

**New York State Division of the Budget**  
**Request for Proposals (RFP)**  
**Local Government Management, Financial and Restructuring Services**  
**AMENDMENT 1**  
**ISSUED September 21, 2023**

This Amendment is being issued to amend and clarify certain information contained in the Local Government Management, Financial and Restructuring Services Request for Proposals (RFP) that was issued August 30, 2023. All information contained herein is binding on all Bidders who submit a proposal in response to this RFP.

The RFP is amended as follows:

1. **FORM 6 – MWBE DIVERSITY PRACTICES** is deleted and replaced with the following:

**FORM 6: MWBE DIVERSITY PRACTICES**

Pursuant to New York State Executive Law §313-a, and 5 NYCRR §142.3, all agencies and authorities must evaluate the diversity practices of Firms to best value procurements expected to exceed \$250,000, whenever practical, feasible and appropriate. Evaluating diversity practices of Firms as part of the procurement process provides contractors with an incentive to develop mutually beneficial relationships with New York State certified MWBEs outside of the context of state contracting. These relationships help to build the capacity of MWBEs, and enhance their ability to perform ever increasing roles in state contracting.

Diversity practices are intended to be applied on procurements in which agency personnel exercise their subjective judgment in selecting one or more successful contractors on the basis of best value, not on procurements that are awarded based on lowest price.

For this procurement, the Division has determined, pursuant to New York State Executive Law Article 15-A, that the assessment of the diversity practices of Firms to this solicitation is practical, feasible and appropriate.

**Accordingly, Firms are required to submit responses, including documentation, to the Diversity Practices Questionnaire, Form 6.1, to the Division as part of its Administrative Proposal.** The questionnaire consists of seven (7) questions for Firms to answer. Please note that some questions request supporting documentation to support certain answers. A scoring rubric for the Diversity Practices Questionnaire can be found in Form 6.2

Attachments:

- Form 6.1 – Diversity Practices Questionnaire
- Form 6.2 – Diversity Practices Scoring Matrix

Except as noted above all other terms and conditions of this RFP, including Forms 6.1 and 6.2, remain unchanged.