

***TRANSPORTATION,
ECONOMIC
DEVELOPMENT AND
ENVIRONMENTAL
CONSERVATION***

ADIRONDACK PARK AGENCY

MISSION

The Adirondack Park Agency (APA) was established in 1971 to "insure the optimum overall conservation, protection, development and use of the unique scenic, aesthetic, wildlife, recreational, open space, ecological and natural resources of the Adirondack Park." The Park itself was created in 1892, and contains six million acres of publicly- and privately-owned lands.

ORGANIZATION AND STAFFING

The APA is governed by an 11-member board, including the Commissioners of Environmental Conservation and Economic Development, and the Secretary of State. The other members, five of whom must be Park residents, are nominated by the Governor with Senate confirmation. The principal functions of the APA are:

- reviewing and issuing permits for private and State land-use projects, consistent with the Adirondack Park State Land Master Plan and the Adirondack Park Private Land Use and Development Plan, and for certain activities on or near fresh water wetlands, pursuant to the 1975 Freshwater Wetlands Act;
- analyzing and developing policy to further the goals for which the agency was established;
- helping local governments develop land use plans and providing technical expertise;
- administering the State's Wild, Scenic and Recreational River System; and
- operating two Visitor Interpretive Centers: one near Paul Smith's College, Franklin County, and one in Newcomb, Essex County, at which visitors can better understand and appreciate the Park's resources.

APA's responsibilities are carried out by the following divisions: Planning, which handles local and regional land use policy issues; Counsel's Office, which provides legal advice to all Agency functions and oversees jurisdictional determinations and enforcement functions; Regulatory, which performs the Agency's permitting function; Interpretive, which operates the Visitor Centers; Resource Analysis, which conducts scientific research of the ecology of the Adirondacks; Economic Services, which assists project sponsors in the review process; and Local Government Services, which provides technical expertise and assistance to communities. For fiscal year 2000-01, the APA will have a workforce of 60 positions.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Agency's fiscal year 2000-01 operating costs will be funded with State tax dollars and Federal grant moneys. The Executive Budget recommends funding of \$3.6 million in General Fund support for the Agency's operations and \$300,000 in Federal New York State Scenic Byways Program funding to enhance the Route 73 corridor. The Agency's 2000-01 capital infrastructure needs will be addressed by a \$100,000 capital appropriation to be suballocated from the Department of Environmental Conservation. This overall recommendation will support the Agency's core regulatory functions and the operation of the Visitor Interpretive Centers at Newcomb and Paul Smith's.

ADIRONDACK PARK AGENCY

In addition, the Executive Budget recommends General Fund support of \$50,000 for the Adirondack Park Local Government Review Board. The Board advises and assists the APA in carrying out its responsibilities and monitors the implementation of the Adirondack Park Land Use and Development Plan.

PROGRAM HIGHLIGHTS

Since 1995-96, the Agency has worked to achieve a balance between strong environmental protection and sustainable economic development opportunities for the residents of the Adirondack Park. The Agency's priorities for the future include continued updating of the Agency's rules and regulations, facilitating the development of land use plans by local governments, providing local governments with technical expertise and training, and improving resource data base information to better protect the resources of the Adirondack Park.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$3,556,800	\$3,895,700	+ \$338,900	\$476,000
Aid To Localities	50,000	50,000
Capital Projects
Total	\$3,606,800	\$3,945,700	+ \$338,900	\$476,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration General Fund	60	60	60
Total	60	60	60

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$3,556,800	\$3,595,700	+ \$38,900
Special Revenue Funds - Federal	300,000	+ 300,000
Total	\$3,556,800	\$3,895,700	+ \$338,900

ADIRONDACK PARK AGENCY

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Administration			
General Fund	\$3,556,800	\$3,595,700	+ \$38,900
Special Revenue Funds - Federal	300,000	+ 300,000
Total	<u>\$3,556,800</u>	<u>\$3,895,700</u>	<u>+ \$338,900</u>

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

<u>Program</u>	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	\$3,053,700	+ \$38,900	\$2,910,500	+ \$38,900	\$139,500
Total	<u>\$3,053,700</u>	<u>+ \$38,900</u>	<u>\$2,910,500</u>	<u>+ \$38,900</u>	<u>\$139,500</u>	<u>.....</u>

<u>Program</u>	Holiday/Overtime Pay (Annual Salaried)	
	<u>Amount</u>	<u>Change</u>
Administration	\$3,700
Total	<u>\$3,700</u>	<u>.....</u>

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

<u>Program</u>	Total		Supplies and Materials	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	\$542,000	\$83,500
Total	<u>\$542,000</u>	<u>.....</u>	<u>\$83,500</u>	<u>.....</u>

<u>Program</u>	Travel		Contractual Services	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	\$44,500	\$389,900
Total	<u>\$44,500</u>	<u>.....</u>	<u>\$389,900</u>	<u>.....</u>

<u>Program</u>	Equipment	
	<u>Amount</u>	<u>Change</u>
Administration	\$24,100
Total	<u>\$24,100</u>	<u>.....</u>

ADIRONDACK PARK AGENCY

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Nonpersonal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	\$300,000	+ \$300,000	\$300,000	+ \$300,000
Total	<u>\$300,000</u>	<u>+ \$300,000</u>	<u>\$300,000</u>	<u>+ \$300,000</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund	\$50,000	\$50,000
Total	<u>\$50,000</u>	<u>\$50,000</u>	<u>.....</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Administration General Fund	\$50,000	\$50,000
Total	<u>\$50,000</u>	<u>\$50,000</u>	<u>.....</u>

DEPARTMENT OF AGRICULTURE AND MARKETS

MISSION

The Department of Agriculture and Markets is charged with fostering a competitive and safe New York State food and agricultural industry for the benefit of producers and consumers. Over the next year, the Department will continue to carry out its major responsibilities for encouraging the growth and economic health of the State's agricultural and food industry and conducting various inspection and testing programs to enforce laws on food safety, animal and plant health, and accuracy of labeling. The Department will also continue to act to preserve agricultural resources, improve soil and water quality, and operate the annual State Fair in concert with the Industrial Exhibit Authority.

ORGANIZATION AND STAFFING

The Department will have a workforce of 537 positions for fiscal year 2000-2001. The Agency is headquartered in Albany and maintains four regional offices located in Buffalo, Syracuse, Rochester and Brooklyn. Approximately 30 percent of the Department of Agriculture and Markets' operating programs are funded by tax dollars in the General Fund and the remaining 70 percent are financed by fees, Federal grants and the Clean Water/Clean Air Bond Act.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends funding of more than \$109 million for the Department of Agriculture and Markets in 2000-2001. The cost of operating the Department will be supported from a combination of funding sources:

- Tax dollars from the General Fund will provide \$22.8 million in 2000-2001, or 30 percent of the Department's operating expenses.
- Fee revenues will produce \$43.8 million and support 57 percent of the Department's operating costs. These revenues include fees from activities such as licensing food processing operators, certifying weighing and measuring devices, and operation of the State Fair.
- Federal funding of \$9.6 million finances the remaining 13 percent of the Department's operations.

This Budget recommends \$850,000 in new funding to assist the Department to expand its efforts in the New York City region to locate and eradicate the Asian Longhorned Beetle, a pest which is deadly to hardwood trees and for which there is no safe and effective pesticide. Recommendations also include \$344,000 for operating costs of a new child care center for children of migrant farm workers to be located in Riverhead, Long Island, as well as \$109,000 to repair the roof of the Diagnostic Laboratory at Cornell University to protect the State's investment in valuable testing equipment and supplies.

In the capital area, \$600,000 is provided for capital projects to maintain, repair and rehabilitate the State Fair's 14 major buildings and other structures. The Executive Budget also recommends \$2 million for a capital special revenue fund to continue support for development of private partnerships at the State Fair. In addition, \$500,000 is recommended from the Clean Water/Clean Air Bond Act for

AGRICULTURE AND MARKETS

implementation of the 1996 Act, which authorized farmland preservation and agricultural non-point source pollution control and abatement projects as eligible purposes. Funding from the Environmental Protection Fund is also provided for Soil and Water Conservation Districts, non-point source pollution control and farmland preservation programs.

The Executive Budget recommends \$11.9 million from the General Fund for local assistance to continue and expand programs which provide valuable services to the State's agricultural community. Included in these funds is \$1.15 million in new resources to support agricultural economic development and farmland viability program activities. In addition, an increase of \$15 million in Federal local assistance appropriation authority will allow the Department to apply for funding to expand growers' participation in Federal conservation programs.

PROGRAM HIGHLIGHTS

The Agricultural Business Services program promotes the agricultural economy of the State and fosters the responsible use of resources to preserve agricultural land and the environment. These purposes are carried out through six divisions which:

- Inspect and test livestock, poultry and plants to control and eradicate diseases which can both cause severe economic losses for farmers and present a public health hazard;
- Oversee the activities of county soil and water conservation districts, direct the agricultural non-point source pollution control and farmland preservation grant programs, establish agricultural districts, administer agricultural product market orders and the Federal Farmers Market Coupon Program for low income families, and collect and disseminate statistical agricultural information; and
- Exercise a variety of statutorily required activities involving the pricing and marketing of milk and milk products, and the licensing and bonding of milk and farm products dealers.

The Consumer Food Services program ensures that wholesome food products are sold to the consumer and that the industry and the public are protected from fraud, adulteration or malpractice in the production, processing, transportation and retailing of food and gasoline, and in the use of measuring devices. In carrying out these purposes, the program:

- Licenses and inspects over 28,000 businesses that produce, process, manufacture, or distribute food products, enforces labeling laws governing food represented as kosher, and grades farm products;
- Inspects and tests dairy products to enforce laws pertaining to milk and milk product sanitation and guards against harmful or misrepresented food; and
- Certifies and calibrates weighing and measuring devices and oversees the testing of motor fuels under the Motor Fuel Quality and Clean Air Programs.

STATE FAIR

The Department and the Industrial Exhibit Authority together direct the New York State Fair and Fairgrounds, located in Syracuse. The Fairgrounds, a 365-acre complex, has 14 major exhibit buildings and 107 other structures. It is used for the annual 12-day State Fair, and its facilities are rented year-round for various shows and activities. The operating costs of the Fair and Fairgrounds are fully funded from admission, rental and concession fees. General Fund capital funding of \$600,000 is included in the budget to support costs of maintaining the Fair's facilities. In addition, a capital special revenue fund appropriation of \$2 million is recommended

AGRICULTURE AND MARKETS

to allow the Fair to expand private partnerships and make capital improvements at the Fairgrounds.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$70,576,600	\$76,157,100	+ \$5,580,500	\$17,218,500
Aid To Localities	17,912,700	29,883,800	+ 11,971,100	11,581,900
Capital Projects	3,078,000	3,100,000	+ 22,000	7,390,000
Total	\$91,567,300	\$109,140,900	+ \$17,573,600	\$36,190,400

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund	35	35	35
Agricultural Business Services					
General Fund	88	88	88
Special Revenue Funds - Federal	3	3	3
Special Revenue Funds - Other	47	38	38	-9
Expendable Trust Funds	9	9	9
Clean Water/Clean Air Administration Program					
Capital Projects Funds - Other	8	8	8
Consumer Food Services					
General Fund	212	212	212
Special Revenue Funds - Federal	21	21	21
Special Revenue Funds - Other	76	65	65	-11
State Fair					
Enterprise Funds	58	58	58
Total	557	537	537	-20

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$21,359,200	\$22,806,200	+ \$1,447,000
Special Revenue Funds - Federal	7,387,000	9,597,000	+ 2,210,000
Special Revenue Funds - Other	25,970,500	25,856,900	-113,600
Enterprise Funds	14,140,600	16,224,900	+ 2,084,300
Fiduciary Funds	1,719,300	1,672,100	-47,200
Total	\$70,576,600	\$76,157,100	+ \$5,580,500

AGRICULTURE AND MARKETS

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$3,863,600	\$3,863,300	-\$300
Agricultural Business Services			
General Fund	7,187,700	8,509,500	+ 1,321,800
Special Revenue Funds - Federal	5,152,000	7,252,000	+ 2,100,000
Special Revenue Funds - Other	16,644,200	16,979,000	+ 334,800
Fiduciary Funds	1,719,300	1,672,100	-47,200
Consumer Food Services			
General Fund	10,307,900	10,433,400	+ 125,500
Special Revenue Funds - Federal	2,235,000	2,345,000	+ 110,000
Special Revenue Funds - Other	9,326,300	8,877,900	-448,400
State Fair			
Enterprise Funds	14,140,600	16,224,900	+ 2,084,300
Total	\$70,576,600	\$76,157,100	+\$5,580,500

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$1,880,600	-\$89,600	\$1,780,600	-\$89,600	\$91,200
Agricultural Business Services	4,861,700	+ 301,400	4,152,900	+ 119,300	598,600	+ \$182,100
Consumer Food Services	8,909,800	+ 88,300	8,521,600	+ 88,300	101,900
Total	\$15,652,100	+\$300,100	\$14,455,100	+\$118,000	\$791,700	+\$182,100

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$8,800
Agricultural Business Services	110,200
Consumer Food Services	286,300
Total	\$405,300

AGRICULTURE AND MARKETS

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$1,982,700	+ \$89,300	\$29,800	+ \$700
Agricultural Business Services . .	3,647,800	+ 1,020,400	172,600	+ 4,200
Consumer Food Services	1,523,600	+ 37,200	155,700	+ 3,900
Total	\$7,154,100	+ \$1,146,900	\$358,100	+ \$8,800

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$31,300	+ \$700	\$1,921,600	+ \$87,900
Agricultural Business Services . .	405,800	+ 6,000	1,912,000	+ 100,100
Consumer Food Services	885,200	+ 21,600	350,300	+ 8,500
Total	\$1,322,300	+ \$28,300	\$4,183,900	+ \$196,500

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Agricultural Business Services . .	\$307,400	+ \$60,100	\$850,000	+ \$850,000
Consumer Food Services	132,400	+ 3,200
Total	\$439,800	+ \$63,300	\$850,000	+ \$850,000

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Agricultural Business Services . .	\$25,903,100	+ \$2,387,600	\$3,143,000	+ \$563,200
Consumer Food Services	11,222,900	-338,400	4,676,400	-475,400
State Fair	16,224,900	+ 2,084,300	4,614,200	+ 54,300
Total	\$53,350,900	+ \$4,133,500	\$12,433,600	+ \$142,100

Program	Nonpersonal Service	
	Amount	Change
Agricultural Business Services . .	\$22,760,100	+ \$1,824,400
Consumer Food Services	6,546,500	+ 137,000
State Fair	11,610,700	+ 2,030,000
Total	\$40,917,300	+ \$3,991,400

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$14,912,700	\$11,883,800	-\$3,028,900
Special Revenue Funds - Federal	3,000,000	18,000,000	+ 15,000,000
Total	\$17,912,700	\$29,883,800	+ \$11,971,100

AGRICULTURE AND MARKETS

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Agricultural Business Services			
General Fund	\$12,058,500	\$11,883,800	-\$174,700
Special Revenue Funds - Federal	3,000,000	18,000,000	+ 15,000,000
Community Projects			
General Fund	2,854,200	-2,854,200
Total	<u>\$17,912,700</u>	<u>\$29,883,800</u>	<u>+ \$11,971,100</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Design and Construction Supervision				
Capital Projects Fund	\$520,000
State Fair				
Capital Projects Fund	\$600,000	\$600,000	4,640,000
Misc. Capital Projects	2,000,000	2,000,000	2,230,000
Clean Water - Clean Air Implementation				
Clean Water Clean Air Implementation Fund	478,000	500,000	+ \$22,000
Total	<u>\$3,078,000</u>	<u>\$3,100,000</u>	<u>+ \$22,000</u>	<u>\$7,390,000</u>

DEPARTMENT OF ECONOMIC DEVELOPMENT

MISSION

Together with the Empire State Development Corporation, the New York State Department of Economic Development:

- Advises the Governor and Legislature on all major economic development issues and decisions;
- Develops State economic development strategies;
- Provides technical and financial assistance to businesses through a network of regional offices; and
- Coordinates the efforts of other State agencies, authorities and organizations, as well as local governments, on actions which affect the State's economy.

ORGANIZATION AND STAFFING

State economic development programs are administered by the Department of Economic Development working in conjunction with the Empire State Development Corporation. The Department and Corporation are distinct entities, but both are headed by the Commissioner of Economic Development and share senior managers. In addition, the Department and Corporation will work closely with the New York Office of Science, Technology and Academic Research (NYSTAR), which was established in 1999 to foster technology-related job creation.

The Department of Economic Development will have a workforce of 253 in 2000-01. The Department's central office is in Albany, with ten regional offices located in Albany, Buffalo, Rochester, Syracuse, Utica, Binghamton, Fishkill, Ogdensburg, Plainview and New York City, and satellite offices in Lake Placid, Plattsburgh and Elmira.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

State tax dollars from the General Fund will finance 89 percent, or \$46.5 million, of the Department of Economic Development's \$52.1 million Budget in 2000-01. The balance of the Department's Executive Budget will be supported by revenues raised through licensing of the "I ♪ NY" logo, sale of advertising in the "I ♪ NY" Travel Guide, and through seminars and programs run by the Department which directly offset the costs of these programs. Also included are Federal dollars used to help defense-dependent industries diversify into new markets, support recycling market development and to help small business comply with Clean Air Act mandates.

The 2000-01 Budget provides:

- \$1.7 million for the Empire State Technology Employment Incentive Program;
- \$11 million for "I ♪ NY" tourism advertising;
- \$4.8 million for local tourism matching grants;
- \$4.5 million for marketing and promoting the State's favorable business climate;
- \$177,000 for the Adirondack North Country Association;
- \$600,000 for economic development projects in the Catskill watershed;
- \$3.1 million for local administration of Economic Development Zones, which includes funding for six new Zones that will be designated in 2000;

ECONOMIC DEVELOPMENT

- \$165,000 to continue State efforts to ensure a complete count of New York State's population in the 2000 Federal Census; and
- \$1.5 million to attract international trade to New York State, and increase export sales to foreign countries.
- \$1 million for the New York State Technology Enterprise Corporation to commercialize technologies developed at the United States Air Force Rome Laboratory and \$300,000 for the Griffiss Local Development Corporation to redevelop the former Griffiss Air Force Base. This funding represents the final year of State support for these organizations.

PROGRAM HIGHLIGHTS

TECHNOLOGY EMPLOYMENT INCENTIVE PROGRAM

This new program will address the shortage of highly skilled employees facing New York State's high technology companies. In order to encourage graduates of the State's leading engineering, computer science and applied science programs to make their careers in New York, this program will enable employers in targeted industries to offer prospective employees cash incentives worth \$3,400 annually for four years.

MARKETING AND ADVERTISING

This program promotes New York State as a premier tourist destination and business location. Major activities include the "I 1 NY" advertising campaign and local tourism matching grants administered through locally based tourism promotion agencies representing the State's 62 counties. The Department of Economic Development also manages tourist information services at the Beekmantown and Binghamton Gateway Centers, develops the State's tourism master plan, targets information to consumers and the travel trade, participates in national and international trade shows, provides technical assistance to tour directors, and creates publications for use by the Department and the other economic development agencies.

INTERNATIONAL

The International Trade program promotes exports from, and attracts foreign investment to, New York State. Based in New York City, this program manages the Department's international offices in Montreal, Toronto, London, and Tokyo, and contractual presences in Frankfurt, Jerusalem, Mexico City, Chile, Brazil, Argentina and South Africa. The program also coordinates State participation in trade shows and missions, compiles and disseminates trade leads, and administers grants and seminars designed to encourage increased exporting.

ECONOMIC DEVELOPMENT ZONES

The Economic Development Zones program benefits distressed areas suffering from high unemployment. Businesses located in a designated Zone may qualify for tax incentives and other economic development benefits designed to encourage business expansion and job creation. Currently, there are 52 Economic Development Zones statewide that were selected on a competitive basis. Zones are located in the following communities: Albany, Amsterdam, Auburn, Binghamton, Brookhaven,

ECONOMIC DEVELOPMENT

Brooklyn Navy Yard, Buffalo, Dunkirk, East New York, East Harlem, Elmira, Friendship, Fulton, Geneva, Gloversville, Griffiss Air Force Base, Hancock Air Force Base, Hunts Point, Islip, Jamestown, Kingston, Kirkwood, Lackawanna, Lowville/Martinsburg, Moriah/Port Henry, Niagara Falls, North Shore/Staten Island, Norwich, Ogdensburg, Olean/Allegany, Oswego, Plattsburgh, Plattsburgh Air Force Base, Port Morris, Potsdam, Poughkeepsie, Riverhead, Rochester, Rockaway, Rome, Schenectady, South Jamaica, Seneca Army Depot, Stewart

ECONOMIC DEVELOPMENT

Air Force Base, Sunset Park/Red Hook/Southwest Brooklyn, Syracuse, Tioga County, Troy, Utica, Watertown, Watervliet Arsenal, and Yonkers. In addition, legislation enacted in 1999 will result in the establishment of six new zones in 2000.

BUSINESS ASSISTANCE PROGRAMS

To improve the competitiveness of New York State companies, the Department of Economic Development provides assistance to businesses for productivity assessments, business-specific skills training for new and existing workers and third-party technical assistance to develop strategies for expanding export markets.

SMALL BUSINESS ASSISTANCE

The Division for Small Business serves as an ombudsman for small business and also offers these enterprises training and technical assistance. In addition, the Department provides State and Federal procurement assistance to small business. The Division also operates the Clean Air Act Ombudsman Unit, which helps small business comply with these environmental regulations.

