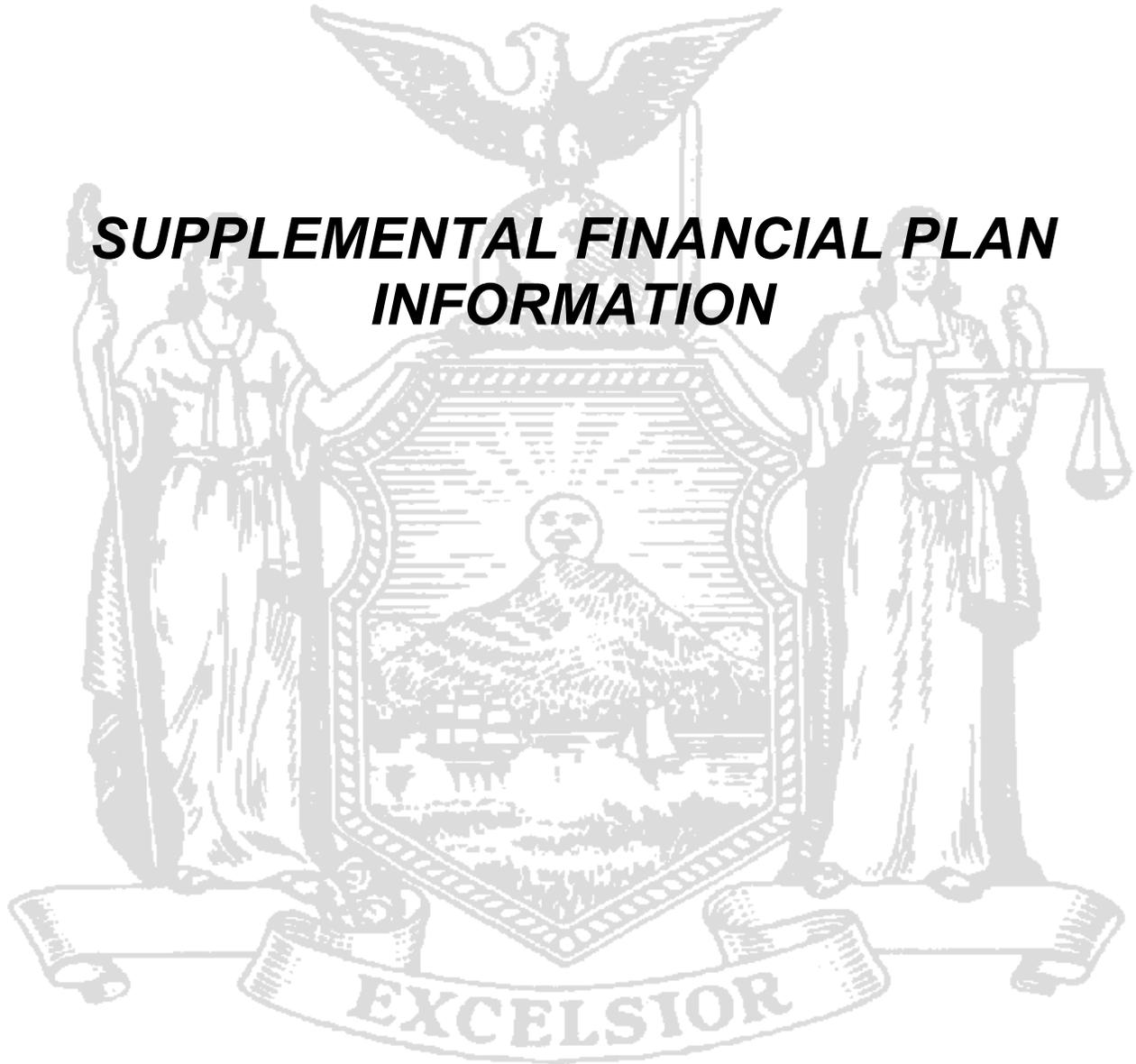
(1) Memo #'s are used to represent each agency's authorized fill, but are not counted in the total lines.

# FINANCIAL PLAN

## WORKFORCE IMPACT SUMMARY REPORT ALL FUNDS 2004-2005 THROUGH 2006-2007

Minor Agencies	2004-05	2005-06						2006-07	
	Actual (03/31/05)	Estimate (03/31/06)	Abolitions	Attritions	New Fills	Fund Shifts	Mergers	Net Change	Estimate (03/31/07)
Adirondack Park	63	59	0	0	0	0	0	0	59
Advocate for the Disabled	13	0	0	0	0	0	0	0	0
Aging	119	135	0	(2)	1	0	0	(1)	134
Agriculture and Markets	589	567	0	(21)	0	0	0	(21)	546
Alcoholism and Substance Abuse	916	954	0	0	9	0	0	9	963
Alcoholic Beverage Control	145	156	0	0	28	0	0	28	184
Arts Council	49	55	0	(1)	1	0	0	0	55
Banking	550	575	0	0	0	0	0	0	575
Budget	336	365	0	0	0	0	0	0	365
Capital Defender	57	7	0	0	0	0	0	0	7
Civil Service	563	575	0	(3)	0	0	0	(3)	572
Consumer Protection	30	32	0	0	0	0	0	0	32
Correction Commission	32	35	0	0	0	0	0	0	35
Quality of Care and Advocacy for Disabled	84	105	0	0	0	0	0	0	105
Criminal Justice Service	686	692	0	0	14	0	0	14	706
Crime Victims	95	103	0	0	0	0	0	0	103
Deferred Compensation	4	4	0	0	0	0	0	0	4
Economic Development	212	215	0	0	0	0	0	0	215
Elections	38	47	0	0	15	0	0	15	62
Employee Relations	73	72	0	(6)	6	0	0	0	72
Environmental Facilities Corporation	98	92	0	0	0	0	0	0	92
Executive Chamber	145	153	0	0	0	0	0	0	153
Financial Control Board	16	17	0	0	0	0	0	0	17
Higher Education Service	678	700	0	0	0	0	0	0	700
Homeland Security	60	110	0	0	37	0	0	37	147
Housing and Community Renewal	915	940	0	0	0	0	0	0	940
Hudson River Park Trust	4	5	0	0	0	0	0	0	5
Human Rights	179	203	0	0	0	0	0	0	203
Inspector General	60	70	0	0	0	0	0	0	70
Insurance	903	918	0	0	5	0	0	5	923
Interest on Lawyer Account	8	9	0	0	0	0	0	0	9
Judicial Commissions	28	28	0	0	0	0	0	0	28
Labor Management Committees	52	53	0	0	0	0	0	0	53
Lieutenant Governor	4	5	0	0	0	0	0	0	5
Lottery	323	341	0	0	9	0	0	9	350
Medicaid Inspector General	0	411	0	0	81	0	0	81	492
Military and Naval Affairs	526	584	0	0	17	0	0	17	601
Northeastern Queens Nature and Historical	2	2	0	0	0	0	0	0	2
NYSTAR	29	30	0	0	0	0	0	0	30
Prevention of Domestic Violence	28	33	0	0	0	0	0	0	33
Probation and Correctional Alternatives	32	28	0	0	0	0	0	0	28
Public Employment Relations Board	32	37	0	0	0	0	0	0	37
Public Service	530	545	0	0	0	0	0	0	545
Racing and Wagering	123	135	0	0	0	0	0	0	135
Real Property Services	386	401	0	0	0	0	0	0	401
Regulatory Reform	36	36	0	0	0	0	0	0	36
Review of State Testing Administration	0	0	0	0	3	0	0	3	3
State	810	865	0	0	5	0	0	5	870
Tax Appeals	30	31	0	0	0	0	0	0	31
Technology	598	721	0	0	8	0	0	8	729
TSC Investigation	30	31	0	0	0	0	0	0	31
TSC Lobbying	18	18	0	0	16	0	0	16	34
Veteran Affairs	104	113	0	0	0	0	(1)	(1)	112
Welfare Inspector General	10	10	0	0	0	0	0	0	10
Wireless Network	21	45	0	0	0	0	0	0	45
<b>SUBTOTAL - Minor Agencies</b>	<b>11,472</b>	<b>12,473</b>	<b>0</b>	<b>(33)</b>	<b>255</b>	<b>0</b>	<b>(1)</b>	<b>221</b>	<b>12,694</b>

**SUPPLEMENTAL FINANCIAL PLAN  
INFORMATION**





## **INDEX OF SUPPLEMENTAL FINANCIAL PLAN INFORMATION**

	<b>Page</b>
<b>SPECIAL REVENUE FUNDS</b>	
2004-2005 State and Federal Funds (actuals) .....	197
2005-2006 State and Federal Funds .....	198
2006-2007 State and Federal Funds .....	199
2007-2008 State and Federal Funds .....	200
2008-2009 State and Federal Funds .....	201
<b>CAPITAL PROJECTS FUNDS</b>	
2004-2005 State and Federal Funds (actuals) .....	202
2005-2006 State and Federal Funds .....	203
2006-2007 State and Federal Funds .....	204
2007-2008 State and Federal Funds .....	205
2008-2009 State and Federal Funds .....	206
<b>PROPRIETARY AND FIDUCIARY FUNDS</b>	
2005-2006 Financial Plan .....	207
2006-2007 Financial Plan .....	208
<b>CASH FLOW — ALL GOVERNMENTAL FUNDS</b>	
Special Revenue Funds, 2006-2007 Quarterly Projections .....	209
Capital Projects Funds, 2006-2007 Quarterly Projections .....	210
Debt Service Funds, 2006-2007 Quarterly Projections .....	211
<b>CASH BASIS COMBINING STATEMENT</b>	
2005-2006 General Fund .....	212
2006-2007 General Fund .....	213
2005-2006 Special Revenue Funds .....	214
2006-2007 Special Revenue Funds .....	220
2005-2006 Special Revenue Other Funds Detail by Account .....	226
2006-2007 Special Revenue Other Funds Detail by Account .....	231
2005-2006 Miscellaneous Special Revenue Fund (339) Detail by Account .....	236
2006-2007 Miscellaneous Special Revenue Fund (339) Detail by Account .....	243
2005-2006 Capital Projects Funds .....	250
2006-2007 Capital Projects Funds .....	254
2005-2006 Debt Service Funds .....	258
2006-2007 Debt Service Funds .....	259
<b>GAAP BASIS COMBINING STATEMENT</b>	
2005-2006 General Fund .....	260
2006-2007 General Fund .....	262

## **FINANCIAL PLAN**

---

### **CASH TO GAAP CONVERSION TABLE**

2005-2006 General Fund.....	264
2006-2007 General Fund.....	265
2005-2006 Special Revenue Funds .....	266
2006-2007 Special Revenue Funds .....	267
2005-2006 Capital Projects Funds .....	268
2006-2007 Capital Projects Funds .....	269
2005-2006 Debt Service Funds .....	270
2006-2007 Debt Service Funds .....	271

### **CASH TO APPROPRIATION TABLE — GENERAL FUND**

2004-2005 (actuals).....	272
2005-2006 .....	275
2006-2007 .....	278

### **FUNCTIONAL SPENDING**

2004-2005 Cash Disbursements by Agency (actuals).....	281
2005-2006 Cash Disbursements by Agency .....	284
2006-2007 Cash Disbursements by Agency.....	287
2004-2005 Cash Disbursements by Function (actuals) .....	290
2005-2006 Cash Disbursements by Function.....	291
2006-2007 Cash Disbursements by Function.....	292
2004-2005 Cash Disbursements by Function and Agency (actuals) .....	293
2005-2006 Cash Disbursements by Function and Agency .....	309
2006-2007 Cash Disbursements by Function and Agency .....	325

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
2004-2005  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>1,703</u>	<u>480</u>	<u>2,183</u>
<b>Receipts:</b>			
Taxes	4,858	0	4,858
Miscellaneous receipts	10,972	143	11,115
Federal grants	0	34,492	34,492
<b>Total receipts</b>	<u>15,830</u>	<u>34,635</u>	<u>50,465</u>
<b>Disbursements:</b>			
Grants to local governments	11,131	31,512	42,643
State operations	4,917	3,178	8,095
General State charges	524	188	712
Debt service	0	0	0
Capital projects	11	0	11
<b>Total disbursements</b>	<u>16,583</u>	<u>34,878</u>	<u>51,461</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	1,174	2,440	3,614
Transfers to other funds	(120)	(2,676)	(2,796)
Bond and note proceeds	0	0	0
<b>Net other financing sources (uses)</b>	<u>1,054</u>	<u>(236)</u>	<u>818</u>
<b>Change in fund balance</b>	<u>301</u>	<u>(479)</u>	<u>(178)</u>
<b>Closing fund balance</b>	<u>2,004</u>	<u>1</u>	<u>2,005</u>

# FINANCIAL PLAN

---

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
2005-2006  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>2,001</u>	<u>(1)</u>	<u>2,000</u>
<b>Receipts:</b>			
Taxes	5,963	0	5,963
Miscellaneous receipts	13,233	16	13,249
Federal grants	1	34,427	34,428
<b>Total receipts</b>	<u>19,197</u>	<u>34,443</u>	<u>53,640</u>
<b>Disbursements:</b>			
Grants to local governments	13,449	30,943	44,392
State operations	5,035	3,065	8,100
General State charges	554	217	771
Debt service	0	0	0
Capital projects	1	1	2
<b>Total disbursements</b>	<u>19,039</u>	<u>34,226</u>	<u>53,265</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	1,389	2,391	3,780
Transfers to other funds	(335)	(2,670)	(3,005)
Bond and note proceeds	0	0	0
<b>Net other financing sources (uses)</b>	<u>1,054</u>	<u>(279)</u>	<u>775</u>
<b>Change in fund balance</b>	<u>1,212</u>	<u>(62)</u>	<u>1,150</u>
<b>Closing fund balance</b>	<u>3,213</u>	<u>(63)</u>	<u>3,150</u>

# FINANCIAL PLAN

CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
2006-2007  
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>3,213</u>	<u>(63)</u>	<u>3,150</u>
<b>Receipts:</b>			
Taxes	6,609	0	6,609
Miscellaneous receipts	11,494	15	11,509
Federal grants	1	34,337	34,338
<b>Total receipts</b>	<u>18,104</u>	<u>34,352</u>	<u>52,456</u>
<b>Disbursements:</b>			
Grants to local governments	14,247	30,679	44,926
State operations	5,311	3,087	8,398
General State charges	618	242	860
Debt service	0	0	0
Capital projects	2	1	3
<b>Total disbursements</b>	<u>20,178</u>	<u>34,009</u>	<u>54,187</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	1,207	2,368	3,575
Transfers to other funds	(480)	(2,716)	(3,196)
Bond and note proceeds	0	0	0
<b>Net other financing sources (uses)</b>	<u>727</u>	<u>(348)</u>	<u>379</u>
<b>Change in fund balance</b>	<u>(1,347)</u>	<u>(5)</u>	<u>(1,352)</u>
<b>Closing fund balance</b>	<u>1,866</u>	<u>(68)</u>	<u>1,798</u>

# FINANCIAL PLAN

---

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
2007-2008  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>1,866</u>	<u>(68)</u>	<u>1,798</u>
<b>Receipts:</b>			
Taxes	6,868	0	6,868
Miscellaneous receipts	12,695	58	12,753
Federal grants	1	35,321	35,322
<b>Total receipts</b>	<u>19,564</u>	<u>35,379</u>	<u>54,943</u>
<b>Disbursements:</b>			
Grants to local governments	14,964	31,612	46,576
State operations	5,390	3,178	8,568
General State charges	652	247	899
Debt service	0	0	0
Capital projects	2	1	3
<b>Total disbursements</b>	<u>21,008</u>	<u>35,038</u>	<u>56,046</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	1,086	2,373	3,459
Transfers to other funds	(452)	(2,727)	(3,179)
Bond and note proceeds	0	0	0
<b>Net other financing sources (uses)</b>	<u>634</u>	<u>(354)</u>	<u>280</u>
<b>Change in fund balance</b>	<u>(810)</u>	<u>(13)</u>	<u>(823)</u>
<b>Closing fund balance</b>	<u>1,056</u>	<u>(81)</u>	<u>975</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
2008-2009  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>1,056</u>	<u>(81)</u>	<u>975</u>
<b>Receipts:</b>			
Taxes	7,095	0	7,095
Miscellaneous receipts	13,353	58	13,411
Federal grants	1	37,277	37,278
<b>Total receipts</b>	<u>20,449</u>	<u>37,335</u>	<u>57,784</u>
<b>Disbursements:</b>			
Grants to local governments	15,302	33,456	48,758
State operations	5,430	3,259	8,689
General State charges	668	252	920
Debt service	0	0	0
Capital projects	2	1	3
<b>Total disbursements</b>	<u>21,402</u>	<u>36,968</u>	<u>58,370</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	1,106	2,423	3,529
Transfers to other funds	(457)	(2,819)	(3,276)
Bond and note proceeds	0	0	0
<b>Net other financing sources (uses)</b>	<u>649</u>	<u>(396)</u>	<u>253</u>
<b>Change in fund balance</b>	<u>(304)</u>	<u>(29)</u>	<u>(333)</u>
<b>Closing fund balance</b>	<u>752</u>	<u>(110)</u>	<u>642</u>

# ***FINANCIAL PLAN***

---

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
2004-2005  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>(336)</u>	<u>(153)</u>	<u>(489)</u>
<b>Receipts:</b>			
Taxes	1,862	0	1,862
Miscellaneous receipts	1,753	6	1,759
Federal grants	0	1,721	1,721
<b>Total receipts</b>	<u>3,615</u>	<u>1,727</u>	<u>5,342</u>
<b>Disbursements:</b>			
Grants to local governments	337	515	852
State operations	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	2,541	1,303	3,844
<b>Total disbursements</b>	<u>2,878</u>	<u>1,818</u>	<u>4,696</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	217	0	217
Transfers to other funds	(1,002)	(4)	(1,006)
Bond and note proceeds	178	0	178
<b>Net other financing sources (uses)</b>	<u>(607)</u>	<u>(4)</u>	<u>(611)</u>
<b>Change in fund balance</b>	<u>130</u>	<u>(95)</u>	<u>35</u>
<b>Closing fund balance</b>	<u>(206)</u>	<u>(248)</u>	<u>(454)</u>

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
2005-2006  
(millions of dollars)**

	<b>State</b>	<b>Federal</b>	<b>Total</b>
<b>Opening fund balance</b>	(201)	(248)	(449)
<b>Receipts:</b>			
Taxes	1,851	0	1,851
Miscellaneous receipts	1,715	0	1,715
Federal grants	0	1,782	1,782
<b>Total receipts</b>	3,566	1,782	5,348
<b>Disbursements:</b>			
Grants to local governments	885	211	1,096
State operations	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	2,302	1,584	3,886
<b>Total disbursements</b>	3,187	1,795	4,982
<b>Other financing sources (uses):</b>			
Transfers from other funds	239	0	239
Transfers to other funds	(904)	(12)	(916)
Bond and note proceeds	167	0	167
<b>Net other financing sources (uses)</b>	(498)	(12)	(510)
<b>Change in fund balance</b>	(119)	(25)	(144)
<b>Closing fund balance</b>	(320)	(273)	(593)

*The opening fund balance has been changed to reflect the reclassification of the Hazardous Waste Remedial Fund from the Special Revenue Funds to the Capital Projects Funds.*

# FINANCIAL PLAN

---

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
2006-2007  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>(320)</u>	<u>(273)</u>	<u>(593)</u>
<b>Receipts:</b>			
Taxes	1,960	0	1,960
Miscellaneous receipts	1,890	0	1,890
Federal grants	0	1,754	1,754
<b>Total receipts</b>	<u>3,850</u>	<u>1,754</u>	<u>5,604</u>
<b>Disbursements:</b>			
Grants to local governments	906	206	1,112
State operations	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	2,844	1,531	4,375
<b>Total disbursements</b>	<u>3,750</u>	<u>1,737</u>	<u>5,487</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	453	0	453
Transfers to other funds	(847)	(13)	(860)
Bond and note proceeds	351	0	351
<b>Net other financing sources (uses)</b>	<u>(43)</u>	<u>(13)</u>	<u>(56)</u>
<b>Change in fund balance</b>	<u>57</u>	<u>4</u>	<u>61</u>
<b>Closing fund balance</b>	<u>(263)</u>	<u>(269)</u>	<u>(532)</u>

# FINANCIAL PLAN

CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
2007-2008  
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>(263)</u>	<u>(269)</u>	<u>(532)</u>
<b>Receipts:</b>			
Taxes	2,003	0	2,003
Miscellaneous receipts	2,243	0	2,243
Federal grants	0	1,879	1,879
<b>Total receipts</b>	<u>4,246</u>	<u>1,879</u>	<u>6,125</u>
<b>Disbursements:</b>			
Grants to local governments	818	206	1,024
State operations	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	3,438	1,651	5,089
<b>Total disbursements</b>	<u>4,256</u>	<u>1,857</u>	<u>6,113</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	455	0	455
Transfers to other funds	(931)	(14)	(945)
Bond and note proceeds	477	0	477
<b>Net other financing sources (uses)</b>	<u>1</u>	<u>(14)</u>	<u>(13)</u>
<b>Change in fund balance</b>	<u>(9)</u>	<u>8</u>	<u>(1)</u>
<b>Closing fund balance</b>	<u>(272)</u>	<u>(261)</u>	<u>(533)</u>

# FINANCIAL PLAN

---

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
2008-2009  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening fund balance</b>	<u>(272)</u>	<u>(261)</u>	<u>(533)</u>
<b>Receipts:</b>			
Taxes	2,030	0	2,030
Miscellaneous receipts	2,239	0	2,239
Federal grants	0	1,792	1,792
<b>Total receipts</b>	<u>4,269</u>	<u>1,792</u>	<u>6,061</u>
<b>Disbursements:</b>			
Grants to local governments	776	206	982
State operations	0	0	0
General State charges	0	0	0
Debt service	0	0	0
Capital projects	3,471	1,564	5,035
<b>Total disbursements</b>	<u>4,247</u>	<u>1,770</u>	<u>6,017</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	478	0	478
Transfers to other funds	(1,047)	(13)	(1,060)
Bond and note proceeds	540	0	540
<b>Net other financing sources (uses)</b>	<u>(29)</u>	<u>(13)</u>	<u>(42)</u>
<b>Change in fund balance</b>	<u>(7)</u>	<u>9</u>	<u>2</u>
<b>Closing fund balance</b>	<u>(279)</u>	<u>(252)</u>	<u>(531)</u>

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
 PROPRIETARY AND FIDUCIARY FUNDS  
 2005-2006  
 (millions of dollars)**

	<b>Internal Service</b>	<b>Enterprise</b>	<b>Fiduciary</b>
<b>Opening fund balance</b>	(35)	58	10
<b>Receipts:</b>			
Unemployment taxes	0	2,240	0
Miscellaneous receipts	561	81	1
Federal grants	0	25	0
<b>Total receipts</b>	561	2,346	1
<b>Disbursements:</b>			
Grants to local governments	0	0	0
State operations	544	76	1
Unemployment benefits	0	2,267	0
General State charges	54	2	0
Debt service	23	0	0
Capital projects	0	0	0
<b>Total disbursements</b>	621	2,345	1
<b>Other financing sources (uses):</b>			
Transfers from other funds	94	0	0
Transfers to other funds	(37)	0	0
Bond & Note Proceeds	0	0	0
<b>Net other financing sources (uses)</b>	57	0	0
<b>Change in fund balance</b>	(3)	1	0
<b>Closing fund balance</b>	(38)	59	10

# FINANCIAL PLAN

**CASH FINANCIAL PLAN  
PROPRIETARY AND FIDUCIARY FUNDS  
2006-2007  
(millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
<b>Opening fund balance</b>	<u>(38)</u>	<u>59</u>	<u>10</u>
<b>Receipts:</b>			
Unemployment taxes	0	2,775	0
Miscellaneous receipts	691	77	1
Federal grants	0	25	0
<b>Total receipts</b>	<u>691</u>	<u>2,877</u>	<u>1</u>
<b>Disbursements:</b>			
Grants to local governments	0	0	0
State operations	722	76	1
Unemployment benefits	0	2,800	0
General State charges	59	2	0
Debt service	21	0	0
Capital Projects	0	0	0
<b>Total disbursements</b>	<u>802</u>	<u>2,878</u>	<u>1</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	152	0	0
Transfers to other funds	(65)	0	0
Bond & Note Proceeds	0	0	0
<b>Net other financing sources (uses)</b>	<u>87</u>	<u>0</u>	<u>0</u>
<b>Change in fund balance</b>	<u>(24)</u>	<u>(1)</u>	<u>0</u>
<b>Closing fund balance</b>	<u>(62)</u>	<u>58</u>	<u>10</u>

**CASH FLOW  
SPECIAL REVENUE FUNDS  
2006-2007  
(millions of dollars)**

	<b>First Quarter (Projected)</b>	<b>Second Quarter (Projected)</b>	<b>Third Quarter (Projected)</b>	<b>Fourth Quarter (Projected)</b>	<b>Total (Projected)</b>
<b>Opening fund balance</b>	<u>3,150</u>	<u>4,153</u>	<u>2,918</u>	<u>2,325</u>	<u>3,150</u>
<b>Receipts:</b>					
Taxes	788	1,086	3,748	987	6,609
Miscellaneous receipts	2,173	3,298	2,829	3,209	11,509
Federal grants	8,481	8,590	8,605	8,662	34,338
<b>Total receipts</b>	<u>11,442</u>	<u>12,974</u>	<u>15,182</u>	<u>12,858</u>	<u>52,456</u>
<b>Disbursements:</b>					
Grants to local governments	8,687	12,300	13,540	10,399	44,926
State operations	1,546	1,766	2,164	2,922	8,398
General State charges	226	206	218	210	860
Debt service	0	0	0	0	0
Capital projects	0	1	1	1	3
<b>Total disbursements</b>	<u>10,459</u>	<u>14,273</u>	<u>15,923</u>	<u>13,532</u>	<u>54,187</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	859	795	895	1,026	3,575
Transfers to other funds	(839)	(731)	(747)	(879)	(3,196)
Bond and note proceeds	0	0	0	0	0
<b>Net other financing sources (uses)</b>	<u>20</u>	<u>64</u>	<u>148</u>	<u>147</u>	<u>379</u>
<b>Change in fund balance</b>	<u>1,003</u>	<u>(1,235)</u>	<u>(593)</u>	<u>(527)</u>	<u>(1,352)</u>
<b>Closing fund balance</b>	<u>4,153</u>	<u>2,918</u>	<u>2,325</u>	<u>1,798</u>	<u>1,798</u>

# FINANCIAL PLAN

**CASH FLOW**  
**CAPITAL PROJECTS FUNDS**  
**2006-2007**  
(millions of dollars)

	<b>First Quarter (Projected)</b>	<b>Second Quarter (Projected)</b>	<b>Third Quarter (Projected)</b>	<b>Fourth Quarter (Projected)</b>	<b>Total (Projected)</b>
<b>Opening fund balance</b>	(593)	(590)	(754)	(786)	(593)
<b>Receipts:</b>					
Taxes	462	543	493	462	1,960
Miscellaneous receipts	336	374	474	706	1,890
Federal grants	369	438	491	456	1,754
<b>Total receipts</b>	<u>1,167</u>	<u>1,355</u>	<u>1,458</u>	<u>1,624</u>	<u>5,604</u>
<b>Disbursements:</b>					
Grants to local governments	165	261	228	457	1,111
State operations	0	0	0	0	0
General State charges	0	0	0	0	0
Debt service	0	0	0	0	0
Capital projects	950	1,125	1,250	1,051	4,376
<b>Total disbursements</b>	<u>1,115</u>	<u>1,386</u>	<u>1,478</u>	<u>1,508</u>	<u>5,487</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	87	116	168	82	453
Transfers to other funds	(136)	(249)	(180)	(295)	(860)
Bond and note proceeds	0	0	0	351	351
<b>Net other financing sources (uses)</b>	<u>(49)</u>	<u>(133)</u>	<u>(12)</u>	<u>138</u>	<u>(56)</u>
<b>Change in fund balance</b>	<u>3</u>	<u>(164)</u>	<u>(32)</u>	<u>254</u>	<u>61</u>
<b>Closing fund balance</b>	<u>(590)</u>	<u>(754)</u>	<u>(786)</u>	<u>(532)</u>	<u>(532)</u>

**CASH FLOW  
DEBT SERVICE FUNDS  
2006-2007  
(millions of dollars)**

	<b>First Quarter (Projected)</b>	<b>Second Quarter (Projected)</b>	<b>Third Quarter (Projected)</b>	<b>Fourth Quarter (Projected)</b>	<b>Total (Projected)</b>
<b>Opening fund balance</b>	<u>190</u>	<u>255</u>	<u>248</u>	<u>337</u>	<u>190</u>
<b>Receipts:</b>					
Taxes	3,320	2,496	1,924	3,179	10,919
Miscellaneous receipts	166	166	166	167	665
Federal grants	0	0	0	0	0
<b>Total receipts</b>	<u>3,486</u>	<u>2,662</u>	<u>2,090</u>	<u>3,346</u>	<u>11,584</u>
<b>Disbursements:</b>					
Grants to local governments	0	0	0	0	0
State operations	11	24	18	12	65
General State charges	0	0	0	0	0
Debt service	812	956	1,041	1,309	4,118
Capital projects	0	0	0	0	0
<b>Total disbursements</b>	<u>823</u>	<u>980</u>	<u>1,059</u>	<u>1,321</u>	<u>4,183</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	1,379	1,334	1,440	1,197	5,350
Transfers to other funds	(3,977)	(3,023)	(2,382)	(3,362)	(12,744)
Bond and note proceeds	0	0	0	0	0
<b>Net other financing sources (uses)</b>	<u>(2,598)</u>	<u>(1,689)</u>	<u>(942)</u>	<u>(2,165)</u>	<u>(7,394)</u>
<b>Change in fund balance</b>	<u>65</u>	<u>(7)</u>	<u>89</u>	<u>(140)</u>	<u>7</u>
<b>Closing fund balance</b>	<u>255</u>	<u>248</u>	<u>337</u>	<u>197</u>	<u>197</u>

# FINANCIAL PLAN

**CASH COMBINING STATEMENT  
GENERAL FUND  
2005-2006**  
(millions of dollars)

	General Fund	Tax Stabilization Reserve Fund	Contingency Reserve Fund (Projected)	Community Projects Fund	State Employees Victim's Fund	Personal Income Tax Reserve Fund	Fringe Benefit Escrow Fund	Eliminations	Total
<b>Opening fund balance</b>	0	872	21	325	0	1,328	0	0	2,546
<b>Receipts:</b>									
Taxes	35,331	0	0	0	0	0	0	0	35,331
Miscellaneous receipts	2,591	0	0	0	0	0	902	(902)	2,591
Federal Grants	9	0	0	0	0	0	0	0	9
<b>Total receipts</b>	<b>37,931</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>902</b>	<b>(902)</b>	<b>37,931</b>
<b>Disbursements:</b>									
Grants to local governments	31,946	0	0	139	0	0	0	0	32,085
State operations	8,244	0	0	0	2	0	62	(62)	8,246
General State charges	4,003	0	0	0	0	0	840	(840)	4,003
Debt service	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<b>44,193</b>	<b>0</b>	<b>0</b>	<b>139</b>	<b>2</b>	<b>0</b>	<b>902</b>	<b>(902)</b>	<b>44,334</b>
<b>Other financing sources (uses):</b>									
Transfers from other funds	11,327	73	0	100	2	0	0	(1,503)	9,999
Transfers to other funds	(3,074)	0	0	0	0	(1,328)	0	1,503	(2,899)
Bond and note proceeds	0	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	<b>8,253</b>	<b>73</b>	<b>0</b>	<b>100</b>	<b>2</b>	<b>(1,328)</b>	<b>0</b>	<b>0</b>	<b>7,100</b>
<b>Change in fund balance</b>	<b>1,991</b>	<b>73</b>	<b>0</b>	<b>(39)</b>	<b>0</b>	<b>(1,328)</b>	<b>0</b>	<b>0</b>	<b>697</b>
<b>Closing fund balance</b>	<b>1,991</b>	<b>945</b>	<b>21</b>	<b>286</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,243</b>

**CASH COMBINING STATEMENT  
GENERAL FUND  
2006-2007  
(millions of dollars)**

	General Fund	Tax Stabilization Reserve Fund	Contingency Reserve Fund (Projected)	Community Projects Fund	State Employees Victim's Fund	Personal Income Tax Reserve Fund	Escrow Fund	Benefit Fund	Eliminations	Total
<b>Opening fund balance</b>	1,991	945	21	286	0	0	0	0	0	3,243
<b>Receipts:</b>										
Taxes	37,363	0	0	0	0	0	0	0	0	37,363
Miscellaneous receipts	2,708	0	0	0	0	0	945	(945)	0	2,708
Federal grants	9	0	0	0	0	0	0	0	0	9
<b>Total receipts</b>	40,080	0	0	0	0	0	945	(945)	0	40,080
<b>Disbursements:</b>										
Grants to local governments	33,505	0	0	150	0	0	0	0	0	33,655
State operations	8,886	0	0	0	2	0	65	(65)	0	8,888
General State charges	4,344	0	0	0	0	0	880	(880)	0	4,344
Debt service	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	46,735	0	0	150	2	0	945	(945)	0	46,887
<b>Other financing sources (uses):</b>										
Transfers from other funds	10,086	0	0	100	2	0	0	(102)	0	10,086
Transfers to other funds	(2,853)	0	0	0	0	0	0	102	0	(2,751)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	7,233	0	0	100	2	0	0	0	0	7,335
<b>Change in fund balance</b>	578	0	0	(50)	0	0	0	0	0	528
<b>Closing fund balance</b>	2,569	945	21	236	0	0	0	0	0	3,771

# FINANCIAL PLAN

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2005-2006  
(thousands of dollars)**

	019	020	023	024	025	050	052	053	054	055
<b>Opening Fund Balance</b>	2,133	26,004	5,966	234	45	1,041	13,253	0	9,711	0
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	3,219,000	0	0
Miscellaneous Receipts	400	33,919	12,980	100	250	2,952	10,131	0	0	150
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	400	33,919	12,980	100	250	2,952	10,131	3,219,000	0	150
<b>Disbursements:</b>										
Grants to Local Governments	0	4,640	11,000	0	0	0	9,526	3,219,000	0	0
State Operations	400	43,688	1,380	680	250	3,005	2,632	0	0	0
General State Charges	0	2,346	276	69	0	540	1,051	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	1,000	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	400	51,674	12,656	749	250	3,545	13,209	3,219,000	0	0
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	17,015	0	300	0	0	0	0	0	0
Transfers to Other Funds	0	(25)	0	(20)	0	0	(715)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	16,990	0	280	0	0	(715)	0	0	0
<b>Change in Fund Balance</b>	0	(765)	324	(369)	0	(593)	(3,793)	0	0	150
<b>Closing Fund Balance</b>	2,133	25,239	6,290	(135)	45	448	9,460	0	9,711	150

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2005-2006  
(thousands of dollars)**

	<u>059</u>	<u>061</u>	<u>068</u>	<u>073</u>	<u>160</u>	<u>221</u>	<u>261</u>	<u>265</u>	<u>267</u>	<u>269</u>
<b>Opening Fund Balance</b>	17	208,400	27,294	63,555	40,105	11,802	(2,877)	(257,247)	1,001	1,526
<b>Receipts:</b>										
Taxes	0	570,000	0	642,390	0	0	0	0	0	0
Miscellaneous Receipts	0	5,689,494	0	8,300	2,294,071	28,400	98,008	(62,274)	12	1,711
Federal Grants	0	0	0	0	0	650	1,348,240	28,066,257	3,162,253	689,541
<b>Total Receipts</b>	0	6,259,494	0	650,690	2,294,071	29,050	1,446,248	28,003,983	3,162,265	691,252
<b>Disbursements:</b>										
Grants to Local Governments	0	4,991,551	0	658,003	2,282,000	0	1,358,582	24,258,080	2,614,610	605,986
State Operations	0	55,827	0	0	177,264	29,400	52,720	292,288	356,489	61,849
General State Charges	0	4,692	0	0	11,572	0	9,551	52,877	35,659	15,617
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	0	5,052,070	0	658,003	2,470,836	29,400	1,420,853	24,603,245	3,006,758	683,452
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	44,800	0	0	312,500	0	0	0	0	0
Transfers to Other Funds	0	(6,500)	0	0	0	0	(25,395)	(3,400,738)	(155,507)	(7,800)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	38,300	0	0	312,500	0	(25,395)	(3,400,738)	(155,507)	(7,800)
<b>Change in Fund Balance</b>	0	1,245,724	0	(7,313)	135,735	(350)	0	0	0	0
<b>Closing Fund Balance</b>	17	1,454,124	27,294	56,242	175,840	11,452	(2,877)	(257,247)	1,001	1,526

# FINANCIAL PLAN

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2005-2006**  
(thousands of dollars)

	290	300	301	302	303	305	306	307	313	314	314
<b>Opening Fund Balance</b>	61,082	(596)	42,958	20,252	22,131	19,418	6,987	415	157,782		11,975
<b>Receipts:</b>											
Taxes	0	0	0	0	0	0	0	0	1,531,190	0	0
Miscellaneous Receipts	14,521	6,168	92,358	41,889	55,795	38,057	5,750	48	1,300	51,708	0
Federal Grants	873,603	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	888,124	6,168	92,358	41,889	55,795	38,057	5,750	48	1,532,490	51,708	0
<b>Disbursements:</b>											
Grants to Local Governments	582,892	0	0	0	0	200	0	0	1,607,889	0	0
State Operations	246,118	4,817	73,985	29,067	30,372	29,858	7,378	20	4,311	32,135	0
General State Charges	40,253	1,580	13,785	13,003	3,974	8,828	98	0	1,628	10,690	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	647	0	0	0	0	0	0	0	0	0	427
<b>Total Disbursements</b>	869,910	6,397	87,770	42,070	34,346	38,886	7,476	20	1,613,828	43,252	0
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	500	0	8,970	1,300	14,124	0	1,000	0	40,700	0	0
Transfers to Other Funds	(18,714)	0	(22,964)	(3,637)	(29,893)	(3,000)	0	0	0	(13,855)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	(18,214)	0	(13,994)	(2,337)	(15,769)	(3,000)	1,000	0	40,700	(13,855)	0
<b>Change in Fund Balance</b>	0	(229)	(9,406)	(2,518)	5,680	(3,829)	(726)	28	(40,638)	(5,399)	0
<b>Closing Fund Balance</b>	61,082	(825)	33,552	17,734	27,811	15,589	6,261	443	117,144	6,576	0

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2005-2006  
(thousands of dollars)**

	<b>318</b>	<b>321</b>	<b>332</b>	<b>333</b>	<b>338</b>	<b>339</b>	<b>340</b>	<b>341</b>	<b>345</b>	<b>346</b>
<b>Opening Fund Balance</b>	57	6,520	4,634	1,197	614	909,324	17,572	530	423,018	6,127
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	1,719	1,561	200	125	2,034,358	450	350	2,522,279	4,500
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	0	1,719	1,561	200	125	2,034,358	450	350	2,522,279	4,500
<b>Disbursements:</b>										
Grants to Local Governments	0	0	1,500	0	200	2,055,958	120,515	0	0	4,500
State Operations	0	950	58	200	0	3,269,744	1,820	350	2,732,761	0
General State Charges	0	0	3	0	0	282,839	350	0	200,865	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	250	0	0	0	0
<b>Total Disbursements</b>	0	950	1,561	200	200	5,608,791	122,685	350	2,933,626	4,500
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	0	3,883,299	106,019	0	465,237	0
Transfers to Other Funds	0	0	0	0	0	(396,102)	(1,356)	0	(108,177)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	0	0	0	3,487,197	104,663	0	357,060	0
<b>Change in Fund Balance</b>	0	769	0	0	(75)	(87,236)	(17,572)	0	(54,287)	0
<b>Closing Fund Balance</b>	57	7,289	4,634	1,197	539	822,088	0	530	368,731	6,127

# FINANCIAL PLAN

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2005-2006  
(thousands of dollars)**

	<b>349</b>	<b>354</b>	<b>355</b>	<b>359</b>	<b>360</b>	<b>362</b>	<b>365</b>	<b>366</b>	<b>368</b>	<b>369</b>
<b>Opening Fund Balance</b>	276	18,282	3,400	52	11,815	0	145	(331)	(6,040)	(7,827)
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1,208	65,000	300	3,709	1,100	3,431	133	6,093	0	0
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	1,208	65,000	300	3,709	1,100	3,431	133	6,093	0	0
<b>Disbursements:</b>										
Grants to Local Governments	0	5,229	300	0	3,000	0	0	0	0	0
State Operations	991	60,640	138	613	0	3,818	113	4,752	19,808	14,040
General State Charges	311	31	35	0	0	0	0	1,042	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	1,302	65,900	473	613	3,000	3,818	113	5,794	19,808	14,040
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	0	0	0	0	22,079	15,208
Transfers to Other Funds	0	0	0	0	0	(1,250)	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	0	0	0	(1,250)	0	0	22,079	15,208
<b>Change in Fund Balance</b>	(94)	(900)	(173)	3,096	(1,900)	(1,637)	20	299	2,271	1,168
<b>Closing Fund Balance</b>	182	17,382	3,227	3,148	9,915	(1,637)	165	(32)	(3,769)	(6,659)

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2005-2006  
(thousands of dollars)**

	377	385	390	480	482	484	486	Other	Sub-Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	69,149	204	12,066	24,389	5,191	9,467	(4,028)	0	2,000,170	0	2,000,170
<b>Receipts:</b>											
Taxes	0	0	0	0	0	0	0	0	5,962,580	0	5,962,580
Miscellaneous Receipts	120,000	200	43,000	3,000	10,000	0	0	3	13,248,917	0	13,248,917
Federal Grants	0	0	0	315,381	0	25,000	247,489	(300,000)	34,428,414	0	34,428,414
<b>Total Receipts</b>	120,000	200	43,000	318,381	10,000	25,000	247,489	(299,997)	53,639,911	0	53,639,911
<b>Disbursements:</b>											
Grants to Local Governments	0	0	56,000	0	0	0	240,404	(299,993)	44,391,572	0	44,391,572
State Operations	120,000	200	25,000	262,886	8,069	25,000	7,085	5,001	8,099,970	0	8,099,970
General State Charges	0	0	0	55,495	1,776	0	0	1	770,837	0	770,837
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	2,324	0	2,324
<b>Total Disbursements</b>	120,000	200	81,000	318,381	9,845	25,000	247,489	(294,991)	53,264,703	0	53,264,703
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	0	40,000	0	0	0	0	0	4,973,051	(1,193,239)	3,779,812
Transfers to Other Funds	0	0	0	0	(2,000)	0	0	0	(4,197,648)	1,193,239	(3,004,409)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	40,000	0	(2,000)	0	0	0	775,403	0	775,403
<b>Change in Fund Balance</b>	0	0	2,000	0	(1,845)	0	0	(5,006)	1,150,611	0	1,150,611
<b>Closing Fund Balance</b>	69,149	204	14,066	24,389	3,346	9,467	(4,028)	(5,006)	3,150,781	0	3,150,781

# FINANCIAL PLAN

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2006-2007  
(thousands of dollars)**

	<u>019</u>	<u>020</u>	<u>023</u>	<u>024</u>	<u>025</u>	<u>050</u>	<u>052</u>	<u>053</u>	<u>054</u>	<u>055</u>
<b>Opening Fund Balance</b>	2,133	25,239	6,290	(135)	45	448	9,460	0	9,711	150
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	3,368,000	0	0
Miscellaneous Receipts	400	34,630	13,000	100	600	3,052	10,131	0	0	150
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	400	34,630	13,000	100	600	3,052	10,131	3,368,000	0	150
<b>Disbursements:</b>										
Grants to Local Governments	0	4,090	13,000	0	0	0	9,526	3,368,000	0	0
State Operations	400	46,637	1,449	685	600	2,568	2,709	0	0	0
General State Charges	0	2,485	294	72	0	596	1,112	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	1,000	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	400	54,212	14,743	757	600	3,164	13,347	3,368,000	0	0
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	18,915	0	300	638	0	0	0	0	0
Transfers to Other Funds	0	0	0	(20)	0	0	(736)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	18,915	0	280	638	0	(736)	0	0	0
<b>Change in Fund Balance</b>	0	(667)	(1,743)	(377)	638	(112)	(3,952)	0	0	150
<b>Closing Fund Balance</b>	2,133	24,572	4,547	(512)	683	336	5,508	0	9,711	300

CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2006-2007  
(thousands of dollars)

	059	061	068	073	160	221	261	265	267	269
<b>Opening Fund Balance</b>	17	1,454,124	27,294	56,242	175,840	11,452	(2,877)	(257,247)	1,001	1,526
<b>Receipts:</b>										
Taxes	0	983,000	0	671,877	0	0	0	0	0	0
Miscellaneous Receipts	0	3,212,100	0	15,300	2,618,071	29,100	98,536	(61,118)	17	2,623
Federal Grants	0	0	0	0	0	650	1,385,413	27,616,764	3,199,835	694,569
<b>Total Receipts</b>	0	4,195,100	0	687,177	2,618,071	29,750	1,483,949	27,555,646	3,199,852	697,192
<b>Disbursements:</b>										
Grants to Local Governments	0	5,150,394	0	691,165	2,397,500	0	1,390,160	24,141,480	2,637,253	612,411
State Operations	0	84,623	0	0	182,365	30,100	53,504	327,021	371,018	62,568
General State Charges	0	5,025	0	0	12,450	0	14,878	69,110	35,665	14,393
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	0	5,240,042	0	691,165	2,592,315	30,100	1,458,542	24,537,611	3,043,936	689,392
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	100,000	0	0	0	0	0
Transfers to Other Funds	0	(139,000)	0	0	0	0	(25,407)	(3,018,035)	(155,916)	(7,800)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	(139,000)	0	0	100,000	0	(25,407)	(3,018,035)	(155,916)	(7,800)
<b>Change in Fund Balance</b>	0	(1,183,942)	0	(3,988)	125,756	(350)	0	0	0	0
<b>Closing Fund Balance</b>	17	270,182	27,294	52,254	301,596	11,102	(2,877)	(257,247)	1,001	1,526

# FINANCIAL PLAN

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2006-2007**  
(thousands of dollars)

	<b>290</b>	<b>300</b>	<b>301</b>	<b>302</b>	<b>303</b>	<b>305</b>	<b>306</b>	<b>307</b>	<b>313</b>	<b>314</b>
<b>Opening Fund Balance</b>	61,082	(825)	33,552	17,734	27,811	15,589	6,261	443	117,144	6,576
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	1,585,600	0
Miscellaneous Receipts	11,850	6,168	97,066	42,851	55,795	39,700	7,500	48	1,350	57,808
Federal Grants	1,140,428	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>1,152,278</b>	<b>6,168</b>	<b>97,066</b>	<b>42,851</b>	<b>55,795</b>	<b>39,700</b>	<b>7,500</b>	<b>48</b>	<b>1,586,950</b>	<b>57,808</b>
<b>Disbursements:</b>										
Grants to Local Governments	849,933	0	1,700	0	0	200	0	0	1,552,172	0
State Operations	245,044	4,859	82,967	30,906	31,125	30,646	8,899	20	4,535	39,520
General State Charges	41,259	1,708	17,186	14,147	4,270	9,241	98	0	1,683	13,485
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	990	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>1,137,226</b>	<b>6,567</b>	<b>101,853</b>	<b>45,053</b>	<b>35,395</b>	<b>40,087</b>	<b>8,997</b>	<b>20</b>	<b>1,558,390</b>	<b>53,005</b>
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	500	0	8,970	1,300	14,124	0	1,000	0	26,750	0
Transfers to Other Funds	(15,552)	0	(19,114)	(3,637)	(29,893)	(3,000)	0	0	0	(420)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>(15,052)</b>	<b>0</b>	<b>(10,144)</b>	<b>(2,337)</b>	<b>(15,769)</b>	<b>(3,000)</b>	<b>1,000</b>	<b>0</b>	<b>26,750</b>	<b>(420)</b>
<b>Change in Fund Balance</b>	<b>0</b>	<b>(389)</b>	<b>(14,931)</b>	<b>(4,539)</b>	<b>4,631</b>	<b>(3,387)</b>	<b>(497)</b>	<b>28</b>	<b>55,310</b>	<b>4,383</b>
<b>Closing Fund Balance</b>	<b>61,082</b>	<b>(1,224)</b>	<b>18,621</b>	<b>13,195</b>	<b>32,442</b>	<b>12,202</b>	<b>5,764</b>	<b>471</b>	<b>172,454</b>	<b>10,959</b>

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2006-2007**  
(thousands of dollars)

	<b>318</b>	<b>321</b>	<b>332</b>	<b>333</b>	<b>338</b>	<b>339</b>	<b>340</b>	<b>341</b>	<b>345</b>	<b>346</b>
<b>Opening Fund Balance</b>	57	7,289	4,634	1,197	539	822,088	0	530	368,731	6,127
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	1,719	1,561	200	125	2,297,128	450	340	2,649,963	4,500
Federal Grants	0	0	0	0	0	4,633	0	0	0	0
<b>Total Receipts</b>	0	1,719	1,561	200	125	2,301,761	450	340	2,649,963	4,500
<b>Disbursements:</b>										
Grants to Local Governments	0	0	1,500	0	200	1,975,411	120,000	0	0	4,500
State Operations	0	950	58	200	0	3,339,416	1,936	340	2,829,481	0
General State Charges	0	0	3	0	0	306,820	350	0	210,900	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	500	0	0	0	0
<b>Total Disbursements</b>	0	950	1,561	200	200	5,622,147	122,286	340	3,040,381	4,500
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	0	3,496,816	123,086	0	467,747	0
Transfers to Other Funds	0	0	0	0	0	(424,058)	(1,381)	0	(115,916)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	0	0	0	3,072,758	121,705	0	351,831	0
<b>Change in Fund Balance</b>	0	769	0	0	(75)	(247,628)	(131)	0	(38,587)	0
<b>Closing Fund Balance</b>	57	8,058	4,634	1,197	464	574,460	(131)	530	330,144	6,127

# FINANCIAL PLAN

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2006-2007**  
(thousands of dollars)

