

OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

MISSION

The Office of Mental Retardation and Developmental Disabilities (OMRDD) serves and supports individuals and families of individuals with developmental disabilities. OMRDD works with local governments and non-profit providers to oversee a comprehensive system for delivery of services to people who have developmental disabilities. Both institutional and community-based services are delivered through a network of community programs operated by non-profit providers, State Developmental Centers and numerous State-operated programs based in the community.

ORGANIZATION AND STAFFING

Under the direction of a Commissioner appointed by the Governor, OMRDD currently operates through district offices, called Developmental Disabilities Services Offices (DDSO). Its Central Office oversees and supports operations of the district offices.

OMRDD will have a workforce of 22,637 for 2004-05, most of whom work directly with consumers of services. The remainder comprises supervisors of direct care workers, clinicians, administrative support and management personnel.

By the end of 2004-05, OMRDD will operate some 500 developmental center beds, along with 1,189 special unit beds. From 1995 to the end of the 2004-05 fiscal year, the State will have moved nearly 3,700 people from institutional care into more appropriate community settings.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Total funding of more than \$2.9 billion supports a comprehensive system of care serving more than 125,000 persons with disabilities and their families, reflecting the Governor's ongoing commitment to serving the needs of persons with developmental disabilities. The Executive Budget recommendations for 2004-05 preserve essential direct care and maintain the quality of services for consumers in both non-profit and OMRDD-operated programs, despite the need to implement cost-savings measures in the face of the State's fiscal difficulties. Under these recommendations:

- OMRDD's Central Coordination and Support Program will receive \$60.5 million to provide policy direction and technical support to the entire service system;
- OMRDD's Community Services Program will receive more than \$2.3 billion to fund hundreds of State-operated residential and day programs and such ancillary services as clinics and transportation networks, as well as to support local governments and a network of more than 600 non-profit agencies;
- OMRDD's Institutional Services Program will receive total funding of nearly \$410 million to support residential care and habilitative services for people in developmental centers and special treatment units;
- OMRDD's Research in Mental Retardation Program will receive nearly \$15.7 million in State funds to conduct basic and clinical research into the causes, treatment and prevention of mental retardation and related developmental disabilities; and
- OMRDD's new capital appropriations will total \$89.1 million for the maintenance of existing institutions, community residential and day program sites, as well as the development and renovation of residential and day programming sites and other expanded bed capacity.

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The 2004-05 Executive Budget fully funds the Governor's nationally acclaimed initiative known as New York State-Creating Alternatives in Residential Environments and Services (NYS-CARES), thereby maintaining his commitment to serve those developmentally disabled New Yorkers on waiting lists for residential services. Over 8,500 persons have already left the waiting list for homes in the community, far exceeding the original NYS-CARES goal established in 1998. During this time, OMRDD has continually worked with families to review the waiting list and verify their needs. OMRDD has also instituted a survey designed by consumers, families and other stakeholders, which is used to evaluate consumer satisfaction and the success of residential placements under NYS-CARES, and to identify areas in need of improvement. As a result of this collaboration and the success of the original initiative, the Governor introduced NYS-CARES II in 2003-04 designed to develop additional services to meet families' articulated needs, including: an additional 1,900 new out-of-home residential opportunities beyond the almost 4,900 new beds committed to in 1998; another 600 new opportunities for in-home services; 370 new opportunities for day services; and additional funding for family support services to help individuals stay with their families as long as possible. By the end of the decade, OMRDD will have developed a total of 6,800 new residential beds under NYS-CARES which, when coupled with the more than 37,000 funded community beds already in the system, will provide ample placement opportunities to individuals on the residential waiting list.

The 2004-05 Executive Budget also supports the Governor's New York State – Options for People Through Services (NYS-OPTS) initiative. NYS-OPTS represents a system of services that will afford individuals with developmental disabilities with the greatest flexibility and array of choices about their own care in a manner that maximizes available funds and efficiently manages operations. Predicated upon an organized health care delivery system model, the NYS-OPTS program is focused on system and fiscal reform of the primarily Medicaid-funded system. NYS-OPTS and its guiding principles of partnership, inclusion, flexibility of services and customer satisfaction have been developed by major system stakeholders including: people currently receiving services, family members, advocates, providers, State staff and local government representatives.

