

OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

MISSION

The Office of Mental Retardation and Developmental Disabilities (OMRDD) serves and supports individuals and families of individuals with developmental disabilities. OMRDD works with individuals with disabilities, their families, advocates, local governments, and non-profit providers to oversee a comprehensive system for delivery of services. Services are delivered through a network of programs operated by non-profit providers and OMRDD.

ORGANIZATION AND STAFFING

Under the direction of a Commissioner appointed by the Governor, OMRDD currently operates through district offices, called Developmental Disabilities Services Offices (DDSO). Its Central Office oversees and supports operations of the district offices.

OMRDD will have a workforce of 23,267 for 2006-07, most of whom work directly with consumers of services. The remainder comprises supervisors of direct care workers, clinicians, administrative support and management personnel.

By the end of 2006-07, OMRDD will operate approximately 500 developmental center beds, along with 1,249 special unit beds. From 1995 to the end of the 2006-07 fiscal year, the State will have moved nearly 3,700 people from institutional care into more appropriate community settings.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Total funding of more than \$3.4 billion supports a comprehensive system of care serving more than 140,000 persons with disabilities and their families, reflecting the Governor's ongoing commitment to serving the needs of persons with developmental disabilities. The Executive Budget recommendations for 2006-07 preserve essential direct care and maintain the quality of services for consumers in both non-profit and OMRDD-operated programs, despite the need to implement cost-savings measures in the face of the State's fiscal difficulties.

OMRDD continues to improve the efficiency and cost-effectiveness of its operations and the network of non-profit providers it supports. In 2006-07, the Agency will achieve efficiencies through such Business Plan strategies as:

- Collaborating with the newly-created Office of the State Medicaid Inspector General (MIG) in the area of Medicaid regulatory compliance and enforcement;
- Aggressively pursuing non-General Fund financial support for all programs;
- Maximizing use of existing service capacity and emphasizing use of less costly services, when appropriate;
- Utilizing internal controls on billing procedures and diligently reviewing eligibility for services;
- Streamlining and updating, as appropriate, non-profit provider funding to realize efficiencies, while at the same time providing resources to stabilize the non-profit workforce;
- Providing more efficient employee and provider training, including working in partnership with voluntary providers to identify unmet staff training needs in the service system and to engage in collaborative training efforts; and
- Maintaining strict controls on hiring in administrative positions in both the DDSOs and Central Office, and continuing overtime abatement strategies.

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One of the centerpieces of OMRDD's 2006-07 Executive Budget recommendation is funding to support an annual cost-of-living adjustment (COLA) for three years tied to the Consumer Price Index (CPI) to improve existing services for non-trended voluntary programs. Effective October 1, 2006, this COLA will be used by OMRDD to enhance funding by nearly 2.5 percent for not-for-profit providers to strengthen efforts to recruit and retain qualified direct care and clinical staff and respond to other critical inflationary pressures.

The 2006-07 Executive Budget also fully funds the Governor's nationally acclaimed initiative known as New York State-Creating Alternatives in Residential Environments and Services (NYS-CARES), thereby maintaining his commitment to serve a wide range of developmentally disabled New Yorkers including those who are medically frail on waiting lists for residential services. Over 12,000 persons have already left the waiting list for homes in the community, far exceeding the original NYS-CARES goal established in 1998. During this time, OMRDD has continually worked with families to review the waiting list and verify their needs. OMRDD has also instituted a survey designed by consumers, families and other stakeholders, which is used to evaluate consumer satisfaction and the success of residential placements under NYS-CARES, and to identify areas in need of improvement. By the end of the decade, OMRDD will have developed a total of 6,800 new residential beds under NYS-CARES which, when coupled with the more than 37,000 funded community beds already in the system, will provide ample placement opportunities to individuals on the residential waiting list.

The 2006-07 recommendation also supports the Governor's New York State – Options for People Through Services (NYS-OPTS) initiative. NYS-OPTS represents a system of services that will afford individuals with developmental disabilities including those who are medically frail with the greatest flexibility and array of choices about their own care in a manner that maximizes available funds and efficiently manages operations. Predicated upon an organized health care delivery system model, the NYS-OPTS program is focused on system and fiscal reform of the primarily Medicaid-funded system. NYS-OPTS and its guiding principles of partnership, inclusion, flexibility of services and customer satisfaction have been developed by major system stakeholders including: people currently receiving services, family members, advocates, providers, State staff and local government representatives. OMRDD has already approved over 60 NYS-OPTS proposals and over 25 have been implemented. Several hundred residential opportunities are expected to be converted or developed through NYS-OPTS by March 31, 2007.