	<b>349</b>	<b>354</b>	<b>355</b>	<b>359</b>	<b>360</b>	<b>362</b>	<b>365</b>	<b>366</b>	<b>368</b>	<b>369</b>
<b>Opening Fund Balance</b>	182	17,382	3,227	3,148	9,915	(1,637)	165	(32)	(3,769)	(6,659)
<b>Receipts:</b>										
Taxes		0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1,208	63,000	300	3,709	1,100	3,431	133	5,699	0	0
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	1,208	63,000	300	3,709	1,100	3,431	133	5,699	0	0
<b>Disbursements:</b>										
Grants to Local Governments	0	5,229	300	0	3,000	0	0	0	0	0
State Operations	1,007	60,240	142	613	0	3,493	113	4,805	20,844	14,951
General State Charges	329	31	38	0	0	0	0	1,059	4,500	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	1,336	65,500	480	613	3,000	3,493	113	5,864	25,344	14,951
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	0	0	0	0	19,808	15,250
Transfers to Other Funds	0	0	0	0	0	(1,250)	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	0	0	0	(1,250)	0	0	19,808	15,250
<b>Change in Fund Balance</b>	(128)	(2,500)	(180)	3,096	(1,900)	(1,312)	20	(165)	(5,536)	299
<b>Closing Fund Balance</b>	54	14,882	3,047	6,244	8,015	(2,949)	185	(197)	(9,305)	(6,360)

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
2006-2007  
(thousands of dollars)**

	<b>377</b>	<b>385</b>	<b>390</b>	<b>480</b>	<b>482</b>	<b>484</b>	<b>486</b>	<b>Other</b>	<b>Sub Total</b>	<b>Eliminations</b>	<b>Financial Plan</b>
<b>Opening Fund Balance</b>	69,149	204	14,066	24,389	3,346	9,467	(4,028)	(5,006)	3,150,781	0	3,150,781
<b>Receipts:</b>											
Taxes	0	0	0	0	0	0	0	0	6,608,477	0	6,608,477
Miscellaneous Receipts	120,000	200	44,500	3,000	10,000	0	0	0	11,509,094	0	11,509,094
Federal Grants	0	0	0	323,024	0	25,000	247,489	(300,000)	34,337,805	0	34,337,805
<b>Total Receipts</b>	120,000	200	44,500	326,024	10,000	25,000	247,489	(300,000)	52,455,376	0	52,455,376
<b>Disbursements:</b>											
Grants to Local Governments	0	0	57,000	0	0	0	240,404	(300,000)	44,926,528	0	44,926,528
State Operations	120,000	200	25,000	268,140	8,274	25,000	7,085	20,322	8,397,398	0	8,397,398
General State Charges	0	0	0	57,884	1,798	0	0	17,082	859,951	0	859,951
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	2,490	0	2,490
<b>Total Disbursements</b>	120,000	200	82,000	326,024	10,072	25,000	247,489	(262,596)	54,186,367	0	54,186,367
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	0	40,000	6,000	0	0	0	0	4,341,204	(765,630)	3,575,574
Transfers to Other Funds	0	0	0	0	0	0	0	0	(3,961,135)	765,630	(3,195,505)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	40,000	6,000	0	0	0	0	380,069	0	380,069
<b>Change in Fund Balance</b>	0	0	2,500	6,000	(72)	0	0	(37,404)	(1,350,922)	0	(1,350,922)
<b>Closing Fund Balance</b>	69,149	204	16,566	30,389	3,274	9,467	(4,028)	(42,410)	1,799,859	0	1,799,859

# FINANCIAL PLAN

**CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)  
2005-2006  
(thousands of dollars)**

Agency Acronym	Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
OMH	019.00-Ment Hyg Gifts	1,343		275			275			275					275	1,343
OMRDD	019.00-Ment Hyg Gifts	789		125			125			125					125	789
FP RESRV	020.00-Combined Exp Tr	(58)					14,440			14,440					14,440	(58)
PARKS	020.01-Planting Fields	1,370		475		14,440	475		210	210	10	70			500	1,345
LEGIS	020.03-Chambers Restor	1														1
AG&MKTS	020.06-Animal Disease	51		51			51			51					51	51
DOCS	020.20-DOCS Gift & Don	60		10			10			70					70	60
HLTH OTH	020.22-Helen Hayes Hsp	56		3			3			3					3	56
HLTH OTH	020.23-Oxford Donation	69		22			22			28					28	63
HLTH OTH	020.25-Donat-SIAIbans	2		2			2			2					2	2
CVB	020.28-CVB Gifts & Beq	8		5			5			9					9	4
DCJS	020.29-DCJS - MUNY Pol	78		30			30			55					55	53
HLTH OTH	020.30-Donations-Batav	8		10			10			10					10	8
HLTH OTH	020.33-Montrose Donati	9														9
OMRDD	020.36-IBR Genetic Cou	1		50			50			50					50	1
HLTH OTH	020.3A-Tech Transfer	37		20			20			20					20	37
OGS	020.49-Spec Events	300		603			603		50	500	2	5			557	346
DM & NA	020.62-L.M. Josephthal	46		3			3			2					2	47
HLTH OTH	020.63-RPMI Grnt & Beq	33								2					2	33
SUNY	020.64-S. UJ Restric Cur	1,165		16,694			16,694		4,267	10,573	148	1,976		16,964	895	1,666
CFS	020.69-CBVH Veng Stand	1,619		1,300			1,300		44	1,000		209		1,253	1,666	1
HLTH OTH	020.76-RPMI Schellkpr	1														1
DM & NA	020.77-DMINA Military	10		1			1			1					1	10
CFS	020.78-WB Hoyt Memoria	1,514		1,000			1,000			700				700	1,814	1
CFS	020.79-CBVH Gift & Beq	92		3			3			11				11	84	84
BANKING	020.82-St. Transm Money	5,666		7,500			7,500			7,000				7,000	6,166	6
DHR	020.83-Human Rights Dis	6		2			2			2					2	6
CFS	020.87-Gifts, Grants &	285		200		25	225			200				200	310	2
HLTH OTH	020.8A-Alzheimers Dis	447		250		250	250			400				400	297	6
STATE	020.8B-Local Gov Comm	93		130			130			100				100	123	2
HLTH OTH	020.8H-Prostate Testic	79		79			150			80				80	149	2
STATE	020.8J-Emergency Serv	2,416		2,920		150	4,420		110	4		55		4,803	2,033	3
SED OTH	020.8K-Rome-Charlot	369		20		1,500	20			25				25	364	10
SED OTH	020.83-Rome-Gifts And	10		20			20			20					20	10
CFS	020.84-DFY Rec. & Welfr	25		2			2			2					27	2
OASAS	020.88-DAAA Grnts And	(2)														(2)
HLTH OTH	020.8D-BR Can Res & Ed	3,960		0		650	650			1,000				1,000	3,610	102
EXEC CHM	020.8E-Community Relat	2		100			100			0					107	107
ADVOCAT	020.8I-Disab Tech Asst	107														(3)
CQCPAD	020.81-Disab Tech Asst	824		155			155		48	84	2	24		158	276	64
DCJS	020.81-Missing Children	14		277			277		225	600		0		825	276	3
DM & NA	020.E5-DMINA Youth Prog	150		350			350			300				300	150	150
OGS	020.F1-Women Vet Monum	17														3
HLTH OTH	020.FF-Ford Foundation	3								10					10	17
OPDV	020.GB-Grants and Bequ	17		10			10			29					60	120
SED OTH	020.GC-TBBL GeruueCa	5								50					50	5
CFS	020.GW-CCF Grts & Beqs	120		60			60		23	29	1	7		60	120	5
OMH	020.HH-OWH Grant & Beq	478		50			50			50					50	478
PARKS	020.MG-Misc. Gifts Acc	2,800		1,000			1,000			1,000			1,000		1,000	2,800
PAROLE	020.PM-Parole Ofcr Mem	37		0			0			37					37	37

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)  
2005-2006  
(thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
HLTH OTH	020.PR-Prostate Cancer	9														9
DMV	020.PT-Percy T Phillip	14														14
AGING	020.RP-Aging Grants An	(1)														(1)
CIV SVC	020.RW-RW Johnson Foun	(5)														(5)
SED OTH	020.XK-Grants Account	1,353		29			29			65					65	1,317
ARTS	020.ZG-Art Grants			500			500			500					500	
LABOR	020.ZL-Empire Adv Fid			0			0			300					300	
OSC	020.ZS-Grants	37		300			300	10							300	37
HUD RIVR	020.ZV-Misc. Gifts Acc	198		10			10								10	198
TADA OTH	020.ZZ-Nutrition Outre	5,968						11,000	595	765	20	276			12,656	6,292
IOLA	023.00-N Y Int Lawyers	235		100		300	400		202	470	8	69		20	769	(134)
ARCHIVES	024.00-NYS Archive Ptnr	45		250			250			250					250	45
LABOR	025.CP-Child Performer	426		870			870			900					900	396
SED OTH	050.01-Tuition Reimb	613		2,082			2,082		1,191	874	40	540			2,645	50
SED OTH	050.02-Prop Voe Sch Su	13,251		10,131			10,131	9,526	2,128	433	71	1,051		715	13,924	9,458
STAR	052.01-Loc Govt Record		3,219,000				3,219,000	3,219,000							3,219,000	
SED OTH	053.00-Sch Tax Relief	9,712		150		0	150			0						9,712
DOB	054.01-Chtr Sch Sit Ac	(202)														(52)
HUD RIVR	055.01-Not For Profit	(1)														(1)
GREENHR	056.01-Greenway Commu	(1)														(1)
DOCS	056.02-Greenway Herit	17														17
HLTH OTH	059.01-Alcohol&Subst. A	437														437
HLTH OTH	061.01-Tobacco Cntr &	3,338						121,300	1,171	790	39	0			2,000	(1,963)
HLTH OTH	061.02-Health Care Siv	190		0					148	372		67			121,300	(117,962)
HLTH OTH	061.03-Medicaid Fraud	193,179				44,800	44,800		883	2,611		399			587	(397)
HLTH OTH	061.04-Medical Assist.	8,090						2,093,050							3,893	234,086
MED ASST	061.04-Medical Assist.	3,167		0				71,950	15,860	3,740					2,093,050	(2,084,960)
AGING	061.05-Enhanced Com		570,000					2,000							90,950	(87,783)
HLTH OTH	061.06-LTC Ins Res Acc			0				952,660	0						2,000	(2,000)
HLTH OTH	061.07-HCRA Program							1,100							952,660	(952,660)
HLTH OTH	061.22-EMS Training							1,100	2,113	14,133		957			18,303	(18,303)
HLTH OTH	061.29-Child Health In							336,444	2,118	4,800		979			344,341	(344,341)
HLTH OTH	061.99-HCRA Undistrib			2,385,794			2,385,794	50	(50)					6,500	2,379,294	2,379,294
MED ASST	061.99-HCRA Undistrib			3,303,700			3,303,700								3,303,700	3,303,700
UTFC	061.99-HCRA Undistrib															570,000
HLTH OTH	061.AF-Hospital Based							17,300							17,300	(17,300)
HLTH OTH	061.BO-Primary Care In							(1,400)	367	219		166			(646)	648
HLTH OTH	061.DN-Prov Coll Monit								1,826	574		827			3,227	(3,227)
HLTH OTH	061.H3-Pilot Health In								976	397		334			1,707	(1,707)
HLTH OTH	061.IN-Indigent Care							855,800							855,800	(855,800)
HLTH OTH	061.J6-EPIC Premium							538,400							538,400	(538,400)
HLTH OTH	061.K3-Cat Hlth Care E								1,299	176		467			452	(452)
HLTH OTH	061.LB-Health Occup De							3,497	272	(132)		363			1,942	(1,942)
HLTH OTH	061.LE-Matrn & Ch HIV								390	142		133			4,000	(4,000)
DSS	068.01-Dispro Sh Med	1,254,799									141				806	(806)
MED ASST	068.01-Dispro Sh Med	(1,227,505)														1,254,799
BTPBT	073.01-Transit Authori	540,769	288,410				288,410	508,529							508,529	(1,227,505)
DOT	073.01-Transit Authori	(871,265)		6,200			6,200									829,179
UTFMF	073.01-Transit Authori	168,238	85,456				85,456								508,529	(1,373,594)
																253,694

# FINANCIAL PLAN

**CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)  
2005-2006  
(thousands of dollars)**

Agency Acronym	Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
UTFMV	073.01-Transit Authori	189,317	127,843				127,843								89,758	317,160
BTBPT	073.02-Railroad Account	95,430	50,910				50,910									146,340
DOT	073.02-Railroad Account	(153,750)		1,100			1,100	89,758								(242,408)
UTFMF	073.02-Railroad Account	29,689	15,076				15,076									44,765
UTFMV	073.02-Railroad Account	33,409	22,562				22,562									55,971
BTBPT	073.03-Deed Mass Trans	56,135	29,990				29,990									86,125
DOT	073.03-Deed Mass Trans	(61,525)		1,000			1,000	59,716								(120,241)
UTFMF	073.03-Deed Mass Trans	17,464	8,873				8,873									26,337
UTFMV	073.03-Deed Mass Trans	19,646	13,270				13,270									32,916
SED GSPS	160.03-Education - New		1,946,500			108,000	2,054,500	2,054,500	17,805	145,026	583	8,222			2,054,500	40,726
LOTTERY	160.04-State Lottery	41,991	170,371				170,371		7,255	6,358	237	3,350			171,636	(1,888)
LOTTERY	160.05-VLT - Admin	(1,888)													17,200	137,000
SED GSPS	160.06-VLT - Education		17,200			204,500	364,500	227,500		29,400					29,400	11,452
SUNY	221.00-Comb Student Ln	11,802	28,400		650		29,050									3,647
EFC	300.01-E F C Admin Acc	34	3,368				3,368		2,659		488					(245)
ENCON	300.02-Encon Admin Acc	(633)	2,800				2,800		2,250	(586)	1,112				2,750	(583)
ENCON	301.00-Encon Special R	15,695	10,091				10,091		5,673	249	1,967			1,184	10,843	14,943
ENCON	301.12-Encon-Seized As	227	20				20			77					77	170
ENCON	301.48-Wst Tire Mgr/Re	17,335	25,500				25,500			17,000	0	0		13,950	30,950	11,885
ENCON	301.49-Oil & Gas Accou	148	45				45		0	150	0	0			150	43
ENCON	301.52-Marine/Coastal	10	10				10									20
ENCON	301.52-Marine/Coastal	2,282	114				114		3,106	5,000	106	1,548			9,760	1,606
ENCON	301.52-Marine/Coastal	41	377			8,970	9,084		265	106	9	132		100	612	(194)
ENCON	301.52-Marine/Coastal	971	24				24			0	8	17		100	125	870
ENCON	301.52-Marine/Coastal	1	4,350				4,350		2,230	1,049	85	986		4,850	4,350	1
ENCON	301.52-Marine/Coastal	(4,063)	1,900				1,900		887	315	31	381		330	1,924	(4,087)
ERDA	301.K5-Low Level Radio	66														
HLTH OTH	301.K5-Low Level Radio	140	560				560		420	42	85	163			700	66
ENCON	301.K6-Recreation Acco	2,832	12,000				12,000		7,587	4,118	254	20		11,979	2,853	
ENCON	301.R9-SEQOR Review	(43)	1				1			1				1	(43)	
ENCON	301.S4-Encon Magazine	(635)	556				56		(150)	0	0	0		(294)	215	
ENCON	301.S5-Environment Enf	3,513	24,000				24,000		12,764	2,953	389	6,460		27,366	147	
ENCON	301.S6-Natural Resourc	2,233	6,000				6,000		4,505	927	100	1,243		6,775	1,458	
ENCON	301.S7-Town Of Riverhe	17														
ENCON	301.TV-All Terrain Veh		2,500				2,500							2,500	2,500	
ENCON	301.W8-UST-Trust Recov	72	1,900				1,900		395	(253)		138		2,800	1,692	
ENCON	301.XB-Mined Land Recd	2,128	2,410				2,410		1,494	352	50	740		2,636	1,902	
ENCON	301.ZY-Forestry Prod		0				0			0						
ENCON	302.00-Conservation	3,023	39,000			1,300	40,300		20,528	6,550	3	12,551		41,969	1,354	
ENCON	302.02-Marine Resource	4,041	1,500				1,500		884	774	30	437		2,125	3,416	
ENCON	302.03-Migratory Bird	244	10				10			85				85	169	
ENCON	302.04-License Guide	212	55				55		50	0	1	14		65	202	
ENCON	302.06-Fish And Game T	12,206	1,150				1,150							1,300	12,056	
ENCON	302.07-Surr Clam/Quano	382	90				90			48	1			63	409	
ENCON	302.08-Habitat Account	145	70				70		14	100	115			100	115	
ENCON	302.09-Venison Donatio		14				14								14	
OSG	303.01-Oil Spill - DAC	(14,678)				705	800		421	185	17	177		800	(14,678)	
HLTH OTH	303.02-Oil Sp Relocain	6	95			301	301		137	36	38	57		268	39	
ENCON	303.03-Oil Spill - DEC	3,124				13,118	13,118		7,574	1,213	251	3,740		14,847	1,395	
ENCON	303.04-Oil Spill Compe	18,930	42,000				42,000			20,500				14,124	34,624	

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)  
2005-2006  
(thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
ENCON	303.05-License Fee Sur	14,753		13,700			13,700		19,515	9,681	662	8,828		13,700	13,700	14,753
LABOR	305.00-OSHA-Trng&Ed Oc	19,080		37,957			37,957							3,000	41,686	15,351
HLTH OTH	305.01-OSH Trng & Educ	337		100			100	200							200	237
JUDICIAR	306.01-Client Protectn	6,985		5,750		1,000	6,750		379	6,999		88			7,476	6,259
CF-S	307.01-Equip Loan Fund	412		48			48			20					20	440
BTBPT	313.01-Pub Tran Systems	119,805	64,350				64,350									184,155
DOT	313.01-Pub Tran Systems	(110,930)						67,402	1,186	487	43	582			69,700	(160,630)
BTBAT	313.02-Metro Mass Tran	144,227	175,000				175,000								319,227	
BTCT	313.02-Metro Mass Tran	470,824	349,400				349,400								820,224	
BTCT	313.02-Metro Mass Tran	360,884	168,700				168,700								529,584	
BTIRT	313.02-Metro Mass Tran	201,602	95,000				95,000								296,602	
BTBPT	313.02-Metro Mass Tran	146,428	78,640				78,640								225,068	
DOT	313.02-Metro Mass Tran	(2,005,155)		1,300		1,300	2,600	1,501,087	2,193	325	77	1,046			1,504,728	(3,507,283)
UTFSA	313.02-Metro Mass Tran	830,140	600,100				600,100								1,430,240	
DOT	313.03-Urban Mass Tran	91														91
DOT	313.06-Add Mass Trns	64						39,400							39,400	64
ENCON	314.01-Operating Permit	40		13,500		38,400	13,500		8,317	3,348	280	4,131		350	16,426	(2,886)
DMV	314.02-Mobile Source	11,932		38,208			38,208		14,494	5,205	491	6,569	427	13,505	40,681	9,459
DHCR	318.01-Housing Reserve	58														58
LEGIS	321.01-Legisl Comp R&D	6,477		1,717			1,717			950					950	7,244
LEGIS	321.02-Demographics/Re	42		2			2			6					6	44
ST POLIC	332.01-Ennummr Award	50		6			6			1					7	50
SED OTH	332.02-William Vorce F	232							8	42	1	3			232	232
PARKS	332.03-Rocky Pocantico	17		54			54			1					54	17
OMRDD	332.04-OMR Nonexpnd Tr			1			1								1	
PARKS	332.05-Rocketeller Tru	3,000														3,000
HLTH OTH	332.08-Helen Hayes Hos	15						1,500								15
OMRDD	332.09-ICF/HCBS Loan	1,220		1,500			1,500								1,500	1,220
SED OTH	332.10-Cunningham Fund	100														100
ORDA	333.00-Wintr Sports Ed	1,196		200			200			200					200	1,196
ARTS	338.01-Arts Capital Re	613		125			125	200							200	538
JUDICIAR	340.00-CFIA Undistrib	17,572		460		106,019	106,469	120,515	1,634	186		350		1,356	124,041	529
CF-S	341.04-DFY-NYC Summer	529		350			350		87	263					350	695
SUNY	345.09-L Vels Home	6,631		32,220			32,220		19,240	12,660					31,900	38,970
SUNY	345.10-S U Genl IFR	24,970		460,000		24,150	484,150		108,000	362,260				22,400	492,860	244,253
SUNY	345.10-S U Genl IFR	252,763														(77,497)
SUNY	345.11-S U Inc Offset	(147,668)		1,600		16,580	18,180									(129,408)
SUNY	345.12-Gen Rev Offset	(183,602)							855,675	133,310				90,000	90,000	(273,602)
SUNY	345.12-Gen Rev Offset	192		1,118,281		(87,100)	1,031,181							42,256	1,031,241	132
SUNY	345.22-S U Hosp Ops	(382,996)		899,353		465,551	1,364,904		613,400	492,000		200,865		43,521	1,349,786	(310,796)
SUNY	345.22-S U Hosp Ops	52,703														67,821
SUNY	345.31-SUNY Stabilizat	32,647														32,647
SUNY	345.31-SUNY Stabilizat	23,162		9		15,300	15,309		500	24,000				72,200	24,500	13,971
SUNY	345.46-S U Hosp Sponsd	69,342														(2,868)
SUNY	345.46-S U Hosp Sponsd	137,991		25,816		(72,200)	(46,384)		22,400	2,000				(76,000)	67,200	137,991
SUNY	345.47-SUNY Tuition Re	546,771		(15,000)		102,956	87,956		39,616	47,700					(76,000)	622,771
SUNY	345.47-SUNY Tuition Re	67,525														68,165
SUNY	345.97-Bridge Program	2		4,500			4,500	4,500							4,500	2
OASAS	346.00-Subst/Abuse Srv	6,130														6,130

# FINANCIAL PLAN

**CASH COMBINING STATEMENT BY ACCOUNT**  
**SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)**  
 2005-2006  
 (thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
STATE	349.01-Lk George Park	276		1,208			1,208		639	331	21	311			1,302	182
DCJS	354.01-MVTIFA	11,262	4,700				4,700	5,229	200	40		31			5,600	10,462
ST POLIC	354.02-St Police Mv En	7,021	60,300				60,300		55,300	5,100					60,400	6,921
ENCON	355.01-Great Lakes Pro	3,398	300				300	300	71	65	2	35			473	3,225
TADA OTH	359.01-Revenue Maximiz	1,577														1,577
TADA OTH	359.02-Local Maximizat	33														33
HLTH OTH	359.03-DOH Fed Rev Max	(1,556)	3,709				3,709	3,000		613					613	1,538
DHCR	360.00-Housing Develop	11,817	1,100				1,100								3,000	9,917
DOT	362.01-DOT Comm Veh Sa	9,648	363				363							1,250	1,250	8,761
ST POLIC	362.01-DOT Comm Veh Sa	(9,649)	3,068				3,068		3,391	427		0			3,818	(10,399)
SED OTH	365.01-Vocatl Rehabil	144	133				133			113					113	164
EFC	366.01-Drinking Water	61	1,499				1,499		1,247	226		121			1,594	(34)
HLTH OTH	366.02-Drink Water DOH	(394)	4,594				4,594		2,819	460		921			4,200	
JUDICIAR	368.01-NYCCC Operat Of	(6,036)				22,079	22,079		16,605	3,203		0			19,808	(3,767)
JUDICIAR	369.01-Jud Data Proc O	(7,828)				15,208	15,208		14,040						14,040	(6,660)
CUNY	377.ZX-CUNY Tuitt Reim	38,423	30,000				30,000		22,800	7,200					30,000	38,423
CUNY	377.ZY-CUNY Inc Reimb	36,216	90,000				90,000		68,400	21,600					90,000	36,216
RACING	379.00-Racing Preserva	(1)														(1)
ORDA	385.01-Lk Placid Train	203	200				200			200					200	203
JUDICIAR	390.01-Indigent Legal	(25,000)				40,000	40,000	56,000	4,861	3,175	33	1,776		2,000	25,000	(60,000)
OSC	390.01-Indigent Legal	37,066	43,000				43,000		5,001	(1)					56,000	64,066
LABOR	482.01-Ul Sp Int & Pen	5,191	10,000				10,000								11,846	3,346
SPEC REV	SRO.00-SRO Account	(527)	3				3	1		(1)	1			0	5,003	(5,527)
<b>Grand Total</b>		<b>1,262,271</b>	<b>5,962,660</b>	<b>11,169,561</b>	<b>650</b>	<b>1,069,252</b>	<b>18,212,063</b>	<b>12,975,054</b>	<b>2,037,217</b>	<b>1,483,977</b>	<b>4,597</b>	<b>278,546</b>	<b>1,427</b>	<b>193,392</b>	<b>16,974,210</b>	<b>2,500,124</b>

**CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)  
2006-2007  
(thousands of dollars)**

Agency Acronym	Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
OMH	019.00-Ment Hyg Gifts	1,343		275			275			275					275	1,343
OMRDD	019.00-Ment Hyg Gifts	789		125			125			125					125	789
FP RESRV	020.00-Combined Exp Tr	(58)				16,515	16,515			16,515					16,515	(58)
PARKS	020.01-Planting Fields	1,345		475			475		210	210	10	70			500	1,320
LEGIS	020.03-Chambers Restor	1														1
AG&MKTS	020.06-Animal Disease	51		51			51			51					51	51
DOCS	020.20-DOCS Gift & Don	10		10			10			10					10	10
HLTH OTH	020.22-Heien Hayes Hsp	56		3			3			3					3	56
HLTH OTH	020.23-Oxford Donation	63		22			22			22					28	57
HLTH OTH	020.25-Donat-St-Albans			2			2			2					2	2
CVB	020.28-CVB Gifts & Beq	4		5			5			5					9	9
DCJS	020.29-DCJS - MUNY Pol	53		30			30			55					55	28
HLTH OTH	020.30-Donations-Batav	8		10			10			10					10	8
HLTH OTH	020.33-Montrose Donati	9														9
OMRDD	020.36-IBR Genetic Cou	1														1
HLTH OTH	020.3A-Tech Transfer	37		20			20		50	50		5			20	37
OMRDD	020.36-IBR Genetic Cou	346		603			603			500	2				557	392
DM & NA	020.62-L.M. Josephthal	47		3			3			2					2	48
HLTH OTH	020.63-RPMI Grit & Beq	33														33
SUNY	020.64-S U Restrict Cur	895		17,138			17,138		4,334	10,816	152	2,109			17,411	622
CFS	020.69-CBVH Vend Stand	1,666		1,300			1,300		46	1,000		209			1,255	1,711
HLTH OTH	020.76-RPMI Schoellkpf	1														1
DM & NA	020.77-DMNA Military	10		1			1			1					1	10
CFS	020.78-WB Hoyt Memoria	1,814		1,000			1,000			700					700	2,114
CFS	020.79-CBVH Gift & Beq	84		3			3			11					11	76
BANKING	020.82-St. Transm Money	6,166		8,000			8,000			8,000					8,000	6,166
DHR	020.83-Human Rights Dis	6		2			2			2					2	6
CFS	020.A7-Gifts, Grants &	310		202			202			202					202	310
HLTH OTH	020.AA-Alzheimers Dis	297				250	250			400					400	147
STATE	020.AB-Local Gov Comm	123		130			130			100					100	153
HLTH OTH	020.AH-Prostate/Testic	149								80					80	69
STATE	020.AU-Emergency Serv	2,033		2,688		1,500	4,188	4,080	115	4	4	59			4,262	1,959
SED OTH	020.B1-Batavia-Charlot	364		20			20			25					25	359
SED OTH	020.B3-Rome-Gifts And	10		20			20			20					20	10
CFS	020.B4-DFY Rec & Welfr			0						0						
OASAS	020.B8-DAAA Grnts And	(2)								1,000					1,000	(2)
HLTH OTH	020.BD-Br Can Res & Ed	3,610		0		650	650			0						3,260
EXEC CHM	020.CE-Community Relat	102		100			100									202
ADVOCAT	020.D1-Disab Tech Asst	107														107
COCAPD	020.D1-Disab Tech Asst	(3)		155			155		49	83	2	26			160	(8)
DCJS	020.E1-Missing Children	276		277			277		225	250		0			475	78
DM & NA	020.E5-DMNA Youth Prog	64		350			350			300					300	114
OGS	020.F1-Women Vet Monum	150														150
HLTH OTH	020.FF-Ford Foundation	3								10					10	3
OPDV	020.GB-Grants and Bequ	17		10			10									17
SED OTH	020.GC-TBBL GertrudeCa	5														5
CFS	020.GW-CCF Grts & Beqs	120		60			60		23	29	1	7			60	120
OMH	020.HH-OMH Grant & Beq	478		50			50			50					50	478
PARKS	020.MG-Misc. Gifts Acc	2,800		1,000			1,000						1,000		1,000	2,800
PAROLE	020.PM-Parole Ofcr Mem			0												

# FINANCIAL PLAN

**CASH COMBINING STATEMENT BY ACCOUNT**  
**SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)**  
 2006-2007  
 (thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
HLTH OTH	020.PR-Prostate Cancer	9														9
DMV	020.PT-Percy T Phillip	14														14
AGING	020.RP-Aging Grants An	(5)		1			1								1	(5)
CIV/SVC	020.RW-RW Johnson Foun	1,317		29			29			65					65	1,281
SED OTH	020.XK-Grants Account			500			500			500					500	
ARTS	020.ZG-Art Grants			0			0									
LABOR	020.ZL-Empire Adv Fid	37		300			300			300					300	37
OSC	020.ZS-Grants			10			10								10	
HUD RIVR	020.ZV-Misc. Gifts Acc	198														198
TADA OTH	020.ZZ-Nutrition Outre	6,292		13,000			13,000	13,000	619	808	22	294			14,743	4,549
IOLA	023.00-N Y Int Lawyers	(134)		100		300	400	207	207	470	8	72		20	777	(511)
ARCHIVES	024.00-NYS Archivs Pine	45		600		638	1,238			600					600	683
LABOR	025.CP-Child Performer	396		870			870			415					415	851
SED OTH	050.01-Tuition Reimb	50		2,182			2,182		1,227	883	43	596			2,749	(517)
SED OTH	050.02-Prop Voc Sch Su	9,458		10,131			10,131	9,526	2,194	443	72	1,112		736	14,083	5,506
SED OTH	052.01-Loc Govt Record		3,368,000				3,368,000	3,368,000							3,368,000	
STAR	053.00-Sch Tax Relief	9,712														9,712
SED OTH	054.01-Chtr Sch Stl Ac	(52)		150		0	150			0				0		98
DOB	055.01-Not For Profit	(1)														(1)
HUD RIVR	056.01-Greenway Commun	(1)														(1)
GREENHR	056.02-Greenway Herit	17														17
DOCS	059.01-Alcohol&Subst A	(1,563)							1,217	744	39	0			2,000	(3,563)
HLTH OTH	061.01-Tobacco Cntr &	(117,962)						134,150		29,000					163,150	(281,112)
HLTH OTH	061.02-Health Care Srv	(397)		0			0		153	385		75			613	(1,010)
HLTH OTH	061.03-Medicaid Fraud	234,086							909	2,711		424			4,044	230,042
HLTH OTH	061.04-Medical Assist.	(2,084,960)		0			0	2,007,800		3,740					2,007,800	(4,092,760)
MED ASST	061.05-Enhanced Com	(87,783)		0			0	72,550		15,860					92,150	(179,933)
AGING	061.06-LTC Ins Res Acc	(2,000)		0			0	3,000		50					3,050	(5,050)
HLTH OTH	061.07-HCRA Program	(952,660)						1,127,803							1,127,803	(2,080,463)
HLTH OTH	061.22-EMS Training	(18,303)							(224)	16,466		1,058			17,300	(35,603)
HLTH OTH	061.29-Child Health In	(344,341)						357,144	2,180	4,664		1,060			365,048	(709,389)
HLTH OTH	061.99-HCRA Undistrib	2,379,294		1,070,500		0	1,070,500	50	(50)					139,000	3,310,794	5,445,300
MED ASST	061.99-HCRA Undistrib	3,303,700	983,000	2,141,600		0	2,141,600								139,000	5,445,300
UTFCT	061.99-HCRA Undistrib	570,000					983,000									1,553,000
HLTH OTH	061.AF-Hospital Based	(17,300)						22,000							22,000	(39,300)
HLTH OTH	061.BO-Primary Care In	648							373	219		168			760	(112)
HLTH OTH	061.DN-Priv Coll Monit	(3,227)							1,877	599		915			3,391	(6,618)
HLTH OTH	061.H3-Pilot Health In	(1,707)							1,000	397		345			1,742	(3,449)
MED ASST	061.IN-Indigent Care	(855,800)						840,800							840,800	(1,696,600)
HLTH OTH	061.J6-EPIC Premium	(538,400)						581,600							581,600	(1,120,000)
HLTH OTH	061.K3-Cat Hlth Care E	(452)								0						(452)
HLTH OTH	061.LB-Health Occup De	(1,942)							1,320	176		482			1,978	(3,920)
HLTH OTH	061.LC-Matern & Ch HIV	(4,000)						3,497	272	(132)		363			4,000	(8,000)
HLTH OTH	061.LE-Health Care Del	(806)							395	142	141	135			813	(1,619)
DSS	068.01-Dispro Sh Med	1,254,799														1,254,799
MED ASST	068.01-Dispro Sh Med	(1,227,505)														(1,227,505)
BTPBT	073.01-Transit Authori	829,179														1,130,679
DOT	073.01-Transit Authori	(1,373,594)	301,500	11,800			301,500	539,600							539,600	(1,901,394)
UTFMF	073.01-Transit Authori	253,694	86,054				86,054									339,748

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)  
2006-2007  
(thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
UTFMW	073.01-Transit Authori	317,160	137,269				137,269									454,429
BITPB	073.02-Railroad Account	146,340	53,230				53,230									199,570
DOT	073.02-Railroad Account	(242,408)		2,100			2,100	95,200							95,200	(335,508)
UTFMF	073.02-Railroad Account	44,765	15,170				15,170									59,935
UTFMW	073.02-Railroad Account	55,971	24,227				24,227									80,198
BITPB	073.03-Ded Mass Trans	86,125	31,270				31,270									117,395
DOT	073.03-Ded Mass Trans	(120,241)		1,400			1,400	56,365							56,365	(175,206)
UTFMF	073.03-Ded Mass Trans	26,337	8,910				8,910									35,247
UTFMW	073.03-Ded Mass Trans	32,916	14,247				14,247									47,163
SED GSPS	160.03-Education - New		2,072,500				2,072,500	2,072,500						2,072,500		2,072,500
LOTTERY	160.04-State Lottery	40,726	170,371				170,371		18,260	149,903	607	8,845			177,615	33,482
LOTTERY	160.05-VLT - Admin	(1,888)	17,200				17,200		7,442	5,906	247	3,605			17,200	(1,888)
SED GSPS	160.06-VLT - Education	137,000	358,000			100,000	458,000	325,000							325,000	270,000
SUNY	221.00-Comb Student Ln	11,452	29,750		650		29,750			30,100					30,100	11,102
EFC	300.01-E F C Admin Acc	(245)	3,368				3,368		2,774	532		511			3,817	(694)
ENCON	300.02-Encon Admin Acc	(583)	2,800				2,800		2,326	(747)	(26)	1,197			2,750	(533)
ENCON	301.00-Encon Special R	14,943	10,091				10,091		5,785	1,770	249	2,019		1,184	11,007	14,027
ENCON	301.12-Encon-Seized As	170	20				20			79					79	111
ENCON	301.48-Wst Tire Mgr/Re	11,885	25,500				25,500			20,000				12,000	32,000	5,385
ENCON	301.49-Oil & Gas Accou	43	45				45		22	9	1	11			43	45
ENCON	301.52-Mariner/Coastal	20	10				10								30	30
ENCON	301.60-Indirect Charge	1,606	234			8,970	9,204		3,172	5,140	107	1,648			10,067	743
ENCON	301.67-Hazardous Sub B	(194)	377				377		272	108	9	142			531	(348)
ENCON	301.68-S-Area Landfill	870	24				24			0	8	17			25	869
ENCON	301.H4-Utility Envir R	1	5,050				5,050		2,295	1,602	85	1,068			5,050	1
ENCON	301.K5-Low Level Radio	(4,087)	1,948				1,948		894	329	31	418		330	2,002	(4,141)
ERDA	301.K5-Low Level Radio	66														66
HLTH OTH	301.K5-Low Level Radio		700				700		420	42	85	153			700	
ENCON	301.K6-Recreation Acco	2,853	12,000				12,000		7,822	4,213	255	30			12,320	2,533
ENCON	301.R9-SEQOR Review	(43)	1				1			1					1	(43)
ENCON	301.S4-Encon Magazine	215	556				556		0	440	0	0			440	331
ENCON	301.S5-Environment Enf	147	27,700				27,700		15,185	3,085	500	8,334		4,800	31,904	(4,057)
ENCON	301.S6-Natural Resourc	1,458	6,000				6,000		4,619	2,094	154	2,411			9,278	(1,820)
ENCON	301.S7-Town Of Riverhe	17														17
ENCON	301.TV-All Terrain Veh		2,500				2,500	1,700						800	2,500	
ENCON	301.W8-UST-Trust Recov	1,692	1,900				1,900		406	(270)		144			280	3,312
ENCON	301.XB-Mined Land Recl	1,902	2,410				2,410		1,538	360	51	791			2,740	1,572
ENCON	301.ZY-Forestry Prod		0				0			0						
ENCON	302.00-Conservation	1,354	40,001			1,300	41,301		28,017	525	345	13,664		2,337	44,888	(2,233)
ENCON	302.02-Marine Resource	3,416	1,500				1,500		910	792	30	467			2,199	2,717
ENCON	302.03-Migratory Bird	169	10				10			85				85	94	
ENCON	302.04-License Guide	202	55				55		40	11	1	15			67	190
ENCON	302.06-Fish And Game T	12,056	1,150				1,150			48				1,300	1,300	11,906
ENCON	302.07-Surf Clam/Quaho	409	65				65		2	48		1			51	423
ENCON	302.08-Habitat Account	115	70				70			100				100	85	
ENCON	302.09-Venison Donatio	14														14
OSC	303.01-Oil Spill - DAC	(14,678)	95			705	800		437	153	17	193			800	(14,678)
ENCON	303.02-Oil Sp Relocatin	39				301	301		141	36	38	63			278	62
ENCON	303.03-Oil Spill - DEC	1,395				13,118	13,118		7,837	1,241	253	4,014		2,069	15,414	(901)
ENCON	303.04-Oil Spill Compe	26,306	42,000				42,000			20,972				14,124	35,096	33,210

# FINANCIAL PLAN

**CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)  
2006-2007  
(thousands of dollars)**

Agency Acronym	Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
ENCON	303.05-License Fee Sur	14,753		13,700			13,700		19,661	10,297	688	9,241		13,700	13,700	14,753
LABOR	305.00-OSHA-Trng&Ed Oc	15,351		39,600			39,600							3,000	42,887	12,064
HLTH OTH	305.01-QSH Trng & Educ	237		100			100	200							200	137
JUDICIAR	306.01-Client Protectn	6,259		7,500		1,000	8,500		394	8,505		98			8,997	5,762
CFS	307.01-Equip Loan Fund	440		48			48			20					20	468
BTCT	313.01-Pub Tran Systems		23,000				23,000									23,000
BTPBT	313.01-Pub Tran Systems	184,155	67,034				67,034									251,189
DOT	313.01-Pub Tran Systems	(180,630)						90,602	1,305	498	46	614			93,065	(273,695)
BTBAT	313.02-Metro Mass Tran	319,227	109,100				109,100									428,327
BTCTF	313.02-Metro Mass Tran	820,224	378,400				378,400									1,198,624
BTCTU	313.02-Metro Mass Tran	529,384	146,800				146,800									676,184
BTIRT	313.02-Metro Mass Tran	296,602	98,200				98,200									394,802
BTPBT	313.02-Metro Mass Tran	225,068	81,866				81,866									306,934
DOT	313.02-Metro Mass Tran	(3,507,283)	1,350	1,350		1,350	2,700	1,436,170	2,274	332	80	1,069		1,439,925	(4,944,508)	
UTFSA	313.02-Metro Mass Tran	1,430,240	681,200				681,200									2,111,440
DOT	313.03-Urban Mass Tran	91														91
DOT	313.06-Add Mass Trans	64				25,400	25,400								25,400	64
ENCON	314.01-Operating Permit	(2,886)		19,600			19,600		8,520	3,425	282	4,394		350	16,971	(257)
DMV	314.02-Mobile Source	9,459	38,208				38,208		19,834	6,800	659	9,091	0	70	36,454	11,213
DHCR	318.01-Housing Reserve	58														58
LEGIS	321.01-Legisl Comp R&D	7,244		1,717			1,717			950					950	8,011
LEGIS	321.02-Demographics/Re	44		2			2									46
ST POLIC	332.01-Brummer Award	50		6			6			6					6	50
SED OTH	332.02-William Vorce F	232														232
PARKS	332.03-Rocky Pocantico	17		54			54		8	42	1	3		54	17	17
OMRDD	332.04-OMR Nonexpnd Tr			1			1									1
PARKS	332.05-Rocketfeller Tru	3,000														3,000
HLTH OTH	332.08-Helen Hayes Hos	15														15
OMRDD	332.09-ICF/HCBS Loan	1,220		1,500			1,500	1,500							1,500	1,220
SED OTH	332.10-Cunningham Fund	100														100
ORDA	333.00-Wintr Sports Ed	1,196		200			200			200					200	1,196
ARTS	338.01-Arts Capital Re	538		125			125	200							200	463
JUDICIAR	340-AA-CFIA Undistrib	529		450		123,086	123,536	120,000	1,746	190		350		1,381	123,667	(131)
CFS	341.04-DFY-NYC Summer	6,951		340			340		77	263					340	529
SUNY	345.09-L Vets Home	38,970		32,950			32,950		19,725	12,905					32,630	7,271
SPEC REV	345.10-S U Genl IFR	244,253		464,500			464,500		112,000	329,700				(15,000)	(15,000)	53,970
SUNY	345.11-S U Inc Offset	(77,497)		(4,500)			(4,500)									(77,497)
SUNY	345.11-S U Inc Offset	(129,408)														(129,408)
SPEC REV	345.12-Gen Rev Offset	(273,602)		1,204,375		(89,100)	1,115,275		928,026	157,426				92,000	92,000	(365,602)
SUNY	345.12-Gen Rev Offset	(310,796)		943,188		409,976	1,353,164		637,900	503,300	210,900			29,823	1,115,275	132
SUNY	345.22-S U Hosp Ops	67,821		9			9							54,093	1,406,193	14,792
SUNY	345.31-SUNY Stabilizat	32,647													32,647	32,647
SUNY	345.31-SUNY Stabilizat	13,971								13,900					13,900	80
SPEC REV	345.46-S U Hosp Sponsd	(2,858)		26,441			26,441		23,300	2,046					25,346	(2,858)
SUNY	345.46-S U Hosp Sponsd	62,771		(17,000)			(17,000)							(77,000)	(77,000)	68,302
SUNY	345.47-SUNY Tuition Re	68,165				106,823	89,823		40,453	48,800					89,253	68,735
SUNY	345.47-SUNY Tuition Re															
SUNY	345.97-Bridge Program															

CASH COMBINING STATEMENT BY ACCOUNT  
 SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)  
 2006-2007  
 (thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
OASAS	346.00-Subst Abuse Srv	6,130		4,500			4,500	4,500							4,500	6,130
STATE	349.01-Lk George Park	182		1,208			1,208		652	334	21	329			1,336	54
DCJS	354.01-MV/TIFA	10,462		4,700			4,700	5,229	200	40		31			5,500	9,662
ST POLIC	354.02-St Police Mv En	6,921		58,300			58,300		54,900	5,100					60,000	5,221
ENCON	355.01-Great Lakes Pro	3,225		300			300	300	74	66	2	38			480	3,045
TADA OTH	359.01-Revenue Maximiz	1,577														1,577
TADA OTH	359.02-Local Maximizat	33														33
HLTH OTH	359.03-DOH Fed Rev Max	1,538		3,709			3,709	3,000		613					613	4,634
DHCR	360.00-Housing Develop	9,917		1,100			1,100								3,000	8,017
DOT	362.01-DOT Comm Veh Sa	8,761		363			363							1,250	1,250	7,874
ST POLIC	362.01-DOT Comm Veh Sa	(10,399)		3,068			3,068		3,056	437		0			3,493	(10,824)
SED OTH	365.01-Vocat Rehabil	164		133			133			113					113	184
EFC	366.01-Drinking Water	(34)		1,499			1,499		1,295	231		138			1,664	(199)
HLTH OTH	366.02-Drink Water DOH			4,200			4,200		2,819	460		921			4,200	
JUDICIAR	368.01-NYCCC Operat Of	(3,767)				19,808	19,808		17,644	3,200		4,500			25,344	(9,303)
JUDICIAR	369.01-Jud Data Proc O	(6,660)				15,250	15,250		14,951						14,951	(6,361)
CUNY	377 ZX-CUNY Tuitt Reim	38,423		30,000			30,000		22,800	7,200					30,000	38,423
CUNY	377 ZY-CUNY Inc Reimb	36,216		90,000			90,000		68,400	21,600					90,000	36,216
RACING	379.00-Racing Preserva	(1)														(1)
ORDA	385.01-Lk Placid Train	203		200			200			200					200	203
JUDICIAR	390.01-Indigent Legal	(50,000)								25,000					25,000	(75,000)
OSC	390.01-Indigent Legal	64,066		44,500		40,000	84,500	57,000	4,865	3,376	33	1,798			57,000	91,566
LABOR	482.01-UI Sp Int & Pen	3,346		10,000			10,000								10,072	3,274
SPEC REV	SRO.00-SRO Account	(5,527)							20,322			17,082			37,404	(42,931)
<b>Grand Total</b>		<b>2,500,124</b>	<b>6,608,477</b>	<b>9,157,058</b>	<b>650</b>	<b>837,888</b>	<b>16,604,073</b>	<b>13,379,476</b>	<b>2,172,448</b>	<b>1,520,779</b>	<b>5,355</b>	<b>319,942</b>	<b>1,000</b>	<b>314,367</b>	<b>17,713,367</b>	<b>1,390,830</b>

# FINANCIAL PLAN

**CASH COMBINING STATEMENT BY ACCOUNT**  
**MISCELLANEOUS SPECIAL REVENUE FUND (339)**  
**2005-2006**  
(thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
21CPS	339.CS-Provider Assess	13,962				14,932		6,884	3,753	233	3,114			13,984	13,962
ABC	339.DB-Alcohol Beverag	753			14,932	1,830		1,612	300	55	745		50	2,762	1,701
AG&MKTS	339.65-Farm Prod Insp-	2,497	1,830			1,830									1,565
AG&MKTS	339.68-Fngprmt ID Tec	2													2
AG&MKTS	339.99-Cons Food Indus	5,313	3,282			3,282		2,352	1,150	80	1,008		700	5,290	3,305
AG&MKTS	339.C3-Public Service	(371)	192			192		137	14	5	63			219	(398)
AG&MKTS	339.CZ-Plant Industry	726	468			468		253	145	9	117			524	670
AG&MKTS	339.LJ-Animal Populati	1,937	540			540		74	510	3	34			621	1,856
AG&MKTS	339.PD-Pet Dealer	66	60			60			60					60	66
AG&MKTS	339.R4-Motor Fuel Qual	2,504	2,805			2,805		1,117	1,600	38	516		450	3,721	1,588
AG&MKTS	339.R5-Weights Measure	301	333			333		217	120	7	100		10	454	180
AG&MKTS	339.XE-Wine Industry				3,500	3,500									3,500
AG&MKTS	339.XX-A&M-Aggregated	2,820	15,600		130	15,730		1,535	15,500	52	709		85	17,881	669
AG&MKTS	339.ZR-Milk Producers	(1)													(1)
BANKING	339.A5-Banking Deptmnt	17,911	78,690			78,690		40,200	16,951	1,363	18,186			76,700	19,901
BANKING	339.A9-Banking Seized	259	10			10			10					10	259
BANKING	339.DS-Settlement Erf	1,641	1,000			1,000			1,000					1,000	1,641
CFS	339.24-Child Care & Pr	322	115			115	437							437	
CFS	339.ZC-OHRD St Match	1,223	(3,000)		6,000	3,000			3,000				245	3,245	978
CFS	339.88-Train Mgmt Eval	4,116	3,200			3,200		2,282	1,632		586			4,500	2,816
CFS	339.AR-Fed Admin Reim	43,117	130		28,913	29,043		80,043	(1,000)				2,000	81,043	(8,883)
CFS	339.AY-Mult Agen Train	10,912	0		38,000	38,000		2,000	33,800		600		1,000	37,400	11,512
CFS	339.CX-Loc District Ca		38			38									38
CFS	339.CY-Central Registry	1,122	93			93		72	616					688	527
CFS	339.DA-DFY Energy Effi	462	9			9			50					50	(41)
CFS	339.FC-Fostr Care Savi	1,233	24			24									486
CFS	339.GC-Family Pres Svc		50			50	147							147	1,136
CFS	339.HI-Head Start Trng		75			75			75					75	
CFS	339.J2-Local Dist Trail	1,555	500			500			500					500	1,555
CFS	339.K1-Hwy Rev/Soc Sec	1,105	442			442			300					300	1,247
CFS	339.L4-OCFS Program	1,568	31		6,700	6,731		4,567	2,377					6,944	1,355
CFS	339.WK-SR-Connections		3,000			3,000	(12,000)		15,000					3,000	
CFS	339.YG-Family Literacy		150			150								150	
CIV SVC	339.EH-CS Marketing Ac	116											112	112	4
CIV SVC	339.ER-Exam & Misc Rev	2,346	1,350			1,350			1,000				1,275	2,275	1,421
CMIA	339.FL-Fed Liability	249													249
CPAR	339.PA-PA Governance				1,000	1,000		2,050			926			1,000	
CPB	339.C3-Public Service	(4,302)	3,356			3,356			337					3,379	(4,325)
CPB	339.F2-Cons Prot Act	109	136			136			100					100	145
CPB	339.RA-LIPA Reimburse		22			22								22	
CCCAPD	339.13-M H Patient Inc	(536)													(536)
CCCAPD	339.B9-CQC Conf Fee		5			5		0	92		39			131	(126)
CCCAPD	339.EC-OASAS Fedl Sal	843			2,700	2,700	439	1,973	299	73	899			3,683	(140)
CCCAPD	339.ZK-Telework Loan	7,747	43,101			43,101	23,962	45	25	6	22			98	(98)
CVB	339.62-Crim Jus Improv							26			12			24,000	26,848
CVB	339.E1-Crime Victims B	24	54			54			40					40	38
CVB	339.P5-CVB Restitution	395	404			404		155	180	8	47		2,200	390	409
DCJS	339.68-Fngprmt ID Tec	5,259	8,200			8,200	2,800		7,908					10,108	3,351
DCJS	339.CA-Crimes Against	5,000												2,800	2,200
DCJS	339.E2-Conference&Sign	89	60			60			65					65	84

