

OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

MISSION

The Office of Alcoholism and Substance Abuse Services (OASAS) is responsible for licensing and evaluating service providers, and for implementing and advocating policies and programs for the prevention, early intervention and treatment of alcoholism and substance abuse. In conjunction with local governments, providers and communities, the Office works to provide a full range of necessary and cost-effective services for persons with chemical dependencies and at risk of addiction.

ORGANIZATION AND STAFFING

The Office of Alcoholism and Substance Abuse Services is headed by a Commissioner, responsible for the development and management of the State's policy on chemical dependence. The agency exercises these responsibilities directly as a provider of treatment services through a statewide system of 13 Addiction Treatment Centers (ATCs), through the regulation and oversight of prevention and chemical dependence services, and as a conduit for Federal and State financial assistance. The agency also furthers other State policy goals — including reform efforts in criminal justice, health care and public assistance — by coordinating chemical dependence resources and strategies in collaboration with other State agencies.

In 2001-02, OASAS will have a workforce of 1,001, of which 55 percent provide clinical treatment services through the ATCs. This represents a year-to-year reduction of 27 positions — of which the final seven will occur in 2001-02 — accomplished through the restructuring of central oversight functions. No staffing reductions will be made in the ATCs.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2001-02 Executive Budget recommends \$495 million in State and other resources for OASAS to continue agency operations, bolster community infrastructure and expand services to priority populations. This funding is supplemented by Federal and State resources budgeted in other State agencies, and by the contributions of local government, voluntary agencies and other sources. When combined, nearly \$1.5 billion will be invested in the prevention and treatment of chemical dependence in 2001-02.

In comparison to the prior year, total OASAS appropriations decrease by nearly \$10 million. Nearly half of this reduction is attributable to a non-recurring 2000-01 capital increase of \$5 million to develop 100 new beds offering specialized treatment to adolescents and women with children. This funding is continued as a reappropriation. The remaining decrease reflects the maximization of Federal reimbursement, more efficient operations, and the elimination of other non-recurring costs.

The recommended funding level is sufficient to ensure the continuation of all existing services, including:

- full operation of all 13 ATCs;
- all necessary operating funds in support of planned residential bed expansions begun in both 1997-98 and 2000-01;
- preservation of the full 2 percent cost of living increase granted in 2000-01; and,
- sufficient capital funding to meet and maintain health and safety standards in both the State and voluntary facilities.

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In addition, the 2001-02 Executive Budget continues the consolidation of the OASAS system — uniting the separate alcoholism and substance abuse systems into a single chemical dependence system.

PROGRAM HIGHLIGHTS

As the largest drug and alcohol prevention and treatment system in the nation, OASAS administers a comprehensive array of prevention and treatment services for New Yorkers suffering from addiction. The Office works with a network of State, local government and voluntary agencies, as well as school districts, to provide prevention and treatment services. In accordance with Federal and State statutes, the agency licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

On any given day, approximately 120,000 patients are enrolled in New York State's licensed alcoholism and substance abuse treatment and rehabilitation system. Services within this system are provided by more than 1,300 community-based agencies — approximately 600 licensed alcoholism programs and 700 licensed substance abuse programs.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available 2000-01	Appropriations Recommended 2001-02	Change	Reappropriations Recommended 2001-02
State Operations	72,587,000	70,432,000	(2,155,000)	0
Aid To Localities	397,304,781	394,497,000	(2,807,781)	57,821,000
Capital Projects	35,186,000	30,940,000	(4,246,000)	132,172,000
Total	505,077,781	495,869,000	(9,208,781)	189,993,000

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Full-Time Equivalent Positions (FTE)

Program	2000-01 Estimated FTEs 03/31/01	2001-02 Estimated FTEs 03/31/02	FTE Change
Executive Direction			
General Fund	352	345	(7)
Special Revenue Funds - Federal	75	75	0
Special Revenue Funds - Other	12	12	0
Capital-Administration			
Capital Projects Funds - Other	8	8	0
Institutional Services			
General Fund	551	551	0
Prevention and Program Support			
Special Revenue Funds - Federal	10	10	0
Total	1,008	1,001	(7)

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STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

<u>Fund Type</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
General Fund	49,444,000	46,941,000	(2,503,000)
Special Revenue Funds - Federal	5,922,000	5,663,000	(259,000)
Special Revenue Funds - Other	17,221,000	17,828,000	607,000
Total	<u>72,587,000</u>	<u>70,432,000</u>	<u>(2,155,000)</u>

Adjustments:

Transfer(s) From			
Alcoholism and Substance Abuse Services, Office of			
Special Revenue Funds - Federal (Aid To Localities)	(1,233,000)		
Special Pay Bill			
General Fund	(4,201,000)		
Special Revenue Funds - Federal	(316,000)		
Special Revenue Funds - Other	(43,000)		
Appropriated 2000-01	<u>66,794,000</u>		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Executive Direction			
General Fund	23,430,000	20,128,000	(3,302,000)
Special Revenue Funds - Federal	5,922,000	5,663,000	(259,000)
Special Revenue Funds - Other	7,871,000	8,828,000	957,000
Institutional Services			
General Fund	26,014,000	26,813,000	799,000
Special Revenue Funds - Other	9,350,000	9,000,000	(350,000)
Total	<u>72,587,000</u>	<u>70,432,000</u>	<u>(2,155,000)</u>

STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

<u>Program</u>	Total Personal Service		Personal Service Regular (Annual Salaried)	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Executive Direction	20,982,000	(1,503,000)	20,802,000	(1,503,000)
Institutional Services	27,746,000	(334,000)	27,001,000	(334,000)
Total	<u>48,728,000</u>	<u>(1,837,000)</u>	<u>47,803,000</u>	<u>(1,837,000)</u>

<u>Program</u>	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Executive Direction	112,000	0	68,000	0
Institutional Services	404,000	0	341,000	0
Total	<u>516,000</u>	<u>0</u>	<u>409,000</u>	<u>0</u>

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STATE OPERATIONS - GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total Nonpersonal Service		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	6,846,000	(799,000)	579,000	0
Institutional Services	8,067,000	783,000	3,682,000	291,000
Total	14,913,000	(16,000)	4,261,000	291,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	641,000	(150,000)	5,602,000	(649,000)
Institutional Services	251,000	0	3,748,000	492,000
Total	892,000	(150,000)	9,350,000	(157,000)

Program	Equipment	
	Amount	Change
Executive Direction	24,000	0
Institutional Services	386,000	0
Total	410,000	0

STATE OPERATIONS - OTHER THAN GENERAL FUND AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2001-02 RECOMMENDED (dollars)

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	6,791,000	(302,000)	3,389,000	600,000
Total	6,791,000	(302,000)	3,389,000	600,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	1,904,000	(859,000)	1,498,000	(43,000)
Total	1,904,000	(859,000)	1,498,000	(43,000)

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS (dollars)

Fund Type	Available 2000-01	Recommended 2001-02	Change
General Fund	257,136,781	254,730,000	(2,406,781)
Special Revenue Funds - Federal	132,168,000	131,767,000	(401,000)
Special Revenue Funds - Other	8,000,000	8,000,000	0
Total	397,304,781	394,497,000	(2,807,781)

Adjustments:	
Transfer(s) To	
Alcoholism and Substance Abuse Services, Office of	
Special Revenue Funds - Federal (State Operations)	1,233,000
Appropriated 2000-01	398,537,781

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AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>
Community Treatment Services Program			
General Fund	205,476,000	204,611,000	(865,000)
Special Revenue Funds - Federal	89,451,000	88,993,000	(458,000)
Prevention and Program Support			
General Fund	50,119,000	50,119,000	0
Special Revenue Funds - Federal	42,717,000	42,774,000	57,000
Special Revenue Funds - Other	8,000,000	8,000,000	0
Community Projects			
General Fund	1,541,781	0	(1,541,781)
Total	<u>397,304,781</u>	<u>394,497,000</u>	<u>(2,807,781)</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS (dollars)

<u>Comprehensive Construction Program</u>	<u>Available 2000-01</u>	<u>Recommended 2001-02</u>	<u>Change</u>	<u>Reappropriations 2001-02</u>
Design and Construction Supervision				
Capital Projects Fund	1,500,000	1,250,000	(250,000)	3,500,000
Mental Hygiene Capital Improvement Fund	2,966,000	2,250,000	(716,000)	8,182,000
Administration				
Capital Projects Fund	519,000	902,000	383,000	0
Mental Hygiene Capital Improvement Fund	279,000	0	(279,000)	0
Non-Bondable Projects				
Capital Projects Fund	752,000	750,000	(2,000)	752,000
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	2,750,000	3,288,000	538,000	20,315,000
Mental Hygiene Capital Improvement Fund	24,100,000	20,000,000	(4,100,000)	82,239,000
Institutional Services Program				
Capital Projects Fund	383,000	500,000	117,000	3,668,000
Mental Hygiene Capital Improvement Fund	1,937,000	2,000,000	63,000	13,516,000
Total	<u>35,186,000</u>	<u>30,940,000</u>	<u>(4,246,000)</u>	<u>132,172,000</u>

