

***GENERAL  
GOVERNMENT***



# ***DIVISION OF ALCOHOLIC BEVERAGE CONTROL***

## ***MISSION***

The Division of Alcoholic Beverage Control (ABC) regulates and controls the manufacture, sale and distribution of alcoholic beverages within the State. The Division issues and renews licenses and permits to manufacturers, distributors, wholesalers and retailers; works with local law enforcement agencies to ensure compliance with the ABC Law; regulates trade and credit practices for the sale and distribution of alcoholic beverages by such actions as registering brand labels and controlling wholesale and retail prices; and certifies alcohol awareness training programs.

## ***ORGANIZATION AND STAFFING***

The Division maintains offices in New York City, Albany, Syracuse and Buffalo. The Division will have a workforce of 208 positions for 2000-01.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

In 2000-01, a total of \$13.3 million in special revenue funding will support the Division.

## ***PROGRAM HIGHLIGHTS***

Staff assigned to the Licensing Program are responsible for the timely processing of the permits and licenses required by the Alcoholic Beverage Control Law. The Division has installed modern computer and imaging systems to re-engineer and automate the licensing process. These improvements have reduced processing time, contained operating costs and accelerated revenue collections.

In cooperation with local law enforcement agencies, staff of the Compliance Program ensure that regulated parties comply with the Law. The Division investigates and holds administrative hearings on complaints against permit and license holders. Penalties, ranging from warnings to license suspension and revocation, are imposed for violations.

### **ALL FUNDS APPROPRIATIONS**

<u>Category</u>	<u>Available 1999-00</u>	<u>Appropriations Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations Recommended 2000-01</u>
State Operations .....	\$13,702,400	\$13,332,900	-\$369,500	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
Total .....	<u>\$13,702,400</u>	<u>\$13,332,900</u>	<u>-\$369,500</u>	<u>....</u>

# ALCOHOLIC BEVERAGE CONTROL

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
Special Revenue Funds - Other	27	27	....	27	....
Compliance					
Special Revenue Funds - Other	88	85	....	85	-3
Licensing and Wholesaler Services					
Special Revenue Funds - Other	101	96	....	96	-5
<b>Total</b>	<b>216</b>	<b>208</b>	<b>....</b>	<b>208</b>	<b>-8</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
Special Revenue Funds - Other	\$13,702,400	\$13,332,900	-\$369,500
<b>Total</b>	<b>\$13,702,400</b>	<b>\$13,332,900</b>	<b>-\$369,500</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
Special Revenue Funds - Other	\$3,082,100	\$3,306,700	+\$224,600
Compliance			
Special Revenue Funds - Other	5,473,100	5,192,100	-281,000
Licensing and Wholesaler Services			
Special Revenue Funds - Other	5,147,200	4,834,100	-313,100
<b>Total</b>	<b>\$13,702,400</b>	<b>\$13,332,900</b>	<b>-\$369,500</b>

## STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration	\$3,306,700	+\$224,600	\$1,322,900	+\$86,800
Compliance	5,192,100	-281,000	3,443,100	-207,500
Licensing and Wholesaler Services				
Services	4,834,100	-313,100	3,260,600	-227,700
<b>Total</b>	<b>\$13,332,900</b>	<b>-\$369,500</b>	<b>\$8,026,600</b>	<b>-\$348,400</b>

Program	Nonpersonal Service	
	Amount	Change
Administration	\$1,983,800	+\$137,800
Compliance	1,749,000	-73,500
Licensing and Wholesaler Services		
Services	1,573,500	-85,400
<b>Total</b>	<b>\$5,306,300</b>	<b>-\$21,100</b>

# **DEPARTMENT OF AUDIT AND CONTROL**

## **MISSION**

The Department of Audit and Control was created in 1926 and is headed by the State Comptroller, who is elected by the people. The Department is responsible for paying the State's bills and payrolls; verifying all financial transactions of the State; reviewing the financial and management practices of State agencies; supervising the fiscal affairs of local governments; investing State funds and issuing bonds and notes; and administering the retirement program for State and most local government employees.

## **ORGANIZATION AND STAFFING**

The Department of Audit and Control is organized into 10 programs, with its main office in Albany and regional offices in New York City, Buffalo, Rochester, Syracuse, Binghamton, Glens Falls and Hauppauge. These regional offices function primarily as decentralized audit centers, providing financial review of the accounting of revenues collected and expenses incurred by counties, cities, towns and villages, school and fire districts, and quasi-governmental entities. The Department will have a workforce of 2,219 positions for 2000-01.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The operating expenses of the Department are funded primarily with State tax dollars from the General Fund and with revenues from the Employee Retirement Systems. The Executive Budget recommends \$160.4 million for the Department's State Operations budget, including \$100.2 million, or 63 percent, in General Fund support. This portion of the Department's budget includes additional funding of \$1 million for the operation of the payroll system, \$5.8 million mainly for auditing costs of unclaimed funds activities that were previously supported with revenues of the program, and \$1.5 million for real estate leases and for the start-up expenses of the Department's new headquarters building in Albany.

Another 33 percent of the Department's State Operations budget will be supported in 2000-01 with the recommended \$53.6 million in funding from the Retirement Systems. The remaining 4 percent of this budget will be funded with:

- \$4.0 million in payments made by the City of New York and certain businesses, which support the Department's costs associated with overseeing City finances and with administering an account related to oil spill cleanups; and
- \$1.3 million in charges to the Retirement Systems for the Department's staff and other costs related to administering an internal auditing program.
- \$1.3 million from increased investment earnings, which will finance checking and direct deposit costs of State government.

The General Municipal Law provides special accidental death benefits for the survivors of police and paid firefighters who have died from accidents sustained in the performance of duty. The Executive Budget recommendation includes \$20 million to fund the State's share of these benefits, an increase of \$1.3 million over 1999-2000.

## **PROGRAM HIGHLIGHTS**

- The Executive Direction, Legal Services, and Administrative and Data Processing Services programs are responsible for the public information, internal audit, fiscal research, data processing, financial administration, legal, office services, management analysis and human resource functions of the Department.

## AUDIT AND CONTROL

- The Payroll and Revenue Services program conducts pre-audits of the State payroll and manages two statewide revenue programs. Staff assigned to this program administer the Abandoned Property Law, which requires the timely transfer of abandoned property to the State from holders of the property, notification of the legal owners of the property and payment of all valid claims. Program staff also process revenues generated by the local courts and by the sale of licenses for bingo and games of chance. These revenues are deposited in the Justice Court Fund and are subsequently distributed to the State and localities to which the funds are owed.
- The Management Audit and State Financial Services program audits all State agency, State public authority and New York City government programs to evaluate their effectiveness and efficiency. Staff assigned to this program also conduct a pre-audit of all non-payroll State expenditures. In this capacity, the Department acts as the State's bookkeeper, recording all collected revenues in the appropriate accounts, and posting all payments. The Higher Education Services Corporation and the departments of Labor and Civil Service fund on-site auditors who monitor selected activities of those agencies.
- The Municipal Affairs program examines and standardizes fiscal reports and accounts of all governmental and quasi-governmental entities within the State, and monitors and makes recommendations on the fiscal condition of municipalities. Staff also audit school districts and boards of cooperative education, with a portion of these costs being funded by the State Education Department.
- The Office of the State Deputy Comptroller for the City of New York assists the New York State Financial Control Board in carrying out and exercising the responsibilities assigned, and powers granted, to the Board by the Financial Emergency Act for the City of New York.
- The State Retirement program administers the State Retirement Systems, consisting of the Employees' Retirement System, the Police and Fire Retirement System, and the Public Employees' Group Life Insurance Plan. Currently, there are about 2,800 participating government employers, 593,200 active and vested members and approximately 289,000 pensioners and their beneficiaries.
- The Investments and Cash Management program, in addition to overseeing the assets of the Retirement Systems, issues general obligation debt, invests short-term moneys for the State and local governments and selects financial institutions to provide banking services to the State.
- Under Chapter 845 of the Laws of 1977, the Comptroller administers the New York State Environmental Protection and Oil Spill Compensation Fund. Costs associated with cleaning up oil spills are paid from the fund upon certification of the Commissioner of Environmental Conservation. Moneys in this fund consist of receipts from a fee levied on each barrel of petroleum shipped into the State.

### ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$151,377,200	\$160,449,500	+\$9,072,300	....
Aid To Localities .....	18,705,000	20,014,000	+1,309,000	....
Capital Projects .....	....	....	....	....
Total .....	<u>\$170,082,200</u>	<u>\$180,463,500</u>	<u>+\$10,381,300</u>	<u>....</u>

# AUDIT AND CONTROL

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administrative and Data Processing Services Program					
General Fund .....	266	286	....	286	+20
Environmental Protection and Spill Compensation					
Special Revenue Funds - Other .....	6	6	....	6	....
Executive Direction					
General Fund .....	36	36	....	36	....
Internal Service Funds .....	15	15	....	15	....
Investments and Cash Management					
General Fund .....	11	11	....	11	....
Pension Trust Funds .....	45	45	....	45	....
Legal Services					
General Fund .....	35	35	....	35	....
Management Audit and State Financial Services					
General Fund .....	523	517	....	517	-6
Municipal Affairs					
General Fund .....	214	212	....	212	-2
Payroll and Revenue Services					
General Fund .....	281	339	....	339	+58
Office of the Special Deputy Comptroller for New York City					
Special Revenue Funds - Other .....	33	33	....	33	....
State Retirement					
Pension Trust Funds .....	636	638	....	638	+2
Subtotal, Direct Funded Programs .....	2,101	2,173	....	2,173	+72
Suballocations:					
General Fund .....	20			20	....
Internal Service Funds .....	16			16	....
Total .....	2,137			2,209	+72

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$92,339,000	\$100,243,400	+\$7,904,400
Special Revenue Funds - Other .....	4,215,000	4,019,800	-195,200
Internal Service Funds .....	2,576,000	2,568,300	-7,700
Fiduciary Funds .....	52,247,200	53,618,000	+1,370,800
Total .....	\$151,377,200	\$160,449,500	+\$9,072,300
Adjustments:			
Transfer(s) From			
Taxation and Finance, Department of			
Internal Service Funds .....	-1,310,000		
Appropriated 1999-00 .....	\$150,067,200		

# AUDIT AND CONTROL

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administrative and Data Processing Services Program			
General Fund .....	\$30,961,700	\$29,607,100	-\$1,354,600
Environmental Protection and Spill Compensation			
Special Revenue Funds - Other .....	603,000	619,100	+16,100
Executive Direction			
General Fund .....	2,778,600	2,899,000	+120,400
Internal Service Funds .....	1,266,000	1,258,300	-7,700
Investments and Cash Management			
General Fund .....	1,141,300	1,204,500	+63,200
Internal Service Funds .....	1,310,000	1,310,000	.....
Fiduciary Funds .....	4,954,200	5,085,500	+131,300
Legal Services			
General Fund .....	2,451,000	2,399,900	-51,100
Management Audit and State Financial Services			
General Fund .....	25,287,300	26,637,600	+1,350,300
Municipal Affairs			
General Fund .....	11,526,500	12,451,000	+924,500
Payroll and Revenue Services			
General Fund .....	18,192,600	25,044,300	+6,851,700
Office of the Special Deputy Comptroller for New York City			
Special Revenue Funds - Other .....	3,612,000	3,400,700	-211,300
State Retirement			
Pension Trust Funds .....	47,293,000	48,532,500	+1,239,500
<b>Total .....</b>	<b>\$151,377,200</b>	<b>\$160,449,500</b>	<b>+\$9,072,300</b>

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administrative and Data Processing						
Services Program .....	\$12,429,800	+\$824,400	\$12,378,000	+\$824,400	\$11,600	.....
Executive Direction .....	2,205,400	+120,400	2,189,100	+120,400	16,300	.....
Investments and Cash Management .....	693,100	+63,200	693,100	+63,200	.....	.....
Legal Services .....	2,382,700	-51,100	2,370,300	-51,100	.....	.....
Management Audit and State Financial						
Services .....	24,656,000	+174,000	24,504,000	+231,700	92,300	+\$27,900
Municipal Affairs .....	11,592,000	+684,500	11,573,000	+684,500	.....	.....
Payroll and Revenue Services .....	12,749,800	+3,252,200	11,823,000	+2,524,500	424,400	+367,100
<b>Total .....</b>	<b>\$66,708,800</b>	<b>+\$5,067,600</b>	<b>\$65,530,500</b>	<b>+\$4,397,600</b>	<b>\$544,600</b>	<b>+\$395,000</b>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administrative and Data Processing		
Services Program .....	\$40,200	.....
Executive Direction .....	.....	.....
Investments and Cash Management .....	.....	.....
Legal Services .....	12,400	.....
Management Audit and State Financial		
Services .....	59,700	-\$85,600
Municipal Affairs .....	19,000	.....
Payroll and Revenue Services .....	502,400	+360,600
<b>Total .....</b>	<b>\$633,700</b>	<b>+\$275,000</b>



**STATE OPERATIONS — GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administrative and Data				
Processing Services Program . . .	\$17,177,300	-\$2,179,000	\$663,100	....
Executive Direction . . . . .	693,600	....	15,600	....
Investments and Cash Management	511,400	....	5,700	....
Legal Services . . . . .	17,200	....	5,000	....
Management Audit and State				
Financial Services . . . . .	1,981,600	+1,176,300	21,000	....
Municipal Affairs . . . . .	859,000	+240,000	33,500	....
Payroll and Revenue Services . . . .	12,294,500	+3,599,500	68,600	+\$50,000
<b>Total . . . . .</b>	<b>\$33,534,600</b>	<b>+\$2,836,800</b>	<b>\$812,500</b>	<b>+\$50,000</b>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administrative and Data				
Processing Services Program . . .	\$20,600	....	\$16,434,900	-\$5,400
Executive Direction . . . . .	21,900	....	599,300	....
Investments and Cash Management	6,400	....	498,500	....
Legal Services . . . . .	2,200	....	5,000	....
Management Audit and State				
Financial Services . . . . .	240,300	....	1,708,550	+1,606,300
Municipal Affairs . . . . .	404,800	....	416,500	+240,000
Payroll and Revenue Services . . . .	89,400	+\$65,000	12,120,750	+9,936,700
<b>Total . . . . .</b>	<b>\$785,600</b>	<b>+\$65,000</b>	<b>\$31,783,500</b>	<b>+\$11,777,600</b>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administrative and Data				
Processing Services Program . . .	\$58,700	....	....	-\$2,173,600
Executive Direction . . . . .	2,800	....	\$54,000	....
Investments and Cash Management	800	....	....	....
Legal Services . . . . .	5,000	....	....	....
Management Audit and State				
Financial Services . . . . .	11,750	....	....	-430,000
Municipal Affairs . . . . .	4,200	....	....	....
Payroll and Revenue Services . . . .	15,750	....	....	-6,452,200
<b>Total . . . . .</b>	<b>\$99,000</b>	<b>....</b>	<b>\$54,000</b>	<b>-\$9,055,800</b>

# AUDIT AND CONTROL

## STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Environmental Protection and Spill Compensation .....	\$619,100	+\$16,100	\$303,900	+\$9,900
Executive Direction .....	1,258,300	-7,700	889,100	-11,900
Investments and Cash Management Office of the Special Deputy Comptroller for New York City .	6,395,500	+131,300	2,858,100	+31,300
State Retirement .....	3,400,700	-211,300	2,411,700	-178,300
	48,532,500	+1,239,500	25,081,600	+1,183,500
<b>Total .....</b>	<b>\$60,206,100</b>	<b>+\$1,167,900</b>	<b>\$31,544,400</b>	<b>+\$1,034,500</b>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Environmental Protection and Spill Compensation .....	\$315,200	+\$6,200	....	....
Executive Direction .....	369,200	+4,200	....	....
Investments and Cash Management Office of the Special Deputy Comptroller for New York City .	3,537,400	+100,000	....	....
State Retirement .....	989,000	-33,000	....	....
	23,450,900	+1,483,500	....	-\$1,427,500
<b>Total .....</b>	<b>\$28,661,700</b>	<b>+\$1,560,900</b>	<b>....</b>	<b>-\$1,427,500</b>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$18,705,000	\$20,014,000	+\$1,309,000
<b>Total .....</b>	<b>\$18,705,000</b>	<b>\$20,014,000</b>	<b>+\$1,309,000</b>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Management Audit and State Financial Services General Fund .....	\$18,705,000	\$20,014,000	+\$1,309,000
<b>Total .....</b>	<b>\$18,705,000</b>	<b>\$20,014,000</b>	<b>+\$1,309,000</b>

# ***BANKING DEPARTMENT***

## ***MISSION***

The Banking Department is charged with protecting the public interest and promoting a desirable business climate by regulating and supervising approximately 4,000 State-chartered banking institutions with total assets of approximately \$1.8 trillion. In carrying out this responsibility, the Department approves acquisitions, branch expansions, mergers and other forms of consolidation. It also levies fines, orders cessation of unsound financial practices and replaces management as needed. In addition, the Department is authorized to take possession of failing institutions and to operate or liquidate them for the benefit of depositors and creditors. In exercising this oversight function, the Banking Department maintains the highest standards to assure the continued safety and soundness of, and the full public confidence in, the institutions supervised. By dealing decisively with institutions that are not in compliance with banking laws and regulations, or cases of malfeasance, the Department promotes a desirable business climate for all financial institutions operating in New York State.