The 2006-07 recommendation provides \$3 million in new funding — growing to \$5 million in 2007-08 — to expand Family Support Services such as respite and after-school services to families with autistic loved ones. The alarming increase in the incidence and identification of autism is a national and State phenomenon, and these funds should help families maintain their autistic family members at home. The recommendation also enhances OMRDD's Institute for Basic Research's (IBR) role in autism by adding five new research scientists that should qualify for the considerable extramural funding available for autism research and by establishing, in conjunction with IBR, two six-person community homes to serve individuals with autism. These additional resources are consistent with the recommendations of the Governor's Task Force on the future of IBR to render its research more programmatically relevant and cost effective. Other Task Force recommendations that OMRDD will implement in 2006-07 include: establishment of a Research Advisory Board comprising nationally renowned research scientists, parents and advocates to improve oversight of research activities; a review of billing practices and procedures to improve collection rates for the clinical services provided at IBR; and exploration of other options to maximize non-State revenues for IBR.

The 2006-07 Executive Budget continues to support access to existing, out-of-home, site-based respite and recreation services for eligible at-risk children who live in certain foster family boarding homes and kinship foster homes and additional family support services including services for children with autism.

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The effort to place individuals residing in institutional settings into more appropriate community settings will also continue in 2006-07. More than 60 individuals will leave institutions for care in their own communities, and new admissions will be limited to emergency situations involving persons with special needs and those entering special treatment units. Projections are that by the end of 2006-07, there will be approximately 500 people statewide living in developmental centers. OMRDD remains committed to deinstitutionalization for individuals with developmental disabilities who can be appropriately cared for in community settings.

The 2006-07 Executive Budget also supports additional residential capacity through the opening of 22 new State-operated community beds to accommodate individuals with severe behavioral issues, affording them the opportunity to move from an institutional setting to the most integrated and least restrictive setting possible. In addition, the Budget funds 15 new Multiply Disabled Unit openings for Office of Mental Health (OMH) patients who are dually diagnosed with both developmental disabilities and mental illness, but whose primary diagnosis is mental retardation/developmental disability. All of these individuals will transfer from OMH's auspice to OMRDD, where they will receive more appropriate care.

Infrastructure throughout the State and not-for-profit systems will be maintained through new capital appropriations of \$147.6 million and reappropriations of \$273.7 million. Capital appropriations for institutional projects will focus on the need to reinvest resources into preserving and maintaining long-term facilities, mostly for a core population of consumers who have severe behavioral treatment needs and/or have been involved with the criminal justice system.

Capital projects in the community reflect a continued investment to maintain both State and voluntary not-for-profit community-based sites, and to develop State-operated residential placements for individuals in institutional settings and on the NYS-CARES waiting list. While minimal bonded appropriations are required to address funding needs for small providers that cannot obtain alternative financing, the vast majority of voluntary not-for-profit capital development needs for NYS-CARES and other program expansion initiatives will continue to be met primarily through the use of non-State revenue such as Federal Housing and Urban Development (HUD) grants and private financing.

In total, these recommendations — through the combination of both new and existing services — will support nearly 5,900 new day and residential opportunities in the community during 2006-07. This reflects nearly 1,500 people newly-placed in residential settings and almost 4,400 new service opportunities in non-residential programs. Community opportunities will be targeted for people in developmental centers and for mandated populations, as well as people on community waiting lists. Services to individuals and families have been expanded within family support services.

PROGRAM HIGHLIGHTS

OMRDD serves more than 140,000 New Yorkers with developmental disabilities, which include primarily mental retardation, epilepsy, cerebral palsy, neurological impairments and autism. In recent years, New York has made great strides in improving its methods of delivering services to this vulnerable population, moving more people from institutions to the community than any other state in the nation. In addition, New York continues to place greater emphasis on consumer choice and satisfaction.

Under a 1991 Federal Medicaid waiver, individuals with developmental disabilities have the opportunity to receive individualized services in less restrictive settings. With the aid of trained service coordinators, consumers choose the services they need and receive them in appropriate settings. New York commits more resources to its Home and Community Based Services (HCBS) Waiver program than any state in the nation, investing almost three times as much as the next highest state offering services under a waiver. The HCBS Waiver also promotes smaller, more natural home environments. Home size has continued to decrease, thereby supporting individualized, quality services. In 1994-95, 49 percent of the homes in the community were six beds or less. Today, 63 percent of the homes in the community are six beds or less; two-thirds of these are four beds or less.

