

***PUBLIC PROTECTION
AND GENERAL
GOVERNMENT***

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

MISSION

The Division of Alcoholic Beverage Control regulates and controls the manufacture, sale and distribution of alcoholic beverages within the State. The Division issues and renews licenses and permits to manufacturers, distributors, wholesalers and retailers; works with local law enforcement agencies to ensure compliance with the Alcoholic Beverage Control Law; and regulates trade and credit practices for the sale and distribution of alcoholic beverages by such actions as registering brand labels and controlling wholesale and retail prices.

ORGANIZATION AND STAFFING

The Division will have a workforce of 153 positions for 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommendations for fiscal year 2004-05 reflect savings through the replacement of the three-member State Liquor Authority with a single agency head and the closing of the Syracuse office. Approximately \$13.5 million in special revenue funding derived from license and permit fees will support the Division.

PROGRAM HIGHLIGHTS

Staff assigned to the Licensing Program are responsible for the timely processing of permits and licenses. Computer and imaging improvements implemented by the Division continue to reduce processing time, contain operating costs and accelerate revenue collections.

In cooperation with local law enforcement agencies, staff of the Compliance Program ensures that regulated parties comply with the law. The Division investigates and holds administrative hearings on complaints against permit and license holders. Penalties, ranging from warnings to license suspension and revocation, are imposed for violations.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	13,297,500	13,502,000	204,500	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	13,297,500	13,502,000	204,500	0

ALCOHOLIC BEVERAGE CONTROL

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04	2004-05	FTE Change
	Estimated FTEs 03/31/04	Estimated FTEs 03/31/05	
Administration			
Special Revenue Funds - Other	17	11	(6)
Compliance			
Special Revenue Funds - Other	69	69	0
Licensing and Wholesaler Services			
Special Revenue Funds - Other	73	73	0
Total	<u>159</u>	<u>153</u>	<u>(6)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2003-04	2004-05	
Special Revenue Funds - Other	13,297,500	13,502,000	204,500
Total	<u>13,297,500</u>	<u>13,502,000</u>	<u>204,500</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2003-04	2004-05	
Administration			
Special Revenue Funds - Other	3,790,000	3,704,000	(86,000)
Compliance			
Special Revenue Funds - Other	5,258,500	5,509,000	250,500
Licensing and Wholesaler Services			
Special Revenue Funds - Other	4,249,000	4,289,000	40,000
Total	<u>13,297,500</u>	<u>13,502,000</u>	<u>204,500</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	3,704,000	(86,000)	838,000	(204,000)
Compliance	5,509,000	250,500	3,356,000	26,000
Licensing and Wholesaler Services	4,289,000	40,000	2,601,000	(92,000)
Total	<u>13,502,000</u>	<u>204,500</u>	<u>6,795,000</u>	<u>(270,000)</u>

Program	Nonpersonal Service	
	Amount	Change
Administration	2,866,000	118,000
Compliance	2,153,000	224,500
Licensing and Wholesaler Services	1,688,000	132,000
Total	<u>6,707,000</u>	<u>474,500</u>

DEPARTMENT OF AUDIT AND CONTROL

MISSION

The Department of Audit and Control was created in 1926 and is headed by the State Comptroller, who is elected by the people. The Department is responsible for paying the State's bills and payrolls; verifying all financial transactions of the State; reviewing the financial and management practices of State agencies; supervising the fiscal affairs of local governments; investing State funds and issuing bonds and notes; and administering the retirement program for State and most local government employees.

ORGANIZATION AND STAFFING

The operations of the Department of Audit and Control are organized into 10 programs, with its main office in Albany and regional offices in New York City, Buffalo, Rochester, Syracuse, Binghamton, Glens Falls, Newburgh and Hauppauge. These regional offices function primarily as decentralized audit centers, providing financial review of the accounting of revenues collected and expenses incurred by counties, cities, towns and villages, school and fire districts and quasi-governmental entities. The Department will have a workforce of 2,271 positions for 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The operating expenses of the Department are funded primarily with State tax dollars from the General Fund and with revenues from the Employee Retirement Systems. The Executive Budget recommends \$209 million for the Department's State Operations budget, including \$124 million, or 59 percent, in General Fund support.

Another 37 percent of the Department's State Operations budget will be supported in 2004-05 with the recommended \$76.3 million in funding from the Retirement Systems. The remaining 4 percent of this budget will be funded with:

- \$5.4 million in payments made by the City of New York and certain businesses, which support the Department's costs associated with overseeing City finances and with administering an account related to oil spill cleanups;
- \$1.4 million in charges to the Retirement Systems for the Department's staff and other costs related to administering an internal auditing program; and
- \$1.8 million from investment earnings, which will finance checking and direct deposit costs of State government.

The General Municipal Law provides special accidental death benefits for the survivors of police and paid firefighters who have died from accidents sustained in the performance of duty, including those first responders lost in the World Trade Center attack. The Executive Budget recommendation includes \$39.4 million to fund these benefits.

PROGRAM HIGHLIGHTS

- The Executive Direction, Legal Services, and Administrative and Data Processing Services programs are responsible for the public information, internal audit, fiscal research, data processing, financial administration, legal, office services, management analysis and human resource functions of the Department.
- The Payroll and Revenue Services program conducts pre-audits of the State payroll and manages two statewide revenue programs. Staff assigned to this program administer the Abandoned Property Law, which requires the timely transfer of abandoned property to the State from holders of the property, notification of the legal

AUDIT AND CONTROL

owners of the property and payment of all valid claims. Program staff also process revenues generated by the local courts and by the sale of licenses for bingo and games of chance. These revenues are deposited in the Justice Court Fund and are subsequently distributed to the State and localities to which the funds are owed.

- The State Services program audits all State agency, State public authority and New York City government programs to evaluate their effectiveness and efficiency. Staff assigned to this program also conduct a pre-audit of all non-payroll State expenditures. In this capacity, the Department acts as the State's bookkeeper, recording all collected revenues in the appropriate accounts, and posting all payments. The Higher Education Services Corporation and the departments of Labor and Civil Service fund on-site auditors who monitor selected activities of those agencies.
- The Local Government Services and Economic Development program examines and standardizes fiscal reports and accounts of all governmental and quasi-governmental entities within the State, and monitors and makes recommendations on the fiscal condition of municipalities. Staff also audit school districts and boards of cooperative education, with a portion of these costs funded by the State Education Department.
- The Office of the State Deputy Comptroller for the City of New York assists the New York State Financial Control Board in carrying out and exercising the responsibilities assigned, and powers granted, to the Board by the Financial Emergency Act for the City of New York.
- The Retirement Services program administers the State Retirement Systems, consisting of the Employees' Retirement System, the Police and Fire Retirement System and the Public Employees' Group Life Insurance Plan. Currently, there are about 2,968 participating government employers, 650,543 active and vested members and approximately 313,957 pensioners and their beneficiaries.
- The Pension Investment and Public Finance program, in addition to overseeing the assets of the Retirement Systems, issues general obligation debt, invests short-term moneys for the State and local governments and selects financial institutions to provide banking services to the State.
- Under Chapter 845 of the Laws of 1977, the Comptroller administers the New York State Environmental Protection and Oil Spill Compensation Fund. Costs associated with cleaning up oil spills are paid from the fund upon certification of the Commissioner of Environmental Conservation. Moneys in this fund consist of receipts from a fee levied on each barrel of petroleum shipped into the State.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	206,147,000	208,934,000	2,787,000	0
Aid To Localities	29,099,000	39,424,000	10,325,000	0
Capital Projects	0	0	0	0
Total	235,246,000	248,358,000	13,112,000	0

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ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
	Administrative and Data Processing		
Services Program			
General Fund	290	290	0
Environmental Protection and Spill			
Compensation			
Special Revenue Funds - Other	6	6	0
Executive Direction			
General Fund	35	35	0
Internal Service Funds	13	13	0
Pension Investment and Public Finance			
Program			
General Fund	11	11	0
Fiduciary Funds	45	45	0
Legal Services			
General Fund	34	34	0
State Services Program			
General Fund	489	489	0
Special Revenue Funds - Federal	8	8	0
Internal Service Funds	11	11	0
Local Government Services and Economic			
Development Program			
General Fund	192	192	0
Payroll and Revenue Services			
General Fund	329	329	0
Office of the Special Deputy Comptroller for			
New York City			
Special Revenue Funds - Other	28	28	0
Retirement Services Program			
Fiduciary Funds	780	780	0
Total	2,271	2,271	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	123,952,000	123,952,000	0
Special Revenue Funds - Other	5,010,000	5,394,000	384,000
Internal Service Funds	3,222,000	3,274,000	52,000
Fiduciary Funds	73,963,000	76,314,000	2,351,000
Total	206,147,000	208,934,000	2,787,000

AUDIT AND CONTROL

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Administrative and Data Processing			
Services Program			
General Fund	30,467,000	30,467,000	0
Environmental Protection and Spill			
Compensation			
Special Revenue Funds - Other	692,000	935,000	243,000
Executive Direction			
General Fund	4,420,000	4,420,000	0
Internal Service Funds	1,382,000	1,434,000	52,000
Pension Investment and Public Finance			
Program			
General Fund	1,369,000	1,369,000	0
Internal Service Funds	1,840,000	1,840,000	0
Fiduciary Funds	6,447,000	6,650,000	203,000
Legal Services			
General Fund	2,845,000	2,845,000	0
State Services Program			
General Fund	41,335,000	41,335,000	0
Local Government Services and Economic			
Development Program			
General Fund	13,736,000	13,736,000	0
Special Revenue Funds - Other	545,000	545,000	0
Payroll and Revenue Services			
General Fund	29,780,000	29,780,000	0
Office of the Special Deputy Comptroller for			
New York City			
Special Revenue Funds - Other	3,773,000	3,914,000	141,000
Retirement Services Program			
Fiduciary Funds	67,516,000	69,664,000	2,148,000
Total	<u>206,147,000</u>	<u>208,934,000</u>	<u>2,787,000</u>

AUDIT AND CONTROL

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administrative and Data Processing				
Services Program	18,013,000	0	17,961,200	0
Executive Direction	3,754,000	0	3,737,700	0
Pension Investment and Public Finance Program	880,000	0	880,000	0
Legal Services	2,827,000	0	2,814,600	0
State Services Program	29,767,000	0	29,615,000	0
Local Government Services and Economic Development Program	12,375,000	0	12,356,000	0
Payroll and Revenue Services	15,038,000	0	14,111,200	0
Total	82,654,000	0	81,475,700	0

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administrative and Data Processing				
Services Program	11,600	0	40,200	0
Executive Direction	16,300	0	0	0
Pension Investment and Public Finance Program	0	0	0	0
Legal Services	0	0	12,400	0
State Services Program	92,300	0	59,700	0
Local Government Services and Economic Development Program	0	0	19,000	0
Payroll and Revenue Services	424,400	0	502,400	0
Total	544,600	0	633,700	0

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**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administrative and Data Processing				
Services Program	12,454,000	0	663,100	0
Executive Direction	666,000	0	15,600	0
Pension Investment and Public Finance				
Program	489,000	0	6,300	0
Legal Services	18,000	0	5,000	0
State Services Program	11,568,000	0	21,000	0
Local Government Services and Economic				
Development Program	1,361,000	0	33,500	0
Payroll and Revenue Services	14,742,000	0	68,600	0
Total	<u>41,298,000</u>	<u>0</u>	<u>813,100</u>	<u>0</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administrative and Data Processing				
Services Program	20,600	0	11,711,600	0
Executive Direction	21,900	0	571,700	0
Pension Investment and Public Finance				
Program	6,400	0	475,500	0
Legal Services	2,200	0	5,800	0
State Services Program	240,300	0	11,294,950	0
Local Government Services and Economic				
Development Program	404,800	0	918,500	0
Payroll and Revenue Services	89,400	0	14,568,250	0
Total	<u>785,600</u>	<u>0</u>	<u>39,546,300</u>	<u>0</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administrative and Data Processing				
Services Program	58,700	0	0	0
Executive Direction	2,800	0	54,000	0
Pension Investment and Public Finance				
Program	800	0	0	0
Legal Services	5,000	0	0	0
State Services Program	11,750	0	0	0
Local Government Services and Economic				
Development Program	4,200	0	0	0
Payroll and Revenue Services	15,750	0	0	0
Total	<u>99,000</u>	<u>0</u>	<u>54,000</u>	<u>0</u>

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**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Environmental Protection and Spill Compensation	935,000	243,000	474,000	144,000
Executive Direction	1,434,000	52,000	914,000	0
Pension Investment and Public Finance Program	8,490,000	203,000	3,603,000	0
Local Government Services and Economic Development Program	545,000	0	0	0
Office of the Special Deputy Comptroller for New York City	3,914,000	141,000	2,505,000	0
Retirement Services Program	69,664,000	2,148,000	33,925,000	0
Total	84,982,000	2,787,000	41,421,000	144,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Environmental Protection and Spill Compensation	461,000	99,000	0	0
Executive Direction	520,000	52,000	0	0
Pension Investment and Public Finance Program	4,887,000	203,000	0	0
Local Government Services and Economic Development Program	0	0	545,000	0
Office of the Special Deputy Comptroller for New York City	1,409,000	141,000	0	0
Retirement Services Program	35,739,000	2,148,000	0	0
Total	43,016,000	2,643,000	545,000	0

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	29,099,000	39,424,000	10,325,000
Total	29,099,000	39,424,000	10,325,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
State Services Program			
General Fund	29,099,000	39,424,000	10,325,000
Total	29,099,000	39,424,000	10,325,000

BANKING DEPARTMENT

MISSION

The Banking Department is charged with protecting the public interest and promoting a desirable business climate by regulating and supervising approximately 3,400 State-chartered banking institutions and licensees with total assets of approximately \$1.9 trillion. In carrying out this responsibility, the Department approves acquisitions, branch expansions, mergers and other forms of consolidation. It also levies fines, orders cessation of unsound financial practices and replaces management as needed. In addition, the Department is authorized to take possession of failing institutions and to operate or liquidate them for the benefit of depositors and creditors. In exercising this oversight, the Banking Department maintains the highest standards to assure the continued safety and soundness of, and the full public confidence in, the institutions supervised. By dealing decisively with institutions that are not in compliance with banking laws and regulations, or cases of malfeasance, the Department promotes a desirable business climate for all financial institutions operating in New York State.

ORGANIZATION AND STAFFING

The Superintendent of Banks, appointed by the Governor, directs the Department. The Superintendent is also the Chair of the 17-member Banking Board, a quasi-legislative body which regulates the conduct of banking in the State. Members of the Board are appointed by the Governor with the consent of the Senate. They receive travel reimbursement but are not otherwise compensated. The Department maintains offices in Albany, New York City, Syracuse, London, and Tokyo. The Banking Department will have a workforce of 587 positions for 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Banking Department is entirely supported by assessments charged to regulated financial institutions and organizations. The Executive Budget recommends \$80 million to support the Department in 2004-05. Legislation is proposed to authorize the Superintendent to prescribe by regulation the amount of any fee or non-criminal penalty authorized pursuant to the Banking Law. Increasing certain licensing and investigation fees and fines is recommended to support Department initiatives and to effectively operate as incentives for regulated persons or entities to comply with various supervisory requirements.

PROGRAM HIGHLIGHTS

To ensure the safety and soundness of banking institutions, the Department monitors these institutions to identify problems and works with management to promptly solve them. This responsibility is carried out through annual on-site examinations of most State-chartered institutions, the regular review of institutional financial reports and periodic site visits.

To ensure that State-chartered banking institutions are complying with State laws and regulations and that no individuals are unfairly denied credit, Department employees conduct consumer compliance examinations and resolve consumer complaints. Other staff monitor whether institutions are helping to meet the credit and banking needs of local communities as required by various State laws.

Additionally, the Department's investigation and prosecution of criminal banking activities protects the public and provides support to institutions that do abide by applicable laws and regulations. Lastly, the Department continues to review its internal programs, policies and procedures in order to respond to the changing financial services environment and to promote a strong and healthy financial services industry.

BANKING

The Holocaust Claims Processing Office, established in 1997, assists Holocaust survivors and their heirs in their search for assets that are held by banks, insurance companies and other institutions.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	73,288,000	80,247,000	6,959,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	73,288,000	80,247,000	6,959,000	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Administration			
Special Revenue Funds - Other	63	63	0
Analysis and Compliance			
Special Revenue Funds - Other	35	35	0
Regulation			
Special Revenue Funds - Other	471	489	18
Total	569	587	18

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
Special Revenue Funds - Federal	500,000	0	(500,000)
Special Revenue Funds - Other	72,788,000	80,247,000	7,459,000
Total	73,288,000	80,247,000	6,959,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
Special Revenue Funds - Other	9,211,000	9,469,000	258,000
Analysis and Compliance			
Special Revenue Funds - Federal	200,000	0	(200,000)
Special Revenue Funds - Other	3,620,000	3,821,000	201,000
Regulation			
Special Revenue Funds - Federal	300,000	0	(300,000)
Special Revenue Funds - Other	59,957,000	66,957,000	7,000,000
Total	73,288,000	80,247,000	6,959,000

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	9,469,000	258,000	4,260,000	13,000
Analysis and Compliance	3,821,000	1,000	2,485,000	43,000
Regulation	66,957,000	6,700,000	35,142,000	1,763,000
Total	80,247,000	6,959,000	41,887,000	1,819,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	5,209,000	245,000	0	0
Analysis and Compliance	1,336,000	(42,000)	0	0
Regulation	30,650,000	4,937,000	1,165,000	0
Total	37,195,000	5,140,000	1,165,000	0

DIVISION OF THE BUDGET

MISSION

The Governor is responsible under the State Constitution for the preparation and execution of the State's expenditure and revenue plans. The Division of the Budget prepares a proposed budget under the Governor's direction and executes the budget as adopted by the Legislature. The Division also serves as the Governor's primary advisor on such fiscal matters as local government and public authority finances.

ORGANIZATION AND STAFFING

Headed by the Director of the Budget, the Division is located in Albany. The Division's workforce is supported through both the General Fund and Special Revenues.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The recommended General Fund appropriation for 2004-05 of \$35.1 million will fund the Budget Division's basic operations.

PROGRAM HIGHLIGHTS

The Division's activities include:

- Establishing budget policy and agency direction;
- Providing fiscal policy advice in revenue and expenditure forecasting, budget process management and intergovernmental relations; and
- Coordinating the development and execution of State agency programs and budgets.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	57,670,000	56,020,000	(1,650,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	57,670,000	56,020,000	(1,650,000)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Budget Division			
General Fund	314	309	(5)
Special Revenue Funds - Other	21	21	0
Total	335	330	(5)

BUDGET

STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	37,020,000	35,120,000	(1,900,000)
Special Revenue Funds - Other	19,350,000	19,350,000	0
Internal Service Funds	1,300,000	1,550,000	250,000
Total	<u>57,670,000</u>	<u>56,020,000</u>	<u>(1,650,000)</u>

STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Budget Division			
General Fund	28,520,000	28,120,000	(400,000)
Special Revenue Funds - Other	13,350,000	13,350,000	0
Internal Service Funds	1,300,000	1,550,000	250,000
Cash Management Improvement Act			
General Fund	8,500,000	7,000,000	(1,500,000)
Special Revenue Funds - Other	6,000,000	6,000,000	0
Total	<u>57,670,000</u>	<u>56,020,000</u>	<u>(1,650,000)</u>

CAPITAL DEFENDER OFFICE

MISSION

The Capital Defender Office, which has been in operation since 1995 is authorized to defend any indigent person charged with a capital crime. With the restoration of the death penalty, persons convicted of first-degree murder may be sentenced to death by lethal injection, life imprisonment without parole, or 20 to 25 years in prison. To be sentenced to death, a person must be found guilty of first-degree murder, which includes the killing of a police officer, killing for hire, or certain other heinous murders.

The Capital Defender Office ensures that offenders who face the death penalty receive the full legal protection to which they are entitled under law. The Office is required to provide legal, investigative and expert services to indigent defendants charged with crimes eligible for the death penalty. Since not all defendants in capital cases will be represented by the Office, the law also requires the Office to set minimum standards for lawyers appointed to defend such cases, provide training and assistance to these attorneys and provide judges with lists of qualified lawyers.

