

***PUBLIC PROTECTION,
HEALTH AND
MENTAL HYGIENE***

OFFICE OF ADVOCATE FOR PERSONS WITH DISABILITIES

MISSION

The Office of Advocate for Persons with Disabilities (APD) assists persons with disabilities in seeking opportunities to be productive, active citizens and provides access to emerging technology and current information on the legal rights, services and programs available to them.

ORGANIZATION AND STAFFING

Headed by the State Advocate who is appointed by the Governor, the Agency is administered from Albany. APD will have a workforce of 20 positions for 2000-2001. Approximately 75 percent of these positions are paid by State tax dollars from the General Fund and 25 percent are financed by Federal grants and other funding sources.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2000-2001 All Funds Executive Budget recommendation of \$1,577,000 maintains APD's ability to disseminate comprehensive information on services and programs available to persons with disabilities and to collaborate with other agencies, businesses and organizations to increase the effectiveness of public and private sector services. Despite a loss of \$120,000 in Federal grants, all core services will be preserved.

PROGRAM HIGHLIGHTS

APD operates a statewide clearinghouse through which individuals can access information and obtain referrals to a variety of disability-related services. Key components of the system are a statewide telephone hotline (800-522-4369), an electronic bulletin board service, and a website. It is anticipated that more than 60,000 individuals will utilize these services in 2000-2001.

The Agency's Federally funded Technology Related-Assistance for Individuals with Disabilities (TRAID) Project supports a statewide system which helps New Yorkers with disabilities access technology devices and services to strengthen their ability to participate in the mainstream workplace and community. This program is coordinated through an Interagency Partnership on Assistive Technology and twelve Regional TRAIID Centers, which are funded collaboratively with the Department of Health. During 1998, these Regional TRAIID Centers provided awareness training, device demonstrations, information, and referrals to over 17,000 persons with disabilities.

APD also provides comprehensive education, public awareness, and technical assistance programs which promote increased voluntary compliance with the Americans with Disabilities Act (ADA). During 2000-2001, more than 4,900 individuals are expected to participate in ADA-related activities conducted by APD.

ADVOCATE FOR PERSONS WITH DISABILITIES

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$1,712,000	\$1,577,000	-\$135,000	\$600,000
Aid To Localities
Capital Projects
Total	\$1,712,000	\$1,577,000	-\$135,000	\$600,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Advocate for the Disabled					
General Fund	15	15	15
Special Revenue Funds - Federal	3	3	3
Expendable Trust Funds	1	1	1
Subtotal, Direct Funded Programs	19	19	19
Suballocations:					
Special Revenue Funds - Federal	2			1	-1
Total	21			20	-1

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$1,040,000	\$1,015,000	-\$25,000
Special Revenue Funds - Federal	580,000	460,000	-120,000
Enterprise Funds	15,000	25,000	+10,000
Fiduciary Funds	77,000	77,000
Total	\$1,712,000	\$1,577,000	-\$135,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Advocate for the Disabled			
General Fund	\$1,040,000	\$1,015,000	-\$25,000
Special Revenue Funds - Federal	580,000	460,000	-120,000
Enterprise Funds	15,000	25,000	+10,000
Expendable Trust Funds	77,000	77,000
Total	\$1,712,000	\$1,577,000	-\$135,000

ADVOCATE FOR PERSONS WITH DISABILITIES

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Advocate for the Disabled	\$895,000	\$889,000	\$6,000
Total	\$895,000	\$889,000	\$6,000

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Advocate for the Disabled	\$120,000	-\$25,000	\$18,000
Total	\$120,000	-\$25,000	\$18,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Advocate for the Disabled	\$23,000	\$76,900
Total	\$23,000	\$76,900

Program	Equipment	
	Amount	Change
Advocate for the Disabled	\$2,100
Total	\$2,100

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Advocate for the Disabled	\$562,000	-\$110,000	\$562,000	-\$110,000
Total	\$562,000	-\$110,000	\$562,000	-\$110,000

STATE OFFICE FOR THE AGING

MISSION

The New York State Office for the Aging is responsible for promoting, coordinating and administering State, Federal and local programs and services for 3.2 million New Yorkers aged 60 or older. The Office provides leadership and direction to 59 Area Agencies on Aging, as well as to numerous other local programs and providers that comprise the network of services to the aged.

ORGANIZATION AND STAFFING

The State Office for the Aging is headed by a Director and is composed of four divisions: Executive, Finance and Administration, Local Program Operations, and Policy and Program Development. The Office will have a workforce of 159 employees during SFY 2000-01: 142 funded within the agency, and an additional 17 positions funded by other State agencies. Approximately 36 percent of agency operations are funded by the General Fund, and the remaining 64 percent is financed by Federal grants and grants from private organizations.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2000-01 Executive Budget recommends nearly \$173 million in State and Federal funds for the continued operations of the agency and the expansion of community-based support services for the elderly. Within the aging system, State support is used to match Older Americans Act and other Federal funding and establish a service-delivery network that attracts a variety of other public and private contributions. When combined, this results in total network spending of over \$340 million, excluding the value of volunteer labor which has been estimated at upwards of \$35 million.

A centerpiece of these recommendations is the addition of \$1.6 million to expand services under the Community Services for the Elderly (CSE) program, bringing the total amount of State support for this program to over \$15 million. Serving nearly 87,000 elderly statewide, this program supports a locally-coordinated continuum of support services designed to assist the elderly, their families and informal caregivers. The goal of the program is to maximize independence and reduce unnecessary reliance on institutional care.

Services available under this program include: case management, nutrition/meals, housekeeping/chore services, personal care, home health aide services, adult day care, transportation and other individualized support services. In contrast with the agency's other major programs, which are limited to certain prescribed services, CSE affords Area Agencies on Aging maximum flexibility in selecting which services to offer and/or expand. Strongly linked to local planning efforts, CSE provides a comprehensive array of services that will allow the State to continue to meet the ever-changing needs of our growing elderly population.

PROGRAM HIGHLIGHTS

The full array of programs offered through the Office for the Aging are aimed at keeping seniors independent as long as possible by utilizing family and other informal caregivers, as well as through the limited use of formal support services. These community-based services help keep people healthy and in their homes and out of hospitals and nursing homes.

STATEWIDE COMMUNITY-BASED ASSISTANCE

In addition to the CSE program, the State supports other statewide aging programs administered through Area Agencies on Aging from a combination of State and Federal funding.

The Expanded In-home Services for the Elderly Program (EISEP) provides non-medical in-home services, case management, respite and ancillary services to the frail elderly, most of whom are low income but not eligible for Medicaid. Recipients are required to cost-share, based on their annual income level, for EISEP program services received. More than 29,000 persons are served under this program.

The Congregate Services Initiative (CSI) provides services in community settings where people come together for services and activities, including: information and assistance, counseling, transportation, support services for families/caregivers, volunteer opportunities and employment information and health promotion and disease prevention services.

NUTRITION SERVICES

Through a combination of State tax dollars and Federal grants, the State annually provides more than 25 million congregate and home-delivered meals or other nutritional services to non-institutionalized frail elderly clients. These seniors are at risk of malnutrition because they are no longer able to obtain an adequate diet without assistance. The Office receives \$29 million in appropriation authority for Federal grants in support of congregate meals, \$10 million in support of home-delivered meals, and \$17 million to support the purchase of food. The State counterpart to these Federal programs — the Supplemental Nutrition Assistance Program (SNAP) — provides another \$16 million. The SNAP program serves nearly 4 million meals annually, at an average cost of only \$4.72 per meal.

PARTNERSHIPS AND TARGETED PROGRAMS

The Office participates in a number of partnerships with businesses, foundations and not-for-profit organizations, and also coordinates programs targeted for special populations.

The term "Naturally Occurring Retirement Community" (NORC) describes a phenomenon experienced by certain housing complexes, cooperatives and apartment buildings where the population has "aged in place". The NORC Supportive Service Program was created to deliver on-site services, thereby allowing residents to continue to remain independent. Fourteen such programs exist, with the State's public funds matched dollar for dollar by private contributions from the retirement communities themselves. Now in its sixth year, this public/private partnership cares for an estimated 20,000 clients.

Under the Caregiver Assistance Program, 17 Caregiver Resource Centers provide a single focal point of assistance for family members and other informal providers of long-term care to homebound elderly. The primary responsibilities of the Centers are to assist caregivers through training programs, support groups and counseling; and to link them with Area Agency on Aging services, as well as other community services. More than 8,500 people are served by this program.

The Long-Term Care Ombudsman Program (LTCOP) supports the advocacy in 54 counties of more than 600 trained volunteers on the behalf of the approximately 150,000 residents of the New York State's nursing homes and adult care facilities. Under this program, the State Office for the Aging and community agencies receive, investigate and resolve a wide range on concerns and complaints regarding conditions and treatment in long-term care facilities, with the goal of ensuring the quality of life for residents.

Through its network of Area Agencies on Aging and local not-for-profit agencies, the Office also provides State grant funding for long-term respite care, the Retired and Senior Volunteer Program and the Foster Grandparent Program.

**ALL FUNDS
APPROPRIATIONS**

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$13,971,000	\$14,055,000	+\$84,000	\$6,432,000
Aid To Localities	165,740,312	158,862,000	-6,878,312	83,700,000
Capital Projects
Total	\$179,711,312	\$172,917,000	-\$6,794,312	\$90,132,000

**ALL FUND TYPES
LEVELS OF EMPLOYMENT BY PROGRAM
ANNUAL SALARIED POSITIONS**

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund	21	21	21
Special Revenue Funds - Federal	45	45	45
Community Services					
General Fund	25	25	25
Special Revenue Funds - Federal	51	51	51
Subtotal, Direct Funded Programs	142	142	142
Suballocations:					
General Fund	5			5
Special Revenue Funds - Federal	12			12
Total	159			159

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$2,619,000	\$2,703,000	+\$84,000
Special Revenue Funds - Federal	10,199,000	10,199,000
Enterprise Funds	200,000	200,000
Fiduciary Funds	953,000	953,000
Total	\$13,971,000	\$14,055,000	+\$84,000

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$1,165,000	\$1,206,000	+\$41,000
Special Revenue Funds - Federal	2,615,000	2,615,000
Enterprise Funds	200,000	200,000
Community Services			
General Fund	1,454,000	1,497,000	+43,000
Special Revenue Funds - Federal	7,584,000	7,584,000
Expendable Trust Funds	953,000	953,000
Total	\$13,971,000	\$14,055,000	+\$84,000

AGING

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$1,021,000	+\$33,000	\$1,019,000	+\$33,000
Community Services	1,305,000	+39,000	1,296,000	+39,000	\$3,000
Total	\$2,326,000	+\$72,000	\$2,315,000	+\$72,000	\$3,000

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$2,000
Community Services	6,000
Total	\$8,000

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$185,000	+\$8,000	\$18,000	+\$1,000
Community Services	192,000	+4,000	23,000	+1,000
Total	\$377,000	+\$12,000	\$41,000	+\$2,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$34,000	+\$2,000	\$127,000	+\$5,000
Community Services	52,000	114,000	+3,000
Total	\$86,000	+\$2,000	\$241,000	+\$8,000

Program	Equipment	
	Amount	Change
Administration	\$6,000
Community Services	3,000
Total	\$9,000

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$2,815,000	\$2,369,000
Community Services	8,537,000	5,749,000
Total	\$11,352,000	\$8,118,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$246,000	\$200,000
Community Services	1,835,000	953,000
Total	\$2,081,000	\$1,153,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund	\$67,040,312	\$60,162,000	-\$6,878,312
Special Revenue Funds - Federal	95,600,000	95,600,000
Fiduciary Funds	3,100,000	3,100,000
Total	<u>\$165,740,312</u>	<u>\$158,862,000</u>	<u>-\$6,878,312</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Community Services			
General Fund	\$58,562,000	\$60,162,000	+\$1,600,000
Special Revenue Funds - Federal	95,600,000	95,600,000
Fiduciary Funds	3,100,000	3,100,000
Community Projects			
General Fund	<u>8,478,312</u>	<u>-8,478,312</u>
Total	<u>\$165,740,312</u>	<u>\$158,862,000</u>	<u>-\$6,878,312</u>

CAPITAL DEFENDER OFFICE

MISSION

The Capital Defender Office, which has been in operation since September 1, 1995, is authorized to defend any indigent person charged with a capital crime. With the restoration of the death penalty, persons convicted of first-degree murder may be sentenced to death by lethal injection, life imprisonment without parole, or 20 to 25 years in prison. To be sentenced to death, a person must be found guilty of first-degree murder which includes the killing of a police officer, killing for hire, and certain other heinous murders.

ORGANIZATION AND STAFFING

A three-member board oversees the work of the Capital Defender Office. The Board members are appointed, one each by the Temporary President of the Senate, the Speaker of the Assembly and the Chief Judge of the Court of Appeals. The Office has a staff of 75 located in a central office in New York City and regional offices in Albany and Rochester.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

A total of \$15.2 million in State tax dollars is recommended to fund death penalty defense costs in 2000-01. This will support the agency's staff attorneys, investigators and experts as well as legal aid societies and private attorneys appointed to represent indigent defendants in capital cases.

PROGRAM HIGHLIGHTS

The purpose of the Capital Defender Office is to ensure that offenders who face the death penalty receive the full legal protection to which they are entitled under law. The Capital Defender Office is required to provide legal, investigative and expert services to indigent defendants charged with crimes eligible for the death penalty. Since not all defendants in capital cases will be represented by the Office, the law also requires the agency to set minimum standards for lawyers appointed to defend such cases, provide training and assistance to these attorneys and provide judges with lists of qualified lawyers.

ALL FUNDS APPROPRIATIONS

<u>Category</u>	<u>Available 1999-00</u>	<u>Appropriations Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations Recommended 2000-01</u>
State Operations	\$14,756,500	\$15,197,500	+\$441,000
Aid To Localities
Capital Projects
Total	<u>\$14,756,500</u>	<u>\$15,197,500</u>	<u>+\$441,000</u>	<u>....</u>

CAPITAL DEFENDER

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Capital Defense General Fund	69	75	75	+6
Total	69	75	75	+6

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$14,756,500	\$15,197,500	+\$441,000
Total	\$14,756,500	\$15,197,500	+\$441,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Capital Defense General Fund	\$14,756,500	\$15,197,500	+\$441,000
Total	\$14,756,500	\$15,197,500	+\$441,000

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Capital Defense	\$4,684,700	+\$361,000	\$4,595,700	+\$354,000	\$89,000	+\$7,000
Total	\$4,684,700	+\$361,000	\$4,595,700	+\$354,000	\$89,000	+\$7,000

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

<u>Program</u>	Total		Supplies and Materials	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Capital Defense	\$10,512,800	+\$80,000	\$180,400	+\$5,400
Total	<u>\$10,512,800</u>	<u>+\$80,000</u>	<u>\$180,400</u>	<u>+\$5,400</u>

<u>Program</u>	Travel		Contractual Services	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Capital Defense	\$304,200	+\$9,200	\$2,017,700	+\$60,700
Total	<u>\$304,200</u>	<u>+\$9,200</u>	<u>\$2,017,700</u>	<u>+\$60,700</u>

<u>Program</u>	Equipment		Maintenance Undistributed	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Capital Defense	\$154,700	+\$4,700	\$7,855,800
Total	<u>\$154,700</u>	<u>+\$4,700</u>	<u>\$7,855,800</u>	<u>.....</u>

DEVELOPMENTAL DISABILITIES PLANNING COUNCIL

MISSION

The New York State Developmental Disabilities Planning Council is fully funded under the Federal Disabilities Assistance and Bill of Rights Act of 1975 to prepare, implement and monitor a plan for improving the quality of life for people with developmental disabilities.

ORGANIZATION AND STAFFING

Located in Albany, the Council is composed of 32 members, who have been appointed by the Governor to three-year staggered terms. Federal law requires that at least 50 percent of the Council membership be composed of persons with developmental disabilities, parents and guardians of children with developmental disabilities, and immediate relatives and guardians of adults with mentally impairing developmental disabilities who cannot advocate for themselves. Other required members represent State agencies, educational and training providers, and local public and private services agencies.

The Chairperson of the Council is appointed by the Governor from within the Council. In addition, a full-time staff of 18, under the leadership of an Executive Director, will assist the Council in carrying out its mission in 2000-01.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Federal funds fully support all Council operations, including State staff and contracts with non-profit agencies to develop new services and service delivery methods. The contracts are monitored by the Council to ensure that Federal funds provided to the State are used to augment — rather than duplicate or replace — existing services for people with developmental disabilities.

The 2000-01 recommendation of \$4,250,000 in Federal funds represents no change from the prior year, based on a projection of level Federal funding. This funding is sufficient to support the Council's role in coordinating information about persons with developmental disabilities and the services available to them, and in overseeing grant funds.

PROGRAM HIGHLIGHTS

Through its Council membership of State agency heads, including the Commissioner of the Office of Mental Retardation and Developmental Disabilities, the Council employs an interagency approach to advocate improved and enhanced services, supports, and assistance for persons with developmental disabilities. Its activities include quarterly meetings, task forces, white papers, conferences, training, technical assistance and the development of a three-year State Plan. The Council also works closely with public and private entities that provide services for individuals with developmental disabilities and their families to jointly design new service delivery methods and share insights and approaches that may have statewide application.

DEVELOPMENTAL DISABILITIES PLANNING COUNCIL

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$4,250,000	\$4,250,000	\$6,218,000
Aid To Localities
Capital Projects
Total	\$4,250,000	\$4,250,000	\$6,218,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Developmental Disabilities Planning					
Special Revenue Funds - Federal	18	18	18
Total	18	18	18

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
Special Revenue Funds - Federal	\$4,250,000	\$4,250,000
Total	\$4,250,000	\$4,250,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Developmental Disabilities Planning			
Special Revenue Funds - Federal	\$4,250,000	\$4,250,000
Total	\$4,250,000	\$4,250,000

DEVELOPMENTAL DISABILITIES PLANNING COUNCIL

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

<u>Program</u>	<u>Total Amount</u>	<u>Change</u>	<u>Personal Service Amount</u>	<u>Change</u>
Developmental Disabilities				
Planning	\$4,250,000	\$790,000	+\$30,000
Total	<u>\$4,250,000</u>	<u>.....</u>	<u>\$790,000</u>	<u>+\$30,000</u>
<u>Program</u>	<u>Nonpersonal Service Amount</u>	<u>Change</u>	<u>Maintenance Undistributed Amount</u>	<u>Change</u>
Developmental Disabilities				
Planning	\$582,000	+\$59,000	\$2,878,000	-\$89,000
Total	<u>\$582,000</u>	<u>+\$59,000</u>	<u>\$2,878,000</u>	<u>-\$89,000</u>

DEPARTMENT OF HEALTH

MISSION

The Department of Health strives to ensure that high quality, appropriate health services are available to all New York State residents at a reasonable cost. Department functions and responsibilities include:

- Promoting and supervising public health activities throughout the State;
- Ensuring sound and cost-effective quality medical care for all residents; and,
- Reducing infectious diseases such as tuberculosis, measles, mumps and rubella and chronic disabling illnesses such as heart disease, cancer, stroke and respiratory diseases.

The Department of Health is also the principal State agency that interacts with the Federal and local governments, health care providers and program participants for the State's Medicaid program.

ORGANIZATION AND STAFFING

Under the direction of the Commissioner, the Department of Health meets its responsibilities through the Office of Medicaid Management, the Office of Managed Care, the Office of Continuing Care, the centers located in the Office of Public Health, and the Office of Health Systems Management. These entities provide policy and management direction to the Department's system of regional offices. Department staff located in regional offices conduct health facility surveillance, public health monitoring and direct services, and oversee county health department activities.

Additionally, the Department is responsible for five health care facilities engaged in advanced medical research and patient care including the Roswell Park Cancer Institute in Buffalo, the Helen Hayes Hospital in West Haverstraw, and three nursing homes for the care of veterans and their dependents in Oxford, New York City and Batavia. In fiscal year 2000-01, construction is expected to be substantially complete on a fourth veterans' nursing home located in Montrose. In early 1999, responsibility for the operations of the Roswell Park Cancer Institute was transferred to a public benefit corporation, the Roswell Park Cancer Institute Corporation, pursuant to an operating agreement between the Corporation and the Department. This has provided Roswell with the flexibility needed to compete more effectively in a changing health care environment.

In fiscal year 2000-01, the Department of Health will have a workforce of approximately 6,000 positions, with almost twenty-four percent of those positions employed in the Department's health care facilities. Since 1995-96, the number of positions has decreased by approximately 800, reflecting the transfer to the Department of more than 950 positions for Medicaid program and audit activities, and new program initiatives such as the safe drinking water program, offset by the impact of attrition, early retirements, and Roswell Park Cancer Institute's transition to a public benefit corporation.

- Approximately 23 percent of these positions are paid exclusively by the General Fund;
- 9 percent are directly supported by fees;
- 52 percent are supported by third party, private patient care and Federal reimbursement; and,
- The remaining 16 percent are directly funded by Federal grants.

MEDICAID

Total Medicaid spending in New York will be approximately \$30.9 billion next year. The 2000-01 Medicaid budget reflects a continued commitment to an effective and affordable delivery system that promotes quality health care, protects patients, and assures access to appropriate services to meet the health care needs of the State's neediest residents.

Acute Care

State Medicaid spending for hospitals and clinics will reach \$2 billion in 2000-01. Hospitals will continue to rely on the landmark Health Care Reform Act (HCRA), originally enacted in 1996, as the basis for their funding. HCRA, which was extended in 1999 for another three and one-half years, encourages competition in the health care industry by allowing most non-Medicaid payors to negotiate rates with hospitals.

The Health Care Reform Act of 2000 (HCRA 2000) — successor legislation to the landmark Health Care Reform Act of 1996 — continues, through March 31, 2003, cost containment actions enacted as part of prior year Budgets. In addition, HCRA 2000 continues to provide hospitals and clinics with adequate funding to ensure that quality health care services are accessible and affordable for all New Yorkers.