LINKED DEPOSIT PROGRAM

This joint public/private program enables companies to obtain loans from commercial banks at an interest rate that is 2 percent to 3 percent lower than the prevailing rate. The banks are compensated by deposits of State funds earning interest at comparably reduced rates. In 1999, legislation was enacted to increase funding available for this program by \$50 million, increasing the program from \$150 million to \$200 million.

RECYCLING MARKET DEVELOPMENT PROGRAM

The Department of Economic Development is the lead agency in developing New York's recycling industries and creating programs to help municipalities and businesses develop uses for secondary materials.

MINORITY AND WOMEN'S BUSINESS DEVELOPMENT

The Division of Minority and Women's Business Development was established to increase the participation of minority- and women-owned businesses in State procurement opportunities. The Division identifies and certifies minority- and women-owned business enterprises; publishes a directory of certified firms to market small businesses to public and private sector organizations; and provides technical assistance to minority- and women-owned businesses.

POLICY AND RESEARCH

This joint Empire State Development Corporation/Department of Economic Development division develops the annual State strategic plan for economic development; collects and disseminates economic and demographic information; performs policy analysis and economic research; monitors and intervenes in State regulatory activities affecting energy supply, telecommunications, transportation, environmental facilities and commercial/industrial site and facility development; and coordinates the development and review of State economic development programs.

ECONOMIC DEVELOPMENT

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$40,355,700	\$40,078,800	-\$276,900	\$10,947,000
Aid To Localities	21,840,235	12,033,600	-9,806,635	12,240,000
Capital Projects
Total	\$62,195,935	\$52,112,400	-\$10,083,535	\$23,187,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund	51	50	50	-1
Special Revenue Funds - Other	8	8	8
Clean Air					
Special Revenue Funds - Other	5	5	5
Economic Development					
General Fund	144	144	144
Special Revenue Funds - Other	4	4	4
Marketing and Advertising Program					
General Fund	42	41	41	-1
Special Revenue Funds - Other	1	1	1
Science & Technology - Administration					
General Fund	8	-8
Total	263	253	253	-10

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$34,695,800	\$34,418,900	-\$276,900
Special Revenue Funds - Federal	1,000,000	1,000,000
Special Revenue Funds - Other	4,659,900	4,659,900
Total	\$40,355,700	\$40,078,800	-\$276,900

ECONOMIC DEVELOPMENT

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$3,014,000	\$3,014,000
Special Revenue Funds - Other	1,739,900	1,739,900
Clean Air			
Special Revenue Funds - Other	500,000	500,000
Economic Development			
General Fund	12,666,800	13,166,800	+ \$500,000
Special Revenue Funds - Federal	1,000,000	1,000,000
Special Revenue Funds - Other	1,420,000	1,420,000
Marketing and Advertising Program			
General Fund	18,420,100	18,238,100	-182,000
Special Revenue Funds - Other	1,000,000	1,000,000
Science & Technology - Administration			
General Fund	594,900	-594,900
Total	<u>\$40,355,700</u>	<u>\$40,078,800</u>	<u>-\$276,900</u>

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$2,070,000	\$2,041,800	\$28,200
Economic Development	7,830,000	+ \$285,000	7,799,000	+ \$285,000	31,000
Marketing and Advertising Program	1,736,900	1,722,400	14,500
Science & Technology - Administration	-320,900	-320,900
Total	<u>\$11,636,900</u>	<u>-\$35,900</u>	<u>\$11,563,200</u>	<u>-\$35,900</u>	<u>\$73,700</u>	<u>. . . .</u>

ECONOMIC DEVELOPMENT

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$944,000	\$110,000
Economic Development	5,336,800	+ \$215,000	135,000	+ \$4,000
Marketing and Advertising Program	16,501,200	-182,000	31,000
Science & Technology - Administration	-274,000	-8,000
Total	\$22,782,000	-\$241,000	\$276,000	-\$4,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$42,000	\$749,000
Economic Development	291,000	+ \$17,000	3,284,000	+ \$192,000
Marketing and Advertising Program	39,400	750,000
Science & Technology - Administration	-19,000	-244,000
Total	\$372,400	-\$2,000	\$4,783,000	-\$52,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$43,000
Economic Development	155,000	+ \$2,000	\$1,471,800
Marketing and Advertising Program	800	15,680,000	-\$182,000
Science & Technology - Administration	-3,000
Total	\$198,800	-\$1,000	\$17,151,800	-\$182,000

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$1,739,900	\$487,100
Clean Air	500,000	195,000
Economic Development	2,420,000	130,000
Marketing and Advertising Program	1,000,000	70,000
Total	\$5,659,900	\$882,100

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$1,252,800
Clean Air	305,000
Economic Development	2,220,000	\$70,000
Marketing and Advertising Program	930,000
Total	\$4,707,800	\$70,000

ECONOMIC DEVELOPMENT

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund	\$21,840,235	\$12,033,600	-\$9,806,635
Total	\$21,840,235	<u>\$12,033,600</u>	<u>-\$9,806,635</u>
Adjustments:			
Transfer(s) To			
Science, Technology and Academic Research, Office of Special Revenue Funds - Federal	+ 5,000,000		
Appropriated 1999-00	<u>\$26,840,235</u>		

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Economic Development			
General Fund	\$6,602,000	\$6,857,000	+ \$255,000
Marketing and Advertising Program			
General Fund	6,676,600	5,176,600	-1,500,000
Community Projects			
General Fund	8,561,635	-8,561,635
Total	<u>\$21,840,235</u>	<u>\$12,033,600</u>	<u>-\$9,806,635</u>

EMPIRE STATE DEVELOPMENT CORPORATION

MISSION

The Empire State Development Corporation (ESDC) — formerly the Urban Development Corporation (UDC) — is a New York State public benefit corporation. It engages in four principal activities: economic and real estate development; State facility financing; housing portfolio maintenance; and privatization initiatives.

ECONOMIC AND REAL ESTATE DEVELOPMENT

The Corporation provides financial and technical assistance to businesses, local governments and community-based not-for-profit corporations for economic development and large-scale real estate projects that create and/or retain jobs and reinvigorate distressed areas.

STATE FACILITY FINANCING

The Empire State Development Corporation issues bonds to finance the construction and modernization of correctional facilities and other special projects for the State. Debt service on these bonds is paid from appropriations by the State.

HOUSING PORTFOLIO MAINTENANCE

In the early 1970's, the Urban Development Corporation built 113 large-scale housing developments for low- to middle-income persons. The Corporation also built non-residential civic and industrial properties, including the Niagara Falls Convention Center, the Wards Island Fire Training Center, the Monroe County Fairgrounds, the Ten Eyck Plaza in Albany, and public school facilities in Buffalo, Manhattan, the Bronx, and Brooklyn. Since the mid-1970's, activity in this area has been limited to the monitoring and loan servicing of projects.

PRIVATIZATION INITIATIVES

The Corporation is charged with facilitating efforts by State agencies and authorities to privatize State functions and assets.

ORGANIZATION AND STAFFING

State economic development programs are administered by the Empire State Development Corporation working in conjunction with the Department of Economic Development. The Corporation and Department are distinct entities, but both are headed by the Commissioner of Economic Development and share senior managers. In addition, the Corporation and Department will work closely with the New York Office of Science, Technology and Academic Research (NYSTAR), which was established in 1999 to foster technology-related job creation. The Corporation will have a workforce of 311 in 2000-01. From the Corporation's central office in New York City, a Chief Operating Officer is responsible for day-to-day operations. The Corporation and Department of Economic Development share ten regional offices.

EMPIRE STATE DEVELOPMENT CORPORATION

OVERSIGHT

The Corporation is governed by a nine-member Board of Directors comprising two ex-officio members and seven members appointed by the Governor with the consent of the Senate. The Chair of the Empire State Development Corporation Board is selected by the Governor and also serves as the Commissioner of Economic Development. Board members serve without compensation.

SUBSIDIARIES

The Corporation's Board of Directors is authorized to create subsidiaries to manage specific projects or economic development activities. Subsidiaries have been established to: (1) formulate policies and initiatives to promote economic growth in Harlem; (2) redevelop Times Square, including the condemnation and acquisition of blighted properties and recruitment of prospective tenants; (3) plan and oversee a mixed-use development on 74.5 acres on the East River in Queens County; and (4) redevelop the U.S. Postal Service facility known as the Farley Building in connection with the New York City Amtrak Train Station Redevelopment project.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

- The Empire State Development Corporation's activities are funded from State General Fund appropriations and corporate revenues generated by Corporation-owned residential and non-residential properties and by its financing programs. The Corporation's operating budget will be entirely supported by corporate revenues in 2000-01.
- The Executive Budget will provide \$112 million in support for economic development initiatives, including: the JOBS NOW program for large-scale projects which will create new jobs; the Empire State Economic Development Fund for projects that create or retain jobs; the Biotechnology Industry Growth Fund for small and start-up biotechnology companies; the Urban and Community Development and Minority- and Women-Owned Business Development and Lending programs; military base re-use and retention initiatives; and projects in the Upper Manhattan/South Bronx Empowerment Zone.

PROGRAM HIGHLIGHTS

The Empire State Development Corporation administers economic development programs which:

- Provide low-cost loans and grants to businesses to help cover the cost of machinery and equipment purchases, factory improvements, training and business incubator development;
- Provide financial assistance for projects ranging from development of or improvements to commercial or retail facilities, tourism destinations, child care facilities, and commercial centers;
- Assist minority- and women-owned businesses, including programs administered in cooperation with local development organizations and community-based financial institutions;
- Provide funding for the economic development initiatives in distressed urban communities; and
- Provide funding for military base retention and redevelopment efforts.

EMPIRE STATE DEVELOPMENT CORPORATION

ALL FUNDS APPROPRIATIONS

<u>Category</u>	<u>Available 1999-00</u>	<u>Appropriations Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations Recommended 2000-01</u>
State Operations
Aid To Localities	\$182,760,100	\$112,004,100	-\$70,756,000	\$102,800,000
Capital Projects	425,000,000
Total	<u>\$182,760,100</u>	<u>\$112,004,100</u>	<u>-\$70,756,000</u>	<u>\$527,800,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund	\$182,760,100	\$112,004,100	-\$70,756,000
Total	<u>\$182,760,100</u>	<u>\$112,004,100</u>	<u>-\$70,756,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Economic Development			
General Fund	\$156,200,000	\$112,000,000	-\$44,200,000
Payments to Municipalities			
General Fund	4,100	4,100
Community Projects			
General Fund	26,556,000	-26,556,000
Total	<u>\$182,760,100</u>	<u>\$112,004,100</u>	<u>-\$70,756,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Comprehensive Construction Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations 2000-01</u>
Regional Development				
Community Enhancement Facilities Assistance Fund	\$425,000,000
Total	<u>.....</u>	<u>.....</u>	<u>.....</u>	<u>\$425,000,000</u>

ENERGY RESEARCH AND DEVELOPMENT AUTHORITY

MISSION

The Energy Research and Development Authority was established in 1975 to develop and implement new energy technologies, focusing on renewable energy sources and energy conservation.

As part of its central mission, the Authority manages energy research, development and demonstration programs which are funded by assessments on gas and electric utilities. The Authority's programs strengthen New York's economic base by nurturing the growth of new products and industries and helping businesses reduce their costs. Projects are selected on a competitive basis to promote applied research on State energy problems. The Energy Research and Development Authority administers Federal grant programs which help businesses, schools and hospitals implement energy efficiency measures. It also issues tax-exempt bonds on behalf of investor-owned utilities for capital improvements. The Authority also administers the System Benefits Charge, intended to fund energy programs for the public during the transition to a fully competitive energy market. Programs will focus on low-income consumers, energy efficiency, research, development and environmental protection.

The Authority also manages the former nuclear fuel reprocessing plant at West Valley in Cattaraugus County and the Malta Rocket Fuel Area Superfund Site in Saratoga County.

ORGANIZATION AND STAFFING

The Energy Research and Development Authority is headed by a 13-member board, consisting of nine members nominated by the Governor with the consent of the Senate and four ex-officio members: the commissioners of the departments of Transportation and Environmental Conservation and the chairs of the Public Service Commission and the Power Authority of the State of New York. All board members serve without compensation.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Energy Research and Development Authority is partially funded by assessments on State public utility gross intrastate operating receipts. The 2000-01 Budget recommends approximately \$14.7 million in appropriations for the Authority's energy, research and development programs and \$13.9 million for ongoing work at West Valley. The Authority will also continue to administer the Federal Petroleum Overcharge Recovery Program.

ENERGY RESEARCH AND DEVELOPMENT

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$18,406,000	\$16,156,000	-\$2,250,000	\$1,445,000
Aid To Localities
Capital Projects	12,617,000	14,017,000	+ 1,400,000
Total	<u>\$31,023,000</u>	<u>\$30,173,000</u>	<u>-\$850,000</u>	<u>\$1,445,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
Special Revenue Funds - Federal	\$3,000,000	\$1,500,000	-\$1,500,000
Special Revenue Funds - Other	15,406,000	14,656,000	-750,000
Total	<u>\$18,406,000</u>	<u>\$16,156,000</u>	<u>-\$2,250,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Planning and Operation			
Special Revenue Funds - Federal	\$3,000,000	\$1,500,000	-\$1,500,000
Research, Development and Demonstration			
Special Revenue Funds - Other	15,406,000	14,656,000	-750,000
Total	<u>\$18,406,000</u>	<u>\$16,156,000</u>	<u>-\$2,250,000</u>

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Planning and Operation	\$1,500,000	-\$1,500,000	\$1,500,000	-\$1,500,000
Research, Development and Demonstration	14,656,000	-750,000	14,656,000	-750,000
Total	<u>\$16,156,000</u>	<u>-\$2,250,000</u>	<u>\$16,156,000</u>	<u>-\$2,250,000</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Comprehensive Construction Program				
Western New York Nuclear Service Center Program				
Capital Projects Fund	\$12,500,000	\$13,900,000	+ \$1,400,000
Clean Water - Clean Air Implementation				
Clean Water Clean Air Implementation Fund	117,000	117,000
Total	<u>\$12,617,000</u>	<u>\$14,017,000</u>	<u>+ \$1,400,000</u>	<u>.....</u>

DEPARTMENT OF ENVIRONMENTAL CONSERVATION

MISSION

The Department of Environmental Conservation is responsible for conserving, improving and protecting the State's natural resources and environment. The Department also works to control water, land and air pollution in order to enhance the health, safety and welfare of all New Yorkers. In addition, the Department plays a major role in the implementation of the \$1.75 billion Clean Water/Clean Air Bond Act, which was overwhelmingly approved by the voters in 1996.

ORGANIZATION AND STAFFING

The Department is headed by a Commissioner appointed by the Governor. The Department's Central Office is in Albany, with regional offices in Buffalo, Watertown, Avon, Syracuse, Long Island City, Ray Brook, Schenectady, New Paltz and Stony Brook. These regional offices are the operational arms of the Department. They review and issue environmental permits for activities regulated by the Department such as the operation of landfills and sewage treatment plants. In addition, these offices assure compliance with State and Federal environmental statutes, consistent with policy and management direction from program divisions in the Central Office.

The Department will have a workforce of 3,904 in fiscal year 2000-01. Approximately 38 percent of these positions are paid by State tax dollars from the General Fund; 47 percent are supported by State-imposed fees and other revenues and the remaining 15 percent are financed by Federal grants.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2000-01 Executive Budget recommends funding of more than \$964 million for the Department of Environmental Conservation, including \$121 million in General Fund support. This recommendation will support the Department's core mission and ensure funding for ongoing priority projects.

General Fund appropriations will finance 25 percent of the Department of Environmental Conservation's operations in 2000-01. Fees and license revenues will support 54 percent, including the major permitting functions, the hazardous substances bulk storage and oil spill programs, and the hazardous waste remedial and enforcement programs. Federal funds will support the remaining 21 percent of the Department's budget.

The Environmental Protection Fund (EPF), established in 1993, will continue to provide dedicated resources of \$125 million to support environmental programs, including open space protection, local landfill closure and recycling programs, municipal park and waterfront revitalization projects, and farmland preservation and non-point source pollution control projects. The Fund is supported by revenues from the sale/lease of State property (\$5 million), the real estate transfer tax (\$112 million), and other revenues (\$8.0 million). The voter-approved Clean Water/Clean Air Bond Act authorizes \$1.75 billion for critical environmental programs in the following categories: Safe Drinking Water Program (\$355 million), Clean Water Program (\$790 million), Environmental Restoration Projects (\$200 million), Solid Waste Projects (\$175 million) and Air Quality Projects (\$230 million).

The Clean Air Fund, first established in 1993-94, will continue to provide the resources needed to meet the State's obligations under the Federal Clean Air Act to control stationary and mobile sources of air pollution. The Fund is supported

ENVIRONMENTAL CONSERVATION

by vehicle emission inspection fees and fees on regulated pollutants emitted by factories, power plants and other stationary source facilities.

General Fund appropriations will support the preservation and maintenance of the State's dams, bridges, regional facilities, maintenance centers, and the 20 percent match required for Federal grants provided through the State Revolving Fund, which provides low-cost loans to municipalities for water pollution control projects. Since 1992-93, the cost of the State match has been reimbursed by proceeds from the sale of bonds issued by the Environmental Facilities Corporation. Principal and interest on the bonds are paid from State taxes.

The 2000-01 Executive Budget provides more than \$964 million to support the Department's critical environmental, resource management and recreation programs. Included is:

- \$162 million from the Clean Water/Clean Air Bond Act for priority projects to restore brownfields, improve our air and water resources and support local landfill closure/recycling efforts. With the \$60 million included in the Department of Health and the \$1.1 billion available from appropriations made from 1996-97 through 1999-2000, over \$1.3 billion will be provided for Bond Act projects through 2000-01.
- \$125 million in new funding from the Environmental Protection Fund (EPF) to provide resources to address such high priority programs as New York City Watershed land purchases, continued implementation of the Hudson River Estuary Management Plan, stewardship projects at State parks and lands and development of the Hudson River Park. Other projects funded by the EPF in 2000-01 will include: land acquisition; landfill closure; local waste reduction/recycling and marketing of recycled materials; municipal park grants; local waterfront revitalization projects; non-point source water pollution control projects; farmland protection; the development and operation of the Pesticide Sales and Use Database as well as breast cancer/environmental risks studies at Cornell University; the State's Zoos, Botanical Gardens and Aquaria program; and the Albany Pine Bush Commission, biodiversity stewardship, and Long Island Pine Barrens/South Shore Estuary Reserve planning. Recommendations also reflect EPF funding for several Interstate commissions: the Interstate Sanitation (\$315,000), the Delaware River Basin (\$485,000), the Susquehanna River Basin (\$200,000), the Ohio River Valley Water Sanitation (\$12,500), the Great Lakes Basin (\$45,000), and the New England Interstate Water Pollution Control (\$26,320). Additionally, EPF funding of \$3.3 million partially reimburses local governments for property tax revenue losses due to forest property tax exemptions.
- \$4.6 million to implement the New York City Watershed Agreement — an increase of \$3 million over 1999-2000 levels. These funds will support State enforcement and monitoring efforts in the Watershed and the provision of technical assistance to participating Watershed communities.
- \$450.8 million in reappropriated funds from the 1986 Environmental Quality Bond Act for the cleanup of inactive hazardous waste sites and municipal landfill closures.
- \$260.3 million in new State and Federal funds for the State Revolving Fund low-interest loan program to build and rehabilitate municipal sewage treatment facilities.
- \$38.6 million to support the programs of the Conservation Fund. In 2000-01, an increase in licensing fees will be required to meet continuing program needs.
- \$27.3 million in new funding for basic capital infrastructure projects to ensure health, safety and compliance with State and Federal laws and environmental requirements.
- \$27.9 million in non-General Fund support for the State's Clean Air programs. New programs began in 1997-98 to control pollution from automobiles and

- to require permits for major stationary sources of air pollution and in 1999-2000, to control air pollution from heavy duty vehicles.
- \$373.4 million to support the operations of the Department, including a workforce of 3,904.

PROGRAM HIGHLIGHTS

Under both State and Federal law, the Department carries out a wide range of environmental, regulatory, remedial, resource management, outdoor recreation and education programs. These include the protection and management of the State's air, water, mineral and energy resources, as well as the management of both solid and hazardous waste.

Since 1995-96, the Department has restructured its operations to eliminate redundant management layers and refocused its attention on those activities that present the greatest environmental risk. Additionally, the regulatory process for issuing environmental permits has been streamlined to simplify requirements while still ensuring that environmental standards are maintained and the State's natural resources are protected.

The Department's functions can be divided into the following categories: Natural Resources, Environmental Quality/Remediation and Environmental Enforcement and Regulation.

NATURAL RESOURCES

In addition to its responsibility to protect the State's fish, wildlife and marine resources and habitats, the Fish, Wildlife and Marine program also manages the operation of 12 fish hatcheries, 1 game farm and 260 boat launching and fishing access sites. The primary source of funding for this program is sporting license fees (approximately \$32 million annually) deposited to the Conservation Fund.

The Lands and Forests program manages the more than 4 million acres of State land under the Department's jurisdiction, including nearly 3 million acres of State-owned land within the Adirondack and Catskill parks. Revenues from the sale of forest products from State lands help offset program costs. The State Forest Ranger force is charged with firefighting, search and rescue and enforcing rules, regulations and laws on State land.

The Mineral Resources program regulates the State's oil and gas wells and oversees the mined land reclamation program. This program is focused on returning land used for mining to a productive use through technical and environmental reviews of new and existing mined lands.