## ***ORGANIZATION AND STAFFING***

The Superintendent of Banks, appointed by the Governor, directs the Department. The Superintendent is also the Chair of the 17-member Banking Board, a quasi-legislative body which regulates the conduct of banking in the State. Members of the Board are appointed by the Governor with the consent of the Senate. They receive travel reimbursement but are not otherwise compensated. The Department maintains offices in Albany, New York City, Syracuse, London, and Tokyo. The Banking Department will have a workforce of 619 positions for 2000-01.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

The Banking Department is entirely supported by fees charged to regulated financial institutions and organizations. The Budget recommends \$62.4 million for the Department.

The Budget continues the Holocaust Claims Processing Office established in 1997 to assist Holocaust survivors and their heirs in their search for assets that have been withheld by banks and insurance companies.

## ***PROGRAM HIGHLIGHTS***

To ensure the safety and soundness of banking institutions, the Department monitors these institutions to identify problems and works with management to promptly solve them. This responsibility is carried out through annual on-site examinations of most State-chartered institutions, the regular review of institutional financial reports and periodic site visits.

To ensure that State-chartered banking institutions are complying with State laws and regulations and that no individuals are unfairly denied credit, Department employees conduct consumer compliance examinations and resolve consumer complaints. Other staff monitor whether institutions are helping to meet the credit needs of, and providing banking services to, local communities as required by the State Community Reinvestment Act.

Additionally, the Department's fair but firm approach to criminal banking activities protects the public and provides support to institutions that do abide by applicable laws and regulations. Lastly, the Department continues to review its internal programs, policies, and procedures in order to eliminate inefficiencies, respond to the changing environment for financial services and promote a strong and healthy financial services industry.

# BANKING

## ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$61,845,900	\$62,408,500	+\$562,600	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
<b>Total .....</b>	<b>\$61,845,900</b>	<b>\$62,408,500</b>	<b>+\$562,600</b>	<b>....</b>

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
Special Revenue Funds - Other .....	64	64	....	64	....
Analysis and Compliance					
Special Revenue Funds - Other .....	38	38	....	38	....
Regulation					
Special Revenue Funds - Other .....	533	512	....	512	-21
Subtotal, Direct Funded Programs .....	635	614	....	614	-21
Suballocations:					
Special Revenue Funds - Other .....	5			5	....
<b>Total .....</b>	<b>640</b>			<b>619</b>	<b>-21</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
Special Revenue Funds - Other .....	\$59,145,900	\$59,708,500	+\$562,600
Fiduciary Funds .....	2,700,000	2,700,000	....
<b>Total .....</b>	<b>\$61,845,900</b>	<b>\$62,408,500</b>	<b>+\$562,600</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
Special Revenue Funds - Other .....	\$5,116,400	\$5,288,200	+\$171,800
Fiduciary Funds .....	2,700,000	2,700,000	....
Analysis and Compliance			
Special Revenue Funds - Other .....	2,875,000	3,051,900	+176,900
Regulation			
Special Revenue Funds - Other .....	51,154,500	51,368,400	+213,900
<b>Total .....</b>	<b>\$61,845,900</b>	<b>\$62,408,500</b>	<b>+\$562,600</b>

**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	Total		Personal Service	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration .....	\$7,988,200	+\$171,800	\$3,548,800	+\$115,900
Analysis and Compliance .....	3,051,900	+176,900	2,128,700	+121,500
Regulation .....	51,368,400	+213,900	31,773,900	-21,800
Total .....	<u>\$62,408,500</u>	<u>+\$562,600</u>	<u>\$37,451,400</u>	<u>+\$215,600</u>

<u>Program</u>	Nonpersonal Service		Maintenance Undistributed	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration .....	\$4,439,400	+\$55,900	....	....
Analysis and Compliance .....	923,200	+55,400	....	....
Regulation .....	18,616,100	+235,700	\$978,400	....
Total .....	<u>\$23,978,700</u>	<u>+\$347,000</u>	<u>\$978,400</u>	<u>....</u>



# DIVISION OF THE BUDGET

## MISSION

The Governor is responsible under the State Constitution for the preparation and execution of the State's expenditure and revenue plans. The Division of the Budget prepares a proposed budget under the Governor's direction and executes the budget as adopted by the Legislature. The Division also serves as the Governor's primary advisor on such fiscal matters as local government and public authority finances. In carrying out these responsibilities, the Budget Division assisted the Governor in eliminating a 1995-96 deficit of \$5 billion, implementing historic tax reductions and ending each year since 1994-95 with budget surpluses.

## ORGANIZATION AND STAFFING

Headed by the Director of the Budget, the Division is located in Albany. The Division will have a workforce of 360 positions for 2000-2001.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The recommended General Fund appropriation for 2000-2001 of \$30.4 million will fund the Budget Division's basic operations, including completing the transition to a LAN-based budget system.

## PROGRAM HIGHLIGHTS

The Division's activities include:

- Establishing budget policy and agency direction;
- Providing fiscal policy advice in revenue and expenditure forecasting, budget process management and intergovernmental relations; and
- Coordinating the development and execution of State agency programs and budgets.

### ALL FUNDS APPROPRIATIONS

<u>Category</u>	<u>Available 1999-00</u>	<u>Appropriations Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations Recommended 2000-01</u>
State Operations .....	\$37,322,900	\$40,035,000	+\$2,712,100	.....
Aid To Localities .....	.....	.....	.....	.....
Capital Projects .....	.....	.....	.....	.....
Total .....	<u>\$37,322,900</u>	<u>\$40,035,000</u>	<u>+\$2,712,100</u>	<u>.....</u>

# BUDGET

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Budget Division					
General Fund	350	350	....	350	....
Special Revenue Funds - Other	10	....	10	10	....
<b>Total</b>	<b>360</b>	<b>350</b>	<b>10</b>	<b>360</b>	<b>....</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$29,672,900	\$30,385,000	+\$712,100
Special Revenue Funds - Other	6,200,000	8,200,000	+2,000,000
Internal Service Funds	1,300,000	1,300,000	....
Fiduciary Funds	150,000	150,000	....
<b>Total</b>	<b>\$37,322,900</b>	<b>\$40,035,000</b>	<b>+\$2,712,100</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Budget Division			
General Fund	\$29,631,900	\$30,385,000	+\$753,100
Special Revenue Funds - Other	6,200,000	8,200,000	+2,000,000
Internal Service Funds	1,300,000	1,300,000	....
Fiduciary Funds	150,000	150,000	....
Legislative Initiatives			
General Fund	41,000	....	-41,000
<b>Total</b>	<b>\$37,322,900</b>	<b>\$40,035,000</b>	<b>+\$2,712,100</b>

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Budget Division	\$20,171,800	+\$885,200	\$19,821,200	+\$869,800	\$183,200	+\$8,100
Legislative Initiatives	....	....	....	....	....	....
<b>Total</b>	<b>\$20,171,800</b>	<b>+\$885,200</b>	<b>\$19,821,200</b>	<b>+\$869,800</b>	<b>\$183,200</b>	<b>+\$8,100</b>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Budget Division	\$167,400	+\$7,300
Legislative Initiatives	....	....
<b>Total</b>	<b>\$167,400</b>	<b>+\$7,300</b>



**STATE OPERATIONS — GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division .....	\$10,213,200	-\$132,100	\$148,000	+\$6,500
Legislative Initiatives .....	.....	-41,000	.....	.....
<b>Total .....</b>	<b>\$10,213,200</b>	<b>-\$173,100</b>	<b>\$148,000</b>	<b>+\$6,500</b>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division .....	\$194,000	+\$8,500	\$2,725,700	+\$118,900
Legislative Initiatives .....	.....	.....	.....	.....
<b>Total .....</b>	<b>\$194,000</b>	<b>+\$8,500</b>	<b>\$2,725,700</b>	<b>+\$118,900</b>

<u>Program</u>	<u>Equipment</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division .....	\$24,200	+\$1,000	\$7,121,300	-\$267,000
Legislative Initiatives .....	.....	.....	.....	-41,000
<b>Total .....</b>	<b>\$24,200</b>	<b>+\$1,000</b>	<b>\$7,121,300</b>	<b>-\$308,000</b>

**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Budget Division .....	\$9,650,000	+\$2,000,000	\$9,650,000	+\$2,000,000
<b>Total .....</b>	<b>\$9,650,000</b>	<b>+\$2,000,000</b>	<b>\$9,650,000</b>	<b>+\$2,000,000</b>

***BUDGET***

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# **DEPARTMENT OF CIVIL SERVICE**

## **MISSION**

In accordance with the Civil Service Law, the Department of Civil Service is charged with providing human resource management services to State and local governments.

## **ORGANIZATION AND STAFFING**

Under the direction of a Commissioner nominated by the Governor, the Department is based in Albany. The Civil Service Commission, consisting of the Commissioner, who serves as its President, and two Commissioners appointed by the Governor, is an appellate body responsible for reviewing the Department's classification and staffing decisions. The Department will have a workforce of 657 positions for 2000-01.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The Department is funded in part with tax dollars from the General Fund that support 42 percent of the agency's operations. The remaining 58 percent of its operations are funded with payments from other agencies and governmental entities, most of which are made by employers participating in the New York State Health Insurance Program who pay premiums to offset the Department's cost of administering the program. Similarly, the Department is reimbursed for testing and other services provided to such State agencies as the Banking Department, whose operations are funded by special industry assessments.

The Executive Budget recommends funding of \$68.9 million for the Department, which includes \$28.6 million in General Fund support and \$40.3 million in payments from other State agencies and public entities. This recommendation provides additional funding to refine the New York State Electronic Personnel System (NYSTEP) in 2000-01. The Executive Budget also includes funding for the NEXTSTEP project, which will automate several routine personnel management functions for those agencies that elect to participate in the project.

## **PROGRAM HIGHLIGHTS**

The Department of Civil Service has expanded its use of technology to provide improved services to State and local agencies. The Department's NYSTEP system automates the payroll certification process and other selected personnel management functions. During 2000-01, the system will continue to be refined to improve the usefulness of NYSTEP to State agencies. Other technological improvements include enhancing the Accident Reporting System by creating a case tracking and reporting capability of on-the-job injuries and illnesses. Full implementation of the ONECARD Rx Program, which automates the filling of drug prescriptions, will also occur in 2000-01.

The Department continues to make substantial progress in meeting many of the Governor's Civil Service Reform agenda's objectives, including a nearly 75 percent reduction in the number of long-term provisional employees from 3,501 to 967, the administration of statewide "battery" promotional examinations that were taken by more than 12,000 State employees in 1998 and a reduction in the number of position titles from 5,900 to approximately 4,500. The Department has also maintained the goal of reporting the results of certain written examinations within an average of 60 days.

## **CIVIL SERVICE**

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- The responsibilities of the Department are carried out through six divisions:
- The Division of Information Resource Management provides the Department's basic data, information and systems. During 2000-01, the Division will continue to refine several automation projects, including the NYSTEP and ARS systems.
  - The Staffing Services Division provides State agencies with personnel selection and placement services. The Division coordinates the Department's response to agency personnel operations and develops and administers a variety of tests for State positions, including oral, performance, training and experience and performance assessment tests.
  - The Testing Services Division develops, administers and validates all State and local written tests.
  - The Division of Classification and Compensation determines appropriate job titles for agency functions and salary levels for existing and new positions.
  - The Division of Personnel Services encompasses the Employee Benefits Division and the Employee Health Service program. The Employee Benefits Division administers health, dental, life, vision, disability and accident insurance programs for State employees and participating local governments. Responsibilities include contracting with insurance companies and other vendors to deliver services, financial management of these programs, communicating plan provisions to enrollees, assisting enrollees to resolve disputed claims and maintaining enrollment information and accounting for nearly \$2.3 billion in annual premiums.
  - The Municipal Service Division assists 105 local civil service agencies in classifying positions, interpreting laws and rules and, together with the Testing Division, providing selection devices and examinations.

### **ALL FUNDS APPROPRIATIONS**

<u>Category</u>	<u>Available 1999-00</u>	<u>Appropriations Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations Recommended 2000-01</u>
State Operations .....	\$54,032,200	\$68,899,500	+\$14,867,300	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
Total .....	<u>\$54,032,200</u>	<u>\$68,899,500</u>	<u>+\$14,867,300</u>	<u>....</u>

**ALL FUND TYPES  
LEVELS OF EMPLOYMENT BY PROGRAM  
ANNUAL SALARIED POSITIONS**

2000-01 Recommended Average Fill Level

<u>Program</u>	<u>Available 1999-00</u>	<u>Personal Service (Regular)</u>	<u>Maintenance Undistributed</u>	<u>Total Recommended 2000-01</u>	<u>Change</u>
Administration and Information Management					
General Fund .....	109	94	15	109	....
Internal Service Funds .....	23	23	....	23	....
Local Civil Service					
General Fund .....	17	17	....	17	....
Personnel Benefit Services					
General Fund .....	39	39	....	39	....
Internal Service Funds .....	183	177	6	183	....
Personnel Management Services					
General Fund .....	252	228	....	228	-24
Internal Service Funds .....	21	....	37	37	+16
Subtotal, Direct Funded Programs .....	644	578	58	636	-8
Suballocations:					
General Fund .....	21			21	....
Total .....	665			657	-8

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund .....	\$28,404,200	\$28,622,100	+\$217,900
Special Revenue Funds - Other .....	1,000,000	1,500,000	+500,000
Internal Service Funds .....	24,193,000	38,477,400	+14,284,400
Fiduciary Funds .....	435,000	300,000	-135,000
Total .....	\$54,032,200	\$68,899,500	+\$14,867,300

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Administration and Information Management			
General Fund .....	\$10,648,900	\$12,074,000	+\$1,425,100
Internal Service Funds .....	2,145,000	11,381,400	+9,236,400
Local Civil Service			
General Fund .....	917,100	926,500	+9,400
Personnel Benefit Services			
General Fund .....	1,971,400	1,972,700	+1,300
Special Revenue Funds - Other .....	500,000	500,000	....
Internal Service Funds .....	19,563,000	20,496,000	+933,000
Fiduciary Funds .....	435,000	300,000	-135,000
Personnel Management Services			
General Fund .....	14,866,800	13,648,900	-1,217,900
Special Revenue Funds - Other .....	500,000	1,000,000	+500,000
Internal Service Funds .....	2,485,000	6,600,000	+4,115,000
Total .....	\$54,032,200	\$68,899,500	+\$14,867,300

# CIVIL SERVICE

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration and Information						
Management	\$4,940,200	+\$280,900	\$4,937,400	+\$280,900	.....	.....
Local Civil Service	890,400	+9,400	889,700	+9,400	.....	.....
Personnel Benefit Services	1,882,500	+1,300	1,852,700	+1,300	\$28,300	.....
Personnel Management Services	11,689,600	-1,217,900	10,854,400	-1,217,900	819,400	.....
Total	\$19,402,700	-\$926,300	\$18,534,200	-\$926,300	\$847,700	.....

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration and Information		
Management	\$2,800	.....
Local Civil Service	700	.....
Personnel Benefit Services	1,500	.....
Personnel Management Services	15,800	.....
Total	\$20,800	.....

## STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration and Information				
Management	\$7,133,800	+\$1,144,200	\$144,500	+\$100,000
Local Civil Service	36,100	.....	6,400	.....
Personnel Benefit Services	90,200	.....	38,300	.....
Personnel Management Services	1,959,300	.....	108,200	.....
Total	\$9,219,400	+\$1,144,200	\$297,400	+\$100,000

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration and Information				
Management	\$32,100	.....	\$5,404,900	+\$1,044,200
Local Civil Service	11,000	.....	18,700	.....
Personnel Benefit Services	9,600	.....	27,500	.....
Personnel Management Services	116,100	.....	1,719,000	.....
Total	\$168,800	.....	\$7,170,100	+\$1,044,200

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration and Information				
Management	.....	.....	\$1,552,300	.....
Personnel Benefit Services	\$14,800	.....	.....	.....
Personnel Management Services	16,000	.....	.....	.....
Total	\$30,800	.....	\$1,552,300	.....