Long-Term Care

State Medicaid expenditures on long-term care services — nursing home and community-based home care — will be \$3.3 billion in 2000-01.

Progress continues to be made in implementing the provisions of the Long-Term Care Integration and Finance Act of 1997. Continuing Care Retirement Communities are providing the elderly with more affordable and accessible options for comprehensive, independent living arrangements that include a wide array of home care, nursing care and other medical services. The rapidly growing Managed Long Term Care program is developing a broader and more integrated continuum of long term care service options.

In addition, the Partnership for Long Term Care is providing long term care insurance that protects individuals from having to spend down their assets to qualify for Medicaid in the event of a lengthy illness. This innovative Partnership, used in three other states, now represents a significant portion of the New York's long term care insurance market.

Managed Care

The 2000-01 budget reflects the continued mandatory enrollment of Medicaid recipients in managed care. Federal approval of New York's 1115 managed care waiver in July 1997 set the stage for mandatory enrollment to commence in October 1997. To date, sections of New York City and thirteen upstate counties — Albany, Broome, Columbia, Erie, Greene, Monroe, Niagara, Onondaga, Ontario, Oswego, Rensselaer, Saratoga and Westchester — are operating mandatory managed care programs. New York City will continue to phase in managed care, by zip code, in five phases with a four-month lag between each phase. The remainder of the State will also phase in at four-month intervals subject to Federal approval.

During the first half of 1999-2000, voluntary enrollment remained nearly level. Enrollment is projected to reach approximately 781,000 by the end of 1999-2000 and 1.4 million by the end of 2000-01. When fully implemented, approximately three-quarters of all Medicaid recipients are expected to be enrolled in mandatory managed care. As a result of existing managed care enrollment, New York State continues to have increased primary care use, lower emergency room use, and fewer inpatient days.

The State's Medicaid Managed Care program ensures that the neediest people receive high quality, accessible health care. Legislation passed in 1996 authorizes the State to certify special managed care plans to provide comprehensive services to individuals infected with the HIV virus or who have a serious mental illness. These Special Needs Plans will begin operation in 2000-01. Start-up grant funding will be provided to facilitate implementation of these plans. Medicaid Managed Care also incorporates a comprehensive set of consumer protections to ensure that recipients obtain enrollment assistance and quality care, and understand their rights and responsibilities under managed care plans.

Medicaid Administration

The Department of Health is responsible for overall management of the Medicaid program including the State's interaction with Federal and local governments, health care providers and Medicaid recipients. Counties will continue their role in making Medicaid eligibility determinations. Payments to health care providers also continue to be made through the State's computerized Medicaid Management Information System (MMIS) which is operated by a private agency with oversight by State personnel. The Department has re-procured management and development services for a Replacement Medicaid System (RMS) to replace both MMIS and the Electronic Medicaid Eligibility Verification System (EMEVS). The RMS will replace out-of-date technology and bring New York State into compliance with new Federal reporting requirements. In addition, the new system will substantially enhance front-end detection of Medicaid fraud.

CHILD HEALTH PLUS

New York's Child Health Plus program continues to set a national standard for children's health insurance coverage for children up to age 19. The Child Health Plus program was significantly expanded with passage of legislation under Governor Pataki's leadership in both 1996 and 1998, and resulted in a dramatic increase in enrollment. The program has expanded to include comprehensive health benefits, Medicaid coverage for 15 to 19 year olds and, beginning July 2000, eligibility is raised to 250 percent of the Federal Poverty Level.

New York is eligible for approximately \$305 million in Federal funds in 2000-01. These funds, when combined with State Health Care Reform Act (HCRA) moneys, will facilitate access to comprehensive Child Health Plus coverage to virtually all eligible children in our State.

OTHER PUBLIC HEALTH PROGRAMS

Excluding Medicaid program costs, General Fund appropriations finance 32 percent of the Department of Health's budget in 1999-2000, down from a high of 62 percent in the mid-1980's.

Other revenue, including 1) reimbursement for patient care provided at the Department's health care facilities; 2) regulatory fees and audit recoveries; 3) management fees for hospital and nursing home construction projects financed through bond proceeds; and, 4) registration, testing and certification fees for various public health services, support 28 percent of the Department of Health's budget, including the Professional Medical Conduct Program, clinical and environmental laboratory certification activities, and health care facilities' operating costs. The remaining 40 percent is provided by Federal grants and Fiduciary and Enterprise funds.

Capital Projects appropriations preserve and maintain the Department's hospitals, nursing homes and the three separate laboratory facilities in Albany County which constitute the Wadsworth Center for Laboratories and Research. The costs of projects at the health care facilities are funded from facility revenues and/or the General Fund.

HEALTH

This overall recommendation ensures that public health priorities are preserved. As such, the 2000-01 budget:

- Includes \$6.5 million, growing to \$13.1 million in 2001-02 — generated from new water supply connection fees — for an initiative to ensure drinking water safety by expanding State and local surveillance of public water supplies.
- Maintains State funding at current levels of over \$14.1 million for critical public health needs including school health, lead poisoning prevention, breast cancer detection and prenatal care.
- Sustains the State's commitment to fighting the AIDS epidemic by continuing statewide spending at more than \$2 billion, including \$106.1 million to be spent by the AIDS Institute. Emphasis will continue to be placed on prevention and specialized services which target resources to populations with the greatest risk of infection. In 2000-01, \$23.7 million in Institute programs will be financed from HCRA funds.
- Includes approximately \$165 million to reimburse counties and New York City for providing public health services such as childhood immunizations, primary health care, and control of communicable diseases such as tuberculosis and sexually-transmitted diseases. To promote efficient local operations, appropriation language will generally limit reimbursement to counties to prior year spending as adjusted for inflation.
- Includes more than \$40 million, funded largely from HCRA, for an enhanced anti-smoking program including counter advertising, community and school-based education programs, cancer mapping and strict enforcement of laws regulating the sale and use of tobacco products.
- Supports the optional State supplementation of the Federal nutrition assistance program for women, infants and children (WIC) with \$28 million. New York continues to be one of only thirteen states to augment Federal nutrition funds.
- Continues the Elderly Pharmaceutical Insurance Coverage program, which will help approximately 122,500 elderly persons next year with their out-of-pocket prescription expenses. For 2000-01, EPIC's costs will be financed from the HCRA funds.
- Promotes program and cost efficiencies in the \$135 million Early Intervention program serving infants and toddlers under the age of three who have developmental delays.
- Continues funding of \$14.9 million from all sources for programs to promote sexual abstinence among adolescents, including \$7.7 million suballocated from the Office of Children and Family Services.
- Adds new funding of \$2.5 million to prevent the potential spread of the West Nile virus and to respond to other "West Nile like" events. These funds will support epidemiology, surveillance and laboratory testing staff as well as equipment and other needs.
- Adds new funding of \$5 million to expand New York's newborn screening program to keep pace with advances in science. Genetic and other medical research is making it possible to diagnose an increasing number of treatable, yet potentially life threatening, disorders.

PROGRAM HIGHLIGHTS

MEDICAID

Originally established in 1965 by the Federal government as a health insurance program for the poor, New York's Medicaid program grew to \$29.5 billion in 1999-2000, providing coverage to approximately 3.1 million New Yorkers. In addition to the federally-mandated services — inpatient and outpatient hospital care, nursing facility care, physician services,

home health care, family planning, laboratory and x-ray services — New York also provides almost all federally permissible optional services.

Traditionally, the Federal government has paid for 50 percent of the State's Medicaid program. The State pays about 35 percent of the remaining costs and counties pay about 15 percent. The Federal government has matched, on an unlimited basis, each State dollar expended on Medicaid.

ACCESS TO QUALITY HEALTH CARE

The Department develops and implements programs to ensure that residents of New York have access to high quality health care. Over the last four years, significant legislation has been enacted that will enhance the availability of appropriate care to all New Yorkers:

- The landmark Health Care Reform Act of 1996 deregulated inpatient hospital reimbursement and introduced a market-based system which allows commercial insurers, managed care entities and self-insured plans to establish rates of payment directly through negotiations with hospitals and without State intervention. The HCRA successor legislation (HCRA 2000), enacted in 1999, retains the State's commitment to ensuring that the hospital system adapts to the changing health care environment. In addition, HCRA 2000 provides comprehensive new programs for the uninsured.
- Pioneering Consumer Managed Care legislation enacted in 1996 — the Managed Care Bill of Rights — ensures that consumers will be appropriately informed about managed care choices, benefits and guarantees that providers can discuss all appropriate health care options.
- Enhanced consumer protections were added in External Review legislation enacted in 1998 which provide health plan enrollees the opportunity to request an external appeal when coverage of health care services is denied on the grounds that the service is not medically necessary, or that it is experimental or investigational.
- The Long-Term Care Integration and Finance Act of 1997 authorizes various managed long-term care models for evaluation, expands service options, increases available financing streams for long-term care, and ensures the tax deductibility of long-term care insurance.

PUBLIC HEALTH

Efforts such as education, research and prevention of injuries and disease are aimed at improving the health of all New Yorkers. Particular focus is placed on nutrition, prenatal and perinatal care, child health, treatment and control of sexually transmitted diseases and tuberculosis, childhood immunization, the health risks of environmental contaminants, drinking water purity, and follow-up investigations of disease outbreak. In addition, the Department serves as primary liaison with local and county health departments to ensure the quality of public health services throughout New York State.

The Wadsworth Center for Laboratories and Research assists the Department in accomplishing its public health mission. Screening programs conducted by the Center annually report nearly three million test results to providers. These programs encompass such public health concerns as HIV, tuberculosis, and genetic disorders in newborns. Ongoing research, largely funded by external grants, is carried out for public health problems such as AIDS, Lyme disease, cancer and the toxic effects of chemical substances and radiation. In addition, the Wadsworth Center regulates over 800 environmental laboratories and over 1,800 clinical laboratories and blood banks to ensure testing quality, and the public's health and safety.

HEALTH

RESPONSE TO THE AIDS EPIDEMIC

The Department develops and funds HIV prevention and health care programs, educates the public and health care providers, formulates policy and directs regional and statewide HIV/AIDS planning. New York remains a leader in combating this complex epidemic by responding to changes in incidence with even greater attention to population-based programming, long term care and policies designed to reduce discrimination and guarantee basic medical care and treatment for the uninsured. New York State will spend \$2 billion to combat HIV/AIDS next year.

HEALTH SYSTEMS MANAGEMENT

The Department assures that quality health care is available to all New York residents by overseeing the services provided by hospitals, nursing homes, diagnostic and treatment centers and home care providers. The Department strives to ensure that limited health care dollars are prudently spent through its reimbursement rate methodologies, rate setting, and review and monitoring of health facility plans for construction and expansion. Federal reimbursement for surveillance and certification, and fees for overseeing facilities to assure that bonded debt is repaid, help to offset program costs.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$3,297,895,100	\$3,797,889,800	+\$499,994,700	\$3,755,948,900
Aid To Localities	23,461,753,872	25,088,546,200	+1,626,792,328	19,147,355,650
Capital Projects	98,877,000	120,077,000	+21,200,000	205,839,000
Total	<u>\$26,858,525,972</u>	<u>\$29,006,513,000</u>	<u>+\$2,147,987,028</u>	<u>\$23,109,143,550</u>

**ALL FUND TYPES
LEVELS OF EMPLOYMENT BY PROGRAM
ANNUAL SALARIED POSITIONS**

2000-01 Recommended Average Fill Level

Program	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	Change
Administration and Executive Direction					
General Fund	188	148	148	-40
Special Revenue Funds - Federal	159	158	158	-1
Special Revenue Funds - Other	224	251	251	+27
AIDS Institute					
General Fund	186	198	198	+12
Special Revenue Funds - Other	20	20	20
Child Health Insurance					
Special Revenue Funds - Other	46	46	46
Community Health					
General Fund	76	90	90	+14
Special Revenue Funds - Federal	639	605	605	-34
Special Revenue Funds - Other	1	1	1
Office of Continuing Care					
General Fund	369	369	369
Special Revenue Funds - Other	2	2	2
Elderly Pharmaceutical Insurance Coverage					
General Fund	20	20	20
Environmental Health					
General Fund	120	102	102	-18
Special Revenue Funds - Federal	134	133	133	-1
Special Revenue Funds - Other	90	123	123	+33
Health Care Financing					
General Fund	87	87	87
Special Revenue Funds - Other	59	58	58	-1
Health Care Standards and Surveillance					
General Fund	276	276	276
Special Revenue Funds - Other	235	240	240	+5
Institution Management					
Special Revenue Funds - Other	1,438	1,411	1,411	-27
Laboratories and Research					
General Fund	407	405	405	-2
Special Revenue Funds - Federal	86	77	77	-9
Special Revenue Funds - Other	164	164	164
Managed Care					
General Fund	152	152	152
Special Revenue Funds - Other	3	3	3
Medicaid Audit and Fraud Prevention					
General Fund	228	228	228
Special Revenue Funds - Federal	244	244	244
Special Revenue Funds - Other	27	27	27
Office of Medicaid Management					
Special Revenue Funds - Other	5	5	5
Medical Assistance					
General Fund	422	422	422
Subtotal, Direct Funded Programs	6,107	6,065	6,065	-42
Suballocations:					
Special Revenue Funds - Federal	22			22
Special Revenue Funds - Other	106			106
Enterprise Funds	16			16
Total	6,251			6,209	-42

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund	\$143,116,000	\$188,825,800	+\$45,709,800
Special Revenue Funds - Federal	2,729,447,600	3,265,405,600	+535,958,000
Special Revenue Funds - Other	422,596,500	340,923,400	-81,673,100
Enterprise Funds	10,000	10,000
Fiduciary Funds	2,725,000	2,725,000
Total	\$3,297,895,100	<u>\$3,797,889,800</u>	<u>+\$499,994,700</u>
Adjustments:			
Prior Year Deficiency			
Health, Department of			
Special Revenue Funds - Other	+2,000,000		
Appropriated 1999-00	<u>\$3,299,895,100</u>		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Administration and Executive Direction			
General Fund	\$15,809,900	\$18,015,500	+\$2,205,600
Special Revenue Funds - Federal	15,666,400	14,464,000	-1,202,400
Special Revenue Funds - Other	21,929,700	23,339,600	+1,409,900
AIDS Institute			
General Fund	15,509,900	15,873,200	+363,300
Special Revenue Funds - Federal	200,000	-200,000
Special Revenue Funds - Other	1,967,600	1,972,300	+4,700
Child Health Insurance			
Special Revenue Funds - Federal	13,000,000	13,400,000	+400,000
Special Revenue Funds - Other	7,000,000	11,000,000	+4,000,000
Community Health			
General Fund	7,475,900	9,045,900	+1,570,000
Special Revenue Funds - Federal	87,366,100	94,466,900	+7,100,800
Special Revenue Funds - Other	3,593,800	5,593,900	+2,000,100
Office of Continuing Care			
General Fund	20,041,300	20,096,000	+54,700
Special Revenue Funds - Federal	12,778,000	12,778,000
Special Revenue Funds - Other	3,567,100	3,618,000	+50,900
Fiduciary Funds	400,000	400,000
Elderly Pharmaceutical Insurance Coverage			
General Fund	5,864,500	6,719,000	+854,500
Environmental Health			
General Fund	8,335,600	7,736,200	-599,400
Special Revenue Funds - Federal	12,755,500	12,909,300	+153,800
Special Revenue Funds - Other	13,247,600	15,363,700	+2,116,100
Health Care Financing			
General Fund	5,681,600	5,476,700	-204,900
Special Revenue Funds - Other	5,094,700	5,440,000	+345,300
Health Care Standards and Surveillance			
General Fund	22,668,100	22,460,300	-207,800
Special Revenue Funds - Federal	622,000	-622,000
Special Revenue Funds - Other	37,231,300	40,407,300	+3,176,000
Institution Management			
General Fund	100,000	-100,000
Special Revenue Funds - Other	195,460,800	106,055,200	-89,405,600
Enterprise Funds	10,000	10,000
Fiduciary Funds	325,000	325,000
Laboratories and Research			
General Fund	27,420,100	33,200,100	+5,780,000
Special Revenue Funds - Federal	7,599,600	7,962,200	+362,600
Special Revenue Funds - Other	27,796,000	27,795,400	-600
Fiduciary Funds	2,000,000	2,000,000
Maintenance Undistributed			
General Fund	-91,648,900	-78,338,000	+13,310,900
Special Revenue Funds - Other	89,148,900	78,338,000	-10,810,900
Managed Care			
General Fund	13,058,000	13,241,000	+183,000
Special Revenue Funds - Other	709,000	-709,000
Medicaid Audit and Fraud Prevention			
General Fund	14,809,000	17,073,300	+2,264,300
Special Revenue Funds - Federal	27,176,000	34,563,500	+7,387,500
Special Revenue Funds - Other	14,500,000	14,500,000
Office of Medicaid Management			
Special Revenue Funds - Federal	2,528,131,000	3,007,350,700	+479,219,700
Special Revenue Funds - Other	1,350,000	7,500,000	+6,150,000
Medical Assistance			
General Fund	41,111,000	44,550,600	+3,439,600
Medicaid Management Information System			
General Fund	36,880,000	53,676,000	+16,796,000
Special Revenue Funds - Federal	24,153,000	67,511,000	+43,358,000
Total	<u>\$3,297,895,100</u>	<u>\$3,797,889,800</u>	<u>+\$499,994,700</u>

HEALTH

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration and Executive						
Direction	\$7,650,300	-\$1,994,400	\$7,422,300	-\$1,994,400	\$125,000
AIDS Institute	9,661,400	+845,600	9,646,400	+845,600
Community Health	4,043,000	+543,000	3,964,200	+543,000	60,000
Office of Continuing Care	18,796,000	+53,300	18,796,000	+53,300
Elderly Pharmaceutical Insurance						
Coverage	1,017,000	-22,300	1,016,000	-22,300
Environmental Health	5,800,000	-599,400	5,603,200	-599,400	186,300
Health Care Financing	4,608,400	-204,900	4,576,400	-204,900
Health Care Standards and						
Surveillance	14,313,600	-207,800	14,143,600	-278,700	20,000
Laboratories and Research	19,550,000	+750,000	19,228,500	+750,000	64,200
Managed Care	8,565,000	+183,000	8,565,000	+183,000
Medicaid Audit and Fraud Prevention	12,389,700	+116,700	12,292,700	+100,500	48,500
Medical Assistance	20,859,200	-308,800	20,639,200	-308,800	70,000
Total	<u>\$127,253,600</u>	<u>-\$846,000</u>	<u>\$125,893,500</u>	<u>-\$933,100</u>	<u>\$574,000</u>	<u>....</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration and Executive		
Direction	\$103,000
AIDS Institute	15,000
Community Health	18,800
Elderly Pharmaceutical Insurance		
Coverage	1,000
Environmental Health	10,500
Health Care Financing	32,000
Health Care Standards and		
Surveillance	150,000	+\$70,900
Laboratories and Research	257,300
Medicaid Audit and Fraud Prevention	48,500	+16,200
Medical Assistance	150,000
Total	<u>\$786,100</u>	<u>+\$87,100</u>

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Executive				
Direction	\$10,365,200	+\$4,200,000	\$740,000
AIDS Institute	6,211,800	-482,300	1,500,900	+\$26,900
Community Health	5,002,900	+1,027,000	1,119,800	+800,000
Office of Continuing Care	1,300,000	+1,400	108,200	+1,400
Elderly Pharmaceutical				
Insurance Coverage	5,702,000	+876,800	18,600
Environmental Health	1,936,200	133,600
Health Care Financing	868,300	12,200
Health Care Standards and				
Surveillance	8,146,700	41,400
Institution Management	-100,000
Laboratories and Research	13,650,100	+5,030,000	3,147,700	+30,000
Managed Care	4,676,000	122,100
Medicaid Audit and Fraud				
Prevention	4,683,600	+2,147,600	85,300
Medical Assistance	23,691,400	+3,748,400	91,320
Medicaid Management Information				
System	53,676,000	+16,796,000
Total	<u>\$139,910,200</u>	<u>+\$33,244,900</u>	<u>\$7,121,120</u>	<u>+\$858,300</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Executive				
Direction	\$262,200	\$5,112,000
AIDS Institute	310,500	+\$53,900	3,709,500
Community Health	166,500	+27,000	3,678,200	+\$200,000
Office of Continuing Care	766,900	377,400
Elderly Pharmaceutical				
Insurance Coverage	9,700	5,599,800	+876,800
Environmental Health	374,400	1,322,600
Health Care Financing	38,400	804,000
Health Care Standards and				
Surveillance	380,400	6,765,800
Institution Management	-100,000
Laboratories and Research	76,000	5,071,900
Managed Care	292,000	3,861,400
Medicaid Audit and Fraud				
Prevention	180,000	2,642,800	+1,547,600
Medical Assistance	320,800	3,759,480	+162,400
Medicaid Management Information				
System	53,676,000	+16,796,000
Total	<u>\$3,177,800</u>	<u>+\$80,900</u>	<u>\$96,380,880</u>	<u>+\$19,482,800</u>

HEALTH

Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration and Executive				
Direction	\$51,000
AIDS Institute	240,900	+\$26,900
Community Health	38,400
Office of Continuing Care	47,500
Elderly Pharmaceutical				
Insurance Coverage	3,500
Environmental Health	105,600
Health Care Financing	13,700
Health Care Standards and				
Surveillance	147,400	\$811,700
Laboratories and Research	354,500
Managed Care	400,500
Medicaid Audit and Fraud				
Prevention	626,500	+600,000
Medical Assistance	68,800
Total	<u>\$2,098,300</u>	<u>+\$626,900</u>	<u>\$811,700</u>	<u>....</u>

