

DEPARTMENT OF CORRECTIONAL SERVICES

MISSION

The Department of Correctional Services (the Department) is responsible for the safe and secure confinement of convicted felons, and the preparation of these individuals for successful reintegration into the community upon release.

ORGANIZATION AND STAFFING

The Department oversees the nation's fourth largest state prison system. With a staff of approximately 31,000 employees, the Department currently operates 70 facilities, grouped within nine regional hubs. Each facility is managed by a Superintendent, reporting to the Commissioner. Nearly 68 percent of the Department's staff are security personnel, with remaining personnel primarily dedicated to the delivery of inmate programs, health services or facility operations. The Department also operates the Willard Drug Treatment Campus in Seneca County, in cooperation with the Division of Parole and the Office of Alcoholism and Substance Abuse Services.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

For 2005-06 the Department will be supported by appropriations of \$2.5 billion. Recommendations reflect the steady decline in the prison population, and continuing down-sizing of the prison system.

The Department's \$2.2 billion in operating expenses will be supported primarily by State tax dollars, which comprise 92 percent of the Department's operating budget, and 100 percent of the cost of reimbursing counties for housing inmates awaiting transfer to State prison. More than \$36 million in Federal funds offset the State cost of housing illegal alien felons, inmate education and substance abuse treatment. Another \$75 million is authorized for operations of the Correctional Industries program, an inmate vocational program which produces commodities for sale to government agencies. Finally, \$205 million will support the Department's capital program.

A \$116 million deficiency appropriation is recommended as well, to fund 2004-05 expenditures which were unanticipated at the time of budget enactment. Higher-than-anticipated fuel costs, overtime resulting from increased absences of correction officers on military leave or worker's compensation leave, and significantly higher-than-expected costs for inmate medical care are the primary causes of this deficiency.

PROGRAM HIGHLIGHTS

Over the last decade, penalties for violent crime have increased significantly in New York State. Jenna's Law, enacted in 1998, together with the Truth-in-Sentencing legislation enacted in 1995, ensure that violent offenders will serve at least 85 percent of their sentence in prison.

These and other criminal justice reforms have reduced crime rates, and resulted in a significant decline in the prison population. By the close of the 2005-06 fiscal year, the population is expected to decline to an estimated 63,100 inmates – 8,400 inmates less than the 1999 peak of 71,500.

This decline reflects alternative sentencing programs, such as the Willard Drug Treatment campus which provides the court with the option to sentence certain non-violent offenders to treatment for addiction, and programs in which non-violent offenders earn early release from prison. The success of these programs has contributed to lower rates of recidivism.

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Although the overall size of the prison population has declined, violent and predatory offenders are remaining in prison longer — on average, 57 percent longer. Tougher penalties for the most serious crimes and the elimination of parole for violent felony offenders have led to a prison population more heavily comprised of violent offenders. Violent offenders now constitute 57 percent of the total inmate population, up from 51 percent in 1995.

To ensure sufficient capacity to appropriately house this population, 4,950 maximum-security beds were constructed — including nine 200-bed disciplinary housing units, and two new 1,500-bed facilities. The system has also eliminated nearly 6,300 temporary beds added to house the much larger prison population of the late 1990's. Assaults on inmates and staff are down 59 percent and 41 percent, respectively — the lowest levels since the early 1980's.

The Department is comprised of seven major programs, aimed at providing a safe and secure environment that affords each inmate the opportunity for rehabilitation. These include:

