

# ***PART III***

## ***CAPITAL PROGRAM AND FINANCING PLAN***



# ***CAPITAL PROGRAM AND FINANCING PLAN***

## ***HIGHLIGHTS***

### ***DEBT REFORM — CONTROLLING STATE DEBT AND REDUCING TAXPAYER COSTS***

A cornerstone of Governor Pataki's restoration of fiscal integrity and discipline in New York State's finances has been his policy to control both the costs and levels of State debt. The success of these efforts have been acknowledged by the financial community — the State's credit rating has been upgraded twice in two years, improving the marketability of State-supported debt. This year, the Governor proposes a tripling of the State's Debt Reduction Reserve Fund and a series of Constitutional and statutory changes that will ensure his policy of prudent debt management remains in place for generations to come.

### ***CONSTITUTIONAL AND STATUTORY DEBT REFORM***

The Governor's new Constitutional and statutory debt reform effort will:

- Cap new debt outstanding at 3.5 percent of personal income, reflecting a significant decline from the current level of 6 percent of personal income;
- Cap new debt service costs at 5 percent of All Funds receipts. This will ensure that ultimate debt service costs as a percent of All Funds receipts will not grow from their current levels of about 5 percent;
- Ban "back door" borrowing and Constitutionally authorize a limited amount of revenue-backed debt (no more than 1.75 percent of personal income);
- Authorize multiple general obligation bond act proposals;
- Restrict the use of debt to financing capital purposes only;
- Reduce the maximum term of debt to 30 years; and
- Require that proposals which would increase debt be aired publically for 14 days prior to Legislative action.

The Governor's debt reform proposal will permanently improve the State's debt practices, and is consistent with proposals recently advanced by the State Comptroller and the Assembly Minority. Statutory caps will be imposed, beginning in 2000-01, and will remain in effect until the Constitutional amendment takes effect. Since Constitutional amendments require passage by two separately-elected Legislatures and approval by the voters, they could not take effect until January 1, 2002.

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### **CAPITAL PROGRAM AND FINANCING PLAN CONTINUES TO REDUCE RELIANCE ON DEBT**

The five-year Capital Program and Financing Plan reduces State debt levels by financing strategic investments in vital capital projects with a larger portion of State and Federal pay-as-you-go resources. The portion of the Plan financed with debt will decline from 49 percent in 1994-95 to 40 percent in 2004-05.

### **DEBT REDUCTION RESERVE FUND — THIRD CONSECUTIVE DEPOSIT CONTINUES TO LOWER DEBT**

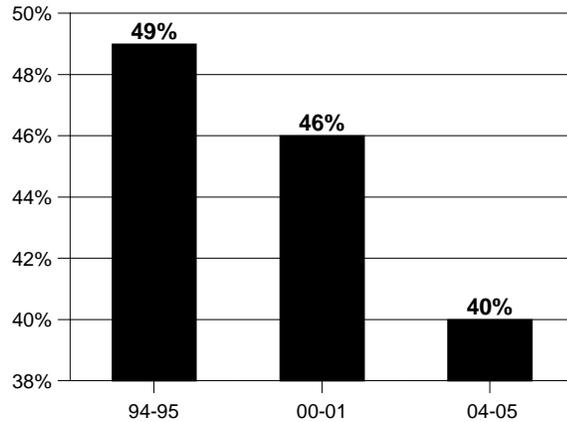
In 1998-99, the Governor created the Debt Reduction Reserve Fund (DRRF) with an initial deposit of \$50 million, as a "down payment" on reducing New York's debt. In 1999-2000 the Governor increased the Fund to \$250 million. The 2000-01 Executive Budget adds an additional \$500 million to bring the Fund's balance to \$750 million — fifteen times the initial "down payment" — in just three years.

Governor Pataki's third consecutive deposit into DRRF consists of \$250 million from one-time moneys received by the State as part of its recoveries under the tobacco settlement agreement and \$250 million from the 1999-2000 surplus. Two thirds, or \$500 million, of the moneys will be used in the upcoming Budget to retire the State's high cost debt and increase pay-as-you-go spending for previously bond-financed programs. The balance, or \$250 million, will recapitalize DRRF in 2000-01 — ensuring that debt is reduced further in 2001-02. Over the life of the five-year Capital Plan, these actions will reduce State debt by \$750 million and generate \$1.5 billion in savings to taxpayers in the form of decreased debt service costs.

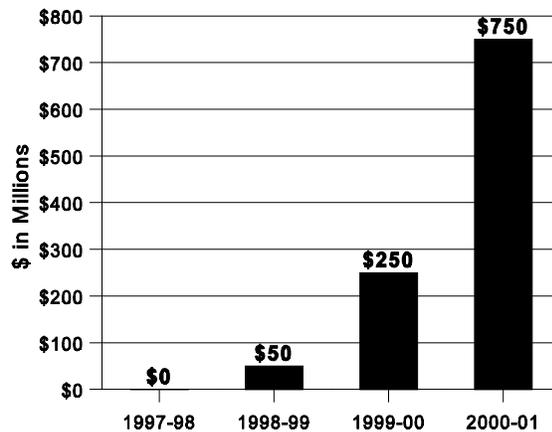
### **TARGETED CAPITAL SPENDING CONTROLS OVERALL DEBT AND COSTS**

Capital spending will average \$4.3 billion annually over the life of the five-year Plan and is targeted to high priority investments in vital programs. Financing resources for the Capital Plan reflect a prudent balance between controlling debt through debt reform and an increased use of pay-as-you-go resources to ensure that sound investments in the State's infrastructure continue to promote economic development, protect the health and safety of New Yorkers and educate our children and the next workforce.

### **Debt Financing Declines As a Percent of Capital Spending**

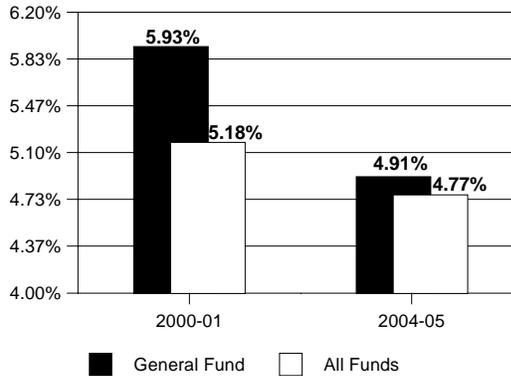


### **Dramatic Growth in Debt Reduction Reserve Fund**



- Average annual growth in total State-supported debt outstanding through the end of the five-year Capital Plan is limited to 1.4 percent — less than the rate of inflation.
- Total debt outstanding declines as a percent of personal income — from about 6 percent in 1999-2000 to 5.1 percent in 2004-05.
- Debt service costs as a percent of General Fund and All Funds receipts declines as shown below.

**Debt Service Cost Decline  
As a Percent of Revenues**



**OVERVIEW**

The Plan is submitted with the Executive Budget in accordance with section 22-c of the State Finance Law. It consists of two sections, the Financing Plan and the Capital Program Plan, which provide capital planning and financing information for the current fiscal year and the five-year period 2000-01 through 2004-05.

The Financing Plan section provides a summary of agency capital spending by financing source and on a program, agency and issuer basis. It also shows the level of debt issuances, retirements, outstanding State-supported debt and the resultant impact on future debt service costs.

The Capital Program Plan section provides agency capital goals and objectives, including a discussion of recommended projects and changes from prior year plans. Also included in this section are the recommended methods for financing new and ongoing capital programs or initiatives, and agency schedules of projected appropriations, commitments and disbursements. The agency narratives provide a summary of each agency's capital goals and maintenance efforts.

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This section discusses the financing sources for each agency's capital program. Projected levels of spending by fiscal year are arrayed according to the expected source of funding. These include State and Federal pay-as-you-go resources, and proceeds of general obligation or authority bonds.

The Financing section of the Capital Program and Financing Plan presents the State's current assumptions about future Federal grants, general obligation bond authorizations,

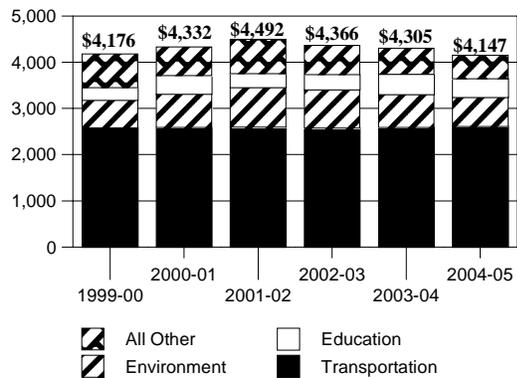
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the use of State-supported debt, General Fund transfers, and support from other resources. The capital spending and financing receipts presented in this section correspond to the financial projections contained in the Executive Budget. Five-year financial plans for the Capital Projects Funds and the Debt Service Funds are also included to provide the reader with a consolidated summary of all financial activity described in this Plan. Finally, this section includes schedules of bond issuances, retirements, debt outstanding and associated debt service costs for all State-supported debt. This information is provided separately for each program, by issuer.

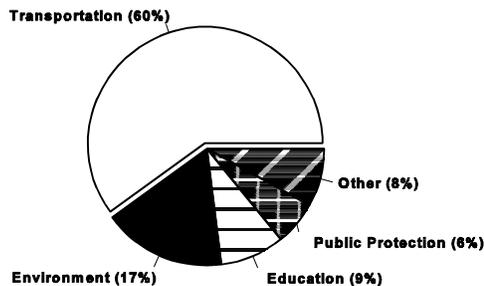
### CAPITAL SPENDING FOCUSED ON HIGH PRIORITY CAPITAL INVESTMENTS

Recommended 2000-01 capital spending increases by about \$160 million, or less than 4 percent, from the estimate for 1999-2000. Average annual spending over the outyears of the Plan remains relatively flat. The Plan recommends capital appropriations and reappropriations of \$37.2 billion (net of transfers), which will continue to support the State's diverse capital investments. Almost 97 percent of new appropriations are targeted for the preservation of existing facilities while the balance provides for new capital facilities to accommodate additional capacity needs. Total capital spending over the Plan is projected at \$21.6 billion, and will average \$4.3 billion annually.

### Capital Spending Targeted to Essential Investments



### Capital Spending for Critical Investments



Transportation spending, primarily for improvements to the State's highways and bridges, continues to account for the largest share of total spending — 60 percent. Another 17 percent is for environmental purposes, including the Clean Water/Clean Air Bond Act and approximately 15 percent is projected for education and public protection. The remainder will be spent in other areas, including mental hygiene, economic development and housing. Key spending recommendations included in the Plan are discussed below.

- The State's transportation infrastructure is a key element in the State's overall commitment to making investments that encourage economic development and promote commerce. A new Department of Transportation (DOT) five-year (2000-01 through 2004-05) transportation plan will provide more than \$14 billion for DOT programs that will continue to support State highways and bridges, rail, industrial access and aviation. Construction contract levels for highways and bridges will

- be funded at an unprecedented \$8 billion over the DOT five-year plan — averaging \$1.6 billion annually. When engineering costs and programs for other modes of transportation are added, average capital spending to support the new five-year DOT plan will grow to almost \$2.6 billion annually. Additional dedicated resources from existing taxes and fees of almost \$1.5 billion will be deposited to the Dedicated Highway and Bridge Trust Fund to support this spending.
- The new DOT five-year plan also continues to support local transportation programs. Funding for the Consolidated Highway Improvement Program (CHIPS) and the Marchiselli Program will be \$258 million in 2000-01 and will reach a record \$1.29 billion over the Plan.
  - Clean Water/Clean Air Bond Act appropriations and reappropriations over the five-year Plan are recommended for the balance of the \$1.75 billion Bond Act approved by the voters in 1996 to finance spending for air and water quality improvements and the restoration of industrial sites. Annual spending during the five-year Plan from the Environmental Protection Fund will average over \$118 million. New appropriations of \$125 million are recommended to continue important environmental projects, including the development of the Hudson River Park. Beginning in 2001-02, pay-as-you-go disbursements of \$105 million annually from the new Remedial Program Transfer Fund will finance the cleanup of hazardous substance sites and will continue to support the clean up of hazardous waste sites after the 1986 Environmental Quality Bond Act funds are fully committed.
  - The Executive Budget consolidates the State's criminal justice agencies into a new Department of Justice that will improve efficiency by streamlining those agencies. Capital appropriations and reappropriations of over \$2.1 billion are provided for the Department of Justice (which includes the former Department of Correctional Services and the Division of State Police). These funds will primarily support capital construction and maintenance of the State's correctional and State Police facilities. In conjunction with the Governor's sentencing reforms and Jenna's Law, the Capital Plan will accommodate the need to "right-size" the correctional system with the completion of a new maximum security prison in Seneca County and funding for a new 750-cell maximum security prison.
  - New appropriations and reappropriations of almost \$4.4 billion will continue to support the Governor's multi-year Capital Investment Plan for the State and City Universities of New York. The Capital Investment Plan will finance critical health and safety projects; preservation and handicapped access projects; the rehabilitation of existing facilities and the construction of new facilities to meet current academic needs; and the use of technology for instruction, research and community service at SUNY and CUNY campuses.
  - The Capital Plan includes annual spending of approximately \$100 million to maintain State support for low and moderate-income rental and home ownership and homeless housing programs.
  - Appropriations of almost \$1.1 billion are recommended for Mental Hygiene projects. Spending will support essential health and safety, rehabilitation and maintenance projects needed to preserve and maintain both State and community-based facilities operated and/or licensed by the Office of Mental Health, the Office of Mental Retardation and Developmental Disabilities, and the Office of Alcoholism and Substance Abuse Services. Bonded capital projects for State mental hygiene facilities are now funded directly from bond proceeds held at the Dormitory Authority. This results in a technical accounting change such that disbursements will no longer be reported in the State's accounting system. However, State support for mental hygiene facilities will not be impacted, and the Capital Plan will continue to display appropriation and commitment levels.
  - Funding is continued for the construction of a State office building for the Office of the State Comptroller, a new parking garage for downtown Albany and a new

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- Department of Environmental Conservation building. In addition, the Plan reflects spending for a new Department of Transportation Region One Headquarters building in the City of Schenectady and the rehabilitation and consolidation of other State office buildings to reduce operational costs and achieve space efficiencies.
- Funding is included to expand capacity and to replace the Harlem Valley Youth Facility. Spending for the new youth facility is anticipated to occur over the first four years of the Plan.
  - The Capital Plan also reflects a request by the Judiciary for a new appropriation of almost \$8 million for expenses associated with improvements to the Court of Appeals building in Albany.

**Capital Spending by Function**  
1999-2000 through 2004-2005  
(thousands)

	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
Transportation	\$2,581,946	\$2,588,262	\$2,597,053	\$2,576,144	\$2,586,367	\$2,609,459
Environment and Recreation						
Clean Water/Clean Air Bonds,						
Environmental Protection Fund and						
Remedial Program Transfer Fund	228,817	290,920	406,089	399,661	395,724	363,320
Federal and Other Programs	368,354	430,610	450,952	428,887	325,451	267,150
Education	267,230	401,230	297,030	327,430	431,530	402,730
Public Protection	372,035	260,686	331,678	240,425	199,225	199,225
Mental Hygiene	174,669	133,547	145,504	159,678	163,711	144,526
Housing and Economic Development	118,100	130,550	136,450	113,450	100,450	99,200
Other	65,243	96,325	126,921	120,069	102,922	61,560
<b>Total</b>	<b>\$4,176,394</b>	<b>\$4,332,130</b>	<b>\$4,491,677</b>	<b>\$4,365,744</b>	<b>\$4,305,380</b>	<b>\$4,147,170</b>

### **DEBT REDUCTION INITIATIVES REDUCE DEPENDENCE ON BOND FINANCING**

Capital spending in the Plan is financed by four sources: State and Federal pay-as-you-go resources, State general obligation bonds, and public authority State-supported bonds. The State's dependence on bond financing will be reduced as the share of capital spending financed with State and Federal pay-as-you-go resources increases from 54 percent in 2000-01 to 60 percent in 2004-05. The portion of the plan financed with bond proceeds similarly declines from 46 percent in 2000-01 to 40 percent in 2004-05.

Over the five-year Plan, 57 percent of total capital spending will be financed with cash resources — with 25 percent of total capital spending attributable to State pay-as-you-go resources and 32 percent attributable to Federal grants. The percentage of the Plan financed with authority bond proceeds and general obligation bonds is 37 percent and 6 percent, respectively. Reducing the State's dependency on bond-financing ensures that by the last year of the Plan, 60 percent of total spending will be financed by a combination of State and Federal pay-as-you-go resources.

**Capital Projects  
Capital Spending by Financing Source  
1999-2000 through 2004-2005  
(thousands)**

	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>
Financing Source						
General Obligation Bonds	\$274,605	\$333,170	\$311,166	\$294,092	\$214,577	\$149,103
Authority Bonds	1,592,301	1,642,660	1,698,067	1,623,517	1,618,453	1,528,150
State Pay-As-You-Go	997,306	999,919	1,138,377	1,086,919	1,056,795	1,027,752
Federal Funds Pay-As-You-Go	1,312,182	1,356,381	1,344,067	1,361,216	1,415,555	1,442,165
<b>Total</b>	<u>\$4,176,394</u>	<u>\$4,332,130</u>	<u>\$4,491,677</u>	<u>\$4,365,744</u>	<u>\$4,305,380</u>	<u>\$4,147,170</u>

**Capital Projects  
Mix of Financing Sources  
1999-2000 through 2004-2005**

	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>
Financing Source						
General Obligation Bonds	7%	8%	7%	7%	5%	3%
Authority Bonds	38%	38%	38%	37%	37%	37%
State Pay-As-You-Go	24%	23%	25%	25%	25%	25%
Federal Funds Pay-As-You-Go	31%	31%	30%	31%	33%	35%
<b>Total</b>	<u>100%</u>	<u>100%</u>	<u>100%</u>	<u>100%</u>	<u>100%</u>	<u>100%</u>

**STATE PAY-AS-YOU-GO**

State pay-as-you-go financing refers to payments for capital programs on a current basis from current State revenues. Pay-as-you-go resources include: General Fund taxes; other taxes and user fees set aside or dedicated for specific capital programs; repayments from local governments and public authorities for their share of projects; and transfers from other funds, including the General Fund.

Over the five-year Plan, State pay-as-you-go financing will total 25 percent or approximately \$5.3 billion of total capital projects spending. Nearly half of State pay-as-you-go resources will support the new DOT five-year transportation plan — a level consistent with transportation's share of total capital spending.

Capital spending supported by General Fund receipts is classified as a transfer to the Capital Projects Funds. The General Fund transfer, which is reflected in total State pay-as-you-go spending, is projected at \$238 million in 2000-01 and will average \$280 million annually over the Plan. The General Fund transfer primarily finances minor rehabilitation projects of State facilities of the Office of General Services, the Department of Environmental Conservation and the Department of Mental Hygiene. Beginning in 2001-02, it is anticipated that \$69 million annually will be transferred to the Remedial Program Transfer Fund which will finance the clean up of hazardous waste sites.

Dedicated revenues financing State pay-as-you-go projects for environmental and recreational purposes are projected to average approximately \$260 million during the Plan. In each year of the Plan, the Environmental Protection Fund (EPF) will continue to receive \$112 million from the State's real estate transfer tax, as well as other miscellaneous revenues. The EPF is the largest environmental fund with average annual spending of \$118 million, while the State Parks Infrastructure Fund (SPIF), supported exclusively by park fees and other miscellaneous revenues, is expected to finance an average of more than \$26 million annually of improvements to the State's park system. Spending for the new Hudson River Park will average almost \$28 million annually through 2004-05.

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The largest dollar component of State pay-as-you-go financing is for the Dedicated Highway and Bridge Trust Fund, which receives a significant portion of the petroleum business tax, the motor fuel tax, and motor vehicle fees, and all of the highway use tax. Over the five-year Plan, approximately \$1.5 billion in additional existing taxes and fees will be deposited to the Fund, increasing total Dedicated Fund receipts to approximately \$6.8 billion. Receipts deposited into the Trust Fund are used to finance projects on a pay-as-you-go basis and to pay debt service on bonds secured by the Fund to finance the new five-year transportation plan.

**Capital Projects Financed By  
State Pay-As-You-Go Resources  
1999-2000 through 2004-2005  
(thousands)**

	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
<b>Transportation</b>						
Department of Transportation	\$559,748	\$514,372	\$518,760	\$502,363	\$491,921	\$481,373
Thruway Authority	2,334	2,800	2,800	2,800	2,800	2,800
<b>Environment and Recreation</b>						
Department of Environmental Conservation	146,974	147,491	263,770	255,146	251,428	249,240
Office of Parks, Recreation and Historic Preservation	24,900	25,450	25,400	25,200	25,300	25,500
Olympic Regional Development Authority	10,617	0	0	0	0	0
Hudson River Park Trust	3,800	27,700	54,600	42,600	10,400	3,600
Adirondack Park Agency	0	0	0	0	0	0
Energy Research and Development Authority	12,500	13,900	15,400	14,800	15,100	15,400
<b>Public Protection</b>						
Department of Justice	3,483	7,086	3,953	2,700	1,500	1,500
Division of Military and Naval Affairs	5,500	6,300	6,300	6,300	6,300	6,300
<b>Education</b>						
State Education Department	1,130	3,630	3,630	3,630	3,630	3,630
City University of New York	9,100	9,100	9,100	9,100	9,100	9,100
State University of New York: Senior Colleges	48,500	95,000	95,800	96,200	107,800	96,500
Community Colleges	3,500	3,500	3,500	3,500	3,500	3,500
<b>Mental Hygiene</b>						
Office of Mental Health	31,519	31,112	32,644	34,609	36,400	37,818
Office of Mental Retardation and Developmental Disabilities	30,975	35,915	37,755	38,342	41,215	40,734
Office of Alcohol and Substance Abuse	5,712	10,771	6,030	5,588	5,706	5,722
<b>Housing and Economic Development</b>						
Division of Housing and Community Renewal	4,660	1,660	575	575	575	575
<b>Other</b>						
Office of General Services	72,676	35,150	38,500	34,200	36,000	39,500
Office of Children and Family Services	8,946	7,150	5,764	2,250	1,050	1,000
Office of Temporary and Disability Assistance	3,400	7,500	2,850	0	0	0
Council on the Arts	0	0	0	0	0	0
Department of Health	3,222	5,272	5,146	4,558	4,610	1,500
Department of State	460	460	460	458	460	460
Department of Agriculture and Markets	3,650	3,500	2,965	2,000	2,000	2,000
Judiciary	0	5,100	2,675	0	0	0
<b>Total State Pay-As-You-Go Financing</b>	<b>\$997,306</b>	<b>\$999,919</b>	<b>\$1,138,377</b>	<b>\$1,086,919</b>	<b>\$1,056,795</b>	<b>\$1,027,752</b>

## FEDERAL PAY-AS-YOU-GO

Federal pay-as-you-go spending includes spending financed by grants from the Federal Government, primarily for highways and bridges, drinking water and water pollution control facilities, correctional facilities and housing. During the Plan, Federal grants account for 32 percent of all spending.

The largest components of Federal pay-as-you-go spending are for transportation and the environment (including Federal spending for Department of Health Safe Drinking Water projects), averaging \$1.2 billion and more than \$180 million per year, respectively.

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**Capital Projects Financed By  
Federal Grants Pay-As-You-Go  
1999-2000 through 2004-2005  
(thousands)**

	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>
<b>Transportation</b>						
Department of Transportation	\$1,095,373	\$1,121,623	\$1,140,180	\$1,158,919	\$1,214,880	\$1,267,910
<b>Environment and Recreation</b>						
Department of Environmental Conservation	71,356	140,535	140,950	141,174	140,550	140,000
Office of Parks, Recreation and Historic Preservation	500	800	1,200	500	0	0
<b>Public Protection</b>						
Division of Military and Naval Affairs	2,000	3,300	3,425	3,425	3,425	3,425
Department of Justice	88,000	34,000	0	0	0	0
<b>Housing and Economic Development</b>						
Division of Housing and Community Renewal	10,000	10,000	10,000	10,000	10,000	10,000
<b>Other</b>						
Department of Health	44,953	46,123	48,312	47,198	46,700	20,830
<b>Total Federal Grants Pay-As-You-Go Financing</b>	<u>\$1,312,182</u>	<u>\$1,356,381</u>	<u>\$1,344,067</u>	<u>\$1,361,216</u>	<u>\$1,415,555</u>	<u>\$1,442,165</u>

### **GENERAL OBLIGATION BOND FINANCING**

General obligation bond financing of capital projects is accomplished through the issuance of full faith and credit State bonds which have been authorized by the voters. The Plan assumes the continued implementation of nine previously authorized Bond Acts, (five for transportation and four for environmental and recreational programs). Most of the general obligation spending over the five years is financed by the 1996 Clean Water/Clean Air Bond Act; 1988 ACTION bonds for highways and bridges; and the 1986 Environmental Quality Bond Act, which primarily funds hazardous waste remediation. Spending authorizations from the remaining six bond acts will be virtually depleted by the end of the Plan.

Spending supported by general obligation bonds totals 8 percent in 2000-01, as spending continues primarily from the voter-approved 1996 Clean Water/Clean Air Bond Act and 1986 Environmental Quality Bond Act. Capital spending supported by general obligation bonds declines to 3 percent by the end of the Plan, reflecting the impact of the spend-down from authorizations other than the Clean Water/Clean Air Bond Act. Overall, this category accounts for 6 percent of the Plan's capital spending.

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### Capital Projects Financed By General Obligation Bonds 1999-2000 through 2004-2005 (thousands)

	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
<b>Transportation</b>						
Department of Transportation						
Action -1988	\$27,859	\$29,758	\$21,130	\$11,633	\$5,088	\$544
Infrastructure Renewal - 1983	3,234	12,218	11,316	8,629	6,053	1,000
Energy Conservation - 1979	500	200	200	200	200	200
Transportation Capital Facilities - 1967	1,800	1,463	1,076	700	539	459
<b>Environment and Recreation</b>						
Department of Environmental Conservation						
Clean Water/Clean Air 1996	72,121	122,290	122,190	122,090	141,981	141,870
EQBA 1986	92,000	92,000	91,000	91,000	25,791	0
EQBA 1972	11,312	9,031	492	2,130	1,106	1,100
Pure Waters 1965	2,900	3,100	3,725	4,800	800	800
Office of Parks, Recreation and Historic Preservation						
Clean Water/Clean Air 1996	1,384	1,453	1,526	1,600	1,682	1,766
EQBA 1986	10,000	10,000	7,227	0	0	0
EQBA 1972	0	400	0	0	0	0
Environmental Facilities Corporation						
Clean Water/Clean Air 1996	552	292	292	292	292	292
Energy Research and Development Authority						
Clean Water/Clean Air 1996	117	117	117	117	117	117
<b>Other</b>						
Department of Agriculture and Markets						
Clean Water/Clean Air 1996	478	500	527	553	580	607
Department of State						
Clean Water/Clean Air 1996	348	348	348	348	348	348
Department of Health						
Clean Water/Clean Air 1996	50,000	50,000	50,000	50,000	30,000	0
<b>Total General Obligation Bond Financing</b>	<b>\$274,605</b>	<b>\$333,170</b>	<b>\$311,166</b>	<b>\$294,092</b>	<b>\$214,577</b>	<b>\$149,103</b>

## AUTHORITY BOND FINANCING

Authority bond-financed capital spending is reimbursed by the proceeds of bonds sold by State public authorities pursuant to contractual agreements with the State. Consistent with the Governor's debt reform proposal and other efforts to control debt, the portion of the plan financed with authority bond proceeds remains level at about 37 percent.

