

OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

MISSION

The Office of Alcoholism and Substance Abuse Services (OASAS) is responsible for licensing and evaluating service providers, and for implementing and advocating policies and programs for the prevention, early intervention and treatment of alcoholism and substance abuse. In conjunction with local governments, providers and communities, the Office works to ensure that a full range of necessary and cost-effective prevention and treatment services is available statewide.

ORGANIZATION AND STAFFING

The Office of Alcoholism and Substance Abuse Services is headed by a Commissioner, responsible for the development and management of the State's policy on chemical dependence. The Agency exercises these responsibilities directly as a provider of treatment services through a statewide system of 13 Addiction Treatment Centers (ATCs), through the regulation and oversight of prevention and chemical dependence treatment services, and as a conduit for Federal and State financial assistance. The Agency also furthers other State policy goals in areas that include criminal justice, health care and public assistance by coordinating chemical dependence resources and strategies in collaboration with other State agencies.

In 2004-05, OASAS will have a workforce of 958, of which 57 percent provide an array of clinical treatment services through the ATCs.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2004-05 Executive Budget recommends \$511.4 million in State and other resources for OASAS to continue agency and community operations. This funding is supplemented by Federal and State resources budgeted in other State agencies, and by the contributions of local governments, voluntary agencies and other sources. Overall, the recommended budget is sufficient to ensure the full operation of the 13 State-operated ATCs, as well as the maintenance of health and safety standards in voluntary facilities.

The consolidation of the inpatient treatment system in 2003 represented the completion of a multi-year effort to fully consolidate the separate alcoholism and substance abuse systems into a single chemical dependence system. System consolidation will result in increased accessibility to treatment services, as well as administrative efficiencies for providers.

The State also established fees for Medicaid reimbursement of community-based detoxification services during 2003-04, allowing OASAS to initiate statewide implementation of this important initiative. This community-based alternative to hospital-based detoxification will not only reduce State Medicaid expenses, but also improve service coordination and access to needed treatment. To complement the community detoxification initiative, beginning in 2004-05, OASAS will enhance funding to localities to promote targeted case management/utilization review services for those patients who relapse through the chemical dependence treatment system. Research shows that these activities reduce recidivism, improve treatment outcomes and result in State and local government savings.

In addition, as part of the 2004-05 Budget Recommendations, OASAS will continue to:

- Implement a new residential treatment model for adolescents;
- Maximize non-General Fund revenue sources to minimize State taxpayer support, including a statutory revision that re-establishes Medicaid reimbursement parity for methadone treatment services provided by freestanding clinics with those delivered in hospitals;

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- Implement structural and procedural changes to maximize the use of existing resources; and
- Achieve efficiencies in local programs by reducing funding to those provider agencies whose performance is not meeting established standards, as determined through OASAS' annual performance measurement, review and evaluation processes.

PROGRAM HIGHLIGHTS

Heading the largest chemical dependence service system in the nation, OASAS administers a comprehensive array of prevention and treatment services for New Yorkers. This is accomplished through a highly qualified network of State, local government and voluntary agencies and school districts. In accordance with Federal and State statute, the Agency licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

In 2004-05, OASAS will assume administration of the State's \$1.3 million Compulsive Gambling Education and Treatment Program from the Office of Mental Health, thereby consolidating programs dedicated to the treatment and prevention of addictive disorders within a single State agency. The 2004-05 Executive Budget further strengthens this program by providing an additional \$2 million in funding over two years. Overall, individuals will benefit from OASAS' experience and expertise in the treatment and prevention of addictions, as research shows that problem gambling results in the same psychological and physical effects as chemical dependence. Further, this initiative will also maximize coordination of patient care for problem gamblers since approximately 50 percent of them also suffer from chemical dependencies.

Approximately 263,000 patients are served annually in New York State's licensed chemical dependence treatment and rehabilitation system, which consists of approximately 1,300 community-based agencies. OASAS also provides funding to approximately 300 prevention providers who utilize science-based, outcome-oriented programs focusing on such risk factors as family conflict, permissive attitudes towards substance abuse, and lack of commitment to school, which research shows are predictive of adolescent problem behaviors like substance abuse, delinquency, teen pregnancy, school drop-out and violence. While reducing these risk factors, Prevention programs also focus on nurturing healthy beliefs and clear standards within community and family, since combining both activities is crucial to reducing the prevalence of problem behaviors like substance abuse.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2003-04	Appropriations Recommended 2004-05	Change	Reappropriations Recommended 2004-05
State Operations	76,525,000	76,582,000	57,000	3,132,000
Aid To Localities	394,377,000	397,951,000	3,574,000	163,169,000
Capital Projects	36,835,000	36,904,000	69,000	127,156,000
Total	507,737,000	511,437,000	3,700,000	293,457,000