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)  
 2005-2006  
 (thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
DCJS	339.1M-Leg Svcs Assist	11,456	9,038			9,038	1,800		100					1,800	18,694
DCJS	339.J7-Drug Enforce Ta	294	100			100								100	294
DCJS	339.K2-Equip Repair	(1)													(1)
DEF COMP	339.R7-Defer Comp Adm	(126)	590			590		320	186	11	145			662	(198)
DHCR	339.H2-DHCR Mortgage S	1,558	8,600			8,600		4,687	500	158	2,120			7,465	2,693
DHCR	339.J5-DHCR HCA Applic	2,903	453			453		651	300	142	182			1,275	2,081
DHCR	339.NG-Low Inc Housing	766	1,200			1,200		888		32	402			1,322	644
DHCR	339.RR-NYC Rent Rev	3,716	37,392			37,392		21,983	3,000	745	9,947			35,675	5,433
DHCR	339.S8-Rent Revenue	443	642			642		414		14	187			615	470
DHR	339.CV-Human Rights Cas	57	2			2			2					2	57
DHR	339.E4-Human Rights Ac	4	2			2			2					2	4
DM & NA	339.61-Radiology	498	3,300			3,300	1,650	1,103		36	511			3,300	498
DM & NA	339.AN-Disas Prep Conf	27	20			20			20					20	27
DM & NA	339.B2-DMNA-Seiz Asset	196	200			200			236					236	160
DM & NA	339.CE-Camp Smith Bill	116	253			253		129	115	5	24			273	96
DM & NA	339.E5-Armory Rental A	250	3,800			3,800		930	2,394		340			3,664	386
DM & NA	339.EP-Distance Learn	11													11
DM & NA	339.U2-Recruitment Inc	1,209	90		1,430	1,520			2,250					2,250	479
DMH	339.13-M H Patient Inc	(332)			325	325									(7)
DMV	339.09-DMV Seiz Assets	351	450			450			450			250		700	101
DMV	339.AE-Motorcycle Sfy	2,981	1,000			1,000		94	1,364	3	43		500	2,004	1,977
DMV	339.E9-Trat Adjudicath	(4,338)	40,982			40,982		19,363	9,967	656	8,762			38,748	(2,104)
DMV	339.GE-Federal-Seized	74													74
DMV	339.H7-DMV-Compulsory	8,490	30,700			30,700		8,560	4,239	290	3,873		16,270	33,232	5,958
DOB	339.CR-Reven Arrearage	120,937	22,400			22,400		3,028	13,934	13	150		1,000	18,125	125,212
DOB	339.CU-Spec Conserv Ac	2,722	0					0	0						2,722
DOB	339.FL-Fed Liability	(248)													(248)
DOB	339.ST-Systems & Tech		2,000			2,000			2,000					2,000	
DOCS	339.CT-Cell Phone Towe	131	100			100									231
DOCS	339.CU-Spec Conserv Ac		95			95									95
DOCS	339.PC-Food Prod Ctr	339	420			420			759					759	
DOCS	339.ZW-DOCS Asset For	2	5			5			7					7	
DOT	339.17-Tri St Reg Plan	(7,044)						4,204	4,467	189	1,962		500	10,822	(2,903)
DOT	339.42-Tr Surplus Prop	796	1,600		14,963	14,963			1,400					1,900	496
DOT	339.77-Tran Fees Perms	(1)													(1)
DOT	339.AJ-Regional Haulin	1													1
DOT	339.AQ-Rail Safety Ins	743	630			630		363	18	12	169			562	811
DOT	339.EE-Map Revenue	1													1
DOT	339.F1-Trans Regul Acc	2,477	5,065			5,065		3,373	865	117	1,596			5,951	1,591
DOT	339.G7-DOT-Accident Da	1,735	6,600			6,600		507	5,978	16	224			6,725	1,610
DOT	339.SS-DOT Sign Shop	5	0			0			0						5
DOT	339.T5-Trans Aviath	1,149	3,040			3,040		54	2,613	4	50			2,721	1,468

# FINANCIAL PLAN

**CASH COMBINING STATEMENT BY ACCOUNT**  
**MISCELLANEOUS SPECIAL REVENUE FUND (339)**  
 2005-2006  
 (thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
ECON DEV	339.46-World Univ Game	17											16	16	1
ECON DEV	339.91-MWBD Certificat	47	65			65			65					65	47
ECON DEV	339.A7-Econ Devel Asst	449	838			838			838				250	1,088	199
ECON DEV	339.C3-Public Service	(1,495)	871			871		383	335	13	140			871	(1,495)
ECON DEV	339.DO-DED Marketing A	411	2,006			2,006		74	1,903	2	27			2,006	411
ECON DEV	339.P4-Procure Op News	309	832			832			832					832	309
EFC	339.P6-EFC Corp Admin	(433)	1,587			1,587		1,270	200		225			1,695	(541)
ELECTION	339.J4-Voting Mach Exa	18													18
ERDA	339.60-Energy Research	(677)	14,656			14,656		1,441	486	65	662			14,656	(677)
FCB	339.15-Fin Cntrl Board		2,654			2,654								2,654	(1)
GSC	339.A2-IMMIA	(1)													(1)
HESC	339.85-Ins St.L Adm	8,883	150,300			150,300	57,300	35,559	42,093	1,126	16,087			152,165	7,018
HESC	339.EF-TAP Sys Redesgn	(1)													(1)
HESC	339.FA-Fin Aid Audit	(290)	520			520		279	143		94			516	(286)
HESC	339.KA-Primary Hlth Cr	(1)													(1)
HESC	339.VR-VRSS	1,243			2,000	2,000	2,000							2,000	(1)
HIGH ED	339.CO-College Savings	726	796			796		386	160	13	175			734	788
HLTH OTH	339.01-Adopt Info Regi	(1)	50			50		20	15		6			41	8
HLTH OTH	339.03-S P A R C S	(1,587)	5,103		2,464	7,567		2,904	1,648		1,494			6,046	(66)
HLTH OTH	339.20-Quality Care	4,689	0		70,267	70,267	7,288	25,105	35,139				15,000	82,532	(7,576)
HLTH OTH	339.21-Nurses Aide Reg	2,919	3,700			3,700		437	3,600		68		2,000	6,105	514
HLTH OTH	339.22-Emerg Med Svcs	4,222													4,222
HLTH OTH	339.26-Cert of Need	4,201	3,448			3,448		1,808	74	452	819		1,500	4,653	2,996
HLTH OTH	339.28-Retir Community	425	50			50			16					16	459
HLTH OTH	339.29-Child Hlth Ins	5,220													5,220
HLTH OTH	339.32-Ns Hm Receiptshp	2,832	25			25									2,857
HLTH OTH	339.35-3rd Party Hlth	373	1,250			1,250		812			403			1,215	408
HLTH OTH	339.44-Hosp & Nurs Mgt	196	17,404			17,404		14,060	1,770		48			15,878	1,722
HLTH OTH	339.81-Envir.Lab.Fee A	976	2,724			2,724		1,571	569	919	641			3,700	
HLTH OTH	339.86-Health Services	31	5,588			5,588							0		5,619
HLTH OTH	339.90-Clin Lab Refrmc	(15,420)	17,313			17,313		6,792	5,440	228	3,357			15,817	(13,924)
HLTH OTH	339.94-WIC C.V.L Monetry	4,340	2,050			2,050			3,000					3,000	3,390
HLTH OTH	339.95-Radio Hlth Prot	1,328	1,975			1,975		1,200	300	250	573			2,323	980
HLTH OTH	339.AF-Hosp Grants	2,451													2,451
HLTH OTH	339.AM-Hlth Care Advis	1													1
HLTH OTH	339.AP-Administration	7,037	15,894			15,894		6,404	1,694		268		3,500	11,866	11,065
HLTH OTH	339.AW-Spinal Injury	27,546	0		8,500	8,500		86	11,000		36			11,122	24,924
HLTH OTH	339.B4-Redon Detct Dev	240							9					9	231
HLTH OTH	339.BO-Primary Care In	352													352
HLTH OTH	339.BW-Asbestos Trning	100	400			400		275	24	40	125			464	36
HLTH OTH	339.C9-DSS Prov Recovs	1,140	3,700			3,700		3,700						3,700	1,140
HLTH OTH	339.DM-EAD Metallurgl	1	6			6									7
HLTH OTH	339.DN-Fines Penalties	138					1,000								138
HLTH OTH	339.ES-Eating Disorder	500	500			500			85	65	81			1,000	
HLTH OTH	339.FP-Funeral	1,704	627			627		179					1,500	1,910	421
HLTH OTH	339.GB-QAA Earned Rev	2,392	62			62		1,057			20			1,077	1,377
HLTH OTH	339.H3-Pilot Health In	607													607
HLTH OTH	339.H5-Triple Prescr F	3,056	120			120		0	196		0		2,000	2,196	980
HLTH OTH	339.H9-Prof Medic Cond	12,365	24,185			24,185		13,655	10,000		6,056			29,711	6,839

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)  
 2005-2006  
 (thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
HLTH OTH	339.J1-Loc Pub Hlth	3,856	950			950	1,500	150	60	12	65			1,787	3,019
HLTH OTH	339.J6-EPIC Premium Ac	11,792	263,716			263,716	261,400	1,289	11,721	600	498			275,508	
HLTH OTH	339.JA-Vital Rec Mgmt	2,867	3,903			3,903		1,130	285	250	335		2,000	4,000	2,770
HLTH OTH	339.K3-Catastrophic HI	300													300
HLTH OTH	339.L5-Adult Cyst Fibr (1)														(1)
HLTH OTH	339.LB-Health Occup De	1,064													1,064
HLTH OTH	339.LC-Matern Child Hiv	915													915
HLTH OTH	339.LE-Hlth Care Deliv	242													242
HLTH OTH	339.NH-Provider 900	4,665	1,000			1,000		500					2,500	3,000	2,665
HLTH OTH	339.Q2-Helen Hayes Hos	21,400	2,179		57,005	59,184		34,229	26,561		681			61,471	19,113
HLTH OTH	339.Q3-NYC Veterans	9,289	1,005		21,046	22,051		12,885	6,123		314			19,322	12,018
HLTH OTH	339.Q4-NYS Home-Vetera	1,745	1,124		14,795	15,919		13,030	3,315		351			16,696	968
HLTH OTH	339.Q5-WNY Vets Home	(168)	566		8,120	8,686		6,708	2,224		177			9,109	(591)
HLTH OTH	339.Q6-Montrose S V H	(21,745)	912		8,417	9,329		9,439	4,447		285			14,171	(26,587)
HLTH OTH	339.O8-DOH Hospital Ho	10,085			81,138	81,138							89,337	89,337	1,886
HLTH OTH	339.QC-Quality of Care	8	1,000			1,000			600					600	408
HLTH OTH	339.W4-Occ Hlth Clinic	3,546	5,000			5,000		220	5,000	50	100			5,370	3,176
HLTH OTH	339.W6-Chm Back Check		5,000			5,000			5,000					5,000	
HLTH OTH	339.WZ-Durable Medical		545			545		545						545	
HLTH OTH	339.X0-Disease Mgmt		5,000			5,000			5,000					5,000	
HLTH OTH	339.XS-AL Oversight		2,000			2,000		1,180	150					1,330	670
INSP GEN	339.11-Ins Genl Opems	926	26		1,300	1,326		677	265	37	496			1,475	777
INSP GEN	339.C3-Public Service	(25)	80			80			80					80	(25)
INSP GEN	339.IG-Insprtr Gmrl Sz	25													25
INSUR	339.AK-Ins Voucher Pro	2													2
INSUR	339.B6-Insurance Dept	32,240	177,472			177,472		62,209	85,011	2,109	28,143			177,472	32,240
INVEST	339.EK-Seized Assets	685	180			180			189					189	676
JUDIC/AR	339.AO-Manhattan Drug	854													854
JUDIC/AR	339.C4-Atty Licensing	15,282	20,500			20,500		15,845	6,229		3,250		1,000	26,324	9,458
JUDIC/AR	339.JD-Probim Salv Cou	52													52
JUDIC/AR	339.RE-Erie Co Fam Cou	14													14
LABOR	339.30-DOL Fee Penalty	7,789	12,335			12,335	(185)	6,336	1,281	215	2,866			10,513	9,611
LABOR	339.BA-Public Work Enf	1,109	2,021			2,021		900	228	30	421			1,579	1,551
LABOR	339.DZ-Interest Assess	19,205	35,000			35,000			26,372					26,372	27,833
LABOR	339.R9-Hazard Abatement	371	250			250	430						0	430	191
LABORMG	339.DX-NYS FLEX Spend	137	240			240			250					250	127
LAW	339.23-Seized Assets	615	50			50			25					25	640
LAW	339.AZ-Dept Law-Seized	5,902	5,200			5,200		5,133	5,133					5,133	5,969
LAW	339.DL-Medicaid Fraud	27,710	12,000			12,000		4,972	3,325	167	2,377			10,841	28,869
LAW	339.EB-Antitrust Enfor	(1)													(1)
LAW	339.LI-Litigation Sett	26,483	40,000			40,000		13,844	10,917	456	6,611			31,828	34,655
LEGIS	339.DK-Senate Recyclab	185	20			20									205
LEGIS	339.F6-Lc On Solid Was	26	1			1									27
LEGIS	339.Y7-Assembly Recyc	508	40			40									548
LOBBYING	339.27-Lobbying Enforc	958	400			400									818
MED ASST	339.JB-CHCCDP Transfer	54			474,000	474,000	474,000							474,000	54
MED ASST	339.YV-Provider Assess	27,848	462,200			462,200	463,200							463,200	26,848
NYSTAR	339.ZV-S T A Research	(19)													(19)

# FINANCIAL PLAN

**CASH COMBINING STATEMENT BY ACCOUNT**  
**MISCELLANEOUS SPECIAL REVENUE FUND (339)**  
**2005-2006**  
(thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
OASAS	339.13-M H Patient Inc	22,374			13,600	13,600		15,900						15,900	20,074
OASAS	339.51-Methadone Regis	144	250			250			250					250	144
OASAS	339.DF-Keep Kids Drug	2													2
OASAS	339.EC-OASAS Fedi Sal	406			7,600	7,600		7,600						7,600	406
OASAS	339.EJ-Credentia Srvs	58	833			833		557		19	257			833	58
OASAS	339.KB-Conference & Sp	13	14			14			14					14	13
OASAS	339.YO-Chemical Depend	25													25
OASAS	339.ZW-License Plate		40			40	40							40	
OER	339.BI-Trn Mlis Regist	45	20			20			94					94	(29)
OER	339.F9-OER NASDER	93	133			133			94					94	132
OFF TECH	339.B3-Critical Infrass	268													268
OGS	339.BU-Land Utilizatio	(1)													(1)
OGS	339.MC-Cuba Lake Mgmt	189	175			175			175					175	189
OGS	339.YL-OGS Bldg Admin	2,697	17,028			17,028		2,641	2,204	90	1,195		12,843	18,973	752
OGS	339.YN-OGS Std & Purch	2,768	4,315			4,315		843	2,207	28	381		2,000	5,459	1,624
OHS	339.25-Cyber Sec Upgr	288	412			412			412					412	288
OHS	339.C3-Public Service		467			467		1,943	3,423	66	879			6,311	(6,311)
OHS	339.XJ-Critical Infra								100					100	367
OMH	339.13-M H Patient Inc	(65,284)			575,601	575,601		575,926	1,100					575,926	(65,609)
OMH	339.ED-Cook/Chill Acco	225	1,100			1,100			1,100					1,100	225
OMH	339.H6-OMH-Research OH	52	4,619			4,619		164	4,455					4,619	52
OMH	339.JC-Cont Recov Acct	22													22
OMH	339.MH-Special MH Cour	1													1
OMH	339.MR-Medication Reim	26	1,600			1,600	1,500							1,500	126
OMRDD	339.05-OMRDD Provider	(20)	0		15,020	15,020	15,000							15,000	
OMRDD	339.10-MHPA OMR NPS	(1)													(1)
OMRDD	339.13-M H Patient Inc	(8,876)			1,965,095	1,965,095	1,100,000	772,000	78,095				15,000	1,965,095	(8,876)
OMRDD	339.AS-Quality Assuran	1													1
OMRDD	339.LG-OMRDD-Jt Clinic	31	20,000			20,000	20,000							20,000	31
OPDV	339.79-OPDV Training	35	10			10			20					20	25
ORPS	339.BK-Ind & Util Serv	632	3,403			3,403		2,000	100	70	910			3,080	955
ORPS	339.BZ-IMP R P Tax Adm	(364)	39,500			39,500		20,200	7,000	685	9,138		150	37,173	1,963
ORPS	339.G3-Local Services	105	1,200			1,200		550	180	25	255			1,010	295
OSC	339.13-M H Patient Inc	52,305													52,305
OSC	339.CJ-Payroll Sys Rep	(2,902)													(2,902)
OSC	339.DD-Unclaimed	10													10
OSC	339.DI-OSDC Finan Over	(878)	3,518		400	3,518		2,230	266	72	950		300	3,518	(878)
OWIG	339.VW-OWIG Adm Reimb	717				400		236	164					700	417
PARKS	339.36-Boating Noise L	28													28
PARKS	339.37-I Love NY Water	555													555
PARKS	339.39-I Love NY Water	543	1,450			1,450		36	1,470	2	18			1,526	467
PARKS	339.41-Snowmobile	2,850	6,982			6,982	5,600	136	521	6	80			6,343	3,489
PARKS	339.AV-Seized Assets	120	3			3			0						123
PARKS	339.C2-Jones Bch Theat	1													1
PARKS	339.C3-Public Service	(128)	69			69		50		2	17			69	(128)
PARKS	339.T2-OPR Patron Serv	3,942	58,052			58,052		23,565	33,418		2,634			59,617	2,377
PAROLE	339.BB-Asset Forfeitur	73	33			33			106					106	
PERB	339.93-Pub Emp Rel Brd	623	170			170		120	137				16	257	536
PUB SVC	339.02-Intervenor Acct	1,329	400			400	400							416	1,313
PUB SVC	339.A6-Cable TV Acct	4,400	3,612			3,612		2,072	513	70	957			3,612	4,400

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)  
 2005-2006  
 (thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
PUB SVC	339.C3-Public Service	60,678	68,353		600	68,953	600	36,742	13,179	1,419	17,013			68,953	60,678
PUB SVC	339.IA-COCOT	152	401			401							401	401	152
PUB SVC	339.US-Undrgrnd Sfty T	117	155			155							155	155	117
RACING	339.16-Reg of Racing	(3,108)	12,047		400	12,447		6,422	4,388	211	2,940			13,961	(4,622)
RACING	339.BJ-Bell Jar Collec	1,107	2,161			2,161		1,328	612	44	612		900	3,496	(228)
RACING	339.DT-Indian Gaming	(4,817)	7,240			7,240		4,012	1,239	132	1,853			7,236	(4,813)
RACING	339.TW-Statewide Gamin	459											16		459
SED OTH	339.31-Museum Ext Svcs	431	380			380		155	367	6	53			597	214
SED OTH	339.38-Summer Sch Arts	314	600		1,000	1,600			1,600					1,600	314
SED OTH	339.A3-Educatin Library	553	180			180			215					215	518
SED OTH	339.A4-Teacher Certif	1,994	6,100			6,100		2,915	2,029	99	1,319		516	6,878	1,216
SED OTH	339.AH-Indir Cost Reco	2,679			16,854	16,854		8,041	5,663		3,638			17,342	2,191
SED OTH	339.AI-High School Equ	168	176			176			223					223	121
SED OTH	339.D9-Batavia School	(7,607)	6,400		700	7,100		5,015	663	194	1,731			7,603	(8,110)
SED OTH	339.E3-Ofc of Professi	2,899	44,000			44,000		17,678	8,146	599	7,998		3,131	37,552	9,347
SED OTH	339.E6-Rome School	(3,121)	6,800		600	7,400		4,675	764	181	1,610			7,230	(2,951)
SED OTH	339.EN-Cultural Educat	40,312	47,617			47,617		16,136	12,756	946	8,563		4,698	43,099	44,830
SED OTH	339.G1-Educ Archives	216	115			115		60	34	2	20		6	122	209
SED OTH	339.S1-Medicaid Income	(133)	2,900			2,900		1,660	663	65	569			2,957	(190)
SED OTH	339.TM-Teacher Ed Accr	45	28			28			28					28	45
SPEC REV	339.00-State Special R	(1,332)	(638,596)		39,096	(599,500)	(400,000)	(100,000)	(99,500)	988	15,522			(599,500)	(1,332)
ST POLIC	339.08-NYS Tvy Police	1,086	51,691			51,691		35,672	(491)					51,691	1,086
ST POLIC	339.DT-Indian Gaming	(12,342)	10,000			10,000		8,558	1,419		3,917			13,894	(16,236)
ST POLIC	339.EB-Seized Assets	329	8,725		27,800	36,825		616	53,676		112			54,404	(17,550)
ST POLIC	339.TN-Training Academ	126	300			300			200					200	226
ST POLIC	339.YA-Handgun License		300			300			300					300	
STATE	339.07-Fire Prev/Code	2,373	13,510			13,510			1,433				13,510	14,943	940
STATE	339.45-Watershed Ptrnr	345	3			3		109	54	4	54			221	127
STATE	339.72-NY Fire Academy	152	910			910		292	606	10	144			1,052	10
STATE	339.AG-Business Licens	8,719	69,760			69,760	550	16,803	9,487	544	7,759		36,600	71,743	6,736
STATE	339.B8-Fire Protection	15	100			100			100					100	15
STATE	339.DO-Tug Hill Admin	9	38			38		28	14					42	5
STATE	339.E7-Unif Commerc Cd	(1)													(1)
STATE	339.EA-Bus & Licen Srv	1													1
STATE	339.L8-DOS Licensing	(1)													(1)
STATE	339.LW-Local Wireless	13,484					10,000		500					10,000	13,484
STATE	339.ZA-Fire Safe Cigar	26	14,533		10,000	14,533		8,774	1,409	297	4,053			14,533	26
SUCF	339.18-S U Constr Fund	1						91,700	114,000				2,900	208,600	1
SUNY	339.47-S U Dorm Reimb	20,664	83,639		208,600	83,639		2,790	10,462	95	1,262		42,842	57,451	46,852
SWN	339.LZ-Pub Safe Commun	7,166	100			100	100						7,000	7,100	166
TADA OTH	339.19-Food Assistance	844							9,000					9,000	144
TADA OTH	339.48-ODTA Multi-Agen	1,304			8,300	8,300			2,200					9,000	144
TADA OTH	339.49-ODTA State Matc	500			2,000	2,000			780					2,200	1,104
TADA OTH	339.50-ODTA Trng Mgmt	(58)												780	(58)
TADA OTH	339.AC-Non-Ivd Wage Wl	5,674			4,000	4,000		4,400	600				1,000	6,000	3,674
TADA OTH	339.AD-ODD Earned Revn	8,831			7,500	7,500		4,000	1,000					5,000	11,331
TADA OTH	339.AL-OTDA Program	8,313	0		14,000	14,000		3,730	7,120	241	1,687			12,778	9,535
TADA OTH	339.AX-Child Supt. Inc	651											500	500	151
TADA OTH	339.CB-FS Reinvestment														

# FINANCIAL PLAN

**CASH COMBINING STATEMENT BY ACCOUNT**  
**MISCELLANEOUS SPECIAL REVENUE FUND (339)**  
**2005-2006**  
(thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
TADA OTH	339.D4-Food Stp Rec Fr		500			500		500						500	
TADA OTH	339.EG-Client Notices	3,569	2,000		2,000	4,000		2,000	2,000					4,000	3,569
TADA OTH	339.GA-Adult Shelter	21,734	2,500			2,500	15,000							15,000	9,234
TADA OTH	339.GD-EBT/CBIC	893	2,200			2,200			2,200					2,200	893
TADA OTH	339.HR-Homeless Hsg	1,145	1,450			1,450		915	115		414			1,444	1,151
TADA OTH	339.L7-Fed Admin Reim	26,736	(812)		79,000	78,188		40,923	33,143					74,066	30,858
TADA OTH	339.LF-Disabil Determs	438	3,300			3,300		1,411	1,500		638		2,000	3,549	189
TADA OTH	339.QA-Spec Energy Adm	6,773			4,000	4,000		1,600	400					4,000	6,773
TADA OTH	339.WW-OWIG Adm Reimb	1,174													1,174
TADA OTH	339.XF-Loc Ad Waiv Lia				0										
TADA OTH	339.XY-Multi-Ag Sys				6,300	6,300			6,300					6,300	
TAX	339.13-M H Patient Inc	4,060													4,060
TAX	339.DC-Investment Serv	(85)	2,702			2,702		1,673	215	57	757			2,702	(85)
TAX	339.EM-NYC Assessment	3,164	37,889			37,889		15,920	14,225	540	7,204			37,889	3,164
TAX	339.TR-Tax Rev Arrear	341	1,600			1,600			1,600					1,600	341
TSCR	339.TS-TSCR Account	10	131,986			131,986			29,368				102,618	131,986	10
WCB	339.E7-Workers Comp Bd	68,497	174,219			174,219		77,852	63,707	2,629	36,868			181,056	61,660
<b>Grand Total</b>		<b>909,324</b>	<b>2,034,358</b>	<b>0</b>	<b>3,883,299</b>	<b>5,917,657</b>	<b>2,055,958</b>	<b>2,277,325</b>	<b>970,435</b>	<b>21,984</b>	<b>282,839</b>	<b>250</b>	<b>396,102</b>	<b>6,004,893</b>	<b>822,088</b>

**CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)  
2006-2007  
(thousands of dollars)**

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfer From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
21CPS	339.CS-Provider Assess	13,962				19,779		8,990	6,249	315	4,225			19,779	13,962
ABC	339.DB-Alcohol Beverag	1,701			19,779	1,830		1,650	300	58	802		50	2,860	1,701
AG&MKTS	339.E6-Farm Prod Insp-	1,565	1,830												535
AG&MKTS	339.F8-Fingrnt ID Tec	2													2
AG&MKTS	339.99-Cons Food Indus	3,305	4,700			4,700		2,518	1,220	88	1,224			5,050	2,955
AG&MKTS	339.C3-Public Service	(398)	192			192		141	14	5	69			229	(435)
AG&MKTS	339.CZ-Plant Industry	670	223			223		254	145	9	123			531	362
AG&MKTS	339.LJ-Animal Populati	1,856	540			540		75	510	3	36			624	1,772
AG&MKTS	339.PD-Pet Dealer	66	60			60			60					60	66
AG&MKTS	339.R4-Motor Fuel Qual	1,588	2,805			2,805		1,150	1,600	40	563		450	3,803	590
AG&MKTS	339.R5-Weights Measure	180	333			333		221	120	8	107		10	466	47
AG&MKTS	339.XE-Wine Industry	3,500			3,500	3,500									7,000
AG&MKTS	339.XX-A&M-Aggregated	669	15,600		380	15,980		1,553	15,500	54	755		85	17,947	(1,298)
AG&MKTS	339.ZR-Milk Producers	(1)													(1)
BANKING	339.A5-Banking Deptmnt	19,901	76,889			76,889		40,400	16,961	1,448	18,080			76,889	19,901
BANKING	339.A9-Banking Seized	259	75			75			75					75	259
BANKING	339.DS-Settlement Enf	1,641	200			200			200					200	1,641
CFS	339.24-Child Care & Pr	171	171			171	56							56	115
CFS	339.ZC-OHRD St Match	978	(3,000)		6,000	3,000			3,000					3,000	978
CFS	339.88-Train Mgmt Eval	2,816	3,200			3,200		2,360	1,466		674			4,500	1,516
CFS	339.AR-Fedl Admin Reim	(8,883)	130		28,913	29,043		42,043	(1,000)				0	41,043	(20,883)
CFS	339.AY-Mult Agen Train	11,512	0		38,000	38,000		2,000	34,800		600		0	37,400	12,112
CFS	339.CX-Loc District Ca	38	38			38									76
CFS	339.CY-Central Registry	527	93			93		74	50					74	546
CFS	339.DA-DFY Energy Effi	(41)	9			9								50	(82)
CFS	339.FC-Fostr Care Savi	486	24			24									510
CFS	339.GC-Family Pres Svc	1,136													1,136
CFS	339.HT-Head Start Trng		75			75			75					75	
CFS	339.J2-Local Dist Trail	1,555	500			500			500					500	1,555
CFS	339.K1-Hwy Rev/Soc Sec	1,247	350			350			300					300	1,297
CFS	339.L4-OCFS Program	1,355	31		6,700	6,731		4,767	2,385					7,152	934
CFS	339.WK-SR-Connections		3,000			3,000	(12,000)		15,000					3,000	
CFS	339.YG-Family Literacy		150			150			150					150	
CIV SVC	339.EH-CS Marketing Ac	4													4
CIV SVC	339.ER-Exam & Misc Rev	1,421	1,350			1,350		580	1,000	19	266		125	1,990	781
CMIA	339.FL-Fed Liability	249													249
CPAR	339.PA-PA Governance				150	150			150					150	
CPB	339.C3-Public Service	(4,325)	3,536			3,536		2,148	684	75	1,010			3,917	(4,706)
CPB	339.F2-Cons Prot Acct	145	291			291			100					100	336
CPB	339.RA-LIPA Reimburse	22	22			22									44
CQCAPD	339.13-M H Patient Inc	(536)													(536)
CQCAPD	339.B9-CQC Conf Fee	(126)	5			5		0	139		61			200	(321)
CQCAPD	339.EC-OASAS Fedl Sal	(140)			2,700	2,700	439	2,160	299	73	939			3,910	(1,350)
CQCAPD	339.ZK-Telework Loan	(98)						45	25	6	23			99	(197)
CVB	339.62-Crim Jus Improv	26,848	43,101			43,101	62,243	39	40	8	18			62,300	7,649
CVB	339.E1-Crime Victims B	38	54			54								40	52
CVB	339.P5-CVB Restitution	409	404			404		155	180		47			390	423
DCJS	339.62-Crim Jus Improv		2,500			2,500	2,500							2,500	
DCJS	339.68-Fingrnt ID Tec	3,351	8,500			8,500			13,672					13,672	(1,821)
DCJS	339.CA-Crimes A gainst	2,200					2,200							2,200	

# FINANCIAL PLAN

**CASH COMBINING STATEMENT BY ACCOUNT**  
**MISCELLANEOUS SPECIAL REVENUE FUND (339)**  
**2006-2007**  
(thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfer From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
DCJS	339.E2-Conference&Sign	84	60			60			65					65	79
DCJS	339.IM-Leg Svcs Assist	18,694	10,538			10,538	21,600							21,600	7,632
DCJS	339.J7-Drug Enforce Ta	294	100			100			100					100	294
DCJS	339.K2-Equip Repair	(1)													(1)
DEF COMP	339.R7-Defert Comp Adm	(198)	650			650		331	191	12	150			684	(232)
DHCR	339.H2-DHCR Mortgage S	2,693	7,000			7,000		4,655	1,000	162	2,211			8,028	1,665
DHCR	339.J5-DHCR HCA Applic	2,081	1,000			1,000		433	500	135	205			1,273	1,808
DHCR	339.NG-Low Inc Housing	644	1,200			1,200		896	31	31	421			1,348	496
DHCR	339.RR-NYC Rent Rev	5,433	38,000			38,000		22,500	3,930	787	10,687			37,904	5,529
DHCR	339.S8-Rent Revenue	470	650			650		500		17	243			760	360
DHR	339.CV-Human Rights Cas	57	2			2			2					2	57
DHR	339.E4-Human Rights Ac	4	2			2			2					2	4
DM & NA	339.61-Radiology	498	3,300			3,300	1,650	1,007		35	489			3,181	617
DM & NA	339.AN-Disas Prep Conf	27	20			20			20					20	27
DM & NA	339.B2-DMNA-Seiz Asset	160	200			200			235					235	125
DM & NA	339.CE-Camp Smith Bill	96	253			253		129	115	5	24			273	76
DM & NA	339.E5-Armory Rental A	386	1,675			1,675		511	991		173			1,675	386
DM & NA	339.EP-Distance Learn	11													11
DM & NA	339.U2-Recruitment Inc	479	90		2,000	2,090			2,000					2,000	569
DMH	339.13-M H Patient Inc	(7)			1,975	1,975									1,968
DMV	339.09-DMV Seiz Assets	101	450			450			450					450	101
DMV	339.AE-Motorcycle Sfy	1,977	1,000			1,000		102	1,395	3	47			1,547	1,430
DMV	339.E9-Traf Adjudicath	(2,104)	44,069			44,069		20,908	10,137	694	9,578			41,317	648
DMV	339.GE-Federal-Seized	74							1,000					1,000	(926)
DMV	339.H7-DMV-Compulsory	5,958	30,700			30,700		9,243	4,336	307	4,234		12,300	30,420	6,238
DMV	339.XG-PIRP		675			675		58	450	2	27			537	138
DOB	339.CR-Reven Arrearage	125,212	22,400			22,400		3,500	8,875	0	0		56,650	69,025	78,587
DOB	339.CU-Spec Conserv Ac	2,722	0					0	0						2,722
DOB	339.FL-Fed Liability	(248)													(248)
DOB	339.ST-Systems & Tech		2,000		525	2,525		3,000	3,600					6,600	(4,075)
DOB	339.WQ-Auth Bdgt Office				1,500	1,500		600	900					1,500	
DOCS	339.CT-Cell Phone Towe	231	100			100			150				181	331	
DOCS	339.CU-Spec Conserv Ac	95	95			95						500		500	(310)
DOCS	339.PC-Food Prod Ctr		420			420			420					420	
DOCS	339.ZW-DOCS Asset Forf		2			2			2					2	
DOT	339.17-Tri St Reg Plan	(2,903)		4,633	15,899	20,532		4,651	8,959	154	2,130			15,894	1,735
DOT	339.42-Tr Surplus Prop	496	1,200			1,200			1,000					1,000	696
DOT	339.77-Tran Fees Perms	(1)													(1)
DOT	339.AJ-Regional Haulin	1													1
DOT	339.AQ-Rail Safety Ins	811	643			643		377	98	13	173			661	793
DOT	339.EE-Map Revenue	1												1	
DOT	339.F1-Trans Regul Acc	1,591	5,116			5,116		3,555	885	118	1,629			6,187	520
DOT	339.G7-DOT-Accident Da	1,610	7,800			7,800		536	7,424	18	246			8,224	1,186
DOT	339.HC-Hwyy Const & Ma		200			200			200					200	
DOT	339.SS-DOT Sign Shop	5	0						0						5
DOT	339.T5-Trans Aviatn	1,468	3,040			3,040		112	3,444	4	51			3,611	897

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)  
 2006-2007  
 (thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfer From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
ECON DEV	339.46-World Univ Game	1													1
ECON DEV	339.91-MWBD Certificat	47	65			65			65					65	47
ECON DEV	339.A7-Econ Devel Asst	199	838			838			838					838	199
ECON DEV	339.C3-Public Service	(1,495)	888			888		394	335	13	146			888	(1,495)
ECON DEV	339.DO-DED Marketing A	411	2,007			2,007		75	1,903	2	27			2,007	411
ECON DEV	339.P4-Procure Op News	309	832			832			832					832	309
EFC	339.P6-EFC Corp Admin	(541)	1,587			1,587		1,323	205		245			1,773	(727)
ELECTION	339.J4-Voting Mach Exa	18	200			200			180					180	38
ERDA	339.60-Energy Research	(677)	14,656			14,656		1,557	14,656	73	744			14,656	(677)
FCB	338.15-Fin Cntrl Board		2,875			2,875			501					2,875	(1)
GSC	339.A2-MMIA	(1)													(1)
HESC	339.85-Ins SLL Adm	7,018	127,300			127,300	26,300	38,251	43,061	1,338	17,978			126,928	7,390
HESC	339.EF-TAP Sys Redesgn	(1)													(1)
HESC	339.FA-Fin Aid Audit	(286)	520			520		279	143		94			516	(282)
HESC	339.KA-Primary Hlth Cr	(1)													(1)
HESC	339.YR-VRSS	1,243			2,000	2,000								2,000	1,243
HIGH ED	339.CO-College Savings	788	813			813		412	165	15	194			786	815
HLTH OTH	339.01-Adopt Info Regi	8	50			50		20	15		6			41	17
HLTH OTH	339.03-S P A R C S	(66)	5,196		1,464	6,660		2,968	1,685		1,593			6,246	348
HLTH OTH	339.20-Quality Care	(7,576)	0		85,267	85,267	7,288	55,105	20,139					82,532	(4,841)
HLTH OTH	339.21-Nurses Aide Reg	514	3,800			3,800		438	3,683		71			4,192	122
HLTH OTH	339.22-Emerg Med Svcs	4,222													4,222
HLTH OTH	339.26-Cert of Need	2,996	3,501			3,501		1,863	77	466	906			3,312	3,185
HLTH OTH	339.28-Retir Community	459	50			50			17					17	492
HLTH OTH	339.29-Child Hlth Ins	5,220													5,220
HLTH OTH	339.32-Ns Hm Receivshp	2,857	25			25									2,882
HLTH OTH	339.35-3rd Party Hlth	408	1,250			1,250		818			423			1,241	417
HLTH OTH	339.44-Hosp & Nurs Mgt	1,722	17,737			17,737		14,222	1,811		74			16,107	3,352
HLTH OTH	339.81-Envir.Lab.Fee A		3,700			3,700		1,571	569	919	641			3,700	
HLTH OTH	339.86-Health Services	5,619			5,678	5,678							0		11,297
HLTH OTH	339.90-Clin Lab Refrmc	(13,924)	17,920			17,920		6,983	5,570	230	3,585			16,368	(12,372)
HLTH OTH	339.94-WIC CVL Monety	3,390	2,050			2,050			4,000					4,000	1,440
HLTH OTH	339.95-Radio Hlth Prot	980	1,990			1,990		1,200	300	200	609			2,309	661
HLTH OTH	339.AF-Hosp Grants	2,451													2,451
HLTH OTH	339.AM-Hlth Care Advls	1													1
HLTH OTH	339.AP-Administration	11,065	16,370			16,370		6,609	1,694		3,589			11,892	15,543
HLTH OTH	339.AW-Spinal Injury	24,924						91	12,000		38			12,129	12,795
HLTH OTH	339.B4-Radon Detct Dev	231	0		0	0			9					9	222
HLTH OTH	339.BO-Primary Care In	352													352
HLTH OTH	339.BW-Asbestos Trng	36	465			465		275	24	40	125			464	37
HLTH OTH	339.C9-DSS Prov Recovs	1,140	3,700			3,700		3,700						3,700	1,140
HLTH OTH	339.DM-EAD Metallurgl	7	6			6									13
HLTH OTH	339.DN-Fines Penalties	138													138
HLTH OTH	339.ES-Eating Disorder		1,000			1,000	1,000		88	67	90			1,000	
HLTH OTH	339.FP-Funeral	421	852			852		185						430	843

# FINANCIAL PLAN

**CASH COMBINING STATEMENT BY ACCOUNT**  
**MISCELLANEOUS SPECIAL REVENUE FUND (339)**  
 2006-2007  
 (thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfer From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
HLTH OTH	339.GB-QAA Earned Rev	1,377	95			95		1,088			30			1,118	354
HLTH OTH	339.H3-Pilot Health In	607													607
HLTH OTH	339.H5-Triple Prescr F	980	0										980	980	
HLTH OTH	339.H9-Prof Medic Cond	6,839	25,051			25,051		14,800	13,050		6,778			34,628	(2,738)
HLTH OTH	339.HQ-Adlt Hme Qlty E		350			350		350						350	
HLTH OTH	339.J1-Loc Pub Hlth	3,019	950			950	1,500	150	60	12	65			1,787	2,182
HLTH OTH	339.J6-EPIC Premium AC		291,400			291,400	274,600	1,644	13,832	626	698			291,400	
HLTH OTH	339.JA-Vital Rec Mgmt	2,770	4,245			4,245		1,469	285	250	670		2,200	4,874	2,141
HLTH OTH	339.K3-Catastrophic HI	300													300
HLTH OTH	339.L5-Adult Cyst Fibr (1)														(1)
HLTH OTH	339.LB-Health Occup De	1,064													1,064
HLTH OTH	339.LC-Matern Child Hiv	915													915
HLTH OTH	339.LE-Hlth Care Deliv	242													242
HLTH OTH	339.NH-Provider 900	2,665	1,000			1,000		500						500	3,165
HLTH OTH	339.O2-Helen Hayes Hos	19,113	3,339		58,105	61,444		35,426	26,574		1,044			63,044	17,513
HLTH OTH	339.O3-NYC Veterans	12,018	1,542		22,046	23,588		12,754	6,123		482			19,359	16,247
HLTH OTH	339.Q4-NYS Home-Vetera	968	1,722		14,795	16,517		13,441	3,315		538			17,294	191
HLTH OTH	339.Q5-WNY Vets Home	(591)	868		8,120	8,988		6,916	2,224		271			9,411	(1,014)
HLTH OTH	339.Q6-Montrose S V H	(26,587)	1,398		8,417	9,815		9,773	4,447		437			14,657	(31,429)
HLTH OTH	339.Q9-DOH Hospital Ho	1,886			81,138	81,138			600				91,437	91,437	(8,413)
HLTH OTH	339.QC-Quality of Care	408	1,000			1,000								600	808
HLTH OTH	339.T3-Tattoo & Pierc		0					0	0						
HLTH OTH	339.W4-Occ Hlth Clinic	3,176	5,000			5,000		220	5,000	50	100			5,370	2,806
HLTH OTH	339.W6-Crim Back Check		5,000			5,000			5,000					5,000	
HLTH OTH	339.WZ-Durable Medical		545			545		545						545	
HLTH OTH	339.X0-Disease Mgmt		5,000			5,000			5,000					5,000	
HLTH OTH	339.XS-AL Oversight	670	1,880			1,880		1,728	180					1,908	642
INSP GEN	339.11-Ins Genl Opems	777	26		1,300	1,326		1,186	272	46	622			2,126	(23)
INSP GEN	339.C3-Public Service	(25)													(25)
INSP GEN	339.IG-Inspectr GnrI Sz	25	82			82			82					82	25
INSUR	339.AK-Ins Voucher Pro														2
INSUR	339.B6-Insurance Dept	32,240	197,671			197,671		65,931	95,150	2,308	30,759			194,148	35,763
INVEST	339.EK-Seized Assets	676	180			180			283					283	573
JUDIC/AR	339.AO-Manhattan Drug	854													854
JUDIC/AR	339.C4-Atty Licensing	9,458	27,500			27,500		17,039	6,500		3,750		1,000	28,289	8,669
JUDIC/AR	339.JD-Probim Solv Cou	52													52
JUDIC/AR	339.RE-Erie Co Fam Cou	14													14
LABOR	339.30-DOL Fee Penalty	9,611	12,520			12,520	(185)	6,324	1,309	222	2,971		3,638	14,279	7,852
LABOR	339.BA-Public Work Enf	1,551	2,022			2,022		937	238	31	440			1,646	1,927
LABOR	339.DZ-Interest Assess	27,833	0						18,000					18,000	9,833
LABOR	339.R9-Hazard Abatemen	191	430			430	430							430	191
LABORMG	339.DX-NYS FLEX Spend	127	240			240			250					250	117
LAW	339.23-Seized Assets	640	50			50			25					25	665
LAW	339.AZ-Dept Law-Seized	5,969	5,200			5,200			5,133					5,133	6,036
LAW	339.DL-Medicaid Fraud	28,869	12,000			12,000		5,291	3,402	169	2,607			11,469	29,400
LAW	339.EB-Antitrust Enfor	(1)													(1)
LAW	339.LI-Litigation Sett	34,655	40,000			40,000		14,449	11,449	1,828	7,312			35,038	39,617
LEGIS	339.DK-Senate Recyclab	205	20			20									225
LEGIS	339.F6-Lc On Solid Was	27	1			1									28
LEGIS	339.Y7-Assembly Recyc	548	40			40									588

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)  
 2006-2007  
 (thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfer From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
LOBBYING	339.27-Lobbying Enforc	818	400			400		239	307	9	113			668	550
MED ASST	339.JB-CHCCDP Transfer	54													54
MED ASST	339.XV-Provider Assess	26,848	759,100			759,100	760,100							760,100	25,848
NYSTAR	339.ZV-S T A Research	(19)													(19)
OASAS	339.13-M H Patient Inc	20,074			13,600	13,600		13,900	250					13,900	19,774
OASAS	339.51-Methadone Regis	144	250			250								250	144
OASAS	339.DF-Keep Kids Drug	2													2
OASAS	339.EC-OASAS Fedl Sal	406			7,600	7,600		7,600						7,600	406
OASAS	339.EJ-Credentia Srvs	58	873			873		574		20	279			873	58
OASAS	339.KB-Conference & Sp	13													13
OASAS	339.YO-Chemical Depend	25													25
OASAS	339.ZW-License Plate	(29)	40			40	40							40	(103)
OER	339.BI-Tm Mtlis Regist	132	20			20			94					94	171
OER	339.F9-OER NASDER	268	133			133			94					94	268
OFF TECH	339.B3-Critical Infrs														(1)
OGS	339.EU-Land Utilizatio	(1)													(1)
OGS	339.MC-Cuba Lake Mgmt	189	175			175			175					175	189
OGS	339.YL-OGS Bldg Admin	752	23,678			23,678		2,583	2,587	84	1,155		15,900	22,309	2,121
OGS	339.YN-OGS Std & Purch	1,624	4,544			4,544		1,044	2,221	33	468		2,000	5,766	402
OHS	339.25-Cyber Sec Upgr	288	211			211			211					211	288
OHS	339.C3-Public Service	(6,311)							1,586	99	1,330			5,845	(12,156)
OHS	339.XJ-Critical Infra	367	289			289			105					105	551
OMH	339.13-M H Patient Inc	(65,609)			485,906	485,906		487,881	2,100					487,881	(67,584)
OMH	339.ED-Cook/Chill Acco	225	1,100			1,100								2,100	(775)
OMH	339.H6-OMH-Research OH	52	4,619			4,619		164	4,455					4,619	52
OMH	339.JC-Cont Recov Acct	22													22
OMH	339.MH-Special MH Cour	1													1
OMH	339.MR-Medication Reim	126	2,500			2,500	2,000							2,000	626
OMRDD	339.05-OMRDD Provider		0				70,000							70,000	
OMRDD	339.10-MHP/IA OMR NPS	(1)													(1)
OMRDD	339.13-M H Patient Inc	(8,876)					1,100,000	688,765	225,000				50,000	2,063,765	(8,876)
OMRDD	339.AS-Quality Assuran	1													1
OPDV	339.LG-OMRDD-Jt Clinic	31	20,000			20,000	20,000							20,000	31
ORPS	339.79-OPDV Training	25	10			10			20					20	15
ORPS	339.BK-Ind & Util Serv	955	3,477			3,477		2,183	100	76	1,026			3,385	1,047
ORPS	339.BZ-IMP R P Tax Adm	1,963	39,500			39,500		20,650	7,150	723	9,706		100	38,329	3,134
ORPS	339.G3-Local Services	295	1,200			1,200		555	31	19	270			875	620
OSC	339.13-M H Patient Inc	52,305													52,305
OSC	339.CJ-Payroll Sys Rep	(2,902)													(2,902)
OSC	339.DD-Unclaimed	10													10
OSC	339.DI-OSDC Finan Over	(878)	3,719		440	3,719		2,287	282	72	1,078			3,719	(878)
OWIG	339.WW-OWIG Adm Reimb	417				440		236	164					400	457
PARKS	339.36-Boating Noise L	28													28
PARKS	339.37-I Love NY Water	555													555
PARKS	339.39-I Love NY Water	467	1,450			1,450		36	1,504	2	19			1,561	356
PARKS	339.41-Snowmobile	3,489	7,332			7,332	5,600	137	533	6	84		2,500	8,860	1,961
PARKS	339.AV-Seized Assets	123	3			3			0						126
PARKS	339.C2-Jones Bch Theat	1													1

