

# **OFFICE OF MENTAL RETARDATION AND DEVELOPMENTAL DISABILITIES**

## **MISSION**

The Office of Mental Retardation and Developmental Disabilities (OMRDD) serves and supports individuals and families of individuals with developmental disabilities. OMRDD works with local governments and non-profit providers to oversee a comprehensive system for delivery of services to people who are developmentally disabled. Both institutional and community-based services are delivered through a network of non-profit providers, State Developmental Centers and numerous State-operated programs based in the community.

## **ORGANIZATION AND STAFFING**

Under the direction of a Commissioner appointed by the Governor, OMRDD currently operates through district offices, called Developmental Disabilities Services Offices. Its Central Office oversees and supports operations of the district offices.

OMRDD will have a workforce of 22,018 for 2000-01, most of whom work directly with consumers of services. The remainder of the workforce are supervisors of direct care workers, clinicians, administrative support and management personnel.

By the end of 2000-01, OMRDD will operate some 1,720 developmental center beds. From 1995 to the end of the 2000-01 fiscal year, the State will have moved more than 2,300 people into more appropriate community care and will have closed nearly 1,900 unneeded institutional beds.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

Total funding of \$2.2 billion supports a comprehensive system of care serving over 116,000 persons with disabilities and their families. Over the last six years, OMRDD's operating budget has grown by \$313.6 million, reflecting the Governor's ongoing commitment to serving the needs of persons with developmental disabilities. In 2000-01, OMRDD's mission is supported by the following recommendations:

- OMRDD's Institutional Services Program will receive total funding of \$337.7 million, to support residential care and habilitative services for people in developmental centers. In addition, another \$68.4 million funds OMRDD's Central Coordination and Support and Research in Mental Retardation Programs, which provide policy direction and technical support to the entire service system.
- OMRDD's Community Services Program will receive \$1.7 billion to fund hundreds of State-operated residential and day programs and ancillary services such as clinics and transportation networks, as well as to support local governments and a network of more than 600 non-profit agencies.
- OMRDD's new capital appropriations of \$63.1 million support the maintenance of existing institutions, community residential facility and program sites as well as the development and renovation of residential and day programming sites and other expanded bed capacity.

This reflects a \$103 million increase over 1999-2000 funding, primarily in funding for community-based services. These recommendations anticipate that OMRDD will continue to aggressively pursue Federal financial support for its programs as well as take other targeted administrative actions to achieve management efficiencies in both the State and non-profit sectors. As a result, General Fund support for OMRDD's operations increases by only \$9.3

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million, while preserving essential direct care and maintaining the quality of services for consumers in both non-profit and OMRDD-operated programs.

The 2000-01 Executive Budget incorporates second-year funding of \$29 million for the Governor's landmark five-year initiative known as New York State Creating Alternatives in Residential Environments and Services (NYS-CARES). This initiative will eliminate the OMRDD adult waiting list for beds for persons with developmental disabilities in New York State who are seeking a home in the community. The 2000-01 Budget supports the development of another nearly 1,000 beds, 600 case management opportunities, and 190 day service opportunities. It will also provide funding for family support services and in-home residential habilitation services — at a level \$3 million higher than originally planned — to further assist families while they await their placement. Over five years, New York State will invest \$245 million in State and Federal funds to provide services to 8,100 individuals requiring out-of-home residential placements.

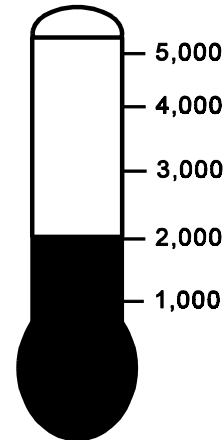
In support of existing residential services, a Medicaid trend factor of 2.9 percent will be provided to Intermediate Care Facilities (ICF) and most Home and Community Based Services (HCBS) Waiver programs. In addition, OMRDD will grant a further 2.1 percent adjustment, based on actual cost experience for these providers. Together, these two actions will provide nearly \$32 million in additional State funding to non-profit community-based providers for residential and other services.

