

OFFICE OF THE STATE INSPECTOR GENERAL

MISSION

The Office of the State Inspector General is responsible for detecting, investigating, eliminating and deterring fraud, corruption, criminal activity, conflicts of interest and abuse in State government. Its mission is designed to ensure that State government maintains the highest standards of integrity and accountability.

ORGANIZATION AND STAFFING

The Office of the State Inspector General was fundamentally restructured pursuant to Executive Order 39 issued by the Governor in June 1996. The Executive Order provides for the consolidation of most of the State's inspector general activities in a single office that replaces what were formerly separate, semi-independent deputy inspectors general based in the agencies they served. Headed by the Inspector General who is appointed by the Governor, the Office is headquartered in Albany and has offices in New York City and Buffalo. It will have a workforce of 82 in 2002-03.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2002-03 Executive Budget recommends a General Fund appropriation of approximately \$5.0 million and nearly \$1.4 million in support coming primarily from public authorities. This will allow the Office to maintain existing operations.

PROGRAM HIGHLIGHTS

Since the promulgation of Executive Order 39, the Office of the State Inspector General has acted on more than 5,000 complaints of fraud, criminal activity, waste and abuse involving State employees and resources. The Office has focused its investigative efforts on major cases involving theft, bribery, contract fraud, abuse of authority, and other serious allegations of corruption. Currently, the Agency's investigators are working jointly with local, State, and Federal law enforcement personnel and prosecutors on significant matters of public concern.

Since 1999, the Office's investigations have resulted in the arrest of more than 140 individuals, and referrals for discipline in numerous other cases. In addition, many cases resulted in recommendations for administrative or policy changes. The State Inspector General's cases uncovered instances of theft, bid rigging, bribery, creation of fraudulent documents, misuse of computers, narcotics trafficking, and sexual assault. Equally important, the Office's investigations have, in many instances, cleared agencies and individuals of allegations that were unfounded or unsubstantiated.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available	Appropriations	Change	Reappropriations
	2001-02	Recommended 2002-03		Recommended 2002-03
State Operations	6,366,000	6,366,000	0	0
Aid To Localities	0	0	0	0
Capital Projects	0	0	0	0
Total	6,366,000	6,366,000	0	0

STATE INSPECTOR GENERAL

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	Full-Time Equivalent Positions (FTE)		
	2001-02	2002-03	FTE Change
	Estimated FTEs 03/31/02	Estimated FTEs 03/31/03	
Inspector General			
General Fund	63	63	0
Special Revenue Funds - Other	19	19	0
Total	82	82	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	4,967,000	4,967,000	0
Special Revenue Funds - Other	1,399,000	1,399,000	0
Total	6,366,000	6,366,000	0

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Inspector General			
General Fund	4,967,000	4,967,000	0
Special Revenue Funds - Other	1,399,000	1,399,000	0
Total	6,366,000	6,366,000	0

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Inspector General	4,387,000	0	4,387,000	0
Total	4,387,000	0	4,387,000	0

STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Contractual Services	
	Amount	Change	Amount	Change
Inspector General	580,000	0	580,000	0
Total	580,000	0	580,000	0

STATE INSPECTOR GENERAL

STATE OPERATIONS - OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2002-03 RECOMMENDED
(dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Inspector General	1,399,000	0	1,014,000	(14,000)
Total	<u>1,399,000</u>	<u>0</u>	<u>1,014,000</u>	<u>(14,000)</u>
Program	Nonpersonal Service			
	Amount	Change		
Inspector General	385,000	14,000		
Total	<u>385,000</u>	<u>14,000</u>		