Program	Maintenance Undistributed	
	Amount	Change
Administration and Executive		
Direction	\$4,200,000	+\$4,200,000
AIDS Institute	450,000	-590,000
Elderly Pharmaceutical		
Insurance Coverage	70,400
Laboratories and Research	5,000,000	+5,000,000
Medicaid Audit and Fraud		
Prevention	1,149,000
Medical Assistance	19,451,000	+3,586,000
Total	<u>\$30,320,400</u>	<u>+\$12,196,000</u>

**STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS
SUMMARY OF APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration and Executive				
Direction	\$37,803,600	+\$207,500	\$13,078,900	+\$1,159,400
AIDS Institute	1,972,300	-195,300	764,300
Child Health Insurance	24,400,000	+4,400,000	2,200,000	+26,000
Community Health	100,060,800	+9,100,900	25,000
Office of Continuing Care	16,796,000	+50,900	124,300	+2,500
Environmental Health	28,273,000	+2,269,900	4,131,300	+931,100
Health Care Financing	5,440,000	+345,300	2,968,500	-106,600
Health Care Standards and Surveillance	40,407,300	+2,554,000	11,842,900	+140,600
Institution Management	103,890,200	-91,905,600
Laboratories and Research	37,757,600	+362,000	8,441,600	-31,800
Managed Care	-709,000	-120,000
Medicaid Audit and Fraud Prevention	49,063,500	+7,387,500
Office of Medicaid Management ..	3,014,850,700	+485,369,700
Medicaid Management Information System	67,511,000	+43,358,000
Total	<u>\$3,528,226,000</u>	<u>+\$462,595,800</u>	<u>\$43,576,800</u>	<u>+\$2,001,200</u>

<u>Program</u>	<u>Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration and Executive				
Direction	\$10,260,700	+\$250,500	\$14,464,000	-\$1,202,400
AIDS Institute	1,208,000	+4,700	-200,000
Child Health Insurance	8,800,000	+3,974,000	13,400,000	+400,000
Community Health	115,500	+100	99,920,300	+9,100,800
Office of Continuing Care	3,493,700	+48,400	13,178,000
Environmental Health	9,052,400	+1,030,000	15,089,300	+308,800
Health Care Financing	1,971,500	-48,100	500,000	+500,000
Health Care Standards and Surveillance	11,005,200	+788,700	17,559,200	+1,624,700
Institution Management	103,890,200	-91,905,600
Laboratories and Research	10,853,800	+31,200	18,462,200	+362,600
Managed Care	-89,000	-500,000
Medicaid Audit and Fraud Prevention	10,000,000	39,063,500	+7,387,500
Office of Medicaid Management	3,014,850,700	+485,369,700
Medicaid Management Information System	67,511,000	+43,358,000
Total	<u>\$66,760,800</u>	<u>+\$5,990,500</u>	<u>\$3,417,888,400</u>	<u>+\$454,604,100</u>

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund	\$6,229,488,872	\$6,207,671,600	-\$21,817,272
Special Revenue Funds - Federal	15,631,996,500	16,559,293,500	+927,297,000
Special Revenue Funds - Other	1,100,268,500	1,671,581,100	+571,312,600
Fiduciary Funds	500,000,000	650,000,000	+150,000,000
Total	<u>\$23,461,753,872</u>	<u>\$25,088,546,200</u>	<u>+\$1,626,792,328</u>
Adjustments:			
Prior Year Deficiency			
Health, Department of			
General Fund		+76,600,000	
Appropriated 1999-00	<u>\$23,538,353,872</u>		

HEALTH

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration and Executive Direction			
General Fund	\$869,300	\$869,300
AIDS Institute			
General Fund	77,317,200	53,655,700	-\$23,661,500
Special Revenue Funds - Other	10,933,500	34,595,000	+23,661,500
Child Health Insurance			
Special Revenue Funds - Federal	243,000,000	291,600,000	+48,600,000
Special Revenue Funds - Other	200,000,000	240,000,000	+40,000,000
Community Health			
General Fund	379,116,100	373,186,500	-5,929,600
Special Revenue Funds - Federal	561,603,300	584,097,900	+22,494,600
Special Revenue Funds - Other	18,647,000	36,578,100	+17,931,100
Office of Continuing Care			
General Fund	6,269,000	7,342,200	+1,073,200
Elderly Pharmaceutical Insurance Coverage			
General Fund	117,600,000	-117,600,000
Special Revenue Funds - Other	37,100,000	195,400,000	+158,300,000
Environmental Health			
Special Revenue Funds - Federal	2,487,400	2,810,800	+323,400
Special Revenue Funds - Other	200,000	4,720,000	+4,520,000
Health Care Standards and Surveillance			
General Fund	9,184,900	9,184,900
Laboratories and Research			
General Fund	1,071,000	1,071,000
Special Revenue Funds - Federal	2,059,800	2,134,800	+75,000
Maintenance Undistributed			
General Fund	-7,288,000	-7,288,000
Special Revenue Funds - Other	7,288,000	7,288,000
Medical Assistance			
General Fund	5,544,100,000	5,681,900,000	+137,800,000
Special Revenue Funds - Federal	14,500,000,000	15,300,000,000	+800,000,000
Special Revenue Funds - Other	826,100,000	1,153,000,000	+326,900,000
Fiduciary Funds	500,000,000	650,000,000	+150,000,000
Medical Assistance Administration			
General Fund	79,650,000	87,750,000	+8,100,000
Special Revenue Funds - Federal	322,846,000	378,650,000	+55,804,000
Community Projects			
General Fund	21,599,372	-21,599,372
Total	\$23,461,753,872	\$25,088,546,200	+\$1,626,792,328

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Comprehensive Construction Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations 2000-01</u>
Design and Construction Supervision				
Capital Projects Fund	\$2,340,000
Rehabilitation And Improvements				
Capital Projects Fund	4,579,000
St. Albans Rehabilitation & Improvement	\$200,000	-\$200,000	200,000
Oxford Rehabilitation & Improvement	1,000,000	-1,000,000	1,000,000
Helen Hayes Rehabilitation & Improvement	9,700,000	-9,700,000	9,700,000
Batavia Rehabilitation & Improvement	500,000	-500,000	500,000
Laboratories and Research				
Capital Projects Fund	3,700,000	\$4,000,000	+300,000	6,385,000
Maintenance and Improvements of Existing Institutions				
Capital Projects Fund	7,600,000	+7,600,000	8,526,000
New Institution Construction				
Capital Projects Fund - Advances	26,500,000
Department of Health Facilities Capital Improvemnt Fund	19,265,000
Water Resources				
Federal Capital Projects Fund	33,777,000	48,477,000	+14,700,000	126,844,000
Safe Drinking Water - Clean Water/Clean Air 96				
Capital Projects Fund - 1996 CWA (Bondable)	50,000,000	60,000,000	+10,000,000
Total	<u>\$98,877,000</u>	<u>\$120,077,000</u>	<u>+\$21,200,000</u>	<u>\$205,839,000</u>

DIVISION OF HUMAN RIGHTS

MISSION

New York State holds the proud distinction of being the first state to enact a Human Rights Law, affording every citizen an equal opportunity to enjoy a full and productive life. Discriminating against others on the grounds of their race, creed, color, sex, age, national origin, disability, genetic predisposition and carrier status, or other specified classes is illegal in the State of New York.

The New York State Division of Human Rights serves as the administrative arm of the Law, with its primary mission being the enforcement and protection of human rights. Programs of the Division of Human Rights focus on ensuring equal opportunity in employment, housing, public accommodation, education and credit. The Division:

- Investigates and resolves complaints of illegal discrimination; and
- Promotes human rights awareness through education and acts as a resource in the prevention and elimination of discrimination.

ORGANIZATION AND STAFFING

Under the direction of the Commissioner, the Division operates from its main office in New York City and from nine regional offices (Albany, Binghamton, Buffalo, Rochester, Brooklyn, Long Island, Lower Manhattan, Upper Manhattan and White Plains) and two satellite offices (Long Island and Syracuse).

BUDGET AND PROGRAM HIGHLIGHTS

In the last four years, the Division has made solid progress toward reducing its backlog of cases. Open cases totaled 10,796 at the close of the 1998-99 fiscal year, down from 16,880 when the new administration took over on January 1, 1995. Processing both new and backlog cases remains a priority of the agency, particularly as more inclusive case intake procedures have added to the caseload.

The State continues to work vigorously to reduce the backlog and meet reasonable standards for timely case processing. To assist in the achievement of this goal, the 2000-01 Executive Budget recommends a total budget of \$14.2 million which will continue agency operations and allow implementation of management improvements. This includes funding to upgrade the Division's information technology systems and preserve necessary staff threatened by reductions in Federal funding.

ALL FUNDS APPROPRIATIONS

<u>Category</u>	<u>Available 1999-00</u>	<u>Appropriations Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations Recommended 2000-01</u>
State Operations	\$12,758,000	\$14,186,000	+\$1,428,000	\$2,300,000
Aid To Localities
Capital Projects
Total	<u>\$12,758,000</u>	<u>\$14,186,000</u>	<u>+\$1,428,000</u>	<u>\$2,300,000</u>

HUMAN RIGHTS

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund	43	44	44	+1
Legal Enforcement					
General Fund	15	15	15
Regional Affairs					
General Fund	86	86	86
Special Revenue Funds - Federal	44	44	44
Subtotal, Direct Funded Programs	188	145	44	189	+1
Suballocations:					
General Fund	2			2
Total	190			191	+1

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$9,848,000	\$11,296,000	+\$1,448,000
Special Revenue Funds - Federal	2,740,000	2,800,000	+60,000
Special Revenue Funds - Other	120,000	70,000	-50,000
Fiduciary Funds	50,000	20,000	-30,000
Total	\$12,758,000	\$14,186,000	+\$1,428,000

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$4,034,000	\$5,095,000	+\$1,061,000
Special Revenue Funds - Other	120,000	70,000	-50,000
Fiduciary Funds	50,000	20,000	-30,000
Legal Enforcement			
General Fund	1,165,000	1,164,000	-1,000
Regional Affairs			
General Fund	4,649,000	5,037,000	+388,000
Special Revenue Funds - Federal	2,740,000	2,800,000	+60,000
Total	\$12,758,000	\$14,186,000	+\$1,428,000

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$2,504,000	+\$112,000	\$2,280,000	+\$110,000	\$222,000	+\$2,000
Legal Enforcement	1,071,000	-9,000	1,070,000	-9,000
Regional Affairs	4,592,000	+348,000	4,590,000	+348,000
Total	\$8,167,000	+\$451,000	\$7,940,000	+\$449,000	\$222,000	+\$2,000

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$2,000
Legal Enforcement	1,000
Regional Affairs	2,000
Total	\$5,000

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$2,591,000	+\$949,000	\$9,000	+\$1,000
Legal Enforcement	93,000	+8,000	7,000	+1,000
Regional Affairs	445,000	+40,000	11,000	+1,000
Total	\$3,129,000	+\$997,000	\$27,000	+\$3,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$31,000	+\$1,000	\$2,518,000	+\$946,000
Legal Enforcement	28,000	+2,000	52,000	+4,000
Regional Affairs	29,000	+1,000	346,000	+36,000
Total	\$88,000	+\$4,000	\$2,916,000	+\$986,000

Program	Equipment	
	Amount	Change
Administration	\$33,000	+\$1,000
Legal Enforcement	6,000	+1,000
Regional Affairs	59,000	+2,000
Total	\$98,000	+\$4,000

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$90,000	-\$80,000	\$90,000	-\$80,000
Regional Affairs	2,800,000	+60,000	2,800,000	+60,000
Total	\$2,890,000	-\$20,000	\$2,890,000	-\$20,000

INTEREST ON LAWYER ACCOUNT

MISSION

The Interest on Lawyer Account (IOLA) was established in 1983 to finance civil legal services for the poor. Revenues are derived from the interest earned on small trust accounts which attorneys hold for their clients. Banks transfer the interest earned on these accounts to IOLA to fund grants to not-for-profit, tax-exempt entities providing civil legal services to the poor, elderly and disabled. Legislation enacted in 1988 made participation in IOLA mandatory for attorneys in private practice who hold nominal short-term escrow accounts for clients.

ORGANIZATION AND STAFFING

A 15-member board of trustees appointed by the Governor administers IOLA. Board members serve without compensation. Day-to-day operations are handled by a workforce of seven located in New York City.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommendations enable a grant level of up to \$15 million in each of calendar years 2000 and 2001, the specific amount being dependent upon actual revenue generated. Grant levels have been rising as a result of ongoing revenue maximization efforts including initiatives to reduce administrative costs at participating banks. Approximately \$3 million of the anticipated annual grants are contingent upon these initiatives to increase interest earnings.

PROGRAM HIGHLIGHTS

At least 75 percent of the grant funds distributed by IOLA must be used to deliver civil legal services to the poor. The balance must be allocated to purposes related to the improvement of the administration of justice, including the provision of civil legal services to under served groups such as the elderly and disabled. In 2000, IOLA will award grants to an estimated 120 organizations.

ALL FUNDS APPROPRIATIONS				
<u>Category</u>	<u>Available 1999-00</u>	<u>Appropriations Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations Recommended 2000-01</u>
State Operations	\$1,119,900	\$1,158,000	+\$38,100
Aid To Localities	14,950,000	14,950,000
Capital Projects
Total	<u>\$16,069,900</u>	<u>\$16,108,000</u>	<u>+\$38,100</u>	<u>....</u>

INTEREST ON LAWYER ACCOUNT

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

2000-01 Recommended Average Fill Level

<u>Program</u>	<u>Available 1999-00</u>	<u>Personal Service (Regular)</u>	<u>Maintenance Undistributed</u>	<u>Total Recommended 2000-01</u>	<u>Change</u>
New York Interest on Lawyer Account Expendable Trust Funds	<u>7</u>	<u>7</u>	<u>....</u>	<u>7</u>	<u>....</u>
Total	<u><u>7</u></u>	<u><u>7</u></u>	<u><u>....</u></u>	<u><u>7</u></u>	<u><u>....</u></u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Fiduciary Funds	<u>\$1,119,900</u>	<u>\$1,158,000</u>	<u>+\$38,100</u>
Total	<u><u>\$1,119,900</u></u>	<u><u>\$1,158,000</u></u>	<u><u>+\$38,100</u></u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
New York Interest on Lawyer Account Expendable Trust Funds	<u>\$1,119,900</u>	<u>\$1,158,000</u>	<u>+\$38,100</u>
Total	<u><u>\$1,119,900</u></u>	<u><u>\$1,158,000</u></u>	<u><u>+\$38,100</u></u>

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

<u>Program</u>	<u>Total Amount</u>	<u>Change</u>	<u>Personal Service Amount</u>	<u>Change</u>
New York Interest on Lawyer Account	<u>\$1,158,000</u>	<u>+\$38,100</u>	<u>\$484,600</u>	<u>+\$10,700</u>
Total	<u><u>\$1,158,000</u></u>	<u><u>+\$38,100</u></u>	<u><u>\$484,600</u></u>	<u><u>+\$10,700</u></u>

<u>Program</u>	<u>Nonpersonal Service Amount</u>	<u>Change</u>
New York Interest on Lawyer Account	<u>\$673,400</u>	<u>+\$27,400</u>
Total	<u><u>\$673,400</u></u>	<u><u>+\$27,400</u></u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Fiduciary Funds	<u>\$14,950,000</u>	<u>\$14,950,000</u>	<u>....</u>
Total	<u><u>\$14,950,000</u></u>	<u><u>\$14,950,000</u></u>	<u><u>....</u></u>

INTEREST ON LAWYER ACCOUNT

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
New York Interest on Lawyer Account			
Expendable Trust Funds	\$14,950,000	\$14,950,000
Total	<u>\$14,950,000</u>	<u>\$14,950,000</u>	<u>.....</u>

INTEREST ON LAWYER ACCOUNT

TEMPORARY STATE COMMISSION OF INVESTIGATION

MISSION

The Temporary State Commission of Investigation serves as a bipartisan fact-finding agency investigating and reporting on organized crime and racketeering, the conduct of public officers, and other matters affecting public peace, safety and justice.

ORGANIZATION AND STAFFING

The Commission has six salaried members, two each appointed by the Governor, the Temporary President of the Senate and the Speaker of the Assembly. In addition to the 6 commissioners, there will be 28 staff positions at the Commission, which has its main office in New York City.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

In 2000-01 a total of \$2.8 million in State tax dollars and \$200,000 in anticipated asset forfeitures will support the expenses of the Commission.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$2,549,500	\$2,999,000	+\$449,500
Aid To Localities
Capital Projects
Total	<u>\$2,549,500</u>	<u>\$2,999,000</u>	<u>+\$449,500</u>	<u>....</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Investigation General Fund	34	34	34
Total	<u>34</u>	<u>34</u>	<u>....</u>	<u>34</u>	<u>....</u>

INVESTIGATION

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund	\$2,349,500	\$2,799,000	+\$449,500
Special Revenue Funds - Other	200,000	200,000
Total	\$2,549,500	\$2,999,000	+\$449,500

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Investigation			
General Fund	\$2,349,500	\$2,799,000	+\$449,500
Special Revenue Funds - Other	200,000	200,000
Total	\$2,549,500	\$2,999,000	+\$449,500

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>		<u>Temporary Service (Nonannual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Investigation	\$2,047,100	-\$79,500	\$2,015,700	-\$79,500	\$31,400
Total	\$2,047,100	-\$79,500	\$2,015,700	-\$79,500	\$31,400

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

<u>Program</u>	<u>Total</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Investigation	\$751,900	+\$529,000	\$36,300
Total	\$751,900	+\$529,000	\$36,300

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Investigation	\$55,800	\$629,800	+\$529,000
Total	\$55,800	\$629,800	+\$529,000

<u>Program</u>	<u>Equipment</u>	
	<u>Amount</u>	<u>Change</u>
Investigation	\$30,000
Total	\$30,000

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total</u> <u>Amount</u>	<u>Change</u>	<u>Nonpersonal Service</u> <u>Amount</u>	<u>Change</u>
Investigation	\$200,000	\$200,000
Total	\$200,000	\$200,000

JUDICIAL COMMISSIONS

MISSION

New York State's three Judicial Commissions play important roles in maintaining the integrity of the court system. The Commission on Judicial Nomination and the Judicial Screening Committees screen potential nominees for high-level judicial appointments by the Governor. The Commission on Judicial Conduct investigates and acts upon allegations of judicial misconduct.

COMMISSION ON JUDICIAL CONDUCT

The State Constitution established the Commission on Judicial Conduct, which is responsible for investigating complaints of misconduct against State judges and local justices. The Commission has disciplinary powers which include the authority to remove judges and justices from office for serious misconduct, subject to review by the Court of Appeals.

The Commission on Judicial Conduct has 11 members, four of whom are appointed by the Governor, three by the Chief Judge of the Court of Appeals and the remaining four by the Legislature. Commission members serve without pay and meet periodically to consider complaints, hear testimony and determine the disposition of cases. The Commission will have 26 staff in fiscal year 2000-01. Its main office is in New York City, with branches in Albany and Rochester. Its budget of \$1.9 million is supported entirely by State tax dollars from the General Fund.

COMMISSION ON JUDICIAL NOMINATION

The State Constitution established the Commission on Judicial Nomination to evaluate candidates and make recommendations to the Governor for appointment to the Court of Appeals, the State's highest court. One vacancy is anticipated during 2000-01.

The Commission on Judicial Nomination has 12 members appointed by the Governor, the Chief Judge of the Court of Appeals and the Legislature. These members serve without pay and conduct the search for qualified candidates upon formal notification of a vacancy on the Court of Appeals. They are supported by volunteer legal staff also serving without pay.

GOVERNOR'S JUDICIAL SCREENING COMMITTEES

The Governor's Judicial Screening Committees are established by Executive Order to evaluate the qualifications of candidates and make recommendations to the Governor for appointment to judgeships other than those on the Court of Appeals. The Committees are located throughout the State. In fiscal year 2000-01, a total of \$150,000 in State tax dollars will support the Committees.

JUDICIAL COMMISSIONS

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$2,107,500	\$2,091,800	-\$15,700
Aid To Localities
Capital Projects
Total	\$2,107,500	\$2,091,800	-\$15,700

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Judicial Conduct General Fund	26	26	26
Total	26	26	26

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$2,107,500	\$2,091,800	-\$15,700
Total	\$2,107,500	\$2,091,800	-\$15,700

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Judicial Conduct General Fund	\$1,947,500	\$1,911,800	-\$35,700
Judicial Nomination, Commission on General Fund	10,000	30,000	+20,000
Judicial Screening Committees General Fund	150,000	150,000
Total	\$2,107,500	\$2,091,800	-\$15,700

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Judicial Conduct	\$1,522,400	-\$35,700	\$1,504,700	-\$35,700	\$17,700
Judicial Nomination, Commission on
Judicial Screening Committees
Total	\$1,522,400	-\$35,700	\$1,504,700	-\$35,700	\$17,700

JUDICIAL COMMISSIONS

**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

<u>Program</u>	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Judicial Conduct	\$389,400	\$29,600
Judicial Nomination, Commission on	30,000 +\$20,000
Judicial Screening Committees ...	150,000
Total	\$569,400	+\$20,000	\$29,600

<u>Program</u>	Travel		Contractual Services	
	Amount	Change	Amount	Change
Judicial Conduct	\$29,600	\$317,300
Judicial Nomination, Commission on
Judicial Screening Committees
Total	\$29,600	\$317,300

<u>Program</u>	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Judicial Conduct	\$12,900
Judicial Nomination, Commission on	\$30,000	+\$20,000
Judicial Screening Committees	150,000
Total	\$12,900	\$180,000	+\$20,000

DEPARTMENT OF JUSTICE

MISSION

The Department of Justice reflects the consolidation of New York's eight criminal justice agencies into a single organization. The Department of Correctional Services, the Crime Victims Board, the Division of Criminal Justice Services, the Office for the Prevention of Domestic Violence, the Division of Parole, the Division of Probation and Correctional Alternatives, the State Commission of Correction, and the Division of State Police are being combined to establish one department under the direction of the State's Criminal Justice Director. While to a great degree the new organizational structure and reporting relationships simply mirror the current ones, formal consolidation will improve coordination of criminal justice policies and activities, as well as provide for more efficient and cost-effective management and operation.