The Budget also includes funding for a base salary increase for 35,000 direct care staff in the not-for-profit sector, in order to encourage qualified individuals to enter, and remain, in the field of direct care. Non-trended programs will receive \$5.8 million in special General Fund support for a \$750 base wage and benefit increase, while trended programs will be encouraged to invest similarly in direct care from the 2.9 percent trend factor and the enhanced 2.1 percent trend.

The State's commitment to continued expansion of non-profit community services is reflected in funding for development of 700 day habilitation service opportunities for persons aging out of special education day school programs and provision of 140 new beds, day services, and service coordination for legally mandated populations, such as those referred by the New York City Administration for Children's Services. Further, the Governor's budget will support the creation of 1,200 new supported employment placements, allowing persons with developmental disabilities to maintain private sector jobs as fully participating members of the State's economy.

During 2000-01, the effort to place individuals residing in the developmental centers into more appropriate community settings will continue. An additional 250 individuals will leave institutions for care in their own communities during 2000-01 and new admissions will be limited to emergency situations and to persons entering special treatment units. Projections are that by the end of next fiscal year, there will be less than 1,720 people

### **Second Year of NYS-CARES Beds Fully Funded**



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living in developmental centers, of whom more than one-half will live in specialized settings. OMRDD remains committed to deinstitutionalization for all consumers who can be appropriately cared for in community settings.

However, reflecting the Governor's priority of protecting the public from individuals with dangerous behaviors, the 2000-01 Executive Budget recommends funding to increase State-operated capacity for persons with severe behavioral involvement who require intensive treatment services. Many of these individuals are referred from the Criminal Justice system as a condition of parole or diverted by the courts at sentencing, while others are identified from within the OMRDD system as requiring more intensive interventions.

Twenty-four new secure beds will be established at the Regional Behavior Intensive Treatment Unit on the Finger Lakes Developmental Disabilities Services Office Campus. Further, staffing ratios will be enriched for 150 persons currently residing in Multiply Disabled Units who need more intensive supervision due to behavioral issues. These enriched units are called Intensive Treatment Units and offer an appropriate level of care to persons who cannot be adequately cared for in regular developmental centers or Multiply Disabled Units, but do not require a secure treatment setting.

The Budget recommendations also support completion of 60 new secure Center for Intensive Treatment beds at Norwich, which are expected to open during 2001-02. In 2000-01, OMRDD will also serve 60 new consumers dually diagnosed with both developmental disabilities and with mental illness, many of whom will transfer from the Office of Mental Health's care. Forty of these consumers will be served in new Intensive Treatment Unit beds. Because their primary diagnosis is mental retardation/developmental disability, these persons will receive more appropriate care in the OMRDD service system. In addition to this expansion of specialized settings, the 2000-01 State Operations recommendation includes funding to develop 24 new State-operated community beds in New York City to accommodate high priority referrals of severely disabled children from the Health and Hospitals Corporation and the Administration for Children's Services. In addition, the Budget recommendations fund continued development of 100 State-operated community residential opportunities for the NYS-CARES program.

Effective March 2000, OMRDD will fully implement the Medicaid Service Coordination initiative which unifies two case management systems (Comprehensive Medicaid Case Management and Waiver Case Management) into a single new service. This reform establishes a uniform set of billing, service and documentation requirements, reimburses on the basis of consumer need and strengthens the role of case managers in the OMRDD system.

Infrastructure throughout the State and not-for-profit systems will be maintained through new capital appropriations of \$63.1 million and reappropriations of \$112 million. Capital appropriations for institutional projects focus on the need to invest resources into preserving and maintaining long-term facilities for essentially a core population of behaviorally involved consumers. Capital projects in the community reflect a considerable investment to maintain both State and non-profit community based sites, and to develop State-operated out-of-home residential placements for adult consumers on the waiting list under the NYS-CARES initiatives. Non-profit capital development needs for NYS-CARES and other program expansion initiatives will continue to be met through the use of non-State revenues such as Federal Housing and Urban Development (HUD) grants and private financing.