The table of authority bond-financed spending includes those capital programs for which spending is included in the State's Financial Plan. While this includes the majority of such financings, certain programs that are financed directly from bond proceeds (such as the Dormitory Authority-financed CUNY program, the Thruway Authority-financed CHIPS Program, and now the Dormitory Authority-financed State mental hygiene program) are not counted as spending (although they are counted as State-supported debt), since neither the disbursements nor the receipt of bond proceeds are reflected by the Comptroller as activity from State funds or accounts.

The largest component, 55 percent, of spending financed by authority bonds is within the Dedicated Highway and Bridge Trust Fund. Spending supported by Dedicated Highway and Bridge Trust Fund bonds issued to support the new five-year DOT Plan will average \$880 million annually over the Plan.

Authority bond-financed spending for non-transportation programs decreases from approximately \$737 million in 2000-01 to about \$673 million in 2004-05. As the multi-year

Capital Investment Plan for SUNY and new education projects are implemented, bond-financed spending for education increases and then declines by 2004-05. Bond-financed spending for public protection returns to traditional levels following the completion of a new maximum security correctional facility to "right-size" the State's correctional facilities.

**Capital Projects Financed By  
Authority Bonds  
1999-2000 through 2004-2005  
(thousands)**

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
<b>Transportation</b>						
Department of Transportation	\$891,098	\$905,828	\$901,591	\$890,900	\$864,886	\$855,173
<b>Environment and Recreation</b>						
Department of Environmental Conservation	14,000	28,000	28,000	28,000	28,000	28,000
Environmental Facilities Corporation	0	11,000	11,500	0	0	0
<b>Public Protection</b>						
Department of Justice	259,000	210,000	318,000	228,000	188,000	188,000
<b>Education</b>						
State University of New York	175,000	175,000	185,000	215,000	307,500	290,000
State Education Department	30,000	115,000	0	0	0	0
<b>Mental Hygiene</b>						
Office of Mental Health	84,812	31,057	39,911	54,628	53,579	33,367
Office of Mental Retardation and Developmental Disabilities	8,700	4,236	4,273	4,311	4,351	4,416
Office of Alcohol and Substance Abuse	12,951	20,456	24,891	22,200	22,460	22,469
<b>Housing and Economic Development</b>						
Division of Housing and Community Renewal	65,440	64,890	66,875	66,875	66,875	65,625
<b>Other</b>						
Office of Children and Family Services	8,300	19,928	51,626	43,503	19,502	7,400
Office of Science, Technology and Academic Research	0	10,000	24,500	13,000	0	0
Office of Temporary and Disability Assistance	23,000	23,000	23,000	23,000	23,000	23,000
Department of Health	5,000	14,265	0	0	0	0
All State Departments and Agencies	15,000	10,000	0	0	0	0
Office of General Services	0	0	18,900	34,100	40,300	10,700
<b>Total Authority Bond Financing</b>	<b>\$1,592,301</b>	<b>\$1,642,660</b>	<b>\$1,698,067</b>	<b>\$1,623,517</b>	<b>\$1,618,453</b>	<b>\$1,528,150</b>

**FINANCIAL PLANS**

The following table provides an explanation of the fiscal year receipt, disbursement, transfer and general obligation bond amounts contained in the Plan and how they correspond to the applicable Governmental Funds financial plans. All amounts are reflected on a cash basis in the fiscal year during which the spending or receipt activity occurs. Since capital disbursements have been discussed in previous sections, the following information is provided as a description of the receipt categories which support capital spending. Receipts include taxes, miscellaneous receipts (authority and general obligation bond reimbursements, miscellaneous receipts and other repayments), Federal grants, and transfers from other Governmental Fund Types.

Taxes dedicated to capital programs are estimated to account for approximately 30 percent of the total receipts supporting capital spending during the Plan. A larger portion

## **FINANCING PLAN**

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of the existing petroleum business tax, motor fuel tax, and motor vehicle fees and all of the highway use tax will be deposited into the Dedicated Highway Bridge and Trust Fund to finance the new plan. Over the five-year Plan, approximately \$6.8 billion in receipts will be dedicated to the Trust Fund to implement the new five-year transportation plan. Total dedicated taxes and fees deposited to the Trust Fund increase from approximately \$1.1 billion in 2000-01 to about \$1.6 billion in 2004-05. The total increase in resources of about \$1.5 billion over the Plan is derived from existing General Fund taxes and fees and thus does not rely upon tax and fee increases. In addition, \$112 million of the real estate transfer tax is deposited annually into the Environmental Protection Fund.

Miscellaneous receipts also include receipts, such as State park and environmental fees, which are deposited into capital projects funds for specific programs, but not directly linked to spending levels. Although the bulk of tobacco moneys are now being used to support health-related expenses, miscellaneous receipts for 2000-01 will reflect the deposit of \$250 million in one-time tobacco moneys to the Debt Reduction Reserve Fund. Those receipts will be used to reduce State debt and taxpayer costs. Authority bond proceeds, which reimburse the State for capital disbursements, are linked directly to spending, although these receipts may not be received in the same fiscal year in which spending activity transpires. For example, some design work or site acquisition may occur prior to construction and the sale of bonds. For this Plan, miscellaneous receipts are projected to comprise 36 percent of capital projects funds receipts. A further description of this receipts category is provided in the "Explanation of Receipt Estimates" section.

Federal grants reimburse the State for the Federal share of construction projects. Reimbursements are received primarily for spending on transportation, environment and recreation, housing and public protection projects. Federal grants are anticipated to provide 28 percent of capital projects receipts in this Plan.

The balance of capital project financing, 6 percent, is supported by transfers from other funds. The primary transfer in support of capital spending is made from the State's General Fund and is comprised of transfers to the Capital Projects Fund for routine project spending and for the Remedial Program Transfer Fund for the cleanup of hazardous substance sites and to continue the clean up of hazardous waste sites after the 1986 Environmental Quality Bond Act funds are committed. Over the five years of the Plan, the transfer from the General Fund represents approximately 1 percent of the total State General Fund spending.

## FINANCING PLAN

**Capital Projects Funds Financial Plan**  
**Prepared on the Cash Basis of Accounting**  
**(thousands of dollars)**

	1999-2000	2000-2001*	2001-2002	2002-2003	2003-2004	2004-2005
<b>Opening funds balances</b>	<u>\$68,853</u>	<u>\$382,038</u>	<u>\$365,270</u>	<u>\$88,509</u>	<u>\$78,342</u>	<u>\$128,597</u>
<b>Receipts:</b>						
Taxes	\$1,151,300	\$1,489,800	\$1,369,500	\$1,473,800	\$1,581,300	\$1,661,300
Miscellaneous receipts	1,866,358	2,062,782	1,903,791	1,833,878	1,843,153	1,690,381
Federal grants	1,318,926	1,377,216	1,352,536	1,369,316	1,424,005	1,450,615
<b>Total receipts</b>	<u>\$4,336,584</u>	<u>\$4,929,798</u>	<u>\$4,625,827</u>	<u>\$4,676,994</u>	<u>\$4,848,458</u>	<u>\$4,802,296</u>
<b>Disbursements:</b>						
Grants to local governments	\$600,340	\$871,079	\$767,751	\$737,298	\$646,236	\$548,360
State operations	0	0	0	0	0	0
Debt service	0	0	0	0	0	0
Capital projects	3,575,854	3,461,051	3,723,926	3,628,446	3,659,144	3,598,810
<b>Total disbursements</b>	<u>\$4,176,194</u>	<u>\$4,332,130</u>	<u>\$4,491,677</u>	<u>\$4,365,744</u>	<u>\$4,305,380</u>	<u>\$4,147,170</u>
<b>Other financing sources (uses):</b>						
Transfers from other funds	\$185,117	\$263,287	\$318,739	\$282,309	\$292,675	\$293,239
Transfers to other funds	(532,242)	(663,923)	(782,460)	(902,926)	(1,022,208)	(1,097,266)
Bond and note proceeds	249,920	286,200	302,810	299,200	236,710	148,000
Use of Debt Reduction Reserve Fund	0	(500,000)	(250,000)	0	0	0
<b>Net other financing sources (uses)</b>	<u>(\$97,205)</u>	<u>(\$614,436)</u>	<u>(\$410,911)</u>	<u>(\$321,417)</u>	<u>(\$492,823)</u>	<u>(\$656,027)</u>
<b>Changes in fund balances</b>	<u>\$63,185</u>	<u>(\$16,768)</u>	<u>(\$276,761)</u>	<u>(\$10,167)</u>	<u>\$50,255</u>	<u>(\$901)</u>
<b>Closing fund balances</b>	<u>\$132,038</u>	<u>\$365,270</u>	<u>\$88,509</u>	<u>\$78,342</u>	<u>\$128,597</u>	<u>\$127,696</u>

\*-Opening balance for 2000-01 reflects an increase of \$250 million for the reclassification of the Debt Reduction Reserve Fund as a Capital Projects Fund.

### DEBT FINANCING

Financing the construction of, and improvements to, long-term capital assets through borrowing is an integral part of the State's Capital Program and Financing Plan. This section describes the impact of bond-financed capital spending on the amount of State-supported debt and on debt service expenditures through the Plan. Supporting this analysis are separate tables for debt issuances, debt retirements, debt outstanding, and debt service.

The analysis reflects all State general obligation and State-supported debt that is currently outstanding and expected to be issued by the State and its public authorities for State financed capital programs. It includes bonds which support capital initiatives for SUNY, CUNY, the Department of Mental Hygiene, Department of Justice (formerly the Department of Correctional Services), Department of Environmental Conservation, State buildings and State and local transportation programs. Also included is existing State-supported debt for non-State programs, such as the Javits Convention Center and the MTA service contracts, and the non-capital debt service of the Local Government Assistance Corporation. The analysis also reflects the refinancing of a pre-existing pension liability undertaken by the Dormitory Authority (DA), which previously was recorded as a long-term liability in the State's financial statements, and is projected to be retired by 2003-04.

### DEBT ISSUANCES

In conjunction with the Governor's comprehensive debt reform proposal to control debt, average annual debt issuances over the five-year Plan will decline more than 7 percent. This prudent level of debt issuances will finance strategic investments in the

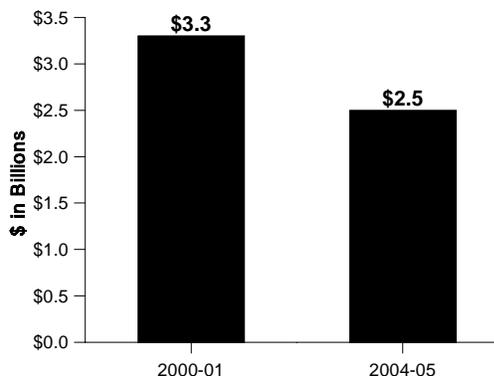
## FINANCING PLAN

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State's capital infrastructure to support the State's vast transportation network, maintain and develop the State's educational, correctional and mental hygiene facilities, and protect the environment.

The commercial paper program and variable rate general obligation bonds are two tools the State has used to effectively diversify its debt portfolio with short-term obligations. Since the cost of borrowing for both the commercial paper program and variable rate debt are comparable, and both instruments preserve the effective use of short-term instruments, the commercial paper program will be replaced with variable general obligation debt issuances. As a result, variable rate general obligation bond issuances are projected to increase \$185 million over the five-year Plan. Approximately 9 percent of the issuances will implement projects financed by the voter-approved Clean Water/Clean Air Act, and other prior bond acts, predominantly the 1986 Environmental Quality Bond Act.

### Annual Issuances Decline Over the Five Year Capital Plan



Transportation debt issuances over the Capital Plan reflect the new five-year transportation plan which will support \$14 billion in transportation programs. Consistent with transportation's share of overall capital spending, transportation accounts for the largest share of the Plan's issuances, or 44 percent. These issuances will finance State and local highway and bridge projects that will foster commerce and promote economic development.

Approximately 17 percent of issuances over the Plan will continue to support commitments for the SUNY and CUNY multi-year Capital Investment Plan. Nine percent of the Plan's issuances will support public protection initiatives to complete a maximum security prison under construction in Seneca County, begin construction of a new prison, and support maintenance and rehabilitation projects of the State's correctional and youth facilities.

The Plan also includes issuances that will support bonding programs enacted in last year's budget, including \$154 million to support school construction and renovation; about \$74 million for the Jobs 2000 program, including University Facilities and Pipeline for Jobs; \$16 million for various housing programs, \$16 million for Child Care Facilities; and \$27 million for Economic Development and Natural Resources Preservation.

COPs issuances of approximately \$276 million in 2000-01 include \$199 million for new welfare computer systems to augment case management capacity and to comply with Federal data requirements. The authorization includes \$175 million for welfare systems and \$22 million for "Connections" to be purchased by the Office of Children and Family Services, the Office of Temporary and Disability Assistance, the Department of Labor and the Department of Health. COPs issuances over the remaining years of the Plan are projected to average \$90 million annually and will support the purchase of equipment, computers and vehicles for various State agencies.

The Financing Plan will continue to increase the use of short-term debt instruments as a percentage of total debt outstanding. This is expected to broaden the market base for State-supported debt and, since short-term instruments have historically been less expensive than long-term obligations, generate debt service savings. Current and planned short-term debt instruments include variable rate State general obligation bonds, Housing Finance Agency (HFA) bonds, Local Government Assistance Corporation (LGAC) bonds, and swaps (interest rate exchanges) for LGAC, Dormitory Authority SUNY Educational Facilities, and Urban Development Corporation Correctional Facilities. Market conditions permitting, the Executive Budget's recommendation to extend the current authorization to issue interest rate swaps under a limited, experimental program will support the expansion of the State's short-term portfolio.

By the end of 2000-01, it is anticipated that approximately \$2.6 billion, or about 6.8 percent, of total State-supported debt will be short-term. The State expects to gradually increase this percentage to approximately 8.8 percent by the last year of the Plan — a level which is consistent with rating agency guidelines.

<b>Short-Term Debt Instruments Percentage of Total Debt Outstanding</b>						
	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>
Short-Term Debt Outstanding (Thousands)	\$2,264,000	\$2,583,000	\$2,856,000	\$3,183,000	\$3,443,000	\$3,526,000
Percentage of Total Debt Outstanding	6.1%	6.8%	7.4%	8.1%	8.6%	8.8%

In addition, the Division of the Budget will continue to coordinate State-supported debt issuances to reduce market crowding and the competition among State programs to improve the conditions under which State-supported debt is marketed.

**DEBT RETIREMENTS**

The retirements table presents the annual retirements of principal for State-supported debt for each fiscal year within the Plan. It accounts for retirements as of the payment date due to bondholders, rather than the actual date the State makes the cash payment. For instance, if principal payments are due on April 1, the bonds are considered outstanding on March 31, even if the State makes payments to the trustee prior to that date. Retirements from both outstanding State-supported debt and debt expected to be issued during the course of the Plan are included in the retirements table.

The rate at which State-supported debt is retired or paid off has a significant impact on the State's ability to utilize bonds for its capital programs. The Plan's significant reductions in State debt issuances, and efforts to expand the amount of debt issued with shorter-term maturities, including bonds issued for the Community Enhancement Facilities Assistance Program, and the continued use of ten year maturities for taxable programs have a positive impact on the relationship of retirements to new issuances.

Over the Plan, retirements of State-supported debt are projected to increase by an annual average of 5 percent to \$2.4 billion in 2004-05.

## FINANCING PLAN

### DEBT OUTSTANDING

As a result of the Governor's ongoing program to reduce debt, the growth in State-supported debt, which is directly supported by taxpayer dollars, has already declined dramatically. Under Governor Pataki's Administration, the average annual rate of growth in State debt has been reduced to 3.6 percent. Over the five year Capital Plan, debt outstanding will grow by an annual average of only 1.4 percent.

The new debt reform proposal includes a phased-in cap which will restrict new State debt to 3.5 percent of personal income. The cap on debt outstanding and recommended additional deposits to the Debt Reduction Reserve Fund to decrease debt by \$750 million will continue to improve debt affordability over the Capital Plan period. Debt outstanding as a percent of personal income will decline from 6 percent in 1999-2000 to about 5 percent in 2004-05.

In the last year of the Plan, over half of the State's debt outstanding is attributable to transportation (28 percent) and education (23 percent). The remaining balance finances important capital projects for health and mental health (11 percent); public protection (11 percent); housing (3 percent); economic development and State buildings (4 percent); and general obligation and LGAC (20 percent).

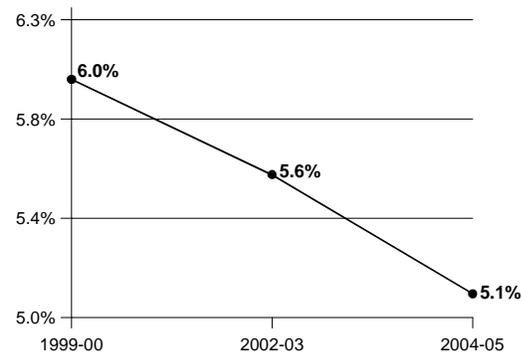
### DEBT SERVICE

The debt service table shows the amount of resources devoted to financing the debt service on new and currently outstanding State-supported debt. The table includes projected total annual debt service by program and issuer, and includes debt service on general obligation bonds, as well as lease-purchase and contractual obligations issued by State agencies, public authorities, and municipalities through financing agreements with the State.

- Debt service costs as a percent of All Funds will decline from 5.2 percent in 2000-01 to 4.8 percent in 2004-05.
- Debt service costs as a percent of General Fund resources decline from 5.9 percent in 2000-01 to 4.9 percent in 2004-05.
- Outstanding debt to personal income is projected to decline from 5.9 percent in 2000-01 to 5.1 percent in 2004-05.

Two-thirds, or \$500 million of the moneys deposited to DRRF, will be used in 2000-01 to reduce the State's high cost debt and increase pay-as-you-go spending for previously bond-financed projects. The balance, or \$250 million, will recapitalize DRRF, ensuring that State debt is reduced further in 2001-02. Over the five-year Capital Plan, DRRF will reduce State debt by \$750 million — reducing debt service costs by \$35 million in 2000-01, \$60 million in 2001-02 and by \$70 million thereafter, saving taxpayers \$1.5 billion in avoided debt service costs.

**Debt Outstanding As a Percent of Personal Income Declines**



The State's ability to effectively manage its debt portfolio has reduced borrowing costs. Debt management strategies include the use of shorter maturities for certain bonding programs and increasing the percentage of short-term instruments in the State's debt portfolio. Shorter-term maturities are employed to improve the coordination between the useful life of assets and the terms by which they are financed. Increasing the use of short-term instruments is being accomplished through the expanded use of variable rate debt obligations and, market conditions permitting, the issuance of a limited amount of interest rate swaps. In addition, the State will continue its policy of not using capitalized interest on bonds sold for projects which are not revenue-generating facilities.

The continued economic expansion of the State and nation results in an interest rate environment that continues to remain relatively favorable for municipal bond issuers. The Plan forecasts that long-term fixed rates will range from 4.95 to 6.25 percent throughout the Capital Plan period. Short-term rates are expected to average about 4 percent.

**BOND AUTHORIZATIONS**

Legal authorizations for the State to enter into contractual agreements with public authorities are provided in the enabling statutes of each authority. Those statutes generally contain limits, or caps, on the amount of bonds that can be issued for a program. As the bond cap is reached, or additional bondable appropriations are recommended, legislation may be proposed to raise the statutory caps to the level needed to accommodate bondable capital needs.

Bond caps can provide authorizations to finance a single year's appropriations, such as recommended for corrections and youth facilities, or can be for multi-year periods, such as those recommended to implement the new five-year transportation plan and to support mental hygiene programs through the end of the Plan and beyond. In addition, the authorizations specify whether the cap is on the total bonds sold, including costs of issuance (gross), or only for project costs (net). The table provides the recommended cap increases for 2000-01 and indicates whether the cap is gross or net.

**Bond Authorizations — Recommended Cap Changes in 2000-2001  
(thousands \$)**

<b>Issuer</b>	<b>Program</b>	<b>Current Cap</b>	<b>Recommended Cap</b>	<b>Type of Cap</b>
Dormitory Authority	Mental Health	\$4,400,000	\$6,600,000	Gross
Thruway Authority	Dedicated Highway and Bridges	4,750,000	10,250,000	Net
Thruway Authority	Local Highways and Bridges	2,499,550	3,787,550	Net
Housing Finance Agency	Housing	1,110,000	1,210,000	Net
Urban Development Corporation	State Buildings	0	25,000	Net
Urban Development Corporation	Corrections	4,281,693	4,741,693	Gross
Urban Development Corporation	Youth Facilities	235,815	328,515	Gross

# FINANCING PLAN

## State-Supported Debt Projected Bond Issuances 1999-2000 Through 2004-2005 (thousands)

	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>
<b>GENERAL OBLIGATION</b>	\$390,230	\$331,200	\$302,800	\$299,200	\$236,700	\$148,000
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Thruway Authority:						
Consolidated Local Highway Improvement	370,920	369,240	320,311	296,821	275,176	274,116
Dedicated Highway & Bridge	845,279	960,178	955,686	944,354	916,779	906,483
Education						
Dormitory Authority:						
SUNY Educational Facilities	246,110	265,000	265,000	265,000	265,000	265,000
SUNY Dormitory Facilities	186,403	0	0	2,120	37,100	37,100
SUNY Upstate Community Colleges	83,579	37,100	37,100	37,100	37,100	37,100
CUNY Educational Facilities	163,585	169,600	169,600	169,600	169,600	169,600
RESCUE	31,800	121,900	0	0	0	0
Health						
Dormitory Authority/DOH	0	28,090	0	0	0	0
Mental Health						
Dormitory Authority/MCFFA:	192,323	203,607	205,061	200,276	200,708	196,434
Public Protection						
Urban Development Corp/ESDC:						
Prison Facilities	290,300	222,600	337,080	241,680	199,280	199,280
Youth Facilities	9,540	17,944	44,124	44,520	20,140	8,480
Environment						
Environmental Facilities Corp:						
Water Pollution Control	14,840	29,680	29,680	29,680	29,680	29,680
Pipeline for Jobs (Jobs 2000)	0	23,850	0	0	0	0
State Building/Equipment						
Dormitory Authority:						
State Buildings	51,700	0	110,240	0	0	0
Certificates of Participation	107,200	276,021	83,025	87,125	94,300	97,375
Housing						
Housing Finance Agency	0	94,923	100,223	101,760	101,760	94,340
Economic Development						
UDC/ESDC/DA						
Community Enhancement Facilities	98,152	106,141	88,285	0	0	0
University Facilities (Jobs 2000)	0	36,570	13,780	0	0	0
Natural Resources Preservation	26,500	0	0	0	0	0
Child Care Facilities	0	15,900	0	0	0	0
<b>Total Other Financing Arrangements</b>	<u>2,718,232</u>	<u>2,978,344</u>	<u>2,759,195</u>	<u>2,420,037</u>	<u>2,346,623</u>	<u>2,314,988</u>
<b>TOTAL STATE-SUPPORTED DEBT</b>	<u>\$3,108,462</u>	<u>\$3,309,544</u>	<u>\$3,061,995</u>	<u>\$2,719,237</u>	<u>\$2,583,323</u>	<u>\$2,462,988</u>

**State-Supported Debt  
Projected Retirements  
1999-2000 Through 2004-2005  
(thousands)**

	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>
<b>GENERAL OBLIGATION</b>	\$501,785	\$451,225	\$416,204	\$394,895	\$352,142	\$335,630
<b>LOCAL GOVERNMENT ASSISTANCE CORPORATION</b>	282,525	99,715	107,837	105,150	133,725	407,457
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	57,365	56,890	60,035	69,855	73,870	77,953
Dormitory Authority						
Albany County Airport	1,360	1,415	1,475	1,550	1,630	1,710
Thruway Authority:						
Emergency Highway	52,110	55,070	58,250	19,595	0	0
Consolidated Local Highway Improvement	69,895	79,080	92,960	95,723	129,120	135,942
Dedicated Highway & Bridge	156,990	205,190	252,804	311,965	372,033	435,215
Education						
Dormitory Authority:						
SUNY Educational Facilities	117,370	124,040	131,411	130,008	147,997	154,270
SUNY Dormitory Facilities	21,290	23,148	21,941	20,040	18,760	15,867
SUNY Upstate Community Colleges	15,135	14,290	9,265	10,478	11,547	12,611
CUNY Educational Facilities	97,863	101,676	102,646	118,083	121,422	128,960
State Education Department	1,520	1,600	1,670	1,755	1,830	1,925
Library for the Blind	545	565	590	620	640	670
SUNY Athletic Facilities	965	0	0	0	0	930
RESCUE	0	0	12,073	12,708	13,376	14,080
Health						
Dormitory Authority/DOH	9,030	14,340	16,075	10,480	11,219	12,034
Mental Health						
Dormitory Authority/MCFFA:	127,201	137,661	148,218	160,590	170,488	182,983
Public Protection						
Urban Development Corp/ESDC:						
Prison Facilities	68,220	78,334	84,852	101,671	109,003	116,820
Youth Facilities	5,560	6,010	6,634	7,520	9,393	10,948
Environment						
Environmental Facilities Corp:						
Riverbank Park	0	0	1,230	1,600	1,680	1,755
Water Pollution Control	22,765	27,447	31,650	28,371	32,699	38,465
Pilgrim Sewage Treatment	400	400	400	400	400	400
State Park Infrastructure	705	740	775	820	865	910
Fuel Tanks	2,250	2,265	2,305	2,350	2,400	2,455
Pipeline for Jobs (Jobs 2000)	0	1,702	1,826	1,959	2,102	2,256
Energy Res & Dev Authority	8,890	10,355	10,965	11,530	12,095	12,705
Urban Development Corp/ESDC:						
Pine Barrens	515	540	560	585	615	645
State Building/Equipment						
Urban Development Corp/ESDC:						
Empire State Plaza	9,454	10,347	12,214	11,762	10,804	9,988
State Buildings	436	471	507	546	589	634
State Capital Projects	0	3,095	6,700	7,035	7,480	7,945
Albany County-ESP	11,880	11,690	3,870	0	0	0
Dormitory Authority						
State Buildings	665	1,535	1,595	3,054	3,198	3,352
Certificates of Participation	114,900	193,815	202,873	194,892	96,955	72,343
Housing						
Housing Finance Agency	33,400	39,949	47,374	55,543	62,881	67,610
Economic Development						
Triborough Bridge and Tunnel Authority/Javits Center	24,000	24,180	24,180	24,185	24,180	25,940
UDC/ESDC/DA						
University Technology Centers	10,275	11,132	11,529	10,264	10,599	11,007
Onondaga Convention Center	1,190	1,250	1,305	1,375	1,445	1,535
Sports Facilities	3,245	3,650	3,885	4,135	4,395	4,695
Community Enhancement Facilities	0	27,925	57,757	79,409	70,789	51,146
University Facilities (Jobs 2000)	0	0	0	1,010	1,448	1,533
Natural Resources Preservation	0	0	748	790	835	882
Child Care Facilities	0	0	439	465	492	521
Other State Purposes						
Dormitory Authority						
Pension Refinancing	123,475	131,580	140,445	150,095	78,915	0
<b>Total Other Financing Arrangements</b>	<u>1,170,864</u>	<u>1,403,378</u>	<u>1,566,032</u>	<u>1,664,816</u>	<u>1,620,189</u>	<u>1,621,639</u>
<b>TOTAL STATE-SUPPORTED DEBT</b>	<u>\$1,955,174</u>	<u>\$1,954,317</u>	<u>\$2,090,073</u>	<u>\$2,164,862</u>	<u>\$2,106,056</u>	<u>\$2,364,725</u>