**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration and Information				
Management . . . . .	\$11,381,400	+\$9,236,400	\$1,129,700	-\$48,300
Personnel Benefit Services . . . . .	21,296,000	+798,000	7,512,000	+312,000
Personnel Management Services . . . . .	7,600,000	+4,615,000	.....	.....
Total . . . . .	<u>\$40,277,400</u>	<u>+\$14,649,400</u>	<u>\$8,641,700</u>	<u>+\$263,700</u>

<u>Program</u>	<u>Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration and Information				
Management . . . . .	\$951,700	-\$15,300	\$9,300,000	+\$9,300,000
Personnel Benefit Services . . . . .	5,063,000	+621,000	8,721,000	-135,000
Personnel Management Services . . . . .	.....	.....	7,600,000	+4,615,000
Total . . . . .	<u>\$6,014,700</u>	<u>+\$605,700</u>	<u>\$25,621,000</u>	<u>+\$13,780,000</u>





# CONSUMER PROTECTION BOARD

## MISSION

The Consumer Protection Board (CPB) was created to protect the rights of the State's consumers. The CPB represents consumers in utility rate cases and related proceedings; advises the Governor on consumer issues; helps draft legislation that protects consumers; and handles consumer complaints and promotes consumer education.

## ORGANIZATION AND STAFFING

The Consumer Protection Board consists of two units: Utility Intervention and Consumer Advocacy. The Utility Intervention Unit is staffed by attorneys and experts in the field of economics, engineering, finance, and accounting. The Advocacy Unit employs specialists in the field of consumer law, complaint handling, consumer education, and research. The CPB is located in Albany, with satellite offices in Rochester and New York City. For 2000-01 the Consumer Protection Board will have a workforce of 33.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Consumer Protection Board is financed primarily from an assessment on utility companies operating within the State. The Executive Budget recommends approximately \$2.8 million for the Consumer Protection Board for 2000-01 including \$400,000 in General Fund support and nearly \$2.4 million in funding provided from utilities.

## PROGRAM HIGHLIGHTS

The Consumer Advocacy Unit mediates disputes between consumers and businesses, analyzes legislation affecting consumers, investigates consumer-related issues, and conducts education programs.

The Utility Intervention Unit is responsible for representing residential and commercial customers in rate proceedings before the Public Service Commission (PSC). The Board participates in proceedings involving telephone, electric, gas and water utilities as well as generic proceedings to formulate consumer protections as the various industries work toward deregulation. The Board's participation in these proceedings offers the consumers' perspective and assists regulators in developing policies that balance the interests of all parties. Ongoing proceedings include opening local telephone service to competition, metering and other issues related to gas and electric utilities that are no longer sole service providers.

### ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$2,791,400	\$2,769,300	-\$22,100	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
Total .....	\$2,791,400	\$2,769,300	-\$22,100	....

# CONSUMER PROTECTION

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Consumer Protection					
General Fund	6	6	....	6	....
Utility Intervention					
Special Revenue Funds - Other	27	27	....	27	....
Total	33	33	....	33	....

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$412,300	\$411,300	-\$1,000
Special Revenue Funds - Other	2,379,100	2,358,000	-21,100
Total	\$2,791,400	\$2,769,300	-\$22,100
Adjustments:			
Transfer(s) From			
Consumer Protection Board, State			
General Fund	-30,200		
Transfer(s) To			
Consumer Protection Board, State			
Special Revenue Funds - Other	+30,200		
Appropriated 1999-00	\$2,791,400		

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Consumer Protection			
General Fund	\$412,300	\$411,300	-\$1,000
Utility Intervention			
Special Revenue Funds - Other	2,379,100	2,358,000	-21,100
Total	\$2,791,400	\$2,769,300	-\$22,100

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Consumer Protection	\$268,400	-\$1,000	\$268,400	-\$1,000
Total	\$268,400	-\$1,000	\$268,400	-\$1,000

# CONSUMER PROTECTION

**STATE OPERATIONS — GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Consumer Protection .....	\$142,900	.....	\$13,000	.....
Total .....	\$142,900	.....	\$13,000	.....

<u>Program</u>	Travel		Contractual Services	
	Amount	Change	Amount	Change
Consumer Protection .....	\$24,500	.....	\$97,400	.....
Total .....	\$24,500	.....	\$97,400	.....

<u>Program</u>	Equipment	
	Amount	Change
Consumer Protection .....	\$8,000	.....
Total .....	\$8,000	.....

**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	Total		Personal Service	
	Amount	Change	Amount	Change
Utility Intervention .....	\$2,358,000	-\$21,100	\$1,552,000	-\$25,600
Total .....	\$2,358,000	-\$21,100	\$1,552,000	-\$25,600

<u>Program</u>	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Utility Intervention .....	\$796,000	+\$4,500	\$10,000	.....
Total .....	\$796,000	+\$4,500	\$10,000	.....



# STATE BOARD OF ELECTIONS

## MISSION

The New York State Board of Elections executes and enforces all laws relating to the elective franchise and oversees the disclosure of campaign financing and practices.

## ORGANIZATION AND STAFFING

The State Board of Elections is comprised of four commissioners, two chosen by each major political party, and is supported by a workforce of 42. The Board administers provisions of the Election Law regarding campaign financial disclosure, including civil judgments levied for failure to file disclosure documents; oversees the petitioning process and certification of ballots; investigates allegations of criminal violations of the Election Law and recommends prosecution where warranted; and certifies electronic voting machines purchased by local Boards of Elections and tests each machine upon delivery. The Board also assists County Boards of Elections by completing administrative reviews, assisting in resolving complaints, and producing reports and recommendations.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Board receives more than 99 percent of its funding from the General Fund and less than 1 percent from a fee imposed upon prospective vendors of electronic voting machines and ballot-counting devices.

The Executive Budget recommends \$3.1 million in General Fund support for the Board of Elections. This funding will permit the Board to continue to carry out its various responsibilities related to the electoral process, including the Board's voter outreach activities and its interaction with local Boards of Elections.

## PROGRAM HIGHLIGHTS

Over the past 25 years, the scope of the Board's services has grown to include providing legal counsel to 62 County Boards of Election, administering registration efforts, providing technical assistance to administrators of elections, and investigating violations of the Election Law.

A priority for the Board is expanding the use of modern technology in both its own operations and those of local Boards. In 1997, the agency began to develop an automated system for the electronic filing of campaign financial disclosure statements, which became operational in July 1999. Campaign financial statements are now readily accessible at the State Board's Internet web site, which has received more than 40,000 inquiries since July.

### ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$3,107,000	\$3,132,100	+\$25,100	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
Total .....	<u>\$3,107,000</u>	<u>\$3,132,100</u>	<u>+\$25,100</u>	<u>....</u>

# ELECTIONS

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Regulation of Elections General Fund .....	46	46	....	46	....
Total .....	46	46	....	46	....

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$3,087,000	\$3,112,100	+\$25,100
Special Revenue Funds - Other .....	20,000	20,000	....
Total .....	\$3,107,000	\$3,132,100	+\$25,100

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Regulation of Elections General Fund .....	\$3,087,000	\$3,112,100	+\$25,100
Special Revenue Funds - Other .....	20,000	20,000	....
Total .....	\$3,107,000	\$3,132,100	+\$25,100

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Regulation of Elections .....	\$2,297,100	+\$210,100	\$2,297,100	+\$210,100
Total .....	\$2,297,100	+\$210,100	\$2,297,100	+\$210,100

## STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Contractual Services	
	Amount	Change	Amount	Change
Regulation of Elections .....	\$815,000	-\$185,000	\$815,000	+\$82,000
Total .....	\$815,000	-\$185,000	\$815,000	+\$82,000

**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total Amount</u>	<u>Change</u>	<u>Maintenance Undistributed Amount</u>	<u>Change</u>
Regulation of Elections .....	\$20,000	....	\$20,000	....
Total .....	\$20,000	....	\$20,000	....





# **OFFICE OF EMPLOYEE RELATIONS**

## **MISSION**

The Office of Employee Relations (OER) serves as the Governor's agent in carrying out the State's labor relations responsibilities as an employer in accordance with the Public Employees' Fair Employment Act (the Taylor Law).

## **ORGANIZATION AND STAFFING**

Under the administration of a Director appointed by the Governor, the agency is located in Albany. OER will have a workforce of 95 positions for 2000-01, 46 of which are funded by State tax dollars from the General Fund and are involved in negotiating the collective bargaining agreements. State tax dollars also support another 49 positions involved in implementing collectively bargained programs.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The Executive Budget recommends about \$6.4 million for the Office of Employee Relations, which includes \$3.8 million in General Fund moneys. This funding will provide continued support for the Office's primary mission of negotiating and administering collective bargaining agreements.

Funding from the Office's other sources include:

- \$2,171,400 in charges to the Collective Bargaining Agreements to support statewide employee training and other developmental programs and the cost of administering these agreements; and
- Revenues of \$450,000 received from non-General Fund agencies to reimburse the Office for providing training programs and collective bargaining services provided by the Office. These revenues also include payments from the National Association of State Directors of Employee Relations to support the operations of that organization.

## **PROGRAM HIGHLIGHTS**

The Office of Employee Relations represents the Governor in Executive Branch collective bargaining negotiations with nine public employee unions, assists State agencies to interpret and administer the negotiated agreements, and represents the State in hearings and arbitrations before the Public Employment Relations Board.

The Office of Employee Relations also is the Executive Branch's in-house consulting agency for advancing sound management practices and improving productivity and innovation in State government. Currently, the agency is working with more than 25 agencies on various organizational development and improvement initiatives. The Office is further charged with designing and administering statewide training programs, developing policy and providing oversight for several employee benefit programs.

In its capacity as the Governor's labor relations agent, the Office continues to be instrumental in providing direction for workforce management and, through labor management partnerships, working with the unions to mitigate the impact of structural changes aimed at improving the efficiency of State government. The Office is also making a concerted effort to provide and enhance a consistent labor relations philosophy in all departments and agencies. This is being accomplished by promoting labor-management cooperation by offering a comprehensive training program through the Employee Relations

## EMPLOYEE RELATIONS

Institute and by increasing the use of technology for better communication of common issues and concerns. A major focus during 2000-01 will be to expand the use of technology in making training programs available to the State's workforce.

### ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$6,281,800	\$6,427,900	+\$146,100	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
Total .....	\$6,281,800	\$6,427,900	+\$146,100	....

### ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Contract Negotiation and Administration					
General Fund .....	40	40	....	40	....
Internal Service Funds .....	49	49	....	49	....
Management Confidential Affairs					
General Fund .....	6	6	....	6	....
Total .....	95	95	....	95	....

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$3,784,400	\$3,806,500	+\$22,100
Special Revenue Funds - Other .....	450,000	450,000	....
Internal Service Funds .....	2,047,400	2,171,400	+124,000
Total .....	\$6,281,800	\$6,427,900	+\$146,100

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Contract Negotiation and Administration			
General Fund .....	\$2,855,400	\$2,902,600	+\$47,200
Special Revenue Funds - Other .....	450,000	450,000	....
Internal Service Funds .....	2,047,400	2,171,400	+124,000
Management Confidential Affairs			
General Fund .....	929,000	903,900	-25,100
Total .....	\$6,281,800	\$6,427,900	+\$146,100

## EMPLOYEE RELATIONS

### STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Contract Negotiation and Administration .....	\$2,650,700	+\$47,200	\$2,636,400	+\$47,200	\$11,700	....
Management Confidential Affairs .....	298,200	-25,100	297,700	-25,100	....	....
Total .....	<u>\$2,948,900</u>	<u>+\$22,100</u>	<u>\$2,934,100</u>	<u>+\$22,100</u>	<u>\$11,700</u>	<u>....</u>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Contract Negotiation and Administration .....	\$2,600	....
Management Confidential Affairs .....	500	....
Total .....	<u>\$3,100</u>	<u>....</u>

### STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Contract Negotiation and Administration .....	\$251,900	....	\$33,900	....
Management Confidential Affairs ..	605,700	....	18,300	....
Total .....	<u>\$857,600</u>	<u>....</u>	<u>\$52,200</u>	<u>....</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Contract Negotiation and Administration .....	\$30,400	....	\$187,600	....
Management Confidential Affairs ..	13,500	....	27,900	....
Total .....	<u>\$43,900</u>	<u>....</u>	<u>\$215,500</u>	<u>....</u>

Program	Maintenance Undistributed	
	Amount	Change
Management Confidential Affairs ..	\$546,000	....
Total .....	<u>\$546,000</u>	<u>....</u>

# **EMPLOYEE RELATIONS**

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**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total Amount</u>	<u>Change</u>	<u>Personal Service Amount</u>	<u>Change</u>
Contract Negotiation and Administration .....	\$2,621,400	+\$124,000	\$1,852,700	+\$124,000
Total .....	<u>\$2,621,400</u>	<u>+\$124,000</u>	<u>\$1,852,700</u>	<u>+\$124,000</u>

  

<u>Program</u>	<u>Nonpersonal Service Amount</u>	<u>Change</u>	<u>Maintenance Undistributed Amount</u>	<u>Change</u>
Contract Negotiation and Administration .....	\$318,700	....	\$450,000	....
Total .....	<u>\$318,700</u>	<u>....</u>	<u>\$450,000</u>	<u>....</u>

# EXECUTIVE CHAMBER

## MISSION

The Executive Chamber is the Office of the Governor and includes the immediate staff that assists in managing State government.

## ORGANIZATION AND STAFFING

The Office of the Governor is located in the State Capitol in Albany. This budget represents programs directly related to the Governor's Office and is supported by General Fund revenues.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The 2000-2001 Executive Budget recommends a General Fund appropriation of \$15.5 million, an increase of \$500,000 from 1999-2000. This recommendation includes funding for the ongoing costs of the Moreland Act Commission. A fiduciary fund appropriation of \$100,000 is also requested to permit the use of private grants within the Office of Community Affairs.

### ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$15,089,400	\$15,614,400	+\$525,000	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
<b>Total .....</b>	<b>\$15,089,400</b>	<b>\$15,614,400</b>	<b>+\$525,000</b>	<b>....</b>

### ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Executive Chamber General Fund .....	177	177	....	177	....
<b>Total .....</b>	<b>177</b>	<b>177</b>	<b>....</b>	<b>177</b>	<b>....</b>

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$14,989,400	\$15,514,400	+\$525,000
Fiduciary Funds .....	100,000	100,000	....
<b>Total .....</b>	<b>\$15,089,400</b>	<b>\$15,614,400</b>	<b>+\$525,000</b>

# EXECUTIVE CHAMBER

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Executive Chamber			
General Fund .....	\$14,989,400	\$15,514,400	+\$525,000
Expendable Trust Funds .....	100,000	100,000	.....
<u>Total .....</u>	<u>\$15,089,400</u>	<u>\$15,614,400</u>	<u>+\$525,000</u>

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>		<u>Temporary Service (Nonannual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Executive Chamber .....	\$9,620,400	+\$403,000	\$9,426,400	+\$394,500	\$78,000	+\$4,000
<u>Total .....</u>	<u>\$9,620,400</u>	<u>+\$403,000</u>	<u>\$9,426,400</u>	<u>+\$394,500</u>	<u>\$78,000</u>	<u>+\$4,000</u>

<u>Program</u>	<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>
Executive Chamber .....	\$116,000	+\$4,500
<u>Total .....</u>	<u>\$116,000</u>	<u>+\$4,500</u>

## STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

<u>Program</u>	<u>Total</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Executive Chamber .....	\$5,894,000	+\$122,000	\$180,000	+\$7,300
<u>Total .....</u>	<u>\$5,894,000</u>	<u>+\$122,000</u>	<u>\$180,000</u>	<u>+\$7,300</u>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Executive Chamber .....	\$225,600	+\$8,500	\$2,268,800	+\$90,300
<u>Total .....</u>	<u>\$225,600</u>	<u>+\$8,500</u>	<u>\$2,268,800</u>	<u>+\$90,300</u>

<u>Program</u>	<u>Equipment</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Executive Chamber .....	\$397,100	+\$15,900	\$2,822,500	.....
<u>Total .....</u>	<u>\$397,100</u>	<u>+\$15,900</u>	<u>\$2,822,500</u>	<u>.....</u>

**EXECUTIVE CHAMBER**

**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total Amount</u>	<u>Change</u>	<u>Maintenance Undistributed Amount</u>	<u>Change</u>
Executive Chamber .....	\$100,000	....	\$100,000	....
Total .....	\$100,000	....	\$100,000	....

