

# **OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES**

## **MISSION**

The Office of Alcoholism and Substance Abuse Services (OASAS) is responsible for licensing and evaluating service providers, and for implementing and advocating policies and programs for the prevention, early intervention and treatment of alcoholism and substance abuse. In conjunction with local governments, providers and communities, the Office works to ensure that a full range of necessary and cost-effective prevention and treatment services are available statewide.

## **ORGANIZATION AND STAFFING**

The Office of Alcoholism and Substance Abuse Services is headed by a Commissioner, responsible for the development and management of the State's policy on chemical dependence. The Agency exercises these responsibilities directly as a provider of treatment services through a statewide system of 13 Addiction Treatment Centers (ATCs), through the regulation and oversight of prevention and chemical dependence services, and as a conduit for Federal and State financial assistance. The Agency also furthers other State policy goals — including reform efforts in criminal justice, health care and public assistance — by coordinating chemical dependence resources and strategies in collaboration with other State agencies.

In 2002-03, OASAS will have a workforce of 979, of which 56 percent provide clinical treatment services through the ATCs. This represents a year-to-year reduction of 22 administrative positions, accomplished through normal attrition and retirements.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The 2002-03 Executive Budget recommends \$503 million in State and other resources for OASAS to continue agency and community operations, and expand services to priority populations. This funding is supplemented by Federal and State resources budgeted in other State agencies, and by the contributions of local government, voluntary agencies and other sources. When combined, nearly \$1.5 billion will be invested in the prevention and treatment of chemical dependence in 2002-03.

In comparison to the prior year, total OASAS appropriations increase by \$8.9 million after reflecting savings from reductions in central office staffing and the maximization of Federal resources.

The recommended budget is sufficient to ensure:

- Full operation of all 13 ATCs;
- Opening of planned residential bed expansions begun in both 1997-98 and 2000-01;
- Implementation of new chemical dependence fees; and,
- Maintenance of health and safety standards in both the State and voluntary facilities.

The 2002-03 Executive Budget continues the consolidation of the OASAS system — uniting the separate alcoholism and substance abuse systems into a single chemical dependence system. New outpatient chemical dependence fees will be implemented in 2002-03, replacing the current separate alcoholism clinic fees and substance abuse clinic rates. To smooth the transition to the new Medicaid fee structure, \$5.7 million is set aside over 18 months to meet extraordinary short-term needs of eligible outpatient providers.

The 2002-03 Executive Budget also maintains ongoing efforts to purchase the best available treatment outcomes for the lowest cost, supplementing local government initiatives to identify and case manage the most costly consumers of treatment services. Beginning in 2002-03, OASAS licensed providers will be authorized to seek reimbursement under the Medicaid program for detoxification services provided in their community-based programs.

## **ALCOHOLISM AND SUBSTANCE ABUSE SERVICES**

This community-based service alternative will minimize the repeated utilization of high-cost inpatient settings and establish linkages for consumers with needed ongoing treatment services. Inpatient hospitalization will continue to provide the more medically complicated detoxification services. The result will be both less costly and more coordinated care across the full continuum services.

### **PROGRAM HIGHLIGHTS**

As the largest drug and alcohol prevention and treatment system in the nation, OASAS administers a comprehensive array of prevention and treatment services for New Yorkers suffering from addiction. The Office works with a network of State, local government and voluntary agencies, as well as school districts, to provide prevention and treatment services. In accordance with Federal and State statutes, the Agency licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

On any given day, approximately 114,000 patients are enrolled in New York State's licensed chemical dependence treatment and rehabilitation system. Services within this system are provided by more than 1,300 community-based agencies.

#### **ALL FUNDS APPROPRIATIONS (dollars)**

<b>Category</b>	<b>Available 2001-02</b>	<b>Appropriations Recommended 2002-03</b>	<b>Change</b>	<b>Reappropriations Recommended 2002-03</b>
State Operations	69,225,000	74,006,000	4,781,000	0
Aid To Localities	392,746,000	397,986,000	5,240,000	55,643,000
Capital Projects	32,140,000	31,020,000	(1,120,000)	134,639,000
<b>Total</b>	<b>494,111,000</b>	<b>503,012,000</b>	<b>8,901,000</b>	<b>190,282,000</b>

#### **ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS**

##### **Full-Time Equivalent Positions (FTE)**

<b>Program</b>	<b>2001-02 Estimated FTEs 03/31/02</b>	<b>2002-03 Estimated FTEs 03/31/03</b>	<b>FTE Change</b>
Executive Direction			
General Fund	340	327	(13)
Special Revenue Funds - Federal	75	75	0
Special Revenue Funds - Other	12	12	0
Capital-Administration			
Capital Projects Funds - Other	8	8	0
Institutional Services			
General Fund	551	547	(4)
Prevention and Program Support			
Special Revenue Funds - Federal	10	10	0
<b>Total</b>	<b>996</b>	<b>979</b>	<b>(17)</b>

