

DEPARTMENT OF CORRECTIONAL SERVICES

MISSION

The Department of Correctional Services is responsible for the safe and secure confinement of convicted felons, and the preparation of these individuals for successful reintegration into the community upon release.

ORGANIZATION AND STAFFING

The Department oversees the nation's fourth largest state prison system. With a staff of approximately 31,300 employees, the Department currently operates 70 facilities, grouped within nine regional hubs. Each facility is managed by a Superintendent, who reports to the Commissioner. Nearly 68 percent of the Department's staff are security personnel, with remaining personnel primarily dedicated to the delivery of inmate programs, health services or facility operations. The Department also operates the Willard Drug Treatment Campus in Seneca County, in cooperation with the Division of Parole and the Office of Alcoholism and Substance Abuse Services.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

For 2006-07 the Department will be supported by appropriations of \$2.6 billion. Recommendations account for the current and projected prison population, and support the safe and efficient operation of the state prison system.

The 2006-07 Executive Budget recommends the transfer of the Camp Pharsalia minimum security facility to the Office of Mental Health for the civil commitment of sexually violent predators.

The Department's \$2.3 billion in operating expenses will be supported primarily by State tax dollars, which comprise 93 percent of the Department's operating budget. Nearly \$36 million in Federal funds offset the State cost of housing illegal alien felons, inmate education and substance abuse treatment. Another \$71 million is authorized for the operation of the Correctional Industries program, an inmate vocational program which produces commodities for sale to government agencies. Finally, \$245 million will support the Department's capital program.

PROGRAM HIGHLIGHTS

Over the last decade, penalties for violent crime have increased significantly in New York State. Jenna's Law, enacted in 1998, together with the Truth-in-Sentencing legislation, enacted in 1995, ensures that violent offenders will serve at least 85 percent of their sentence in prison. These and other criminal justice reforms have reduced crime rates resulting in fewer people sentenced to prison. By the close of the 2006-07 fiscal year, the population is expected to be an estimated 63,100 inmates – 8,400 inmates less than the 1999 peak of 71,500.

The decline in inmate population over the past several years can be attributed to reduced crime rates; alternative to incarceration programs, such as the Willard Drug Treatment campus, which provides the court with the option to sentence certain non-violent offenders to treatment for addiction; and programs in which non-violent offenders earn early release from prison through good behavior and progress in inmate programs. The success of these programs has contributed to lower rates of recidivism.

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Although the overall size of the prison population has declined, violent and predatory offenders are remaining in prison longer — on average, 53 percent longer. Tougher penalties for the most serious crimes and the elimination of parole for violent felony offenders have led to a prison population more heavily comprised of violent offenders. Violent offenders now constitute 57 percent of the total inmate population, up from 51 percent in 1995.

To ensure sufficient capacity to appropriately house the greater proportion of violent offenders, 4,950 maximum-security beds were constructed during the late 1990's — including nine 200-bed disciplinary housing units and two new 1,500-bed facilities. Since the population began to decline in 2000, 6,200 minimum and medium security beds once needed to house the much larger prison population have been eliminated. Assaults on inmates and staff are down 64 percent and 45 percent, respectively — the lowest levels since the early 1980's.

The Department is comprised of seven major programs, aimed at providing a safe and secure environment that affords each inmate the opportunity for rehabilitation. These include:

- **Administration:** Administrative staff formulate policy and provide centralized operational support to correctional facilities, including directing the classification and movement of inmates, as well as coordinating labor relations, personnel and financial functions and the Department's response to legal issues.
- **Support Services:** Dedicated to facility management, this program ensures smooth operations within correctional facilities, including food service, transportation, utility management and maintenance of the physical plant.
- **Supervision of Inmates:** A total of 21,000 correction officers ensures a safe and secure environment for both employees and inmates, and protects the safety of surrounding communities.
- **Program Services:** The Department offers a wide range of programs designed to prepare inmates for successful reintegration into the community, including basic educational and vocational training, sex offender and substance abuse treatment, aggression management and transitional planning.
- **Health Services:** Treating a population with significant health care needs and high rates of infectious disease (e.g., AIDS, tuberculosis and hepatitis), the Department combines the use of telemedicine with access to regional medical units to achieve cost-effective healthcare. Inmate mental health services are provided jointly with the Office of Mental Health, and a planned expansion of services is on track.
- **Correctional Industries:** This program provides commodities and services for the Department, other State agencies and local governments. Inmates are employed by Correctional Industries, providing them with vocational training and real work experience.
- **Facilities Planning and Development:** The Department's capital program ensures that all aspects of each facility remain safe and secure. The advanced age of some of the system's facilities, as well as the changing needs of the inmate population, require a steady program of rehabilitation and preservation projects.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2005-06	Appropriations Recommended 2006-07	Change	Reappropriations Recommended 2006-07
State Operations	2,263,313,000	2,346,239,000	82,926,000	37,000,000
Aid To Localities	8,000,000	6,000,000	(2,000,000)	8,000,000
Capital Projects	205,000,000	248,000,000	43,000,000	820,865,000
Total	<u>2,476,313,000</u>	<u>2,600,239,000</u>	<u>123,926,000</u>	<u>865,865,000</u>

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ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2005-06	2006-07	FTE Change
	Estimated FTEs 03/31/06	Estimated FTEs 03/31/07	
Administration			
General Fund	235	235	0
Special Revenue Funds - Federal	655	655	0
Enterprise Funds	11	11	0
Correctional Industries			
Internal Service Funds	474	474	0
Facilities Planning and Development			
Capital Projects Funds - Other	32	32	0
Health Services			
General Fund	1,891	1,924	33
Enterprise Funds	26	26	0
Program Services			
General Fund	3,273	3,260	(13)
Enterprise Funds	42	42	0
Supervision of Inmates			
General Fund	21,251	21,160	(91)
Support Services			
General Fund	3,582	3,549	(33)
Total	<u>31,472</u>	<u>31,368</u>	<u>(104)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2005-06	2006-07	
General Fund	2,091,745,000	2,179,398,000	87,653,000
Special Revenue Funds - Federal	36,600,000	35,700,000	(900,000)
Special Revenue Funds - Other	1,000,000	850,000	(150,000)
Enterprise Funds	58,957,000	59,046,000	89,000
Internal Service Funds	75,011,000	71,245,000	(3,766,000)
Total	<u>2,263,313,000</u>	<u>2,346,239,000</u>	<u>82,926,000</u>

Adjustments:	
Prior Year Deficiency	
Correctional Services, Department of	
General Fund	116,000,000
Appropriated 2005-06	<u>2,379,313,000</u>

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2005-06	Recommended 2006-07	Change
Administration			
General Fund	25,434,000	27,557,000	2,123,000
Special Revenue Funds - Federal	36,600,000	35,700,000	(900,000)
Special Revenue Funds - Other	400,000	250,000	(150,000)
Enterprise Funds	2,082,000	2,082,000	0
Correctional Industries			
Internal Service Funds	75,011,000	71,245,000	(3,766,000)
Health Services			
General Fund	291,770,000	323,694,000	31,924,000
Enterprise Funds	9,694,000	2,613,000	(7,081,000)
Program Services			
General Fund	203,114,000	205,101,000	1,987,000
Special Revenue Funds - Other	100,000	100,000	0
Enterprise Funds	46,356,000	52,986,000	6,630,000
Supervision of Inmates			
General Fund	1,174,924,000	1,170,850,000	(4,074,000)
Support Services			
General Fund	396,503,000	452,196,000	55,693,000
Special Revenue Funds - Other	500,000	500,000	0
Enterprise Funds	825,000	1,365,000	540,000
Total	<u>2,263,313,000</u>	<u>2,346,239,000</u>	<u>82,926,000</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	15,510,000	197,000	15,435,000	195,000
Health Services	121,401,000	10,469,000	109,968,000	10,295,000
Program Services	170,994,000	4,298,000	155,868,000	373,000
Supervision of Inmates	1,148,247,000	(6,310,000)	1,085,582,000	5,089,000
Support Services	162,320,000	6,486,000	152,946,000	6,041,000
Total	<u>1,618,472,000</u>	<u>15,140,000</u>	<u>1,519,799,000</u>	<u>21,993,000</u>
Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	0	0	75,000	2,000
Health Services	3,919,000	(401,000)	7,514,000	575,000
Program Services	11,917,000	3,810,000	3,209,000	115,000
Supervision of Inmates	7,176,000	(591,000)	55,489,000	(10,808,000)
Support Services	442,000	86,000	8,932,000	359,000
Total	<u>23,454,000</u>	<u>2,904,000</u>	<u>75,219,000</u>	<u>(9,757,000)</u>

