

OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

MISSION

The Office of Mental Retardation and Developmental Disabilities (OMRDD) serves and supports individuals and families of individuals with developmental disabilities. OMRDD works with local governments and non-profit providers to oversee a comprehensive system for delivery of services to people who have developmental disabilities. Both institutional and community-based services are delivered through a network of community programs operated by non-profit providers, State Developmental Centers and numerous State-operated programs based in the community.

ORGANIZATION AND STAFFING

Under the direction of a Commissioner appointed by the Governor, OMRDD currently operates through district offices, called Developmental Disabilities Services Offices (DDSO). Its Central Office oversees and supports operations of the district offices.

OMRDD will have a workforce of 22,258 for 2002-03, most of whom work directly with consumers of services. The remainder of the workforce are supervisors of direct care workers, clinicians, administrative support and management personnel.

By the end of 2002-03, OMRDD will operate some 477 developmental center beds, along with 1,122 special unit beds. From 1995 to the end of the 2002-03 fiscal year, the State will have moved some 3,500 people into more appropriate community care and will have closed more than 2,050 unneeded institutional beds.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Total funding of \$2.6 billion supports a comprehensive system of care serving more than 123,000 persons with disabilities and their families. Over the last eight years, OMRDD's operating budget has grown by \$662 million, reflecting the Governor's ongoing commitment to serving the needs of persons with developmental disabilities. The Executive Budget recommendations for 2002-03 preserve essential direct care and maintain the quality of services for consumers in both non-profit and OMRDD-operated programs. Under these recommendations:

- OMRDD's Institutional Services Program will receive total funding of \$374.5 million, to support residential care and habilitative services for people in developmental centers. In addition, another \$77.4 million funds OMRDD's Central Coordination and Support and Research in Mental Retardation Programs, which provide policy direction and technical support to the entire service system.
- OMRDD's Community Services Program will receive over \$2 billion to fund hundreds of State-operated residential and day programs and ancillary services such as clinics and transportation networks, as well as to support local governments and a network of more than 600 non-profit agencies; and
- OMRDD's new capital appropriations of \$116.6 million support the maintenance of existing institutions, community residential and day program sites as well as the development and renovation of residential and day programming sites and other expanded bed capacity.

This budget reflects a \$234.6 million increase over 2001-02 funding, primarily attributable to growth in funding for community-based services. These recommendations anticipate that

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

OMRDD will continue to aggressively pursue Federal financial support for its programs as well as take other targeted administrative actions to achieve management efficiencies in both the State and non-profit sectors.

The 2002-03 Executive Budget continues full funding of the Governor's nationally acclaimed five-year initiative known as New York State-Creating Alternatives in Residential Environments and Services (NYS-CARES). As this program enters its fourth year, the recommended budget contains \$152 million primarily to support the nearly 5,000 persons who have left the waiting list for homes in the community. Another 978 new residential placements are planned for 2002-03, together with case management and day service opportunities. Over five years, New York State will invest \$343 million in State and Federal funds to provide services to 8,100 individuals requiring out-of-home residential placements.

In support of existing services, a Medicaid trend factor of 3.69 percent will be applied to adjusted 2001-02 reimbursement levels for Intermediate Care Facilities (ICF) and most Home and Community-Based Services (HCBS) Waiver programs, providing more than \$28 million in additional State funding to non-profit community-based providers for residential and other services. All new residential programs developed under NYS-CARES will receive this trend.

In 2002-03, the State expects to serve another 2,770 persons in the community, most of whom are mandated into OMRDD's care or are graduating out of special education programs. Many of these persons will be served by existing non-profit programs. Development of another 750 new supported employment placements, 750 day habilitation placements, and 140 new residential placements (with associated day services and service coordination) will ensure OMRDD has adequate capacity to serve persons in need of community-based care.

The effort to place individuals residing in the developmental centers into more appropriate community settings will continue. An additional 164 individuals will leave institutions for care in their own communities during 2002-03 and new admissions will be limited to emergency situations of persons with special needs and to persons entering special treatment units. Projections are that by the end of next fiscal year, there will be only 477 people statewide living in developmental centers. OMRDD remains committed to deinstitutionalization for all consumers who can be appropriately cared for in community settings.

