

# **OFFICE OF MENTAL HEALTH**

## **MISSION**

The Office of Mental Health (OMH) is responsible for planning and operating an integrated system of mental health care serving adults with serious and persistent mental illness and children with serious emotional disturbances.

## **ORGANIZATION AND STAFFING**

The Office of Mental Health is headed by a Commissioner who is appointed by the Governor. New York's public mental health system consists of programs that are operated by the Office of Mental Health, as well as those community programs certified and funded by the State but operated in local communities by local governments and not-for-profit and profit-making providers. OMH regulates and licenses approximately 2,500 programs across the State and, in conjunction with the Department of Health, is responsible for implementation of Medicaid managed care through Special Needs Plans (SNPs). The combined system serves both adults who have serious and persistent mental illness and children with serious emotional disturbances who have experienced substantial problems in functioning.

OMH currently operates twenty-eight facilities across the State, including seventeen Adult Psychiatric Centers and six Children's Psychiatric Centers. In addition, OMH operates three Forensic Psychiatric Centers — which serve individuals with histories in the criminal justice system — and two Research Institutes.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The 2000-01 Executive Budget provides \$1.95 billion in total authorized spending for the Office of Mental Health from General Fund, Federal and other third-party resources, an increase of \$78.1 million, compared to 1999-00. General Fund support to the agency increases by \$91.3 million from the prior year.

Budget recommendations for the Office of Mental Health reflect the following guiding principles: strengthening community mental health care and reducing unneeded institutional care while at the same time transitioning the existing community mental health system to Medicaid managed care under Special Needs Plans (SNPs) for the seriously mentally ill.

Over the past five years, significant efficiencies have been achieved in institutional operations by matching clients to more appropriate community services and settings. These actions include closing unnecessary State-operated inpatient beds, closing two psychiatric centers and consolidating adult inpatient care from three campuses onto one campus on Long Island and restructuring outpatient services under a managed care model of service. This year, however, there will be a temporary moratorium on further bed closures while community mental health programs implement the Assisted Outpatient Treatment Program, develop new residential beds and initiate major expansions in adult and children's services. This will provide an opportunity for the Office of Mental Health to reassess bed requirements for the future.

Psychiatric Center reductions are limited to the elimination of 100 excess administrative and support positions in psychiatric centers where reductions in such positions had not kept pace with bed closures in the past. Also being eliminated are 18 psychiatric residency positions at OMH facilities to more closely reflect current workload needs.

The Office of Mental Health is responsible for providing mental health care to inmates in Department of Correctional Services facilities. The forensic program is increased by 82 positions to staff the administrative segregation units, whose capacity has increased considerably in recent years, a new satellite unit at the Seneca Correctional Facility, scheduled to open in 2000-01, and expanded discharge planning services at State correctional facilities.

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### **ENHANCED COMMUNITY SERVICES**

Appropriations of \$56.5 million, to be supported by Health Care Reform Act (HCRA) revenues, are recommended for a multi-faceted Enhanced Community Services program which will be implemented over the next two years to strengthen the community mental health service system. The program unveiled by Governor Pataki in November will result in \$125 million in additional spending on mental health services annually once fully implemented.

Included are funds to operate five new transitional residences to be located on State Psychiatric Center grounds. These facilities are intended to foster successful community placements by providing clients with an opportunity to adjust to living in a smaller, more residential setting before moving on to community living. OMH central and field office staff will also be strengthened in a number of areas to improve program oversight of the design and operation of community programs, including local programs and special needs plan performance evaluations, service provider and client information collection and analysis, licensing and certification, and children's program evaluation and coordination. The new initiatives also include the following: an increase in the number of adults provided case management from 15,600 to 25,000 (\$14.8 million); 2,000 more units of supported housing (\$14.6 million); an expansion of Home and Community Based Services (HCBS) waiver slots to 600 (\$3.1 million); an increase in children's case management capacity to 4,530 from the current 1,950 (\$3.7 million); expansion in the number of families served by family support services from approximately 4,000 to 5,200 (\$2.0 million); an additional 125 family based treatment slots (\$2.4 million); and four mobile mental health teams to serve juvenile offenders in Office of Children and Families (OCFS) facilities (\$1.3 million).

### **ASSISTED OUTPATIENT TREATMENT**

A total of \$32 million is recommended to fully implement the Assisted Outpatient Treatment (AOT) program ("Kendra's Law") enacted and initiated in 1999-2000. The appropriations provide for court ordered assisted outpatient treatment for certain people with mental illness who, based on their treatment history and present circumstances, are unlikely to survive safely in the community without supervision. It ensures such clients will receive case management services aimed at keeping the clients in a treatment program or under medication as required. It also improves discharge planning for those being released from jail or prison and provides psychiatric medication to individuals being discharged from State Psychiatric Centers, community hospitals, prisons and jails while their Medicaid eligibility is being determined.