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STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	12,047,000	1,926,000	523,000	109,000
Health Services	202,293,000	21,455,000	86,776,000	10,262,000
Program Services	34,107,000	(2,311,000)	10,193,000	640,000
Supervision of Inmates	22,603,000	2,236,000	11,710,000	(422,000)
Support Services	289,876,000	49,207,000	141,673,000	34,883,000
Total	560,926,000	72,513,000	250,875,000	45,472,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	454,000	126,000	8,180,000	1,669,000
Health Services	187,000	74,000	113,646,000	10,107,000
Program Services	480,000	85,000	21,251,000	(4,553,000)
Supervision of Inmates	3,474,000	998,000	6,674,000	1,372,000
Support Services	489,000	161,000	137,836,000	14,621,000
Total	5,084,000	1,444,000	287,587,000	23,216,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	890,000	22,000	2,000,000	0
Health Services	1,684,000	1,012,000	0	0
Program Services	2,183,000	1,517,000	0	0
Supervision of Inmates	745,000	288,000	0	0
Support Services	9,878,000	(458,000)	0	0
Total	15,380,000	2,381,000	2,000,000	0

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2006-07 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	38,032,000	(1,050,000)	32,327,000	0
Correctional Industries	71,245,000	(3,766,000)	23,761,000	(922,000)
Health Services	2,613,000	(7,081,000)	2,364,000	119,000
Program Services	53,086,000	6,630,000	2,273,000	25,000
Support Services	1,865,000	540,000	0	0
Total	166,841,000	(4,727,000)	60,725,000	(778,000)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	1,505,000	(150,000)	4,200,000	(900,000)
Correctional Industries	47,484,000	(2,844,000)	0	0
Health Services	249,000	(7,200,000)	0	0
Program Services	50,813,000	6,605,000	0	0
Support Services	1,865,000	540,000	0	0
Total	101,916,000	(3,049,000)	4,200,000	(900,000)

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2005-06	Recommended 2006-07	Change
General Fund	8,000,000	6,000,000	(2,000,000)
Total	8,000,000	6,000,000	(2,000,000)

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**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2005-06	Recommended 2006-07	Change
Support Services			
General Fund	8,000,000	6,000,000	(2,000,000)
Total	<u>8,000,000</u>	<u>6,000,000</u>	<u>(2,000,000)</u>

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2005-06	Recommended 2006-07	Change	Reappropriations 2006-07
Maintenance and Improvement of Existing Facilities				
Federal Capital Projects Fund	0	0	0	3,482,000
Special Conservation Activities Account	0	3,000,000	3,000,000	0
Correctional Facilities Capital Improvement Fund	205,000,000	245,000,000	40,000,000	555,980,000
UDC Financed and Other New Facility Capacity Expansion				
Correctional Facilities Capital Improvement Fund	0	0	0	261,403,000
Total	<u>205,000,000</u>	<u>248,000,000</u>	<u>43,000,000</u>	<u>820,865,000</u>