In total, these recommendations — through the combination of new and currently operating programs — will support an estimated 7,700 new service opportunities in community programs during 2000-01. This includes community placements for people in developmental centers and for mandated populations, as well as service opportunities for people on community waiting lists. Services to individuals and families have also been preserved within family support services.

### ***PROGRAM HIGHLIGHTS***

OMRDD serves over 116,000 New Yorkers with developmental disabilities, which include mental retardation, epilepsy, cerebral palsy, neurological impairments and autism. In recent years, New York has made great strides in its methods of delivering services to this vulnerable population, moving more persons from institutions to the community than any other state in the nation. In addition, New York now places greater emphasis on consumer choice and satisfaction.

Under a 1991 Federal Medicaid waiver, consumers have the opportunity to receive individualized services in less restrictive settings. With the aid of trained service coordinators, consumers choose the services they need, and receive them in appropriate settings. New York commits more resources to its Home and Community Based Services (HCBS) Waiver program than any state in the nation, investing three times as much as the next highest state offering services under a waiver.

Maintaining a statewide system of services, delivered through both the State and non-profit providers, OMRDD:

- provides 34,500 persons with certified residential services;
- provides 61,000 persons with community day services; and,
- assists in the care of 68,500 persons with developmental disabilities who are living in their own homes.

An overview of these services is provided below:

### ***RESIDENTIAL SERVICES***

Residential services are offered through a continuum of programs in both community and institutional settings. The alternative which provides the most intensive services in a community setting is the Intermediate Care Facility for the Developmentally Disabled (ICF/DD). These facilities — some 8,300 beds operated statewide by both State and not-for-profit providers — are appropriate for individuals requiring 24-hour care. A typical community ICF has 12 to 14 beds.

The most common residential placement for persons under the waiver are Individual Residential Alternatives (IRAs) — homes, typically for 6 to 8 consumers, operated by either the State or not-for-profit providers in the community. This budget supports nearly 13,000 IRA beds and accompanying service coordination. Other community living arrangements include Community Residences and Family Care homes, which serve nearly 10,000 people.

In addition to these community-based programs, OMRDD operates nine campuses across the State. Less than 1,720 people will be served in these settings by the end of 2000-01, of whom more than half will be receiving specialized services. OMRDD remains committed to providing community residential opportunities for all those in State institutions who can receive appropriate care in the community. Currently, New York serves more persons in community residential settings than any other state, with the exception of California.

### ***DAY SERVICES***

OMRDD supports an array of day services for persons with developmental disabilities, which also vary depending on the needs of the consumer. The major programs include:

- day treatment which provides diagnostic, treatment, and rehabilitative services;
  - day habilitation, a smaller more individualized service for persons under the Federal Medicaid waiver for home and community based services;
  - supported work, which provides the opportunity for individuals to work in competitive positions usually in integrated settings in the private sector;
  - sheltered workshops which provide basic, non-competitive work opportunities;
- and,

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- day training which develops the knowledge and skills that enable persons with developmental disabilities to improve their personal, social, and vocational skills and to function independently.

### **SUPPORT FOR CONSUMERS LIVING IN THEIR OWN HOMES**

OMRDD services also support families and individuals in their own homes. The Family Support Services program assists families in caring for nearly 61,000 persons with developmental disabilities, enabling these individuals to remain at home. Services offered include respite, crisis intervention, case management, recreation, information and referral, and home care.

The Individualized Support Services program provides services to 1,600 disabled individuals who live independently. In addition, over 5,000 individuals living in their own homes receive residential habilitation under the Federal Medicaid waiver.

The Care at Home program provides a third alternative, designed to preserve family settings for persons under 18 years of age who are developmentally disabled and medically frail. This program allows the parents of young persons with severe disabilities to maintain the child at home, regardless of family income level, thereby preventing more costly out-of-home placements.