ORGANIZATION AND STAFFING

A three-member Board oversees the work of the Office. The Board members are appointed, one each by the Temporary President of the Senate, the Speaker of the Assembly and the Chief Judge of the Court of Appeals. The Office will have a staff of 59 located in a central office in New York City and regional offices in Albany and Rochester.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommendation provides a total of nearly \$12.7 million in State tax dollars to fund the actual cost of providing death penalty defense in 2004-05. These funds will support the Agency's staff attorneys, investigators and experts as well as legal aid societies and private attorneys appointed to represent indigent defendants in capital cases.

PROGRAM HIGHLIGHTS

Since 1995, there have been a total of 809 capital-eligible cases and 51 notices of intent to seek the death penalty filed by the State's district attorneys. Due in part to progress made in reducing violent crime, the number of capital-eligible cases has declined from 138 in 1996 to 61 in 2003. Similarly, the number of intent to seek death penalty notices filed has declined from 13 in 1996 to 6 in 2003.

ALL FUNDS APPROPRIATIONS (dollars)				
Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	13,095,000	12,650,000	(445,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	13,095,000	12,650,000	(445,000)	0

CAPITAL DEFENDER

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2003-04	2004-05	FTE Change
	Estimated FTEs 03/31/04	Estimated FTEs 03/31/05	
Capital Defense			
General Fund	61	59	(2)
Total	61	59	(2)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Capital Defense			
General Fund	13,095,000	12,650,000	(445,000)
Total	13,095,000	12,650,000	(445,000)

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Capital Defense	4,488,000	(391,000)	4,307,000	(391,000)
Total	4,488,000	(391,000)	4,307,000	(391,000)

Program	Temporary Service (Nonannual Salaried)	
	Amount	Change
Capital Defense	181,000	0
Total	181,000	0

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Capital Defense	8,162,000	(54,000)	182,000	0
Total	8,162,000	(54,000)	182,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Capital Defense	306,000	0	1,990,000	(54,000)
Total	306,000	0	1,990,000	(54,000)

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Capital Defense	155,000	0	5,529,000	0
Total	155,000	0	5,529,000	0

DEPARTMENT OF CIVIL SERVICE

MISSION

In accordance with the Civil Service Law, the Department of Civil Service is charged with providing human resource management services to State and local governments.

ORGANIZATION AND STAFFING

Under the direction of a Commissioner appointed by the Governor, the Department is based in Albany. The Civil Service Commission, consisting of the Commissioner, who serves as its President, and two Commissioners appointed by the Governor, is an appellate body responsible for reviewing determinations of the Department and the Director of Classification and Compensation. The Department will have a workforce of 575 positions for 2004-05.

The responsibilities of the Department are carried out through eight divisions:

- The Division of Information Resource Management provides the Department's basic data, information and systems and has primary responsibility for implementation of the Department's technology projects;
- The Staffing Services Division provides State agencies with personnel recruitment and placement services. The Division coordinates the Department's response to agency personnel operations and develops and administers a variety of tests for State positions, including oral, training and experience and performance assessment tests;
- The Testing Services Division develops, administers and validates State and local written tests;
- The Division of Classification and Compensation determines appropriate job titles for agency functions and salary levels for existing and new positions;
- The Division of Personnel Services encompasses the Employee Benefits Division and the Employee Health Service. The Employee Benefits Division administers health, dental, life, vision, disability and accident benefit programs for State employees and participating local governments. Responsibilities include contracting with insurance companies and other vendors to deliver services, financial management of these programs, communicating plan provisions to subscribers, assisting enrollees in resolving disputed claims, maintaining enrollment information for over 1.1 million covered individuals and financial accounting for approximately \$3 billion in annual premiums through the New York Benefits Eligibility and Accounting System;
- The Municipal Service Division assists 102 local civil service agencies in classifying positions, interpreting laws and rules and, together with the Testing Division, providing selection devices and examinations;
- The Diversity Planning and Management Division approves and monitors affirmative action plans for State agencies, provides technical assistance and training in the achievement of cultural diversity in the work force and is also responsible for administering the Workers With Disabilities Program; and,
- The Division of Administration provides leadership, management direction and support for the operating divisions of the Department, and is composed of units responsible for personnel, finance, legal, internal audit, planning and training functions.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Department is funded, in part, with tax dollars from the General Fund that will support 40 percent of the Agency's operations. The remaining 60 percent of its operations are funded with payments from other agencies and governmental entities, most of which are made by employers participating in the New York State Health Insurance Program that pay premiums

CIVIL SERVICE

to offset the Department's cost of administering the program. Similarly, the Department is reimbursed for testing and other services provided to State agencies whose operations are funded by special industry assessments. In addition, the Department is authorized to offset some operating costs through application fees for certain State and local examinations. State examination fees are imposed on most tests that are open to the general public, and in 2004-05 such State exam fees will increase by five dollars per applicant.

The Executive Budget recommends funding of \$57.8 million for the Department, which includes \$23.2 million in General Fund support and \$34.6 million in payments from other State agencies and public entities. The Department of Civil Service continues to expand its use of technology to provide improved services to State and local agencies and other customers. In 2003-04, the Department completed the scheduled upgrading of the software used by its major electronic data processing systems.

PROGRAM HIGHLIGHTS

The Department of Civil Service continues to use technology to strengthen services and increase efficiency. In recent years, the Department has initiated commuter-based testing at multiple locations, developed a stand-alone automated examination for Information Technology titles for use by local governments, and provided employees with the capacity to apply for promotional examinations on-line, including the submission of qualifying training and experience. In 2002-03, the Department began a multi-year effort to develop an Integrated Testing System (ITS) to enhance the quality and timeliness of test scoring, list certifications and employee placements.

The Department's Employee Benefits Division achieved a reduction of \$152 million for Empire Plan subscribers through negotiated changes to the insurance companies requested initial 2004 premium.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	58,816,000	57,853,000	(963,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	58,816,000	57,853,000	(963,000)	0

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Program	Full-Time Equivalent Positions (FTE)		
	2003-04	2004-05	FTE Change
	Estimated FTEs 03/31/04	Estimated FTEs 03/31/05	
Administration and Information			
Management			
General Fund	95	94	(1)
Internal Service Funds	22	22	0
Local Civil Service			
General Fund	14	14	0
Labor Management Programs			
General Fund	17	17	0
Personnel Benefit Services			
General Fund	33	32	(1)
Internal Service Funds	158	158	0
Personnel Management Services			
General Fund	190	188	(2)
Internal Service Funds	50	50	0
Total	<u>579</u>	<u>575</u>	<u>(4)</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	21,728,000	23,212,000	1,484,000
Special Revenue Funds - Other	1,800,000	1,400,000	(400,000)
Internal Service Funds	35,288,000	33,241,000	(2,047,000)
Total	<u>58,816,000</u>	<u>57,853,000</u>	<u>(963,000)</u>

Adjustments:

Transfer(s) From	
Civil Service, Department of General Fund	(320,000)
Transfer(s) To	
Civil Service, Department of Internal Service Funds	320,000
Appropriated 2003-04	<u>58,816,000</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Administration and Information			
Management			
General Fund	6,287,000	7,802,000	1,515,000
Internal Service Funds	4,817,000	2,325,000	(2,492,000)
Local Civil Service			
General Fund	892,000	894,000	2,000
Personnel Benefit Services			
General Fund	1,885,000	1,816,000	(69,000)
Special Revenue Funds - Other	800,000	400,000	(400,000)
Internal Service Funds	22,806,000	23,535,000	729,000
Personnel Management Services			
General Fund	12,664,000	12,700,000	36,000
Special Revenue Funds - Other	1,000,000	1,000,000	0
Internal Service Funds	7,665,000	7,381,000	(284,000)
Total	<u>58,816,000</u>	<u>57,853,000</u>	<u>(963,000)</u>

CIVIL SERVICE

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration and Information				
Management	4,893,000	(256,000)	4,890,000	(256,000)
Local Civil Service	864,000	0	863,000	0
Personnel Benefit Services	1,725,000	(90,000)	1,695,000	(90,000)
Personnel Management Services	10,370,000	(481,000)	9,467,000	(481,000)
Total	17,852,000	(827,000)	16,915,000	(827,000)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration and Information				
Management	0	0	3,000	0
Local Civil Service	0	0	1,000	0
Personnel Benefit Services	28,000	0	2,000	0
Personnel Management Services	887,000	0	16,000	0
Total	915,000	0	22,000	0

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Information				
Management	2,909,000	1,771,000	45,000	0
Local Civil Service	30,000	2,000	6,000	0
Personnel Benefit Services	91,000	21,000	17,000	0
Personnel Management Services	2,330,000	517,000	108,000	0
Total	5,360,000	2,311,000	176,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Information				
Management	32,000	0	2,296,000	1,771,000
Local Civil Service	11,000	0	13,000	2,000
Personnel Benefit Services	10,000	0	49,000	21,000
Personnel Management Services	116,000	0	2,090,000	517,000
Total	169,000	0	4,448,000	2,311,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Information				
Management	80,000	0	456,000	0
Local Civil Service	0	0	0	0
Personnel Benefit Services	15,000	0	0	0
Personnel Management Services	16,000	0	0	0
Total	111,000	0	456,000	0

CIVIL SERVICE

STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Information				
Management	2,325,000	(2,492,000)	954,000	(359,000)
Personnel Benefit Services	23,935,000	329,000	7,624,000	(371,000)
Personnel Management Services	8,381,000	(284,000)	0	0
Total	<u>34,641,000</u>	<u>(2,447,000)</u>	<u>8,578,000</u>	<u>(730,000)</u>
Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Information				
Management	1,371,000	267,000	0	(2,400,000)
Personnel Benefit Services	6,856,000	688,000	9,455,000	12,000
Personnel Management Services	0	0	8,381,000	(284,000)
Total	<u>8,227,000</u>	<u>955,000</u>	<u>17,836,000</u>	<u>(2,672,000)</u>

CONSUMER PROTECTION BOARD

MISSION

The Consumer Protection Board was created to protect and advance the rights of New York State's consumers. The Agency handles consumer complaints and mediates consumer disputes; promotes consumer education and fraud prevention; and represents consumers in utility rate cases. The Agency also advises the Governor on consumer issues and recommends legislative initiatives on consumer related matters.

ORGANIZATION AND STAFFING

The Consumer Protection Board consists of three units: Consumer Assistance Unit, Office of Strategic Programs and the Law and Investigations Unit. The Agency is located in Albany, with satellite offices in Rochester, Long Island and New York City. For 2004-05 the Consumer Protection Board will have a workforce of 29.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Consumer Protection Board is financed primarily from non-taxpayer sources, including utilities operating within the State. The Executive Budget recommendation provides approximately \$3.4 million for the Consumer Protection Board for 2004-05 including \$368,000 in General Fund support.

PROGRAM HIGHLIGHTS

The Office of Strategic Programs represents consumers in utility cases and develops and delivers informational programs on consumer related issues. The Consumer Assistance Unit mediates disputes between consumers and businesses. The Office of Law and Investigations researches and investigates consumer issues including potential violations of New York State's Motor Fuel Marketing Practices Act and enforces the No Telemarketing Sales Call Law. In August 2003, the State's Do Not Call Registry was transferred to, and is maintained by, the Federal Trade Commission.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	4,998,000	3,408,000	(1,590,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	4,998,000	3,408,000	(1,590,000)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Consumer Protection			
General Fund	5	5	0
Special Revenue Funds - Other	24	24	0
Total	29	29	0

CONSUMER PROTECTION

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	403,000	368,000	(35,000)
Special Revenue Funds - Other	4,595,000	3,040,000	(1,555,000)
Total	<u>4,998,000</u>	<u>3,408,000</u>	<u>(1,590,000)</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Consumer Protection			
General Fund	403,000	368,000	(35,000)
Special Revenue Funds - Other	4,595,000	3,040,000	(1,555,000)
Total	<u>4,998,000</u>	<u>3,408,000</u>	<u>(1,590,000)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Consumer Protection	269,000	13,000	269,000	13,000
Total	<u>269,000</u>	<u>13,000</u>	<u>269,000</u>	<u>13,000</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Consumer Protection	99,000	(48,000)	9,000	(4,000)
Total	<u>99,000</u>	<u>(48,000)</u>	<u>9,000</u>	<u>(4,000)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Consumer Protection	16,000	(8,000)	69,000	(33,000)
Total	<u>16,000</u>	<u>(8,000)</u>	<u>69,000</u>	<u>(33,000)</u>

Program	Equipment	
	Amount	Change
Consumer Protection	5,000	(3,000)
Total	<u>5,000</u>	<u>(3,000)</u>

CONSUMER PROTECTION

STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Consumer Protection	3,040,000	(1,555,000)	1,489,000	(167,000)
Total	<u>3,040,000</u>	<u>(1,555,000)</u>	<u>1,489,000</u>	<u>(167,000)</u>

Program	Nonpersonal Service		Maintenance	Undistributed
	Amount	Change	Amount	Change
Consumer Protection	1,540,000	(1,388,000)	11,000	0
Total	<u>1,540,000</u>	<u>(1,388,000)</u>	<u>11,000</u>	<u>0</u>

STATE COMMISSION OF CORRECTION

MISSION

The State Commission of Correction regulates and oversees the operation and management of State and local correctional facilities. The Agency's role is to promote a safe, secure and stable correctional system and to provide for the accountability of corrections officials. As a result of legislation enacted in 1996, the Commission's role includes the oversight of secure youth facilities operated by the Office of Children and Family Services.

ORGANIZATION AND STAFFING

The Commission is made up of three members appointed by the Governor, one of whom is designated Chair. The other Commissioners respectively chair the Citizens Policy and Complaint Review Council, which reviews grievances and complaints against correctional facilities, and the Medical Review Board, which investigates inmate deaths and oversees inmate health care services.

Regional teams of review specialists are responsible for visiting and inspecting local and State correctional facilities. They investigate unusual events at facilities, provide technical assistance to improve facility management and monitor facilities for compliance with standards and regulations. The agency will operate in 2004-05 with a staff of 35.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Commission of Correction will be supported by approximately \$2.4 million in State tax dollars in 2004-05.

PROGRAM HIGHLIGHTS

The Commission monitors 70 State correctional facilities, 60 county jails, 16 New York City correctional facilities, 267 locally operated police department detention facilities throughout the State and 4 juvenile detention facilities operated by the Office of Children and Family Services. The agency also participates in the multi-agency Criminal Justice Systems Analysis Team (CJSAT) — operated in conjunction with the Division of Criminal Justice Services and the Division of Probation and Correctional Alternatives — to assist localities in analyzing operational issues in local correctional facilities.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations	Change	Reappropriations
	2003-04	Recommended 2004-05		Recommended 2004-05
State Operations	2,529,000	2,433,000	(96,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	2,529,000	2,433,000	(96,000)	0

CORRECTION

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Improvement of Correctional Facilities			
General Fund	32	32	0
Special Revenue Funds - Federal	3	3	0
Total	<u>35</u>	<u>35</u>	<u>0</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Improvement of Correctional Facilities			
General Fund	2,529,000	2,433,000	(96,000)
Total	<u>2,529,000</u>	<u>2,433,000</u>	<u>(96,000)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Improvement of Correctional Facilities	2,001,000	(98,000)	1,981,000	(98,000)
Total	<u>2,001,000</u>	<u>(98,000)</u>	<u>1,981,000</u>	<u>(98,000)</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Improvement of Correctional Facilities	20,000	0
Total	<u>20,000</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Improvement of Correctional Facilities	432,000	2,000	16,000	0
Total	<u>432,000</u>	<u>2,000</u>	<u>16,000</u>	<u>0</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Improvement of Correctional Facilities	153,000	0	255,000	2,000
Total	<u>153,000</u>	<u>0</u>	<u>255,000</u>	<u>2,000</u>

Program	Equipment	
	Amount	Change
Improvement of Correctional Facilities	8,000	0
Total	<u>8,000</u>	<u>0</u>

DEPARTMENT OF CORRECTIONAL SERVICES

MISSION

The Department of Correctional Services (DOCS) is responsible for the secure confinement of convicted felons and the preparation of these individuals for successful reintegration into the community upon release.

ORGANIZATION AND STAFFING

The Department, headed by a Commissioner, will have approximately 30,900 employees to operate 70 facilities. Each correctional facility is headed by a Superintendent and executive staff to oversee the daily operation of the nation's fourth largest state prison system.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The budget recommendations for the Department of Correctional Services continue to reflect a reduced demand for prison space. This trend is the result of several factors, including tougher criminal justice reforms that have been made since 1995 and have led to a decline in the statewide number of felony arrests and the success of initiatives (such as Shock Incarceration, the Willard Drug Treatment Campus and the Merit Time Program) designed to reduce the number of non-violent offenders in prison by providing rehabilitation opportunities.

It is important to note that the reduction in demand for prison space is for medium-security and minimum-security housing. Over the past nine years, penalties for violent crime have increased significantly in New York State. Jenna's Law, enacted in August 1998, together with the Truth-in-Sentencing legislation enacted in 1995, ensure that violent offenders will serve at least 85 percent of their sentence in prison.

The Department will continue to reconfigure prison capacity to reflect the changing inmate population. Funding of approximately \$2.3 billion is provided to support operational needs.

- State tax dollars finance 91 percent of the Department's State Operations Budget and 100 percent of the Department's Aid to Localities Budget to reimburse counties for the cost of housing inmates awaiting transfer to State prison;
- Federal funds support 2 percent of the Department's State Operations Budget, offsetting the cost of housing illegal alien felons, inmate education and substance abuse treatment;
- Correctional Facilities Capital Improvement Fund appropriations of \$205 million ensure that all housing, medical buildings and support space remain functional, safe and secure; and
- The DOCS Internal Service Fund appropriation of \$71.5 million is for operation of the Correctional Industries program (Corcraft). This inmate vocational program engages in the production of commodities, as well as prison maintenance and rehabilitation projects, giving prisoners an opportunity to learn employment skills to improve their chances of successful reintegration into the community.

PROGRAM HIGHLIGHTS

The Department operates correctional facilities that have a range of security levels. Inmates are provided with appropriate programming to afford offenders an opportunity for rehabilitation aimed at reducing recidivism.

CORRECTIONAL SERVICES

Additionally, the Department, in cooperation with the Division of Parole, operates the Willard Drug Treatment Campus in Seneca County. Through this program, courts have the option to remand low level, non-violent offenders to treatment — an option that is intended to stop the cycle of drug-related criminal activity at far less cost to the taxpayers than traditional incarceration.

ADMINISTRATION

Administrative staff formulate and oversee Agency policy and provide operational support to correctional facilities. The responsibilities of this program include the direction of inmate classification and movement, labor relations, personnel and financial transactions and the Department's legal affairs.

SUPPORT SERVICES

The Support Services Program provides all resources necessary for the operation of correctional facilities housing individuals remanded to State custody. This includes the employment of all facility managers and centrally assigned staff essential to operate and maintain the Agency's physical plant. Through this program, the Agency also provides inmate food and transportation services and enters into cooperative agreements with local governments for sewer/water systems. The Agency has been increasingly successful in implementing initiatives to improve operational efficiencies.

SUPERVISION OF INMATES

The Department employs approximately 20,900 correction officers to ensure a secure environment for employees and inmates within the correctional setting and to protect the safety of surrounding communities.

PROGRAM SERVICES

The Department operates a wide range of programs designed to prepare inmates for successful reintegration into the community. The majority of inmates entering State prison have educational deficiencies and histories of substance abuse. To counter this problem, the Agency's rehabilitation efforts focus on basic education and simple vocational skill achievement to ready inmates for employment upon release. The transitional services program will assist every inmate throughout all stages of their incarceration to fully participate in the wide variety of programs available in the Department's facilities.

The Agency's Comprehensive Alcohol and Substance Abuse Treatment program will continue to provide over 3,500 offenders each year with six months of residential treatment and follow-up care. Resources to coordinate the Agency's sex offender treatment programs, aggression management programs and transitional services will enable the Department to better treat and stabilize the prison population.

HEALTH SERVICES

Offenders entering prison tend to have significant health care needs because of high rates of disease related to AIDS, tuberculosis, hepatitis and other infectious conditions. The Executive Budget ensures that the Department has the resources to meet the full need for AIDS and hepatitis screening and intervention. Notably, the number of inmate deaths attributed annually to HIV-related disease has been reduced by more than 90 percent since 1995. Health care for the inmate population has become more effective as a result of Department operated regional medical units on the grounds of Mohawk, Coxsackie, Wende, Bedford Hills and Fishkill correctional facilities.

