

DEPARTMENT OF CORRECTIONAL SERVICES

MISSION

The Department of Correctional Services (DOCS) is responsible for the secure confinement of convicted felons and the preparation of these individuals for successful reintegration into the community upon release.

ORGANIZATION AND STAFFING

The Department, headed by a Commissioner, will have approximately 31,600 employees to operate 71 facilities. Each correctional facility is headed by a Superintendent and executive staff to oversee the daily operation of the nation's fourth largest state prison system.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The budget recommendations for the Department of Correctional Services continue to reflect a reduced demand for prison space after two decades of capacity expansion. This reversal of the historical trend is the result of several factors, including a decline in the statewide number of felony arrests and the success of initiatives (such as Shock Incarceration, the Willard Drug Treatment Campus and the Merit Time Program) designed to reduce the number of non-violent offenders in prison. As a result, the Department will phase out additional beds currently in use in the prison system.

It is important to note that the reduction in demand for prison space is for medium-security and minimum-security housing. Over the past six years, penalties for violent crime have increased significantly in New York State. Jenna's Law, enacted in August 1998, together with Truth-in-Sentencing legislation enacted in 1995, ensure that violent offenders will serve 85 percent of their sentence in prison. The reduction in local assistance funding reflects the ability of the Agency to move State-ready prisoners from local jails to State prison in a timely manner due to the availability of State prison capacity. During the period July 1999 to September 2001, the number of State-ready prisoners declined from 4,425 to less than 300.

The Department will continue "right-sizing" the prison system to reflect the changing inmate population. Under utilized work release capacity in New York City, medium security housing space at Sing Sing, and smaller special housing units will be closed.

- State tax dollars finance 89 percent of the Department's State Operations Budget and 100 percent of the Department's Aid to Localities Budget to reimburse counties for the cost of housing inmates awaiting transfer to State prison.
- Federal funds support 3 percent of the Department's State Operations Budget, offsetting the cost of housing illegal alien felons, inmate education, and substance abuse treatment.
- Correctional Facilities Capital Improvement Fund appropriations of \$225 million ensure that all housing, medical buildings and support space remain functional, safe and secure.
- The DOCS Internal Service Fund appropriates \$92.5 million related to operation of the Correctional Industries program (Corcraft). This inmate vocational program engages in the production of commodities, as well as prison maintenance and rehabilitation projects, giving individuals an opportunity to learn employment skills to improve their chances of successful reintegration into the community. This appropriation includes support of the general reissue of license plates which began in January 2001, and is scheduled to conclude at the end of the 2002-03 fiscal year.

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PROGRAM HIGHLIGHTS

The Department operates correctional facilities with a wide range of security measures. Inmates are provided with appropriate programming to afford offenders an opportunity for rehabilitation aimed at reducing recidivism.

Additionally, the Department, in cooperation with the Division of Parole, operates the Willard Drug Treatment Campus in Seneca County. Through this program, courts have the option to remand low level, nonviolent offenders to treatment — an option expected to stop the cycle of drug-related criminal activity at far less cost to the taxpayers than traditional incarceration.

ADMINISTRATION

Administrative staff formulate and oversee Agency policy and provide operational support to correctional facilities. The responsibilities of this program include the direction of inmate classification and movement, labor relations, personnel and financial transactions, and the Department's legal affairs.

SUPPORT SERVICES

The Support Services Program provides all resources necessary for the operation of correctional facilities housing individuals remanded to State custody. This includes the employment of all facility managers and centrally assigned staff essential to operate and maintain the Agency's physical plant. Through this program, the Agency also provides inmate food and transportation services, and enters into cooperative agreements with local governments for sewer/water systems. The Agency has been increasingly successful in implementing initiatives to improve operational efficiencies.

SUPERVISION OF INMATES

The Department employs approximately 20,600 correction officers to ensure a secure environment for employees and inmates within the correctional setting and to protect the safety of surrounding communities.

PROGRAM SERVICES

The Department operates a myriad of programs designed to prepare inmates for successful reintegration into the community. The majority of inmates entering State prison have histories of substance abuse and severe educational deficits — two factors highly predictive of criminal behavior. To counter this problem, the Agency's rehabilitation efforts focus on basic education and simple vocational skill achievement to ready inmates for employment upon release. The transitional services program will assist every inmate throughout all stages of their incarceration to fully participate in the wide variety of programs available in the Department's facilities.