In addition, OMRDD continues to protect the safety and welfare of the public. The 2002-03 Executive Budget recommends continued funding of State-operated capacity for persons with severe behavioral involvement who require intensive treatment services — including the new Valley Ridge Center for Intensive Treatment planned to open April 1, 2002. Placements in these specialized settings ensure that OMRDD can appropriately treat individuals who are a danger to themselves or others.

OMRDD continues to improve the efficiency and cost-effectiveness of their operations. In 2002-03 the agency will undertake efforts to:

- Limit, in certain circumstances, administrative reimbursement to community-based providers;
- Emphasize use of less costly services, when appropriate;
- Maximize use of existing service capacity;
- Streamline and institute new internal controls on billing procedures;
- Diligently review eligibility for services;
- Apply strict controls on hiring in non-patient-care positions;
- Implement computerized staff training;
- Fully centralize payroll and human resource functions;
- Limit non-personal service expenditures, especially non-essential categories such as travel and new equipment purchases, including vehicles; and
- Consolidate office space to reduce rental costs.

In addition, Article VII legislation is proposed to eliminate the Youth Opportunity Program, effective April 1, 2002.

Infrastructure throughout the State and not-for-profit systems will be maintained through new capital appropriations of \$116.6 million and reappropriations of \$108.8 million. Capital appropriations for institutional projects will focus on the need to reinvest resources into

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

preserving and maintaining long-term facilities mostly for a core population of consumers who have severe behavioral treatment needs and/or have been involved with the criminal justice system.

State and voluntary-operated community capital appropriations increase by \$12 million. Capital projects in the community reflect a continued investment to maintain both State and voluntary not-for-profit community-based sites, and to develop State-operated residential placements for adults in developmental centers and on the NYS-CARES waiting list. While a modest increase in bonded appropriations is included, in part to address funding needs for small inner-city providers which cannot obtain alternative financing, voluntary not-for-profit capital development needs for NYS-CARES and other program expansion initiatives will continue to be met primarily through the use of non-State revenue such as Federal Housing and Urban Development (HUD) grants and private financing.

In total, these recommendations — through the combination of new and currently operating programs — will support an estimated 8,100 new service opportunities in community programs during 2002-03. This includes community placements for people in developmental centers and for mandated populations, as well as service opportunities for people on community waiting lists. Services to individuals and families have also been preserved within family support services.

PROGRAM HIGHLIGHTS

OMRDD serves more than 123,000 New Yorkers with developmental disabilities, which include mental retardation, epilepsy, cerebral palsy, neurological impairments and autism. In recent years, New York has made great strides in its methods of delivering services to this vulnerable population, moving more persons from institutions to the community than any other state in the nation. In addition, New York continues to place greater emphasis on consumer choice and satisfaction.

Under a 1991 Federal Medicaid waiver, consumers have the opportunity to receive individualized services in less restrictive settings. With the aid of trained service coordinators, consumers choose the services they need, and receive them in appropriate settings. New York commits more resources to its Home and Community Based Services (HCBS) Waiver program than any state in the nation, investing three times as much as the next highest state offering services under a waiver.

Maintaining a statewide system of services, delivered through both the State and non-profit providers, OMRDD:

- Provides 36,000 persons with certified residential services;
- Provides 64,000 persons with community day services; and
- Assists in the care of 72,000 persons with developmental disabilities who are living in their own homes.

An overview of these services is provided below.

RESIDENTIAL SERVICES

Residential services are offered through a continuum of programs in both community and institutional settings. The alternative which provides the most intensive services in a community setting is the Intermediate Care Facility for the Developmentally Disabled (ICF/DD). These facilities — some 8,200 beds operated statewide by both State and not-for-profit providers — are appropriate for individuals requiring 24-hour care. A typical community ICF has 12 to 14 beds.

The most common residential placement for persons under the waiver are Individual Residential Alternatives (IRAs) — homes, typically for 4 to 8 consumers, operated by either the State or not-for-profit providers in the community. This budget supports more than 18,000 IRA beds and accompanying service coordination. Other community living arrangements include Community Residences and Family Care homes, which serve more than 7,000 people.