The primary benefits of a Department of Justice include:

- Consolidation of criminal justice technology, such as DNA analysis, crime mapping, and the Statewide Public Safety Communication System, which will enhance law enforcement response capability, increase the accuracy of criminal justice data, and improve communication among State and local public protection agencies.
- Improved service to local government agencies through the creation of a central point of contact for dealing with grant management, technical assistance, and training.
- Operating savings as a result of program realignment, reduction of redundant administrative services, and other efficiencies.

Within the Department of Justice, the core criminal justice functions will continue without change. The operations of the corrections system and State Police enforcement activities, for example, will remain the responsibility of the existing agency heads, reporting to the Director of the new Department. The functions of other agencies such as the former Division of Criminal Justice Services and the Division of Probation and Correctional Alternatives will be realigned within the new Department of Justice program structure.

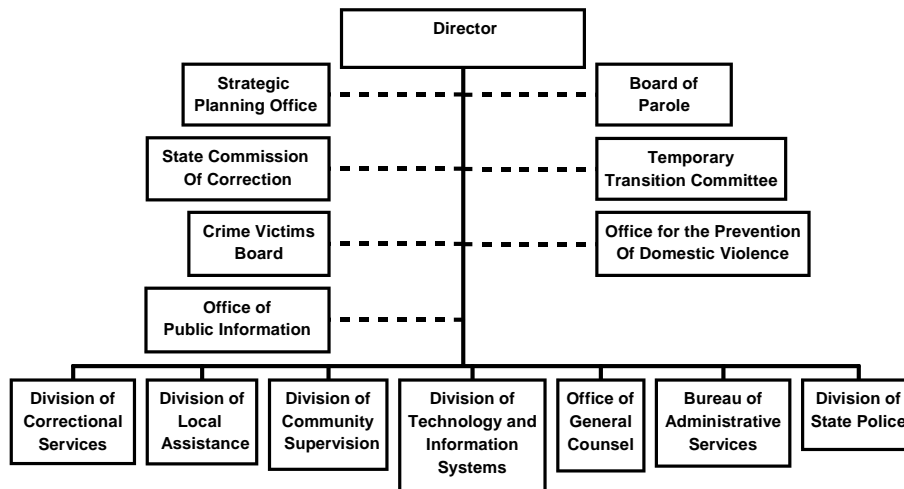
ORGANIZATION AND STAFFING

The Department of Justice is to be headed by a Director, appointed by the Governor, who oversees policy development and operations for all State criminal justice programs. The Department will direct criminal justice policy and operations from a central office located in the Capital District. All existing field offices and facilities of the former criminal justice agencies will continue in operation at their current location.

The Department is made up of six operating programs: Administrative Services, Community Supervision, Local Assistance, Technology and Information Systems, Correctional Services, and State Police. In addition, the Board of Parole, the Crime Victims Board, the Executive Director of the Office of Prevention of Domestic Violence, and the State Commission of Correction will report directly to the Director of the Department of Justice.

In total, the Department of Justice will employ a workforce of 40,804 in 2000-01. Approximately 94 percent of these positions will be supported by State tax dollars and the remaining 6 percent will be supported by Federal funds and various State revenue sources.

DEPARTMENT OF JUSTICE



BUREAU OF ADMINISTRATIVE SERVICES

Administrative staff formulate and oversee agency policy and provide operational support to correctional facilities, regional Parole offices, State Police Troops, and all other activities of the Department across the State. The responsibilities of this Bureau will include labor relations, personnel and financial transactions, fleet management, and the Department's legal affairs. The Bureau also includes the capital projects unit, which manages construction projects, addresses critical physical plant maintenance and oversees rehabilitation projects. The Bureau will have a workforce of 442 in 2000-01.

DIVISION OF COMMUNITY SUPERVISION

The Division of Community Supervision will perform the functions of the former Divisions of Parole and Probation and Correctional Alternatives. The Division provides offenders who have been released from prison the opportunity to become productive, law-abiding members of the community while limiting risk to public safety. Parole Officers and other staff are assigned across the state in 70 correctional facilities and approximately 35 community-based supervision offices. The Division will continue to maintain oversight of county probation departments, and provide them with training and technical assistance. The Division will have a workforce of 2,253 in 2000-01.

DIVISION OF CORRECTIONAL SERVICES

The Division of Correctional Services, headed by a Commissioner appointed by the Governor, is responsible for the secure confinement of convicted felons and the preparation of these individuals for successful reintegration into the community upon release. The Division will employ a staff of 32,303 in 2000-01 to operate 70 facilities housing approximately 72,000 inmates. Each correctional facility is headed by a Superintendent and executive staff to oversee the daily operation of the nation's third largest state prison system.

CRIME VICTIMS BOARD

The Crime Victims Board, serving as the State's advocate for crime victims' rights, needs and interests, consists of five members, appointed by the Governor to seven-year terms. The Governor designates one member of the Board to be the Chair. The Board is the conduit through which the Department assists persons who have been the victims of crime, particularly crimes of a violent nature, providing assistance with financial losses victims suffer as a result of crime, and grants to local witness and victims assistance programs.

DIVISION OF LOCAL ASSISTANCE

The Division of Local Assistance contains the Office of Victim Assistance, the Office of State and Federal Grants Management, and the Criminal Justice Training Institute. The Office of Victim Assistance will fulfill those responsibilities of the Crime Victims Board relative to expeditious processing of compensation to crime victims for certain out-of-pocket expenses, and funding for local programs that assist crime victims. The Office will also fulfill the responsibilities of the former Office for the Prevention of Domestic Violence to promote social change through activities that develop leadership, resources and collaboration within communities to address domestic violence.

The Office of State and Federal Grants Management will continue the functions of the former Divisions of Criminal Justice Services and Probation and Correctional Alternatives to provide assistance and distribute State and Federal grants for local criminal justice initiatives. The Office will administer state aid for Prosecution, Defense, Law Enforcement, and funds to offset costs associated with District Attorney salaries, the operation of local forensic crime labs, and various community-based programs designed to reduce recidivism of offenders remanded to local supervision. The Division will have a workforce of 286 in 2000-01.

OFFICE FOR THE PREVENTION OF DOMESTIC VIOLENCE

The Governor has set an aggressive agenda to protect victims of domestic violence and conduct training for judges, prosecutors, police, attorneys, probation and parole personnel, social service professionals and health care providers related to victimization and the prevention of domestic violence. Activities addressing the prevention of domestic violence include State and local policy development projects, domestic violence prevention training programs for a wide range of professionals statewide, a statewide outreach project, and a pilot school-based education project.

BOARD OF PAROLE

The 19 members of the Board of Parole are appointed by the Governor and confirmed by the Senate for six-year terms with one member designated by the Governor to serve as the Board's Chair. The Board members review the cases of inmates eligible for parole and determine if and when the offender should be released to parole supervision. The Board Members establish the conditions the parolee must abide by in the community, and revoke parole in cases where parolees fail to maintain the conditions of their release.

STATE COMMISSION OF CORRECTION

The Commission is made up of three members appointed by the Governor, one of whom is designated chair. The other Commissioners respectively chair the Citizens Policy

and Complaint Review Council, which reviews grievances and complaints against correctional facilities, and the Medical Review Board, which investigates inmate deaths and oversees health care services.

DIVISION OF STATE POLICE

The Division of State Police, headed by a superintendent who is appointed by the Governor, promotes highway safety and protects our citizens from crime. The Division is organized into a Division Headquarters located in Albany and 11 Troops across the State. It is responsible for patrolling roads and highways outside major urban centers, and providing specialty and investigative police services throughout the State. The work of the State Police ranges from the traditional patrol duties to that of specially-trained investigators who conduct sophisticated operations against drug traffickers and other criminals. Also included in this Division is the Forensic Investigation Center which houses the DNA Databank Index and provides forensic analysis to members of the State Police and to local agencies investigating homicides, sexual assaults and other crimes. The Division will have a workforce of 4,518 in 2000-01.

DIVISION OF TECHNOLOGY AND INFORMATION SYSTEMS

The Division of Technology and Information Systems staff support the State's criminal justice data and records system that includes the Statewide Public Safety Communications System, Automated Fingerprint Identification System, Missing Children's Clearing House, Sex Offender Registry, a prison-based telecommunications network, classification movement systems that track and maintain documents for the State's inmate population, and information systems to conduct criminal justice research and analysis of statistical data. The Division is also responsible for providing technical support for the Department's entire computer network and operations. The Division will have a workforce of 955 in 2000-01.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Department of Justice provides an opportunity to realign certain operating functions and allow a more cost efficient approach to managing criminal justice services. It is expected that these changes will generate \$10 million in savings in 2000-01 as a result of the elimination of redundant staff work.

BUREAU OF ADMINISTRATIVE SERVICES

The Bureau of Administrative Services provides executive direction and administrative support to the agency, as well as capital construction design and project management. The consolidation of administrative support functions of the former agencies allows for increased operational efficiencies through the elimination of duplicative work and personnel.

All funds appropriations for the Bureau will be \$37.2 million: \$35.6 million General Fund, \$624,300 Federal Funds, and \$1 million Special Revenue-Other Funds.

Capital Projects

Capital Projects funding will be administered in the Bureau of Administrative Services. The Department will receive \$402.7 million in new appropriations in 2000-01. Major recommendations for capital projects include:

- Correctional Facilities Capital Improvement Fund appropriations of \$400 million to ensure that all housing, medical buildings and support space remain functional, safe and secure. Funds are included to construct a new 750 cell maximum security prison by 2002.
- New appropriations of \$2.7 million will enable the Division of State Police to maintain Troop facilities and advance various projects related to health and safety.

DIVISION OF COMMUNITY SUPERVISION

State tax dollars and Federal funds will be available in 2000-01 to promote public safety by preparing inmates for release, supervising offenders in the community, and arranging for treatment services for parolees. The State Operations recommendations build on prior year initiatives designed to enhance the supervision of offenders by providing intensive parole officer caseloads for violent felony offenders, sex offenders, and for those parolees who complete the drug treatment program at the Willard Drug Treatment Campus.

Recommended funds support vocational training and substance abuse relapse prevention programs for parolees, and support initiatives designed to positively impact the parole revocation process, such as the High Impact Incarceration Program (HIIP) in New York City, a special arraignment part in New York City and a Monroe County Diversion Program. The Division will also continue oversight of county probation departments and provide them with training and technical assistance.

All funds appropriations for the Division of Community Supervision will be \$146.2 million: \$136.8 million General Fund, \$3.3 million Federal Funds, and \$6.1 million Special Revenue-Other Funds to provide supervision and rehabilitative programs for individuals involved in the criminal justice system.

DIVISION OF CORRECTIONAL SERVICES

Over the past five years, penalties for violent crime have increased significantly in New York State. Jenna's Law, enacted in August 1998, together with Truth-in-Sentencing legislation enacted in 1995, ensures that violent offenders will serve at least 85 percent of their sentence in prison. In addition, violent offenders have been barred from participation in work release programs, and sentences were lengthened for assault crimes. These initiatives, ensuring that violent offenders remain in prison for longer periods, are coupled with opportunities for treatment and rehabilitation in an effort to reduce recidivism once inmates are released back to the community.

The passage of legislation to assure that violent offenders serve longer prison terms has required expansion of secure housing. In addition to fully funding the operating costs of the eight 100 cell modular units opened in 1998, and for the 750 cell Upstate Correctional Facility opened in August 1999 in Franklin County, the Executive Budget recommendations support the operating costs for a 750 cell maximum security prison scheduled to open in Seneca County in the fall of 2000. The Executive Budget also includes new funding to build a third maximum security prison to be ready for use in 2002.

Funding increases related to new capacity are partially offset by increased efficiencies and program restructuring. Food service related efficiencies include lower food costs associated with expanding the number of inmates served by the Division's "cook chill" food production center, centralizing food purchasing, and increasing milk production and distribution from facility farms. Energy efficiencies will be achieved as the Division continues to aggressively pursue the lowest possible energy costs by closely monitoring electricity, oil, and natural gas markets and converting oil-burning boilers to natural gas. Work release program staffing is modified to match current work release populations without affecting programming.

- State tax dollars of \$1.65 billion finance 89 percent of the Division's State Operations Budget.
- Federal funds of \$61.6 million support 3.3 percent of the Division's State Operations Budget, including funds which offset the cost of housing illegal alien felons.
- The Division's Internal Service Fund appropriates \$82.6 million related to operation of the Correctional Industries program (Corcraft). This inmate vocational program gives individuals an opportunity to learn employment skills to improve their chance of successful reintegration to the community, while contributing to the production of commodities, as well as prison maintenance and rehabilitation projects. This appropriation supports the issuance of new license plates which is scheduled to commence in October 2000.

DIVISION OF LOCAL ASSISTANCE

The Division of Local Assistance contains the Office of State and Federal Grants Management, the Office of Victims Assistance, and the Criminal Justice Training Institute. All funds appropriations for the Division will be \$402.4 million: \$251.6 million General Fund, \$125.9 million Federal Funds, and \$24.9 million from various State revenue sources.

The Office of State and Federal Grants Management will distribute a total of \$295.7 million in State, Federal, and Special Revenue supported grants to localities. Major grants which will be distributed by the Office are as follows:

- \$76.5 million Community Corrections block grant for probation services and alternatives to incarceration. Rather than receive separate awards for Probation Aid and specific initiatives such as the Intensive Supervision Program, localities will receive one State grant that may be used to fund the probation and alternative to incarceration programs appropriate for the community.
- \$61.7 million for various local criminal justice initiatives including: \$21.1 million in State Aid for Prosecution, \$13.8 million for State Aid for Defense, \$12 million in State Aid for Law Enforcement and soft body armor, \$5.3 million to offset the cost of operating local crime laboratories, \$3.2 million to offset the cost of District Attorney salaries, and \$3.5 million to fund anti-auto theft initiatives through the State Police and Motor Vehicle Law Enforcement Fund.
- \$104.6 million to allow the State to reimburse counties for housing parole violators and inmates awaiting transfer to State prison. In addition, the 2000-01 Budget funds contracts with localities to house non-violent offenders on a short term basis. This measure helps ease overcrowding in the Division of Correctional Services until planned new prison capacity is constructed.
- \$47.4 million in federal grants for various local criminal justice initiatives including: \$16.4 million in Byrne Anti-Drug Abuse, \$7.9 million for Violence Against Women Act, \$18.6 million in Juvenile Justice and Delinquency Prevention Programs, and \$2.0 million for Local Law Enforcement Block Grants.

The Office of Victim Assistance provides support for the victims of domestic violence and other violent crimes. The major recommendations include:

- Increasing the fines imposed on offenders, which have remained unchanged for nearly a decade, to provide an additional \$2.9 million to support improved services for victims.
- Approximately \$49.7 million in Federal aid and revenue from fines levied against offenders will be available to compensate victims for out of pocket costs related to crime and for grants to local programs assisting victims with treatment and other services.
- \$755,000 will be available in 2000-01 for domestic violence prevention activities to increase funding for the English and Spanish domestic violence statewide hotlines, continue support for five community-based education programs for

perpetrators of domestic violence, and implement a school-based domestic violence prevention program that will educate school aged children about building relationships based on equality and respect.

DIVISION OF STATE POLICE

Approximately \$254.2 million in State tax dollars support 80 percent of the Division of State Police's operations budget. The balance is financed by Federal funds and various State revenue sources, including seized assets, fees for accident reports and reimbursement from the New York State Thruway Authority for services rendered on the State Thruway. All funds appropriations for the Division will be \$317.9 million in 2000-01.

DIVISION OF TECHNOLOGY AND INFORMATION SYSTEMS

The Division of Technology and Information Systems supports the Department's criminal justice data and records systems, performs statistical research and policy analysis, and provides computer technical support for the agency. Funds for the Division will support activities including:

- Operation of the criminal history record information system.
- Technology improvements and enhancements for the Division of State Police including development of a Statewide Public Safety Communications System.
- The telecommunications network within the Division of Correctional Services which includes the Phone Home Program, facility operations and classification movement systems that track inmate population.
- Technology improvements to allow better supervision of parolees in the community. Additionally, the Budget continues to pilot teleconferencing for Parole Board hearings at Ogdensburg, Riverview, Franklin and Bare Hill Correctional Facilities from parole offices in Albany and New York City.

All funds appropriations for the Division of Technology and Information Systems will be \$85.5 million: \$57 million General Fund, \$2.3 million Federal Funds, and \$26.2 million from various State revenue sources.

PROGRAM HIGHLIGHTS

BUREAU OF ADMINISTRATIVE SERVICES

Administrative staff formulate and oversee agency policy and provide operational support to the other Divisions within the Department. The responsibilities of this Bureau include personnel and financial transactions, labor relations, legal affairs, and capital project management. The Capital Projects Fund supports the completion of previously authorized maximum security capacity, provides resources to address critical physical plant maintenance and rehabilitation projects, and improves medical units.

DIVISION OF COMMUNITY SUPERVISION

The Division closely monitors offenders in the community, and helps prevent parolees from reverting to a life of crime by contracting for various rehabilitative services such as substance abuse counseling, residential treatment, and employment training and programming.

The State parole system is focused on three major objectives: preparing inmates for parole, assisting the Board in making parole release determinations, and supervising parolees upon release. As a result of changes to the Penal Law by the Sentencing Reform Act of 1995 and Jenna's Law, violent felony offenders will be subject to determinate sentences and

not eligible for early parole release. Those offenders will, however, be subject to fixed periods of post-release supervision upon their release from prison, and monitored by Parole Officers. An inmate must have housing and employment prospects before being released from a State prison. Parole Officers attempt to obtain housing, employment and other services before an inmate appears before the Board. At the same time, parole staff assigned to prisons assess an inmate's readiness for release by reviewing his or her case history. The Officers also summarize an inmate's rehabilitation progress, family background, and housing and employment prospects for the Board's consideration.

When offenders are released from prison, they are assigned to a Parole Officer for the balance of their sentence. The supervising Parole Officer monitors behavior and helps the parolee locate and maintain employment.

The Division also monitors probation and alternative to incarceration services provided by local governments and not-for-profit organizations, promoting effective methods of supervision and treatment, and statewide uniform standards. To this end, the Division, in conjunction with staff from the Division of Local Assistance, evaluates the performance and outcomes of local programs.

DIVISION OF CORRECTIONAL SERVICES

The Division operates correctional facilities with varying security levels. Violent inmates who exhibit behavior problems while in custody can be housed in maximum security cells for nearly 23 hours a day. Other inmates are housed in less restrictive settings with programming available to afford offenders an opportunity for rehabilitation aimed at reducing recidivism.

Additionally, the Division operates the Willard Drug Treatment Center in Seneca County. Through this program, courts may remand low level, nonviolent offenders to treatment — an option expected to stop the cycle of drug-related criminal activity at far less cost to the taxpayers than traditional incarceration.

Support Services

Support Services provides all resources necessary for the operation of the 70 facilities housing individuals remanded to State custody. This includes the employment of all facility managers and centrally assigned staff essential to operate and maintain the Division's physical plant. The Division also provides inmate food and transportation services, and enters into cooperative agreements with local governments for sewer/water systems. The Division has been increasingly successful in implementing initiatives to improve energy use efficiency, including weatherization, heating system rehabilitation projects, and energy performance contracts.

Transportation services related to inmate reception, changes in assigned housing and medical services have become increasingly complex as the prison system has expanded over the past decade. The Division has designed an inmate movement system to address the requirements of the vast correctional network spread across the State. Chief among the improvements are system-wide transportation scheduling and a contractually provided inmate transport fleet guaranteeing vehicles on an as needed basis.

Supervision of Inmates

The Division employs nearly 22,000 correctional officers to ensure a secure environment for employees and inmates within the correctional setting and to protect the safety of surrounding communities. The Division continues to maintain one of the highest officer-to-inmate ratios in the United States.

Program Services

The majority of inmates entering State prison have histories of substance abuse and severe educational deficits — two factors highly predictive of criminal behavior. To counter this problem, the Division's rehabilitation efforts focus on basic education and simple vocational skill achievement to ready inmates for employment upon release. The Division's Comprehensive Alcohol and Substance Abuse Treatment program (CASAT) will continue to provide over 5,100 offenders each year with 6 months of residential treatment and follow-up care. Resources to coordinate the Division's sex offender treatment programs and enhanced treatment for mentally ill inmates will enable the Division to better treat and stabilize these growing prison populations.

Health Services

Offenders entering prison present a significant need for health care because of high rates of opportunistic disease related to AIDS, tuberculosis and other infectious conditions. The recommended budget ensures that the Division has the resources to meet the full need for AIDS screening and interventions, and all other requirements to prevent the spread of disease and treat critical illness.

The Division's approach to health care has kept pace with the national trend toward cost efficient managed care programs. A system for contracted health services has allowed the Division to contain escalating costs of appropriate health care for the inmate population. In addition to the existing regional medical units on the grounds of Mohawk, Coxsackie, Wende, and Bedford Hills Correctional Facilities, the Fishkill unit will be fully operational in Fiscal Year 2000-01.

The 2000-01 budget recommendations fully support the provision of pharmaceutical treatment for HIV-positive inmates according to established standards of care. It is expected that comprehensive early treatment results in a healthier HIV/AIDS population, reducing illness and the need for hospitalization. Notably, the number of inmates dying annually from HIV related disease plummeted by 87 percent since 1995.