# FINANCING PLAN

## State-Supported Debt Projected Bonds Outstanding 1999-2000 Through 2004-2005 (thousands)

	1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
<b>BANs - COMMERCIAL PAPER</b>	\$45,000	\$0	\$0	\$0	\$0	\$0
<b>GENERAL OBLIGATION</b>	4,668,583	4,593,558	4,480,154	4,384,459	4,269,017	4,081,387
<b>LOCAL GOVERNMENT ASSISTANCE CORPORATION</b>	4,832,035	4,732,320	4,624,483	4,519,333	4,385,608	3,978,151
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	1,957,369	1,900,479	1,840,444	1,770,589	1,696,719	1,618,766
Dormitory Authority						
Albany County Airport	40,035	38,620	37,145	35,595	33,965	32,255
Thruway Authority:						
Emergency Highway	132,915	77,845	19,595	0	0	0
Consolidated Local Highway Improvement	2,048,525	2,338,685	2,566,036	2,767,134	2,913,190	3,051,365
Dedicated Highway & Bridge	3,491,954	4,246,942	4,949,824	5,582,213	6,126,960	6,598,228
Education						
Dormitory Authority:						
SUNY Educational Facilities	3,998,524	4,139,484	4,273,073	4,408,065	4,525,068	4,635,798
SUNY Dormitory Facilities	371,403	348,255	326,314	308,394	326,734	347,967
SUNY Upstate Community Colleges	405,534	428,344	456,179	482,801	508,354	532,843
CUNY Educational Facilities	3,120,246	3,188,170	3,255,124	3,306,641	3,354,820	3,395,460
State Education Department	74,845	73,245	71,575	69,820	67,990	66,065
Library for the Blind	18,445	17,880	17,290	16,670	16,030	15,360
SUNY Athletic Facilities	25,200	25,200	25,200	25,200	25,200	24,270
RESCUE	31,800	153,700	141,627	128,919	115,543	101,463
Health						
Dormitory Authority/DOH	469,090	482,840	466,765	456,285	445,066	433,032
Mental Health						
Dormitory Authority/MCFFA:	3,821,576	3,887,523	3,944,366	3,984,053	4,014,273	4,027,724
Public Protection						
Urban Development Corp/ESDC:						
Prison Facilities	3,243,281	3,387,547	3,639,775	3,779,784	3,870,061	3,952,521
Youth Facilities	162,920	174,854	212,343	249,343	260,090	257,622
Environment						
Environmental Facilities Corp:						
Riverbank Park	62,350	62,350	61,120	59,520	57,840	56,085
Water Pollution Control	220,300	222,533	220,563	221,872	218,852	210,067
Pilgrim Sewage Treatment	9,800	9,400	9,000	8,600	8,200	7,800
State Park Infrastructure	13,570	12,830	12,055	11,235	10,370	9,460
Fuel Tanks	16,810	14,545	12,240	9,890	7,490	5,035
Pipeline for Jobs (Jobs 2000)	0	22,148	20,322	18,363	16,260	14,004
Energy Res & Dev Authority	88,010	77,655	66,690	55,160	43,065	30,360
Urban Development Corp/ESDC:						
Pine Barrens	15,055	14,515	13,955	13,370	12,755	12,110
State Building/Equipment						
Urban Development Corp/ESDC:						
Empire State Plaza	100,235	89,888	77,674	65,912	55,108	45,120
State Buildings	15,529	15,058	14,551	14,005	13,416	12,782
State Capital Projects	245,090	241,995	235,295	228,260	220,780	212,835
Albany County-ESP	15,560	3,870	0	0	0	0
Dormitory Authority						
State Buildings	91,945	90,410	199,055	196,001	192,803	189,451
Certificates of Participation	503,040	585,246	465,398	357,631	354,975	380,007
Housing						
Housing Finance Agency	1,093,641	1,148,615	1,201,463	1,247,680	1,286,559	1,313,289
Economic Development						
Triborough Bridge and Tunnel Authority/Javits Center	364,765	340,585	316,405	292,220	268,040	242,100
UDC/ESDC/DA						
University Technology Centers	200,335	189,203	177,674	167,410	156,811	145,804
Onondaga Convention Center	45,435	44,185	42,880	41,505	40,060	38,525
Sports Facilities	134,465	130,815	126,930	122,795	118,400	113,705
Community Enhancement Facilities	285,202	363,418	393,946	314,538	243,749	192,603
University Facilities (Jobs 2000)	0	36,570	50,350	49,340	47,892	46,359
Natural Resources Preservation	26,500	26,500	25,752	24,962	24,127	23,245
Child Care Facilities	0	15,900	15,461	14,996	14,504	13,983
Other State Purposes						
Dormitory Authority						
Pension Refinancing	501,035	369,455	229,010	78,915	0	0
<b>Total Other Financing Arrangements</b>	<u>27,462,335</u>	<u>28,537,301</u>	<u>29,740,464</u>	<u>30,505,684</u>	<u>31,242,118</u>	<u>31,945,468</u>
<b>TOTAL STATE-SUPPORTED DEBT</b>	<u>\$37,007,953</u>	<u>\$37,863,179</u>	<u>\$38,845,101</u>	<u>\$39,409,476</u>	<u>\$39,896,743</u>	<u>\$40,005,006</u>

Note: Totals reflect assumed use of \$500 million in DRRF funds in 2000-01 to reduce debt outstanding, with reduced retirements of \$10 million annually thereafter.

**State-Supported Debt  
Projected Debt Service  
1999-2000 through 2004-2005  
(thousands)**

	<b>1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
<b>BANs - COMMERCIAL PAPER</b>	\$6,000	\$4,675	\$0	\$0	\$0	\$0
<b>GENERAL OBLIGATION</b>	717,102	682,734	638,607	609,475	562,050	537,156
<b>LOCAL GOVERNMENT ASSISTANCE CORPORATION</b>	317,035	329,349	314,900	304,667	337,710	357,154
<b>OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS</b>						
Transportation						
Metropolitan Trans Authority	152,174	151,762	152,113	158,147	158,227	158,277
Dormitory Authority						
Albany County Airport	3,509	3,533	3,534	3,537	3,535	3,535
Thruway Authority:						
Emergency Highway	57,028	59,259	59,259	17,315	0	0
Consolidated Local Highway Improvement	166,980	208,031	236,614	262,906	287,309	311,024
Dedicated Highway & Bridge	339,022	429,297	526,906	621,431	715,970	776,303
Education						
Dormitory Authority:						
SUNY Educational Facilities	319,056	343,694	356,535	376,267	401,435	419,611
SUNY Dormitory Facilities	34,064	44,528	44,250	41,597	38,488	38,197
SUNY Upstate Community Colleges	33,466	30,123	33,331	36,086	38,897	42,046
CUNY Educational Facilities	287,906	295,165	311,646	324,659	339,395	353,244
State Education Department	6,332	5,782	5,786	5,775	5,779	5,776
Library for the Blind	1,552	1,594	1,597	1,595	1,591	1,593
SUNY Athletic Facilities	1,659	1,176	1,206	1,206	1,671	2,135
RESCUE	0	18,272	20,156	20,156	20,156	20,156
Health						
Dormitory Authority/DOH	36,286	39,378	37,184	34,632	36,657	36,653
Mental Health						
Dormitory Authority/MCFFA:	322,178	344,155	357,620	373,862	386,703	401,786
Public Protection						
Urban Development Corp/ESDC:						
Prison Facilities	232,808	255,634	269,749	303,759	320,451	334,655
Youth Facilities	14,619	15,457	17,082	21,361	25,127	26,657
Environment						
Environmental Facilities Corp:						
Riverbank Park	3,303	4,523	4,836	4,841	4,835	4,839
Water Pollution Control	37,351	43,016	47,293	43,876	48,408	54,046
Pilgrim Sewage Treatment	749	717	694	672	649	627
State Park Infrastructure	1,490	1,475	1,471	1,475	1,475	1,472
Fuel Tanks	2,413	2,623	2,572	2,522	2,474	2,426
Pipeline for Jobs (Jobs 2000)	0	2,572	3,443	3,443	3,443	3,443
Energy Res & Dev Authority	14,682	14,638	14,642	14,631	14,633	14,640
Urban Development Corp/ESDC:						
Pine Barrens	1,291	1,287	1,287	1,290	1,291	1,289
State Building/Equipment						
Urban Development Corp/ESDC:						
Empire State Plaza	21,347	25,482	32,872	34,432	37,247	34,432
State Buildings	17,706	19,077	19,077	19,077	19,077	19,077
State Capital Projects	16,594	20,122	20,122	20,127	20,124	20,123
Albany County-ESP	14,112	9,984	2,077	0	0	0
Dormitory Authority						
State Buildings	6,406	5,986	10,692	13,996	13,995	13,999
Certificates of Participation	112,455	198,112	203,385	190,029	87,809	77,996
Housing						
Housing Finance Agency	99,111	105,597	115,703	127,053	135,541	140,424
Economic Development						
Triborough Bridge and Tunnel Authority/Javits Center	41,865	41,892	41,892	41,897	41,892	41,899
UDC/ESDC/DA:						
University Technology Centers	21,088	24,687	24,680	22,966	22,961	22,958
Onondaga Convention Center	3,912	3,909	3,902	3,905	3,903	3,906
Sports Facilities	10,973	10,975	10,972	10,975	10,993	10,963
Community Enhancement Facilities	40,332	72,952	96,520	85,994	63,188	40,625
University Facilities (Jobs 2000)	0	0	3,506	4,477	4,341	4,341
Natural Resource Preservation	0	2,495	2,245	2,245	2,245	2,245
Child Care Facilities	0	904	1,369	1,369	1,369	1,369
Other State Purposes						
Dormitory Authority						
Pension Refinancing	163,442	162,929	162,944	162,955	0	0
<b>Total Other Financing Arrangements</b>	<b>\$2,639,261</b>	<b>\$2,987,794</b>	<b>\$3,202,764</b>	<b>\$3,348,538</b>	<b>\$3,253,284</b>	<b>\$3,378,786</b>
<b>TOTAL STATE-SUPPORTED DEBT</b>	<b>\$3,679,398</b>	<b>\$4,004,551</b>	<b>\$4,156,271</b>	<b>\$4,262,679</b>	<b>\$4,153,043</b>	<b>\$4,273,096</b>

Note: Totals reflect assumed debt reduction savings of \$35 million in 2000-01, \$60 million in 2001-02, and \$70 million annually thereafter.

# FINANCING PLAN

## Debt Service Funds Financial Plan Prepared on the Cash Basis of Accounting 1999-2000 through 2004-2005 (thousands)

	<u>1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>
<b>Opening Fund Balances</b>	\$169,604	\$169,918	\$158,742	\$140,712	\$151,255	\$166,173
<b>Receipts</b>						
Taxes	\$2,336,000	\$2,296,500	\$2,412,100	\$2,527,000	\$2,532,500	\$2,659,700
Miscellaneous Receipts	\$606,464	\$575,317	\$555,300	\$550,927	\$563,586	\$565,238
Federal Grants	0	0	0	0	0	0
<b>Subtotal Receipts</b>	<u>\$2,942,464</u>	<u>\$2,871,817</u>	<u>\$2,967,400</u>	<u>\$3,077,927</u>	<u>\$3,096,086</u>	<u>\$3,224,938</u>
<b>Disbursements</b>						
State Operations	\$13,875	\$7,000	\$7,000	\$7,000	\$7,000	\$16,200
Debt Service	3,560,943	3,801,763	3,952,885	4,072,649	4,065,234	4,195,101
<b>Subtotal Disbursements</b>	<u>\$3,574,818</u>	<u>\$3,808,763</u>	<u>\$3,959,885</u>	<u>\$4,079,649</u>	<u>\$4,072,234</u>	<u>\$4,211,301</u>
<b>Other Financing Sources (Uses)</b>						
Transfers From Other Funds	\$4,563,976	\$4,897,478	\$4,939,818	\$5,075,663	\$5,010,201	\$5,110,215
Transfers To Other Funds	(3,931,308)	(3,971,708)	(3,965,363)	(4,063,398)	(4,019,135)	(4,108,271)
<b>Net Other Financing Sources (Uses)</b>	<u>\$632,668</u>	<u>\$925,770</u>	<u>\$974,455</u>	<u>\$1,012,265</u>	<u>\$991,066</u>	<u>\$1,001,944</u>
<b>Changes in Fund Balances</b>	<u>\$314</u>	<u>(\$11,176)</u>	<u>(\$18,030)</u>	<u>\$10,543</u>	<u>\$14,918</u>	<u>\$15,581</u>
<b>Closing Fund Balances</b>	<u>\$169,918</u>	<u>\$158,742</u>	<u>\$140,712</u>	<u>\$151,255</u>	<u>\$166,173</u>	<u>\$181,754</u>

### ***CAPITAL PROGRAM PLAN***

This section provides a narrative description of the purposes, goals and objectives of agencies with capital programs over the five-year Capital Plan. These agency narratives also highlight accomplishments, specific new initiatives, and long-term financial requirements.

The accompanying tables provide five years of appropriation, commitment and disbursement projections for each program. Commitment levels in these tables reflect the value of contracts expected to be entered into by each agency in a given year. Each agency narrative compares the Plan's recommended commitment levels with last year's levels. The reappropriation projections reflect the unexpended balance of the original appropriation, and any unused amounts continue to be shown until the project is completed.

This section also includes summary schedules of disbursements, which aggregate the information presented in the individual agency tables. These summary tables eliminate transactions which simply move monies from one fund to another and, therefore, appropriately reflect only projections of disbursements for capital projects. This adjustment provides comparability between the Plan's summary of disbursements and the State's Financial Plan.

This section of the Plan is organized programmatically. Each program area begins with a functional overview, followed by each agency's narrative and financial summary tables. The programmatic areas are transportation; environment and recreation; public protection; education; mental hygiene; housing and economic development; and other.

All State agencies and authorities with State-supported capital programs have capital maintenance systems. Agencies are required to develop five-year maintenance plans, which include an assessment of assets with a replacement value of at least \$5 million, that reflect an asset's age, condition, condition goals, maintenance activities, and remaining useful life. In addition, every five years, agencies are also required to perform an independent evaluation of their maintenance plans to ensure that the recommended maintenance activities are consistent with current capital needs — the first evaluation is due in the year 2000.

The capital maintenance plan summaries are included in the agency narratives, since the maintenance plans are a critical part of an agency's five-year capital plan. Agencies with new or existing capital maintenance plans have begun to identify the financial requirements for preventive maintenance. The appropriations reflected in each agency's five-year capital plan reflect a needs-assessment which will continue to be refined as an agency implements an asset maintenance system.

## ***CAPITAL PROGRAM PLAN***

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### ***TRANSPORTATION***

New York's remarkable economic resurgence has relied on an extensive transportation system that rivals any in the world. The State's 235,000 lane miles of roads, 4,600 railroad miles, 600 public and private airports, 12 major ports and over 70 mass transit systems are among our most valuable resources. These important assets are managed and maintained by a network of State agencies, public authorities, local governments and private entities.

The Executive Budget proposes a new five-year transportation plan that includes \$14.3 billion for Department of Transportation capital programs and over \$2.3 billion of State support for the capital programs of transit systems throughout the State. By continuing our investment in the State's transportation network, this new plan will help sustain the State's unprecedented economic rejuvenation.

#### ***DEPARTMENT OF TRANSPORTATION***

The Department of Transportation (DOT) is primarily responsible for maintaining and rehabilitating the State's system of highways and bridges, which includes over 40,000 State highway lane miles and 7,500 bridges. Private contractors perform all major construction and repair work, while the Department provides seasonal maintenance and repair. The Department also oversees and funds programs that help defray local capital expenses associated with road and bridge projects, including the Consolidated Highway Improvement Program (CHIPS) and the Marchiselli Program.

The 1999-2000 budget completed the Governor's initial five year transportation program. Over the course of the five years, almost 44,000 lane miles of highway were treated and 31,000 bridge treatments were completed.

The new \$14.3 billion plan for Department of Transportation programs through 2004-05 represents the highest level ever for a five-year period. Highlights of the plan include:

- \$8 billion for highway and bridge construction contracts, averaging \$1.6 billion annually;
- \$2.8 billion for engineering design, inspection and planning of the new program;
- \$1.29 billion for local capital programs, including the CHIPS and Marchiselli programs;
- \$80 million for a new rail freight and passenger program that will preserve and improve the State's rail system;
- \$125 million for the Industrial Access Program which promotes job creation and retention by encouraging business expansion with highway, rail and port projects; and
- \$38 million to help local and State airports match new Federal aid that is anticipated from reauthorization of the Federal aviation program.

Preventive maintenance continues to be a key component of the Department's activities. Since preventive activities extend the life of a road or bridge, they are more cost-effective in the long run than major reconstruction. For highways, the goal of keeping water and other materials away from the base of the highway is accomplished through such activities as crack sealing, pothole repair, joint repair and drainage repair. Painting, washing, joint repair and maintaining drainage are key elements to extending the life of State bridges. A total of \$1.9 billion will be available for the planned preventive maintenance activities over the next five years.

The Department's maintenance activities are supported by 308 sites around the State which encompass over 700 building types, including 60 maintenance headquarters, 183 maintenance sub-headquarters, 42 salt storage areas, 20 bridge crew facilities, 2 special crew facilities and a sign shop. The average age of the infrastructure is over 30 years. The total size of the infrastructure is approximately 4.0 million gross square feet. The overall condition of the Department's maintenance facilities is fair and the remaining useful life is over ten years.

The Department's maintenance facilities plan consists of an annual review of its overall needs and a prioritization of its projects. The program goal is to upgrade and repair its infrastructure based on evaluation of the condition, proposed use and corresponding health, safety and environmental concerns.

### ***TRANSIT PROGRAMS***

Mass transportation systems play an essential role in the State's economic and social networks. More than 25 percent of workers in New York State travel to work via mass transportation. Mass transit systems also alleviate ill effects on air quality by relieving traffic congestion.

The new five-year transportation plan will provide \$2.34 billion of State support for the capital programs of transit systems throughout New York. This State assistance includes:

- Nearly \$2.2 billion of State funding for the Metropolitan Transportation Authority's (MTA) capital program, including over \$800 million of newly dedicated State funding.
- \$146 million of State aid for the capital programs of other transit systems throughout the State, that will be used for bus acquisitions, maintenance facility improvements and other projects. In addition, a portion of the non-MTA transit dedicated fund resources will be used to enhance operating aid for these systems.

### ***FINANCING THE NEW PLAN***

The new five-year transportation plan will be financed with approximately \$9.2 billion of State revenues during the next five years, an increase of more than \$3 billion over the previous five-year period. Importantly, the plan will not rely on new taxes or fees. Instead, the Executive Budget will redirect additional revenues from the General Fund to the State's dedicated transportation funds, ensuring the State's multi-year commitment. In addition to State funds, Federal aid will support a significant portion of the plan.

### ***THRUWAY AUTHORITY***

In addition to operating a 641-mile toll-highway system, the Thruway Authority has jurisdiction over the New York State Canal System. Through its subsidiary, the New York State Canal Corporation, the Thruway maintains, operates, develops and makes capital improvements to the 524-mile navigable waterway which includes 57 locks, numerous dams, reservoirs and water control structures. Revenues from canal tolls and other user fees are deposited into the Canal System Development Fund and, in accordance with the State Constitution, are used exclusively for the canals. Commitments from the Fund are used to reimburse the Thruway for projects advanced by the Authority. Maintenance on the canals is conducted on an ongoing basis to ensure that canal facilities operate properly and that public safety is maintained.

# CAPITAL PROGRAM PLAN

## TRANSPORTATION, DEPARTMENT OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Aviation	\$87,076	\$16,000	\$11,000	\$11,000	\$11,000	\$11,000	\$60,000
Highway Facilities	7,982,506	3,383,052	3,308,012	3,269,031	3,280,906	3,295,378	16,536,379
Maintenance Facilities	61,717	30,000	30,000	30,000	30,000	30,000	150,000
Mass Transportation and Rail							
Freight	224,542	52,568	51,566	63,566	63,566	65,566	296,832
Ports and Waterways	1,565	...	...	...	...	...	...
<b>Total</b>	<b>\$8,357,406</b>	<b>\$3,481,620</b>	<b>\$3,400,578</b>	<b>\$3,373,597</b>	<b>\$3,385,472</b>	<b>\$3,401,944</b>	<b>\$17,043,211</b>
Fund Summary:							
Capital Projects Fund - A.C. and T.I. Fund (Bondable)	\$83,672	...	...	...	...	...	...
Capital Projects Fund - Advances	25,826	...	...	...	...	...	...
Capital Projects Fund - Aviation (Bondable)	6,411	...	...	...	...	...	...
Capital Projects Fund - Energy Conservation (Bondable)	2,136	...	...	...	...	...	...
Capital Projects Fund - Infrastructure Renewal (Bondable)	49,751	...	...	...	...	...	...
Community Projects Fund	...	...	...	...	...	...	...
Dedicated Highway and Bridge Trust Fund	2,819,353	\$1,412,138	\$1,336,118	\$1,337,160	\$1,307,565	\$1,314,801	\$6,707,782
Dedicated Mass Transportation Fund	143,583	42,568	41,566	43,566	43,566	45,566	216,832
Engineering Services Fund	987,997	558,704	563,184	533,161	574,631	581,867	2,811,547
Federal Capital Projects Fund	4,056,854	1,410,000	1,400,000	1,400,000	1,400,000	1,400,000	7,010,000
Fiduciary funds - Misc. Combined Expendable Trust Fund	50,000	50,000	50,000	50,000	50,000	50,000	250,000
NY Metro Transportation Council Account	18,664	8,210	8,210	8,210	8,210	8,210	41,050
Passenger Facility Charge Fund	4,150	...	1,500	1,500	1,500	1,500	6,000
Regional Aviation Fund	35,000	...	...	...	...	...	...
Suburban Transportation Fund	74,009	...	...	...	...	...	...
<b>Total</b>	<b>\$8,357,406</b>	<b>\$3,481,620</b>	<b>\$3,400,578</b>	<b>\$3,373,597</b>	<b>\$3,385,472</b>	<b>\$3,401,944</b>	<b>\$17,043,211</b>

### COMMITMENTS

	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
Program Summary:					
Aviation	\$16,000	\$11,000	\$11,000	\$11,000	\$11,000
Highway Facilities	3,383,052	3,308,012	3,269,031	3,280,906	3,295,378
Maintenance Facilities	30,000	30,000	30,000	30,000	30,000
Mass Transportation and Rail					
Freight	52,568	51,566	63,566	63,566	65,566
<b>Total</b>	<b>\$3,481,620</b>	<b>\$3,400,578</b>	<b>\$3,373,597</b>	<b>\$3,385,472</b>	<b>\$3,401,944</b>
Fund Summary:					
Dedicated Highway and Bridge Trust Fund	\$1,412,138	\$1,336,118	\$1,337,160	\$1,307,565	\$1,314,801
Dedicated Mass Transportation Fund	42,568	41,566	43,566	43,566	45,566
Engineering Services Fund	558,704	563,184	533,161	574,631	581,867
Federal Capital Projects Fund	1,410,000	1,400,000	1,400,000	1,400,000	1,400,000
Fiduciary funds - Misc. Combined Expendable Trust Fund	50,000	50,000	50,000	50,000	50,000
NY Metro Transportation Council Account	8,210	8,210	8,210	8,210	8,210
Passenger Facility Charge Fund	...	1,500	1,500	1,500	1,500
<b>Total</b>	<b>\$3,481,620</b>	<b>\$3,400,578</b>	<b>\$3,373,597</b>	<b>\$3,385,472</b>	<b>\$3,401,944</b>

# CAPITAL PROGRAM PLAN

**TRANSPORTATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**DISBURSEMENTS**

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
<b>Program Summary:</b>							
Aviation	\$21,272	\$18,793	\$24,270	\$23,540	\$23,839	\$15,459	\$105,901
Highway Facilities	3,091,558	3,155,597	3,139,934	3,091,813	3,111,354	3,150,241	15,648,939
Maintenance Facilities	25,702	28,895	30,842	30,198	29,938	30,000	149,873
Mass Transportation and Rail Freight	50,130	46,669	54,897	49,592	56,799	67,116	275,073
Ports and Waterways	66	...	...	...	...	...	...
<b>Total</b>	<b>\$3,188,728</b>	<b>\$3,249,954</b>	<b>\$3,249,943</b>	<b>\$3,195,143</b>	<b>\$3,221,930</b>	<b>\$3,262,816</b>	<b>\$16,179,786</b>
<b>Fund Summary:</b>							
Capital Projects Fund - A.C. and T.I. Fund (Bondable)	\$27,859	\$29,758	\$21,130	\$11,633	\$5,088	\$544	\$68,153
Capital Projects Fund - Advances	1,500	1,500	1,280	1,000	500	500	4,780
Capital Projects Fund - Aviation (Bondable)	1,800	1,463	1,076	700	539	459	4,237
Capital Projects Fund - Energy Conservation (Bondable)	500	200	200	200	200	200	1,000
Capital Projects Fund - Infrastructure Renewal (Bondable)	3,234	12,218	11,316	8,629	6,053	1,000	39,216
Community Projects Fund	...	...	...	...	...	...	...
Dedicated Highway and Bridge Trust Fund	1,445,046	1,411,700	1,412,071	1,384,763	1,348,807	1,333,546	6,890,887
Dedicated Mass Transportation Fund	37,895	40,296	43,393	36,892	40,599	49,416	210,596
Engineering Services Fund	560,661	616,286	601,887	574,896	586,243	596,531	2,975,843
Federal Capital Projects Fund	1,095,373	1,121,623	1,140,180	1,158,919	1,214,880	1,267,910	5,903,512
Fiduciary funds - Misc. Combined Expendable Trust Fund	...	...	...	...	...	...	...
NY Metro Transportation Council Account	7,910	7,910	8,910	8,511	8,521	8,710	42,562
Passenger Facility Charge Fund	2,650	...	1,500	1,500	3,000	1,500	7,500
Regional Aviation Fund	4,000	6,000	6,000	6,500	6,500	1,500	26,500
Suburban Transportation Fund	300	1,000	1,000	1,000	1,000	1,000	5,000
<b>Total</b>	<b>\$3,188,728</b>	<b>\$3,249,954</b>	<b>\$3,249,943</b>	<b>\$3,195,143</b>	<b>\$3,221,930</b>	<b>\$3,262,816</b>	<b>\$16,179,786</b>

# CAPITAL PROGRAM PLAN

**THRUWAY AUTHORITY, NEW YORK STATE  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Canal Development Program	\$3,134	\$2,000	\$2,800	\$2,800	\$2,800	\$2,800	\$13,200
<b>Total</b>	<b>\$3,134</b>	<b>\$2,000</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$13,200</b>
Fund Summary:							
New York State Canal System Development Fund	\$3,134	\$2,000	\$2,800	\$2,800	\$2,800	\$2,800	\$13,200
<b>Total</b>	<b>\$3,134</b>	<b>\$2,000</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$13,200</b>

**COMMITMENTS**

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Canal Development Program	\$2,000	\$2,800	\$2,800	\$2,800	\$2,800
<b>Total</b>	<b>\$2,000</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>
Fund Summary:					
New York State Canal System Development Fund	\$2,000	\$2,800	\$2,800	\$2,800	\$2,800
<b>Total</b>	<b>\$2,000</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>

**DISBURSEMENTS**

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Canal Development Program	\$2,334	\$2,800	\$2,800	\$2,800	\$2,800	\$2,800	\$14,000
<b>Total</b>	<b>\$2,334</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$14,000</b>
Fund Summary:							
New York State Canal System Development Fund	\$2,334	\$2,800	\$2,800	\$2,800	\$2,800	\$2,800	\$14,000
<b>Total</b>	<b>\$2,334</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$2,800</b>	<b>\$14,000</b>

### ***ENVIRONMENT AND RECREATION***

The Department of Environmental Conservation (DEC) and the Office of Parks, Recreation and Historic Preservation (OPRHP) are the principal agencies responsible for preserving and protecting the State's extensive environmental, historic and cultural resources and providing recreational opportunities for its citizens. Each agency is responsible for the development and maintenance of a wide array of capital facilities integral to this mission.