### **OTHER ACTIONS**

The Governor's Budget also incorporates a 2 percent cost-of-living (COLA) increase for community residential programs and continues funding under the Community Mental Health Reinvestment Act to expand each community's capacity to serve mentally ill persons. Consistent with statutory levels, the budget includes \$5.7 million (\$11.4 million full annual) in new funds for Reinvestment based on actual institutional bed closures in 1998-99. This new annual funding commitment brings the total amount of Reinvestment funding to nearly \$205 million. These resources support community mental health services that are determined through local plans, and encompass a wide variety of new and expanded programs, including outpatient clinics, community support, self help and residential beds.

Including the new residential beds recommended by the Governor, and other beds now in various stages of development, this Budget provides operational funding for about 2,375 new community beds expected to open by the end of 2000-01.

OMH's Capital Budget includes new appropriations of \$134.1 million for preservation and maintenance of institutional and community facilities. Capital spending from new appropriations and re-appropriations support institutional projects primarily for health and safety, preservation and maintenance needs consistent with the Office of Mental Health's

facility maintenance program and compliance with accreditation standards. Capital spending for local programs maintains the existing residential and other program locations and continues the development of community beds authorized previously.

### **PROGRAM HIGHLIGHTS**

New York State will continue to rank first among all states in total spending and per capita spending on State and local programs for the mentally ill.

- State-operated facilities provide inpatient, outpatient, and community support programs throughout the State serving adults, children, and forensic populations. State-operated psychiatric centers provide inpatient services to approximately 9,700 adults and children admitted annually, while over 37,000 individuals are served through various outpatient and support programs.
- Institutional patients vary greatly in age, level of disability and length of hospitalization. A range of treatment and rehabilitative services is offered as patients progress from admission to discharge. In addition, OMH provides specialized services for physically disabled, aggressive and assaultive, and multi-diagnosed patients, as well as patients who have criminal histories.
- The Office operates an array of community-based programs located throughout the State. These programs, staffed with State employees, provide supportive services to enable individuals with mental illness to avoid long-term inpatient stays. State-operated community-based services currently include community residential facilities and residential care centers for adults and children, outpatient programs, intensive case management positions, and a variety of supportive employment, work-for-pay, crisis and other community support programs.
- OMH operates two research units supported by State tax dollars and Federal and other grants, the OMH New York Psychiatric Institute in Manhattan and the OMH Nathan S. Kline Institute, located on the grounds of Rockland Psychiatric Center. These facilities conduct research in children and adult clinical treatment, basic biomedical and neurosciences, and health services for the mentally ill.
- OMH also funds a wide range of community services provided by local governments and private organizations. These include emergency services such as Comprehensive Psychiatric Emergency Programs; general hospital inpatient services; outpatient services such as mental health clinics and day treatment programs and community support programs such as Intensive and Supportive Case Management, residential programs and consumer-run self-help and self-operated programs. Overall, State aid and Medicaid (budgeted largely in the Department of Health) will provide about \$2.0 billion for not-for-profit and county-operated community mental health programs through a network of approximately 2,500 programs serving over 500,000 persons a year.
- OMH supports a physical plant of more than 1,000 buildings and over 5,000 acres of space on its 23 active psychiatric center campuses, and assists in the development and maintenance of over 23,000 community residential beds. OMH's capital appropriations primarily support projects to improve the health and safety of patients and staff, such as improved security and modernization of those psychiatric centers designated for long-term use.

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## ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$1,046,633,000	\$1,056,801,000	+\$10,168,000	\$913,000
Aid To Localities .....	661,268,500	759,535,000	+98,266,500	29,832,000
Capital Projects .....	164,432,000	134,120,000	-30,312,000	552,212,000
Total .....	<u>\$1,872,333,500</u>	<u>\$1,950,456,000</u>	<u>+\$78,122,500</u>	<u>\$582,957,000</u>

