

DEPARTMENT OF CORRECTIONAL SERVICES

MISSION

The Department of Correctional Services (DOCS) is responsible for the secure confinement of convicted felons and the preparation of these individuals for successful reintegration into the community upon release.

ORGANIZATION AND STAFFING

The Department, headed by a Commissioner, will have approximately 30,500 employees to operate 70 facilities. Each correctional facility is headed by a Superintendent and executive staff to oversee the daily operation of the nation's fourth largest state prison system.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The budget recommendations for the Department of Correctional Services continue to reflect a reduced demand for prison space after two decades of capacity expansion. The reversal of this historical trend is the result of several factors, including tougher criminal justice reforms that have been made since 1995 and have led to a decline in the statewide number of felony arrests and the success of initiatives (such as Shock Incarceration, the Willard Drug Treatment Campus and the Merit Time Program) designed to reduce the number of nonviolent offenders in prison. Continued decline in the inmate population will be facilitated by proposed legislation which will permit inmates with excellent program participation and no history of violence to be eligible for early release. This legislation includes expansion of the Merit Time Program and enhancement of the Earned Eligibility Program. As a result, the Department will continue to phase out additional beds currently in use in the prison system.

It is important to note that the reduction in demand for prison space is for medium-security and minimum-security housing. Over the past eight years, penalties for violent crime have increased significantly in New York State. Jenna's Law, enacted in August 1998, together with the Truth-in-Sentencing legislation enacted in 1995, ensure that violent offenders will serve at least 85 percent of their sentence in prison.

The Department will continue "right-sizing" the prison system to reflect the changing inmate population. Funding of \$2.2 billion is provided to support operational needs.

- State tax dollars finance 89 percent of the Department's State Operations Budget and 100 percent of the Department's Aid to Localities Budget to reimburse counties for the cost of housing inmates awaiting transfer to State prison;
- Federal funds support 3 percent of the Department's State Operations Budget, offsetting the cost of housing illegal alien felons, inmate education and substance abuse treatment;
- Correctional Facilities Capital Improvement Fund appropriations of \$205 million ensure that all housing, medical buildings and support space remain functional, safe and secure; and
- The DOCS Internal Service Fund appropriation of \$77.6 million is for operation of the Correctional Industries program (Corcraft). This inmate vocational program engages in the production of commodities, as well as prison maintenance and rehabilitation projects, giving prisoners an opportunity to learn employment skills to improve their chances of successful reintegration into the community.

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PROGRAM HIGHLIGHTS

The Department operates correctional facilities that have a range of security levels. Inmates are provided with appropriate programming to afford offenders an opportunity for rehabilitation aimed at reducing recidivism.

Additionally, the Department, in cooperation with the Division of Parole, operates the Willard Drug Treatment Campus in Seneca County. Through this program, courts have the option to remand low level, nonviolent offenders to treatment — an option that is intended to stop the cycle of drug-related criminal activity at far less cost to the taxpayers than traditional incarceration.

ADMINISTRATION

Administrative staff formulate and oversee Agency policy and provide operational support to correctional facilities. The responsibilities of this program include the direction of inmate classification and movement, labor relations, personnel and financial transactions and the Department's legal affairs.

SUPPORT SERVICES

The Support Services Program provides all resources necessary for the operation of correctional facilities housing individuals remanded to State custody. This includes the employment of all facility managers and centrally assigned staff essential to operate and maintain the Agency's physical plant. Through this program, the Agency also provides inmate food and transportation services and enters into cooperative agreements with local governments for sewer/water systems. The Agency has been increasingly successful in implementing initiatives to improve operational efficiencies.

SUPERVISION OF INMATES

The Department employs approximately 20,700 correction officers to ensure a secure environment for employees and inmates within the correctional setting and to protect the safety of surrounding communities.

PROGRAM SERVICES

The Department operates a wide range of programs designed to prepare inmates for successful reintegration into the community. The majority of inmates entering State prison have educational deficiencies and histories of substance abuse. To counter this problem, the Agency's rehabilitation efforts focus on basic education and simple vocational skill achievement to ready inmates for employment upon release. The transitional services program will assist every inmate throughout all stages of their incarceration to fully participate in the wide variety of programs available in the Department's facilities.