The Department also operates 51 campgrounds and 23 day-use areas within the Adirondack and Catskill parks, as well as the Belleayre Mountain Ski Center. These recreational facilities serve approximately 2 million visitors annually, generating tourist trade to their host communities. Camping and skiing user fees are intended to fully support facility operations.

ENVIRONMENTAL QUALITY/REMEDATION

The 1988 Solid Waste Management Act established the State's policies for the management of solid waste, identifying reduction and recycling as the preferred options. The Act required municipalities to implement source separation programs and to prepare Local Solid Waste Management Plans. Also in 1988, the Department of Environmental Conservation established requirements for the construction and operation of solid waste management facilities, such as landfills. Local governments must meet those requirements before the Department will grant an operating permit. Since 1982, the Department has ordered the closing of over 450 landfills that posed an imminent threat to the environment.

ENVIRONMENTAL CONSERVATION

This has created a significant financial burden for municipalities which must bear the cost of landfill closure. The Clean Water/Clean Air Bond Act will provide additional resources to augment those provided from the 1986 Environmental Quality Bond Act (EQBA) and the Environmental Protection Fund to help municipalities defray these costs.

The 1990 amendments to the Federal Clean Air Act imposed sweeping new mandates on the State. While the cost of complying with the Act is significant, the cost of non-compliance would be equally severe. If the Federal Environmental Protection Agency determines that there is a deficiency in New York's clean air programs, then it must apply sanctions if the deficiency is not corrected within 18 months. Sanctions include the withholding of Federal highway funds and the requirement of a 2-for-1 air pollution emissions offset for new or modified sources of emissions in areas that do not meet Federal air quality standards. The sanction would result in a virtual ban on industrial expansion and would place New York at a severe disadvantage with other states.

New York has taken steps to implement a comprehensive stationary source air permit program, a small business assistance program and programs to reduce vehicular travel and inspect vehicle emission systems — all Federal requirements. In 1997-98, the State began implementing a decentralized vehicle emission testing system mandated by the Federal Clean Air Act. New York's system is more convenient and less costly to motorists than other Federally approved testing systems, while still reducing air pollution. This decentralized and simpler testing program allows motorists to visit their local automotive service stations to have emissions inspections performed. In 1999-2000, the new Heavy Duty Inspection and Maintenance Program began on a pilot basis and is supported by registration fees.

In addition, DEC will also initiate several other actions to aggressively address serious air pollution problems. New regulations will be developed to control the precursors to acid rain from sources within the State and reduce sulfur dioxide emissions by 50 percent beyond the requirements of the Clean Air Act. The aggressive nitrogen oxide control program for ozone, currently in effect only in the summer months, will be extended to an annual basis. DEC will also propose regulations to adopt the California law emission vehicle requirements for both light and medium duty vehicles.

The 1986 Environmental Quality Bond Act — commonly known as the State Superfund — made \$1.1 billion available for inactive hazardous waste cleanups, allowing the Department to embark on one of the most ambitious remedial programs in the nation. In response to New York's enforcement actions, violators have been required to commit more than \$2.6 billion for the investigation and remediation of identified inactive hazardous waste sites. This is in addition to the \$815 million spent from Bond Act funds to investigate and rededicate 902 toxic waste sites. Bond Act funds will be sufficient to support newly-identified critical activities through the end of the 2000-01 State Fiscal Year.

To maintain New York State's place as a national leader in protecting the environment, Governor Pataki has proposed legislation to refinance and improve the New York State Superfund program, providing \$138 million annually beginning in the 2001-02 State Fiscal Year for three cleanup programs — State Superfund, Voluntary Cleanup, and Oil Spill. This program will be funded equally by the State and industry. Additionally, the legislation provides for technical assistance grants to municipalities to identify and plan for redevelopment of brownfields and tax credits of up to \$41 million to encourage the redevelopment of abandoned industrial sites. The legislation maintains the most stringent environmental and public health standards in the nation, upholds the "polluters pay" principle and makes common sense reforms that will enable New York State to remove more contamination from the environment and return more sites to productive use safely and quickly.

ENVIRONMENTAL CONSERVATION

ENVIRONMENTAL ENFORCEMENT/REGULATION

An additional responsibility the Department of Environmental Conservation undertakes is to enforce and regulate a myriad of activities undertaken by businesses, local governments and individuals which can have an impact on the environment and the State's natural resources.

The Department carries out this responsibility through a variety of regulatory and permitting processes and through ongoing communication with those subject to environmental laws and regulations to ensure that such requirements are understood. The Department's Environmental Conservation Officers work closely with other staff to ensure that environmental laws and regulations are enforced.

Over the last three years, the Department has enhanced and improved its overall regulatory and enforcement capabilities, including the coordination of enforcement and inspection programs at each of its regional offices. The Department will continue to review its regulatory practices to streamline procedures and eliminate unnecessary requirements, while still ensuring that strict environmental standards are maintained.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$355,283,500	\$373,387,600	+ \$18,104,100	\$154,302,200
Aid To Localities	10,731,000	456,300	-10,274,700	4,850,000
Capital Projects	716,727,000	590,774,000	-125,953,000	4,437,430,000
Total	<u>\$1,082,741,500</u>	<u>\$964,617,900</u>	<u>-\$118,123,600</u>	<u>\$4,596,582,200</u>

ENVIRONMENTAL CONSERVATION

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

2000-01 Recommended Average Fill Level

Program	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	Change
Administration					
General Fund	122	121	121	-1
Special Revenue Funds - Federal	183	183	183
Special Revenue Funds - Other	18	18	18
Air and Water Quality Management					
General Fund	162	157	157	-5
Special Revenue Funds - Federal	208	208	208
Special Revenue Funds - Other	447	447	447
Clean Water/Clean Air Administration Program					
Capital Projects Funds - Other	95	95	95
Environmental Enforcement					
General Fund	226	226	226
Special Revenue Funds - Other	258	258	258
Fish, Wildlife and Marine Resources					
General Fund	95	95	95
Special Revenue Funds - Federal	91	91	91
Special Revenue Funds - Other	308	308	308
Forest and Land Resources					
General Fund	270	270	270
Special Revenue Funds - Federal	14	14	14
Special Revenue Funds - Other	122	122	122
Operations					
General Fund	392	388	388	-4
Special Revenue Funds - Other	71	71	71
Rehabilitation and Improvement					
Capital Projects Funds - Other	54	54	54
Solid and Hazardous Waste Management					
General Fund	190	189	189	-1
Special Revenue Funds - Federal	82	82	82
Special Revenue Funds - Other	507	507	507
Total	3,915	3,904	3,904	-11

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$93,813,100	\$93,969,600	+ \$156,500
Special Revenue Funds - Federal	65,747,000	79,729,400	+ 13,982,400
Special Revenue Funds - Other	195,723,400	199,658,600	+ 3,935,200
Internal Service Funds	30,000	+ 30,000
Total	\$355,283,500	\$373,387,600	+ \$18,104,100
Adjustments:			
Transfer(s) From			
Environmental Conservation, Department of Special Revenue Funds - Other	-1,753,900		
Transfer(s) To			
Environmental Conservation, Department of General Fund (Aid To Localities)	+ 226,600		
Special Revenue Funds - Federal	+ 1,753,900		
Environmental Facilities Corporation Special Revenue Funds - Other	+ 150,900		
Appropriated 1999-00	<u>\$355,661,000</u>		

ENVIRONMENTAL CONSERVATION

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$7,511,100	\$7,522,700	+ \$11,600
Special Revenue Funds - Federal	11,457,900	11,598,000	+ 140,100
Special Revenue Funds - Other	1,961,600	2,128,600	+ 167,000
Internal Service Funds	30,000	+ 30,000
Air and Water Quality Management			
General Fund	10,198,900	10,221,200	+ 22,300
Special Revenue Funds - Federal	15,139,500	26,484,000	+ 11,344,500
Special Revenue Funds - Other	63,087,300	63,355,300	+ 268,000
Air Resources			
Special Revenue Funds - Federal	9,383,600	10,279,400	+ 895,800
Construction Management			
Special Revenue Funds - Federal	4,504,400	4,434,800	-69,600
ECO/Ranger Settlement			
General Fund	2,900,000	-2,900,000
Environmental Enforcement			
General Fund	15,165,500	18,238,400	+ 3,072,900
Special Revenue Funds - Other	24,508,200	24,502,500	-5,700
Fish, Wildlife and Marine Resources			
General Fund	6,226,100	7,357,900	+ 1,131,800
Special Revenue Funds - Federal	14,834,800	16,796,100	+ 1,961,300
Special Revenue Funds - Other	24,378,200	26,626,800	+ 2,248,600
Forest and Land Resources			
General Fund	14,666,400	14,582,500	-83,900
Special Revenue Funds - Federal	3,969,200	3,816,500	-152,700
Special Revenue Funds - Other	16,026,000	16,982,500	+ 956,500
Operations			
General Fund	26,479,200	25,381,400	-1,097,800
Special Revenue Funds - Other	12,170,800	12,192,000	+ 21,200
Solid and Hazardous Waste Management			
General Fund	10,665,900	10,665,500	-400
Special Revenue Funds - Federal	6,457,600	6,320,600	-137,000
Special Revenue Funds - Other	53,591,300	53,870,900	+ 279,600
Total	<u>\$355,283,500</u>	<u>\$373,387,600</u>	<u>+ \$18,104,100</u>

ENVIRONMENTAL CONSERVATION

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$6,157,900	+ \$11,600	\$5,882,100	+ \$11,300	\$242,500
Air and Water Quality Management	9,181,400	+ 22,300	9,077,900	+ 21,900	69,100
ECO/Ranger Settlement
Environmental Enforcement	13,147,800	+ 325,700	11,027,000	+ 304,700
Fish, Wildlife and Marine Resources . . .	3,964,200	-68,200	3,760,500	-68,500	176,400
Forest and Land Resources	13,173,500	-83,900	11,741,400	-92,700	541,900
Operations	15,457,700	-208,800	14,403,500	-209,600	968,100
Solid and Hazardous Waste Management	9,289,500	+ 74,600	9,099,300	+ 73,700	97,200
Total	\$70,372,000	+ \$73,300	\$64,991,700	+ \$40,800	\$2,095,200

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$33,300	+ \$300
Air and Water Quality Management	34,400	+ 400
ECO/Ranger Settlement
Environmental Enforcement	2,120,800	+ 21,000
Fish, Wildlife and Marine Resources . . .	27,300	+ 300
Forest and Land Resources	890,200	+ 8,800
Operations	86,100	+ 800
Solid and Hazardous Waste Management	93,000	+ 900
Total	\$3,285,100	+ \$32,500

ENVIRONMENTAL CONSERVATION

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$1,364,800	\$257,400
Air and Water Quality Management		1,039,800	270,000
ECO/Ranger Settlement	-\$2,900,000
Environmental Enforcement	5,090,600	+ 2,747,200	92,300
Fish, Wildlife and Marine Resources	3,393,700	+ 1,200,000	161,000
Forest and Land Resources	1,409,000	390,000
Operations	9,923,700	-889,000	949,400	+ \$71,200
Solid and Hazardous Waste Management	1,376,000	-75,000	3,500
Total	\$23,597,600	+ \$83,200	\$2,123,600	+ \$71,200

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$83,900	\$1,005,400
Air and Water Quality Management		174,300	436,400
ECO/Ranger Settlement
Environmental Enforcement	309,400	-\$100,000	32,200	-\$200,000
Fish, Wildlife and Marine Resources	273,200	994,000
Forest and Land Resources	601,100	316,300
Operations	1,115,900	+ 289,700	5,688,700	-2,749,900
Solid and Hazardous Waste Management	3,300	50,400
Total	\$2,561,100	+ \$189,700	\$8,523,400	-\$2,949,900

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$18,100
Air and Water Quality Management		159,100
ECO/Ranger Settlement	-\$2,900,000
Environmental Enforcement	20,500	\$4,636,200	+ 3,047,200
Fish, Wildlife and Marine Resources	75,500	1,890,000	+ 1,200,000
Forest and Land Resources	101,600
Operations	669,700	1,500,000	+ 1,500,000
Solid and Hazardous Waste Management	1,600	1,317,200	-75,000
Total	\$1,046,100	\$9,343,400	+ \$2,772,200

ENVIRONMENTAL CONSERVATION

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$13,756,600	+ \$337,100	\$8,690,900	+ \$105,400
Air and Water Quality Management + 1,570,700		89,839,300	+ 11,612,500	29,581,800
Air Resources	10,279,400	+ 895,800	4,900,000	-300,000
Construction Management	4,434,800	-69,600	2,434,000
Environmental Enforcement	24,502,500	-5,700	14,397,600	-100,500
Fish, Wildlife and Marine Resources	43,422,900	+ 4,209,900	19,824,100	+ 922,500
Forest and Land Resources	20,799,000	+ 803,800	11,714,700	+ 430,500
Operations	12,192,000	+ 21,200	2,491,100	-311,100
Solid and Hazardous Waste Management	60,191,500	+ 142,600	22,268,500	-240,200
Total	\$279,418,000	+ \$17,947,600	\$116,302,700	+ \$2,077,300

Program	Nonpersonal Service		Maintenance	Undistributed
	Amount	Change		
Administration	\$5,065,700	+ \$231,700
Air and Water Quality Management + \$125,200		34,640,500	+ 9,916,600	\$25,617,000
Air Resources	5,379,400	+ 1,195,800
Construction Management	2,000,800	-69,600
Environmental Enforcement	9,604,900	+ 94,800	500,000
Fish, Wildlife and Marine Resources	20,335,300	+ 1,484,900	3,263,500	+ 1,802,500
Forest and Land Resources	7,964,300	-26,700	1,120,000	+ 400,000
Operations	9,677,900	+ 332,300	23,000
Solid and Hazardous Waste Management	12,315,000	+ 223,900	25,608,000	+ 158,900
Total	\$106,983,800	+ \$13,383,700	\$56,131,500	+ \$2,486,600

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$10,731,000	\$456,300	-\$10,274,700
Total	\$10,731,000	\$456,300	-\$10,274,700
Adjustments:			
Transfer(s) From			
Environmental Conservation, Department of General Fund (State Operations)		-226,600	
Local Government Assistance General Fund		-450,000	
Appropriated 1999-00	\$10,054,400		

ENVIRONMENTAL CONSERVATION

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$531,300	\$6,300	-\$525,000
Air and Water Quality Management			
General Fund	753,100	-753,100
Fish, Wildlife and Marine Resources			
General Fund	200,000	-200,000
Solid and Hazardous Waste Management			
General Fund	450,000	450,000
Community Projects			
General Fund	8,796,600	-8,796,600
Total	\$10,731,000	\$456,300	-\$10,274,700

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Design and Construction Supervision				
Capital Projects Fund	\$14,000
Marine Resources				
Capital Projects Fund	183,000
Federal Capital Projects Fund	\$3,850,000	+ \$3,850,000	3,041,000
Air Resources - EQBA				
Capital Projects Fund - EQBA (Bondable)	21,875,000
Environmental Quality Bond Act Fund				
Environmental Quality Bond Act Fund - 1986	479,046,000
Air Resources - Clean Water/Clean Air				
Capital Projects Fund - 1996 CWA (Bondable)	26,000,000	+ 26,000,000
State Air Quality Projects				
Capital Projects Fund - EQBA (Bondable)	1,137,000
Air Quality Projects				
Clean Air Fund	2,563,000
Water Resources - PWBA				
Capital Projects Fund - PWBA (Bondable)	33,889,000
Solid Waste Management				
Capital Projects Fund	\$725,000	4,025,000	+ 3,300,000	725,000
Capital Projects Fund - Advances	2,475,000
Federal Capital Projects Fund	35,475,000
Environmental Protection and Enhancements				
Environmental Protection Fund	34,475,000	-34,475,000	34,475,000
Environment and Recreation				
Environmental Protection Fund	125,000,000	125,000,000	295,367,000
Fish and Wildlife				
Capital Projects Fund	500,000	1,200,000	+ 700,000	1,698,000
Federal Capital Projects Fund	900,000	2,000,000	+ 1,100,000	5,077,000
Hudson River Habitat Restor. Fund	351,000
Lands and Forests				
Capital Projects Fund	4,950,000	1,250,000	-3,700,000	17,631,000
Forest Preserve Expansion Fund	146,000
Water Resources - EQBA				
Capital Projects Fund - EQBA (Bondable)	9,900,000
Air Resources				
Capital Projects Fund	500,000	+ 500,000	4,810,000
Clean Air Fund	3,000,000	3,000,000	6,672,000
Administration				
Capital Projects Fund	500,000	500,000	1,626,000
Federal Capital Projects Fund	175,000
Enterprise Fund	175,000
Lands and Forests - EQBA				
Capital Projects Fund - EQBA (Bondable)	634,000
Environmental Quality Protection Bond Fund				
Environmental Quality Protection Bond Fund	76,567,000
Clean Water/Clean Air Bond Fund				
Clean Water - Clean Air Bond Fund	1,438,825,000

ENVIRONMENTAL CONSERVATION

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Pure Waters Bond Fund				
Pure Waters Bond Fund	33,911,000
Recreation				
Capital Projects Fund	650,000	150,000	-500,000	2,535,000
Solid Waste Management - EQBA				
Capital Projects Fund - EQBA (Bondable)	39,428,000
Operations				
Capital Projects Fund	9,550,000	10,900,000	+ 1,350,000	20,610,000
Financial Security Fund	1,982,000
Natural Resource Damages Fund	11,065,000
Water Resources				
Capital Projects Fund	30,634,000	52,183,000	+ 21,549,000	125,297,000
Capital Projects Fund - Advances	3,170,000	1,275,000	-1,895,000	16,980,000
Federal Capital Projects Fund	112,021,000	216,914,000	+ 104,893,000	382,394,000
Air Quality - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	37,000,000	-37,000,000	99,885,000
Clean Water - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	196,000,000	109,500,000	-86,500,000	471,170,000
Solid Waste - Clean Water/Clean Air 96				
Federal Capital Projects Fund	30,000,000	-30,000,000	30,000,000
Capital Projects Fund - 1996 CWA (Bondable)	14,000,000	16,500,000	+ 2,500,000	84,595,000
Environmental Restoration - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	10,000,000	10,000,000	86,477,000
Clean Water - Clean Air Implementation				
Clean Water Clean Air Implementation Fund	6,027,000	6,027,000	6,027,000
Solid and Hazardous Waste Management - EQBA 86				
Capital Projects Fund - EQBA 86 (Bondable)	37,625,000	-37,625,000	450,785,000
Lands and Forests - EQBA 86				
Capital Projects Fund - EQBA 86 (Bondable)	11,000
Solid and Hazardous Waste Management				
Capital Projects Fund	2,067,000
Capital Projects Fund - Advances	60,000,000	-60,000,000	97,659,000
Total	\$716,727,000	\$590,774,000	-\$125,953,000	\$4,437,430,000

ENVIRONMENTAL FACILITIES CORPORATION

MISSION

The Environmental Facilities Corporation is a public benefit corporation established to help local governments, state agencies and private industry comply with State and Federal environmental laws and regulations. The Corporation works with these entities to design, construct, operate and finance air pollution control, water and wastewater treatment, and solid and hazardous waste disposal facilities. The Environmental Facilities Corporation's largest program is the Clean Water State Revolving Fund, which provides low-interest rate loans to municipalities to construct water pollution control facilities. The Corporation, in conjunction with the New York State Department of Health, jointly administers the Drinking Water State Revolving Fund. Funding for these programs is provided by a Federal grant and a corresponding State match. The State match for the Drinking Water Revolving Fund is provided from the Clean Water/Clean Air Bond Act. Administration of these programs is also supported by fees and interest generated through program operations.

ORGANIZATION AND STAFFING

The Environmental Facilities Corporation is governed by a seven-member Board of Directors who serve without compensation: the Commissioner of Environmental Conservation who serves as the chairman, the Commissioner of Health, the Secretary of State, and four members nominated to six-year terms by the Governor with Senate confirmation.

The Corporation is operated by a President appointed by the Board of Directors and has a workforce of 118, including 14 staff of the Department of Environmental Conservation who are assigned administratively to the Corporation.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The operations of the Environmental Facilities Corporation are funded by:

- Financing fees and annual fees charged to municipalities that receive Revolving Fund loans and a portion of State and Federal grants made for the Clean Water and Drinking Water programs; and
- User fees paid by businesses, municipalities and industrial clients for the Corporation's technical and financial services.

For fiscal year 2000-01, a total of \$7,435,800 is recommended for operations of the Corporation. In addition, State funds are included in the Department of Environmental Conservation and Department of Health's capital budgets for the required match to Federal funding for the Clean Water State Revolving Fund and Drinking Water State Revolving Fund programs.

PROGRAM HIGHLIGHTS

The Environmental Facilities Corporation currently administers six major programs: the two State Revolving Funds, Industrial Finance Services, Technical Advisory Services, the Clean Water/Clean Air Bond Act's small business environmental compliance assistance programs, and the Pipeline for Jobs Program.

ENVIRONMENTAL FACILITIES

CLEAN WATER STATE REVOLVING LOAN FUND

This program was established in 1989 to help municipalities comply with the Federal Clean Water Act by providing low-interest rate loans to build water pollution control facilities. Since 1989, the program has received Federal and State appropriations totaling \$1.7 billion and \$345 million, respectively. The State Revolving Fund has made loans totaling \$4.8 billion to 334 municipalities across the State. Interest rates range from as low as zero percent to no more than two-thirds of the market rate. These low-interest rate loans substantially reduce the cost of water pollution control projects for municipal borrowers making environmental compliance and protection more attainable.