**EXECUTIVE CHAMBER**

**LIEUTENANT GOVERNOR, OFFICE OF**

**ALL FUNDS  
APPROPRIATIONS**

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$457,200	\$473,200	+\$16,000	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
Total .....	<u>\$457,200</u>	<u>\$473,200</u>	<u>+\$16,000</u>	<u>....</u>

**ALL FUND TYPES  
LEVELS OF EMPLOYMENT BY PROGRAM  
ANNUAL SALARIED POSITIONS**

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Office of the Lieutenant Governor General Fund .....	8	8	....	8	....
Total .....	<u>8</u>	<u>8</u>	<u>....</u>	<u>8</u>	<u>....</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS**

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$457,200	\$473,200	+\$16,000
Total .....	<u>\$457,200</u>	<u>\$473,200</u>	<u>+\$16,000</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

Program	Available 1999-00	Recommended 2000-01	Change
Office of the Lieutenant Governor General Fund .....	\$457,200	\$473,200	+\$16,000
Total .....	<u>\$457,200</u>	<u>\$473,200</u>	<u>+\$16,000</u>

**STATE OPERATIONS — GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

Program	Total Amount	Change	Maintenance Undistributed Amount	Change
Office of the Lieutenant Governor .....	\$473,200	+\$16,000	\$473,200	+\$16,000
Total .....	<u>\$473,200</u>	<u>+\$16,000</u>	<u>\$473,200</u>	<u>+\$16,000</u>



# **OFFICE OF GENERAL SERVICES**

## **MISSION**

The Office of General Services (OGS) was established to consolidate into a single agency state-of-the-art, cost efficient support services required to operate a changing and increasingly complex State government. These included telecommunications and computer systems, building design and construction, leasing, facility maintenance, purchasing of goods and services, and other basic support services, including mail, parking, printing and surplus property.

In recent years, these activities have continued and evolved to meet State government's changing needs. Many additional responsibilities have been added to make the Office the central "housekeeping" agency for the State. Among these more recent responsibilities are the purchasing of new technologies, participating in the statewide financing of various types of equipment, and overseeing the distribution of surplus Federal food to public and private organizations.

The Office supports the operations of State agencies by providing voice and data communications; centralized information processing; space planning and leasing; real property management and maintenance; centralized contracting for commodities, services and printing; employee and visitor parking management; and interagency mail and courier assistance. The Office also helps local governments reduce their operating costs by distributing federally donated foods and surplus equipment to them, and by offering them the use of its centralized commodity and service contracts.

## **ORGANIZATION AND STAFFING**

The Office is headed by a Commissioner, who is appointed by the Governor, with a central, executive staff and is structured around customer-focused, business enterprises: Real Property Management and Development; Information Technology and Procurement Services; Design and Construction; and Support Services. The Office will have a workforce of 2,130 positions for 2000-01.

In the Spring of 1999, the Office released its first comprehensive Strategic Plan. The Plan establishes three major goals, 10 strategic objectives and 26 broad performance measures, and sets forth specific strategies for improving performance. Every major business unit is now developing key performance measures that will be based on reliable data. The measures will assist the Office in remaining focused on the work that matters most to its customers and stakeholders and in identifying needed improvements and changes. The Office's performance measures will be in place during 2000-01, and OGS will work with the Division of the Budget to determine how to link information about performance to the budgeting process.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The Executive Budget recommends \$430.1 million for the Office, which includes support of \$190.3 million in State tax dollars from the General Fund. This recommendation also includes \$233.8 million in user fees charged to State agencies and other governmental entities that utilize OGS central and other support services, and \$6 million in Federal funding. Most of this funding supports the operation of State office buildings, rehabilitation and other projects aimed at preserving or extending the useful life of office buildings, and the provision of centralized services to State agencies.

Recommended funding for the Capital Projects Budget includes support for critical projects at the State Capitol and for the modernization of State office buildings in the Capital District. The recommended decrease in year-to-year funding will still allow the Office to maintain its current spending for the upkeep and preservation of office buildings.

## **GENERAL SERVICES**

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### **PROGRAM HIGHLIGHTS**

#### **EXECUTIVE DIRECTION**

Employees in this group provide the day-to-day leadership of the agency, and assure that Administration policies are reflected in the Office's programs and services. In addition, this group helps State agencies acquire vehicle insurance, administers financing the State equipment purchasing program and coordinates the centralized purchasing of electricity from the Power Authority of the State of New York.

The Office is now working on an important initiative to improve how the State manages its exposure to real property losses and liability claims. The OGS Insurance Bureau is coordinating an effort that will propose a comprehensive approach for acquiring catastrophic insurance coverage for buildings and liability exposure, determining an appropriate level of self-insurance, and instituting a defined approach to risk management.

#### **REAL PROPERTY MANAGEMENT AND DEVELOPMENT**

This program is responsible for providing for the safe and efficient operation of approximately 41 major and 85 ancillary State-owned and operated buildings across the State. Services provided by this program include building management, operation, maintenance, cleaning and security for facilities encompassing 17.7 million interior gross square feet with a replacement value estimated at \$4.4 billion. Employees assigned to this program also manage building renovation projects, administer service contracts for the maintenance and operation of certain heating, ventilation and air conditioning systems, and negotiate agreements as needed for leased space.

The Office is also making a major capital investment in office facilities in the Albany area. The need for this effort results from years of under-investment, and the need for State offices to accommodate modern technology. This program includes new office buildings for the Department of Environmental Conservation and the Office of the State Comptroller, a new parking garage in downtown Albany, the modernization of the Alfred E. Smith State Office Building and the development of the Harriman State Office Campus.

#### **TECHNOLOGY AND PROCUREMENT SERVICES**

This group provides State agencies with state-of-the-art voice and data communications services, and manages the statewide procurement of goods, services and commodities having an annual value of \$1.5 billion. In carrying out these responsibilities, this group provides centralized information processing services and establishes standards for each commodity purchased by the Office by developing detailed specifications, evaluating bids, and monitoring vendor performance and quality control. Eligible local governments, schools and not-for-profit organizations may use these centralized contracts, which generally offer the best prices because of the State's purchasing power, to reduce their own operating costs.

#### **DESIGN AND CONSTRUCTION**

This group provides architectural, engineering, planning, and design and construction management services to State agencies operating State-owned facilities. This group provides these services to over 20 agencies, and has projects valued at approximately \$300 million underway in design and \$800 million underway in construction.

#### **SUPPORT SERVICES**

Employees in this group provide and manage the delivery of interagency mail, employee and visitor parking and transfer of Federal and State surplus property. These employees also warehouse federally donated food and distribute it to school districts and food pantries.

# GENERAL SERVICES

## ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$352,150,300	\$358,793,100	+\$6,642,800	\$3,204,000
Aid To Localities .....	.....	.....	.....	.....
Capital Projects .....	95,900,000	71,300,000	-24,600,000	131,969,000
<b>Total .....</b>	<b>\$448,050,300</b>	<b>\$430,093,100</b>	<b>-\$17,957,200</b>	<b>\$135,173,000</b>

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Capital Planning					
Capital Projects Funds - Other .....	1	1	....	1	....
Design and Construction					
Internal Service Funds .....	491	491	....	491	....
Executive Direction					
General Fund .....	118	117	....	117	-1
Internal Service Funds .....	21	21	....	21	....
Information Technology and Procurement					
General Fund .....	160	158	....	158	-2
Special Revenue Funds - Other .....	18	18	....	18	....
Internal Service Funds .....	243	210	....	210	-33
Real Property Management and Development					
General Fund .....	957	951	....	951	-6
Special Revenue Funds - Other .....	54	54	....	54	....
Enterprise Funds .....	14	14	....	14	....
Internal Service Funds .....	95	95	....	95	....
<b>Total .....</b>	<b>2,172</b>	<b>2,130</b>	<b>....</b>	<b>2,130</b>	<b>-42</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$113,687,300	\$118,950,100	+\$5,262,800
Special Revenue Funds - Federal .....	6,050,000	6,050,000	.....
Special Revenue Funds - Other .....	16,648,000	17,408,000	+760,000
Enterprise Funds .....	1,153,000	1,217,000	+64,000
Internal Service Funds .....	213,658,000	214,196,000	+538,000
Fiduciary Funds .....	954,000	972,000	+18,000
<b>Total .....</b>	<b>\$352,150,300</b>	<b>\$358,793,100</b>	<b>+\$6,642,800</b>

# GENERAL SERVICES

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Design and Construction			
General Fund	\$1,700,000	.....	-\$1,700,000
Internal Service Funds	43,261,000	\$43,577,000	+316,000
Executive Direction			
General Fund	12,314,200	11,891,300	-422,900
Enterprise Funds	56,000	56,000	.....
Internal Service Funds	40,986,000	42,157,000	+1,171,000
Fiduciary Funds	704,000	722,000	+18,000
Information Technology and Procurement			
General Fund	7,240,700	8,620,000	+1,379,300
Special Revenue Funds - Federal	6,050,000	6,050,000	.....
Special Revenue Funds - Other	3,268,000	3,290,000	+22,000
Internal Service Funds	118,484,000	117,477,000	-1,007,000
Real Property Management and Development			
General Fund	92,432,400	98,438,800	+6,006,400
Special Revenue Funds - Other	13,380,000	14,118,000	+738,000
Enterprise Funds	1,097,000	1,161,000	+64,000
Internal Service Funds	10,927,000	10,985,000	+58,000
Agency Trust Funds	250,000	250,000	.....
<b>Total</b>	<b>\$352,150,300</b>	<b>\$358,793,100</b>	<b>+\$6,642,800</b>

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Executive Direction	\$5,538,500	-\$488,400	\$5,403,300	-\$488,400	\$116,000	.....
Information Technology and Procurement	7,430,200	+1,343,500	7,392,000	+1,343,500	23,900	.....
Real Property Management and Development	33,802,000	+732,700	33,102,000	+732,700	.....	.....
<b>Total</b>	<b>\$46,770,700</b>	<b>+\$1,587,800</b>	<b>\$45,897,300</b>	<b>+\$1,587,800</b>	<b>\$139,900</b>	<b>.....</b>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Executive Direction	\$19,200	.....
Information Technology and Procurement	14,300	.....
Real Property Management and Development	700,000	.....
<b>Total</b>	<b>\$733,500</b>	<b>.....</b>

# GENERAL SERVICES

## STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Design and Construction .....	.....	-\$1,700,000	.....	.....
Executive Direction .....	\$6,352,800	+65,500	\$191,800	+\$5,800
Information Technology and Procurement .....	1,189,800	+35,800	96,900	+2,900
Real Property Management and Development .....	64,636,800	+5,273,700	6,870,100	+207,000
<b>Total .....</b>	<b>\$72,179,400</b>	<b>+\$3,675,000</b>	<b>\$7,158,800</b>	<b>+\$215,700</b>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Executive Direction .....	\$87,600	+\$2,600	\$1,898,400	+\$57,100
Information Technology and Procurement .....	56,700	+1,700	1,015,600	+30,600
Real Property Management and Development .....	270,300	+8,100	56,023,700	+5,014,300
<b>Total .....</b>	<b>\$414,600</b>	<b>+\$12,400</b>	<b>\$58,937,700</b>	<b>+\$5,102,000</b>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Design and Construction .....	.....	.....	.....	-\$1,700,000
Executive Direction .....	.....	.....	\$4,175,000	.....
Information Technology and Procurement .....	\$20,600	+\$600	.....	.....
Real Property Management and Development .....	1,472,700	+44,300	.....	.....
<b>Total .....</b>	<b>\$1,493,300</b>	<b>+\$44,900</b>	<b>\$4,175,000</b>	<b>-\$1,700,000</b>

## STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Design and Construction .....	\$43,577,000	+\$316,000	\$24,652,000	-\$26,000
Executive Direction .....	42,935,000	+1,189,000	1,122,000	-13,000
Information Technology and Procurement .....	126,817,000	-985,000	9,262,000	-2,096,000
Real Property Management and Development .....	26,514,000	+860,000	5,519,000	+286,200
<b>Total .....</b>	<b>\$239,843,000</b>	<b>+\$1,380,000</b>	<b>\$40,555,000</b>	<b>-\$1,848,800</b>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Design and Construction .....	\$18,925,000	+\$342,000	.....	.....
Executive Direction .....	41,813,000	+1,202,000	.....	.....
Information Technology and Procurement .....	117,555,000	+1,111,000	.....	.....
Real Property Management and Development .....	20,745,000	+573,800	\$250,000	.....
<b>Total .....</b>	<b>\$199,038,000</b>	<b>+\$3,228,800</b>	<b>\$250,000</b>	<b>.....</b>

**GENERAL SERVICES**

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**CAPITAL PROJECTS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

<u>Comprehensive Construction Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations 2000-01</u>
Design and Construction Supervision				
Capital Projects Fund .....	\$21,600,000	\$6,500,000	-\$15,100,000	\$30,575,000
Petroleum Storage Tank				
Capital Projects Fund .....	500,000	.....	-500,000	500,000
Flood Disaster Restoration Program				
Capital Projects Fund .....	.....	.....	.....	3,000,000
Maintenance and Improvement of Real Property Facilities				
Capital Projects Fund .....	73,800,000	64,800,000	-9,000,000	92,386,000
Capital Projects Fund - Advances .....	.....	.....	.....	5,508,000
<b>Total .....</b>	<b><u>\$95,900,000</u></b>	<b><u>\$71,300,000</u></b>	<b><u>-\$24,600,000</u></b>	<b><u>\$131,969,000</u></b>

# OFFICE OF THE STATE INSPECTOR GENERAL

## MISSION

The Office of the State Inspector General is responsible for detecting, investigating, eliminating and deterring fraud, corruption, criminal activity, conflicts of interest and abuse in State government. Its mission is designed to ensure that State government maintains the highest standards of integrity and accountability.

## ORGANIZATION AND STAFFING

The Office of the State Inspector General was fundamentally restructured pursuant to Executive Order 39 issued by the Governor in June, 1996. The Executive Order provides for the consolidation of most of the State's inspector general activities in a single Office that replaces what were formerly separate, semi-independent deputy inspectors general based in the agencies they served. Headed by the Inspector General who is appointed by the Governor, the Office is headquartered in Albany and has offices in New York City and Buffalo. It will have a workforce of 94 in 2000-01.

## FISCAL BACKGROUND AND HIGHLIGHTS

The 2000-01 Executive Budget recommends a General Fund appropriation of \$4.7 million and \$1.3 million in support coming primarily from public authorities. This will allow the Office to maintain existing operations and to relocate its New York City office to new leased space.

## PROGRAM HIGHLIGHTS

Since the promulgation of Executive Order 39, the Office of the State Inspector General has acted on more than 3,500 complaints of fraud, criminal activity, waste and abuse involving State employees and resources. The Office has focused its investigative efforts on major cases involving theft, bribery, contract fraud, abuse of authority, and other serious allegations of corruption. Currently, the agency's investigators are working jointly with local, state, and federal law enforcement personnel and prosecutors on significant matters of public concern.

During calendar years 1998 and 1999, the Office's investigations resulted in the arrest of more than 80 individuals, and referrals for discipline in numerous other cases. In addition, many cases resulted in recommendations for administrative or policy changes. The State Inspector General's cases uncovered instances of theft, bid rigging, bribery, creation of fraudulent documents, misuse of computers, narcotics trafficking, and sexual assault. Equally important, the Office's investigations have, in many instances, cleared agencies and individuals of allegations that were unfounded or unsubstantiated.

### ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$5,975,000	\$6,006,600	+\$31,600	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
Total .....	<u>\$5,975,000</u>	<u>\$6,006,600</u>	<u>+\$31,600</u>	<u>....</u>

# STATE INSPECTOR GENERAL

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Inspector General					
General Fund	76	75	....	75	-1
Special Revenue Funds - Other	19	19	....	19	....
<b>Total</b>	<b>95</b>	<b>94</b>	<b>....</b>	<b>94</b>	<b>-1</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$4,675,000	\$4,706,600	+\$31,600
Special Revenue Funds - Other	1,300,000	1,300,000	....
<b>Total</b>	<b>\$5,975,000</b>	<b>\$6,006,600</b>	<b>+\$31,600</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Inspector General			
General Fund	\$4,675,000	\$4,706,600	+\$31,600
Special Revenue Funds - Other	1,300,000	1,300,000	....
<b>Total</b>	<b>\$5,975,000</b>	<b>\$6,006,600</b>	<b>+\$31,600</b>

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Inspector General	\$4,126,600	+\$35,600	\$4,126,600	+\$35,600
<b>Total</b>	<b>\$4,126,600</b>	<b>+\$35,600</b>	<b>\$4,126,600</b>	<b>+\$35,600</b>



# STATE INSPECTOR GENERAL

**STATE OPERATIONS — GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	Total		Contractual Services	
	Amount	Change	Amount	Change
Inspector General .....	\$580,000	-\$4,000	\$580,000	-\$4,000
Total .....	\$580,000	-\$4,000	\$580,000	-\$4,000

**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	Total		Personal Service	
	Amount	Change	Amount	Change
Inspector General .....	\$1,300,000	....	\$967,000	-\$1,000
Total .....	\$1,300,000	....	\$967,000	-\$1,000

<u>Program</u>	Nonpersonal Service	
	Amount	Change
Inspector General .....	\$333,000	+\$1,000
Total .....	\$333,000	+\$1,000



# ***INSURANCE DEPARTMENT***

## ***MISSION***

The Insurance Department, which was established January 1, 1860, is charged with regulating the insurance industry and with ensuring that the interests of insurance consumers, companies, and producers are balanced. Specific statutory responsibilities include approving the formation, consolidation or merger of insurance organizations, monitoring the financial stability of insurers, overseeing the testing and licensing of agents, adjusters, consultants and insurance intermediaries, and disciplining licensees who violate the Insurance Law or regulations.