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Maintaining a statewide system of services, delivered through both the State and non-profit providers, OMRDD:

- Provides approximately 38,000 persons with certified residential services;
- Provides about 64,000 persons with community day services; and
- Assists in the care of more than 61,000 persons with developmental disabilities who are living in their own homes.

An overview of these services is provided below.

RESIDENTIAL SERVICES

Residential services are offered through a continuum of programs in both community and institutional settings. The alternative that provides the most intensive services in a community setting is the Intermediate Care Facility for the Developmentally Disabled (ICF/DD). These facilities — some 6,900 beds operated statewide by both State and not-for-profit providers — are appropriate for individuals requiring 24-hour care.

The most common residential placement for persons under the waiver is in Individual Residential Alternatives (IRAs) — homes, typically for four to eight consumers, operated by either the State or not-for-profit providers in the community. This budget supports nearly 25,000 IRA beds and accompanying service coordination. Other community living arrangements include Community Residences and Family Care homes, which serve almost 4,500 people.

In addition to these community-based programs, OMRDD operates 10 campuses across the State. Some 1,700 people will be served in these settings by the end of 2006-07, of which 72 percent will be receiving specialized services. OMRDD remains committed to providing community residential opportunities for all those in State institutions who can receive appropriate care in the community. Currently, New York serves more persons in community residential settings than any other state, with the exception of California.

DAY SERVICES

OMRDD supports an array of day services for persons with developmental disabilities, which also vary depending on the needs of the consumer. The major programs include:

- Day treatment which provides diagnostic, treatment and rehabilitative services;
- Day habilitation, a smaller more individualized service for persons under the Federal Medicaid waiver for home and community based services;
- Supported employment, which provides the opportunity for individuals to work in competitive positions, usually in integrated settings in the private sector;
- Sheltered workshops, which provide basic, non-competitive work opportunities; and
- Day training, which develops the knowledge and skills that enable persons with developmental disabilities to improve their personal, social, and vocational skills and to function independently.

SUPPORT FOR CONSUMERS LIVING IN THEIR OWN HOMES

OMRDD services also support families and individuals in their own homes. The Family Support Services program assists families in caring for approximately 50,000 persons with developmental disabilities, enabling these individuals to remain at home. Services offered include respite, crisis intervention, case management, recreation, information and referral and home care. These services will be expanded by the infusion of \$3 million in 2006-07, growing to \$5 million in 2007-08, including a focus on families with autistic loved ones.

The Individualized Support Services program provides services to nearly 1,800 disabled individuals who live independently. In addition, approximately 9,000 individuals living in their own homes receive residential habilitation under the Federal Medicaid waiver.

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The Care at Home program provides a third alternative, designed to preserve family settings for persons under 18 years of age with developmental disabilities, who are medically frail. This program allows the parents of young persons with severe disabilities to maintain the child at home, regardless of family income level, thereby preventing more costly out-of-home placements. Support for up to 600 children is provided by the Executive Budget Recommendations.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	1,355,774,000	1,454,196,000	98,422,000	280,000
Aid To Localities	1,623,069,000	1,818,919,000	195,850,000	392,000
Capital Projects	136,280,000	147,600,000	11,320,000	273,675,000
Total	<u>3,115,123,000</u>	<u>3,420,715,000</u>	<u>305,592,000</u>	<u>274,347,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2005-06 Estimated FTEs 03/31/06	2006-07 Estimated FTEs 03/31/07	FTE Change
Central Coordination and Support			
General Fund	824	824	0
Community Services			
General Fund	16,343	16,419	76
Institutional Services			
General Fund	5,672	5,839	167
Research in Mental Retardation			
General Fund	180	185	5
Total	<u>23,019</u>	<u>23,267</u>	<u>248</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	502,371,000	537,123,000	34,752,000
Special Revenue Funds - Federal	230,000	230,000	0
Special Revenue Funds - Other	850,649,000	914,319,000	63,670,000
Enterprise Funds	2,374,000	2,374,000	0
Internal Service Funds	150,000	150,000	0
Total	<u>1,355,774,000</u>	<u>1,454,196,000</u>	<u>98,422,000</u>

Adjustments:	
Transfer(s) To	
Medicaid Inspector General, Office of the	
General Fund	250,000
Appropriated 2005-06	<u>1,356,024,000</u>