DRINKING WATER STATE REVOLVING LOAN FUND PROGRAM

In 1996, Congress enacted Federal authorizing legislation for the Drinking Water State Revolving Fund program. This program, modeled after the Clean Water State Revolving Fund program, provides low interest rate loans to community and private water systems to finance safe drinking water projects. In cases of financial hardship, funds are also available from the Clean Water/Clean Air Bond Act to make grants for the construction of drinking water facilities. The Drinking Water Program has made loans totaling \$435.5 million and grants totaling \$62 million to 110 recipients across the State. Federal funding provided for the Drinking Water State Revolving Fund requires a 20 percent State match. In 2000-01, the program is supported by \$245.9 million in Federal awards, requiring a State match of \$49.2 million. The State's share of the Drinking Water State Revolving Fund is provided from the Clean Water/Clean Air Bond Act.

INDUSTRIAL FINANCE PROGRAM

Since 1976, the Industrial Finance Program has provided more than \$942 million in low-interest rate loans and \$188 million in loan refinancing to businesses and State agencies for environmental improvement projects. Projects eligible for loans include solid waste management facilities, hazardous waste site remediation, and water supply and wastewater management facilities. Loans under the program are financed from the proceeds of special obligation bonds issued by the Corporation.

TECHNICAL ADVISORY SERVICES

The Technical Advisory Services Program advises businesses, industrial clients, State agencies and municipalities on pollution prevention, waste management and compliance with environmental laws and regulations. The Corporation also provides fund management and loan and grant servicing to its clients. The Environmental Facilities Corporation addresses issues such as air pollution control; treatment, storage and disposal of industrial hazardous waste; inactive hazardous waste site remediation; water and waste water management; and resource recovery and recycling. This program is funded through fees paid by Corporation clients who use the services.

FINANCIAL ASSISTANCE TO BUSINESS

The Clean Water/Clean Air Bond Act provides \$60 million to assist small businesses in achieving compliance with environmental laws and regulations pertaining to air and water quality. The air and water quality programs are funded at \$30 million each and are used to assist small businesses in targeted sectors to procure capital equipment necessary to prevent pollution and achieve environmental compliance.

ENVIRONMENTAL FACILITIES

The Environmental Facilities Corporation will work with village, town and city governments to identify businesses in need of assistance.

PIPELINE FOR JOBS

The Pipeline For Jobs Program was created in 1999 to provide low-cost loans and financial assistance to municipalities, public utilities, public benefit corporations and businesses. The purpose of the program is to encourage and support water supply and cold water supply improvement projects which provide an economic development benefit to the State. A total of \$22.5 million was authorized and appropriated for this program in 1999-00. EFC is beginning to implement this program by developing regulations with the departments of Health, Economic Development and Environmental Conservation. These regulations will define the roles of the various agencies in the selection of projects and the disbursements of funds.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$7,014,700	\$7,143,800	+ \$129,100
Aid To Localities	22,799,000	292,000	-22,507,000	\$22,500,000
Capital Projects	\$29,813,700	\$7,435,800	-\$22,377,900	\$22,500,000
Total	29,813,700	7,435,800	-22,377,900	22,500,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
Special Revenue Funds - Other	98	98	98
Clean Water/Clean Air Administration Program					
Capital Projects Funds - Other	6	6	6
Total	104	104	104

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
Special Revenue Funds - Other	\$7,014,700	\$7,143,800	+ \$129,100
Total	\$7,014,700	\$7,143,800	+ \$129,100
Adjustments:			
Transfer(s) From			
Environmental Conservation, Department of Special Revenue Funds - Other	-150,900		
Appropriated 1999-00	\$6,863,800		

ENVIRONMENTAL FACILITIES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
Special Revenue Funds - Other	\$7,014,700	\$7,143,800	+ \$129,100
Total	\$7,014,700	\$7,143,800	+ \$129,100

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$7,143,800	+ \$129,100	\$4,674,300	+ \$78,000
Total	\$7,143,800	+ \$129,100	\$4,674,300	+ \$78,000

Program	Nonpersonal Service	
	Amount	Change
Administration	\$2,469,500	+ \$51,100
Total	\$2,469,500	+ \$51,100

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Comprehensive Construction Program				
Pipeline for Jobs Program				
Capital Projects Fund	\$22,500,000	-\$22,500,000	\$22,500,000
Clean Water - Clean Air Implementation				
Clean Water Clean Air Implementation Fund	299,000	\$292,000	-7,000
Total	\$22,799,000	\$292,000	-\$22,507,000	\$22,500,000

DIVISION OF HOUSING AND COMMUNITY RENEWAL

MISSION

The Division of Housing and Community Renewal is responsible for the supervision, maintenance and development of affordable low- and moderate-income housing in New York State. The Division currently performs a number of activities in fulfillment of this mission, including:

- Oversight and regulation of the State's public and publicly assisted rental housing;
- Administration of the State's rent regulations; and
- Administration of housing development and community preservation programs, including State and Federal grants and loans to housing developers to finance construction or renovation of affordable housing.

ORGANIZATION AND STAFFING

Headed by a Commissioner, the Division of Housing and Community Renewal maintains three main offices and nine regional offices. Main offices in Albany and Manhattan are responsible for agency-wide administrative functions and the development and execution of the Division of Housing and Community Renewal's policies for its Community Development and Housing programs. The Division of Housing and Community Renewal's Rent Administration program is administered through the main office in Queens and local offices in rent regulated communities.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Division of Housing and Community Renewal's fee revenues come from the following sources: 1) mortgage servicing fees; 2) application and monitoring fees collected from developers of housing projects that are partially financed by Federal low-income tax credits; 3) payments by New York City to finance a portion of the Division's rent regulation activities; and 4) fees collected from the U.S. Department of Housing and Urban Development in connection with State administration of the Federal Section 8 rental subsidy program.

The Division of Housing and Community Renewal is the lead State agency for the development, construction and oversight of State-assisted housing. The majority of the State's housing construction and rehabilitation programs are supported by appropriations administered by three public benefit corporations: the Housing Finance Agency; the Affordable Housing Corporation; and the Housing Trust Fund Corporation. The Division of Housing and Community Renewal provides administrative support to the Affordable Housing Corporation and the Housing Trust Fund Corporation.

Executive Budget recommendations for the Division of Housing and Community Renewal total over \$237 million. The 2000-01 Budget will:

- Provide nearly \$8 million to administer the development of low-income housing and \$13.9 million to supervise the operation of publicly assisted housing, including the State-financed Mitchell-Lama portfolio;
- Continue the Division's administration of the federally funded Weatherization Assistance Program, providing grants to local not-for-profit groups and governments to assist low-income households in reducing their energy consumption and lowering their fuel bills; and

HOUSING AND COMMUNITY RENEWAL

- Assess New York City a total of \$29.5 million to offset the Division's costs for performing the City's rent administration activities.

PROGRAM HIGHLIGHTS

COMMUNITY DEVELOPMENT

Community Development staff provide staff support to the Housing Trust Fund Corporation and the Affordable Housing Corporation, which are public benefit corporations that provide State-funded loans and grants to for-profit and not-for-profit entities to develop housing for low-income families, tenants with special needs and the low-income elderly.

Community Development staff also administer the allocation of Federal low-income housing tax credits across the State. These tax credits promote the production of low-income rental housing projects by reducing the Federal tax liability of investors who finance the acquisition and construction of these projects.

HOUSING OVERSIGHT

The Housing Program oversees the management of State-assisted housing projects. On a project-by-project basis, Housing Program staff periodically review the financial and physical condition of:

- 245 housing developments constructed between 1957 and 1974 under the State's Mitchell-Lama housing laws and financed with State-guaranteed debt. These projects provide more than 100,000 dwelling units to low- and moderate-income families;
- 74 public housing projects constructed between 1941 and 1973 and financed with State General Obligation bonds that provide over 20,000 apartments for low-income families; and
- Approximately 900 low-income apartment projects partially financed by State or Federal capital funds.

In addition to its regulatory functions, the Housing Program directly administers approximately 3,500 Federal Section 8 program vouchers, that provide rental assistance to low-income tenants in New York State.

HOUSING CAPITAL PROGRAMS

There are two primary low- and moderate-income housing construction programs supported by State appropriations: the Housing Trust Fund Program and the Affordable Housing Corporation Program. This Budget includes a \$25 million appropriation and \$108 million in reappropriations for the Housing Trust Fund Program, which provides grants to finance construction or rehabilitation of low-income apartment buildings. The Affordable Housing Corporation will receive \$25 million in new funds and \$58.6 million in reappropriations to stimulate local economic growth and stabilize distressed communities across the State by providing grants of up to \$25,000 to first-time low- and moderate-income home buyers. At these funding levels, the two programs will be able to construct approximately 1,700 new housing units in the upcoming State fiscal year.

To support municipal housing authorities, this Budget also recommends that \$12.8 million in new funding and \$70.7 million in reappropriations be provided to continue repairs and renovations to the State's existing public housing stock. Finally, this Budget recommends the reauthorization of on-going funding from prior years for a number of programs, including: the Permanent Housing for Homeless Families Program, the Housing Project Repair Program and the Federal National Affordable Housing Act Program.

HOUSING AND COMMUNITY RENEWAL

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$61,005,000	\$75,743,000	+ \$14,738,000	\$7,336,000
Aid To Localities	99,243,858	95,154,000	-4,089,858	139,512,000
Capital Projects	80,700,000	66,200,000	-14,500,000	349,144,000
Total	\$240,948,858	\$237,097,000	-\$3,851,858	\$495,992,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund	79	79	79
Community Development					
General Fund	51	51	51
Special Revenue Funds - Federal	24	24	24
Special Revenue Funds - Other	7	7	7
Housing					
General Fund	54	54	54
Special Revenue Funds - Federal	40	40	40
Special Revenue Funds - Other	65	65	65
Housing Information Systems					
General Fund	68	68	68
Lead-Based Paint Abatement Program					
Special Revenue Funds - Federal	2	2	2
New Facilities					
Capital Projects Funds - Federal	41	41	41
Rent Administration					
General Fund	570	70	70	-500
Special Revenue Funds - Other	500	500	+ 500
Subtotal, Direct Funded Programs	1,001	1,001	1,001
Suballocations:					
General Fund	13			13
Special Revenue Funds - Federal	24			24
Capital Projects Funds - Other	14			14
Total	1,052			1,052

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$38,593,000	\$28,339,000	-\$10,254,000
Special Revenue Funds - Federal	5,743,000	7,300,000	+ 1,557,000
Special Revenue Funds - Other	16,669,000	40,104,000	+ 23,435,000
Total	\$61,005,000	\$75,743,000	+ \$14,738,000

HOUSING AND COMMUNITY RENEWAL

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$10,385,000	\$10,644,000	+ \$259,000
Community Development			
General Fund	3,696,000	3,770,000	+ 74,000
Special Revenue Funds - Federal	2,809,000	2,809,000
Special Revenue Funds - Other	426,000	1,426,000	+ 1,000,000
Housing			
General Fund	3,370,000	3,383,000	+ 13,000
Special Revenue Funds - Federal	2,934,000	4,491,000	+ 1,557,000
Special Revenue Funds - Other	5,593,000	6,078,000	+ 485,000
Housing Information Systems			
General Fund	5,536,000	6,142,000	+ 606,000
Rent Administration			
General Fund	15,606,000	4,400,000	-11,206,000
Special Revenue Funds - Other	10,650,000	32,600,000	+ 21,950,000
Total	\$61,005,000	\$75,743,000	+ \$14,738,000

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$3,865,000	+ \$65,000	\$3,865,000	+ \$107,000	-\$13,000
Community Development	3,572,000	+ 72,000	3,546,000	+ 73,000	\$20,000
Housing	3,236,000	+ 10,000	3,229,000	+ 10,000
Housing Information Systems	3,546,000	+ 46,000	3,529,000	+ 46,000
Rent Administration	4,600,000	-19,410,000	4,600,000	-19,276,000	-120,000
Total	\$18,819,000	-\$19,217,000	\$18,769,000	-\$19,040,000	\$20,000	-\$133,000

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	-\$29,000
Community Development	\$6,000	-1,000
Housing	7,000
Housing Information Systems	17,000
Rent Administration	-14,000
Total	\$30,000	-\$44,000

HOUSING AND COMMUNITY RENEWAL

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$6,779,000	+ \$194,000	\$206,000	+ \$6,000
Community Development	198,000	+ 2,000	30,000	+ 1,000
Housing	147,000	+ 3,000	8,100	+ 100
Housing Information Systems	2,596,000	+ 560,000	164,000	+ 5,000
Rent Administration	400,000	-1,846,000	-273,000
Total	\$10,120,000	-\$1,087,000	\$408,100	-\$260,900

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$110,000	\$6,453,000	+ \$188,000
Community Development	135,000	33,000	+ 1,000
Housing	41,000	97,900	+ 2,900
Housing Information Systems	26,000	2,385,000	+ 555,000
Rent Administration	-\$52,000	-1,880,000
Total	\$312,000	-\$52,000	\$8,968,900	-\$1,133,100

Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration	\$10,000
Community Development
Housing
Housing Information Systems	21,000
Rent Administration	-\$41,000	\$400,000	+ \$400,000
Total	\$31,000	-\$41,000	\$400,000	+ \$400,000

STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Community Development	\$4,235,000	+ \$1,000,000	\$2,150,000
Housing	10,569,000	+ 2,042,000	6,212,000	+ \$360,000
Rent Administration	32,000,000	+ 32,000,000	22,000,000	+ 22,000,000
Total	\$46,804,000	+ \$35,042,000	\$30,362,000	+ \$22,360,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Community Development	\$1,085,000	\$1,000,000	+ \$1,000,000
Housing	2,857,000	+ \$182,000	1,500,000	+ 1,500,000
Rent Administration	10,000,000	+ 10,000,000
Total	\$13,942,000	+ \$10,182,000	\$2,500,000	+ \$2,500,000

HOUSING AND COMMUNITY RENEWAL

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$65,718,858	\$61,629,000	-\$4,089,858
Special Revenue Funds - Federal	23,525,000	23,525,000
Fiduciary Funds	10,000,000	10,000,000
Total	<u>\$99,243,858</u>	<u>\$95,154,000</u>	<u>-\$4,089,858</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Community Development			
Special Revenue Funds - Federal	\$10,425,000	\$10,425,000
Housing Development Fund Program			
Fiduciary Funds	10,000,000	10,000,000
HUD Section 8 New Construction			
Special Revenue Funds - Federal	13,100,000	13,100,000
Neighborhood Preservation			
General Fund	12,377,280	11,750,000	-\$627,280
Periodic Subsidies - Local Areas			
General Fund	24,486,000	23,286,000	-1,200,000
Public Housing Drug Elimination Program			
General Fund	500,000	-500,000
Rural Homeownership Assistance Program			
General Fund	340,910	-340,910
Rural Preservation			
General Fund	5,200,910	4,860,000	-340,910
Rural Rental Assistance			
General Fund	18,156,000	20,300,000	+ 2,144,000
Urban Homeownership Assistance Program			
General Fund	440,910	-440,910
Urban Renewal Periodic Subsidies			
General Fund	1,433,000	1,433,000
Community Projects			
General Fund	2,783,848	-2,783,848
Total	<u>\$99,243,858</u>	<u>\$95,154,000</u>	<u>-\$4,089,858</u>

HOUSING AND COMMUNITY RENEWAL

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Affordable Housing Corporation				
Housing Program Fund	\$28,500,000	\$25,000,000	-\$3,500,000	\$58,600,000
Housing Assistance Fund				
Housing Assistance Fund	17,933,000
Low Income Housing Trust Fund				
Housing Program Fund	29,000,000	25,000,000	-4,000,000	108,325,000
Maintenance and Improvements of Existing Facilities				
Housing Program Fund	4,500,000	-4,500,000	15,892,000
Housing Opportunity Program For Elderly				
Housing Program Fund	1,400,000	400,000	-1,000,000	1,800,000
Housing Program Capital Improvement				
Capital Projects Fund	19,720,000
State Housing Bond Fund				
State Housing Bond Fund	7,344,000
New Facilities				
Capital Projects Fund	3,400,000
Federal Capital Projects Fund	42,430,000
Public Housing Modernization Program				
Housing Program Fund	14,300,000	12,800,000	-1,500,000	70,700,000
Homes for Working Families Program				
Housing Program Fund	3,000,000	3,000,000	3,000,000
Total	<u>\$80,700,000</u>	<u>\$66,200,000</u>	<u>-\$14,500,000</u>	<u>\$349,144,000</u>

HOUSING FINANCE AGENCY

MISSION

The New York State Housing Finance Agency is a public benefit corporation created in 1960 to finance low- and moderate-income rental housing. The Agency issues taxable and tax-exempt bonds to provide mortgage loans to developers of mixed-income and affordable rental projects.

The Housing Finance Agency also plays a role in administering several housing programs supported by State and Federal appropriations. In 1990, the Agency's mission was expanded to include the issuance of bonds to reimburse the State for appropriated expenditures under the State's housing programs.

ORGANIZATION AND STAFFING

The Housing Finance Agency is governed by a Board of Directors consisting of seven members: the Commissioner of Housing and Community Renewal, the Director of the Budget, the Commissioner of Taxation and Finance and four members nominated by the Governor with the consent of the Senate. The Governor designates a Chairperson.

The Agency is located primarily in New York City and is managed by a President/Chief Executive Officer, who is appointed by the Board of Directors. Staff is organized into five departments: the President's Office, Multi-Family Finance, Debt Issuance, Finance and Operations and Legal Services.

The Housing Finance Agency is operated and administered jointly with the State of New York Mortgage Agency, but the two agencies are governed by separate Boards of Directors.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Agency receives no direct operating support from the State. Its operating budget is funded with fees and revenues the Agency generates through its financing activities.

It is projected that from 1995 through 1999, the Housing Finance Agency will have provided over \$1 billion in loans for multi-family rental housing.

A 2000-01 General Fund Aid to Localities appropriation of \$700,000 supports the Capital Grant/Low Rent Lease Subsidy program, providing rental subsidies for approximately 230 low- to moderate-income individuals.

PROGRAM HIGHLIGHTS

Since its inception in 1960, the Agency has provided financing of \$3.5 billion for 86,000 units of multi-family housing. Between January 1, 1995 and the close of the Agency's fiscal year on October 31, 1999, the Agency provided \$1.02 billion in mortgage loans that created rental housing for over 6,700 families. During the Agency's 1998-99 fiscal year, almost 2,100 units were financed with mortgage loans totaling over \$355 million.

HOUSING FINANCE

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations
Aid To Localities	\$760,000	\$700,000	-\$60,000
Capital Projects
Total	<u>\$760,000</u>	<u>\$700,000</u>	<u>-\$60,000</u>	<u>.....</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$760,000	\$700,000	-\$60,000
Total	<u>\$760,000</u>	<u>\$700,000</u>	<u>-\$60,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Capital Grant/Low Rent Lease General Fund	\$760,000	\$700,000	-\$60,000
Total	<u>\$760,000</u>	<u>\$700,000</u>	<u>-\$60,000</u>

STATE OF NEW YORK MORTGAGE AGENCY

MISSION

The State of New York Mortgage Agency is a public benefit corporation created in 1970 to increase the affordability of homeownership for low- to moderate-income residents of New York State. This is accomplished by the Agency's issuance of taxable and tax-exempt bonds and the use of proceeds to purchase low-interest rate mortgage loans. In 1978, the Agency's mission was expanded to include the issuance of mortgage insurance to promote the stabilization of neighborhoods throughout the State.

ORGANIZATION AND STAFFING

The Agency is overseen by a nine-member Board of Directors comprised of the Superintendent of Banks, the State Comptroller, the Director of the Budget, the Commissioner of Housing and Community Renewal and appointees of the Governor, the Temporary President of the Senate and the Speaker of the Assembly. Responsibility for operation of the Agency rests with the President/Chief Executive Officer, who also serves in this capacity for the Housing Finance Agency — the State's other major housing finance entity. The Agency is operated jointly with the Housing Finance Agency out of its central headquarters in New York City and from regional offices in Albany and Buffalo.

The State of New York Mortgage Agency has two program divisions. Its Single Family Mortgage Finance Division provides low-interest rate mortgages to low- and moderate-income first-time homebuyers (and for other eligible homebuyers in designated target areas) through the issuance of mortgage revenue bonds. The Agency uses a network of banking institutions to originate mortgages on its behalf.

The Mortgage Insurance Division provides insurance on mortgage loans for residential, mixed residential, commercial and community service-related properties throughout the State. This insurance is supported by the Mortgage Insurance Fund, which is funded by a surcharge on the Mortgage Recording Tax.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Agency receives no direct operating support from the State. Statute requires the State to guarantee certain obligations of the Agency. The Executive Budget recommends approximately \$173 million in appropriations in 2000-01 to satisfy this requirement, although no cash disbursements are projected to be made from this appropriation. All State of New York Mortgage Agency programs and operations are supported by Agency funds, consisting of mortgage income, application fees, insurance premiums and investment proceeds.

PROGRAM HIGHLIGHTS

Since its inception in 1970, the Agency's Single Family Division has provided nearly \$7.1 billion of affordable financing for over 115,000 homes in New York. Between January 1, 1995 and the close of the Agency's fiscal year on October 31, 1999, the Agency purchased \$2.1 billion in single-family mortgages — helping nearly 23,500 New Yorkers buy their first homes. During the Agency's 1998-99 fiscal year, over 5,800 loans were financed for approximately \$522 million in mortgage loan volume.