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ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2003-04 Estimated FTEs 03/31/04	2004-05 Estimated FTEs 03/31/05	FTE Change
	Executive Direction		
General Fund	315	322	7
Special Revenue Funds - Federal	59	59	0
Special Revenue Funds - Other	12	12	0
Capital-Administration			
Capital Projects Funds - Other	8	8	0
Institutional Services			
General Fund	531	531	0
Special Revenue Funds - Federal	16	16	0
Prevention and Program Support			
Special Revenue Funds - Federal	10	10	0
Total	951	958	7

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
	General Fund	48,670,000	
Special Revenue Funds - Federal	6,379,000	6,367,000	(12,000)
Special Revenue Funds - Other	21,476,000	23,432,000	1,956,000
Total	76,525,000	76,582,000	57,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
	Executive Direction		
General Fund	22,461,000	21,678,000	(783,000)
Special Revenue Funds - Federal	5,179,000	5,167,000	(12,000)
Special Revenue Funds - Other	9,226,000	9,832,000	606,000
Institutional Services			
General Fund	26,209,000	25,105,000	(1,104,000)
Special Revenue Funds - Federal	1,200,000	1,200,000	0
Special Revenue Funds - Other	12,250,000	13,600,000	1,350,000
Total	76,525,000	76,582,000	57,000

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	20,720,000	23,000	20,540,000	23,000
Institutional Services	29,632,000	0	28,771,000	0
Total	50,352,000	23,000	49,311,000	23,000
Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	112,000	0	68,000	0
Institutional Services	454,000	0	407,000	0
Total	566,000	0	475,000	0

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STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	9,458,000	(246,000)	552,000	12,000
Institutional Services	9,073,000	246,000	3,138,000	71,000
Total	18,531,000	0	3,690,000	83,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	656,000	15,000	8,225,000	(274,000)
Institutional Services	257,000	6,000	5,318,000	161,000
Total	913,000	21,000	13,543,000	(113,000)

Program	Equipment	
	Amount	Change
Executive Direction	25,000	1,000
Institutional Services	360,000	8,000
Total	385,000	9,000

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2004-05 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	6,499,000	34,000	2,799,000	46,000
Institutional Services	1,200,000	0	865,000	0
Total	7,699,000	34,000	3,664,000	46,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	1,981,000	(46,000)	1,719,000	34,000
Institutional Services	335,000	0	0	0
Total	2,316,000	(46,000)	1,719,000	34,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2003-04	Recommended 2004-05	Change
General Fund	244,793,000	244,200,000	(593,000)
Special Revenue Funds - Federal	143,084,000	147,211,000	4,127,000
Special Revenue Funds - Other	6,500,000	6,540,000	40,000
Total	394,377,000	397,951,000	3,574,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2003-04	Recommended 2004-05	Change
Community Treatment Services Program			
General Fund	195,839,000	196,800,000	961,000
Special Revenue Funds - Federal	98,890,000	102,750,000	3,860,000
Prevention and Program Support			
General Fund	48,954,000	47,400,000	(1,554,000)
Special Revenue Funds - Federal	44,194,000	44,461,000	267,000
Special Revenue Funds - Other	6,500,000	6,540,000	40,000
Total	394,377,000	397,951,000	3,574,000

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CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2003-04	Recommended 2004-05	Change	Reappropriations 2004-05
Design and Construction Supervision				
Capital Projects Fund	1,500,000	1,500,000	0	2,087,000
Mental Hygiene Capital Improvement Fund	500,000	500,000	0	500,000
Administration				
Capital Projects Fund	1,025,000	1,094,000	69,000	0
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	5,310,000	5,310,000	0	15,358,000
Mental Hygiene Capital Improvement Fund	26,250,000	26,250,000	0	94,909,000
Institutional Services Program				
Capital Projects Fund	500,000	500,000	0	2,132,000
Mental Hygiene Capital Improvement Fund	1,000,000	1,000,000	0	12,170,000
Non-Bondable				
Capital Projects Fund	750,000	750,000	0	0
Total	<u>36,835,000</u>	<u>36,904,000</u>	<u>69,000</u>	<u>127,156,000</u>