DIVISION OF LOCAL ASSISTANCE

The Division of Local Assistance consists of the Office of State and Federal Grants Management, the Office of Victims Assistance, and the Criminal Justice Training Institute.

Office of State and Federal Grants Management

The 2000-01 recommendations consolidate funding for Probation Aid, the Intensive Supervision Program, the Juvenile Intensive Supervision Program, and a number of alternative to incarceration programs into a block grant to be distributed to localities. This approach will appropriately shift the majority of funding and programming decisions to county officials who are better positioned to determine how best to meet their community's criminal justice needs.

The Office provides technical and financial support to assist localities in addressing crime prevention strategies, strengthening prosecution efforts and enhancing law enforcement's ability to reduce crime in the community. Through the Office, financial aid is also provided to District Attorneys prosecuting death penalty cases.

The Office also serves as a cost-effective platform for a variety of federally-financed programs and initiatives, including the "Brady Bill", the Violence Against Women Act, and Juvenile Justice and Delinquency Prevention programs targeting youth who are at risk of criminal and/or delinquent behavior. The Office administers other Federal programs

including Anti-Drug Abuse funds which support a statewide anti-drug strategy of prosecution and preventive efforts, and Federal Law Enforcement funds which provide assistance to local police departments.

Office of Victim Assistance

The Office will continue to improve the response of various State and local agencies to families affected by domestic violence by giving the message that domestic violence will not be tolerated in New York State. In cooperation with other agencies, the Office develops domestic violence policies and provides training and information about domestic violence to judges, prosecutors, police, attorneys, probation and parole personnel, social services professionals and health care providers, clarifying the professional and legal responsibility to protect the victims of domestic violence and to hold offenders accountable for their actions. The Office also serves as a clearinghouse for information about domestic violence, receiving more than 6,000 calls a year from social service and health care agencies, police departments, domestic violence shelters, local violence prevention programs and domestic violence victims. The Office recently posted an agency web page on the Internet to provide a mechanism to share information with a wider audience in a cost-effective and efficient manner.

Through the Office of Victim Assistance, the Crime Victims Board annually reviews more than 20,000 cases of persons who may have suffered financial loss as the result of violent crime or, in the case of the elderly and disabled, any crime. Assistance is given for losses for which no other source of compensation is available. Payments are made for items such as medical expenses, lost wages due to work missed because of an injury, stolen or damaged essential personal property and the costs of counseling to relieve the traumatic effects of victimization. Survivors of a victim also may be eligible for these services, as well as reimbursement for funeral expenses for a crime victim. Approximately 200 contracts with local governments and not-for-profit agencies are funded to provide direct services to crime victims and witnesses including crisis intervention, counseling and assistance in filing victim compensation claims.

The Office is also responsible by law to "coordinate state programs and activities relating to crime victims" and "to advise and assist the Governor in developing policies designed to recognize the legitimate rights, needs and interests of crime victims." To those ends, the Office provides legal and technical assistance to other State agencies and to local organizations involved with crime victims. In addition, the Board sponsors an annual statewide conference on crime victim issues.

Criminal Justice Training Institute

The Institute provides technical support and training to local entities associated with the State and Federal grant process. This assistance is provided to improve the effectiveness of the application process.

The Institute will begin a valuable new training program for local authorities in the correct method for taking latent fingerprints associated with reviewing criminal history records of employees and volunteers working with the State's vulnerable populations. The Institute will also serve as support for the Municipal Police Training Council, and in that capacity will monitor training of new police officers at academies throughout the State. In addition, the Institute will develop new methods of delivering state of the art police training on the full range of law enforcement issues and new technologies, and continue to administer a statewide training and certification program for the security guard industry in cooperation with the Department of State — the licensing agency.

STATE COMMISSION OF CORRECTION

The Commission regulates and oversees the operation and management of State and local correctional facilities and secure youth facilities operated by the Office of Children and Family Services, to promote a safe, secure and stable correctional system and ensure the accountability of corrections officials.

To carry out this function, Commission staff monitor 70 State correctional facilities, 63 county jails, 19 New York City correctional facilities, 182 police lockups (outside New York City) and more than 800 cells operated by the New York City Police Department and Port Authority Police. Regional teams of review specialists regularly visit local and State correctional facilities to investigate unusual events at facilities, provide technical assistance to improve facility management and monitor facilities for compliance with standards and regulations.

DIVISION OF STATE POLICE

The Division is divided into two principal branches, the Uniform Force and the Bureau of Criminal Investigation, each of which fulfills specific law enforcement assignments and cooperates with local and county law enforcement agencies. The Division also operates the Forensic Investigation Center.

Uniform Force

The Uniform Force has two primary responsibilities: protecting life and property and promoting highway safety. In many areas of the state, Uniform Troopers are the primary law enforcement agency. In these areas, Troopers respond to all types of calls including burglaries, missing children, assaults, robberies and homicides. State Troopers also provide essential support to local police departments.

Bureau of Criminal Investigation

The Bureau of Criminal Investigation (BCI) is the plain clothes investigative branch of the Division. BCI Investigators concentrate on cases related to narcotics, child abuse, auto theft, consumer product tampering, organized crime, and violent crimes. In addition to conducting their own investigations, investigators regularly assist local and county law enforcement agencies that lack the resources or expertise needed for major crime investigations.

Forensic Investigation Center

The Forensic Investigation Center provides investigative analysis through forensic science to solve crimes. Recent landmark legislation significantly expanded New York's DNA Databank which is housed within the Forensic Investigation Center. The potential for DNA technology to solve crimes is significant, providing law enforcement, prosecutors and the judicial system with the necessary tools to make our criminal justice system more effective and accurate by identifying, prosecuting and convicting dangerous criminal offenders.

DIVISION OF TECHNOLOGY AND INFORMATION SYSTEMS

The Division of Technology and Information Systems staff operate the State's criminal justice data and records system, conduct extensive criminal justice statistical research and policy analysis, and provide technical support for the Department's computer network.

JUSTICE

The Division identifies individuals through fingerprint comparison and provides criminal history records to authorized representatives of Federal, State and local criminal justice agencies and processes over 90 percent of New York City criminal fingerprints in under 3 hours. Modern technology provides speed and accuracy in fingerprint identification and allows police to solve crimes more quickly. The processing of criminal cases is tracked by computer beginning with the arrest and ending with the decision by a judge and/or jury. Additionally, the Division operates CRIMNET which is the highest capacity, lowest cost government telecommunications network functioning in New York today. In response to recent legislation, the Division also processes noncriminal fingerprint and name searches for a growing number of employment, license and permit applications.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$2,443,983,700	\$2,480,048,000	+\$36,064,300	\$96,463,900
Aid To Localities	373,579,392	366,081,500	-7,497,892	199,746,000
Capital Projects	265,050,000	402,700,000	+137,650,000	915,087,000
Total	<u>\$3,082,613,092</u>	<u>\$3,248,829,500</u>	<u>+\$166,216,408</u>	<u>\$1,211,296,900</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Bureau of Administrative Services					
General Fund	553	388	7	395	-158
Special Revenue Funds - Federal	6	8	8	+2
Special Revenue Funds - Other	4	4	4
Capital Projects Funds - Other	35	35	35
Commission of Correction					
General Fund	36	36	36
Division of Correctional Services					
General Fund	30,301	30,669	30,669	+368
Special Revenue Funds - Federal	833	833	833
Enterprise Funds	235	235	235
Internal Service Funds	522	522	522
Division of Local Assistance					
General Fund	139	102	15	117	-22
Special Revenue Funds - Federal	148	152	152	+4
Division of State Police					
General Fund	3,885	3,950	3,950	+65
Special Revenue Funds - Federal	155	155	155
Special Revenue Funds - Other	413	413	413
Division of Community Supervision					
General Fund	2,259	2,199	2,199	-60
Special Revenue Funds - Federal	54	54	54
Division of Technology and Information Systems					
General Fund	973	952	952	-21
Expendable Trust Funds	3	3	3
Subtotal, Direct Funded Programs	<u>40,554</u>	<u>40,710</u>	<u>22</u>	<u>40,732</u>	<u>+178</u>
Suballocations:					
General Fund	5			5
Special Revenue Funds - Federal	67			67
Total	<u>40,626</u>			<u>40,804</u>	<u>+178</u>

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$2,088,934,400	\$2,130,920,400	+\$41,986,000
Special Revenue Funds - Federal	145,780,000	122,647,900	-23,132,100
Special Revenue Funds - Other	83,238,800	82,163,200	-1,075,600
Enterprise Funds	54,060,000	60,135,000	+6,075,000
Internal Service Funds	70,345,000	82,556,000	+12,211,000
Fiduciary Funds	1,625,500	1,625,500
Total	\$2,443,983,700	<u>\$2,480,048,000</u>	<u>+\$36,064,300</u>
Adjustments:			
Transfer(s) From			
Correction, Commission of			
General Fund	-2,204,200		
Correctional Services, Department of			
General Fund	-1,617,960,000		
Special Revenue Funds - Federal	-90,287,000		
Enterprise Funds	-54,060,000		
Internal Service Funds	-70,345,000		
Expendable Trust Funds	-18,000		
Crime Victims Board			
General Fund	-3,037,600		
Special Revenue Funds - Federal	-991,700		
Special Revenue Funds - Other	-648,200		
Criminal Justice Services, Division of			
General Fund	-38,855,000		
Special Revenue Funds - Federal	-34,836,900		
Special Revenue Funds - Other	-300,000		
Expendable Trust Funds	-1,150,000		
Domestic Violence, Office for Prevention of			
General Fund	-1,522,000		
Special Revenue Funds - Federal	-100,000		
Special Revenue Funds - Other	-20,000		
Expendable Trust Funds	-50,000		
Parole, Division of			
General Fund	-133,463,200		
Special Revenue Funds - Federal	-2,307,600		
Special Revenue Funds - Other	-400,000		
Expendable Trust Funds	-400,000		
Probation and Correctional Alternatives, Division of			
General Fund	-2,123,800		
State Police, Division of			
General Fund	-298,868,600		
Special Revenue Funds - Federal	-17,256,800		
Special Revenue Funds - Other	-82,070,600		
Nonexpendable Trust Funds	-15,000		
Transfer(s) To			
State Police, Division of			
General Fund	+9,100,000		
Special Revenue Funds - Other	+200,000		
Nonexpendable Trust Funds	+7,500		
Appropriated 1999-00	<u>.....</u>		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Bureau of Administrative Services			
General Fund	\$41,516,112	\$35,592,500	-\$5,923,612
Special Revenue Funds - Federal	471,900	624,300	+152,400
Special Revenue Funds - Other	930,200	981,800	+51,600
Commission of Correction			
General Fund	2,204,200	2,327,000	+122,800
Division of Correctional Services			
General Fund	1,601,456,035	1,650,408,400	+48,952,365
Special Revenue Funds - Federal	90,287,000	61,560,000	-28,727,000
Enterprise Funds	54,060,000	60,135,000	+6,075,000
Internal Service Funds	70,345,000	82,556,000	+12,211,000
Fiduciary Funds	18,000	18,000
Division of Local Assistance			
General Fund	7,608,476	6,502,700	-1,105,776
Special Revenue Funds - Federal	35,456,700	49,465,800	+14,009,100
Special Revenue Funds - Other	38,000	95,000	+57,000
Fiduciary Funds	250,000	250,000
Division of State Police			
General Fund	258,239,600	254,160,700	-4,078,900
Special Revenue Funds - Federal	14,028,200	8,190,200	-5,838,000
Special Revenue Funds - Other	56,676,900	55,492,700	-1,184,200
Fiduciary Funds	7,500	7,500
Division of Community Supervision			
General Fund	123,890,358	124,894,400	+1,004,042
Special Revenue Funds - Federal	2,307,600	500,000	-1,807,600
Special Revenue Funds - Other	400,000	400,000
Fiduciary Funds	400,000	400,000
Division of Technology and Information Systems			
General Fund	54,019,619	57,034,700	+3,015,081
Special Revenue Funds - Federal	3,228,600	2,307,600	-921,000
Special Revenue Funds - Other	25,193,700	25,193,700
Expendable Trust Funds	950,000	950,000
Total	<u>\$2,443,983,700</u>	<u>\$2,480,048,000</u>	<u>+\$36,064,300</u>

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Bureau of Administrative Services	\$21,737,000	-\$5,480,592	\$21,422,300	-\$5,473,992	\$89,800	-\$7,300
Commission of Correction	1,892,200	+96,900	1,877,200	+96,900
Division of Correctional Services	1,304,242,300	+38,334,278	1,248,458,400	+41,997,138	14,841,400	-3,006,360
Division of Local Assistance	4,499,600	-811,586	4,466,600	-837,986
Division of State Police	233,279,700	-7,000,000	210,914,400	-5,861,900	472,800	+62,400
Division of Community Supervision	101,064,100	+46,704	98,001,500	+46,704
Division of Technology and Information Systems	39,139,500	-175,493	36,871,200	-376,693	904,100	+313,000
Total	\$1,705,854,400	+\$25,010,211	\$1,622,011,600	+\$29,590,171	\$16,308,100	-\$2,638,260

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Bureau of Administrative Services	\$224,900	+\$700
Commission of Correction	15,000
Division of Correctional Services	40,942,500	-656,500
Division of Local Assistance	33,000	+26,400
Division of State Police	21,892,500	-1,200,500
Division of Community Supervision	3,062,600
Division of Technology and Information Systems	1,364,200	-111,800
Total	\$67,534,700	-\$1,941,700

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Bureau of Administrative Services	\$13,855,500	-\$443,020	\$582,600	+\$57,677
Commission of Correction	434,800	+25,900	16,100
Division of Correctional Services	346,166,100	+10,618,087	160,597,900	+14,826,238
Division of Local Assistance	2,003,100	-294,190	86,100	-38,700
Division of State Police	20,881,000	+2,921,100	578,200	+294,000
Division of Community Supervision	23,830,300	+957,338	784,200	+7,989
Division of Technology and Information Systems	26,995,200	+3,190,574	991,200	+301,084
Total	\$434,166,000	+\$16,975,789	\$163,636,300	+\$15,448,288

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Bureau of Administrative Services	\$877,600	-\$176,837	\$7,420,000	+\$33,989
Commission of Correction	153,100	223,400	+7,900
Division of Correctional Services	5,813,200	-113,906	171,104,000	-3,094,203
Division of Local Assistance	161,200	-67,602	414,600	-191,275
Division of State Police	2,907,700	+970,900	5,301,200	+2,538,000
Division of Community Supervision	3,991,000	-174,322	17,378,300	+1,102,579
Division of Technology and Information Systems	2,183,100	-193,824	12,120,100	+1,849,402
Total	\$16,086,900	+\$244,409	\$213,961,600	+\$2,246,392

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Bureau of Administrative Services	\$2,116,600	-\$1,065,349	\$2,858,700	+\$707,500
Commission of Correction	42,200	+18,000
Division of Correctional Services	8,651,000	-1,000,042
Division of Local Assistance	81,900	-45,113	1,259,300	+48,500
Division of State Police	11,351,200	-881,800	742,700
Division of Community Supervision	907,600	+21,092	769,200
Division of Technology and Information Systems	11,700,800	+1,233,912
Total	\$34,851,300	-\$1,719,300	\$5,629,900	+\$756,000

**STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS
SUMMARY OF APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Bureau of Administrative Services	\$1,306,100	+\$204,000	\$458,900	+\$99,200
Division of Correctional Services	204,269,000	-10,441,000	30,244,700	-61,548,600
Division of Local Assistance	49,810,800	+14,066,100	457,700	+106,800
Division of State Police	54,590,400	-7,022,200	30,915,200	-5,296,500
Division of Community Supervision	1,300,000	-1,807,600
Division of Technology and Information Systems	28,451,300	-921,000
Total	\$339,727,600	-\$5,921,700	\$62,076,500	-\$66,639,100

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Bureau of Administrative Services	\$718,700	+\$103,300	\$128,500	+\$1,500
Division of Correctional Services	112,464,300	-6,112,400	61,560,000	+57,220,000
Division of Local Assistance	722,400	+215,500	48,630,700	+13,743,800
Division of State Police	23,675,200	-1,725,700
Division of Community Supervision	400,000	900,000	-1,807,600
Division of Technology and Information Systems	25,193,700	-3,228,600	3,257,600	+2,307,600
Total	\$163,174,300	-\$10,747,900	\$114,476,800	+\$71,465,300

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$278,382,692	\$256,976,900	-\$21,405,792
Special Revenue Funds - Federal	68,007,400	79,264,600	+11,257,200
Special Revenue Funds - Other	21,899,300	24,550,000	+2,650,700
Internal Service Funds	5,250,000	5,250,000
Fiduciary Funds	40,000	40,000
Total	\$373,579,392	\$366,081,500	-\$7,497,892

Adjustments:

Transfer(s) From	
Correctional Services, Department of	
General Fund	-56,752,800
Crime Victims Board	
Special Revenue Funds - Federal	-19,318,300
Special Revenue Funds - Other	-17,999,300
Expendable Trust Funds	-40,000
Criminal Justice Services, Division of	
General Fund	-76,311,672
Special Revenue Funds - Federal	-45,846,600
Special Revenue Funds - Other	-3,900,000
Domestic Violence, Office for Prevention of	
General Fund	-670,000
Parole, Division of	
General Fund	-52,071,200
Special Revenue Funds - Federal	-2,842,500
Internal Service Funds	-5,250,000
Probation and Correctional Alternatives, Division of	
General Fund	-92,577,020
Appropriated 1999-00

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Division of Local Assistance			
General Fund	\$255,482,220	\$245,066,900	-\$10,415,320
Special Revenue Funds - Federal	65,164,900	76,422,100	+11,257,200
Special Revenue Funds - Other	21,899,300	24,550,000	+2,650,700
Fiduciary Funds	40,000	40,000
Division of Community Supervision			
General Fund	11,900,500	11,910,000	+9,500
Special Revenue Funds - Federal	2,842,500	2,842,500
Internal Service Funds	5,250,000	5,250,000
Community Projects			
General Fund	<u>10,999,972</u>	<u>-10,999,972</u>
Total	<u>\$373,579,392</u>	<u>\$366,081,500</u>	<u>-\$7,497,892</u>

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Comprehensive Construction Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations 2000-01</u>
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	\$3,000,000	-\$3,000,000	\$3,000,000
Federal Capital Projects Fund	80,000,000	-80,000,000	114,187,000
Correctional Facilities Capital Improvement Fund	180,000,000	\$400,000,000	+220,000,000	543,995,000
Maintenance and Improvement of State Police Facilities				
Capital Projects Fund	2,050,000	2,700,000	+650,000	5,388,000
UDC Financed and Other New Facility Capacity Expansion				
Correctional Facilities Capital Improvement Fund	246,132,000
New State Police Facilities				
Capital Projects Fund	<u>.....</u>	<u>.....</u>	<u>.....</u>	<u>2,385,000</u>
Total	<u>\$265,050,000</u>	<u>\$402,700,000</u>	<u>+\$137,650,000</u>	<u>\$915,087,000</u>

OFFICE OF ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

MISSION

The Office of Alcoholism and Substance Abuse Services (OASAS) is responsible for licensing and evaluating service providers, and for implementing and advocating policies and programs for the prevention, early intervention and treatment of alcoholism and substance abuse. In conjunction with local governments, providers and communities, the Office works to provide a full range of necessary and cost-effective services for persons with chemical dependencies and at risk of addiction.

ORGANIZATION AND STAFFING

The Office of Alcoholism and Substance Abuse Services is headed by a Commissioner, responsible for the development and management of the State's policy on chemical dependence. The agency exercises these responsibilities directly as a provider of treatment services through a statewide system of 13 Addiction Treatment Centers (ATCs), through the regulation and oversight of prevention and chemical dependence services, and as a conduit for Federal and State financial assistance. The agency also furthers other State policy goals — including reform efforts in criminal justice, health care and public assistance — by coordinating chemical dependence resources and strategies in collaboration with other State agencies.

In 2000-01, OASAS will have a workforce of 1,027 employees of which 54 percent provide clinical treatment services through the ATCs. The 2000-01 Executive Budget makes no reductions in the OASAS workforce; the changes shown in the tables which follow simply reflect completion of the transfer of the Research Institute on Addictions to SUNY Buffalo begun in July 1999.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2000-01 Executive Budget recommends over \$490 million in State and other resources for OASAS to continue agency operations, bolster community infrastructure and expand services to priority populations. This funding is supplemented by Federal and State resources budgeted in other State agencies, and by the contributions of local government, voluntary agencies and other sources. When combined, nearly \$1.5 billion will be invested in the prevention and treatment of chemical dependence in 2000-01.

In comparison to the prior year, total OASAS appropriations increase by nearly \$5 million, largely reflecting an increase in State General Fund support. The commitment of additional taxpayer support is recommended in an effort to both expand community-based treatment services and enhance the effectiveness of existing prevention and treatment services. Highlights of these initiatives include:

- A capital commitment of \$5 million to develop up to 100 residential treatment beds for adolescents and women with children. The development of these beds, together with those currently under construction, will bring the total number of beds for these populations to over 750, an increase of 15 percent in capacity. Expanded residential opportunities for these populations are expected to assist counties in meeting Federal mandates under the Adoption and Safe Families Act and the challenges of welfare reform.
- A 2 percent cost-of-living adjustment on State Aid to community-based residential treatment providers. This enhancement will assist this segment of treatment providers in maintaining the highly-trained workforce necessary to promote effective treatment services and quality outcomes.

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

- Establishment of a credential for prevention professionals to strengthen service delivery, promote training and career development and provide advancement opportunities for qualified individuals. In conjunction with this expansion, the fees paid by applicants for OASAS credentials are increased for the first time, to levels which are equal to that of the surrounding states in our region. As a result, the OASAS credentialing function becomes fully self-financing.