The Agency's Comprehensive Alcohol and Substance Abuse Treatment program will continue to provide over 4,800 offenders each year with six months of residential treatment and follow-up care. Resources to coordinate the Agency's sex offender treatment programs, aggression management programs and transitional services will enable the Department to better treat and stabilize the prison population.

HEALTH SERVICES

Offenders entering prison present a significant need for health care because of high rates of disease related to AIDS, tuberculosis and other infectious conditions. The Executive

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Budget ensures that the Department has the resources to meet the full need for AIDS screening and interventions. Notably, the number of inmates dying annually from HIV-related disease has plummeted by more than 90 percent since 1995.

The Department's approach to health care has kept pace with the national trend toward cost efficient managed care programs. To contain escalating costs of appropriate health care for the inmate population, the Department will continue the operation of regional medical units on the grounds of Mohawk, Coxsackie, Wende, Bedford Hills and Fishkill correctional facilities.

CAPITAL PROJECTS

The Department of Correctional Services operates an institutional network of 70 correctional facilities, a number of which were converted during prison expansion in the 1980's from very old facilities initially built for other uses. With the completion of Five Points Correctional Facility signaling the end of the most recent capacity expansion effort, the Department is now focusing its capital resources on critical physical plant maintenance and rehabilitation projects.

DEPARTMENT OF CORRECTIONAL SERVICES MALE FACILITIES

Maximum Security

Attica Correctional Facility	(Wyoming County)
Auburn Correctional Facility	(Cayuga County)
Clinton Correctional Facility	(Clinton County)
Coxsackie Correctional Facility	(Greene County)
Downstate Correctional Facility	(Dutchess County)
Eastern Correctional Facility	(Ulster County)
Elmira Correctional Facility	(Chemung County)
Five Points Correctional Facility	(Seneca County)
Great Meadow Correctional Facility	(Washington County)
Green Haven Correctional Facility	(Dutchess County)
Shawangunk Correctional Facility	(Ulster County)
Sing Sing Correctional Facility	(Westchester County)
Southport Correctional Facility	(Chemung County)
Sullivan Correctional Facility	(Sullivan County)
Upstate Correctional Facility	(Franklin County)
Wende Correctional Facility	(Erie County)

Medium Security

Adirondack Correctional Facility	(Essex County)
Altona Correctional Facility	(Clinton County)
Arthurkill Correctional Facility and CASAT**	(Staten Island)
Bare Hill Correctional Facility	(Franklin County)
Butler CASAT	(Wayne County)
Cape Vincent Correctional Facility and CASAT	(Jefferson County)
Cayuga Correctional Facility	(Cayuga County)
Chateaugay CASAT	(Franklin County)
Collins Correctional Facility	(Erie County)
Fishkill Correctional Facility	(Dutchess County)
Franklin Correctional Facility	(Franklin County)
Gouverneur Correctional Facility	(St. Lawrence County)
Gowanda Correctional Facility	(Erie County)
Greene Correctional Facility	(Greene County)
Groveland Correctional Facility	(Livingston County)
Hale Creek CASAT	(Fulton County)
Hudson Correctional Facility	(Columbia County)
Livingston Correctional Facility	(Livingston County)
Marcy Correctional Facility and CASAT Annex	(Oneida County)
Mid-Orange Correctional Facility	(Orange County)
Mid-State Correctional Facility	(Oneida County)
Mohawk Correctional Facility	(Oneida County)
Mt. McGregor Correctional Facility	(Saratoga County)
Ogdensburg Correctional Facility	(St. Lawrence County)
Oneida Correctional Facility	(Oneida County)
Orleans Correctional Facility	(Orleans County)
Otisville Correctional Facility	(Orange County)

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Riverview Correctional Facility	(St. Lawrence County)
Ulster Correctional Facility	(Ulster County)
Wallkill Correctional Facility	(Ulster County)
Washington Correctional Facility	(Washington County)
Watertown Correctional Facility	(Jefferson County)
Woodbourne Correctional Facility	(Sullivan County)
Wyoming Correctional Facility	(Wyoming County)

Minimum Security

Butler Correctional Facility	(Wayne County)
Lyon Mountain Correctional Facility	(Clinton County)

Minimum Work Release

Buffalo Correctional Facility	(Erie County)
Edgecombe Correctional Facility	(Manhattan)
Fishkill Correctional Facility*	(Dutchess County)
Fulton Correctional Facility	(Bronx)
Hudson Correctional Facility*	(Columbia County)
Lincoln Correctional Facility	(Manhattan)
Queensboro Correctional Facility	(Queens)
Rochester Correctional Facility	(Monroe County)