# FINANCIAL PLAN

## CASH COMBINING STATEMENT BY ACCOUNT MISCELLANEOUS SPECIAL REVENUE FUND (339)

2006-2007

(thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfer From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
PARKS	339.C3-Public Service	(128)	69			69		50		2	17			69	(128)
PARKS	339.T2-OPR Patron Serv	2,377	58,068			58,068		25,190	34,158		2,862			62,210	(1,765)
PAROLE	339.EB-Asset Forfeitur		33			33			33					33	
PERB	339.93-Pub Emp Rel Brd		705			705		120	342				300	762	479
PUB SVC	339.02-Intervenor Acct	1,313	400			400	400						10	410	1,303
PUB SVC	339.A6-Cable TV Acct	4,400	3,802			4,400		2,095	344	73	964			3,476	4,726
PUB SVC	339.C3-Public Service	60,678	69,387			69,387		37,248	13,328	1,304	17,507			69,387	60,678
PUB SVC	339.IA-COCOT	152				152							50	50	102
PUB SVC	339.US-Undgrnd Sfty T		100			100							155	155	62
RACING	339.16-Reg of Racing	(4,622)	12,700		400	13,100		6,575	4,644	220	3,159			14,598	(6,120)
RACING	339.BJ-Bell Jar Collec	(228)	2,031			2,031		1,358	626	46	654			3,584	(1,781)
RACING	339.DT-Indian Gaming	(4,813)	7,505			7,505		4,104	1,273	137	1,989			7,503	(4,811)
RACING	339.TW-Statewide Gamin	459				459									459
SED OTH	339.31-Museum Ext Svcs	214	380			380		155	367	6	53		16	597	(3)
SED OTH	339.38-Summer Sch Arts	314	600		1,000	1,600			1,600					1,600	314
SED OTH	339.A3-Educatn Library	518	180			180			215					215	483
SED OTH	339.A4-Teacher Certif	1,216	6,280			6,280		3,002	400	105	1,411		532	5,450	2,046
SED OTH	339.AH-Indir Cost Reco	2,191	17,558		17,558	17,558		9,180	5,800		4,315			19,295	454
SED OTH	339.AI-High School Equ	121	179			179			228					228	72
SED OTH	339.D9-Batavia School	(8,110)	6,400		700	7,100		5,155	663	194	1,792			7,804	(8,814)
SED OTH	339.E3-Ofc of Professi	9,347	39,500			39,500		18,208	9,265	637	8,558		3,225	39,893	8,954
SED OTH	339.E6-Rome School	(2,951)	6,800		600	7,400		4,800	764	181	1,675			7,420	(2,971)
SED OTH	339.EN-Cultural Educat	44,830	47,617			47,617		17,232	16,216	529	9,248		24,877	68,102	24,345
SED OTH	339.G1-Educ Archives	209	115			115		60	34	2	20		6	122	202
SED OTH	339.S1-Medicaid Income	(190)	2,900			2,900		1,700	663	65	589			3,017	(307)
SED OTH	339.TM-Teacher Ed Accr	45	28			28			28					28	45
SED OTH	339.YR-Tenured Teacher								3,138					3,300	(3,300)
SPEC REV	339.00-State Special R	(1,332)	(639,096)		39,096	(600,000)	(400,000)	(100,000)	(100,000)					(600,000)	(1,332)
ST POLIC	339.08-NYS Tivy Police	1,086	50,956			50,956		33,858		1,185	15,913			50,956	1,086
ST POLIC	339.DT-Indian Gaming	(16,236)	15,603			15,603		9,232	1,452		4,339			15,023	(15,656)
ST POLIC	339.EB-Seized Assets	(17,550)	8,725		27,800	36,525		616	49,272		112			50,000	(31,025)
ST POLIC	339.TN-Training Academ	226	300			300			200					200	326
ST POLIC	339.YA-Handgun License		300			300			300					300	
STATE	339.07-Fire Prev/Code	940	13,510			13,510		1,460					13,510	14,970	(520)
STATE	339.45-Watershed Ptnr	127	2			2		113	56	4	58			231	(102)
STATE	339.72-NY Fire Academy	10	920			920		301	622	10	157			1,090	(160)
STATE	339.AG-Business Licens	6,736	69,260			69,260	550	17,667	9,870	577	8,551		32,000	69,215	6,781
STATE	339.B8-Fire Protection	15	100			100			100					100	15
STATE	339.DQ-Tug Hill Admin	5	38			38		30	14					44	(1)
STATE	339.E7-Unif Commercd	(1)													(1)
STATE	339.EA-Bus & Licens Sv	(1)													(1)
STATE	339.LW-DOS Licensing	(1)													(1)
STATE	339.LW-Local Wireless	13,484			10,000	10,000	10,000							10,000	13,484
STATE	339.ZA-Fire Safe Cigar		500			500			500					500	
SUCF	339.18-S U Constr Fund	26	16,077			16,077		9,957	1,770	350	4,000			16,077	26
SUNY	339.47-S U Dorm Reimb	1			214,900	214,900		95,400	116,600				2,900	214,900	1
SWN	339.LZ-Pub Safe Commun	46,852	89,230			89,230		22,827	40,216	99	1,329		52,571	117,042	19,040
TADA OTH	339.19-Food Assistance	166	100			100	100		9,000					9,000	166
TADA OTH	339.48-ODTA Multi-Agen	144			8,300	8,300			2,200					2,200	(556)
TADA OTH	339.49-ODTA State Matc	1,104	2,000			2,000								2,000	904

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)  
 2006-2007  
 (thousands of dollars)

Agency Acronym	Fund Account	Opening Balance	Misc. Receipts	Federal Grants	Transfer From	Total Receipts	Local	PS	NPS	Indirect Costs	GSCs	Capital	Transfers To	Total Disb.	Closing Balance
TADA OTH	339.50-ODTA Trng Mgmt	(280)							780					780	(1,060)
TADA OTH	339.AC-Non-lvd Wage Wl	(58)													(58)
TADA OTH	339.AD-ODD Earned Revn	3,674			4,000	4,000		4,400	600					5,000	2,674
TADA OTH	339.AL-OTDA Program	11,331			7,500	7,500		4,030	1,023					5,053	13,778
TADA OTH	339.AX-Child Supt. Inc	9,535	0		14,000	14,000		3,755	7,077	248	1,765			12,845	10,690
TADA OTH	339.CB-FS Reinvestment	151													151
TADA OTH	339.D4-Food Stp Rec Fr		500			500		500						500	
TADA OTH	339.EG-Client Notices	3,569	2,000	2,000		4,000		2,000	2,000					4,000	3,569
TADA OTH	339.GA-Adult Shelter	9,234	2,500			2,500	15,000							15,000	(3,266)
TADA OTH	339.GD-EBT/CBIC	893	2,200			2,200			2,200					2,200	893
TADA OTH	339.HR-Homeless Hsg	1,151	1,700			1,700		922	118		433			1,473	1,378
TADA OTH	339.L7-Fedi Admin Reim	30,858	(812)		79,000	78,188		41,234	40,631					81,865	27,181
TADA OTH	339.LF-Disabil Determs	189	3,300			3,300		1,369	1,534		643			3,546	(57)
TADA OTH	339.OA-Spec Energy Adm	6,773			4,000	4,000		1,612	409					2,021	8,752
TADA OTH	339.WW-OWIG Adm Reimb	1,174													1,174
TADA OTH	339.XF-Loc Ad Watv Lia				0										
TADA OTH	339.XY-Multi-Ag Sys				6,300	6,300			6,300					6,300	
TAX	339.13-M H Patient Inc	4,060													4,060
TAX	339.DC-Investment Serv	(85)	2,764			2,764		1,743	165	58	798			2,764	(85)
TAX	339.EM-NYC Assessment	3,164	37,889			37,889		15,920	14,225	540	7,204			37,889	3,164
TAX	339.TR-Tax Rev Arrear	341	2,700			2,700		2,700	2,700					2,700	341
TSCR	339.TS-TSCR Account	10	68,000			68,000			14,600				53,400	68,000	10
WCB	339.B7-Workers Comp Bd	61,660	174,219			174,219		81,032	65,166	2,836	38,085			187,119	48,760
<b>Grand Total</b>		<b>822,088</b>	<b>2,297,128</b>	<b>4,633</b>	<b>3,496,816</b>	<b>5,798,577</b>	<b>1,975,411</b>	<b>2,157,803</b>	<b>1,157,451</b>	<b>24,162</b>	<b>306,820</b>	<b>500</b>	<b>424,058</b>	<b>6,046,205</b>	<b>574,460</b>

# FINANCIAL PLAN

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
2005-2006  
(thousands of dollars)**

	002	072	074	075	076	077	078	079	080	101
<b>Opening Fund Balance</b>	0	5,516	74,988	198	(584)	12	58,616	(3,354)	75	64
<b>Receipts:</b>										
Taxes	0	1,739,211	0	0	0	0	112,000	0	0	0
Miscellaneous Receipts	766,248	656,199	0	4,000	36,248	0	57,800	0	0	0
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	766,248	2,395,410	0	4,000	36,248	0	169,800	0	0	0
<b>Disbursements:</b>										
Grants to Local Governments	689,526	0	0	0	0	0	0	292	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	468,853	1,860,066	15,000	4,000	28,375	0	135,000	1,050	0	0
<b>Total Disbursements</b>	1,158,379	1,860,066	15,000	4,000	28,375	0	135,000	1,342	0	0
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	395,148	246,222	15,000	0	3,375	0	0	1,342	0	0
Transfers to Other Funds	(3,017)	(817,081)	0	0	(11,256)	0	(62,000)	0	0	(200)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	200
<b>Net Other Financing Sources (Uses)</b>	392,131	(570,859)	15,000	0	(7,881)	0	(62,000)	1,342	0	0
<b>Change in Fund Balance</b>	0	(55,515)	0	0	(6)	0	(27,200)	0	0	0
<b>Closing Fund Balance</b>	0	(29,999)	74,988	198	(592)	12	31,416	(3,354)	75	64

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
2005-2006  
(thousands of dollars)**

	<u>103</u>	<u>105</u>	<u>109</u>	<u>115</u>	<u>123</u>	<u>124</u>	<u>126</u>	<u>127</u>	<u>291</u>	<u>310</u>
<b>Opening Fund Balance</b>	2	199	3,450	11,486	10,414	8,024	5,225	44,215	(246,789)	310
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	10
Federal Grants	0	0	0	0	0	0	0	0	1,781,757	0
<b>Total Receipts</b>	0	0	0	0	0	0	0	0	1,781,757	10
<b>Disbursements:</b>										
Grants to Local Governments	0	0	0	0	0	0	0	0	210,841	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	1,322,000	10
<b>Total Disbursements</b>	0	0	0	0	0	0	0	0	1,532,841	10
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	0	(1,200)	(400)	(4,500)	(5,000)	(61,185)	(6,000)	(96,342)	(273,915)	0
Bond & Note Proceeds	0	1,200	0	0	3,000	60,000	6,000	67,800	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	(400)	(4,500)	(2,000)	(1,185)	0	(28,542)	(273,915)	0
<b>Change in Fund Balance</b>	0	0	(400)	(4,500)	(2,000)	(1,185)	0	(28,542)	(24,999)	0
<b>Closing Fund Balance</b>	2	199	3,050	6,986	8,414	6,839	5,225	15,673	(271,788)	310

# FINANCIAL PLAN

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
2005-2006  
(thousands of dollars)**

	312	322	327	357	358	374	376	378	380	384
<b>Opening Fund Balance</b>	4,737	0	39,613	(577)	0	(4,000)	(144,894)	11,182	(56,006)	13,438
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	94,000	0	39,400	10,000	0	0	127,200	3,250	0	10,000
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	94,000	0	39,400	10,000	0	0	127,200	3,250	0	10,000
<b>Disbursements:</b>										
Grants to Local Governments	0	0	0	0	0	0	127,200	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	111,000	0	0	10,000	0	0	0	3,250	34,568	10,000
<b>Total Disbursements</b>	111,000	0	0	10,000	0	0	127,200	3,250	34,568	10,000
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	28,700	0	0	0	0	0	575	0	68,068	0
Transfers to Other Funds	(26,700)	0	(39,400)	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	2,000	0	(39,400)	0	0	0	575	0	68,068	0
<b>Change in Fund Balance</b>	(15,000)	0	0	0	0	0	575	0	33,500	0
<b>Closing Fund Balance</b>	(10,263)	0	39,613	(577)	0	(4,000)	(144,319)	11,182	(22,506)	13,438

CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
2005-2006  
(thousands of dollars)

	386	387	388	389	399	Transportation Bond Fund	Other	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	0	28,047	(1,042)	(245,176)	(66,728)	0	(1,199)	(450,538)	0	(450,538)
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	1,851,211	0	1,851,211
Miscellaneous Receipts	0	3,500	0	116,659	220,000	0	(429,861)	1,714,853	0	1,714,853
Federal Grants	0	0	0	0	0	0	0	1,781,757	0	1,781,757
<b>Total Receipts</b>	0	3,500	0	116,659	220,000	0	(429,861)	5,347,821	0	5,347,821
<b>Disbursements:</b>										
Grants to Local Governments	0	0	0	68,403	0	0	0	1,096,262	0	1,096,262
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	6,500	0	48,556	220,000	0	(393,772)	3,885,456	0	3,885,456
<b>Total Disbursements</b>	0	6,500	0	117,959	220,000	0	(393,772)	4,981,718	0	4,981,718
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	1,100	0	0	0	759,530	(521,134)	238,396
Transfers to Other Funds	0	0	0	0	0	(29,000)	0	(1,437,196)	521,134	(916,062)
Bond & Note Proceeds	0	0	0	0	0	29,000	0	167,200	0	167,200
<b>Net Other Financing Sources (Uses)</b>	0	0	0	1,100	0	0	0	(510,466)	0	(510,466)
<b>Change in Fund Balance</b>	0	(3,000)	0	0	0	0	(36,089)	(144,363)	0	(144,363)
<b>Closing Fund Balance</b>	0	25,047	(1,042)	(245,176)	(66,728)	0	(37,288)	(594,901)	0	(594,901)

# FINANCIAL PLAN

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
2006-2007  
(thousands of dollars)**

	002	072	074	075	076	077	078	079	080	101
<b>Opening Fund Balance</b>	0	(29,999)	74,988	198	(592)	12	31,416	(3,354)	75	64
<b>Receipts:</b>										
Taxes	0	1,813,072	0	0	0	0	147,000	0	0	0
Miscellaneous Receipts	1,129,581	761,458	0	4,000	30,508	0	45,983	0	0	0
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	1,129,581	2,574,530	0	4,000	30,508	0	192,983	0	0	0
<b>Disbursements:</b>										
Grants to Local Governments	696,861	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,104,880	2,077,571	26,000	4,000	34,500	0	140,000	1,343	0	0
<b>Total Disbursements</b>	1,801,741	2,077,571	26,000	4,000	34,500	0	140,000	1,343	0	0
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	725,538	302,178	26,000	0	5,500	0	0	343	0	0
Transfers to Other Funds	(53,378)	(799,137)	0	0	(1,503)	0	(10,000)	0	0	(200)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	200
<b>Net Other Financing Sources (Uses)</b>	672,160	(496,959)	26,000	0	3,997	0	(10,000)	343	0	0
<b>Change in Fund Balance</b>	0	0	0	0	5	0	42,983	(1,000)	0	0
<b>Closing Fund Balance</b>	0	(29,999)	74,988	198	(587)	12	74,399	(4,354)	75	64

CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
2006-2007  
(thousands of dollars)

	103	105	109	115	123	124	126	127	291	310
<b>Opening Fund Balance</b>	2	199	3,050	6,986	8,414	6,839	5,225	15,673	(271,788)	310
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	10
Federal Grants	0	0	0	0	0	0	0	0	1,753,671	0
<b>Total Receipts</b>	0	0	0	0	0	0	0	0	1,753,671	10
<b>Disbursements:</b>										
Grants to Local Governments	0	0	0	0	0	0	0	0	206,165	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	1,273,490	10
<b>Total Disbursements</b>	0	0	0	0	0	0	0	0	1,479,655	10
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	0	(600)	(400)	(1,500)	(5,000)	(50,861)	(4,000)	(75,343)	(271,016)	0
Bond & Note Proceeds	0	600	0	0	5,000	51,000	4,000	75,000	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	(400)	(1,500)	0	139	0	(343)	(271,016)	0
<b>Change in Fund Balance</b>	0	0	(400)	(1,500)	0	139	0	(343)	3,000	0
<b>Closing Fund Balance</b>	2	199	2,650	5,486	8,414	6,978	5,225	15,330	(268,788)	310

# FINANCIAL PLAN

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
2006-2007  
(thousands of dollars)**

	<b>312</b>	<b>322</b>	<b>327</b>	<b>357</b>	<b>358</b>	<b>374</b>	<b>376</b>	<b>378</b>	<b>380</b>	<b>384</b>
<b>Opening Fund Balance</b>	(10,263)	0	39,613	(577)	0	(4,000)	(144,319)	11,182	(22,506)	13,438
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	108,000	0	40,000	12,000	0	1,500	123,300	1,000	0	10,000
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>108,000</b>	<b>0</b>	<b>40,000</b>	<b>12,000</b>	<b>0</b>	<b>1,500</b>	<b>123,300</b>	<b>1,000</b>	<b>0</b>	<b>10,000</b>
<b>Disbursements:</b>										
Grants to Local Governments	0	0	0	0	0	1,500	123,300	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	110,000	0	0	12,000	0	0	0	1,000	17,121	10,000
<b>Total Disbursements</b>	<b>110,000</b>	<b>0</b>	<b>0</b>	<b>12,000</b>	<b>0</b>	<b>1,500</b>	<b>123,300</b>	<b>1,000</b>	<b>17,121</b>	<b>10,000</b>
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	28,700	0	0	0	0	0	575	0	22,621	0
Transfers to Other Funds	(26,700)	0	(25,400)	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>2,000</b>	<b>0</b>	<b>(25,400)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>575</b>	<b>0</b>	<b>22,621</b>	<b>0</b>
<b>Change in Fund Balance</b>	<b>0</b>	<b>0</b>	<b>14,600</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>575</b>	<b>0</b>	<b>5,500</b>	<b>0</b>
<b>Closing Fund Balance</b>	<b>(10,263)</b>	<b>0</b>	<b>54,213</b>	<b>(577)</b>	<b>0</b>	<b>(4,000)</b>	<b>(143,744)</b>	<b>11,182</b>	<b>(17,006)</b>	<b>13,438</b>

CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
2006-2007  
(thousands of dollars)

	386	387	388	389	399	Transportation Bond Fund	Other	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	25,047	(1,042)	(245,176)	(66,728)	0	(37,288)	(594,901)	0	(594,901)
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	1,960,072	0	1,960,072
Miscellaneous Receipts	0	3,360	0	139,714	230,000	0	(750,000)	1,890,414	0	1,890,414
Federal Grants	0	0	0	0	0	0	0	1,753,671	0	1,753,671
<b>Total Receipts</b>	0	3,360	0	139,714	230,000	0	(750,000)	5,604,157	0	5,604,157
<b>Disbursements:</b>										
Grants to Local Governments	0	0	0	83,948	0	0	0	1,111,774	0	1,111,774
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	10,360	0	56,866	230,000	0	(733,524)	4,375,617	0	4,375,617
<b>Total Disbursements</b>	0	10,360	0	140,814	230,000	0	(733,524)	5,487,391	0	5,487,391
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	20,000	0	1,100	0	0	0	1,132,555	(678,951)	453,604
Transfers to Other Funds	0	0	0	0	0	(214,230)	0	(1,539,268)	678,951	(860,317)
Bond & Note Proceeds	0	0	0	0	0	215,000	0	350,800	0	350,800
<b>Net Other Financing Sources (Uses)</b>	0	20,000	0	1,100	0	770	0	(55,913)	0	(55,913)
<b>Change in Fund Balance</b>	0	13,000	0	0	0	770	(16,476)	60,853	0	60,853
<b>Closing Fund Balance</b>	0	38,047	(1,042)	(245,176)	(66,728)	770	(53,764)	(534,048)	0	(534,048)

# FINANCIAL PLAN

**CASH COMBINING STATEMENT  
DEBT SERVICE FUNDS  
2005-2006  
(thousands of dollars)**

	304	311	316	319	330	361	364	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	47,841	0	0	27,974	107,872	0	0	183,687	0	183,687
<b>Receipts:</b>										
Taxes	0	6,942,000	0	0	0	818,000	2,608,095	10,368,095	0	10,368,095
Miscellaneous Receipts	258,561	0	21,902	97,830	307,500	0	500	686,293	0	686,293
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	258,561	6,942,000	21,902	97,830	307,500	818,000	2,608,595	11,054,388	0	11,054,388
<b>Disbursements:</b>										
Grants to Local Governments	0	0	0	0	0	0	0	0	0	0
State Operations	8,600	40,682	0	2,510	10,500	0	8,000	70,292	0	70,292
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	281,324	3,035,719	22,902	27,506	39,732	0	315,651	3,722,834	0	3,722,834
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	289,924	3,076,401	22,902	30,016	50,232	0	323,651	3,793,126	0	3,793,126
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	2,582,443	2,675,720	1,000	35,569	0	0	0	5,294,732	(112,765)	5,181,967
Transfers to Other Funds	(2,564,921)	(6,541,319)	0	(106,772)	(233,750)	(818,000)	(2,284,944)	(12,549,706)	112,765	(12,436,941)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	17,522	(3,865,599)	1,000	(71,203)	(233,750)	(818,000)	(2,284,944)	(7,254,974)	0	(7,254,974)
<b>Change in Fund Balance</b>	(13,841)	0	0	(3,389)	23,518	0	0	6,288	0	6,288
<b>Closing Fund Balance</b>	34,000	0	0	24,585	131,390	0	0	189,975	0	189,975

CASH COMBINING STATEMENT  
DEBT SERVICE FUNDS  
2006-2007  
(thousands of dollars)

	304	311	316	319	330	361	364	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	34,000	0	0	24,585	131,390	0	0	189,975	0	189,975
<b>Receipts:</b>										
Taxes	0	7,552,000	0	0	0	653,000	2,714,325	10,919,325	0	10,919,325
Miscellaneous Receipts	228,004	0	21,537	97,830	316,700	0	500	664,571	0	664,571
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	228,004	7,552,000	21,537	97,830	316,700	653,000	2,714,825	11,583,896	0	11,583,896
<b>Disbursements:</b>										
Grants to Local Governments	0	0	0	0	0	0	0	0	0	0
State Operations	8,645	41,349	0	2,100	7,200	0	6,000	65,294	0	65,294
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	324,512	3,333,697	22,537	27,831	57,344	0	352,345	4,118,266	0	4,118,266
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	333,157	3,375,046	22,537	29,931	64,544	0	358,345	4,183,560	0	4,183,560
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	2,679,699	2,754,652	1,000	35,569	0	0	0	5,470,920	(121,001)	5,349,919
Transfers to Other Funds	(2,575,546)	(6,931,604)	0	(106,862)	(241,050)	(653,000)	(2,356,480)	(12,864,542)	121,001	(12,743,541)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	104,153	(4,176,952)	1,000	(71,293)	(241,050)	(653,000)	(2,356,480)	(7,393,622)	0	(7,393,622)
<b>Change in Fund Balance</b>	(1,000)	2	0	(3,394)	11,106	0	0	6,714	0	6,714
<b>Closing Fund Balance</b>	33,000	2	0	21,191	142,496	0	0	196,689	0	196,689

# FINANCIAL PLAN

**GAAP COMBINING STATEMENT  
GENERAL FUND  
2005-2006  
(millions of dollars)**

	001	003	004	007	013	323	325	326	331	334
<b>Receipts:</b>										
Personal income tax	0	22,460	0	0	0	0	0	0	0	0
User taxes and fees	0	8,663	0	0	0	0	0	0	0	0
Business taxes	0	5,211	0	0	0	0	0	0	0	0
Other taxes	0	753	0	0	0	0	0	0	0	0
Miscellaneous receipts	0	1,683	0	0	0	220	16	34	4	276
Federal grants	0	9	0	0	0	0	0	0	0	0
<b>Total receipts</b>	<b>0</b>	<b>38,779</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>220</b>	<b>16</b>	<b>34</b>	<b>4</b>	<b>276</b>
<b>Disbursements:</b>										
Grants to local governments	33,019	0	0	139	0	0	0	0	0	0
State operations	0	7,669	0	0	2	144	10	35	3	324
General State charges	0	3,076	0	0	0	16	0	0	1	18
Debt service	0	25	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<b>33,019</b>	<b>10,770</b>	<b>0</b>	<b>139</b>	<b>2</b>	<b>160</b>	<b>10</b>	<b>35</b>	<b>4</b>	<b>342</b>
<b>Other financing sources (uses):</b>										
Transfers from other funds	0	10,623	73	100	2	(56)	0	0	0	72
Transfers to other funds	(1,132)	(3,994)	0	0	0	0	0	0	0	(2)
Proceeds from financing arrangements/advance refundings	934	0	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	<b>(196)</b>	<b>6,629</b>	<b>73</b>	<b>100</b>	<b>2</b>	<b>(56)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>70</b>
<b>Surplus/(Deficit)</b>	<b>(33,217)</b>	<b>34,638</b>	<b>73</b>	<b>(39)</b>	<b>0</b>	<b>2</b>	<b>6</b>	<b>(1)</b>	<b>0</b>	<b>4</b>

**GAAP COMBINING STATEMENT  
GENERAL FUND  
2005-2006  
(millions of dollars)**

	339	343	351	352	353	394	395	396	397	450	Eliminations	Total
<b>Receipts:</b>												
Personal income tax	0	0	0	0	0	0	0	0	0	0	0	22,460
User taxes and fees	0	0	0	0	0	0	0	0	0	0	0	8,663
Business taxes	0	0	0	0	0	0	0	0	0	0	0	5,211
Other taxes	0	0	0	0	0	0	0	0	0	0	0	753
Miscellaneous receipts	2,020	2	3	1	3	2	1	11	49	4	(560)	3,769
Federal grants	0	0	0	0	0	0	0	0	0	0	0	9
<b>Total receipts</b>	<u>2,020</u>	<u>2</u>	<u>3</u>	<u>1</u>	<u>3</u>	<u>2</u>	<u>1</u>	<u>11</u>	<u>49</u>	<u>4</u>	<u>(560)</u>	<u>40,865</u>
<b>Disbursements:</b>												
Grants to local governments	2,056	0	0	0	0	0	0	0	0	0	0	35,214
State operations	3,054	2	3	1	3	2	1	15	60	4	(560)	10,772
General State charges	279	1	0	0	0	0	0	4	11	1	0	3,407
Debt service	0	0	0	0	0	0	0	0	0	0	0	25
Capital projects	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<u>5,389</u>	<u>3</u>	<u>3</u>	<u>1</u>	<u>3</u>	<u>2</u>	<u>1</u>	<u>19</u>	<u>71</u>	<u>5</u>	<u>(560)</u>	<u>49,418</u>
<b>Other financing sources (uses):</b>												
Transfers from other funds	3,524	0	0	0	0	0	0	8	14	0	(363)	13,995
Transfers to other funds	(240)	0	0	0	0	0	0	0	0	0	363	(5,005)
Proceeds from financing arrangements/advance refundings	0	0	0	0	0	0	0	0	0	0	0	934
<b>Net other financing sources (uses)</b>	<u>3,284</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>8</u>	<u>14</u>	<u>0</u>	<u>0</u>	<u>9,924</u>
<b>Surplus/(Deficit)</b>	<u>(65)</u>	<u>(1)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(6)</u>	<u>(1)</u>	<u>0</u>	<u>1,371</u>

# FINANCIAL PLAN

GAAP COMBINING STATEMENT  
GENERAL FUND  
2006-2007  
(millions of dollars)

	001	003	004	007	013	323	325	326	331	334
<b>Receipts:</b>										
Personal income tax	0	21,232	0	0	0	0	0	0	0	0
User taxes and fees	0	8,827	0	0	0	0	0	0	0	0
Business taxes	0	5,110	0	0	0	0	0	0	0	0
Other taxes	0	932	0	0	0	0	0	0	0	0
Miscellaneous receipts	0	2,020	0	0	0	337	10	34	4	287
Federal grants	0	9	0	0	0	0	0	0	0	0
<b>Total receipts</b>	<b>0</b>	<b>38,130</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>337</b>	<b>10</b>	<b>34</b>	<b>4</b>	<b>287</b>
<b>Disbursements:</b>										
Grants to local governments	34,212	0	0	150	0	0	0	0	0	0
State operations	0	7,915	0	0	2	232	10	34	4	411
General State charges	0	3,361	0	0	0	16	0	0	1	21
Debt service	0	26	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<b>34,212</b>	<b>11,302</b>	<b>0</b>	<b>150</b>	<b>2</b>	<b>248</b>	<b>10</b>	<b>34</b>	<b>5</b>	<b>432</b>
<b>Other financing sources (uses):</b>										
Transfers from other funds	0	10,612	0	100	2	0	0	0	0	130
Transfers to other funds	(1,116)	(4,065)	0	0	0	(85)	0	0	0	(1)
Proceeds from financing arrangements/advance refundings	1,145	0	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	<b>29</b>	<b>6,527</b>	<b>0</b>	<b>100</b>	<b>2</b>	<b>(85)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>129</b>
<b>Surplus/(Deficit)</b>	<b>(34,183)</b>	<b>33,355</b>	<b>0</b>	<b>(50)</b>	<b>0</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>(1)</b>	<b>(16)</b>

**GAAP COMBINING STATEMENT  
GENERAL FUND  
2006-2007  
(millions of dollars)**

	339	343	351	352	353	394	395	396	397	450	Eliminations	Total
<b>Receipts:</b>												
Personal income tax	0	0	0	0	0	0	0	0	0	0	0	21,232
User taxes and fees	0	0	0	0	0	0	0	0	0	0	0	8,827
Business taxes	0	0	0	0	0	0	0	0	0	0	0	5,110
Other taxes	0	0	0	0	0	0	0	0	0	0	0	932
Miscellaneous receipts	2,281	2	4	1	3	3	1	11	50	4	(690)	4,362
Federal grants	5	0	0	0	0	0	0	0	0	0	0	14
<b>Total receipts</b>	<u>2,286</u>	<u>2</u>	<u>4</u>	<u>1</u>	<u>3</u>	<u>3</u>	<u>1</u>	<u>11</u>	<u>50</u>	<u>4</u>	<u>(690)</u>	<u>40,477</u>
<b>Disbursements:</b>												
Grants to local governments	1,975	0	0	0	0	0	0	0	0	0	0	36,337
State operations	3,115	2	4	1	3	2	1	17	62	4	(690)	11,129
General State charges	303	1	0	0	0	1	0	5	11	1	0	3,721
Debt service	0	0	0	0	0	0	0	0	0	0	0	26
Capital projects	1	0	0	0	0	0	0	0	0	0	0	1
<b>Total disbursements</b>	<u>5,394</u>	<u>3</u>	<u>4</u>	<u>1</u>	<u>3</u>	<u>3</u>	<u>1</u>	<u>22</u>	<u>73</u>	<u>5</u>	<u>(690)</u>	<u>51,214</u>
<b>Other financing sources (uses):</b>												
Transfers from other funds	3,093	0	0	0	0	0	0	8	14	0	(340)	13,619
Transfers to other funds	(233)	0	0	0	0	0	0	0	0	0	340	(5,180)
Proceeds from financing arrangements/advance refundings	0	0	0	0	0	0	0	0	0	0	0	1,145
<b>Net other financing sources (uses)</b>	<u>2,860</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>8</u>	<u>14</u>	<u>0</u>	<u>0</u>	<u>9,584</u>
<b>Surplus/(Deficit)</b>	<u>(248)</u>	<u>(1)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(3)</u>	<u>(9)</u>	<u>(1)</u>	<u>0</u>	<u>(1,153)</u>

# FINANCIAL PLAN

**CASH TO GAAP CONVERSION TABLE**  
**GENERAL FUND**  
**2005-2006**  
(millions of dollars)

	Cash Financial Plan	Perspective Difference		Entity Difference	Cash Basis Subtotal	Changes In Accruals	Elimin- ations	Intrafund Eliminations	Reclass- ification	GAAP Financial Plan
		Cash Revenue Funds	Other Funds							
<b>Receipts/Revenues:</b>										
Taxes:										
Personal income tax	22,155	0	0	0	22,155	305	0	0	0	22,460
User taxes and fees	8,637	0	0	0	8,637	26	0	0	0	8,663
Business taxes	4,973	0	0	0	4,973	238	0	0	0	5,211
Other taxes	894	0	0	0	894	(141)	0	0	0	753
Miscellaneous receipts	2,591	2,034	626	626	5,251	(38)	(86)	(560)	(798)	3,769
Federal Grants	9	0	0	0	9	0	0	0	0	9
<b>Total receipts/revenues</b>	<b>39,259</b>	<b>2,034</b>	<b>626</b>	<b>626</b>	<b>41,919</b>	<b>390</b>	<b>(86)</b>	<b>(560)</b>	<b>(798)</b>	<b>40,865</b>
<b>Disbursements/expenditures:</b>										
Grants to local governments	32,085	2,056	0	0	34,141	1,553	0	0	(480)	35,214
State operations	8,246	3,270	606	606	12,122	239	(264)	(560)	(765)	10,772
General State charges	4,003	283	52	52	4,338	73	(27)	0	(977)	3,407
Debt service	0	0	23	23	23	25	0	0	(23)	25
Capital projects	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements/expenditures</b>	<b>44,334</b>	<b>5,609</b>	<b>681</b>	<b>681</b>	<b>50,624</b>	<b>1,890</b>	<b>(291)</b>	<b>(560)</b>	<b>(2,245)</b>	<b>49,418</b>
<b>Other financing sources (uses):</b>										
Transfers from other funds	9,999	3,733	94	94	13,826	0	(208)	(363)	798	14,053
Transfers to other funds	(2,899)	(243)	(37)	(37)	(3,179)	(5)	3	363	(2,245)	(5,063)
Proceeds from financing arrangements/ advance refundings	0	0	0	0	0	934	0	0	0	934
<b>Net other financing sources (uses)</b>	<b>7,100</b>	<b>3,490</b>	<b>57</b>	<b>57</b>	<b>10,647</b>	<b>929</b>	<b>(205)</b>	<b>0</b>	<b>(1,447)</b>	<b>9,924</b>
<b>(Increase)/decrease in reserves</b>	<b>(34)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(34)</b>	<b>34</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses</b>	<b>1,991</b>	<b>(85)</b>	<b>2</b>	<b>2</b>	<b>1,908</b>	<b>(537)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,371</b>

CASH TO GAAP CONVERSION TABLE  
GENERAL FUND  
2006-07  
(millions of dollars)

	Cash Financial Plan	Perspective Difference	Special Revenue Funds	Entity Difference	Other Funds	Cash Basis Subtotal	Changes in Accruals	Eliminations	Intrafund Eliminations	Reclassification	GAAP Financial Plan
<b>Receipts/Revenues:</b>											
Taxes:											
Personal income tax	22,654	0	0	0	0	22,654	(1,422)	0	0	0	21,232
User taxes and fees	8,810	0	0	0	0	8,810	17	0	0	0	8,827
Business taxes	4,999	0	0	0	0	4,999	111	0	0	0	5,110
Other taxes	900	0	0	0	0	900	32	0	0	0	932
Miscellaneous receipts	2,708	2,298	0	752	0	5,758	9	(86)	(690)	(629)	4,362
Federal Grants	9	5	0	0	0	14	0	0	0	0	14
<b>Total receipts/revenues</b>	<b>40,080</b>	<b>2,303</b>	<b>0</b>	<b>752</b>	<b>0</b>	<b>43,135</b>	<b>(1,253)</b>	<b>(86)</b>	<b>(690)</b>	<b>(629)</b>	<b>40,477</b>
<b>Disbursements/expenditures:</b>											
Grants to local governments	33,655	1,974	0	0	0	35,629	1,367	0	0	(659)	36,337
Slate operations	8,888	3,340	786	0	0	13,014	(165)	(271)	(690)	(759)	11,129
General State charges	4,344	308	0	57	0	4,709	66	(27)	0	(1,027)	3,721
Debt service	0	0	0	21	0	21	26	0	0	(21)	26
Capital projects	0	1	0	0	0	1	0	0	0	0	1
<b>Total disbursements/expenditures</b>	<b>46,887</b>	<b>5,623</b>	<b>0</b>	<b>864</b>	<b>0</b>	<b>53,374</b>	<b>1,294</b>	<b>(298)</b>	<b>(690)</b>	<b>(2,466)</b>	<b>51,214</b>
<b>Other financing sources (uses):</b>											
Transfers from other funds	10,086	3,308	0	152	0	13,546	0	(215)	(340)	628	13,619
Transfers to other funds	(2,751)	(237)	(65)	(65)	0	(3,063)	(5)	3	340	(2,465)	(5,180)
Proceeds from financing arrangements/advance refundings	0	0	0	0	0	0	1,145	0	0	0	1,145
<b>Net other financing sources (uses)</b>	<b>7,335</b>	<b>3,071</b>	<b>0</b>	<b>87</b>	<b>0</b>	<b>10,493</b>	<b>1,140</b>	<b>(212)</b>	<b>0</b>	<b>(1,837)</b>	<b>9,584</b>
<b>(increase)/decrease in reserves</b>	<b>(475)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(475)</b>	<b>475</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses</b>	<b>53</b>	<b>(249)</b>	<b>(25)</b>	<b>0</b>	<b>0</b>	<b>(221)</b>	<b>(932)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(1,153)</b>

# FINANCIAL PLAN

CASH TO GAAP CONVERSION TABLE  
SPECIAL REVENUE FUNDS  
2005-2006  
(millions of dollars)

	Cash Financial Plan	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	Correctional Service (Fund 329)	Lottery (Fund 160)	Food Stamps	Reclass SUNY	Interfund Activity	System/Manual Accruals	GAAP Financial Plan
<b>Receipts:</b>											
Taxes	5,963	0	0	0	0	0	0	0	0	(3)	5,960
Miscellaneous receipts	13,249	(120)	(2,522)	(2,034)	17	(2,294)	0	0	0	12	6,308
Federal grants	34,428	0	0	0	0	0	2,070	(170)	0	(925)	35,403
<b>Total receipts</b>	<b>53,640</b>	<b>(120)</b>	<b>(2,522)</b>	<b>(2,034)</b>	<b>17</b>	<b>(2,294)</b>	<b>2,070</b>	<b>(170)</b>	<b>0</b>	<b>(916)</b>	<b>47,671</b>
<b>Disbursements:</b>											
Grants to local governments	44,392	0	0	(2,056)	0	(2,282)	2,070	0	0	(903)	41,221
State operations	8,100	(120)	(2,733)	(3,270)	17	(177)	0	(169)	0	42	1,890
General State charges	771	0	(201)	(283)	0	(12)	0	0	0	(29)	246
Capital projects	2	0	0	0	0	0	0	0	0	0	2
<b>Total disbursements</b>	<b>53,265</b>	<b>(120)</b>	<b>(2,934)</b>	<b>(5,609)</b>	<b>17</b>	<b>(2,471)</b>	<b>2,070</b>	<b>(169)</b>	<b>0</b>	<b>(890)</b>	<b>43,159</b>
<b>Other financing sources (uses):</b>											
Transfers from other funds	4,733	0	(406)	(3,733)	0	(313)	0	0	6	0	287
Transfers to other funds	(3,958)	0	49	246	0	0	0	1	(6)	0	(3,668)
<b>Net other financing sources (uses)</b>	<b>775</b>	<b>0</b>	<b>(357)</b>	<b>(3,487)</b>	<b>0</b>	<b>(313)</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>(3,381)</b>
<b>Surplus/(Deficit)</b>	<b>1,150</b>	<b>0</b>	<b>55</b>	<b>88</b>	<b>0</b>	<b>(136)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(26)</b>	<b>1,131</b>

**CASH TO GAAP CONVERSION TABLE  
SPECIAL REVENUE FUNDS  
2006-2007  
(millions of dollars)**

	Cash Financial Plan	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	Correctional Service (Fund 329)	Lottery (Fund 160)	Food Stamps	Reclass SUNY	Interfund Activity	System/Manual Accruals	GAAP Financial Plan
<b>Receipts:</b>											
Taxes	6,609	0	0	0	0	0	0	0	0	2	6,611
Miscellaneous receipts	11,509	(120)	(2,650)	(2,297)	16	(2,618)	0	0	0	12	3,852
Federal grants	34,338	0	0	(5)	0	0	2,118	(173)	0	(947)	35,331
<b>Total receipts</b>	<b>52,456</b>	<b>(120)</b>	<b>(2,650)</b>	<b>(2,302)</b>	<b>16</b>	<b>(2,618)</b>	<b>2,118</b>	<b>(173)</b>	<b>0</b>	<b>(932)</b>	<b>45,794</b>
<b>Disbursements:</b>											
Grants to local governments	44,926	0	0	(1,975)	0	(2,398)	2,118	0	0	(924)	41,747
State operations	8,398	(120)	(2,829)	(3,339)	16	(182)	0	(173)	0	43	1,814
General State charges	860	0	(211)	(307)	0	(12)	0	0	0	(30)	300
Capital projects	3	0	0	(1)	0	0	0	0	0	0	2
<b>Total disbursements</b>	<b>54,187</b>	<b>(120)</b>	<b>(3,040)</b>	<b>(5,622)</b>	<b>16</b>	<b>(2,592)</b>	<b>2,118</b>	<b>(173)</b>	<b>0</b>	<b>(911)</b>	<b>43,863</b>
<b>Other financing sources (uses):</b>											
Transfers from other funds	4,074	0	(421)	(3,308)	0	(100)	0	0	12	0	257
Transfers to other funds	(3,695)	0	70	235	0	0	0	0	(12)	0	(3,402)
<b>Net other financing sources (uses)</b>	<b>379</b>	<b>0</b>	<b>(351)</b>	<b>(3,073)</b>	<b>0</b>	<b>(100)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(3,145)</b>
<b>Surplus/(Deficit)</b>	<b>(1,352)</b>	<b>0</b>	<b>39</b>	<b>247</b>	<b>0</b>	<b>(126)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(22)</b>	<b>(1,214)</b>

# FINANCIAL PLAN

**CASH TO GAAP CONVERSION TABLE**  
**CAPITAL PROJECTS FUNDS**  
 2005-2006  
 (millions of dollars)

	Cash Financial Plan	SUNY Rehab (Fund 074)	SUNY CAPITAL (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	Change in Uncollectable	COPS	Reclass Proceeds	System Accruals	GAAP Financial Plan
<b>Receipts:</b>										
Taxes	1,851	0	0	0	0	0	0	0	(2)	1,849
Miscellaneous receipts	1,715	0	(10)	(300)	(27)	0	0	(1,074)	0	304
Federal grants	1,782	0	0	0	0	0	0	0	0	1,782
<b>Total receipts</b>	<b>5,348</b>	<b>0</b>	<b>(10)</b>	<b>(300)</b>	<b>(27)</b>	<b>0</b>	<b>0</b>	<b>(1,074)</b>	<b>(2)</b>	<b>3,935</b>
<b>Disbursements:</b>										
Grants to local governments	1,096	0	0	(9)	0	0	0	0	(1)	1,086
Capital projects	3,886	(15)	(10)	(311)	(23)	(4)	21	0	(6)	3,538
<b>Total disbursements</b>	<b>4,982</b>	<b>(15)</b>	<b>(10)</b>	<b>(320)</b>	<b>(23)</b>	<b>(4)</b>	<b>21</b>	<b>0</b>	<b>(7)</b>	<b>4,824</b>
<b>Other financing sources (uses):</b>										
Transfers from other funds	239	(15)	0	0	0	0	0	0	0	224
Transfers to other funds	(916)	0	0	(20)	0	0	0	0	0	(936)
Proceeds of GO bonds	167	0	0	0	0	0	0	0	0	167
Proceeds from financing arrangements/advance refundings	0	0	0	0	0	0	40	1,074	0	1,114
<b>Net other financing sources (uses)</b>	<b>(510)</b>	<b>(15)</b>	<b>0</b>	<b>(20)</b>	<b>0</b>	<b>0</b>	<b>40</b>	<b>1,074</b>	<b>0</b>	<b>569</b>
<b>Surplus/(Deficit)</b>	<b>(144)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(4)</b>	<b>4</b>	<b>19</b>	<b>0</b>	<b>5</b>	<b>(120)</b>

**CASH TO GAAP CONVERSION TABLE  
CAPITAL PROJECTS FUNDS  
2006-2007  
(millions of dollars)**

	Cash Financial Plan	SUNY Rehab (Fund 074)	SUNY CAPITAL (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	COPS	Reclass Proceeds	System Accruals	GAAP Financial Plan
<b>Receipts:</b>									
Taxes	1,960	0	0	0	0	0	0	1	1,961
Miscellaneous receipts	1,890	0	(10)	(455)	(33)	0	(990)	0	402
Federal grants	1,754	0	0	0	0	0	0	0	1,754
<b>Total receipts</b>	<b>5,604</b>	<b>0</b>	<b>(10)</b>	<b>(455)</b>	<b>(33)</b>	<b>0</b>	<b>(990)</b>	<b>1</b>	<b>4,117</b>
<b>Disbursements:</b>									
Grants to local governments	1,112	0	0	(9)	0	0	0	(1)	1,102
Capital projects	4,375	(26)	(10)	(469)	(33)	31	0	(6)	3,862
<b>Total disbursements</b>	<b>5,487</b>	<b>(26)</b>	<b>(10)</b>	<b>(478)</b>	<b>(33)</b>	<b>31</b>	<b>0</b>	<b>(7)</b>	<b>4,964</b>
<b>Other financing sources (uses):</b>									
Transfers from other funds	453	(26)	0	0	0	0	0	0	427
Transfers to other funds	(860)	0	0	(23)	0	0	0	0	(883)
Proceeds of GO bonds	351	0	0	0	0	0	0	0	351
Proceeds from financing arrangements/advance refundings	0	0	0	0	0	117	990	0	1,107
<b>Net other financing sources (uses)</b>	<b>(56)</b>	<b>(26)</b>	<b>0</b>	<b>(23)</b>	<b>0</b>	<b>117</b>	<b>990</b>	<b>0</b>	<b>1,002</b>
<b>Surplus/(Deficit)</b>	<b>61</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>86</b>	<b>0</b>	<b>8</b>	<b>155</b>

# FINANCIAL PLAN

**CASH TO GAAP CONVERSION TABLE  
DEBT SERVICE FUNDS  
2005-2006  
(millions of dollars)**

	Cash Financial Plan	SUNY DORMS (Fund 330)	LGAC	COPS	Reclass Patient Fees	Reclass SUNY/CUNY DS	System Accruals	GAAP Financial Plan
<b>Receipts:</b>								
Taxes	10,368	0	0	0	0	0	(40)	10,328
Patient Fees	0	0	0	0	356	0	0	356
Miscellaneous Receipts	686	(308)	1	0	(356)	0	0	23
<b>Total receipts</b>	<b>11,054</b>	<b>(308)</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(40)</b>	<b>10,707</b>
<b>Disbursements:</b>								
State operations	70	0	0	0	0	0	0	70
Debt service	3,723	(40)	0	23	0	(648)	0	3,058
<b>Total disbursements</b>	<b>3,793</b>	<b>(40)</b>	<b>0</b>	<b>23</b>	<b>0</b>	<b>(648)</b>	<b>0</b>	<b>3,128</b>
<b>Other financing sources (uses):</b>								
Transfers from other funds	5,182	0	0	23	0	0	0	5,205
Transfers to other funds	(12,437)	234	0	0	0	(648)	0	(12,851)
<b>Net other financing sources (uses)</b>	<b>(7,255)</b>	<b>234</b>	<b>0</b>	<b>23</b>	<b>0</b>	<b>(648)</b>	<b>0</b>	<b>(7,646)</b>
<b>Surplus/(Deficit)</b>	<b>6</b>	<b>(34)</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(40)</b>	<b>(67)</b>

**CASH TO GAAP CONVERSION TABLE  
DEBT SERVICE FUNDS  
2006-2007  
(millions of dollars)**

	Cash Financial Plan	SUNY DORMS (Fund 330)	LGAC	COPS	Reclass Patient Fees	Reclass SUNY/CUNY DS	System Accruals	GAAP Financial Plan
<b>Receipts:</b>								
Taxes	10,919	0	0	0	0	0	3	10,922
Patient Fees	0	0	0	0	325	0	0	325
Miscellaneous Receipts	665	(317)	1	0	(325)	0	0	24
<b>Total receipts</b>	<b>11,584</b>	<b>(317)</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>11,271</b>
<b>Disbursements:</b>								
State operations	65	0	0	0	0	0	0	65
Debt service	4,118	(57)	0	20	0	(813)	0	3,268
<b>Total disbursements</b>	<b>4,183</b>	<b>(57)</b>	<b>0</b>	<b>20</b>	<b>0</b>	<b>(813)</b>	<b>0</b>	<b>3,333</b>
<b>Other financing sources (uses):</b>								
Transfers from other funds	5,350	0	0	20	0	0	0	5,370
Transfers to other funds	(12,744)	241	0	0	0	(813)	0	(13,316)
<b>Net other financing sources (uses)</b>	<b>(7,394)</b>	<b>241</b>	<b>0</b>	<b>20</b>	<b>0</b>	<b>(813)</b>	<b>0</b>	<b>(7,946)</b>
<b>Surplus/(Deficit)</b>	<b>7</b>	<b>(19)</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>(6)</b>

**CASH TO APPROPRIATIONS TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
2004-2005 GENERAL FUND  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>				
Agriculture & Markets, Department of	13,115	33,516	29,269	34,978
Consumer Protection Board	0	0	315	368
Economic Development, Department of	7,466	22,157	31,217	32,749
Empire State Development Corporation	3,682	252,816	0	0
Housing Finance Agency	0	0	0	0
Housing and Community Renewal, Division of	39,735	221,878	28,160	27,977
Olympic Regional Development Authority	0	0	9,050	9,050
Science, Technology & Academic Research, Office of	42,190	192,767	2,760	2,825
<b>Functional Total</b>	<b>106,188</b>	<b>723,134</b>	<b>100,771</b>	<b>107,947</b>
<b>PARKS AND THE ENVIRONMENT</b>				
Adirondack Park Agency	50	50	4,221	4,177
Environmental Conservation, Department of	1,839	5,094	109,520	123,734
Parks, Recreation and Historic Preservation, Office of	6,893	24,630	99,766	102,243
<b>Functional Total</b>	<b>8,782</b>	<b>29,774</b>	<b>213,507</b>	<b>230,154</b>
<b>TRANSPORTATION</b>				
Motor Vehicles, Department of	0	0	4,244	1,100
Transportation, Department of	112,548	114,522	860	0
<b>Functional Total</b>	<b>112,548</b>	<b>114,522</b>	<b>5,104</b>	<b>1,100</b>
<b>HEALTH &amp; SOCIAL WELFARE</b>				
Advocate for Persons with Disabilities, Office of			717	829
Aging, Office for the	63,911	65,621	2,326	2,241
Children and Families, Council on	0	0	0	0
Children & Family Services, Office of	1,110,851	1,443,132	208,546	231,338
Prevention of Domestic Violence	627	717	2,070	1,361
Health, Department of	7,583,127	7,786,050	172,961	237,619
Human Rights, Division of	0	0	13,492	13,054
Labor, Department of	4,002	39,883	5,180	4,118
Temporary and Disability Assistance, Office of	1,456,837	1,610,408	59,950	71,556
Welfare Inspector General	0	0	674	713
<b>Functional Total</b>	<b>10,219,355</b>	<b>10,945,811</b>	<b>465,916</b>	<b>562,829</b>

**CASH TO APPROPRIATIONS TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
2004-2005 GENERAL FUND  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>MENTAL HEALTH</b>				
Mental Health, Office of	730,891	747,886	603,141	603,915
Mental Retardation and Developmental Disabilities, Office of	706,314	709,778	154,608	134,012
Alcohol and Substance Abuse Services, Office of	254,506	244,760	44,455	46,783
Quality of Care for the Mentally Disabled, Commission on	157	170	2,704	2,810
<b>Functional Total</b>	<u>1,691,868</u>	<u>1,702,594</u>	<u>804,908</u>	<u>787,520</u>
<b>PUBLIC PROTECTION</b>				
Correction, Commission of			2,511	2,433
Correctional Services, Department of	3,560	36,435	2,053,710	2,046,462
Crime Victims Board	(10)	150	2,804	2,924
Criminal Justice Services, Division of	47,326	115,905	41,072	45,004
Homeland Security	0	0	6,968	7,258
Parole, Division of	31,454	72,825	144,316	143,617
Probation and Correctional Alternatives, Division of	67,015	138,579	1,888	1,888
Public Security, Office of	0	0	0	0
State Police, Division of	0	0	331,811	331,766
Capital Defenders Office	0	0	11,335	12,650
Investigation, Temporary State Commission of	0	0	3,151	3,264
Judicial Commissions	0	0	2,647	2,557
Military and Naval Affairs, Division of	6,493	62,100	22,399	16,351
<b>Functional Total</b>	<u>155,838</u>	<u>425,994</u>	<u>2,624,612</u>	<u>2,616,174</u>
<b>EDUCATION</b>				
Arts, Council on the	37,425	39,066	7,333	7,055
City University of New York	753,615	774,030	0	0
Education, Department of	14,129,381	14,489,132	40,829	63,651
Higher Education Services Corporation	928,159	978,798	0	0
State University of New York	368,995	365,300	904,703	1,634,962
<b>Functional Total</b>	<u>16,217,575</u>	<u>16,646,326</u>	<u>952,865</u>	<u>1,705,668</u>

# FINANCIAL PLAN

**CASH TO APPROPRIATIONS TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
2004-2005 GENERAL FUND  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>GENERAL GOVERNMENT</b>				
Audit and Control, Department of	39,674	39,824	116,866	123,952
Budget, Division of the	0	0	24,689	35,120
Civil Service, Department of	0	0	21,658	22,212
Elections, State Board of	0	0	3,374	3,650
Employee Relations, Office of	0	0	3,215	3,321
Executive Chamber	0	0	13,521	13,366
General Services, Office of	0	0	121,141	142,207
Inspector General, Office of the	0	0	4,136	4,147
Law, Department of	172	0	114,286	112,778
Lieutenant Governor, Office of the	0	0	378	465
Lobbying, Temporary State Commission on	0	0	1,034	1,003
Public and Private Employment Relations Board	0	0	3,394	3,400
Racing and Wagering Board, State	0	0	0	0
Real Property Services, Office of	18,000	23,044	2,837	2,570
Regulatory Reform, Governor's Office of	0	0	3,458	3,365
State, Department of	1,230	5,916	15,145	21,203
Tax Appeals, Division of	0	0	2,781	3,085
Taxation and Finance, Department of	0	0	309,622	301,683
Technology, Office for	0	0	20,212	22,700
Veterans Affairs, Division of	4,870	6,765	5,365	5,265
<b>Functional Total</b>	<b>63,946</b>	<b>75,549</b>	<b>787,112</b>	<b>825,492</b>
<b>ALL OTHER CATEGORIES</b>				
Legislature	423	500	206,711	269,716
Judiciary (excluding fringe benefits)	972,652	1,192,395	1,356,075	1,723,938
Local Government Assistance	0	0	0	0
Special Pay	55,136	55,825	31,907	374,938
Miscellaneous	1,028,211	1,248,720	1,594,693	110,479
<b>Functional Total</b>	<b>2,960,431</b>	<b>3,191,242</b>	<b>7,549,488</b>	<b>9,315,954</b>
<b>TOTAL SPENDING</b>				

NOTE 1: Cash disbursements can vary from available appropriations for a particular Financial Plan category due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across fiscal years, and use of agency appropriation interchange authorization.