#### **ALL FUNDS APPROPRIATIONS**

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$1,022,852,500	\$1,045,434,000	+\$22,581,500	\$45,000
Aid To Localities .....	984,817,560	1,068,454,000	+83,636,440	1,912,000
Capital Projects .....	66,381,000	63,117,000	-3,264,000	112,261,000
<b>Total .....</b>	<b>\$2,074,051,060</b>	<b>\$2,177,005,000</b>	<b>+\$102,953,940</b>	<b>\$114,218,000</b>

#### **ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS**

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Central Coordination and Support					
General Fund .....	659	671	....	671	+12
Special Revenue Funds - Other .....	18	18	....	18	....
Community Services					
General Fund .....	14,933	15,787	....	15,787	+854
Institutional Services					
General Fund .....	5,841	5,310	....	5,310	-531
Enterprise Funds .....	10	10	....	10	....
Research in Mental Retardation					
General Fund .....	222	222	....	222	....
<b>Total .....</b>	<b>21,683</b>	<b>22,018</b>	<b>....</b>	<b>22,018</b>	<b>+335</b>

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## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$137,641,500	\$131,455,000	-\$6,186,500
Special Revenue Funds - Federal .....	217,000	63,000	-154,000
Special Revenue Funds - Other .....	882,504,000	911,411,000	+28,907,000
Enterprise Funds .....	1,950,000	1,950,000	.....
Fiduciary Funds .....	540,000	555,000	+15,000
<b>Total .....</b>	<b>\$1,022,852,500</b>	<b>\$1,045,434,000</b>	<b>+\$22,581,500</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
<b>Central Coordination and Support</b>			
General Fund .....	\$50,946,000	\$51,021,000	+\$75,000
Special Revenue Funds - Federal .....	61,000	63,000	+2,000
Special Revenue Funds - Other .....	1,604,000	1,604,000	.....
<b>Community Services</b>			
General Fund .....	624,826,000	639,264,000	+14,438,000
Special Revenue Funds - Federal .....	156,000	.....	-156,000
<b>Institutional Services</b>			
General Fund .....	327,182,000	335,275,000	+8,093,000
Enterprise Funds .....	1,950,000	1,950,000	.....
Fiduciary Funds .....	505,000	505,000	.....
<b>Maintenance Undistributed</b>			
General Fund .....	-880,900,000	-909,807,000	-28,907,000
Special Revenue Funds - Other .....	880,900,000	909,807,000	+28,907,000
<b>Research in Mental Retardation</b>			
General Fund .....	15,587,500	15,702,000	+114,500
Expendable Trust Funds .....	35,000	50,000	+15,000
<b>Total .....</b>	<b>\$1,022,852,500</b>	<b>\$1,045,434,000</b>	<b>+\$22,581,500</b>

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Central Coordination and Support .....	\$36,051,000	-\$3,000	\$33,835,000	-\$3,000	\$1,991,000	.....
Community Services .....	539,541,000	+12,593,000	525,085,000	+12,593,000	4,262,000	.....
Institutional Services .....	208,051,000	+703,000	201,037,000	+703,000	967,000	.....
Research in Mental Retardation .....	13,601,000	+103,500	13,193,000	+103,500	260,000	.....
<b>Total .....</b>	<b>\$797,244,000</b>	<b>+\$13,396,500</b>	<b>\$773,150,000</b>	<b>+\$13,396,500</b>	<b>\$7,480,000</b>	<b>.....</b>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Central Coordination and Support .....	\$225,000	.....
Community Services .....	10,194,000	.....
Institutional Services .....	6,047,000	.....
Research in Mental Retardation .....	148,000	.....
<b>Total .....</b>	<b>\$16,614,000</b>	<b>.....</b>