MORTGAGE AGENCY

The Mortgage Insurance Fund's portfolio of insurance liability now exceeds \$2.2 billion. In 1998-99, mortgage insurance provided by the Agency totaled \$135 million.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$76,800,000	\$76,800,000
Aid To Localities	80,702,000	95,981,000	+ \$15,279,000
Capital Projects
Total	\$157,502,000	\$172,781,000	+ \$15,279,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$76,800,000	\$76,800,000
Total	\$76,800,000	\$76,800,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Infrastructure Trust Fund Guarantee - SONYMA Homeowners Mortgage Revenues General Fund	\$61,800,000	\$61,800,000
SONYMA Mortgage Insurance Fund Restoration General Fund	15,000,000	15,000,000
Total	\$76,800,000	\$76,800,000

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Amount	Change	Maintenance Undistributed Amount	Change
Infrastructure Trust Fund Guarantee - SONYMA Homeowners Mortgage Revenues	\$61,800,000	\$61,800,000
SONYMA Mortgage Insurance Fund Restoration	15,000,000	15,000,000
Total	\$76,800,000	\$76,800,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$80,702,000	\$95,981,000	+ \$15,279,000
Total	\$80,702,000	\$95,981,000	+ \$15,279,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
SONYMA Mortgage Insurance Fund Restoration General Fund	<u>\$80,702,000</u>	<u>\$95,981,000</u>	<u>+ \$15,279,000</u>
Total	<u>\$80,702,000</u>	<u>\$95,981,000</u>	<u>+ \$15,279,000</u>

HUDSON RIVER PARK TRUST

MISSION

The Hudson River Park Trust (HRPT) is a public benefit corporation established in 1999 to design, develop, and maintain the 550 acre Hudson River Park, which will extend five miles along the Hudson River waterfront from Battery Park to 59th Street. The Trust is governed by a 13 member board: 5 members appointed by the Governor, 5 by the Mayor of New York City, and 3 by the Manhattan Borough President.

ORGANIZATION AND STAFFING

The Trust is headed by a President and Chief Executive Officer who is appointed by the Board. For 2000-01, the Trust will have a workforce of 24 permanent staff, 20 summer interns for seasonal programs, and four part-time interns.

FISCAL BACKGROUND

A recommended overall resource level of \$85 million in new appropriations will fund all Trust activities in 2000-01, including administrative functions as well as capital costs associated with the planning, design and construction of Park projects. Specifically, 2000-01 Budget recommendations provide \$13 million from the Hudson River Park Fund, which consists of revenues generated at the properties that comprise the Park. In addition, \$20 million is provided for Park development from the Environmental Protection Fund within the budget for the Department of Environmental Conservation. Finally, the Budget provides a State-funded advance appropriation of \$52 million, which will be repaid by New York City as part of its share of the Park's initial development costs.

PROGRAM HIGHLIGHTS

During 2000-01, the Trust will continue to refine the framework and scope of the Hudson River Park, finalize design contracts, develop detailed cost estimates, explore alternative sources of funding and continue to oversee capital projects to build the Park.

Category	ALL FUNDS APPROPRIATIONS			
	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations
Aid To Localities
Capital Projects	\$7,000,000	\$65,000,000	+ \$58,000,000	\$18,845,000
Total	\$7,000,000	\$65,000,000	+ \$58,000,000	\$18,845,000

HUDSON RIVER PARK TRUST

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Comprehensive Construction Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations 2000-01</u>
Regional Development				
Capital Projects Fund - Advances	\$52,000,000	+ \$52,000,000
Hudson River Park Fund	\$7,000,000	13,000,000	+ 6,000,000	\$18,845,000
Total	<u>\$7,000,000</u>	<u>\$65,000,000</u>	<u>+ \$58,000,000</u>	<u>\$18,845,000</u>

DIVISION OF THE LOTTERY

MISSION

In 1966, New Yorkers approved a constitutional amendment to authorize a State Lottery in support of education. The Division of the Lottery raises revenue through the sale and marketing of Lottery game tickets.

ORGANIZATION AND STAFFING

The Division of the Lottery is an independent unit of the Department of Taxation and Finance whose Commissioner appoints the Director of the Lottery. The Division maintains a central office in Schenectady, regional offices in Buffalo, Schenectady, Syracuse, New York City and Long Island, and a satellite office in Fishkill to serve the Hudson Valley. District sales representatives are stationed in the regional offices, and recruit and support point-of-sale agents, which include convenience stores, newsstands, supermarkets, restaurants and bowling alleys.

In 2000-01, Lottery will have a workforce of 346. District sales representatives reflect approximately 35 percent of this total.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Lottery games have raised more than \$16.6 billion in revenues for education since the Lottery's inception in 1967. In 1999-2000, the Division estimates gross sales of \$3.5 billion.

The Division's costs are supported entirely with Lottery sales revenues. The 2000-01 Executive Budget recommends \$110.6 million to support Lottery operations. By law, no more than 15 percent of gross Lottery sales may be used for administration, including sales agents' commissions which total 6 percent of gross sales. Historically, the Lottery Division's total administrative costs have been well below the statutory limit.

PROGRAM HIGHLIGHTS

Lottery games sold on the Division's on-line computer system include: Lotto, Pick 10, New York Numbers, Win 4, and Take Five. These games can be played from more than 14,100 locations across the State and offer players the opportunity to match their "picks" to televised drawings that take place nightly or twice weekly, depending on the game. Winning tickets up to \$600 can be validated and redeemed by customers at any location selling Lottery products.

The Lottery Division's Instant "Scratcher" cash games have contributed significantly to the growth of Lottery revenues. The Division will market up to 50 types of Instant Cash games during 2000-01.

Quick Draw, first introduced in 1995, is an electronic version of Pick 10, with winning numbers drawn by computer every five minutes, from 10 a.m. to 3 p.m. and 4 p.m. to 12 a.m. every day. Quick Draw is displayed at more than 3,000 authorized establishments statewide, such as restaurants and bowling alleys.

In 2000-01, legislation will be introduced authorizing the Lottery to participate in multi-state games such as Powerball. This legislation will provide New York residents with additional opportunities to participate in Lottery games.

In addition, the Executive Budget will establish the Leaders of Tomorrow Scholarship program, which will provide 1,300 New York State high school seniors

LOTTERY

with annual awards of \$1,000 for four years of undergraduate study at a college within New York State. In addition, the Lottery will continue support for "New York Expeditions", a television show based upon a year-long field trip by ten New York State high school students to historically significant places throughout New York. The show provides a video study guide for New York classrooms.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$108,709,400	\$110,617,400	+ \$1,908,000
Aid To Localities
Capital Projects
Total	<u>\$108,709,400</u>	<u>\$110,617,400</u>	<u>+ \$1,908,000</u>	<u>.....</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration of the Lottery					
Special Revenue Funds - Other	346	346	346
Total	<u>346</u>	<u>346</u>	<u>.....</u>	<u>346</u>	<u>.....</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
Special Revenue Funds - Other	\$108,709,400	\$110,617,400	+ \$1,908,000
Total	<u>\$108,709,400</u>	<u>\$110,617,400</u>	<u>+ \$1,908,000</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration of the Lottery			
Special Revenue Funds - Other	\$108,709,400	\$110,617,400	+ \$1,908,000
Total	<u>\$108,709,400</u>	<u>\$110,617,400</u>	<u>+ \$1,908,000</u>

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

<u>Program</u>	Total		Personal Service	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration of the Lottery . . .	\$110,617,400	+ \$1,908,000	\$14,190,500	+ \$224,400
Total	<u>\$110,617,400</u>	<u>+ \$1,908,000</u>	<u>\$14,190,500</u>	<u>+ \$224,400</u>

<u>Program</u>	Nonpersonal Service	
	<u>Amount</u>	<u>Change</u>
Administration of the Lottery . . .	\$96,426,900	+ \$1,683,600
Total	<u>\$96,426,900</u>	<u>+ \$1,683,600</u>

LOTTERY

METROPOLITAN TRANSPORTATION AUTHORITY

MISSION

The Metropolitan Transportation Authority is responsible for operating, maintaining and improving public transportation in the Metropolitan Commuter Transportation District consisting of New York City and Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk and Westchester counties.

The Authority oversees the operations of the bus and subway systems in New York City, commuter railroads in the region, and seven bridges and two tunnels in New York City. This oversight includes general policy direction and development of operating and capital programs.

The Authority is composed of three independent authorities: the Metropolitan Transportation Authority, the New York City Transit Authority and the Triborough Bridge and Tunnel Authority. The Metropolitan Transportation Authority has four statutory subsidiaries: Staten Island Rapid Transit Operating Authority, Long Island Rail Road, Metropolitan Suburban Bus Authority and Metro-North Commuter Railroad. The Transit Authority, which operates the New York City subway and bus systems, has one subsidiary, the Manhattan and Bronx Surface Transit Operating Authority.

ORGANIZATION AND STAFFING

Each of the three independent authorities is governed by its own Board, although by law membership on each Board is identical. There are 19 board members, 17 voting and 2 non-voting, each nominated by the Governor and confirmed by the Senate. Four members are nominated from a list provided by the Mayor of the City of New York and one each from lists prepared by the seven county executives in the Metropolitan Commuter Transportation District. The remaining six voting members are appointed directly by the Governor, with one serving as Board Chair.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The appropriation below represents only a portion of the more than \$1.3 billion subsidy provided by the State to the Metropolitan Transportation Authority each year, with the additional aid appropriated in the Department of Transportation's Aid to Localities budget.

PROGRAM HIGHLIGHTS

NEW YORK CITY TRANSIT AUTHORITY AND COMMUTER RAILROADS

The Metropolitan Transportation Authority provides 24 hour-a-day transit and commuter services in the New York City metropolitan region. Its subway system is the largest in the nation and one of the largest in the world. The New York City Transit Authority operates approximately 230 local and express bus routes throughout New York City. Complementing this service are additional bus routes in Nassau County provided by the Metropolitan Suburban Bus Authority. Approximately two billion passengers ride the subways and buses each year. Long Island Rail Road and Metro-North Commuter Railroad, the largest two commuter rail systems in the nation,

METROPOLITAN TRANSPORTATION

provide transportation for travelers entering New York City from outlying suburban areas in New York State and Connecticut.

TRIBOROUGH BRIDGE AND TUNNEL AUTHORITY

The Triborough Bridge and Tunnel Authority, the largest toll authority in the Nation, operates nine intra-city bridges and tunnels in New York City: Triborough Bridge, Bronx-Whitestone Bridge, Henry Hudson Bridge, Marine Parkway-Gil Hodges Bridge, Cross Bay Veterans' Memorial Bridge, Throgs Neck Bridge, Verrazano-Narrows Bridge, Queens-Midtown Tunnel and Brooklyn Battery Tunnel. It also provides financing for the Transit Authority and commuter railroads' capital programs. In addition, the Triborough Bridge and Tunnel Authority is required by law to transfer surplus revenues to the New York City Transit Authority and the commuter railroads to support their operations.

OTHER SUBSIDIARIES

The other subsidiaries — Staten Island Rapid Transit Operating Authority, Metropolitan Suburban Bus Authority, and Manhattan and Bronx Surface Transit Operating Authority — provide regional transportation services. Staten Island Rapid Transit operates transit services on Staten Island. The Manhattan and Bronx Surface Transit Operating Authority, in conjunction with the New York City Transit Authority, provides bus service within New York City, primarily to passengers traveling within a particular borough or as feeder service to the subway.

The Metropolitan Suburban Bus Authority (also known as MTA Long Island Bus) provides bus service to Nassau County, western Suffolk County and eastern Queens County, connecting these areas to the New York City Transit Authority subway stations. The Metropolitan Transportation Authority is responsible for the operation and general oversight of Long Island Bus. Nassau County is financially responsible for Long Island Bus' operating costs that are over and above those supported by fares and by Federal and State assistance.

ALL FUNDS APPROPRIATIONS

<u>Category</u>	<u>Available 1999-00</u>	<u>Appropriations Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations Recommended 2000-01</u>
State Operations
Aid To Localities	\$253,400,000	\$391,397,000	+ \$137,997,000
Capital Projects	\$36,000,000
Total	<u>\$253,400,000</u>	<u>\$391,397,000</u>	<u>+ \$137,997,000</u>	<u>\$36,000,000</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Special Revenue Funds - Other	\$253,400,000	\$391,397,000	+ \$137,997,000
Total	<u>\$253,400,000</u>	<u>\$391,397,000</u>	<u>+ \$137,997,000</u>

METROPOLITAN TRANSPORTATION

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Dedicated Tax			
Special Revenue Funds - Other	\$253,400,000	\$391,397,000	+ \$137,997,000
Total	\$253,400,000	\$391,397,000	+ \$137,997,000

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Mass Transportation and Rail Freight Metropolitan Transportation Authority Capital Projects Fund - Advances	\$36,000,000
Total	\$36,000,000

OLYMPIC REGIONAL DEVELOPMENT AUTHORITY

MISSION

In 1980, Lake Placid and the surrounding areas were host to the Winter Olympic Games. The following year, the Olympic Regional Development Authority was established to create and administer a post-Olympic program for the Lake Placid facilities. These facilities include: the Olympic Ice Center; the Olympic Speedskating Oval; the Whiteface Mountain Ski Area and Veterans' Memorial Highway; the Mt. Van Hoevenberg Complex that includes bobsled and luge runs, cross-country ski trails and a biathlon range; the Olympic Ski Jumping Complex; and the U.S. Olympic Training Center. In 1984, the Olympic Regional Development Authority's responsibility expanded to include the management of the Gore Mountain Ski Center in North Creek, Warren County.

ORGANIZATION AND STAFFING

- The Authority is governed by a ten-member Board of Directors, consisting of the commissioners of Economic Development, Environmental Conservation, Parks, and seven other members appointed by the Governor and confirmed by the Senate. The Governor selects one of the members as Chair. The Commissioner of Economic Development currently chairs the Authority. Board members serve without compensation.
- The agency has a workforce of 172 and employs up to 800 hourly workers, depending on the season.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 2000-01, State tax dollars from the General Fund will finance 31 percent, or \$7.6 million, of the Authority's \$24.2 million operating budget. The year-to-year change in State support reflects the phase out of funding for costs associated with the February 2000 Goodwill Games.

State funding supplements \$15.3 million in revenue generated from venue marketing, fees and ticket sales to athletic and other special events; \$600,000 from the Town of North Elba; \$400,000 from the United States Olympic Committee to cover the costs associated with use of Authority facilities; \$200,000 from the Winter Sports Education Trust Fund; and \$150,000 from the Olympic Training Center Account.

PROGRAM HIGHLIGHTS

OLYMPIC FACILITIES

The Olympic Regional Development Authority manages one of three primary sites (the others being in California and Colorado) for year-round training of America's Olympic athletes. In 1998-99, the Olympic facilities at Lake Placid received more than 245,000 visitors. The Authority hosts numerous national and international athletic and entertainment events — in 1999-2000, the first-ever Winter Goodwill Games, the ECAC Hockey Championships, the Empire State Winter Games, the World Cup Biathlon Competition, and the U.S. Ski Jumping 120 and 90 Meter

OLYMPIC REGIONAL DEVELOPMENT

Championships were held at Authority sites. In addition, the Authority has been the home of the Stars on Ice figure skating rehearsal and preview show since 1992.

SKI FACILITIES

The Authority also manages the Gore and Whiteface mountain ski centers; two major downhill ski facilities that received more than 240,000 visitors in the 1998-99 ski season, and over 125,000 visitors for off-season activities such as mountain biking and sightseeing tours. Efforts are underway to significantly upgrade the ski facilities at Gore and Whiteface with private capital and expertise.

ALL FUNDS APPROPRIATIONS

<u>Category</u>	<u>Available 1999-00</u>	<u>Appropriations Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations Recommended 2000-01</u>
State Operations	\$6,639,000	\$7,902,000	+ \$1,263,000
Aid To Localities
Capital Projects	8,300,000	-8,300,000
Total	\$14,939,000	\$7,902,000	-\$7,037,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund	\$6,289,000	\$7,552,000	+ \$1,263,000
Special Revenue Funds - Other	150,000	150,000
Fiduciary Funds	200,000	200,000
Total	\$6,639,000	\$7,902,000	+ \$1,263,000
Adjustments:			
Transfer(s) From			
Olympic Regional Development Authority			
Capital Projects Funds - Other (Capital Projects)	-2,317,000		
Appropriated 1999-00	<u>\$4,322,000</u>		

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Operations			
General Fund	\$6,289,000	\$7,552,000	+ \$1,263,000
Special Revenue Funds - Other	150,000	150,000
Nonexpendable Trust Funds	200,000	200,000
Total	\$6,639,000	\$7,902,000	+ \$1,263,000

OLYMPIC REGIONAL DEVELOPMENT

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Amount	Change	Contractual Services Amount	Change
Operations	\$7,552,000	+ \$1,263,000	\$7,552,000	+ \$1,263,000
Total	<u>\$7,552,000</u>	<u>+ \$1,263,000</u>	<u>\$7,552,000</u>	<u>+ \$1,263,000</u>

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Amount	Change	Nonpersonal Service Amount	Change
Operations	\$350,000	\$200,000
Total	<u>\$350,000</u>	<u>. . . .</u>	<u>\$200,000</u>	<u>. . . .</u>

Program	Maintenance Undistributed Amount	Change
Operations	\$150,000
Total	<u>\$150,000</u>	<u>. . . .</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Administration				
Capital Projects Fund	\$8,300,000	-\$8,300,000
Total	\$8,300,000	<u>. . . .</u>	<u>-\$8,300,000</u>	<u>. . . .</u>
Adjustments:				
Transfer(s) To				
General Fund (State Operations)		+ 2,317,000		
Appropriated 1999-00		<u>\$10,617,000</u>		

OFFICE OF PARKS, RECREATION AND HISTORIC PRESERVATION

MISSION

The mission of the Office of Parks, Recreation and Historic Preservation is to provide safe and enjoyable recreational and interpretive opportunities for all New York State residents and visitors and to be responsible stewards of our valuable natural, historic and cultural resources. The Office operates and maintains 158 parks and 35 historic sites, hosts a multitude of cultural and educational programs and offers diverse recreational opportunities ranging from secluded campsites to the internationally renowned American Falls in Niagara. Over 65 million persons visit the State's parks and historic sites annually.

New York State's uniquely well developed park system and its unparalleled recreation opportunities are an important part of the State's tourism industry and economy. Services open to the public at State parks include performing arts centers, golf courses, marinas, developed beaches, swimming pools, cabins, campgrounds, and many significant historic sites.

ORGANIZATION AND STAFFING

The Office is headed by a Commissioner, appointed by the Governor. Operations are administered through a network of 11 regional offices: Allegany, Central, Finger Lakes, Genesee, Long Island, New York City, Niagara, Palisades, Saratoga/Capital District, Taconic, and Thousand Islands. The central office is located in Albany, and includes executive staff and fiscal, personnel and other administrative support functions.

For fiscal year 2000-01, the Office will have a workforce of 1,688. To supplement this permanent staff, extensive use will be made of temporary and seasonal employees, numbering more than 5,000 in the peak summer season.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2000-01 Executive Budget recommends nearly \$195 million for the Office's programs, including \$103.2 million in General Fund moneys and over \$44.2 million in fee revenues. These funds will support operation of all park facilities and provide resources to extend the hours of operation at certain parks and historic sites, to expand services to include new entrepreneurial ventures, and to develop parklands acquired with funding from the Environmental Protection Fund and 1996 Clean Water/Clean Air Bond Act.

Specifically, these recommendations include \$10 million to create a new Heritage Trail program to identify, preserve and promote historically-significant places in New York State. Also included is \$625,000 to ensure recruitment and retention of entry-level seasonal staff for upstate parks, mirroring a similar proposal enacted in 1999-2000 to address downstate hiring difficulties. In the area of park expansion, the Budget includes \$830,000 for operations at newly-acquired or expanded parks, including the new Nissequogue River State Park on the grounds of the former Kings Park Psychiatric Center. In addition, the Budget continues funding at the 1999-2000 level for the Empire State Games and performing arts programming at Artpark. Funding for the Zoos, Botanical Gardens and Aquariums program will also continue at current year levels from the Environmental Protection Fund.

PARKS, RECREATION AND HISTORIC PRESERVATION

In 2000-01, the share of park operations financed with user fees will remain constant. However, the General Fund continues to be the Office's primary source of support for its operating and local assistance budgets, providing 64 percent of its funding. The remaining 36 percent is provided by a variety of sources, including:

- User fees at the parks (30 percent);
- Federal grants for activities related to the use of recreational vehicles and land and water conservation (3 percent); and
- Fiduciary and Enterprise funds, including moneys earmarked for historic sites, arboretums and the Empire State Games (3 percent).

The primary focus of the Office's capital program is maintenance and rehabilitation of existing facilities, and the health and safety of park visitors. Park facilities include more than 5,000 buildings, 51 swimming pools, 76 improved beaches, 27 golf courses, 27 marinas, 40 boat launching sites, 18 nature centers, 774 cabins, and 8,255 campsites. The Office also maintains hundreds of miles of roads and trails, expansive utility systems, 106 dams and 604 bridges.

For 2000-01, appropriations of \$29 million are recommended for capital projects from the State Park Infrastructure Fund, a dedicated fund consisting of revenues generated from day use and camping fees at the parks, as well as concession revenues and other miscellaneous revenues. Budget recommendations also include \$6 million to enable the Office to accept \$5 million from the Maid of the Mist Corporation for the Niagara Reservation State Park Observation Tower project, and other potential gifts to improve various parks.