## ***ORGANIZATION AND STAFFING***

The Insurance Department is headed by a Superintendent, who is appointed by the Governor. The Department maintains offices in Albany and New York City and local offices in Mineola, Rochester, Syracuse and Buffalo. The Department's activities are carried out through three programs: Administration, Regulation, and Consumer Services. The Department will have a workforce of 944 positions for 2000-01.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

The Insurance Department is funded by assessments on domestic insurance carriers and by examination fees. These moneys fully support the operations of the Department as well as insurance-specific operations in other agencies.

The Executive Budget recommends \$101.1 million for the Insurance Department, which will fund the Department's current level of activity and provide \$12.4 million to the Department of State for costs associated with fire prevention efforts and enforcement of state building code regulations.

## ***PROGRAM HIGHLIGHTS***

The Department ensures that insurance companies meet statutory requirements regarding their financial condition and corporate conduct by monitoring the financial condition of companies and conducting periodic field examinations of insurers. It strives for the fair treatment of policy holders, claimants, and the public through the regulation of company claims and sales practices, and its timely responses to consumer complaints.

The Department promotes high standards of ethical conduct and technical knowledge through oversight of testing, pre-licensing and continuing education of insurers and agents. It maintains a registry of all licensees, collects fees and imposes fines related to the revocation of licenses and irregular activities.

Reflecting the dynamic and changing environment confronting the insurance industry, the Department has reformed and rescinded over half its regulations and eased the process by which companies are licensed and new insurance products approved. Finally, the Department continues to work closely with the Banking Department to assist Holocaust victims and their families to identify and recover assets from foreign financial institutions.

# INSURANCE

## ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$98,521,000	\$101,069,500	+\$2,548,500	\$1,000,000
Aid To Localities .....	.....	.....	.....	.....
Capital Projects .....	.....	.....	.....	.....
<b>Total .....</b>	<b>\$98,521,000</b>	<b>\$101,069,500</b>	<b>+\$2,548,500</b>	<b>\$1,000,000</b>

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
Special Revenue Funds - Other .....	133	133	....	133	....
Consumer Services					
Special Revenue Funds - Other .....	107	107	....	107	....
Regulation					
Special Revenue Funds - Other .....	706	704	....	704	-2
<b>Total .....</b>	<b>946</b>	<b>944</b>	<b>....</b>	<b>944</b>	<b>-2</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
Special Revenue Funds - Other .....	\$98,521,000	\$101,069,500	+\$2,548,500
<b>Total .....</b>	<b>\$98,521,000</b>	<b>\$101,069,500</b>	<b>+\$2,548,500</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
Special Revenue Funds - Other .....	\$10,080,800	\$10,228,300	+\$147,500
Consumer Services			
Special Revenue Funds - Other .....	6,601,800	6,905,700	+303,900
Regulation			
Special Revenue Funds - Other .....	81,838,400	83,935,500	+2,097,100
<b>Total .....</b>	<b>\$98,521,000</b>	<b>\$101,069,500</b>	<b>+\$2,548,500</b>

**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Administration .....	\$10,228,300	+\$147,500	\$7,086,500	+\$76,400
Consumer Services .....	6,905,700	+303,900	4,871,100	+232,200
Regulation .....	83,935,500	+2,097,100	39,346,500	+1,165,100
Total .....	<u>\$101,069,500</u>	<u>+\$2,548,500</u>	<u>\$51,304,100</u>	<u>+\$1,473,700</u>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration .....	\$3,141,800	+\$71,100	.....	.....
Consumer Services .....	2,034,600	+71,700	.....	.....
Regulation .....	30,251,500	+1,040,400	\$14,337,500	-\$108,400
Total .....	<u>\$35,427,900</u>	<u>+\$1,183,200</u>	<u>\$14,337,500</u>	<u>-\$108,400</u>



# **DEPARTMENT OF LAW**

## **MISSION**

The Department of Law was created in 1926 and is headed by the State Attorney General, who is elected by the people. The Department is responsible for protecting the legal rights of New York State and its citizens by representing the State in litigation and in other legal affairs.

In implementing its constitutional mandate, the Department performs a wide range of responsibilities. Major activities of the Department include prosecuting or defending all actions and proceedings for or against the State and its departments; prosecuting certain criminal violations of the Labor, Workers' Compensation, and Unemployment Insurance laws; investigating and prosecuting other criminal cases at the request of the Governor or the commissioners of State departments; investigating the activities of organized crime; bringing civil and/or criminal actions against polluters, violators of antitrust laws, and those who defraud consumers or investors; mediating consumer complaints; investigating Medicaid fraud; and defending the legal and civil rights of New Yorkers.

## **ORGANIZATION AND STAFFING**

The legal functions of the Department of Law are divided into major divisions which comprise bureaus dealing with specific legal issues and cases. These are the Divisions of Appeals and Opinions, Criminal, State Counsel, Public Advocacy, and Regional Offices.

The Department's main office is located in Albany. The Department also has a major office in the City of New York and regional offices in Binghamton, Poughkeepsie, Syracuse, Buffalo, Plattsburgh, Rochester, Watertown, and Mineola and in Suffolk and Westchester counties. The Department will have a workforce of 1,783 positions for 2000-01.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The Executive Budget recommends \$152.9 million for the Department. This recommendation includes \$102.3 million in tax dollars from the General Fund, which will finance 67 percent of these expenses for 2000-01. Additional support for 2000-01 will be provided by:

- Federal funding of \$25.3 million that finance Medicaid fraud efforts and that fund 16 percent of the Department's budget;
- Revenues of \$19.3 million related to the collection of non-tax debt and litigation settlements comprise 13 percent of the Department's budget; and
- The remaining 4 percent of the Department's expenses are funded with \$6.0 million consisting of assets seized as part of criminal prosecution activities and Medicaid fraud recoveries.

The General Fund recommendation includes \$1.4 million for security and other improvements and \$1.7 million for anticipated workload increases. The Budget also funds the third year of the Department's computer upgrade, including \$348,000 for 14 additional staff and \$2.3 million for hardware and software purchases. With this recommended funding, a total of 50 new positions and \$12.3 million for hardware and software will have been provided to improve the Department's technology operations.

**PROGRAM HIGHLIGHTS**

Agency divisions perform the following functions:

- The Administration Division serves as the Support Service and Business Management arm of the Attorney General by providing budget, personnel, operations, and technology management activities for the agency.
- The Division of Appeals and Opinions handles appellate litigation in both State and Federal courts, determines which cases warrant appeal and the arguments to be advanced in these cases on behalf of the State of New York. The Division also prepares opinions, both formal and informal, interpreting State laws for State agencies and municipalities.
- The Criminal Division investigates and prosecutes criminal cases, including those involving medicaid fraud dealing with health care crime and organized crime involving multi-county, multi-state and even multi-national criminal activities occurring within New York State.
- The Division of State Counsel provides State agencies, the Governor, other State officials and the Legislature with counsel and representation in legal proceedings. It recoups non-tax revenue on behalf of State taxpayers and provides legal assistance to State agencies in connection with the acquisition and disposition of public land. It also enforces State Labor and Workers Compensation Laws and defends and prosecutes actions in defense of the State.
- The Division of Public Advocacy defends and protects the public interest in the courts. It enforces laws to prevent trade restraint and promotes competition, protects charitable donors and beneficiaries from unscrupulous practices in charitable asset management, and enforces laws prohibiting discrimination. It also protects consumers from fraudulent, misleading, deceptive and illegal trade practices, enforces laws enacted to prevent environmental damage and protects the public by regulating sales of investment securities.
- The Regional Offices program provides mini-satellite offices of the main agency offices in all regions of the State to afford State residents enhanced service delivery.

**ALL FUNDS  
APPROPRIATIONS**

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$152,416,200	\$152,799,800	+\$383,600	\$30,990,500
Aid To Localities .....	150,000	150,000	....	150,000
Capital Projects .....	....	....	....	....
Total .....	<u>\$152,566,200</u>	<u>\$152,949,800</u>	<u>+\$383,600</u>	<u>\$31,140,500</u>



**ALL FUND TYPES  
LEVELS OF EMPLOYMENT BY PROGRAM  
ANNUAL SALARIED POSITIONS**

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund	164	175	....	175	+11
Appeals and Opinions					
General Fund	66	64	....	64	-2
Counsel for the State					
General Fund	295	300	....	300	+5
Special Revenue Funds - Other	95	95	....	95	....
Internal Service Funds	109	....	109	109	....
Criminal Prosecutions					
General Fund	185	182	....	182	-3
Medicaid Fraud Control					
General Fund	73	73	....	73	....
Special Revenue Funds - Federal	269	269	....	269	....
Public Advocacy					
General Fund	291	286	....	286	-5
Regional Offices					
General Fund	154	151	....	151	-3
Subtotal, Direct Funded Programs	1,701	1,595	109	1,704	+3
Suballocations:					
General Fund	27			27	....
Special Revenue Funds - Federal	7			7	....
Special Revenue Funds - Other	45			45	....
Total	1,780			1,783	+3

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS**

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$101,777,200	\$102,282,800	+\$505,600
Special Revenue Funds - Federal	25,660,000	25,316,000	-344,000
Special Revenue Funds - Other	18,374,000	18,529,000	+155,000
Internal Service Funds	6,605,000	6,672,000	+67,000
Total	\$152,416,200	\$152,799,800	+\$383,600

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

<u>Program</u>	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund .....	\$24,005,900	\$24,627,900	+\$622,000
Appeals and Opinions			
General Fund .....	4,286,700	4,249,500	-37,200
Counsel for the State			
General Fund .....	28,191,000	26,814,900	-1,376,100
Special Revenue Funds - Other .....	12,374,000	12,529,000	+155,000
Internal Service Funds .....	6,605,000	6,672,000	+67,000
Criminal Prosecutions			
General Fund .....	13,320,200	13,828,500	+508,300
Special Revenue Funds - Other .....	2,500,000	2,500,000	....
Medicaid Fraud Control			
General Fund .....	3,902,300	4,003,000	+100,700
Special Revenue Funds - Federal .....	25,660,000	25,316,000	-344,000
Special Revenue Funds - Other .....	3,500,000	3,500,000	....
Public Advocacy			
General Fund .....	18,321,800	18,237,600	-84,200
Regional Offices			
General Fund .....	9,749,300	10,521,400	+772,100
<b>Total .....</b>	<b><u>\$152,416,200</u></b>	<b><u>\$152,799,800</u></b>	<b><u>+\$383,600</u></b>

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration .....	\$8,210,500	+\$1,318,800	\$7,981,600	+\$1,107,300	\$228,900	+\$228,900
Appeals and Opinions .....	3,567,400	-67,200	3,476,200	-158,400	91,200	+91,200
Counsel for the State .....	18,263,400	+1,425,400	17,833,000	+2,136,000	430,400	-710,600
Criminal Prosecutions .....	10,943,300	-136,000	10,697,600	-298,700	243,300	+160,300
Medicaid Fraud Control .....	3,986,000	+72,900	3,926,000	+66,600	60,000	+6,300
Public Advocacy .....	16,523,000	-209,900	16,131,300	-524,800	382,000	+305,200
Regional Offices .....	8,667,500	+703,600	8,435,500	+471,600	214,000	+214,000
<b>Total .....</b>	<b><u>\$70,161,100</u></b>	<b><u>+\$3,107,600</u></b>	<b><u>\$68,481,200</u></b>	<b><u>+\$2,799,600</u></b>	<b><u>\$1,649,800</u></b>	<b><u>+\$295,300</u></b>

<u>Program</u>	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration .....	....	-\$17,400
Criminal Prosecutions .....	\$2,400	+2,400
Public Advocacy .....	9,700	+9,700
Regional Offices .....	18,000	+18,000
<b>Total .....</b>	<b><u>\$30,100</u></b>	<b><u>+\$12,700</u></b>

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS**  
**SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED**  
**APPROPRIATIONS AND CHANGES**  
**2000-01 RECOMMENDED**

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration .....	\$16,417,400	-\$696,800	\$91,600	....
Appeals and Opinions .....	682,100	+30,000	344,200	....
Counsel for the State .....	8,551,500	-2,801,500	159,500	....
Criminal Prosecutions .....	2,885,200	+644,300	69,200	....
Medicaid Fraud Control .....	2,517,000	+27,800	34,600	....
Public Advocacy .....	1,714,600	+125,700	78,300	....
Regional Offices .....	1,853,900	+68,500	18,900	....
Total .....	<u>\$34,621,700</u>	<u>-\$2,602,000</u>	<u>\$796,300</u>	<u>....</u>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration .....	\$65,900	....	\$15,600,600	-\$1,096,800
Appeals and Opinions .....	28,500	....	307,100	+30,000
Counsel for the State .....	369,500	....	871,000	-9,801,500
Criminal Prosecutions .....	297,600	....	1,943,600	+94,300
Medicaid Fraud Control .....	38,900	....	987,900	+27,800
Public Advocacy .....	75,800	....	1,425,000	+125,700
Regional Offices .....	212,700	....	1,586,300	+68,500
Total .....	<u>\$1,088,900</u>	<u>....</u>	<u>\$22,721,500</u>	<u>-\$10,552,000</u>

Program	Equipment		General State Charges	
	Amount	Change	Amount	Change
Administration .....	\$659,300	+\$400,000	....	....
Appeals and Opinions .....	2,300	....	....	....
Counsel for the State .....	151,500	....	....	....
Criminal Prosecutions .....	574,800	+550,000	....	....
Medicaid Fraud Control .....	4,900	....	\$1,450,700	....
Public Advocacy .....	135,500	....	....	....
Regional Offices .....	36,000	....	....	....
Total .....	<u>\$1,564,300</u>	<u>+\$950,000</u>	<u>\$1,450,700</u>	<u>....</u>

Program	Maintenance Undistributed	
	Amount	Change
Counsel for the State .....	<u>\$7,000,000</u>	<u>+\$7,000,000</u>
Total .....	<u>\$7,000,000</u>	<u>+\$7,000,000</u>

**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Counsel for the State .....	\$19,201,000	+\$222,000	\$6,660,000	+\$49,000
Criminal Prosecutions .....	2,500,000	.....	.....	.....
Medicaid Fraud Control .....	28,816,000	-344,000	14,724,000	+110,000
<b>Total .....</b>	<b>\$50,517,000</b>	<b>-\$122,000</b>	<b>\$21,384,000</b>	<b>+\$159,000</b>

<u>Program</u>	<u>Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Counsel for the State .....	\$5,869,000	+\$106,000	\$6,672,000	+\$67,000
Criminal Prosecutions .....	.....	.....	2,500,000	.....
Medicaid Fraud Control .....	10,592,000	-454,000	3,500,000	.....
<b>Total .....</b>	<b>\$16,461,000</b>	<b>-\$348,000</b>	<b>\$12,672,000</b>	<b>+\$67,000</b>

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund .....	\$150,000	\$150,000	.....
<b>Total .....</b>	<b>\$150,000</b>	<b>\$150,000</b>	<b>.....</b>

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Public Advocacy General Fund .....	\$150,000	\$150,000	.....
<b>Total .....</b>	<b>\$150,000</b>	<b>\$150,000</b>	<b>.....</b>

# ***TEMPORARY STATE COMMISSION ON LOBBYING***

## ***MISSION***

The Temporary State Commission on Lobbying is responsible for monitoring, maintaining and making public the identities, activities, and expenditures of lobbyists, clients and public corporations attempting to influence the legislative, regulatory and rule- and rate-making actions of elected State officials and agency decision makers. The Commission is also authorized to hold hearings and impose civil penalties. A final responsibility of the Commission is to collect, maintain, and make public the records of appearances before regulatory State agencies.

## ***ORGANIZATION AND STAFFING***

The Lobbying Act sets forth the administrative and enforcement responsibilities of the Commission. Under the guidance of a six-member bipartisan board appointed by the Governor, with advice from the legislative leaders, these responsibilities are carried out by a workforce of nine.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

The Commission receives 94 percent of its support from State tax dollars from the General Fund. The remaining 6 percent of its operations are funded by civil penalty fines assessed on lobbyists for violations of the Lobbying Law.

The Executive Budget recommends \$635,000 in General Fund support for the Commission. This recommended funding will allow the Commission to continue its present level of oversight activity.

## ***PROGRAM HIGHLIGHTS***

In 1998, the Commission's oversight responsibilities included 2,100 registered lobbyists, 1,291 clients of lobbyists, and 55 public corporations which reported lobbying expenses. The Commission's monitoring responsibilities encompassed both registered and unregistered special interest groups. These groups were active on over 18,250 bills before the Legislature and 2,150 rules, regulations and rate applications involving State agencies.

In 1996, the Commission established a web site on the Internet to further increase the public's access to lobbying information. The web site has been accessed more than one million times through the end of October 1999. A scanning and imaging system allows for almost same day processing of incoming registrations and reports.