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## **STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Central Coordination and Support . . .	\$14,970,000	+\$78,000	\$534,000	+\$78,000
Community Services . . . . .	99,723,000	+1,845,000	35,069,000	+2,068,000
Institutional Services . . . . .	127,224,000	+7,390,000	18,099,000	+317,000
Research in Mental Retardation . . .	2,101,000	+11,000	788,000	+11,000
<b>Total . . . . .</b>	<b>\$244,018,000</b>	<b>+\$9,324,000</b>	<b>\$54,490,000</b>	<b>+\$2,474,000</b>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Central Coordination and Support . . .	\$1,113,000	....	\$12,071,000	....
Community Services . . . . .	5,597,000	....	48,599,000	....
Institutional Services . . . . .	944,000	....	26,191,000	+\$3,000,000
Research in Mental Retardation . . .	62,000	....	956,000	....
<b>Total . . . . .</b>	<b>\$7,716,000</b>	<b>....</b>	<b>\$87,817,000</b>	<b>+\$3,000,000</b>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support . . .	\$1,252,000	....	....	....
Community Services . . . . .	4,881,000	....	\$5,577,000	-\$223,000
Institutional Services . . . . .	1,817,000	....	80,173,000	+4,073,000
Research in Mental Retardation . . .	295,000	....	....	....
<b>Total . . . . .</b>	<b>\$8,245,000</b>	<b>....</b>	<b>\$85,750,000</b>	<b>+\$3,850,000</b>

## **STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Central Coordination and Support . . .	\$1,667,000	+\$2,000	\$1,034,000	....
Community Services . . . . .	....	-156,000	....	....
Institutional Services . . . . .	2,455,000	....	274,000	....
Research in Mental Retardation . . .	50,000	+15,000	....	....
<b>Total . . . . .</b>	<b>\$4,172,000</b>	<b>-\$139,000</b>	<b>\$1,308,000</b>	<b>....</b>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Central Coordination and Support . . .	\$570,000	....	\$63,000	+\$2,000
Community Services . . . . .	....	....	....	-156,000
Institutional Services . . . . .	2,181,000	....	....	....
Research in Mental Retardation . . .	50,000	+\$15,000	....	....
<b>Total . . . . .</b>	<b>\$2,801,000</b>	<b>+\$15,000</b>	<b>\$63,000</b>	<b>-\$154,000</b>

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## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$604,932,560	\$620,466,000	+\$15,533,440
Special Revenue Funds - Other .....	377,885,000	445,988,000	+68,103,000
Fiduciary Funds .....	2,000,000	2,000,000	.....
<b>Total .....</b>	<b>\$984,817,560</b>	<b>\$1,068,454,000</b>	<b>+\$83,636,440</b>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Community Services			
General Fund .....	\$601,948,000	\$620,466,000	+\$18,518,000
Special Revenue Funds - Other .....	377,885,000	445,988,000	+68,103,000
Fiduciary Funds .....	2,000,000	2,000,000	.....
Community Projects			
General Fund .....	2,984,560	.....	-2,984,560
<b>Total .....</b>	<b>\$984,817,560</b>	<b>\$1,068,454,000</b>	<b>+\$83,636,440</b>

## CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Design and Construction Supervision				
Capital Projects Fund .....	\$600,000	\$2,000,000	+\$1,400,000	.....
Mental Hygiene Capital Improvement Fund .....	2,000,000	3,000,000	+1,000,000	.....
Non-Bondable Projects				
Capital Projects Fund .....	2,000,000	2,000,000	.....	.....
Voluntary-Operated Community Facilities				
Capital Projects Fund .....	8,000,000	8,383,000	+383,000	\$9,602,000
Mental Hygiene Capital Improvement Fund .....	2,600,000	2,678,000	+78,000	2,600,000
State-Operated Community Services Program				
Capital Projects Fund .....	10,200,000	10,200,000	.....	14,097,000
Mental Hygiene Capital Improvement Fund .....	7,100,000	2,500,000	-4,600,000	24,669,000
Institutional Services Program				
Capital Projects Fund .....	15,895,000	19,445,000	+3,550,000	28,691,000
Mental Hygiene Capital Improvement Fund .....	17,986,000	12,911,000	-5,075,000	32,602,000
<b>Total .....</b>	<b>\$66,381,000</b>	<b>\$63,117,000</b>	<b>-\$3,264,000</b>	<b>\$112,261,000</b>