A major focus of DEC and OPRHP over the next five years is the implementation of the Clean Water/Clean Air Bond Act (CWCA), proposed by the Governor, and endorsed by the voters in November 1996. The Bond Act authorized a total of \$1.75 billion for projects vital to the State's environmental and economic health.

#### ***DEPARTMENT OF ENVIRONMENTAL CONSERVATION***

The Department of Environmental Conservation (DEC) is charged with protecting the State's natural resources. Department responsibilities include cleaning up solid and hazardous waste disposal sites, enforcing air and water quality standards, maintaining hundreds of flood and coastal erosion projects and stewardship of approximately four million acres of State land. The Department also provides safe opportunities for outdoor recreation including hunting, fishing, camping, hiking and other activities. DEC manages hundreds of facilities including dams, boat launch sites, campgrounds, fish hatcheries, wildlife management areas and a ski area.

DEC's capital program enhances and maintains the infrastructure necessary to provide a safe environment, and an estimated \$572 million in capital disbursements will support these activities in 2000-01. DEC's Capital Plan directs resources to projects to ensure compliance with health and safety requirements and State and Federal environmental mandates. In 2000-01, new General Fund appropriations of \$27.3 million are recommended to address these needs and to maintain and upgrade campgrounds, environmental centers and camps, fish hatcheries and other Department-owned buildings and facilities, maintain flood control structures, and fund shore protection projects for communities threatened by coastal erosion.

The 2000-01 Executive Budget recommends new appropriations totaling \$162 million from the Clean Water/Clean Air (CWCA) Bond Act for projects to be administered by DEC. Another \$60 million is appropriated in the Department of Health for the Safe Drinking Water Program. The CWCA Bond Act funds such important activities as: water quality improvement projects, landfill closure and recycling projects, brownfields projects, safe drinking water projects and air quality improvement projects. Combined with \$1.1 billion in prior year appropriations, a total of \$1.3 billion will have been made available from the Bond Act by 2000-01.

The Capital Program and Financing Plan recommends additional appropriations of \$312 million from CWCA bonds through 2002-03 for projects administered by DEC. Another \$120 million is appropriated in the Department of Health. In total, CWCA appropriations of \$1.75 billion will be available by 2002-03 to support critical environmental projects.

Another key element of DEC's capital program is the Environmental Protection Fund (EPF), a dedicated fund supported by revenues of approximately \$125 million annually. The Executive Budget includes new appropriations of \$125 million, funding a host of critical

## ***CAPITAL PROGRAM PLAN***

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environmental and recreational activities including: local landfill closure and recycling; waterfront revitalization projects; non-point source water pollution control; farmland preservation; open space land acquisition; Hudson River Estuary Management and municipal parks projects; and the Hudson River Park project. Additional EPF appropriations of \$500 million through 2004-05 will continue funding these important activities.

The Department's Capital Plan also fully supports the Governor's State Superfund Refinancing Program Bill which calls for annual pay-as-you-go spending of \$138 million for three cleanup programs — State Superfund, Voluntary Cleanup, and Oil Spill. This program will be funded equally by the State and industry and will maintain the most stringent environmental and public health standards in the nation. The new State Superfund is scheduled to begin in 2001-02 after the existing 1986 Environmental Quality Bond Act hazardous waste funds of \$1.1 billion are fully committed.

In 2000-01, the level of contract commitments projected in the Department's capital plan is \$589.8 million. This is an increase of over \$64 million from the level of 2000-01 commitments projected in the 1999-2000 capital plan. The increase is consistent with increased appropriations for several capital programs. Future year commitments are also consistent with planned appropriation levels over the next five years.

The Department's capital maintenance plan focuses on preservation and preventive maintenance at its various lands, facilities and other structures. The Department manages a vast array of assets that vary in age, condition and useful life, including: approximately 4 million acres of land; 260 boat launch sites; 425 fishing access sites; 92 flood control structures; 51 campgrounds; 12 fish hatcheries; 1 game farm; 4 environmental education camps; 3 environmental education centers; 1 tree nursery; and the Belleayre Mountain ski center. The Department is developing a computerized maintenance management system which will facilitate planning and preventive maintenance for these extensive resources.

### ***OFFICE OF PARKS, RECREATION AND HISTORIC PRESERVATION***

The Office of Parks, Recreation and Historic Preservation operates 158 State parks and 35 historic sites that provide a place for visitors to relax and learn about New York's natural, historic and recreational treasures. More than 65 million people visit the State parks each year.

New York State's park system is one of the oldest and best developed in the nation, featuring 27 golf courses, 76 developed beaches, 51 swimming pools, and more than 5,000 buildings. The majority of facilities at State parks were built more than 50 years ago. Accordingly, a primary component of the capital program is devoted to maintenance and rehabilitation of existing facilities.

For 2000-01, the capital plan supports approximately \$38.5 million in capital disbursements from various sources. In the area of new appropriations, \$29 million is recommended for capital projects from the State Park Infrastructure Fund (SPIF), a dedicated fund consisting of revenues generated from day use and camping fees at the parks, as well as other miscellaneous revenues. Support is also provided for the Office's capital program from Fiduciary funds (notably \$5 million from the Maid of the Mist Corporation for the Niagara Observation Tower), Federal resources, and the 1986 Environmental Quality Bond Act.

In addition, funding will be available from the Environmental Protection Fund (EPF) and the Clean Water/Clean Air Bond Act to improve park facilities and protect the fragile natural resources at State parks. These funds are included in the Department of Environmental Conservation's capital budget.

The Office's five-year capital plan reflects the needs of the various parks and historic sites. A total of \$151 million, mainly from the SPIF, is planned to be spent on projects that are primarily targeted at correcting health and safety risks and preserving park facilities and include actions to:

- restore historic sites;
- rehabilitate park utility and sanitary systems;
- improve selected roads and bridges;
- upgrade public comfort stations and campground wash houses;
- maintain and improve park buildings, cabins and pool facilities; and
- develop newly acquired park lands.

The Office's capital maintenance plan also indicates that 2000-01 investments will be concentrated in preservation and protection of its many facilities. The Office's assets consist of approximately 5,000 buildings which vary in age, condition and useful life, including: historic buildings, offices, cabins, comfort stations, maintenance/storage buildings, restaurants, visitor/nature centers, pump houses and toll booths. The Office plans to focus its 2000-01 maintenance efforts primarily on site restoration, roof repair, and exterior construction projects.

In 2000-01, preparation will continue at Bethpage State Park for the 2002 U. S. Open Golf Championship. This event, held for the first time at a public golf course, will provide substantial economic and other benefits for the State's park system and the entire Long Island community.

The level of contract commitments projected in the Office's capital plan is approximately \$41.3 million in 2000-01, which is consistent with the level projected in the 1999-2000 plan. Future year commitments reflect projected activity from both new appropriations and prior year appropriations.

### ***HUDSON RIVER PARK TRUST***

The Hudson River Park Trust (HRPT) is responsible for designing, developing, constructing, and maintaining the 550 acre Hudson River Park, which will extend 5 miles along the Hudson River waterfront from Battery Park City to 59th Street. During 2000-01, the Trust will continue to refine the framework and scope of the Hudson River Park, finalize design contracts, develop detailed cost estimates, explore alternative sources of funding and continue to oversee capital projects to build the Park.

The 2000-01 Executive Budget recommends \$85 million in new appropriations for the Trust for capital costs associated with the planning, design and construction of Park projects. Specifically, Budget recommendations provide \$13 million from the Hudson River Park Fund, which consists of revenues generated at the properties that comprise the Park. In addition, \$20 million is provided for Park development from the Environmental Protection Fund (EPF) within the budget for the Department of Environmental Conservation. Finally, the Budget provides a State-funded advance appropriation of \$52 million, which will be repaid by New York City as part of its share of the Park's initial development costs. The level of contract commitments projected in the Trust's capital

## ***CAPITAL PROGRAM PLAN***

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plan is approximately \$30 million. Additional commitments are reflected in the EPF. Future year commitments reflect projected activity from both new appropriations and prior year appropriations.

# CAPITAL PROGRAM PLAN

**ENVIRONMENTAL CONSERVATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
<b>Program Summary:</b>							
Administration	\$1,976	\$500	...	...	...	...	\$500
Air Resources	136,942	29,500	\$159,000	\$159,000	\$3,000	\$3,000	353,500
Clean Water Clean Air Implementation	6,027	6,027	6,027	6,027	6,027	6,027	30,135
Clean Water/Clean Air 96 Design and Construction Supervision	86,477 14	10,000 ...	...	...	...	...	10,000 ...
Environment and Recreation	295,367	125,000	125,000	125,000	125,000	125,000	625,000
Environment Protection and Enhancements	34,475	...	...	...	...	...	...
Fish and Wildlife	7,126	3,200	1,000	1,000	1,000	1,000	7,200
Lands and Forests	18,422	1,250	1,000	1,000	1,000	1,000	5,250
Marine Resources	3,224	3,850	...	...	...	...	3,850
Operations	33,657	10,900	10,000	10,000	10,000	10,000	50,900
Recreation	2,535	150	700	700	700	700	2,950
Solid and Hazardous Waste Management	450,785	...	...	...	...	...	...
Solid Waste Management	292,424	20,525	105,725	105,725	105,725	105,725	443,425
Water Resources	1,039,630	379,872	104,400	103,920	93,000	23,000	704,192
<b>Total</b>	<b>\$2,409,081</b>	<b>\$590,774</b>	<b>\$512,852</b>	<b>\$512,372</b>	<b>\$345,452</b>	<b>\$275,452</b>	<b>\$2,236,902</b>
<b>Fund Summary:</b>							
Capital Projects Fund	\$177,196	\$70,708	\$37,325	\$37,245	\$35,425	\$35,425	\$216,128
Capital Projects Fund - 1996 CWCA (Bondable)	742,127	162,000	156,000	156,000	...	...	474,000
Capital Projects Fund - Advances Capital Projects Fund - EQBA (Bondable)	117,114 72,974	1,275 ...	1,000 ...	1,000 ...	1,000 ...	1,000 ...	5,275 ...
Capital Projects Fund - EQBA 86 (Bondable)	450,796	...	...	...	...	...	...
Capital Projects Fund - PWBA (Bondable)	33,889	...	...	...	...	...	...
Clean Air Fund	9,235	3,000	3,000	3,000	3,000	3,000	15,000
Clean Water Clean Air Implementation Fund	6,027	6,027	6,027	6,027	6,027	6,027	30,135
Enterprise Fund	175	...	...	...	...	...	...
Environmental Protection Fund	329,842	125,000	125,000	125,000	125,000	125,000	625,000
Federal Capital Projects Fund	456,162	222,764	79,500	79,100	70,000	...	451,364
Financial Security Fund	1,982	...	...	...	...	...	...
Forest Preserve Expansion Fund	146	...	...	...	...	...	...
Hudson River Habitat Restor. Fund	351	...	...	...	...	...	...
Natural Resource Damages Fund	11,065	...	...	...	...	...	...
Remedial Program Transfer Fund	...	...	105,000	105,000	105,000	105,000	420,000
<b>Total</b>	<b>\$2,409,081</b>	<b>\$590,774</b>	<b>\$512,852</b>	<b>\$512,372</b>	<b>\$345,452</b>	<b>\$275,452</b>	<b>\$2,236,902</b>

# CAPITAL PROGRAM PLAN

**ENVIRONMENTAL CONSERVATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**COMMITMENTS**

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
<b>Program Summary:</b>					
Administration	\$500	\$200	\$100	\$100	\$100
Air Resources	5,700	5,200	4,000	4,000	4,000
Clean Water Clean Air Implementation	6,027	6,027	6,027	6,027	6,027
Clean Water/Clean Air 96	162,000	156,000	156,000	...	...
Environment and Recreation	125,000	125,000	125,000	125,000	125,000
Fish and Wildlife	1,000	1,000	1,000	1,000	1,000
Lands and Forests	2,075	1,000	1,000	1,000	1,000
Marine Resources	200	250	200	200	200
Operations	12,200	9,000	8,000	8,000	8,000
Recreation	3,000	2,800	2,500	2,500	2,500
Solid and Hazardous Waste Management	107,100	170,673	117,372	105,000	105,000
Solid Waste Management	4,000	2,000	1,500	500	500
Water Resources	161,035	160,027	162,702	178,000	178,000
<b>Total</b>	<b>\$589,837</b>	<b>\$639,177</b>	<b>\$585,401</b>	<b>\$431,327</b>	<b>\$431,327</b>
<b>Fund Summary:</b>					
Capital Projects Fund	\$48,000	\$48,000	\$48,000	\$50,550	\$50,550
Capital Projects Fund - 1996 CWCA (Bondable)	162,000	156,000	156,000	...	...
Capital Projects Fund - Advances	4,000	1,000	1,000	1,000	1,000
Capital Projects Fund - EQBA (Bondable)	3,000	2,000	...	...	...
Capital Projects Fund - EQBA 86 (Bondable)	95,000	51,000	...	...	...
Clean Air Fund	3,000	3,000	3,000	3,000	3,000
Clean Water Clean Air Implementation Fund	6,027	6,027	6,027	6,027	6,027
Environmental Protection Fund	125,000	125,000	125,000	125,000	125,000
Federal Capital Projects Fund	140,535	140,950	141,174	140,550	140,550
Financial Security Fund	200	200	200	200	200
Forest Preserve Expansion Fund	75	...	...	...	...
Natural Resource Damages Fund	3,000	1,000	...	...	...
Remedial Program Transfer Fund	...	105,000	105,000	105,000	105,000
<b>Total</b>	<b>\$589,837</b>	<b>\$639,177</b>	<b>\$585,401</b>	<b>\$431,327</b>	<b>\$431,327</b>

# CAPITAL PROGRAM PLAN

**ENVIRONMENTAL CONSERVATION, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**DISBURSEMENTS**

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
<b>Program Summary:</b>							
Administration	\$334	\$450	\$750	\$489	...	...	\$1,689
Air Resources	37,301	55,166	49,492	65,180	\$80,314	\$80,300	330,452
Clean Water Clean Air Implementation	6,027	6,027	6,027	6,027	6,027	6,027	30,135
Clean Water/Clean Air 96 Design and Construction Supervision	2,000	4,147	5,000	7,000	8,000	8,500	32,647
Environment and Recreation	14	...	...	...	...	...	...
Environment Protection and Enhancements	103,327	99,317	114,107	116,846	114,724	113,320	558,314
Fish and Wildlife	490	16,603	11,982	2,815	1,000	...	32,400
Lands and Forests	1,245	609	1,118	1,025	750	1,000	4,502
Marine Resources	13,358	2,592	888	1,175	1,866	1,220	7,741
Operations	663	100	280	524	100	...	1,004
Recreation	7,718	6,912	9,985	9,244	8,522	10,875	45,538
Solid and Hazardous Waste Management	1,026	905	724	643	560	950	3,782
Solid Waste Management	110,000	110,000	100,000	100,000	34,791	...	344,791
Water Resources	24,398	27,598	124,625	130,222	125,167	120,475	528,087
<b>Total</b>	<u>\$442,069</u>	<u>\$572,184</u>	<u>\$670,964</u>	<u>\$665,277</u>	<u>\$610,702</u>	<u>\$573,167</u>	<u>\$3,092,294</u>
<b>Fund Summary:</b>							
Capital Projects Fund	\$46,830	\$49,181	\$50,657	\$49,499	\$50,229	\$50,500	\$250,066
Capital Projects Fund - 1996 CWCA (Bondable)	75,000	125,000	125,000	125,000	145,000	145,000	665,000
Capital Projects Fund - Advances (Bondable)	6,997	7,360	7,012	7,152	7,450	7,400	36,374
Capital Projects Fund - EQBA (Bondable)	11,312	9,031	492	2,130	1,106	1,100	13,859
Capital Projects Fund - EQBA 86 (Bondable)	110,000	110,000	100,000	100,000	34,791	...	344,791
Capital Projects Fund - PWBA (Bondable)	2,900	3,100	3,725	4,800	800	800	13,225
Clean Air Fund	4,500	3,000	3,000	3,000	3,000	3,000	15,000
Clean Water Clean Air Implementation Fund	6,027	6,027	6,027	6,027	6,027	6,027	30,135
Enterprise Fund	...	...	...	...	...	...	...
Environmental Protection Fund	103,817	115,920	126,089	119,661	115,724	113,320	590,714
Federal Capital Projects Fund	71,356	140,535	140,950	141,174	140,550	140,000	703,209
Financial Security Fund	500	500	482	500	...	...	1,482
Forest Preserve Expansion Fund	30	30	30	20	25	20	125
Hudson River Habitat Restor. Fund	300	...	...	...	...	...	...
Natural Resource Damages Fund	2,500	2,500	2,500	1,314	1,000	1,000	8,314
Remedial Program Transfer Fund	...	...	105,000	105,000	105,000	105,000	420,000
<b>Total</b>	<u>\$442,069</u>	<u>\$572,184</u>	<u>\$670,964</u>	<u>\$665,277</u>	<u>\$610,702</u>	<u>\$573,167</u>	<u>\$3,092,294</u>

# CAPITAL PROGRAM PLAN

## PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<u>Reappropriations</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>	<u>Total 2000-2005</u>
Program Summary:							
Clean Water Clean Air Implementation	...	\$1,453	\$1,526	\$1,600	\$1,682	\$1,766	\$8,027
Federal Capital Projects Fund	\$2,574	1,000	...	...	...	...	1,000
Maintenance and Improvement of Existing Facilities	55,647	34,780	24,400	25,500	26,000	30,000	140,680
Natural Heritage Trust	300	...	...	...	...	...	...
New Facilities	2,425	1,250	...	...	...	...	1,250
Outdoor Recreation	1,026	...	...	...	...	...	...
Parks EQBA	24,409	...	...	...	...	...	...
<b>Total</b>	<b>\$86,381</b>	<b>\$38,483</b>	<b>\$25,926</b>	<b>\$27,100</b>	<b>\$27,682</b>	<b>\$31,766</b>	<b>\$150,957</b>
Fund Summary:							
Capital Projects Fund	\$300	...	...	...	...	...	...
Capital Projects Fund - EQBA (Bondable)	406	...	...	...	...	...	...
Capital Projects Fund - EQBA 86 (Bondable)	24,003	...	...	...	...	...	...
Clean Water Clean Air Implementation Fund	...	\$1,453	\$1,526	\$1,600	\$1,682	\$1,766	\$8,027
Community Projects Fund	...	...	...	...	...	...	...
Federal Capital Projects Fund	2,574	1,000	...	...	...	...	1,000
Fiduciary Funds - Miscellaneous Combined Expendable Trust Fund	400	6,000	...	...	...	...	6,000
Misc. Capital Projects	2,046	1,000	500	500	1,000	1,000	4,000
Outdoor Recreation Development Bond Fund	230	...	...	...	...	...	...
Parks and Recreation Land Acquisition Bond Fund	796	...	...	...	...	...	...
State Parks Infrastructure Fund	55,626	29,030	23,900	25,000	25,000	29,000	131,930
<b>Total</b>	<b>\$86,381</b>	<b>\$38,483</b>	<b>\$25,926</b>	<b>\$27,100</b>	<b>\$27,682</b>	<b>\$31,766</b>	<b>\$150,957</b>

### COMMITMENTS

	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>
Program Summary:					
Clean Water Clean Air Implementation	\$1,453	\$1,526	\$1,600	\$1,682	\$1,766
Federal Capital Projects Fund	1,000	...	...	...	...
Maintenance and Improvement of Existing Facilities	27,650	21,625	22,700	22,500	22,500
New Facilities	1,150	1,175	...	...	...
Parks EQBA	10,000	7,660	...	...	...
<b>Total</b>	<b>\$41,253</b>	<b>\$31,986</b>	<b>\$24,300</b>	<b>\$24,182</b>	<b>\$24,266</b>
Fund Summary:					
Capital Projects Fund - EQBA 86 (Bondable)	\$10,000	\$7,660	...	...	...
Clean Water Clean Air Implementation	1,453	1,526	\$1,600	\$1,682	\$1,766
Federal Capital Projects Fund	1,000	...	...	...	...
Fiduciary Funds - Miscellaneous Combined Expendable Trust Fund	6,000	...	...	...	...
Misc. Capital Projects	700	700	600	400	...
State Parks Infrastructure Fund	22,100	22,100	22,100	22,100	22,500
<b>Total</b>	<b>\$41,253</b>	<b>\$31,986</b>	<b>\$24,300</b>	<b>\$24,182</b>	<b>\$24,266</b>

# CAPITAL PROGRAM PLAN

**PARKS, RECREATION AND HISTORIC PRESERVATION, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**DISBURSEMENTS**

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Clean Water Clean Air Implementation	\$1,384	\$1,453	\$1,526	\$1,600	\$1,682	\$1,766	\$8,027
Federal Capital Projects Fund	500	800	1,200	500	...	...	2,500
Maintenance and Improvement of Existing Facilities	24,500	24,800	24,625	25,400	25,300	25,500	125,625
Natural Heritage Trust	200	...	...	...	...	...	...
New Facilities	200	1,050	1,175	...	...	...	2,225
Outdoor Recreation	...	...	...	...	...	...	...
Parks EQBA	10,000	10,400	7,227	...	...	...	17,627
<b>Total</b>	<b>\$36,784</b>	<b>\$38,503</b>	<b>\$35,753</b>	<b>\$27,500</b>	<b>\$26,982</b>	<b>\$27,266</b>	<b>\$156,004</b>
Fund Summary:							
Capital Projects Fund	...	...	...	...	...	...	...
Capital Projects Fund - EQBA (Bondable)	...	\$400	...	...	...	...	\$400
Capital Projects Fund - EQBA 86 (Bondable)	\$10,000	10,000	\$7,227	...	...	...	17,227
Clean Water Clean Air Implementation Fund	1,384	1,453	1,526	\$1,600	\$1,682	\$1,766	8,027
Community Projects Fund	200	...	...	...	...	...	...
Federal Capital Projects Fund	500	800	1,200	500	...	...	2,500
Fiduciary Funds - Miscellaneous Combined Expendable Trust Fund	...	400	400	200	...	...	1,000
Misc. Capital Projects	100	850	800	600	700	900	3,850
Outdoor Recreation Development Bond Fund	...	...	...	...	...	...	...
Parks and Recreation Land Acquisition Bond Fund	...	...	...	...	...	...	...
State Parks Infrastructure Fund	24,600	24,600	24,600	24,600	24,600	24,600	123,000
<b>Total</b>	<b>\$36,784</b>	<b>\$38,503</b>	<b>\$35,753</b>	<b>\$27,500</b>	<b>\$26,982</b>	<b>\$27,266</b>	<b>\$156,004</b>

# CAPITAL PROGRAM PLAN

## HUDSON RIVER PARK TRUST SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Regional Development	\$18,845	\$65,000	\$49,600	\$3,600	\$3,600	\$3,600	\$125,400
<b>Total</b>	<b>\$18,845</b>	<b>\$65,000</b>	<b>\$49,600</b>	<b>\$3,600</b>	<b>\$3,600</b>	<b>\$3,600</b>	<b>\$125,400</b>
Fund Summary:							
Capital Projects Fund - Advances	...	\$52,000	\$46,000	...	...	...	\$98,000
Hudson River Park Fund	\$18,845	13,000	3,600	\$3,600	\$3,600	\$3,600	27,400
<b>Total</b>	<b>\$18,845</b>	<b>\$65,000</b>	<b>\$49,600</b>	<b>\$3,600</b>	<b>\$3,600</b>	<b>\$3,600</b>	<b>\$125,400</b>

### COMMITMENTS

	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
Program Summary:					
Regional Development	\$30,000	\$55,000	\$35,000	\$19,800	\$3,600
<b>Total</b>	<b>\$30,000</b>	<b>\$55,000</b>	<b>\$35,000</b>	<b>\$19,800</b>	<b>\$3,600</b>
Fund Summary:					
Capital Projects Fund - Advances	\$20,000	\$40,000	\$25,000	\$13,000	...
Hudson River Park Fund	10,000	15,000	10,000	6,800	\$3,600
<b>Total</b>	<b>\$30,000</b>	<b>\$55,000</b>	<b>\$35,000</b>	<b>\$19,800</b>	<b>\$3,600</b>

### DISBURSEMENTS

	Estimated 1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Regional Development	\$3,800	\$27,700	\$54,600	\$42,600	\$10,400	\$3,600	\$138,900
<b>Total</b>	<b>\$3,800</b>	<b>\$27,700</b>	<b>\$54,600</b>	<b>\$42,600</b>	<b>\$10,400</b>	<b>\$3,600</b>	<b>\$138,900</b>
Fund Summary:							
Capital Projects Fund - Advances	...	\$20,000	\$40,000	\$32,000	\$6,000	...	\$98,000
Hudson River Park Fund	\$3,800	7,700	14,600	10,600	4,400	\$3,600	40,900
<b>Total</b>	<b>\$3,800</b>	<b>\$27,700</b>	<b>\$54,600</b>	<b>\$42,600</b>	<b>\$10,400</b>	<b>\$3,600</b>	<b>\$138,900</b>

# CAPITAL PROGRAM PLAN

## ENVIRONMENTAL FACILITIES CORPORATION SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Clean Water Clean Air Implementation	...	\$292	\$292	\$292	\$292	\$292	\$1,460
Pipeline for Jobs Program	\$22,500	...	...	...	...	...	...
<b>Total</b>	<u>\$22,500</u>	<u>\$292</u>	<u>\$292</u>	<u>\$292</u>	<u>\$292</u>	<u>\$292</u>	<u>\$1,460</u>
Fund Summary:							
Capital Projects Fund	\$22,500	...	...	...	...	...	...
Clean Water Clean Air Implementation Fund	...	\$292	\$292	\$292	\$292	\$292	\$1,460
<b>Total</b>	<u>\$22,500</u>	<u>\$292</u>	<u>\$292</u>	<u>\$292</u>	<u>\$292</u>	<u>\$292</u>	<u>\$1,460</u>

### COMMITMENTS

	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
Program Summary:					
Clean Water Clean Air Implementation	\$292	\$292	\$292	\$292	\$292
Pipeline for Jobs Program	11,000	11,500	...	...	...
<b>Total</b>	<u>\$11,292</u>	<u>\$11,792</u>	<u>\$292</u>	<u>\$292</u>	<u>\$292</u>
Fund Summary:					
Capital Projects Fund	\$11,000	\$11,500	...	...	...
Clean Water Clean Air Implementation Fund	292	292	\$292	\$292	\$292
<b>Total</b>	<u>\$11,292</u>	<u>\$11,792</u>	<u>\$292</u>	<u>\$292</u>	<u>\$292</u>

### DISBURSEMENTS

	Estimated 1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Clean Water Clean Air Implementation	\$552	\$292	\$292	\$292	\$292	\$292	\$1,460
Pipeline for Jobs Program	...	11,000	11,500	...	...	...	22,500
<b>Total</b>	<u>\$552</u>	<u>\$11,292</u>	<u>\$11,792</u>	<u>\$292</u>	<u>\$292</u>	<u>\$292</u>	<u>\$23,960</u>
Fund Summary:							
Capital Projects Fund	...	\$11,000	\$11,500	...	...	...	\$22,500
Clean Water Clean Air Implementation	\$552	292	292	\$292	\$292	\$292	1,460
<b>Total</b>	<u>\$552</u>	<u>\$11,292</u>	<u>\$11,792</u>	<u>\$292</u>	<u>\$292</u>	<u>\$292</u>	<u>\$23,960</u>

## ***CAPITAL PROGRAM PLAN***

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### ***PUBLIC PROTECTION***

The Executive Budget recommendation provides for the consolidation of eight criminal justice agencies, including the Department of Correctional Services and the Division of State Police, into a new Department of Justice. The capital planning process is essential to the Department of Justice (DOJ) as a means of providing additional capacity and maintaining a safe and secure environment in existing facilities. The Division of Military and Naval Affairs (DMNA) capital programs ensure that existing facilities continue to be maintained.