CORRECTIONAL SERVICES

Inmate mental health services are provided through a joint effort with the Office of Mental Health (OMH) at units located in correctional and mental health facilities. In 2003-04, OMH and DOCS undertook a comprehensive review of the current treatment and program infrastructure for prisoners with serious mental illness. To strengthen an already extensive system of quality care, both agencies are implementing a number of new and expanded treatment services.

CAPITAL PROJECTS

The Department of Correctional Services operates an institutional network of 70 correctional facilities, a number of which were converted during prison expansion in the 1980's from very old facilities initially built for other uses. With the completion of Five Points Correctional Facility signaling the end of the most recent capacity expansion effort, the Department is now focusing its capital resources on critical physical plant maintenance and rehabilitation projects.

DEPARTMENT OF CORRECTIONAL SERVICES MALE FACILITIES

Maximum Security

Attica Correctional Facility	(Wyoming County)
Auburn Correctional Facility	(Cayuga County)
Clinton Correctional Facility	(Clinton County)
Coxsackie Correctional Facility	(Greene County)
Downstate Correctional Facility	(Dutchess County)
Eastern Correctional Facility	(Ulster County)
Elmira Correctional Facility	(Chemung County)
Five Points Correctional Facility	(Seneca County)
Great Meadow Correctional Facility	(Washington County)
Green Haven Correctional Facility	(Dutchess County)
Shawangunk Correctional Facility	(Ulster County)
Sing Sing Correctional Facility	(Westchester County)
Southport Correctional Facility	(Chemung County)
Sullivan Correctional Facility	(Sullivan County)
Upstate Correctional Facility	(Franklin County)
Wende Correctional Facility	(Erie County)

Medium Security

Adirondack Correctional Facility	(Essex County)
Altona Correctional Facility	(Clinton County)
Arthurkill Correctional Facility and CASAT**	(Staten Island)
Bare Hill Correctional Facility	(Franklin County)
Butler CASAT	(Wayne County)
Cape Vincent Correctional Facility and CASAT	(Jefferson County)
Cayuga Correctional Facility	(Cayuga County)
Chateaugay CASAT	(Franklin County)
Collins Correctional Facility	(Erie County)
Fishkill Correctional Facility	(Dutchess County)
Franklin Correctional Facility	(Franklin County)
Gouverneur Correctional Facility	(St. Lawrence County)
Gowanda Correctional Facility	(Erie County)
Greene Correctional Facility	(Greene County)
Groveland Correctional Facility	(Livingston County)
Hale Creek CASAT	(Fulton County)
Hudson Correctional Facility	(Columbia County)
Livingston Correctional Facility	(Livingston County)
Marcy Correctional Facility and CASAT Annex	(Oneida County)
Mid-Orange Correctional Facility	(Orange County)
Mid-State Correctional Facility	(Oneida County)
Mohawk Correctional Facility	(Oneida County)
Mt. McGregor Correctional Facility	(Saratoga County)
Ogdensburg Correctional Facility	(St. Lawrence County)
Oneida Correctional Facility	(Oneida County)
Orleans Correctional Facility	(Orleans County)
Otisville Correctional Facility	(Orange County)
Riverview Correctional Facility	(St. Lawrence County)
Ulster Correctional Facility	(Ulster County)

CORRECTIONAL SERVICES

Wallkill Correctional Facility	(Ulster County)
Washington Correctional Facility	(Washington County)
Watertown Correctional Facility	(Jefferson County)
Woodbourne Correctional Facility	(Sullivan County)
Wyoming Correctional Facility	(Wyoming County)

Minimum Security

Butler Correctional Facility	(Wayne County)
Lyon Mountain Correctional Facility	(Clinton County)

Minimum Work Release

Buffalo Correctional Facility	(Erie County)
Edgecombe Correctional Facility	(Manhattan)
Fishkill Correctional Facility*	(Dutchess County)
Fulton Correctional Facility	(Bronx)
Hudson Correctional Facility*	(Columbia County)
Lincoln Correctional Facility	(Manhattan)
Queensboro Correctional Facility	(Queens)
Rochester Correctional Facility	(Monroe County)

Camps

Camp Gabriels	(Franklin County)
Camp Georgetown	(Madison County)
Camp Mt. McGregor*	(Saratoga County)
Camp Pharsalia	(Chenango County)
Camp Fallsburg*	(Sullivan County)

Shock Incarceration

Lakeview Shock Incarceration Facility	(Chautauqua County)
Monterey Shock Incarceration Facility	(Schuyler County)
Moriah Shock Incarceration Facility	(Essex County)
Summit Shock Incarceration Facility	(Schoharie County)

Drug Treatment Campus

Willard Drug Treatment Campus	(Seneca County)
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DEPARTMENT OF CORRECTIONAL SERVICES FEMALE FACILITIES

Maximum Security

Bedford Hills Correctional Facility	(Westchester County)
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Medium Security

Albion Correctional Facility	(Orleans County)
Bayview Correctional Facility	(Manhattan)
Taconic Correctional Facility and CASAT	(Westchester County)

Minimum Security

Beacon Correctional Facility	(Dutchess County)
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Minimum Work Release

Albion Correctional Facility*	(Orleans County)
Bayview Correctional Facility*	(Manhattan)

Shock Incarceration

Lakeview Shock Incarceration Facility	(Chautauqua County)
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Drug Treatment Campus

Willard Drug Treatment Campus	(Seneca County)
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* Indicates programs are operating as part of a larger correctional facility listed under the same name.

** CASAT is an acronym for Comprehensive Alcohol and Substance Abuse Treatment.

CORRECTIONAL SERVICES

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	2,050,095,000	2,064,859,000	14,764,000	21,015,000
Aid To Localities	11,400,000	11,400,000	0	22,800,000
Capital Projects	205,000,000	205,000,000	0	756,491,000
Total	<u>2,266,495,000</u>	<u>2,281,259,000</u>	<u>14,764,000</u>	<u>800,306,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Administration			
General Fund	235	235	0
Special Revenue Funds - Federal	725	725	0
Enterprise Funds	4	11	7
Correctional Industries			
Internal Service Funds	517	505	(12)
Facilities Planning and Development			
Capital Projects Funds - Other	32	32	0
Health Services			
General Fund	1,832	1,827	(5)
Enterprise Funds	26	26	0
Program Services			
General Fund	3,195	3,183	(12)
Enterprise Funds	42	42	0
Supervision of Inmates			
General Fund	21,020	20,864	(156)
Support Services			
General Fund	3,546	3,511	(35)
Total	<u>31,174</u>	<u>30,961</u>	<u>(213)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	1,875,094,000	1,875,292,000	198,000
Special Revenue Funds - Federal	31,342,000	36,610,000	5,268,000
Special Revenue Funds - Other	268,000	16,000,000	15,732,000
Enterprise Funds	65,758,000	65,426,000	(332,000)
Internal Service Funds	77,633,000	71,531,000	(6,102,000)
Total	<u>2,050,095,000</u>	<u>2,064,859,000</u>	<u>14,764,000</u>

Adjustments:

Recommended Deficiency

Correctional Services, Department of

General Fund

(54,500,000)

Appropriated 2003-04

1,995,595,000

CORRECTIONAL SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
General Fund	23,044,000	22,058,000	(986,000)
Special Revenue Funds - Federal	31,342,000	36,610,000	5,268,000
Special Revenue Funds - Other	250,000	15,400,000	15,150,000
Enterprise Funds	1,818,000	2,082,000	264,000
Correctional Industries			
Internal Service Funds	77,633,000	71,531,000	(6,102,000)
Health Services			
General Fund	220,982,000	226,543,000	5,561,000
Enterprise Funds	17,591,000	16,755,000	(836,000)
Program Services			
General Fund	185,346,000	188,982,000	3,636,000
Special Revenue Funds - Other	18,000	100,000	82,000
Enterprise Funds	45,749,000	45,764,000	15,000
Supervision of Inmates			
General Fund	1,093,758,000	1,085,538,000	(8,220,000)
Support Services			
General Fund	351,964,000	352,171,000	207,000
Special Revenue Funds - Other	0	500,000	500,000
Enterprise Funds	600,000	825,000	225,000
Total	<u>2,050,095,000</u>	<u>2,064,859,000</u>	<u>14,764,000</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	13,984,000	(986,000)	13,922,000	(979,000)
Health Services	102,510,000	1,004,000	92,445,000	(1,163,000)
Program Services	152,687,000	3,778,000	141,801,000	1,641,000
Supervision of Inmates	1,068,982,000	(8,658,000)	1,028,233,000	(10,961,000)
Support Services	146,853,000	(836,000)	138,689,000	(2,598,000)
Total	<u>1,485,016,000</u>	<u>(5,698,000)</u>	<u>1,415,090,000</u>	<u>(14,060,000)</u>
Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	0	(9,000)	62,000	2,000
Health Services	4,332,000	478,000	5,733,000	1,689,000
Program Services	7,909,000	170,000	2,977,000	1,967,000
Supervision of Inmates	5,312,000	2,039,000	35,437,000	264,000
Support Services	325,000	(5,000)	7,839,000	1,767,000
Total	<u>17,878,000</u>	<u>2,673,000</u>	<u>52,048,000</u>	<u>5,689,000</u>

CORRECTIONAL SERVICES

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	8,074,000	0	402,000	0
Health Services	124,033,000	4,557,000	51,639,000	2,129,000
Program Services	36,295,000	(142,000)	8,849,000	(36,500)
Supervision of Inmates	16,556,000	438,000	8,545,000	462,000
Support Services	205,318,000	1,043,000	103,153,000	(9,998,000)
Total	390,276,000	5,896,000	172,588,000	(7,443,500)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	318,000	0	6,511,000	0
Health Services	121,000	(9,000)	71,913,000	2,437,000
Program Services	375,000	99,500	26,541,000	(668,500)
Supervision of Inmates	2,084,000	(50,000)	5,503,000	26,000
Support Services	328,000	(75,000)	90,812,000	7,060,000
Total	3,226,000	(34,500)	201,280,000	8,854,500

Program	Equipment	
	Amount	Change
Administration	843,000	0
Health Services	360,000	0
Program Services	530,000	463,500
Supervision of Inmates	424,000	0
Support Services	11,025,000	4,056,000
Total	13,182,000	4,519,500

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	54,092,000	20,682,000	31,327,000	4,922,000
Correctional Industries	71,531,000	(6,102,000)	23,385,000	(465,000)
Health Services	16,755,000	(836,000)	1,865,000	14,000
Program Services	45,864,000	97,000	3,887,000	15,000
Support Services	1,325,000	725,000	0	0
Total	189,567,000	14,566,000	60,464,000	4,486,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	1,655,000	150,000	21,110,000	15,610,000
Correctional Industries	48,146,000	(5,637,000)	0	0
Health Services	14,890,000	(850,000)	0	0
Program Services	41,977,000	82,000	0	0
Support Services	1,325,000	725,000	0	0
Total	107,993,000	(5,530,000)	21,110,000	15,610,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	11,400,000	11,400,000	0
Total	11,400,000	11,400,000	0

CORRECTIONAL SERVICES

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Support Services			
General Fund	11,400,000	11,400,000	0
Total	<u>11,400,000</u>	<u>11,400,000</u>	<u>0</u>

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2003-04	Recommended 2004-05	Change	Reappropriations 2004-05
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	0	0	0	42,000
Correctional Facilities Capital Improvement Fund	205,000,000	205,000,000	0	512,285,000
UDC Financed and Other New Facility Capacity Expansion				
Correctional Facilities Capital Improvement Fund	0	0	0	244,164,000
Total	<u>205,000,000</u>	<u>205,000,000</u>	<u>0</u>	<u>756,491,000</u>

DIVISION OF CRIMINAL JUSTICE SERVICES

MISSION

The Division of Criminal Justice Services (DCJS) maintains criminal history and statistical data for Federal, State and local law enforcement agencies, identifies criminals through fingerprints, provides training and management services to local police departments, conducts criminal justice research and analysis, and administers and distributes State and Federal funding to various entities within the criminal justice system.

ORGANIZATION AND STAFFING

The Division, located in Albany, is headed by a Commissioner who is appointed by the Governor. The Commissioner also serves as the Governor's Director of Criminal Justice, overseeing policy development and operations for all State criminal justice agencies and programs.

A workforce of 820 positions is recommended for 2004-05. Approximately 80 percent of these positions will be supported by State tax dollars and Special Revenue Funds, with the remainder financed by Federal grants.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 2004-05, approximately \$44 million in State tax dollars, and \$257 million in Federal funds will support the Division's State Operations budget. The Division's Aid to Localities program will distribute nearly \$114 million in State tax dollars, and \$97 million in Federal funds to support various local criminal justice initiatives.

To assist the Division in processing fingerprints, funding is continued for the Statewide Automated Fingerprint System, which expedites digital access to information for local police departments, and ensures that State data is in compliance with Federal Bureau of Investigation standards, thereby linking New York to national databases and those of other states across the country.

This recommendation also continues funding to support New York's DNA Databank, which helps solve crimes and exonerate innocent people. As crime scene data is analyzed and compared to the DNA of known criminals, science assists law enforcement, prosecutors and the judicial system in identifying, prosecuting and convicting our most dangerous criminal offenders.

The Budget also recommends a merger of the Crime Victims Board into DCJS, creating an Office for Crime Victim Services that will improve services through greater use of advanced technology. In addition, Aid to Localities funding for Probation Aid, the Intensive Supervision Program, the Juvenile Intensive Supervision Program and a number of alternative to incarceration programs are being transferred from the Division of Probation and Correctional Alternatives to DCJS, which will provide better service to counties and grant recipients through the centralization of the grant administration process. The budget also recommends DCJS as a host agency arrangement for routine administrative functions of the Capital Defender's Office, similar to the previous successful initiative with the Division of Probation and Correctional Alternatives and the State Commission of Correction.

CRIMINAL JUSTICE SERVICES

PROGRAM HIGHLIGHTS

Recent events have created an obvious urgency to raise preparedness levels for potential terrorist incidents. Federal funds are being used to provide cash grants, needed equipment, and training for local government response personnel. Additional Federal funding is anticipated and will be used to further implement the State's plan.

IDENTIFICATION SERVICES

The Division identifies individuals through fingerprint comparison and provides criminal history records to authorized representatives of Federal, State and local criminal justice agencies and processes approximately 99 percent of New York City criminal fingerprints in under two hours. Modern technology provides speed and accuracy in fingerprint identification and allows police to solve crimes more quickly. The processing of criminal cases is tracked by computer beginning with the arrest and ending with the decision by a judge and/or jury. The Division also processes non-criminal fingerprint and name searches for certain employment, license and permit applications.

CRIMINAL JUSTICE SUPPORT

The Division provides technical support, training and funding to localities to support criminal justice functions such as law enforcement, prosecution, defense and crime laboratories. The Agency also provides financial aid to district attorneys prosecuting death penalty cases, maintains a DNA Identification Index and conducts extensive criminal justice statistical research and policy analysis, including the production of New York's Uniform Crime Reports.

In addition, the Agency acts as the State Planning Agency for the receipt and processing of Federal Juvenile Justice and Delinquency Prevention funding. These funds support programs addressing youth who are at risk of criminal and/or delinquent behavior. The Division also administers other Federal programs including Anti-Drug Abuse funds which support a statewide anti-drug strategy of prosecution and preventive enforcement efforts, Violence Against Women funds which focus on prosecution, law enforcement and victim services related to domestic violence and Law Enforcement funds which provide assistance to local police departments.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	289,719,000	312,268,000	22,549,000	341,561,500
Aid To Localities	243,554,000	270,217,000	26,663,000	269,799,800
Capital Projects	0	0	0	0
Total	<u>533,273,000</u>	<u>582,485,000</u>	<u>49,212,000</u>	<u>611,361,300</u>

CRIMINAL JUSTICE SERVICES

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Administration			
General Fund	73	73	0
Funding and Program Assistance			
Special Revenue Funds - Federal	146	146	0
Special Revenue Funds - Other	4	4	0
Justice Systems			
General Fund	42	42	0
Operation and Systems			
General Fund	397	397	0
Public Safety			
General Fund	60	60	0
Special Revenue Funds - Other	3	3	0
Victim Services Program			
General Fund	0	56	56
Special Revenue Funds - Federal	0	28	28
Special Revenue Funds - Other	0	11	11
Total	725	820	95

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	43,462,000	43,861,000	399,000
Special Revenue Funds - Federal	236,250,000	256,900,000	20,650,000
Special Revenue Funds - Other	10,007,000	11,507,000	1,500,000
Total	289,719,000	312,268,000	22,549,000

Adjustments:	
Recommended Deficiency	
Criminal Justice Services, Division of	
Special Revenue Funds - Federal	(104,000,000)
Transfer(s) From	
Crime Victims Board	
General Fund	(3,425,000)
Special Revenue Funds - Federal	(1,857,000)
Special Revenue Funds - Other	(857,000)
Parole, Division of	
Special Revenue Funds - Federal	(960,000)
State Police, Division of	
Special Revenue Funds - Federal	(6,239,000)
Appropriated 2003-04	172,381,000

CRIMINAL JUSTICE SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
General Fund	12,487,000	13,195,000	708,000
Funding and Program Assistance			
Special Revenue Funds - Federal	226,893,000	247,543,000	20,650,000
Special Revenue Funds - Other	500,000	500,000	0
Justice Systems			
General Fund	2,279,000	2,340,000	61,000
Operation and Systems			
General Fund	22,049,000	22,017,000	(32,000)
Special Revenue Funds - Federal	7,500,000	7,500,000	0
Special Revenue Funds - Other	7,700,000	9,200,000	1,500,000
Public Safety			
General Fund	3,222,000	3,385,000	163,000
Special Revenue Funds - Other	950,000	950,000	0
Victim Services Program			
General Fund	3,425,000	2,924,000	(501,000)
Special Revenue Funds - Federal	1,857,000	1,857,000	0
Special Revenue Funds - Other	857,000	857,000	0
Total	289,719,000	312,268,000	22,549,000

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	4,277,000	517,000	4,238,000	517,000
Justice Systems	2,191,000	61,000	2,182,000	61,000
Operation and Systems	15,926,000	(32,000)	15,844,000	(32,000)
Public Safety	3,007,000	163,000	2,963,000	163,000
Victim Services Program	2,712,000	(489,000)	2,712,000	(489,000)
Total	28,113,000	220,000	27,939,000	220,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	5,000	0	34,000	0
Justice Systems	0	0	9,000	0
Operation and Systems	0	0	82,000	0
Public Safety	0	0	44,000	0
Victim Services Program	0	0	0	0
Total	5,000	0	169,000	0

CRIMINAL JUSTICE SERVICES

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	8,918,000	191,000	349,000	0
Justice Systems	149,000	0	20,000	0
Operation and Systems	6,091,000	0	66,000	0
Public Safety	378,000	0	87,000	0
Victim Services Program	212,000	(12,000)	20,000	0
Total	15,748,000	179,000	542,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	271,000	0	4,903,000	126,000
Justice Systems	23,000	0	97,000	0
Operation and Systems	25,000	0	5,950,000	0
Public Safety	215,000	0	12,000	0
Victim Services Program	15,000	(8,000)	172,000	(4,000)
Total	549,000	(8,000)	11,134,000	122,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	1,159,000	0	2,236,000	65,000
Justice Systems	9,000	0	0	0
Operation and Systems	50,000	0	0	0
Public Safety	64,000	0	0	0
Victim Services Program	5,000	0	0	0
Total	1,287,000	0	2,236,000	65,000

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Funding and Program Assistance	248,043,000	20,650,000	4,967,000	0
Operation and Systems	16,700,000	1,500,000	0	0
Public Safety	950,000	0	0	0
Victim Services Program	2,714,000	0	1,232,000	0
Total	268,407,000	22,150,000	6,199,000	0

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Funding and Program Assistance	1,772,000	0	241,304,000	20,650,000
Operation and Systems	0	0	16,700,000	1,500,000
Public Safety	0	0	950,000	0
Victim Services Program	1,482,000	0	0	0
Total	3,254,000	0	258,954,000	22,150,000

CRIMINAL JUSTICE SERVICES

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2003-04</u>	<u>Recommended 2004-05</u>	<u>Change</u>
General Fund	122,189,000	113,705,000	(8,484,000)
Special Revenue Funds - Federal	83,674,000	96,821,000	13,147,000
Special Revenue Funds - Other	37,691,000	59,691,000	22,000,000
Total	243,554,000	270,217,000	26,663,000

Adjustments:

Transfer(s) From

Crime Victims Board			
Special Revenue Funds - Federal	(36,523,000)		
Special Revenue Funds - Other	(26,591,000)		
Probation and Correctional Alternatives, Division of			
General Fund	(72,383,000)		
Appropriated 2003-04	108,057,000		

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2003-04</u>	<u>Recommended 2004-05</u>	<u>Change</u>
Funding and Program Assistance			
General Fund	122,189,000	113,705,000	(8,484,000)
Special Revenue Funds - Federal	47,151,000	60,298,000	13,147,000
Special Revenue Funds - Other	11,100,000	33,100,000	22,000,000
Victim Services Program			
Special Revenue Funds - Federal	36,523,000	36,523,000	0
Special Revenue Funds - Other	26,591,000	26,591,000	0
Total	243,554,000	270,217,000	26,663,000

STATE BOARD OF ELECTIONS

MISSION

The New York State Board of Elections executes and enforces all laws relating to the elective franchise and oversees the disclosure of campaign financing and practices.