The Agency's Comprehensive Alcohol and Substance Abuse Treatment program (CASAT) will continue to provide over 4,800 offenders each year with six months of residential treatment and follow-up care. Resources to coordinate the Agency's sex offender treatment programs, aggression management programs and transitional services will enable the Department to better treat and stabilize the prison population.

HEALTH SERVICES

Offenders entering prison present a significant need for health care because of high rates of disease related to AIDS, tuberculosis and other infectious conditions. The recommended

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budget ensures that the Department has the resources to meet the full need for AIDS screening and interventions. Notably, the number of inmates dying annually from HIV-related disease has plummeted by more than 90 percent since 1995.

The Department's approach to health care has kept pace with the national trend toward cost efficient managed care programs. To contain escalating costs of appropriate health care for the inmate population, the Department will continue the operation of regional medical units on the grounds of Mohawk, Coxsackie, Wende, Bedford Hills and Fishkill correctional facilities.

CAPITAL PROJECTS

The Department of Correctional Services operates an institutional network of 71 correctional facilities, a number of which were converted during prison expansion in the 1980's from very old facilities initially built for other uses. With the completion of Five Points Correctional Facility signaling the end of the most recent capacity expansion effort, the Capital Projects Fund will now focus its resources on critical physical plant maintenance and rehabilitation projects.

DEPARTMENT OF CORRECTIONAL SERVICES MALE FACILITIES

Maximum Security

Attica Correctional Facility	(Wyoming County)
Auburn Correctional Facility	(Cayuga County)
Clinton Correctional Facility	(Clinton County)
Coxsackie Correctional Facility	(Greene County)
Downstate Correctional Facility	(Dutchess County)
Eastern Correctional Facility	(Ulster County)
Elmira Correctional Facility	(Chemung County)
Five Points Correctional Facility	(Seneca County)
Green Haven Correctional Facility	(Dutchess County)
Great Meadow Correctional Facility	(Washington County)
Shawangunk Correctional Facility	(Ulster County)
Sing Sing Correctional Facility	(Westchester County)
Southport Correctional Facility	(Chemung County)
Sullivan Correctional Facility	(Sullivan County)
Upstate Correctional Facility	(Franklin County)
Wende Correctional Facility	(Erie County)

Medium Security

Adirondack Correctional Facility	(Clinton County)
Altona Correctional Facility	(Clinton County)
Arthurskill Correctional Facility and CASAT**	(Richmond County)
Bare Hill Correctional Facility	(Franklin County)
Butler CASAT	(Wayne County)
Cape Vincent Correctional Facility and CASAT	(Jefferson County)
Cayuga Correctional Facility	(Cayuga County)
Chateaugay CASAT	(Franklin County)
Collins Correctional Facility	(Erie County)
Fishkill Correctional Facility	(Dutchess County)
Franklin Correctional Facility	(Franklin County)
Gouverneur Correctional Facility	(St. Lawrence County)
Gowanda Correctional Facility	(Erie County)
Greene Correctional Facility	(Greene County)
Groveland Correctional Facility	(Livingston County)
Hale Creek CASAT	(Fulton County)
Hudson Correctional Facility	(Columbia County)
Livingston Correctional Facility	(Livingston County)
Marcy Correctional Facility and CASAT Annex	(Oneida County)
Mid-Orange Correctional Facility	(Orange County)
Mid-State Correctional Facility	(Oneida County)
Mohawk Correctional Facility	(Oneida County)
Mt. McGregor Correctional Facility	(Saratoga County)
Ogdensburg Correctional Facility	(St. Lawrence County)
Oneida Correctional Facility	(Oneida County)
Orleans Correctional Facility	(Orleans County)
Otisville Correctional Facility	(Orange County)
Riverview Correctional Facility	(St. Lawrence County)
Ulster Correctional Facility	(Ulster County)

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Wallkill Correctional Facility	(Ulster County)
Washington Correctional Facility	(Washington County)
Watertown Correctional Facility	(Jefferson County)
Woodbourne Correctional Facility	(Sullivan County)
Wyoming Correctional Facility	(Wyoming County)

Minimum Security

Butler Correctional Facility	(Wayne County)
Lyon Mountain Correctional Facility	(Clinton County)