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Central Coordination and Support			
General Fund	67,252,000	71,864,000	4,612,000
Special Revenue Funds - Federal	230,000	230,000	0
Internal Service Funds	150,000	150,000	0
Community Services			
General Fund	820,589,000	876,486,000	55,897,000
Institutional Services			
General Fund	448,318,000	485,666,000	37,348,000
Special Revenue Funds - Other	504,000	504,000	0
Enterprise Funds	2,374,000	2,374,000	0
Maintenance Undistributed			
General Fund	(850,095,000)	(913,765,000)	(63,670,000)
Special Revenue Funds - Other	850,095,000	913,765,000	63,670,000
Research in Mental Retardation			
General Fund	16,307,000	16,872,000	565,000
Special Revenue Funds - Other	50,000	50,000	0
Total	<u>1,355,774,000</u>	<u>1,454,196,000</u>	<u>98,422,000</u>

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Central Coordination and Support	50,100,000	1,618,000	47,721,000	1,542,000
Community Services	740,978,000	38,577,000	722,027,000	37,590,000
Institutional Services	284,157,000	21,043,000	273,278,000	20,258,000
Research in Mental Retardation	14,691,000	300,000	14,254,000	296,000
Total	<u>1,089,926,000</u>	<u>61,538,000</u>	<u>1,057,280,000</u>	<u>59,686,000</u>
Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Central Coordination and Support	2,116,000	68,000	263,000	8,000
Community Services	5,560,000	290,000	13,391,000	697,000
Institutional Services	2,119,000	153,000	8,760,000	632,000
Research in Mental Retardation	262,000	0	175,000	4,000
Total	<u>10,057,000</u>	<u>511,000</u>	<u>22,589,000</u>	<u>1,341,000</u>

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STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Central Coordination and Support	21,764,000	2,994,000	609,000	84,000
Community Services	135,508,000	17,320,000	49,392,000	6,543,000
Institutional Services	201,509,000	16,305,000	33,489,000	3,849,000
Research in Mental Retardation	2,181,000	265,000	984,000	265,000
Total	<u>360,962,000</u>	<u>36,884,000</u>	<u>84,474,000</u>	<u>10,741,000</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Central Coordination and Support	1,369,000	188,000	17,991,000	2,475,000
Community Services	8,130,000	1,077,000	61,958,000	8,208,000
Institutional Services	2,271,000	253,000	38,495,000	3,216,000
Research in Mental Retardation	57,000	0	871,000	0
Total	<u>11,827,000</u>	<u>1,518,000</u>	<u>119,315,000</u>	<u>13,899,000</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support	1,795,000	247,000	0	0
Community Services	7,184,000	952,000	8,844,000	540,000
Institutional Services	3,968,000	443,000	123,286,000	8,544,000
Research in Mental Retardation	269,000	0	0	0
Total	<u>13,216,000</u>	<u>1,642,000</u>	<u>132,130,000</u>	<u>9,084,000</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Central Coordination and Support	380,000	0	0	0
Institutional Services	2,878,000	0	282,000	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>3,308,000</u>	<u>0</u>	<u>282,000</u>	<u>0</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support	0	0	380,000	0
Institutional Services	2,596,000	0	0	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>2,646,000</u>	<u>0</u>	<u>380,000</u>	<u>0</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2005-06	2006-07	
General Fund	410,374,000	529,509,000	119,135,000
Special Revenue Funds - Other	1,212,695,000	1,289,410,000	76,715,000
Total	<u>1,623,069,000</u>	<u>1,818,919,000</u>	<u>195,850,000</u>

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AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Community Services			
General Fund	410,374,000	529,509,000	119,135,000
Special Revenue Funds - Other	1,212,695,000	1,289,410,000	76,715,000
Total	<u>1,623,069,000</u>	<u>1,818,919,000</u>	<u>195,850,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2005-06	Recommended 2006-07	Change	Reappropriations 2006-07
Design and Construction Supervision				
Capital Projects Fund	2,000,000	3,000,000	1,000,000	0
MH Capital Improvements - Authority Bonds	3,000,000	4,000,000	1,000,000	1,793,000
Voluntary-Operated Community Facilities				
Capital Projects Fund	20,800,000	20,800,000	0	18,064,000
MH Capital Improvements - Authority Bonds	5,152,000	5,922,000	770,000	22,490,000
State-Operated Community Services Program				
Capital Projects Fund	16,438,000	19,320,000	2,882,000	13,474,000
MH Capital Improvements - Authority Bonds	5,000,000	20,300,000	15,300,000	18,319,000
Institutional Services Program				
Capital Projects Fund	16,019,000	14,115,000	(1,904,000)	49,235,000
MH Capital Improvements - Authority Bonds	66,871,000	59,143,000	(7,728,000)	149,300,000
Non-Bondable				
Capital Projects Fund	1,000,000	1,000,000	0	1,000,000
Total	<u>136,280,000</u>	<u>147,600,000</u>	<u>11,320,000</u>	<u>273,675,000</u>