NOTE 2: Special Pay Bill appropriation has not been allocated to agencies in the above chart.

NOTE 3: The 2003-04 local assistance and state operations cash actuals by agency exclude the impact of \$1.9 billion in payment deferrals that were scheduled for 2002-03 but made in 2003-04 in response to a delay in the sale of tobacco settlement revenues.

**CASH TO APPROPRIATIONS TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
2005-2006 GENERAL FUND  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>				
Agriculture & Markets, Department of		32,024	28,161	36,560
Consumer Protection Board	20,674	0	0	0
Economic Development, Department of	7,927	20,996	29,272	36,232
Empire State Development Corporation	64,598	324,409	0	0
Housing and Community Renewal, Division of	47,170	238,590	29,106	29,671
Olympic Regional Development Authority	0	0	8,350	10,050
Regional Economic Development	6,000	10,000	0	0
Science, Technology & Academic Research, Office of	48,035	198,453	2,799	2,825
<b>Functional Total</b>	<b>194,404</b>	<b>824,472</b>	<b>97,688</b>	<b>115,338</b>
<b>PARKS AND THE ENVIRONMENT</b>				
Adirondack Park Agency	50	50	4,358	4,318
Environmental Conservation, Department of	8,625	12,253	110,566	123,880
Parks, Recreation and Historic Preservation, Office of	5,176	19,182	105,272	109,912
<b>Functional Total</b>	<b>13,851</b>	<b>31,485</b>	<b>220,196</b>	<b>238,110</b>
<b>TRANSPORTATION</b>				
Motor Vehicles, Department of			0	0
Transportation, Department of	148,140	150,074	2,500	5,000
<b>Functional Total</b>	<b>148,140</b>	<b>150,074</b>	<b>2,500</b>	<b>5,000</b>
<b>HEALTH &amp; SOCIAL WELFARE</b>				
Advocate for Persons with Disabilities, Office of	0	0	0	0
Aging, Office for the	71,493	78,371	2,456	2,539
Children and Families, Council on	0	0	0	0
Children & Family Services, Office of	1,249,102	1,508,725	184,005	244,183
Prevention of Domestic Violence	927	927	1,488	2,194
Health, Department of	8,948,888	9,434,044	190,191	238,865
Human Rights, Division of	0	0	12,813	14,110
Labor, Department of	12,012	26,094	4,060	3,946
Temporary and Disability Assistance, Office of	1,314,641	1,644,340	55,313	74,582
Welfare Inspector General			714	730
<b>Functional Total</b>	<b>11,597,063</b>	<b>12,692,501</b>	<b>451,040</b>	<b>581,149</b>

**CASH TO APPROPRIATIONS TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
2005-2006 GENERAL FUND  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>MENTAL HEALTH</b>				
Mental Health, Office of	769,602	780,464	589,535	586,610
Mental Retardation and Developmental Disabilities, Office of	381,895	410,579	471,526	502,621
Alcohol and Substance Abuse Services, Office of	252,530	262,035	45,054	46,681
Quality of Care for the Mentally Disabled, Commission on	292	293	4,071	4,012
<b>Functional Total</b>	<b>1,404,319</b>	<b>1,453,371</b>	<b>1,110,186</b>	<b>1,139,924</b>
<b>PUBLIC PROTECTION</b>				
Correction, Commission of			2,510	2,577
Correctional Services, Department of	4,000	44,435	2,000,562	2,206,415
Crime Victims Board	0	150	3,314	3,332
Criminal Justice Services, Division of	63,327	152,514	41,804	46,909
Homeland Security	0	0	81,883	8,590
Parole, Division of	34,724	35,189	147,116	150,601
Public Security, Office of	0	0	0	0
Probation and Correctional Alternatives, Division of	72,965	206,875	1,867	1,888
State Police, Division of	0	0	442,013	356,682
Capital Defenders Office	0	0	4,500	12,277
Investigation, Temporary State Commission of	0	0	3,376	3,886
Judicial Commissions	0	0	2,703	2,769
Military and Naval Affairs, Division of	42,300	98,100	20,680	21,124
<b>Functional Total</b>	<b>217,316</b>	<b>537,263</b>	<b>2,752,328</b>	<b>2,817,050</b>
<b>EDUCATION</b>				
Arts, Council on the	37,400	39,000	5,300	5,300
City University of New York	942,396	869,862	0	0
Education, Department of	15,027,885	15,569,458	42,590	44,724
Higher Education Services Corporation	889,478	882,946	0	0
State University of New York	388,913	388,913	1,040,056	1,965,634
<b>Functional Total</b>	<b>17,286,072</b>	<b>17,750,179</b>	<b>1,087,946</b>	<b>2,015,658</b>

**CASH TO APPROPRIATIONS TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
2005-2006 GENERAL FUND  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>GENERAL GOVERNMENT</b>				
Audit and Control, Department of		38,325	127,589	130,042
Budget, Division of the	0	0	31,630	33,470
Civil Service, Department of	0	0	23,599	26,455
Elections, State Board of	0	0	4,094	4,109
Employee Relations, Office of	0	0	3,580	3,559
Executive Chamber	0	0	15,480	15,116
General Services, Office of	0	0	143,515	186,718
Inspector General, Office of the	0	0	4,465	4,606
Law, Department of	0	0	123,321	124,678
Lieutenant Governor, Office of the	0	0	485	509
Lobbying, Temporary State Commission on	0	0	1,409	1,474
Public and Private Employment Relations Board	0	0	3,357	3,694
Racing and Wagering Board, State	0	0	0	0
Real Property Services, Office of	21,197	21,197	0	0
Regulatory Reform, Governor's Office of	0	0	3,554	3,554
State, Department of	6,601	10,498	14,354	14,078
Tax Appeals, Division of	0	0	2,994	3,330
Taxation and Finance, Department of	0	0	307,176	311,584
Technology, Office for	0	0	20,699	21,953
Veterans Affairs, Division of	5,994	7,070	5,885	6,212
<b>Functional Total</b>	<b>71,717</b>	<b>77,090</b>	<b>837,186</b>	<b>895,141</b>
<b>ALL OTHER CATEGORIES</b>				
Legislature			206,730	258,758
Judiciary (excluding fringe benefits)	500	500	1,392,000	1,831,742
Local Government Assistance	1,036,604	1,256,083	0	0
Special Pay	0	20,200	0	102,691
Miscellaneous	45,250	46,137	53,239	89,899
<b>Functional Total</b>	<b>1,082,354</b>	<b>1,322,920</b>	<b>1,651,969</b>	<b>2,283,090</b>
<b>TOTAL SPENDING</b>	<b>32,015,236</b>	<b>34,839,355</b>	<b>8,211,039</b>	<b>10,090,459</b>

NOTE 1: Cash disbursements can vary from available appropriations for a particular Financial Plan category due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across fiscal years, and use of agency appropriation interchange authorization.

NOTE 2: Special Pay Bill appropriation has not been allocated to agencies in the above chart.

**CASH TO APPROPRIATIONS TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
2006-2007 GENERAL FUND  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>				
Agriculture & Markets, Department of	15,408	36,311	31,726	40,005
Consumer Protection Board	0	0	0	0
Economic Development, Department of	7,177	14,246	29,953	38,422
Empire State Development Corporation	40,000	412,099	0	0
Housing and Community Renewal, Division of	40,362	87,825	30,250	31,544
Olympic Regional Development Authority	0	0	7,986	7,986
Regional Economic Development	4,000	10,000	0	0
Science, Technology & Academic Research, Office of	43,165	188,028	2,892	2,925
<b>Functional Total</b>	<b>150,112</b>	<b>748,509</b>	<b>102,807</b>	<b>120,882</b>
<b>PARKS AND THE ENVIRONMENT</b>				
Adirondack Park Agency	50	50	4,505	4,407
Environmental Conservation, Department of	8,625	11,855	108,814	116,930
Parks, Recreation and Historic Preservation, Office of	3,763	5,050	115,807	122,635
<b>Functional Total</b>	<b>12,438</b>	<b>16,955</b>	<b>229,126</b>	<b>243,972</b>
<b>TRANSPORTATION</b>				
Motor Vehicles, Department of	58,016	104,985	2,500	5,000
Transportation, Department of	58,016	104,985	2,500	5,000
<b>Functional Total</b>				
<b>HEALTH &amp; SOCIAL WELFARE</b>				
Advocate for Persons with Disabilities, Office of	93,993	97,582	2,430	2,609
Aging, Office for the	1,302,188	1,571,932	238,067	261,572
Children & Family Services, Office of	927	1,039	1,582	1,886
Prevention of Domestic Violence	9,542,560	9,650,160	176,735	219,052
Health, Department of	0	0	13,178	14,492
Human Rights, Division of	2,000	22,669	1,720	1,720
Labor, Department of	0	0	15,475	35,023
Med Insp Gen	1,302,299	1,358,789	65,223	93,001
Temporary and Disability Assistance, Office of	0	0	778	794
Welfare Inspector General	12,243,967	12,702,171	515,188	630,149
<b>Functional Total</b>				

**CASH TO APPROPRIATIONS TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
2006-2007 GENERAL FUND  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>MENTAL HEALTH</b>				
Mental Health, Office of	817,774	849,128	761,729	771,407
Mental Retardation and Developmental Disabilities, Office of	439,545	529,901	475,785	537,123
Alcohol and Substance Abuse Services, Office of	280,990	287,920	52,581	52,721
Quality of Care for the Mentally Disabled, Commission on	350	293	4,282	4,156
<b>Functional Total</b>	<b>1,538,659</b>	<b>1,667,242</b>	<b>1,294,377</b>	<b>1,365,407</b>
<b>PUBLIC PROTECTION</b>				
Correction, Commission of	0	0	2,607	2,607
Correctional Services, Department of	4,000	14,000	2,106,393	2,177,398
Crime Victims	0	27	4,274	4,282
Criminal Justice Services, Division of	28,333	106,763	54,178	60,634
Homeland Security	0	0	26,522	8,849
Parole, Division of	43,299	43,896	155,873	157,023
Probation and Correctional Alternatives, Division of	74,465	89,400	1,947	1,954
Public Security, Office of	0	0	0	0
State Police, Division of	0	0	448,837	451,527
Capital Defenders Office	0	0	700	6,100
Investigation, Temporary State Commission of	0	0	3,467	3,498
Judicial Commissions	0	0	2,888	2,933
Military and Naval Affairs, Division of	5,800	85,000	23,826	23,169
<b>Functional Total</b>	<b>155,897</b>	<b>339,086</b>	<b>2,831,512</b>	<b>2,899,974</b>
<b>EDUCATION</b>				
Arts, Council on the	40,000	40,000	5,656	5,656
City University of New York	911,652	929,281	0	0
Education, Department of	15,514,964	15,852,934	40,035	40,963
Higher Education Services Corporation	783,100	800,503	0	0
STAR	530,000	530,000	0	0
State University of New York	410,371	411,436	1,046,104	1,957,225
<b>Functional Total</b>	<b>18,190,087</b>	<b>18,564,154</b>	<b>1,091,795</b>	<b>2,003,844</b>

**CASH TO APPROPRIATIONS TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
2006-2007 GENERAL FUND  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>GENERAL GOVERNMENT</b>				
Audit and Control, Department of	39,027	40,000	130,042	130,042
Budget, Division of the	0	0	31,630	33,470
Civil Service, Department of	0	0	23,359	23,836
Elections, State Board of	0	0	4,688	4,688
Employee Relations, Office of	0	0	3,768	4,020
Executive Chamber	0	0	15,480	15,116
General Services, Office of	0	0	166,393	178,627
Inspector General, Office of the	0	0	4,783	4,779
Law, Department of	0	0	124,678	124,678
Lieutenant Governor, Office of the	0	0	485	509
Lobbying, Temporary State Commission on	0	0	2,244	2,348
Public and Private Employment Relations Board	0	0	3,464	3,665
Racing and Gaming Contract Program	0	0	0	0
Real Property Services, Office of	20,713	20,800	0	0
Regulatory Reform, Governor's Office of	0	0	0	0
State, Department of	2,000	1,958	3,744	3,744
Tax Appeals, Division of	0	0	16,562	17,030
Taxation and Finance, Department of	0	0	3,098	3,423
Technology, Office for	0	0	323,352	333,452
Veterans Affairs, Division of	5,640	7,080	22,818	23,095
<b>Functional Total</b>	<b>67,380</b>	<b>69,838</b>	<b>886,632</b>	<b>912,752</b>
<b>ALL OTHER CATEGORIES</b>				
Legislature	800	1,000	212,913	212,913
Judiciary (excluding fringe benefits)	1,111,150	1,343,583	1,597,500	2,031,418
Local Government Assistance	20,204	20,845	0	0
Miscellaneous	1,132,154	1,365,427	52,652	142,972
<b>Functional Total</b>			<b>1,863,065</b>	<b>2,387,303</b>
<b>TOTAL SPENDING</b>	<b>33,548,710</b>	<b>35,578,367</b>	<b>8,817,002</b>	<b>10,569,283</b>

NOTE: Cash disbursements can vary from available appropriations for a particular Financial Plan category due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across fiscal years, and use of agency appropriation interchange authorization.

**CASH DISBURSEMENTS BY AGENCY  
2004-2005 ACTUALS  
(thousands of dollars)**

General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>Economic Development</b>							
06000 - Agric & Markets	23,299	1,372		67,055	10,434		77,489
01030 - Alcohol Bev Con	9,992			9,992	24		10,016
07000 - Banking	57,375			57,375			57,375
01120 - Consumr Protect	1,878			2,193			2,193
2173B - EcDev and NPR		750		750			750
21EDC - EcDev Capital		270,742		270,742			270,742
22000 - Economic Devel	2,260			40,943	76		41,019
21910 - Emp St Dev Corp		30,138		33,820			33,820
21190 - Enrgy Res & Dev	14,773	11,362		26,135			26,135
01080 - Hous & Comm Ren	36,092	79,948		183,935	62,465		246,400
13000 - Insurance	121,655			121,655			121,655
01630 - NYSTAR		5,597		50,547	4,128		54,675
21360 - Olymp Reg Devel	232			9,282			9,282
16000 - Public Service	49,268			49,268	1,106		50,374
2173D - Str Invest		8,284		8,284			8,284
<b>TOTAL</b>	<b>316,824</b>	<b>408,193</b>	<b>0</b>	<b>931,976</b>	<b>78,233</b>	<b>0</b>	<b>1,010,209</b>
<b>Parks &amp; The Environment</b>							
01300 - Adirondaack Park		310,657		4,271	576		4,847
09000 - Env Conservath	133,151			555,167	36,570	151,378	743,115
21750 - Env Facils Corp	7,162	7,491		14,653			14,653
21719 - Hudson River Pk		12,028		12,028			12,028
49000 - Park Rec & Hist	56,842	25,605		189,106	3,098	3,076	195,280
<b>TOTAL</b>	<b>197,155</b>	<b>355,781</b>	<b>0</b>	<b>775,225</b>	<b>40,244</b>	<b>154,454</b>	<b>969,923</b>
<b>Transportation</b>							
23000 - Motor Vehicles	64,600	130,383		199,227	14,195		213,422
55090 - Thruway Author		1,473		1,473			1,473
17000 - Transportation	1,727,301	1,727,568		3,568,277	41,933	1,566,428	5,176,638
<b>TOTAL</b>	<b>1,791,901</b>	<b>1,859,424</b>	<b>0</b>	<b>3,768,977</b>	<b>56,128</b>	<b>1,566,428</b>	<b>5,391,533</b>
<b>Health &amp; Social Welfare</b>							
01570 - Advoc for Disab	142			859	265		1,124
01370 - Aging	1			66,238	108,176		174,414
25000 - Child Fam Serv	6,684	9,331		1,335,412	1,791,163		3,126,575
1200A - Hlth Medical	2,623,972			9,577,068	19,777,613		29,354,681
1200B - Hlth MA Admin				114,892	449,334		564,226
1200C - Hlth All Other	1,485,852	5,546	0	2,179,498	1,159,905	47,943	3,387,346
01090 - Human Rights				13,492	1,200		14,692
14000 - Labor	82,009			91,191	529,681		620,872

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY AGENCY 2004-2005 ACTUALS (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
01620 - Prev Domes Vio	2,697				2,697	108		2,805
2700A - TADA Welfare	1,135,568				1,135,568	1,997,657		3,133,225
2700B - TADA Welfare Ad	304,281				304,281			304,281
2700C - TADA All Other	76,938	20,658	40,000		137,596	784,227		921,823
21012 - Welfare Ins Gen	674				674	357		1,031
14010 - Workers Comp Bd		130,193			130,193	2,328		132,521
<b>TOTAL</b>	<b>10,685,271</b>	<b>4,349,511</b>	<b>54,877</b>	<b>0</b>	<b>15,089,659</b>	<b>26,602,014</b>	<b>47,943</b>	<b>41,739,616</b>
<b>Mental Hygiene</b>								
53000 - Alc & Sub Abuse	298,961	7,826	29,905		336,692	149,445		486,137
01590 - CQCAPD	2,861				2,861	7,767		10,628
51280 - Devel Disab Pln						3,444		3,444
50000 - Mental Health	1,334,032	97,507	205,852		1,637,391	584,533		2,221,924
15000 - Mental Hygiene						1,739		1,739
51000 - Mental Retardn	860,922	18,151	61,473		940,546	1,869,131		2,809,677
<b>TOTAL</b>	<b>2,496,776</b>	<b>123,484</b>	<b>297,230</b>	<b>0</b>	<b>2,917,490</b>	<b>2,616,059</b>	<b>0</b>	<b>5,533,549</b>
<b>Public Protection</b>								
21400 - Capital Defend	11,335				11,335			11,335
10000 - Correc Services	2,057,270	43	180,338		2,237,651	14,654		2,252,305
01530 - Correction Comm	2,511				2,511	16		2,527
01490 - Crim Just Servs	88,398	9,536			97,934	247,998		345,932
01400 - Crime Victims	2,794	20,924			23,718	37,803		61,521
21HLS - HmInd Sec-Misc			7,961		7,961		1,243	9,204
01077 - Homeland Secur	6,968	2,951			9,919			9,919
21080 - Judicial Comms	2,647				2,647			2,647
01070 - Mil & Naval Aff	28,892	9,255	5,869		44,016	1,397,676	7,701	1,449,393
01020 - Parole	175,770	3			175,773	4,290		180,063
01200 - Prob & Corr Alt	68,903				68,903	5		68,908
01060 - State Police	331,811	137,787	2,579		472,177	13,810		485,987
21450 - TSC Investigatn	3,151	137			3,288			3,288
<b>TOTAL</b>	<b>2,780,450</b>	<b>180,636</b>	<b>196,747</b>	<b>0</b>	<b>3,157,833</b>	<b>1,716,252</b>	<b>8,944</b>	<b>4,883,029</b>
<b>Education</b>								
01360 - Arts Council	44,758				44,758	708		45,466
70000 - City University	753,615	101,787	193,388		1,048,790			1,048,790
1100A - ED School Aid	12,759,113	2,219,355	70,597		15,049,065	2,543,753		17,592,818
1100B - ED Handicapped	835,884				835,884	577,569		1,413,453
1100C - ED All Other	575,213	82,707	4,146		662,066	171,024		833,090
11100 - Higher Ed Servs	928,159	70,191			998,350	18,421		1,016,771
28990 - S U Constr Fund		9,139			9,139			9,139

**CASH DISBURSEMENTS BY AGENCY  
2004-2005 ACTUALS  
(thousands of dollars)**

General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
11STR - STAR	3,058,940			3,058,940			3,058,940
28000 - State University	2,901,802	398,533		4,574,033	166,522		4,740,555
<b>TOTAL</b>	<b>8,443,921</b>	<b>666,664</b>	<b>0</b>	<b>26,281,025</b>	<b>3,477,997</b>	<b>0</b>	<b>29,759,022</b>
<b>General Government</b>							
02000 - Audit & Control	54,721			211,261			211,261
01010 - Budget	7,525			32,214			32,214
08000 - Civil Service	750			22,408			22,408
01540 - Elections	3,374			3,374			3,374
01150 - Empl Relations	16			3,231			3,231
01000 - Exec Chamber	13,521			13,521			13,521
01050 - General Service	7,284	83,184		211,609	6,087		217,696
21700 - Inspector Gen	946			5,082			5,082
03000 - Law	38,611			153,069	21,566		174,635
01040 - Lieut Governor				378			378
20050 - Lottery	175,823			175,823			175,823
08010 - Pub Emp Rel Bd	3,394			3,394			3,394
01510 - Racing & Wager	14,656			14,656			14,656
01310 - Real Prop Servs	26,655			47,492			47,492
21110 - Regulatory Refrm	3,458			3,458			3,458
19000 - State	38,157	6,991		61,523	54,933		116,456
20000 - Tax and Finance	34,070			343,692	99		343,791
20030 - Tax Appeals				2,781			2,781
01110 - Technology				20,212			20,212
21430 - TSC Lobbying	239			1,273			1,273
01190 - Veteran Affairs				10,235	963		11,198
<b>TOTAL</b>	<b>399,453</b>	<b>90,175</b>	<b>0</b>	<b>1,340,686</b>	<b>83,648</b>	<b>0</b>	<b>1,424,334</b>
<b>Local Government Assistance</b>							
LG015 - Eligible Muni				20,814			20,814
LG010 - Em Fin Aid Cert	26,474			26,474			26,474
LG025 - Gen Purp St Aid	561,580			561,580			561,580
LG030 - Misc Fin Assist	3,410			3,410			3,410
LG035 - NIFA	7,500			7,500			7,500
LGSTA - STARC Payment	170,000			170,000			170,000
LG040 - Sup Muni Aid	182,874			182,874			182,874
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>972,652</b>	<b>0</b>	<b>0</b>	<b>972,652</b>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY AGENCY 2005-2006 (thousands of dollars)

General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Revenue	Federal Capital Projects	Total All Funds
<b>Economic Development</b>							
06000 - Agric & Markets	26,996	2,000		77,831	15,540		93,371
01030 - Alcohol Bev Con	10,870			10,870			10,870
07000 - Banking	66,524			66,774			66,774
01120 - Consumr Protect	2,563			2,563			2,563
21EDC - EcDev Capital		170,324		170,324			170,324
22000 - Economic Devel	4,445			41,644	345		41,989
21910 - Emp St Dev Corp		30,200		94,798			94,798
21190 - Enrgy Res & Dev	14,656	11,350		26,006			26,006
21HTD - High Tech Dev		5,000		5,000			5,000
01080 - Hous & Comm Ren	36,514	75,300		188,090	32,275	3,000	223,365
13000 - Insurance	149,329			149,329			149,329
21EDP - NYS Econ Dev Pr		155,000		155,000			155,000
01630 - NYSTAR		17,000		67,834	5,625		73,459
21360 - Olymp Reg Devel	400			8,750			8,750
16000 - Public Service	54,995			54,995	1,405		56,400
21RED - Reg Ec Dev		50,000		56,000			56,000
2173D - Str Invest		5,000		5,000			5,000
<b>TOTAL</b>	<b>367,282</b>	<b>521,174</b>	<b>0</b>	<b>1,180,798</b>	<b>55,190</b>	<b>3,000</b>	<b>1,238,988</b>
<b>Parks &amp; The Environment</b>							
01300 - Adirondack Park				4,408	350		4,758
09000 - Env Conservatn	146,064	478,610		743,865	40,294	147,500	931,659
21750 - Env Facils Corp	6,122	1,542		7,664			7,664
21719 - Hudson River Pk		15,000		15,000			15,000
49000 - Park Rec & Hist	66,287	31,060		207,795	2,772	2,500	213,067
<b>TOTAL</b>	<b>218,473</b>	<b>526,212</b>	<b>0</b>	<b>978,732</b>	<b>43,416</b>	<b>150,000</b>	<b>1,172,148</b>
<b>Transportation</b>							
21250 - Metro Tran Auth		11,600		11,600			11,600
23000 - Motor Vehicles	65,863	183,789		249,642	25,705		275,347
55090 - Thruway Author		4,000		4,000			4,000
17000 - Transportation	2,285,523	1,797,364		4,233,527	34,460	1,520,896	5,788,883
<b>TOTAL</b>	<b>2,351,376</b>	<b>1,996,753</b>	<b>0</b>	<b>4,498,769</b>	<b>60,165</b>	<b>1,520,896</b>	<b>6,079,830</b>
<b>Health &amp; Social Welfare</b>							
01370 - Aging	2,001			75,950	103,530		179,480
25000 - Child Fam Serv	11,494	16,000		1,460,601	1,620,896		3,081,497
1200A - Hlth Medical	3,412,050			11,703,047	19,887,507		31,590,554
1200B - Hlth MA Admin				119,950	469,550		589,500
1200C - Hlth All Other	2,524,528	11,500	2,510	3,266,670	1,126,705	60,341	4,453,716

**CASH DISBURSEMENTS BY AGENCY**  
**2005-2006**  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Revenue	Federal Capital Projects	Total All Funds
01090 - Human Rights	12,813	6			12,819	1,971		14,790
14000 - Labor	16,072	73,784			89,856	535,738		625,594
01620 - Prev Domes Vio	2,415	30			2,445			2,445
2700A - TADA Welfare	883,166				883,166	2,500,000		3,383,166
2700B - TADA Welfare Ad	381,550				381,550			381,550
2700C - TADA All Other	105,238	43,132	53,000		201,370	824,697		1,026,067
21012 - Welfare Ins Gen	714				714	400		1,114
14010 - Workers Comp Bd		144,188			144,188	766		144,954
<b>TOTAL</b>	<b>12,048,103</b>	<b>6,211,213</b>	<b>80,500</b>	<b>2,510</b>	<b>18,342,326</b>	<b>27,071,760</b>	<b>60,341</b>	<b>45,474,427</b>
<b>Mental Hygiene</b>								
53000 - Alc & Sub Abuse	297,584	5,380	27,160		330,124	150,335		480,459
01590 - CQCAPD	4,363	302			4,665	8,927		13,592
51280 - DevelDisab Pln						3,679		3,679
50000 - Mental Health						611,744		2,284,228
15000 - Mental Hygiene	1,359,137	98,494	214,853	8,600	1,672,484			8,600
51000 - Mental Retardn	853,421	36,676	54,496		944,593	1,970,245		2,914,838
<b>TOTAL</b>	<b>2,514,505</b>	<b>140,852</b>	<b>296,509</b>	<b>8,600</b>	<b>2,960,466</b>	<b>2,744,930</b>	<b>0</b>	<b>5,705,396</b>
<b>Public Protection</b>								
21400 - Capital Defend	4,500				4,500			4,500
10000 - Correc Services	2,006,562	836	220,000		2,227,398	61,305		2,288,703
01530 - Correction Comm	2,510				2,510			2,510
01490 - Crim Just Servs	105,131	19,022			124,153	338,161		462,314
01400 - Crime Victims	3,314	24,380			27,694	31,588		59,282
21HLS - Hmind Sec-Misc	75,875		5,468		81,343		4,600	85,943
01077 - Homeland Secur	6,008	5,944			11,952			11,952
21080 - Judicial Comms	2,703				2,703			2,703
01070 - Mil & Naval Aff	62,980	9,171	10,172		82,323	72,822	21,185	176,330
01020 - Parole	181,840	143			181,983	1,200		183,183
01200 - Prob & Corr Alt	74,832				74,832			74,832
01060 - State Police	442,013	165,162	3,174		610,349	6,469		616,818
21450 - TSC Investigath	3,376	189			3,565			3,565
<b>TOTAL</b>	<b>2,971,644</b>	<b>224,847</b>	<b>238,814</b>	<b>0</b>	<b>3,435,305</b>	<b>511,545</b>	<b>25,785</b>	<b>3,972,635</b>
<b>Education</b>								
01360 - Arts Council	42,700	700			43,400	1,269		44,669
70000 - City University	942,396	120,000	402,492		1,464,888			1,464,888
1100A - ED School Aid	13,494,028	2,282,000	31,744		15,807,772	2,544,000		18,351,772
1100B - ED Handicapped	911,073				911,073	610,000		1,521,073
1100C - ED All Other	685,374	90,872	11,030		767,276	246,890		1,014,166

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY AGENCY 2005-2006 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
11100 - Higher Ed Servs	889,478	138,502			1,027,980	10,494		1,038,474
28990 - S U Constr Fund		10,480			10,480			10,480
11STR - STAR		3,219,000			3,219,000			3,219,000
28000 - State University	1,428,969	2,982,849	461,000	10,500	4,883,318	179,015		5,062,333
<b>TOTAL</b>	<b>18,374,018</b>	<b>8,844,403</b>	<b>906,266</b>	<b>10,500</b>	<b>28,135,187</b>	<b>3,591,668</b>	<b>0</b>	<b>31,726,855</b>
<b>General Government</b>								
02000 - Audit & Control	165,514	59,491			225,005			225,005
01010 - Budget	31,630	18,975			50,605			50,605
08000 - Civil Service	23,599	1,000			24,599			24,599
01540 - Elections	4,094				4,094	1,135		5,229
01150 - Empl Relations	3,580	188			3,768			3,768
01000 - Exec Chamber	15,480	0			15,480			15,480
01050 - General Service	143,515	8,740	93,100		245,355	4,466		249,821
21700 - Inspector Gen	4,465	1,059			5,524			5,524
03000 - Law	123,321	38,839			162,160	20,285		182,445
01040 - Lieut Governor	485				485			485
20050 - Lottery		177,264			177,264			177,264
08010 - Pub Emp Rel Bd	3,357	257			3,614			3,614
01510 - Racing & Weager		18,388			18,388			18,388
01310 - Real Prop Servs	21,197	30,810			52,007			52,007
21110 - Regulatory Refrm	3,554				3,554			3,554
19000 - State	20,955	46,373	25,800		93,128	80,035		173,163
20000 - Tax and Finance	307,176	34,230			341,406	482		341,888
20030 - Tax Appeals	2,994				2,994			2,994
01110 - Technology	20,699				20,699			20,699
21430 - TSC Lobbying	1,409	467			1,876			1,876
01190 - Veteran Affairs	11,879				11,879	1,034		12,913
<b>TOTAL</b>	<b>908,903</b>	<b>436,081</b>	<b>118,900</b>	<b>0</b>	<b>1,463,884</b>	<b>107,437</b>	<b>0</b>	<b>1,571,321</b>
<b>Local Government Assistance</b>								
LG055 - Aid Inct Muni	853,831				853,831			853,831
LG030 - Misc Fin Assist	4,000				4,000			4,000
LG035 - NIFA	7,500				7,500			7,500
LG050 - Sm Govt Assist	1,069				1,069			1,069
LGSTA - STARC Payment	170,000				170,000			170,000
<b>TOTAL</b>	<b>1,036,400</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,036,400</b>	<b>0</b>	<b>0</b>	<b>1,036,400</b>

**CASH DISBURSEMENTS BY AGENCY**  
**2006-2007**  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>Economic Development</b>								
06000 - Agric & Markets	47,134	27,347	7,750		82,231	15,568		97,799
01030 - Alcohol Bev Con		15,554			15,554			15,554
07000 - Banking	0	67,084			67,084			67,084
01120 - Consumr Protect		3,007			3,007			3,007
21EDC - EcDev Capital			154,624		154,624			154,624
22000 - Economic Devel	37,130	4,457			41,587			41,932
21910 - Emp St Dev Corp	40,000		124,500		164,500	345		164,500
21190 - Enrgy Res & Dev		14,656	14,000		28,656			28,656
21HTD - High Tech Dev			75,000		75,000			75,000
01080 - Hous & Comm Ren	70,612	38,546	89,323		198,481		3,000	233,756
13000 - Insurance		163,389			163,389			163,389
21EDP - NYS Econ Dev Pr			80,000		80,000			80,000
21JCC - NYS Javits Conv			100,000		100,000			100,000
01630 - NYSTAR	46,057		10,566		56,623	5,625		62,248
21360 - Olymp Reg Devel	7,986	400			8,386			8,386
16000 - Public Service		54,792			54,792	1,301		56,093
21RED - Reg Ec Dev	4,000		20,000		24,000			24,000
2173D - Str Invest			7,000		7,000			7,000
<b>TOTAL</b>	<b>252,919</b>	<b>389,232</b>	<b>682,763</b>	<b>0</b>	<b>1,324,914</b>	<b>55,114</b>	<b>3,000</b>	<b>1,383,028</b>
<b>Parks &amp; The Environment</b>								
01300 - Adirondack Park	4,555				4,555	350		4,905
09000 - Env Conservatn	117,439	159,551	446,710		723,700	41,391	142,500	907,591
21750 - Env Facils Corp		6,360	2,898		9,258			9,258
21719 - Hudson River Pk			25,000		25,000			25,000
49000 - Park Rec & Hist	119,570	68,699	36,861		225,130	2,798	2,500	230,428
<b>TOTAL</b>	<b>241,564</b>	<b>234,610</b>	<b>511,469</b>	<b>0</b>	<b>987,643</b>	<b>44,539</b>	<b>145,000</b>	<b>1,177,182</b>
<b>Transportation</b>								
21250 - Metro Tran Auth			38,050		38,050			38,050
23000 - Motor Vehicles		76,378	187,665		264,043	26,387		290,430
55090 - Thruway Author			4,000		4,000			4,000
17000 - Transportation	60,516	2,265,656	2,118,285		4,444,457	51,126	1,478,627	5,974,210
<b>TOTAL</b>	<b>60,516</b>	<b>2,342,034</b>	<b>2,348,000</b>	<b>0</b>	<b>4,750,550</b>	<b>77,513</b>	<b>1,478,627</b>	<b>6,306,690</b>
<b>Health &amp; Social Welfare</b>								
01370 - Aging	96,423	3,051			99,474			203,007
25000 - Child Fam Serv	1,540,242	10,403	22,384		1,573,029	103,533		2,864,012
1200A - Hlth Medical	8,840,526	3,608,700			12,449,226	19,336,107		31,785,333
1200B - Hlth MA Admin	119,950				119,950	482,650		602,600

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY AGENCY 2006-2007 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Revenue	Federal Capital Projects	Total All Funds
1200C - Hlth All Other	758,819	2,836,153	183,150	2,100	3,780,222	1,201,893	32,937	5,015,052
01090 - Human Rights	13,178	6			13,184	1,943		15,127
14000 - Labor	3,720	66,826			70,546	540,991		611,537
21MIG - Med Insp Gen	15,475				15,475	15,475		30,950
01620 - Prev Domes Vio	2,509	30			2,539			2,539
2700A - TADA Welfare	893,882				893,882	2,700,000		3,593,882
2700B - TADA Welfare Ad	382,897				382,897			382,897
2700C - TADA All Other	90,743	43,123	35,600		169,466	836,452		1,005,918
21012 - Welfare Ins Gen	778				778	400		1,178
14010 - Workers Comp Bd		149,034			149,034	782		149,816
<b>TOTAL</b>	<b>12,759,142</b>	<b>6,717,326</b>	<b>241,134</b>	<b>2,100</b>	<b>19,719,702</b>	<b>26,511,209</b>	<b>32,937</b>	<b>46,263,848</b>
<b>Mental Hygiene</b>								
53000 - Alc & Sub Abuse	333,571	5,384	39,726		378,681	147,987		526,668
01590 - CQCAPD	4,632	349			4,981	9,234		14,215
51280 - Devel/Disab Pln						3,648		3,648
50000 - Mental Health	1,579,503	101,194	232,050		1,912,747	523,685		2,436,432
15000 - Mental Hygiene	915,330	91,676	93,039	8,645	1,100,045	2,033,915		3,133,960
51000 - Mental Retardn	2,833,036	198,603	364,815	8,645	3,405,099	2,718,469	0	6,123,568
<b>TOTAL</b>	<b>700</b>	<b>700</b>	<b>230,000</b>	<b>0</b>	<b>2,343,475</b>	<b>35,071</b>	<b>0</b>	<b>2,378,546</b>
<b>Public Protection</b>								
21400 - Capital Defend	2,112,393	1,082			2,113,475			2,113,475
10000 - Correc Services	2,607				2,607			2,607
01530 - Correction Comm	82,511	46,136			128,647	155,551		284,198
01490 - Crim Just Servs	4,274	62,674			66,948	31,618		98,566
01400 - Crime Victims	17,685		6,396		24,081	221,083	8,650	253,814
21HLS - Hmind Sec-Misc	8,837	4,831			13,668	75,000		88,668
01077 - Homeland Secur	2,888				2,888			2,888
21080 - Judicial Comms	29,626	7,001	11,600		48,227	70,218	37,010	155,455
01070 - Mil & Naval Aff	199,172	33			199,205	0		199,205
01020 - Parole	76,412				76,412			76,412
01200 - Prob & Corr Alt	448,837	159,614	7,009		615,460	3,976		619,436
01060 - State Police	3,467	283			3,750			3,750
21450 - TSC Investigatn			255,005	0	255,005	592,517	45,660	4,164,245
<b>TOTAL</b>	<b>45,656</b>	<b>700</b>	<b>10,000</b>	<b>0</b>	<b>46,356</b>	<b>1,269</b>	<b>0</b>	<b>47,625</b>
<b>Education</b>								
01360 - Arts Council	911,652	120,000	533,419		1,565,071			1,565,071
21CMG - Cap Match Grant								
70000 - City University								

**CASH DISBURSEMENTS BY AGENCY  
2006-2007  
(thousands of dollars)**

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
1100A - ED School Aid	13,987,501	2,397,500	0		16,385,001	2,580,000		18,965,001
1100B - ED Handicapped	986,058				986,058	628,000		1,614,058
1100C - ED All Other	581,440	98,372	21,980		701,792	243,161		944,953
11100 - Higher Ed Servs	783,100	111,372			894,472	10,497		904,969
28990 - S U Constr Fund		12,077			12,077			12,077
11STR - STAR	530,000	3,368,000			3,898,000			3,898,000
28000 - State University	1,456,475	3,086,883	647,500	7,200	5,198,058	184,463		5,382,521
<b>TOTAL</b>	<b>19,281,882</b>	<b>9,194,904</b>	<b>1,212,899</b>	<b>7,200</b>	<b>29,696,885</b>	<b>3,647,390</b>	<b>0</b>	<b>33,344,275</b>
<b>General Government</b>								
02000 - Audit & Control	169,069	60,548			229,617			229,617
01010 - Budget	31,630	20,475			52,105			52,105
08000 - Civil Service	23,359	1,599			24,958			24,958
01540 - Elections	4,688	180			4,868	209,251		214,119
01150 - Empl Relations	3,768	188			3,956			3,956
01000 - Exec Chamber	15,480	0			15,480			15,480
01050 - General Service	166,393	9,279	87,880		263,552	4,466		268,018
21700 - Inspector Gen	4,783	1,586			6,369			6,369
03000 - Law	124,678	41,746			166,424	24,482		190,906
01040 - Lieut Governor	485				485			485
20050 - Lottery		182,365			182,365			182,365
08010 - Pub Emp Rel Bd	3,464	462			3,926			3,926
01510 - Racing & Wager		18,983			18,983			18,983
01310 - Real Prop Servs	20,713	31,487			52,200			52,200
21110 - Regulatory Refrm	3,744				3,744			3,744
19000 - State	18,562	47,184	69,809		135,555	86,572		222,127
20000 - Tax and Finance	323,352	35,351			358,703	278		358,981
20030 - Tax Appeals	3,098				3,098			3,098
01110 - Technology	22,818				22,818			22,818
21430 - TSC Lobbying	2,244	555	5,000		7,799			7,799
01190 - Veteran Affairs	11,684				11,684	1,013		12,697
<b>TOTAL</b>	<b>954,012</b>	<b>451,988</b>	<b>162,689</b>	<b>0</b>	<b>1,568,689</b>	<b>326,062</b>	<b>0</b>	<b>1,894,751</b>
<b>Local Government Assistance</b>								
LG055 - Aid Incont Muni	916,081				916,081			916,081
LG060 - Eff Incent Grnts	20,000				20,000			20,000
LG030 - Misc Fin Assist	4,000				4,000			4,000
LG050 - Sim Govt Assist	1,069				1,069			1,069
LGSTA - STARC Payment	170,000				170,000			170,000
<b>TOTAL</b>	<b>1,111,150</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,111,150</b>	<b>0</b>	<b>0</b>	<b>1,111,150</b>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION 2004-2005 ACTUALS (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>Economic Development</b>								
Grants to Local Governments	106,188	9,601	79,650		195,439	62,750		258,189
State Operations	100,771	307,223			407,994	15,483		423,477
Capital Projects			328,543		328,543			328,543
<b>TOTAL</b>	<b>206,959</b>	<b>316,824</b>	<b>408,193</b>	<b>0</b>	<b>931,976</b>	<b>78,233</b>	<b>0</b>	<b>1,010,209</b>
<b>Parks &amp; The Environment</b>								
Grants to Local Governments	8,782	4,778	61,918		75,478	569	146,185	222,232
State Operations	213,507	190,611	22,530		426,648	39,675		466,323
Capital Projects		1,766	271,333		273,099		8,269	281,368
<b>TOTAL</b>	<b>222,289</b>	<b>197,155</b>	<b>355,781</b>	<b>0</b>	<b>775,225</b>	<b>40,244</b>	<b>154,454</b>	<b>969,923</b>
<b>Transportation</b>								
Grants to Local Governments	112,548	1,708,540	39,914		1,861,002	36,378	313,802	2,211,182
State Operations	5,104	82,096	0		87,200	19,750		106,950
Capital Projects		1,265	1,819,510		1,820,775		1,252,626	3,073,401
<b>TOTAL</b>	<b>117,652</b>	<b>1,791,901</b>	<b>1,859,424</b>	<b>0</b>	<b>3,768,977</b>	<b>56,128</b>	<b>1,566,428</b>	<b>5,391,533</b>
<b>Health &amp; Social Welfare</b>								
Grants to Local Governments	10,219,355	3,840,197	518		14,060,070	25,685,704	47,943	39,793,717
State Operations	465,916	509,314		0	975,230	916,310		1,891,540
Capital Projects			54,359		54,359			54,359
<b>TOTAL</b>	<b>10,685,271</b>	<b>4,349,511</b>	<b>54,877</b>	<b>0</b>	<b>15,089,659</b>	<b>26,602,014</b>	<b>47,943</b>	<b>41,739,616</b>
<b>Mental Hygiene</b>								
Grants to Local Governments	1,691,868	93,522	86,633		1,872,023	895,673		2,767,696
State Operations	804,908	29,962			834,870	1,720,386		2,555,256
Capital Projects			210,597		210,597			210,597
<b>TOTAL</b>	<b>2,496,776</b>	<b>123,484</b>	<b>297,230</b>	<b>0</b>	<b>2,917,490</b>	<b>2,616,059</b>	<b>0</b>	<b>5,533,549</b>
<b>Public Protection</b>								
Grants to Local Governments	155,838	26,474			182,312	1,612,952		1,795,264
State Operations	2,624,612	154,162			2,778,774	103,300		2,882,074
Capital Projects			196,747		196,747		8,944	205,691
<b>TOTAL</b>	<b>2,780,450</b>	<b>180,636</b>	<b>196,747</b>	<b>0</b>	<b>3,157,833</b>	<b>1,716,252</b>	<b>8,944</b>	<b>4,883,029</b>
<b>Education</b>								
Grants to Local Governments	16,217,575	5,291,206	73,036		21,581,817	3,168,325		24,750,142
State Operations	952,865	3,152,715	593,628		4,105,880	309,672		4,415,252
Capital Projects			666,664		593,628			593,628
<b>TOTAL</b>	<b>17,170,440</b>	<b>8,443,921</b>	<b>666,664</b>	<b>0</b>	<b>26,281,025</b>	<b>3,477,997</b>	<b>0</b>	<b>29,759,022</b>
<b>General Government</b>								
Grants to Local Governments	63,946	64,391			128,337	50,156		178,493
State Operations	787,112	335,062			1,122,174	33,492		1,155,666
Capital Projects			90,175		90,175			90,175
<b>TOTAL</b>	<b>851,058</b>	<b>399,453</b>	<b>90,175</b>	<b>0</b>	<b>1,340,686</b>	<b>83,648</b>	<b>0</b>	<b>1,424,334</b>
<b>Local Government Assistance</b>								
Grants to Local Governments	972,652	0	0	0	972,652	0	0	972,652
<b>TOTAL</b>	<b>972,652</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>972,652</b>	<b>0</b>	<b>0</b>	<b>972,652</b>