In addition, funding is also recommended for the continuation of statewide program initiatives enacted in prior years, including the phased expansion of the 700 residential beds enacted in the 1997-98 budget.

PROGRAM HIGHLIGHTS

As the largest drug and alcohol prevention and treatment system in the nation, OASAS administers a comprehensive array of prevention and treatment services for New Yorkers suffering from addiction. The Office works with a network of State, local government and voluntary agencies, as well as school districts, to provide prevention and treatment services. In accordance with Federal and State statutes, the agency licenses and regulates program providers, ensures that fiscal resources are appropriately spent, and assists local programs in providing the highest quality services.

On any given day, approximately 120,000 patients are enrolled in New York State's licensed alcoholism and substance abuse treatment and rehabilitation system. Services within this system are provided by more than 1,300 community-based agencies — approximately 600 licensed alcoholism programs and 700 licensed substance abuse programs.

ALL FUNDS APPROPRIATIONS

<u>Category</u>	<u>Available 1999-00</u>	<u>Appropriations Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations Recommended 2000-01</u>
State Operations	\$68,966,700	\$66,794,000	-\$2,172,700
Aid To Localities	387,931,181	388,451,000	+519,819	\$64,142,000
Capital Projects	28,761,000	35,186,000	+6,425,000	128,901,000
Total	<u>\$485,658,881</u>	<u>\$490,431,000</u>	<u>+\$4,772,119</u>	<u>\$193,043,000</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

<u>Program</u>	<u>Available 1999-00</u>	2000-01 Recommended Average Fill Level			<u>Change</u>
		<u>Personal Service (Regular)</u>	<u>Maintenance Undistributed</u>	<u>Total Recommended 2000-01</u>	
Executive Direction					
General Fund	379	371	371	-8
Special Revenue Funds - Federal	85	85	85
Special Revenue Funds - Other	5	12	12	+7
Capital-Administration					
Capital Projects Funds - Other	8	8	8
Institutional Services					
General Fund	562	551	551	-11
Total	<u>1,039</u>	<u>1,015</u>	<u>12</u>	<u>1,027</u>	<u>-12</u>

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$45,862,500	\$45,243,000	-\$619,500
Special Revenue Funds - Federal	4,371,700	4,373,000	+1,300
Special Revenue Funds - Other	18,732,500	17,178,000	-1,554,500
Total	\$68,966,700	\$66,794,000	-\$2,172,700

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Executive Direction			
General Fund	\$21,931,600	\$21,456,000	-\$475,600
Special Revenue Funds - Federal	4,371,700	4,373,000	+1,300
Special Revenue Funds - Other	9,382,500	7,828,000	-1,554,500
Institutional Services			
General Fund	23,930,900	23,787,000	-143,900
Special Revenue Funds - Other	9,350,000	9,350,000
Total	\$68,966,700	\$66,794,000	-\$2,172,700

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Executive Direction	\$20,761,000	-\$140,600	\$20,581,000	-\$140,100	\$112,000	-\$300
Institutional Services	26,343,000	+70,200	25,598,000	+80,400	404,000	-600
Total	\$47,104,000	-\$70,400	\$46,179,000	-\$59,700	\$516,000	-\$900

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Executive Direction	\$68,000	-\$200
Institutional Services	341,000	-9,600
Total	\$409,000	-\$9,800

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Executive Direction	\$7,395,000	-\$2,189,000	\$329,000	-\$14,700
Institutional Services	6,794,000	-214,100	2,901,000	-35,500
Total	\$14,189,000	-\$2,403,100	\$3,230,000	-\$50,200

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction	\$791,000	-\$34,500	\$6,251,000	-\$2,138,800
Institutional Services	251,000	-4,000	3,256,000	-466,100
Total	\$1,042,000	-\$38,500	\$9,507,000	-\$2,604,900

Program	Equipment	
	Amount	Change
Executive Direction	\$24,000	-\$1,000
Institutional Services	386,000	+291,500
Total	\$410,000	+\$290,500

STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Executive Direction	\$5,501,000	+\$300,800	\$2,255,000	-\$300,000
Total	\$5,501,000	+\$300,800	\$2,255,000	-\$300,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Executive Direction	\$1,928,000	+\$301,300	\$1,318,000	+\$299,500
Total	\$1,928,000	+\$301,300	\$1,318,000	+\$299,500

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$246,453,781	\$247,050,000	+\$596,219
Special Revenue Funds - Federal	133,477,400	133,401,000	-76,400
Special Revenue Funds - Other	8,000,000	8,000,000
Total	\$387,931,181	\$388,451,000	+\$519,819

ALCOHOLISM AND SUBSTANCE ABUSE SERVICES

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Community Treatment Services Program			
General Fund	\$197,858,000	\$200,121,000	+\$2,263,000
Special Revenue Funds - Federal	90,539,400	90,463,000	-76,400
Prevention and Program Support			
General Fund	47,448,000	46,929,000	-519,000
Special Revenue Funds - Federal	42,938,000	42,938,000
Special Revenue Funds - Other	8,000,000	8,000,000
Community Projects			
General Fund	1,147,781	-1,147,781
Total	<u>\$387,931,181</u>	<u>\$388,451,000</u>	<u>+\$519,819</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Design and Construction Supervision				
Capital Projects Fund	\$2,000,000	\$1,500,000	-\$500,000	\$2,000,000
Mental Hygiene Capital Improvement Fund	3,000,000	2,966,000	-34,000	5,416,000
Administration				
Capital Projects Fund	500,000	519,000	+19,000
Mental Hygiene Capital Improvement Fund	273,000	279,000	+6,000
Non-Bondable Projects				
Capital Projects Fund	100,000	752,000	+652,000
Community Alcoholism and Substance Abuse Facilities				
Capital Projects Fund	3,288,000	7,750,000	+4,462,000	20,687,000
Mental Hygiene Capital Improvement Fund	15,000,000	19,100,000	+4,100,000	84,711,000
Institutional Services Program				
Capital Projects Fund	1,000,000	383,000	-617,000	3,831,000
Mental Hygiene Capital Improvement Fund	3,600,000	1,937,000	-1,663,000	12,256,000
Total	<u>\$28,761,000</u>	<u>\$35,186,000</u>	<u>+\$6,425,000</u>	<u>\$128,901,000</u>

OFFICE OF MENTAL HEALTH

MISSION

The Office of Mental Health (OMH) is responsible for planning and operating an integrated system of mental health care serving adults with serious and persistent mental illness and children with serious emotional disturbances.

ORGANIZATION AND STAFFING

The Office of Mental Health is headed by a Commissioner who is appointed by the Governor. New York's public mental health system consists of programs that are operated by the Office of Mental Health, as well as those community programs certified and funded by the State but operated in local communities by local governments and not-for-profit and profit-making providers. OMH regulates and licenses approximately 2,500 programs across the State and, in conjunction with the Department of Health, is responsible for implementation of Medicaid managed care through Special Needs Plans (SNPs). The combined system serves both adults who have serious and persistent mental illness and children with serious emotional disturbances who have experienced substantial problems in functioning.

OMH currently operates twenty-eight facilities across the State, including seventeen Adult Psychiatric Centers and six Children's Psychiatric Centers. In addition, OMH operates three Forensic Psychiatric Centers — which serve individuals with histories in the criminal justice system — and two Research Institutes.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2000-01 Executive Budget provides \$1.95 billion in total authorized spending for the Office of Mental Health from General Fund, Federal and other third-party resources, an increase of \$78.1 million, compared to 1999-00. General Fund support to the agency increases by \$91.3 million from the prior year.

Budget recommendations for the Office of Mental Health reflect the following guiding principles: strengthening community mental health care and reducing unneeded institutional care while at the same time transitioning the existing community mental health system to Medicaid managed care under Special Needs Plans (SNPs) for the seriously mentally ill.

Over the past five years, significant efficiencies have been achieved in institutional operations by matching clients to more appropriate community services and settings. These actions include closing unnecessary State-operated inpatient beds, closing two psychiatric centers and consolidating adult inpatient care from three campuses onto one campus on Long Island and restructuring outpatient services under a managed care model of service. This year, however, there will be a temporary moratorium on further bed closures while community mental health programs implement the Assisted Outpatient Treatment Program, develop new residential beds and initiate major expansions in adult and children's services. This will provide an opportunity for the Office of Mental Health to reassess bed requirements for the future.

Psychiatric Center reductions are limited to the elimination of 100 excess administrative and support positions in psychiatric centers where reductions in such positions had not kept pace with bed closures in the past. Also being eliminated are 18 psychiatric residency positions at OMH facilities to more closely reflect current workload needs.

The Office of Mental Health is responsible for providing mental health care to inmates in Department of Correctional Services facilities. The forensic program is increased by 82 positions to staff the administrative segregation units, whose capacity has increased considerably in recent years, a new satellite unit at the Seneca Correctional Facility, scheduled to open in 2000-01, and expanded discharge planning services at State correctional facilities.

MENTAL HEALTH

ENHANCED COMMUNITY SERVICES

Appropriations of \$56.5 million, to be supported by Health Care Reform Act (HCRA) revenues, are recommended for a multi-faceted Enhanced Community Services program which will be implemented over the next two years to strengthen the community mental health service system. The program unveiled by Governor Pataki in November will result in \$125 million in additional spending on mental health services annually once fully implemented.

Included are funds to operate five new transitional residences to be located on State Psychiatric Center grounds. These facilities are intended to foster successful community placements by providing clients with an opportunity to adjust to living in a smaller, more residential setting before moving on to community living. OMH central and field office staff will also be strengthened in a number of areas to improve program oversight of the design and operation of community programs, including local programs and special needs plan performance evaluations, service provider and client information collection and analysis, licensing and certification, and children's program evaluation and coordination. The new initiatives also include the following: an increase in the number of adults provided case management from 15,600 to 25,000 (\$14.8 million); 2,000 more units of supported housing (\$14.6 million); an expansion of Home and Community Based Services (HCBS) waiver slots to 600 (\$3.1 million); an increase in children's case management capacity to 4,530 from the current 1,950 (\$3.7 million); expansion in the number of families served by family support services from approximately 4,000 to 5,200 (\$2.0 million); an additional 125 family based treatment slots (\$2.4 million); and four mobile mental health teams to serve juvenile offenders in Office of Children and Families (OCFS) facilities (\$1.3 million).

ASSISTED OUTPATIENT TREATMENT

A total of \$32 million is recommended to fully implement the Assisted Outpatient Treatment (AOT) program ("Kendra's Law") enacted and initiated in 1999-2000. The appropriations provide for court ordered assisted outpatient treatment for certain people with mental illness who, based on their treatment history and present circumstances, are unlikely to survive safely in the community without supervision. It ensures such clients will receive case management services aimed at keeping the clients in a treatment program or under medication as required. It also improves discharge planning for those being released from jail or prison and provides psychiatric medication to individuals being discharged from State Psychiatric Centers, community hospitals, prisons and jails while their Medicaid eligibility is being determined.

OTHER ACTIONS

The Governor's Budget also incorporates a 2 percent cost-of-living (COLA) increase for community residential programs and continues funding under the Community Mental Health Reinvestment Act to expand each community's capacity to serve mentally ill persons. Consistent with statutory levels, the budget includes \$5.7 million (\$11.4 million full annual) in new funds for Reinvestment based on actual institutional bed closures in 1998-99. This new annual funding commitment brings the total amount of Reinvestment funding to nearly \$205 million. These resources support community mental health services that are determined through local plans, and encompass a wide variety of new and expanded programs, including outpatient clinics, community support, self help and residential beds.

Including the new residential beds recommended by the Governor, and other beds now in various stages of development, this Budget provides operational funding for about 2,375 new community beds expected to open by the end of 2000-01.

OMH's Capital Budget includes new appropriations of \$134.1 million for preservation and maintenance of institutional and community facilities. Capital spending from new appropriations and re-appropriations support institutional projects primarily for health and safety, preservation and maintenance needs consistent with the Office of Mental Health's

facility maintenance program and compliance with accreditation standards. Capital spending for local programs maintains the existing residential and other program locations and continues the development of community beds authorized previously.

PROGRAM HIGHLIGHTS

New York State will continue to rank first among all states in total spending and per capita spending on State and local programs for the mentally ill.

- State-operated facilities provide inpatient, outpatient, and community support programs throughout the State serving adults, children, and forensic populations. State-operated psychiatric centers provide inpatient services to approximately 9,700 adults and children admitted annually, while over 37,000 individuals are served through various outpatient and support programs.
- Institutional patients vary greatly in age, level of disability and length of hospitalization. A range of treatment and rehabilitative services is offered as patients progress from admission to discharge. In addition, OMH provides specialized services for physically disabled, aggressive and assaultive, and multi-diagnosed patients, as well as patients who have criminal histories.
- The Office operates an array of community-based programs located throughout the State. These programs, staffed with State employees, provide supportive services to enable individuals with mental illness to avoid long-term inpatient stays. State-operated community-based services currently include community residential facilities and residential care centers for adults and children, outpatient programs, intensive case management positions, and a variety of supportive employment, work-for-pay, crisis and other community support programs.
- OMH operates two research units supported by State tax dollars and Federal and other grants, the OMH New York Psychiatric Institute in Manhattan and the OMH Nathan S. Kline Institute, located on the grounds of Rockland Psychiatric Center. These facilities conduct research in children and adult clinical treatment, basic biomedical and neurosciences, and health services for the mentally ill.
- OMH also funds a wide range of community services provided by local governments and private organizations. These include emergency services such as Comprehensive Psychiatric Emergency Programs; general hospital inpatient services; outpatient services such as mental health clinics and day treatment programs and community support programs such as Intensive and Supportive Case Management, residential programs and consumer-run self-help and self-operated programs. Overall, State aid and Medicaid (budgeted largely in the Department of Health) will provide about \$2.0 billion for not-for-profit and county-operated community mental health programs through a network of approximately 2,500 programs serving over 500,000 persons a year.
- OMH supports a physical plant of more than 1,000 buildings and over 5,000 acres of space on its 23 active psychiatric center campuses, and assists in the development and maintenance of over 23,000 community residential beds. OMH's capital appropriations primarily support projects to improve the health and safety of patients and staff, such as improved security and modernization of those psychiatric centers designated for long-term use.

MENTAL HEALTH

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$1,046,633,000	\$1,056,801,000	+\$10,168,000	\$913,000
Aid To Localities	661,268,500	759,535,000	+98,266,500	29,832,000
Capital Projects	164,432,000	134,120,000	-30,312,000	552,212,000
Total	<u>\$1,872,333,500</u>	<u>\$1,950,456,000</u>	<u>+\$78,122,500</u>	<u>\$582,957,000</u>

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

2000-01 Recommended Average Fill Level

Program	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	Change
Administration and Finance					
General Fund	565	578	578	+13
Special Revenue Funds - Federal	9	11	11	+2
Enterprise Funds	20	20	20
Internal Service Funds	25	25	25
Adult Services					
General Fund	13,305	12,922	12,922	-383
Capital Planning					
Capital Projects Funds - Other	41	41	41
Children and Youth Services					
General Fund	1,925	1,919	1,919	-6
Enhanced Community Services					
General Fund	270	270	+270
Forensic Services					
General Fund	1,966	2,037	2,037	+71
Research					
General Fund	532	532	532
Special Revenue Funds - Other	29	29	29
Subtotal, Direct Funded Programs	<u>18,417</u>	<u>18,384</u>	<u>....</u>	<u>18,384</u>	<u>-33</u>
Suballocations:					
General Fund	6			6
Total	<u>18,423</u>			<u>18,390</u>	<u>-33</u>

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$504,924,000	\$529,283,000	+\$24,359,000
Special Revenue Funds - Federal	913,000	929,000	+16,000
Special Revenue Funds - Other	531,046,000	516,239,000	-14,807,000
Enterprise Funds	7,213,000	7,813,000	+600,000
Internal Service Funds	1,967,000	1,967,000
Fiduciary Funds	570,000	570,000
Total	<u>\$1,046,633,000</u>	<u>\$1,056,801,000</u>	<u>+\$10,168,000</u>
Adjustments:			
Transfer(s) From			
Mental Health, Office of			
General Fund (Aid To Localities)	-2,920,000		
Appropriated 1999-00	<u>\$1,043,713,000</u>		

**STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

Program	Available 1999-00	Recommended 2000-01	Change
Administration and Finance			
General Fund	\$50,538,000	\$53,087,000	+\$2,549,000
Special Revenue Funds - Federal	913,000	929,000	+16,000
Enterprise Funds	7,213,000	7,813,000	+600,000
Internal Service Funds	1,967,000	1,967,000
Fiduciary Funds	570,000	570,000
Adult Services			
General Fund	732,200,000	720,843,000	-11,357,000
Children and Youth Services			
General Fund	104,652,000	103,763,000	-889,000
Enhanced Community Services			
General Fund	15,948,000	+15,948,000
Forensic Services			
General Fund	103,660,000	106,684,000	+3,024,000
Maintenance Undistributed			
General Fund	-525,435,000	-510,488,000	+14,947,000
Special Revenue Funds - Other	525,435,000	510,488,000	-14,947,000
Research			
General Fund	39,309,000	39,446,000	+137,000
Special Revenue Funds - Other	5,611,000	5,751,000	+140,000
Total	<u>\$1,046,633,000</u>	<u>\$1,056,801,000</u>	<u>+\$10,168,000</u>

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration and Finance	\$31,307,000	-\$503,000	\$30,187,000	+\$265,000	\$858,000	-\$772,000
Adult Services	591,107,000	-13,285,000	545,397,000	-13,285,000	14,283,000
Children and Youth Services	90,443,000	-1,257,000	84,488,000	-1,330,000	2,810,000	+19,000
Forensic Services	97,309,000	+2,392,000	91,344,000	+2,392,000	2,710,000
Research	33,554,000	-40,000	32,999,000	-49,000	86,000	+1,000
Total	<u>\$843,720,000</u>	<u>-\$12,693,000</u>	<u>\$784,415,000</u>	<u>-\$12,007,000</u>	<u>\$20,747,000</u>	<u>-\$752,000</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration and Finance	\$262,000	+\$4,000
Adult Services	31,427,000
Children and Youth Services	3,145,000	+54,000
Forensic Services	3,255,000
Research	469,000	+8,000
Total	<u>\$38,558,000</u>	<u>+\$66,000</u>

MENTAL HEALTH

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Finance	\$21,780,000	+\$3,052,000	\$824,000
Adult Services	129,736,000	+1,928,000	63,424,000	+\$1,054,000
Children and Youth Services	13,320,000	+368,000	5,573,000	+168,000
Enhanced Community Services	15,948,000	+15,948,000
Forensic Services	9,375,000	+632,000	5,610,000	+235,000
Research	5,892,000	+177,000	2,470,000	+74,000
Total	\$196,051,000	+\$22,105,000	\$77,901,000	+\$1,531,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Finance	\$1,293,000	+\$500,000	\$17,859,000	+\$2,212,000
Adult Services	2,801,000	+82,000	57,877,000	+720,000
Children and Youth Services	491,000	6,734,000	+200,000
Forensic Services	583,000	+271,000	3,009,000	+106,000
Research	85,000	+2,000	3,210,000	+97,000
Total	\$5,253,000	+\$855,000	\$88,689,000	+\$3,335,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Finance	\$1,804,000	+\$340,000
Adult Services	2,469,000	+72,000	\$3,165,000
Children and Youth Services	522,000
Enhanced Community Services	15,948,000	+\$15,948,000
Forensic Services	173,000	+20,000
Research	127,000	+4,000
Total	\$5,095,000	+\$436,000	\$19,113,000	+\$15,948,000

STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Finance	\$11,279,000	+\$616,000	\$3,577,000	+\$40,000
Research	5,751,000	+140,000	2,385,000
Total	\$17,030,000	+\$756,000	\$5,962,000	+\$40,000

Program	Nonpersonal Service	
	Amount	Change
Administration and Finance	\$7,702,000	+\$576,000
Research	3,366,000	+140,000
Total	\$11,068,000	+\$716,000

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund	\$638,343,500	\$689,334,000	+\$50,990,500
Special Revenue Funds - Federal	22,925,000	27,126,000	+4,201,000
Special Revenue Funds - Other	43,075,000	+43,075,000
Total	\$661,268,500	\$759,535,000	+\$98,266,500
Adjustments:			
Transfer(s) To			
Mental Health, Office of			
General Fund (State Operations)	+2,920,000		
Appropriated 1999-00	<u>\$664,188,500</u>		

**AID TO LOCALITIES
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Adult Services			
General Fund	\$399,239,500	\$435,159,000	+\$35,919,500
Special Revenue Funds - Federal	18,216,000	21,617,000	+3,401,000
Special Revenue Funds - Other	2,475,000	+2,475,000
Children and Youth Services			
General Fund	88,574,000	88,574,000
Special Revenue Funds - Federal	4,709,000	5,509,000	+800,000
Community Mental Health Reinvestment			
General Fund	148,375,000	165,601,000	+17,226,000
Enhanced Community Services			
General Fund	40,600,000	+40,600,000
Maintenance Undistributed			
General Fund	-40,600,000	-40,600,000
Special Revenue Funds - Other	40,600,000	+40,600,000
Community Projects			
General Fund	2,155,000	-2,155,000
Total	\$661,268,500	\$759,535,000	+\$98,266,500

**CAPITAL PROJECTS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS**

<u>Comprehensive Construction Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations 2000-01</u>
Design and Construction Supervision				
Capital Projects Fund	\$2,250,000	\$1,750,000	-\$500,000
Mental Hygiene Capital Improvement Fund	8,188,000	9,000,000	+812,000	\$21,574,000
Executive Direction				
Capital Projects Fund	4,000,000
Mental Hygiene Capital Improvement Fund	3,300,000	3,300,000	3,300,000
Community Mental Health Facilities				
Capital Projects Fund	5,000,000	+5,000,000	28,758,000
Mental Hygiene Capital Improvement Fund	50,375,000	5,412,000	-44,963,000	160,239,000
Non-Bondable Projects				
Capital Projects Fund	6,000,000	11,000,000	+5,000,000
Maintenance and Improvements of Existing Facilities				
Capital Projects Fund	19,807,000	26,238,000	+6,431,000	24,151,000
Mental Hygiene Capital Improvement Fund	74,512,000	72,420,000	-2,092,000	310,190,000
Total	\$164,432,000	\$134,120,000	-\$30,312,000	\$552,212,000

OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

MISSION

The Office of Mental Retardation and Developmental Disabilities (OMRDD) serves and supports individuals and families of individuals with developmental disabilities. OMRDD works with local governments and non-profit providers to oversee a comprehensive system for delivery of services to people who are developmentally disabled. Both institutional and community-based services are delivered through a network of non-profit providers, State Developmental Centers and numerous State-operated programs based in the community.