ORGANIZATION AND STAFFING

The State Board of Elections, comprised of four commissioners, two chosen by each major political party, administers provisions of the Election Law regarding campaign financial disclosure, including civil judgments levied for failure to file disclosure documents; oversees the petitioning process and certification of ballots; investigates allegations of criminal violations of the Election Law and recommends prosecution where warranted; and certifies electronic voting machines purchased by local Boards of Elections. With a staff of 45, the Board also assists County Boards of Elections by completing administrative reviews, assisting in resolving complaints and producing reports and recommendations.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends nearly \$3.7 million in General Fund support for the Board of Elections. This funding will permit the Board to continue to carry out its various responsibilities related to the electoral process, including voter outreach activities and interaction with local Boards of Elections. In addition, \$180 million in Federal funding is recommended for implementation of the Help America Vote Act.

PROGRAM HIGHLIGHTS

Over the past 29 years, the scope of the Board's services has grown to include providing legal counsel to 62 County Boards of Election, administering registration efforts, providing technical assistance to administrators of elections, investigating violations of the Election Law and coordinating the State's responses to new Federal election requirements.

The Federal Help America Vote Act of 2002 will provide Federal funding for State and local election related initiatives including modernizing voting machines, developing a statewide voter registration database, training poll workers, providing voter education and assuring accessibility for the disabled.

A priority for the Board is expanding the use of modern technology in both its own operations and those of local Boards. In 1999, the agency implemented a system for the electronic filing of campaign financial disclosure statements, which are now readily accessible at the Board's internet web site. The web site has received over 5.2 million inquiries since January 2003.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	3,455,000	23,770,000	20,315,000	0
Aid To Localities	0	160,000,000	160,000,000	0
Capital Projects	0	0	0	0
Total	3,455,000	183,770,000	180,315,000	0

ELECTIONS

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Regulation of Elections			
General Fund	40	39	(1)
Special Revenue Funds - Federal	0	6	6
Total	<u>40</u>	<u>45</u>	<u>5</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	3,435,000	3,650,000	215,000
Special Revenue Funds - Federal	0	20,000,000	20,000,000
Special Revenue Funds - Other	20,000	120,000	100,000
Total	<u>3,455,000</u>	<u>23,770,000</u>	<u>20,315,000</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Regulation of Elections			
General Fund	3,435,000	3,650,000	215,000
Special Revenue Funds - Federal	0	20,000,000	20,000,000
Special Revenue Funds - Other	20,000	120,000	100,000
Total	<u>3,455,000</u>	<u>23,770,000</u>	<u>20,315,000</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Regulation of Elections	2,256,000	(94,000)	2,256,000	(94,000)
Total	<u>2,256,000</u>	<u>(94,000)</u>	<u>2,256,000</u>	<u>(94,000)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Contractual Services	
	Amount	Change	Amount	Change
Regulation of Elections	1,394,000	309,000	1,394,000	309,000
Total	<u>1,394,000</u>	<u>309,000</u>	<u>1,394,000</u>	<u>309,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Regulation of Elections	20,120,000	20,100,000	20,120,000	20,100,000
Total	20,120,000	20,100,000	20,120,000	20,100,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2003-04	Recommended 2004-05	Change
Special Revenue Funds - Federal	0	160,000,000	160,000,000
Total	0	160,000,000	160,000,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Regulation of Elections			
Special Revenue Funds - Federal	0	160,000,000	160,000,000
Total	0	160,000,000	160,000,000

OFFICE OF EMPLOYEE RELATIONS

MISSION

In accordance with the Public Employees' Fair Employment Act (the Taylor Law), the Office of Employee Relations (OER) represents the Governor in collective bargaining with the public employee unions and directs the State's employee relations policies so that agencies and employees provide high quality, uninterrupted State government services.

ORGANIZATION AND STAFFING

Under the administration of a Director appointed by the Governor, the Agency is located in Albany. OER will have a workforce of 62 positions for 2004-05, financed by the General Fund and responsible for negotiating and implementing collective bargaining agreements.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

A total of nearly \$5.7 million is recommended for the Office of Employee Relations, including \$3.3 million in General Fund moneys. This funding will provide continued support for the Office's primary mission of negotiating and administering collective bargaining agreements.

Funding from the Office's other sources includes:

- \$1.9 million in charges to the Collective Bargaining Agreements to support statewide employee training and developmental programs and the cost of administering these agreements; and
- Revenues of \$479,000 received from non-General Fund agencies to reimburse the Office for providing training programs and collective bargaining services. These revenues also include payments from the National Association of State Directors of Employee Relations to support the operations of that organization.

PROGRAM HIGHLIGHTS

The Office of Employee Relations represents the Governor in Executive Branch collective bargaining negotiations with nine public employee unions, assists State agencies in interpreting and administering the negotiated agreements and represents the State in hearings and arbitrations before the Public Employment Relations Board.

The Office of Employee Relations also is the Executive Branch's in-house consulting agency for advancing sound labor management practices and improving productivity and innovation in State government's workforce. The Office is further charged with designing and administering statewide training programs, policy development and oversight of several employee benefit programs.

In its capacity as the Governor's labor relations agent, the Office continues to be instrumental in providing direction for workforce management and, through labor management partnerships, working with the unions to mitigate the impact of structural changes aimed at improving the efficiency of State government.

The Office also promotes labor-relations excellence by offering a comprehensive training program through the Employee Relations Institute and by increasing the use of technology for better communication of common issues and concerns. A major focus during 2004-05 will be negotiations for successor collective bargaining agreements and their subsequent administration.

EMPLOYEE RELATIONS

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	5,628,000	5,680,000	52,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	5,628,000	5,680,000	52,000	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Contract Negotiation and Administration			
General Fund	34	34	0
Internal Service Funds	27	27	0
Management Confidential Affairs			
General Fund	1	1	0
Total	62	62	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	3,290,000	3,321,000	31,000
Special Revenue Funds - Other	479,000	479,000	0
Internal Service Funds	1,859,000	1,880,000	21,000
Total	5,628,000	5,680,000	52,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Contract Negotiation and Administration			
General Fund	2,868,000	2,896,000	28,000
Special Revenue Funds - Other	479,000	479,000	0
Internal Service Funds	1,859,000	1,880,000	21,000
Management Confidential Affairs			
General Fund	422,000	425,000	3,000
Total	5,628,000	5,680,000	52,000

EMPLOYEE RELATIONS

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	2,636,000	20,000	2,626,000	20,000
Management Confidential Affairs	265,000	2,000	264,000	2,000
Total	2,901,000	22,000	2,890,000	22,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	10,000	2,000	0	(2,000)
Management Confidential Affairs	0	0	1,000	0
Total	10,000	2,000	1,000	(2,000)

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	260,000	8,000	35,000	1,000
Management Confidential Affairs	160,000	1,000	3,000	0
Total	420,000	9,000	38,000	1,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	31,000	1,000	194,000	6,000
Management Confidential Affairs	4,000	0	18,000	1,000
Total	35,000	1,000	212,000	7,000

Program	Maintenance Undistributed	
	Amount	Change
Contract Negotiation and Administration	0	0
Management Confidential Affairs	135,000	0
Total	135,000	0

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	2,359,000	21,000	1,542,000	11,000
Total	2,359,000	21,000	1,542,000	11,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Contract Negotiation and Administration	338,000	10,000	479,000	0
Total	338,000	10,000	479,000	0

EXECUTIVE CHAMBER

MISSION

The Executive Chamber is the Office of the Governor and includes the immediate staff that assists in managing State government.

ORGANIZATION AND STAFFING

The Office of the Governor is located in the State Capitol in Albany. This budget represents programs directly related to the Governor's Office and is supported by General Fund revenues.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2004-05 Executive Budget recommends a General Fund appropriation of \$13.4 million, a five percent decrease from 2003-04. This is on top of last year's 22.5 percent General Fund decrease from prior-year levels. The recommended appropriation reflects improved efficiency and effectiveness of Chamber operations, including the reduction of 7 positions by the end of the year. A fiduciary fund appropriation of \$100,000 is also requested to permit the use of private grants within the Office of Community Affairs.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	14,171,000	13,466,000	(705,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	14,171,000	13,466,000	(705,000)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Executive Chamber			
General Fund	160	153	(7)
Total	160	153	(7)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	14,071,000	13,366,000	(705,000)
Special Revenue Funds - Other	100,000	100,000	0
Total	14,171,000	13,466,000	(705,000)

EXECUTIVE CHAMBER

OFFICE OF THE LIEUTENANT GOVERNOR

**ALL FUNDS
APPROPRIATIONS
(dollars)**

Category	Available	Appropriations Recommended	Change	Reappropriations Recommended
	2003-04	2004-05		2004-05
State Operations	490,000	465,500	(24,500)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	490,000	465,500	(24,500)	0

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2003-04	2004-05	FTE Change
	Estimated FTEs 03/31/04	Estimated FTEs 03/31/05	
Administration			
General Fund	6	5	(1)
Total	6	5	(1)

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available	Recommended	Change
	2003-04	2004-05	
General Fund	490,000	465,500	(24,500)
Total	490,000	465,500	(24,500)

OFFICE OF GENERAL SERVICES

MISSION

The Office of General Services (OGS) was established to consolidate into a single agency the cost-efficient support services required to operate a changing and increasingly complex State government. These include building design and construction, leasing, facility maintenance, purchasing of goods and services, and other basic support services, including mail, parking, printing and surplus property.

In recent years, these activities have continued and evolved to meet State government's changing needs. Many additional responsibilities have been added to make the Office the centralized service provider agency for the State. Among these more recent responsibilities are the purchasing of new technologies, participating in the statewide financing of various types of equipment, and overseeing the distribution of surplus Federal food to public and private organizations.

The Office supports the operations of State agencies by providing centralized information processing; space planning and leasing; real property management and maintenance; centralized contracting for commodities, printing and equipment maintenance services; employee and visitor parking management; and interagency mail and courier assistance. The Office also helps local governments reduce their operating costs by distributing federally donated foods and surplus equipment to them, and by offering them the use of its centralized commodity and service contracts.

ORGANIZATION AND STAFFING

The Office is headed by a Commissioner, who is appointed by the Governor, with a central executive staff and is structured around customer-focused business enterprises: Real Property Management and Development; Procurement Services; Design and Construction; and Support Services. The Office will have a workforce of 1,634 positions for 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends \$352.9 million for the Office, which includes support of \$121.7 million in State tax dollars from the General Fund. This recommendation also includes \$178.7 million in user fees charged to State agencies and other governmental entities that utilize OGS central and other support services, and \$7.6 million in Federal funding. Most of this funding supports the operation of State office buildings, rehabilitation and other projects aimed at preserving or extending the useful life of office buildings, and the provision of centralized services to State agencies.

Recommended funding of \$43.5 million for the Capital Projects Budget allows the Office to maintain its ongoing upkeep and preservation efforts at office buildings throughout the State.

PROGRAM HIGHLIGHTS

EXECUTIVE DIRECTION

This program provides the day-to-day management of the agency, helps State agencies to acquire vehicle insurance, administers financing for the State equipment purchasing program and coordinates the centralized purchase of electricity from the Power Authority of the State of New York.

GENERAL SERVICES

REAL PROPERTY MANAGEMENT AND DEVELOPMENT

This program is responsible for providing for the safe and efficient operation of approximately 44 major and 84 ancillary State-owned and operated buildings. Services provided by this program include building management, operation, maintenance, cleaning and security for facilities encompassing 17.1 million interior gross square feet with a replacement value estimated at \$4.5 billion. Employees assigned to this program also manage building renovation projects, administer service contracts for the maintenance and operation of certain heating, ventilation and air conditioning systems, and negotiate agreements as needed for leased space.

The Office is also making a major capital investment in office facilities in downtown Albany. This program, known as the "Albany Plan", includes the soon to be completed renovation of the Department of Transportation Building, a new parking garage in downtown Albany and the modernization of the Alfred E. Smith State Office Building. These projects, combined with other reconfigurations of office space will facilitate the redevelopment of the Harriman State Office Campus.

PROCUREMENT SERVICES

The Procurement Services Group manages the statewide procurement of commodities and services, which have an annual value exceeding \$2 billion. This group establishes standards for each purchase item by developing detailed specifications, evaluating bids, and monitoring vendor performance and quality control. Eligible local governments, schools and not-for-profit organizations may use these centralized contracts, which generally offer the best prices because of the State's purchasing power, to reduce their own operating costs. The Procurement Services Group also includes the agency's internal Information Resource Management Office which provides day-to-day computer data support & data management to all programs within the Agency.

DESIGN AND CONSTRUCTION

This group provides architectural, engineering, planning, and design and construction management services to State agencies operating State-owned facilities, and has projects valued at approximately \$453 million in design and \$542 million under construction.

SUPPORT SERVICES

Employees in this group provide and manage the delivery of interagency mail, employee and visitor parking and the transfer of Federal and State surplus property. These employees also warehouse federally donated food and distribute it to school districts and food pantries.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	290,715,600	309,448,000	18,732,400	7,600,000
Aid To Localities	0	0	0	0
Capital Projects	125,500,000	43,450,000	(82,050,000)	282,969,000
Total	416,215,600	352,898,000	(63,317,600)	290,569,000

GENERAL SERVICES

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Design and Construction			
Internal Service Funds	385	369	(16)
Executive Direction			
General Fund	98	101	3
Internal Service Funds	18	20	2
Procurement Services			
General Fund	128	147	19
Special Revenue Funds - Other	13	13	0
Internal Service Funds	78	70	(8)
Real Property Management and Development			
General Fund	774	790	16
Special Revenue Funds - Other	55	54	(1)
Enterprise Funds	13	12	(1)
Internal Service Funds	69	58	(11)
Total	1,631	1,634	3

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	116,899,000	121,707,000	4,808,000
Special Revenue Funds - Federal	7,600,000	7,600,000	0
Special Revenue Funds - Other	14,792,000	16,027,000	1,235,000
Enterprise Funds	1,091,000	1,172,000	81,000
Internal Service Funds	150,083,600	162,692,000	12,608,400
Fiduciary Funds	250,000	250,000	0
Total	290,715,600	309,448,000	18,732,400

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Design and Construction			
Internal Service Funds	48,760,000	42,844,000	(5,916,000)
Executive Direction			
General Fund	11,730,000	11,282,000	(448,000)
Special Revenue Funds - Other	1,069,000	1,069,000	0
Enterprise Funds	33,000	34,000	1,000
Internal Service Funds	61,907,500	73,624,000	11,716,500
Procurement Services			
General Fund	8,404,000	8,952,000	548,000
Special Revenue Funds - Federal	7,600,000	7,600,000	0
Special Revenue Funds - Other	4,452,000	4,698,000	246,000
Internal Service Funds	20,320,100	30,910,000	10,589,900
Real Property Management and Development			
General Fund	96,765,000	101,473,000	4,708,000
Special Revenue Funds - Other	9,271,000	10,260,000	989,000
Enterprise Funds	1,058,000	1,138,000	80,000
Internal Service Funds	19,096,000	15,314,000	(3,782,000)
Fiduciary Funds	250,000	250,000	0
Total	290,715,600	309,448,000	18,732,400

GENERAL SERVICES

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	5,204,000	(3,000)	4,994,000	(3,000)
Procurement Services	8,117,000	1,028,000	8,019,000	1,028,000
Real Property Management and Development	33,317,000	699,000	32,226,000	699,000
Total	46,638,000	1,724,000	45,239,000	1,724,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	156,000	0	54,000	0
Procurement Services	0	0	98,000	0
Real Property Management and Development	0	0	1,091,000	0
Total	156,000	0	1,243,000	0

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	6,078,000	(445,000)	258,000	8,000
Procurement Services	835,000	(480,000)	97,000	0
Real Property Management and Development	68,156,000	4,009,000	7,744,000	3,682,000
Total	75,069,000	3,084,000	8,099,000	3,690,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	94,000	3,000	1,551,000	(456,000)
Procurement Services	59,000	2,000	657,000	(483,000)
Real Property Management and Development	207,000	6,000	59,557,000	1,173,000
Total	360,000	11,000	61,765,000	234,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	0	0	4,175,000	0
Procurement Services	22,000	1,000	0	0
Real Property Management and Development	648,000	(852,000)	0	0
Total	670,000	(851,000)	4,175,000	0

GENERAL SERVICES

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Design and Construction	42,844,000	(5,916,000)	22,000,000	(2,039,000)
Executive Direction	74,727,000	11,717,500	1,353,000	138,000
Procurement Services	43,208,000	10,835,900	3,851,000	(1,138,000)
Real Property Management and Development	26,962,000	(2,713,000)	5,092,000	(894,000)
Total	187,741,000	13,924,400	32,296,000	(3,933,000)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Design and Construction	20,844,000	(3,877,000)	0	0
Executive Direction	73,174,000	11,579,500	200,000	0
Procurement Services	39,357,000	11,973,900	0	0
Real Property Management and Development	21,620,000	(1,819,000)	250,000	0
Total	154,995,000	17,857,400	450,000	0

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2003-04	Recommended 2004-05	Change	Reappropriations 2004-05
Design and Construction Supervision				
Capital Projects Fund	13,000,000	9,071,000	(3,929,000)	18,000,000
Flood Disaster Restoration Program				
Capital Projects Fund	0	0	0	3,000,000
Maintenance and Improvement of Real Property Facilities				
Capital Projects Fund	93,000,000	34,379,000	(58,621,000)	159,506,000
Capital Projects Fund - Advances	0	0	0	5,479,000
Capital Projects Fund - Authority Bonds	19,500,000	0	(19,500,000)	96,984,000
Total	125,500,000	43,450,000	(82,050,000)	282,969,000

OFFICE OF THE STATE INSPECTOR GENERAL

MISSION

The Office of the State Inspector General is responsible for detecting, investigating, eliminating and deterring fraud, corruption, criminal activity, conflicts of interest and abuse in State government. Its mission is designed to ensure that State government maintains the highest standards of integrity and accountability.

ORGANIZATION AND STAFFING

The Office of the State Inspector General was fundamentally restructured pursuant to Executive Order 39 issued by the Governor in 1996. The Executive Order provided for the consolidation of most of the State's inspector general activities in a single office that replaced what were formerly separate, semi-independent deputy inspectors general based in the agencies they served. Led by the Inspector General who is appointed by the Governor, the Office is headquartered in Albany and has offices in New York City and Buffalo. The Office will have a workforce of 68 in 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2004-05 Executive Budget recommendations provide \$4.1 million in State tax dollar support and \$1.7 million in Special Revenue funding.

PROGRAM HIGHLIGHTS

Since June 1996, the Office of the State Inspector General has acted on more than 7,000 complaints of fraud, criminal activity, waste and abuse involving State employees and resources. The Office has focused its investigative efforts on major cases involving theft, bribery, contract fraud, abuse of authority and other serious allegations of corruption. Currently, the Agency's investigators are working jointly with local, State and Federal law enforcement personnel and prosecutors on significant matters of public concern.