#### **STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)**

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	45,734,000	49,290,000	3,556,000
Special Revenue Funds - Federal	5,663,000	5,675,000	12,000
Special Revenue Funds - Other	17,828,000	19,041,000	1,213,000
<b>Total</b>	<b>69,225,000</b>	<b>74,006,000</b>	<b>4,781,000</b>

# ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Executive Direction			
General Fund	20,128,000	20,359,000	231,000
Special Revenue Funds - Federal	5,663,000	5,675,000	12,000
Special Revenue Funds - Other	8,828,000	8,911,000	83,000
Institutional Services			
General Fund	25,606,000	28,931,000	3,325,000
Special Revenue Funds - Other	9,000,000	10,130,000	1,130,000
Total	<u>69,225,000</u>	<u>74,006,000</u>	<u>4,781,000</u>

## STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	20,881,000	(101,000)	20,701,000	(101,000)
Institutional Services	30,200,000	2,454,000	29,339,000	2,338,000
Total	<u>51,081,000</u>	<u>2,353,000</u>	<u>50,040,000</u>	<u>2,237,000</u>

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Executive Direction	112,000	0	68,000	0
Institutional Services	454,000	50,000	407,000	66,000
Total	<u>566,000</u>	<u>50,000</u>	<u>475,000</u>	<u>66,000</u>

## STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	7,178,000	332,000	540,000	(39,000)
Institutional Services	8,861,000	2,001,000	3,067,000	(12,000)
Total	<u>16,039,000</u>	<u>2,333,000</u>	<u>3,607,000</u>	<u>(51,000)</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	641,000	0	5,973,000	371,000
Institutional Services	251,000	0	5,157,000	2,013,000
Total	<u>892,000</u>	<u>0</u>	<u>11,130,000</u>	<u>2,384,000</u>

Program	Equipment	
	Amount	Change
Executive Direction	24,000	0
Institutional Services	386,000	0
Total	<u>410,000</u>	<u>0</u>

# ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

## STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

<b>Program</b>	<b>Total</b>		<b>Personal Service</b>	
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Executive Direction	6,886,000	95,000	3,550,000	161,000
Total	6,886,000	95,000	3,550,000	161,000
<b>Program</b>	<b>Nonpersonal Service</b>		<b>Maintenance</b>	<b>Undistributed</b>
	<b>Amount</b>	<b>Change</b>	<b>Amount</b>	<b>Change</b>
Executive Direction	1,743,000	(161,000)	1,593,000	95,000
Total	1,743,000	(161,000)	1,593,000	95,000

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<b>Fund Type</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
General Fund	252,979,000	249,282,000	(3,697,000)
Special Revenue Funds - Federal	131,767,000	135,004,000	3,237,000
Special Revenue Funds - Other	8,000,000	13,700,000	5,700,000
Total	392,746,000	397,986,000	5,240,000

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<b>Program</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>
Community Treatment Services Program			
General Fund	202,860,000	199,683,000	(3,177,000)
Special Revenue Funds - Federal	88,993,000	91,552,000	2,559,000
Special Revenue Funds - Other	0	5,700,000	5,700,000
Prevention and Program Support			
General Fund	50,119,000	49,599,000	(520,000)
Special Revenue Funds - Federal	42,774,000	43,452,000	678,000
Special Revenue Funds - Other	8,000,000	8,000,000	0
Total	392,746,000	397,986,000	5,240,000

## CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<b>Comprehensive Construction Program</b>	<b>Available 2001-02</b>	<b>Recommended 2002-03</b>	<b>Change</b>	<b>Reappropriations 2002-03</b>
Design and Construction Supervision				
Capital Projects Fund	1,250,000	1,250,000	0	2,750,000
Mental Hygiene Capital Improvement Fund	2,250,000	2,250,000	0	8,676,000
Administration				
Capital Projects Fund	902,000	982,000	80,000	0
Non-Bondable Projects				
Capital Projects Fund	750,000	750,000	0	750,000
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	4,488,000	3,288,000	(1,200,000)	19,533,000
Mental Hygiene Capital Improvement Fund	20,000,000	20,000,000	0	83,441,000
Institutional Services Program				
Capital Projects Fund	500,000	500,000	0	3,973,000
Mental Hygiene Capital Improvement Fund	2,000,000	2,000,000	0	15,516,000
Total	32,140,000	31,020,000	(1,120,000)	134,639,000