ORGANIZATION AND STAFFING

Under the direction of a Commissioner appointed by the Governor, OMRDD currently operates through district offices, called Developmental Disabilities Services Offices. Its Central Office oversees and supports operations of the district offices.

OMRDD will have a workforce of 22,018 for 2000-01, most of whom work directly with consumers of services. The remainder of the workforce are supervisors of direct care workers, clinicians, administrative support and management personnel.

By the end of 2000-01, OMRDD will operate some 1,720 developmental center beds. From 1995 to the end of the 2000-01 fiscal year, the State will have moved more than 2,300 people into more appropriate community care and will have closed nearly 1,900 unneeded institutional beds.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

Total funding of \$2.2 billion supports a comprehensive system of care serving over 116,000 persons with disabilities and their families. Over the last six years, OMRDD's operating budget has grown by \$313.6 million, reflecting the Governor's ongoing commitment to serving the needs of persons with developmental disabilities. In 2000-01, OMRDD's mission is supported by the following recommendations:

- OMRDD's Institutional Services Program will receive total funding of \$337.7 million, to support residential care and habilitative services for people in developmental centers. In addition, another \$68.4 million funds OMRDD's Central Coordination and Support and Research in Mental Retardation Programs, which provide policy direction and technical support to the entire service system.
- OMRDD's Community Services Program will receive \$1.7 billion to fund hundreds of State-operated residential and day programs and ancillary services such as clinics and transportation networks, as well as to support local governments and a network of more than 600 non-profit agencies.
- OMRDD's new capital appropriations of \$63.1 million support the maintenance of existing institutions, community residential facility and program sites as well as the development and renovation of residential and day programming sites and other expanded bed capacity.

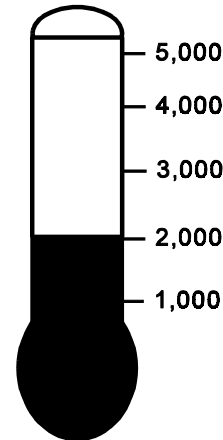
This reflects a \$103 million increase over 1999-2000 funding, primarily in funding for community-based services. These recommendations anticipate that OMRDD will continue to aggressively pursue Federal financial support for its programs as well as take other targeted administrative actions to achieve management efficiencies in both the State and non-profit sectors. As a result, General Fund support for OMRDD's operations increases by only \$9.3

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

million, while preserving essential direct care and maintaining the quality of services for consumers in both non-profit and OMRDD-operated programs.

The 2000-01 Executive Budget incorporates second-year funding of \$29 million for the Governor's landmark five-year initiative known as New York State Creating Alternatives in Residential Environments and Services (NYS-CARES). This initiative will eliminate the OMRDD adult waiting list for beds for persons with developmental disabilities in New York State who are seeking a home in the community. The 2000-01 Budget supports the development of another nearly 1,000 beds, 600 case management opportunities, and 190 day service opportunities. It will also provide funding for family support services and in-home residential habilitation services — at a level \$3 million higher than originally planned — to further assist families while they await their placement. Over five years, New York State will invest \$245 million in State and Federal funds to provide services to 8,100 individuals requiring out-of-home residential placements.

Second Year of NYS-CARES Beds Fully Funded



In support of existing residential services, a Medicaid trend factor of 2.9 percent will be provided to Intermediate Care Facilities (ICF) and most Home and Community Based Services (HCBS) Waiver programs. In addition, OMRDD will grant a further 2.1 percent adjustment, based on actual cost experience for these providers. Together, these two actions will provide nearly \$32 million in additional State funding to non-profit community-based providers for residential and other services.

The Budget also includes funding for a base salary increase for 35,000 direct care staff in the not-for-profit sector, in order to encourage qualified individuals to enter, and remain, in the field of direct care. Non-trended programs will receive \$5.8 million in special General Fund support for a \$750 base wage and benefit increase, while trended programs will be encouraged to invest similarly in direct care from the 2.9 percent trend factor and the enhanced 2.1 percent trend.

The State's commitment to continued expansion of non-profit community services is reflected in funding for development of 700 day habilitation service opportunities for persons aging out of special education day school programs and provision of 140 new beds, day services, and service coordination for legally mandated populations, such as those referred by the New York City Administration for Children's Services. Further, the Governor's budget will support the creation of 1,200 new supported employment placements, allowing persons with developmental disabilities to maintain private sector jobs as fully participating members of the State's economy.

During 2000-01, the effort to place individuals residing in the developmental centers into more appropriate community settings will continue. An additional 250 individuals will leave institutions for care in their own communities during 2000-01 and new admissions will be limited to emergency situations and to persons entering special treatment units. Projections are that by the end of next fiscal year, there will be less than 1,720 people

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

living in developmental centers, of whom more than one-half will live in specialized settings. OMRDD remains committed to deinstitutionalization for all consumers who can be appropriately cared for in community settings.

However, reflecting the Governor's priority of protecting the public from individuals with dangerous behaviors, the 2000-01 Executive Budget recommends funding to increase State-operated capacity for persons with severe behavioral involvement who require intensive treatment services. Many of these individuals are referred from the Criminal Justice system as a condition of parole or diverted by the courts at sentencing, while others are identified from within the OMRDD system as requiring more intensive interventions.

Twenty-four new secure beds will be established at the Regional Behavior Intensive Treatment Unit on the Finger Lakes Developmental Disabilities Services Office Campus. Further, staffing ratios will be enriched for 150 persons currently residing in Multiply Disabled Units who need more intensive supervision due to behavioral issues. These enriched units are called Intensive Treatment Units and offer an appropriate level of care to persons who cannot be adequately cared for in regular developmental centers or Multiply Disabled Units, but do not require a secure treatment setting.

The Budget recommendations also support completion of 60 new secure Center for Intensive Treatment beds at Norwich, which are expected to open during 2001-02. In 2000-01, OMRDD will also serve 60 new consumers dually diagnosed with both developmental disabilities and with mental illness, many of whom will transfer from the Office of Mental Health's care. Forty of these consumers will be served in new Intensive Treatment Unit beds. Because their primary diagnosis is mental retardation/developmental disability, these persons will receive more appropriate care in the OMRDD service system. In addition to this expansion of specialized settings, the 2000-01 State Operations recommendation includes funding to develop 24 new State-operated community beds in New York City to accommodate high priority referrals of severely disabled children from the Health and Hospitals Corporation and the Administration for Children's Services. In addition, the Budget recommendations fund continued development of 100 State-operated community residential opportunities for the NYS-CARES program.

Effective March 2000, OMRDD will fully implement the Medicaid Service Coordination initiative which unifies two case management systems (Comprehensive Medicaid Case Management and Waiver Case Management) into a single new service. This reform establishes a uniform set of billing, service and documentation requirements, reimburses on the basis of consumer need and strengthens the role of case managers in the OMRDD system.

Infrastructure throughout the State and not-for-profit systems will be maintained through new capital appropriations of \$63.1 million and reappropriations of \$112 million. Capital appropriations for institutional projects focus on the need to invest resources into preserving and maintaining long-term facilities for essentially a core population of behaviorally involved consumers. Capital projects in the community reflect a considerable investment to maintain both State and non-profit community based sites, and to develop State-operated out-of-home residential placements for adult consumers on the waiting list under the NYS-CARES initiatives. Non-profit capital development needs for NYS-CARES and other program expansion initiatives will continue to be met through the use of non-State revenues such as Federal Housing and Urban Development (HUD) grants and private financing.

In total, these recommendations — through the combination of new and currently operating programs — will support an estimated 7,700 new service opportunities in community programs during 2000-01. This includes community placements for people in developmental centers and for mandated populations, as well as service opportunities for people on community waiting lists. Services to individuals and families have also been preserved within family support services.

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

PROGRAM HIGHLIGHTS

OMRDD serves over 116,000 New Yorkers with developmental disabilities, which include mental retardation, epilepsy, cerebral palsy, neurological impairments and autism. In recent years, New York has made great strides in its methods of delivering services to this vulnerable population, moving more persons from institutions to the community than any other state in the nation. In addition, New York now places greater emphasis on consumer choice and satisfaction.

Under a 1991 Federal Medicaid waiver, consumers have the opportunity to receive individualized services in less restrictive settings. With the aid of trained service coordinators, consumers choose the services they need, and receive them in appropriate settings. New York commits more resources to its Home and Community Based Services (HCBS) Waiver program than any state in the nation, investing three times as much as the next highest state offering services under a waiver.

Maintaining a statewide system of services, delivered through both the State and non-profit providers, OMRDD:

- provides 34,500 persons with certified residential services;
- provides 61,000 persons with community day services; and,
- assists in the care of 68,500 persons with developmental disabilities who are living in their own homes.

An overview of these services is provided below:

RESIDENTIAL SERVICES

Residential services are offered through a continuum of programs in both community and institutional settings. The alternative which provides the most intensive services in a community setting is the Intermediate Care Facility for the Developmentally Disabled (ICF/DD). These facilities — some 8,300 beds operated statewide by both State and not-for-profit providers — are appropriate for individuals requiring 24-hour care. A typical community ICF has 12 to 14 beds.

The most common residential placement for persons under the waiver are Individual Residential Alternatives (IRAs) — homes, typically for 6 to 8 consumers, operated by either the State or not-for-profit providers in the community. This budget supports nearly 13,000 IRA beds and accompanying service coordination. Other community living arrangements include Community Residences and Family Care homes, which serve nearly 10,000 people.

In addition to these community-based programs, OMRDD operates nine campuses across the State. Less than 1,720 people will be served in these settings by the end of 2000-01, of whom more than half will be receiving specialized services. OMRDD remains committed to providing community residential opportunities for all those in State institutions who can receive appropriate care in the community. Currently, New York serves more persons in community residential settings than any other state, with the exception of California.

DAY SERVICES

OMRDD supports an array of day services for persons with developmental disabilities, which also vary depending on the needs of the consumer. The major programs include:

- day treatment which provides diagnostic, treatment, and rehabilitative services;
 - day habilitation, a smaller more individualized service for persons under the Federal Medicaid waiver for home and community based services;
 - supported work, which provides the opportunity for individuals to work in competitive positions usually in integrated settings in the private sector;
 - sheltered workshops which provide basic, non-competitive work opportunities;
- and,

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

- day training which develops the knowledge and skills that enable persons with developmental disabilities to improve their personal, social, and vocational skills and to function independently.

SUPPORT FOR CONSUMERS LIVING IN THEIR OWN HOMES

OMRDD services also support families and individuals in their own homes. The Family Support Services program assists families in caring for nearly 61,000 persons with developmental disabilities, enabling these individuals to remain at home. Services offered include respite, crisis intervention, case management, recreation, information and referral, and home care.

The Individualized Support Services program provides services to 1,600 disabled individuals who live independently. In addition, over 5,000 individuals living in their own homes receive residential habilitation under the Federal Medicaid waiver.

The Care at Home program provides a third alternative, designed to preserve family settings for persons under 18 years of age who are developmentally disabled and medically frail. This program allows the parents of young persons with severe disabilities to maintain the child at home, regardless of family income level, thereby preventing more costly out-of-home placements.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$1,022,852,500	\$1,045,434,000	+\$22,581,500	\$45,000
Aid To Localities	984,817,560	1,068,454,000	+83,636,440	1,912,000
Capital Projects	66,381,000	63,117,000	-3,264,000	112,261,000
Total	\$2,074,051,060	\$2,177,005,000	+\$102,953,940	\$114,218,000

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Central Coordination and Support					
General Fund	659	671	671	+12
Special Revenue Funds - Other	18	18	18
Community Services					
General Fund	14,933	15,787	15,787	+854
Institutional Services					
General Fund	5,841	5,310	5,310	-531
Enterprise Funds	10	10	10
Research in Mental Retardation					
General Fund	222	222	222
Total	21,683	22,018	22,018	+335

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$137,641,500	\$131,455,000	-\$6,186,500
Special Revenue Funds - Federal	217,000	63,000	-154,000
Special Revenue Funds - Other	882,504,000	911,411,000	+28,907,000
Enterprise Funds	1,950,000	1,950,000
Fiduciary Funds	540,000	555,000	+15,000
Total	\$1,022,852,500	\$1,045,434,000	+\$22,581,500

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Central Coordination and Support			
General Fund	\$50,946,000	\$51,021,000	+\$75,000
Special Revenue Funds - Federal	61,000	63,000	+2,000
Special Revenue Funds - Other	1,604,000	1,604,000
Community Services			
General Fund	624,826,000	639,264,000	+14,438,000
Special Revenue Funds - Federal	156,000	-156,000
Institutional Services			
General Fund	327,182,000	335,275,000	+8,093,000
Enterprise Funds	1,950,000	1,950,000
Fiduciary Funds	505,000	505,000
Maintenance Undistributed			
General Fund	-880,900,000	-909,807,000	-28,907,000
Special Revenue Funds - Other	880,900,000	909,807,000	+28,907,000
Research in Mental Retardation			
General Fund	15,587,500	15,702,000	+114,500
Expendable Trust Funds	35,000	50,000	+15,000
Total	\$1,022,852,500	\$1,045,434,000	+\$22,581,500

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Central Coordination and Support	\$36,051,000	-\$3,000	\$33,835,000	-\$3,000	\$1,991,000
Community Services	539,541,000	+12,593,000	525,085,000	+12,593,000	4,262,000
Institutional Services	208,051,000	+703,000	201,037,000	+703,000	967,000
Research in Mental Retardation	13,601,000	+103,500	13,193,000	+103,500	260,000
Total	\$797,244,000	+\$13,396,500	\$773,150,000	+\$13,396,500	\$7,480,000

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Central Coordination and Support	\$225,000
Community Services	10,194,000
Institutional Services	6,047,000
Research in Mental Retardation	148,000
Total	\$16,614,000

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Central Coordination and Support . . .	\$14,970,000	+\$78,000	\$534,000	+\$78,000
Community Services	99,723,000	+1,845,000	35,069,000	+2,068,000
Institutional Services	127,224,000	+7,390,000	18,099,000	+317,000
Research in Mental Retardation . . .	2,101,000	+11,000	788,000	+11,000
Total	\$244,018,000	+\$9,324,000	\$54,490,000	+\$2,474,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Central Coordination and Support . . .	\$1,113,000	\$12,071,000
Community Services	5,597,000	48,599,000
Institutional Services	944,000	26,191,000	+\$3,000,000
Research in Mental Retardation . . .	62,000	956,000
Total	\$7,716,000	\$87,817,000	+\$3,000,000

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support . . .	\$1,252,000
Community Services	4,881,000	\$5,577,000	-\$223,000
Institutional Services	1,817,000	80,173,000	+4,073,000
Research in Mental Retardation . . .	295,000
Total	\$8,245,000	\$85,750,000	+\$3,850,000

STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Central Coordination and Support . . .	\$1,667,000	+\$2,000	\$1,034,000
Community Services	-156,000
Institutional Services	2,455,000	274,000
Research in Mental Retardation . . .	50,000	+15,000
Total	\$4,172,000	-\$139,000	\$1,308,000

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support . . .	\$570,000	\$63,000	+\$2,000
Community Services	-156,000
Institutional Services	2,181,000
Research in Mental Retardation . . .	50,000	+\$15,000
Total	\$2,801,000	+\$15,000	\$63,000	-\$154,000

MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$604,932,560	\$620,466,000	+\$15,533,440
Special Revenue Funds - Other	377,885,000	445,988,000	+68,103,000
Fiduciary Funds	2,000,000	2,000,000
Total	<u>\$984,817,560</u>	<u>\$1,068,454,000</u>	<u>+\$83,636,440</u>

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Community Services			
General Fund	\$601,948,000	\$620,466,000	+\$18,518,000
Special Revenue Funds - Other	377,885,000	445,988,000	+68,103,000
Fiduciary Funds	2,000,000	2,000,000
Community Projects			
General Fund	2,984,560	-2,984,560
Total	<u>\$984,817,560</u>	<u>\$1,068,454,000</u>	<u>+\$83,636,440</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Design and Construction Supervision				
Capital Projects Fund	\$600,000	\$2,000,000	+\$1,400,000
Mental Hygiene Capital Improvement Fund	2,000,000	3,000,000	+1,000,000
Non-Bondable Projects				
Capital Projects Fund	2,000,000	2,000,000
Voluntary-Operated Community Facilities				
Capital Projects Fund	8,000,000	8,383,000	+383,000	\$9,602,000
Mental Hygiene Capital Improvement Fund	2,600,000	2,678,000	+78,000	2,600,000
State-Operated Community Services Program				
Capital Projects Fund	10,200,000	10,200,000	14,097,000
Mental Hygiene Capital Improvement Fund	7,100,000	2,500,000	-4,600,000	24,669,000
Institutional Services Program				
Capital Projects Fund	15,895,000	19,445,000	+3,550,000	28,691,000
Mental Hygiene Capital Improvement Fund	17,986,000	12,911,000	-5,075,000	32,602,000
Total	<u>\$66,381,000</u>	<u>\$63,117,000</u>	<u>-\$3,264,000</u>	<u>\$112,261,000</u>

DIVISION OF MILITARY AND NAVAL AFFAIRS

MISSION

The mission of the Division of Military and Naval Affairs (DMNA) is twofold:

- Through the State's militia, to support a well-trained military force ready to respond to civil emergencies and natural disasters, and with respect to federally recognized units, threats to the nation's security; and
- Through the State Emergency Management Office (SEMO), to coordinate the State's response to natural and man-made disasters and to prepare the State, its local governments and its people to deal effectively with any such potential disasters.

Over the last five years, the Division has refined its mission to include joint partnerships with local communities. The broadened mission includes aid to law enforcement agencies in their counter-drug actions, non-emergency National Guard assistance to communities, and military-style programs designed to assist at-risk youths.

ORGANIZATION AND STAFFING

Under the direction of the Adjutant General, who is appointed by the Governor, the Division consists of the New York Army National Guard, the New York Air National Guard, the Naval Militia, the New York Guard, and the SEMO. Coordinated through the main office in Albany, the Division operates over 60 armories as well as 28 maintenance shops, six Air Guard bases, and three Army Aviation Support facilities. In addition, DMNA provides administrative support for some 17,000 New York-based National Guard troops who are paid directly by the Federal government.

SEMO serves as the operational component of the Disaster Preparedness Commission, which is responsible for State disaster preparedness plans and the coordination of State disaster operations.

The Division will have a workforce of 653 in 2000-01.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommendations for fiscal year 2000-01 reflect a long-range approach to restructuring DMNA that is consistent with the restructuring and downsizing of the Federal military. As a result, DMNA's mission has evolved to include increased assistance to local communities for such projects as tearing down abandoned buildings used by drug dealers and providing necessary support during disasters. DMNA is conducting a comprehensive review to determine which armories are vital to the continued realization of its changing mission. Up to four facilities will be closed in 2000-01.

The 2000-01 budget recommendations include:

- Federal funds of approximately \$30.2 million supporting 56 percent of the Division's 2000-01 State Operations Budget.
- Approximately \$11.7 million in State tax dollars, which represents 22 percent of its operations budget in 2000-01.
- \$500,000 of special revenue funds to support the creation of a military heritage museum to display DMNA's large collection of historical artifacts.
- Special accounts funded with revenues from the nuclear power industry, armory rentals, and seized assets representing 22 percent of the operations budget.

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- Special revenue and Federal grants supporting DMNA's Aid to Localities budget. Assessments paid by the operators of nuclear power generating facilities provide over \$1.6 million in radiological emergency preparedness aid to the counties in which the facilities are located.

The Capital Plan recommendations of \$9.7 million reflect the Division's priority to continue its efforts to effectively maintain armories and, where feasible, restore them to preserve their historic and future value. Efficiencies which will decrease operating costs for these facilities will mitigate funding requirements.

PROGRAM HIGHLIGHTS

RECRUITMENT INCENTIVE AND RETENTION PROGRAM

Established in 1996, the Recruitment Incentive and Retention Program provides qualified individuals and active National Guard members with a tuition benefit for undergraduate study. The enormous success of the program has dramatically reversed the Guard's ten year decline in troop strength and improved New York's ability to maintain guard strength.

MILITARY READINESS

The Military Readiness programs are the core of the Division's operations. These programs include the New York Army National Guard, the New York Air National Guard, the New York Guard, and the New York Naval Militia. The Division has been involved in a variety of military endeavors, including commanding the North East air defense, sending troops to Bosnia, and providing air support to the National Antarctica mission, an assignment that recently received national attention following the Air Guard's rescue of a mission scientist in need of immediate medical attention. In addition, the State's militia has responded to fires, blizzards, floods, and ice storms.

SPECIAL SERVICES

The Division's portion of proceeds from assets seized from illegal drug traffickers support military counter narcotics activities and youth drug education programs. During the past five years, the New York National Guard has assisted in the seizure of nearly \$2 billion worth of illegal drugs and currency. The cornerstone of DMNA's special services is "guardHELP", an innovative program to link guard resources with current community needs. These include volunteerism, anti-crime programs, youth activities, and environmental objectives. The Division also conducts federally funded youth programs such as the Challenge Youth Program, a 22 week residential program at Camp Smith under which young adults earn a High School Equivalency Diploma. Additionally, the Special Services program includes the maintenance activities associated with armory rentals.