#### ***DEPARTMENT OF JUSTICE***

##### ***Division of Correctional Services (DOCS)***

The focus of the 2000-01 capital projects recommendation is the completion of a capital expansion plan to meet population demands resulting from truth-in-sentencing legislation, including Jenna's Law, and maintenance of the Division's vast infrastructure.

To provide the additional space required to allow violent offenders to serve longer sentences commensurate with their crimes, a new 750 cell maximum security prison under construction in Seneca County, is on schedule to be occupied by inmates in the Fall of 2000. The Capital Plan includes funds to construct a third, 750-cell maximum security prison to be opened in 2002.

DOCS is currently responsible for incarcerating approximately 72,000 convicted criminals and preparing them for successful return to the community. The capital program assists in this mission by ensuring that all housing, medical, program, and support space in 70 facilities remains functional, safe and secure.

The Division's substantial rehabilitation and preservation requirements are due to several factors, including the age of many of the State's prisons and the changing needs of the inmate population, particularly in the area of health care. "New" capacity was created during the 1980's often by converting older institutions from other uses to prisons. The focus of that effort was on security, not upgrading the structural integrity and infrastructure of these older facilities. In addition, virtually all of these facilities operate above capacity due to extensive double-bunking, further straining the physical plant.

The Division of Correctional Services Preventative Maintenance Program Plan addresses this situation by providing funds for additional system repairs and replacements necessary to keep capacity in service. Analysis indicates that the heating, ventilation, hot water, electric and roofing systems are the major problem areas requiring appropriations.

The Division continues to require improvements to its medical facilities. The prevalence of AIDS, tuberculosis and other infectious conditions in the prison population prompted a significant capital program to provide cost efficient access to health care. An appropriation of \$15 million is included in the recommendations to continue the renovation and replacement of medical facilities.

The Capital Asset Maintenance Plan is designed to preserve the useful life of the facilities and infrastructure. In evaluating assets, DOCS has established a rating system

## ***CAPITAL PROGRAM PLAN***

to identify the condition of its physical plant as a tool for prioritizing essential rehabilitation projects. The following table identifies the capital asset group, age and condition of DOCS assets:

<b><u>Capital Asset Group</u></b>	<b><u>Age Range</u></b>	<b><u>Condition</u></b>			<b><u>Total</u></b>
		<b><u>Good</u></b>	<b><u>Fair</u></b>	<b><u>Poor</u></b>	
Minimum Security	4 to 75 yrs.	15	2	0	17
Medium Security	8 to 100 yrs.	33	4	0	37
Maximum Security	0 to 150 yrs.	13	3	0	16
Support	40 to 60 yrs.	2	0	0	2
	<b>Total</b>	63	9	0	72

The program, consistent with the above objectives, recommends new capital project appropriations of approximately \$1.2 billion over the next five years, and \$907 million in reappropriations. These appropriations are grouped programmatically, thereby allowing the agency to manage the flow of projects, respond to emergencies and adjust priorities to accommodate for changes in facility conditions.

The DOCS capital program is funded primarily from appropriations made from the Correctional Facilities Capital Improvement Fund which is reimbursed by proceeds from bonds issued by the Empire State Development Corporation. The five year disbursement projection of more than \$1.1 billion includes \$230 million to complete construction of additional capacity including \$180 million for a third, 750-cell maximum security prison recommended in the 2000-01 Executive Budget; \$92 million for the Health Care Infrastructure program; and \$776 million for capital rehabilitation.

The 2000-01 Five Year Capital Plan reflects nearly \$1.1 billion in commitments for the 2000-05 period, commensurate with anticipated available appropriations. The Plan reflects the pressing need for capacity expansion, infrastructure maintenance and rehabilitation projects and improvements to the Division's health care facilities.

### ***Division of State Police (DSP)***

The priorities of the Division of State Police (DSP) Capital Plan are to maintain the safety and integrity of State Police facilities across the State. Total capital disbursements for the Division are estimated at \$6 million in 2000-01, which will accommodate construction of a new facility in Central Islip and ongoing maintenance and improvement of State Police facilities.

The Capital Asset Maintenance Plan for the facilities of the Division of State Police is designed to preserve the useful life of the facilities and infrastructure. Over the past several years capital initiatives have been focused on the repair and replacement of roofs, windows, parking lots and access roadways, heating and ventilation systems, and improvements to facilities to meet health and safety needs. The plan for the next five years maintains the same focus.

## **CAPITAL PROGRAM PLAN**

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<b><u>Capital Asset Group</u></b>	<b><u>Age Range</u></b>	<b><u>Condition</u></b>			<b><u>Total</u></b>
		<b><u>Good</u></b>	<b><u>Fair</u></b>	<b><u>Poor</u></b>	
Forensic Investigation Center	2 yrs.	1	0	0	1
Troop Headquarters	10 to 40 yrs.	9	0	0	9
Zone Headquarters	40 yrs.	0	2	0	2
Stations	1 yr.	1	0	0	1
	<b>Total</b>	11	2	0	13

The 2000-01 Executive Budget includes funding for completing the construction of a new Zone Headquarters in the Town of Islip, in Suffolk County. The completed new facility will be centrally located and readily accessible to the major highways in that area. In addition, the new facility will be handicapped accessible and have women's locker facilities.

The commitments for 1999-2000 were \$2.6 million which will increase to \$3.5 million in 2000-01. The 2000-01 Capital Plan assumes entering into commitments for new projects to rehabilitate State Police facilities.

### ***DIVISION OF MILITARY AND NAVAL AFFAIRS***

The Division of Military and Naval Affairs operates over 120 facilities statewide which include: over 60 Army National Guard Armories, 28 operations and maintenance facilities, six Air Guard bases, three aviation support facilities, four training facilities and Camp Smith.

An analysis conducted by the National Guard Bureau has concluded that New York's infrastructure is among the oldest in the nation and its overall condition is fair. The total size of the infrastructure is over five million square feet, and its average age is over 72 years.

Over the last five years, the Division has been refining its mission to not only maintaining its military readiness posture, but to include joint partnerships with local communities. The Division's capital projects planning is undertaken in the context of this restructured mission which encompasses both Federal and State priorities.

The Division annually prepares a Five-Year Comprehensive Capital Maintenance Plan which emphasizes continued investment in maintenance and repair programs, implements technological upgrades and addresses energy efficiency concerns. Infrastructure repair and upgrade is measured and prioritized by the affected structure condition, proposed use, and corresponding health, safety and environmental concerns.

# CAPITAL PROGRAM PLAN

## JUSTICE, DEPARTMENT OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Maintenance and Improvement of Existing Facilities	\$606,355	\$385,000	\$200,000	\$190,000	\$205,000	\$205,000	\$1,185,000
Maintenance and Improvement of State Police Facilities	6,388	2,700	2,000	2,000	2,000	2,000	10,700
Medical Facilities	54,827	15,000	15,000	15,000	...	...	45,000
New State Police Facilities	2,385	...	...	...	...	...	...
UDC Financed and Other New Facility Capacity Expansion	246,132	...	...	...	...	...	...
<b>Total</b>	<u>\$916,087</u>	<u>\$402,700</u>	<u>\$217,000</u>	<u>\$207,000</u>	<u>\$207,000</u>	<u>\$207,000</u>	<u>\$1,240,700</u>
Fund Summary:							
Capital Projects Fund	\$11,773	\$2,700	\$2,000	\$2,000	\$2,000	\$2,000	\$10,700
Correctional Facilities Capital Improvement Fund	790,127	400,000	215,000	205,000	205,000	205,000	1,230,000
Federal Capital Projects Fund	114,187	...	...	...	...	...	...
<b>Total</b>	<u>\$916,087</u>	<u>\$402,700</u>	<u>\$217,000</u>	<u>\$207,000</u>	<u>\$207,000</u>	<u>\$207,000</u>	<u>\$1,240,700</u>

### COMMITMENTS

	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
Program Summary:					
Maintenance and Improvement of Existing Facilities	\$383,000	\$160,000	\$160,000	\$165,000	\$175,000
Maintenance and Improvement of State Police Facilities	1,500	1,000	1,000	1,000	1,000
Medical Facilities	15,000	15,000	15,000	10,000	...
New State Police Facilities	2,000	1,000	1,000	1,000	1,000
<b>Total</b>	<u>\$401,500</u>	<u>\$177,000</u>	<u>\$177,000</u>	<u>\$177,000</u>	<u>\$177,000</u>
Fund Summary:					
Capital Projects Fund	\$6,500	\$2,000	\$2,000	\$2,000	\$2,000
Correctional Facilities Capital Improvement Fund	395,000	175,000	175,000	175,000	175,000
<b>Total</b>	<u>\$401,500</u>	<u>\$177,000</u>	<u>\$177,000</u>	<u>\$177,000</u>	<u>\$177,000</u>

### DISBURSEMENTS

	Estimated 1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Maintenance and Improvement of Existing Facilities	\$321,500	\$213,000	\$305,365	\$213,000	\$173,000	\$188,000	\$1,092,365
Maintenance and Improvement of State Police Facilities	2,145	3,825	2,453	2,700	1,500	1,500	11,978
Medical Facilities	26,000	32,000	14,135	15,000	15,000	...	76,135
New State Police Facilities	838	2,261	...	...	...	...	2,261
UDC Financed and Other New Facility Capacity Expansion	...	...	...	...	...	...	...
<b>Total</b>	<u>\$350,483</u>	<u>\$251,086</u>	<u>\$321,953</u>	<u>\$230,700</u>	<u>\$189,500</u>	<u>\$189,500</u>	<u>\$1,182,739</u>
Fund Summary:							
Capital Projects Fund	\$3,483	\$7,086	\$3,953	\$2,700	\$1,500	\$1,500	\$16,739
Correctional Facilities Capital Improvement Fund	259,000	210,000	318,000	228,000	188,000	188,000	1,132,000
Federal Capital Projects Fund	88,000	34,000	...	...	...	...	34,000
<b>Total</b>	<u>\$350,483</u>	<u>\$251,086</u>	<u>\$321,953</u>	<u>\$230,700</u>	<u>\$189,500</u>	<u>\$189,500</u>	<u>\$1,182,739</u>

# CAPITAL PROGRAM PLAN

## MILITARY AND NAVAL AFFAIRS, DIVISION OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Administration - Federal Capital Projects Fund	...	...	...	...	...	...	...
Army National Guard	\$1,043	...	...	...	...	...	...
Design and Construction							
Supervision	4,014	\$2,025	\$3,025	\$3,600	\$3,350	\$3,675	\$15,675
Maintenance and Improvement of Existing Facilities	13,302	7,700	6,700	6,125	6,375	6,050	32,950
<b>Total</b>	<b>\$18,359</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$48,625</b>
Fund Summary:							
Capital Projects Fund	\$10,101	\$6,300	\$6,300	\$6,300	\$6,300	\$6,300	\$31,500
Federal Capital Projects Fund	8,258	3,425	3,425	3,425	3,425	3,425	17,125
<b>Total</b>	<b>\$18,359</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$48,625</b>

### COMMITMENTS

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Design and Construction					
Supervision	\$3,200	\$3,200	\$3,100	\$3,100	\$3,100
Maintenance and Improvement of Existing Facilities	6,525	6,525	6,625	6,625	6,625
<b>Total</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>
Fund Summary:					
Capital Projects Fund	\$6,300	\$6,300	\$6,300	\$6,300	\$6,300
Federal Capital Projects Fund	3,425	3,425	3,425	3,425	3,425
<b>Total</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>

### DISBURSEMENTS

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Administration - Federal Capital Projects Fund	\$700	...	...	...	...	...	...
Army National Guard	1,431	...	...	...	...	...	...
Design and Construction							
Supervision	1,254	\$3,000	\$3,200	\$3,100	\$3,100	\$3,100	\$15,500
Maintenance and Improvement of Existing Facilities	4,115	6,600	6,525	6,625	6,625	6,625	33,000
<b>Total</b>	<b>\$7,500</b>	<b>\$9,600</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$48,500</b>
Fund Summary:							
Capital Projects Fund	\$5,500	\$6,300	\$6,300	\$6,300	\$6,300	\$6,300	\$31,500
Federal Capital Projects Fund	2,000	3,300	3,425	3,425	3,425	3,425	17,000
<b>Total</b>	<b>\$7,500</b>	<b>\$9,600</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$9,725</b>	<b>\$48,500</b>

## **EDUCATION**

Capital planning is vital to the teaching, research and public service missions of both the State University (SUNY) and the City University (CUNY) systems. Capital Plans ensure that facilities are appropriately designed and developed to meet current and future needs and provide a safe and healthy environment for students, faculty and staff. The State Education Department's (SED) capital programs encompass the rehabilitation of schools for the deaf, the blind and Native Americans; its administrative offices; and the State Museum, State Library and State Archives.

### **STATE UNIVERSITY OF NEW YORK**

The State University of New York is the largest public university system in the nation, with 64 campuses (including 30 community colleges) serving nearly 370,000 students. The goal of SUNY's capital program is to provide and preserve the physical infrastructure needed for the education of its students.

The 34 State-operated campuses include more than 2,000 classroom, dormitory, library, laboratory, athletic, and student activity buildings. Nearly 85 percent of SUNY's facilities exceed 20 years of age and are in need of renovation, rehabilitation and repair. Accordingly, SUNY's capital program continues to emphasize the preservation of its existing State-operated facilities. Capital priorities at SUNY's 30 community colleges include major rehabilitation as well as selected new buildings at various campuses to accommodate changing academic needs.

SUNY's capital planning process starts with the development of facility master plans for each campus to identify new construction and rehabilitation projects. For State-operated colleges, these plans are then developed into a system wide, five-year University Master Capital Plan approved by the SUNY Board of Trustees. For community colleges, master plans are submitted to the local Boards of Trustees for approval and are used to develop budget requests for submission to the SUNY Board of Trustees. The Trustees then select priority projects for inclusion in SUNY's formal capital budget request.

SUNY's \$2 billion Multi-Year Capital Investment Program is financed with \$1.575 billion in State-supported bonding and the remainder by hospital and dormitory revenues and local community college sponsors. The long-term Capital Investment Program enables the University to develop a comprehensive plan for revitalizing campuses through the rehabilitation of existing buildings; construction and adaptation of facilities to meet current academic needs; and the use of technology for instruction, research and community service.

- Major components of the capital program for 2000-01 through 2004-05 include:
- campus core projects to meet critical health, safety and preservation needs and respond to new and changing academic requirements;
  - technology and campus development projects to respond to new ways of teaching and learning;
  - campus matching projects to leverage private donations;
  - system wide infrastructure projects to address such needs as underground utility projects;
  - campus improvements and quality of life projects to improve the overall appearance of SUNY campuses and their responsiveness to student needs; and

## ***CAPITAL PROGRAM PLAN***

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- community college capital projects ranging in scope from replacement of heating and cooling systems to construction of new academic facilities.

SUNY's Capital Investment Program will continue to emphasize projects that address health and safety, rehabilitation and preservation needs. These include projects to address code violations, meet accreditation standards, provide access for the disabled, preserve the integrity of the capital plant and address deferred maintenance. Given the age of SUNY's physical plant, more than half of the capital funding for senior colleges will be spent on campus core projects and system wide infrastructure needs. Unlike other State agencies, SUNY has the in-house capability to perform building condition surveys. As a result, the "remaining useful life" of SUNY buildings is based on the actual condition of the asset or building components, rather than age. According to this assessment, fewer than a dozen SUNY buildings have reached the end of their useful life.

In 2000-01, the third-year of SUNY's Multi-Year Capital Investment program, contract commitments are projected to reach \$280 million which reflect increased activity levels consistent with the award of major construction contracts for educational facilities. Total disbursements for both the State-operated and community colleges are estimated at \$273.5 million in 2000-01 and will reach \$390.0 million in 2004-2005. In future years, disbursements are expected to increase commensurate with increased activity levels.

### ***CITY UNIVERSITY OF NEW YORK***

The City University of New York's physical plant is comprised of 11 senior colleges, 6 community colleges, a graduate center, law school and the Central Administration facility, serving approximately 199,000 degree credit students in nearly 24 million gross square feet of space.

CUNY's capital planning process begins with the development of a facility master plan for each campus which details existing and anticipated facilities necessary to accommodate projected campus enrollment within certain time periods. The facility master plans provide the framework for development of the annual capital budget request and the University's Five-Year Capital Plan.

CUNY's rehabilitation projects are identified through building condition surveys, conducted jointly by the Dormitory Authority and CUNY. New construction projects generally reflect the CUNY Board of Trustees' policy to replace leased and temporary facilities with new, university-owned structures.

Major projects at CUNY senior and community colleges are supported by bonds issued by the Dormitory Authority. The State pays the debt service on senior college projects other than Medgar Evers College. For community colleges and Medgar Evers College, debt service costs are shared with the City of New York. CUNY's minor rehabilitation projects for health, safety and preservation purposes are primarily supported by State General Fund appropriations.

The 1999-2000 Budget continued CUNY's 1998-99 capital appropriations totaling \$1.0 billion for the Governor's multi-year Capital Investment Program, which provided for additional facility and infrastructure improvements at City University senior and community colleges consistent with the University's needs and priorities. Funded projects encompass critical health and safety, preservation and handicapped access projects, as well as major renovations and new facilities at a number of CUNY campuses. Language accompanying the appropriation specified that amounts to be obligated from subsequent reappropriations were to be pursuant to a plan developed by the Governor Concomitantly.

CUNY's bond cap was increased by \$660 million, for a total bonding authorization of \$3.4 billion, to cover CUNY's planned bond sales for the course of the Capital Investment Program.

For 2000-01, the level of contract commitments projected in the Capital Plan is \$172 million, \$5.1 million below the 2000-01 commitment level projected in the 1999-2000 five-year Capital Plan which reflects a refinement of project schedules.

### ***STATE EDUCATION DEPARTMENT***

The State Education Department's capital program provides for the maintenance of administrative facilities in Albany — the Education Building and Cultural Education Center — the School for the Blind in Batavia, the School for the Deaf in Rome, and the three Native American schools on the Onondaga, St. Regis Mohawk and Tuscarora reservations.

The 2000-01 Executive Budget recommends the transfer of Cultural Education functions currently administered by the State Education Department. Consistent with that recommendation, portions of capital funding for SED's Cultural Education Center are authorized to be transferred in 2000-01.

Under the Capital Plan, the 2000-01 Budget includes Capital Project Fund appropriations of \$5.1 million with disbursements projected to total \$118.6 million. Included in these appropriations are \$1.6 million for the Cultural Education Center. These appropriations will fund minor maintenance projects at various sites and a number of health, safety and preservation projects including installation of central air-conditioning in summer dormitories at the School for the Blind and the School for the Deaf, improvement of sidewalks at the School for the Blind, and funds to preserve the collections of the State Museum. No new Capital Advance Funds are recommended.

For fiscal year 2000-01, the level of contract commitments projected in the Capital Plan is \$5.1 million, or \$1.5 million above projections made in the 1999-2000 Capital Plan primarily due to a change in project scheduling. Projects previously funded are being designed and awarded as anticipated.

The Capital Plan will enable the State Education Department to maintain and preserve its assets, ensure health and safety of staff, students and the general public and reduce its dependence on leased space by maximizing the effective use of State-owned buildings. It will also allow the State Museum, Library and Archives to fulfill their obligations relating to maintenance of facilities, collections and public safety.

# CAPITAL PROGRAM PLAN

**STATE UNIVERSITY OF NEW YORK  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)  
(Includes Community Colleges)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Maintenance and Improvements	\$2,554,671	...	...	...	\$75,000	\$430,000	\$505,000
New Facilities	19,666	...	...	...	...	...	...
<b>Total</b>	<b>\$2,574,337</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>\$430,000</b>	<b>\$505,000</b>
Fund Summary:							
Capital Projects Fund	\$181,133	...	...	...	\$5,000	\$30,000	\$35,000
Capital Projects Fund - Advances	1,993,132	...	...	...	35,000	330,000	365,000
State University Capital Projects Fund	129,701	...	...	...	20,000	20,000	40,000
State University Residence Hall Rehabilitation Fund	270,371	...	...	...	15,000	50,000	65,000
<b>Total</b>	<b>\$2,574,337</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>\$75,000</b>	<b>\$430,000</b>	<b>\$505,000</b>

**COMMITMENTS**

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Maintenance and Improvements	\$280,000	\$295,000	\$310,000	\$355,000	\$400,000
<b>Total</b>	<b>\$280,000</b>	<b>\$295,000</b>	<b>\$310,000</b>	<b>\$355,000</b>	<b>\$400,000</b>
Fund Summary:					
Capital Projects Fund	\$35,000	\$38,000	\$41,000	\$43,000	\$50,000
Capital Projects Fund - Advances	205,000	247,000	254,000	297,000	325,000
State University Residence Hall Rehabilitation Fund	40,000	10,000	15,000	15,000	25,000
<b>Total</b>	<b>\$280,000</b>	<b>\$295,000</b>	<b>\$310,000</b>	<b>\$355,000</b>	<b>\$400,000</b>

**DISBURSEMENTS**

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Maintenance and Improvements	\$227,000	\$273,500	\$284,300	\$314,700	\$418,800	\$390,000	\$1,681,300
New Facilities	...	...	...	...	...	...	...
<b>Total</b>	<b>\$227,000</b>	<b>\$273,500</b>	<b>\$284,300</b>	<b>\$314,700</b>	<b>\$418,800</b>	<b>\$390,000</b>	<b>\$1,681,300</b>
Fund Summary:							
Capital Projects Fund	\$35,000	\$35,500	\$36,300	\$36,700	\$41,300	\$40,000	\$189,800
Capital Projects Fund - Advances	175,000	175,000	185,000	215,000	307,500	290,000	1,172,500
State University Capital Projects Fund	1,000	20,000	20,000	20,000	20,000	20,000	100,000
State University Residence Hall Rehabilitation Fund	16,000	43,000	43,000	43,000	50,000	40,000	219,000
<b>Total</b>	<b>\$227,000</b>	<b>\$273,500</b>	<b>\$284,300</b>	<b>\$314,700</b>	<b>\$418,800</b>	<b>\$390,000</b>	<b>\$1,681,300</b>

# CAPITAL PROGRAM PLAN

**CITY UNIVERSITY OF NEW YORK  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Maintenance and Improvements	\$720,309	...	...	...	...	\$115,000	\$115,000
New Facilities	352,556	...	...	...	...	90,000	90,000
Preservation of Facilities	559	...	...	...	...	...	...
Program Changes and Expansion	9,382	...	...	...	...	...	...
<b>Total</b>	<b>\$1,082,806</b>	...	...	...	...	<b>\$205,000</b>	<b>\$205,000</b>
Fund Summary:							
Capital Projects Fund	\$93,229	...	...	...	...	\$5,000	\$5,000
Capital Projects Fund - Advances	985,546	...	...	...	...	200,000	200,000
City University of New York	4,031	...	...	...	...	...	...
<b>Total</b>	<b>\$1,082,806</b>	...	...	...	...	<b>\$205,000</b>	<b>\$205,000</b>

### COMMITMENTS

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Maintenance and Improvements	\$128,900	\$132,000	\$108,000	\$82,000	\$122,000
New Facilities	43,100	40,000	64,000	90,000	90,000
<b>Total</b>	<b>\$172,000</b>	<b>\$172,000</b>	<b>\$172,000</b>	<b>\$172,000</b>	<b>\$212,000</b>
Fund Summary:					
Capital Projects Fund	\$12,000	\$12,000	\$12,000	\$12,000	\$12,000
Capital Projects Fund - Advances	160,000	160,000	160,000	160,000	200,000
<b>Total</b>	<b>\$172,000</b>	<b>\$172,000</b>	<b>\$172,000</b>	<b>\$172,000</b>	<b>\$212,000</b>

### DISBURSEMENTS

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Maintenance and Improvements	\$8,100	\$8,546	\$8,868	\$9,000	\$7,600	\$7,900	\$41,914
Program Changes and Expansion	...	...	...	...	...	...	...
Preservation of Facilities	100	54	232	...	...	...	286
New Facilities	900	500	...	100	1,500	1,200	3,300
<b>Total</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$45,500</b>
Fund Summary:							
Capital Projects Fund	\$9,100	\$9,100	\$9,100	\$9,100	\$9,100	\$9,100	\$45,500
Capital Projects Fund - Advances	...	...	...	...	...	...	...
City University of New York	...	...	...	...	...	...	...
<b>Total</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$9,100</b>	<b>\$45,500</b>

# CAPITAL PROGRAM PLAN

## EDUCATION DEPARTMENT, STATE SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Administration	\$2,352	\$950	...	...	...	...	\$950
Cultural Education Center	20,550	1,500	...	...	...	...	1,500
Design and Construction							
Supervision	237	...	...	...	...	...	...
Education Building	3,133	1,365	\$3,630	\$3,630	\$3,630	\$3,630	15,885
Rebuild Schools to Uphold							
Education Program	145,000	...	...	...	...	...	...
School for the Blind	2,856	700	...	...	...	...	700
School for the Deaf	2,033	550	...	...	...	...	550
Schools For Native American							
Reservations	500	...	...	...	...	...	...
Washington Avenue Armory	8,215	...	...	...	...	...	...
<b>Total</b>	<b>\$184,876</b>	<b>\$5,065</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$19,585</b>
Fund Summary:							
Capital Projects Fund	\$148,483	\$5,065	\$3,630	\$3,630	\$3,630	\$3,630	\$19,585
Capital Projects Fund - Advances	36,393	...	...	...	...	...	...
<b>Total</b>	<b>\$184,876</b>	<b>\$5,065</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$19,585</b>

### COMMITMENTS

	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
Program Summary:					
Cultural Education Center	\$1,500	...	...	...	...
Education Building	2,315	\$3,630	\$3,630	\$3,630	\$3,630
School for the Blind	700	...	...	...	...
School for the Deaf	550	...	...	...	...
<b>Total</b>	<b>\$5,065</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>
Fund Summary:					
Capital Projects Fund	\$5,065	\$3,630	\$3,630	\$3,630	\$3,630
<b>Total</b>	<b>\$5,065</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>

### DISBURSEMENTS

	Estimated 1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Administration	\$642	\$1,290	\$825	\$375	\$135	...	\$2,625
Cultural Education Center	...	875	375	250	...	...	1,500
Design and Construction							
Supervision	150	221	...	...	...	...	221
Education Building	258	695	1,773	2,705	3,495	\$3,630	12,298
Rebuilding Schools to Uphold							
Education Program	30,000	115,000	...	...	...	...	115,000
School for the Blind	40	339	365	200	...	...	904
School for the Deaf	40	210	292	100	...	...	602
Schools For Native American							
Reservations	...	...	...	...	...	...	...
Washington Avenue Armory	...	...	...	...	...	...	...
<b>Total</b>	<b>\$31,130</b>	<b>\$118,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$133,150</b>
Fund Summary:							
Capital Projects Fund	\$31,130	\$118,630	\$3,630	\$3,630	\$3,630	\$3,630	\$133,150
Capital Projects Fund - Advances	...	...	...	...	...	...	...
<b>Total</b>	<b>\$31,130</b>	<b>\$118,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$3,630</b>	<b>\$133,150</b>

### ***MENTAL HYGIENE***

The Department of Mental Hygiene capital program is administered through the three agencies within the Department — the Office of Mental Health (OMH), the Office of Mental Retardation and Developmental Disabilities (OMRDD), and the Office of Alcoholism and Substance Abuse Services (OASAS).