# LOBBYING

## ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$656,000	\$675,000	+\$19,000	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
<b>Total .....</b>	<b>\$656,000</b>	<b>\$675,000</b>	<b>+\$19,000</b>	<b>....</b>

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	2000-01 Recommended Average Fill Level	
				Total Recommended 2000-01	Change
Operations					
General Fund .....	9	9	....	9	....
<b>Total .....</b>	<b>9</b>	<b>9</b>	<b>....</b>	<b>9</b>	<b>....</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$616,000	\$635,000	+\$19,000
Special Revenue Funds - Other .....	40,000	40,000	....
<b>Total .....</b>	<b>\$656,000</b>	<b>\$675,000</b>	<b>+\$19,000</b>

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Operations			
General Fund .....	\$616,000	\$635,000	+\$19,000
Special Revenue Funds - Other .....	40,000	40,000	....
<b>Total .....</b>	<b>\$656,000</b>	<b>\$675,000</b>	<b>+\$19,000</b>

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Operations .....	\$559,000	+\$19,000	\$559,000	+\$19,000
<b>Total .....</b>	<b>\$559,000</b>	<b>+\$19,000</b>	<b>\$559,000</b>	<b>+\$19,000</b>

**STATE OPERATIONS — GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Operations .....	\$76,000	....	\$76,000	....
Total .....	<u>\$76,000</u>	<u>....</u>	<u>\$76,000</u>	<u>....</u>

**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Operations .....	\$40,000	....	\$40,000	....
Total .....	<u>\$40,000</u>	<u>....</u>	<u>\$40,000</u>	<u>....</u>





# ***PUBLIC EMPLOYMENT RELATIONS BOARD***

## ***MISSION***

The Public Employment Relations Board resolves labor disputes between public employers and employees. The Board provides mediation, fact-finding and arbitration in contract disputes for approximately 4,000 negotiating units in New York. In addition, the Board settles questions of union representation, conducts hearings on charges of improper practices, designates Management/Confidential positions, and acts as a clearinghouse for information on wages, benefits and employment practices.

## ***ORGANIZATION AND STAFFING***

The Board consists of a full-time Chair and two part-time members nominated by the Governor for six-year terms. The Board's jurisdiction includes State, county, and local governments, certain special service districts, school districts and public authorities. Central offices are in Albany, with additional staff in Buffalo and Brooklyn. The Board will have a workforce of 43 positions for 2000-01.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

The Board receives 95 percent of its funding from the General Fund, which supports its mediation, fact-finding, and arbitration services and administrative costs.

The Executive Budget recommends \$3.6 million in General Fund support for the Board, and the Board's remaining expenses of \$192,500 are financed by fees paid by public employers and unions for filings and publications.

## ***PROGRAM HIGHLIGHTS***

The Board provides three principal services: conciliation, settlement of petitions involving representation, and rulings on charges of improper practices.

## ***CONCILIATION***

The Board provided assistance in approximately 393 of the 2,500 State and local contracts negotiated in 1998-99. The Board has followed many of these cases through the full range of impasse resolution steps: mediation, followed by either fact-finding or arbitration and conciliation.

## ***REPRESENTATION***

Through its Office of Public Employment Practices and Representation, the Board reviews all petitions from public employee unions and employers requesting the creation of new negotiating units or the transfer of members between units. It also reviews requests to remove positions from negotiating units and may designate them management or confidential. In 1998-99, the Board received 115 petitions raising questions about representation and conducted 19 elections for representation.

# **PUBLIC EMPLOYMENT RELATIONS**

## **EMPLOYMENT PRACTICES**

The Board conducts hearings and renders decisions on improper practice charges, and received petitions on 943 charges of improper employment and negotiating practices in the previous year. In 1998-99, the agency wrote over 300 decisions and closed, either by decision or settlement, over 1,140 improper practice cases. Each case must be addressed in a pre-hearing conference attended by the affected parties. If a case cannot be resolved, a Board administrative law judge must rule on the charge after conducting a formal hearing.

### **ALL FUNDS APPROPRIATIONS**

<u>Category</u>	<u>Available 1999-00</u>	<u>Appropriations Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations Recommended 2000-01</u>
State Operations .....	\$3,499,900	\$3,788,000	+\$288,100	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
<b>Total .....</b>	<b>\$3,499,900</b>	<b>\$3,788,000</b>	<b>+\$288,100</b>	<b>....</b>

### **ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS**

<u>Program</u>	<u>Available 1999-00</u>	2000-01 Recommended Average Fill Level			<u>Change</u>
		<u>Personal Service (Regular)</u>	<u>Maintenance Undistributed</u>	<u>Total Recommended 2000-01</u>	
Administration					
General Fund .....	39	41	....	41	+2
Special Revenue Funds - Other .....	2	2	....	2	....
<b>Total .....</b>	<b>41</b>	<b>43</b>	<b>....</b>	<b>43</b>	<b>+2</b>

### **STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund .....	\$3,307,400	\$3,595,500	+\$288,100
Special Revenue Funds - Other .....	192,500	192,500	....
<b>Total .....</b>	<b>\$3,499,900</b>	<b>\$3,788,000</b>	<b>+\$288,100</b>

### **STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Administration			
General Fund .....	\$3,307,400	\$3,595,500	+\$288,100
Special Revenue Funds - Other .....	192,500	192,500	....
<b>Total .....</b>	<b>\$3,499,900</b>	<b>\$3,788,000</b>	<b>+\$288,100</b>

# PUBLIC EMPLOYMENT RELATIONS

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

<u>Program</u>	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration .....	\$3,142,900	+\$232,100	\$2,825,900	+\$132,100	\$313,900	+\$100,000
Total .....	\$3,142,900	+\$232,100	\$2,825,900	+\$132,100	\$313,900	+\$100,000

  

<u>Program</u>	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration .....	\$3,100	....
Total .....	\$3,100	....

## STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

<u>Program</u>	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration .....	\$452,600	+\$56,000	\$80,900	+\$50,000
Total .....	\$452,600	+\$56,000	\$80,900	+\$50,000

<u>Program</u>	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration .....	\$51,500	....	\$120,200	+\$6,000
Total .....	\$51,500	....	\$120,200	+\$6,000

<u>Program</u>	Maintenance Undistributed	
	Amount	Change
Administration .....	\$200,000	....
Total .....	\$200,000	....

## STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

<u>Program</u>	Total		Personal Service	
	Amount	Change	Amount	Change
Administration .....	\$192,500	....	\$50,000	....
Total .....	\$192,500	....	\$50,000	....

<u>Program</u>	Nonpersonal Service	
	Amount	Change
Administration .....	\$142,500	....
Total .....	\$142,500	....



# ***DEPARTMENT OF PUBLIC SERVICE***

## ***MISSION***

The Department of Public Service has a broad mandate to ensure that all New Yorkers have access to reliable and low-cost utility services. The Department is the staff arm of the Public Service Commission, which regulates the rates and services of the State's public utilities, including electric, gas, steam, telephone, and water. The Commission also oversees the siting of major electric and gas transmission lines and facilities, ensures the safety of natural gas and liquid petroleum pipelines, and is responsible for oversight and regulation of the cable television industry in New York State. As the transition is made from a regulated utility industry to a more competitive market, the Department will use its oversight responsibilities to foster competitive market forces which will produce lower rates for consumers, enable customers to choose from a variety of suppliers, and continue reliable service.

## ***ORGANIZATION AND STAFFING***

The Public Service Commission consists of five members who are nominated by the Governor and confirmed by the Senate. The Chairman serves as the chief executive officer of the Department which operates offices in Albany, New York City and Buffalo.

The Department's budget has two programs: the Administration Program, which supports Public Service Commission activities; and the Regulation Program, which undertakes activities to ensure fair and reasonable rates, foster proper competition, monitor service standards, address consumer complaints, promote efficient operation and ensure that industry construction programs meet safety and environmental requirements.

The Department will have a workforce of 619 for 2000-01. Approximately 98 percent of this staff is financed by utility and cable assessments and the remaining two percent by Federal grants and coin-operated telephone fees.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

The 2000-01 budget recommendations for the Department of Public Service reflect the changing mission of the agency as the utility industry moves from a regulated environment to a competitive market. As the electric industry undergoes restructuring, the Department is overseeing this process to ensure that policies are implemented to encourage competition for retail and wholesale business, and a level playing field in this new competitive market. It should be noted that, while power generation will eventually function in a competitive market, the monopolistic transmission and distribution of electricity will remain regulated for the foreseeable future. As the industry changes and evolves, the Department of Public Service will continue to act as a consumer advocate through education and outreach to ensure that it fulfills its core mission.

The Department's 2000-01 operating budget includes funding of \$59.7 million from utility and cable assessments and coin operated telephone fees. Public utility assessments are based on a utility's gross intrastate operating revenues above \$25,000. Cable television assessments are based on revenues from those companies with 1,000 or more subscribers.

In addition, the local assistance budget provides a total of \$4.4 million, from fees paid by entities proposing the siting of electric generation facilities, that may be accessed by local governments and community groups to fund intervention activities related to the siting.

# PUBLIC SERVICE

## PROGRAM HIGHLIGHTS

The Department's highest priority for the coming year remains its move toward competition in the electric, telecommunications, and gas industries. This transition must be effectively managed to ensure that competition benefits both the State's economic interests and utility ratepayers. During this transition to competitive markets, the Department will develop the infrastructure needed for competitive alternatives, maintain the high standards of reliability and service quality that New Yorkers expect, ensure fair competition, and, where necessary, provide ratepayers effective protection.

### ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$60,766,700	\$60,816,300	+\$49,600	....
Aid To Localities .....	800,000	4,400,000	+3,600,000	\$1,200,000
Capital Projects .....	....	....	....	....
Total .....	<u>\$61,566,700</u>	<u>\$65,216,300</u>	<u>+\$3,649,600</u>	<u>\$1,200,000</u>

### ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
Special Revenue Funds - Other .....	107	107	....	107	....
Regulation of Utilities					
Special Revenue Funds - Federal .....	12	12	....	12	....
Special Revenue Funds - Other .....	501	500	....	500	-1
Total .....	<u>620</u>	<u>619</u>	<u>....</u>	<u>619</u>	<u>-1</u>

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
Special Revenue Funds - Federal .....	\$1,138,100	\$1,149,000	+\$10,900
Special Revenue Funds - Other .....	59,628,600	59,667,300	+38,700
Total .....	<u>\$60,766,700</u>	<u>\$60,816,300</u>	<u>+\$49,600</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Administration			
Special Revenue Funds - Other .....	\$9,421,400	\$9,467,400	+\$46,000
Regulation of Utilities			
Special Revenue Funds - Federal .....	1,138,100	1,149,000	+10,900
Special Revenue Funds - Other .....	50,207,200	50,199,900	-7,300
<b>Total .....</b>	<b>\$60,766,700</b>	<b>\$60,816,300</b>	<b>+\$49,600</b>

**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Personal Service</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration .....	\$9,467,400	+\$46,000	\$5,129,400	+\$25,000
Regulation of Utilities .....	51,348,900	+3,600	30,661,400	-73,200
<b>Total .....</b>	<b>\$60,816,300</b>	<b>+\$49,600</b>	<b>\$35,790,800</b>	<b>-\$48,200</b>

<u>Program</u>	<u>Nonpersonal Service</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration .....	\$4,338,000	+\$21,000	.....	.....
Regulation of Utilities .....	20,354,800	+76,800	\$332,700	.....
<b>Total .....</b>	<b>\$24,692,800</b>	<b>+\$97,800</b>	<b>\$332,700</b>	<b>.....</b>

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Special Revenue Funds - Other .....	\$800,000	\$4,400,000	+\$3,600,000
<b>Total .....</b>	<b>\$800,000</b>	<b>\$4,400,000</b>	<b>+\$3,600,000</b>

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Regulation of Utilities			
Special Revenue Funds - Other .....	\$800,000	\$4,400,000	+\$3,600,000
<b>Total .....</b>	<b>\$800,000</b>	<b>\$4,400,000</b>	<b>+\$3,600,000</b>





# **GOVERNOR'S OFFICE OF REGULATORY REFORM**

## **MISSION**

The Governor's Office of Regulatory Reform was restructured in 1995 and charged with the promotion of private sector job growth in New York through the review and reform of State regulations. The Office creates a positive climate for job growth by reviewing and streamlining regulations, expediting regulatory approval and improving attitudes of regulators toward affected parties. Since 1994-95, the Office has substantively reviewed and/or eliminated over 1,500 rules which, together with other regulatory, statutory and permitting reforms, has saved State businesses and localities \$2.9 billion in operating and other expenses.

## **ORGANIZATION AND STAFFING**

Led by a Director appointed by the Governor, the Office is located in Albany. Agency objectives are achieved through its Business Permits Assistance and Regulatory Review programs. The Office will have a workforce of 38 positions for 2000-01.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The Office's operations are supported by State tax dollars from the General Fund. The Executive Budget recommends \$2.6 million in support for the Office to maintain current efforts to streamline the State's regulatory process. Recommended funding also supports a sophisticated database, including a web site, [www.nys-permits.org](http://www.nys-permits.org), which provides businesses with comprehensive information on the permits related to starting or expanding a business.

## **PROGRAM HIGHLIGHTS**

### **REGULATORY REVIEW**

The Office reviews the need for proposed new and revised regulations and their economic impact. Staff continue efforts begun in 1994-95 to review all existing State agency regulations to identify those hampering the growth of business and jobs in New York State. The Office requires regulating agencies to analyze the costs and benefits of any proposed new or amended rule and to consider its effect on job creation and retention, public health, safety and welfare. Recommendations are also made to agencies to help them simplify their regulatory processes. The Office has also played a key role in the innovative SEMI-NY and BUILDNOW-NY programs to facilitate economic development.

### **BUSINESS PERMITS ASSISTANCE**

The Business Permits Assistance program provides comprehensive information about permits required to operate businesses in New York State, in addition to consulting with local governments to better coordinate local permit applications. Program staff also assist agencies in streamlining and simplifying permit procedures required for business and local government undertakings. Since 1994, this program has provided assistance to almost 250,000 individuals or firms interested in starting or growing a business in New

## REGULATORY REFORM

York. This effort was facilitated by the development in 1995 of a master application form for complex business ventures and a comprehensive permit reform program in 1997 which is expected to generate over \$50 million in one-time savings.

### ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$2,468,800	\$2,609,300	+\$140,500	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
Total .....	\$2,468,800	\$2,609,300	+\$140,500	....

### ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration General Fund .....	38	38	....	38	....
Total .....	38	38	....	38	....

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$2,468,800	\$2,609,300	+\$140,500
Total .....	\$2,468,800	\$2,609,300	+\$140,500

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration General Fund .....	\$2,468,800	\$2,609,300	+\$140,500
Total .....	\$2,468,800	\$2,609,300	+\$140,500

**REGULATORY REFORM**

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	Total Personal Service		Personal Service Regular (Annual Salaried)		Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration .....	\$2,091,100	+\$17,000	\$2,086,400	+\$17,000	\$4,700	....
Total .....	\$2,091,100	+\$17,000	\$2,086,400	+\$17,000	\$4,700	....

**STATE OPERATIONS — GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration .....	\$518,200	+\$123,500	\$49,000	-\$2,500
Total .....	\$518,200	+\$123,500	\$49,000	-\$2,500

<u>Program</u>	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration .....	\$49,500	-\$2,000	\$255,550	+\$65,000
Total .....	\$49,500	-\$2,000	\$255,550	+\$65,000

<u>Program</u>	Equipment	
	Amount	Change
Administration .....	\$164,150	+\$63,000
Total .....	\$164,150	+\$63,000



# **DEPARTMENT OF STATE**

## **MISSION**

The Department of State's mission is to coordinate programs with and provide services to local governments and businesses. The Department protects the public's safety by managing arson, fire prevention, building and energy code programs; assists the public by providing ombudsman services; administers programs for community development and local government service activities; and supports businesses through various licensing and registration activities.

## **ORGANIZATION AND STAFFING**

The Department is headed by the Secretary of State, who is appointed by the Governor and confirmed by the Senate. The Department's central office is located in Albany. It also has 20 regional offices across the State and operates the Academy of Fire Science in Montour Falls, Schuyler County. The Department of State will have a workforce of 850 positions for 2000-01.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

Approximately 37 percent of the Department's operations and local aid programs are funded from fees and other income, 46 percent are funded with Federal grants, and State tax dollars from the General Fund support the remaining 17 percent. The Executive Budget recommends funding of \$108.9 million for the Department of State; \$90.2 million in Federal funding and fee revenues, and \$18.7 million in General Fund support.

Major recommendations include:

- Support for a Department-wide technology initiative which will improve automation and Internet computer capacities to enhance service for the Department's business customers, improve the electronic filing of Financial Disclosure Statements, and implement a new fire incident reporting system.
- Funding of \$1.1 million to continue the process of adopting new model building, fire prevention and energy codes and \$3 million to reimburse municipalities for the cost of training local code enforcement officials.