**CASH DISBURSEMENTS BY FUNCTION**  
 2005-2006  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>Economic Development</b>								
Grants to Local Governments	194,654	4,000	102,550		301,204	34,525	3,000	338,729
State Operations	97,688	363,282	418,624		460,970	20,665		481,635
Capital Projects			521,174	0	418,624			418,624
<b>TOTAL</b>	<b>292,342</b>	<b>367,282</b>	<b>521,174</b>	<b>0</b>	<b>1,180,798</b>	<b>55,190</b>	<b>3,000</b>	<b>1,238,988</b>
<b>Parks &amp; The Environment</b>								
Grants to Local Governments	13,851	5,899	224,827		244,577	250	147,500	392,327
State Operations	220,196	211,574	301,385		431,770	42,519		474,289
Capital Projects		1,000	526,212	0	302,385	647	2,500	305,532
<b>TOTAL</b>	<b>234,047</b>	<b>218,473</b>	<b>526,212</b>	<b>0</b>	<b>978,732</b>	<b>43,416</b>	<b>150,000</b>	<b>1,172,148</b>
<b>Transportation</b>								
Grants to Local Governments	148,140	2,265,893	316,400		2,730,433	34,990		2,765,423
State Operations	2,500	84,806	1,680,353		87,306	25,175		112,481
Capital Projects		677	1,996,753	0	1,681,030		1,520,896	3,201,926
<b>TOTAL</b>	<b>150,640</b>	<b>2,351,376</b>	<b>1,996,753</b>	<b>0</b>	<b>4,498,769</b>	<b>60,165</b>	<b>1,520,896</b>	<b>6,079,830</b>
<b>Health &amp; Social Welfare</b>								
Grants to Local Governments	11,597,063	5,651,282	53,000		17,301,345	26,149,849	60,341	43,511,535
State Operations	451,040	559,931	27,500	2,510	1,013,481	921,911		1,935,392
Capital Projects	0		80,500	2,510	27,500		0	27,500
<b>TOTAL</b>	<b>12,048,103</b>	<b>6,211,213</b>	<b>80,500</b>	<b>2,510</b>	<b>18,342,326</b>	<b>27,071,760</b>	<b>60,341</b>	<b>45,474,427</b>
<b>Mental Hygiene</b>								
Grants to Local Governments	1,404,319	113,889	90,812		1,609,020	1,255,344		2,864,364
State Operations	1,110,186	26,963	205,697	8,600	1,145,749	1,489,586		2,635,335
Capital Projects			296,509	8,600	205,697		0	205,697
<b>TOTAL</b>	<b>2,514,505</b>	<b>140,852</b>	<b>296,509</b>	<b>8,600</b>	<b>2,960,466</b>	<b>2,744,930</b>	<b>0</b>	<b>5,705,396</b>
<b>Public Protection</b>								
Grants to Local Governments	217,316	35,442			252,758	382,748		635,506
State Operations	2,754,328	189,405	238,814		2,943,733	128,797		3,072,530
Capital Projects			238,814		238,814		25,785	264,599
<b>TOTAL</b>	<b>2,971,644</b>	<b>224,847</b>	<b>238,814</b>	<b>0</b>	<b>3,465,305</b>	<b>511,545</b>	<b>25,785</b>	<b>3,972,635</b>
<b>Education</b>								
Grants to Local Governments	17,286,072	5,570,027	45,844		22,901,943	3,210,995		26,112,938
State Operations	1,087,946	3,274,376	860,422	10,500	4,372,822	380,673		4,753,495
Capital Projects			906,266	10,500	860,422		0	860,422
<b>TOTAL</b>	<b>18,374,018</b>	<b>8,844,403</b>	<b>906,266</b>	<b>10,500</b>	<b>28,135,187</b>	<b>3,591,668</b>	<b>0</b>	<b>31,726,855</b>
<b>General Government</b>								
Grants to Local Governments	71,717	71,180	25,000		167,897	74,133		242,030
State Operations	837,186	364,901	93,900		1,202,087	33,304		1,235,391
Capital Projects	0		118,900	0	93,900		0	93,900
<b>TOTAL</b>	<b>908,903</b>	<b>436,081</b>	<b>118,900</b>	<b>0</b>	<b>1,463,884</b>	<b>107,437</b>	<b>0</b>	<b>1,571,321</b>
<b>Local Government Assistance</b>								
Grants to Local Governments	1,036,400	0	0	0	1,036,400	0	0	1,036,400
<b>TOTAL</b>	<b>1,036,400</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,036,400</b>	<b>0</b>	<b>0</b>	<b>1,036,400</b>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION 2006-2007 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>Economic Development</b>								
Grants to Local Governments	150,112	3,400	113,766		267,278	34,525	3,000	304,803
State Operations	102,807	385,832	568,997		488,639	20,589		509,228
Capital Projects			682,763	0	568,997			568,997
<b>TOTAL</b>	<b>252,919</b>	<b>389,232</b>	<b>682,763</b>	<b>0</b>	<b>1,324,914</b>	<b>55,114</b>	<b>3,000</b>	<b>1,383,028</b>
<b>Parks &amp; The Environment</b>								
Grants to Local Governments	12,438	7,600	200,916		220,954	250	142,500	363,704
State Operations	229,126	226,010	310,553		455,136	43,299		498,435
Capital Projects		1,000	511,469	0	311,553	990	2,500	315,043
<b>TOTAL</b>	<b>241,564</b>	<b>234,610</b>	<b>511,469</b>	<b>0</b>	<b>987,643</b>	<b>44,539</b>	<b>145,000</b>	<b>1,177,182</b>
<b>Transportation</b>								
Grants to Local Governments	58,016	2,243,337	332,957		2,634,310	45,960		2,680,270
State Operations	2,500	98,697	2,015,043		101,197	31,553		132,750
Capital Projects		0	2,348,000	0	2,015,043		1,478,627	3,493,670
<b>TOTAL</b>	<b>60,516</b>	<b>2,342,034</b>	<b>2,348,000</b>	<b>0</b>	<b>4,750,550</b>	<b>77,513</b>	<b>1,478,627</b>	<b>6,306,690</b>
<b>Health &amp; Social Welfare</b>								
Grants to Local Governments	12,243,967	6,118,645	35,600		18,398,212	25,574,976	60,665	44,033,853
State Operations	515,188	598,681	205,534	2,100	1,115,969	936,233		2,052,202
Capital Projects	(13)	6,717,326	241,134	2,100	205,521		(27,728)	177,793
<b>TOTAL</b>	<b>12,759,142</b>	<b>6,717,326</b>	<b>241,134</b>	<b>2,100</b>	<b>19,719,702</b>	<b>26,511,209</b>	<b>32,937</b>	<b>46,263,848</b>
<b>Mental Hygiene</b>								
Grants to Local Governments	1,538,659	170,590	111,773		1,821,022	1,255,043		3,076,065
State Operations	1,294,377	28,013	253,042	8,645	1,331,035	1,463,426		2,794,461
Capital Projects		198,603	364,815	8,645	253,042		0	253,042
<b>TOTAL</b>	<b>2,833,036</b>	<b>198,603</b>	<b>364,815</b>	<b>8,645</b>	<b>3,405,099</b>	<b>2,718,469</b>	<b>0</b>	<b>6,123,568</b>
<b>Public Protection</b>								
Grants to Local Governments	155,897	95,422	251,319		251,319	485,446		736,765
State Operations	2,833,512	185,732	255,005		3,019,244	107,071		3,126,315
Capital Projects		500	255,005	0	255,505		45,660	301,165
<b>TOTAL</b>	<b>2,989,409</b>	<b>281,654</b>	<b>255,005</b>	<b>0</b>	<b>3,526,068</b>	<b>592,517</b>	<b>45,660</b>	<b>4,164,245</b>
<b>Education</b>								
Grants to Local Governments	18,190,087	5,803,526	17,100		24,010,713	3,250,010		27,260,723
State Operations	1,091,795	3,391,378	1,195,799	7,200	4,490,373	397,380		4,887,753
Capital Projects		9,194,904	1,212,899	7,200	1,195,799		0	1,195,799
<b>TOTAL</b>	<b>19,281,882</b>	<b>9,194,904</b>	<b>1,212,899</b>	<b>7,200</b>	<b>29,696,885</b>	<b>3,647,390</b>	<b>0</b>	<b>33,344,275</b>
<b>General Government</b>								
Grants to Local Governments	67,380	71,630	68,009		207,019	270,558		477,577
State Operations	886,632	380,358	94,680		1,266,990	55,504		1,322,494
Capital Projects	0	451,988	162,689	0	94,680		0	94,680
<b>TOTAL</b>	<b>954,012</b>	<b>451,988</b>	<b>162,689</b>	<b>0</b>	<b>1,568,689</b>	<b>326,062</b>	<b>0</b>	<b>1,894,751</b>
<b>Local Government Assistance</b>								
Grants to Local Governments	1,111,150	0	0	0	1,111,150	0	0	1,111,150
<b>TOTAL</b>	<b>1,111,150</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,111,150</b>	<b>0</b>	<b>0</b>	<b>1,111,150</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY  
2004-2005 ACTUALS  
(thousands of dollars)**

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>Economic Development</b>								
<b>06000 - Agric &amp; Markets</b>								
Grants to Local Governments	13,115				13,115	240		13,355
State Operations	29,269	23,299	1,372		52,568	10,194		62,762
Capital Projects			1,372		1,372			1,372
<b>TOTAL</b>	<b>42,384</b>	<b>23,299</b>	<b>1,372</b>	<b>0</b>	<b>67,055</b>	<b>10,434</b>	<b>0</b>	<b>77,489</b>
<b>01030 - Alcohol Bev Con</b>								
State Operations	0	9,992	0	0	9,992	24	0	10,016
<b>TOTAL</b>	<b>0</b>	<b>9,992</b>	<b>0</b>	<b>0</b>	<b>9,992</b>	<b>24</b>	<b>0</b>	<b>10,016</b>
<b>07000 - Banking</b>								
State Operations	0	57,375	0	0	57,375	0	0	57,375
<b>TOTAL</b>	<b>0</b>	<b>57,375</b>	<b>0</b>	<b>0</b>	<b>57,375</b>	<b>0</b>	<b>0</b>	<b>57,375</b>
<b>01120 - Consumr Protect</b>								
State Operations	315	1,878	0	0	2,193	0	0	2,193
<b>TOTAL</b>	<b>315</b>	<b>1,878</b>	<b>0</b>	<b>0</b>	<b>2,193</b>	<b>0</b>	<b>0</b>	<b>2,193</b>
<b>2173B - EcDev and NPR</b>								
Capital Projects	0	0	750	0	750	0	0	750
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>750</b>	<b>0</b>	<b>750</b>	<b>0</b>	<b>0</b>	<b>750</b>
<b>21EDC - EcDev Capital</b>								
Capital Projects	0	0	270,742	0	270,742	0	0	270,742
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>270,742</b>	<b>0</b>	<b>270,742</b>	<b>0</b>	<b>0</b>	<b>270,742</b>
<b>22000 - Economic Devel</b>								
Grants to Local Governments	7,466				7,466			7,466
State Operations	31,217	2,260	0	0	33,477	76	0	33,553
<b>TOTAL</b>	<b>38,683</b>	<b>2,260</b>	<b>0</b>	<b>0</b>	<b>40,943</b>	<b>76</b>	<b>0</b>	<b>41,019</b>
<b>21910 - Emp St Dev Corp</b>								
Grants to Local Governments	3,682				3,682			3,682
Capital Projects			30,138	0	30,138			30,138
<b>TOTAL</b>	<b>3,682</b>	<b>0</b>	<b>30,138</b>	<b>0</b>	<b>33,820</b>	<b>0</b>	<b>0</b>	<b>33,820</b>
<b>01560 - Energy Office</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21190 - Enrgy Res &amp; Dev</b>								
State Operations		14,773			14,773			14,773
Capital Projects			11,362		11,362			11,362
<b>TOTAL</b>	<b>0</b>	<b>14,773</b>	<b>11,362</b>	<b>0</b>	<b>26,135</b>	<b>0</b>	<b>0</b>	<b>26,135</b>
<b>21890 - Harlem Urbn Dev</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2004-2005 ACTUALS**  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
01080 - Hous & Comm Ren								
Grants to Local Governments	39,735	2,113	79,650		121,498	58,382		179,880
State Operations	28,160	33,979	298		62,139	4,083		66,222
Capital Projects			298		298			298
<b>TOTAL</b>	<b>67,895</b>	<b>36,092</b>	<b>79,948</b>	<b>0</b>	<b>183,935</b>	<b>62,465</b>	<b>0</b>	<b>246,400</b>
21170 - Housing Finance								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
13000 - Insurance								
Grants to Local Governments		7,488			7,488			7,488
State Operations		114,167			114,167			114,167
<b>TOTAL</b>	<b>0</b>	<b>121,655</b>	<b>0</b>	<b>0</b>	<b>121,655</b>	<b>0</b>	<b>0</b>	<b>121,655</b>
21670 - Long Island Pwr								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
21EDP - NYS Econ Dev Pr								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
21JCC - NYS Javits Conv								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
01630 - NYSTAR								
Grants to Local Governments	42,190				42,190	4,128		46,318
State Operations	2,760				2,760			2,760
Capital Projects			5,597		5,597			5,597
<b>TOTAL</b>	<b>44,950</b>	<b>0</b>	<b>5,597</b>	<b>0</b>	<b>50,547</b>	<b>4,128</b>	<b>0</b>	<b>54,675</b>
21360 - Olymp Reg Devel								
State Operations	9,050	232			9,282			9,282
<b>TOTAL</b>	<b>9,050</b>	<b>232</b>	<b>0</b>	<b>0</b>	<b>9,282</b>	<b>0</b>	<b>0</b>	<b>9,282</b>
16000 - Public Service								
State Operations		49,268			49,268	1,106		50,374
<b>TOTAL</b>	<b>0</b>	<b>49,268</b>	<b>0</b>	<b>0</b>	<b>49,268</b>	<b>1,106</b>	<b>0</b>	<b>50,374</b>
21RED - Reg Ec Dev								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
2173D - Str Invest								
Capital Projects			8,284		8,284			8,284
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>8,284</b>	<b>0</b>	<b>8,284</b>	<b>0</b>	<b>0</b>	<b>8,284</b>
<b>Economic Development</b>								
Grants to Local Governments	106,188	9,601	79,650	0	195,439	62,750	0	258,189
State Operations	100,771	307,223	0	0	407,994	15,483	0	423,477
Capital Projects	0	0	328,543	0	328,543	0	0	328,543

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY  
2004-2005 ACTUALS  
(thousands of dollars)**

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>206,959</b>	<b>316,824</b>	<b>408,193</b>	<b>0</b>	<b>931,976</b>	<b>78,233</b>	<b>0</b>	<b>1,010,209</b>
<b>Economic Development</b>								
06000 - Agric & Markets	42,384	23,299	1,372	0	67,055	10,434	0	77,489
01030 - Alcohol Bev Con	0	9,992	0	0	9,992	24	0	10,016
07000 - Banking	0	57,375	0	0	57,375	0	0	57,375
01120 - Consumr Protect	315	1,878	0	0	2,193	0	0	2,193
2173B - EcDev and NPR	0	0	750	0	750	0	0	750
21EDC - EcDev Capital	0	0	270,742	0	270,742	0	0	270,742
22000 - Economic Devel	38,683	2,260	0	0	40,943	76	0	41,019
21910 - Emp St Dev Corp	3,682	0	30,138	0	33,820	0	0	33,820
01560 - Energy Office	0	0	0	0	0	0	0	0
21190 - Enrgy Res & Dev	0	14,773	11,362	0	26,135	0	0	26,135
21890 - Harlem Urbn Dev	0	0	0	0	0	0	0	0
21HTD - High Tech Dev	0	0	0	0	0	0	0	0
01080 - Hous & Comm Ren	67,895	36,092	79,948	0	183,935	62,465	0	246,400
21170 - Housing Finance	0	0	0	0	0	0	0	0
13000 - Insurance	0	121,655	0	0	121,655	0	0	121,655
21670 - Long Island Pwr	0	0	0	0	0	0	0	0
21EDP - NYS Econ Dev Pr	0	0	0	0	0	0	0	0
21JCC - NYS Javits Conv	0	0	0	0	0	0	0	0
01630 - NYSTAR	44,950	0	5,597	0	50,547	4,128	0	54,675
21360 - Olymp Reg Devel	9,050	232	0	0	9,282	0	0	9,282
16000 - Public Service	0	49,268	0	0	49,268	1,106	0	50,374
21RED - Reg Ec Dev	0	0	0	0	0	0	0	0
2173D - Sir Invest	0	0	8,284	0	8,284	0	0	8,284
<b>TOTAL</b>	<b>206,959</b>	<b>316,824</b>	<b>408,193</b>	<b>0</b>	<b>931,976</b>	<b>78,233</b>	<b>0</b>	<b>1,010,209</b>
<b>Parks &amp; The Environment</b>								
<b>01300 - Adirondack Park</b>								
Grants to Local Governments	50	0	0	0	50	0	0	50
State Operations	4,221	0	0	0	4,221	576	0	4,797
<b>TOTAL</b>	<b>4,271</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,271</b>	<b>576</b>	<b>0</b>	<b>4,847</b>
<b>09000 - Env Conservatn</b>								
Grants to Local Governments	1,839	66	55,456	0	57,361	0	146,185	203,546
State Operations	109,520	133,085	22,530	0	265,135	36,570	0	301,705
Capital Projects	0	0	232,671	0	232,671	0	5,193	237,864
<b>TOTAL</b>	<b>111,359</b>	<b>133,151</b>	<b>310,657</b>	<b>0</b>	<b>555,167</b>	<b>36,570</b>	<b>151,378</b>	<b>743,115</b>
<b>21750 - Env Facils Corp</b>								
Grants to Local Governments	0	0	6,250	0	6,250	0	0	6,250

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2004-2005 ACTUALS**  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
State Operations		7,162			7,162			7,162
Capital Projects			1,241		1,241			1,241
<b>TOTAL</b>	<b>0</b>	<b>7,162</b>	<b>7,491</b>	<b>0</b>	<b>14,653</b>	<b>0</b>	<b>0</b>	<b>14,653</b>
<b>21719 - Hudson River Pk</b>								
Capital Projects			12,028		12,028			12,028
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>12,028</b>	<b>0</b>	<b>12,028</b>	<b>0</b>	<b>0</b>	<b>12,028</b>
<b>49000 - Park Rec &amp; Hist</b>								
Grants to Local Governments		4,712	212		11,817	569		12,386
State Operations	6,893	50,364			150,130	2,529		152,659
Capital Projects	99,766	1,766	25,393		27,159		3,076	30,235
<b>TOTAL</b>	<b>106,659</b>	<b>56,842</b>	<b>25,605</b>	<b>0</b>	<b>189,106</b>	<b>3,098</b>	<b>3,076</b>	<b>195,280</b>
<b>Parks &amp; The Environment</b>								
Grants to Local Governments	8,782	4,778	61,918		75,478	569	146,185	222,232
State Operations	213,507	190,611	22,530		426,648	39,675		466,323
Capital Projects	0	1,766	271,333		273,099	0	8,269	281,368
<b>TOTAL</b>	<b>222,289</b>	<b>197,155</b>	<b>355,781</b>	<b>0</b>	<b>775,225</b>	<b>40,244</b>	<b>154,454</b>	<b>969,923</b>
<b>Parks &amp; The Environment</b>								
01300 - Adirondack Park	4,271	0	0		4,271	576	0	4,847
09000 - Env Conservatn	111,359	133,151	310,657		555,167	36,570	151,378	743,115
21750 - Env Facils Corp	0	7,162	7,491		14,653	0	0	14,653
21719 - Hudson River Pk	0	0	12,028		12,028	0	0	12,028
49000 - Park Rec & Hist	106,659	56,842	25,605		189,106	3,098	3,076	195,280
<b>TOTAL</b>	<b>222,289</b>	<b>197,155</b>	<b>355,781</b>	<b>0</b>	<b>775,225</b>	<b>40,244</b>	<b>154,454</b>	<b>969,923</b>
<b>Transportation</b>								
21760 - Gov Traffic Sfty	0	0	0		0	0	0	0
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21250 - Metro Tran Auth</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>23000 - Motor Vehicles</b>								
Grants to Local Governments						12,064		12,064
State Operations	4,244	64,600			68,844	2,131		70,975
Capital Projects			130,383		130,383			130,383
<b>TOTAL</b>	<b>4,244</b>	<b>64,600</b>	<b>130,383</b>	<b>0</b>	<b>199,227</b>	<b>14,195</b>	<b>0</b>	<b>213,422</b>
<b>21770 - Niag Front Tran</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>55090 - Thruway Author</b>								
Grants to Local Governments	0	0	1,473		1,473	0	0	1,473
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>1,473</b>	<b>0</b>	<b>1,473</b>	<b>0</b>	<b>0</b>	<b>1,473</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY  
2004-2005 ACTUALS  
(thousands of dollars)**

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>17000 - Transportation</b>								
Grants to Local Governments	112,548	1,708,540	38,441	0	1,859,529	24,314	313,802	2,197,645
State Operations	860	17,496	0	0	18,356	17,619	0	35,975
Capital Projects		1,265	1,689,127	0	1,690,392	0	1,252,626	2,943,018
<b>TOTAL</b>	<b>113,408</b>	<b>1,727,301</b>	<b>1,727,568</b>	<b>0</b>	<b>3,568,277</b>	<b>41,933</b>	<b>1,566,428</b>	<b>5,176,638</b>
<b>Transportation</b>								
Grants to Local Governments	112,548	1,708,540	39,914	0	1,861,002	36,378	313,802	2,211,182
State Operations	5,104	82,096	0	0	87,200	19,750	0	106,950
Capital Projects	0	1,265	1,819,510	0	1,820,775	0	1,252,626	3,073,401
<b>TOTAL</b>	<b>117,652</b>	<b>1,791,901</b>	<b>1,859,424</b>	<b>0</b>	<b>3,768,977</b>	<b>56,128</b>	<b>1,566,428</b>	<b>5,391,533</b>
<b>Transportation</b>								
21760 - Gov Traffic Sfty	0	0	0	0	0	0	0	0
21250 - Metro Tran Auth	0	0	0	0	0	0	0	0
23000 - Motor Vehicles	4,244	64,600	130,383	0	199,227	14,195	0	213,422
21770 - Niag Front Tran	0	0	0	0	0	0	0	0
55090 - Thruway Author	0	0	1,473	0	1,473	0	0	1,473
17000 - Transportation	113,408	1,727,301	1,727,568	0	3,568,277	41,933	1,566,428	5,176,638
<b>TOTAL</b>	<b>117,652</b>	<b>1,791,901</b>	<b>1,859,424</b>	<b>0</b>	<b>3,768,977</b>	<b>56,128</b>	<b>1,566,428</b>	<b>5,391,533</b>
<b>Health &amp; Social Welfare</b>								
<b>01570 - Advoc for Disab</b>								
State Operations	717	142	0	0	859	265	0	1,124
<b>TOTAL</b>	<b>717</b>	<b>142</b>	<b>0</b>	<b>0</b>	<b>859</b>	<b>265</b>	<b>0</b>	<b>1,124</b>
<b>01370 - Aging</b>								
Grants to Local Governments	63,911				63,911	101,889		165,800
State Operations	2,326	1			2,327	6,287		8,614
<b>TOTAL</b>	<b>66,237</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>66,238</b>	<b>108,176</b>	<b>0</b>	<b>174,414</b>
<b>25000 - Child Fam Serv</b>								
Grants to Local Governments	1,110,851	6,684	0	0	1,117,535	1,632,284	0	2,749,819
State Operations	208,546				208,546	158,879		367,425
Capital Projects			9,331		9,331			9,331
<b>TOTAL</b>	<b>1,319,397</b>	<b>6,684</b>	<b>9,331</b>	<b>0</b>	<b>1,335,412</b>	<b>1,791,163</b>	<b>0</b>	<b>3,126,575</b>
<b>1200A - Hlth Medical</b>								
Grants to Local Governments	6,953,096	2,623,972	0	0	9,577,068	19,777,613	0	29,354,681
<b>TOTAL</b>	<b>6,953,096</b>	<b>2,623,972</b>	<b>0</b>	<b>0</b>	<b>9,577,068</b>	<b>19,777,613</b>	<b>0</b>	<b>29,354,681</b>
<b>1200B - Hlth MA Admin</b>								
Grants to Local Governments	114,892	0	0	0	114,892	449,334	0	564,226
<b>TOTAL</b>	<b>114,892</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>114,892</b>	<b>449,334</b>	<b>0</b>	<b>564,226</b>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION AND AGENCY 2004-2005 ACTUALS (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
Grants to Local Governments	515,139	1,214,471			1,729,610	948,062	47,943	2,725,615
State Operations	172,961	271,381		0	444,342	211,843		656,185
Capital Projects			5,546		5,546			5,546
<b>TOTAL</b>	<b>688,100</b>	<b>1,485,852</b>	<b>5,546</b>	<b>0</b>	<b>2,179,498</b>	<b>1,159,905</b>	<b>47,943</b>	<b>3,387,346</b>
<b>01090 - Human Rights</b>								
State Operations	13,492				13,492	1,200		14,692
<b>TOTAL</b>	<b>13,492</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>13,492</b>	<b>1,200</b>	<b>0</b>	<b>14,692</b>
<b>14000 - Labor</b>								
Grants to Local Governments	4,002	443			4,445	207,535		211,980
State Operations	5,180	81,566			86,746	322,146		408,892
<b>TOTAL</b>	<b>9,182</b>	<b>82,009</b>	<b>0</b>	<b>0</b>	<b>91,191</b>	<b>529,681</b>	<b>0</b>	<b>620,872</b>
<b>21MIG - Med Insp Gen</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>01620 - Prev Domes Vio</b>								
Grants to Local Governments	627				627			627
State Operations	2,070				2,070	108		2,178
<b>TOTAL</b>	<b>2,697</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,697</b>	<b>108</b>	<b>0</b>	<b>2,805</b>
<b>18000 - Social Services</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>2700A - TADA Welfare</b>								
Grants to Local Governments	1,135,568				1,135,568	1,997,657		3,133,225
<b>TOTAL</b>	<b>1,135,568</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,135,568</b>	<b>1,997,657</b>	<b>0</b>	<b>3,133,225</b>
<b>2700B - TADA Welfare Ad</b>								
Grants to Local Governments	304,281				304,281			304,281
<b>TOTAL</b>	<b>304,281</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>304,281</b>	<b>0</b>	<b>0</b>	<b>304,281</b>
<b>2700C - TADA All Other</b>								
Grants to Local Governments	16,988	1,311	518		18,817	571,330		590,147
State Operations	59,950	19,347			79,297	212,897		292,194
Capital Projects			39,482		39,482			39,482
<b>TOTAL</b>	<b>76,938</b>	<b>20,658</b>	<b>40,000</b>	<b>0</b>	<b>137,596</b>	<b>784,227</b>	<b>0</b>	<b>921,823</b>
<b>21012 - Welfare Ins Gen</b>								
State Operations	674				674	357		1,031
<b>TOTAL</b>	<b>674</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>674</b>	<b>357</b>	<b>0</b>	<b>1,031</b>
<b>14010 - Workers Comp Bd</b>								
State Operations	0	130,193	0	0	130,193	2,328	0	132,521
<b>TOTAL</b>	<b>0</b>	<b>130,193</b>	<b>0</b>	<b>0</b>	<b>130,193</b>	<b>2,328</b>	<b>0</b>	<b>132,521</b>
<b>01170 - Youth</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY  
2004-2005 ACTUALS  
(thousands of dollars)**

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>Health &amp; Social Welfare</b>								
Grants to Local Governments	10,219,355	3,840,197	518	0	14,060,070	25,685,704	47,943	39,793,717
State Operations	465,916	509,314	0	0	975,230	916,310	0	1,891,540
Capital Projects	0	0	54,359	0	54,359	0	0	54,359
<b>TOTAL</b>	<b>10,685,271</b>	<b>4,349,511</b>	<b>54,877</b>	<b>0</b>	<b>15,089,659</b>	<b>26,602,014</b>	<b>47,943</b>	<b>41,739,616</b>
<b>Health &amp; Social Welfare</b>								
01570 - Advoc for Disab	717	142	0	0	859	265	0	1,124
01370 - Aging	66,237	1	0	0	66,238	108,176	0	174,414
25000 - Child Fam Serv	1,319,397	6,684	9,331	0	1,335,412	1,791,163	0	3,126,575
1200A - Hlth Medical	6,953,096	2,623,972	0	0	9,577,068	19,777,613	0	29,354,681
1200B - Hlth MA Admin	114,892	0	0	0	114,892	449,334	0	564,226
1200C - Hlth All Other	688,100	1,485,852	5,546	0	2,179,498	1,159,905	47,943	3,387,346
01090 - Human Rights	13,492	0	0	0	13,492	1,200	0	14,692
14000 - Labor	9,182	82,009	0	0	91,191	529,681	0	620,872
21MIG - Med Insp Gen	0	0	0	0	0	0	0	0
01620 - Prev Domes Vio	2,697	0	0	0	2,697	108	0	2,805
18000 - Social Services	0	0	0	0	0	0	0	0
2700A - TADA Welfare	1,135,568	0	0	0	1,135,568	1,997,657	0	3,133,225
2700B - TADA Welfare Ad	304,281	0	0	0	304,281	0	0	304,281
2700C - TADA All Other	76,938	20,658	40,000	0	137,596	784,227	0	921,823
21012 - Welfare Ins Gen	674	0	0	0	674	357	0	1,031
14010 - Workers Comp Bd	0	130,193	0	0	130,193	2,328	0	132,521
01170 - Youth	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>10,685,271</b>	<b>4,349,511</b>	<b>54,877</b>	<b>0</b>	<b>15,089,659</b>	<b>26,602,014</b>	<b>47,943</b>	<b>41,739,616</b>
<b>Mental Hygiene</b>								
<b>53000 - Alc &amp; Sub Abuse</b>								
Grants to Local Governments	254,506	7,035	27,099	0	288,640	121,916	0	410,556
State Operations	44,455	791	2,806	0	45,246	27,529	0	72,775
Capital Projects	0	0	2,806	0	2,806	0	0	2,806
<b>TOTAL</b>	<b>298,961</b>	<b>7,826</b>	<b>29,905</b>	<b>0</b>	<b>336,692</b>	<b>149,445</b>	<b>0</b>	<b>486,137</b>
<b>01590 - CQCAPD</b>								
Grants to Local Governments	157	0	0	0	157	387	0	544
State Operations	2,704	0	0	0	2,704	7,380	0	10,084
<b>TOTAL</b>	<b>2,861</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,861</b>	<b>7,767</b>	<b>0</b>	<b>10,628</b>
<b>51280 - Devel Disab Pln</b>								
State Operations	0	0	0	0	0	3,444	0	3,444
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,444</b>	<b>0</b>	<b>3,444</b>

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY  
2004-2005 ACTUALS  
(thousands of dollars)**

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
Grants to Local Governments	730,891	68,565	34,934		834,390	48,370		882,760
State Operations	603,141	28,942			632,083	536,163		1,168,246
Capital Projects			170,918		170,918			170,918
<b>TOTAL</b>	<b>1,334,032</b>	<b>97,507</b>	<b>205,852</b>	<b>0</b>	<b>1,637,391</b>	<b>584,533</b>	<b>0</b>	<b>2,221,924</b>
<b>15000 - Mental Hygiene</b>								
State Operations	0	0	0	0	0	1,739	0	1,739
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,739</b>	<b>0</b>	<b>1,739</b>
<b>51000 - Mental Retardn</b>								
Grants to Local Governments	706,314	17,922	24,600		748,836	725,000		1,473,836
State Operations	154,608	229			154,837	1,144,131		1,298,968
Capital Projects			36,873		36,873			36,873
<b>TOTAL</b>	<b>860,922</b>	<b>18,151</b>	<b>61,473</b>	<b>0</b>	<b>940,546</b>	<b>1,869,131</b>	<b>0</b>	<b>2,809,677</b>
<b>Mental Hygiene</b>								
Grants to Local Governments	1,691,868	93,522	86,633	0	1,872,023	895,673	0	2,767,696
State Operations	804,908	29,962	0	0	834,870	1,720,386	0	2,555,256
Capital Projects	0	0	210,597	0	210,597	0	0	210,597
<b>TOTAL</b>	<b>2,496,776</b>	<b>123,484</b>	<b>297,230</b>	<b>0</b>	<b>2,917,490</b>	<b>2,616,059</b>	<b>0</b>	<b>5,533,549</b>
<b>Mental Hygiene</b>								
53000 - Alc & Sub Abuse	298,961	7,826	29,905	0	336,692	149,445	0	486,137
01590 - CQCAPD	2,861	0	0	0	2,861	7,767	0	10,628
51280 - Devel Disab Pln	0	0	0	0	0	3,444	0	3,444
50000 - Mental Health	1,334,032	97,507	205,852	0	1,637,391	584,533	0	2,221,924
15000 - Mental Hygiene	0	0	0	0	0	1,739	0	1,739
51000 - Mental Retardn	860,922	18,151	61,473	0	940,546	1,869,131	0	2,809,677
<b>TOTAL</b>	<b>2,496,776</b>	<b>123,484</b>	<b>297,230</b>	<b>0</b>	<b>2,917,490</b>	<b>2,616,059</b>	<b>0</b>	<b>5,533,549</b>
<b>Public Protection</b>								
21400 - Capital Defend	11,335	0	0	0	11,335	0	0	11,335
State Operations	11,335	0	0	0	11,335	0	0	11,335
<b>TOTAL</b>	<b>11,335</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>11,335</b>	<b>0</b>	<b>0</b>	<b>11,335</b>
<b>10000 - Correc Services</b>								
Grants to Local Governments	3,560	43			3,603	14,654		18,254
State Operations	2,053,710		180,338		2,234,048			2,234,048
Capital Projects								
<b>TOTAL</b>	<b>2,057,270</b>	<b>43</b>	<b>180,338</b>	<b>0</b>	<b>2,237,651</b>	<b>14,654</b>	<b>0</b>	<b>2,252,305</b>
<b>01530 - Correction Comm</b>								
State Operations	2,511	0	0	0	2,511	16	0	2,527
<b>TOTAL</b>	<b>2,511</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,511</b>	<b>16</b>	<b>0</b>	<b>2,527</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2004-2005 ACTUALS**  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
Grants to Local Governments	47,326	5,149			52,475	205,781		258,256
State Operations	41,072	4,387			45,459	42,217		87,676
<b>TOTAL</b>	<b>88,398</b>	<b>9,536</b>	<b>0</b>	<b>0</b>	<b>97,934</b>	<b>247,998</b>	<b>0</b>	<b>345,932</b>
<b>01400 - Crime Victims</b>								
Grants to Local Governments	(10)	19,675			19,665	36,217		55,882
State Operations	2,804	1,249			4,053	1,586		5,639
<b>TOTAL</b>	<b>2,794</b>	<b>20,924</b>	<b>0</b>	<b>0</b>	<b>23,718</b>	<b>37,803</b>	<b>0</b>	<b>61,521</b>
<b>21FMS - Fin Mg Sys</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21HLS - HmInd Sec-Misc</b>								
Capital Projects			7,961		7,961		1,243	9,204
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>7,961</b>	<b>0</b>	<b>7,961</b>	<b>0</b>	<b>1,243</b>	<b>9,204</b>
<b>01077 - Homeland Secur</b>								
State Operations	6,968	2,951			9,919			9,919
<b>TOTAL</b>	<b>6,968</b>	<b>2,951</b>	<b>0</b>	<b>0</b>	<b>9,919</b>	<b>0</b>	<b>0</b>	<b>9,919</b>
<b>21080 - Judicial Comms</b>								
State Operations	2,647				2,647			2,647
<b>TOTAL</b>	<b>2,647</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,647</b>	<b>0</b>	<b>0</b>	<b>2,647</b>
<b>01070 - Mil &amp; Naval Aff</b>								
Grants to Local Governments	6,493	1,650			8,143	1,371,194		1,379,337
State Operations	22,399	7,605			30,004	26,482		56,486
Capital Projects			5,869		5,869		7,701	13,570
<b>TOTAL</b>	<b>28,892</b>	<b>9,255</b>	<b>5,869</b>	<b>0</b>	<b>44,016</b>	<b>1,397,676</b>	<b>7,701</b>	<b>1,449,393</b>
<b>01020 - Parole</b>								
Grants to Local Governments	31,454				31,454			31,454
State Operations	144,316	3			144,319	4,290		148,609
<b>TOTAL</b>	<b>175,770</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>175,773</b>	<b>4,290</b>	<b>0</b>	<b>180,063</b>
<b>01200 - Prob &amp; Corr Alt</b>								
Grants to Local Governments	67,015				67,015	(240)		66,775
State Operations	1,888				1,888	245		2,133
<b>TOTAL</b>	<b>68,903</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>68,903</b>	<b>5</b>	<b>0</b>	<b>68,908</b>
<b>01060 - State Police</b>								
State Operations	331,811	137,787			469,598	13,810		483,408
Capital Projects			2,579		2,579			2,579
<b>TOTAL</b>	<b>331,811</b>	<b>137,787</b>	<b>2,579</b>	<b>0</b>	<b>472,177</b>	<b>13,810</b>	<b>0</b>	<b>485,987</b>
<b>21450 - TSC Investigatn</b>								
State Operations	3,151	137			3,288			3,288
<b>TOTAL</b>	<b>3,151</b>	<b>137</b>	<b>0</b>	<b>0</b>	<b>3,288</b>	<b>0</b>	<b>0</b>	<b>3,288</b>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION AND AGENCY 2004-2005 ACTUALS (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>Public Protection</b>								
Grants to Local Governments	155,838	26,474	0	0	182,312	1,612,952	0	1,795,264
State Operations	2,624,612	154,162	0	0	2,778,774	103,300	0	2,882,074
Capital Projects	0	0	196,747	0	196,747	0	8,944	205,691
<b>TOTAL</b>	<b>2,780,450</b>	<b>180,636</b>	<b>196,747</b>	<b>0</b>	<b>3,157,833</b>	<b>1,716,252</b>	<b>8,944</b>	<b>4,883,029</b>
<b>Public Protection</b>								
21400 - Capital Defend	11,335	0	0	0	11,335	0	0	11,335
10000 - Correc Services	2,057,270	43	180,338	0	2,237,651	14,654	0	2,252,305
01530 - Correction Comm	2,511	0	0	0	2,511	16	0	2,527
01490 - Crim Just Servs	88,398	9,536	0	0	97,934	247,998	0	345,932
01400 - Crime Victims	2,794	20,924	0	0	23,718	37,803	0	61,521
21FMS - Fin Mg Sys	0	0	0	0	0	0	0	0
21HLS - Hmind Sec-Misc	0	0	7,961	0	7,961	0	1,243	9,204
01077 - Homeland Secur	6,968	2,951	0	0	9,919	0	0	9,919
21080 - Judicial Comms	2,647	0	0	0	2,647	0	0	2,647
01070 - Mil & Naval Aff	28,892	9,255	5,869	0	44,016	1,397,676	7,701	1,449,393
01020 - Parole	175,770	3	0	0	175,773	4,290	0	180,063
01200 - Prob & Corr Alt	68,903	0	0	0	68,903	5	0	68,908
01060 - State Police	331,811	137,787	2,579	0	472,177	13,810	0	485,987
21450 - TSC Investigath	3,151	137	0	0	3,288	0	0	3,288
<b>TOTAL</b>	<b>2,780,450</b>	<b>180,636</b>	<b>196,747</b>	<b>0</b>	<b>3,157,833</b>	<b>1,716,252</b>	<b>8,944</b>	<b>4,883,029</b>
<b>Education</b>								
<b>01360 - Arts Council</b>								
Grants to Local Governments	37,425				37,425	229		37,654
State Operations	7,333				7,333	479		7,812
<b>TOTAL</b>	<b>44,758</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>44,758</b>	<b>708</b>	<b>0</b>	<b>45,466</b>
<b>21CMG - Cap Match Grant</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>70000 - City University</b>								
Grants to Local Governments	753,615		1,947		755,562			755,562
State Operations		101,787			101,787			101,787
Capital Projects			191,441		191,441			191,441
<b>TOTAL</b>	<b>753,615</b>	<b>101,787</b>	<b>193,388</b>	<b>0</b>	<b>1,048,790</b>	<b>0</b>	<b>0</b>	<b>1,048,790</b>
<b>1100A - ED School Aid</b>								
Grants to Local Governments	12,759,113	2,219,355	70,597		15,049,065	2,543,753		17,592,818
<b>TOTAL</b>	<b>12,759,113</b>	<b>2,219,355</b>	<b>70,597</b>	<b>0</b>	<b>15,049,065</b>	<b>2,543,753</b>	<b>0</b>	<b>17,592,818</b>
<b>1100B - ED Handicapped</b>								
Grants to Local Governments	835,884				835,884	577,569		1,413,453

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY  
2004-2005 ACTUALS  
(thousands of dollars)**

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>835,884</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>835,884</b>	<b>577,569</b>	<b>0</b>	<b>1,413,453</b>
<b>1100C - ED All Other</b>								
Grants to Local Governments	534,384	12,110			546,494	34,930		581,424
State Operations	40,829	70,597			111,426	136,094		247,520
Capital Projects			4,146		4,146			4,146
<b>TOTAL</b>	<b>575,213</b>	<b>82,707</b>	<b>4,146</b>	<b>0</b>	<b>662,066</b>	<b>171,024</b>	<b>0</b>	<b>833,090</b>
<b>11100 - Higher Ed Servs</b>								
Grants to Local Governments	928,159	801			928,960	11,844		940,804
State Operations		69,390			69,390	6,577		75,967
<b>TOTAL</b>	<b>928,159</b>	<b>70,191</b>	<b>0</b>	<b>0</b>	<b>998,350</b>	<b>18,421</b>	<b>0</b>	<b>1,016,771</b>
<b>28990 - S U Constr Fund</b>								
State Operations		9,139			9,139			9,139
<b>TOTAL</b>	<b>0</b>	<b>9,139</b>	<b>0</b>	<b>0</b>	<b>9,139</b>	<b>0</b>	<b>0</b>	<b>9,139</b>
<b>115TR - STAR</b>								
Grants to Local Governments		3,058,940			3,058,940			3,058,940
<b>TOTAL</b>	<b>0</b>	<b>3,058,940</b>	<b>0</b>	<b>0</b>	<b>3,058,940</b>	<b>0</b>	<b>0</b>	<b>3,058,940</b>
<b>28000 - State University</b>								
Grants to Local Governments	368,995		492		369,487			369,487
State Operations	904,703	2,901,802			3,806,505	166,522		3,973,027
Capital Projects			398,041		398,041			398,041
<b>TOTAL</b>	<b>1,273,698</b>	<b>2,901,802</b>	<b>398,533</b>	<b>0</b>	<b>4,574,033</b>	<b>166,522</b>	<b>0</b>	<b>4,740,555</b>
<b>Education</b>								
Grants to Local Governments	16,217,575	5,291,206	73,036		21,581,817	3,168,325		24,750,142
State Operations	952,865	3,152,715			4,105,580	309,672		4,415,252
Capital Projects			593,628		593,628			593,628
<b>TOTAL</b>	<b>17,170,440</b>	<b>8,443,921</b>	<b>666,664</b>	<b>0</b>	<b>26,281,025</b>	<b>3,477,997</b>	<b>0</b>	<b>29,759,022</b>
<b>Education</b>								
01360 - Arts Council	44,758				44,758	708		45,466
21CMG - Cap Match Grant								
70000 - City University	753,615	101,787	193,388		1,048,790			1,048,790
1100A - ED School Aid	12,759,113	2,219,355	70,597		15,049,065	2,543,753		17,592,818
1100B - ED Handicapped	835,884				835,884	577,569		1,413,453
1100C - ED All Other	575,213	82,707	4,146		662,066	171,024		833,090
11100 - Higher Ed Servs	928,159	70,191			998,350	18,421		1,016,771
28990 - S U Constr Fund								
115TR - STAR		9,139			9,139			9,139
28000 - State University	1,273,698	3,058,940			4,332,638	166,522		4,499,160
<b>TOTAL</b>	<b>17,170,440</b>	<b>8,443,921</b>	<b>666,664</b>	<b>0</b>	<b>26,281,025</b>	<b>3,477,997</b>	<b>0</b>	<b>29,759,022</b>

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2004-2005 ACTUALS**  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>General Government</b>								
<b>02000 - Audit &amp; Control</b>								
Grants to Local Governments	39,674	51,552			91,226			91,226
State Operations	116,866	3,169			120,035			120,035
<b>TOTAL</b>	<b>156,540</b>	<b>54,721</b>	<b>0</b>	<b>0</b>	<b>211,261</b>	<b>0</b>	<b>0</b>	<b>211,261</b>
<b>01010 - Budget</b>								
State Operations	24,689	7,525			32,214			32,214
<b>TOTAL</b>	<b>24,689</b>	<b>7,525</b>	<b>0</b>	<b>0</b>	<b>32,214</b>	<b>0</b>	<b>0</b>	<b>32,214</b>
<b>08000 - Civil Service</b>								
State Operations	21,658	750			22,408			22,408
<b>TOTAL</b>	<b>21,658</b>	<b>750</b>	<b>0</b>	<b>0</b>	<b>22,408</b>	<b>0</b>	<b>0</b>	<b>22,408</b>
<b>01540 - Elections</b>								
State Operations	3,374	0			3,374			3,374
<b>TOTAL</b>	<b>3,374</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,374</b>	<b>0</b>	<b>0</b>	<b>3,374</b>
<b>01150 - Empl Relations</b>								
State Operations	3,215	16			3,231			3,231
<b>TOTAL</b>	<b>3,215</b>	<b>16</b>	<b>0</b>	<b>0</b>	<b>3,231</b>	<b>0</b>	<b>0</b>	<b>3,231</b>
<b>01000 - Exec Chamber</b>								
State Operations	13,521	0			13,521			13,521
<b>TOTAL</b>	<b>13,521</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>13,521</b>	<b>0</b>	<b>0</b>	<b>13,521</b>
<b>01050 - General Service</b>								
State Operations	121,141	7,284			128,425	6,087		134,512
Capital Projects			83,184		83,184			83,184
<b>TOTAL</b>	<b>121,141</b>	<b>7,284</b>	<b>83,184</b>	<b>0</b>	<b>211,609</b>	<b>6,087</b>	<b>0</b>	<b>217,696</b>
<b>21700 - Inspector Gen</b>								
State Operations	4,136	946			5,082			5,082
<b>TOTAL</b>	<b>4,136</b>	<b>946</b>	<b>0</b>	<b>0</b>	<b>5,082</b>	<b>0</b>	<b>0</b>	<b>5,082</b>
<b>03000 - Law</b>								
Grants to Local Governments	172				172			172
State Operations	114,286	38,611			152,897	21,566		174,463
<b>TOTAL</b>	<b>114,458</b>	<b>38,611</b>	<b>0</b>	<b>0</b>	<b>153,069</b>	<b>21,566</b>	<b>0</b>	<b>174,635</b>
<b>01040 - Lieut Governor</b>								
State Operations	378	0			378			378
<b>TOTAL</b>	<b>378</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>378</b>	<b>0</b>	<b>0</b>	<b>378</b>
<b>20050 - Lottery</b>								
State Operations	0	175,823			175,823			175,823
<b>TOTAL</b>	<b>0</b>	<b>175,823</b>	<b>0</b>	<b>0</b>	<b>175,823</b>	<b>0</b>	<b>0</b>	<b>175,823</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2004-2005 ACTUALS**  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
State Operations	3,394				3,394			3,394
<b>TOTAL</b>	<b>3,394</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,394</b>	<b>0</b>	<b>0</b>	<b>3,394</b>
<b>21RGC - Race&amp;Game Contr</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations		14,656			14,656			14,656
<b>TOTAL</b>	<b>0</b>	<b>14,656</b>	<b>0</b>	<b>0</b>	<b>14,656</b>	<b>0</b>	<b>0</b>	<b>14,656</b>
<b>01310 - Real Prop Servs</b>								
Grants to Local Governments	18,000				18,000			18,000
State Operations	2,837	26,655			29,492			29,492
<b>TOTAL</b>	<b>20,837</b>	<b>26,655</b>	<b>0</b>	<b>0</b>	<b>47,492</b>	<b>0</b>	<b>0</b>	<b>47,492</b>
<b>21110 - Regulatory Refrm</b>								
State Operations	3,458				3,458			3,458
<b>TOTAL</b>	<b>3,458</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,458</b>	<b>0</b>	<b>0</b>	<b>3,458</b>
<b>19000 - State</b>								
Grants to Local Governments	1,230	12,839			14,069	50,156		64,225
State Operations	15,145	25,318			40,463	4,777		45,240
Capital Projects			6,991		6,991			6,991
<b>TOTAL</b>	<b>16,375</b>	<b>38,157</b>	<b>6,991</b>	<b>0</b>	<b>61,523</b>	<b>54,933</b>	<b>0</b>	<b>116,456</b>
<b>20000 - Tax and Finance</b>								
State Operations	309,622	34,070			343,692	99		343,791
<b>TOTAL</b>	<b>309,622</b>	<b>34,070</b>	<b>0</b>	<b>0</b>	<b>343,692</b>	<b>99</b>	<b>0</b>	<b>343,791</b>
<b>20030 - Tax Appeals</b>								
State Operations	2,781				2,781			2,781
<b>TOTAL</b>	<b>2,781</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,781</b>	<b>0</b>	<b>0</b>	<b>2,781</b>
<b>01110 - Technology</b>								
State Operations	20,212				20,212			20,212
<b>TOTAL</b>	<b>20,212</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>20,212</b>	<b>0</b>	<b>0</b>	<b>20,212</b>
<b>21430 - TSC Lobbying</b>								
State Operations	1,034	239			1,273			1,273
<b>TOTAL</b>	<b>1,034</b>	<b>239</b>	<b>0</b>	<b>0</b>	<b>1,273</b>	<b>0</b>	<b>0</b>	<b>1,273</b>
<b>01190 - Veteran Affairs</b>								
Grants to Local Governments	4,870				4,870			4,870
State Operations	5,365				5,365	963		6,328
<b>TOTAL</b>	<b>10,235</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10,235</b>	<b>963</b>	<b>0</b>	<b>11,198</b>
<b>General Government</b>								
Grants to Local Governments	63,946	64,391			128,337	50,156		178,493
State Operations	787,112	335,062			1,122,174	33,492		1,155,666

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2004-2005 ACTUALS**  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
Capital Projects	0	0	90,175	0	90,175	0	0	90,175
<b>TOTAL</b>	<b>851,058</b>	<b>399,453</b>	<b>90,175</b>	<b>0</b>	<b>1,340,686</b>	<b>83,648</b>	<b>0</b>	<b>1,424,334</b>
<b>General Government</b>								
02000 - Audit & Control	156,540	54,721	0	0	211,261	0	0	211,261
01010 - Budget	24,689	7,525	0	0	32,214	0	0	32,214
08000 - Civil Service	21,658	750	0	0	22,408	0	0	22,408
01540 - Elections	3,374	0	0	0	3,374	0	0	3,374
01150 - Empl Relations	3,215	16	0	0	3,231	0	0	3,231
01000 - Exec Chamber	13,521	0	0	0	13,521	0	0	13,521
01050 - General Service	121,141	7,284	83,184	0	211,609	6,087	0	217,696
21700 - Inspector Gen	4,136	946	0	0	5,082	0	0	5,082
03000 - Law	114,458	38,611	0	0	153,069	21,566	0	174,635
01040 - Lieut Governor	378	0	0	0	378	0	0	378
20050 - Lottery	0	175,823	0	0	175,823	0	0	175,823
08010 - Pub Emp Rel Bd	3,394	0	0	0	3,394	0	0	3,394
21RGC - Race&Game Contr	0	0	0	0	0	0	0	0
01510 - Racing & Wager	0	14,656	0	0	14,656	0	0	14,656
01310 - Real Prop Servs	20,837	26,655	0	0	47,492	0	0	47,492
21110 - Regulatory Refrm	3,458	0	0	0	3,458	0	0	3,458
19000 - State	16,375	38,157	6,991	0	61,523	54,933	0	116,456
20000 - Tax and Finance	309,622	34,070	0	0	343,692	99	0	343,791
20030 - Tax Appeals	2,781	0	0	0	2,781	0	0	2,781
01110 - Technology	20,212	0	0	0	20,212	0	0	20,212
21430 - TSC Lobbying	1,034	239	0	0	1,273	0	0	1,273
01190 - Veteran Affairs	10,235	0	0	0	10,235	963	0	11,198
<b>TOTAL</b>	<b>851,058</b>	<b>399,453</b>	<b>90,175</b>	<b>0</b>	<b>1,340,686</b>	<b>83,648</b>	<b>0</b>	<b>1,424,334</b>
<b>Local Government Assistance</b>								
21LGI - 8-9 Mun Tgt Aid	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21LGB - Addl Elig Muni</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>LG055 - Aid Incnt Muni</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>LG005 - County Aid</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>LG060 - Eff Incent Grnts</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY  
2004-2005 ACTUALS  
(thousands of dollars)**