EMERGENCY MANAGEMENT

SEMO coordinates the State's response to natural disasters and other emergencies. Recent emergencies have included Tropical Storm Floyd and the Bear Mountain fires in the summer of 1999, blizzards in the western portion of the state, and the ice storm of 1998. SEMO is also responsible for the development, testing and revision of radiological emergency plans at the State and county level to deal with possible radiological accidents

MILITARY AND NAVAL AFFAIRS

at nuclear powered electric generating facilities. This Office also trains personnel to mitigate the risks of future disasters and administers the Disaster Assistance Program, which provides State and federally supported financial relief to localities and individuals affected by disasters.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$53,657,500	\$54,191,200	+\$533,700	\$11,651,800
Aid To Localities	7,687,500	7,150,000	-537,500	298,785,000
Capital Projects	8,925,000	9,725,000	+800,000	18,359,000
Total	\$70,270,000	\$71,066,200	+\$796,200	\$328,795,800

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund	62	62	62
Disaster Assistance					
Special Revenue Funds - Federal	17	17	17
Emergency Management					
General Fund	15	19	19	+4
Special Revenue Funds - Federal	40	46	46	+6
Special Revenue Funds - Other	23	23	23
Military Readiness					
General Fund	181	178	178	-3
Special Revenue Funds - Federal	264	255	255	-9
Special Service					
Special Revenue Funds - Federal	46	43	43	-3
Special Revenue Funds - Other	6	10	10	+4
Total	654	653	653	-1

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$13,291,300	\$11,737,800	-\$1,553,500
Special Revenue Funds - Federal	30,771,500	30,207,000	-564,500
Special Revenue Funds - Other	9,542,700	11,694,400	+2,151,700
Fiduciary Funds	52,000	552,000	+500,000
Total	\$53,657,500	\$54,191,200	+\$533,700

MILITARY AND NAVAL AFFAIRS

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$2,829,300	\$3,141,000	+\$311,700
Disaster Assistance			
Special Revenue Funds - Federal	1,243,300	1,382,600	+139,300
Emergency Management			
General Fund	1,547,600	959,700	-587,900
Special Revenue Funds - Federal	7,070,600	7,137,800	+67,200
Special Revenue Funds - Other	1,813,200	1,920,300	+107,100
Military Readiness			
General Fund	8,914,400	7,637,100	-1,277,300
Special Revenue Funds - Federal	18,810,800	19,216,500	+405,700
Special Service			
Special Revenue Funds - Federal	3,646,800	2,470,100	-1,176,700
Special Revenue Funds - Other	7,729,500	9,774,100	+2,044,600
Expendable Trust Funds	52,000	552,000	+500,000
Total	\$53,657,500	\$54,191,200	+\$533,700

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$2,806,000	+\$344,300	\$2,791,000	+\$359,300	-\$20,000
Emergency Management	803,800	-89,100	793,800	-89,100
Military Readiness	6,561,300	-251,100	6,209,300	-173,200	\$252,000	+100
Total	\$10,171,100	+\$4,100	\$9,794,100	+\$97,000	\$252,000	-\$19,900

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$15,000	+\$5,000
Emergency Management	10,000
Military Readiness	100,000	-78,000
Total	\$125,000	-\$73,000

MILITARY AND NAVAL AFFAIRS

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$335,000	-\$32,600	\$45,000	-\$54,600
Emergency Management	155,900	-498,800	30,000	-44,100
Military Readiness	1,075,800	-1,026,200	148,000	-231,400
Total	\$1,566,700	-\$1,557,600	\$223,000	-\$330,100

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$20,000	+\$5,100	\$260,000	+\$6,900
Emergency Management	25,900	+8,000	100,000	+37,300
Military Readiness	45,800	-10,000	422,000	-1,008,900
Total	\$91,700	+\$3,100	\$782,000	-\$964,700

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$10,000	+\$10,000
Emergency Management	-\$500,000
Military Readiness	20,000	-15,900	\$440,000	+240,000
Total	\$30,000	-\$5,900	\$440,000	-\$260,000

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Disaster Assistance	\$1,382,600	+\$139,300	\$773,500	+\$128,200
Emergency Management	9,058,100	+174,300	3,701,200	+102,900
Military Readiness	19,216,500	+405,700	9,569,300	+195,100
Special Service	12,796,200	+1,367,900	2,761,500	+71,500
Total	\$42,453,400	+\$2,087,200	\$16,805,500	+\$497,700

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Disaster Assistance	\$609,100	+\$11,100
Emergency Management	5,356,900	+71,400
Military Readiness	9,647,200	+210,600
Special Service	5,856,700	+546,400	\$4,178,000	+\$750,000
Total	\$21,469,900	+\$839,500	\$4,178,000	+\$750,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$537,500	-\$537,500
Special Revenue Funds - Federal	5,500,000	\$5,500,000
Special Revenue Funds - Other	1,650,000	1,650,000
Total	\$7,687,500	\$7,150,000	-\$537,500

MILITARY AND NAVAL AFFAIRS

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Emergency Management			
General Fund	\$250,000	-\$250,000
Special Revenue Funds - Federal	5,500,000	\$5,500,000
Special Revenue Funds - Other	1,650,000	1,650,000
Community Projects			
General Fund	287,500	-287,500
Total	<u>\$7,687,500</u>	<u>\$7,150,000</u>	<u>-\$537,500</u>

CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Comprehensive Construction Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations 2000-01</u>
Design and Construction Supervision				
Capital Projects Fund	\$2,750,000	\$1,750,000	-\$1,000,000	\$2,980,000
Federal Capital Projects Fund	275,000	275,000	1,034,000
Army National Guard				
Capital Projects Fund	1,043,000
Maintenance and Improvement of Existing Facilities				
Capital Projects Fund	2,750,000	4,550,000	+1,800,000	6,078,000
Federal Capital Projects Fund	3,150,000	3,150,000	7,224,000
Total	<u>\$8,925,000</u>	<u>\$9,725,000</u>	<u>+\$800,000</u>	<u>\$18,359,000</u>

DEPARTMENT OF MOTOR VEHICLES

MISSION

The New York State Department of Motor Vehicles (DMV) promotes safety on the State's highways by licensing drivers, registering motor vehicles, protecting the driving public and providing other related services.

ORGANIZATION AND STAFFING

Under the direction of the Commissioner, the Department operates from its main office in Albany (Empire State Plaza) and from three regional headquarters in Albany, Long Island and New York City. The Department also operates 31 district and branch offices which issue licenses and registrations. In addition, County Clerk offices act as DMV agents at 105 locations throughout the State.

The Department of Motor Vehicles will have a workforce of 3,364 for 2000-01 most of whom license drivers and register motor vehicles. Approximately 75 percent of these positions are supported by State tax dollars and 24 percent are financed by fees, including a portion of traffic violation fines in certain localities. The remaining one percent are paid with Federal grants.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Executive Budget recommends approximately \$116.9 million in State tax dollars in 2000-01 to support 58 percent of the Department's operating budget. The balance is financed by Federal funds and various fees and fines. These recommendations continue efforts to enhance customer service and driver safety, and support a variety of technology initiatives.

The driving public will now be able to renew their licenses for eight years. This proposal will include a new provision which provides for a refund on the second half of the licensing period should a motorist no longer require driving privileges in New York State.

The Department will also begin a two year program of reissuing New York State license plates for the more than nine million registered vehicles in the State. New plates were last issued in 1986 and many are no longer legible. In addition to the benefit of replacing illegible plates, this initiative will also help law enforcement identify fraudulent plates and uninsured drivers.

PROGRAM HIGHLIGHTS

CUSTOMER SERVICE

DMV issues driver's licenses and vehicle registrations, collects approximately \$900 million in revenue for the State and localities, monitors driver training and enforces the directives of local magistrates and departmental referees. State offices are also responsible for conducting road tests and maintaining enforcement sections that issue conditional and restricted use licenses and handle other suspension and revocation activities. The Department of Motor Vehicles served more than 20 million customers last year.

The Department also adjudicates traffic violations at 11 locations in New York City, western Suffolk County, Rochester and Buffalo. This allows local judges and criminal courts to dedicate their activities to criminal matters. This program is funded entirely by fines collected from violators. After State operating expenses are deducted, remaining funds are returned to the jurisdictions where the violations occurred.

MOTOR VEHICLES

During the past two years the Department has significantly reduced waiting times in the metropolitan New York area offices through the addition of new staff, creative work scheduling and quality management techniques.

Last year the Department began a pilot program in certain parts of the State which allows customers the ability to schedule road test appointments via a toll free number. This service will soon be available throughout the entire State. In addition, the Department's internet website was upgraded to enable customers to perform certain motor vehicle transactions and to use credit cards for all payments.

VEHICLE AND DRIVER SAFETY

Governor Pataki's highway safety policies have resulted in an historic reduction in fatalities on our roads. Contributing to this success have been enforcement efforts by State and local police, including a statewide crackdown to stop those who drink and drive or engage in aggressive driving on our highways, as well as enforcement activities which have increased seatbelt usage to 76 percent. These efforts have resulted in the lowest highway fatalities ever in New York State and the safest driving year on record.

DMV vehicle safety activities include: licensing and monitoring safety and emissions inspection stations; registering auto repair shops, dealers, transporters and dismantlers; and certifying vehicle inspectors, junk and salvage businesses and automotive body damage estimators.

Driver safety initiatives include implementation and oversight of educational or rehabilitative programs for motorists convicted of alcohol or drug related driving offenses, the point insurance reduction program and pre-licensing courses. DMV also licenses and monitors driving schools and instructors.

FIELD INVESTIGATION AND AUDIT

One of the Department's major functions is to issue credentials which establish the identity and license status of drivers, the ownership of vehicles and boats, and the authenticity of auto-related businesses. External investigation activities concern stolen automobiles, fraudulent identity and motor vehicle documents and complaints regarding unlicensed and suspended drivers.

ALL FUNDS APPROPRIATIONS

<u>Category</u>	<u>Available 1999-00</u>	<u>Appropriations Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations Recommended 2000-01</u>
State Operations	\$210,032,800	\$202,671,600	-\$7,361,200	\$6,840,000
Aid To Localities	7,028,300	12,800,000	+5,771,700	6,130,200
Capital Projects
Total	<u>\$217,061,100</u>	<u>\$215,471,600</u>	<u>-\$1,589,500</u>	<u>\$12,970,200</u>

MOTOR VEHICLES

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund	314	272	272	-42
Administrative Adjudication					
Special Revenue Funds - Other	460	460	460
Clean Air					
Special Revenue Funds - Other	159	159	159
Compulsory Insurance					
Special Revenue Funds - Other	190	190	190
Governor's Traffic Safety Committee					
Special Revenue Funds - Federal	27	9	18	27
Operations					
General Fund	1,585	1,590	1,590	+5
Transportation Safety					
General Fund	670	666	666	-4
Total	3,405	3,346	18	3,364	-41

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$123,864,900	\$116,892,500	-\$6,972,400
Special Revenue Funds - Federal	8,263,400	9,863,400	+1,600,000
Special Revenue Funds - Other	76,404,500	74,415,700	-1,988,800
Internal Service Funds	1,500,000	1,500,000
Total	\$210,032,800	\$202,671,600	-\$7,361,200

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$29,185,100	\$31,953,500	+\$2,768,400
Special Revenue Funds - Other	1,158,200	775,000	-383,200
Administrative Adjudication			
Special Revenue Funds - Other	28,760,600	28,760,600
Clean Air			
Special Revenue Funds - Other	9,711,700	9,711,700
Compulsory Insurance			
Special Revenue Funds - Other	14,271,100	12,983,400	-1,287,700
Governor's Traffic Safety Committee			
Special Revenue Funds - Federal	8,263,400	9,863,400	+1,600,000
Operations			
General Fund	85,158,300	75,261,000	-9,897,300
Internal Service Funds	1,500,000	1,500,000
Transportation Safety			
General Fund	9,521,500	9,678,000	+156,500
Special Revenue Funds - Other	22,502,900	22,185,000	-317,900
Total	\$210,032,800	\$202,671,600	-\$7,361,200

MOTOR VEHICLES

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$12,524,800	-\$1,311,900	\$11,513,800	-\$1,309,000	\$113,000	-\$500
Operations	49,074,000	+27,800	48,898,000	+27,900	176,000	-100
Transportation Safety	23,509,000	+82,300	23,173,000	+82,700	36,000	-400
Total	\$85,107,800	-\$1,201,800	\$83,584,800	-\$1,198,400	\$325,000	-\$1,000

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$898,000	-\$2,400
Transportation Safety	300,000
Total	\$1,198,000	-\$2,400

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$19,428,700	+\$4,080,300	\$306,000	-\$400
Operations	26,187,000	-9,925,100	488,000	-9,625,200
Transportation Safety	-13,831,000	+74,200	910,000	-500
Total	\$31,784,700	-\$5,770,600	\$1,704,000	-\$9,626,100

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$119,000	-\$200	\$18,776,700	+\$4,081,000
Operations	25,000	-400	25,269,000	-298,900
Transportation Safety	1,041,000	-600	5,379,000	+75,800
Total	\$1,185,000	-\$1,200	\$49,424,700	+\$3,857,900

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$227,000	-\$100
Operations	405,000	-600
Transportation Safety	39,000	-500	-\$21,200,000
Total	\$671,000	-\$1,200	-\$21,200,000

MOTOR VEHICLES

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$775,000	-\$383,200
Administrative Adjudication	28,760,600	\$15,802,100
Clean Air	9,711,700	5,720,500
Compulsory Insurance	12,983,400	-1,287,700	5,726,200
Governor's Traffic Safety Committee	9,863,400	+1,600,000	411,000
Operations	1,500,000
Transportation Safety	22,185,000	-317,900
Total	\$85,779,100	-\$388,800	\$27,659,800

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration	\$775,000	-\$383,200
Administrative Adjudication	12,958,500
Clean Air	3,991,200
Compulsory Insurance	7,257,200	-1,287,700
Governor's Traffic Safety Committee	202,400	\$9,250,000	+\$1,600,000
Operations	1,500,000
Transportation Safety	985,000	-317,900	21,200,000
Total	\$26,169,300	-\$1,988,800	\$31,950,000	+\$1,600,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available	Recommended	Change
	1999-00	2000-01	
General Fund	\$50,000	-\$50,000
Special Revenue Funds - Federal	6,978,300	\$12,800,000	+\$5,821,700
Total	\$7,028,300	\$12,800,000	+\$5,771,700

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available	Recommended	Change
	1999-00	2000-01	
Governor's Traffic Safety Committee Special Revenue Funds - Federal	\$6,978,300	\$12,800,000	+\$5,821,700
Community Projects General Fund	50,000	-50,000
Total	\$7,028,300	\$12,800,000	+\$5,771,700

MOTOR VEHICLES

COMMISSION ON QUALITY OF CARE FOR THE MENTALLY DISABLED

MISSION

The Commission on Quality of Care for the Mentally Disabled provides oversight of the State and local mental hygiene systems that collectively spend more than \$5 billion in public funds annually. The Commission:

- monitors conditions of care in State institutions for the mentally ill and mentally retarded, licensed residential facilities, and outpatient programs;
- reports to the Governor and Legislature on how the laws and policies established to protect the rights of mentally disabled persons are being implemented; and
- makes recommendations to improve quality of care.

ORGANIZATION AND STAFFING

Newly relocated to Schenectady, the Commission consists of a full-time chairman and two unsalaried members, each appointed by the Governor and confirmed by the Senate to serve for staggered five-year terms. During 2000-01, the agency will have a workforce of 93 positions funded by the General Fund, Federal Grants and other Federal revenues related to oversight of Medicaid programs.

FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Commission's State Operations appropriation, which comprises 95 percent of the total agency budget, is supported by the General Fund (32 percent), Federal grants (42 percent) and other funding, primarily receipts from the Medicaid program related to program oversight activities (26 percent).

Federal funding provides statewide protection and advocacy services for individuals with disabilities, using State staff and contracts with non-profit agencies, through the following Federal programs: Protection and Advocacy for the Developmentally Disabled; Client Assistance; Protection and Advocacy for Individuals with Mental Illness; Protection and Advocacy of Individual Rights; and Technology Related Protection and Advocacy programs. These Federal grants assist the Commission in strengthening the non-profit contract network which provides individuals with severe disabilities with protection and advocacy services under Federal law.

The agency also receives Federal reimbursement for agency staff activities related to the oversight and provision of Medicaid services. A combination of this funding and State tax dollars support staff investigations of deaths and allegations of abuse and neglect in mental hygiene facilities and other agency oversight functions.

The Aid to Localities appropriations, which comprise 5 percent of the total agency budget, fund:

- contracts with private, non-profit service provider agencies that provide advocacy services to residents of adult homes and adult care facilities; and
- contracts with non-profit Community Dispute Resolution Centers, which provide support services for the Surrogate Decision Making Committee (SDMC) program.

The 2000-01 Executive Budget supports completion of the Governor's 1998-99 initiative to expand the SDMC program statewide. The SDMC program provides a more responsive and lower cost alternative to the court system for securing non-emergency medical treatment for individuals in Department of Mental Hygiene residential facilities who cannot consent to treatment and do not have a surrogate to make these decisions.

The Executive Budget recommendation of \$9.6 million is \$130,300 less than 1999-2000. However, \$188,300 in Federal appropriations in excess of anticipated grant awards has been

QUALITY OF CARE FOR THE MENTALLY DISABLED

removed, resulting in an increase in core program funding of \$58,000. Overall, the recommendation fully supports existing program and service levels.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$9,283,600	\$9,153,300	-\$130,300	\$5,689,500
Aid To Localities	468,000	468,000
Capital Projects
Total	\$9,751,600	\$9,621,300	-\$130,300	\$5,689,500

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund	41	41	41
Administrative Program-Federal Salary Sharing					
Special Revenue Funds - Other	28	28	28
Client Assistance					
Special Revenue Funds - Federal	2	2	2
Protection and Advocacy for the Developmentally Disabled					
Special Revenue Funds - Federal	11	11	11
Protection and Advocacy for the Mentally III					
Special Revenue Funds - Federal	10	10	10
Protection and Advocacy IR					
Special Revenue Funds - Federal	1	1	1
Total	93	93	93

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$2,886,000	\$2,933,000	+\$47,000
Special Revenue Funds - Federal	4,062,600	3,874,300	-188,300
Special Revenue Funds - Other	2,315,000	2,326,000	+11,000
Enterprise Funds	20,000	20,000
Total	\$9,283,600	\$9,153,300	-\$130,300

QUALITY OF CARE FOR THE MENTALLY DISABLED

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$2,886,000	\$2,933,000	+\$47,000
Enterprise Funds	20,000	20,000
Administrative Program-Federal Salary Sharing			
Special Revenue Funds - Other	2,225,000	2,236,000	+11,000
Client Assistance			
Special Revenue Funds - Federal	629,000	629,000
Conference Fee Account			
Special Revenue Funds - Other	90,000	90,000
Protection and Advocacy for the Developmentally Disabled			
Special Revenue Funds - Federal	1,492,800	1,455,300	-37,500
Protection and Advocacy for the Mentally Ill			
Special Revenue Funds - Federal	1,170,800	1,135,000	-35,800
Protection and Advocacy IR			
Special Revenue Funds - Federal	605,000	605,000
Technology Related Protection and Advocacy			
Special Revenue Funds - Federal	165,000	50,000	-115,000
Total	\$9,283,600	\$9,153,300	-\$130,300

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Holiday/Overtime Pay (Annual Salaried)		Change
	Amount	Change	Amount	Change	Amount	Change	
Administration	\$2,256,000	+\$29,000	\$2,244,000	+\$28,500	\$12,000	+\$500	
Total	\$2,256,000	+\$29,000	\$2,244,000	+\$28,500	\$12,000	+\$500	

STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration	\$677,000	+\$18,000	\$41,100	+\$1,500
Total	\$677,000	+\$18,000	\$41,100	+\$1,500

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration	\$121,200	+\$3,100	\$456,600	+\$12,500
Total	\$121,200	+\$3,100	\$456,600	+\$12,500

Program	Equipment	
	Amount	Change
Administration	\$58,100	+\$900
Total	\$58,100	+\$900

QUALITY OF CARE FOR THE MENTALLY DISABLED

STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$20,000
Administrative Program-Federal				
Salary Sharing	2,236,000	+\$11,000	\$1,467,000
Client Assistance	629,000	94,700	+\$500
Conference Fee Account	90,000
Protection and Advocacy for the Developmentally Disabled	1,455,300	-37,500	536,500	-69,700
Protection and Advocacy for the Mentally Ill	1,135,000	-35,800	439,400	+2,400
Protection and Advocacy IR	605,000	83,200	+16,800
Technology Related Protection and Advocacy	50,000	-115,000
Total	\$6,220,300	-\$177,300	\$2,620,800	-\$50,000

Program	Nonpersonal Service	
	Amount	Change
Administration	\$20,000
Administrative Program-Federal		
Salary Sharing	769,000	+\$11,000
Client Assistance	534,300	-500
Conference Fee Account	90,000
Protection and Advocacy for the Developmentally Disabled	918,800	+32,200
Protection and Advocacy for the Mentally Ill	695,600	-38,200
Protection and Advocacy IR	521,800	-16,800
Technology Related Protection and Advocacy	50,000	-115,000
Total	\$3,599,500	-\$127,300

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$95,000	\$95,000
Special Revenue Funds - Other	373,000	373,000
Total	\$468,000	\$468,000

AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Adult Homes			
General Fund	\$95,000	\$95,000
Surrogate Decision Making			
Special Revenue Funds - Other	373,000	373,000
Total	\$468,000	\$468,000