## **PROGRAM HIGHLIGHTS**

The responsibilities of the Department of State are carried out through three programs:

- The Local Government and Community Service Program includes the Office of Fire Prevention and Control which works with paid and volunteer fire companies on arson investigations and the handling of hazardous material, and the Division of Code Enforcement and Administration which administers New York's building and energy code. In addition to these activities, staff provide ombudsman services to citizens, offer planning and management services to local governments through the Office for Local Government, support land use planning activities in the New York City/Catskill Watershed, coordinate New York's coastal resources and waterfront revitalization activities, provide low interest loans to fire and ambulance companies through the Emergency Services Revolving Loan Program and administer the Department's federal grant programs, including the Appalachian Regional Commission and Community Services Block Grants.

# STATE

- The Business and Licensing Services Program provides information on credit and debt for individuals, businesses and corporations; tracks all certificates of incorporation; administers qualifying examinations and licensing of 16 occupations; and oversees the operation of almost 1,900 cemeteries.
- The Administration Program provides the basic executive direction, fiscal, personnel and electronic data processing activities that support the Department's operations.

Additionally, the Department's budget contains funds for the Lake George Park Commission, the State Ethics Commission, the State Athletic Commission, the Commission on Uniform State Laws, and the Tug Hill Commission.

## ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$48,777,800	\$59,375,100	+\$10,597,300	\$7,422,600
Aid To Localities .....	77,007,673	49,200,000	-27,807,673	35,000,000
Capital Projects .....	1,317,000	348,000	-969,000	1,444,000
<b>Total .....</b>	<b>\$127,102,473</b>	<b>\$108,923,100</b>	<b>-\$18,179,373</b>	<b>\$43,866,600</b>

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund .....	48	48	....	48	....
Clean Water/Clean Air Administration					
Capital Projects Funds - Other .....	6	6	....	6	....
Lake George Park Commission					
Special Revenue Funds - Other .....	10	10	....	10	....
Licensing Services					
General Fund .....	17	17	....	17	....
Special Revenue Funds - Other .....	412	432	....	432	+20
Local Government and Community Services					
General Fund .....	45	55	....	55	+10
Special Revenue Funds - Federal .....	75	75	....	75	....
Special Revenue Funds - Other .....	17	17	....	17	....
Expendable Trust Funds .....	2	2	....	2	....
State Ethics Commission					
General Fund .....	22	22	....	22	....
Tug Hill Commission					
General Fund .....	16	16	....	16	....
<b>Subtotal, Direct Funded Programs .....</b>	<b>670</b>	<b>700</b>	<b>....</b>	<b>700</b>	<b>+30</b>
Suballocations:					
General Fund .....	4			4	....
Special Revenue Funds - Federal .....	3			3	....
Special Revenue Funds - Other .....	139			139	....
Capital Projects Funds - Other .....	4			4	....
<b>Total .....</b>	<b>820</b>			<b>850</b>	<b>+30</b>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund .....	\$15,213,500	\$18,386,800	+\$3,173,300
Special Revenue Funds - Federal .....	6,215,000	6,985,000	+770,000
Special Revenue Funds - Other .....	27,349,300	32,003,300	+4,654,000
Fiduciary Funds .....	.....	2,000,000	+2,000,000
<b>Total .....</b>	<b>\$48,777,800</b>	<b>\$59,375,100</b>	<b>+\$10,597,300</b>
Adjustments:			
Transfer(s) From			
State Police, Division of			
General Fund .....	-40,000		
Appropriated 1999-00 .....	<u>\$48,737,800</u>		

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Administration			
General Fund .....	\$4,298,000	\$4,639,100	+\$341,100
Lake George Park Commission			
Special Revenue Funds - Other .....	954,000	1,000,800	+46,800
Licensing Services			
General Fund .....	641,300	646,700	+5,400
Special Revenue Funds - Other .....	23,775,900	28,722,400	+4,946,500
Local Government and Community Services			
General Fund .....	8,007,900	10,526,800	+2,518,900
Special Revenue Funds - Federal .....	6,215,000	6,985,000	+770,000
Special Revenue Funds - Other .....	2,606,800	2,267,500	-339,300
Fiduciary Funds .....	.....	2,000,000	+2,000,000
State Ethics Commission			
General Fund .....	1,460,000	1,734,500	+274,500
Tug Hill Commission			
General Fund .....	806,300	839,700	+33,400
Special Revenue Funds - Other .....	12,600	12,600	.....
<b>Total .....</b>	<b>\$48,777,800</b>	<b>\$59,375,100</b>	<b>+\$10,597,300</b>

# STATE

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration .....	\$3,073,900	+\$307,300	\$3,018,700	+\$305,500	\$39,300	+\$1,300
Licensing Services .....	553,000	+5,400	552,100	+5,400	.....	.....
Local Government and Community Services .....	2,578,100	+364,800	2,572,300	+364,600	5,800	+200
State Ethics Commission .....	1,176,100	+8,500	1,175,800	+8,500	.....	.....
Tug Hill Commission .....	712,600	+13,400	705,700	+13,200	6,900	+200
<b>Total .....</b>	<b>\$8,093,700</b>	<b>+\$699,400</b>	<b>\$8,024,600</b>	<b>+\$697,200</b>	<b>\$52,000</b>	<b>+\$1,700</b>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration .....	\$15,900	+\$500
Licensing Services .....	900	.....
State Ethics Commission .....	300	.....
<b>Total .....</b>	<b>\$17,100</b>	<b>+\$500</b>

## STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration .....	\$1,565,200	+\$33,800	\$210,000	.....
Licensing Services .....	93,700	.....	10,400	.....
Local Government and Community Services .....	7,948,700	+2,154,100	29,700	+\$15,000
State Ethics Commission .....	558,400	+266,000	20,100	.....
Tug Hill Commission .....	127,100	+20,000	12,700	.....
<b>Total .....</b>	<b>\$10,293,100</b>	<b>+\$2,473,900</b>	<b>\$282,900</b>	<b>+\$15,000</b>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration .....	\$40,000	.....	\$1,078,500	+\$30,000
Licensing Services .....	1,100	.....	78,700	.....
Local Government and Community Services .....	164,300	+\$33,000	585,600	+217,000
State Ethics Commission .....	20,000	.....	382,500	+166,000
Tug Hill Commission .....	7,900	.....	104,400	+20,000
<b>Total .....</b>	<b>\$233,300</b>	<b>+\$33,000</b>	<b>\$2,229,700</b>	<b>+\$433,000</b>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration .....	\$143,700	.....	\$93,000	+\$3,800
Licensing Services .....	3,500	.....	.....	.....
Local Government and Community Services .....	169,100	+\$139,100	7,000,000	+1,750,000
State Ethics Commission .....	135,800	+100,000	.....	.....
Tug Hill Commission .....	2,100	.....	.....	.....
<b>Total .....</b>	<b>\$454,200</b>	<b>+\$239,100</b>	<b>\$7,093,000</b>	<b>+\$1,753,800</b>



**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Lake George Park Commission . . .	\$1,000,800	+\$46,800	\$517,600	+\$34,100
Licensing Services . . . . .	28,722,400	+4,946,500	15,087,000	+1,159,100
Local Government and Community Services . . . . .	11,252,500	+2,430,700	4,502,900	+184,800
Tug Hill Commission . . . . .	12,600	....	....	....
<b>Total . . . . .</b>	<b>\$40,988,300</b>	<b>+\$7,424,000</b>	<b>\$20,107,500</b>	<b>+\$1,378,000</b>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Lake George Park Commission . . .	\$483,200	+\$12,700	....	....
Licensing Services . . . . .	13,635,400	+3,787,400	....	....
Local Government and Community Services . . . . .	3,999,600	+41,500	\$2,750,000	+\$2,204,400
Tug Hill Commission . . . . .	12,600	....	....	....
<b>Total . . . . .</b>	<b>\$18,130,800</b>	<b>+\$3,841,600</b>	<b>\$2,750,000</b>	<b>+\$2,204,400</b>

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS**

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund . . . . .	\$27,957,673	....	-\$27,957,673
Special Revenue Funds - Federal . . . . .	45,150,000	\$43,000,000	-2,150,000
Special Revenue Funds - Other . . . . .	300,000	3,300,000	+3,000,000
Fiduciary Funds . . . . .	3,600,000	2,900,000	-700,000
<b>Total . . . . .</b>	<b>\$77,007,673</b>	<b>\$49,200,000</b>	<b>-\$27,807,673</b>

**AID TO LOCALITIES  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

Program	Available 1999-00	Recommended 2000-01	Change
Licensing Services			
Special Revenue Funds - Other . . . . .	\$300,000	\$300,000	....
Local Government and Community Services			
General Fund . . . . .	2,975,000	....	-\$2,975,000
Special Revenue Funds - Federal . . . . .	45,150,000	43,000,000	-2,150,000
Special Revenue Funds - Other . . . . .	....	3,000,000	+3,000,000
Fiduciary Funds . . . . .	3,600,000	2,900,000	-700,000
Community Projects			
General Fund . . . . .	24,982,673	....	-24,982,673
<b>Total . . . . .</b>	<b>\$77,007,673</b>	<b>\$49,200,000</b>	<b>-\$27,807,673</b>

# STATE

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## CAPITAL PROJECTS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

<u>Comprehensive Construction Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>	<u>Reappropriations 2000-01</u>
Design and Construction Supervision				
Capital Projects Fund .....	\$240,000	....	-\$240,000	\$365,000
Office of Fire Prevention and Control				
Capital Projects Fund .....	729,000	....	-729,000	1,079,000
Clean Water - Clean Air Implementation				
Clean Water Clean Air Implementation Fund .....	348,000	\$348,000	....	....
Total .....	<u>\$1,317,000</u>	<u>\$348,000</u>	<u>-\$969,000</u>	<u>\$1,444,000</u>

# ***DEPARTMENT OF TAXATION AND FINANCE***

## ***MISSION***

The Department of Taxation and Finance administers the State's taxes and related local taxes and manages the State Treasury. In fulfilling its responsibilities under the State's Tax Laws, the Department collects approximately \$39.6 billion in State revenue and approximately \$21.3 billion in local taxes, including New York City and the City of Yonkers income taxes, on behalf of municipalities.

## ***ORGANIZATION AND STAFFING***

The Department is headed by a Commissioner who is appointed by the Governor and confirmed by the Senate. It fulfills its mission through 11 programs: Administration, Revenue Support, Office of the Counsel, Tax Policy and Analysis, Tax Enforcement, Tax Compliance, Treasury Management, Audit, Revenue and Information Management, Taxpayer Services and the Office of Conciliation and Mediation. The Department of Taxation and Finance will have a workforce of 5,419 positions in 2000-01, of which 4,995 will be funded by State tax dollars in the General Fund.

## ***FISCAL BACKGROUND AND BUDGET HIGHLIGHTS***

In 2000-01, the Department will be financed primarily with State tax dollars from the General Fund, which support its revenue generation, collection, and administration programs. The Executive Budget recommends \$383.2 million to support the Department's operating budget. This amount includes \$280.2 million in General Fund support, which will finance 73.1 percent of the Department's operations, and which will be supplemented by fee income of \$34.5 million and Federal funding of \$500,000. Fee income will support costs associated with the collection of personal income taxes for New York City, and financial and investment services for certain State agencies and public benefit corporations. The Budget further recommends \$68 million for the Banking Services Fund. The Fund pays banking institutions for certain services related to administering personal income and other taxes.

## ***PROGRAM HIGHLIGHTS***

Tax and Finance Department employees are responsible for providing equitable and efficient service to taxpayers. The Department is organized along functional lines to support a high level of taxpayer service:

- **Administration:** This program includes the Department's central policy direction and oversight functions. Activities include fiscal management, human resources/payroll, internal audit, management services and public information.
- **Revenue Support:** This program provides essential support services for the Department, including managing office and warehouse space, developing and printing tax forms and instructions, and mailing tax liability notices, refunds and other tax forms.
- **Office of the Counsel:** This Office prepares regulations, interprets statutes, manages litigation, and drafts and reviews proposed legislation. The Office is involved in resolving taxpayer protests and litigation, and maintains coordination between the Department, the Department of Law and the Division of Tax Appeals.
- **Tax Policy and Analysis:** This program estimates the revenues expected to be produced by each tax, assesses the impact of different tax structures and tax

## **TAXATION AND FINANCE**

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- proposals on the State's economy, reviews tax policies and legislation, and prepares descriptive and analytical studies.
- **Tax Enforcement:** This program identifies and investigates alleged evasion of the State tax code. Staff assigned to this program work with Federal, State and local law enforcement officials in the prosecution of tax fraud and tax evasion cases.
  - **Tax Compliance:** This is the State's largest accounts receivable program, collecting delinquent State and local taxes. Computer-generated billings and an automated telephone collection system are used in collection activities.
  - **Audit:** The Audit Division ensures that voluntarily remitted taxes are accurate and complete. The Division plans, conducts and evaluates desk and field audits, increasingly with the aid of technology.
  - **Revenue and Information Management:** This program contains the Department's information management, tax processing and tax accounting functions. It supports the collection of an estimated \$60.9 billion in State and local voluntary and non-voluntary tax collections.
  - **Taxpayer Services:** This program assists taxpayers in fulfilling their tax obligations by developing and distributing tax information, advice and instructions.
  - **Office of Conciliation and Mediation:** This program offers taxpayers the option of informally resolving disputes with the Department.

### **ALL FUNDS APPROPRIATIONS**

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$368,880,650	\$382,435,000	+\$13,554,350	....
Aid To Localities .....	750,000	750,000	....	....
Capital Projects .....	....	....	....	....
<b>Total .....</b>	<b>\$369,630,650</b>	<b>\$383,185,000</b>	<b>+\$13,554,350</b>	<b>....</b>

## TAXATION AND FINANCE

### ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration					
General Fund	95	93	....	93	-2
Audit					
General Fund	1,978	1,945	....	1,945	-33
Office of Conciliation and Mediation					
General Fund	35	35	....	35	....
Counsel					
General Fund	78	77	....	77	-1
Tax Policy and Analysis					
General Fund	36	35	....	35	-1
Revenue and Information Management					
General Fund	1,753	1,655	....	1,655	-98
Special Revenue Funds - Other	392	379	....	379	-13
Revenue Support Services					
General Fund	71	70	....	70	-1
Tax Compliance					
General Fund	835	821	....	821	-14
Tax Enforcement					
General Fund	123	131	....	131	+8
Taxpayer Services					
General Fund	135	133	....	133	-2
Treasury Management					
Special Revenue Funds - Other	37	36	....	36	-1
Subtotal, Direct Funded Programs	<u>5,568</u>	<u>5,410</u>	<u>....</u>	5,410	-158
Suballocations:					
Special Revenue Funds - Federal	9			9	....
Total	<u>5,577</u>			<u>5,419</u>	<u>-158</u>

### STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund	\$273,424,650	\$279,468,000	+\$6,043,350
Special Revenue Funds - Federal	300,000	500,000	+200,000
Special Revenue Funds - Other	32,761,000	34,492,000	+1,731,000
Internal Service Funds	62,395,000	67,975,000	+5,580,000
Total	<u>\$368,880,650</u>	<u>\$382,435,000</u>	<u>+\$13,554,350</u>
Adjustments:			
Transfer(s) To			
Internal Service Funds	+1,310,000		
Appropriated 1999-00	<u>\$370,190,650</u>		

# TAXATION AND FINANCE

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration			
General Fund	\$5,115,000	\$5,156,000	+\$41,000
Audit			
General Fund	98,598,000	101,747,000	+3,149,000
Banking Services			
Internal Service Funds	62,395,000	67,975,000	+5,580,000
Office of Conciliation and Mediation			
General Fund	2,118,000	2,135,000	+17,000
Counsel			
General Fund	4,695,000	4,733,000	+38,000
Tax Policy and Analysis			
General Fund	2,025,000	2,041,000	+16,000
Revenue and Information Management			
General Fund	80,723,000	83,007,000	+2,284,000
Special Revenue Funds - Federal	300,000	.....	-300,000
Special Revenue Funds - Other	30,758,000	32,473,000	+1,715,000
Revenue Support Services			
General Fund	30,215,000	30,809,000	+594,000
Tax Compliance			
General Fund	33,014,650	32,282,000	-732,650
Tax Enforcement			
General Fund	7,801,000	8,364,000	+563,000
Special Revenue Funds - Federal	.....	500,000	+500,000
Taxpayer Services			
General Fund	9,120,000	9,194,000	+74,000
Treasury Management			
Special Revenue Funds - Other	2,003,000	2,019,000	+16,000
<b>Total</b>	<b>\$368,880,650</b>	<b>\$382,435,000</b>	<b>+\$13,554,350</b>