Funding from the State Park Infrastructure Fund will be supplemented with resources for State parks capital projects from the Clean Water/Clean Air Bond Act and the Environmental Protection Fund (EPF). Funding is also provided from the Bond Act for the Office's activities related to implementation of open space protection and the local grants program. In 2000-01, the EPF will provide \$12 million in funding for infrastructure and stewardship projects at State parks and lands operated by the Office and the Department of Environmental Conservation.

PROGRAM HIGHLIGHTS

Since 1995-96, the Office has re-organized functions and consolidated management operations to more effectively provide safe and enjoyable recreational services to the public. The Office has also fostered public-private partnerships to enhance park facilities and events, including corporate sponsorships for fireworks displays, playground construction and the Empire State Games. With private sector support and expertise, the Black Course at Bethpage State Park will be the first public course ever to host the U.S. Open national golf championship tournament in 2002.

- The responsibilities of the Office are carried out through five major programs:
- Administration: provides executive direction, fiscal, personnel and audit services, public communications, and management of the Office's capital program;
 - Park Operations: operates the State's 158 parks. Seasonal and full time personnel are assigned to specific facilities which, in turn, are part of one of the 11 regions. Staff include security and field operations staff, as well as skilled and semi-skilled maintenance personnel. Day use, golf course, and other user fees directly offset the cost of facility operations;
 - Empire State Games: plans and implements the Games for the Physically Challenged, Senior Games, Summer Games and Winter Games;
 - Historic Preservation: oversees preservation activities at 35 historic sites, develops a statewide Comprehensive Historic Preservation Plan, and maintains the State Register of Historic Places; and

PARKS, RECREATION AND HISTORIC PRESERVATION

- Natural Heritage Trust: receives and administers funds, including private gifts and bequests, to advance conservation, outdoor recreation and historic preservation purposes. Created under the Public Authorities Law in 1968, the Natural Heritage Trust is a public benefit corporation.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$136,023,900	\$151,256,700	+ \$15,232,800	\$375,000
Aid To Localities	36,873,947	5,170,000	-31,703,947	19,124,800
Capital Projects	32,039,000	38,483,000	+ 6,444,000	86,381,000
Total	\$204,936,847	\$194,909,700	-\$10,027,147	\$105,880,800

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund	72	72	72
Special Revenue Funds - Federal	3	-3
Clean Water/Clean Air Administration Program					
Capital Projects Funds - Other	21	21	21
Historic Preservation					
General Fund	149	149	149
Special Revenue Funds - Federal	21	12	12	-9
Special Revenue Funds - Other	1	1	1
Park Operations					
General Fund	1,190	1,185	1,185	-5
Special Revenue Funds - Federal	10	8	8	-2
Special Revenue Funds - Other	75	75	75
Expendable Trust Funds	6	3	3	-3
Nonexpendable Trust Funds	1	1	1
Capital Projects Funds - Other	150	150	150
Recreation Services					
General Fund	11	11	11
Total	1,710	1,688	1,688	-22

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$87,879,900	\$101,015,900	+ \$13,136,000
Special Revenue Funds - Federal	2,517,300	3,358,600	+ 841,300
Special Revenue Funds - Other	41,953,900	43,179,000	+ 1,225,100
Enterprise Funds	2,500,000	2,500,000
Fiduciary Funds	1,172,800	1,203,200	+ 30,400
Total	\$136,023,900	\$151,256,700	+ \$15,232,800

PARKS, RECREATION AND HISTORIC PRESERVATION

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$4,985,900	\$5,111,300	+ \$125,400
Special Revenue Funds - Federal	75,000	75,000
Historic Preservation			
General Fund	9,010,200	19,141,500	+ 10,131,300
Special Revenue Funds - Federal	869,900	952,300	+ 82,400
Special Revenue Funds - Other	60,600	60,400	-200
Fiduciary Funds	20,000	40,000	+ 20,000
Park Operations			
General Fund	71,749,600	74,580,500	+ 2,830,900
Special Revenue Funds - Federal	1,572,400	2,331,300	+ 758,900
Special Revenue Funds - Other	41,893,300	43,118,600	+ 1,225,300
Fiduciary Funds	1,152,800	1,163,200	+ 10,400
Recreation Services			
General Fund	2,134,200	2,182,600	+ 48,400
Enterprise Funds	2,500,000	2,500,000
Total	\$136,023,900	\$151,256,700	+ \$15,232,800

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$3,562,600	-\$107,400	\$3,501,800	-\$107,400	\$23,100
Historic Preservation	7,653,200	+ 78,300	6,011,000	+ 23,300	1,568,300	+ \$55,000
Park Operations	66,747,800	+ 1,586,100	43,773,600	+ 312,000	21,447,400	+ 1,274,100
Recreation Services	566,300	+ 9,000	474,900	+ 9,000	71,800
Total	\$78,529,900	+ \$1,566,000	\$53,761,300	+ \$236,900	\$23,110,600	+ \$1,329,100

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$37,700
Historic Preservation	73,900
Park Operations	1,526,800
Recreation Services	19,600
Total	\$1,658,000

PARKS, RECREATION AND HISTORIC PRESERVATION

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$1,548,700	+ \$232,800	\$112,000	+ \$2,700
Historic Preservation	11,488,300	+ 10,053,000	424,800	+ 10,400
Park Operations	7,832,700	+ 1,244,800	830,300	+ 20,300
Recreation Services	1,616,300	+ 39,400	230,500	+ 5,600
Total	\$22,486,000	+ \$11,570,000	\$1,597,600	+ \$39,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$87,900	+ \$2,100	\$1,348,800	+ \$228,000
Historic Preservation	115,600	758,300	+ 38,000
Park Operations	696,900	+ 17,000	4,148,000	+ 1,095,000
Recreation Services	112,800	+ 2,800	1,259,600	+ 30,700
Total	\$1,013,200	+ \$21,900	\$7,514,700	+ \$1,391,700

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration
Historic Preservation	\$189,600	+ \$4,600	\$10,000,000	+ \$10,000,000
Park Operations	612,500	+ 112,500	1,545,000
Recreation Services	13,400	+ 300
Total	\$815,500	+ \$117,400	\$11,545,000	+ \$10,000,000

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$75,000
Historic Preservation	1,052,700	+ \$102,200	\$640,000	+ \$53,100
Park Operations	46,613,100	+ 1,994,600	15,833,000	+ 372,900
Recreation Services	2,500,000
Total	\$50,240,800	+ \$2,096,800	\$16,473,000	+ \$426,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$75,000
Historic Preservation	412,700	+ \$49,100
Park Operations	30,379,100	+ 1,521,700	\$401,000	+ \$100,000
Recreation Services	2,500,000
Total	\$33,366,800	+ \$1,570,800	\$401,000	+ \$100,000

PARKS, RECREATION AND HISTORIC PRESERVATION

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$34,903,947	\$2,200,000	-\$32,703,947
Special Revenue Funds - Federal	870,000	1,870,000	+ 1,000,000
Special Revenue Funds - Other	1,100,000	1,100,000
Total	\$36,873,947	\$5,170,000	-\$31,703,947

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$2,000,000	\$2,200,000	+ \$200,000
Special Revenue Funds - Federal	200,000	200,000
Historic Preservation			
Special Revenue Funds - Federal	170,000	170,000
Natural Heritage Trust			
General Fund	4,951,600	-4,951,600
Park Operations			
Special Revenue Funds - Federal	1,000,000	+ 1,000,000
Special Revenue Funds - Other	1,100,000	1,100,000
Recreation Services			
Special Revenue Funds - Federal	500,000	500,000
Community Projects			
General Fund	27,952,347	-27,952,347
Total	\$36,873,947	\$5,170,000	-\$31,703,947

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Parks EQBA 86				
Capital Projects Fund - EQBA 86 (Bondable)	\$24,003,000
Natural Heritage Trust				
Capital Projects Fund	300,000
Federal Capital Projects Fund				
Federal Capital Projects Fund	\$1,000,000	+ \$1,000,000	2,574,000
New Facilities				
State Parks Infrastructure Fund	\$925,000	1,250,000	+ 325,000	2,425,000
Maintenance and Improvements of Existing Facilities				
Fiduciary funds - Misc Combined Expendible Trust Fund	6,000,000	+ 6,000,000	400,000
Misc. Capital Projects	700,000	1,000,000	+ 300,000	2,046,000
State Parks Infrastructure Fund	29,030,000	27,780,000	-1,250,000	53,201,000
Park Lands EQBA				
Capital Projects Fund - EQBA (Bondable)	406,000
Outdoor Recreation Development Bond Fund				
Outdoor Recreation Development Bond Fund	230,000
Parks and Recreation Land Acquisition Bond Fund				
Parks and Recreation Land Acquisition Bond Fund	796,000
Clean Water - Clean Air Implementation				
Clean Water Clean Air Implementation Fund	1,384,000	1,453,000	+ 69,000
Total	\$32,039,000	\$38,483,000	+ \$6,444,000	\$86,381,000

NEW YORK STATE PUBLIC AUTHORITIES

New York State has statutorily created public authorities to carry out a variety of functions. These authorities play a critical role in managing, maintaining and improving New York State's infrastructure by building or financing roads, bridges and mass transit systems; rail, aviation, water, port and energy facilities; and housing, schools, public protection facilities and public buildings.

Established as public benefit corporations rather than departments of the State, the authorities are governed by boards of directors whose members can be appointed by the Governor, on the recommendation of the Legislature or local officials and by other involved municipalities. Although oversight mechanisms vary, all public authorities are accountable to the State and must submit reports to the Governor, the Legislature and the State Comptroller, including annual reports, independent financial audits and budget reports.

Detailed information on a number of public authorities reflected in Tables 1 through 4 can be found elsewhere in this Executive Budget. These authorities include:

BRIDGE, TUNNEL AND HIGHWAY

Triborough Bridge and Tunnel Authority
Thruway Authority

ECONOMIC DEVELOPMENT

Development Authority of the North Country
Empire State Development Corporation
Job Development Authority

ENERGY AND ENVIRONMENT

Energy Research and Development Authority
Environmental Facilities Corporation

HOUSING, HEALTH AND FINANCE

Housing Finance Agency
Local Government Assistance Corporation
State of New York Mortgage Agency

REGIONAL TRANSPORTATION

Metropolitan Transportation Authority

PUBLIC AUTHORITIES

Public authorities which are not included elsewhere in the Executive Budget are discussed below:

BRIDGE, TUNNEL AND HIGHWAY AUTHORITIES

Buffalo and Fort Erie Public Bridge Authority

The Buffalo and Fort Erie Public Bridge Authority owns and operates the international "Peace Bridge" crossing the Niagara River between Buffalo and Fort Erie, Ontario. Bridge tolls cover Authority costs; no State funds are used to support the Authority.

New York State Bridge Authority

The New York State Bridge Authority is responsible for the Bear Mountain, Kingston-Rhinecliff, Mid-Hudson, Newburgh-Beacon and Rip Van Winkle toll bridges that span the Hudson River. Various bridge tolls cover Authority costs; no State funds are used to support the Authority.

Thousand Islands Bridge Authority

The Thousand Islands Bridge Authority operates two bridges connecting U.S. Interstate 81 in Jefferson County with Highway 401 in Ontario, Canada. The Authority also operates a sewage treatment facility and recreational and tourist facilities. Tolls from the bridge and other service fees cover operating costs; no State funds are used to support the Authority.

ECONOMIC DEVELOPMENT AUTHORITIES

Battery Park City Authority

The Battery Park City Authority manages the commercial and residential development of a 92-acre landfill on the southwest end of Manhattan. To date, the Authority has promoted the construction of several buildings which provide more than 5,300 housing units and 6.5 million square feet of office space. It has also developed over 30 acres of parks and recreation areas. Battery Park City Authority continues to develop roads, utilities, parks and an esplanade along the Hudson River to support the private development of this mixed commercial-residential community. The Authority receives no State funds to support its operations, instead generating its revenues from its own corporate activities.

United Nations Development Corporation

The United Nations Development Corporation develops and manages commercial and residential facilities for United Nations-related activities in New York City including One, Two and Three United Nations Plaza as well as other office space in the vicinity. Since 1968, the Corporation has issued \$260.7 million in debt to finance these facilities and does not rely on any State support to pay debt service or for its own operations.

ENERGY AND ENVIRONMENTAL AUTHORITIES

New York Power Authority

The New York Power Authority finances, builds and operates electric generation and transmission facilities. It owns and operates 12 generating facilities and a major transmission system.

The Authority generates and transmits electric power at wholesale rates to its primary customers — municipal and investor-owned utilities, rural electric cooperatives throughout the State, major industrial concerns and various New York City-area public corporations.

The Authority receives no State subsidy. It generally finances construction projects through sales of bonds and notes and pays the related debt service with revenues from the generation and transmission of electricity.

Long Island Power Authority

The Long Island Power Authority has the broad authority to ensure adequate, dependable and affordable gas and electric service on Long Island. The Authority has reduced commercial and residential electricity rates by approximately 20 percent. The reduction is encouraging economic development and lowering the cost of living in that region. No State tax dollars are used to fund the Authority.

HOUSING, HEALTH AND FINANCE AUTHORITIES

Dormitory Authority

The Dormitory Authority provides financing, construction management, planning and design, and equipment purchasing services for higher education, not-for-profit health care, judicial and other institutions. The Authority funds its operations from its own client-generated revenues.

Municipal Assistance Corporation for the City of New York

The Municipal Assistance Corporation for the City of New York was created in 1975 to provide access to the credit markets for the City during a period of fiscal crisis and to help oversee its fiscal affairs. MAC's financing mission on behalf of New York City has been completed. MAC expects its only financing role in the future to be management of its existing debt. No State money is used to finance the Corporation; it is funded through a portion of New York City's sales tax.

Municipal Assistance Corporation for the City of Troy

The Municipal Assistance Corporation for the City of Troy was created in 1995 to provide the City with access to the credit markets and oversee Troy's fiscal affairs. The Authority is subject to a debt cap of \$75 million. The State is authorized, subject to legislative review and appropriation, to provide moneys as necessary to ensure a 1.5:1 debt service coverage ratio on Authority debt. No such appropriation is required in the 2000-2001 State fiscal year. No State moneys are used to finance the Corporation, and failure of the State to appropriate State aid to the City does not constitute an event of default for Corporation obligations.

PUBLIC AUTHORITIES

Municipal Bond Bank Agency

The Municipal Bond Bank Agency was established in 1972 to provide low-cost capital financing to towns, villages, cities and counties. The Agency is staffed by the Housing Finance Agency. No State tax dollars are used to fund the Agency.

Project Finance Agency

The Project Finance Agency was created in 1975 to provide long-term financing for low- and middle-income residential housing projects originally financed by the Urban Development Corporation. During the mid-1970's, the Urban Development Corporation faced a potential default on bonds issued to construct these projects, preventing the issuance of long-term debt to complete projects under way. The Project Finance Agency was created as the financing vehicle to complete these projects. No State tax dollars are used to fund the Agency.

PORT DEVELOPMENT AUTHORITIES

Albany Port District Commission

The Albany Port District Commission develops and operates port facilities in the cities of Albany and Rensselaer. In past years, the Authority has completed a new warehouse, rehabilitated dock facilities and added rail tracks to update the port facilities. Lease revenues account for 50 to 70 percent of the Port's annual revenue with maritime traffic making up the remainder.

Ogdensburg Bridge and Port Authority

The Ogdensburg Bridge and Port Authority operates an international bridge between Ogdensburg and Prescott, Ontario, Canada, as well as a port, an airport, an industrial park and a short-line railroad. The Authority has become increasingly involved in developing port and industrial park properties in the North Country. The Authority's industrial park now has 14 buildings which house companies providing 1,000 jobs. Its marine terminal provides the North Country's mining industries with low-cost transportation.

Port Authority of New York and New Jersey

The Port Authority of New York and New Jersey was created in 1921 to improve port and transportation facilities in the New York metropolitan area. This bi-state authority is responsible for the management and daily operation of more than 30 facilities, including airports, marine terminal facilities, bus terminals, interstate bridges and tunnels, an interstate commuter railroad, the World Trade Center and industrial parks. No New York State funds are used to support the Port Authority.

Port of Oswego Authority

The Port of Oswego Authority operates port facilities in the Oswego Port District, which includes the City of Oswego, the Town of Scriba, and all waters of the Oswego River and Lake Ontario within its boundaries. The Authority operates a port terminal and storage facilities and supports a marine museum and maritime foundation.

REGIONAL TRANSPORTATION AUTHORITIES

Capital District Transportation Authority

The Capital District Transportation Authority provides public transportation service within Albany, Rensselaer, Saratoga and Schenectady counties. State funding for the Capital District Transportation Authority is provided through appropriations to the New York State Department of Transportation.

Central New York Regional Transportation Authority

The Central New York Regional Transportation Authority provides public transportation service within Central New York through three subsidiary corporations: CNY Centro (Onondaga County), Centro of Oswego (Oswego County) and Centro of Cayuga (Cayuga County). State funding for the Central New York Regional Transportation Authority is provided through appropriations to the New York State Department of Transportation.

Niagara Frontier Transportation Authority

The Niagara Frontier Transportation Authority oversees the operations of a public transportation system providing bus, rail and paratransit services in Niagara and Erie counties. The Authority also operates both a primary and reliever airport, a small boat harbor and two metro transit centers which are primary terminals for private inter-city bus service for Niagara and Erie counties. State funding for the Niagara Frontier Transportation Authority is provided through appropriations to the New York State Department of Transportation.

Rochester-Genesee Regional Transportation Authority

The Rochester-Genesee Regional Transportation Authority provides public transportation service in the counties of Genesee, Livingston, Monroe, Wayne and Wyoming. The Authority operates the Regional Transit Service (Rochester area), Wayne Area Transportation System, Livingston Area Transportation Service, Wyoming Transportation Service and Batavia Bus Service. State funding for the Rochester-Genesee Regional Transportation Authority is provided through appropriations to the New York State Department of Transportation.

PUBLIC AUTHORITIES

Table 1
FINANCIAL OPERATIONS a/
OF NEW YORK STATE PUBLIC AUTHORITIES, 1999 AND 2000
(thousands)

Authorities by Function	Fiscal Year Begins	1999				2000			
		Total Revenues	Operating Expenses	Debt Service Requirements	Surplus (Deficit) b/	Total Revenues	Operating Expenses	Debt Service Requirements	Surplus (Deficit) b/
BRIDGE, TUNNEL AND HIGHWAY									
Buffalo and Fort Erie Public Bridge Authority	Jan. 1	\$22,577	\$10,761	\$3,515	\$8,301	\$26,796	\$11,645	\$3,517	\$11,634
New York State Bridge Authority	Jan. 1	27,527	13,586	4,748	9,193	35,600	14,204	4,749	16,647
Thousand Islands Bridge Authority	Mar. 1	9,115	6,681	358	2,076	8,776	6,699	727	1,350
Triborough Bridge and Tunnel Authority	Jan. 1	933,418	233,382	281,584	418,452	941,344	239,243	301,029	401,072
Thruway Authority c/	Jan. 1	419,639	246,246	94,125	79,268	434,481	245,577	98,253	90,651
ECONOMIC DEVELOPMENT									
Battery Park City Authority	Nov. 1	138,350	19,940	64,840	53,570	184,639	23,324	71,036	90,279
Development Authority of the North Country	April 1	8,264	5,295	2,932	37	8,582	5,454	3,093	35
Empire State Development Corporation	April 1	396,612	51,292	345,320	453,393	34,942	418,451
Job Development Authority	April 1	71,037	6,158	64,879	24,386	2,515	21,871
United Nations Development Corporation	Jan. 1	32,220	12,387	12,966	6,867	29,314	12,249	10,409	6,656
ENERGY AND ENVIRONMENT									
Energy Research and Development Authority	April 1	128,464	113,492	14,972	117,511	102,554	14,957
Environmental Facilities Corporation	April 1	595,894	11,364	582,730	1,800	499,447	11,659	483,272	4,516
Long Island Power Authority	Jan. 1	2,111,216	1,726,854	384,362	2,124,940	1,733,382	391,558
Power Authority	Jan. 1	1,476,200	960,500	239,600	276,100	1,507,500	994,200	302,600	210,700
HOUSING, HEALTH AND FINANCE									
Dormitory Authority	April 1	2,476,528	50,489	2,426,039	2,843,821	54,369	2,789,452
Housing Finance Agency	Nov. 1	361,707	15,152	340,905	5,650	383,936	15,655	362,411	5,870
Local Government Assistance Corporation	April 1	537,000	4,000	533,000	518,200	4,000	514,200
Mortgage Agency	Nov. 1	777,817	14,785	763,032	650,695	27,584	621,592	1,519
Municipal Assistance Corporation for the City of New York	July 1	503,674	8,161	495,513	506,915	8,161	498,754
Municipal Assistance Corporation for the City of Troy	Jan. 1	2,409	50	2,359	3,606	25	3,581

PUBLIC AUTHORITIES

Authorities by Function	Fiscal Year Begins	1999				2000			
		Total Revenues	Operating Expenses	Debt Service Requirements	Surplus (Deficit) b/	Total Revenues	Operating Expenses	Debt Service Requirements	Surplus (Deficit) b/
Municipal Bond Bank Agency	Nov. 1	6,806	180	6,606	20	6,318	145	6,138	35
Project Finance Agency	Nov. 1	42,885	252	42,583	50	41,564	273	41,231	60
PORT DEVELOPMENT									
Albany Port District Commission	Jan. 1	3,209	1,302	80	1,827	2,460	1,466	80	914
Ogdensburg Bridge and Port Authority	April 1	3,347	2,646	655	46	3,433	2,708	725
Port Authority of New York and New Jersey d/	Jan. 1	2,596,865	1,693,465	463,718	439,682	2,596,865	1,693,465	463,718	439,682
Port of Oswego Authority	April 1	1,695	1,120	90	485	1,800	1,175	93	532
REGIONAL TRANSPORTATION									
Capital District Transportation Authority	April 1	30,336	30,336	30,896	30,896
Central New York Regional Transportation Authority	April 1	26,765	26,665	100	27,045	26,945	100
Metropolitan Transportation Authority e/	Jan. 1	6,919,500	6,093,400	700,200	125,900	7,424,900	6,787,600	888,300	(251,000)
Niagara Frontier Transportation Authority	April 1	100,624	91,637	8,987	107,070	89,042	18,028
Rochester-Genesee Regional Transportation Authority	April 1	40,323	39,470	853	39,537	39,537
GRAND TOTAL		\$20,802,023	\$11,491,048	\$7,880,798	\$1,430,177	\$21,585,770	\$12,220,693	\$8,333,925	\$1,031,152

- a/ This table is based on authority estimates and may not reflect approval by the Board of Directors. This table covers the Authority fiscal year which includes September 30. Data vary as to cash or accrual accounting
- b/ The operating surplus may be committed to reserve requirements, repayment of State advances or funding of capital programs or programs operated by other authorities. Deficits are to be covered from existing resources or management options.
- c/ Excludes debt service for bonds sold to finance State transportation programs.
- d/ Due to the unavailability of 2000 Budget information, data for 2000 is based on carry forward of previous year data.
- e/ This table reflects the 2000 budget approved by the MTA Board as an interim financial plan. Important elements of both the MTA cost structure and the MTA revenue stream have not been firmly established.