Capital projects for all Mental Hygiene agencies are supported by the General Fund and bonds issued by the Dormitory Authority. These financing mechanisms support the maintenance and rehabilitation of facilities operated by both the State and not-for-profit agencies, as well as the development of new community services for populations which the State serves.

State capital projects are now funded directly from bond proceeds held at the Dormitory Authority. This results from a technical accounting change which eliminated most State spending of bond proceeds from the published tables shown in this document. This change will have no impact on State support of capital projects for the Mental Hygiene agencies.

#### ***OFFICE OF MENTAL HEALTH***

The Office of Mental Health (OMH) provides high quality services to an ongoing client population of approximately 6,100 on 23 separate, active campuses containing 28 institutions: 17 Adult, 6 Children and Youth, 3 Forensic and 2 Research facilities. In addition, the agency helps fund the capital construction of hundreds of community residential sites, various mental health related general hospital projects, including psychiatric inpatient and specialized emergency rooms, and a number of non-residential community programs.

The 2000-01 Capital Plan includes appropriations and disbursements for OMH State-operated institutions that will continue to fund projects necessary to meet health and life safety codes, Joint Commission on Accreditation of Healthcare Organizations (JCAHO) accreditation standards, current Federal Medicaid certification requirements, and other projects which remediate environmental deficiencies, improve energy efficiency, preserve long-term facilities and consolidate campus facilities.

New 2000-01 appropriations of \$121 million and reappropriations of \$363 million for OMH State-operated institutions, support essential rehabilitation projects which preserve patient and staff health and safety, ensure compliance with facility accreditation standards, support the agency's efforts to close vacant buildings to achieve operating and energy efficiencies, and, as part of the program to enhance community services, provide for development of psychiatric center transitional residences to facilitate community placements.

It should be noted that reported disbursement levels for bonded capital are lower than those projected in the 1999-2000 Capital Plan. However, this reflects an accounting change rather than any real reduction in capital contracting activity, as explained in the introduction to this section.

As required by law, OMH has implemented a maintenance management program. Over the past decade, OMH has invested over \$1 billion in its capital facilities. The benefits of these efforts are evident with 85 percent of OMH buildings in fundamentally sound condition. The focus of capital maintenance planning is to ensure that the investments

## **CAPITAL PROGRAM PLAN**

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in the OMH infrastructure are preserved, both to realize its maximum useful life and to prevent costly repairs in the future.

<u>Capital Asset Group</u>	<u>Age Range</u>	<u>Condition</u>			<u>Total</u>
		<u>Good</u>	<u>Fair</u>	<u>Poor</u>	
Residential/Hospital Buildings	1-100+	98	31	1	130
Psychiatric Rehabilitation Buildings	1-100+	55	37	7	99
Administrative Support Buildings	1-100+	274	77	93	444
	Total	427	145	101	673*

\*excludes 307 vacant buildings

For OMH community programs, new 2000-01 appropriations of over \$13 million and reappropriations of \$189 million will make funds available for the preservation and maintenance of the community infrastructure and the development of residential beds previously authorized through New York/New York II, Community Mental Health Reinvestment and other housing initiatives, including the \$50 million capital initiative appropriated in the 1999-2000 budget. A total of \$32 million in disbursements, including \$8 million financed from the General Fund, is recommended for 2000-01. This local capital funding will support the State's development of almost 1,400 new Single Room Occupancy (SRO) and Community Residential (CR) beds, including 112 beds for children and youth.

### **OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES**

The 2000-01 Five-Year Capital Plan for the Office of Mental Retardation and Developmental Disabilities (OMRDD) will ensure maintenance of current health and safety standards for State and community programs. OMRDD's service system includes more than 34,000 community-based beds operated by the State and the voluntary not-for-profit sector, along with some 2,000 developmental center (DC) and special unit beds and thousands of community-based day program slots.

The main focus of OMRDD's capital program is on:

- maintaining health and safety standards for existing facilities and ensuring conformance with all Federal and State certification standards;
- preserving long-term institutional and community facilities under both State and voluntary auspices;
- creating specialized residential space for persons with severe behavioral treatment needs, e.g., the Norwich Center for Intensive Treatment, scheduled to open in early 2001-02; and
- developing a limited number of State-operated community beds for specific targeted populations, complementing voluntary provider development.

For 2000-01, the Capital Plan recommends new appropriations of \$63 million and reappropriations of \$112 million. Specifically, the Plan recommends \$42 million in new hard-dollar appropriations, mainly for the renovation and preservation of existing structures, inclusive of several projects related to the alternate use of all or portions of former developmental center campuses. New bonded appropriations of \$21 million primarily support preservation projects at State institutions which are planned to remain in long-term operation.

## ***CAPITAL PROGRAM PLAN***

Only \$2.7 million in new bonded appropriations is necessary to support the development of new not-for-profit community facilities, as the preponderance of such development since 1996-97 has been accomplished through private financing rather than the use of State-backed Dormitory Authority bonding. Accordingly, capital costs associated with the majority of not-for-profit community development — including the NYS-CARES initiative — are not reflected in the five-year Capital Plan.

Capital costs associated with the development of State-operated residences are the exception to this rule. A reappropriation of a 1999-2000 bonded appropriation for \$5 million will fund construction of State-operated community placements for 100 persons under NYS-CARES. Due to the late passage of the 1999-2000 budget, as well as naturally-occurring delays, these projects cannot begin construction until 2000-01 and are projected to open in 2001-02.

It should be noted that reported disbursement levels for bonded capital are lower than those projected in the 1999-2000 Capital Plan. However, this reflects an accounting change rather than any real reduction in capital contracting activity, as explained in the introduction to this section.

The following table identifies the capital asset group, age and condition of the Office of Mental Retardation and Developmental Disabilities' buildings:

<b><u>Capital Asset Group</u></b>	<b><u>Age Range</u></b>	<b><u>Condition (Number of Buildings)</u></b>			<b><u>Total</u></b>
		<b><u>Good</u></b>	<b><u>Fair</u></b>	<b><u>Poor</u></b>	
Institutional	1-75	61	281	249*	591
Community	1-55	949	50**	0	999
	<b>Total</b>	1,010	331	249	1,590

\* All 249 buildings rated "poor" are vacant and classified as programmatically obsolete, meaning they are not scheduled for short or long-term use.

\*\* According to agency estimates, at any point in time approximately 5 percent of State-operated community homes require minor maintenance work and are, therefore, rated as fair.

### ***OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES***

The Office of Alcoholism and Substance Abuse Services (OASAS) supports a network of providers offering a continuum of services to treat and prevent chemical dependency. While the majority of this system is operated by voluntary not-for-profit organizations, the State itself operates 13 Addiction Treatment Centers (ATCs). The Capital Plan recommends new appropriations of \$156 million over the next five years to support projects throughout the system. These projects focus primarily on ensuring the health and safety of the system's clients, and the preservation of both State and voluntary facilities.

Approximately \$25 to \$30 million annually is appropriated to support capital projects within a network of community based residential and ambulatory treatment centers, alcohol outpatient clinics, and methadone clinics. These amounts include a new initiative for the development of an additional 100 residential treatment beds for either women with children or adolescents, both priority populations identified by OASAS. In addition, amounts are included for the final completion of the 700 bed expansion enacted as part of the 1997-98 budget.

**CAPITAL PROGRAM PLAN**

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Similarly, approximately \$2.5 million is annually appropriated to support critical maintenance projects in the State ATCs. While twelve of the thirteen State-operated facilities are considered fixed assets of other agencies, OASAS is responsible for their maintenance. Eleven of the thirteen facilities are located on the grounds of Office of Mental Health Psychiatric Centers while another facility is located at the Department of Corrections Willard Drug Treatment Campus. The following table presents the age and condition of the single Office of Alcoholism and Substance Abuse Services capital asset.

<u>Capital Asset Group</u>	<u>Age Range</u>	<u>Condition</u>		
		<u>Good</u>	<u>Fair</u>	<u>Poor</u>
Kingsboro ATC	4	X		

It should be noted that reported disbursement levels for bonded capital are lower than those projected in the 1999-2000 Capital Plan. However, this reflects an accounting change rather than any real reduction in capital contracting activity, as explained in the introduction to this section.

Contract commitments of approximately \$30 to \$35 million annually reflect projected activity from both new and prior year appropriations and fully support the Capital Plan priorities outlined above.

# CAPITAL PROGRAM PLAN

## MENTAL HEALTH, OFFICE OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Administration	\$7,300	\$3,300	\$3,300	\$3,300	\$3,300	\$3,300	\$16,500
Design and Construction							
Supervision	21,574	10,750	9,500	9,500	9,500	8,500	47,750
Maintenance and Improvements of State Facilities	334,341	98,658	95,200	95,200	95,200	96,200	480,458
Non-Bondable Projects	...	11,000	7,000	6,000	6,000	6,000	36,000
Voluntary Facilities	188,997	10,412	10,424	11,437	11,450	11,464	55,187
<b>Total</b>	<u>\$552,212</u>	<u>\$134,120</u>	<u>\$125,424</u>	<u>\$125,437</u>	<u>\$125,450</u>	<u>\$125,464</u>	<u>\$635,895</u>
Fund Summary:							
Capital Projects Fund	\$56,909	\$43,988	\$38,000	\$40,000	\$42,000	\$44,000	\$207,988
Mental Hygiene Capital Improvement Fund	495,303	90,132	87,424	85,437	83,450	81,464	427,907
<b>Total</b>	<u>\$552,212</u>	<u>\$134,120</u>	<u>\$125,424</u>	<u>\$125,437</u>	<u>\$125,450</u>	<u>\$125,464</u>	<u>\$635,895</u>

### COMMITMENTS

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Administration	\$3,300	\$3,300	\$3,300	\$3,300	\$3,300
Design and Construction					
Supervision	17,000	14,000	10,500	9,500	9,500
Maintenance and Improvements of State Facilities	119,000	123,000	126,000	122,000	120,000
Non-Bondable Projects	7,000	7,000	6,000	6,000	6,000
Voluntary Facilities	40,000	50,000	51,000	31,000	16,000
<b>Total</b>	<u>\$186,300</u>	<u>\$197,300</u>	<u>\$196,800</u>	<u>\$171,800</u>	<u>\$154,800</u>
Fund Summary:					
Capital Projects Fund	\$34,000	\$37,000	\$39,500	\$40,500	\$43,500
Mental Hygiene Capital Improvement Fund	152,300	160,300	157,300	131,300	111,300
<b>Total</b>	<u>\$186,300</u>	<u>\$197,300</u>	<u>\$196,800</u>	<u>\$171,800</u>	<u>\$154,800</u>

### DISBURSEMENTS

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Administration	\$1,500	\$4,117	\$3,300	\$3,300	\$3,300	\$3,300	\$17,317
Design and Construction							
Supervision	6,188	1,400	1,500	1,500	1,500	1,500	7,400
Maintenance and Improvements of State Facilities	84,881	23,565	25,682	28,282	30,282	31,700	139,511
Non-Bondable Projects	5,600	7,000	7,000	6,000	6,000	6,000	32,000
Voluntary Facilities	23,762	29,087	38,073	53,155	51,897	31,685	203,897
<b>Total</b>	<u>\$121,931</u>	<u>\$65,169</u>	<u>\$75,555</u>	<u>\$92,237</u>	<u>\$92,979</u>	<u>\$74,185</u>	<u>\$400,125</u>
Fund Summary:							
Capital Projects Fund	\$31,519	\$31,112	\$32,644	\$34,609	\$36,400	\$37,818	\$172,583
Mental Hygiene Capital Improvement Fund	90,412	34,057	42,911	57,628	56,579	36,367	227,542
<b>Total</b>	<u>\$121,931</u>	<u>\$65,169</u>	<u>\$75,555</u>	<u>\$92,237</u>	<u>\$92,979</u>	<u>\$74,185</u>	<u>\$400,125</u>

# CAPITAL PROGRAM PLAN

## MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES, OFFICE OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Community Services Program	\$38,766	\$12,700	\$12,900	\$11,300	\$11,100	\$11,200	\$59,200
Design and Construction							
Supervision	...	5,000	5,000	5,000	5,000	5,000	25,000
Institutional Services Program	61,293	32,356	25,350	29,650	26,950	27,545	141,851
Non-Bondable Projects	...	2,000	2,000	2,000	2,000	2,000	10,000
Voluntary Facilities	12,202	11,061	11,540	12,128	12,741	13,072	60,542
<b>Total</b>	<b>\$112,261</b>	<b>\$63,117</b>	<b>\$56,790</b>	<b>\$60,078</b>	<b>\$57,791</b>	<b>\$58,817</b>	<b>\$296,593</b>
Fund Summary:							
Capital Projects Fund	\$52,390	\$42,028	\$35,232	\$37,237	\$37,365	\$37,991	\$189,853
Mental Hygiene Capital Improvement Fund	59,871	21,089	21,558	22,841	20,426	20,826	106,740
<b>Total</b>	<b>\$112,261</b>	<b>\$63,117</b>	<b>\$56,790</b>	<b>\$60,078</b>	<b>\$57,791</b>	<b>\$58,817</b>	<b>\$296,593</b>

### COMMITMENTS

	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
Program Summary:					
Community Services Program	\$9,075	\$11,402	\$12,676	\$13,739	\$12,308
Design and Construction					
Supervision	3,000	3,000	3,000	3,000	3,000
Institutional Services Program	12,851	13,041	12,787	12,572	13,950
Voluntary Facilities	9,950	10,705	11,464	12,228	12,728
<b>Total</b>	<b>\$34,876</b>	<b>\$38,148</b>	<b>\$39,927</b>	<b>\$41,539</b>	<b>\$41,986</b>
Fund Summary:					
Capital Projects Fund	\$30,640	\$33,875	\$35,616	\$37,188	\$37,570
Mental Hygiene Capital Improvement Fund	4,236	4,273	4,311	4,351	4,416
<b>Total</b>	<b>\$34,876</b>	<b>\$38,148</b>	<b>\$39,927</b>	<b>\$41,539</b>	<b>\$41,986</b>

### DISBURSEMENTS

	Estimated 1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Community Services Program	\$15,060	\$9,898	\$11,051	\$12,113	\$13,203	\$13,433	\$59,698
Design and Construction							
Supervision	2,600	5,000	5,000	5,000	5,000	5,000	25,000
Institutional Services Program	12,815	14,634	14,922	13,942	15,197	14,190	72,885
Non-Bondable Projects	1,000	1,000	1,000	1,000	1,000	1,000	5,000
Voluntary Facilities	9,200	9,619	10,055	10,598	11,166	11,527	52,965
<b>Total</b>	<b>\$40,675</b>	<b>\$40,151</b>	<b>\$42,028</b>	<b>\$42,653</b>	<b>\$45,566</b>	<b>\$45,150</b>	<b>\$215,548</b>
Fund Summary:							
Capital Projects Fund	\$30,975	\$35,915	\$37,755	\$38,342	\$41,215	\$40,734	\$193,961
Mental Hygiene Capital Improvement Fund	9,700	4,236	4,273	4,311	4,351	4,416	21,587
<b>Total</b>	<b>\$40,675</b>	<b>\$40,151</b>	<b>\$42,028</b>	<b>\$42,653</b>	<b>\$45,566</b>	<b>\$45,150</b>	<b>\$215,548</b>

## CAPITAL PROGRAM PLAN

**ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS , COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Administration	...	\$798	\$824	\$850	\$878	\$903	\$4,253
Community Alcoholism and Substance Abuse Facilities	\$105,398	26,850	23,288	23,288	23,288	23,288	120,002
Design and Construction							
Supervision	7,416	4,466	3,500	3,500	3,500	3,500	18,466
Institutional Services Program	16,087	2,320	2,500	2,500	2,500	2,500	12,320
Non-Bondable Projects	...	752	100	100	100	100	1,152
<b>Total</b>		<u>\$128,901</u>	<u>\$35,186</u>	<u>\$30,212</u>	<u>\$30,238</u>	<u>\$30,266</u>	<u>\$30,291</u>
<b>Total</b>		<u>\$128,901</u>	<u>\$35,186</u>	<u>\$30,212</u>	<u>\$30,238</u>	<u>\$30,266</u>	<u>\$30,291</u>
Fund Summary:							
Capital Projects Fund	\$26,518	\$10,904	\$5,671	\$5,688	\$5,706	\$5,722	\$33,691
Mental Hygiene Capital Improvement Fund	102,383	24,282	24,541	24,550	24,560	24,569	122,502
<b>Total</b>		<u>\$128,901</u>	<u>\$35,186</u>	<u>\$30,212</u>	<u>\$30,238</u>	<u>\$30,291</u>	<u>\$156,193</u>

### COMMITMENTS

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Administration	\$798	\$824	\$850	\$878	\$903
Community Alcoholism and Substance Abuse Facilities	27,465	22,907	23,288	23,288	23,288
Design and Construction					
Supervision	3,300	4,475	3,500	3,500	3,500
Institutional Services Program	3,619	2,455	2,500	2,500	2,500
Non-Bondable Projects	752	100	100	100	100
<b>Total</b>	<u>\$35,934</u>	<u>\$30,761</u>	<u>\$30,238</u>	<u>\$30,266</u>	<u>\$30,291</u>
Fund Summary:					
Capital Projects Fund	\$12,143	\$6,261	\$5,688	\$5,706	\$5,722
Mental Hygiene Capital Improvement Fund	23,791	24,500	24,550	24,560	24,569
<b>Total</b>	<u>\$35,934</u>	<u>\$30,761</u>	<u>\$30,238</u>	<u>\$30,266</u>	<u>\$30,291</u>

### DISBURSEMENTS

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Administration	\$773	\$798	\$824	\$850	\$878	\$903	\$4,253
Community Alcoholism and Substance Abuse Facilities	12,657	25,929	25,697	23,288	23,288	23,288	121,490
Design and Construction							
Supervision	1,354	3,850	3,500	3,000	3,500	3,500	17,350
Institutional Services Program	3,879	650	900	650	500	500	3,200
Non-Bondable Projects	100	752	100	100	100	100	1,152
<b>Total</b>	<u>\$18,763</u>	<u>\$31,979</u>	<u>\$31,021</u>	<u>\$27,888</u>	<u>\$28,266</u>	<u>\$28,291</u>	<u>\$147,445</u>
Fund Summary:							
Capital Projects Fund	\$5,712	\$10,771	\$6,030	\$5,588	\$5,706	\$5,722	\$33,817
Mental Hygiene Capital Improvement Fund	13,051	21,208	24,991	22,300	22,560	22,569	113,628
<b>Total</b>	<u>\$18,763</u>	<u>\$31,979</u>	<u>\$31,021</u>	<u>\$27,888</u>	<u>\$28,266</u>	<u>\$28,291</u>	<u>\$147,445</u>

## **CAPITAL PROGRAM PLAN**

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### **DIVISION OF HOUSING AND COMMUNITY RENEWAL**

The State's housing capital programs provide grants, low-interest loans and technical assistance to facilitate the construction and preservation of the State's low- and moderate-income housing stock. State capital funds are combined with Federal funds, low-cost mortgages and available private sector investments to finance activities that, absent the State's involvement, would not be financially feasible.

In addition to developing and maintaining low-cost housing, the housing capital programs foster economic growth across the State by creating additional construction jobs and encouraging new private sector investment in distressed areas. Since 1990, State disbursements in support of housing capital programs have been financed by bond funds. Housing capital disbursements in 2000-01 will continue to be financed in this manner.

The State's housing capital appropriations are made to the Division of Housing and Community Renewal (DHCR), the State agency charged with coordinating the State's housing policy and programs. The individual housing programs are implemented through three public benefit corporations: the Housing Trust Fund Corporation (HTFC), the Affordable Housing Corporation and the Housing Finance Agency (HFA). DHCR personnel perform the administrative functions associated with HTFC programs and oversee the State's involvement in Federal capital programs. HFA personnel provide administrative support for the Affordable Housing Corporation's programs, the State's Housing Project Repair Program as well as several small programs financed from the State's Housing Assistance Fund.

The Capital Plan recommends \$66.2 million in 2000-01 to fund five housing capital programs:

- \$25 million to the Low-Income Housing Trust Fund program to provide grants of up to \$75,000 per unit to construct or renovate low-income apartment projects. The Capital Plan maintains 2000-01 funding levels for this program through 2004-05.
- \$25 million to the Affordable Home Ownership Development Program, which provides grants of up to \$25,000 to low and moderate-income households to assist in the acquisition or renovation of their homes. The Capital Plan maintains 2000-01 funding levels for this program through 2004-05.
- \$12.8 million to the Public Housing Modernization Program to subsidize repairs at 74 State-supervised public housing projects across the State. A total of \$400,000 from this appropriation will be reserved to fund capital activities aimed at reducing illegal drug activity at these projects. The Capital Plan maintains 2000-01 funding levels for this program through 2004-05.
- \$3.0 million for the Homes for Working Families program, continuing this innovative initiative which combines State funds with other available public and private sector moneys, Federal Low Income Tax Credit proceeds and non-State supported bond funds to construct affordable rental housing units for low and moderate-income households.
- \$400,000 for the Housing Opportunities Program for the elderly, which provides grants to low-income elderly homeowners for emergency home repairs. The Capital Plan maintains 2000-01 funding levels for this program through 2004-05.

In addition to these State-funded programs, HTFC also awards and disburses Federal moneys pursuant to the U.S. Department of Housing and Urban Development's HOME program. This program provides grants to not-for-profit groups and local governments to partially fund the construction or rehabilitation of low and moderate-income housing.

Additionally, the Executive Budget includes a reappropriation of \$17.9 million to continue programs funded from the State's Housing Assistance Fund (HAF). The HAF was established in 1988 with a one-time appropriation to fund eight housing construction and development programs serving low and moderate-income households with specific housing needs. The moneys reappropriated in 2000-01 will meet the State's remaining commitments supported by the Fund.

The 2000-01 Capital Plan contemplates the commitment of State housing funds during the year in which the funds are appropriated. Requests for funding from the State's various housing programs are reviewed and evaluated on an annual basis by program staff. These applications are submitted by private and not-for-profit sponsors of proposed low and moderate-income housing projects. The applications are scored and ranked on a competitive basis and the review process culminates in award notifications that commit the State's housing capital appropriations to the most worthwhile of these projects.

# CAPITAL PROGRAM PLAN

## HOUSING AND COMMUNITY RENEWAL, DIVISION OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Affordable Housing Corporation	\$58,600	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$125,000
Homes for Working Families Program	3,000	3,000	3,000	3,000	3,000	3,000	15,000
Housing Assistance Fund	17,933	...	...	...	...	...	...
Housing Opportunity Program For Elderly	1,800	400	400	400	400	400	2,000
Housing Program Capital Improvement	19,720	...	...	...	...	...	...
Low Income Housing Trust Fund	108,325	25,000	25,000	25,000	25,000	25,000	125,000
Maintenance and Improvements of Existing Facilities	15,892	...	...	...	...	...	...
New Facilities	45,830	...	...	10,000	10,000	10,000	30,000
Public Housing Modernization Program	70,700	12,800	12,800	12,800	12,800	12,800	64,000
State Housing Bond Fund	7,344	...	...	...	...	...	...
<b>Total</b>	<b>\$349,144</b>	<b>\$66,200</b>	<b>\$66,200</b>	<b>\$76,200</b>	<b>\$76,200</b>	<b>\$76,200</b>	<b>\$361,000</b>
Fund Summary:							
Capital Projects Fund	\$23,120	...	...	...	...	...	...
Federal Capital Projects Fund	42,430	...	...	\$10,000	\$10,000	\$10,000	\$30,000
Housing Assistance Fund	17,933	...	...	...	...	...	...
Housing Program Fund	258,317	\$66,200	\$66,200	66,200	66,200	66,200	331,000
State Housing Bond Fund	7,344	...	...	...	...	...	...
<b>Total</b>	<b>\$349,144</b>	<b>\$66,200</b>	<b>\$66,200</b>	<b>\$76,200</b>	<b>\$76,200</b>	<b>\$76,200</b>	<b>\$361,000</b>

### COMMITMENTS

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Affordable Housing Corporation	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
Homes for Working Families Program	3,000	3,000	3,000	3,000	3,000
Housing Opportunity Program For Elderly	400	400	400	400	400
Low Income Housing Trust Fund	25,000	25,000	25,000	25,000	25,000
New Facilities	10,000	10,000	10,000	10,000	10,000
Public Housing Modernization Program	12,800	12,800	12,800	12,800	12,800
<b>Total</b>	<b>\$76,200</b>	<b>\$76,200</b>	<b>\$76,200</b>	<b>\$76,200</b>	<b>\$76,200</b>
Fund Summary:					
Federal Capital Projects Fund	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
Housing Program Fund	66,200	66,200	66,200	66,200	66,200
<b>Total</b>	<b>\$76,200</b>	<b>\$76,200</b>	<b>\$76,200</b>	<b>\$76,200</b>	<b>\$76,200</b>

## CAPITAL PROGRAM PLAN

**HOUSING AND COMMUNITY RENEWAL, DIVISION OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**DISBURSEMENTS**

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
<b>Program Summary:</b>							
Affordable Housing Corporation	\$24,200	\$24,900	\$25,000	\$25,000	\$25,000	\$25,000	\$124,900
Homes for Working Families Program	1,600	3,000	3,000	3,000	3,000	3,000	15,000
Housing Assistance Fund	3,400	...	...	...	...	...	...
Housing Opportunity Program For Elderly	900	900	400	400	400	400	2,500
Housing Program Capital Improvement	1,360	1,660	575	575	575	575	3,960
Low Income Housing Trust Fund	22,000	24,850	27,150	27,150	27,150	25,000	131,300
Maintenance and Improvements of Existing Facilities	2,000	1,000	...	...	...	...	1,000
New Facilities	13,300	10,000	10,000	10,000	10,000	10,000	50,000
Public Housing Modernization Program	12,700	11,900	11,900	11,900	11,900	12,800	60,400
State Housing Bond Fund	...	...	...	...	...	...	...
<b>Total</b>	<u>\$81,460</u>	<u>\$78,210</u>	<u>\$78,025</u>	<u>\$78,025</u>	<u>\$78,025</u>	<u>\$76,775</u>	<u>\$389,060</u>
<b>Fund Summary:</b>							
Capital Projects Fund	\$4,660	\$1,660	\$575	\$575	\$575	\$575	\$3,960
Federal Capital Projects Fund	10,000	10,000	10,000	10,000	10,000	10,000	50,000
Housing Assistance Fund	3,400	...	...	...	...	...	...
Housing Program Fund	63,400	66,550	67,450	67,450	67,450	66,200	335,100
State Housing Bond Fund	...	...	...	...	...	...	...
<b>Total</b>	<u>\$81,460</u>	<u>\$78,210</u>	<u>\$78,025</u>	<u>\$78,025</u>	<u>\$78,025</u>	<u>\$76,775</u>	<u>\$389,060</u>

### **OTHER**

#### **OFFICE OF GENERAL SERVICES**

The Office of General Services (OGS) is responsible for the operation, maintenance, and renovation of 41 major and 85 ancillary State-owned and operated buildings located throughout the State. The 2000-01 Executive Budget emphasizes investments in the Office's asset management program, which includes a preventive maintenance component aimed at reducing the number of emergencies and avoiding costly out year projects. Maintenance activities are undertaken by in-house staff and outside contractors to protect existing capital assets, while preventing further deterioration of the infrastructure. The asset management program for 2000-01 will fund high priority projects that will preserve the integrity of buildings, and maintain a safe and healthy environment for the State's employees and the public. The Office will also have the flexibility to address critical infrastructure needs, while accommodating significant customer-tenant issues.