The effort to place individuals residing in the developmental centers into more appropriate community settings will also continue in 2004-05. An additional 100 individuals will leave institutions for care in their own communities, and new admissions will be limited to emergency situations involving persons with special needs and those entering special treatment units. Projections are that by the end of 2004-05, there will be only 500 people statewide living in developmental centers. OMRDD remains committed to deinstitutionalization for all consumers who can be appropriately cared for in community settings.

The 2004-05 Executive Budget supports additional residential services for at-risk children who have significant service needs. In addition, the Budget funds 20 new Multiply Disabled Unit openings for Office of Mental Health patients who are dually diagnosed with both developmental disabilities and mental illness, but whose primary diagnosis is mental retardation/developmental disability. All of these individuals will transfer from the Office of Mental Health's auspice to OMRDD, where they will receive more appropriate care.

OMRDD continues to improve the efficiency and cost-effectiveness of its operations and the network of non-profit providers it supports. In 2004-05 the agency will undertake efforts to:

- Emphasize use of less costly services, when appropriate;
- Continue to aggressively pursue non-General Fund financial support for all programs;
- Maximize use of existing service capacity;
- Utilize internal controls on billing procedures;
- Continue to diligently review eligibility for services;
- Streamline and update, as appropriate, non-profit provider funding to realize \$31.5 million in efficiencies, while at the same time continuing long standing reimbursement practices designed to stabilize the non-profit workforce;
- Continue strict controls on hiring in non-patient-care positions in both the DDSOs and Central Office;

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- Provide more efficient employee and provider training;
- Limit non-personal service expenditures, especially non-essential categories such as travel and new equipment purchases; and
- Further reduce overtime expenditures.

Infrastructure throughout the State and not-for-profit systems will be maintained through new capital appropriations of \$89.1 million and reappropriations of \$191.4 million. Capital appropriations for institutional projects will focus on the need to reinvest resources into preserving and maintaining long-term facilities, mostly for a core population of consumers who have severe behavioral treatment needs and/or have been involved with the criminal justice system.

Capital projects in the community reflect a continued investment to maintain both State and voluntary not-for-profit community-based sites, and to develop State-operated residential placements for adults in developmental centers and on the NYS-CARES waiting list. While minimal bonded appropriations are required to address funding needs for small providers that cannot obtain alternative financing, the vast majority of voluntary not-for-profit capital development needs for NYS-CARES and other program expansion initiatives will continue to be met primarily through the use of non-State revenue such as Federal Housing and Urban Development (HUD) grants and private financing.

In total, these recommendations — through the combination of new and currently operating programs — will support an estimated 6,900 new service opportunities in the community during 2004-05. This reflects 1,800 people newly-placed in residential settings and 5,100 new service opportunities in non-residential programs. Community placements will be targeted for people in developmental centers and for mandated populations, as well as people on community waiting lists. Services to individuals and families have also been preserved within family support services.

PROGRAM HIGHLIGHTS

OMRDD serves more than 125,000 New Yorkers with developmental disabilities, which include mental retardation, epilepsy, cerebral palsy, neurological impairments and autism. In recent years, New York has made great strides in improving its methods of delivering services to this vulnerable population, moving more people from institutions to the community than any other state in the nation. In addition, New York continues to place greater emphasis on consumer choice and satisfaction.

Under a 1991 Federal Medicaid waiver, consumers have the opportunity to receive individualized services in less restrictive settings. With the aid of trained service coordinators, consumers choose the services they need and receive them in appropriate settings. New York commits more resources to its Home and Community Based Services (HCBS) Waiver program than any state in the nation, investing almost three times as much as the next highest state offering services under a waiver. The HCBS Waiver also promotes smaller, more natural home environments. Home size has continued to decrease, thereby supporting individualized, quality services. In 1994-95, 49 percent of the homes in the community were six beds or less. Today, 62 percent of the homes in the community are six beds or less; nearly two-thirds of these are four beds or less.

Maintaining a statewide system of services, delivered through both the State and non-profit providers, OMRDD:

- Provides approximately 37,000 persons with certified residential services;
- Provides almost 63,000 persons with community day services; and
- Assists in the care of approximately 60,000 persons with developmental disabilities who are living in their own homes.

An overview of these services is provided below.