The Office's investigations have resulted in the arrest of more than 350 individuals, and referrals for discipline in numerous other cases. In addition, many cases have resulted in recommendations for administrative or policy changes. The State Inspector General's cases have uncovered instances of theft, bid rigging, bribery, creation of fraudulent documents, misuse of computers, narcotics trafficking and sexual assault. Equally important, the Office's investigations have, in many instances, cleared agencies and individuals of allegations that were unfounded or unsubstantiated.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations	Change	Reappropriations
	2003-04	Recommended 2004-05		Recommended 2004-05
State Operations	5,910,000	5,885,000	(25,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	5,910,000	5,885,000	(25,000)	0

INSPECTOR GENERAL

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04	2004-05	FTE Change
	Estimated FTEs 03/31/04	Estimated FTEs 03/31/05	
Inspector General			
General Fund	53	50	(3)
Special Revenue Funds - Other	18	18	0
Total	<u>71</u>	<u>68</u>	<u>(3)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2003-04	2004-05	
General Fund	4,300,000	4,147,000	(153,000)
Special Revenue Funds - Other	1,610,000	1,738,000	128,000
Total	<u>5,910,000</u>	<u>5,885,000</u>	<u>(25,000)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available	Recommended	Change
	2003-04	2004-05	
Inspector General			
General Fund	4,300,000	4,147,000	(153,000)
Special Revenue Funds - Other	1,610,000	1,738,000	128,000
Total	<u>5,910,000</u>	<u>5,885,000</u>	<u>(25,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Inspector General	3,774,000	(56,000)	3,774,000	(56,000)
Total	<u>3,774,000</u>	<u>(56,000)</u>	<u>3,774,000</u>	<u>(56,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Contractual Services	
	Amount	Change	Amount	Change
Inspector General	373,000	(97,000)	373,000	(97,000)
Total	<u>373,000</u>	<u>(97,000)</u>	<u>373,000</u>	<u>(97,000)</u>

INSPECTOR GENERAL

STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Inspector General	1,738,000	128,000	955,000	(6,000)
Total	<u>1,738,000</u>	<u>128,000</u>	<u>955,000</u>	<u>(6,000)</u>

Program	Nonpersonal Service	
	Amount	Change
Inspector General	783,000	134,000
Total	<u>783,000</u>	<u>134,000</u>

INTEREST ON LAWYER ACCOUNT

MISSION

The Interest on Lawyer Account (IOLA) was established in 1983 to finance civil legal services for the indigent. Revenues are derived from the interest earned on small trust accounts which attorneys hold for their clients. Banks transfer the interest earned on these accounts to IOLA to fund grants to not-for-profit, tax-exempt entities providing civil legal services to the indigent, elderly and disabled. Legislation enacted in 1988 made participation in IOLA mandatory for attorneys in private practice who hold nominal short-term escrow accounts for clients.

ORGANIZATION AND STAFFING

A 15-member board of trustees appointed by the Governor administers IOLA. Board members serve without compensation. Day-to-day operations are handled by a workforce of nine located in New York City.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommendations enable a grant level of up to \$12 million in each of calendar years 2004 and 2005, the specific amount being dependent upon actual revenue generated. Grant funding has declined since 2002 with the decline in interest rates. IOLA and participating banks have worked successfully to lower account costs to partially offset the effect of falling interest rates.

PROGRAM HIGHLIGHTS

At least 75 percent of the grant funds distributed by IOLA must be used to deliver civil legal services to the indigent. The balance must be allocated to purposes related to the improvement of the administration of justice, including the provision of civil legal services to underserved groups such as the elderly and disabled. In 2004, IOLA will award grants to an estimated 90 organizations.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	1,588,000	1,633,000	45,000	0
Aid To Localities	13,000,000	12,000,000	(1,000,000)	0
Capital Projects	0	0	0	0
Total	14,588,000	13,633,000	(955,000)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
New York Interest on Lawyer Account			
Special Revenue Funds - Other	9	9	0
Total	9	9	0

INTEREST ON LAWYER

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2003-04</u>	<u>Recommended 2004-05</u>	<u>Change</u>
Special Revenue Funds - Other	1,588,000	1,633,000	45,000
Total	<u>1,588,000</u>	<u>1,633,000</u>	<u>45,000</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2003-04</u>	<u>Recommended 2004-05</u>	<u>Change</u>
New York Interest on Lawyer Account			
Special Revenue Funds - Other	1,588,000	1,633,000	45,000
Total	<u>1,588,000</u>	<u>1,633,000</u>	<u>45,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
New York Interest on Lawyer Account	1,633,000	45,000	568,000	(65,000)
Total	<u>1,633,000</u>	<u>45,000</u>	<u>568,000</u>	<u>(65,000)</u>

<u>Program</u>	<u>Nonpersonal Service</u>	
	<u>Amount</u>	<u>Change</u>
New York Interest on Lawyer Account	1,065,000	110,000
Total	<u>1,065,000</u>	<u>110,000</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2003-04</u>	<u>Recommended 2004-05</u>	<u>Change</u>
Special Revenue Funds - Other	13,000,000	12,000,000	(1,000,000)
Total	<u>13,000,000</u>	<u>12,000,000</u>	<u>(1,000,000)</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2003-04</u>	<u>Recommended 2004-05</u>	<u>Change</u>
New York Interest on Lawyer Account			
Special Revenue Funds - Other	13,000,000	12,000,000	(1,000,000)
Total	<u>13,000,000</u>	<u>12,000,000</u>	<u>(1,000,000)</u>

TEMPORARY STATE COMMISSION OF INVESTIGATION

MISSION

The Temporary State Commission of Investigation serves as a bipartisan fact-finding agency investigating and reporting on organized crime and racketeering, the conduct of public officers and other matters affecting public peace, safety and justice.

ORGANIZATION AND STAFFING

The Commission has six salaried members, two each appointed by the Governor, the Temporary President of the Senate and the Speaker of the Assembly. In addition to the 6 commissioners, there will be 21 staff positions at the Commission, which has its main office in New York City.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommendation for fiscal year 2004-05 provides nearly \$2.9 million in State tax dollars, and \$186,000 in anticipated asset forfeitures, to support the Commission.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	3,181,000	3,050,000	(131,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	3,181,000	3,050,000	(131,000)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Investigation			
General Fund	27	27	0
Total	27	27	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	2,915,000	2,864,000	(51,000)
Special Revenue Funds - Other	266,000	186,000	(80,000)
Total	3,181,000	3,050,000	(131,000)

INVESTIGATION

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Investigation			
General Fund	2,915,000	2,864,000	(51,000)
Special Revenue Funds - Other	266,000	186,000	(80,000)
Total	<u>3,181,000</u>	<u>3,050,000</u>	<u>(131,000)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Investigation	2,148,000	(67,000)	2,133,000	(67,000)
Total	<u>2,148,000</u>	<u>(67,000)</u>	<u>2,133,000</u>	<u>(67,000)</u>

Program	Temporary Service (Nonannual Salaried)	
	Amount	Change
Investigation	15,000	0
Total	<u>15,000</u>	<u>0</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Investigation	716,000	16,000	16,000	0
Total	<u>716,000</u>	<u>16,000</u>	<u>16,000</u>	<u>0</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Investigation	62,000	2,000	605,000	13,000
Total	<u>62,000</u>	<u>2,000</u>	<u>605,000</u>	<u>13,000</u>

Program	Equipment	
	Amount	Change
Investigation	33,000	1,000
Total	<u>33,000</u>	<u>1,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Nonpersonal Service	
	Amount	Change	Amount	Change
Investigation	186,000	(80,000)	186,000	(80,000)
Total	<u>186,000</u>	<u>(80,000)</u>	<u>186,000</u>	<u>(80,000)</u>

JUDICIAL COMMISSIONS

MISSION

New York State's three Judicial Commissions play important roles in maintaining the integrity of the court system. The Commission on Judicial Nomination and the Judicial Screening Committees screen potential nominees for high-level judicial appointments by the Governor. The Commission on Judicial Conduct investigates and acts upon allegations of judicial misconduct.

COMMISSION ON JUDICIAL CONDUCT

The State Constitution established the Commission on Judicial Conduct, which is responsible for investigating complaints of misconduct against State judges and local justices. The Commission has disciplinary powers, which include the authority to remove judges and justices from office for serious misconduct, subject to review by the Court of Appeals.

The Commission on Judicial Conduct has 11 members, 4 of whom are appointed by the Governor, 3 by the Chief Judge of the Court of Appeals and the remaining 4 by the Legislature. Commission members serve without pay and meet periodically to consider complaints, hear testimony and determine the disposition of cases. The Commission will have a staff of 28 in fiscal year 2004-05. Its main office is in New York City, with branches in Albany and Rochester. Its budget of nearly \$2.4 million is supported entirely by State tax dollars from the General Fund.

COMMISSION ON JUDICIAL NOMINATION

The State Constitution established the Commission on Judicial Nomination to evaluate candidates and make recommendations to the Governor for appointment to the Court of Appeals, the State's highest court.

The Commission on Judicial Nomination has 12 members appointed by the Governor, the Chief Judge of the Court of Appeals and the Legislature. These members serve without pay and conduct the search for qualified candidates upon formal notification of a vacancy on the Court of Appeals. They are supported by volunteer legal staff also serving without pay.

GOVERNOR'S JUDICIAL SCREENING COMMITTEES

The Governor's Judicial Screening Committees are established by Executive Order to evaluate the qualifications of candidates and make recommendations to the Governor for appointment to judgeships other than those on the Court of Appeals. The Committees are located throughout the State. In fiscal year 2004-05, a total of \$150,000 in State tax dollars will support the Committees.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	2,426,000	2,557,000	131,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	2,426,000	2,557,000	131,000	0

JUDICIAL COMMISSIONS

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2003-04	2004-05	FTE Change
	Estimated FTEs 03/31/04	Estimated FTEs 03/31/05	
Judicial Conduct			
General Fund	28	28	0
Total	28	28	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	2,426,000	2,557,000	131,000
Total	2,426,000	2,557,000	131,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Judicial Conduct			
General Fund	2,266,000	2,397,000	131,000
Judicial Nomination, Commission on			
General Fund	10,000	10,000	0
Judicial Screening Committees			
General Fund	150,000	150,000	0
Total	2,426,000	2,557,000	131,000

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Judicial Conduct	1,828,000	30,000	1,808,000	30,000
Total	1,828,000	30,000	1,808,000	30,000

Program	Temporary Service (Nonannual Salaried)	
	Amount	Change
Judicial Conduct	20,000	0
Total	20,000	0

JUDICIAL COMMISSIONS

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Judicial Conduct	569,000	101,000	34,000	1,000
Judicial Nomination, Commission on	10,000	0	0	0
Judicial Screening Committees	150,000	0	0	0
Total	<u>729,000</u>	<u>101,000</u>	<u>34,000</u>	<u>1,000</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Judicial Conduct	33,000	1,000	487,000	99,000
Judicial Nomination, Commission on	0	0	0	0
Judicial Screening Committees	0	0	0	0
Total	<u>33,000</u>	<u>1,000</u>	<u>487,000</u>	<u>99,000</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Judicial Conduct	15,000	0	0	0
Judicial Nomination, Commission on	0	0	10,000	0
Judicial Screening Committees	0	0	150,000	0
Total	<u>15,000</u>	<u>0</u>	<u>160,000</u>	<u>0</u>

DEPARTMENT OF LAW

MISSION

The Department of Law was created in 1926 and is headed by the State Attorney General, who is elected by the people. The Department is responsible for protecting the legal rights of New York State and its citizens by representing the State in litigation and in other legal affairs.

In implementing its constitutional responsibilities, the Department performs a wide range of functions. Major activities of the Department include prosecuting or defending actions and proceedings for or against the State and its departments; prosecuting certain criminal violations of the Labor, Workers' Compensation and Unemployment Insurance laws; investigating and prosecuting other criminal cases at the request of the Governor or the commissioners of State departments; investigating the activities of organized crime; bringing civil and/or criminal actions against polluters, violators of antitrust laws and those who defraud consumers or investors; mediating consumer complaints; and investigating and prosecuting cases of Medicaid fraud.

ORGANIZATION AND STAFFING

The legal functions of the Department of Law are divided into major divisions which comprise bureaus dealing with specific legal issues and cases. These are the divisions of Administration, Appeals and Opinions, Criminal Prosecutions, State Counsel, Public Advocacy and Regional Offices.

The Department's main offices are located in Albany and New York City, with regional offices in Binghamton, Poughkeepsie, Syracuse, Buffalo, Plattsburgh, Rochester, Watertown, Mineola, Hauppauge, Harlem, Utica, Brooklyn, Pearl River and White Plains. The Department will have a workforce of 1,717 positions for 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends \$197 million for the Department. This recommendation includes nearly \$113 million in tax dollars from the General Fund, which will finance 57 percent of these expenses for 2004-05. Additional support for 2004-05 will be provided by Federal funding for Medicaid fraud efforts and revenues from the collection of non-tax debt, litigation settlements and assets seized as part of criminal prosecution activities and Medicaid fraud recoveries.

PROGRAM HIGHLIGHTS

Agency divisions perform the following functions:

- The Administration Division provides budget, personnel, operations and technology services for the Agency;
- The Division of Appeals and Opinions handles appellate litigation in both State and Federal courts. The Division also prepares opinions, both formal and informal, interpreting State laws for State agencies and municipalities;
- The Criminal Division investigates and prosecutes criminal cases, including those involving Medicaid fraud, auto insurance fraud, white collar and organized crime cases involving multi-county, multi-state and even multi-national criminal activities occurring within New York State;
- The Division of State Counsel provides State agencies, the Governor, other State officials and the Legislature with counsel and representation in legal proceedings. It recoups non-tax revenue on behalf of State taxpayers and provides legal assistance to State agencies in connection with the acquisition and disposition of public land;

LAW

- The Division of Public Advocacy defends and protects the public interest in the courts. It enforces laws to prevent trade restraint, protects charitable donors and beneficiaries and enforces laws prohibiting discrimination. The Division protects consumers from fraudulent, and/or deceptive business practices, enforces environmental laws and regulates sales of investment securities. It also enforces the State's health care laws and addresses concerns about on-line criminal or fraudulent activities; and
- The Regional Offices program provides mini-satellite offices across the State to ensure that all New York agencies have cost effective representation in all local and Federal courts, and that citizens have full access to the programs and services of the Department.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	188,427,000	197,291,000	8,864,000	45,679,000
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	188,427,000	197,291,000	8,864,000	45,679,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Administration			
General Fund	165	165	0
Appeals and Opinions			
General Fund	60	60	0
Counsel for the State			
General Fund	298	298	0
Special Revenue Funds - Other	248	248	0
Criminal Prosecutions			
General Fund	179	179	0
Special Revenue Funds - Other	56	56	0
Medicaid Fraud Control			
Special Revenue Funds - Federal	208	208	0
Special Revenue Funds - Other	75	75	0
Public Advocacy			
General Fund	276	276	0
Capital Projects Funds - Other	7	7	0
Regional Offices			
General Fund	145	145	0
Total	1,717	1,717	0

STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	112,778,000	112,778,000	0
Special Revenue Funds - Federal	30,625,000	31,216,000	591,000
Special Revenue Funds - Other	45,024,000	53,297,000	8,273,000
Total	<u>188,427,000</u>	<u>197,291,000</u>	<u>8,864,000</u>
Adjustments:			
Transfer(s) From			
Law, Department of Internal Service Funds	(9,926,000)		
Transfer(s) To			
Law, Department of Special Revenue Funds - Other	9,926,000		
Appropriated 2003-04	<u>188,427,000</u>		

STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
General Fund	22,181,000	22,181,000	0
Appeals and Opinions			
General Fund	5,147,000	5,147,000	0
Counsel for the State			
General Fund	35,136,000	35,136,000	0
Special Revenue Funds - Other	29,402,000	35,780,000	6,378,000
Criminal Prosecutions			
General Fund	17,658,000	17,658,000	0
Special Revenue Funds - Other	4,904,000	4,904,000	0
Medicaid Fraud Control			
Special Revenue Funds - Federal	30,625,000	31,216,000	591,000
Special Revenue Funds - Other	10,718,000	12,613,000	1,895,000
Public Advocacy			
General Fund	20,751,000	20,751,000	0
Regional Offices			
General Fund	11,905,000	11,905,000	0
Total	<u>188,427,000</u>	<u>197,291,000</u>	<u>8,864,000</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	9,975,000	0	9,685,000	0
Appeals and Opinions	4,320,000	0	4,175,000	0
Counsel for the State	22,843,000	0	22,310,000	0
Criminal Prosecutions	14,805,000	0	14,750,000	0
Public Advocacy	19,174,000	0	18,656,000	0
Regional Offices	10,201,000	0	9,875,000	0
Total	81,318,000	0	79,451,000	0

Program	Temporary Service (Nonannual Salaried)	
	Amount	Change
Administration	290,000	0
Appeals and Opinions	145,000	0
Counsel for the State	533,000	0
Criminal Prosecutions	55,000	0
Public Advocacy	518,000	0
Regional Offices	326,000	0
Total	1,867,000	0

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	12,206,000	0	83,000	0
Appeals and Opinions	827,000	0	317,000	0
Counsel for the State	12,293,000	0	46,000	0
Criminal Prosecutions	2,853,000	0	68,000	0
Public Advocacy	1,577,000	0	80,000	0
Regional Offices	1,704,000	0	19,000	0
Total	31,460,000	0	613,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	60,000	0	11,732,000	0
Appeals and Opinions	29,000	0	479,000	0
Counsel for the State	108,000	0	1,437,000	0
Criminal Prosecutions	293,000	0	1,927,000	0
Public Advocacy	78,000	0	1,280,000	0
Regional Offices	218,000	0	1,430,000	0
Total	786,000	0	18,285,000	0

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	331,000	0	0	0
Appeals and Opinions	2,000	0	0	0
Counsel for the State	44,000	0	10,658,000	0
Criminal Prosecutions	565,000	0	0	0
Public Advocacy	139,000	0	0	0
Regional Offices	37,000	0	0	0
Total	1,118,000	0	10,658,000	0

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Counsel for the State	35,780,000	6,378,000	15,415,000	1,332,000
Criminal Prosecutions	4,904,000	0	0	0
Medicaid Fraud Control	43,829,000	2,486,000	22,989,000	1,188,000
Total	<u>84,513,000</u>	<u>8,864,000</u>	<u>38,404,000</u>	<u>2,520,000</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Counsel for the State	20,365,000	5,046,000	0	0
Criminal Prosecutions	0	0	4,904,000	0
Medicaid Fraud Control	16,587,000	1,298,000	4,253,000	0
Total	<u>36,952,000</u>	<u>6,344,000</u>	<u>9,157,000</u>	<u>0</u>

TEMPORARY STATE COMMISSION ON LOBBYING

MISSION

The Temporary State Commission on Lobbying is responsible for monitoring, maintaining and making public the identities, activities and expenditures of lobbyists, clients and public corporations attempting to influence the legislative, regulatory and rule and rate-making actions of elected State officials, agency decision makers and local governments. The Commission is also authorized to hold hearings, impose civil penalties and make public the records of appearances before regulatory State agencies.

ORGANIZATION AND STAFFING

The Lobbying Act sets forth the administrative and enforcement responsibilities of the Commission. Under the guidance of a six-member bipartisan board appointed by the Governor, with advice from the legislative leaders, these responsibilities are carried out by a workforce of 16.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Commission will receive \$1.3 million in 2004-05, with 77 percent of its support from State tax dollars. The remaining 23 percent of its operations will be funded by civil penalty fines assessed on lobbyists for violations of the Lobbying Law.

PROGRAM HIGHLIGHTS

In 2002, the Commission's oversight responsibilities included 3,332 registered lobbyists, 1,835 clients of lobbyists and 48 public corporations that reported lobbying expenses. The Commission's monitoring responsibilities encompassed both registered and unregistered special interest groups. These groups were active on over 19,707 bills before the Legislature and 1,953 rules, regulations and rate applications involving State agencies.