The Office also is making significant capital investments in office facilities in the Albany area. The need for this investment results from years of under-investment and the need for State offices to accommodate modern technology. This effort includes new office buildings for the Department of Environmental Conservation and the Office of the State Comptroller, a new parking garage in downtown Albany, engineering design for the modernization of the Alfred E. Smith State Office Building and the development of the Harriman State Office Campus. Funding is also continued for critical repairs at the State Capitol. These and other investments in the Office's capital plan will result in commitment levels that are consistent with those of prior years, after adjusting for the new building modernization effort.

The OGS preventive maintenance program plan concentrates on maintenance projects that are preventative or have been deferred to bring essential capital assets to a level at which they can achieve their life expectancy at a minimal cost to the State. To accomplish this, the Office is revising its system to assess the architectural, structural, mechanical, electrical and site components of each facility. This system identifies resource requirements and assures maintenance adequacy, while allowing managers to define, develop, and prioritize cost estimates for capital repairs and replacement projects.

The preventive maintenance program plan is focused on the goals of maximizing the useful life of facilities, improving the reliability of systems and equipment, and providing the means for determining equipment management and replacement needs. According to industry standards, the average building is constructed to last a minimum of 40 years, while parking lots can last more than 25 years with routine maintenance. However, since many of the assets managed by the Office, such as the State Capitol and the Executive Mansion, are historically significant, their useful life can be considered indefinite. Over the long-term, maintenance efforts will continue to enhance facilities by improving the building appearance and upgrading the overall condition as a capital asset. The program is estimated to require \$7 million in capital funding in 2000-01 and maintaining that approximate level through 2004-05.

In evaluating its assets, the Office has established the following rating categories: very good, has minor deterioration visible; good, shows normal wear and tear; poor, displays definite deterioration and may have unusable portions; and unrated. The average age of office and support buildings is 34 years, and of stand-alone parking lots is 16 years. The following table identifies the capital asset group, age and condition of the Office's assets.

## ***CAPITAL PROGRAM PLAN***

<b><u>Capital Asset Group</u></b>	<b><u>Age Range</u></b>	<b><u>Condition</u></b>				<b><u>Total</u></b>
		<b><u>Very Good</u></b>	<b><u>Good</u></b>	<b><u>Poor</u></b>	<b><u>Unrated</u></b>	
Empire State Plaza and Downtown Operations	To 120 yrs.	0	37	0	0	37
Harriman State Office Building Campus	To 40 yrs.	0	12	14	0	26
Upstate Region	To 70 yrs.	0	14	14	0	28
Lease/Purchase	To 50 yrs.	0	4	0	0	4
Downstate Region	To 70 yrs.	0	7	1	3	11
Parking Services	To 40 yrs.	0	14	6	0	20
	<b>Total</b>	0	88	35	3	126

To address the challenges that lie ahead, the Office has developed a Strategic Plan. That Plan, coupled with the Office's on-going maintenance efforts, will ensure that the Office will achieve its long-term goals, while addressing the pressing needs of the State's deteriorating infrastructure.

### ***OFFICE OF CHILDREN AND FAMILY SERVICES***

The Office of Children and Family Services (OCFS) operates 48 residential youth facilities and non-residential programs which serve over 2,000 youth, ages 7 to 21. These facilities operate at a variety of security levels which range from secure centers, primarily housing juvenile offenders who were tried as adults, to community-based residences. The OCFS Capital Plan reflects the State's continued commitment to ensure that housing, program and support space remains functional, safe and secure.

The OCFS capital planning process will continue to identify improvements to its facilities to meet health and safety standards. Trends in the agency's capital program have focused on the need to maintain the structural integrity of existing capital assets and to initiate facility modifications necessary to house an increasing number of Juvenile Delinquents and Juvenile Offenders who are more violent and difficult to manage. This year's Capital Plan includes funding to design and construct a new secure youth facility, to increase limited secure capacity, and to undertake health and safety, environmental, facility preservation and security projects.

The Capital Plan also includes funding for the Child Care Facilities Development Program, which will provide financial assistance for the construction or rehabilitation of child day care centers throughout the state. Additionally, Section 529 of Social Services Law requires OCFS to maintain the community house within the Tonawanda Indian Reservation. This year's plan continues funding for improvements to the community house which was built in 1936.

The Office of Children and Family Services' Capital Asset Maintenance Plan is designed to preserve the useful life of its facilities and infrastructure. Many of the Office's youth facilities are over 30 years old and in fair condition. As indicated in the chart below, OCFS has grouped its assets by facility size, further categorized by age, remaining useful life and condition. The five-year Plan for capital maintenance includes \$18.7 million in spending to support maintenance and improvement activities.

## ***CAPITAL PROGRAM PLAN***

The OCFS capital program is funded from the Capital Projects Fund, the Youth Facilities Improvement Fund and the miscellaneous Capital Projects Fund. Disbursements from the Youth Facilities Improvement Fund are reimbursed by bond proceeds issued by the Empire State Development Corporation. Disbursements for the Child Care Facilities Development Project are reimbursed by bond proceeds issued by the Dormitory Authority.

The five-year recommended disbursements of \$159 million include \$75 million for the development of youth facility capacity, \$41.4 million for projects related to rehabilitation and improvements of facilities, \$17.4 million for security enhancements and \$15 million for the Child Care Facilities Development Program. Four million dollars is provided for the Youth Centers Program, which will be financed from the Miscellaneous Capital Projects Fund. For fiscal year 2000-01, the level of contract commitments projected in the capital plan is \$43.6 million, up \$27.7 million from 1999-2000 projected levels.

<u>Asset/Asset Group</u>	<u>Age</u>	<u>Remaining Useful Life</u>	<u>Condition</u>			
			<u>Good</u>	<u>Fair</u>	<u>Poor</u>	<u>Obsolete</u>
Brookwood SC	32/3	>10	•			
Goshen SC	37	5-10		•		
MacCormick SC	15	5-10		•		
Allen RC	52	5-10		•		
Bronx RC	50+	5-10		•		
Gossett RC	6	>10	•			
Highland RC	35	<5			•	
Industry School	33+	5-10		•		
Lansing RC	52-3	5-10	•	•		
McQueen RC	50+	<5				
Oatka RC	2	5-10	•	•		
Parker Training	30+	>10	•	•		
Pyramid RC	50+	<5		•		
Tyron RC	29	5-10		•		
Buffalo	50+	<5				•
New 25 Bed Facilities	3-5	>10	•			
Old 25 Bed Facilities	27-32	5-10		•		
All Group Homes	30+	<5		•	•	
Youth Leadership Academy	6	>10	•			
Adirondack Wilderness Challenge	6	>10	•			

### ***DEPARTMENT OF HEALTH***

The focus of the Department of Health's (DOH) capital program is the protection of the health and safety of patients, residents, employees and visitors of its facilities. An integral part of this plan is to preserve, rehabilitate and improve its capital assets which include four health care facilities: Helen Hayes Hospital in West Haverstraw and three Veterans' Homes at Oxford, St. Albans and Batavia. The Department's assets also include the Wadsworth Center for Laboratories and Research which is comprised of three laboratories located in Albany County.

## ***CAPITAL PROGRAM PLAN***

In January of 1999, responsibility for the operations of the Roswell Park Cancer Institute was transferred from the Department to a public benefit corporation, the Roswell Park Cancer Institute Corporation, pursuant to an operating agreement between the Corporation and the Department. The Corporation will be responsible for the ongoing maintenance of Roswell's capital assets.

For 2000-01, DOH's Capital Program includes both ongoing and new projects to address major capital needs with estimated total disbursements of \$117.8 million. Planned projects will avert potentially costly projects in the future and corresponding interruptions in clinical care services and crucial laboratory functions, and will continue DOH's participation in the Safe Drinking Water Program.

The 2000-01 Plan includes spending for the October 2000 planned completion of the \$241 million major modernization project at the Roswell Park Cancer Institute which will result in a revitalized physical plant at Roswell. The Plan also includes spending for the construction of a new 252 bed Veterans' Home in Montrose.

The Department continues its participation in the implementation of the Safe Drinking Water Program, funded by Federal grants and State matching funds. A total of \$175 million has been appropriated to DOH for safe drinking water projects since the approval of the Clean Water/Clean Air Bond (CWCA) Act in 1996. A new \$60 million Bond Act appropriation is recommended for 2000-01 as well as a \$48 million Federal appropriation.

Commitments are estimated at \$358 million over the five-year Plan, including \$180 million from the Clean Water/Clean Air Bond Act and \$88 million from Federal funds for safe drinking water projects. The balance reflects planned projects for DOH's facilities.

In 2000-01, DOH will continue implementing a long term capital maintenance program. This program is a systematic approach to making repairs, replacing equipment and carrying out other activities necessary to ensure the useful life of its 92 buildings. This initiative, as required by State Law, is part of an ongoing effort to enhance capital planning and maintain the facilities owned and operated by the Department. In 2000-01, \$5.3 million in disbursements from new appropriations and reappropriations will support this crucial activity.

The Capital Plan includes spending of \$11.2 million over the next five years for capital maintenance. The Department's goals are to ensure a safe environment and also the preservation of infrastructure and related equipment as well as promotion of energy efficiency.

<b><u>Capital Asset Group</u></b>	<b><u>Age Range</u></b>	<b><u>Condition</u></b>			<b><u>Total</u></b>
		<b><u>Good</u></b>	<b><u>Fair</u></b>	<b><u>Poor</u></b>	
Roswell Park Cancer Institute	1-45	8	6	3	17
Helen Hayes Hospital	18-100	4	14	1	19
Wadsworth Center for Laboratories & Research	4-50	5	19	0	24
Veterans's Nursing Home					
Oxford	3-92	3	3	1	7
St. Albans	2-5	2	0	0	2
Batavia	4	1	0	0	1
	<b>Total</b>	23	42	5	70

## ***CAPITAL PROGRAM PLAN***

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The Department's capital program is financed by CWCA Bond Act proceeds, Federal grants, Special Revenue funds, and the State's General Fund. Debt service on outstanding Dormitory Authority bonds will continue to be supported by patient care revenues.

### ***ENERGY RESEARCH AND DEVELOPMENT AUTHORITY***

The Energy Research and Development Authority (ERDA) owns and manages the Western New York Nuclear Service Center at West Valley in Cattaraugus County, the site of a former nuclear fuel reprocessing facility and an inactive low-level radioactive waste disposal area.

The Authority's Capital Plan reflects its continuing role in a joint Federal-State Demonstration Project to treat and dispose of liquid nuclear waste at the Center and decommission the reprocessing facility. The Plan also includes ERDA's on-going maintenance costs at the disposal area to ensure its compliance with environmental laws.

ERDA's Capital Maintenance Plan indicates that the Western New York Service Center is approximately 32 years of age, in good condition, with a remaining useful life that will extend to the conclusion of the decommissioning of the site. The maintenance goal at the site is to preserve the facility in a state of good repair.

The 2000-01 commitment level of \$14,017,000 includes the anticipated cost for ERDA's role in the Clean Water/Clean Air Bond Act, estimated to be \$117,000 in each of the next five years.

### ***DEPARTMENT OF STATE***

The Department of State oversees the Academy of Fire Science in Montour Falls, Schuyler County. Capital Projects Fund appropriations are funded entirely by a transfer from the General Fund and are targeted primarily at preserving the structural integrity of the Academy and addressing health and safety concerns.

The Capital Assets Maintenance Plan for the Fire Academy is designed to preserve the useful life of the 130 year-old Academy and ensure the health and safety of individuals using the Academy's facilities. In general, the facilities and infrastructure of the Academy are rated as "fair" with a useful life of between 10 and 40 years.

The 2000-01 Executive Budget includes \$460,000 in Capital Projects Fund spending for commitments originally made in 1999-2000 principally for the design and construction of a new equipment storage facility, design of miscellaneous rehabilitation projects, and modifications necessary to comply with the Americans With Disabilities Act. The central air conditioning system, designed in 1999-2000, will be completed in 2001-02.

Finally, the Department receives funding for six positions from the Clean Water/Clean Air Implementation Fund. These dedicated positions fulfill DOS's role in implementation of projects funded by the Clean Water/Clean Air Bond Act.

### ***DEPARTMENT OF AGRICULTURE AND MARKETS***

The Department of Agriculture and Markets, in conjunction with the Industrial Exhibit Authority, is responsible for operating the New York State Fair and maintaining its buildings

## ***CAPITAL PROGRAM PLAN***

and grounds. The Fairgrounds include 14 major buildings and 113 other structures, the majority of which have a useful life of greater than 10 years and are in good overall condition.

<u>Capital Asset Group</u>	<u>Age Range</u>	<u>Condition</u>			<u>Total</u>
		<u>Good</u>	<u>Fair</u>	<u>Poor</u>	
Various Fair Buildings	3 to 91 yrs.	109	14	4	127

For 2000-01, the Capital Plan supports approximately \$4 million in capital disbursements. In the area of new appropriations, \$600,000 is recommended from the General Fund to repair and rehabilitate the Fair's facilities to ensure a safe and enjoyable experience for all Fair patrons and participants. An additional \$3.6 million will be made available for similar projects through 2004-2005.

The 2000-01 Executive Budget also recommends continued spending from special revenue funds for the Fair (financed by revenues from public/private partnership agreements and year-round operation of the Fairgrounds) to complete needed improvements at various Fair buildings.

The Department's capital maintenance plan for the next five years prioritizes those projects that will preserve, rehabilitate and improve the Fair buildings, land and infrastructure for year-round use, and continue to protect the State's investment in the facility. In addition, Fair structures are upgraded continually to meet more stringent building code requirements, including safety and accessibility for the disabled.

For 2000-01 the level of commitments projected in the capital plan is \$3 million. Projects that were previously funded are being designed and awarded as anticipated. Future year commitments are consistent with planned appropriation levels over the next five years.

### ***OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE***

The Office of Temporary and Disability Assistance administers the Homeless Housing Assistance Program (HHAP) which provides grants to not-for-profit corporations and municipalities to expand and improve the supply of permanent, transitional, and emergency housing for homeless persons. HHAP also links its projects to other State and Federal funding sources to deliver appropriate support services to help tenants to move toward greater self-determination and economic self-sufficiency.

The Capital Plan maintains the HHAP appropriation at \$30 million, including \$5 million for the development of housing for persons with HIV or AIDS. The value of approved projects is expected to equal the appropriations in each of the five years of the Plan. The 2000-01 recommendation also continues a \$14 million initiative begun in 1997-98, to develop 250 new domestic violence shelter beds in New York City.

### ***ECONOMIC DEVELOPMENT***

The New York Office of Science, Technology and Academic Research (NYSTAR) will administer a \$95 million capital program to provide funding for construction and rehabilitation of public and private university research facilities. This funding will support

## ***CAPITAL PROGRAM PLAN***

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the construction and rehabilitation of state-of-the-art laboratories, and assist New York State in attracting and retaining high technology-related jobs. The program will be financed through bonds issued by the Dormitory Authority.

Other economic development provisions of the Capital Plan include continuation of the \$25 million Economic Development and Natural Resources Preservation Program (EDNRP), which was established with the 1999-2000 Enacted Budget. This program will fund projects that facilitate the creation or retention of jobs, increase business activity, heritage trail and historic preservation projects, and open space and park conservation and acquisition projects. In addition, the Community Enhancement Facilities Assistance Program (CEFAP), which was originally authorized in the 1997-98 Budget, will be continued. Both EDNRP and CEFAP will be financed with Empire State Development Corporation bonds.

Finally, the Plan discontinues capital appropriations for the Olympic Regional Development Authority (ORDA). This reflects a transfer of facility maintenance funding to ORDA's State Operations budget in order to more accurately reflect the nature of these expenditures.

### ***JUDICIARY***

The 2000-01 request submitted by the Judiciary includes a new appropriation of \$7,775,000 for expenses associated with improvements to the Court of Appeals building in Albany. Historically, the Judiciary budget has not included Capital Project Fund appropriations. The improvement projects will be funded entirely by a transfer from the General Fund and are intended to preserve the structural integrity of the court house and address on-going usage demands of this historic building.

# CAPITAL PROGRAM PLAN

## GENERAL SERVICES, OFFICE OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Design and Construction Supervision	\$30,575	\$6,500	\$7,000	\$8,000	\$13,000	\$14,000	\$48,500
Maintenance and Improvement of Real Property Facilities	100,894	64,800	112,500	30,000	33,000	34,000	274,300
Petroleum Storage Tank	500	...	...	...	...	...	...
<b>Total</b>	<b>\$131,969</b>	<b>\$71,300</b>	<b>\$119,500</b>	<b>\$38,000</b>	<b>\$46,000</b>	<b>\$48,000</b>	<b>\$322,800</b>
Fund Summary:							
Capital Projects Fund	\$126,461	\$71,300	\$119,500	\$38,000	\$46,000	\$48,000	\$322,800
Capital Projects Fund - Advances	5,508	...	...	...	...	...	...
Federal Capital Projects Fund	...	...	...	...	...	...	...
<b>Total</b>	<b>\$131,969</b>	<b>\$71,300</b>	<b>\$119,500</b>	<b>\$38,000</b>	<b>\$46,000</b>	<b>\$48,000</b>	<b>\$322,800</b>

### COMMITMENTS

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Design and Construction Supervision	\$118	\$87	\$82	\$40	\$70
Maintenance and Improvement of Real Property Facilities	15,080	31,558	39,069	46,983	28,080
Petroleum Storage Tank	75	75	...	...	...
<b>Total</b>	<b>\$15,273</b>	<b>\$31,720</b>	<b>\$39,151</b>	<b>\$47,023</b>	<b>\$28,150</b>
Fund Summary:					
Capital Projects Fund	\$15,273	\$31,720	\$39,151	\$47,023	\$28,150
<b>Total</b>	<b>\$15,273</b>	<b>\$31,720</b>	<b>\$39,151</b>	<b>\$47,023</b>	<b>\$28,150</b>

### DISBURSEMENTS

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Design and Construction Supervision	\$16,858	\$11,800	\$8,700	\$8,194	\$4,018	\$7,000	\$39,712
Maintenance and Improvement of Real Property Facilities	40,240	23,200	48,550	60,106	72,282	43,200	247,338
Petroleum Storage Tank	15,578	150	150	...	...	...	300
<b>Total</b>	<b>\$72,676</b>	<b>\$35,150</b>	<b>\$57,400</b>	<b>\$68,300</b>	<b>\$76,300</b>	<b>\$50,200</b>	<b>\$287,350</b>
Fund Summary:							
Capital Projects Fund	\$72,676	\$35,150	\$57,400	\$68,300	\$76,300	\$50,200	\$287,350
Capital Projects Fund - Advances	...	...	...	...	...	...	...
Federal Capital Projects Fund	...	...	...	...	...	...	...
<b>Total</b>	<b>\$72,676</b>	<b>\$35,150</b>	<b>\$57,400</b>	<b>\$68,300</b>	<b>\$76,300</b>	<b>\$50,200</b>	<b>\$287,350</b>

# CAPITAL PROGRAM PLAN

## CHILDREN AND FAMILY SERVICES, OFFICE OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Child Care Facilities Development Program	\$15,000	...	...	...	...	...	...
Child Care Program	...	...	...	...	...	...	...
Design and Construction Supervision	1,000	\$1,000	\$1,000	\$1,000	\$1,000	\$1,000	\$5,000
Executive Direction and Administrative Services	1,947	4,000	...	...	...	...	4,000
Maintenance and Improvement of Facilities	22,550	9,600	5,100	5,000	5,000	5,000	29,700
New Construction	...	75,000	...	...	...	...	75,000
Program Improvement or Program Change	10,200	3,000	3,000	3,000	3,000	3,000	15,000
Rehabilitative Services	726	...	...	...	...	...	...
Youth Center	9,838	...	...	...	...	...	...
<b>Total</b>	<b>\$61,261</b>	<b>\$92,600</b>	<b>\$9,100</b>	<b>\$9,000</b>	<b>\$9,000</b>	<b>\$9,000</b>	<b>\$128,700</b>
Fund Summary:							
Capital Projects Fund	\$29,857	\$1,900	\$1,100	\$1,000	\$1,000	\$1,000	\$6,000
Misc. Capital Projects	...	4,000	...	...	...	...	4,000
Youth Facilities Improvement Fund	31,404	86,700	8,000	8,000	8,000	8,000	118,700
<b>Total</b>	<b>\$61,261</b>	<b>\$92,600</b>	<b>\$9,100</b>	<b>\$9,000</b>	<b>\$9,000</b>	<b>\$9,000</b>	<b>\$128,700</b>

### COMMITMENTS

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Child Care Facilities Development Program	\$3,000	\$10,000	\$2,000	...	...
Design and Construction Supervision	1,000	...	...	...	...
Executive Direction and Administrative Services	5,047	900	...	...	...
Maintenance and Improvement of Facilities	12,950	6,600	7,000	\$7,000	\$7,000
New Construction	7,000	60,000	8,000	...	...
Program Improvement or Program Change	7,000	5,000	4,000	4,000	3,200
Rehabilitative Services	576	...	...	...	...
Youth Center	7,000	...	...	...	...
<b>Total</b>	<b>\$43,573</b>	<b>\$82,500</b>	<b>\$21,000</b>	<b>\$11,000</b>	<b>\$10,200</b>
Fund Summary:					
Capital Projects Fund	\$15,897	\$11,300	\$3,200	\$1,000	\$1,000
Misc. Capital Projects	3,100	900	...	...	...
Youth Facilities Improvement Fund	24,576	70,300	17,800	10,000	9,200
<b>Total</b>	<b>\$43,573</b>	<b>\$82,500</b>	<b>\$21,000</b>	<b>\$11,000</b>	<b>\$10,200</b>

## CAPITAL PROGRAM PLAN

**CHILDREN AND FAMILY SERVICES, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS , COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**DISBURSEMENTS**

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Child Care Facilities Development Program	...	\$3,000	\$10,000	\$2,000	...	...	\$15,000
Child Care Program	\$200	...	...	...	...	...	...
Design and Construction Supervision	500	500	750	1,250	\$1,000	\$1,000	4,500
Executive Direction and Administrative Services	600	1,850	2,009	500	...	...	4,359
Maintenance and Improvement of Facilities	5,404	8,694	9,565	7,803	8,052	4,200	38,314
New Construction	...	7,000	30,000	30,000	8,000	...	75,000
Program Improvement or Program Change	2,811	3,000	4,000	3,700	3,500	3,200	17,400
Rehabilitative Services	800	534	46	...	...	...	580
Youth Center	6,931	2,500	1,020	500	...	...	4,020
<b>Total</b>	<u>\$17,246</u>	<u>\$27,078</u>	<u>\$57,390</u>	<u>\$45,753</u>	<u>\$20,552</u>	<u>\$8,400</u>	<u>\$159,173</u>
Fund Summary:							
Capital Projects Fund	\$8,946	\$8,650	\$13,764	\$3,750	\$1,050	\$1,000	\$28,214
Misc. Capital Projects	...	1,500	2,000	500	...	...	4,000
Youth Facilities Improvement Fund	8,300	16,928	41,626	41,503	19,502	7,400	126,959
<b>Total</b>	<u>\$17,246</u>	<u>\$27,078</u>	<u>\$57,390</u>	<u>\$45,753</u>	<u>\$20,552</u>	<u>\$8,400</u>	<u>\$159,173</u>

# CAPITAL PROGRAM PLAN

## HEALTH, DEPARTMENT OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Design and Construction							
Supervision	\$2,340	...	...	...	...	...	...
Laboratories and Research	6,385	\$4,000	\$4,000	\$4,000	\$4,000	\$4,000	\$20,000
Maintenance and Improvements of Existing Institutions	8,526	7,600	7,600	7,600	7,600	7,600	38,000
New Institution Construction	45,765	...	...	...	...	...	...
Rehabilitation and Improvements	15,979	...	...	...	...	...	...
Safe Drinking Water - Clean Water/Clean Air 96	...	60,000	60,000	60,000	...	...	180,000
Water Resources	126,844	48,477	45,000	...	...	...	93,477
<b>Total</b>	<b>\$205,839</b>	<b>\$120,077</b>	<b>\$116,600</b>	<b>\$71,600</b>	<b>\$11,600</b>	<b>\$11,600</b>	<b>\$331,477</b>
Fund Summary:							
Batavia Rehabilitation & Improvement	\$500	...	...	...	...	...	...
Capital Projects Fund	21,830	\$11,600	\$11,600	\$11,600	\$11,600	\$11,600	\$58,000
Capital Projects Fund - 1996 CWCA (Bondable)	...	60,000	60,000	60,000	...	...	180,000
Capital Projects Fund - Advances	26,500	...	...	...	...	...	...
Department of Health Facilities							
Capital Improvement Fund	19,265	...	...	...	...	...	...
Federal Capital Projects Fund	126,844	48,477	45,000	...	...	...	93,477
Helen Hayes Rehabilitation & Improvement	9,700	...	...	...	...	...	...
Oxford Rehabilitation & Improvement	1,000	...	...	...	...	...	...
St. Albans Rehabilitation & Improvement	200	...	...	...	...	...	...
<b>Total</b>	<b>\$205,839</b>	<b>\$120,077</b>	<b>\$116,600</b>	<b>\$71,600</b>	<b>\$11,600</b>	<b>\$11,600</b>	<b>\$331,477</b>