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration	\$4,747,000	+\$38,000	\$4,709,000	+\$38,000	.....	.....
Audit	85,218,000	+2,173,000	84,253,000	+2,165,000	\$842,000	+\$7,000
Office of Conciliation and Mediation	2,040,000	+16,000	2,022,000	+16,000	.....	.....
Counsel	4,605,000	+37,000	4,385,000	+35,000	215,000	+2,000
Tax Policy and Analysis	2,018,000	+16,000	1,934,000	+15,000	81,000	+1,000
Revenue and Information Management	58,376,000	-2,659,000	55,318,000	-2,684,000	2,468,000	+20,000
Revenue Support Services	4,043,000	+33,000	3,544,000	+29,000	496,000	+4,000
Tax Compliance	28,740,000	+231,000	28,690,000	+231,000	.....	.....
Tax Enforcement	6,683,000	+476,000	6,659,000	+476,000	16,000	.....
Taxpayer Services	6,855,000	+55,000	5,882,000	+47,000	969,000	+8,000
<b>Total</b>	<b>\$203,325,000</b>	<b>+\$416,000</b>	<b>\$197,396,000</b>	<b>+\$368,000</b>	<b>\$5,087,000</b>	<b>+\$42,000</b>

Program	Holiday/Overtime Pay (Annual Salaried)	
	Amount	Change
Administration	\$38,000	.....
Audit	123,000	+\$1,000
Office of Conciliation and Mediation	18,000	.....
Counsel	5,000	.....
Tax Policy and Analysis	3,000	.....
Revenue and Information Management	590,000	+5,000
Revenue Support Services	3,000	.....
Tax Compliance	50,000	.....
Tax Enforcement	8,000	.....
Taxpayer Services	4,000	.....
<b>Total</b>	<b>\$842,000</b>	<b>+\$6,000</b>

## TAXATION AND FINANCE

### STATE OPERATIONS — GENERAL FUND SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Administration .....	\$409,000	+\$3,000	\$291,000	+\$2,000
Audit .....	16,529,000	+976,000	32,000	....
Office of Conciliation and Mediation .....	95,000	+1,000	4,000	....
Counsel .....	128,000	+1,000	8,000	....
Tax Policy and Analysis .....	23,000	....	5,000	....
Revenue and Information Management .....	24,631,000	+4,943,000	1,777,000	+429,000
Revenue Support Services .....	26,766,000	+561,000	151,000	+1,000
Tax Compliance .....	3,542,000	-963,650	279,000	+2,000
Tax Enforcement .....	1,681,000	+87,000	11,000	....
Taxpayer Services .....	2,339,000	+19,000	71,000	+1,000
<b>Total .....</b>	<b>\$76,143,000</b>	<b>+\$5,627,350</b>	<b>\$2,629,000</b>	<b>+\$435,000</b>

Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Administration .....	\$5,000	....	\$74,000	+\$1,000
Audit .....	4,196,000	+\$877,000	11,141,000	+90,000
Office of Conciliation and Mediation .....	70,000	+1,000	21,000	....
Counsel .....	30,000	....	88,000	+1,000
Tax Policy and Analysis .....	6,000	....	10,000	....
Revenue and Information Management .....	116,000	+28,000	20,230,000	+4,882,000
Revenue Support Services .....	6,000	....	26,609,000	+560,000
Tax Compliance .....	604,000	+5,000	2,017,000	-975,650
Tax Enforcement .....	592,000	+78,000	995,000	+8,000
Taxpayer Services .....	10,000	....	2,255,000	+18,000
<b>Total .....</b>	<b>\$5,635,000</b>	<b>+\$989,000</b>	<b>\$63,440,000</b>	<b>+\$4,584,350</b>

Program	Equipment		Maintenance Undistributed	
	Amount	Change	Amount	Change
Administration .....	\$39,000	....	....	....
Audit .....	1,160,000	+\$9,000	....	....
Counsel .....	2,000	....	....	....
Tax Policy and Analysis .....	2,000	....	....	....
Revenue and Information Management .....	1,653,000	+399,000	\$855,000	-\$795,000
Tax Compliance .....	642,000	+5,000	....	....
Tax Enforcement .....	83,000	+1,000	....	....
Taxpayer Services .....	3,000	....	....	....
<b>Total .....</b>	<b>\$3,584,000</b>	<b>+\$414,000</b>	<b>\$855,000</b>	<b>-\$795,000</b>

# TAXATION AND FINANCE

## STATE OPERATIONS — OTHER THAN GENERAL FUND SUMMARY OF APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total		Personal Service	
	Amount	Change	Amount	Change
Banking Services .....	\$67,975,000	+\$5,580,000	....	....
Revenue and Information Management .....	32,473,000	+1,415,000	\$16,300,000	-\$80,000
Tax Enforcement .....	500,000	+500,000	....	....
Treasury Management .....	2,019,000	+16,000	1,345,000	+11,000
<b>Total .....</b>	<b>\$102,967,000</b>	<b>+\$7,511,000</b>	<b>\$17,645,000</b>	<b>-\$69,000</b>

Program	Nonpersonal Service		Maintenance Undistributed	
	Amount	Change	Amount	Change
Banking Services .....	....	....	\$67,975,000	+\$5,580,000
Revenue and Information Management .....	\$16,173,000	+\$1,795,000	....	-300,000
Tax Enforcement .....	....	....	500,000	+500,000
Treasury Management .....	674,000	+5,000	....	....
<b>Total .....</b>	<b>\$16,847,000</b>	<b>+\$1,800,000</b>	<b>\$68,475,000</b>	<b>+\$5,780,000</b>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$750,000	\$750,000	....
<b>Total .....</b>	<b>\$750,000</b>	<b>\$750,000</b>	<b>....</b>

## AID TO LOCALITIES ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration General Fund .....	\$750,000	\$750,000	....
<b>Total .....</b>	<b>\$750,000</b>	<b>\$750,000</b>	<b>....</b>



# DIVISION OF TAX APPEALS

## MISSION

The Division of Tax Appeals provides taxpayers with a system of due process for resolving disputes with the Department of Taxation and Finance. The separation of tax administration from tax adjudication promotes the impartial and timely hearing of taxpayer disputes.

## ORGANIZATION AND STAFFING

The Division of Tax Appeals is headed by the Tax Appeals Tribunal, which is comprised of three commissioners appointed by the Governor and confirmed by the Senate. Under the direction of the Tax Tribunal, dispute adjudication is provided through small claims hearings, formal hearings and the Tribunal appeals process. The Division holds formal hearings and Tax Tribunal oral arguments in Troy, New York City, Buffalo, Rochester and Hauppauge, while small claims hearings are conducted throughout the State. The Division will have a workforce of 37 positions for 2000-01.

## FISCAL BACKGROUND AND BUDGET HIGHLIGHTS

The Division's activities are supported entirely with State tax dollars, which finance the Tribunal's staff and other expenses such as rent and supplies.

The Executive Budget recommends \$3.176 million in annual General Fund support for the Division. This recommendation will enable the Tribunal to continue to provide for the timely resolution of taxpayer disputes with the Department of Taxation and Finance.

## PROGRAM HIGHLIGHTS

The Division's hearing process has been substantially improved in recent years to benefit taxpayers. These improvements have allowed taxpayers more flexibility in scheduling hearings, thus minimizing delays caused by sudden cancellations. Since 1998-99, there has been a 26 percent reduction in case inventory.

Since January 1997, the Division has conducted formal hearings in New York City to provide easier access for taxpayers in the New York City metropolitan area. Recently, the Division expanded this initiative to Buffalo, Rochester and Hauppauge. At these locations, the Division utilizes existing State office space, thus providing improved service at nominal additional cost.

### ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$3,176,000	\$3,176,000	....	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
Total .....	<u>\$3,176,000</u>	<u>\$3,176,000</u>	<u>....</u>	<u>....</u>

# TAX APPEALS

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	2000-01 Recommended Average Fill Level				Change
	Available 1999-00	Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Administration General Fund .....	37	37	....	37	....
Total .....	37	37	....	37	....

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE APPROPRIATIONS

Fund Type	Available 1999-00	Recommended 2000-01	Change
General Fund .....	\$3,176,000	\$3,176,000	....
Total .....	\$3,176,000	\$3,176,000	....

## STATE OPERATIONS ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM APPROPRIATIONS

Program	Available 1999-00	Recommended 2000-01	Change
Administration General Fund .....	\$3,176,000	\$3,176,000	....
Total .....	\$3,176,000	\$3,176,000	....

## STATE OPERATIONS — GENERAL AND OFFSET FUNDS SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES 2000-01 RECOMMENDED

Program	Total Personal Service		Personal Service Regular (Annual Salaried)		Temporary Service (Nonannual Salaried)	
	Amount	Change	Amount	Change	Amount	Change
Administration .....	\$2,681,000	....	\$2,525,000	....	\$156,000	....
Total .....	\$2,681,000	....	\$2,525,000	....	\$156,000	....

**STATE OPERATIONS — GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration .....	\$495,000	....	\$38,000	....
Total .....	<u>\$495,000</u>	<u>....</u>	<u>\$38,000</u>	<u>....</u>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Administration .....	\$55,000	....	\$344,000	....
Total .....	<u>\$55,000</u>	<u>....</u>	<u>\$344,000</u>	<u>....</u>

<u>Program</u>	<u>Equipment</u>	
	<u>Amount</u>	<u>Change</u>
Administration .....	\$58,000	....
Total .....	<u>\$58,000</u>	<u>....</u>



# **OFFICE FOR TECHNOLOGY**

## **MISSION**

The Office for Technology, formerly the Governor's Task Force on Information Resource Management, was statutorily created in 1997. The Office is responsible for planning and coordinating the State's investment in information technology in consultation with an Advisory Council for Technology consisting of representatives of State agencies and the Legislature. In carrying out this mission, the Office works to accomplish three objectives: achieving financial efficiencies; improving communication between State and local agencies; and making it easier for citizens and the private sector to do business with New York.

## **ORGANIZATION AND STAFFING**

The Office is located in Albany and has a staff of 458 for 2000-01. It is supported with State tax dollars from the General Fund and payments from other State agencies.

## **FISCAL BACKGROUND AND BUDGET HIGHLIGHTS**

The 2000-01 General Fund recommendation of \$55.5 million includes \$1.9 million to maintain current staffing levels and support ongoing agency efforts. A total of \$32.4 million is also recommended for payments on Certificates of Participation for already completed programming and other changes to make selected computer systems Year 2000 compliant. Additional recommendations include:

- Elimination of \$39 million in General Fund appropriations to reflect completion of Year 2000 compliance activities.
- A new \$93.2 million Internal Service Fund for operation of the consolidated State Data Center. This fund will support 436 positions transferred primarily from State agencies and the cost of upgrading and operating the Center's computers.
- A \$20 million General Fund appropriation for the renovation of space to house the Data Center.
- A \$16.8 million Internal Service Fund appropriation for the New York Intranet (NYT). This fund will be supported with fees charged to State and local users of the NYT.

A \$19 million Internal Service Fund appropriation is recommended for the Office for Technology to coordinate the development of computer systems that will be used by various agencies and statewide enterprise agreements.

## **PROGRAM HIGHLIGHTS**

Since its inception as a Task Force in 1996, the Office has been actively involved in designing and implementing statewide policies and practices to govern the management of information technologies. One of the early products of the Office was an agenda created to guide the State's technology activities over the next five years. The agenda, released in 1996, identified five priorities: the need for statewide policies and direction to guide the State's technology efforts; greater coordination and sharing of information among agencies involved in projects having multi-agency implications; reducing duplication of efforts by encouraging data sharing; coordinating technology purchases; and creating a statewide "intranet" to link State and local agencies.

A wide variety of activities are underway to implement this agenda. The Office has issued 33 "Technical Policy Bulletins" that give State agencies policy guidance on such topics as data security and the electronic exchange of data. Under the auspices of the Office, several inter-agency work groups are also developing or have developed technology-related

# TECHNOLOGY

productivity initiatives that involve such topics as the use of office systems improvements and imaging technology to increase productivity and achieve savings.

The Office for Technology is also taking important steps to improve the State's management of technology services. One of the Office's top priorities is the consolidation of the State's data centers. A total of 19 separate data centers are being consolidated into a single operation, which will improve computing services for 17 agencies. Among other benefits, the consolidation has resulted in stronger management of the State's data centers, as demonstrated by greater coordination of purchases of sophisticated equipment.

Another priority is the installation of a new statewide telecommunications network, known as the New York Intranet (NYT), that will provide State and local governments with more reliable and less costly data, voice and video communications. When fully operational, the NYT will improve service delivery, reduce costs, and increase reliability through use of a fiber optic cable network currently being installed along the Thruway Authority's right-of-way. This network will also have greater capacity to transmit data, provide local entities and citizens with easier access to State government and facilitate increased interagency cooperation and data-sharing.

The Office will also be responsible for overseeing the operation of the Human Services Application Service Center. This Center is part of the new Welfare Management System currently being developed by the Office of Temporary and Disability Assistance, the Department of Labor, the Office of Children and Family Services and the Department of Health.

## ALL FUNDS APPROPRIATIONS

Category	Available 1999-00	Appropriations Recommended 2000-01	Change	Reappropriations Recommended 2000-01
State Operations .....	\$129,083,000	\$184,479,300	+\$55,396,300	....
Aid To Localities .....	....	....	....	....
Capital Projects .....	....	....	....	....
Total .....	<u>\$129,083,000</u>	<u>\$184,479,300</u>	<u>+\$55,396,300</u>	<u>....</u>

## ALL FUND TYPES LEVELS OF EMPLOYMENT BY PROGRAM ANNUAL SALARIED POSITIONS

Program	Available 1999-00	2000-01 Recommended Average Fill Level			Change
		Personal Service (Regular)	Maintenance Undistributed	Total Recommended 2000-01	
Technology					
General Fund .....	22	22	....	22	....
Internal Service Funds .....	....	....	436	436	+436
Total .....	<u>22</u>	<u>22</u>	<u>436</u>	<u>458</u>	<u>+436</u>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE  
APPROPRIATIONS**

<u>Fund Type</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
General Fund .....	\$99,017,300	\$55,509,700	-\$43,507,600
Internal Service Funds .....	30,065,700	128,969,600	+98,903,900
<b>Total .....</b>	<b>\$129,083,000</b>	<b>\$184,479,300</b>	<b>+\$55,396,300</b>

**STATE OPERATIONS  
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM  
APPROPRIATIONS**

<u>Program</u>	<u>Available 1999-00</u>	<u>Recommended 2000-01</u>	<u>Change</u>
Technology			
General Fund .....	\$99,017,300	\$55,509,700	-\$43,507,600
Internal Service Funds .....	30,065,700	128,969,600	+98,903,900
<b>Total .....</b>	<b>\$129,083,000</b>	<b>\$184,479,300</b>	<b>+\$55,396,300</b>

**STATE OPERATIONS — GENERAL AND OFFSET FUNDS  
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total Personal Service</u>		<u>Personal Service Regular (Annual Salaried)</u>		<u>Holiday/Overtime Pay (Annual Salaried)</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Technology .....	\$1,634,400	+\$348,100	\$1,629,200	+\$348,100	\$5,200	.....
<b>Total .....</b>	<b>\$1,634,400</b>	<b>+\$348,100</b>	<b>\$1,629,200</b>	<b>+\$348,100</b>	<b>\$5,200</b>	<b>.....</b>

**STATE OPERATIONS — GENERAL FUND  
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED  
APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total</u>		<u>Supplies and Materials</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Technology .....	\$53,875,300	-\$43,855,700	\$20,000	.....
<b>Total .....</b>	<b>\$53,875,300</b>	<b>-\$43,855,700</b>	<b>\$20,000</b>	<b>.....</b>

<u>Program</u>	<u>Travel</u>		<u>Contractual Services</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Technology .....	\$25,000	.....	\$32,569,300	+\$6,391,300
<b>Total .....</b>	<b>\$25,000</b>	<b>.....</b>	<b>\$32,569,300</b>	<b>+\$6,391,300</b>

<u>Program</u>	<u>Equipment</u>		<u>Maintenance Undistributed</u>	
	<u>Amount</u>	<u>Change</u>	<u>Amount</u>	<u>Change</u>
Technology .....	\$46,000	.....	\$21,215,000	-\$50,247,000
<b>Total .....</b>	<b>\$46,000</b>	<b>.....</b>	<b>\$21,215,000</b>	<b>-\$50,247,000</b>

**TECHNOLOGY**

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**STATE OPERATIONS — OTHER THAN GENERAL FUND  
SUMMARY OF APPROPRIATIONS AND CHANGES  
2000-01 RECOMMENDED**

<u>Program</u>	<u>Total Amount</u>	<u>Change</u>	<u>Maintenance Undistributed Amount</u>	<u>Change</u>
Technology .....	\$128,969,600	+\$98,903,900	\$128,969,600	+\$98,903,900
Total .....	<u>\$128,969,600</u>	<u>+\$98,903,900</u>	<u>\$128,969,600</u>	<u>+\$98,903,900</u>