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

In addition to these community-based programs, OMRDD operates ten campuses across the State. Some 1,600 people will be served in these settings by the end of 2002-03, of whom more than 70 percent will be receiving specialized services. OMRDD remains committed to providing community residential opportunities for all those in State institutions who can receive appropriate care in the community. Currently, New York serves more persons in community residential settings than any other state, with the exception of California.

DAY SERVICES

OMRDD supports an array of day services for persons with developmental disabilities, which also vary depending on the needs of the consumer. The major programs include:

- Day treatment which provides diagnostic, treatment, and rehabilitative services;
- Day habilitation, a smaller more individualized service for persons under the Federal Medicaid waiver for home and community based services;
- Supported employment, which provides the opportunity for individuals to work in competitive positions usually in integrated settings in the private sector;
- Sheltered workshops which provide basic, non-competitive work opportunities; and
- Day training which develops the knowledge and skills that enable persons with developmental disabilities to improve their personal, social, and vocational skills and to function independently.

SUPPORT FOR CONSUMERS LIVING IN THEIR OWN HOMES

OMRDD services also support families and individuals in their own homes. The Family Support Services program assists families in caring for more than 64,000 persons with developmental disabilities, enabling these individuals to remain at home. Services offered include respite, crisis intervention, case management, recreation, information and referral, and home care.

The Individualized Support Services program provides services to nearly 1,300 disabled individuals who live independently. In addition, almost 7,500 individuals living in their own homes receive residential habilitation under the Federal Medicaid waiver.

The Care at Home program provides a third alternative, designed to preserve family settings for persons under 18 years of age with developmental disabilities, who are medically frail. This program allows the parents of young persons with severe disabilities to maintain the child at home, regardless of family income level, thereby preventing more costly out-of-home placements.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	1,132,822,000	1,197,102,000	64,280,000	0
Aid To Localities	1,149,696,000	1,266,105,000	116,409,000	0
Capital Projects	62,716,000	116,613,000	53,897,000	108,785,000
Total	<u>2,345,234,000</u>	<u>2,579,820,000</u>	<u>234,586,000</u>	<u>108,785,000</u>

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)			
Program	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
Central Coordination and Support			
General Fund	755	772	17
Community Services			
General Fund	15,850	16,086	236
Institutional Services			
General Fund	5,340	5,182	(158)
Enterprise Funds	1	1	0
Research in Mental Retardation			
General Fund	217	217	0
Total	22,163	22,258	95

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	146,116,000	167,694,000	21,578,000
Special Revenue Funds - Federal	80,000	80,000	0
Special Revenue Funds - Other	983,521,000	1,026,274,000	42,753,000
Enterprise Funds	2,350,000	2,350,000	0
Internal Service Funds	0	150,000	150,000
Fiduciary Funds	755,000	554,000	(201,000)
Total	1,132,822,000	1,197,102,000	64,280,000

Adjustments:	
Recommended Deficiency	
Mental Retardation and Developmental Disabilities, Office of General Fund	(26,458,000)
Appropriated 2001-02	1,106,364,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Central Coordination and Support			
General Fund	54,141,000	59,765,000	5,624,000
Special Revenue Funds - Federal	80,000	80,000	0
Internal Service Funds	0	150,000	150,000
Community Services			
General Fund	703,043,000	745,192,000	42,149,000
Institutional Services			
General Fund	355,701,000	371,690,000	15,989,000
Enterprise Funds	2,350,000	2,350,000	0
Fiduciary Funds	705,000	504,000	(201,000)
Maintenance Undistributed			
General Fund	(983,521,000)	(1,026,274,000)	(42,753,000)
Special Revenue Funds - Other	983,521,000	1,026,274,000	42,753,000
Research in Mental Retardation			
General Fund	16,752,000	17,321,000	569,000
Fiduciary Funds	50,000	50,000	0
Total	1,132,822,000	1,197,102,000	64,280,000