Camps

Camp Gabriels	(Franklin County)
Camp Georgetown	(Madison County)
Camp Mt. McGregor*	(Saratoga County)
Camp Pharsalia	(Chenango County)
Camp Fallsburg*	(Sullivan County)

Shock Incarceration

Lakeview Shock Incarceration Facility	(Chautauqua County)
Monterey Shock Incarceration Facility	(Schuyler County)
Moriah Shock Incarceration Facility	(Essex County)
Summit Shock Incarceration Facility	(Schoharie County)

Drug Treatment Campus

Willard Drug Treatment Campus	(Seneca County)
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DEPARTMENT OF CORRECTIONAL SERVICES FEMALE FACILITIES

Maximum Security

Bedford Hills Correctional Facility	(Westchester County)
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Medium Security

Albion Correctional Facility	(Orleans County)
Bayview Correctional Facility	(Manhattan)
Taconic Correctional Facility and CASAT	(Westchester County)

Minimum Security

Beacon Correctional Facility	(Dutchess County)
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Minimum Work Release

Albion Correctional Facility*	(Orleans County)
Bayview Correctional Facility*	(Manhattan)

Shock Incarceration

Lakeview Shock Incarceration Facility	(Chautauqua County)
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Drug Treatment Campus

Willard Drug Treatment Campus	(Seneca County)
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* Indicates programs are operating as part of a larger correctional facility listed under the same name.

** CASAT is an acronym for Comprehensive Alcohol and Substance Abuse Treatment.

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ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2002-03	Appropriations Recommended 2003-04	Change	Reappropriations Recommended 2003-04
State Operations	2,028,408,000	1,995,595,000	(32,813,000)	17,277,000
Aid To Localities	11,813,000	11,400,000	(413,000)	11,400,000
Capital Projects	225,000,000	205,000,000	(20,000,000)	762,661,000
Total	<u>2,265,221,000</u>	<u>2,211,995,000</u>	<u>(53,226,000)</u>	<u>791,338,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2002-03 Estimated FTEs 03/31/03	2003-04 Estimated FTEs 03/31/04	FTE Change
Administration			
General Fund	271	235	(36)
Special Revenue Funds - Federal	995	995	0
Correctional Industries			
Internal Service Funds	517	517	0
Facilities Planning and Development			
Capital Projects Funds - Other	32	32	0
Health Services			
General Fund	1,837	1,807	(30)
Enterprise Funds	26	26	0
Program Services			
General Fund	3,223	3,151	(72)
Enterprise Funds	46	46	0
Supervision of Inmates			
General Fund	20,575	20,231	(344)
Support Services			
General Fund	3,508	3,498	(10)
Total	<u>31,030</u>	<u>30,538</u>	<u>(492)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2002-03	Recommended 2003-04	Change
General Fund	1,808,035,000	1,788,032,000	(20,003,000)
Special Revenue Funds - Federal	61,239,000	63,904,000	2,665,000
Special Revenue Funds - Other	250,000	250,000	0
Enterprise Funds	66,404,000	65,758,000	(646,000)
Internal Service Funds	92,462,000	77,633,000	(14,829,000)
Fiduciary Funds	18,000	18,000	0
Total	<u>2,028,408,000</u>	<u>1,995,595,000</u>	<u>(32,813,000)</u>

Adjustments:	
Prior Year Deficiency	
Correctional Services, Department of	
General Fund	19,700,000
Appropriated 2002-03	<u>2,048,108,000</u>