In 2003-04, the Commission first offered an Electronic Filing Program, which simplified reporting for the majority of filers and contributed toward more rapid disclosure of lobbying activities to the public while reducing labor-intensive tasks of Commission staff members. In 2004-05, the Commission will initiate the online payment of filing fees, which will further simplify reporting, accelerate public disclosure and enhance staff efficiencies.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations	Change	Reappropriations
	2003-04	Recommended 2004-05		Recommended 2004-05
State Operations	1,287,000	1,303,000	16,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	1,287,000	1,303,000	16,000	0

LOBBYING

**ALL FUND TYPES
PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM
FILLED ANNUAL SALARIED POSITIONS**

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Operations			
General Fund	16	16	0
Total	<u>16</u>	<u>16</u>	<u>0</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	987,000	1,003,000	16,000
Special Revenue Funds - Other	300,000	300,000	0
Total	<u>1,287,000</u>	<u>1,303,000</u>	<u>16,000</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Operations			
General Fund	987,000	1,003,000	16,000
Special Revenue Funds - Other	300,000	300,000	0
Total	<u>1,287,000</u>	<u>1,303,000</u>	<u>16,000</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Operations	1,003,000	16,000	1,003,000	16,000
Total	<u>1,003,000</u>	<u>16,000</u>	<u>1,003,000</u>	<u>16,000</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Operations	300,000	0	300,000	0
Total	<u>300,000</u>	<u>0</u>	<u>300,000</u>	<u>0</u>

DIVISION OF MILITARY AND NAVAL AFFAIRS

MISSION

The primary mission of the Division of Military and Naval Affairs (DMNA) is twofold. Through the State's organized militia, it maintains a well-trained military force ready to respond to civil emergencies and natural and man-made disasters, and with respect to federally recognized units, threats to the nation's security. Through the State Emergency Management Office (SEMO), the Division coordinates the State's response to disasters and prepares the State, local governments and residents to deal effectively with any such potential disasters.

In recent years, the Division has also broadened its mission to include joint partnerships with local communities. Such partnerships include aid to law enforcement agencies in their anti-drug activities and non-emergency National Guard assistance to communities.

ORGANIZATION AND STAFFING

Under the direction of the Adjutant General, who is appointed by the Governor, the Division consists of the New York Army National Guard, the New York Air National Guard, the Naval Militia, the New York Guard and SEMO. Coordinated through the main office in Albany, the Division operates 59 armories as well as 32 maintenance shops, 6 Air Guard bases and 3 Army Aviation Support facilities. In addition, the Division provides administrative support for more than 16,400 New York-based National Guard troops who are paid directly by the Federal government.

The State Emergency Management Office serves as the operational component of the Disaster Preparedness Commission (DPC), which is responsible for State disaster preparedness plans and the coordination of State disaster operations. DMNA and SEMO will have a combined workforce of 570 in 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommendations for fiscal year 2004-05 support the Division's core mission of using its well-trained military force to both respond to civil emergencies and to support local authorities in their efforts to guard against ongoing security threats. Additionally, the enactment of the Patriot Plan in 2003 enhanced the Division's support of deployed military personnel and their families.

The Executive Budget recommends funding of \$62.4 million for Division of Military and Naval Affairs State Operations: \$46.2 million from Federal funding and special account revenues; and \$16.2 million in General Fund support. The Division's Aid to Localities budget includes State and Federal disaster assistance reappropriations to support response efforts associated with future disasters, and \$26.3 million in Federal grants and assessments paid by nuclear power generating facilities to support emergency preparedness activities.

The 2004-05 Capital Plan recommendations of \$46 million in new appropriations represent an increase of \$5.4 million above the 2003-04 appropriations level of \$40.6 million, including \$35 million in State and Federal support for a multi-year Federal military construction plan to replace or expand armories and equipment maintenance facilities across the State. The balance supports a continuation of Division efforts to maintain its existing facilities and, where feasible, restore armories in order to assist in the consolidation effort and to preserve their historic and future value.

MILITARY AND NAVAL AFFAIRS

PROGRAM HIGHLIGHTS

MILITARY READINESS

The Military Readiness programs constitute the heart of the Division's operations. These programs include the New York Army National Guard, the New York Air National Guard, the New York Guard and the New York Naval Militia. Over 3,000 members of the New York National Guard are currently fighting the war on terror, and all stand ready to serve if and when called upon to do so. In 2001, the Readiness program mounted a sustained, large-scale activation to assist in World Trade Center disaster response efforts as well as subsequent activities that are still ongoing to safeguard the State's citizenry from new security threats. Other responses to civilian emergencies in recent years have included the abatement and mitigation of the effects of forest fires, blizzards, floods and ice storms. Recent military endeavors include commanding the Northeast air defense and providing air support to the National Science Foundation Antarctica mission.

EMERGENCY MANAGEMENT

The State Emergency Management Office coordinates the State's response to natural and man made disasters, as well as other civil emergencies. Recent disasters have included the Northeast power outage in August 2003, multiple life-threatening snowstorms across the State in the winter of 2002-03, forest fires and an earthquake in the spring of 2002. The Office has played a critical role in both the crisis management activities that immediately followed the World Trade Center attacks and the disaster recovery efforts that continue today.

The Office is also responsible for the development, testing and revision of radiological emergency plans at the State and county levels, to deal with possible radiological accidents at nuclear powered electric generating facilities. This Office also trains personnel to mitigate the risks of future disasters and administers the Disaster Assistance Program, which provides State and federally supported financial relief to localities and individuals affected by disasters.

RECRUITMENT INCENTIVE AND RETENTION PROGRAM

Established in 1996, the Recruitment Incentive and Retention Program provides qualified individuals and active National Guard members with a tuition benefit for undergraduate study. The enormous success of the program has stabilized the Guard's troop strength and significantly improved member morale in recent years.

SPECIAL SERVICES

The cornerstone of DMNA's special services is "GuardHELP," an innovative program to link federal guard resources with current community volunteer, youth activity, and environmental needs. Additionally, the Special Services program includes the activities associated with armory rentals.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	63,650,000	62,411,000	(1,239,000)	16,966,000
Aid To Localities	274,550,000	26,345,000	(248,205,000)	2,272,750,000
Capital Projects	40,600,000	46,000,000	5,400,000	46,850,000
Total	378,800,000	134,756,000	(244,044,000)	2,336,566,000

MILITARY AND NAVAL AFFAIRS

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
	Administration		
General Fund	62	62	0
Emergency Management			
General Fund	30	21	(9)
Special Revenue Funds - Federal	70	75	5
Special Revenue Funds - Other	18	27	9
Military Readiness			
General Fund	146	152	6
Special Revenue Funds - Federal	222	230	8
Special Service			
Special Revenue Funds - Other	3	3	0
Total	<u>551</u>	<u>570</u>	<u>19</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
	General Fund	16,738,000	
Special Revenue Funds - Federal	34,269,000	34,898,000	629,000
Special Revenue Funds - Other	12,643,000	11,294,000	(1,349,000)
Total	<u>63,650,000</u>	<u>62,411,000</u>	<u>(1,239,000)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
	Administration		
General Fund	3,780,000	3,628,000	(152,000)
Disaster Assistance			
Special Revenue Funds - Federal	3,295,000	4,167,000	872,000
Emergency Management			
General Fund	1,396,000	674,000	(722,000)
Special Revenue Funds - Federal	8,057,000	6,238,000	(1,819,000)
Special Revenue Funds - Other	2,948,000	2,948,000	0
Military Readiness			
General Fund	11,310,000	11,917,000	607,000
Special Revenue Funds - Federal	22,917,000	24,493,000	1,576,000
Special Service			
General Fund	252,000	0	(252,000)
Special Revenue Funds - Other	9,695,000	8,346,000	(1,349,000)
Total	<u>63,650,000</u>	<u>62,411,000</u>	<u>(1,239,000)</u>

MILITARY AND NAVAL AFFAIRS

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	3,180,000	(154,000)	3,146,000	(171,000)
Emergency Management	599,000	(519,000)	571,000	(535,000)
Military Readiness	6,400,000	(509,000)	6,376,000	(18,000)
Special Service	0	(199,000)	0	(187,000)
Total	10,179,000	(1,381,000)	10,093,000	(911,000)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	0	0	34,000	17,000
Emergency Management	0	0	28,000	16,000
Military Readiness	23,000	(191,000)	1,000	(300,000)
Special Service	0	(10,000)	0	(2,000)
Total	23,000	(201,000)	63,000	(269,000)

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	448,000	2,000	81,000	2,000
Emergency Management	75,000	(203,000)	9,300	(36,000)
Military Readiness	5,517,000	1,116,000	247,000	88,000
Special Service	0	(53,000)	0	(34,000)
Total	6,040,000	862,000	337,300	20,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	32,000	0	194,000	0
Emergency Management	8,500	(18,000)	55,800	(101,000)
Military Readiness	32,000	0	4,491,000	1,084,000
Special Service	0	(2,000)	0	(17,000)
Total	72,500	(20,000)	4,740,800	966,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	141,000	0	0	0
Emergency Management	1,400	(48,000)	0	0
Military Readiness	7,000	0	740,000	(56,000)
Special Service	0	0	0	0
Total	149,400	(48,000)	740,000	(56,000)

MILITARY AND NAVAL AFFAIRS

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Disaster Assistance	4,167,000	872,000	2,400,000	320,000
Emergency Management	9,186,000	(1,819,000)	4,340,000	(468,000)
Military Readiness	24,493,000	1,576,000	11,799,000	470,000
Special Service	8,346,000	(1,349,000)	636,000	(416,000)
Total	46,192,000	(720,000)	19,175,000	(94,000)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Disaster Assistance	1,767,000	552,000	0	0
Emergency Management	4,846,000	(1,351,000)	0	0
Military Readiness	12,694,000	1,106,000	0	0
Special Service	3,732,000	(933,000)	3,978,000	0
Total	23,039,000	(626,000)	3,978,000	0

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	60,100,000	0	(60,100,000)
Special Revenue Funds - Federal	211,600,000	23,495,000	(188,105,000)
Special Revenue Funds - Other	2,850,000	2,850,000	0
Total	274,550,000	26,345,000	(248,205,000)

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Disaster Assistance			
General Fund	60,000,000	0	(60,000,000)
Special Revenue Funds - Federal	200,000,000	0	(200,000,000)
Emergency Management			
Special Revenue Funds - Federal	11,600,000	23,495,000	11,895,000
Special Revenue Funds - Other	2,850,000	2,850,000	0
Military Readiness			
General Fund	100,000	0	(100,000)
Total	274,550,000	26,345,000	(248,205,000)

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2003-04	Recommended 2004-05	Change	Reappropriations 2004-05
Design and Construction Supervision				
Capital Projects Fund	5,500,000	1,400,000	(4,100,000)	4,300,000
Federal Capital Projects Fund	3,600,000	11,000,000	7,400,000	4,750,000
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	11,100,000	5,600,000	(5,500,000)	12,900,000
Federal Capital Projects Fund	20,400,000	28,000,000	7,600,000	24,900,000
Total	40,600,000	46,000,000	5,400,000	46,850,000

DIVISION OF PAROLE

MISSION

The Division of Parole, which consists of the Board of Parole and Division staff, is primarily responsible for community supervision and reintegration of offenders released from prison by action of the Parole Board, or by conditional release.

The Division promotes public safety and protection through the development and implementation of supervision and treatment plans for offenders returning to the community.

ORGANIZATION AND STAFFING

The 19 members of the Board of Parole are appointed by the Governor, and confirmed by the Senate, for six-year terms. One member is designated by the Governor to serve as the Board's Chair and chief executive officer of the Division. The Board members review the cases of inmates eligible for parole and determine if and when the offender should be released to parole supervision. The Board members establish the conditions the parolee must abide by in the community, and revoke parole in cases where parolees fail to maintain the conditions of their release.

The Division's administrative staff are located in its central office in Albany. Parole operations staff are distributed across the state in 70 correctional facilities and approximately 38 community-based supervision offices.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 2004-05, approximately \$144 million in State tax dollars will enable the Division of Parole to promote public safety by preparing inmates for release, supervising offenders in the community, and arranging for treatment services for parolees.

The Budget recommendations also build on prior year initiatives designed to supervise offenders in the community by providing intensive parole officer supervision caseloads for violent felony offenders, sex offenders and for those parolees who graduate from the shock incarceration program or who complete the drug treatment program at the Willard Drug Treatment Campus.

Approximately \$35 million in State tax dollars is recommended to support the Aid to Localities portion of the budget. This funding finances vocational development and relapse prevention programs, and supports initiatives designed to positively impact the revocation process. In order to reduce the impact on localities and speed the return of non-compliant parolees to State prison, the Division has developed several procedural changes that have made the parole revocation process more efficient. These management efficiencies have reduced the amount of time parole violators spend in local jails.

PROGRAM HIGHLIGHTS

In addition to closely monitoring offenders in the community, the Division helps prevent parolees from reverting to a life of crime by contracting for various rehabilitative services to support their return to society, such as substance abuse counseling, residential treatment and employment training and programming. The Division will also continue the implementation of an information technology initiative that will enhance the provision of these services, as well as improve supervision of parolees in the community.

PAROLE OPERATIONS

The Parole Operations program, the core of the State parole system, comprises three major areas: preparing inmates for parole, assisting the Board in making parole release determinations and supervising parolees upon release. An inmate must have housing and

PAROLE

employment prospects before being released from a State prison. Parole officers attempt to obtain housing, employment and other services before an inmate appears before the Board. At the same time, parole staff assigned to prisons assess an inmate's readiness for release by reviewing his or her case history. The staff also summarizes an inmate's rehabilitation progress, family background, and housing and employment prospects for the Board's consideration.

As a result of changes to the Penal Law by the Sentencing Reform Act of 1995 and Jenna's Law, violent felony offenders are subject to determinate sentences and not eligible for early parole release. Those offenders are, however, subject to fixed periods of post-release supervision upon their release from prison. When offenders are released from prison, the Board imposes conditions of release and they are assigned to a parole officer for the balance of their sentence. The supervising parole officer monitors the behavior of parolees in the community, investigates alleged violations, revokes parole when warranted and arranges for services to help parolees remain productive and law-abiding members of the community.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	146,559,000	144,942,000	(1,617,000)	1,921,000
Aid To Localities	42,727,000	42,598,000	(129,000)	5,455,000
Capital Projects	0	0	0	0
Total	189,286,000	187,540,000	(1,746,000)	7,376,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Administration			
General Fund	107	107	0
Parole Operations			
General Fund	2,023	1,982	(41)
Total	2,130	2,089	(41)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	145,234,000	143,617,000	(1,617,000)
Special Revenue Funds - Federal	500,000	500,000	0
Special Revenue Funds - Other	825,000	825,000	0
Total	146,559,000	144,942,000	(1,617,000)

Adjustments:
Transfer(s) To
 Criminal Justice Services, Division of
 Special Revenue Funds - Federal
Appropriated 2003-04

960,000
147,519,000

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
General Fund	7,931,000	8,157,000	226,000
Parole Operations			
General Fund	137,303,000	135,460,000	(1,843,000)
Special Revenue Funds - Federal	500,000	500,000	0
Special Revenue Funds - Other	825,000	825,000	0
Total	<u>146,559,000</u>	<u>144,942,000</u>	<u>(1,617,000)</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	6,594,000	136,000	6,461,000	135,000
Parole Operations	108,508,000	(2,805,000)	104,576,000	(2,966,000)
Total	<u>115,102,000</u>	<u>(2,669,000)</u>	<u>111,037,000</u>	<u>(2,831,000)</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	0	0	133,000	1,000
Parole Operations	80,000	0	3,852,000	161,000
Total	<u>80,000</u>	<u>0</u>	<u>3,985,000</u>	<u>162,000</u>

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,563,000	90,000	20,000	0
Parole Operations	26,952,000	962,000	1,037,000	0
Total	<u>28,515,000</u>	<u>1,052,000</u>	<u>1,057,000</u>	<u>0</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	238,000	0	1,291,000	90,000
Parole Operations	2,929,000	(176,000)	21,704,000	1,138,000
Total	<u>3,167,000</u>	<u>(176,000)</u>	<u>22,995,000</u>	<u>1,228,000</u>

Program	Equipment	
	Amount	Change
Administration	14,000	0
Parole Operations	1,282,000	0
Total	<u>1,296,000</u>	<u>0</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Parole Operations	1,325,000	0	1,325,000	0
Total	<u>1,325,000</u>	<u>0</u>	<u>1,325,000</u>	<u>0</u>

PAROLE

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	37,477,000	35,348,000	(2,129,000)
Internal Service Funds	5,250,000	7,250,000	2,000,000
Total	<u>42,727,000</u>	<u>42,598,000</u>	<u>(129,000)</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Parole Operations			
General Fund	37,477,000	35,348,000	(2,129,000)
Internal Service Funds	5,250,000	7,250,000	2,000,000
Total	<u>42,727,000</u>	<u>42,598,000</u>	<u>(129,000)</u>

OFFICE FOR THE PREVENTION OF DOMESTIC VIOLENCE

MISSION

The Office for the Prevention of Domestic Violence develops statewide policies to protect victims of domestic violence and conducts domestic violence prevention training for judges, prosecutors, police, attorneys, probation and parole personnel, social services and health care providers.

ORGANIZATION AND STAFFING

The Office, headed by an Executive Director appointed by the Governor, has its central office in the Capital District and one field office in New York City. The Office will have a workforce of 33 persons in 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget continues support for the Office's policy development and training programs. The All Funds appropriation for the Office will be \$2.2 million in 2004-05 to carry out domestic violence prevention activities.

PROGRAM HIGHLIGHTS

The Office works to improve the response of various State and local public agencies to families affected by domestic violence. In cooperation with other agencies, the Office develops domestic violence policies and provides training and information about domestic violence. These programs clarify professional and legal responsibility to promote the safety of victims of domestic violence and to hold offenders accountable for their actions. Each training program provides an in-depth examination of the nature and dynamics of domestic violence.

The Office also serves as a clearinghouse for information about domestic violence, receiving more than 4,000 calls a year from social service and health care agencies, police departments, domestic violence shelters, local violence prevention programs and domestic violence victims.

Over the past several years, the Office's accomplishments have included development of model county and State domestic violence prevention policies which guide the response to victims and perpetrators of domestic violence in all county and State agencies. Additionally, as a result of the 1997 Welfare Reform legislation, the Office works with the Office of Temporary and Disability Assistance and Office of Children and Family Services to improve procedures for notifying public assistance applicants and recipients about the availability of domestic violence protection and services, and to provide training to social services personnel to recognize the presence of domestic violence.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations Recommended	Change	Reappropriations Recommended
	2003-04	2004-05		2004-05
State Operations	1,685,000	1,531,000	(154,000)	0
Aid To Localities	755,000	717,000	(38,000)	0
Capital Projects	0	0	0	0
Total	2,440,000	2,248,000	(192,000)	0

PREVENTION OF DOMESTIC VIOLENCE

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Administration			
General Fund	15	15	0
Special Revenue Funds - Federal	18	18	0
Total	<u>33</u>	<u>33</u>	<u>0</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
General Fund	1,515,000	1,361,000	(154,000)
Special Revenue Funds - Federal	100,000	100,000	0
Special Revenue Funds - Other	70,000	70,000	0
Total	<u>1,685,000</u>	<u>1,531,000</u>	<u>(154,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	935,000	(154,000)	935,000	(154,000)
Total	<u>935,000</u>	<u>(154,000)</u>	<u>935,000</u>	<u>(154,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	426,000	0	45,000	0
Total	<u>426,000</u>	<u>0</u>	<u>45,000</u>	<u>0</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	39,000	0	324,000	0
Total	<u>39,000</u>	<u>0</u>	<u>324,000</u>	<u>0</u>

Program	Equipment	
	Amount	Change
Administration	18,000	0
Total	<u>18,000</u>	<u>0</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	170,000	0	170,000	0
Total	<u>170,000</u>	<u>0</u>	<u>170,000</u>	<u>0</u>

PREVENTION OF DOMESTIC VIOLENCE

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
General Fund	<u>755,000</u>	<u>717,000</u>	<u>(38,000)</u>
Total	<u><u>755,000</u></u>	<u><u>717,000</u></u>	<u><u>(38,000)</u></u>

DIVISION OF PROBATION AND CORRECTIONAL ALTERNATIVES

MISSION

The Division of Probation and Correctional Alternatives oversees county probation departments, providing them with training and technical assistance related to the supervision and treatment of offenders.