PUBLIC AUTHORITIES

Table 2
CAPITAL PROGRAMS a/
OF NEW YORK STATE PUBLIC AUTHORITIES, 1999 AND 2000
(thousands)

Authorities by Function	1999			2000		
	Capital Program Disbursements	Available Resources	Sale of New Debt b/	Capital Program Disbursements	Available Resources	Sale of New Debt b/
BRIDGE, TUNNEL AND HIGHWAY						
Buffalo and Fort Erie Public						
Bridge Authority	\$17,612	\$19,680	\$16,267	\$13,830	\$3,000
New York State Bridge Authority	9,847	45,436	40,370	52,236
Thousand Islands Bridge Authority	3,416	3,416	9,352	9,352
Triborough Bridge and Tunnel Authority	107,685	214,254	\$220,750	144,490	201,000	200,000
Thruway Authority	408,033	274,391	133,642	391,031	249,930	141,101
ECONOMIC DEVELOPMENT						
Battery Park City Authority						
	11,000	11,000	11,000	86,000	80,000
Development Authority of the North Country						

Empire State Development Corporation						
	55,899	55,899	64,328	64,328
Job Development Authority ..						
	10,000	10,000	10,000	10,000
United Nations Development Corporation						
	1,070	5,832	1,969	3,862
ENERGY AND ENVIRONMENT						
Energy Research and Development Authority ..						
	12,500	12,500	13,900	13,900
Environmental Facilities Corporation						
	479,840	479,840	600,930	600,930
Long Island Power Authority ..						
	125,000	125,000	207,000	250,000
Power Authority						
	182,600	171,000	11,600	188,200	168,089	20,111
HOUSING, HEALTH AND FINANCE						
Dormitory Authority						
	3,308,462	4,306,398	3,501,301	3,386,516	4,499,237	2,716,480
Housing Finance Agency ...						
	372,588	319,850	294,896	424,437	242,837	300,000
Local Government Assistance Corporation						

Mortgage Agency						
	521,865	300,721	628,967	628,650	301,038	436,685
Municipal Assistance Corporation for the City of New York						

Municipal Assistance Corporation for the City of Troy						

PUBLIC AUTHORITIES

Authorities by Function	1999			2000		
	Capital Program Disbursements	Available Resources	Sale of New Debt b/	Capital Program Disbursements	Available Resources	Sale of New Debt b/
Municipal Bond Bank Agency
Project Finance Agency
PORT DEVELOPMENT						
Albany Port District Commission	373	1,827	602	914
Ogdensburg Bridge and Port Authority	2,248	2,248	1,861	1,861
Port Authority of New York and New Jersey c/	1,034,720	830,153	300,000	1,034,720	830,153	300,000
Port of Oswego Authority ..	459	2,514	1,350	2,159
REGIONAL TRANSPORTATION						
Capital District Transportation Authority d/	38,386	38,386	56,066	41,066
Central New York Regional Transportation Authority	23,613	23,613	14,476	14,476
Metropolitan Transportation Authority e/	3,587,400	2,021,000	1,566,400	4,052,200	1,101,000	2,951,200
Niagara Frontier Transportation Authority	97,193	55,283	41,910	115,346	62,713	52,633
Rochester-Genesee Regional Transportation Authority	16,583	16,583	15,806	15,806
GRAND TOTAL	\$10,428,392	\$8,801,085	\$7,245,205	\$11,430,867	\$7,911,459	\$8,126,468

a/ This table is based on authority estimates and may not reflect approval by the Board of Directors. The table covers the Authority fiscal year which includes September 30. "Available resources" may include anticipated and/or requested State and Federal funds.

b/ Includes proceeds available for capital program only.

c/ Due to the unavailability of 2000 Budget information, data for 2000 is based on carry forward of previous year data.

d/ Additional resources need to be identified to meet disbursements for the completion of the Rensselaer Train Station. The Authority is actively seeking additional resources from various entities.

e/ This table reflects the MTA's September 29, 1999 capital plan proposal.

PUBLIC AUTHORITIES

Table 3
DEBT STRUCTURE
OF NEW YORK STATE PUBLIC AUTHORITIES AS OF SEPTEMBER 30, 1999
(thousands)

Authorities by Function	All Bonds and Notes			Moral Obligation Bonds			
	Statutory Authoriza- tion	Bonds Issued	Bonds Outstanding	Notes Outstanding	Authorized Limit	Bonds Issued	Bonds Outstanding
BRIDGE, TUNNEL AND HIGHWAY							
Buffalo and Fort Erie Public							
Bridge Authority	\$165,000	\$53,370	\$50,055
New York State Bridge Authority	100,000	116,711	44,380
Thousand Islands Bridge Authority	Unlimited	5,440	358
Triborough Bridge and Tunnel Authority a/	6,500,000	5,958,733	5,104,410
Thruway Authority	Unlimited	8,770,787	6,609,877
ECONOMIC DEVELOPMENT							
Battery Park City Authority	1,460,000	1,052,110	941,490
Development Authority of the North Country	Unlimited	46,739	37,377
Empire State Development Corporation	Unlimited	5,457,977	4,729,903	\$15,751	\$1,295,000	\$1,075,000
Job Development Authority	750,000	726,080	186,830
United Nations Development Corporation	Unlimited	260,728	143,923	46,555	32,040
ENERGY AND ENVIRONMENT							
Energy Research and Development Authority	Unlimited	7,156,095	3,940,145
Environmental Facilities Corporation	Unlimited	5,709,530	3,970,855
Long Island Power Authority	Unlimited	7,278,852	7,278,852
Power Authority	Unlimited	5,424,825	1,204,865	1,021,153
HOUSING, HEALTH AND FINANCE							
Dormitory Authority	Unlimited	49,517,338	27,777,736	40,084	926,015	698,660	\$100,520
Housing Finance Agency b/	7,715,000	9,712,810	4,273,484	4,463,440	6,524,379	527,837
Local Government Assistance Corporation	4,700,000	5,571,165	5,002,835
Mortgage Agency	6,520,000	10,349,964	3,872,968
Municipal Assistance Corporation for the City of New York	11,500,000	9,445,000	3,532,000	9,445,000
Municipal Assistance Corporation for the City of Troy c/	75,000	64,378	67,409

PUBLIC AUTHORITIES

Authorities by Function	All Bonds and Notes				Moral Obligation Bonds		
	Statutory Authorizatio n	Bonds Issued	Bonds Outstanding	Notes Outstanding	Authorized Limit	Bonds Issued	Bonds Outstanding
Municipal Bond Bank Agency	1,000,000	64,765	44,775
Project Finance Agency	305,000	305,000	126,540
PORT DEVELOPMENT							
Albany Port District Commission	Unlimited	1,198
Ogdensburg Bridge and Port Authority	Unlimited	2,632
Port Authority of New York and New Jersey	Unlimited	9,283,660	7,773,490	390,580
Port of Oswego Authority ..	Unlimited	161
REGIONAL TRANSPORTATION							
Capital District Transpor- tation Authority	Unlimited	875
Central New York Regional Transportation Authority	Unlimited
Metropolitan Transportation Authority a/	6,500,000	9,308,288	7,430,850	750,000
Niagara Frontier Transpor- tation Authority	Unlimited	213,445	205,335	1,136
Rochester-Genesee Regional Transportation Authority	Unlimited
		\$151,853,79			\$6,731,01	\$17,775,07	
GRAND TOTAL		<u>0</u>	<u>\$94,350,742</u>	<u>\$2,223,570</u>	<u>0</u>	<u>9</u>	<u>\$628,357</u>

- a/ The MTA and TBTA are collectively authorized to issue up to \$6.5 billion of bonds to finance their 1992-1999 capital programs. Outstanding bonds do not include \$384 million in Convention Center Project Bonds, not covered by Authority's bond cap.
- b/ HFA moral obligation bond limit is reduced as bonds outstanding after April 1, 1976 are repaid.
- c/ Bonds outstanding exceed bonds issued because bonds outstanding include accretion in value of capital appreciation bonds from date of issue through September 30, 1999.

PUBLIC AUTHORITIES

Table 4
STATE INVOLVEMENT IN FINANCING OF PROJECTS AND OPERATIONS
OF NEW YORK STATE PUBLIC AUTHORITIES, 1999 AND 2000-01
(thousands)

Authorities by Function	Authority Bonds Outstanding, 1999 a/			Outstanding Reimbursable State Appropriations, 1999	State Appropriations Requested in Support of Authority Programs, 2000-01 b/		
	Revenue and Nonrecourse	State Guaranteed	Moral Obligation		New Appropriations	Reapropriations	Total
BRIDGE, TUNNEL AND HIGHWAY							
Buffalo and Fort Erie Public Bridge Authority	\$50,055
New York State Bridge Authority	44,380
Thousand Islands Bridge Authority	358
Triborough Bridge and Tunnel Authority c/	5,104,410
Thruway Authority	6,609,877	\$1,800	\$1,000	\$2,800
ECONOMIC DEVELOPMENT							
Battery Park City Authority	941,490
Development Authority of the North Country	37,377
Empire State Development Corporation	4,729,903	\$32,417	112,004	531,600	643,604
Job Development Authority	\$186,830
United Nations Development Corporation	143,923
ENERGY AND ENVIRONMENT							
Energy Research and Development Authority ..	3,940,145	28,673	1,445	30,118
Environmental Facilities Corporation	3,970,855	6,864	7,436	22,500	29,936
Long Island Power Authority.	7,278,852
Power Authority	1,204,865
HOUSING, HEALTH AND FINANCE							
Dormitory Authority	27,677,216	\$100,520
Housing Finance Agency ...	3,745,647	527,837	44,129	700	15,892	16,592
Local Government Assistance Corporation	5,002,835	518,200	518,200
Mortgage Agency	3,872,968
Municipal Assistance Corporation for the City of New York	3,532,000

PUBLIC AUTHORITIES

Authorities by Function	Authority Bonds Outstanding, 1999 a/			Outstanding Reimbursable State Appropriations, 1999	State Appropriations Requested in Support of Authority Programs, 2000-01 b/		
	Revenue and Nonrecourse	State Guaranteed	Moral Obligation		New Appropriations	Reapropriations	Total
Municipal Assistance Corporation for the City of Troy	67,409
Municipal Bond Bank Agency	44,775
Project Finance Agency	126,540
PORT DEVELOPMENT							
Albany Port District Commission	1,358
Ogdensburg Bridge and Port Authority	19,500
Port Authority of New York and New Jersey	7,773,490
Port of Oswego Authority	4,231
REGIONAL TRANSPORTATION							
Capital District Transportation Authority	9,681	9,681
Central New York Regional Transportation Authority	11,916	11,916
Metropolitan Transportation Authority	7,430,850	1,312,735	132,882	1,445,617
Niagara Frontier Transportation Authority	205,335	3,380	17,483	17,483
Rochester-Genesee Regional Transportation Authority	10,618	10,618
GRAND TOTAL	\$93,535,555	\$186,830	\$628,357	\$111,879	\$2,031,246	\$705,319	\$2,736,565

a/ This table covers bonds outstanding as of September 30.

b/ Appropriations are included in the Executive Budget for State Fiscal Year 2000-2001 from the State's General Fund, dedicated tax funds, Capital Projects Fund or bond funds. Some of these funds may be appropriated to an intermediary which, in turn, makes payment to the named authority.

c/ Outstanding bonds do not include \$384 million in Convention Center Project Bonds, not covered by Authority's bond cap.

STATE RACING AND WAGERING BOARD

MISSION

The Racing and Wagering Board regulates all legalized gambling activities in New York except the State Lottery. Horse racing, off-track betting (OTB) and Indian casino gaming are directly regulated by the Board. The responsibility for oversight of bingo and other permitted games of chance conducted by religious and not-for-profit organizations is shared with municipalities, which collect license fees and ensure that the Board's rules and regulations are followed.

ORGANIZATION AND STAFFING

A three-member Board oversees agency activities. The Governor appoints each member to a six-year term with the consent of the Senate.

The Chair and central office staff are in Albany with additional staff operating from the New York City regional office. The agency also employs on-site inspectors at the Oneida Indians' Turning Stone Casino in Verona, Oneida County and the Mohawk Indians' Akwesasne Mohawk Casino in Hogansburg, Franklin County. Temporary and full time employees oversee horse racing at New York's four thoroughbred race tracks — Aqueduct, Belmont, Saratoga and Finger Lakes — and six harness tracks — Buffalo, Monticello, Saratoga, Syracuse, Vernon Downs and Yonkers. The Racing and Wagering Board will have a workforce of 123 full time and 153 temporary staff in 2000-01. Approximately 34 percent of these positions will be supported by State tax dollars; the remaining staff will be supported by revenues generated by Indian casinos and charitable gaming activities.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2000-01 Executive Budget recommends \$9.728 million in General Fund support for staff and related costs associated with the processing of racing licenses; the review of financial statements submitted by regional OTBs; legal hearings to suspend or fine violators of racing regulations; seasonal employees at the thoroughbred and harness tracks; and the testing of race horses for prohibited drugs.

In addition, \$2 million in existing fees collected by the State from charitable gaming proceeds is recommended to support the regulation of these games. This funding will support oversight of "bell jar" games, which are games of chance in which players remove "pull tabs" from randomly drawn tickets to reveal potential winning combinations of three or more matching items. The Budget also authorizes \$3 million in revenues from fees charged to Indian casinos to pay for on-site monitoring and investigation of these casinos.

PROGRAM HIGHLIGHTS

REGULATION OF GAMES OF CHANCE

The Racing and Wagering Board promulgates rules and regulations governing bingo and other games of chance operated by more than 14,100 charitable and not-for-profit organizations throughout the State. The Board establishes standards for issuing licenses to suppliers and manufacturers of gaming equipment; reviews

RACING AND WAGERING

financial statements of charitable gaming operations; and, in conjunction with local law enforcement officials, investigates illegal gaming activities. In accordance with legislation enacted in 1996, the Board will continue its oversight of "bell jar" games in order to decrease illegal activity.

INDIAN GAMING

The State has negotiated compacts or protocols with the Oneida Nation and St. Regis Mohawk Tribe to conduct gaming activities. Under these compacts, the Racing and Wagering Board is responsible for supervising gaming activities operating on these Indian lands. The Board maintains 22 employees that oversee activities at the Oneida Nation's Turning Stone Casino and 28 employees at the St. Regis Mohawk Tribe's Akwesasne Casino.

REGULATION OF RACING

The Board's permanent staff oversee 153 temporary employees who ensure that horse races at the State's race tracks are conducted legally. As part of this effort, the Board has a contract with Cornell University to conduct research and to carry out post-race testing of blood and urine samples taken from race horses.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$14,707,300	\$14,707,300
Aid To Localities
Capital Projects
Total	<u>\$14,707,300</u>	<u>\$14,707,300</u>	<u>.....</u>	<u>.....</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund	15	15	15
Audit and Investigation					
General Fund	23	23	23
Regulation of Racing and Off-Track Betting					
General Fund	4	4	4
Regulation of Wagering					
Special Revenue Funds - Other	81	81	81
Total	<u>123</u>	<u>123</u>	<u>.....</u>	<u>123</u>	<u>.....</u>

RACING AND WAGERING

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund	\$9,728,000	\$9,728,000
Special Revenue Funds - Other	4,979,300	4,979,300
Total	<u>\$14,707,300</u>	<u>\$14,707,300</u>	<u>.....</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Administration			
General Fund	\$1,615,600	\$1,615,600
Audit and Investigation			
General Fund	1,165,800	1,165,800
Regulation of Racing and Off-Track Betting			
General Fund	6,946,600	6,946,600
Regulation of Wagering			
Special Revenue Funds - Other	4,979,300	4,979,300
Total	<u>\$14,707,300</u>	<u>\$14,707,300</u>	<u>.....</u>

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

<u>Program</u>	Total Personal Service		Personal Service Regular (Annual Salaried)	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration	\$674,200	\$674,200
Audit and Investigation	1,081,500	1,081,500
Regulation of Racing and Off-Track Betting	4,261,300	4,261,300
Total	<u>\$6,017,000</u>	<u>.....</u>	<u>\$6,017,000</u>	<u>.....</u>

RACING AND WAGERING

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$941,400	\$335,600
Audit and Investigation	84,300	14,000
Regulation of Racing and Off-Track Betting	2,685,300	56,100
Total	\$3,711,000	\$405,700

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$37,800	\$568,000
Audit and Investigation	37,400	30,700
Regulation of Racing and Off-Track Betting	9,400	2,614,200
Total	\$84,600	\$3,212,900

Program	Equipment	
	Amount	Change
Administration
Audit and Investigation	\$2,200
Regulation of Racing and Off-Track Betting	5,600
Total	\$7,800

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Regulation of Wagering	\$4,979,300	\$2,982,700
Total	\$4,979,300	\$2,982,700

Program	Nonpersonal Service	
	Amount	Change
Regulation of Wagering	\$1,996,600
Total	\$1,996,600

OFFICE OF SCIENCE, TECHNOLOGY, AND ACADEMIC RESEARCH

MISSION

Established in November 1999, the New York State Office of Science, Technology, and Academic Research is an Executive agency which will replace the New York State Science and Technology Foundation and assume responsibility for directing the State's university-based high technology economic development programs. In addition, the Office of Science, Technology, and Academic Research will develop a comprehensive State policy for attracting and retaining high technology businesses with the potential for significant job creation in the State.

ORGANIZATION AND STAFFING

The Office of Science, Technology, and Academic Research is headed by an Executive Director who is appointed by the Governor and confirmed by the Senate. Agency oversight will be augmented by an 11-member advisory council. The Office of Science, Technology, and Academic Research will have a workforce of 44 positions in 2000-01.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Office of Science, Technology, and Academic Research's activities are supported primarily with State tax dollars from the General Fund. The Executive Budget recommends \$3.6 million to support the agency's operating budget and \$47.42 million for its high technology programs.

PROGRAM HIGHLIGHTS

The Office of Science, Technology, and Academic Research is responsible for the following major programs:

- **Capital Facility Program:** This program supports the construction and reconstruction of research and development facilities at designated Centers for Advanced Technology and State University of New York University Centers.
- **Faculty Development Program:** This program provides grants to assist colleges and universities in attracting and retaining research faculty.
- **Center for Advanced Technology Development Program:** This program provides grants to designated Centers for Advanced Technology for enhancing and expanding activities.
- **Technology Transfer Incentive Program:** This program provides grants to colleges and universities for technology transfer activities, such as patent applications, the creation of business plans, venture capital conferences and other uses related to the commercialization of high technology innovations.