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RESIDENTIAL SERVICES

Residential services are offered through a continuum of programs in both community and institutional settings. The alternative that provides the most intensive services in a community setting is the Intermediate Care Facility for the Developmentally Disabled (ICF/DD). These facilities — some 7,200 beds operated statewide by both State and not-for-profit providers — are appropriate for individuals requiring 24-hour care. A typical community ICF has 10 to 12 beds.

The most common residential placement for persons under the waiver is in Individual Residential Alternatives (IRAs) — homes, typically for four to eight consumers, operated by either the State or not-for-profit providers in the community. This budget supports more than 22,000 IRA beds and accompanying service coordination. Other community living arrangements include Community Residences and Family Care homes, which serve more than 5,700 people.

In addition to these community-based programs, OMRDD operates ten campuses across the State. Some 1,700 people will be served in these settings by the end of 2004-05, of which more than 70 percent will be receiving specialized services. OMRDD remains committed to providing community residential opportunities for all those in State institutions who can receive appropriate care in the community. Currently, New York serves more persons in community residential settings than any other state, with the exception of California.

DAY SERVICES

OMRDD supports an array of day services for persons with developmental disabilities, which also vary depending on the needs of the consumer. The major programs include:

- Day treatment which provides diagnostic, treatment and rehabilitative services;
- Day habilitation, a smaller more individualized service for persons under the Federal Medicaid waiver for home and community based services;
- Supported employment, which provides the opportunity for individuals to work in competitive positions, usually in integrated settings in the private sector;
- Sheltered workshops, which provide basic, non-competitive work opportunities; and
- Day training, which develops the knowledge and skills that enable persons with developmental disabilities to improve their personal, social, and vocational skills and to function independently.

SUPPORT FOR CONSUMERS LIVING IN THEIR OWN HOMES

OMRDD services also support families and individuals in their own homes. The Family Support Services program assists families in caring for approximately 50,000 persons with developmental disabilities, enabling these individuals to remain at home. Services offered include respite, crisis intervention, case management, recreation, information and referral and home care.

The Individualized Support Services program provides services to nearly 1,500 disabled individuals who live independently. In addition, more than 8,500 individuals living in their own homes receive residential habilitation under the Federal Medicaid waiver.

The Care at Home program provides a third alternative, designed to preserve family settings for persons under 18 years of age with developmental disabilities, who are medically frail. This program allows the parents of young persons with severe disabilities to maintain the child at home, regardless of family income level, thereby preventing more costly out-of-home placements.

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ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	1,213,418,000	1,266,096,000	52,678,000	80,000
Aid To Localities	1,397,845,000	1,546,841,000	148,996,000	442,000
Capital Projects	90,081,000	89,136,000	(945,000)	191,440,000
Total	<u>2,701,344,000</u>	<u>2,902,073,000</u>	<u>200,729,000</u>	<u>191,962,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
Central Coordination and Support			
General Fund	785	785	0
Community Services			
General Fund	16,165	16,165	0
Institutional Services			
General Fund	5,284	5,506	222
Enterprise Funds	1	1	0
Research in Mental Retardation			
General Fund	217	180	(37)
Total	<u>22,452</u>	<u>22,637</u>	<u>185</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	168,341,000	144,312,000	(24,029,000)
Special Revenue Funds - Federal	80,000	230,000	150,000
Special Revenue Funds - Other	1,042,497,000	1,119,054,000	76,557,000
Enterprise Funds	2,350,000	2,350,000	0
Internal Service Funds	150,000	150,000	0
Total	<u>1,213,418,000</u>	<u>1,266,096,000</u>	<u>52,678,000</u>

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Central Coordination and Support			
General Fund	58,593,000	60,153,000	1,560,000
Special Revenue Funds - Federal	80,000	230,000	150,000
Internal Service Funds	150,000	150,000	0
Community Services			
General Fund	760,041,000	779,901,000	19,860,000
Institutional Services			
General Fund	374,329,000	407,137,000	32,808,000
Special Revenue Funds - Other	504,000	504,000	0
Enterprise Funds	2,350,000	2,350,000	0
Maintenance Undistributed			
General Fund	(1,041,943,000)	(1,118,500,000)	(76,557,000)
Special Revenue Funds - Other	1,041,943,000	1,118,500,000	76,557,000
Research in Mental Retardation			
General Fund	17,321,000	15,621,000	(1,700,000)
Special Revenue Funds - Other	50,000	50,000	0
Total	<u>1,213,418,000</u>	<u>1,266,096,000</u>	<u>52,678,000</u>