ORGANIZATION AND STAFFING

Headed by a State Director appointed by the Governor, the Division is located in Albany. The Division will operate in 2004-05 with a staff of 28.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 2004-05, approximately \$1.9 million in State tax dollars will support the Division's State Operations budget. Aid to Localities funding for Probation Aid, the Intensive Supervision Program, the Juvenile Intensive Supervision Program and a number of alternative to incarceration programs has been transferred to the Division of Criminal Justice Services. This new arrangement will provide improved service to counties and grant recipients through a centralized grant administration process.

PROGRAM HIGHLIGHTS

The transfer of local grants program administration to the Division of Criminal Justice Services will allow the Division of Probation and Correctional Alternatives to focus on statewide efforts such as improved technology, education and training and the development of performance measurements and standards. The Division of Probation and Correctional Alternatives maintains two automated offender risk assessment-screening instruments, the Youth Assessment and Screening Instrument (YASI) and the Correctional Offender Management Profiling for Alternative Sanctions Instrument (COMPAS), along with Prober, an automated case management tool for counties. The Division will continue to enhance and expand these systems.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	2,153,000	1,888,000	(265,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	2,153,000	1,888,000	(265,000)	0

PROBATION AND CORRECTIONAL ALTERNATIVES

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Community Corrections			
General Fund	32	28	(4)
Total	<u>32</u>	<u>28</u>	<u>(4)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Community Corrections			
General Fund	2,153,000	1,888,000	(265,000)
Total	<u>2,153,000</u>	<u>1,888,000</u>	<u>(265,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Community Corrections	1,486,000	(262,000)	1,486,000	(262,000)
Total	<u>1,486,000</u>	<u>(262,000)</u>	<u>1,486,000</u>	<u>(262,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Community Corrections	402,000	(3,000)	15,000	0
Total	<u>402,000</u>	<u>(3,000)</u>	<u>15,000</u>	<u>0</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Community Corrections	41,000	(3,000)	336,000	0
Total	<u>41,000</u>	<u>(3,000)</u>	<u>336,000</u>	<u>0</u>

Program	Equipment	
	Amount	Change
Community Corrections	10,000	0
Total	<u>10,000</u>	<u>0</u>

PROBATION AND CORRECTIONAL ALTERNATIVES

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2003-04	Recommended 2004-05	Change
Total	0	0	0
Adjustments:			
Transfer(s) To			
Criminal Justice Services, Division of			
General Fund	72,383,000		
Appropriated 2003-04	72,383,000		

PUBLIC EMPLOYMENT RELATIONS BOARD

MISSION

The Public Employment Relations Board resolves labor disputes between public employers and employees. The Board provides mediation, fact-finding and arbitration in contract disputes for approximately 4,500 negotiating units in New York State. In addition, the Board settles questions of union representation, conducts hearings on charges of improper practices, designates Management/Confidential positions, and acts as a clearinghouse for information on wages, benefits and employment practices.

ORGANIZATION AND STAFFING

The Board consists of a full-time Chair and two part-time members nominated by the Governor for six-year terms. The Board's jurisdiction includes State, county and local governments, certain special service districts, school districts and public authorities. Central offices are in Albany, with additional staff in Buffalo and Brooklyn.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Board receives 93 percent of its funding from the General Fund, which supports its mediation, fact-finding and arbitration services and administrative costs.

The Executive Budget recommends \$3.4 million in General Fund support for the Board, and the Board's remaining expenses of \$257,000 are financed by fees paid by public employers and unions for filings and publications.

PROGRAM HIGHLIGHTS

The Board provides three principal services: conciliation, settlement of petitions involving representation and rulings on charges of improper practices.

CONCILIATION

The Board provided assistance in approximately 317 of the 2,250 State and local contracts negotiated in 2002-2003. The Board has followed many of these cases through the full range of impasse resolution steps: mediation, followed by either fact-finding or arbitration and conciliation.

REPRESENTATION

Through its Office of Public Employment Practices and Representation, the Board reviews all petitions from public employee unions and employers requesting the creation of new negotiating units or the transfer of members between units. It also reviews requests to remove positions from negotiating units and may designate them management or confidential. In 2002-2003, the Board received 91 petitions raising questions about representation and conducted 6 elections for representation.

EMPLOYMENT PRACTICES

The Board conducts hearings and renders decisions on improper practice charges, and received petitions on 908 charges of improper employment and negotiating practices in the previous year. In 2002-2003, the agency wrote over 187 decisions and closed, either by

PUBLIC EMPLOYMENT RELATIONS

decision or settlement, over 860 improper practice cases. Each case must be addressed in a pre-hearing conference attended by the affected parties. If a case cannot be resolved, a Board administrative law judge must rule on the charge after conducting a formal hearing.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	3,995,000	3,657,000	(338,000)	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	3,995,000	3,657,000	(338,000)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Administration			
General Fund	39	37	(2)
Total	39	37	(2)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	3,738,000	3,400,000	(338,000)
Special Revenue Funds - Other	257,000	257,000	0
Total	3,995,000	3,657,000	(338,000)

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
General Fund	3,738,000	3,400,000	(338,000)
Special Revenue Funds - Other	257,000	257,000	0
Total	3,995,000	3,657,000	(338,000)

PUBLIC EMPLOYMENT RELATIONS

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	2,950,000	(334,000)	2,619,000	(334,000)
Total	2,950,000	(334,000)	2,619,000	(334,000)

Program	Temporary Service (Nonannual Salaried)	
	Amount	Change
Administration	331,000	0
Total	331,000	0

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	450,000	(4,000)	72,000	0
Total	450,000	(4,000)	72,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	70,000	0	299,000	(4,000)
Total	70,000	0	299,000	(4,000)

Program	Equipment	
	Amount	Change
Administration	9,000	0
Total	9,000	0

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	257,000	0	140,000	83,000
Total	257,000	0	140,000	83,000

Program	Nonpersonal Service	
	Amount	Change
Administration	117,000	(83,000)
Total	117,000	(83,000)

OFFICE OF PUBLIC SECURITY

MISSION

The Office of Public Security is responsible for the development and implementation of a comprehensive statewide counter-terrorism strategy designed to detect, protect against and respond to terrorist threats and events. The Office of Public Security directs and coordinates public security matters on behalf of the Governor among relevant State and local agencies, federal security-related agencies including the new Department of Homeland Security and other State homeland security offices.

ORGANIZATION AND STAFFING

The Office of Public Security is headquartered in Albany with an additional office in New York City. The Office is headed by a Director who is appointed by the Governor and counsels the Governor on counter-terrorism affairs, in coordination with the Governor's Senior Advisor for Counter-Terrorism and the Senior Advisor for Disaster Preparedness and Response. The Office will have a workforce of 73 in 2004-05.

PROGRAM HIGHLIGHTS

Since its creation in October 2001, the Office of Public Security has implemented a comprehensive, coordinated strategy for domestic counter-terrorism preparedness in the State of New York, bringing together agencies, organizations and associations representing all disciplines in order to better prevent and recover from possible future terrorist events.

The Office of Public Security has implemented a Counter-Terrorism Zone Network. The first of its kind in the nation, the network is used to notify local law enforcement and other government agencies of terrorism and security-related alerts. The Office has also spearheaded a security assessment of critical infrastructure in New York State, including the power grid, banking, transportation, fuel, chemical, electric, water and port industries. The office will supplement these efforts in 2004-05 by conducting a comprehensive review of security measures at all power generation and transmission facilities in New York State. Internal assessments of State agency security measures and protocols have been conducted as well, including first responder preparedness levels.

OFFICE OF CYBER SECURITY AND CRITICAL INFRASTRUCTURE COORDINATION

In September 2002, Governor Pataki determined a dedicated entity was needed to focus upon the security and disaster-readiness of the State's data and communications systems, and he created the Office of Cyber Security and Critical Infrastructure Coordination (CSCIC) to address this need. CSCIC is responsible for leading New York State's efforts regarding cyber readiness and resilience, coordinating the process by which State critical infrastructure data is collected and maintained, and monitoring the State's networks for malicious cyber activities.

CSCIC is also charged with reducing redundancy between the private sector and State/Federal government initiatives, coordinating with critical industry sectors to examine potential vulnerabilities to catastrophic cyber attack, developing New York State teams to respond to cyber incidents and improving geographic information analysis capabilities for emergency response.

PUBLIC SECURITY

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	11,916,000	14,876,000	2,960,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	11,916,000	14,876,000	2,960,000	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Administration			
General Fund	25	25	0
Cyber Security and Critical Infrastructure Coordination Program			
General Fund	4	4	0
Special Revenue Funds - Federal	1	4	3
Special Revenue Funds - Other	37	40	3
Total	67	73	6

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	7,258,000	7,258,000	0
Special Revenue Funds - Federal	0	1,000,000	1,000,000
Special Revenue Funds - Other	4,658,000	6,618,000	1,960,000
Total	11,916,000	14,876,000	2,960,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
General Fund	3,918,000	3,918,000	0
Cyber Security and Critical Infrastructure Coordination Program			
General Fund	3,340,000	3,340,000	0
Special Revenue Funds - Federal	0	1,000,000	1,000,000
Special Revenue Funds - Other	4,658,000	6,618,000	1,960,000
Total	11,916,000	14,876,000	2,960,000

PUBLIC SECURITY

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	1,529,000	0	1,529,000	0
Cyber Security and Critical Infrastructure Coordination Program	235,000	(1,130,000)	235,000	(1,130,000)
Total	1,764,000	(1,130,000)	1,764,000	(1,130,000)

**STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	2,389,000	0	1,278,000	0
Cyber Security and Critical Infrastructure Coordination Program	3,105,000	1,130,000	0	0
Total	5,494,000	1,130,000	1,278,000	0

Program	Equipment	
	Amount	Change
Administration	1,111,000	0
Cyber Security and Critical Infrastructure Coordination Program	3,105,000	1,130,000
Total	4,216,000	1,130,000

**STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Cyber Security and Critical Infrastructure Coordination Program	7,618,000	2,960,000	2,474,000	0
Total	7,618,000	2,960,000	2,474,000	0

Program	Nonpersonal Service	
	Amount	Change
Cyber Security and Critical Infrastructure Coordination Program	5,144,000	2,960,000
Total	5,144,000	2,960,000

GOVERNOR'S OFFICE OF REGULATORY REFORM

MISSION

The Governor's Office of Regulatory Reform was created in 1995 and charged with the promotion of private sector job growth in New York through the review and reform of State regulations. The Office creates a positive climate for job growth by reviewing and streamlining regulations, expediting permit approvals for new and expanding businesses, and encouraging better understanding between regulators and affected parties. Since 1995, the Office has substantively reviewed and/or eliminated more than 2,500 rules which, together with other regulatory, statutory and permitting reforms, has saved State businesses and localities more than \$3 billion in operating and other expenses.

ORGANIZATION AND STAFFING

Led by a Director appointed by the Governor, the Office is located in Albany. Agency objectives are achieved through its Business Permits Assistance and Regulatory Review programs. The Office will have a workforce of 36 positions for 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends nearly \$3.4 million in State tax dollars to support the Office. Recommended funding will support enhancements to the Online Permit and Licensing System (OPAL) that allows businesses to apply for permits and licenses in one consolidated transaction and improves New York's business climate.

PROGRAM HIGHLIGHTS

REGULATORY REVIEW

The Office reviews the need for proposed new and revised regulations and their economic impact. Staff will continue to review all existing State agency regulations to identify those hampering the growth of business and jobs in New York State. The Office requires regulating agencies to analyze the costs and benefits of any proposed new or amended rule and to consider its effect on job creation and retention, public health, safety and welfare. Recommendations are also made to agencies to help them simplify their regulatory processes. The Office has also played a key role in the innovative SEMI-NY, BUILDNOW-NY, and REBUILDNOW-NY programs to facilitate economic development.

BUSINESS PERMITS ASSISTANCE

The Business Permits Assistance program provides comprehensive information about permits required to operate businesses in New York State, and consults with local governments to better coordinate local permit applications. Program staff also assist agencies in streamlining and simplifying permit procedures required for business and local government undertakings. Since 1995, this program has provided assistance to almost 450,000 individuals or firms interested in starting or growing a business in New York. This effort was facilitated by the development of a master application form for complex business ventures, a comprehensive permit reform program and development of a web site, www.nys-permits.org, which provides businesses with permit information.

REGULATORY REFORM

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	3,115,000	3,365,000	250,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	3,115,000	3,365,000	250,000	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Administration			
General Fund	36	36	0
Total	36	36	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	3,115,000	3,365,000	250,000
Total	3,115,000	3,365,000	250,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
General Fund	3,115,000	3,365,000	250,000
Total	3,115,000	3,365,000	250,000

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	2,353,000	0	2,348,000	0
Total	2,353,000	0	2,348,000	0

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	5,000	0
Total	5,000	0

REGULATORY REFORM

STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,012,000	250,000	53,000	0
Total	1,012,000	250,000	53,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	52,000	0	720,000	250,000
Total	52,000	0	720,000	250,000

Program	Equipment	
	Amount	Change
Administration	187,000	0
Total	187,000	0

DEPARTMENT OF STATE

MISSION

Established in 1788, the Department of State (DOS) is the State's oldest agency. Historically serving as the State's general recording officer and custodian of the State's "Great Seal", the Department's mission has grown to include a broad range of activities that coordinate programs with, and provide services to, local governments and businesses. The Department protects public safety by managing arson investigation, fire prevention, building and energy code programs; administers programs for community development and local government service activities; and supports businesses through various licensing and registration activities.

ORGANIZATION AND STAFFING

The Department is headed by the Secretary of State, who is appointed by the Governor and confirmed by the Senate. The Department's central office is located in Albany. It also has 19 regional offices across the State and operates the Academy of Fire Science in Montour Falls, Schuyler County. The Department of State will have a workforce of 806 positions for 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Approximately 35 percent of the Department's operations and local aid programs are funded from fees and other income, 54 percent from Federal grants, and 11 percent from State tax dollars from the General Fund. The Executive Budget recommends funding of nearly \$138.5 million for the Department of State: \$123.5 million in Federal funding and fee revenues, and \$15 million in General Fund support. The Department has achieved savings for SFY 2004-05 through personnel controls and implementation of various management efficiencies.

The Department will continue recent technology improvements to provide enhanced internet access and online applications to better serve the State's business customers and ease the filing of documents. Grant funding is also continued for downtown revitalization projects as part of the Governor's Quality Communities Program.

PROGRAM HIGHLIGHTS

- The responsibilities of the Department of State are carried out through three programs:
- The Local Government and Community Services Program consists of several functions, including the Office of Fire Prevention and Control (OFPC), which works with both paid and volunteer firefighters by providing training, technical support and assistance with arson investigations, and conducts fire safety inspections of colleges and state-owned buildings. OFPC trains and equips an urban search and rescue team based in the Capital Region. OFPC also provides low interest loans to fire and ambulance companies through the Emergency Services Revolving Loan Program. The Division of Code Enforcement and Administration manages New York's building and energy code. In addition to these activities, Local Government and Community Services program staff provide ombudsman services to citizens; offer planning and management services to local governments through the Division of Local Government; support land use planning activities in the New York City/Catskill watershed; coordinate New York's coastal resources and waterfront revitalization activities; and administer the Department's Federal grant programs, including the Appalachian Regional Commission and the State Rural Development Council. The

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federally funded Division of Community Services provides a means for achieving economic self-sufficiency through programs designed to improve opportunities for its low-income participants.

- The Business and Licensing Services Program provides information on credit and debt for individuals, businesses and corporations; tracks all certificates of incorporation; administers qualifying examinations and licensing of 26 occupations; and prepares the State Register and other publications. This unit also oversees the operation of almost 1,900 cemeteries.
- The Administration Program provides the basic executive direction, fiscal, personnel and electronic data processing activities that support the Department's operations.

Additionally, the Department's appropriations contain funds for the Lake George Park Commission, the State Ethics Commission, the State Athletic Commission, the Committee on Open Government, and the Tug Hill Commission.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	59,748,000	59,214,000	(534,000)	17,094,000
Aid To Localities	82,591,000	79,275,000	(3,316,000)	96,220,000
Capital Projects	100,000,000	0	(100,000,000)	100,000,000
Total	242,339,000	138,489,000	(103,850,000)	213,314,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Administration			
General Fund	47	47	0
Lake George Park Commission			
Special Revenue Funds - Other	9	9	0
Licensing Services			
Special Revenue Funds - Other	370	382	12
Local Government and Community Services			
General Fund	105	98	(7)
Special Revenue Funds - Federal	58	58	0
Special Revenue Funds - Other	178	176	(2)
State Ethics Commission			
General Fund	19	19	0
Tug Hill Commission			
General Fund	17	17	0
Total	803	806	3

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	14,307,000	14,003,000	(304,000)
Special Revenue Funds - Federal	10,980,000	10,980,000	0
Special Revenue Funds - Other	34,461,000	34,231,000	(230,000)
Total	59,748,000	59,214,000	(534,000)

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
General Fund	5,448,000	5,353,000	(95,000)
Lake George Park Commission			
Special Revenue Funds - Other	1,397,000	1,465,000	68,000
Licensing Services			
Special Revenue Funds - Other	31,299,000	30,401,000	(898,000)
Local Government and Community Services			
General Fund	6,318,000	5,948,000	(370,000)
Special Revenue Funds - Federal	10,980,000	10,980,000	0
Special Revenue Funds - Other	1,712,000	2,312,000	600,000
State Ethics Commission			
General Fund	1,591,000	1,736,000	145,000
Tug Hill Commission			
General Fund	950,000	966,000	16,000
Special Revenue Funds - Other	53,000	53,000	0
Total	<u>59,748,000</u>	<u>59,214,000</u>	<u>(534,000)</u>

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	3,436,000	(337,000)	3,375,000	(340,000)
Local Government and Community Services	4,419,000	(320,000)	4,307,000	(330,000)
State Ethics Commission	1,210,000	73,000	1,210,000	73,000
Tug Hill Commission	865,000	16,000	857,000	16,000
Total	<u>9,930,000</u>	<u>(568,000)</u>	<u>9,749,000</u>	<u>(581,000)</u>
Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	60,000	15,000	1,000	(12,000)
Local Government and Community Services	112,000	10,000	0	0
State Ethics Commission	0	0	0	0
Tug Hill Commission	8,000	0	0	0
Total	<u>180,000</u>	<u>25,000</u>	<u>1,000</u>	<u>(12,000)</u>

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**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	1,917,000	242,000	392,000	222,000
Local Government and Community Services	1,529,000	(50,000)	72,000	0
State Ethics Commission	526,000	72,000	40,000	0
Tug Hill Commission	101,000	0	12,000	0
Total	4,073,000	264,000	516,000	222,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	60,000	0	1,361,000	20,000
Local Government and Community Services	79,000	9,000	288,000	3,000
State Ethics Commission	20,000	0	455,000	212,000
Tug Hill Commission	7,000	0	80,000	0
Total	166,000	9,000	2,184,000	235,000

Program	Equipment	
	Amount	Change
Administration	104,000	0
Local Government and Community Services	1,090,000	(62,000)
State Ethics Commission	11,000	(140,000)
Tug Hill Commission	2,000	0
Total	1,207,000	(202,000)

**STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Lake George Park Commission	1,465,000	68,000	578,000	0
Licensing Services	30,401,000	(898,000)	15,650,000	(862,000)
Local Government and Community Services	13,292,000	600,000	4,597,000	0
Tug Hill Commission	53,000	0	0	0
Total	45,211,000	(230,000)	20,825,000	(862,000)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Lake George Park Commission	887,000	68,000	0	0
Licensing Services	14,476,000	(311,000)	275,000	275,000
Local Government and Community Services	7,145,000	0	1,550,000	600,000
Tug Hill Commission	53,000	0	0	0
Total	22,561,000	(243,000)	1,825,000	875,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	4,491,000	1,000,000	(3,491,000)
Special Revenue Funds - Federal	63,700,000	63,700,000	0
Special Revenue Funds - Other	14,400,000	14,575,000	175,000
Total	82,591,000	79,275,000	(3,316,000)

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Licensing Services			
Special Revenue Funds - Other	300,000	475,000	175,000
Local Government and Community Services			
General Fund	4,491,000	1,000,000	(3,491,000)
Special Revenue Funds - Federal	63,700,000	63,700,000	0
Special Revenue Funds - Other	14,100,000	14,100,000	0
Total	<u>82,591,000</u>	<u>79,275,000</u>	<u>(3,316,000)</u>

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2003-04	Recommended 2004-05	Change	Reappropriations 2004-05
Local Government and Community Services				
Capital Projects Fund - Authority Bonds	100,000,000	0	(100,000,000)	100,000,000
Total	<u>100,000,000</u>	<u>0</u>	<u>(100,000,000)</u>	<u>100,000,000</u>

DIVISION OF STATE POLICE

MISSION

The Division of State Police promotes highway safety and protects our citizens from crime. It is responsible for patrolling roads and highways outside major urban centers, and providing specialty and investigative police services throughout the State. The work of the State Police ranges from the traditional patrol duties to that of specially trained investigators who conduct sophisticated operations against drug traffickers and other criminals.