Minimum Work Release

Buffalo Correctional Facility	(Erie County)
Edgecombe Correctional Facility	(New York County)
Fishkill Correctional Facility*	(Dutchess County)
Fulton Correctional Facility	(Bronx County)
Hudson Correctional Facility*	(Columbia County)
Lincoln Correctional Facility	(New York County)
Queensboro Correctional Facility	(Queens County)
Rochester Correctional Facility	(Monroe County)

Camps

Camp Gabriels	(Franklin County)
Camp Georgetown	(Madison County)
Camp Mt. McGregor*	(Saratoga County)
Camp Pharsalia	(Chenango County)
Camp Fallsburg*	(Sullivan County)

Shock Incarceration

Lakeview Shock Incarceration Facility	(Chautauqua County)
Monterey Shock Incarceration Facility	(Schuyler County)
Moriah Shock Incarceration Facility	(Essex County)
Summit Shock Incarceration Facility	(Schoharie County)

Drug Treatment Campus

Willard Drug Treatment Campus	(Seneca County)
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DEPARTMENT OF CORRECTIONAL SERVICES FEMALE FACILITIES

Maximum Security

Bedford Hills Correctional Facility	(Westchester County)
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Medium Security

Albion Correctional Facility	(Orleans County)
Bayview Correctional Facility	(New York County)
Taconic Correctional Facility and CASAT	(Westchester County)

Minimum Security

Beacon Correctional Facility	(Dutchess County)
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Minimum Work Release

Albion Correctional Facility*	(Orleans County)
Bayview Correctional Facility*	(New York County)

Shock Incarceration

Lakeview Shock Incarceration Facility	(Chautauqua County)
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Drug Treatment Campus

Willard Drug Treatment Campus	(Seneca County)
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* Indicates programs are operating as part of a larger correctional facility listed under the same name.

** CASAT is an acronym for Comprehensive Alcohol and Substance Abuse Treatment.

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ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2001-02	Appropriations Recommended 2002-03	Change	Reappropriations Recommended 2002-03
State Operations	2,035,142,700	2,027,186,000	(7,956,700)	19,141,000
Aid To Localities	21,537,000	11,400,000	(10,137,000)	0
Capital Projects	195,000,000	225,000,000	30,000,000	783,209,000
Total	<u>2,251,679,700</u>	<u>2,263,586,000</u>	<u>11,906,300</u>	<u>802,350,000</u>

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2001-02 Estimated FTEs 03/31/02	2002-03 Estimated FTEs 03/31/03	FTE Change
Administration			
General Fund	271	271	0
Special Revenue Funds - Federal	1,009	1,009	0
Correctional Industries			
Internal Service Funds	542	542	0
Facilities Planning and Development			
Capital Projects Funds - Other	35	35	0
Health Services			
General Fund	1,865	1,862	(3)
Enterprise Funds	32	32	0
Program Services			
General Fund	3,328	3,267	(61)
Enterprise Funds	204	204	0
Supervision of Inmates			
General Fund	21,309	20,846	(463)
Support Services			
General Fund	3,613	3,556	(57)
Total	<u>32,208</u>	<u>31,624</u>	<u>(584)</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	1,802,454,000	1,807,063,000	4,609,000
Special Revenue Funds - Federal	83,344,000	61,239,000	(22,105,000)
Enterprise Funds	62,856,700	66,404,000	3,547,300
Internal Service Funds	86,470,000	92,462,000	5,992,000
Fiduciary Funds	18,000	18,000	0
Total	<u>2,035,142,700</u>	<u>2,027,186,000</u>	<u>(7,956,700)</u>

Adjustments:

Recommended Deficiency	
Correctional Services, Department of	
General Fund	(19,700,000)
Appropriated 2001-02	<u>2,015,442,700</u>

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Administration			
General Fund	21,121,000	24,852,000	3,731,000
Special Revenue Funds - Federal	83,344,000	61,239,000	(22,105,000)
Enterprise Funds	1,817,700	1,818,000	300
Correctional Industries			
Internal Service Funds	86,470,000	92,462,000	5,992,000
Health Services			
General Fund	204,772,000	203,812,000	(960,000)
Enterprise Funds	16,025,000	18,001,000	1,976,000
Program Services			
General Fund	197,391,000	189,799,000	(7,592,000)
Enterprise Funds	44,514,000	45,985,000	1,471,000
Fiduciary Funds	18,000	18,000	0
Supervision of Inmates			
General Fund	1,051,055,000	1,053,171,000	2,116,000
Support Services			
General Fund	328,115,000	335,429,000	7,314,000
Enterprise Funds	500,000	600,000	100,000
Total	<u>2,035,142,700</u>	<u>2,027,186,000</u>	<u>(7,956,700)</u>