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Central Coordination and Support	42,383,000	(734,000)	40,100,000	(734,000)
Community Services	668,518,000	13,375,000	650,872,000	13,373,521
Institutional Services	242,790,000	21,893,000	232,861,000	20,000,000
Research in Mental Retardation	13,705,000	(1,700,000)	13,275,000	(1,700,000)
Total	<u>967,396,000</u>	<u>32,834,000</u>	<u>937,108,000</u>	<u>30,939,521</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Central Coordination and Support	2,030,000	0	253,000	0
Community Services	5,177,000	632	12,469,000	847
Institutional Services	1,934,000	893,000	7,995,000	1,000,000
Research in Mental Retardation	260,000	0	170,000	0
Total	<u>9,401,000</u>	<u>893,632</u>	<u>20,887,000</u>	<u>1,000,847</u>

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STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Central Coordination and Support	17,770,000	2,294,000	497,000	200
Community Services	111,383,000	6,485,000	40,475,000	2,500,000
Institutional Services	164,347,000	10,915,000	25,316,000	2,000,000
Research in Mental Retardation	1,916,000	0	719,000	0
Total	<u>295,416,000</u>	<u>19,694,000</u>	<u>67,007,000</u>	<u>4,500,200</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Central Coordination and Support	1,121,000	(100)	14,693,000	1,998,500
Community Services	6,662,000	601,000	50,771,000	2,500,000
Institutional Services	1,723,000	527,000	29,719,000	2,000,000
Research in Mental Retardation	57,000	0	871,000	0
Total	<u>9,563,000</u>	<u>1,127,900</u>	<u>96,054,000</u>	<u>6,498,500</u>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support	1,459,000	295,400	0	0
Community Services	5,887,000	601,000	7,588,000	283,000
Institutional Services	3,011,000	700,000	104,578,000	5,688,000
Research in Mental Retardation	269,000	0	0	0
Total	<u>10,626,000</u>	<u>1,596,400</u>	<u>112,166,000</u>	<u>5,971,000</u>

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Central Coordination and Support	380,000	150,000	0	0
Institutional Services	2,854,000	0	274,000	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>3,284,000</u>	<u>150,000</u>	<u>274,000</u>	<u>0</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support	0	0	380,000	150,000
Institutional Services	2,580,000	0	0	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>2,630,000</u>	<u>0</u>	<u>380,000</u>	<u>150,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available	Recommended	Change
	2003-04	2004-05	
General Fund	664,145,000	709,146,000	45,001,000
Special Revenue Funds - Other	733,700,000	837,695,000	103,995,000
Total	<u>1,397,845,000</u>	<u>1,546,841,000</u>	<u>148,996,000</u>

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AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Community Services			
General Fund	664,145,000	709,146,000	45,001,000
Special Revenue Funds - Other	733,700,000	837,695,000	103,995,000
Total	<u>1,397,845,000</u>	<u>1,546,841,000</u>	<u>148,996,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2003-04	Recommended 2004-05	Change	Reappropriations 2004-05
Design and Construction Supervision				
Capital Projects Fund	2,000,000	2,000,000	0	0
Mental Hygiene Capital Improvement Fund	3,000,000	3,000,000	0	0
Voluntary-Operated Community Facilities				
Capital Projects Fund	14,815,000	15,083,000	268,000	3,375,000
Mental Hygiene Capital Improvement Fund	6,926,000	5,002,000	(1,924,000)	19,515,000
State-Operated Community Services Program				
Capital Projects Fund	13,886,000	13,973,000	87,000	5,300,000
Mental Hygiene Capital Improvement Fund	5,000,000	5,000,000	0	16,650,000
Institutional Services Program				
Capital Projects Fund	17,450,000	17,808,000	358,000	38,600,000
Mental Hygiene Capital Improvement Fund	26,004,000	26,270,000	266,000	107,000,000
Non-Bondable				
Capital Projects Fund	1,000,000	1,000,000	0	1,000,000
Total	<u>90,081,000</u>	<u>89,136,000</u>	<u>(945,000)</u>	<u>191,440,000</u>