### COMMITMENTS

	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
Program Summary:					
Laboratories and Research	\$1,440	\$2,550	\$2,350	\$2,370	\$1,500
Maintenance and Improvements of Existing Institutions	3,960	2,170	3,520	3,700	3,500
New Institution Construction	2,000	39,400	5,000	...	...
Rehabilitation and Improvements	2,400	2,000	4,500	4,000	4,000
Safe Drinking Water - Clean Water/Clean Air 96	50,000	50,000	50,000	30,000	...
Water Resources	65,500	22,500	...	...	...
<b>Total</b>	<b>\$125,300</b>	<b>\$118,620</b>	<b>\$65,370</b>	<b>\$40,070</b>	<b>\$9,000</b>
Fund Summary:					
Batavia Rehabilitation & Improvement	...	...	...	...	\$1,000
Capital Projects Fund	\$7,800	\$6,720	\$10,370	\$10,070	5,000
Capital Projects Fund - 1996 CWCA (Bondable)	50,000	50,000	50,000	30,000	...
Capital Projects Fund - Advances	...	21,500	5,000	...	...
Department of Health Facilities					
Capital Improvement Fund	2,000	17,900	...	...	...
Federal Capital Projects Fund	65,500	22,500	...	...	...
Helen Hayes Rehabilitation & Improvement	...	...	...	...	1,000
Oxford Rehabilitation & Improvement	...	...	...	...	1,000
St. Albans Rehabilitation & Improvement	...	...	...	...	1,000
<b>Total</b>	<b>\$125,300</b>	<b>\$118,620</b>	<b>\$65,370</b>	<b>\$40,070</b>	<b>\$9,000</b>

# CAPITAL PROGRAM PLAN

## HEALTH, DEPARTMENT OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### DISBURSEMENTS

	<u>Estimated 1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>	<u>Total 2000-2005</u>
Program Summary:							
Design and Construction							
Supervision	\$53	\$309	\$337	\$393	\$692	...	\$1,731
Laboratories and Research	744	1,800	1,203	937	1,000	\$500	5,440
Maintenance and Improvements of							
Existing Institutions	2,239	2,567	2,981	2,799	1,866	1,000	11,213
New Institution Construction	5,000	14,265	...	...	...	...	14,265
Rehabilitation and Improvements	1,211	2,696	2,325	3,054	3,872	...	11,947
Safe Drinking Water - Clean							
Water/Clean Air 96	50,000	50,000	50,000	50,000	30,000	...	180,000
Water Resources	44,953	46,123	48,312	47,198	46,700	20,830	209,163
<b>Total</b>	<u>\$104,200</u>	<u>\$117,760</u>	<u>\$105,158</u>	<u>\$104,381</u>	<u>\$84,130</u>	<u>\$22,330</u>	<u>\$433,759</u>
Fund Summary:							
Batavia Rehabilitation & Improvement	\$25	\$350	\$100	\$25	...	...	\$475
Capital Projects Fund	3,222	5,272	5,146	4,558	\$4,610	\$1,500	21,086
Capital Projects Fund - 1996 CWCA (Bondable)	50,000	50,000	50,000	50,000	30,000	...	180,000
Capital Projects Fund - Advances	...	...	...	...	...	...	...
Department of Health Facilities							
Capital Improvement Fund	5,000	14,265	...	...	...	...	14,265
Federal Capital Projects Fund	44,953	46,123	48,312	47,198	46,700	20,830	209,163
Helen Hayes Rehabilitation & Improvement	600	1,230	1,400	2,600	2,820	...	8,050
Oxford Rehabilitation & Improvement	200	520	200	...	...	...	720
St. Albans Rehabilitation & Improvement	200	...	...	...	...	...	...
<b>Total</b>	<u>\$104,200</u>	<u>\$117,760</u>	<u>\$105,158</u>	<u>\$104,381</u>	<u>\$84,130</u>	<u>\$22,330</u>	<u>\$433,759</u>

# CAPITAL PROGRAM PLAN

**ENERGY RESEARCH AND DEVELOPMENT AUTHORITY, NEW YORK STATE  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Clean Water Clean Air Implementation	...	\$117	\$117	\$117	\$117	\$117	\$585
Western New York Nuclear Service Center Program	...	13,900	15,400	14,800	15,100	15,400	74,600
<b>Total</b>	...	<u>\$14,017</u>	<u>\$15,517</u>	<u>\$14,917</u>	<u>\$15,217</u>	<u>\$15,517</u>	<u>\$75,185</u>
Fund Summary:							
Capital Projects Fund	...	\$13,900	\$15,400	\$14,800	\$15,100	\$15,400	\$74,600
Clean Water Clean Air Implementation Fund	...	117	117	117	117	117	585
<b>Total</b>	...	<u>\$14,017</u>	<u>\$15,517</u>	<u>\$14,917</u>	<u>\$15,217</u>	<u>\$15,517</u>	<u>\$75,185</u>

**COMMITMENTS**

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Clean Water Clean Air Implementation	\$117	\$117	\$117	\$117	\$117
Western New York Nuclear Service Center Program	13,900	15,400	14,800	15,100	15,400
<b>Total</b>	<u>\$14,017</u>	<u>\$15,517</u>	<u>\$14,917</u>	<u>\$15,217</u>	<u>\$15,517</u>
Fund Summary:					
Capital Projects Fund	\$13,900	\$15,400	\$14,800	\$15,100	\$15,400
Clean Water Clean Air Implementation Fund	117	117	117	117	117
<b>Total</b>	<u>\$14,017</u>	<u>\$15,517</u>	<u>\$14,917</u>	<u>\$15,217</u>	<u>\$15,517</u>

**DISBURSEMENTS**

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Clean Water Clean Air Implementation	\$117	\$117	\$117	\$117	\$117	\$117	\$585
Western New York Nuclear Service Center Program	12,500	13,900	15,400	14,800	15,100	15,400	74,600
<b>Total</b>	<u>\$12,617</u>	<u>\$14,017</u>	<u>\$15,517</u>	<u>\$14,917</u>	<u>\$15,217</u>	<u>\$15,517</u>	<u>\$75,185</u>
Fund Summary:							
Capital Projects Fund	\$12,500	\$13,900	\$15,400	\$14,800	\$15,100	\$15,400	\$74,600
Clean Water Clean Air Implementation Fund	117	117	117	117	117	117	585
<b>Total</b>	<u>\$12,617</u>	<u>\$14,017</u>	<u>\$15,517</u>	<u>\$14,917</u>	<u>\$15,217</u>	<u>\$15,517</u>	<u>\$75,185</u>

## CAPITAL PROGRAM PLAN

**STATE, DEPARTMENT OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

### APPROPRIATIONS

	Reappropriations	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Clean Water Clean Air Implementation	...	\$348	\$348	\$348	\$348	\$348	\$1,740
Design and Construction Supervision	\$365	...	...	200	...	...	200
Office of Fire Prevention and Control	1,079	...	340	720	...	...	1,060
<b>Total</b>	<b>\$1,444</b>	<b>\$348</b>	<b>\$688</b>	<b>\$1,268</b>	<b>\$348</b>	<b>\$348</b>	<b>\$3,000</b>
Fund Summary:							
Capital Projects Fund	\$1,444	...	\$340	\$920	...	...	\$1,260
Clean Water Clean Air Implementation Fund	...	\$348	348	348	\$348	\$348	1,740
<b>Total</b>	<b>\$1,444</b>	<b>\$348</b>	<b>\$688</b>	<b>\$1,268</b>	<b>\$348</b>	<b>\$348</b>	<b>\$3,000</b>

### COMMITMENTS

	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
Program Summary:					
Clean Water Clean Air Implementation	\$348	\$348	\$348	\$348	\$348
Design and Construction Supervision	...	120	80	...	...
Office of Fire Prevention and Control	...	500	380	460	60
<b>Total</b>	<b>\$348</b>	<b>\$968</b>	<b>\$808</b>	<b>\$808</b>	<b>\$408</b>
Fund Summary:					
Capital Projects Fund	...	\$620	\$460	\$460	\$60
Clean Water Clean Air Implementation Fund	\$348	348	348	348	348
<b>Total</b>	<b>\$348</b>	<b>\$968</b>	<b>\$808</b>	<b>\$808</b>	<b>\$408</b>

### DISBURSEMENTS

	Estimated 1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Clean Water Clean Air Implementation	\$348	\$348	\$348	\$348	\$348	\$348	\$1,740
Design and Construction Supervision	124	53	222	134	60	40	509
Office of Fire Prevention and Control	336	407	238	324	400	420	1,789
<b>Total</b>	<b>\$808</b>	<b>\$808</b>	<b>\$808</b>	<b>\$806</b>	<b>\$808</b>	<b>\$808</b>	<b>\$4,038</b>
Fund Summary:							
Capital Projects Fund	\$460	\$460	\$460	\$458	\$460	\$460	\$2,298
Clean Water Clean Air Implementation Fund	348	348	348	348	348	348	1,740
<b>Total</b>	<b>\$808</b>	<b>\$808</b>	<b>\$808</b>	<b>\$806</b>	<b>\$808</b>	<b>\$808</b>	<b>\$4,038</b>

# CAPITAL PROGRAM PLAN

## AGRICULTURE AND MARKETS, DEPARTMENT OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Clean Water Clean Air Implementation	...	\$500	\$527	\$553	\$580	\$607	\$2,767
Design and Construction Supervision	\$520	...	...	...	...	...	...
State Fair	6,870	2,600	1,600	2,000	2,000	2,000	10,200
<b>Total</b>	<b>\$7,390</b>	<b>\$3,100</b>	<b>\$2,127</b>	<b>\$2,553</b>	<b>\$2,580</b>	<b>\$2,607</b>	<b>\$12,967</b>
Fund Summary:							
Capital Projects Fund	\$5,160	\$600	\$600	\$1,000	\$1,000	\$1,000	\$4,200
Clean Water Clean Air Implementation Fund	...	500	527	553	580	607	2,767
Misc. Capital Projects	2,230	2,000	1,000	1,000	1,000	1,000	6,000
<b>Total</b>	<b>\$7,390</b>	<b>\$3,100</b>	<b>\$2,127</b>	<b>\$2,553</b>	<b>\$2,580</b>	<b>\$2,607</b>	<b>\$12,967</b>

### COMMITMENTS

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Clean Water Clean Air Implementation	\$500	\$527	\$553	\$580	\$607
State Fair	2,500	2,000	2,000	2,000	2,000
<b>Total</b>	<b>\$3,000</b>	<b>\$2,527</b>	<b>\$2,553</b>	<b>\$2,580</b>	<b>\$2,607</b>
Fund Summary:					
Capital Projects Fund	\$1,500	\$1,000	\$1,000	\$1,000	\$1,000
Clean Water Clean Air Implementation Fund	500	527	553	580	607
Misc. Capital Projects	1,000	1,000	1,000	1,000	1,000
<b>Total</b>	<b>\$3,000</b>	<b>\$2,527</b>	<b>\$2,553</b>	<b>\$2,580</b>	<b>\$2,607</b>

### DISBURSEMENTS

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Clean Water Clean Air Implementation	\$478	\$500	\$527	\$553	\$580	\$607	\$2,767
Design and Construction Supervision	222	113	100	250	...	...	463
State Fair	3,428	3,387	2,865	1,750	2,000	2,000	12,002
<b>Total</b>	<b>\$4,128</b>	<b>\$4,000</b>	<b>\$3,492</b>	<b>\$2,553</b>	<b>\$2,580</b>	<b>\$2,607</b>	<b>\$15,232</b>
Fund Summary:							
Capital Projects Fund	\$2,650	\$1,500	\$1,000	\$1,000	\$1,000	\$1,000	\$5,500
Clean Water Clean Air Implementation Fund	478	500	527	553	580	607	2,767
Misc. Capital Projects	1,000	2,000	1,965	1,000	1,000	1,000	6,965
<b>Total</b>	<b>\$4,128</b>	<b>\$4,000</b>	<b>\$3,492</b>	<b>\$2,553</b>	<b>\$2,580</b>	<b>\$2,607</b>	<b>\$15,232</b>

# CAPITAL PROGRAM PLAN

**TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Information Management Technology Program	\$361	...	...	...	...	...	...
Supported Housing Program	139,542	\$30,000	\$30,000	\$30,000	\$30,000	\$30,000	\$150,000
<b>Total</b>	<u>\$139,903</u>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$150,000</u>
Fund Summary:							
Capital Projects Fund	\$14,111	...	...	...	...	...	...
Housing Program Fund	125,792	\$30,000	\$30,000	\$30,000	\$30,000	\$30,000	\$150,000
<b>Total</b>	<u>\$139,903</u>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$150,000</u>

**COMMITMENTS**

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Supported Housing Program	\$30,000	\$30,000	\$30,000	\$30,000	\$30,000
<b>Total</b>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$30,000</u>
Fund Summary:					
Housing Program Fund	\$30,000	\$30,000	\$30,000	\$30,000	\$30,000
<b>Total</b>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$30,000</u>	<u>\$30,000</u>

**DISBURSEMENTS**

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Information Management Technology Program	...	...	...	...	...	...	...
Supported Housing Program	\$26,400	\$30,500	\$25,850	\$23,000	\$23,000	\$23,000	\$125,350
<b>Total</b>	<u>\$26,400</u>	<u>\$30,500</u>	<u>\$25,850</u>	<u>\$23,000</u>	<u>\$23,000</u>	<u>\$23,000</u>	<u>\$125,350</u>
Fund Summary:							
Capital Projects Fund	\$3,400	\$7,500	\$2,850	...	...	...	\$10,350
Housing Program Fund	23,000	23,000	23,000	\$23,000	\$23,000	\$23,000	115,000
<b>Total</b>	<u>\$26,400</u>	<u>\$30,500</u>	<u>\$25,850</u>	<u>\$23,000</u>	<u>\$23,000</u>	<u>\$23,000</u>	<u>\$125,350</u>

# CAPITAL PROGRAM PLAN

## SCIENCE, TECHNOLOGY AND ACADEMIC RESEARCH, OFFICE OF SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	<u>Reappropriations</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>	<u>Total 2000-2005</u>
Program Summary:							
Research Facilities	\$47,500	...	...	...	...	...	...
<b>Total</b>	<u>\$47,500</u>	<u>...</u>	<u>...</u>	<u>...</u>	<u>...</u>	<u>...</u>	<u>...</u>
Fund Summary:							
Capital Projects Fund	\$47,500	...	...	...	...	...	...
<b>Total</b>	<u>\$47,500</u>	<u>...</u>	<u>...</u>	<u>...</u>	<u>...</u>	<u>...</u>	<u>...</u>

### COMMITMENTS

	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>
Program Summary:					
Research Facilities	\$10,000	\$24,500	\$13,000	...	...
<b>Total</b>	<u>\$10,000</u>	<u>\$24,500</u>	<u>\$13,000</u>	<u>...</u>	<u>...</u>
Fund Summary:					
Capital Projects Fund	\$10,000	\$24,500	\$13,000	...	...
<b>Total</b>	<u>\$10,000</u>	<u>\$24,500</u>	<u>\$13,000</u>	<u>...</u>	<u>...</u>

### DISBURSEMENTS

	<u>Estimated 1999-2000</u>	<u>2000-2001</u>	<u>2001-2002</u>	<u>2002-2003</u>	<u>2003-2004</u>	<u>2004-2005</u>	<u>Total 2000-2005</u>
Program Summary:							
Research Facilities	...	\$10,000	\$24,500	\$13,000	...	...	\$47,500
<b>Total</b>	<u>...</u>	<u>\$10,000</u>	<u>\$24,500</u>	<u>\$13,000</u>	<u>...</u>	<u>...</u>	<u>\$47,500</u>
Fund Summary:							
Capital Projects Fund	...	\$10,000	\$24,500	\$13,000	...	...	\$47,500
<b>Total</b>	<u>...</u>	<u>\$10,000</u>	<u>\$24,500</u>	<u>\$13,000</u>	<u>...</u>	<u>...</u>	<u>\$47,500</u>

# CAPITAL PROGRAM PLAN

**MISCELLANEOUS STATE AGENCIES  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Economic Development	\$25,000	...	...	...	...	...	...
<b>Total</b>	<b>\$25,000</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:							
Capital Projects Fund	\$25,000	...	...	...	...	...	...
<b>Total</b>	<b>\$25,000</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

**COMMITMENTS**

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Economic Development	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:					
Capital Projects Fund	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

**DISBURSEMENTS**

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Economic Development	\$15,000	\$10,000	...	...	...	...	\$10,000
<b>Total</b>	<b>\$15,000</b>	<b>\$10,000</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>\$10,000</b>
Fund Summary:							
Capital Projects Fund	\$15,000	\$10,000	...	...	...	...	\$10,000
<b>Total</b>	<b>\$15,000</b>	<b>\$10,000</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>\$10,000</b>

# CAPITAL PROGRAM PLAN

**EMPIRE STATE DEVELOPMENT CORPORATION  
SUMMARY OF  
PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Regional Development	\$425,000	...	...	...	...	...	...
<b>Total</b>	<b>\$425,000</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:							
Community Enhancement Facilities Assistance Fund	\$425,000	...	...	...	...	...	...
<b>Total</b>	<b>\$425,000</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

**COMMITMENTS**

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Regional Development	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:					
Community Enhancement Facilities Assistance Fund	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

**DISBURSEMENTS**

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Regional Development	...	...	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:							
Community Enhancement Facilities Assistance Fund	...	...	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

# CAPITAL PROGRAM PLAN

**OLYMPIC REGIONAL DEVELOPMENT AUTHORITY  
SUMMARY OF  
PROJECTED APPROPRIATIONS , COMMITMENTS AND DISBURSEMENTS  
2000-2001 THROUGH 2004-2005  
(THOUSANDS OF DOLLARS)**

**APPROPRIATIONS**

	<b>Reappropriations</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Administration	...	...	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:							
Capital Projects Fund	...	...	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

**COMMITMENTS**

	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>
Program Summary:					
Administration	...	...	...	...	...
<b>Total</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:					
Capital Projects Fund	...	...	...	...	...
	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

**DISBURSEMENTS**

	<b>Estimated 1999-2000</b>	<b>2000-2001</b>	<b>2001-2002</b>	<b>2002-2003</b>	<b>2003-2004</b>	<b>2004-2005</b>	<b>Total 2000-2005</b>
Program Summary:							
Administration	\$10,617	...	...	...	...	...	...
<b>Total</b>	<b>\$10,617</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>
Fund Summary:							
Capital Projects Fund	\$10,617	...	...	...	...	...	...
<b>Total</b>	<b>\$10,617</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>	<b>...</b>

# CAPITAL PROGRAM PLAN

## JUDICIARY SUMMARY OF PROJECTED APPROPRIATIONS, COMMITMENTS AND DISBURSEMENTS 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Courthouse							
Improvements	...	\$7,775	...	...	...	...	\$7,775
<b>Total</b>	...	\$7,775	...	...	...	...	\$7,775
Fund Summary:							
Capital Projects Fund	...	\$7,775	...	...	...	...	\$7,775
<b>Total</b>	...	\$7,775	...	...	...	...	\$7,775

### COMMITMENTS

	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
Program Summary:					
Courthouse					
Improvements	\$7,775	...	...	...	...
<b>Total</b>	\$7,775	...	...	...	...
Fund Summary:					
Capital Projects Fund	7,775	...	...	...	...
<b>Total</b>	\$7,775	...	...	...	...

### DISBURSEMENTS

	Estimated 1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Program Summary:							
Courthouse							
Improvements	...	\$5,100	\$2,675	...	...	...	\$7,775
<b>Total</b>	...	\$5,100	\$2,675	...	...	...	\$7,775
Fund Summary:							
Capital Projects Fund	...	\$5,100	\$2,675	...	...	...	\$7,775
<b>Total</b>	...	\$5,100	\$2,675	...	...	...	\$7,775

# CAPITAL PROGRAM PLAN

## SUMMARY OF PROJECTED APPROPRIATIONS, ALL FUNDS, ALL PROGRAMS, BY FUND TYPE, AND MAJOR FUND, 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### APPROPRIATIONS

	Reappropriations	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Capital Projects Funds Type							
Capital Projects Fund	\$1,075,015	\$288,768	\$276,698	\$199,420	\$212,126	\$247,068	\$1,224,080
Capital Projects Fund- Advances	3,226,019	53,275	47,000	1,000	36,000	531,000	668,275
Capital Projects Fund- A.C. and T.I. Fund (Bondable)	83,672	...	...	...	...	...	...
Capital Projects Fund- EQBA (Bondable)	73,380	...	...	...	...	...	...
Capital Projects Fund- PWBA (Bondable)	33,889	...	...	...	...	...	...
Capital Projects Fund- Infrastructure Renewal (Bondable)	49,751	...	...	...	...	...	...
Capital Projects Fund- Aviation (Bondable)	6,411	...	...	...	...	...	...
Capital Projects Fund- Energy Conservation (Bondable)	2,136	...	...	...	...	...	...
Capital Projects Fund- EQBA 86 (Bondable)	474,799	...	...	...	...	...	...
Capital Projects Fund- 1996 CWA (Bondable)	742,127	222,000	216,000	216,000	...	...	654,000
Department of Health Facilities Capital Improvement Fund	19,265	...	...	...	...	...	...
Dedicated Highway and Bridge Trust Fund	2,819,353	1,412,138	1,336,118	1,337,160	1,307,565	1,314,801	6,707,782
State University Residence Hall Rehabilitation Fund	270,371	...	...	...	15,000	50,000	65,000
New York State Canal System Development Fund	3,134	2,000	2,800	2,800	2,800	2,800	13,200
State Parks Infrastructure Fund	55,626	29,030	23,900	25,000	25,000	29,000	131,930
Environmental Protection Fund	329,842	125,000	125,000	125,000	125,000	125,000	625,000
Clean Water Clean Air Implementation Fund	6,027	8,737	8,837	8,937	9,046	9,157	44,714
Hudson River Park Fund	18,845	13,000	3,600	3,600	3,600	3,600	27,400
Federal Capital Projects Fund	4,807,309	1,685,666	1,527,925	1,492,525	1,483,425	1,413,425	7,602,966
Youth Facilities Improvement Fund	31,404	86,700	8,000	8,000	8,000	8,000	118,700
Housing Program Fund	384,109	96,200	96,200	96,200	96,200	96,200	481,000
Mental Hygiene Capital Improvement Fund	657,557	135,503	133,523	132,828	128,436	126,859	657,149
Correctional Facilities Capital Improvement Fund	790,127	400,000	215,000	205,000	205,000	205,000	1,230,000
Remedial Program Transfer Fund	...	...	105,000	105,000	105,000	105,000	420,000
Regional Aviation Fund	35,000	...	...	...	...	...	...
Other Funds	1,669,186	565,704	566,184	536,161	598,131	605,367	2,871,547
Eliminations*	(1,100,737)	(581,193)	(582,621)	(551,698)	(594,777)	(600,624)	(2,910,913)
<b>Type Subtotal</b>	<u>\$16,563,617</u>	<u>\$4,542,528</u>	<u>\$4,109,164</u>	<u>\$3,942,933</u>	<u>\$3,765,552</u>	<u>\$4,271,653</u>	<u>\$20,631,830</u>
Capital Projects Funds - Bond Proceeds	\$2,180,897	...	...	...	...	...	...
Fiduciary Fund Type	50,400	\$56,000	\$50,000	\$50,000	\$50,000	\$50,000	\$256,000
Special Revenue Fund Type	182,882	53,778	52,776	54,776	54,776	56,776	272,882
Eliminations*	(2,180,897)	...	...	...	...	...	...
<b>Total (All Fund Types)</b>	<u>\$16,796,899</u>	<u>\$4,652,306</u>	<u>\$4,211,940</u>	<u>\$4,047,709</u>	<u>\$3,870,328</u>	<u>\$4,378,429</u>	<u>\$21,160,712</u>

\*Reflects eliminations for "netting out" of transfers between funds which are not capital program disbursements.

# CAPITAL PROGRAM PLAN

## SUMMARY OF PROJECTED DISBURSEMENTS, ALL FUNDS, ALL PROGRAMS, BY FUND TYPE, AND MAJOR FUND, 2000-2001 THROUGH 2004-2005 (THOUSANDS OF DOLLARS)

### DISBURSEMENTS

	Estimated 1999-2000	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005	Total 2000-2005
Capital Projects Funds Type							
Capital Projects Fund	\$333,380	\$413,787	\$321,639	\$292,909	\$294,475	\$265,439	\$1,588,249
Capital Projects Fund- Advances	183,497	203,860	233,292	255,152	321,450	297,900	1,311,654
Capital Projects Fund- A.C. and T.I. Fund (Bondable)	27,859	29,758	21,130	11,633	5,088	544	68,153
Capital Projects Fund- EQBA (Bondable)	11,312	9,431	492	2,130	1,106	1,100	14,259
Capital Projects Fund- PWBA (Bondable)	2,900	3,100	3,725	4,800	800	800	13,225
Capital Projects Fund- Infrastructure Renewal (Bondable)	3,234	12,218	11,316	8,629	6,053	1,000	39,216
Capital Projects Fund- Aviation (Bondable)	1,800	1,463	1,076	700	539	459	4,237
Capital Projects Fund- Energy Conservation (Bondable)	500	200	200	200	200	200	1,000
Capital Projects Fund- EQBA 86 (Bondable)	120,000	120,000	107,227	100,000	34,791	...	362,018
Capital Projects Fund- 1996 CWA (Bondable)	125,000	175,000	175,000	175,000	175,000	145,000	845,000
Department of Health Facilities Capital Improvement Fund	5,000	14,265	...	...	...	...	14,265
Dedicated Highway and Bridge Trust Fund	1,445,046	1,411,700	1,412,071	1,384,763	1,348,807	1,333,546	6,890,887
State University Residence Hall Rehabilitation Fund	16,000	43,000	43,000	43,000	50,000	40,000	219,000
New York State Canal System Development Fund	2,334	2,800	2,800	2,800	2,800	2,800	14,000
State Parks Infrastructure Fund	24,600	24,600	24,600	24,600	24,600	24,600	123,000
Environmental Protection Fund	103,817	115,920	126,089	119,661	115,724	113,320	590,714
Clean Water Clean Air Implementation Fund	8,906	8,737	8,837	8,937	9,046	9,157	44,714
Hudson River Park Fund	3,800	7,700	14,600	10,600	4,400	3,600	40,900
Federal Capital Projects Fund	1,312,182	1,356,381	1,344,067	1,361,216	1,415,555	1,442,165	6,919,384
Youth Facilities Improvement Fund	8,300	16,928	41,626	41,503	19,502	7,400	126,959
Housing Program Fund	86,400	89,550	90,450	90,450	90,450	89,200	450,100
Mental Hygiene Capital Improvement Fund	113,163	59,501	72,175	84,239	83,490	63,352	362,757
Correctional Facilities Capital Improvement Fund	259,000	210,000	318,000	228,000	188,000	188,000	1,132,000
Remedial Program Transfer Fund	...	...	105,000	105,000	105,000	105,000	420,000
Regional Aviation Fund	4,000	6,000	6,000	6,500	6,500	1,500	26,500
Other Funds	572,441	644,666	632,164	601,330	612,968	621,951	3,113,079
Eliminations*	(598,277)	(648,435)	(624,899)	(598,008)	(610,964)	(610,863)	(3,093,169)
<b>Type Subtotal</b>	<b>\$4,176,194</b>	<b>\$4,332,130</b>	<b>\$4,491,677</b>	<b>\$4,365,744</b>	<b>\$4,305,380</b>	<b>\$4,147,170</b>	<b>\$21,642,101</b>
Capital Projects Funds - Bond Proceeds	...	...	...	...	...	...	...
Fiduciary Fund Type	...	\$400	\$400	\$200	...	...	\$1,000
Special Revenue Fund Type	\$51,530	53,306	57,003	51,028	\$54,940	\$61,126	277,403
Eliminations*	...	...	...	...	...	...	...
<b>Total (All Fund Types)</b>	<b>\$4,227,724</b>	<b>\$4,385,836</b>	<b>\$4,549,080</b>	<b>\$4,416,972</b>	<b>\$4,360,320</b>	<b>\$4,208,296</b>	<b>\$21,920,504</b>

\*Reflects eliminations for "netting out" of transfers between funds which are not capital program disbursements.