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
Grants to Local Governments	20,814	0	0	0	20,814	0	0	20,814
<b>TOTAL</b>	<b>20,814</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>20,814</b>	<b>0</b>	<b>0</b>	<b>20,814</b>
<b>LG010 - Em Fin Aid Cert</b>								
Grants to Local Governments	26,474	0	0	0	26,474	0	0	26,474
<b>TOTAL</b>	<b>26,474</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>26,474</b>	<b>0</b>	<b>0</b>	<b>26,474</b>
<b>LG025 - Gen Purp St Aid</b>								
Grants to Local Governments	561,580	0	0	0	561,580	0	0	561,580
<b>TOTAL</b>	<b>561,580</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>561,580</b>	<b>0</b>	<b>0</b>	<b>561,580</b>
<b>21LOC - Landfill Aid</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>LG030 - Misc Fin Assist</b>								
Grants to Local Governments	3,410	0	0	0	3,410	0	0	3,410
<b>TOTAL</b>	<b>3,410</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,410</b>	<b>0</b>	<b>0</b>	<b>3,410</b>
<b>LG035 - NIFA</b>								
Grants to Local Governments	7,500	0	0	0	7,500	0	0	7,500
<b>TOTAL</b>	<b>7,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>7,500</b>	<b>0</b>	<b>0</b>	<b>7,500</b>
<b>LG050 - Sm Govt Assist</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>2172K - Spec Fin Cer Min</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>LGSTA - STARC Payment</b>								
Grants to Local Governments	170,000	0	0	0	170,000	0	0	170,000
<b>TOTAL</b>	<b>170,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>170,000</b>	<b>0</b>	<b>0</b>	<b>170,000</b>
<b>21LGD - Stock Trans Inc</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>LG040 - Sup Muni Aid</b>								
Grants to Local Governments	182,874	0	0	0	182,874	0	0	182,874
<b>TOTAL</b>	<b>182,874</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>182,874</b>	<b>0</b>	<b>0</b>	<b>182,874</b>
<b>Local Government Assistance</b>								
Grants to Local Governments	972,652	0	0	0	972,652	0	0	972,652
State Operations	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>972,652</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>972,652</b>	<b>0</b>	<b>0</b>	<b>972,652</b>
<b>Local Government Assistance</b>								
21LGI - 8-9 Mun Tgt Aid	0	0	0	0	0	0	0	0
21LGB - Addl Elig Muni	0	0	0	0	0	0	0	0
LG055 - Aid Inct Muni	0	0	0	0	0	0	0	0
LG005 - County Aid	0	0	0	0	0	0	0	0

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2004-2005 ACTUALS**  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
LG060 - Eff Incen Grnts	0	0	0	0	0	0	0	0
LG015 - Eligible Muni	20,814	0	0	0	20,814	0	0	20,814
LG010 - Em Fin Aid Cert	26,474	0	0	0	26,474	0	0	26,474
LG025 - Gen Purp St Aid	561,580	0	0	0	561,580	0	0	561,580
21LOC - Landfill Aid	0	0	0	0	0	0	0	0
LG030 - Misc Fin Assist	3,410	0	0	0	3,410	0	0	3,410
LG035 - NIFA	7,500	0	0	0	7,500	0	0	7,500
LG050 - Sm Govt Assist	0	0	0	0	0	0	0	0
2172K - Spec Fin Cer Min	0	0	0	0	0	0	0	0
LGSTA - STARC Payment	170,000	0	0	0	170,000	0	0	170,000
21LGD - Stock Trans Inc	0	0	0	0	0	0	0	0
LG040 - Sup Muni Aid	182,874	0	0	0	182,874	0	0	182,874
<b>TOTAL</b>	<b>972,652</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>972,652</b>	<b>0</b>	<b>0</b>	<b>972,652</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2005-2006**  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>Economic Development</b>								
<b>06000 - Agric &amp; Markets</b>								
Grants to Local Governments	20,674				20,674	700		21,374
State Operations	28,161	26,996			55,157	14,840		69,997
Capital Projects			2,000		2,000			2,000
<b>TOTAL</b>	<b>48,835</b>	<b>26,996</b>	<b>2,000</b>	<b>0</b>	<b>77,831</b>	<b>15,540</b>	<b>0</b>	<b>93,371</b>
<b>01030 - Alcohol Bev Con</b>								
State Operations	0	10,870	0	0	10,870	0	0	10,870
<b>TOTAL</b>	<b>0</b>	<b>10,870</b>	<b>0</b>	<b>0</b>	<b>10,870</b>	<b>0</b>	<b>0</b>	<b>10,870</b>
<b>07000 - Banking</b>								
Grants to Local Governments	250				250			250
State Operations		66,524			66,524			66,524
<b>TOTAL</b>	<b>250</b>	<b>66,524</b>	<b>0</b>	<b>0</b>	<b>66,774</b>	<b>0</b>	<b>0</b>	<b>66,774</b>
<b>01120 - Consumr Protect</b>								
State Operations	0	2,553	0	0	2,553	0	0	2,553
<b>TOTAL</b>	<b>0</b>	<b>2,553</b>	<b>0</b>	<b>0</b>	<b>2,553</b>	<b>0</b>	<b>0</b>	<b>2,553</b>
<b>2173B - EcDev and NPR</b>								
State Operations	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21EDC - EcDev Capital</b>								
Capital Projects			170,324		170,324			170,324
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>170,324</b>	<b>0</b>	<b>170,324</b>	<b>0</b>	<b>0</b>	<b>170,324</b>
<b>22000 - Economic Devel</b>								
Grants to Local Governments	7,927				7,927	100		8,027
State Operations	29,272	4,445			33,717	245		33,962
<b>TOTAL</b>	<b>37,199</b>	<b>4,445</b>	<b>0</b>	<b>0</b>	<b>41,644</b>	<b>345</b>	<b>0</b>	<b>41,989</b>
<b>21910 - Emp St Dev Corp</b>								
Grants to Local Governments	64,598				64,598			64,598
Capital Projects			30,200		30,200			30,200
<b>TOTAL</b>	<b>64,598</b>	<b>0</b>	<b>30,200</b>	<b>0</b>	<b>94,798</b>	<b>0</b>	<b>0</b>	<b>94,798</b>
<b>01560 - Energy Office</b>								
State Operations	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21190 - Enrgy Res &amp; Dev</b>								
Grants to Local Governments			11,350		11,350			11,350
State Operations		14,656			14,656			14,656
<b>TOTAL</b>	<b>0</b>	<b>14,656</b>	<b>11,350</b>	<b>0</b>	<b>26,006</b>	<b>0</b>	<b>0</b>	<b>26,006</b>
<b>21890 - Harlem Urbn Dev</b>								
State Operations	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
2005-2006  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
Capital Projects			5,000		5,000			5,000
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>5,000</b>	<b>0</b>	<b>5,000</b>	<b>0</b>	<b>0</b>	<b>5,000</b>
<b>01080 - Hous &amp; Comm Ren</b>								
Grants to Local Governments	47,170	3,000	74,200		124,370	28,100	3,000	155,470
State Operations	29,106	33,514	1,100		62,620	4,175		66,795
Capital Projects			1,100		1,100			1,100
<b>TOTAL</b>	<b>76,276</b>	<b>36,514</b>	<b>75,300</b>	<b>0</b>	<b>188,090</b>	<b>32,275</b>	<b>3,000</b>	<b>223,365</b>
<b>21170 - Housing Finance</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>13000 - Insurance</b>								
State Operations		149,329			149,329			149,329
<b>TOTAL</b>	<b>0</b>	<b>149,329</b>	<b>0</b>	<b>0</b>	<b>149,329</b>	<b>0</b>	<b>0</b>	<b>149,329</b>
<b>21670 - Long Island Pwr</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21EDP - NYS Econ Dev Pr</b>								
Capital Projects			155,000		155,000			155,000
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>155,000</b>	<b>0</b>	<b>155,000</b>	<b>0</b>	<b>0</b>	<b>155,000</b>
<b>21JCC - NYS Javits Conv</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>01630 - NYSTAR</b>								
Grants to Local Governments	48,035		17,000		65,035	5,625		70,660
State Operations	2,799				2,799			2,799
<b>TOTAL</b>	<b>50,834</b>	<b>0</b>	<b>17,000</b>	<b>0</b>	<b>67,834</b>	<b>5,625</b>	<b>0</b>	<b>73,459</b>
<b>21360 - Olymp Reg Devel</b>								
State Operations	8,350	400			8,750			8,750
<b>TOTAL</b>	<b>8,350</b>	<b>400</b>	<b>0</b>	<b>0</b>	<b>8,750</b>	<b>0</b>	<b>0</b>	<b>8,750</b>
<b>16000 - Public Service</b>								
Grants to Local Governments		1,000			1,000			1,000
State Operations		53,995			53,995	1,405		55,400
<b>TOTAL</b>	<b>0</b>	<b>54,995</b>	<b>0</b>	<b>0</b>	<b>54,995</b>	<b>1,405</b>	<b>0</b>	<b>56,400</b>
<b>21RED - Reg Ec Dev</b>								
Grants to Local Governments	6,000				6,000			6,000
Capital Projects			50,000		50,000			50,000
<b>TOTAL</b>	<b>6,000</b>	<b>0</b>	<b>50,000</b>	<b>0</b>	<b>56,000</b>	<b>0</b>	<b>0</b>	<b>56,000</b>
<b>2173D - Str Invest</b>								
Capital Projects			5,000		5,000			5,000
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>5,000</b>	<b>0</b>	<b>5,000</b>	<b>0</b>	<b>0</b>	<b>5,000</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2005-2006**  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
Grants to Local Governments	194,654	4,000	102,550	0	301,204	34,525	3,000	338,729
State Operations	97,688	363,282	0	0	460,970	20,665	0	481,635
Capital Projects	0	0	418,624	0	418,624	0	0	418,624
<b>TOTAL</b>	<b>292,342</b>	<b>367,282</b>	<b>521,174</b>	<b>0</b>	<b>1,180,798</b>	<b>55,190</b>	<b>3,000</b>	<b>1,238,988</b>
<b>Economic Development</b>								
06000 - Agric & Markets	48,835	26,996	2,000	0	77,831	15,540	0	93,371
01030 - Alcohol Bev Con	0	10,870	0	0	10,870	0	0	10,870
07000 - Banking	250	66,524	0	0	66,774	0	0	66,774
01120 - Consumr Protect	0	2,553	0	0	2,553	0	0	2,553
2173B - EcDev and NPR	0	0	0	0	0	0	0	0
21EDC - EcDev Capital	0	0	170,324	0	170,324	0	0	170,324
22000 - Economic Devel	37,199	4,445	0	0	41,644	345	0	41,989
21910 - Emp St Dev Corp	64,598	0	30,200	0	94,798	0	0	94,798
01560 - Energy Office	0	0	0	0	0	0	0	0
21190 - Enrgy Res & Dev	0	14,656	11,350	0	26,006	0	0	26,006
21890 - Harlem Urbn Dev	0	0	0	0	0	0	0	0
21HTD - High Tech Dev	0	0	5,000	0	5,000	0	0	5,000
01080 - Hous & Comm Ren	76,276	36,514	75,300	0	188,090	32,275	3,000	223,365
21170 - Housing Finance	0	0	0	0	0	0	0	0
13000 - Insurance	0	149,329	0	0	149,329	0	0	149,329
21670 - Long Island Pwr	0	0	0	0	0	0	0	0
21EDP - NYS Econ Dev Pr	0	0	155,000	0	155,000	0	0	155,000
21JCC - NYS Javits Conv	0	0	0	0	0	0	0	0
01630 - NYSTAR	50,834	0	17,000	0	67,834	5,625	0	73,459
21360 - Olymp Reg Devel	8,350	400	0	0	8,750	0	0	8,750
16000 - Public Service	0	54,995	0	0	54,995	1,405	0	56,400
21RED - Reg Ec Dev	6,000	0	50,000	0	56,000	0	0	56,000
2173D - Str Invest	0	0	5,000	0	5,000	0	0	5,000
<b>TOTAL</b>	<b>292,342</b>	<b>367,282</b>	<b>521,174</b>	<b>0</b>	<b>1,180,798</b>	<b>55,190</b>	<b>3,000</b>	<b>1,238,988</b>
<b>Parks &amp; The Environment</b>								
01300 - Adirondack Park	50	0	0	0	50	0	0	50
Grants to Local Governments	4,358	0	0	0	4,358	350	0	4,708
State Operations	4,408	0	0	0	4,408	350	0	4,758
<b>TOTAL</b>	<b>09000 - Env Conservatn</b>							
8,625	299	207,100	0	0	216,024	50	147,500	363,574
110,566	145,765	271,510	0	0	256,331	39,597	0	295,928
State Operations								
Capital Projects								

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
2005-2006  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>119,191</b>	<b>146,064</b>	<b>478,610</b>	<b>0</b>	<b>743,865</b>	<b>40,294</b>	<b>147,500</b>	<b>931,659</b>
21750 - Env Facils Corp								
Grants to Local Governments			1,542		1,542			1,542
State Operations	0	6,122	1,542	0	7,664	0	0	7,664
<b>TOTAL</b>	<b>0</b>	<b>6,122</b>	<b>1,542</b>	<b>0</b>	<b>7,664</b>	<b>0</b>	<b>0</b>	<b>7,664</b>
21719 - Hudson River Pk								
Grants to Local Governments			15,000		15,000			15,000
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>15,000</b>	<b>0</b>	<b>15,000</b>	<b>0</b>	<b>0</b>	<b>15,000</b>
49000 - Park Rec & Hist								
Grants to Local Governments	5,176	5,600	1,185		11,961	200		12,161
State Operations	105,272	59,687	29,875		164,959	2,572		167,531
Capital Projects		1,000	31,060		30,875		2,500	33,375
<b>TOTAL</b>	<b>110,448</b>	<b>66,287</b>	<b>31,060</b>	<b>0</b>	<b>207,795</b>	<b>2,772</b>	<b>2,500</b>	<b>213,067</b>
<b>Parks &amp; The Environment</b>								
Grants to Local Governments	13,851	5,899	224,827		244,577	250	147,500	392,327
State Operations	220,196	211,574	0		431,770	42,519	0	474,289
Capital Projects	0	1,000	301,385		302,385	647	2,500	305,532
<b>TOTAL</b>	<b>234,047</b>	<b>218,473</b>	<b>526,212</b>	<b>0</b>	<b>978,732</b>	<b>43,416</b>	<b>150,000</b>	<b>1,172,148</b>
<b>Parks &amp; The Environment</b>								
01300 - Adirondack Park	4,408	0	0		4,408	350	0	4,758
09000 - Env Conservatn	119,191	146,064	478,610		743,865	40,294	147,500	931,659
21750 - Env Facils Corp	0	6,122	1,542		7,664	0	0	7,664
21719 - Hudson River Pk	0	0	15,000		15,000	0	0	15,000
49000 - Park Rec & Hist	110,448	66,287	31,060		207,795	2,772	2,500	213,067
<b>TOTAL</b>	<b>234,047</b>	<b>218,473</b>	<b>526,212</b>	<b>0</b>	<b>978,732</b>	<b>43,416</b>	<b>150,000</b>	<b>1,172,148</b>
<b>Transportation</b>								
21760 - Gov Traffic Sfty	0	0	0		0	0	0	0
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
21250 - Metro Tran Auth								
Capital Projects	0	0	11,600		11,600	0	0	11,600
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>11,600</b>	<b>0</b>	<b>11,600</b>	<b>0</b>	<b>0</b>	<b>11,600</b>
23000 - Motor Vehicles								
Grants to Local Governments		65,176			65,176	14,000		79,176
State Operations		677	183,789		184,466	11,705		196,171
Capital Projects		65,853	183,789		249,642	25,705		275,347
<b>TOTAL</b>	<b>0</b>	<b>65,853</b>	<b>183,789</b>	<b>0</b>	<b>249,642</b>	<b>25,705</b>	<b>0</b>	<b>275,347</b>
21770 - Niag Front Tran								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
 2005-2006  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>55090 - Thruway Author</b>								
Capital Projects			4,000		4,000			4,000
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>4,000</b>	<b>0</b>	<b>4,000</b>	<b>0</b>	<b>0</b>	<b>4,000</b>
<b>17000 - Transportation</b>								
Grants to Local Governments	148,140	2,265,893	316,400		2,730,433	20,990		2,751,423
State Operations	2,500	19,630			22,130	13,470		35,600
Capital Projects			1,480,964		1,480,964		1,520,896	3,001,860
<b>TOTAL</b>	<b>150,640</b>	<b>2,285,523</b>	<b>1,797,364</b>	<b>0</b>	<b>4,233,527</b>	<b>34,460</b>	<b>1,520,896</b>	<b>5,788,883</b>
<b>Transportation</b>								
Grants to Local Governments	148,140	2,265,893	316,400		2,730,433	34,990		2,765,423
State Operations	2,500	84,806			87,306	25,175		112,481
Capital Projects		677	1,680,353		1,681,030		1,520,896	3,201,926
<b>TOTAL</b>	<b>150,640</b>	<b>2,351,376</b>	<b>1,996,753</b>	<b>0</b>	<b>4,498,769</b>	<b>60,165</b>	<b>1,520,896</b>	<b>6,079,830</b>
<b>Transportation</b>								
21760 - Gov Traffic Sfty	0	0	0	0	0	0	0	0
21250 - Metro Tran Auth	0	0	11,600	0	11,600	0	0	11,600
23000 - Motor Vehicles	0	65,853	183,789	0	249,642	25,705	0	275,347
21770 - Niag Front Tran	0	0	0	0	0	0	0	0
55090 - Thruway Author	0	0	4,000	0	4,000	0	0	4,000
17000 - Transportation	150,640	2,285,523	1,797,364	0	4,233,527	34,460	1,520,896	5,788,883
<b>TOTAL</b>	<b>150,640</b>	<b>2,351,376</b>	<b>1,996,753</b>	<b>0</b>	<b>4,498,769</b>	<b>60,165</b>	<b>1,520,896</b>	<b>6,079,830</b>
<b>Health &amp; Social Welfare</b>								
<b>01570 - Advoc for Disab</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>01370 - Aging</b>								
Grants to Local Governments	71,493	2,000			73,493	95,769		169,262
State Operations	2,456	1			2,457	7,761		10,218
<b>TOTAL</b>	<b>73,949</b>	<b>2,001</b>	<b>0</b>	<b>0</b>	<b>75,950</b>	<b>103,530</b>	<b>0</b>	<b>179,480</b>
<b>25000 - Child Fam Serv</b>								
Grants to Local Governments	1,249,102	(11,563)			1,237,539	1,446,248		2,683,787
State Operations	184,005	23,057			207,062	174,648		381,710
Capital Projects			16,000		16,000			16,000
<b>TOTAL</b>	<b>1,433,107</b>	<b>11,494</b>	<b>16,000</b>	<b>0</b>	<b>1,460,601</b>	<b>1,620,896</b>	<b>0</b>	<b>3,081,497</b>
<b>1200A - Hlth Medical</b>								
Grants to Local Governments	8,290,997	3,412,050			11,703,047	19,887,507		31,590,554
<b>TOTAL</b>	<b>8,290,997</b>	<b>3,412,050</b>	<b>0</b>	<b>0</b>	<b>11,703,047</b>	<b>19,887,507</b>	<b>0</b>	<b>31,590,554</b>
<b>1200B - Hlth MA Admin</b>								
Grants to Local Governments	119,950				119,950	469,550		589,500

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
2005-2006  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>119,950</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>119,950</b>	<b>469,550</b>	<b>0</b>	<b>589,500</b>
<b>1200C - Hlth All Other</b>								
Grants to Local Governments	537,941	2,233,450			2,771,391	920,368	60,341	3,752,100
State Operations	190,191	291,078		2,510	483,779	206,337		690,116
Capital Projects			11,500		11,500		0	11,500
<b>TOTAL</b>	<b>728,132</b>	<b>2,524,528</b>	<b>11,500</b>	<b>2,510</b>	<b>3,266,670</b>	<b>1,126,705</b>	<b>60,341</b>	<b>4,453,716</b>
<b>01090 - Human Rights</b>								
State Operations	12,813	6			12,819	1,971		14,790
<b>TOTAL</b>	<b>12,813</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>12,819</b>	<b>1,971</b>	<b>0</b>	<b>14,790</b>
<b>14000 - Labor</b>								
Grants to Local Governments	12,012	245			12,257	240,001		252,258
State Operations	4,060	73,539			77,599	295,737		373,336
<b>TOTAL</b>	<b>16,072</b>	<b>73,784</b>	<b>0</b>	<b>0</b>	<b>89,856</b>	<b>535,738</b>	<b>0</b>	<b>625,594</b>
<b>21MIG - Med Insp Gen</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>01620 - Prev Domes Vio</b>								
Grants to Local Governments	927				927			927
State Operations	1,488	30			1,518			1,518
<b>TOTAL</b>	<b>2,415</b>	<b>30</b>	<b>0</b>	<b>0</b>	<b>2,445</b>	<b>0</b>	<b>0</b>	<b>2,445</b>
<b>18000 - Social Services</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>2700A - TADA Welfare</b>								
Grants to Local Governments	883,166				883,166	2,500,000		3,383,166
<b>TOTAL</b>	<b>883,166</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>883,166</b>	<b>2,500,000</b>	<b>0</b>	<b>3,383,166</b>
<b>2700B - TADA Welfare Ad</b>								
Grants to Local Governments	381,550				381,550			381,550
<b>TOTAL</b>	<b>381,550</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>381,550</b>	<b>0</b>	<b>0</b>	<b>381,550</b>
<b>2700C - TADA All Other</b>								
Grants to Local Governments	49,925	15,100	53,000		118,025	590,406		708,431
State Operations	55,313	28,032			83,345	234,291		317,636
<b>TOTAL</b>	<b>105,238</b>	<b>43,132</b>	<b>53,000</b>	<b>0</b>	<b>201,370</b>	<b>824,697</b>	<b>0</b>	<b>1,025,067</b>
<b>21012 - Welfare Ins Gen</b>								
State Operations	714				714	400		1,114
<b>TOTAL</b>	<b>714</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>714</b>	<b>400</b>	<b>0</b>	<b>1,114</b>
<b>14010 - Workers Comp Bd</b>								
State Operations		144,188			144,188	766		144,954
<b>TOTAL</b>	<b>0</b>	<b>144,188</b>	<b>0</b>	<b>0</b>	<b>144,188</b>	<b>766</b>	<b>0</b>	<b>144,954</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
 2005-2006  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Health &amp; Social Welfare</b>								
Grants to Local Governments	11,597,063	5,651,282	53,000	0	17,301,345	26,149,849	60,341	43,511,535
State Operations	451,040	559,931	0	2,510	1,013,481	921,911	0	1,935,392
Capital Projects	0	0	27,500	0	27,500	0	0	27,500
<b>TOTAL</b>	<b>12,048,103</b>	<b>6,211,213</b>	<b>80,500</b>	<b>2,510</b>	<b>18,342,326</b>	<b>27,071,760</b>	<b>60,341</b>	<b>45,474,427</b>
<b>Health &amp; Social Welfare</b>								
01570 - Advoc for Disab	0	0	0	0	0	0	0	0
01370 - Aging	73,949	2,001	0	0	75,950	103,530	0	179,480
25000 - Child Fam Serv	1,433,107	11,494	16,000	0	1,460,601	1,620,896	0	3,081,497
1200A - Hlth Medical	8,290,997	3,412,050	0	0	11,703,047	19,887,507	0	31,590,554
1200B - Hlth MA Admin	119,950	0	0	0	119,950	469,550	0	589,500
1200C - Hlth All Other	728,132	2,524,528	11,500	2,510	3,266,670	1,126,705	60,341	4,453,716
01090 - Human Rights	12,813	6	0	0	12,819	1,971	0	14,790
14000 - Labor	16,072	73,784	0	0	89,856	535,738	0	625,594
21MIG - Med Insp Gen	0	0	0	0	0	0	0	0
01620 - Prev Domes Vio	2,415	30	0	0	2,445	0	0	2,445
18000 - Social Services	0	0	0	0	0	0	0	0
2700A - TADA Welfare	883,166	0	0	0	883,166	2,500,000	0	3,383,166
2700B - TADA Welfare Ad	381,550	0	0	0	381,550	0	0	381,550
2700C - TADA All Other	105,238	43,132	53,000	0	201,370	824,697	0	1,026,067
21012 - Welfare Ins Gen	714	0	0	0	714	400	0	1,114
14010 - Workers Comp Bd	0	144,188	0	0	144,188	766	0	144,954
01170 - Youth	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>12,048,103</b>	<b>6,211,213</b>	<b>80,500</b>	<b>2,510</b>	<b>18,342,326</b>	<b>27,071,760</b>	<b>60,341</b>	<b>45,474,427</b>
<b>Mental Hygiene</b>								
<b>53000 - Alc &amp; Sub Abuse</b>								
Grants to Local Governments	252,530	4,540	25,735	0	282,805	119,817	0	402,622
State Operations	45,054	840	0	0	45,894	30,518	0	76,412
Capital Projects	0	0	1,425	0	1,425	0	0	1,425
<b>TOTAL</b>	<b>297,584</b>	<b>5,380</b>	<b>27,160</b>	<b>0</b>	<b>330,124</b>	<b>150,335</b>	<b>0</b>	<b>480,459</b>
<b>01590 - CQCAPD</b>								
Grants to Local Governments	292	302	0	0	292	439	0	731
State Operations	4,071	302	0	0	4,373	8,488	0	12,861
<b>TOTAL</b>	<b>4,363</b>	<b>302</b>	<b>0</b>	<b>0</b>	<b>4,665</b>	<b>8,927</b>	<b>0</b>	<b>13,592</b>
<b>51280 - Devel Disab Pln</b>								
State Operations	0	0	0	0	0	3,679	0	3,679
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,679</b>	<b>0</b>	<b>3,679</b>

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
2005-2006  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>50000 - Mental Health</b>								
Grants to Local Governments	769,602	72,849	51,506		893,957	35,088		929,045
State Operations	589,535	25,645			615,180	576,656		1,191,836
Capital Projects			163,347		163,347			163,347
<b>TOTAL</b>	<b>1,359,137</b>	<b>98,494</b>	<b>214,853</b>	<b>0</b>	<b>1,672,484</b>	<b>611,744</b>	<b>0</b>	<b>2,284,228</b>
<b>15000 - Mental Hygiene</b>								
State Operations				8,600	8,600			8,600
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>8,600</b>	<b>8,600</b>	<b>0</b>	<b>0</b>	<b>8,600</b>
<b>51000 - Mental Retardn</b>								
Grants to Local Governments	381,895	36,500	13,571		431,966	1,100,000		1,531,966
State Operations	471,526	176			471,702	870,245		1,341,947
Capital Projects			40,925		40,925			40,925
<b>TOTAL</b>	<b>853,421</b>	<b>36,676</b>	<b>54,496</b>	<b>0</b>	<b>944,593</b>	<b>1,970,245</b>	<b>0</b>	<b>2,914,838</b>
<b>Mental Hygiene</b>								
Grants to Local Governments	1,404,319	113,889	90,812	0	1,609,020	1,255,344	0	2,864,364
State Operations	1,110,186	26,963	0	8,600	1,145,749	1,489,586	0	2,635,335
Capital Projects	0	0	205,687	0	205,687	0	0	205,687
<b>TOTAL</b>	<b>2,514,505</b>	<b>140,852</b>	<b>296,509</b>	<b>8,600</b>	<b>2,960,466</b>	<b>2,744,930</b>	<b>0</b>	<b>5,705,396</b>
<b>Mental Hygiene</b>								
53000 - Aic & Sub Abuse	297,584	5,380	27,160	0	330,124	150,335	0	480,459
01590 - CQCAPD	4,363	302	0	0	4,665	8,927	0	13,592
51280 - Devel/Disab Pln	0	0	0	0	0	3,679	0	3,679
50000 - Mental Health	1,359,137	98,494	214,853	0	1,672,484	611,744	0	2,284,228
15000 - Mental Hygiene	0	0	0	8,600	8,600	0	0	8,600
51000 - Mental Retardn	853,421	36,676	54,496	0	944,593	1,970,245	0	2,914,838
<b>TOTAL</b>	<b>2,514,505</b>	<b>140,852</b>	<b>296,509</b>	<b>8,600</b>	<b>2,960,466</b>	<b>2,744,930</b>	<b>0</b>	<b>5,705,396</b>
<b>Public Protection</b>								
21400 - Capital Defend	4,500	0	0	0	4,500	0	0	4,500
State Operations								
<b>TOTAL</b>	<b>4,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,500</b>	<b>0</b>	<b>0</b>	<b>4,500</b>
<b>10000 - Correc Services</b>								
Grants to Local Governments	4,000				4,000			4,000
State Operations	2,002,562	836			2,003,398	61,305		2,064,703
Capital Projects			220,000		220,000			220,000
<b>TOTAL</b>	<b>2,006,562</b>	<b>836</b>	<b>220,000</b>	<b>0</b>	<b>2,227,398</b>	<b>61,305</b>	<b>0</b>	<b>2,288,703</b>
<b>01530 - Correction Comm</b>								
State Operations	2,510	0	0	0	2,510	0	0	2,510
<b>TOTAL</b>	<b>2,510</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,510</b>	<b>0</b>	<b>0</b>	<b>2,510</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
 2005-2006  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>01490 - Crim Just Servs</b>								
Grants to Local Governments	63,327	9,829			73,156	310,495		383,651
State Operations	41,804	9,193			50,997	27,666		78,663
<b>TOTAL</b>	<b>105,131</b>	<b>19,022</b>	<b>0</b>	<b>0</b>	<b>124,153</b>	<b>338,161</b>	<b>0</b>	<b>462,314</b>
<b>01400 - Crime Victims</b>								
Grants to Local Governments		23,962			23,962	28,978		52,940
State Operations	3,314	418			3,732	2,610		6,342
<b>TOTAL</b>	<b>3,314</b>	<b>24,380</b>	<b>0</b>	<b>0</b>	<b>27,694</b>	<b>31,588</b>	<b>0</b>	<b>59,282</b>
<b>21FMS - Fin Mg Sys</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21HLS - HmInd Sec-Misc</b>								
State Operations	75,875	5,944			75,875			75,875
Capital Projects			5,468		5,468		4,600	10,068
<b>TOTAL</b>	<b>75,875</b>	<b>0</b>	<b>5,468</b>	<b>0</b>	<b>81,343</b>	<b>0</b>	<b>4,600</b>	<b>85,943</b>
<b>01077 - Homeland Secur</b>								
State Operations	6,008	5,944			11,952			11,952
<b>TOTAL</b>	<b>6,008</b>	<b>5,944</b>	<b>0</b>	<b>0</b>	<b>11,952</b>	<b>0</b>	<b>0</b>	<b>11,952</b>
<b>21080 - Judicial Comms</b>								
State Operations	2,703				2,703			2,703
<b>TOTAL</b>	<b>2,703</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,703</b>	<b>0</b>	<b>0</b>	<b>2,703</b>
<b>01070 - Mil &amp; Naval Aff</b>								
Grants to Local Governments	42,300	1,650			43,950	43,050		87,000
State Operations	20,680	7,521			28,201	29,772		57,973
Capital Projects			10,172		10,172		21,185	31,357
<b>TOTAL</b>	<b>62,980</b>	<b>9,171</b>	<b>10,172</b>	<b>0</b>	<b>82,323</b>	<b>72,822</b>	<b>21,185</b>	<b>176,330</b>
<b>01020 - Parole</b>								
Grants to Local Governments	34,724				34,724	225		34,949
State Operations	147,116	143			147,259	975		148,234
<b>TOTAL</b>	<b>181,840</b>	<b>143</b>	<b>0</b>	<b>0</b>	<b>181,983</b>	<b>1,200</b>	<b>0</b>	<b>183,183</b>
<b>01200 - Prob &amp; Corr Alt</b>								
Grants to Local Governments	72,965				72,965			72,965
State Operations	1,867				1,867			1,867
<b>TOTAL</b>	<b>74,832</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>74,832</b>	<b>0</b>	<b>0</b>	<b>74,832</b>
<b>01060 - State Police</b>								
Grants to Local Governments								1
State Operations	442,013	165,161			607,174	6,469		613,643
Capital Projects			3,174		3,174			3,174
<b>TOTAL</b>	<b>442,013</b>	<b>165,162</b>	<b>3,174</b>	<b>0</b>	<b>610,349</b>	<b>6,469</b>	<b>0</b>	<b>616,818</b>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION AND AGENCY 2005-2006 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>21450 - TSC Investigatn</b>								
State Operations	3,376	189	0	0	3,565	0	0	3,565
<b>TOTAL</b>	<b>3,376</b>	<b>189</b>	<b>0</b>	<b>0</b>	<b>3,565</b>	<b>0</b>	<b>0</b>	<b>3,565</b>
<b>Public Protection</b>								
Grants to Local Governments	217,316	35,442	0	0	252,758	382,748	0	635,506
State Operations	2,754,328	189,405	0	0	2,943,733	128,797	0	3,072,530
Capital Projects	0	0	238,814	0	238,814	0	25,785	264,599
<b>TOTAL</b>	<b>2,971,644</b>	<b>224,847</b>	<b>238,814</b>	<b>0</b>	<b>3,435,305</b>	<b>511,545</b>	<b>25,785</b>	<b>3,972,635</b>
<b>Public Protection</b>								
21400 - Capital Defend	4,500	0	0	0	4,500	0	0	4,500
10000 - Correc Services	2,006,562	836	220,000	0	2,227,398	61,305	0	2,288,703
01530 - Correction Comm	2,510	0	0	0	2,510	0	0	2,510
01490 - Crim Just Servs	105,131	19,022	0	0	124,153	338,161	0	462,314
01400 - Crime Victims	3,314	24,380	0	0	27,694	31,588	0	59,282
21FMS - Fin Mg Sys	0	0	0	0	0	0	0	0
21HLS - Hmld Sec-Misc	75,875	0	5,468	0	81,343	0	4,600	85,943
01077 - Homeland Secur	6,008	5,944	0	0	11,952	0	0	11,952
21080 - Judicial Comms	2,703	0	0	0	2,703	0	0	2,703
01070 - Mil & Naval Aff	62,980	9,171	10,172	0	82,323	72,822	21,185	176,330
01020 - Parole	181,840	143	0	0	181,983	1,200	0	183,183
01200 - Prob & Corr Alt	74,832	0	0	0	74,832	0	0	74,832
01060 - State Police	442,013	165,162	3,174	0	610,349	6,469	0	616,818
21450 - TSC Investigatn	3,376	189	0	0	3,565	0	0	3,565
<b>TOTAL</b>	<b>2,971,644</b>	<b>224,847</b>	<b>238,814</b>	<b>0</b>	<b>3,435,305</b>	<b>511,545</b>	<b>25,785</b>	<b>3,972,635</b>
<b>Education</b>								
<b>01360 - Arts Council</b>								
Grants to Local Governments	37,400	200	0	0	37,600	520	0	38,120
State Operations	5,300	500	0	0	5,800	749	0	6,549
<b>TOTAL</b>	<b>42,700</b>	<b>700</b>	<b>0</b>	<b>0</b>	<b>43,400</b>	<b>1,269</b>	<b>0</b>	<b>44,669</b>
<b>21CMG - Cap Match Grant</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>70000 - City University</b>								
Grants to Local Governments	942,396	120,000	9,100	0	951,496	0	0	951,496
State Operations	0	0	393,392	0	393,392	0	0	393,392
Capital Projects	0	0	402,492	0	402,492	0	0	402,492
<b>TOTAL</b>	<b>942,396</b>	<b>120,000</b>	<b>402,492</b>	<b>0</b>	<b>1,464,888</b>	<b>0</b>	<b>0</b>	<b>1,464,888</b>
<b>1100A - ED School Aid</b>								
Grants to Local Governments	13,494,028	2,282,000	31,744	0	15,807,772	2,544,000	0	18,351,772

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
 2005-2006  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>13,494,028</b>	<b>2,282,000</b>	<b>31,744</b>	<b>0</b>	<b>15,807,772</b>	<b>2,544,000</b>	<b>0</b>	<b>18,351,772</b>
<b>1100B - ED Handicapped</b>								
Grants to Local Governments	911,073				911,073	610,000		1,521,073
<b>TOTAL</b>	<b>911,073</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>911,073</b>	<b>610,000</b>	<b>0</b>	<b>1,521,073</b>
<b>1100C - ED All Other</b>								
Grants to Local Governments	622,784	9,527			632,311	51,275		683,586
State Operations	42,590	81,345			123,935	195,615		319,550
Capital Projects			11,030		11,030			11,030
<b>TOTAL</b>	<b>665,374</b>	<b>90,872</b>	<b>11,030</b>	<b>0</b>	<b>767,276</b>	<b>246,890</b>	<b>0</b>	<b>1,014,166</b>
<b>11100 - Higher Ed Servs</b>								
Grants to Local Governments	889,478	59,301			948,779	5,200		953,979
State Operations	0	79,201			79,201	5,294		84,495
<b>TOTAL</b>	<b>889,478</b>	<b>138,502</b>	<b>0</b>	<b>0</b>	<b>1,027,980</b>	<b>10,494</b>	<b>0</b>	<b>1,038,474</b>
<b>28990 - S U Constr Fund</b>								
State Operations	0	10,480			10,480	0		10,480
<b>TOTAL</b>	<b>0</b>	<b>10,480</b>	<b>0</b>	<b>0</b>	<b>10,480</b>	<b>0</b>	<b>0</b>	<b>10,480</b>
<b>11STR - STAR</b>								
Grants to Local Governments								
<b>TOTAL</b>	<b>0</b>	<b>3,219,000</b>	<b>0</b>	<b>0</b>	<b>3,219,000</b>	<b>0</b>	<b>0</b>	<b>3,219,000</b>
<b>28000 - State Univrsity</b>								
Grants to Local Governments	388,913	(1)	5,000		393,912			393,912
State Operations	1,040,056	2,982,850		10,500	4,033,406	179,015		4,212,421
Capital Projects			456,000		456,000			456,000
<b>TOTAL</b>	<b>1,428,969</b>	<b>2,982,849</b>	<b>461,000</b>	<b>10,500</b>	<b>4,883,318</b>	<b>179,015</b>	<b>0</b>	<b>5,062,333</b>
<b>Education</b>								
Grants to Local Governments	17,286,072	5,570,027	45,844	0	22,901,943	3,210,995	0	26,112,938
State Operations	1,087,946	3,274,376	0	10,500	4,372,822	380,673	0	4,753,495
Capital Projects	0	0	860,422	0	860,422	0	0	860,422
<b>TOTAL</b>	<b>18,374,018</b>	<b>8,844,403</b>	<b>906,266</b>	<b>10,500</b>	<b>28,135,187</b>	<b>3,591,668</b>	<b>0</b>	<b>31,726,855</b>
<b>Education</b>								
01360 - Arts Council	42,700	700	0	0	43,400	1,269	0	44,669
21CMG - Cap Match Grant	0	0	0	0	0	0	0	0
70000 - City University	942,396	120,000	402,492	0	1,464,888	0	0	1,464,888
1100A - ED School Aid	13,494,028	2,282,000	31,744	0	15,807,772	2,544,000	0	18,351,772
1100B - ED Handicapped	911,073	0	0	0	911,073	610,000	0	1,521,073
1100C - ED All Other	665,374	90,872	11,030	0	767,276	246,890	0	1,014,166
11100 - Higher Ed Servs	889,478	138,502	0	0	1,027,980	10,494	0	1,038,474
28990 - S U Constr Fund	0	10,480	0	0	10,480	0	0	10,480

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
2005-2006  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
11STR - STAR	0	3,219,000	0	0	3,219,000	0	0	3,219,000
28000 - State University	1,428,969	2,982,849	461,000	10,500	4,883,318	179,015	0	5,062,333
<b>TOTAL</b>	<b>18,374,018</b>	<b>8,844,403</b>	<b>906,266</b>	<b>10,500</b>	<b>28,135,187</b>	<b>3,591,668</b>	<b>0</b>	<b>31,726,855</b>
<b>General Government</b>								
02000 - Audit & Control	37,925	56,000			93,925			93,925
Grants to Local Governments	127,589	3,491			131,080			131,080
State Operations	165,514	59,491	0	0	225,005	0	0	225,005
<b>TOTAL</b>	<b>31,630</b>	<b>18,975</b>	<b>0</b>	<b>0</b>	<b>50,605</b>	<b>0</b>	<b>0</b>	<b>50,605</b>
01010 - Budget	31,630	18,975			50,605			50,605
State Operations	31,630	18,975	0	0	50,605	0	0	50,605
<b>TOTAL</b>	<b>23,599</b>	<b>1,000</b>	<b>0</b>	<b>0</b>	<b>24,599</b>	<b>0</b>	<b>0</b>	<b>24,599</b>
08000 - Civil Service	23,599	1,000			24,599			24,599
State Operations	23,599	1,000	0	0	24,599	0	0	24,599
<b>TOTAL</b>	<b>4,094</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,094</b>	<b>1,135</b>	<b>0</b>	<b>5,229</b>
01540 - Elections	4,094	0			4,094	1,135	0	5,229
State Operations	4,094	0	0	0	4,094	1,135	0	5,229
<b>TOTAL</b>	<b>3,580</b>	<b>188</b>	<b>0</b>	<b>0</b>	<b>3,768</b>	<b>0</b>	<b>0</b>	<b>3,768</b>
01150 - Empl Relations	3,580	188			3,768			3,768
State Operations	3,580	188	0	0	3,768	0	0	3,768
<b>TOTAL</b>	<b>15,480</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>15,480</b>	<b>0</b>	<b>0</b>	<b>15,480</b>
01000 - Exec Chamber	15,480	0			15,480			15,480
State Operations	15,480	0	0	0	15,480	0	0	15,480
<b>TOTAL</b>	<b>143,515</b>	<b>8,740</b>	<b>93,100</b>	<b>0</b>	<b>245,355</b>	<b>4,466</b>	<b>0</b>	<b>249,821</b>
01050 - General Service	143,515	8,740	93,100	0	245,355	4,466	0	249,821
Grants to Local Governments	0							
State Operations	0							
Capital Projects	0							
<b>TOTAL</b>	<b>4,465</b>	<b>1,059</b>	<b>0</b>	<b>0</b>	<b>5,524</b>	<b>0</b>	<b>0</b>	<b>5,524</b>
21700 - Inspector Gen	4,465	1,059			5,524			5,524
State Operations	4,465	1,059	0	0	5,524	0	0	5,524
<b>TOTAL</b>	<b>123,321</b>	<b>38,839</b>	<b>0</b>	<b>0</b>	<b>162,160</b>	<b>20,285</b>	<b>0</b>	<b>182,445</b>
03000 - Law	123,321	38,839			162,160	20,285	0	182,445
State Operations	123,321	38,839	0	0	162,160	20,285	0	182,445
<b>TOTAL</b>	<b>485</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>485</b>	<b>0</b>	<b>0</b>	<b>485</b>
01040 - Lieut Governor	485	0			485			485
State Operations	485	0	0	0	485	0	0	485
<b>TOTAL</b>								

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
 2005-2006  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
State Operations		177,264			177,264			177,264
<b>TOTAL</b>	<b>0</b>	<b>177,264</b>	<b>0</b>	<b>0</b>	<b>177,264</b>	<b>0</b>	<b>0</b>	<b>177,264</b>
<b>08010 - Pub Emp Rel Bd</b>								
State Operations	3,357	257			3,614			3,614
<b>TOTAL</b>	<b>3,357</b>	<b>257</b>	<b>0</b>	<b>0</b>	<b>3,614</b>	<b>0</b>	<b>0</b>	<b>3,614</b>
<b>21RGC - Race&amp;Game Contr</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>01510 - Racing &amp; Wager</b>								
State Operations		18,388			18,388			18,388
<b>TOTAL</b>	<b>0</b>	<b>18,388</b>	<b>0</b>	<b>0</b>	<b>18,388</b>	<b>0</b>	<b>0</b>	<b>18,388</b>
<b>01310 - Real Prop Servs</b>								
Grants to Local Governments	21,197				21,197			21,197
State Operations		30,810			30,810			30,810
<b>TOTAL</b>	<b>21,197</b>	<b>30,810</b>	<b>0</b>	<b>0</b>	<b>52,007</b>	<b>0</b>	<b>0</b>	<b>52,007</b>
<b>21110 - Regulatory Refrm</b>								
State Operations	3,554				3,554			3,554
<b>TOTAL</b>	<b>3,554</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,554</b>	<b>0</b>	<b>0</b>	<b>3,554</b>
<b>19000 - State</b>								
Grants to Local Governments	6,601	15,180	25,000		46,781	73,883		120,664
State Operations	14,354	31,193			45,547	6,152		51,699
Capital Projects			800		800			800
<b>TOTAL</b>	<b>20,955</b>	<b>46,373</b>	<b>25,800</b>	<b>0</b>	<b>93,128</b>	<b>80,035</b>	<b>0</b>	<b>173,163</b>
<b>20000 - Tax and Finance</b>								
State Operations	307,176	34,230			341,406	482		341,888
<b>TOTAL</b>	<b>307,176</b>	<b>34,230</b>	<b>0</b>	<b>0</b>	<b>341,406</b>	<b>482</b>	<b>0</b>	<b>341,888</b>
<b>20030 - Tax Appeals</b>								
State Operations	2,994				2,994			2,994
<b>TOTAL</b>	<b>2,994</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,994</b>	<b>0</b>	<b>0</b>	<b>2,994</b>
<b>01110 - Technology</b>								
State Operations	20,699				20,699			20,699
<b>TOTAL</b>	<b>20,699</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>20,699</b>	<b>0</b>	<b>0</b>	<b>20,699</b>
<b>21430 - TSC Lobbying</b>								
State Operations	1,409	467			1,876			1,876
<b>TOTAL</b>	<b>1,409</b>	<b>467</b>	<b>0</b>	<b>0</b>	<b>1,876</b>	<b>0</b>	<b>0</b>	<b>1,876</b>
<b>01190 - Veteran Affairs</b>								
Grants to Local Governments	5,994				5,994			5,994
State Operations	5,885				5,885	1,034		6,919
<b>TOTAL</b>	<b>11,879</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>11,879</b>	<b>1,034</b>	<b>0</b>	<b>12,913</b>

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2005-2006**  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>General Government</b>								
Grants to Local Governments	71,177	71,180	25,000	0	167,897	74,133	0	242,030
State Operations	837,186	364,901	0	0	1,202,087	33,304	0	1,235,391
Capital Projects	0	0	93,900	0	93,900	0	0	93,900
<b>TOTAL</b>	<b>908,903</b>	<b>436,081</b>	<b>118,900</b>	<b>0</b>	<b>1,463,884</b>	<b>107,437</b>	<b>0</b>	<b>1,571,321</b>
<b>General Government</b>								
02000 - Audit & Control	165,514	59,491	0	0	225,005	0	0	225,005
01010 - Budget	31,630	18,975	0	0	50,605	0	0	50,605
08000 - Civil Service	23,599	1,000	0	0	24,599	0	0	24,599
01540 - Elections	4,094	0	0	0	4,094	1,135	0	5,229
01150 - Empl Relations	3,580	188	0	0	3,768	0	0	3,768
01000 - Exec Chamber	15,480	0	0	0	15,480	0	0	15,480
01050 - General Service	143,515	8,740	93,100	0	245,355	4,466	0	249,821
21700 - Inspector Gen	4,465	1,059	0	0	5,524	0	0	5,524
03000 - Law	123,321	38,839	0	0	162,160	20,285	0	182,445
01040 - Lieut Governor	485	0	0	0	485	0	0	485
20050 - Lottery	0	177,264	0	0	177,264	0	0	177,264
08010 - Pub Emp Rel Bd	3,357	257	0	0	3,614	0	0	3,614
21RGC - Race&Game Contr	0	0	0	0	0	0	0	0
01510 - Racing & Wager	0	18,388	0	0	18,388	0	0	18,388
01310 - Real Prop Servs	21,197	30,810	0	0	52,007	0	0	52,007
21110 - Regulatory Refrm	3,554	0	0	0	3,554	0	0	3,554
19000 - State	20,955	46,373	25,800	0	93,128	80,035	0	173,163
20000 - Tax and Finance	307,176	34,230	0	0	341,406	482	0	341,888
20030 - Tax Appeals	2,994	0	0	0	2,994	0	0	2,994
01110 - Technology	20,699	0	0	0	20,699	0	0	20,699
21430 - TSC Lobbying	1,409	467	0	0	1,876	0	0	1,876
01190 - Veteran Affairs	11,879	0	0	0	11,879	1,034	0	12,913
<b>TOTAL</b>	<b>908,903</b>	<b>436,081</b>	<b>118,900</b>	<b>0</b>	<b>1,463,884</b>	<b>107,437</b>	<b>0</b>	<b>1,571,321</b>
<b>Local Government Assistance</b>								
21LGI - 8-9 Mun Tgt Aid	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21LGB - Addtl Elig Muni</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>LG055 - Aid Incent Muni</b>								
Grants to Local Governments	853,831	0	0	0	853,831	0	0	853,831
<b>TOTAL</b>	<b>853,831</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>853,831</b>	<b>0</b>	<b>0</b>	<b>853,831</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
 2005-2006  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
LG060 - Eff Incen Grnts								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
LG015 - Eligible Muni								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
LG010 - Em Fin Aid Cert								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
LG025 - Gen Purp St Aid								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
21LOC - Landfill Aid								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
LG030 - Misc Fin Assist								
Grants to Local Governments	4,000				4,000			4,000
<b>TOTAL</b>	<b>4,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,000</b>	<b>0</b>	<b>0</b>	<b>4,000</b>
LG035 - NIFA								
Grants to Local Governments	7,500				7,500			7,500
<b>TOTAL</b>	<b>7,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>7,500</b>	<b>0</b>	<b>0</b>	<b>7,500</b>
LG050 - Sm Govt Assist								
Grants to Local Governments	1,069				1,069			1,069
<b>TOTAL</b>	<b>1,069</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,069</b>	<b>0</b>	<b>0</b>	<b>1,069</b>
2172K - Spec Fin Cer Mn								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
LGSTA - STARC Payment								
Grants to Local Governments	170,000				170,000			170,000
<b>TOTAL</b>	<b>170,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>170,000</b>	<b>0</b>	<b>0</b>	<b>170,000</b>
21LGD - Stock Trans Inc								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
LG040 - Sup Muni Aid								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Government Assistance								
Grants to Local Governments	1,036,400				1,036,400			1,036,400
State Operations	0				0			0
Capital Projects	0				0			0
<b>TOTAL</b>	<b>1,036,400</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,036,400</b>	<b>0</b>	<b>0</b>	<b>1,036,400</b>
Local Government Assistance								
21LGI - 8-9 Muni Tgt Aid	0				0			0
21LGB - Addtl Elig Muni	0				0			0
LG055 - Aid Incnt Muni	853,831				853,831			853,831