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Central Coordination and Support	42,289,000	3,118,000	40,050,000	3,118,000
Community Services	639,530,000	36,473,000	622,306,000	35,496,000
Institutional Services	225,120,000	6,161,000	216,930,000	5,932,000
Research in Mental Retardation	15,405,000	511,000	14,975,000	503,000
Total	922,344,000	46,263,000	894,261,000	45,049,000

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Central Coordination and Support	1,991,000	0	248,000	0
Community Services	5,053,000	288,000	12,171,000	689,000
Institutional Services	1,061,000	31,000	7,129,000	198,000
Research in Mental Retardation	260,000	0	170,000	8,000
Total	8,365,000	319,000	19,718,000	895,000

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Central Coordination and Support	17,476,000	2,506,000	561,000	5,000
Community Services	105,662,000	5,676,000	38,595,000	1,877,000
Institutional Services	146,570,000	9,828,000	23,061,000	380,000
Research in Mental Retardation	1,916,000	58,000	719,000	22,000
Total	271,624,000	18,068,000	62,936,000	2,284,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Central Coordination and Support	1,266,000	12,000	14,335,000	2,476,000
Community Services	6,160,000	300,000	49,059,000	2,602,000
Institutional Services	1,183,000	15,000	27,416,000	449,000
Research in Mental Retardation	57,000	2,000	871,000	26,000
Total	8,666,000	329,000	91,681,000	5,553,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support	1,314,000	13,000	0	0
Community Services	5,372,000	261,000	6,476,000	636,000
Institutional Services	2,286,000	28,000	92,624,000	8,956,000
Research in Mental Retardation	269,000	8,000	0	0
Total	9,241,000	310,000	99,100,000	9,592,000

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Central Coordination and Support	230,000	150,000	0	0
Institutional Services	2,854,000	(201,000)	274,000	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>3,134,000</u>	<u>(51,000)</u>	<u>274,000</u>	<u>0</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support	0	0	230,000	150,000
Institutional Services	2,580,000	(201,000)	0	0
Research in Mental Retardation	50,000	0	0	0
Total	<u>2,630,000</u>	<u>(201,000)</u>	<u>230,000</u>	<u>150,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	693,680,000	656,311,000	(37,369,000)
Special Revenue Funds - Other	454,016,000	607,794,000	153,778,000
Fiduciary Funds	2,000,000	2,000,000	0
Total	<u>1,149,696,000</u>	<u>1,266,105,000</u>	<u>116,409,000</u>

Adjustments:
 Recommended Deficiency
 Mental Retardation and Developmental
 Disabilities, Office of
 General Fund
(73,298,000)
 Appropriated 2001-02
1,076,398,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Community Services			
General Fund	693,680,000	656,311,000	(37,369,000)
Special Revenue Funds - Other	454,016,000	607,794,000	153,778,000
Fiduciary Funds	2,000,000	2,000,000	0
Total	<u>1,149,696,000</u>	<u>1,266,105,000</u>	<u>116,409,000</u>

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2001-02	Recommended 2002-03	Change	Reappropriations 2002-03
Design and Construction Supervision				
Capital Projects Fund	2,000,000	2,000,000	0	0
Mental Hygiene Capital Improvement Fund	0	6,000,000	6,000,000	0
Non-Bondable Projects				
Capital Projects Fund	1,000,000	1,000,000	0	1,000,000
Voluntary-Operated Community Facilities				
Capital Projects Fund	9,782,000	10,287,000	505,000	3,852,000
Mental Hygiene Capital Improvement Fund	5,488,000	8,111,000	2,623,000	5,488,000
State-Operated Community Services Program				
Capital Projects Fund	15,096,000	13,953,000	(1,143,000)	10,900,000
Mental Hygiene Capital Improvement Fund	0	10,000,000	10,000,000	11,891,000
Institutional Services Program				
Capital Projects Fund	14,250,000	16,650,000	2,400,000	31,370,000
Mental Hygiene Capital Improvement Fund	15,100,000	48,612,000	33,512,000	44,284,000
Total	<u>62,716,000</u>	<u>116,613,000</u>	<u>53,897,000</u>	<u>108,785,000</u>