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2002-03	Recommended 2003-04	Change
Administration			
General Fund	24,852,000	23,044,000	(1,808,000)
Special Revenue Funds - Federal	61,239,000	63,904,000	2,665,000
Special Revenue Funds - Other	250,000	250,000	0
Enterprise Funds	1,818,000	1,818,000	0
Correctional Industries			
Internal Service Funds	92,462,000	77,633,000	(14,829,000)
Health Services			
General Fund	203,812,000	208,482,000	4,670,000
Enterprise Funds	18,001,000	17,591,000	(410,000)
Program Services			
General Fund	189,799,000	185,346,000	(4,453,000)
Enterprise Funds	45,985,000	45,749,000	(236,000)
Fiduciary Funds	18,000	18,000	0
Supervision of Inmates			
General Fund	1,053,501,000	1,038,809,000	(14,692,000)
Support Services			
General Fund	336,071,000	332,351,000	(3,720,000)
Enterprise Funds	600,000	600,000	0
Total	<u>2,028,408,000</u>	<u>1,995,595,000</u>	<u>(32,813,000)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2003-04 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	14,970,000	(1,638,000)	14,901,000	(1,530,000)
Health Services	101,506,000	(1,377,000)	93,608,000	(1,952,000)
Program Services	148,909,000	(5,606,000)	140,160,000	(4,106,000)
Supervision of Inmates	1,022,891,000	(14,774,000)	984,245,000	(15,249,000)
Support Services	147,689,000	1,195,000	141,287,000	(1,304,000)
Total	<u>1,435,965,000</u>	<u>(22,200,000)</u>	<u>1,374,201,000</u>	<u>(24,141,000)</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	9,000	(1,000)	60,000	(107,000)
Health Services	3,854,000	(310,000)	4,044,000	885,000
Program Services	7,739,000	0	1,010,000	(1,500,000)
Supervision of Inmates	3,273,000	(136,000)	35,373,000	611,000
Support Services	330,000	159,000	6,072,000	2,340,000
Total	<u>15,205,000</u>	<u>(288,000)</u>	<u>46,559,000</u>	<u>2,229,000</u>

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STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2003-04 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	8,074,000	(170,000)	402,000	(41,000)
Health Services	106,976,000	6,047,000	43,510,000	4,115,000
Program Services	36,437,000	1,153,000	8,885,500	(328,500)
Supervision of Inmates	15,918,000	82,000	8,083,000	(156,000)
Support Services	184,662,000	(4,915,000)	93,538,000	(11,426,000)
Total	352,067,000	2,197,000	154,418,500	(7,836,500)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	318,000	(32,000)	6,511,000	(11,000)
Health Services	130,000	0	62,976,000	1,932,000
Program Services	275,500	(262,500)	27,209,500	2,006,500
Supervision of Inmates	2,134,000	(189,000)	5,277,000	427,000
Support Services	403,000	(1,690,000)	83,752,000	8,843,000
Total	3,260,500	(2,173,500)	185,725,500	13,197,500

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	843,000	(86,000)	0	0
Health Services	360,000	0	0	0
Program Services	66,500	(262,500)	0	0
Supervision of Inmates	424,000	0	0	0
Support Services	6,969,000	0	0	(642,000)
Total	8,662,500	(348,500)	0	(642,000)

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2003-04 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	65,972,000	2,665,000	58,967,000	2,665,000
Correctional Industries	77,633,000	(14,829,000)	23,850,000	(1,588,000)
Health Services	17,591,000	(410,000)	1,851,000	(410,000)
Program Services	45,767,000	(236,000)	3,872,000	(236,000)
Support Services	600,000	0	0	0
Total	207,563,000	(12,810,000)	88,540,000	431,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	1,505,000	0	5,500,000	0
Correctional Industries	53,783,000	(13,241,000)	0	0
Health Services	15,740,000	0	0	0
Program Services	41,895,000	0	0	0
Support Services	600,000	0	0	0
Total	113,523,000	(13,241,000)	5,500,000	0

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2002-03	Recommended 2003-04	Change
General Fund	11,813,000	11,400,000	(413,000)
Total	11,813,000	11,400,000	(413,000)

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**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Program	Available 2002-03	Recommended 2003-04	Change
Support Services			
General Fund	11,813,000	11,400,000	(413,000)
Total	<u>11,813,000</u>	<u>11,400,000</u>	<u>(413,000)</u>

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)**

Comprehensive Construction Program	Available 2002-03	Recommended 2003-04	Change	Reappropriations 2003-04
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	0	0	0	932,000
Federal Capital Projects Fund	20,000,000	0	(20,000,000)	10,158,000
Correctional Facilities Capital Improvement Fund	205,000,000	205,000,000	0	507,407,000
UDC Financed and Other New Facility Capacity Expansion				
Correctional Facilities Capital Improvement Fund	0	0	0	244,164,000
Total	<u>225,000,000</u>	<u>205,000,000</u>	<u>(20,000,000)</u>	<u>762,661,000</u>