

OFFICE OF THE STATE INSPECTOR GENERAL

MISSION

The Office of the State Inspector General is responsible for detecting, investigating, eliminating and deterring fraud, corruption, criminal activity, conflicts of interest and abuse in State government. Its mission is designed to ensure that State government maintains the highest standards of integrity and accountability.

ORGANIZATION AND STAFFING

The Office of the State Inspector General was fundamentally restructured pursuant to Executive Order 39 issued by the Governor in June, 1996. The Executive Order provides for the consolidation of most of the State's inspector general activities in a single Office that replaces what were formerly separate, semi-independent deputy inspectors general based in the agencies they served. Headed by the Inspector General who is appointed by the Governor, the Office is headquartered in Albany and has offices in New York City and Buffalo. It will have a workforce of 94 in 2000-01.

FISCAL BACKGROUND AND HIGHLIGHTS

The 2000-01 Executive Budget recommends a General Fund appropriation of \$4.7 million and \$1.3 million in support coming primarily from public authorities. This will allow the Office to maintain existing operations and to relocate its New York City office to new leased space.

PROGRAM HIGHLIGHTS

Since the promulgation of Executive Order 39, the Office of the State Inspector General has acted on more than 3,500 complaints of fraud, criminal activity, waste and abuse involving State employees and resources. The Office has focused its investigative efforts on major cases involving theft, bribery, contract fraud, abuse of authority, and other serious allegations of corruption. Currently, the agency's investigators are working jointly with local, state, and federal law enforcement personnel and prosecutors on significant matters of public concern.

During calendar years 1998 and 1999, the Office's investigations resulted in the arrest of more than 80 individuals, and referrals for discipline in numerous other cases. In addition, many cases resulted in recommendations for administrative or policy changes. The State Inspector General's cases uncovered instances of theft, bid rigging, bribery, creation of fraudulent documents, misuse of computers, narcotics trafficking, and sexual assault. Equally important, the Office's investigations have, in many instances, cleared agencies and individuals of allegations that were unfounded or unsubstantiated.

ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations	\$5,975,000	\$6,006,600	+\$31,600
Aid To Localities
Capital Projects
Total	<u>\$5,975,000</u>	<u>\$6,006,600</u>	<u>+\$31,600</u>	<u>....</u>

STATE INSPECTOR GENERAL

ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Inspector General					
General Fund	76	75	75	-1
Special Revenue Funds - Other	19	19	19
Total	95	94	94	-1

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$4,675,000	\$4,706,600	+\$31,600
Special Revenue Funds - Other	1,300,000	1,300,000
Total	\$5,975,000	\$6,006,600	+\$31,600

STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Inspector General			
General Fund	\$4,675,000	\$4,706,600	+\$31,600
Special Revenue Funds - Other	1,300,000	1,300,000
Total	\$5,975,000	\$6,006,600	+\$31,600

STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Inspector General	\$4,126,600	+\$35,600	\$4,126,600	+\$35,600
Total	\$4,126,600	+\$35,600	\$4,126,600	+\$35,600

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**STATE OPERATIONS — GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

<u>Program</u>	Total		Contractual Services	
	Amount	Change	Amount	Change
Inspector General	\$580,000	-\$4,000	\$580,000	-\$4,000
Total	\$580,000	-\$4,000	\$580,000	-\$4,000

**STATE OPERATIONS — OTHER THAN GENERAL FUND
SUMMARY OF APPROPRIATIONS AND CHANGES
2000-01 RECOMMENDED**

<u>Program</u>	Total		Personal Service	
	Amount	Change	Amount	Change
Inspector General	\$1,300,000	\$967,000	-\$1,000
Total	\$1,300,000	\$967,000	-\$1,000

<u>Program</u>	Nonpersonal Service	
	Amount	Change
Inspector General	\$333,000	+\$1,000
Total	\$333,000	+\$1,000