ORGANIZATION AND STAFFING

The Division, headed by a Superintendent who is appointed by the Governor, is organized into a Division Headquarters located in Albany and 11 Troops. Each Troop encompasses a geographic area of the State, with the exception of Troop "T" which is dedicated to providing police services on the New York State Thruway. Troop "NYC" provides specialized investigative and support services in the five boroughs of New York City. The remaining nine Troops provide patrol coverage and criminal investigation services appropriate to local conditions. Factors which affect services include population, highway mileage and availability of county and local law enforcement agencies.

Approximately 88 percent of the Division's total staffing of 5,608 for 2004-05 are paid for with State tax dollars, with the remaining 12 percent supported by various other revenue sources, including Federal funds.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Approximately \$328 million in State tax dollars support the Division's operations budget. The balance is financed by Federal funds and various State revenue sources, including seized assets, a portion of the State's monthly surcharge on cellular telephone bills, fees for accident reports and reimbursement from the New York State Thruway Authority for services rendered on the State Thruway. The cost of Division security for the Niagara Falls casino which opened December 31, 2002 is reimbursed by the Seneca Nation, as are costs for the Mohawk and Oneida casinos at Akwesasne and Turning Stone respectively. Additional revenue raised from the annual fee on insurance policies of all registered motor vehicles will support \$51.3 million of vital State Police public safety operations. The recommendations also include appropriations for Federal grants that support school safety and electronic ticketing initiatives.

New Capital appropriations totaling \$2.8 million will enable the State Police to maintain Troop facilities and advance various projects related to health and safety.

PROGRAM HIGHLIGHTS

The Division is divided into two principal branches, the Uniform Force and the Bureau of Criminal Investigation. Each of these branches fulfills specific law enforcement assignments and cooperates with local and county law enforcement agencies. In the coming year, the Division will continue to play a significant role in Homeland Security initiatives.

UNIFORM FORCE

The Uniform Force has two primary responsibilities: protecting life and property and promoting highway safety. In many areas of the State, Uniform Troopers are the primary law enforcement agency, and respond to all types of calls including burglaries, missing children, assaults, robberies and homicides. State Troopers also provide essential support to local police departments.

STATE POLICE

BUREAU OF CRIMINAL INVESTIGATION

The Bureau of Criminal Investigation (BCI) is the plain clothes investigative branch of the Division. BCI Investigators concentrate on cases related to narcotics, child abuse, auto theft, consumer product tampering, organized crime, violent crimes and terrorism. In addition to conducting their own investigations, investigators regularly assist local and county law enforcement agencies that lack the resources or expertise needed for major crime investigations.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	518,698,300	507,479,000	(11,219,300)	19,364,000
Aid To Localities	0	0	0	0
Capital Projects	2,800,000	2,800,000	0	5,608,000
Total	521,498,300	510,279,000	(11,219,300)	24,972,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Administration			
General Fund	209	209	0
Criminal Investigation Activities			
General Fund	1,096	1,096	0
Special Revenue Funds - Federal	66	66	0
Special Revenue Funds - Other	40	92	52
Patrol Activities			
General Fund	2,886	2,886	0
Special Revenue Funds - Federal	106	106	0
Special Revenue Funds - Other	36	36	0
Patrol Activities			
Special Revenue Funds - Other	4	4	0
Policing the Thruway			
Special Revenue Funds - Other	340	340	0
Technical Police Services			
General Fund	725	767	42
Special Revenue Funds - Other	6	6	0
Total	5,514	5,608	94

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

<u>Fund Type</u>	<u>Available 2003-04</u>	<u>Recommended 2004-05</u>	<u>Change</u>
General Fund	359,628,700	327,488,000	(32,140,700)
Special Revenue Funds - Federal	11,658,000	16,372,000	4,714,000
Special Revenue Funds - Other	147,411,600	163,619,000	16,207,400
Total	<u>518,698,300</u>	<u>507,479,000</u>	<u>(11,219,300)</u>
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(30,486,700)		
Special Revenue Funds - Other	(5,195,600)		
Transfer(s) To			
Criminal Justice Services, Division of			
Special Revenue Funds - Federal	6,239,000		
Appropriated 2003-04	<u>489,255,000</u>		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

<u>Program</u>	<u>Available 2003-04</u>	<u>Recommended 2004-05</u>	<u>Change</u>
Administration			
General Fund	12,356,244	10,765,000	(1,591,244)
Special Revenue Funds - Other	208,000	208,000	0
Criminal Investigation Activities			
General Fund	97,259,341	92,845,000	(4,414,341)
Special Revenue Funds - Federal	300,000	810,000	510,000
Special Revenue Funds - Other	15,283,000	15,645,000	362,000
Patrol Activities			
General Fund	185,511,030	161,900,000	(23,611,030)
Special Revenue Funds - Federal	11,358,000	15,562,000	4,204,000
Special Revenue Funds - Other	56,114,000	65,258,000	9,144,000
Policing the Thruway			
Special Revenue Funds - Other	39,995,600	45,197,000	5,201,400
Technical Police Services			
General Fund	64,502,085	61,978,000	(2,524,085)
Special Revenue Funds - Other	35,811,000	37,311,000	1,500,000
Total	<u>518,698,300</u>	<u>507,479,000</u>	<u>(11,219,300)</u>

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

<u>Program</u>	<u>Total</u>		<u>Personal Service Regular (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	10,191,000	(1,173,331)	10,191,000	(1,105,930)
Criminal Investigation Activities	87,594,000	(4,285,113)	87,594,000	(4,159,745)
Patrol Activities	209,200,000	(11,223,068)	209,200,000	(8,013,770)
Technical Police Services	36,219,000	(3,214,888)	36,219,000	(3,067,055)
Total	<u>343,204,000</u>	<u>(19,896,400)</u>	<u>343,204,000</u>	<u>(16,346,500)</u>

<u>Program</u>	<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>
Administration	0	(67,401)
Criminal Investigation Activities	0	(125,368)
Patrol Activities	0	(3,209,298)
Technical Police Services	0	(147,833)
Total	<u>0</u>	<u>(3,549,900)</u>

STATE POLICE

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	574,000	(352,000)	90,000	0
Criminal Investigation Activities	5,251,000	0	86,000	0
Patrol Activities	4,000,000	0	0	0
Technical Police Services	34,859,000	842,000	2,680,000	0
Total	44,684,000	490,000	2,856,000	0

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	80,000	0	396,000	0
Criminal Investigation Activities	70,000	0	491,000	0
Patrol Activities	1,320,000	0	626,000	0
Technical Police Services	3,761,000	0	18,284,000	842,000
Total	5,231,000	0	19,797,000	842,000

Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration	8,000	0	0	(352,000)
Criminal Investigation Activities	4,231,000	0	0	0
Patrol Activities	1,684,000	0	0	0
Technical Police Services	10,134,000	0	0	0
Total	16,057,000	0	0	(352,000)

Program	Maintenance Undistributed	
	Amount	Change
Administration	0	0
Criminal Investigation Activities	373,000	0
Patrol Activities	370,000	0
Technical Police Services	0	0
Total	743,000	0

**STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	208,000	0	0	0
Criminal Investigation Activities	16,455,000	872,000	6,767,000	339,000
Patrol Activities	80,820,000	13,348,000	61,019,000	8,595,000
Policing the Thruway	45,197,000	5,201,400	31,069,000	2,459,000
Technical Police Services	37,311,000	1,500,000	0	0
Total	179,991,000	20,921,400	98,855,000	11,393,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	208,000	0	0	0
Criminal Investigation Activities	9,478,000	323,000	210,000	210,000
Patrol Activities	19,801,000	4,753,000	0	0
Policing the Thruway	14,128,000	2,742,400	0	0
Technical Police Services	33,511,000	0	3,800,000	1,500,000
Total	77,126,000	7,818,400	4,010,000	1,710,000

STATE POLICE

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2003-04	Recommended 2004-05	Change	Reappropriations 2004-05
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	2,800,000	2,800,000	0	5,329,000
New Facilities				
Capital Projects Fund	0	0	0	279,000
Total	<u>2,800,000</u>	<u>2,800,000</u>	<u>0</u>	<u>5,608,000</u>

DIVISION OF VETERANS' AFFAIRS

MISSION

The Division of Veterans' Affairs assists veterans, members of the armed forces and their families and dependents in securing benefits earned through military service. The Division coordinates veterans' programs and services offered by other State agencies, works closely with the Federal Department of Veterans' Affairs and advocates State and Federal actions to meet veterans' needs. The Division serves more than 1.2 million New Yorkers who are veterans, as well as their dependents and those on active duty.

ORGANIZATION AND STAFFING

The Division is headed by a Director who is appointed by the Governor. The Division's central office is in Albany, with regional offices in New York City and Buffalo. The Division staffs a network of approximately 70 community based access points in nearly all of the State's counties and New York City. The Division will have 113 employees in 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends \$13.3 million for the Division of Veterans' Affairs to fund administration and veterans' counseling services. The Office of General Services will continue to provide administrative support, permitting the Division to focus on its main mission, serving the State's veterans and their families. The Blind Veteran Annuity Assistance Program will be maintained at the \$5 million level. In addition, State aid is continued for local county and city veterans' service agencies and Federal appropriations for the Veterans' Education Program are maintained.

PROGRAM HIGHLIGHTS

The largest of the Division of Veterans' Affairs three principal programs, the Veterans' Counseling Program, provides counseling and claims services through a statewide network of State veterans' counselors. The counselors— who are all veterans — and support staff help veterans complete and file applications for benefits and services, and file for Federal, State, local and private veterans' benefits. They also help veterans access the services provided by Veterans' Affairs Medical Centers, senior centers, State Veterans' Homes, local nursing homes and public assistance offices.

The Division of Veterans' Affairs is responsible for securing a substantial portion of the more than \$1 billion annually in recurring Federal VA payments made directly to New York State veterans and their dependents. During the 2002-03 operating period, State veterans' counselors filed 8,000 benefit claims, averaging more than 150 claims per year per counselor. Claims filed by State veterans counselors produce approximately \$61 million in new and recurring Federal benefits annually. On average, each State veterans' counselor generates nearly \$1.4 million a year in new awards for veterans and their dependents. They also assist constituents in obtaining additional veterans benefits, including VA medical care, various other Federal, State and local economic assistance, tax exemptions and a variety of other benefits offered in recognition of military service. Additionally, with the enactment of the New York Patriot Plan in 2003, State veterans' counselors help to ensure that active duty military personnel and their families receive the supplemental benefits to which they are now entitled.

The Division intends to pursue a new partnership with the Federal Department of Veteran's Affairs Health Administration to obtain reimbursement for counseling and outreach services by State veteran's counselors at VA medical centers and clinics.

VETERANS' AFFAIRS

The Blind Veteran Annuity Program provides visually impaired veterans and eligible surviving spouses with monthly assistance totaling \$1,000 annually for each recipient. In 2004-2005, this program will assist more than 4,000 recipients.

The Veterans' Education Program certifies post-secondary educational and vocational programs as providing quality education and training to veterans eligible for G. I. benefits. During the 2002-03 operating period, more than 800 programs were approved for use by veterans receiving benefits.

The Division of Veterans' Affairs also continues to maintain its community outreach activities through senior citizens' seminars and similar forums for women veterans. It is also the driving force behind the Interagency Veterans' Council, a consortium of Federal, State and local agencies and non-profit organizations that meets periodically to address and resolve problems and concerns within the veteran community. The Division is also collaborating with various state agencies to better identify veterans and their dependents on public assistance, to assist them in securing Federal veterans' benefits to which they are entitled, and to advise senior citizens of veterans' benefits and services that could improve the quality of their lives.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	7,549,000	7,547,000	(2,000)	3,036,000
Aid To Localities	5,575,000	5,775,000	200,000	0
Capital Projects	0	0	0	0
Total	13,124,000	13,322,000	198,000	3,036,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Administration			
General Fund	10	10	0
Higher Education			
Special Revenue Funds - Federal	12	12	0
Veteran Counseling Services Program			
General Fund	91	91	0
Total	113	113	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	5,267,000	5,265,000	(2,000)
Special Revenue Funds - Federal	2,282,000	2,282,000	0
Total	7,549,000	7,547,000	(2,000)

VETERANS' AFFAIRS

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
General Fund	723,000	698,000	(25,000)
Higher Education			
Special Revenue Funds - Federal	1,518,000	1,518,000	0
Veteran Counseling Services Program			
General Fund	4,544,000	4,567,000	23,000
Special Revenue Funds - Federal	764,000	764,000	0
Total	<u>7,549,000</u>	<u>7,547,000</u>	<u>(2,000)</u>

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	591,000	(27,000)	591,000	(27,000)
Veteran Counseling Services Program	4,401,000	14,000	4,401,000	14,000
Total	<u>4,992,000</u>	<u>(13,000)</u>	<u>4,992,000</u>	<u>(13,000)</u>

**STATE OPERATIONS - GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Contractual Services	
	Amount	Change	Amount	Change
Administration	107,000	2,000	107,000	2,000
Veteran Counseling Services Program	430,000	9,000	380,000	9,000
Total	<u>537,000</u>	<u>11,000</u>	<u>487,000</u>	<u>11,000</u>

Program	Maintenance Undistributed	
	Amount	Change
Administration	0	0
Veteran Counseling Services Program	50,000	0
Total	<u>50,000</u>	<u>0</u>

**STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS
SUMMARY OF APPROPRIATIONS AND CHANGES
2004-05 RECOMMENDED
(dollars)**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Higher Education	1,518,000	0	940,000	0
Veteran Counseling Services Program	764,000	0	0	0
Total	<u>2,282,000</u>	<u>0</u>	<u>940,000</u>	<u>0</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Higher Education	578,000	0	0	0
Veteran Counseling Services Program	500,000	0	264,000	0
Total	<u>1,078,000</u>	<u>0</u>	<u>264,000</u>	<u>0</u>

VETERANS' AFFAIRS

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)**

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	5,575,000	5,775,000	200,000
Total	5,575,000	5,775,000	200,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2003-04	Recommended 2004-05	Change
Administration			
General Fund	0	200,000	200,000
Blind Veteran Annuity Assistance			
General Fund	5,000,000	5,000,000	0
Veteran Counseling Services Program			
General Fund	575,000	575,000	0
Total	5,575,000	5,775,000	200,000

WORKERS' COMPENSATION BOARD

MISSION

To protect the well-being of New York's labor force, most private and public sector employers in New York are required to carry workers' compensation and disability benefits insurance for their employees or demonstrate their ability to self-insure. The Workers' Compensation Board reviews claims for workers' compensation payments and assists in resolving disputed claims. In performing these responsibilities, the Board administers provisions of the following laws: the Workers' Compensation Law; the Disability Benefits Law; the Civil Defense Volunteers' Law; the Volunteer Firefighters' Benefit Law; and the Volunteer Ambulance Workers' Benefit Law.

Because of its broad mission, the Board is responsible for implementing many of the reforms included in the Governor's New York Employment, Safety and Security Act that made historic reforms in the workers' compensation system. The Act improved workplace safety and reduced average workers' compensation rates by approximately 35 percent since enactment of the reforms.

ORGANIZATION AND STAFFING

The Board is headed by a Chairperson selected by the Governor and includes 12 additional full-time members nominated by the Governor and confirmed by the Senate for seven year terms. District offices are located in Albany, Binghamton, Brooklyn, Buffalo, Hauppauge, Hempstead, Manhattan, Peekskill, Queens, Rochester and Syracuse, with administrative offices in Albany. To make the hearing process as convenient as possible, the Board has 30 additional customer service centers located throughout the State. The Board will have a workforce of 1,544 positions for 2004-05.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Board is funded through assessments on the Workers' Compensation and Disability Benefits insurance industry and with revenues produced by various services provided by the Board. The assessments paid by insurers are allocated to three major programs: Disability Benefits; Systems Modernization; and Workers' Compensation.

The 2004-05 Executive Budget recommends approximately \$170.2 million in support for the Board. The recommended funding will enable the Board to fulfill the Governor's commitment to improve service to businesses and injured workers.

PROGRAM HIGHLIGHTS

The Board continues to improve services by resolving claims in the most efficient and equitable manner possible. For instance, the agency continues to refine its formal and informal adjudication tools and resolve more outstanding claims issues for new and reactivated cases.

At the Governor's direction, the Board also created a new Workers' Compensation Fraud Inspector General with staff located across the State. In 2002, investigations by the Inspector General's office resulted in 106 arrests and \$4.6 million in victim restitution, both of which were record high levels.

DISABILITY BENEFITS PROGRAM

Disability benefits provide cash payments in lieu of lost wages to temporarily disabled employees. Coverage is required for employers of one or more employees with such selected exceptions as agricultural enterprises and public employers.

WORKERS' COMPENSATION

SYSTEMS MODERNIZATION PROGRAM

The Office for Systems Modernization manages a multi-year effort to overhaul the Board's capacity to manage and use information. This program also maintains computerized systems vital to various Board operations and collects and analyzes data that measure performance and identify potential problems. Now that the Board has automated and improved the claims processing operation, its focus continues to be directed to other functional areas in need of automation and re-engineering, including Administration, Research and Compliance and Regulatory Services. When the modernization program is complete, the Board will have a new Workers' Compensation Board Information System that will automate and streamline all business activities. This has already increased productivity by enhancing the Board's capacity to process the millions of pieces of information it receives each year.

WORKERS' COMPENSATION PROGRAM

The Workers' Compensation Board reviews workers' claims for benefits, reports of injury filed by employers and medical reports from physicians and other health care providers. The Board adjudicates all issues and law judges make awards and findings to ensure that an entitled claimant promptly receives benefits and medical treatment. The decisions by the law judges may subsequently be appealed to Board panels and eventually to the courts. The Board, through its Bureau of Compliance, also monitors employers to ensure that they properly provide coverage for their employees and imposes penalties on those employers who fail to do so. In addition, the Board's Regulatory Services Bureau authorizes physicians to treat compensation cases, awards licenses to medical providers and arbitrates disputed medical bills.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	167,507,000	170,257,000	2,750,000	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	167,507,000	170,257,000	2,750,000	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Disability Benefits			
Special Revenue Funds - Other	51	51	0
Systems Modernization			
Special Revenue Funds - Other	81	81	0
Workers Compensation			
Special Revenue Funds - Other	1,412	1,412	0
Total	1,544	1,544	0

WORKERS' COMPENSATION

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
Special Revenue Funds - Other	167,507,000	170,257,000	2,750,000
Total	<u>167,507,000</u>	<u>170,257,000</u>	<u>2,750,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Disability Benefits			
Special Revenue Funds - Other	6,727,000	6,861,000	134,000
Systems Modernization			
Special Revenue Funds - Other	35,616,000	35,694,000	78,000
Workers Compensation			
Special Revenue Funds - Other	125,164,000	127,702,000	2,538,000
Total	<u>167,507,000</u>	<u>170,257,000</u>	<u>2,750,000</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Disability Benefits	6,861,000	134,000	3,492,000	(55,000)
Systems Modernization	35,694,000	78,000	4,209,000	(66,000)
Workers Compensation	127,702,000	2,538,000	65,941,000	(1,029,000)
Total	<u>170,257,000</u>	<u>2,750,000</u>	<u>73,642,000</u>	<u>(1,150,000)</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Disability Benefits	3,369,000	189,000	0	0
Systems Modernization	31,485,000	144,000	0	0
Workers Compensation	61,145,000	3,567,000	616,000	0
Total	<u>95,999,000</u>	<u>3,900,000</u>	<u>616,000</u>	<u>0</u>