- **Administration:** Administrative staff formulate policy and provide centralized operational support to correctional facilities, including directing the classification and movement of inmates, as well as coordinating labor relations, personnel and financial functions and the Department's response to legal issues.
- **Support Services:** Dedicated to facility management, this program ensures smooth operations within correctional facilities, including food service, transportation, utility management and maintenance of the physical plant.
- **Supervision of Inmates:** A total of 21,000 correction officers ensures a safe and secure environment for both employees and inmates, and protects the safety of surrounding communities.
- **Program Services:** The Department offers a wide range of successful programs designed to prepare inmates for successful reintegration into the community, including basic educational and vocational skills training, sex offender and substance abuse treatment, aggression management programs and transitional planning.
- **Health Services:** Treating a population with significant health care needs and high rates of infectious disease (e.g., AIDS, tuberculosis and hepatitis), the Department combines the use of telemedicine with access to regional medical units to achieve cost-effective health screening and care. Inmate mental health services are provided jointly with the Office of Mental Health, and a planned expansion of services is on track.
- **Correctional Industries:** This program provides commodities and services for the Department, other State agencies and local governments. Inmates are employed as staff, providing them with vocational training and real working experience.
- **Facilities Planning and Development:** The Department's capital program ensures that all aspects of each facility remain safe and secure. The advanced age of some of the system's facilities, as well as the changing needs of the inmate population, require a steady program of rehabilitation and preservation projects totaling approximately \$180 million annually.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2004-05	Appropriations Recommended 2005-06	Change	Reappropriations Recommended 2005-06
State Operations	2,201,448,600	2,240,913,000	39,464,400	9,500,000
Aid To Localities	11,400,000	8,000,000	(3,400,000)	11,400,000
Capital Projects	205,107,000	205,000,000	(107,000)	827,160,000
Total	<u>2,417,955,600</u>	<u>2,453,913,000</u>	<u>35,957,400</u>	<u>848,060,000</u>

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ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2004-05 Estimated FTEs 03/31/05	2005-06 Estimated FTEs 03/31/06	FTE Change
Administration			
General Fund	235	235	0
Special Revenue Funds - Federal	725	725	0
Enterprise Funds	11	11	0
Correctional Industries			
Internal Service Funds	468	468	0
Facilities Planning and Development			
Capital Projects Funds - Other	32	32	0
Health Services			
General Fund	1,841	1,841	0
Enterprise Funds	26	26	0
Program Services			
General Fund	3,183	3,183	0
Enterprise Funds	42	42	0
Supervision of Inmates			
General Fund	20,970	20,894	(76)
Support Services			
General Fund	3,512	3,512	0
Total	31,045	30,969	(76)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2004-05	Recommended 2005-06	Change
General Fund	2,009,939,000	2,067,345,000	57,406,000
Special Revenue Funds - Federal	37,087,600	36,600,000	(487,600)
Special Revenue Funds - Other	16,000,000	3,000,000	(13,000,000)
Enterprise Funds	65,650,700	58,957,000	(6,693,700)
Internal Service Funds	72,771,300	75,011,000	2,239,700
Total	2,201,448,600	2,240,913,000	39,464,400

Adjustments:	
Prior Year Deficiency	
Correctional Services, Department of General Fund	54,500,000
Recommended Deficiency	
Correctional Services, Department of General Fund	(116,000,000)
Transfer(s) From	
Special Pay Bill	
General Fund	(18,647,000)
Special Revenue Funds - Federal	(477,600)
Enterprise Funds	(224,700)
Internal Service Funds	(1,240,300)
Appropriated 2004-05	2,119,359,000