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2005-2006**  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
LG005 - County Aid	0	0	0	0	0	0	0	0
LG060 - Eff Incen Grnts	0	0	0	0	0	0	0	0
LG015 - Eligible Muni	0	0	0	0	0	0	0	0
LG010 - Em Fin Aid Cert	0	0	0	0	0	0	0	0
LG025 - Gen Purp St Aid	0	0	0	0	0	0	0	0
21LOC - Landfill Aid	0	0	0	0	0	0	0	0
LG030 - Misc Fin Assist	4,000	0	0	0	4,000	0	0	4,000
LG035 - NIFA	7,500	0	0	0	7,500	0	0	7,500
LG050 - Sim Govt Assist	1,069	0	0	0	1,069	0	0	1,069
2172K - Spec Fin Cer Mn	0	0	0	0	0	0	0	0
LGSTA - STARC Payment	170,000	0	0	0	170,000	0	0	170,000
21LGD - Stock Trans Inc	0	0	0	0	0	0	0	0
LG040 - Sup Muni Aid	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>1,036,400</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,036,400</b>	<b>0</b>	<b>0</b>	<b>1,036,400</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
 2006-2007  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>Economic Development</b>								
<b>06000 - Agric &amp; Markets</b>								
Grants to Local Governments	15,408				15,408	700		16,108
State Operations	31,726	27,347	7,750		59,073	14,868		73,941
Capital Projects			7,750		7,750			7,750
<b>TOTAL</b>	<b>47,134</b>	<b>27,347</b>	<b>7,750</b>	<b>0</b>	<b>82,231</b>	<b>15,568</b>	<b>0</b>	<b>97,799</b>
<b>01030 - Alcohol Bev Con</b>								
State Operations	0	15,554	0	0	15,554	0	0	15,554
<b>TOTAL</b>	<b>0</b>	<b>15,554</b>	<b>0</b>	<b>0</b>	<b>15,554</b>	<b>0</b>	<b>0</b>	<b>15,554</b>
<b>07000 - Banking</b>								
State Operations	0	67,084	0	0	67,084	0	0	67,084
<b>TOTAL</b>	<b>0</b>	<b>67,084</b>	<b>0</b>	<b>0</b>	<b>67,084</b>	<b>0</b>	<b>0</b>	<b>67,084</b>
<b>01120 - Consumr Protect</b>								
State Operations	0	3,007	0	0	3,007	0	0	3,007
<b>TOTAL</b>	<b>0</b>	<b>3,007</b>	<b>0</b>	<b>0</b>	<b>3,007</b>	<b>0</b>	<b>0</b>	<b>3,007</b>
<b>2173B - EcDev and NPR</b>								
State Operations	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21EDC - EcDev Capital</b>								
Capital Projects	0	0	154,624	0	154,624	0	0	154,624
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>154,624</b>	<b>0</b>	<b>154,624</b>	<b>0</b>	<b>0</b>	<b>154,624</b>
<b>22000 - Economic Devel</b>								
Grants to Local Governments	7,177				7,177	100		7,277
State Operations	29,953	4,457			34,410	245		34,655
<b>TOTAL</b>	<b>37,130</b>	<b>4,457</b>	<b>0</b>	<b>0</b>	<b>41,587</b>	<b>345</b>	<b>0</b>	<b>41,932</b>
<b>21910 - Emp St Dev Corp</b>								
Grants to Local Governments	40,000				40,000			40,000
Capital Projects			124,500		124,500			124,500
<b>TOTAL</b>	<b>40,000</b>	<b>0</b>	<b>124,500</b>	<b>0</b>	<b>164,500</b>	<b>0</b>	<b>0</b>	<b>164,500</b>
<b>01560 - Energy Office</b>								
State Operations	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21190 - Enrgy Res &amp; Dev</b>								
Grants to Local Governments			14,000		14,000			14,000
State Operations		14,656			14,656			14,656
<b>TOTAL</b>	<b>0</b>	<b>14,656</b>	<b>14,000</b>	<b>0</b>	<b>28,656</b>	<b>0</b>	<b>0</b>	<b>28,656</b>
<b>21890 - Harlem Urbn Dev</b>								
State Operations	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21HTD - High Tech Dev</b>								
Capital Projects			75,000		75,000			75,000

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2006-2007**  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>75,000</b>	<b>0</b>	<b>75,000</b>	<b>0</b>	<b>0</b>	<b>75,000</b>
<b>01080 - Hous &amp; Comm Ren</b>								
Grants to Local Governments	40,362	3,000	89,200		132,562	28,100	3,000	163,662
State Operations	30,250	35,546	123		65,796	4,175		69,971
Capital Projects					123			123
<b>TOTAL</b>	<b>70,612</b>	<b>38,546</b>	<b>89,323</b>	<b>0</b>	<b>198,481</b>	<b>32,275</b>	<b>3,000</b>	<b>233,756</b>
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>13000 - Insurance</b>								
State Operations		163,389			163,389			163,389
<b>TOTAL</b>	<b>0</b>	<b>163,389</b>	<b>0</b>	<b>0</b>	<b>163,389</b>	<b>0</b>	<b>0</b>	<b>163,389</b>
<b>21670 - Long Island Pwr</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21EDP - NYS Econ Dev Pr</b>								
Capital Projects			80,000		80,000			80,000
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>80,000</b>	<b>0</b>	<b>80,000</b>	<b>0</b>	<b>0</b>	<b>80,000</b>
<b>21JCC - NYS Javits Conv</b>								
Capital Projects			100,000		100,000			100,000
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>100,000</b>	<b>0</b>	<b>0</b>	<b>100,000</b>
<b>01630 - NYSTAR</b>								
Grants to Local Governments	43,165		10,566		53,731	5,625		59,356
State Operations	2,892				2,892			2,892
<b>TOTAL</b>	<b>46,057</b>	<b>0</b>	<b>10,566</b>	<b>0</b>	<b>56,623</b>	<b>5,625</b>	<b>0</b>	<b>62,248</b>
<b>21360 - Olymp Reg Devel</b>								
State Operations	7,986	400			8,386			8,386
<b>TOTAL</b>	<b>7,986</b>	<b>400</b>	<b>0</b>	<b>0</b>	<b>8,386</b>	<b>0</b>	<b>0</b>	<b>8,386</b>
<b>16000 - Public Service</b>								
Grants to Local Governments		400			400			400
State Operations		54,392			54,392	1,301		55,693
<b>TOTAL</b>	<b>0</b>	<b>54,792</b>	<b>0</b>	<b>0</b>	<b>54,792</b>	<b>1,301</b>	<b>0</b>	<b>56,093</b>
<b>21RED - Reg Ec Dev</b>								
Grants to Local Governments	4,000				4,000			4,000
Capital Projects			20,000		20,000			20,000
<b>TOTAL</b>	<b>4,000</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>24,000</b>	<b>0</b>	<b>0</b>	<b>24,000</b>
<b>2173D - Str Invest</b>								
Capital Projects			7,000		7,000			7,000
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>7,000</b>	<b>0</b>	<b>7,000</b>	<b>0</b>	<b>0</b>	<b>7,000</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2006-2007**  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
Grants to Local Governments	150,112	3,400	113,766	0	267,278	34,525	3,000	304,803
State Operations	102,807	385,832	0	0	488,639	20,589	0	509,228
Capital Projects	0	0	568,997	0	568,997	0	0	568,997
<b>TOTAL</b>	<b>252,919</b>	<b>389,232</b>	<b>682,763</b>	<b>0</b>	<b>1,324,914</b>	<b>55,114</b>	<b>3,000</b>	<b>1,383,028</b>
<b>Economic Development</b>								
06000 - Agric & Markets	47,134	27,347	7,750	0	82,231	15,568	0	97,799
01030 - Alcohol Bev Con	0	15,554	0	0	15,554	0	0	15,554
07000 - Banking	0	67,084	0	0	67,084	0	0	67,084
01120 - Consumr Protect	0	3,007	0	0	3,007	0	0	3,007
2173B - EcDev and NPR	0	0	0	0	0	0	0	0
21EDC - EcDev Capital	0	0	154,624	0	154,624	0	0	154,624
22000 - Economic Devel	37,130	4,457	0	0	41,587	345	0	41,932
21910 - Emp St Dev Corp	40,000	0	124,500	0	164,500	0	0	164,500
01560 - Energy Office	0	0	0	0	0	0	0	0
21190 - Enrgy Res & Dev	0	14,656	14,000	0	28,656	0	0	28,656
21890 - Harlem Urbn Dev	0	0	0	0	0	0	0	0
21HTD - High Tech Dev	0	0	75,000	0	75,000	0	0	75,000
01080 - Hous & Comm Ren	70,612	38,546	89,323	0	198,481	32,275	3,000	233,756
21170 - Housing Finance	0	0	0	0	0	0	0	0
13000 - Insurance	0	163,389	0	0	163,389	0	0	163,389
21670 - Long Island Pwr	0	0	0	0	0	0	0	0
21EDP - NYS Econ Dev Pr	0	0	80,000	0	80,000	0	0	80,000
21JCC - NYS Javits Conv	0	0	100,000	0	100,000	0	0	100,000
01630 - NYSTAR	46,057	0	10,566	0	56,623	5,625	0	62,248
21360 - Olymp Reg Devel	7,986	400	0	0	8,386	0	0	8,386
16000 - Public Service	0	54,792	0	0	54,792	1,301	0	56,093
21RED - Reg Ec Dev	4,000	0	20,000	0	24,000	0	0	24,000
2173D - Str Invest	0	0	7,000	0	7,000	0	0	7,000
<b>TOTAL</b>	<b>252,919</b>	<b>389,232</b>	<b>682,763</b>	<b>0</b>	<b>1,324,914</b>	<b>55,114</b>	<b>3,000</b>	<b>1,383,028</b>
<b>Parks &amp; The Environment</b>								
01300 - Adirondack Park	50	0	0	0	50	0	0	50
Grants to Local Governments	4,505	0	0	0	4,505	350	0	4,855
State Operations	4,555	0	0	0	4,555	350	0	4,905
<b>TOTAL</b>	<b>9,010</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>9,060</b>	<b>650</b>	<b>0</b>	<b>9,710</b>
<b>09000 - Env Conservatn</b>								
Grants to Local Governments	8,625	2,000	172,500	0	183,125	50	142,500	325,675
State Operations	108,814	157,551	274,210	0	266,365	40,351	0	306,716
Capital Projects	0	0	0	0	0	990	0	990

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2006-2007**  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>117,439</b>	<b>159,551</b>	<b>446,710</b>	<b>0</b>	<b>723,700</b>	<b>41,391</b>	<b>142,500</b>	<b>907,591</b>
<b>21750 - Env Facils Corp</b>								
Grants to Local Governments			2,555		2,555			2,555
State Operations		6,360			6,360			6,360
Capital Projects			343		343			343
<b>TOTAL</b>	<b>0</b>	<b>6,360</b>	<b>2,898</b>	<b>0</b>	<b>9,258</b>	<b>0</b>	<b>0</b>	<b>9,258</b>
<b>21719 - Hudson River Pk</b>								
Grants to Local Governments			25,000		25,000			25,000
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>25,000</b>	<b>0</b>	<b>0</b>	<b>25,000</b>
<b>49000 - Park Rec &amp; Hist</b>								
Grants to Local Governments	3,763	5,600	861		10,224	200		10,424
State Operations	115,807	62,099			177,906	2,598		180,504
Capital Projects		1,000	36,000		37,000		2,500	39,500
<b>TOTAL</b>	<b>119,570</b>	<b>68,699</b>	<b>36,861</b>	<b>0</b>	<b>225,130</b>	<b>2,798</b>	<b>2,500</b>	<b>230,428</b>
<b>Parks &amp; The Environment</b>								
Grants to Local Governments	12,438	7,600	200,916		220,954	250	142,500	363,704
State Operations	229,126	226,010	0		455,136	43,299	0	498,435
Capital Projects	0	1,000	310,553		311,553	990	2,500	315,043
<b>TOTAL</b>	<b>241,564</b>	<b>234,610</b>	<b>511,469</b>	<b>0</b>	<b>987,643</b>	<b>44,539</b>	<b>145,000</b>	<b>1,177,182</b>
<b>Parks &amp; The Environment</b>								
01300 - Adirondack Park	4,555	0	0		4,555	350	0	4,905
09000 - Env Conservatn	117,439	159,551	446,710		723,700	41,391	142,500	907,591
21750 - Env Facils Corp	0	6,360	2,898		9,258	0	0	9,258
21719 - Hudson River Pk	0	0	25,000		25,000	0	0	25,000
49000 - Park Rec & Hist	119,570	68,699	36,861		225,130	2,798	2,500	230,428
<b>TOTAL</b>	<b>241,564</b>	<b>234,610</b>	<b>511,469</b>	<b>0</b>	<b>987,643</b>	<b>44,539</b>	<b>145,000</b>	<b>1,177,182</b>
<b>Transportation</b>								
<b>21760 - Gov Traffic Sfty</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21250 - Metro Tran Auth</b>								
Capital Projects			38,050		38,050			38,050
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>38,050</b>	<b>0</b>	<b>38,050</b>	<b>0</b>	<b>0</b>	<b>38,050</b>
<b>23000 - Motor Vehicles</b>								
Grants to Local Governments						14,400		14,400
State Operations		76,378			76,378	11,987		88,365
Capital Projects		0	187,665		187,665			187,665
<b>TOTAL</b>	<b>0</b>	<b>76,378</b>	<b>187,665</b>	<b>0</b>	<b>264,043</b>	<b>26,387</b>	<b>0</b>	<b>290,430</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2006-2007**  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>55090 - Thruway Author</b>								
Capital Projects			4,000		4,000			4,000
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>4,000</b>	<b>0</b>	<b>4,000</b>	<b>0</b>	<b>0</b>	<b>4,000</b>
<b>17000 - Transportation</b>								
Grants to Local Governments	58,016	2,243,337	332,957		2,634,310	31,560		2,665,870
State Operations	2,500	22,319			24,819	19,566		44,385
Capital Projects			1,785,328		1,785,328		1,478,627	3,263,955
<b>TOTAL</b>	<b>60,516</b>	<b>2,265,656</b>	<b>2,118,285</b>	<b>0</b>	<b>4,444,457</b>	<b>51,126</b>	<b>1,478,627</b>	<b>5,974,210</b>
<b>Transportation</b>								
Grants to Local Governments	58,016	2,243,337	332,957		2,634,310	45,960		2,680,270
State Operations	2,500	98,697			101,197	31,553		132,750
Capital Projects			2,015,043		2,015,043		1,478,627	3,493,670
<b>TOTAL</b>	<b>60,516</b>	<b>2,342,034</b>	<b>2,348,000</b>	<b>0</b>	<b>4,750,550</b>	<b>77,513</b>	<b>1,478,627</b>	<b>6,306,690</b>
<b>Transportation</b>								
21760 - Gov Traffic Sfty	0	0	0	0	0	0	0	0
21250 - Metro Tran Auth	0	0	38,050	0	38,050	0	0	38,050
23000 - Motor Vehicles	0	76,378	187,665	0	264,043	26,387	0	290,430
21770 - Niag Front Tran	0	0	0	0	0	0	0	0
55090 - Thruway Author	0	0	4,000	0	4,000	0	0	4,000
17000 - Transportation	60,516	2,265,656	2,118,285	0	4,444,457	51,126	1,478,627	5,974,210
<b>TOTAL</b>	<b>60,516</b>	<b>2,342,034</b>	<b>2,348,000</b>	<b>0</b>	<b>4,750,550</b>	<b>77,513</b>	<b>1,478,627</b>	<b>6,306,690</b>
<b>Health &amp; Social Welfare</b>								
<b>01570 - Advoc for Disab</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>01370 - Aging</b>								
Grants to Local Governments	93,993	3,000			96,993	95,769		192,762
State Operations	2,430	51			2,481	7,764		10,245
<b>TOTAL</b>	<b>96,423</b>	<b>3,051</b>	<b>0</b>	<b>0</b>	<b>99,474</b>	<b>103,533</b>	<b>0</b>	<b>203,007</b>
<b>25000 - Child Fam Serv</b>								
Grants to Local Governments	1,302,188	(11,944)			1,290,244	1,151,100		2,441,344
State Operations	238,067	22,347			260,414	139,883		400,297
Capital Projects	(13)		22,384		22,371			22,371
<b>TOTAL</b>	<b>1,540,242</b>	<b>10,403</b>	<b>22,384</b>	<b>0</b>	<b>1,573,029</b>	<b>1,290,983</b>	<b>0</b>	<b>2,864,012</b>
<b>1200A - Hlth Medical</b>								
Grants to Local Governments	8,840,526	3,608,700			12,449,226	19,336,107		31,785,333
<b>TOTAL</b>	<b>8,840,526</b>	<b>3,608,700</b>	<b>0</b>	<b>0</b>	<b>12,449,226</b>	<b>19,336,107</b>	<b>0</b>	<b>31,785,333</b>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION AND AGENCY 2006-2007 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
Grants to Local Governments	119,950				119,950	482,650		602,600
<b>TOTAL</b>	<b>119,950</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>119,950</b>	<b>482,650</b>	<b>0</b>	<b>602,600</b>
1200C - Hlth All Other								
Grants to Local Governments	582,084	2,503,544			3,085,628	978,945	60,665	4,125,238
State Operations	176,735	332,609		2,100	511,444	222,948		734,392
Capital Projects	0		183,150		183,150		(27,728)	155,422
<b>TOTAL</b>	<b>758,819</b>	<b>2,836,153</b>	<b>183,150</b>	<b>2,100</b>	<b>3,780,222</b>	<b>1,201,893</b>	<b>32,937</b>	<b>5,015,052</b>
01090 - Human Rights								
State Operations	13,178	6			13,184	1,943		15,127
<b>TOTAL</b>	<b>13,178</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>13,184</b>	<b>1,943</b>	<b>0</b>	<b>15,127</b>
14000 - Labor								
Grants to Local Governments	2,000	245			2,245	240,000		242,245
State Operations	1,720	66,581			68,301	300,991		369,292
<b>TOTAL</b>	<b>3,720</b>	<b>66,826</b>	<b>0</b>	<b>0</b>	<b>70,546</b>	<b>540,991</b>	<b>0</b>	<b>611,537</b>
21MIG - Med Insp Gen								
State Operations	15,475	0	0	0	15,475	15,475	0	30,950
<b>TOTAL</b>	<b>15,475</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>15,475</b>	<b>15,475</b>	<b>0</b>	<b>30,950</b>
01620 - Prev Domes Vio								
Grants to Local Governments	927				927			927
State Operations	1,582	30			1,612	0		1,612
<b>TOTAL</b>	<b>2,509</b>	<b>30</b>	<b>0</b>	<b>0</b>	<b>2,539</b>	<b>0</b>	<b>0</b>	<b>2,539</b>
18000 - Social Services								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
2700A - TADA Welfare								
Grants to Local Governments	893,882	0	0	0	893,882	2,700,000	0	3,593,882
<b>TOTAL</b>	<b>893,882</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>893,882</b>	<b>2,700,000</b>	<b>0</b>	<b>3,593,882</b>
2700B - TADA Welfare Ad								
Grants to Local Governments	382,897	0	0	0	382,897	0	0	382,897
<b>TOTAL</b>	<b>382,897</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>382,897</b>	<b>0</b>	<b>0</b>	<b>382,897</b>
2700C - TADA All Other								
Grants to Local Governments	25,520	15,100	35,600		76,220	590,405		666,625
State Operations	65,223	28,023			93,246	246,047		339,293
<b>TOTAL</b>	<b>90,743</b>	<b>43,123</b>	<b>35,600</b>	<b>0</b>	<b>169,466</b>	<b>836,452</b>	<b>0</b>	<b>1,005,918</b>
21012 - Welfare Ins Gen								
State Operations	778	0	0	0	778	400	0	1,178
<b>TOTAL</b>	<b>778</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>778</b>	<b>400</b>	<b>0</b>	<b>1,178</b>
14010 - Workers Comp Bd								
State Operations		149,034			149,034	782		149,816

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
 2006-2007  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>0</b>	<b>149,034</b>	<b>0</b>	<b>0</b>	<b>149,034</b>	<b>782</b>	<b>0</b>	<b>149,816</b>
<b>01170 - Youth</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Health &amp; Social Welfare</b>								
Grants to Local Governments	12,243,967	6,118,645	35,600	0	18,398,212	25,574,976	60,665	44,033,853
State Operations	515,188	598,681	0	2,100	1,115,969	936,233	0	2,052,202
Capital Projects	(13)	0	205,534	0	205,521	0	(27,728)	177,793
<b>TOTAL</b>	<b>12,759,142</b>	<b>6,717,326</b>	<b>241,134</b>	<b>2,100</b>	<b>19,719,702</b>	<b>26,511,209</b>	<b>32,937</b>	<b>46,263,848</b>
<b>Health &amp; Social Welfare</b>								
01570 - Advoc for Disab	0	0	0	0	0	0	0	0
01370 - Aging	96,423	3,051	0	0	99,474	103,533	0	203,007
25000 - Child Fam Serv	1,540,242	10,403	22,384	0	1,573,029	1,290,983	0	2,864,012
1200A - Hlth Medical	8,840,526	3,608,700	0	0	12,449,226	19,336,107	0	31,785,333
1200B - Hlth MA Admin	119,950	0	0	0	119,950	482,650	0	602,600
1200C - Hlth All Other	758,819	2,836,153	183,150	2,100	3,780,222	1,201,893	32,937	5,015,052
01090 - Human Rights	13,178	6	0	0	13,184	1,943	0	15,127
14000 - Labor	3,720	66,826	0	0	70,546	540,991	0	611,537
21MIG - Med Insp Gen	15,475	0	0	0	15,475	15,475	0	30,950
01620 - Prev Domes Vio	2,509	30	0	0	2,539	0	0	2,539
18000 - Social Services	0	0	0	0	0	0	0	0
2700A - TADA Welfare	893,882	0	0	0	893,882	2,700,000	0	3,593,882
2700B - TADA Welfare Ad	382,897	0	0	0	382,897	0	0	382,897
2700C - TADA All Other	90,743	43,123	35,600	0	169,466	836,452	0	1,005,918
21012 - Welfare Ins Gen	778	0	0	0	778	400	0	1,178
14010 - Workers Comp Bd	0	149,034	0	0	149,034	782	0	149,816
01170 - Youth	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>12,759,142</b>	<b>6,717,326</b>	<b>241,134</b>	<b>2,100</b>	<b>19,719,702</b>	<b>26,511,209</b>	<b>32,937</b>	<b>46,263,848</b>
<b>Mental Hygiene</b>								
<b>53000 - Alc &amp; Sub Abuse</b>								
Grants to Local Governments	280,990	4,540	38,174	0	323,704	119,517	0	443,221
State Operations	52,581	844	0	0	53,425	28,470	0	81,895
Capital Projects	0	0	1,552	0	1,552	0	0	1,552
<b>TOTAL</b>	<b>333,571</b>	<b>5,384</b>	<b>39,726</b>	<b>0</b>	<b>378,681</b>	<b>147,987</b>	<b>0</b>	<b>526,668</b>
<b>01590 - CQCAPD</b>								
Grants to Local Governments	350	0	0	0	350	439	0	789
State Operations	4,282	349	0	0	4,631	8,795	0	13,426
<b>TOTAL</b>	<b>4,632</b>	<b>349</b>	<b>0</b>	<b>0</b>	<b>4,981</b>	<b>9,234</b>	<b>0</b>	<b>14,215</b>

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2006-2007**  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
State Operations								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,648</b>	<b>0</b>	<b>3,648</b>
<b>50000 - Mental Health</b>								
Grants to Local Governments	817,774	74,550	55,518		947,842	35,087		982,929
State Operations	761,729	26,644			788,373	488,598		1,276,971
Capital Projects			176,532		176,532			176,532
<b>TOTAL</b>	<b>1,579,503</b>	<b>101,194</b>	<b>232,050</b>	<b>0</b>	<b>1,912,747</b>	<b>523,685</b>	<b>0</b>	<b>2,436,432</b>
<b>15000 - Mental Hygiene</b>								
State Operations				8,645	8,645			8,645
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>8,645</b>	<b>8,645</b>	<b>0</b>	<b>0</b>	<b>8,645</b>
<b>51000 - Mental Retardn</b>								
Grants to Local Governments	439,545	91,500	18,081		549,126	1,100,000		1,649,126
State Operations	475,785	176			475,961	933,915		1,409,876
Capital Projects			74,958		74,958			74,958
<b>TOTAL</b>	<b>915,330</b>	<b>91,676</b>	<b>93,039</b>	<b>0</b>	<b>1,100,045</b>	<b>2,033,915</b>	<b>0</b>	<b>3,133,960</b>
<b>Mental Hygiene</b>								
Grants to Local Governments	1,538,659	170,590	111,773		1,821,022	1,255,043		3,076,065
State Operations	1,294,377	28,013		8,645	1,331,035	1,463,426		2,794,461
Capital Projects			253,042		253,042			253,042
<b>TOTAL</b>	<b>2,833,036</b>	<b>198,603</b>	<b>364,815</b>	<b>8,645</b>	<b>3,405,099</b>	<b>2,718,469</b>	<b>0</b>	<b>6,123,568</b>
<b>Mental Hygiene</b>								
53000 - Alc & Sub Abuse	333,571	5,384	39,726		378,681	147,987		526,668
01590 - CQCAPD	4,632	349			4,981	9,234		14,215
51280 - Devel Disab Pln						3,648		3,648
50000 - Mental Health	1,579,503	101,194	232,050		1,912,747	523,685		2,436,432
15000 - Mental Hygiene				8,645	8,645			8,645
51000 - Mental Retardn	915,330	91,676	93,039		1,100,045	2,033,915		3,133,960
<b>TOTAL</b>	<b>2,833,036</b>	<b>198,603</b>	<b>364,815</b>	<b>8,645</b>	<b>3,405,099</b>	<b>2,718,469</b>	<b>0</b>	<b>6,123,568</b>
<b>Public Protection</b>								
<b>21400 - Capital Defend</b>								
State Operations	700				700			700
<b>TOTAL</b>	<b>700</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>700</b>	<b>0</b>	<b>0</b>	<b>700</b>
<b>10000 - Correc Services</b>								
Grants to Local Governments	4,000				4,000			4,000
State Operations	2,108,393	582			2,108,975	35,071		2,144,046
Capital Projects		500	230,000		230,500			230,500
<b>TOTAL</b>	<b>2,112,393</b>	<b>1,082</b>	<b>230,000</b>	<b>0</b>	<b>2,343,475</b>	<b>35,071</b>	<b>0</b>	<b>2,378,546</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
 2006-2007  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
State Operations	2,607				2,607			2,607
<b>TOTAL</b>	<b>2,607</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,607</b>	<b>0</b>	<b>0</b>	<b>2,607</b>
<b>01490 - Crim Just Servs</b>								
Grants to Local Governments	28,333	31,529			59,862	127,885		187,747
State Operations	54,178	14,607			68,785	27,666		96,451
<b>TOTAL</b>	<b>82,511</b>	<b>46,136</b>	<b>0</b>	<b>0</b>	<b>128,647</b>	<b>155,551</b>	<b>0</b>	<b>284,198</b>
<b>01400 - Crime Victims</b>								
Grants to Local Governments	4,274	62,243			62,243	28,978		91,221
State Operations	4,274	431			4,705	2,640		7,345
<b>TOTAL</b>	<b>4,274</b>	<b>62,674</b>	<b>0</b>	<b>0</b>	<b>66,948</b>	<b>31,618</b>	<b>0</b>	<b>98,566</b>
<b>21FMS - Fin Mg Sys</b>								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>21HLS - HmInd Sec-Misc</b>								
Grants to Local Governments	17,685				17,685	221,083		221,083
State Operations					6,396		8,650	15,046
Capital Projects			6,396		6,396		8,650	253,814
<b>TOTAL</b>	<b>17,685</b>	<b>0</b>	<b>6,396</b>	<b>0</b>	<b>24,081</b>	<b>221,083</b>	<b>8,650</b>	<b>253,814</b>
<b>01077 - Homeland Secur</b>								
Grants to Local Governments	8,837	4,831			13,668	67,500		67,500
State Operations	8,837	4,831			13,668	7,500		21,168
<b>TOTAL</b>	<b>8,837</b>	<b>4,831</b>	<b>0</b>	<b>0</b>	<b>13,668</b>	<b>75,000</b>	<b>0</b>	<b>88,668</b>
<b>21080 - Judicial Comms</b>								
State Operations	2,888				2,888			2,888
<b>TOTAL</b>	<b>2,888</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,888</b>	<b>0</b>	<b>0</b>	<b>2,888</b>
<b>01070 - Mil &amp; Naval Aff</b>								
Grants to Local Governments	5,800	1,650			7,450	40,000		47,450
State Operations	23,826	5,351			29,177	30,218		59,395
Capital Projects			11,600		11,600		37,010	48,610
<b>TOTAL</b>	<b>29,626</b>	<b>7,001</b>	<b>11,600</b>	<b>0</b>	<b>48,227</b>	<b>70,218</b>	<b>37,010</b>	<b>155,455</b>
<b>01020 - Parole</b>								
Grants to Local Governments	43,299				43,299			43,299
State Operations	155,873	33			155,906			155,906
<b>TOTAL</b>	<b>199,172</b>	<b>33</b>	<b>0</b>	<b>0</b>	<b>199,205</b>	<b>0</b>	<b>0</b>	<b>199,205</b>
<b>01200 - Prob &amp; Corr Alt</b>								
Grants to Local Governments	74,465				74,465			74,465
State Operations	1,947				1,947			1,947
<b>TOTAL</b>	<b>76,412</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>76,412</b>	<b>0</b>	<b>0</b>	<b>76,412</b>

# FINANCIAL PLAN

## CASH DISBURSEMENTS BY FUNCTION AND AGENCY 2006-2007 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
State Operations	448,837	159,614			608,451	3,976		612,427
Capital Projects			7,009		7,009			7,009
<b>TOTAL</b>	<b>448,837</b>	<b>159,614</b>	<b>7,009</b>	<b>0</b>	<b>615,460</b>	<b>3,976</b>	<b>0</b>	<b>619,436</b>
<b>21450 - TSC Investigatn</b>								
State Operations	3,467	283			3,750			3,750
<b>TOTAL</b>	<b>3,467</b>	<b>283</b>	<b>0</b>	<b>0</b>	<b>3,750</b>	<b>0</b>	<b>0</b>	<b>3,750</b>
<b>Public Protection</b>								
Grants to Local Governments	155,897	95,422	0	0	251,319	485,446	0	736,765
State Operations	2,833,512	185,732	0	0	3,019,244	107,071	0	3,126,315
Capital Projects	0	500	255,005	0	255,505	0	45,660	301,165
<b>TOTAL</b>	<b>2,989,409</b>	<b>281,654</b>	<b>255,005</b>	<b>0</b>	<b>3,526,068</b>	<b>592,517</b>	<b>45,660</b>	<b>4,164,245</b>
<b>Public Protection</b>								
21400 - Capital Defend	700	0	0	0	700	0	0	700
10000 - Correc Services	2,112,393	1,082	230,000	0	2,343,475	35,071	0	2,378,546
01530 - Correction Comm	2,607	0	0	0	2,607	0	0	2,607
01490 - Crim Just Servs	82,511	46,136	0	0	128,647	155,551	0	284,198
01400 - Crime Victims	4,274	62,674	0	0	66,948	31,618	0	98,566
21FMS - Fin Mg Sys	0	0	0	0	0	0	0	0
21HLS - Hmind Sec-Misc	17,685	0	6,396	0	24,081	221,083	8,650	253,814
01077 - Homeland Secur	8,837	4,831	0	0	13,668	75,000	0	88,668
21080 - Judicial Comms	2,888	0	0	0	2,888	0	0	2,888
01070 - Mil & Naval Aff	29,626	7,001	11,600	0	48,227	70,218	37,010	155,455
01020 - Parole	199,172	33	0	0	199,205	0	0	199,205
01200 - Prob & Corr Alt	76,412	0	0	0	76,412	0	0	76,412
01060 - State Police	448,837	159,614	7,009	0	615,460	3,976	0	619,436
21450 - TSC Investigatn	3,467	283	0	0	3,750	0	0	3,750
<b>TOTAL</b>	<b>2,989,409</b>	<b>281,654</b>	<b>255,005</b>	<b>0</b>	<b>3,526,068</b>	<b>592,517</b>	<b>45,660</b>	<b>4,164,245</b>
<b>Education</b>								
<b>01360 - Arts Council</b>								
Grants to Local Governments	40,000	200			40,200	520		40,720
State Operations	5,656	500			6,156	749		6,905
<b>TOTAL</b>	<b>45,656</b>	<b>700</b>	<b>0</b>	<b>0</b>	<b>46,356</b>	<b>1,269</b>	<b>0</b>	<b>47,625</b>
<b>21CMG - Cap Match Grant</b>								
Capital Projects	0	0	10,000	0	10,000	0	0	10,000
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>	<b>0</b>	<b>0</b>	<b>10,000</b>
<b>70000 - City University</b>								
Grants to Local Governments	911,652		9,100		920,752			920,752
State Operations	0	120,000			120,000			120,000

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
 2006-2007  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
Capital Projects			524,319		524,319			524,319
<b>TOTAL</b>	<b>911,652</b>	<b>120,000</b>	<b>533,419</b>	<b>0</b>	<b>1,565,071</b>	<b>0</b>	<b>0</b>	<b>1,565,071</b>
<b>1100A - ED School Aid</b>								
Grants to Local Governments	13,987,501	2,397,500	0	0	16,385,001	2,580,000	0	18,965,001
<b>TOTAL</b>	<b>13,987,501</b>	<b>2,397,500</b>	<b>0</b>	<b>0</b>	<b>16,385,001</b>	<b>2,580,000</b>	<b>0</b>	<b>18,965,001</b>
<b>1100B - ED Handicapped</b>								
Grants to Local Governments	986,058	0	0	0	986,058	628,000	0	1,614,058
<b>TOTAL</b>	<b>986,058</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>986,058</b>	<b>628,000</b>	<b>0</b>	<b>1,614,058</b>
<b>1100C - ED All Other</b>								
Grants to Local Governments	541,405	9,526			550,931	36,290		587,221
State Operations	40,035	88,846			128,881	206,871		335,752
Capital Projects			21,980		21,980			21,980
<b>TOTAL</b>	<b>581,440</b>	<b>98,372</b>	<b>21,980</b>	<b>0</b>	<b>701,792</b>	<b>243,161</b>	<b>0</b>	<b>944,953</b>
<b>11100 - Higher Ed Servs</b>								
Grants to Local Governments	783,100	28,300			811,400	5,200		816,600
State Operations	0	83,072			83,072	5,297		88,369
<b>TOTAL</b>	<b>783,100</b>	<b>111,372</b>	<b>0</b>	<b>0</b>	<b>894,472</b>	<b>10,497</b>	<b>0</b>	<b>904,969</b>
<b>28990 - S U Constr Fund</b>								
State Operations	0	12,077	0	0	12,077	0	0	12,077
<b>TOTAL</b>	<b>0</b>	<b>12,077</b>	<b>0</b>	<b>0</b>	<b>12,077</b>	<b>0</b>	<b>0</b>	<b>12,077</b>
<b>115TR - STAR</b>								
Grants to Local Governments	530,000	3,368,000	0	0	3,898,000	0	0	3,898,000
<b>TOTAL</b>	<b>530,000</b>	<b>3,368,000</b>	<b>0</b>	<b>0</b>	<b>3,898,000</b>	<b>0</b>	<b>0</b>	<b>3,898,000</b>
<b>28000 - State Univrsity</b>								
Grants to Local Governments	410,371	3,086,883	8,000	7,200	418,371	184,463	0	418,371
State Operations	1,046,104		639,500		4,140,187			4,324,650
Capital Projects			647,500	7,200	639,500			639,500
<b>TOTAL</b>	<b>1,456,475</b>	<b>3,086,883</b>	<b>647,500</b>	<b>7,200</b>	<b>5,198,058</b>	<b>184,463</b>	<b>0</b>	<b>5,382,521</b>
<b>Education</b>								
Grants to Local Governments	18,190,087	5,803,526	17,100	0	24,010,713	3,250,010	0	27,260,723
State Operations	1,091,795	3,391,378	0	7,200	4,490,373	397,380	0	4,887,753
Capital Projects	0	0	1,195,799	0	1,195,799	0	0	1,195,799
<b>TOTAL</b>	<b>19,281,882</b>	<b>9,194,904</b>	<b>1,212,899</b>	<b>7,200</b>	<b>29,696,885</b>	<b>3,647,390</b>	<b>0</b>	<b>33,344,275</b>
<b>Education</b>								
01360 - Arts Council	45,656	700	0	0	46,356	1,269	0	47,625
21CMG - Cap Match Grant	0	0	10,000	0	10,000	0	0	10,000
70000 - City University	911,652	120,000	533,419	0	1,565,071	0	0	1,565,071
1100A - ED School Aid	13,987,501	2,397,500	0	0	16,385,001	2,580,000	0	18,965,001

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
2006-2007  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Revenue	Federal Capital Projects	Total All Funds
1100B - ED Handicapped	986,058	0	0	0	986,058	628,000	0	1,614,058
1100C - ED All Other	581,440	98,372	21,980	0	701,792	243,161	0	944,953
11100 - Higher Ed Servs	783,100	111,372	0	0	894,472	10,497	0	904,969
28990 - S.U Constr Fund	0	12,077	0	0	12,077	0	0	12,077
11STR - STAR	530,000	3,368,000	0	0	3,898,000	0	0	3,898,000
28000 - State University	1,456,475	3,086,883	647,500	7,200	5,198,058	184,463	0	5,382,521
<b>TOTAL</b>	<b>19,281,882</b>	<b>9,194,904</b>	<b>1,212,899</b>	<b>7,200</b>	<b>29,696,885</b>	<b>3,647,390</b>	<b>0</b>	<b>33,344,275</b>
<b>General Government</b>								
<b>02000 - Audit &amp; Control</b>								
Grants to Local Governments	39,027	57,000			96,027			96,027
State Operations	130,042	3,548			133,590			133,590
<b>TOTAL</b>	<b>169,069</b>	<b>60,548</b>	<b>0</b>	<b>0</b>	<b>229,617</b>	<b>0</b>	<b>0</b>	<b>229,617</b>
<b>01010 - Budget</b>								
State Operations	31,630	20,475			52,105			52,105
<b>TOTAL</b>	<b>31,630</b>	<b>20,475</b>	<b>0</b>	<b>0</b>	<b>52,105</b>	<b>0</b>	<b>0</b>	<b>52,105</b>
<b>08000 - Civil Service</b>								
State Operations	23,359	1,599			24,958			24,958
<b>TOTAL</b>	<b>23,359</b>	<b>1,599</b>	<b>0</b>	<b>0</b>	<b>24,958</b>	<b>0</b>	<b>0</b>	<b>24,958</b>
<b>01540 - Elections</b>								
Grants to Local Governments								
State Operations	4,688	180			4,868			4,868
<b>TOTAL</b>	<b>4,688</b>	<b>180</b>	<b>0</b>	<b>0</b>	<b>4,868</b>	<b>190,000</b>	<b>0</b>	<b>190,000</b>
<b>01150 - Empl Relations</b>								
State Operations	3,768	188			3,956			3,956
<b>TOTAL</b>	<b>3,768</b>	<b>188</b>	<b>0</b>	<b>0</b>	<b>3,956</b>	<b>0</b>	<b>0</b>	<b>3,956</b>
<b>01000 - Exec Chamber</b>								
State Operations	15,480	0			15,480			15,480
<b>TOTAL</b>	<b>15,480</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>15,480</b>	<b>0</b>	<b>0</b>	<b>15,480</b>
<b>01050 - General Service</b>								
Grants to Local Governments								
State Operations	166,393	9,279			175,672	250		179,888
Capital Projects	0		87,880		87,880	4,216		87,880
<b>TOTAL</b>	<b>166,393</b>	<b>9,279</b>	<b>87,880</b>	<b>0</b>	<b>263,552</b>	<b>4,466</b>	<b>0</b>	<b>268,018</b>
<b>21700 - Inspector Gen</b>								
State Operations	4,783	1,586			6,369			6,369
<b>TOTAL</b>	<b>4,783</b>	<b>1,586</b>	<b>0</b>	<b>0</b>	<b>6,369</b>	<b>0</b>	<b>0</b>	<b>6,369</b>
<b>03000 - Law</b>								
State Operations	124,678	41,746			166,424	24,482		190,906

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
**2006-2007**  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>TOTAL</b>	<b>124,678</b>	<b>41,746</b>	<b>0</b>	<b>0</b>	<b>166,424</b>	<b>24,482</b>	<b>0</b>	<b>190,906</b>
01040 - Lieut Governor								
State Operations	485				485			485
<b>TOTAL</b>	<b>485</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>485</b>	<b>0</b>	<b>0</b>	<b>485</b>
20050 - Lottery								
State Operations		182,365			182,365			182,365
<b>TOTAL</b>	<b>0</b>	<b>182,365</b>	<b>0</b>	<b>0</b>	<b>182,365</b>	<b>0</b>	<b>0</b>	<b>182,365</b>
08010 - Pub Emp Rel Bd								
State Operations	3,464	462			3,926			3,926
<b>TOTAL</b>	<b>3,464</b>	<b>462</b>	<b>0</b>	<b>0</b>	<b>3,926</b>	<b>0</b>	<b>0</b>	<b>3,926</b>
21RGC - Race&Game Contr								
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
01510 - Racing & Wager								
State Operations		18,983			18,983			18,983
<b>TOTAL</b>	<b>0</b>	<b>18,983</b>	<b>0</b>	<b>0</b>	<b>18,983</b>	<b>0</b>	<b>0</b>	<b>18,983</b>
01310 - Real Prop Servs								
Grants to Local Governments	20,713				20,713			20,713
State Operations		31,487			31,487			31,487
<b>TOTAL</b>	<b>20,713</b>	<b>31,487</b>	<b>0</b>	<b>0</b>	<b>52,200</b>	<b>0</b>	<b>0</b>	<b>52,200</b>
21110 - Regulatory Refrm								
State Operations	3,744				3,744			3,744
<b>TOTAL</b>	<b>3,744</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,744</b>	<b>0</b>	<b>0</b>	<b>3,744</b>
19000 - State								
Grants to Local Governments	2,000	14,630	68,009		84,639	80,308		164,947
State Operations	16,562	32,554			49,116	6,264		55,380
Capital Projects			1,800		1,800			1,800
<b>TOTAL</b>	<b>18,562</b>	<b>47,184</b>	<b>69,809</b>	<b>0</b>	<b>135,555</b>	<b>86,572</b>	<b>0</b>	<b>222,127</b>
20000 - Tax and Finance								
State Operations	323,352	35,351			358,703	278		358,981
<b>TOTAL</b>	<b>323,352</b>	<b>35,351</b>	<b>0</b>	<b>0</b>	<b>358,703</b>	<b>278</b>	<b>0</b>	<b>358,981</b>
20030 - Tax Appeals								
State Operations	3,098				3,098			3,098
<b>TOTAL</b>	<b>3,098</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,098</b>	<b>0</b>	<b>0</b>	<b>3,098</b>
01110 - Technology								
State Operations	22,818				22,818			22,818
Capital Projects			5,000		5,000			5,000
<b>TOTAL</b>	<b>22,818</b>	<b>0</b>	<b>5,000</b>	<b>0</b>	<b>27,818</b>	<b>0</b>	<b>0</b>	<b>27,818</b>

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
2006-2007  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
State Operations	2,244	555			2,799			2,799
<b>TOTAL</b>	<b>2,244</b>	<b>555</b>	<b>0</b>	<b>0</b>	<b>2,799</b>	<b>0</b>	<b>0</b>	<b>2,799</b>
<b>01190 - Veteran Affairs</b>								
Grants to Local Governments	5,640				5,640			5,640
State Operations	6,044				6,044	1,013		7,057
<b>TOTAL</b>	<b>11,684</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>11,684</b>	<b>1,013</b>	<b>0</b>	<b>12,697</b>
<b>General Government</b>								
Grants to Local Governments	67,380	71,630	68,009	0	207,019	270,558	0	477,577
State Operations	886,632	380,358	0	0	1,266,990	55,504	0	1,322,494
Capital Projects	0	0	94,680	0	94,680	0	0	94,680
<b>TOTAL</b>	<b>954,012</b>	<b>451,988</b>	<b>162,689</b>	<b>0</b>	<b>1,568,689</b>	<b>326,062</b>	<b>0</b>	<b>1,894,751</b>
<b>General Government</b>								
02000 - Audit & Control	169,069	60,548	0	0	229,617	0	0	229,617
01010 - Budget	31,630	20,475	0	0	52,105	0	0	52,105
08000 - Civil Service	23,359	1,599	0	0	24,958	0	0	24,958
01540 - Elections	4,688	180	0	0	4,868	209,251	0	214,119
01150 - Empl Relations	3,768	188	0	0	3,956	0	0	3,956
01000 - Exec Chamber	15,480	0	0	0	15,480	0	0	15,480
01050 - General Service	166,393	9,279	87,880	0	263,552	4,466	0	268,018
21700 - Inspector Gen	4,783	1,586	0	0	6,369	0	0	6,369
03000 - Law	124,678	41,746	0	0	166,424	24,482	0	190,906
01040 - Lieut Governor	485	0	0	0	485	0	0	485
20050 - Lottery	0	182,365	0	0	182,365	0	0	182,365
08010 - Pub Emp Rel Bd	3,464	462	0	0	3,926	0	0	3,926
21RGC - Race&Game Contr	0	0	0	0	0	0	0	0
01510 - Racing & Wager	0	18,983	0	0	18,983	0	0	18,983
01310 - Real Prop Servs	20,713	31,487	0	0	52,200	0	0	52,200
21110 - Regulatory Refrm	3,744	0	0	0	3,744	0	0	3,744
19000 - State	18,562	47,184	69,809	0	135,555	86,572	0	222,127
20000 - Tax and Finance	323,352	35,351	0	0	358,703	278	0	358,981
20030 - Tax Appeals	3,098	0	0	0	3,098	0	0	3,098
01110 - Technology	22,818	0	5,000	0	27,818	0	0	27,818
21430 - TSC Lobbying	2,244	555	0	0	2,799	0	0	2,799
01190 - Veteran Affairs	11,684	0	0	0	11,684	1,013	0	12,697
<b>TOTAL</b>	<b>954,012</b>	<b>451,988</b>	<b>162,689</b>	<b>0</b>	<b>1,568,689</b>	<b>326,062</b>	<b>0</b>	<b>1,894,751</b>
<b>Local Government Assistance</b>								
21LGI - 8-9 Mun Tgt Aid	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
 2006-2007  
 (thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
<b>21LGB - Addl Elig Muni</b>								
<b>TOTAL</b>	0	0	0	0	0	0	0	0
<b>LG055 - Aid Incent Muni</b>								
Grants to Local Governments	916,081				916,081			916,081
<b>TOTAL</b>	916,081	0	0	0	916,081	0	0	916,081
<b>LG005 - County Aid</b>								
<b>TOTAL</b>	0	0	0	0	0	0	0	0
<b>LG060 - Eff Incent Grnts</b>								
Grants to Local Governments	20,000				20,000			20,000
<b>TOTAL</b>	20,000	0	0	0	20,000	0	0	20,000
<b>LG015 - Eligible Muni</b>								
<b>TOTAL</b>	0	0	0	0	0	0	0	0
<b>LG010 - Em Fin Aid Cert</b>								
<b>TOTAL</b>	0	0	0	0	0	0	0	0
<b>LG025 - Gen Purp St Aid</b>								
<b>TOTAL</b>	0	0	0	0	0	0	0	0
<b>21LOC - Landfill Aid</b>								
<b>TOTAL</b>	0	0	0	0	0	0	0	0
<b>LG030 - Misc Fin Assist</b>								
Grants to Local Governments	4,000				4,000			4,000
<b>TOTAL</b>	4,000	0	0	0	4,000	0	0	4,000
<b>LG035 - NIFA</b>								
<b>TOTAL</b>	0	0	0	0	0	0	0	0
<b>LG050 - Sm Govt Assist</b>								
Grants to Local Governments	1,069				1,069			1,069
<b>TOTAL</b>	1,069	0	0	0	1,069	0	0	1,069
<b>2172K - Spec Fin Cer Mn</b>								
<b>TOTAL</b>	0	0	0	0	0	0	0	0
<b>LGSTA - STARC Payment</b>								
Grants to Local Governments	170,000				170,000			170,000
<b>TOTAL</b>	170,000	0	0	0	170,000	0	0	170,000
<b>21LGD - Stock Trans Inc</b>								
<b>TOTAL</b>	0	0	0	0	0	0	0	0
<b>LG040 - Sup Muni Aid</b>								
<b>TOTAL</b>	0	0	0	0	0	0	0	0
<b>Local Government Assistance</b>								
Grants to Local Governments	1,111,150				1,111,150			1,111,150
State Operations	0				0			0

# FINANCIAL PLAN

**CASH DISBURSEMENTS BY FUNCTION AND AGENCY**  
2006-2007  
(thousands of dollars)

	General Fund	State Special Revenue	State Capital Projects	Debt Service Funds	Total State Funds	Federal Special Revenue	Federal Capital Projects	Total All Funds
Capital Projects	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>1,111,150</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,111,150</b>	<b>0</b>	<b>0</b>	<b>1,111,150</b>
<b>Local Government Assistance</b>								
21LGI - 8-9 Mun Tgt Aid	0	0	0	0	0	0	0	0
21LGB - Addl Elig Muni	0	0	0	0	0	0	0	0
LG055 - Aid Incent Muni	916,081	0	0	0	916,081	0	0	916,081
LG005 - County Aid	0	0	0	0	0	0	0	0
LG060 - Eff Incent Grnts	20,000	0	0	0	20,000	0	0	20,000
LG015 - Eligible Muni	0	0	0	0	0	0	0	0
LG010 - Em Fin Aid Cert	0	0	0	0	0	0	0	0
LG025 - Gen Purp St Aid	0	0	0	0	0	0	0	0
21LOC - Landfill Aid	0	0	0	0	0	0	0	0
LG030 - Misc Fin Assist	4,000	0	0	0	4,000	0	0	4,000
LG035 - NIFA	0	0	0	0	0	0	0	0
LG050 - Sm Govt Assist	1,069	0	0	0	1,069	0	0	1,069
2172K - Spec Fin Cer Min	0	0	0	0	0	0	0	0
LGSTA - STARC Payment	170,000	0	0	0	170,000	0	0	170,000
21LGD - Stock Trans Inc	0	0	0	0	0	0	0	0
LG040 - Sup Muni Aid	0	0	0	0	0	0	0	0
<b>TOTAL</b>	<b>1,111,150</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,111,150</b>	<b>0</b>	<b>0</b>	<b>1,111,150</b>