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

2000-01 Recommended Average Fill Level

Program	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	Change
Administration and Finance					
General Fund .....	565	578	....	578	+13
Special Revenue Funds - Federal .....	9	11	....	11	+2
Enterprise Funds .....	20	20	....	20	....
Internal Service Funds .....	25	25	....	25	....
Adult Services					
General Fund .....	13,305	12,922	....	12,922	-383
Capital Planning					
Capital Projects Funds - Other .....	41	41	....	41	....
Children and Youth Services					
General Fund .....	1,925	1,919	....	1,919	-6
Enhanced Community Services					
General Fund .....	....	270	....	270	+270
Forensic Services					
General Fund .....	1,966	2,037	....	2,037	+71
Research					
General Fund .....	532	532	....	532	....
Special Revenue Funds - Other .....	29	29	....	29	....
Subtotal, Direct Funded Programs .....	<u>18,417</u>	<u>18,384</u>	<u>....</u>	<u>18,384</u>	<u>-33</u>
Suballocations:					
General Fund .....	6			6	....
Total .....	<u>18,423</u>			<u>18,390</u>	<u>-33</u>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$504,924,000	\$529,283,000	+\$24,359,000
Special Revenue Funds - Federal .....	913,000	929,000	+16,000
Special Revenue Funds - Other .....	531,046,000	516,239,000	-14,807,000
Enterprise Funds .....	7,213,000	7,813,000	+600,000
Internal Service Funds .....	1,967,000	1,967,000	....
Fiduciary Funds .....	570,000	570,000	....
Total .....	<u>\$1,046,633,000</u>	<u>\$1,056,801,000</u>	<u>+\$10,168,000</u>
Adjustments:			
Transfer(s) From			
Mental Health, Office of			
General Fund (Aid To Localities ) .....	-2,920,000		
Appropriated 1999-00 .....	<u>\$1,043,713,000</u>		

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

Program	Available 1999-00	Recommended 2000-01	Change
Administration and Finance			
General Fund	\$50,538,000	\$53,087,000	+\$2,549,000
Special Revenue Funds - Federal	913,000	929,000	+16,000
Enterprise Funds	7,213,000	7,813,000	+600,000
Internal Service Funds	1,967,000	1,967,000	....
Fiduciary Funds	570,000	570,000	....
Adult Services			
General Fund	732,200,000	720,843,000	-11,357,000
Children and Youth Services			
General Fund	104,652,000	103,763,000	-889,000
Enhanced Community Services			
General Fund	....	15,948,000	+15,948,000
Forensic Services			
General Fund	103,660,000	106,684,000	+3,024,000
Maintenance Undistributed			
General Fund	-525,435,000	-510,488,000	+14,947,000
Special Revenue Funds - Other	525,435,000	510,488,000	-14,947,000
Research			
General Fund	39,309,000	39,446,000	+137,000
Special Revenue Funds - Other	5,611,000	5,751,000	+140,000
<b>Total</b>	<b>\$1,046,633,000</b>	<b>\$1,056,801,000</b>	<b>+\$10,168,000</b>

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration and Finance	\$31,307,000	-\$503,000	\$30,187,000	+\$265,000	\$858,000	-\$772,000
Adult Services	591,107,000	-13,285,000	545,397,000	-13,285,000	14,283,000	....
Children and Youth Services	90,443,000	-1,257,000	84,488,000	-1,330,000	2,810,000	+19,000
Forensic Services	97,309,000	+2,392,000	91,344,000	+2,392,000	2,710,000	....
Research	33,554,000	-40,000	32,999,000	-49,000	86,000	+1,000
<b>Total</b>	<b>\$843,720,000</b>	<b>-\$12,693,000</b>	<b>\$784,415,000</b>	<b>-\$12,007,000</b>	<b>\$20,747,000</b>	<b>-\$752,000</b>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration and Finance	\$262,000	+\$4,000
Adult Services	31,427,000	....
Children and Youth Services	3,145,000	+54,000
Forensic Services	3,255,000	....
Research	469,000	+8,000
<b>Total</b>	<b>\$38,558,000</b>	<b>+\$66,000</b>