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2004-05	Recommended 2005-06	Change
Administration			
General Fund	22,636,000	23,434,000	798,000
Special Revenue Funds - Federal	37,087,600	36,600,000	(487,600)
Special Revenue Funds - Other	15,400,000	2,400,000	(13,000,000)
Enterprise Funds	2,082,000	2,082,000	0
Correctional Industries			
Internal Service Funds	72,771,300	75,011,000	2,239,700
Health Services			
General Fund	295,318,000	291,770,000	(3,548,000)
Enterprise Funds	16,862,000	9,694,000	(7,168,000)
Program Services			
General Fund	195,323,000	203,114,000	7,791,000
Special Revenue Funds - Other	100,000	100,000	0
Enterprise Funds	45,881,700	46,356,000	474,300
Supervision of Inmates			
General Fund	1,117,064,000	1,152,524,000	35,460,000
Support Services			
General Fund	379,598,000	396,503,000	16,905,000
Special Revenue Funds - Other	500,000	500,000	0
Enterprise Funds	825,000	825,000	0
Total	<u>2,201,448,600</u>	<u>2,240,913,000</u>	<u>39,464,400</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2005-06 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	15,313,000	751,000	15,240,000	740,000
Health Services	110,932,000	4,647,000	99,673,000	3,453,000
Program Services	166,696,000	7,668,000	155,495,000	7,353,000
Supervision of Inmates	1,132,465,000	31,957,000	1,059,251,000	27,492,000
Support Services	155,834,000	1,554,000	146,905,000	789,000
Total	<u>1,581,240,000</u>	<u>46,577,000</u>	<u>1,476,564,000</u>	<u>39,827,000</u>
Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	0	0	73,000	11,000
Health Services	4,320,000	(12,000)	6,939,000	1,206,000
Program Services	8,107,000	198,000	3,094,000	117,000
Supervision of Inmates	6,917,000	1,605,000	66,297,000	2,860,000
Support Services	356,000	31,000	8,573,000	734,000
Total	<u>19,700,000</u>	<u>1,822,000</u>	<u>84,976,000</u>	<u>4,928,000</u>

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STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2005-06 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	8,121,000	47,000	414,000	12,000
Health Services	180,838,000	(8,195,000)	76,514,000	2,875,000
Program Services	36,418,000	123,000	9,553,000	704,000
Supervision of Inmates	20,059,000	3,503,000	11,964,000	2,795,000
Support Services	240,669,000	15,351,000	106,790,000	8,662,000
Total	486,105,000	10,829,000	205,235,000	15,048,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	328,000	10,000	6,511,000	0
Health Services	113,000	0	103,539,000	(11,374,000)
Program Services	395,000	20,000	25,804,000	(737,000)
Supervision of Inmates	2,406,000	322,000	5,232,000	353,000
Support Services	328,000	0	123,215,000	6,378,000
Total	3,570,000	352,000	264,301,000	(5,380,000)

Program	Equipment	
	Amount	Change
Administration	868,000	25,000
Health Services	672,000	304,000
Program Services	666,000	136,000
Supervision of Inmates	457,000	33,000
Support Services	10,336,000	311,000
Total	12,999,000	809,000

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2005-06 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	41,082,000	(13,487,600)	32,327,000	522,400
Correctional Industries	75,011,000	2,239,700	24,683,000	445,400
Health Services	9,694,000	(7,168,000)	1,559,000	(413,000)
Program Services	46,456,000	474,300	2,248,000	253,200
Support Services	1,325,000	0	0	0
Total	173,568,000	(17,941,600)	60,817,000	808,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	1,655,000	0	7,100,000	(14,010,000)
Correctional Industries	50,328,000	1,794,300	0	0
Health Services	8,135,000	(6,755,000)	0	0
Program Services	44,208,000	221,100	0	0
Support Services	1,325,000	0	0	0
Total	105,651,000	(4,739,600)	7,100,000	(14,010,000)

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2004-05	Recommended 2005-06	Change
General Fund	11,400,000	8,000,000	(3,400,000)
Total	11,400,000	8,000,000	(3,400,000)

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AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2004-05	Recommended 2005-06	Change
Support Services			
General Fund	11,400,000	8,000,000	(3,400,000)
Total	<u>11,400,000</u>	<u>8,000,000</u>	<u>(3,400,000)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2004-05*	Recommended 2005-06	Change	Reappropriations 2005-06
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	0	0	0	23,000
Correctional Facilities Capital Improvement Fund	205,107,000	205,000,000	(107,000)	580,981,000
UDC Financed and Other New Facility Capacity Expansion				
Correctional Facilities Capital Improvement Fund	0	0	0	246,156,000
Total	<u>205,107,000</u>	<u>205,000,000</u>	<u>(107,000)</u>	<u>827,160,000</u>

*Includes transfers from Special Pay Bill - State Operations. See the table for Special Pay Bill in the Miscellaneous section for details.