In addition, the Office of Science, Technology, and Academic Research will continue to administer programs of the former Science and Technology Foundation, including: Centers for Advanced Technology; Industrial Technology Extension Services; Technology Development Organizations; university research centers at the State University of New York at Binghamton and the University of Rochester; the Sensing, Analyzing, Interpreting and Deciding Center at Syracuse University; the

SCIENCE, TECHNOLOGY, AND ACADEMIC RESEARCH

Buffalo Technology Transfer Center at Sisters Hospital; the Focus Center-New York semiconductor research center; and the Cornell University Nanobiotechnology Center.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$1,500,000	\$4,100,000	+ \$2,600,000
Aid To Localities	61,820,000	52,420,000	-9,400,000	\$34,570,000
Capital Projects	47,500,000	-47,500,000	47,500,000
Total	\$110,820,000	\$56,520,000	-\$54,300,000	\$82,070,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration Program General Fund	44	44	+ 44
Total	44	44	+ 44

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$1,000,000	\$3,600,000	+ \$2,600,000
Special Revenue Funds - Other	500,000	500,000
Total	\$1,500,000	\$4,100,000	+ \$2,600,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration Program General Fund	\$1,000,000	\$3,600,000	+ \$2,600,000
Special Revenue Funds - Other	500,000	500,000
Total	\$1,500,000	\$4,100,000	+ \$2,600,000

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Amount	Change	Maintenance Undistributed Amount	Change
Administration Program	\$3,600,000	+ \$2,600,000	\$3,600,000	+ \$2,600,000
Total	\$3,600,000	+ \$2,600,000	\$3,600,000	+ \$2,600,000

SCIENCE, TECHNOLOGY, AND ACADEMIC RESEARCH

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Amount	Change	Maintenance Amount	Undistributed Change
Administration Program	\$500,000	\$500,000
Total	\$500,000	\$500,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$56,820,000	\$47,420,000	-\$9,400,000
Special Revenue Funds - Federal	5,000,000	5,000,000
Total	\$61,820,000	\$52,420,000	-\$9,400,000
Adjustments:			
Transfer(s) From			
Economic Development, Department of Special Revenue Funds - Federal	-5,000,000		
Science and Technology Foundation, New York State General Fund	-25,220,000		
Appropriated 1999-00	<u>\$31,600,000</u>		

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
High Technology General Fund	\$25,220,000	\$24,420,000	-\$800,000
Training and Business Assistance Program General Fund	4,000,000	500,000	-3,500,000
Special Revenue Funds - Federal	5,000,000	5,000,000
Research Development Program General Fund	22,500,000	22,500,000
Community Projects General Fund	5,100,000	-5,100,000
Total	\$61,820,000	\$52,420,000	-\$9,400,000

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Research Facilities Capital Projects Fund	\$47,500,000	-\$47,500,000	\$47,500,000
Total	\$47,500,000	-\$47,500,000	\$47,500,000

THRUWAY AUTHORITY

In addition to operating a 641-mile toll-highway system, the Thruway Authority has jurisdiction over the New York State Canal System. Through its subsidiary, the New York State Canal Corporation, the Thruway maintains, operates, develops and makes capital improvements to the 524-mile navigable waterway which includes 57 locks, numerous dams, reservoirs and water control structures.

Revenues from canal tolls and other user fees are deposited into the Canal System Development Fund and, in accordance with the State Constitution, are used exclusively for the canals. The Executive Budget recommends a new appropriation of \$2 million and reappropriations of \$3.1 million from the Canal System Development Fund for a portion of the maintenance, construction, reconstruction, development and promotion of the canals. All other Canal System and Thruway Authority programs are supported by Authority funds and are not reflected in the Executive Budget.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations
Aid To Localities
Capital Projects	\$2,000,000	\$2,000,000	\$3,134,000
Total	\$2,000,000	\$2,000,000	\$3,134,000

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Comprehensive Construction Program				
Canal Development Program				
New York State Canal System Development Fund	\$2,000,000	\$2,000,000	\$3,134,000
Total	\$2,000,000	\$2,000,000	\$3,134,000

THRUWAY AUTHORITY

DEPARTMENT OF TRANSPORTATION

MISSION

The Department of Transportation directly maintains and improves the State's more than 40,000 highway lane miles and 7,500 bridges. In addition, the Department partially funds locally-operated transit systems, local government highway and bridge construction and rail and airport programs.

ORGANIZATION AND STAFFING

The Department is headed by a Commissioner appointed by the Governor. The Department's main office is in Albany, with 11 regional offices in Albany, Utica, Syracuse, Rochester, Buffalo, Hornell, Watertown, Poughkeepsie, Binghamton, Hauppauge and New York City. The Department also maintains highway maintenance and equipment repair facilities across the State.

In 2000-01, the Department will have a full-time workforce of approximately 10,692 employees. Employees perform such activities as highway maintenance, snow and ice removal, highway and bridge design and construction inspection. In addition, the Department's staff inspects school and charter buses, regulates commercial transportation, oversees public transportation systems and State-owned airports and provides administrative support for the Department.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Department is responsible for the implementation of the highway and bridge program. It is supported primarily by revenues from the Petroleum Business Tax, highway use and motor fuel taxes, and motor vehicle fees. Substantial Federal aid is also used to support the program.

The Department's operating budget is devoted primarily to highway maintenance, particularly snow and ice removal. These activities are supported in large part through State tax dollars in the General Fund, which provides approximately 74.9 percent of the funding.

Approximately \$41.9 million or 19.7 percent of total funding for Department operations is derived from fees, including those imposed on trucks registered to transport products throughout the State, landing fees and rents levied at Republic Airport, internal service fees paid by other State agencies for consolidated printing services and revenues generated by the sale and rental of Department property, such as commercial leasing of land for parking or storage. These funds support a number of agency activities, including administrative support services, snow and ice removal, highway safety and aviation programs.

Federal aid of \$7.1 million and \$4.4 million in dedicated mass transit funds help finance the remaining 5.4 percent of operations primarily for mass transportation, aviation and motor-carrier safety programs.

Snow and ice control services constitute the bulk of the Department's state operations budget. Recommendations include over \$110 million for State maintenance crews to control snow and ice and \$21.9 million to fund snow and ice control by municipalities.

Capital appropriations provide funding for construction and reconstruction projects on State highways, bridges, railways, and airports, as well as financing the engineering staff and private-sector consultants who work on these projects. The highway and bridge construction level will total \$1.6 billion in 2000-01, initiating the first year of a new five-year transportation plan that commits over \$14 billion for the Department's capital programs.

TRANSPORTATION

The centerpiece of the State's Highway and Bridge Program is the Dedicated Highway and Bridge Trust Fund, established in 1993. The Trust Fund derives its revenues from highway user fees, portions of the petroleum business tax, the motor fuel tax and motor vehicle registration fees. These funds are used both on a pay-as-you-go basis and to pay debt service on bonds issued by the Thruway Authority to finance portions of the State and local highway programs.

The Engineering Services Fund supports the Department's engineering, planning and construction inspection activities. The Fund derives its revenues primarily from Federal aid and Dedicated Fund resources, in accordance with the funding source of individual projects.

The Department of Transportation's State and local highway capital program is the recipient of approximately \$1.4 billion annually in Federal Transportation Equity Act for the 21st Century (TEA-21) funding, distributed by Federal formulas and used only for federally approved projects primarily approved by local Metropolitan Planning Organizations.

Local highway and bridge capital programs include the Consolidated Highway Improvement Program (CHIPS) and the Municipal Streets and Highways Program ("Marchiselli" Program). These programs are funded by bonds issued by the Thruway Authority with debt service paid from the State's Dedicated Highway and Bridge Trust Fund. The CHIPS capital program will be funded at \$217.9 million, and the Marchiselli program will provide \$39.7 million in 2000-01; these levels represent a continuation of the 1999-2000 level, commensurate with the State highway and bridge program. CHIPS operating aid totals \$23.9 million, a \$34.9 million reduction from 1999-2000 levels. The reduction reflects elimination of CHIPS operating aid to counties and New York City. A new \$10 million appropriation for rail freight and passenger projects will fund the first year of an \$80 million five-year rail program that will expand shipping opportunities for New York businesses, reduce costs for consumers and improve passenger transportation. This new commitment builds on the State's current rail initiatives: an \$85 million freight program and a \$185 million passenger program. In addition, \$25 million will be provided for the first year of a \$125 million Industrial Access Program to promote job creation and retention by encouraging business expansion with highway, rail and port projects.

The Aid to Localities budget is comprised primarily of appropriations supported by State taxes dedicated to public transportation through the Mass Transportation Operating Assistance (MTOA) Fund and the Dedicated Mass Transportation Trust Fund. Mass Transportation Operating Assistance Fund revenues are derived from a 1/4 percent sales tax and a business tax surcharge levied in the New York City metropolitan region, as well as a portion of statewide taxes on transmission and transportation companies and petroleum-related businesses, which also fund the Dedicated Mass Transportation Trust Fund through a supplemental tax. State operating assistance to transit systems will total over \$1.5 billion, a decrease of \$97 million, reflecting a return to normal levels of aid after a release of balances from the MTOA Fund in 1999-2000.

Over \$1.3 billion in State operating aid is recommended for the Metropolitan Transportation Authority (MTA) in 2000-01. This includes \$324.6 million from the Dedicated Mass Transportation Trust Fund in support of the MTA capital program. State aid supports over one-fifth of the Authority's annual operating budget. The assistance includes \$45 million in General Fund support for the MTA as the State's contribution to reduced fares for New York City school children. The City will match this contribution.

Transit operators other than the MTA will receive a total of \$208.9 million in State operating assistance in 2000-01, a decrease of \$16.5 million from 1999-2000. Of this amount, \$71.5 million is targeted for upstate transit systems. Capital funding of \$27.7 million is recommended for transit systems other than the MTA from the Dedicated Mass

Transportation Trust Fund as the first year of a \$146 million five-year capital plan. This capital program funds a variety of transit-related needs, primarily bus purchases and a portion of the required match to Federal transit capital aid.

PROGRAM HIGHLIGHTS

HIGHWAYS AND BRIDGES

Improving the State's vital transportation infrastructure remains the agency's highest priority. By the end of 1999-2000, the Department will have successfully completed the 1995-2000 Capital Program, delivering significant improvements in our highway infrastructure. Over 900 State bridges will have been replaced or rehabilitated and 30,000 preventive bridge treatments will have been completed to slow deterioration. Approximately 43,900 lane miles of state highway will have been treated. These accomplishments, coupled with new materials technology, have resulted in markedly better conditions. The new 2000-2005 program will continue our level of investment, emphasizing strategic investments to critical infrastructure needs. Preventive maintenance performed by State forces and private sector contractors will continue to be an area of significant concentration. Appropriations for the preventive maintenance program will total \$387.4 million in 2000-01.

State staff and private-sector consultants perform the planning, property acquisition, design engineering, environmental reviews, surveying, materials and soils testing, and construction inspection associated with the Department's capital program. Construction of virtually all highway and bridge projects is performed by private firms.

The State makes a significant investment in helping localities maintain safe roads and bridges through its CHIPS and Marchiselli programs. These programs primarily fund construction projects, with the majority performed by private firms.

Through its safety inspections of school and charter buses and its regulation of commercial transport, the Department also focuses its resources on passenger safety and environmental issues. In 2000-01, the Department will continue implementing the Governor's initiative to inspect heavy duty trucks and buses for emissions that can damage air quality.

PUBLIC TRANSPORTATION

The Department provides oversight and funding for more than 70 locally operated public transportation systems, including the Metropolitan Transportation Authority, the four upstate regional transportation authorities and other (usually county-sponsored) transit systems. These systems provide bus, subway, light rail and commuter rail services, as well as "paratransit" services designed to meet the needs of disabled people, as required by the Federal Americans with Disabilities Act.

State financial assistance to transit systems is supported by the Mass Transportation Operating Assistance Fund and the Dedicated Mass Transportation Trust Fund as well as the General Fund. In addition, State law authorizes the imposition of an additional mortgage recording tax in regions covered by the Metropolitan Transportation Authority and the four upstate transit authorities. These moneys are collected by the affected counties and transmitted directly to the transit systems.

TRANSPORTATION

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$214,333,000	\$212,638,000	-\$1,695,000	\$30,057,000
Aid To Localities	1,704,716,725	1,559,070,000	-145,646,725	219,943,000
Capital Projects	3,449,947,000	3,481,620,000	+ 31,673,000	8,509,954,000
Total	\$5,368,996,725	\$5,253,328,000	-\$115,668,725	\$8,759,954,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Design and Construction					
Capital Projects Funds - Other	4,515	4,497	4,497	-18
New York Metropolitan Transportation Council					
Special Revenue Funds - Other	68	68	68
Operations					
General Fund	3,430	3,430	3,430
Special Revenue Funds - Other	31	31	31
Internal Service Funds	44	44	44
Passenger and Freight Transportation					
General Fund	95	95	95
Special Revenue Funds - Federal	69	69	69
Special Revenue Funds - Other	152	152	152
Planning and Program Management					
Capital Projects Funds - Other	636	636	636
Preventive Maintenance					
Capital Projects Funds - Other	1,429	1,429	1,429
Real Estate					
Capital Projects Funds - Other	241	241	241
Total	10,710	5,318	5,374	10,692	-18

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$169,928,000	\$159,262,000	-\$10,666,000
Special Revenue Funds - Federal	6,332,000	7,064,000	+ 732,000
Special Revenue Funds - Other	33,515,000	41,709,000	+ 8,194,000
Internal Service Funds	4,558,000	4,603,000	+ 45,000
Total	\$214,333,000	\$212,638,000	-\$1,695,000

TRANSPORTATION

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Clean Air			
Special Revenue Funds - Other	\$998,000	\$587,000	-\$411,000
Operations			
General Fund	166,187,000	155,512,000	-10,675,000
Special Revenue Funds - Other	10,711,000	19,053,000	+ 8,342,000
Internal Service Funds	4,558,000	4,603,000	+ 45,000
Passenger and Freight Transportation			
General Fund	3,741,000	3,750,000	+ 9,000
Special Revenue Funds - Federal	6,332,000	7,064,000	+ 732,000
Special Revenue Funds - Other	21,806,000	22,069,000	+ 263,000
Total	\$214,333,000	\$212,638,000	-\$1,695,000

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Operations	\$124,910,000	+ \$759,000	\$109,149,000	+ \$759,000	\$15,761,000
Passenger and Freight Transportation	3,750,000	+ 9,000	3,750,000	+ 9,000
Total	\$128,660,000	+ \$768,000	\$112,899,000	+ \$768,000	\$15,761,000

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Operations	\$30,602,000	-\$11,434,000	\$30,602,000	-\$11,434,000
Total	\$30,602,000	-\$11,434,000	\$30,602,000	-\$11,434,000

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Clean Air	\$587,000	-\$411,000	\$317,000	+ \$83,000
Operations	23,656,000	+ 8,387,000	1,911,000	+ 71,000
Passenger and Freight Transportation	29,133,000	+ 995,000	10,450,000	+ 274,000
Total	\$53,376,000	+ \$8,971,000	\$12,678,000	+ \$428,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Clean Air	\$270,000	-\$494,000
Operations	2,831,000	+ 31,000	\$18,914,000	+ \$8,285,000
Passenger and Freight Transportation	15,690,000	+ 407,000	2,993,000	+ 314,000
Total	\$18,791,000	-\$56,000	\$21,907,000	+ \$8,599,000

TRANSPORTATION

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$172,390,725	\$130,724,000	-\$41,666,725
Special Revenue Funds - Federal	26,003,000	27,568,000	+ 1,565,000
Special Revenue Funds - Other	1,506,323,000	1,400,778,000	-105,545,000
Total	<u>\$1,704,716,725</u>	<u>\$1,559,070,000</u>	<u>-\$145,646,725</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Consolidated Local Highway Assistance Program			
General Fund	\$58,797,000	\$23,888,000	-\$34,909,000
Dedicated Mass Transportation Trust Program			
Special Revenue Funds - Other	252,700,000	324,646,000	+ 71,946,000
Federal Highway Administration Local Planning			
Special Revenue Funds - Federal	7,106,000	7,106,000
Urban Mass Transportation Administration Local Planning			
Special Revenue Funds - Federal	2,717,000	2,717,000
Mass Transportation Assistance			
General Fund	45,000,000	45,000,000
Mass Transportation Special Assistance Program			
General Fund	3,000,000	3,000,000
Inter City Rail Passenger Service			
General Fund	4,126,300	820,000	-3,306,300
Passenger and Freight Transportation			
General Fund	58,016,000	58,016,000
Special Revenue Funds - Federal	16,180,000	17,745,000	+ 1,565,000
Special Revenue Funds - Other	1,037,108,000	866,736,000	-170,372,000
Section 18-B Program			
Special Revenue Funds - Other	165,989,000	165,989,000
Special Transit Aid			
Special Revenue Funds - Other	50,526,000	43,407,000	-7,119,000
Community Projects			
General Fund	3,451,425	-3,451,425
Total	<u>\$1,704,716,725</u>	<u>\$1,559,070,000</u>	<u>-\$145,646,725</u>

TRANSPORTATION

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Aviation				
Airport or Aviation Program				
Capital Projects Fund - Aviation (Bondable)	\$6,411,000
Capital Projects Fund - Infrastructure Renewal (Bondable)	1,604,000
Airport or Aviation State Program				
Dedicated Highway and Bridge Trust Fund	\$6,000,000	\$6,000,000	23,454,000
Passenger Facility Charge Fund	1,500,000	-\$1,500,000	4,150,000
Regional Aviation Fund	35,000,000	-35,000,000	35,000,000
Federal Airport or Aviation				
Federal Capital Projects Fund	10,000,000	+ 10,000,000	12,957,000
Special Rail and Aviation Program				
Dedicated Highway and Bridge Trust Fund	326,000
Transportation Capital Facilities Bond Fund--Aviation				
Transportation Capital Facilities Bond Fund - Aviation	11,626,000
Canals and Waterways				
Canals and Waterways - Bondable				
Capital Projects Fund - Infrastructure Renewal (Bondable)	485,000
Economic Development				
Non-Federal Aided Highway Capital Projects				
Dedicated Highway and Bridge Trust Fund	10,000,000	25,000,000	+ 15,000,000	10,000,000
Highway Facilities				
Accelerated Capacity and Transportation Improvements Fund				
Accel Capacity and Transport Improvemts Fund	87,492,000
Airport or Aviation State Program				
Dedicated Highway and Bridge Trust Fund	3,500,000
Engineering Services				
Engineering Services Fund	559,388,000	558,704,000	-684,000	987,997,000
NY Metro Transportation Council Account	8,031,000	8,210,000	+ 179,000	18,664,000
Federal Aid Highways - Bondable Purpose				
Capital Projects Fund - Infrastructure Renewal (Bondable)	9,764,000
Federal Aid Highways - Federal Purpose				
Federal Capital Projects Fund	1,350,000,000	1,400,000,000	+ 50,000,000	4,018,279,000
Federal Aid Highways State				
Dedicated Highway and Bridge Trust Fund
Highway Facilities				
Dedicated Highway and Bridge Trust Fund	45,266,000
Suburban Transportation Fund	74,009,000
Infrastructure Bond Act Projects				
Capital Projects Fund - Infrastructure Renewal (Bondable)	7,747,000
Lake Champlain Bridges Program				
Lake Champlain Bridge Fund
Municipal Highway - Railroad Crossing Alterations				
Capital Projects Fund - Advances	331,000
Dedicated Highway and Bridge Trust Fund	1,568,000
New York State Agency Fund				
Fiduciary funds - Misc Combined Expendible Trust Fund	50,000,000	50,000,000	50,000,000
Non-Federal Aided Highway Capital Projects				
Dedicated Highway and Bridge Trust Fund	1,358,150,000	1,341,138,000	-17,012,000	2,649,275,000
Other Highway Aid				
Community Projects Fund
Dedicated Highway and Bridge Trust Fund	5,074,000
Other Transportation Aid				
Dedicated Highway and Bridge Trust Fund	423,000
Priority Bond Act Projects				
Capital Projects Fund - Infrastructure Renewal (Bondable)	13,636,000
Road and Bridge Improvements - Bondable				
Capital Projects Fund - A.C. and T.I. Fund (Bondable)	83,672,000
Small and Minority and Women-Owned Small Business Assistance				
Dedicated Highway and Bridge Trust Fund	3,700,000
Special Parkway Rehabilitation Program				
Special Parkway Rehabilitation Fund
State Parkways				
Dedicated Highway and Bridge Trust Fund
Transportation Infrastructure Renewal Bond Fund				
Transportation Infrastructure Renewal Bond Fund	51,278,000
Maintenance Facilities				
Maintenance Facilities				
Dedicated Highway and Bridge Trust Fund	26,250,000	30,000,000	+ 3,750,000	61,717,000

TRANSPORTATION

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Mass Transportation and Rail Freight				
Marine Projects				
Dedicated Mass Transportation Fund	954,000
Mass Transportation				
Dedicated Mass Transportation Fund	14,628,000	15,568,000	+ 940,000	68,290,000
Mass Transportation and Rail Freight				
Capital Projects Fund - Advances
Capital Projects Fund - Energy Conservation (Bondable)	2,136,000
Capital Projects Fund - Rail Preservation (Bondable)
Dedicated Highway and Bridge Trust Fund	13,000,000	10,000,000	-3,000,000	15,050,000
Dedicated Mass Transportation Fund	18,000,000	27,000,000	+ 9,000,000	34,989,000
Federal Capital Projects Fund	25,618,000
Mass Transportation and Rail Freight Bondable				
Capital Projects Fund - Infrastructure Renewal (Bondable)	10,099,000
Rail Freight				
Capital Projects Fund - Advances	25,495,000
Capital Projects Fund - Infrastructure Renewal (Bondable)	6,290,000
Dedicated Mass Transportation Fund	5,582,000
Rail Preservation and Development Fund				
Energy Conservation Through Improved Transportation Bond Fund	2,151,000
Rail Preservation Development Bond Fund
Small and Minority and Women-Owned Small Business Assistance				
Dedicated Mass Transportation Fund	5,000,000
Special Rail and Aviation Program				
Dedicated Mass Transportation Fund	28,768,000
Transportation Capital Facilities Bond Fund--Mass Transportation				
Transportation Capital Facilities Bond Fund - Mass Transportation	1,000
Port Development				
Port Development Bondable				
Capital Projects Fund - Infrastructure Renewal (Bondable)	126,000
Total	<u>\$3,449,947,000</u>	<u>\$3,481,620,000</u>	<u>+ \$31,673,000</u>	<u>\$8,509,954,000</u>