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## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Finance .....	\$21,780,000	+\$3,052,000	\$824,000	.....
Adult Services .....	129,736,000	+1,928,000	63,424,000	+\$1,054,000
Children and Youth Services .....	13,320,000	+368,000	5,573,000	+168,000
Enhanced Community Services ...	15,948,000	+15,948,000	.....	.....
Forensic Services .....	9,375,000	+632,000	5,610,000	+235,000
Research .....	5,892,000	+177,000	2,470,000	+74,000
<b>Total .....</b>	<b>\$196,051,000</b>	<b>+\$22,105,000</b>	<b>\$77,901,000</b>	<b>+\$1,531,000</b>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Finance .....	\$1,293,000	+\$500,000	\$17,859,000	+\$2,212,000
Adult Services .....	2,801,000	+82,000	57,877,000	+720,000
Children and Youth Services .....	491,000	.....	6,734,000	+200,000
Forensic Services .....	583,000	+271,000	3,009,000	+106,000
Research .....	85,000	+2,000	3,210,000	+97,000
<b>Total .....</b>	<b>\$5,253,000</b>	<b>+\$855,000</b>	<b>\$88,689,000</b>	<b>+\$3,335,000</b>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Finance .....	\$1,804,000	+\$340,000	.....	.....
Adult Services .....	2,469,000	+72,000	\$3,165,000	.....
Children and Youth Services .....	522,000	.....	.....	.....
Enhanced Community Services ...	.....	.....	15,948,000	+\$15,948,000
Forensic Services .....	173,000	+20,000	.....	.....
Research .....	127,000	+4,000	.....	.....
<b>Total .....</b>	<b>\$5,095,000</b>	<b>+\$436,000</b>	<b>\$19,113,000</b>	<b>+\$15,948,000</b>

## STATE OPERATIONS — OTHER THAN GENERAL AND OFFSET FUNDS SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration and Finance .....	\$11,279,000	+\$616,000	\$3,577,000	+\$40,000
Research .....	5,751,000	+140,000	2,385,000	.....
<b>Total .....</b>	<b>\$17,030,000</b>	<b>+\$756,000</b>	<b>\$5,962,000</b>	<b>+\$40,000</b>

Program	Nonpersonal Service	
	Amount	Change
Administration and Finance .....	\$7,702,000	+\$576,000
Research .....	3,366,000	+140,000
<b>Total .....</b>	<b>\$11,068,000</b>	<b>+\$716,000</b>

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS**

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$638,343,500	\$689,334,000	+\$50,990,500
Special Revenue Funds - Federal .....	22,925,000	27,126,000	+4,201,000
Special Revenue Funds - Other .....	.....	43,075,000	+43,075,000
<b>Total .....</b>	<b>\$661,268,500</b>	<b>\$759,535,000</b>	<b>+\$98,266,500</b>
Adjustments:			
Transfer(s) To			
Mental Health, Office of			
General Fund (State Operations) .....	+2,920,000		
Appropriated 1999-00 .....	<u>\$664,188,500</u>		

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

Program	Available 1999-00	Recommended 2000-01	Change
Adult Services			
General Fund .....	\$399,239,500	\$435,159,000	+\$35,919,500
Special Revenue Funds - Federal .....	18,216,000	21,617,000	+3,401,000
Special Revenue Funds - Other .....	.....	2,475,000	+2,475,000
Children and Youth Services			
General Fund .....	88,574,000	88,574,000	.....
Special Revenue Funds - Federal .....	4,709,000	5,509,000	+800,000
Community Mental Health Reinvestment			
General Fund .....	148,375,000	165,601,000	+17,226,000
Enhanced Community Services			
General Fund .....	.....	40,600,000	+40,600,000
Maintenance Undistributed			
General Fund .....	.....	-40,600,000	-40,600,000
Special Revenue Funds - Other .....	.....	40,600,000	+40,600,000
Community Projects			
General Fund .....	2,155,000	.....	-2,155,000
<b>Total .....</b>	<b>\$661,268,500</b>	<b>\$759,535,000</b>	<b>+\$98,266,500</b>

**CAPITAL PROJECTS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

Comprehensive Construction Program	Available 1999-00	Recommended 2000-01	Change	Reappropriations 2000-01
Design and Construction Supervision				
Capital Projects Fund .....	\$2,250,000	\$1,750,000	-\$500,000	.....
Mental Hygiene Capital Improvement Fund .....	8,188,000	9,000,000	+812,000	\$21,574,000
Executive Direction				
Capital Projects Fund .....	.....	.....	.....	4,000,000
Mental Hygiene Capital Improvement Fund .....	3,300,000	3,300,000	.....	3,300,000
Community Mental Health Facilities				
Capital Projects Fund .....	.....	5,000,000	+5,000,000	28,758,000
Mental Hygiene Capital Improvement Fund .....	50,375,000	5,412,000	-44,963,000	160,239,000
Non-Bondable Projects				
Capital Projects Fund .....	6,000,000	11,000,000	+5,000,000	.....
Maintenance and Improvements of Existing Facilities				
Capital Projects Fund .....	19,807,000	26,238,000	+6,431,000	24,151,000
Mental Hygiene Capital Improvement Fund .....	74,512,000	72,420,000	-2,092,000	310,190,000
<b>Total .....</b>	<b>\$164,432,000</b>	<b>\$134,120,000</b>	<b>-\$30,312,000</b>	<b>\$552,212,000</b>