STATE OPERATIONS - GENERAL FUND SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	16,608,000	1,158,000	16,431,000	1,157,000
Health Services	100,011,000	4,837,000	92,688,000	4,777,000
Program Services	156,215,000	(3,042,000)	145,966,000	(3,358,000)
Supervision of Inmates	1,037,335,000	2,805,000	999,164,000	(846,000)
Support Services	146,494,000	1,865,000	142,591,000	956,000
Total	<u>1,456,663,000</u>	<u>7,623,000</u>	<u>1,396,840,000</u>	<u>2,686,000</u>
Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change
Administration	10,000	0	167,000	1,000
Health Services	4,164,000	141,000	3,159,000	(81,000)
Program Services	7,739,000	634,000	2,510,000	(318,000)
Supervision of Inmates	3,409,000	1,801,000	34,762,000	1,850,000
Support Services	171,000	6,000	3,732,000	903,000
Total	<u>15,493,000</u>	<u>2,582,000</u>	<u>44,330,000</u>	<u>2,355,000</u>

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STATE OPERATIONS - GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	8,244,000	2,573,000	443,000	0
Health Services	103,801,000	(5,797,000)	38,791,000	(4,470,000)
Program Services	33,584,000	(4,550,000)	10,214,000	(153,000)
Supervision of Inmates	15,836,000	(689,000)	8,338,000	(436,000)
Support Services	188,935,000	5,449,000	104,964,000	2,250,000
Total	350,400,000	(3,014,000)	162,750,000	(2,809,000)

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	286,000	(96,000)	6,322,000	2,269,000
Health Services	130,000	(43,000)	64,116,000	(1,684,000)
Program Services	538,000	(180,000)	22,303,000	(4,217,000)
Supervision of Inmates	2,024,000	(509,000)	4,850,000	241,000
Support Services	2,093,000	(649,000)	74,909,000	3,848,000
Total	5,071,000	(1,477,000)	172,500,000	457,000

Program	Equipment	
	Amount	Change
Administration	1,193,000	400,000
Health Services	764,000	400,000
Program Services	529,000	0
Supervision of Inmates	624,000	15,000
Support Services	6,969,000	0
Total	10,079,000	815,000

STATE OPERATIONS - OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2002-03 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	63,057,000	(22,104,700)	56,302,000	(17,328,700)
Correctional Industries	92,462,000	5,992,000	25,438,000	610,000
Health Services	18,001,000	1,976,000	2,261,000	76,000
Program Services	46,003,000	1,471,000	4,108,000	71,000
Support Services	600,000	100,000	0	0
Total	220,123,000	(12,565,700)	88,109,000	(16,571,700)

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	1,255,000	(8,516,000)	5,500,000	3,740,000
Correctional Industries	67,024,000	5,382,000	0	0
Health Services	15,740,000	1,900,000	0	0
Program Services	41,895,000	1,400,000	0	0
Support Services	600,000	100,000	0	0
Total	126,514,000	266,000	5,500,000	3,740,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2001-02	Recommended 2002-03	Change
General Fund	21,537,000	11,400,000	(10,137,000)
Total	21,537,000	11,400,000	(10,137,000)

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AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Program	Available 2001-02	Recommended 2002-03	Change
Support Services			
General Fund	21,537,000	11,400,000	(10,137,000)
Total	<u>21,537,000</u>	<u>11,400,000</u>	<u>(10,137,000)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

Comprehensive Construction Program	Available 2001-02	Recommended 2002-03	Change	Reappropriations 2002-03
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	0	0	0	3,000,000
Federal Capital Projects Fund	0	20,000,000	20,000,000	12,366,000
Correctional Facilities Capital Improvement Fund	195,000,000	205,000,000	10,000,000	523,679,000
UDC Financed and Other New Facility Capacity Expansion				
Correctional Facilities Capital Improvement Fund	0	0	0	244,164,000
Total	<u>195,000,000</u>	<u>225,000,000</u>	<u>30,000,000</u>	<u>783,209,000</u>